Assessing the roles emission sources and atmospheric processes play in simulating δ<sup>15</sup>N of atmospheric NO<sub>x</sub> and NO<sub>3</sub> using CMAQ (version 5.2.1) and SMOKE (version 4.6). Huan Fang<sup>†</sup> and Greg Michalski<sup>†‡</sup> 6 <sup>†</sup>Department of Earth, Atmospheric, and Planetary Sciences Purdue University, 550 Stadium Mall Drive, West Lafayette, IN 47907, United States <sup>‡</sup>Department of Chemistry, Purdue University, 560 Oval Drive, West Lafayette, IN 47907, United States Correspondence: Huan Fang, fang63@purdue.edu Keywords: isotope, nitrogen, atmospheric NOx, atmospheric nitrate, NOx emission sources, emission inventory, emission input dataset, atmospheric processes, disperse, mixing, transport, chemical transport model, 3D CTM, NEI, SMOKE, CMAQ 

### Abstract

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Nitrogen oxides ( $NO_x$  = nitric oxide (NO) + nitrogen dioxide ( $NO_2$ )) are important trace gases that affect atmospheric chemistry, air quality, and climate. Contemporary development of NO<sub>x</sub> emissions inventories is limited by the understanding of the roles of vegetation (net NO<sub>x</sub> source or net sink), vehicle emissions from gasoline- and diesel-powered vehicles, the application of NO<sub>x</sub> emission control technologies, and accurate verification techniques. The nitrogen stable isotope composition (δ<sup>15</sup>N) of NO<sub>x</sub> is an effective tool to evaluate the accuracy of the NO<sub>x</sub> emission inventories, which are based on different assumptions. In this study, we traced the changes in  $\delta^{15}N$ values of NO<sub>x</sub> along the "journey" of atmospheric NO<sub>x</sub>, driven by atmospheric processes after different sources emit NO<sub>x</sub> to the atmosphere. The <sup>15</sup>N was incorporated into the emission input dataset, generated from the US EPA trace gas emission model SMOKE (Sparse Matrix Operator Kernel Emissions). Then the <sup>15</sup>N incorporated emission input dataset was used to run CMAQ (the Community Multiscale Air Quality Modeling System). By enhancing NO<sub>x</sub> deposition, we simulated the expected δ<sup>15</sup>N of NO<sub>3</sub> assuming no isotope fractionation during chemical conversion or deposition. The simulated spatiotemporal patterns in NO<sub>x</sub> isotopic composition for both SMOKE outputs (simulation under "emission only" scenario) and CMAO outputs (simulations under "emission + transport + enhanced NO<sub>x</sub> loss" scenario) were compared with corresponding measurements in West Lafayette, Indiana, USA. The simulations under "emission + transport + enhanced NO<sub>x</sub> loss" scenario was also compared to δ<sup>15</sup>N of NO<sub>3</sub> in NADP (National Atmospheric Deposition Program) sites. The results indicate the potential underestimation of emissions from soil, livestock waste, off-road vehicles, and natural gas power plants and the potential overestimation of emissions from on-road vehicles and coal-fired power plants, if only considering the difference in NO<sub>x</sub> isotopic composition for different emission sources. After considering the mixing, dispersion, transport, and deposition of NO<sub>x</sub> emission from different sources, the estimation of atmospheric  $\delta^{15}N(NO_x)$  shows better agreement (by ~3\%) with observations.

### 1. Introduction

Nitrogen oxides ( $NO_x = NO + NO_2$ ) are important trace gases that affect atmospheric chemistry, air quality, and climate. The main sources of tropospheric  $NO_x$  are anthropogenic emissions from vehicles, power plants, agriculture, livestock waste, as well as natural emissions from lightning and the by-product of nitrification and denitrification occurring in soil (Galloway, et al., 2004). The  $NO_x$  photochemical cycle generates OH and  $HO_2$  radicals, organic peroxy radicals ( $RO_2$ ), and ozone ( $O_3$ ), which ultimately oxidize  $NO_x$  into  $NO_y$  ( $NO_y = NO_x + HONO + HNO_3 + HNO_4 + N_2O_5 +$  other N oxides). During the photochemical processes that converts  $NO_x$  to  $NO_y$ , ground-level concentrations of  $O_3$  become elevated and secondary particles are generated (Pandis and Sienfeld, 2003). Secondary aerosols that are hazardous to human health (Lighty et al., 2000) and affect cloud physics, enhancing the reflection of solar radiation (Schwartz, 1996). Thus, the importance of  $NO_x$  in air quality, climate, and human and environmental health makes understanding the spatial and temporal variation in the sources of  $NO_x$  a vital scientific question.

Despite years of research, however, there are still several significant uncertainties in the NO<sub>x</sub> budget. About 15-40% of global NO<sub>x</sub> emissions, ranging from 4 to 15 Tg N yr<sup>-1</sup>, is derived from global soil NO<sub>x</sub> emissions yet evaluating and verifying emission rates using laboratory, field measurements, and satellite observations is still a challenge (Jaeglé et al., 2005; Yan et al., 2005; Stehfest and Bouwman, 2006; Vinken et al., 2014; Rasool et al., 2016). Soil NO<sub>x</sub> emissions vary by different biome types, meteorological conditions, N fertilizer application, and soil physicochemical properties (Ludwig et al., 2001). Furthermore, the role of vegetation, acting as a net source of atmospheric NO<sub>x</sub> when ambient NO<sub>x</sub> concentration is below the "compensation point", versus acting as a net sink of atmospheric NO<sub>x</sub> when ambient NO<sub>x</sub> concentrations are above it (Johansson, 1987; Thoene, Rennenberg & Weber, 1996; Slovik et al., 1996; Webber & Rennenberg, 1996). This significantly impacts the biotic NO<sub>x</sub> emission inventory (Almaraz et al., 2018). Uncertainties also exist in the amount of NO<sub>x</sub> emitted during the combustion of fossil fuels by vehicles and industry. According to Parrish (2006), the estimation of on-road vehicle NO<sub>x</sub> emission has at least 10 to 15% uncertainty. For the mileage-based algorithm, which is used in the National Emission Inventory (NEI), the uncertainty is caused by the limited number of sites to determine the emission factors of vehicle classifications and emission types (Ingalls, 1989; Pierson et al., 1990; Fujita et al., 1992; Pierson et al., 1996; Singer and Harley, 1996). The uncertainty in power plant NO<sub>x</sub> emissions results from the choice of emission control technologies, of which the removal efficiencies of NO<sub>x</sub> emission are different. NO<sub>x</sub> removal by low NO<sub>x</sub> burning, over-fire air reduction, and selective non-catalytic reduction is highly variable, ranging from 50 to 75% (Srivastava et al., 2005).

The nitrogen stable isotope composition of  $NO_x$  might be a useful tool to help resolve the uncertainties of how  $NO_x$  emission sources vary in space and time because  $NO_x$  sources have distinctive  $^{15}N/^{14}N$  ratios (Ammann et al., 1999; Felix et al., 2012; Felix and Elliott, 2013; Fibiger et al., 2014; Heaton, 1987; Hoering, 1957; Miller et al., 2017; Walters et al., 2015a, 2015b, 2018). This variability in  $NO_x$   $^{15}N/^{14}N$  ratios is quantified by

$$\delta^{15}NO_x$$
 (‰) =  $[(^{15}NO_x)^{14}NO_x) / (^{15}N_2)^{14}N_2)_{air} - 1] \times 1000$  Eq. (1)

where  $^{15}NO_x/^{14}NO_x$  is the measurement of  $^{15}N/^{14}N$  in atmospheric  $NO_x$ , compared with the ratios in air  $N_2 = 0.0036$  (For brevity, the  $\delta^{15}N$  value of any  $NO_y$  compound will be denoted as  $\delta^{15}NO_y$ : e.g.  $\delta^{15}NO_3$ .) Previous research has shown that there are unique differences in  $\delta^{15}N$  values for

NO<sub>x</sub> from different emission sources and significant variations within each source (Fig. 1). This uniqueness can potentially be used to partition the relative importance of various NO<sub>x</sub> sources in a mixed atmosphere. For example, Redling et al. (2003) found higher  $\delta^{15}N$  of NO<sub>2</sub> in samples collected closer to the highway compared to those adjacent to a forest, showing the emissions from vehicles were dominant near the highway. A strong positive correlation between  $\delta^{15}NO_3$  and  $NO_x$ emission from coal-fired power plants within 400 km radial area of study sites of deposition suggest local power plant NO<sub>x</sub> emissions impacted regional NO<sub>x</sub> budgets (Elliott et al., 2007; 2009). What is lacking is a systematic way of evaluating  $\delta^{15}NO_v$ values in numerous studies in the context of NO<sub>x</sub> sources, regional emissions, meteorology, and

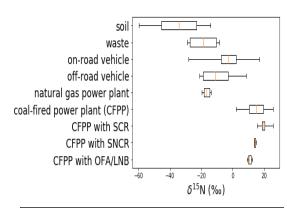


Figure 1: Box (lower quartile, median, upper quartile) and whisker (lower extreme, upper extreme) plot of the distribution of  $\delta^{15}N$  values for various  $NO_x$  emission sources.

atmospheric chemistry (Elliott et al., 2009; Garten, 1992; Hall et al., 2016; Occhipinti, 2008; Russell et al., 1998).

Here we have simulated the emission of  $^{15}NO_x$  and its mixing in the atmosphere and compared the predicted  $\delta^{15}N$  ( $NO_x$ ,  $NO_3$ -) values to observations. The  $\delta^{15}NO_x$  values are impacted by three main factors. The first is the inherent variability of the  $\delta^{15}NO_x$  emissions in time and space. Secondly, atmospheric processes that mix the emitted  $NO_x$ , dispersing multiple emission sources within a mixing lifetime relative to the  $NO_x$  chemical lifetime (2-7 hours), which depends on its concentration and photooxidation chemistry, that also vary in time and by location (Laughner & Cohen, 2019). And thirdly, isotope effects occurring during tropospheric photochemistry may alter the  $\delta^{15}NO_x$  emissions as they are transformed from  $NO_x$  into  $NO_y$ . In this paper, we consider the effects from the first and second considerations, the temporal and spatial variation in  $NO_x$  emission and the impacts from atmospheric transport and deposition processes (source and mixing hypothesis). We accomplish this by incorporating an input dataset of  $^{15}N$  emissions used in simulations by the CMAQ (Community Multiscale Air Quality) modeling system. In a previous paper we have discuss the impacts of tropospheric photochemistry by incorporating a  $^{15}N$  chemical mechanism (Fang et al., 2021) into CMAQ. The ultimate goal is to evaluate the accuracy of the  $NO_x$  emission inventory using  $^{15}N$ .

# 2. Methodology

# 2.1 Incorporating <sup>15</sup>N into NO<sub>x</sub> emission datasets

The EPA trace pollutant emission model SMOKE (Sparse Matrix Operator Kernel Emissions) was used to simulate  $^{14}NO_x$  and  $^{15}NO_x$  emissions.  $^{14}NO_x$  emissions were estimated using the SMOKE model based on the 2002 NEI (National Emission Inventory, USEPA, 2014), and  $^{15}N$  emissions were determined using these  $^{14}NO_x$  emissions and the corresponding  $\delta^{15}N$  values of  $NO_x$  sources from previous research (Table 1). Using the definition of  $\delta^{15}N$  (‰),  $^{15}NO_x$  emitted by each SMOKE processing category (area, biogenic, mobile, and point) was calculated by

$$^{15}NO_x(i) = ^{14}NO_x(i) \times ^{15}R_{NO_x}(i)$$
 Eq. (2)

where <sup>14</sup>NO<sub>x</sub> (i) are the NO<sub>x</sub> emissions for each category (i) obtained from NEI and SMOKE and <sup>15</sup>R<sub>NOxi</sub> is a <sup>15</sup>N emission factor (<sup>15</sup>NO<sub>xi</sub>/<sup>14</sup>NO<sub>xi</sub>) calculated by rearranging Eq. 1:

$$^{15}R_{NO_x}(i) = \left(\frac{\delta^{15}NO_x(i)}{1000} + 1\right) \times 0.0036$$
 Eq. (3) 
$$\delta^{15}NO_{x(i)} \text{ is the } \delta^{15}N \text{ value of some NO}_x \text{ source } (i = \text{area, biogenic, mobile, and point)}.$$

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Annual NO<sub>x</sub> emissions for 2002 were obtained from the NEI at the county-level and were converted into hourly emissions on a 12 km x 12 km grid as previously published (Spak, Holloway, & Stone, 2007). The modeling domain includes latitudes between 37 ° N and 45 ° N, and 136 127

longitudes between 98° W and 78° W, which fully covers the Midwestern US (Fig. 2, in yellow). SMOKE categorizes NO<sub>x</sub> emissions into four "processing categories": Biogenic, Mobile, Point, and Area (Table 1). The choice of the 2002 version of NEI is, in part, arbitrary. However, to compare the model predicted  $\delta^{15}N$ values with observations, it requires the emission inventory to be relevant to the same timeframe as the  $\delta^{15}N$  measurements of the NO<sub>v</sub>. The data sets we compare to the model (discussed below) span from 2002 to 2009, thus the 2002 inventory is more relevant than later inventories (2014 onward). The county-level annual <sup>14</sup>NO<sub>x</sub> emission for the Midwestern US from NEI was converted to the dataset with hourly <sup>14</sup>NO<sub>x</sub> emissions.

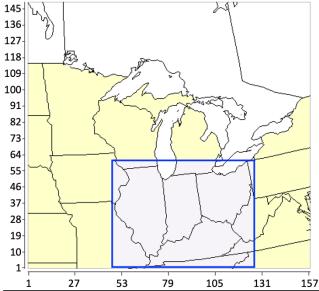


Figure 2: The full geographic domain (yellow) and extracted domain (light grayish purple) for the study.

SMOKE Category	NEI Sector	$\delta^{15}$ N-NO <sub>x</sub> (‰) range	$\delta^{15}$ N-NO <sub>x</sub> (‰) this study
Biogenic	Soil	-59.8 ~ -14.0	-34.3 (Felix & Elliott, 2014)
Area	Livestock Waste	<i>-</i> 29 ∼ <i>-</i> 8.5	-18.8 (Felix & Elliott, 2014)
	Off-road Gasoline	-21.1 ~ 8.5	-11.5 (Walters et al., 2015b)
	Off-road Diesel		-10.5 (Walters et al., 2015b)
Mobile	On-road Gasoline	-28.1 ~ 17	-2.7 (Walters et al., 2015b)
	On-road Diesel		-2.5 (Walters et al., 2015b)
Point	Coal-fired Fossil Fuel Combustion	-19.7 ~ 25.6	15 (Felix et al., 2012)
	Natural Gas Fossil Fuel Combustion		-16.5 (Walters et al., 2015)

Table 1: The  $\delta^{15}$ N values (in ‰) for NO<sub>x</sub> emission sources based on SMOKE processing category and NEI sector

# 2.1.1 Biogenic <sup>15</sup>NO<sub>x</sub> emissions

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The NO<sub>x</sub> emission from the soil (Biogenic) was modeled in SMOKE using standard techniques (details in SA) and the  $\delta^{15}$ N values of biogenic NO<sub>x</sub> were taken from previous studies. Li & Wang (2008) measured the NO fluxes using dynamic flow chambers for 2 to 13 days after cropland soil was fertilized by either urea (n=9) or ammonium bicarbonate (n=9), and the  $\delta^{15}$ NO<sub>x</sub> ranged from -48.9% to -19.8%. Felix & Elliott (2014) used passive samplers to collect NO<sub>2</sub> in a cornfield for 20 days, with low (-30.8%) and high (-26.5%) fertilizer application. Using active samplers, Miller et al. (2018) collected NO<sub>2</sub> between May and June finding  $\delta^{15}$ N ranging from -44.2% to -14.0% (n=37); Yu & Elliott (2017) measured -59.8% to -23.4% in 15 samples from soil plots in a fallow field 2 weeks after the precipitation. Based on these studies we adopted an average  $\delta^{15}$ N value for NO<sub>x</sub> emissions from the soil of -34.3% (Li & Wang, 2008; Felix & Elliott, 2014; Yu & Elliott, 2017; Miller et al., 2018).

## 2.1.2 Mobile <sup>15</sup>NO<sub>x</sub> emissions

The SMOKE NO<sub>x</sub> emission from on-road vehicles used standard methods (details in SA) and used  $\delta^{15}$ N values from prior studies. We have excluded studies that infer  $\delta^{15}$  NO<sub>x</sub> by measuring plant proxies or passive sampling in the environment (Ammann et al., 1999; Pearson et al., 2000; Savard et al. 2009; Redling et al., 2013; Felix & Elliott, 2014). This is because equilibrium and kinetic isotope effects occur as NO<sub>x</sub> reacts in the atmosphere to form NO<sub>y</sub>, prior to NO<sub>x</sub> deposition. In addition, the role vegetation plays in NO<sub>x</sub> removal and atmospheric processes that mix emitted NOx with the surroundings can also alter the  $\delta^{15}NO_x$ . Instead, we estimated the  $\delta^{15}NO_x$  emissions from vehicles only using studies that directly measured tailpipe NO<sub>x</sub> emissions. Moore (1977) and Heaton (1990) collected tailpipe NO<sub>x</sub> spanning -13% to 2%, with an average of -7.5±4.7%. Neither Heaton nor Moore noted whether these 6 vehicles were equipped with any catalytic NO<sub>x</sub> reduction technology, but it is unlikely since the late 1970 and 80's vehicles were seldomly equipped with catalytic NO<sub>x</sub> reduction technology. Fibiger (2014) measured 5 samples of NO<sub>x</sub> from diesel engines without SCR emitted into a smog chamber, the  $\delta^{15}$ N values range from -19.2% to -16.7% ( $\pm 0.97\%$ ). The most comprehensive studies on vehicle NO<sub>x</sub>  $\delta^{15}$ N values are by Walters et al. (2015a, 2015b) who measured gas and diesel vehicles separately, including those with and without three-way catalytic converter (TCC) and SCR technology. They also measured on-road and off-road vehicles separately. The measurements showed that the  $\delta^{15}NO_x$  emitted by on-road diesel vehicles ranged from -5% to 0%, so the average -2.5% was adopted. The  $\delta^{15}NO_x$  values emitted by on-road gasoline vehicles is a function of vehicle travel times, ranged from -6.3% to 1.8% with the average -2.7±0.8% for the Midwest region. This value is close to the measurements (-8% to -1%, averaged -4.7±1.7%) of Miller et al. (2017) who collected NO<sub>x</sub> along highways in Pennsylvania and Ohio.

The emission rate of  $^{15}NO_x$  from the mobile source was determined by Eq. 4 grid by grid, according to the contributions from on-road gasoline vehicles and on-road diesel vehicles, as well as their corresponding  $\delta^{15}N$  values.  $NO_x$  emissions from off-road vehicles are regarded as area sources in SMOKE, which were processed over each county. In contrast,  $NO_x$  emissions from onroad vehicles are regarded as the mobile source in SMOKE, which will be processed along each highway. The  $\delta^{15}N$  of on-road gasoline vehicles was based on the average of the vehicle travel time (t) within each region with the same zip code (Walters et al., 2015b).

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$$^{15}NO_x$$
 (mobile) =  $\left(\frac{\delta^{15}NO_{x (on-road gas)}}{1000} + 1\right) \times 0.0036 \times ^{14}NO_x$  (on - road gas)  
+  $\left(\frac{\delta^{15}NO_{x (on-road diesel)}}{1000} + 1\right) \times 0.0036 \times ^{14}NO_x$  (on - road diesel) Eq. (4)

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Where \delta^{15}NO_{r(on-road\ gas)} = -12.35 + 3.02 \times \ln(t + 0.455)
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## 2.1.3 Point source <sup>15</sup>NO<sub>x</sub> emissions

NO<sub>x</sub> point sources are large anthropogenic NO<sub>x</sub> emitters located at a fixed position such as EGUs (electric generating units). Fugitive dust does not significantly contribute to point NO<sub>x</sub> emissions, so our inventory focused only on power plants (Houyoux, 2005). Power plants were separated into two different types: EGU and Non-EGU (e.g. commercial and industrial combustions). The  $\delta^{15}$ N value of NO<sub>x</sub> emitted from power plants have been estimated to vary from -19.7‰ to 25.6‰ (Heaton, 1987; Heaton, 1990; Snape, 2003; Felix et al., 2012; Walters et al., 2015b). We have ignored studies that measured  $\delta^{15}NO_3^-$  or  $\delta^{15}HNO_3$  from EGUs (Felix et al., 2015, Savard et al., 2017) and instead, only consider those studies that directly measured  $\delta^{15}NO_x$  from stacks. Heaton (1990) collected 5 samples from the different coal-fired power stations finding NO<sub>x</sub> from 6‰ to 13‰, with a standard deviation of 2.9‰. Snape (2003) measured  $\delta^{15}N$  values from power plants using three different types of coals values ranging from 2.1% to 7.2%, with a standard deviation of 1.37‰ (n= 36). The most comprehensive study on coal-fired power plants  $NO_x$  values was by Felix et al. (2012). They measured the  $\delta^{15}NO_x$  emission from the coal-fired power stations with and without different emission control technologies. The  $\delta^{15}NO_x$  emissions range from 9% to 25.6%, with the average 14.2 $\pm$ 4.51% (n=42). The  $\delta$ <sup>15</sup>NO<sub>x</sub> values varied when different emission control technologies were used: ranging from 15.5% to 25.6% with the average 19.4±2.28‰ (n=16) for SCR; ranging from 13.6‰ to 15.1‰ with the average 14.2±0.79‰ (n=3) for SNCR; range from 9.0% to 12.6% with the average 10.7±1.11% (n=15) for OFA/LNB; range from 9.6% to 11.7% with the average 10.5±0.79% (n=8) for no emission control technology. According to Xing et al. (2013), about half of the coal-fired power plants in the United States are equipped with SCR. Thus, we assume 15% for the NO<sub>x</sub> emissions from coal-fired power plants, which is the average between SCR and other emission control technologies.

The most comprehensive study on natural gas-fired  $\delta^{15}NO_x$  values (Walters et al. 2015) collected  $NO_x$  from a residential a natural gas low- $NO_x$  furnace and the stack of a natural gas EGU. The measurement showed that the  $\delta^{15}N$  values of  $NO_x$  emitted by natural gas power plants ranged that average -16.5±1.7‰, which we used for the  $NO_x$  emission from natural gas power plants. The latitude, longitude, and point sources characteristics (EGU and non-EGU, coal-fired or natural gas-fired, implementation of emission control technology) of each power plant was obtained from the US Energy Information Administration (2017). The power plants were assigned grids by their latitudes and longitudes, and the  $\delta^{15}N$  values were assigned to these grids based on their emission characteristics, before determining the emission rate of  $^{15}NO_x$  from point source using Eq. (2) and (3).

# 2.1.4 Area source <sup>15</sup>NO<sub>x</sub> emissions

Area NO<sub>x</sub> (details in SA)  $\delta^{15}$ N values were based on the assumption that livestock waste and off-road vehicles (utility vehicles for agricultural and residential purposes) accounted for total area sources. Livestock waste  $\delta^{15}$  NO<sub>x</sub> values were taken from Felix & Elliott (2014) since it is currently the only study livestock waste emissions. They placed a passive sampler with ventilation fans in an open-air and closed room in barns of cows and turkeys, respectively. The  $\delta^{15}$ NO<sub>x</sub> emissions from these measurements range from -29‰ to -8.5‰. Among these samples, the  $\delta^{15}$ NO<sub>x</sub> emissions from turkey waste averaged -8.5‰, the  $\delta^{15}$ NO<sub>x</sub> emissions from cow waste averaged -24.7‰. We used -18.8‰ as the values of  $\delta^{15}$ NO<sub>x</sub> emissions from livestock waste, which is the weighted average of the from turkey waste and cow waste emissions. We used Walters et al. (2015b) to

estimate the  $\delta^{15}NO_x$  emissions from the off-road vehicles since it is the latest in-depth study that measured the  $\delta^{15}NO_x$  specifically from off-road vehicles that ranged from -15.6‰ to -6.2‰, averaged -11.5±2.7‰. The measurement showed that the  $\delta^{15}N$  values of  $NO_x$  emitted by diesel off-road vehicles without SCR ranged from -21.1‰ to -16.8‰, averaged -19‰±2‰, and diesel-powered off-road vehicles with SCR ranged from -9‰ to 8.5‰, averaged -2‰±8‰. We adopted -10.5‰ for  $\delta^{15}N$  values of  $NO_x$  emitted by diesel-powered off-road vehicle, which is the median between the measurement of vehicles with and without SCR.

The emission rate of  $^{15}NO_x$  from area source was determined by Eq. 5 grid by grid, according to the contributions from waste, off-road gasoline vehicle, and off-road diesel vehicle, as well as their corresponding  $\delta^{15}N$  values based on previous research.

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$$^{15}NO_x (area) = \left(\frac{\delta^{15}NO_{x (waste)}}{1000} + 1\right) \times 0.0036 \times ^{14}NO_x (waste)$$
12  $+ \left(\frac{\delta^{15}NO_{x (off-road gas)}}{1000} + 1\right) \times 0.0036 \times ^{14}NO_x (off-road gas)$ 
13  $+ \left(\frac{\delta^{15}NO_{x (off-road diesel)}}{1000} + 1\right) \times 0.0036 \times ^{14}NO_x (off-road diesel)$  Eq. (5)

The  $^{15}NO_x$  emission data files of each SMOKE processing category were incorporated into the final dataset based on the  $\delta^{15}N$  values from previous research (Table 1) and Eq. (2-5).

$$\delta^{15}NO_{x (total)} = \left(\frac{\frac{^{15}NO_{x}(area) + ^{15}NO_{x}(biog) + ^{15}NO_{x}(mobile) + ^{15}NO_{x}(point)}{^{14}NO_{x}(area) + ^{14}NO_{x}(biog) + ^{14}NO_{x}(mobile) + ^{14}NO_{x}(point)}}{0.0036} - 1\right) \times 1000$$
Eq. (6)

### 2.2 Simulating atmospheric $\delta^{15}NO_x$ in CMAQ

In order to investigate the role of mixing in the spatiotemporal distribution of  $\delta^{15}NO_x$  values, CMAQ was used to simulate the meteorological transport effects (advection, eddy diffusion, etc.). In this "emission + transport" scenario, grid specific  $\delta^{15}NO_x$  values emitted are dispersed as  $NO_x$  mixes across the regional scale. This dispersion will depend on grid emission strength and mixing vigor and is effectively treating  $NO_x$  as a conservative tracer. The simulations used the 2002 National Emission Inventory (NEI), as well as 2002 and 2016 meteorological conditions respectively, to explore how meteorological conditions will impact the atmospheric  $\delta^{15}NO_x$ . Simulations covering the full domain and extracted domain were conducted to explore and eliminate potential bias near the domain boundary.

## 2.2.1 Meteorology input dataset and boundary conditions

To explore the impact of atmospheric processes, the meteorology input datasets for the years 2002 and 2016 were prepared and compared. The CMAQ CTM (CCTM) used the NARR (North American Regional Reanalysis) and NAM (North American Mesoscale Forecast System) to convert the weather observations (every 3 hours for NARR, every 6 hours for NAM Analyses) into gridded meteorological elements, such as temperature, wind field, and precipitation, with the horizontal resolution of 12 km, and 34 vertical layers, with the thickness, increases with height, from 50 m near the surface to 600 m near the 50 mb pressure level. These were used to generate the gridded meteorology files on an hourly basis, using the Weather Research and Forecasting Model (WRF). To maintain consistency between the  $NO_x$  emission dataset and the meteorology,

the same coordinate system, spatial domain, and grid size used in the SMOKE model were used in the WRF simulation. The WRF outputs were used to prepare the CMAQ-ready meteorology input dataset using CMAQ's MCIP (the Meteorology-Chemistry Interface Processor; see SA for details). In these emission-only simulations, the deposition of NO<sub>x</sub> was effectively set to zero. This was accomplished by defining YO =  $^{14}$ NO and YO<sub>2</sub> =  $^{14}$ NO<sub>2</sub> (in addition to ZO =  $^{15}$ NO and ZO<sub>2</sub> =  $^{15}$ NO<sub>2</sub>) and setting their deposition velocities to 0.001 (setting them to zero collapses the simulation). The meteorological fields generated by MCIP were used as the inputs for Initial Conditions Processor (ICON) and Boundary Conditions Processor (BCON) to run CCTM in CMAQ. The ICON program prepares the initial chemical/isotopic concentrations in each of the 3D grid cells for use in the initial time step of the CCTM simulation. The BCON program prepares the chemical/isotopic boundary condition throughout the CCTM simulation. The CMAQ default ICON and BCON for a clean atmosphere were used, which had NO<sub>x</sub> < 0.25 ppb. The  $^{15}$ NO<sub>x</sub> were added to the outputs of ICON and BCON, with the concentration equal to 0.0036[ $^{14}$ NO<sub>x</sub>], which assumes  $\delta^{15}$ N = 0 at the initial time step and outside the domain of the simulation.

## 2.2.2 The role of deposition and chemical transformation of NO<sub>x</sub>

CMAQ simulated how NO<sub>x</sub> removal by photochemical oxidation and deposition alters  $\delta^{15}NO_x$  during mixing, transport, and dispersion. This "apparent" conversion of  $NO_x$  to  $NO_y$  was implemented by enhancing NO<sub>x</sub> dry deposition by first magnifying it to 20 times normal (14 kg/hectare/vr) and testing for the change in NO<sub>x</sub> concentration relative to the normal deposition rate. Multiple tuning trials were conducted until the e-folding time (lifetime) of NO<sub>x</sub> in the atmosphere across the domain averaged about 1 day. This is a typical average photochemical NO<sub>x</sub> lifetime for a combination of urban, suburban, and rural environments (Laughner & Cohen, 2019). This approach is limited since NO<sub>x</sub> lifetime varies depending on oxidation capacity, with urban NO<sub>x</sub> lifetimes (~2-11 hours) being significantly shorter than in rural conditions (Fang et al., 2021). In these simulations, the molecular mass of Y and Z were set equal (14) to ensure no isotope effect was induced by dry deposition, since the equations for dry deposition have a mass term in the diffusion coefficient calculation. These "emission + transport + enhanced NO<sub>x</sub> loss" simulations are an attempt to show how "lifetime chemistry" alters  $\delta^{15}NO_x$  values by removing  $NO_x$  before it can be transported along significant distances. For example, in an "emission + transport" scenario  $NO_x$  from a high emission powerplant could travel across the domain altering regional  $\delta^{15}NO_x$  as it mixes with other grids. In contrast, in the "emission + transport + enhanced NO<sub>x</sub> loss" scenario most of that NO<sub>x</sub> would be removed near the power plant, effectively constricting its  $\delta^{15}$ N influence. This has an added advantage in that the deposited  $\delta^{15}NO_x$  should be similar to the  $\delta^{15}NO_3$ , which is not being generated in this model. We emphasize that in this model the isotope effects associated with the photochemical transformation of NO<sub>x</sub> into HNO<sub>3</sub> (and other higher N oxides) and deposition are ignored and will be addressed in a forthcoming paper.

### 2.2.4 The simulation over the extracted domain

As mentioned in section 2.2.1, atmospheric  $\delta^{15}NO_x = 0\%$  for initial condition and boundary condition. As a result, a bias may occur along the boundary of the research area and mainly occurs under the following two circumstances. Firstly, when the air mass transports out of the research area (Fig. S1). Due to the lack of the emission dataset, Canada is considered an "emission-free zone" for this research. As a result, the atmospheric  $NO_x$  is diluted, which impacts its  $\delta^{15}N$  values, especially for those with extreme  $\delta^{15}N$  values ( $\delta^{15}N < -15\%$  or  $\delta^{15}N > 5\%$ ). Secondly, the air mass with  $\delta^{15}NO_x = 0$  transports from the "emission-free zone" into the research area (Fig. S2), the



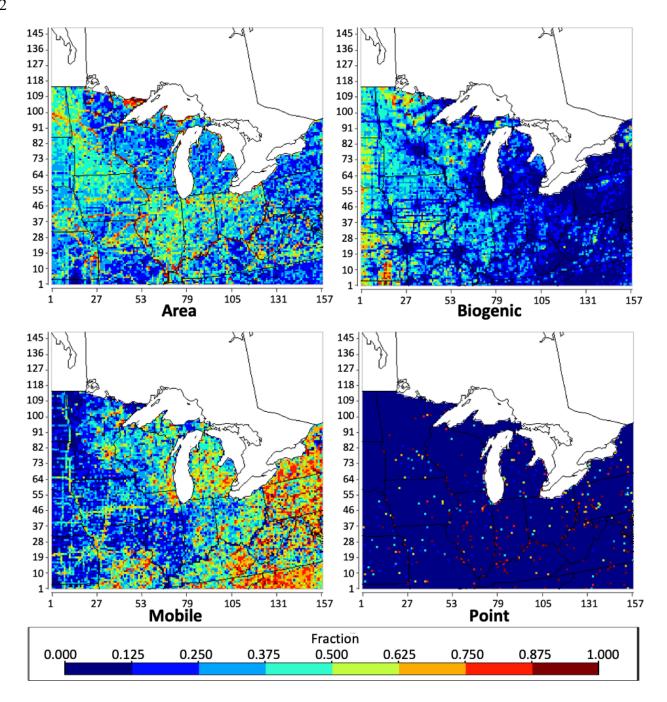


Figure 4: The geographical distribution of the fraction of NO<sub>x</sub> emission from each SMOKE processing category (area, biogenic, mobile, point) over each grid throughout the Midwest between April and June based on NEI-2002.

atmospheric  $\delta^{15} NO_x$  is flattened. Therefore, to avoid the bias near the border, the extracted domain that only covers Indiana, Illinois, Ohio, and Kentucky was determined (Fig. 2, in light purple), where the measurements of  $\delta^{15} N$  values at NADP sites are available (Mase, 2010; Riha, 2013). The boundary condition for the simulation over the extracted domain is based on the CCTM output of the full-domain simulation (BCON code available on Zenodo.org (10.5281/zenodo.4311986)).

# 3. Results and Discussion

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## 3.1 Simulated spatial variability of NO<sub>x</sub> emission rates

We first examine the spatial heterogeneity of the NO<sub>x</sub> emission rate for a single time period to illustrate the overall pattern of NO<sub>x</sub> emission over the domain (Fig. 3). This is because the  $\delta^{15}NO_x$  emission is determined by the fraction of each NO<sub>x</sub> source (Eq. 6), which in turn is a function of their emission rates. Since our NO<sub>x</sub> emissions are gridded by SMOKE using the NEI, they are, by definition, correct with respect to the NEI. However, a brief discussion of the salient geographic distribution of NO<sub>x</sub> emissions and comparisons with other studies is warranted for completeness and as a backdrop for the discussion of NO<sub>x</sub> fractions and resulting  $\delta^{15}$ N values. We have arbitrarily chosen to sum the NO<sub>x</sub> emissions during the April to June time period for this discussion (Fig. 3).

The April to June NO<sub>x</sub> emissions ranged

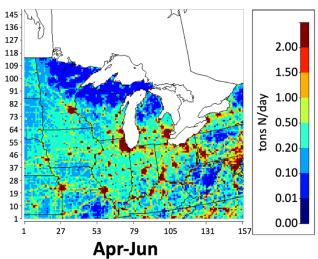


Figure 3: Total NO<sub>x</sub> emission in the Midwest between April and June in tons N/day. High NO<sub>x</sub> emissions are associated with major urban areas such as Chicago, Detroit, Minneapolis-St Paul, Kansas City, St. Louis, Indianapolis, and Louisville.

from less than 0.01 tons N/day to more than 15 tons N/day, with the seasonal grid average of 0.904 tons N/day. This average agrees well with estimates in previous studies for the United States, which were between 0.81 and 1.02 tons N/day (Dignon & Hameed, 1989; Farrell et al., 1999; Selden et al., 1999; Xing et al, 2012). Within 75% of the geographic domain, the NO<sub>x</sub> emissions are relatively low, ranging from between 0 and 0.5 tons N/day (Fig. S3). Geographically, these grids are in rural areas some distance away from metropolitan areas and highways (Fig. 3). NO<sub>x</sub> emissions within about 20% of the grids is relatively moderate, ranging between 0.5 and 2.0 tons N/day (Fig. S3). Geographically, these grids are mainly located along major highways and areas with medium population densities (Fig. 3). Urban centers comprise about 5% of the grids within the geographic domain and these have high NO<sub>x</sub> emissions rates, ranging between 2.0 and 15.0 tons N/day (Fig. S3). The metropolitan area's average is 5.03 tons N/day, which is nearly 14 times of the average emission rate over the rest of the grids within the geographic domain (0.37 tons N/day) due to the high vehicle density associated with high population. The highest emissions rates are located within large cities as well as the edge of the east coast metropolitan area (Fig. 3). Summing the NO<sub>x</sub> emissions among the grids that encompass these major midwestern cities, yields city-level NO<sub>x</sub> emission rates that vary from 61.2 tons N/day (Louisville, KY) to 634.1 tons N/day

(Chicago, IL). These city-level NO<sub>x</sub> emission rates (Table S4) agree well with estimates derived from the Ozone Monitoring Instrument (Lu et al., 2015). Grids containing power plants are the significant NO<sub>x</sub> hotspots within the geographic domain. These account for less than 1% of the grids, but the NO<sub>x</sub> emissions from a single grid that contains a power plant can be as high as 93.4 tons N/day. Geographically, the power plants are mainly located along the Ohio River valley, near other water bodies, and often close to metropolitan areas (Fig. 3). The NO<sub>x</sub> emission rates of the major power plants within the Midwest simulated by SMOKE (Table S5) match well with the measurement from the Continuous Emission Monitoring System (CEMS) (de Foy et al., 2015; Duncan et al., 2013; Kim et al., 2009). The geographic distribution of grid-level annual NO<sub>x</sub> emission density in our simulation also agrees with the county-level annual NO<sub>x</sub> emission density discussed in the 2002 NEI booklet (Fig. S4; USEPA, 2018b).

We next examine the spatial heterogeneity of the  $NO_x$  source fractions (Fig. 4) for the same time period (April to June). The  $NO_x$  fraction (f) is defined as the amount of  $NO_x$  from a source category (s) normalized to total  $NO_x$  ( $f_s = NO_x$ (source)/ $NO_x$ (total)). The fraction for anthropogenic  $NO_x$  emission is defined as the amount of  $NO_x$  from a source category normalized to the sum of  $NO_x$  emission from anthropogenic sources ( $f_s = NO_x$ (source)/( $NO_x$ (total)- $NO_x$ (biogenic))) Since the  $\delta^{15}NO_x$  is determined by the  $NO_x$  emission fractions within each grid it is important to understand where in the domain these fractions differ and why. The area sources, which mainly consist of off-road vehicles, agriculture production, residential combustion, as well as the industrial processes, which are individually too low in magnitude to report as point sources, are fairly uniform in their distribution across the domain.

The SMOKE simulation shows that the  $f_s$  vary significantly across the domain. The average area  $NO_x$  emission fraction ( $f_{area}$ ) was 0.271 for total  $NO_x$  emission and 0.290 for anthropogenic  $NO_x$  emission within the Midwest from April to June. The  $f_{area}$ 's show a clear spatial variation and range from 0.125 to 0.5 over about 75% of the grids (Fig. S5). Geographically, the grids with relatively higher  $f_{area}$  are in the rural area away from highways, where agricultural is the most common land use classification. In the states of Wisconsin and Missouri, the  $f_{area}$  is slightly lower due to the higher fraction of NO<sub>x</sub> emission from biogenic sources (f<sub>biog</sub>). In the states of Pennsylvania and Michigan, the  $f_{area}$  is slightly lower due to the higher fraction of  $NO_x$  emission from mobile sources ( $f_{\text{mobile}}$ ). In addition, the grids with  $f_{\text{area}}$  greater than 0.75 are mainly located along the Mississippi River and Ohio River, due to wastewater discharge. The fbio shows a clear spatial variation and is highest in the western portion of the domain (Fig. 4). The  $f_{\text{bio}}$  from April to June is less than 0.5 in more than 90% of the grids within the geographic domain, with the average of 0.065 (Fig. S5). Geographically, the grids with relatively high fbio are located in the western regions of the Midwest, away from cities and highway where the density of agricultural acreage and natural vegetation is high. Furthermore, the lowest f<sub>bio</sub> values occur in the megacities and along the highways, which agrees well with the land-use related to the biogenic emission. The April to June SMOKE simulation shows that  $f_{\text{mobile}}$  of 0.325 for total NO<sub>x</sub> emission and 0.347 for anthropogenic  $NO_x$  emission. The  $f_{mobile}$  shows a clear spatial variation, with relatively higher  $f_{mobile}$ are located in major metropolitan regions and along the highways, where vehicles have the highest density. The value of  $f_{\text{mobile}}$  within the geographic domain distributes evenly on the histogram (Fig. S5). Based on the SMOKE simulation, the fraction of NO<sub>x</sub> emission from point sources ( $f_{point}$ ) is 0.339 for total NO<sub>x</sub> emission and 0.363 for anthropogenic NO<sub>x</sub>. The  $f_{point}$ 's are obviously highest in grids where the power plants are located, mainly along the Ohio River valley and near other water bodies close to metropolitan areas. The point sources occupy only 4% of the domain grids

and about 1/4 of the power plants are not at the same grids as highways, thus these grids have a  $f_{\text{point}} > 0.9 \text{ NO}_{\text{x}}$ .

# 3.2 Simulated spatial variability in $\delta^{15}NO_x$

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Using these NO<sub>x</sub> emission source fractions, the  $\delta^{15}NO_x$  values were simulated and the spatial heterogeneity of  $\delta^{15}NO_x$  for a single time period is discussed. The "emission only" simulation of  $\delta^{15}NO_x$  values (at 06 UTC on July 26) ranged from -34.3% to 14.9% (Fig. 5a). The majority of the grids have  $\delta^{15}NO_x$  values lower than -16.3%, which is due to biogenic NO<sub>x</sub> emissions (-34.3‰) in sparsely populated areas where intensive agriculture dominates the land use (Fig. 5a). The  $\delta^{15}NO_x$  values for grids containing big cities mainly ranged between -8.75% and -5% due to the higher fraction of NO<sub>x</sub> emission from on-road vehicles (-2.7%), which also resolve major highways. The highest value of  $\delta^{15}$ N occurs at the grids, where the coal-fired EGUs (+15‰) and hybrid-fired EGUs are the dominant NO<sub>x</sub> source (Fig. 5a).

The effect of atmospheric mixing on the  $\delta^{15}NO_x$  spatial distribution was then taken into account by coupling the <sup>15</sup>NO<sub>x</sub> emissions to the meteorology simulation. There are significant differences between  $\delta^{15}NO_x$  values in the "emission only" (Fig. 5a) and the "emission + transport" (Fig. 5b) simulations. While "emission only"  $\delta^{15}N$  pattern shows biogenic NO<sub>x</sub> emissions dominating the spatial domain, anthropogenic emissions become dominant over most of the grids in the "emission + transport" simulations, especially for the grids located around major cities and power plants. In general, as isotopically heavier urban NO<sub>x</sub> disperses, the grid average increases from -20.2% under the "emission

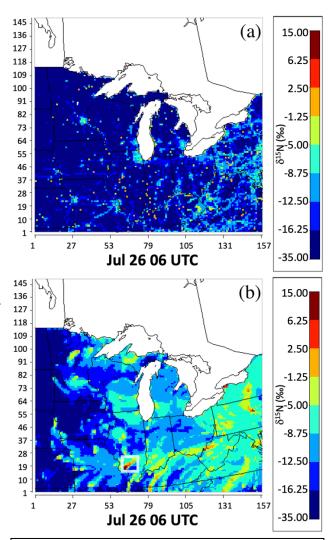


Figure 5: The  $\delta^{15}N$  values of  $NO_x$  emission, (a: "emission only" scenario) and the  $\delta^{15}N$  values of atmospheric  $NO_x$  based on NEI-2002 and 2016 meteorology (b: "emission + transport" scenario), at 06 UTC on July 26, are presented by color in each grid. The warmer the color, the higher  $\delta^{15}N$  values of atmospheric  $NO_x$ . The feature of the transport insides the white box is shown in Fig. 6.

only" scenario to -11.5% under the "emission + transport" scenario. Similarly, the  $NO_x$  emitted along major highways is transported to the surrounding grids, so that the atmospheric  $NO_x$  at the grids around the major highways becomes isotopically heavier relative to the "emission only" scenario. We define  $\Delta \delta^{15} N_{transport}$  as the  $\delta^{15} N$  difference between "emission only" and "emission +

transport" scenarios. An example of the  $\Delta\delta^{15}N_{transport}$  effect can be seen in grids encompassing a plume emanating from southern Illinois' Baldwin Energy Complex (marked with a transparent white box on Fig. 5b) that uses subbituminous coal and bituminous coal as its major energy source. The  $\Delta\delta^{15}N_{transport}$  in the regions is altered as a function of distance away from the EGU. In this time snapshot (06 UTC on Jul 26), the northeastwards propagating plume of  $NO_x$  emission from the EGU creates higher  $\delta^{15}NO_x$  over 135 km away (Fig. 6).

## 3.3 Seasonal variation in $\delta^{15}NO_x$

We next examine the temporal heterogeneity of  $\delta^{15}NO_x$  values over the domain for "emission only" and interpret

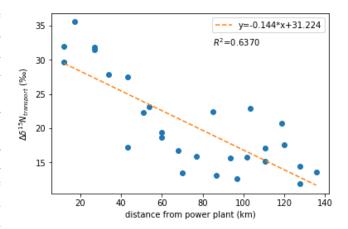


Figure 6: The  $\Delta\delta^{15}N_{transport}$  along the plume (colored in dark red to orange inside the white box on Fig. 5b) over the distance from the power plant Baldwin Energy Complex (located at southwestern border of Illinois).

them in terms of changes in  $NO_x$  emission fractions as a function of time. The predicted  $\delta^{15}$   $NO_x$  value for total emissions in the Midwest during each season shows a significant temporal variation (Fig. 7). The  $\delta^{15}NO_x$  ranged from -35% to 15%, with the annual average over the Midwest at -6.15%. The maps for different seasons show the obvious changes in  $\delta^{15}N$  values over western regions of the Midwest, going from -15 to -5% in the spring to -35 to -15% in the summer. In order to qualitatively analyze the changes in  $\delta^{15}NO_x$  among each season, the values over the grids (Fig. 7) were organized into the histograms (Fig. S6). The grids with  $\delta^{15}NO_x$  between -35% and -18% increase dramatically from less than 10% during fall (Oct-Dec) and winter (Jan-Mar) to more than 20% during spring (Apr-Jun) and summer (Jul-Sep). The grids with  $\delta^{15}NO_x$  between -18% and -2% decrease from around 90% during fall and winter to around 75% during spring and summer. The significant temporal variation in the  $\delta^{15}NO_x$  during different seasons can be quantitatively explained by changing fractions of  $NO_x$  emission from the biogenic source in any grid (Fig. S7) using Eq. (6). Unlike other  $NO_x$  emission sources, the fraction of  $NO_x$  emission from biogenic sources changes significantly among each season within the geographic domain, especially over the rural areas (Fig. S7).



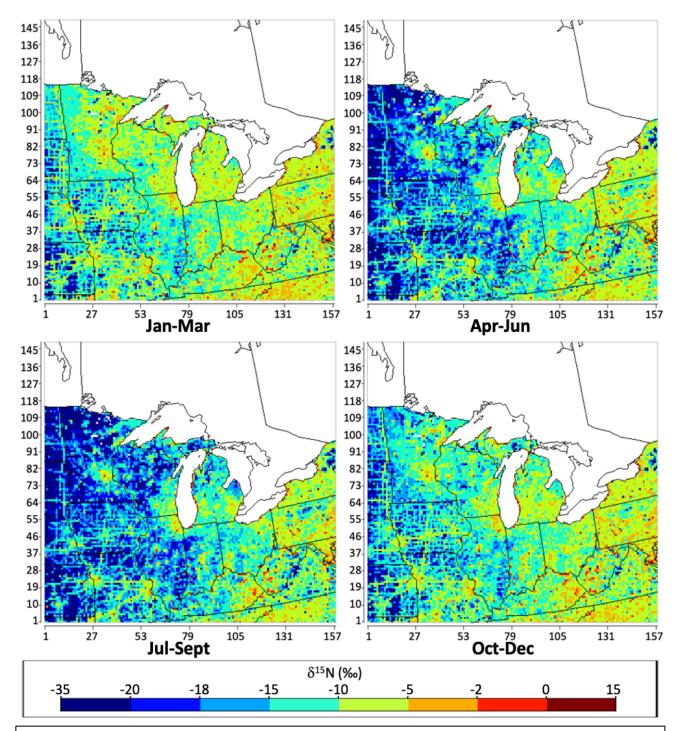


Figure 7: The geographical distribution of the  $\delta^{15}N$  value of total  $NO_x$  emissions in each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec) in per mil (‰) throughout the Midwest simulated by SMOKE, based on NEI-2002.

To qualitatively analyze the changes in the fraction of NO<sub>x</sub> emission from biogenic sources among each season, the distributions of the fractions among the same cut-offs as the maps on Fig. S7 were shown in the histograms (Fig. S8). In general, the distribution of the fraction shifts to higher values during spring (Apr-Jun) and summer (Jul-Sep), indicating the increase of biogenic emissions. During this period, the surface sunlight hours, temperature, and precipitation are relatively higher and as a result, the canopy coverage of the plants becomes higher, which leads to the increase of the NO<sub>x</sub> emission from biogenic sources (Pierce, 2001; Vukovich & Pierce, 2002; Schwede et al., 2005; Pouliot & Pierce, 2009; USEPA, 2018a). Besides this, the fertilizer application during this period is also increases soil NO<sub>x</sub> emissions (Li & Wang, 2008; Felix & Elliott, 2014). As a result, the distribution of  $\delta^{15}NO_x$  shifts to lower values during these periods (Fig. 7). The percentage of the grids with the fraction of biogenic emission less than 0.125 decreases dramatically from more than 50% during fall (Oct-Dec) and winter (Jan-Mar) to less than 35% during spring (Apr-Jun) and summer (Jul-Sep). As the NO<sub>x</sub> emission from biogenic source becomes dominant, the percentage of the grids with  $\delta^{15}NO_x$  between -35% and -18% increases, while the percentage of the grids with values between -18% and -2% decreases, which sufficiently explains the trends shown on Fig. 7.

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The temporal variation in atmospheric  $\delta^{15}NO_x$  is also controlled by the propagation of  $NO_x$ emissions, which varies seasonally. The temporal heterogeneity of atmospheric  $\delta^{15}NO_x$  under the "emission + transport" scenario is interpreted in terms of changes in the propagation of NO<sub>x</sub> emission as a function of time. The predicted seasonal average  $\delta^{15}NO_x$  in the Midwest shows significant variations (Fig. 8). On an annual basis, the "emission + transport" average  $\delta^{15}NO_x$  value was -6.10%, which is similar to the "emission only" average range, but the range (-19.2% to 11.6%) was narrower due to NO<sub>x</sub> transport and mixing. The maps for different seasons show the obvious changes in  $\delta^{15}N$  values over western regions of the Midwest, from -8.75 to -5% in fall and winter to -16.25 to -12.5\% in spring and summer. The spatial heterogeneity of the  $\delta^{15}NO_x$ under the "emission + transport" scenario (Fig. 8) was compared to that under the "emission only" scenario (Fig. 7). The difference was defined as  $\Delta\delta^{15}N_{transport}$  (Fig. S9) and had values ranged from -21.9% to 31.2%, with an average of 4.9%. The grids with  $\Delta\delta^{15}N_{transport}$  between -5% and 0% are the urban areas and decrease slightly from about 11% during fall (Oct-Dec) and winter (Jan-Mar) to 10% during spring (Apr-Jun) and summer (Jul-Sep). The grids with  $\Delta \delta^{15} N_{transport}$  between 0% and 5% are typically in the rural areas that are impacted by the urban NO<sub>x</sub> emissions and decrease dramatically from more than 50% during fall and winter to less than 40% during spring and summer. The grids with  $\Delta\delta^{15}N_{transport}$  greater than 5%, which are the rural areas obviously impacted by the urban NO<sub>x</sub> emission and increase dramatically from less than 40% during fall and winter to more than 50% during spring and summer. Therefore, the impacts from transport and mixing are more obvious during spring and summer (Fig. S10).

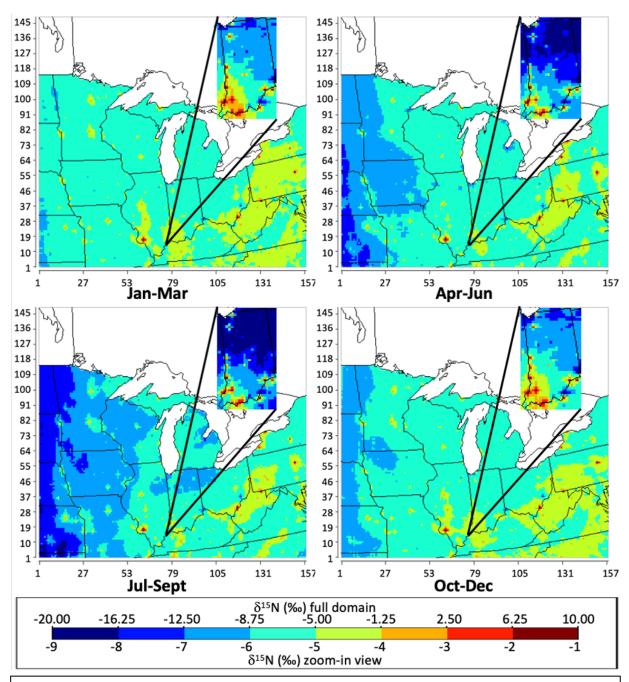


Figure 8: The geographical distribution of the  $\delta^{15}N$  value of atmospheric  $NO_x$  in each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec) in per mil (‰) throughout the Midwest (with zoom-in view focusing on Indiana) simulated by CMAQ, based on NEI-2002 and 2016 meteorology.

The PBL height is an effective indicator showing whether the pollutants are under synoptic conditions, which are favorable for the dispersion, mixing, and transport after being emitted into the atmosphere (Oke, 2002; Shu et al., 2017; Liao et al., 2018; Miao et al., 2019). Comparing the distributions  $\Delta\delta^{15}N_{transport}$  values (Fig. S9) with the corresponding PBL height (Fig. S11) for each season, the effects of PBL height on the propagation of the air mass are clearly shown. NO<sub>x</sub> emitted by power plants is much higher than the emission rates at the surrounding grids and is a hotspot that impacting the  $\delta^{15}N$  values at the surrounding grids. As PBL increases, the emitted NO<sub>x</sub> from power plant mixes more effectively with the surrounding grid, thus there are higher  $\delta^{15}NO_x$  values along the power plant plume transect. The PBL height changes significantly among each season within the geographic domain, especially over Minnesota, Wisconsin, and Iowa (Fig. S11). The

PBL height over these areas increases from less than 250 meters above the ground level to more than 625 meters above the ground level, during spring and summer, which creates a more favorable synoptic condition for the dispersion, mixing, and transport of the pollutants after being emitted into the atmosphere. As a result, the difference in  $\delta^{15}$ N values shifts to higher values, showing the stronger effect of atmospheric processes during spring and summer. In order to qualitatively analyze how PBL height affects the  $\delta^{15}NO_x$  along power plant plumes, the domain average PBL height for each month was plotted against  $\delta^{15}NO_x$  (Fig. 9a). The  $\delta^{15}N$  values along the power plants plumes and PBL heights over the domain have the same seasonal trend. Interestingly, the "turning point" of the  $\delta^{15}N$ values is about one month later than the "turning point" of the PBL heights. The scatter plot (Fig. 9b) shows a strong positive correlation ( $R^2=0.85$ ) between the domain average PBL height and average  $\delta^{15}N$  value along the power plants plumes. The positive correlation between PBL height and propagation of air mass, indicated by the evolution of atmospheric  $\delta^{15}NO_x$  in this study, agrees well with the corresponding measurement in megacities in China from the previous studies (Shu et al., 2017; Liu et al., 2018; Liao et al., 2018.

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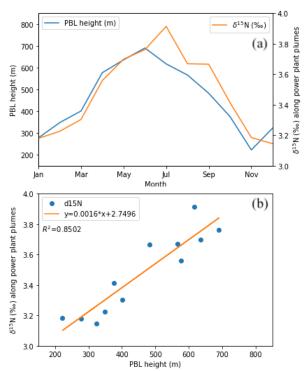


Figure 9: The time series plot (a) and the scatter plot (b) of the domain average PBL height (m) and the average  $\delta^{15}N$  (‰) value of atmospheric NO<sub>x</sub> along the plumes of power plants during each month throughout the Midwest simulated by CMAQ, based on NEI-2002 and 2016 meteorology.

## 3.4 The simulations based on different meteorology input datasets

The spatial heterogeneity of the  $\delta^{15}NO_x$  using 2016 meteorology input dataset was compared to that using 2002 meteorology (Fig. S13). Overall, the simulated  $\delta^{15}NO_x$  using 2002 meteorology has the similar geographic distribution and seasonal trend as the 2016 simulation. The difference was defined as  $\Delta\delta^{15}N_{2002-2016}$  (Fig. 10) and had values ranged between -1.25% and +1.25% over most of the grids. However, in the western part of the domain, where the biogenic  $NO_x$  emission

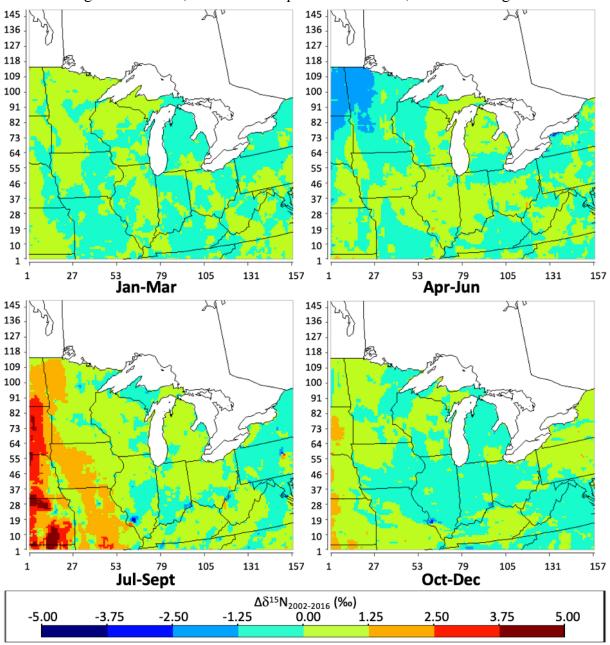


Figure 10: The difference between the  $\delta^{15}N$  (‰) value of atmospheric  $NO_x$  based on 2016 meteorology and 2002 meteorology ( $\Delta\delta^{15}N_{2002\text{-}2016}$ ) during each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec), throughout the Midwest simulated by CMAQ.

is dominant, the more positive  $\Delta \delta^{15} N_{2002-2016}$  values (up to 5%) during summer and fall occur. On the other hand, the more negative  $\Delta \delta^{15} N_{2002-2016}$  values (up to -5%) occur along the power plant plume during the same period. The spatial heterogeneity of  $\Delta \delta^{15} N_{2002-2016}$  indicates how climate change alters the  $\delta^{15} NO_x$ . If we have enough input datasets to generate and compare the seasonal/monthly  $\delta^{15}NO_x$  over the past 20+ years, the impacts of anomalies in each meteorology variables could be explored. For the current dataset, the similar comparison between the  $\delta^{15}NO_x$ and the corresponding PBL height was conducted for the simulation based on 2002 meteorology (Fig. S14) to show how PBL height changes the evolution of  $\delta^{15}NO_x$ . Under the 2002 meteorology, lower PBL height during the winter caused surface  $\delta^{15}NO_x$  values along the power plants' plumes to be lower relative to 2016 meteorology. On the other hand, due to the higher PBL height during the 2002 spring and summer the  $\delta^{15}N$  values decreased through July before ending with the relatively higher  $\delta^{15}N$  values in December. The scatter plot for the simulation based on 2002 meteorology (Fig. S14b) also shows a strong positive correlation between the domain average PBL height and average  $\delta^{15}N$  value along the power plants plumes, with R<sup>2</sup>=0.78. The videos of atmospheric  $\delta^{15}NO_x$  on an hourly basis throughout the years 2002 and 2016 are available on Zenodo.org (10.5281/zenodo.4311986).

#### 3.5 The simulation over the extracted domain

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Analysis of the whether there was difference between the extracted-domain simulation (Fig. 2) and full-domain simulation was conducted by defining  $\Delta\delta^{15}N_{\text{extracted-full}}$  and assessing the bias due to the motion of the air mass across the domain boundary (Fig. S17). The  $\Delta\delta^{15}N_{\text{extracted-full}}$  values ranged between -0.25‰ and +0.25‰ over most of the grids, showing the difference between extracted-domain simulation and full-domain simulation of  $\delta^{15}N$  values are usually trivial. However, near the southern border of the extracted domain  $\Delta\delta^{15}N_{\text{extracted-full}}$  values close to +0.75‰ (fall and winter) and close to +1.00‰ (spring and summer) suggesting extracted domain may be required for accurate  $\delta^{15}NO_x$  simulations

### 3.6 The role of enhanced NO<sub>x</sub> loss

The "emission + transport + enhanced NO<sub>x</sub> loss" simulations significantly alter the  $\delta^{15}NO_x$ relative to the "normal deposition" scenarios. Again, the enhanced NO<sub>x</sub> loss cases are removing NO<sub>x</sub> at rates similar to those by removal via its conversion into HNO<sub>3</sub>. Thus, the NO<sub>x</sub> deposited is  $\sim \delta^{15} NO_3^{-1}$  (assuming no photochemical isotope effects) and the  $\delta^{15}NO_x$  is that in the residual  $NO_x$ . The impact of enhanced NO<sub>x</sub> loss on the residual  $NO_x$  was assessed using  $\Delta \delta^{15} N_{hi-no}$ , the difference between the  $\delta^{15}NO_x$  values under the "enhanced NO<sub>x</sub> loss" and "no deposition" scenarios. The  $\Delta \delta^{15} N_{\text{hi-no}}$  range was  $\pm 4\%$  and was especially obvious downwind of the locations with large emission rates, such as power plants or megacities (Fig. 11). This can be explained in a similar

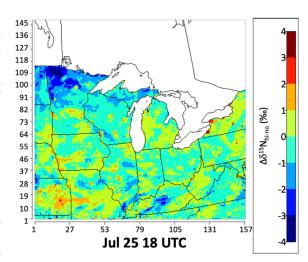


Figure 11. The  $\Delta\delta^{15}N_{\text{hi-no}}$  values at 18 UTC on July 25.

fashion to the "no deposition" scenarios (Fig. S18a), where the dispersion of the isotopically heavier NO<sub>x</sub> emission from big cities, major highways, and power plants elevated the δ<sup>15</sup>NO<sub>x</sub> values in rural areas and the dispersion of the isotopically lighter biogenic NO<sub>x</sub> emission lowered the  $\delta^{15}NO_x$  values in the surrounding grids located in the suburb of major cities (Fig. S18b). When "enhanced NO<sub>x</sub> loss" is used the transport, mixing, and dispersion of local NO<sub>x</sub> emissions are restricted to smaller geographical extent (Fig. S18b) leading to different δ<sup>15</sup>NO<sub>x</sub> values relative to no deposition. The temporal heterogeneity of  $\Delta\delta^{15}N_{hi-no}$  over the domain was examined and the impact of enhancing deposition rates of NO<sub>x</sub> on the  $\delta^{15}$ N of atmospheric NO<sub>x</sub> on a seasonal basis was explored (Fig. 12). The seasonal  $\Delta \delta^{15}$ N <sub>hi-no</sub> values range from -3.67% to 5.34%, with an average of 0.51%. The overall pattern of the  $\Delta \delta^{15} N_{hi-no}$  values shows that due to deposition, the atmospheric NO<sub>x</sub> became isotopically lighter over the majority of the grids since EGU and vehicle NO<sub>x</sub> is not being transported as far. Conversely, in grids that contain or surround power plants and big cities the  $\delta^{15}NO_x$  increases because it is not as effectively mixing with low  $\delta^{15}NO_x$  from nearby grids. The enhanced NO<sub>x</sub> loss simulation was used as a proxy to present the isotope effects associated with the "pseudo photochemical transformation" of NO<sub>x</sub> into NO<sub>y</sub>. The complete isotope effect of tropospheric photochemistry will be addressed in future work, which incorporates <sup>15</sup>N into the chemical mechanism of CMAQ for the simulation.

The  $\delta^{15}NO_x$  value of dry deposition (a proxy for  $\delta^{15}NO_3$ ) simulated by CMAQ show similar monthly variations and seasonal trends as SMOKE (Fig. S22). The ranges of  $\delta^{15}NO_x$  values within each month were narrower, compared to the simulation from SMOKE, with a minimum during February (-8.7~ -4.4%) and a maximum during August (-11.8~-4.2%). The seasonal trend shows low  $\delta^{15}NO_x$  values in deposition during summer, with the median around -7.4%, and slightly higher values during winter (median around -6.0%). Therefore, the CMAQ simulation inherits the monthly variations and seasonal trends from SMOKE, while the atmospheric  $NO_x$  becomes isotopically heavier, after taking atmospheric mixing and transport into account. As mentioned above, most of the NADP sites are located away from big cities and power plants. Thus, the atmospheric mixing and transport led to the isotopically heavier atmospheric  $NO_x$ .

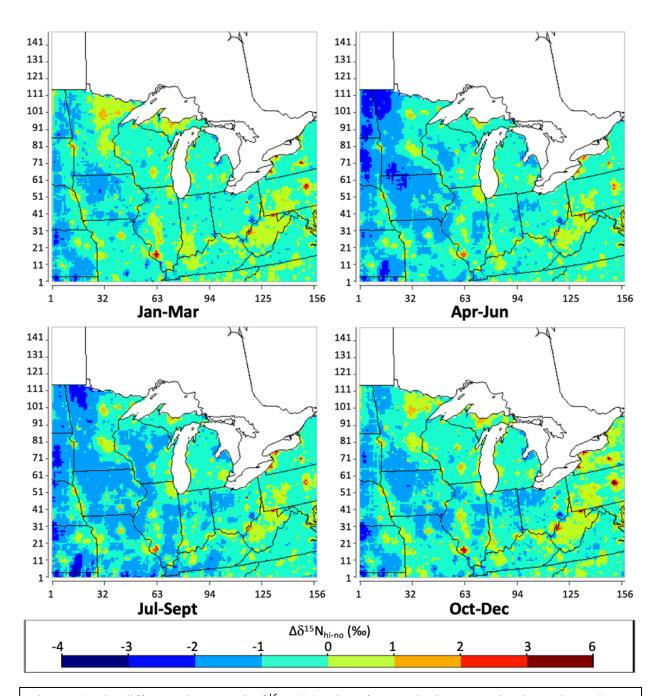


Figure 12: The difference between the  $\delta^{15}N$  (‰) value of atmospheric  $NO_x$  under the "enhanced  $NO_x$  loss" scenario and "no deposition" scenario ( $\Delta\delta^{15}N_{\text{hi-no}}$ ) during each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec), throughout the Midwest simulated by CMAQ, based on NEI-2002 and 2016 meteorology.

## 3.7 Model-observation comparison of $\delta^{15}NO_x$

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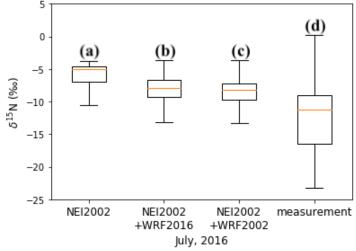
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In order to evaluate the SMOKE/CMAQ simulations of  $\delta^{15}NO_x$ , atmospheric they were compared to two recent studies of  $\delta^{15}NO_{x.}$  The first comparison was relative to rainwater measurements in West Lafayette, IN from July 9 to August 5, 2016 (Walters, Fang, & Michalski, 2018). The measured  $\delta^{15}NO_x$ values ranged from -33.8% to 0.2%, with the median of -11.2±8.02‰. Under the "emission + transport + enhanced NO<sub>x</sub> loss" scenario using meteorology, the simulated  $\delta^{15}NO_x$ mean  $(-7.9\pm2.19\%)$  was 3.3% less negative than the observations and the range (-15.9% to -3.7%) was about half that in the observations (Fig. 13, top, Table S7). The predicted  $\delta^{15}NO_x$  was similar regardless of whether 2016 or 2002 meteorology was used but were to the measured comparing to the "emission only" simulations (Fig. 13, top). It is not surprising that the measurements are more negative than the observations because the model does not account for isotope fractionation during conversion NO<sub>x</sub> into NO<sub>y</sub>. Our previous work has shown the photochemical isotope effect enriches NO<sub>v</sub> and depletes NO<sub>x</sub> (Fang et al., 2021; Walters and Michalski, 2015) and thus the lower measured  $\delta^{15}NO_x$  relative to model is consistent with this isotopic depletion.



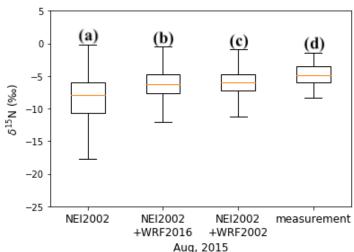


Figure 13: The  $\delta^{15} NO_x$  distributions at Lafayette, IN (top) and along Midwest highways (bottom), simulated by SMOKE (a), CMAQ based on 2016 (b) and 2002 meteorology (c), compare with the measured  $\delta^{15} NO_x$  (d) (box: lower quartile, median, upper quartile; whisker: lower extreme, upper extreme)

Our model simulations were also compared to on-road vehicle plume measurement along Midwest highways from August 8 to 18, 2015 (Miller et al., 2017). The boxplot also shows more accurate estimation of  $\delta^{15}N$  after considering the atmospheric mixing with the emission from surrounding grids (Fig. 13, bottom). Using the "emission only" scenario, the simulated  $\delta^{15}NO_x$  mean was about 3‰ more negative than the observations. The predicted  $\delta^{15}NO_x$  under the "emission + transport + enhanced  $NO_x$  loss" scenario for these samples along Midwest highways was closer to the measured values, compared to the "emission only" simulations, using no matter 2016 or 2002 meteorology. The modeled values are quite close to the observations suggesting the photochemical isotope effect is small for these samples. This is not surprising given they were collected on major

highways where NO<sub>x</sub> concentrations are high and the timescale between collection and emission is small and thus only a small fraction of emitted NO<sub>x</sub> would have been converted to NO<sub>y</sub> minimizing the photochemical isotope effect.

The 30 fold enhanced NO<sub>x</sub> loss (see methods) was used to simulate the  $\delta^{15}$ N value of NO<sub>3</sub><sup>-</sup> deposition ( $\delta^{15}NO_3^-$ ) that was then compared to observations (Fig. 14). As previously noted, rather than explicitly converting NO<sub>x</sub> into NO<sub>y</sub> via the chemical mechanism in CMAQ, which would require writing an isotope-enabled chemical scheme with appropriate rate constants, we amplified NO<sub>x</sub> deposition as a surrogate. This amplification reduced the NO<sub>x</sub> lifetime to about 1 day, thus by calculating the  $\delta^{15}NO_x$  in the deposition fraction, as opposed to residual  $NO_x$  in the atmosphere, we are approximating the  $\delta^{15}NO_3^-$  in deposition. The simulated  $\delta^{15}NO_3^-$  was compared to  $NO_3^$ collected at NADP sites within Indiana, Illinois, and Ohio in the year 2002 (Table S4). The NEI-2002 and WRF2002 were used for the SMOKE emission model and CMAQ simulations, respectively. The value in deposition was calculated by  $\delta^{15}NO_3 = \sum f_{NOxhr} \delta^{15}NO_{xhr}$ , where  $f_{NOxhr}$ is the hourly mole fraction of NO<sub>x</sub> isotopologue deposited ( $f_{NOxhr} = NO_{xhr}/NO_{xT}$ ) and  $\delta^{15}NO_{xhr}$  is the  $\delta^{15}N$  value of NO<sub>x</sub> in deposition. The total NO<sub>x</sub> deposited (NO<sub>xT</sub>) used to calculate  $f_{NOxhr}$  was the amount deposited 5 days prior to the sampling date since the NADP deposition collection integrate the week.

deposition simulated by CMAQ under the "emission + transport + enhanced NO<sub>x</sub> loss" scenario at each site were compared with the measurements of  $\delta^{15}N$  values of NO<sub>3</sub> from prior studies (Mase, 2010; Riha, 2013). While the scatter plot shows a moderate positive correlation between observed and simulated  $\delta^{15}NO_3^{-1}$ , the simulated value is consistently lighter than the sample  $\delta^{15}NO_3^-$ (Fig. 14, top). The magnitude of this negative bias varies among the NADP sites (Fig. S23) and is attributed to isotope fractionation during the conversion NO<sub>x</sub> into NO<sub>v</sub>, which enriches NO<sub>3</sub>-(Fang et al., 2021; Walters and Michalski, 2015). Globally this enrichment has been estimated at 3.9±1.8% (Song et al, 2021). But this enrichment is a function of NO<sub>x</sub>, VOC, and oxidant loading, as well

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(Fang et al., 2021) and is not

expected to be the same at each

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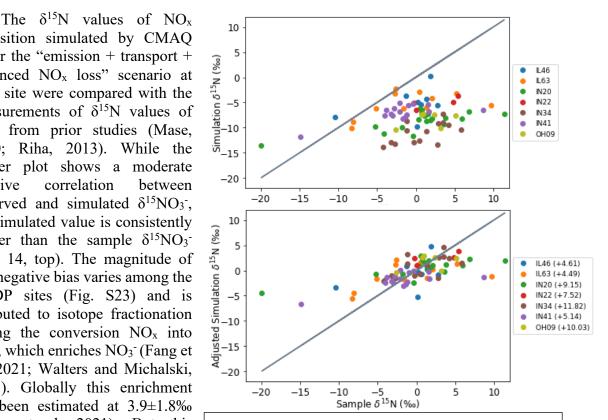


Figure 14: The emission + transport + enhanced NO<sub>x</sub> loss CMAQ predicted  $\delta^{15}$ N value of NO<sub>x</sub> deposition using NEI-2002 and 2002 meteorology compared to the measured  $\delta^{15}$ N of rain NO<sub>3</sub><sup>-</sup> at NADP sites within IN, IL, and OH. The photochemical isotope enrichment factor (‰) correction used for each site is noted in the legend.

NADP site. After adjusting the simulated  $\delta^{15}N$  by raising the values by the average of the difference between sample  $\delta^{15}N$  and simulated  $\delta^{15}N$  for each site, the scatter plots of sample  $\delta^{15}N$  vs. simulated  $\delta^{15}N$  well fit into the one-to-one line (Fig. 14, bottom). The complete  $^{15}N$  incorporated chemical mechanisms will be explored in future study.

## 4. Conclusion

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The evolution of  $\delta^{15}$ N values along the "journey" of atmospheric NO<sub>x</sub> were traced, using our <sup>15</sup>N incorporated SMOKE and CMAQ. The  $\delta^{15}NO_x$  under the "emission only" scenario was simulated by SMOKE, using the NO<sub>x</sub> emissions from NEI emission sectors and the corresponding  $\delta^{15}$ N values from previous research. The SMOKE simulation indicates that the NO<sub>x</sub> emission from biogenic sources is the key driver for the variation of  $\delta^{15}N$ , especially among the Midwestern NADP sites. The uncertainties in the  $\delta^{15}NO_x$  emission are less than 5% over the majority of the grids within the Midwest, which were well below the difference among the assigned  $\delta^{15}NO_x$  values for different NO<sub>x</sub> emission sources (Fig. S24). The  $\delta^{15}$ NO<sub>x</sub> under the "emission + transport" scenario was simulated by CMAQ, using the <sup>15</sup>N incorporated emission input dataset generated from SMOKE, as well as the meteorology input dataset generated from WRF and MCIP. The CMAQ simulation indicates that the PBL height is the key driver for the mixture of anthropogenic and natural NO<sub>x</sub> emission, which deepens the gap between  $\delta^{15}$ N of atmospheric NO<sub>x</sub> and NO<sub>x</sub> emission. The  $\delta^{15}NO_x$  under the "emission + transport + enhanced  $NO_x$  loss" scenario was simulated by enhancing NO<sub>x</sub> deposition in CMAQ simulation, to show how "lifetime chemistry" alters  $\delta^{15}NO_x$  values before it can be transported along significant distances, assuming no isotope fractionation during chemical conversion or deposition.

The simulations under "emission only" scenario and "emission + transport + enhanced NO<sub>x</sub> loss" scenario were compared to the measurements in West Lafayette, Indiana. The simulated  $\delta^{15}N$ agreed well with the seasonal trend and monthly variation. The simulated  $\delta^{15}NO_x$  under the "emission only" scenario was less negative than the corresponding measurements in West Lafayette, IN, taken from July to August 2016. Thus, if we only consider the effects from NO<sub>x</sub> emission sources, the emission from soil, livestock waste, off-road vehicles, and natural gas power plant in West Lafayette, IN are possible to be underestimated, and the emission from the on-road vehicle and coal-fired power plant in West Lafayette, IN are possibly overestimated. The simulated δ<sup>15</sup>NO<sub>x</sub> under the "emission + transport + enhanced NO<sub>x</sub> loss" scenario was about 3‰ closer to the corresponding measurements in West Lafayette, IN, comparing to the "emission only" simulations. The simulations under "emission + transport + enhanced NO<sub>x</sub> loss" scenario was also compared to the measurements of  $\delta^{15}NO_3$  from NADP sites within Indiana, Illinois, Ohio, and Kentucky. The sample-by-sample comparison shows a moderate positive correlation between observed and simulated  $\delta^{15}NO_3$ , with negative bias varies among the NADP sites. This bias is attributed to isotope fractionation during the conversion NO<sub>x</sub> into NO<sub>y</sub>, affected by different NO<sub>x</sub>, VOC, and oxidant loading, as well as temperature, and photolysis rate at each NADP site. Therefore, the future work is to explore how tropospheric photochemistry alters  $\delta^{15}NO_x$  by incorporating <sup>15</sup>N into the chemical mechanism of CMAO and comparing the simulation with the corresponding measurements. With the validation of our nitrogen isotopes incorporated CMAQ, the NO<sub>x</sub> emission inventories could be effectively evaluated and improved.

**Data availability:** The source code for SMOKE version 4.6 is available at <a href="https://github.com/CEMPD/SMOKE/releases/tag/SMOKEv46\_Sep2018">https://github.com/CEMPD/SMOKE/releases/tag/SMOKEv46\_Sep2018</a>. The source code for CMAQ version 5.2.1 is available at <a href="https://github.com/USEPA/CMAQ/tree/5.2.1">https://github.com/USEPA/CMAQ/tree/5.2.1</a>. The in-detail simulation results for  $\delta^{15}$ N of NO<sub>x</sub> emission based on 2002 and 2016 versions of National Emission Inventory and the associated python codes are achieved on Zenodo.org (10.5281/zenodo.4048992). The input datasets for WRF simulation are available at <a href="https://www.ncei.noaa.gov/data/">https://www.ncei.noaa.gov/data/</a>. The indetail simulation results for  $\delta^{15}$ N of atmospheric NO<sub>x</sub> under all scenarios discussed in this paper and the CMAQ-based c-shell script for generating BCON for extracted domain simulation are achieved on Zenodo.org (10.5281/zenodo.4311986).

**Author contributions:** Huan Fang and Greg Michalski were the investigator for the project and organized the tasks. Huan Fang develop the model codes, performed the simulation to incorporate  $^{15}N$  into SMOKE outputs and generated  $\delta^{15}N$  values and reconstruct CMAQ by incorporating  $^{15}N$ , and performed the simulation to generate  $\delta^{15}N$  values. Greg Michalski helped Huan Fang in interpreting the results. Huan Fang prepared the manuscript with contributions from all co-authors.

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