- Assessing the roles emission sources and atmospheric processes play in simulating δ^{15} N of atmospheric NO_x and NO₃⁻ using CMAQ (version 5.2.1) and SMOKE (version 4.6). Huan Fang^{\dagger} and Greg Michalski^{\dagger ‡} 6 [†]Department of Earth, Atmospheric, and Planetary Sciences Purdue University, 550 Stadium Mall Drive, West Lafayette, IN 47907, United States [‡]Department of Chemistry, Purdue University, 560 Oval Drive, West Lafayette, IN 47907, United States Correspondence: Huan Fang, fang63@purdue.edu Keywords: isotope, nitrogen, atmospheric NOx, atmospheric nitrate, NOx emission sources, emission inventory, emission input dataset, atmospheric processes, disperse, mixing, transport, chemical transport model, 3D CTM, NEI, SMOKE, CMAQ

1 Abstract

2 Nitrogen oxides ($NO_x = nitric oxide (NO) + nitrogen dioxide (NO_2)$) are important trace gases that 3 affect atmospheric chemistry, air quality, and climate. Contemporary development of NO_x 4 emissions inventories is limited by the understanding of the roles of vegetation (net NO_x source or 5 net sink), vehicle emissions from gasoline- and diesel-powered vehicles, the application of NO_x 6 emission control technologies, and accurate verification techniques. The nitrogen stable isotope 7 composition (δ^{15} N) of NO_x is an effective tool to evaluate the accuracy of the NO_x emission 8 inventories, which are based on different assumptions. In this study, we traced the changes in $\delta^{15}N$ values of NO_x along the "journey" of atmospheric NO_x, driven by atmospheric processes after 9 10 different sources emit NO_x to the atmosphere. The ¹⁵N was incorporated into the emission input dataset, generated from the US EPA trace gas emission model SMOKE (Sparse Matrix Operator 11 12 Kernel Emissions). Then the ¹⁵N incorporated emission input dataset was used to run CMAQ (the 13 Community Multiscale Air Quality Modeling System). By enhancing NO_x deposition, we simulated the expected $\delta^{15}N$ of NO₃⁻ assuming no isotope fractionation during chemical 14 15 conversion or deposition. The simulated spatiotemporal patterns in NO_x isotopic composition for 16 both SMOKE outputs (simulation under "emission only" scenario) and CMAO outputs (simulations under "emission + transport + enhanced NO_x loss" scenario) were compared with 17 18 corresponding measurements in West Lafayette, Indiana, USA. The simulations under "emission 19 + transport + enhanced NO_x loss" scenario was also compared to δ^{15} N of NO₃⁻ in NADP (National 20 Atmospheric Deposition Program) sites. The results indicate the potential underestimation of 21 emissions from soil, livestock waste, off-road vehicles, and natural gas power plants and the 22 potential overestimation of emissions from on-road vehicles and coal-fired power plants, if only 23 considering the difference in NO_x isotopic composition for different emission sources. After 24 considering the mixing, dispersion, transport, and deposition of NO_x emission from different 25 sources, the estimation of atmospheric $\delta^{15}N(NO_x)$ shows better agreement (by ~3‰) with 26 observations.

1

2 1. Introduction

3 Nitrogen oxides ($NO_x = NO + NO_2$) are important trace gases that affect atmospheric 4 chemistry, air quality, and climate. The main sources of tropospheric NO_x are anthropogenic 5 emissions from vehicles, power plants, agriculture, livestock waste, as well as natural emissions 6 from lightning and the by-product of nitrification and denitrification occurring in soil (Galloway, 7 et al., 2004). The NO_x photochemical cycle generates OH and HO₂ radicals, organic peroxy 8 radicals (RO₂), and ozone (O₃), which ultimately oxidize NO_x into NO_y (NO_y = NO_x + HONO + 9 $HNO_3 + HNO_4 + N_2O_5 + other N oxides$). During the photochemical processes that converts NO_x 10 to NO_v, ground-level concentrations of O₃ become elevated and secondary particles are generated (Pandis and Sienfeld, 2003). Secondary aerosols that are hazardous to human health (Lighty et al., 11 12 2000) and affect cloud physics, enhancing the reflection of solar radiation (Schwartz, 1996). Thus, 13 the importance of NO_x in air quality, climate, and human and environmental health makes 14 understanding the spatial and temporal variation in the sources of NO_x a vital scientific question.

15 Despite years of research, however, there are still several significant uncertainties in the NO_x 16 budget. About 15-40% of global NO_x emissions, ranging from 4 to 15 Tg N yr⁻¹, is derived from 17 global soil NO_x emissions yet evaluating and verifying emission rates using laboratory, field measurements, and satellite observations is still a challenge (Jaeglé et al., 2005; Yan et al., 2005; 18 19 Stehfest and Bouwman, 2006; Vinken et al., 2014; Rasool et al., 2016). Soil NO_x emissions vary 20 by different biome types, meteorological conditions, N fertilizer application, and soil 21 physicochemical properties (Ludwig et al., 2001). Furthermore, the role of vegetation, acting as a 22 net source of atmospheric NO_x when ambient NO_x concentration is below the "compensation 23 point", versus acting as a net sink of atmospheric NO_x when ambient NO_x concentrations are above 24 it (Johansson, 1987; Thoene, Rennenberg & Weber, 1996; Slovik et al., 1996; Webber & 25 Rennenberg, 1996). This significantly impacts the biotic NO_x emission inventory (Almaraz et al., 26 2018). Uncertainties also exist in the amount of NO_x emitted during the combustion of fossil fuels 27 by vehicles and industry. According to Parrish (2006), the estimation of on-road vehicle NO_x 28 emission has at least 10 to 15% uncertainty. For the mileage-based algorithm, which is used in the 29 National Emission Inventory (NEI), the uncertainty is caused by the limited number of sites to 30 determine the emission factors of vehicle classifications and emission types (Ingalls, 1989; Pierson 31 et al., 1990; Fujita et al., 1992; Pierson et al., 1996; Singer and Harley, 1996). The uncertainty in 32 power plant NO_x emissions results from the choice of emission control technologies, of which the 33 removal efficiencies of NO_x emission are different. NO_x removal by low NO_x burning, over-fire 34 air reduction, and selective non-catalytic reduction is highly variable, ranging from 50 to 75% 35 (Srivastava et al., 2005).

36 The nitrogen stable isotope composition of NO_x might be a useful tool to help resolve the 37 uncertainties of how NO_x emission sources vary in space and time because NO_x sources have 38 distinctive ¹⁵N/¹⁴N ratios (Ammann et al., 1999; Felix et al., 2012; Felix and Elliott, 2013; Fibiger 39 et al., 2014; Heaton, 1987; Hoering, 1957; Miller et al., 2017; Walters et al., 2015a, 2015b, 2018). This variability in NO_x $^{15}N/^{14}N$ ratios is quantified by 40

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 $\delta^{15}NO_x$ (‰) = [(¹⁵NO_x/¹⁴NO_x) / (¹⁵N₂/¹⁴N₂)_{air} -1] × 1000) Eq. (1) 43

44 where ${}^{15}NO_x/{}^{14}NO_x$ is the measurement of ${}^{15}N/{}^{14}N$ in atmospheric NO_x, compared with the ratios in air N₂ = 0.0036 (For brevity, the δ^{15} N value of any NO_y compound will be denoted as δ^{15} NO_y: 45

e.g. $\delta^{15}NO_3$). Previous research has shown that there are unique differences in $\delta^{15}N$ values for 46

1 NO_x from different emission sources and significant 2 variations within each source (Fig. 1). This 3 uniqueness can potentially be used to partition the 4 relative importance of various NO_x sources in a 5 mixed atmosphere. For example, Redling et al. 6 (2003) found higher $\delta^{15}N$ of NO₂ in samples 7 collected closer to the highway compared to those 8 adjacent to a forest, showing the emissions from 9 vehicles were dominant near the highway. A strong 10 positive correlation between $\delta^{15}NO_3^-$ and NO_x emission from coal-fired power plants within 400 11 12 km radial area of study sites of deposition suggest 13 local power plant NO_x emissions impacted regional 14 NO_x budgets (Elliott et al., 2007; 2009). What is 15 lacking is a systematic way of evaluating $\delta^{15}NO_{v}$ values in numerous studies in the context of NO_x 16 17 sources, regional emissions, meteorology, and

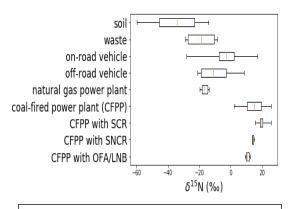


Figure 1: Box (lower quartile, median, upper quartile) and whisker (lower extreme, upper extreme) plot of the distribution of δ^{15} N values for various NO_x emission sources.

atmospheric chemistry (Elliott et al., 2009; Garten, 1992; Hall et al., 2016; Occhipinti, 2008;
Russell et al., 1998).

20 Here we have simulated the emission of ¹⁵NO_x and its mixing in the atmosphere and compared the predicted $\delta^{15}N$ (NO_x, NO₃⁻) values to observations. The $\delta^{15}NO_x$ values are impacted by three 21 main factors. The first is the inherent variability of the $\delta^{15}NO_x$ emissions in time and space. 22 23 Secondly, atmospheric processes that mix the emitted NO_x, dispersing multiple emission sources 24 within a mixing lifetime relative to the NO_x chemical lifetime (2-7 hours), which depends on its 25 concentration and photooxidation chemistry, that also vary in time and by location (Laughner & 26 Cohen, 2019). And thirdly, isotope effects occurring during tropospheric photochemistry may alter 27 the $\delta^{15}NO_x$ emissions as they are transformed from NO_x into NO_y. In this paper, we consider the 28 effects from the first and second considerations, the temporal and spatial variation in NO_x emission 29 and the impacts from atmospheric transport and deposition processes (source and mixing hypothesis). We accomplish this by incorporating an input dataset of ¹⁵N emissions used in 30 31 simulations by the CMAQ (Community Multiscale Air Quality) modeling system. In a previous 32 paper we have discuss the impacts of tropospheric photochemistry by incorporating a ¹⁵N chemical 33 mechanism (Fang et al., 2021) into CMAQ. The ultimate goal is to evaluate the accuracy of the 34 NO_x emission inventory using ¹⁵N.

- 35
- 36 2. Methodology
- 37 2.1 Incorporating 15 N into NO_x emission datasets

The EPA trace pollutant emission model SMOKE (Sparse Matrix Operator Kernel Emissions) was used to simulate ¹⁴NO_x and ¹⁵NO_x emissions. ¹⁴NO_x emissions were estimated using the SMOKE model based on the 2002 NEI (National Emission Inventory, USEPA, 2014), and ¹⁵N emissions were determined using these ¹⁴NO_x emissions and the corresponding δ^{15} N values of NO_x sources from previous research (Table 1). Using the definition of δ^{15} N (‰), ¹⁵NO_x emitted by each SMOKE processing category (area, biogenic, mobile, and point) was calculated by

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$${}^{15}NO_x(i) = {}^{14}NO_x(i) \times {}^{15}R_{NO_x}(i)$$
 Eq. (2)

45 where ${}^{14}NO_x(i)$ are the NO_x emissions for each category (*i*) obtained from NEI and SMOKE and 46 ${}^{15}R_{NOxi}$ is a ${}^{15}N$ emission factor (${}^{15}NO_{xi}/{}^{14}NO_{xi}$) calculated by rearranging Eq. 1:

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$${}^{15}R_{NO_{\chi}}(i) = \left(\frac{\delta^{15}NO_{\chi}(i)}{1000} + 1\right) \times 0.0036$$
 Eq. (3)

2 δ^{15} NO_{x(i)} is the δ^{15} N value of some NO_x source (*i* = area, biogenic, mobile, and point).

Annual NO_x emissions for 2002 were obtained from the NEI at the county-level and were converted into hourly emissions on a 12 km x 12 km grid as previously published (Spak, Holloway,

5 & Stone, 2007). The modeling domain includes 6 latitudes between 37 ° N and 45 ° N, and longitudes between 98° W and 78° W, which 7 8 fully covers the Midwestern US (Fig. 2, in 9 vellow). SMOKE categorizes NO_x emissions into four "processing categories": Biogenic, 10 Mobile, Point, and Area (Table 1). The choice 11 12 of the 2002 version of NEI is, in part, arbitrary. 13 However, to compare the model predicted $\delta^{15}N$ 14 values with observations, it requires the 15 emission inventory to be relevant to the same timeframe as the $\delta^{15}N$ measurements of the 16 17 NO_v. The data sets we compare to the model 18 (discussed below) span from 2002 to 2009, thus 19 the 2002 inventory is more relevant than later 20 inventories (2014 onward). The county-level annual ¹⁴NO_x emission for the Midwestern US 21 22 from NEI was converted to the dataset with 23 hourly ¹⁴NO_x emissions. 24

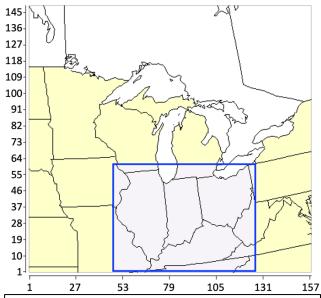


Figure 2: The full geographic domain (yellow) and extracted domain (light grayish purple) for the study.

| SMOKE Category | NEI Sector | δ^{15} N-NO _x (‰) range | δ^{15} N-NO _x (‰) this study |
|----------------|---------------------------------------|---|--|
| Biogenic | Soil | -59.8 ~ -14.0 | -34.3 (Felix & Elliott, 2014) |
| Area | Livestock Waste | -29 ~ -8.5 | -18.8 (Felix & Elliott, 2014) |
| | Off-road Gasoline | -21.1 ~ 8.5 | -11.5 (Walters et al., 2015b) |
| | Off-road Diesel | | -10.5 (Walters et al., 2015b) |
| Mobile | On-road Gasoline | -28.1 ~ 17 | -2.7 (Walters et al., 2015b) |
| | On-road Diesel | | -2.5 (Walters et al., 2015b) |
| Point | Coal-fired Fossil Fuel Combustion | -19.7 ~ 25.6 | 15 (Felix et al., 2012) |
| | Natural Gas Fossil Fuel Combustion | | -16.5 (Walters et al., 2015) |

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26 2.1.1 Biogenic ¹⁵NO_x emissions

27 The NO_x emission from the soil (Biogenic) was modeled in SMOKE using standard 28 techniques (details in SA) and the δ^{15} N values of biogenic NO_x were taken from previous studies.

1 Li & Wang (2008) measured the NO fluxes using dynamic flow chambers for 2 to 13 days after 2 cropland soil was fertilized by either urea (n=9) or ammonium bicarbonate (n=9), and the $\delta^{15}NO_x$ 3 ranged from -48.9‰ to -19.8‰. Felix & Elliott (2014) used passive samplers to collect NO₂ in a 4 cornfield for 20 days, with low (-30.8‰) and high (-26.5‰) fertilizer application. Using active 5 samplers, Miller et al. (2018) collected NO₂ between May and June finding δ^{15} N ranging from -6 44.2‰ to -14.0‰ (n=37); Yu & Elliott (2017) measured -59.8‰ to -23.4‰ in 15 samples from 7 soil plots in a fallow field 2 weeks after the precipitation. Based on these studies we adopted an 8 average δ^{15} N value for NO_x emissions from the soil of -34.3‰ (Li & Wang, 2008; Felix & Elliott, 9 2014; Yu & Elliott, 2017; Miller et al., 2018).

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11 2.1.2 Mobile ¹⁵NO_x emissions

12 The SMOKE NO_x emission from on-road vehicles used standard methods (details in SA) and 13 used δ^{15} N values from prior studies. We have excluded studies that infer δ^{15} NO_x by measuring 14 plant proxies or passive sampling in the environment (Ammann et al., 1999; Pearson et al., 2000; Savard et al. 2009; Redling et al., 2013; Felix & Elliott, 2014). This is because equilibrium and 15 16 kinetic isotope effects occur as NO_x reacts in the atmosphere to form NO_y, prior to NO_x deposition. In addition, the role vegetation plays in NO_x removal and atmospheric processes that mix emitted 17 NOx with the surroundings can also alter the $\delta^{15}NO_x$. Instead, we estimated the $\delta^{15}NO_x$ emissions 18 19 from vehicles only using studies that directly measured tailpipe NO_x emissions. Moore (1977) and 20 Heaton (1990) collected tailpipe NO_x spanning -13‰ to 2‰, with an average of -7.5 \pm 4.7‰. 21 Neither Heaton nor Moore noted whether these 6 vehicles were equipped with any catalytic NO_x 22 reduction technology, but it is unlikely since the late 1970 and 80's vehicles were seldomly 23 equipped with catalytic NO_x reduction technology. Fibiger (2014) measured 5 samples of NO_x 24 from diesel engines without SCR emitted into a smog chamber, the δ^{15} N values range from -19.2% to -16.7‰ (±0.97‰). The most comprehensive studies on vehicle NO_x δ^{15} N values are by Walters 25 26 et al. (2015a, 2015b) who measured gas and diesel vehicles separately, including those with and 27 without three-way catalytic converter (TCC) and SCR technology. They also measured on-road 28 and off-road vehicles separately. The measurements showed that the $\delta^{15}NO_x$ emitted by on-road 29 diesel vehicles ranged from -5% to 0%, so the average -2.5% was adopted. The $\delta^{15}NO_x$ values 30 emitted by on-road gasoline vehicles is a function of vehicle travel times, ranged from -6.3% to 31 1.8‰ with the average $-2.7\pm0.8\%$ for the Midwest region. This value is close to the measurements 32 (-8% to -1%, averaged -4.7 \pm 1.7%) of Miller et al. (2017) who collected NO_x along highways in 33 Pennsylvania and Ohio.

34 The emission rate of ¹⁵NO_x from the mobile source was determined by Eq. 4 grid by grid, 35 according to the contributions from on-road gasoline vehicles and on-road diesel vehicles, as well as their corresponding δ^{15} N values. NO_x emissions from off-road vehicles are regarded as area 36 37 sources in SMOKE, which were processed over each county. In contrast, NO_x emissions from on-38 road vehicles are regarded as the mobile source in SMOKE, which will be processed along each 39 highway. The δ^{15} N of on-road gasoline vehicles was based on the average of the vehicle travel 40 time (t) within each region with the same zip code (Walters et al., 2015b).

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$$^{15}NO_x (mobile) = \left(\frac{\delta^{15}NO_x (on-road gas)}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x (on-road gas)$$

42 $+ \left(\frac{\delta^{15}NO_x (on-road diesel)}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x (on-road diesel)$ Eq. (4)
43 Where $\delta^{15}NO_x (on-road gas) = -12.35 + 3.02 \times \ln(t+0.455)$

43 Where
$$\delta^{15}NO_{x (on-road gas)} =$$

- 44
- 45 2.1.3 Point source ¹⁵NO_x emissions

NO_x point sources are large anthropogenic NO_x emitters located at a fixed position such as 1 2 EGUs (electric generating units). Fugitive dust does not significantly contribute to point NO_x 3 emissions, so our inventory focused only on power plants (Houyoux, 2005). Power plants were 4 separated into two different types: EGU and Non-EGU (e.g. commercial and industrial 5 combustions). The δ^{15} N value of NO_x emitted from power plants have been estimated to vary from 6 -19.7‰ to 25.6‰ (Heaton, 1987; Heaton, 1990; Snape, 2003; Felix et al., 2012; Walters et al., 7 2015b). We have ignored studies that measured $\delta^{15}NO_3^{-1}$ or $\delta^{15}HNO_3$ from EGUs (Felix et al., 2015, 8 Savard et al., 2017) and instead, only consider those studies that directly measured $\delta^{15}NO_x$ from 9 stacks. Heaton (1990) collected 5 samples from the different coal-fired power stations finding NO_x from 6‰ to 13‰, with a standard deviation of 2.9‰. Snape (2003) measured $\delta^{15}N$ values from 10 power plants using three different types of coals values ranging from 2.1% to 7.2%, with a 11 12 standard deviation of 1.37‰ (n= 36). The most comprehensive study on coal-fired power plants 13 NO_x values was by Felix et al. (2012). They measured the δ^{15} NO_x emission from the coal-fired 14 power stations with and without different emission control technologies. The $\delta^{15}NO_x$ emissions range from 9% to 25.6%, with the average 14.2±4.51% (n=42). The $\delta^{15}NO_x$ values varied when 15 16 different emission control technologies were used: ranging from 15.5% to 25.6% with the average 17 $19.4\pm 2.28\%$ (n=16) for SCR; ranging from 13.6% to 15.1% with the average 14.2 $\pm 0.79\%$ (n=3) for SNCR; range from 9.0% to 12.6% with the average 10.7±1.11% (n=15) for OFA/LNB; range 18 19 from 9.6% to 11.7% with the average $10.5\pm0.79\%$ (n=8) for no emission control technology. 20 According to Xing et al. (2013), about half of the coal-fired power plants in the United States are 21 equipped with SCR. Thus, we assume 15‰ for the NO_x emissions from coal-fired power plants, 22 which is the average between SCR and other emission control technologies.

The most comprehensive study on natural gas-fired $\delta^{15}NO_x$ values (Walters et al. 2015) 23 24 collected NO_x from a residential a natural gas low-NO_x furnace and the stack of a natural gas EGU. The measurement showed that the δ^{15} N values of NO_x emitted by natural gas power plants ranged 25 26 that average -16.5 \pm 1.7%, which we used for the NO_x emission from natural gas power plants. The 27 latitude, longitude, and point sources characteristics (EGU and non-EGU, coal-fired or natural gas-28 fired, implementation of emission control technology) of each power plant was obtained from the 29 US Energy Information Administration (2017). The power plants were assigned grids by their 30 latitudes and longitudes, and the δ^{15} N values were assigned to these grids based on their emission characteristics, before determining the emission rate of ${}^{15}NO_x$ from point source using Eq. (2) and 31 32 (3).

34 2.1.4 Area source $^{15}NO_x$ emissions

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35 Area NO_x (details in SA) δ^{15} N values were based on the assumption that livestock waste and off-road vehicles (utility vehicles for agricultural and residential purposes) accounted for total area 36 sources. Livestock waste δ^{15} NO_x values were taken from Felix & Elliott (2014) since it is currently 37 38 the only study livestock waste emissions. They placed a passive sampler with ventilation fans in 39 an open-air and closed room in barns of cows and turkeys, respectively. The $\delta^{15}NO_x$ emissions 40 from these measurements range from -29‰ to -8.5‰. Among these samples, the $\delta^{15}NO_x$ emissions from turkey waste averaged -8.5‰, the δ^{15} NO_x emissions from cow waste averaged -24.7‰. We 41 used -18.8‰ as the values of $\delta^{15}NO_x$ emissions from livestock waste, which is the weighted 42 43 average of the from turkey waste and cow waste emissions. We used Walters et al. (2015b) to 44 estimate the $\delta^{15}NO_x$ emissions from the off-road vehicles since it is the latest in-depth study that measured the $\delta^{15}NO_x$ specifically from off-road vehicles that ranged from -15.6‰ to -6.2‰, 45 averaged -11.5±2.7‰. The measurement showed that the δ^{15} N values of NO_x emitted by diesel 46

off-road vehicles without SCR ranged from -21.1‰ to -16.8‰, averaged -19‰±2‰, and dieselpowered off-road vehicles with SCR ranged from -9‰ to 8.5‰, averaged -2‰±8‰. We adopted -10.5‰ for δ^{15} N values of NO_x emitted by diesel-powered off-road vehicle, which is the median between the measurement of vehicles with and without SCR.

5 The emission rate of ${}^{15}NO_x$ from area source was determined by Eq. 5 grid by grid, according 6 to the contributions from waste, off-road gasoline vehicle, and off-road diesel vehicle, as well as 7 their corresponding $\delta^{15}N$ values based on previous research.

8
$${}^{15}NO_x (area) = \left(\frac{\delta^{15}NO_x (waste)}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x (waste)$$

9 $+ \left(\frac{\delta^{15}NO_x (off-road gas)}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x (off-road gas)$

$$10 \qquad \qquad +\left(\frac{\delta^{15}NO_{x}(off-road \, diesel)}{1000}+1\right) \times 0.0036 \times {}^{14}NO_{x}\left(off-road \, diesel\right) \qquad \text{Eq. (5)}$$

$$11$$

11

13 The ¹⁵NO_x emission data files of each SMOKE processing category were incorporated into the 14 final dataset based on the δ^{15} N values from previous research (Table 1) and Eq. (2-5). 15

$$\delta^{15}NO_{x (total)} = \left(\frac{\frac{15_{NO_{\chi}(area)} + 15_{NO_{\chi}(biog)} + 15_{NO_{\chi}(mobile)} + 15_{NO_{\chi}(point)}}{\frac{14_{NO_{\chi}(area)} + 14_{NO_{\chi}(biog)} + 14_{NO_{\chi}(mobile)} + 14_{NO_{\chi}(point)}}{0.0036}} - 1\right) \times 1000$$
Eq. (6)

17 18

16

19 2.2 Simulating atmospheric $\delta^{15}NO_x$ in CMAQ

In order to investigate the role of mixing in the spatiotemporal distribution of $\delta^{15}NO_x$ values, 20 21 CMAQ was used to simulate the meteorological transport effects (advection, eddy diffusion, etc.). In this "emission + transport" scenario, grid specific $\delta^{15}NO_x$ values emitted are dispersed as NO_x 22 23 mixes across the regional scale. This dispersion will depend on grid emission strength and mixing 24 vigor and is effectively treating NO_x as a conservative tracer. The simulations used the 2002 National Emission Inventory (NEI), as well as 2002 and 2016 meteorological conditions 25 26 respectively, to explore how meteorological conditions will impact the atmospheric $\delta^{15}NO_x$. 27 Simulations covering the full domain and extracted domain were conducted to explore and 28 eliminate potential bias near the domain boundary.

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30 2.2.1 Meteorology input dataset and boundary conditions

31 To explore the impact of atmospheric processes, the meteorology input datasets for the years 32 2002 and 2016 were prepared and compared. The CMAQ CTM (CCTM) used the NARR (North 33 American Regional Reanalysis) and NAM (North American Mesoscale Forecast System) to 34 convert the weather observations (every 3 hours for NARR, every 6 hours for NAM Analyses) 35 into gridded meteorological elements, such as temperature, wind field, and precipitation, with the 36 horizontal resolution of 12 km, and 34 vertical layers, with the thickness, increases with height, 37 from 50 m near the surface to 600 m near the 50 mb pressure level. These were used to generate 38 the gridded meteorology files on an hourly basis, using the Weather Research and Forecasting Model (WRF). To maintain consistency between the NO_x emission dataset and the meteorology, 39 40 the same coordinate system, spatial domain, and grid size used in the SMOKE model were used 41 in the WRF simulation. The WRF outputs were used to prepare the CMAQ-ready meteorology 42 input dataset using CMAQ's MCIP (the Meteorology-Chemistry Interface Processor; see SA for

1 details). In these emission-only simulations, the deposition of NO_x was effectively set to zero. This was accomplished by defining YO = 14 NO and YO₂ = 14 NO₂ (in addition to ZO = 15 NO and ZO₂ = 2 3 ¹⁵NO₂) and setting their deposition velocities to 0.001 (setting them to zero collapses the 4 simulation). The meteorological fields generated by MCIP were used as the inputs for Initial 5 Conditions Processor (ICON) and Boundary Conditions Processor (BCON) to run CCTM in 6 CMAQ. The ICON program prepares the initial chemical/isotopic concentrations in each of the 7 3D grid cells for use in the initial time step of the CCTM simulation. The BCON program prepares 8 the chemical/isotopic boundary condition throughout the CCTM simulation. The CMAQ default 9 ICON and BCON for a clean atmosphere were used, which had $NO_x < 0.25$ ppb. The ¹⁵NO_x were 10 added to the outputs of ICON and BCON, with the concentration equal to 0.0036¹⁴NO_x], which assumes $\delta^{15}N = 0$ at the initial time step and outside the domain of the simulation. 11

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13 2.2.2 The role of deposition and chemical transformation of NO_x

14 CMAQ simulated how NO_x removal by photochemical oxidation and deposition alters 15 δ^{15} NO_x during mixing, transport, and dispersion. This "apparent" conversion of NO_x to NO_y was 16 implemented by enhancing NO_x dry deposition by first magnifying it to 20 times normal (14 17 kg/hectare/yr) and testing for the change in NO_x concentration relative to the normal deposition 18 rate. Multiple tuning trials were conducted until the e-folding time (lifetime) of NO_x in the 19 atmosphere across the domain averaged about 1 day. This is a typical average photochemical NO_x 20 lifetime for a combination of urban, suburban, and rural environments (Laughner & Cohen, 2019). 21 This approach is limited since NO_x lifetime varies depending on oxidation capacity, with urban 22 NO_x lifetimes (~2-11 hours) being significantly shorter than in rural conditions (Fang et al., 2021). 23 In these simulations, the molecular mass of Y and Z were set equal (14) to ensure no isotope effect 24 was induced by dry deposition, since the equations for dry deposition have a mass term in the 25 diffusion coefficient calculation. These "emission + transport + enhanced NO_x loss" simulations are an attempt to show how "lifetime chemistry" alters $\delta^{15}NO_x$ values by removing NO_x before it 26 27 can be transported along significant distances. For example, in an "emission + transport" scenario 28 NO_x from a high emission powerplant could travel across the domain altering regional δ^{15} NO_x as 29 it mixes with other grids. In contrast, in the "emission + transport + enhanced NO_x loss" scenario most of that NO_x would be removed near the power plant, effectively constricting its $\delta^{15}N$ 30 31 influence. This has an added advantage in that the deposited $\delta^{15}NO_x$ should be similar to the 32 δ^{15} NO₃, which is not being generated in this model. We emphasize that in this model the isotope 33 effects associated with the photochemical transformation of NO_x into HNO₃ (and other higher N 34 oxides) and deposition are ignored and will be addressed in a forthcoming paper.

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36 2.2.4 The simulation over the extracted domain

37 As mentioned in section 2.2.1, atmospheric $\delta^{15}NO_x = 0\%$ for initial condition and boundary 38 condition. As a result, a bias may occur along the boundary of the research area and mainly occurs 39 under the following two circumstances. Firstly, when the air mass transports out of the research 40 area (Fig. S1). Due to the lack of the emission dataset, Canada is considered an "emission-free 41 zone" for this research. As a result, the atmospheric NO_x is diluted, which impacts its δ^{15} N values, especially for those with extreme $\delta^{15}N$ values ($\delta^{15}N < -15\%$ or $\delta^{15}N > 5\%$). Secondly, the air mass 42 with $\delta^{15}NO_x = 0$ transports from the "emission-free zone" into the research area (Fig. S2), the 43 44 atmospheric δ^{15} NO_x is flattened. Therefore, to avoid the bias near the border, the extracted domain 45 that only covers Indiana, Illinois, Ohio, and Kentucky was determined (Fig. 2, in light purple), 46 where the measurements of δ^{15} N values at NADP sites are available (Mase, 2010; Riha, 2013).

1 The boundary condition for the simulation over the extracted domain is based on the CCTM output 2 of the full-domain simulation (BCON code available on Zenodo.org (10.5281/zenodo.4311986)).

- 3 4
- 3. Results and Discussion
- 5 3.1 Simulated spatial variability of NO_x emission rates

6 We first examine the spatial 7 heterogeneity of the NO_x emission rate for a 8 single time period to illustrate the overall 9 pattern of NO_x emission over the domain 10 (Fig. 3). This is because the $\delta^{15}NO_x$ emission is determined by the fraction of each NO_x 11 12 source (Eq. 6), which in turn is a function of 13 their emission rates. Since our NO_x emissions 14 are gridded by SMOKE using the NEI, they are, by definition, correct with respect to the 15 16 NEI. However, a brief discussion of the salient geographic distribution of NO_x 17 emissions and comparisons with other studies 18 19 is warranted for completeness and as a 20 backdrop for the discussion of NO_x fractions and resulting δ^{15} N values. We have arbitrarily 21 22 chosen to sum the NO_x emissions during the 23 April to June time period for this discussion 24 (Fig. 3).

25 The April to June NO_x emissions ranged 26 from less than 0.01 tons N/day to more than

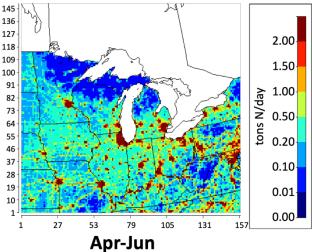


Figure 3: Total NO_x emission in the Midwest between April and June in tons N/day. High NO_x emissions are associated with major urban areas such as Chicago, Detroit, Minneapolis-St Paul, Kansas City, St. Louis, Indianapolis, and Louisville.

27 15 tons N/day, with the seasonal grid average of 0.904 tons N/day. This average agrees well with 28 estimates in previous studies for the United States, which were between 0.81 and 1.02 tons N/day 29 (Dignon & Hameed, 1989; Farrell et al., 1999; Selden et al., 1999; Xing et al, 2012). Within 75% 30 of the geographic domain, the NO_x emissions are relatively low, ranging from between 0 and 0.5 31 tons N/day (Fig. S3). Geographically, these grids are in rural areas some distance away from 32 metropolitan areas and highways (Fig. 3). NO_x emissions within about 20% of the grids is 33 relatively moderate, ranging between 0.5 and 2.0 tons N/day (Fig. S3). Geographically, these grids 34 are mainly located along major highways and areas with medium population densities (Fig. 3). 35 Urban centers comprise about 5% of the grids within the geographic domain and these have high 36 NO_x emissions rates, ranging between 2.0 and 15.0 tons N/day (Fig. S3). The metropolitan area's 37 average is 5.03 tons N/day, which is nearly 14 times of the average emission rate over the rest of 38 the grids within the geographic domain (0.37 tons N/day) due to the high vehicle density associated 39 with high population. The highest emissions rates are located within large cities as well as the edge 40 of the east coast metropolitan area (Fig. 3). Summing the NO_x emissions among the grids that 41 encompass these major midwestern cities, yields city-level NO_x emission rates that vary from 61.2 42 tons N/day (Louisville, KY) to 634.1 tons N/day (Chicago, IL). These city-level NO_x emission 43 rates (Table S4) agree well with estimates derived from the Ozone Monitoring Instrument (Lu et 44 al., 2015). Grids containing power plants are the significant NO_x hotspots within the geographic 45 domain. These account for less than 1% of the grids, but the NO_x emissions from a single grid that contains a power plant can be as high as 93.4 tons N/day. Geographically, the power plants are 46

mainly located along the Ohio River valley, near other water bodies, and often close to metropolitan areas (Fig. 3). The NO_x emission rates of the major power plants within the Midwest simulated by SMOKE (Table S5) match well with the measurement from the Continuous Emission Monitoring System (CEMS) (de Foy et al., 2015; Duncan et al., 2013; Kim et al., 2009). The

5 geographic distribution of grid-level annual NO_x emission density in our simulation also agrees

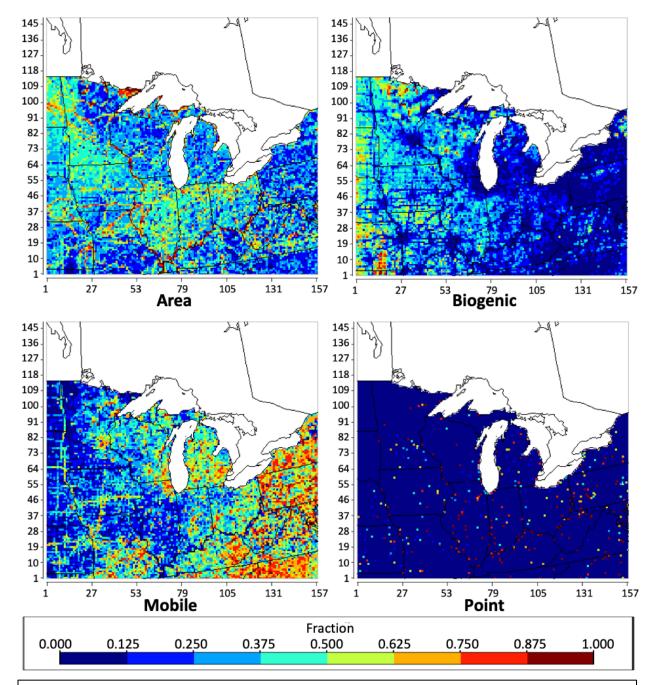


Figure 4: The geographical distribution of the fraction of NO_x emission from each SMOKE processing category (area, biogenic, mobile, point) over each grid throughout the Midwest between April and June based on NEI-2002.

with the county-level annual NO_x emission density discussed in the 2002 NEI booklet (Fig. S4;
USEPA, 2018b).

3 We next examine the spatial heterogeneity of the NO_x source fractions (Fig. 4) for the same 4 time period (April to June). The NO_x fraction (f) is defined as the amount of NO_x from a source 5 category (s) normalized to total NO_x ($f_s = NO_x$ (source)/NO_x(total)). The fraction for anthropogenic 6 NO_x emission is defined as the amount of NO_x from a source category normalized to the sum of 7 NO_x emission from anthropogenic sources ($f_s = NO_x(source)/(NO_x(total)-NO_x(biogenic)))$ Since the $\delta^{15}NO_x$ is determined by the NO_x emission fractions within each grid it is important to 8 9 understand where in the domain these fractions differ and why. The area sources, which mainly 10 consist of off-road vehicles, agriculture production, residential combustion, as well as the 11 industrial processes, which are individually too low in magnitude to report as point sources, are 12 fairly uniform in their distribution across the domain.

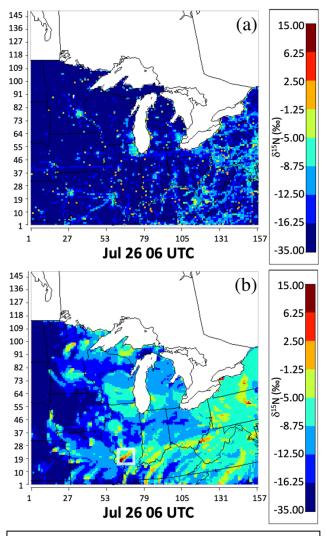
13 The SMOKE simulation shows that the f_s vary significantly across the domain. The average 14 area NO_x emission fraction (farea) was 0.271 for total NO_x emission and 0.290 for anthropogenic 15 NO_x emission within the Midwest from April to June. The farea's show a clear spatial variation and 16 range from 0.125 to 0.5 over about 75% of the grids (Fig. S5). Geographically, the grids with 17 relatively higher f_{area} are in the rural area away from highways, where agricultural is the most 18 common land use classification. In the states of Wisconsin and Missouri, the farea is slightly lower 19 due to the higher fraction of NO_x emission from biogenic sources (f_{biog}). In the states of 20 Pennsylvania and Michigan, the f_{area} is slightly lower due to the higher fraction of NO_x emission 21 from mobile sources (f_{mobile}). In addition, the grids with f_{area} greater than 0.75 are mainly located 22 along the Mississippi River and Ohio River, due to wastewater discharge. The fbio shows a clear 23 spatial variation and is highest in the western portion of the domain (Fig. 4). The f_{bio} from April to 24 June is less than 0.5 in more than 90% of the grids within the geographic domain, with the average 25 of 0.065 (Fig. S5). Geographically, the grids with relatively high f_{bio} are located in the western 26 regions of the Midwest, away from cities and highway where the density of agricultural acreage 27 and natural vegetation is high. Furthermore, the lowest f_{bio} values occur in the megacities and along 28 the highways, which agrees well with the land-use related to the biogenic emission. The April to 29 June SMOKE simulation shows that f_{mobile} of 0.325 for total NO_x emission and 0.347 for 30 anthropogenic NO_x emission. The f_{mobile} shows a clear spatial variation, with relatively higher f_{mobile} 31 are located in major metropolitan regions and along the highways, where vehicles have the highest 32 density. The value of f_{mobile} within the geographic domain distributes evenly on the histogram (Fig. 33 S5). Based on the SMOKE simulation, the fraction of NO_x emission from point sources (f_{point}) is 34 0.339 for total NO_x emission and 0.363 for anthropogenic NO_x. The f_{point} 's are obviously highest 35 in grids where the power plants are located, mainly along the Ohio River valley and near other water bodies close to metropolitan areas. The point sources occupy only 4% of the domain grids 36 and about 1/4 of the power plants are not at the same grids as highways, thus these grids have a 37 38 $f_{\text{point}} > 0.9 \text{ NO}_{\text{x}}.$

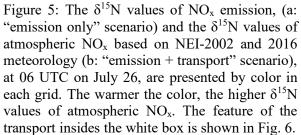
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^{40 3.2} Simulated spatial variability in $\delta^{15}NO_x$

1 Using these NO_x emission source 2 3 fractions, the $\delta^{15}NO_x$ values were simulated and the spatial heterogeneity of $\delta^{15}NO_x$ for a 4 single time period is discussed. The "emission 5 only" simulation of $\delta^{15}NO_x$ values (at 06 UTC 6 on July 26) ranged from -34.3% to 14.9% 7 8 (Fig. 5a). The majority of the grids have 9 δ^{15} NO_x values lower than -16.3‰, which is due to biogenic NO_x emissions (-34.3‰) in 10 sparsely populated areas where intensive 11 agriculture dominates the land use (Fig. 5a). 12 13 The $\delta^{15}NO_x$ values for grids containing big 14 cities mainly ranged between -8.75‰ and -5‰ 15 due to the higher fraction of NO_x emission from on-road vehicles (-2.7‰), which also 16 resolve major highways. The highest value of 17 18 δ^{15} N occurs at the grids, where the coal-fired 19 EGUs (+15‰) and hybrid-fired EGUs are the 20 dominant NO_x source (Fig. 5a).

21 The effect of atmospheric mixing on the 22 δ^{15} NO_x spatial distribution was then taken into 23 account by coupling the ¹⁵NO_x emissions to 24 the meteorology simulation. There are 25 significant differences between $\delta^{15}NO_x$ values 26 in the "emission only" (Fig. 5a) and the 27 "emission + transport" (Fig. 5b) simulations. While "emission only" $\delta^{15}N$ pattern shows 28 29 biogenic NO_x emissions dominating the spatial 30 domain, anthropogenic emissions become dominant over most of the grids in the 31 32 "emission + transport" simulations, especially 33 for the grids located around major cities and 34 power plants. In general, as isotopically 35 heavier urban NO_x disperses, the grid average 36 increases from -20.2‰ under the "emission 37 only" scenario to -11.5% under the "emission





38 + transport" scenario. Similarly, the NO_x emitted along major highways is transported to the 39 surrounding grids, so that the atmospheric NO_x at the grids around the major highways becomes 40 isotopically heavier relative to the "emission only" scenario. We define $\Delta\delta^{15}N_{transport}$ as the $\delta^{15}N_{transport}$ as the $\delta^{15}N_{transport}$ as the $\delta^{15}N_{transport}$ effect can be seen in grids encompassing a plume emanating from southern Illinois' 41 Baldwin Energy Complex (marked with a transparent white box on Fig. 5b) that uses 42 subbituminous coal and bituminous coal as its major energy source. The $\Delta\delta^{15}N_{transport}$ in the regions $\begin{array}{lll} & \text{is altered as a function of distance away from} \\ 2 & \text{the EGU. In this time snapshot (06 UTC on} \\ 3 & \text{Jul 26), the northeastwards propagating} \\ 4 & \text{plume of NO}_x \text{ emission from the EGU creates} \\ 5 & \text{higher } \delta^{15} \text{NO}_x \text{ over } 135 \text{ km away (Fig. 6).} \end{array}$

6 7

3.3 Seasonal variation in $\delta^{15}NO_x$

8 We next examine the temporal 9 heterogeneity of $\delta^{15}NO_x$ values over the domain for "emission only" and interpret 10 11 them in terms of changes in NO_x emission 12 fractions as a function of time. The predicted 13 δ^{15} NO_x value for total emissions in the 14 Midwest during each season shows a 15 significant temporal variation (Fig. 7). The 16 δ^{15} NO_x ranged from -35‰ to 15‰, with the 17 annual average over the Midwest at -6.15‰. 18 The maps for different seasons show the

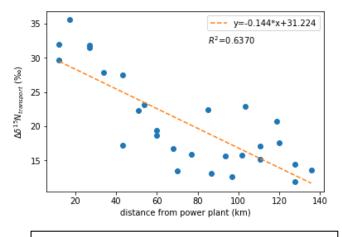


Figure 6: The $\Delta \delta^{15} N_{transport}$ along the plume (colored in dark red to orange inside the white box on Fig. 5b) over the distance from the power plant Baldwin Energy Complex (located at southwestern border of Illinois).

19 obvious changes in δ^{15} N values over western regions of the Midwest, going from -15 to -5‰ in 20 the spring to -35 to -15‰ in the summer. In order to qualitatively analyze the changes in $\delta^{15}NO_x$ 21 among each season, the values over the grids (Fig. 7) were organized into the histograms (Fig. S6). 22 The grids with $\delta^{15}NO_x$ between -35‰ and -18‰ increase dramatically from less than 10% during fall (Oct-Dec) and winter (Jan-Mar) to more than 20% during spring (Apr-Jun) and summer (Jul-23 Sep). The grids with $\delta^{15}NO_x$ between -18‰ and -2‰ decrease from around 90% during fall and 24 25 winter to around 75% during spring and summer. The significant temporal variation in the $\delta^{15}NO_x$ 26 during different seasons can be quantitatively explained by changing fractions of NO_x emission 27 from the biogenic source in any grid (Fig. S7) using Eq. (6). Unlike other NO_x emission sources, 28 the fraction of NO_x emission from biogenic sources changes significantly among each season

28 the fraction of NO_x emission from biogenic sources changes significantly and 29 within the geographic domain, especially over the rural areas (Fig. S7).



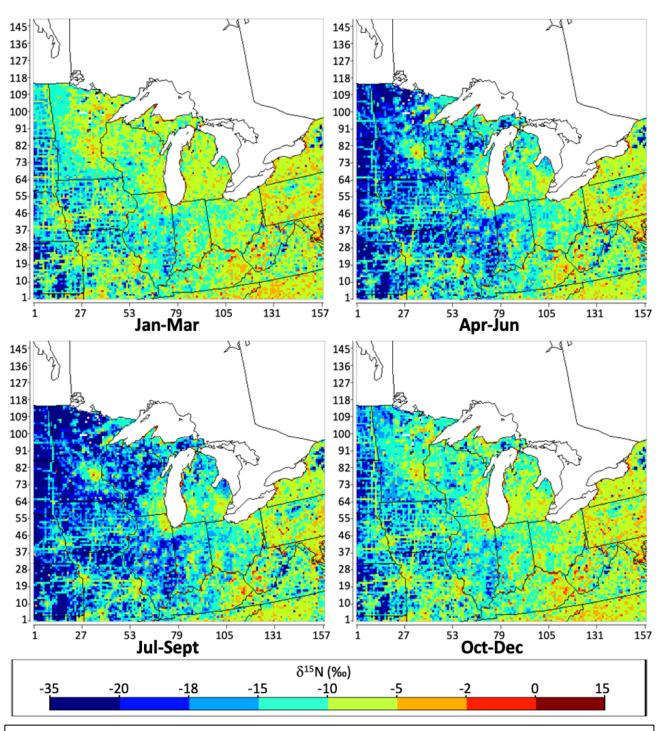


Figure 7: The geographical distribution of the δ^{15} N value of total NO_x emissions in each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec) in per mil (‰) throughout the Midwest simulated by SMOKE, based on NEI-2002.

1 To qualitatively analyze the changes in the fraction of NO_x emission from biogenic sources 2 among each season, the distributions of the fractions among the same cut-offs as the maps on Fig. 3 S7 were shown in the histograms (Fig. S8). In general, the distribution of the fraction shifts to 4 higher values during spring (Apr-Jun) and summer (Jul-Sep), indicating the increase of biogenic 5 emissions. During this period, the surface sunlight hours, temperature, and precipitation are 6 relatively higher and as a result, the canopy coverage of the plants becomes higher, which leads to 7 the increase of the NO_x emission from biogenic sources (Pierce, 2001; Vukovich & Pierce, 2002; 8 Schwede et al., 2005; Pouliot & Pierce, 2009; USEPA, 2018a). Besides this, the fertilizer 9 application during this period is also increases soil NO_x emissions (Li & Wang, 2008; Felix & 10 Elliott, 2014). As a result, the distribution of $\delta^{15}NO_x$ shifts to lower values during these periods (Fig. 7). The percentage of the grids with the fraction of biogenic emission less than 0.125 11 12 decreases dramatically from more than 50% during fall (Oct-Dec) and winter (Jan-Mar) to less 13 than 35% during spring (Apr-Jun) and summer (Jul-Sep). As the NO_x emission from biogenic 14 source becomes dominant, the percentage of the grids with $\delta^{15}NO_x$ between -35% and -18% increases, while the percentage of the grids with values between -18‰ and -2‰ decreases, which 15 16 sufficiently explains the trends shown on Fig. 7.

17 The temporal variation in atmospheric $\delta^{15}NO_x$ is also controlled by the propagation of NO_x 18 emissions, which varies seasonally. The temporal heterogeneity of atmospheric $\delta^{15}NO_x$ under the 19 "emission + transport" scenario is interpreted in terms of changes in the propagation of NO_x 20 emission as a function of time. The predicted seasonal average $\delta^{15}NO_x$ in the Midwest shows 21 significant variations (Fig. 8). On an annual basis, the "emission + transport" average $\delta^{15}NO_x$ value 22 was -6.10‰, which is similar to the "emission only" average range, but the range (-19.2‰ to 23 11.6‰) was narrower due to NO_x transport and mixing. The maps for different seasons show the 24 obvious changes in δ^{15} N values over western regions of the Midwest, from -8.75 to -5‰ in fall and winter to -16.25 to -12.5‰ in spring and summer. The spatial heterogeneity of the $\delta^{15}NO_x$ 25 26 under the "emission + transport" scenario (Fig. 8) was compared to that under the "emission only" scenario (Fig. 7). The difference was defined as $\Delta \delta^{15} N_{\text{transport}}$ (Fig. S9) and had values ranged from 27 -21.9‰ to 31.2‰, with an average of 4.9‰. The grids with $\Delta\delta^{15}$ N_{transport} between -5‰ and 0‰ 28 29 are the urban areas and decrease slightly from about 11% during fall (Oct-Dec) and winter (Jan-30 Mar) to 10% during spring (Apr-Jun) and summer (Jul-Sep). The grids with $\Delta \delta^{15} N_{\text{transport}}$ between 0‰ and 5‰ are typically in the rural areas that are impacted by the urban NO_x emissions and 31 32 decrease dramatically from more than 50% during fall and winter to less than 40% during spring 33 and summer. The grids with $\Delta \delta^{15} N_{\text{transport}}$ greater than 5%, which are the rural areas obviously 34 impacted by the urban NO_x emission and increase dramatically from less than 40% during fall and 35 winter to more than 50% during spring and summer. Therefore, the impacts from transport and 36 mixing are more obvious during spring and summer (Fig. S10).

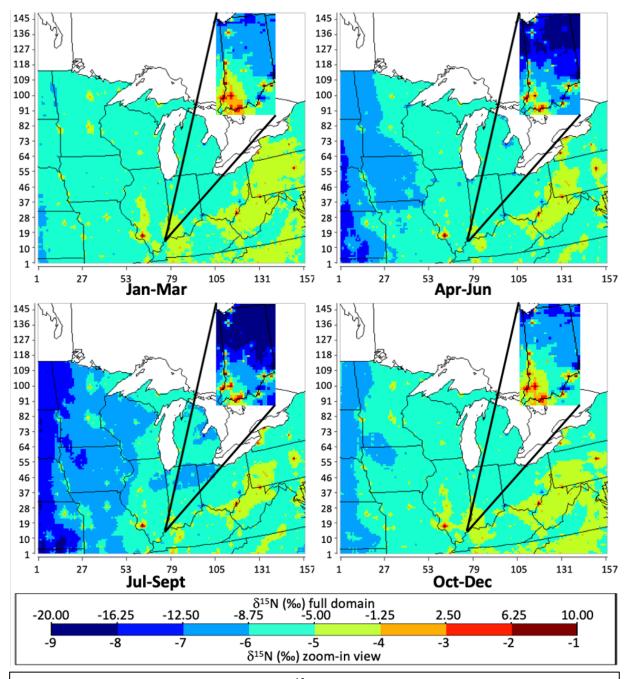


Figure 8: The geographical distribution of the δ^{15} N value of atmospheric NO_x in each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec) in per mil (‰) throughout the Midwest (with zoom-in view focusing on Indiana) simulated by CMAQ, based on NEI-2002 and 2016 meteorology.

1 The PBL height is an effective indicator showing whether the pollutants are under synoptic 2 conditions, which are favorable for the dispersion, mixing, and transport after being emitted into 3 the atmosphere (Oke, 2002; Shu et al., 2017; Liao et al., 2018; Miao et al., 2019). Comparing the 4 distributions $\Delta \delta^{15} N_{\text{transport}}$ values (Fig. S9) with the corresponding PBL height (Fig. S11) for each 5 season, the effects of PBL height on the propagation of the air mass are clearly shown. NO_x emitted 6 by power plants is much higher than the emission rates at the surrounding grids and is a hotspot that impacting the δ^{15} N values at the surrounding grids. As PBL increases, the emitted NO_x from 7 8 power plant mixes more effectively with the surrounding grid, thus there are higher $\delta^{15}NO_x$ values 9 along the power plant plume transect. The PBL height changes significantly among each season 10 within the geographic domain, especially over Minnesota, Wisconsin, and Iowa (Fig. S11). The

11 PBL height over these areas increases from less 12 than 250 meters above the ground level to more 13 than 625 meters above the ground level, during 14 spring and summer, which creates a more 15 favorable synoptic condition for the dispersion, mixing, and transport of the pollutants after being 16 emitted into the atmosphere. As a result, the 17 18 difference in δ^{15} N values shifts to higher values, 19 showing the stronger effect of atmospheric 20 processes during spring and summer. In order to qualitatively analyze how PBL height affects the 21 22 $\delta^{15}NO_x$ along power plant plumes, the domain average PBL height for each month was plotted 23 24 against $\delta^{15}NO_x$ (Fig. 9a). The $\delta^{15}N$ values along 25 the power plants plumes and PBL heights over the domain have the same seasonal trend. 26 27 Interestingly, the "turning point" of the $\delta^{15}N$ 28 values is about one month later than the "turning 29 point" of the PBL heights. The scatter plot (Fig. 9b) shows a strong positive correlation ($R^2=0.85$) 30 31 between the domain average PBL height and 32 average $\delta^{15}N$ value along the power plants 33 plumes. The positive correlation between PBL height and propagation of air mass, indicated by 34 35 the evolution of atmospheric $\delta^{15}NO_x$ in this study, agrees well with the corresponding 36 measurement in megacities in China from the 37 38 previous studies (Shu et al., 2017; Liu et al., 39 2018; Liao et al., 2018.

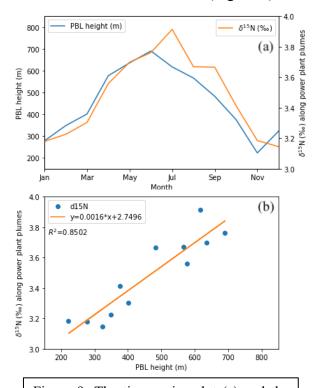


Figure 9: The time series plot (a) and the scatter plot (b) of the domain average PBL height (m) and the average $\delta^{15}N$ (‰) value of atmospheric NO_x along the plumes of power plants during each month throughout the Midwest simulated by CMAQ, based on NEI-2002 and 2016 meteorology.

2 3.4 The simulations based on different meteorology input datasets

The spatial heterogeneity of the $\delta^{15}NO_x$ using 2016 meteorology input dataset was compared to that using 2002 meteorology (Fig. S13). Overall, the simulated $\delta^{15}NO_x$ using 2002 meteorology has the similar geographic distribution and seasonal trend as the 2016 simulation. The difference

6 was defined as $\Delta \delta^{15} N_{2002-2016}$ (Fig. 10) and had values ranged between -1.25‰ and +1.25‰ over

7 most of the grids. However, in the western part of the domain, where the biogenic NO_x emission

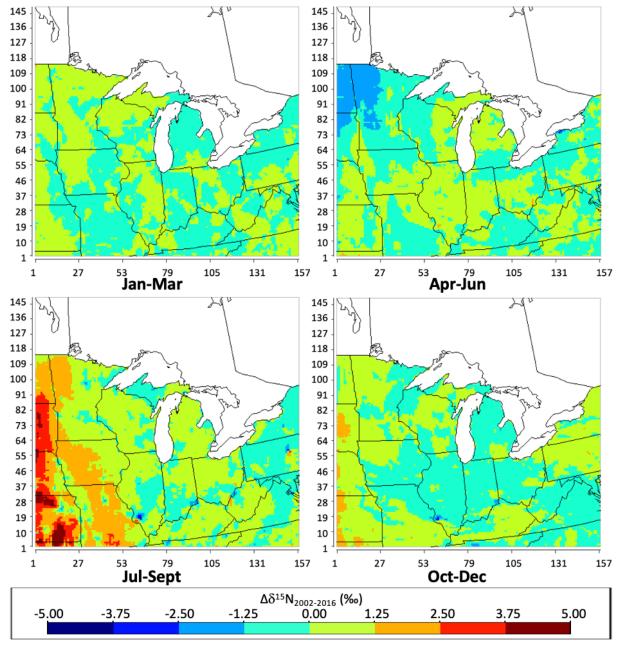


Figure 10: The difference between the $\delta^{15}N$ (‰) value of atmospheric NO_x based on 2016 meteorology and 2002 meteorology ($\Delta\delta^{15}N_{2002-2016}$) during each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec), throughout the Midwest simulated by CMAQ.

is dominant, the more positive $\Delta \delta^{15} N_{2002-2016}$ values (up to 5‰) during summer and fall occur. On 1 the other hand, the more negative $\Delta \delta^{15} N_{2002-2016}$ values (up to -5%) occur along the power plant 2 3 plume during the same period. The spatial heterogeneity of $\Delta \delta^{15} N_{2002-2016}$ indicates how climate change alters the $\delta^{15}NO_x$. If we have enough input datasets to generate and compare the 4 5 seasonal/monthly $\delta^{15}NO_x$ over the past 20+ years, the impacts of anomalies in each meteorology variables could be explored. For the current dataset, the similar comparison between the $\delta^{15}NO_x$ 6 7 and the corresponding PBL height was conducted for the simulation based on 2002 meteorology (Fig. S14) to show how PBL height changes the evolution of $\delta^{15}NO_x$. Under the 2002 meteorology, 8 lower PBL height during the winter caused surface $\delta^{15}NO_x$ values along the power plants' plumes 9 10 to be lower relative to 2016 meteorology. On the other hand, due to the higher PBL height during the 2002 spring and summer the δ^{15} N values decreased through July before ending with the 11 12 relatively higher δ^{15} N values in December. The scatter plot for the simulation based on 2002 13 meteorology (Fig. S14b) also shows a strong positive correlation between the domain average PBL 14 height and average $\delta^{15}N$ value along the power plants plumes, with R²=0.78. The videos of atmospheric $\delta^{15}NO_x$ on an hourly basis throughout the years 2002 and 2016 are available on 15 16 Zenodo.org (10.5281/zenodo.4311986).

17

18 3.5 The simulation over the extracted domain

19 Analysis of the whether there was difference between the extracted-domain simulation (Fig. 2) and full-domain simulation was conducted by defining $\Delta \delta^{15} N_{\text{extracted-full}}$ and assessing the bias 20 due to the motion of the air mass across the domain boundary (Fig. S17). The $\Delta\delta^{15}$ N_{extracted-full} 21 values ranged between -0.25‰ and +0.25‰ over most of the grids, showing the difference 22 23 between extracted-domain simulation and full-domain simulation of δ^{15} N values are usually trivial. However, near the southern border of the extracted domain $\Delta \delta^{15} N_{extracted-full}$ values close to +0.75‰ 24 25 (fall and winter) and close to +1.00‰ (spring and summer) suggesting extracted domain may be required for accurate $\delta^{15}NO_x$ simulations 26

27

28 3.6 The role of enhanced NO_x loss

29 The "emission + transport + enhanced NO_x loss" simulations significantly alter the $\delta^{15}NO_x$ 30 relative to the "normal deposition" scenarios. 31 32 Again, the enhanced NO_x loss cases are removing 33 NO_x at rates similar to those by removal via its conversion into HNO₃. Thus, the NO_x deposited is 34 ~ $\delta^{15}NO_3^-$ (assuming no photochemical isotope 35 effects) and the $\delta^{15}NO_x$ is that in the residual NO_x. 36 The impact of enhanced NO_x loss on the residual 37 38 NO_x was assessed using $\Delta \delta^{15}$ N_{hi-no}, the difference between the $\delta^{15}NO_x$ values under the "enhanced 39 40 NO_x loss" and "no deposition" scenarios. The $\Delta \delta^{15} N_{hi-no}$ range was $\pm 4\%$ and was especially 41 obvious downwind of the locations with large 42 43 emission rates, such as power plants or megacities 44 (Fig. 11). This can be explained in a similar

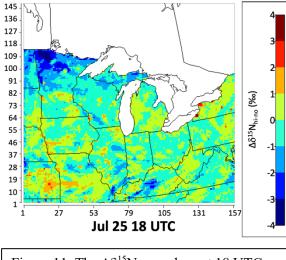


Figure 11. The $\Delta\delta^{15}N_{hi\text{-}no}$ values at 18 UTC on July 25.

45 fashion to the "no deposition" scenarios (Fig. S18a), where the dispersion of the isotopically

heavier NO_x emission from big cities, major highways, and power plants elevated the $\delta^{15}NO_x$ 1 2 values in rural areas and the dispersion of the isotopically lighter biogenic NO_x emission lowered the δ^{15} NO_x values in the surrounding grids located in the suburb of major cities (Fig. S18b). When 3 4 "enhanced NO_x loss" is used the transport, mixing, and dispersion of local NO_x emissions are restricted to smaller geographical extent (Fig. S18b) leading to different $\delta^{15}NO_x$ values relative to 5 no deposition. The temporal heterogeneity of $\Delta \delta^{15} N_{hi-no}$ over the domain was examined and the 6 impact of enhancing deposition rates of NO_x on the δ^{15} N of atmospheric NO_x on a seasonal basis 7 was explored (Fig. 12). The seasonal $\Delta \delta^{15}$ N _{hi-no} values range from -3.67‰ to 5.34‰, with an 8 average of 0.51‰. The overall pattern of the $\Delta \delta^{15} N_{hi-no}$ values shows that due to deposition, the 9 10 atmospheric NO_x became isotopically lighter over the majority of the grids since EGU and vehicle 11 NO_x is not being transported as far. Conversely, in grids that contain or surround power plants and 12 big cities the $\delta^{15}NO_x$ increases because it is not as effectively mixing with low $\delta^{15}NO_x$ from nearby grids. The enhanced NO_x loss simulation was used as a proxy to present the isotope effects 13 associated with the "pseudo photochemical transformation" of NO_x into NO_y. The complete 14 isotope effect of tropospheric photochemistry will be addressed in future work, which incorporates 15 16 ¹⁵N into the chemical mechanism of CMAQ for the simulation.

The $\delta^{15}NO_x$ value of dry deposition (a proxy for $\delta^{15}NO_3$) simulated by CMAQ show similar 17 monthly variations and seasonal trends as SMOKE (Fig. S22). The ranges of $\delta^{15}NO_x$ values within 18 19 each month were narrower, compared to the simulation from SMOKE, with a minimum during 20 February $(-8.7 \sim -4.4\%)$ and a maximum during August $(-11.8 \sim -4.2\%)$. The seasonal trend shows 21 low δ^{15} NO_x values in deposition during summer, with the median around -7.4‰, and slightly 22 higher values during winter (median around -6.0%). Therefore, the CMAQ simulation inherits the 23 monthly variations and seasonal trends from SMOKE, while the atmospheric NO_x becomes 24 isotopically heavier, after taking atmospheric mixing and transport into account. As mentioned 25 above, most of the NADP sites are located away from big cities and power plants. Thus, the 26 atmospheric mixing and transport led to the isotopically heavier atmospheric NO_x .

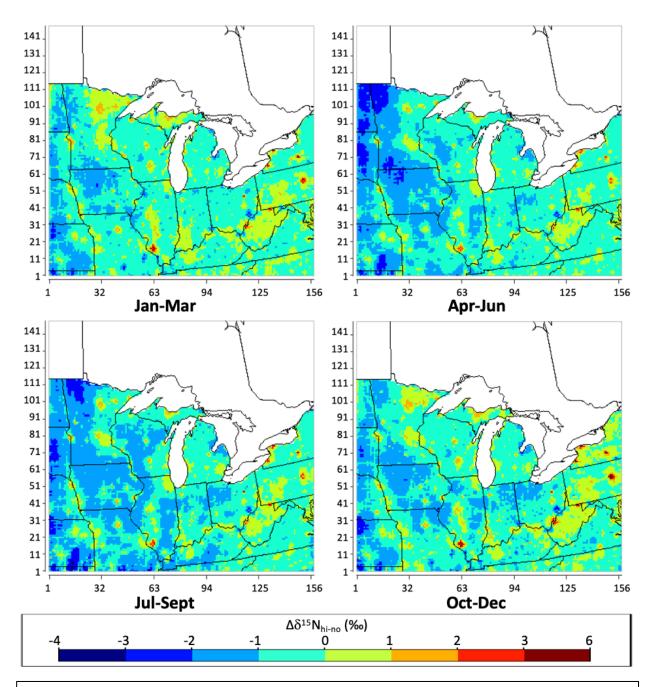
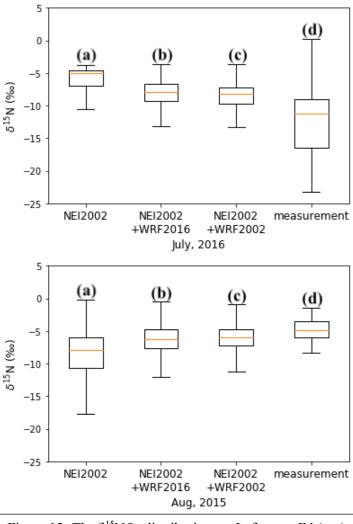
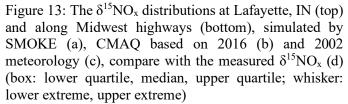


Figure 12: The difference between the $\delta^{15}N$ (‰) value of atmospheric NO_x under the "enhanced NO_x loss" scenario and "no deposition" scenario ($\Delta\delta^{15}N_{hi-no}$) during each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec), throughout the Midwest simulated by CMAQ, based on NEI-2002 and 2016 meteorology.

3.7 Model-observation comparison of $\delta^{15}NO_x$

1 order to evaluate In the 2 SMOKE/CMAQ simulations of 3 atmospheric $\delta^{15}NO_x$. they were 4 compared to two recent studies of 5 $\delta^{15}NO_x$ The first comparison was 6 relative to rainwater measurements in 7 West Lafayette, IN from July 9 to 8 August 5, 2016 (Walters, Fang, & 9 Michalski, 2018). The measured $\delta^{15}NO_x$ 10 values ranged from -33.8‰ to 0.2‰, 11 with the median of $-11.2\pm8.02\%$. Under 12 the "emission + transport + enhanced scenario using 13 loss" NO_x 2016 14 meteorology, the simulated $\delta^{15}NO_x$ 15 mean (-7.9±2.19‰) was 3.3‰ less negative than the observations and the 16 range (-15.9% to -3.7%) was about half 17 18 that in the observations (Fig. 13, top, 19 Table S7). The predicted $\delta^{15}NO_x$ was 20 similar regardless of whether 2016 or 21 2002 meteorology was used but were 22 the measured closer to values. 23 comparing to the "emission only" 24 simulations (Fig. 13, top). It is not surprising that the measurements are 25 26 more negative than the observations 27 because the model does not account for 28 fractionation isotope during the 29 conversion NO_x into NO_y. Our previous work has shown the photochemical 30 31 isotope effect enriches NO_v and depletes 32 NO_x (Fang et al., 2021; Walters and 33 Michalski, 2015) and thus the lower 34 measured $\delta^{15}NO_x$ relative to model is 35 consistent with this isotopic depletion.





36 Our model simulations were also compared to on-road vehicle plume measurement along Midwest 37 highways from August 8 to 18, 2015 (Miller et al., 2017). The boxplot also shows more accurate 38 estimation of δ^{15} N after considering the atmospheric mixing with the emission from surrounding 39 grids (Fig. 13, bottom). Using the "emission only" scenario, the simulated $\delta^{15}NO_x$ mean was about 3‰ more negative than the observations. The predicted $\delta^{15}NO_x$ under the "emission + transport + 40 41 enhanced NO_x loss" scenario for these samples along Midwest highways was closer to the 42 measured values, compared to the "emission only" simulations, using no matter 2016 or 2002 43 meteorology. The modeled values are quite close to the observations suggesting the photochemical 44 isotope effect is small for these samples. This is not surprising given they were collected on major 45 highways where NO_x concentrations are high and the timescale between collection and emission

1 is small and thus only a small fraction of emitted NO_x would have been converted to NO_y 2 minimizing the photochemical isotope effect.

3 The 30 fold enhanced NO_x loss (see methods) was used to simulate the δ^{15} N value of NO₃⁻ 4 deposition ($\delta^{15}NO_3^{-}$) that was then compared to observations (Fig. 14). As previously noted, rather 5 than explicitly converting NO_x into NO_y via the chemical mechanism in CMAO, which would 6 require writing an isotope-enabled chemical scheme with appropriate rate constants, we amplified 7 NO_x deposition as a surrogate. This amplification reduced the NO_x lifetime to about 1 day, thus 8 by calculating the δ^{15} NO_x in the deposition fraction, as opposed to residual NO_x in the atmosphere, we are approximating the $\delta^{15}NO_3^-$ in deposition. The simulated $\delta^{15}NO_3^-$ was compared to NO_3^- 9 10 collected at NADP sites within Indiana, Illinois, and Ohio in the year 2002 (Table S4). The NEI-2002 and WRF2002 were used for the SMOKE emission model and CMAQ simulations, 11 12 respectively. The value in deposition was calculated by $\delta^{15}NO_3 = \Sigma f_{NOxhr} \delta^{15}NO_{xhr}$, where f_{NOxhr} is the hourly mole fraction of NO_x isotopologue deposited ($f_{NOxhr} = NO_{xhr}/NO_{xT}$) and $\delta^{15}NO_{xhr}$ is 13 the δ^{15} N value of NO_x in deposition. The total NO_x deposited (NO_{xT}) used to calculate f_{NOxhr} was 14 15 the amount deposited 5 days prior to the sampling date since the NADP deposition collection 16 integrate the week.

17 The $\delta^{15}N$ values of NO_x deposition simulated by CMAQ 18 19 under the "emission + transport + enhanced NOx loss" scenario at 20 21 each site were compared with the measurements of $\delta^{15}N$ values of 22 23 NO₃ from prior studies (Mase, 24 2010; Riha, 2013). While the scatter plot shows a moderate 25 26 correlation positive between observed and simulated $\delta^{15}NO_3^{-1}$, 27 28 the simulated value is consistently lighter than the sample $\delta^{15}NO_3^{-1}$ 29 (Fig. 14, top). The magnitude of 30 31 this negative bias varies among the 32 NADP sites (Fig. S23) and is attributed to isotope fractionation 33 34 during the conversion NO_x into 35 NO_v, which enriches NO₃⁻ (Fang et al., 2021; Walters and Michalski, 36 37 2015). Globally this enrichment 38 has been estimated at 3.9±1.8‰ 39 (Song et al, 2021). But this 40 enrichment is a function of NO_x, 41 VOC, and oxidant loading, as well 42 as temperature, and photolysis rate 43 (Fang et al., 2021) and is not 44 expected to be the same at each 45 NADP site. After adjusting the

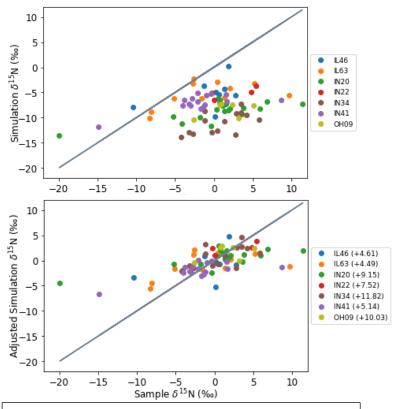


Figure 14: The emission + transport + enhanced NO_x loss CMAQ predicted δ^{15} N value of NO_x deposition using NEI-2002 and 2002 meteorology compared to the measured δ^{15} N of rain NO₃⁻ at NADP sites within IN, IL, and OH. The photochemical isotope enrichment factor (‰) correction used for each site is noted in the legend.

46 simulated $\delta^{15}N$ by raising the values by the average of the difference between sample $\delta^{15}N$ and

simulated $\delta^{15}N$ for each site, the scatter plots of sample $\delta^{15}N$ vs. simulated $\delta^{15}N$ well fit into the one-to-one line (Fig. 14, bottom). The complete ¹⁵N incorporated chemical mechanisms will be explored in future study.

4 5

4. Conclusion

The evolution of δ^{15} N values along the "journey" of atmospheric NO_x were traced, using our 6 7 ¹⁵N incorporated SMOKE and CMAQ. The $\delta^{15}NO_x$ under the "emission only" scenario was 8 simulated by SMOKE, using the NO_x emissions from NEI emission sectors and the corresponding 9 δ^{15} N values from previous research. The SMOKE simulation indicates that the NO_x emission from 10 biogenic sources is the key driver for the variation of $\delta^{15}N$, especially among the Midwestern NADP sites. The uncertainties in the $\delta^{15}NO_x$ emission are less than 5‰ over the majority of the 11 12 grids within the Midwest, which were well below the difference among the assigned $\delta^{15}NO_x$ values 13 for different NO_x emission sources (Fig. S24). The δ^{15} NO_x under the "emission + transport" scenario was simulated by CMAQ, using the ¹⁵N incorporated emission input dataset generated 14 from SMOKE, as well as the meteorology input dataset generated from WRF and MCIP. The 15 16 CMAQ simulation indicates that the PBL height is the key driver for the mixture of anthropogenic and natural NO_x emission, which deepens the gap between δ^{15} N of atmospheric NO_x and NO_x 17 18 emission. The $\delta^{15}NO_x$ under the "emission + transport + enhanced NO_x loss" scenario was 19 simulated by enhancing NO_x deposition in CMAQ simulation, to show how "lifetime chemistry" 20 alters δ^{15} NO_x values before it can be transported along significant distances, assuming no isotope fractionation during chemical conversion or deposition. 21

22 The simulations under "emission only" scenario and "emission + transport + enhanced NO_x loss" scenario were compared to the measurements in West Lafayette, Indiana. The simulated $\delta^{15}N$ 23 24 agreed well with the seasonal trend and monthly variation. The simulated $\delta^{15}NO_x$ under the "emission only" scenario was less negative than the corresponding measurements in West 25 26 Lafayette, IN, taken from July to August 2016. Thus, if we only consider the effects from NO_x 27 emission sources, the emission from soil, livestock waste, off-road vehicles, and natural gas power 28 plant in West Lafayette, IN are possible to be underestimated, and the emission from the on-road 29 vehicle and coal-fired power plant in West Lafayette, IN are possibly overestimated. The simulated δ^{15} NO_x under the "emission + transport + enhanced NO_x loss" scenario was about 3‰ closer to 30 the corresponding measurements in West Lafayette, IN, comparing to the "emission only" 31 32 simulations. The simulations under "emission + transport + enhanced NO_x loss" scenario was also 33 compared to the measurements of $\delta^{15}NO_3^-$ from NADP sites within Indiana, Illinois, Ohio, and 34 Kentucky. The sample-by-sample comparison shows a moderate positive correlation between observed and simulated $\delta^{15}NO_3^{-}$, with negative bias varies among the NADP sites. This bias is 35 36 attributed to isotope fractionation during the conversion NO_x into NO_y, affected by different NO_x, 37 VOC, and oxidant loading, as well as temperature, and photolysis rate at each NADP site. Therefore, the future work is to explore how tropospheric photochemistry alters $\delta^{15}NO_x$ by 38 39 incorporating ¹⁵N into the chemical mechanism of CMAQ and comparing the simulation with the 40 corresponding measurements. With the validation of our nitrogen isotopes incorporated CMAQ, 41 the NO_x emission inventories could be effectively evaluated and improved.

availability: The source code for SMOKE version 4.6 is available at 1 Data 2 https://github.com/CEMPD/SMOKE/releases/tag/SMOKEv46 Sep2018. The source code for 3 CMAQ version 5.2.1 is available at https://github.com/USEPA/CMAQ/tree/5.2.1. The in-detail 4 simulation results for δ^{15} N of NO_x emission based on 2002 and 2016 versions of National Emission 5 Inventory and the associated python codes are achieved on Zenodo.org (10.5281/zenodo.4048992). 6 The input datasets for WRF simulation are available at https://www.ncei.noaa.gov/data/. The in-7 detail simulation results for δ^{15} N of atmospheric NO_x under all scenarios discussed in this paper 8 and the CMAQ-based c-shell script for generating BCON for extracted domain simulation are 9 achieved on Zenodo.org (10.5281/zenodo.4311986). 10 11 Author contributions: Huan Fang and Greg Michalski were the investigator for the project and 12 organized the tasks. Huan Fang develop the model codes, performed the simulation to incorporate 13 ¹⁵N into SMOKE outputs and generated δ^{15} N values and reconstruct CMAO by incorporating ¹⁵N.

14 and performed the simulation to generate δ^{15} N values. Greg Michalski helped Huan Fang in

15 interpreting the results. Huan Fang prepared the manuscript with contributions from all co-authors.

16

Acknowledgments: We would like to thank the Purdue Research Foundation, the Purdue Climate Change Research Center, and the National Science Foundation (AGS award 1903646) for providing funding for the project. We would like to thank Scott Spak from School of Urban & Regional Planning, University of Iowa for simulating SMOKE using NEI-2002. We would like to thank Tomas Ratkus from Department of Earth, Atmospheric, and Planetary Sciences, Steven Plite, and Frank Bakhit from Rosen Center for Advanced Computing, Purdue University for setting up CMAQ on Purdue research computing for this project.

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