1	ShellChron 0.4.0: A new tool for constructing chronologies in accretionary carbonate archives
2	from stable oxygen isotope profiles
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#### 11 Abstract

12 This work presents ShellChron, a new model for generating accurate internal age models for highresolution paleoclimate archives, such as corals, mollusk shells and speleothems. Reliable sub-annual 13 14 age models form the backbone of high-resolution paleoclimate studies. In absence of independent sub-15 annual growth markers in many of these archives, the most reliable method for determining the age of 16 samples is through age modelling based on stable oxygen isotope or other seasonally controlled proxy 17 records. ShellChron expands on previous solutions to the age model problem by fitting a combination 18 of a growth rate and temperature sinusoid to model seasonal variability in the proxy record in a sliding 19 window approach. This new approach creates smoother, more precise age-distance relationships for 20 multi-annual proxy records with the added benefit of allowing assessment of the uncertainty on the 21 modelled age. The modular script of ShellChron allows the model to be tailored to specific archives, 22 without being limited to oxygen isotope proxy records or carbonate archives, with high flexibility in 23 assigning the relationship between the input proxy and the seasonal cycle. The performance of 24 ShellChron in terms of accuracy and computation time is tested on a set of virtual seasonality records 25 and real coral, mollusk and speleothem archives. The result shows that several key improvements in 26 comparison to previous age model routines enhance the accuracy of ShellChron on multi-annual records 27 while limiting its processing time. The current full working version of ShellChron enables the user to 28 model the age of a 10-year long high-resolution (16 samples/yr) carbonate records with monthly 29 accuracy within one hour of computation time on a personal computer. The model is freely accessible 30 on the CRAN database and GitHub. Members of the community are invited to contribute by adapting 31 the model code to suit their research topics and encouraged to cite the original work of Judd et al. (2018) 32 alongside this work when using ShellChron in future studies.

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## 35 1. Introduction

36 Fast growing carbonate archives, such as coral skeletons, mollusk shells and speleothems, contain a 37 wealth of information about past and present climate and environment (e.g. Urban et al., 2000; Wang et 38 al., 2001; Steuber et al., 2005; Butler et al., 2013). Recent advances in analytical techniques have 39 improved our ability to extract this information and obtain records of the conditions under which these 40 carbonates precipitated at high temporal resolutions, often beyond the annual scale (Treble et al., 2007; 41 Saenger et al., 2017; Vansteenberge et al., 2019; de Winter et al., 2020a; Ivany and Judd, 2022). Key 42 to the interpretation of such records is the development of reliable chemical or physical proxies for 43 climate and environmental conditions which can be measured on a sufficiently fine scale to allow 44 variability to be reconstructed at the desired time resolution. Examples of suitable proxies include 45 observations of variability in carbonate fabric and microstructure and in (trace) elemental and isotopic 46 composition (Frisia et al., 2000; Lough, 2010; Ullmann et al., 2010; Schöne et al., 2011; Ullmann et al., 47 2013; Van Rampelbergh et al., 2014; de Winter et al., 2017). The unique preservation potential of 48 carbonates in comparison with archives of climate variability at similar time resolutions, such as tree ring 49 records and ice cores, now allows us to recover information about climate and environment of the 50 geological past from these proxies on the (sub-)seasonal scale (Ivany and Runnegar, 2010; Ullmann 51 and Korte, 2015; Vansteenberge et al., 2016; de Winter et al., 2018; 2020b; c; Mohr et al., 2020). The 52 importance of this development cannot be overstated because variability at high (daily and seasonal) 53 resolution constitutes the most significant component of climate variability (Mitchell, 1976; Huybers and Curry, 2006; Zhu et al., 2019; von der Heydt et al., 2021). Accurate reconstructions of this type of 54 55 variability are therefore fundamental to our understanding of Earth's climate system and critical for 56 projecting its behavior in the future under anthropogenic global warming conditions (IPCC, 2021).

57 A reliable age model is crucial for the interpretation of high-resolution carbonate records. An age model 58 is defined as a set of rules or markers that allows the translation of the location of a measurement or 59 observation on the archive to the time at which the carbonate was precipitated. This translation is 60 required for aligning records from multiple proxies or archives on a common time axis. Age alignment 61 enables data to be intercomparable and to be interpreted in the context of processes playing a role at 62 similar timescales. Age models are based on knowledge about the growth or accretion rate of the archive 63 through time. Many high-resolution carbonate archives contain growth markers on which age models 64 can be based (e.g. Jones, 1983; Le Tissier et al., 1994; Verheyden et al., 2006). These are especially 65 valuable in some mollusk species, in which growth lines demarcate annual, daily, or even tidal cycles 66 (e.g. Arctica islandica, Schöne et al., 2005; Pecten maximus, Chavaud et al., 2005 and Cerastoderma 67 edule, Mahé et al., 2010). However, in many mollusk species and most carbonate archives, such 68 independent growth indicators are absent or too infrequent to (relatively) date high-resolution 69 measurements (Judd et al., 2018; Huyghe et al., 2019). In such cases, age models need to be based 70 on alternative indicators.

71 The oxygen isotope composition of carbonates ( $\delta^{18}O_c$ ) is closely dependent on the isotopic composition 72 of the fluid ( $\delta^{18}O_w$ ) and the temperature at which the carbonate is precipitated (Urey, 1948; McCrea, 73 1950; Epstein et al., 1953). In most natural surface environments, either one or both factors is strongly 74 dependent on the seasonal cycle, one generally being dominant over the other. This causes carbonates 75 precipitated in these environments to display strong guasi-sinusoidal variations in  $\delta^{18}O_c$  that record the 76 seasonal cycle (e.g. Dunbar and Wellington, 1981; Jones and Quitmyer, 1996; Baldini et al., 2008). Examples of this behavior include seasonal cyclicity in sea surface temperatures recorded in the  $\delta^{18}O_c$ 77 78 of corals and mollusks and seasonal cyclicity in the  $\delta^{18}O_w$  of precipitation recorded in speleothems 79 (Dunbar and Wellington, 1981; Schöne et al., 2005; Van Rampelbergh et al., 2014). This relationship is 80 challenged in tropical latitudes, where temperature seasonality is restricted. However, in some tropical 81 archives, the annual cycle of  $\delta^{18}O_w$  in precipitation still allows the annual cycle to be resolved from  $\delta^{18}O$ 82 records (e.g. Evans and Schrag, 2004). These properties make  $\delta^{18}O_c$  one of the most highly sought-83 after proxies for climate variability, and high-resolution  $\delta^{18}O_c$  records are abundant in the paleoclimate 84 literature (e.g. Lachniet, 2009; Lough, 2010; Schöne and Gillikin, 2013 and references therein).

The close relationship between  $\delta^{18}O_c$  records and the seasonal cycle can also be exploited to estimate variability in growth rate of the archive. This property of  $\delta^{18}O_c$  curves has been recognized by previous

87 authors, and attempts have been made to quantify intra-annual growth rates from the shape of  $\delta^{18}O_c$ 88 profiles (Wilkinson and Ivany, 2002; Goodwin et al., 2003; De Ridder et al., 2006; Goodwin et al., 2009; 89 De Brauwere et al., 2009; Müller et al., 2015; Judd et al., 2018). Over time, these so called "growth 90 models" have improved from fitting of sinusoids to  $\delta^{18}O_c$  data (Wilkinson and Ivany, 2002; De Ridder et 91 al., 2006) to including increasingly complicated (inter)annual growth rate curves to the model to fit the 92 shape of the  $\delta^{18}O_c$  data (Goodwin et al., 2003; 2009; Müller et al., 2015; Judd et al., 2018). These later 93 models manage to fit the shape of  $\delta^{18}O_c$  records well, but they often rely on detailed a priori knowledge 94 of growth rate or temperature patterns (e.g. Goodwin et al., 2003; 2009), which requires measurements 95 of one or more parameters in the environment. These measurements are not available in studies on 96 carbonate archives from the archeological or geological past. In contrast, the latest model by Judd et al. 97 (2018; GRATAISS, or "Growth Rate and Temporal Alignment of Isotopic Serial Samples") is based only 98 on the assumption that growth and temperature follow quasi-sinusoidal patterns and can therefore work 99 with  $\delta^{18}O_c$  data alone, making it more widely applicable. The simplified parameterization of temperature 100 and growth rate seasonality by Judd et al. (2018) using two (skewed) sinusoids is demonstrated to 101 approximate natural circumstances very well.

However, the GRATAISS model is still limited in its use because it requires whole, individual growth 102 103 years to be analyzed separately, resulting in a discontinuous time series when applied on records 104 containing multiple years of  $\delta^{18}O_c$  data and no solution for incomplete years. In addition, the model has 105 no option to supply information about the less dominant factor that drives  $\delta^{18}O_c$  values ( $\delta^{18}O_w$  of sea 106 water in the case of mollusks and corals). Furthermore, only estimates from aragonite records are 107 supported, while the  $\delta^{18}O_c$  value of the other dominant carbonate mineral, calcite, has a different 108 temperature relationship (Kim and O'Neil, 1997). Finally, neither of the models highlighted above except 109 for the MoGroFun model by Goodwin et al. (2009) include any assessment of the uncertainty of the 110 constructed age model.

Here, a new model for estimating ages of samples in seasonal  $\delta^{18}O_c$  curves is presented which combines the advantages of previous models while attempting to negate their disadvantages. ShellChron combines a skewed growth rate sinusoid with a sinusoidal temperature curve to model  $\delta^{18}O_c$ using the Shuffled Complex Evolution model developed at the University of Arizona (SCEUA; Duan et al., 1992; following Judd et al., 2018). It applies this optimization using a sliding window through the dataset (as in Wilkinson and Ivany, 2002) and includes the option to use a Monte Carlo simulation

approach to combine uncertainties on the input ( $\delta^{18}O_c$  and sample distance measurements) and the 117 118 model routine (as in Goodwin et al., 2009). As a result, ShellChron produces a continuous time series 119 with a confidence envelope, supports records from multiple carbonate minerals and allows the user to 120 provide information on the less dominant variable influencing  $\delta^{18}O_c$  (e.g.  $\delta^{18}O_w$ ) if available (see section 121 2). The modular design of ShellChron's functional script allows parts of the model to be adapted and 122 interchanged, supporting a wide range of climate and environmental archives. As a result, the initial design of ShellChron for reconstructing age models in temperature-dominated  $\delta^{18}O_c$  records from 123 124 marine bio-archives (e.g. corals and mollusks) presented here can be easily modified for application on 125 other types of records. The routine is worked out into a ready-to-use package for the open-source 126 computational programming language R and is directly available without restrictions, allowing all 127 interested parties to freely modify and build on the base structure to adapt it to their needs (R Core 128 Team, 2020; full package code and documentation in SI1, see also Code availability).

129

## 130 2. Scientific basis

131 The relationship between  $\delta^{18}O_c$  and the temperature of carbonate precipitation was first established by 132 Urey (1951) and later refined with additional measurements and theoretical models (e.g. Epstein et al., 133 1953; Tarutani et al., 1969; Grossman and Ku, 1986; Kim and O'Neil, 1997; Coplen, 2007; Watkins et 134 al., 2014; Daëron et al., 2019). Empirical transfer functions for aragonite and calcite by Grossmann and 135 Ku (1986; modified by Dettmann et al., 1999; equation 1) and Kim and O'Neil (1997; equation 2, with VSMOW to VPDB scale conversion following Brand et al., 2014; equation 3) have so far found most 136 137 frequent use in modern paleoclimate studies and are therefore applied as default relationships in the 138 ShellChron model (see *d180\_model* function).

139 
$$T[^{\circ}C] = 20.6 - 4.34 * (\delta^{18}O_c[^{\circ}WPDB] - \delta^{18}O_w[^{\circ}WSMOW] + 0.2) (1)$$

140 
$$1000 * \ln(\alpha) = 18.03 * \frac{10^3}{(T[^{\circ}C] + 273.15)} - 32.42$$

141  
with 
$$\alpha = \frac{\left(\frac{\delta^{18}O_c[\%_0VPDB]}{1000} + 1\right)}{\left(\frac{\delta^{18}O_w[\%_0VPDB]}{1000} + 1\right)}$$
 (2)

142 
$$\delta^{18}O_w[\% VPDB] = 0.97002 * \delta^{18}O_w[\% VSMOW] - 29.98 (3)$$

143 To apply these formulae, it is assumed that carbonate is precipitated in equilibrium with the precipitation 144 fluid. Which carbonates are precipitated in equilibrium has long been subject to debate, and the 145 development of new techniques for measuring the carbonate-water system (e.g. clumped and dual-146 clumped isotope analyses; Daëron et al., 2019; Bajnai et al., 2020) has led some authors to challenge 147 the assumption that equilibrium fractionation is the norm (see Supplementary Discussion). The 148 modular character of ShellChron allows the empirical transfer function to be adapted to the  $\delta^{18}O_c$  record 149 or to the user's preference for alternative transfer functions by a small modification of the d180 model 150 function. Future versions of the model will include more options for changing the transfer function (see 151 Model description).

152 As the name suggests, the ShellChron model was initially developed for application on  $\delta^{18}O_c$  records 153 from marine calcifiers (e.g. mollusk shells and corals). ShellChron approximates the evolution of the 154 calcification temperature at which the carbonate is precipitated by a sinusoidal function (see equation 4, Table 1 and SI4; temperature\_curve function; visualized in Fig. 4A and Fig S1), a good approximation 155 156 of seasonal temperature fluctuations in most marine and terrestrial environments (Wilkinson and Ivany, 2002; Ivany and Judd, 2022). Variability in  $\delta^{18}O_w$  is also comparatively limited in most marine 157 environments (except for regions with sea ice formation), making the model easy to use in these settings 158 159 (LeGrande and Schmidt, 2006; Rohling, 2013). Nevertheless, ShellChron includes the option to provide *a priori* knowledge about δ<sup>18</sup>O<sub>w</sub>, ranging from annual average values to detailed seasonal variability, 160 161 enabling the model to work in environments with more complex interaction between  $\delta^{18}O_w$  and 162 temperature on the  $\delta^{18}O_c$  record (see equations 1 and 2). This  $\delta^{18}O_w$  data can be provided either as a 163 vector (with the same length as the data) or a single value (assuming constant  $\delta^{18}$ O<sub>w</sub>) through the *d18Ow* 164 parameter in the *run\_model* function.

165 
$$T[^{\circ}C] = T_{av} + \frac{T_{amp}}{2} \sin\left(\frac{2\pi * \left(t[d] - T_{pha} + \frac{T_{per}}{4}\right)}{T_{per}}\right)$$
(4)

166 If marine  $\delta^{18}O_c$  records represent one extreme on the spectrum of temperature versus  $\delta^{18}O_w$  influence 167 on the  $\delta^{18}O_c$  record, cave environments, in which  $\delta^{18}O_c$  variability is predominantly driven by  $\delta^{18}O_w$ 168 variability in the precipitation fluid, represent the other extreme (Van Rampelbergh et al., 2014). In its 169 current form, ShellChron takes  $\delta^{18}O_w$  as a user-supplied parameter to model temperature and growth 170 rate variability, but future versions will allow temperature to be fixed, while  $\delta^{18}O_w$  becomes the modelled variable. ShellChron's modular character makes it possible to implement this update without changing the structure of the model. Application of ShellChron on  $\delta^{18}O_c$  records from cave deposits will have to be treated with caution, since drip water  $\delta^{18}O_w$  seasonality (if present) cannot always be approximated by a sinusoidal function and equilibrium fractionation in cave deposits is less common than in bioarchives (Baldini et al., 2008; Daëron et al., 2011; Van Rampelbergh et al., 2014).

176 Besides temperature (or  $\delta^{18}O_w$ ) seasonality, ShellChron models the growth rate of the archive to 177 approximate the  $\delta^{18}O_c$  record (see equation 5, Table 1 and SI4; growth rate curve function; visualized 178 in Fig. 4B and Fig S2). Since the growth rate in many carbonate archives varies seasonally, a quasi-179 sinusoidal model for growth rate seems plausible (e.g. Le Tissier et al., 1994; Baldini et al., 2008; Judd 180 et al., 2018). However, as discussed in Judd et al. (2018), the occurrence of growth cessations (growth rate = 0) and skewness in seasonal growth patterns calls for a more complex growth rate model that 181 182 can take these properties into account. Therefore, ShellChron uses a slightly modified version of the 183 skewed sinusoidal growth function described by Judd et al. (2018; equation 5). Note that the added 184 complexity of this function does not preclude the modelling of growth rate functions described by a 185 simple sinusoid (no skewness;  $G_{skw} = 50$ ) or even constant growth through the year ( $G_{amp} = 0$ ; see **Table** 186 1).

187 
$$G[mm/yr] = G_{av} + \frac{G_{amp}}{2} \sin\left(\frac{2\pi * \left(t[d] - G_{pha} + G_{per} * S\right)}{P}\right)$$

188 
$$with S = \begin{cases} \frac{100 - G_{skw}}{50}, & \text{if } t[d] - G_{pha} < G_{per} \frac{100 - G_{skw}}{100}\\ \frac{G_{skw}}{50}, & \text{if } t[d] - G_{pha} \ge G_{per} \frac{100 - G_{skw}}{100} \end{cases}$$
(5)

Contrary to previous  $\delta^{18}O_c$  growth models, ShellChron allows uncertainties on the input variables 189 190 (sampling distance and  $\delta^{18}O_c$  measurements) as well as uncertainties of the full modelling approach to 191 be propagated, providing confidence envelopes around the chronology. Uncertainty propagation is 192 optional and can be skipped without compromising model accuracy. Standard deviations of uncertainties 193 on input variables (sampling distance and  $\delta^{18}O_c$ ) can be provided by the user, while model uncertainties 194 are calculated from the variability in model results of the same datapoint obtained from overlapping 195 simulation windows (see growth model function). Measurement errors are combined by projecting 196 Monte Carlo simulated values for sampling distance and  $\delta^{18}O_c$  measurements on the modelled  $\delta^{18}O_c$ curve through an orthogonal projection (equation 6; mc\_err\_orth function; visualized in Fig S3). The 197

198 measurement uncertainty projected on the distance domain is then combined with the model uncertainty 199 to obtain pooled uncertainties in the distance domain, which are propagated through the modelled  $\delta^{18}O_c$ 200 record to obtain uncertainties on the model result in the age domain. As a result of the sliding window 201 approach in ShellChron, model results for datapoints situated at the edges of windows are more 202 sensitive to small changes in the modelled parameters and therefore possess a larger model 203 uncertainty. To prevent these least certain model estimates from affecting the stability of the model, 204 model results are given more weight the closer they are situated towards the center of the model window 205 (see equation 7 in export results function; see also Fig. S4). This weighting is also incorporated in 206 uncertainty propagation through a weighted standard deviation (see equation 8 from the sd\_wt 207 function). Note that, despite the weighting solution, the size of uncertainties on the first and last positions 208 in the  $\delta^{18}O_c$  record remains uncertain since they are based on a smaller number of overlapping windows 209 (see e.g. Figure 3).

210 
$$\sigma_{meas} = \sqrt{\left(\frac{D_{sim} - \overline{D_{sim}}}{\sigma_D}\right)^2 + \left(\frac{\delta^{18}O_{sim} - \overline{\delta^{18}O_{sim}}}{\sigma_{\delta^{18}O}}\right)^2} (6)$$

211 
$$w[i] = 1 - \left| \frac{2i}{L_{window}} - 1 \right| (7)$$

212 
$$\sigma_{weighted,i} = \sqrt{\frac{w_i * (x_i - \overline{w})^2}{\sum w[i] * \frac{N-1}{N}}} (\mathbf{8})$$

213

#### 214 **3. Model description**

ShellChron is organized as a series of functions that describe the step-by-step modelling process. A schematic overview of the model is given in **Fig. 1**. A short **Test Case** is used to illustrate the modelling steps in ShellChron. **Fig. 2** shows how the virtual **Test Case** was created from randomly generated seasonal growth rate,  $\delta^{18}O_w$  and temperature curves using the *seasonalclumped* R package (de Winter et al., 2021a; see **Fig. 2**, **Supplementary Methods** and **SI2**) A wrapper function (*wrap\_function*) is included, which carries out all steps of the model procedure in succession to promote ease of use.

Data is imported through the *data\_import* function, which takes a comma-separated text file (CSV) with the input data. Data files need to contain columns containing sampling distance (*D*, in  $\mu$ m) and  $\delta^{18}O_c$  223 data (in ‰VPDB), a column marking years in the record (yearmarkers) and two optional columns containing uncertainties on sampling distance ( $\sigma(D)$ , one standard deviation, in µm) and  $\delta^{18}O_c$  ( $\sigma(\delta^{18}O_c)$ , 224 225 one standard deviation, in ‰) respectively (see example in SI2 and Figure 3). The function uses the 226 year markers (third column) as guidelines for defining the minimum length of the model windows to 227 ensure that all windows contain at least one year of growth. By default, consecutive windows are shifted 228 by one datapoint, yielding a total number of windows equal to the sample size minus the length of the 229 last window. While year markers are required for ShellChron to run (otherwise no windows can be 230 defined), the result of the model does not otherwise depend on user-provided year markers, instead 231 basing the age result purely on simulations of the  $\delta^{18}O_c$  data.

232 The core of the model consists of simulations of overlapping subsamples (windows) of the sampling 233 distance and  $\delta^{18}O_c$  data described by the *run\_model* function (see **Fig. 1 and 3**). Data and window sizes 234 are passed from data\_import onto run\_model along with user-provided parameters (e.g.  $\delta^{18}O_w$ 235 information; see Fig. 1). run\_model loops through the data windows and calls the growth\_model 236 function, which fits a modelled  $\delta^{18}O_c$  vs. distance curve through the data using the SCEUA optimization 237 algorithm (see Duan et al., 1992; see example in **Fig 4**). The simulated  $\delta^{18}O_c$  curve is produced through a combination of a temperature sinusoid (*temperature\_curve* function; see equation 4, Fig. 4A and Fig. 238 239 S1) and a skewed growth rate sinusoid (growth\_rate\_curve; see equation 5, Fig. 4B and Fig. S2), with 240 temperature data converted to  $\delta^{18}O_c$  data through the *d18O\_model* function (equation 1 and 2; Fig. 241 4A).

242 By default, starting values for the parameters describing temperature and growth rate curves are 243 obtained by estimating the annual period (P) through a spectral density estimation and applying a 244 linearized sinusoidal regression through the  $\delta^{18}O_c$  data (sinreg function; see **equation 9**). It is possible 245 to skip this sinusoidal modelling step through the "sinfit" parameter in the run\_model function, in which 246 case the starting value for the annual period is set equal to the width of the model window. In addition, 247 growth\_model takes a series of parameters describing the method for SCEUA optimization (see Duan 248 et al., 1992; Judd et al., 2018) and the upper and lower bounds for parameters describing temperature 249 and growth rate curves (see SI4). Parameters for the SCEUA algorithm (*iniflg, ngs, maxn, kstop, pcento* 250 and peps) in the run\_model function may be modified by the user to reach more desirable optimization 251 outcomes. The effect of changing the SCEUA parameters on the model result for the Test case is 252 illustrated in section 4.1 (see Fig. 5). If uncertainties on sampling distance and  $\delta^{18}O_c$  data are provided,

*growth\_model* calls the *mc\_err\_orth* function to propagate these errors through the model result (see
equation 6 and Fig S3).

255 
$$\delta^{18}O_c[\%_0 VPDB] = I + \frac{A}{2}\sin\left(\frac{2\pi * \left(D - \varphi + \frac{P}{4}\right)}{P}\right)$$

256 
$$linearized \ as: \delta^{18}O_c[\%_0VPDB] = a + b\sin\left(\frac{2\pi}{P}*D\right) + c\cos\left(\frac{2\pi}{P}*D\right),$$

257 with 
$$I = a; A = \sqrt{b^2 + c^2}$$
 and  $\varphi = P * \left( 0.25 - \frac{\cos^{-1}\left(\frac{b}{A}\right)}{2\pi} \right)$  (9)

258 The *run\_model* function returns an array listing day of the year (1–365), temperature,  $\delta^{18}O_c$ , growth rate 259 and (optionally) their uncertainty standard deviations as propagated from uncertainties on the input data 260 ("result array"; see Fig. 3 and SI5). Note that the default length of the year (*Tper* and *Gper*) is set at 365 261 days, but that these parameters can be modified by the user in run\_model. In addition, a matrix 262 containing the optimized parameters of temperature and growth rate curves is provided, yielding 263 information about the evolution of mean values, phases, amplitudes, and skewness of seasonality in 264 temperature and growth rate along the record ("parameter matrix", see Fig. 1 and SI6). To construct an 265 age model for the entire record, the modelled timing of growth data, expressed as day relative to the 266 365-day year, is converted into a cumulative time series listing the number of days relative to the start 267 of the first year represented in the record (rather than relative to the start of the year in which the 268 datapoint is found). This requires year transitions (transitions from day 365 to day 1) to be recognized 269 in all the model results. The *cumulative day* function achieves this by aggregating information about 270 places where the beginning and end of the year is recorded in individual window simulations and 271 applying a peak identification algorithm (peakid function) to find places in the record where year 272 transitions occur (see Supplementary Methods). Results of the timing of growth for each sample (in 273 day of the year) are converted to a cumulative time scale using their positions relative to these 274 recognized year transitions (Supplementary Methods).

In a final step (described by the *export\_results* function), the results from overlapping individual modelling windows are combined to obtain mean values and 95% confidence envelopes of the result variables (age,  $\delta^{18}O_c$ ,  $\delta^{18}O_c$ -based temperatures and growth rates) for each sample in the input data. If uncertainties on the input variables were provided, these are combined with uncertainties on the

279 modelling result calculated from results of the same datapoint on overlapping data windows by pooling 280 the variance of the uncertainties (equation 10). Throughout this merging of data from overlapping 281 windows, results from datapoints on the edge of windows are given less weight than those from 282 datapoints near the center of a window (see equation 7 and Fig. S4). This weighting procedure corrects 283 for the fact that datapoints near the edge of a window are more susceptible to small changes in the 284 model parameters and are therefore less reliable than results in the center of the window. Finally, 285 summaries of the simulation results and the model parameters including their confidence intervals are 286 exported as comma-separated (CSV) files. In addition, export results supports optional exports of 287 figures displaying the model results and files containing raw data of all individual model windows 288 (equivalent to "sheets" of the result array, see Fig. 3 and SI5).

289 
$$VAR_{pooled} = \frac{\sum_{i} ((N_i - 1) * VAR_i * w_i)}{\sum_{i} (N_i) - n}$$
(10)

in which *w* = weight of the individual reconstructions, *N* is the sample size and *n* is the number of
 reconstructions (indexed by *i*) that is combined

292

## 293 4. Model performance

294 The performance of ShellChron was first tested on three virtual datasets:

295 1. The short **Test case** used to illustrate the model steps above (see **Fig. 2** and **4**; **SI7**)

- A δ<sup>18</sup>O<sub>c</sub> record constructed from a simulated temperature sinusoid with added stochastic noise
   (Case 1; SI8)
- A record based on a known high-resolution sea surface temperature and salinity record
   measured on the coast of Texel island in the tidal basin of the Wadden Sea (North Netherlands;
   Texel, see details in SI9 and de Winter et al., 2021a and Supplementary Methods).

Firstly, the effect of varying parameters in the SCEUA algorithm is tested on the **Test Case** (**Fig. 5**). Then, full model runs on **Case 1** and **Texel** are evaluated in terms of model performance (**Fig. 6**). In addition to the three test cases, three modern carbonate  $\delta^{18}O_c$  records were internally dated using ShellChron (see **Fig. 7**): a tropical stony coral (*Porites lutea*; hereafter: **coral**) from the Pandora Reef (Great barrier Reef, NE Australia; Gagan et al., 1993; see **SI10**), a Pacific oyster shell (*Crassostrea gigas*; hereafter: **oyster**) from List Basin in Denmark (Ullmann et al., 2010; see **SI10**) and a temperate 307 zone speleothem from Han-sur-Lesse cave (Belgium; hereafter: speleothem; see Vansteenberge et 308 al., 2019; see SI10). Finally, ShellChron's performance in terms of computation time and accuracy is compared to that of the most comprehensive pre-existing  $\delta^{18}O_c$ -based age model (GRATAISS model 309 310 by Judd et al., 2018) on simulated temperature sinusoids of various length and sampling resolutions to 311 which stochastic noise was added (sensu Case 1; de Winter et al., 2021a; see Fig. 8 and SI11). The 312 latter also demonstrates the scalability of ShellChron and its application on a variety of datasets. Timing 313 comparisons were carried out using a modern laptop (Dell XPS13-7390; Dell Inc., Round Rock, Tx, 314 USA) with an Intel Core i7 processor (8 MB cache, 4.1 GHz clock speed, 4 cores, Intel Corporation, 315 Santa Clara, CA, USA), 16 GB LPDDR3 RAM and an SSD drive running Windows 10. Note that 316 ShellChron was built and tested successfully on Mac OS, Fedora Linux and Ubuntu Linux as well.

#### 317 4.1 Testing model parameters

318 Testing different combinations of modelling parameters (Fig. 5) shows that, while the results of 319 ShellChron can improve beyond the default SCEUA parameters and sinusoidal regression, care must 320 be taken to evaluate the effect of changing modelling parameters on both the  $\delta^{18}O_c$  fit and the age-321 distance relationship. Comparative testing on the **Test case** (Fig. 5) shows that sinusoidal regression 322 has a negligible influence on the success of ShellChron fitting the  $\delta^{18}O_c$  curve (Fig. 5A-B; standard 323 deviation on  $\delta^{18}O_c$  is 0.49‰ with sinusoidal regression and 0.50‰ without). However, ShellChron with sinusoidal regression performs better in terms of age approximation, with a mean age offset of only 7 324 325  $\pm$  32 days with sinusoidal regression against 32  $\pm$  35 days without (Fig. 5C-D). Age-distance plots (Fig. 5C) show that the model without sinusoidal fit shows a phase offset with respect to the known 326 327 age-distance relationship, resulting in overestimation of the age for much of the record. Sinusoidal 328 regression probably results in better initial parameter estimation, which helps to avoid phase offsets 329 like the one shown in Fig. 5. For the remainder of the tests, sinusoidal regression was enabled.

The remainder of the tests show that the main bottleneck towards better  $\delta^{18}O_c$  fit optimization is the maximum number of function evaluations allowed within a single modelling cycle (maxn; see **Fig. 5**). Increasing the other SCEUA parameters, such as the number of complexes in the SCEUA routine (ngs), the number of shuffling loops that should show a significant change before convergence (kstop) and the thresholds for significant change in parameter value (peps) or result value (pcento) does not improve the result if the SCEUA algorithm is not allowed more processing time (maxn). In fact, **Fig. 5** 

336 shows that increasing these SCEUA parameters can actually result in a deterioration of the  $\delta^{18}O_c$  fit 337 and higher uncertainty on the age result (Fig. 5B and D). A fivefold increase in maxn (maxn = 50000) 338 almost halves the standard deviation on  $\delta^{18}O_c$  residuals (from 0.49‰ to 0.29‰; Fig. 5B) and 339 decreases the standard deviation on the age model offset from 32 to 26 days (Fig. 5D). A combination 340 of a tenfold increase in function evaluations with an equal multiplication of the number of complexes in 341 the SCEUA routine (ngs; see details in Duan et al., 1992) results in a further reduction of standard 342 deviations on  $\delta^{18}O_c$  (0.23‰) and age result (12 days). These tests show that returns in terms of model 343 precision quickly diminish with increasing processing time. Since the total modelling time linearly 344 scales with the number of function evaluations, this tradeoff towards lower standard deviation on the 345 modelling result is costly. These function evaluations are repeated in each modelling window, so the 346 cost in terms of extra processing time can increase quickly, especially for larger  $\delta^{18}O_c$  datasets. In 347 addition, in this situation the mean model offset (accuracy of the model; 7 days, 28 days and 14 days for maxn of 1.0 \* 10<sup>4</sup>, 5.0 \* 10<sup>4</sup> and 1.0 \* 10<sup>5</sup> respectively; Fig. 5D) does not significantly improve with 348 349 increasing number of function evaluations. Based on these results, the default maxn parameter in 350 ShellChron was set to 10<sup>4</sup> to compromise between keeping modelling times short while retaining high 351 model accuracy. However, specific datasets may benefit from an increase in modeling time, so case-352 by-case assessment of the optimal SCEUA parameters is recommended. A detailed evaluation of the 353 total modelling time in a typical  $\delta^{18}O_c$  dataset is discussed in **section 4.4**.

354

## 355 4.2 Artificial carbonate records

356 Results of running ShellChron on the Test case (Fig. 4), Case 1 and Texel datasets (Fig. 6) show that 357 modelled  $\delta^{18}O_c$  records in individual windows closely match the data. On the level of individual windows, 358 inter-annual growth rate variability is more difficult to model than the temperature sinusoid, especially 359 when sampling resolution is limited and at the beginning and end of the record (Fig. 4B). However, after 360 overlapping multiple windows, the accuracy of ShellChron improves significantly (Fig. 4E). Note that in Fig. 4A-C, the length of the first model window (difference in age between first and 11<sup>th</sup> datapoint) is 361 362 less than 365 days, because the 12<sup>th</sup> datapoint, which occurs exactly 1 year after the first point, is not 363 part of the window. A summary of ShellChron performance statistics is given in Table 2. In all virtual datasets,  $\delta^{18}O_c$  estimates are equally distributed above and below the  $\delta^{18}O_c$  data ( $\overline{\Delta^{18}O_c} = 0.0 \%$ ); 364

365 Spearman's p of 0.94, 0.98 and 0.92 for Test case, Case 1 and Texel datasets respectively). Age 366 offsets vary slightly over the seasons, but the difference between monthly time bins is not statistically 367 significant on a 95% confidence level (Fig. 6C and F; see also SI12). The fact that seasonal bias in age 368 offset is absent in the Texel dataset, which is skewed towards growth in the winter season and includes 369 relatively strong seasonal variability in  $\delta^{18}O_w$ , shows that ShellChron is not sensitive to such subtle 370 (though common) variability in growth rate or  $\delta^{18}O_w$ . In general, ShellChron's mean age assignment is 371 accurate on a monthly scale (age offsets of  $4 \pm 12$  d and  $+30 \pm 24$  d for Case 1 and Texel datasets 372 respectively). However, age results in individual months do sometimes show significant offsets from the 373 known value (e.g. Fig. 6C and 6F). This is most notable in Case 1, where accuracy of the age model 374 decreases near the extreme values of the  $\delta^{18}O_c$  curve (Fig. 6B-C). This occurs because in these places 375 the model is most sensitive to stochastic noise (simulated uncertainty) on the  $\delta^{18}O_c$  value. A small 376 random change in the  $\delta^{18}O_c$  value at the minima or maxima of the  $\delta^{18}O_c$  curve thus results in a large change in the model fit of the  $\delta^{18}O_c$  curve, resulting in a seasonally non-uniform decrease in the accuracy 377 378 of the model, as is evident from the skewed  $\Delta^{18}O_c$  distribution in **Figure 6B-C**. The sampling resolution 379 in the Texel data decreases near the end of the record (see SI9), but this does not result in reduced age 380 model accuracy. If anything, the age of **Texel** samples is better approximated near the end of the record, 381 and age offsets are larger in the central part of the record (~30-50 mm; Fig. 6E). The lower accuracy in 382 the third to fifth year of the **Texel** record is likely a result of the sub-annual variability in the record that 383 is superimposed on the seasonal cycle. The lower sampling resolution later in the record mutes this 384 variability and illustrates that higher sampling resolutions do not necessarily result in better age models. 385 The constant offset of the modelled age of the **Texel** sample from the known age is a result of the way 386 the model result was aligned to start at zero for comparison with the known age (Fig. 6F). This was 387 done by adding the offset from zero of the modelled age of the first datapoint in the record to the entire 388 record, thereby defining an arbitrary reference point which is sensitive to the uncertainty on the age of 389 the first sample (see also Oyster and Speleothem results in Fig. 7B-C). Note that this alignment issue 390 does not play a role in fossil data, where model results can be aligned to growth marks in the carbonate 391 (e.g. shell growth breaks or laminae) and that it does not affect the seasonal alignment of proxy binned 392 into monthly sample bins.

393

#### 394 **4.3 Natural carbonate records**

Results of modelling natural carbonate records (Fig. 7; Table 2; see also SI10) illustrate the 395 396 effectiveness of ShellChron on various types of records. Performance clearly depends on the resolution 397 of the record and the regularity of seasonal variability contained within. As in the virtual datasets, 398 modelled  $\delta^{18}O_c$  successfully mimic  $\delta^{18}O_c$  data in all records ( $\overline{\Delta^{18}O_c} = 0.0$ ; Spearman's  $\rho$  of 0.97, 0.91 399 and 0.92 for coral, oyster and speleothem respectively). No consistent seasonal bias is observed in 400  $\Delta^{18}O_c$  and model accuracy (p > 0.05; see **Table 2** and **SI12**), despite significant (seasonal and inter-401 annual) variability contained in the records (especially in oyster and speleothem records). When 402 comparing the accuracy of these records, it must be noted that the "known" age of the samples in these 403 natural carbonates is not known. Model results are instead compared with age models constructed using 404 conventional techniques such as matching  $\delta^{18}O_c$  profiles with local temperature and/or  $\delta^{18}O_w$  variability 405 (oyster and coral records) or even merely by linear interpolation between annual markers in the record 406 (speleothem record; see Supplementary Methods). Despite this caveat, testing results clearly show 407 that the least complicated record (coral; Fig. 7A), characterized by minimal variability in  $\delta^{18}O_w$  and 408 growth rate and a high sampling density, has the best overall model result ( $\Delta^{18}O_c = 0.0 \pm 0.14$  compared 409 to a ~1.7‰ seasonal range;  $\rho = 0.97$ ;  $\Delta t = 12 \pm 28$  d; see **Table 2**). The **oyster** record (**Fig. 7B**), which 410 has strong seasonal variability in growth rate and  $\delta^{18}O_{sw}$  also yields a reliable age model ( $\Delta^{18}O_{c} = 0.0 \pm$ 411 0.39 compared to a ~3‰ seasonal range;  $\rho = 0.91$ ;  $\Delta t = -15 \pm 43$  d; see **Table 2**). On closer inspection, 412 the age within the **oyster** record is clearly more difficult to model than within the **coral**, due in part to the 413 higher variability of  $\delta^{18}$ O<sub>c</sub> values superimposed on the seasonal cycle, the sharp growth cessations in 414 the winters (high  $\delta^{18}O_c$  values) and the variability in sampling resolution within the record. The latter 415 causes the first growth year of the oyster record to be less accurately modelled (Fig. 7B) while the 416 variability in  $\delta^{18}O_c$  causes the edges of some modelling windows to predict steep increases or decreases 417 in  $\delta^{18}O_c$  (vertical "offshoots" in modelled  $\delta^{18}O_c$ ; Fig. 7B). Note that the low weighting of the edges of 418 modelling windows combined with the high overall sampling resolution in the oyster record minimizes 419 the effect of these "offshoots" on the accuracy of the model. The speleothem record (Fig. 7C), plagued 420 by lower sampling resolution, large inter-annual  $\delta^{18}O_c$  variability, restricted  $\delta^{18}O_c$  seasonality and a lack 421 of clearly seasonal  $\delta^{18}O_c$  forcing, yields the least reliable model result ( $\Delta^{18}O_c = 0.0 \pm 0.08\%$  compared 422 to a ~0.5‰ seasonal range;  $\rho$  = 0.92;  $\Delta t$  = -114 ± 59 d; see **Table 2**). Note that the accuracy figure 423 provided for the speleothem record is based on comparison with an age model relying on linear 424 interpolation between annual growth lines. This assumption of the age-distance relationship is almost 425 certainly erroneous, since drip water supply to (and therefore growth in) speleothems has been shown 426 to vary seasonally (e.g. Baldini et al., 2008), including at the very site the **speleothem** data derives from 427 (Han-sur-Lesse cave, Belgium; Van Rampelbergh et al., 2014; Vansteenberge et al., 2019). However, 428 since no reliable information is available on sub-annual variability in growth rates in this record, 429 ShellChron results cannot be validated at the sub-annual scale in this case. The high age offset (-114 430 days) in the **speleothem** model result is a consequence of the assumption in ShellChron that the highest 431 temperature (lowest  $\delta^{18}O_c$  value) recorded in each growth year happens halfway through the year (day 432 183) and the alignment of the modelled age with the "known" age for this record (see discussion of **Texel** 433 results in 4.2). While the assumption about the phase of the temperature sinusoid is approximately valid 434 for temperature-controlled  $\delta^{18}O_c$  records (see **Fig. 6** and **7**), it is problematic for speleothems, in which 435  $\delta^{18}O_c$  is often dominated by the  $\delta^{18}O_w$  of drip water, which may not be lowest during the summer season 436 (see Van Rampelbergh et al., 2014). The timing of the  $\delta^{18}O_c$  minimum can be set in the *run\_model* 437 function using the t\_maxtemp parameter. Note that changing t\_maxtemp does not affect relative dating 438 within the  $\delta^{18}O_c$  record, but, if set correctly, results in a phase shift of the age model result into better 439 alignment with the seasonal cycle.

440

#### 441 4.4 Modeling time

442 The performance of both ShellChron and GRATAISS in terms of computation time linearly increases 443 with the length of the record (in years; see Fig. 8, Fig. S5 and SI11). Computation time of ShellChron 444 on the high-resolution test dataset (50 samples/yr) increases very steeply with the length of the record 445 in years (~20 minutes per additional year), while the low-resolution dataset (16 samples/yr) shows a 446 slower increase (~3 minutes per additional year; Fig. 5A). This contrasts with GRATAISS, which 447 requires only slightly more time on high-resolution data than on low-resolution datasets (~7 and ~10 448 minutes per additional year, respectively). The difference is explained by the sliding window approach 449 applied in ShellChron, which requires more SCEUA optimization runs per year in high-resolution 450 datasets than in low resolution datasets. When plotted against the number of calculation windows or 451 samples in the dataset, running ShellChron on low-resolution and high-resolution datasets require a 452 similar increase in computation time (~0.4 minutes, or 24 seconds, per additional sample/window; Fig.

453 **S5**) under default SCEUA conditions. ShellChron outcompetes GRATAISS in terms of computation time
454 in datasets with fewer than ~20 samples per year, even though more SCEUA optimizations are required.

455 A key computational improvement in ShellChron is the application of a sinusoidal regression before 456 each SCEUA optimization to estimate the initial values of the modelled parameters (sinreg function; see 457 equation 9 and Fig. 1 in Model description). Since carbonate archives are rarely sampled for stable 458 isotope measurements above 20 samples per year (e.g. Goodwin et al., 2003; Schöne et al., 2005; 459 Lough, 2010 and references therein), the disadvantage of a steep computational increase for very high-460 resolution archives is, in practice, a favorable tradeoff for the added control on model and measurement 461 uncertainty and smoother inter-year transitions ShellChron offers in comparison to previous models. 462 The similarity of ShellChron's accuracy in the low- and high-resolution datasets demonstrates its 463 robustness across datasets with various sampling resolutions (see also Table 2 and Fig. 7).

464 Longer computation times in GRATAISS result in slightly better accuracy on the modelled age compared 465 to ShellChron on the scale of individual datapoints in low-resolution datasets (see Fig. 8B). However, 466 this advantage is rapidly lost when records containing multiple years are considered (Fig. 8B). The 467 advantage of the ShellChron model is its application of overlapping model windows, which smooth out 468 the transitions between modelled years and eliminate accumulations of model inaccuracies when records grow longer. In addition, contrary to previous models, ShellChron does not rely on user-defined 469 470 year boundaries, which may introduce mismatches between subsequent years to be propagated through the age model, even in ideal datasets such as Case 1 (Fig. 8B; see also Supplementary 471 472 Methods). By comparison, the overall accuracy of ShellChron is much more stable within and between 473 datasets of different length, while rarely introducing offsets of more than a month. It must be noted here 474 that the cumulative, multi-year age uncertainty in the GRATAISS model (Fig. 8B) was calculated by 475 combining the results of consecutive growth years in the record, which the GRATAISS model models separately, while avoiding age inversions and retaining the seasonal phase of the model results. This 476 477 procedure causes gaps in time to be introduced in the cumulative age modelled by GRATAISS 478 whenever the results of two consecutive, individually modelled growth years do not align, explaining the 479 sharp increases in age uncertainty of the GRATAISS model result (Fig. 8B). These cumulative 480 uncertainties are therefore not theoretically part of the model result (see year-by-year uncertainty in Fig. 481 8B) but are a necessary consequence of the way GRATAISS approximates growth years separately. If

482 only within-year inaccuracies are compared, GRATAISS results are roughly equally accurate as
483 ShellChron results (see dotted lines in Fig. 8B).

484 Where ShellChron considers the uncertainty on input parameters, this uncertainty is not considered in 485 most previous models (the MoGroFun model of Goodwin et al., 2003 being the exception). The added 486 uncertainty caused by input error is higher in less regular (sinusoidal)  $\delta^{18}O_c$  records and in records with 487 lower sampling resolution, causing the uncertainties on GRATAISS reported here for the ideal, high-488 resolution Case 1 dataset to be over-optimistic. If ShellChron's model accuracy is insufficient, its 489 modular character allows the user to run the SCEUA algorithm to within more precise optimization 490 criteria by changing the model parameters (see section 4.1). However, this adaptation comes at a cost 491 of longer computation times.

492 The estimated uncertainty envelope (95% confidence interval) on the modelled age calculated by the 493 error propagation algorithm in ShellChron  $(4.7 \pm 6.5 \text{ d})$  on average slightly underestimates the actual 494 offset between modelled age and known age in the Case 1 record (9.3 ± 13.1 d; Fig. 8C). The 495 foremost difference between modelled and known uncertainty on the result is that the modelled 496 uncertainty yields a more smoothed record of uncertainty compared to the record of actual offset of the 497 model (Fig. 8C). ShellChron's uncertainty calculations are partly based on comparing overlapping 498 model windows, thereby smoothing out short term variations in model offset. The uncertainty of the 499 model result (both known and modelled) shows regular variability with a period of half a year (Fig. 8C). 500 Comparing this variability with the phase of the record (of which 6 years are plotted in Fig. 6A) reveals 501 that the uncertainty of the model is negatively correlated to the slope of the  $\delta^{18}O_c$  record. This is 502 expected, because in parts of the record with extreme values in the  $\delta^{18}O_c$  curve, the local age model 503 result is more sensitive to small changes in the sampling distance, caused either by uncertainty in the 504 model fit or propagated uncertainty on the sampling distance defined by the user (see discussion in 505 section 4.2). The slight seasonal variability in model accuracy in Case 1 is also shown in Fig. 6C and 506 comprises a difference in uncertainty of up to 10 days depending on the time of year in which the 507 datapoint is found.

508

#### 509 5. Applications and discussion

510 Its new features compared to previous age model routines make ShellChron a versatile package for 511 creating age models in a range of high-resolution paleoclimate records. The discussion above 512 demonstrates that ShellChron can reconstruct the age of individual  $\delta^{18}O_c$  samples with monthly 513 precision. This level of precision is sufficient for accurate reconstructions of seasonality, defined as the 514 difference between warmest and coldest month (following USGS definitions; O'Donnell and Ignizio, 515 2012). While an improvement on this uncertainty could be of potential interest for ultra-high-resolution 516 paleoclimate studies (e.g. sub-daily variability, see Sano et al., 2012; Yan et al., 2020; de Winter et al., 517 2020a), the increase in computation time and the sampling resolution such detailed age models demand 518 render age modelling from  $\delta^{18}O_c$  records inefficient for this purpose (see sections 4.1 and 4.4). The 519 sampling resolution for high-resolution carbonate  $\delta^{18}O_c$  records in the literature does not typically exceed 520 100 µm due to limitations in sampling acquisition (e.g. micromilling), which even in fast-growing archives 521 limits the resolution of these records to several days at best (see Gagan et al., 1994; Van Rampelbergh 522 et al., 2014; de Winter et al., 2020c). While in some archives, high-resolution (< 100 µm) trace element 523 records could be used to capture variability beyond this limit, the monthly age resolution of ShellChron 524 is sufficient for most typical high-resolution paleoclimate studies.

525 The ability to produce uninterrupted age models from multi-year records while considering both variability in  $\delta^{18}O_w$  and uncertainties on input parameters represent major advantages of ShellChron 526 527 over previous age modelling solutions. As a result, ShellChron can be applied on a wide range of 528 carbonate archives (see Fig. 7 and Table 2). However, testing ShellChron on different records highlights 529 the limitations of the model inherited through its underlying assumptions. The most accurate model 530 results are obtained on records with minimal growth rate and  $\delta^{18}O_w$  variability and a nearly sinusoidal 531  $\delta^{18}O_c$  record, such as tropical coral records (Fig. 7A; Gagan et al., 1994). In records where large seasonal variability in growth rate and  $\delta^{18}O_w$  does occur, such as in intertidal oyster shells, ShellChron's 532 533 accuracy slightly decreases, especially near growth hiatuses in the record (see Fig. 7B; Ullmann et al., 534 2010). A worst-case scenario is represented by the speleothem record, which not only suffers from 535 much slower and more unpredictable growth rates and contains a comparatively small annual range in 536  $\delta^{18}O_c$ , but it responds to  $\delta^{18}O_w$  variability in drip water in the cave rather than temperature seasonality, one of the assumptions underlying the current version of ShellChron (Fig. 7C; Vansteenberghe et al., 537 538 2019). Despite these problems, ShellChron yields an age model that is remarkably accurate on an 539 annual timescale, which is as good as, or better than, the best age model that can be obtained by

540 applying layer counting on the most clearly laminated parts of the speleothem (e.g. Verheyden et al., 541 2006). It must be noted that, while the close fit between modelled  $\delta^{18}O_c$  and **speleothem**  $\delta^{18}O_c$  data (p = 0.92;  $\sigma$  = 0.08‰) is encouraging, a major reason for the model's success is the fact that the Proserpine 542 543 speleothem used in this example is known to receive significantly seasonal (though not sinusoidal) drip 544 water volumes and concentrations (Van Rampelbergh et al., 2014). Variability in drip water properties 545 and cave temperatures are known to differ strongly between cave systems (Fairchild et al., 2006; 546 Lachniet, 2009). For ShellChron (or any other  $\delta^{18}O_c$ -based age model) to work reliably in speleothem 547 records, consistent seasonal variability in either temperature or  $\delta^{18}O_w$  should be demonstrated to 548 significantly influence the  $\delta^{18}O_c$  variability in the record. In practice, these constraints make ShellChron 549 applicable in speleothems for which the cave environment varies in response to the seasonal cycle, 550 such as localities overlain by thin epikarst, well-ventilated caves or speleothems situated close to the 551 cave entrance (Verheyden et al., 2006; Feng et al., 2013; Baker et al., 2021).

552 ShellChron's ability to model multi-year records with smooth transitions between the years does not 553 compromise the accuracy of its age determination on the seasonal scale (e.g. Fig. 6 and 7). Many 554 paleoclimatology studies investigating the seasonal cycle rely on stacking of seasonal variability relative 555 to the annual cycle, thereby combining seasonal information from multiple years to obtain a precise 556 reconstruction of seasonal variability in the past (e.g. de Winter et al., 2018; Judd et al., 2019; Tierney 557 et al., 2020). While this can be achieved using age models of individual years (e.g. Judd et al., 2018), 558 seasonally resolved archives dated using ShellChron can also be stacked along a common seasonal 559 axis while retaining information about the multi-annual record allowing, for example, comparison 560 between consecutive years dated using the same age model including uncertainty on the age 561 determination.

The difficulty of applying age model routines on speleothem records highlights one of the main advantages of ShellChron over pre-existing age model routines, namely its modular character. Since  $\delta^{18}O_c$  records from some carbonate archives, such as speleothems, cannot be described by the standard combination of temperature and growth rate sinusoids on which ShellChron is based (in its current version), the possibility to adapt the "building block" functions used to approximate these  $\delta^{18}O_c$ records (*d180\_model*, *temperature\_curve* and *growth\_rate\_curve*; see **Fig. 1**) while leaving the core structure of ShellChron intact greatly augments the versatility of the model. The freedom to adapt the

569 building blocks used to approximate the  $\delta^{18}O_c$  record theoretically enables ShellChron to model sub-570 annual age-distance relationships in any record if the seasonal variability in the variables used to model 571 the input data are predictable and can be represented by a function. For example, since speleothem  $\delta^{18}O_c$  records often depend on variability in the  $\delta^{18}O_w$  value of the drip water, a function describing this 572 573 variability through the year can replace the temperature curve function to create more accurate sub-574 annual age models for speleothems (e.g. Mattey et al., 2008; Lachniet, 2009; Van Rampelbergh et al., 575 2014). Similarly, the growth rate curve function can be modified in case the default skewed sinusoid 576 does not accurately describe the extension rate of the record under study, and the d180\_model function 577 can be adapted to feature the most fitting  $\delta^{18}O_c$ -temperature or  $\delta^{18}O_c$ - $\delta^{18}O_w$  relationship. Note that the 578 flexibility of this approach is limited by the expression of the annual cycle in the  $\delta^{18}O_c$  record. The  $\delta^{18}O_c$ -579 based dating approach in ShellChron will therefore have more trouble dating records in which the annual δ<sup>18</sup>O<sub>c</sub> variability is severely dampened, such as speleothems in deeper cave systems (e.g. 580 581 Vansteenberge et al., 2016), or in which annual  $\delta^{18}O_c$  variability is not sinusoidal, such as tropical records with bimodal temperature or precipitation seasonality (Knoben et al., 2018). 582

583 Flexibility in the definition of "building block" functions used to approximate the input data paves the way 584 for future application beyond carbonate  $\delta^{18}O_c$  records. The seasonal variability in  $\delta^{18}O$  in some ice cores 585 can be approximated by a stable and unbiased temperature relationship (van Ommen and Morgan, 586 1997). ShellChron can therefore be modified to date sub-annual samples in these ice core records and 587 reconstruct seasonal variability in the high latitudes through the Quaternary. Similarly, inter-annual  $\delta^{18}$ O 588 variability in tree ring records are demonstrated to record variability in precipitation through the year. and this variability can be modelled to improve sub-annual age models in these records (Xu et al., 2016). 589 590 More generally, the field of dendrochemistry has recently developed additional chemical proxies for 591 seasonality (e.g. trace element concentrations), which can be measured on smaller sample volumes 592 (and thus greater resolution) to obtain ultra-high-resolution records on which (sub-annual) dating can be 593 based (e.g. Poussart et al., 2006; Superville et al., 2017). A similar development has taken place in the 594 study of carbonate bio-archives such as corals and mollusks, of which some show strong, predictable 595 seasonal variability in trace elements (e.g. Mg/Ca and Sr/Ca ratios) which can be used to accurately 596 date these records (de Villiers et al., 1995; Sosdian et al., 2006; Durham et al., 2017; de Winter et al., 597 2021b). Minor changes in the "building block" functions using empirical transfer functions for these trace 598 element records will enable ShellChron to capitalize on these relationships and reconstruct sub-annual 599 growth rates with improved precision due to the higher precision with which these proxies can be 600 measured compared to  $\delta^{18}O_c$  records. Finally, the application of ShellChron for age model construction 601 is not necessarily limited to the seasonal cycle, as other major cycles in climate (e.g. tidal, diurnal or 602 Milankovitch cycles) leave similar marks on climate records and can thus be used as basis for age 603 modelling (e.g. Sano et al., 2012; Huyghe et al., 2019; de Winter et al., 2020a; Sinnesael et al., 2020). 604 It must be noted that, since ShellChron was developed for modeling based on annual periodicity, 605 applying it on other timescales would require more thorough adaptation of the model code than merely 606 adapting the "building block" functions to support additional proxy systems.

607 While age reconstructions are the main aim of ShellChron, the model also yields information about the 608 temperature and growth rate parameters used in each simulation window to approximate the local  $\delta^{18}O_c$ 609 curve (see *parameter matrix* in Fig. 1 and SI6). These parameters hold key information about the 610 response of the archive to seasonal changes in the environment, such as the season of growth, 611 relationships between growth rate and temperature and the temperature range that is recorded. 612 Combining these parameters with records of influential environmental variables such as seawater 613 chlorophyl concentration or local precipitation patterns yields information about the response of the 614 climate archive to environmental variables, in addition to the climate or environmental change it records. 615 Study examples include the relationship between growth rate of marine calcifies and phytoplankton 616 abundance or the correlation between precipitation patterns and chemical variability in speleothems. 617 While such discussion is beyond the scope of this work, examples of parameter distributions are 618 provided in **SI5**, and the application of modelled growth rate parameters in bivalve sclerochronology is 619 discussed in more detail in Judd et al. (2018). Note that the sliding window approach of ShellChron 620 produces records of changing temperature and growth rate parameters at the scale of individual 621 samples (albeit smoothed by the sliding window approach) rather than annually, as in Judd et al. (2018).

622

#### 623 6. Conclusions

ShellChron offers a novel, open-source solution to the problem of dating carbonate archives for highresolution paleoclimate reconstruction on a sub-annual scale. Based on critical evaluation of previous age models, building on their strengths while attempting to minimize their weaknesses, ShellChron provides continuous age models based on  $\delta^{18}O_c$ -profiles in these archives with monthly accuracy,

628 considering the uncertainties associated with both the model itself and the input data. The monthly 629 accuracy of the model, as tested on a range of virtual and natural datasets, enables its application for 630 age determination in studies of seasonal climate and environmental variability. Higher accuracies can 631 be reached at the cost of longer computation times by adapting the model parameters, but age 632 determinations far beyond the monthly scale are unlikely to be feasible considering the limitations on 633 sampling resolution and measurement uncertainties on  $\delta^{18}O_c$  records. ShellChron's computation times 634 on datasets with sampling resolutions typical for the paleoclimatology field (up to 20 samples/yr) remain practical and comparable to previous model solutions, despite adding several features that improve the 635 636 versatility and interpretation of model results. Its modular design allows ShellChron to be adapted to 637 different situations with comparative ease. It thereby functions as a platform for age-distance modelling 638 on a wide range of climate and environmental archives and is not limited in its application to the  $\delta^{18}O_c$ 639 proxy, the carbonate substrate or even to the annual cycle, as long as the relationship between the 640 proxy and the extension rate of the archive on a given time scale can be parameterized. Future 641 improvements will capitalize on this variability, expanding ShellChron beyond its current dependency on 642 the  $\delta^{18}O_c$ -temperature relationship in carbonates. Members of the high-resolution paleoclimate 643 community are invited to contribute to this effort by adapting the model for their purpose.

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#### 645 Code availability

646 ShellChron is worked out into a fully functioning package for the open-source computational language 647 R (version 3.5.0 or later; R Core Team, 2020). The most recent full version (v0.4.0) of the ShellChron 648 passed the code review of the Comprehensive R Archive Network (CRAN) and is freely available for 649 download as an R package on the CRAN server (see https://CRAN.R-project.org/package=ShellChron). 650 The CRAN server entry also includes detailed line-by-line documentation of the code and working 651 examples for every function. In addition, the latest development version of ShellChron is available on 652 GitHub (https://github.com/nielsjdewinter/ShellChron). Those interested in adapting ShellChron for their 653 research purposes are invited to do so there. Code and documentation, together with all supplementary 654 files belonging to this study, are also available on the open-source online repository Zenodo 655 (http://doi.org/10.5281/zenodo.4288344).

#### 657 Author contribution

658 NJW designed the study, wrote the model script, carried out the test calculations and wrote the 659 manuscript.

660

#### 661 Competing interests

662 There were no competing interests to declare.

663

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- 687 <u>https://rpubs.com/mengxu/peak\_detection</u>).

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# Table 1: Overview of model parameters

Name	Description	Unit	Range	
Tav	Average temperature	С°	Variable, generally between 0°C-30°C	
Tamp	Temperature range (2*amplitude)	°C	Variable, generally <20°C	
<b>T</b> pha	Phase of temperature sinusoid	re sinusoid d 0–365 days		
Tper	Period of temperature sinusoid	inusoid d 365 days by default		
Gav	Average growth rate	µm/d	Variable, generally between 0–100 µm/day	
Gamp	Range of growth rates	µm/d	Variable, generally <200 µm/day	
Gpha	Phase of growth rate sinusoid	d	0–365 days	
Gper	Period of growth rate sinusoid	d	365 days by default	
Gskw	Skewness factor of GR sinusoid	-	0–100, with 50 meaning no skew	
D	Distance along the record	μm	Depends on archive	
t	Age	d	Depends on archive	
L <sub>win</sub>	Length of sampling window	#	Depends on sampling resolution	
W	Weighting factor on sample	-	0–1	
i	Position relative to model window	-	$0-L_i$	
1	Intercept of sinusoid ( $T_{av}$ or $G_{av}$ )	°C or		
•		µm/d		
	Amplitude of sinusoid	°C or		
A	$\left(\frac{T_{amp}}{2} \text{ or } \frac{G_{amp}}{2}\right)$	µm/d		
Р	Period of sinusoid $(T_{per} \text{ or } G_{per})$	d		
φ	Phase of sinusoid $(T_{pha} \text{ or } G_{pha})$	d		
-				

## Table 2: Overview of datasets and model results

Dataset	Resolution	Length	δ <sup>18</sup> O <sub>c</sub> seasonal range	Complications
Test case	7-12 yr <sup>-1</sup>	5 yr	~5‰	Variable δ <sup>18</sup> O <sub>w</sub> , Variable GR
Case 1	50 yr⁻¹	6 yr	~4.3‰	None
Texel	26–45 yr <sup>-1</sup>	10 yr	~4‰	Variable δ <sup>18</sup> O <sub>w</sub> , Variable GR
Coral	30–49 yr <sup>-1</sup>	6 yr	~1.7‰	Variable GR
Oyster	23–45 yr <sup>-1</sup>	3.5 yr	~3‰	Variable δ <sup>18</sup> O <sub>w</sub> , Variable GR
Speleothem	4–13 yr⁻¹	14 yr	~0.5‰	Variable $\delta^{18}O_w$ , Variable GR, Non-sinusoidal $\delta^{18}O_c$ -forcing
Dataset	δ <sup>18</sup> O <sub>c</sub> offset (±1σ)	Age offset (±1σ)	Spearman's ρ	Observations
Test case	0.0 ± 0.49 ‰	7 ± 32 d	0.94	Slightly out of phase
Case 1	0.0 ± 0.27‰	4 ± 12 d	0.98	-
Texel	0.0 ± 0.41‰	30 ± 24 d	0.92	-
Coral	0.0 ± 0.14‰	12 ± 28 d	0.97	-
Oyster	0.0 ± 0.39‰	-15 ± 43 d	0.91	Reduced accuracy near growth stops
Speleothem	0.0 ± 0.08‰	-114 ± 59 d	0.92	Susceptible to phase offsets; Only reliable on inter-annual scale

**Figure 1**: Schematic overview of ShellChron. Names in *italics* refer to functions (encapsulated in rounded rectangular boxes) and operations within functions. Rectangular boxes represent data. Arrows represent the flow of information between model components. Note that some operations are encapsulated in functions (e.g. *Error propagation* in *export results*) and that some functions are only used within other functions (e.g. *peakid* in *cumulative\_day*). All data structures outside *wrap\_function* represent input and output of the model. Detailed documentation of all functions and operations in ShellChron is provided in **SI1** (see also **Code availability**).

948

949 **Figure 2**: A) Plots of the growth rate (light green),  $\delta^{18}O_w$  (blue) and temperature (red) records (in time 950 domain) from which the Test case was produced. Black triangles on the bottom of the temperature plot indicate the ages of the samples taken from the record. **B**) The  $\delta^{18}O_c$  record for the **Test Case** generated 951 952 after equidistant sampling using the seasonalclumped package (de Winter et al., 2021a) with a sampling 953 interval of 0.5 mm. Error bars on sampling distance (0.1 mm) and  $\delta^{18}O_c$  (0.1‰) fall within the symbols. 954 Vertical grey dashed lines indicate user-provided year markers and the blue bar on top of this plot shows 955 an example of the width of a modelling window. See Supplementary Methods for details on producing 956 the **Test case**  $\delta^{18}O_c$  record and **SI3** for the R script used to generate the data.

957

958 Figure 3: Schematic overview of the structure of the result array in which ShellChron stores the raw 959 results of each model window. Data is stored in three dimensions: The sample number (rows in the 960 figure), the window number (columns in the figure) and the number of modelled parameters 961 (represented by the stacked table "sheets" in the figure). Note that the first 5 columns of each "sheet" 962 represent the user-provided input data (see example in SI2), and that the model result data starts from 963 column 6. The window length is determined by the user-provided indication of year transitions (column 964 3). Rows of dots in the figure are placeholders for (input or result) values. Shading of these dots in the 965 window columns indicate differential weighting of modelled values in function of their location relative 966 to the sliding window. The horizontal box shows how these weighting factors within each sample 967 window (in vertical direction) result in weighting of different estimates of modelled parameters for the 968 same data point (in horizontal direction). Shading of input data and window number towards the bottom and right edge of the figure, respectively, indicates that the number of input values (and thus 969

970 simulation windows) is only limited to the length of the input table and may therefore continue

971 indefinitely (at the expense of longer computation times, see **Fig. 8** in **Model performance**).

972

973 **Figure 4**: Showing the steps taken to simulate  $\delta^{18}O_c$  data in the *run\_model* function on the **Test case**. 974 **A**) Temperature sinusoid used to approximate  $\delta^{18}O_c$  data in the first modelling window (see **D**), 975 produced using a combination of temperature curve and d180 model functions. Symbols indicate the 976 positions of  $\delta^{18}O_c$  samples on the temperature curve, with estimated  $\delta^{18}O_c$  values shown on the secondary axis (right). **B**) Skewed growth rate sinusoid fit to the  $\delta^{18}O_c$  data using the 977 978 growth rate curve function. Note the shift towards steeper growth rate increase around the 300<sup>th</sup> 979 model day (autumn season in this example). See Fig. S2 for a detailed description of the growth rate 980 sinusoid. **C**) The modelled age-distance relationship for this window after fitting  $\delta^{18}O_c$  data, resulting 981 from aligning the estimated age of samples (x-axes on A) with the distance in sampling direction (x-982 axis in **D**) using the cumulative growth rate function (**B**). **D**)  $\delta^{18}O_c$  profile of the **Test case** (green) with 983 the  $\delta^{18}O_c$  curve of the first modelling window (red), which results from the combination of temperature 984 (A) and growth rate (B) sinusoids, plotted on top (growth\_model function). E) Result after simulating 985 the full  $\delta^{18}O_c$  profile of the **Test case** (green) using *run\_model*, with the  $\delta^{18}O_c$  curves of individual 986 modelling windows shown in red.

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988 Figure 5: Result of testing ShellChron with various combinations of SCEUA parameters and sinusoidal regression on the Test case dataset (see Fig. 2). The leftmost plots illustrate performance 989 990 of ShellChron under default SCEUA parameters. Plots to the right show various combinations of parameters that deviate from the default (see labels on top and bottom of plot) A) Fits of the model 991 992  $\delta^{18}O_c$  curves (red) with the data (black). **B)** Violin plots showing the distribution of modelled  $\delta^{18}O_c$ 993 offset from the data. C) Age-distance plots showing modelled (red) and known (black) age-depth 994 relationships for each scenario. D) Violin plots showing the distribution of age offsets from the known 995 age-depth relationship. SD = standard deviation, N = number of datapoints, sinres = sinusoidal 996 regression, maxn, ngs, kstop, peps and pcento are SCEUA parameters (see Duan et al., 1992 and 997 explanation in section 4.1). Data on test results is provided in SI11.

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999 Figure 6: Result of applying ShellChron on two virtual datasets: Case 1 (top, see SI8) and Texel, 1000 (bottom, see SI9). Leftmost panels (A and D) show the model fit of individual sample windows (red) on 1001 the data (black, including horizontal and vertical error bars), with in the top left Spearman's correlation 1002 coefficients ( $\rho$ ) and standard deviations on the  $\delta^{18}O_c$  estimate ( $\sigma_{est}$ ). Middle panels (**B** and **E**) show the 1003 resulting age model (red, including shaded 95% confidence level) compared with the known age-1004 distance relationship of both records. Histograms in the top left of age-distance plots show the offset 1005 between modelled and measured  $\delta^{18}O_c$  (as visualized in panels **A** and **D**) with standard deviations of 1006 the  $\delta^{18}O_c$  offset ( $\sigma_{off}$ ) and offset averages ( $\mu$ ). Histograms in the bottom right of age-distance plots show 1007 the offset between modelled and known ages (in days) of each datapoint, including standard deviations 1008 on the age accuracy ( $\sigma_{acc}$ ) and mean age offset ( $\mu$ ). Rightmost panels (**C** and **F**) highlight age offsets 1009 binned in 12 monthly time bins based on their position relative to the annual cycle to illustrate how 1010 accuracy varies over the seasons. Grey envelopes indicate 95% confidence levels on the monthly age 1011 offset within these monthly time bins. The horizontal red dashed line indicates no offset (modelled age 1012 is equal to the known age of the sample).

1013

1014 Figure 7: Overview of model results for the three test datasets from real carbonate archives: (A) coral, 1015 (B) oyster and (C) speleothem. Lower panels indicate the fit of individual model windows (in red) with 1016 the data (in black) while upper panels show the age model (in red) compared to the "true" age-distance 1017 relationship with histograms showing model accuracy (in days, top left) and model fit ( $\delta^{18}O_c$  offset in  $\mathcal{W}_c$ , 1018 bottom right). Color scheme follows Figure 3. Note that the true age-distance relationship is not known 1019 for these natural records, but is estimated using known growth seasonality (coral), comparison with in 1020 situ temperature and salinity measurements (**oyster**) or simply by interpolating between annual growth 1021 lines (speleothem). See Supplementary Methods for details and SI10 for raw data.

1022

Figure 8: Overview of the result of timing ShellChron and the GRATAISS model on the same datasets
(A), comparing the accuracies of both models (B) and comparing the accuracy as calculated by
ShellChron with the known offset in the age model (C). In (A) and (B), low resolution datasets are

- 1026 plotted in dark blue (ShellChron) and dark green (GRATAISS), while high-resolution datasets plot in
- 1027 light blue (ShellChron) and light green (GRATAISS). Solid lines represent ShellChron and dashed
- 1028 lines show performance of the GRATAISS model. Green dotted lines in (**B**) show the accuracies of the
- 1029 GRATAISS model on a year-by-year basis (without accumulating error due to linking consecutive
- 1030 years) The black box in (A) and (B) highlights the dataset used in (C). In (C), dark blue lines, bars and
- 1031 boxplot indicate true offset of the model from the actual sample age, while light blue lines, bars and
- 1032 boxplot show the accuracy of the model as calculated from the propagated errors on model and input
- 1033 data. Raw data is provided in **SI11**.