1	Assessing the roles emission sources and atmospheric processes play in simulating
2	δ^{15} N of atmospheric NO _x and NO ₃ ⁻ using CMAQ (version 5.2.1) and SMOKE
3	(version 4.6).
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16	Keywords: isotope, nitrogen, atmospheric NOx, atmospheric nitrate, NOx emission sources,
17	emission inventory, emission input dataset, atmospheric processes, disperse, mixing, transport,
18	chemical transport model, 3D CTM, NEI, SMOKE, CMAQ

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1	Abstract
2	Nitrogen oxides (NO_x = nitric oxide (NO) + nitrogen dioxide (NO_2)) are important trace gases that
3	affect atmospheric chemistry, air quality, and climate. Contemporary development of NOx
4	emissions inventories is limited by the understanding of the roles of vegetation (net NOx source or
5	net sink), gasoline and diesel in vehicle emissions, and the application of NO _x emission control
6	<u>technologies. The nitrogen stable isotope composition $(\delta^{15}N)$ of NO_x is an effective tool to evaluate</u>
7	the accuracy of the NO _x emission inventories, which are based on different assumptions. In this
8	study, we traced the changes in δ^{15} N values of NO _x along the "journey" of atmospheric NO _x , driven
9	by atmospheric processes after different sources emit NO_x to the atmosphere. The ¹⁵ N was
10	incorporated into the emission input dataset, generated from the US EPA trace gas emission model
11	SMOKE (Sparse Matrix Operator Kernel Emissions). Then the ¹⁵ N incorporated emission input
12	dataset was used to run CMAQ (the Community Multiscale Air Quality Modeling System). The
13	simulated spatiotemporal patterns in NOx isotopic composition for both SMOKE outputs and
14	<u>CMAQ outputs</u> were compared with corresponding atmospheric measurements in West Lafayette,
15	Indiana, USA. By enhancing NO _x deposition, we simulated the expected δ^{15} N of NO ₃ ⁻ assuming
16	no isotope fractionation during chemical conversion or deposition. These simulations were
17	compared to δ^{15} N of NO ₃ ⁻ in NADP sites. The results indicate the potential underestimation of
18	emissions from soil, livestock waste, off-road vehicles, and natural gas power plants and the
19	potential overestimation of emissions from on-road vehicles and coal-fired power plants, if only
20	considering the difference in NOx isotopic composition for different emission sources. The
21	estimation of atmospheric $\delta^{15}N(NO_x)$ using CMAQ shows better agreement (by ~3‰), with
22	observations than using SMOKE (Sparse Matrix Operator Kernel Emissions), due to the
23	consideration of mixing, dispersion, transport, and deposition of NOx emission from different
24	sources
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1. Introduction

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2 3 NOx, are important trace gases that affect atmospheric chemistry, air quality, and climate (NOx 4 = $NO + NO_2$). The main sources of tropospheric NO_x are emissions from vehicles, power plants, 5 agriculture, livestock waste, as well as the natural by-product of nitrification and denitrification 6 occurring in soil, and lightning (Galloway, et al., 2004). The NOx photochemical cycle generates 7 OH and HO₂ radicals, organic peroxy radicals (RO₂), and ozone (O₃), which ultimately oxidize 8 NO_x into NO_y ($NO_y = NO_x + HONO + HNO_3 + HNO_4 + N_2O_5 + other N oxides$). During the 9 photochemical processes that convert NOx to NOy, ground-level concentrations of O3 become 10 elevated and secondary particles are generated. Secondary aerosols in turn affect cloud physics, 11 enhancing the reflection of solar radiation (Schwartz, 1996) and are hazardous to human health 12 (Lighty et al., 2000). Thus, the importance of NOx in air quality, climate, and human and 13 environmental health makes understanding the spatial and temporal variation in the sources of NO_x a vital scientific question. 14 Despite years of research, however, there are still several significant uncertainties in the NO_x 15 16 budget, About 15% of global NO_x emissions, ranging from 6.6 to 21 Tg N yr⁻¹, is derived from 17 global soil NOx emissions yet evaluating and verifying emission rates using both laboratory and 18 field measurements is still a challenge (Jaeglé et al., 2005; Yan et al., 2005; Stehfest and Bouwman, 19 2006; Hudman et al., 2012). Soil NO_x emissions vary by different biome types, meteorological 20 conditions, and soil physicochemical properties. The application of N fertilizer also has a strong 21 effect on soil NO_x emissions, which can dramatically increase during the first 1-2 days after N 22 fertilizer application and can take several weeks for the emission rate to drop to pre-fertilizer levels 23 (Ludwig et al., 2001). Furthermore, the role of vegetation, acting as a net source of atmospheric 24 NO_x when ambient NO_x concentration is below the "compensation point", while acting as a net 25 sink of atmospheric NO_x when ambient NO_x concentrations are above it (Johansson, 1987; Thoene, 26 Rennenberg & Weber, 1996; Slovik et al., 1996; Webber & Rennenberg, 1996). This significantly 27 impacts the biotic NOx emission inventory (Almaraz et al., 2018). Uncertainties also exist in the 28 amount of NOx emitted during the combustion of fossil fuels by vehicles and industry. According 29 to Parrish (2006), the estimation of on-road vehicle NOx emission has at least 10 to 15% uncertainty. For the mileage-based algorithm, which is used in the National Emission Inventory 30 31 (NEI), the uncertainty is caused by the limited number of sites to determine the emission factors 32 of vehicle classifications and emission types (Ingalls, 1989; Pierson et al., 1990; Fujita et al., 1992; 33 Pierson et al., 1996; Singer and Harley, 1996). The uncertainty of the alternative fuel-based 34 approach is caused by the fuel sales data and emission factors (Sawyer et al., 2000). The 35 uncertainty in power plant NOx emissions results from the choice of emission control technologies. 36 of which the removal efficiencies of NOx emission are different. NOx removal by low NOx burning, 37 over-fire air reduction, and selective non-catalytic reduction is highly variable, ranging from 50 to 38 75% (Srivastava et al., 2005). 39 The nitrogen stable isotope composition of NO_x might be a useful tool to help resolve the 40 uncertainties of how NOx emission sources vary in space and time because natural and anthropogenic NOx sources have distinctive ¹⁵N/¹⁴N ratios (Ammann et al., 1999; Felix et al., 2012; 41 42 Felix and Elliott, 2013; Fibiger et al., 2014; Heaton, 1987; Hoering, 1957; Miller et al., 2017; Walters et al., 2015a, 2015b, 2018). This variability in NOx ¹⁵N/¹⁴N ratios is quantified by 43 44 $\delta^{15}N(NO_x)$ (‰) = [(¹⁵NO_x/¹⁴NO_x) / (¹⁵N₂/¹⁴N₂) air -1] × 1000) Eq. (1)

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 $\frac{29}{30}$ alter the $\delta^{15}NO_x$ emissions as they are transformed from NO_x into NO_y . In this paper, we consider

31 the effects from the first and second considerations, the temporal and spatial variation in NO_x

emission and the impacts from atmospheric transport and deposition processes (source and mixing 1 2 hypothesis). We accomplish this by incorporating an input dataset of ¹⁵N emissions used in 3 simulations by the Chemistry-Transport Model (CTM) used in CMAQ (The Community 4 Multiscale Air Quality Modeling System). In a companion paper, we will discuss the impacts of 5 tropospheric photochemistry by incorporating a ¹⁵N chemical mechanism (Fang et al., 2021) into 6 CMAQ. The ultimate goal will be to evaluate the accuracy of the NO_x emission inventory using 7 ¹⁵N. 8 2. Methodology 9 2.1 Incorporating ¹⁵N into NO_x emission datasets 10 11 The EPA trace gas emission model SMOKE (Sparse Matrix Operator Kernel Emissions) was 12 used to simulate ¹⁴NO_x and ¹⁵NO_x emissions. ¹⁴NO_x emissions were estimated using the SMOKE 13 model based on the 2002 NEI (National Emission Inventory, USEPA, 2014), and ¹⁵N emissions were determined using these ¹⁴NO_x emissions and the corresponding δ^{15} N values of NO_x sources 14 from previous research (Table 1). Using the definition of $\delta^{15}N$ (%), $^{15}NO_x$ emitted by each 15 trom previous research (Table 1). Using the definition of $\delta^{15}N$ (‰), ${}^{15}NO_x$ emitted by each SMOKE processing category (area, biogenic, mobile, and point) was calculated by ______ ${}^{15}NO_x(i) = {}^{14}NO_x(i) \times {}^{15}R_{NO_x}(i)$ Eq. (2) where ${}^{14}NO_x(j)$ are the NO_x emissions for each category (*j*) obtained from NEI and SMOKE and ${}^{15}R_{NOxi}$ is a ${}^{15}N$ emission factor (${}^{15}NO_{Xi}/{}^{14}NO_{xi}$) calculated by: ${}^{15}R_{NO_x}(i) = (\frac{\delta^{15}N_{NO_x}(i)}{1000} + 1) \times 0.0036$ Eq. (3) $\delta^{15}N_{NOxi(i)}$ is the $\delta^{15}N$ value of some NO_x source (*j* = area, biogenic, mobile, and point) and 0.0036 is the ${}^{15}N/{}^{14}N$ of air N₂ the reference point for $\delta^{15}N$ values 16 17 18 19 20 21 22 is the $^{15}N/^{14}N$ of air N₂, the reference point for $\delta^{15}N$ values. 23 24 Annual NO_x emissions for 2002 were obtained from the NEI at the county-level and were 25 converted into hourly emissions on a 12 km x 12 km grid as previously published (Spak, Holloway, 26 & Stone, 2007). The modeling domain includes latitudes between 37 ° N and 45 ° N, and longitudes 27 between 98° W and 78° W, which fully covers the Midwestern US (Fig. 2, in yellow). SMOKE 28 categorizes NOx emissions into four "processing categories": Biogenic, Mobile, Point, and Area 29 (Table 1). The choice of the 2002 version of NEI is, in part, arbitrary. However, to compare the 30 model predicted 815N values with observations, it requires the emission inventory to be relevant to 31 the same timeframe as the δ^{15} N measurements of the NO_y. The data sets we compare to the model 32 (discussed below) span from 2002 to 2009, thus the 2002 inventory is more relevant than later inventories (2014 onward). The county-level annual ${}^{14}NO_x$ emission for the Midwestern US from NEI was converted to the dataset with hourly ${}^{14}NO_x$ emissions. Livestock waste and off-road 33 34 35 vehicles classified as area sources and each county was gridded evenly. Power plants are regarded 36 as the point source and are located in grids corresponding to their latitudes and longitudes. On-37 road vehicles were regarded as the mobile source by SMOKE estimated by MOBILE model (see 38 SA). The soil NO_x produced by microbial nitrification and denitrification is classified as biogenic 39 NO_x emission and was estimated by BEIS model (see SA).

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where ${}^{15}NO_x/{}^{14}NO_x$ is the measurement of relative abundance of ${}^{15}N$ to ${}^{14}N$ in atmospheric NO_x, compared with the

Deleted: ratio of nitrogen in the air, which has a ${}^{15}N_2/{}^{14}N_2 = 0.0036.$ Here we have simulated the $\delta^{15}N$ values of atmospheric NO_x

Fiere we have simulated the δ^{-N} values of atmospheric NO_x within the Midwestem United States, under different scenarios, and compared them with the recent measurements. The factors required to account for the processes that alter $\delta^{15}N$ of atmospheric NO_x during the NO_x chemical lifetime are: a). The variability of the $\delta^{15}N$ values of NO_x emissions in time and space; b). The transport and mixing of tropospheric NO_x by meteorology; c.) The wet and dry deposition of NO_x and NO_y; and d). The isotope effects occurring during the tropospheric photochemistry that transforms NO_x into NO_y. In a companion paper (Fang & Michalski, 2020), we discussed the effects due to the variation of the $\delta^{15}N$ value of different NO_x emission sources and their variation in time and space. In this previous (... [3])

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SMOKE Category	<u>NEI Sector</u>	$\frac{\delta^{15}\text{N-NO}_{x}(\%) \text{ range}}{\delta^{15}\text{N-NO}_{x}(\%)}$	$\frac{\delta^{15}\text{N-NO}_x(\%)}{100}$ this study
Biogenic	<u>Soil</u>	$\underline{-59.8 \sim -14.0}$	-34.3 (Felix & Elliott, 2014)
	Livestock Waste	<u>-29 ~ -8.5</u>	-18.8 (Felix & Elliott, 2014)
Area	Off-road Gasoline	<u>-21.1 ~ 8.5</u>	<u>-11.5 (Walters et al., 2015b)</u>
	Off-road Diesel		<u>-10.5 (Walters et al., 2015b)</u>
Mahila	On-road Gasoline	<u>-28.1 ~ 17</u>	-2.7 (Walters et al., 2015b)
woone	On-road Diesel		-2.5 (Walters et al., 2015b)
Doint	Coal-fired Fossil Fuel Combustion	<u>-19.7 ~ 25.6</u>	<u>15 (Felix et al., 2012)</u>
rom	Natural Gas Fossil Fuel Combustion		<u>-16.5 (Walters et al., 2015)</u>

 Table 1: The δ¹⁵N values (in ‰) for NO_x emission sources based on SMOKE processing

 category and NEI sector

2.1.1 Biogenic ¹⁵NO_x emissions

8 The NO_x emission from the soil (Biogenic) was modeled in SMOKE using standard
 9 techniques (details in SA) and the δ¹⁵N values of biogenic NO_x were taken from previous studies.
 10 Li & Wang (2008) measured the NO_x fluxes using dynamic flow chambers for 2 to 13 days after
 11 cropland soil was fertilized by either urea (n=9) or ammonium bicarbonate (n=9), and the δ¹⁵N

12 values of NO_x ranged from -48.9 % to -19.8 %. Felix & Elliott (2014) used passive samplers to

13 <u>collect NO₂ in a cornfield for 20 days, before and after fertilizer application. The δ^{15} N values of</u>

methodology, Miller et al. (2018) collected NO₂ between May and June finding δ^{15} N ranging from 2 3 -44.2 ‰ to -14.0 ‰ (n=37). Yu & Elliott (2017) measured -59.8 ‰ to -23.4 ‰ in 15 samples from 4 soil plots in a fallow field 2 weeks after the precipitation. Based on these studies we adopted an 5 average δ^{15} N value for NO_x emissions from the soil of -34.3 ‰ (Li & Wang, 2008; Felix & Elliott, 6 2014; Yu & Elliott, 2017; Miller et al., 2018). 7 8 2.1.2 Mobile ¹⁵NO_x emissions 9 The SMOKE NO_x emission from on-road vehicles used standard methods (details in SA) and 10 used δ¹⁵N values from prior studies (Moore, 1977; Heaton, 1990; Ammann et al., 1999; Pearson et al., 2000; Savard et al., 2009; Redling et al., 2013; Felix & Elliott, 2014; Fibiger, 2014; Walters 11 et al., 2015a, 2015b). We have excluded studies that infer $NO_x \delta^{15}N$ by measuring plant proxies or 12 13 passive sampling in the environment (Ammann et al., 1999; Pearson et al., 2000; Savard et al. 2009; 14 Redling et al., 2013; Felix & Elliott, 2014). This is because of equilibrium and kinetic isotope 15 effects that can occur as NO_x reacts in the atmosphere to form NO_y , prior to NO_x deposition. In 16 addition, the role vegetation plays in NO_x removal and atmospheric processes that mix the δ^{15} N of 17 emission with the surroundings can also alter the $\delta^{15}N$ from the mobile source. Instead, we 18 estimated the δ^{15} N value of NO_x emissions from vehicles only using studies that directly measured 19 tailpipe NOx emissions. Moore (1977) and Heaton (1990) collected tailpipe NOx spanning -13 ‰ 20 to 2 %, with an average of -7.5 ± 4.7 %. Neither Heaton nor Moore noted whether these 6 vehicles 21 were equipped with any catalytic NOx reduction technology, but it is unlikely since the late 1970 22 and 80's s vehicles were seldomly equipped with catalytic NOx reduction technology. Fibiger 23 (2014) measured 5 samples of NO_x from diesel engines without SCR emitted into a smog chamber, 24 the δ^{15} N values range from -19.2 ‰ to -16.7 ‰ (±0.97 ‰). The most comprehensive studies on 25 vehicle NO_x δ^{15} N values are by Walters et al. (2015a, 2015 b) who measured gas and diesel 26 vehicles separately, including those with and without three-way catalytic converter (TCC) and 27 SCR technology. They also measured on-road and off-road vehicles separately. This research 28 showed that the $\delta^{15}N$ of NO_x for vehicles without SCR or when SCR was not functioning was 29 negative, at around -15‰. As SCRs warmed and became efficient at reducing NO_x the δ^{15} N value 30 became less negative and even went positive. The measurements showed that the $\delta^{15}N$ values of 31 NO_x emitted by gasoline on-road vehicles averages at -2.5 ± 1.5 ‰, and on-road diesel ranged 32 from -5 ‰ to 0 ‰. 33 The emission rate of ${}^{15}NO_x$ from the mobile source was determined by Eq. 4 grid by grid, 34 according to the contributions from on-road gasoline vehicles and on-road diesel vehicles, as well 35 as their corresponding δ^{15} N values of these two types of vehicles grid by grid. NO_x emissions from 36 off-road vehicles are regarded as area sources in SMOKE, which were processed over each county. 37 In contrast, NO_x emissions from on-road vehicles are regarded as the mobile source in SMOKE, 38 which will be processed along each highway. Each grid emission rate of ¹⁵NO_x was assigned based 39 on the contributions from gasoline and diesel vehicles, as well as the relative $\delta^{15}N$ values. The 40 δ^{15} N of on-road gasoline vehicles (-2.7 ± 0.8 ‰) was based on the average of the vehicle travel 41 time within each region with the same zip code (Walters et al., 2015b). $\sum_{n=1}^{15} NO_x \ (mobile) = \left(\frac{\delta^{15}N_{NO_x} (on-road \ gas)}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x \ (on-road \ gas) + \left(\frac{\delta^{15}N_{NO_x} (on-road \ diesel)}{1000} + 1\right) \times 0.0036 \times {}^{14}NO_x \ (on-road \ diesel) \ Eq. (4)$ $\underbrace{Where} \ \delta^{15}N_{NO_x} (on-road \ gas) = -12.35 + 3.02 \times \ln(t+0.455)$ 42 43 44 45

NOx emissions from these measurements range from -30.8 ‰ to -26.5 ‰. Using a similar

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2.1.3 Point source ¹⁵NO_x emissions 1 2 NOx point sources are large anthropogenic NOx emitters located at a fixed, stationary position 3 such as EGUs (electric generating units). Fugitive dust does not significantly contribute to point 4 NOx emissions, so our inventory focused only on power plants (Houyoux, 2005). Power plants 5 were separated into two different types: EGU and Non-EGU (e.g. commercial and industrial combustions). The δ^{15} N value of NO_x emitted from power plants have been estimated to vary from 6 7 -19.7 ‰ to 25.6 ‰ (Heaton, 1987; Heaton, 1990; Snape, 2003; Felix et al., 2012; Felix et al., 2015; 8 Walters et al., 2015b; Savard et al., 2017). We have ignored studies that measured 8¹⁵N of NO₃ or 9 HNO3 from EGUs (Felix et al., 2015, Savard et al., 2017) and instead, only consider those studies 10 that directly measured $\delta^{15}N$ of NO_x. Heaton (1990) collected 5 samples from the different coal-11 fired power stations finding NOx from 6 ‰ to 13 ‰, with a standard deviation of 2.9 ‰. Snape 12 (2003) measured δ^{15} N values of 36 samples from power plants using three different types of coals 13 in combustion chars in a drop tube reactor, with values ranging from 2.1 ‰ to 7.2 ‰, with a 14 standard deviation of 1.37 ‰. The most comprehensive study on coal-fired power plants' NO_x 15 values was by Felix et al. (2012). They measured the δ^{15} N values of NO_x emission from the coal-16 fired power stations with and without different emission control technologies. 16 coal-fired power 17 plants with SCR, 3 coal-fired power plants with SNCR, 15 coal-fired power plants with OFA/LNB, 18 and 8 coal-fired power plants without emission control technology were measured. The $\delta^{15}N$ 19 values of NO_x emissions from these 42 measurements range from 9 ‰ to 25.6 ‰, with a standard 20 deviation of 4.51 ‰. The NO_x δ^{15} N values when different emission control technologies were used 21 varied: the δ^{15} N values of NO_x emissions from coal-fired power plants with SCR range from 15.5 ‰ 22 to 25.6 ‰, those with SNCR ranged from 13.6 ‰ to 15.1 ‰, and those with OFA/LNB ranged 23 from 9.0 % to 12.6 %. The δ^{15} N values of NO_x emissions from coal-fired power plants without 24 emission control technology range from 9.6 ‰ to 11.7 ‰, with a standard deviation of 0.79 ‰. 25 According to Xing et al. (2013), about half of the coal-fired power plants in the United States are 26 equipped with SCR. Thus, we assume 15 ‰ for the NO_x emissions from coal-fired power plants, 27 which is the average between SCR and other emission control technologies. 28 The most comprehensive study on natural gas-fired NOx values (Walters et al. 2015) collected 29 12 flue samples on the rooftop of a house from the ventilation pipe of a natural gas low-NOx burner 30 residential furnace without NOx emission control technology. The measurement showed that the 31 δ^{15} N values of NO_x emitted by natural gas power plants average -16.5 ± 1.7 %, which we used for 32 the NO_x emission from natural gas power plants. The reason for using these values is because they 33 were measurements taken directly from the exhaust pipes, rather than inferring from downwind 34 area or from rain samples, emitted by natural gas power plants, and included power plants with 35 and without SCR technology. The latitude, longitude, and point sources characteristics (EGU and 36 non-EGU, coal-fired or natural gas-fired, implementation of emission control technology) of each 37 power plant was obtained from the US Energy Information Administration (2017). The power 38 plants were assigned grids by their latitudes and longitudes, and the $\delta^{15}N$ values were assigned to 39 these grids based on their emission characteristics, before determining the emission rate of $^{15}NO_x$ 40 from point source using Eq. (2) and (3).

42 <u>2.1.4 Area source ${}^{15}NO_x$ emissions</u>

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43 Area NO_x (details in SA) $\delta^{15}N$ values were based on the assumption that livestock waste and 44 off-road vehicles (utility vehicles for agricultural and residential purposes) accounted for total area 45 sources. Livestock waste $NO_x \delta^{15}N$ values were taken from Felix & Elliott (2014) since it is

46 currently the only study about the $\delta^{15}N$ value of NO_x livestock waste emissions. They placed a



1 effectively constricting its δ^{15} N influence. This enhanced deposition effect was simulated by

2 <u>disabling the chemistry module in CMAQ and enhancing the NO_x dry deposition rates (discussed</u>

3 in 2.2.3). This has an added advantage in that the deposited NO_x δ^{15} N should be similar to the NO₃-

4 $\delta^{15}N$, which is not being generated in this model. We emphasize that in this model the isotope

5 effects associated with the photochemical transformation of NO_x into HNO_3 (and other higher N

6 oxides) and deposition are ignored and will be addressed in the forthcoming paper.
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2.2.1 Meteorology input dataset

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9 To explore the impact of atmospheric processes, the meteorology input datasets for the years 10 2002 and 2016 were prepared and compared. The CMAQ CTM (CCTM) used the NARR (North 11 American Regional Reanalysis) and NAM (North American Mesoscale Forecast System) to 12 convert the weather observations (every 3 hours for NARR, every 6 hours for NAM Analyses) 13 into gridded meteorological elements, such as temperature, wind field, and precipitation, with the 14 horizontal resolution of 12 km, and 34 vertical layers, with the thickness, increases with height, 15 from 50 m near the surface to 600 m near the 50 mb pressure level. These were used to generate the gridded meteorology files on an hourly basis, using the Weather Research and Forecasting 16 17 Model (WRF). To maintain consistency between the NOx emission dataset and the meteorology, 18 the same coordinate system, spatial domain, and grid size used in the SMOKE model were used 19 in the WRF simulation. The WRF outputs were used to prepare the CMAQ-ready meteorology 20 input dataset using CMAQ's MCIP (the Meteorology-Chemistry Interface Processor; see SA for details). In these emission-only simulations, the deposition of NOx was effectively set to zero. This 21 22 was accomplished by defining YO =¹⁴NO and YO₂ = ¹⁴NO₂ (in addition to ZO =¹⁵NO and ZO₂ = 23 ¹⁵NO₂) and setting their VDs (deposition velocities) to 0.001 (since setting them to zero collapses 24 the simulation) in the namelist for the gas-phase species (GC_cb6r3_ae6_aq.nml).

26 <u>2.2.2</u> Initial condition and boundary condition for the simulation

27 The meteorological fields generated by MCIP were used as the inputs for Initial Conditions 28 Processor (ICON) and Boundary Conditions Processor (BCON), used for running CCTM of 29 CMAQ. The ICON program prepares the initial chemical/isotopic concentrations in each of the 30 3D grid cells for use in the initial time step of the CCTM simulation. The BCON program prepares 31 the chemical/isotopic boundary condition throughout the CCTM simulation. The CMAQ default 32 ICON and BCON for a clean atmosphere were used, which had $NO_x \le 0.25$ ppb. The ¹⁵NO_x were 33 added to the outputs of ICON and BCON, with the concentration equal to $0.0036[^{14}NO_x]$, which 34 assumes $\delta^{15}N = 0$ at the initial time step and outside the domain of the simulation. 35

36 2.2.3 The role of deposition and chemical transformation of NO_x

The deposition rates ¹⁴NO_x and ¹⁵NO_x were varied to assess their role in the spatiotemporal 37 38 distribution of NO_x δ^{15} N value and to emulate photooxidation of NO_x. In these "emission + mixing 39 + enhanced deposition" simulations, the molecular mass of Y and Z were set equal (14) to ensure 40 no isotope effect was induced by dry deposition, since the equations for dry deposition have a mass 41 term in the diffusion coefficient calculation. The ¹⁵NO_x/NO_x deposition rates were amplified by 42 first magnifying it to 20 times normal (14 kg/hectare/yr) and testing for the change in NOx 43 concentration relative to the normal deposition rate. Multiple tuning trials were conducted until 44 the e-folding time (lifetime) of NO_x in the atmosphere across the domain averaged about 1 day. 45 This is a typical average NO_x lifetime for a combination of urban, suburban, and rural 46 environments (Laughner & Cohen, 2019). This approach is limited since NO_x lifetime varies

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Deleted: requires multiple steps. The first step is to generate the input for the CTM meteorological model using

Deleted:). Both NARR and NAM Analyses are regional weather model datasets covering North America and were obtained from the National Centers for Environmental Information (2019). NARR and NAM were used

Deleted: The simulation years were 2002 and 2016 and were selected based on the same timeframe as selected NO_y $\delta^{15}N$ measurements. These include measurements of $\delta^{15}N(NO_3)$ at 8 NADP (National Atmospheric Deposition Program) sites within Indiana, Illinois, Ohio, and Kentucky in 2001-03, and the direct measurements of $\delta^{15}N(NO_x)$ between July and August 2016 (Mase, 2010; Riha, 2013). The second step was

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the NEI, they are, by definition, corrected with respect to the NEI. However, a brief discussion of 1 2 the salient geographic distribution of NO_x emissions and comparisons with other studies is 3 warranted for completeness and as a backdrop for the discussion of NOx fractions and resulting 4 δ^{15} N values. We have arbitrarily chosen to sum the NO_x emissions during the April to June time 5 period for this discussion (Fig. 3). 6 The seasonal average NO_x emissions within the geographic domain during April to June range 7 from less than 0.01 tons N/day to more than 15 tons N/day, with the seasonal grid average of 0.904 8 tons/day. This average agrees well with estimates in previous studies for the United States, which 9 were between 0.81 and 1.02 tons/day (Dignon & Hameed, 1989; Farrell et al., 1999; Selden et al., 10 1999; Xing et al, 2012). Within 75% of the geographic domain, the NO_x emissions are relatively 11 low, ranging from between 0 and 0.5 tons/day (Fig. S3). Geographically, these grids are located in 12 rural areas some distance away from metropolitan areas and highways (Fig. 3). NOx emissions 13 within about 20% of the grids is relatively moderate, ranging between 0.5 and 2.0 tons/day (Fig. 14 S3). Geographically, these grids are mainly located along major highways and areas with medium 15 population densities (Fig. 3). Urban centers comprise about 5% of the grids within the geographic 16 domain and these have high NO_x emissions rates, ranging between 2.0 and 15.0 tons/day (Fig. S3). 17 The metropolitan area's average is 5.03 tons/day, which is nearly 14 times of the average emission 18 rate over the rest of the grids within the geographic domain (0.37 tons/day) due to the high vehicle 19 density associated with high population densities. The highest emissions rates are located within 20 large cities (Fig. 3), such as Chicago, Detroit, Minneapolis-St Paul, Kansas City, St. Louis, 21 Indianapolis, and Louisville, as well as the edge of the east coast metropolitan area (dark red). 22 Summing the NOx emissions among the grids that encompass these major midwestern cities, yields 23 city-level NO_x emission rates that vary from 61.2 tons/day (Louisville, KY) to 634.1 tons/day 24 (Chicago, IL). These city-level NOx emission rates (Table S4) agree well with estimates derived 25 from the Ozone Monitoring Instrument (Lu et al., 2015). Grids containing power plants are the 26 significant NOx hotspots within the geographic domain. These account for less than 1% of the 27 grids, but the NO_x emissions from a single grid that contains a power plant can be as high as 93.4 28 tons/day. Geographically, the power plants are mainly located along the Ohio River valley, near 29 other water bodies, and often close to metropolitan areas (Fig. 3). The NOx emission rates of the 30 major power plants within the Midwest simulated by SMOKE (Table S5) match well with the 31 measurement from the Continuous Emission Monitoring System (CEMS) (de Foy et al., 2015; 32 Duncan et al., 2013; Kim et al., 2009). The geographic distribution of grid-level annual NO_x 33 emission density in our simulation also agrees with the county-level annual NO_x emission density 34 discussed in the 2002 NEI booklet (Fig. S4; USEPA, 2018b).



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We next examine the spatial heterogeneity of the NOx source fractions (Fig. 4) for the same 1 2 time period (April to June). The NO_x fraction (f) is defined as the amount of NO_x from a source 3 category normalized to total NO_x ($f_s = NO_x$ (source)/NO_x(total). Since the $\delta^{15}NO_x$ is determined 4 by the NO_x emission fractions within each grid it is important to understand where in the domain 5 these fractions differ and why. The area sources, which mainly consist of off-road vehicles, 6 agriculture production, residential combustion, as well as the industrial processes, which are 7 individually too low in magnitude to report as point sources, are fairly uniform in their distribution 8 across the domain. 9 The SMOKE simulation shows that NO_x emissions from area sources contribute an average 10 NO_x emission fraction (f_{area}) of 0.271 for total NO_x emission and 0.290 for anthropogenic NO_x

11 emission within the Midwest from April to June. The fractions of NOx emission from area sources 12 show a clear spatial variation and range from 0.125 to 0.5 over about 75% of the grids (Fig. S5). 13 Geographically, the grids with relatively higher farea are located in the rural area away from highways, especially in the states of Indiana, Illinois, Iowa, Minnesota, and Ohio, where 14 15 agricultural is the most common land use classification. In the states of Wisconsin and Missouri, 16 the f_{area} is slightly lower due to the higher fraction of NO_x emission from biogenic sources (f_{biog}). 17 In the states of Pennsylvania and Michigan, the farea is slightly lower due to the higher fraction of 18 NO_x emission from mobile sources (f_{mobile}). In addition, the grids with f_{area} greater than 0.75 are 19 mainly located along the Mississippi River and Ohio River, due to wastewater discharge.

20 The fraction of biogenic NO_x (f_{biog}) that are predominately by-products of microbial 21 nitrification and denitrification occurring in soil, shows the clear spatial variation and is highest 22 (from April to June) in the western portion of the domain (Fig. 4). The average fraction of biogenic 23 NOx emission within the Midwest from April to June and is 0.065, which is less than 0.5 in more 24 than 90% of the grids within the geographic domain (Fig. S5). Geographically, the grids with 25 relatively high fbiog are located in the western regions of the Midwest, away from cities and 26 highways, in the states of Minnesota, Iowa, Missouri, Wisconsin, and Illinois, where the density 27 of agricultural acreage and natural vegetation is higher than other states. Furthermore, within 28 regions with higher fbiog, the obvious low fbiog values occur in the megacities and along the 29 highways, which agrees well with the land-use related to the biogenic emission. 30 The SMOKE simulation shows that the NOx emissions from mobile sources contribute to the

fraction (f_{mobile}) of 0.325 for total NO_x emission and 0.347 for anthropogenic NO_x emission within the Midwest from April to June. The f_{mobile} shows a clear spatial variation, with relatively higher f_{mobile} are located in major metropolitan regions and along the highways, where vehicles have the highest density. In addition, within the states with lower f_{mobile} , the obvious high f_{mobile} values occur in the megacities and along the highways, which agrees well with the vehicle activities (US Census Bureau, n.d.). The value of f_{mobile} within the geographic domain distributes evenly on the histogram (Fig. S5). The point sources consist mainly of EGUs, as well as commercial and industrial processes

The point sources consist mainly of EGUs, as well as commercial and industrial processes involving combustion. Based on the SMOKE simulation, the NO_x emission from point sources contributes to the fraction (f_{point}) of 0.339 for total NO_x emission and 0.363 for anthropogenic NO_x emission within the Midwest from April to June. The fractions of NO_x emission from the point source over each grid cell within the geographic domain show a clear spatial variation. Geographically, the NO_x emission from point sources is dominant at the grids, where the power plants are located, mainly along the Ohio River valley and near other water bodies close to metropolitan areas. The point sources have no contribution to the NO_x emission among about 96%

46 of the grids within the geographic domain. The rest of the 4% of the grids within the geographic

1 domain are the locations of power plants. About 1/4 of the power plants are not at the same grids

- 2 as highways, thus these grids have a fraction of at least 0.9 NO_x emission from point sources.
- 3 Whereas the other 3/4 of the power plants share the same grids with highways/cities, thus the point
- 4 sources become relatively less dominant, due to the dilution by the NO_x emission from mobile
- 5 <u>sources.</u>
- 7 <u>3.2 Simulated spatial variability in $\delta^{15}NO_x$ </u>



8

9 Using these NO_x emission source fractions in each grid, the δ^{15} N values of NO_x were⁴ Formatted: Indent: First line: 2 ch

- 10 simulated. Here, the spatial heterogeneity of $\delta^{15}N$ values of NO_x for a single time period is
- 11 discussed. The "emission only" simulation of NO_x δ^{15} N values (at 06 UTC on July 26) ranged

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from -34.3% to 14.9% (Fig. 5a), The majority of the grids within the domain have NO_x δ^{15} N 1

2 values lower than -16.3‰. These low $\delta^{15}N$ values across most of the domain are due to the $\delta^{15}N$

3 of -34.3‰ for biogenic NO_x emission sources in sparsely populated areas where intensive 4

agriculture dominates the land use (Fig. 5a). The $NO_x \delta^{15}N$ values for grids within big cities mainly

5 ranged between -8.75‰ and -5‰ due to the higher fraction of NOx emission from on-road vehicles

6 $(\delta^{15}N \equiv -2.7 \pm 0.8)$, which also resolve major highways. The highest value of $\delta^{15}N$ occurs at the 7 grids, where the coal-fired EGUs (+15‰) and hybrid-fired EGUs are the dominant NO_x source

8 (Fig. 5a).



9

10 The effect of atmospheric mixing on the $\delta^{15}NO_x$ spatial distribution was then taken into account by coupling the ¹⁵NO_x emissions to the meteorology simulation. There are significant 11 12 differences between δ_{x}^{15} NO_x values in the "<u>emission only</u>" (Fig. 5a) and the "<u>emission +</u> transport" (Fig. <u>5b</u>) simulations. For example, under the "<u>emission only</u>" scenario (Fig. <u>5a</u>) the map of $\delta_x^{15}NO_x$ 13 values clearly shows the locations of big cities, major highways, and power plants, but these 14 15 features are much less obvious in the "emission + transport" (Fig. 5b) simulations. The isotopically heavier NO_x emission from big cities disperses to the surrounding rural areas so that the δ_x^{15} NO_x 16 17 values in rural areas become elevated relative to the emission-only simulation. Similarly, the NOx emitted along major highways is transported to the surrounding grids, so that the atmospheric NO_x 18 19 at the grids around the major highways becomes isotopically heavier relative to the "emission only" scenario. We define $\Delta \delta^{15} N_{\text{transport}}$ as the $\delta^{15} N$ difference between "emission only" and "emission + 20 21 transport" scenarios within the grids covered by the plume to quantify this effect (Fig. 6). The most 22 obvious and interesting example is the influence of grids containing coal-fired EGUs on the 23 surrounding region. For example, the southern Illinois' Baldwin Energy Complex (marked with a 24 transparent white box on Fig. 5b) that uses subbituminous coal and bituminous coal as its major 25 energy source. The $\Delta \delta^{15} N_{transport}$ in the regions is altered as a function of distance away from the 26 EGU, In this time snapshot, (06 UTC on Jul 26), the northeastwards propagating plume of NOx 27 emission from the EGU creates higher $\delta_{\mu}^{15}NO_x$ over 135 km away, (Fig. 6). The domain average 28 δ¹⁵N increases from -20,2‰ under the "emission only" scenario to -11,5‰ under the "emission +

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Moved up [10]: The majority of the grids within the domain have NO_x δ^{15} N values lower than -16.3‰.

Deleted: (nitrification and denitrification) ... n sparsely populated areas where intensive agriculture dominates the land use (Fig. 3a...a). The NO_x δ^{15} N values of NO_x emitted into...or grids within big cities mainly ranged between 8.75‰ and -5‰. This is... due to the higher fraction of NO_x emission from on-road vehicles having a $\dots\delta^{15}N$ of \dots -2.7 ± 0.8‰. The fraction of NOx emission from on-road vehicles at the grids...), which also resolve major highways is relatively lower, comparing to the grids within big cities, while still higher than most of the grids within the domain. Thus, the δ^{15} N values along the major highways ranged between -16.25‰ and -8.75‰. [17]

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3.3 Seasonal variation in $\delta_{x}^{15}NO_{x}$ Formatted: Font: Times New Roman, 12 pt 1 2 We next examine the temporal heterogeneity of $\frac{\delta^{15}NO_x}{\Delta^2}$ values over the domain for "emission" Deleted: 15N of NOx 3 only) and interpret them in terms of changes in NO_x emission fractions as a function of time. The Formatted: Add space between paragraphs of the 4 predicted δ^{15} N value of total NO_x emissions in the Midwest during each season shows a significant same style, Outline numbered + Level: 2 + Numbering Style: 1, 2, 3, ... + Start at: 1 + 5 temporal variation (Fig. 7). The $\delta^{15}NO_x$ ranged from -35 ‰ to 15 ‰, with the annual average over Alignment: Left + Aligned at: 0" + Indent at: 6 the Midwest at -6.15 ‰. The maps for different seasons show the obvious changes in δ^{15} N values 0.25 7 over western regions of the Midwest, from green ($\delta^{15}N = -15 \sim -5$ ‰) to dark blue (-35 ~ -15 ‰) Formatted: Font: 12 pt 8 during the month from April to October. Formatted: Font: Times New Roman, 12 pt 9 In order to qualitatively analyze the changes in $\delta^{15}NO_x$ among each season, the value of each Deleted: 10 grid (Fig. 7) were organized into the histograms (Fig. S6), in order to show the percentage of the 11 grid in each color scheme. The grids with $\delta^{15}NO_x$ between -35‰ and -18‰ increase dramatically from less than 10% during fall (Oct-Dec) and winter (Jan-Mar) to more than 20% during spring 12 13 (Apr-Jun) and summer (Jul-Sep). The grids with δ¹⁵NO_x between -18‰ and -2‰ decrease from 14 around 90% during fall and winter to around 75% during spring and summer. In addition, the 15 distribution of $\delta^{15}NO_x$ shifts to lower values during spring and summer. The significant temporal variation in the δ^{15} N value of total NO_x during different seasons can 16 17 be quantitatively explained by changing fractions of NOx emission from the biogenic source in 18 any grid (Fig. S7) using Eq. (6). Unlike other NO_x emission sources, the fraction of NO_x emission 19 from biogenic sources changes significantly among each season within the geographic domain, 20 especially over the rural areas of the states of Minnesota, Iowa, Missouri, Wisconsin, Illinois, Indiana, Kentucky, Michigan, and Ohio (Fig. S7). The fraction of NOx emission from biogenic 21 22 sources over these areas increases from less than 0.25 to more than 0.50 during the months of April 23 to October, which is the growing season. During this period, the surface sunlight hours, 24 temperature, and precipitation are relatively higher and as a result, the canopy coverage of the 25 plants becomes higher, which leads to the increase of the NO_x emission from biogenic sources 26 (Pierce, 2001; Vukovich & Pierce, 2002; Schwede et al., 2005; Pouliot & Pierce, 2009; USEPA, 27 2018a). Besides this, the fertilizer application during this period is also responsible for the increase 28 in soil NOx emission (Li & Wang, 2008; Felix & Elliott, 2014). 29 In order to qualitatively analyze the changes in the fraction of NO_x emission from biogenic 30 sources among each season, the distributions of the fractions among the same cut-offs as the maps 31 on Fig. S7 were shown in the histograms (Fig. S8). Comparing the distributions of the fractions of Moved (insertion) [11] 32 NO_x emission from biogenic sources among the histograms for each season, the effects from the 33 increasing of biogenic NOx emission during the growing season of plants are clearly shown. In 34 general, the distribution of the fraction shifts to higher values during spring (Apr-Jun) and summer 35 (Jul-Sep), indicating the increase of biogenic emissions. As a result, the distribution of $\delta^{15}NO_x$ 36 shifts to lower values during the same period (Fig. 7). The percentage of the grids with the fraction 37 of biogenic emission less than 0.125 decreases dramatically from more than 50% during fall (Oct-38 Dec) and winter (Jan-Mar) to less than 35% during spring (Apr-Jun) and summer (Jul-Sep). As the 39 NO_x emission from biogenic source becomes dominant, the percentage of the grids with $\delta^{15}NO_x$ 40 between -35‰ and -18‰ increases, while the percentage of the grids with $\delta^{15}N(NO_x)$ between -41 18‰ and -2‰ decreases, which sufficiently explains the trends shown on Fig. 7. 42 43

44

We then examine the temporal heterogeneity of atmospheric $\delta_{15}^{15}NO_x$ under the "emission + 1 2 transport" scenario over the domain and interpret them in terms of changes in the propagation of 3 NO_x emission as a function of time. The predicted seasonal average $\delta^{15}NO_x$ in the Midwest shows 4 significant variations (Fig. <u>8</u>). On an annual basis, the $\delta^{15}NO_x$ values range from -19.2% to 11.6%, 5 with the annual average over the Midwest domain of -6.10⁵. Compared with the seasonal δ_{1}^{15} NO_x 6 7 under the "no transport" scenario, the $\delta_x^{15}NO_x$ under the "with transport" scenario has a similar overall average while narrower range, due to the transport and mixing of the air mass. This could 8 be clearly shown on the map, of which the color scheme is smoother, comparing with the seasonal 9 δ^{15} N(NO_x) under the "no transport" scenario (Fig. 7). The maps for different seasons show the 10 obvious changes in δ^{15} N values over western regions of the Midwest, from -8.75 ~ -5% in Oct-Mar to -16.25 ~ -12.5‰ in Apr-Oct. 11

12 In addition to the variability of the NOx emission source, the significant temporal variation in 13 the δ^{15} N value of atmospheric NO_x during different seasons is controlled by the transport and 14 mixing of the air mass, under the different meteorology conditions that vary by season. The PBL height is an effective indicator showing whether the pollutants are under the synoptic condition, 15 16 which is favorable for the dispersion, mixing, and transport after being emitted into the atmosphere (Oke, 2002; Shu et al., 2017; Liao et al., 2018; Miao et al., 2019). In order to qualitatively analyze 17 18 the changes in δ^{15} N values driven by atmospheric processes, the difference between the δ^{15} N value 19 of atmospheric NO_x under the " $\underline{emission +}$ transport" scenario and " $\underline{emission only}$ " scenario $(\Delta \delta^{15}N_{transport})$ on the seasonal basis were shown (Fig. <u>S9</u>). The seasonal $\Delta \delta^{15}N_{transport}$ values range 20 21 from -21,2% to 31,2%, with an average of 4,2%. The overall pattern of the $\Delta \delta^{15} N_{\text{transport}}$ values 22 shows that after the NO_x being emitted into the atmosphere, it became isotopically heavier over 23 the majority of the grids within the domain, and isotopically lighter over the grids that contain big 24 cities, major highways, and power plants. This could be explained by the transport and dispersion 25 of biogenic emissions and anthropogenic emission to the surrounding areas. Among the grids 26 located in rural areas, where the biogenic emission dominates the NO_x budget, the δ^{15} N values 27 increases from around -30% to around -10%, due to transport and dispersion of anthropogenic 28 emission with relatively high emission rates from surrounding cities, highways, or power plants, 29 which brings the isotopically heavier NO_x into the grids. On the other hand, among the grids 30 located in the urban area, highways, or power plants, where anthropogenic emission dominates the 31 NO_x budget, the changes in δ^{15} N values decrease is much less obvious, showing the $\Delta\delta^{15}$ N_{transport} 32 values ranges between -5% and +5%. This could be explained by the relatively high rates of 33 anthropogenic emissions. Thus, the effects of the transport and dispersion of biogenic emissions 34 from the surrounding rural area are minimal.

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Comparing the distributions of the difference in δ^{15} N values (Fig. <u>59</u>) with the corresponding PBL height (Fig. <u>510</u>) among the maps of each season, the effects of PBL height on the propagation of the air mass are clearly shown. The PBL height changes significantly among each season within the geographic domain, especially over Minnesota, Wisconsin, and

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3.4 The simulation over the extracted domain

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Deleted: , especially in the western part of the domain during summer (Fig. 6). The dynamics of PBL height potentially cause the variation in the level of disperse, mixing, and transport of NO _x emission. Due to the significantly higher level of PBL	
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Deleted: ¶ Different versions of emission inventories ¶ There was a dramatic difference in the atmospheric $\delta^{15}N(NO_x)$ simulated depending on which NEI emission dataset was used. In order to compare the spatial heterogeneity of the atmospheric $\delta^{15}N(NO_x)$ generating f different NO _x emission budgets, the same analysis was do on the simulation using the emission input dataset prepar from the 2016 version of NEI (Fig. S7). In general, the simulated atmospheric NO _x based on 2016 NEI is significantly isotopically lighter than based on 2002 NEI. especially in the central and eastern parts of the domain (8). According to Fang & Michalski (2020), the fraction o NO _x emission from the anthropogenic source in NEI-201 was lower than in NEI-2002 for most of the grids within domain. Therefore, the atmospheric $\delta^{15}N(NO_x)$ based on 2016 NEI was lower. According to US Energy Informati Administration (2017b), from 2002 to 2016, S3 Giga Wa coal-fired and 54 Giga Watts natural gas EGU retired in US. The EGU dominates the NO _x emission at the grids where it is located in, account for up to 90% of the total 1 emission (Fang & Michalski, 2020). Given the $\delta^{15}N$ value the NO _x emitted from coal-fired EGU is +15‰ (Table 1) $\delta^{15}N$ values of the atmospheric NO _x over the grids that contain the abandoned coal-fired EGU change dramatica during the period between 2002 and 2016. A similar patt	rom one ed , Fig. f 6 the on tts the NO _x e of , the lly ern
occurs at the grids that contain the EGU, which uses both coal and natural gas as its energy source ($\delta^{15}N = -0.7$	ı [22]

The temporal heterogeneity of difference in atmospheric $\delta_{15}^{15}NO_x$ between extracted-domain 1 simulation and full-domain simulation ($\Delta \delta_{\mu}^{15} N_{\text{extracted-full}}$), to explore the potential bias due to the 2 3 motion of the air mass across the boundary of the geographic domain of the study (Fig. 11). The 4 extracted domain covers the states of Indiana, Illinois, Ohio, and Kentucky, where the 5 measurements of $\delta^{15}NO_{3^{2}}$ at NADP sites are available. The predicted $\delta^{15}N$ of atmospheric NO_x over the <u>extracted</u> domain shows a similar overall pattern as the $\delta^{15}N$ within the same domain from 6 7 the full-domain simulation, except over the southern border of the domain (Fig. S14). In order to 8 qualitatively analyze the effects from the initial boundary condition, the $\delta^{15}N$ of atmospheric NO_x 9 within IN, IL, OH, and KY were extracted from the full-domain simulation (Fig. 8) and compared with the <u>extracted</u>-domain simulation within the same region (Fig. <u>11</u>). The $\Delta \delta_{\text{extracted-full}}^{15}$ values 10 ranged between -0.25‰ and +0.25‰ over most of the grids within the extracted domain, showing 11 12 the difference between <u>extracted</u>-domain simulation and full-domain simulation of δ^{15} N values are 13 trivial. However, near the southern border of the <u>extracted</u> domain, the obvious $\Delta \delta_{1}^{15} N_{extracted-full}$ values close to +0.75% during fall and winter, close to +1.00% during spring and summer occur, 14 15 which indicate the atmospheric NO_x from the <u>extracted</u>-domain simulation is isotopically heavier. The values of $\Delta \delta_{\mu}^{15} N_{\text{extracted-full}}$ become obvious near the southern border, which indicates the 16 17 dilution of NO_x, after it transports out of the domain since the δ^{15} N on the boundary was set to 18 zero. Unlike the southern border, the northern, western, and eastern border of the extracted domain is located a sufficient distance apart from the boundary of the full domain. As a result, the $\Delta \delta_{1}^{15} N_{\text{extracted-full}}$ values are similar over the majority grids within the domain.

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$\left(\right)$	Moved up [11]: S8).
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	tropospheric photochemistry will be addressed in future
	of CMAQ for the simulation.
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	The deposition alters the δ^{15} N of atmospheric NO _x . In order to compare the spatial beterogeneity of the atmospheric
	$\delta^{15}N(NO_x)$ with different settings of NO _x deposition rate,
	the same analysis was done on the simulation using the
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<u>3.5 The role of enhanced NO_x deposition</u>



2	The "emission + mixing + enhanced deposition" simulations significantly alter the $\delta^{13}N$ of
3	atmospheric NOx relative to the normal deposition scenarios. Again, the enhanced deposition cases
4	are removing NOx at rates that would be similar to those by removal during its conversion into
5	<u>HNO₃</u> . Thus, in these cases the NO _x deposited is ~ $\delta^{15}NO_3^-$ and the $\delta^{15}NO_x$ is that in the residual
6	<u>NO_x</u> . The impact of high deposition on the residual NO _x was assessed using $\Delta \delta^{15} N_{hi-no_x}$ the
7	difference between the $\delta^{15}NO_x$ values of atmospheric under the "enhanced deposition" and "no
8	deposition" scenarios. The $\Delta\delta^{15}N_{hi-no}$ range was $\pm 4\%$ and was especially obvious downwind of
9	the locations with large emission rates, such as power plants or megacities (Fig. 12a). This can
10	be explained as a similar fashion to the "no deposition" scenarios (Fig. S15a), where the dispersion
11	of the isotopically heavier NOx emission from big cities, major highways, and power plants
12	elevates the $\delta^{15}NO_x$ values in the surrounding grids located in rural areas, the dispersion of the
13	isotopically lighter biogenic NO _x emission lowers the $\delta^{15}NO_x$ values in the surrounding grids
14	located in the suburb of major cities (Fig. S15b). On the other hand, due to the higher deposition
15	rate, the transport, mixing, and dispersion of NOx emission from different sources are restricted
16	within a smaller geographical extent (Fig. S15b). As a result, under the "enhanced deposition"
17	scenario, the NO _x emissions disperse to fewer surrounding grids but lead to a lower $\delta^{15}NO_x$ values
18	relative to no deposition. The temporal heterogeneity of $\Delta \delta^{15} N_{hi-no}$ over the domain was examined
19	and the impact of enhancing deposition rates of NO _x on the $\delta^{15}N$ of atmospheric NO _x on the
20	seasonal basis was explored (Fig. 14). The seasonal $\Delta \delta^{15} N_{hi-no}$ values range from -3.67‰ to 5.34‰,
21	with an average of 0.51‰. The overall pattern of the $\Delta \delta^{15} N_{hi-no}$ values shows that due to deposition,
22	the atmospheric NOx became isotopically lighter over the majority of the grids since EGU and
23	vehicle NOx is not being transported as far in the enhanced deposition. Conversely, in grids that
24	contain or surround power plants and big cities the $\delta^{15}NO_x$ increases because it is not as effectively
25	mixing with low $\delta^{15}NO_x$ from nearby grids. The enhanced deposition simulation somehow
26	presents the isotope effects associated with the "pseudo photochemical transformation" of NOx
27	into NO _y .
28	The complete isotope effect of tropospheric photochemistry will be addressed in future work,

29 which incorporates ¹⁵N into the chemical mechanism of CMAQ for the simulation,

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Moved down [14]: The δ^{15} N of the corresponding measurement ranges from -33.8‰ to 0.2‰, with the medium of -11.2 ± 8.0‰.

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Finally, we compared the CMAQ predicted $\delta^{15}N(NO_x),$ under the scenario of NEI-2002+WRF2002 at NADP sites within Indiana, Illinois, Ohio, and Kentucky (Table S3) with the measurements of $\delta^{15}N(NO_3)$ from 2001 to 2003. The $\delta^{15}N$ values of atmospheric NO_x



<u>The $\delta^{15}NO_x$ deposition (proxy for $\delta^{15}NO_3^{-1}$) simulated by CMAQ at these sites show similar</u> 2 3 4 5 monthly variations and seasonal trends as SMOKE (Fig. \$19). The ranges of $\delta^{15}N(NO_x)$ values within each month were narrower, comparing to the simulation from SMOKE, with a minimum during February (-8.7~ -4.4%) and a maximum during August (-11.8~-4.2%). The seasonal trend 6 shows low $\delta^{15}N(NO_x)$ during summer, with the median around -7.4‰, and high $\delta^{15}N(NO_x)$ during 7 winter, with the median around -6.0%. Therefore, the CMAQ simulation inherits the monthly 8 variations and seasonal trends from SMOKE, while the atmospheric NOx becomes isotopically 9 heavier, after taking atmospheric mixing and transport into account. As mentioned above, most of 10 the NADP sites are located away from big cities and power plants. Thus, the atmospheric mixing 11 and transport led to the isotopically heavier atmospheric NO_x.

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1	The model was used to predict $\delta^{15}NO_3^{-1}$ and compared with the $\delta^{15}NO_3^{-1}$ in deposition collect	 (F
2	between 2001 and 2003 at several Midwestern NADP sites (Table S4). The measurements of $\delta^{15}N$	_
3	values of NO3 ⁻ at NADP sites from prior studies (Mase, 2010; Riha, 2013) show the similar	
4	monthly variations and seasonal trend as both "no transport" and "with transport" simulations (Fig.	 D
5	<u>S19</u>). There is a wide range of $\delta^{15}N(NO_3^-)$ values within each month, with a minimum during	\sim
6	January (10.4~17.2‰) and a maximum during August (1.0~16.7‰). The seasonal trend shows	
7	low $\delta^{15}N(NO_3)$ during spring, with the median around 9.3‰, and high $\delta^{15}N(NO_3)$ during winter,	
8	with the median around 13.0‰. The measured δ^{15} N values of NO ₃ ⁻ have the same seasonal trend	 (D
9	as the simulated $\delta^{15}N$ values of NO _x . Even considering the effect of atmospheric mixing and	
10	transport, the measured δ^{15} N values of NO ₃ ⁻ is about 17‰ higher than the simulated δ^{15} N values	
11	of NO _x . The difference between CMAQ simulated and measured $\delta^{15}N$ values of <u>deposition</u> is	
12	caused by the following two factors: a). the mixture of isotopically lighter NO _x from the	
13	surrounding area discussed in section 3,3, and b). the net N isotope effect during the conversion of	
14	NO _x to NO ₃ , which will be addressed in future work	D
15	-	(D

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Figure 16: The emission + mixing + deposition CMAQ predicted δ^{15} N value of NO_x deposition using NEI-2002 and 2002 meteorology compared to the measured $\delta^{15}N$ of rain NO₃⁻ at NADP sites within IN, IL, and OH.

The 30 fold enhanced NO_x deposition (see methods) was used to simulate the δ^{15} N value of <u>NO₃</u> deposition ($\delta^{15}N(NO_3)$) that was then compared to observations (Fig. 16). As previously 3 noted, rather than explicitly converting NOx into NOy via the chemical mechanism in CMAQ, 4 which would require writing an isotope-enabled chemical scheme with appropriate rate constants, 5 we amplified NOx deposition as a surrogate. This amplification reduced the NOx lifetime to about 6 1 day, thus by calculating the $\delta^{15}N$ of NO_x in the deposition fraction, as opposed to residual NO_x 7 in the atmosphere, we are approximating the $\delta^{15}N(NO_3)$ in deposition. The model approximation 8 was compared to NO3⁻ collected at NADP sites within Indiana, Illinois, and Ohio in the year 2002 9 (Table S4). The NEI-2002 and WRF2002 were used for the SMOKE emission model and CMAQ 10 simulations, respectively. The $\delta^{15}N(NO_3^{-})$ value in deposition was calculated by $\delta^{15}N(NO_3^{-}) = \Sigma$

1 $f_{NOxhr} \delta^{15} N(NO_x)_{hr}$, where f_{NOxhr} is the hourly mole fraction of NO_x isotopologue deposited (f_{NOxhr} = NO_{xhr}/NO_{xT}) and $\delta^{15}N(NO_x)_{hr}$ is the $\delta^{15}N$ value of NO_x in deposition. The total NO_x deposited 2 (NOxT) used to calculate f_{NOxhr} was the amount deposited 5 days prior to the sampling date since 3 the NADP deposition collection integrate the week. The $\delta^{15}N$ values of NO_x deposition simulated 4 5 by CMAQ under the "enhanced deposition" scenario at each site were compared with the 6 measurements of δ^{15} N values of NO₃ from prior studies (Mase, 2010; Riha, 2013). The scatter plots show moderate positive correlation between sample $\delta^{15}N$ and simulated $\delta^{15}N$, with R² 7 8 between 0.16 and 0.57 (Fig. 16). The difference in the trend line equations among the NADP sites 9 might be caused by the difference in air temperature and photolysis rate, which impact the chemical 10 mechanisms converting NO_x into NO_y and will be explored in future study.

11 12 4. Conclusion

The δ^{15} N of atmospheric NO_x was simulated by SMOKE, by considering the NO_x emissions 13 14 from NEI emission sectors and the corresponding $\delta^{15}N$ values from previous research. $\delta^{15}N$ is an 15 effective tool to track the atmospheric NOx, in terms of its evolution of spatial and temporal 16 composition, altered by atmospheric processes The simulation indicates that the NO_x emission 17 from biogenic sources is the key driver for the variation of δ^{15} N, especially among the NADP sites. 18 The uncertainties in the $\delta^{15}N(NO_x)$ simulation are less than 5‰ over the majority of the grids 19 within the Midwest. For the NO_x emission from the regions dominated by biogenic source, the 20 uncertainties in the $\delta^{15}N(NO_x)$ simulation are less than 10‰. The uncertainties in the $\delta^{15}N(NO_x)$ 21 simulation were well below the difference among the $\delta^{15}N(NO_x)$ values from different NO_x 22 emission sources (Fig. S20). Comparing with the measurements of $\delta^{15}N(NO_3)$ from NADP sites 23 within Indiana, Illinois, Ohio, and Kentucky, the simulated $\delta^{15}N$ agreed well with the seasonal 24 trend and monthly variation. While the simulated NO_x is slightly heavier than the corresponding 25 measurements in West Lafayette, IN, taken from July to August 2016. According to the previous 26 research, the uncertainty of NO_x emission is 71-250% from soil and 10-15% from the vehicle. The 27 variations among the removal efficiency of different emission control technologies vary from 30% 28 to 90%, also causes the uncertainty of power plant NO_x emission. In addition, in this study, due to 29 the lack of measurements, the $\delta^{15}N$ of coal-fired and natural gas non-EGUs (industrial boilers, 30 commercial and residential fuel combustions) were assumed to be the same as the $\delta^{15}N$ of coal-31 fired and natural gas EGUs respectively. Thus, detailed measurements of the 815N of non-EGUs 32 are necessary for future study. Besides this, the non-road vehicles (aircraft, ships, and trains) also 33 need to be included in the future study. 34 If we only consider the effects from NO_x emission sources, the emission from soil, livestock 35 waste, off-road vehicles, and natural gas power plant in West Lafayette, IN are possible to be

36 underestimated, and the emission from the on-road vehicle and coal-fired power plant in West 37 Lafayette, IN are possible to be overestimated. Another reason causing the estimated NO_x 38 isotopically heavier than measured NO_x is the mixing caused by atmospheric processes, since the 39 NO_x emission from the surrounding region of West Lafayette, IN is lighter. In addition, the 40 tropospheric photochemistry could also alter the $\delta^{15}N$ values during the processes that convert NO_x 41 to NO_y .

42 After considering the impacts of atmospheric processes, by simulating CMAQ based on the 43 $\frac{15N \text{ incorporated emission input datasets and the meteorology input dataset simulated from WRF}{14}$ 44 and MCIP, the performance of the simulated $\delta^{15}N(NO_x)$ is better. The simulation indicates that the 45 PBL height is the key driver for the mixture of anthropogenic and natural NO_x emission, which

46 deepens the gap between δ^{15} N of atmospheric NO_x and NO_x emission. After considering the effects

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Moved up [15]: Comparing with the measurements of $\delta^{15}N(NO_3)$ from NADP sites within Indiana, Illinois, Ohio, and Kentucky, the simulated $\delta^{15}N$ agreed well with the seasonal trend and monthly variation.

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8 Data availability: The source code for SMOKE version 4.6 is available at https://github.com/CEMPD/SMOKE/releases/tag/SMOKEv46_Sep2018. The source code for 9 10 CMAQ version 5.2.1 is available at https://github.com/USEPA/CMAQ/tree/5.2.1. The in-detail simulation results for δ^{15} N of NO_x emission based on 2002 and 2016 versions of National Emission 11 Inventory and the associated python codes are achieved on Zenodo.org (10.5281/zenodo.4048992). 12 13 The input datasets for WRF simulation are available at https://www.ncei.noaa.gov/data/. The in-14 detail simulation results for δ^{15} N of atmospheric NO_x under all scenarios discussed in this paper 15 and the CMAQ-based c-shell script for generating BCON for extracted domain simulation are 16 achieved on Zenodo.org (10.5281/zenodo.4311986). 17

Author contributions: Huan Fang and Greg Michalski were the investigator for the project and
 organized the tasks. Huan Fang develop the model codes, performed the simulation to incorporate
 ¹⁵N into SMOKE outputs and generated δ¹⁵N values and reconstruct CMAQ by incorporating ¹⁵N,
 and performed the simulation to generate δ¹⁵N values. Greg Michalski helped Huan Fang in
 interpreting the results. Huan Fang prepared the manuscript with contributions from all co-authors.

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