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MANUSCRIPT TITLE

Turbidity maximum zone index: A novel model for remote extraction of turbidity maximum zone in different estuaries

AUTHORS

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Reviewer #2:

General comments

This paper describes a new approach to identify turbidity maximum zone using an index (TMZI) that combines observations of Chla and turbidity (TSS), and applies the index to 3 estuaries in Guangdong, China. A key hypothesis is that TSS affects primary production and is thus highly correlated with Chla, which is mostly valid. The manuscript is very poorly prepared and importantly, I have some serious reservation on the claims, and recommend rejection.

Response:

    Dear reviewer, thanks a lot for reviewing our manuscript and giving the valuable comments. These thoughtful suggestions are all critical for the improvement of the study.

    We agree with you on the points, especially the validation and evaluation issues of the model, and language problems.

    The main aims of the study is to develop a new approach, which could distinguish turbidity maximum zone (TMZ) in different estuaries and different seasons, and **provide a new reference** and **fresh perspective** for the study of TMZ.

    Following your suggestion, we have revised the manuscript very carefully. The lists below are the responses to each comment and all the revising have been marked in RED in the marked-up mode (lines 17-20, 27-30, 34-38, 42-46, 53-57, 64-69, 75-92, 94, 113-115, 123-124, 126-128, 135-136, 139-141, 146-156, 169-175, 187-196, 200-201, 206-208, 215-217, 220-222, 228-232, 236-239, 246, 248-249, 255-256, 260-263, 268, 271-275, 277-279, 285-286, 295-297, 301-303, 306-311, 319-321, 337-338, 343, 356-358, 382-384, 387-389, 395-401, 416-417, 422-428, 436-438, 442-448). It is expected that the quality of the revised manuscript has been improved significantly and meet the demand of journal.

Major comments

As the authors alluded to, estuarine TMZ's vary greatly in different estuaries, and I think this site specificity is for good reason. As the definition of TMZ suggests, it's not the absolute values of turbidity but local maxima (attributed to physical or biological processes) that lead to TMZ, and the latter should be site specific and potentially not comparable across systems. I suggest they first give a rigorous definition for TMZ, as this underpins the significance (or lack thereof) of the claims. Phrased in another way, how can one measure the accuracy of any method that quantifies TMZ (as they repeatedly use 'accuracy', 'good consistency', 'good performance', 'more natural', 'agreed better with reality' in the texts)? Unless this key issue is addressed, there is no way to assess if the

new method is actually better than previous approaches. I found the 'validation' sections have a lot of hand-waving claims, and lack rigor for scientific journal. Better-than-previous study-results is not sufficient (not to mention that 'better' is ill defined here).

Short of a rigorous metric to measure accuracy, an alternative would be to use pattern recognition technique to quantify the 'better performance', but we still need a definition of 'ground truth'.

Response:

The studies of estuarine TMZ has a long history of over 80 years since it was proposed in the last century (Glangeaud 1938). The current definition of TMZ has been widely accepted and recognized across the world (Page 3, lines 53-57). As far as we are concerned, it is a **much very** challenging task for us to give a **more** rigorous and quantified definition for TMZ.

As you point out, measuring the accuracy of corresponding results **is absolutely critical issues** for the assessment of any methods. Following your thoughtful suggestion, the common accuracy measures of object extraction from remote sensing imageries, **area-based accuracy measures** (Cai et al. 2018), has been added to evaluate and compare the performance of the different methods (*Section 2.4*; Page 11, lines 187-196; Page 16, lines 271-275; Page 19, lines 306-307; Page 24, lines 398-400; Page 26, lines 425-428).

Suppose that A_E is the area of the extracted TMZ, A_C is the correct part of A_E, and A_R is the reference TMZ (ground truth). Then the quality (Q) of the TMZ extraction results in the study could be defined as follow.
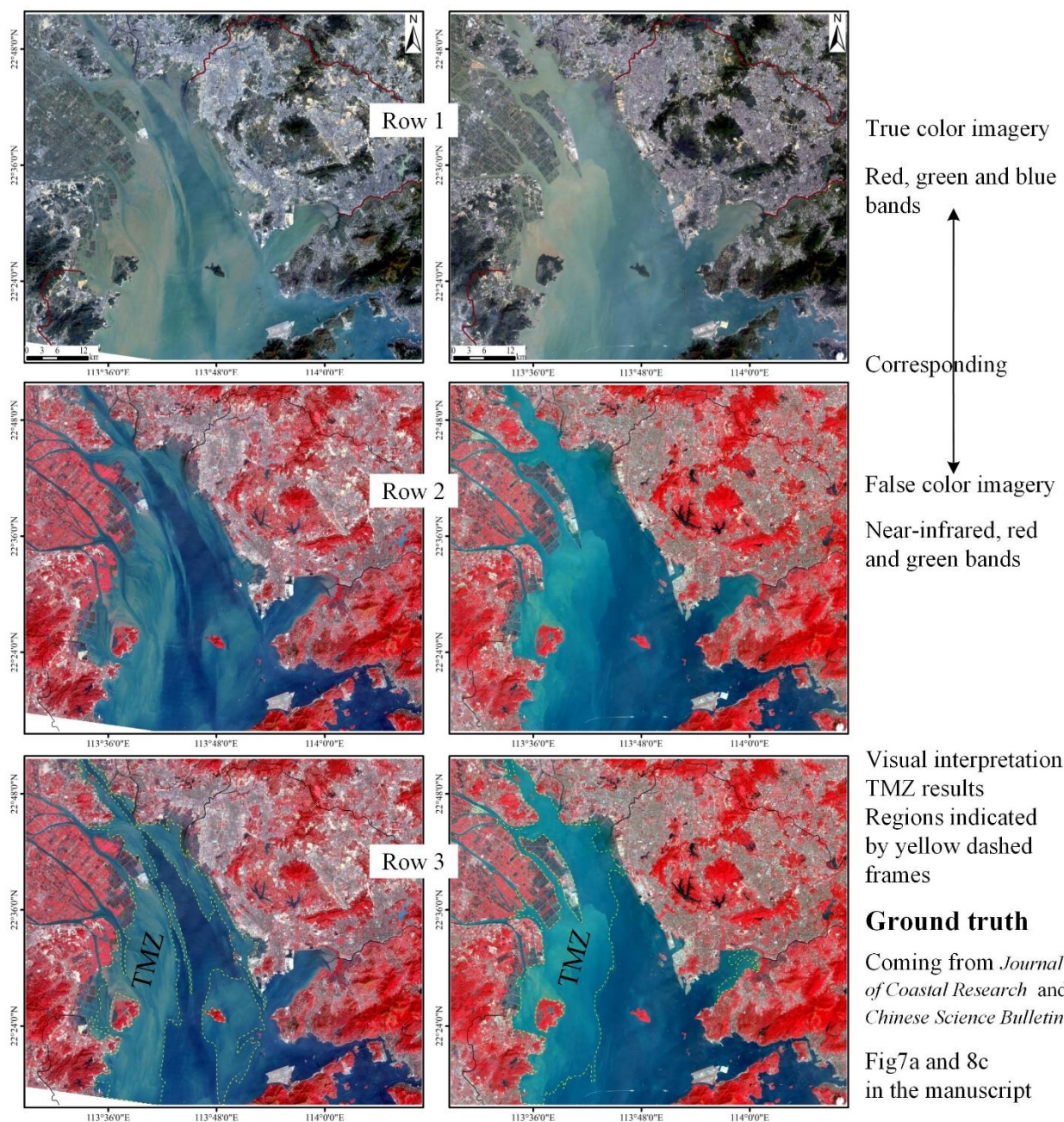
$$Q = \frac{A_C}{A_E + A_R - A_C}$$

The range of Q is 0 to 1. The bigger Q the value, the higher the accuracy of TMZ extraction results and the better performance of the method.

It should be noted that **the visual interpretation TMZ results** of the Pearl River estuary (PRE) (Pages 17-18; lines 277-278, 301; Figs. 7a and 8c), derived from two scientific and peer-reviewed journals, *Journal of Coastal Research (https://meridian.allenpress.com/jcr)* and *Chinese Science Bulletin (https://www.sciengine.com/publisher/scp/journal/CSB?slug=abstracts)*, **had been defined as 'ground truth' in the study**.

In the PRE, all the TMZ extraction results based on TMZI and previous approaches has been assessed by the visual interpretation TMZ results ('ground truth') and the new added accuracy assessment measures. In order to illustrate the evaluation and comparison process better, some figures (Figs. 7, 8c, 8d and 11) in the manuscript are restructured to a sketch map here.

Landsat 5 TM sensor   PRE   Landsat 8 OLI sensor

November 20, 2004 (low-flow season)   October 18, 2015 (high-flow season)

Row 1

Row 2

Row 3

TMZ

TMZ

True color imagery

Red, green and blue bands

Corresponding

False color imagery

Near-infrared, red and green bands

Visual interpretation
TMZ results
Regions indicated
by yellow dashed
frames

Ground truth

Coming from *Journal of Coastal Research* and *Chinese Science Bulletin*

Fig7a and 8c
in the manuscript

Row 4

Row 5

Row 6

Row 7

TMZ extraction
results by TMZI
method
Regions indicated
by mango colors

Fig7b and 8d
in manuscript

Superimposed

True color imagery

Fig 11a, b
in the manuscript

TMZ extraction
results by the
method of Shi et al.
(2017)
Regions indicated
by cyan color
Superimposed
true color imagery

Fig 11c, d
in the manuscript

TMZ extraction
results by the
method of Wai et al.
(2004)
Regions indicated
by yellow color
Superimposed
true color imagery

Fig 11e, f
in the manuscript

A sketch map of comparison.

In the third row of the sketch map, **regions indicated by yellow dashed frames** are the visual interpretation TMZ results and defined as 'ground truth'.

In the fourth row, **regions indicated by mango colors** are the TMZ extraction results based on TMZI method. Fifth row shows the **same** results, but with the true color imagery as base map.

In the sixth and seventh rows, **regions indicated by cyan colors** and **yellow colors** are the TMZ extraction results based on Shi et al. (2017) and Wai et al. (2004), respectively.

On the one hand, the TMZ extraction results by TMZI and previous approaches have big difference, which could provide an intuitive and rough comparison. On the other hand, the **quantitative accuracy assessment** also showed TMZI model has a better performance. The quality of the TMZ extraction results by the methods of TMZI, Shi et al. (2017) and Wai et al. (2004) are 0.8429, 0.4238 and 0.1046 in low-flow season, and 0.8171, 0.4770 and 0.1661 in high-flow season, respectively (Page 16, lines 271-275; Page 19, lines 306-307; Page 24, lines 398-400; Page 26, lines 425-428).

The main aims of the studies in the Hangjiang River estuary (HRE) and Moyangjiang River estuary (MRE) are to further assess the applicability of TMZI. The observation is also one of the most basic methods in geography and remote sensing research fields. Given few specialized studies in the two estuaries, the TMZ extraction results in the HRE and MRE were assessed mainly based on remote sensing imageries and the corresponding retrieved results.

We have revised the figures, results validation part, relevant contents and statements in the manuscript carefully (Page 11, lines 187-196; Pages 16-19, lines 271-279, lines 285-286, lines 301-303, lines 306-311; Pages 20-22, lines 326-329, lines 356-358; Pages 24-26, lines 398-400, lines 404-409, lines 422-428).

References:

Cai, L., Shi, W., Miao, Z., & Hao, M. (2018). Accuracy Assessment Measures for Object Extraction from Remote Sensing Images. *Remote Sensing*, 10, 303.

Glangeaud, L. (1938). Transport of Sedimentation Chlans l estuare et l embouchure de La Girronde. *Bulletin of Geological Society of France*, 8, 599-630.

It's also not sufficient to demonstrate that TMZI works for 2 other estuaries in the same province. A variety of estuaries with different physical and biological characteristics is needed to truly support the claim. There are systems that other organic matters than Chla are dominant.

Response:

It is right that the more validation and assessment, the better a new method. In this study, although the PRE, HRE and MRE are all in Guangdong Province, the three rivers and estuaries have significantly difference and its own characteristics (Pages 6-8, lines 123-124, lines 135-141).

The PRE is a horn-shaped estuary. The source of Pearl River is in Yunnan-Kweichow Plateau, southwest China. Pearl River is the fourth longest (2320 km) in China with a drainage area of $4.53 \cdot 10^5$ km^2, and its annual runoff ($3.26 \cdot 10^{11}$m^3) is only smaller than Yangtze River. The sediment load of Pearl River is $7.53 \cdot 10^7$ ton/year.

The HRE is a forking-shaped estuary. The source of Hanjiang River is in Zijin County, east of Guangdong. Hanjiang River has a length of 470 km and has the second largest drainage area ($3.01 \cdot 10^4$ km^2) in Guangdong Province. The annual mean surface runoff of Hanjiang River is $2.45 \cdot 10^{10}$m^3 with sediment load is $6.93 \cdot 10^6$ ton/year.

The MRE is a calabash-shaped estuary. The source of Moyangjiang River is in Cloud Mountains, west of Guangdong. Moyangjiang River has a length of 199 km and a drainage area of more than $6 \cdot 10^3$ km^2. The annual mean surface runoff of Moyangjiang River is $8.21 \cdot 10^9$m^3 and sediment load is $3.27 \cdot 10^5$ ton/year.

Based on the characteristics of the three rivers and estuaries, it could be found that the source, length, drainage area, shape, runoff and sediment discharge of them are different from each other, Which has a certain representativeness in the study of estuary.

On the other hand, considering that the study of TMZ has long been **a hot topic in many fields**, such as estuary and coast, hydrology, ocean, ecology, environment, geography and remote sensing, it would be better and more persuasiveness if the model could be further validated and assessed by other researchers worldwide.

Minor comments

TSS usually includes CDOM and Chla, so there may be auto-correlation between TSS and Chla, especially for systems dominated by organic matters. This needs to be explored.
Response:

It is no doubt that there exists a **connection** between total suspended solids (TSS) and chlorophyll a (Chla), even other water parameters or color components (CDOM). We have modified the relevant expressions to make it more precise (Page 2, lines 27-30; Page 5, lines 94-102; Pages 13-14, lines 220-222; lines 236-239).

In fact, the **defined relationship** among the water parameters is still in the study and discussion, particularly in estuarine regions of dynamic changes (Zhang and Blomquist., 2018; Hu et al., 2013; Chen et al., 2011; Zhao et al., 2009). It is expected that more detail results and conclusion could be found in the future study and research.

References:

Chen, S., Fang, L., Li, H., Chen, W., & Huang, W. (2011). Evaluation of a three-band model for estimating chlorophyll-a concentration in tidal reaches of the Pearl River Estuary, China. *ISPRS Journal of Photogrammetry and Remote Sensing*, 68, 356-364.

Zhang, Q., & Blomquist, J.D. (2018). Watershed export of fine sediment, organic carbon, and chlorophyll-a to Chesapeake Bay: Spatial and temporal patterns in 1984–2016. *Science of the Total Environment*, 619-620, 1066-1078.

Zhao, J., Cao, W., Wang, G., Yang, D., Yang, Y., Sun, Z., Zhou, W., & Liang, S. (2009). The variations in optical properties of CDOM throughout an algal bloom event. *Estuarine, Coastal and Shelf Science*, 82, 225-232.

Hu, S., Cao, W., Li, J., Yang, Y., Wang, G., & Zhou, W. (2013). Spectral absorption properties of colored dissolved organic matter along 6°N transect of tropical eastern Indian Ocean. *Journal of Tropical Oceanography*, 32, 13-21.

Can't Eq (1) be simplified, as exp and log cancel out?
Response:

In equation 1, the bases of the exponent and logarithm are 'e' and '10', respectively. We are sorry for the unclear statement in the previous version. Following your suggestion, the equation have been revised (Page 11, line 182).

$$Chla = a*e^{b*Log_{(10)}[(\frac{1}{R_1}-\frac{1}{R_2})*\frac{1}{R_3}]}$$

There are also very extensive syntax errors and confusing sentences throughout the texts, and below is an incomplete list. The authors should go over the text very carefully. There are also mentions of geographic names (Neilingding etc) that should be illustrated in plots.
Ln 54: 'within limits'; ln 58: 'progress'; sentence on ln 84; ln 88: 'latent'; ln 133: ''famous'; ln 168: expected; ln 217: while; ln 224: referring to; ln 235: 'null' (near zero is different from null); ln 242: read; ln 256 (sentence); ln 275: extracting; ln 279: season; ln 289 (sentence); ln 298: similar; ln 307: indicated; ln 386-7 (sentences)....
Response:

Dear reviewer, Following you and another reviewer's suggestions, we have asked for native English writers (a professor of Florida State University and an associated professor of National University of Singapore) helping us to pick up grammatical errors and revise the text very carefully. Besides, we also asked the professional English language services, **Editage** (www.editage.cn), to

further polish the English writing and grammar.

All the revising have been marked in red in the current version (lines 17-20, 27-30, 34-38, 42-46, 53-57, 64-69, 75-92, 94, 113-115, 123-124, 126-128, 135-136, 139-141, 146-156, 169-175, 187-196, 200-201, 206-208, 215-217, 220-222, 228-232, 236-239, 246, 248-249, 255-256, 260-263, 268, 271-275, 277-279, 285-286, 295-297, 301-303, 306-311, 319-321, 337-338, 343, 356-358, 382-384, 387-389, 395-401, 416-417, 422-428, 436-438, 442-448).

Once again, thank you very much for your valuable comments and suggestions for the improvement of the manuscript.

Stay healthy and best wishes,

Chongyang Wang

Turbidity maximum zone index: A novel model for remote extraction of turbidity maximum zone in different estuaries

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Abstract. An efficient recognition and extraction of the estuarine turbidity maximum zone (TMZ) is important for studying terrestrial hydrological processes. Although many studies relevant to TMZ have been conducted worldwide, the extraction methods and criteria used to describe TMZ vary significantly both spatially and temporally. To improve the applicability of the methods adopted in previous studies and to develop a

novel model to accurately extract TMZ in multiple estuaries and different seasons from remote sensing imageries, this study estimated the total suspended solids (TSS) and chlorophyll a (Chla) concentrations in three estuaries. These were the Pearl River Estuary (PRE), the Hanjiang River Estuary (HRE), and the Moyangjiang River Estuary (MRE) of Guangdong Province, China. The spatial distribution characteristics of the TSS and Chla concentrations were analyzed. A nearly opposite association was found between the TSS and Chla concentrations in the three estuaries, particularly in the PRE. The regions with high (low) TSS concentrations had relatively low (high) Chla concentrations and therefore, a turbidity maximum zone index (TMZI), defined as the ratio of the difference and sum of the logarithmic transformation of the TSS and Chla concentrations, was firstly proposed. By calculating the TMZI values in the PRE on November 20, 2004 (low-flow season), it was found that the criterion (TMZI > 0.2) could be used to identify the TMZs of the PRE effectively. The TMZ extraction results were generally consistent with the visual interpretation results. The area-based accuracy measures showed that the quality (Q) of the extraction reached 0.8429. The same criterion was applied in the PRE on October 18, 2015 (high-flow season), and high accuracy and consistency across seasons were observed (Q = 0.8171). The western shoal of the PRE was the main distribution area of TMZs. Extracting TMZs by the newly proposed index performed well in different estuaries and on different dates (HRE on August 13, 2008 in the high-flow season and MRE on December 6, 2013 in the low-flow season). Compared to the previous fixed threshold of TSS or turbidity methods,

extracting TMZ using TMZI had higher accuracy and better applicability (Q: 0.1046–0.4770 vs. 0.8171–0.8429). Evidently, this unified TMZI is potentially an optimized method for the global monitoring and extraction of TMZs of estuaries from different satellite remote sensing imageries. It can be used to help the understanding of the spatial and temporal variation of TMZs and estuarine processes at regional and global scales, as well as improve the management and sustainable development of regional society and the natural environment.

Keywords: turbidity maximum zone; turbidity maximum zone index; total suspended solid; chlorophyll a; remote sensing; estuary

1 Introduction

The turbidity maximum zone (TMZ) is the dynamic turbid water area within an estuary, where the suspended solid concentrations, namely, sediment and matter, are consistently and significantly higher than landward and seaward (Shen, 1995; Gebhardt et al., 2005; Yu et al., 2014; Li et al., 2019). It is a special phenomenon of suspended sediment movement and migration in estuaries worldwide (Schubel, 1968; Shi et al., 1993; Mitchell et al., 2012; Wang et al., 2021). The spatial distributions and dynamic changes of TMZs not only have a deep and wide impact on the formation and development of estuary morphology, channels, shoals, and sandbars (Asp et al., 2018; Azhikodan and Yokoyama, 2019; Li et al., 2019), but also significantly affect the physics and geochemical and biogeochemical processes of natural estuarine

3

environments, as well as social production activities (Gebhardt et al., 2005; Jalón-Rojas et al., 2016; Kitheka et al., 2016; Toublanc et al., 2016; Yan et al., 2020). TMZ has long been a popular area for scientific study and engineering innovations among researchers, government agencies, engineering corporations, and communities (Shen et al., 2001; Shi et al., 2017; Jiang et al., 2019; Wang et al. 2021).

Previous studies have examined TMZ from various aspects based on different data resources and methods, such as the characteristics and dynamics of total suspended solids (TSS) concentrations in TMZ (Yang et al., 2014; Wan and Wang, 2017; Grasso et al., 2018), the mechanisms and formation of TMZ (Brenon and Hir, 1999; Wai et al., 2004; Yu et al., 2014; Toublanc et al., 2016), the location, distribution, and change of TMZ across time (Jiang et al., 2013;Jalón-Rojas et al., 2016; Li et al., 2019; Yan et al., 2020), the interaction with other factors, and its long-term trend (Gebhardt et al., 2005; Chen et al., 2016; Li et al., 2019). The location of TMZ in an estuary is a fundamental question and an important aspect of studying TMZ. It was found that there were two major ways to obtain the locations and distributions of TMZs (Wang et al., 2021). One was a relatively approximate description, such as TMZ locations corresponding to the front of the salinity wedge and moving range of stagnation points, or a distance from coastlines (Feng et al., 2002; Mitchell, 2013; Kitheka et al., 2016; Liu et al., 2016; Toublanc et al., 2016; Gong et al., 2017; Zhang et al., 2019; Yan et al., 2020). The other was a relatively quantitative result. The thresholds of TSS concentrations or turbidity criteria were used to extract the distribution of TMZs (Jiang et al., 2013; Yang and Liu,

84 2015; Chen et al., 2016; Jalón-Rojas et al., 2016; Shi et al., 2017; Li et al., 2019).

85 However, the fixed threshold method has potential drawbacks. It is a challenging task

86 to precisely generate TMZ extraction results at different times using a fixed threshold

87 of TSS concentration because TSS concentrations showed significant variations in

88 different seasons. Moreover, the threshold values are difficult to transfer from local

89 regions to other regions because research and a scientific basis are lacking. The

90 threshold method and criteria vary significantly in different estuaries, in different

91 regions of the same estuary, in the same estuary at different times, and by different

92 studies, demonstrating considerable subjectivity. The results were not comparable

93 (Wang et al., 2021).

94 TSS concentrations in the TMZs and adjacent waters vary significantly (Uncles et

95 al., 2000; Park et al., 2008; Mitchell, 2013; Wang et al., 2018). Many studies have

96 shown that suspended solids can affect the growth of chlorophyll a (Chla) through

97 absorption and sunlight scattering in water (Pozdnyakov et al., 2005; Chen et al., 2015;

98 Montanher et al., 2014; Wang et al., 2017a; Wang et al., 2020b). Therefore, it was

99 concluded that there is a relationship between TSS concentrations and Chla

100 concentrations and different characteristics in TMZ and normal water bodies in

101 estuaries. This relationship might be used to overcome the drawbacks of previous

102 methods of extracting TMZ and distinguish and recognize TMZ effectively.

103 Based on this analysis, the objectives of this study are to propose a new model

104 with better adaptability and robustness for distinguishing and extracting TMZ in

105   different estuaries and in different seasons. To achieve this goal, the TSS and Chla

106   concentrations in the Pearl River Estuary (PRE), Hanjiang River Estuary (HRE), and

107   Moyangjiang River Estuary (MRE) were first estimated. The different spatial

108   characteristics were analyzed and compared. Subsequently, the corresponding

109   relationship and special features of TSS and Chla concentrations were used to develop

110   a turbidity maximum zone index (TMZI). Finally, the TMZs in these estuaries were

111   extracted at different times by the model (TMZI) and validated and assessed for
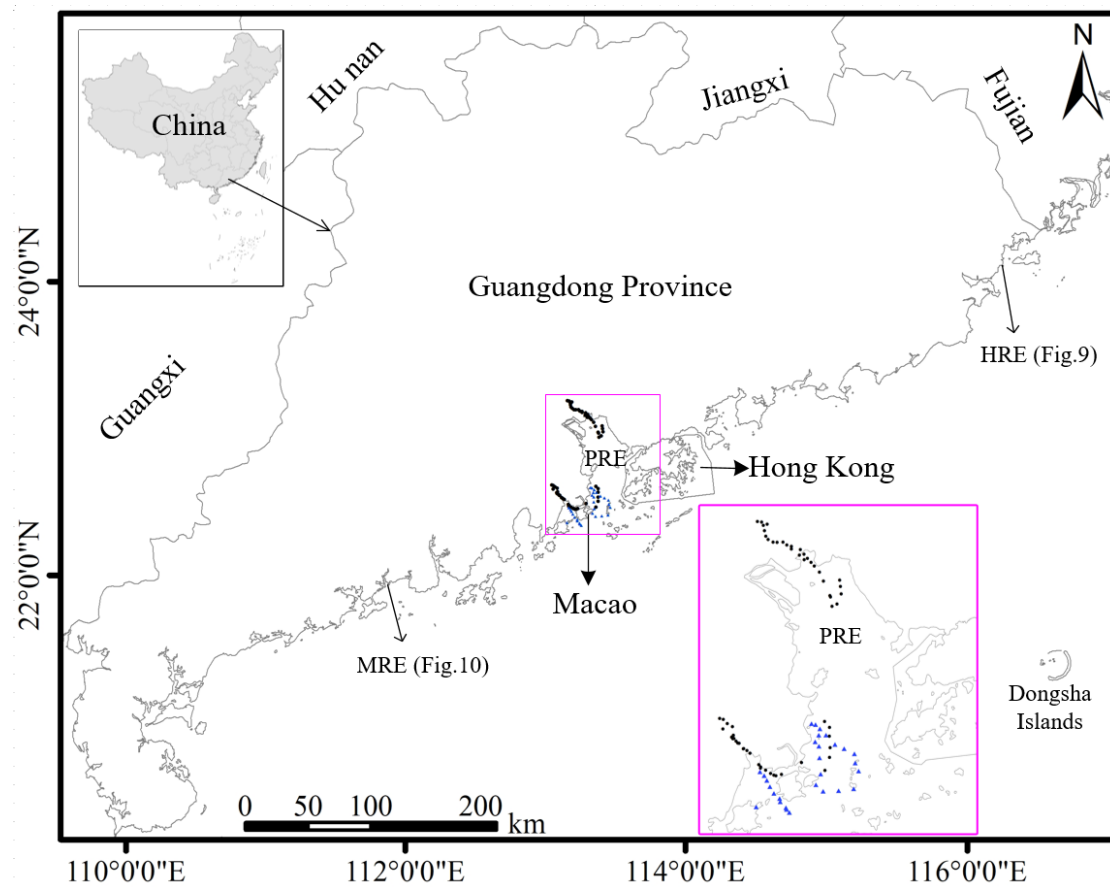
112   accuracy.

113   The remainder of this paper is organized as follows. The study areas, in situ data,

114   satellite imagery, TSS concentration data, Chla retrieval model, and its calibration and

115   validation are described in Section 2, as well as the TMZ extraction accuracy

116   assessment measures. The spatial analysis of TSS concentration, Chla concentration,

117   and the corresponding relationship between them are presented in Section 3.1. The

118   establishment of TMZI and its application and assessment in different estuaries and at

119   different times are shown in Sections 3.2-3.5. The summary and conclusions are

120   presented in Section 4.

121   **2 Dataset and methods**

122   **2.1 Study areas**

123   The study areas include the Pearl, Hanjiang, and Moyangjiang River Estuaries of

124   Guangdong Province, South China (Figs. 1, 4, and 7-10). The PRE (horn-shaped) is

125 located between longitudes 113.45 °114.2 °E and latitudes 22.25 °22.85 °N, mainly in

126 the core zone of Guangdong-Hong Kong-Marco Greater Bay Area. The HRE (forking-

127 shaped) is located between longitudes 116.6 °117 °E and latitudes 23.2 °23.6 °N, mainly

128 in Shantou city, Eastern Guangdong Province. The MRE (calabash-shaped) is located

129 between longitudes 111.9 °112.3 °E and latitudes 21.66 °21.8 °N, mainly in Yangjiang

130 city, Western Guangdong Province.

131

132 **Fig. 1.** Study areas (PRE, HRE, and MRE) and the locations of the in-situ data indicated by black

133 dots and blue triangles.

134   The Pearl River has the second largest annual runoff and is the third largest river

135 in China. The Hanjiang and Moyangjiang Rivers are the second and third largest rivers

136 in Guangdong Province (Chen et al., 2011; Wang et al., 2018; Wang et al., 2020a).

137   Previous studies have reported that the sediment loads of the Pearl, Hanjing and

138   Moyangjiang Rivers were $7.53 \cdot 10^7$, $6.93 \cdot 10^6$ and $3.27 \cdot 10^5$ ton per year, respectively

139   (Wang et al., 2017a, b; Wang et al., 2020a). It was found that the three rivers and

140   estuaries have different characteristics, and much associated research has been

141   conducted in these regions for a long time.

142   2.2 In-situ and satellite data

143   The 89 in-situ samples, including water surface reflectance and Chla

144   concentrations, were collected from the PRE (Fig. 1, Table 1). Sixty of these samples

145   were also used in a previous study by the current authors (black dots) (Chen et al., 2011).

146   The present study included 29 new samples (blue triangles). Here, these samples were

147   used to recalibrate and validate a Landsat-based Chla concentration retrieval model.
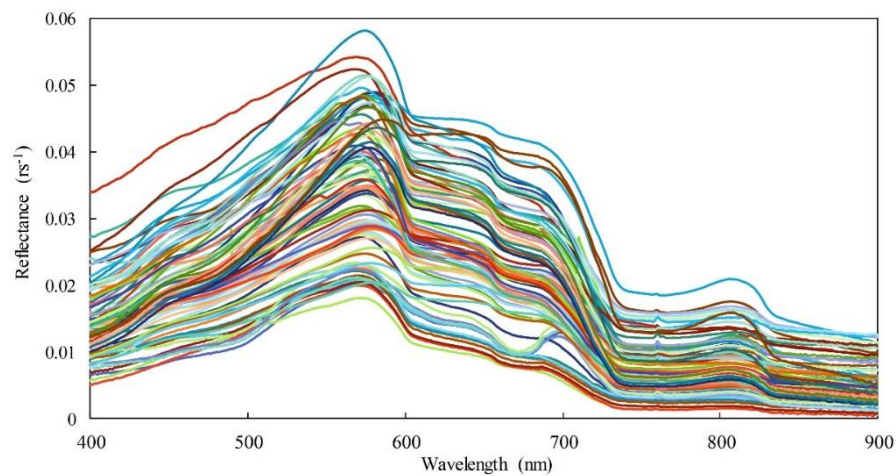
148   In addition, four scenes of good quality Landsat imageries were used. Two images

149   from TM and OLI (path/row = 122/44) were captured on November 20, 2004

150   (ProductID: LT05_L1TP_122044_20041120_20161129_01_T1), and October 18,

151   2015 (LC08_L1TP_122044_20151018_20170403_01_T1), respectively, covering the

152   PRE (Figs. 7a and 8c). The image from TM (path/row = 120/44) was captured on

153   August 13, 2008 (LT05_L1TP_120044_20080813_20161030_01_T1), covering the

154   HRE (Fig. 9c). The final image from OLI (path/row = 123/45), was captured on

155   December 6, 2013 (LC08_L1TP_123045_20131206_20170428_01_T1), covering the

156   MRE (Fig. 10c).

157 **Table 1**

158 The 89 in-situ data.

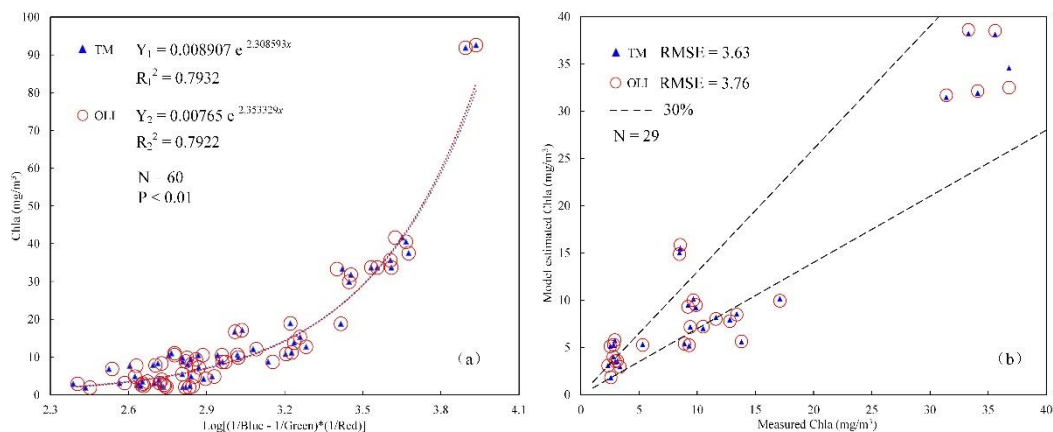| Date	Samples	Measurements	
Dec 9, 2006	16	Reflectance, Chla	
Dec 21, 2006	12	Reflectance, Chla	Same as
Dec 27, 2007	15	Reflectance, Chla	Chen et al. (2011)
Dec 31, 2007	17	Reflectance, Chla	
Nov 2, 2012	18	Reflectance, Chla	Newly added
Sep 10, 2013	11	Reflectance, Chla	

159

160

161 **Fig. 2.** Remote sensing reflectance of surface water of the 89 in situ data.

162 ## 2.3 Total suspended solids data and chlorophyll a data

163   The aim of this study was to establish and develop a new model (TMZI) based on

164 TSS concentrations and Chla concentrations, and further extract TMZs in three

165 estuaries of Guangdong Province. Therefore, the TSS and Chla concentrations in the

166 study areas were first calculated. The TSS concentration data were obtained from

167 previous work of the current authors (Wang et al., 2017a, b; Wang et al., 2018; Wang et

168 al., 2020a). The corresponding Chla data required retrieval using Landsat imagery.

169 Consequently, a Landsat-based Chla concentration retrieval model was expected to be

170 suitable for different estuaries. Many models have been developed to estimate Chla

171 concentration from different remote sensing data (Gregg and Casey, 2004; Chen et al.,

172 2011; Kim et al., 2016a, b; Attila et al., 2018). Following the features and forms of

173 some typical chlorophyll a retrieval models (Le et al., 2009; Chen et al., 2011; Le et al.,

174 2013; Song et al., 2013), a three-band Landsat-based chlorophyll a model using the 89

175 in-situ samples was recalibrated and validated (Fig. 3; Equation 1). The model, based

176 on Landsat TM and OLI sensors, explained approximately 80% of the Chla

177 concentration variation (Chla: 1.92-92.6 mg/m^3, N=60, P-value<0.01) and had an

178 acceptable validation accuracy (Chla: 2.33-36.8 mg/m^3, RMSE≤3.76 mg/m^3, N=29).

180 **Fig. 3.** The calibration (a) and validation (b) results of the Chla retrieval models based on 89 in situ

181 data for Landsat sensors.

182
$$Chla = a * e^{b*Log_{(10)}[(\frac{1}{R_1} - \frac{1}{R_2}) * \frac{1}{R_3}]}$$ （1）

183   where R_1, R_2 and R_3 represent the blue, green, and red band of theTM and OLI

184   sensors, respectively. The parameters a and b corresponding to the TM and OLI sensors

185   are 0.008907, 2.308593 and 0.00765, 2.353329, respectively. The unit of chlorophyll a

186   concentration is in mg/m^3.

187   **2.4 Accuracy assessment measures**

188   To evaluate TMZI extraction accuracy and compare the performances of the

189   different methods, the common accuracy measures of object recognition in remote

190   sensing, area-based accuracy measures (Cai et al., 2018), was used.

191   Suppose that A_E is the area of the extracted TMZ, A_C is the correct part of A_E, and

192   A_R is the reference TMZ. Then the quality (Q) of the TMZ extraction results in the study

193   could be defined as follows (equation 2).

194
$$Q = \frac{A_C}{A_E + A_R - A_C}$$ （2）

195   The range of Q is 0 to 1. The bigger the Q value, the higher the accuracy of the

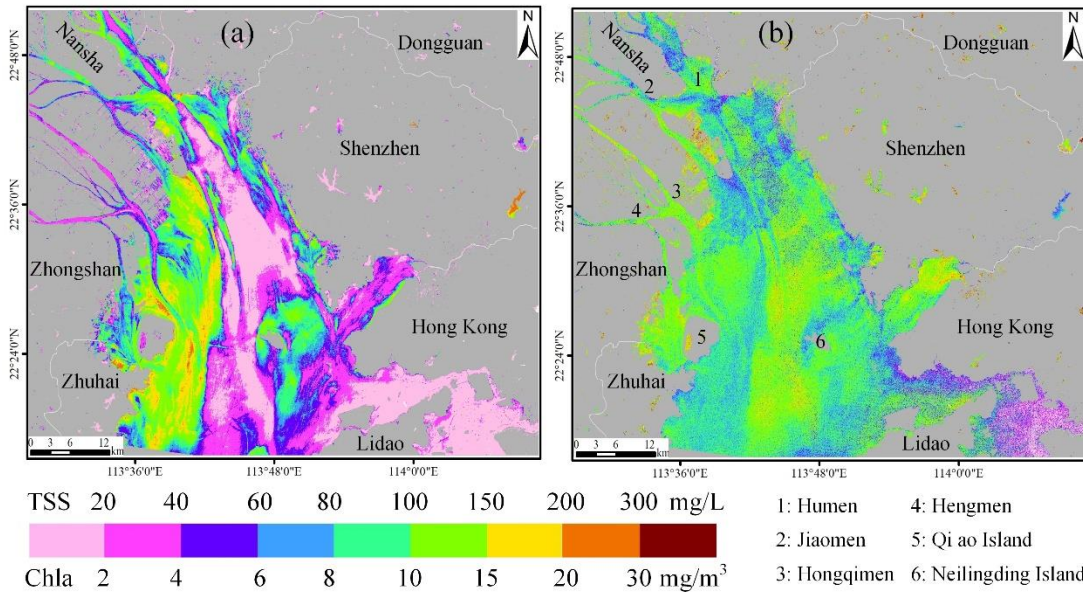196   TMZ extraction results, and the better performance of the method.

197   **3 Results and discussion**

198   **3.1 The spatial characteristics of TSS and Chla concentrations in**

199   **estuaries**

200   Chla concentrations in each estuary were estimated using the Chla concentration

201   retrieval model that was developed (Fig. 3). The different spatial distribution

202   characteristics of the TSS and Chla concentrations were analyzed. Taking the PRE as

203 an example, TSS concentrations in the low-flow season of the PRE (November 20,

204 2004) have a large variation ranging from 1.37 mg/L to more than 200 mg/L (Fig. 4a).

205 Due to the strong interaction between runoff and tide, the main region of high TSS

206 concentrations is in the west shoal of the PRE (Wang et al., 2018), where concentrations

207 of more than 100 mg/L were frequently found. In addition, TSS concentrations in parts

208 of the east shoal and Neilingding island adjacent waters were also relatively higher. The

209 other areas of the PRE have low TSS concentrations, where the maximum value is

210 generally not more than 40 mg/L, particularly in the Hong Kong coastal water bodies

211 (Fig. 4a).

212

213 **Fig. 4.** The estimated TSS concentrations (a) and Chla concentrations (b) in the PRE on November

214 20, 2004.

215   In contrast to the TSS concentration results, the Chla concentrations in the PRE

216 have significantly lower values of less than 20 mg/m^3 in almost the entire PRE (Fig.

217 4b). The results concord with the findings of Liu et al. (2017) and Huang et al. (2005),

218   who found that Chla concentrations ranged from 0.24 mg/m^3 to 21.5 mg/m^3 in the PRE

219   at different times. Furthermore, Chla concentrations in the PRE show almost opposite

220   spatial characteristics to TSS concentrations. Apart from the eastern Lidao district

221   coastal water bodies, the regions of relatively high (low) Chla concentrations are the

222   regions of relatively low (high) TSS concentrations. These corresponding features are

223   apparent in the four waterways, namely, Humen, Jiaomen, Hongqimen, and Hengmen

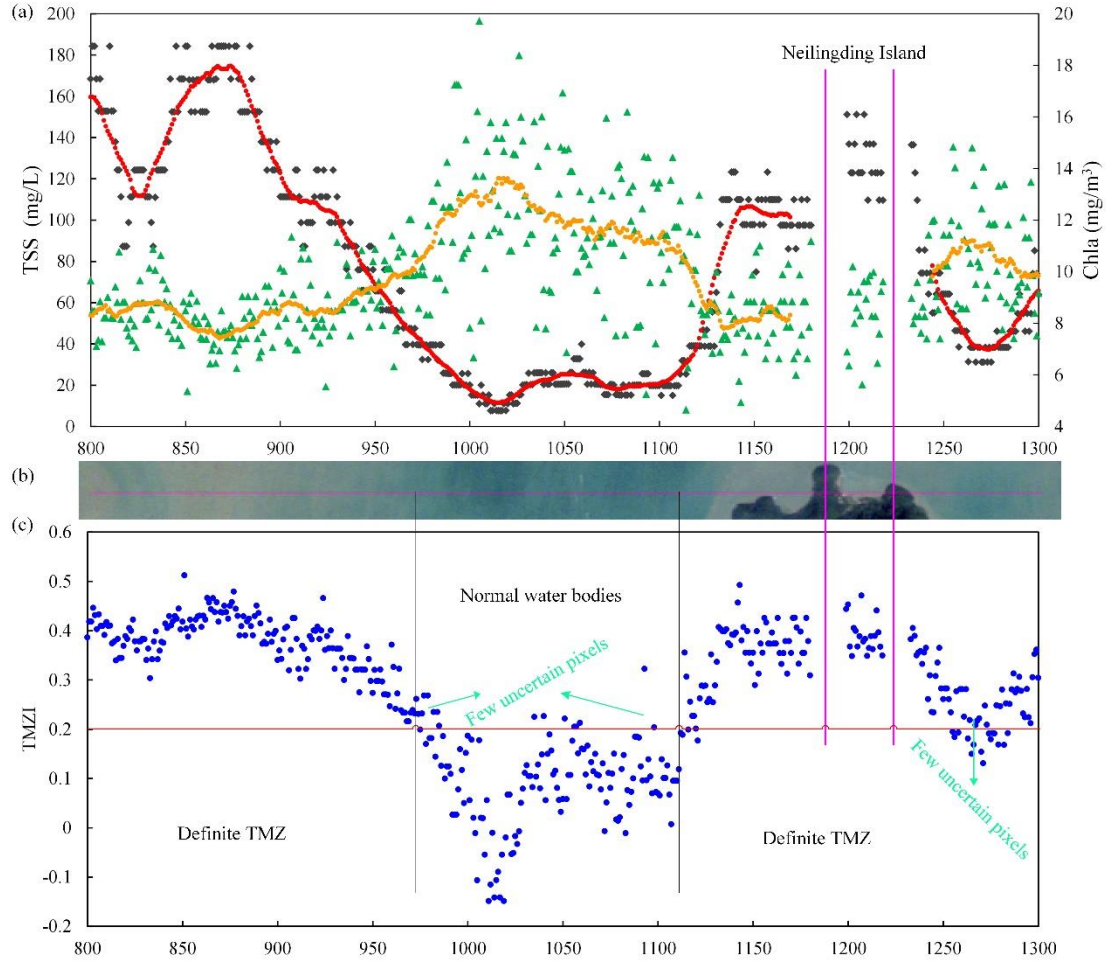224   waterways and the shoals, and channels of the PRE (Fig. 4).

225   To further analyze and assess the corresponding relationship between TSS and

226   Chla concentrations in the estuaries, three rows of TSS and Chla concentration values

227   in the PRE were extracted (Fig. 7a; pink lines; rows 1200, 1600, and 1900, columns

228   from 800 to 1300). The results for row 1600 are shown in Fig. 5(a). A correlation

229   analysis showed a significant negative correlation between TSS and Chla

230   concentrations. For the original TSS and Chla concentrations, the correlation

231   coefficient was -0.6531. The correlation coefficient reaches approximately -0.9 for its

232   trend lines (Fig. 5a).

233   **3.2 Establishment and application of TMZI**

234   Based on the analysis and corresponding features between TSS and Chla

235   concentrations, it is considered that the transform results derived from the two water

236   color elements may help to better distinguish and extract TMZ. In this study, TMZI was

237   defined as the ratio of the difference and sum of logarithmic transformation of TSS

concentrations and Chla concentrations (equation 3), which is similar to the normalized
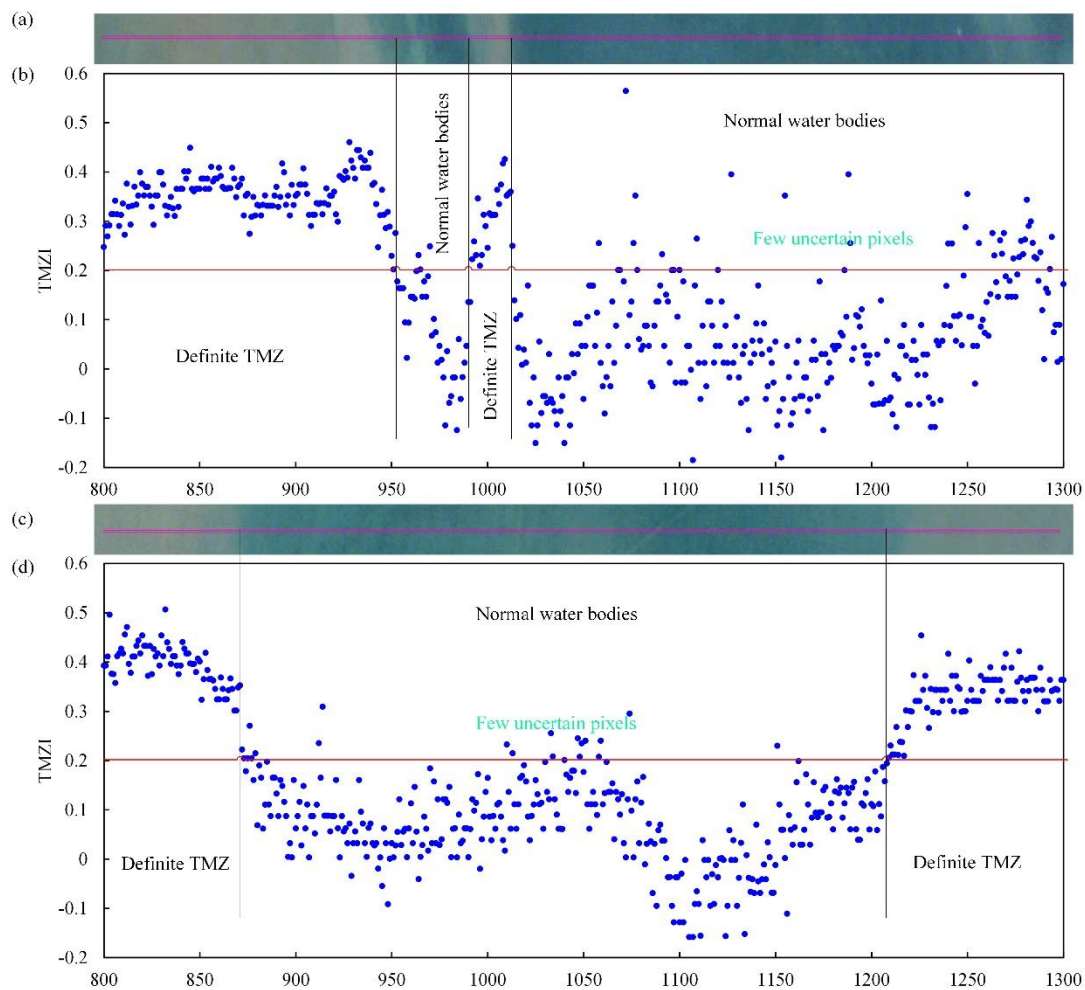
239 difference vegetation index (NDVI).

240 $$TMZI = [Log(TSS) - Log(Chla)] / [Log(TSS) + Log(Chla)] \qquad （3）$$

241

Fig. 5. The corresponding spatial relationship between the TSS concentrations, indicated by black

243 dots and red trend line, and Chla concentrations, indicated by green triangles and orange trend line

244 of row 1600 (a), the true color imagery (b) and the corresponding values of TMZI (c).

245 　　According to the definition and equation, this study calculated TMZI values (Figs.

246 5c, 6b and d). Taking the results of row 1600 as an example (Fig. 5b and c), the row

247 pixels can be mainly divided into one TMZ (columns 800-975), normal water bodies

248 (columns 975-1110), and another TMZ (columns 1110-1300) from left to right. The null
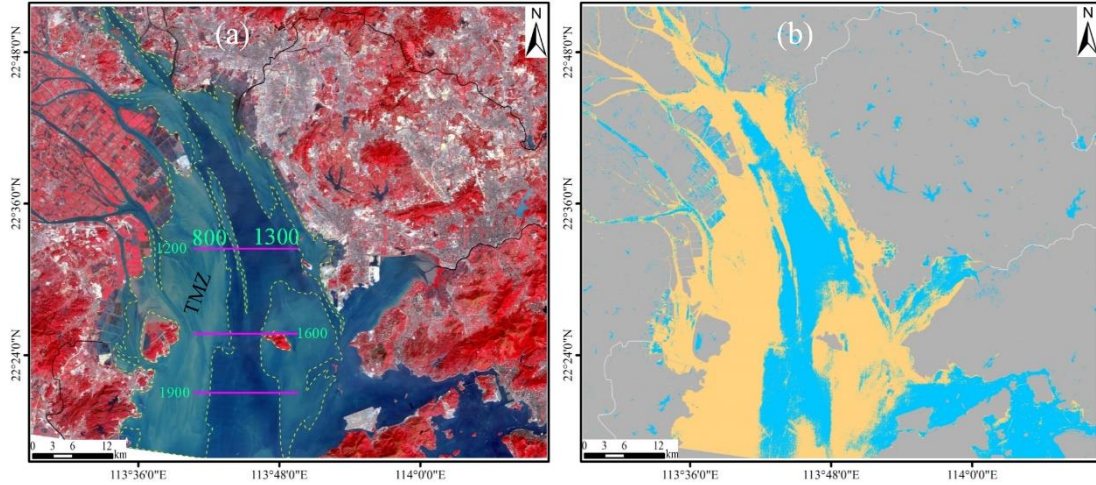
249 values located in columns 1180-1200 and 1220-1235 are Neilingding Island (Figs. 5

250 and 7a). Through a comparison with the results of TMZI, it is found that all the values

251 of TMZI corresponding to TMZ pixels are larger than 0.2, while the values

252 corresponding to normal water body pixels are all smaller than 0.2, except for a few

253 blurry pixels (Figs. 5b and c). For the results of rows 1200 and 1900, similar

254 corresponding characteristics between TMZ and TMZI and the same criterion were also

255 found (Fig. 6). Therefore, TMZI showed a significant feature and had the potential to

256 develop into a better model for recognizing and extracting estuarine TMZ.

257

258 **Fig. 6.** The true color imagery and the corresponding values of TMZI of rows 1200 (a and b) and

259 1900 (c and d).

The TMZI of the entire Landsat TM imagery was subsequently calculated, and TMZs in the PRE were extracted. Fig. 7(b) shows the spatial distribution results of TMZ in the PRE on November 20, 2004. TMZ is widely distributed throughout the PRE, accounting for more than half of the water areas in the imagery. Among them, the main TMZ is located within an average distance of 11 km from the Panyu, Nansha, Zhongshang, and Zhuhai coasts, which approximately corresponds to the west shoal in the PRE. In the western Dongguan and Shenzhen coastal water bodies, an approximately rectangular TMZ develops approximately 5 km from the coastline, which indicates the location of the east shoal of the PRE (Wang et al., 2018). In addition, a third main TMZ in the PRE located from surrounding Neilingding Island to western Hong Kong water bodies is found, although TSS concentrations in TMZ are lower than those of the former TMZs (Figs.4a and 7b). Compared to the visual interpretation of TMZ results in previous works by the current authors (Fig.7 a) (Wang et al., 2020b, 2021), the area-based accuracy measures show that the quality of extraction achieves 0.8429. The good TMZ extraction results and the high validation accuracy by TMZI in this study indicate a more effective way to recognize TMZs in estuaries (Figs. 6-7).
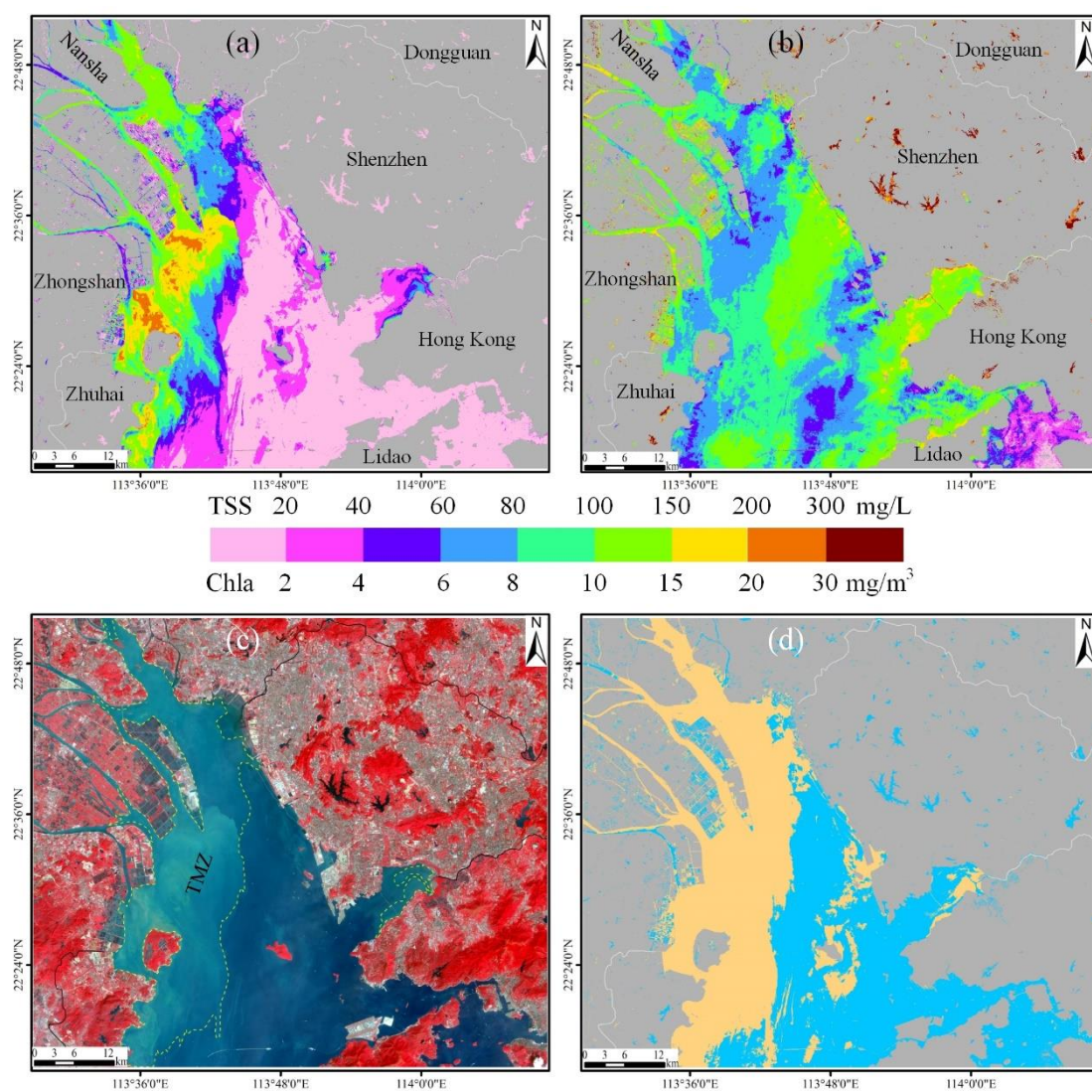
276

Fig. 7. False color imagery (USGS 1982; NASA 2001) and the visual interpretation TMZ results

(regions indicated by yellow dashed frames) (Wang et al., 2020b, 2021) (a), and the extracted TMZ

results, indicated by mango colors (b) in the PRE on November 20, 2004 (low-flow season).

3.3 Validation of the accuracy of TMZI in different seasons

Due to the complexity of hydrodynamic environments, the estuarine factors and

water color elements show significant variations in different seasons, even in the same

estuary at different times of the day. Therefore, this study further validated the accuracy

of TMZI for extracting TMZ in the PRE during the high-flow season (October 18, 2015).

Fig. 8(a) and (b) demonstrate the retrieved TSS and Chla concentration results in

the high-flow season of PRE. The results in different seasons are significantly different

(Figs. 4 and 8). On October 18, 2015, TSS concentrations in the PRE had wider

variables, ranging from 2.23 to 286.6 mg/L. However, the water bodies with high TSS

concentrations (more than 80 mg/L) were mainly in the outlets of four waterways,

namely, the Humen, Jiaomen, Hongqimen, and Hengmen waterways. The other regions

17

of the PRE have significantly lower TSS concentrations of generally less than 20 mg/L
(Fig. 8a). Similar to the corresponding features between TSS and Chla concentrations
in the low-flow season, the almost opposite spatial characteristics remain in the high-
flow season. For regions with relatively high (low) Chla concentrations there are
relatively low (high) TSS concentrations (Figs. 8a and b). Notably, the eastern Lidao
district coastal water bodies are an exception, with the same results in the low-flow
season (Fig. 4). Both TSS and Chla concentrations in the zone are relatively low (Figs.
4 and 8).

Fig. 8. The estimated TSS concentrations (a), Chla concentrations (b), false color imagery (USGS 1982; NASA 2001), and the visual interpretation TMZ results (regions indicated by yellow dashed frames) (Wang et al., 2020b) (c), and extracted TMZ results, indicated by mango colors mango colors (d) in the PRE on October 18, 2015 (high-flow season).
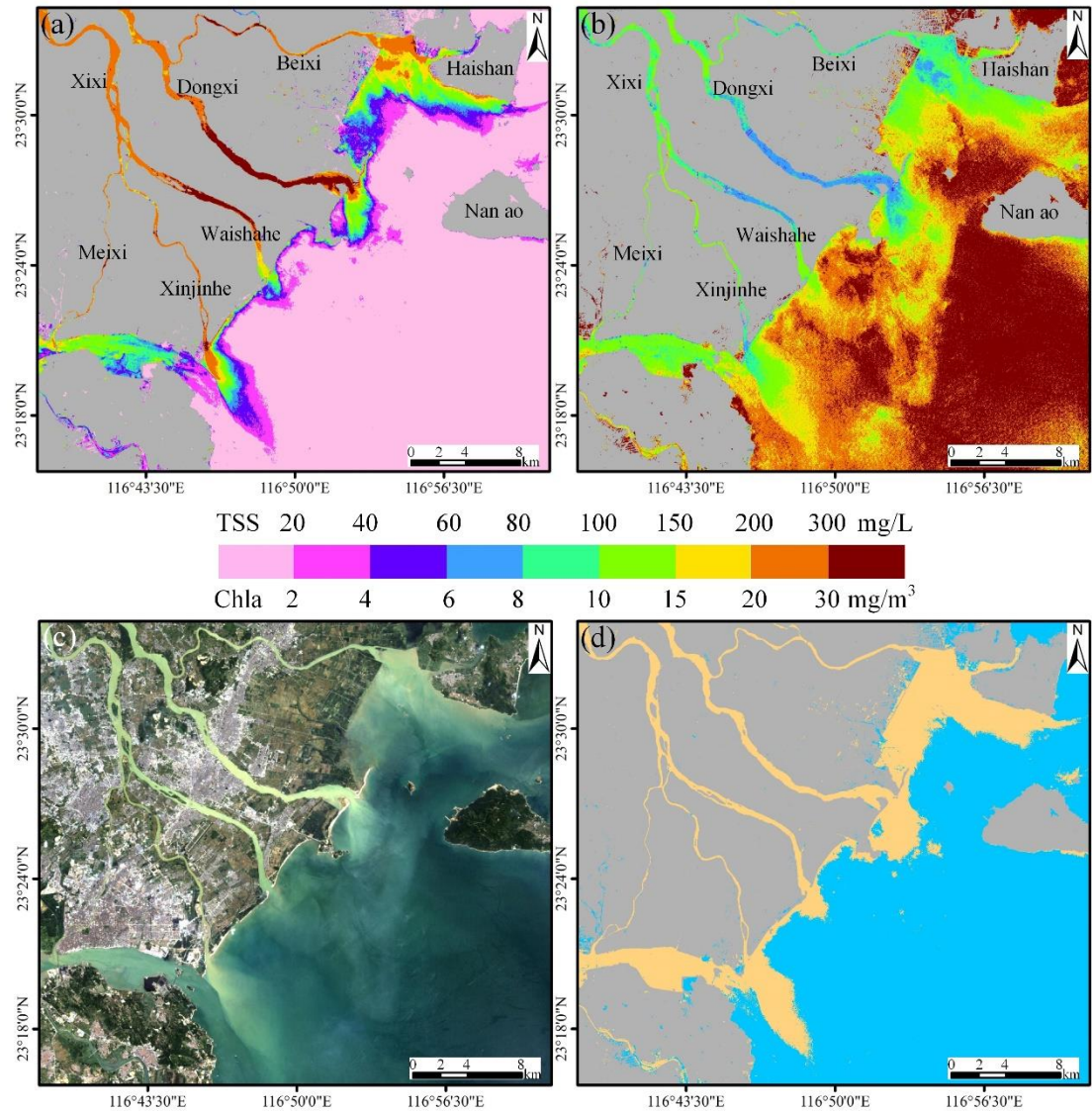
Using the results of TSS and Chla concentrations of the PRE on October 18, 2015, the TMZI was calculated and TMZs of the PRE were extracted in the high-flow season (Fig. 8d). Compared with the visual interpretation TMZ results (Fig.8 c) (Wang et al., 2020b), the area-based accuracy measures show that the quality of extraction is 0.8171. It is also indicated that an acceptable accuracy is obtained by TMZI in the high-flow season of the PRE. In addition, only one main TMZ remains along the west coast of the PRE (Fig. 8d), which is similar to one of the main TMZs in the low-flow season of 2004 (Fig. 7b). However, clear differences remain in different seasons, such as TMZs in the Hongqimen and Hengmen waterways and the eastern Zhuhai coasts (Figs. 7b and 8d). The other TMZs in the high-flow season of 2015 are mainly located in the surrounding Dachanwan Wharf of Shenzhen and Neilingding Island. The distributions are less apparent than those in the low-flow season of 2004 (Fig. 7b). Besides, two relatively small isolated TMZs can be found on the two artificial islands of the Hong Kong-Zhuhai-Macao Bridge (Fig. 8d), which may imply the associated influence of human activities.

3.4 Assessment of the applicability of TMZI in different estuaries

323 To further assess the applicability of TMZI in different estuaries, as for the PRE,

324 the corresponding TMZ results in the HRE and the MRE were also calculated and

325 validated.

326

327 **Fig. 9.** The estimated TSS concentrations (a), Chla concentrations (b), true color imagery (USGS

328 1982; NASA 2001) (c), and extracted TMZ results, indicated by mango colors (d) in the HRE on

329 August 13, 2008 (high-flow season).

330 Fig. 9 (a) and (b) indicate the results of TSS and Chla concentrations in the HRE

331 on August 13, 2008. The TSS concentrations downstream and in the estuary of the HRE

332 are significantly higher than the outer shelf area, particularly in the downstream of the

333 Dongxi River and Xinjinhe River waterways of the Hanjiang River, with a mean value

334 in excess of 300 mg/L (Fig. 9a). TSS concentrations in the offshore area (South China

335 Sea) are frequently less than 20 mg/L. Therefore, a significant decreasing trend of TSS

336 concentration is found from the northwest to southeast in the HRE (Fig. 9a).

337 Furthermore, the Chla concentrations in the HRE show opposite spatial distributions

338 characteristics, which resembles the findings in the PRE (Figs. 4 and 8). Relatively low

339 Chla concentrations are mainly generally found in the downstream and estuary, and the

340 outer shelf area has high values (Fig. 9b). The Chla concentrations in the HRE range

341 from 4.1 to 37.3 mg/m^3 (Fig. 9b), which is slightly higher than that of the PRE (Figs. 4

342 and 8).

343 The TMZ extraction results for the HRE are shown in Fig. 9(d). The TMZs are

344 distributed in all the downstream and estuaries of the Hangjiang River. They can be

345 divided into four main TMZs based on different waterways, namely, the Beixi, Dongxi,

346 Waishahe, Xinjinhe, and Meixi waterways of the Hanjing River. The maximum TMZ

347 is located within an average distance of 3 km from the Beixi estuary, western Haishan
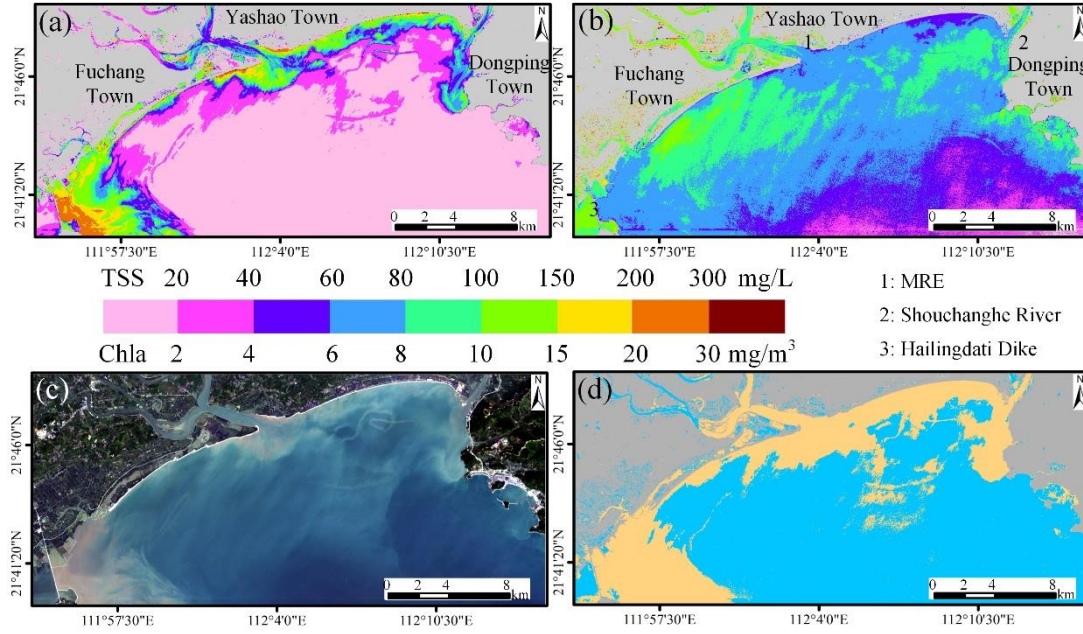
coast, and the coastlines between the Beixi and Dongxi estuaries. The second largest

TMZ of the HRE is distributed from the Meixi to the Xinjinhe estuaries. The region of

the main TMZ of the Xinjinhe estuary appears knife-shaped, which is mainly caused

by the runoff of the Xinjinhe waterway and the flow guiding line connected to Longhu

District, Shantou City (Fig. 9 d) (Wang et al., 2017a). The other two relatively smaller

TMZs are distributed in the Dongxi and Waishahe estuaries, respectively. The results

indicate that the TMZ distribution in the HRE is mainly related to tide, runoff, estuarine

topography, and human activity.

In the MRE, the region of high TSS concentrations is mainly distributed at an

average distance of 1.2 km from the Yangjiang coastlines, particularly in the eastern

Hailingdati dike water bodies, with a mean value of more than 150 mg/L (Fig. 10a).

The outer shelf area has significantly lower TSS concentrations of generally less than

35 mg/L. The Chla concentrations in most regions of the MRE are more than 4 mg/m^3,

except for the southwestern Dongping town coastal water bodies, where Chla

concentrations mainly range from 2 to 4 mg/m^3. The Chla concentrations in the

Moyangjiang River downstream, Fuchang town coast, and outside of the Shouchanghe

River estuary have relatively high values of frequently greater than 8 mg/m^3 (Fig. 10b).

Compared to the PRE and the HRE, the corresponding relationship between TSS and

Chla concentrations in the MRE is slightly weak. However, a trend of high (low) TSS

concentrations in water bodies with relatively low (high) Chla concentrations remains

(Figs. 10a and b).

Fig. 10. The estimated TSS concentrations (a), Chla concentrations (b), true color imagery (USGS 1982; NASA 2001) (c), and extracted TMZ results, indicated by mango colors (d) in the MRE on December 6, 2013 (Low-flow season).

Figs. 10 (c) and (d) indicate the true color imagery of the MRE and the TMZs extraction results. There are two main TMZs in the MRE on December 6, 2013. The first TMZ is mainly distributed from the inside and outside of the Moyangjiang River estuary to the Shouchanghe River estuary, with a distance of approximately 1.8 km from the coastlines (Fig. 10d). The distribution of TMZ in this region is mainly attributed to the interaction of tide and runoff. Another main TMZ is in the regions 4 km from the Hailingdati dike, and is mainly caused by obstruction against ocean currents (Fig. 10d). In addition, several small, long, and narrow TMZs are accuracy extracted through TMZI with the same criterion as that in the PRE and the HRE.

23

382   The results of the three estuaries and the comparison and accuracy assessment

383 indicate that extracting TMZ based on TMZI and the criterion (TMZI > 0.2) has a high

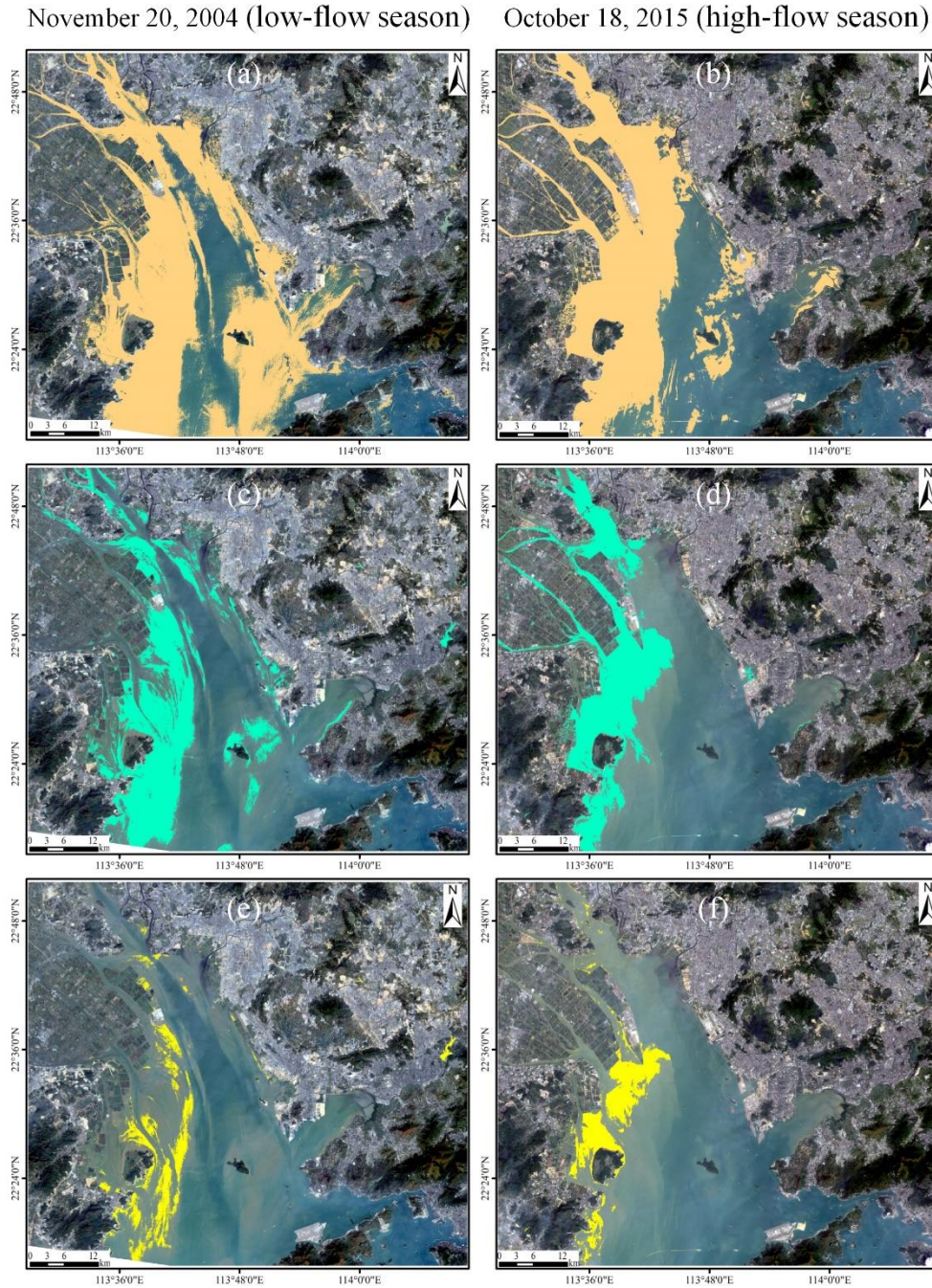384 applicability in multiple eatuaries and different seasons.

3.5 Comparison with the previous methods

386   Previous studies have extracted TMZ based mainly on the threshold of TSS

387 concentrations or turbidity. For example, Jalón-Rojas et al. (2016) used thresholds of

388 500 mg/L (300 NTU) and 1000 mg/L (600 NTU) to define moderately concentrated

389 TMZ and highly concentrated TMZ in the Loire Estuary in France; Jiang et al. (2013)

390 and Li et al. (2019) defined TMZ as the areas with TSS values larger than 700 mg/L in

391 Yangtze Estuary and Hangzhou Bay. For TMZ in the PRE, it was found that TSS values

392 in studies by Shi et al. (2017) and Wai et al. (2004) were more than 89.4 mg/L and

393 about 150 mg/L, respectively. Based on the two criteria (TMZ: TSS > 89.4 mg/L or

394 TSS > 150 mg/L), this study calculated and extracted TMZs in the PRE (Fig. 11c-f).

395   Compared to the visual interpretation TMZ results (Figs. 7a and 8c), the TMZ

396 extraction results in the PRE based on the criterion of Shi et al. (2017) are superior to

397 those of Wai et al. (2004), on   November 20, 2004 (Fig. 11c vs. Fig. 11e, low-flow

398 season) or October 18, 2015 (Fig. 11d vs. Fig. 11f, high-flow season). The extraction

399 quality based on the criteria of Shi et al. (2017) and Wai et al. (2004) are 0.4238, 0.4770

400 and 0.1046, 0.1661, respectively. The primary reason may be that the time of the data

401 source in Shi et al. (2017) was closer to the present study than that in the study by Wai

402 et al. (2004). This means that the criterion of Shi et al. (2017) was more suitable for this

403 study than that of Wai et al. (2004).

November 20, 2004 (low-flow season)   October 18, 2015 (high-flow season)

404

405 **Fig. 11.** The true color imagery (USGS 1982; NASA 2001) and TMZ extraction results in the PRE

406 at different time (a, c, e: November 20, 2004; b, d, f: October 18, 2015) based on the TMZI method

407 of this study (a and b, regions indicated by mango color, as in Fig. 7b and Fig. 8d), the criterion by

25

408   Shi et al. (2017) (c and d, regions indicated by cyan color), and the criterion by Wai et al. (2004) (e

409   and f, regions indicated by yellow color).

410   It was also found that a relatively good result was obtained in the west shoal of the

411   PRE on November 20, 2004, according to the criterion of Shi et al. (2017) (Fig. 11c).

412   The extracted TMZs are almost consistent with the reality compared to the true color

413   imagery and the visual interpretation TMZ results (Wang et al., 2020b, 2021). However,

414   the accuracy in the east shoal and surrounding Neilingding Island of the PRE is lower

415   than in the west shoal, where obvious distributions of TMZs are not recognized

416   effectively (Fig. 11c). Furthermore, the same criterion does not work well in the western

417   shoal of the PRE at different times (Fig. 11c vs. Fig. 11d). Almost one-third of the

418   distributions of TMZs in the western shoal of the PRE during the high-flow season are

419   not distinguished and extracted (Fig. 11d). The results based on the criteria of previous

420   studies, indicate that fixed thresholds have a distinct disadvantage when extracting

421   TMZ at different times or in estuaries.

422   Based on the evaluation and analysis of all the above results (Figs. 7-11), TMZI

423   could be widely and effectively applied for the accurate extraction of estuarine TMZ,

424   regardless of the significant variations in hydrodynamic environments, TSS and Chla

425   concentrations in different estuaries and seasons. Compared to previous studies and the

426   results from fixed thresholds, it is concluded that TMZI has significant potential to

427   develop into a unified model for distinguishing and extracting TMZ effectively and

428   accurately in many other estuaries globally (Q: 0.8171-0.8429 vs. 0.1046-0.4770).

26

4 Summary and Conclusions

This study established and developed a novel model (turbidity maximum zone index) based on TSS and Chla concentration, to distinguish estuarine turbidity maximum zone from Landsat imageries. It was found that both TSS and Chla concentrations showed significant variations and different characteristics in the PRE, the HRE and the MRE in different times (Figs. 4 and 8-10). A corresponding relationship between TSS and Chla concentrations in the three estuaries of Guangdong Province remains. In this study, the Chla and TSS concentrations showed almost opposite spatial distribution characteristics, where relatively high (low) Chla concentrations corresponded exactly to the relatively low (high) TSS concentrations (Figs. 4-5 and 8-10). Therefore, here, the turbidity maximum zone index (TMZI) was defined and designed as the ratio of the difference and sum of the logarithmic transformation of TSS and Chla concentrations.

Compared with the true (false) color imagery and the visual interpretation TMZ results, it was found that the TMZ extraction results by TMZI were consistent with the reality (Figs. 7-10; Q: 0.8171-0.8429). Notably, the criterion used for extracting TMZs in different estuaries and seasons was the same (TMZI > 0.2). In addition, reasonable accuracy and a better performance were obtained by TMZI compared with the previous fixed TSS concentration or turbidity threshold (Fig. 11; Q: 0.8171-0.8429 vs. 0.1046-0.4770), demonstrating that TMZI has a higher adaptability and robustness.

449   The results of this study indicate that there is significant potential for optimizing

450   TMZI to distinguish and extract TMZs from multi-source satellite remote sensing, such

451   as Sentinel, Aqua & Terra-MODIS, Envisat MERIS and SeaWiFS. This will also assist

452   in establishing and developing a global unified criterion for extracting TMZs effectively

453   in different estuaries and at different times.

Code and data availability

455   All the Landsat remote sensing imageries are fully available at

456   https://glovis.usgs.gov/ (USGS 1982; NASA 2001).

Author Contribution

458   The individual contributions and responsibilities of the authors are listed as

459   follows: Chongyang Wang and Li Wang designed the research and wrote the paper;

460   Chenghu Zhou and Dan Li guided the research process; Danni Wang, Qiong Zheng,

461   Hao Jiang, Kai Jia and Yangxiaoyue Liu collected and analyzed the data; Shuisen Chen,

462   Ji Yang, Xia Zhou and Yong Li revised the manuscript, provided some comments and

463   helped edit the manuscript. All authors have read and agreed to the published version

464   of the manuscript.

Competing interests

466   The authors declare that they have no conflict of interest.

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