



# 1 **Prioritising the sources of pollution in European cities: do air** 2 **quality modelling applications provide consistent responses?**

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6 **Abstract.** To take decisions on how to improve air quality, it is useful to perform a source allocation study that  
7 identifies the main sources of pollution for the area of interest. Often source allocation is implemented with a Chemical  
8 Transport Model (CTM) but unfortunately, even if accurate, this technique is time consuming and complex.  
9 Comparing the results of different CTMs to assess the uncertainty on the results is even more difficult. In this work  
10 we compare the source allocation on 150 major cities in Europe based on the results of two CTMs (CHIMERE and  
11 EMEP), approximated through the SHERPA (Screening for High Emission Reduction Potential on Air) approach.  
12 Even though the two CTMs use different input data and configurations, in most cases the source allocations with the  
13 SHERPA simplified models give similar results. But there are also cases where results are contradictory.

## 14 **1. Introduction**

15 Air quality models are useful tools to perform a variety of tasks like assessment (simulating the concentrations fields  
16 at a given moment), forecasting (reproducing future concentrations) and source allocation/planning (evaluating  
17 priorities of interventions, and the impact of potential emission reduction policies on concentrations). For assessment  
18 (Alvaro Gomez-Losada et al., 2018) and forecasting (Corani et al., 2016), it is possible to compare the model results  
19 with observations. FAIRMODE<sup>1</sup> (the Forum for air quality modelling in Europe) i.e. provides tools to assess the  
20 quality of the models like the Model Quality Indicator and Model Quality Objective (Pernigotti et al., 2013b; Viaene  
21 et al., 2016). However, for source allocation and planning, there is no benchmark against which to compare the model  
22 results. In this context air quality models are simulating the impact of theoretical emission reduction scenarios on  
23 concentrations, for which no measurements are available. These scenarios are usually implemented considering  
24 alternative policy options that might never become real. So, even if they are very useful to evaluate ex-ante the impact  
25 of possible policy options, it is hard to judge the uncertainty associated to these results. So, the uncertainty on the  
26 source allocations given by an air quality model can be evaluated by comparing it with the results of other models  
27 (Thunis et al., 2007; Cuvelier et al., 2010; Pernigotti et al., 2013). Both the absolute and relative impact of emission  
28 reductions can be compared. Even if models disagree about the absolute concentration reductions, they might still

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<sup>1</sup> The Forum for Air quality Modeling (FAIRMODE) was launched in 2007 as a joint response initiative of the European Environment Agency (EEA) and the European Commission Joint Research Centre (JRC). The forum is currently chaired by the Joint Research Centre. Its aim is to bring together air quality modelers and users in order to promote and support the harmonized use of models by EU Member States, with emphasis on model application under the European Air Quality Directives. For more details, see <https://fairmode.jrc.ec.europa.eu/>.



29 identify the same sources as main contributors to the air pollution in the area of interest. If model results are consistent  
30 one can assume that policies based on these results will be effective.

31 As an initial phase to design an air quality plan, one can be interested in checking the main sources of pollution for a  
32 given domain (Isakov et al., 2017). This step is defined in literature as source allocation. By ‘source allocation’ (Thunis  
33 et al., 2019) we mean the techniques applied to understand the key contributors to air pollution at a given location.  
34 This source allocation then serves as the corner stone to choose the sector or geographical area on which to focus  
35 when designing measures for an air quality plan. Following this initial phase, a model can then be run in ‘planning  
36 mode’, to evaluate the impact of specific emission reduction scenarios on air quality.

37 The problem to use a CTM for source allocation is the long computation time. Hence, the number of sources that can  
38 be analysed, both in terms of locations, sectors and precursors is limited. The SHERPA (Screening for High Emission  
39 Reduction Potential on Air) approach (Thunis et al., 2016; Pisoni et al., 2017) has been developed with the aim of  
40 providing information on source allocation. SHERPA implements a source-receptor relationship approach, to mimic  
41 the behaviour of a full Chemical Transport Model. Its main advantage is the important reduction of the computational  
42 time required to perform one simulation, in comparison to a CTM. With this approach the impact of emission  
43 reductions for many different combinations of sectors, geographical areas and precursors can be determined quickly.  
44 This would be impossible with a full Chemical Transport Model due to time constraints.

45 In this work, we used the SHERPA approach to produce a source allocation for 150 cities in Europe. A SHERPA  
46 approximation of two CTMs, CHIMERE and EMEP, was build. With these two SR models the contribution of 100  
47 sector-area-precursor combinations on the concentration in the city centre was determined. We assessed the  
48 similarities and differences between these two set of results. Obviously some of the differences are caused by the fact  
49 that the two CTM models rely on different formulations and parametrisations but also on the fact that they are use  
50 different input data (emissions, meteorology...). The objective of this work is therefore not to assess the sensitivity of  
51 the results to a given parameter (e.g. emissions) but rather to assess the overall uncertainty (or better, variability)  
52 attached to source allocation.

53 The paper is structured as follows. We briefly present the two Chemical Transport Model and their set-up in Section  
54 2. We then describe the SHERPA methodology and its assumptions in Section 3. Section 4 details the methodology  
55 followed for the source allocation, while the inter-comparison of the results is presented in Section 5. Conclusions are  
56 proposed in Section 6.

## 57 2. CHIMERE and EMEP Chemical Transport Models: set-up and simulations

58 In this work, we used two set of model simulations, performed with two of the leading air quality models in Europe:  
59 CHIMERE and EMEP. More details on the models can be found in Mailler et al., 2017 and Couvidet et al., 2018 (for  
60 CHIMERE) and Simpson et al., 2012 (for EMEP). A brute force source allocation for 150 cities with these models  
61 would be too time consuming; instead here we use a training set of about 20 CHIMERE and EMEP simulations to  
62 develop a set of SHERPA Source Receptor Relationships (SRR). This SRR set is then used to perform directly the  
63 source allocation. Details on the SHERPA training and validation for CHIMERE can be found in Clappier et al., 2015,  
64 and for EMEP in Pisoni et al., 2019.



65 The CHIMERE and EMEP modelling set-up are different. The key differences between the two modelling  
66 configurations are detailed below:

- 67 • Grid setting: CHIMERE uses a grid of 0.125 degrees longitude by 0.0625 degrees latitude, corresponding to  
68 rectangular cells of more or less 9 by 7 km (in the centre of the domain) whereas EMEP uses a regular grid  
69 of 0.1 by 0.1 degrees, corresponding to rectangular cells of more or less 7 by 11 km.
- 70 • Emissions: The CHIMERE emission reference year is 2010 with a gridding based on the EC4MACS project  
71 proxies (Terrenoire et al., 2015) while EMEP uses a JRC set of emissions (Trombetti et al., 2017) based on  
72 2014 as reference year.
- 73 • Boundary conditions: The size of the modelling domains differs. The CHIMERE domain extends from 10.5°  
74 East to 37.5° West and between 34° and 62° North while the EMEP domain extends from 30° East to 90°  
75 West and between 30° and 82° North.
- 76 • Meteorology: The two models use a different reference meteorological year; 2009 for CHIMERE and 2014  
77 for EMEP; both meteorological fields are modelled through the Integrated Forecasting System (IFS) of  
78 ECMWF.
- 79 • Model Parameterization: Apart from the vertical and/or horizontal resolutions, transport, deposition,  
80 chemical processes might be reproduced with different levels of complexity in the two models.

81 More details on the model simulations and settings can be found in Clappier et al., 2015 and Pisoni et al., 2019.  
82 Starting from these results, two set of SRRs have been built to model yearly average PM<sub>2.5</sub> concentrations, based  
83 respectively on CHIMERE and EMEP data. Before looking at the source allocation results, in the next section a brief  
84 description of the SHERPA methodology is proposed.

### 85 3. SHERPA methodology

86 Starting from the simulations performed with CHIMERE and EMEP, two sets of SHERPA source-receptor  
87 relationships are built.

88 Here we briefly summarise how the SHERPA methodology works; please refer to Pisoni et al., 2019 for more details.

89 In the SHERPA approach, the PM concentration change in receptor cell “j” is computed as follows:

$$\Delta PM_j = \sum_p^{N_{prec}} \sum_i^{N_{grid}} a_{ij}^p \Delta E_i^p \quad (1)$$

90 where  $N_{grid}$  is the number of grid cells within the domain,  $N_{prec}$  is the number of precursors,  $\Delta E_i^p$  are the emission  
91 changes, and  $a_{ij}^p$  are the unknown parameters to be identified, representing the transfer coefficients between each  
92 source cell  $i$  and receptor cell  $j$ . In SHERPA  $a_{ij}^p$  coefficients are cell-dependent, and assume a ‘bell shape function’.  
93 This bell shape function accounts for variation in terms of distance but is directionally isotropic, and can be defined  
94 as follows:

$$a_{ij}^p = \alpha_j^p (1 + d_{ij})^{-\omega_j^p} \quad (2)$$



96 where  $d_{ij}$  is the distance between a receptor cell “j” and a source cell “i”. Thus, in SHERPA the matrix of transfer  
97 coefficients is known when the two parameters  $\alpha$  and  $\omega$  are identified for a given receptor cell j and a given precursor  
98 p (see Equation 2). The final formulation implemented in SHERPA is:

$$\Delta PM_j = \sum_p^{N_{prec}} \sum_i^{N_{grid}} \alpha_j^p (1 + d_{ij})^{-\omega_j} \Delta E_i^p \quad (3)$$

99 With the SHERPA approach, the key step is so to find the optimal  $\alpha, \omega$  coefficients. As the number of unknown  
100 parameters is equal to 2 ( $\alpha, \omega$ ) for each precursor and receptor cell “j”, for the five precursors of PM2.5 (VOC –  
101 volatile organic compounds, SO<sub>2</sub> – sulphur dioxide, NO<sub>x</sub> – nitrogen oxides, PPM – primary particulate matter and  
102 NH<sub>3</sub> – ammonia), ten independent CTM simulations are needed for a given receptor cell. We refer to (Pisoni et al.,  
103 2018; Thunis et al., 2016) for additional details about the SHERPA formulation and evaluation process.

104 Given its cell-to-cell characteristics (Equation 3), the SHERPA formulation can be used to assess the impact of  
105 emission reductions over any given set grid cells. Different geographical entities can therefore be freely defined in  
106 terms of boundaries, and simulated through SHERPA.

107 As previously said, in this work the SHERPA approach is used to analyse the differences between two air quality  
108 modelling setting, based on CHIMERE and EMEP, referred to in this paper as S-CHIMERE and S-EMEP,  
109 respectively.

#### 110 4. Source allocation methodology

111 Starting from the S-CHIMERE and S-EMEP SRRs, the aim of this work is to analyse the main contributors to urban  
112 pollution in terms of sectors, geographical areas and precursors, as modelled by the 2 modelling configurations. We  
113 focus on the PM2.5 yearly average concentrations as target indicator, because PM2.5 is responsible for most of the  
114 health related burden in the EU urban areas (EEA 2019). The approach is applied to the 150 cities analysed in the  
115 ‘PM2.5 Urban Atlas’ (Thunis et al., 2018).

116 As mentioned above, the cell-to-cell characteristics of the SHERPA approach allows the impact of emission reductions  
117 over any given set of grid cells to be assessed. Cities, regions or countries can therefore be freely defined in terms of  
118 boundaries. Emission reductions can also be freely defined in terms of precursors or sectors. The following single (or  
119 combination of) sectors, source areas and precursors are considered.

120 In terms of sectors, emissions categories follow the CORINAIR SNAP nomenclature:

- 121 • Combustion in energy and transformation industries (SNAP 1),
- 122 • Non-industrial combustion plants (SNAP 2),
- 123 • Combustion in manufacturing industry (SNAP 3),
- 124 • Production processes (SNAP 4),
- 125 • Extraction and distribution of fossil fuels and geothermal energy (SNAP 5),
- 126 • Solvent use and other product use (SNAP 6),
- 127 • Road transport (SNAP 7),
- 128 • Other mobile sources and machinery (SNAP 8),



129       • Waste treatment and disposal (SNAP 9) and  
130       • Agriculture (SNAP 10).  
131 which have been aggregated in this work into five sectors:

- 132       • industry (SNAP 1, 3 and 4),
- 133       • residential (SNAP 2),
- 134       • traffic (SNAP 7),
- 135       • agriculture (SNAP 10), and
- 136       • others (SNAP 5, 6, 8 and 9).

137 In terms of geographical sources, four areas are considered for the analysis:

- 138       • the core city,
- 139       • the commuting zone,
- 140       • the rest of the country and
- 141       • international (what is outside the considered country).

142 The commuting zone is defined as that area surrounding the city where at least 15% of the population commutes daily  
143 to the core city. The combination of the core city and the commuting zone is referred to as the functional urban area,  
144 or FUA<sup>2</sup>.

145 Finally, the precursors considered are NOX, VOC, NH<sub>3</sub>, PPM and SO<sub>2</sub>.

146 This leads to 100 (4 areas x 5 precursors x 5 sectors) runs for each model and city. For small cities (66 out of 150) the  
147 core city covers too few grid cells which would lead to discretization errors. In such case the analysis is restricted to  
148 the FUA. For these cities, 75 runs (3 areas x 5 precursors x 5 sectors) per city and model were therefore performed.  
149 With 150 analysed cities for two CTM models, it is interesting to note that the SHERPA approach allows for a  
150 comparison that would have implied 26700 ((66x75 + 84x100) x 2 models) independent air quality simulations with  
151 a full Chemical Transport Model. Note that the same amount of runs has been done with the SHERPA simplified  
152 model, but with only a few minutes required to perform one scenario. The results for S-CHIMERE were published in  
153 the 'Urban PM<sub>2.5</sub> Atlas' (Pisoni et al., 2018). For this paper the same runs are done with S-EMEP, and a comparison  
154 between the 2 is provided.

155 Each run performed with the SHERPA SRRs provides a concentration change ( $\Delta C$ ) that results from an emission  
156 reduction ( $\Delta E$ ) imposed on a given precursor, for a given sector and within a given area. While the  $\Delta C$  from SRRs are  
157 representative for emission reductions of  $\alpha=50\%$ , results are then scaled to 100% to obtain the total impact of a given  
158 source ( $\Delta C/\alpha$ ). The 50% represents a threshold below which the quasi-linearity of the model responses is preserved,  
159 at least when considering yearly average concentrations of PM<sub>2.5</sub> (Thunis et al., 2015). In other words, with this  
160 approach the model response in terms of concentration change is proportional to the emission change of a given source.  
161 It important to stress that this threshold is only valid for PM<sub>2.5</sub> and for yearly averages concentrations, as considered  
162 here. Because of this 50% threshold, it is also worthwhile to note that the source allocation results discussed here  
163 provide information on the impact of potential emission reductions up to that level of 50% (not beyond).

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<sup>2</sup>See <https://www.oecd.org/cfe/regional-policy/functionalurbanareasbycountry.htm> for details.



164

165 The ‘relative potential’ of a given precursor-sector-area combination is expressed as  $\Delta C/\alpha C$ , (Thunis and Clappier,  
166 2014). This indicator represents the share of a particular emission source to the concentration. From a policy point of  
167 view, high ‘relative potential’ sources are the ones to be addressed at first to achieve the largest improvements. To  
168 compare the ‘relative potentials’ from S-CHIMERE and S-EMEP, we calculate the correlation between the relative  
169 potentials. A high correlation means that both models agree well on the emission sources (sectoral and/or geographic)  
170 that contribute most to the concentration for a given city. The main advantage of a correlation indicator is that it  
171 ignores systematic differences. In other words, the fact that one model might predict systematically higher  
172 concentration changes than the other will not be detected by the correlation metric. This is a desirable characteristic  
173 because from the policy perspective, it is the ‘relative ranking’ among the sources contributions that counts rather than  
174 their absolute values.

## 175 5. Comparison of the results

176 In this study we compare the contributions for 150 cities, based on the two SHERPA implementations, S-CHIMERE  
177 and S-EMEP. The source allocation is provided for the city location characterised by the worst value of its target  
178 indicator (i.e. the most polluted cell in the considered city). We first discuss the results for a few cities, before moving  
179 to an EU wide perspective.

180 Tables 1 to 4 show, for each emission area, sector and precursor, the ‘relative potential’ for the 2 models (in % of the  
181 total concentration, ‘chimere\_rp’ and ‘emep\_rp’) and the resulting ranking in terms of importance (‘emep.rank’ and  
182 ‘chimere.rank’), for 4 cities, selected to represent different behaviours in terms of SRRs comparison. In addition to  
183 this, Figures 1 to 4 show the ‘relative potentials’ for the 2 models (S-CHIMERE and S-EMEP), for the different types  
184 of considered aggregations (area, sector, area-sector, ...) and their corresponding correlations, for the same cities.

185 For Liege (Belgium) the overall (all sectors, precursors and areas included) Pearson correlation between the relative  
186 potentials of both models is the highest among the 150 cities ( $r=0.99$ , see Figure 1). Both models identify ammonia  
187 emissions from agriculture, outside Belgium, as the main contributor to local PM<sub>2.5</sub> concentrations. Primary PM from  
188 local industry comes second and NO<sub>x</sub> from international traffic third. Although the lower ranked combinations are  
189 not identical, they are quite similar. From a policy perspective, the fact that both modelling applications provide similar  
190 information is a sign of robustness. It increases our confidence in the priority of interventions (which sectors-areas to  
191 act at first to achieve the maximum air quality improvement) proposed by each model. The values of the different  
192 sector-precursor-areas contributions (expressed as relative potentials) are reported in Table 1.

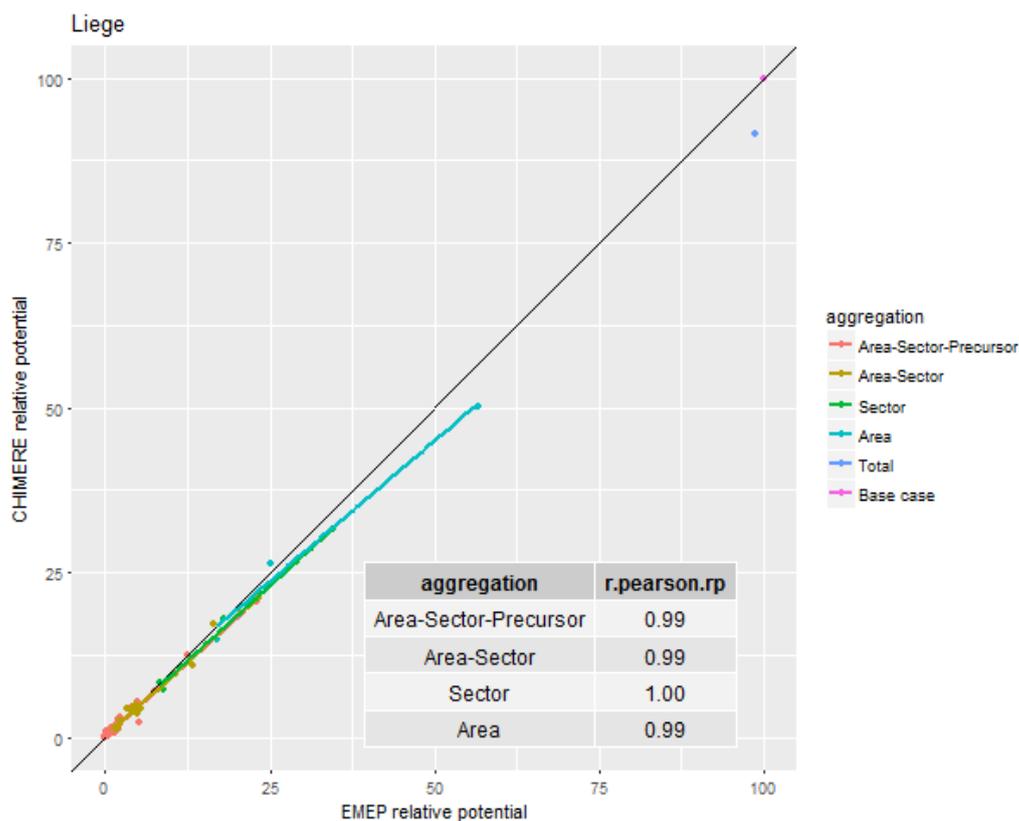


area	sector	precursor	emep_rp	emep.rank	chimere_rp	chimere.rank
International	Agriculture	NH3	22.9	1	20.6	1
FUA	Industry	PPM	12.6	2	12.4	2
International	Road Transport	NOx	7.5	3	6.9	3
International	Industry	NOx	4.9	5	5.2	4
National	Agriculture	NH3	4.2	6	4.6	5
International	Industry	SOx	5.1	4	2.3	10
International	Residential	PPM	2.2	7	2.5	8
FUA	Road Transport	PPM	2.1	10	2.9	6
International	Industry	PPM	2.2	8	2.4	9
FUA	Industry	SOx	1.9	15	2.7	7
International	Other	NOx	2.2	9	1.9	13

193

194 **Table 1: Top 10 area-sector-precursor combinations contributing to the PM2.5 concentrations in Liege (B).**

195 A breakdown analysis is proposed in Figure 1 where correlations are expressed for different data aggregations. In  
196 addition to the overall correlation (75000 values), values are also proposed for data grouped by sectors (150 cities x 5  
197 sectors), by area (150 cities x 4 areas) or by area/sectors (150 cities x 5 precursors x 5 pollutants). In the case of  
198 Liege, all correlations are consistently very good.



199  
 200 **Figure 1: Correlation between relative potentials of S-EMEP and S-CHIMERE for different aggregations in Liege (B).**

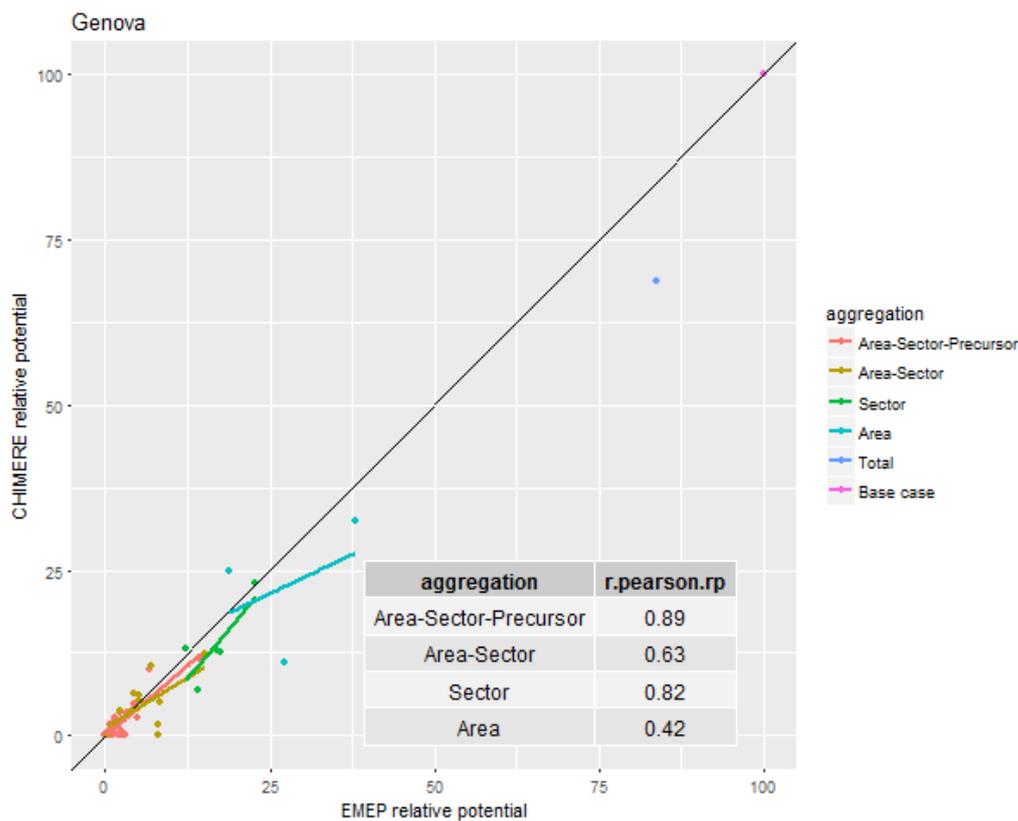
201 Unfortunately, the agreement is not always as good. For the city of Genova (Table 2 and Figure 2), both models agree  
 202 that national/international ammonia emissions from agriculture areas are the largest contributor to local PM<sub>2.5</sub> (see  
 203 Table 2). But the third position in the priority ranking is occupied by NO<sub>x</sub> from national traffic for S-EMEP while it  
 204 is PPM from the national residential sector for S-CHIMERE. However, the correlation still reaches 89% and the  
 205 absolute values of the third ranked sectors are quite close. The agreement between the two models is therefore still  
 206 satisfactory. It is interesting to note that for relative potentials aggregated per area, the correlation drops to 42%,  
 207 pointing to differences in the spatial distribution of the two emission inventories.



area	sector	precursor	emep_rp	emep.rank	chimere_rp	chimere.rank
National	Agriculture	NH3	14.5	1	11.3	1
International	Agriculture	NH3	6.8	2	10.1	2
National	Residential	PPM	4.3	4	4.7	3
FUA	Residential	PPM	3.2	5	3.5	4
National	Road Transport	NOx	4.9	3	2.6	8
FUA	Road Transport	NOx	3.2	6	2.8	7
International	Industry	SOx	2.2	10	3.4	5
National	Industry	SOx	1.7	15	2.5	9
International	Residential	PPM	1.4	18	2.8	6
FUA	Road Transport	PPM	1.4	17	2.1	10
FUA	Other	NOx	2.5	8	0.7	21
FUA	Industry	NOx	2.4	9	0.0	59
FUA	Industry	SOx	3.1	7	0.0	62

208  
 209

Table 2: Top 10 area-sector-precursor combinations contributing to the PM<sub>2.5</sub> concentrations in Genova (IT).



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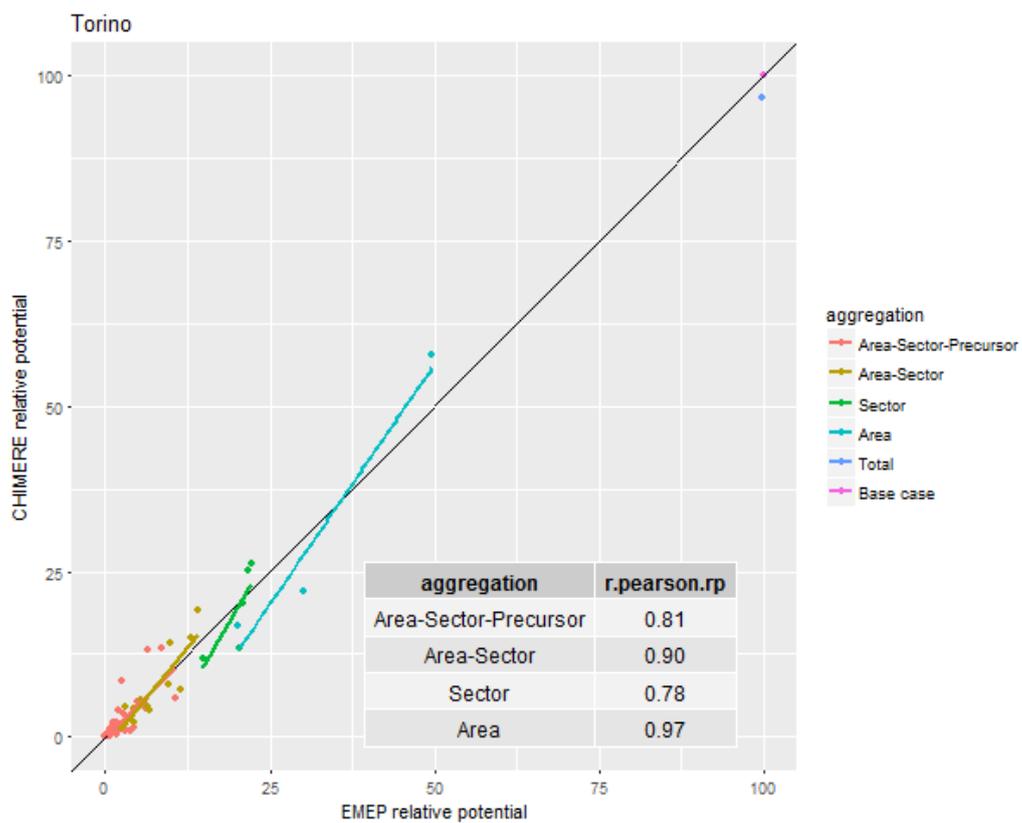
211 Figure 2: Correlation between relative potentials of S-EMEP and S-CHIMERE for different aggregations in Genova (I).



212 In the case of Torino (Table 3 and Figure 3), the two models give contradicting recommendations. While S-CHIMERE  
 213 points to city residential heating as main contributor to PM2.5, S-EMEP points to national agriculture ammonia  
 214 emissions. The model disagreement extends to the top 5 ranking. As indicated, the problem is probably related to the  
 215 sectoral ( $R^2=0.78$ ) rather than to the geographical dimension ( $R^2=0.97$ ). Nevertheless, the overall correlation (0.81)  
 216 is not too bad, and can be explained by the fact that the relative potential values are not too different from each other  
 217 (although the ranking is quite different).

area	sector	precursor	emep_rp	emep.rank	chimere_rp	chimere.rank
FUA	Residential	PPM	8.6	2	13.3	1
National	Agriculture	NH3	10.6	1	5.9	4
FUA	Industry	PPM	6.4	3	13.3	2
FUA	Road Transport	NOx	6.2	4	4.8	6
National	Residential	PPM	4.9	7	5.4	5
International	Agriculture	NH3	6.1	5	4.2	8
FUA	Industry	NOx	5.2	6	4.7	7
FUA	Road Transport	PPM	2.6	13	8.4	3
FUA	Other	PPM	2.9	12	3.5	10
International	Residential	PPM	2.0	16	4.0	9
National	Road Transport	NOx	4.3	8	1.3	18
FUA	Residential	NOx	3.8	9	1.0	23
International	Road Transport	NOx	3.1	10	0.8	25

218  
 219 **Table 3: Top 10 area-sector-precursor combinations contributing to the PM2.5 concentrations in Torino (I).**



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Figure 3: Correlation between relative potentials of S-EMEP and S-CHIMERE for different aggregations in Torino (I).

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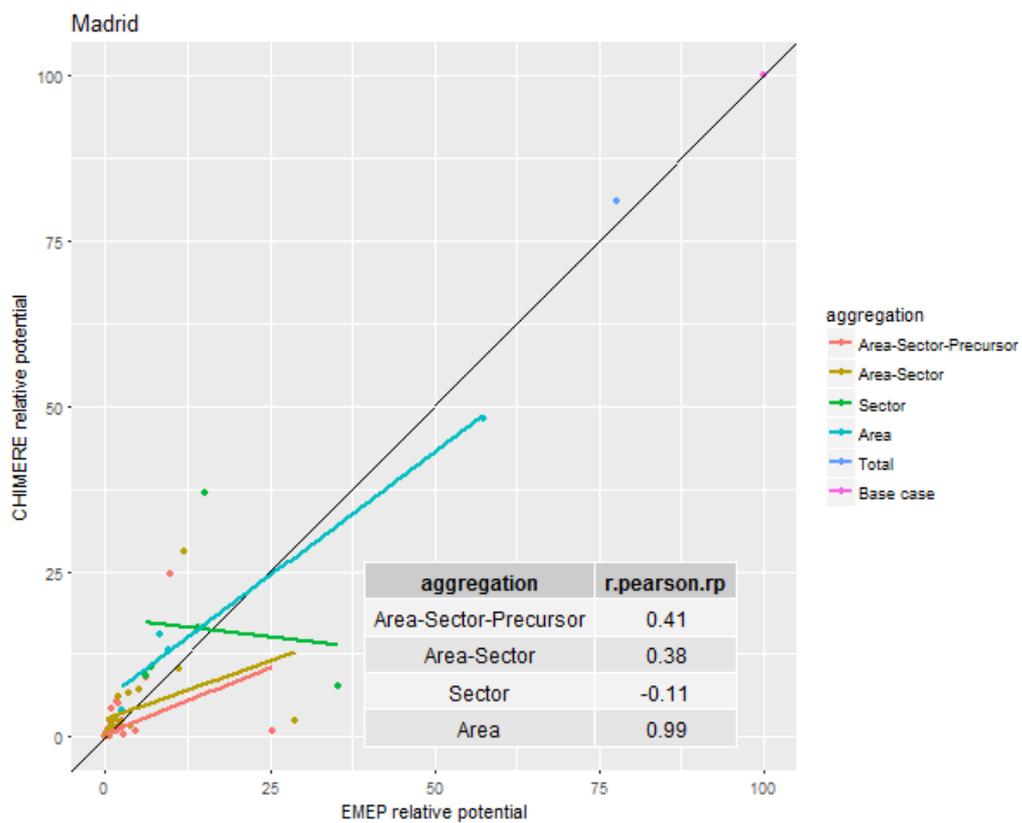


223 In our last example (Madrid - Table 4 and Figure 4), differences are extremely important in terms of relative potentials  
 224 and ranking, leading to an overall correlation of 41%. All other correlations, with the exception of the spatial ones are  
 225 extremely poor. Uncertainties for this city are important, and the choice among policy options shows important  
 226 variability.

area	sector	precursor	emep_rp	emep.rank	chimere_rp	chimere.rank
City	Road Transport	PPM	9.9	2	24.6	1
City	Residential	PPM	6.2	3	8.9	2
City	Other	PPM	2.0	9	5.0	4
National	Agriculture	NH3	2.5	6	2.4	8
Comm	Road Transport	PPM	1.7	11	5.3	3
National	Agriculture	PPM	0.9	13	4.3	5
City	Industry	PPM	2.4	7	1.4	12
City	Other	NH3	2.3	8	1.8	11
Comm	Residential	PPM	1.0	12	2.3	9
City	Industry	SOx	25.4	1	0.8	21
City	Road Transport	NOx	0.8	16	2.7	6
City	Residential	SOx	4.7	4	0.9	20
National	Residential	PPM	0.7	18	2.4	7
National	Road Transport	PPM	0.8	15	2.2	10
National	Industry	SOx	1.8	10	0.8	22
Comm	Industry	SOx	2.8	5	0.4	28

227  
 228 **Table 4: Top 10 area-sector-precursor combinations contributing to the PM2.5 concentrations in Madrid (E).**

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Figure 4: Correlation between relative potentials of S-EMEP and S-CHIMERE for different aggregations for Madrid (E).

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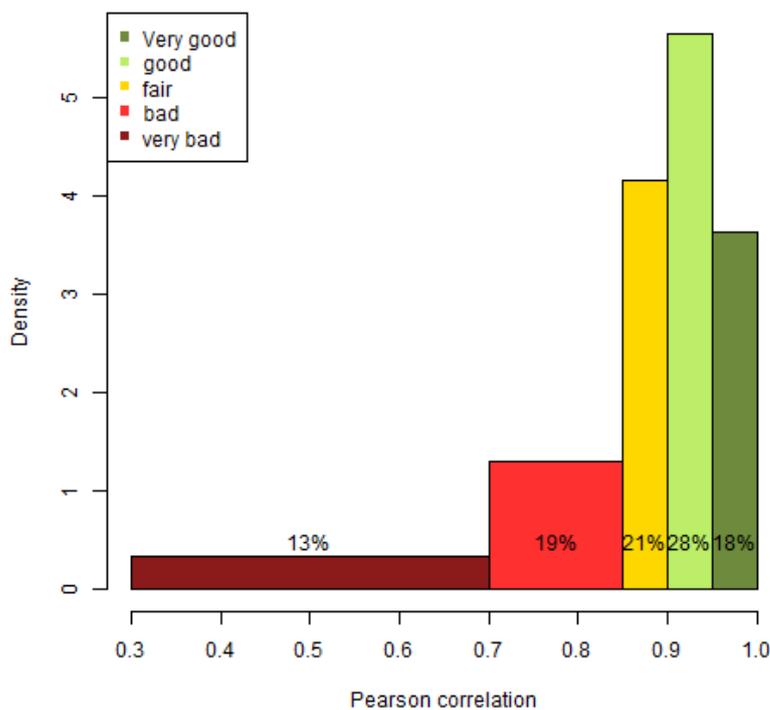


233 As seen from the city example presented above, we can have both strong (Liege) and weak (Madrid) agreement  
234 between the 2 modelling set-up.

235

236 Let's now see what comes out when we extend this analysis to all 150 cities, looking at the results in an aggregated  
237 view. From the city results, to define if the two modelling applications provide similar responses, we will consider an  
238 overall correlation above 95% as very good, between 90 and 95% as good, between 85 and 90% as fair, between 70%  
239 and 85% bad and below 70% very bad. This is an arbitrary choice, but can be useful to start grouping and classifying  
240 the results. The histogram of the overall correlations for all 150 cities (Figure 5:) shows that the model agreement is  
241 good or very good for about half of the cities, satisfactory for another 21%, leaving 32% of doubtful/problematic  
242 cities.

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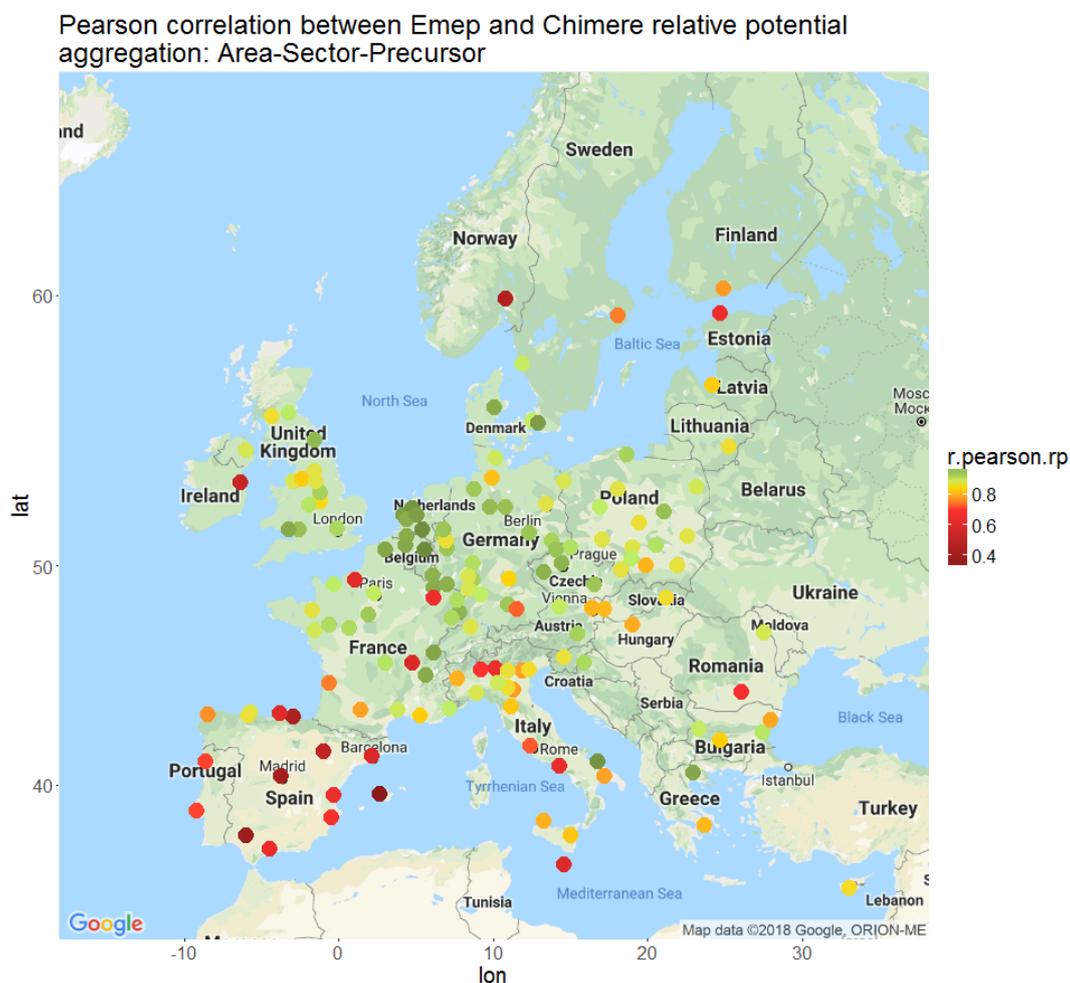
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245 **Figure 5: Distribution of the Pearson correlation coefficient between relative potentials, for 150 cities.**

246



247 The overall correlation map of Europe (Figure 6) shows that cities with the highest variability are mostly located in  
248 Spain, Northern Italy as well as the Baltic countries. Probably for these areas the differences in terms of meteorology,  
249 emissions, and their impact on concentrations through the air quality models, is higher than in other areas.  
250



251  
252 **Figure 6: Pearson correlation between EMEP and CHIMERE relative potentials.**

253  
254 To the knowledge of the authors, this is one of the first attempts to systematically compare the sources and causes of  
255 pollution in European cities, using a harmonized approach. The reasons for these differences between cities are  
256 however not easy to identify. This is because the SRRs used in this study are based on different meteorological years  
257 (2009 vs 2014), emissions (2010 vs 2014) and air quality models (CHIMERE vs EMEP). So, even if this analysis  
258 provides an overall estimate of the variability of policy responses, it does not allow us to identify a specific cause for  
259 the observed differences.



260 However, this situation (that is to say, the use of different input and model set-up) represents usual practice whenever  
261 air quality models are used at the local scale to assess the impact of air quality plans. Indeed, local/regional authorities  
262 generally use only one given set of data, applying a particular model, due to a lack of resources and information.  
263 Therefore, only a given meteorology, a given emission inventory for a given reference year and a specific model are  
264 used to identify the sources of pollution to target. How this choice influences the results and the subsequent design of  
265 an air quality plan is an issue that is often not tackled.

266 It is probably unreasonable to think that a local authority can evaluate in a comprehensive way the variability of a  
267 particular modelling pathway (too much demanding). We however believe that further guidance should be provided  
268 to select the proper modelling set-up (choice of meteorological year, emission, model to use) to reduce the uncertainty  
269 attached to the results and increase their robustness.

270 The final goal of this work would be to help decision makers to properly define key sources, so that only ‘no-regret’  
271 policies are selected. As mentioned above, the present work aims to quantify this variability but it cannot provide  
272 explanations for the observed differences. The only process to identify the causes of differences, is to perform regular  
273 inter-comparison exercises where the responses of models to emission changes are systematically tested via sensitivity  
274 analysis. While exercises of this type occurred in the past years (Colette et al., 2017, Cuvelier et al., 2007, Pernigotti  
275 et al., 2013), it is crucial that these are performed on a regular basis as models and input data continuously evolve.

## 276 6. Conclusions

277 Before applying emission reduction measures to improve air quality, it is important to evaluate the importance of the  
278 key sources contributing to pollution in a given area. The main methodology to perform this task is referred to as  
279 ‘source allocation’.

280 Source allocation can be implemented in various ways. In this paper we use the SHERPA model, a source-receptor  
281 relationship mimicking the behaviour of a fully-fledged CTM. With SHERPA one can perform hundreds of  
282 simulations in few minutes to test the impact of various geographical, sectoral or precursor-based emission sources,  
283 on the concentration at a point of interest. The result is a complete source-allocation study for a given domain  
284 explaining the key sources of pollution for a given area.

285 In this work, we developed two SHERPA versions, based on two modelling set-up using different meteorological  
286 reference year, emission inventories and air quality models. Even if these setting are quite different and difficult to  
287 compare, they represent what happens in the real-world when designing air quality plans. In fact, different local  
288 authorities in Europe are free to use different reference meteorological years, emissions and models. The comparison  
289 of these results therefore provide an estimate of the variability attached to source allocation results for a given area.  
290 The two SHERPA SRRs versions (based on CHIMERE and EMEP) have then been used to perform source allocation  
291 on 150 main cities in Europe, and results have been presented in terms of priorities of interventions (i.e.: which are  
292 the sector/geographical areas/pollutants that are more relevant for air quality in a given city?).

293 The results are for some cities consistent (changing the modelling set-up we get the same ranking in terms of priorities),  
294 while for other cities (a minority) the two SRRs deliver different results. Even if it is not possible in this work to  
295 identify the causes for these differences (as the two modelling set-ups are too different) the paper shed light on the



296 fact that one can get quite different ranking of sectors-areas depending on the modelling set-up considered. This is  
297 quite logical (different assumptions will deliver different results) but at the same time it is an important issue to be  
298 underlined. As this is the current practice in air quality modelling for planning in Europe (in fact one can freely choose  
299 meteorological reference years, emissions, models, when building a plan) we conclude that further guidance is needed  
300 to understand how to properly define this modelling set-up; and to understand how this choice could impact the  
301 selection of priorities for intervention and the variability of the results.

302 Thanks to the limited number of required simulations to build SHERPA, future work could envisage the  
303 implementation of ‘constrained setting’ to build SRR (i.e. keeping the same air quality model but changing emissions,  
304 or keeping the same emissions but changing the model) to be able to discriminate on the relative contributions of the  
305 different factors involved. Also, further model inter-comparison works should be fostered.

#### 306 **Code and data availability**

307 The code and data used to perform the analysis presented in this paper is available at  
308 [https://github.com/enricopisoni/SRR\\_comparison](https://github.com/enricopisoni/SRR_comparison) (Last access: 7<sup>th</sup> of April 2020). The SHERPA model, providing the  
309 source-receptor relationships applied in this paper, is available at <https://aqm.jrc.ec.europa.eu/sherpa.aspx> (Last  
310 access: 7<sup>th</sup> of April 2020).

#### 311 **Authors contribution**

312 BD developed the methodology, performed all the analysis and drafted a first version of the paper. PT conceived the  
313 initial development of SHERPA, and contributed to the structuring and revision of the paper. EP developed the  
314 SHERPA tool, contributed to the interpretation of the results and to the preparation of the final version of the paper.

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