A circulation-based performance atlas of the CMIP5 and 6 models for regional climate studies in the northern hemisphere mid-to-high latitudes

Swen Brands[1,2]

[1]MeteoGalicia, Consellería de Medio Ambiente, Territorio y Vivienda - Xunta de Galicia, Santiago de Compostela, Spain
[2]Tragsatec, Santiago de Compostela, Spain

Correspondence: Swen Brands (swen.brands@gmail.com)

Abstract. Global Climate Models are a keystone of modern climate research. In most applications relevant for decision making, they are assumed to provide a plausible range of possible future climate states. However, these models have not been originally developed to reproduce the regional-scale climate, which is where information is needed in practice. To overcome this dilemma, two general efforts have been made since their introduction in the late 1960ies. First, the models themselves have been steadily improved in terms of physical and chemical processes, parametrization schemes, resolution and ~~complexity~~implemented climate system components, giving rise to the term "Earth System Model". Second, the global models' output has been refined at the regional scale using Limited Area Models or statistical methods in what is known as dynamical or statistical *downscaling*. For both approaches, however, it is difficult to correct errors resulting from a wrong representation of the large-scale circulation in the global model. Dynamical downscaling also has a high computational demand and thus cannot be applied to all available global models in practice. On this background, there is an ongoing debate in the downscaling community on whether to thrive away from the "model democracy" paradigm towards a careful selection strategy based on the global models' capacity to reproduce key aspects of the observed climate. The present study attempts to be useful for such a selection by providing a performance assessment of the historical global model experiments from CMIP5 and 6 based on recurring regional atmospheric circulation patterns~~(Lamb, 1972; Jenkinson and Collison, 1977)~~, as defined by the Jenkinson-Collison approach. The latest model generation (CMIP6) is found to perform better on average, which can be partly explained by a moderately strong statistical relationship between performance and *horizontal* resolution in the atmosphere. A few models rank favourably over almost the *entire* northern hemisphere ~~extratropics~~mid-to-high latitudes. Internal model variability only has a small influence on the model ranks. Reanalysis uncertainty is an issue in Greenland and the surrounding seas, the southwestern United States and the Gobi desert, but is otherwise generally negligible. ~~Finally, a relatively simple approach based on the number of~~ Along the study, the prescribed and interactively simulated climate system components ~~taken into account by the GCMs is proposed as a starting point to introduce~~ are identified for each applied coupled model configuration and a simple codification system is introduced to describe model *complexity* ~~as an additional model selection criterion.~~ in this sense.

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1 Introduction

25 *General Circulation Models* (GCMs) are numerical models capable to simulate the temporal evolution of the global atmosphere or ocean. This is done by integrating the equations describing the conservation laws of physics along time as a function of varying forcing agents, starting with some initial conditions (AMS, 2020). If run in standalone mode, an Atmospheric General Circulation Model (AGCM) is coupled with an indispensable land-surface model (LSM) only, whilst the remaining components of the extended climate system (also called "realms" in the nomenclature of the Earth System Grid Federation), including ocean,

30 sea-ice and vegetation dynamics (depending on the model also atmospheric chemistry, aerosols, ocean biogeochemistry and ice-sheet dynamics) are read-in from static datasets instead of being simulated online (Gates, 1992; Eyring et al., 2016; Waliser et al., 2020). In these "atmosphere-only" experiments, the number of coupled realms is kept at a minimum in order to either isolate the sole atmospheric response to temporal variations in the aforementioned other components (Schubert et al., 2016; Brands, 2017; Deser et al., 2017) or to put all available computational resources into the proper simulation of the atmosphere,

35 e.g. by augmenting the spatial and temporal resolution (Haarsma et al., 2016). This kind of experiment is traditionally hosted by the Atmospheric Model Intercomparison Project (AMIP) (Gates, 1992).

In a *Global Climate Model*, interactions and feedbacks between the aforementioned realms are explicitly taken into account by coupling the AGCM and LSM with other component models. In the "ocean-atmosphere" configuration (AOGCM, for Atmosphere-Ocean General Circulation Model), the AGCM plus LSM are coupled with an ocean general circulation

40 model (OGCM) and a sea-ice model. Further model components representing the effects of vegetation, atmospheric chemistry, aerosols, ocean biogeochemistry and ice-sheet dynamics are then optionally included with the final aim to reach a representation of the climate system as comprehensive as possible with the current level of knowledge and available computational resources. However, due to ~~their complexity~~<ins>the vast number of nonlinearly interacting processes,</ins> coupled climate models are prone to many error sources and model uncertainties, making it difficult to directly compare the simulated climate with the

45 observed one (Watanabe et al., 2011; Yukimoto et al., 2011).

Since *coupled* model experiments are the best known approximation to the real climate system, they constitute the starting point of most climate change impact-, attribution- and mitigation studies. For use in impact studies, the coarse-resolution GCM output is usually downscaled with statistical or numerical models (Maraun et al., 2010; Jacob et al., 2014; Gutiérrez et al., 2013; San-Martín et al., 2016) or a combination thereof (Turco et al., 2011), in order to provide information on the regional to

50 local scale where it can then be used for decision making.

Now while downscaling methods are able to imprint the effects of the local climate factors on the coarse resolution GCM, the correction of errors inherited from a wrong representation of the large-scale atmospheric circulation is challenging (Prein et al., 2019). A physically consistent way to circumvent this "circulation error" is choosing a GCM (or group of GCMs) capable to realistically simulate the climatological statistics of the regional-scale circulation. This is why care-

55 ful GCM selection for long has been the subject of any careful downscaling approach applied in a climate change context ~~(Hulme et al., 1993; Mearns et al., 2003; Brands et al., 2013)~~<ins>(Hulme et al., 1993; Mearns et al., 2003; Brands et al., 2013; Fernandez-Gran</ins> However, due to the availability of many GCMs from many different groups, this idea has been partly replaced by the "model

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democracy" paradigm discussed e.g. in Knutti et al. (2017), where as many GCMs as possible are applied irrespective of their performance in present-day conditions (Jacob et al., 2014). In the recent past, the importance of careful model selection has been re-emphasized in the context of bias correction, which can be considered a special case of statistical downscaling (Maraun et al., 2017). It should be also remembered that GCMs by definition were not developed to realistically represent regional-scale climate features (Grotch and MacCracken, 1991; Palmer and Stevens, 2019) and that they have been pressed into this role during the last 3 decades due to the ever increasing demand for climate information on this scale. Hence, finding a GCM capable to reproduce the regional atmospheric circulation in a systematic way, i.e. in many regions of the world, would be anything but expected.

In the present study, a total of 128 historical runs from 56 distinct GCMs (or GCM versions) of the fifth and sixth phase of the Coupled Model Intercomparison Project (CMIP5 and 6) are evaluated in terms of their capability to represent the present-day climatology of the regional atmospheric circulation as represented by the frequency of the 27 circulation types proposed by Lamb (1972). Based on the proposal in Jones et al. (2013) that this scheme can in principle be applied within a latitudinal band from 30°N to 70°N, it is here used with a sliding coordinate system (Otero et al., 2017) running along the grid-boxes of a 2.5° latitude-longitude grid covering the entire Northern Hemisphere ~~mid-latitudes~~mid-to-high latitudes.

In Section 2 and 3, the applied data, methods and software are described. In Section 4, the results of an *overall* model performance analysis including all 27 circulation types are presented. First, those regions are identified where reanalysis uncertainty might compromise the results of any GCM performance assessment based on a single reanalysis. Then, an atlas of *overall* model performance is provided for each participating model (Sections 4.1 to 4.8). The present article file focusses on the evaluation w.r.t. ERA-Interim, complemented by pointing out deviations from the evaluation w.r.t. JRA-55 in the 3 relevant regions in the running text. The *full* atlas of the evaluation against JRA-55 is provided in the supplementary material to this study (see "figs-refjra55" folder therein). In Section 4.9, the atlas is summarized, associations between the models' performance and their resolution in the atmosphere an ocean are drawn, and the role of *internal* model variability is assessed with 72 additional historical runs from a subgroup of 13 models. Finally, the results of a *specific* model performance evaluation for each circulation type are provided in Section 5, followed by a discussion of the main results and some concluding remarks in Section 6. For the sake of simplicity, the model performance atlas is grouped by the geographical location of the coupled models' coordinating institutions, having in mind that most model developments are actually international or even transcontinental collaborating efforts.

2 Applied Data and Usage

The study resides on *6-hourly instantaneous* sea-level pressure (SLP) model data retrieved from the Earth System Grid Federation (ESGF) data portals (e.g. https://esgf-data.dkrz.de/projects/esgf-dkrz/), whose Digital Object Identifiers (DOIs) can be obtained following the references in Table 1. These model runs are evaluated against reanalysis data from ECMWF ERA-Interim (Dee et al., 2011) (https://apps.ecmwf.int/datasets/data/interim-full-daily/levtype=sfc/) and Japan Meteorological Agency (JMA) JRA-55 (Kobayashi et al., 2015) (https://rda.ucar.edu/datasets/ds628.0/, DOI:10.5065/D6HH6H41). In a

first step, and in order to compare as many distinct models as possible, a single historical run was downloaded for each model for which the aforementioned data were available for the 1979-2005 period. If several historical integrations for a given model version were available, then the first member was chosen. In Section 4.9, it will be shown that the selection of alternative members from a given ensemble does *not* lead to substantial changes in the results. Out of the 31 models used in CMIP6, 26 were run with the "f1", four with the "f2" and one with the "f3" forcing datasets (Eyring et al., 2016) (see Table 1). Not only version *pairs* from CMIP5 to CMIP6 are considered, but also model versions either not having a predecessor in CMIP5 or a successor in CMIP6. In the most favourable case, two versions of a given model are available for both CMIP5 and 6: A higher-resolution setup considering fewer realms (the AOGCM configuration), complemented by a more complex setup including more component models, usually run with a lower resolution than the AOGCM version.

An overview of the 56 applied model versions is provide in Table 1. The table provides information about the component AGCMs and OGCMs, their horizontal and vertical resolution, run specifications and complexity ~~estimates as~~ codes described in Section 3.3.

For 13 selected models (ACESS-ESM1, CNRM-CM6-1, HadGEM2-ES, EC-Earth3, IPSl-CM5A-LR, IPSL-CM6A-LR, MIROC-ES2L, MPI-ESM1-2-LR, MPI-ESM1-2-HR, MRI-ESM2, NorESM2-LM, NorESM2-MM, NESM3), a total of 72 additional historical integrations (between 1 and 17 additional runs per model) were retrieved from the respective ensembles in order to assess the effects of internal model variability. By definition of the experimental protocol followed in CMIP, ensemble spread relies on initialization from distinct starting dates of the corresponding pre-industrial control runs —or similar, shorter runs as e.g. indicated in Roberts et al. (2019)—, i.e. on "initial conditions uncertainty" (Stainforth et al., 2007).

3 Methods

3.1 Lamb Weather Types

The classification scheme used here is based on H.H. Lamb's practical experience when grouping daily instantaneous SLP maps for the British Isles and interpreting their relationships with the regional weather (Lamb, 1972). His subjective classification scheme contained 27 classes and was brought to an automated and objective approach by Jenkinson and Collison (1977) in what is known as the "Lamb Circulation Types" or "Lamb Weather Types" (LWTs) approach (Jones et al., 1993, 2013).

The spatial extension of the 16-point coordinate system defining this classification is 30 longitudes $\times$ 20 latitudes with longitudinal and latitudinal increments of $10°$ and $5°$, respectively (see Figure 1 for an example over the Iberian Peninsula). The following numbers are place-holders of instantaneous SLP values (in hPa) at the corresponding location p (from West to East and North to South):

p01 p02

p03 p04 p05 p06

p07 p08 p09 p10

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p11 p12 p13 p14

p15 p16

125   , and the variables needed for classification are defined as follows:

$$Westerly\ flow\ (W)\ =\ \frac{1}{2}(p12+p13) - \frac{1}{2}(p04+p05) \tag{1}$$

$$Southerly\ flow\ (S)\ =\ a\,[\frac{1}{4}(p05+2\times p09+p13) - \frac{1}{4}(p04+2\times p08+p12)] \tag{2}$$

$$Resulting\ flow\ (F)\ =(S^2+W^2)^{1/2} \tag{3}$$

$$Westerly\ shear\ voriticity\ (ZW)\ =\ b\,[\frac{1}{2}(p15+p16) - \frac{1}{2}(p08+p09)]$$
$$-\ c\,[\frac{1}{2}(p08+p09) - \frac{1}{2}(p01+p02)]$$

130   $\tag{4}$

$$Southerly\ shear\ voriticity\ (ZS)\ =\ d\,[\frac{1}{4}(p06+2\times p10+p14)$$
$$-\frac{1}{4}(p05+2\times p09+p13)$$
$$-\frac{1}{4}(p04+2\times p08+p12)$$
$$+\frac{1}{2}(p03+2\times p07+p11)]$$

$\tag{5}$

where $a = 1/cos(\phi)$, $b = sin(\phi)/sin(\phi - \delta\phi)$, $c = sin(\phi)/sin(\phi + \delta\phi)$ and $d = 0.5(cos(\phi)^2)$; ϕ is the central latitude and $\delta\phi$ is the latitudinal distance.

135   The 27 classes are then defined following Jones et al. (1993) and Jones et al. (2013):

1. The direction of flow is $\tan^{-1}(W/S)$. Add $180°$ if W is positive. The appropriate direction is calculated on an eight-point compass allowing $45°$ per sector. Thus, as an example, a westerly flow would occur between $247.5°$ and $292.5°$.

2. If $|Z|$ is less than F, then the flow is essentially straight and corresponds to one of the 8 purely directional types defined by Lamb: Northeast (NE), East (E), SE, S, SW, W, NW, N.

3. If $|Z|$ is greater than $2F$, then the pattern is either strongly cyclonic (for $Z > 0$) or anticyclonic (for $Z < 0$), which corresponds to Lamb's pure cyclonic (PC) or anticyclonic type (PA), respectively.

4. If $|Z|$ lies between F and 2F, then the flow is partly directional and either cyclonic or anticyclonic, corresponding to Lamb's *hybrid* types. There are 8 directional-*anticyclonic* types (Anticyclonic Northeast (ANE), Anticyclonic East (AE), ASE, AS, ASW, AW, ANW, AN and another 8 directional-*cyclonic* types (Cyclonic Northeast (CNE), Cyclonic East (CE), CSE, CS, CSW, CW, CWN, CN.

5. If F is less than 6 and $|Z|$ is less than 6, there is light indeterminate flow corresponding to Lamb's unclassified type U. The choice of 6 is dependent on the grid spacing and would need tuning if used with a finer grid resolution.

An illustrative example for the results obtained from this scheme is provided in Figure 1 for the case of the central Iberian Peninsula. Shown is the coordinate system and the composite SLP maps for a subset of 14 LWTs, as well as the respective relative occurrence frequencies, taken from Brands et al. (2014) (courtesy to John Wiley and Sons, Inc.).

Particularly since the 1990ies, this classification scheme has been used in many other regions of the NH ~~mid-latitudes~~ mid-to-high latitudes (Trigo and DaCamara, 2000; Spellman, 2016; Wang et al., 2017; Soares et al., 2019). Since the LWTs are closely related to the local-scale variability of virtually all meteorological- and many other environmental variables (Lorenzo et al., 2008; Wilby and Quinn, 2013), they constitute an *overarching* concept to verify GCM performance in present climate conditions and have been used so in a number of studies (Hulme et al., 1993; Osborn et al., 1999; Otero et al., 2017).

Here, for each model run and the ERA-Interim or JRA-55 reanalysis, the 6-hourly instantaneous SLP data from 01/01/1979 to 31/12/2005 are bi-linearly interpolated to a regular latitude-longitude grid with a resolution of $2.5°$. Then, the Lamb classification scheme is applied for each time instance and grid-box, using a sliding coordinate system whose centre is displaced from one grid-box to another in a loop recurring all latitudes and longitudes of the aforementioned grid within a band from 35 to 70°N. Note that the geographical domain is cut at 35°N (and not at 30°) because the various available reanalyses are known to produce comparatively large differences in their estimates for the "true" atmosphere when approaching the tropics (Brands et al., 2012, 2013). Also, since some models do not apply the Gregorian calendar but work with 365 or even 360 days per year, *relative* instead of absolute LWT frequencies are considered. Further, since HadGEM2-CC and HadGEM2-ES lack SLP data for December 2005, this month is equally dropped from ERA-Interim or JRA-55 when compared with these models.

As mentioned above, the LWT approach has been successfully applied for many climatic regimes of the NH, including the extremely continental climate of central Asia (Wang et al., 2017), which confirms the proposal made in Jones et al. (2013) that the method in principle can be applied in a latitudinal band from 30 to 70°N. Here, a criterion is introduced to explicitly test this assumption. Namely, it is established that the LWT method should not be used at a given grid-box if the relative frequency for any of the 27 types is lower than 0.1% percent (i.e. 1.5 annual occurrences on average). Note that, already in its original formulation for the British Isles, some LWTs were found to occur with relative frequencies as small as 0.47% (Perry and Mayes, 1998). This is why the 0.1% threshold seems reasonable in the present study. If at a given grid-box this criterion is not met in the LWT catalogue derived from ERA-Interim or alternatively JRA-55, then this grid-box does not participate in the evaluation.

3.2 Applied GCM performance measures

To measure GCM performance, the Mean Absolute Error (MAE) of the $n = 27$ relative LWT frequencies obtained from a given model (m) w.r.t. to those obtained from the reanalysis (o) are calculated at a given grid-box:

$$MAE = \frac{1}{n}\Sigma_{i=1}^{n}|m_i - o_i| \tag{6}$$

The MAE is then used to rank the 56 distinct models at this grid-box. The lower the MAE, the lower the rank and the better the model. After repeating this method for each grid-box of the NH, both the MAE values and ranks are plotted for each individual model on a polar stereographic projection.

In addition to the MAE measuring *overall* performance, the *specific* model performance for each LWT is also assessed. This is done because, by definition of the MAE, errors occurring in the more frequent LWTs are penalized more than those occurring in the rare LWTs. Hence, a low MAE might mask errors in the least frequent LWTs. For a LWT-specific evaluation, the simulated frequency map for a given LWT and model are compared with the corresponding map from the reanalysis by means of the Taylor Diagram (Taylor, 2001). This diagram compares the spatial correspondence of the simulated and observed (or "quasi-observed" since *reanalysis* data are used) frequency patterns by means of 3 complementary statistics. These are the Pearson correlation coefficient (r), the standard deviation ratio ($ratio = \sigma_m/\sigma_o$), with σ_m and σ_o being the standard deviation of modelled and observed frequency patterns, and the normalized centered root mean-square error (CRMSE):

$$CRMSE = \frac{\sqrt{\frac{1}{n}\sum_{i=1}^{n}(cm_i - co_i)^2}}{\sigma_o} \tag{7}$$

, with $n = 2016$ grid-boxes covering the NH ~~mid-latitudes~~ mid-to-high latitudes and cm and co the modelled and observed frequency patterns after subtracting their own mean value (i.e. both the minuend and subtrahend are anomaly fields, "c" refers to centred). Normalization enables for comparison with other studies using the same method.

3.3 Model complexity ~~estimate~~ in terms of considered climate system components

In addition to the model ~~*performance*~~ performance assessment, a ~~simple~~ straightforward approach is followed to ~~estimate~~ describe the *complexity* of the ~~numerous~~ coupled model configurations ~~considered here. The approach is based on the idea that this complexity is proportional to the number of interactively resolved climate system components. Apart from the atmosphere, land-surface, ocean and sea-ice models making up the minimum configuration of an AOGCM, the following 6 realms~~ *in terms of considered climate system components.* The following ten components are taken into account: 1. Atmosphere, 2. Land-surface, 3. Ocean, 4. Sea-ice, 5. Vegetation properties, ~~2.~~ 6. Terrestrial carbon-cycle processes, ~~3. Aerosols, 4.~~ 7. Aerosols, 8. Atmospheric Chemistry, ~~5.~~ 9. Ocean biogeochemistry and ~~6.~~ 10. Ice sheet dynamics. ~~In this order, an~~ An integer is assigned to each ~~component~~ of these components depending on whether it is not taken into account at all (0), ~~interactive in the sense of~~ represented by an interactive model feeding back on at least one ~~another realm~~ other component (2), or anything in between

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(1) including prescription from external files, semi-interactive approaches or components simulated online but without any feedback on other components.

205 As an example, MRI-ESM's complexity code is ~~*122220*~~*2222122220*, indicating interactive atmosphere, land-surface, ocean and sea-ice models, prescribed vegetation properties, interactive ~~representations of the~~ terrestrial carbon-cycle, ~~aerosols~~aerosol, atmospheric chemistry and ocean biogeochemistry models, and no representation of ice sheet dynamics. For each ~~model version, an initial "best-guess" complexity code was derived from the respective~~ of the 56 participating coupled model configurations, the reference article(s) and ~~"source attributes " within~~ *source* attributes inside the netCDF files ~~derived from the ESGF data portals~~from ESGF were assessed in order to obtain an initial "best-guess" complexity code. This code was then sent by e-mail to the respective ~~modeling~~ modelling group for confirmation or correction ~~.~~(see Acknowledgements). Out of the 19 groups contacted within this survey, 17 confirmed or corrected the code and 2 did not answer. Among the 17 groups providing feebback, a single scientist from one group stated that he/she is not sure whether the proposed method is suitable to measure model complexity, but did not reject it either. In light of the many participating scientists (up to three individuals per group were contacted to enhance the probablity of a response), this is considered a favourable feedback. The final codes are listed in Table 1, column 7. The sum of the ~~integer code~~ integers is here taken as an ~~estimator for model complexity . An integer sum $\geq$ 6 will be referred to as a "more complex" model and a sum $<$ 6 as a "less complex" model, respectively~~*estimator* for the complexity of the coupled model configuration and is referred to as "complexity score" in the forthcoming. In the light of various available definitions for the term "Earth System Model" (Collins et al., 2011; Yukimoto et al., 2011; Jones, 2020), this is a flexible approach used as a starting point for further specifications in the future. ~~The obtained complexity codes are provided in Table 1, column 7. Since the approach might be modified in the future, the interested reader is invited to consult the up-to-date version available at . The Python function hosted there also provides the full names of all component models, including the coupling software, resolution details of the AGCM and OGCM components and other relevant model metadata.~~

Note that ~~a model's complexity is not solely defined by the number of considered realms but also by the variety of coupled processes, coupling frequency and~~ the here defined complexity score only measures the number and treatment of the climate system components considered by a given coupled model configuration. It does not measure the comprehensiveness of the individual component models, nor the coupling frequency or treatment of the forcing datasets, among others. The score should thus be interpreted as an *overarching and a priori* indicator of climate sytem representativity, and by no means can compete with in-depth studies treating model comprenisveness for *single* climate system components (Séférian et al., 2020). For further details on the 56 coupled model configurations considered here, the interested reader is referred to the reference articles listed in Table 1. ~~The aforementioned *source* attributes were extracted from the model output files and permanently stored at~~, complemented by further citations in Section 4.

Along with other metadata including the names and versions of all component models and couplers, resolution details of the AGCMs and OGCMs and others, the complexity codes have been stored in the Python function *get_historical_metadata.py* contained in https://doi.org/10.5281/zenodo.4452080.

3.4 Applied *Python* packages

The coding to the present study relies on the *Python v2.7.13* packages *xarray v0.9.1* written by Hoyer and Hamman (2017) (https://doi.org/10.5281/zenodo.264282), *NumPy v1.11.3* written by Harris et al. (2020) (https://github.com/numpy/numpy), *Pandas v0.19.2* written by McKinney (2010) (https://doi.org/10.5281/zenodo.3509134) and *SciPy v0.18.11* written by Virtanen et al. (2020) (https://doi.org/10.5281/zenodo.154391); here used for i/o tasks and statistical analyses. The *Matplotlib v2.0.0* package written by Hunter (2007) (https://doi.org/10.5281/zenodo.248351), as well as the Basemap v1.0.7 toolkit (https://github.com/matplotlib/basemap) are applied for plotting and the functions written by Gourgue (2020) (https://doi.org/10.5281/zenodo.3715535) for generating Taylor diagrams.

4 Overall model performance results

In Figure 2, the MAE of JRA-55 w.r.t. ERA-Interim is mapped (panel a), complemented by the corresponding rank within the multi-model ensemble plus JRA-55 (panel b). In the ideal case, the MAE for JRA-55 is lower than for any of the 56 CMIP models, which means that the alternative reanalysis ranks first and that a change in the reference reanalysis does not influence the model ranking. This result is indeed obtained for a large fraction of the NH. However, in the Gobi desert, in Greenland and the surrounding seas, and particularly in the southwestern United States of America, substantial differences are found between the two reanalyses. Since different reanalyses from roughly the same generation are in principle equally representative of the "truth" (Sterl, 2004), the models are here evaluated twice in order to obtain a robust picture of their performance. In the present article file, the evaluation results w.r.t. to ERA-Interim are mapped and deviations from the evaluation against JRA-55 in the 3 relevant regions are pointed out in the text. In the remaining regions, reanalysis uncertainty plays a minor role. Nevertheless, for the sake of completeness, the full atlas of the JRA-55-based evaluation was added to the supplementary material to this study. For a quick overview of the results, Table 1 indicates whether a given model closer agrees with ERA-Interim or JRA-55 in the 3 sensitive regions. In the following, this is referred to as "reanalysis affinity".

Figure 2 also shows that the LWT usage criterion defined in Section 3.1 is met almost everywhere in the domain, except in the high-mountain areas of central Asia (grey areas within the performance maps indicate that the criterion is not met). This region is governed by the monsoon rather than the turnover of dynamic low- and high pressure systems the LWT approach was developed for. It is thus justified to use the approach over such a large domain.

Grouped by their geographical origin, Sections 4.1 to 4.8 describes the composition of the 56 participating coupled models in terms of their atmosphere, land-surface, ocean and sea-ice models in order to make clear whether there are shared components between nominally different models that might explain common error structures. The names of all other component models are documented ~~at~~ in the Python function *get_historical_metadata.py* contained in https://doi.org/10.5281/zenodo.4452080. Then, the regional error and ranking details are provided. In Section 4.9, these results are summarized in a single boxplot and put into relation with the resolution setup of the atmosphere and ocean component models. The role of internal model variability is also assessed there. A complete list of all participating component models is provided ~~at~~ in the aforementioned Python function.

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The first result common to all models is the spatial structure of the absolute error expressed by the MAE. Namely, the models tend to perform better over ocean areas than over land and perform poorest over high-mountain areas, particularly in central Asia. Further regional details are documented in the following sections.

4.1 Model contributions from the United Kingdom and Australia

All components of the Hadley Centre Global Environment Model version 2 (HadGEM2) have been developed independently by the *Met Office Hadley Centre* during the last decades. Atmospheric The atmosphere, land-surface and ocean dynamics in the *Hadley Centre Global Environment Model version 2* (HadGEM2) are represented by the HadGAM2, MOSES2 and HadGOM2 models, respectively. Both the CC and ES model versions comprise interactive vegetation properties, land carbon and ocean carbon cycle processes and aerosols. The ES version also includes an interactive atmospheric chemistry which, in turn, is prescribed in the CC configuration, making it slightly less complex (Collins et al., 2011; Martin et al., 2011). This centre's model contributions to CMIP6 are following the concept of seamless prediction (Palmer et al., 2008), in which lessons learned from short-term numerical weather forecasting are exploited for the improvement of longer-term predictions/projections up to climatic time-scales, using a "unified" or "joint" model for all purposes (Roberts et al., 2019). For atmosphere and land-surface processes, these are the Unified Model Global Atmosphere 7 (UM-GA7) AGCM and the Joint UK Land Environment Simulator (JULES) (Walters et al., 2019). However, the specific CMIP6 model version considered here (HadGEM3-GC31-MM) is a very high-resolution AOGCM configuration comprising only one further interactive component (aerosols). In comparison with HadGEM2-ES and CC, HadGEM3-GC31-MM is therefore less complex.

With nearly identical error and ranking patterns associated with the aforementioned almost identical configuration, already the two model versions used in CMIP5 (HadGEM2-CC and ES) yield a good to very good performance which, for the European sector, is in line with Perez et al. (2014) and Stryhal and Huth (2018). Only a close look reveals slightly lower errors for the ES version, particularly in a region extending from western France to the Ural mountains (see Figure 3). Both CMIP5 versions are outperformed by HadGEM3-GC31-MM. While HadGEM2-CC and ES rank very well in Europe and the central North Pacific only, HadGEM3-GC31-MM does so in virtually all regions of the NH mid-latitudes mid-to-high latitudes except in central Asia. It is undoubtedly one of the best models considered here.

While CSIRO-MK (see supplementary material and Figure 11 below) was an independently developed GCM of the Australian research community (Collier et al., 2011), the *Community Climate and Earth System Simulator* (ACCESS) depends to a large degree on the aforementioned models from the Met Office Hadley Centre. ACCESS1.0, the starting point for the new Australian coupled model configurations, makes use of the same atmosphere and land-surface components as HadGEM2 (see above), but is run in a less complex configuration. It is considered the "control" configuration of all further developments made by the Australian modelling group (Bi et al., 2013). ACCESS1.3 is the first step into this direction. Instead of HadGAM2, it uses a slightly modified version of the Met Office Global Atmosphere 1.0 (GA1) AGCM, coupled with the CABLE1.8 land surface model developed by CSIRO. ACCESS-CM2 is the AOGCM version used in CMIP6, relying on the UM10.6-GA7.1 AGCM (also used in HadGEM3-GC31-MM) and the CABLE2.5 coupler (Bi et al., 2020). ACCESS-CM2, however, was run with a lower horizontal resolution in the atmosphere than HadGEM3-GC31-MM. Whereas the 3 aforementioned ACCESS

versions only have interactive aersols on top of the four AOGCM components, ACCESS-ESM1.5 additionally includes interactive land and ocean carbon cycle processes and prescribed vegetation properties. It uses slightly older AGCM and LSM versions (UM7.3-GA1 and CABLE2.4) than ACCESS-CM2 and makes use of the ocean biogeochemistry model WOMBAT (Ziehn et al., 2020). All ACCESS models use the same ocean and sea-ice models (GFDL-MOM and CICE), which differ from those used in the HadGEM model family. The OASIS coupler (Valcke, 2006) is applied by both model families.

Within the ACCESS model family, version 1.0 performs best (see Figure 3). The corresponding error and ranking patterns are virtually identical to HadGEM2-ES and HadGEM2-CC, which is due to the same AGCM used in these three models (HadGAM2). The 3 more independent versions of ACCESS (1.3, CM2 and ESM1.5) roughly share the same error pattern, which differs from ACCESS1.0 in some regions. While ~~the 3 independent developments~~ they perform worse in the North Atlantic and western North Pacific, they do better in the eastern North Pacific off the coast of Japan and, in case of ACCESS-CM2, also in the high mountain areas of central Asia and over the Mediterranean Sea. In the latter two regions, the performance of ACCESS-CM2 is comparable to HadGEM3-GC31-MM. Overall, version 1.0 performs best within the ACCESS model family. For the sake of completeness, the performance maps for CSIRO-MK3.6 have been included in the supplementary material.

The two HadGEM2 versions and ~~also~~ ACCESS1.3 compare better with JRA-55 in the southwestern U.S. but thrive towards ERA-Interim in the seas around Greenland and in the Gobi desert. HadGEM3-GC31-MM, ACCESS1.0, ACCESS-CM2 and ACCESS-ESM1.5 have similar reanalysis affinities, except for thriving towards JRA-55 in the seas around Greenland and for showing virtually no sensitivity in the Gobi desert in case of ACESS-ESM1.5 (compare Figure 3 with the "figs-refjra55/maps/rank" folder in the supplementary material).

4.2 Model contributions from North America

The *Geophysical Fluid Dynamics Laboratory* Climate Models 3 and 4 (GFDL-CM3 and CM4) are composed of in-house atmosphere, land-surface, ocean and sea-ice models and comprise interactive vegetation properties, aerosols and atmospheric chemistry (Griffies et al., 2011; Held et al., 2019). GFDL-CM4 also includes simple land and ocean carbon cycle representations which, however, do not feed back on other climate system components. From CM3 to CM4 a considerable resolution increase was underaken, except for a reduction in the AGCM's vertical levels, and this actually pays off in terms of model performance (see Figure 4). While GFDL-CM3 only ranks well in an area ranging from the Great Plains to the central North Pacific, GFDL-CM4 yields balanced results over the entire NH mid-to-high latitudes and is one of the best models considered here. Notably, GFDL-CM4 also performs well over central Asia and in an area ranging from the Black Sea to the Middle East, which is where most of the other models perform less favourable. Note also that GFDL's Modular Ocean Model (MOM) is the standard OGCM in all ACCESS models and is also used in the BCC-CSM model versions (see Table 1 for details).

All *Goddard Institute of Space Studies* model versions considered here are AOGCMs with prescribed vegetation properties, aerosols and atmospheric chemistry. The two versions are identical except for the ocean component: HYCOM was used in GISS-E2-H and Russel Ocean in GISS-E2-R (Schmidt et al., 2014). Russel Ocean was then developed to GISS Ocean v1 for use in GISS-E2.1-G (Kelley et al., 2020), the CMIP6 model version assessed here (note that the 6-hourly SLP data for the more

complex model versions contributing to CMIP6 were not available from the ESGF data portals). All these versions comprise a relatively modest resolution for the atmosphere and ocean and no refinement was undertaken from CMIP5 to 6. However, many parametrization schemes were improved. GISS-E2.1-G generally ranks better than its predecessors, except in eastern Siberia

340 and China, where very good ranks are obtained by the two CMIP5 versions (see Figure 4). The small differences between the results for GISS-E2-H and R might stem from internal model variability (see also Section 4.9) and from the use of two distinct OGCMs. Unfortunately, all GISS-E2 model versions considered here are plagued by pronounced performance differences from one region to another, meaning that they are less balanced than e.g. GFDL-CM4.

The *National Center for Atmospheric Research* (NCAR) Community Climate System Model 4 (CCSM4) is composed of

345 the Community Atmosphere and Land Models (CAM and CLM), the Parallel Ocean Program (POP) and the los Alamos Sea Ice Model (CICE), combined with the CPL7 coupler (Gent et al., 2011; Craig et al., 2012). The model version considered here was used in CMIP5 and includes interactive vegetation properties and land carbon cycle processes, whereas aerosols are prescribed. During the course of the last decade, CCSM4 has been further developed into CESM1 and 2 (Hurrell et al., 2013; Danabasoglu et al., 2020) which, due to data availability issues, can unfortunately not be assessed here (the respective data

350 for CESM2 are available, but only for 15 out of the 27 ~~years considered here~~considered years). However, CMCC-CM2 and NorESM2 are almost entirely made up by components from CESM1 and 2, respectively, and should thus be also indicative for the performance of the latter (see Section 4.8).

The Canadian Earth System Model version 2 (CanESM2) is composed of the CanAM4 AGCM, the CLASS2.7 land surface model, the CanOM4 OGCM and the CanSIM1 sea-ice model (Chylek et al., 2011). It contributed to CMIP5 and comprises

355 interactive ~~vegetations~~ vegetation properties, land and ocean carbon cycle processes and aerosols, whilst the ice sheet area is prescribed.

Results indicate a comparatively poor performance for both CCSM4 and CanESM2. Exceptions are found along the North American west coast and the Labrador Sea, where both models perform well; in the central to eastern subtropical Pacific and in northwestern Russia plus Finland, where CCSM4 performs well; and in Quebec, Scandinavia and eastern Siberian, where

360 CanESM2 ranks well (see Figure 4). As for the GISS models, both CCSM4 and CanESM2 are also plagued by large regional performance differences.

Regarding the models' reanalysis affinity, GFDL-CM3 thrives towards ERA-Interim in the seas around Greenland and towards JRA-55 in the Gobi desert, while being almost insensitive to reanalysis choice in the southwestern ~~United States~~ U.S. (compare Figure 4 with the "figs-refjra55/maps/rank" folder in the supplementary material~~to this article~~). GFDL-CM4 has

365 similar reanalysis affinities, but largely improves (by up to 20 ranks) in the southwestern ~~United States~~ U.S. when evaluated against JRA-55. Results for GISS-E2-H and GISS-E2-R are slightly closer to ERA-Interim in the southwestern U.S. and otherwise virtually insensitive to reanalysis choice. GISS-E2-1-G is virtually insensitive in all 3 regions. CanESM2 ranks consistently better if compared with JRA-55, with a stunning improvement of up to 30 ranks in the southwestern United States, and CCSM4 slightly thrives towards ERA-Interim in all 3 regions.

4.3 Model contributions from France

The CMIP5 contributions from the *Centre National de Recherches Météorologique (CNRM)* and *Institut Pierre-Simon Laplace (IPSL)* use the same OGCM and coupler, i.e. the Nucleus for European Modelling of the Ocean model (NEMO) (Madec et al., 1998; Madec, 2008) and OASIS, but differ in their remaining components. CNRM-CM5 comprises the ARPEGE AGCM, ISBA land-surface model and GELATO sea-ice model (Voldoire et al., 2013) whereas IPSL makes use of LMDZ, OR-CHIDEE and LIM, respectively (Dufresne et al., 2013). For CNRM-CM6-1, these components were updated (Voldoire et al., 2019). All CNRM model versions considered here are AOGCMs with prescribed aerosols and atmospheric chemistry, except CNRM-ESM2-1 (Séférian et al., 2019), which additionally comprises interactive component models for vegetation properties, terrestrial carbon cycle processes, aerosols, stratospheric chemistry and ocean biogeochemistry. ~~Along with HadGEM2-ES, CNRM-ESM2-1 is thus the most complex model configuration considered here, following the criteria described in Section 3.3.~~

Within the CNRM model family, CNRM-CM5 is found to perform very well except in the central North Pacific, the southern USA and in a subpolar belt extending from Baffinland in the West to western Russia in the East (see Figure 5). This includes a good performance over the Rocky Mountains and central Asia. From CNRM-CM5 to CNRM-CM6-1, performance gains are obtained in the central North Pacific, the southern USA, Scandinavia and western Russia which, however, are compensated by performance losses in the entire eastern North Atlantic and in an area covering Manchuria, Korea and Japan. A similar picture is obtained for CNRM-ESM2-1, whereas a performance *loss* is observed for for CNRM-CM6-1-HR. This is surprising since, in addition to improved parametrization schemes, the model resolution in the atmosphere and ocean was ~~increased w.r.t. CNRM-CM6-1 and CNRM-CM5.~~ particularly increased in the latter model version.

All IPSL-CM model versions participating in CMIP5 and 6 comprise interactive vegetation properties and terrestrial carbon cycle processes, as well as prescribed aerosols and atmospheric chemistry. Ocean biogeochemistry processes are simulated online, but do not feed-back on other components of the climate system. A simple representation of ice sheet dynamics was included to IPSL-CM6A-LR (Boucher et al., 2020; Hourdin et al., 2020; Lurton et al., 2020), but is absent in IPSL-CM5A-LR and MR (Dufresne et al., 2013). The two model versions used in CMIP5 have been run with a modest horizontal resolution in the atmosphere (LMDZ) and ocean (NEMO). This changed for the better in IPSL-CM6A-LR, where a more competitive resolution was applied and all component models were improved. The result is a considerable performance increase from CMIP5 to CMIP6. Whereas both IPSL-CM5A-LR and IPSL-CM5A-MR perform poorly, IPSL-CM6A-LR does much better virtually *anywhere* in the NH mid-to-high latitudes, a finding that is insensitive to the effects of internal model variability (see Section 4.9).

The quite different results between the CNRM and IPSL models indicate that the common ocean component (NEMO) only marginally affects the simulated atmospheric circulation as defined here. All CNRM models, and also IPSL-CM6A-LR, thrive towards Interim in the southwestern U.S. and towards JRA-55 in the seas around Greenland and the Gobi desert. IPSL-CM5A-LR and MR are virtually insensitive to reanalysis choice (compare Figure 5 with the "figs-refjra55/maps/rank" folder in the supplementary material).

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4.4 Model contributions from China, Taiwan and India

405 The *Beijing Climate Center Climate System Model* version 1.1 (BCC-CSM1.1) comprises the BCC-AGCM2.1 AOGCM, originating from CAM3 and developed independently thereafter (Wu et al., 2008), the BCC-AVIM1.0 land-surface model developed by the *Chinese Academy of Science* (Jinjun, 1995), ~~as well as~~ GFDL's MOM4-L40 ocean model and Sea Ice Simulator (SIS). For BCC-CSM2-MR, the coupled model version used in CMIP6 (Wu et al., 2019), the latest updates of the in-house ~~component~~ models are used in conjunction with the CMIP5 versions of MOM and SIS (v4 and 2 respectively). Both BCC-CSM1.1 and

410 BCC-CSM2-MR ~~comprise~~ are composed of interactive vegetation properties, terrestrial and oceanic carbon cycle processes, while aerosols and atmospheric chemistry are prescribed. The MAE and ranking patterns of the two models are quite similar to those obtained from NCAR's CCSM2 (compare Figure 6 and 4), which is likely due to the common origin of their AGCMs, meaning that the two BCC-CSM versions are likewise found to perform comparatively poor in most regions of the NH ~~mid-latitudes~~ mid-to-high latitudes. The similarity between both model families is astonishing since they only share the

415 *origin* of their atmospheric component but rely on different land-surface, ocean and sea-ice models. This in turn means that the latter two components do not noticeably affect the simulated atmospheric circulation as defined here, which is in line with the large differences found for the French models in spite of using the same ocean model (see Section 4.3).

The *Flexible Global Ocean-Atmosphere-Land System Model, Grid-point version 2* (FGOALS-g2) comprises an independently developed AGCM and OGCM (GAMIL2 and LICOM2), as well as CLM3 and CICE4-LASG for the land surface and

420 sea-ice dynamics, respectively (Li et al., 2013), all components being coupled with CPL6. Vegetation properties and aerosols are prescribed in this model configuration. For FGOALS-g3, the model version contributing to CMIP6, the AGCM was updated to GAMIL3, including convective momentum transport, stratocumulus clouds, anthropogenic aerosol effects and an improved boundary layer scheme as new features (Li et al., 2020). The OGCM and coupler were also updated (to LICOM3 and CPL7) and a modified version of CLM4.5 (called CAS-LSM) is used as land surface model, whereas the sea-ice model is practically

425 identical to that used in the g2 version. In the g3 version, vegetation properties, terrestrial carbon cycle processes and aerosols are prescribed. While FGOALS-g2 is one of the worst performing models considered here, FGOALS-g3 performs considerably better, particularly over the northwestern and central North Atlantic Ocean, western North America and the North Pacific Ocean (see Figure 6).

The *Nanjing University of Information Science and Technology Earth System Model version 3* (NESM3) is a new CMIP

430 participant and is entirely built upon component models from other institutions (Cao et al., 2018). Namely, the AGCM, land-surface model, coupling software and atmospheric resolution are adopted from MPI-ESM1.2-LR (see Section 4.6) whereas NEMO3.4 and CICE4.1 are taken from IPSL and NCAR, respectively (Cao et al., 2018). Vegetation properties and terrestrial carbon cycle processes are interactive, aersols are prescribed. Due to the use of the same AGCM, the error and ranking patterns for NESM3 are similar to those obtained for MPI-ESM1.2-LR (compare Figure 6 with Figure 8). Exceptions are found over

435 the central an western North Pacific, where NESM3 performs poorer than MPI-ESM1.2-LR, and also over the eastern North Pacific, where NESM3 performs better. The similarity to MPI-ESM1.2-LR again points to the fact that the simulated LWT frequencies are determined by the AGCM rather than other component models.

The Taiwan Earth System Model version 1 (TaiESM1) is run by the Research Center for Environmental Changes, Academia Sinica in Taipei. It is essentially identical to NCAR's Community Earth System Model version 1.2.2, including new physical and chemical parametrization schemes in its atmospheric component CAM5 (Lee et al., 2020). TaiESM1 comprises interactive vegetation properties, terrestrial carbon cycle processes and aerosols. The model's performance is generally very good, except over northern Russia, northeastern North America and the adjacent northwestern Atlantic Ocean, and the error and ranking patterns are roughly similar to SAM0-UNICAN (see Figure 6), another CESM1 derivative, with TaiESM1 performing much better over Europe.

The Indian Institute of Tropical Meteorology Earth System Model (IITM-ESM) includes the National Centers of Environmental Prediction Global Forecast System (NCEP GFS) AGCM, the MOM4p1 OGCM, Noah LSM for land surface processes and SIS sea-ice dynamics (Swapna et al., 2015). Vegetation properties and aerosols are prescribed and ocean biogeochemistry processes interactive. The results for IITM-ESM reveal large regional performance differences. The model ranks well ~~in~~ over the central North Atlantic Ocean, Mediterranean Sea, the U.S. west coast and subtropical western North Pacific, but performs poorly in most of the remaining regions.

The results for BCC-CSM1.1, BCC-CSM2-MR and NESM3 are virtually insensitive to reanalysis uncertainty. To the southwest of Lake Baikal, both FGOALS-g2 and g3 are in closer agreement with JRA-55 than with ERA-Interim (compare Figure 6 with the "figs-refjra55/maps/rank" folder in the supplementary material). Over southwestern North America, however, FGOALS-g3 yields higher ranks if compared with ERA-Interim. TaiESM1 compares more closely with ERA-Interim over the southwestern U.S. and the subtropical North Atlantic Ocean. The effects of reanalysis uncertainty on the results for IITM-ESM are generally small, except over the southern U.S., where JRA-55 yields better results, and in the seas surrounding Greenland, where the model agrees more closely with ERA-Interim.

4.5 Model contributions from Japan and Korea

The *Model for Interdisciplinary Research on Climate* (MIROC) ~~relies on long-standing research efforts of the Japanese research community led by the~~ has been developed by the Japanese *Center for Climate System Research* (CCSR), ~~the~~ *National Institute for Environmental Studies* (NIES) and the *Japan Agency for Marine-Earth Science and Technology* (JAMESTEC). It comprises the *Frontier Research Center for Global Change* (FRCGC) AGCM and CCSR's *Ocean Component Model* (COCO), as well as an own land-surface (MATSIRO) and sea-ice model. MIROC5 and 6 comprise interactive aerosols and prescribed vegetation properties (Watanabe et al., 2010; Tatebe et al., 2019). MIROC-ESM and MIROC-ESL2L are more complex configurations additionally including interactive terrestrial and ocean carbon cycle processes, as well as interactive vegetation properties in the case of MIROC-ESM (Watanabe et al., 2011; Hajima et al., 2020). Results indicate a systematic performance increase from MIROC5 to MIROC6 in the presence of large performance differences from one region to another (see Figure 6). Both models perform very well over the Mediterranean, northwestern North America and East Asia but do a poor job in northeastern North America and northern Eurasia. MIROC6 outperforms MIROC5 in the entire North Pacific basin including Japan, Corea and western North America and is also better in the central North Atlantic. The performance of the two more complex model versions is considerably lower, both ranking unfavourably if compared to the remaining GCM versions considered here.

The CMIP5 version of the Japanese Meteorological Research Institute Earth System Model (MRI-ESM1) comprises interactive component models for terrestrial carbon cycle processes, aerosols, atmospheric photochemistry and ocean biogeochemistry, whereas vegetation properties are prescribed (Yukimoto et al., 2011). In the CMIP6 version (MRI-ESM2), terrestrial and ocean carbon cycle processes are no longer interactive but prescribed from external files (Yukimoto et al., 2019). Noteworthy, each model component and also the coupler have been originally developed by MRI and the coupling applied in these models is particularly comprehensive (Yukimoto et al., 2011). The comparatively high model resolution applied in MRI-ESM1 was further refined in MRI-ESM2 by adding more vertical layers, particularly in the atmosphere (see Table 1). To the north of approximately 50°N, both model versions perform very well, except for Greenland and the surrounding seas in MRI-ESM1. Model performance decreases to the south of this line, particularly in the central to western Pacific basin including western North America, the subtropical North Atlantic to the west of the Strait of Gibraltar, and the regions around Greenland and the Caspian Sea. It is in these "weak" regions where the largest performance gains are obtained from MRI-ESM1 to MRI-ESM2.

The Korea Institute of Ocean Science and Technology Earth System Model (KIOST-ESM) contains modified versions of GDFL-AM2.0 and CLM4 for atmosphere and land-surface dynamics, as well as GFDL-MOM5 and GFDL-SIS for ocean and sea-ice dynamics (Pak et al., 2021). The model has interactive representations for the vegetation properties and terrestrial carbon cycle processes and works with prescribed aerosols. It's error and ranking *patterns* are similar to that obtained from GFDL-CM3 (using GFDL-AM3), the weakest performance found in the same regions (the western U.S., the Mediterranean basin, Manchuria and central North Pacific). However, KIOST-ESM consistently performs poorer than GFDL-CM3.

The *Seoul National University Atmosphere Model version 0 with a Unified Convection Scheme* (SAM0-UNICON) contributes for the first time in CMIP6 (Park et al., 2019). Its component models are identical to CESM1 in its AOGCM configuration plus interactive aerosols (Hurrell et al., 2013), including unique parametrization schemes for convection, stratiform clouds, aerosols, radiation, surface fluxes and planetary boundary layer dynamics (Park et al., 2019). Vegetation properties and terrestrial carbon cycle processes are resolved interactively as well. Albeit the model components from CESM are used in SAM0-UNICON, CMCC-CM2-SR5 and NorESM2, a distinct error *pattern* is obtained for SAM0-UNICON (compare Figure 7 with Figure 10). This might be due to the use of different ocean models (see Table 1), or precisely due to the effects of the particular parametrization schemes mentioned above. Although the error *magnitude* of SAM0-UNICON is similar to CMCC-CM-SR5, SAM0-UNICON exhibits weaker regional performance differences, making it the more balanced model

out of the two. In most regions of the NH mid-to-high latitudes, SAM0-UNICON yields better results than NorESM2-LM but is outperformed by NorESM2-MM.

The MRI models generally agree closer with ERA-Interim than with the JRA-55, which is surprising since JRA-55 was also developed at JMA (compare Figure 7 with the "figs-refjra55/maps/rank" folder in the supplementary material). For the MIROC family, a heterogeneous picture is obtained. While MIROC5 and MIROC-ESM clearly thrive towards ERA-Interim and JRA-55, respectively, MIROC6 is closer to JRA-55 in the southwestern U.S. and closer to ERA-Interim in the Gobi desert and around Greenland. The results for MIROC-ES2L are virtually insensitive to ~~reanalysis uncertainty~~the applied reference reanalysis. In the 3 main regions of reanalysis uncertainty, SAM0-UNICON is in closer agreement with ERA-Interim than with JRA-55. For KIOST-ESM it's the other way around. Over the ~~central~~ southwestern U.S. and Gobi desert, this model more closely resembles JRA-55.

4.6 Model contributions from Germany and Russia

The *Max-Planck Institute Earth System Model* (MPI-ESM) is ~~another example for the success of long-standing research efforts from many research institutes around the world, coordinated~~ hosted by the Max-Planck Institute for Meteorology (MPI-M) in Germany, with all component models developed independently. It comprises the ECHAM, JSBACH, and MPIOM models representing atmosphere, land-surface and terrestrial biosphere processes as well as ocean and sea-ice dynamics (Giorgetta et al., 2013; Jungclaus et al., 2013; Mauritsen et al., 2019). All model configurations interactively resolve vegetation properties as well as terrestrial and ocean carbon cycle processes, the latter represented by the HAMOCC model; and are coupled with the OASIS software. ~~Aerosols are additionally prescribed in~~ In MPI-ESM1.2-LR and HR aerosols are additionally prescribed. The "working horse" used for generating large ensembles and long control runs is the "LR" version applied in ~~both~~ CMIP5 and 6 (MPI-ESM-LR and MPI-ESM1.2-LR ~~(for CMIP5 and 6~~, respectively). In this configuration, ECHAM (version 6 and 6.3) is run with a horizontal resolution of 1.9° (T63) and 47 layers in the vertical, and MPIOM with a 1.5° resolution near the equator and 40 levels in the vertical. In MPI-ESM-MR, the number of vertical layers in the atmosphere is doubled and the horizontal resolution in the ocean augmented to 0.4° near the equator. In MPI-ESM1.2, several atmospheric parametrization schemes have been improved and/or corrected, including radiation, ~~aerosol~~aerosols, clouds, convection and turbulence, and the land-surface and ocean biogeochemistry processes have been made more comprehensive. Since the carbon-cycle has not been run to equilibrium with MPI-ESM1.2-HR, this model version is considered unstable by its development team (Mauritsen et al., 2019). For MPI-ESM1.2-HAM, an ~~aerosols~~ aerosol and sulphur chemistry module, developed by a consortium led by the *Leibniz Institute for Tropospheric Research*, are coupled with ECHAM6.3 in a configuration that otherwise is identical to MPI-ESM1.2-LR (Tegen et al., 2019). Similarly, *Alfred Wegener Institute's* AWI-ESM-1.1-LR makes use of their ~~in-house~~ own ocean and sea-ice model FESOM but otherwise is identical to MPI-ESM1.2-LR (Semmler et al., 2020).

Results show that the vertical resolution increase in the atmosphere undertaken from MPI-ESM-LR to MR (the CMIP5 versions) sharpens the regional performance differences rather than contributing to an improvement (see Figure 8). When switching from MPI-ESM-LR to MPI-ESM1.2-LR, i.e. from CMIP5 to 6 with constant resolution, the performance increases over Europe but decreases in most of the remaining regions. Notably, MPI-ESM-LR's good to very good performance in a

zonal belt ranging from the eastern subtropical North Pacific to the eastern subtropical Atlantic is lost in MPI-ESM1.2-LR. This picture worsens for MPI-ESM1.2-HAM and AWI-ESM-1.1-LR, which, even more so than MPI-ESM-MR, are characterized by large regional performance differences and particularly unfavourable results over almost the entire North Pacific basin. However, *systematic* performance gains are obtained by MPI-ESM1.2-HR, indicating that a horizontal rather than vertical resolution increase in the atmosphere conducts to a better performance in this model family (recall that the sole *vertical* resolution increase from MPI-ESM-LR to MPI-ESM-MR worsens the results). In the "HR" configuration, MPI-ESM1.2 is one of the best performing models considered here.

The atmosphere, land-surface, ocean and sea-ice components of the *Institute of Numerical Mathematics, Russian Academy of Sciences* model INM-CM4 were all developed ~~by scientists working in Russia~~ independently (Volodin et al., 2010). This model comprises interactive vegetation properties and terrestrial carbon cycle processes, as well as a simple ocean carbon model, including atmosphere-ocean fluxes, total dissolved carbon advection by oceanic currents and a prescribed biological pump (Evgeny Volodin, personal communication). INM-CM4 contributed to CMIP5 and an updated version (INM-CM4-8) is currently participating in CMIP6, but the 6-hourly SLP data is not available for this version so that it had to be excluded here. The resolution setup of INM-CM4 is comparable to other CMIP5 models, except for the very few vertical layers used in the atmosphere (see Table 1). As shown in Figure 8, INM-CM4 performs well in the eastern North Atlantic, northern Europe and the Gulf of Alaska, regularly over northern China and Korea and poorly over the remaining regions of the NH. It is thus marked by large performance differences from one region to another.

In the 3 main regions sensitive to reanalysis uncertainty, all model versions assessed in this section consistently thrive towards JRA-55 (compare Figure 8 with the "figs-refjra55/maps/rank" folder in the supplementary material)

4.7 The joint European contribution EC-Earth

The EC-Earth consortium is a ~~large~~ collaborative effort made by research institutions from several European countries. Following the idea of seamless prediction (Palmer et al., 2008), the atmospheric component used in the EC-Earth model is based on ECMWF's Integrated Forecasting System (IFS), complemented by the HTESSEL land-surface model and a new parametrization scheme for convection~~, the NEMO ocean and LIM~~. NEMO and LIM constitute the ocean and sea-ice models~~and the OASIS~~; OASIS is the coupling software (Hazeleger et al., 2010, 2011). Starting from this basic AOGCM configuration, additional climate system components can be optionally added to augment the complexity of the model. Regarding the historical experiments for CMIP5 and 6, EC-Earth 2.3 (or simply EC-Earth) and 3 are classical AOGCM configurations, using prescribed vegetation properties and aerosols (in the case of EC-Earth3). EC-Earth3-Veg comprises interactive vegetation properties and terrestrial carbon cycle processes, whereas aerosols are prescribed. EC-Earth3-AerChem incorporates the interactive aerosol model TM5 whilst vegetation properties are prescribed. EC-Earth3-CC contains interactive vegetation properties, terrestrial and ocean carbon cycle processes. Aerosols are prescribed in this "Carbon Cycle" model version.

Already the model version ~~version~~ used in CMIP5 (EC-Earth2.3) comprises a fine resolution in the atmosphere and ocean~~(,~~ except for the relatively few vertical layers in the ocean~~) and this~~. This configuration was adopted ~~or even improved~~ and more ocean layers were added for what is named "low resolution" in CMIP6 (EC-Earth3-LR, EC-Earth3-Veg-LR). For the ~~other~~

remaining configurations used in CMIP6 (EC-Earth3, EC-Earth3-Veg, EC-Earth3-AerChem, EC-Earth3-CC), the atmospheric resolution is further refined in the horizontal and vertical (Döscher et al., 2021).

Results reveal an already very good performance for EC-Earth2.3 in all regions except the North Pacific and subtropical central Atlantic (see Figure 9), which is in line with ~~the findings in~~ Perez et al. (2014) and Otero et al. (2017). EC-Earth3 performs even better, and does so irrespective of the applied model complexity or ~~model resolution. These CMIP6 model versions~~ resolution. All the versions of this model rank very well in almost any region of the the world, including the central Asian high mountain areas.

When evaluated against JRA-55 instead of ERA-Interim, the ranks for the EC-Earth model family consistently worsen by up to 20 integers in the southwestern U.S. and around the southern tip of Greenland, but remain roughly constant in the Gobi desert (compare Figure 9 with the "figs-refjra55/maps/rank" folder in the supplementary material). This worsening brings the EC-Earth family to a closer agreement with the HadGEM models. Consequently, when evaluated against JRA-55, HadGEM3-GC31-MM links up with EC-Earth3 in what is ~~considered~~ here found to be the "best model".

4.8 Model contributions from Italy and Norway

The Centro Euro-Mediterraneo per i Cambiamenti Climatici (CMCC) models are mainly built upon component models from MPI, NCAR and IPSL. For CMCC-CM, ECHAM5 is used in conjunction with SILVA, a land-vegetation model developed in Italy (Fogli et al., 2009), and OPA8.2 (note that later OPA versions were integrated into the NEMO framework) plus LIM for ocean and sea-ice dynamics, respectively. The very high horizontal resolution in atmosphere (T159) is achieved at the expense of a low horizontal resolution in the ocean and comparatively few vertical layers in both realms, as well as by the fact that no further climate system components are considered by this model version (Scoccimarro et al., 2011). For the core model contributing to CMIP6 (CMCC-CM2), all of the aforementioned components except the OGCM were substituted by those available from CESM1 (Hurrell et al., 2013). For the model version considered here (CMCC-CM2-SR5), CAM5.3 is run in conjunction with CLM4.5. For ocean and sea-ice dynamics, NEMO3.6 (i.e. OPA's successor) and CICE are applied (Cherchi et al., 2019). The coupler changed from OASISv3 to CPLv7 (Valcke, 2006; Craig et al., 2012) and the interactive aerosol model MAM3 was included. CMCC-ESM2 is the most complex version in this model family, including the aforementioned aerosol model, activated terrestrial biogeochemistry in CLM4.5 and the use of BFM5.1 to simulate ocean biogeochemistry processes. Due to the completely distinct model setups, the error and ranking patterns substantially change from CMIP5 to 6 for this model family (see Figure 10). While CMCC-CM performs relatively weak in northern Canada, Scandinavia and northwestern Russia, CMCC-CM2-SR5 does so in the North Atlantic, particularly to the west of the Strait of Gibraltar. In the remaining regions, very good ranks are obtained by both models. Notably, CMCC-CM2-SR5 is one of the few models performing well in the central Asian high mountain ranges and also in the Rocky Mountains (except in Alaska). In most of the remaining regions it is likewise one of the best models considered here. Note that this model, due to identical model components for all realms except the ocean, is a good estimator for the performance of CESM1, which unfortunately cannot be assessed here due to data availability issues. The error an ranking patterns of CMCC-ESM2 are similar to CMCC-CM2-SR5, yielding fewer regional

differences and a much better performance over the central eastern North Atlantic Ocean. Hence, CMCC-ESM2 is not only the most sophisticated but also the best performing model version in this family.

The Norwegian Earth System Model (NorESM) shares substantial parts of its source code with the NCAR model family (par-
610 ticularly with CCSM and CESM2). NorESM1-M, the standard model version used in CMIP5 (Bentsen et al., 2013), comprises the CAM4-Oslo AOGCM —derived from CAM4 and complemented with the Kirkevag et al. (2008) aerosol module—, CLM4 for land-surface processes, CICE4 for sea-ice dynamics and an ocean model based on the Miami Isopycnic Coordinate Ocean Model (MICOM) originally developed by NASA/GISS (Bleck and Smith, 1990). CPL7 is used as coupler. NorESM1-M contains interactive terrestrial carbon cycle processes and aerosols, whereas vegetation properties are prescribed. From NorESM1
615 to NorESM2, the model components from CCSM were updated to CESM2.1 (Danabasoglu et al., 2020) whilst keeping the Norwegian aerosol module and modifying a number of parametrization schemes in CAM6-Nor w.r.t. to CAM6 (Seland et al., 2020). Through the coupling of an updated MICOM version with the ocean biogeochemistry model HAMOCC, combined with the use of ~~the~~ CLM5~~land-surface model, vegetation processes as well as the land~~ , the terrestrial and ocean carbon ~~cycles~~ cycle processes are interactively resolved in NorESM2. Vegetation properties and atmospheric chemistry are prescribed, and
620 the coupler has been updated from CPL7 to CIME, which is also used in CESM2. In the present study, the basic configuration NorESM2-LM is evaluated together with NorESM2-MM, the latter using a much finer horizontal resolution in the atmosphere (see Table 1). The corresponding maps in Figure 10 reveal a low model performance for NorESM1-M with an error magnitude and spatial pattern similar to CCSM4. When switching to NorESM2-LM, i.e. to updated and extended component models and an almost identical resolution in the atmosphere and ocean, notable performance gains are obtained in most regions of the NH,
625 except in a zonal band extending from Newfoundland to the Urals which, further to the East, re-emerges over the Baikal region. In the higher-resolution version NorESM2-MM, these errors are further reduced to a large degree, with the overall effect of obtaining one of the best models considered here.

In the 3 regions of pronounced reanalysis uncertainties, CMCC-CM is in closer agreement with JRA-55 whereas CMCC-CM2-SR5 and CMCC-ESM2 are more similar to ERA-Interim, reflecting the profound change in the model components from
630 CMIP5 to 6 (compare Figure 10 with the "figs-refjra55/maps/rank" folder in the supplementary material). For the NorESM family, different reanalysis affinities are obtained for the 3 regions. While NorESM1 is closer to JRA-55 in all of them, NorESM2-LM is closer to ERA-Interim in the southwestern U.S., but closer to JRA-55 in the Gobi desert. NorESM2-MM is generally less sensitive to reanalysis uncertainty, with some affinity to ERA-Interim in the southwestern ~~U.S.~~United States.

4.9 Summary boxplot, role of model resolution, model complexity and internal variability

635 For each model version listed in Table 1, the spatial distribution of the pointwise MAE values can also be represented with a boxplot instead of a map, which allows for an overarching performance comparison visible at a glance (see Figure 11 for the evaluation against ERA-Interim). Here, the standard configuration of the boxplot is applied. For a given sample of MAE values corresponding to a specific model, the box refers to the interquartile range (IQR) of that sample and the horizontal bar to the median. Whiskers are drawn at the 75th percentile + 1.5 × IQR and at the 25th percentile - 1.5 × IQR. All values outside this
640 range are considered outliers (indicated by dots). Four additional boxplots are provided for the joint MAE samples of the *more*

and the complex model versions (reaching a score $\geq$ 14) and the *less complex* complex model versions used in *CMIP5* and *6*. In these 4 cases, outliers are not plotted for the sake of simplicity. The acronyms of the *coupled* model configurations, as well as their participation in either CMIP5 or 6 (indicated by the final integer), are shown below the x-axis. Along the x-axis, the names of the coupled models' *atmospheric* components are also shown since some of them are shared by various research institutions (see also Table 1).

Results indicate a performance gain for most model families when switching from CMIP5 to 6 (available model pairs are located next to each other in Figure 11). The largest improvements are obtained for those models performing relatively poorly in CMIP5. Namely, FGOALS-g2 improves upon FGOALS-g2 (dark brown), NorESM2-LM and NorESM2-MM upon NorESM1-M (rose), BCC-CSM1.1 upon BCC-CSM2-MR (orange), MIROC6 upon MIROC5 (blue-green) and IPSL-CM6A-LR upon IPSL-CM5A-LR and IPSL-CM5A-MR (grey). GISS-E2-R-5 improves upon GISS-E2-H and GISS-E2-R (green) in terms of *median* performance, but suffers slightly larger spatial performance differences as indicated by the IQR. The MPI (neon green), CMCC (cyan), GFDL (magenta) and MRI (brown) models already performed well in CMIP5 and further improve in CMIP6. Among the MPI models, however, an advantage over the two CMIP5 versions is only obtained when considering the *high-resolution* CMIP6 version (compare MPI-ESM1.2-HR with MPI-ESM-LR and MPI-ESM-MR). Contrary to the remaining models, the performance of the CNRM (red) models does not improve from CMIP5 to 6, which may be due to the fact that the CMIP5 version (CNRM-CM5) already performed very well. Remarkably, CNRM's high-resolution CMIP6 version (CNRM-CM6-1-HR) is performing worst within this model family. Likewise, the ACCESS models (blue) do not improve either if ACCESS1.0 instead of ACCESS1.3 is taken as reference CMIP5 model.

The CMCC, HadGEM, and particularly the EC-Earth model families perform overly best and all three exhibit a performance gain from CMIP5 to 6. NorESM2-MM also belongs to the best performing models and largely improves upon NorESM2-LM and NorESM1. Remarkably, for four out of five possible comparisons, the more complex model version performs similar to less complex one (compare ACCESS-ESM1.5 with ACCESS-CM2, CMCC-ESM2 with CMCC-CM2-SR5, CNRM-ESM2-1 with CNRM-CM6-1-HR and EC-Earth3-CC with EC-Earth3). Only the MIROC family suffers a considerable performance loss when switching from less to more complexity and only in this family the AGCM's resolution is considerably lower in the more complex configurations (compare MIROC-ESM with MIROC5 and MIROC-ES2L with MIROC6 in Figure 11 and Table 1).

A virtual lack of outliers is another remarkable advantage of NorESM2-MM. MRI-ESM2 and GDFL-CM4 are also relatively robust to outliers, but less so than NorESM2-MM. The fewest number of outliers among all models is obtained for EC-Earth, irrespective of the model version.

The model evaluation against JRA-55 reveals similar results (see "figs-refjra55/as-figure-10-but-wrt-jra55.pdf" in the supplementary material), indicating that uncertain reanalysis data in the 3 relevant regions detected above do do not substantially affect the hemispheric-wide statistics. What is noteworthy, however, is the slight but nevertheless visible performance loss for the EC-Earth model family, bringing EC-Earth3 approximately to the performance level of HadGEM3-GC31-MM. If evaluated against JRA-55, all EC-Earth model versions also comprise more outlier results. EC-Earth's affinity to ERA-Interim might be explained by the fact that this reanalysis was also built with ECMWF IFS.

Table 2 provides the rank correlation coefficients between the median MAE w.r.t. to ERA-Interim for each model, corresponding to the horizontal bars within the boxes in Figure 11, and various resolution parameters of the atmosphere and ocean component models. Correlations are calculated separately for the zonal, meridional and vertical resolution represented by the number of grid-boxes in the corresponding direction. Due to the presence of reduced Gaussian grids, longitudinal grid-boxes *at the equator* are considered. In addition, the 2D mesh defined as the number of longitudinal grid boxes × number of latitudinal grid boxes, as well as the 3D mesh defined as the number of longitudinal grid boxes × number of latitudinal grid boxes × number of vertical layers, are taken into account in the analysis. Correlations are first calculated separately for the atmosphere and ocean and, in the last step, the sizes of the atmosphere and ocean 3D meshes are added to obtain the size of the combined atmosphere-ocean mesh. All dimensions are obtained from the *source* attribute inside the netCDF files from ESGF or directly from the data array stored therein. Note that due to an unstructured grid in one ocean model, the breakdown in zonal and meridional resolution cannot be made in this realm.

As can be seen from Table 2, average model performance is closer related to the *horizontal* than to the vertical resolution in the atmosphere. Associations with the ocean resolution are weaker, as expected, but nevertheless significant. Since the resolution increase for most models has gone hand in hand with improvements in the internal parameters (parametrization, model physics, bugs) it is difficult to say which of these two effects is more influential on model performance. However, most of the models undergoing a version change *without* resolution increase do not experience a clear performance gain either. This is observed for the 3 ACCESS versions using the same AGCM (i.e. GA in 1.3, CM2 and ESM1-5) and also for the 3 model versions from GISS, all comprising the same horizontal resolution in the atmosphere within their respective model family. Likewise, CNRM-CM6-1 and MPI-ESM1-2-LR even perform slightly worse than their predecessors (CNRM-CM5 and MPI-ESM-LR), meaning that the update is counterproductive for their performance (see Figure 11). This points to the fact that resolution is likely more influential on performance than model updates as long as the latter are not too substantial. Interestingly, the relationship between the models' median performance and the horizontal mesh size of their atmospheric component is non-linear (rs = -0.72), with an abrupt shift towards better results at approximately 25.000 grid points (see Figure 13a).

Figure 13b shows the ~~sum of the integer code provided in Table 1, column 7, here used as a summary measure for model complexity~~ complexity score described in Section 3.3, plotted against the coupled models' median performance. ~~From this two-dimensional decision base for model selection, one can see~~ The figure reveals that the best performing model family (EC-Earth) is not the most complex one, and that some model configurations performing less well are particularly complex (e.g. CNRM-ESM2-1). Also, ~~model~~ performance is generally unrelated to ~~model~~ complexity, which is an argument in favour of ~~the more complex model versions, since they provide~~ including more component models to reach a more complete representation of the climate system. Interestingly, for four out of five possible comparisons, the most complex model configuration within a given family performs similar to the less complex ones if the AGCM's horizontal resolution is not reduced (compare ACCESS-ESM1.5 with ACCESS-CM2, CMCC-ESM2 with the CMCC-CM2-SR5, CNRM-ESM2-1 with CNRM-CM6-1-HR and CNRM-CM6-1-HR and EC-Earth3-CC with EC-Earth3). Within the MIROC family, this ~~kind of~~ resolution was reduced ~~for~~

710 <u>in</u> the more complex configurations and a systematic performance decrease is observed (compare MIROC5 with and MIROC6 with MIROC-ES2L)

In comparison with the *inter*-model variability discussed above, the *internal* model variability (or "intra-model variability") is much smaller and only marginally affects the results, which for all runs of a given model version are in close agreement even for the outliers (see Figure 12). Albeit the use of alternative model runs might lead to slight shifts in the ranking order at the

715 grid-box scale, a "good" rank would not change into an "average" or even "bad" one. However, while internal model variability only plays a minor role in the context of the present study, some specific models indeed seem to be more sensitive to initial conditions uncertainty (which is where ensemble spread stems from in the experiments considered here) than others, with NorESM2-LM (the lower resolution version only) and NESM3 seemingly being less stable in this sense. Remarkably, MPI-ESM1.2-HR is found to be stable in spite of the fact that it is considered a more "unstable" configuration by its development

720 team because the carbon cycle had not been run to equilibrium for this version (Mauritsen et al., 2019). It is also good news that HadGEM2-ES, known to perform well for *r1i1p1* and consequently used as baseline for many downscaling applications and impact studies of the past (Gutiérrez et al., 2013; Perez et al., 2014; San-Martín et al., 2016), performs nearly identical for *r2i1p1*. Lastly, the large performance increase from IPSL-CM5A-LR to IPSL-CM6A-LR is likewise robust to the effects of internal variability.

725 ## 5   Specific model performance for each Lamb weather type

In Figures 14 to 16, the simulated, hemispheric-wide frequency pattern for a given model and LWT is compared with the respective quasi-observed frequency pattern obtained from ERA-Interim by using a normalized Taylor diagram (Taylor, 2001). The first thing to note here is that, for most LWTs, the models tend to cluster in a region that would be generally considered a good result. Except for some outlier models and individual LWTs, the pattern correlation lies in between 0,6 and 0.9, the

730 standard deviation ratio is not too far from unity (= best result) and the centred normalized RMSE ranges between 0.25 and 0.75 × the standard deviation of the observed frequency pattern.

It is also found that all members of the EC-Earth model family yield best results for *any* LWT (observe the proximity of the yellow cluster to the perfect score indicated by the black half circle). Within the group of the more complex models, NorESM2-MM (the rose triangle pointing to the left) performs best and actually lies in close proximity to the EC-Earth Cluster

735 for most LWTs. The Hadley Centre and ACCESS models (filled with orange and dark blue) form another cluster that generally performs very well for most LWTs. However, the spatial standard deviation of the 3 *eastern* LWTs (cyclonic, anticyclonic and directional) is overestimated by these models, which is indicated by a standard deviation ratio ≈1.25, while values close to unity or below are obtained for the remaining models. It is also worth mentioning that not only ACCESS1.0 but also the other, more independently developed ACCESS versions pertain to this cluster, which indicates the common origin of their

740 atmospheric component (the Met Office Hadley Centre) even at the level of detail of specific weather types. For all other models, the LWT-specific results do not largely deviate from the overall MAE results shown in Section 4, meaning that overall performance is generally also a good indicator of LWT-specific performance. As an example, MIROC-ESM (the blue-green

cross), IPSL-CM5A-LR and IPSL-CM5A-LR (the grey cross and grey plus) are located in the "weak" area of the Taylor diagram for *each* of the 27 LWTs, which is in line with the likewise weak *overall* performance obtained for these models in Section 4.

The corresponding results for the model evaluation against JRA-55 are generally in close agreement with those mentioned above, except for the EC-Earth model family performing slightly less favourable (see "figs-refjra55/taylor" folder in the supplementary material to this article).

6 Summary and Conclusions

In the present study, 56 coupled general circulation model versions contributing historical experiments to CMIP5 and 6 have been evaluated in terms of their capability to reproduce the observed frequency of the 27 atmospheric circulation types originally proposed by Lamb (1972), as represented by the ERA-Interim or JRA-55 reanalyses. The outcome is an objective, regional-scale ranking catalogue that is expected to be of interest for the model development teams themselves, and also for the downscaling and regional climate ~~change~~ model community asking for model selection criteria. In this context, the present study is a direct response to the claim for a circulation-based model performance assessment made by Maraun et al. (2017). In addition, a straightforward method to ~~estimate~~ describe the complexity of the ~~participating model configurations is proposed that relies on the assumption that complexity is proportional to the number of interactive model components~~ coupled model configurations in terms of considered climate system components has been proposed.

On average, the model versions used in CMIP6 perform better than their CMIP5 predecessors~~and this finding~~. This finding is in line with Cannon (2020) and Fernandez-Granja et al. (2021), and holds for the more and the less complex model configurations as defined here. Among a number of tested resolution parameters, the horizontal resolution in the atmosphere is closest related to performance, ~~which is in line with Cannon (2020),~~ with equal contributions from the latitudinal and longitudinal resolution and a weaker relationship with the number of vertical layers. An abrupt shift towards better model results at a horizontal mesh size of approximately 25.000 grid points is observed (see Figure 13a), which might point to the existence of a minimum atmospheric resolution that should be maintained while augmenting the complexity of the coupled model configurations. The corresponding links with the ocean resolution are weaker but nevertheless significant.

Improving the internal model parameters (physics and parametrization schemes) and/or adding more vertical layers to the atmosphere seems to have little effect ~~for most model families~~ on model performance if the horizontal resolution is not refined in addition. This is the case for ACCESS-CM2 w.r.t. ACCESS1.3, CNRM-CM6-1 w.r.t. CNRM-CM5, GISS-E2-1-G w.r.t. GISS-ES-R and MPI-ESM1.2-LR w.r.t. MPI-ESM-LR.

For a subgroup of 13 out of 56 models, the impact of internal model variability on the performance was assessed with 72 additional historical model integrations, each one initialized from a unique starting date of the corresponding pre-industrial control run. The thereby created initial conditions uncertainty has little effect on the overall results. Albeit the point-wise ranking order might change by a few integers when alternative runs are evaluated, which is why a "best model" map is intentionally not provided here, a well performing model would not even change to an "intermediate" one or vice versa if

another ensemble member was put to the test. A similarly small effect was found for changing the reference reanalysis from ERA-Interim to JRA-55, except in the following 3 problematic regions, where reanalysis uncertainties can substantially affect the models' ranking order: the southwestern United States, the Gobi desert, and Greenland plus the surrounding seas.

Since the inclusion of more component models in a coupled model configuration provides a more realistic representation of the climate system and also yields distinguishable future scenarios (Séférian et al., 2019; Jones, 2020), it would make sense to consider ~~model complexity~~ this as an additional model selection criterion in future studies. The approach proposed here is intended to be a straightforward starting point to measure this criterion. It should be further refined as soon as more detailed model documentation, already provided for some climate system components (Séférian et al., 2020), become available in a systematic way, e.g. via the *Earth System Documentation* project (https://es-doc.org/).

~~As a final remark~~Complementary to Brunner et al. (2020), the here provided metadata about the participating component models can also be used to estimate the *a priori* degree of dependence between the numerous coupled model configurations used in CMIP.

Appendix A

The ocean grids referred to in Table 1 are defined as follows: ORCA2 = 182×149, $2°$ with meridional refinement to $0.5°$ near the equator; ORCA1 = 362×292, $1°$ with meridional refinement to $\frac{1}{3}°$ near the equator; ORCA05 = 722×511, $0.5°$ with no refinement; ORCA025 = 1442×1050, $0.25°$ with no refinement; eORCA1.3 = 362×332, $1°$ with meridional refinement to $\frac{1}{3}°$ near the equator; eORCA1 = 360×330, $1°$ with meridional refinement to $\frac{1}{3}°$ near the equator; eORCA025 = 1440×1205, $0.25°$ with no refinement

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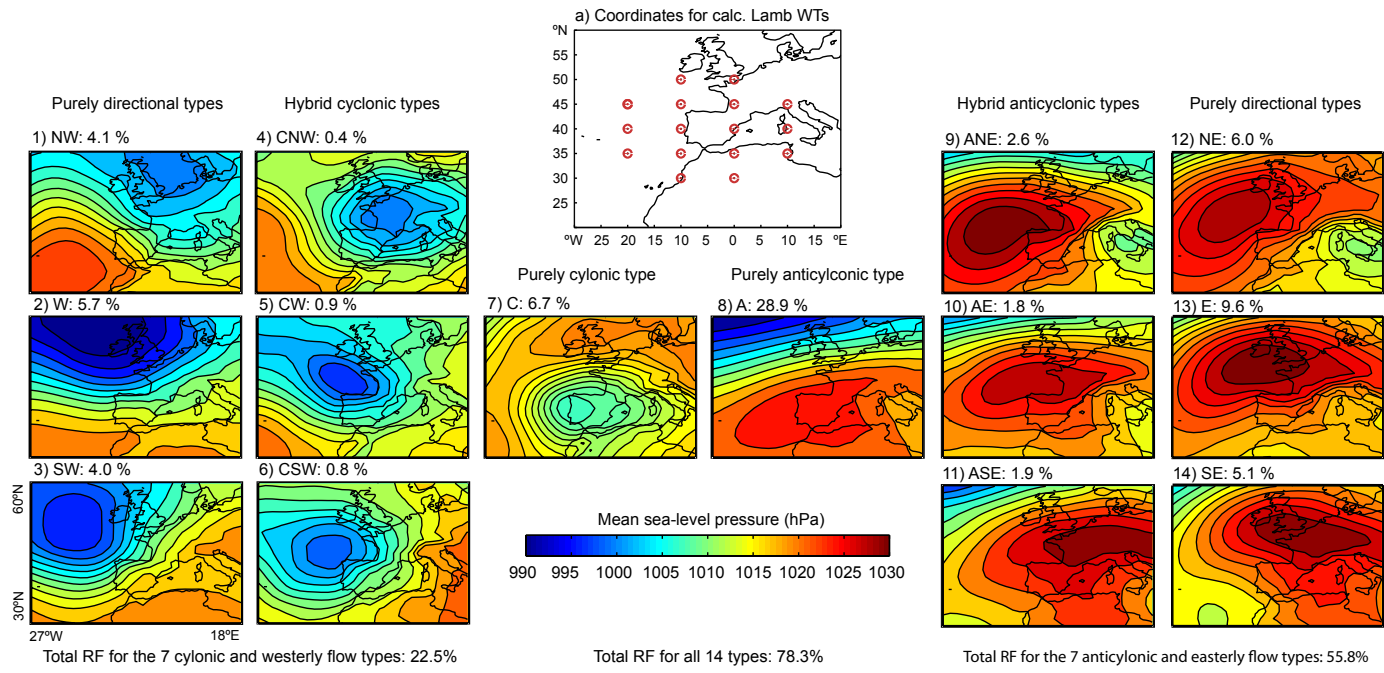
Figure 1. Illustrative example for the usage of the Lamb weather types approach over the central Iberian Peninsula. Shown is the coordinate system configured for this region and a subset of 14 types as well as their relative occurrence frequencies. Note that in the present study, all 27 types originally defined in Lamb (1972) are being used. The figure is taken from Brands et al. (2014), courtasy to John Wiley and Sons.

| Coupled Model	CMIP	Atmos. Model	Ocean Model	Hist. run id	References	Complexity	Affi
ACCESS1-0	5	HadGAM2, 192 × 144, 38 lv	NOAA/GFDL MOM4p1, 360 × 300, 50 lv	r1i1p1	Bi et al. (2013)	~~002000~~ 2222002000	mixe
ACCESS1-3	5	UM7.3-approx. GA1, 192 × 144, 38 lv	NOAA/GFDL MOM4p1, 360 × 300, 50 lv	r1i1p1	Bi et al. (2013)	~~002000~~ 2222002000	mixe
ACCESS-CM2	6	UM10.6-GA7.1, 192 × 144, 85 lv	ACCESS-OM2 (GFDL-MOM5), 360 × 300, 50 lv	r1i1p1f1	Bi et al. (2020)	~~002000~~ 2222002000	mixe
ACCESS-ESM1-5	6	UM7.3-approx. GA1, 192 × 145, 38 lv	ACCESS-OM2 (GFDL-MOM5), 360 × 300, 50 lv	r1i1p1f1 + 1	Ziehn et al. (2020)	~~123020~~ 2222122020	mixe
AWI-ESM-1-1-LR	6	ECHAM6.3.04p1, 192 × 96, 47 lv	FESOM 1.4, 126859 wet nodes (unstructed mesh), 46 lv	r1i1p1f1	Semmler et al. (2020)	~~220100~~ 2222220100	JRA
BCC-CSM1.1	5	BCC-AGCM2.1, 128 × 64 (T42), 26 lv	GFDL-MOM4, 360 × 232, 40 lv	r1i1p1	Wu et al. (2013, 2014)	~~221120~~ 2222221120	non
BCC-CSM2-MR	6	BCC-AGCM3-MR, 320 × 160, 46 lv	GFDL-MOM4, 360 × 232, 40 lv	r1i1p1f1	Wu et al. (2019)	~~221120~~ 2222221120	non
CanESM2	5	CanAM4, 128 × 64, 35 lv	CanOM4, 256 × 192, 40 lv	r1i1p1	Chylek et al. (2011)	~~222021~~ 2222222021	JRA
CCSM4	5	CAM4, 288 × 192, 26 lv	POPv2, 384 × 320, 60 lv	r6i1p1	Gent et al. (2011)	~~221000~~ 2222221000	Inter
CMCC-CM	5	ECHAM5, 480 × 240, T159, 31 lv	OPA8.2-ORCA2, 31 lv	r1i1p1	Scoccimarro et al. (2011)	~~000000~~ 2222000000	JRA
CMCC-CM2-SR5	6	CAM5.3, 288 × 192, 30 lv	NEMO3.6-ORCA1, 50 lv	r1i1p1f1	Cherchi et al. (2019)	~~002000~~ 2222002000	Inter
CMCC-ESM2	6	CAM5.3, 288 × 192, 30 lv	NEMO3.6-ORCA1, 50 lv	r1i1p1f1	Cherchi et al. (2019)	~~022020~~ 2222022020	Inter
CNRM-CM5	5	ARPEGE-Climat v5.2.1 256 × 128, 31 lv	NEMO3.2-ORCA1, 42 lv	r1i1p1	Voldoire et al. (2013)	~~101100~~ 2222101100	mixe
CNRM-CM6-1	6	ARPEGE 6.3 256 × 128, 91 lv, T127 Gr 24572 gb	NEMO3.6-ORCA1, 75 lv	r1i1p1f2 + 2	Voldoire et al. (2019)	~~101100~~ 2222101100	mixe
CNRM-CM6-1-HR	6	ARPEGE 6.3, 720 × 360, 91 lv, T359 Gr 181724 gb	NEMO3.6-ORCA025, 75 lv	r1i1p1f2	Voldoire et al. (2019)	~~101100~~ 2222101100	mixe
CNRM-ESM2-1	6	ARPEGE 6.3, 720 × 360, T127 Gr 24572 gb, 91 lv	NEMO3.6-ORCA1, 75 lv	r1i1p1f2	Séférian et al. (2019)	~~222220~~ 2222222220	mixe
CSIRO-MK3.6	5	AGCM v7.3.8, 192 × 96, T63 spectral, 18 lv	GFDL MOM2.2, 192 × 189, 31 lv	r1i1p1	Collier et al. (2011)	~~000000~~ 2222000000	Inter
EC-Earth-2.3	5	IFS (modified cy31R1), 320 × 160, T159L62, 62 lv	modified NEMO2-ORCA1, 42 lv	r12i1p1	Hazeleger et al. (2011)	~~001000~~ 2222001000	Inter
EC-Earth3	6	IFS (IFS cy36r4), 512 × 256, T255L91 Gr, 91 lv	NEMO3.6-ORCA1, 75 lv	r1i1p1f1 + 16	Döscher et al. (2021)	~~101000~~ 2222101000	Inter
EC-Earth3-Veg	6	IFS (IFS cy36r4), 512 × 256, T255L91 Gr, 91 lv	NEMO3.6-ORCA1, 75 lv	r1i1p1f1	Döscher et al. (2021)	~~221000~~ 2222221000	Inter
EC-Earth3-Veg-LR	6	IFS (IFS cy36r4), 320 × 160, T159L62 Gr, 62 lv	NEMO3.6-ORCA1, 75 lv	r1i1p1f1	Döscher et al. (2021)	~~221000~~ 2222221000	Inter
EC-Earth3-AerChem	6	IFS (IFS cy36r4), 512 × 256, T255L91 Gr, 91 lv	NEMO3.6-ORCA1, 75 lv	r1i1p1f1 + 16	Döscher et al. (2021)	~~102000~~ 2222102000	Inter
EC-Earth3-CC	6	IFS (IFS cy36r4), 512 × 256, T255L91 Gr, 91 lv	NEMO3.6-ORCA1, 75 lv	r1i1p1f1 + 16	Döscher et al. (2021)	~~221020~~ 2222221020	Inter
FGOALS-g2	5	GAMIL2, 128 × 60, hybrid, 26 lv	LICOM2, 360 × 196, tripolar grid, 1/2° in the tropics, 30 lv	r1i1p1	Li et al. (2013)	~~101000~~ 2222101000	JRA
FGOALS-g3	6	GAMIL3, 180 × 80, hybrid, 26 lv	LICOM3, 360 × 218, tripolar grid, 30 lv	r1i1p1	Li et al. (2020)	~~111000~~ 2222111000	mixe
GFDL-CM3	5	AM3p9, 144 × 90, C48L48, 48 lv	MOM4p1, 360 × 200, tripolar grid, 1/3° at equator, 50 lv	r1i1p1	Griffies et al. (2011)	~~202200~~ 2222202200	mixe
GFDL-CM4	6	GFDL-AM4.0.1, 360 × 180, Cubed-sphere, c96, 33 lv	GFDL-MOM6, 1440 × 1080, tripolar 0.25° grid, 75 lv	r1i1p1f1	Held et al. (2019)	~~212210~~ 2222221210	mixe
GISS-E2-H	5	GISS-E2, 144 × 90, 40 lv	Hycom, 1 × cos(lat) tripolar grid north of 58°, mercator below, 26 lv	r6i1p1	Schmidt et al. (2014)	~~101100~~ 2222101100	mixe
GISS-E2-R	5	GISS-E2, 144 × 90, 40 lv	Russel Ocean, 288 × 180, regular lat-lon, 32 lv	r1i1p1	Schmidt et al. (2014)	~~101100~~ 2222101100	mixe
GISS-E2-1-G	6	GISS-E2.1, 144 × 90, 40 lv	GISS Ocean, 288 × 180, regular lat-lon, 32 lv	r1i1p1f1	Kelley et al. (2020)	~~101100~~ 2222101100	non
HadGEM2-CC	5	HadGAM2, 192 × 145, N96L60, 60 lv	HadGOM2, 360 × 216, 40 lv	r1i1p1	Collins et al. (2011)	~~222120~~ 2222222120	mixe
HadGEM2-ES	5	HadGAM2, 192 × 145, N96L38, 38 lv	HadGOM2, 360 × 216, 40 lv	r1i1p1 + 1	Collins et al. (2011)	~~222220~~ 2222222220	mixe
HadGEM3-GC31-MM	6	UM10.6-GA7.1, 432 × 324, N216L85, 85 lv	NEMO-HadGEM3-GO6.0-eORCA025, 75 lv	r1i1p1f3	Roberts et al. (2019)	~~002000~~ 2222002000	mixe
INMCM4	5	INM-CM4 atmoshere model, 180 × 120, 21 lv	INM-CM4 ocean model, 360 × 360, 40 lv	r1i1p1	Volodin et al. (2010)	~~220010~~ 2222220010	JRA
IPSL-CM5A-LR	5	LMDZ4v5, 96 × 95, 39 lv	NEMO3.2-ORCA2, 31 lv	r1i1p1 + 5	Dufresne et al. (2013)	~~221110~~ 2222221110	mixe
IPSL-CM5A-MR	5	LMDZ4v5, 144 × 143, 39 lv	NEMO3.2-ORCA2, 31 lv	r1i1p1	Dufresne et al. (2013)	~~221110~~ 2222221110	non

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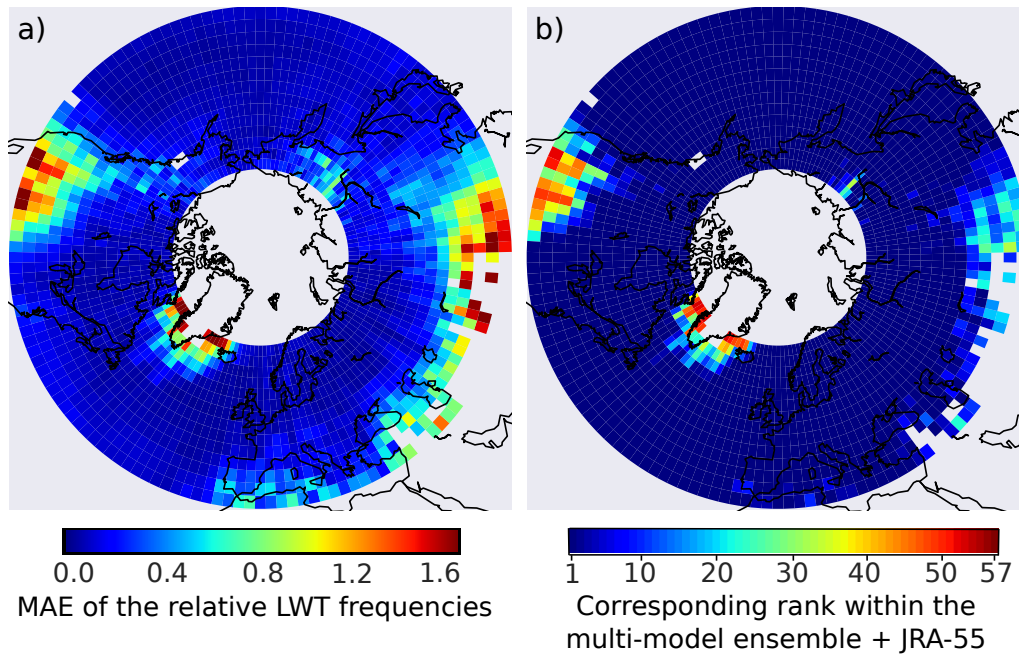
Figure 2. Mean Absolute Error of the relative Lamb weather type frequencies from JRA-55 w.r.t. to ERA-Interim (a), as well as the respective rank within the multi-model ensemble plus JRA-55 (b). The lower the rank, the lower the MAE and the closer the agreement between JRA-55 and ERA-Interim.

Table 2. Rank correlation coefficients between the median MAE values of the 56 models and various resolution parameters of the atmosphere or/and ocean component models. A significant relationship is indicated by an asterisk ($\alpha = 0.01$, two-tailed t-test, H_0 = zero correlation). See text for more details.

Realm	Zonal	Merdional	Vertical	2D	3D
atmosphere	-0.70*	-0.70*	-0.35*	-0.72*	-0.72*
ocean	-	-	-0.49*	-0.46*	-0.55*
atmosphere + ocean	-	-	-	-	-0.65*
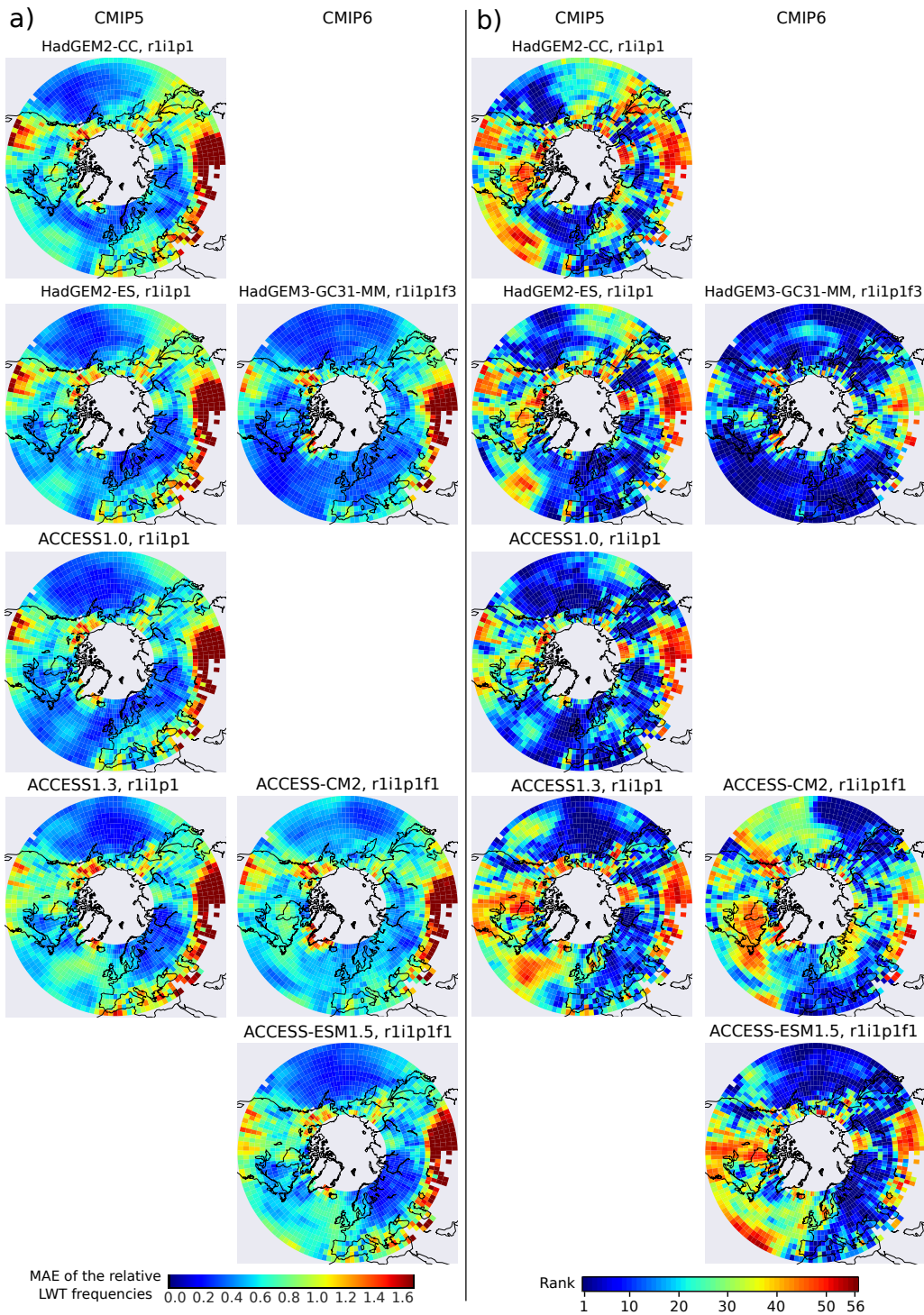
Figure 3. Mean Absolute Error of the relative Lamb weather type frequencies from the historical CMIP experiments w.r.t. to ERA-Interim (column a), as well as the respective rank within the 56 distinct model versions outlined in Table 1 (column b). The lower the rank, the lower the MAE and the better the model. Results are for the *Met Office Hadley Centre* and *ACCESS* model families. Model pairs from CMIP5 and 6 are plotted next to each other. Results are for the 1979-2005 period.
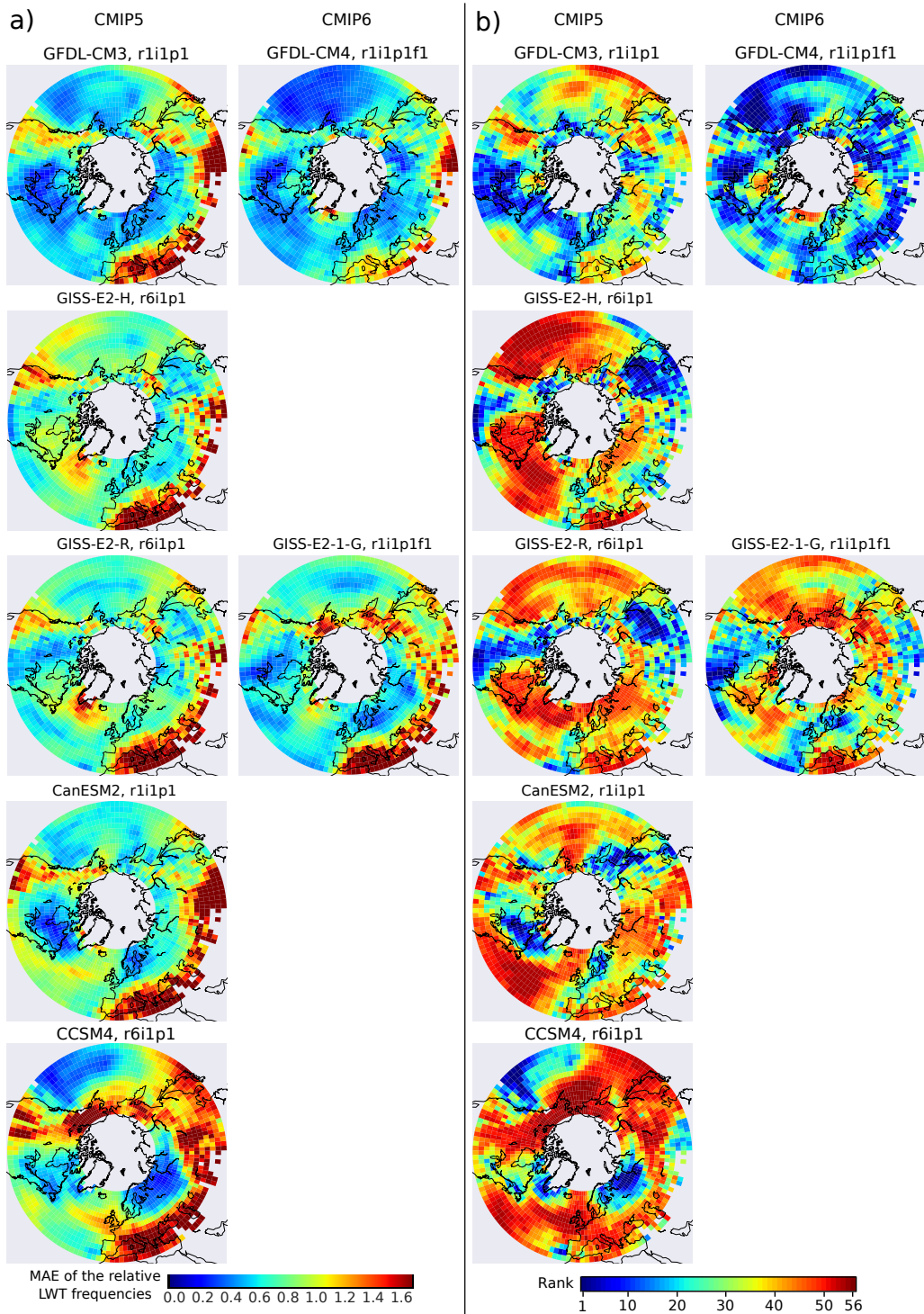
Figure 4. As Figure 3, but for the GFDL, GISS, CCCma and NCAR models.
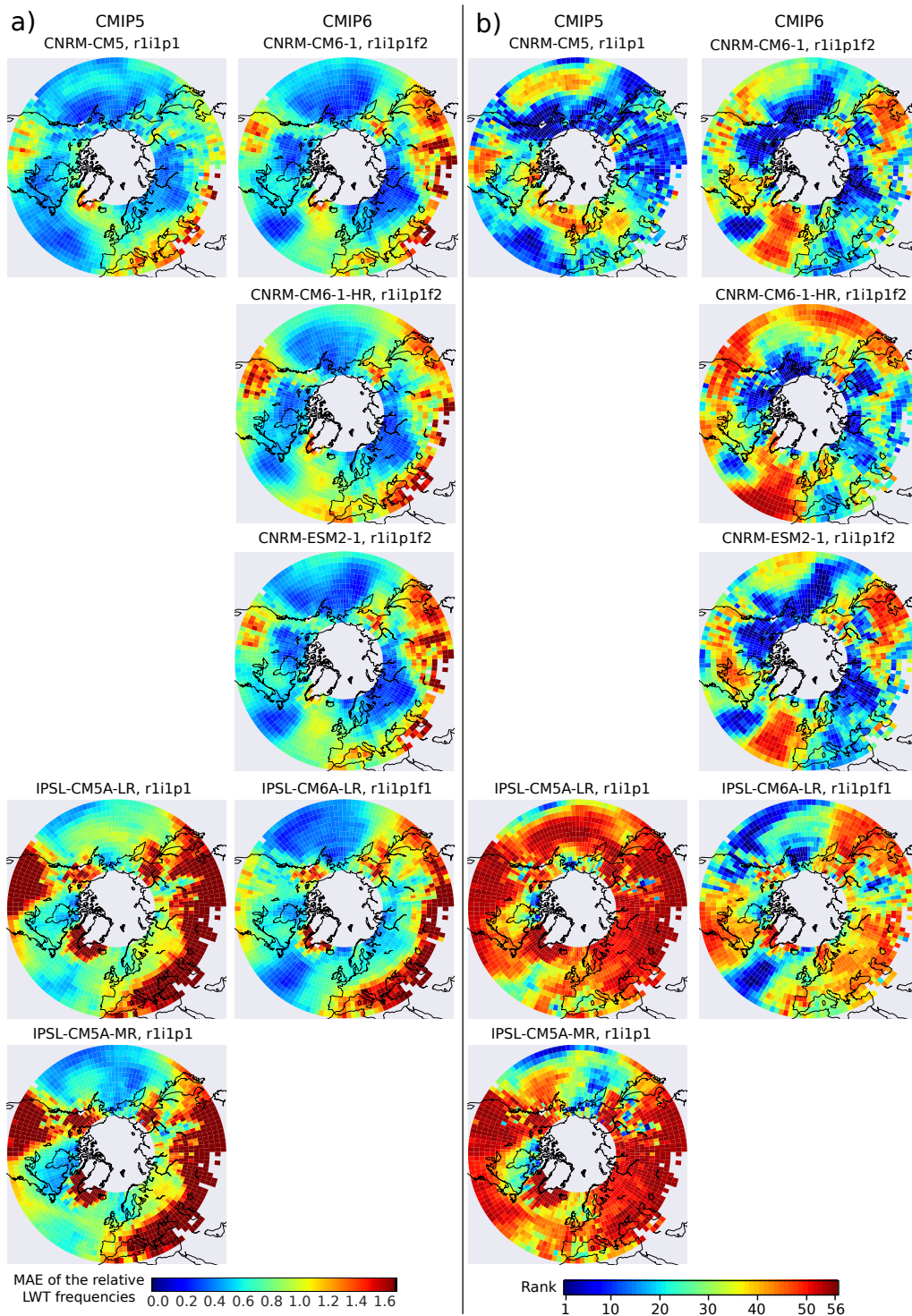
Figure 5. As Figure 3, but for the CNRM and IPSL models
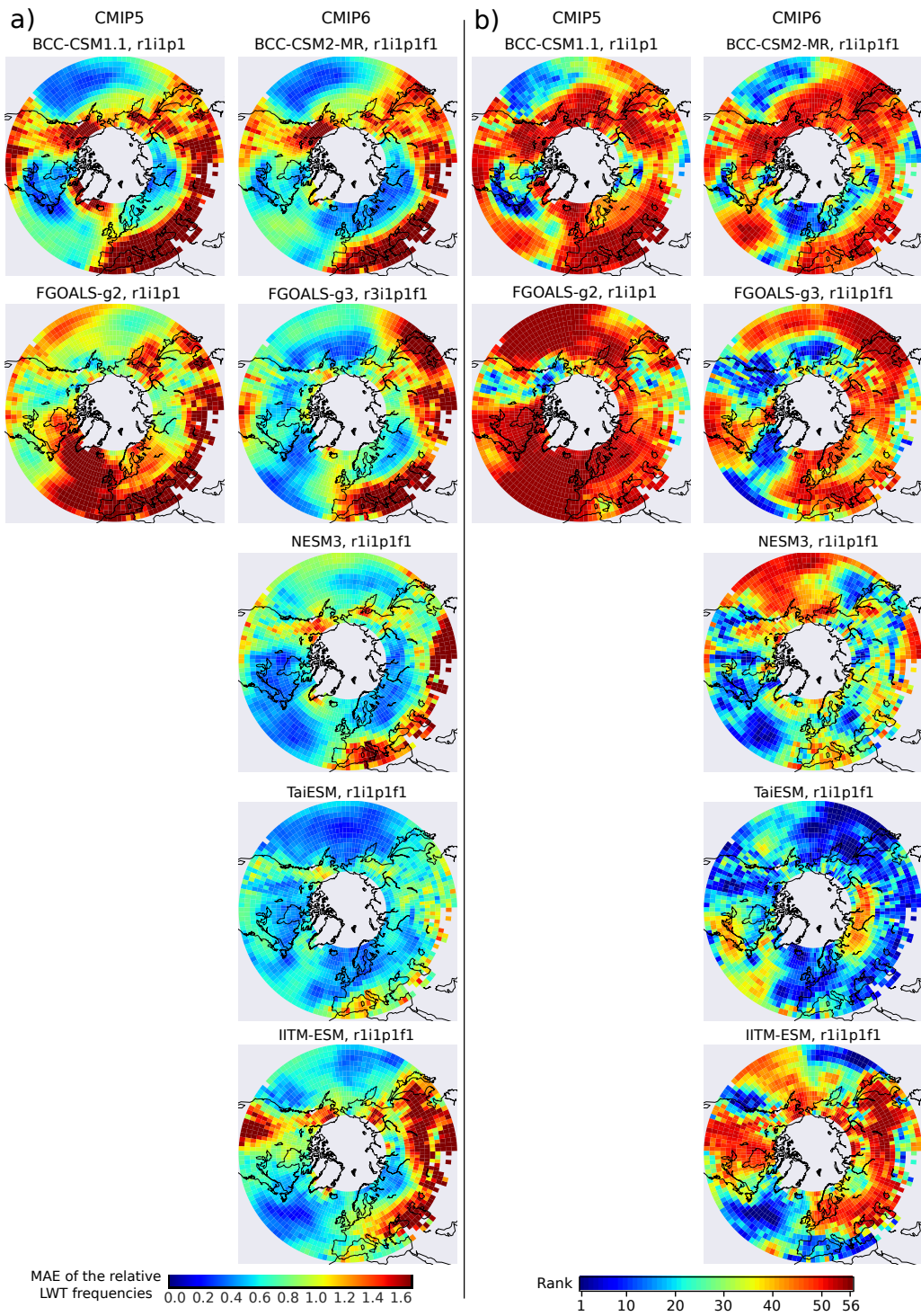
Figure 6. As Figure 3, but for the BCCR and FGOALS models, as well as for NESM3, TaiESM and IITM-ESM
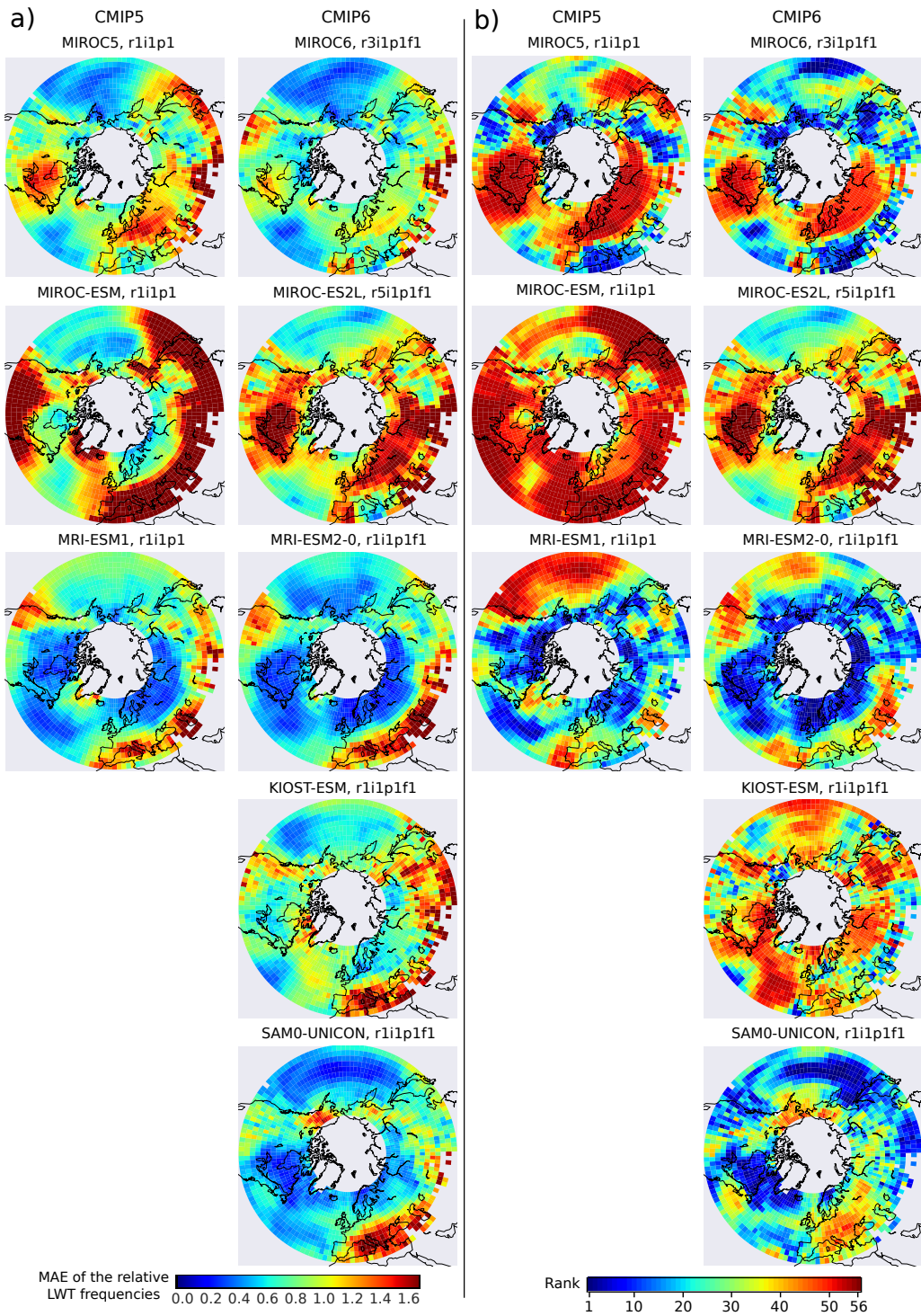
Figure 7. As Figure 3, but for the MIROC and MRI models, as well as KIOST-ESM and SAM0-UNICON.
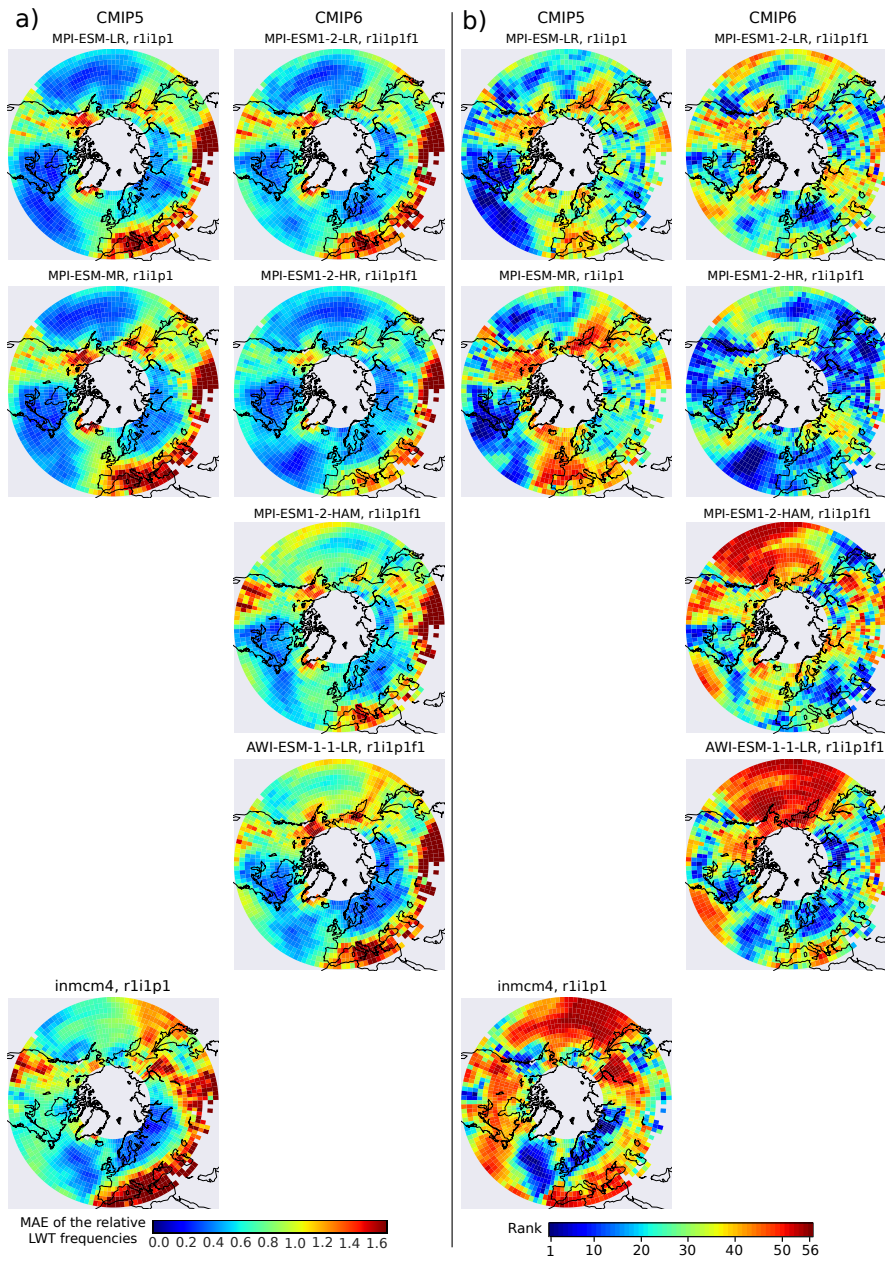
Figure 8. As Figure 3, but for the MPI, AWI and INM models
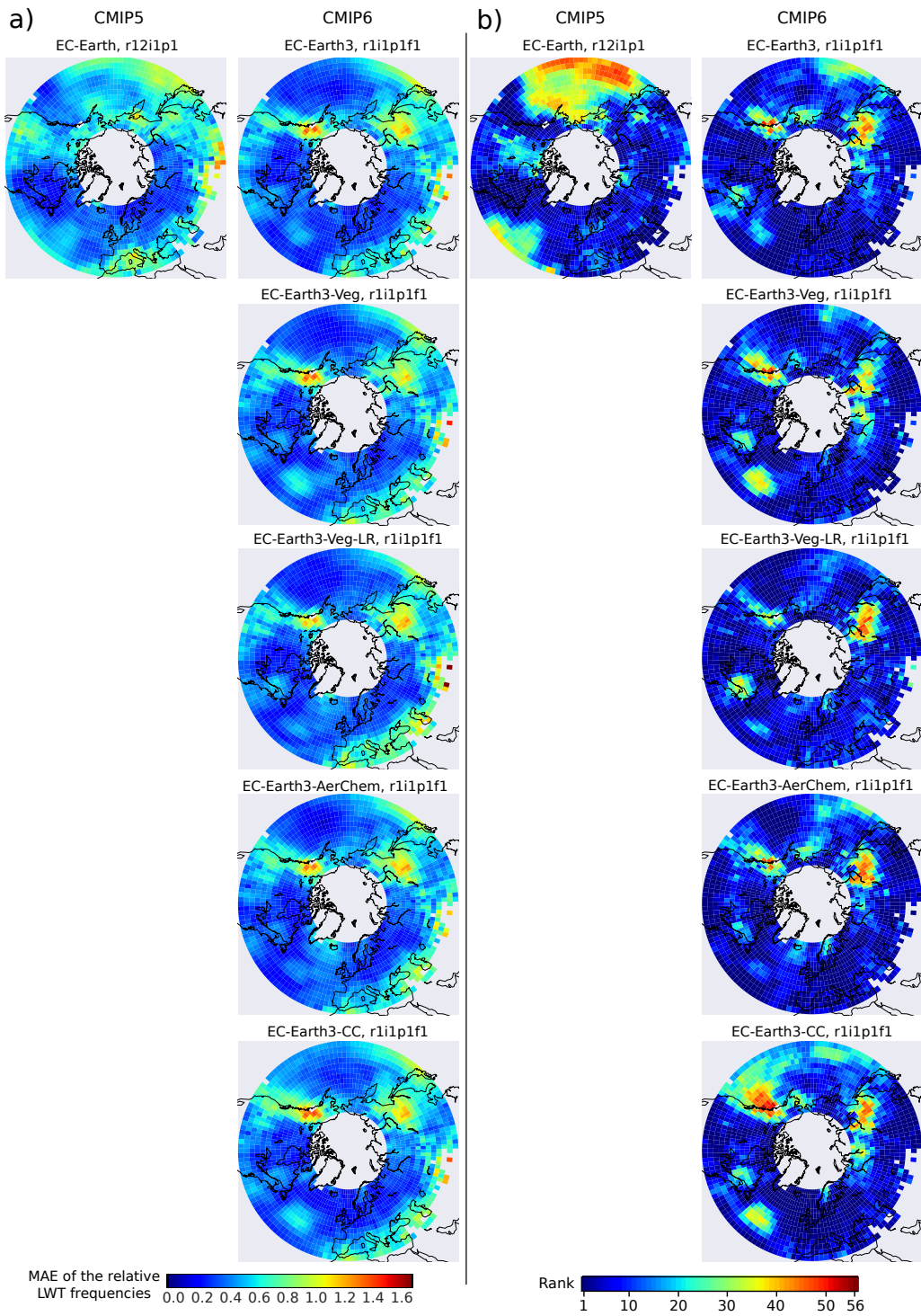
Figure 9. As Figure 3, but for the EC-Earth models
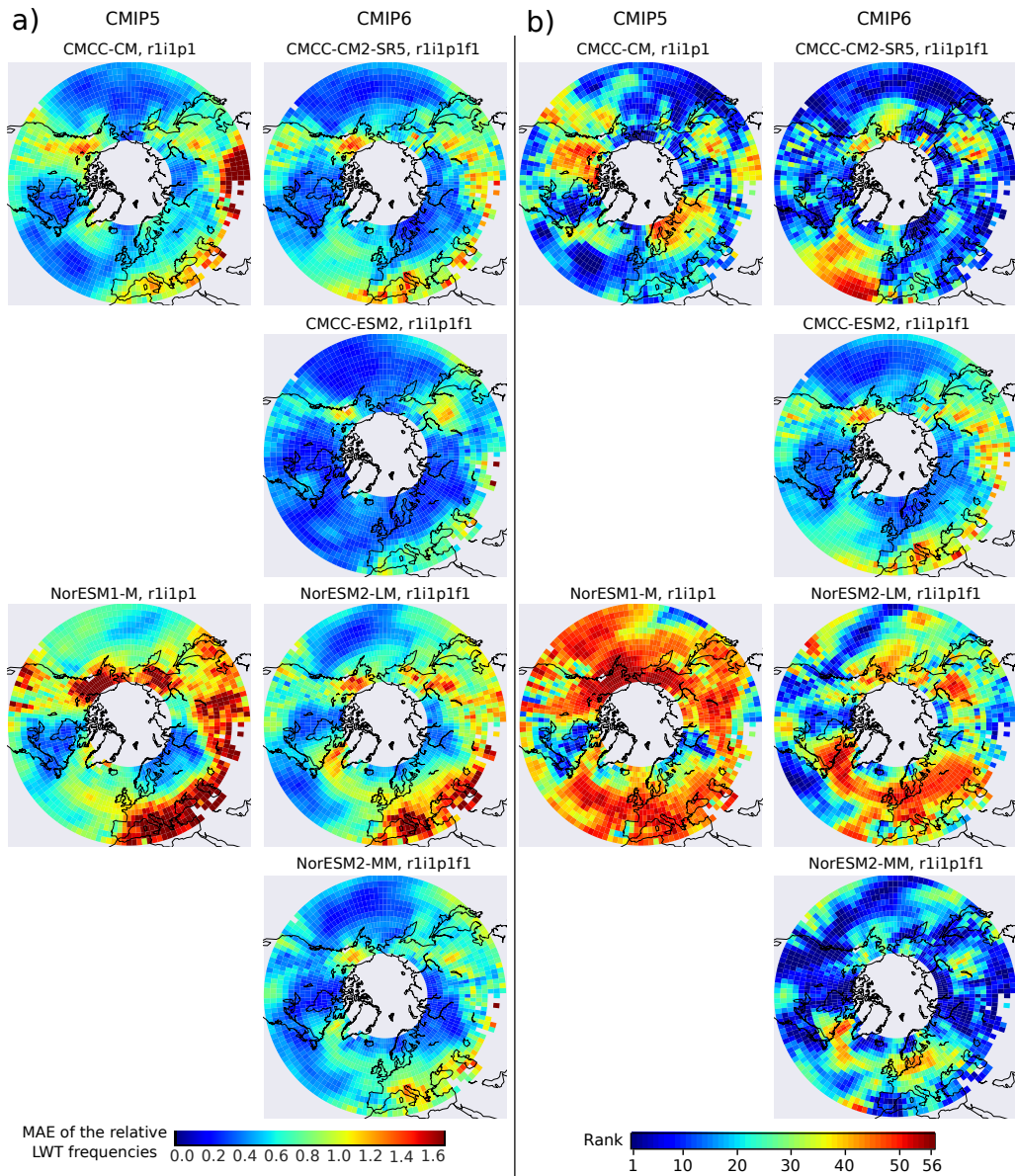
Figure 10. As Figure 3, but for the CMCC and NorESM models
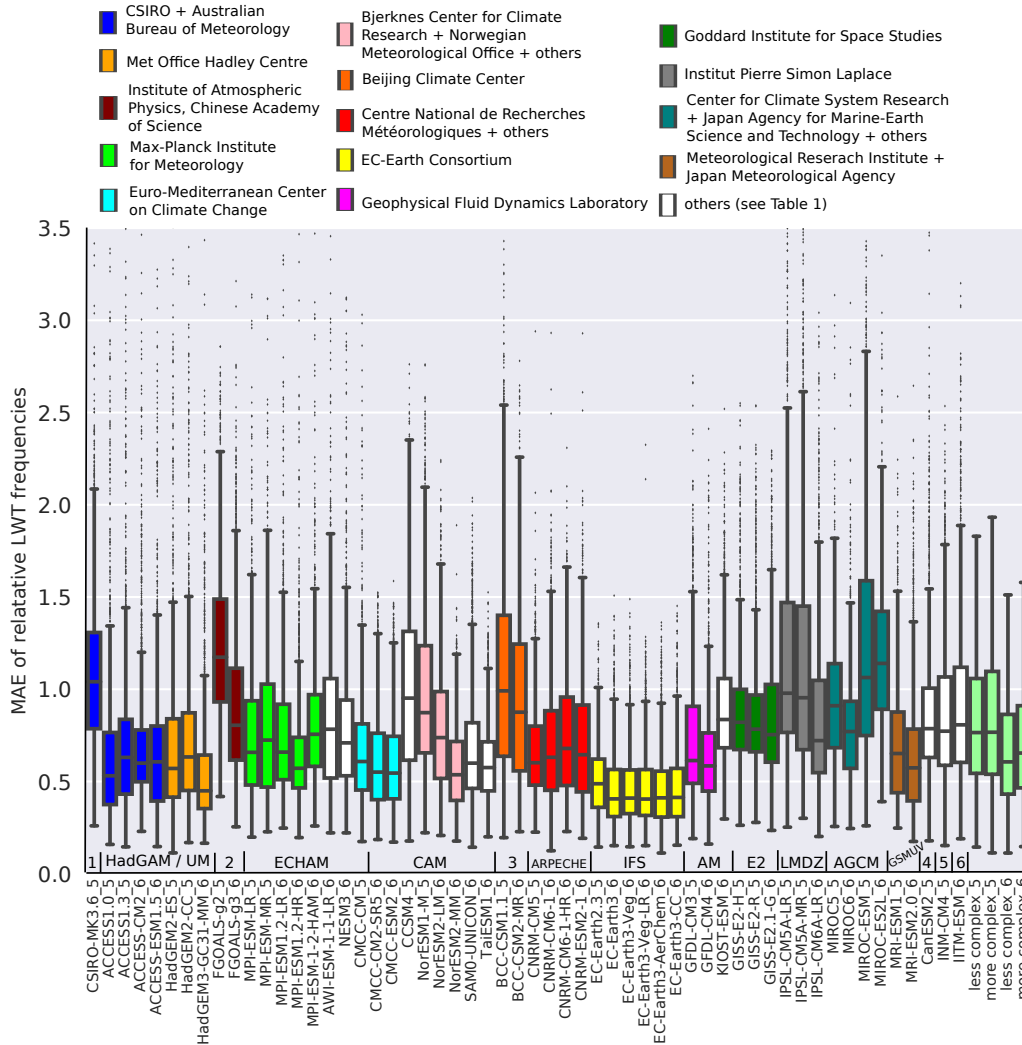
Figure 11. Summary model performance plot; for each model version listed in Table 1 the pointwise MAE values are drawn with a boxplot instead of using a map. Four additional boxplots are provided for the less and the more complex model versions used in CMIP5 and 6, respectively (see text for details). Colours are assigned to the distinct coordinating research institutes, as indicated in the legend. The acronyms of the coupled models, as well as their participation in either CMIP5 or 6 (indicated by the final integer) are shown below the x-axis. Above this axis, the atmospheric component of each coupled model is shown in addition. Results are for the 1979-2005 period and w.r.t. ERA-Interim. AGCM abbreviations along the x-axis are as defined as follows: 1) MK3 AGCM, 2) GAMIL, 3) BCC-AGCM, 4) CanAM4, 5) unnamed and 6) IITM-GFSv1; the names of the remaining AGCMs are indicated in the figure.
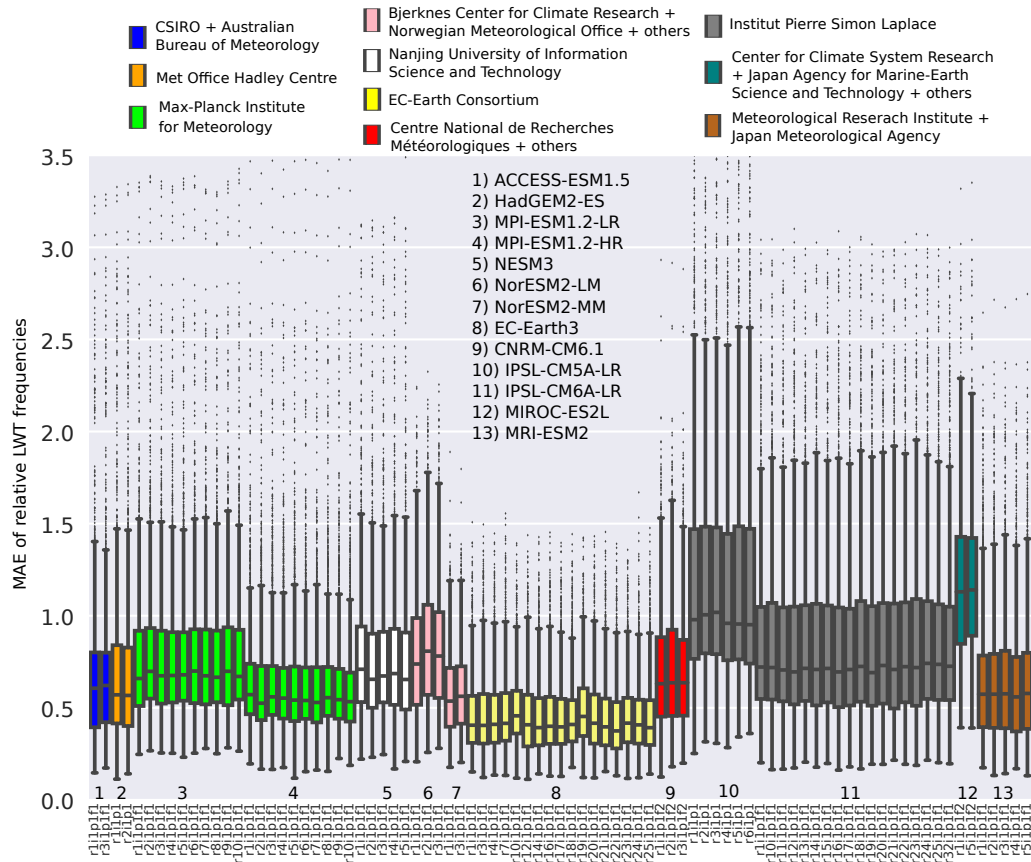
Figure 12. As Figure 10, but considering 72 additional runs for a subset of 13 distinct coupled models. All available runs per model are taken into account, except for IPSL-CM6A-LR for which the analyses were stopped after considering 17 additional ensemble members. Colours indicating the coordinating research institute are identical to Figure 9, except for the *Nanjing University of Information Science and Technology* painted white. Up to 2 ensembles per institute are shown and the acronyms of the individual coupled models are indicated by numbers. The exact run specifications are provided along the x-axis.
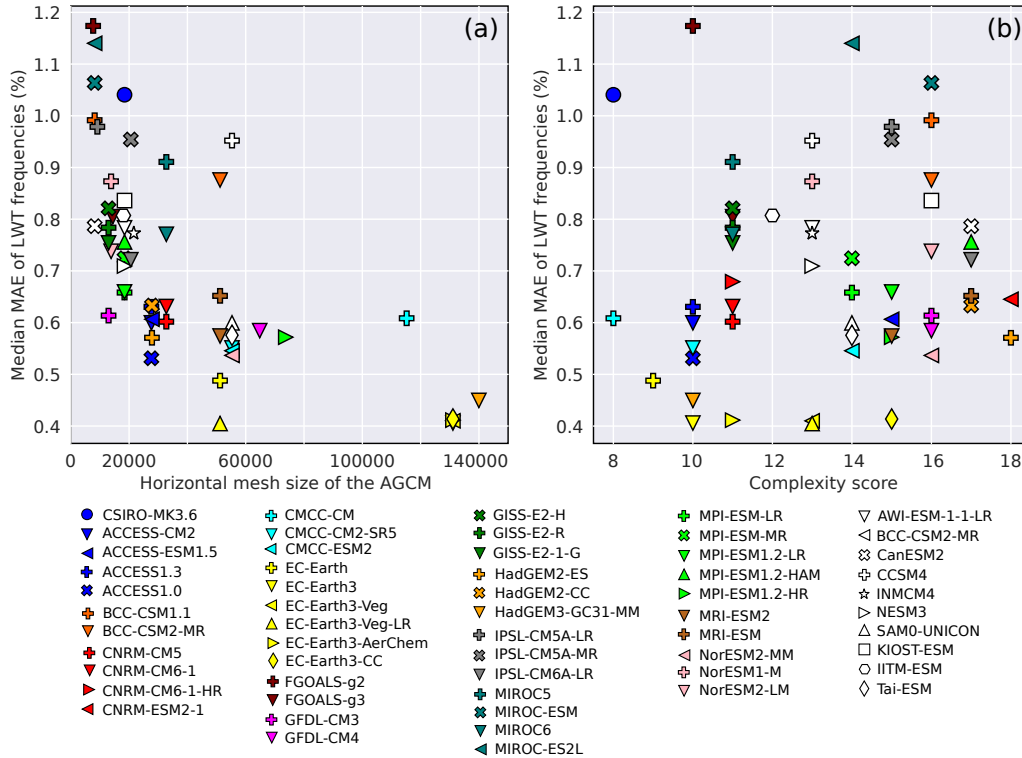
Figure 13. (a) Relationship between the ~~horizontal mesh size of the atmospheric model component and the~~ median ~~model~~ performance of the coupled <u>model</u> configuration ~~;~~ <u>and</u> (~~b~~<u>a</u>) ~~Two dimensional summary plot showing~~ the ~~complexity~~ <u>horizontal mesh size</u> of the ~~coupled model configuration vs.~~ <u>atmospheric component or (b)</u> the ~~median~~ <u>coupled</u> model ~~performance~~<u>complexity score described in Section 3.3</u>. Model performance is w.r.t. ERA-Interim
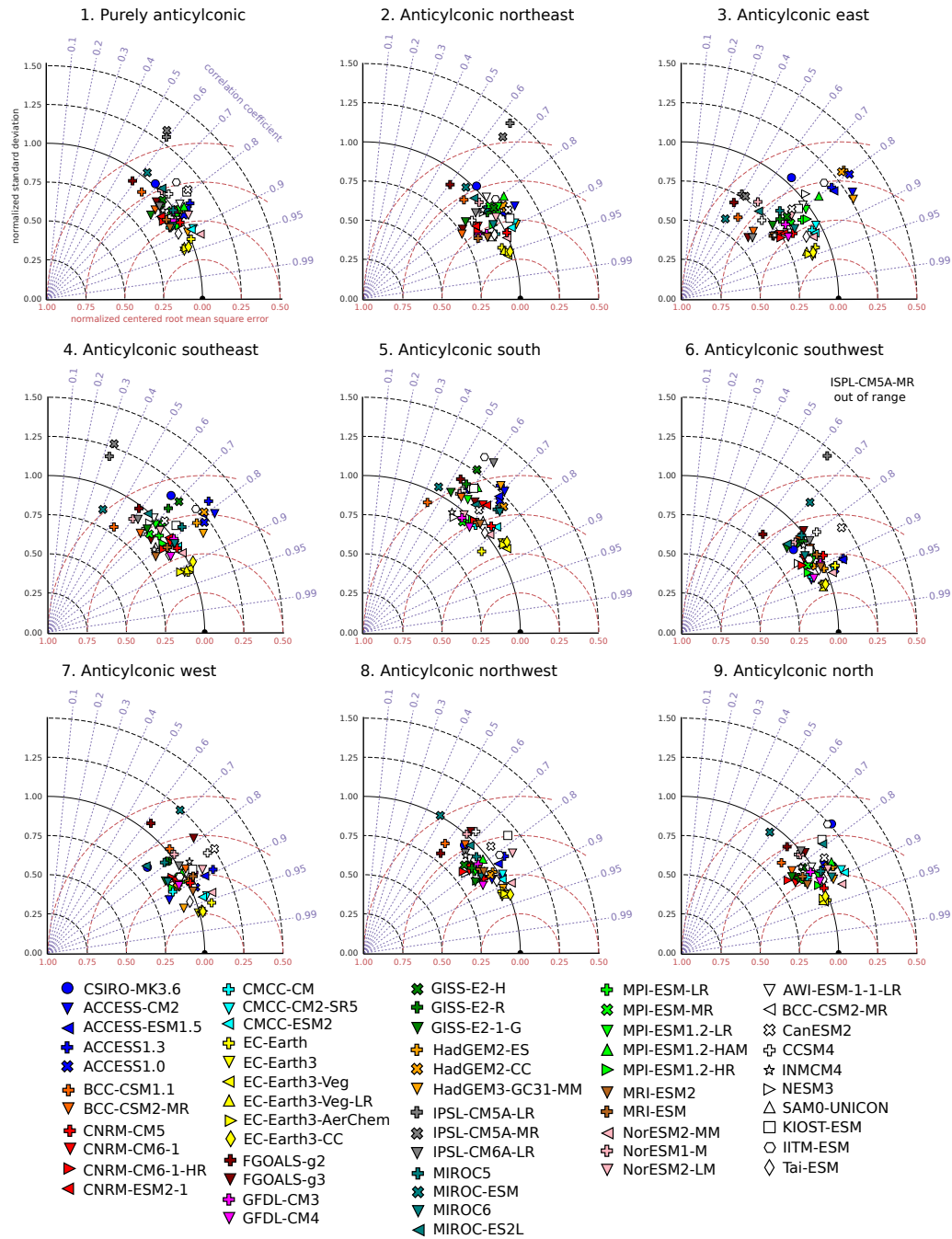
Figure 14. Normalized Taylor diagram for the simulated vs. quasi-observed (from ERA-Interim) hemispheric-wide frequency pattern of a given Lamb weather type. Each panel corresponds to a specific LWT and each of the 56 considered models can be identified by a specific marker and colour, as indicated in the legend. Models pertaining to the same coordinating institution have the same colour. Shown are the results for the 9 *anticylonic* Lamb weather types.
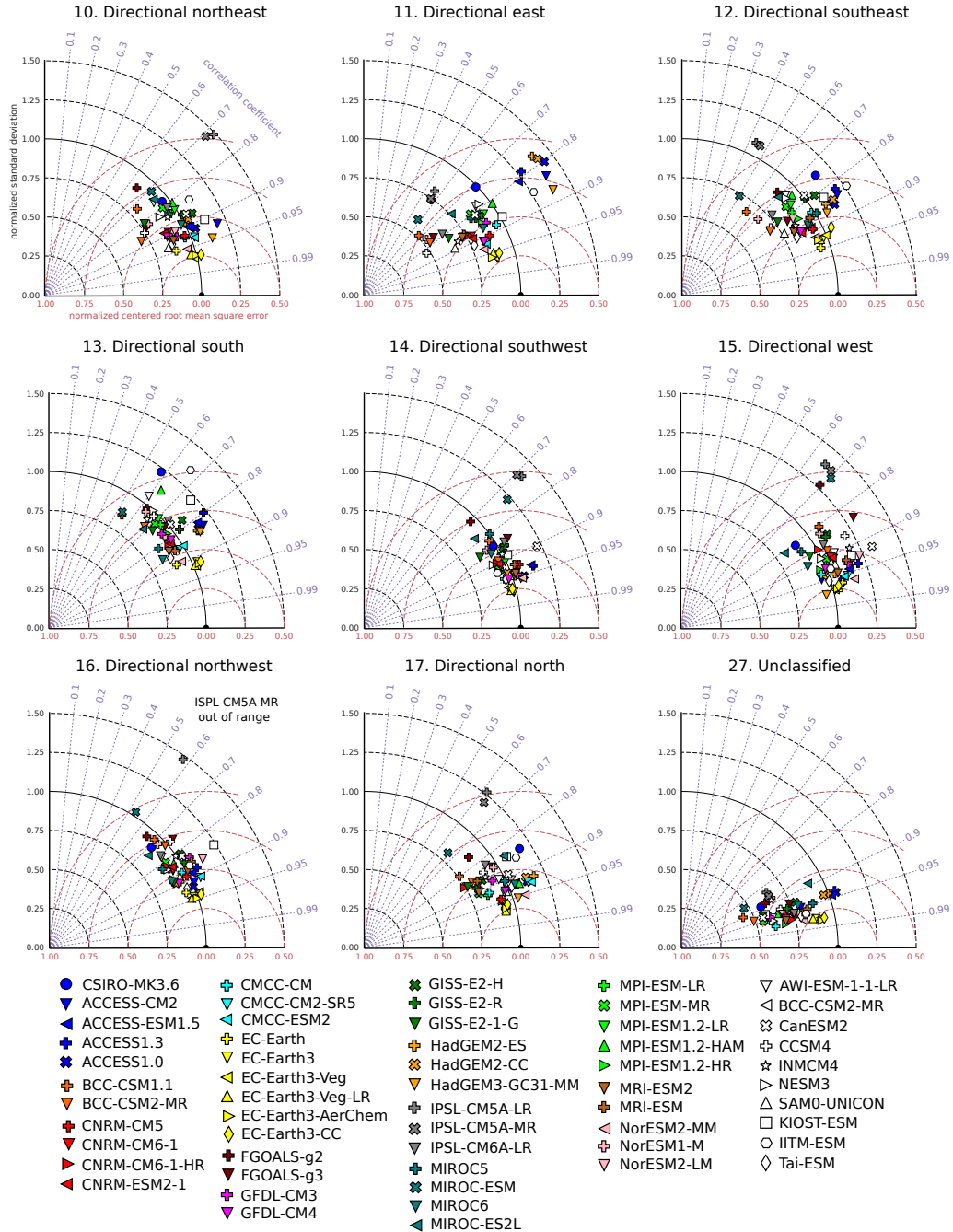
Figure 15. As Figure 14, but for the 8 *purely directional* Lamb weather types and the *unclassified* type.
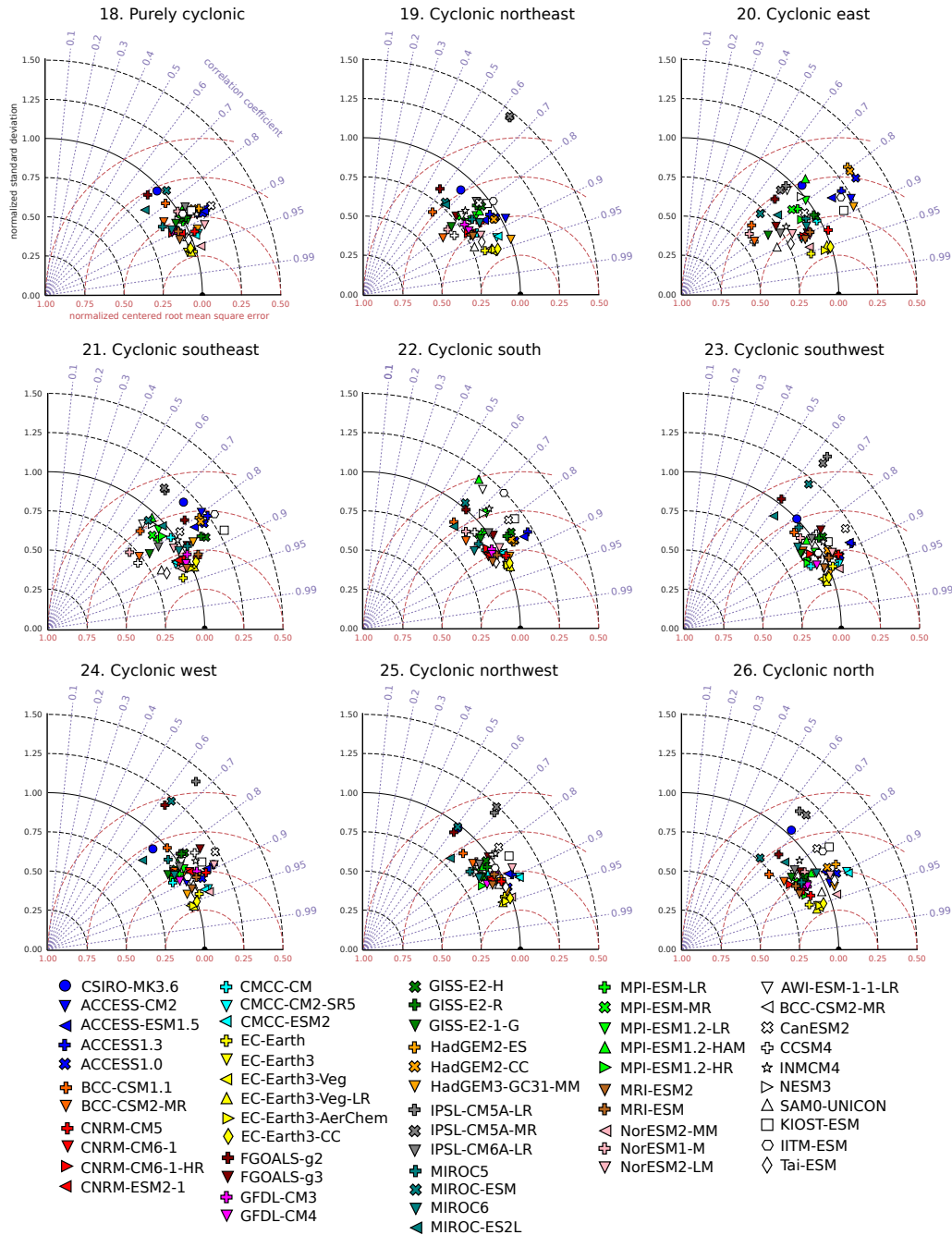
Figure 16. As Figure 14, but for the 9 *cyclonic* Lamb weather types.