- 1 Assessing the roles emission sources and atmospheric processes play in simulating 2 δ^{15} N of atmospheric NO_x and NO₃⁻ using CMAQ (version 5.2.1) and SMOKE 3 (version 4.6).
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- 14 Correspondence: Huan Fang, <u>fang63@purdue.edu</u>15
- 16 Keywords: isotope, nitrogen, atmospheric NOx, atmospheric nitrate, NOx emission sources,
- 17 emission inventory, emission input dataset, atmospheric processes, disperse, mixing, transport,
- 18 chemical transport model, 3D CTM, NEI, SMOKE, CMAQ
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18	corresponding measurements in West Lafayette, Indiana, USA. The simulations under "emission	
19	<u>+ transport + enhanced NO_x loss" scenario was also compared to δ^{15}N of NO₅ in NADP (National</u>	
20	Atmospheric Deposition Program) sites. The results indicate the potential underestimation of	
21	emissions from soil, livestock waste, off-road vehicles, and natural gas power plants and the	
22	potential overestimation of emissions from on-road vehicles and coal-fired power plants, if only	
23	considering the difference in NOx isotopic composition for different emission sources. After	
24	considering the mixing, dispersion, transport, and deposition of NO_x emission from different	
25	sources, the estimation of atmospheric $\delta^{15}N(NO_x)$ shows better agreement (by ~3‰) with	
26	observations,	
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1. Introduction

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2 3 <u>Nitrogen oxides (NO_x = NO + NO₂)</u> are important trace gases that affect atmospheric 4 chemistry, air quality, and climate. The main sources of tropospheric NOx are anthropogenic 5 6 emissions from vehicles, power plants, agriculture, livestock waste, as well as natural emissions from lightning and the by-product of nitrification and denitrification occurring in soil (Galloway, 7 et al., 2004). The NO_x photochemical cycle generates OH and HO₂ radicals, organic peroxy 8 radicals (RO₂), and ozone (O₃), which ultimately oxidize NO_x into NO_y (NO_y = NO_x + HONO + 9 $HNO_3 + HNO_4 + N_2O_5$ + other N oxides). During the photochemical processes that <u>converts</u> NO_x 10 to NO_{y} , ground-level concentrations of O_3 become elevated and secondary particles are generated 11 (Pandis and Sienfeld, 2003). Secondary aerosols that are hazardous to human health (Lighty et al., 12 2000) and affect cloud physics, enhancing the reflection of solar radiation (Schwartz, 1996). Thus, 13 the importance of NO_x in air quality, climate, and human and environmental health makes 14 understanding the spatial and temporal variation in the sources of NO_x a vital scientific question. 15 Despite years of research, however, there are still several significant uncertainties in the NOx 16 budget. About 15<u>-40</u>% of global NO_x emissions, ranging from <u>4</u> to <u>15</u> Tg N yr⁻¹, is derived from 17 global soil NOx emissions yet evaluating and verifying emission rates using laboratory, field 18 measurements, and satellite observations is still a challenge (Jaeglé et al., 2005; Yan et al., 2005; Stehfest and Bouwman, 2006; Vinken et al., 2014; Rasool et al., 2016). Soil NOx emissions vary 19 by different biome types, meteorological conditions, N fertilizer application, and soil 20 21 physicochemical properties (Ludwig et al., 2001). Furthermore, the role of vegetation, acting as a net source of atmospheric NOx when ambient NOx concentration is below the "compensation 22 23 point", versus acting as a net sink of atmospheric NOx when ambient NOx concentrations are above 24 it (Johansson, 1987; Thoene, Rennenberg & Weber, 1996; Slovik et al., 1996; Webber & 25 Rennenberg, 1996). This significantly impacts the biotic NO_x emission inventory (Almaraz et al., 26 2018). Uncertainties also exist in the amount of NO_x emitted during the combustion of fossil fuels 27 by vehicles and industry. According to Parrish (2006), the estimation of on-road vehicle NOx 28 emission has at least 10 to 15% uncertainty. For the mileage-based algorithm, which is used in the 29 National Emission Inventory (NEI), the uncertainty is caused by the limited number of sites to 30 determine the emission factors of vehicle classifications and emission types (Ingalls, 1989; Pierson 31 et al., 1990; Fujita et al., 1992; Pierson et al., 1996; Singer and Harley, 1996). The uncertainty in 32 power plant NO_x emissions results from the choice of emission control technologies, of which the 33 removal efficiencies of NOx emission are different. NOx removal by low NOx burning, over-fire 34 air reduction, and selective non-catalytic reduction is highly variable, ranging from 50 to 75% 35 (Srivastava et al., 2005). 36 The nitrogen stable isotope composition of NO_x might be a useful tool to help resolve the 37 uncertainties of how NO_x emission sources vary in space and time because NO_x sources have 38 distinctive ¹⁵N/¹⁴N ratios (Ammann et al., 1999; Felix et al., 2012; Felix and Elliott, 2013; Fibiger 39 et al., 2014; Heaton, 1987; Hoering, 1957; Miller et al., 2017; Walters et al., 2015a, 2015b, 2018). This variability in NO_x ¹⁵N/¹⁴N ratios is quantified by 40 41 $\frac{\delta^{15} \text{NO}_x}{\delta^{15} \text{NO}_x} (\%) = \left[\frac{(^{15} \text{NO}_x)^{14} \text{NO}_x}{(^{15} \text{NO}_x)^{14} \text{NO}_x} \right] \times 1000$ 42 Eq. (1) 43

where ${}^{15}NO_x/{}^{14}NO_x$ is the measurement of ${}^{15}_vN_{\mu}/{}^{14}N$ in atmospheric NO_x, compared with the ratios 44 45 in air N₂ = 0.0036 (For brevity, the δ^{15} N value of any NO_y compound will be denoted as δ^{15} NO_y: 46 e.g. $\delta^{15}NO_3$). Previous research has shown that there are unique differences in $\delta^{15}N$ values for

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and can take several weeks for the emission rate to drop to pre-fertilizer levels

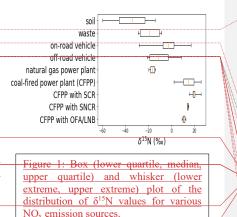
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NOx from different emission sources and significant 1 2 variations within each source (Fig. 1). This 3 uniqueness can potentially be used to partition the 4 relative importance of various NOx sources in a 5 mixed atmosphere. For example, Redling et al. 6 (2003) found higher $\delta^{15}N$ of NO₂ in samples 7 collected closer to the highway compared to those 8 adjacent to a forest, showing the emissions from 9 vehicles were dominant near the highway. A strong 10 positive correlation between $\delta^{15}NO_3$ and NO_x 11 emission from coal-fired power plants within 400 km radial area of study sites of deposition suggest 12 13 local power plant NO_x emissions impacted regional 14 NO_x budgets (Elliott et al., 2007; 2009). What is 15 lacking is a systematic way of evaluating $\delta^{15}NO_{y}$ 16 values in numerous studies in the context of NO_x 17 sources, regional emissions, meteorology, and



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Here we have simulated the emission of ¹⁵NO_x and its mixing in the atmosphere and compared 21 the predicted $\delta^{15}N_{(NO_x, NO_3)}$ values to observations. The $\delta^{15}NO_x$ values are impacted by three 22 main factors. The first is the inherent variability of the $\delta^{15}NO_x$ emissions in time and space. 23 24 Secondly, atmospheric processes that mix the emitted NOx, dispersing multiple emission sources within a mixing lifetime relative to the NO_x chemical lifetime (2-7 hours), which depends on its 25 26 concentration and photooxidation chemistry, that also vary in time and by location (Laughner & 27 Cohen, 2019). And thirdly, isotope effects occurring during tropospheric photochemistry may alter 28 the $\delta^{15}NO_x$ emissions as they are transformed from NO_x into NO_y. In this paper, we consider the 29 effects from the first and second considerations, the temporal and spatial variation in NOx emission 30 and the impacts from atmospheric transport and deposition processes (source and mixing 31 hypothesis). We accomplish this by incorporating an input dataset of ¹⁵N emissions used in simulations by the CMAQ (Community Multiscale Air Quality) modeling system. In a previous 32 33 paper, we have discuss the impacts of tropospheric photochemistry by incorporating a ¹⁵N chemical mechanism (Fang et al., 2021) into CMAQ. The ultimate goal is to evaluate the accuracy of the 34 NO_x emission inventory using ¹⁵N. 35 36

atmospheric chemistry (Elliott et al., 2009; Garten, 1992; Hall et al., 2016; Occhipinti, 2008;

37 2. Methodology

Russell et al., 1998).

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38 2.1 Incorporating ¹⁵N into NO_x emission datasets

The EPA trace <u>pollutant</u> emission model SMOKE (Sparse Matrix Operator Kernel Emissions) was used to simulate ¹⁴NO_x and ¹⁵NO_x emissions. ¹⁴NO_x emissions were estimated using the SMOKE model based on the 2002 NEI (National Emission Inventory, USEPA, 2014), and ¹⁵N emissions were determined using these ¹⁴NO_x emissions and the corresponding δ^{15} N values of NO_x sources from previous research (Table 1). Using the definition of δ^{15} N (‰), ¹⁵NO_x emitted by each SMOKE processing category (area, biogenic, mobile, and point) was calculated by

$$(i) = {}^{14}NO_x(i) \times {}^{15}R_{NO_x}(i)$$

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where ${}^{14}NO_x(i)$ are the NO_x emissions for each category (i) obtained from NEI and SMOKE and 15 R_{NOxi} is a 15 N emission factor (15 NO_{xi}/ 14 NO_{xi}) calculated by rearranging Eq. 1:

$${}^{15}R_{NO_{x}}(i) = \left(\frac{{}^{\delta^{15}NO_{x}(i)}}{1000} + 1\right) \times 0.0036$$

4 δ^{15} NO_{x(i)} is the δ^{15} N value of some NO_x source (*i* = area, biogenic, mobile, and point). 5 6

Annual NO_x emissions for 2002 were obtained from the NEI at the county-level and were converted into hourly emissions on a 12 km x 12 km grid as previously published (Spak, Holloway,

8 & Stone, 2007). The modeling domain includes 145-15. latitudes between 37 ° N and 45 ° N, and 136 9 longitudes between 98° W and 78° W, which 10 fully covers the Midwestern US (Fig. 2, in 11 yellow). SMOKE categorizes NO_x emissions 12 13 into four "processing categories": Biogenic, 14 Mobile, Point, and Area (Table 1). The choice 15 of the 2002 version of NEI is, in part, arbitrary. However, to compare the model predicted $\delta^{15}N$ 16 17 values with observations, it requires the emission inventory to be relevant to the same 18 timeframe as the $\delta^{15}N$ measurements of the 19 20 NOy. The data sets we compare to the model 21 (discussed below) span from 2002 to 2009, thus 22 the 2002 inventory is more relevant than later 23 inventories (2014 onward). The county-level 24 annual ¹⁴NO_x emission for the Midwestern US from NEI was converted to the dataset with 25 hourly ¹⁴NO_x emissions. 26 27

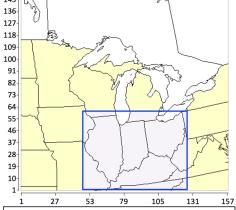


Figure 2: The full geographic domain (yellow) and extracted domain (light grayish purple) for the study.

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Eq. (3)

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	T		
SMOKE Category	NEI Sector	δ^{15} N-NO _x (‰) range	δ^{15} N-NO _x (‰) this study
Biogenic	Soil	-59.8 ~ -14.0	-34.3 (Felix & Elliott, 2014)
	Livestock Waste	-29 ~ -8.5	-18.8 (Felix & Elliott, 2014)
Area	Off-road Gasoline		-11.5 (Walters et al., 2015b)
	Off-road Diesel	-21.1 ~ 8.5	-10.5 (Walters et al., 2015b)
Mobile	On-road Gasoline	-28.1 ~ 17	-2.7 (Walters et al., 2015b)
Mobile	On-road Diesel	-28.1~17	-2.5 (Walters et al., 2015b)
Deint	Coal-fired Fossil Fuel Combustion	-19.7 ~ 25.6	15 (Felix et al., 2012)
Point	Natural Gas Fossil Fuel Combustion		-16.5 (Walters et al., 2015)

Table 1: The δ¹⁵N values (in ‰) for NO_x emission sources based on SMOKE processing category and NEI sector

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2.1.1 Biogenic ¹⁵NO_x emissions

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The NO_x emission from the soil (Biogenic) was modeled in SMOKE using standard techniques (details in SA) and the δ^{15} N values of biogenic NO_x were taken from previous studies. Li & Wang (2008) measured the <u>NO</u> fluxes using dynamic flow chambers for 2 to 13 days after cropland soil was fertilized by either urea (n=9) or ammonium bicarbonate (n=9), and the δ^{15} NO_x / ranged from -48.9‰ to -19.8‰. Felix & Elliott (2014) used passive samplers to collect NO₂ in a cornfield for 20 days, with low (-30.8‰) and high (-26.5‰) fertilizer application. Using active samplers, Miller et al. (2018) collected NO₂ between May and June finding δ^{15} N ranging from -44.2‰ to -14.0‰ (n=37); Yu & Elliott (2017) measured -59.8‰ to -23.4‰ in 15 samples from soil plots in a fallow field 2 weeks after the precipitation. Based on these studies we adopted an average δ^{15} N value for NO_x emissions from the soil of -34.3‰ (Li & Wang, 2008; Felix & Elliott, 2014; Yu & Elliott, 2017; Miller et al., 2018).

2.1.2 Mobile ¹⁵NO_x emissions

15 The SMOKE NO_x emission from on-road vehicles used standard methods (details in SA) and 16 used $\delta^{15}N$ values from prior studies. We have excluded studies that infer $\delta^{15}NO_x$ by measuring 17 plant proxies or passive sampling in the environment (Ammann et al., 1999; Pearson et al., 2000; Savard et al. 2009; Redling et al., 2013; Felix & Elliott, 2014). This is because equilibrium and 18 19 kinetic isotope effects occur as NOx reacts in the atmosphere to form NOy, prior to NOx deposition. 20 In addition, the role vegetation plays in NO_x removal and atmospheric processes that mix emitted 21 <u>NOx</u> with the surroundings can also alter the $\delta^{15}NO_x$. Instead, we estimated the $\delta^{15}NO_x$ emissions 22 from vehicles only using studies that directly measured tailpipe NO_x emissions. Moore (1977) and 23 Heaton (1990) collected tailpipe NOx spanning -13‰ to 2‰, with an average of -7.5±4.7‰. Neither Heaton nor Moore noted whether these 6 vehicles were equipped with any catalytic NO_x 24 25 reduction technology, but it is unlikely since the late 1970 and 80's vehicles were seldomly equipped with catalytic NO_x reduction technology. Fibiger (2014) measured 5 samples of NO_x 26 27 from diesel engines without SCR emitted into a smog chamber, the δ^{15} N values range from -19.2‰ 28 to -16.7‰ (±0.97‰). The most comprehensive studies on vehicle NO_x δ^{15} N values are by Walters 29 et al. (2015a, 2015b) who measured gas and diesel vehicles separately, including those with and 30 without three-way catalytic converter (TCC) and SCR technology. They also measured on-road 31 and off-road vehicles separately. The measurements showed that the $\frac{\delta^{15}NO_x}{\delta^{15}NO_x}$ emitted by <u>on-road</u> diesel vehicles ranged from -5‰ to 0‰, so the average -2.5‰ was adopted. The $\delta^{15}NO_x$ values 32 33 emitted by on-road gasoline vehicles is a function of vehicle travel times, ranged from -6.3‰ to 34 1.8‰ with the average -2.7±0.8‰ for the Midwest region. This value is close to the measurements 35 (-8‰ to -1‰, averaged -4.7±1.7‰) of Miller et al. (2017) who collected NO_x along highways in 36 Pennsylvania and Ohio.

The emission rate of ${}^{15}NO_x$ from the mobile source was determined by Eq. 4 grid by grid, according to the contributions from on-road gasoline vehicles and on-road diesel vehicles, as well as their corresponding $\delta^{15}N$ values. NO_x emissions from off-road vehicles are regarded as area sources in SMOKE, which were processed over each county. In contrast, NO_x emissions from onroad vehicles are regarded as the mobile source in SMOKE, which will be processed along each highway. The $\delta^{15}N$ of on-road gasoline vehicles was based on the average of the vehicle travel time (t) within each region with the same zip code (Walters et al., 2015b).

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$${}^{15}NO_x (mobile) = \left(\frac{{}^{6^{15}NO_x (on-road gas)}}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x (on-road gas)$$

45 $+ \left(\frac{{}^{6^{15}NO_x (on-road diesel)}}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x (on-road diesel)$ Eq. (4)

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Where $\delta^{15}NO_{x(on-road gas)} = -12.35 + 3.02 \times \ln(t + 0.455)$

2.1.3 Point source ¹⁵NO_x emissions

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4 NO_x point sources are large anthropogenic NO_x emitters located at a fixed position such as 5 EGUs (electric generating units). Fugitive dust does not significantly contribute to point NO_x 6 emissions, so our inventory focused only on power plants (Houyoux, 2005). Power plants were 7 separated into two different types: EGU and Non-EGU (e.g. commercial and industrial 8 combustions). The δ^{15} N value of NO_x emitted from power plants have been estimated to vary from 9 -19.7‰ to 25.6‰ (Heaton, 1987; Heaton, 1990; Snape, 2003; Felix et al., 2012; Walters et al., 10 2015b). We have ignored studies that measured $\delta^{15}NO_3^{-1}$ or $\delta^{15}HNO_3$ from EGUs (Felix et al., 2015, 11 Savard et al., 2017) and instead, only consider those studies that directly measured $\delta^{15}NO_x$ from stacks. Heaton (1990) collected 5 samples from the different coal-fired power stations finding NO_x 12 13 from 6‰ to 13‰, with a standard deviation of 2.9‰. Snape (2003) measured δ^{15} N values from 14 power plants using three different types of coals values ranging from 2.1‰ to 7.2‰, with a 15 standard deviation of 1.37% (n= 36). The most comprehensive study on coal-fired power plants NO_x values was by Felix et al. (2012). They measured the δ^{15} NO_x emission from the coal-fired 16 17 power stations with and without different emission control technologies. The δ^{15} NO_x emissions 18 range from 9‰ to 25.6‰, with the average 14.2±4.51‰ (n=42). The $\delta^{15}NO_x$ values varied when 19 different emission control technologies were used; ranging from 15.5% to 25.6% with the average 20 <u>19.4±2.28‰ (n=16) for SCR; ranging from 13.6‰ to 15.1‰ with the average 14.2±0.79‰ (n=3)</u> 21 for SNCR; range from 9.0% to 12.6% with the average 10.7±1.11% (n=15) for OFA/LNB; range 22 from 9.6‰ to 11.7‰ with the average 10.5±0.79‰ (n=8) for no emission control technology According to Xing et al. (2013), about half of the coal-fired power plants in the United States are 23 24 equipped with SCR. Thus, we assume 15‰ for the NO_x emissions from coal-fired power plants, 25 which is the average between SCR and other emission control technologies.

26 The most comprehensive study on natural gas-fired $\frac{\delta^{15}NO_x}{\delta^{15}NO_x}$ values (Walters et al. 2015) 27 collected NOx from a residential a natural gas low-NOx furnace and the stack of a natural gas EGU 28 The measurement showed that the $\delta^{15}N$ values of NO_x emitted by natural gas power plants ranged 29 that average -16.5±1.7‰, which we used for the NOx emission from natural gas power plants. The latitude, longitude, and point sources characteristics (EGU and non-EGU, coal-fired or natural gas-30 31 fired, implementation of emission control technology) of each power plant was obtained from the 32 US Energy Information Administration (2017). The power plants were assigned grids by their 33 latitudes and longitudes, and the δ^{15} N values were assigned to these grids based on their emission 34 characteristics, before determining the emission rate of ¹⁵NO_x from point source using Eq. (2) and 35 (3). 36

37 2.1.4 Area source ¹⁵NO_x emissions

38 Area NO_x (details in SA) δ^{15} N values were based on the assumption that livestock waste and 39 off-road vehicles (utility vehicles for agricultural and residential purposes) accounted for total area 40 sources. Livestock waste δ^{15} NO_x values were taken from Felix & Elliott (2014) since it is currently the only study livestock waste emissions. They placed a passive sampler with ventilation fans in 41 42 an open-air and closed room in barns of cows and turkeys, respectively. The δ^{15} NO_x emissions from these measurements range from -29% to -8.5%. Among these samples, the $\delta^{15}NO_x$ emissions 43 44 from turkey waste <u>averaged</u> -8.5‰, the $\delta^{15}NO_x$ emissions from cow waste <u>averaged</u> -24.7‰. We 45 used -18.8% as the values of $\delta^{15}NO_x$ emissions from livestock waste, which is the weighted 46 average of the from turkey waste and cow waste emissions. We used Walters et al. (2015b) to

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Deleted: , stationary ... position such as EGUs (electric generating units). Fugitive dust does not significantly contribute to point NOx emissions, so our inventory focused only on power plants (Houyoux, 2005). Power plants were separated into two different types: EGU and Non-EGU (e.g. commercial and industrial combustions). The $\delta^{15}N$ value of NOx emitted from power plants have been estimated to vary from -19.7 ... to 25.6 ... (Heaton, 1987; Heaton, 1990; Snape, 2003; Felix et al., 2012; Felix et al., 2015; ...alters et al., 2015b; Savard et al., 2017)..... We have ignored studies that measured $\delta^{15}N$ of $NO_3...1^5NO_3^-$ or $HNO_3...1^5HNO_3$ from EGUs (Felix et al., 2015, Savard et al., 2017) and instead, only consider those studies that directly measured δ^{15} N of NO_x..., ¹⁵NO_x from stacks. Heaton (1990) collected 5 samples from the different coal-fired power stations finding NOx from 6 ... to 13 ..., with a standard deviation of 2.9. Snape (2003) measured $\delta^{15}N$ values of 36 samples ...rom power plants using three different types of coals in combustion chars in a drop tube reactor, with ... alues ranging from 2.1 ... to 7.2 ..., with a standard deviation of 1.37 %.... (n= 36). The most comprehensive study on coalfired power plants'...lants NO_x values was by Felix et al. (2012). They measured the δ^{15} N values of NO_x...¹⁵NO_x emission from the coal-fired power stations with and without different emission control technologies. 16 coal-fired power plants with SCR, 3 coal-fired power plants with SNCR, 15 coal-fired power plants with OFA/LNB, and 8 coal-fired power plants without emission control technology were measured. ...he $\delta^{15}N$ values of NO_x...¹⁵NO_x emissions from these 42 measurements ...ange from 9 ... to 25.6 ..., with a standard deviation of ... he average 14.2±4.51 ‰.... (n=42). The NO_x δ^{15} N...¹⁵NO_x values varied when different (... [9])

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 measured the δ¹⁵NO_x specifically from <u>off-road</u> vehicles that ranged from -15.6‰ to -6.2‰,
 <u>averaged -11.5±2.7‰</u>. The measurement showed that the δ¹⁵N values of NO_x emitted by diesel
 off-road vehicles without SCR ranged from -21.1‰ to -16.8‰, averaged -19‰±2‰, and diesel powered off-road vehicles with SCR ranged from -9‰ to 8.5‰, averaged -2‰±8‰. We adopted
 -10.5‰ for δ¹⁵N values of NO_x emitted by diesel-powered off-road vehicle, which is the median
 between the measurement of vehicles with and without SCR,
 The emission rate of ¹⁵NO_x from area source was determined by Eq. 5 grid by grid, according

⁸ The emission rate of $-NO_x$ from area source was determined by Eq. 5 grid by grid, according 9 to the contributions from waste, off-road gasoline vehicle, and off-road diesel vehicle, as well as 10 their corresponding $\delta^{15}N$ values based on previous <u>research</u>.

$$11 \qquad {}^{15}NO_{x} (area) = \sqrt{\frac{\delta^{15}NO_{x} (waste)}{1000} + 1} \times 0.0036 \times {}^{14}NO_{x} (waste)$$

$$12 \qquad + \sqrt{\frac{\delta^{15}NO_{x} (off-road gas)}{1000} + 1} \times 0.0036 \times {}^{14}NO_{x} (off-road gas)$$

$$13 \qquad + \sqrt{\frac{\delta^{15}NO_{x} (off-road diesel)}{1000} + 1} \times 0.0036 \times {}^{14}NO_{x} (off-road diesel) \quad \text{Eq. (5)}$$

The ${}^{15}NO_x$ emission data files of each SMOKE processing category were incorporated into the final dataset based on the $\delta^{15}N$ values from previous research (Table 1) and Eq. (2-5).

$$\delta_{x \text{ (total)}}^{15} = \left(\frac{\sum_{x \text{ (total)}}^{15} NO_x (area) + 15} NO_x (biog) + 15} NO_x (mobile) + 15} NO_x (point)}{0.0036} - 1\right) \times 1000$$
Eq. (6)

22 2.2 Simulating atmospheric $\delta^{15}NO_x$ in CMAQ

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23 In order to investigate the role of mixing in the spatiotemporal distribution of δ^{15} NO_x values, 24 CMAQ was used to simulate the meteorological transport effects (advection, eddy diffusion, etc.). 25 In this "emission + transport" scenario, grid specific $\delta^{15}NO_x$ values emitted are dispersed as NO_x 26 mixes across the regional scale. This dispersion will depend on grid emission strength and mixing 27 vigor and is effectively treating NO_x as a conservative tracer. The simulations used the 2002 28 National Emission Inventory (NEI), as well as 2002 and 2016 meteorological conditions 29 respectively, to explore how meteorological conditions will impact the atmospheric $\delta^{15}NO_x$ 30 Simulations covering the full domain and extracted domain were conducted to explore and 31 eliminate potential bias near the domain boundary. 32

33 2.2.1 Meteorology input dataset and boundary conditions

34 To explore the impact of atmospheric processes, the meteorology input datasets for the years 35 2002 and 2016 were prepared and compared. The CMAQ CTM (CCTM) used the NARR (North American Regional Reanalysis) and NAM (North American Mesoscale Forecast System) to 36 37 convert the weather observations (every 3 hours for NARR, every 6 hours for NAM Analyses) 38 into gridded meteorological elements, such as temperature, wind field, and precipitation, with the 39 horizontal resolution of 12 km, and 34 vertical layers, with the thickness, increases with height, 40 from 50 m near the surface to 600 m near the 50 mb pressure level. These were used to generate 41 the gridded meteorology files on an hourly basis, using the Weather Research and Forecasting 42 Model (WRF). To maintain consistency between the NO_x emission dataset and the meteorology,

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the same coordinate system, spatial domain, and grid size used in the SMOKE model were used 1 2 in the WRF simulation. The WRF outputs were used to prepare the CMAQ-ready meteorology 3 input dataset using CMAQ's MCIP (the Meteorology-Chemistry Interface Processor; see SA for 4 details). In these emission-only simulations, the deposition of NOx was effectively set to zero. This was accomplished by defining YO =¹⁴NO and YO₂ = $^{14}NO_2$ (in addition to ZO =¹⁵NO and ZO₂ = 5 6 ¹⁵NO₂) and setting their deposition velocities to 0.001 (setting them to zero collapses the 7 simulation). The meteorological fields generated by MCIP were used as the inputs for Initial 8 Conditions Processor (ICON) and Boundary Conditions Processor (BCON) to run CCTM in 9 CMAQ. The ICON program prepares the initial chemical/isotopic concentrations in each of the 10 3D grid cells for use in the initial time step of the CCTM simulation. The BCON program prepares 11 the chemical/isotopic boundary condition throughout the CCTM simulation. The CMAQ default ICON and BCON for a clean atmosphere were used, which had $NO_x < 0.25$ ppb. The ¹⁵NO_x were 12 added to the outputs of ICON and BCON, with the concentration equal to 0.0036[14NOx], which 13 14 assumes $\delta^{15}N = 0$ at the initial time step and outside the domain of the simulation.

16 2.2.2 The role of deposition and chemical transformation of NO_x

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17 CMAQ simulated how NOx removal by photochemical oxidation and deposition alters 18 $\delta^{15}NO_x$ during mixing, transport, and dispersion. This "apparent" conversion of NO_x to NO_y was 19 implemented by enhancing NOx dry deposition by first magnifying it to 20 times normal (14 20 kg/hectare/yr) and testing for the change in NO_x concentration relative to the normal deposition rate. Multiple tuning trials were conducted until the e-folding time (lifetime) of NO_x in the 21 22 atmosphere across the domain averaged about 1 day. This is a typical average photochemical NOx 23 lifetime for a combination of urban, suburban, and rural environments (Laughner & Cohen, 2019). 24 This approach is limited since NO_x lifetime varies depending on oxidation capacity, with urban 25 NO_x lifetimes (~2-11 hours) being significantly shorter than in rural conditions (Fang et al., 2021). 26 In these simulations, the molecular mass of Y and Z were set equal (14) to ensure no isotope effect 27 was induced by dry deposition, since the equations for dry deposition have a mass term in the 28 diffusion coefficient calculation. These "emission + transport + enhanced NO_x loss" simulations 29 are an attempt to show how "lifetime chemistry" alters $\delta^{15}NO_x$ values by removing NO_x before it 30 can be transported along significant distances. For example, in an "emission + transport" scenario 31 NO_x from a high emission powerplant could travel across the domain altering regional $\delta^{15}NO_x$ as 32 it mixes with other grids. In contrast, in the "emission + transport + enhanced NO_x loss" scenario 33 most of that NO_x would be removed near the power plant, effectively constricting its δ^{15} N 34 influence. This has an added advantage in that the deposited $\delta^{15}NO_x$ should be similar to the 35 δ^{15} NO₃, which is not being generated in this model. We emphasize that in this model the isotope 36 effects associated with the photochemical transformation of NO_x into HNO₃ (and other higher N 37 oxides) and deposition are ignored and will be addressed in a forthcoming paper. 38

39 2.2.4 The simulation over the extracted domain

40 As mentioned in section 2.2.1, atmospheric $\beta^{15}NO_x = 0\%$ for initial condition and boundary 41 condition. As a result, <u>a</u> bias <u>may occur along</u> the <u>boundary</u> of the research area and mainly <u>occurs</u> 42 under the following two circumstances. Firstly, when the air mass transports out of the research 43 area (Fig. S1). Due to the lack of the emission dataset, Canada is considered an "emission-free 44 zone" for this research. As a result, the atmospheric NO_x is diluted, which impacts its $\delta^{15}N$ values, 45 especially for those with extreme $\delta^{15}N$ values ($\delta^{15}N < -15\%$ or $\delta^{15}N > 5\%$). Secondly, the air mass 46 with $\frac{\delta^{15}NO_x}{\delta^{15}NO_x} = 0$ transports from the "emission-free zone" <u>into</u> the research area (Fig. S2), the

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2.2.2 Initial condition and boundary condition for the simulation

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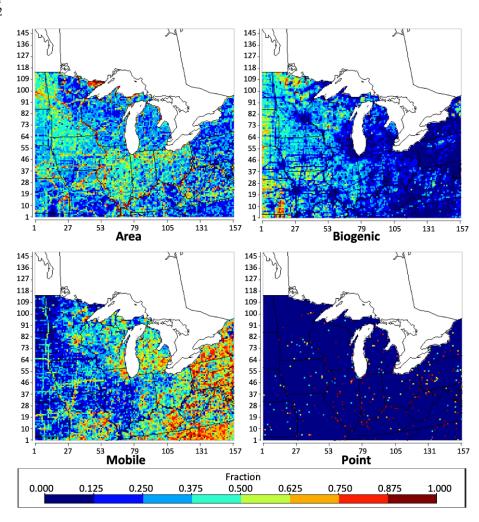


Figure 4: The geographical distribution of the fraction of NO_x emission from each SMOKE processing category (area, biogenic, mobile, point) over each grid throughout the Midwest between April and June based on NEI-2002.

atmospheric δ^{15} NO_x is flattened. Therefore, to avoid the bias near the border, the extracted domain that only covers Indiana, Illinois, Ohio, and Kentucky was determined (Fig. 2, in light purple), where the measurements of δ^{15} N values at NADP sites are available (Mase, 2010; Riha, 2013). The boundary condition for the simulation over the extracted domain is based on the CCTM output of the full-domain simulation (BCON code available on Zenodo.org (10.5281/zenodo.4311986)).

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3. Results and Discussion

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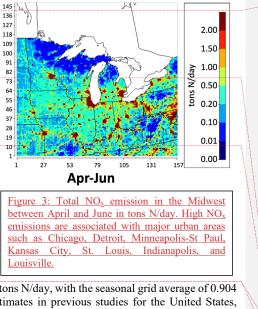
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3.1 Simulated spatial variability of NOx emission rates

10 11 We first examine the spatial heterogeneity of the NO_x emission rate for a 12 13 single time period to illustrate the overall 14 pattern of NO_x emission over the domain (Fig. 3). This is because the $\delta^{15}NO_x$ emission 15 is determined by the fraction of each NO_x 16 17 source (Eq. 6), which in turn is a function of 18 their emission rates. Since our NO_x emissions are gridded by SMOKE using the NEI, they 19 20 are, by definition, correct with respect to the 21 NEI. However, a brief discussion of the 22 salient geographic distribution of NO_x 23 emissions and comparisons with other studies is warranted for completeness and as a 24 25 backdrop for the discussion of NO_x fractions 26 and resulting δ^{15} N values. We have arbitrarily 27 chosen to sum the NO_x emissions during the 28 April to June time period for this discussion 29 (Fig. 3). 30

The April to June NOx emissions ranged

31 from less than 0.01 tons N/day to more than 15 tons N/day, with the seasonal grid average of 0.904 32 tons N/day. This average agrees well with estimates in previous studies for the United States, 33 which were between 0.81 and 1.02 tons N/day (Dignon & Hameed, 1989; Farrell et al., 1999; 34 Selden et al., 1999; Xing et al, 2012). Within 75% of the geographic domain, the NO_x emissions 35 are relatively low, ranging from between 0 and 0.5 tons N/day (Fig. S3). Geographically, these 36 grids are in rural areas some distance away from metropolitan areas and highways (Fig. 3). NO_x 37 emissions within about 20% of the grids is relatively moderate, ranging between 0.5 and 2.0 tons 38 N/day (Fig. S3). Geographically, these grids are mainly located along major highways and areas 39 with medium population densities (Fig. 3). Urban centers comprise about 5% of the grids within 40 the geographic domain and these have high NO_x emissions rates, ranging between 2.0 and 15.0 41 tons N/day (Fig. S3). The metropolitan area's average is 5.03 tons N/day, which is nearly 14 times 42 of the average emission rate over the rest of the grids within the geographic domain (0.37 tons)43 N/day) due to the high vehicle density associated with high population. The highest emissions rates 44 are located within large cities as well as the edge of the east coast metropolitan area (Fig. 3), 45 Summing the NO_x emissions among the grids that encompass these major midwestern cities, yields 46 city-level NOx emission rates that vary from 61.2 tons N/day (Louisville, KY) to 634.1 tons N/day



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(Chicago, IL). These city-level NO_x emission rates (Table S4) agree well with estimates derived from the Ozone Monitoring Instrument (Lu et al., 2015). Grids containing power plants are the significant NO_x hotspots within the geographic domain. These account for less than 1% of the grids, but the NO_x emissions from a single grid that contains a power plant can be as high as 93.4 tons N/day. Geographically, the power plants are mainly located along the Ohio River valley, near other water bodies, and often close to metropolitan areas (Fig. 3). The NO_x emission rates of the major power plants within the Midwest simulated by SMOKE (Table S5) match well with the measurement from the Continuous Emission Monitoring System (CEMS) (de Foy et al., 2015; Duncan et al., 2013; Kim et al., 2009). The geographic distribution of grid-level annual NO_x emission density in our simulation also agrees with the county-level annual NO_x emission density discussed in the 2002 NEI booklet (Fig. S4; USEPA, 2018b).

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We next examine the spatial heterogeneity of the NO_x source fractions (Fig. 4) for the same 13 14 time period (April to June). The NO_x fraction (f) is defined as the amount of NO_x from a source 15 category (s) normalized to total NO_x ($f_s = NO_x$ (source)/NO_x(total)). The fraction for anthropogenic 16 NO_x emission is defined as the amount of NO_x from a source category normalized to the sum of 17 NO_x emission from anthropogenic sources ($f_s = NO_x(source)/(NO_x(total)-NO_x(biogenic)))$ Since 18 the $\delta^{15}NO_x$ is determined by the NO_x emission fractions within each grid it is important to 19 understand where in the domain these fractions differ and why. The area sources, which mainly 20 consist of off-road vehicles, agriculture production, residential combustion, as well as the 21 industrial processes, which are individually too low in magnitude to report as point sources, are 22 fairly uniform in their distribution across the domain.

23 The SMOKE simulation shows that the f_s vary significantly across the domain. The average 24 area NO_x emission fraction (farea) was 0.271 for total NO_x emission and 0.290 for anthropogenic 25 NOx emission within the Midwest from April to June. The farea's show a clear spatial variation and range from 0.125 to 0.5 over about 75% of the grids (Fig. S5). Geographically, the grids with 26 27 relatively higher farea are in the rural area away from highways, where agricultural is the most 28 common land use classification. In the states of Wisconsin and Missouri, the f_{area} is slightly lower 29 due to the higher fraction of NO_x emission from biogenic sources (f_{biog}). In the states of 30 Pennsylvania and Michigan, the farea is slightly lower due to the higher fraction of NOx emission 31 from mobile sources (f_{mobile}). In addition, the grids with f_{area} greater than 0.75 are mainly located 32 along the Mississippi River and Ohio River, due to wastewater discharge. The foio shows a clear 33 spatial variation and is highest in the western portion of the domain (Fig. 4). The this from April to 34 June is less than 0.5 in more than 90% of the grids within the geographic domain, with the average 35 of 0.065 (Fig. S5). Geographically, the grids with relatively high fbio are located in the western 36 regions of the Midwest, away from cities and highway where the density of agricultural acreage 37 and natural vegetation is high. Furthermore, the lowest fbio values occur in the megacities and along 38 the highways, which agrees well with the land-use related to the biogenic emission. The April to 39 June SMOKE simulation shows that f_{mobile} of 0.325 for total NO_x emission and 0.347 for 40 anthropogenic NO_x emission. The f_{mobile} shows a clear spatial variation, with relatively higher f_{mobile} 41 are located in major metropolitan regions and along the highways, where vehicles have the highest 42 density. The value of f_{mobile} within the geographic domain distributes evenly on the histogram (Fig. 43 S5), Based on the SMOKE simulation, the fraction of NOx emission from point sources (fpoint) is 44 0.339 for total NO_x emission and 0.363 for anthropogenic NO_x. The f_{point} 's are obviously highest 45 in grids, where the power plants are located, mainly along the Ohio River valley and near other 46 water bodies close to metropolitan areas. The point sources <u>occupy only</u> 4% of the <u>domain grids</u>

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and about 1/4 of the power plants are not at the same grids as highways, thus these grids have a $f_{point} \ge 0.9 \text{ NO}_{x}$

3.2 Simulated spatial variability in $\delta^{15}NO_x$

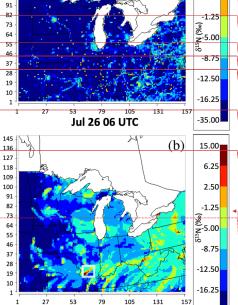
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6 145 7 Using these NO_x emission source 136 8 fractions, the $\delta_{1}^{15}NO_x$ values were simulated. 127 118 9 and the spatial heterogeneity of $\delta_{1}^{15}NO_x$ for a 109 10 single time period is discussed. The "emission 100 only" simulation of δ^{15} NO_x values (at 06 UTC 11 12 on July 26) ranged from -34.3‰ to 14.9‰ (Fig. 5a). The majority of the grids have 13 14 $\delta^{15}NO_x$ values lower than -16.3‰, which is due to biogenic NO_x emissions (-34.3‰), in 15 sparsely populated areas where intensive 16 agriculture dominates the land use (Fig. 5a). 17 The $\delta^{15}NO_x$ values for grids containing big 18 cities mainly ranged between -8.75‰ and -5‰ 19 20 due to the higher fraction of NO_x emission 21 from on-road vehicles (-2.7%), which also 22 resolve major highways. The highest value of 23 δ^{15} N occurs at the grids, where the coal-fired 24 EGUs (+15‰) and hybrid-fired EGUs are the 25 dominant NO_x source (Fig. 5a). 26

The effect of atmospheric mixing on the 27 28 δ^{15} NO_x spatial distribution was then taken into 29 account by coupling the ¹⁵NO_x emissions to the meteorology simulation. There are 30 significant differences between $\delta^{15}NO_x$ values 31 in the "emission only" (Fig. 5a) and the 32 "emission + transport" (Fig. 5b) simulations. 33 <u>While</u> "emission only" $\delta^{15}N$ pattern shows 34 35 biogenic NO_x emissions dominating the spatial 36 domain, anthropogenic emissions become 37 dominant over most of the grids in the 38 "emission + transport" simulations, especially 39 for the grids located around major cities and 40 power plants. In general, as isotopically 41 heavier urban NOx disperses, the grid average 42 increases from -20.2‰ under the "emission 43 44



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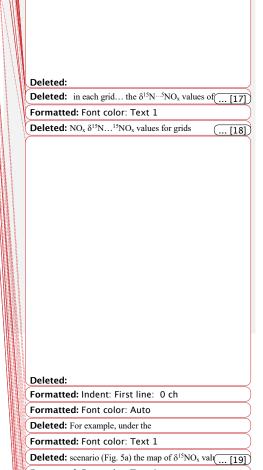
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Figure 5: The δ^{15} N values of NO_x emission, (a: "emission only" scenario) and the δ^{15} N values of atmospheric NO_x based on NEI-2002 and 2016 meteorology (b: "emission + transport" scenario), at 06 UTC on July 26, are presented by color in each grid. The warmer the color, the higher δ^{15} N values of atmospheric NO_x. The feature of the transport insides the white box is shown in Fig. 6.

Jul 26 06 UTC

43 <u>only</u>" scenario to -11.5% under the "emission + transport" scenario. Similarly, the NO_x emitted 44 along major highways is transported to the surrounding grids, so that the atmospheric NO_x at the 45 grids around the major highways becomes isotopically heavier relative to the "emission only" 46 scenario. We define $\Delta \delta^{15} N_{transport}$ as the $\delta^{15} N$ difference between "emission only" and "emission +





transport" scenarios. An example of the 1 $\Delta \delta^{15} N_{transport}$ effect can be seen in grids 2 3 encompassing a plume emanating from 4 southern Illinois' Baldwin Energy Complex (%) 5 (marked with a transparent white box on Fig. 6 5b) that uses subbituminous coal and N²¹⁵N, 7 bituminous coal as its major energy source. 8 The $\Delta \delta^{15} N_{transport}$ in the regions is altered as a function of distance away from the EGU. In 9 10 this time snapshot (06 UTC on Jul 26), the 11 northeastwards propagating plume of NO_x emission from the EGU creates higher $\delta^{15}NO_x$ 12 13 over 135 km away (Fig. 6). 14 15 3.3 Seasonal variation in $\delta^{15}NO_x$ We next examine the temporal

16 heterogeneity of $\delta^{15}NO_x$ values over the

17 domain for "emission only" and interpret 18

19 them in terms of changes in NO_x emission fractions as a function of time. The predicted δ^{15} NO_x value for total emissions in the Midwest during each season shows a significant temporal variation 20 21 (Fig. 7). The δ^{15} NO_x ranged from -35‰ to 15‰, with the annual average over the Midwest at -6.15‰. The maps for different seasons show the obvious changes in δ^{15} N values over western 22 23 regions of the Midwest, going from -15 to -5% in the spring to -35 to -15% in the summer. In order to qualitatively analyze the changes in $\delta^{15}NO_x$ among each season, the values over the grids 24 25 (Fig. 7) were organized into the histograms (Fig. <u>S6</u>). The grids with $\delta^{15}NO_x$ between -35‰ and -18‰ increase dramatically from less than 10% during fall (Oct-Dec) and winter (Jan-Mar) to more 26 27 than 20% during spring (Apr-Jun) and summer (Jul-Sep). The grids with $\delta^{15}NO_x$ between -18‰ 28 and -2‰ decrease from around 90% during fall and winter to around 75% during spring and 29 summer. The significant temporal variation in the $\delta^{15}NO_x$ during different seasons can be 30 quantitatively explained by changing fractions of NO_x emission from the biogenic source in any 31 grid (Fig. S7) using Eq. (6). Unlike other NOx emission sources, the fraction of NOx emission from 32 biogenic sources changes significantly among each season within the geographic domain, 33 especially over the rural areas (Fig. S7).

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dista

southwestern border of Illinois).

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ce from power plant (km)

Figure 6: The $\Delta \delta^{15} N_{\text{transport}}$ along the plume

(colored in dark red to orange inside the white

box on Fig. 5b) over the distance from the power

plant Baldwin Energy Complex (located at

34 35 36

Deleted: within the grids covered by the plume to quantify this effect (Fig. 6). The most obvious and interesting example is the influence of grids containing coalfired EGUs on the surrounding region. For example, the

Deleted: 6). The domain average $\delta^{15}N$ increases from -20.2‰ under the "emission only" scenario to -11.5‰ under the "emission + transport" scenario. While "emission only δ15N pattern shows biogenic emission dominating the spatial domain, in the "emission + transport" simulation anthropogenic emissions, becomes dominant over most of the grids, especially for the grids located around major cities' power plants.

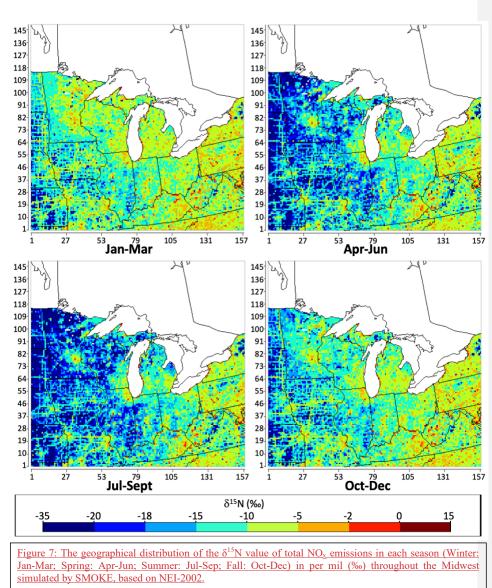
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$\fbox{\begin{tabular}{lllllllllllllllllllllllllllllllllll$
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y=-0:144*x+31:224

R²=0.6370

100

120



<u>To</u> qualitatively analyze the changes in the fraction of NO_x emission from biogenic sources among 1 each season, the distributions of the fractions among the same cut-offs as the maps on Fig. S7 were 2 3 shown in the histograms (Fig. S8). In general, the distribution of the fraction shifts to higher values 4 during spring (Apr-Jun) and summer (Jul-Sep), indicating the increase of biogenic emissions. 5 During this period, the surface sunlight hours, temperature, and precipitation are relatively higher 6 and as a result, the canopy coverage of the plants becomes higher, which leads to the increase of 7 the NO_x emission from biogenic sources (Pierce, 2001; Vukovich & Pierce, 2002; Schwede et al., 8 2005; Pouliot & Pierce, 2009; USEPA, 2018a). Besides this, the fertilizer application during this 9 period is also increases soil NOx emissions (Li & Wang, 2008; Felix & Elliott, 2014). As a result, 10 the distribution of $\delta^{15}NO_x$ shifts to lower values during these periods (Fig. 7). The percentage of the grids with the fraction of biogenic emission less than 0.125 decreases dramatically from more 11 12 than 50% during fall (Oct-Dec) and winter (Jan-Mar) to less than 35% during spring (Apr-Jun) 13 and summer (Jul-Sep). As the NO_x emission from biogenic source becomes dominant, the percentage of the grids with $\delta^{15}NO_x$ between -35‰ and -18‰ increases, while the percentage of 14 15 the grids with values between -18‰ and -2‰ decreases, which sufficiently explains the trends 16 shown on Fig. 7. 17 The temporal variation in atmospheric $\delta^{15}NO_x$ is also controlled by the propagation of NO_x

18 emissions, which varies seasonally. The temporal heterogeneity of atmospheric $\delta^{15}NO_x$ under the 19 "emission + transport" scenario is interpreted in terms of changes in the propagation of NOx 20 emission as a function of time. The predicted seasonal average $\delta^{15}NO_x$ in the Midwest shows 21 significant variations (Fig. 8). On an annual basis, the <u>"emission + transport" average $\delta^{15}NO_x$ value</u> 22 was -6.10‰, which is similar to the "emission only" average range, but the range (-19.2‰ to 23 11.6‰) was narrower due to NOx transport and mixing. The maps for different seasons show the 24 obvious changes in δ^{15} N values over western regions of the Midwest, from -8.75 to -5% in fall 25 and winter to -16.25 to -12.5% in spring and summer. The spatial heterogeneity of the $\delta^{15}NO_x$ 26 under the "emission + transport" scenario (Fig. 8) was compared to that under the "emission only" 27 scenario (Fig. 7). The difference was defined as $\Delta \delta^{15} N_{\text{transport}}$ (Fig. S9) and had values ranged from -21.9‰ to 31.2‰, with an average of 4.9‰. The grids with $\Delta \delta^{15}$ N_{transport} between -5‰ and 0‰ 28 29 are the urban areas and decrease slightly from about 11% during fall (Oct-Dec) and winter (Jan-30 Mar) to 10% during spring (Apr-Jun) and summer (Jul-Sep). The grids with $\Delta \delta^{15} N_{\text{transport}}$ between 31 0% and 5% are typically in the rural areas that are impacted by the urban NO_x emissions and 32 decrease dramatically from more than 50% during fall and winter to less than 40% during spring 33 and summer. The grids with $\Delta \delta^{15} N_{transport}$ greater than 5%, which are the rural areas obviously 34 impacted by the urban NOx emission and increase dramatically from less than 40% during fall and winter to more than 50% during spring and summer. Therefore, the impacts from transport and 35 36 mixing are more obvious during spring and summer (Fig. S10).

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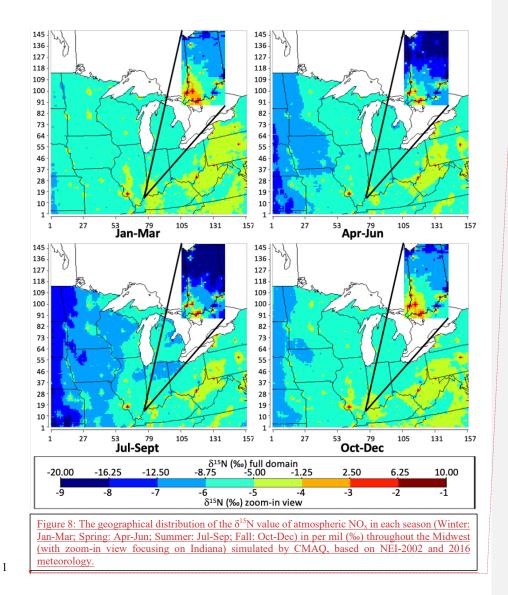
→ We then examine the

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Deleted: ~ -5‰ in Oct-Mar to -16.25 ~ -12.5‰ in Apr-Oct.



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The PBL height is an effective indicator showing whether the pollutants are under synoptic 1 2 conditions, which are favorable for the dispersion, mixing, and transport after being emitted into 3 the atmosphere (Oke, 2002; Shu et al., 2017; Liao et al., 2018; Miao et al., 2019). Comparing the 4 distributions $\Delta \delta^{15}$ N_{transport} values (Fig. S9) with the corresponding PBL height (Fig. S11) for each 5 season, the effects of PBL height on the propagation of the air mass are clearly shown. NOx emitted 6 by power plants is much higher than the emission rates at the surrounding grids and is a hotspot 7 that impacting the δ^{15} N values at the surrounding grids. As PBL increases, the emitted NO_x from 8 power plant mixes more effectively with the surrounding grid, thus there are higher $\delta^{15}NO_x$ values 9 along the power plant plume transect. The PBL height changes significantly among each season within the geographic domain, especially over Minnesota, Wisconsin, and Iowa (Fig. <u>\$11</u>). The

10 11 PBL height over these areas increases from less 12 than 250 meters above the ground level to more than 625 meters above the ground level, during 13 14 spring and summer, which creates a more favorable synoptic condition for the dispersion, 15 mixing, and transport of the pollutants after being 16 17 emitted into the atmosphere. As a result, the difference in δ^{15} N values shifts to higher values, 18 19 showing the stronger effect of atmospheric 20 processes during spring and summer. In order to qualitatively analyze how PBL height affects the 21 22 $\delta^{15}NO_x$ along power plant plumes, the domain 23 average PBL height for each month was plotted against $\delta^{15}NO_x$ (Fig. 9a). The $\delta^{15}N$ values along 24 the power plants plumes and PBL heights over 25 26 the domain have the same seasonal trend. 27 Interestingly, the "turning point" of the $\delta^{15}N$ 28 values is about one month later than the "turning 29 point" of the PBL heights. The scatter plot (Fig. 30 9b) shows a strong positive correlation ($R^2=0.85$) between the domain average PBL height and 31 32 average $\delta^{15}N$ value along the power plants 33 plumes. The positive correlation between PBL 34 height and propagation of air mass, indicated by 35 the evolution of atmospheric $\delta^{15}NO_x$ in this 36 study, agrees well with the corresponding 37 measurement in megacities in China from the 38 previous studies (Shu et al., 2017; Liu et al., 39 2018; Liao et al., 2018,

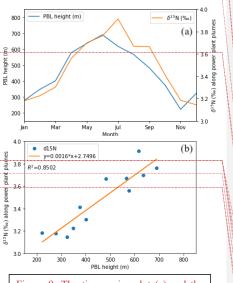


Figure 9: The time series plot (a) and the scatter plot (b) of the domain average PBL height (m) and the average $\delta^{15}N$ (‰) value of atmospheric NO_x along the plumes of power plants during each month throughout the Midwest simulated by CMAQ, based on NEI-2002 and 2016 meteorology.

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3.4 The simulations based on different meteorology input datasets

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2 3 The spatial heterogeneity of the \$15NOx using 2016 meteorology input dataset was compared. 4 5 6 7 to that using 2002 meteorology (Fig. <u>\$13</u>). Overall, the simulated $\delta^{15}NO_x$ using 2002 meteorology has the similar geographic distribution and seasonal trend as the 2016 simulation. The difference was defined as $\Delta \delta^{15}N_{2002-2016}$ (Fig. 10) and had values ranged between -1.25‰ and +1.25‰ over

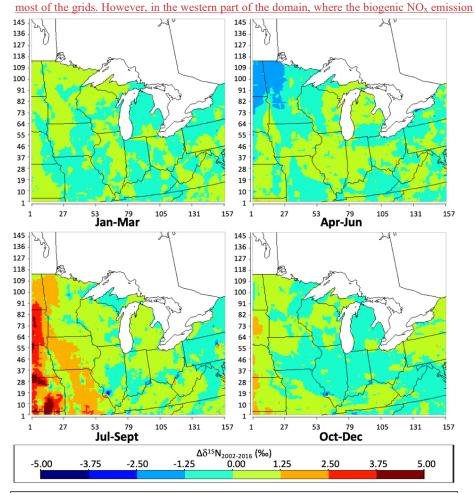
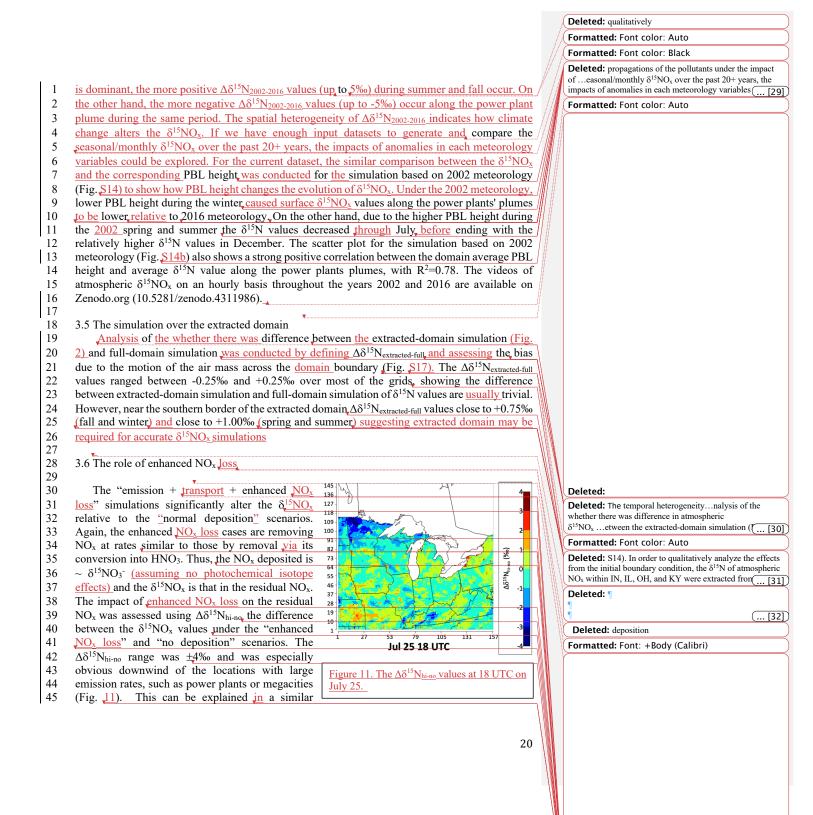


Figure 10: The difference between the $\delta^{15}N$ (‰) value of atmospheric NO_x based on 2016 meteorology and 2002 meteorology ($\Delta\delta^{15}N_{2002-2016}$) during each season (Winter: Jan-Mar; Spring: Apr-Jun; Summer: Jul-Sep; Fall: Oct-Dec), throughout the Midwest simulated by CMAQ.

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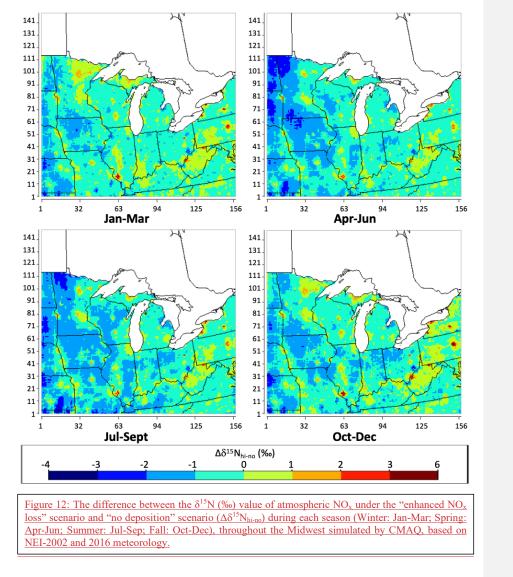
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fashion to the "no deposition" scenarios (Fig. <u>\$18a</u>), where the dispersion of the isotopically 1 2 heavier NO_x emission from big cities, major highways, and power plants <u>elevated</u> the δ^{15} NO_x 3 values in rural areas, and the dispersion of the isotopically lighter biogenic NOx emission lowered 4 the $\delta^{15}NO_x$ values in the surrounding grids located in the suburb of major cities (Fig. <u>\$18b)</u>. When 5 "enhanced NO_x loss" is used the transport, mixing, and dispersion of local NO_x emissions are 6 restricted to smaller geographical extent (Fig. <u>S18b) leading</u> to <u>different</u> δ^{15} NO_x values relative to 7 no deposition. The temporal heterogeneity of $\Delta \delta^{15} N_{hi-no}$ over the domain was examined and the 8 impact of enhancing deposition rates of NO_x on the δ^{15} N of atmospheric NO_x on a seasonal basis 9 was explored (Fig. 12). The seasonal $\Delta \delta^{15}$ N hi-no values range from -3.67‰ to 5.34‰, with an 10 average of 0.51‰. The overall pattern of the $\Delta \delta^{15} N_{hi-no}$ values shows that due to deposition, the atmospheric NO_x became isotopically lighter over the majority of the grids since EGU and vehicle 11 12 NO_x is not being transported as far. Conversely, in grids that contain or surround power plants and 13 big cities the $\delta^{15}NO_x$ increases because it is not as effectively mixing with low $\delta^{15}NO_x$ from nearby 14 grids. The enhanced NO_x loss simulation was used as a proxy to present the isotope effects associated with the "pseudo photochemical transformation" of NOx into NOy. The complete 15 16 isotope effect of tropospheric photochemistry will be addressed in future work, which incorporates 17 ¹⁵N into the chemical mechanism of CMAQ for the simulation, 18 The $\delta^{15}NO_x$ value of dry deposition (a proxy for $\delta^{15}NO_3$) simulated by CMAQ show similar monthly variations and seasonal trends as SMOKE (Fig. <u>\$22</u>). The ranges of δ^{15} NO_x values within 19 20 each month were narrower, compared to the simulation from SMOKE, with a minimum during 21 February (-8.7~-4.4‰) and a maximum during August (-11.8~-4.2‰). The seasonal trend shows 22 low $\frac{\delta^{15}NO_x}{\Delta^2}$ values in deposition during summer, with the median around -7.4‰, and <u>slightly</u> 23 higher values during winter (median around -6.0%). Therefore, the CMAQ simulation inherits the 24 monthly variations and seasonal trends from SMOKE, while the atmospheric NO_x becomes 25 isotopically heavier, after taking atmospheric mixing and transport into account. As mentioned 26 above, most of the NADP sites are located away from big cities and power plants. Thus, the 27 atmospheric mixing and transport led to the isotopically heavier atmospheric NOx. 28

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3.7 Model-observation comparison of $\delta^{15}NO_x$

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3 order to evaluate the 4 SMOKE/CMAQ simulations of 5 atmospheric $\delta^{15}NO_x$, they were 6 compared to two recent studies of 7 $\delta^{15}NO_x$. The first comparison was 8 relative to rainwater measurements in 9 West Lafayette, IN from July 9 to 10 August 5, 2016 (Walters, Fang, & 11 Michalski, 2018). The measured $\delta^{15}NO_x$ 12 values ranged from -33.8‰ to 0.2‰, 13 with the median of -11.2±8.02‰. Under 14 the "emission + transport + enhanced NOx loss" scenario using 2016 15 16 meteorology, the simulated $\delta^{15}NO_x$ 17 mean (-7.9±2.19‰) was 3.3‰ less 18 negative than the observations and the 19 range (-15.9% to -3.7%) was about half 20 that in the observations (Fig. 13, top, 21 <u>Table S7). The predicted $\delta^{15}NO_x$ was</u> 22 similar regardless of whether 2016 or 23 2002 meteorology was used but were 24 closer to the measured values, 25 comparing to the "emission only" simulations (Fig. 13, top). It is not 26 27 surprising that the measurements are 28 more negative than the observations 29 because the model does not account for 30 isotope fractionation during the 31 conversion NO_x into NO_y. Our previous 32 work has shown the photochemical 33 isotope effect enriches NO_v and depletes 34 NOx (Fang et al., 2021; Walters and Michalski, 2015) and thus the lower 35 36 measured $\delta^{15}NO_x$ relative to model is 37 consistent with this isotopic depletion.

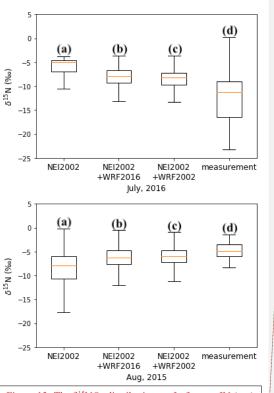


Figure 13: The δ^{15} NO_x distributions at Lafayette, IN (top) and along Midwest highways (bottom), simulated by SMOKE (a), CMAQ based on 2016 (b) and 2002 meteorology (c), compare with the measured δ^{15} NO_x (d) (box: lower quartile, median, upper quartile; whisker: lower extreme, upper extreme)

38 Our model simulations were also compared to on-road vehicle plume measurement along Midwest 39 highways from August 8 to 18, 2015 (Miller, et al., 2017). The boxplot also shows more accurate 40 estimation of $\delta^{15}N$ after considering the atmospheric mixing with the emission from surrounding 41 grids (Fig. 13, bottom). Using the "emission only" scenario, the simulated $\delta^{15}NO_x$ mean was about 42 3‰ more negative than the observations. The predicted $\delta^{15}NO_x$ under the "emission + transport + 43 enhanced NOx loss" scenario for these samples along Midwest highways was closer to the 44 measured values, compared to the "emission only" simulations, using no matter 2016 or 2002 45 meteorology. The modeled values are quite close to the observations suggesting the photochemical 46 isotope effect is small for these samples. This is not surprising given they were collected on major

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In order to evaluate the SMOKE/CMAQ simulations of atmospheric δ^{15} N, they were compared to several existing observational datasets. The 815N values under the "no transport" simulation by SMOKE in West Lafayette, IN was compared with the measurement (Walters, Fang, & Michalski, 2018) from July 8 to August 5, 2016 (Fig. 15). The range of SMOKE simulated $\delta^{15}N(NO_x)$ from NEI-2002 ranges from -12.2‰ to -3.8‰, which is within the range of the corresponding measurement (-33.8 \sim 0.2 ‰). Whereas the median (-5.0 \pm 2.2 ‰) of SMOKE simulated $\delta^{15}N(NO_x)$ is higher than the median $(-11.2 \pm 8.0 \text{ })$ of the measured values. The SMOKE simulated $\delta^{15}N(NO_x)$ values in West Lafayette, IN are higher than the corresponding measurements. Therefore, the emission from the soil, livestock waste, off-road vehicles, and natural gas power plant might be underestimated, and/or the emission from the on-road vehicle and coal-fired power plant might be overestimated for both versions of NEI. In addition to the effects from NOx emission sources, the lower values and greater variations in measured $\delta^{15}N(NO_x)$ might also be caused by the atmospheric mixing with the emission from surrounding grids, driven by the atmospheric processes. The δ^{15} N of atmospheric NO_x under the "with transport" scenario by CMAQ with different meteorology conditions (simulated by WRF for the year 2002 and 2016) was compared with the measurement (Walters, Fang, & Michalski, 2018) from July 8 to August 5, 2016 (Fig. 15). The $\delta^{15}N$ of atmospheric NO_x simulated based on 2016 meteorology ranges from -15.8% to -3.4%, with the medium of -8.1 \pm 2.1%; the δ^{15} N of atmospheric NO_x simula (... [36])

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highways where NO_x concentrations are high and the timescale between collection and emission
 is small and thus only a small fraction of emitted NO_x would have been converted to NO_y
 minimizing the photochemical isotope effect.

4 The 30 fold enhanced NO_x loss (see methods) was used to simulate the δ^{15} N value of NO₃⁻ 5 deposition $(\beta^{15}NO_3)$ that was then compared to observations (Fig. 14). As previously noted, rather 6 than explicitly converting NOx into NOy via the chemical mechanism in CMAQ, which would 7 require writing an isotope-enabled chemical scheme with appropriate rate constants, we amplified 8 NO_x deposition as a surrogate. This amplification reduced the NO_x lifetime to about 1 day, thus 9 by calculating the $\delta^{15}NO_x$ in the deposition fraction, as opposed to residual NO_x in the atmosphere, 10 we are approximating the $\delta^{15}NO_3^{-1}$ in deposition. The simulated $\delta^{15}NO_3^{-1}$ was compared to NO₃ collected at NADP sites within Indiana, Illinois, and Ohio in the year 2002 (Table S4). The NEI-11 2002 and WRF2002 were used for the SMOKE emission model and CMAQ simulations, 12 respectively. The value in deposition was calculated by $\delta^{15}NO_{3^{-}} = \sum f_{NOxhr} \delta_{v}^{15}NO_{xhr}$, where f_{NOxhr} 13 14 is the hourly mole fraction of NO_x isotopologue deposited ($f_{NOxhr} = NO_{xhr}/NO_{xT}$) and $\delta_{L}^{15}NO_{xhr}$ is the δ^{15} N value of NO_x in deposition. The total NO_x deposited (NO_{xT}) used to calculate f_{NOxhr} was 15 the amount deposited 5 days prior to the sampling date since the NADP deposition collection 16 17 integrate the week. 18

The $\delta^{15}N$ values of NO_x 19 20 deposition simulated by CMAQ 21 under the "emission + transport + 22 enhanced <u>NOx loss</u>" scenario at 23 each site were compared with the measurements of $\delta^{15}N$ values of 24 25 NO3 from prior studies (Mase, 2010; Riha, 2013). While the 26 27 scatter plot shows a moderate 28 positive correlation between 29 observed and simulated $\delta^{15}NO_3^{-}$, 30 the simulated value is consistently 31 lighter than the sample $\delta^{15}NO_3$ = 32 (Fig. 14, top). The magnitude of 33 this negative bias varies among the 34 NADP sites (Fig. S23) and is 35 attributed to isotope fractionation 36 during the conversion NOx into 37 NO_v, which enriches NO₃⁻ (Fang et 38 al., 2021; Walters and Michalski, 39 2015). Globally this enrichment 40 has been estimated at 3.9±1.8‰ 41 (Song et al, 2021). But this 42 enrichment is a function of NO_x, 43 VOC, and oxidant loading, as well 44 as temperature, and photolysis rate 45 (Fang et al., 2021) and is not 46 expected to be the same at each

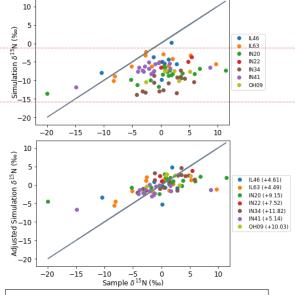


Figure 14: The emission + transport + enhanced NO_x loss CMAQ predicted δ^{15} N value of NO_x deposition using NEI-2002 and 2002 meteorology compared to the measured δ^{15} N of rain NO₃⁻ at NADP sites within IN, IL, and OH. The photochemical isotope enrichment factor (‰) correction used for each site is noted in the legend.

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NADP site. After adjusting the simulated $\delta^{15}N$ by raising the values by the average of the 2 difference between sample $\delta^{15}N$ and simulated $\delta^{15}N$ for each site, the scatter plots of sample $\delta^{15}N$ 3 vs. simulated $\delta^{15}N$ well fit into the one-to-one line (Fig. 14, bottom). The complete ^{15}N 4 incorporated chemical mechanisms will be explored in future study,

4. Conclusion

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6 The evolution of δ^{15} N values along the "journey" of atmospheric NO_x were traced, using our incorporated SMOKE and CMAQ. The δ^{15} NO_x under the "emission only" scenario was 7 8 ¹⁵N 9 simulated by SMOKE, using the NOx emissions from NEI emission sectors and the corresponding 10 δ^{15} N values from previous research. The SMOKE simulation indicates that the NO_x emission from biogenic sources is the key driver for the variation of $\delta^{15}N$, especially among the Midwestern 11 NADP sites. The uncertainties in the $\delta^{15}NO_x$ emission are less than 5% over the majority of the 12 13 grids within the Midwest, which were well below the difference among the assigned $\delta^{15}NO_x$ values 14 for different NO_x emission sources (Fig. S24). The $\delta^{15}NO_x$ under the "emission + transport" 15 scenario was simulated by CMAQ, using the ¹⁵N incorporated emission input dataset generated 16 from SMOKE, as well as the meteorology input dataset generated from WRF and MCIP. The 17 CMAQ simulation indicates that the PBL height is the key driver for the mixture of anthropogenic 18 and natural NO_x emission, which deepens the gap between $\delta^{15}N$ of atmospheric NO_x and NO_x emission. The $\delta^{15}NO_x$ under the "emission + transport + enhanced NO_x loss" scenario was 19 20 simulated by enhancing NO_x deposition in CMAQ simulation, to show how "lifetime chemistry" alters $\delta^{15}NO_x$ values before it can be transported along significant distances, assuming no isotope 21 22 fractionation during chemical conversion or deposition. 23 The simulations under "emission only" scenario and "emission + transport + enhanced NOx 24 loss" scenario were compared to the measurements in West Lafayette, Indiana, The simulated $\delta^{15}N$ 25 agreed well with the seasonal trend and monthly variation. The simulated $\delta^{15}NO_x$ under the 26 "emission only" scenario was less negative than the corresponding measurements in West 27 Lafayette, IN, taken from July to August 2016. Thus, if we only consider the effects from NO_x 28 emission sources, the emission from soil, livestock waste, off-road vehicles, and natural gas power 29 plant in West Lafayette, IN are possible to be underestimated, and the emission from the on-road 30 vehicle and coal-fired power plant in West Lafayette, IN are possibly overestimated. The simulated 31 $\frac{\delta^{15}NO_x}{\delta^{15}NO_x}$ under the "emission + transport + enhanced NO_x loss" scenario was about 3% closer to the corresponding measurements in West Lafayette, IN, comparing to the "emission only" 32 33 simulations. The simulations under "emission + transport + enhanced NOx loss" scenario was also 34 compared to the measurements of $\delta^{15}NO_3^{-1}$ from NADP sites within Indiana, Illinois, Ohio, and 35 Kentucky. The sample-by-sample comparison shows a moderate positive correlation between observed and simulated $\delta^{15}NO_3$, with negative bias varies among the NADP sites. This bias is 36 37 attributed to isotope fractionation during the conversion NOx into NOx, affected by different NOx, 38 VOC, and oxidant loading, as well as temperature, and photolysis rate at each NADP site, 39 Therefore, the future work is to explore how tropospheric photochemistry alters $\delta^{15}NO_x$ by 40 incorporating ¹⁵N into the chemical mechanism of CMAQ and comparing the simulation with the 41 corresponding measurements. With the validation of our nitrogen isotopes incorporated CMAQ, 42 the NO_x emission inventories could be effectively evaluated and improved. 43

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Data availability: The source code for SMOKE version 4.6 is available at 1 2 https://github.com/CEMPD/SMOKE/releases/tag/SMOKEv46_Sep2018. The source code for 3 CMAQ version 5.2.1 is available at https://github.com/USEPA/CMAQ/tree/5.2.1. The in-detail 4 simulation results for δ^{15} N of NO_x emission based on 2002 and 2016 versions of National Emission 5 Inventory and the associated python codes are achieved on Zenodo.org (10.5281/zenodo.4048992). The input datasets for WRF simulation are available at https://www.ncei.noaa.gov/data/. The in-6 7 detail simulation results for δ^{15} N of atmospheric NO_x under all scenarios discussed in this paper 8 and the CMAQ-based c-shell script for generating BCON for extracted domain simulation are 9 achieved on Zenodo.org (10.5281/zenodo.4311986).

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Author contributions: Huan Fang and Greg Michalski were the investigator for the project and organized the tasks. Huan Fang develop the model codes, performed the simulation to incorporate ¹⁵N into SMOKE outputs and generated δ^{15} N values and reconstruct CMAQ by incorporating ¹⁵N, and performed the simulation to generate δ^{15} N values. Greg Michalski helped Huan Fang in interpreting the results. Huan Fang prepared the manuscript with contributions from all co-authors.

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