

Response to referee comments on “A machine learning-guided adaptive algorithm to reduce the computational cost of atmospheric chemistry in Earth System models: application to GEOS-Chem versions 12.0.0 and v12.9.1”

We thank the referees for their careful reading of the manuscript and the valuable comments. This document is organized as follows: the Referee’s comments are in *italic*, our responses are in plain text, and all the revisions in the manuscript are shown in blue. **Boldface blue text** denotes text written in direct response to the Referee’s comments. **The line numbers in this document refer to the updated manuscript with tracked changes.**

Referee 1

The computational cost of atmospheric chemistry with atmospheric chemistry transport or an Earth System Models is large and methods to reduce these costs are so useful. The authors have over the years presented a series of papers (Santillana et al., 2010; Santillana et al., 2016; Shen et al., 2020) that attempt to reduce this computational burden by means of (simplifying here) separating the chemistry into fast species for which the differential equations need to be explicitly solved and slow species which can be solved analytically. The complexity of the approach has increased over the years and this paper represents the current incarnation of the methodology.

These technical advances in the numerical methods for atmospheric chemistry transport model (and more generally for geophysical models) are not seen as being sexy science, however, they are essential if these models are to be useful for the wider community. I am thus supportive of this paper and would suggest publication after some clarifications and corrections suggested below.

Major comments.

The figures from the supplementary material should be included in the main text of the paper. For me, it is hard to understand some of the more complex mathematical aspects of the paper without a diagram to support it (e.g. S1 etc). I don’t really see why all of the figures from the supplementary material can’t be included in the main text. They are not particularly repetitive and I think it would be useful to the reader to see them all.

Response. Thanks. We have moved Text S1, Figure S1, S2 and S6 to the main text.

It would be useful at the end of the introduction to provide a context for what is coming up in the rest of the paper. The lines around 185 provide this commentary about what has been done in the past, the problems associated with those and the method of addressing those which is discussed in the rest of the paper. This would help to contextualize Section 2 which seems like a rather remote set of definitions at the moment. In a few places, the paper feels like it is rather disjointed with one section not necessarily rolling into the next with much cohesion. Perhaps a re-read and a re-think of some of the structure would be beneficial for much of the paper.

Response. Thanks. We have added a new paragraph at the end of the introduction to discuss what we have done in previous work and what to do next.

Line 69. In this work, we continue developing the adaptive method described by Shen et al. (2020). This method pre-assembles a small number of subsets of the full chemical mechanism representing the range of conditions in the troposphere and stratosphere, and selects the most appropriate submechanism to use in the model locally and on the fly. The submechanisms are constructed by first splitting the full mechanism’s atmospheric species into N different blocks based on similarity of chemical behaviors, using a machine

learning clustering method. We then define the submechanisms as different assemblages of blocks, select M of these assemblages to encompass the majority of chemical conditions in the atmosphere, and build them into the model. The choice of submechanism in the model is then made locally by computing chemical production and loss rates of the mechanism species and deciding which need to be part of the coupled chemical computation ('fast' species) and which can be tracked independently ('slow' species). A major development here is to define chemically coherent blocks that allow the method to easily accommodate changes in the chemical mechanism and to be readily applied to different mechanisms. We further improve the performance of the method by reducing the number of reactions as well as the number of species in the submechanisms.

The available code is included in a RAR format. This doesn't seem to decompress on my Mac. Can we have the data in a standard zip format?

Response. It can be decompressed after you remove .rar. I have clarified this in README in the same directory. Thanks.

Minor Comments.

Line 60. This sounds like the end of an abstract rather than an introduction. At this point, the methodology hasn't been explained or tested so how can it be called chemically coherent, accurate or

Response. Thanks. We now rewrite this sentence.

Line 77. A major development here is to define chemically coherent blocks that allow the method to easily accommodate changes in the chemical mechanism and to be readily applied to different mechanisms. We further improve the performance of the method by reducing the number of reactions as well as the number of species in the submechanisms.

Line 87. Can the value of 10 molecules $\text{cm}^{-3} \text{s}^{-1}$ be put into some context? Why was this chosen? Which reactions fall into this category?

Response. Thanks. Now we say

Line 192. In each submechanism, **if a reaction is slower than 10 molecules $\text{cm}^{-3} \text{s}^{-1}$ over all gridboxes that select this submechanism, this reaction is considered as unimportant in contributing to the threshold δ and is removed from the submechanism; but this reaction will be kept if it is faster than 10 molecules $\text{cm}^{-3} \text{s}^{-1}$ in any of these gridboxes selecting this submechanism. The threshold we used to separate fast and slow reactions is slightly larger than 0 molecules $\text{cm}^{-3} \text{s}^{-1}$ because of numerical precisions (unimportant reactions may still have a reaction rate > 0 molecules $\text{cm}^{-3} \text{s}^{-1}$ in the numerical chemical solver in some timesteps).** About 40-60% reactions can be removed using this strategy. For example, reactions of short-lived volatile organic compounds (VOCs) are removed in stratospheric gridboxes, and daytime photochemical reactions are removed in nighttime gridboxes.

Line 99. Giving the number of species (228?) in the reaction mechanism would be useful for contextualizing the 3400 other numbers?

Response. Thanks. Now we say

Line 216. For example, in the reaction $A+B \rightarrow C$, there are 2 pairs (A-C and B-C) of reactants-products, 3 vertices (A, B, and C) and 2 edges (A-C and B-C).

Line 104. " T_{ij} is the is the number of reactions that include both species i and j ." Is that as a reactant, products or either?

Response. Now we say.

Line 285. Where $T_{i,j}$ is the number of reactions that include both species i and j (one is the reactant and the other is the product),

Figure S1 should appear here to help explain the definition of $D_{i,j}$. It would be useful to give an explanation for the numbers which are obtained given the chemical mechanism linking Toluene, xylene and Glyxoyl.

Response. We now move Fig. S1 back to the main text. We have made it clear about how we calculate the numbers in the figure caption.

The methods used to calculate "distance" appears to refer to the mechanism without any chemistry occurring. In the case of $A+B \rightarrow C$ and $A+D \rightarrow C$, the "distance" between A and C doesn't care about the 2 rate constants or the concentration of the reactants. It would appear that chemically minor routes or channels are given the same weight as the dominant routes or channels. Presumably, the ideal way of working out the distance between species would be to explore the mechanism with some chemistry occurring and the weigh the distances between vertices by the flux between species or something like that? This obviously has a significant downside of being much more complex to implement and contextual (the distances would change with the chemical environment). It might be useful to describe this as being an optimal approach, but the approach taken is a simplification of this. Otherwise, it is rather hard to understand why the fluxes have not been used to represent the distance between species?

Response. Thanks for raising this good point. We indeed considered weighting the distances using the logarithms of global average chemical reaction rates. However, we don't find this will make a big difference in our results but it makes the method very complex. Now we say this in text.

Line 299. We also tried weighting the species distances using the logarithms of their global mean reactions rates but this does not have significant effects on our final results.

Line 116. It might be worth including the definition of "long-lived" and fast here.

Response. Thanks. This sentence is removed in the revision and we have defined "long-lived" and fast elsewhere.

Line 130. It would be worth pulling in the description of f from the supplementary information.

Response. Now we move Text S1 back into the manuscript.

Line 134. Bring in the figure S2 into the main body.

Response. Done, thanks.

Line 144. By only considering values greater than $1e6 \text{ cm}^{-3}$ from your statistical analysis you are not excluding many values for typically high concentration species (O_3 etc) but you will be excluding a significant fraction of the values for OH shown in Figure S6. It is not appropriate to do this for OH . A significant fraction the grid boxes will have OH concentrations less than $1e6$ (the canonical global mean value). I appreciate that there might be increased errors at lower concentrations but if a large number of the global grid boxes have lower concentrations than this, this metrics is being rather selective in the grid boxes that it is using the analyse the

model. From Figure S8 it would appear that this excludes evaluation of the model error in large chunks of the surface of the globe for OH?

Response. We now test also other lower thresholds (10^5). See Figure S5-S6 for more details.

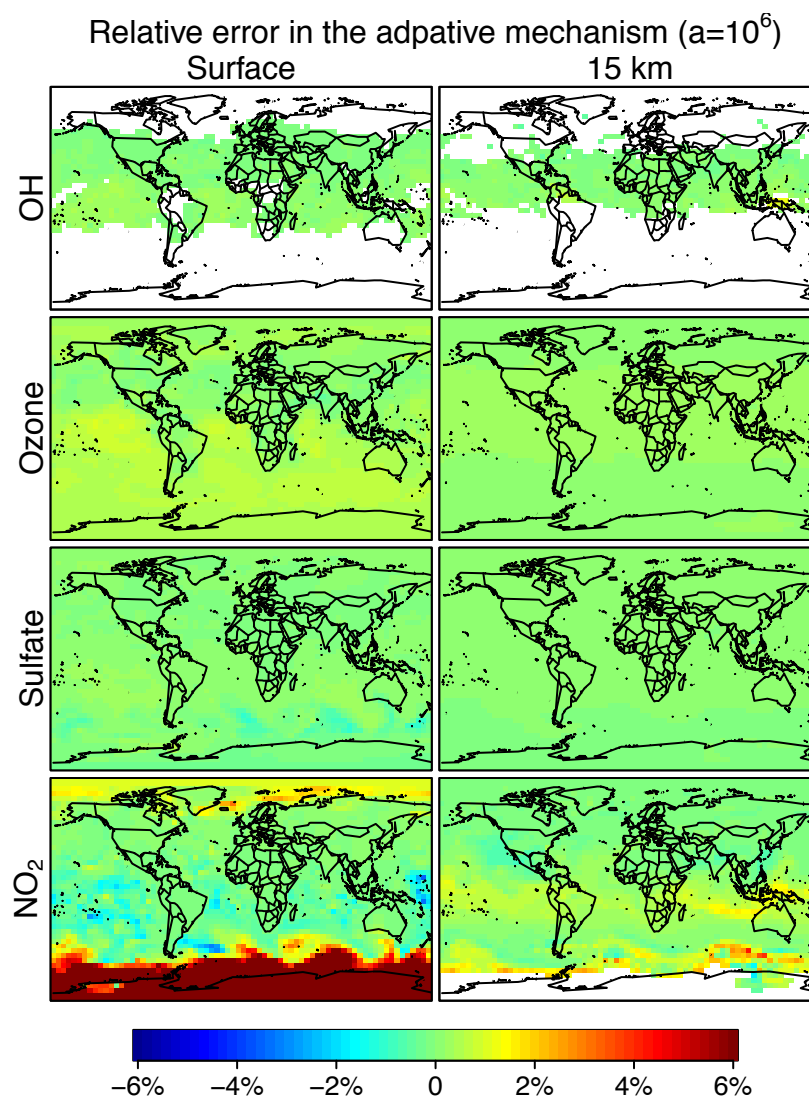


Fig. S5. Relative error from the adaptive mechanism reduction method after three years of simulation in the GEOS-Chem global 3-D model for tropospheric-stratospheric chemistry. The figure shows relative differences of 24-h average OH, ozone, sulfate and NO₂ concentrations relative to the full-chemistry simulation on the last day of the three-year simulation. The calculation removes slow (P and $L < 1500$ molecules $\text{cm}^{-3} \text{s}^{-1}$) and slow reactions (rate < 10 molecules $\text{cm}^{-3} \text{s}^{-1}$). The number of blocks (N) is 13 and the number of chemical regimes (M) is 20. We only consider gridboxes with species concentrations $\geq 10^6$ molecules cm^{-3} ; otherwise the gridboxes are shown as white.

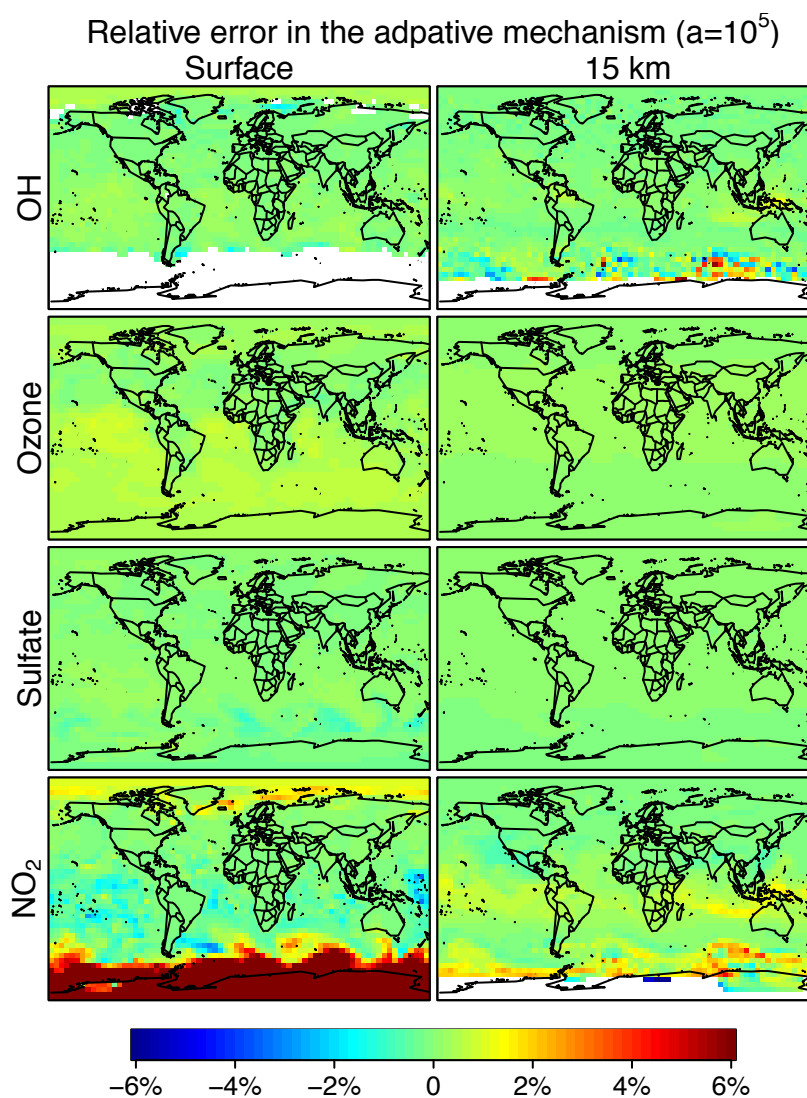


Fig. S6. Same as Fig. S5 except that we only consider gridboxes with species concentrations $\geq 10^5$ molecules cm^{-3} .

It's also not clear why the value is set at $1e7$ for NO_2 in Figure S6? Is this alternative cut off used in Figure S8? Presumably, this is the reason why the polar regions are excluded from Figure S6 for NO_2 ?

Response. Now we use the same thresholds for all species and we also test lower thresholds ($a=10^5$). See Figure S5 and S6 for more details

Line 158. Can Figure 1 include information about the definition of slow and long-lived for reader clarity otherwise they are having to flick back to find the definitions used?

Response. Done, thanks.

Line 182. "different blocks based on similarity of chemical behaviours using a machine learning clustering method." I think this is described in the supplementary material. This should be brought into the main text of the paper or a reference to where this is described included in the text.

Response. Thanks, we have moved this part back to main text.

Line 185. It would be very useful to have had the contextual information given here much earlier in the paper so the reader can understand what didn't work in the past, what the proposed solution is and how this will be implemented.

Response. We now move this to the last paragraph of the introduction part. Please check there for more details.

Line 209. I'm not sure I understand the comment that iodine reservoirs are inert? Many of them are highly photolabile. This doesn't seem to make sense?

Response. I think the more active iodine reservoirs are in Block 3. The species in Block 4 are relatively inert and they are considered as fast in only <5% of gridboxes.

Line 243. When talking about the error this is the RRME? This should probably be clarified. It would also be useful to discuss the implications of the >1e6 cm-3 limit here on the statistics. Is the NO2 value with the 1e7 cm-3 limit as indicated in the supplementary material?

Response. We use a different threshold NO₂ just for visualization in the old manuscript and we use 10⁶ molecules cm⁻³ s⁻¹ for all species now. See Figure S5-S6 for more details.

And we have clarified that whether we use the RRMSE error or the relative difference compared to the standard simulation in the text.

Now we say this in text.

Line 721. Computing the RRMSE for all species with concentrations higher than $a=1\times 10^5$ molecules cm⁻³ (instead of 1×10^6 molecules cm⁻³) shows similar results except that the magnitude of the error is higher because the relative difference is expected to be higher at low species concentrations (Fig. S6, S8, S9).

Line 245. Being able to update the chemical mechanism is an important aspect of maintaining the viability of the model in the long term. If there was a significant change to the mechanism the whole process of running the model without the chemical mechanism splitting would need to be done again? The method outlined here is for "on the fly" updates and relies upon the changes being small and the chemical intuition of the person doing the update. It would be useful to explain that the approach described here is for "patching" the mechanism etc. rather than updating the whole mechanism

Response. Thanks for pointing this out. Now we make it clear how to update our mechanism.

Line 725. Chemical mechanisms in models are frequently updated, including addition and removal of species. Because the species blocks are chemically coherent, our algorithm can accommodate mechanism updates without requiring reconstruction of the submechanisms. New species simply need to be added to the appropriate blocks. Figure S10 shows the diagram for adding new species into the mechanism. Attribution of a species to a given block can be easily determined by its chemical family and the percentage of gridboxes that treat this species as fast when averaged globally. In order not to compromise the computational efficiency, the basic rule is to not mix faster species with slower ones.

It's not clear where new "biogenic VOC" degradation products would go (blocks 7,8 or 9 etc). If an exact mechanism for working out the placement hasn't been found and new species were randomly allocated to 7,8 or 9 (or another mechanism) it would be useful to have that documented.

Response. Thanks. Now we say this in text.

Line 729. In order not to compromise the computational efficiency, the basic rule is to not mix faster species with slower ones. For example, biogenic VOC species and their products could go to Block 8-9 if the percentage of gridboxes that treat them as fast is >1% or Block 10-11 if the percentage is <1%. Our algorithm is robust to misplacements of new species, which may affect computational performance but will not enlarge the error.

Line 272. I don't think that it has been demonstrated that it can be ported to different atmospheric models "easily". Relatively minor changes to the chemical mechanism within one model were shown to be able to be incorporated without re-running the whole tuning procedure but I don't think it can be demonstrated that it is easy to move into a different model (CESM etc).

Response. Thanks, and we have deleted this sentence.

Figure 3. Could be greyed out area showing the number of grid boxes in that category be split into some subcategories: marine boundary layer, continental boundary layer, free troposphere, stratosphere etc to provide some additional information?

Response. Done.

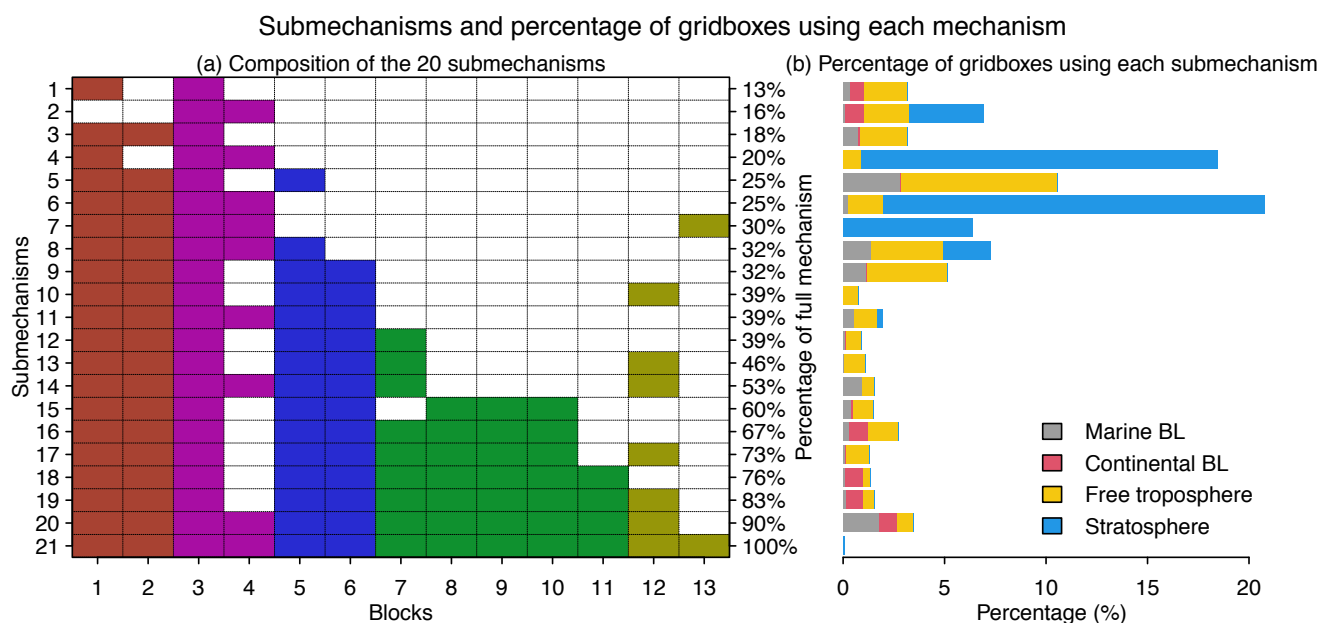


Figure 5. Submechanisms and percentage of gridboxes using each mechanism. Panel (a) shows the composition of the 20 submechanisms and full mechanism (the 21st one) as well as the percentage of species from the full mechanism that are treated as fast in each of them. Colors denote species block types as defined in Figure 4. Panel (b) shows the percentage of gridboxes using each submechanism in the marine boundary layer (BL), continental BL, free troposphere, and stratosphere.

Figure 4. This is the performance over what timescale? All of the 1-year timesteps for all grid boxes? It's not clear that the bars indicate the simulation speed up and the symbols represent the accuracy.

Response. They are based on the last day of 3-year simulations and we have made this clear in the figure caption.

Supplementary material. Much if not all of this should be in the main body of the paper.

Response. Thanks. We have moved Figure S1, S2 and S6 to the main text.

Figure S4. Is this mislabelled as “anthropogenic blocks”, think it should be biogenic blocks? How is the decision made about fast/slow when there are multiple blocks? This could be explained.

Response. Done, thanks.

Figure S5. I’m not sure that the figure actually shows the “mechanism complexity needed”? It shows the sub-mechanism at each location. I found the % fast labelling slightly confusing as it wasn’t that obvious whether the colour scale was describing the Regime or the % fast? Perhaps the % fast could just be removed for simplicity?

Response. Now we say this the caption.

Fig. S3. Chemical mechanism complexity used in the adaptive chemical mechanism in different regions of the atmosphere.

We prefer to keeping the “%fast” because it can better visualize the complexity of each chemical mechanism.

Figure S7. How do these curves look if the $<1e6\text{ cm}^{-3}$ restriction in calculating the RRMS is removed?

Response. The relative error will become very large for species with low concentrations. So here we prefer to show the curve only gridboxes with enough high species concentrations. But we do include a new figure in the supplementary by considering all gridboxes with species concentrations $>1e5\text{ cm}^{-3}$.

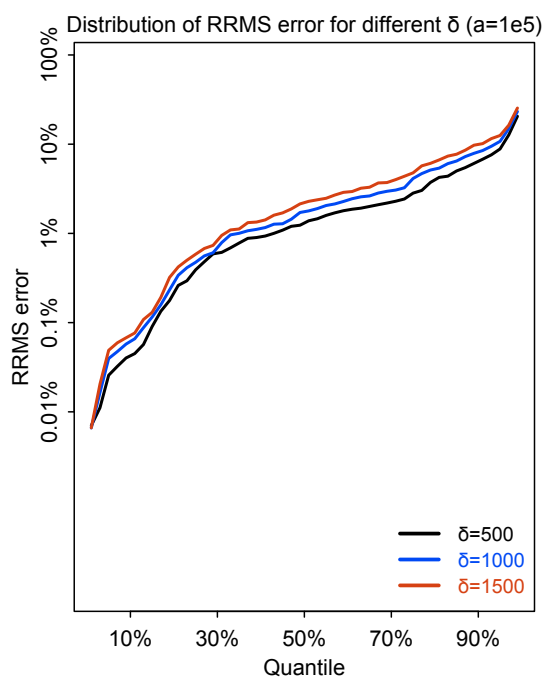


Fig. S9. Same as Fig. S4 except that we consider gridboxes with species concentrations $\geq 10^5\text{ molecules cm}^{-3}$.

Figure S8. Can the location where the RRMS has not been calculated due to the $1e6\text{ cm}^{-3}$ restriction be indicated (grey the area?).

Response. Done, please check Fig. S5 and S6 for more details.

Figure S9. What is the H / L notation indicating?

Response. Now we make this clear in the caption.

Fig. S10. 'H' ('L') means higher (lower) percentage of gridboxes that consider this species as fast.