Dear Editor,

Thank you for another opportunity to improve our manuscript. Please see below our responses (blue) to your and reviewers' comments (gray).

1) I am still not convinced that using natural logarithm of particle radii (ln(r)) is better than using particle radii (r) unless the authors can show me that there is indeed a smoother function of ln(r) than r for aerosol size distributions. The authors can probably check this by making line plots of aerosol size distributions as a function of ln(r) and r. I don't buy the authors' argument simply because CAMS uses this way.

You are right. Both approaches are equivalent in the continuous space. One can treat the size distribution as a function of r or lnr. However, on the discrete grid, the approximation is better if the derivatives of a function are smaller. For small radii, a derivative of a function of ln(r) over r is much bigger than a derivative over ln(r).

 $\frac{df(lmr)}{dr} = \frac{df}{dlur} \cdot \frac{dlmr}{dr} = \frac{df}{dlur} \cdot \frac{f}{r}$ for r <<1 $\frac{df}{dr} >> \frac{df}{dlmr}$

Or, in other words, the size distribution taken as a function of ln(r) is much smoother than if it is taken as a function of r. This is why we prefer to conduct calculations in ln(r) space.

2) The inclusion of the 7-month (June 1 to December 31, 2016) case study clears out a lot of my concerns. One thing I would like to see is the PM2.5 version of Figure 8. Clearly, PM10 concentrations are overestimated by the uncorrected model run. I would like to know to what extent the observed PM2.5 concentrations are resolved by both runs if there are PM2.5 observations. Also, using C=0.8 for the ALL_OLD run and C=0.5 for the ALL_OK run does not seem to provide a fair comparison. Either the authors need to run the ALL_OLD with C=0.5 or the authors need to provide convincing justification.

Below we show the comparison of PM2.5 from ALL_OK and ALL_OLD runs with the MODON observations in Jeddah, Riyadh, and Dammam. We see that PM2.5 concentrations from ALL_OLD run are higher than those from ALL_OK run. Although the difference is less impressive than for PM10, as PM2.5 concentrations are generally smaller.



Figure 1. Daily averaged PM2.5 surface concentrations (µg/m³) from ALL_OK and ALL_OLD runs (red and blue lines) and from MODON observations (green line) at Jeddah, Riyadh, and Dammam.

The dust emission tuning procedure using the C-factor is a standard approach acknowledged by many WRF-Chem users (see references in the paper). This procedure requires a user to adjust C-factor so the model optical depth fits AERONET observations. If the model, because of a coding error, underestimates AOD, dust emissions, i.e., C-factor, is increased to compensate for this. This is what we demonstrated comparing the ALL_OLD, and the ALL_OK runs, applying the same procedure (not the same C-factor) in both runs. Specifically, in ALL_OLD C=0.8 and in ALL_OK C=0.5. Both runs give similar good agreement with the AERONET AOD (Fig. 7 in the text). Using C=0.5 in ALL_OLD run would give lower AOD in comparison with AERONET AOD. Therefore we not consider here a simulation ALL_OLD with C=0.5.

We conducted simulations with the same C-factor to outline the effect caused by specific inconsistencies. In sections 3.2.1 and 3.2.2, we compared the AOD obtained from the ALL_OK and NON_LOG_046 runs. Both runs were calculated using C=0.5. The NON_LOG_046 run differs from the ALL_OK run by incorrect mapping of submicron particles with 0.1<radii<0.46 µm to MOSAIC bins and redistributing mass between GOCART and MOSAIC bins assuming that bin concentration is a function of r, but not ln(r). In both runs, the amount of emitted dust is almost the same (as C-factor is the same and meteorology is close), but AOD in the NON_LOG_046 run is 25-30% lower than in the ALL_OK run as expected (Fig. 4 in the text).

3) There are many sections in the Appendix and I am wondering if all of the sections are necessary. I got a little bit lost when I read through the sections.

Thank you for the comment. We reduced the number of sections in the Appendix during the first revision. The appendixes are well structured, so, we believe, they should not cause any confusion.

Even minor suggestions:

1) Page 1, line 15: Middle East (ME) should be defined here instead of in Abstract since supposedly Abstract and the main text should be self-explanatory. Fixed.

 Table 1 caption: Since there are more options than what are listed here, the authors need to add that these are the likely options that may be affected by the corrections.
 Fixed.

3) Figure 1 caption: "The red square corresponds to dust emission area for doing dust mass balance experiment."

Changed to "The red square corresponds to the dust emission area for doing dust mass balance check".

4) Page 14, Line 8: Should be either "For a larger domain" or "For larger domains". Changed to "For a larger domain".

5) Page 14, Line 14: NOT_FIXED_GRAV_SETTLING should be introduced before. NOT_FIXED_GRAV_SETTLING run has been introduced according to your comment.

6) Page 17, Lines 4-5: The statement "Figure 9 demonstrates the" Is not clear to me, please re-phrase.

The sentence has been rephrased to: "Figure 9 demonstrates the averaged over the summer (June, July, August) of 2016 total dust column loadings (g/m²) and their relative differences (%) obtained from the *ALL_OK* and *ALL_OLD* runs."

* The authors have not addressed whether the assumption of a size distribution that is a function of ln(r) matches the internal assumptions of GOCART or not. (The PM formulae in CAMS do assume this, as pointed out, because the assumed size distribution within the aerosol scheme used in CAMS itself is log-normal.) That doesn't mean it would be appropriate for some other model that internally assumes e.g. a uniform distribution across each size bin.) The same applies also to the assumed size distribution in MOSAIC when considering the bin mapping between that and GOCART.

The GOCART bins could be equally treated in(r) and ln(r) space. It is a question of numerical approximation. E.g., when integrating in r space we assume that the k-bin volume value V_k is taken in the center of the bin $R_{k+1/2} = (R_{k+1} + R_k)/2$, where R_{k+1} and R_k are upper and lower boundaries of the k-bin. If we do it in ln(r) space, the center of k-bin will be in $ln(R_{k+1/2}) = (ln(R_{k+1})-ln(R_k))/2$. Assuming $\Delta R_k = R_{k+1} - R_k$ and applying Taylor expansion of $\sqrt{1+x} = 1 + \frac{1}{2}x...$, we get

$$\begin{aligned} &lnR_{K+\frac{1}{2}} = lnR_{K} + \frac{1}{2} ln\frac{R_{K+1}}{R_{K}} \\ &R_{K+\frac{1}{2}} = R_{K} \sqrt{\frac{R_{K+1}}{R_{K}}} = \sqrt{R_{K+1}}R_{K} = \\ &= R_{K} \sqrt{1 + \frac{\Delta R_{K}}{R_{K}}} \xrightarrow{\sim} R_{K} \left(1 + \frac{1}{2}\frac{\Delta R_{K}}{R_{K}}\right) = \\ &= \frac{R_{K+1} + R_{K}}{2} \quad if \Delta R_{K} \ll R_{K} \end{aligned}$$

So both approximations are equivalent, as soon as grid spacing is fine. Considering GOCART bins in ln(r) space is consistent with the GOCART physics. Interpolations in ln(r) space are more accurate if a function has a smaller derivative. See our response to comment 1) above.

* Despite comments from multiple reviewers, several parts of the manuscript still talk about differences from ALL_OK as though that was truth and therefore differences from it are "overestimation" or "underestimation". Such language is not justified without reference to actual observations of PM concentrations or column dust mass as the case may be.

We reiterated the text and removed all inappropriate occurrences of "overestimation" and "underestimation". Thank you for pointing this out.

* There are still an excessive number of references in parts of the introduction without any real discussion of what they show.

We reiterated the introduction and removed some references.

* It is mentioned in passing (p.15) that C is tuned differently between ALL_OLD and ALL_OK. This calls into question which results are due to the changes in the scheme itself, and which due to the retuning of this factor. It's essential to discuss and disentangle these two aspects, probably with the aid of a third simulation.

Please see our answer to comment 2) above.

Improving dust simulations in WRF-Chem model v4.1.3 coupled with GOCART aerosol module

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Abstract. In this paper, we rectify inconsistencies that emerge in the WRF-Chem v3.2 code when using the Goddard Chemistry Aerosol Radiation and Transport (GOCART) aerosol module. These inconsistencies have been reported, and corrections have been implemented in WRF-Chem v4.1.3. Here, we use a WRF-Chem experimental setup configured over the Middle East (ME) to estimate the effects of these inconsistencies. Firstly, we show that the diagnostic output underestimated old version

- 5 underestimates the PM_{2.5} surface concentration diagnostic output by 7% and overestimated overestimates PM₁₀ by 5% in comparison with the corrected one. Secondly, we demonstrate that the contribution of sub-micron dust particles' contribution was incorrectly accounted for in the calculation of optical properties, and thus,. Therefore Aerosol Optical Depth (AOD) was underestimated by in the old version was 25-30% less than in the corrected one. Thirdly, we show that gravitational settling , as it was coded, overestimated procedure, in comparison with the corrected version, caused higher dust column loadings by
- 10 4-6%, PM_{10} surface concentrations by 2-4%, and the rate of gravitational dust settling mass of the gravitationally settled dust by 5-10%. The cumulative effect of the found inconsistencies leads to a strong overestimation of the led to the significantly higher dust content in the atmosphere -in comparison with the corrected WRF-Chem version. Our results explain why in many WRF-Chem simulations PM_{10} concentrations were exaggerated. We present the methodology for calculating diagnostics we used to estimate the impacts of introduced code modifications. Our results explain why in many WRF-Chem simulations
- 15 PM_{10} concentrations were exaggerated. We share the developed *Merra2BC* interpolator, which allows processing Modern-Era Retrospective Analysis for Research and Applications, Version 2 (MERRA-2) output for constructing initial and boundary conditions for chemical species, and aerosols.

1 Introduction

Produced by wind erosion, mineral dust is one of the major drivers of climate over the MEMiddle East (ME) (Osipov et al., 2015)

Dust suspended in the atmosphere affects the energy budget by absorbing and scattering incoming solar radiation (Sokolik and Toon, 1996; (Miller and Tegen, 1998) and by affecting cloud radiative properties (Levin et al., 1996; Forster et al., 2007; Rotstayn and Lohmann, 2002) (Forster et al., 2007). Dust can also negatively impact infrastructure and technology. For instance, reducing solar radiation reaching the earth's surface dust decreases the output of photo-voltaic systems. Moreover, dust deposition on solar panels deteriorates their efficiency (Mani and Pillai, 2010; Rao et al., 2014; Sulaiman et al., 2014) (Sulaiman et al., 2014). Dust also has

socioeconomic implications. Bangalath and Stenchikov (2015) showed that due to high dust loading, the tropical rain belt across the ME and North Africa strengthens and shifts northward, causing up to a 20% increase in summer precipitation over the semiarid strip south of the Sahara, including the Sahel. Frequent dust outbreaks have a profound effect on air quality in the ME region (Cahill et al., 2017; Banks et al., 2017; Farahat, 2016; Kalenderski and Stenchikov, 2016; Munir et al., 2013; Alghamdi et al., 2015;

- 5 (Banks et al., 2017; Farahat, 2016; Alghamdi et al., 2015; Lihavainen et al., 2016). Air pollution is characterized by near-surface concentrations of particulate matter (PM), which comprise both PM_{2.5} and PM₁₀ (particles with diameters less than 2.5 µm and 10 µm, respectively). Dust is the major contributor to PM over the ME region (Ukhov et al., 2020a). The ME is also subjected to the inflow of dust from the nearby Sahara Desert, which is another major dust source region (Osipov et al., 2015; Kalenderski and Stenchikov, 2016). Dust deposition fertilizes ocean surface waters and the seabed (Watson et al., 2000; Talbot et al., 1986; Swap et al.,
- 10 (Watson et al., 2000; Zhu et al., 1997).

Thus, given the impact of dust on climate, technology, human health, and ecosystems, an accurate description of dust effects in numerical models is essential. In the first place, it requires careful description of the dust cycle; from emission at the earth's surface, to transport in the atmosphere, and, finally, to removal by deposition.

Most of the studies mentioned above were conducted within the group of Atmospheric and Climate Modeling at King Abdul-

- 15 lah University of Science and Technology (KAUST) using the WRF-Chem model (Skamarock et al., 2005; Grell et al., 2005; Powers et al., 2017). WRF-Chem is a popular open-source tool that is widely used to study atmospheric chemistry, air quality, and aerosols (Jish Prakash et al., 2015; Khan et al., 2015; Kalenderski et al., 2013; Kalenderski and Stenchikov, 2016; Parajuli et al., 2019; Anisimov et al., 2017; Osipov and Stenchikov, 2018). This model has been used extensively to study aerosols and their impact on air quality (Fast et al., 2006; Wang et al., 2015; Fast et al., 2009; Ukhov et al., 2020a, b; Parajuli et al., 2020)
- 20 (Fast et al., 2006, 2009; Ukhov et al., 2020a, b; Parajuli et al., 2020), climate (Zhao et al., 2010, 2011; Chen et al., 2014; Fast et al., 2006), and to analyse dust outbreaks (Bian et al., 2011; Chen et al., 2014; Fountoukis et al., 2014; Fountoukis et al., 2019; LeGrand et al., 2016; Ma et al., 2019; Su and Fung, 2015; Eltahan et al., 201 in the ME and North Africa (Zhang et al., 2015; Flaounas et al., 2016; Rizza et al., 2017; Karagulian et al., 2019; Rizza et al., 2018), North America (Zhao et al., 2012), India (Dipu et al., 2013; Kumar et al., 2014), and Australia (Nguyen et al., 2019).
- 25 Many aforementioned studies utilized the WRF-Chem model coupled with the Goddard Chemistry Aerosol Radiation and Transport (GOCART) aerosol module (Chin et al., 2002). The GOCART module simulates major tropospheric aerosol components, including sulfate, dust, black and organic carbon, and sea-salt, and includes algorithms for dust and sea salt emissions, dry deposition, and gravitational settling. The GOCART module is one of the most popular aerosol modules used in WRF-Chem (Bian et al., 2011; Dipu et al., 2013; Kumar et al., 2014; Chen et al., 2014; Su and Fung, 2015; Zhang et al., 2015;
- 30 Flaounas et al., 2016; Fountoukis et al., 2016; Rizza et al., 2017; Flaounas et al., 2017; Nabavi et al., 2017; Chen et al., 2018; Rizza et al., 2018; Ma et al., 2019; LeGrand et al., 2019; Parajuli et al., 2019; Yuan et al., 2019; Ukhov et al., 2020a; Eltahan et al., 2018; Nguyen et al., 2019; Bukowski and van den Heever, 2020).

However, working with the WRF-Chem/Gocart modeling system we found a few inconsistencies in the physical parameterizations which affected its performance. Firstly, we found that the diagnostic output of $PM_{2.5}$ and PM_{10} was miscalculated.

35 Secondly, the contribution of sub-micron dust particles was underestimated incorrectly accounted for in the Mie calculations of

aerosol optical properties and thus, aerosol optical depth (AOD) was underestimated in comparison with observations. Thirdly, an inconsistency in the process of gravitational settling was leading to a violation of the dust and sea salt mass balance. The complete list of the WRF-Chem *chem_opt* namelist options that were affected are presented in Tab. 1.

			Found inconsistencie	s in calculation of
chem_opt	Description	PM	Optical properties	Gravitational settling
2	MADE/SORGAM aerosols, RADM2 chemistry	-	-	+
11	same as <i>chem_opt</i> =2 and some aqueous reactions	-	-	+
41	same as <i>chem_opt</i> =2 and aqueous reactions	-	-	+
42	same as chem_opt=41 using KPP library	-	-	+
109	MADE/VBS aerosols, RACM Chemistry and aqueous	-	-	+
	reactions using KPP library.			
112	GOCART aerosols, MOZART Chemistry using KPP library.	+	+	+
300	GOCART aerosols, no ozone chemistry.	+	+	+
301	GOCART aerosols, RACM chemistry using KPP library.	+	+	+
303	GOCART aerosols, RADM2 chemistry	+	+	+
401	Dust concentration only	-	-	+

Table 1. WRF-Chem chem_opt namelist options affected by the found inconsistencies.

All of these inconsistencies have affected WRF-Chem performance since April 2, 2010, when the WRF-Chem v3.2 was
released. We have reported all those issues, and they have been rectified in the WRF-Chem v4.1.3 code release. In this paper, we specifically discuss these corrections and evaluate how they have affected results. We demonstrate the methodology for calculating diagnostics that we used to estimate the impact of the introduced corrections. We also share with the community the *Merra2BC* interpolator (Ukhov and Stenchikov, 2020), which allows constructing initial and boundary conditions (IC&BC) for chemical species and aerosols using MERRA-2 reanalysis (Randles et al., 2017). We believe that this discussion is in line
with the open-source paradigm and will help users to better handle the code, understand physical links, and evaluate the sensitivity of the results to particular physical assumptions made in the code.

The paper is organized as follows: Section 2 describes the WRF-Chem model setup. In Section 3, a description of the inconsistencies found in the WRF-Chem code and their effects on the results are presented. Conclusions are presented in Section 4.

15 2 WRF-Chem experimental setup

To quantify the effects of introduced code modifications, we use our typical model setup which we previously adopted for simulating dust emissions using the WRF-Chem model coupled with the GOCART aerosol module. The WRF-Chem simulation domain (see Fig. 1) is centered at 28° N, 42° E, with a 10 km×10 km horizontal grid (450×450 grid nodes). The vertical grid

comprises 50 vertical levels with enhanced resolution closer to the ground. The model top boundary is set at 50 hPa. We use $chem_opt=300$ namelist option, which corresponds to simulation using GOCART aerosol module without ozone chemistry.



Figure 1. Simulation domain with marked locations of the AERONET sites. The red square corresponds to the dust emission area for doing dust mass balance check. Shaded contours correspond to source function S (Ginoux et al., 2001).

The Unified Noah land surface model (*sf_surface_physics*=2) and the Revised MM5 Monin-Obukhov scheme (*sf_sfclay_physics*=1) are chosen to represent land surface processes and surface layer physics. The Yonsei University scheme is chosen for PBL pa-

- 5 rameterization (*bl_pbl_physics*=1). The WRF single moment microphysics scheme (*mp_physics*=4) is used for the treatment of cloud microphysics. The New Grell scheme (*cu_physics*=5) is used for cumulus parameterization. The Rapid Radiative Transfer Model (RRTMG) for both short-wave (*ra_sw_physics*=4) and long-wave (*ra_lw_physics*=4) radiation is used for radiative transfer calculations. Only the aerosol direct radiative effect is accounted for. More details on the physical parameterizations used can be found at http://www2.mmm.ucar.edu/wrf/users/phys_references.html.
- 10 Dust size distribution in the GOCART module is approximated by five dust bins; see Tab. 2. Dust density is assumed to be 2500 kg/m^3 for the first dust bin and 2650 kg/m^3 for dust bins 2-5. In WRF-Chem there are three dust emission schemes that can be used with GOCART: the original GOCART-WRF scheme (*dust_opt=1*) (Bagnold, 1941; Belly, 1964; Gillette and Passi, 1988), the Air Force Weather Agency (AFWA) scheme (*dust_opt=3*) (Marticorena and Bergametti, 1995; Su and Fung,

2015; Wang et al., 2015), and the University of Cologne (UoC) scheme (*dust_opt=4*) (Shao, 2001, 2004; Shao et al., 2011). The detailed description of all schemes is provided in LeGrand et al. (2019).

	Bin								
	1	2	3	4	5				
Dust	0.1-1.0	1.0-1.8	1.8-3.0	3.0-6.0	6.0-10.0				
Sea salt	0.1-0.5	0.5-1.5	1.5-5.0	5.0-10.0	-				

Table 2. Radii ranges (μm) of dust and sea salt bins used in the GOCART aerosol module.

Here, we simulate dust emissions using the original GOCART-WRF scheme (*dust_opt=1*) proposed in Ginoux et al. (2001). Dust emission mass flux, F_p (µg m⁻² s⁻¹) in each dustbin *p*=1,2,...,5 is defined by the relation:

$$F_p = \begin{cases} CSs_p u_{10m}^2 (u_{10m} - u_t), & \text{if } u_{10m} > u_t \\ 0, & \text{if } u_{10m} \le u_t \end{cases}$$
(1)

5 where, $C (\mu g s^2 m^{-5})$ is a spatially uniform factor which controls the magnitude of dust emission flux; S is the source function (Ginoux et al., 2001) (see Fig. 1) that characterizes the spatial distribution of dust emissions; u_{10m} is the horizontal wind speed at 10 m; u_t is the threshold velocity, which depends on particle size and surface wetness; s_p is a fraction of dust emission mass flux within dustbin p.

Sea salt size distribution in the GOCART module is approximated by four sea-salt bins (see Tab. 2). Sea salt density is 2200 kg/m^3 . Emission of sea salt is calculated according to Gong (2003).

2.1 Dust emission tuning

To adjust to regional conditions, dust emission in the model is calibrated to fit observed AOD and aerosol volume size distributions (AVSD) obtained from the AErosol RObotic NETwork (AERONET; Holben et al. (1998)). AERONET AOD observations represent the total AOD with contributions from all types of aerosols. But because in the ME dust is more prevalent than all

15 other aerosols, we focus on dust emission only. More detailed information on dust emission tuning is provided in Ukhov et al. (2020a). For this study, we choose three AERONET sites: *KAUST Campus*, *Mezaira*, and *Sede Boker* located within the domain (Fig. 1). We utilize level 2.0 (cloud screened and quality assured) AERONET AOD data. Note that from here onwards, we assume that AOD is given or calculated at 550 nm; see Appendix C.

2.1.1 Tuning the C parameter

To adjust dust emissions we first tune the *C* factor from Eq. 1, as practiced in our own studies (Kalenderski et al., 2013; Jish Prakash et al., 2015; Khan et al., 2015; Kalenderski and Stenchikov, 2016; Anisimov et al., 2017; Parajuli et al., 2019, 2020; Ukhov et al., 2020a) and in the studies of other authors (Zhao et al., 2013; Kumar et al., 2014; Flaounas et al., 2017;

Rizza et al., 2017). Our test runs indicate that for the ME, C = 0.5 achieves a good agreement between simulated and observed AOD at the KAUST Campus, Mezaira, and Sede Boker AERONET sites. Therefore this sub-optimal value C = 0.5 is retained in all subsequent runs.

2.1.2 Tuning the s_p fractions

5 We also tune s_p fractions from Eq. 1 to better reproduce the AVSDs provided by AERONET retrievals using the spectral deconvolution algorithm (SDA) (O'Neill et al., 2003). The AERONET provides column integrated AVSD $dV/d\ln r (\mu m^3/\mu m^2)$ on 22 logarithmically equidistant discrete points in the range of radii between 0.05 and 15 µm. For AVSDs we use the AERONET V3, level 2.0 product (Dubovik and King, 2000).

In WRF-Chem the default values of parameter s_p from Eq. 1 are {0.1, 0.25, 0.25, 0.25, 0.25}, for the $DUST_1$, $DUST_2$,... , $DUST_5$ dust bins, respectively. They control the size distribution of emitted dust. Our test runs indicate that when we use 10 the default s_p values the dust volume size distributions in the atmosphere do not match those from AERONET. To achieve a better agreement between the modeled and AERONET volume size distributions, we adjust the fractions s_p to be {0.15, 0.1, (0.25, 0.4, 0.1). The fractions s_p are set in the *phys/module_data_gocart_dust.F* file, array $frac_s$. We effectively increase the dust emission in the finest $DUST_1$ and in coarse $DUST_4$, and decrease those in $DUST_2$ and $DUST_5$. The size distribution of emitted dust is further processed in the atmosphere.

15

2.2 Initial and boundary conditions for meteorological parameters, chemical species, and aerosols

As is the case with any partial differential equation solver, WRF-Chem requires the IC&BC for meteorological parameters and chemical species. IC&BC for meteorological fields are derived from the ERA-Interim (Dee et al., 2011) global atmospheric reanalysis produced by the European Centre for Medium-Range Weather Forecasts (ECMWF). IC&BC for chemical species

- 20 are required to account for initial concentrations and inflow of aerosols and chemical species. The setting of improper lateral boundary conditions for aerosols and chemistry may significantly affect the result of the simulation. The role of lateral boundary conditions increases if the domain is located close to a significant source of dust or other chemicals. Concentrations of aerosols and chemicals within the domain are especially affected by the inflow through the lateral boundaries of species with long atmospheric lifetimes.
- 25 By default, WRF-Chem uses the idealized vertical profiles of a limited number of chemical species for calculating IC&BC. These profiles are obtained from the NALROM model (Liu et al., 1996) simulation and are representative of the northern hemispheric, mid-latitude (North America) summer and clean environmental conditions. Another option in WRF-Chem is to use the output from the Model for Ozone And Related chemical Tracers, version 4 (MOZART-4) global model (Emmons et al., 2010), which is an offline tropospheric global chemical transport model.
- 30 The MERRA-2 reanalysis (Randles et al., 2017) provides a consistent distribution of aerosols and chemical species constrained by observations with the spatial resolution about 50 km. MERRA-2 aerosol and chemical fields are superior compared to those used previously in WRF-Chem. To calculate the chemical IC&BC using MERRA-2 output, we develop an interpolator

Merra2BC (Ukhov and Stenchikov, 2020), which uses gaseous and aerosol fields from MERRA-2 reanalysis to construct the IC&BC required by the WRF-Chem simulation. For more details regarding the *Merra2BC* interpolator, see Appendix A.

3 Results

20

In the discussion below, we refer to the WRF-Chem run with all inconsistencies fixed and with properly adjusted dust emission 5 (see Sect. 2.1), with IC&BC constructed using the developed *Merra2BC* interpolator (see Sect. 2.2) as ALL OK.

To quantify the effect of each inconsistency we perform a WRF-Chem run where all the other corrections we discuss here are implemented, with the exception that we focus on a given time. The relative difference (%) of a specific set of variables in this run with respect to the ALL OK run is presented as a measure of sensitivity to the chosen correction. All WRF-Chem runs are performed for 1-12 August, 2016. At the end of this section we estimate the cumulative effect of all inconsistencies. For this purpose we performed WRF-Chem simulation over the period from June 1 to December 31 of 2016.

10

Calculation of PM_{2.5} and PM₁₀ 3.1

The subroutine sum_pm_gocart in module_gocart_aerosols. F calculates $PM_{2.5}$ and PM_{10} surface concentrations using the following formulas:

$$PM_{2.5} = \rho \cdot (DUST_1 + DUST_2 \cdot d_2 5 + SEAS_1 + SEAS_2 \cdot s_2 5),$$

15
$$PM_{10} = \rho \cdot (DUST_1 + DUST_2 + DUST_3 + DUST_4 \cdot d_1 0 + SEAS_1 + SEAS_2 + SEAS_3),$$
(2)

where ρ is the dry air density (kg/m³), $DUST_{1,2,3,4}$ and $SEAS_{1,2,3}$ are the mixing ratios (μ g/kg) of the dust in the first four bins and sea-salt in the first three bins, respectively. The contribution of the dust and sea salt bins to $PM_{2.5}$ and PM_{10} is defined by the mapping coefficients d_{25} , d_{10} for dust and s_{25} for sea salt, see eq. 2. Black and organic carbon and sulfate also contribute to PM, but over the ME region their contributions are small in comparison to dust and sea salt, and we omit them for the sake of brevity.

We ealculate the mapping coefficients using the assumption suspect that the default mapping coefficients are calculated incorrectly. Therefore we recalculated them assuming that dust and sea salt volume size distributions are functions of natural logarithm of particle radius. E.g., interpolation in the logarithm space is more accurate than in the radius space, as aerosol size distributions are smoother functions of logarithm than radius. The updated values of mapping coefficients s_25 , d_25 , d_{10}

along with their default values are presented in Tab. 3. Effectively, the contributions in $PM_{2.5}$ of sea salt $SEAS_2$ decreases, 25 while that of dust $DUST_2$ increases. The contribution of $DUST_2$ in PM₁₀ decreases. We are not certain how the default coefficients are calculated, but interpolation in the logarithm space is more accurate than in the radius space, as aerosol size distributions are smoother functions of logarithm than radius.

The effects of using the updated mapping coefficients in place of default ones in PM calculation are shown in Fig. 2. We 30 calculate the PM_{2.5} and PM₁₀ concentrations in the lowest model layer using Eq. 2. Surface concentrations of dust and sea

	Default coefficients	Updated coefficients
s_25	0.942	$\ln(2.5/1) / \ln(3/1) = 0.834$
d_{25}	0.286	$\ln(2.5/2) / \ln(3.6/2) = 0.380$
<i>d</i> _10	0.870	ln(10/6) / ln(12/6) =0.737

salt are computed using the procedure presented in Appendix E. When using-With the default mapping coefficients values, the model underestimates $PM_{2.5}$ by on average yields 7% and overestimates PM_{10} by lower $PM_{2.5}$ and 5% on average higher PM_{10} concentrations over the ME.

3.2 Calculation of Aerosol optical Properties

- 5 For modeling in the ME, the treatment of optically active dust within the model is vitally important. AOD is calculated based on aerosol number-density and aerosol optical properties, which depend on the aerosol size and refractive index. In WRF-Chem, a parameterized Mie theory (Ghan and Zaveri, 2007) is employed to calculate the aerosol optical properties. This parameterization is modified for the sectional representation of the aerosol size distribution by Fast et al. (2006) and Barnard et al. (2010), so the Mie subroutine requires input of dust number-density or concentration in eight size intervals: {0.039-0.078, 0.078-0.156, 0.156-0.312, 0.312-0.625, 0.625-1.25, 1.25-2.5, 2.5-5.0, 5.0-10.0} µm. These size intervals are identical
- with those used in the Model for Simulating Aerosol Interactions and Chemistry (MOSAIC) microphysical module (Zaveri et al., 2008). Therefore, we further refer to them as MOSAIC bins ($MOS_{1,2,3,4,5,6,7,8}$).

To correctly calculate the dust optical properties we implement two corrections in the subroutine *optical_prep_gocart()* in *module_optical_averaging.F* that computes the volume-averaged refractive index needed for Mie calculations.

15 3.2.1 Effect of Small Particles

In WRF-Chem's GOCART aerosol module, dust particle sizes span two orders of magnitude, from 0.1 to 10 μ m; see Tab. 2. However, we find that dust particles with radii between 0.1 and 0.46 μ m are incorrectly accounted for in the Mie calculations of aerosol optical properties. Their mixing ratio is mapped on coarser MOSAIC bins than is required. Since finer particles have a stronger effect on AOD per unit mass in comparison to coarser particles, the model AOD is underestimated. As a

20 result, when tuning dust emission we push the model to emit more dust into the atmosphere, in order to fit the observed AOD. We rectify this error by correcting mapping fractions of $DUST_1$ into MOSAIC bins, see Tab. 4.

Tab. 4 presents the mapping fractions of the GOCART dust bins ($DUST_{1,2,3,4}$) to the MOSAIC bins ($MOS_{1,2,3,4,5,6,7,8}$) before and after correction. We do not include in the Tab. 4 GOCART dust bin $DUST_5$ since it is out of the MOSAIC size range and is therefore not accounted for in the mass redistribution. Also, the mass from $DUST_4$ is only partially accounted for.

25 Although this is a potential drawback, it does not impact the AOD drastically, as large particles contribute little in dust AOD.



Figure 2. Average dust and sea salt $PM_{2.5}$ a) and PM_{10} b) surface concentration ($\mu g/m^3$) calculated using default and updated coefficients values and relative difference (%).

After the changes, the dust mass from $DUST_1$ bin is redistributed between finer $MOS_{3,4,5,6}$ bins compared to the original WRF-Chem where all $DUST_1$ mixing ratio was mapped on the coarser $MOS_{5,6}$ bins.

Table 4. Dust mass redistribution between GOCART and MOSAIC bins. Before a) and after b) inclusion of dust particles with radii ≥ 0.1 µm into calculation of aerosol optical properties.

a)								
	MOS_1	MOS_2	MOS_3	MOS_4	MOS_5	MOS_6	MOS_7	MOS_8
$DUST_1$	0.0	0.0	0.0	0.0	0.305	0.695	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.312	0.688	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.583	0.417
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.666
b)								
$DUST_1$	0.0	0.0	0.062	0.174	0.347	0.417	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.312	0.688	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.583	0.417
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.666

3.2.2 Bin Concentration Interpolation

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Originally, the subroutine *optical_prep_gocart()* redistributes dust and sea salt mass from GOCART into MOSAIC bins, using the assumption that dust size distribution is a function of particle radius. Consistent with Sect. 3.1, here we conduct interpolation assuming that dust distribution is a function of natural logarithm of radius. This <u>correction modification</u> causes changes in the mass redistribution between the GOCART and MOSAIC bins (see Tab. 5) and increases the contribution of small dust particles

into the dust AOD. The rationale is that AOD. Because the dust size distribution is a smoother function of logarithm of the logarithm of a radius than the radius than radius itself, therefore interpolation is more accurate in logarithms than in radii.

Table 5. Dust mass redistribution between GOCART and MOSAIC bins based a) on the assumption that bin concentration is a function of radius, and b) on the assumption that bin concentration is a function of natural logarithm radius.

a)								
	MOS_1	MOS_2	MOS_3	MOS_4	MOS_5	MOS_6	MOS_7	MOS_8
$DUST_1$	0.0	0.0	0.062	0.174	0.347	0.417	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.312	0.688	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.583	0.417
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.666
b)								
$DUST_1$	0.0	0.0	0.194	0.301	0.301	0.204	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.380	0.620	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.643	0.357
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.737



Figure 3. AOD time-series (left) and scatter plots (right) from *NON_LOG_046* and *ALL_OK* runs (blue and red lines) and AERONET AOD (green markers) at *KAUST Campus, Mezaira, Sede Boker*. WRF-Chem's AOD is interpolated to the times (blue diamonds and red dots) when AERONET AOD measurements were conducted.

Table 6. Pearson correlation coefficient *R* and mean bias calculated for AOD time-series from two runs with respect to AERONET AOD observations.

	KAUS	T Campus	Me	zaira	Sede Boker		
	R	bias	R	bias	R	bias	
ALL_OK	0.66	-0.10	0.42	-0.19	0.75	-0.07	
NON_LOG_046	0.66	-0.20	0.36	-0.38	0.67	-0.11	

To estimate the effect of these two corrections, we develop the WRF-Chem simulation *NON_LOG_046*, where only these two inconsistencies are not fixed, and compare the resulting AOD with that from the *ALL_OK* run. The AOD values are computed as described in Appendix C. As expected, the AOD increases after the corrections. Fig. 3 compares the AOD obtained from two (with and without corrections) WRF-Chem_ALL_OK and NON_LOG_046 runs with AERONET AOD at KAUST Campus,

5 *Mezaira* and *Sede Boker*. Because AERONET conducts measurements during daylight hours only, we interpolate WRF-Chem AOD 's to the AERONET measurement times.

To quantify the capability of the WRF-Chem in reproducing the AERONET AOD, we calculate the Pearson correlation coefficient R and mean bias (see Appendix B) of simulated AOD with respect to the AERONET AOD observations for the entire simulation period (see Tab. 6). The corrections improve the correlation for *Mezaira* and *Sede Boker* and cause a twofold

10 reduction in the mean bias in *KAUST Campus* and *Mezaira*. The magnitude and temporal evolution of the AOD time-series is well correlated in both runs (with and without corrections) with the observed AERONET AOD at all sites only when the AERONET AOD < 1. For dusty conditions with AOD > 1, WRF-Chem with the original GOCART scheme ($dust_opt=1$) struggles to capture the observations. We find the worst correlation (R=0.42) and highest mean bias (-0.19) with AERONET AOD at the *Mezaira* station, which is located in a major dust source region (see Fig. 1). We obtain higher correlations with AERONET AOD of 0.66 and 0.75 for *KAUST Campus* and *Sede Boker* stations, respectively. Both of these stations are located outside the main dust source regions.

Figure 4 shows the averaged AOD fields obtained from the *ALL_OK* and *NON_LOG_046* runs, as well as their relative difference (%). We conclude that due to these two inconsistencies, dust AOD in the original WRF-Chem v3.2 is underestimated averaged AOD obtained from the *NON_LOG_046* run is lower by 25-30% on average over the ME in comparison with the *ALL_OK* run. Over Libya, Egypt, Oman, Iran, Azerbaijan, Turkmenistan, and Pakistan, the difference is even higher, reaching 30-35%.



Figure 4. Averaged AOD fields obtained from ALL_OK and NON_LOG_046 runs and their relative difference (%).

10 3.3 Gravitational Settling

We find that in the original WRF-Chem code the gravitational settling of dust and sea salt is calculated incorrectly. The default finite-difference scheme (implemented in the subroutine *settling()* file *module_gocart_settling.F*) does not account for change in air density when it calculates deposition mass flux. Thus, in the course of the gravitational settling the total mass of dust and sea salt in the atmosphere increases, violating their mass balances. We introduce the new finite-difference scheme, which allows

15 conservation of the mass of dust and sea salt in the course of gravitational settling in the atmosphere. The new finite-difference scheme is provided below.

The change of aerosol mixing ratio due to gravitational settling at downward directed velocity w is given by the following differential equation:

$$\frac{\partial(\rho \, q)}{\partial t} = \frac{\partial(\rho \, q \, w)}{\partial z},\tag{3}$$

where q is the aerosol mass mixing ratio (μ g/kg) and ρ is the dry air density (kg/m³). Using the first-order upwind scheme, this equation can be discretized into the following form:

$$\frac{q_k^{n+1} \rho_k^{n+1} - q_k^n \rho_k^n}{\Delta t} = \frac{q_{k+1}^n \rho_{k+1}^{n+1} w_{k+1}^n - q_k^n \rho_k^{n+1} w_k^n}{\Delta z_k},\tag{4}$$

where Δz_k is the depth of the k model level, Δt is the model time step. Subscript k denotes the model levels and superscript n is the time-level. Taking into account that the calculation of gravitational settling is split from the calculation of the continuity equation, we assume $\rho_k^{n+1} \approx \rho_k^n$ and get the following solution:

10
$$q_k^{n+1} = q_k^n \left(1 - \frac{\Delta t \, w_k^n}{\Delta z_k} \right) + q_{k+1}^n \frac{\Delta t \, w_{k+1}^n}{\Delta z_k} \frac{\rho_{k+1}^{n+1}}{\rho_k^{n+1}}.$$
 (5)

Equation 5 is solved for each model column from the top to the bottom.

To validate the modified finite-difference scheme, we zero dust emissions across the whole domain, except for the 200 km \times 200 km area located at the center of the domain; see Fig. 1. Only the first 10 simulation hours of dust emissions within this area are included. We prohibit the inflow of dust from the domain boundaries by zeroing the corresponding boundary conditions, and we zero the initial dust concentrations to simplify calculation of the dust mass balance, which we compute

using the following balance relation:

provided in Appendix F.

$$Dust in the atmosphere = Emitted dust - (Grav. settled dust + Dry deposited dust)$$
(6)

The amount of dust in the atmosphere is controlled by dust emission and dust deposition. The latter comprises gravitational settling and dry deposition. For the sake of clarity, we refrain from introducing other dust removal processes, such as subgrid wet deposition (*conv tr wetscav=0*). The procedure of calculation of these diagnostics using the WRF-Chem output is

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Figure 5 demonstrates the evolution of the components of the dust mass balance (see eq. 6) from the two runs, with and without correction of the gravitational settling procedure. For the analysis, we took only the first 40 hours of output because, after that time the dust plume reaches the lateral boundaries of the domain. As shown in Fig. 5a, the red dashed line corre-

- 25 sponding to the sum of deposited mass and dust mass in the atmosphere diverges from the purple dash-dotted line, which corresponds to the mass of emitted dust. This difference reaches 2.16% before the dust plume reaches the boundaries of the domain. The run using the original gravitational settling gains the dust mass represented by the blue line, due to the error in calculating gravitational settling, as discussed above. This is in contrast with Fig. 5b, where we see perfect agreement between the amounts of deposited dust plus dust in the atmosphere and emitted dust until the dust plume reaches the boundaries of the
- 30 domain. Thus, this inconsistency in the gravitational settling subroutine is significant, as the error of 2.16% of total emitted mass accumulates within \approx 20 hours. For a larger domain this imbalance will be more significant. This effect is especially



Figure 5. Dust mass balance check: a) before and b) after correction of gravitational settling. Deposited dust = Grav. settled dust + Dry deposited dust.

important in the low-latitude desert regions. Zhang et al. (2015); Dipu et al. (2013); Huang et al. (2010) reported that in dry subtropics the boundary layer height can reach 6-7 km, which promotes the transport of dust particles to this altitude. When dust particles are settling from higher altitudes, a larger mass imbalance is accumulated.

- We estimate the effect of the gravitational settling error by comparing averaged total dust column loadings (see Fig. 6a), accumulated gravitationally settled dust (see Fig. 6b), and averaged dust and sea salt PM₁₀ surface concentrations (see Fig. 6c) obtained in *ALL_OK* and *NOT_FIXED_GRAV_SETTLING* runs, where the latter corresponds to the run with error in gravitational settling. We perform a comparison in terms of relative differences (%) in the runs, with and without corrections. Dust column loadings, gravitationally settled dust, and PM₁₀ surface concentrations are calculated according to the methodology described in Appendix D, F3, E, respectively. According to Fig. 6a,b,c, we observe higher negative values of relative
- 10 difference over non-dust source regions (see Fig. 1), i.e., over Sudan, Turkey, Yemen, Eritrea, Djibouti, and Ethiopia. By contrast, the relative differences over dust source regions, which include Egypt and the eastern part of Arabian Peninsula, are close to zero. Coarse dust particles have shorter lifetimes in the atmosphere because of their higher deposition velocities. Thus, coarse dust particles are mostly deposited in the dust source regions, which explains close to zero values of relative difference in this region. Fine dust particles have longer atmospheric lifetime and thus can be transported over longer distances. The
- 15 discrepancies in the descriptions of the life cycle of fine dust explain larger relative errors in non-dust regions, as mentioned above.



Figure 6. <u>Comparison of ALL_OK and NOT_FIXED_GRAV_SETTLING runs.</u> a) Averaged total dust column loadings (g/m^2) and relative difference (%). b) Gravitationally settled dust (g/m^2) and relative difference (%). c) Averaged dust and sea salt PM_{10} surface concentrations $(\mu g/m^3)$ and relative difference (%).

Thus, we can conclude that in the original WRF-Chem v3.2 runsNOT_FIXED_GRAV_SETTLING run, the total dust column loading is overestimated higher by 4-6% over the ME in comparison with the ALL_OK run. The computed total amount of

dust in the atmosphere (see Appendix F4) was 6.41 and 6.72 Tg for *ALL_OK* and *NOT_FIXED_GRAV_SETTLING* runs, respectively. Hence, the amount of dust in the atmosphere is around 4.8% higher. The total amount of gravitationally settled dust is overestimated by 5-10% on average over the MEhigher on average in *NOT_FIXED_GRAV_SETTLING* run. The biggest difference (15-25%) is observed in Sudan, Yemen, Eritrea, Djibouti, Ethiopia, and Turkey. The computed total amount of

5 gravitationally settled dust (see Appendix F3) was 11 and 11.55 Tg for ALL_OK and NOT_FIXED_GRAV_SETTLING runs, respectively. Hence, the amount of gravitationally settled dust is around 5% higher in the NOT_FIXED_GRAV_SETTLING run. Dust and sea salt PM₁₀ surface concentrations (see eq. 2 and Appendix E) are overestimated higher by 2-4% on average over the ME in comparison with the ALL_OK run. We observe even bigger differences (6-10%) over Eritrea, Djibouti, Ethiopia, and Turkey.

10 3.4 Case study

In the previous sections, we separately quantified the effect of each inconsistency in the WRF-Chem code and explained the associated physical links using short-term runs. In this section, we conduct a seven-month case-study to demonstrate the cumulative effect of all inconsistencies. We ran two WRF-Chem simulations from June 1 to December 31, 2016, using the experimental setup described in Sec. 2. We refer to the WRF-Chem run, where all inconsistencies are intact as *ALL_OLD*. We

15 compare it with ALL_OK run in which all inconsistencies are corrected. The simulation period is chosen to take advantage of PM_{10} surface concentrations measurements conducted by the Saudi Authority for Industrial Cities and Technology Zones (MODON) in Riyadh, Jeddah, and Dammam (mega-cities of Saudi Arabia). More details on these measurements are provided in Ukhov et al. (2020a).

To adjust dust emissions in ALL_OLD run we tuned the C factor from Eq. 1. Our test runs indicated that C = 0.8 provides the

- 20 best agreement between simulated and observed AOD. For the *ALL_OK* run we used C = 0.5 as before. Comparison of daily averaged AOD time-series obtained from the *ALL_OK* and *ALL_OLD* runs with the AERONET AODs at *KAUST Campus, Mezaira, Sede Boker* is presented in Fig. 7. AODs from both experiments are in good agreement with the AERONET AOD. The Pearson correlation coefficients and mean biases (see Appendix B) with respect to AERONET AOD are in the ranges of 0.62-0.75 and -0.03-0.07, correspondingly, for all AERONET sites. Thus, in *ALL_OLD* run the incorrect mapping of dust
- 25 particles with radii between 0.1 and 0.46 μm causes stronger dust emissions in comparison with ALL_OK run.

The stronger dust emissions lead to increased dust surface concentrations and to increased dust content in the atmosphere. Figure 8 shows comparison of the daily averaged PM_{10} surface concentrations obtained from *ALL_OK* and *ALL_OLD* runs and from MODON observations in Riyadh, Jeddah, and Dammam. Modeled PM_{10} concentrations were computed using eq. 2. PM_{10} constituents were sampled at the exact MODON stations locations. We used "default" and "updated" mapping coefficients

30 s_25 , d_25 , d_10 (see Tab. 3) for evaluation of PM₁₀ concentrations from *ALL_OLD* and *ALL_OK* runs, correspondingly. PM₁₀ concentration time-series from *ALL_OK* run demonstrate better agreement with the MODON observations in comparison with the PM₁₀ time-series from *ALL_OLD* run. In particular, mean biases with respect to MODON observations for *ALL_OK* and *ALL_OLD* runs are 2, 23, 77 and 72, 128, 275 (μ g/m³) for Jeddah, Riyadh, and Dammam, correspondingly, see Fig. 8. Figure 9 demonstrates the averaged over the summer (June, July, August) of 2016 total dust column loadings () from the *ALL_OK* and



Figure 7. Daily averaged AOD time-series from *ALL_OK* and *ALL_OLD* runs (red and blue lines) and AERONET AOD (green line) at *KAUST Campus, Mezaira, Sede Boker*.

ALL_OLD runs, as well as g/m^2) and their relative differences (%). The obtained from the *ALL_OK* and *ALL_OLD* run in some locations dust content in the atmosphere from the *ALL_OLD* run is higher by 80% in comparison with *ALL_OK* run. The total mass of dust in the atmosphere in the *ALL_OK* run yields 6.68 Tg in comparison with 10.92 Tg in the *ALL_OLD* run, so the difference exceeds 60%.

5 3.5 Effect of Initial and Boundary Conditions

We specifically conduct a sensitivity simulation to examine the impact of boundary conditions on PM_{10} surface concentration over the ME. In this simulation boundary conditions are constructed using the developed *Merra2BC* interpolator (Ukhov and Stenchikov, 2020) (see Appendix A) and we zero the initial concentrations of dust and sea salt. The emissions of dust and sea salt within the domain are turned off (*dust_opt=0*, *seas_opt=0*). In this instance, PM_{10} concentrations are entirely

10 determined by the inflow from the lateral boundaries. The averaged PM_{10} surface concentrations are presented in Fig. 10. PM_{10} concentrations are calculated using Eq. 2. Figure 10 shows the inflow of PM_{10} from Africa, Central Asia and from the Indian ocean. Dust is the major contributor to the PM_{10} transported from Africa and Central Asia, whereas sea salt contributes to PM_{10} transported over the Indian ocean.



Figure 8. Daily averaged PM_{10} surface concentrations ($\mu g/m^3$) from *ALL_OK* and *ALL_OLD* runs (red and blue lines) and from MODON observations (green line) at *Jeddah*, *Riyadh*, and *Dammam*.



Figure 9. Averaged over the summer of 2016 total dust column loadings (g/m^2) from *ALL_OK* and *ALL_OLD* runs and relative difference (%).



Figure 10. Effect of trans-boundary transport. Averaged dust and sea salt PM_{10} surface concentrations ($\mu g/m^3$) obtained from WRF-Chem simulation without emission of sea salt and dust.

4 Conclusions

5

In this paper, we discuss the inconsistencies found in the WRF-Chem v3.2 model coupled with the GOCART aerosol module. All of these inconsistencies are rectified in the WRF-Chem v4.1.3 code release. Here, we demonstrate the effect of the code rectification on WRF-Chem model performance. We also demonstrate the methodology we employ to calculate diagnostics, which we then use to estimate the effects of the changes made. To make these assessments, we configure the WRF-Chem domain over the ME and run it with 10 km grid resolution. The runs under discussion in this paper were performed over the period of 1-12 August, 2016. The effect of each inconsistency was estimated using specifically designed WRF-Chem runs where only one model inconsistency was activated. The eumulative effect of all inconsistencies was estimated in the seven-month case-study conducted for June 1 - December 31, 2016, when both AERONET AODs and PM10 surface observations are available. The

10 comparison of runs with and without proposed changes shows that the run without corrections overestimates dust loadings and total mass by 80% and 60%, respectively.

We found that in WRF-Chem v3.2 coupled with GOCART, the inconsistency in diagnostics of PM surface concentration led to caused a 7% underestimation of decrease in $PM_{2.5}$ and a 5% overestimation of increase in PM_{10} surface concentrations.

Due to drawback in mapping of dust particles with radii between 0.1 and 0.46 µm from GOCART to MASAIC bins for Mie calculations of aerosol optical properties, the AOD was underestimated modeled AOD was decreased by 25-30% in comparison with the corrected WRF-Chem version. This led to higher dust emissions and surface PM concentrations, because the WRF-Chem model is tuned to fit the simulated AOD to AERONET observations. This explains the inconsistencies found in Kumar

- 5 et al. (2014); Eltahan et al. (2018); Flaounas et al. (2017). Flaounas et al. (2017) noted that the model simulates realistic AODs when dust emissions are exaggerated, which in turn results in exaggerated dust surface concentrations. Conversely, realistic reproduction of dust concentration yields AODs that are smaller than in observations. Because of the error in calculating gravitational settling, dust column loadings were overestimated increased by 4-6% and the amount mass of gravitationally settled dust was overestimated increased by 5-10% in comparison with the corrected WRF-Chem version. The contribution of
- 10 dust and sea salt into PM₁₀ surface concentration was overestimated also higher by 2-4% on average over the ME. The cumulative effect of all inconsistencies was estimated in the seven-month ease study case-study conducted for June 1 - December 31, 2016, when both AERONET AODs and PM₁₀ surface observations are available. The comparison of runs with and without proposed changes shows that the run without corrections yields higher dust loadings and total dust mass in the atmosphere by 80% and 60%, respectively. This seven-month case-study shows that the cumulative response to all code
- 15 modifications applied simultaneously is stronger than the sum of their partial contributions. For instance, AOD underestimation causes higher dust emissions, which causes higher dust surface concentrations and increased production of dust in the atmosphere due to the error in gravitational settling. As a consequence, PM_{10} surface concentrations further increases. Finally, an already high PM_{10} surface concentration is overestimated becomes even higher due to the incorrect calculation of PM_{10} . Thus, the proposed improvements help to explain the considerable bias towards higher PM_{10} concentrations found in (Ma
- et al., 2019; Flaounas et al., 2017; Su and Fung, 2015; Nabavi et al., 2017; Rizza et al., 2017; Eltahan et al., 2018).
 In the course of improving the simulation of natural and anthropogenic aerosols and chemicals, we developed the capability to use MERRA-2 reanalysis for constructing WRF-Chem initial and boundary conditions for chemical species and aerosols.
 The interpolation utility *Merra2BC* was coded for this purpose. Boundary conditions constructed using MERRA-2 reanalysis more realistically account for the trans-boundary transport of aerosols. *Merra2BC* is made available to the community.
- We believe the detailed quantification of the effects of the recent WRF-Chem code improvements are in line with open-source principles. The results of this work aim at better understanding of the model sensitivities to physical parameterizations. This work will add a greater understanding of model performance, and will be especially helpful for those who use the WRF-Chem model coupled with the GOCART aerosol module to carry out dust simulations over regions where dust plays an important role.
- 30 Code and data availability. The standard version of WRF-Chem is publicly available online at https://github.com/wrf-model/WRF. Merra2BC interpolator is available online at https://github.com/saneku/Merra2BC

Merra2BC interpolator (Ukhov and Stenchikov, 2020) (available online at *https://github.com/saneku/Merra2BC*) creates initial and boundary conditions based on MERRA-2 reanalysis (Randles et al., 2017) for a WRF-Chem simulation by interpolating chemical species mixing ratios defined on the MERRA-2 grid to WRF-Chem grid. For the initial conditions,

5 interpolated values are written to each node of the WRF-Chem grid. For the boundary conditions, only boundary nodes are affected.

Merra2BC is written in Python. The utility requires additional modules that need to be installed in the Python environment: NetCDF4 (netcdf4, https://github.com/Unidata/netcdf4-python) - interface to work with netCDF files and SciPy's (scipy, https: //github.com/scipy/scipy) interpolation package.

- 10 The full MERRA-2 reanalysis data set including aerosol and gaseous collections is publicly available online (https://disc. gsfc.nasa.gov/daac-bin/FTPSubset2.pl). Depending on the requirements, all or one of the following aerosol and gaseous collections need to be downloaded: *inst3_3d_aer_Nv* - gaseous and aerosol mass mixing ratios, (kg/kg) and *inst3_3d_chm_Nv* -Carbon monoxide and Ozone mass mixing ratios, (kg/kg). Besides downloaded mass mixing ratios, pressure thickness *DELP* and surface pressure *PS* fields also need to be downloaded. Spatial coverage of the MERRA-2 files should include the area of
- 15 the simulation domain. The time span of the downloaded files should match with the start and duration of the simulation. More information regarding MERRA-2 files specification is provided in Bosilovich et al. (2016).

A1 Reconstruction of the pressure in MERRA-2 and in WRF-Chem

Atmospheric pressure is used as a vertical coordinate. Latitude and longitude serve as the horizontal coordinates.

The MERRA-2 vertical grid has 72 model layers which are on a terrain-following hybrid $\sigma - p$ coordinate. The pressure 20 at the model top is a fixed constant, P_{TOP} =0.01 hPa. Pressures at the model edges are computed by summing the *DELP* starting at P_{TOP} . A representative pressure for the layer can then be obtained by averaging pressure values on adjacent edges. Indexing for the vertical coordinate is from top to bottom, i.e., the first layer is the top layer of the atmosphere (P_{TOP}), while the 72nd layer is adjacent to the earth's surface.

In WRF-Chem, the pressure field is not given in $wrfinput_d01$ and $wrfbdy_d01$ files. Hence, the pressure field must be restored using surface pressure P_{SFC} taken from $met_em_...*$ files created by metgrid.exe during the preprocessing stage. Pressure at the top of the model wrf_p_top and η -values on half levels (znu) are taken from the $wrfinput_d01$ file. The procedure of reconstructing the pressure from $met_em_...*$ files using the python code is demonstrated in Fig. A1:

A2 Mapping chemical species between MERRA-2 and WRF-Chem

Merra2BC file config.py contains multiplication factors to convert MERRA-2 mass mixing ratios of gases given in kg/kg into
 ppmv. Aerosols are converted from kg/kg to ug/kg. When using the GOCART aerosol module in WRF-Chem simulation, all MERRA-2 aerosols and gases are matched with those from WRF-Chem. We simply multiply by a factor of 10⁹ to convert MERRA-2 aerosols mixing ratios given in kg/kg into ug/kg. In the case of gases, we need to multiply MERRA-2 mass mixing

Figure A1. A python script, which reconstructs the pressure using the *met_em_...** files. nx, ny, nz - number of grid nodes in WRF-Chem domain.

ratios by a ratio of molar masses M_{air}/M_{gas} multiplied by 10^6 to convert kg/kg into ppmv, where M_{gas} and M_{air} are molar masses (g/mol) of the required gas and air (28.97 g/mol), respectively. If another aerosol module is chosen in WRF-Chem, then different multiplication factors should be used.

A3 Interpolation procedure

5 A brief description of the interpolation procedure applied to the initial conditions is presented in Fig. A2.

For boundary conditions the procedure is similar, except that additional updates of domain boundary tendencies are required and interpolation is performed for each step, where boundary conditions are applied.

A4 Typical workflow

Here are the steps describing how to work with *Merra2BC* interpolator:

- Run *real.exe*, which will produce initial *wrfinput_d01* and boundary conditions *wrfbdy_d01* files required by WRF-Chem simulation;
 - 2. Download required MERRA-2 files from https://disc.gsfc.nasa.gov/daac-bin/FTPSubset2.pl;
 - 3. Download the *Merra2BC* from https://github.com/saneku/Merra2BC;
 - 4. Edit *config.py* file which contains:
 - (a) mapping of chemical species and aerosols between MERRA-2 and WRF-Chem;
 - (b) paths to *wrfinput_d01*, *wrfbdy_d01*, *met_em_..** files;
 - (c) path to the downloaded MERRA-2 files;
 - real.exe sets default boundary and initial conditions for some chemical species. Merra2BC adds interpolated values to the existing values, which may cause incorrect concentration values. To avoid this, run "python zero_fileds.py", which will zero the required fields;

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Algorithm 1	Interpolation	procedure a	applied	to initial	conditions
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1:	Pressure	reconstruction	at	each	node	of	the	MERRA-2	and	WRF-Chem	
	grids.										

- 2: for each 72 vertical layers in MERRA-2 grid do
- 3: Horizontal interpolation of MERRA-2 pressure on WRF-Chem latitude, longitude nodes using bivariate spline approximation (method *RectBivariateSpline* from Scipy module).
- 4: **Result**: MERRA-2 pressure is calculated on 72 levels but on latitude, longitude nodes of the WRF-Chem grid.
- 5: for each chemical species mixing ratio do
- 6: for each 72 vertical layers in MERRA-2 grid do
- 7: Horizontal interpolation of MERRA-2 species mixing ratio on WRF-Chem latitude, longitude nodes using bivariate spline approximation (method *RectBivariateSpline* from Scipy module).
- 8: **Result**: MERRA-2 species mixing ratio is calculated on 72 levels but on latitude, longitude nodes of WRF-Chem grid.
- 9: for each lat, long node of the WRF-Chem grid do
- 10: Vertical linear interpolation of MERRA-2 species mixing ratio on WRF-Chem vertical coordinate (method *interp1d* from from Scipy module).
- Result: MERRA-2 species mixing ratio is interpolated at each node of WRF-Chem grid.
- 12: Multiplying interpolated species mixing ratio by corresponding factor to convert kg/kg into ppmv or ug/kg, depending whether it gas or aerosol.
- 13: Updating corresponding fields in WRF-Chem *wrfinput_d*01 file by interpolated values.
- Result: WRF-Chem grid is updated by interpolated values from MERRA-2 grid.

Figure A2. Interpolation procedure applied to initial conditions.

- 6. Run "python *main.py*", which will do the interpolation. As a result, files *wrfinput_d01*, *wrfbdy_d01* will be updated by the interpolated from MERRA-2 values;
- 7. Modify the WRF-Chem *namelist.input* file at section & *chem*: set *have_bcs_chem* = .*true*. to activate updated boundary conditions and, if needed, *chem_in_opt* = 1 to activate updated initial conditions;
- 5 8. Run wrf.exe.

Appendix B: Statistics

The following statistical parameters were used to quantify the level of agreement between estimations and observations:

Pearson correlation coefficient (R):

$$R = \frac{\sum_{i=1}^{N} \left(F_{i} - \bar{F}\right) \left(O_{i} - \bar{O}\right)}{\sqrt{\sum_{i=1}^{N} \left(F_{i} - \bar{F}\right)^{2} \sum_{i=1}^{N} \left(O_{i} - \bar{O}\right)^{2}}}.$$
(B1)

Mean bias (BIASbias):

$$bias = \frac{1}{N} \sum_{i=1}^{N} \left(F_i - O_i \right) \tag{B2}$$

5 where F_i is the estimated value, O_i is the observed value, $\bar{F} = \frac{1}{N} \sum_{i=1}^{N} F_i$ and $\bar{O} = \frac{1}{N} \sum_{i=1}^{N} O_i$ their averages and N is the number of data.

Appendix C: AOD calculations

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WRF-Chem does not calculate AOD at 550 nm (only at 300, 400, 600, 1000 nm variables *TAUAER1*, *TAUAER2*, *TAUAER3*, *TAUAER4*, respectively), but, instead, it outputs the extinction coefficient at 550 nm (variable *EXTCOF55*). The AOD at 550 nm (AOD_{550}) for (i, j) vertical column can be calculated by summing throughout the vertical column of product of multiplication of the *EXTCOF55* by the Δz :

$$AOD_{550\ i,j} = \sum_{k} EXTCOF55_{i,j,k} \cdot \Delta z_{i,j,k}, \tag{C1}$$

where $\Delta z_{i,j,k}$ is the depth (m) of the (i, j, k) cell, which can be computed using the formula:

$$\Delta z_{i,j,k} = (PH_{i,j,k} + PHB_{i,j,k}) / g - (PH_{i,j,k-1} + PHB_{i,j,k-1}) / g,$$
(C2)

15 where $PH_{i,j,k}$ PH is the geopotential and $PHB_{i,j,k}$ PHB is the perturbed geopotential and $g=9.81 m/s^2$ is the gravitational acceleration. Variables PH and PHB are taken from the WRF-Chem output.

To facilitate comparison with the model output the 550 nm AOD is calculated using the following relation:

$$\frac{\tau_{\lambda}}{\tau_{\lambda_0}} = \left(\frac{\lambda}{\lambda_0}\right)^{-\alpha} \tag{C3}$$

where α is the Ångström exponent for the 440-675 nm wavelength range provided by AERONET, τ_{λ} is the optical thickness 20 at wavelength λ , and τ_{λ_0} is the optical thickness at the reference wavelength λ_0 .

Appendix D: Column loadings

WRF-Chem stores dust column loadings (μ g/m²) using variables *DUSTLOAD*_1,2,3,4,5. Column loadings for (*i*,*j*) vertical column of other aerosols or chemical species can be computed by vertically summing throughout the vertical column of

product of multiplication of the mass mixing ratio $q (\mu g/kg)$ by the cell depth $\Delta z (m)$ (see eq. C2) and dry air density (kg/m³). WRF outputs variable *ALT*, which is inverse dry air density (m³/kg):

$$Column \ loading_{i,j} = \sum_{k} q_{i,j,k} \cdot \Delta z_{i,j,k} \cdot 1 / ALT_{i,j,k}$$
(D1)

WRF-Chem outputs gases concentrations expressed in ppmv. Conversion from ppmv into the mass mixing ratio can be 5 calculated using the following formula:

Mass mixing ratio = ppmv
$$\cdot 10^{-6} \cdot M_{gas}/M_{air}$$
, (D2)

where M_{gas} and M_{air} are molar masses (g/mol) of the required gas and air (28.97 g/mol), respectively.

Appendix E: Surface concentrations

Surface concentration (μ g/m³) of an aerosol at (i, j) vertical column can be computed by multiplication of the mass mixing 10 ratio (μ g/kg) at the first model level (q_1) by the corresponding dry air density (kg/m³) at the first model level ($1/ALT_1$):

$$Surface \ concentration_{i,j} = q_{i,j,1} \cdot 1/ALT_{i,j,1}$$
(E1)

To obtain gas surface concentration ($\mu g/m^3$), (ppmv) needs to be converted to the mass mixing ratio; see eq. D2.

Appendix F: Dust mass balance

In the WRF-Chem's GOCART aerosol module, dust emissions along with three types of removal processes (dry deposition, gravitational settling, and wet scavenging) are implemented. Here, for the sake of clarity, we refrain from consideration of wet scavenging. To calculate the dust mass balance, assuming there is no flow of dust through the domain boundaries, we need to calculate the amount of dust emitted from the domain area, the amount of dust that was deposited by gravitational settling and dry deposition, and the amount of dust in the atmosphere. By default, WRF-Chem stores instantaneous values of dust emission and deposition fluxes. We modified the WRF-Chem code to accumulate the dust emission and deposition fluxes.

20 F1 Grid column area

In WRF, one of the following four projections can be used: the Lambert conformal, polar stereographic, Mercator, and latitudelongitude projections. These projections are implemented using map factors. In the computational space, the grid lengths Δx (m) and Δy (m) (dx and dy variables in *namelist.input*) in x and y directions are constants. In the physical space, distances between grid points vary with position on the grid. Map factors $mx_{i,j}$ and $my_{i,j}$ for both the x and y components are used for

the transformation from computational to physical space, and computed by *geogrid.exe* during the preprocessing stage. $mx_{i,j}$ and $my_{i,j}$ are defined as the ratio of the distance in computational space to the corresponding distance on the earth's surface (Skamarock et al., 2008):

$$(mx_{i,j}, my_{i,j}) = (\Delta x, \Delta y) / (distance on the earth_{i,j})$$
(F1)

Map factors $mx_{i,j}$ and $my_{i,j}$ for each (i,j) vertical column are stored in $wrfinput_d01$ file in variables $MAPFAC_MX$ and $MAPFAC_MY$, respectively. Thus, the area of (i,j) column $S_{i,j}$ (m²) in physical space is calculated using formula:

$$S_{i,j} = (\Delta x/mx_{i,j}) \cdot (\Delta y/my_{i,j})$$
(F2)

F2 Dust emission

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5 For demonstration purposes, we use the original GOCART-WRF dust emission scheme (*dust_opt=1*) implemented in subroutine *gocart_dust_driver()* file *module_gocart_dust.F*. In this scheme, instantaneous dust emission flux (kg/s cell), calculated for each dust bin is stored in the variables *EDUST1,2,3,4,5*. Other dust emission schemes (*dust_opt=2,3*) store instantaneous dust emission flux expressed in (g/m²s) and (µg/m²s), respectively. Thus, multiplying this flux by Δt on each timestep and by adding the value obtained to the previous value, we accumulate dust emission (kg/ cell) from each surface grid cell. Thus,
10 emission of dust from the first dust bin *Emitted dust₁* (kg) is calculated using the following formula:

$$Emitted \ dust_1 = \sum_{i,j} (S_{i,j} / \Delta x \cdot \Delta y) \cdot EDUST_{i,j}, \tag{F3}$$

where $S_{i,j}$ is the area of the (i,j) column (m^2) ; see eq. F2. Here we divide $S_{i,j}$ by $\Delta x \cdot \Delta y$ to account for the fact that in the subroutine *gocart_dust_driver()* dust emission are calculated in the computational space where grid cells have dimensions Δx and Δy .

15 F3 Gravitational settling and dry deposition

The subroutines *settling()* implemented in *module_gocart_settling.F* and *gocart_drydep_driver()* implemented in *module_gocart_drydep.F* are used to calculate gravitational settling and dry deposition of dust. By default, instantaneous gravitational and dry deposition fluxes ($\mu g/m^2 s$) are stored in variables *GRASET_1,2,3,4,5* and *DRYDEP_1,2,3,4,5*, respectively. Thus, multiplying these fluxes on each timestep by the timestep Δt and the scaling coefficient 10^{-9} , and by adding the resulting value to the previous value, we obtain accumulated gravitational and dry deposition mass per unit area expressed in (kg/m²).

Hence, deposition of the dust from the first dust bin due to gravitational settling ($Grav. settled dust_1$, kg) and dry deposition ($Dry. deposited dust_1$, kg) is calculated using the following formulas:

$$Grav. settled \ dust_1 = \sum_{i,j} S_{i,j} \cdot GRASET_1_{i,j},\tag{F4}$$

$$Dry. \ deposited \ dust_1 = \sum_{i,j} S_{i,j} \cdot DRYDEP_1_{i,j}, \tag{F5}$$

25 where $S_{i,j}$ is the area of the (i,j) column (m²); see eq. F2.

F4 Dust in the atmosphere

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There are two approaches to calculate the amount of dust in the atmosphere (*Dust in the atmosphere*, kg). In the first approach we use dust column loadings (variables *DUSTLOAD_1,2,3,4,5*, μ g/m²). Thus, the mass of dust in the first dust bin is given:

5 Dust in the atmosphere₁ =
$$10^{-9} \cdot \sum_{i,j} S_{i,j} \cdot DUSTLOAD_1_{i,j}$$
, (F6)

where $S_{i,j}$ is the area of the (i, j) column (m²); see eq. F2.

In the second approach we calculate the mass of air in each grid cell, multiply it by the dust mass mixing ratio (for example $DUST_1$, µg/kg), and sum over all grid cells in the domain:

$$Dust in the atmosphere_1 = 10^{-9} \cdot \sum_{i,j} S_{i,j} \cdot \sum_k DUST_{1\ i,j,k} \cdot \Delta z_{i,j,k} \cdot 1/ALT_{i,j,k} ,$$
(F7)

10 where $\Delta z_{i,j,k}$ is the depth (m) (see eq. C2) and $ALT_{i,j,k}$ is the inverse dry air density (m³/kg) in the grid cell (i, j, k). Gaseous concentrations expressed in ppmv need to be converted into mass mixing ratios (µg/kg); see eq. D2.

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