We thank the editor and reviewers very much for the dedicated time and efforts they put to improve/sharpen this manuscript with constructive comments. We made our point-by-point response in blue fonts as below. The referee comments are in black fonts.

RC1: Referee comments from #1 anonymous referee.

RC2: Referee comments from #2 anonymous referee.

# Anonymous Referee #1

Referee comment on "STEMMUS-UEB v1.0.0: Integrated Modelling of Snowpack and Soil Mass and Energy Transfer with Three Levels of Soil Physical Process Complexities" by Lianyu Yu et al., Geosci. Model Dev. Discuss., https://doi.org/10.5194/gmd-2020-416-RC1, 2021

This manuscript aims to incorporate the snowpack effect into a STEMMUS-FT modeling framework, with various complexities of mass and energy transfer physics, then investigate the effect of snowpack on soil moisture and heat transfer. In general, the manuscript is well written and interesting to me. I recommend a major revision for this manuscript before its acceptance for publication.

We thank the reviewer very much for the time and effort and also for the insightful comments. Please see our specific response in blue fonts below.

# **General comments:**

[1] RC1: There are too many long sentences which make them hard to follow.

**Response:** We have made changes, separate the long sentences into short ones, trying to make it easy to read and follow.

[2] RC1: Can the simulated time series of daily average albedo and LE (latent heat flux) be longer?

**Response:** We thank the reviewer for the insightful comments. Yes, the temporal spectrum of daily average albedo and LE (latent heat flux) can be longer. For instance, we have run about 3-year simulation (Mar. 2016 – Aug. 2018) of surface energy fluxes, including radiative components, sensible heat fluxes and LE, against the in-situ observations for this site. It well demonstrated the performance of STEMMUS-FT (Yu et al., 2020). The UEB model also shows its widely and successful application spanning a variety of hydrological conditions (Table S3). All these give us confidence that the integrated STEMMUS-UEB model can be applicable to this experimental site. On the other hand, we have to admit that as the harsh environment, the dataset is difficult to achieve and to have it fully corroborated. The accuracy of precipitation measurement (both the amount and its liquid/solid fractions), which is important to have the snowpack dynamics right, is of uncertain and needs effort to have more dataset to constrain it. We add some text in Section 4.1 Limitations (Line 342).

For this work, we focused on developing the integrated STEMMUS-UEB model (in Sect. 2 and Supplement). Furthermore, upon the confirmation of STEMMUS-UEB model performance, we are trying to emphasize/demonstrate its capability for understanding the effect of snowpack on the subsurface soil water and heat transfer processes. With the aid of both in-situ measurements and numerical experiments, we can see that: i) the presence of snowpack can be identified by the abrupt dynamics of daily average albedo. STEMMUS-UEB model well captured the large abrupt of daily average albedo with the precipitation. ii) models considering the snowpack process generally presented better simulation performance than models without snowpack. Then we further illustrated the capability of STEMMUS-UEB, in terms of understanding how snow water infiltrates downwards and interacts with subsurface soil water and heat regimes. [3] RC1: The freezing and melting processes are a cyclic process, it will be more reasonable to describe the two processes together (section3.4.1 & 3.4.2).

**Response:** We agree that freezing and melting processes are inherently bounded together. We made changes about section 3.4.1 & 3.4.2 according to reviewer's comments. First, we presented diurnal dynamics of the observed and model simulated latent heat flux (LE) during the rapid freezing and thawing periods with precipitation events in Figure 6 and 7, respectively.

Then the relative contribution of individual flux components to the total mass transfer were presented in Figure 8 and 9, respectively, for the freezing and thawing periods.

The freezing and thawing processes were described together in Section 3.4.

[4] RC1: The language could be polished in various places in order to facilitate understanding.

**Response:** Thanks a lot for the helpful comments. We had the manuscript English edited.

# Specific comments:

[5] RC1: The overview of the coupled STEMMUS-FT and UEB model framework and model structure in figure 1, the text is too small to read.

**Response:** We made modifications of the text in figure 1 and also made it as Landscape orientation instead of Portrait orientation.

**[6] RC1:** Figure 6 is too long, which can be divided into three figures or rearranged. Time series of different variables overlapped and changes in different variables are not visible. Such as qLh and qLT. Same as other figures.

**Response:** Figures were rearranged to make it easy to read. Figure 6 and Figure 7 were further presented as Figure 6, Figure 7, Figure 8, and Figure 9. The state variables were presented together as a cyclic freezing-thawing process. The comparison of observed and model simulated latent heat fluxes (LE) for the freezing and thawing periods were given sequentially in Figure 6 and Figure 7. Figure 8 and Figure 9 present the model simulated latent heat flux and surface soil thermal and isothermal liquid water and vapor fluxes for the freezing and thawing periods (Section 3.4).

We use different type of lines (dotted line for  $q_{LT}$ , dashed lines for  $q_{Vh}$ , and  $q_{Va}$ , and solid lines for  $q_{VT}$ ,  $q_{Lh}$ ,  $q_{La}$ , with different colors) to make the different flux component visible in figures.

[7] RC1: In Figure 7 (e, f, h, i), the sharp changes should be explained on Day 103. The figure and legend are overlapped.

**Response:** We moved the legend a little bit to avoid its overlapping with the figure content. For the sharp changes of isothermal liquid and vapor fluxes ( $q_{Lh}$ ,  $q_{Vh}$ ), it is due to the large increase of surface soil moisture after the precipitation events (see Supplement Figure S2 d & f). This resulted in the large gradient of matric potential thus the sharp changes of isothermal liquid and vapor fluxes. We add the explanation in Section 3.4 (Line 321).

# Anonymous Referee #2

Referee comment on "STEMMUS-UEB v1.0.0: Integrated Modelling of Snowpack and Soil Mass and Energy Transfer with Three Levels of Soil Physical Process Complexities" by Lianyu Yu et al., Geosci. Model Dev. Discuss., https://doi.org/10.5194/gmd-2020-416-RC2, 2021

[1] RC2: This study presents a new integrated modelling of snowpack and soil water/energy transfers, called STEMMUS-UEB, presenting three levels of soil transfer complexities. The model is evaluated on one site equipped with soil temperature, moisture and energy fluxes sensors. The performances of the 3 options of the model are discussed. This is an interesting paper but quite difficult to follow and some questions need to be addressed before further consideration for publication.

**Response:** We thank very much the reviewer for the insightful comments. Please see our specific response as below.

[2] RC2: A general issue is that the test site seems to be poorly influenced by snow. I am therefore wondering if it is really appropriate for the model evaluation.

**Response:** Great thanks for this critical comment. As partly explained in **[2] RC1**, this work is to describe the integrated soil-snow model STEMMUS-UEB and further confirm that STEMMUS-UEB model can identify and understand the snowpack effect, even for regions with the intermittent snowfall events.

The selected site is covered by a seasonal frozen ground with mostly episodic snowfall events. Compared to the sites with heavy snow events and thick snow layer, this site is indeed less influenced in some sense, e.g., snowmelt runoff. Nevertheless, the snow accumulation and snowmelt infiltration and its effects on the subsurface soil can still be

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identified, which indicates how sensible the STEMMUS-UEB can represent such intermittent snowfall events.

In addition, such conditions (seasonal frozen ground with episodic snowfall events) commonly exist in the high mountain cold regions around the globe, while its implications for subsurface water and heat dynamics (therefore, the subsequent impact on land-atmosphere interactions) are rarely studied. Nevertheless, the STEMMUS-UEB can be applied for the sites with thick snow cover or perennial snowpack, since the coupled model is constructed to account for the physically-based processes.

From the perspective of experimental measurements, it is indeed helpful to enrich the snowpack-relevant data (e.g., high resolution observation of the spatiotemporal field of wind speed, precipitation, and snowpack variations) and make them more constraint and less of uncertainty. We add some text in Section 4.1 Limitations (Line 342).

[3] RC2: The model description in the main paper lacks on the description of the thawing/freezing processes: how is the fraction of liquid/solid water calculated and what about the soil hydraulic conductivity? how the rainfall/snowfall partition is done?

**Response:** We added the description of thawing/freezing process in Section 2.1 (Line 98 and Line 124).

a. how is the fraction of liquid/solid water calculated and what about the soil hydraulic conductivity?

The frozen soil physics considered in STEMMUS-FT include three parts: i) the ice blocking effect on soil hydraulic conductivities (see Supplement Sect. 2.2.2); ii) the inclusion of ice effect in the calculation of soil thermal capacity/conductivity (see Supplement Sect. 2.2.8); iii) the exchange of latent heat flux during phase change periods. With the aid of Clausius Clapeyron relation, which characterizes the phase transition between liquid and solid phase in the thermal equilibrium system. The soil water characteristic curve (e.g., van Genuchten, 1980) is then extended to consider the freezing temperature dependence, i.e., soil freezing characteristic curve (Hansson et al., 2004; Dall'Amico et al. 2011). The fraction of soil liquid/solid water at a given temperature was then calculated prognostically with the soil freezing characteristic curve. Soil hydraulic parameters were further used in the Mualem (1976) model to compute the soil hydraulic conductivity. The ice effect is considered by reducing the soil saturated hydraulic conductivity as the function of ice content (Yu et al., 2018).

b. how the rainfall/snowfall partition is done?

Two precipitation types, i.e., rainfall and snowfall, are discriminated by the dependence on air temperature.

# [4] RC2: Figure 2 is too small and difficult to read

**Response:** We rescaled the y-axis (from [0,1] to [0.1,0.7]) and enlarged Figure 2 to make it easy to read.

**[5] RC2:** Figures 6 and 7 are also difficult to understand: the precipitation events are rainfall or snowfall? what is the amount of SWE during that periods? It is surprising to see that the model without snow modeling performs generally better in the simulation of the latent heat flux compared to the snow model. It would be necessary to elaborate a bit more on that result.

**Response:** Figure 6 and 7 were modified correspondingly. Both the precipitation amount and the simulated snowfall (SWE) component were presented in the updated figures. The rainfall is the precipitation minus snowfall component.

For the discrepancies in terms of latent heat flux for the selected periods, it is possibly due to the inaccurate precipitation measurements and interpretation, in terms of either the amount, time of precipitation, or the partition of precipitation into solid and liquid forms. Moreover, the simple air temperature-precipitation type relation maybe not suitable for this region. As argued by Ding et al. (2014), air temperature is not the best indicator of precipitation types. Other factors, i.e., relative humidity, elevation, and wetbulb temperature, are also very relevant and should be taken into account. The uncertainties in discriminating the precipitation types can be the possible reason here. The episodic snowfall events are challenging to be well captured and simulated by the current snowpack models. The snowpack accumulation, melting process and water and energy partitioning of snowpack into snow sublimation and the snowmelt are with uncertainties as well.

We add some more text to explain and discuss such limitations in Section 4.1 (Line 349). Nevertheless, this work focused on identifying the snowpack impacts on the underlying subsurface water and heat dynamics. And, the difference between the model simulations with and without the snow module can be attributed to the different surface water and energy regimes. Models without snow regards the precipitation as the rainfall, i.e., liquid form of water, adds on the topsoil surface immediately. Most of the incoming water directly contributes to the infiltration process.

While for the model with snow module, it considers snowpack related processes, accumulation, sublimation, melting process and then the water infiltration process. Compared with the model without snow module, the increase of surface soil moisture is usually delayed and less significant.

The difference in surface water status results in different gradients of matric potential. Models without snow have larger gradient of matric potential for the top surface soil layer. Then more amount of isothermal liquid and vapor fluxes ( $q_{Lh}$ ,  $q_{Vh}$ ) were generated contributing to the total latent heat flux.

Only with consideration of two-phase flow (ACD, ACD-air), the difference between models with and without snow module can be identified during the daytime after winter precipitation events. Generally, from the foregoing, considering the vapor flow/airflow retarded the total surface water transfer (Figure 6 a vs. b/c). Identifying the difference and understanding what lies behind these differences between models with and without snowpack can be only made by two phase flow model (ACD, ACD-air). These are the highlighting points and benefits of the developed integrated soil-snow model STEMMUS-UEB.

[6] RC2: In the title, I suggest to replace "mass" by "water" to be more precise.

**Response:** We replace "mass" with "water" in the title.

[7] RC2: The English need to be revised

Response: We carefully revised the English as suggested.

[8] RC2: The abstract need to be rewritten to better highlight the main findings of the work

**Response:** We rewritten the abstract to highlight the main take home messages of this work.

The main findings of the work are briefly summarized here as:

i) we developed an integrated soil-snow-atmosphere model, STEMMUS-UEB, which takes advantage of the easily transferable and physically based description of the snowpack process by UEB snowmelt model and the detailed interpretation of the soil physical process by STEMMUS-FT model.

ii) the proposed model can well capture the dynamics of daily average albedo, latent heat flux, and the snowpack effect.

iii) three mechanisms, i.e., surface ice sublimation, snow sublimation and increased soil moisture, contribute to the enhanced latent heat flux after winter precipitation events.

iv) Physically realistic analysis of the snowpack effects (e.g., LE enhancement) can only be reproduced by the advanced coupled STEMMUS-UEB (ACD, ACD-air). The basic coupled version of STEMMUS-UEB (BCD) models, however, cannot provide a realistic description of the soil water and heat transfer.

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# STEMMUS-UEB v1.0.0: Integrated Modelling of Snowpack and Soil <u>Mass-Water</u> and Energy Transfer with Three <u>Complexity</u> Levels of Soil Physical Process <u>Complexities</u>

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#### Abstract

<u>SnowpackA snowpack</u>, as the indispensable component in cold regions, has a profound effect on the hydrology and surface energy conditions <u>of an area</u> through its <u>modification effects on of the</u> surface albedo,

- 15 roughness, and <u>its</u> insulating property. <u>Although (The modelling of the a snowpack, soil water dynamics, and the coupling of the snowpack and underlying soil layer has been widely reported, <u>However</u>, the <u>analysis of coupled liquid-vapor-air</u> flow mechanisms considering the snowpack effect <u>was\_have\_not beenyet</u> investigated in details. In this study, we incorporated the snowpack effect (Utah Energy Balance model, UEB) into a common modeling framework (Simultaneous Transfer of Energy, Mass, and Momentum in</u>
- 20 Unsaturated Soils with Freeze-Thaw, STEMMUS-FT<del>), j. i.e., STEMMUS-UEB. It considers soil water and energy transfer physics</del> with three complexity levels various complexities of mass and energy transfer physics (from the basic coupled, to advanced coupled water and heat transfer, and further to the explicit consideration of airflow, termed BCD, ACD, and ACD-air, respectively). We then utilized the in-in-situ observations and numerical experiments to investigate the effect of snowpack on soil moisture and heat transfer with the above-
- 25 mentioned model complexities. Results indicated that the proposed model with snowpack can reproduce the abrupt increase of surface albedo after precipitation events ean be only reproduced by models consideringwhile this was not the case for the model without snowpack. The BCD model tended to overestimate the land surface latent heat flux (LE). Such overestimations were largely reduced by ACD and ACD-air models. Compared with the simulations considering snowpack, there is less surface latent heat

35 partition of surface latent heat fluxmass transfer flux. The ACD model, with its physical consideration of vapor flow, thermal effect on water flow, and snowpack, can identify the relative contributions of different components (e.g., thermal or isothermal liquid and vapor flow) to the total mass transfer fluxes. With the ACD-air model, the relative contribution of each component (mainly the isothermal liquid and vapor flows) to the mass transfer was significantly altered during the soil thawing period. It was found that the snowpack

40 affects not only the soil surface moisture conditions (surface ice and soil water content in the liquid phase) and energy-related states (albedo, LE) but also the transfer patterns of subsurface soil liquid and vapor flow.

### 1. Introduction

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In cold regions, the snowpack has a profound effect on hydrology and surface energy through its modification change of the surface albedo, roughness and insulating property (Boone and Etchevers, 2001; Zhang, 2005). Different than rainfall, precipitation water of the melted snowfall enters the soil with a significant lag in time: However, and a large and sudden outflow or runoff may be produced because of the snowmelt effect. The heat insulating effect-property of snow cover also provides a buffer layer to reduce the magnitude of the underlying subsurface temperature variations and thus markedly affect the thickness of the active layer in

cold regions. The effect of snow cover on the subsurface soils has been studied and reviewed (e.g., Zhang,

2005; Hrbáček et al., 2016). For instance, snow cover can act as an insulator between atmosphere and soil with its low thermal conductivity (Zhang, 2005; Hrbáček et al., 2016). The snowmelt functions as the energy sink with by the absorption of heat due to phase change (Zhang, 2005). Yi et al. (2015) investigated the seasonal snow cover effect on the soil freezing/thawing process and its related carbon implications. Such studies mainly focus on the thermal effect of snowpack on the frozen soils, however However, the effect of snowpack on the soil water and vapor transfer process is rarely reported (Hagedorn et al., 2007; Iwata et al., 2010; Domine et al., 2019).

Great amounts of modeling efforts have been made to better reproduce the snowpack characteristic and its effects. Initially, snowpack dynamics <u>was were</u> expressed as a simple <u>empirical</u> function of temperature. Nevertheless, these empirical relations have limited applications in complex climate conditions (Pimentel et

- 60 al., 2015). Many physically-based models for the mass and energy balance in the snowpack have been developed for their coupling with hydrological models or atmospheric models. Boone and Etchevers (2001) divided these snow models into three main categories: i) simple force-restore schemes with the snow modeled as the composite snow-soil layer (Pitman et al., 1991; Douville et al., 1995; Yang et al., 1997) or a single explicit snow layer (Verseghy, 1991; Tarboton and Luce, 1996; Slater et al., 1998; Sud and Mocko, 1999;
- Dutra et al., 2010); ii) detailed internal-snow-process schemes with multiple snow layers of fine vertical resolution (Jordan, 1991; Lehning et al., 1999; Vionnet et al., 2012; Leroux and Pomeroy, 2017); iii) intermediate-complexity schemes with physics from the detailed schemes but with a limited amount of layers, which are intended for coupling with atmospheric models (e.g., Sun et al., 1999; Boone and Etchevers, 2001). The intercomparison results of the abovementioned snow models at an alpine site indicated that all three
- 70 types of schemes are capable of representing the basic features of the snow cover over the 2-year period but behaved differently on shorter timescales. Furthermore, Snow Model Intercomparison Project (SnowMIP) at two mountainous alpine sites revealed that the albedo parameterization was the major factor influencing the simulation of net shortwave radiation, which waThough this parameterization is independent of model complexity (Etchevers et al., 2004) but it directly affects the directly snow simulations. SnowMIP2 evaluated
- 75 thirty-three snowpack models across a wide range of hydrometeorological and forest canopy conditions. It identified the shortcomings of different snow models and highlighted the necessity of studying the separate contribution of individual components to the mass and energy balance of snowpack (Rutter et al., 2009).

With the majority of research focuses on the intercomparison of the snowpack models with various physical complexitycomplexities, little attention has been paid to the treatment of the underlying soil physical processes (see the brief overview of the current soil-snow modelling efforts in Table 1).

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In this paper, one of the widely used snowpack models (Utah energy balance snowpack model, UEB, Tarboton and Luce, 1996) was incorporated into a common soil modeling framework (Simultaneous Transfer of Energy, Mass and Momentum in Unsaturated Soils with Freeze-Thaw, STEMMUS-FT, Zeng et al., 2011a, b; Zeng and Su, 2013; Yu et al., 2018), The new model is named STEMMUS-UEB and is configured with

- 85 various levels of model complexity, in terms of mass and energy transport physics. We utilized in situ observations and numerical experiments with STEMMUS-UEB to investigate the effect of snowpack on the underlying soil mass and energy transfer with different complexities of soil models. The description of the coupled soil-snow modeling framework STEMMUS-UEB and the model setup for this study are presented in Section 2. Section 3 verified the proposed model and identified the effect of snowpack on soil liquid/vapor
- 90 fluxes. The uncertainties and limitations of this study, and the benefits we can obtain from applicability of the proposed model (i.e., the effect of snowpack on the coupled mass and energy transport in the soil) is are discussed in Section 4.

# 2 Description of Coupled Soil-Snow Modelling Framework and Model Setup

# 2.1 Soil mass and heat transfer model

- 95 The detailed physically based two-phase flow soil model (STEMMUS) was first developed to investigate the underlying physics of soil water, vapor, and dry air transfer mechanisms and their interaction with the atmosphere (Zeng et al., 2011a, b; Zeng and Su, 2013). It is realized achieved by simultaneously solving the balance equations of soil mass, energy, and dry air in a fully coupled way. The mediation effect of vegetation on such interaction was latterly incorporated via the root water uptake sub-module (Yu et al., 2016) and furthermore by coupling with the detailed soil and vegetation biogeochemical process (Wang et al., 20202021; Yu et al., 2020a). Implementing the freeze thaw process (hereafter STEMMUS-FT, for applications in cold regions), it It facilitates our understanding of the hydrothermal dynamics of respective components in the
- frozen soil medium (i.e., soil liquid water, water vapor, dry air, and ice) by implementing the freeze-thaw process (hereafter STEMMUS-FT, for applications in cold regions, (Yu et al., 2018; Yu et al., 2020c).
- 105 The frozen soil physics considered in STEMMUS-FT include three parts: i) the ice blocking effect on soil hydraulic conductivities (see Supplement Sect. 2.2.2); ii) the inclusion of ice effect in the calculation of soil thermal capacity/conductivity (see Supplement Sect. 2.2.8); iii) the exchange of latent heat flux during phase change periods. With the aid of Clausius Clapeyron relation, which characterizes the phase transition between liquid and solid phase in the thermal equilibrium system. The soil water characteristic curve (e.g., van
- 110 Genuchten, 1980) is then extended to consider the freezing temperature dependence, i.e., soil freezing characteristic curve (Hansson et al., 2004; Dall'Amico et al. 2011). The fraction of soil liquid/solid water at

a given temperature was then calculated prognostically with the soil freezing characteristic curve. Soil hydraulic parameters were further used in the Mualem (1976) model to compute the soil hydraulic conductivity. The ice effect is considered by reducing the soil saturated hydraulic conductivity as the function of ice content (Xu et al. 2018).

115 of ice content (Yu et al., 2018).

In response to minimizing minimize the potential model-comparison uncertainties rising from various model structures (Clark et al., 2015) and to figure out which process matters, three levels of complexity of mass and heat transfer physics are made available in the current STEMMUS-FT modelling framework (Yu et al., 2020c). First, the 1-D Richards equation and heat conduction were deployed in STEMMUS-FT to describe the isothermal water flow and heat flow (termed BCD). In tThe BCD model, considers the interaction of soil water and heat transfer is only implicitly via the parameterization of heat capacity, thermal conductivity, and the water phase change effect. For the advanced coupled water and heat transfer (ACD model), tThe water flow is fully affected by soil temperature regimes in the advanced coupled water and heat transfer model (termed ACD model). The movement of water vapor, as the linkage between soil water and heat flow, is explicitly characterized. STEMMUS-FT further enables the simulation of temporal dynamics of three water phases (liquid, vapor, and ice), together with the soil dry air component (termed ACD-air model). The governing equations of liquid water flow, vapor flow, air-flow, and heat flow were listed in Appendix A.1 (see the more detailed model description in Zeng et al., 2011a, b; Zeng and Su, 2013; Yu et al., 2018; Yu et

130 al., 2020c).

#### 2.2 Snowpack module UEB

The Utah energy balance (UEB) snowpack model (Tarboton and Luce, 1996) is a single-layersingle-layer physically-\_based snow accumulation and melt model. Two precipitation types, i.e., rainfall and snowfall, are discriminated by its dependence on air temperature. The snowpack is characterized using two primary state variables, snow water equivalent *SWE* and the internal energy *U*. Snowpack temperature is expressed diagnostically as the function of *SWE* and *U*, together with the states of the snowpack (i.e., solid, solid and liquid mixture, and liquid). Given the insulation effect of the snowpack, snow surface temperature differs from the snowpack bulk temperature, which is mathematically considered using the equilibrium method (i.e., balances energy fluxes at the snow surface). The age of the snow surface, as the auxiliary state variable, is utilized to calculate the snow albedo (see Appendix A.3). The melt outflow is calculated using Darcy's law with the liquid fraction as inputs. The conservation of mass and energy, as presented in Appendix A.2, forms the physical basis of UEB (Tarboton and Luce, 1996, as presented in Appendix A.2).

UEB is recognized as one simple yet physically-based snowmelt model, which canIt captures the first-firstorder snow process (e.g., diurnal variation of meltwater outflow rate, snow accumulation, and ablation, see

145a general overview of UEB model development and applications in Table S3). It requires little effort in<br/>parameter calibration and can be easily transport<u>fer</u>able and applicable to various locations (e.g., Gardiner et

al., 1998; Schulz and de Jong, 2004; Watson et al., 2006; Sultana et al., 2014; Pimentel et al., 2015; Gichamo and Tarboton, 2019), especially for data scarce regions as for example Tibetan Plateau. We thus selected the original parsimonious UEB (Tarboton and Luce, 1996) as the snow module to be coupled with <u>the</u> soil module (STEMMUS-FT).

# 2.3 Coupling procedure

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The coupled process between the snowpack model (UEB) and the soil water model (STEMMUS-FT) was illustrated in Figure 1. The one-way sequential coupling is employed to couple the soil model with the current snowpack model. The role of the snowpack is explicitly considered by altering the water and heat flow of the underlying soil. The snowpack model takes the atmospheric forcing as the input (precipitation, air temperature, wind speed and direction, relative humidity, shortwave and longwave radiation) and solves the

- snowpack energy and mass balance (Eq. A.8 & A.9, Subroutines: ALBEDO, PARTSNOW, PREDICORR), provides the melt water flux and heat flux as the surface boundary conditions for the soil model STEMMUS-FT (Subroutines: h\_sub and Enrgy\_sub for ACD models; Diff\_Moisture\_Heat for BCD model).
- 160 STEMMUS-FT then solves the energy and mass balance equations of soil layers in one time\_step. To highlight the effect of <u>the</u> snowpack on the soil water and vapor transfer process, we constrained the soil surface energy boundary as the Dirichlet type condition (take the specific soil temperature as the surface boundary condition). Surface soil temperature was derived from the soil profile measurements and <u>was</u> not permitted to be higher than zero when there is snowpack. To ensure the numerical convergence, the adapted
- time\_step strategy was used. The hHalf-hourly meteorological forcing measurements were linearly interpolated to the running timesteps (Subroutine Forcing\_PARM). The precipitation rate (validated at 3-hour time intervals) was regarded uniformly within the 3-hour duration (see refer to Table S1 for detail). The general description of the main-primary subroutines in STEMMUS-UEB was presented in Table 2., It including the main functions, input/output, and its-their connection with other subroutines, was presented in Table 2.
   Table 2 (linked with Table S1 and S2 for the description of model input parameters and outputs for this study,

see the detailed general description in Tarboton and Luce, 1996; Zeng and Su, 2013; Yu et al., 2018).

#### 2.4 Configurations of numerical experiments

On the basis of the aforementioned STEMMUS-UEB coupling framework, the various complexity complexities of vadose zone physics was were further implemented as three alternative model versions. First,
the soil ice effect on soil hydraulic and thermal properties, and the heat flow due to the water phase change were taken into account, while the water and heat transfer is not coupled in STEMMUS-FT and termed the BCD model. Second, the STEMMUS-FT with the fully coupled water and heat transfer physics (i.e., water vapor flow and thermal effect on water flow) was applied and termed the ACD model. Lastly, on top of the ACD model, the air pressure was independently considered as a state variable (therefore, the airflow) and termed the ACD-air model. With the abovementioned model versions (STEMMUS-FT\_Snow) and taking into account the no-snow scenarios (STEMMUS-FT\_No-Snow), Table 3 lists the configurations of all six

designed numerical experiments. The model parameters used for all simulations for the tested experimental site are listed in Table S2.

#### 2.5 Description of the Tested Experimental site

- 185 Maqu station, equipped with a catchment scale soil moisture and soil temperature (SMST) monitoring network and micro-meteorological observing system, is situated on the north-eastern edge of the Tibetan Plateau (Su et al., 2011; Dente et al., 2012; Zeng et al., 2016). According to the updated Köppen-Geiger climate Classification System, it can be characterized as a cold climate with dry winter and warm summer. The average annual air temperature is 1.2 °C, and the mean air temperatures of the coldest month (January)
- 190 and the warmest month (July) are about -10.0 °C and 11.7 °C, respectively. Alpine meadows (e.g., *Cyperaceae* and *Gramineae*), with a height varying from 5 cm to 15 cm throughout the growing season, are the dominant land cover in this region. The general soil types are sandy loam, silt loam and organic soil for the upper soil layers (Dente et al., 2012; Zheng et al., 2015; Zhao et al., 2018). The soil texture and hydraulic properties were listed in Table S2 and how it was used in STEMMUS-UEB is illustrated in Figure 1 and
- 195 Table 2.

The Maqu SMST monitoring network spans an area of approximately 40 km×80 km with the elevation ranging from 3200 m to 4200 m a.s.l.  $(33^{\circ}30'-34^{\circ}15'N, 101^{\circ}38'-102^{\circ}45'E)$ . SMST profiles are automatically measured by 5TM ECH<sub>2</sub>O probes (METER Group, Inc., USA) installed at different soil depths, i.e., 5 cm, 10 cm, 20 cm, 40 cm, and 80 cm. The micro-meteorological observing system consists of a 20 m

- 200 Planetary Boundary Layer (PBL) tower providing the meteorological measurements at five heights above ground (i.e., wind speed and direction, air temperature and relative humidity), and an eddy-covariance system (EC150, Campbell Scientific, Inc., USA) equipped for measuring the turbulent sensible and latent heat fluxes and carbon fluxes. The equipment for four-component down and upwelling solar and thermal radiation (NR01-L, Campbell Scientific, Inc., USA), and liquid precipitation (T200B, Geonor, Inc., USA) are also
- 205 deployed. The dataset from December 1, 2015 to March 15, 2016 was utilized in this study. An independent precipitation data (3-hour time interval) during the same testing period from an adjacent meteorological station was used as the mutual validation data.

3. Results: <u>Comparison comparison</u> of simulation results of surface variables with/without snowpack effect

# 210 3.1 Albedo

The time series of surface albedo, calculated as the ratio of upwelling shortwave radiation to the downwelling shortwave radiation and estimated using BCD, ACD and ACD-air models, was shown in Figure 2 together with precipitation. As the snowpack has a higher albedo than the underlying surface (e.g., soil, vegetation), compared to the observations, models without snow module presented a relatively flat variation of daily average surface albedo, and lacked the response to the winter precipitation events (Figure 2, Table 4). With the snow module, STEMMUS-UEB models can capture mostly the abrupt increase of surface albedo after winter precipitation events. The mismatches in terms of the magnitude or absence of increased albedo after precipitation events indicated that the model tended to underestimate the dynamics of albedo dynamics. and the shallow snowfall events might be not well captured by the model (see the Sect. 4.1). Three model versions (BCD-Snow, ACD-Snow, and ACD-air-Snow) produced similar fluctuations regarding the presence of snow cover with slight differences in terms of the magnitude of albedo.

#### 3.2 Soil Temperature and Moisture Dynamics

The observed spatial and temporal dynamics of soil temperature from five soil layers was used to verify the performance of different models (Fig. 3). The initial soil temperature state can be characterized as the warm 225 bottom and cool surface soil layers (based on in-situ observations). The freezing front (indicated by the zero degreezero-degree isothermal line, ZDIL) developed downwards rapidly until the 70th day after December 1, 2015, reaching its maximum depth. Then the freezing front stabilized as the offset effect of latent heat release (termed as zero-curtain effect). Such effect influence can sustain until all the available water to that layer is frozen, at which point the latent heat effect is negligible compared to the heat conduction. At 230 shallower layers, the atmospheric forcing dominates the fluctuation of thermal states. The isothermal lines (e.g., -2 °C) had a larger variation than that of ZDIL. At deeper soil layers, the temporal dynamics of isothermal lines were smoother than that of ZDIL, indicating that the effect of fluctuated atmospheric force on soil temperature was damped with the increase of soil depth. Compared to the observations, BCD-Snow model presented an earlier development of the freezing front and arrival of the maximum freezing depth (60th 235 day after December 1, 2015). The deeper and more fluctuated freezing front indicates that a stronger control of atmospheric forcing on soil thermal states was produced by BCD-Snow model. The ACD models can well capture the propagation characteristic of the freezing front in terms of the variation magnitude and maximum freezing depth. There is no significant difference in soil thermal dynamics between the model with and without snow module, except at the surface soil layers (Table 4).

Figure 4 shows the spatial and temporal dynamics of observed and simulated soil water content in the liquid phase (SWCL). The SWCL of active layers is-depends to a largely dependentextent on the soil freezing/thawing status. Soil is relatively wet at soil layers of 10-60 cm for the starting period. Its temporal development was disrupted by the presence of soil ice and tended to increase wetness during the thawing period. A relatively dry zone (θ<sub>L</sub> < 0.06 m<sup>3</sup> m<sup>-3</sup>) above the freezing front was found, indicating the nearly completely frozen soil during the stabilization stage. The initial wet zone of soil moisture was narrowed down and the rewetting zone tended to enlarge from BCD-Snow simulations due to its early freezing and thawing of soil (Fig. 4b). The position of the dry zone occurred earlier as the early reaching of the stabilization period by the BCD-Snow model (Fig. 3b). For the ACD models, the position and development of initial wet zone, rewetting zone and the dry zone is similar to that from the observations, indicating the soil moisture dynamics
can be well captured by the ACD models. Compared to the STEMMUS-FT\_Snow model, there was no

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observable difference in the SWCL dynamics at deeper soil layers from STEMMUS-FT\_No-Snow simulations. The surface SWCL was found affected from STEMMUS-FT\_Snow simulations (Table 4).

# 3.3 Surface Latent Heat Flux

Figure 5 shows the comparison of time series of observed and model simulated surface cumulative latent 255 heat flux using three models with/without consideration of snow module. Considerable overestimation of latent heat flux was produced by the BCD-Snow model, with 121.79% more than observed. Such overestimations were largely reduced by ACD and ACD-air models. There is a slight underestimation of cumulative latent heat flux by ACD-Snow and ACD-air-Snow models, with -8.33% and -7.05%, respectively. Compared with STEMMUS-FT\_Snow simulations, there is less latent heat flux produced by STEMMUS-260 FT\_No-snow simulations. It is mainly due to the sublimation of snow cover, which cannot be simulated by the STEMMUS-FT\_No-snow models. The difference in cumulative latent heat flux between STEMMUS-FT with and without snow module increases from BCD to ACD-air schemes, with the values of 2.02%, 7.69%, and 8.97% for BCD, ACD and ACD-air schemes, respectively.

# 3.4 Liquid/vapor fluxes

265 To further elaborate the effect of snowpack on LE, we presented the diurnal variations of LE and its components at two typical episodes with precipitation events (freezing and thawing period, respectively). The relative contribution of liquid and vapor flow to the total mass transfer after precipitation events was separately presented in Figure 6-8 & 79, i.e., the liquid water flux driven by temperature  $q_{LT}$ , matric potential  $q_{Lh}$  and air pressure  $q_{La}$ , water vapor flux driven by temperature  $q_{VT}$ , matric potential  $q_{Vh}$  and air pressure  $q_{Va}$ .

#### 270 3.4.1 Freezing period1) LE

Diurnal dynamics of the observed and simulated latent heat flux during the rapid freezing period with the occurrence of precipitation events, from 10<sup>th</sup> to 14<sup>th</sup> Days after Dec. 1. 2015, is shown as Fig. 6a, 4b. &cc. Compared to the observations, the diurnal variations of latent heat flux waswere captured by the proposed model with various levels of complexities. Performance of BCD, ACD, and ACD-air models in simulating 275 LE differed mainly regarding the magnitude and response to precipitation events. For the BCD-Snow model, the overestimation of LE was found at 10<sup>th</sup> and 11<sup>th</sup> day after December 1 due to relatively high surface soil moisture simulations (Fig. S1b). A certain amount of enhanced surface evaporation was produced shortly after precipitation, which is most probably due to the snow sublimation-\_\_whichSnow sublimation-\_presents in the model simulations while appear not intuitively matching within observations. The mismatch in the LE 280 enhancement after precipitation events can be attributed to that the partition process of precipitation into various components (rainfall, snowfall, canopy interception) might not be well captured by the model. Such a response to the winter precipitation events was absent from the BCD-No-Snow simulations.

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The overestimation of LE was reduced by ACD and ACD-air models (Fig. 6d-6b & gc). Compared to the ACD-Snow model simulations, ACD-No-snow model produced a stronger diurnal variation of LE after the precipitation, and precipitation and is more approaching\_to the measured LE. The lLower diurnal variation of LE for the ACD-Snow model can be ascribed to the lower surface SWCL (see Fig. S1d & g). For the ACD-Snow model, precipitation was partitioned into rainfall and snowfall, part of which was directly evaporated as sublimation. The sum of rainfall and the melting part of snowfall reached the soil surface as the incoming water flux, which is less than that for the ACD-No-snow model (took all the precipitation as

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ACD models and ACD-air models.

During the thawing period, the diurnal variations of LE were well simulated by the models (Figure 7). There are some discrepancies regarding the peak values of LE. For the BCD-Snow model, overestimations were found in 1040<sup>st</sup>...<sup>th</sup>...101<sup>st</sup>, and 102<sup>nd</sup>...and 403<sup>st</sup>-day after December 1, 2015. The high LE values on 100<sup>th</sup>101<sup>st</sup>

the incoming water flux). There is no significant difference in the dynamics of LE between simulations by

- 295 and 101<sup>st</sup> 102<sup>nd</sup>-day are probably due to the high surface soil moisture by the thawing water (Fig. S2b).
  <u>wWhile on the 102<sup>nd</sup>103<sup>rd</sup> day, it is due to the snow sublimation (Fig. 7a). The peak values were reproduced but shifted by BCD-No-Snow simulations, which occurred on 100<sup>th</sup> and at the end of 102<sup>nd</sup>, indicating the shift of surface soil moisture states (Fig. S2b).</u>
- For the ACD model, the difference in latent heat flux between snow and no-snow simulations was noticeable
   two days after precipitation. The larger values of LE from the ACD-No-Ssnow model occurred earlier than that from the ACD-No-ssnow model, as the earlier response of surface soil moisture to the precipitation event (Fig. S2). While compared to the observations, the enhancement of LE advanced from the ACD-Snow simulations (Fig. 7db). This enhanced evaporation can be attributed to the snow sublimation and increased surface soil moisture content. Similar lag behavior of precipitation-enhanced evaporation was produced by
- 305 the ACD-air-Snow models (Figure 7c). There are mismatches in the time and magnitude of LE enhancement between ACD-Snow model simulations and observations (Fig. 7b). This discrepancy lies in the uncertainties of snowpack simulations, which can be dueattributed to either the inaccurate precipitation measurements (Barrere et al., 2017; Günther et al., 2019) or that the precipitation partition process is not well described by the model (Harder and Pomeroy, 2014; Ding et al., 2017).
- 310 For ACD-Snow model, the precipitation induced evaporation enhancement was lagged compared to ACD-No-Snow model. This enhanced evaporation can be attributed to the snow sublimation and increased surface soil moisture content. The similar lag behavior of precipitation-enhanced evaporation was produced by the <u>ACD-air-Snow models.</u>
  - 2) LE and decomposition of surface mass transfer 3.4.2 Thawing period

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During the freezing period, the soil water vapor, instead of liquid water flux, dominated the surface mass transfer process. Missing the description of the vapor diffusion process hindered the BCD models to realistically depict the decomposition of surface mass transfer dynamics (Fig. 8a &b).

There is a visible diurnal variation of thermal vapor flux qvt from From the ACD model\_simulation (Fig. 8c

- 320 <u>&d,-).</u> there is a visible diurnal variation of thermal vapor flux  $q_{VT}$ . It can be clearly identified that t<u>T</u>he isothermal vapor flux  $q_{Vh}$  contributed to most of the mass transfer during the freezing period. After winter precipitation during the nighttime, there is a certain amount of isothermal vapor flux driven by the downward matric potential gradient. The reason is that the precipitation water immediately freezes on the soil surface. It should be noted that the sum of water/vapor fluxes at 0.1cm soil layer cannot balance the surface
- 325 evaporation, especially after the precipitation events (Fig. 6e8c). We assumed and attributed it to the surface ice sublimation process. Precipitation water was frozen on the soil surface, and only vapor fluxes are active exists in the topsoil layers. Sublimation of surface ice may contribute to the gaps between liquid/vapor fluxes and LE (Yu et al., 2018). As more precipitation water was frozen on the soil surface from the ACD-No-Snow model (Fig. 8d), the difference between the sum of water/vapor fluxes at the top 0.1cm soil layer
- 330 and the surface evaporative water enlarged compared to ACD-Snow simulations (Fig. 6f). Thermal liquid water flux *q<sub>LT</sub>* appears negligible to the total mass flux during the whole simulation period. There is no significant difference recognized in the mass transfer between the ACD-air and ACD during the freezing period.

# 3.4.2 Thawing period

- 335 During the thawing period, the diurnal variations of LE were well simulated by the models. There are some discrepancies regarding the peak values of LE. For BCD Snow model, overestimations were found in 101<sup>st</sup>, 102<sup>nd</sup>, and 103<sup>nd</sup> day after December 1, 2015. The high LE values on 101<sup>st</sup> and 102<sup>nd</sup> day are probably due to the thawing water (Fig. S2b) while on 103<sup>nd</sup> day it is the snow sublimation (Fig. 7a). The peak values were reproduced but shifted by BCD-No-Snow simulations, which occurred on 100<sup>th</sup> and at the end of 102<sup>nd</sup>, 340 indicating the shift of surface soil moisture states (Fig. S2b).
- For the ACD model, the difference in latent heat flux between snow and no-snow simulations was noticeable two days after precipitation. The larger values of LE from ACD-Snow model occurred earlier than that from ACD-No-snow model, as the earlier response of surface soil moisture to the precipitation event (Fig. S2). While compared to the observations, the enhancement of LE advanced from the ACD Snow simulations (Fig. 345
  7d). There are mismatches in the time and magnitude of LE enhancement between ACD Snow model simulations, which can be due to either the inaccurate precipitation measurements (Barrere et al., 2017; Günther et al., 2019) or that the precipitation process is not well described by the model (Harder and Pomeroy, 2014; Ding et al., 2017).

- 350 For ACD-Snow model, the precipitation induced evaporation enhancement was lagged compared to ACD-No-Snow model. This enhanced evaporation can be attributed to the snow sublimation and increased surface soil moisture content. The similar lag behavior of precipitation-enhanced evaporation was produced by the ACD-air-Snow models.
- During the thawing period, a certain amount of upward liquid water flux was produced by <u>the BCD model</u>,
   supplying the water to <u>the topsoil and evaporate</u> into the atmosphere (Fig. 7b-&e9a &b). Compared to the isothermal liquid flux <u>qub</u>, the thermal liquid flux <u>qub</u>, was negligible to the total mass flux.

For the ACD model, the diurnal variation of thermal vapor flux  $q_{VT}$  was enhanced after precipitation, producing a larger amount of upward/downward vapor flux during the night/day timedaytime (e.g., Fig. 7e9c). As the surface soil is relatively dry, the isothermal vapor flux  $q_{Vt}$  contributes nearly all ofall the mass flux

- 360 during the <u>selected</u> thawing period. Driven by the <u>large downward</u> matric potential gradient, a large amount of isothermal water vapor flux <u>qvb</u>, accompanied by downward liquid water flux <u>qvb</u>, can be found after the nighttime precipitation event (Fig. 9c, d, e, f). This-These precipitation-precipitation-induced isothermal liquid/vapor flux<u>es</u> was were lagged and less intense from <u>the</u> ACD-Snow model than that from <u>the</u> ACD-No-Snow model <u>simulation</u> (e.g., Fig. 9c vs. Fig. 9d). It is explained that Fthe snowpack reduces the instant
- 365 liquid phase of precipitation infiltration process and enables the snowmelt will occur afterwards. It resulted in, which led to the lagged and weaker response of surface SWCL to the precipitation (Fig. S2). It breaks the balance between isothermal vapor flux and evaporative LE (around 103<sup>rd</sup> day after Dec. 1, 2015). Compared to the ACD-No-Snow model, such imbalance was enlarged for the ACD-Snow model during the thawing period (Fig. 7e-9c &fd).
- 370 Compared to the ACD-No-Snow simulations, the upward thermal vapor flux  $q_{VT}$ -was enhanced after precipitation for the ACD-air-No-Snow model (Fig. 749f). This enhanced upward vapor flux reduced the soil liquid water content at 0.1cm (Fig. S2f), and) and decreased the soil hydraulic conductivity and then the downward isothermal liquid/vapor flux  $(q_{Uh}, q_{Vh})$ . Other than that, there is no significant difference between the ACD-air model and the ACD model during the thawing period.

# 375 4. Discussion

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# 4.1 Uncertainties of in simulations of surface albedo simulations and Limitationslimitations

After <u>a</u> winter precipitation<u>event</u>, land surface albedo increases considerably (Fig. 2), indicating the <u>formation-presence</u> of the snowpack. While <u>However</u>, such snowfall events were <u>isolated episodic</u> with small magnitude, which <u>are is</u> difficult to be well captured. Such difficulties can be partially attributed to the <u>inherent</u> uncertainties in the <u>representativity of</u> precipitation measurements (both the precipitation amount <u>and types</u>). Due to the spatial variability of precipitation, the accurate observation of winter precipitation is proved to be a challenge, especially during windy winters (Barrere et al., 2017; Pan et al., 2017). <u>It is</u> necessary to have more snowpack-relevant measurements (e.g., the high-resolution measurements of the

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spatiotemporal field of wind speed, precipitation, and snowpack variations) to understand the dynamics of snowpack and its effect on energy and water fluxes. On the other handFurthermore, the temporal resolution of precipitation measurements adopted in this study is relatively coarse (3-hour). In the current precipitation partition parameterization, the amount of snowfall was determined as a function of precipitation and air temperature thresholds. Given the coarse temporal resolution of precipitation measurements, the model may produce a time shift of snowfall events or even the mal-identification of snowfall taken into account the effect

- 390 of air temperature. The simple relation between the air temperature and precipitation types may be not suitable to this region, because air temperature is not the best indicator of precipitation types, as argued by Ding et al. (2014). Other factors, i.e., relative humidity, surface elevation, and wet-bulb temperature, are also very relevant and should be taken into account for the discrimination of precipitation types. The other uncertainty lies in the representation of the snow process. For example, the wind-blow effect and canopy
- 395 snow interception, which have been recognized as important to the accurate simulation of snowpack dynamics (Mahat and Tarboton, 2014), are not taken into account in detail. Last but not least, the interpretation of surface albedo dynamics needs to be adapted to the specific site, especially regarding the shallow snow situations (Ueno et al., 2007; Ueno et al., 2012; Ding et al., 2017; Wang et al., 2017). The albedo of the underlying surface should also be properly accommodated to this Tibetan meadow system.

400 Regardless of the aforementioned uncertainties, our proposed model was capable to capture the surface albedo variations with precipitation (Fig. 2) and can be seen <u>as acceptable to conduct the analysisze of snow</u> cover effects in such a harsh <u>conditionenvironment</u>.

# 4.2 Benefits from STEMMUS-UEB: The effect of sSnow cover-induced evaporation enhancement

Different from the rainfall, precipitation water from snowfall enters the soil considerably lagged in time due
to the <u>water</u> storage by-the snow cover (You et al., 2019). With <u>consideration of the</u> snow module, precipitation was partitioned into rainfall and snowfall. Part of the snowfall evaporated into the atmosphere as sublimation and the other part together with the rainfall infiltrated into the underlying soil. It resulted in the delay of incoming water to the soil with a less amount compared to that without consideration of <u>the</u> snow module. (Fig. 8 e &f). This amount of incoming water increased the evaporation after precipitation (Fig. 8 d6 & 7). The other source for the enhanced evaporation flux after precipitation is snow sublimation, which is absent from the model without <u>the</u> snow module. Sublimation, <u>although not easy to observe</u>, occurs readily under certain weather conditions (e.g., with freezing temperatures, enough energy). It can be further sped upmore active at-in regions with low relative humidity, low air pressure and dry winds. Such amount of sublimation has been reported important from the perspective of climate and hydrology (e.g., Strasser et al.,

415 2008; Jambon-Puillet et al., 2018), especially at high altitude regions with the low air pressure. During the freezing period, the evaporation enhancement can be also sourced from the sublimation of surface ice. The amount of <u>the</u> ice sublimation appeared to <u>be</u>-decreased during the freezing period <u>as-in</u> the presence of <u>a</u> transient snowpack (<u>e.g.</u>, Fig. <u>8c vs. 8d6</u>). This is consistent with the results of Hagedorn et al. (2007), who investigated the effect of snow cover on the mass balance of ground ice with an artificially continuous annual

- 420 snow cover. Their According to their results, indicated that the snow cover enhanced the vapor transfer into the soil and thus reduced the long-long-term ice sublimation. The relative contribution of increased surface soil moisture, snow sublimation, and surface ice sublimation to the enhanced evaporation is dependent on the pre-precipitation soil moisture/temperature states, air temperature, and the time and magnitude of precipitation events. Under the conditions of the low pre-precipitation SWCL under with the freezing soil 425 temperature (e.g., Fig. 6e8e, 11<sup>th</sup> vs. 12<sup>th</sup> Days after 1 December), the precipitation falls down-on the surface
- as snowfall and rainfall (mostly freezes as ice). The sublimation from surface ice can contribute to most of the total mass transfer (e.g., Fig. 668e, 11th Days after 1 December). If the soil temperature rises above the freezing temperature, there will be no sublimation of surface ice, in terms of contributing to the enhanced evaporation (e.g., Fig. 9e, 102nd Days after 1 December). -

#### 430 4.3 Benefits from STEMMUS-UEB: Responses among different complexity of soil modelSnow cover impacts with different soil model complexities

The model with various different complexity of soil mass and energy transfer physics behaves differently in response to the winter precipitation events. During the freezing period, there is no significant difference in the BCD model simulated soil moisture with/without the snow module. The precipitation water freezes at the

435 soil surface, which cannot be transferred downwards with the BCD model physics. The sublimation, from either the snow or the surface ice, contributes all to the precipitation-enhanced evaporation for the BCD model. As with consideration of vapor flow, the surface ice increases the soil moisture at lower layers via the downward isothermal vapor flux (Fig. 68). The surface ice sublimation and increased soil moisture-induced evaporation enhancement can be elearly-identified from the ACD model simulations. The role of air flow 440 was negligible to the mass transfer during the freezing period.

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When it comes to the thawing period, BCD model produced a certain amount of liquid water flow, contributing considerably to the mass transfer. The obvious fluctuation of SWCL was noticed due to the thawing water and precipitation event. The main source for the increased evaporation was interpreted as isothermal liquid water flow. While for the ACD model, the situation becomes more complex. Thawing surface ice and snowmelt water may coexist at the soil surface, resulting in different soil moisture response to precipitation events. The ice sublimation, snow sublimation, and increased soil moisture all-contribute to the evaporation enhancement after precipitation. When considering air flow, dry air interacts with soil ice, liquid/vapor water in soil pores (Yu et al., 2018) and alters the soil moisture states. It thus considerably changes the relative contribution of each component to the mass transfer (Fig. 79).

#### 450 5. Conclusions

Rendering from With the aim to investigate the hydrothermal effect of the snowpack on the underlying soil system, we developed the integrated process-based soil-snow-atmosphere model, STEMMUS-UEB v1.0.0, which is dedicated forbased on the easily transportable transferable and physically-based description of the

snowpack process and the detailed interpretation of the soil physical process with various complexities. From 455 STEMMUS-UEB simulations, snowpack affects not only the soil surface conditions (surface ice and SWCL),-) and energy-related states (albedo, latent heat flux), but also the transfer patterns of subsurface soil liquid/vapor flow. With consideration of the snow module, STEMMUS-FT model can capture mostly the abrupt increase of surface albedo after winter precipitation events with consideration of the snow module. There is a significant overestimation of cumulative surface latent heat flux by the BCD model. ACD and 460 ACD-air model produces a slight underestimation of cumulative LE compared to the observations. Given noWithout sublimation from snowpack, there is a less latent heat flux produced by STEMMUS-FT\_No-snow simulations compared with STEMMUS-FT\_Ssnow simulations. The presence of snowpack alters the partition process of precipitation and thus the surface SWCL. BCD models with/without snowpack produced the similar surface SWCL during the freezing period while resulted in the abrupt increase of soil moisture in 465 response to the precipitation during the thawing period. ACD-Snow model simulated a less intensive and lagged soil moisture variation in response to precipitation compared to the ACD-No-Snow model during both the freezing and thawing period, respectively. ACD-air model affects affected the intensity of increased surface soil moisture, especially during the thawing period.

Three mechanisms, surface ice sublimation, snow sublimation and increased soil moisture, can contribute to 470 the enhanced latent heat flux after winter precipitation events. The relative role of each mechanism in the total mass transfer can be affected by the time and magnitude of precipitation and pre-precipitation soil moisture/temperature states (see Sect. 4.3). The simple BCD model cannot provide a realistic partitioning of mass transfer. ACD model, with consideration of vapor diffusion and thermal effect on water flow and snowpack can produce a reasonable analysis of the relative contributions of different water flux components.

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With consideration of air flow, the relative contribution of each component to the mass transfer was considerably substantially altered during the thawing period. Further work will take into account the thermal interactive effects between snowpack and the underlying soil. Such work will inevitably enhance our confidence in interpreting the underlying mechanisms and physically elaborating on the role of snowpack in cold regions.

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*Code and data availability.* The coupled Soil-Snow model (STEMMUS-UEB v1.0.0) with three levels of complexity of soil water and heat transfer physics was developed based on STEMMUS-FT (Simultaneous Transfer of Energy, Momentum and Mass in Unsaturated Soils with Freeze and Thaw) and UEB (Utah Energy Balance) model. The original STEMMUS source code is available from the GitHub website via <u>https://github.com/yijianzeng/STEMMUS</u>. The snowmelt module is based on the code of (Tarboton and Luce, 1996). The coupled STEMMUS-UEB v1.0.0 code is archived on Zenodo (Yu et al., 2020b), licensed or we device the transfer of 2002 the structure of the started based on the code of (Stevenger Level Care).

under the Apache License, Version 2.0. The current code is tested by MATLAB 2019b using an Intel Core i7 processor (Intel® Core<sup>TM</sup> i7-6700HQ CPU @ 2.60GHz 2.59 GHz), an installed memory (RAM, 16.0 GB), and a 64-bit Windows 10 Enterprise operating system. The relevant data can be accessed from 4TU.
 Center for Research Data (https://doi.org/10.4121/uuid:cc69b7f2-2448-4379-b638-09327012ce9b; https://doi.org/10.4121/uuid:61db65b1-b2aa-4ada-b41e-61ef70e57e4a).

Author contribution. ZS, YZ, and LY designed and conceptualized this study; YZ and ZS provided the original version of STEMMUS model code and supervised the further modelling development; LY developed the STEMMUS-UEB model coupling framework with the contribution from YZ; LY and YZ prepared the original draft of the paper, LY, YZ, and ZS all contributed to the reviewing and editing of the

Competing interests. The authors declare that they have no conflict of interest.

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final paper.

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# Appendix A

# A.1 STEMMUS-FT model with three levels of complexity

# A.1.1 Uncoupled soil water and heat transfer physics

The Richard equation which describes the water flow under gravity and capillary forces in isothermal conditions, is solved for variably saturated soils.

$$\frac{\partial \theta}{\partial t} = -\frac{\partial q}{\partial z} - S = \rho_L \frac{\partial}{\partial z} \left[ K \left( \frac{\partial \psi}{\partial z} + 1 \right) \right] - S \tag{A.1}$$

where  $\theta$  (m<sup>3</sup> m<sup>-3</sup>) is the volumetric water content; q (kg m<sup>-2</sup> s<sup>-1</sup>) is the water flux; z (m) is the vertical direction coordinate (positive upwards); S (s<sup>-1</sup>) is the sink term for root water uptake;  $\rho_L$  (kg m<sup>-3</sup>) is the soil liquid water density; K (m s<sup>-1</sup>) is the soil hydraulic conductivity;  $\psi$  (m) is the soil water potential; t (s) is the time.

The heat conservation equation, considering the latent heat due to water phase change, can be expressed as:

$$C_{soil}\frac{\partial T}{\partial t} - \rho_i L_f \frac{\partial \theta_i}{\partial t} = \frac{\partial}{\partial z} \left( \lambda_{eff} \frac{\partial T}{\partial z} \right)$$
(A.2)

515 where  $C_{soil}$  (J kg<sup>-1</sup> °C<sup>-1</sup>) is the specific heat capacity of bulk soil; *T* (°C) is the soil temperature;  $\rho_i$  (kg m<sup>-3</sup>) is the density of soil ice;  $L_f$  (J kg<sup>-1</sup>) is the latent heat of fusion;  $\theta_i$  (m<sup>3</sup> m<sup>-3</sup>) is the soil ice volumetric water content.  $\lambda_{eff}$  (W m<sup>-1</sup> °C<sup>-1</sup>) is the effective thermal conductivity of the soil<sub> $\tau_2$ </sub>

# A.1.2 Coupled water and heat transfer

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For the coupled water and heat transfer physics, the liquid water flow is non-isothermal and affected by soil temperature regimes. The movement of water vapor, as the linkage between soil water and heat flow, is explicitly characterized. With modifications made by Milly (1982), the extended version of Richards (1931) equation with consideration of the liquid and vapor flow is written as:

$$\frac{\partial}{\partial t}(\rho_L \theta_L + \rho_V \theta_V + \rho_l \theta_l) = -\frac{\partial}{\partial z}(q_L + q_V) - S$$

$$= -\frac{\partial}{\partial z}(q_{Lh} + q_{LT} + q_{Vh} + q_{VT}) - S$$

$$= \rho_L \frac{\partial}{\partial z} \left[ K_{Lh} \left( \frac{\partial \psi}{\partial z} + 1 \right) + K_{LT} \frac{\partial T}{\partial z} \right] + \frac{\partial}{\partial z} \left[ D_{Vh} \frac{\partial \psi}{\partial z} + D_{VT} \frac{\partial T}{\partial z} \right] - S$$
(A.3)

where  $\rho_V$  and  $\rho_i$  (kg m<sup>-3</sup>) are the density of water vapor and ice, respectively;  $\theta_L$  and  $\theta_V$  (m<sup>3</sup> m<sup>-3</sup>) are the volumetric water content (liquid and vapor, respectively);  $q_L$  and  $q_V$  (kg m<sup>-2</sup> s<sup>-1</sup>) are the soil water fluxes of liquid water and water vapor (positive upwards), respectively.  $K_{Lh}$  (m s<sup>-1</sup>) and  $K_{LT}$  (m<sup>2</sup> s<sup>-1</sup> °C<sup>-1</sup>) are the isothermal and thermal hydraulic conductivities, respectively.  $D_{Vh}$  (kg m<sup>-2</sup> s<sup>-1</sup>) is the isothermal vapor conductivity; and  $D_{VT}$  (kg m<sup>-1</sup> s<sup>-1</sup> °C<sup>-1</sup>) is the thermal vapor diffusion coefficient.

On the basis of De Vries (1958) and Hansson et al. (2004)'s work, the heat transport function in frozen soils, considering the fully coupled water and heat transport physics, can be expressed as:

$$\frac{\partial}{\partial t} \left[ (\rho_s \theta_s C_s + \rho_L \theta_L C_L + \rho_V \theta_V C_V + \rho_i \theta_i C_i) (T - T_r) + \rho_V \theta_V L_0 - \rho_i \theta_i L_f \right] - \rho_L W \frac{\partial \theta_L}{\partial t}$$

$$= \frac{\partial}{\partial z} \left( \lambda_{eff} \frac{\partial T}{\partial z} \right) - \frac{\partial}{\partial z} \left[ q_L C_L (T - T_r) + q_V (L_0 + C_V (T - T_r)) \right] - C_L S (T - T_r)$$
(A.4)

530 where  $C_s$ ,  $C_L$ ,  $C_V$  and  $C_i$  (J kg<sup>-1</sup> °C<sup>-1</sup>) are the specific heat capacities of solids, liquid and water vapor and ice, respectively;  $\rho_s$  (kg m<sup>-3</sup>) is the density of solids;  $\theta_s$  is the volumetric fraction of solids in the soil;  $T_r$  (°C) is

the arbitrary reference temperature;  $L_0$  (J kg<sup>-1</sup>) is the latent heat of vaporization of water at the reference temperature  $T_r$ ; W(J kg<sup>-1</sup>) is the differential heat of wetting (the amount of heat released when a small amount of free water is added to the soil matrix).

#### 535 A.1.3 Coupled mass and heat physics with air flow

In STEMMUS-FT, the temporal dynamics of three phases of water (liquid, vapor and ice), together with the soil dry air component are explicitly presented and simultaneously solved by spatially discretizing the corresponding governing equations of liquid water flow, vapor flow and air flow.

$$\frac{\partial}{\partial t}(\rho_L \theta_L + \rho_V \theta_V + \rho_i \theta_{ice}) = -\frac{\partial}{\partial z}(q_{Lh} + q_{LT} + q_{La} + q_{Vh} + q_{VT} + q_{Va}) - S$$

$$= \rho_L \frac{\partial}{\partial z} \left[ K \left( \frac{\partial \psi}{\partial z} + 1 \right) + D_{TD} \frac{\partial T}{\partial z} + \frac{K}{\gamma_W} \frac{\partial P_g}{\partial z} \right] + \frac{\partial}{\partial z} \left[ D_{Vh} \frac{\partial \psi}{\partial z} + D_{VT} \frac{\partial T}{\partial z} + D_{Va} \frac{\partial P_g}{\partial z} \right] - S$$
(A.5)

where  $q_{Lh}$ ,  $q_{LT}$ , and  $q_{La}$  (kg m<sup>-2</sup> s<sup>-1</sup>) are the liquid water fluxes driven by the gradient of matric potential  $\frac{\partial \psi}{\partial z}$ .

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temperature  $\frac{\partial T}{\partial z}$ , and air pressure  $\frac{\partial P_g}{\partial z}$ , respectively.  $q_{Vh}$ ,  $q_{VT}$ , and  $q_{Va}$  (kg m<sup>-2</sup> s<sup>-1</sup>) are the water vapor fluxes driven by the gradient of matric potential  $\frac{\partial \Psi}{\partial z}$ , temperature  $\frac{\partial T}{\partial z}$ , and air pressure  $\frac{\partial P_g}{\partial z}$ , respectively.  $P_g$  (Pa) is the mixed pore-air pressure.  $\gamma_W$  (kg m<sup>-2</sup> s<sup>-2</sup>) is the specific weight of water;  $D_{TD}$  (kg m<sup>-1</sup> s<sup>-1</sup> °C<sup>-1</sup>) is the transport coefficient for adsorbed liquid flow due to temperature gradient;  $D_{Vh}$  (kg m<sup>-2</sup> s<sup>-1</sup>) is the isothermal vapor conductivity; and  $D_{VT}$  (kg m<sup>-1</sup> s<sup>-1</sup> °C<sup>-1</sup>) is the thermal vapor diffusion coefficient;  $D_{Va}$  is the advective vapor 545 transfer coefficient (Zeng et al., 2011a, b).

STEMMUS-FT takes into account different heat transfer mechanisms, including heat conduction  $(\lambda_{eff} \frac{\partial T}{\partial z})$ , convective heat transferred by liquid flux  $(-C_L q_L (T - T_r), -C_L S(T - T_r))$ , vapor flux  $(-[L_0 q_V + T_r)]$  $C_V q_V (T - T_r)$ ]) and air flow  $(q_a C_a (T - T_r))$ . The latent heat of vaporization  $(\rho_V \theta_V L_0)$ , the latent heat of freezing/thawing  $(-\rho_i \theta_i L_f)$  and a source term associated with the exothermic process of wetting of a porous

medium (integral heat of wetting)  $(-\rho_L W \frac{\partial \theta_L}{\partial r})$ . 550

$$\frac{\partial}{\partial t} \left[ (\rho_s \theta_s C_s + \rho_L \theta_L C_L + \rho_V \theta_V C_V + \rho_{da} \theta_a C_a + \rho_i \theta_i C_i) (T - T_r) + \rho_V \theta_V L_0 - \rho_i \theta_i L_f \right] - \rho_L W \frac{\partial \theta_L}{\partial t}$$

$$= \frac{\partial}{\partial z} \left( \lambda_{eff} \frac{\partial T}{\partial z} \right) - \frac{\partial}{\partial z} \left[ q_L C_L (T - T_r) + q_V (L_0 + C_V (T - T_r)) + q_a C_a (T - T_r) \right] - C_L S (T - T_r)$$
(A.6)

where  $\rho_{da}$  (kg m<sup>-3</sup>) is the density of dry air;  $C_a$  (J kg<sup>-1</sup> °C<sup>-1</sup>) is the specific heat capacity of dry air;  $q_a$  (kg m<sup>-3</sup>)  $^{2}$  s<sup>-1</sup>) is the air flux. The air flow balance equation for solving the coupled water and heat equations is written as Zeng et al. (2011a, b) and Zeng and Su (2013):

$$\frac{\partial}{\partial t} \left[ \varepsilon \rho_{da} (S_a + H_c S_L) \right] = \frac{\partial}{\partial z} \left[ D_e \frac{\partial \rho_{da}}{\partial z} + \rho_{da} \frac{S_a K_g}{\mu_a} \frac{\partial P_g}{\partial z} - H_c \rho_{da} \frac{q_L}{\rho_L} + \left( \theta_a D_{Vg} \right) \frac{\partial \rho_{da}}{\partial z} \right]$$
(A.7)

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where  $\varepsilon$  is the porosity;  $S_a$  (=1- $S_L$ ) is the degree of air saturation in the soil;  $S_L$  (= $\theta_L/\varepsilon$ ) is the degree of saturation in the soil;  $H_c$  is Henry's constant;  $D_e$  (m<sup>2</sup> s<sup>-1</sup>) is the molecular diffusivity of water vapor in soil;  $K_g$  (m<sup>2</sup>) is the intrinsic air permeability;  $\mu_a$  (kg m<sup>-2</sup> s<sup>-1</sup>) is the air viscosity;  $\theta_a$  (= $\theta_V$ ) is the volumetric fraction of dry air in the soil; and  $D_{Vg}$  (m<sup>2</sup> s<sup>-1</sup>) is the gas phase longitudinal dispersion coefficient.

#### A.2 Snowpack module UEB

### A.2.1 Mass balance equation

560 The increase or decrease of snow water equivalence with time equals the difference of income and outgoing water flux:

$$\frac{dSWE}{dt} = P_r + P_s - M_r - E \tag{A.8}$$

where *SWE* (m) is the snow water equivalent;  $P_r$  (m/s) is the rainfall rate;  $P_s$  (m/s) is the snowfall rate;  $M_r$  (m/s) is the meltwater outflow from the snowpack; and *E* is the sublimation from the snowpack.

#### A.2.2 Energy balance equation

565 The energy balance of snowpack can be expressed as:

$$\frac{dU}{dt} = Q_{sn} + Q_{li} + Q_p + Q_g - Q_{le} + Q_h + Q_e - Q_m$$
(A.9)

where  $Q_{sn}$  (W/m<sup>2</sup>) is the net shortwave radiation;  $Q_{li}$  (W/m<sup>2</sup>) is the incoming longwave radiation;  $Q_p$  (W/m<sup>2</sup>) is the advected heat from precipitation;  $Q_g$  (W/m<sup>2</sup>) is the ground heat flux;  $Q_{le}$  (W/m<sup>2</sup>) is the outgoing longwave radiation;  $Q_h$  (W/m<sup>2</sup>) is the sensible heat flux;  $Q_e$  (W/m<sup>2</sup>) is the latent heat flux due to sublimation/condensation; and  $Q_m$  (W/m<sup>2</sup>) is the advected heat removed by meltwater.

570 Equations (8) and (9) form a coupled set of first order, nonlinear ordinary differential equations. Euler predictor-corrector approach was employed in UEB model to solve the initial value problems of these equations (Tarboton and Luce, 1996).

# A.3 Albedo calculation

#### A.3.1 Ground albedo

575 Instead of the constant bare soil albedo in the original UEB model, the bare soil albedo is expressed as a decreasing linear function of soil moisture in STEMMUS-UEB.

$$\alpha_{g,v} = \alpha_{sat} + \min\{\alpha_{sat}, \max[(0.11 - 0.4\theta), 0]\}$$
(A.10)

$$\alpha_{g,ir} = 2\alpha_{g,v} \tag{A.11}$$

where  $\alpha_{g,v}$  and  $\alpha_{g,ir}$  are the bare soil/ground albedo for the visible and infrared band, respectively.  $\alpha_{sat}$  is the saturated soil albedo, depending on local soil color.  $\theta$  is the surface volumetric soil moisture.

#### A.3.2 Vegetation albedo

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580 The calculation of vegetation albedo is developed to capture the essential features of a two-stream approximation model using asymptotic equation. It approaches the underlying surface albedo  $\alpha_{g,\lambda}$  or the thick canopy albedo  $\alpha_{c,\lambda}$  when the  $L_{SAI}$  is close to zero or infinity.

$$\alpha_{Veg,b,\lambda} = \alpha_{c,\lambda} \left[ 1 - \exp\left(-\frac{\omega_{\lambda}\beta L_{SAI}}{\mu\alpha_{c,\lambda}}\right) \right] + \alpha_{g,\lambda} \exp\left[-\left(1 + \frac{0.5}{\mu}\right) L_{SAI}\right]$$
(A.12)

$$\alpha_{Veg,d,\lambda} = \alpha_{c,\lambda} \left[ 1 - \exp\left(-\frac{2\omega_{\lambda}\beta L_{SAI}}{\alpha_{c,\lambda}}\right) \right] + \alpha_{g,\lambda} \exp\left[-2 L_{SAI}\right]$$
(A.13)

where subscripts Veg, b, d, c, g and  $\lambda$  represent vegetation, direct beam, diffuse radiation, thick canopy, ground, and spectrum bands of either visible or infrared bands.  $\mu$  is the cosine of solar zenith angle;  $\omega_{\lambda}$  is the single scattering albedo, 0.15 for visible and 0.85 for infrared band, respectively;  $\beta$  is assigned as 0.5;  $L_{SAI}$  is the sum of leaf area index LAI and stem area index SAI;  $\alpha_{c,\lambda}$  is the thick canopy albedo dependent on vegetation types.

The bulk snow-free surface albedo, averaged between bare ground albedo and vegetation albedo, then is written as:

$$\alpha_{\eta,\lambda} = \alpha_{Veg,\lambda} f_{Veg} + \alpha_{g,\lambda} (1 - f_{Veg}) \tag{A.14}$$

590 where  $\alpha_{\eta,\lambda}$  is the averaged bulk snow-free surface albedo;  $f_{Veg}$  is the fraction of vegetation cover.

angle and snow age. The reflectance in the visible and near infrared bands can be written as:

# A.3.3 Snow albedo

According to Dickinson et al. (1993), snow albedo can be expressed as a function of snow surface age and solar illumination angle. The snow surface age, which is dependent on snow surface temperature and snowfall, is updated with each time step in UEB. Visible and near infrared bands are separately treated when calculating reflectance, which are further averaged as the albedo with modifications of illumination

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$$\alpha_{vd} = \left(1 - C_v S_{age}\right) \alpha_{vo} \tag{A.15}$$

$$\alpha_{ird} = \left(1 - C_{ir}S_{age}\right)\alpha_{iro} \tag{A.16}$$

where  $\alpha_{vd}$  and  $\alpha_{ird}$  represent diffuse reflectance in the visible and near infrared bands, respectively.  $C_v$  (= 0.2) and  $C_{ir}$  (=0.5) are parameters that quantify the sensitivity of the visible and infrared band albedo to snow surface aging (grain size growth),  $\alpha_{vo}$  (=0.85) and  $\alpha_{iro}$  (=0.65) are fresh snow reflectance in visible and infrared bands, respectively.  $S_{age}$  is a function to account for aging of the snow surface, and is given by:

$$S_{age} = \frac{\tau}{1 + \tau} \tag{A.17}$$

where  $\tau$  is the non-dimensional snow surface age that is incremented at each time step by the quantity designed to emulate the effect of the growth of surface grain sizes.

$$\Delta \tau = \frac{r_1 + r_2 + r_3}{\tau_o} \Delta t \tag{A.18}$$

605

where 
$$\Delta t$$
 is the time step in seconds with  $\tau_o = 10^6$ s. r<sub>1</sub> is the parameter to represent the effect of grain growth due to vapor diffusion, and is dependent on snow surface temperature:

$$r_1 = \exp\left[5000(\frac{1}{273.16} - \frac{1}{T_s})\right] \tag{A.19}$$

r<sub>2</sub> describes the additional effect near and at the freezing point due to melt and refreeze:

$$r_2 = \min\left(r_1^{10}, 1\right) \tag{A.20}$$

 $r_3=0.03$  (0.01 in Antarctica) represents the effect of dirt and soot.

The reflectance of radiation with illumination angle (measured relative to the surface normal) is computed as:

$$\alpha_v = \alpha_{vd} + 0.4 f(\varphi)(1 - \alpha_{vd}) \tag{A.21}$$

$$\alpha_{ir} = \alpha_{ird} + 0.4 f(\varphi)(1 - \alpha_{ird})$$

610 where 
$$f(\varphi) = \begin{cases} \frac{1}{b} \left[ \frac{b+1}{1+2b \cos(\varphi)} - 1 \right], & for \cos(\varphi) < 0.5 \\ 0, & otherwise \end{cases}$$

where b is a parameter set at 2 as Dickinson et al. (1993).

When the snowpack is shallow (depth z<h=0.01m), the albedo is calculated by interpolating between the snow albedo and bare ground albedo with the exponential term approximating the exponential extinction of radiation penetration of snow.

(A.22)

$$A_{\nu/ir} = r\alpha_{g,\nu/ir} + (1 - r)\alpha_{\nu/ir}$$
(A.23)

615 where 
$$r = \left(1 - \frac{z}{h}\right)e^{-z/2h}$$

# Notation

| Symbol             | Parameter   | Unit  | Value                   |
|--------------------|---|---|-------------------------|
| Main inp           | ıts   |   |                         |
| Soil mode          | l component (STEMMUS-FT)  |   |                         |
| а                  | Fitted parameter for soil surface resistance                                  | -   | 0.3565                  |
| b(z)               | Normalized water uptake distribution  | m <sup>-1</sup>                                     |                         |
| $C_a$              | Specific heat capacity of dry air   | J kg <sup><math>-1</math> °C<math>-1</math></sup>   | 1.005                   |
| $C_{app}$          | Apparent heat capacity  | J kg <sup><math>-1</math> °C<math>-1</math></sup>   |                         |
| Ci                 | Specific heat capacity of ice   | J kg <sup>-1 °C-1</sup>                             | 2.0455                  |
| $C_L$              | Specific heat capacity of liquid  | J kg <sup>-1 °C-1</sup>                             | 4.186                   |
| $C_s$              | Specific heat capacity of soil solids   | J kg <sup>-1 °C-1</sup>                             |                         |
| $C_{soil}$         | Heat capacity of the bulk soil  | J kg <sup><math>-1</math> °C<math>-1</math></sup>   |                         |
| $C_V$              | Specific heat capacity of water vapor   | J kg <sup>-1 °C-1</sup>                             | 1.87                    |
| $c_p$              | Specific heat capacity of air   | $J kg^{-1} K^{-1}$                                  |                         |
| $D_e$              | Molecular diffusivity of water vapor in soil                                  | m <sup>2</sup> s <sup>-1</sup>                      |                         |
| $D_{TD}$           | Transport coefficient for adsorbed liquid flow due to<br>temperature gradient | kg m <sup>-1</sup> s <sup>-1</sup> °C <sup>-1</sup> |                         |
| $D_{Va}$           | Advective vapor transfer coefficient  | S   |                         |
| $D_{Vg}$           | Gas phase longitudinal dispersion coefficient                                 | m <sup>2</sup> s <sup>-1</sup>                      |                         |
| $D_{Vh}$           | Isothermal vapor conductivity   | kg m <sup>-2</sup> s <sup>-1</sup>                  |                         |
| $D_{VT}$           | Thermal vapor diffusion coefficient   | kg m <sup>-1</sup> s <sup>-1</sup> °C <sup>-1</sup> |                         |
| $H_c$              | Henry's constant  | -   | 0.02                    |
| Κ                  | Hydraulic conductivity  | m s <sup>-1</sup>                                   |                         |
| $K_g$              | Intrinsic air permeability  | $m^2$   |                         |
| $K_{Lh}$           | Isothermal hydraulic conductivities   | $m s^{-1}$  |                         |
| $K_{LT}$           | Thermal hydraulic conductivities  | $m^2 \; s^{-1} \; {}^\circ C^{-1}$                  |                         |
| Ks                 | Soil saturated hydraulic conductivity   | m s <sup>-1</sup>                                   |                         |
| Lo                 | Latent heat of vaporization of water at the reference temperature             | J kg <sup>-1</sup>                                  |                         |
| LAI <sub>eff</sub> | Effective leaf area index   | -   |                         |
| $L_{f}$            | Latent heat of fusion   | $J kg^{-1}$   | 3.34E+05                |
| п                  | Van Genuchten fitting parameters  | -   |                         |
| $r_a^c$            | Aerodynamic resistance for canopy surface                                     | s m <sup>-1</sup>                                   |                         |
| $r_a^s$            | Aerodynamic resistance for bare soil  | s m <sup>-1</sup>                                   |                         |
| r <sub>c,min</sub> | Minimum canopy surface resistance   | s m <sup>-1</sup>                                   |                         |
| r <sub>l,min</sub> | Minimum leaf stomatal resistance  | s m <sup>-1</sup>                                   |                         |
| $r_s$              | Soil surface resistance   | s m <sup>-1</sup>                                   |                         |
| r <sub>sl</sub>    | Resistance to molecular diffusion of the water surface                        | s m <sup>-1</sup>                                   | 10                      |
| $R_n$              | Net radiation   | MJ m <sup>-2</sup> day <sup>-1</sup>                |                         |
| $R_n^c$            | Net radiation at the canopy surface   | MJ m <sup>-2</sup> day <sup>-1</sup>                |                         |
| $R_n^s$            | Net radiation at the soil surface   | MJ m <sup>-2</sup> day <sup>-1</sup>                |                         |
| Sa                 | Degree of saturation of the soil air  | -   | $=1-S_L$                |
| $S_L$              | Degree of water saturation in the soil  | -   | $=\theta_L/\varepsilon$ |
| $S_p$              | Potential water uptake rate   | $s^{-1}$  |                         |

| t                         | Time  | s                                  |                |
|---------------------------|---|------------------------------------|----------------|
| $T_p$                     | Potential transpiration   | m s <sup>-1</sup>                  |                |
| $T_r$                     | Arbitrary reference temperature   | °C                                 | 20             |
| W                         | Differential heat of wetting  | J kg <sup>-1</sup>                 |                |
| z                         | Vertical space coordinate (positive upwards)  | m                                  |                |
| α                         | Air entry value of soil   | m <sup>-1</sup>                    |                |
| a(h)                      | Reduction coefficient related to soil water potential                               | -                                  |                |
| ε                         | Porosity  | -                                  |                |
| λ <sub>eff</sub>          | Effective thermal conductivity of the soil  | $W \ m^{-1 \ \circ C - 1}$         |                |
| $\theta_s$                | Volumetric fraction of solids in the soil   | $m^3 m^{-3}$                       |                |
| $\theta_{\rm sat}$        | Saturated soil water content  | $m^3 m^{-3}$                       |                |
| $\theta_{\rm r}$          | Residual soil water content   | $m^3 m^{-3}$                       |                |
| $\theta_1$                | Topsoil water content   | $m^3 m^{-3}$                       |                |
| $	heta_{min}$             | Minimum water content above which soil is able to deliver vapor at a potential rate | $m^3m^{-3}$                        |                |
| $ ho_a$                   | Air density   | kg m <sup>-3</sup>                 |                |
| $ ho_{da}$                | Density of dry air  | kg m <sup>-3</sup>                 |                |
| $ ho_i$                   | Density of ice  | kg m <sup>-3</sup>                 | 920            |
| $ ho_L$                   | Density of soil liquid water  | kg m <sup>-3</sup>                 | 1000           |
| $ ho_{s}$                 | Density of solids   | kg m <sup>-3</sup>                 |                |
| $\rho_V$                  | Density of water vapor  | kg m <sup>-3</sup>                 |                |
| Ŷw                        | Specific weight of water  | kg m <sup>-2</sup> s <sup>-2</sup> |                |
| $\mu_a$                   | Air viscosity   | kg m <sup>-2</sup> s <sup>-1</sup> |                |
| Snow me                   | odel component (UEB)  |                                    |                |
| $T_{\rm r}$               | Air temperature above which precipitation is all rain                               | °C                                 | 3.5            |
| $T_{\rm sn}$              | Air temperature below which precipitation is all snow                               | °C                                 | 0              |
| $\mathcal{E}_{sn}$        | Emissivity of snow  | -                                  | 0.99           |
| $C_{g}$                   | Ground heat capacity  | J kg <sup>-1 °C-1</sup>            | 2.09           |
| Zo                        | Snow surface aerodynamic roughness  | m                                  | 0.001          |
| Lc                        | Liquid holding capacity of snow   | -                                  | 0.05           |
| Ksn                       | Snow saturated hydraulic conductivity   | m h <sup>-1</sup>                  | 160            |
| $\alpha_{\rm vo}$         | Visual new snow albedo  | -                                  | 0.95           |
| $\alpha_{\rm iro}$        | Near-infrared new snow albedo   | -                                  | 0.65           |
| $\alpha_{\rm bg}$         | Bare ground albedo  | -                                  | Eqs. A10 - A14 |
| De                        | Thermally active depth of soil  | m                                  | 0.4            |
| $\lambda_{ m sn}$         | Snow surface thermal conductivity   | m h <sup>-1</sup>                  | 0.02           |
| $ ho_{ m sn}$             | Snow density  | kg m <sup>-3</sup>                 | 450            |
| $A_{\rm ed}$              | Albedo extinction depth   | m                                  | 0.0001         |
| $F_{\rm c}$               | Forest cover fraction   | -                                  | 0              |
| $D_{\mathrm{f}}$          | Drift factor  | -                                  | 1              |
|                           | C - 11 demaiter   | kg m <sup>-3</sup>                 | 1700           |
| $\rho_{\rm s}$            | Soil density  | Kg III                             | 1700           |
| ρ <sub>s</sub><br>Main ou | ·   | kg III                             | 1700           |

 $\psi$  Soil water potential

m

| Mixed pore-air pressure                                      | Pa   |
|--|--|
| Soil temperature   | °C   |
| Volumetric water content                                     | $m^3 m^{-3}$   |
| Soil ice volumetric water content                            | $m^3 m^{-3}$   |
| Soil liquid volumetric water content                         | $m^3 m^{-3}$   |
| Soil vapor volumetric water content                          | $m^3 m^{-3}$   |
| Volumetric fraction of dry air in the soil                   | $m^3 m^{-3}$   |
| Water flux   | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Dry air flux   | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Soil liquid water fluxes (positive upwards)                  | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Liquid water flux driven by the gradient of air pressure     | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Liquid water flux driven by the gradient of matric potential | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Liquid water flux driven by the gradient of temperature      | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Soil water vapor fluxes (positive upwards)                   | $kg m^{-2} s^{-1}$   |
| Water vapor flux driven by the gradient of air pressure      | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Water vapor flux driven by the gradient of matric potential  | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Water vapor flux driven by the gradient of temperature       | kg m <sup>-2</sup> s <sup>-1</sup>   |
| Sink term for transpiration                                  | s <sup>-1</sup>  |
| Latent heat flux density                                     | W m <sup>-3</sup>  |
| odel component (UEB)   |  |
| Precipitation in the form of rain                            | m s <sup>-1</sup>  |
| Precipitation in the form of snow                            | m s <sup>-1</sup>  |
| Snow water equivalent  | m  |
| Surface Sensible Heat Flux                                   | W m <sup>-2</sup>  |
| Surface Latent Heat Flux                                     | W m <sup>-2</sup>  |
| Surface Sublimation  | m s <sup>-1</sup>  |
| Snow Surface Temperature                                     | °C   |
| Energy Content   |  |
| Melt outflow rate  | m s <sup>-1</sup>  |
| Surface Albedo   | -  |
| Heat advected by melt outflow                                | W m <sup>-2</sup>  |
| Net shortwave radiation                                      | W m <sup>-2</sup>  |
|  | W m <sup>-2</sup>  |
| 0  |  |
|  | Volumetric water contentSoil ice volumetric water contentSoil liquid volumetric water contentSoil vapor volumetric water contentVolumetric fraction of dry air in the soilWater fluxDry air fluxSoil liquid water fluxes (positive upwards)Liquid water flux driven by the gradient of air pressureLiquid water flux driven by the gradient of matric potentialLiquid water flux driven by the gradient of matric potentialLiquid water flux driven by the gradient of air pressureSoil water vapor flux driven by the gradient of matric potentialWater vapor flux driven by the gradient of matric potentialWater vapor flux driven by the gradient of temperatureSink term for transpirationLatent heat flux densitydel component (UEB)Precipitation in the form of rainPrecipitation in the form of snowSnow water equivalentSurface Sensible Heat FluxSurface SublimationSnow Surface TemperatureEnergy ContentMelt outflow rateSurface AlbedoHeat advected by melt outflow |

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625

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|                      | Soil                 |                       |                    |                           |  | Snow   |  |                                       |                   |                    |  |                                  |
|----------------------|----------------------|-----------------------|--------------------|---------------------------|--|--|--|---------------------------------------|-------------------|--------------------|--|----------------------------------|
| Model                | Water<br>balan<br>ce | Energy<br>balanc<br>e | Air<br>bala<br>nce | Water-<br>heat<br>coupled | Others<br>(vapor,<br>freeze-thaw,<br>convective<br>heat) | Snow<br>layer                                    | Snow<br>energy<br>budget               | Water<br>flow                         | Snow albedo       | Snow density       | Other processes (snow<br>compaction, wind, and<br>vegetation effect)   | Relevant<br>reference            |
| CABLE-SLI            | Richa<br>rds         | HT_co<br>nd,<br>Advc  | No                 | Yes                       | Vapor;<br>HT_convect<br>(liquid)                         | Multila<br>yer                                   | HT_co<br>nd,<br>Advc                   | Mass<br>conserva<br>tion<br>Snowfall  | Albedo_SN<br>W_1A | Density_SN<br>W_1  | Snow compaction<br>(overburden and<br>metamorphism)  | Cuntz and<br>Haverd (2018)       |
| CLASS                | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Single   | HT_co<br>nd                            | , energy<br>driven<br>snow<br>melting | Albedo_SN<br>W_1B | Density_SN<br>W_2A | -  | Barlett et al. (2006)            |
| CLM5                 | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer up<br>to five                     | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_2  | Density_SN<br>W_4A | Snow compaction<br>(metamorphism,<br>overburden, melting, wind-<br>drift)  | Lawrence et al<br>(2019)         |
| HTESSEL              | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Single   | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3B | Density_SN<br>W_4B | Snow compaction<br>(overburden and<br>metamorphism)  | Dutra et al.<br>(2010)           |
| HTESSEL-<br>ML       | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer up<br>to 3                        | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3B | Density_SN<br>W_4B | Snow compaction<br>(overburden and<br>metamorphism)  | Dutra et al.<br>(2012)           |
| SURFEX-<br>ISBA-ES01 | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer, 3                                | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3A | Density_SN<br>W_4C | Snow compaction and settling   | Boone and<br>Etchevers<br>(2001) |
| SURFEX-<br>ISBA-ES16 | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer, 12                               | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3C | Density_SN<br>W_4D | Snow compaction; wind-<br>induced densification  | Decharme et a (2016)             |
| SURFEX-<br>ISBA-MEB  | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer, 12<br>Multila                    | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3C | Density_SN<br>W_4D | Snow compaction; wind-<br>induced densification;<br>Vegetation effect<br>(interception/ unloading;<br>snow fraction); litter layer;<br>Multi-component energy<br>balance<br>Snow metamorphism; | Boone et al.<br>(2017)           |
| SURFEX-<br>Crocus    | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | yer<br>(dynam<br>ic)                             | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3D | Density_SN<br>W_4F | compaction; wind drift;<br>sublimation/ hoar<br>deposition   | Vionnet et al.<br>(2012)         |
| JSBACH               | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer up<br>to 5                        | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Constant          | Constant           | -  | Ekici et al.<br>(2014)           |
| JULES                | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer up<br>to 5                        | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3A | Density_SN<br>W_4B | Snow compaction  | Best (2011)                      |
| Noah-MP              | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer up<br>to 3                        | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_2  | Density_SN<br>W_2B | -  | Niu et al. (201                  |
| ORCHIDEE-<br>ES      | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer, 3                                | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3E | Density_SN<br>W_4B | Snow compaction<br>(overburden and<br>metamorphism)  | Wang et al.<br>(2013)            |
| SNOWPAC<br>K         | Richa<br>rds         | HT_co<br>nd           | No                 | Yes                       | Vapor;<br>HT_convect<br>(liquid)                         | Multila<br>yer                                   | HT_co<br>nd                            | Mass<br>conserva<br>tion,<br>vapor    | Albedo_SN<br>W_3D | Density_SN<br>W_4G | Explicit prognostic<br>settlement;<br>Snow metamorphism;<br>compaction; wind drift;<br>sublimation   | Lehning et al.<br>(1999)         |
| WEB-DHM              | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Single   | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_1B | Constant           | Vegetation interception  | Wang et al. (2009)               |
| WEB-DHM-<br>S        | Richa<br>rds         | HT_co<br>nd           | No                 | No                        | No vapor;<br>LH_phas                                     | Multila<br>yer up<br>to 3                        | HT_co<br>nd                            | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3F | Density_SN<br>W_4B | Snow compaction  | Shrestha et al.<br>(2010)        |
| HydroSiB2-<br>SF     | Richa<br>rds         | HT_co<br>nd           | No                 | Yes                       | Vapor;<br>enthalpy-<br>based FT;<br>LH_phas              | Multila<br>yer up<br>to 3                        | HT_co<br>nd,<br>Advc                   | Mass<br>conserva<br>tion              | Albedo_SN<br>W_3F | Density_SN<br>W_4B | Snow compaction  | Wang et al.<br>(2017)            |
| WEB-GM               | -                    | -                     | -                  | -                         | -  | Multila<br>yer,<br>vary<br>with<br>snow<br>depth | Enthalp<br>y based<br>heat<br>transfer | Mass<br>conserva<br>tion              | Albedo_SN<br>W_4  | Density_SN<br>W_3  | Snow compaction<br>(metamorphism, snow<br>densification, melting);   | Ding et al.<br>(2017)            |

## Table 1. Brief overview of current soil-snow modelling efforts.

|     | SWAP                      | Richa<br>rds | HT_co<br>nd          | No     | No         | No vapor;<br>LH_phas  | Single         | -                    | tion                               | Constant          | Density_SN<br>W_4H | Vegetation interception                             | Gusev and<br>Nasonova<br>(2003)  |
|-----|---------------------------|--------------|----------------------|--------|------------|---|----------------|----------------------|------------------------------------|-------------------|--------------------|---|--|
|     | COUP                      | Richa<br>rds | HT_co<br>nd,<br>Advc | No     | Yes        | Vapor;<br>HT_convect<br>(liquid)  | Single         | HT_co<br>nd          | Mass<br>conserva<br>tion           | Albedo_SN<br>W_1A | Density_SN<br>W_2C | Snow compaction                                     | Jansson (2012)   |
|     | SHAW                      | Richa<br>rds | HT_co<br>nd,<br>Advc | No     | Yes        | Vapor;<br>HT_convect<br>(liquid,<br>vapor)<br>Vapor;                                      | Multila<br>yer | HT_co<br>nd,<br>Advc | Mass<br>conserva<br>tion,<br>vapor | Albedo_SN<br>W_1C | Density_SN<br>W_4E | Snow compaction, settling                           | Flerchinger and<br>Saxton (1989);<br>Flerchinger<br>(2017)<br>Hansson et al. |
|     | HYDRUS                    | Richa<br>rds | HT_co<br>nd,<br>Advc | No     | Yes        | HT_convect<br>(liquid,<br>vapor)<br>Vapor;  | -              | -                    |                                    | -                 | -                  | -   | (2004);<br>Šimůnek et al.<br>(2008)  |
|     | STEMMUS-<br>UEB           | Richa<br>rds | HT_co<br>nd,<br>Advc | Yes    | Yes        | LH_phas;<br>HT_convect<br>(liquid,<br>vapor, dry<br>air); Various<br>complexity of<br>SHP | Single         | HT_co<br>nd,<br>Advc | Mass<br>conserva<br>tion           | Albedo_SN<br>W_3F | Constant           | Empirical wind drift and<br>vegetation interception | This study   |
| 865 | Note:                     |              |                      |        |            |   |                |                      |                                    |                   |                    |   |  |
|     | HT_cond, H                | Heat co      | nductio              | n;     |            |   |                |                      |                                    |                   |                    |   |  |
|     | Advc, Adve                | ection;      |                      |        |            |   |                |                      |                                    |                   |                    |   |  |
|     | LH_phas, I                | atent h      | neat due             | to ph  | ase chai   | nge;  |                |                      |                                    |                   |                    |   |  |
|     | HT_Conve                  | ct, Con      | vective              | heat o | due to li  | quid;   |                |                      |                                    |                   |                    |   |  |
| 870 | SHP, soil p               |              | •                    |        |            |   |                |                      |                                    |                   |                    |   |  |
|     |                           |              |                      |        |            | unction of sn   |                |                      |                                    |                   |                    |   |  |
|     |                           |              |                      |        |            | mpirical func   |                |                      | •••                                |                   |                    |   |  |
|     |                           |              |                      |        |            | unction of ex   |                |                      |                                    |                   |                    |   |  |
| 875 | Albedo_SN<br>and impurit  |              | Snow al              | bedo   | 2, Two-    | stream radia  | tive trar      | ster sol             | ution, con                         | isidering sn      | ow aging, so       | lar zenith angle, optical                           | parameters,  |
| 0.0 | •                         |              | . Snow               | albed  | o 3A. P    | rognostic sno   | ow albee       | lo, cons             | idering a                          | ging effect:      |                    |   |  |
|     |                           |              |                      |        |            | 0   |                |                      |                                    |                   | nd vegetatio       | n type dependent;                                   |  |
|     | Albedo_SN                 | w_3C         | , Snow               | albed  | o 3C, Pi   | ognostic sno  | w albec        | lo, consi            | idering ag                         | ging and opt      | ical diamete       | r;  |  |
|     | Albedo_SN                 | W_3D         | , Snow               | albed  | o 3D, P    | rognostic sno   | ow albee       | io, cons             | idering a                          | ge and micro      | ostructure;        |   |  |
| 880 | Albedo_SN                 | W_3E         | , Snow               | albed  | o 3E, Pr   | ognostic sno  | w albed        | o, consi             | dering ag                          | ing effect a      | nd dry/wet s       | tates;  |  |
|     | Albedo_SN                 | W_3F         | , Snow               | albed  | o 3F, Pr   | ognostic sno  | w albed        | o consid             | lering agi                         | ng effect, so     | olar zenith a      | ıgle;   |  |
|     | Albedo_SN<br>and solar el |              |                      | bedo   | 4, Diag    | nostic snow a   | albedo, o      | consider             | ring snow                          | aging, slee       | t/snowfall fr      | action, grain diameter, c                           | loud fraction,   |
|     | Density_SN                | W_1,         | Snow d               | ensity | / 1, relyi | ing on in situ  | measu          | ements;              |                                    |                   |                    |   |  |
| 885 | Density_SN                | W_2A         | A, Snow              | densi  | ity 2A, f  | unction of ai   | r tempe        | rature;              |                                    |                   |                    |   |  |
|     | Density_SN                | W_2E         | 8, Snow              | densi  | ity 2B, F  | Function of e   | xtinctio       | n coeffic            | cient and                          | grain-size;       |                    |   |  |
|     | Density SN                | JW 20        | . Snow               | densi  | ity 2C. F  | Function of o   | ld (dens       | ification            | 1). new-fa                         | allen (air ter    | nperature) si      | now pack density, and s                             | now depth:   |

Density\_SNW\_2C, Snow density 2C, Function of old (densification), new-fallen (air temperature) snow pack density, and snow depth; Density\_SNW\_3, Snow density 3, Diagnostic density, considering wet-bulb temperature; Density\_SNW\_4A, Snow density 4A, Prognostic density, considering temperature, wind effect, snow compaction, water/ice states;

890 Density\_SNW\_4B, Snow density 4B, Prognostic density, considering overburden and thermal metamorphisms; Density\_SNW\_4C, Snow density 4C, Prognostic snow density, considering snow compaction and settling; Density\_SNW\_4D, Snow density 4D, Prognostic snow density, considering snow compaction and wind-induced densification; Density\_SNW\_4E, Snow density 4E, Prognostic snow density, considering snow compaction, settling, and vapor transfer; Density\_SNW\_4F, Snow density 4F, Prognostic density, function of wind speed and air temperature;

895 Density\_SNW\_4G, Snow density 4G, Prognostic density, function of stress state and microstructure; Density\_SNW\_4H, Snow density 4H, Prognostic density, considering snow temperature.

## Table 2. Main subroutines in STEMMUS-UEB

| Model<br>Subroutines   | Main functions  | Main inputs   | Main outputs   | Subroutine-Connections  |
|------------------------|---|---|--|---|
| Soil module            |   |   |  |   |
| Air_sub                | Solves soil dry air balance equation  | Water vapor density, diffusivity, dispersion<br>coefficient; dry air density, gas conductivity, flux;<br>liquid water flux; top and bottom boundary conditions  | Soil air pressure profile  | CondV_DVg, CondL_h,<br>Condg_k_g, Density_V,<br>h_sub>;<br>> Enrgy_sub,   |
| CondL_h                | Calculates soil hydraulic<br>conductivity   | Soil hydraulic parameters; soil matric potential; soil temperature  | Soil hydraulic conductivity; soil water content  | StartInit>;<br>> h_sub; Air_sub;<br>Enrgy_sub,  |
| CondT_coeff            | Calculates soil thermal<br>capacity and conductivity  | Thermal properties of soil constituents; soil texture;<br>soil water content; volumetric fraction of dry air; dry<br>air density; vapor density   | Soil thermal capacity and conductivity   | StartInit, CondL_h, Density_V,<br>Density_DA, EfeCapCond<br>->;<br>> Enrgy_sub,   |
| CondV_DVg              | Calculates flux of dry air and vapor dispersity   | Gas conductivity, dry air pressure, volumetric fraction of dry air; saturated soil water content  | Dry air flux and vapor<br>dispersion coefficient   | StartInit, CondL_h,<br>Condg_k_g>;<br>> h_sub; Air_sub;<br>Enrgy_sub,   |
| CondL_Tdisp            | Calculates transport<br>coefficient for adsorbed<br>liquid flow                                       | Soil porosity, soil water content, temperature, matric potential, volumetric fraction of dry air  | Transport coefficient for<br>adsorbed liquid flow and the<br>heat of wetting   | StartInit, CondL_h,<br>Condg_k_g>;<br>> h_sub; Enrgy_sub,   |
| Condg_k_g              | Calculates gas conductivity   | Soil porosity, saturated hydraulic conductivity, volumetric fraction of dry air   | Gas conductivity   | StartInit, CondL_h>;<br>> CondV_DVg,  |
| Density_DA             | Calculates dry air density  | Soil temperature, matric potential, dry air pressure;<br>vapor density and its derivative with respect to<br>temperature and matric potential   | Density of dry air   | StartInit, CondL_h, Density_V<br>>;<br>> CondT_coeff, Air_sub,<br>Enrgy_sub,  |
| Density_V              | Calculates vapor density and<br>its derivative with respect to<br>temperature and matric<br>potential | Soil temperature, matric potential  | Vapor density and its derivative<br>with respect to temperature and<br>matric potential                              | CondL_h>;<br>> Density_DA, CondT_coeff,<br>h_sub, Air_sub, Enrgy_sub,   |
| EfeCapCond             | Calculates soil thermal<br>capacity and conductivity  | Thermal properties of soil constituents; soil texture;<br>soil water content; volumetric fraction of dry air; dry<br>air density; vapor density   | Soil heat capacity; thermal<br>conductivity  | StartInit, CondL_h, Density_V,<br>Density_DA>;<br>> CondT_coeff,  |
| Enrgy_sub              | Solves soil energy balance equation   | Soil thermal properties, soil hydraulic conductivity,<br>soil matric potential, soil water content, soil<br>temperature, soil dry air pressure, density of dry air,<br>heat of wetting, vapor density, liquid water flux, vapor<br>flux, dry air flux, meterological forcing, top and<br>bottom boundary conditions | Soil temperature profile, liquid<br>water flux, vapor flux, and dry<br>air flux, surface and bottom<br>energy fluxes | Air_sub, h_sub, CondL_h,<br>CondV_DVg, CondL_Tdisp,<br>CondT_coeff, Density_D,<br>Density_DA, PREDICORR<br>->,                            |
| Forcing_PAR<br>M       | Disaggregates the<br>meteorological forcing into<br>the required time steps                           | Observed meteorological forcing at hourly/daily time scale  | Meteorological forcings at<br>model required time scale  | StartInit>;<br>> h_sub, Enrgy_sub,  |
| h_sub                  | Solves soil water balance equation  | Soil temperature, soil water content, matric potential,<br>soil hydraulic conductivity, heat of wetting, soil dry<br>air pressure, vapor density, diffusivity, dispersity,<br>volumetric fraction of vapor, meteorological forcing,<br>top and bottom boundary conditions   | Soil matric potential profile, top<br>and bottom water fluxes,<br>evaporation  | Startlnit, CondV_DVg,<br>CondL_h, CondV_DE,<br>CondL_Tdisp, Condg_k_g,<br>Density_V, Forcing_PARM,<br>ALBEDO, PARTSNOW,<br>PREDICORR>;    |
| StartInit              | Initializes model setup   | Soil texture, thermal properties of soil constituents,<br>initial soil water content and temperature, top and<br>bottom boundary condition settings   | -  | > Air_sub, Enrgy_sub,<br>> CondV_DVg, CondL_h,<br>CondV_DE, CondL_Tdisp,<br>Condg_k_g, Density_DA,<br>EfeCapCond, Forcing_PARM,<br>h_sub, |
| Diff_Moisture_<br>Heat | Solves soil water and energy<br>balance equations<br>independently                                    | Soil thermal properties, soil hydraulic conductivity,<br>soil matric potential, soil water content, soil<br>temperature, meteorological forcing, top and bottom<br>boundary conditions  | Soil water content and<br>temperature profile, liquid<br>water flux, surface and bottom<br>water and energy fluxes   | Startlinit, CondT_coeff,<br>Forcing_PARM, ALBEDO,<br>PARTSNOW, PREDICORR<br>->,   |
| Snowpack<br>module     |   |   |  |   |
| agesn                  | Calculates snow age   | Snow surface temperature, snowfall  | Updated snow age   | PARTSNOW, PREDICORR<br>->;<br>> ALBEDO,   |
| ALBEDO                 | Calculates snow albedo  | Fresh snow reflectance at visible and near infrared<br>bands, snow age, bare ground albedo, albedo<br>extinction parameter, snow water equivalent   | Snow albedo  | agesn>;<br>> PREDICORR,   |
| PARTSNOW               | Partitions precipitation into<br>rainfall and snowfall  | Precipitation, air temperature, temperature thresholds for rainfall/snowfall  | Rainfall, snowfall   | Forcing_PARM>;<br>> PREDICORR,  |

| PREDICORR | Solves the snow mass and<br>energy balance equations and<br>updates state variables SWE<br>and U | Air temperature, snow albedo, wind speed, relative<br>humidity, rainfall/snowfall, shortwave/longwave<br>radiation, site parameters | Snow energy content, water<br>equivalent, snow albedo, snow<br>surface temperature, meltwater<br>outflow rate, snow sublimation,<br>snowfall/rainfall | Forcing_PARM>;<br>> agesn <sup>2</sup> , ALBEDO <sup>2</sup> . |
|-----------|--|---|---|--|
|-----------|--|---|---|--|

Note:

---> means the relevant subroutines which are incoming to the current one, --> means the relevant subroutines for which the current subroutine is output to; 900

 $agesn^2$  and  $ALBEDO^2$ , means the use of subroutines agesn and ALBEDO after solving the snowpack energy and mass conservation equations, to update the snow age and albedo.

## Table 3. Numerical experiments with various mass and energy transfer schemes with/without explicit consideration of snow cover905(Eqs. A1-A7 are listed in Appendix A.1; Eqs. A8-A9 are listed in Appendix A.2).

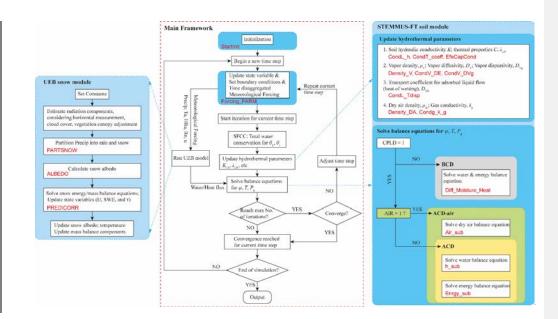
| Processes                            |   | - Experiments   |                    |  |  |
|--------------------------------------|---|-----------------|--------------------|--|--|
| Snowpack (SNW)                       | Mass and energy transfer in soils (SMETr)   | Experiments     |                    |  |  |
|                                      | SMETr=1: basic coupled water-heat transfer (Eqs. A.1 & A.2)                       | BCD-Snow        |                    |  |  |
| SNW =1:<br>UEB (Eqs. A.8 & A.9)      | SMETr=2: advanced coupled water-heat transfer without air flow (Eqs. A.3 & A.4)   | ACD-Snow        | STEMMUS-FT_Snow    |  |  |
|                                      | SMETr=3: advanced coupled water-heat transfer with air flow (Eqs. A.5, A.6 & A.7) | ACD-air-Snow    |                    |  |  |
|                                      | SMETr=1: basic coupled water-heat transfer (Eqs. A.1 & A.2)                       | BCD-No-Snow     |                    |  |  |
| SNW =0:<br>No discrimination of snow | SMETr=2: advanced coupled water-heat transfer without air flow (Eqs. A.3 & A.4)   | ACD-No-Snow     | STEMMUS-FT_No-snow |  |  |
| and rainfall                         | SMETr=3: advanced coupled water-heat transfer with air flow (Eqs. A.5, A.6 & A.7) | ACD-air-No-Snow |                    |  |  |

| Experiments            |             | Statistics     | Snow albedo | LE (mm/d)    | Soil tem | perature ( | °C)    |        |         | Soil moisture (cm <sup>3</sup> cm <sup>-3</sup> ) |              |              |              |              |
|------------------------|-------------|----------------|-------------|--------------|----------|------------|--------|--------|---------|---|--------------|--------------|--------------|--------------|
| Experiments            | Experiments |                | Show albedo | LE (IIIII/d) | 5cm      | 10cm       | 20cm   | 40cm   | 80cm    | 5cm   | 10cm         | 20cm         | 40cm         | 80cm         |
| STEMMUS-<br>FT Snow    |             | BIAS           | -0.0100     | 0.162        | -0.071   | 0.150      | -0.048 | -1.127 | -0.1390 | 0.0064  | 0.0091       | 0.0048       | 0.0031       | 1.80E-03     |
|                        | BCD         | $\mathbb{R}^2$ | 0.296       | 0.278        | 0.976    | 0.958      | 0.881  | 0.626  | 0.810   | 0.704   | <u>0.586</u> | <u>0.310</u> | <u>0.387</u> | <u>0.237</u> |
|                        |             | RMSE           | 0.033       | 0.579        | 0.4697   | 0.415      | 0.544  | 1.548  | 0.5352  | 0.0194  | 0.0223       | 0.0307       | 0.0322       | 0.0118       |
|                        |             | BIAS           | -0.0049     | -0.020       | -0.224   | 0.054      | -0.032 | -0.982 | 0.0129  | -0.0014   | 0.0024       | 0.0001       | 0.0045       | 7.57E-04     |
|                        | ACD         | R <sup>2</sup> | 0.253       | 0.232        | 0.964    | 0.969      | 0.971  | 0.944  | 0.995   | 0.878   | 0.960        | 0.991        | 0.992        | 0.982        |
|                        |             | RMSE           | 0.032       | 0.305        | 0.4462   | 0.374      | 0.209  | 1.190  | 0.1201  | 0.0087  | 0.0041       | 0.0028       | 0.0055       | 0.0019       |
|                        | ACD-air     | BIAS           | -0.0048     | -0.019       | -0.223   | 0.055      | -0.032 | -0.982 | 0.0130  | -0.0013   | 0.0025       | 0.0001       | 0.0045       | 7.55E-04     |
|                        |             | $\mathbb{R}^2$ | 0.338       | 0.217        | 0.963    | 0.969      | 0.971  | 0.944  | 0.995   | 0.883   | 0.960        | 0.990        | 0.992        | 0.982        |
|                        |             | RMSE           | 0.031       | 0.314        | 0.4464   | 0.374      | 0.210  | 1.190  | 0.1200  | 0.0084  | 0.0042       | 0.0028       | 0.0055       | 0.0019       |
|                        | BCD         | BIAS           | -0.0123     | 0.157        | -0.073   | 0.149      | -0.048 | -1.128 | -0.1397 | 0.0099  | 0.0092       | 0.0048       | 0.0031       | 1.70E-03     |
|                        |             | $\mathbb{R}^2$ | -           | 0.303        | 0.976    | 0.958      | 0.881  | 0.627  | 0.810   | 0.771   | <u>0.581</u> | 0.309        | <u>0.386</u> | <u>0.240</u> |
|                        |             | RMSE           | 0.038       | 0.565        | 0.4673   | 0.415      | 0.544  | 1.548  | 0.5354  | 0.0261  | 0.0224       | 0.0307       | 0.0322       | 0.0117       |
|                        |             | BIAS           | -0.0079     | -0.031       | -0.213   | 0.065      | -0.023 | -0.977 | 0.0154  | -0.0010   | 0.0026       | 0.0002       | 0.0046       | 8.29E-04     |
| STEMMUS-<br>FT_No-snow | ACD         | R <sup>2</sup> | -           | 0.363        | 0.964    | 0.969      | 0.973  | 0.943  | 0.995   | 0.887   | 0.959        | 0.991        | 0.991        | 0.979        |
|                        |             | RMSE           | 0.037       | 0.242        | 0.4352   | 0.370      | 0.201  | 1.186  | 0.1210  | 0.0081  | 0.0044       | 0.0028       | 0.0058       | 0.0020       |
|                        | ACD-air     | BIAS           | -0.0079     | -0.031       | -0.210   | 0.072      | -0.014 | -0.968 | 0.0222  | -0.0011   | 0.0026       | 0.0003       | 0.0049       | 9.13E-04     |
|                        |             | $\mathbb{R}^2$ | -           | 0.358        | 0.965    | 0.969      | 0.972  | 0.943  | 0.995   | 0.886   | 0.960        | 0.991        | 0.990        | 0.979        |
|                        |             | RMSE           | 0.037       | 0.243        | 0.4349   | 0.374      | 0.202  | 1.180  | 0.1198  | 0.0082  | 0.0041       | 0.0028       | 0.0061       | 0.0020       |

Table 4. Comparative statistics values of various model versions for snow albedo, LE, soil temperature, and soil moisture. The best statistical performance is highlighted by bold fonts, while the values with poor statistical model performance is underlined with the italic fonts.

910 Note:  $BIAS = \frac{\sum_{i=1}^{n} (y_i - \hat{y}_i)}{n}$ ,  $R^2 = 1 - \frac{\sum_{i=1}^{n} (y_i - \hat{y}_i)^2}{\sum_{i=1}^{n} (y_i - \hat{y}_i)^2}$ ,  $RMSE = \sqrt{\frac{1}{\sum_{i=1}^{n} (y_i - \hat{y}_i)^2}}$ , where  $y_i$ ,  $\hat{y}_i$ , are the measured and model simulated values of the selected variable (snow albedo, LE, soil temperature/moisture);  $\bar{y}$  is the mean values of the measurements of the selected variable (snow albedo, LE, soil temperature/moisture); n is the number of data points.

The correlation is all significant at the 0.01 level, except for "-", which indicates that the correlation is not significant.



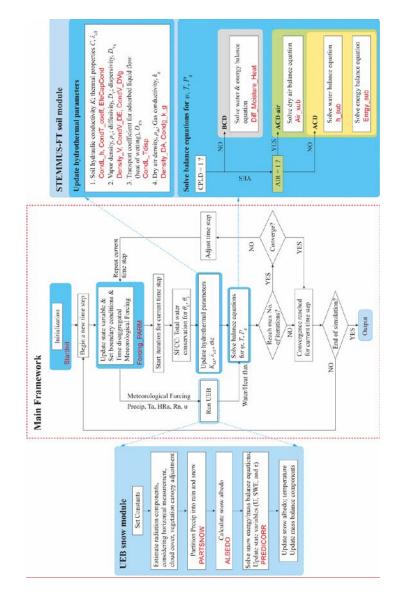
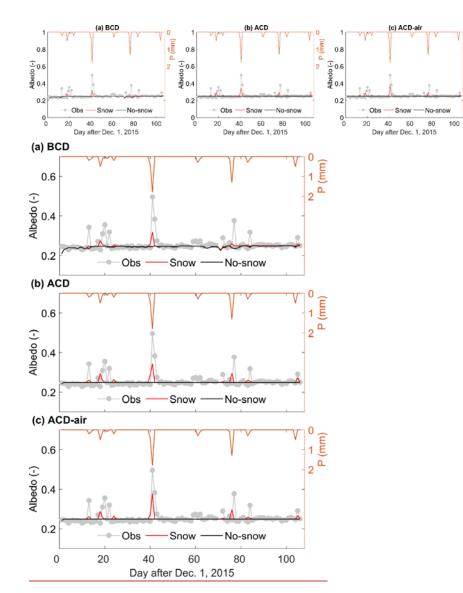
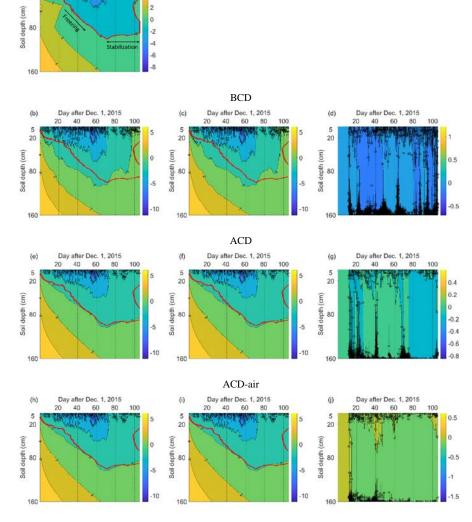


Figure 1. The overview of the coupled STEMMUS-FT and UEB model framework and model structure. SFCC is soil freezing characteristic curve;  $\theta_L$  and  $\theta_l$  are soil liquid water and ice content;  $K_{Lh}$  is soil hydraulic conductivity;  $\lambda_{eff}$  is thermal conductivity;  $\psi, T, P_g$  are the state variables for soil module STEMMUS-FT (matric potential, temperature, and air pressure, respectively). U, SWE, and  $\tau$  are the state variables for snow module UEB (snow energy content, snow water equivalent, and snow age, respectively). UEB, Utah Energy Balance module. Precip, Ta, HRa, Rn, and u are the meteorological inputs (precipitation, air temperature, relative humidity, radiationradiation, and wind speed). Model subroutines are in red fonts.



L (mm)

Figure 2. Time series of observed and model simulated daily average albedo using (a) BCD, (b) ACD, and (c) ACD-air soil model with/without consideration of snow module, with the precipitation.



Day after Dec. 1, 2015 20 40 60 80

100

(a)

Figure 3. The spatial and temporal dynamics of observed (a) and simulated soil temperature using BCD, ACD, and ACD-air soil model, with and without consideration of snow module (Snow: b, e, h and No-Snow: c, f, i) and the difference (d, g, j) (simulations with snow minus simulations without snow). The red line indicates the zero zero-degree isothermal line (ZDIL) from the measured soil temperature. The observed soil freezing stage and stabilization stage was marked in Fig. 3a.

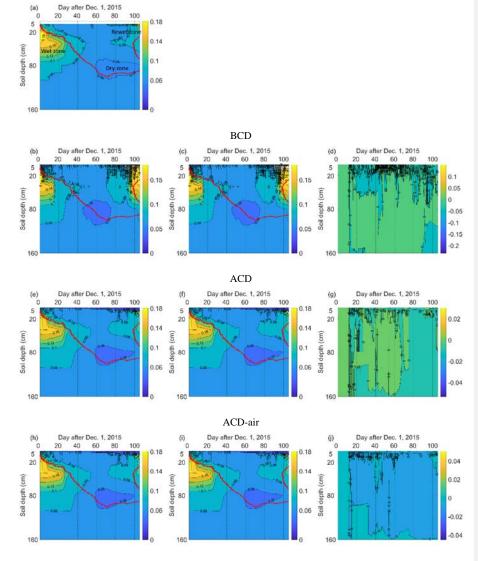


Figure 4. The spatial and temporal dynamics of observed (a) and simulated soil volumetric water content using BCD, ACD, and ACD-air soil model, with and without consideration of snow module (Snow: b, e, h and No-Snow: c, f, i) and the difference (d, g, j) (simulations with snow minus simulations without snow). The red line indicates the zero-zero-degree isothermal line from the measured soil temperature. The observed wet zone, dry zone and rewet zone of soil moisture was indicated in Fig. 4a.

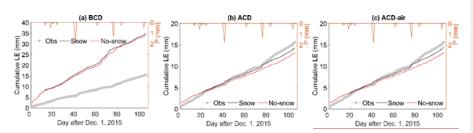
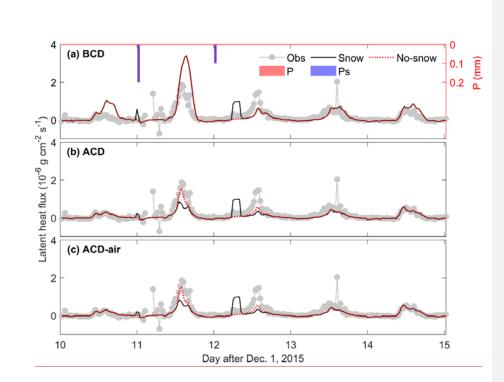
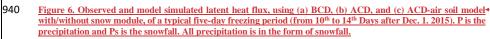


Figure 5. Time series of observed and model simulated surface cumulative latent heat flux<u>(LE)</u> using (a) BCD, (b) ACD, and (c) ACD-air soil model with/without consideration of snow module, with the precipitation.





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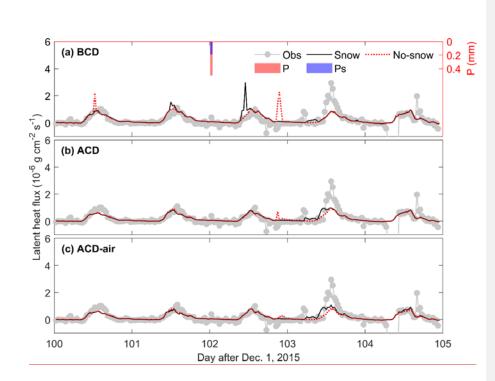
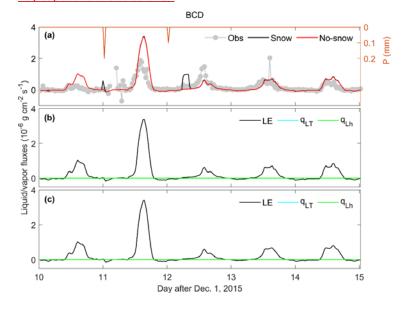
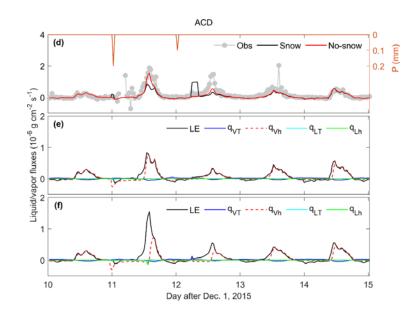
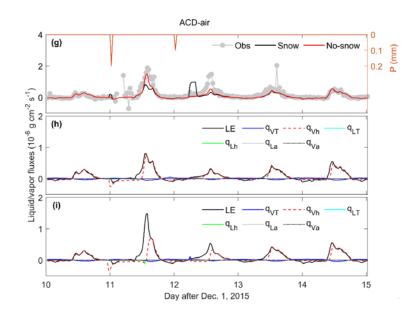


Figure 7. Observed and model simulated latent heat flux, using (a) BCD, (b) ACD, and (c) ACD-air soil model with/without snow module, of a typical five-day thawing period (from 100<sup>th</sup> to 104<sup>th</sup> Days after Dec. 1. 2015). P is the precipitation and Ps is the snowfall.







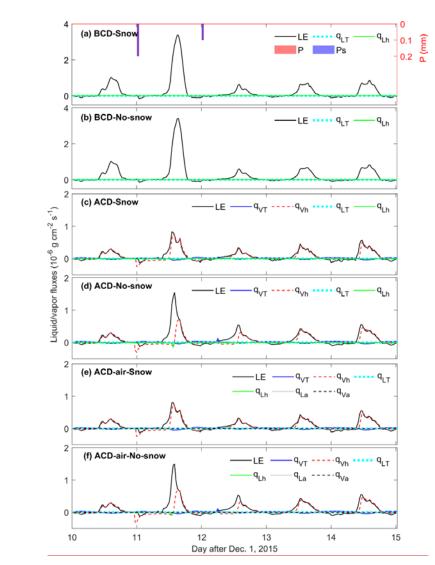
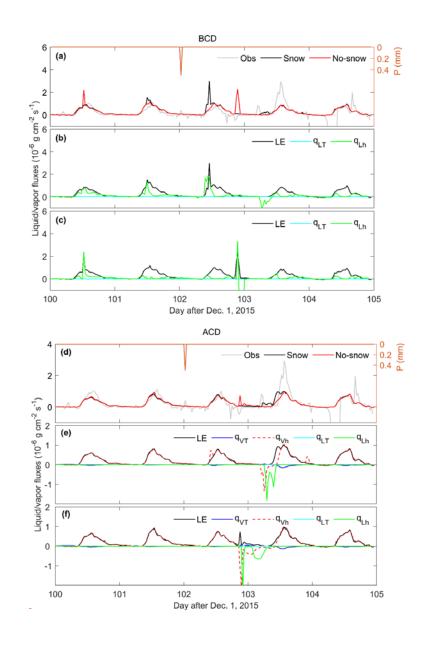
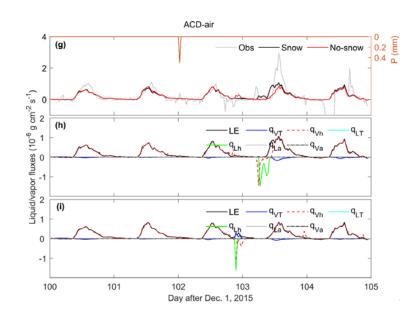
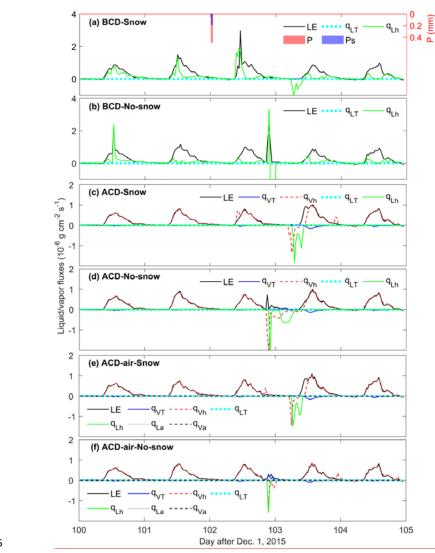


Figure 8. Model simulated latent heat flux and surface soil (0.1cm) thermal and isothermal liquid water and vapor fluxes (LE,  $q_{VT}, q_{Vb}, q_{LT}, q_{Lb}, q_{La}, q_{Va})$ , with and without snow module, of a typical five-day freezing period (from  $10^{th}$  to  $14^{th}$  Days after Dec. 1. 2015). a, c, and e are the surface soil thermal/isothermal liquid water and vapor fluxes simulated by BCD-Snow, ACD-Snow, and ACD-air-Snow model, respectively. b, d, and f are the surface soil thermal/isothermal liquid water and vapor fluxes simulated by BCD-No-Snow, ACD-Snow, and ACD-air-Snow model, respectively. LE is the latent heat flux,  $q_{VT}, q_{Vb}$  are the water vapor fluxes driven by temperature and matric potential gradients,  $q_{LT}, q_{Lb}$  are the liquid water fluxes driven by temperature and matric potential gradients,  $q_{LT}, q_{Lb}$  are the liquid water fluxes driven by temperature and matric potential gradients. Note that the surface LE fluxes without snow sublimation were presented. P is the precipitation and Ps is the snowfall. All precipitation is in the form of snowfall. Figure 6. Observed latent heat flux and simulated latent heat flux and isothermal liquid water and vapor fluxes soil (0.1cm) thermal and isothermal liquid water and vapor fluxes and sortice soil (0.1cm) thermal and isothermal liquid water and vapor fluxes and sortice soil (0.1cm) thermal and isothermal liquid water and vapor fluxes and sortice soil (0.1cm) thermal and isothermal liquid water and vapor fluxes are the surface soil (0.1cm) thermal and isothermal sortice sortice soil (0.1cm) thermal and isothermal liquid water and vapor fluxes are the surface soil (0.1cm) thermal and isothermal sortice sortice sortice soil (0.1cm) thermal and isothermal liquid water and vapor fluxes are the surface soil (0.1cm) thermal and isothermal liquid water and vapor fluxes are the surface soil (0.1cm) thermal and isothermal liquid water and vapor fluxes are the va

 $(LE, q_{VT}, q_{Vh}, q_{LT}, q_{Lh}, q_{Lh}, q_{Lh}, q_{Lh}, q_{Lh}, q_{Vh}) with snow module and without snow module of a typical five-day freezing period (from 10<sup>th</sup> to 14<sup>th</sup>) Days after Dec. I. 2015). a, d, g are the comparison results of LE for BCD, ACD, and ACD-air soil model with/without snow module, respectively; b, e, h are the surface soil thermal/isothermal liquid water and vapor fluxes simulated by BCD-Snow, ACD-Snow, and ACD-air-Snow model, respectively. c, f, i are the surface soil thermal/isothermal liquid water and vapor fluxes simulated by BCD-No-Snow, ACD-Snow, and ACD-air-Snow model, respectively. LE is the latent heat flux, q_{VT}, q_{Vh} are the water vapor fluxes driven by temperature and matric potential gradients, q_{LT}, q_{Lh} are the liquid water fluxes driven by temperature and matric potential gradients, q_{LT}, q_{Lh} are the liquid water fluxes driven by temperature and matric potential gradients. Note that the surface LE fluxes without snow sublimation were presented in e &-h.$ 







980

Figure 9. Model simulated latent heat flux and surface soil (0.1cm) thermal and isothermal liquid water and vapor fluxes (LE, q<sub>VT</sub>, q<sub>Vb</sub>, q<sub>LT</sub>, q<sub>Lb</sub>, q<sub>La</sub>, q<sub>va</sub>) using BCD (a, b), ACD (c, d), and ACD-air (e, f) simulations with and without snow module, respectively, during the typical 5-day thawing periods (from 100<sup>th</sup> to 104<sup>th</sup> Days after Dec. 1. 2015). a, c, and e are the surface soil thermal/isothermal liquid water and vapor fluxes simulated by BCD-Snow, ACD-Snow, and ACD-air-Snow model, respectively. b, d, and f are the surface soil thermal/isothermal liquid water and vapor fluxes simulated by BCD-No-Snow, ACD-No-Snow, and ACD-air-No-Snow model, respectively. LE is the latent heat flux, q<sub>VT</sub>, q<sub>Vb</sub> are the water vapor fluxes driven by temperature and matric potential gradients, q<sub>LT</sub>, q<sub>Lb</sub> are the liquid water fluxes driven by temperature and matric potential gradients, q<sub>LT</sub>, q<sub>Lb</sub> are the surface LE fluxes without snow sublimation were presented. P is the precipitation and Ps is the snowfall.Figure 7. Observed latent heat flux and model simulated latent heat flux and

surface soil (0.1em) thermal and isothermal liquid water and vapor fluxes (LE,  $q_{VT}$ ,  $q_{VB}$ ,  $q_{LT}$ ,  $q_{LT$ 

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