Thank you for your comments. The line numbers in our response referred to the updated manuscript unless otherwise stated.

## Anonymous referee #1

Isabella et al developed the DASH to quantitatively evaluate the anthropogenic activities impacting heat emissions. This model highlighted the Spatiotemporal distribution anthropogenic heat emissions. This is an important model that have board implications to the urban area. This topic of this paper fits the scope of GMD. However, I have some concerns

45. Please check the reference format. " (Oke, 198)" Citation has been corrected to (Oke, 1988)

215. It seems the authors does not consider the heat emissions generated by the metabolism during sleep, which is incomplete for this part.

This is accounted for. The following text has been changed to make this clearer:

# L217:

Both  $\beta$  and M can vary with activity (e.g. office work/sitting, walking, sleeping)

410. I agree with the author's statement that "The limited consideration of building material thermophysical properties is expected to reduce the spatial variance in heating and cooling contributions". But the dimension of the building is equally important. In the case of London, it does not take differences in building dimensions into consideration, which would be an important reason to cause the largest discrepancy in CBD except for misallocation in the published data, as the author mentioned in 635

Total building volume is (approximately) correct for each OA (the exact distribution between archetypes is not known). One non-domestic and three domestic building types (bungalow, flat, house) are used to create the volume. The building level of detail (LoD) can vary with available data.

# L416:

The limited consideration of building material thermophysical properties and dimensions is expected to reduce the spatial variance in heating and cooling contributions to  $Q_F$  in DASH. DASH can use more building features given suitable input data.

# Anonymous referee #2

GENERAL COMMENTS: Overall, this manuscript presents an intriguing and thoroughly reasoned agent-based framework for evaluating the dynamically and spatially varying anthropogenic heat emissions across cities. Such a framework is superior in theory to prior approaches to estimate Qf and should be published. Nevertheless, this reviewer has several high-level concerns about the practical implementation and evaluation of such a complex model. It is likely that the authors can suitably address these issues through additions to the manuscript text, including appropriate caveats (or further explanation) regarding model accuracy.

1. At a fundamental level, this model is extremely complex with so many degrees of freedom, and input variables/assumptions that are highly uncertain, that in practice, the

model may not be any more accurate than much simpler inventory-based estimates. The authors need to make a stronger case that the added complexity increases accuracy and makes a meaningful and important difference in the anthropogenic heat profiles, and in secondary results related to the use of these profiles (e.g., estimates of the local diurnal warming signal when Qf is incorporated into atmospheric models).

Our goal in developing DASH is to provide a model that allows behaviour dynamics to be captured (i.e. response to localised events having larger spatial and temporal implications). This then enables the possibility of feedback. The improvements (possibly accuracy) is from not assuming that the response is static.

We agree that the model is complex with many degrees-of-freedom. An understanding of the influence of uncertainty in variables and assumptions is the subject of sensitivity analysis that is beyond the scope of this paper. Here we introduce the conceptual framing of the model, its implementation, and an evaluation for the case of London against currently accepted models.

2. Related to the above point, validation is foundational to determining the usefulness of a framework such as this. However, as recognized by the authors, validation is not really possible given the significant limitations of other methods of estimating Qf. Nevertheless, when the authors do compare estimates of energy consumption to actual observations (from utility data) their model does not appear to perform very well. So, any estimates of anthropogenic heating derived from the energy use estimates may be suspect.

As a socio-technical-physical model, the idea of "validation" is not something we aim to achieve. The evaluation carried out informs us of the similarities (differences) in spatiotemporal profiles and gives some insight into the modelling assumptions and simplifications that have been documented. Whilst differences with existing models and data are observed, these are relatively small in most cases/locations when the absolute error (e.g. Fig. 9) is considered. Despite these documented discrepancies the larger spatial patterns are captured well, with greater variance in spatial differences observed at higher resolution (Fig. 11).

## SPECIFIC COMMENTS:

1. Lines 40-44 – This may seem like a minor point, but while eqn 1 is a commonly used representation of the energy balance for cities, it is not clearly articulated whether this is truly a surface energy balance or a volumetric energy balance. If the former, then storage is zero and Qf is minimal as most Qf is emitted directly into the air volume. If the latter, then advection would seem to be of significance in a heterogeneous urban setting.

This is a statement of conservation across an infinitesimally thin layer of a volume that extends to a depth in the ground where there is no net exchange. For completeness, we add:

## L41:

The surface energy balance for an urban volume can be written (Oke, 1988):  $Q^* + Q_F = Q_H + Q_E + \Delta Q_S + \Delta Q_A$  (W m<sup>-2</sup>) (1)

where  $Q^*$  is the net all-wave radiation,  $Q_F$  the anthropogenic heat flux,  $\Delta Q_S$  the net storage heat flux,  $Q_H$  the turbulent sensible and  $Q_E$  turbulent latent heat fluxes,  $\Delta Q_A$  the net energy transported by advection. These fluxes influence the transfer of heat, mass and momentum and the stability of the urban boundary layer (Oke, 1988).

2. Section 2.4.3 – Does STEBBS allow for a dynamic setpoint temperature? Most commercial and many residential buildings have setpoints that vary based on management (either BMS or by individual occupants).

Yes, STEBBS allows for setpoint temperatures to be changed at every timestep either to represent specified control schedules and/or human intervention. In the evaluation run, set point temperatures were regulated (between min and max) by relative active occupancy levels (domestic) and building management based on typical percentage of present occupants to workday population. This control approach was applied in relation to the simplification of building representation (i.e. large volume in place of multiple units).

## L302:

The heating of the building fabric modifies the storage heat flux of the urban energy balance (Grimmond et al., 1991; Grimmond and Oke, 1999). Thus this term is tracked and removed from  $Q_{F,B}$ . Setpoint temperatures are controlled (between minimum and maximum) in relation to occupancy recognising the one-to-many representation of buildings in this model. Domestic instances vary based on the proportion of active occupants to total residential population, whilst non-domestic instances have setpoint temperatures based on occupancy thresholds.

3. Section 3– are light manufacturing and industrial buildings taken into account in either DASH or GQF? These can be significant energy users in certain areas of larger cities, and might be ignored, potentially explaining part of the underestimation of energy use in the CBD. For that matter, can the authors provide more clarity on how many archetype buildings they use in their modelling? Some prior studies doing similar tasks have suggested 20-30 archetypes are needed to adequately capture citywide variations in residential +commercial energy consumption.

Both DASH and GQF do take light manufacturing and industry into account. DASH increases non-domestic energy use in spatial units with industrial land use informed by energy statistics (i.e. the specific energy demand activity of industry is not captured but magnitude of energy consumption is). GQF includes this with other non-domestic energy consumption at the MSOA resolution.

## L390:

Non-domestic activity varies by workplace appliance types according to the land use (e.g. industrial, office) of the  $A_N$  (BEIS 2017a; OpenStreetMap 2017) with appliances (Table D1iii) having greater energy consumption in industrial than commercial areas.

4. In section 4 (and elsewhere) can authors clarify whether "occupancy" differentiates indoor and outdoor location of individuals? If AC is present, the rejected heat from indoor metabolism includes metabolism + AC energy used to reject it.

Building occupants are assumed to be indoors, but during travel (e.g. walking), or some activities (e.g. outdoor recreation) people occupy outdoor spaces. Heat from metabolism is included as a casual heat source within buildings and would therefore be rejected with AC use. Figure B1 has been updated.

# L217:

Both  $\beta$  and M can vary with activity (e.g. office work/sitting, walking, sleeping) and demographics (e.g. age, gender). Occupants are assumed to be indoors when present in an  $a_S^N$ . When occupants travel and are outside, contributions are made to  $Q_{F,M(T)}$ .



# L1170:

*Figure B1:* STEBBS 1-D model simulates building facets/nodes (dots), casual heat sources and heat exchanges. Longwave radiation is absorbed by building facets from the outdoor environment, and shortwave radiation from direct, diffuse and reflected sources.

5. Lines 631-637 – the errors in estimates of domestic energy consumption seem rather large in general. It would seem that if the building sector archetypes are reasonable, the errors in estimating energy consumption should be much smaller.

Domestic building archetypes are limited, because of data availability, to three domestic types (house, bungalow, flat) and two construction types (by age) for the evaluation. Note there are many occupancy types and building volumes.

6. What are the units in Figure 11 e and f? Figure 11 updated to show units (W m<sup>-2</sup>).

# L1165:



*Figure 11 (e, f):* Annual average energy consumption at LA scale for (*e*) reference data and (*f*) DASH.

7. Appendix B – STEBBS: the description of STEBBS suggests that walls and roofs are modelled as single layers with bulk properties. Can the authors clarify whether the conduction equation is solved within these single-layer constructions? If not, they would essentially be assuming steady state conduction through the wall and roof at all times–which would introduce significant errors.

The building components are considered as two layers (indoor and outdoor) with an equal (half) thickness for each layer. In this way the conduction equation is solved across the two layers as well as informing on thermal capacitance. The description in Appendix B has been modified to make this clearer.

## L 734:

STEBBS employs a nodal approach (Foucquier et al., 2013) as found in commonly used simulation tools such as TRNSYS (Klein et al., 2017) and EnergyPlus (Crawley et al., 2000). Each node represents a homogeneous layer within a specified component of the building, with heat transfer equations solved between each node (Figure B1). STEBBS' eight nodes are 2-layers for wall-roof, ground floor and windows; plus a bulk air node and an all internal mass node (calculated as a percentage of total volume). Additionally, there are six nodes associated with the domestic hot water (DHW) system. There are 2-layers for the hot water tank walls and a bulk DHW distribution system, plus a bulk water node for the storage and a distribution node. Effective thermal properties are applied to each component (i.e. a wall cavity and insulation layers are not modelled separately). As this is computationally cheap, it allows multiple instances for each A<sub>N</sub> at high temporal resolution. The only latent heat consideration is that of people from metabolic processes (Section 2.4.1).

Other assumptions in STEBBS may be questionable, as well. I am not familiar with STEBBS. Some more details on this model would be helpful. Additionally, there are standard test cases (from ASHRAE) against which building science models are evaluated and validated. Can the authors present some quantitative data to confirm that the STEBBS model produces accurate estimates of building energy consumption for any specific building (e.g., compare model results with those of a trusted and ASHRAE-validated model such as TRNSYS or EnergyPlus for each of your archetypes). If only~20 archetypes are simulated for a single year, this task is actually rather quick in existing software such as EnergyPlus (or DoE-2) which has already undergone extensive validation and improvement over the past

40+ years. If STEBBS is new (and less validated), can the authors make the case for why they didn't simply go with an existing model?

STEBBS was developed for DASH as a simplified building energy model that is needed to allow for dynamic control of setpoints, occupancy, ventilation, internal loads, etc., as well as consideration of heating and cooling system bulk efficiencies, heat input to the building indoor volume and direct heat rejection to the outdoors. STEBBS does the core calculations for building volume's thermal loading, informed by DASH timestep and parameters.

As the scale of interest is the neighbourhood, STEBBS is not intended to represent individual buildings at the same level of detail/sophistication as done by TRNSYS, EnergyPlus, ESP-r, etc. Given this, a direct comparison between models requires some thought. A comparison has been conducted using EnergyPlus v9.3.0 for the BESTEST Case-600 model setup. This case is chosen as being the most appropriate for the two different modelling approaches. The Case\_600.idf file from the EnergyPlus website (originally for v8.1) is modified to run with v9.3.0. The results and discussion have been added to Appendix B.

With respect to the number of effective archetypes: there is of the order of 100,000 different instances run at each 10 min time step. There are six thermophysical properties archetypes. Each of the >25000 neighbourhoods (i.e. OA) have 1–3 domestic instances, and 0–1 non-domestic instances. Within the domestic instances, the occupancy and energy behaviours of households of sizes 1–8 people within the domestic instances are captured.

## L783:

Energy for heating (cooling) is controlled by setpoint temperature with energy added (removed) directly from the indoor air node that is controlled according to a maximum power rating and set system efficiency. The temperature setpoints can change at each timestep allowing both automated and human control to be accounted for. The level of heating (cooling) is further controlled by the difference between indoor air and setpoint temperatures. Internal gains are accounted for as a bulk gain to the indoor air node.

The BESTEST Case 600 single zone building case is used with EnergyPlus (v.9.3.0). to evaluate STEBBS. The EnergyPlus BESTEST model downloaded from the EnergyPlus helpserve website (EnergyPlus, 2020) is modified to run with v9.3.0. Observed London weather data for 2012 (Kotthaus and Grimmond, 2014) are generated using SuPy (Sun and Grimmond, 2019) at an hourly resolution for EnergyPlus and STEBBS. Although EnergyPlus indicates it interpolates sub-hourly weather data for consistency we use both with a 1-hour timestep.

Following EnergyPlus Engineering Reference, the STEBBS external convection coefficient is changed to the DOE-2 method (U.S. Department of Energy, 2020, pg.95-96) for consistency between the models. Note, this is found to have little impact on the results. The internal mass and DHW in STEBBS are reduced in volume to ensure they have negligible impact on results (see Zenodo achive <u>https://doi.org/10.5281/zenodo.3745523</u> has the BESTEST setup). The bulk building thermal properties in STEBBS are calculated using the BESTEST Case 600 values as presented in ASHRAE 140 (ASHRAE, 2017). Building dimensions for STEBBS are set to give consistent total indoor volume, wall-roof surface area, window area, and floor area. As STEBBS has only one pair of nodes (i.e. 2-layer wall, Figure B1), building geometry and orientation are not represented in STEBBS.

The EnergyPlus annual and inter-day heating and cooling dynamics are captured in STEBBS (Figure B2). Both models control the indoor air temperature to within the setpoint limits of 20 (heating) and 27 °C (cooling). EnergyPlus simulates a higher heating and cooling load with more times when the indoor temperature is between (rather than at) the set point temperatures. EnergyPlus also simulates a cooling requirement during the heating season, which STEBBS does not.

The modal hourly heating/cooling load differences between the two models are relatively small (Figure B2) but the distribution range is large. The differences are perhaps best attributed to a difference in load control. The EnergyPlus BESTEST case uses the maximum heating (cooling) capacity to add (remove) thermal energy to (from) the building that is likely to result in the observed indoor temperature over shoots, the higher frequency of switching (on-off) for heating and cooling, and need for cooling during heating season as heating and cooling power are set high (100 kW). Whereas to prevent this type of behaviour, STEBBS uses the difference between air and setpoint temperature to help control the heating and cooling power.



**Figure B2:** BESTEST Case 600 is used with London weather data to evaluate STEBBS relative to EnergyPlus at an hourly time scale for 2012 (a) heating and (b) cooling loads (J), (c) indoor air temperature (d) frequency distribution of hourly differences between EnergyPlus and STEBBS for heating and cooling loads, (e) inter quartile

range of hourly differences in winter (Jan, Feb, Mar, Oct, Nov, Dec) and summer (May, Jun, Jul, Aug) loads, and indoor temperatures (whiskers 1% and 99%).

Dynamic Anthropogenic activitieS impacting Heat emissions (DASH v1.0): Development and evaluation

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#### 10 Abstract

Thermal emissions or anthropogenic heat fluxes ( $Q_F$ ) from human activities impact the local and larger scales urban climate. DASH considers both urban form and function in simulating  $Q_F$  by use of an agent-based structure that includes behavioural characteristics of city populations. This allows social practices to drive the calculation of  $Q_F$  as occupants move, varying by day type, demographic, location, activity, socio-economic factors and in response to environmental conditions. The spatial

- 15 resolution depends on data availability. DASH has simple transport and building energy models to allow simulation of dynamic vehicle use, occupancy and heating/cooling demand, with subsequent release of energy to the outdoor environment through the building fabric. Building stock variations are captured using archetypes. Evaluation of DASH in Greater London for various periods in 2015 uses a top-down inventory model (GQF) and national energy consumption statistics. DASH reproduces the expected spatial and temporal patterns of Q<sub>F</sub> but the annual average is smaller than published energy data.
- 20 Overall the model generally performs well, including for domestic appliance energy use against top down model results. DASH could be coupled to an urban land surface model and/or used offline for developing coefficients for simpler/faster models.

Keywords: Anthropogenic heat emission; dynamic model; energy, urban climate, London

#### 25

Notation (with location of 1st mention)

	Description	1 <sup>st</sup>
$\alpha, a_{j,A_N}, \alpha_{j,k}, \alpha_{j,u}$	Characteristic of appliance $\alpha$ of type <i>j</i> : quantity in $A_N$ , domestic usage factor <i>u</i> , market permeation <i>k</i>	2.4.3
$a_D^N, a_E^N, a_H^N, a_O^N, a_R^N, a_W^N$	Domestic, primary school, secondary school, other (e.g. leisure) shop and work subareas	2.1
$a_D^{senior}, a_D^{working}, a_D^{young}$	Dominant age cohort characteristics of subareas (analysed): seniors, working adults and young people (infants, children or teenagers)	4
$a_S^N$	Subarea of $A_N$ with specific activity <i>s</i> occurring	2.1
Ă	Building surface area (m <sup>2</sup> )	В
AADT	Annual Average Daily Traffic	3.1
ABM	Agent-based model	2
$AE_i$	Absolute error $( \Delta_i )$	3.2
$A_N$	Spatially discrete agent	2
$AnE_i$	Absolute normalised error	3.2
AO	Consumption class: active only	2.4.3
API	Application programming interface	2.4.2
AS	Consumption class: active/standby	2.4.3
β	Bowen ratio $(Q_H/Q_E)$	2.4.1
В	Spatial unit, may be coarser than $A_N$	2.2
С	Consumption class: continuous	2.4.3
CBD	Central business district	6
$C_{m,r}$	Mode-appropriate ratio for $m$ on $r$ ( $n_{o,m,r}$ vehicle <sup>-1</sup> )	2.4.2
C, C <sub>p</sub>	Specific heat capacity, specific heat capacity of air at constant pressure (J kg <sup>-1</sup> K <sup>-1</sup> )	2.4.3
$\Delta_i$	Model-observation (reference) difference for variable i	3.2
DASH	Dynamic Anthropogenic activitieS impacting Heat emissions)	1
DHW	Domestic hot water	2.4.3

d <sub>i.i</sub>	Distance between origin $i$ and destination $j$ (m)	2.2
ε	Emissivity	B
f	Fuel type	2.4.2
$f_{x,\alpha_i}$	Fraction of households with x active occupants using $\alpha_i$	2.4.3
$F_{m,f}$	Heat emission with fuel type $f$ for $m$ (W m <sup>-1</sup> )	2.4.2
$\Gamma_{i,j}$	Gravity weighting for all potential trips between origin <i>i</i> and destination <i>j</i>	2.4.2
GIS	Geographical information system	2.4.2
GL	Greater London	3.1
GQF	GQF model (Gabey et al., 2019)	3.2
h	Convection coefficient (W $m^{-2} K^{-1}$ )	3.1
НС	Heating and cooling usage	2.4.3
HW	Hot water usage	2.4.3
IQR	Interquartile range	3.2
ĸ	System efficiency	2.4.3
$K_{\downarrow}$	Downwelling shortwave radiation (W m <sup>-2</sup> )	2.4.3
k <sub>e</sub>	Effective thermal conductivity (W m <sup>-1</sup> K <sup>-1</sup> )	3.1
l	Lighting	2.4.3
$l_{base} (l_{min}/l_{max})$	Base (min/max) luminous intensity	2.4.3
L	Thickness of building component (m)	В
LA	Local Authority	3.1
Lm	Length of unit vehicle for $m$ (m)	2.4.2
LOWESS	Locally Weighted Scatterplot Smoothing	2.4.2
$L_{r,t}$	Distance travelled in $t$ (m)	2.4.2
LSOA	Lower-level Super Output Area	3.1
<i>m</i>	Travel mode (e.g. car, bus, train, walk)	2.4.2
M MSOA	Metabolic rate (W)	2.4.1
	Mid-level Super Output Area	3.1
$n_b(n_{b,x})$	Number of households (with $x$ active occupants)	2.4.3
n	Number of occupants for <i>m</i> on <i>r</i> Normalised error	2.4.2 3.2
nE <sub>i</sub> nMax	Maximum-normalised value	3.2
NS	Non-school weekday	4
OA	Output area	3.1
0,	Occupant	2
$\pi(t)$	Stationary distribution for state at time step t	Ā
$y_{b/g/s/i}$	View factor for buildings/ground/sky/surface <i>i</i>	B
$P, P_{max}$	Power rating, maximum power rating (W)	2.4.3
$p(t)_{m,n}$	Transition probability from state $m$ to state $n$ at time step $t$	A
q, qн, qc	Energy use (for heating, cooling) (W)	2.4.3
<i>q<sub>cd</sub></i>	Building conductive flux (W)	В
q <sub>cv</sub>	Building convective flux (W)	В
Q*	Net all-wave radiation (W m <sup>-2</sup> )	1
$Q_E$	Turbulent latent flux (W m <sup>-2</sup> )	1
$Q_{F(,B/M/T)}$	Anthropogenic heat flux (emissions from buildings/metabolic activity/transport) (W m-2)	1
$Q^{lpha}_{F,B}, Q^{HC}_{F,B}, Q^{HW}_{F,B}, Q^{HW}_{F,B}$	$Q_{F,B}$ from: appliance usage, heating and cooling, hot water demand, lighting (W m <sup>-2</sup> )	2.4.3
$Q_{F,B}^{elec}$ , $Q_{F,B}^{gas}$	$Q_{F,B}$ from: electricity, gas consumption	5
$Q_{H}$	Turbulent sensible flux (W $m^{-2}$ )	1
$Q_{L*}, Q_{L\uparrow}$	Net longwave radiation. Outgoing longwave radiation (W m <sup>-2</sup> )	B
$\Delta Q_S$	Net storage heat flux (W $m^{-2}$ )	1
q <sub>vent</sub>	Energy loss/gain from ventilation (W)	2.4.3
$\rho(\rho_a)$	Density (of air) (kg m <sup>-3</sup> )	2.4.3
r	Route type r (e.g. minor- or major-road, over-ground- or below-ground-rail)	2.4.2
R <sub>lim</sub>	Route capacity limit	2.4.2
σ	Stefan-Boltzmann constant (W m <sup>-2</sup> K <sup>-4</sup> )	В
STEBBS	Simplified Thermal Energy Balance for Building Scheme	2.4.3
SW	School/work-day	4
Т	Time step (e.g. ten minutes)	2.3
τ	Effective transmissivity	B
Θ	Albedo	B
	Journey specific time bin	2.4.2
T <sub>f/s/si/so</sub>	Temperature or fluid //surface s/indoor surface si/outdoor surface so (K)	B
$T_i$	Internal water/air temperature (K)	2.4.3
To	Outdoor air temperature (K)	2.4.3
ToU	Time of use	3.1

T <sub>set</sub>	Setpoint temperature (K)	2.4.3
TUS	Time Use Survey	3.1
UK	United Kingdom	3.1
$V_{FR}, V_R$	Volumetric flow rate, ventilation rate (m <sup>3</sup> s <sup>-1</sup> )	2.4.3
$V_{m,r}$	Number of unit vehicles for $m$ on $r$	2.4.2
$v, v_r, v_{r,lim}$	Speed, speed of travelling vehicle on $r$ , speed limit on $r$ (m s <sup>-1</sup> )	2.4.2
$V_T$	Volume of water tank (m <sup>3</sup> )	3.1
WD	Weekday	3.2
WS	Wind speed (m s <sup>-1</sup> )	3.1
WWR	Window-to-wall ratio	3.1
$X_i(X_{M,i}, X_{O,i})$	Output (M: modelled, O: observed/reference) value	3.2

#### 1 Introduction

The anthropogenic heat flux,  $Q_F$ , the thermal emissions arising from metabolic, chemical and electrical energy use, is an

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additional energy source in the urban surface energy balance. As  $Q_F$  is a function of human activity that can be associated with a range of spatial and temporal scales, it impacts the local-scale weather and climate in cities. For example, heating of buildings in cold climates can be an important influence on the urban heat island (UHI) (Hinkel et al., 2003; Bohnenstengel et al., 2014), whilst in summer the additional heat release from air conditioning (De Munck et al. 2013; Salamanca et al. 2014) can elevate air temperatures. The impacts of additional heat may exacerbate heat-related mortality rates during

35 heatwaves in urban areas (Heaviside et al., 2016) and increase electricity consumption in warmer weather (Santamouris et al., 2001). Although there are multiple methods to estimate anthropogenic heat emissions, it has often been ignored in urban climate studies (Sailor, 2011).

The feedback of  $Q_F$  on the other surface energy balance fluxes can be important (Bueno et al., 2012; Best and Grimmond, 2016; Ward et al., 2016). The surface energy balance is a fundamental driver of the atmospheric processes within the for an

(1)

urban boundary layer, across a range of spatial and temporal scales volume can be written (Oke, 1988):

 $Q^* + Q_F = Q_H + Q_E + \Delta Q_S + \Delta Q_A \qquad (W m^{-2})$ 

where *Q*\* is the net all-wave radiation, *Q<sub>F</sub>* the anthropogenic heat flux, Δ*Q<sub>S</sub>* the net storage heat flux, *Q<sub>H</sub>* the turbulent sensible and *Q<sub>E</sub>* turbulent latent heat fluxes<sub>-</sub>, and Δ*Q<sub>A</sub>* the net energy transported by advection. These fluxes are partly
responsible for the energy involved ininfluence the transfer of heat, mass and momentum (Oke, 198) and the stability of the urban boundary layer. (Oke, 1988) and the stability of the urban boundary layer. The three major source terms of *Q<sub>F</sub>* (Grimmond, 1992):

 $Q_F = Q_{F,B} + Q_{F,M} + Q_{F,T}$  (W m<sup>-2</sup>) (2)

relate to buildings  $(Q_{F,B})$ , metabolic (people, animals) activity  $(Q_{F,M})$ , and transport  $(Q_{F,T})$ . As a result, the daily movement of 50 people through a city will have a local, short term effect, whilst the widespread uptake of new technologies (e.g. energy efficient appliances) could have a city-wide, long term consequence.

There are multiple approaches to estimate  $Q_F$  (Sailor, 2011). Using population data, top-down methods disaggregate energy consumption and traffic data to produce diurnal profiles of  $Q_F$  (Sailor and Lu, 2004; Lee et al., 2009; Allen et al., 2011;

55 Ferreira et al., 2011; Iamarino et al., 2012; Lindberg et al., 2013; Lu et al., 2015) Although constrained by data availability, such approaches can be updated quickly to provide representative values of past states for large areas (Gabey et al., 2019). However, there is little variation between days, as the models tend to use static diurnal profiles. For example, the flow of people between residential and work areas does not respond to potential events that cause actual changes (e.g. blocked roads from flooding) and is assumed to be homogeneous across a city (Iamarino et al. 2012). Energy is often assumed to be

60 released directly to the outdoor environment (Sailor, 2011) rather than indoors. Whilst aggregate behaviour may be captured,

the heterogeneity in processes (e.g. appliance use, technology uptake, changing work practices) are missed despite components (of eq. 2) being determined. Top-down approaches do though provide a basis to assess other approaches as their aggregate output is based on metered data.

- 65 Bottom-up models exist for the different types of heat emissions (of eq. 2) from buildings (e.g. Kikegawa et al. 2003; Bueno et al. 2012; Schoetter et al. 2017), transport (e.g. Smith et al. 2009), and metabolism (e.g. Thorsson et al. 2014). Individually, they provide some information about behavioural and system change impacts on energy use and heat emissions. For example, building heat releases to the outdoor environment can be modified by building design (e.g. material conduction) and occupancy behaviours (e.g. ventilation, heating systems); and metabolic models capture activity and metabolic types
- 70 (e.g. adults, children, animals). Other methods to estimate  $Q_F$  include assuming energy balance closure (Offerle et al., 2005; Pigeon et al., 2007; Crawford et al., 2017; Chrysoulakis et al., 2018) in eq. 1 with all other terms measured or estimated, and measurements of component fluxes (e.g. Kotthaus and Grimmond 2012).
- Whilst existing models of  $Q_F$  give plausible estimates, they typically do not capture changes resulting from human behaviour in small areas as city-wide assumptions are used when finer spatial resolutions are unavailable. Thus, not all techniques can identify  $Q_F$  hotspots (Gabey et al., 2019). Moreover, the processes causing change in anthropogenic energy use need to be modelled so the dynamic nature of  $Q_F$  and implications of disruption to social practices to  $Q_F$  can be investigated. Capturing the interplay between energy related behaviours and meteorological conditions should help exploration of system feedbacks and resulting effects on urban climates and city activities.
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The terms of eq. 2 vary with land use and activity within an area creating spatial and temporal heterogeneity of  $Q_F$ . In turn, this impacts the urban surface energy balance (eq. 1). Models that can respond to influencing factors allow changes to be understood and potentially managed. Changes may occur at different spatial and temporal scales, for example: (i) city-wide building stock (e.g. type, dimension, materials) changes at decadal time-scales impact heating and cooling needs (i.e.

- 85 modifying  $Q_{F,B}$ ; (ii) individuals numerous sub-daily activity and travel decisions impact all three components at the microscale; (iii) social-cultural practices play out across large spatial and temporal extents; (iv) transport dynamics can be modified over small spatiotemporal scales (e.g. road closures) or large spatial and temporal extents through technology (e.g. fuel, transport) and policy/planning (e.g. speed limits in neighbourhoods) changes.
- Human behaviour and regional climate can impact each source term of *Q<sub>F</sub>*. High- to mid-latitude cities with colder climates use winter space heating, whereas in hotter climates air-conditioning in summer (Sailor and Lu, 2004) is increasingly used. Work schedules and other culturally informed practices (e.g. social eating, religious worship) alter the time of day, day of week, and time of year (i.e. national holidays) that energy demand occurs (Allen et al. 2011). These influences are not addressed by many static models (Allen et al. 2011, Dong et al., 2017) and associated dynamics are neglected despite
   impacting emissions spatially (e.g. Björkegren and Grimmond, 2018).

Here we present a new bottom-up model for  $Q_F$  (DASH, *Dynamic Anthropogenic activitieS impacting Heat emissions*) that captures city features (i.e. place), people's activities, demographics, variations in building-type (e.g. thermal properties), and transport energy use and heat release. The model allows impacts from activities and interactions across a wide range of

100 spatial and temporal scales to be explored by taking an agent-based approach. With both the heterogeneity of city energy use and dynamics of the whole city captured by DASH, comparisons to top-down inventories or other data with coarser spatial and temporal scale resolutions are possible. These patterns can be analysed to diagnose the sensitivity of the steady-state to events that cause perturbations in agent-level behaviour. The general model structure and functionality are described (section 2). It is applied (section 3) and evaluated (section 4) in Greater London using inventory based results (Gabey et al., 2019).

#### 105 2 Model development

As DASH takes an agent-based approach, all processes have either an interaction or reaction of agents (Macal and North, 2010). The agents represent the decisions for movement and citizens activities (e.g. cooking) that impact energy use and therefore  $Q_F$ . The dynamics result from agent activity in multiple processes that exist in each  $Q_F$  source term (Fig. 1a) but share outputs (Fig. 1b). For each spatially scalable agent (section 2.1) there is (Fig. 1a):

- 110 1) An agent-based model (ABM) scheduler: to capture the evolutionary dynamics (section 2.2) of the spatially-discrete agents A<sub>N</sub>.
  - 2) Three source-specific  $Q_F$  estimators: use movement and activity from the ABM scheduler to model metabolic ( $Q_{F,M}$ , section 2.4.1) and transport-related ( $Q_{F,T}$ , section 2.4.2) anthropogenic heat. Given the dominant role of building energy use to urban anthropogenic heat (Sailor and Lu, 2004; Pigeon et al., 2007; Allen et al., 2011; Sailor, 2011; Nie et al.,
- 115 2014; Zheng and Weng, 2017; Gabey et al., 2019), a building energy model (section 2.4.3 and Appendix B) is integrated within DASH to estimate  $Q_{F,B}$  by accounting for occupant behaviour that impacts both appliance energy use and indoor environmental conditioning.

The main DASH workflow is driven by agent-agent interactions with a three-stage process determining  $Q_F$  per time step (Figure 1b):

Stage 1: Agent-agent interaction occurs through occupant (O<sub>c</sub>) exchange processes (blue, Fig. 1b) that are modified by demographics as well as type and time of day.

*Stage 2:* Occupancy levels associated with an agent (yellow, Fig. 1b) modify appliance energy use (*P*<sub>a</sub>, Fig. 1), building heating and cooling control (via the building energy model, STEBBS), and volume of vehicles on the transport network (green, Fig. 1).

Stage 3: Source-specific  $Q_{F,B}$ ,  $Q_{F,T}$  and  $Q_{F,M}$  terms are calculated for each agent and combined to give  $Q_F$  for each agent's geographical region.

All processes operate at the same spatial unit (rather than area) and time step. These are both defined by the data used to inform the ABM scheduler. Rules that govern the processes may be informed by data and actions at coarser scales.

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#### 2.1 Spatial granularity

In agent based model design there is flexibility as to what "agents" represent; for example, individuals, households, spatial areas, or businesses (Crooks and Heppenstall, 2012; O'Sullivan et al., 2012). However, the chosen units should be able to interact with each other and respond. The constraints on selecting the most suitable entity for an agent include the aim, data availability and computer resources. In DASH, agents represent spatial units that interact by exchange of occupants - the

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number, activity and type of which informs the calculations of  $Q_F$  (Fig. 1).

A spatial unit's  $Q_F$  depends on the number and composition of occupants' characteristics and their activities. For example, residential areas experience an increase in  $Q_{F,B}$  as occupants wake up and start to use appliances or heating/cooling. As they leave home,  $Q_{F,T}$  increases as fuel is used for transport and as the  $O_C$  are passed between agents the changing activity and occupancy numbers impact on each agent's  $Q_F$ . By using spatial units as agents (with  $O_C$  as an agent property), agents can be scaled according to behavioural data and computational constraints. The relationship of agents to occupants can be from many-to-one and many-to-many. Here a many-to-many relationship is used given the computational and data constraints.

- 145 The agents interact by exchanging Oc based on rules associated with the number, type, and activities of occupants. These are also used in calculation of the energy use of an agent, i.e. the agents' response. Agent representation is designed to be data-driven (analysed) and so behaviour is constrained by data availability. For individual cities, the context (social, physical) provides the agents probable ('exact') characteristics, while administrative boundaries from national census (or other large survey data) will typically constrain DASH.
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The agent  $(A_N)$  based spatial unit (as determined by data availability) contains subareas  $(a_S^N)$  of activity (not spatial units) to which the  $O_C$  are assigned. Hence, population statistics are needed to characterise subareas. The subarea notation identifies the agent (superscript) and activity area (subscript). In this version of the model, there are six subareas: (i) domestic  $(a_D^N)$ , (ii) workplace  $(a_W^N)$ , (iii) primary school  $(a_E^N)$ , (iv) secondary school  $(a_H^N)$ , (v) shop  $(a_R^N)$ , and/or (vi) other  $(a_O^N)$ . There is a minimum of one subarea in each  $A_N$ , with the total number and type in each  $A_N$  to be determined according to available data

minimum of one subarea in each  $A_N$ , with the total number and type in each  $A_N$  to be determined according to available data and city context (e.g. a commercial district may only consist of  $a_W^N$ ). Despite the  $A_N$  location being static their properties are dynamic.

As  $A_N$  have the decision-making capability for exchanging  $O_C$ , they interact by 'releasing' or 'accepting' occupants. Spatial variation in  $O_C$  exchange is provided by the characteristics of the  $a_S^N$ , for example  $a_W^N$  with higher workday populations being more likely to accept occupants during workday hours than other  $a_W^N$  with smaller workday populations. Temporal variability is governed by aspects of human behaviour, with granularity provided by different categories of  $O_C$  identified within the data used to inform *the ABM scheduler*. The model can, therefore, capture differences associated with time of day, day of week, type of day (e.g. holiday or not) and time of year within (and across) different  $O_C$  categories. Thus, this design results in the spatiotemporal dynamics of  $Q_F$ .

Each  $A_N$  is located within larger spatial units (*B*) to allow coarser resolution spatial data to inform model behaviour (e.g. traffic speed limits, school districts), as well as enabling different spatial representation of  $Q_F$  in analysis. Note that there can be multiple levels of directly nested spatial units. This permits different level of data availability and governance structure (e.g. impacting decision making/options) to be appropriately captured. Hence, impacts from changes in small areas on the surroundings can be explored.

#### 2.2 Rules of A<sub>N</sub> interaction

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 $O_C$  are generated and assigned to categories used to inform energy demand behaviour and movement (e.g. age, work). To enable movement of  $O_C$ , they are each associated with subarea types  $a_S^N$  corresponding to different activities. The  $a_S^N$  may be located both within one  $A_N$  or across as many  $A_N$  as there are  $a_S^N$ . A minimum of one 'anchor' subarea is required per  $O_C$  to identify a place of residence,  $a_D^N$ . For other activities (e.g. work or formal education) to be captured further  $a_S^N$  are needed. Data driven assignment of occupants to subareas enables the exchange of  $O_C$  by  $A_N$  (Section 3.1). The 'anchor'  $a_S^N$  are relatively static (i.e. changing infrequently) as for example, workplace remains constant for long periods.

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If data do not allow direct matching of multiple 'anchor'  $a_S^N$  for  $O_C$ , then  $a_D^N$  is assigned randomly (SciPy, 2019) but in proportion to the available choices. The choice can be informed by rules, such as imposed by local governing structures (e.g. school choice). For  $O_C$  trips to non-anchor subareas (e.g. leisure activity, shopping), assignment is stochastic. Gravity weightings (*I*) for all potential trips between origin *i* and destination *j* locations (*B*, for coarser resolution than  $A_N$ ) of

185 distance  $d_{i,j}$  are pre-calculated and stored in a matrix (Casey, 1955):

$$\Gamma_{i,j} = \frac{B_i B_j}{d_{ij}^2} \tag{3}$$

where weights  $\Gamma_{ij}$  are derived by an attractor (e.g. total number of shops) within *B* and the distance (*d*) between locations. The destination is randomly selected using gravity weightings (eq. 3), accepting amenity attraction rules (Reilly 1931). The process is nested to allow for spatial nesting of agents and account for spatial resolution of data on amenities.

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Within an  $A_N$  further rules, associated with movement, can be assigned to  $O_C$  to represent structural and personal factors that impact timing and ability to move between  $a_S^N$ . For example, associated dependants (e.g. children) impact on timing of movement of an  $O_C$  due to caring responsibilities.

#### 195 2.3 Evolutionary dynamics

At each time step, the decision for an  $A_N$  to release  $O_C$  applies a Markovian approach (Appendix A). This stochastic state determination process decides the nature of an object's (e.g.  $O_C$ ) next state (e.g.  $a_S^N$ ) using knowledge of its previous states (Blitzstein and Hwang, 2019). The subsequent time at which an  $O_C$  is accepted by the destination  $A_N$  is influenced by factors such as distance and time of travel. This allows random variability in human behaviour to be simulated such as presence and activities of occupants in a single building (Page et al., 2008; Richardson et al., 2008; Widén et al., 2009a) for long periods (Page et al., 2008) whilst aggregate behaviour (informed social structure) will still be apparent. This requires knowledge (data) based on movement and location associated with time and allows decision making to be identified with individual  $O_C$ as well as populations.

205 The movement and location data are used to create the Markov matrices' stationary distributions (eq. A1) for the exchange of occupants at each time step (*t*). The Markov matrices are created prior to a model run but could be recalculated between each timestep of the model run in order to capture potential response (in movement and activity) to disruptions.

## 2.4 Calculation of Q<sub>F</sub>

210 Heat sources (eq. 2) from people, buildings (with appliance load breakdown), and transport are determined using the  $O_C$  count and associated activity in each of the  $a_S^N$  of all  $A_N$ .

(4)

(5)

#### 2.4.1 Metabolism Q<sub>F,M</sub>

Metabolism  $(Q_{F,M})$  of each  $O_C$  uses an individual metabolic rate (M) as:

$$Q_{F,M,i} = M \cdot O_C$$

with the sensible (*H*) and latent (E) components, using the Bowen ratio  $\beta$  (sensible to latent heat) as (for one  $O_C$ ):

$$Q_{F,M(E),i} = \frac{Q_{F,M,i}}{1+\beta}$$

$$Q_{F,M(H),i} = \frac{Q_{F,M,i} \cdot \beta}{1+\beta} \qquad (6)$$

Both  $\beta$  and M can vary with activity (e.g. office work/sitting, walking, <u>sleeping</u>) and demographics (e.g. age, gender). Occupants are assumed to be indoors when present in an  $a_S^N$ . When occupants travel and are outside, contributions are made to  $Q_{EM(T)_A}$ 

## 2.4.2 Transport QF,T

If an  $A_N$  releases an  $O_C$ , the journey time, route and mode of transport are needed to determine  $Q_{F,T}$ . These allow travel dynamics to influence the time and nature of energy use at the associated spatial unit through a simple traffic model.  $Q_{F,T}$  is

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calculated at each timestep for the spatial units for each mode type *m* (e.g. car, truck, train, walk) and route type *r* (e.g. minor- or major-road, over-ground- or below-ground-rail), with speed v (m s<sup>-1</sup>) and heat emission *F* (W m<sup>-1</sup>) for all travelling  $O_C$ . The journey time is tracked to enable release of  $O_C$  at appropriate (e.g. timely, delayed) periods at their destination  $A_N$  by using a mode and journey specific time bin ( $t_b$ ). The journey time  $t_b$  is updated at each time step. The notional duration is found from the mode's distance/time relation using LOWESS analysis (Cleveland, 1988) on travel data for distance travelled.

The total number of travelling  $O_C$  in each spatial unit is the sum of  $O_C$  in all  $t_b$  for all m. The number of  $O_C$  in a  $t_b$  changes at each timestep as, and when, new journeys begin. When the  $t_b$  time is zero, the held  $O_C$  are released to the next spatial unit of their journey which may be a destination or an intermediate location (e.g. mode transfer from walking to bus).

The choice of *m* is informed by data that associates probability of *m* to origin-destination pairings. If journey combinations data are unavailable, weighting by distance  $d_{i,j}$  is used, informed by other sources (e.g. travel surveys). The journey route (through different spatial units that calculate local  $Q_{F,T}$ ) is determined from geographical information system (GIS) data (e.g. OpenStreetMap contributors 2017), mapping application programming interfaces (APIs, e.g. Google (2019)) or straight line distances between centroids (in the absence of data). For the latter, spatial nesting can be used between  $A_N$  and *B*. Routing options between spatial units can be one (most basic) or many (data dependent).

Route (*r*) parameters have a capacity limit ( $R_{lim}$ ) assigned by *r* related spatial (B,  $A_N$ ) capacity constraints (e.g. size and possible number of occupants of a bus or a railway carriage that operate in that area, road congestion limits). However, these may be modified if a disruption impacts part of the transport network (e.g. power failure, intense flooding). The current occupancy is constrained by a mode-appropriate ratio ( $C_{m,r}$ ) such as number of occupants ( $n_{o,m,r}$ ) per unit vehicle. For road related transport, unit vehicle length ( $L_m$ ) is required as, for example, buses hold more people than a car but require more space on the road. These constraints are informed by local data.

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A total vehicle count for each m, r (as  $V_{m,r}$ ) is used to determine if  $O_C$  in travel can be moved between spatial units. When both

 $V_{m,r} \le \frac{n_{o,m,r}}{c_{m,r}} \qquad \text{and} \qquad \left( \left( \sum_{m=1}^{lim} V_{m,r} \cdot L_m \right) + \Delta_{V_{m,r}} \right) \le R_{lim} \tag{7}$ 

then  $V_{m,r}$  is incremented by  $\Delta_{V_{m,r}}$  (i.e.  $V_{m,r} + \Delta_{V_{m,r}}$ ) where  $\Delta_{V_{m,r}} = \frac{O_C}{C_{m,r}}$ . If  $R_{lim}$  (e.g. total road-type length in a spatial unit) is 255 exceeded,  $O_C$  will not be passed to the next spatial unit: time associated ( $t_b$ ) in neighbouring spatial units will be lengthened. When

 $V_{m,r} > \frac{n_{o,m,r}}{c_{m,r}} \tag{8}$ 

then  $V_{m,r}$  becomes  $V_{m,r} - \Delta_{V_{m,r}}$ .

260 Where transport is considered at the spatial resolution of *B*,  $V_{m,r}$  are distributed to child spatial units based on the ratio of nested spatial unit capacity to the parent spatial unit's capacity (e.g.  $L_{m,A_N}/L_{m,B}$  for cars).

The anthropogenic heat flux from transport,  $Q_{F,T}$  for an  $A_N$  of area A, at time t is (Grimmond, 1992):

$$Q_{F,T} = \frac{\sum_{r=1}^{n_T} \sum_{m=1}^{n_m} V_{m,r} \cdot F_{m,f} \cdot L_{r,t}}{A} \qquad (W m^{-2}) \qquad (9)$$

265 where  $L_{r,t}$  is the distance travelled in a time-step. Heat emission  $(F_{m,f}; W m^{-1})$  varies with fuel type (f), m, r and vehicle speed  $(v_{m,r}; m s^{-1})$ . For the case of road traffic, speed can be represented as a function of permitted, or average speed limit  $(v_{r,lim})$ . This is linked to traffic density (i.e. vehicles per unit length, e.g. Salter, 1989) which we relate to a ratio of total on-road vehicle length to total route length (equates to  $R_{lim}$ ) as:

 $D = \frac{\sum_{m=1}^{\lim} V_{m,r} \cdot \mathbf{L}_m}{R_{\lim}}$ 

(10)

Hence, speed-density function changes with time (e.g. Greenshields et al. 1935; Wu 2000):  $v_r(t) = v_{r,lim} - D(t) \cdot v_{r,lim}$  (m s<sup>-1</sup>) (11)

The relation of  $v_r(t)$  to  $F_{m,f}$  is dependent on local fuels types (e.g. Grimmond, 1992; Smith et al., 2009) and is part of the model parameters specification (e.g. Section 3).

#### 275 **2.4.3 Building energy** (*Q*<sub>*F*,*B*</sub>)

 $Q_{F,B}$  accounts for appliance usage  $(Q_{F,B}^{\alpha})$ , lighting  $(Q_{F,B}^{l})$ , heating and cooling demands  $(Q_{F,B}^{HC})$  and hot water demand  $(Q_{F,B}^{HW})$ :  $Q_{F,B} = Q_{F,B}^{\alpha} + Q_{F,B}^{l} + Q_{F,B}^{HC} + Q_{F,B}^{HW}$  (W m<sup>-2</sup>) (12)

These vary by  $A_N$  as  $O_C$  composition changes activities  $a_S^N$ , and the local building form, construction (materials and dimensions), and control systems (heating, cooling, lighting) change (e.g. as neighbourhood age or construction period varies).  $A_N$  release (acceptance) of  $O_C$  to (from) the movement and travel module leads to a change in occupancy levels in associated building types. Activity of  $O_C$  informs appliance ( $\alpha$ ), hot water (HW) and lighting (l) energy use as well as heating and cooling (HC) set-points for building environmental control.

 $Q_{F,B}$  is determined through use of a simplified thermal energy balance for buildings scheme (STEBBS) that calculates heat transfer through building fabric and ventilation using an adjustable time resolution.  $Q_{F,M}$ ,  $\alpha$ , HW, and *l* provide internal gains to the building volume and fabric (Appendix B). The dynamic 1-D energy model enables both simple representation of individual buildings (Klein et al., 2017), as well as scaling to represent groups of building within an  $A_N$ . By using building archetypes, STEBBS provides a computationally efficient representation of buildings across a city (Heiple and Sailor, 2008; Bueno et al., 2012; Kikegawa et al., 2014) and permit multiple types within an  $A_N$ .

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For each archetype with an  $A_N$ , STEBBS requires the building dimensions (width, depth, height), window-wall ratio, and thermo-physical properties for the building components (i.e. window, wall, roof, floor, internal mass). Thermal inertia of appliances and lighting is assumed to be negligible (i.e. no regulating thermal mass) and so the heat resulting from their use (i.e. total power demand  $P_a$ ) is exchanged directly with the indoor air.

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Domestic hot water (DHW, following building services convention this includes both domestic and commercial buildings) heating and air heating/cooling are a response to internal conditions, controlled by a setpoint temperature ( $T_{set}$ ; K). The energy use (q) depends on the system efficiency ( $\kappa$ ) and maximum power rating ( $P_{max}$ ) for heating using an exponential control to avoid heating overshoot:

$$q_{H} = \kappa \left( P_{max} - \frac{P_{max}}{exp^{(T_{set} - T_{i})}} \right)$$
(W) (13)  
and for cooling:  
$$q_{C} = \kappa \left( P_{max} - \frac{P_{max}}{exp^{(T_{i} - T_{set})}} \right)$$
(W) (14)

where  $T_i$  is the internal water/air temperature (K). Efficiency losses of the heating system and all cooling energy are calculated as direct heat ejection to the outdoor environment. The heating of the building fabric modifies the storage heat

305 flux of the urban energy balance (Grimmond et al., 1991; Grimmond and Oke, 1999). Thus this term is tracked and removed from Q<sub>F,B</sub>. Setpoint temperatures are controlled (between minimum and maximum) in relation to occupancy recognising the one-to-many representation of buildings in the model. Domestic instances vary based on proportion of active occupants to total residential population, whilst non-domestic instances may have setpoint temperatures based on occupancy thresholds.

310 Ventilation loss/gain  $(q_{vent})$  is given as (Spitler, 2011):

> $q_{vent} = V_R \rho_a c_p (T_o - T_i)$ (W) (15)

where  $V_R$  is the ventilation rate (m<sup>3</sup> s<sup>-1</sup>),  $\rho_a$  is the air density (kg m<sup>-3</sup>),  $c_p$  is the specific heat capacity of air at constant pressure (J kg<sup>-1</sup> K<sup>-1</sup>), and  $T_o$  the outdoor air temperature (K). In the standalone version of this model no spatial variations of these are considered. If coupled to a meteorological model these outdoor variables can be spatially dynamic and respond to Q<sub>F</sub> emissions locally (Sun and Grimmond, 2019).

DHW is considered as a sensible heat gain only (no latent) with hot water to drains unaccounted for in  $Q_{F,B}$ . Heat exchange between DHW in storage (tank and water pipes) and building volume is accounted for. Volumetric flow rates (VFR, m<sup>3</sup> s<sup>-1</sup>) of DHW use and to-drain can be set to control volume of DHW in-use. The internal heat gain from this varies with  $O_C$  level and activity.

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The combined internal gains based on internal building activities are passed to STEBBS. The number of active (i.e. present and awake) O<sub>C</sub> in a building (e.g. domestic, work) influences total energy use (Druckman and Jackson, 2008; Yohanis et al., 2008) and the energy demand profiles at timescales from seconds (Richardson et al., 2010) to hours (Widén et al., 2009b).

325 Hence, occupancy levels are essential to reproducing commercial (Kim and Srebric, 2017) and domestic load patterns (Widén and Wäckelgård, 2010).

Hence, each building archetype within an  $A_N$  is impacted by its  $O_C$  level and their activities (i.e.  $a_S^N$ ). As  $O_C$  categories (e.g. age related) participate in different activities (e.g. infant differs from adult), local census (or other) data both constrain and 330 spatially inform Oc characteristics.

Lighting and appliance gains are associated with activity, appliance type  $\alpha$  (Firth et al., 2008) set efficiency and power usage  $(P_{efac})$  associated with different building types (e.g. commercial, domestic). We distinguish three energy consumption classes:

- 335 (i) active only (AO) - only occurs with user activity (e.g. oven, iron)
  - (ii) continuous (C) always consuming energy (e.g. cold appliances: fridge, freezer; small appliances: telephone, clock, burglar alarm). As these may cycle power (e.g. cold appliances) the power rating accounts for the fraction of time the appliance draws power during a single complete cycle and the mean power consumed whilst operating.
- (iii) active/standby (AS) two modes which depend on user activities (e.g. television, computer): (1) as AO, (2) less when 340 not actively used.

Each appliance (a)(a) type (j) is assigned to either AO, C, or AS with an active power rating (a)(a) and additionally for AS appliances a standby rating  $\frac{\partial \alpha_{S}}{\partial x}$ . The number of appliances of type j in  $A_N(\frac{\partial \alpha_{j-A_{SR}}}{\partial x}a_{j,A_N})$  is determined by domestic/non-

domestic appliance market permeation  $(\alpha_{i,k}a_{i,k})$  as:

 $\alpha_{j,A_{\mathcal{H}}} = \alpha_{j,k} a_{j,A_N} = a_{j,k} \cdot n_b$ 

(16)

345 where  $n_b$  is number of households (domestic), number of work-desks (non-domestic, commercial), or floor area (nondomestic, other) in an  $A_N$ .  $\frac{\alpha_{j,A_M}}{\alpha_{j,A_M}} a_{j,A_N}$  acts as the limit of appliance use at any time. If no distinction between *j* use profiles can be given (data dependent) all appliance demand is combined as one type.

For domestic use, households are categorised by total number of residents such that proportion of  $a_{j,A_{W}}a_{j,A_{N}}$  (by AO, C, or AS) in use at a given time t is:

$$\alpha_{j,\mu}a_{j,\mu}(t) = \sum_{x=1}^{\lim} f_{x,\alpha_j}(t) \cdot \frac{n_{b,x}(t)}{n_b} \cdot \alpha_{j,A_{\mu}}a_{j,A_N}$$
(17)

with  $f_{x,\alpha_j}(t)$  the fraction of households with *x* active occupants using  $\alpha_{\alpha_j}$  at *t* (based on occupant activity scheduling) and  $n_{b,x}(t)$  the number of households with *x* active occupants at *t*. For non-domestic buildings, appliance use is proportional to occupancy level and lighting is considered part of this load.

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The power demand  $P_{\alpha}$  (W) of all appliances in use is:

$$P_{\alpha} = \underbrace{\sum_{j=1}^{n_{AO}} (\alpha_{j,u}(t) \cdot P_{\alpha_{j}}) + \sum_{j=1}^{n_{AS}(1)} (\alpha_{j,u}(t) \cdot P_{\alpha_{j}})}_{P_{\alpha(active)}} + \underbrace{\sum_{j=1}^{\frac{n_{AS}(2)}{p_{ij}}[(\alpha_{j,A_{H}} - \alpha_{j,u}(t)) + P_{\alpha_{j}}]}_{P_{\alpha(continuous)}} \cdot \underbrace{\sum_{j=1}^{\frac{n_{AS}}{p_{ij}}} (P_{\alpha_{j}} \cdot \alpha_{j,A_{H}})}_{P_{\alpha(continuous)}} \underbrace{\sum_{j=1}^{n_{AS}(2)} (\alpha_{j,A_{N}} - a_{j,u}(t)) \cdot P_{\alpha_{j}}]}_{P_{\alpha(continuous)}} + \underbrace{\sum_{j=1}^{n_{AS}(2)} (P_{\alpha_{j}} \cdot a_{j,A_{N}})}_{P_{\alpha(continuous)}} (18)$$

and is the heat gain passed to each STEBBS instance (i.e. each building archetype per  $A_N$ ). Appliance characteristics are currently uniform throughout  $A_N$  but could be variable (e.g. by socio-economic structure).

Domestic lighting is considered as a separate load impacted by an outdoor downwelling shortwave radiation threshold  $(K_{\pm \pm lim})$ , number of households with active (awake) occupants  $n_{b,x}$ ; and a base/min/max luminous intensity,  $l_{base/min/max}$ , per household for scaling lighting requirement (Widén et al., 2009a):

$$365 \qquad K_{\downarrow}(t) < K_{\downarrow_{lim}}: P_{light} = P_l \cdot n_{b,x} \cdot \left[ l_{base} + \left( l_{min} \cdot \frac{K_{\downarrow}(t)}{K_{\downarrow_{lim}}} + l_{max} \cdot \left( 1 - \frac{K_{\downarrow}(t)}{K_{\downarrow_{lim}}} \right) \right) \right] \quad (W) \quad (19)$$

Luminous intensity is converted to total power ( $P_{light}$ ) using a per light power rating ( $P_l$ ). This is passed to STEBBS as part of the appliance load  $P_{\alpha}$ .

#### 3 Evaluation of DASH in Greater London

#### 370 3.1 DASH setup and data sources

We evaluate DASH in Greater London (GL). In the United Kingdom (UK), the smallest spatial unit that census data are provided for is the Output Area (OA). We adopt the OA as the agent spatial units (i.e.  $A_N$ ) in the model runs with  $A_N$  nested within four coarser spatial units (*B*): Lower-level Super Output Area (LSOA); Mid-level Super Output Area (MSOA); Local Authority (LA); and City/Region as data (from various agencies) are aligned to one or more of these spatial units. The LA

- have several governance roles (e.g. traffic speed, school districts, planning decisions, etc.) that will impact energy use (LGA, 2019). Similar structures are used in other countries but with varying levels creating the complete city (National Bureau of Statistics of China, 2017; Statistics Bureau of Japan, 2017; Statistics Canada, 2017; US Census Bureau, 2019). In London there are 25,053 OA (determined by residential population and social homogeneity, Office for National Statistics (2017a)) that vary in size from 1.56 · 10<sup>-4</sup> to 12.3 km<sup>2</sup>, 4,835 LSOA, 983 MSOA, and 33 LA within one Greater London Authority
   Beging (Tekle 1)
- 380 Region (Table 1).

The UK Time Use Survey (TUS) 2014 - 2015 (Gershuny and Sullivan, 2017) provides a structured source of data for simulating population movement and human activity (Iamarino et al., 2012; McKenna et al., 2015; Baetens and Saelens, 2016). Such surveys are carried out in many countries by governments or research institutes (Fisher and Gershuny, 2013), allowing DASH to be applied elsewhere with appropriate cultural practises accounted for. In the UK TUS, residents record their activities and location for one weekday and one weekend day, normally creating profiles of individuals with income, age, sex and household type meta-data. The data samples are sufficient to allow analysis at national to regional (e.g. GL) scale in many cases. The 10 min time-step resolution of TUS data (Gershuny and Sullivan, 2017) is the basis for the model timestep.

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The TUS data are used to construct Markov chains (Appendix A) that govern the exchange of occupants in DASH (Fig. 1a) and the levels and type of activities undertaken by different groups of  $O_c$  across the day (section 2.3, Table 2). Age cohorts (Table 2) are used as the group identifier. Appliances attributed to TUS activities (Table 2) have different power ratings and market permeation (Table 3, C1). Non-domestic activity varies by workplace appliance types according to the land use (e.g. industrial, office) of the A<sub>N</sub> (BEIS 2017a; OpenStreetMap 2017)- with appliances (Table D1iii) having greater energy consumption in industrial than commercial areas.

The application is undertaken for 2015 to coincide with the TUS data, when GL had a population of 8.539 million (census data updated annually, Table 2). The remaining data needed are obtained for the closest year. Throughout we endeavour to use open-source, freely available data. A variety of data types are used, at a range of spatial resolutions (Table 1) with more detail given subsequently (Table 2-5).

Movement of occupants is informed by the National Travel Survey (DfT, 2017) and census data on commute patterns ( $\$_{8,10}$ , Table 4), to determine choice of mode by distance or type of journey providing the travel attributes (Table 4). In this 405 evaluation, nine modes of transport (m) exist: cars, motorcycles, vans, taxis, buses, surface rail, underground rail, cycling and walking. Other deployments could include freight and boat related modes. Exclusion of freight vehicles does not directly affect the travel dynamics, but will result in an underestimation of  $Q_{F,T}$ . Route types (r) considered, include four road types (residential, minor (so called B-roads in the UK), major (UK's A-roads) and motorways (highways)); and two rail types (underground and surface). In the model runs, journey distances for all routes that move between LAs are determined at LA 410 scale based on GIS shapefile LA centroids. This is the coarsest implementation of the transport component of the model.

STEBBS is used with different parameters for domestic and non-domestic buildings (Field, 2008). We simplify to the three most common domestic building (houses, bungalows, and flats) archetypes in GL, varied by presence at LSOA level (Table 3, Mavrogianni et al. 2012; Valuation Office Agency 2015). Despite advances in non-domestic buildings characterisation for GL (Evans et al., 2019), the heterogeneity in form and use limits use of a range of archetypes (Steadman et al., 2000). Again,

- for simplicity in this evaluation, we use a single STEBBS characterization based on the most common domestic archetype parameters for non-domestic (e.g. shops, hospitals, offices). Hence, a maximum of four STEBBS instances per  $A_N$  with the appropriate building fabric thermo-physical properties assigned from one of two building age groups (pre- or post-1965, Tables 3 and C2). Building dimensions are informed by total  $A_N$  building footprint and height (Table 3) for each archetype
- 420 by age category. The limited consideration of building material thermophysical properties and dimensions is expected to reduce the spatial variance in heating and cooling contributions to  $Q_F$  in DASH. DASH can use more building features given suitable input data.

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Meteorological data to force the model are from the KSSW site in central London (Kotthaus and Grimmond, 2014, Table 5). 425 Means (1 and 5-min) are used to obtain 10-min means (model time step). Outgoing longwave radiation observed with a Kipp and Zonen CNR4 radiometer (Table 5) is used assuming an emissivity of 0.9 (Butcher and Craig, 2016) and Stefan-Boltzmann equation (Oke, 1988) to obtain surface temperature. Soil temperature (at 5 m depth) is assigned assuming it is equivalent to the mean annual (2014-2015) air temperature (Sellers, 1972; Busby, 2015) of 11.9 °C.

430 As the model requires continuous atmospheric data, gaps are filled in consecutive order: (a) linear interpolation when less than 4 h; (b) median for same time in the surrounding  $\pm$  48 h for gaps of 4 – 24 h; and (c) similarly for gaps greater than 24 h, using the median ± 72 h. The various model runs (Table 6) have a spin-up period of 24 h (144 timesteps) for the STEBBS model to become stable.

#### 435 3.2 Evaluation methodology

Ideally a model is evaluated with observations of the simulated variables (Table 6). However, direct observations of  $Q_F$  are extremely limited or are indirect with a series of assumptions within them. At the neighbourhood scale, combining radiation and eddy covariance observations while assuming energy balance closure has been used to assess monthly and daily values (e.g. Offerle et al., 2005; Pigeon et al., 2007). Using satellite earth observation, a much larger spatial extent (e.g. city wide) is 440 observed but with a bias to clear sky conditions. The snapshot values at the time of the satellite overpass require a very large number of assumptions in addition to energy balance closure (e.g. Chrysoulakis et al., 2018). The closest to "direct" measurements of  $Q_{F,B}$  are micro-scale emissions from building vents (i.e. part of  $Q_{F,B}$ ) using eddy covariance sensors (Kotthaus and Grimmond, 2012) but there are extremely limited data available. Thus, the spatial and temporal scales that DASH is capable of simulating cannot be directly compared to measured  $Q_F$ . We therefore use a series of different sources of public data and another model to evaluate various aspects of DASH.

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The reference model used, GQF (Iamarino et al., 2012; Gabey et al., 2019), is a top-down inventory  $Q_F$  model developed for London. This is selected as it is amongst the most (spatially and temporally) detailed models for London currently available (Gabey et al., 2019). We apply it to 2014 - 2015 to align with metered data used in the evaluation. The model uses energy consumption, traffic, and workday population data to provide half-hourly estimates of  $Q_F$  at city, LA, and OA resolutions. 450 Hence,  $Q_F$  estimates for both models are at city scale with OA resolution.

There are several GQF features that restrict DASH being evaluated at higher detail. These are: (i) GQF uses data from a range of scales (up to national) to determine OA results with population weighted disaggregation; (ii) diurnal patterns are 455 prescribed based on either assumptions or coarse spatial data, with variation by day type (weekday, weekend) and season meaning variability at smaller scales are not captured; (iii) GQF assumes the same diurnal profile for both gas and electricity usage; and (iv) effects of temperature in GQF are the net seasonal diurnal energy use profiles rather than reproducing the day-to-day conditions in London. Hence, individual DASH diurnal patterns cannot be evaluated against GQF with fine temporal or spatial resolution as differences are expected.

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To evaluate DASH, appliance (including cooking) power demand is equated to GQF electricity demand and DASH heating and cooling demand to GQF gas demand. This will lead to discrepancies as the demand profiles used in GQF are not energy carrier or vector specific. The calculation and evaluation of  $Q_{F,T}$  is undertaken at  $A_N$  scale rather than individual routes. In both models, many of the minor residential roads in  $A_N$  are unaccounted for.

DASH evaluations (Table 6) use annual (1 Oct 2014 to 30 Sept 2015) publicly available gas and electricity consumption data (GWh) for domestic and non-domestic (commercial + industrial) use (BEIS 2017a,b) and national gas transmission operational data for the same period(NG, 2015). DASH, run with the appropriate meteorology (Table 5), OA results are aggregated for assessment to the LSOA (domestic) and MSOA (non-domestic) scales. These evaluation data have some

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issues: (i) some non-domestic meter data are undisclosed at MSOA level but appear at LA level (without a MSOA) (BEIS 2018); (ii) meters with insufficient address metadata cause underreported consumption statistics for some areas; (iii) some gas consumption statistics may be wrongly classified (domestic/non-domestic) as this is done based on annual consumption (threshold =73200 kW h year<sup>-1</sup>) (BEIS 2018); and (iv) spatial misallocation of metered commercial gas consumption to the billing address rather than actual building/location of use (BEIS 2018).

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Basic metrics assessed include the median (50%), interquartile range (IQR), and standard deviation (SD). To evaluate the modelled  $(X_{M,i})$  and observed (or reference)  $(X_{O,i})$  time and/or spatial data series both the difference:

(20a)  $\Delta_i = X_{Mi} - X_{Oi}$ 

and the absolute errors

 $AE_i = |\Delta_i|$ (20b)

are determined, from these:

- (1) Cumulative distribution of AE<sub>i</sub> is obtained all values (e.g. across all 25,053 OA, Fig. 9)
- (2) Normalised by maximum:  $nMax = \frac{x_i}{\max(x_i)}$  (e.g. Fig. 10)
- (3) Normalised errors (%):  $nE_i = (\Delta_i / X_{O,i}) 100$  (e.g. Fig. 11a,b, ideal value would be 0). (4) Absolute normalised error:  $AnE_i = \left| \frac{X_{M,i}}{\max(X_{M,i}) \min(X_{M,i})} \frac{X_{O,i}}{\max(X_{O,i}) \min(X_{O,i})} \right|$  (e.g. Fig. 11c, d, ideal value would be 485 0).

#### Analysis of model dynamics 4

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As behaviour, demographics, and travel choices influence the temporal and spatial variation in movement and activity profiles in DASH  $Q_F$  estimates, we examine these first. A critical control on  $Q_F$  is the number of occupants within an area. The area itself may be static (e.g. where buildings are located) or moving (e.g. transport area). The occupancy level will change as people travel to different locations (Fig. 2).

- In model run R1 (Table 6), the results for one B spatial unit (LA Camden, London) are used to demonstrate the  $O_C$ 495 movement and travel through time (six consecutive days) within each  $a_s^N$  for each age group for three day types (weekday (school/non-school), weekend) as a result of  $A_N$  occupant exchange (Section 2.2). The occupancy levels vary by day type and between age groups, whilst having general consistency within day-type by age cohort. Note, people travel outside (and into) this B during the period, but no perturbation is undertaken (e.g. changing transport availability or road construction).
- 500 During school weekdays most children and teenagers are in school  $(a_{E}^{R}, a_{H}^{N})$ . Adults, some teenagers, and some seniors work during all day types, and during all times of day. Adult  $a_W^N$  occupancy at work (increase at home) is slightly lower on nonschool (NS) weekdays than school/work (SW) days as a result of childcare - a small dip observed during noon on NS and SW days that reflects lunchtime activity.  $a_D^N$ ,  $a_R^N$  and  $a_D^N$  occupancy levels increase after peak school and work times, with  $a_D^N$ occupancy returning to similar levels each night.

The occupancy levels of each  $a_D^N$ ,  $a_W^N$ ,  $a_R^N$ ,  $a_R^N$  are partly informed by population data, so it is important realistic values occur from the movement processes. This is assessed by comparison of the median and IQR of the total occupancy across each  $a_S^N$ in the city to the static populations of each  $A_N$  and subarea (i.e. residential, workday, school populations) for one weekday

- 510 (Fig. 3). Hence, a value of 1 indicates the total population is present.  $a_W^N$  occupancy levels have a median peak just over 0.6 of the workday population. A<sub>N</sub> interaction in DASH allows for different types of work, such as full/part-time and shift work, as it is inherent to the movement data (in this case the TUS, Table 2). Whilst this might not reflect the accurate behaviour of a particular  $a_W^N$  (e.g. an  $a_W^N$  comprising entirely office work may in reality only be occupied 09:00-17:00), the total variability over a group of  $a_W^N$  may be more realistic, given varying work times between commercial sectors.
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For R2 (Table 6) both  $a_F^N$  and  $a_H^N$  IQR occupancy levels are less than some  $A_N$  school populations (Fig. 3), but for morning to noon  $a_{N}^{H}$  the population is exceeded in some areas. Both the deficit and surplus may relate to the method of assigning school anchors to child and teenager  $O_C$  (Section 2.2). If the age group residential population is lower (higher) than the school population in a LA, there will be too few (many) students occupying this LA schools during the day. As students are assumed not to cross LA boundaries, given state school catchment area restrictions. In Greater London 89% of pupils are in state schools (DfE, 2019).

 $a_n^N$  occupancy levels are always below 1. The highest values occur overnight when most people are expected to be at home. The narrow IQR indicates there is little variation in total occupancy levels between areas. Variations are expected with active occupancy (e.g. household sizes, Section 2.3.1) and in  $a_D^N$  with large differences in resident age groups.

Total occupancy varies with behaviour of different age groups and will affect the power demand within the neighbourhood. To demonstrate the impact of demographics on daily profiles of  $O_C$  in the  $a_D^N$ , three  $A_N$  (neighbourhood, OA, scale) with similar residential populations but different dominant age-cohort are compared in Fig. 4 (R3, Table 6). The  $a_n^N$  of each of the

- three A<sub>N</sub> have distinct dominant age groups as:  $a_{n}^{senior}$  78% (291) residents are seniors;  $a_{n}^{working}$  92% (297) residents are 530 adults; and  $a_D^{young} 47\%$  (300) residents are infants, children or teenagers. In  $a_D^{senior}$  (Fig. 4a), daytime  $O_C$  remains proportionally higher (Fig. 4d) than  $a_D^{working}$  (Fig. 4b) and  $a_D^{young}$  (Fig. 4c).  $a_D^{young}$  has a steeper morning decrease in  $O_C$ and earlier inflection point in the afternoon than  $a_D^{working}$ , likely due to formal school day lengths (Fig. 2). On the weekend day, all age groups, apart from teenagers, follow similar patterns, with about 60 - 70% remaining in the A<sub>N</sub> (Fig. 4d).
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The diurnal pattern of occupancy levels by day type is consistent between days and boroughs (R4, Table 6). The variability of borough occupancy levels for  $a_D^N$  (Fig. 5a) and  $a_W^N$  (Fig. 5b) is greater in the daytime when movement is more likely. Although, these standard deviations are quite small compared to the actual LA-level residential (8,760 - 379,691 residents) and workday (58,444 - 356,706 workers) populations (ONS, 2014a, 2015). This demonstrates that the occupancy exchange method (Section 2.2) produces variation in occupancy levels on a daily basis when the same parameters are used for each day.

In this road vehicle evaluation (R5, Table 6), routing is at LA scale with inter-LA routes determined using Google Directions (Google, 2019). The volumes of vehicles in use by mode (Figure 6) predicted by the movement component (Fig.

545 1, Section 2.3) peaks in the morning (07:30-09:30). Slight increases are present around noon and early evening. Low values (00:00-06:00) occur when movement is low (Fig. 2). The increase at 04:00 is due to both low sampling and the temporal boundary of the TUS, which considers a day's worth of entries to occur 04:00-04:00. The volume of buses is constant over

the period 08:00 - 20:00 due to an imposed condition on capacity that represents an increase in C<sub>bus,r</sub> (Section 2.4.2) instead of increasing  $V_{bus,r}$ . With only one route option given per LA origin-destination pair, road traffic is distributed between  $A_N$  in proportion to LA total road area. Routing options at  $A_N$  scale have not been implemented.

#### Evaluation of DASH with GQF 5

The DASH evaluation assumes average or typical conditions (i.e. no disruptions are imposed to modify movement and/or timing of activity). As a result the contribution of appliance use to  $Q_{F,B}$  is expected to be similar for all days of each type (e.g. weekday, weekend) throughout the year for both domestic and commercial settings (seasonality in appliance-based activity is not considered). In a non-perturbed state, variation within day types across a year is expected to come from heating (space and water) and cooling use as these demands respond to immediate environmental forcing within DASH. As GQF (section 3.2) only varies electricity demand with day type and season and gas with season, we compare the DASH diurnal pattern and magnitude of QF,B components for two school weekdays (SW) in different seasons (summer: 18 June 2015, winter: 27 January 2015). The mean air temperature is warmer in summer (17.0°C) than winter (7.0°C) and has more total radiation (Fig. 7).

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To evaluate heat emissions from buildings  $(Q_{F,B})$  the city-wide emissions of domestic (dom) and commercial/non-domestic 565 buildings (n-dom) are considered separately (R6, Table 6). As DASH and GQF have the same spatial resolution, comparison is made of spatial inter-quartile ranges (IQR) at the GQF 30-min temporal resolution (i.e. 30-min means (time-ending) are calculated from the DASH 10-min values). DASH appliance emissions ( $Q_{F,B}^{\alpha}$ ) are compared to GQF electricity demand  $(Q_{F,B}^{elec})$  whilst combined heating (space and water) and cooling  $(Q_{F,B}^{HC} + Q_{F,B}^{HW})$  in DASH are equated to GQF gas demand  $(Q_{F,B}^{gas})$ . Discrepancies between values are expected, for example in some areas heating may be powered by electricity. 570

For the summer weekday, DASH domestic  $Q_{F,B}$  has similar characteristics to GQF with consistent morning and evening peaks. The mean and IQR are similar from midnight to 5 am, but consistently lower (difference in medians of 2 - 2.5 W m<sup>-2</sup>) in DASH from the morning to end of evening peak (Fig. 8ai). Across spatial A<sub>N</sub> more than 60% have an absolute error (AE, eq. 20b) of  $\leq 2$  W m<sup>-2</sup> for all times sampled, and for ~90% the AE  $\leq 5$  W m<sup>-2</sup> (Fig. 9a).

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Domestic  $Q_{F,B}^{\alpha}$  closely follows  $Q_{F,B}^{elec}$  in both pattern and magnitude on the summer day. DASH has three distinct appliance demand peaks: morning, midday, and a larger more sustained evening peak. The magnitude and timing of  $Q_{F,B}^{\alpha}$  and  $Q_{F,B}^{elec}$ peaks are similar between DASH and GQF, although the morning peak in GQF is maintained with less variability throughout the day (Fig. 8a.ii). The domestic summer day gas (GQF) and heating/cooling (DASH) Q<sub>F,B</sub> profile (Fig. 8a.iii) have the largest discrepancy in daily profile and magnitude. Under summer conditions, DASH heating/cooling is largely driven by hot water demand as indoor temperatures in all instances of STEBBS are passively maintained between heating and cooling setpoints.

DASH domestic Q<sub>F,B</sub> has a more distinct morning peak in winter (Fig. 8di), and from midnight to the morning peak DASH values are 1 - 4 W m<sup>-2</sup> greater than GQF. This is caused by greater  $Q_{F,B}^{HC+HW}$ , and may relate to greater sensitivity to 585 temperature for DASH and low outdoor air temperatures. The evening peak is less pronounced and shifted to later evening, with roughly 70% of the  $A_N$  having AE  $\leq$  5 W m<sup>-2</sup> at 18:00 (Fig. 9b). All other times analysed more in agreement with GQF.  $Q_{F,B}^{\alpha}$  remains similar to the summer values (Fig. 8.aii) as the only seasonal variation is due to indoor lighting. After the

morning peak it is slightly lower than  $Q_{F,B}^{elec}$  (Fig. 8d.ii), but follows a similar pattern throughout the day. This discrepancy is 590 likely due to electric heating use, which  $Q_{F,B}^{elec}$  would include on both a small (e.g. space heaters) and large (e.g. 'district' electric heating in high-rise flats) scale.

Summer commercial  $Q_{F,B}$  is consistently lower in DASH (median ~1.5 W m<sup>-2</sup> less) than GQF in the middle of the day (Fig. 8b.i) with morning and evening medians more similar. The evening IQR increases for DASH and is reflected in  $Q_{F,B}^{\alpha}$ , likely associated with energy demand from commercial properties that remain open later in the evening (e.g. leisure facilities). There is close agreement between  $Q_{F,B}^{\alpha}$  and  $Q_{F,B}^{elec}$  medians (Fig. 8b.ii). At least 60% of  $A_N$  agree within 2 W m<sup>-2</sup> for all sampled time steps (Fig. 9c).

The winter diurnal patterns for commercial  $Q_{F,B}$  are similar for DASH and GQF (Fig. 8e.i) but DASH has a steeper morning (evening) increase (decrease) as well as consistently higher values (median 2 - 3 W m<sup>-2</sup> in the daytime). The evening decrease starts ~ 2 h later in DASH. These higher values are due to  $Q_{F,B}^{HC+HW}$  (Fig. 8e.iii), which dominates the total pattern. The median  $Q_{F,B}^{a}$  and  $Q_{F,B}^{elec}$  profiles (Fig. 8e.ii) are in good agreement, with slightly broader IQR for DASH. More than 50% of  $A_N$  have a MAE of  $\leq$  2 W m<sup>-2</sup> for all times except 09:00, which is slightly below 50% (Fig. 9d).

- For both domestic and commercial use, summer  $Q_{F,B}^{HC+HW}$  have the largest discrepancy in profile and magnitude compared to  $Q_{F,B}^{gas}$  (Figs. 8a.iii, 8b.iii). In summer for DASH,  $Q_{F,B}^{HW}$  is expected to dominate as indoor temperatures in all instances of STEBBS are passively maintained between heating and cooling setpoints. City-wide domestic  $Q_{F,B}$  is greater than commercial  $Q_{F,B}$  in both DASH and GQF.
- 610 The median  $Q_{F,T}$  are fairly similar between both models but GQF has less temporal variability (Figs. 8c.i, 8f) with IQR<sub>DASH</sub> ~ 4 x IQR<sub>GQF</sub>. As DASH responds to variations in travel demand, and exchanges occupants across the city more temporal variation occur between  $A_N$ . Figs. 9e, f, show small MAEs between the two models, with more than 98.5% of  $A_N$  within 2 W m<sup>-2</sup>. When considered for road area only, DASH  $Q_{F,T}$  median values reach 2.9 W m<sup>-2</sup>, with diurnal mean of 3.25 W m<sup>-2</sup> (Fig. 8c.ii). Summer (Fig. 8c.i) and winter (Fig. 8f) values differ because of the behavioural change caused by daylight savings time. But no other seasonal changes are expected or occur.

Here the mean GQF values are based on key day types appropriately weighted for the year, whereas DASH is run for the year. The GL annual average  $Q_{F,M}$  for DASH is 0.663 W m<sup>-2</sup>, for GQF it is 0.717 W m<sup>-2</sup>, whereas assuming one mean metabolic flux for all that live in GL gives 0.386 W m<sup>-2</sup>. The GL annual average  $Q_{F,T}$  from DASH (0.24 W m<sup>-2</sup>) is larger than for GQF (0.0303 W m<sup>-2</sup>) as GQF uses a smaller road network (OS (2016) vs. AADT, respectively). The GL annual average  $Q_{F,B}$  for DASH (5.7453 W m<sup>-2</sup>) is slightly smaller than the 2015 average meter data (7.22 W m<sup>-2</sup>, Section 6). The GL annual total  $Q_F$  for DASH (6.05.79 W m<sup>-2</sup>) is smaller than for GQF (7.97 W m<sup>-2</sup>). The Iamarino et al. (2012) (earlier version of) GQF annual average (10.9 W m<sup>-2</sup>) for 2005 to 2008 is larger<sub>4</sub> which is consistent with the decrease in published values seen for London (e.g. Ward et al., 2016; Ward and Grimmond, 2017).

#### 625 6 Evaluation of DASH with annual gas and electricity consumption data

To assess the annual DASH city-wide hot water, heating and cooling energy demand (R7, Table 6) results are compared to normalised national gas demand. The seasonal pattern (winter peak, summer minimum) is evident in both (national, DASH) heating data, with short and long period responses to temperature also evident (Fig. 10). The DASH response to the higher

frequency variations is similar to the demand data but the amplitude of normalised demand differs. DASH is seemingly more sensitive to temperature changes but as the national demand profile has net local responses to weather (*etc.*) variations across the country these may be smoother than if only London responses were observed.

In June to August, DASH heating/cooling demand is solely attributed to DHW demand for both domestic and commercial buildings. The consistency in DASH daily-behaviour (i.e. R7 without imposed perturbations) results in a steady-state summer load, with a baseline demand that is less dependent on environmental variability. The normalised national data have both greater magnitude and amplitude of fluctuation in summer (cf. DASH). The national data includes appliance (e.g. cooking) and industrial gas demands whereas DASH accounts for these in appliances (omitted in Fig. 10). The heating season dominates the DASH results (Fig. 10). The DASH pattern is less variable with the cooking and industrial baseline demands included (not shown).

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Evaluation of DASH (R7, Table 6) at LSOA scale (Table 1) suggests the DASH total domestic energy consumption is less than metered values (Fig. 11a.i). The DASH IQR is 46 to 29 % lower (Fig. 11a.ii). Although the LSOA domestic consumption in the central business district (CBD – City of London) has the largest discrepancy (-<del>81.582.56%</del>), this may in part be <del>due tocaused by</del> misallocation in the published data (e.g. some dwellings classified as commercial because of a large shared meter). There is no evidence of a relation between percentage difference and population density.

The percentage difference between commercial DASH and non-domestic energy consumption is skewed to overestimation by DASH in most MSOAs (Fig. 11b.ii). The CBD underestimation (-<u>52.953.2</u>%, Fig. 11b.i) is likely caused by a large misallocation of commercial gas consumption in this area (section 3.2). <u>TwoOne</u> spatial <u>units (West and unit (East London)</u> <del>overestimateoverestimates</del> by more than 1000% (maximum being <u>1150%</u>, <u>25.51184%</u>, <u>24.2</u> GW h). Some OAs (i.e. *A<sub>N</sub>* scale) with large retail buildings have potential uncertainty in both the energy consumption data (e.g. undisclosed data, section 3.2) and DASH simulations.

At MSOA scale, DASH simulates 38% of the areas to within ±±100% of published values. The MSOAs that DASH most
 overestimates (as percentage differences) have fairly small actual magnitude differences and low workplace populations. The mean difference in magnitude across the top 5<sup>th</sup> percentile is 28.7 GW h, however 77% of these (mean difference 18.1 GW h) have workday populations of fewer than 2,000 people in the MSOA, with most businesses in these MSOA having fewer than 50 employees. Whilst the proportion of these small businesses is fairly high (89% on average) across Greater London (ONS, 2019), it is not the main cause of the uncertainty, as this arises from misclassification of small businesses as domestic
 within published data. Some overestimation occurs in areas with buildings that are not typically temperature controlled (e.g. warehouses, factories) as DASH assumes all commercial spaces are temperature controlled.

Although the percentage differences in commercial annual energy consumption are larger than for domestic (Fig. 11a.ii, 11b.ii), the actual commercial values (Fig. 11d) are more spatially similar across the city than domestic values (Fig. 11c).
The most spatially disparate commercial area, containing Heathrow airport (west GL, Fig. 11d), likely has undisclosed data, hence the large difference (394.7%) of 726.8 GWh. Domestic values are more spatially similar in the less densely populated suburbs, whereas areas east of the CBD are more densely populated and more spatially variable.

The annual LA (Table 1) energy fluxes have fewer data inconsistencies when the domestic and non-domestic/commercial energy consumption are combined, allowing meter classification to be ignored. DASH QF estimates for Greater London

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 $(5.7453 \text{ W m}^2)$  are lower than those found using the published meter data (7.22 W m<sup>2</sup>), with the greatest difference in the smallest LA, City of London (DASH gives  $58.0257.53 \text{ W m}^2$  and published data gives 123.48 W m<sup>2</sup>). The overall spatial patterns are similar, with greater values towards the city centre and more consistent values in the surrounding suburbs.

675 Although address misallocation (section 3.2) is expected to cause the observed discrepancies (i.e. apparent DASH underestimation for aggregate annual values) found in the CBD, it is not possible to quantify this uncertainty. Similarly, an underestimation is expected from DASH as the meteorological input used is for one central site (Table 5) so variations (e.g. cooler temperatures or wind effects) are unaccounted for. This could be improved by coupling DASH with a meteorological model accounting for spatial heterogeneity.

#### 680

#### 7 Conclusion

DASH allows anthropogenic heat fluxes to be simulated accounting for both urban form and function, using an agent-based structure. The impact of people's behaviours at the neighbourhood scale is captured as occupants move (10 min time step), varying by day type (e.g. week day, weekend), demographics (e.g. age), location (e.g. residential, work, school), activity
(e.g. cooking, recreation, travelling to school or work), socio-economic factors (e.g. appliance availability) and in response to environmental conditions (e.g. temperature related heating use). DASH includes simple transport and building energy models to allow simulation of dynamic vehicle use, occupancy, and heating/cooling demand with subsequent release of energy to the outdoor environment through the building fabric or –ventilation.

Evaluation of DASH in Greater London for various periods in 2015 uses a top-down inventory model (GQF) and national energy consumption statistics (as cited in Table 6, R8). Overall, the model generally performs well. Some of the spatial and temporal differences may be explained by data inconsistencies in the official data (e.g. privacy related, allocation of use to office headquarters rather than place of use). Analyses with DASH allow high spatial and temporal resolution for a wide range of time periods (demonstrated here from 10 minutes to 1 year) and large spatial extent (demonstrated from output area to mega-city). The model performance evaluation addresses a wide range of these scales (e.g. 30 min spatial patterns at OA, annual at LA scale).

The expected temporal and spatial patterns are obtained (e.g. two diurnal peaks and larger fluxes in the city centre). Given DASH's capabilities these can be explored and explained. For example, domestic building  $Q_{F,B}$  is more intense towards the city centre than in outer suburbs, following residential population density. The morning and evening peaks are linked to active occupancy and appliance power demand.

As DASH is demonstrated to be able to reproduce conditions generally, future work will investigate dynamic feedbacks within a city from changes in urban form and function. DASH is designed to allow parameters to be altered spatially, thus
impacts on *Q<sub>F</sub>* emissions can be assessed. Changes may be both slow (i.e. over years) such as from an aging population, new technology uptake (e.g. change of vehicle fuels and efficiency), or governance (e.g. national energy or carbon goals) and short-term (i.e. hours, days to months) such as traffic restrictions (e.g. roadworks, flooding) changing flow. The model performance suggests that other capabilities (e.g. additional transport types) and feedback on other variables (e.g. CO<sub>2</sub>) emissions are warranted in the future. With DASH coupled to an urban land surface model, the impacts can be assessed both on *Q<sub>F</sub>* itself (e.g. a traffic disruption at one point in terms of the impact on *Q<sub>F,B</sub>*) and its feedback on other surface energy

710 on  $Q_F$  itself (e.g. a traffic disruption at one point in terms of the impact on  $Q_{F,B}$ ) and its feedback on other surface energy balance terms and near-surface urban temperatures.

#### 8 Acknowledgements

This work has been funded by EPSRC (doctoral training grant), NERC APEx, <u>NERC Independent Research Fellowship (TS)</u>
 and Newton Fund/ Met CSSP China (SG). Dr Andy Gabey provided support in early development of DASH and adaptation
 Office of GQF for evaluation purposes. George Meachim carried out the gap filling for use of meteorological data.

#### 9 Appendix A: Creation of Markov chains

A Markov transition matrix (Hermanns, 2003; Sericola, 2013) is built from the probabilities of transition from one state to another in the next time step, with *n* states forming an  $n \times n$  Markov transition matrix (Table A1a). Entries are the probabilities *p* of transitioning from one state at time step *t* (row) to another at time step t + I (column) (e.g. Tables A1b,c). Stationary distribution for state 1:

 $\pi(t) = [p(t)_{1,1}, p(t)_{1,2}, p(t)_{1,3}, p(t)_{1,4}, p(t)_{1,5}, p(t)_{1,6}] \tag{A1}$ 

The transition matrices created for this model are time inhomogeneous, reflecting a realistic diurnal profile with changes in likelihood state through the day. If state transition *n*, *n* is chosen, the state does not change. Markov transition matrices may exclude entry to particular states by setting the column and row of a restricted state to zero.

As there is no way to determine the states prior to the start of a model run and to ensure no spin-up is required, the stationary distribution for the first-time step in the run is given by the diagonal of the matrix (e.g. based on Table A1 six states):  $\pi(t) = [p(t)_{1,1}, p(t)_{2,2}, p(t)_{3,3}, p(t)_{4,4}, p(t)_{5,5}, p(t)_{6,6}]$  (A2)

This represents the distribution across states that are not in transition during the previous or the current time step.

For travel (Section 2.4.2) at t=1,  $O_C$  are distributed using a weighted choice with the diagonal of the transition matrix (eq. A2) for that time step and age group as the weight distribution. At each subsequent time step, the origin  $A_N$  has a choice to 735 keep each  $O_C$  or release them into another  $a_S^N$ , according to weighted choice (eq. 3) using the transition probabilities dictated by the origin  $a_S^N$ 's stationary distribution (eq. A1) at t as  $\omega$ . The  $A_N$  destination depends on the destination  $a_S^N$  selected. If  $a_S^N$ for the next time step is the same as the previous time step, the  $A_N$  does not release the  $O_C$ .

#### 10 Appendix B: Heat exchange within STEBBS

- 5740 STEBBS employs a nodal approach (Foucquier et al., 2013) as found in commonly used simulation tools such as TrnSys (Klein et al., 2017) and EnergyPlus (Crawley et al., 2000). Each node represents a homogeneous layer within a specified component of the building, with heat transfer equations solved between each node (Figure B1). Each building component is modelledSTEBBS' eight nodes are 2-layers for wall-roof, ground floor and windows; plus a bulk air node and an all internal mass node (calculated as 1-layer a percentage of total volume). Additionally, there are six nodes associated with bulk or
- r45 effective the domestic hot water (DHW) system. There are 2-layers for the hot water tank walls and a bulk DHW distribution system, plus a bulk water node for the storage and a distribution node. Effective thermal properties that account for the external and internal surfaces (are applied to each component (i.e.g. a wall cavity and insulation layers are not modelled separately). As this is computationally cheap, it allows multiple instances for each  $A_N$  at high temporal resolution. The only latent heat consideration is that of people from metabolic processes (Section 2.4.1).

The STEBBS considers heat exchanges by convection, conduction, and radiation, and heat gain from solar insolation and casual heat sources (Fig. B1). The convective flux,  $q_{cv}$ , between a fluid *f* and a surface *s* (Bergman et al., 2017) is:  $q_{cv} = h A(T_c - T_c)$  (B1)

$$q_{cv} = h A(T_f - T_s)$$

where  $T_f$  and  $T_s$  are the temperatures of the fluid (*f*) and surface (*s*), respectively, and *A* the surface area of the building. Convective fluxes occur between indoor (outdoor) air and internal (external) wall/window/floor surface as well as the internal mass surface. For DHW, eq. B1 calculates convective flux between water and hot water tank/vessel walls. Forced convection *h* is experienced on external walls as a function of wind speed *ws* (m s<sup>-1</sup>) at roof height, so is variable whilst internal values are held constant (Cole and Sturrock, 1977):

$$h = 5.8 + 4.1 ws$$
 (B2)

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Conduction between internal and external surfaces of a component (i.e. wall, window, floor, hot water tank/vessel, and ground floor to ground) is:

$$q_{cd} = k_e A \frac{T_{si} - T_{so}}{L}$$
(B3)

where  $k_e$  is the effective conductivity of a building component with 1 to *n* layers of thickness  $L_n$  (sum to *L*) and conductivity  $k_n$ :

$$k_e = \frac{\frac{L}{\frac{L_1}{k_1} + \frac{L_2}{k_2} + \dots + \frac{L_n}{k_n}}}{\frac{L}{k_1} + \frac{L_2}{k_2} + \dots + \frac{L_n}{k_n}}$$

and  $T_{si}$ ,  $T_{so}$  are the component's inside and outside surface temperatures, respectively. This is calculated for inside surfaces of a wall, ceiling, window, floor, hot water tank and hot water vessel components and their respective outside surfaces, as well as the point of contact between the ground floor and the external ground.

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Shortwave insolation ( $G_K$ ) is considered on building walls/roof and windows, with transmitted proportion through windows added to internal heat gain and absorbed proportion contributing to wall/roof/window gains (Underwood and Yik, 2004).(Underwood and Yik, 2004). Windows have an effective shortwave transmissivity ( $\tau$ ) and albedo ( $\Theta$ ), whereas walls/roof depend only on their albedo. Solar internal heat gain ( $q_{si}$ ) as:

775  $q_{si} = \tau. G_k$ 

and solar gain to external wall  $(q_{se}^a)$  and window  $(q_{se}^i)$  as:  $q_{se}^a = (1 - \Theta). G_k$  and  $q_{se}^i = (1 - \tau - \Theta). G_k$  (B6)

(B5)

The net longwave radiation ( $Q_{L*}$ ) exchange between building surfaces (walls or windows) and surfaces (including sky) in their view is found using Bergman et al. (2017):

$$Q_{L*} = A \sum_{i=1}^{n} \left[ \Psi_i \sigma_c \left( T_{so}^4 - T_{s,i}^4 \right) \right] \sum_{i=1}^{n} \left[ y_i s e \left( T_{so}^4 - T_{s,i}^4 \right) \right]$$
(B7)

(B4)

where  $\sigma$  is the Stefan-Boltzmann constant (5.67 × 10<sup>-8</sup> W m<sup>-2</sup> K<sup>-4</sup>),  $\epsilon \epsilon$  is the wall/window emissivity and surface temperature.  $T_{s,i}$  is the temperature of the surface (*i*) in view.

The three view factors  $(\underline{\psi}(\underline{\psi}_i))$  for external wall/window surfaces (sky  $\underline{\psi}\underline{\psi}_s$ , buildings  $\underline{\psi}\underline{\psi}_b$ , and ground  $\underline{\psi}\underline{\psi}_g$ ) will sum to 1. Currently, for neither short nor longwave radiation are  $\underline{\psi}\underline{\psi}$  accounted for (i.e. uniform temperature is assumed). This could be improved when coupled with more detailed morphology data and urban meteorology as  $\underline{\psi}\underline{\psi}$  varies across a city with height (building facet) and density of buildings (Grimmond et al., 2001). Internal wall radiative exchanges are currently not considered.

Energy for heating (cooling) is controlled by setpoint temperature with energy added (removed) directly from the indoor air node that is controlled according to a maximum power rating and set system efficiency. The temperature setpoints can change at each timestep allowing both automated and human control to be accounted for. The level of heating (cooling) is further controlled by the difference between indoor air and setpoint temperatures. Internal gains are accounted for as a bulk gain to the indoor air node.

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 The BESTEST Case 600 single zone building case is used with EnergyPlus (v.9.3.0). to evaluate STEBBS. The EnergyPlus

 BESTEST model downloaded from the EnergyPlus helpserve website (EnergyPlus, 2020) is modified to run with v9.3.0.

 Observed London weather data for 2012 (Kotthaus and Grimmond, 2014) are generated using SuPy (Sun and Grimmond, 2019) at an hourly resolution for EnergyPlus and STEBBS. Although EnergyPlus indicates it interpolates sub-hourly weather data for consistency we use both with a 1-hour timestep.

Following EnergyPlus Engineering Reference, the STEBBS external convection coefficient is changed to the DOE-2 method (U.S. Department of Energy, 2020, pg.95-96) for consistency between the models. Note, this is found to have little impact on the results. The internal mass and DHW in STEBBS are reduced in volume to ensure they have negligible impact on results (see https://doi.org/10.5281/zenodo.3745523 for BESTEST setup). The bulk building thermal properties in STEBBS are calculated using the BESTEST Case 600 values as presented in ASHRAE 140 (ASHRAE, 2017). Building dimensions for STEBBS are set to give consistent total indoor volume, wall-roof surface area, window area, and floor area. As STEBBS has only one pair of nodes (i.e. 2-layer wall, Figure B1), building geometry and orientation are not represented in STEBBS.

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The EnergyPlus annual and inter-day heating and cooling dynamics are captured in STEBBS (Fig. B2). Both models control the indoor air temperature to within the setpoint limits of 20 (heating) and 27 (cooling). EnergyPlus simulates a higher heating and cooling load with more times when the indoor temperature is between (rather than at) the setpoint temperatures. EnergyPlus also simulates a cooling requirement during the heating season, which STEBBS does not.

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The modal hourly heating/cooling load differences between the two models are relatively small (Fig. B2). Although the distribution range is large, the differences are perhaps best attributed to a difference in load control. The EnergyPlus BESTEST case uses the maximum heating (cooling) capacity to add (remove) thermal energy to (from) the building that is likely to result in the observed indoor temperature over shoots, the higher frequency of switching (on-off) for heating and cooling, and need for cooling during heating season as heating and cooling power are set high (100 kW). Whereas to prevent this type of behaviour, STEBBS uses the difference between air and setpoint temperature to help control the heating and cooling power.

#### 11 Appendix C: Parameter values

825 **Table C1:** Appliances used in domestic and workplace subareas and their attributes. Usage categories: Active only (AO) consume energy as a results of user activities; Active with standby (AS) consume less when not in active use (standby); Continuous (C) have constant power consumption independent of human activity (cycling appliance power converted to continuous). See Table 3 for references.

Appliance	Attributed activity	Usage	Power	Standby	Proportion	Market
		category	rating	power rating	on standby	permeation
			(W)	(W)		
Domestic appliances						
Oven	Food preparation	AO	2125	-	-	0.616
TV	Watching TV	AS	124	3	1	0.977

Desktop	Computer use	AS	100	20	1	0.35
Laptop	Computer use	AS	70	10	1	0.71
Iron	Ironing	AO	1000	-	-	0.9
Washing machine	Laundry	AS	792	1	0.5	0.93
Chest fridge	-	С	38	-	-	1
Small appliance (generic)	-	С	2	-	-	
Lighting (single bulb)	Active	AO	43	-	-	-
Workplace appliances						
Office "desk"	At work	AS	250	25	0.5	per worker
Office background (e.g. IT equipment)	-	С	230	-	-	per worker
Lighting	At work	AS	120	120	0.5	per worker

**Table C2:** Applied building component properties to all instances of STEBBS model (Pre-1965 [House & Bungalow/Flat], Post-1965), regardless of use type and building size. *L* thickness,  $\epsilon_E$  emissivity,  $\tau$  effective transmissivity,  $\Theta$  surface albedo,  $k_e$  effective thermal conductivity,  $\rho_P$  density,  $c_p$  specific heat capacity of air at constant pressure, *h* convection coefficient,  $V_{FR}$  volumetric flow rate of DHW for single use domestic/commercial,  $V_R$  ventilation rate,  $V_T$  DHW tank volume, WWR window-to-wall ratio. Tank n = number of people per household. Vessels all other storage of DHW. For data sources refer to Table 3. \* variable by wind speed, \*\*per water user (domestic/non-domestic)

	i able 3. * vari	lable by wind	speed, **per	water user (de	omestic/non	-domestic)					
		<i>L</i> (m)	<del>ε, <u>ε,</u> τ</del> , Θ	ke	P	$c_p$		h	$V_{FR}, V_R **$	$V_T$	WWR
				(W m <sup>-1</sup> K <sup>-1</sup> )	ρ	(J kg <sup>-1</sup> K <sup>-1</sup> )	(Wn	$^{-2}$ K <sup>-1</sup> )	$(10^{-3} \text{ m}^3)$	(m <sup>3</sup> )	
					(kg m <sup>-3</sup> )		Int.	Ext.	s <sup>-1</sup> )		
	External	0.241/0.327,	0.9,0,0.6	0.837/0.835,		803.1/804.1,	3	var*	600	-	
ic	Wall/Roof	0.373		0.104	1076	865.9					0.4
Fabric	Window	0.005,0.02	0.88,0.9,0.05	1.05, 0.041	2500,	840, 902.4	3	var*		-	0.4
50 [L	willdow				1000.7						
Building	Ground	0.5	-	0.752, 0.690	1540, 1470	1012.8,	2.8	-		-	-
lin	Floor					1016					
B	Internal	-	0.91, 0, 0	0.121	873.7	967.9	3	-		-	-
	Mass										
	Tank $n = 1$	0.055	0.9, -, -	0.0275	745.55	1380	243	3	0.183 /	0.115	-
	Tank $n = 2$								0.15	0.115	
	Tank $n = 3$									0.125	
DHW	Tank $n = 4$									0.148	
Ē	Tank $n = 5$									0.170	
	Tank $n = 6$									0.180	
	Vessels	0.0047	0.91, -, -	0.16	1380	1380	243	3	0.1372 /	-	-
									0.1125		
Ext	ernal Ground	2	-	1.28	-	-	-	-	-	-	-
I	nternal Air	-	-	-	-	1005	-	-	-	-	-

## 12 Appendix D: Code availability/Data availability

All code and data are deposited at 10.5281/zenodo.3745524. The archive will be made available once the paper is

accepted.https://doi.org/10.5281/zenodo.3745523.

Table D1: Data examples. More details (example structure, units, raw data source, location in repository and location of use

in code) can be found at: https://github.com/Urban-Meteorology-Reading/DASH-X/wiki/All-data

845 <u>https://doi.org/10.5281/zenodo.3745523.</u>

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Fil	ename	File type	Definition
(i)	Population		
a	age_groups	CSV	Population of each age group in each $A_N$
b	allworkers	csv	Residential and workplace spatial unit relation
c	area_hierarchy	csv	List of $A_N$ in the larger, containing, spatial unit (B)
d	daytype	csv	Dates used by run and corresponding day of year and day
u	daytype	CSV	type
e	SchoolWorkShopcap	csv	School and workplace populations and shops and 'other'
е	SchoolworkShopeap	CSV	subarea capacities for each $A_N$
(::)	<b>T</b>		subarea capacities for each AN
- /	Transport	1	
a	SpatialUnitRoadLengths	csv	$L_r$ in each B
b	average_passengers	csv	Average number of people in a single <i>m</i> vehicle
с	distance_freqs	csv	Journey distance categories and their respective mode
			weightings
d	fuel_consumption	csv	Average urban fuel consumption for urban roads for
			vehicle stock (g km <sup>-1</sup> )
e	fuel_ratio	csv	Proportions of each <i>m</i> using each <i>f</i>
f	IntraBorDist/xmatrix	csv	Distance matrix for distance between $A_N$ centroids in B
g	IndivBor/xh_wsorted	csv	Proportions of people using each mode to travel from
			home to work
h	IndivBor/xw_hsorted	csv	Proportions of people using each mode to travel from
			work to home
i	MeanSpeedLimits	csv	Mean $v_{r,lim}$ for each $r$ in each B
j	RoadAADTMeansLengthWeighted	csv	AADT means of each r, mode for each B
k	routes_distances	csv	List of route segment distances for each spatial unit
			traversed for each route
1	routes_int	csv	List of routes between each start-destination pair,
	_		including the spatial units traversed for each route
m	route_reference_matrix	csv	Reference matrix for route numbers
n	ShopsGravity	csv	Gravity weightings (Eq. 3) for travel to shops and other
	1 5		subareas
0	speed_fuel_ratio_func	pickle	Functions of normalised speed - fuel consumption
	1	•	relation for each m
р	traveltime_functions	pickle	Functions relating distance to time travelled for each
r		1	mode
q	vehicle_length (in settings.nml)	-	Length of representative vehicle
	) Area		
a	env vars	csv	Environmental variables used for each time step
a b	IndustrialOAs	csv	Location of industrial land use around the study area
c	OA area details	csv	Population, road length, building stock and dimensions,
C	OA_area_details	CSV	floor plan area data for each $A_N$
<i>(</i> ,	Devil dimension		
	) Buildings	-	
a	CommBuildingArchetype	nml	Multiple .nml lists for each commercial building
			archetype and their STEBBS parameters
b	CommTypes	nml	Multiple .nml lists for each school/shops/other land use
			type and their parameters
с	DomApplianceList	nml	Multiple .nml lists for appliances used by occupants in
			domestic buildings, and their parameters
d	DomBuildingArchetype	nml	Multiple .nml lists for each commercial building
			archetype and their STEBBS parameters
e	domlighting	nml	Parameters for domestic lighting
f	WorkApplianceList	nml	Multiple .nml lists for appliances used by occupants in
			commercial buildings, and their parameters
g	xpersonactiveweekend/day	csv	Proportions of people active (awake and present) in
			households with x people present at each time step

h	xpersonweekend/day	csv	Proportions of people who belong to household of size $x$
			present in household at each time step, given that
			someone is present

## Table D.2 Archive of model runs (Table 6) inputs and results (available at: https://doi.org/10.5281/zenodo.3745523)

R	Zenodo	Extent	Area	Spatial	Tempor
	<del>referenc</del>			Scale	al Scale
	e				
1	<del>10.528</del>	GL	Camden	$a_N^x$	10 min
	1/zeno				
	<del>do.374</del>				
	<del>5524</del>				
2	10.528	GL	GL	$A_N$	10 min
	1/zeno				
	<del>do.374</del>				
	<del>5524</del>				
3	<del>10.528</del>	GL	E00023	$A_N$	10 min
	1/zeno		911,		
	<del>do.374</del>		E00015		
	<del>5524</del>		661, E00008		
			490		
4	<del>10.528</del>	GL	GL	LA	10 min
	1/zeno		-		
	do.374				
	5524				
5	10.528	GL	GL	GL, LA	10 min
	1/zeno				
	do.374				
	5524				
6	10.528	GL	GL	OA	As Run
	1/zeno			$(A_N)$	5
	<del>do.374</del>				
	<del>552</del> 4				
7	<del>10.528</del>	GL	GL	GL	Annual
	1/zeno				
	<del>do.374</del>				
	<del>5524</del>				
8	<del>10.528</del>	GL	GL	LSOA -	Annual
	1/zeno			dom,	
	<del>do.374</del>			MSOA	
	<del>5524</del>			- n-dom	

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**Figure 1:** Overview of DASH (**a**) Agent-agent interaction and estimation of  $Q_F$  with the  $A_N$  (mid blue) to  $a_S^N$  (light blue) relations, changes in process outputs (yellow, purple, green) between time steps and the reaction (arrows) to give  $Q_F$ . (**b**) Processes include agent-agent interactions (blue boxes), agent reaction and interaction with environment ( $Q_{F,B}$ : purple,  $Q_{F,M}$ : yellow,  $Q_{F,T}$ : green boxes), inputs (dashed lines), process outputs (dotted lines) and their interactions (thick lines), and  $Q_F$  outputs (solid grey lines). Notation list gives definitions.



**Figure 2:** Total occupancy of each  $a_s^N$  in one LA for five age groups across six consecutive days of three types (textured background): SW (diagonal lines); WE (dotted); NS (horizontal lines) Run 1 (Table 6).



**Figure 3:** Median (line) and IQR (shading) of total occupancy of each  $a_S^N$  in Greater London for one weekday (R2, Table 6), normalised by actual static population (Table 2).





**Figure 4:** Present occupancy levels (R3, Table 6) in three  $a_D^N$  by day type (textured background) (a)  $a_D^{sentor}$  (number of people per age group living in the area: 0 infants, 2 children, 0 teenagers, 61 adults, 228 seniors); (b)  $a_D^{working}$  (5 infants, 6 children, 3 teenagers, 274 adults, 9 seniors); (c)  $a_D^{young}$  (77 infants, 41 children, 24 teenagers, 157 adults, 1 senior). (d) Normalised total occupancy levels for the three  $a_D^N$ .

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**Figure 5:** Standard deviation of LA (all boroughs of London, colour, for 44 weekdays (preceded by weekdays)) occupancy levels (R4, Table 6)) for: (**a**)  $a_D^N$  and (**b**)  $a_W^N$ .







**Figure 7:** Incoming shortwave radiation  $(K_{4\frac{1}{2}} \text{ W m}^2)$  and outdoor air temperature  $(T_o, \stackrel{\text{2}\circ}{}C)$  for two SW days. Observations (Table 5) are assumed to be constant across the domain in all runs (Table 6).





**Figure 8:** Analysis of  $Q_F$  (R6, Table 6) median (line) and IQR (shading) for two days in 2015: (**a**, **b**, **c**) 18 June and (**d**, **e**, **f**) 27 January; showing total  $Q_{F,B}$  for (**a.i, d.i**) domestic, (**b.i**, **e.i**) commercial; with (**a.ii**, **d.ii**) domestic electricity (GQF) or appliance power demand (DASH); (**a.iii**, **d.iii**) domestic gas (GQF) or heating + cooling + hot water demand (DASH); (**b.i**, **e.i**) commercial gas (GQF) or heating + cooling + hot water demand (DASH); and (**c**, **f**)  $Q_{F,T}$  at  $A_N$  scale; and (**c.ii**)  $Q_{F,T}$  for road area only. Fig. 7 shows weather conditions. Fig. 9 shows absolute errors between the two models. 18 June 2015 100 June 2015 100 June 2015





**Figure 9:** Ranked cumulative frequency of spatial AE<sub>i</sub> (eq. 20b) with 2, 5 W m<sup>-2</sup> (vertical lines) and maximum (key, W m<sup>-2</sup>) indicated at six times (colour) for R6 (Table 6, Fig. 8) on two days in 2015: (**a**, **c**, **e**) 18 June 2015, (**b**, **d**, **f**) 27 January 2015, for (**a**, **b**) total domestic  $Q_{F,B}$ , (**c**, **d**) total commercial  $Q_{F,B}$ , and (**e**, **f**) total transport  $Q_{F,T}$ . Note y-axes are different between rows (50 % of spatial units shown by horizontal dashed line if applicable) and x-axes are log<sub>10</sub>.



Figure 10: Daily (1 October 2014 - 30 September 2015) DASH normalised total heating/cooling and domestic hot water (DHW) energy demand (R7, Table 6) for Greater London, minimum and maximum London outdoor air temperature (°C) (Table 5) and normalised national gas demand (NG, 2015). See section 3.2 for normalisation.





Figure 11: DASH (R8, Table 6)  $nE_i$  of total energy consumption represented by (i) choropleth and (ii) histogram for (a) LSOA scale domestic use and (b) MSOA scale commercial use. An $E_i$  of total energy consumption for (c) LSOA scale





Figure B1: STEBBS 1-D model simulates building facets/nodes (dots), casual heat sources and heat exchanges. Longwave radiation is absorbed by building facets from the outdoor environment, and shortwave radiation from direct, diffuse and reflected sources.



Figure B2: BESTEST Case 600 is used with London weather data to evaluate STEBBS relative to EnergyPlus at an hourly time scale for 2012 (a) heating and (b) cooling loads (J), (c) indoor air temperature (d) frequency distribution of hourly differences between EnergyPlus and STEBBS for heating and cooling loads, (e) inter quartile range of hourly differences in winter (Jan, Feb, Mar, Oct, Nov, Dec) and summer (May, Jun, Jul, Aug) loads, and indoor temperatures (whiskers 1% and 99%).

 Table 1: Sources of data used by DASH and the highest spatial resolution (columns) used in Greater London. Details are given in the other Tables (Tab) and Appendices (App) indicated. Notation defined in text.

	Spatial Scale	$A_N$			В		
Data Cat	Data Category		LSOA	MSOA	LA	City	London/National
Populati	on	Tab 2					
Activitie	S					App. A	
Appliance	ce					Tab C1	
50	Size	Tab 4					
Building	Types					Tab 4	
Bu	Properties					Tab 4	Tab C2
t s	Mode Attributes						Tab 3
Trans -port	Route speed limits				Tab 3		
E .	Mode & route capacity	Tab 3					
Environi	mental conditions					Tab 5	

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Table 2: Spatial, temporal, and demographic data used to inform activity in Greater London. Data sources: Greater London
Authority (GLA), Office for National Statistics (ONS), Chartered Institution of Building Service Engineers (CIBSE),
Ordnance Survey (OS) Valuation Office Agency (VOA) See also Table D1

Data Category	Model Application	Data Source	
Area Codes	all B – LSOA, MSOA, LA	GLA (2011)	
	all $A_N - OA$		
Centroid	all $B, A_N$	GLA (2011) - GIS	
Area	$A_N$ - OA	shapefiles	
Population	Domestic $(a_D^N)$ - # by age cohort [all]	ONS (2015)	
	Workplace $(a_W^N)$ - # by age cohort [Teen/Adult/Senior]	ONS (2014a)	
	Primary school $(a_E^N)$ - # registered [Child]	GLA (2014)	
	Secondary School $(a_H^N)$ - # registered [Teen]		
	Shops $(a_R^N)$ - # of shops	OpenStreetMap (2017)	
	Other $(a_0^N)$ - # of businesses		
Household	Domestic $(a_D^N)$ – distribution by # of $O_C$ per house	ONS (2011)	
Age cohort	Infant [0-4 years] - # in $a_D^N$	ONS (2015)	
-	Child [5-11 years] - # in $a_D^N$ , $a_E^N$		
	Teen [12-18 years] - # in $a_D^N$ , $a_H^N$ , $a_W^N$		
	Adult [19-64 years] - # in $a_D^N$ , $a_W^N$		
	Seniors [65+ years] - # in $a_D^N$ , $a_W^N$		
Anchor locations	$A_N$ - # of residence/workers/students as function of age	-	
Day Types (to	School weekday [by age cohorts: Child/Teen/Adult]	Gershuny and Sullivan	
inform activity	Weekend [by all age cohorts]	(2017)	
profiling)	Public holiday [by all age cohorts – as weekend]		
	Non-school weekday [by age cohorts: Child/Teen/Adult and #	ONS (2017a), Gershuny	
	of dependent children in different households]	and Sullivan (2017)	
Initiation of	Clock time of start of journeys within city and subareas	Gershuny and Sullivan	
travel		(2017)	
Building	Typical height (m), depth (m) and total floor area (m) of	VOA (2015), Butcher and	
Archetypes	identified types. Height: depth ratios: House 9:12.5, Bungalow	Craig (2016),	
assigned Areas	5.5:12.5, Low-rise flats: 6.1:20. Width calculated to maintain	Mavrogianni et al. (2012)	
	ratio and total building volume.	05 (2014)	
	Floor Plan Area (m <sup>2</sup> ) and average height (m) to give volume.	OS (2014)	

**Table 3:** Data sources for physical building characteristics applied to building archetypes. Symbols notation table. Symbols used are: *L* wall thickness (m), *pp* building material density (kg m<sup>-3</sup>), *k*<sub>e</sub> wall effective thermal conductivity (W m<sup>-1</sup> K<sup>-1</sup>), *ep* emissivity, *h* convection coefficient (W m<sup>-2</sup> K<sup>-1</sup>), *V*<sub>T</sub> (m<sup>3</sup>) volume of tank (dependent on number of persons per household), **ToU** time of use. **Data Sources:**  $\S_1$  – British Council for Offices (BCO (2009)),  $\S_2$  - Richardson et al. (2010),  $\S_3$  - DECC and BRE (2016),  $\S_4$  - Hawkins (2011),  $\S_5$  - DECC (2015),  $\S_6$  - HCA (2010),  $\$_7$  - Butcher (2004).  $\$_2$  used for cycling patterns of continuously on appliances (i.e. fridge/freezer). See also Table D1.

C	Characteristic			Non-Domestic		
Building dimension Hei		ight / Floor Plan	Mavrogianni et al. (2012), OS (2014)			
Building dimensio	11	WWR	Butcher (2012)			
		L, $\rho$ , $k_e$	Butcher and C	raig (2016)		
Thomashusiaal		<del>Е, <u>Е</u>,</del> Ср	Stewart et al. (2014), But	cher and Craig (2016)		
Thermophysical properties	Building	$V_R$	Butcher (	2014)		
(Table C2)		Internal h	Butcher and C	raig (2016)		
(1able C2)		External h	Cole and Sturrock (1977)			
External		kground	Butcher and Craig (2016)			
		L	Flamco (2017)			
		$\frac{\varepsilon, \varepsilon_{r}}{\varepsilon, \varepsilon_{r}} c_{p}, k_{e}$	Butcher and Craig (2016), Flamco (2017)			
DHW Services (Ta	nk/Pipes)	PΩ	Butcher and Craig (2016), Flamco (2			
		h	Butcher and Craig (2016), Knudsen (20			
		$V_T$	MWS (2019)	IOP (2002)		
Dowor Dating		Heating/ Cooling	Butcher and C	raig (2016)		
Power Ratings (W) (*Table C1)		DHW	Flamco (2017), Palmer (2016)			
(*Table C	9	Appliance*	§1 §2 §3 §7 §4 §5 §			
Activity		Appliance <u>#aj,k</u>	§7, BCO (2009), DEC	C and BRE (2016)		
		DHW $V_{FR}$	BSI (1997), Bu	tcher (2014)		

ToU	Gershuny and Sullivan (2017)
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**Table 4:** Transport mode and route attributes used in model. Fixed, homogeneous, values given explicitly. Note that buses assumed all diesel (85% of fleet in 2015, rest mostly 'Hybrid') and other electric and low emission vehicles are excluded (plugin cars reported as 0.2% of registered vehicles in GL in 2015 from DfT and DVLA (2019)). **Data sources:**  $g_8$  ONS (2014b),  $g_9$  ONS (2018),  $g_{10}$  DfT (2017),  $g_{11}$  DfT (2014a, 2014b),  $g_{12}$  London Datastore (2014),  $g_{13}$  OS (2016),  $g_{14}$  Smith et al. (2009),  $g_{15}$  Highways Agency (2017),  $g_{16}$  TfL (2018),  $g_{17}$  TfL (2019),  $g_{18}$  OS (2015),  $g_{19}$  TfL Train and Underground Rolling Stock Information Sheets from  $g_{10}$ ,  $g_{20}$  TfL working timetables from  $g_{10}$ ,  $g_{21}$  Iamarino et al. (2012). Annual Average Daily Traffic AADT.

								Constraints/	Limits
_ Mode (m)	People per vehicle (§ $_{10}$ , § $_{15}$ )	Fuel Use Ratio (petrol: diesel: electric) § 10, § 17	$Q_{F,M}$ per passenger (W m <sup>-2</sup> ) § 21 <sup><math>\Delta</math></sup> Not applied in evaluation	Heat emission of ^ combustion and by * speed & fuel (electric not considered)	Commute mode Choice $(a_{b}^{i} \rightarrow a_{W}^{j})$	Journey Time	Proportion of mode by route	(Mode, Route) capacity ( $C_{m,r}, R_{lim}$ ) and [speed] [ $v_{r,lim}$ ] limit	Route (r) Dimensions (e.g. length, # of lanes, # of tracks) <sup>Δ</sup> Not applied in evaluation
Car	1.4	0.84:0.16:0	70	^ §9	§8	§10	§11	$AADT - S_{12}$	§12, §13, §16
Van	1.4	0.1:0.9:0	70	* §14				Speed - §18	
Taxi	2.5	0:1:0	70						
Motorcycle	1	1:0:0	70						
Bus/coach	17.3	0:1:0	55						
Metro			$62^{\Delta}$					§19, §20 <sup>Δ</sup>	§19 <sup>Δ</sup>
Surface rail			55 <sup>Δ</sup>						
Bicycle	1		230						
Walking	1		140						

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 Table 5: Observed meteorological variables at 60.9 m above ground level King's College London KSSW site (Kotthaus and Grimmond 2014, Ward et al. 2016). See Figure 1a in Kotthaus and Grimmond (2014) for site location. From these other variables are derived.

	Meteorological Variable	Sensor	
$T_o$	Outdoor air temperature (°C)	Mainel WYT 500	
ws	Wind speed (m s <sup>-1</sup> )	Vaisala WXT 520	
$K_{\downarrow}$	Incoming shortwave radiation (W m <sup>-2</sup> )	King & Zanan CND4 Nat Dadiamatan	
$Q_{L\uparrow}$	Outgoing longwave radiation (W m <sup>-2</sup> )	Kipp & Zonen CNR4 Net Radiometer	

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**Table 6:** DASH model runs (R) setup. Runs are characterised by period (dates, and day types: WD weekdays), areal extent (Table 1, dom: domestic, n-dom: non-domestic). Data sources:  $\S_{22}$  GLA (2014),  $\S_{23}$  ONS (2015),  $\$_{24}$  ONS (2014a),  $\$_{25}$  National Grid (NG, 2015),  $\$_{26}$  BEIS (2017c),  $\$_{26}$  BEIS (2017c). Other details are given in Table D.2 and Section 2.

R	Period	Extent run	Area Analvsed	Spatial Scale	Spin-up (days)	Evaluation Data	Temporal Scale	Fig.
1	12 – 17 Feb 2015	GL	Camden	$a_N^x$	-	-	10 min	2
2	12 Feb 2015	GL	GL	$A_N$	-	§22, §23, §24	10 min	3
3	13 – 14 Feb 2015	GL	E00023911, E00015661, E00008490	$A_N$	-	-	10 min	4
4	First 44 WD of 2015 preceded by WD 6-9, 13-16, 20-23, 27-30 Jan, 3-6, 10-13, 24-27 Feb, 3-6, 10-13, 17-20, 24-27 Mar 2015	GL	GL	LA	-	-	10 min	5
5	19 June 2015	GL	GL	GL, LA	1	-	10 min	6
6	19 June 2015, 27 Jan 2015	GL	GL	$OA(A_N)$	1	GQF	30 min	8,9
7	1 Oct 2014 - 30 Sept 2015	GL	GL	GL	1	\$25	Annual	10
8	1 Oct 2014 – 30 Sept 2015	GL	GL	LSOA - dom, MSOA - n-dom	1	\$26	Annual	11

**Table A1:** Markov transition matrix (a) general for six states (rows and columns) (b) data for a single time step and (c) transition probabilities for the data in (b) (Gershuny and Sullivan, 2017)

(t) <sub>1,6</sub>
(t) <sub>2,6</sub>
(t) <sub>3,6</sub>
(t) <sub>4,6</sub>
(t)5,6
(t) <sub>6,6</sub>
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b)	Domestic	Workplace	Shops	Other	c)	Domestic	Workplace	Shops	Other
Domestic	270	46	2	4	Domestic	270/320	46/320	2/320	4/320
Workplace	1	170	0	1	Workplace	1/172	170/172	0	1/172
Shops	0	0	5	0	Shops	0	0	1	0
Other	0	1	1	18	Other	0	1/20	1/20	18/20