



# MESMO 3: Flexible phytoplankton stoichiometry and refractory dissolved organic matter

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**Abstract.** We describe the third version of Minnesota Earth System Model for Ocean biogeochemistry (MESMO 3), an Earth system model of intermediate complexity, with a dynamical ocean, dynamic-thermodynamic sea ice, and an energy–moisture-balanced atmosphere. A major feature of version 3 is the flexible C : N : P ratio for the three phytoplankton functional types represented in the model. The flexible stoichiometry is based on the power law formulation with environmental dependence on phosphate, nitrate, temperature, and light. Other new features include nitrogen fixation, water column denitrification, oxygen and temperature-dependent organic matter remineralization, and  $\text{CaCO}_3$  production based on the concept of the residual nitrate potential growth. In addition, we describe the semi-labile and refractory dissolved organic pools of C, N, P, and Fe that can be enabled in MESMO 3 as an optional feature. The refractory dissolved organic matter can be degraded by photodegradation at the surface and hydrothermal vent degradation at the bottom. These improvements provide a basis for using MESMO 3 in further investigations of the global marine carbon cycle to changes in the environmental conditions of the past, present, and future.

## 1 Introduction

Here we document the development of the third version of the Minnesota Earth System Model for Ocean biogeochemistry (MESMO 3). As described for the first two versions (Matsumoto et al., 2008, 2013), MESMO is based on the non-modular version of the Grid ENabled Integrated Earth (GENIE) system model (Lenton et al., 2006; Ridgwell et al.,

2007). The computationally efficient ocean–climate model of Edwards and Marsh (Edwards and Marsh, 2005) forms the core of GENIE’s physical model. MESMO is an Earth system model of intermediate complexity (EMIC), which occupies a midpoint in the continuum of climate models that span high-resolution, comprehensive coupled models on one end and box models on the other (Claussen et al., 2002). MESMO has a 3D dynamical ocean model on a  $36 \times 36$  equal-area horizontal grid with  $10^\circ$  increments in longitude and uniform in the sine of latitude. There are 16 vertical levels. It is coupled to a 2D energy moisture-balanced model of the atmosphere and a 2D dynamic and thermodynamic model of sea ice. Thus, MESMO retains important dynamics that allow for simulations of transient climate change, while still being computationally efficient.

Since the first version, MESMO has continued to be developed chiefly for investigations of ocean biogeochemistry (Table 1). Briefly, in MESMO 1 the main improvements over the predecessor GENIE focused on the biological production and remineralization, as well as on the uptake of natural radiocarbon ( $^{14}\text{C}$ ) and anthropogenic transient tracers (Matsumoto et al., 2008). The net primary production (NPP) in MESMO 1 occurred in the top two vertical levels, representing the surface 100 m, and depended on temperature, nutrients, light, and mixed-layer depth (MLD). The nutrient dependence was based on the Michaelis–Menten uptake kinetics of phosphate ( $\text{PO}_4^{3-}$ ), nitrate ( $\text{NO}_3^-$ ), and aqueous  $\text{CO}_2$ . The limiting nutrient was determined by Liebig’s rule of the minimum relative to the fixed uptake stoichiometry of C : N : P = 117 : 16 : 1. A single generic phytoplankton functional type (PFT) carried out NPP, which was split between particulate organic matter (POM) and dissolved organic matter (DOM) in a globally

constant ratio of 1 : 2. The semi-labile form of the dissolved organic carbon (DOC) was the only form of DOM simulated in MESMO 1. The POM flux across the 100 m level defined the export production. The vertical flux of POM was driven by a fixed rate of sinking and a temperature-dependent, variable remineralization rate.

The main aim of MESMO 2 was a credible representation of the marine silica cycle (Matsumoto et al., 2013). To this end, the set of limiting nutrients (P, N, and C) in MESMO 1 was augmented to include iron (Fe) and silicic acid ( $\text{Si(OH)}_4$ ) in MESMO 2 (Table 1). The stable isotope of Si ( $^{30}\text{Si}$ ) was also added as a state variable. The Fe cycle included an aeolian flux of Fe, complexation with organic ligand, and particle scavenging of free Fe. The scavenged Fe that reached the seafloor was removed from the model domain. This burial flux of Fe balanced the aeolian flux at steady state. In addition, a new PFT was added in MESMO 2 chiefly to represent diatoms. This new “large” PFT was limited by Si and characterized by a high maximum growth rate and large half-saturation constants for the nutrient uptake kinetics. It represented fast and opportunistic phytoplankton that do well under nutrient replete conditions. In comparison, the “small” PFT was characterized by a lower maximum growth rate and smaller half-saturation constants and outperformed the large PFT in oligotrophic subtropical gyres.  $\text{CaCO}_3$  production was associated with the “small” PFT in MESMO 2. The addition of Fe, Si, and the large PFT in MESMO 2 allowed it to have a Fe-dependent, variable Si : N uptake ratio (Hutchins and Bruland, 1998; Takeda, 1998), which is critical for simulating important features of the global ocean Si distribution.

MESMO 1 and 2 were assessed and calibrated by multi-objective tuning and extensive model–data comparisons of transient tracers (anthropogenic carbon, CFCs), deep ocean  $\Delta^{14}\text{C}$ , and nutrients (Matsumoto et al., 2008, 2013). These versions have been employed successfully in a number of studies of global distributions of carbon and carbon isotopes under various conditions of the past, present, and future (Cheng et al., 2018; Lee et al., 2011; Matsumoto et al., 2010, 2020; Matsumoto and McNeil, 2012; Matsumoto and Yokoyama, 2013; Sun and Matsumoto, 2010; Tanioka and Matsumoto, 2017; Ushie and Matsumoto, 2012). In addition, MESMO 1 and 2 have participated in model intercomparison projects (Archer et al., 2009; Cao et al., 2009; Eby et al., 2013; Joos et al., 2013; Weaver et al., 2012; Zickfeld et al., 2013).

In this contribution, we describe the third and latest version of MESMO with a number of substantial biogeochemical model modifications and new features that bring MESMO up to date with the evolving and accumulating knowledge of the ocean biogeochemical cycle (Table 1). There is no change in the physical model between MESMO 3 and MESMO 2. The most significant new feature of MESMO 3 over the previous versions is the power law formulation of flexible phytoplankton C : N : P ratio. Other new features include additional PFT diazotrophs that carry out N fixation, water col-

umn denitrification, the dependence of organic matter remineralization on the dissolved oxygen ( $\text{O}_2$ ) and temperature, and  $\text{CaCO}_3$  production based on the concept of the residual nitrate potential growth. In addition, we describe the semi-labile DOM for P, N, and Fe ( $\text{DOP}_{\text{sl}}$ ,  $\text{DON}_{\text{sl}}$ , and  $\text{DOFe}_{\text{sl}}$ ) and the refractory DOM for C, P, and N ( $\text{DOC}_{\text{r}}$ ,  $\text{DOP}_{\text{r}}$ , and  $\text{DON}_{\text{r}}$ ), which can be activated as an optional feature in MESMO 3. Some of these features have been described separately in different publications (Matsumoto et al., 2020; Matsumoto and Tanioka, 2020; Tanioka and Matsumoto, 2017, 2020a). This work consolidates the descriptions of all these features in a single publication.

## 2 Model description

Here we present the full set of biogeochemical equations of MESMO 3 and the key model parameters (Table 2). We describe only the biogeochemical source and sink terms and omit the physical (advection and diffusive) transport terms that are calculated by the ocean circulation model. We discuss the production terms first, followed by remineralization terms, and finally the conservation equations that incorporate both terms.

### 2.1 Phytoplankton nutrient uptake

NPP occurs in the top two vertical levels of the ocean domain above the fixed compensation depth ( $z_c$ ) of 100 m. Key parameter values are given in Table 2a. Nutrient uptake by phytoplankton type  $i$  ( $\Gamma_i$ ) depends on the optimal nutrient uptake timescale ( $\tau_i$ ), nutrients, temperature ( $T$ ), irradiance ( $I$ ), and mixed-layer depth ( $z_{\text{ml}}$ ):

$$\Gamma_i = \frac{1}{\tau_i} \cdot F_{\text{N},i} \cdot F_T \cdot F_I \cdot \max \left\{ 1, \frac{z_c}{z_{\text{ml}}} \right\}. \quad (1)$$

Subscript  $i$  refers to PFT ( $i = 1$ : eukaryotes;  $i = 2$ : cyanobacteria;  $i = 3$ : diazotrophs). The nutrient dependence  $F_{\text{N},i}$  is given by Liebig’s law of minimum combined with Michaelis–Menten uptake kinetics of limiting nutrients:  $\text{PO}_4$ ,  $\text{NO}_3$ ,  $\text{CO}_2$  (aq), total dissolved iron (sum of free iron and ligand-bound iron:  $\text{FeT} = \text{Fe}^+ + \text{FeL}$ ), and  $\text{Si(OH)}_4$ :

$$F_{\text{N},i} = \min \left( \frac{[\text{PO}_4]}{[\text{PO}_4] + K_{\text{PO}_4,i}} \cdot [\text{PO}_4], \frac{[\text{NO}_3]}{[\text{NO}_3] + K_{\text{NO}_3,i}} \cdot [\text{NO}_3] \cdot Q_{\text{N},i}^{-1}, \frac{[\text{CO}_2 \text{ (aq)}]}{[\text{CO}_2 \text{ (aq)}] + K_{\text{CO}_2,i}} \cdot [\text{CO}_2 \text{ (aq)}] \cdot Q_{\text{C},i}^{-1}, \frac{[\text{FeT}]}{[\text{FeT}] + K_{\text{FeT},i}} \cdot [\text{FeT}] \cdot Q_{\text{Fe},i}^{-1}, \frac{[\text{Si(OH)}_4]}{[\text{Si(OH)}_4] + K_{\text{Si(OH)}_4}} \cdot [\text{Si(OH)}_4] \cdot Q_{\text{Si}}^{-1} \right), \quad (2)$$

where  $K_{X,i}$  is the half-saturation concentration of nutrient  $X$  for PFT  $i$ . Only eukaryotes ( $i = 1$ ) are limited by  $\text{Si(OH)}_4$ .

**Table 1.** Summary of MESMO development.

Model (run ID)	Biogeochemical features	Physical features
MESMO 3 (210310d)	3 PFTs: Eu, Cy, and Dz Uptake C : N : P = $f(\text{PO}_4, \text{NO}_3, T, \text{PAR})$ by power law N cycle (N fixation, denitrification) OM remineralization = $f(\text{O}_2, T)$ $\text{CaCO}_3$ production by Eu RNPG: competition w/in single Eu PFT $f\text{DOM} = f(T)$ Optional: alternative uptake C : N : P by cell quota Optional: DOC, DOP, DON, DOFe (semi-labile) Optional: DOCr, DOPr, DONr (refractory)	
MESMO 2 (120531a)	Nutrients = $\text{PO}_4, \text{NO}_3, \text{CO}_2, \text{Fe}, \text{Si}$ 2 PFTs: LG, SM Si cycle (Si, $^{30}\text{Si}$ ) Fe cycle (Fe', FeL) Uptake C : Fe = $f(\text{FeT})$ Uptake Si : N = $f(\text{FeT})$ by LG $\text{CaCO}_3$ production by SM	Seasonal winds
MESMO 1 (090309a)	$J_{\text{prod}} = (\text{PAR, nutrients, } T, \text{MLD})$ Nutrients = $\text{PO}_4, \text{NO}_3, \text{CO}_2(\text{aq})$ DOC (semi-labile) $f\text{DOM} = 0.67$	16 vertical levels Arctangent $K_v(z)$ Seasonal PAR

PFT stands for phytoplankton functional types. MESMO 2 PFTs are as follows: LG stands for large (diatoms) and SM stands for small. MESMO 3 PFTs are as follows: Eu stands for eukaryotes, Cy stands for cyanobacteria, and Dz stands for diazotrophs. OM stands for organic matter. RNPG stands for residual nitrate potential growth.  $T$  stands for temperature. PAR stands for photosynthetically available radiation.  $f\text{DOM}$  stands for the fraction of NPP routed to dissolved organic matter (DOM). The two types of DOM are semi-labile (DOC, DOP, DON, and DOFe) and refractory (DOCr, DOPr, and DONr). Carbon isotopes ( $^{12}\text{C}$ ,  $^{13}\text{C}$ , and  $^{14}\text{C}$ ) are calculated separately for DOC and DOCr. The run ID is 210310m for the MESMO 3 experiment LVR and 210310o for the experiment LVR with  $f\text{DOM}_r = 0.2\%$ .

Diazotrophs ( $i = 3$ ) are not limited by  $\text{NO}_3$ . Nutrient uptake  $\Gamma$  is based on the master nutrient variable  $P$ , and all other nutrient uptake is related to  $\Gamma$  by the uptake stoichiometry  $Q_{X,i}$ , where  $X$  is N, Fe, Si, or C. For example,  $Q_{C,i} = \frac{1}{[\text{P:C}]_i}$  for PFT  $i$ . Thus,  $Q_{C,i}$  is numerically equivalent to C : P for PFT  $i$ , but we write the equations in terms of P : C for numerical stability and convenience. The  $Q_{X,i}$  ratios represent the flexible phytoplankton uptake stoichiometry and are described more fully in Sect. 2.2.

The temperature dependence  $F_T$  of Eq. (1) is given by

$$F_T = \frac{T (\text{ }^\circ\text{C}) + 2}{T (\text{ }^\circ\text{C}) + 10}, \quad (3)$$

which is analogous to the commonly used  $Q_{10} = 2$  relationship. Light limitation  $F_I$  of Eq. (1) is described by a hyperbolic function:

$$F_I = \frac{I}{I + 20}, \quad (4)$$

where  $I$  is the seasonally variable solar short-wave irradiance in  $\text{W m}^{-2}$ . Light is attenuated exponentially from the ocean surface with a 20 m depth scale.

Nutrient uptake in Eq. (1) has a dependence on  $z_{\text{ml}}$ , which is diagnosed using the  $\sigma_t$  density gradient criterion (Levitus,

1982). Following the Sverdrup (1953) model of the spring bloom, Eq. (1) allows for the shoaling of  $z_{\text{ml}}$  relative to  $z_c$  to enhance nutrient uptake.

## 2.2 Phytoplankton uptake stoichiometry

As noted above, all nutrients and  $\text{O}_2$  are related to the main model currency P by  $Q_{X,i}$ . We describe three different, mutually exclusive formulations in this section. The standard formulation is the power law model (Matsumoto et al., 2020; Tanioka and Matsumoto, 2017). The other two (linear model and optimality-based model of stoichiometry) are alternative formulations that have been coded, and the user can activate them (one at a time) in place of the power law formulation. However, the alternative formulations are not calibrated. Key parameter values are given in Table 2b for the power law formulation.

### 2.2.1 Power law model of stoichiometry

The uptake P : C and N : C ratios are calculated using the power law formulation as a function of ambient concentrations of phosphate [ $\text{PO}_4$ ], nitrate [ $\text{NO}_3$ ], temperature ( $T$ ),

**Table 2.** MESMO 3 biogeochemical model parameters values: (a) phytoplankton nutrient uptake; (b) power law model of flexible C : N : P stoichiometry; (c) iron uptake stoichiometry; (d) parameters related to POM, DOM, CaCO<sub>3</sub>, and opal; (e) nitrogen and iron cycles. [TS1](#)

(a)	Parameter	Description	Unit	MESMO 2	MESMO 3
LP/Eukaryotes					
	$\tau$	Optimal uptake	$\text{yr}^{-1}$	0.01	0.002
	$K_{\text{PO}_4}$	PO <sub>4</sub> half-saturation const	$\mu\text{mol kg}^{-1}$	0.39	0.120
	$K_{\text{NO}_3}$	NO <sub>3</sub> half-saturation const	$\mu\text{mol kg}^{-1}$	5.00	3.4
	$K_{\text{CO}_2}$	CO <sub>2</sub> (aq) half-saturation const	$\mu\text{mol kg}^{-1}$	0.925	0.925
	$K_{\text{Fe}}$	FeT half-saturation const	$\text{nmol kg}^{-1}$	0.10	0.30
	$K_{\text{Si(OH)}_4}$	Si(OH) <sub>4</sub> half-saturation const	$\mu\text{mol kg}^{-1}$	1.0	1.0
SM/Cyanobacteria					
	$\tau$	Optimal uptake	$\text{yr}^{-1}$	0.16	0.04
	$K_{\text{PO}_4}$	PO <sub>4</sub> half-saturation const	$\mu\text{mol kg}^{-1}$	0.03	0.012
	$K_{\text{NO}_3}$	NO <sub>3</sub> half-saturation const	$\mu\text{mol kg}^{-1}$	0.50	2.0
	$K_{\text{CO}_2}$	CO <sub>2</sub> (aq) half-saturation const	$\mu\text{mol kg}^{-1}$	0.075	0.075
	$K_{\text{Fe}}$	FeT half-saturation const	$\text{nmol kg}^{-1}$	0.01	0.008
Diazotrophs					
	$\tau$	Optimal uptake	$\text{yr}^{-1}$	–	0.2
	$K_{\text{PO}_4}$	PO <sub>4</sub> half-saturation const	$\mu\text{mol kg}^{-1}$	–	0.300
	$K_{\text{NO}_3}$	NO <sub>3</sub> half-saturation const	$\mu\text{mol kg}^{-1}$	–	0.4
	$K_{\text{NO}_3\text{-Nfix}}$	$K_{\text{NO}_3}$ for N fixation	$\mu\text{mol kg}^{-1}$	–	2.0
	$K_{\text{CO}_2}$	CO <sub>2</sub> (aq) half-saturation const	$\mu\text{mol kg}^{-1}$	–	0.075
	$K_{\text{Fe}}$	FeT half-saturation const	$\text{nmol kg}^{-1}$	–	0.030
(b)*	Parameter	Description	Unit	MESMO 2	MESMO 3
	$[\text{PO}_4]_0$	Reference [PO <sub>4</sub> ]	$\mu\text{mol kg}^{-1}$	–	0.57
	$[\text{NO}_3]_0$	Reference [NO <sub>3</sub> ]	$\mu\text{mol kg}^{-1}$	–	5.7
	$T_0$	Reference temperature	°K	–	291
	$I_0$	Reference light level	$\text{W m}^{-2}$	–	70
Eukaryotes					
	$[\text{P:C}]_0$	Reference P : C molar ratio	%	–	11.6
	$[\text{N:C}]_0$	Reference N : C molar ratio	%	–	151.0
	$s_{\text{PO}_4}^{\text{P:C}}$	Sensitivity of P : C to [PO <sub>4</sub> ]	–	–	0.58
	$s_{\text{NO}_3}^{\text{N:C}}$	Sensitivity of N : C to [NO <sub>3</sub> ]	–	–	0.22
	$s_I^{\text{N:C}}$	Sensitivity of N : C to light	–	–	-0.05
Cyanobacteria					
	$[\text{P:C}]_0$	Reference P : C molar ratio	%	–	6.3
	$[\text{N:C}]_0$	Reference N : C molar ratio	%	–	151.0
	$s_{\text{PO}_4}^{\text{P:C}}$	Sensitivity of P : C to [PO <sub>4</sub> ]	–	–	0.28
	$s_{\text{NO}_3}^{\text{N:C}}$	Sensitivity of N : C to [NO <sub>3</sub> ]	–	–	0.22
	$s_T^{\text{P:C}}$	Sensitivity of P : C to temperature	–	–	-8.0
	$s_I^{\text{N:C}}$	Sensitivity of N : C to light	–	–	-0.05
Diazotrophs					
	$[\text{P:C}]_0$	Reference P : C molar ratio	%	–	6.3
	$[\text{N:C}]_0$	Reference N : C molar ratio	%	–	151.0
	$s_{\text{PO}_4}^{\text{P:C}}$	Sensitivity of P : C to [PO <sub>4</sub> ]	–	–	0.28
	$s_T^{\text{P:C}}$	Sensitivity of P : C to temperature	–	–	-8.0
	$s_I^{\text{N:C}}$	Sensitivity of N : C to light	–	–	-0.05

**Table 2.** Continued.

(c)	Parameter	Description	Unit	MESMO 2	MESMO 3
LP/Eukaryotes					
	$[C : Fe]_{min}$	Minimum C : Fe molar ratio	–	0	15 000 : 1
	$[C : Fe]_{ref}$	Scaling C : Fe molar ratio	–	103 684 : 1	115 623 : 1
	$s_{Fe:C}$	Power law exponent	–	–0.4225	–0.65
SM/Cyanobacteria					
	$[C : Fe]_{min}$	Minimum C : Fe molar ratio	–	0	20 000 : 1
	$[C : Fe]_{ref}$	Scaling C : Fe molar ratio	–	103 684 : 1	31 805 : 1
	$s_{Fe:C}$	Power law exponent	–	–0.4225	–0.65
Diazotrophs					
	$[C : Fe]_{min}$	Minimum C : Fe molar ratio	–	–	20 000 : 1
	$[C : Fe]_{ref}$	Scaling C : Fe molar ratio	–	–	31 805 : 1
	$s_{Fe:C}$	Power law exponent	–	–	–0.65
(d)	Parameter	Description	Unit	MESMO 2	MESMO 3
Particle sinking					
	$w$	sinking speed	$m d^{-1}$	30	120
POM remineralization					
	$V_{POM}$	Base remineralization rate	$d^{-1}$	0.1	0.16
	$k_R$	Temperature sensitivity	–	0.069	0.069
	$K_{O2}$	$O_2$ half-saturation constant	$\mu\text{mol kg}^{-1}$	–	30
DOM remineralization					
	$\tau_{sl}$	DOM <sub>sl</sub> decay timescale	$yr^{-1}$	$0.5^{-1}$	$1.5^{-1}$
	$\tau_{bg}$	DOM <sub>r</sub> background decay timescale	$yr^{-1}$	–	$16 000^{-1}$
	$\tau_{photo}$	DOM <sub>sl</sub> photodegradation timescale	$yr^{-1}$	–	$70^{-1}$
	$\tau_{vent}$	DOM <sub>sl</sub> vent decay timescale	$yr^{-1}$	–	$> \Delta t^{-1}$
	$H_{flux}$	Global annual seawater flux through hydrothermal vents	$kg yr^{-1}$	–	$4.8 \times 10^{16}$
CaCO <sub>3</sub> remineralization					
	$V_{CaCO_3}$	Base remineralization rate	$d^{-1}$	0.05	0.05
	$k_R$	Temperature sensitivity	–	0.069	–0.069
Opal remineralization					
	$V_{Opal}$	Base remineralization rate	$d^{-1}$	0.01	0.01
(e)	Parameter	Description	Unit	MESMO 2	MESMO 3
N cycle					
	$K_{N_2}$	$N_2$ half-saturation constant in $I_{NO_3}$	$\mu\text{mol kg}^{-1}$	–	0.48
	$k_D$	Scaling constant in Eq. (62)	$\mu\text{mol kg}^{-1}$	–	1.5
Fe cycle					
	$K_{ligand}$	Cond. stability of constant	–	$1.25 \times 10^{11}$	$1.0 \times 10^{11}$
	$\tau_{sc}$	Fe scavenging rate scale factor	–	0.7	0.7
	$K_0$	Base Fe scavenging rate	$d^{-1}$	0.079	0.079

\* Sensitivity factors not listed in Table 2b have a value of zero (e.g.,  $s_{PO_4}^{N:C} = 0$ ; thus, the environmental driver PO<sub>4</sub> does not drive the N : C ratio). The reference ratios are in % so that  $[P : C]_0 = 11.6\%$  (i.e., C : P = 86.2) for eukaryotes, and  $[P : C]_0 = 6.3\%$  (i.e., C : P = 158.7) for cyanobacteria and diazotrophs. The reference ratio  $[N : C]_0 = 151.0\%$  for all PFTs (i.e., C : N = 106 : 16) is the Redfield ratio.

and irradiance ( $I$ ).

$$[\text{P} : \text{C}]_i = [\text{P} : \text{C}]_{0,i} \cdot \left( \frac{[\text{PO}_4]}{[\text{PO}_4]_0} \right)^{s_{\text{PO}_4,i}^{\text{P:C}}} \cdot \left( \frac{[\text{NO}_3]}{[\text{NO}_3]_0} \right)^{s_{\text{NO}_3,i}^{\text{P:C}}} \cdot \left( \frac{T}{T_0} \right)^{s_{T,i}^{\text{P:C}}} \cdot \left( \frac{I}{I_0} \right)^{s_{I,i}^{\text{P:C}}} \quad (5)$$

$$[\text{N} : \text{C}]_i = [\text{N} : \text{C}]_{0,i} \cdot \left( \frac{[\text{PO}_4]}{[\text{PO}_4]_0} \right)^{s_{\text{PO}_4,i}^{\text{N:C}}} \cdot \left( \frac{[\text{NO}_3]}{[\text{NO}_3]_0} \right)^{s_{\text{NO}_3,i}^{\text{N:C}}} \cdot \left( \frac{T}{T_0} \right)^{s_{T,i}^{\text{N:C}}} \cdot \left( \frac{I}{I_0} \right)^{s_{I,i}^{\text{N:C}}} \quad (6)$$

Equations (5) and (6) are the power law equations that calculate the change in  $\text{P} : \text{C}$  and  $\text{N} : \text{C}$  for fractional changes in environmental drivers relative to the reference  $\text{P} : \text{C}$  and  $\text{N} : \text{C}$ , respectively (Matsumoto et al., 2020; Tanioka and Matsumoto, 2017). The exponents are the sensitivity factors determined by a meta-analysis (Tanioka and Matsumoto, 2020a). Subscript “0” indicates the reference values (Table 2b). We have hard bounds for the calculated  $\text{P} : \text{C}$  and  $\text{N} : \text{C}$  ratios to be within  $26.6 < \text{C} : \text{P} < 546.7$  and  $2 < \text{C} : \text{N} < 30$  as observed (Martiny et al., 2013).

The  $\text{P} : \text{C}$  and  $\text{N} : \text{C}$  ratios from Eqs. (5) and (6) can then be converted to  $Q_{\text{N},i}$  and  $Q_{\text{C},i}$  for use in Eq. (2).

$$Q_{\text{C},i} = \frac{1}{[\text{P} : \text{C}]_i} \quad (7)$$

$$Q_{\text{N},i} = \frac{1}{[\text{P} : \text{N}]_i} = \frac{[\text{N} : \text{C}]_i}{[\text{P} : \text{C}]_i} \quad (8)$$

## 2.2.2 Linear model of stoichiometry by Galbraith and Martiny

A much simpler, alternative formulation for  $\text{P} : \text{C}$  and  $\text{N} : \text{C}$  is the model of Galbraith and Martiny (2015), where  $\text{P} : \text{C}$  is a linear function of  $[\text{PO}_4]$  (in  $\mu\text{M}$ ) and  $\text{N} : \text{C}$  is a Holling type 2 functional form with a frugality behavior only at very low  $[\text{NO}_3]$  (in  $\mu\text{M}$ ). The same  $\text{P} : \text{C}$  and  $\text{N} : \text{C}$  values are applied to all three PFTs.

$$[\text{P} : \text{C}] = \frac{6.9 \cdot [\text{PO}_4] + 6.0}{1000} \quad (9)$$

$$[\text{N} : \text{C}] = 0.125 + \frac{0.03 \cdot [\text{NO}_3]}{0.32 + [\text{NO}_3]} \quad (10)$$

## 2.2.3 Optimality-based model of stoichiometry

The optimality-based model of phytoplankton growth is based on the chain model, which connects the cellular  $\text{P}$ ,  $\text{N}$ , and  $\text{C}$  acquisition via a chain of limitations, where the  $\text{P}$  quota limits  $\text{N}$  assimilation and the  $\text{N}$  quota drives carbon fixation (Pahlow et al., 2013; Pahlow and Oschlies, 2009, 2013). Resource allocations of cellular  $\text{P}$ ,  $\text{N}$ , and  $\text{C}$  among different cellular compartments are derived from balancing energy gain from gross carbon fixation and energy loss due to nutrient acquisition and light harvesting. The optimality-based

model by Pahlow et al. (2013) computes  $\text{C} : \text{N}$  and  $\text{C} : \text{P}$  as a function of nutrient availability ( $\text{PO}_4$  and  $\text{NO}_3$ ), irradiance, and day length. Temperature dependence was added by Arteaga et al. (2014) following the simple logarithmic temperature dependence on maximum nutrient uptake rate of Eppley (1972).

Different versions of this optimality-based model have previously been successfully implemented in global ocean biogeochemical models, such as the Pelagic Interactions Scheme for Carbon and Ecosystem Studies (PISCES) (Kwiatkowski et al., 2018, 2019) and the University of Victoria Earth System Model (UVic) (Chien et al., 2020; Pahlow et al., 2020). However, as we are not describing any results in this paper, we will only mention here that there is an option to calculate  $\text{C} : \text{N} : \text{P}$  using this stoichiometry model in MESMO 3. The full description of the optimality-based stoichiometry model and its parameter calibration is presented specifically for the UVic model elsewhere (Chien et al., 2020; Pahlow et al., 2020).

### 2.2.4 Stoichiometry of iron and silica

Iron uptake stoichiometry  $Q_{\text{Fe},i}$  is calculated as a function of  $\text{FeT}$  following the power law formulation of Ridgwell (2001). Key parameter values are given in Table 2c.

$$Q_{\text{Fe},i} = [\text{Fe} : \text{P}]_i = [\text{Fe} : \text{C}]_i \cdot Q_{\text{C},i} \quad (11)$$

$$[\text{Fe} : \text{C}]_i = 1.0 / \left( [\text{C} : \text{Fe}]_{\min,i} + [\text{C} : \text{Fe}]_{\text{ref},i} \cdot [\text{FeT}]^{-s^{\text{Fe:C},i}} \right) \quad (12)$$

For all PFTs, the power law exponent  $s^{\text{Fe:C}}$  in Eq. (12) is  $-0.65$ . The allowable  $\text{Fe} : \text{C}$  ratio is bounded at the low end by the hard-bound minimum  $\text{Fe} : \text{C}$  of  $1 : 220\,000$ . The scaling constant or  $[\text{C} : \text{Fe}]_{\text{ref},i}$  is set differently for PFTs, with eukaryotes having a higher base  $[\text{C} : \text{Fe}]_{\text{ref},i}$  than cyanobacteria and diazotrophs ( $115\,623 : 1$  and  $31\,805 : 1$ , respectively). The high end of the allowable  $\text{Fe} : \text{C}$  ratio is bounded by  $[\text{C} : \text{Fe}]_{\min,i}$  (i.e., maximum  $\text{Fe} : \text{C}$ ) of  $15\,000 : 1$  for eukaryotes and  $20\,000 : 1$  for cyanobacteria or diazotrophs. These parameters directly follow Ridgwell (2001), who fitted power law functions to the experimental data (Sunda and Huntsman, 1995).

Silica uptake stoichiometry by eukaryotes  $Q_{\text{Si}}$  is a power law of  $\text{FeT}$  concentration and increases with a decrease in  $[\text{FeT}]$  (Brzezinski, 2002). The power law exponent  $s^{\text{Si:N}}$  is set to  $0.7$ . The  $\text{Si} : \text{N}$  ratio is limited to a maximum of  $18$  and a minimum of  $1$ .

$$Q_{\text{Si}} = [\text{Si} : \text{P}] = [\text{Si} : \text{N}] \cdot Q_{\text{N},1} \quad (13)$$

$$[\text{Si} : \text{N}] = \min \left( [\text{Si} : \text{N}]_{\max}, \max \left( [\text{Si} : \text{N}]_{\min}, \left( \frac{[\text{FeT}]}{0.5 \text{ nmol kg}^{-1}} \right)^{-s^{\text{Si:N}}} \right) \right) \quad (14)$$

$\text{O}_2$  liberated by phytoplankton during photosynthesis per  $\text{PO}_4$  consumed ( $Q_{-\text{O}_2,i}$ ) is calculated from the uptake  $\text{C} : \text{P}$

and N : P ratios (Tanioka and Matsumoto, 2020b):

$$Q_{\text{O}_2,i} = 1.1 Q_{\text{C},i} + 2 Q_{\text{N},i}. \quad (15)$$

### 2.3 Production of POM and DOM

In the top 100 m of the model domain, where phytoplankton P uptake occurs (i.e.,  $\Gamma_i > 0$ , see Sect. 2.1), NPP is immediately routed to POM and DOM pools (Fig. 1). The production fluxes of POM,  $\text{DOM}_{\text{sl}}$ , and  $\text{DOM}_{\text{r}}$  from NPP are given as  $\text{Jprod}$ . Here we write the equations in terms of the master nutrient variable P:

$$\text{Jprod}_{\text{POM}_i} = (1 - \text{fDOM}) \cdot \Gamma_i, \quad (16)$$

$$\text{Jprod}_{\text{DOM}_{\text{sl}}} = \sum_i (1 - \text{fDOM}_i) \cdot \text{fDOM} \cdot \Gamma_i, \quad (17)$$

$$\text{Jprod}_{\text{DOM}_{\text{r}}} = \sum_i \text{fDOM}_i \cdot \text{fDOM} \cdot \Gamma_i. \quad (18)$$

The term fDOM denotes the fraction of NPP that is routed to DOM as opposed to POM. Likewise,  $\text{fDOM}_i$  is the fraction of DOM that is routed to  $\text{DOM}_{\text{r}}$  as opposed to  $\text{DOM}_{\text{sl}}$ . The value of  $\text{fDOM}_i$  is not well known but estimated to be  $\sim 1\%$  (Hansell, 2013), which we tentatively adopt in MESMO 3. If  $\text{DOM}_i$  is not selected in the model run,  $\text{fDOM}_i = 0$ . In previous versions of MESMO, fDOM was assigned a constant value of 0.67. In reality, a large variability is observed locally for this ratio, ranging from 0.01–0.2 in temperate waters to 0.1–0.7 in the Southern Ocean (Dunne et al., 2005; Henson et al., 2011; Laws et al., 2000). In MESMO 3, fDOM is calculated as a function of the ambient temperature following Laws et al. (2000):

$$\text{fDOM} =$$

$$1.0 - \min(0.72, \max(0.04, 0.62 - 0.02 \cdot T (\text{ }^{\circ}\text{C}))). \quad (19)$$

This formulation gives low export efficiency (i.e., high fDOM) in the warmer regions compared to the colder high-latitude regions. Locally, we impose fixed fDOM upper and lower bounds of 0.96 and 0.28, respectively, as estimated from a previous study (Dunne et al., 2005).

In MESMO 3, a new DOM production pathway below the production layer is available as an option. In previous MESMO versions, sinking POM was respired in the water column with the loss of  $\text{O}_2$  directly to the dissolved inorganic forms (i.e.,  $\text{POC} \rightarrow \text{DIC}$ ,  $\text{POP} \rightarrow \text{PO}_4$ , and  $\text{POP}_{\text{TS2}} \rightarrow \text{NO}_3$ ). In the new “deep POC split” pathway, sinking POM is simply broken down into DOM without the loss of  $\text{O}_2$  as in the production layer (Fig. 1). If  $\text{DOM}_i$  is selected in the model, the broken-down POM is further routed to both  $\text{DOM}_{\text{sl}}$  and  $\text{DOM}_{\text{r}}$  according to  $\text{fDOM}_i$ . If not, all of the broken down POM is converted to  $\text{DOM}_{\text{sl}}$ . Thus, when the deep POC split is activated, the presence of DOM in the deep ocean can be accounted for by in situ production of DOM and  $\text{DOM}_{\text{r}}$  in addition to DOM transport from the surface. Thus, the deep POC split pathway offers an alternative means to control deep ocean DOM distribution.

### 2.4 Production of $\text{CaCO}_3$ and opal by eukaryotes

In MESMO 2, opal production was associated with the “large” PFT, and  $\text{CaCO}_3$  production was associated with the “small” PFT. We recognize that coccolithophorids and diatoms, which are the producers of these biogenic tests, are both eukaryotes. Therefore, in MESMO 3, we associate both  $\text{CaCO}_3$  and opal production with the  $\text{POM}_{\text{TS3}}$  production by the same eukaryote PFT ( $\text{Jprod}_{\text{POM}_1}$ ):

$$\text{Jprod}_{\text{CaCO}_3} = r^{\text{CaCO}_3:\text{POC}} \cdot \text{Jprod}_{\text{POM}_1} \cdot Q_{\text{C},1}, \quad (20)$$

$$\text{Jprod}_{\text{opal}} = \text{Jprod}_{\text{POM}_1} \cdot Q_{\text{Si}}. \quad (21)$$

The concept of the residual nitrate potential growth (RNPG) (Balch et al., 2016) is useful in allowing competition between diatoms and non-siliceous phytoplankton within the same PFT (Matsumoto et al., 2020). Typically, in the real ocean, non-Si phytoplankton are able to grow faster and dominate the community if Si concentration is low and diatom growth is Si limited. Otherwise, diatoms are more competitive, as they have higher intrinsic growth rates. The RNPG index recasts the ambient concentrations of  $\text{NO}_3$  and  $\text{Si(OH)}_4$  into potential algal growth rates:

$$\text{RNPG} = \frac{[\text{NO}_3]}{[\text{NO}_3] + K_{\text{NO}_3,1}} - \frac{[\text{Si(OH)}_4]}{[\text{Si(OH)}_4] + K_{\text{Si(OH)}_4}}. \quad (22)$$

If RNPG is more positive, the index indicates that nitrate-dependent growth exceeds silica-dependent growth. Thus, non-Si phytoplankton are more competitive, and this leads to higher  $\text{CaCO}_3$  production. On the other hand, a more negative RNPG implies that silica limitation for diatoms is relieved, leading to enhanced diatom growth and reduced  $\text{CaCO}_3$  production. The RNPG index is incorporated in the calculation of the rain ratio  $r^{\text{CaCO}_3:\text{POC}}$  presented in Eq. (20) as follows:

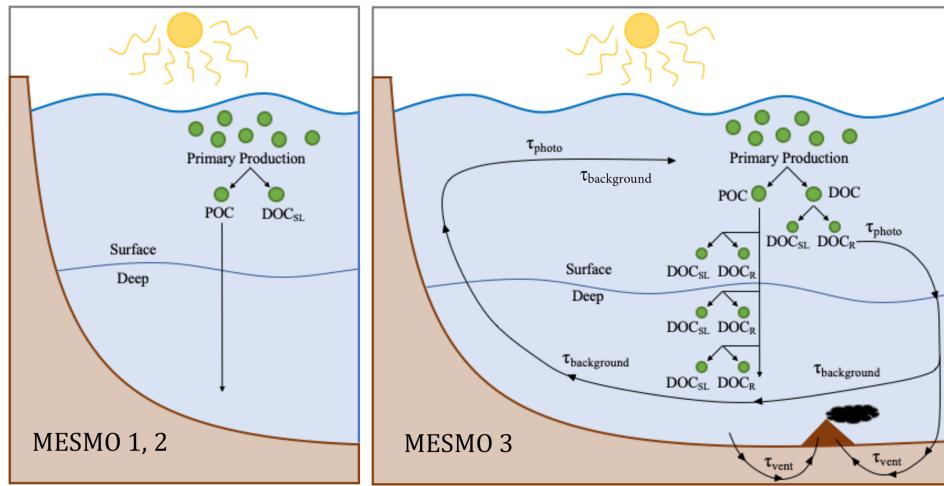
$$r^{\text{CaCO}_3:\text{POC}} = r_0^{\text{CaCO}_3:\text{POC}} \cdot (\Omega - 1)^{\eta} \cdot \min(1, \max(0.1, \text{RNPG})) \cdot k_{T,\text{CaCO}_3}. \quad (23)$$

Equation (23) indicates the base rain ratio  $r_0^{\text{CaCO}_3:\text{POC}}$  (set to 0.30) is also modified by the carbonate ion saturation state  $\Omega$  by  $\eta$  (set to 1.28) and temperature (see Ridgwell et al., 2007, and references therein):

$$\Omega = \frac{[\text{Ca}^{2+}][\text{CO}_3^{2-}]}{K_{\text{sp}}}, \quad (24)$$

$$k_{T,\text{CaCO}_3} = \min\left(1.0, \frac{T (\text{ }^{\circ}\text{C}) + 2}{T (\text{ }^{\circ}\text{C}) + 8}\right). \quad (25)$$

$K_{\text{sp}}$  is the solubility product of  $\text{CaCO}_3$ . The temperature dependency of  $\text{CaCO}_3$  formation ( $k_{T,\text{CaCO}_3}$ ) is similar to that of Moore et al. (2004) where warmer temperatures favor the growth of carbonate-bearing phytoplankton.



**Figure 1.** Schematic diagram of DOM cycling in MESMO 2 vs. MESMO 3. In the new model, DOM<sub>r</sub> can be activated. DOM<sub>r</sub> is produced from POM breakdown, which can occur in the production layer or throughout the water column in the “deep POC split”. Possible DOM<sub>r</sub> remineralization mechanisms are the slow background degradation that occurs everywhere, thermal degradation in hydrothermal vents, and photodegradation at the surface. See the text for details.

## 2.5 Remineralization of POM and DOM

Once produced, both POM and DOM undergo remineralization throughout the water column. Key remineralization parameter values are given in Table 2d. Previously, POM remineralization had a temperature dependence and decayed exponentially with depth (Yamanaka et al., 2004). In MESMO 3, we incorporate an additional dependency on dissolved oxygen following Laufkötter et al. (2017):

$$R_{\text{POM}_i} = V_{\text{POM}} \cdot e^{k_R \cdot T \cdot \frac{[\text{O}_2]}{[\text{O}_2] + K_{\text{O}_2}}} \cdot [\text{POM}_i]. \quad (26)$$

$V_{\text{POM}}$  is the base remineralization rate,  $k_R$  expresses the temperature sensitivity of remineralization, and  $K_{\text{O}_2}$  is half-saturation constant for oxygen-dependent remineralization. When the sediment model is not coupled, any POM that reaches the seafloor dissolves completely to its inorganic form and is returned to the overlying water.

In MESMO 3, all forms of semi-labile DOM remineralize at the same rate. It is represented by  $\tau_{\text{sl}}$ , the inverse of the timescale of DOM<sub>sl</sub> decay, which has been estimated previously to be  $\sim 1.5$  years (Hansell, 2013):

$$R_{\text{DOM}_{\text{sl}}} = \tau_{\text{sl}} \cdot [\text{DOM}_{\text{sl}}]. \quad (27)$$

All forms of DOM<sub>r</sub> also remineralize at the same rate in MESMO 3. In total, there are three optional, additive sinks of DOM<sub>r</sub> in the model: slow background decay, photodegradation, and degradation via hydrothermal vents (Fig. 1). Observations clearly indicate that the  $^{14}\text{C}$  age of deep-ocean DOC<sub>r</sub> is  $10^3$  years (e.g., Druffel et al., 1992), much older than  $\text{DI}^{14}\text{C}$ . In addition, the deep ocean DOC<sub>r</sub> concentration decreases modestly along the path of the deep water from the deep North Atlantic to the deep North Pacific (Hansell and

Carlson, 1998). Thus, it is understood that there is a slow  $\tau_{\text{bg}}$  DOM<sub>r</sub> background decay in the deep ocean. We represent this ubiquitous process with  $\tau_{\text{bg}}$ , which is the inverse of the background decay timescale, estimated to be  $\sim 16\,000$  years (Hansell, 2013).

Observations to date indicate that photodegradation is a major sink of DOM<sub>r</sub> (e.g., Mopper et al., 1991). This process is believed to convert DOM<sub>r</sub> that is upwelled from the ocean interior into the euphotic zone into more labile forms of DOM. We represent photodegradation with  $\tau_{\text{photo}}$ , the inverse of the decay timescale, estimated to be  $\sim 70$  years (Yamanaka and Tajika, 1997). This occurs only in the surface.

Finally, observations of DOM emanating from different types of hydrothermal vents indicate that they have variable impacts on the deep-sea DOM<sub>r</sub> (Lang et al., 2006). However, the off-axis vents circulate far more seawater through the fractured oceanic crust than the high-temperature and diffuse vents and are thus believed to determine the overall impact of the vents on the deep-sea DOM<sub>r</sub> as a net sink (Lang et al., 2006). Here we assume simply that seawater that circulates through the vents loses all DOM<sub>r</sub> (i.e.,  $1/\tau_{\text{vent}} < \Delta t$ , where  $\Delta t$  is the biogeochemical model time step of 0.05 year). This means that the more seawater circulates through the vents, the more DOM<sub>r</sub> is removed: the total removal rate depends on the vent flux of seawater  $H_{\text{flux}}$ . We implement the vent degradation of DOM<sub>r</sub> in MESMO 3 by first identifying the wet grid boxes located immediately above known mid-ocean ridges. We then distribute the annual global  $H_{\text{flux}}$  of  $4.8 \times 10^{16} \text{ kg yr}^{-1}$  (Lang et al., 2006) equally among those ridge-associated grid boxes. The grid cells contain a mass of seawater much greater than the mass that circulates through vents in  $\Delta t$  ( $10^{21} \text{ kg}$  vs.  $10^{13} \text{ kg}$ ). Therefore, the seawater mass in the vent grid cells that does not circulate through

the vents in  $\Delta t$  is subject only to background degradation in MESMO 3.

The three  $\text{DOM}_r$  sinks are not mutually exclusive. They can thus be combined to yield the total  $\text{DOM}_r$  remineralization rate:

$$R_{\text{DOM}_r} = \left( \tau_{\text{bg}} + \tau_{\text{photo}} + \tau_{\text{vent}} \cdot \frac{\text{SW}_{\text{flux\_local}}}{\text{SW}_{\text{grid}}} \right) \cdot [\text{DOM}_r], \quad (28)$$

where  $\text{SW}_{\text{flux\_local}}$  is the mass of seawater that circulates through the vents in each grid box in  $\Delta t$ , and  $\text{SW}_{\text{grid}}$  is the total mass of seawater in the same grid box.

The amount of  $\text{O}_2$  respired as a result of these POM and DOM remineralization processes is related to the organic carbon pools by the respiratory quotients of POC and DOC,  $r_{-\text{O}_2:\text{POC}}$  and  $r_{-\text{O}_2:\text{DOC}}$ , respectively. These are molar ratios of  $\text{O}_2$  consumed per unit organic carbon respired. They are variable and calculated from the ambient POM and DOM concentration (Tanioka and Matsumoto, 2020b):

$$r_{-\text{O}_2:\text{POC}} = 1.1 + \frac{2[\text{PON}]}{[\text{POC}]}, \quad (29)$$

$$r_{-\text{O}_2:\text{DOC}} = 1.1 + \frac{2[\text{DON}]}{[\text{DOC}]} \quad (30)$$

## 2.6 Remineralization of $\text{CaCO}_3$ and opal

Remineralization of  $\text{CaCO}_3$  and opal particles occurs as they sink through the water column and remains the same as in MESMO 2. Key parameter values are given in Table 2d. Remineralization of  $\text{CaCO}_3$  is a function of temperature similar to that of particulate organic matter remineralization but without oxygen dependency. The temperature-dependence term  $k_R$  modifies the base remineralization rate  $V_{\text{CaCO}_3}$ :

$$R_{\text{CaCO}_3} = V_{\text{CaCO}_3} \cdot e^{k_R \cdot T} \cdot [\text{CaCO}_3]. \quad (31)$$

Opal remineralization in the water column follows Ridgwell et al. (2002). The rate of opal remineralization  $R_{\text{opal}}$  is given by the product of normalized dissolution rate ( $r_{\text{opal}}$ ), base opal dissolution rate ( $k_{\text{opal}}$ ), and opal concentration [ $\text{opal}$ ].

$$R_{\text{opal}} = r_{\text{opal}} \cdot k_{\text{opal}} \cdot [\text{opal}] \quad (32)$$

$$r_{\text{opal}} = 0.16 \cdot \left( 1 + \frac{T (\text{°C})}{15} \right) \cdot u_{\text{opal}} + 0.55 \cdot \left( \left( 1 + \frac{T (\text{°C})}{400} \right)^4 \cdot u_{\text{opal}} \right)^{9.25} \quad (33)$$

$$u_{\text{opal}} = \frac{[\text{Si(OH)}_4]_{\text{eq}} - [\text{Si(OH)}_4]}{[\text{Si(OH)}_4]_{\text{eq}}} \quad (34)$$

$r_{\text{opal}}$  is a function of temperature ( $T$ ) and the degree of undersaturation ( $u_{\text{opal}}$ ), which in turn is calculated from the ambient  $[\text{Si(OH)}_4]$  and  $[\text{Si(OH)}_4]$  at equilibrium. The equilibrium concentration is a function of ambient temperature:

$$\log_{10} ([\text{Si(OH)}_4]_{\text{eq}}) = 6.44 - \frac{968}{T (\text{K})}. \quad (35)$$

Without the sediment module of MESMO activated, both  $\text{CaCO}_3$  and opal particles that reach the seafloor are completely dissolved back to inorganic forms.

## 2.7 Conservation of organic matter and biogenic tests

The time rate of change of the biogenic organic matter and tests are given by the sum of the production terms (i.e., sources) and the remineralization terms (i.e., sinks). The circulation-related transport terms are omitted as noted above, but the vertical transport due to particle sinking is included here. The sinking speed  $w$  is the same for all particles. The sum of  $\text{POM}_i$  of all the PFTs give the total POM concentrations.

$$\frac{\partial [\text{POP}]_i}{\partial t} = J_{\text{prodPOP}_i} - \frac{\partial}{\partial z} (w [\text{POP}]_i) - R_{\text{POP},i} \quad (36)$$

$$\frac{\partial [\text{POC}]_i}{\partial t} = J_{\text{prodPOP}_i} \cdot Q_{\text{C},i} - \frac{\partial}{\partial z} (w [\text{POC}]_i) - R_{\text{POC},i} \quad (37)$$

$$\frac{\partial [\text{PON}]_i}{\partial t} = J_{\text{prodPOP}_i} \cdot Q_{\text{N},i} - \frac{\partial}{\partial z} (w [\text{PON}]_i) - R_{\text{PON},i} \quad (38)$$

$$\frac{\partial [\text{POFe}]_i}{\partial t} = J_{\text{prodPOP}_i} \cdot Q_{\text{Fe},i} - \frac{\partial}{\partial z} (w [\text{POFe}]_i) - R_{\text{POFe},i} \quad (39)$$

$$[\text{POM}] = \sum_i [\text{POM}]_i \quad (40)$$

The time rate of change of  $\text{CaCO}_3$  and opal is expressed in much the same way as POM.

$$\frac{\partial [\text{CaCO}_3]}{\partial t} = J_{\text{prodCaCO}_3} - \frac{\partial}{\partial z} (w [\text{CaCO}_3]) - R_{\text{CaCO}_3} \quad (41)$$

$$\frac{\partial [\text{opal}]}{\partial t} = J_{\text{prodopal}} - \frac{\partial}{\partial z} (w [\text{opal}]) - R_{\text{opal}} \quad (42)$$

The DOM pools have the production and remineralization terms without the particle sinking term.

$$\frac{\partial [\text{DOP}_{\text{sl}}]}{\partial t} = J_{\text{prodDOP}_{\text{sl}}} - R_{\text{DOP}_{\text{sl}}} \quad (43)$$

$$\frac{\partial [\text{DON}_{\text{sl}}]}{\partial t} = J_{\text{prodDON}_{\text{sl}}} - R_{\text{DON}_{\text{sl}}} \quad (44)$$

$$\frac{\partial [\text{DOC}_{\text{sl}}]}{\partial t} = J_{\text{prodDOC}_{\text{sl}}} - R_{\text{DOC}_{\text{sl}}} \quad (45)$$

$$\frac{\partial [\text{DOFe}_{\text{sl}}]}{\partial t} = J_{\text{prodDOFe}_{\text{sl}}} - R_{\text{DOFe}_{\text{sl}}} \quad (46)$$

$$\frac{\partial [\text{DOP}_r]}{\partial t} = J_{\text{prodDOP}_r} - R_{\text{DOP}_r} \quad (47)$$

$$\frac{\partial [\text{DON}_r]}{\partial t} = J_{\text{prodDON}_r} - R_{\text{DON}_r} \quad (48)$$

$$\frac{\partial [\text{DOC}_r]}{\partial t} = J_{\text{prodDOC}_r} - R_{\text{DOC}_r} \quad (49)$$

## 2.8 Conservation of inorganic nutrients

The time rate of change of the inorganic nutrients have organic carbon production as sink terms and remineralization as source terms. The production terms ( $J_{\text{prod}}$ ) are zero below the upper-ocean production layer. Nutrients have a unit

of mol element kg<sup>-1</sup> in the model.

$$\frac{\partial [\text{PO}_4]}{\partial t} = -\sum_i \Gamma_i + \sum_i R_{\text{POP},i} + R_{\text{DOP}_{\text{sl}}} + R_{\text{DOP}_{\text{r}}} \quad (50)$$

$$\begin{aligned} \frac{\partial [\text{NO}_3]}{\partial t} = & -\sum_i \Gamma_i \cdot Q_{\text{N},i} + \sum_i R_{\text{PON},i} \\ & + R_{\text{DON}_{\text{sl}}} + R_{\text{DON}_{\text{r}}} + \text{Fix}_{\text{N}} - \text{Den}_{\text{N}} \end{aligned} \quad (51)$$

$$\begin{aligned} \frac{\partial [\text{DIC}]}{\partial t} = & -\left( \sum_i \Gamma_i Q_{\text{C},i} + \text{Jprod}_{\text{CaCO}_3} \right) + \sum_i R_{\text{POC},i} \\ & + R_{\text{DOC}_{\text{sl}}} + R_{\text{DOC}_{\text{r}}} + R_{\text{CaCO}_3} + F_{\text{gas,CO}_2} \end{aligned} \quad (52)$$

$$\begin{aligned} \frac{\partial [\text{ALK}]}{\partial t} = & -\left( 2 \cdot \text{Jprod}_{\text{CaCO}_3} - \sum_i \Gamma_i Q_{\text{N},i} \right) - \sum_i R_{\text{PON},i} \\ & - R_{\text{DON}_{\text{sl}}} - R_{\text{DON}_{\text{r}}} - \text{Fix}_{\text{N}} + \text{Den}_{\text{N}} + 2 \cdot R_{\text{CaCO}_3} \end{aligned} \quad (53)$$

$$\begin{aligned} \frac{\partial [\text{FeT}]}{\partial t} = & -\sum_i \Gamma_i Q_{\text{Fe},i} + \sum_i R_{\text{POFe},i} \\ & + R_{\text{DOFe}_{\text{sl}}} + R_{\text{POMFe}} + \text{Aeolian}_{\text{Fe}} \end{aligned} \quad (54)$$

$$\frac{\partial [\text{Si(OH)}_4]}{\partial t} = -\text{Jprod}_{\text{opal}} + R_{\text{opal}} \quad (55)$$

$$\begin{aligned} \frac{\partial [\text{O}_2]}{\partial t} = & \sum_i \Gamma_i \cdot Q_{-\text{O}_2,i} - \left( r_{-\text{O}_2:\text{DOC}} \right. \\ & \cdot \left( R_{\text{DOC}_{\text{sl}}} + R_{\text{DOC}_{\text{r}}} \right) + \sum_i r_{-\text{O}_2:\text{POC},i} \cdot R_{\text{POC},i} \right) \\ & + 1.25 \text{Den}_{\text{N}} + F_{\text{gas,O}_2} \end{aligned} \quad (56)$$

In Eq. (51),  $\text{Fix}_{\text{N}}$  is the N fixation carried out by diazotrophs, and  $\text{Den}_{\text{N}}$  is the water column denitrification. There is an air-sea gas exchange term  $F_{\text{gas}}$  in Eqs. (52) and (56) for gaseous  $\text{CO}_2$  and  $\text{O}_2$ , respectively. In Eq. (53), alkalinity increases with decreasing nitrate concentrations and increasing  $\text{CaCO}_3$  dissolution. Equation (54) contains  $R_{\text{POMFe}}$ , which is an iron source that represents remineralization of the  $\text{Fe}'$  scavenged by sinking particles. These terms are explained in the following sections.

## 2.9 Prognostic nitrogen cycle

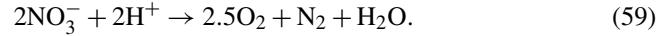
Biological production by diazotrophs is stimulated when the ambient  $\text{NO}_3$  is low. Nitrogen fixed by diazotrophs during their growth is added to the marine  $\text{NO}_3$  pool. The prognostic nitrogen fixation model employed here is similar to that used in the HAMOCC biogeochemical module (Paulsen et al., 2017):

$$\text{Fix}_{\text{N}} = \Gamma_3 \cdot Q_{\text{N},3} \cdot I_{\text{NO}_3}, \quad (57)$$

$$I_{\text{NO}_3} = \left( 1.0 - \frac{[\text{NO}_3]^2}{K_{\text{N}_2}^2 + [\text{NO}_3]^2} \right), \quad (58)$$

where  $\text{Fix}_{\text{N}}$  is the nitrogen fixation rate and  $I_{\text{NO}_3}$  is the nitrate dependency term in quadratic Michaelis–Menten kinetics form with the half-saturation constant  $K_{\text{N}_2}^{\text{TS4}}$ . See Table 2e for the values related to the N cycle.

Water column denitrification is formulated in an approach similar to that of the original GENIE model (Ridgwell et al., 2007), in which 2 mol of  $\text{NO}_3$  are converted to 1 mol of  $\text{N}_2$  and liberating 2.5 mol of  $\text{O}_2$  as a byproduct:



Denitrification takes place in grid boxes, in which  $\text{O}_2$  concentration is below a threshold concentration ( $\text{O}_2_{\text{def}}$ ) and is stimulated if the total global inventory of  $\text{NO}_3$  relative to  $\text{PO}_4$  is high. In other words, denitrification can effectively act as negative feedback to nitrogen fixation. The threshold  $\text{O}_2$  concentration ( $\text{O}_2_{\text{def}}$ ) takes the minimum of the hard-bound  $\text{O}_2$  threshold concentration ( $\text{O}_2_{\text{crit}}$ ) and the  $\text{NO}_3$  to  $\text{PO}_4$  ratio, scaled by a parameter  $k_D$ . The parameters  $\text{O}_2_{\text{crit}}$  and  $k_D$  are calibrated to give the global denitrification rate of roughly 100 Tg N yr<sup>-1</sup>, which balances the total nitrogen fixation rate in the model.

$$\text{Den}_{\text{N}} = 0.8 \left[ \text{yr}^{-1} \right] \cdot \max \left( (\text{O}_2_{\text{def}} - [\text{O}_2]), 0 \right) \quad (60)$$

$$[\text{O}_2]_{\text{def}} = \min \left( \text{O}_2_{\text{crit}}, k_D \cdot \frac{[\text{NO}_3]_{\text{inventory}}}{[\text{PO}_4]_{\text{inventory}}} \right) \quad (61)$$

## 2.10 Prognostic iron cycle

The iron cycle in MESMO 3 remains the same as in MESMO 2. Key parameter values are given in Table 2e. The two species of dissolved iron ( $\text{Fe}'$  and  $\text{FeL}$ ) are partitioned according to the following equilibrium relationship:

$$K_{\text{ligand}} = \frac{[\text{FeL}]}{[\text{Fe}'] \cdot [L]}, \quad (62)$$

where  $[L]$  is the ligand concentration and  $K_{\text{ligand}}$  is the conditional stability constant. The sum of ligand and  $\text{FeL}$  is set at a constant value of 1 nmol kg<sup>-1</sup> everywhere. Iron is introduced into the model domain by a constant fraction (3.5 wt %) of aeolian dust deposition at the surface ( $F_{\text{in}}$ ) following the prescribed modern flux pattern (Mahowald et al., 2006) with constant solubility ( $\beta$ ):

$$S_{\text{Fe}} = \beta \cdot F_{\text{in}}. \quad (63)$$

Particle-scavenged iron  $\text{POM}_{\text{Fe}}$  (note the difference from  $\text{POFe}$ ) is produced below the productive layer when sinking  $\text{POM}$  scavenges  $\text{Fe}'$  to sinking  $\text{POM}$ :

$$J_{\text{Fe}} = -\tau_{\text{sc}} \cdot K_o \cdot [\text{POC}]^{0.58} \cdot [\text{Fe}'], \quad (64)$$

where  $\tau_{\text{sc}}$  and  $K_o$  are empirical parameters that determine the strength of scavenging. Remineralization of Fe scavenged to  $\text{POM}$  ( $\text{POM}_{\text{Fe}}$ ) is identical in form to that of  $\text{POM}$  remineralization:

$$R_{\text{POM}_{\text{Fe}}} = V_{\text{POM}} \cdot e^{k_R T \cdot \frac{[\text{O}_2]}{[\text{O}_2] + K_o}} \cdot [\text{POM}_{\text{Fe}}]. \quad (65)$$

The conservation equation of the particle scavenged iron is thus expressed as follows:

$$\frac{\partial [\text{POM}_{\text{Fe}}]}{\partial t} = J_{\text{Fe}} - \frac{\partial}{\partial z} (w [\text{POM}_{\text{Fe}}]) - R_{\text{POM}_{\text{Fe}}}. \quad (66)$$

Any scavenged iron that escapes remineralization in the water column reaching the seafloor is removed from the model domain in order to keep the total Fe inventory at a steady state.

## 2.11 Air-sea gas exchange

The air-sea gas exchange formulation remains the same as in MESMO 2 and follows Ridgwell et al. (2007). It is the function of gas transfer velocity, the ambient dissolved gas concentration, and saturation gas concentration. The flux of  $\text{CO}_2$  and  $\text{O}_2$  gases across the air-sea interface is given by

$$F_{\text{gas}, \text{CO}_2} = k \cdot ([\text{CO}_2]_{\text{sat}} - [\text{CO}_2]) \cdot (1 - A), \quad (67)$$

$$F_{\text{gas}, \text{O}_2} = k \cdot ([\text{O}_2]_{\text{sat}} - [\text{O}_2]) \cdot (1 - A), \quad (68)$$

where  $k$  is the gas transfer velocity,  $[\text{CO}_2]_{\text{sat}}$  and  $[\text{O}_2]_{\text{sat}}$  are saturation concentrations, and  $A$  is the fractional ice-covered area that is calculated by the physical model. Gas transfer velocity  $k$  is a function of wind speed ( $u$ ) following Wan-

ninkhof (1992) where  $Sc$  is the Schmidt number for a specific gas:

$$k = 0.31 \cdot u^2 \cdot \left( \frac{Sc}{660} \right)^{-0.5}. \quad (69)$$

## 3 Results and discussion

All new results from MESMO 3 presented here are from the steady state. On a single computer core at the Minnesota Supercomputing Institute, it takes approximately 1 h to complete 1000 years of MESMO 3 simulation. The “standard” MESMO 3 has the power law model of flexible stoichiometry but no  $\text{DOM}_r$ . The results from the standard model (hereafter just MESMO 3) are presented in Sect. 3.1, and the results from the  $\text{DOM}_r$ -enabled model are presented in Sect. 3.2. In Table 3, we summarize and compare key biogeochemical diagnostics from MESMO 3 against those from MESMO 2 and available observational constraints. The global NPP, as well as global export production of POC and opal, are comparable or somewhat lower in MESMO 3 than MESMO 2.

We relied on experience to calibrate MESMO 3 with the primary goal of reasonably simulating the phytoplankton community composition and C : N : P ratio (e.g., abundant cyanobacteria and high ratio for all PFTs in oligotrophic gyres). We tried to improve or at least preserve the gains that we achieved in earlier versions of MESMO in terms of the global distributions of  $\text{PO}_4$ ,  $\text{NO}_3$ ,  $\text{O}_2$ ,  $\text{Si(OH)}_4$ , and  $\text{FeT}$  (Supplement Figs. S1, S2, S3, S4, and S5). Overall, there is

a stronger nutrient depletion in the new model. For example, the surface  $\text{PO}_4$  and  $\text{NO}_3$  in MESMO 3 are now sufficiently depleted in the subtropical gyres but are too low in the eastern equatorial Pacific when compared to the World Ocean Atlas (Fig. S1; see RMSE in Table 3). It is a challenge for MESMO and other coarse-resolution models to simulate narrow dynamical features such as equatorial upwelling and reproduce biogeochemical features with sharp gradients. The spatial pattern of POC export that drives this surface nutrient pattern is similar in the two models (Fig. S2). In the 1D global profile, there is a marked improvement in the sub-surface distribution of  $\text{O}_2$  in MESMO 3 over MESMO 2. Whereas the depth of the oxygen minimum was  $\sim 300$  m in MESMO 2, it is  $\sim 1000$  m in both MESMO 3 and the World Ocean Atlas (Fig. S3). At 1000 m, the  $\text{O}_2$  minimum is located in the far North Pacific in MESMO 3, whereas in the World Ocean Atlas it occurs in both the Northeast Pacific and the Arabian Sea. In contrast, the world ocean at 1000 m is too well oxygenated in MESMO 2. We believe that the improved match in the  $\text{O}_2$  minimum depth would help simulate denitrification in the correct depth range, and there is a modest improvement in the data-model  $\text{O}_2$  mismatch in terms of RMSE in MESMO 3 over MESMO 2 (Table 3). The deepening of the  $\text{O}_2$  minimum was achieved largely by increasing the particle sinking speed, which tends to strengthen the biological pump and deplete the surface nutrients. This also helps MESMO 3 preserve MESMO 2’s surface  $\text{Si(OH)}_4$  depletion in much of the world ocean except in the North Pacific and Southern Ocean (Fig. S4). This is a feature captured by  $\text{Si}^* < 0$  ( $\text{Si}^* = [\text{Si(OH)}_4] - [\text{NO}_3]$ ) in observations (Sarmiento et al., 2004) and simulated previously by MESMO 2 and now MESMO 3. Finally, surface  $\text{FeT}$  is also depleted more strongly in MESMO 3 over MESMO 2, except the North Atlantic, where aeolian deposition of dust from the Sahara maintains a steady Fe supply (Fig. S5).

In MESMO 3, we made no effort to calibrate all the semi-labile pools of DOM:  $\text{DOC}_{\text{sl}}$ ,  $\text{DOP}_{\text{sl}}$ ,  $\text{DON}_{\text{sl}}$ , and  $\text{DOFe}_{\text{sl}}$ . We note only that the surface  $\text{DOC}_{\text{sl}}$  concentration of  $58 \mu\text{mol kg}^{-1}$  and DOC export production of  $1.4 \text{ Pg C yr}^{-1}$  in MESMO 3 are higher than in MESMO 2 ( $24 \mu\text{mol kg}^{-1}$  and  $0.4 \text{ Pg C yr}^{-1}$ , respectively). The higher surface concentration is due to the longer  $\tau_{\text{sl}}$  in MESMO 3 (Table 2d). The global average of the temperature-dependent fDOM in MESMO 3 is 0.69, which is slightly higher than the spatially uniform value of 0.67 in MESMO 2.

### 3.1 Novel features of MESMO 3

An important new feature of MESMO 3 is the representation of the primary producers by three PFTs (Fig. 2). The eukaryotes are characterized by the highest maximum growth rate and high half-saturation constants. Thus, the eukaryotes are more dominant than the other PFTs in the more eutrophic waters of the equatorial and polar regions (Fig. 2a). The cyanobacteria have smaller half-saturation constants and

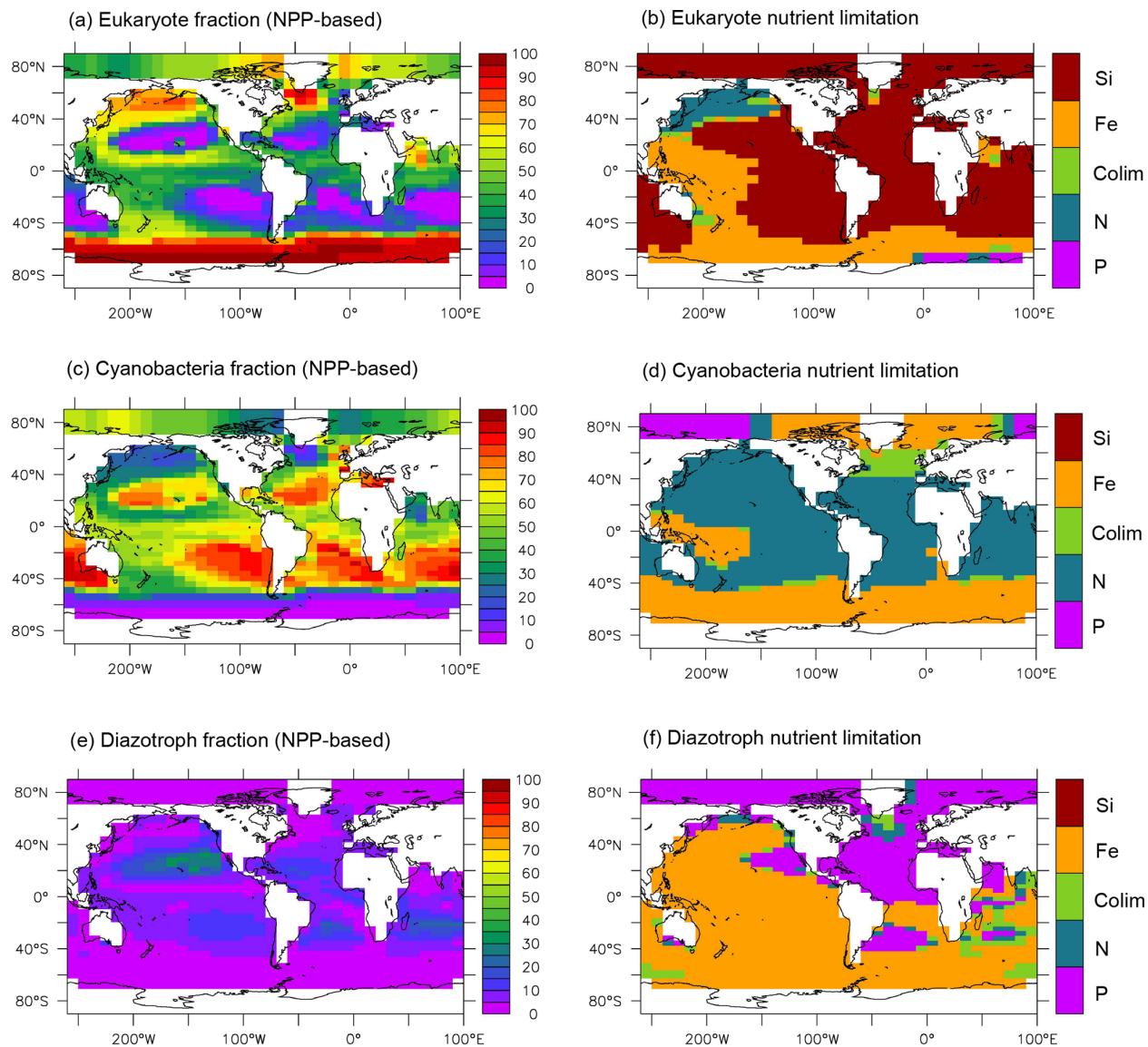
**Table 3.** Key Biogeochemical diagnostics

Diagnostics	Unit	Constraint	MESMO 2 (120531a)	MESMO 3 (210310d)
Phytoplankton community/bulk				
NPP	Pg C yr <sup>-1</sup>	30–70	36.0 <sup>a</sup>	31.3
POC export	Pg C yr <sup>-1</sup>	4–10	11.9	9.1
DOC export	Pg C yr <sup>-1</sup>	0.4–2	0.4	1.4
Opal export	Tmol Si yr <sup>-1</sup>	70–185	130	130
CaCO <sub>3</sub> export	Pg C yr <sup>-1</sup>	0.4–1.8	1.0	0.6
fDOM	%		0.67	0.69
N fixation	Tg N yr <sup>-1</sup>	80–200	–	101
Denitrification	Tg N yr <sup>-1</sup>	60–150	–	101
Uptake C : N : P	molar ratio	146 : 20 : 1	117 : 16 : 1	146 : 20 : 1
Export C : N : P	molar ratio	117 : 16 : 1	117 : 16 : 1	113 : 17 : 1
Deep O <sub>2</sub>	μmol kg <sup>-1</sup>	169	179	155
LP/eukaryotes				
Uptake C : N : P	molar ratio		117 : 16 : 1	103 : 15 : 1
POC export	Pg C yr <sup>-1</sup>		8.7	3.6
Abundance	%		73 <sup>b</sup>	42
SM/cyanobacteria				
Uptake C : N : P	molar ratio		117 : 16 : 1	196 : 23 : 1
POC export	Pg C yr <sup>-1</sup>		3.2	4.8
Abundance	%		27 <sup>b</sup>	51
Diazotrophs				
Uptake C : N : P	molar ratio		–	213 : 33 : 1
POC export	Pg C yr <sup>-1</sup>		–	0.7
Abundance	%		–	7
RMSE				
PO <sub>4</sub>	μmol kg <sup>-1</sup>		0.43	0.52
NO <sub>3</sub>	μmol kg <sup>-1</sup>		5.7	6.9
Si(OH) <sub>4</sub>	μmol kg <sup>-1</sup>		8.5	10.6
O <sub>2</sub>	μmol kg <sup>-1</sup>		37.5	36.2

<sup>a</sup> NPP for MESMO 2 was unavailable as a model output and is therefore estimated from POC and fDOM = 0.67. <sup>b</sup> NPP (in terms of C) is needed in the calculation of the PFT abundance. The root-mean-square error (RMSE) of the simulated P, N, Si, and O<sub>2</sub> distributions from MESMO 2 and 3 was calculated relative to the World Ocean Atlas 2018 gridded data (Garcia et al., 2018, 2019). The model–data comparison is made in the top 100 m for nutrients and below 100 m for O<sub>2</sub>. WOA18 was regressed to the MESMO 3 grid to calculate the RMSE. References for independent constraints are as follows: (1) global NPP (Carr et al., 2006), (2) global POC export (DeVries and Weber, 2017), (3) global DOC export assumed to be 20 % of total carbon export (Hansell et al., 2009; Roshan and DeVries, 2017), (4) global opal (Dunne et al., 2007), (5) global CaCO<sub>3</sub> export (Berelson et al., 2007), (6) global N fixation and denitrification rates (Landolfi et al., 2018), (7) uptake C : N : P ratio is based on POM measurements (Martiny et al., 2013), (8) export C : N : P ratio is assumed to equal the subsurface remineralization ratio (Anderson and Sarmiento, 1994), and (9) deep O<sub>2</sub> from WOA18 below 100 m (Garcia et al., 2019).

thus are more dominant in the oligotrophic subtropical gyres (Fig. 2c). The diazotrophs do not have NO<sub>3</sub> limitation but have the lowest maximum growth rate. Thus it is much lower in abundance than the other two PFTs generally, and out-competed in transient blooms and thus excluded in higher latitudes (Fig. 2e).

Figure 2 also indicates that all three PFTs show Fe limitation in the Southern Ocean. Outside the Southern Ocean, the eukaryotes are primarily limited by Si(OH)<sub>4</sub> (Fig. 2b). As far as organic carbon is concerned, we consider the eukaryotes to basically represent diatoms, which are arguably the most important agent of organic carbon export. In this context, the widespread silica limitation for eukaryotes would be consis-



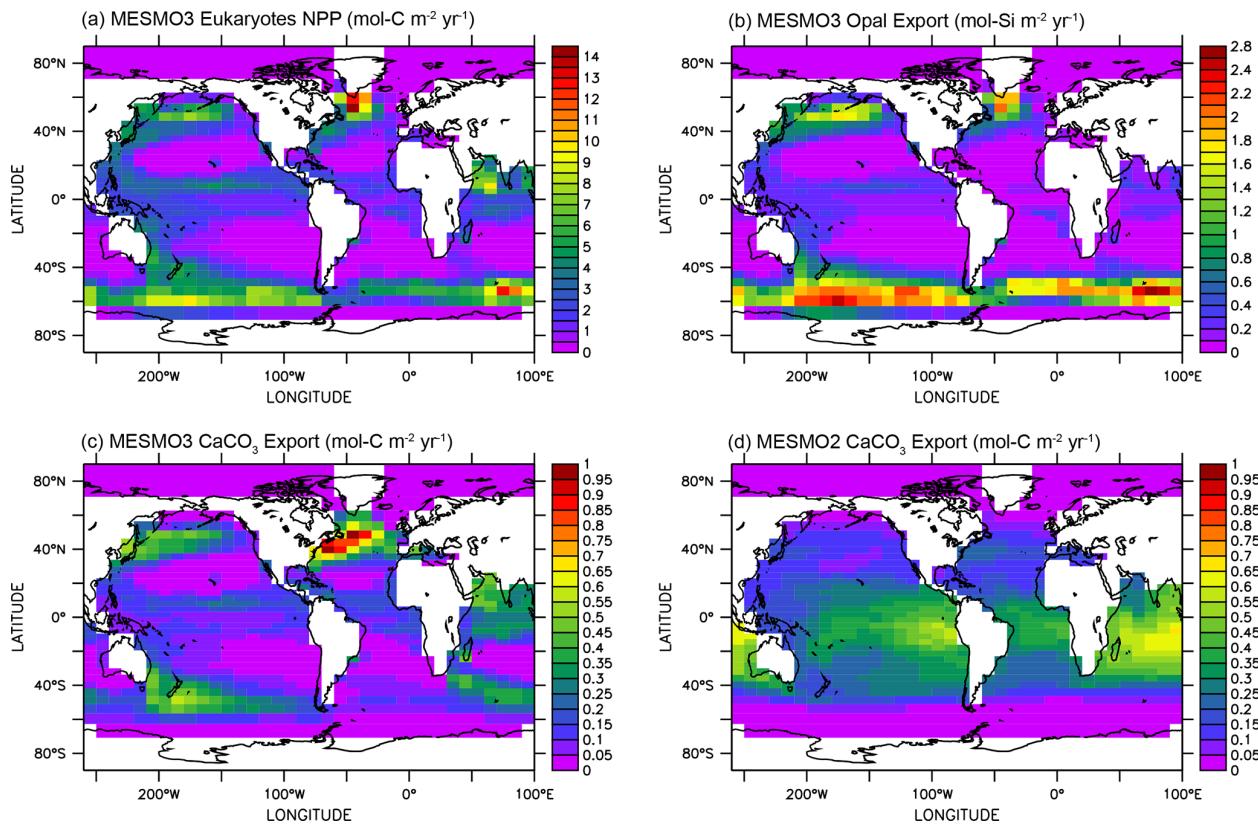
**Figure 2.** NPP-based surface phytoplankton functional type (PFT) abundance and nutrient limitation in MESMO 3. Fractional abundance and nutrient limitation for eukaryotes (a, b), cyanobacteria (c, d), and diazotrophs (e, f).

tent with the notions that silica uptake by diatoms should be limited in  $\sim 60\%$  of the world surface ocean (Ragueneau et al., 2000) and that much the world ocean thermocline is filled with silica-depleted water ( $\text{Si}^* < 0$  as noted above). On the other hand, the cyanobacteria are largely limited by  $\text{NO}_3^-$  outside the Southern Ocean (Fig. 2d). The diazotrophs are limited by iron in much of the world ocean except in the Atlantic basin (Fig. 2f), where surface  $\text{PO}_4^{3-}$  is strongly depleted in both observations (Mather et al., 2008) and in our model (Fig. S1).

Figure 3 illustrates the influence of the RNPG index, which was implemented in MESMO 3 to allow for the effect of competition between diatoms and coccolithophores within the same PFT (Eqs. 22 and 23). The eukaryote NPP (Fig. 3a)

is effectively split into two parts: one is associated with diatoms and opal production (Fig. 3b), and the other is associated with coccolithophores and  $\text{CaCO}_3$  production (Fig. 3c). According to the RNPG index, opal production is simulated more in the higher latitudes of the Southern Ocean and the North Pacific, where surface  $[\text{Si}(\text{OH})_4]$  is abundant. Elsewhere,  $\text{CaCO}_3$  production is relatively large. The decoupling is prominent in the North Indian Ocean. Note that the spatial pattern of  $\text{CaCO}_3$  production is quite different in MESMO 3 (Fig. 3c) and MESMO 2 (Fig. 3d) because  $\text{CaCO}_3$  production was associated in MESMO 2 with the “small” PFT, which corresponds to the cyanobacteria PFT in MESMO 3.

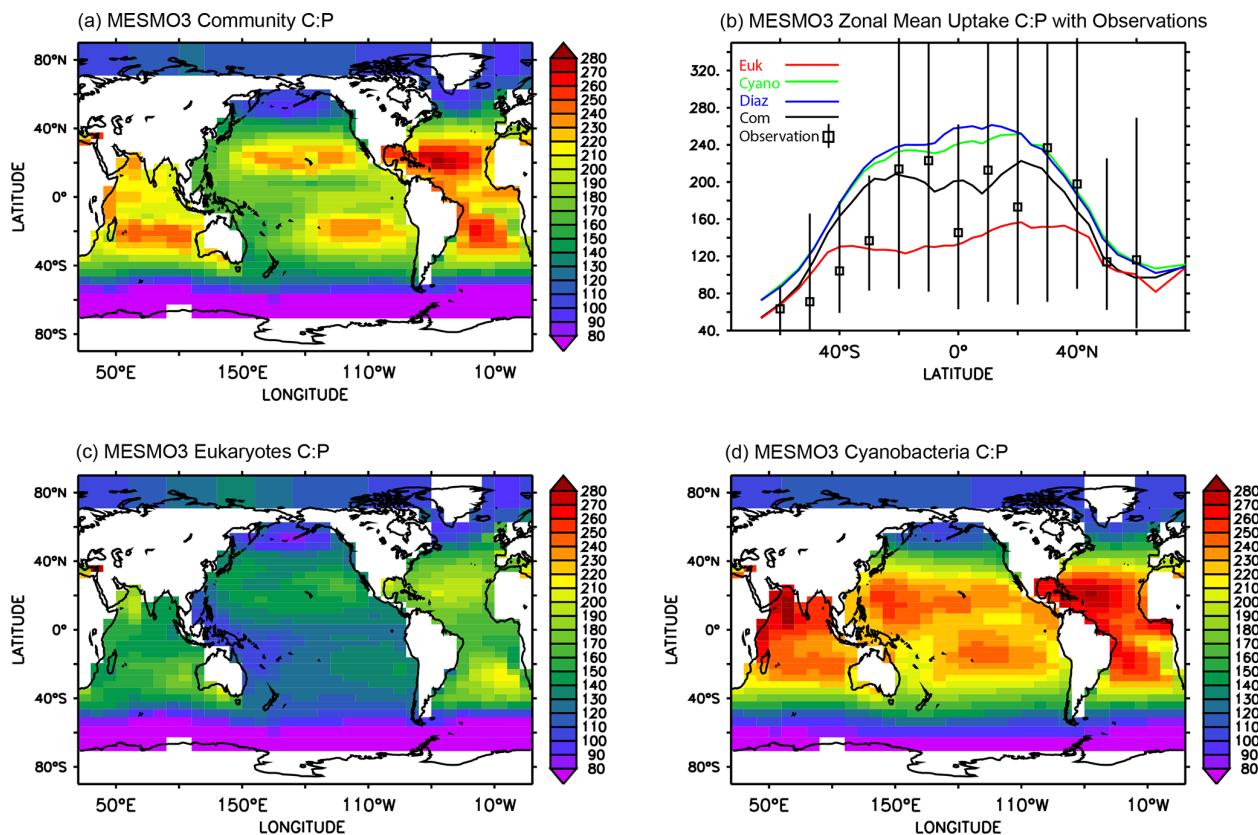
The global pattern of the mean C : P uptake ratio in the production layer is shown in Fig. 4. Consistent with ob-



**Figure 3.** Eukaryote production in MESMO 3 and  $\text{CaCO}_3$  export in MESMO 2. In MESMO 3, eukaryote NPP (a) is linked to both opal export (b) and  $\text{CaCO}_3$  export (c) but the two export productions are differentiated by the residual nitrate potential growth (RNPG). Compare  $\text{CaCO}_3$  export in MESMO 3 (c) to MESMO 2 (d) (unit:  $\text{mol m}^{-2} \text{yr}^{-1}$ ).

servations (Martiny et al., 2013), the simulated C : P ratio of the phytoplankton community is elevated in the oligotrophic subtropical gyres and low in the eutrophic polar waters (Fig. 4a). The community C : P ratio exceeds 200 in the gyres and reaches as low as 40–50 in the Southern Ocean. The community C : P has contributions from both physiological effects (i.e., environmental drivers acting on each PFT's C : P ratio) and taxonomic effects (i.e., the shift in the community composition changes the weighting of each PFT's C : P ratio). Figure 4b shows that the community C : P is high in oligotrophic gyres because cyanobacteria and to a lesser extent diazotrophs dominate the community and their C : P ratio is high. Conversely, the community C : P is low in the polar waters because the eukaryotes dominate and their C : P ratio is low. For both eukaryotes and cyanobacteria, their C : P is high in oligotrophic subtropical gyres because  $\text{PO}_4$  is low (Fig. 4c and d). This physiological effect is larger in eukaryotes than cyanobacteria because the former has greater sensitivity (i.e., larger sensitivity factor  $s_{\text{PO}_4}^{\text{P:C}}$ ; see Eq. 5 and Table 2b). However, the cyanobacteria PFT's C : P ratio has an additional sensitivity to temperature (i.e.,  $s_T^{\text{P:C}} \neq 0$ ) that elevates their C : P in the lower latitudes. We do not show the C : P ratio for diazotrophs because it is very similar to that of cyanobacteria (Fig. 4b, d).

In order to gain more insights into the spatial patterns of the C : P ratio (Fig. 4), we examined the relationships between the C : P and C : N ratios and the four possible environmental drivers for eukaryotes and cyanobacteria (Fig. 5; again, diazotrophs are not shown). The red plots show that there is a causal relationship between the ratios and the drivers as formulated by the power law model (Eqs. 5 and 6). The black plots show the absence of a causal relationship. For example, the C : P ratio of both eukaryotes and cyanobacteria is strongly correlated with  $\text{PO}_4$  because there is a causal relationship (Fig. 5a, b, shown in red). Similarly, the C : N ratio of the same two PFTs have a strong correlation with  $\text{PO}_4$  (Fig. 5c, d in black), but there is actually not a causal relationship (i.e.,  $s_{\text{PO}_4}^{\text{N:C}} = 0$ , Table 2b). The C : N- $\text{PO}_4$  correlation exists simply because the nutrients are well correlated. Similarly, because temperature and photosynthetically active radiation (PAR) tend to be correlated via latitude, the stoichiometry has a similar correlation to the two drivers. For example, cyanobacteria C : P has a strong correlation with both temperature and PAR (Figs. 5j, 4n), but only the temperature is a real driver. Figure 5 indicates which are the dominant drivers of the C : N : P ratio in MESMO 3. For the eukaryote C : P ratio, it is  $\text{PO}_4$ . For the cyanobacteria C : P ratio, the important drivers are temperature and  $\text{PO}_4$ . For the C : N ratio for



**Figure 4.** Uptake C : P ratio in the top 100 m in MESMO 3: (a) phytoplankton community C : P, (b) zonal mean C : P of all three PFTs and phytoplankton community, (c) eukaryote C : P, and (d) cyanobacteria C : P. The colors in (b) indicate community C : P (black), eukaryote C : P (red), cyanobacteria C : P (green), and diazotroph C : P (blue). In addition, (b) shows the range of observed C : P ratio binned by latitude (Martiny et al., 2013).

both eukaryotes and cyanobacteria,  $\text{NO}_3^-$  is more important than PAR. Figure 5 also serves to remind us that correlation does not indicate causation.

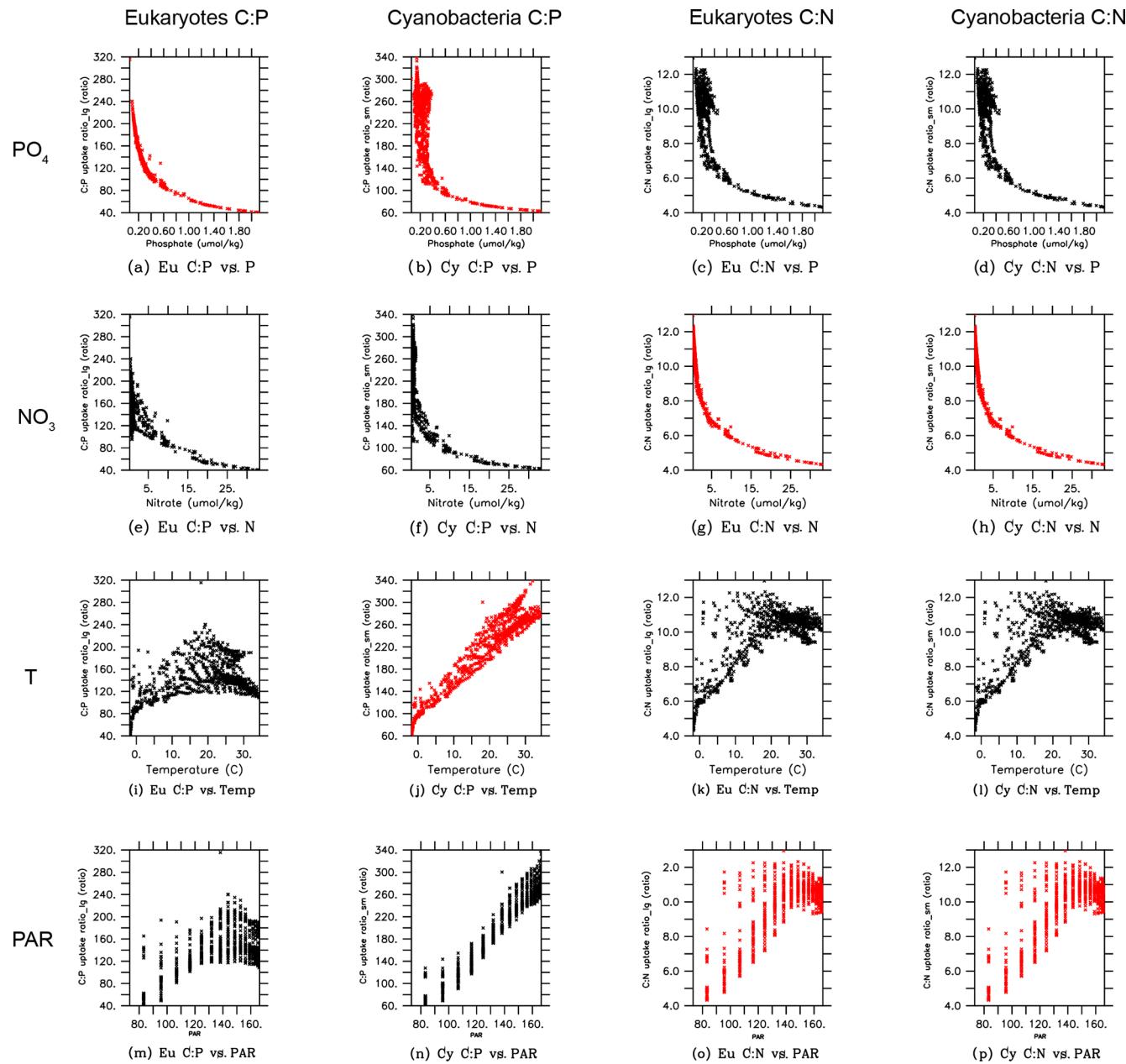
Figure 6 shows the community C : P and C : N ratios plotted against the four environmental drivers. Unlike Fig. 5, which reflected the individual PFT's physiological response, Fig. 6 includes the effect of taxonomy as well. Still, the effects of  $\text{PO}_4^{3-}$  and temperature are clearly visible on the community C : P ratio. Both low  $[\text{PO}_4^{3-}]$  and warmer waters are found in the lower latitudes, so the P frugality and temperature effects are additive. The effect of  $\text{NO}_3^-$  on the community C : N ratio is also very clear, but the effect of PAR is not as clear. Thus, the overall physiological effects seen in the PFT-specific C : N : P are obvious in the community C : N : P ratio.

### 3.2 DOM<sub>r</sub>-enabled MESMO 3

In MESMO 2,  $\text{DOC}_{\text{sl}}$  was a standard state variable. In MESMO 3, other forms of DOM are available as options. They are the semi-labile forms of DOM, i.e.,  $\text{DOP}_{\text{sl}}$ ,  $\text{DON}_{\text{sl}}$ , and  $\text{DOFe}_{\text{sl}}$ , and the refractory forms of DOM, i.e.,  $\text{DOC}_{\text{r}}$ ,  $\text{DOP}_{\text{r}}$ , and  $\text{DON}_{\text{r}}$ . MESMO 3 is not yet calibrated with re-

spect to all the DOM variables, but here we demonstrate their potential use in future biogeochemical investigations by presenting steady state DOM results from the model experiment LV (experiment ID: 210310m). In this run, all three sinks of  $\text{DOM}_{\text{r}}$  are activated: slow background decay, photodegradation, and degradation in hydrothermal vents.

The experiment name LV stands for “literature values”. In LV, we use the literature values for the key DOM remineralization model parameters (Table 2d) and  $f\text{DOM}_{\text{r}} = 0.01$  (Hansell, 2013). All other model parameter values in the LV run are identical to the standard MESMO 3 model (Table 2). The black lines in Fig. 7 show the global mean vertical profiles of the total DOC ( $\text{DOC}_{\text{t}} = \text{DOC}_{\text{sl}} + \text{DOC}_{\text{r}}$ ) with solid lines and  $\text{DOC}_{\text{r}}$  with a dashed line. Qualitatively, the simulated profiles are consistent with the observations, showing a near-uniform  $\text{DOC}_{\text{r}}$  concentration and a  $\text{DOC}_{\text{sl}}$  profile that rapidly attenuates with depth in the top few hundred meters (Hansell, 2013). However, the simulated values reach  $130 \mu\text{mol kg}^{-1}$  at the surface, which is approximately twice the observations. More typically, the observed  $\text{DOC}_{\text{r}}$  is  $30\text{--}40 \mu\text{mol kg}^{-1}$ , and the observed  $\text{DOC}_{\text{sl}}$  attenuates with depth from  $30\text{--}40 \mu\text{mol kg}^{-1}$  near the surface. So their sum, which



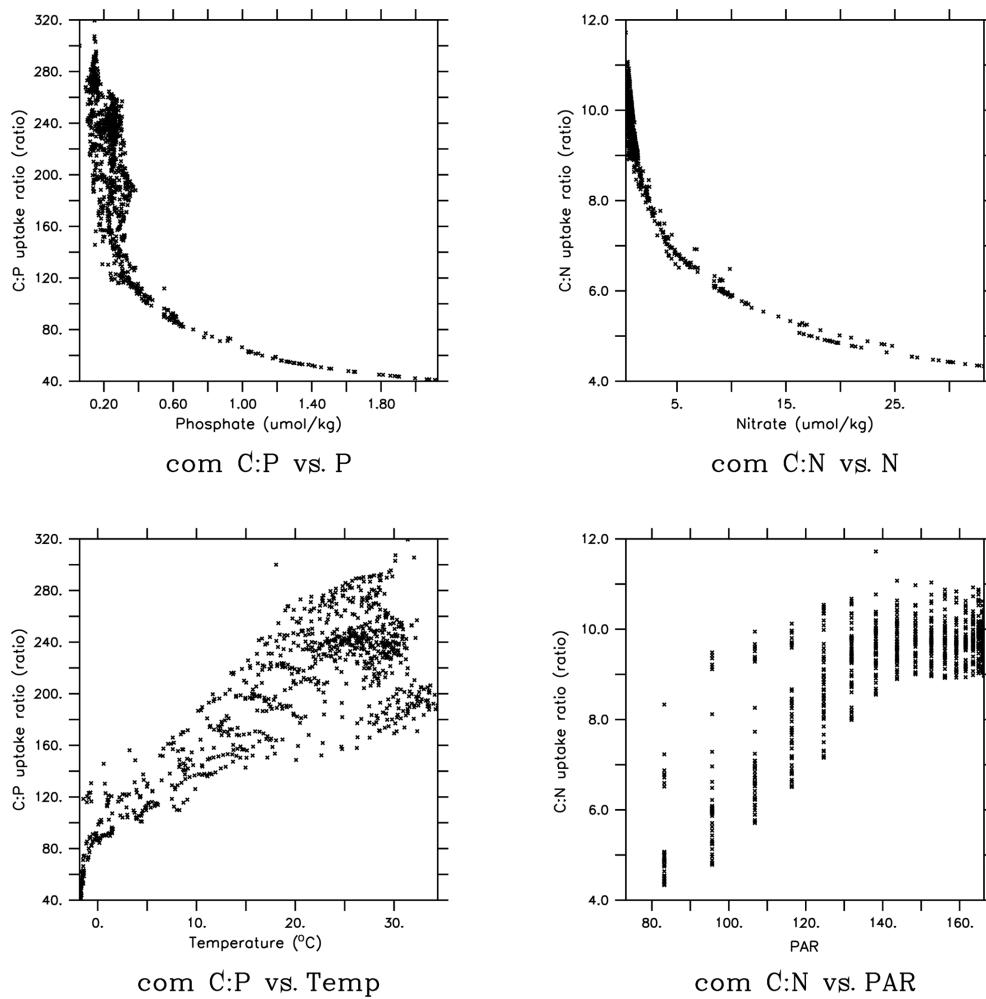
**Figure 5.** Scatterplots of surface ocean eukaryote and cyanobacteria C : P and C : N vs. environmental drivers in MESMO 3. Columns show the following data, from left to right, eukaryote C : P, cyanobacteria C : P, eukaryote C : N, and cyanobacteria C : N. Rows show the following data, from top to bottom, PO<sub>4</sub>, NO<sub>3</sub>, temperature, and PAR. Red indicates the causal relationship according to the power law formulation of flexible C : N : P ratio. PAR stands for photosynthetically active radiation in W m<sup>-2</sup>.

is represented by DOC<sub>t</sub>, is approximately 60–80  $\mu\text{mol kg}^{-1}$  at the surface in observations.

Figure 8 adds a lateral perspective to Fig. 7. The rapid DOC<sub>t</sub> attenuation in the vertical is strong in the lower latitudes where stratification is generally stronger. The transport of DOC<sub>sl</sub> from the surface to deeper waters is evident in the high latitudes of the North Atlantic and the Southern Ocean. The DOC<sub>t</sub> change in the deep ocean is limited.

Observations of deep-ocean DOC<sub>t</sub> indicate a reduction by 29 % or 14  $\mu\text{mol kg}^{-1}$  from the deep North Atlantic to the deep North Pacific (Hansell and Carlson, 1998). Figure 8 shows that the deep-ocean DOC<sub>t</sub> gradient in LV is approximately 10  $\mu\text{mol kg}^{-1}$  from 70–75  $\mu\text{mol kg}^{-1}$  in the North Atlantic to < 65  $\mu\text{mol kg}^{-1}$  in the North Pacific.

The horizontal DOC<sub>t</sub> distributions from the LV run can also be compared to a global extrapolation based on an artificial neural network (ANN) of the available DOC<sub>t</sub> data



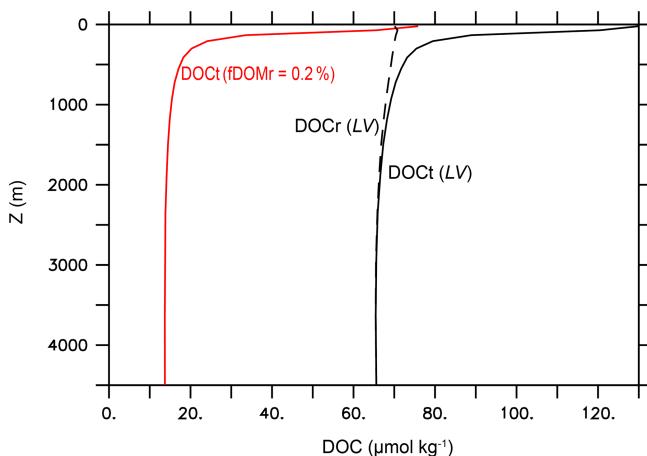
**Figure 6.** Scatterplots of surface ocean community C : P and C : N vs. environmental drivers in MESMO 3.

(Roshan and DeVries, 2017). At the surface, the extrapolation indicates higher  $\text{DOC}_t$  concentrations in the subtropical gyres (Fig. 9a), while our simulation does not clearly delineate the gyres (Fig. 9c). In our model, fDOM is temperature-dependent and strongly controls the production of DOM. The surface  $\text{DOC}_t$  is thus more elevated in the lower latitudes. Interestingly, the ANN study diagnosed higher rates of DOM production in the subtropical gyres. Since the oligotrophic subtropical gyres have low NPP, the diagnosis would thus suggest that somehow fDOM is higher in the gyres. At depth, both the extrapolated and simulated  $\text{DOC}_t$  show a gradual decline in concentrations from the North Atlantic to the North Pacific (Fig. 9b, d). The highest deep  $\text{DOC}_t$  in the LV run is seen just south of Greenland, where convection occurs in the model.

Finally, we show that the deep-ocean radiocarbon aging is larger in DIC than in  $\text{DOC}_t$  in the model (Fig. 10). The North Pacific–North Atlantic  $\Delta^{14}\text{C}$  gradient is roughly  $-100\text{\textperthousand}$  for DIC and  $-70\text{\textperthousand}$  for  $\text{DOC}_t$ . The oldest  $\text{DOC}_t \Delta^{14}\text{C}$  is approximately  $-430\text{\textperthousand}$  in the North Pacific. If  $^{14}\text{C}$  decay were the

only mechanism of change along the path of the deepwater circulation, the  $\Delta^{14}\text{C}$  gradient should be quite similar between DIC and  $\text{DOC}_t$ , which are both dissolved phases and transported passively by the same circulation. The one potentially important difference is that the addition of the relatively young  $\text{DI}^{14}\text{C}$  and  $\text{DO}^{14}\text{C}$  to the deep ocean by the “deep POC split” (see Sect. 2.3) impacts  $\text{DOC}_t \Delta^{14}\text{C}$  more than  $\text{DIC} \Delta^{14}\text{C}$  because  $\text{DOC}_t$  is 2 orders of magnitude lower in concentration than DIC.

In observations, the aging of DIC and  $\text{DOC}_t$  is reportedly similar in the Antarctic Bottom Water (below 4000 m) of the deep Pacific (Druffel et al., 2019). This may be explained by the fact that there would not be much deep POC split occurring so deep in the ocean. The North Pacific–North Atlantic  $\Delta^{14}\text{C}$  gradient, accounting for thermonuclear bomb  $^{14}\text{C}$ , may be as large as  $-100\text{\textperthousand}$  for  $\text{DOC}_t$  (about  $-550\text{\textperthousand}$  in the deep Pacific and  $-450\text{\textperthousand}$  in the deep Atlantic) (Druffel et al., 2019). This gradient is not rigorously determined because there is not enough data to do an objective analysis. Therefore, the equivalent  $\Delta^{14}\text{C}$  gradient for DIC cannot



**Figure 7.** Global mean vertical profiles of DOC from the  $DOM_R$ -enabled MESMO 3.  $DOC_t$  ( $DOC_{sl} + DOC_r$ , black line) and  $DOC_r$  (dashed black line) from the LV run. The red line is  $DOC_t$  after reducing  $fDOM_r$  from 1 % in LV to 0.2 % (Experiment 210310o (unit:  $\mu\text{mol kg}^{-1}$ ).

be determined. However, the  $DIC \Delta^{14}\text{C}$  endmember values by inspection (about  $-250\text{\textperthousand}$  in the deep Pacific and  $-70\text{\textperthousand}$  in the deep Atlantic) (Matsumoto and Key, 2004) indicate a clearly larger  $\Delta^{14}\text{C}$  gradient for  $DIC$  than  $DOC_t$  as simulated by the experiment LV.

One lesson from the data–LV run mismatch in the overall  $DOC_t$  concentration (Fig. 7) and surface  $DOC_t$  pattern (Fig. 9) is that the parameter values from the literature do not fully capture the DOC cycle and/or that MESMO 3 is still lacking some important DOC process. In LV, the surface  $DOC_t$  is too high because  $DOC_r$  is too high, while  $DOC_{sl}$  is not unreasonable (Fig. 7).  $DOC_r$  is too high because there is too much  $DOC_r$  production (e.g.,  $fDOM_r = 1\%$  is too large), there is too little  $DOC_r$  degradation (e.g., one of the DOM decay mechanisms is too slow; Eq. 28 and Table 2d), or some combination of both. For example,  $fDOM_r$  is a key parameter that is not well constrained by observations. Had we used 0.2 % instead of 1 % for  $fDOM_r$ , the global mean surface  $DOC_t$  drops to  $76 \mu\text{mol kg}^{-1}$  (red line, Fig. 7), consistent with observations. For achieving a better surface  $DOC_t$  pattern, we may need a different formulation of  $fDOM$  that is, for example, negatively related to nutrient concentrations so that  $fDOM$  increases in the oligotrophic subtropical gyres (Roshan and DeVries, 2017).

Another lesson from the DOM modeling exercise is that it is important to simulate  $DOP_r$  reasonably well in order to preserve the favorable results we achieved in MESMO 3 with respect to biological production and the phytoplankton  $C : N : P$  ratio. We find that in the experiment LV, the global mean  $DOP_r$  concentration becomes steady at  $0.45 \mu\text{mol-P kg}^{-1}$ . In observations, the mean  $DOC_r$  is about  $40 \mu\text{mol-C kg}^{-1}$  and the  $DOC_r : DOP_r$  ratio is estimated to be  $\sim 1370 : 1$  (Letscher and Moore, 2015), so  $DOP_r$  concentra-

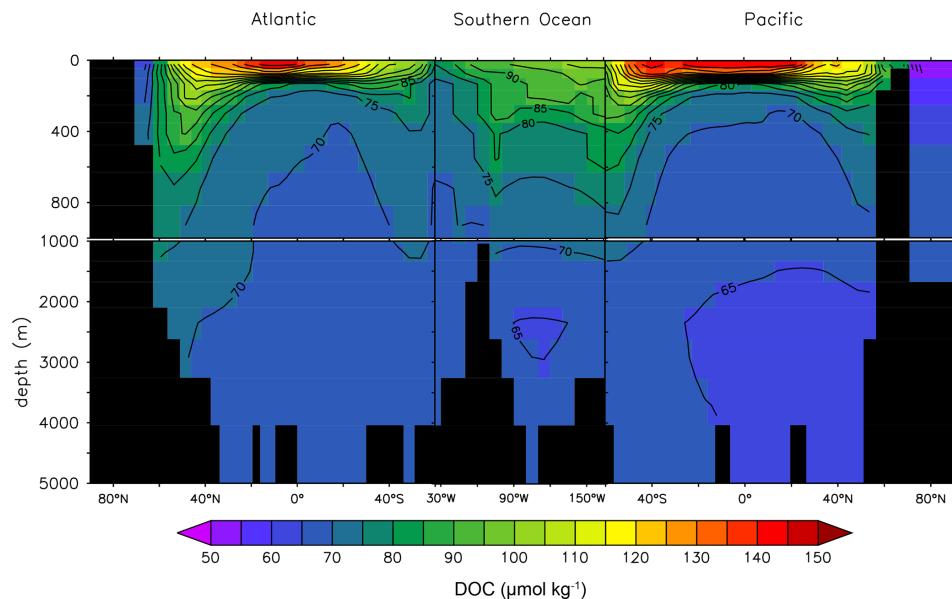
tion should only be roughly  $0.03 \mu\text{mol-P kg}^{-1}$ . Thus, the simulated  $DOP_r = 0.45 \mu\text{mol-P kg}^{-1}$  is an order of magnitude too high. Because there is more P in the form of  $DOP_r$  in LV, the oceanic inventory of  $PO_4$  declines, causing a nearly 10 % drop in export production compared to the standard MESMO 3. In LV, the decline in the surface ocean  $PO_4$  that accompanies the change in the  $PO_4$  inventory acts on the phytoplankton physiology (i.e., P effect on C : P in Eq. 5), which leads to a large rise in the global mean phytoplankton community C : P export ratio from 113 : 1 to 127 : 1. The implementation of preferential remineralization of  $DOP$  (and  $DON$ ) over  $DOC$  (Letscher and Moore, 2015) is one way to deal with the problem of too high  $DOP_r$  concentrations.

### 3.3 Large-scale patterns of $N_2$ fixation and denitrification

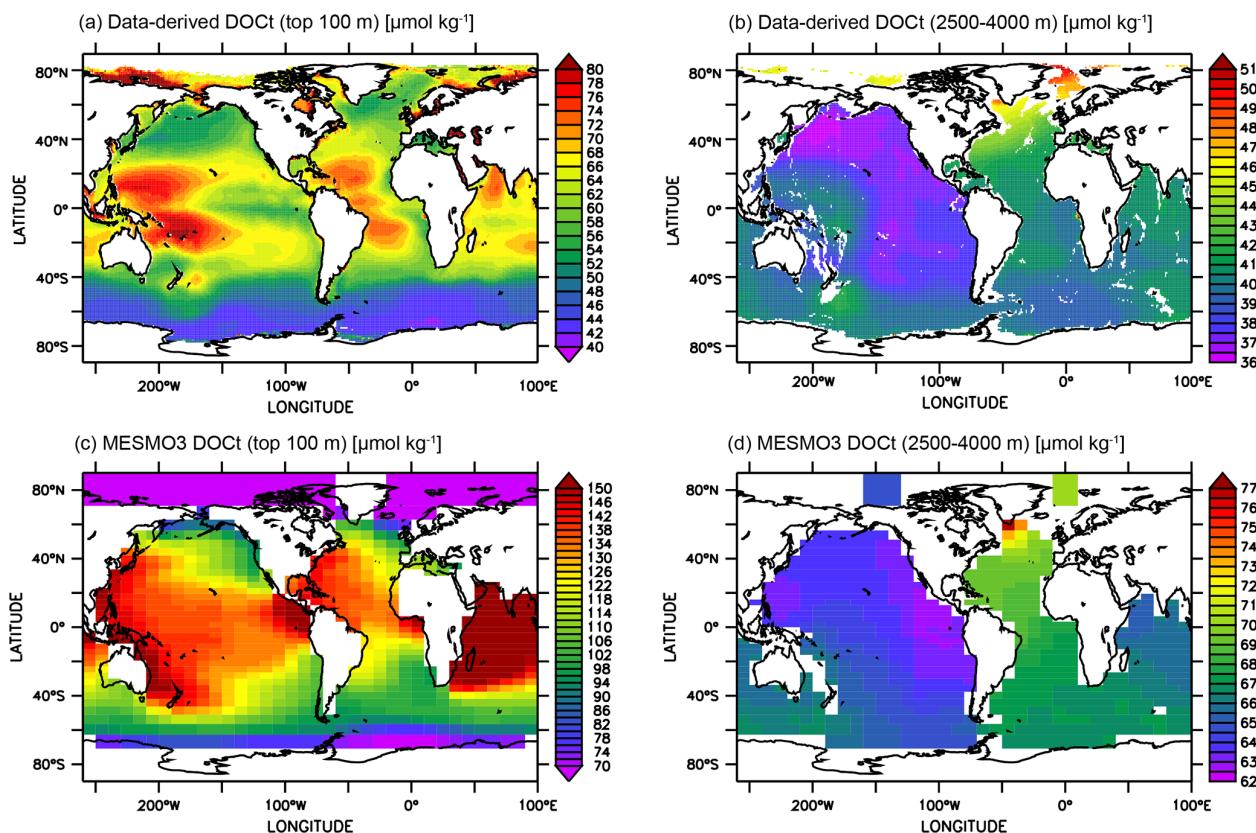
The modeled habitat of diazotrophs is concentrated in tropical and subtropical waters between  $40^\circ S$  and  $40^\circ N$  and limited by iron (Fig. 1e, f). Most noticeably in the North Pacific subtropical gyre, diazotrophs constitute  $\sim 40\%$  of total NPP. The latitudinal extent of diazotrophs is mainly determined by surface nitrate availability and physical factors such as surface temperature and irradiance. Low nitrate availability in subtropical gyres gives diazotrophs a competitive advantage over small cyanobacteria. Warm temperature and high irradiance are critical physical factors that drive the growth of diazotrophs in the model.

The modeled global depth-integrated  $N_2$  fixation is  $101 \text{Tg N yr}^{-1}$  (Table 3), and this value falls well within the range of observational and geochemical constraints of  $80$ – $200 \text{Tg N yr}^{-1}$  (Landolfi et al., 2018). In MESMO 3,  $N_2$  fixation occurs in the North Pacific and mid-to-low latitudes of the Atlantic basin (Supplement Fig. S6), where diazotrophs are generally more abundant (Fig. 2e). The elevated  $N_2$  fixation rate in the North Pacific, where nitrate limits eukaryotes and cyanobacteria (Fig. 2b, d), can be explained by the healthy growth of diazotrophs, which is not limited by N. In the subtropical and tropical Atlantic and the Indian Ocean, high  $N_2$  fixation is driven by a elevated C : P and N : P ratio (Fig. 4), exemplified by low phosphate availability and warm surface temperature. This spatial pattern agrees with a recent inverse model study (Wang et al., 2019), which showed an elevated  $N_2$  fixation rate in subtropical gyres.

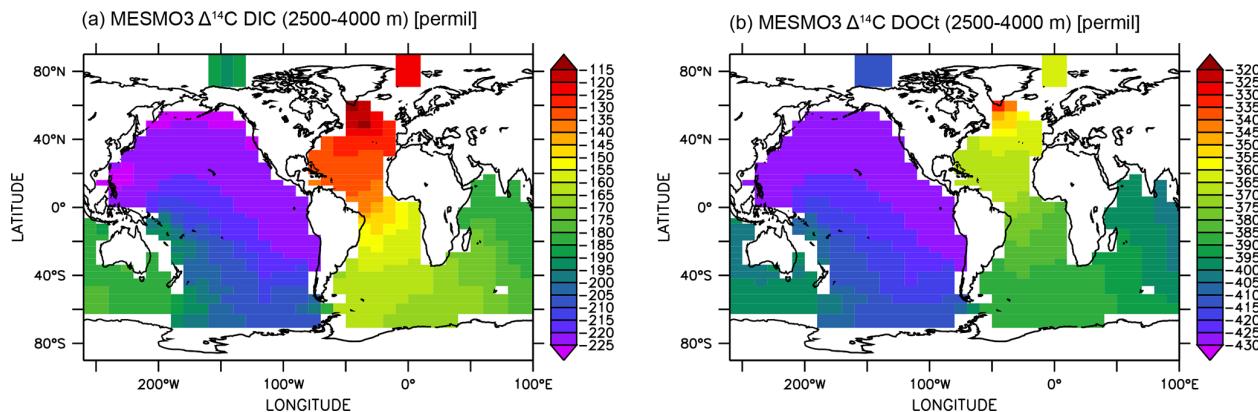
Global water column denitrification is  $101 \text{Tg N yr}^{-1}$  (Table 3) and is equal to the global  $N_2$  fixation because the model has reached steady state. Denitrification is restricted to the subpolar North Pacific (Fig. S6), where sub-surface oxygen concentration is significantly depleted (Fig. S3d). Enhanced denitrification in this region is in qualitative agreement with a previous modeling study (Bianchi et al., 2018), which showed the anaerobic niche due to particle microenvironments can significantly expand the hypoxic expanses in the North Pacific. However, the extent of denitrification in our model does not include the eastern equatorial Pacific



**Figure 8.** Global depth–latitude transect of  $\text{DOC}_t$  from the  $\text{DOM}_R$ -enabled MESMO 3 LV run. Transects are north–south along  $25^\circ$  W in the Atlantic, east–west along  $60^\circ$  S in the Southern Ocean, and north–south along  $165^\circ$  E in the Pacific (unit:  $\mu\text{mol kg}^{-1}$ ).



**Figure 9.** Assessment of surface and deep-ocean  $\text{DOC}_t$  from the  $\text{DOM}_R$ -enabled MESMO 3 LV run. Data-derived  $\text{DOC}_t$  distributions in the top 100 m (a) and 2500–4000 m (b). Model-simulated  $\text{DOC}_t$  distributions in the top 100 m (c) and 2500–4000 m (d). Data-derived  $\text{DOC}_t$  are from Roshan and DeVries (Roshan and DeVries, 2017) (unit:  $\mu\text{mol kg}^{-1}$ ).



**Figure 10.**  $\Delta^{14}\text{C}$  of deep-ocean DIC (a) and  $\text{DOC}_t$  (b) from the  $\text{DOM}_R$ -enabled MESMO 3 LV run. Vertical average over 2500–4000 m water depth (unit: ‰).

and northern Indian oceans, which are important hotspots for denitrification (Codispoti, 2007; Deutsch et al., 2007). This issue is typical of coarse-resolution global ocean biogeochemistry models that lack spatial resolution in reproducing intense upwelling (Marchal et al., 1998; Najjar et al., 1992; Yamanaka and Tajika, 1997).

Finally, the ratio of the global inventories of  $\text{NO}_3^-$  and  $\text{PO}_4^{3-}$  in MESMO 3 is just about 16 at steady state, consistent with observations (Gruber and Sarmiento, 1997). One key model parameter in this regard is the nitrate uptake half-saturation constant of diazotrophs,  $K_{\text{NO}_3,3}$  TS6 in Eq. (58). A large value of  $K_{\text{NO}_3,3}$  TS6 will make it hard for diazotrophs to obtain fixed N from  $\text{NO}_3^-$ , which would facilitate  $\text{N}_2$  fixation and pushes up the global N/P ratio. With a smaller value of  $K_{\text{NO}_3,3}$  TS7, diazotrophs will more easily uptake  $\text{NO}_3^-$ , thus depressing  $\text{N}_2$  fixation and lowering the global N/P ratio.

## 4 Conclusions

MESMO 3, the third and latest version of MESMO, is comprehensively described here. With a fully flexible C : N : P ratio in three PFTs, a prognostic N cycle, and more mechanistic schemes of organic matter production and remineralization, MESMO 3 reflects the evolving and accumulating knowledge of the ocean biogeochemistry. The model thus remains an effective tool for investigations of the global biogeochemical cycles, especially over long timescales, given the model's computational efficiency. In particular, MESMO 3 holds promise for studying the marine DOM cycle. The optional features of MESMO 3 include the semi-labile and refractory pools of C, P, N, and Fe. The fact that the literature values regarding the present marine DOM cycle are unable to simulate key observations indicates an opportunity for MESMO 3 to contribute to an improved understanding of the marine DOM cycle.

*Code and data availability.* Model results presented in this study are archived and available with the code. The complete code of MESMO version 3.0 and the results presented here are available at GitHub <https://github.com/gaia3intc/mesmo.git> (last access: 26 April 2021) and have the following DOI: <https://doi.org/10.5281/zenodo.4403605> (Matsumoto, 2020).

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*Author contributions.* KM, TT, and JZ developed the model code. KM performed the simulations, carried out the analyses, and archived the model code and results. KM and TT wrote the paper.

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