

Dear Editor,

Thank you for the opportunity to improve our manuscript. In response to your comments, we have conducted an additional case-study and once more updated the text adding the results of the seven-month numerical experiments and compare them with the available PM and AOD observations. We now show that in comparison with observations, the old version of WRF-Chem overestimates the dust surface concentrations, while our improved code demonstrates much lower bias. In the paper, we explain the physical reason for this overestimation, which makes it clear that such behavior will manifest in any other heavy-dust conditions.

Please see below our responses (blue) to your comments (gray). We also provide the newly revised manuscript with all additional changes and responses to both reviewers that we made consistent with the final version of the manuscript.

Thanks for improving the manuscript to answer the two reviews. I still fill however that the main points of reviewer #1 have not been adequately answered; in particular you present your modifications as an overall improvement of dust simulations in WRF-CHEM, but the manuscript focuses only a short period of time, and on a single dusty region, without comparing to observations. In my opinion, you are not proving that your modifications do represent an improvement : you should compare to global observations (surface concentration and AOD), over a longer period of time in order to be able to assert this.

Our first goal in this work was to show the effect of physical and coding errors we identified and corrected in WRF-Chem. We believe it is essential to clean the code and delineate the contributions of the introduced changes. It demonstrates the physical interconnections and evaluates the results' sensitivity to variation of specific processes and parameters. Therefore we have chosen the idealized settings to quantify the contributions of multiple model corrections presented in the paper.

We agree that the more realistic case-study supported by observations will undoubtedly benefit the paper. Therefore we have conducted two seven-month-long WRF-Chem simulations covering the period from June 1 to December 31, 2016 (see section 3.4 in the revised manuscript). In the first simulation, all inconsistencies in WRF-Chem are fixed (ALL_OK WRF-Chem run). In the second, none of the discrepancies are fixed (ALL_OLD WRF-Chem run). In both runs, dust emission was calibrated so that the AOD from the run fits the AERONET AODs observed at three stations (KAUST campus, Mezaira, and Sede Boker). To test the output from the ALL_OK and the ALL_OLD runs, we use the available PM10 observations conducted by the Saudi Authority for Industrial Cities and Technology Zones (MODON) in Riyadh, Jeddah, and Dammam (mega-cities of Saudi Arabia) during 2016 (see Fig. 8 in the revised manuscript). More details on the MODON observations are available in (Ukhov et al., 2020).

Results presented in Fig. 8 show that PM10 surface concentrations in ALL_OK run much better reproduce the MODON observations than in the ALL_OLD run, where PM10 concentrations are severely overestimated. In particular, mean biases for the entire period of simulations with respect to MODON observations for the ALL_OK and the ALL_OLD runs are 2, 23, 77, and 72, 128, 275 (ug/m³) for Jeddah, Riyadh, and Dammam, correspondingly. Thus, the ALL_OLD run's PM10 bias is at least three times bigger than in the ALL_OK run.

The comparison of the dust column loadings averaged over the summer (June, July, August) of 2016 (see Fig. 9) shows that dust content in the atmosphere is overestimated by up to 80% in the ALL_OLD run compared with the ALL_OK run. These results are in agreement with the statement we made in the conclusion of the original manuscript.

Besides, some answers to reviewer comments, and in particular about the sea-salt and dust coefficients for PM2.5 and PM10 calculations, are incomplete: you mention that "Coefficients d_25, s_25, d_10 in eq.2 are obtained assuming that the aerosol size distribution is a function of ln(r). This method is acknowledged in CAMS reanalysis (<https://confluence.ecmwf.int/pages/viewpage.action?pageId=153393481>)". I had a look to the webpage and they don't mention anything about the aerosol size distribution for PM computation.

Sorry for the confusion and that we did not make our statement clear enough. The formulas for calculating PM2.5 and PM10, as we mentioned, are presented in the CAMS knowledge base at: <https://confluence.ecmwf.int/pages/viewpage.action?pageId=153393481>

We repeat these relations here for clarity:

$$PM2.5 = RHO * (1 * SS1 + 0.4 * SS2 + 1 * DD1 + 1 * DD2 + \underline{0.11 * DD3} + 0.7 * OM1 + 0.7 * OM2 + 0.7 * SU1 + 1 * BC1 + 1 * BC2) \quad (1)$$

$$PM10 = RHO * (1 * SS1 + 1 * SS2 + 1 * DD1 + 1 * DD2 + \underline{0.55 * DD3} + 0.85 * OM1 + 0.85 * OM2 + 0.85 * SU1 + 1 * BC1 + 1 * BC2)$$

The relations (1) show that in CAMS PM2.5 and PM10 comprise the contributions from different bins that constitute the size distributions (concentration of specific aerosols within a given size range) for five aerosol types: sea salt (SS), dust (DD), organic matter (OM), sulfate (SU), and black carbon (BC). E.g., three dustbins (DD1, DD2, DD3) cover the following particle diameter ranges (um): DD1: [0.06-1.1], DD2: [1.1-1.8], DD3: [1.8-40]. To calculate the contribution of, e.g., 3rd dustbin DD3 into PM2.5, one has to interpolate the portion of DD3 that falls into the range D<2.5 um. We checked that CAMS does this interpolation in the logarithmic space:

$$(ln(2.5) - ln(1.8)) / (ln(40) - ln(1.8)) = 0.32 / 3.101 = 0.11$$



The contribution of 3rd dust bin DD3 into PM10 is calculated the following way:

$$(\ln(10) - \ln(1.8)) / (\ln(40) - \ln(1.8)) = 1.714 / 3.101 = 0.55$$



These coefficients for DD3 contributions (and similarly for all other aerosol bins) are used in CAMS, see formula (1). In our paper, we adopted this approach for calculating coefficients d_{25} , s_{25} , and d_{10} by interpolating bin's contributions in PM2.5 and PM10 in the logarithmic space.

Still, I realize that your manuscript represent a large amount of work, and some modifications are of interest. I think you should try to use a broader range of simulations and comparison to observations to prove your point and hope your will resubmit a new manuscript that goes in this direction.

WRF-CHEM, but the manuscript focuses only a short period of time, and on a single dusty region, without comparing to observations.

Kind regards,

Samuel Remy

Thanks very much, we appreciate your encouragement. Sincerely,
Alexander Ukhov and Georgiy Stenchikov

References

Ukhov, A., Mostamandi, S., da Silva, A., Flemming, J., Alshehri, Y., Shevchenko, I., and Stenchikov, G.: Assessment of natural and anthropogenic aerosol air pollution in the Middle East using MERRA-2, CAMS data assimilation products, and high-resolution WRF-Chem model simulations, *Atmos. Chem. Phys.*, 20, 9281–9310, <https://doi.org/10.5194/acp-20-9281-2020>, 2020.

Updated reply to the comments of the 1st Referee:

Comment1: This manuscript examined some inconsistencies with the use of the Goddard Chemistry Aerosol Radiation and Transport (GOCART) aerosol module in the fully coupled WRF-Chem model. The authors identified that 1) the diagnostic output of PM_{2.5} surface concentration was underestimated by 7% and PM₁₀ surface concentration was overestimated by 5% due to the incorrect representation of the dust and sea salt coefficients; 2) the contribution of sub-micron (0.1 – 0.46 μ m) dust particles was underestimated in the calculation of optical properties with the consequence of underestimated AOD by 25-30% because the finer dust particles were not accounted for in the Mie calculations; and 3) an inconsistency in dealing with gravitational settling that led to the overestimation of the dust column loadings by 4-6%, PM₁₀ surface concentrations by 2-4%, and the rate of gravitational settling by 5-10%. The authors further examined the impacts of boundary conditions on PM₁₀ surface concentrations using the MERRA-2 reanalysis. These are all useful aspects of the WRF-Chem model and certainly help the improvement of the WRF-Chem simulations. However, this manuscript lacks indepth technical and scientific analyses and is rather poor scientifically. All the analyses were based on one dust case (1-12 August, 2016) over the Middle East which calls into question the applicability and effectiveness of the code rectifications in other regions and in other dust cases under different meteorological and land surface conditions. Besides, I have several major concerns as listed below:

We thank the reviewer for the valuable comments. We do not completely agree with the statement related to the technical and scientific merits of our study. The paper discusses the inconsistencies we found in the WRF-Chem v3.2 code released on April 2, 2010. We cooperated with the model developers to test and implement those corrections in the newly released WRF-Chem v4.1.3. The main objective of the presented paper is to quantify the effect of those inconsistencies on model performance. These changes could not be made without a deep understanding of model physics and the code.

Our first goal in this work was to show the effect of physical and coding errors we identified and corrected in WRF-Chem. We believe it is essential to clean the code and delineate the contributions of the introduced changes. It demonstrates the physical interconnections and evaluates the results' sensitivity to variation of specific processes and parameters. Therefore, we have chosen the idealized settings to quantify the contributions of multiple model corrections presented in the paper.

Specifically, our findings explain why WRF-Chem overestimated PM₁₀ surface concentrations, and why realistic values of AOD were associated with overly strong dust emissions and elevated dust surface concentrations. These discrepancies have been discussed in some previous studies: Kumar et al. (2014); Eltahan et al. (2018); Flaounas et al. (2017).

Our numerical experiments are chosen to demonstrate the effectiveness of those corrections quantitatively. It is not vitally important which region of the world is selected for our experiments, just that it should be a dusty region. We use a WRF-Chem experimental setup configured over the Middle East, one of the most significant dust source regions.

However, we agree that the more realistic case-study supported by observations will undoubtedly benefit the paper. Therefore we have conducted two seven-month-long WRF-Chem simulations covering the period from June 1 to December 31, 2016 (see section 3.4 in the revised manuscript). In the first simulation, all inconsistencies in WRF-Chem are fixed (ALL_OK WRF-Chem run). In the second, none of the discrepancies are fixed (ALL_OLD WRF-Chem run). In both runs, dust emission was calibrated so that the AOD from the run fits the AERONET AODs observed at three stations (KAUST campus, Mezaira, and Sede Boker). To test the output from ALL_OK and ALL_OLD runs, we use the available PM₁₀ observations conducted by the Saudi Authority for Industrial Cities and Technology Zones (MODON) in Riyadh, Jeddah,

and Dammam (mega-cities of Saudi Arabia) during 2016 (see Fig. 8 in the revised manuscript). More details on the MODON observations are available in (Ukhov et al., 2020).

Results presented in Fig. 8 show that PM10 surface concentrations in ALL_OK run reproduce MODON observations much better than in the ALL_OLD run, where PM10 concentrations are severely overestimated. In particular, mean biases with respect to MODON observations for ALL_OK and ALL_OLD runs are 2, 23, 77 and 72, 128, 275 ($\mu\text{g}/\text{m}^3$) for Jeddah, Riyadh, and Dammam, correspondingly. Thus, the ALL_OLD run's PM10 bias is at least three times bigger than in the ALL_OK run.

The comparison of the dust column loadings averaged over the summer (June, July, August) of 2016 (see Fig. 9) shows that dust content in the atmosphere is overestimated by up to 80% in the ALL_OLD run compared with the ALL_OK run. These results are in agreement with the statement we made in the conclusion of the original manuscript.

Comment 1: The Introduction section was poorly written. It is clear that the authors have read and cited a lot of references on the subject of dust sources, dust impacts, and dust modeling but the Introduction section was written in such a way that it was hard to gain a clear idea of why the inconsistencies occur and what the latest developments are in dealing with them and how the authors would like to address them. The Introduction section needs to be improved substantially.

We agree. We have shortened and improved the introduction section and clarified the text overall.

Comment 2: How were the “correct” dust and sea salt coefficients, d_{25} , s_{25} , d_{10} , in Equation 2, determined? The authors mentioned that they used the natural logarithm of particle radii but what was the rationale behind that? Was that determined from empirical relationships or lab experiments or field measurements or just trial and error? Are there any references for that?

Calculation of PM2.5 and PM10 concentrations requires the integration of aerosol volume size distribution (approximated in GOCART by five bins for dust and by three bins for sea salt) over the radius r from 0 to 2.5 μm and from 0 to 10 μm , respectively. Integration could be done assuming that the size distribution is a function of r or $\ln(r)$. Coefficients d_{25} , s_{25} , d_{10} in eq.2 are obtained assuming that the aerosol size distribution is a function of $\ln(r)$. This method is acknowledged in CAMS reanalysis (<https://confluence.ecmwf.int/pages/viewpage.action?pageId=153393481>) and is justified by the fact that an aerosol size distribution is a smoother function of $\ln(r)$ than r , and therefore numerical integration is more accurate. The coefficients s_{25} , d_{25} , d_{10} in the original WRF-Chem v3.2 were calculated incorrectly.

The formulas for calculating PM2.5 and PM10, as we mentioned, are presented in the CAMS knowledge base at:

<https://confluence.ecmwf.int/pages/viewpage.action?pageId=153393481>

We repeat these relations here for clarity:

$$\begin{aligned} PM2.5 &= RHO * (1 * SS1 + 0.4 * SS2 + 1 * DD1 + 1 * DD2 + \underline{0.11 * DD3} + 0.7 * OM1 + 0.7 * OM2 + 0.7 * SU1 + 1 * BC1 + 1 * BC2) \\ PM10 &= RHO * (1 * SS1 + 1 * SS2 + 1 * DD1 + 1 * DD2 + \underline{0.55 * DD3} + 0.85 * OM1 + 0.85 * OM2 + 0.85 * SU1 + 1 * BC1 + 1 * BC2) \end{aligned} \quad (1)$$

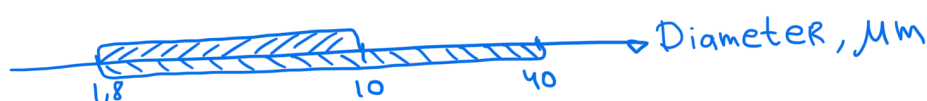
The relations (1) show that PM2.5 and PM10 comprise the contributions from different bins that constitute the size distributions (concentration of specific aerosols within a given size range) for five aerosol types: sea salt (SS), dust (DD), organic matter (OM), sulfate (SU), and black carbon (BC). E.g., three dustbins (DD1,

DD2, DD3) cover the following particle diameter ranges (um): DD1: [0.06-1.1], DD2: [1.1-1.8], DD3: [1.8-40]. To calculate the contribution of, e.g., 3rd dustbin DD3 into PM2.5, one has to interpolate the portion of DD3 that falls into the range $D < 2.5$ um. We checked that CAMS does this interpolation in the logarithmic space: $(\ln(2.5) - \ln(1.8)) / (\ln(40) - \ln(1.8)) = 0.32 / 3.101 = 0.11$



The contribution of 3rd dust bin DD3 into PM10 is calculated the following way:

$$(\ln(10) - \ln(1.8)) / (\ln(40) - \ln(1.8)) = 1.714 / 3.101 = 0.55$$



These coefficients for DD3 contributions (and similarly for all other aerosol bins) are used in CAMS, see formula (1). In our paper, we adopted this approach for calculating coefficients d_{25} , s_{25} , and d_{10} by interpolating bin's contributions in PM2.5 and PM10 in the logarithmic space.

Comment 3&4: I commend the authors for identifying the underestimation of the AOD by the neglect of the sub-micron dust particles and their effects but I am concerned that the authors did not provide any logic behind the modifications of the corresponding numbers from MOZAIC bins (1, 2, 3, 4, 5, 6, 7, 8) to GOCART dust bins (DUST1, 2, 3, 4, 5). Please provide scientific evidence or references to support this work. Otherwise, what the authors have done is not convincing at all. 4) The authors changed the calculation of bin concentrations of dust and sea salt from using the functions of particle radius to using the functions of natural logarithm of radius. Again, what was the rationale behind this?

The mapping of GOCART five dustbins approximation of the aerosol size distribution to the MOSAIC eight bins requires the interpolation of aerosol size distribution over the radius r or $\ln(r)$. Consistent with our calculation of PM2.5 and PM10, we assume that the aerosol size distribution is a function of $\ln(r)$. The rationale is that the size distribution is a smoother function of $\ln(r)$ than r , and therefore both interpolation and integration are more accurate in the $\ln(r)$ space. In the course of interpolation, we conserve the integral of volume size distribution, i.e., the total volume of particles. Table 4 compares the bins' partitions calculated assuming aerosol size distribution is a function of $\ln(r)$ or r . Please see the response to Comment 2, where we described the procedure.

Comment 5: I did not understand how the inconsistency in the gravitational setting of dust and sea salt led to the increase of their total mass in the atmosphere. The authors mentioned that "Instead of transport the dust and sea salt mass between the layers, the default finite-difference scheme transport their mass mixing ratios not taking into account the dry air density variation with the height". Does this mean that dust and sea salt mass can't be transported across the layers? If there are vertical motions or turbulence dust and sea salt can certainly move up and down. Then where did this overestimation come from?

In the previous versions of WRF-Chem coupled with GOCART, the mass fluxes of gravitationally deposited material (dust and sea salt) were miscalculated. Let's consider two neighboring (in vertical) grid cells, one

above another. Originally, the model underestimated outgoing aerosol mass flux at the bottom of the upper grid cell and overestimated the incoming aerosol mass at the top of the lower cell. As a result, the integral mass balance was violated. In the paper, we present a new finite difference scheme that is free from the above discrepancy. It keeps the outgoing (from the upper cell) and incoming (into the lower cell) aerosol mass flux exactly equal. We conducted an idealized numerical experiment which shows that the old scheme violates the mass balance, whereas the new one does not.

6) The English of this manuscript needs to be improved.

We agree. We have now thoroughly re-edited and improved the text of the manuscript for clarity of meaning and readability.

Minor concerns:

1) On Line 21-22 of Page 8 the authors stated that “The model erroneously pushed more dust into the atmosphere to fit the observed AOD”. Was this in reference to the model default values or the model runs that assimilate the observed AOD. I don’t quite understand this.

Here we compare two runs with and without corrections. In both runs, emissions are corrected to fit the observed AOD. In the model without corrections, to reproduce the observed AOD, more dust has to be emitted because of erroneous AOD’s calculation. Thus the run without corrections, e.g., overestimates the PM concentrations in the near-surface layer. This is in line with the cases discussed in the literature when dust emission in WRF-Chem is tuned to reproduce AOD and it overestimates PM surface concentrations. The text has corrected to clarify this issue.

2) I did not understand this statement on Line 16-18 of Page 10: “In both runs, the magnitude and temporal evolution of the AOD time-series are well correlated with the observed AERONET AOD at all sites only in the absence of dust events or when the AERONET AOD is below 1”. I am wondering what the authors wanted to convey here with this statement.

We wanted to convey the fact that the WRF-Chem model with the original GOCART-WRF dust emission scheme (*dust_opt=1*) incorrectly captures strong dust events, which we defined as the cases when $AOD > 1$.

3) The ALL_OK run was just one of the model realizations. It is not appropriate to treat it as truth and designate the differences from it as biases.

We agree. We have corrected the text to make this point clear.

4) How useful is the Merra2BC utility in general sense? Since the MERRA-2 reanalysis is essentially a global atmospheric reanalysis generated by an atmospheric circulation model with the incorporation of trace gas constituents and aerosols. It is not a fully coupled atmosphere-chemistry model and I would think that the so created gaseous and aerosol species data may not be as useful as those from fully coupled chemistry models such as MOZART-4.

One of the advantages of the MERRA-2 reanalysis is the fact that it assimilates AOD and SO₂ column loadings to constrain dust and SO₂ content in the atmosphere. Additionally, MERRA-2 has a higher resolution than MOZART-4. MOZART-4 resolution is 128×64 grid boxes, while MERRA-2 has 576×360. We use MERRA-2 output specifically for that time period we consider in our simulations, while originally WRF-Chem uses MOZART output from a climatological run. MOZART-4 has 4 dust size bins with a maximum radius of 5 microns, while MERRA-2 has 5 size bins with a maximum radius of 20 microns. Besides dust, MERRA-2 calculates sea salt, ozone, sulfate, black and organic carbon, sulfur dioxide, DMS,

and MSA. MERRA-2 hourly fields are available from 1980 to present and are an invaluable asset for building initial and boundary conditions for regional aerosol and chemistry simulations. This is not to diminish the value of the MOZART-4's more sophisticated chemistry. But WRF-Chem uses chemical mechanisms and aerosol microphysics quite consistent with MERRA-2, which provides another advantage of using MERRA-2 for building IC&BC for WRF-Chem.

5) The authors mentioned that Figure 4 shows the averaged AOD time-series and scatter plots obtained from the ALL_OK and NON_LOG_046 runs. Instead, I found the spatial patterns of AOD and their differences.

Thank you for capturing the Figure 4 misrepresentation. We have corrected the sentence, which now reads: *"Figure 4 shows the averaged AOD fields obtained from the ALL_OK and NON_LOG_046 runs, as well as their relative difference (%)."*

Sincerely,

Alexander Ukhov and Georgiy Stenchikov

References

Ukhov, A., Mostamandi, S., da Silva, A., Flemming, J., Alshehri, Y., Shevchenko, I., and Stenchikov, G.: Assessment of natural and anthropogenic aerosol air pollution in the Middle East using MERRA-2, CAMS data assimilation products, and high-resolution WRF-Chem model simulations, Atmos. Chem. Phys., 20, 9281–9310, <https://doi.org/10.5194/acp-20-9281-2020>, 2020.

Updated reply to the comments of the 2nd Referee:

General comments

Comment 1: There are a very large number of appendices, some of them very short, which results in a disjointed manuscript that doesn't flow very well. I would consider reformulating these so that the overall paper flows better (possibly merging those which are fundamental to the paper, e.g. the non-technical description of Merra2BC, into the body). Appendix F doesn't even appear to be referenced anywhere in the manuscript.

We thank the reviewer for the valuable comments. We have merged Appendix H, which presents the improved numerical scheme for gravitational settling calculations, with the main body of the paper. Appendix F is combined with appendix G and is now referenced in Sect. 3.3. The appendices are specifically designed to consolidate the technical information about diagnostic calculations and pre-processing (Merra2BC). We feel it is convenient to have this information on hand at the end of the main text.

Comment 2:

There are several places (particularly in the introduction, but also in section 2.1.1) where an excessively long list of citations is given to exemplify a point – please consider whether all of these are necessary and if not cite the most pertinent examples.

The reference list in section 2.1.1 has been shortened.

Comment 3:

In a number of places, configuration parameters of WRF-Chem are referred to without explaining their meaning. While the manuscript is obviously of most interest to those familiar with this model, it should be understandable more widely.

We have added Table 1 into the Introduction section, where a short description of the *chem_opt* namelist options affected by our modifications is provided.

Comment 4:

Finally, the experiments carried out should be described prior to the results section.

We have added a description of each found inconsistency into the Introduction section (before Table 1). Although this gives an impression of how the test experiments are organized, we prefer to keep the detailed description of the experiments in the specific sections, as it is combined with the explanation of a specific problem and how it was rectified.

Specific comments:

p.3, lines 29–31: please include a table explaining what these options are.

We have added Table 1 at the end of the Introduction section, where all *chem_opt* options are explained.

p.4, line 14: please state what “chem_opt=300” means.

Table 1 now includes a description of the *chem_opt=300*. The text has also been modified to read: “We use *chem_opt=300* namelist option, which corresponds to simulation using GOCART aerosol module without chemical reactions.”

p.4, line 24: is AFWA an acronym? If so, please expand on first use.

Acronym AFWA has been expanded in the text into “Air Force Weather Agency”

p.6, lines 28–29: should this be ERA-Interim (not ECMWF Interim)?

This sentence has been changed to read: “IC&BC for meteorological fields are derived from the ERA-Interim (Dee et al., 2011) global atmospheric reanalysis produced by the European Centre for Medium-Range Weather Forecasts (ECMWF).”

p.7, lines 9–12: a little more explanation of Merra2BC is warranted in the main body of the paper, especially given that its introduction is later highlighted in the conclusions suggesting it's more than a minor element.

This has been edited so that we now discuss the rationale of using MERRA-2 output for constructing the IC&BC. MERRA-2 aerosol and chemical species fields are superior (in terms of spatial resolution, time coverage, and because they are constrained by observations) in comparison to those used in WRF-Chem so far for calculation IC&BC (MOZART-4, for example). Merra2BC is a preprocessor that conveniently transforms MERRA-2 output into WRF-Chem IC&BC.

p.7, line 14: this is confusing, because it refers to "all inconsistencies" when these haven't yet been enumerated in the text. Please reformulate so that the inconsistencies, changes made, and experiments carried out are introduced prior to the results section.

Thank you for your comment. To clarify this issue, a new paragraph has been added into the Introduction section, where we briefly introduce the found inconsistencies and their effects.

p.7, line 30: is it documented that a log-based distribution is the one on which the parameterisation is based, and that therefore this is an inconsistency? Or is it the author's assumption/assertion that such a distribution is universally the correct one to assume, whatever the parameterisation?

Calculating PM2.5 and PM10 concentrations requires the integration of aerosol volume size distribution over the radius r from 0 to 2.5 μm , and from 0 to 10 μm , respectively. Integration can be performed assuming that the size distribution is a function of r or $\ln(r)$. We calculate coefficients d_{25} , s_{25} , d_{10} in eq.2 assuming that the aerosol size distribution is a function of $\ln(r)$. This method is acknowledged in CAMS reanalysis (<https://confluence.ecmwf.int/pages/viewpage.action?pageId=153393481>) and is justified by the fact that an aerosol size distribution is a smoother function of $\ln(r)$ than r , and therefore numerical integration is more accurate.

The formulas for calculating PM2.5 and PM10 presented in the CAMS knowledge base:

$$\begin{aligned} PM2.5 &= RHO * (1 * SS1 + 0.4 * SS2 + 1 * DD1 + 1 * DD2 + \underline{0.11 * DD3} + 0.7 * OM1 + 0.7 * OM2 + 0.7 * SU1 + 1 * BC1 + 1 * BC2) \\ PM10 &= RHO * (1 * SS1 + 1 * SS2 + 1 * DD1 + 1 * DD2 + \underline{0.55 * DD3} + 0.85 * OM1 + 0.85 * OM2 + 0.85 * SU1 + 1 * BC1 + 1 * BC2) \end{aligned} \quad (1)$$

The relations (1) show that PM2.5 and PM10 comprise the contributions from different bins that constitute the size distributions (concentration of specific aerosols within a given size range) for five aerosol types: sea salt (SS), dust (DD), organic matter (OM), sulfate (SU), and black carbon (BC). E.g., three dustbins (DD1, DD2, DD3) cover the following particle diameter ranges (μm): DD1: [0.06-1.1], DD2: [1.1-1.8], DD3: [1.8-40]. To calculate the contribution of, e.g., 3rd dustbin DD3 into PM2.5, one has to interpolate the portion of DD3 that falls into the range $D < 2.5 \mu\text{m}$. We checked that CAMS does this interpolation in the logarithmic space:

$$(\ln(2.5) - \ln(1.8)) / (\ln(40) - \ln(1.8)) = 0.32 / 3.101 = 0.11$$



The contribution of 3rd dust bin DD3 into PM10 is calculated the following way:

$$(\ln(10) - \ln(1.8)) / (\ln(40) - \ln(1.8)) = 1.714 / 3.101 = 0.55$$



These coefficients for DD3 contributions (and similarly for all other aerosol bins) are used in CAMS, see formula (1). In our paper, we adopted this approach for calculating coefficients d_{25} , s_{25} , and d_{10} by interpolating bin's contributions in PM2.5 and PM10 in the logarithmic space.

p.8, line 6: “overestimated” sounds like it is a comparison to observation, but I think this means only in comparison to the modified model? Please make this clear, and if possible illustrate if this is an improvement against relevant observations. (It is not given that a model which appears to be more theoretically correct actually improves results.)

We agree and have clarified the text accordingly. We also have added a new section 3.4 where we have conducted two seven-month-long WRF-Chem simulations covering the period from June 1 to December 31, 2016. In the first simulation, all inconsistencies in WRF-Chem are fixed (ALL_OK WRF-Chem run). In the second, none of the discrepancies are fixed (ALL_OLD WRF-Chem run). In both runs, dust emission was calibrated so that the AOD from the run fits the AERONET AODs observed at three stations (KAUST campus, Mezaira, and Sede Boker). To test the output from ALL_OK and ALL_OLD runs, we use the available PM10 observations conducted by the Saudi Authority for Industrial Cities and Technology Zones (MODON) in Riyadh, Jeddah, and Dammam (mega-cities of Saudi Arabia) during 2016 (see Fig. 8 in the revised manuscript). More details on the MODON observations are available in (Ukhov et al., 2020).

Fig. 8 shows that PM10 surface concentrations in ALL_OK run reproduce MODON observations much better than in the ALL_OLD run, where PM10 concentrations are severely overestimated. In particular, mean biases with respect to MODON observations for ALL_OK and ALL_OLD runs are 2, 23, 77 and 72, 128, 275 ($\mu\text{g}/\text{m}^3$) for Jeddah, Riyadh, and Dammam, correspondingly. Thus, the ALL_OLD run's PM10 bias is at least three times bigger than in the ALL_OK run.

The comparison of the dust column loadings averaged over the summer (June, July, August) of 2016 (see Fig. 9) shows that dust content in the atmosphere is overestimated by up to 80% in the ALL_OLD run compared with the ALL_OK run. These results are in agreement with the statement we made in the conclusion of the original manuscript.

p.8, line 19: it's not quite true that these are not accounted for - all of DUST1 is included if you add up the coefficients; it's merely that some of it is treated as larger than it should be (and thus less optically effective). Please clarify this in the text.

Thank you for your comment. The dust mass from the DUST1 bin was not omitted but was erroneously mapped onto coarser MOSAIC bin-sizes than required. This resulted in the underestimation of AOD, as discussed in the text. We have now clarified this issue throughout the text.

p.10, lines 3–4: please clarify what you mean here. A “function of natural logarithm of radius” is also a “function of radius”. Do you mean specifically a linear function of each?

Not exactly; here we are talking about linear interpolation of a nonlinear function. It could be performed in radius space, or in $\ln(r)$ space. We argue that it is more accurate to interpolate in the $\ln(r)$ space, as discussed above. The text has been corrected to clarify this issue.

p.14, lines 20, 23, 27: again, “overestimation” suggests this is by reference to some actual measurement rather than to the modified model - please clarify if this is just “one model version is higher than the other” or if the change is an improvement or degradation compared to measurements.

Thank you, the text has been clarified. Please see our response to comment p.8, line 6. (see above)

p.14, line 29–p.15, line 3: Section 3.4 is very brief – to be meaningful, this needs to show to what extent the contribution from both initial and boundary conditions is significant relative to one another and to sources within the domain.

We show the capability of Merra2BC in constructing IC&BC. ICs are important for making an accurate forecast. BCs impact is seen near the boundaries, and we show how far their signal propagates. The effect of BCs scales with the magnitude of the fluxes through the boundaries of a domain. We can make their effect stronger but that is not the point. The advantage of accurately calculated IC&BS is that they allow for improvements in such things as air-quality forecasts, and in many cases, for reliable use of a smaller domain, when the signal from boundaries is well defined.

p.16, line 9: as above, please clarify that submicron particles aren't omitted as such, but treated incorrectly.

This issue has been clarified. Please see our response to comment p8, line19 (see above).

p.18, line 9: is it really correct that MERRA-2 has a globally-uniform constant surface pressure of 1000hPa? That seems highly unlikely in a meteorological reanalysis – please check and clarify, as this is what the current text suggests.

Thank you for pointing this out, the sentence in question has been deleted.

p.19, Figure A2: both singular and plural should be “species” (not “specie”).

Thank you, this has been corrected.

p.21, lines 7–11: please state the AERONET wavelength(s) from which these calculations are performed to generate the 550nm value.

We use a 440-675 nm wavelength range. The text has been modified to state this.

Sincerely,
Alexander Ukhov and Georgiy Stenchikov

References

Ukhov, A., Mostamandi, S., da Silva, A., Flemming, J., Alshehri, Y., Shevchenko, I., and Stenchikov, G.: Assessment of natural and anthropogenic aerosol air pollution in the Middle East using MERRA-2, CAMS data assimilation products, and high-resolution WRF-Chem model simulations, Atmos. Chem. Phys., 20, 9281–9310, <https://doi.org/10.5194/acp-20-9281-2020>, 2020.

Improving dust simulations in WRF-Chem model v4.1.3 coupled with GOCART aerosol module

Alexander Ukhov¹, Ravan Ahmadov^{2,3}, Georg Grell³, and Georgiy Stenchikov¹

¹King Abdullah University of Science and Technology, Division of Physical Sciences and Engineering, Thuwal, Saudi Arabia

²CIRES, University of Colorado, Boulder, CO, USA

³NOAA Earth System Research Laboratory, Boulder, CO, USA

Correspondence: Alexander Ukhov (alexander.ukhov@kaust.edu.sa)

Abstract. In this paper, we rectify inconsistencies that emerge in the WRF-Chem v3.2 code when using the Goddard Chemistry Aerosol Radiation and Transport (GOCART) aerosol module. These inconsistencies have been reported, and corrections have been implemented in WRF-Chem v4.1.3. Here, we use a WRF-Chem experimental setup configured over the Middle East (ME) to estimate the effects of these inconsistencies. Firstly, we show that the diagnostic output underestimated PM_{2.5} surface concentration by 7% and overestimated PM₁₀ by 5%. Secondly, we demonstrate that the contribution of sub-micron dust particles was incorrectly accounted for in the calculation of optical properties, and thus, Aerosol Optical Depth (AOD) was underestimated by 25-30%. Thirdly, we show that gravitational settling, as it was coded, overestimated dust column loadings by 4-6%, PM₁₀ surface concentrations by 2-4%, and the rate of gravitational dust settling by 5-10%. [The cumulative effect of the found inconsistencies leads to a strong overestimation of the dust content in the atmosphere.](#) We present the methodology for calculating diagnostics we used to estimate the impacts of introduced code modifications. Our results explain why in many WRF-Chem simulations PM₁₀ concentrations were exaggerated. We share the developed *Merra2BC* interpolator, which allows processing Modern-Era Retrospective Analysis for Research and Applications, Version 2 (MERRA-2) output for constructing initial and boundary conditions for chemical species, and aerosols.

1 Introduction

Produced by wind erosion, mineral dust is one of the major drivers of climate over the ME. Dust suspended in the atmosphere affects the energy budget by absorbing and scattering incoming solar radiation (Sokolik and Toon, 1996; Miller and Tegen, 1998; Kalenderski et al., 2013; Osipov et al., 2015; Osipov and Stenchikov, 2018) and by affecting cloud radiative properties (Levin et al., 1996; Forster et al., 2007; Rotstayn and Lohmann, 2002). Dust can also negatively impact infrastructure and technology. For instance, reducing solar radiation reaching the earth's surface dust decreases the output of photo-voltaic systems. Moreover, dust deposition on solar panels deteriorates their efficiency (Mani and Pillai, 2010; Rao et al., 2014; Sulaiman et al., 2014). Dust also has socioeconomic implications. Bangalath and Stenchikov (2015) showed that due to high dust loading, the tropical rain belt across the ME and North Africa strengthens and shifts northward, causing up to a 20% increase in summer precipitation over the semi-arid strip south of the Sahara, including the Sahel. Frequent dust outbreaks have a profound effect on air quality in the ME region (Cahill et al., 2017; Banks et al., 2017; Farahat, 2016; Kalenderski and Stenchikov, 2016;

Munir et al., 2013; Alghamdi et al., 2015; Lihavainen et al., 2016; Anisimov et al., 2017). Air pollution is characterized by near-surface concentrations of particulate matter (PM), which comprise both PM_{2.5} and PM₁₀ (particles with diameters less than 2.5 µm and 10 µm, respectively). Dust is the major contributor to PM over the ME region (Ukhov et al., 2020a). The ME is also subjected to the inflow of dust from the nearby Sahara Desert, which is another major dust source region (Osipov et al., 2015; Kalenderski and Stenchikov, 2016). Dust deposition fertilizes ocean surface waters and the seabed (Watson et al., 2000; Talbot et al., 1986; Swap et al., 1996; Zhu et al., 1997).

Thus, given the impact of dust on climate, technology, human health, and ecosystems, an accurate description of dust effects in numerical models is essential. In the first place, it requires careful description of the dust cycle; from emission at the earth's surface, to transport in the atmosphere, and, finally, to removal by deposition.

Most of the studies mentioned above were conducted within the group of Atmospheric and Climate Modeling at King Abdullah University of Science and Technology (KAUST) using the WRF-Chem model (Skamarock et al., 2005; Grell et al., 2005; Powers et al., 2017). WRF-Chem is a popular open-source tool that is widely used to study atmospheric chemistry, air quality, and aerosols (Jish Prakash et al., 2015; Khan et al., 2015; Kalenderski et al., 2013; Kalenderski and Stenchikov, 2016; Parajuli et al., 2019; Anisimov et al., 2017; Osipov and Stenchikov, 2018). This model has been used extensively to study aerosols and their impact on air quality (Fast et al., 2006; Wang et al., 2015; Fast et al., 2009; Ukhov et al., 2020a, b; Parajuli et al., 2020), climate (Zhao et al., 2010, 2011; Chen et al., 2014; Fast et al., 2006), and to analyse dust outbreaks (Bian et al., 2011; Chen et al., 2014; Fountoukis et al., 2016; Ma et al., 2019; LeGrand et al., 2019; Su and Fung, 2015; Chen et al., 2018; Eltahan et al., 2018; Bukowski and van den Heever, 2020) in the ME and North Africa (Zhang et al., 2015; Flaounas et al., 2016; Rizza et al., 2017; Karagulian et al., 2019; Rizza et al., 2018), North America (Zhao et al., 2012), India (Dipu et al., 2013; Kumar et al., 2014), and Australia (Nguyen et al., 2019). Many aforementioned studies utilized the WRF-Chem model coupled with the Goddard Chemistry Aerosol Radiation and Transport (GOCART) aerosol module (Chin et al., 2002). The GOCART module simulates major tropospheric aerosol components, including sulfate, dust, black and organic carbon, and sea-salt, and includes algorithms for dust and sea salt emissions, dry deposition, and gravitational settling. The GOCART module is one of the most popular aerosol modules used in WRF-Chem (Bian et al., 2011; Dipu et al., 2013; Kumar et al., 2014; Chen et al., 2014; Su and Fung, 2015; Zhang et al., 2015; Flaounas et al., 2016; Fountoukis et al., 2016; Rizza et al., 2017; Flaounas et al., 2017; Nabavi et al., 2017; Chen et al., 2018; Rizza et al., 2018; Ma et al., 2019; LeGrand et al., 2019; Parajuli et al., 2019; Yuan et al., 2019; Ukhov et al., 2020a; Eltahan et al., 2018; Nguyen et al., 2019; Bukowski and van den Heever, 2020).

However, working with the WRF-Chem/Gocart modeling system we found a few inconsistencies in the physical parameterizations which affected its performance. Firstly, we found that the diagnostic output of PM_{2.5} and PM₁₀ was miscalculated. Secondly, the contribution of sub-micron dust particles was underestimated and thus, aerosol optical depth (AOD) was underestimated. Thirdly, an inconsistency in the process of gravitational settling was leading to a violation of the dust and sea salt mass balance. The complete list of the WRF-Chem *chem_opt* namelist options that were affected are presented in Tab. 1.

All of these inconsistencies have affected WRF-Chem performance since April 2, 2010, when the WRF-Chem v3.2 was released. We have reported all those issues, and they have been rectified in the WRF-Chem v4.1.3 code release. In this paper, we specifically discuss these corrections and evaluate how they have affected results. We demonstrate the methodology for

Table 1. WRF-Chem *chem_opt* namelist options.

<i>chem_opt</i>	Description	Found inconsistencies in calculation of		
		PM	Optical properties	Gravitational settling
2	MADE/SORGAM aerosols, RADM2 chemistry	-	-	+
11	same as <i>chem_opt</i> =2 and some aqueous reactions	-	-	+
41	same as <i>chem_opt</i> =2 and aqueous reactions	-	-	+
42	same as <i>chem_opt</i> =41 using KPP library	-	-	+
109	MADE/VBS aerosols, RACM Chemistry and aqueous reactions using KPP library.	-	-	+
112	GOCART aerosols, MOZART Chemistry using KPP library.	+	+	+
300	GOCART aerosols, no ozone chemistry.	+	+	+
301	GOCART aerosols, RACM chemistry using KPP library.	+	+	+
303	GOCART aerosols, RADM2 chemistry	+	+	+
401	Dust concentration only	-	-	+

calculating diagnostics that we used to estimate the impact of the introduced corrections. We also share with the community the *Merra2BC* interpolator (Ukhov and Stenchikov, 2020), which allows constructing initial and boundary conditions (IC&BC) for chemical species and aerosols using MERRA-2 reanalysis (Randles et al., 2017). We believe that this discussion is in line with the open-source paradigm and will help users to better handle the code, understand physical links, and evaluate the sensitivity of the results to particular physical assumptions made in the code.

The paper is organized as follows: Section 2 describes the WRF-Chem model setup. In Section 3, a description of the inconsistencies found in the WRF-Chem code and their effects on the results are presented. Conclusions are presented in Section 4.

2 WRF-Chem experimental setup

- To quantify the effects of introduced code modifications, we use our typical model setup which we previously adopted for simulating dust emissions using the WRF-Chem model coupled with the GOCART aerosol module. The WRF-Chem simulation domain (see Fig. 1) is centered at 28°N, 42°E, with a 10 km×10 km horizontal grid (450×450 grid nodes). The vertical grid comprises 50 vertical levels with enhanced resolution closer to the ground. The model top boundary is set at 50 hPa. We use *chem_opt*=300 namelist option, which corresponds to simulation using GOCART aerosol module without ozone chemistry.
- The Unified Noah land surface model (*sf_surface_physics*=2) and the Revised MM5 Monin-Obukhov scheme (*sf_sfclay_physics*=1) are chosen to represent land surface processes and surface layer physics. The Yonsei University scheme is chosen for PBL parameterization (*bl_pbl_physics*=1). The WRF single moment microphysics scheme (*mp_physics*=4) is used for the treatment of cloud microphysics. The New Grell scheme (*cu_physics*=5) is used for cumulus parameterization. The Rapid Radiative Trans-

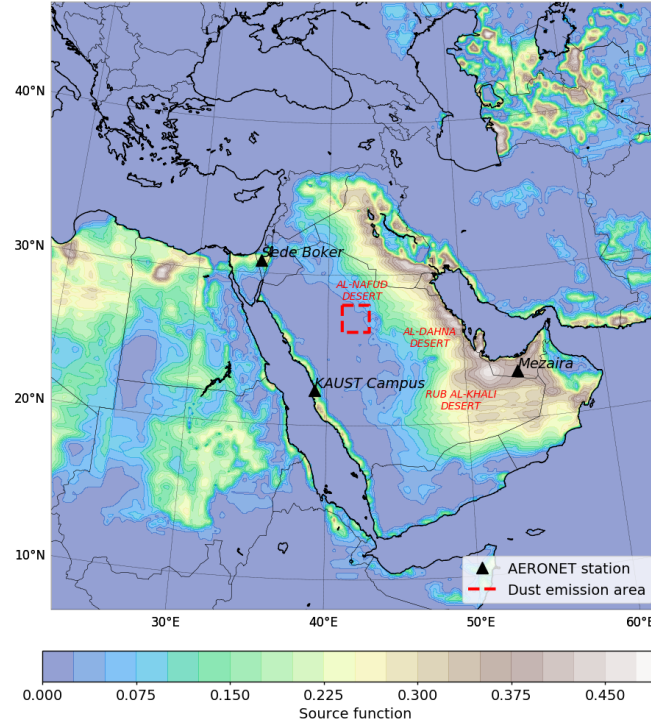


Figure 1. Simulation domain with marked locations of the AERONET sites. The red square corresponds to dust emission area. Shaded contours correspond to source function S (Ginoux et al., 2001).

fer Model (RRTMG) for both short-wave ($ra_sw_physics=4$) and long-wave ($ra_lw_physics=4$) radiation is used for radiative transfer calculations. Only the aerosol direct radiative effect is accounted for. More details on the physical parameterizations used can be found at http://www2.mmm.ucar.edu/wrf/users/phys_references.html.

Dust size distribution in the GOCART module is approximated by five dust bins; see Tab. 2. Dust density is assumed to be 2500 kg/m³ for the first dust bin and 2650 kg/m³ for dust bins 2-5.

In WRF-Chem there are three dust emission schemes that can be used with GOCART: the original GOCART-WRF scheme ($dust_opt=1$) (Bagnold, 1941; Belly, 1964; Gillette and Passi, 1988), the Air Force Weather Agency (AFWA) scheme ($dust_opt=3$) (Marticorena and Bergametti, 1995; Su and Fung, 2015; Wang et al., 2015), and the University of Cologne (UoC) scheme ($dust_opt=4$) (Shao, 2001, 2004; Shao et al., 2011). The detailed description of all schemes is provided in LeGrand et al. (2019).

Table 2. Radii ranges (μm) of dust and sea salt bins used in the GOCART aerosol module.

	Bin				
	1	2	3	4	5
Dust	0.1-1.0	1.0-1.8	1.8-3.0	3.0-6.0	6.0-10.0
Sea salt	0.1-0.5	0.5-1.5	1.5-5.0	5.0-10.0	-

Here, we simulate dust emissions using the original GOCART-WRF scheme ($dust_opt=1$) proposed in Ginoux et al. (2001). Dust emission mass flux, F_p ($\mu g m^{-2} s^{-1}$) in each dustbin $p=1,2,...,5$ is defined by the relation:

$$F_p = \begin{cases} CSs_p u_{10m}^2 (u_{10m} - u_t), & \text{if } u_{10m} > u_t \\ 0, & \text{if } u_{10m} \leq u_t \end{cases} \quad (1)$$

where, C ($\mu g s^2 m^{-5}$) is a spatially uniform factor which controls the magnitude of dust emission flux; S is the source function (Ginoux et al., 2001) (see Fig. 1) that characterizes the spatial distribution of dust emissions; u_{10m} is the horizontal wind speed at 10 m; u_t is the threshold velocity, which depends on particle size and surface wetness; s_p is a fraction of dust emission mass flux within dustbin p .

Sea salt size distribution in the GOCART module is approximated by four sea-salt bins (see Tab. 2). Sea salt density is 2200 kg/m^3 . Emission of sea salt is calculated according to Gong (2003).

2.1 Dust emission tuning

To adjust to regional conditions, dust emission in the model is calibrated to fit observed AOD and aerosol volume size distributions (AVSD) obtained from the AERosol RObotic NETwork (AERONET; Holben et al. (1998)). AERONET AOD observations represent the total AOD with contributions from all types of aerosols. But because in the ME dust is more prevalent than all other aerosols, we focus on dust emission only. More detailed information on dust emission tuning is provided in Ukhov et al. (2020a). For this study, we choose three AERONET sites: *KAUST Campus*, *Mezaira*, and *Sede Boker* located within the domain (Fig. 1). We utilize level 2.0 (cloud screened and quality assured) AERONET AOD data. Note that from here onwards, we assume that AOD is given or calculated at 550 nm; see Appendix C.

2.1.1 Tuning the C parameter

To adjust dust emissions we first tune the C factor from Eq. 1, as practiced in our own studies (Kalenderski et al., 2013; Jish Prakash et al., 2015; Khan et al., 2015; Kalenderski and Stenchikov, 2016; Anisimov et al., 2017; Parajuli et al., 2019, 2020; Ukhov et al., 2020a) and in the studies of other authors (Zhao et al., 2013; Kumar et al., 2014; Flaounas et al., 2017; Rizza et al., 2017). Our test runs indicate that for the ME, $C = 0.5$ achieves a good agreement between simulated and observed

AOD at the *KAUST Campus*, *Mezaira*, and *Sede Boker* AERONET sites. Therefore this sub-optimal value $C = 0.5$ is retained in all subsequent runs.

2.1.2 Tuning the s_p fractions

We also tune s_p fractions from Eq. 1 to better reproduce the AVSDs provided by AERONET retrievals using the spectral deconvolution algorithm (SDA) (O'Neill et al., 2003). The AERONET provides column integrated AVSD $dV/d\ln r$ ($\mu\text{m}^3/\mu\text{m}^2$) on 22 logarithmically equidistant discrete points in the range of radii between 0.05 and 15 μm . For AVSDs we use the AERONET V3, level 2.0 product (Dubovik and King, 2000).

In WRF-Chem the default values of parameter s_p from Eq. 1 are $\{0.1, 0.25, 0.25, 0.25, 0.25\}$, for the $DUST_1, DUST_2, \dots, DUST_5$ dust bins, respectively. They control the size distribution of emitted dust. Our test runs indicate that when we use the default s_p values the dust volume size distributions in the atmosphere do not match those from AERONET. To achieve a better agreement between the modeled and AERONET volume size distributions, we adjust the fractions s_p to be $\{0.15, 0.1, 0.25, 0.4, 0.1\}$. The fractions s_p are set in the *phys/module_data_gocart_dust.F* file, array *frac_s*. We effectively increase the dust emission in the finest $DUST_1$ and in coarse $DUST_4$, and decrease those in $DUST_2$ and $DUST_5$. The size distribution of emitted dust is further processed in the atmosphere.

2.2 Initial and boundary conditions for meteorological parameters, chemical species, and aerosols

As is the case with any partial differential equation solver, WRF-Chem requires the IC&BC for meteorological parameters and chemical species. IC&BC for meteorological fields are derived from the ERA-Interim (Dee et al., 2011) global atmospheric reanalysis produced by the European Centre for Medium-Range Weather Forecasts (ECMWF). IC&BC for chemical species are required to account for initial concentrations and inflow of aerosols and chemical species. The setting of improper lateral boundary conditions for aerosols and chemistry may significantly affect the result of the simulation. The role of lateral boundary conditions increases if the domain is located close to a significant source of dust or other chemicals. Concentrations of aerosols and chemicals within the domain are especially affected by the inflow through the lateral boundaries of species with long atmospheric lifetimes.

By default, WRF-Chem uses the idealized vertical profiles of a limited number of chemical species for calculating IC&BC. These profiles are obtained from the NALROM model (Liu et al., 1996) simulation and are representative of the northern hemispheric, mid-latitude (North America) summer and clean environmental conditions. Another option in WRF-Chem is to use the output from the Model for Ozone And Related chemical Tracers, version 4 (MOZART-4) global model (Emmons et al., 2010), which is an offline tropospheric global chemical transport model.

The MERRA-2 reanalysis (Randles et al., 2017) provides a consistent distribution of aerosols and chemical species constrained by observations with the spatial resolution about 50 km. MERRA-2 aerosol and chemical fields are superior compared to those used previously in WRF-Chem. To calculate the chemical IC&BC using MERRA-2 output, we develop an interpolator *Merra2BC* (Ukhov and Stenchikov, 2020), which uses gaseous and aerosol fields from MERRA-2 reanalysis to construct the IC&BC required by the WRF-Chem simulation. For more details regarding the *Merra2BC* interpolator, see Appendix A.

3 Results

In the discussion below, we refer to the WRF-Chem run with all inconsistencies fixed and with properly adjusted dust emission (see Sect. 2.1), with IC&BC constructed using the developed *Merra2BC* interpolator (see Sect. 2.2) as *ALL_OK*.

To quantify the effect of each inconsistency we perform a WRF-Chem run where all the other corrections we discuss here are implemented, with the exception that we focus on a given time. The relative anomaly-difference (%) of a specific set of variables in this run with respect to the *ALL_OK* run is presented as a measure of sensitivity to the chosen correction. All WRF-Chem runs are performed for 1-12 August, 2016. At the end of this section we estimate the cumulative effect of all inconsistencies. For this purpose we performed WRF-Chem simulation over the period from June 1 to December 31 of 2016.

3.1 Calculation of $PM_{2.5}$ and PM_{10}

The subroutine *sum_pm_gocart* in *module_gocart_aerosols.F* calculates $PM_{2.5}$ and PM_{10} surface concentrations using the following formulas:

$$\begin{aligned} PM_{2.5} &= \rho \cdot (DUST_1 + DUST_2 \cdot d_{25} + SEAS_1 + SEAS_2 \cdot s_{25}), \\ PM_{10} &= \rho \cdot (DUST_1 + DUST_2 + DUST_3 + DUST_4 \cdot d_{10} + SEAS_1 + SEAS_2 + SEAS_3), \end{aligned} \quad (2)$$

where ρ is the dry air density (kg/m^3), $DUST_{1,2,3,4}$ and $SEAS_{1,2,3}$ are the mixing ratios ($\mu\text{g}/\text{kg}$) of the dust in the first four bins and sea-salt in the first three bins, respectively. The contribution of the dust and sea salt bins to $PM_{2.5}$ and PM_{10} is defined by the mapping coefficients d_{25} , d_{10} for dust and s_{25} for sea salt, see eq. 2. Black and organic carbon and sulfate also contribute to PM, but their contributions are small in comparison to dust and sea salt, and we omit them for the sake of brevity.

We calculate the mapping coefficients using the assumption that dust and sea salt volume size distributions are functions of natural logarithm of particle radius. The updated values of mapping coefficients s_{25} , d_{25} , d_{10} along with their default values are presented in Tab. 3. Effectively, the contributions in $PM_{2.5}$ of sea salt $SEAS_2$ decreases, while that of dust $DUST_2$ increases. The contribution of $DUST_2$ in PM_{10} decreases. We are not certain how the default coefficients are calculated, but interpolation in the logarithm space is more accurate than in the radius space, as aerosol size distributions are smoother functions of logarithm than radius.

Table 3. Default and updated values of s_{25} , d_{25} , d_{10} mapping coefficients used to calculate $PM_{2.5}$ and PM_{10} .

	Default coefficients	Updated coefficients
s_{25}	0.942	$\ln(2.5/1) / \ln(3/1) = 0.834$
d_{25}	0.286	$\ln(2.5/2) / \ln(3.6/2) = 0.380$
d_{10}	0.870	$\ln(10/6) / \ln(12/6) = 0.737$

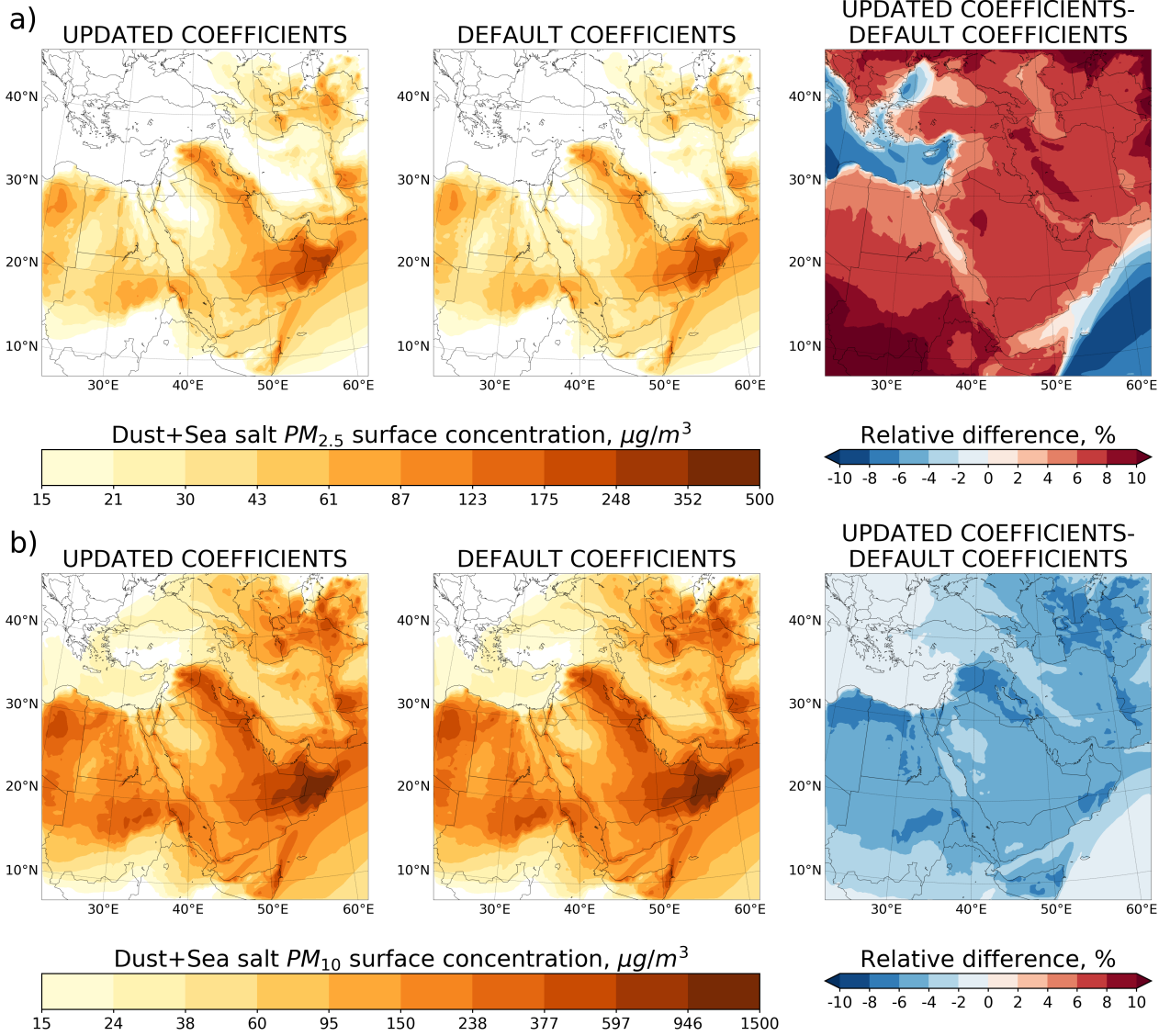


Figure 2. Average dust and sea salt $PM_{2.5}$ a) and PM_{10} b) surface concentration ($\mu g/m^3$) calculated using default and updated coefficients values and relative bias difference.

The effects of using the updated mapping coefficients in place of default ones in PM calculation are shown in Fig. 2. We calculate the $PM_{2.5}$ and PM_{10} concentrations in the lowest model layer using Eq. 2. Surface concentrations of dust and sea salt are computed using the procedure presented in Appendix E. When using the default mapping coefficients values, the model underestimates $PM_{2.5}$ by 7% and overestimates PM_{10} by 5% on average over the ME.

3.2 Calculation of Aerosol optical Properties

For modeling in the ME, the treatment of optically active dust within the model is vitally important. AOD is calculated based on aerosol number-density and aerosol optical properties, which depend on the aerosol size and refractive index. In WRF-Chem, a parameterized Mie theory (Ghan and Zaveri, 2007) is employed to calculate the aerosol optical properties. This parameterization is modified for the sectional representation of the aerosol size distribution by Fast et al. (2006) and Barnard et al. (2010), so the Mie subroutine requires input of dust number-density or concentration in eight size intervals: {0.039-0.078, 0.078-0.156, 0.156-0.312, 0.312-0.625, 0.625-1.25, 1.25-2.5, 2.5-5.0, 5.0-10.0} μm . These size intervals are identical with those used in the Model for Simulating Aerosol Interactions and Chemistry (MOSAIC) microphysical module (Zaveri et al., 2008). Therefore, we further refer to them as MOSAIC bins ($MOS_{1,2,3,4,5,6,7,8}$).

To correctly calculate the dust optical properties we implement two corrections in the subroutine *optical_prep_gocart()* in *module_optical_averaging.F* that computes the volume-averaged refractive index needed for Mie calculations.

3.2.1 Effect of Small Particles

In WRF-Chem's GOCART aerosol module, dust particle sizes span two orders of magnitude, from 0.1 to 10 μm ; see Tab. 2. However, we find that dust particles with radii between 0.1 and 0.46 μm are incorrectly accounted for in the Mie calculations of aerosol optical properties. Their mixing ratio is mapped on coarser MOSAIC bins than is required. Since finer particles have a stronger effect on AOD per unit mass in comparison to coarser particles, the model AOD is underestimated. As a result, when tuning dust emission we push the model to emit more dust into the atmosphere, in order to fit the observed AOD. We rectify this error by correcting mapping fractions of $DUST_1$ into MOSAIC bins, see Tab. 4.

Table 4. Dust mass redistribution between GOCART and MOSAIC bins. Before a) and after b) inclusion of dust particles with radii ≥ 0.1 μm into calculation of aerosol optical properties.

a)								
	MOS_1	MOS_2	MOS_3	MOS_4	MOS_5	MOS_6	MOS_7	MOS_8
$DUST_1$	0.0	0.0	0.0	0.0	0.305	0.695	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.312	0.688	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.583	0.417
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.666
b)								
$DUST_1$	0.0	0.0	0.062	0.174	0.347	0.417	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.312	0.688	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.583	0.417
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.666

Tab. 4 presents the mapping fractions of the GOCART dust bins ($DUST_{1,2,3,4}$) to the MOSAIC bins ($MOS_{1,2,3,4,5,6,7,8}$) before and after correction. We do not include in the Tab. 4 GOCART dust bin $DUST_5$ since it is out of the MOSAIC size range and is therefore not accounted for in the mass redistribution. Also, the mass from $DUST_4$ is only partially accounted for. Although this is a potential drawback, it does not impact the AOD drastically, as large particles contribute little in dust AOD.

- 5 After the changes, the dust mass from $DUST_1$ bin is redistributed between finer $MOS_{3,4,5,6}$ bins compared to the original WRF-Chem where all $DUST_1$ mixing ratio was mapped on the coarser $MOS_{5,6}$ bins.

3.2.2 Bin Concentration Interpolation

- Originally, the subroutine *optical_prep_gocart()* redistributes dust and sea salt mass from GOCART into MOSAIC bins, using the assumption that dust size distribution is a function of particle radius. Consistent with Sect. 3.1, here we conduct interpolation assuming that dust distribution is a function of natural logarithm of radius. This correction causes changes in the mass redistribution between the GOCART and MOSAIC bins (see Tab. 5) and increases the contribution of small dust particles into the dust AOD. The rationale is that dust size distribution is a smoother function of logarithm of radius than radius itself, therefore interpolation is more accurate in logarithms than in radii.

Table 5. Dust mass redistribution between GOCART and MOSAIC bins based a) on the assumption that bin concentration is a function of radius, and b) on the assumption that bin concentration is a function of natural logarithm radius.

a)								
	MOS_1	MOS_2	MOS_3	MOS_4	MOS_5	MOS_6	MOS_7	MOS_8
$DUST_1$	0.0	0.0	0.062	0.174	0.347	0.417	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.312	0.688	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.583	0.417
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.666
b)								
$DUST_1$	0.0	0.0	0.194	0.301	0.301	0.204	0.0	0.0
$DUST_2$	0.0	0.0	0.0	0.0	0.0	0.380	0.620	0.0
$DUST_3$	0.0	0.0	0.0	0.0	0.0	0.0	0.643	0.357
$DUST_4$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.737

- To estimate the effect of these two corrections, we develop the WRF-Chem simulation *NON_LOG_046*, where only these two inconsistencies are not fixed, and compare the resulting AOD with that from the *ALL_OK* run. The AOD values are computed as described in Appendix C. As expected, the AOD increases after the corrections. Fig. 3 compares the AOD obtained from two (with and without corrections) WRF-Chem runs with AERONET AOD at *KAUST Campus*, *Mezaira* and *Sede Boker*. Because AERONET conducts measurements during daylight hours only, we interpolate WRF-Chem AOD's to the AERONET measurement times.

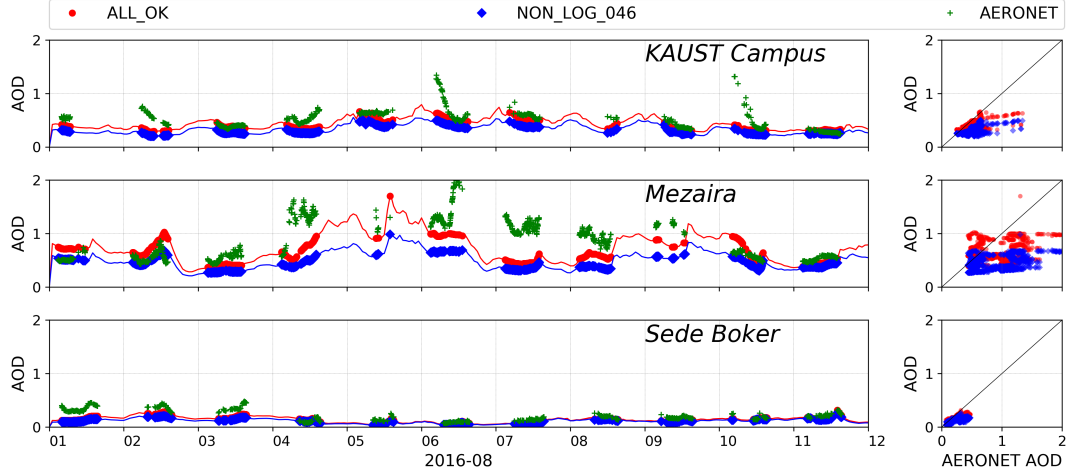


Figure 3. AOD time-series (left) and scatter plots (right) from *NON_LOG_046* and *ALL_OK* runs (blue and red lines) and AERONET AOD (purple-starsgreen markers) at *KAUST Campus*, *Mezaira*, *Sede Boker*. WRF-Chem’s AOD is interpolated to the times (blue diamonds and red dots) when AERONET AOD measurements were conducted.

Table 6. Pearson correlation coefficient R and mean bias calculated for AOD time-series from two runs with respect to AERONET AOD observations.

	<i>KAUST Campus</i>		<i>Mezaira</i>		<i>Sede Boker</i>	
	R	bias	R	bias	R	bias
<i>ALL_OK</i>	0.66	-0.10	0.42	-0.19	0.75	-0.07
<i>NON_LOG_046</i>	0.66	-0.20	0.36	-0.38	0.67	-0.11

To quantify the capability of the WRF-Chem in reproducing the AERONET AOD, we calculate the Pearson correlation coefficient R and mean bias (see Appendix B) of simulated AOD with respect to the AERONET AOD observations for the entire simulation period (see Tab. 6). The corrections improve the correlation for *Mezaira* and *Sede Boker* and cause a twofold reduction in the mean bias in *KAUST Campus* and *Mezaira*. The magnitude and temporal evolution of the AOD time-series is well correlated in both runs (with and without corrections) with the observed AERONET AOD at all sites only when the AERONET AOD < 1 . For dusty conditions with AOD > 1 , WRF-Chem with the original GOCART scheme (*dust_opt=1*) struggles to capture the observations. We find the worst correlation ($R=0.42$) and highest mean bias (-0.19) with AERONET AOD at the *Mezaira* station, which is located in a major dust source region (see Fig. 1). We obtain higher correlations with AERONET AOD of 0.66 and 0.75 for *KAUST Campus* and *Sede Boker* stations, respectively. Both of these stations are located outside the main dust source regions.

Figure 4 shows the averaged AOD fields obtained from the *ALL_OK* and *NON_LOG_046* runs, as well as their relative bias difference (%). We conclude that due to these two inconsistencies, dust AOD in the original WRF-Chem v3.2 is underestimated

by 25-30% over the ME. Over Libya, Egypt, Oman, Iran, Azerbaijan, Turkmenistan, and Pakistan, the difference is even higher, reaching 30-35%.

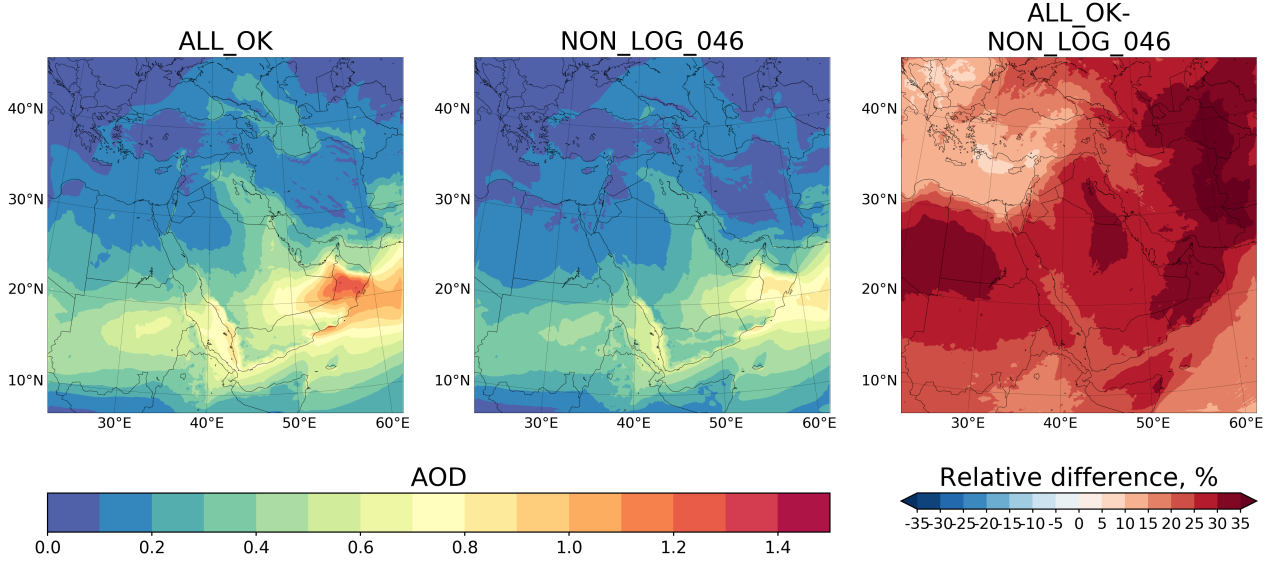


Figure 4. Averaged AOD fields obtained from *ALL_OK* and *NON_LOG_046* runs and their relative **bias-difference** (%).

3.3 Gravitational Settling

We find that in the original WRF-Chem code the gravitational settling of dust and sea salt is calculated incorrectly. The default finite-difference scheme (implemented in the subroutine *settling()* file *module_gocart_settling.F*) does not account for change in air density when it calculates deposition mass flux. Thus, in the course of the gravitational settling the total mass of dust and sea salt in the atmosphere increases, violating their mass balances. We introduce the new finite-difference scheme, which allows conservation of the mass of dust and sea salt in the course of gravitational settling in the atmosphere. The new finite-difference scheme is provided below.

The change of aerosol mixing ratio due to gravitational settling at downward directed velocity w is given by the following differential equation:

$$\frac{\partial(\rho q)}{\partial t} = \frac{\partial(\rho q w)}{\partial z}, \quad (3)$$

where q is the aerosol mass mixing ratio ($\mu\text{g}/\text{kg}$) and ρ is the dry air density (kg/m^3). Using the first-order upwind scheme, this equation can be discretized into the following form:

$$\frac{q_k^{n+1} \rho_k^{n+1} - q_k^n \rho_k^n}{\Delta t} = \frac{q_{k+1}^n \rho_{k+1}^{n+1} w_{k+1}^n - q_k^n \rho_k^{n+1} w_k^n}{\Delta z_k}, \quad (4)$$

where Δz_k is the depth of the k model level, Δt is the model time step. Subscript k denotes the model levels and superscript n is the time-level. Taking into account that the calculation of gravitational settling is split from the calculation of the continuity

equation, we assume $\rho_k^{n+1} \approx \rho_k^n$ and get the following solution:

$$q_k^{n+1} = q_k^n \left(1 - \frac{\Delta t w_k^n}{\Delta z_k} \right) + q_{k+1}^n \frac{\Delta t w_{k+1}^n}{\Delta z_k} \frac{\rho_{k+1}^{n+1}}{\rho_k^{n+1}}. \quad (5)$$

Equation 5 is solved for each model column from the top to the bottom.

To validate the modified finite-difference scheme, we zero dust emissions across the whole domain, except for the 200 km \times 200 km area located at the center of the domain; see Fig. 1. Only the first 10 simulation hours of dust emissions within this area are included. We prohibit the inflow of dust from the domain boundaries by zeroing the corresponding boundary conditions, and we zero the initial dust concentrations to simplify calculation of the dust mass balance, which we compute using the following balance relation:

$$\text{Dust in the atmosphere} = \text{Emitted dust} - (\text{Grav. settled dust} + \text{Dry deposited dust}) \quad (6)$$

The amount of dust in the atmosphere is controlled by dust emission and dust deposition. The latter comprises gravitational settling and dry deposition. For the sake of clarity, we refrain from introducing other dust removal processes, such as sub-grid wet deposition (*conv_tr_wetscav*=0). The procedure of calculation of these diagnostics using the WRF-Chem output is provided in Appendix F.

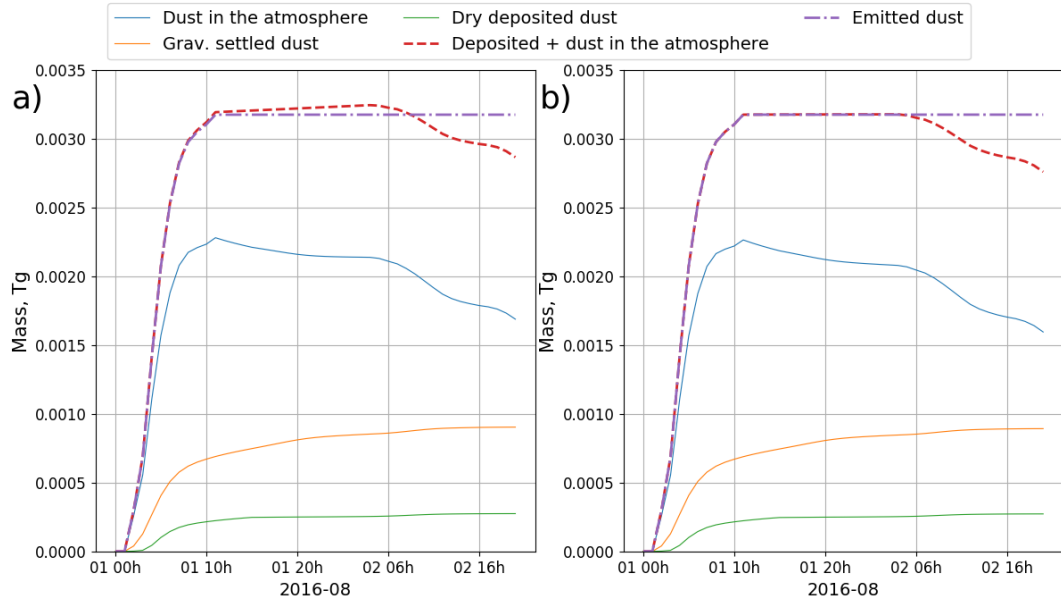


Figure 5. Dust mass balance check: a) before and b) after correction of gravitational settling. *Deposited dust* = *Grav. settled dust* + *Dry deposited dust*.

Figure 5 demonstrates the evolution of the components of the dust mass balance (see eq. 6) from the two runs, with and without correction of the gravitational settling procedure. For the analysis, we took only the first 40 hours of output because, after

that time the dust plume reaches the lateral boundaries of the domain. As shown in Fig. 5a, the red dashed line corresponding to the sum of deposited mass and dust mass in the atmosphere diverges from the purple dash-dotted line, which corresponds to the mass of emitted dust. This difference reaches 2.16% before the dust plume reaches the boundaries of the domain. The run using the original gravitational settling gains the dust mass represented by the blue line, due to the error in calculating gravitational settling, as discussed above. This is in contrast with Fig. 5b, where we see perfect agreement between the amounts of deposited dust plus dust in the atmosphere and emitted dust until the dust plume reaches the boundaries of the domain. Thus, this inconsistency in the gravitational settling subroutine is significant, as the error of 2.16% of total emitted mass accumulates within ≈ 20 hours. For larger domain this imbalance will be more significant. This effect is especially important in the low-latitude desert regions. Zhang et al. (2015); Dipu et al. (2013); Huang et al. (2010) reported that in dry subtropics the boundary layer height can reach 6-7 km, which promotes the transport of dust particles to this altitude. When dust particles are settling from higher altitudes, a larger mass imbalance is accumulated.

We estimate the effect of the gravitational settling error by comparing averaged total dust column loadings (see Fig. 6a), accumulated gravitationally settled dust (see Fig. 6b), and averaged dust and sea salt PM_{10} surface concentrations (see Fig. 6c) obtained in *ALL_OK* and *NOT_FIXED_GRAV_SETTLING* runs. We perform a comparison in terms of relative differences (%) in the runs, with and without corrections. Dust column loadings, gravitationally settled dust, and PM_{10} surface concentrations are calculated according to the methodology described in Appendix D, F3, E, respectively. According to Fig. 6a,b,c, we observe higher negative values of relative bias-difference over non-dust source regions (see Fig. 1), i.e., over Sudan, Turkey, Yemen, Eritrea, Djibouti, and Ethiopia. By contrast, the relative differences over dust source regions, which include Egypt and the eastern part of Arabian Peninsula, are close to zero. Coarse dust particles have shorter lifetimes in the atmosphere because of their higher deposition velocities. Thus, coarse dust particles are mostly deposited in the dust source regions, which explains close to zero values of relative bias-difference in this region. Fine dust particles have longer atmospheric lifetime and thus can be transported over longer distances. The discrepancies in the descriptions of the life cycle of fine dust explain larger relative errors in non-dust regions, as mentioned above.

Thus, we can conclude that in the original WRF-Chem v3.2 runs, the total dust column loading is overestimated by 4-6% over the ME. The computed total amount of dust in the atmosphere (see Appendix F4) was 6.41 and 6.72 Tg for *ALL_OK* and *NOT_FIXED_GRAV_SETTLING* runs, respectively. Hence, the amount of dust in the atmosphere is around 4.8% higher. The total amount of gravitationally settled dust is overestimated by 5-10% on average over the ME. The biggest difference (15-25%) is observed in Sudan, Yemen, Eritrea, Djibouti, Ethiopia, and Turkey. The computed total amount of gravitationally settled dust (see Appendix F3) was 11 and 11.55 Tg for *ALL_OK* and *NOT_FIXED_GRAV_SETTLING* runs, respectively. Hence, the amount of gravitationally settled dust is around 5% higher in the *NOT_FIXED_GRAV_SETTLING* run. Dust and sea salt PM_{10} surface concentrations (see eq. 2 and Appendix E) are overestimated by 2-4% on average over the ME. We observe even bigger differences (6-10%) over Eritrea, Djibouti, Ethiopia, and Turkey.

3.4 2016 case study

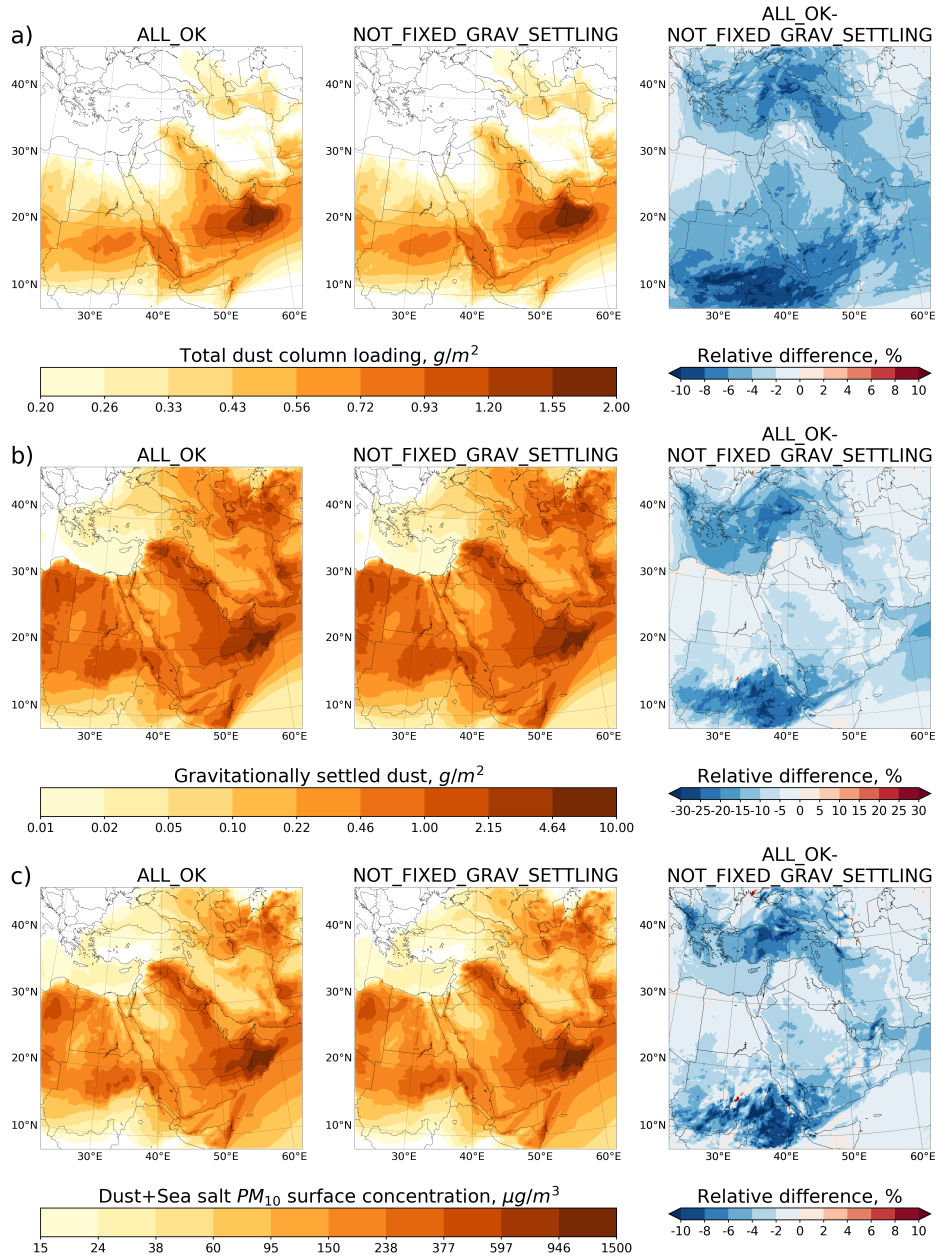


Figure 6. a) Averaged total dust column loadings (g/m^2) and relative bias-difference (%). b) Gravitationally settled dust (g/m^2) and relative bias-difference (%). c) Averaged dust and sea salt PM_{10} surface concentrations ($\mu\text{g}/\text{m}^3$) and relative bias-difference (%).

In the previous sections, we separately quantified the effect of each inconsistency in the WRF-Chem code and explained the associated physical links using short-term runs. In this section, we conduct a seven-month case-study to demonstrate the cumulative effect of all inconsistencies. We ran two WRF-Chem simulations from June 1 to December 31, 2016, using the

experimental setup described in Sec. 2. We refer to the WRF-Chem run, where all inconsistencies are intact as *ALL_OLD*. We compare it with *ALL_OK* run in which all inconsistencies are corrected. The simulation period is chosen to take advantage of PM₁₀ surface concentrations measurements conducted by the Saudi Authority for Industrial Cities and Technology Zones (MODON) in Riyadh, Jeddah, and Dammam (mega-cities of Saudi Arabia). More details on these measurements are provided in Ukhov et al. (2020a).

To adjust dust emissions in *ALL_OLD* run we tuned the *C* factor from Eq. 1. Our test runs indicated that $C = 0.8$ provides the best agreement between simulated and observed AOD. For the *ALL_OK* run we used $C = 0.5$ as before. Comparison of daily averaged AOD time-series obtained from the *ALL_OK* and *ALL_OLD* runs with the AERONET AODs at *KAUST Campus*, *Mezaira*, *Sede Boker* is presented in Fig. 7. AODs from both experiments are in good agreement with the AERONET AOD. The Pearson correlation coefficients and mean biases (see Appendix B) with respect to AERONET AOD are in the ranges of 0.62-0.75 and -0.03-0.07, correspondingly, for all AERONET sites. Thus, in *ALL_OLD* run the incorrect mapping of dust particles with radii between 0.1 and 0.46 μm causes stronger dust emissions in comparison with *ALL_OK* run.

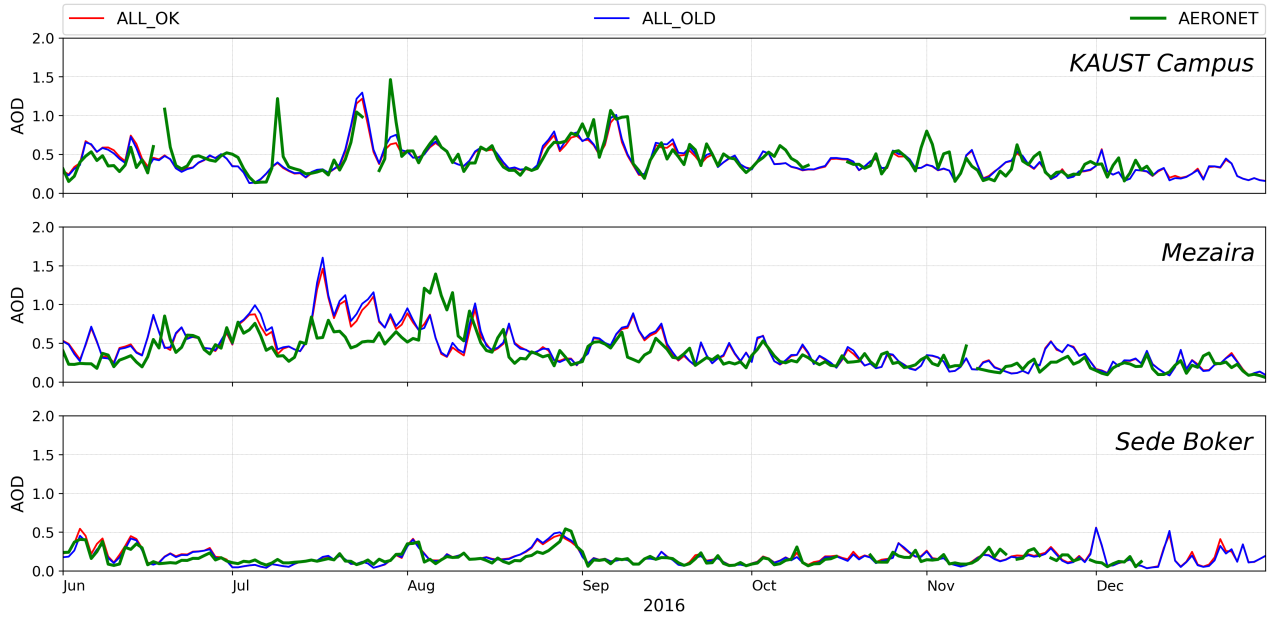


Figure 7. Daily averaged AOD time-series from *ALL_OK* and *ALL_OLD* runs (red and blue lines) and AERONET AOD (green line) at *KAUST Campus*, *Mezaira*, *Sede Boker*.

The stronger dust emissions lead to increased dust surface concentrations and to increased dust content in the atmosphere. Figure 8 shows comparison of the daily averaged PM₁₀ surface concentrations obtained from *ALL_OK* and *ALL_OLD* runs and from MODON observations in Riyadh, Jeddah, and Dammam. Modeled PM₁₀ concentrations were computed using eq. 2. PM₁₀ constituents were sampled at the exact MODON stations locations. We used "default" and "updated" mapping coefficients s_{25} , d_{25} , d_{10} (see Tab. 3) for evaluation of PM₁₀ concentrations from *ALL_OLD* and *ALL_OK* runs, correspondingly. PM₁₀

concentration time-series from *ALL_OK* run demonstrate better agreement with the MODON observations in comparison with the PM_{10} time-series from *ALL_OLD* run. In particular, mean biases with respect to MODON observations for *ALL_OK* and *ALL_OLD* runs are 2, 23, 77 and 72, 128, 275 ($\mu g/m^3$) for Jeddah, Riyadh, and Dammam, correspondingly, see Fig. 8. Figure 9 demonstrates the averaged over the summer (June, July, August) of 2016 total dust column loadings ($\mu g/m^2$) from the *ALL_OK* and *ALL_OLD* runs, as well as their relative differences (%). The *ALL_OLD* run in some locations overestimates dust content in the atmosphere by 80% in comparison with *ALL_OK* run. The total mass of dust in the atmosphere in the *ALL_OK* run yields 6.68 Tg in comparison with 10.92 Tg in the *ALL_OLD* run, so the difference exceeds 60%.

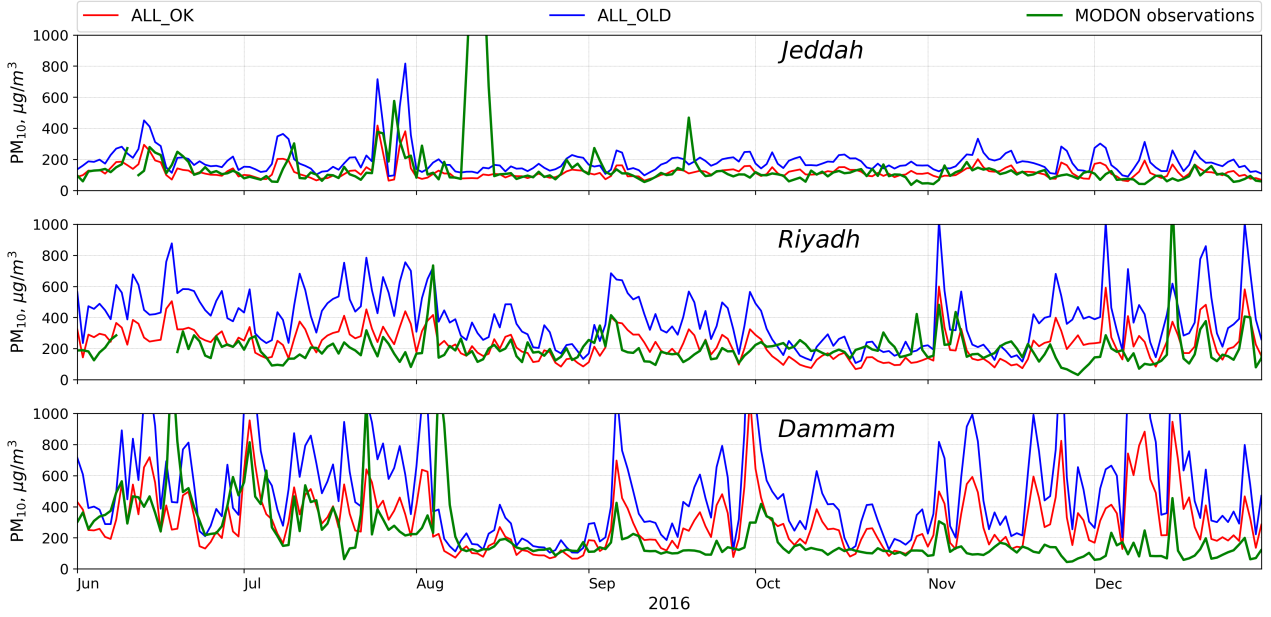


Figure 8. Daily averaged PM_{10} surface concentrations ($\mu g/m^3$) from *ALL_OK* and *ALL_OLD* runs (red and blue lines) and from MODON observations (green line) at Jeddah, Riyadh, and Dammam.

3.5 Effect of Initial and Boundary Conditions

We specifically conduct a sensitivity simulation to examine the impact of boundary conditions on PM_{10} surface concentration over the ME. In this simulation boundary conditions are constructed using the developed *Merra2BC* interpolator (Ukhov and Stenchikov, 2020) (see Appendix A) and we zero the initial concentrations of dust and sea salt. The emissions of dust and sea salt within the domain are turned off (*dust_opt*=0, *seas_opt*=0). In this instance, PM_{10} concentrations are entirely determined by the inflow from the lateral boundaries. The averaged PM_{10} surface concentrations are presented in Fig. 10. PM_{10} concentrations are calculated using Eq. 2. Figure 10 shows the inflow of PM_{10} from Africa, Central Asia and from the Indian ocean. Dust is the major contributor to the PM_{10} transported from Africa and Central Asia, whereas sea salt contributes to PM_{10} transported over the Indian ocean.

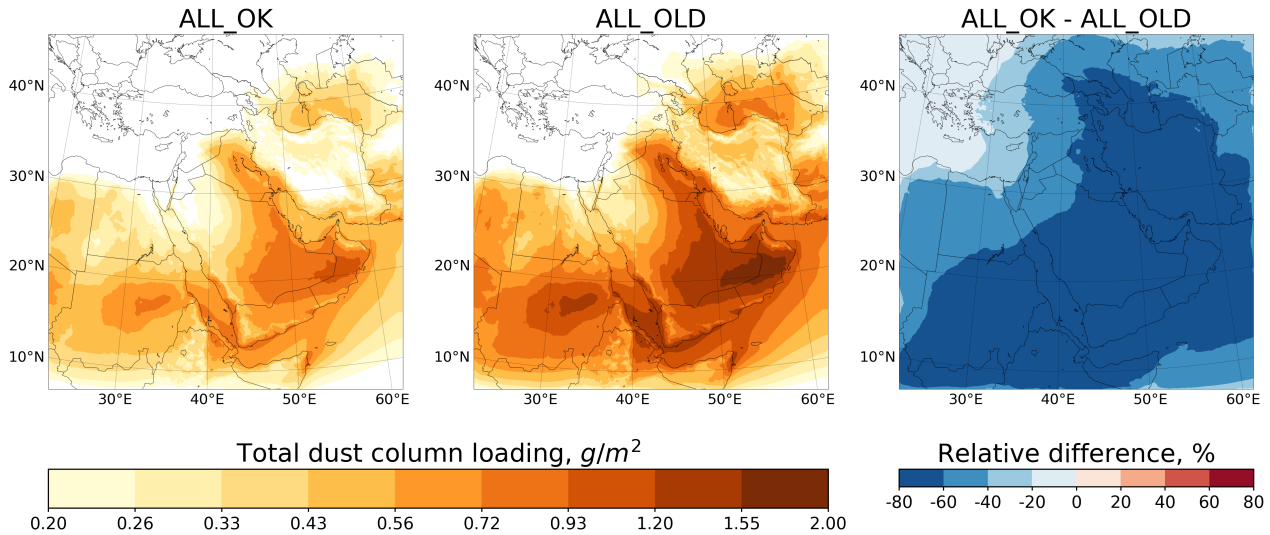


Figure 9. Averaged over the summer of 2016 total dust column loadings (g/m^2) from *ALL_OK* and *ALL_OLD* runs and relative difference (%).

4 Conclusions

In this paper, we discuss the inconsistencies found in the WRF-Chem v3.2 model coupled with the GOCART aerosol module. All of these inconsistencies are rectified in the WRF-Chem v4.1.3 code release. Here, we demonstrate the effect of the code rectification on WRF-Chem model performance. We also demonstrate the methodology we employ to calculate diagnostics, which we then use to estimate the effects of the changes made. To make these assessments, we configure the WRF-Chem domain over the ME and run it with 10 km grid resolution. The runs under discussion in this paper were performed over the period of 1-12 August, 2016. The effect of each inconsistency was estimated using specifically designed WRF-Chem runs where only one model inconsistency was activated. The cumulative effect of all inconsistencies was estimated in the seven-month case-study conducted for June 1 - December 31, 2016, when both AERONET AODs and PM₁₀ surface observations are available. The comparison of runs with and without proposed changes shows that the run without corrections overestimates dust loadings and total mass by 80% and 60%, respectively.

We found that in WRF-Chem v3.2 coupled with GOCART, the inconsistency in diagnostics of PM surface concentration led to a 7% underestimation of PM_{2.5} and 5% overestimation of PM₁₀. Due to drawback in mapping of dust particles with radii between 0.1 and 0.46 μm from GOCART to MASAIC bins for Mie calculations of aerosol optical properties, the AOD was underestimated by 25-30%. This led to higher dust emissions and surface PM concentrations, because the WRF-Chem model is tuned to fit the simulated AOD to AERONET observations. This explains the inconsistencies found in Kumar et al. (2014); Eltahan et al. (2018); Flaounas et al. (2017). Flaounas et al. (2017) noted that the model simulates realistic AODs when dust emissions are exaggerated, which in turn results in exaggerated dust surface concentrations. Conversely, realistic reproduction

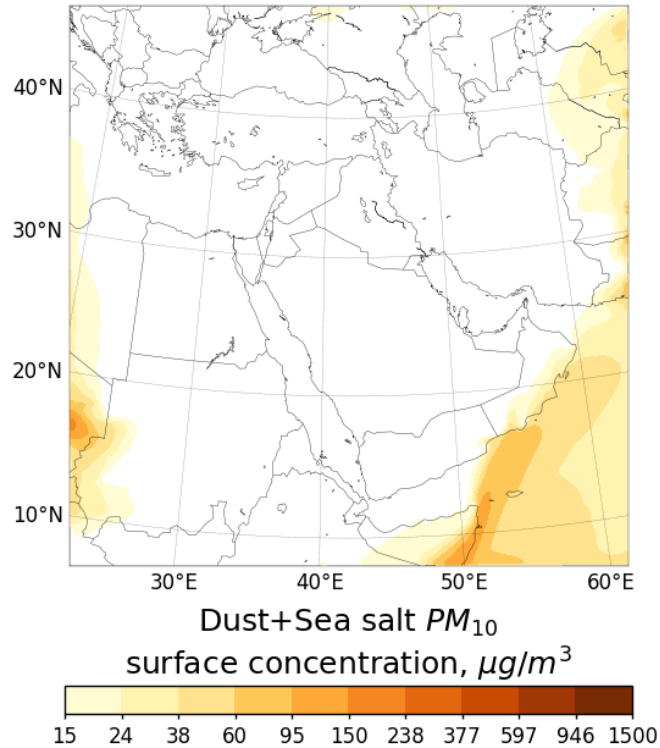


Figure 10. Effect of trans-boundary transport. Averaged dust and sea salt PM_{10} surface concentrations ($\mu g/m^3$) obtained from WRF-Chem simulation without emission of sea salt and dust.

of dust concentration yields AODs that are smaller than in observations. Because of the error in calculating gravitational settling, dust column loadings were overestimated by 4-6% and the amount of gravitationally settled dust was overestimated by 5-10%. The contribution of dust and sea salt into PM_{10} surface concentration was overestimated by 2-4% on average over the ME.

- 5 The ~~simultaneous effects of the different inconsistencies may amplify the total effect~~seven-month case study shows that the cumulative response to all code modifications applied simultaneously is stronger than the sum of their partial contributions. For instance, AOD underestimation causes higher dust emissions(~~as mentioned above~~), which causes higher dust surface concentrations and increased production of dust in the atmosphere due to the error in gravitational settling. As a consequence, ~~dust surface concentrations will be further increased~~ PM_{10} surface concentrations further increases. Finally, an already high PM_{10}
- 10 surface concentration ~~will be further is~~ overestimated due to the incorrect calculation of PM_{10} . Thus, the proposed improvements help to explain the considerable bias towards higher PM_{10} concentrations found in (Ma et al., 2019; Flaounas et al., 2017; Su and Fung, 2015; Nabavi et al., 2017; Rizza et al., 2017; Eltahan et al., 2018).

In the course of improving the simulation of natural and anthropogenic aerosols and chemicals, we developed the capability to use MERRA-2 reanalysis for constructing WRF-Chem initial and boundary conditions for chemical species and aerosols.

The interpolation utility *Merra2BC* was coded for this purpose. Boundary conditions constructed using MERRA-2 reanalysis more realistically account for the trans-boundary transport of aerosols. *Merra2BC* is made available to the community.

We believe the detailed quantification of the effects of the recent WRF-Chem code improvements are in line with open-source principles. The results of this work aim at better understanding of the model sensitivities to physical parameterizations. This work will add a greater understanding of model performance, and will be especially helpful for those who use the WRF-Chem model coupled with the GOCART aerosol module to carry out dust simulations over regions where dust plays an important role.

Code and data availability. The standard version of WRF-Chem is publicly available online at <https://github.com/wrf-model/WRF>. *Merra2BC* interpolator is available online at <https://github.com/saneku/Merra2BC>

10 Appendix A: Merra2BC interpolator

Merra2BC interpolator (Ukhov and Stenchikov, 2020) (available online at <https://github.com/saneku/Merra2BC>) creates initial and boundary conditions based on MERRA-2 reanalysis (Randles et al., 2017) for a WRF-Chem simulation by interpolating chemical species mixing ratios defined on the MERRA-2 grid to WRF-Chem grid. For the initial conditions, interpolated values are written to each node of the WRF-Chem grid. For the boundary conditions, only boundary nodes are affected.

Merra2BC is written in Python. The utility requires additional modules that need to be installed in the Python environment: NetCDF4 (netcdf4, <https://github.com/Unidata/netcdf4-python>) - interface to work with netCDF files and SciPy's (scipy, <https://github.com/scipy/scipy>) interpolation package.

The full MERRA-2 reanalysis data set including aerosol and gaseous collections is publicly available online (<https://disc.gsfc.nasa.gov/daac-bin/FTPSubset2.pl>). Depending on the requirements, all or one of the following aerosol and gaseous collections need to be downloaded: *inst3_3d_aer_Nv* - gaseous and aerosol mass mixing ratios, (kg/kg) and *inst3_3d_chm_Nv* - Carbon monoxide and Ozone mass mixing ratios, (kg/kg). Besides downloaded mass mixing ratios, pressure thickness *DELP* and surface pressure *PS* fields also need to be downloaded. Spatial coverage of the MERRA-2 files should include the area of the simulation domain. The time span of the downloaded files should match with the start and duration of the simulation. More information regarding MERRA-2 files specification is provided in Bosilovich et al. (2016).

A1 Reconstruction of the pressure in MERRA-2 and in WRF-Chem

Atmospheric pressure is used as a vertical coordinate. Latitude and longitude serve as the horizontal coordinates.

The MERRA-2 vertical grid has 72 model layers which are on a terrain-following hybrid $\sigma - p$ coordinate. The pressure at the model top is a fixed constant, $P_{TOP}=0.01$ hPa. Pressures at the model edges are computed by summing the *DELP* starting at P_{TOP} . A representative pressure for the layer can then be obtained by averaging pressure values on adjacent edges.

Indexing for the vertical coordinate is from top to bottom, i.e., the first layer is the top layer of the atmosphere (P_{TOP}), while the 72nd layer is adjacent to the earth's surface.

In WRF-Chem, the pressure field is not given in *wrfinput_d01* and *wrfbdy_d01* files. Hence, the pressure field must be restored using surface pressure P_{SFC} taken from *met_em_...** files created by *metgrid.exe* during the preprocessing stage.

- 5 Pressure at the top of the model *wrf_p_top* and η -values on half levels (*znu*) are taken from the *wrfinput_d01* file. The procedure of reconstructing the pressure from *met_em_...** files using the python code is demonstrated in Fig. A1:

```
def get_pressure_from_metfile(metfile):
    PSFC=metfile.variables['PSFC'][:,:]
    WRF_Pres = np.zeros([nz,ny,nx])
    for z_level in reversed(range(nz)):
        WRF_Pres[nz-1-z_level,:]=PSFC*znu[0,z_level] +
            (1.0 - znu[0,z_level])*wrf_p_top
    return WRF_Pres
```

Figure A1. A python script, which reconstructs the pressure using the *met_em_...** files. nx, ny, nz - number of grid nodes in WRF-Chem domain.

A2 Mapping chemical species between MERRA-2 and WRF-Chem

Merra2BC file *config.py* contains multiplication factors to convert MERRA-2 mass mixing ratios of gases given in kg/kg into ppmv. Aerosols are converted from kg/kg to ug/kg. When using the GOCART aerosol module in WRF-Chem simulation,

- 10 all MERRA-2 aerosols and gases are matched with those from WRF-Chem. We simply multiply by a factor of 10^9 to convert MERRA-2 aerosols mixing ratios given in kg/kg into ug/kg. In the case of gases, we need to multiply MERRA-2 mass mixing ratios by a ratio of molar masses M_{air}/M_{gas} multiplied by 10^6 to convert kg/kg into ppmv, where M_{gas} and M_{air} are molar masses (g/mol) of the required gas and air (28.97 g/mol), respectively. If another aerosol module is chosen in WRF-Chem, then different multiplication factors should be used.

15 A3 Interpolation procedure

A brief description of the interpolation procedure applied to the initial conditions is presented in Fig. A2.

For boundary conditions the procedure is similar, except that additional updates of domain boundary tendencies are required and interpolation is performed for each step, where boundary conditions are applied.

A4 Typical workflow

- 20 Here are the steps describing how to work with *Merra2BC* interpolator:

Algorithm 1 Interpolation procedure applied to initial conditions

- 1: Pressure reconstruction at each node of the MERRA-2 and WRF-Chem grids.
 - 2: **for** each 72 vertical layers in MERRA-2 grid **do**
 - 3: Horizontal interpolation of MERRA-2 pressure on WRF-Chem latitude, longitude nodes using bivariate spline approximation (method *RectBivariateSpline* from Scipy module).
 - 4: **Result:** MERRA-2 pressure is calculated on 72 levels but on latitude, longitude nodes of the WRF-Chem grid.
 - 5: **for** each chemical species mixing ratio **do**
 - 6: **for** each 72 vertical layers in MERRA-2 grid **do**
 - 7: Horizontal interpolation of MERRA-2 species mixing ratio on WRF-Chem latitude, longitude nodes using bivariate spline approximation (method *RectBivariateSpline* from Scipy module).
 - 8: **Result:** MERRA-2 species mixing ratio is calculated on 72 levels but on latitude, longitude nodes of WRF-Chem grid.
 - 9: **for** each lat, long node of the WRF-Chem grid **do**
 - 10: Vertical linear interpolation of MERRA-2 species mixing ratio on WRF-Chem vertical coordinate (method *interp1d* from Scipy module).
 - 11: **Result:** MERRA-2 species mixing ratio is interpolated at each node of WRF-Chem grid.
 - 12: Multiplying interpolated species mixing ratio by corresponding factor to convert kg/kg into ppmv or ug/kg, depending whether it gas or aerosol.
 - 13: Updating corresponding fields in WRF-Chem *wrfinput.d01* file by interpolated values.
 - 14: **Result:** WRF-Chem grid is updated by interpolated values from MERRA-2 grid.
-

Figure A2. Interpolation procedure applied to initial conditions.

1. Run *real.exe*, which will produce initial *wrfinput.d01* and boundary conditions *wrfbdy.d01* files required by WRF-Chem simulation;
2. Download required MERRA-2 files from <https://disc.gsfc.nasa.gov/daac-bin/FTPSubset2.pl>;
3. Download the *Merra2BC* from <https://github.com/saneku/Merra2BC>;
- 5 4. Edit *config.py* file which contains:
 - (a) mapping of chemical species and aerosols between MERRA-2 and WRF-Chem;
 - (b) paths to *wrfinput.d01*, *wrfbdy.d01*, *met_em...** files;
 - (c) path to the downloaded MERRA-2 files;

5. *real.exe* sets default boundary and initial conditions for some chemical species. *Merra2BC* adds interpolated values to the existing values, which may cause incorrect concentration values. To avoid this, run “python *zero_fileds.py*”, which will zero the required fields;
6. Run “python *main.py*”, which will do the interpolation. As a result, files *wrfinput_d01*, *wrfbdy_d01* will be updated
- 5 by the interpolated from MERRA-2 values;
7. Modify the WRF-Chem *namelist.input* file at section *&chem*: set *have_bcs_chem* = *.true.* to activate updated boundary conditions and, if needed, *chem_in_opt* = 1 to activate updated initial conditions;
8. Run *wrf.exe*.

Appendix B: Statistics

- 10 The following statistical parameters were used to quantify the level of agreement between estimations and observations:

Pearson correlation coefficient (*R*):

$$R = \frac{\sum_{i=1}^N (F_i - \bar{F})(O_i - \bar{O})}{\sqrt{\sum_{i=1}^N (F_i - \bar{F})^2 \sum_{i=1}^N (O_i - \bar{O})^2}}. \quad (B1)$$

Mean bias (*BIAS*):

$$bias = \frac{1}{N} \sum_{i=1}^N (F_i - O_i) \quad (B2)$$

- 15 where F_i is the estimated value, O_i is the observed value, $\bar{F} = \frac{1}{N} \sum_{i=1}^N F_i$ and $\bar{O} = \frac{1}{N} \sum_{i=1}^N O_i$ their averages and N is the number of data.

Appendix C: AOD calculations

- WRF-Chem does not calculate AOD at 550 nm (only at 300, 400, 600, 1000 nm variables *TAUAER1*, *TAUAER2*, *TAUAER3*, *TAUAER4*, respectively), but, instead, it outputs the extinction coefficient at 550 nm (variable *EXTCOF55*). The AOD at
- 20 550 nm (AOD_{550}) for (i, j) vertical column can be calculated by summing throughout the vertical column of product of multiplication of the *EXTCOF55* by the Δz :

$$AOD_{550 \ i,j} = \sum_k EXTCOF55_{i,j,k} \cdot \Delta z_{i,j,k}, \quad (C1)$$

where $\Delta z_{i,j,k}$ is the depth (m) of the (i, j, k) cell, which can be computed using the formula:

$$\Delta z_{i,j,k} = (PH_{i,j,k} + PHB_{i,j,k})/g, \quad (C2)$$

where $PH_{i,j,k}$ is the geopotential and $PHB_{i,j,k}$ is the perturbed geopotential and $g=9.81 \text{ m/s}^2$ is the gravitational acceleration. Variables PH and PHB are taken from the WRF-Chem output.

To facilitate comparison with the model output the 550 nm AOD is calculated using the following relation:

$$\frac{\tau_\lambda}{\tau_{\lambda_0}} = \left(\frac{\lambda}{\lambda_0} \right)^{-\alpha} \quad (\text{C3})$$

- 5 where α is the Ångström exponent for the 440-675 nm wavelength range provided by AERONET, τ_λ is the optical thickness at wavelength λ , and τ_{λ_0} is the optical thickness at the reference wavelength λ_0 .

Appendix D: Column loadings

- WRF-Chem stores dust column loadings ($\mu\text{g}/\text{m}^2$) using variables $DUSTLOAD_1, 2, 3, 4, 5$. Column loadings for (i, j) vertical column of other aerosols or chemical species can be computed by vertically summing throughout the vertical column of product of multiplication of the mass mixing ratio q ($\mu\text{g}/\text{kg}$) by the cell depth Δz (m) (see eq. C2) and dry air density (kg/m^3). WRF outputs variable ALT , which is inverse dry air density (m^3/kg):

$$Column\ loading_{i,j} = \sum_k q_{i,j,k} \cdot \Delta z_{i,j,k} \cdot 1/ALT_{i,j,k} \quad (\text{D1})$$

WRF-Chem outputs gases concentrations expressed in ppmv. Conversion from ppmv into the mass mixing ratio can be calculated using the following formula:

$$15 \quad Mass\ mixing\ ratio = ppmv \cdot 10^{-6} \cdot M_{gas}/M_{air}, \quad (\text{D2})$$

where M_{gas} and M_{air} are molar masses (g/mol) of the required gas and air ($28.97 \text{ g}/\text{mol}$), respectively.

Appendix E: Surface concentrations

Surface concentration ($\mu\text{g}/\text{m}^3$) of an aerosol at (i, j) vertical column can be computed by multiplication of the mass mixing ratio ($\mu\text{g}/\text{kg}$) at the first model level (q_1) by the corresponding dry air density (kg/m^3) at the first model level ($1/ALT_1$):

$$20 \quad Surface\ concentration_{i,j} = q_{i,j,1} \cdot 1/ALT_{i,j,1} \quad (\text{E1})$$

To obtain gas surface concentration ($\mu\text{g}/\text{m}^3$), (ppmv) needs to be converted to the mass mixing ratio; see eq. D2.

Appendix F: Dust mass balance

- In the WRF-Chem's GOCART aerosol module, dust emissions along with three types of removal processes (dry deposition, gravitational settling, and wet scavenging) are implemented. Here, for the sake of clarity, we refrain from consideration of wet
25 scavenging. To calculate the dust mass balance, assuming there is no flow of dust through the domain boundaries, we need to

calculate the amount of dust emitted from the domain area, the amount of dust that was deposited by gravitational settling and dry deposition, and the amount of dust in the atmosphere. By default, WRF-Chem stores instantaneous values of dust emission and deposition fluxes. We modified the WRF-Chem code to accumulate the dust emission and deposition fluxes.

F1 Grid column area

- 5 In WRF, one of the following four projections can be used: the Lambert conformal, polar stereographic, Mercator, and latitude-longitude projections. These projections are implemented using map factors. In the computational space, the grid lengths Δx (m) and Δy (m) (dx and dy variables in *namelist.input*) in x and y directions are constants. In the physical space, distances between grid points vary with position on the grid. Map factors $mx_{i,j}$ and $my_{i,j}$ for both the x and y components are used for the transformation from computational to physical space, and computed by *geogrid.exe* during the preprocessing stage. $mx_{i,j}$ and $my_{i,j}$ are defined as the ratio of the distance in computational space to the corresponding distance on the earth's surface (Skamarock et al., 2008):

$$(mx_{i,j}, my_{i,j}) = (\Delta x, \Delta y) / (\text{distance on the earth}_{i,j}) \quad (\text{F1})$$

Map factors $mx_{i,j}$ and $my_{i,j}$ for each (i, j) vertical column are stored in *wrfinput_d01* file in variables *MAPFAC_MX* and *MAPFAC_MY*, respectively. Thus, the area of (i, j) column $S_{i,j}$ (m²) in physical space is calculated using formula:

$$15 \quad S_{i,j} = (\Delta x / mx_{i,j}) \cdot (\Delta y / my_{i,j}) \quad (\text{F2})$$

F2 Dust emission

- For demonstration purposes, we use the original GOCART-WRF dust emission scheme (*dust_opt*=1) implemented in subroutine *gocart_dust_driver()* file *module_gocart_dust.F*. In this scheme, instantaneous dust emission flux (kg/s cell), calculated for each dust bin is stored in the variables *EDUST1,2,3,4,5*. Other dust emission schemes (*dust_opt*=2,3) store instantaneous dust emission flux expressed in (g/m²s) and (μg/m²s), respectively. Thus, multiplying this flux by Δt on each timestep and by adding the value obtained to the previous value, we accumulate dust emission (kg/ cell) from each surface grid cell. Thus, emission of dust from the first dust bin *Emitted dust₁* (kg) is calculated using the following formula:

$$Emitted\ dust_1 = \sum_{i,j} (S_{i,j} / \Delta x \cdot \Delta y) \cdot EDUST1_{i,j}, \quad (\text{F3})$$

- where $S_{i,j}$ is the area of the (i, j) column (m²); see eq. F2. Here we divide $S_{i,j}$ by $\Delta x \cdot \Delta y$ to account for the fact that in the subroutine *gocart_dust_driver()* dust emission are calculated in the computational space where grid cells have dimensions Δx and Δy .

F3 Gravitational settling and dry deposition

The subroutines *settling()* implemented in *module_gocart_settling.F* and *gocart_drydep_driver()* implemented in *module_gocart_drydep.F* are used to calculate gravitational settling and dry deposition of dust. By default, instantaneous gravitational and dry deposition

fluxes ($\mu\text{g}/\text{m}^2 \text{ s}$) are stored in variables $GRASET_1, 2, 3, 4, 5$ and $DRYDEP_1, 2, 3, 4, 5$, respectively. Thus, multiplying these fluxes on each timestep by the timestep Δt and the scaling coefficient 10^{-9} , and by adding the resulting value to the previous value, we obtain accumulated gravitational and dry deposition mass per unit area expressed in (kg/m^2).

- Hence, deposition of the dust from the first dust bin due to gravitational settling (*Grav. settled dust*₁, kg) and dry deposition
 5 (*Dry. deposited dust*₁, kg) is calculated using the following formulas:

$$Grav. settled dust_1 = \sum_{i,j} S_{i,j} \cdot GRASET_1_{i,j}, \quad (\text{F4})$$

$$Dry. deposited dust_1 = \sum_{i,j} S_{i,j} \cdot DRYDEP_1_{i,j}, \quad (\text{F5})$$

where $S_{i,j}$ is the area of the (i,j) column (m^2); see eq. F2.

F4 Dust in the atmosphere

- 10 There are two approaches to calculate the amount of dust in the atmosphere (*Dust in the atmosphere*, kg). In the first approach we use dust column loadings (variables $DUSTLOAD_1, 2, 3, 4, 5$, $\mu\text{g}/\text{m}^2$). Thus, the mass of dust in the first dust bin is given:

$$Dust in the atmosphere_1 = 10^{-9} \cdot \sum_{i,j} S_{i,j} \cdot DUSTLOAD_1_{i,j}, \quad (\text{F6})$$

where $S_{i,j}$ is the area of the (i,j) column (m^2); see eq. F2.

- 15 In the second approach we calculate the mass of air in each grid cell, multiply it by the dust mass mixing ratio (for example $DUST_1$, $\mu\text{g}/\text{kg}$), and sum over all grid cells in the domain:

$$Dust in the atmosphere_1 = 10^{-9} \cdot \sum_{i,j} S_{i,j} \cdot \sum_k DUST_1_{i,j,k} \cdot \Delta z_{i,j,k} \cdot 1/ALT_{i,j,k}, \quad (\text{F7})$$

where $\Delta z_{i,j,k}$ is the depth (m) (see eq. C2) and $ALT_{i,j,k}$ is the inverse dry air density (m^3/kg) in the grid cell (i,j,k) .

Gaseous concentrations expressed in ppmv need to be converted into mass mixing ratios ($\mu\text{g}/\text{kg}$); see eq. D2.

- 20 *Author contributions.* A. Ukhov planned and performed the calculations, wrote the manuscript, and led the discussion. R. Ahmadov, G. Grell, and G. Stenchikov participated in the discussion and reviewed the manuscript.

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