

1 Non-Hydrostatic RegCM4 (RegCM4-NH): Model description and  
2 case studies over multiple domains.

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11 **Abstract.** We describe the development of a non-hydrostatic version of the regional  
12 climate model RegCM4, called RegCM4-NH, for use at convection-permitting resolutions.  
13 The non-hydrostatic dynamical core of the Mesoscale Model MM5 is introduced in the  
14 RegCM4, with some modifications to increase stability and applicability of the model to  
15 long-term climate simulations. Newly available explicit microphysics schemes are also  
16 described, and three case studies of intense convection events are carried out in order to  
17 illustrate the performance of the model. They are all run at convection-permitting grid  
18 spacing of 3 km over domains in northern California, Texas and the Lake Victoria region,  
19 without the use of parameterized cumulus convection. A substantial improvement is found  
20 in several aspects of the simulations compared to corresponding coarser resolution (12  
21 km) runs completed with the hydrostatic version of the model employing parameterized  
22 convection. RegCM4-NH is currently being used in different projects for regional climate  
23 simulations at convection-permitting resolutions, and is intended to be a resource for  
24 users of the RegCM modeling system.

25

26 **Keywords**

27 Regional climate models; RegCM4; km-scale resolution; climate change

## 28 **Introduction**

29 Since the pioneering work of Dickinson et al. (1989) and Giorgi and Bates (1989),  
30 documenting the first regional climate modeling system (RegCM, version 1) in literature,  
31 the dynamical downscaling technique based on limited area Regional Climate Models  
32 (RCMs) has been widely used worldwide, and a number of RCM systems have been  
33 developed (Giorgi 2019). RegCM1 (Dickinson et al., 1989, Giorgi and Bates, 1989) was  
34 originally developed at the National Center for Atmospheric Research (NCAR) based on  
35 the Mesoscale Model version 4 (MM4) (Anthes et al, 1987) . Then, further model versions  
36 followed: RegCM2 (Giorgi et al. 1993a,b), RegCM2.5, (Giorgi and Mearns 1999),  
37 RegCM3 (Pal et al. 2007), and lastly RegCM4 (Giorgi et al 2012). Except for the transition  
38 from RegCM1 to RegCM2, in which the model dynamical core was updated from that of  
39 the MM4 to that of the MM5 (Grell et al. 1994), these model evolutions were mostly based  
40 on additions of new and more advanced physics packages. RegCM4 is today used by a  
41 large community for numerous projects and applications, from process studies to paleo  
42 and future climate projections, including participation in the Coordinated Regional  
43 Downscaling EXperiment (CORDEX, Giorgi et al. 2009; Gutowski et al. 2016). The model  
44 can also be coupled with ocean, land and chemistry/aerosol modules in a fully interactive  
45 way (Sitz et al. 2017).

46 The dynamical core of the standard version of RegCM4 is hydrostatic, with sigma-p  
47 vertical coordinates. As a result, the model can be effectively run for grid spacings of ~10  
48 km or larger, for which the hydrostatic assumption is valid. However, the RCM community  
49 is rapidly moving to higher resolutions of a few km, i.e. “convection-permitting” (Prein et  
50 al. 2015; Coppola et al. 2020) and therefore the dynamical core of RegCM4 has been  
51 upgraded to include a non-hydrostatic dynamics representation usable for very high  
52 resolution applications. This upgrade, which we name RegCM4-NH, is essentially based  
53 on the implementation of the MM5 non-hydrostatic dynamical core within the RegCM4  
54 framework, which has an entirely different set of sub-grid model physics compared to  
55 MM5.

56

57 RegCM4-NH is already being used in some international projects focusing on climate  
58 simulations at convection-permitting km-scales, namely the European Climate Prediction

59 System (EUCP, Hewitt and Lowe 2018) and the CORDEX Flagship Pilot Study dedicated  
60 to convection (CORDEX-FPSCONV, Coppola et al. 2020), and it is starting to be used  
61 more broadly by the RegCM modeling community.

62 For example, the recent papers by Ban et al. (2021) and Pichelli et al. (2021) document  
63 results of the first multi-model experiment of 10-year simulations at the convection-  
64 permitting scales over the so-called greater Alpine region. Two different simulations with  
65 RegCM4-NH for present day conditions have contributed to the evaluation analysis of  
66 Ban et al. (2021). They were carried out at the International Centre for Theoretical Physics  
67 (ICTP) and the Croatian Meteorological and Hydrological Service (DHMZ) using two  
68 different physics configurations. The results show that RegCM4-NH largely improves the  
69 precipitation simulation as compared to available fine scale observations when going from  
70 coarse to high resolution, in particular for higher order statistics, such as precipitation  
71 extremes and hourly intensity. Pichelli et al. (2021) then analyse multi-model ensemble  
72 simulations driven by selected CMIP5 GCM projections for the decades 1996–2005 and  
73 2090–2099 under the RCP8.5 scenario. ICTP contributed to the experiment with  
74 simulations using RegCM4-NH driven by the MOCH-HadGEM GCM (r1i1p1) in a two  
75 level nest configuration (respectively at 12 and 3 km grid). The paper shows new insights  
76 into future changes, for example an enhancement of summer and autumn hourly rainfall  
77 intensification compared to coarser resolution model experiments, as well as an increase  
78 of frequency and intensity of high-impact weather events.

79  
80 In this paper we describe the structure of RegCM4-NH and provide some illustrative  
81 examples of its performance, so that model users can have a basic reference providing  
82 them with background information on the model. In the next section we first describe the  
83 new model dynamical core, while the illustrative applications are presented in section 4.  
84 Section 5 finally provides some discussion of future developments planned for the RegCM  
85 system.

86

## 87 **Model description**

88 In the development of RegCM4-NH, the RegCM4 as described by Giorgi et al. (2012) was  
89 modified to include, the non-hydrostatic dynamical core (*idynamic* = 2 namelist option as  
90 described in RegCM-4.7.1/Doc/README.namelist of the source code) of the mesoscale  
91 model MM5 (Grell et al. 1994). This dynamical core was selected because RegCM4  
92 already has the same grid and variable structure as MM5 in its hydrostatic core, which  
93 substantially facilitated its implementation (Elguindi et al. 2017).

94

95 The model equations with complete description of the Coriolis force and a top radiative  
96 boundary condition, along with the finite differencing scheme, are given in Grell et al.  
97 (1994). Pressure,  $p$ , temperature,  $T$ , and density,  $\rho$ , are first decomposed into a  
98 prescribed reference vertical profile plus a time varying perturbation. The prognostic  
99 equations are then calculated using the pressure perturbation values. Compared to the  
100 original MM5 dynamical core, the following modifications were implemented in order to  
101 achieve increased stability for long term climate simulations (Elguindi et al. 2017  
102 document any modifications which follow the choice of the non-hydrostatic dynamical  
103 core through the namelist parameter *idynamic* = 2; further available user-dependant  
104 options, and the corresponding section in the namelist, are explicitly indicated):

105

106 i) The reference state temperature profile is computed using a latitude dependent  
107 climatological temperature distribution and thus is a function of the specific domain  
108 coordinates (*base\_state\_pressure*, *logp\_lrate* parameters in *&referenceatm*) (Elguindi et  
109 al. 2017). These two parameters were hard-coded in the original MM5 while for the  
110 RegCM are user configurable;

111

112 ii) The lateral time dependent boundary conditions (*iboudy* in *&physicsparam*) for each  
113 prognostic variable use the same exponential relaxation technique (*iboudy* = 5) described  
114 in Giorgi et al. (1993). The linear MM5 relaxation scheme is also kept as an option (*iboudy*  
115 = 1);

116

117 iii) The advection term in the model equations, which in the MM5 code is implemented  
 118 using a centered finite difference approach, was changed to include a greater upstream  
 119 weight factor as a function of the local Courant number (Elguindi et al. 2017). The  
 120 maximum value of the weight factor is user configurable (*uoffc* in *&dynparam*). As detailed  
 121 in the MM5 model description (Grell et al., 1994), the horizontal advection term for a scalar  
 122 variable  $X$  contributes to the total tendency as:

123

$$\Delta_{adv}(p^*X)_G = -m^2|_G \left[ \frac{(p^*X|_{b\frac{u}{m}}|_b - p^*X|_{a\frac{u}{m}}|_a)}{dx} + \frac{(p^*X|_{d\frac{v}{m}}|_d - p^*X|_{c\frac{v}{m}}|_c)}{dy} \right]$$

124

125

126 where the  $m$  is the projection mapping factor and, with respect to Figure 1, assuming that  
 127 the computation is to be performed for the gold cross point  $G$ , the averages are performed  
 128 in the points  $a, b, c, d$ . For the  $u/m$  and  $v/m$  terms, the average value is computed using  
 129 respectively the values in points  $AC, BD, CD, AB$ .

130 In RegCM4 for the term  $p^*X$ , the model computes a weighted average value of the field  
 131 using the value in gold+cyan and gold+green cross points with weights increasing the  
 132 relative contribution of the upstream point up as a function of the local courant number:

133

$$134 \quad p^*X|_a = 0.5((1 - f_1)p^*X|_G + (1 + f_1)p^*X|_{c_1})$$

$$135 \quad p^*X|_b = 0.5((1 - f_1)p^*X|_{c_2} + (1 + f_1)p^*X|_G)$$

$$136 \quad p^*X|_c = 0.5((1 - f_2)p^*X|_G + (1 + f_2)p^*X|_{g_1})$$

$$137 \quad p^*X|_d = 0.5((1 - f_2)p^*X|_{g_2} + (1 + f_2)p^*X|_G)$$

138 where  $f_1, f_2$  are defined as the local Courant number for the 1D advection equations  
 139 multiplied for a control factor:

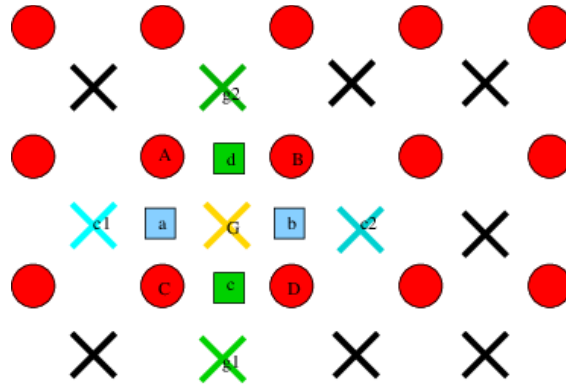
140

$$141 \quad f_1 = \mu_{fc}dt \frac{(u|_a + u|_b)}{2dx}$$

$$142 \quad f_2 = \mu_{fc}dt \frac{(v|_c + v|_d)}{2dy} ;$$

143

144



145

146 **Figure 1 Schematic representation showing the horizontal advection scheme**  
 147 **staggering. Circles are U,V points. X are scalar variable points.**

148

149

150 iv) The water species (cloud, ice, rain, snow) term uses the same advection scheme as  
 151 the other variables (Elguindi et al. 2017) and not a complete upstream scheme as in the  
 152 MM5 code (Grell et al. 1994);

153

154 v) A local flux limiter reduces the advection terms in order to remove unrealistic strong  
 155 gradients and its limits are user configurable (in the *&dynparam* section the maximum  
 156 gradient fraction for advection: temperature, *t\_extrema*, specific humidity, *q\_rel\_extrema*,  
 157 liquid cloud content, *c\_rel\_extrema* and for tracers, *t\_rel\_extrema*). This was hardcoded  
 158 in the MM5 code and the limits were not user configurable;

159

160 vi) The diffusion stencil of the Laplace equation uses a nine point approach as in LeVeque  
 161 (2006) and a topography dependent environmental diffusion coefficient is added to  
 162 reduce spurious diffusion along pressure coordinate slopes (Elguindi et al. 2017) as in  
 163 the hydrostatic version of the code (Giorgi et al. 1993b). The change in stencil does not  
 164 affect the overall fourth order precision of the model, but reduces the computational  
 165 stencil size, thus reducing the communication overhead;

166

167 vii) The top boundary radiative condition (*ifupr* = 1 in *&nonhydroparam*) adopted in the  
 168 semi-implicit vertical differencing scheme to reduce the reflection of energy waves uses  
 169 coefficients on a 13x13 matrix which are re-computed every simulation day and not kept

170 constant throughout the whole simulation as in the MM5 code. This allows the model to  
 171 be run for longer simulation times while not being strongly tied to the initial atmospheric  
 172 conditions;

173  
 174 viii) The dynamical control parameter  $\beta$  in the semi-implicit vertical differencing scheme  
 175 (*nhbet* in *&nonhydroparam*) used for acoustic wave damping (Elguindi et al. 2017) is user  
 176 configurable (Klemp and Dudhia, 2008), while it is hard-coded in the MM5;

177  
 178 ix) A Rayleigh damping (*ifrayd* = 1 in *&nonhydroparam*) of the status variables towards  
 179 the input GCM boundary conditions can be activated in the top layers (*rayndamp*  
 180 configuring the number of top levels to apply) with a configurable relaxation time  
 181 (*rayalpha0*, Klemp and Lilly, 1978, Durran and Klemp, 1983. This is consistent to what is  
 182 implemented in the WRF model);

183  
 184 x) The water species time filtering uses the Williams (2009) modified filter with  $\alpha = 0.53$   
 185 instead of the RA filter used by all the other variables. The  $v$  factor in the RA filter is user  
 186 configurable (*gnu1* and *gnu2* in *&dynparam*). This reduces the damping introduced by the  
 187 Robert-Asselin filter and the computational diffusion introduced by the horizontal  
 188 advection scheme.

189  
 190 With these modifications, the model basic equations, under leap-frog integration scheme,  
 191 are (Elguindi et al. 2017) :

192  
 193

$$\frac{\partial p^* u}{\partial t} = -m^2 \left[ \frac{\partial p^* u u / m}{\partial x} + \frac{\partial p^* v u / m}{\partial y} \right] - \frac{\partial p^* u \dot{\sigma}}{\partial \sigma} + u DIV - \frac{m p^*}{\rho} \left[ \frac{\partial p'}{\partial x} - \frac{\sigma}{p^*} \frac{\partial p^*}{\partial x} \frac{\partial p'}{\partial \sigma} \right] + p^* f v - p^* e w \cos \theta + D_u \quad (1)$$

194  
 195

$$\frac{\partial p^* v}{\partial t} = -m^2 \left[ \frac{\partial p^* uv/m}{\partial x} + \frac{\partial p^* vv/m}{\partial y} \right] - \frac{\partial p^* v \dot{\sigma}}{\partial \sigma} + v DIV - \frac{mp^*}{\rho} \left[ \frac{\partial p'}{\partial y} - \frac{\sigma}{p^*} \frac{\partial p^*}{\partial y} \frac{\partial p'}{\partial \sigma} \right] - p^* f u + p^* e w \sin \theta + D_v \quad (2)$$

196  
197

$$\frac{\partial p^* w}{\partial t} = -m^2 \left[ \frac{\partial p^* uw/m}{\partial x} + \frac{\partial p^* vw/m}{\partial y} \right] - \frac{\partial p^* w \dot{\sigma}}{\partial \sigma} + w DIV + p^* g \frac{\rho_0}{\rho} \left[ \frac{1}{p^*} \frac{\partial p'}{\partial \sigma} + \frac{T'_v}{T} - \frac{T_0 p'}{T p_0} \right] - p^* g [(q_c + q_r)] + p^* e (u \cos \theta - v \sin \theta) + D_w \quad (3)$$

198  
199

$$\frac{\partial p^* p'}{\partial t} = -m^2 \left[ \frac{\partial p^* up'/m}{\partial x} + \frac{\partial p^* vp'/m}{\partial y} \right] - \frac{\partial p^* p' \dot{\sigma}}{\partial \sigma} + p' DIV - m^2 p^* \gamma p \left[ \frac{\partial u/m}{\partial x} - \frac{\sigma}{mp^*} \frac{\partial p^*}{\partial x} \frac{\partial u}{\partial \sigma} + \frac{\partial v/m}{\partial y} - \frac{\sigma}{mp^*} \frac{\partial p^*}{\partial y} \frac{\partial v}{\partial \sigma} \right] + \rho_0 g \gamma p \frac{\partial w}{\partial \sigma} + p^* \rho_0 g \quad (4)$$

200  
201

$$\frac{\partial p^* T}{\partial t} = -m^2 \left[ \frac{\partial p^* uT/m}{\partial x} + \frac{\partial p^* vT/m}{\partial y} \right] - \frac{\partial p^* T \dot{\sigma}}{\partial \sigma} + T DIV + \frac{1}{\rho c_p} \left[ p^* \frac{Dp'}{Dt} - \rho_0 g p^* w - D_{p'} \right] + p^* \frac{\dot{Q}}{c_p} + D_T \quad (5)$$

202  
203

204 Where:

$$DIV = m^2 \left[ \frac{\partial p^* u/m}{\partial x} + \frac{\partial p^* v/m}{\partial y} \right] + \frac{\partial p^* \dot{\sigma}}{\partial \sigma}$$

205

$$\dot{\sigma} = -\frac{\rho_0 g}{p^*} w - \frac{m\sigma}{p^*} \frac{\partial p^*}{\partial x} u - \frac{m\sigma}{p^*} \frac{\partial p^*}{\partial y} v$$

206

$$\tan \theta = -\cos \phi \frac{\partial \lambda / \partial y}{\partial \phi / \partial x}$$

207

$$p(x, y, z, t) = p_0(z) + p'(x, y, z, t)$$

$$T(x, y, z, t) = T_0(z) + T'(x, y, z, t)$$

$$\rho(x, y, z, t) = \rho_0(z) + \rho'(x, y, z, t)$$

208

209

210 with the vertical sigma coordinate defined as:

211



$$\sigma = \frac{(p_0 - p_t)}{(p_s - p_t)}$$

212  
213

214  $p_s$  is the surface pressure and  $p_0$  is the reference pressure profile. The total pressure  
215 at each grid point is thus given as:

216

$$p(x, y, z, t) = p^* \sigma(k) + p_t + p'(x, y, z, t)$$

218

219 With  $p_t$  being the top model pressure assuming a fixed rigid lid.

220 The model physics schemes for boundary layer, radiative transfer, land and ocean  
221 surface processes, cloud and precipitation processes are extensively described in Giorgi  
222 et al. (2012) and summarized in Table 1. For each physics component a number of  
223 parameterization options are available (Table 1), and can be selected using a switch  
224 selected by the user. As mentioned, the use of non-hydrostatic dynamics is especially  
225 important when going to convection-permitting resolutions of a few km (Prein et al. 2015).  
226 At these resolutions the scale separation assumption underlying the use of cumulus  
227 convection schemes is not valid any more, and explicit cloud microphysics  
228 representations are necessary. The RegCM4 currently includes two newly implemented  
229 microphysics schemes, the Nogherotto-Tompkins (Nogherotto et al. 2016) and the WSM5  
230 scheme from the Weather Research Forecast (WRF, Skamarok et al. 2008) model, which  
231 are briefly described in the next sections for information to model users.

232

<b>Model physics</b> ( <i>Namelist flag</i> )	<b>Options</b>	<i>n. option</i>	<b>Reference</b>
<b>Dynamical core</b> ( <i>idynamic</i> )	Hydrostatic	1	Giorgi et al. 1993a,b Giorgi et al. 2012
	Non-Hydrostatic (*)	2	present paper
<b>Radiation</b>	CCSM	0	Kiehl et al. 1996

<i>(irrtm)</i>	RRTM (*)	1	Mlawer et al. 1997
<b>Microphysics</b> <i>(ipptls)</i>	Subex	1	Pal et al 2000
	Nogherotto Thompkins	2	Nogherotto et al. 2016
	WSM5 (*)	3	Hong et al 2004
<b>Cumulus</b> <i>(icup)</i>	Kuo	1	Anthes et al. 1987
	Grell	2	Grell 1993
	Emanuel	4	Emanuel 1991
	Tiedtke	5	Tiedtke 1989, 1993
	Kain-Fritsch	6	Kain and Fritsch, 1990; Kain 2004
	MM5 Shallow cumulus (only mixing) (*)	-1	Grell et al. 1994
<b>Planetary Boundary Layer</b> <i>(ibltyp)</i>	Modified-Holtslag	1	Holtslag et al., 1990
	UW	2	Bretherton et al. 2004
<b>Land Surface</b> <i>(code compiling option)</i>	BATS	/	Dickinson et al. 1993; Giorgi et al. 2003
	CLM4.5	/	Oleson et al. 2013
<b>Ocean Fluxes</b> <i>(iocnflx)</i>	BATS	1	Dickinson et al. 1993
	Zeng	2	Zeng et al. 1998

	COARE	3	Fairall et al. 1996a,b
<b>Interactive lake</b> ( <i>lakemod</i> )	1D diffusion/convection	1	Hostetler et al. 1993
<b>Tropical band</b> ( <i>i_band</i> )	RegT-Band	1	Coppola et al. 2012
<b>Coupled ocean</b> ( <i>iocncpl</i> )	RegCM-ES	1	Sitz et al. 2017

233 **Table 1 Core and sub-grid physics scheme available in RegCM-NH. New schemes**  
234 **available with this release are starred (\*).**

235

236

237 **Explicit microphysics schemes**

238 ***Nogherotto-Tompkins Scheme***

239 A new parameterization for explicit cloud microphysics and precipitation built upon the  
240 European Centre for Medium Weather Forecast's Integrated Forecast System (IFS)  
241 module (Tiedtke [1993], Tompkins [2007]), was introduced in RegCM4 (*ipptls* = 2 in  
242 *&microparam*) by Nogherotto et al. [2016]. In the present configuration, the scheme  
243 implicitly solves 5 prognostic equations for water vapor, *qv*, cloud liquid water, *ql*, rain, *qr*,  
244 cloud ice, *qi*, and snow, *qs*, but it is also easily extendable to a larger number of variables.  
245 Water vapor, cloud liquid water, rain, cloud ice and snow are all expressed in terms of the  
246 grid-mean mixing ratio.

247 Cloud liquid and ice water content are independent, allowing the existence of supercooled  
248 liquid water and mixed-phase clouds. Rain and snow precipitate with a fixed terminal fall  
249 speed and can then be advected by the three dimensional winds. A check for the  
250 conservation of enthalpy and of total moisture is ensured at the end of each timestep. The  
251 governing equation for each variable is:

252

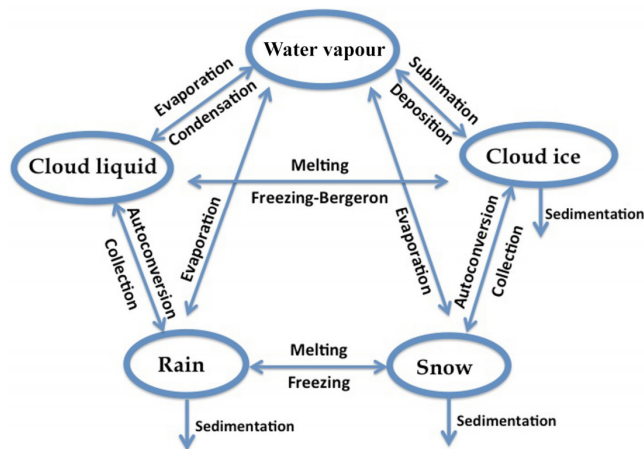
$$\frac{\partial q_x}{\partial t} = S_x + \frac{1}{\rho} \frac{\partial}{\partial z} (\rho V_x q_x)$$

253

254

255 The local variation of the mixing ratio  $q_x$  of the variable  $x$  is given by the sum of  
 256  $S_x$ , containing the net sources and sinks of  $q_x$  through microphysical processes (i.e.  
 257 condensation, evaporation, auto-conversion, melting, etc.), and the sedimentation term,  
 258 which is a function of the fall speed  $V_x$ . An upstream approach is employed to solve the  
 259 equations. The sources and sinks contributors are divided in two groups according to the  
 260 duration of the process they describe: processes that are considered to be fast relative to  
 261 the model time step are treated implicitly while slow processes are treated explicitly. The  
 262 processes taken into account (shown in Figure 2) are the microphysical pathways across  
 263 the 5 water variables: condensation, autoconversion, evaporation, cloud water collection  
 264 (accretion), and autoconversion for warm clouds, and freezing, melting, deposition,  
 265 sublimation for cold clouds.

266



267

268 **Figure 2: Depiction of the new scheme, showing the five prognostic variables and**  
 269 **how they are related to each other through microphysical processes**

270 For each microphysical pathway, phase changes are associated with the release or  
 271 absorption of latent heat, which then impacts the temperature budget. The impact is

272 calculated using the conservation of liquid water temperature TL defined as:  
273

$$274 \quad T_L = T - \frac{L_v}{C_p}(q_l + q_r) - \frac{L_s}{C_p}(q_i + q_s).$$

275 Given that  $dTL = 0$ , the rate of change of the temperature is given by the following  
276 equation:

277

$$278 \quad \frac{\partial T}{\partial t} = \sum_{x=1}^m \frac{L(x)}{C_p} \left( \frac{dq_x}{dt} - D_{q_x} - \frac{1}{\rho} \frac{\partial}{\partial z} (\rho V_x q_x) \right)$$

279

280 where  $L(x)$  is the latent heat of fusion or evaporation, depending on the process  
281 considered,  $D_{q_x}$  is the convective detrainment and the third term in brackets is the  
282 sedimentation term.

283 At the end of each time step a check is carried out of the conservation of total water and  
284 moist static energy:  $h = C_p T + gz + Lq_x$ .

285 The scheme is tunable through parameters in the *&microparam* section of the namelist  
286 (RegCM-4.7.1/Doc/README.namelist; Elguindi et al. 2017).

287

288 **WSM5 Scheme**

289 RegCM4-NH also employs the Single-Moment 5-class microphysics scheme of the WRF  
290 model (Skamarock et al., 2008). This scheme (ipptls = 3 in &microparam) follows Hong  
291 et al. (2004) and, similarly to Nogherotto et al. (2016), includes vapor, rain, snow, cloud  
292 ice, and cloud water hydrometeors. The scheme separately treats ice and water  
293 saturation processes, assuming water hydrometeors for temperatures above freezing,  
294 and cloud ice and snow below the freezing level (Dudhia, 1989, Hong et al., 1998). It  
295 accounts for supercooled water and a gradual melting of snow below the melting layer  
296 (Hong et al., 2004, and Hong and Lim, 2006). Therefore, the WSM5 and Nogherotto-  
297 Tompkins schemes have similar structures (Figure 2), but also important differences.

298 Differently from the Nogherotto-Tompkins scheme, the WSM5 (as well as the other WSM  
299 schemes in WRF) prescribes an inverse exponential continuous distribution of particle  
300 size (ex. Marshall and Palmer (1948) for rain, Gunn and Marshall (1958) for snow). It also  
301 includes the size distribution of ice particles and, as a major novelty, the definition of the  
302 number of ice crystals based on ice mass content rather than temperature. Both the  
303 Nogherotto-Tompkins and WSM5 schemes include autoconversion, i.e. sub-time step  
304 processes of conversion of cloud water to rain and cloud ice to snow. For rain, Hong et  
305 al. (2004) use a Kessler (1969) type algorithm in WSM5, but with a stronger physical basis  
306 following Tripoli and Cotton (1980). The Nogherotto-Tompkins scheme also includes the  
307 original Kessler (1969) formula as an option, but it makes available other three  
308 exponential approaches following Sundqvist et al. (1989), Beheng (1994), and  
309 Khairoutdinov and Kogan (2000). For ice autoconversion the Nogherotto-Tompkins  
310 scheme uses an exponential approach (Sundqvist, 1989) with a specific coefficient for ice  
311 particles (following Lin et al., 1983) depending on temperature, while the WSM5 uses a  
312 critical value of ice mixing ratio (depending on air density) and a maximum allowed ice  
313 crystal mass (following Rutledge and Hobbs, 1983) that suppresses the process at low  
314 temperatures because of the effect of air density. Finally, the WSM5 has no dependency  
315 on cloud cover for condensation processes while the Nogherotto-Tompkins scheme uses  
316 cloud cover to regulate the condensation rate in the formation of stratiform clouds.

### 317 ***Illustrative case studies***

318

319 Three case studies (Table 2) of Heavy Precipitation Events (HPE) have been identified in  
320 order to test and illustrate the behavior of the non-hydrostatic core of the RegCM4-NH,  
321 with focus on the explicit simulation of convection over different regions of the world. In  
322 two of the test cases, California and Lake Victoria, data from the ERA-Interim reanalysis  
323 (Dee et al. 2011) are used to provide initial and lateral meteorological boundary conditions  
324 (every 6 hours) for an intermediate resolution run (grid spacing of 12 km, with use of  
325 convection parameterizations), which then provides driving boundary conditions for the  
326 convection-permitting experiments (Figure 3). In the Texas case study, however, we  
327 nested the model directly in the ERA-Interim reanalysis given that such configuration  
328 was able to accurately reproduce the HPE intensity. In this case the model uses a large  
329 LBC relaxation zone which allows the description of realistic fine-scale features driving  
330 this weather event (although not fully consistent with the Matte et al. (2017) criteria). All  
331 simulations start 24-48 hours before the HPE (Table 2). The analysis focuses on the total  
332 accumulated precipitation over the entire model domain at 3 km resolution (Figure 2) for  
333 the periods defined in Table 2. In the cases of California and Texas the evaluation also  
334 includes the time series of 6 hourly accumulated precipitation averaged on the region of  
335 maximum precipitation (black rectangles in Figures 5a and 7a) because high temporal  
336 resolution observations (NCEP/CPC) are also available (Table 3). The discussion of the  
337 case studies is presented in the next sections; the configuration files (namelists) with full  
338 settings for the three test cases are available at <https://zenodo.org/record/5106399>.

339

340 A key issue concerning the use of CP-RCMs is the availability of very high resolution,  
341 high quality observed datasets for the assessment and evaluation of the models, which  
342 is lacking for most of the world regions. Precipitation measurements come from  
343 essentially three distinct sources: in-situ rain-gauges, ground radar and satellite. In the  
344 present study we use 7 observational datasets depending on the case study and the area  
345 covered, as described in Table 3. We have used: Precipitation Estimation from Remotely  
346 Sensed Information using Artificial Neural Networks - Climate Data Record (PERSIAN-  
347 CDR), Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS), the  
348 Climate Prediction Center morphing method (CMORPH), Tropical Rainfall Measuring  
349 Mission (TRMM), NCEP/CPC-Four Kilometer Precipitation Set Gauge and Radar

350 (NCEP/CPC), CPC-Unified gauge-based daily precipitation estimates (CPC) and  
 351 Parameter-elevation Regressions on Independent Slopes Model (PRISM) (Table 3).  
 352 NCEP/CPC is a precipitation analysis which merges a rain gauge dataset with radar  
 353 estimates. CMORPH and PERSIAN-CDR are based on satellite measurements, CHIRPS  
 354 incorporates satellite imagery with in-situ station data. CPC is a gauge-based analysis of  
 355 daily precipitation. The PRISM dataset gathers climate observations from a wide range  
 356 of monitoring networks, applying sophisticated quality control measures and developing  
 357 spatial climate datasets which incorporate a variety of modeling techniques at multiple  
 358 spatial and temporal resolutions.

359

<b>Case</b>	<b>ACRONYM</b>	<b>Region of The event</b>	<b>Domains size lon x lat x vertical levels</b>	<b>Simulation Time Window (UTC)</b>
1	CAL	California	480 x 440 x 41	15 Feb 2004 00:00 19 Feb 2004 00:00
2	TEX	Texas	480 x 440 x 41	9 June 2010 00:00 12 June 2010 00:00
3	LKV	Lake Victoria	550 x 530 x 41	25 Nov 1999 00:00 1 Dec 1999 00:00

360 **Table 2: List of acronyms and description of the test cases with corresponding**  
 361 **3km domain sizes and simulation period.**

362

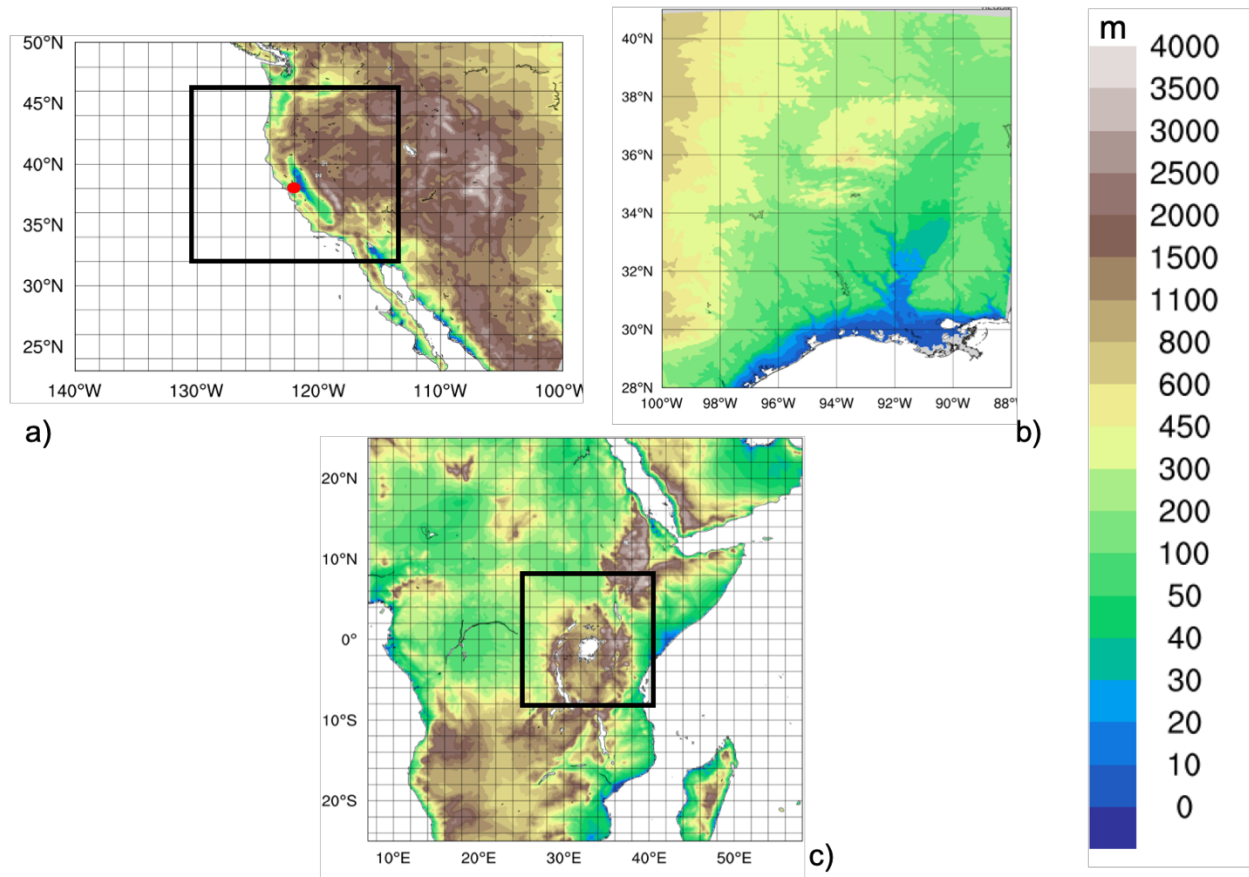
<b>Dataset name</b>	<b>Region</b>	<b>Spatial Resolution</b>	<b>Temporal Resolution</b>	<b>Data Source</b>	<b>Reference</b>
TRMM	World	0.5°	Daily	Satellite	Huffman et al. (2007)



CHIRPS	World	0.05°	Daily	Station data+Satellite	Funk et al. (2015)
CMORPH	World	0.25°	Daily	Satellite	Joyce et al. (2004)
NCEP/CPC	USA	0.04°	Hourly	<i>Gauge and Radar</i>	<a href="https://doi.org/10.5065/D69Z93M3">https://doi.org/10.5065/D69Z93M3</a> . Accessed: 27/06/2018
CPC	World	0.5°	Daily	Station data	Chen and Xie (2008)
PRISM	USA	0.04°	Daily	Station data	PRISM Climate Group. 2016.
PERSIAN-CDR	World	0.25°	Daily	Satellite	Ashouri et al. (2015)

363 **Table 3: List of observed precipitation datasets used for comparison.**

364



365

366 **Figure 3: Domains tested , a) California (CAL) , b) Texas (TEX), c) Lake Victoria**  
 367 **(LKV) . For CAL (a) and LKV (b) the black square shows the 3 km simulation**  
 368 **domains nested in the 12 km domain in figure. For TEX case (b) the 3 km domain**  
 369 **simulation is fed directly with the ERA-Interim reanalysis fields.**

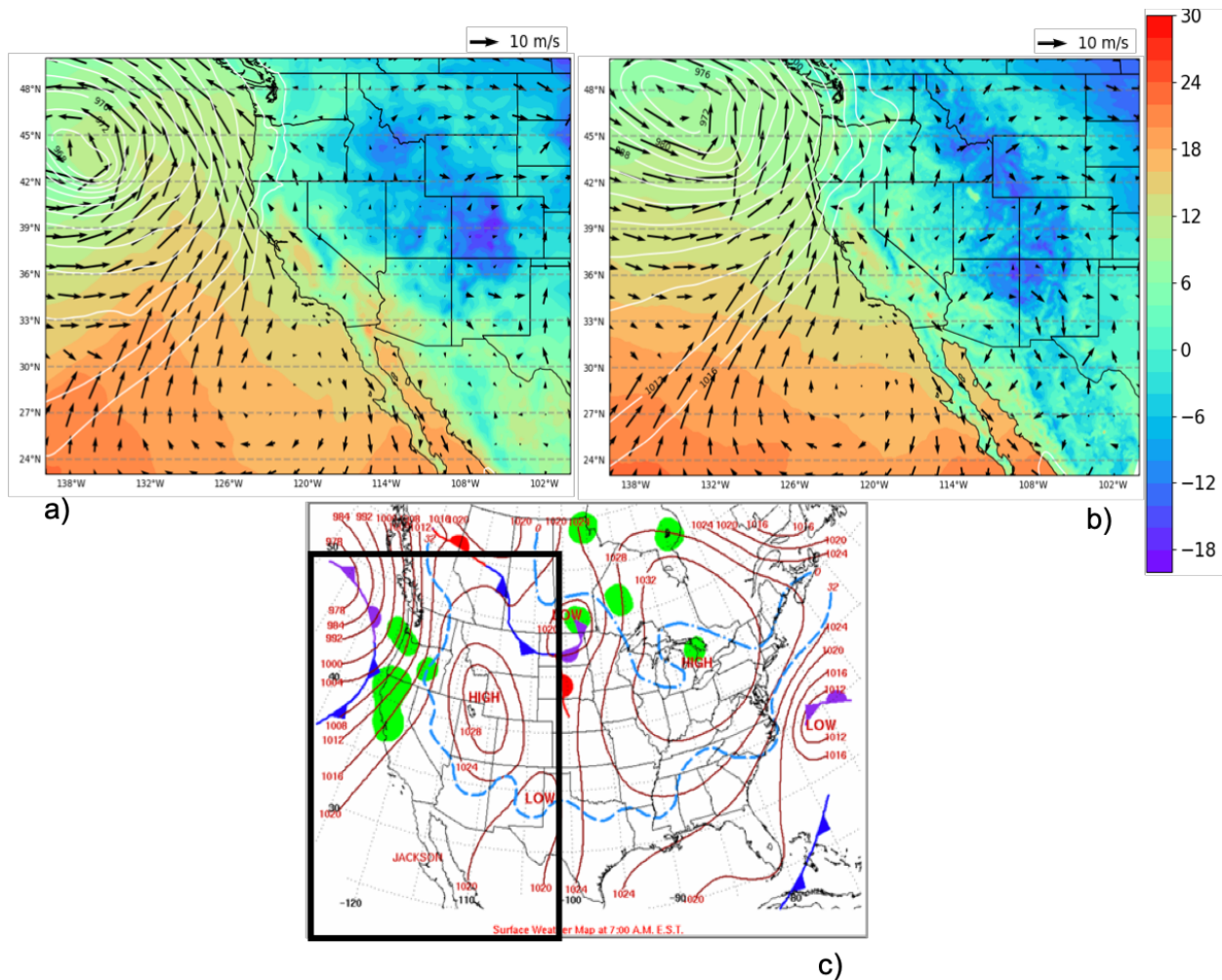
370

371

### 372 **California**

373 The first case, referred to as CAL in Table 2, is a HPE which occurred on February 16-18  
 374 2004, producing flooding conditions for the Russian River, a southward-flowing river in  
 375 the Sonoma and Mendocino counties of northern California (red-dot in Figure 3a). The  
 376 event is documented in detail by Ralph et al. (2006), who focused their attention on the  
 377 impact of narrow filament-shaped structures of strong horizontal water vapor transport

378 over the eastern Pacific Ocean and the western U.S. coast, called Atmospheric Rivers  
 379 (ARs). ARs are typically associated with a low-level jet stream ahead of the cold front of  
 380 extratropical cyclones (Zhu and Newell 1998; Dacre et al. 2015; Ralph et al. 2018), and  
 381 can induce heavy precipitation where they make landfall and are forced to rise over  
 382 mountain chains (Gimeno et al. 2014). The CAL event consists of a slow propagating  
 383 surface front arching southeastward towards Oregon and then southwestward offshore  
 384 of California (Figure 4a,c). Rain began over the coastal mountains of the Russian River  
 385 watershed at 0700 UTC of February 16, as a warm front descended southward, and also  
 386 coincided with the development of orographically favoured low-level upslope flow (Ralph  
 387 et al., 2006).



388

389 **Figure 4:** a,b) mean sea level pressure (mslp, hPa, white contour lines), surface  
 390 **temperature (color shading, °C) and 100-m wind direction (black arrows, m/s) at 0700 UTC,**

391 **February 16, 2004 of ERA5 reanalysis and RegCM 12km respectively. c) NCEP-NOA**  
392 **Surface Analysis of pressure and fronts. The black box in (c) bounded the area represented**  
393 **in (a) and (b)**

394 The intermediate resolution (12 km) domain (Figure 3a) covers a wide area  
395 encompassing California and a large portion of the coastal Pacific Ocean, with 23 vertical  
396 levels and a parameterization for deep convection based on the Kain–Fritsch scheme  
397 (Kain, 2004). The ERA-Interim driven simulation is initialized at 0000 UTC, February 15  
398 2004 (Table 2) and lasts until 0000 UTC February 19 2004. This simulation is used as a  
399 boundary conditions for a RegCM4-NH run over a smaller area centered over northern  
400 California (Fig. 3a) at 3 km horizontal resolution, with 41 vertical levels and boundary  
401 conditions updated every 6 hours. In RegCM4-NH only the shallow convection code of  
402 the Tiedtke scheme (Tiedtke, 1996) is activated. Simulated precipitation is compared  
403 with the CHIRPS, CMORPH, TRMM, PRISM, NCEP/CPC observations (Table 3).

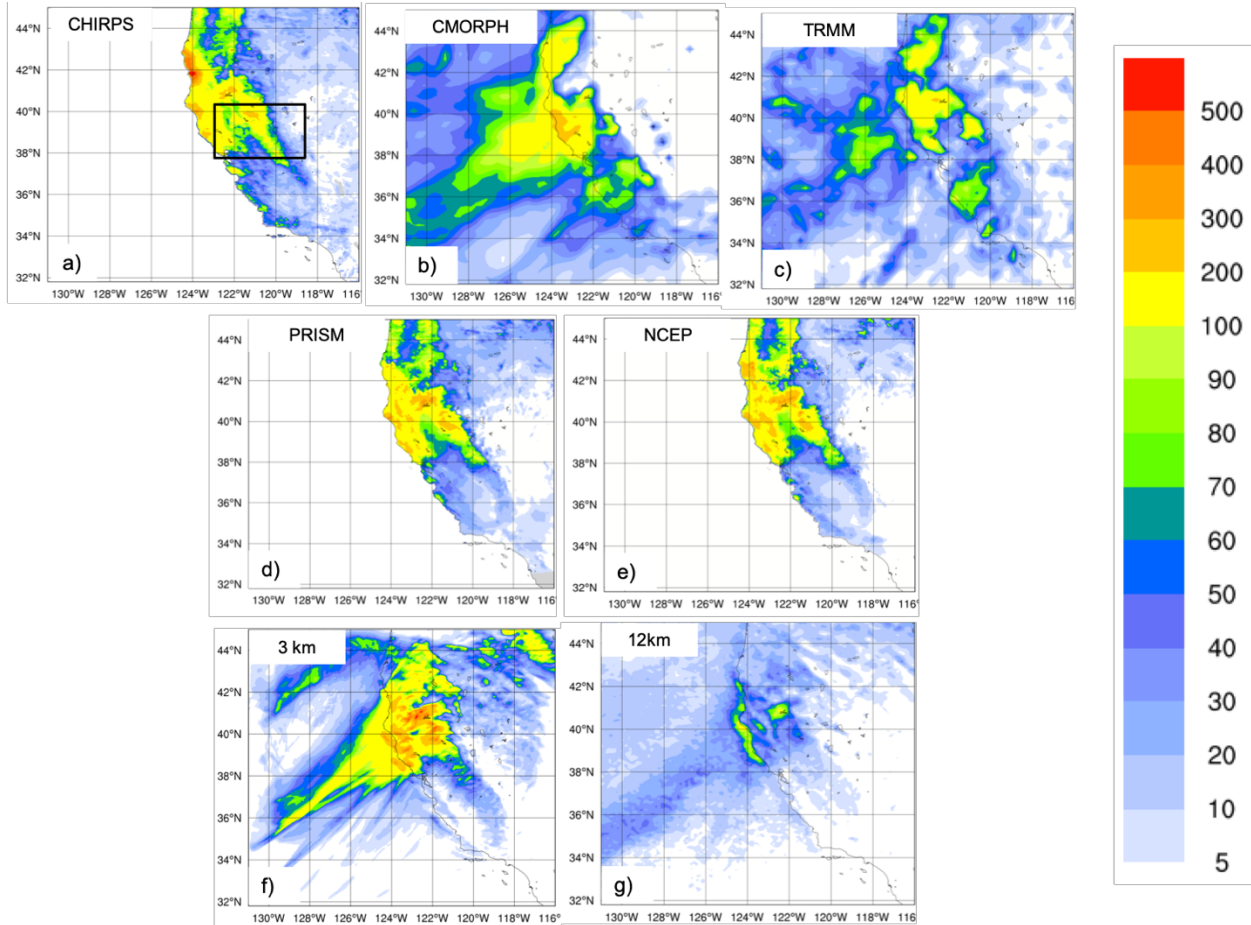
404 As shown in Figure 4 the February 16 synoptic conditions for mean sea level pressure  
405 (mslp), surface temperature and wind direction of this case study, are well reproduced by  
406 RegCM4 at 12 km (Fig. 4b) when compared to ERA5 reanalysis (Fig. 4a). The surface  
407 analysis of pressure and fronts derived from the operational weather maps prepared at  
408 the National Centers for Environmental Prediction, Hydrometeorological Prediction  
409 Center, National Weather Service  
410 ([https://www.wpc.ncep.noaa.gov/dailywxmap/index\\_20040216.html](https://www.wpc.ncep.noaa.gov/dailywxmap/index_20040216.html)) is also reported in  
411 Figure 4c.

412 The available observed precipitation datasets show similar patterns for the total  
413 accumulated precipitation (Figure 5), in particular CHIRPS (Figure 5a), PRISM (Figure  
414 5d) and NCEP (Figure 5e) exhibit similar spatial details and magnitudes of extremes.  
415 CHIRPS shows a maximum around 42°N which is not found in the other datasets.  
416 CMORPH (Figure 5b) and TRMM (Figure 5c) show lower precipitation maxima and lesser  
417 spatial details due to their lower resolution, indicating that the performance of satellite-  
418 based products may be insufficient as a stand alone product to validate the model for this  
419 case.

420 The largest observed maxima are placed on the terrain peaks, with extreme rainfall  
421 greater than 250 mm in 60 hours over the coastal mountains and between 100 – 175 mm  
422 elsewhere (Fig. 5). The black box in Fig 5a shows the area of the Russian River  
423 watershed where the largest rainfall rates were detected (269 mm and 124 mm in 60-h  
424 accumulated rainfall between 0000 UTC February 16 and 1200 UTC February 18, 2004,  
425 respectively) (Ralph et al., 2006).

426 The convection-permitting simulation captures the basic features of the observed  
427 precipitation, both in terms of spatial distribution (Fig. 5f) and of temporal evolution of  
428 rainfall (Fig. 6a). However, it shows higher precipitation rates than observed over the sea  
429 and over the mountain chains, with lower intensities than observed in the south-east part  
430 of the mountain chain (Fig. 5). The 12-km simulation instead severely underestimates the  
431 magnitude of the event (Fig. 5g).

432 Figure 6a shows the 6-hourly accumulated precipitation averaged over the black box in  
433 Figure 5a. The 3 km and 12 km simulations capture the onset of the event, but the peak  
434 intensity is strongly underestimated by the 12 km run, while it is well simulated by the 3  
435 km run, although the secondary maximum is overestimated. These results demonstrate  
436 that only the high resolution convection-permitting model is able to captures this extreme  
437 event, and that parameterized convection has severe limits in this regard (Done et al.  
438 2004; Lean et al. 2008; Weisman et al. 2008; Weusthoff et al. 2010; Schwartz 2014; Clark  
439 et al. 2016).



440

441 **Figure 5 : Total accumulated precipitation (mm) during the California case: CHIRPS (a),**  
 442 **CMORPH (b), TRMM (c) observations, PRISM (d) and NCEP Reanalysis (e) and convection-**  
 443 **permitting simulation with RegCM4-NH at 3km (f) and RegCM4 at 12km (g). The black box**  
 444 **denotes the area where the spatial average of 6-hourly accumulated precipitation is**  
 445 **calculated for Figure 6a.**

446

447

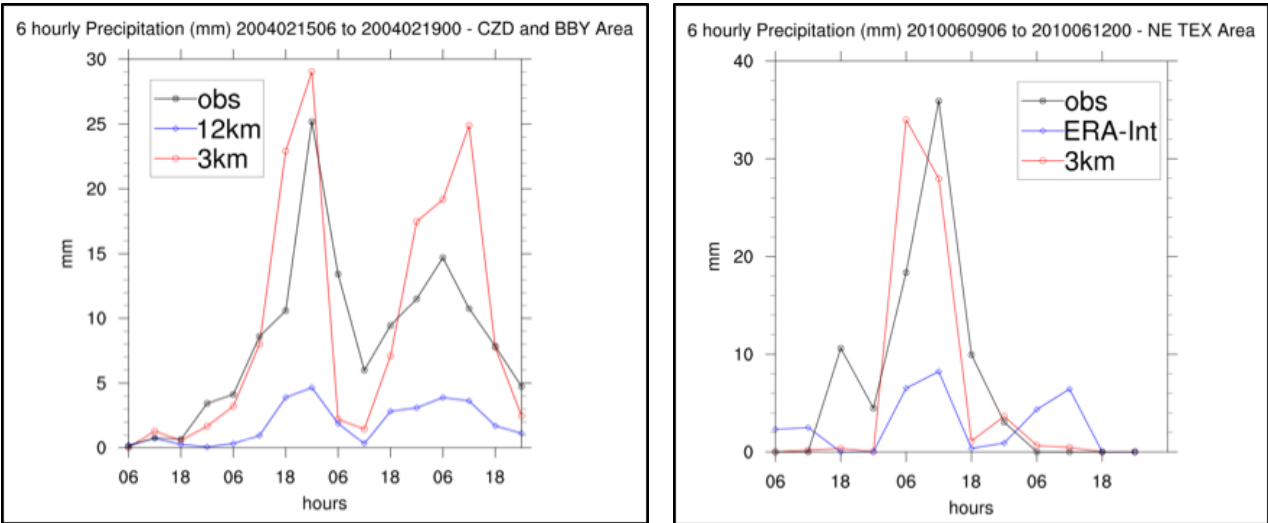
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449

450

CAL (a)

TEX (b)



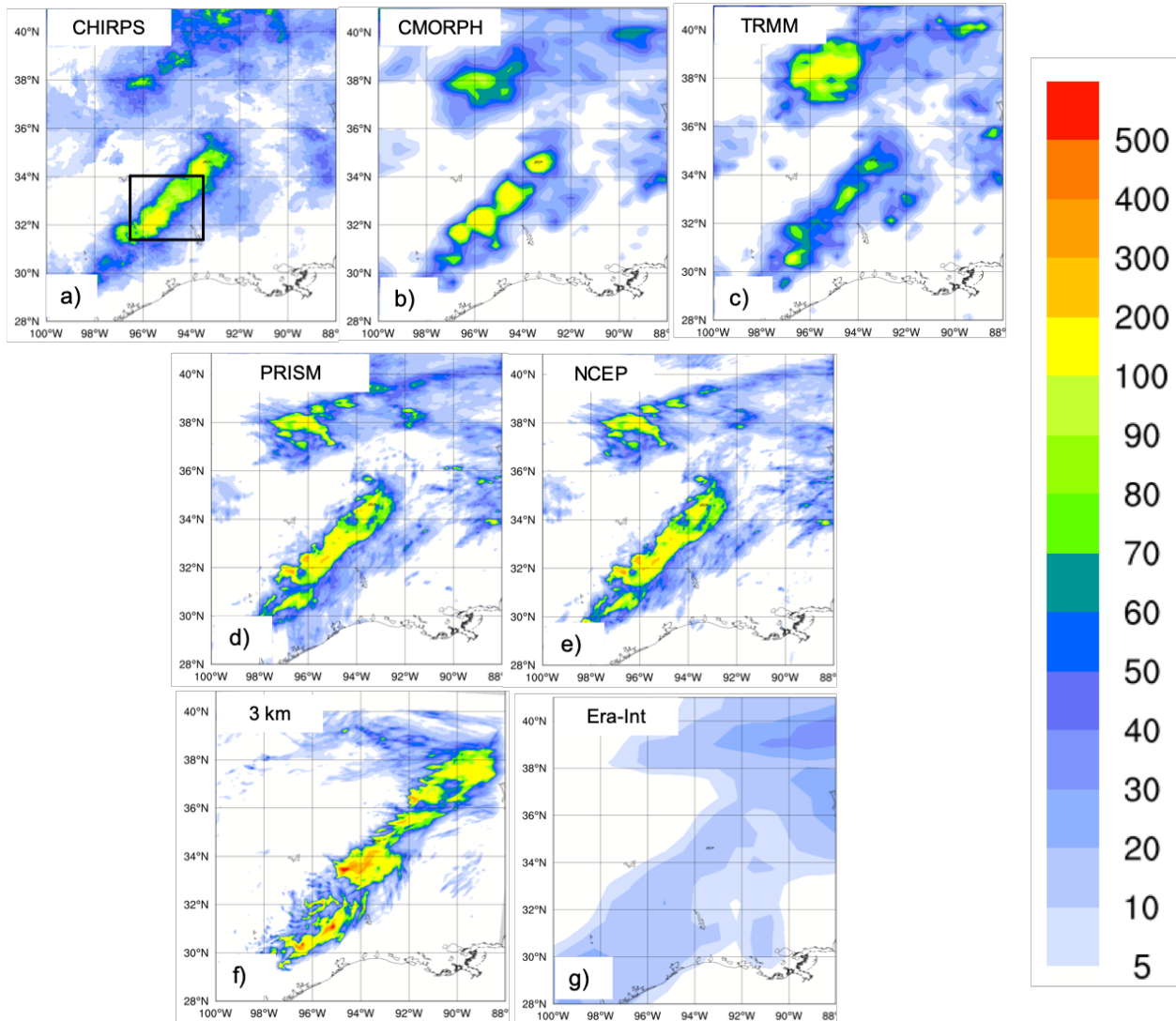
451 **Figure 6: Time series of the 6 hourly accumulated precipitation (in mm on the y-axis) during**  
452 **the CAL event (a) and during the TEX event (b). The blue lines show RegCM4 12 Km and**  
453 **ERA interim 6 hourly accumulated precipitation averaged over the areas indicated by the**  
454 **black squares in Figures 5 and 7 while the red line shows the 6 hourly accumulated**  
455 **precipitation simulated by RegCM4-NH. The observations are shown with a black line.**

456

## 457 Texas

458 Case 2, hereafter referred to as TEX (Table 2), is a convective precipitation episode  
459 exhibiting characteristics of the “Maya Express” flood events, linking tropical moisture  
460 plumes from the Caribbean and Gulf of Mexico to midlatitude flooding over the central  
461 United States (Higgins 2011). During the TEX event, an upper-level cutoff low over  
462 northeastern Texas, embedded within a synoptic-scale ridge, moved slowly  
463 northeastward. Strong low-level flow and moisture transport from the western Gulf of  
464 Mexico progressed northward across eastern Texas. The event was characterized by  
465 low-level moisture convergence, weak upper-level flow, weak vertical wind shear, and  
466 relatively cold air (center of cutoff low), which favored the slow-moving convective storms  
467 and nearly stationary thunderstorm outflow boundaries. The main flooding event in

468 eastern Texas occurred on June 10, 2010, with a daily maximum rainfall of 216.4 mm for  
469 the region in the black box of Figure 7a (Higgins 2011).



470  
471 **Figure 7: Total accumulated precipitation (mm) during the Texas case: CHIRPS (a),**  
472 **CMORPH (b), TRMM (c), PRISM (d), NCEP Reanalysis (e) and convection-permitting**  
473 **simulation with RegCM4-NH at 3 km grid spacing (f) and ERA-Interim (g). The black box (a)**  
474 **shows the area where the spatial average of 6-hourly accumulated precipitation was**  
475 **calculated for Figure 6b**

476 As for the California case, the observed precipitation datasets show coherent patterns for  
477 the total accumulated precipitation (Figure 7), with the highest values related to the  
478 mesoscale convective system in eastern Texas (~ 200 mm), and another smaller area of



479 high precipitation more to the north, approximately over Oklahoma. PRISM (Figure  
480 7d) and NCEP (Figure 7e) capture similar spatial details and magnitudes of extremes,  
481 CHIRPS (Figure 7a) has lower precipitation extremes in the north compared to the other  
482 datasets, while CMORPH (Figure 7b) and TRMM (Figure 7c) show the lowest  
483 precipitation extremes and reduced spatial details as already noted for the California  
484 case.

485 Figure 7f and Figure 7g present precipitation as produced by the RegCM4-NH and the  
486 ERA-Interim reanalysis (driving data) respectively. ERA-Interim reproduces some of the  
487 observed features of precipitation, but with a substantial underestimation over the areas  
488 of maximum precipitation because of its coarse resolution. By comparison, the RegCM4-  
489 NH simulation (Fig. 7f) shows an improvement in both pattern and intensity of  
490 precipitation, and is substantially closer to observations over eastern Texas. However,  
491 the precipitation area is slightly overestimated and the model is not capable of  
492 reproducing the small region of maximum precipitation in the north.

493  
494 The time series of precipitation over eastern Texas from June 9 to 12, 2010 for  
495 observations (black line), ERA-Interim (blue line) and RegCM4-NH (red line) are reported  
496 in figure 6b. Precipitation increases over this region from 0000 UTC until it reaches the  
497 observed maximum at 1200 UTC, on June 10 (~35 mm), gradually decreasing afterwards  
498 until 0600 UTC, on June 11. The RegCM4-NH simulation shows a more realistic temporal  
499 evolution than the ERA-Interim, which exhibits an overall underestimation of precipitation.  
500 The non-hydrostatic model produces precipitation values closer to the observations,  
501 however the simulated maximum is reached 6 hours earlier than observed.

502

503

## 504 **Lake Victoria**

505 Case 3 focuses on Lake Victoria (LKV), with the purpose of testing RegCM4-NH on a  
506 complex and challenging region in terms of convective rainfall. It is estimated that each  
507 year 3,000-5,000 fishermen perish on the lake due to nightly storms (Red Cross, 2014).  
508 In the Lake Victoria basin, the diurnal cycle of convection is strongly influenced by  
509 lake/land breezes driven by the thermal gradient between the lake surface and the

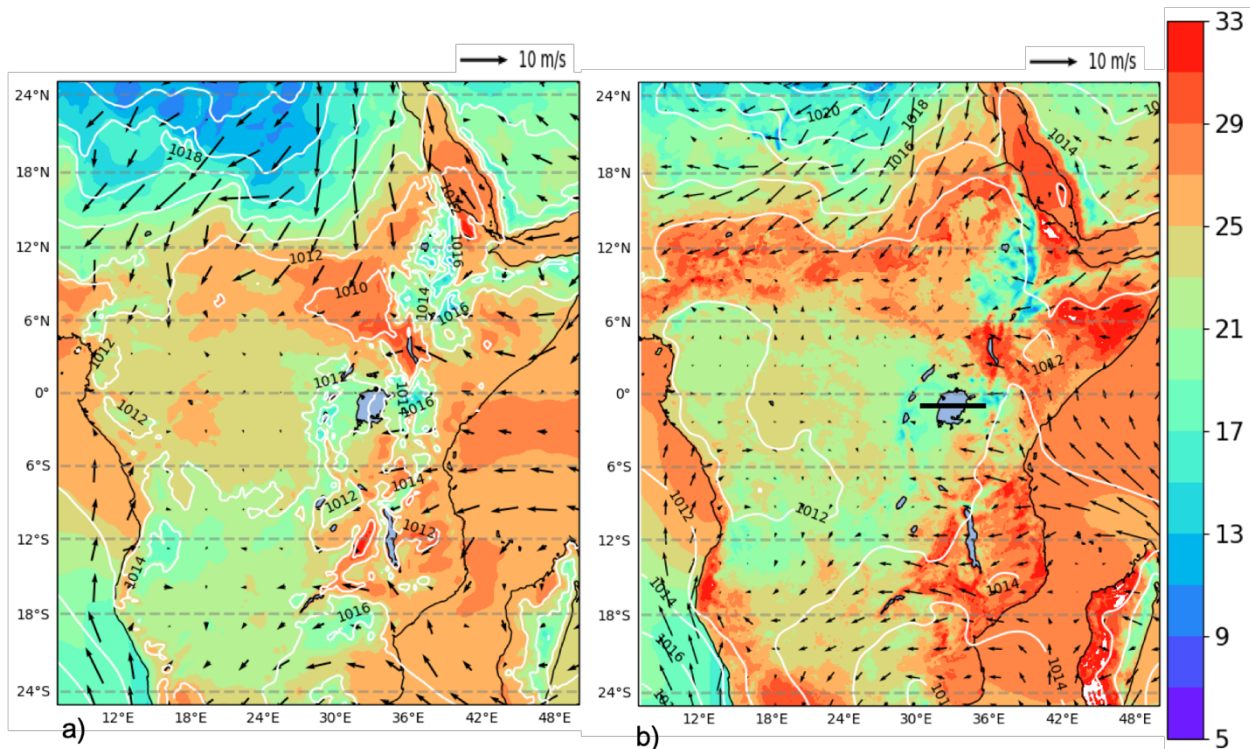
510 surrounding land. As the land warms during the course of the day, a lake breeze is  
511 generated which flows from the relatively cooler water towards the warmer land surface.  
512 The circulation is effectively reversed at night, when the land surface becomes cooler  
513 than the lake surface, leading to convergence over the lake and associated thermal  
514 instability.

515 In the LKV region, prevailing winds are generally easterly most of the year with some  
516 variability due to the movement of the ITCZ. The local diurnal circulation created by the  
517 presence of the lake creates two diurnal rainfall maxima. During daylight hours, when the  
518 lake breeze begins to advance inland, convergence is maximized on the eastern coast of  
519 the lake as the lake breeze interacts with the prevailing easterlies. Studies have also  
520 noted the importance of downslope katabatic winds along the mountains to the east of  
521 the lake in facilitating convergence along the eastern coastal regions (Anyah et al. 2006).  
522 This creates a maximum in rainfall and convection on the eastern coast of LKV.  
523 Conversely, during nighttime hours, when the local lake circulation switches to flow from  
524 the land towards the lake, the prevailing easterlies create locally strong easterly flow  
525 across the lake and an associated maximum in convergence and rainfall on the western  
526 side of LKV.

527 The LKV simulation starts on November 25, 1999 and extends to the beginning of  
528 December 1999 (Table 2), covering a 5-day period which falls within the short-rain season  
529 of East Africa. The choice of 1999, an ENSO neutral year, was made in order to focus the  
530 analysis on local effects, such as the diurnal convection cycle in response to the lake/land  
531 breeze, with no influence of anomalous large scale conditions. A 1-dimensional lake  
532 model (Hostetler et al. 1993; Bennington et al. 2014) interactively coupled to RegCM4-  
533 NH was utilized to calculate the lake surface temperature (LST), since lake-atmosphere  
534 coupling has been shown to be important for LKV (Sun et al. 2015; Song et al. 2004).  
535 This coupled lake model has been already used for other lakes, including Lake Malawi in  
536 southern Africa (Diallo et al. 2018). As with the other experiments, the boundary  
537 conditions are provided by a corresponding 12 km RegCM4 simulation employing the  
538 convection scheme of Tiedtke (1996).

539 At the beginning of the simulation, the LST over the lake is uniformly set to 26°C, and is  
540 then allowed to evolve according to the lake-atmosphere coupling. This initial LST value  
541 is based on previous studies. For example, Talling (1969) finds Lake Victoria surface  
542 temperatures ranging from 24.5-26°C during the course of the year. Several studies have  
543 used RCMs to investigate the Lake Victoria climate (Anyah et al., 2006; Anyah and  
544 Semazzi 2009, Sun et al. 2015), and found a significant relationship between lake  
545 temperature and rainfall depending on season. The value of 26°C is typical of the winter  
546 season and was chosen based on preliminary sensitivity tests using different values of  
547 initial temperature ranging from 24°C to 26°C.

548 The synoptic feature favorable for the production of precipitation over the LKV in this  
549 period corresponds to a large area of southeasterly flow from the Indian Ocean (Fig. 8a),  
550 which brings low-level warm moist air into the LKV region facilitating the production of  
551 convective instability and precipitation. This synoptic situation, with a low-level south-  
552 easterly jet off the Indian Ocean, is a common feature associated with high precipitation  
553 in the area (Anyah et al. 2006), and can be seen in ERA5 data (Figure 8a). Although  
554 some bias in terms of magnitude, this is reasonably well reproduced by the 12 km  
555 simulation (Figure 8b).



556

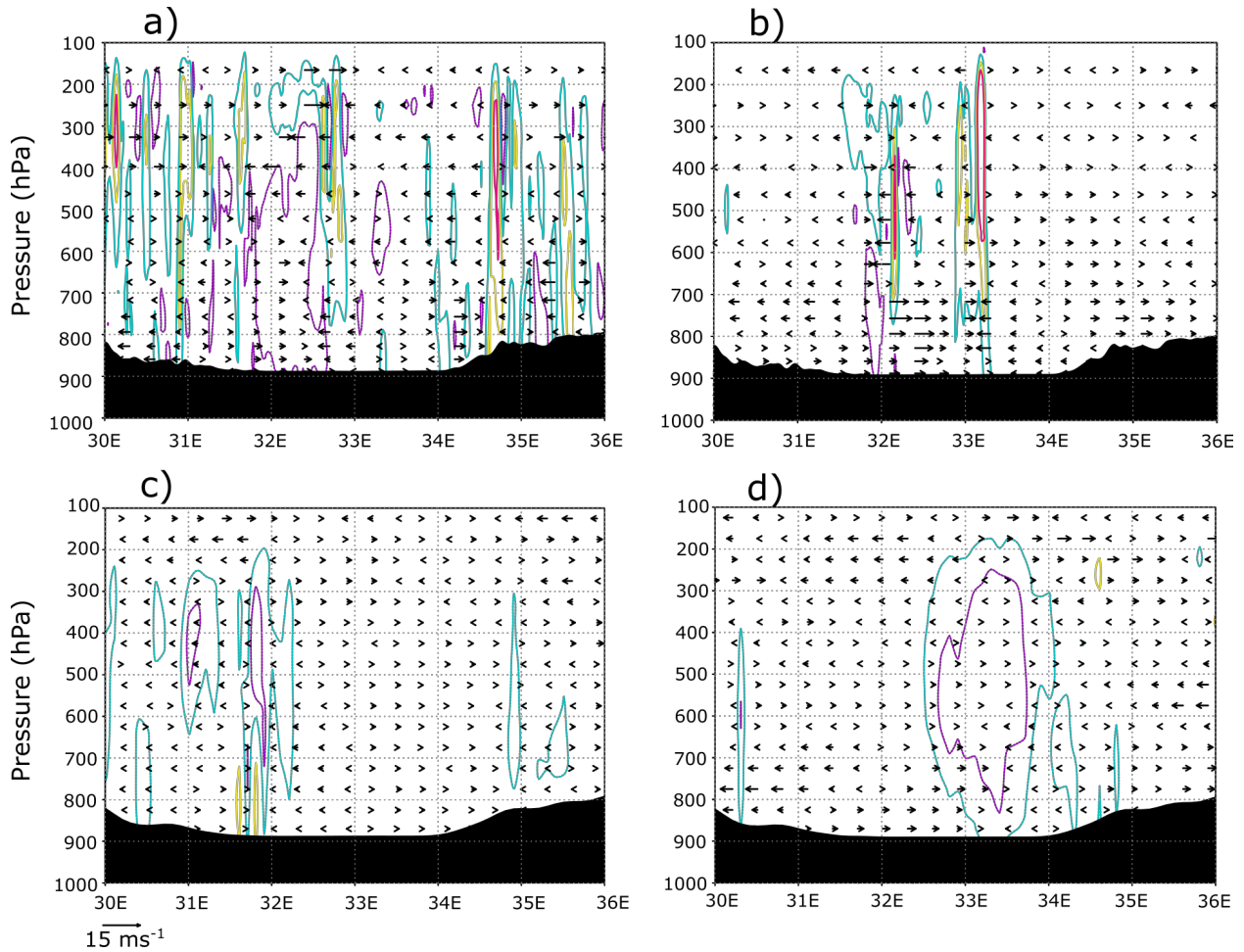
557 **Figure 8: Mean sea level pressure (mslp) (hPa) (white contour lines), surface temperature**  
 558 **(color shading) (°C) and 100-m wind (black arrows) averaged over the period 25 November**  
 559 **0000 UTC - 1 December 0000 UTC, by ERA5 reanalysis (a) and RegCM 12km (b). The black**  
 560 **line (b) shows the cross-section position represented in Fig. 9**

561 The LKV region dynamics are quite distinct between nighttime and daytime and the  
 562 rainfall in and around the lake has a pronounced diurnal cycle. To understand this strong  
 563 diurnal cycle, Figure 9 shows a cross-section through the lake (32E to 34E, black line in  
 564 right panel of Fig. 8b) along 1°S latitude at a period during strong nighttime (Fig. 9b,d;  
 565 0600Z November 30) and daytime convection (Figure 9a,c; 12Z November 29). Wind  
 566 vectors in Figure 9 show the zonal-wind anomaly across 0°-2°S to highlight the  
 567 circulations associated with LKV. During the day, surface heating around the lake leads  
 568 to a temperature difference between the land and lake sufficient to generate a lake  
 569 breeze, which causes divergence over the lake, while over the highlands to the east the  
 570 environment is more conducive to convection where convergence is focused (9a,c).  
 571 Conversely, during the night, a land breeze circulation is generated, which induces  
 572 convergence and convection over the lake (Figure 9b,d). In Figure 10, the evolution of

573 the nighttime land breeze is illustrated with cooler temperature anomalies propagating  
574 westward onto the lake during the night.

575 Comparing the 3 km simulation to the 12 km forcing run, we find that the localized  
576 circulations created by local forcings (i.e. convection) are much stronger in the convection  
577 permitting resolution experiment. We also find stronger and more localized areas of  
578 convective updrafts compared to the 12 km simulation (Figure 9c,d; omega is shown  
579 instead of vertical velocity here because of the difference in dynamical core). As an  
580 example during the nighttime event (Figure 9b,d) there is a broad area of upward motion  
581 over the lake and the associated broad convergence in the 12km simulation, while in the  
582 convection permitting 3km simulation, convection is much more local and concentrated  
583 over the western part of the lake. Indeed, nighttime rainfall tends to be concentrated over  
584 the western part of the lake ( Sun et al. 2015; Figure 11a-d). Stronger convection  
585 simulated in the 3 km experiment could also be tied to stronger temperature anomalies  
586 shown over the lake and land and between day and night relative to the 12km simulation  
587 (Figure 10). The 3km simulation also shows a more pronounced land breeze propagation  
588 at night compared to the 12km simulation.

589 This demonstrates that the 3km simulation is better equipped to simulate the localized  
590 circulations associated with this complex land-lake system.

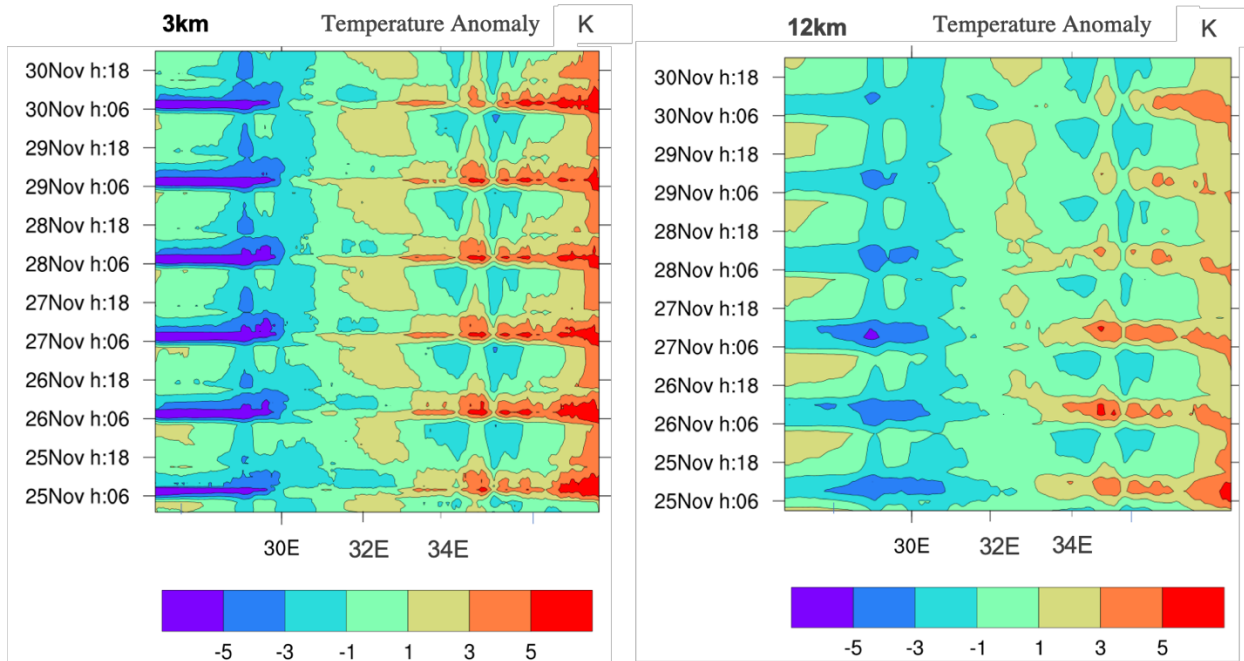


591

592

593 **Figure 9. Cross-section through 1°S (black line in Fig. 8b) of the zonal-wind anomaly (0°-**  
 594 **2°S) vectors and the mean contoured vertical velocity (m/s) over 0°-2°S at a) 12Z 29**  
 595 **November and b) 6Z 30 November from the 3km simulation. Purple dashed contours**  
 596 **indicate -0.1 m/s, light blue contours indicate 0.1 m/s, yellow contours indicate 0.3 m/s,**  
 597 **and red contours indicate 0.5 m/s. Lake Victoria encompasses about 32°E to 34°E. The**  
 598 **bottom 2 panels show the same as in a) and b) but from the 12km simulation at c) 12Z 29**  
 599 **November and d) 6Z 30 November. Purple dashed contours indicate -0.01 hPa/s, light blue**  
 600 **dashed contours indicate -0.005 hPa/s, and yellow dashed contours indicate 0.005 hPa/s.**

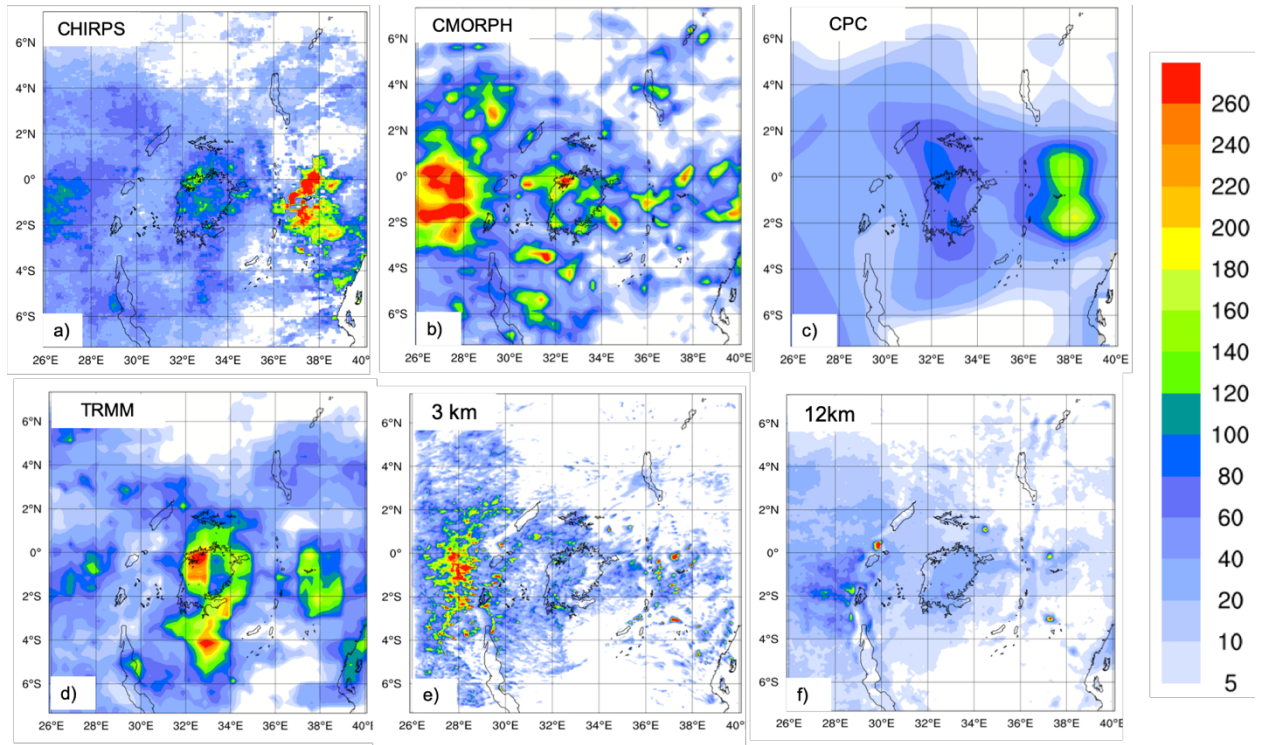
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602

603 **Figure 10 : Longitude-time (hourly) Hovmöller diagram of LKV domain surface temperature**  
 604 **anomaly (shading, in K). Panels correspond to the 3km simulation (left) and 12km**  
 605 **simulation (right). The lake Victoria is between 32°E and 34°E longitude**

606



607

608 **Figure 11: Total event accumulated precipitation (mm) during the LKV case (November 25,**  
 609 **1999-December 1, 1999) measured by CHIRPS (a), CMORPH (b), CPC (d) TRMM (e) and**  
 610 **calculated by RegCM4 at 3 km (e) and 12 km (f).**

611

612 Figure 11 reports the total accumulated precipitation observed and simulated for the LKV  
 613 case. TRMM (Figure 11d) and CPC (Figure 11c) show a similar pattern, with two-rainfall  
 614 maxima of different intensities over the southeastern and northwestern lake areas.  
 615 CMORPH (Figure 11b) shows a western rainfall maximum similar to TRMM and one large  
 616 rainfall area almost entirely centered over the highlands to the west of the lake.  
 617 Conversely in CHIRPS (Figure 11a) a maximum is found to the east of the lake while  
 618 several localized maxima occur over the lake. The differences among the observed  
 619 datasets highlight the issue of observational uncertainty and the need to take into  
 620 consideration shortcomings associated with the types of observational datasets  
 621 considered. Different datasets can have significantly different climatologies, especially in  
 622 areas of low data availability. For example, Prein and Gobiet (2017) analyzed two gauge-  
 623 based European-wide datasets, and seven global low-resolution datasets, and found



624 large differences across the observation products, often of similar magnitude as the  
625 difference among model simulations. In this case and for this area the observation  
626 uncertainty plays a big role especially at high resolution, and highlights the need for an  
627 adequate observational network for model validation. However, despite the large  
628 uncertainty among the different observed datasets (Figure 11 a-d), we find a significant  
629 underestimation of the precipitation by the 12 km run over the lake independently of the  
630 dataset used as a reference (Figure 11f). In contrast, the 3 km simulation (Figure 11e)  
631 shows substantially greater detail, with rainfall patterns more in agreement with the  
632 CMORPH data. In particular, the 3 km simulation reproduces well the local rainfall  
633 maxima on the western side of the lake, although these appear more localized and with  
634 a multi-cell structure compared to CMORPH and TRMM. Additionally, the 12 km  
635 simulation underestimates the observed heavy rainfall totals in the highlands to the west  
636 of the lake region especially when compared to CMORPH, which are instead reproduced  
637 by the 3 km simulation.

638 This last test case demonstrates the ability of RegCM4-NH in simulating realistic  
639 convective activity over a such morphologically complex region, which is a significant  
640 improvement compared to the hydrostatic-coarse resolution model configuration.

641

## 642 **Conclusions and future outlook**

643

644 In this paper we have described the development of RegCM4-NH, a non hydrostatic  
645 version of the regional model system RegCM4, which was completed in response to the  
646 need of moving to simulations at convection-permitting resolutions of a few kilometers.  
647 The non-hydrostatic dynamical core of MM5 has been incorporated into the RegCM4  
648 system previously based on the MM5 hydrostatic core. Some modifications to the MM5  
649 dynamical core were also implemented to increase the model stability for long term runs.  
650 RegCM4-NH also includes two explicit cloud microphysics schemes needed to explicitly  
651 describe convection and cloud processes in the absence of the use of cumulus  
652 convection schemes. Finally, we presented a few case studies of explosive convection to

653 illustrate how the model provides realistic results in different settings and general  
654 improvements compared to the coarser resolution hydrostatic version of RegCM4 for  
655 such types of events.

656  
657 As already mentioned, RegCM4-NH is currently being used for different projects, and  
658 within these contexts, is being run at grid spacings of a few kilometers for continuous  
659 decadal simulations, driven by reanalyses of observations or GCM boundary conditions  
660 (with the use of an intermediate resolution domains) over different regions, such as the  
661 Alps, the Eastern Mediterranean, Central-Eastern Europe and the Caribbeans. These  
662 projects, involving multi-model inter-comparisons, indicate that the performance of  
663 RegCM4-NH is generally in line with that of other convection-permitting models, and  
664 exhibits similar improvements compared to coarser resolution models, such as a better  
665 simulation of the precipitation diurnal cycle and of extremes at hourly to daily time scales.  
666 The results obtained within the multi-model context confirm previous results from single-  
667 model studies (Kendon et al. 2012, 2017, Ban et al. 2014, 2015; Prein et al. 2015, 2017),  
668 but also strengthen the robustness of the findings through reduced uncertainty compared  
669 to coarse resolution counterpart (Ban et al., 2021, Pichelli et al., 2021). The convection-  
670 permitting scale can thus open the perspective of more robust projections of future  
671 changes of precipitation, especially over sub-daily time scales.

672  
673 One of the problems of the RegCM4-NH dynamical core is that, especially for long runs  
674 with varied meteorological conditions, a relatively short time step is needed for stability  
675 reasons. This makes the model rather computationally demanding, although not more  
676 than other convection-permitting modeling systems such as the Weather Research and  
677 Forecast model (WRF, Skamarok et al. 2008). For this reason, we are currently  
678 incorporating within the RegCM system a very different and more computationally efficient  
679 non-hydrostatic dynamical core, which will provide the basis for the next version of the  
680 model, RegCM5, to be released in the future.

681  
682 Following the philosophy of the RegCM modeling system, RegCM4-NH is intended to be  
683 a public, free, open source community resource for external model users. The non-

684 hydrostatic dynamical core has been implemented in a way that it can be activated in  
685 place of the hydrostatic dynamics through a user-set switch, which makes the use of  
686 RegCM4-NH particularly simple and flexible. We therefore envision that the model will be  
687 increasingly used by a broad community so that a better understanding can be achieved  
688 of its behavior, advantages and limitations.

689

690 **Code availability:** <https://zenodo.org/record/4603556>

691 **Cases study configuration files:** <https://zenodo.org/record/5106399>

692

693

694 **Author contribution:** CE prepared the manuscript with contributions from all co-authors  
695 and coordinated research, SP, TA, GR carried out and analysed the simulations, PE  
696 investigated solutions to stabilize/adapt the model at the km-scale and performed  
697 preliminary validation tests, GG developed/adapted the model code, FDS contributed to  
698 develop the coupled version of the model, NR developed one of the microphysics  
699 scheme, GF supervised and coordinated all activities.

700

701 **Competing interests:** The authors declare that they have no conflict of interest.

702

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