



1	MESMO 3: Flexible phytoplankton stoichiometry and refractory DOM
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### Abstract

13 We describe the third version of Minnesota Earth System Model for Ocean biogeochemistry 14 (MESMO 3), an earth system model of intermediate complexity, with a dynamical ocean, a 15 dynamic-thermodynamic sea ice, and an energy moisture balanced atmosphere. A major 16 feature of Version 3 is the flexible C:N:P ratio for the three phytoplankton functional types 17 represented in the model. The flexible stoichiometry is based on the power law formulation 18 with environmental dependence on phosphate, nitrate, temperature, and light. Other new 19 features include nitrogen fixation, water column denitrification, oxygen and temperature-20 dependent organic matter remineralization, and CaCO<sub>3</sub> production based on the concept of the residual nitrate potential growth. Also, we describe the semi-labile and refractory 21 22 dissolved organic pools of C, N, P, and Fe that can be enabled in MEMSO 3 as an optional 23 feature. The refractory dissolved organic matter can be degraded by photodegradation at 24 the surface and hydrothermal vent degradation at the bottom. These improvements 25 provide a basis for using MESMO 3 in further investigations of the global marine carbon 26 cycle to changes in the environmental conditions of the past, present, and future.

1. Introduction





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29 Here we document the development of the third version of the Minnesota Earth System 30 Model for Ocean biogeochemistry (MESMO 3). As described for the first two versions 31 (Matsumoto et al., 2008, 2013), MESMO is based on the non-modular version of the Grid ENabled Integrated Earth (GENIE) system model (Lenton et al., 2006; Ridgwell et al., 2007). 32 33 The computationally efficient ocean-climate model of Edwards and Marsh (Edwards and Marsh, 2005) forms the core of GENIE's physical model. MESMO is an earth system model 34 35 of intermediate complexity (EMIC), which occupies a midpoint in the continuum of climate models that span high resolution, comprehensive coupled models on one end and box 36 37 models at the other end (Claussen et al., 2002). MESMO has a 3D dynamical ocean model on a 36 x 36 equal-area horizontal grid with 10° increments in longitude and uniform in the 38 39 sine of latitude. There are 16 vertical levels. It is coupled to a 2D energy moisture balanced 40 model of the atmosphere and a 2D dynamic and thermodynamic model of sea ice. Thus, 41 MESMO retains important dynamics, which allow for simulations of transient climate 42 change, while still being computationally efficient. 43 44 Since the first version, MESMO has continued to be developed chiefly for investigations of 45 ocean biogeochemistry (Table 1). Briefly, in MESMO 1, the main improvements over the 46 predecessor GENIE focused on the biological production and remineralization as well as on 47 the uptake of natural radiocarbon (14C) and anthropogenic transient tracers (Matsumoto et 48 al., 2008). The net primary production (NPP) in MESMO 1 occurred in the top two vertical 49 levels representing the surface 100 m and depended on temperature, nutrients, light, and mixed layer depth (MLD). The nutrient dependence was based on the Michaelis-Menten 50 51 uptake kinetics of phosphate (PO<sub>4</sub>), nitrate (NO<sub>3</sub>), and aqueous CO<sub>2</sub>. The limiting nutrient was determined by the Liebig's rule of the minimum relative to the fixed uptake 52 53 stoichiometry of C:N:P=117:16:1. A single generic phytoplankton functional type (PFT) 54 carried out NPP, which was split between particulate organic matter (POM) and dissolved 55 organic matter (DOM) in a globally constant ratio of 1:2. The semi-labile form of the dissolved organic carbon (DOC) was the only form of DOM simulated in MESMO 1. The POM 56 57 flux across the 100 m level defined the export production. The vertical flux of POM was





58 driven by a fixed rate of sinking and a temperature-dependent, variable remineralization 59 rate. 60 The main aim of MESMO 2 was a credible representation of the marine silica cycle 61 62 (Matsumoto et al., 2013). To this end, the set of limiting nutrients (P, N, and C) in MESMO 1 63 was augmented to include iron (Fe) and silicic acid (Si(OH)4) in MESMO 2 (Table 1). The stable isotope of Si (30Si) was also added as a state variable. The Fe cycle included an 64 65 aeolian flux of Fe, complexation with organic ligand, and particle scavenging of free Fe. The scavenged Fe that reached the seafloor was removed from the model domain. This burial 66 67 flux of Fe balanced the aeolian flux at steady state. Also, a new PFT was added in MESMO 2 to represent diatoms. This new "large" PFT was limited by Si and characterized by a high 68 69 maximum growth rate and large half-saturation constants for the nutrient uptake kinetics. 70 It represented fast and opportunistic phytoplankton that do well under nutrient replete 71 conditions. In comparison, the "small" PFT was characterized with a lower maximum 72 growth rate and smaller half-saturation constants and outperformed the large PFT in oligotrophic subtropical gyres. CaCO<sub>3</sub> production was associated with the "small" PFT in 73 74 MESMO2. The addition of Fe, Si, and the large PFT in MESMO 2 allowed it to have a Fe-75 dependent, variable Si:N uptake ratio (Hutchins and Bruland, 1998; Takeda, 1998), which 76 is critical to simulate important features of the global ocean Si distribution. 77 78 MESMO 1 and 2 were assessed and calibrated by multi-objective tuning and extensive 79 model-data comparisons of transient tracers (anthropogenic carbon, CFCs), deep ocean 80  $\Delta^{14}$ C, and nutrients (Matsumoto et al., 2008, 2013). These versions have been employed successfully in a number of studies of global distributions of carbon and carbon isotopes 81 82 under various conditions of the past, present, and future (Cheng et al., 2018; Lee et al., 2011; Matsumoto et al., 2010, 2020; Matsumoto and McNeil, 2012; Matsumoto and 83 Yokoyama, 2013; Sun and Matsumoto, 2010; Tanioka and Matsumoto, 2017; Ushie and 84 85 Matsumoto, 2012). Also, MESMO 1 and 2 have participated in model intercomparison projects (Archer et al., 2009; Cao et al., 2009; Eby et al., 2013; Joos et al., 2013; Weaver et 86 al., 2012; Zickfeld et al., 2013). 87





88 89 In this contribution, we describe the third and latest version of MEMSO with a number of 90 substantial biogeochemical model modifications and new features that bring MESMO up to 91 date with the evolving and accumulating knowledge of the ocean biogeochemical cycle 92 (Table 1). There is no change in the physical model between MESMO 3 and MESMO 2. The 93 most significant new feature of MESMO 3 over the previous versions is the power law 94 formulation of flexible phytoplankton C:N:P ratio. Other new features include additional 95 PFT diazotrophs that carry out N-fixation, water column denitrification, the dependence of 96 organic matter remineralization on the dissolved oxygen (O<sub>2</sub>) and temperature, and CaCO<sub>3</sub> 97 production based on the concept of the residual nitrate potential growth. Also, we describe the semi-labile DOM for P, N, and Fe (DOP<sub>sl</sub>, DON<sub>sl</sub>, and DOFe<sub>sl</sub>), and the refractory DOM for 98 99 C, P, and N (DOC<sub>r</sub>, DOP<sub>r</sub>, and DON<sub>r</sub>), which can be activated as an optional feature in MESMO 3. Some of these features have been described separately in different publications 100 101 (Matsumoto et al., 2020; Matsumoto and Tanioka, 2020; Tanioka and Matsumoto, 2017, 102 2020a). This work consolidates the descriptions of all these features in a single publication. 103 104 2. Model Description 105 Here we present the full set of biogeochemical equations of MESMO 3 as well as key model 106 parameters (Table 2). We describe only the biogeochemical source and sink terms and omit 107 the physical (advective and diffusive) transport terms that are calculated by the ocean 108 circulation model. We discuss the production terms first, followed by remineralization 109 terms, followed by conservation equations that incorporate both terms. 110 111 2.1 Phytoplankton Nutrient Uptake 112 NPP occurs in the top two vertical levels of the ocean domain above the fixed compensation 113 depth  $(z_c)$  of 100 m. Key parameter values are given in Table 2a. Nutrient uptake by 114 phytoplankton type i ( $\Gamma_i$ ) depends on the optimal nutrient uptake timescale ( $\tau_i$ ), nutrients, 115 temperature (T), irradiance (I), and mixed layer depth  $(z_{ml})$ : 116





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$$\Gamma_{i} = \frac{1}{\tau_{i}} \cdot F_{N,i} \cdot F_{T} \cdot F_{I} \cdot max \left\{ 1, \frac{z_{c}}{z_{mI}} \right\}$$

- Subscript i refers to PFT (i =1: eukaryotes, i =2: cyanobacteria, i = 3: diazotrophs). The
- nutrient dependence F<sub>N,i</sub> is given by Liebig's law of minimum combined with Michael-
- Menten uptake kinetics of limiting nutrients: PO<sub>4</sub>, NO<sub>3</sub>, CO<sub>2</sub>, (aq), total dissolved iron (sum
- of free iron and ligand-bound iron; FeT=Fe'+FeL), and silicic acid (Si(OH)<sub>4</sub>):

$$F_{N,i} = min\left(\frac{[PO_4]}{[PO_4] + K_{PO_4,i}} \cdot [PO_4], \frac{[NO_3]}{[NO_3] + K_{NO_3,i}} \cdot [NO_3] \cdot Q_{N,i}^{-1}, \frac{[CO_2(aq)]}{[CO_2(aq)] + K_{CO_2,i}} \cdot [CO_2(aq)] \cdot Q_{C,i}^{-1}, \frac{[FeT]}{[FeT] + K_{FeT,i}} \cdot [FeT] \cdot Q_{Fe,i}^{-1}, \frac{[Si(OH)_4]}{[Si(OH)_4] + K_{Si(OH)_4}} \cdot [Si(OH)_4] \cdot Q_{Si}^{-1}\right),$$

- where  $K_{X,i}$  is the half-saturation concentration of nutrient X for PFT i. Only eukaryotes (i=1)
- are limited by Si(OH)<sub>4</sub>. Diazotrophs (i = 3) are not limited by NO<sub>3</sub>. Nutrient uptake  $\Gamma$  is
- based on the master nutrient variable P, and all other nutrient uptake is related to  $\Gamma$  by the
- uptake stoichiometry  $Q_{X,i}$ , where X is N, Fe, Si, or C. For example,  $Q_{C,i} = \frac{1}{[P:C]_i}$  for PFT i. Thus,
- 128 Q<sub>c,i</sub> is numerically equivalent to C:P for PFT i, but we write the equations in terms of P:C for
- numerical stability and convenience. The  $Q_{X,i}$  ratios represent the flexible phytoplankton
- uptake stoichiometry and describe more fully in the following section 2.2.
- The temperature dependence  $F_T$  of Equation 1 is given by:

$$F_T = \frac{T(\,^\circ\mathcal{C}) + 2}{T(\,^\circ\mathcal{C}) + 10}$$

- which is analogous to the commonly used  $Q_{10} = 2$  relationship. Light limitation  $F_I$  of
- Equation 1 is described by a hyperbolic function:





 $F_T = \frac{I}{I + 20}$ 

137 138 where I is the seasonally variable solar short-wave irradiance in W m-2. Light is attenuated 139 exponentially from the ocean surface with a 20 m depth scale. 140 141 Nutrient uptake in Equation 1 has a dependence on  $z_{ml}$ , which is diagnosed using the  $\sigma_t$ 142 density gradient criterion (Levitus, 1982). Following the Sverdrup (1953) model of the 143 spring bloom, Equation 1 allows for the shoaling of z<sub>ml</sub> relative to z<sub>c</sub> to enhance nutrient 144 uptake. 145 146 2.2 Phytoplankton uptake stoichiometry 147 As noted above, all nutrients and  $O_2$  are related to the main model currency P by  $Q_{X,i}$ . We describe three different, mutually exclusive formulations in this section. The standard 148 149 formulation is the power law model (Matsumoto et al., 2020; Tanioka and Matsumoto, 2017). The other two (Linear model and Optimality-based model of stoichiometry) are 150 151 alternative formulations that have been coded, and the user can activate them (one at a time) in place of the power law formulation. However, the alternative formulations are not 152 153 calibrated. Key parameter values are given in Table 2b for the power law formulation. 154 155 2.2.1 Power law model of stoichiometry 156 The uptake P:C and N:C ratios are calculated using the power-law formulation as a function 157 of ambient concentrations of phosphate [PO<sub>4</sub>], nitrate [NO<sub>3</sub>], temperature (T), and 158 Irradiance (I):





$$[P:C]_{i} = [P:C]_{0,i} \cdot \left(\frac{[PO_{4}]}{[PO_{4}]_{0}}\right)^{s_{PO_{4},i}^{P:C}} \cdot \left(\frac{[NO_{3}]}{[NO_{3}]_{0}}\right)^{s_{NO_{3},i}^{P:C}} \cdot \left(\frac{T}{T_{0}}\right)^{s_{T,i}^{P:C}} \cdot \left(\frac{I}{I_{0}}\right)^{s_{I,i}^{P:C}}$$

$$[N:C]_i = [N:C]_{0,i} \cdot \left(\frac{[PO_4]}{[PO_4]_0}\right)^{S_{PO_4,i}^{N:C}} \cdot \left(\frac{[NO_3]}{[NO_3]_0}\right)^{S_{NO_3,i}^{N:C}} \cdot \left(\frac{T}{T_0}\right)^{S_{T,i}^{N:C}} \cdot \left(\frac{I}{I_0}\right)^{S_{I,i}^{N:C}}$$

- 161 Equations 5 and 6 are the power-law equations that calculate the change in P:C and N:C for
- 162 fractional changes in environmental drivers relative to the reference P:C and N:C,
- respectively (Matsumoto et al., 2020; Tanioka and Matsumoto, 2017). The exponents are
- the sensitivity factors determined by a meta-analysis (Tanioka and Matsumoto, 2020a).
- Subscript "0" indicates the reference values (Table 2b).

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- 167 The P:C and N:C ratios from Equations 5 and 6 can then be converted to  $Q_{N,i}$  and  $Q_{C,i}$  for use
- in Equation 2.

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$$Q_{C,i} = \frac{1}{[P:C]_i}$$

$$Q_{N,i} = \frac{1}{[P:N]_i} = \frac{[N:C]_i}{[P:C]_i}$$

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### 2.2.2 Linear model of stoichiometry by Galbraith & Martiny

- 172 A much simpler, alternative formulation for P:C and N:C is the model of Galbraith & Martiny
- 173 (2015) where P:C is a linear function of [PO<sub>4</sub>] (in  $\mu$ M), and N:C is a Holling type 2 functional
- form with a frugality behavior only at very low [NO<sub>3</sub>] (in µM). The same P:C and N:C values
- are applied to all three PFTs.





$$[P:C] = \frac{6.9 \cdot [PO_4] + 6.0}{1000}$$

$$[N:C] = 0.125 + \frac{0.03 \cdot [NO_3]}{0.32 + [NO_3]}$$

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## 2.2.3 Optimality-based model of stoichiometry

maximum nutrient uptake rate following (Eppley, 1972).

connects the cellular P, N, and C acquisition by a chain of limitations, where the P quota limits N assimilation and the N quota drives carbon fixation (Pahlow et al., 2013; Pahlow and Oschlies, 2009, 2013). Resource-allocation of cellular P, N, and C among different cellular compartments are derived from balancing energy gain from gross carbon fixation and energy loss due to nutrient acquisition and light-harvesting. The optimality-based model by Pahlow et al. (2013) computes C:N and C:P as a function of nutrient availability (PO<sub>4</sub> and NO<sub>3</sub>), irradiance, and day length. Temperature dependence was added by

Arteaga et al. (2014) following the simple logarithmic temperature dependence on

The optimality-based model of phytoplankton growth is based on the chain model, which

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Different versions of this optimality-based model have previously been successfully implemented in global ocean biogeochemical models, such as the Pelagic Interactions Scheme for Carbon and Ecosystem Studies (PISCES) (Kwiatkowski et al., 2018, 2019) and the University of Victoria Earth System Model (UVIC) (Chien et al., 2020; Pahlow et al., 2020). However, as we are not describing any results in this paper, we will only mention here that there is an option to calculate C:N:P using this stoichiometry model in MESMO 3. The full description of the optimality-based stoichiometry model and its parameter

calibration are presented specifically for the UVic model elsewhere (Chien et al., 2020;

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### 2.2.4 Stoichiometry of iron and silica

Pahlow et al., 2020).

Iron uptake stoichiometry  $Q_{Fe,i}$  is calculated as a function of FeT following the power-law formulation of Ridgwell (2001). Key parameter values are given in Table 2c.





$$Q_{Fe,i} = [Fe: P]_i = [Fe: C]_i \cdot Q_{C,i}$$
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$$[Fe: C]_i = 1.0/([C: Fe]_{min,i} + [C: Fe]_{ref,i} \cdot [FeT]^{-s^{Fe:C_i}})$$
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205 For all PFTs, the power law exponent s<sup>Fe:C</sup> in Equation 12 is -0.65. The allowable Fe:C ratio is bounded at the low end by the hard-bound minimum Fe:C of 1:220,000. The scaling 206 207 constant or [C:Fe]<sub>ref.i</sub> is set differently for PFTs, with eukaryotes having a higher base 208 [C:Fe]<sub>refi</sub> than cyanobacteria and diazotrophs (115,623:1 and 31,805:1, respectively). The 209 high end of the allowable Fe:C ratio is bounded by [C:Fe]<sub>min,i</sub> (i.e., maximim Fe:C) of 210 15,000:1 for eukaryotes and 20,000:1 for cyanobacteria/diazotrophs. These parameters 211 directly follow Ridgwell (2001), who fitted power-law functions to the experimental data 212 (Sunda and Huntsman, 1995).

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Silica uptake stoichiometry by eukaryotes Q<sub>Si</sub> is a power law of total dissolved iron [FeT] and increases with a decrease in [FeT] (Brzezinski, 2002). The power law exponent s<sup>Si:N</sup> is set to 0.7. The Si:N ratio is limited to a maximum of 18 and a minimum of 1.

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$$Q_{Si} = [Si: P] = [Si: N] \cdot Q_{N,1}$$
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$$[Si:N] = min\left([Si:N]_{max}, max\left([Si:N]_{min}, \left(\frac{[FeT]}{0.5 \ nmol \ kg^{-1}}\right)^{-s^{Si:N}}\right)\right)$$

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- $O_2$  liberated by phytoplankton during photosynthesis per  $PO_4$  consumed  $(Q_{-O_2,i})$  is
- 220 calculated from the uptake C:P and N:P ratios (Tanioka and Matsumoto, 2020b):

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$$Q_{-Q_{2}i} = 1.1Q_{Ci} + 2Q_{Ni}$$
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### 2.3 Production of POM and DOM





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- In the top 100 m of the model domain, where phytoplankton P uptake occurs (i.e.,  $\Gamma_i > 0$ , see section 2.1), NPP is produced and immediately routed to POM and DOM pools (Figure 1). The production fluxes of POM, DOM<sub>sl</sub>, and DOM<sub>r</sub> from NPP are given as Jprod. Here we
- write the equations in terms of P, which is the mater nutrient variable:

$$Jprod_{POP_i} = (1 - fDOM) \cdot \Gamma_i$$
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$$Jprod_{DOP_{sl}} = \sum_{i} (1 - fDOM_r) \cdot fDOM \cdot \Gamma_i$$
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$$Jprod_{DOP_r} = \sum_{i} fDOM_r \cdot fDOM \cdot \Gamma_i$$
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- The term fDOM denotes the fraction of NPP that is routed to DOM as opposed to POM.
- Likewise, fDOM<sub>r</sub> is the fraction of DOM that is routed to DOM<sub>r</sub> as opposed to DOM<sub>sl</sub>. The
- value of fDOM<sub>r</sub> is not well known but estimated to be  $\sim$ 1% (Hansell, 2013), which we
- 233 tentatively adopt in MESMO 3. If  $DOM_r$  is not selected in the model run,  $fDOM_r = 0$ . In
- 234 previous versions of MESMO, fDOM was assigned a constant value of 0.66. In reality, a large
- variability is observed for this ratio, ranging from 0.01-0.2 in temperate waters to 0.1-0.7 in
- the Southern Ocean (Dunne et al., 2005; Henson et al., 2011; Laws et al., 2000). In MESMO
- 237 3, fDOM is calculated as a function of the ambient temperature following Laws et al. (2000):

$$fDOM = 1.0 - min(0.72, max(0.04, 0.62 - 0.02 \cdot T(^{\circ}C)))$$
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- This formulation gives low export efficiency (i.e., high fDOM) in the warmer regions
- compared to the colder high latitude regions. We impose fixed fDOM upper and lower
- bounds of 0.96 and 0.28, respectively, as estimated from a previous study (Dunne et al.,
- 243 2005).
- In MEMSO 3, a new DOM production pathway below the production layer is available as an
- option. In previous MESMO versions, sinking POM was respired in the water column with





247 the loss of O<sub>2</sub> directly to the dissolved inorganic forms (i.e., POC-->DIC, POP-->PO<sub>4</sub>, and 248 POP-->NO<sub>3</sub>). In the new "deep POC split" pathway, sinking POM is simply broken down into 249 DOM without the loss of O<sub>2</sub> (Figure 1). If DOM<sub>r</sub> is selected in the model, the broken down POM is further routed to both DOM<sub>sl</sub> and DOM<sub>r</sub> according to fDOM<sub>r</sub>. If not, all of the broken 250 251 down POM is converted to DOM<sub>sl</sub>. Thus, when the deep POC split is activated, the presence 252 of DOM in the deep ocean can be accounted for by in situ production of DOM and DOM, in 253 addition to DOM transport from the surface. Thus, the deep POC split pathway offers an 254 alternative means to control deep ocean DOM distribution.

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### 2.4 Production of CaCO<sub>3</sub> and opal by eukaryotes

In MESMO 2, opal production was associated with the large PFT and  $CaCO_3$  production was associated with the "small" PFT. We recognize that coccolithophorids and diatoms, which are the producers of these biogenic tests, are both eukaryotes. Therefore, in MEMSO 3, we associate both  $CaCO_3$  and opal production with the POM production by the same eukaryote PFT (Jprod<sub>POM1</sub>):

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$$Jprod_{caco3} = r^{caco_3:POC} \cdot Jprod_{POM1} \cdot Q_{C,1}$$
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$$[prod_{onal} = [prod_{POM1} \cdot Q_{Si}]$$
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The concept of the residual nitrate potential growth (RNPG) (Balch et al., 2016) is useful in allowing competition between diatoms and non-siliceous phytoplankton within the same PFT (Matsumoto et al., 2020). Typically, in the real ocean, non-Si phytoplankton are able to grow faster and dominate the community if Si concentration is low and diatom growth is Si limited. Otherwise, diatoms are more competitive, as they have higher intrinsic growth rates. The RNPG index recasts the ambient concentrations of  $NO_3$  and  $Si(OH)_4$  into potential algal growth rates:





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$$RNPG = \frac{[NO_3]}{[NO_3] + K_{NO_3,1}} - \frac{[Si(OH)_4]}{[Si(OH)_4] + K_{Si(OH)_4}}$$
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273 If RNPG is more positive, the index indicates that nitrate-dependent growth exceeds
274 silicate-dependent growth. Thus, non-Si phytoplankton are more competitive, and this
275 leads to higher CaCO<sub>3</sub> production. On the other hand, a more negative RNPG implies that
276 silicate limitation for diatoms is relieved, leading to enhanced diatom growth and reduced
277 CaCO<sub>3</sub> production. The RNPG index is incorporated in the calculation of the rain ratio

 $r^{CaCO_3:POC}$  presented in Equation 20 as:

$$r^{CaCO_3:POC} = r_0^{CaCO_3:POC} \cdot (\Omega - 1)^{\eta} \cdot min(1, max(0.1, RNPG)) \cdot k_{T,CaCO_3}$$
 23

Equation 23 indicates the base rain ratio  $r_0^{\text{CaCO}_3:\text{POC}}$  (set to 0.30) is also modified by the carbonate ion saturation state  $\Omega$  by  $\eta$  (set to 1.28) by as well as by temperature (see Ridgwell et al. (2007) and references therein):

 $\Omega = \frac{[Ca^{2+}][CO_3^{2-}]}{K_{sn}}$ 24

$$k_{T,CaCO_3} = min\left(1.0, \frac{T(°C) + 2}{T(°C) + 8}\right)$$
25

 $K_{sp}$  is the solubility product of CaCO<sub>3</sub>. The temperature dependency of CaCO<sub>3</sub> formation  $(k_{T,CaCO_3})$  is similar to that of Moore et al. (2004) where warmer temperatures favor the growth of carbonate-bearing phytoplankton.

### 2.5 Remineralization of POM and DOM

Once produced, both POM and DOM undergo remineralization throughout the water column. Key remineralization parameter values are given in Table 2d. Previously, POM remineralization had a temperature dependence and decayed exponentially with depth





294 (Yamanaka et al., 2004). In MESMO 3, we incorporate an additional dependency on 295 dissolved oxygen following Laufkötter et al. (2017):

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$$R_{POM_i} = V_{POM} \cdot e^{k_R \cdot T \cdot \frac{[O_2]}{[O_2] + K_{O_2}}} \cdot [POM_i]$$
<sup>26</sup>

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298  $V_{POM}$  is the base remineralization rate, parameter  $k_R$  expresses the temperature sensitivity 299 of remineralization, and K<sub>0</sub>, is half-saturation constant for oxygen-dependent 300 remineralization. When the sediment model is not coupled, any POM that reaches the 301 seafloor dissolves completely to its inorganic form and is returned to the overlying water.

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In MESMO 3, all forms of semi-labile DOM remineralize at the same rate. It is represented by  $\tau_{sl}$ , the inverse of the time scale of  $DOM_{sl}$  decay, which has been estimated previously to be  $\sim$ 1.5 years (Hansell, 2013):

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$$R_{DOM_{sl}} = \tau_{sl} \cdot [DOM_{sl}]$$
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308 All forms of  $DOM_r$  also remineralize at the same rate in MESMO 3. In total, there are three 309 optional, additive sinks of DOM<sub>r</sub> in the model: slow background decay, photodegradation, and degradation via hydrothermal vents (Figure 1). Observations clearly indicate that the 310 311  $^{14}$ C age of deep ocean DOC<sub>r</sub> is  $10^3$  years (e.g., Druffel et al., 1992), much older than DI $^{14}$ C. 312 Also, the deep ocean DOC<sub>r</sub> concentration decreases modestly along the path of the deep 313 water from the deep North Atlantic to the deep North Pacific (Hansell and Carlson, 1998). 314 Thus, it is understood that there is a slow DOM<sub>r</sub> background decay in the deep ocean. We 315 represent this process with  $\tau_{bg}$ , which is the inverse of the background decay time scale, estimated to be  $\sim$ 16,000 years (Hansell, 2013). 316 318 Observations to date indicate that photodegradation is a major sink of DOM<sub>r</sub> (e.g., Mopper 319

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et al., 1991). This process is believed to convert  $DOM_r$  that is upwelled from the ocean interior into the euphotic zone into more labile forms of DOM. We represent





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photodegradation with  $\tau_{photo}$ , the inverse of the decay time scale, estimated to be ~70 years (Yamanaka and Tajika, 1997).

324 Finally, observations of DOM emanating from different types of hydrothermal vents 325 indicate that they have variable impacts on the deep sea DOM<sub>r</sub> (Lang et al., 2006). However, 326 the off-axis vents circulate far more seawater through the fractured oceanic crust than the 327 high temperature and diffuse vents and thus believed to determine the overall impact of 328 the vents on the deep sea DOM<sub>r</sub> as a net sink (Lang et al., 2006). Here we assume simply 329 that seawater that circulates through the vents loses all DOM<sub>r</sub> (i.e.,  $1/\tau_{vent}$  < $\Delta t$ , where  $\Delta t$  is 330 the biogeochemical model time step of 0.05 year). This means that the more seawater 331 circulates through the vents, the more DOM<sub>r</sub> is removed: the total removal rate depends on 332 the vent flux of seawater  $H_{flux}$ . We implement the vent degradation of DOM<sub>r</sub> in MESMO 3 by 333 first identifying the wet grid boxes located immediately above known mid-ocean ridges. We 334 then distribute the annual global  $H_{flux}$  of  $4.8 \times 10^{16}$  kg yr<sup>-1</sup> (Lang et al., 2006) equally among those ridge-associated grid boxes. The grid cells contain a mass of seawater much greater 335 than the mass that circulates through vents in  $\Delta t$  ( $10^{21}$  kg vs.  $10^{13}$  kg). Therefore, the 336 337 seawater mass in the vent grid cells that does not circulate through the vents in  $\Delta t$  is 338 subject only to background degradation in MESMO 3.

The three  $\mathsf{DOM}_r$  sinks are not mutually exclusive. They can thus be combined to yield the total  $\mathsf{DOM}_r$  remineralization rate:

$$R_{DOM_r} = (\tau_{bg} + \tau_{photo} + \tau_{vent} \cdot \frac{SW_{flux\_local}}{SW_{grid}}) \cdot [DOM_r]$$
28

where  $SW_{flux\_local}$  is the mass of seawater that circulates through the vents in each grid box in  $\Delta t$ , and  $SW_{grid}$  is the total mass of seawater in the same grid box.

The amount of  $O_2$  respired as a result of these POM and DOM remineralization processes is related to the organic carbon pools by the respiratory quotients of POC and DOC,  $r_{-O_2:POC}$ 





and  $r_{-O_2:DOC}$ , respectively. These are molar ratios of  $O_2$  consumed per unit organic carbon respired. They are variable and calculated from the ambient POM and DOM concentration (Tanioka and Matsumoto, 2020b):

352

$$r_{-O_2:POC} = 1.1 + \frac{2[PON]}{[POC]}$$

$$r_{-O_2:DOC} = 1.1 + \frac{2[DON]}{[DOC]}$$
30

353354

## 2.6 Remineralization of CaCO<sub>3</sub> and opal

- Remineralization of CaCO<sub>3</sub> and opal particles occurs as they sink through the water column
- and remains the same as in MESMO 2. Key parameter values are given in Table 2d.
- Remineralization of CaCO<sub>3</sub> is a function of temperature similar to that of particulate organic
- 358 matter remineralization but without oxygen dependency. The temperature dependence
- term  $k_R$  modifies the base remineralization rate  $V_{CaCO_3}$ :

360

$$R_{CaCO_3} = V_{CaCO_3} \cdot e^{k_R \cdot T} \cdot [CaCO_3]$$
 31

361

- 362 Opal remineralization in the water column follows Ridgwell et al. (2002). The rate of opal
- remineralization  $R_{opal}$  is given by the product of normalized dissolution rate  $(r_{opal})$ , base
- opal dissolution rate (k<sub>opal</sub>), and opal concentration [opal]:

365

$$R_{opal} = r_{opal} \cdot k_{opal} \cdot [opal]$$
 32

$$r_{opal} = 0.16 \cdot \left(1 + \frac{T(\mathcal{C})}{15}\right) \cdot u_{opal} + 0.55 \cdot \left(\left(1 + \frac{T(\mathcal{C})}{400}\right)^4 \cdot u_{opal}\right)^{9.25}$$
33

$$u_{opal} = \frac{[Si(OH)_4]_{eq} - [Si(OH)_4]}{[Si(OH)_4]_{eq}}$$
34





- $r_{opal}$  is a function of temperature (T) and the degree of under-saturation ( $u_{opal}$ ), which in turn is calculated from the ambient [Si(OH)<sub>4</sub>] and [Si(OH)<sub>4</sub>] at equilibrium. The
- 369 equilibrium concentration is a function of ambient temperature:

$$log_{10}([Si(OH)_4]_{eq}) = 6.44 - \frac{968}{T(K)}$$
35

Without the sediment module of MESMO activated, both CaCO<sub>3</sub> and opal particles that reach the seafloor are completely dissolved back to inorganic forms.

374375

371

370

## 2.7 Conservation of organic matter and biogenic tests

The time rate of change of the biogenic organic matter and tests are given by the sum of the production terms (i.e., sources) and the remineralization terms (i.e., sinks). The circulation-related transport terms are omitted as noted above, but the vertical transport due to particle sinking is included here. The sinking speed w is the same for all particles. The sum of POM<sub>i</sub> of all the PFTs give the total POM concentrations:

381

$$\frac{\partial [POP]_i}{\partial t} = Jprod_{POP_i} - \frac{\partial}{\partial z} (w[POP]_i) - R_{POP,i}$$
36

$$\frac{\partial [POC]_i}{\partial t} = Jprod_{POP_i} \cdot Q_{C,i} - \frac{\partial}{\partial z} (w[POC]_i) - R_{POC,i}$$
37

$$\frac{\partial [PON]_i}{\partial t} = Jprod_{POP_i} \cdot Q_{N,i} - \frac{\partial}{\partial z} (w[PON]_i) - R_{PON,i}$$
38

$$\frac{\partial [POFe]_i}{\partial t} = Jprod_{POP_i} \cdot Q_{Fe,i} - \frac{\partial}{\partial z} (w[POFe]_i) - R_{POFe,i}$$
39

$$[POM] = \sum_{i} [POM]_{i}$$

382

The time rate of change of CaCO<sub>3</sub> and opal is expressed in much the same way as POM:





$$\frac{\partial [CaCO_3]}{\partial t} = Jprod_{CaCO_3} - \frac{\partial}{\partial z}(w[CaCO_3]) - R_{CaCO_3}$$
<sup>41</sup>

$$\frac{\partial [opal]}{\partial t} = Jprod_{opal} - \frac{\partial}{\partial z}(w[opal]) - R_{opal}$$
42

386 The DOM pools have the production and remineralization terms without the particle

387 sinking term:

388

385

$$\frac{\partial [DOP_{sl}]}{\partial t} = Jprod_{DOP_{sl}} - R_{DOP_{sl}}$$
43

$$\frac{\partial [DON_{sl}]}{\partial t} = Jprod_{DON_{sl}} - R_{DON_{sl}}$$
<sup>44</sup>

$$\frac{\partial [DOC_{sl}]}{\partial t} = Jprod_{DOC_{sl}} - R_{DOC_{sl}}$$

$$45$$

$$\frac{\partial [DOFe_{sl}]}{\partial t} = Jprod_{DOFe_{sl}} - R_{DOFe_{sl}}$$

$$46$$

$$\frac{\partial [DOP_r]}{\partial t} = Jprod_{DOP_r} - R_{DOP_r}$$

$$47$$

$$\frac{\partial [DON_r]}{\partial t} = Jprod_{DON_r} - R_{DON_r}$$
<sup>48</sup>

$$\frac{\partial [DOC_r]}{\partial t} = Jprod_{DOC_r} - R_{DOC_r}$$

$$49$$

389 390

## 2.8 Conservation of inorganic nutrients

The time rate of change of the inorganic nutrients have organic carbon production as sink terms and remineralization as source terms. The production terms (J<sub>prod</sub>) are zero below the upper ocean production layer. Nutrients generally have a unit of µmol element kg<sup>-1</sup>, except for iron, whose unit is nmol Fe kg<sup>-1</sup>.





$$\frac{\partial [PO_4]}{\partial t} = -\sum_i \Gamma_i + \sum_i R_{POP,i} + R_{DOP_{sl}} + R_{DOP_r}$$
50

$$\frac{\partial [NO_3]}{\partial t} = -\sum_i \Gamma_i \cdot Q_{N,i} + \sum_i R_{PON,i} + R_{DON_{sl}} + R_{DON_T} + Fix_N - Den_N$$
 51

$$\frac{\partial [DIC]}{\partial t} = -\left(\sum_{i} \Gamma_{i} Q_{c,i} + Jprod_{caco3}\right) + \sum_{i} R_{POC,i} + R_{DOC_{sl}} + R_{DOC_{r}} + R_{Caco_{3}}$$

$$+ F_{gas,co_{2}}$$
52

$$\frac{\partial [ALK]}{\partial t} = -\left(2 \cdot Jprod_{CaCO3} - \sum_{i} \Gamma_{i} Q_{N,i}\right) - \sum_{i} R_{PON,i} - R_{DON_{sl}} - R_{DON_{r}} - Fix_{N}$$

$$+ Den_{N} + 2 \cdot R_{CaCO_{3}}$$
53

$$\frac{\partial [FeT]}{\partial t} = -\sum_{i} \Gamma_{i} Q_{Fe,i} + \sum_{i} R_{POFe,i} + R_{DOFe,i} + R_{POM_{Fe}} + Aeolian_{Fe}$$
<sup>54</sup>

$$\frac{\partial [Si(OH)_4]}{\partial t} = -Jprod_{opal} + R_{opal}$$
 55

$$\frac{\partial [O_2]}{\partial t} = \sum_{i} \Gamma_i \cdot Q_{-O_2,i} - \left( r_{-O_2:DOC} \cdot \left( R_{DOC_{sl}} + R_{DOC_r} \right) + \sum_{i} r_{-O_2:POC,i} \cdot R_{POC,i} \right)$$

$$+ 1.25 \ Den_N + F_{gas,O_2}$$

In Equation 51, Fix<sub>N</sub> is the N-fixation carried out by diazotrophs, and Den<sub>N</sub> is the water column denitrification. There is an air-sea gas exchange term  $F_{gas}$  in Equations 52 and 56 for gaseous  $CO_2$  and  $O_2$ , respectively. In Equation 53, alkalinity increases with decreasing nitrate concentrations and increasing  $CaCO_3$  dissolution. Equation 54 contains  $R_{POMFe}$ , which is an iron source that represents remineralization of the Fe' scavenged by sinking particles. These terms are explained in the following sections.

# 2.9 Prognostic nitrogen cycle





Biological production by diazotrophs is stimulated when the ambient NO<sub>3</sub> is low. Nitrogen fixed by diazotrophs during their growth is added to the marine NO<sub>3</sub> pool. The prognostic nitrogen fixation model employed here is similar to that used in the HAMOCC biogeochemical module (Paulsen et al., 2017):

409

$$Fix_N = \Gamma_3 \cdot Q_{N,3} \cdot I_{NO_3} \,, \tag{57}$$

$$I_{NO_3} = \left(1.0 - \frac{[NO_3]^2}{K_{N_2}^2 + [NO_3]^2}\right),$$
58

410

where  $Fix_N$  is the nitrogen fixation rate and  $I_{NO_3}$  is the nitrate dependency term in quadratic Michaelis-Menten kinetics form with the half-saturation constant  $K_{N_2}$ . See Table 2e for the values related to the N cycle.

414

Water-column denitrification is formulated in an approach similar to that of the original GENIE model (Ridgwell et al., 2007), in which 2 moles of  $NO_3$  are converted to 1 mole of  $N_2$  and liberating 2.5 moles of  $O_2$  as a byproduct:

418

$$2NO_3^- + 2H^+ \rightarrow 2.5O_2 + N_2 + H_2O$$
 59

419

Denitrification takes place in grid boxes, in which  $O_2$  concentration is below a threshold concentration ( $O_{2,def}$ ) and is stimulated if the total global inventory of  $NO_3$  relative to  $PO_4$  is high. In other words, denitrification can effectively act as negative feedback to nitrogen fixation. The threshold  $O_2$  concentration ( $O_{2,def}$ ) takes the minimum of the hard-bound  $O_2$ threshold concentration ( $O_{2,crit}$ ) and the  $NO_3$  to  $PO_4$  ratio, scaled by a parameter  $k_D$ . The parameters  $O_{2,crit}$  and  $k_D$  are calibrated to give the global denitrification rate of roughly 100 Tg N yr<sup>-1</sup>, which balances the total nitrogen fixation rate in the model.





$$Den_N = 0.8 [yr^{-1}] \cdot max(([O_2]_{def} - [O_2]), 0)$$
 60

$$[O_2]_{def} = min\left(O_{2,crit}, k_D \cdot \frac{[NO_3]_{inventory}}{[PO_4]_{inventory}}\right)$$
61

429

### 2.10 Prognostic iron cycle

- The iron cycle in MESMO 3 remains the same as in MESMO 2. Key parameter values are
- 431 given in Table 2e. The two species of dissolved iron (Fe' and FeL) are partitioned according
- 432 to the following equilibrium relationship:

433

$$K_{ligand} = \frac{[FeL]}{[Fe] \cdot [L]}$$

434

- where [L] is the ligand concentration, and  $K_{ligand}$  is the conditional stability constant. The
- sum of ligand and FeL is set at a constant value of 1 nmol kg<sup>-1</sup> everywhere. Iron is
- introduced into the model domain by a constant fraction (3.5 weight %) of aeolian dust
- 438 deposition at the surface (F<sub>in</sub>) following the prescribed modern flux pattern (Mahowald et
- 439 al., 2006) with constant solubility ( $\beta$ ):

440

$$S_{Fe} = \beta \cdot F_{in} \tag{63}$$

441

- 442 Particle-scavenged iron POM<sub>Fe</sub> (note the difference from POFe) is produced below the
- productive layer when sinking POM scavenges Fe' to sinking POM:

444

$$J_{Fe} = -\tau_{sc} \cdot K_o \cdot [POC]^{0.58} \cdot [Fe]$$
 64

- where  $\tau_{sc}$  and  $K_o$  and are empirical parameters that determine the strength of scavenging.
- 447 Remineralization of Fe scavenged to POM (POM<sub>Fe</sub>) is identical in form to that of POM
- 448 remineralization:





$$R_{POM_{Fe}} = V_{POM} \cdot e^{k_R T \cdot \frac{[O_2]}{[O_2] + K_{O_2}}} \cdot [POM_{Fe}]$$

$$65$$

450451

The conservation equation of the particle scavenged iron is thus expressed as:

452

$$\frac{\partial [POM_{Fe}]}{\partial t} = J_{Fe} - \frac{\partial}{\partial z} (w[POM_{Fe}]) - R_{POM_{Fe}}$$

$$66$$

453

Any scavenged iron that escapes remineralization in the water column reaching the seafloor is removed from the model domain in order to keep the total Fe inventory at a steady state.

457

- 458 **2.11 Air-sea gas Exchange**
- The air-sea gas exchange formulation remains the same as in MESMO 2 and follows
- Ridgwell et al. (2007). It is the function of gas transfer velocity, the ambient dissolved gas
- concentration, and saturation gas concentration. The flux of CO<sub>2</sub> and O<sub>2</sub> gases across the
- air-sea interface is given by:

463

$$F_{gas,CO_2} = k \cdot \rho \cdot ([CO_2]_{sat} - [CO_2]) \cdot (1 - A)$$

$$67$$

$$F_{gas,O_2} = k \cdot \rho \cdot ([O_2]_{sat} - [O_2]) \cdot (1 - A)$$
 68

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466

467

where k is the gas transfer velocity,  $\rho$  is the density of seawater,  $[CO_2]_{sat}$  and  $[O_2]_{sat}$  are saturation concentrations, and A is the fractional ice-covered area that is calculated by the physical model. Gas transfer velocity k is a function of wind speed (u) following Wanninkhof (1992) where Sc is the Schmidt Number for a specific gas:





$$k = 0.31 \cdot u^2 \cdot \left(\frac{Sc}{660}\right)^{-0.5} \tag{69}$$

471 3 Results and Discussion 472 All new results from MESMO 3 presented here are from the steady state. The "standard" 473 MESMO 3 has the power law model of flexible stoichiometry but no DOM<sub>r</sub>. The results from 474 the standard model (hereafter just MESMO 3) are presented in Section 3.1, and the results from the DOM<sub>r</sub>-enabled model are presented in Section 3.2. In Table 3, we summarize and 475 476 compare key biogeochemical diagnostics from MESMO 3 against those from MESMO 2 and 477 available observational constraints. The global NPP, as well as global export production of 478 POC, DOC, and opal, are comparable or somewhat lower in MESMO 3 than MESMO 2. For 479 example, the global opal export production is nearly the same at 128-130 Tmol Si v<sup>-1</sup>, while 480 the global POC export is 9.4 Pg C y<sup>-1</sup> in MESMO 3 and 11.9 Pg C y<sup>-1</sup> in MESMO 2. One reason 481 for the lower POC export in the new model is that the global mean, production-layer fDOM, 482 which was 0.66 everywhere in MESMO 2, increased to 0.71 in MESMO 3. 483 484 Before discussing the new features of MESMO 3, we note that the new model does just as 485 well if not better than MESMO 2 in terms of the global distributions of PO<sub>4</sub>, NO<sub>3</sub>, O<sub>2</sub>, Si(OH)<sub>4</sub>, 486 and FeT (Supplemental Figures S1, S2, S3, S4, and S5). Overall there is a stronger nutrient 487 depletion in the new model. For example, the surface concentrations of PO4 and NO3 of the 488 two models are both depleted in the subtropical gyres but more so in MESMO 3, which is 489 more in line with the World Ocean Atlas (Figure S1). The spatial pattern of POC export that 490 drives this surface nutrient pattern is similar in the two models (Figure S2). There is a 491 marked improvement in the subsurface distribution of O<sub>2</sub> in MESMO 3 over MESMO 2. 492 Whereas the depth of the oxygen minimum was  $\sim 300$  m in MEMOS 2, it is  $\sim 1000$  m in both 493 MESMO 3 and the World Ocean Atlas (Figure S3). The O2 improvement comes in part from 494 adjusting the particle sinking speed and fDOM. As for Si(OH)4, MESMO 3 preserves MESMO 495 2's surface depletion in much of the world ocean except in the North Pacific and Southern 496 Ocean (Figure S4). This is a feature captured by Si\*<0 (Si\*=[Si(OH)4]-[NO<sub>3</sub>]) in observations 497 (Sarmiento et al., 2004) and simulated previously by MESMO 2 and now MESMO 3. Finally,





498 surface FeT is also depleted more strongly in MESMO 3 over MESMO2, except the North 499 Atlantic, where aeolian deposition of dust from the Sahara maintains a steady Fe supply 500 (Figure S5). 501 502 3.1 Novel features of MESMO 3 503 An important new feature of MESMO 3 is the representation of the primary producers by 504 three PFTs (Figure 2). The eukaryotes are characterized by the highest maximum growth 505 rate and high half-saturation constants. Thus, the eukaryotes are more dominant than the 506 other PFTs in the more eutrophic waters of the equatorial and polar regions (Figure 2a). 507 The cyanobacteria have smaller half-saturation constants and thus are more dominant in the oligotrophic subtropical gyres (Figure 2c). The diazotrophs do not have NO<sub>3</sub> limitation 508 509 but have the lowest maximum growth rate. Thus it is much lower in abundance than the 510 other two PFTs generally, and outcompeted in transient blooms and thus excluded in 511 higher latitudes (Figure 2e). Figure 1 also indicates that all three PFTs show Fe limitation in 512 the Southern Ocean. Outside the Southern Ocean, the eukaryotes are primarily limited by Si(OH)<sub>4</sub> (Figure 1b), while the cyanobacteria is limited by NO<sub>3</sub> (Figure 2d). The diazotrophs 513 514 are limited by iron in much of the world ocean except in the Atlantic basin (Figure 2f), 515 where surface PO<sub>4</sub> is strongly depleted in both observations (Mather et al., 2008) and in 516 our model (Figure S1). 517 518 Figure 3 illustrates the influence of the RNPG index, which was implemented in MESMO 3 519 to allow for the effect of competition between diatoms and coccolithophores within the 520 same PFT (Equations 22 and 23). The eukaryote NPP (Figure 3a) is effectively split into two 521 parts: one is associated with diatoms and opal production (Figure 3b), and the other is associated with coccolithophores and CaCO<sub>3</sub> production (Figure 3c). According to the RNPG 522 523 index, opal production is simulated more in the higher latitudes of the Southern Ocean and 524 the North Pacific, where surface [Si(OH)<sub>4</sub>] is abundant. Elsewhere, CaCO<sub>3</sub> production is 525 relatively larger. The decoupling is prominent in the North Indian. Note that the spatial pattern of CaCO<sub>3</sub> production is quite different in MEMOS 3 (Figure 3c) and MESMO 2 526 527 (Figure 3d), because CaCO<sub>3</sub> production was associated in MESMO 2 with the "small" PFT, 528 which corresponds to the cyanobacteria PFT in MESMO 3.





529 The global pattern of the mean C:P uptake ratio in the production layer is shown in Figure 530 531 4. Consistent with observations (Martiny et al., 2013), the simulated C:P ratio of the 532 phytoplankton community is elevated in the oligotrophic subtropical gyres and low in the 533 eutrophic polar waters (Figure 4a). The community C:P ratio exceeds 200 in the gyres and 534 reaches as low as 40-50 in the Southern Ocean. The community C:P has contributions from 535 both physiological effects (i.e., environment acts on each PFT's C:P ratio) and taxonomic 536 effects (i.e., the shift in the community composition changes the weighting of each PFT's C:P 537 ratio). Figure 4b shows that the community C:P is high in oligotrophic gyres partly because 538 cyanobacteria and to a lesser extent diazotrophs dominate the community, and their C:P ratio is high. Conversely, the community C:P is low in the polar waters in part because the 539 540 eukaryotes dominate and their C:P ratio is low. For both eukaryotes and cyanobacteria, 541 their C:P is high in oligotrophic subtropical gyres because PO<sub>4</sub> is low (Figure 4c and d). This 542 physiological effect is larger in eukaryotes than cyanobacteria because the former has greater sensitivity (i.e., larger sensitivity factor  $s_{P,O_4}^{P,C}$ , Equation 5, Table 2b). However, the 543 cyanobacteria PFT's C:P ratio has an additional sensitivity to temperature (i.e.,  $s_r^{P:C} \neq 0$ ) 544 545 that elevates their C:P in the lower latitudes. We do not show the C:P ratio for diazotrophs 546 because it is very similar to that of cyanobacteria (Figure 4b, d). 547 548 In order to gain more insights into the spatial patterns of the C:P ratio (Figure 4), we 549 examined the relationships between the C:P and C:N ratios and the four possible 550 environmental drivers for eukaryotes and cyanobacteria (Figure 5; again, diazotrophs are 551 not shown). The red plots show that there is a causal relationship between the ratios and 552 the drivers as formulated by the power law model (Equations 5 and 6). The black plots 553 show the absence of a causal relationship. For example, the C:P ratio of both eukaryotes 554 and cyanobacteria are strongly correlated with PO<sub>4</sub> because there is a causal relationship 555 (Figure 5a, b shown in red). Similarly, the C:N ratio of the same two PFTs have a strong correlation with PO<sub>4</sub> (Figure 5c, d in black), but there is actually not a causal relationship 556 (i.e.,  $S_{PO_4}^{N:C} = 0$ , Table 2b). The C:N-PO<sub>4</sub> correlation exists, simply because the nutrients are 557 well correlated. Similarly, because temperature and photosynthetically active radiation 558





559 (PAR) tend to be correlated via latitude, the stoichiometry has a similar correlation to the 560 two drivers. For example, cyanobacteria C:P has a strong correlation with both 561 temperature and PAR (Figure 5j, 4n), but only the temperature is a real driver. Figure 5 562 indicates which are the dominant drivers of the C:N:P ratio in MESMO 3. For the eukaryote 563 C:P ratio, it is PO<sub>4</sub>. For the cyanobacteria C:P ratio, the important drivers are temperature 564 and PO<sub>4</sub>. For the C:N ratio for both eukaryotes and cyanobacteria, NO<sub>3</sub> is more important 565 than PAR. Figure 5 also serves to remind us of one of the most basic lessons of statistics, 566 that correlation does not indicate causation. 567 568 Figure 6 shows the community C:P and C:N ratios plotted against the four environmental 569 drivers. Unlike Figure 5, which reflected the individual PFT's physiological response, Figure 570 6 includes the effect of taxonomy as well. Still, the effects of PO<sub>4</sub> and temperature are 571 clearly visible on the community C:P ratio. Both low [PO<sub>4</sub>] and warmer waters are found in 572 the lower latitudes, so the P frugality and temperature effects are additive. The effect of 573 NO<sub>3</sub> on the community C:N ratio is also very clear, but the effect of PAR is not as clear. Thus overall, the physiological effects seen in the PFT-specific C:N:P are obvious in the 574 575 community C:N:P ratio. 576 577 3.2 DOM<sub>r</sub>-enabled MESMO 3 578 In MESMO 2, DOC<sub>sl</sub> was a standard state variable. In MESMO 3, other forms of DOM are 579 available as options. They are the semi-labile forms of DOM: DOP<sub>sl</sub>, DON<sub>sl</sub>, and DOFe<sub>sl</sub>; and 580 the refractory forms of DOM: DOC<sub>r</sub>, DOP<sub>r</sub>, and DON<sub>r</sub>. MESMO 3 is not yet calibrated with 581 respect to all the DOM variables, but here we demonstrate their potential use in future 582 biogeochemical investigations by presenting steady state DOM results from the model 583 experiment LV (experiment ID: 201027c). In this run, all three sinks of DOM<sub>r</sub> are activated: 584 slow background decay, photodegradation, and degradation in hydrothermal vents. 585 586 The experiment name LV stands for "literature values." In LV, we use the literature values 587 for the key DOM remineralization model parameters (Table 2d) and  $fDOM_r = 0.01$  (Hansell, 2013). All other model parameter values in the LV run are identical to the standard MESMO 588 589 3 model (Table 2). The black lines in Figure 7 show the global mean vertical profiles of the





591 simulated profiles are consistent with the observations, showing a near-uniform DOC<sub>r</sub> 592 concentration and a DOC<sub>sl</sub> profile that rapidly with depth in the top few hundred meters 593 (Hansell, 2013). However, the simulated values reach 130 µmol kg<sup>-1</sup> in the surface, which is 594 approximately twice the observations. More typically, the observed DOC<sub>r</sub> is 30~40 μmol kg<sup>-</sup> 595  $^{1}$ , and the observed DOC<sub>sl</sub> attenuates with depth from  $30\sim40~\mu mol~kg^{-1}$  near the surface. So 596 their sum, which is represented by DOC<sub>t</sub>, is approximately 60-80 μmol kg<sup>-1</sup> at the surface in 597 observations. 598 599 Figure 8 adds a lateral perspective to Figure 7. The rapid DOC<sub>t</sub> attenuation in the vertical is 600 strong in the lower latitudes where stratification is generally stronger. The transport of 601 DOC<sub>s</sub> from the surface to deeper waters is evident in the high latitudes of the North 602 Atlantic and the Southern Ocean. The DOC<sub>t</sub> change in the deep ocean is limited. 603 Observations of deep ocean DOCt indicates a reduction by 29% or 14 µmol kg<sup>-1</sup> from the 604 deep North Atlantic to the deep North Pacific (Hansell and Carlson, 1998). Figure 8 shows 605 that the deep ocean DOC<sub>t</sub> gradient in LV is approximately 10  $\mu$ mol kg<sup>-1</sup> from 70-75  $\mu$ mol kg<sup>-1</sup> 606 <sup>1</sup> in the North Atlantic to <65 umol kg<sup>-1</sup> in the North Pacific. 607 608 The horizontal  $DOC_t$  distributions from the LV run can also be compared to a global 609 extrapolation based on an artificial neural network (ANN) of the available DOC<sub>t</sub> data 610 (Roshan and DeVries, 2017). At the surface, the extrapolation indicates higher DOC<sub>t</sub> 611 concentrations in the subtropical gyres (Figure 9a), while our simulation does not clearly 612 delineate the gyres (Figure 9c). In our model, fDOM is temperature-dependent and strongly 613 controls the production of DOM. The surface DOCt is thus more elevated in the lower 614 latitudes. Interestingly, the ANN study diagnosed higher rates of DOM production in the 615 subtropical gyres. Since the oligotrophic subtropical gyres have low NPP, the diagnosis 616 would thus suggest that somehow fDOM is higher in the gyres. At depths, both the 617 extrapolated and simulated DOCt show a gradual decline in concentrations from the North Atlantic to the North Pacific (Figure 9b, d). The highest deep  $DOC_t$  in the LV run is seen just 618 619 south of Greenland, where convection occurs in the model.

total DOC (DOC<sub>t</sub> = DOC<sub>sl</sub> + DOC<sub>r</sub>) in solid line and DOC<sub>r</sub> in dashed line. Qualitatively, the





620 621 Finally, we show that the deep ocean radiocarbon aging is larger in DIC than in DOCt in the 622 model (Figure 10). The North Pacific-North Atlantic  $\Delta^{14}$ C gradient is roughly -100% for 623 DIC and -70% for DOC<sub>t</sub>. The oldest DOC<sub>t</sub>  $\Delta^{14}$ C is approximately -430% in the North Pacific. 624 If <sup>14</sup>C decay were the only mechanism of change along the path of the deepwater 625 circulation, the  $\Delta^{14}$ C gradient should be quite similar between DIC and DOC<sub>t</sub>, which are both 626 dissolved phases and transported passively by the same circulation. The one potentially important difference is that the addition of the relatively young DI14C and DO14C to the 627 628 deep ocean by the "deep POC split" (see Section 2.3) impacts DOC<sub>t</sub>  $\Delta^{14}$ C more than DIC  $\Delta^{14}$ C, 629 because DOC<sub>t</sub> is two orders of magnitude lower in concentration than DIC. 630 In observations, the aging of DIC and DOC<sub>t</sub> is reportedly similar in the Antarctic Bottom 631 632 Water (below 4000 m) of the deep Pacific (Druffel et al., 2019). This may be explained by 633 the fact that there would not be much deep POC split occurring so deep in the ocean. The 634 North Pacific-North Atlantic  $\Delta^{14}$ C gradient, accounting for thermonuclear bomb  $^{14}$ C, may be as large as -100% for DOC<sub>t</sub> (about -550% in the deep Pacific and -456% in the deep 635 636 Atlantic) (Druffel et al., 2019). This gradient is not rigorously determined, because there is 637 not enough data to do an objective analysis. Therefore, the equivalent  $\Delta^{14}$ C gradient for DIC cannot be determined. However, the DIC  $\Delta^{14}$ C endmember values by inspection (about -638 639 250% in the deep Pacific and -70% in the deep Atlantic) (Matsumoto and Key, 2004) indicate a clearly larger  $\Delta^{14}$ C gradient for DIC than DOC<sub>t</sub> as simulated by the experiment LV. 640 641 One lesson from the data-LV run mismatch in the overall DOC<sub>t</sub> concentration (Figure 7) and 642 643 surface DOC<sub>t</sub> pattern (Figure 9) is that the parameter values from the literature do not fully 644 capture the DOC cycle and/or MESMO 3 is still lacking some important DOC process. For 645 example, fDOM<sub>r</sub> is a key parameter that is not well constrained by observations. Had we used 0.2% instead of 1% for fDOM<sub>r</sub>, the global mean surface DOC<sub>t</sub> drops to 76  $\mu$ mol kg<sup>-1</sup> 646 (red line, Figure 7), consistent with observations. For achieving a better surface DOC<sub>t</sub> 647 648 pattern, we may need a different formulation of fDOM that is, for example, negatively





649 related to nutrient concentrations so that fDOM increases in the oligotrophic subtropical 650 gyres (Roshan and DeVries, 2017). 651 652 Another lesson from the DOM modeling exercise is that it is important to simulate DOP<sub>r</sub> 653 reasonably well in order to preserve the favorable results we achieved in MESMO 3 with 654 respect to biological production and the phytoplankton C:N:P ratio. We find that in the 655 experiment LV, the global mean DOP<sub>r</sub> concentration becomes steady at  $0.45 \mu mol-P kg^{-1}$ . 656 Given that the mean DOC<sub>r</sub> is about 40 µmol-C kg<sup>-1</sup>, and the DOC<sub>r</sub>:DOP<sub>r</sub> ratio is estimated to 657 be  $\sim$ 1370:1 (Letscher and Moore, 2015), DOP<sub>r</sub> concentration should be on the order of 0.03 658  $\mu$ mol-P kg<sup>-1</sup>. Thus, the simulated DOP<sub>r</sub>=0.45  $\mu$ mol-P kg<sup>-1</sup> is too high. Because there is more P 659 in the form of DOP<sub>r</sub> in LV, the oceanic inventory of PO<sub>4</sub> declines, causing a nearly 10% drop 660 in export production compared to the standard MESMO 3. In LV, the decline in the surface 661 ocean PO<sub>4</sub> that accompanies the change in the PO<sub>4</sub> inventory acts on the phytoplankton 662 physiology (i.e., P effect on C:P in Equation 5), which leads to a large rise in the global mean phytoplankton community C:P export ratio from 113:1 to 127:1. The implementation of 663 664 preferential remineralization of DOP (and DON) over DOC (Letscher and Moore, 2015) is 665 one way to deal with the problem of too high DOP<sub>r</sub> concentrations. 666 667 3.3 Large-scale patterns of N<sub>2</sub> fixation and denitrification 668 The modeled habitat of diazotrophs is concentrated in tropical and subtropical waters 669 between 40°S and 40°N and limited by iron (Figure 1e, f). Most noticeably in North Pacific 670 subtropical gyre, diazotrophs constitute  $\sim 40\%$  of total NPP. The latitudinal extent of 671 diazotrophs is mainly determined by surface nitrate availability and physical factors such 672 as surface temperature and irradiance. Low nitrate availability in subtropical gyres gives 673 diazotrophs a competitive advantage over small cyanobacteria. Warm temperature and 674 high irradiance also critical physical factors that drive the growth of diazotrophs in the 675 model. 676 677 The modeled global depth-integrated N<sub>2</sub> fixation is 109 Tg N yr<sup>-1</sup> (Table 3), and this value 678 falls well within the range of observational and geochemical constraints of 80 - 200 Tg N





679 yr<sup>-1</sup> (Landolfi et al., 2018). In general, N<sub>2</sub> fixation occurs in the regions where the 680 diazotroph's productivity is high, such as North Pacific and mid-to-low latitudes of the 681 Atlantic basin (Supplementary Figure S6). The elevated N<sub>2</sub> fixation rate in the North Pacific, 682 where nitrate limits eukaryotes and cyanobacteria (Figure 1b, d), can be explained by the 683 healthy growth of diazotrophs, which is not limited by N. In the subtropical and tropical 684 Atlantic and the Indian Ocean, high N<sub>2</sub> fixation is driven by elevated C:P and N:P ratio 685 (Figure 4), exemplified by low phosphate availability and warm surface temperature. This 686 spatial pattern agrees with a recent inverse model study (Wang et al., 2019), which showed 687 an elevated N<sub>2</sub> fixation rate in subtropical gyres. 688 689 Global water-column denitrification is 109 Tg N yr-1 (Table 3) and is equal to the global N2 690 fixation because the model has reached steady state. Denitrification is restricted to the 691 subpolar North Pacific, where sub-surface oxygen concentration is significantly depleted 692 (Figure S3d). Enhanced denitrification in this region is in qualitative agreement with a 693 previous modeling study (Bianchi et al., 2018), which showed the anaerobic niche due to particle microenvironments can significantly expand the hypoxic expanses in the North 694 695 Pacific. However, the extents of denitrification in our model do not include equatorial 696 Eastern Pacific and Northern Indian Ocean, typically considered as the main hotspots for 697 denitrification (Codispoti, 2007; Deutsch et al., 2007). This issue is typical of coarse-698 resolution global ocean biogeochemistry models that lack spatial resolution in reproducing 699 intense upwelling (Marchal et al., 1998; Najjar et al., 1992; Yamanaka and Tajika, 1997). 700 701 Finally, we note that an important feature of the global ocean that is faithfully simulated in 702 MESMO 3 is that the ratio of the global inventories of NO<sub>3</sub> and PO<sub>4</sub> is <16 at steady state (Gruber and Sarmiento, 1997). One key model parameter in achieving this result was the 703 704 nitrate uptake half saturation constant of diazotrophs, K<sub>NO3,3</sub> in Equation 2. A large value of 705 K<sub>N03.3</sub> will make it hard for diazotrophs to obtain fixed N from NO<sub>3</sub>, which would facilitate 706 N<sub>2</sub> fixation and pushes up the global N/P ratio. With a smaller value of K<sub>NO3.3</sub>, diazotrophs 707 will more easily uptake NO<sub>3</sub>, thus depressing N<sub>2</sub> fixation, lowering the global N/P ratio. 708

### 4. Conclusions





710 MESMO 3, the third and latest version of MESMO, is comprehensively described here. With 711 a fully flexible C:N:P ratio in three PFTs, a prognostic N cycle, and more mechanistic 712 schemes of organic matter production and remineralization, MESMO 3 reflects the evolving 713 and accumulating knowledge of the ocean biogeochemistry. The model thus remains an 714 effective tool for investigations of the global biogeochemical cycles especially on long time 715 scale given the model's computational efficiency. In particular, MESMO 3 holds promise for 716 studying the marine DOM cycle. The optional features of MESMO 3 include the semi-labile 717 and refractory pools of C, P, N, and Fe. The fact that the literature values regarding the 718 present marine DOM cycle are unable to simulate key observations indicates an 719 opportunity for MESMO 3 to contribute to an improved understanding of the marine DOM 720 cycle. 721 722 **Code availability** 723 The complete code of MESMO version 3.0 and results presented here are available at 724 GitHub https://github.com/gaia3intc/mesmo.git and have a DOI: 725 10.5281/zenodo.4403605. 726 727 **Author contribution** 728 KM, TT, and JZ developed the model code. KM performed the simulations, carried out 729 analyses, and archived the model code and results. KM and TT wrote the paper. 730 731 Acknowledgements 732 This work was funded by the US National Science Foundation (OCE-1827948). Numerical 733 modeling and analysis were carried out using resources at the University of Minnesota 734 Supercomputing Institute. 735





736	Tables
737	
738	Table 1. MESMO Development
739 740 741 742 743 744 745	PFT = phytoplankton functional types. MESMO2 PFTs are LG = large/diatoms and SM = small MESMO 3 PFTs are Eu = eukaryotes, Cy = cyanobacteria, and Dz = diazotrophs. OM = organic matter. RNPG = residual nitrate potential growth. T = temperature. PAR = photosynthetically active radiation. fDOM = fraction of NPP routed to dissolved organic matter (DOM). The two types of DOM are semi-labile (DOC, DOP, DON, and DOFe) and refractory (DOCr, DOPr, and DONr). Carbon isotopes ( $^{12}$ C, $^{13}$ C, and $^{14}$ C) are calculated separately for DOC and DOCr.
746	Table 2. MESMO 3 Biogeochemical Model Parameters Values
747	
748	Table 3. Key Biogeochemical Model Diagnostics
749 750 751 752	$^a$ NPP for MESMO 2 was unavailable as a model output and therefore estimated from POC and fDOM=0.66. $^b$ The calculation of the PFT abundance requires NPP in terms of P. NPP was unavailable as a model output for MESMO 2, so PFT % was estimated from POC export
753 754 755 756 757 758 759 760 761	References for independent constraints: (1) global NPP (Carr et al., 2006); (2) global POC export (DeVries and Weber, 2017); (3) global DOC export assumed to be 20% of total carbon export (Hansell et al., 2009; Roshan and DeVries, 2017); (4) global opal (Dunne et al., 2007); (5) global CaCO <sub>3</sub> export (Berelson et al., 2007); (6) global N fixation and denitrification rates (Landolfi et al., 2018); (7) uptake C:N:P ratio is based on POM measurements (Martiny et al., 2013); (8) export C:N:P ratio is assumed to equal the subsurface remineralization ratio (Anderson and Sarmiento, 1994); (9) Deep $O_2$ from WOA13 below 100 m (Garcia et al., 2013).
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765 **Figures** 766 767 Figure 1. Schematic diagram of DOM cycling in MESMO 2 versus MESMO 3. In the new 768 model, DOM<sub>r</sub> can be activated. DOM<sub>r</sub> is produced from POM breakdown, which can occur in 769 the production layer or throughout the water column in the "deep POC split." Possible 770 DOM<sub>r</sub> remineralization mechanisms are the slow background degradation that occurs 771 everywhere, thermal degradation in hydrothermal vents, and photodegradation in the 772 surface. See text for details. 773 774 Figure 2. NPP-based surface phytoplankton functional type (PFT) abundance and nutrient 775 limitation in MESMO 3. Fractional abundance and nutrient limitation for eukaryotes (a, b), 776 cyanobacteria (c, d), and diazotrophs (e, f). 777 778 **Figure 3.** The effect of the residual nitrate potential growth (RNPG) on the eukaryote 779 production in MESMO 3. Eukaryote NPP (a), opal export (b), and CaCO<sub>3</sub> export (c) in 780 MESMO 3.  $CaCO_3$  export in MESMO 2 (d). Unit = mol m<sup>-2</sup> year<sup>-1</sup>. 781 782 Figure 4. Uptake C:P ratio in the top 100 m in MESMO 3: (a) phytoplankton community C:P, 783 (b) zonal mean C:P of all three PFTs and phytoplankton community, (c) eukaryote C:P, and 784 (d) cyanobacteria C:P. The colors in (b) indicate: black = community C:P, red = eukaryote 785 C:P, green = cyanobacteria C:P, and blue = diazotroph C:P. 786 787 Figure 5. Scatter plots of surface ocean eukaryote and cyanobacteria C:P and C:N vs. 788 environmental drivers in MESMO 3. Columns: 1 = eukaryote C:P, 2 = cyanobacteria C:P, 3 = 789 eukaryote C:N, and 4 = cyanobacteria C:N. Rows: 1 = PO<sub>4</sub>, 2 = NO<sub>3</sub>, 3 = temperature, and 4 = 790 PAR. Red indicates causal relationship according to the power law formulation of flexible 791 C:N:P ratio. PAR = photosynthetically active radiation in W m<sup>-2</sup>. 792 793 Figure 6. Scatter plots of surface ocean community C:P and C:N vs environmental drivers in 794 MESMO 3.





796 Figure 7. Global mean vertical profiles of DOC from the DOM<sub>R</sub>-enabled MESMO 3. DOC<sub>t</sub> 797 (black line) and DOC<sub>r</sub> (black dashed line) from the LV run. Red line is DOC<sub>t</sub> after reducing 798  $fDOM_r$  from 1% in LV to 0.2%. Unit =  $\mu$ mol kg<sup>-1</sup>. 799 800 Figure 8. Global depth-latitude transect of DOC<sub>t</sub> from the DOM<sub>R</sub>-enabled MESMO 3 LV run. Transects are N-S along 25°W in the Atlantic, E-W along 60°S in the Southern Ocean, and N-801 802 S along 165°E in the Pacific. Unit =  $\mu$ mol kg<sup>-1</sup>. 803 804 Figure 9. Assessment of surface and deep ocean DOCt from the DOMR-enabled MESMO 3 LV 805 run. Data-derived DOCt distributions in the top 100 m (a) and 2500-4000 m (b). Model-806 simulated  $DOC_t$  distributions in the top 100 m (c) and 2500-4000 m (d). Date-derived  $DOC_t$ 807 are from Roshan and DeVries (Roshan and DeVries, 2017). Unit =  $\mu$ mol kg<sup>-1</sup>. 808 809 **Figure 10.**  $\Delta^{14}$ C of deep ocean DIC (a) and DOC<sub>t</sub> (b) from the DOM<sub>R</sub>-enabled MESMO 3 LV 810 run. Vertical average over 2500-4000 m water depth. Unit =  $\frac{1}{2}$ 0. 811





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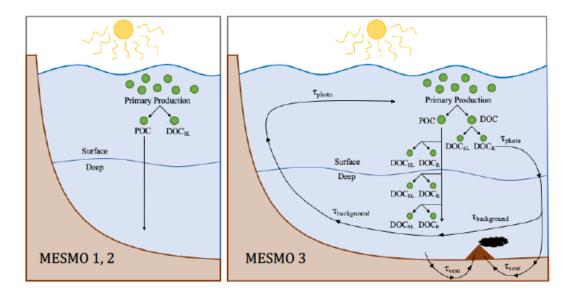




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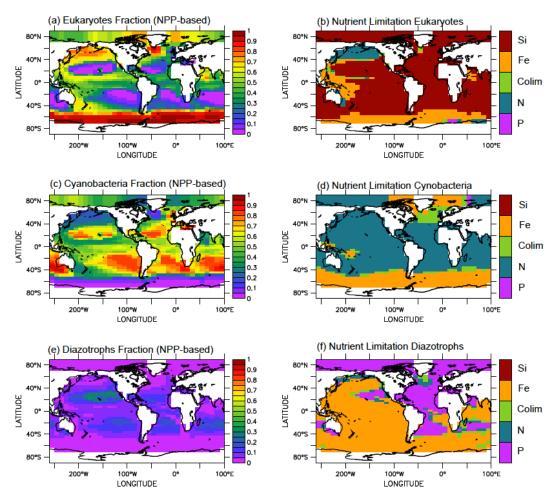
**Figure 1.** Schematic diagram of DOM cycling in MESMO 2 versus MESMO 3. In the new model,  $DOM_r$  can be activated.  $DOM_r$  is produced from POM breakdown, which can occur in the production layer or throughout the water column in the "deep POC split." Possible  $DOM_r$  remineralization mechanisms are the slow background degradation that occurs everywhere, thermal degradation in hydrothermal vents, and photodegradation in the surface. See text for details.



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**Figure 2.** NPP-based surface phytoplankton functional type (PFT) abundance and nutrient limitation in MESMO 3. Fractional abundance and nutrient limitation for eukaryotes (a, b), cyanobacteria (c, d), and diazotrophs (e, f).

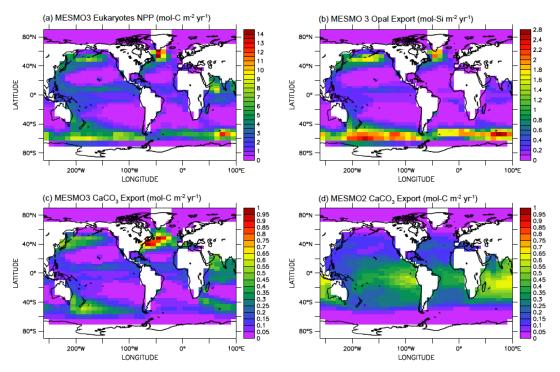




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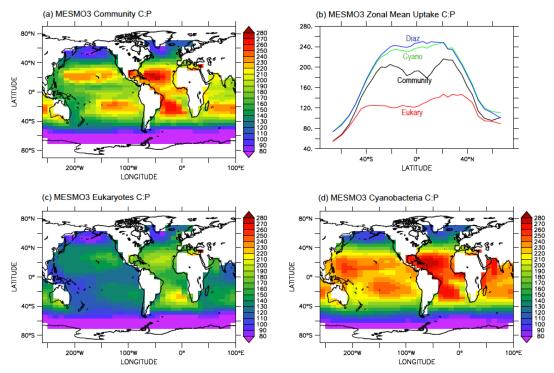
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**Figure 3.** The effect of the residual nitrate potential growth (RNPG) on the eukaryote production in MESMO 3. Eukaryote NPP (a), opal export (b), and  $CaCO_3$  export (c) in MESMO 3.  $CaCO_3$  export in MESMO 2 (d). Unit = mol m<sup>-2</sup> year<sup>-1</sup>.







**Figure 4.** Uptake C:P ratio in the top 100 m in MESMO 3: (a) phytoplankton community C:P, (b) zonal mean C:P of all three PFTs and phytoplankton community, (c) eukaryote C:P, and (d) cyanobacteria C:P. The colors in (b) indicate: black = community C:P, red = eukaryote C:P, green = cyanobacteria C:P, and blue = diazotroph C:P.

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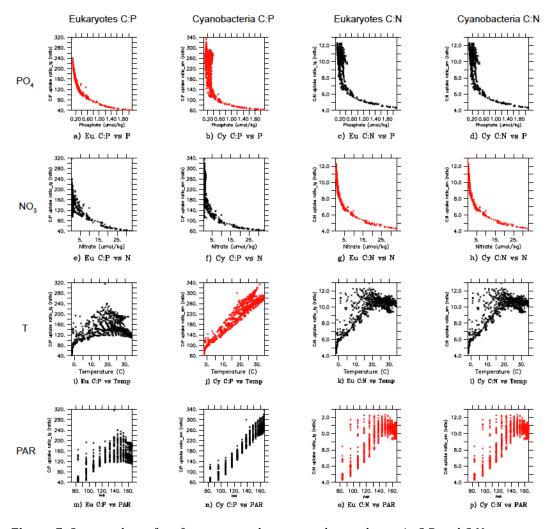
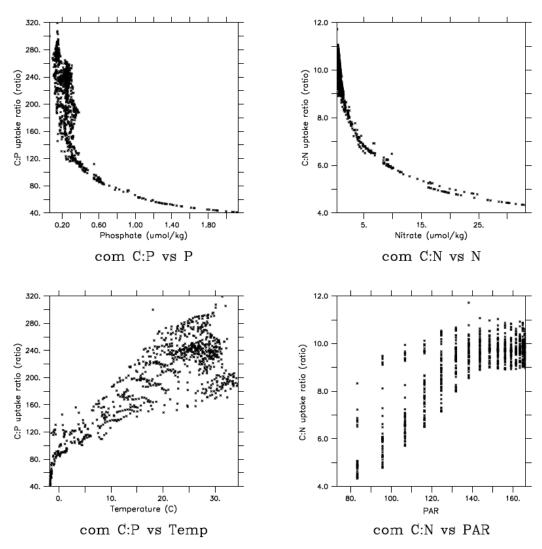


Figure 5. Scatter plots of surface ocean eukaryote and cyanobacteria C:P and C:N vs. environmental drivers in MESMO 3. Columns: 1 = eukaryote C:P, 2 = cyanobacteria C:P, 3 = eukaryote C:N, and 4 = cyanobacteria C:N. Rows: 1 = PO<sub>4</sub>, 2 = NO<sub>3</sub>, 3 = temperature, and 4 = PAR. Red indicates causal relationship according to the power law formulation of flexible C:N:P ratio. PAR = photosynthetically active radiation in W m<sup>-2</sup>.



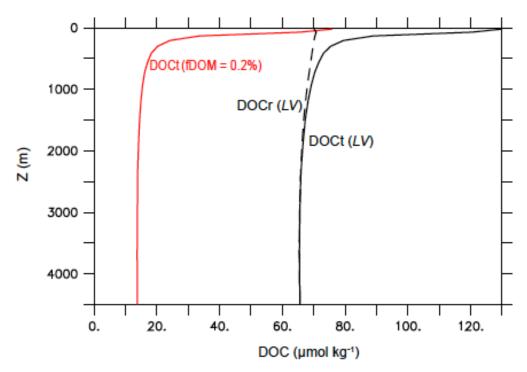


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**Figure 6.** Scatter plots of surface ocean community C:P and C:N vs environmental drivers in MESMO 3.



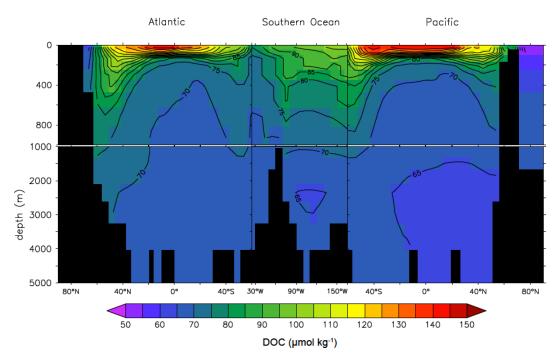


**Figure 7.** Global mean vertical profiles of DOC from the DOM<sub>R</sub>-enabled MESMO 3. DOC<sub>t</sub> (black line) and DOC<sub>r</sub> (black dashed line) from the LV run. Red line is DOC<sub>t</sub> after reducing fDOM<sub>r</sub> from 1% in LV to 0.2%. Unit =  $\mu$ mol kg<sup>-1</sup>.

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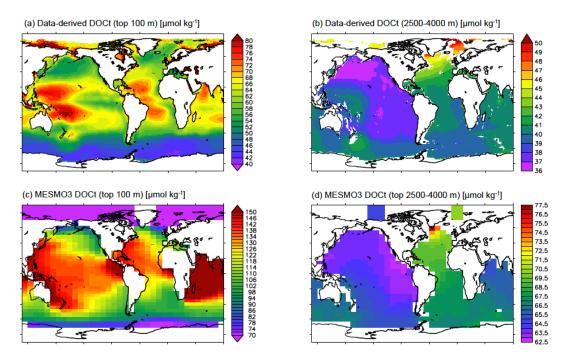




**Figure 8.** Global depth-latitude transect of DOC<sub>t</sub> from the DOM<sub>R</sub>-enabled MESMO 3 LV run. Transects are N-S along 25°W in the Atlantic, E-W along 60°S in the Southern Ocean, and N-S along 165°E in the Pacific. Unit =  $\mu$ mol kg<sup>-1</sup>.

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**Figure 9.** Assessment of surface and deep ocean DOC<sub>t</sub> from the DOM<sub>R</sub>-enabled MESMO 3 LV run. Data-derived DOC<sub>t</sub> distributions in the top 100 m (a) and 2500-4000 m (b). Model-simulated DOC<sub>t</sub> distributions in the top 100 m (c) and 2500-4000 m (d). Date-derived DOC<sub>t</sub> are from Roshan and DeVries (Roshan and DeVries, 2017). Unit =  $\mu$ mol kg<sup>-1</sup>.

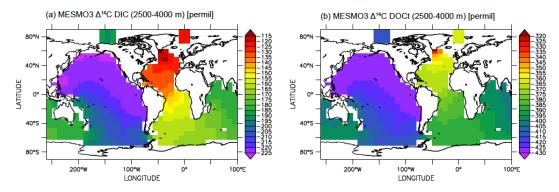
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**Figure 10.**  $\Delta^{14}$ C of deep ocean DIC (a) and DOC<sub>t</sub> (b) from the DOM<sub>R</sub>-enabled MESMO 3 *LV* run. Vertical average over 2500-4000 m water depth. Unit = %0.







Table 1. Summary of MESMO Development

Model (run ID)	Biogeochemical features	Physical features
MESM03	3 PFTs: Eu, Cy, and Dz	
(190917c)	Uptake C:N:P= $f(PO_4, NO_3, T, PAR)$ by power law	
	N cycle (N-fixation, denitrification)	
	OM remineralization= $f(O_2, T)$	
	CaCO3 production by Eu	
	RNPG: competition w/in single Eu PFT	
	fDOM=f(T)	
	Optional: Alternative uptake C:N:P by cell quota	
	Optional: DOC, DOP, DON, DOFe (semi-labile)	
	Optional: DOCr, DOPr, DONr (refractory)	
MESMO 2	Nutrients= PO <sub>4</sub> , NO <sub>3</sub> , CO <sub>2</sub> , Fe, Si	Seasonal winds
(120531a)	2 PFTs: LG, SM	
	Si cycle (Si, <sup>30</sup> Si)	
	Fe cycle (Fe', FeL)	
	Uptake C:Fe=(FeT)	
	Uptake Si:N=f(FeT) by LG	
	CaCO3 production by SM	
	•	
MESMO1	J <sub>prod</sub> =(PAR, nutrients, T, MLD)	16 vertical levels
(090309a)	Nutrients=PO <sub>4</sub> , NO <sub>3</sub> , CO <sub>2</sub> (aq)	Arctangent $K_{\nu}(z)$
-	DOC (semi-labile)	Seasonal PAR
	fDOM=0.67	

PFT=phytoplankton functional types. MESMO2 PFTs are LG=large/diatoms and SM=small. MESMO3 PFTs are Eu=eukaryotes, Cy=cyanobacteria, and Dz=diazotrophs. OM=organic matter. RNPG=residual nitrate potential growth. T=temperature. PAR=photosynthetically available radiation. fDOM=fraction of NPP routed to dissolved organic matter (DOM). The two types of DOM are semi-labile (DOC, DOP, DON, and DOFe) and refractory (DOCr, DOPr, and DONr). Carbon isotopes (12C, 13C, and 14C) are calculated separately for DOC and DOCr.





 $Table\ 2.\ MESMO\ 3\ Biogeochemical\ Model\ Parameters\ Values$ 

Table 2a. Phytoplankton nutrient uptake

Parameter	Description	Unit	MESMO 2	MESMO
	-			
LP/Eukaryotes				
τ	Optimal uptake	yr-1	0.01	0.002
K <sub>PO4</sub>	PO <sub>4</sub> half saturation const	μmol kg-1	0.39	0.120
K <sub>NO3</sub>	NO <sub>3</sub> half saturation const	μmol kg <sup>-1</sup>	5.00	3.4
K <sub>CO2</sub>	CO <sub>2</sub> (aq) half saturation const	μmol kg-1	0.925	0.925
$K_{\text{Fe}}$	FeT half saturation const	nmol kg-1	0.10	0.30
K <sub>Si(OH)4</sub>	Si(OH) <sub>4</sub> half saturation const	μmol kg-1	1.0	1.0
SM/Cyanobacteria				
τ	Optimal uptake	yr-1	0.16	0.04
K <sub>PO4</sub>	PO <sub>4</sub> half saturation const	μmol kg <sup>-1</sup>	0.03	0.012
K <sub>NO3</sub>	NO <sub>3</sub> half saturation const	μmol kg <sup>-1</sup>	0.50	2.0
K <sub>CO2</sub>	CO <sub>2</sub> (aq) half saturation const	μmol kg-1	0.075	0.075
$K_{\text{Fe}}$	FeT half saturation const	nmol kg <sup>-1</sup>	0.01	0.008
Diazotrophs				
τ	Optimal uptake	yr-1	-	0.2
K <sub>PO4</sub>	PO <sub>4</sub> half saturation const	μmol kg-1	-	0.300
K <sub>NO3</sub>	NO <sub>3</sub> half saturation const	μmol kg <sup>-1</sup>	-	0.4
K <sub>NO3_Nfix</sub>	K <sub>NO3</sub> for N-fixation	μmol kg <sup>-1</sup>	-	2.0
K <sub>CO2</sub>	CO <sub>2</sub> (aq) half saturation const	μmol kg <sup>-1</sup>	-	0.075
K <sub>Fe</sub>	FeT half saturation const	nmol kg-1	-	0.030





8 Table 2b. Power law model of flexible C:N:P stoichiometry

	model of flexible C:N:P stoichiometry	1		
Parameter	Description	Unit	MESMO 2	MESM03
[PO <sub>4</sub> ] <sub>0</sub>	Reference [PO <sub>4</sub> ]	μmol kg <sup>-1</sup>	-	0.57
[PO <sub>4</sub> ] <sub>0</sub>	Reference [NO <sub>3</sub> ]	μmol kg <sup>-1</sup>	-	5.7
$T_0$	Reference temperature	°K	-	291
I <sub>0</sub>	Reference light level	W m-2	-	70
Eukaryotes				
[P:C]0	Reference P:C molar ratio	-	-	11.6
[N:C]0	Reference N:C molar ratio	-	-	151.0
s <sup>P:C</sup> PO4	Sensitivity of P:C to [PO <sub>4</sub> ]	-	-	0.58
S <sup>N:C</sup> NO3	Sensitivity of N:C to [NO <sub>3</sub> ]	-	-	0.22
S <sup>N:C</sup> I	Sensitivity of N:C to light	-	-	-0.05
Cyanobacteria				
[P:C]0	Reference P:C molar ratio	-	-	6.3
[N:C]0	Reference N:C molar ratio	-	-	151.0
S <sup>P:C</sup> PO4	Sensitivity of P:C to [PO <sub>4</sub> ]	-	-	0.28
s <sup>N:C</sup> N03	Sensitivity of N:C to [NO <sub>3</sub> ]	-	-	0.22
$S^{P:C}T$	Sensitivity of P:C to temperature	-	-	-8.0
S <sup>N:C</sup> I	Sensitivity of N:C to light	-	-	-0.05
Diazotrophs				
[P:C]0	Reference P:C molar ratio	-	-	6.3
[N:C]0	Reference N:C molar ratio	-	-	151.0
s <sup>P:C</sup> PO4	Sensitivity of P:C to [PO <sub>4</sub> ]	-	-	0.28
S <sup>P:C</sup> T	Sensitivity of P:C to temperature	-	-	-8.0
S <sup>N:C</sup> I	Sensitivity of N:C to light	-	-	-0.05

<sup>9</sup> Sensitivity factors not listed here have a value of zero (e.g., s<sup>N:C</sup><sub>PO4</sub>=0; thus the environmental driver PO<sub>4</sub> does not drive the N:C ratio.





12 Table 2c. Iron uptake stoichiometry

abie 2c. iron uptake st	orcinomen y			
Parameter	Description	Unit	MESMO 2	MESM03
LP/Eukaryotes				
[C:Fe] <sub>min</sub>	Minimum C:Fe molar ratio	-	0	15,000:1
[C:Fe] <sub>ref</sub>	Scaling C:Fe molar ratio	-	103,684:1	115,623:1
s <sup>Fe:C</sup>	Power law exponent	=	-0.4225	-0.65
SM/Cyanobacteria				
[C:Fe] <sub>min</sub>	Minimum C:Fe molar ratio	-	0	20,000:1
[C:Fe] <sub>ref</sub>	Scaling C:Fe molar ratio	-	103,684:1	31,805:1
S <sup>Fe:C</sup>	Power law exponent	-	-0.4225	-0.65
Diazotrophs				
[C:Fe] <sub>min</sub>	Minimum C:Fe molar ratio	-	-	20,000:1
[C:Fe] <sub>ref</sub>	Scaling C:Fe molar ratio	-	-	31,805:1
SFe:C	Power law exponent	-	-	-0.65

13 | 14





15 Table 2d. Parameters related to POM, DOM, CaCO<sub>3</sub>, and Opal

Description	Unit	MESMO 2	MESM03
	0.133		
sinking speed	m d <sup>-1</sup>	30	120
on			
Base remineralization rate	d-1	0.1	0.16
Temperature sensitivity	-	0.69	0.69
02 half saturation constant	μmol kg <sup>-1</sup>	-	30
ion	]		<u> </u>
DOM <sub>sl</sub> decay time scale	yr-1	0.5-1	1.5-1
DOM <sub>r</sub> background decay time scale	yr-1	-	16,000-1
DOM <sub>sl</sub> photodegradation time scale	yr-1	-	70-1
DOM <sub>sl</sub> vent decay time scale	yr-1	-	>∆t-1
Global annual seawater flux through hydrothermal vents	kg yr-1	-	4.8 x 10 <sup>1</sup>
tion			
Base remineralization rate	d-1	0.05	0.05
Temperature sensitivity	-	0.69	0.69
on	]		<u> </u>
1	on  Base remineralization rate Temperature sensitivity O2 half saturation constant  ON  DOM <sub>sl</sub> decay time scale  DOM <sub>r</sub> background decay time scale DOM <sub>sl</sub> photodegradation time scale DOM <sub>sl</sub> vent decay time scale  Global annual seawater flux through hydrothermal vents  tion  Base remineralization rate Temperature sensitivity	sinking speed m d-1  on  Base remineralization rate d-1  Temperature sensitivity -  O2 half saturation constant µmol kg-1  ion  DOM <sub>s1</sub> decay time scale yr-1  DOM <sub>s1</sub> background decay time scale yr-1  DOM <sub>s1</sub> photodegradation time scale yr-1  Global annual seawater flux through hydrothermal vents  tion  Base remineralization rate d-1  Temperature sensitivity	sinking speed m d-1 30  on  Base remineralization rate d-1 0.1  Temperature sensitivity - 0.69  O2 half saturation constant µmol kg-1 -  ion  DOM <sub>sl</sub> decay time scale yr-1 0.5-1  DOM <sub>sl</sub> background decay time scale yr-1 -  DOM <sub>sl</sub> photodegradation time scale yr-1 -  DOM <sub>sl</sub> vent decay time scale yr-1 -  Global annual seawater flux through hydrothermal vents  tion  Base remineralization rate d-1 0.05  Temperature sensitivity - 0.69





19 Table 2e. Nitrogen and iron cycles

1 0	able 2e. Niti ogen and non cycles						
	Parameter	Description	Unit	MESMO 2	MESM03		
N	l cycle						
	K <sub>N2</sub>	$N_2$ half saturation constant in $I_{NO3}$	μmol kg <sup>-1</sup>	1	0.48		
	$k_D$	Scaling constant in eq 62	μmol kg <sup>-1</sup>	ı	1.5		
F	Fe cycle						
	Kligand	Cond. stability of constant	-	1.25x10 <sup>11</sup>	1.0x10 <sup>11</sup>		
	$ au_{sc}$	Fe scavenging rate scale factor	-	0.7	0.7		
	K <sub>0</sub>	Base Fe scavenging rate	d-1	0.079	0.079		





## Table 3. Key Biogeochemical Diagnostics

Diagnostics	Unit	Constraint	MESMO 2	MESMO 3
			(120531a)	(190917a)
Phytoplankton community/Bulk				
NPP	Pg C y <sup>-1</sup>	30-70	$36.0^{a}$	34.6
POC export	Pg C y <sup>-1</sup>	4-10	11.9	9.4
DOC export	Pg C y <sup>-1</sup>	0.4-2	0.4	0.5
Opal export	Tmol Si y <sup>-1</sup>	70-185	130	128
CaCO₃ export	Pg C y <sup>-1</sup>	0.4-1.8	1.0	0.6
fDOM	%		0.66	0.71
N fixation	Tg N y <sup>-1</sup>	80-200	-	109
Denitrification	Tg N y <sup>-1</sup>	60-150	-	109
Uptake C:N:P	molar ratio	146:20:1	117:16:1	146:19:1
Export C:N:P	molar ratio	117:16:1	117:16:1	113:16:1
Deep O <sub>2</sub>	μmol kg <sup>-1</sup>	169	179	157
LP/Eukaryotes				
Uptake C:N:P	molar ratio		117:16:1	102:14:1
POC export	Pg C y-1		8.7	3.6
Abundance	%		73 <sup>b</sup>	39
Tibulidance	70		73	37
SM/Cyanobacteria		I.		
Uptake C:N:P	molar ratio		117:16:1	198:23:1
POC export	Pg C y-1		3.2	4.9
Abundance	%		27 <sup>b</sup>	52
Diazotrophs				
Uptake C:N:P	molar ratio		-	213:32:1
POC export	Pg C y <sup>-1</sup>		-	0.8
Abundance	%		-	9

<sup>a</sup>NPP for MESMO 2 was unavailable as a model output and therefore estimated from POC and fDOM=0.66. <sup>b</sup>The calculation of the PFT abundance requires NPP in terms of P. NPP was unavailable as a model output for MESMO 2, so PFT % was estimated from POC export.

References for independent constraints: (1) global NPP (Carr et al., 2006); (2) global POC export (DeVries and Weber, 2017); (3) global DOC export assumed to be 20% of total carbon export (Hansell et al., 2009; Roshan and DeVries, 2017); (4) global opal (Dunne et al., 2007); (5) global CaCO<sub>3</sub> export (Berelson et al., 2007); (6) global N fixation and denitrification rates (Landolfi et al., 2018); (7) uptake C:N:P ratio is based on POM measurements (Martiny et al., 2013); (8) export C:N:P ratio is assumed to equal the

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- subsurface remineralization ratio (Anderson and Sarmiento, 1994); (9) Deep  $O_2$  from WOA13 below 100 m (Garcia et al., 2013).
- 15
- 16