

**Abstract.** Sub-seasonal to seasonal (S2S) prediction, especially the prediction of extreme hydroclimate events such as droughts and floods, is not only scientifically challenging but has substantial societal impacts. Motivated by preliminary studies, the Global Energy and Water Exchanges (GEWEX)/Global Atmospheric System Study (GASS) has launched a new initiative called “Impact of initialized Land Surface temperature and Snowpack on Sub-seasonal to Seasonal Prediction” (LS4P), as the first international grass-root effort to introduce spring land surface temperature (LST)/subsurface temperature (SUBT) anomalies over high mountain areas as a crucial factor that can lead to significant improvement in precipitation prediction through the remote effects of land/atmosphere interactions. LS4P focuses on process understanding and predictability, hence it is different from and complements, other international projects that focus on the operational S2S prediction. More than forty groups worldwide have participated in this effort, including 21 Earth System Models, 9 regional climate models, and 7 data groups.

This paper overviews the history and objectives of LS4P, provides the first phase experimental protocol (LS4P-I) which focuses on the remote effect of the Tibetan Plateau, discusses the LST/SUBT initialization, and presents the preliminary results. Multi-model ensemble experiments and analyses of observational data have revealed that the hydroclimatic effect of the spring LST in the Tibetan Plateau is not limited to the Yangtze River basin but may have a significant large-scale impact on summer precipitation beyond East Asia and its S2S prediction. Preliminary studies and analysis have also shown that LS4P models are unable to preserve the initialized LST anomalies in producing the observed anomalies largely for two main reasons: i) inadequacies in the land models arising from total soil depths which are too shallow and the use of simplified parameterizations which both tend to limit the soil memory; and ii) reanalysis data, that are used for initial conditions, have large discrepancies from the observed mean state and anomalies of LST over the Tibetan Plateau. Innovative approaches have been developed to largely overcome these problems.

## 1. Introduction

45 Subseasonal-to-seasonal (S2S) prediction, especially the prediction of extreme hydroclimatic events such as droughts and floods, is not only scientifically challenging but also has substantial societal impacts since such phenomena can have serious agricultural, economic, and ecological consequences (Merryfield et al., 2020). However, the prediction skill for precipitation anomalies in spring and summer months, a significant component of extreme climate events, has remained

50 stubbornly low for years. It is therefore important to understand the sources of such predictability and to develop more reliable monitoring and prediction capabilities. Various mechanisms have been attributed to the S2S predictability. For instance, oceanic basin-wide tropical sea surface temperature (SST) anomalies are known to play a major role in causing extreme events. The connection between SST [e.g., El Niño Southern Oscillation (ENSO), Pacific Decadal Oscillation

55 (PDO), Atlantic Multidecadal Oscillation (AMO), and Madden–Julian oscillation (MJO)] and the associated weather and climate predictability has been extensively studied for decades (Trenberth et al., 1988; Ting and Wang, 1997; Barlow et al., 2001; Schubert et al., 2008; Jia and Yang, 2013; Seager et al., 2014). The linkage of extreme hydrological events to tropical ocean basin SST anomalies allows us to predict them with useful skill at long lead times, ranging from a few months

60 to a few years. Despite significant correlations and demonstrated predictive value, numerous studies based on observational data analyses and numerical simulations have consistently shown that SST alone only partially explains the phenomena of predictability (Rajagopalan et al., 2000; Schubert et al., 2004, 2009; Scaife et al., 2009; Mo et al., 2009; Rui and Wang, 2011; Pu et al., 2016; Xue et al., 2016a, b, 2018; [Orth and Seneviratne, 2017](#)). For instance, the 2015-2016 El

65 Niño event, one of the strongest since 1950, was associated with an extraordinary Californian drought, while the 2016-2017 La Niña event has been related to record rainfall that effectively ended the 5-year Californian drought, contrary to established canonical SST-Californian drought/flood relationships. In South America, there is also an example where the canonical association of thermally direct, SST-driven atmospheric circulation fails (Robertson and Mechoso,

70 2000; Nobre et al., 2012). Although an important role for random atmospheric internal variability in such extreme events has been proposed (Hoerling et al., 2009), such exceptions in explaining vital hydroclimatic extreme events as well as low prediction skill underscore the need to seek explanations beyond current traditional approaches. It is therefore imperative to explore other avenues to improve S2S prediction skill.

75 Studies have demonstrated that the predictive ability of models may come from their capability to represent land surface features that have inertia, such as vegetation (evolving cover and density), soil moisture, snow, among others (e.g., Xue et al., 1996a, 2010b; Lu et al., 2001; Delire et al., 2004; Koster et al., 2004, 2006; Gastineau et al., 2017). Most land/atmosphere interaction studies have focused on local effects, for instance, such as those in the previous Global

80 Land Atmosphere System Study (GLASS) experiment (Koster et al., 2006). The possible remote (non-local) effects of large-scale spring land surface/subsurface temperature (LST/SUBT) anomalies in geographical areas upstream of the areas which experience late spring-summer drought/flood, an underappreciated relation, have largely been ignored until recent preliminary modeling and data analyses studies ~~discover~~revealed the important role of high mountain

85 LST/SUBT in S2S predictability and stimulate the research in this direction. For instance, ~~o~~Observational data in the Tibetan Plateau and the Rocky Mountains have shown that land surface temperature anomalies can be sustained for entire seasons, and that they are accompanied by persistent subsurface temperature, snow and albedo anomalies (Liu et al., 2020). Since only 2-m air temperature (T-2m) has global coverage, and because its values are ~~is~~ very close to LST in

90 stations with measurements for both (Liu et al., 2020; also see the discussion in Section 5.1), observed T-2m data have been used in diagnostic studies to identify spatial and temporal characteristics of land surface temperature variability and its relationship with other climate variables. Figure 1 exhibits the persistence of the monthly mean difference of T-2m between warm and cold Mays, which are selected based on a threshold of one-half standard deviation during the

95 period 1981-2010. Please note, the warm and cold years that are selected based on Mays values are applied to other months in the figure. Those anomalies can persist for several months, especially during the spring. Preliminary studies have been carried out to explore the relationship between spring LST/SUBT anomalies and summer precipitation anomalies in downstream regions in North America and East Asia (Xue et al., 2002, 2012, 2016b, 2018; Diallo et al., 2019). Data

100 analyses from these studies identify significant correlations between springtime T-2m cold (warm) anomalies in both the Rocky Mountains and Tibetan Plateau and respective downstream drought (flood) events in late spring/summer. Modeling studies using the NCEP Global Forecast System (GFS, Xue et al., 2004) and the regional climate model version of Weather Research and Forecasting (WRF; Skamarock et al., 2008), both of which were coupled with a land model

105 Simplified Simple Biosphere Model (SSiB<sub>2</sub>) (Xue et al., 1991; Zhan et al., 2003) using observed T-

2m and reanalysis data as constraints, have also suggested that there is a remote effect of land temperature changes in the Rocky Mountains and the Tibetan Plateau on their respective downstream regions with a magnitude comparable to the more familiar effects of SST and atmospheric internal variability. Recent studies have further revealed the presence of LST/SUBT  
110 effects in other seasons and regions (Shukla et al., 2019). These studies largely have stimulated the scientific community's interest in pursuing this issue further with multi-models, which will be discussed in next Section.

The main hypothesis is that LST and SUBT anomalies in early spring carry information about the energy and water balances ~~amount of water locked~~ in frozen ground, which is related to  
115 ~~(i.e.,~~ the amount of snow/ice on the ground and in the frozen soil layer below) ~~which that~~ is melted in late spring and early summer, as well as the thermal status from the preceding winter which has a long memory. The more snow/ice on the ground and in the frozen soil layer, the longer the seasonal transition from spring to summer. The timing of such a seasonal transition over high elevation areas in the western part (upstream) of the land mass plays an important role in setting  
120 up the circulation pattern downstream over the lower elevation areas to the east. The strength as well as the duration of LST/SUBT interactions with downstream circulation patterns should affect the occurrence of droughts or floods in late spring/summer over the eastern part of the continents.

~~One factor that is closely related to the LST/SUBT anomaly is light absorbing particles (LAPs) in snow. In particular, the snow darkening effect by LAPs in snow due to deposition of aerosols, e.g. desert dust, black carbon and organic carbon from industrial pollution, biomass burning, and nearby wildfires, can reduce snow albedo which increases the absorption of solar radiation by the land surface. This enhanced energy absorption can alter the surface energy balance, leading to anomalous T 2m and snowmelt during the boreal spring. Recent studies have shown that snow darkening effect can lead to large increases in surface temperature over the Tibetan Plateau in April–May, thereby strongly affecting the subsequent evolution of the jet stream and variability of summertime precipitation over India, East Asia and Eurasia (Lau and Kim 2018, Rashimi et al. 2019, Sang et al. 2019). At present, the representation of snow amount, coverage, and LAPs in snow are either absent or grossly inadequate in most climate models, especially in high mountain regions. This could be one of the major reasons for the large diversity in simulated T 2m conditions in current Earth System Models (ESMs).~~

A number of studies have also started to pursue the potential causes of the spring LST/SUBT anomaly in the Tibetan Plateau and the Rocky Mountains. Analyses based on observational station data over the Tibetan Plateau show that the LST anomaly is highly correlated with anomalous snow, surface albedo and SUBT in the preceding months. Using data from an 140 off-line model incorporating permafrost processes (Li et al., 2010) driven with observed meteorological data as forcing over the Tibetan Plateau, a regression model can predict a LST anomaly at the monthly and seasonal scales, with surface albedo and middle-layer (40–160 cm) SUBT as predictors (Liu et al., 2020). Additional analyses using observational data show that spring LST in the Tibetan Plateau is significantly coupled with the regional snow cover in 145 preceding months. The latter is also strongly coupled with February atmospheric circulation patterns and wave activity in mid-to-high latitudes (Zhang et al., 2019). Moreover, a modeling study focusing on North America (Broxton et al., 2017) showed that snow water equivalent (SWE) anomalies more strongly affect April–June temperature forecasts than SST anomalies. It is likely that a temporary filtered response to snow anomalies may be preserved in the LST and SUBT 150 anomalies, and this mechanism deserves further investigation. Additional research on the causes of LST/SUBT anomalies and the association with LAPs in the snow would likely help us to better understand the sources of S2S predictability.

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In the following text, Section 2 introduces the historical development of [the initiative](#) “Impact of initialized Land Surface temperature and Snowpack on Subseasonal to Seasonal Prediction” (LS4P) and its research objectives. Section 3 presents the LS4P Phase I protocol (LS4P-I): its experimental design and model output requirements. Section 4 discusses causes of 170 current LS4P-I models’ deficiencies in preserving land memory and possible approaches for improvement. Section 5 briefly presents some preliminary LS4P-I results and discusses the future plan and [prospectives.perspectives](#).

## **2. Development of the Initiative on “Impact of initialized Land Surface temperature and 175 Snowpack on Subseasonal to Seasonal Prediction” (LS4P) and its link to other S2S Prediction Programs**

Although T-2m measurement has the longest meteorological observational record with global coverage and the best quality among various land surface variables, its application in S2S prediction has largely been overlooked. Preliminary experiments to test the impact of model 180 initialization of LST/SUBT on the S2S prediction [as presented in previous section](#) are encouraging, but the results were obtained from only one ESM and one RCM, with North America and East Asia as the focus regions (Xue et al., 2016b, 2018). Due to the existing shortcomings and uncertainties associated with [individual](#) models, it is imperative to have a multi-model approach in order to further test the LST-memory hypothesis and to explore predictability in more regions. 185 Furthermore, since LS4P proposes a new approach, involving a decade-long effort to explore, test, and understand the concept, as well as to develop a proper methodology for the use of ESMs and RCMs, it is also imperative to disseminate information related to the LST/SUBT approach, including lessons-learned and experience, such that more research groups can understand the approach/methodology and test the LST/SUBT effect.

190 With the preliminary results revealing the promising use of T-2m for LST/SUBT S2S prediction thereby opening a new gateway for improving S2S prediction, the Global Energy and Water Exchanges (GEWEX) and GEWEX/Global Atmospheric System Study (GASS) have supported the establishment of a new Initiative called LS4P. The idea for the new initiative was first presented at the 2nd Pan-GASS meeting in Lorne, Australia, in February 2018. The initiative 195 was introduced to the GEWEX community at the GEWEX Open Science Conference in Canmore, Canada, May 2018.

Since the inception of the LS4P in December 2018, more than forty groups worldwide have participated in this effort, including twenty-one (21) ESM groups, many of which are from major climate research centers, nine (9) RCM groups, and seven (7) data groups. A description of the 200 major components of each of the ESM and RCM models is summarized in Appendix A. The main data products that are relevant to the LS4P research form the data group are presented in Section 3.1. A complete listing of LS4P group information can be found at <https://ls4p.geog.ucla.edu/>. Because LS4P takes a new approach in S2S prediction, GEWEX, the Third Pole Environment (TPE), and the U.S. National Science Foundation have supported two workshops at the American 205 Geophysical Union Fall Meeting in December 2018 and December 2019, and another one at the Nanjing University, China in July 2019. The workshop goals were to discuss and develop the project, and to provide training for the modeling groups to better understand and practice the LST/SUBT approach (Xue et al., 2019 a, b).

The LS4P activities are closely related to a number of ongoing international projects. S2S 210 prediction is the topic of a joint project of the World Weather Research Program (WWRP) & World Climate Research Program (WCRP) which aims to improve understanding and forecast skill at the S2S timescale, between two weeks and a season (WMO, 2013, Vitart et al., 2017; Merryfield et al., 2020). Their S2S project has the study of land initialization and configuration 215 as one of its major activities. The LS4P research activities to address these scientific challenges are consistent with those of the WWRP/WCRP S2S project. The LS4P activity is also closely related to the TPE program. The TPE has closely worked with LS4P to provide and maintain a 220 data base to support this project, which are discussed in Section 3.1 and Appendixes C and D. The first phase of LS4P will be a joint effort with the TPE Earth System Model Inter-comparison Project (TPEMIP), which focuses on regional-scale Earth system modeling over the high elevation Tibetan Plateau region. The LS4P initiative is also relevant to the GLASS Panel because 225 estimating the contribution of land memory to atmospheric predictability from convective to seasonal timescales is one of its main themes. This requires an understanding of the key physical interactions between the land and the atmosphere, and how feedbacks can change the subsequent evolution of both the atmosphere and the land state. The focus of LS4P on soil temperature also complements GLASS's research on the role of soil moisture as it pertains to land-atmosphere coupling and predictability. LS4P has interacted with these project groups and developed the experiments which support and complement their planned research activities.

This LS4P project intends to address the following questions:

- What is the impact of initializing large scale LST/SUBT and LAPs in snow in climate models on S2S prediction in different regions?
- What are the relative roles and uncertainties of the associated land processes compared to those of ocean state~~SST~~ in S2S prediction? How do they synergistically enhance S2S predictability?

LS4P focuses on process understanding and predictability, hence it is different from, and complements, other international projects that focus on the operational S2S prediction. The majority of the models participating in LS4P are ESMs, although, there is a good amount of RCMs involved. Some difficulties have been identified regarding how to apply RCMs for studying the LST/SUBT effect (Xue et al., 2012). The main concern is that imposition of the same lateral boundary conditions (LBC) for RCM's control and anomaly runs may hamper the necessary modification of circulations at larger scales in the anomaly run. This issue will be more comprehensively studied in LS4P using a much larger RCM domain configuration to reduce the LBC control on the large-scale change.

~~In Phase 1, we were mainly looking for first order effects most related to the soil surface and deeper layers. In Phase II, the LS4P will organize multi-models and validation studies, using satellite, and available ground-based observations, among participating model subgroups in order to pursue a better understanding of the relationship among LAPs in snow in high mountain regions, their deposition, snowmelt/albedo reduction, and the corresponding LST/SUBT anomaly, as well as how snow darkening affects the S2S predictability.~~

The project will ultimately consist of several phases, and each of which will focus on a particular high mountain region on one continent as a focal point. The LS4P-I will investigate the LST/SUBT effect in Tibetan Plateau. ~~Testing the effect of LAPs in snow is still in the preparation stage and will not be conducted in the Phase I experiments.~~ The second phase of LS4P will focus on the Rocky Mountains of North America. It is intended that this project will also provide motivation for examining additional high mountains in other continents with similar geographic structure, such as those in South America, for the potential of the LST/SUBT effect to provide added-value to S2S prediction and understanding of the pertinent physical principles. ~~Since the Phase I is mainly looking for first order effects most related to the soil surface and deeper layers, the effect of LAPs in snow in high mountain regions will not be included in the~~ Phase I experiments

except for some individual group efforts , and therefore they will not be presented further in this

260 paper.

### 3. LS4P First Phase Experiment Protocol: Remote Effects of Tibetan Plateau LST/SUBT

The Tibetan plateau region provides an ideal geographic location for the LS4P-I test owing to its  
265 relatively high elevation and large-scale (areal extent) as well as the presence of persistent LST anomalies. The Tibetan Plateau provides thermal and dynamic forcings which drive the Asian monsoon through a huge, elevated heat source in the middle troposphere, and this has been reported in the literature for decades (e.g., Ye, 1981; Yanai et al., 1992; Wu et al., 2007; Wang et al., 2008; Yao et al., 2019). Thus, Aa large impact of the Tibetan Plateau LST/SUBT anomaly  
270 effect should be expected and has been demonstrated in a preliminary test (Xue et al., 2018).

#### 3.1 Observational data for LS4P Phase I (LS4P-I)

The observational data provide the foundation for the LS4P research and are used for the LS4P model initialization, boundary conditions, validation, and other relevant researches activities and

275 are listed in Appendix B. Moreover, t~~There~~ are large amounts of observational data available in the Tibetan Plateau area, which are produced by the data group produced by the data groups, which are participating in LS4P, and are available for the community to conduct further LS4P related researches, such as studying the causes of the LST/SUBT anomalies, the characteristics of the surface and atmospheric processes in Tibetan Plateau etc.~~r~~ The TPE has conducted comprehensive

280 measurements over Tibetan Plateau for more than a decade and has integrated the observational data into the National Tibetan Plateau Data Center (Li et al., 2020), which has more than 2400 different data sets for scientific research focused on the Tibetan Plateau. Featured datasets of high mountainous observations on the Tibetan Plateau include those from the High-cold Region Observation and Research Network for Land Surface Processes & Environment of China (HORN) which contains the meteorological, hydrological and the ecological datasets (Peng and Zhu, 2017); soil temperature and moisture observations (Su et al., 2011; Yang et al., 2013); multi-scale observations of the Heihe River Basin (Li et al., 2017; Liu et al., 2018; Che et al., 2019; Li et al., 2019); and multiple datasets from the coordinated Asia-European long-term observing system for the Tibetan Plateau (Ma et al., 2009).

290 The Third Tibetan Plateau Atmospheric Scientific Experiment (TIPEX-III, Zhao et al.,  
2018) also provides field measurement data for the LS4P project. The Chinese Meteorological  
Administration (CMA) provides some field measurements with long term records. The observed  
CMA monthly mean precipitation and T-2m, and topography data, with a 0.5-degree resolution  
based on station measurements (Han et al., 2019; Liang et al., 2019), are used in LS4P to evaluate the  
295 LS4P models' performance over the Tibetan Plateau and to help produce the LST/SUBT mask for  
model initialization (see Section 4.2 for details). There are 80 stations over the Tibetan Plateau  
covering the period from 1961-2017. Among them, 14 stations have soil temperature  
measurements reaching a depth of 320 cm. After 2006, more station data are available from the  
TPE. This is in contrast with most ground stations around the world, which only include  
300 measurements for shallow soil layers, e.g., only reaching down to 101.6 cm (Hu and Feng, 2004).  
Because of the lack of subsurface measurements, there has been some speculation as to whether  
the LST/SUBT anomaly and memory, as well as the hypothesized relationship between T-  
2m/LST/SUBT truly exist in the real world. [These data provide crucial information to support  
LS4P related researches \(e.g., Liu et al., 2020; Li et al., 2021\).](#)

305 In addition to the ground measurements, satellite products from 1981 to 2018 from the  
Global LAnd Surface Satellite (GLASS, Liang et al., 2013, 2020) data set will also be employed  
for this project. This dataset consists of surface skin temperature, albedo, emissivity, surface  
radiation components, and vegetation conditions ([www.glass.umd.edu](http://www.glass.umd.edu)).

### 310 **3.2 Experimental Design: Baseline and Sensitivity Experiments**

This section describes standard design and configuration for the LS4P-I experiment, which  
315 consists of four tasks ([Table 1](#)). May and June 2003 are the time periods which have been selected  
for the main tests. The summer of 2003 was characterized by a severe drought over the southern  
part of the Yangtze River Basin in eastern China, with an average anomalous precipitation rate of  
-1.5 mm/day over the area bounded by 112-121°E & 24-30°N<sup>1</sup>. The drought resulted in  $100 \times 10^6$   
kg crop yield losses, along with an economic loss of 5.8 billion Chinese Yuan (Zhang & Zhou,  
2015). To the north of the Yangtze River, there was above normal precipitation, with [anomaly](#)  
precipitation rates of 1.32 mm/day over the area within 112-121°E & 30-36°N<sup>2</sup>. Over the same

1 See black box in Figure 6b for reference.

2 See red box in Figure 6b for reference.

time period, observational data show a cold spring over the Tibetan Plateau; the average T-2m in  
320 May above 4000m was about -1.4°C below the climatological average. Maximum Covariance  
Analysis (MCA, Wallace et al., 1992; Von Storch & Zwiers, 1999) showed a positive/negative lag  
correlation between the May T-2m anomaly in the Tibetan Plateau and a June precipitation  
anomaly to the south (north) of the Yangtze River. Meanwhile, a preliminary modeling study  
revealed the causal relationship between the May T-2m/LST/SUBT anomaly over the Tibetan  
325 Plateau and the June drought/flood in East Asia (Xue et al., 2018). LS4P intends to further test  
and confirm such causal relationships with multiple state-of-the-art ESMs in order to assess the  
uncertainty, and to compare the T-2m/LST/SUBT effect with that of the [ocean state SST](#).

**(1). Task 1.** In Task 1, each modeling group conducts a 2-month simulation starting from  
around late April to May 1 (e.g., April 27, 28...May 1, ...) through June 30, 2003, consisting in a  
330 multi-member ensemble. Each group decides whether they use observed May and June 2003 SST  
and sea ice to specify the ocean surface conditions, which is similar to the AMIP (Atmospheric  
Model Intercomparison Project) [type of experimental protocol](#), or to use the specific ocean initial  
condition at the beginning of the model integration (for those ESMs which can run a fully coupled  
land-atmosphere-ocean configuration), similar to the CMIP (Coupled Model Intercomparison  
335 Project) [type of experiments](#), or both. The reanalysis data are used as atmospheric and land initial  
conditions (as these ESM groups usually do). Since the spin-up time for different models for the  
S2S simulation varies, some groups start their simulations earlier than May 1, for example, on  
April 1 or even earlier. LS4P does not require a specific number of ensemble members: each  
modeling group makes the decision based on their normal practice in performing their S2S  
340 simulations, but it is required by LS4P that there should be no less than 6 members. The main  
purpose of Task 1 is to evaluate the performance of each model for the May 2003 T-2m and the  
June 2003 precipitation.

The evaluation of Task 1 results will be used to check: (1) model biases in terms of the  
May 2003 T-2m across the Tibetan Plateau and in terms of June precipitation in the South and  
345 North Yangtze River Basins (see the corresponding black/red boxes in Figure 6b as a reference);  
(2) the lag relationship between these two biases; and (3) the model's ability to produce the  
observed May 2003 T-2m anomaly in the Tibetan Plateau and the June precipitation anomaly over  
the areas as listed in criterion (1). The CMA May 2003 T-2m and June 2003 precipitation, these  
two variables' climatologies, as well as topography data with a 0.5-degree resolution [\(as discussed](#)

350 in Section 3.1) are used to calculate model biases, root-mean-square errors (RMSE), and anomalies; ~~the models' performances are then checked~~. When calculating the bias, it should be noted that the elevations of the T-2m observational data and model surface are usually not at the same levels, especially in high mountain regions. The observing stations tend to be situated in valleys and are generally at a lower elevation than the mean elevation of a model grid box. Before 355 calculating the model bias, the model-simulated T-2m data must be adjusted with a proper lapse rate to the elevation height of the observational data ~~as discussed in~~ (Xue et al., 1996a) and (Gao et al., 2017).

~~The major goals of Task 1 are to check whether most ESMs have large biases in simulating these two variables and whether they are able to produce the observed T-2m and precipitation anomalies.~~—The relationship between these two biases are evaluated to see whether they are consistent with the observed lag anomaly relationship, i.e., whether a cold/warm bias in May T-2m over the Tibetan Plateau is associated with a dry/wet bias in the South Yangtze River Basin, and an opposite bias to the North of the Yangtze River Basin. The consistency between these relationships would suggest the possibility that reducing the May T-2m bias may reduce the June 365 precipitation bias. ~~if the observed May land surface temperature anomaly on the Tibetan Plateau does contribute to the observed June East Asian precipitation anomaly.~~ In other words, if a model can produce the observed May T-2m anomaly, it may also be able to produce the observed June precipitation anomaly.

The discoveries from Task 1 will provide crucial information for the LS4P project ~~as it to~~ 370 pursues its objectives as discussed in Section 2. If the LS4P ESMs produced no large bias in precipitation and T-2m and/or they ~~were~~ able to simulate the observed anomaly well over Tibetan Plateau and eastern China, the justification for LS4P ~~approach~~ would be questionable. ~~Furthermore, s~~Should the model bias relationship between the May T-2m and the June precipitation be the opposite of the observed anomaly relationship of these two variables, it would 375 also be difficult, if not impossible, to pursue the LS4P approach further for these models. The preliminary assessments, however, are encouraging and strongly support the need for LS4P to further pursue its goals, and they will be briefly demonstrated in Section 5. It should be pointed out that the evaluation of the bias relationship between May T-2m in the Tibetan Plateau and June precipitation in eastern China is just a necessary condition for LS4P to pursue its approach. ~~i.e., to~~ 380 ~~propose a hypothesis.~~ It is not sufficient to guarantee the model can improve the June precipitation

prediction by using improved May T-2m initial conditions. Only Task 3, as discussed below, will serve this purpose.

(2). **Task 2.** A number of LS4P modeling groups are from big climate modeling centers, and, as such, have the required climatological runs already in their respective data bases. Those 385 groups are required to send each year's global May T-2m and June precipitation from their climatological runs. Since different centers have different years in their climatology, LS4P only requires the climatological data set covering the time period from around 1981 to around 2010. The CMA precipitation and T-2m data averaged over 1981-2010 are employed to assess the simulated climatology biases and RMSE from these groups. The purpose of this task is to check 390 whether the major bias features that we found in Task 1 based on year 2003 for the LS4P ESMs are also present in the modeled climatologies. Please note that discrepancies between simulated and observed fields are commonly referred to as biases, although differences for the 2003 are not biases in the strict statistical sense, but for simplicity we use the term "bias" to refer to all these difference in this paper as did in Pan et al. (2001). Our premise is that the large biases in the high 395 elevation Tibetan Plateau region and in the East Asian drought/flood simulation produced by the LS4P ESMs are also persistent in the models' climatology. As such, any progress achieved in LS4P-I will not be limited to only one individual year, i.e., year 2003, but should have a broader implication. This issue will be further addressed in Section 5.

(3). **Task 3.** Task 3 is the main LS4P experiment, which tests the effect of the May 2003 400 T-2m anomaly in the Tibetan Plateau on the June 2003 precipitation anomaly. Thus far, every ESM has a large bias in producing the observed May T-2m anomaly in the Tibetan Plateau, and so does the reanalysis data, which are used by the ESMs for atmospheric and surface initialization (see more discussion in Section 4.1). To reproduce the observed May T-2m anomaly in the Tibetan 405 Plateau, which is the surface variable interacting with the atmosphere by influencing surface heat and momentum fluxes and affecting upwelling longwave radiation, initialization of the LST/SUBT has to be improved to generate the T-2m anomaly in the model simulation. Preliminary research inwithin the LS4P modeling group suggests that prescribing both LST and SUBT initial anomalies based on the observed T-2m anomaly and model bias is the only way for the current ESMs to 410 produce the observed May T-2m anomalies, unless the observed T-2m is specified during the entire model simulation, which would be a difficult task because, unlike specifying SST, LST has a large diurnal variation. It should also be pointed out that if we do not impose initial SUBT anomalies

in a model simulation, the imposed initial LST anomaly and the corresponding T-2m anomaly would disappear after a couple of days of model integration. Studies based on observational data have shown a high correlation between LST and SUBT, and the memory in the soil subsurface is  
415 one of the major factors for producing soil surface temperature anomalies (Hu and Feng, 2004; Liu et al., 2020).

To improve the LST/SUBT initialization, a surface temperature mask for each grid point,  $\Delta T_{mask}(i, j)$ , over the Tibetan Plateau is produced based on each model bias and the observed climate anomaly. The  $(i, j)$  indexes represent the latitude and longitude coordinates of the grid  
420 point in the model. The initial surface temperature condition for Task 3 at each grid point after applying the mask,  $\tilde{T}_0(i, j)$  will be defined as follows:

$$\tilde{T}_0(i, j) = T_0(i, j) + \Delta T_{mask}(i, j) = T_0(i, j) + [n \times T_{obs\ anomaly}(i, j) - T_{bias}(i, j)]$$

when  $\bar{T}_{obs\ anomaly} \times \bar{T}_{bias} \geq 0$  (1a)

$$\tilde{T}_0(i, j) = T_0(i, j) + \Delta T_{mask}(i, j) = T_0(i, j) + [-n \times T_{obs\ anomaly}(i, j) - T_{bias}(i, j)]$$

when  $\bar{T}_{obs\ anomaly} \times \bar{T}_{bias} < 0$  (1b)

where  $T_0(i, j)$ ,  $T_{bias}(i, j)$ , and  $T_{obs\ anomaly}(i, j)$  correspond to the original model surface initial condition (used in Task 1), monthly mean model bias, and monthly mean observed anomaly, respectively, at grid point  $(i, j)$ . Please note, there are no observed daily data available. The

430  $\bar{T}_{obs\ anomaly}$  and  $\bar{T}_{bias}$  are the averaged observed anomaly and model bias, respectively, over the entire area where the mask is intended to be applied, such as the Tibetan Plateau. Equation 1a is applied for the situation when observed anomaly and model bias have the same sign, while Equation 1b is used when observed anomaly and model bias have different signs, regardless whether the anomaly is positive or negative. Figure 2 shows schematic diagrams for imposed  
435 masks for surface temperature initialization under different conditions, which delineates the concept for the mask formulation. In this figure, a cold year (such as year 2003 that is used in the LS4P Phase I) is selected for demonstration. A schematic diagram, also based on Equation 1, for the warm year (such as year 1998) was displayed in Supplemental Figure S1 for readers' reference to help them to organizing their own experiments with different scenarios.

440 In Equation 1, we use  $\bar{T}_{obs\ anomaly}$  and  $\bar{T}_{bias}$  to determine whether Equation 1a or 1b is employed because even if a model has a general strong warm/cold bias for the entire area, there are always a few grid points where the bias is reversed. Using  $\bar{T}_{bias}$  as a criterion in equation 1

will prevent the initial conditions of those grid points from adjusting in an opposite direction from the majority of other grid points. In other words, if most grid points in Task 3 have higher/lower initial surface temperature than that in Task 1, so do these grid points with opposite bias after imposing the mask. For simplicity, these scenarios are not displayed in Figure 2.

Because all current models are unable to maintain the soil temperature anomaly (or produce adequate soil memory), a tuning parameter “n” (e.g., 1, 2, 3) is introduced. Through trial and error, each model selects a proper “n” intending to produce the  $T_{2m}$  anomaly close to observation. For the subsurface, the “n” may be different from that for LST depending on the ESM’s land surface scheme. But currently, most modeling groups use the same “n” for every soil layer. This approach can be improved after more deep soil layer measurements are conducted such that we have a better idea about the LST and SUBT relationships.

Figure 2 shows schematic diagrams for imposed masks for surface temperature initialization under different conditions, which delineates the concept for the mask formulation. In this figure, a cold year (such as year 2003 that is used in the LS4P Phase I) is selected for demonstration. A schematic diagram, also based on Equation 1, for the warm year (such as year 1998) was displayed in Supplemental Figure S1 for readers’ reference to help them organizing their own experiments with different scenarios.

Equation 1, however, can be applied to both cold and warm anomaly years. In Equation 1, we use  $\bar{T}_{obs anomaly}$  and  $\bar{T}_{bias}$  (i.e., averaged over the Tibetan Plateau) to determine whether Equation 1a or 1b is employed because even if a model has a general strong warm/cold bias for the entire area, there are always a few grid points where have an opposite bias. Using  $\bar{T}_{bias}$  in equation 1 as a criterion will prevent the initial conditions of those grid points from adjusting in an opposite direction from the majority of other grid points. In other words, if majority grid points in Task 3 have higher/lower initial surface temperature than that in Task 1 after imposing the mask, so do these grid points with opposite bias. Figure 2 along with Equation 1 should also help serve as a guide to delineate how these grid points’ initial conditions in Task 3 are/would be adjusted based on Equation 1. The methodology presented here is to create the initial condition  $\sim ( )$  for Task 3, and to produce the observed LST anomaly with the difference between Task 3 and Task 1. One of the LS4P Phase I goals is to examine how such anomaly affects the summer downstream precipitation S2S predictability. For some ESMs, it may not produce the optimal initial condition if they choose observed climatology, not Task 1, as their reference. However,

with the understanding gained from this experiment plus a slight modification of the equation 1, 475 this approach should also serve for this purpose. It needs to be pointed out that  $\bar{T}_{bias}$  in some cases may not be available. In section 5, we will show that for a model the  $\bar{T}_{bias}$  for a model's climatology and for a specific year generally are quite consistent, so the climatological bias can be applied if there is no better information. As discussed earlier, the sign of the bias is crucial to determine how to make the mask.

Because all of the current models are unable to maintain the soil temperature anomaly (or produce adequate soil memory), a tuning parameter "n" (e.g., 1, 2, 3) is introduced. Through trial and error, each model selects a proper "n" with the intentintending to produce the T-2m anomaly which is close to observation. For the subsurface, the "n" may be different from that for LST depending on the ESM's land surface scheme. But currently, most modeling groups use the same "n" for every soil layer. This approach can be improved after more deep soil layer measurements are conducted such that we have a better idea about the LST and SUBT relationships.

Figure 3 shows a mask application example from one LS4P model, which has a warm bias (Figure 3b). Based on the bias and the observed May 2003 T-2m anomaly, a mask using Equation 1b 490 (given the model has warm bias:- Also see Figure 2) was generated and only imposed over the Tibetan Plateau region (Figure 3c). The mask iswill be imposed on the initial condition at the first time step of the model integration. The model run will starts around May 1 and run through June 30 with multi-ensemble members (the same total number as for Task 1), and the LST/SUBT iswill be updated by the ESM after the initial imposition of the mask. However, in the example shown 495 in Figure 3, the mask using n=1 failed to produce proper May T-2m anomaly (Figure 3d). After Once the model produces a reasonable observed May T-2m anomaly through a tuning of "n" in Equation 1 (in Figure 3, only the mask with n=3 produces proper May T-2m anomaly), the June precipitation difference between the Task 3 sensitivity run and the control run from the Task 1 run will beis then evaluated.

To assess the model simulation in this task, we produce composite data sets for global May 500 and June T-2m and precipitation for both the year of 2003 and climatology, in which the CMA data are used for within China for both variables (Han et al., 2019; Liang et al., 2020), whileand Climate Anomaly Monitory System (CAMS, Fan and Van den Dool 2008) and Climate Research Unit (CRU, Harris et al., 2014) data are used for elsewhere for T-2m and precipitation,

505 respectively. These composite data are used to evaluate whether the May T-2m difference between the Task 3sensitivity run and the Task 1control run produce the observed May T-2m anomaly over the Tibetan Plateau, which is the key objective of Task 3. If a model is capable ~~to~~of ~~producing~~ing about 25% of the observed May T-2m anomaly over the Tibetan Plateau, we will further examine the difference of the June global precipitation between the two runs and observed global June 510 precipitation anomaly. Moreover, the improvement in reducing the bias and RMSE for the sensitivity runs will also be assessed.

515 **(4). Task 4.** Task 4 tests the effect of the ocean stateSST on the June 2003 precipitation. There are two possible approaches for this test. Groups with the AMIP type of experiment use the observed May and June 2003 SST for their Task 1 and Task 3 experiments. For those groups, in Task 4, the 2003 SST conditions will be replaced by the climatological SST. For modeling groups ~~with~~using the CMIP type experimental setup, the 2003 initial condition used in Task 1 and Task 3 will be replaced by the climatological initial condition. The year 2003 is a La Niña year. The modeling groups with the CMIP type of simulations need to check their models' SST simulations to be sure that their models are producing adequate La Niña conditions along the western coast of 520 South America and the eastern Pacific. The June precipitation difference between control run (with 2003 ocean stateSST) and the Task 4 run (with ~~climatolog~~ically ocean state-SST) will be compared with the observed anomaly in 2003 to assess the global ocean stateSST effect on the precipitation, and then it will be compared ~~it~~ with the LST/SUBT effect from the Task 3 results. These four tasks are summarized in Table 1.

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## **(5). Model Output and Availability**

The data output requirements take into account the evaluations that are required as discussed in Sections 3.2 (1)-(4), as well as along with the information required to characterize the land surface/atmosphere interactions at and near the surface, and the mid and upper troposphere 530 atmospheric wave propagation. In addition to the T-2m and precipitation, other model outputs from the land surface and the atmosphere (Table S1 in Supplemental) will also be used to evaluate the model results. The NOAA metrics and protocol for short to medium range weather forecast performance evaluations as discussed in Wang et al. (2010) will be applied to assess model performance. Careful considerations are necessary to limit output frequency in order to save 535 storage while still providing sufficient information for crucial diagnostic analyses. The LS4P data

are stored and will be distributed through the National Tibetan Plateau Data Center (Li et al., 2020) and the U.S. Department of Energy Lawrence Livermore National Laboratory Earth System Grid Federation (ESGF) node (Cinquini et al., 2014). The detailed information is described in Appendix BC.

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#### 4. Main Issues in LST/SUBT initialization and deficiency in model memory

To date, all of the LS4P ESMs with their land models have difficulty producing the observed T-2m anomaly over the Tibetan Plateau to varying degrees. ~~In other words~~ Moreover, they are ~~also~~ unable to maintain the imposed LST/SUBT anomaly from the mask during the model integration. The current model deficiencies

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in T-2m simulation are rooted in the data, mainly from the reanalysis data, which are used for the model initialization, and the model parameterizations. ~~The Certain studies (Liu et al., 2020; Li et al., 2021) have~~

~~identified the roles of land parameterizations and soil depth in related to this deficiency. More researches are~~

~~is necessary to explore further elucidate the potential roles of other ESM parameterizations in this issue,~~

~~mainly in the land module. The LS4P has developed an initialization scheme which seeks to mitigate this~~

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~~deficiency in order to yield better S2S prediction. Eventually, the model's deficiencies in producing~~

~~observed high mountain surface temperature anomalies should be overcome through the~~

~~development of proper physical and dynamic processes and relevant data sets to preserve land~~

~~memory, which are a long term task and require community efforts. This section will discuss a~~

~~few relevant issues based on our practice intending to raise the community's interest and attention~~

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~~and to promote more comprehensive developments in this aspect.~~

##### 4.1 Data Uncertainty

Observational T-2m/LST/SUBT data are crucial for model initialization of surface conditions and for model validation. However, ground measurements over high-elevation areas are relatively sparse. For instance,

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most currently available gridded global T-2m data sets with long records only consist of a few dozen stations over the Tibetan Plateau. Considering the complex topography of the region, potentially large interpolation

errors can occur. The same is true for the reanalysis data, which are used for the model initialization. In most reanalysis data sets, the T-2m is only a model product. In LS4P, we employ the CMA T-2m data (1980-

2017) with 0.5-degree resolution (Han et al., 2019; ~~Liang et al., 2020~~) ~~to use~~ for model initialization, and it is

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based on about 150 ground station measurements over the Tibetan Plateau. Figure 4 shows the May T-2m climatology (the 1980-2013 average) over the Tibetan Plateau, and the anomalies of May 2003/1998, which

corresponds to a very cold/warm spring in the Tibetan Plateau, respectively, from CMA, CAMS, CRU, Climate Forecast System Reanalysis (CFSR, Saha et al., 2014), ERA-Interim (ERA1, Berrisford et al., 2011), and the Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA-2, Gelaro et al., 2017). Because each T-2m data set has its own elevation, all of the data have been adjusted to the CMA elevation for comparison. Compared with the CMA data, the CAMS/CRU climatology is about 1.8°C cooler/1.5°C warmer, respectively. The biases for warm/cold years would be even larger for CAMS/CRU (not shown), respectively. While the climatological bias for CFSR reanalysis data is small, the bias for ERA1 is still on the order of one standard deviation of the Tibetan Plateau T-2m variability (~0.7 °C). The bias is larger in MERRA-2, at about 4°C. In addition, for cold/warm years, MERRA-2 and CFSR show opposite anomalies. The large surface temperature biases in the reanalysis data sets likely interact with temperature of the lower atmosphere. There are limited atmospheric sounding data over the Tibetan Plateau for data assimilation. That said, lower atmosphere temperature is also subject to model bias. Since there are no observed near surface layer observations, we compare the reanalysis-based surface and near surface temperature anomalies with their own climatology. These anomalies are very close (not shown), which means even if we impose a mask to overcome the LST/SUBT bias, the bias in the lower troposphere is still there. This bias in the reanalysis data has an important implication in affecting the LST initialization and its simulation, which will be discussed further in section 4.2.

In addition to the surface temperature, subsurface temperature initialization is also challenging in high elevation areas. Measurements for deep subsurface conditions do not exist in most mountain areas. However, there are fourteen stations in the Tibetan Plateau (Figure 5a) that have soil temperature measurements during the period 1981-2005 at depths of 0, 5, 10, 15, 20, 40, 80, 160, and 320 cm, which shed light on the quality of subsurface layer temperature in the reanalysis data. Below 320 cm, the soil temperature exhibits very little annual variation. The soil temperature profiles from station observations are averaged and four typical months that represent the four seasons are displayed in Figure 5b. The differences between the T-2m and the LST are less than 1 degree for these four months. During winter and summer, the deep soil temperature profiles show a larger lag compared with the LST. The reanalysis products over the grid points closest to the observation stations (Figure 5a) have been averaged over the same time period. However, these data show large discrepancies compared to observations in addition to biases (Figures 5b-c). For instance, the top 1-m soil temperatures in the ERA1 data are nearly constant for every season with little change with soil depth. In MERRA-2, the lag response in the soil profiles only appears in the winter and summer up to about 1 m deep; for other seasons or soil temperature below 1-m does not change much. The

CFSR shows a better lag response, but it only reaches 1.5 m in depth. Its biases in these stations compared to the observation stations are also apparent.

600 The deficiencies in the reanalysis products pose a challenge for properly producing the observed T-2m anomalies since the reanalyses are used to provide the basis for the surface initial condition for most ESMs. Since every LS4P ESM showed a large bias in simulating the May 2003 T-2m anomaly over the Tibetan Plateau, we have addressed how to take the bias into account in producing the initial condition mask in Section 3.2. In the next section, the efforts from different modeling groups to generate the observed T-2m  
605 anomaly will be presented further.

## 4.2 Approaches in Improving the LST/SUBT Initialization and T-2m Anomaly Simulation

In addition to the data that are used for LST/SUBT initial conditions, land models also have deficiencies in maintaining the anomalies that are imposed using an initial mask as discussed in Section 3.2. In the LS4P-I  
610 experiment, most models are only able to partially produce the observed T-2m anomaly in May despite the fact that the imposed initial masks normally contain much larger anomalies than those observed. This section highlights some specific approaches undertaken by a few groups during their application of the LS4P-I protocol to improve the T-2m anomaly simulation.

The surface soil (20-30 cm) in the central and eastern Tibetan Plateau contains a large amount of  
615 organic matter which greatly reduces the soil thermal conductivity and increases the soil heat capacity (Chen et al., 2012; Liu et al., 2020). However, this factor is not taken into account in the LS4P ESMs, except CNRM-CM6-1. That said, the soil thermal conductivity/heat capacity over the Tibetan Plateau in the ESMs is too high/too low. In addition, some ESMs overestimate the precipitation over the Tibetan Plateau, making the soil water content higher than in reality (Su et al., 2013), which also leads to higher soil thermal  
620 conductivity. Less soil organic matter and high soil moisture both accelerate the heat exchange rate between the soil and the atmosphere, which causes the rapid loss of soil thermal anomalies in the models.

The soil layer depth in the ESM also affects the model's ability to generate the observed T-2m anomaly. The long memory in deeper soil helps to preserve the soil temperature anomaly in shallower layers. In a sensitivity study that changed the soil depth from 6 m to 3 m, it was found that with reduced total soil  
625 column depth, a similar magnitude anomalous soil temperature can only be kept for about 20 days, then it disappears much faster thereafter compared with the 6-m soil layer model (Liu et al., 2020). In a number of LS4P land models, the total soil column depth is less than 3 m. The total soil column depth may not be deep enough in some LS4P models. To overcome these shortcomings in current ESMs and to reproduce the

observed T-2m anomaly, a tuning parameter “n” is introduced (Eq. 1) when setting up the surface mask  
630 since it is not a simple task to increase the soil layer depth for all of the ESMs.

One of the intentions of the initialization of LST/SUBT is to influence the lower atmosphere since  
the corresponding initial condition from reanalysis also has inherent errors as discussed in section 5.1, and  
for some models they can be quite large. A number of modeling groups have started the model simulation  
earlier, for instance on April 01, in order to have sufficient time for the lower atmosphere to spin-up and to  
635 be consistent with the within-mask imposed soil surface conditions. In some models, such as ACCESS-S2  
and KIM, the models make an adjustment after reading in the initial condition, usually referred to as shock  
adjustment, in order to avoid an imbalance between the atmosphere, land, and ocean initial conditions. This  
shock adjustment has become a more popular practice in a number of modeling groups. The idea behind  
the shock adjustment arises from the potential inconsistency among different sources for initial conditions,  
640 and the belief that the atmospheric components are considered to be relatively the most reliable. With such  
an approach, within the first week or 10 days, the atmospheric forcing plays a dominant role in adjusting the  
other components’ initial conditions. As such, the imposed initial soil temperature from the mask at the top  
soil layers could be compromised very dramatically toward the lower atmospheric conditions, which,  
unfortunately, also have large errors over the Tibetan Plateau as previously discussed. Although the imposed  
645 deep soil temperatures eventually start to affect the air temperature, this process generally takes more than 20  
days. For the model with such a shock adjustment, the mask needs to be imposed when the shock adjustment  
becomes weak, such as at the second day in ACCESS-S2 or half a month after the initial simulation date, as  
done in KIM. As such, the models may have to start their integrations much earlier. A couple of models  
tried to impose the mask more than once to produce the T-2m anomaly. For instance, the FGOALS-f2 model  
650 imposed the LST/SUBT anomaly on both May 1 and May 2 to better produce the observed T-2m anomalies.  
It should be pointed out that if a mask is imposed too many times, the  $\Delta T$  in the mask may add up every time  
when it is imposed to become quite large sink/heat source. Furthermore, enforcing the LST/SUBT  
perturbation too many times during the model simulation with accumulated large  $\Delta T$  may distort the  
atmospheric conditions. Precautions must be taken in this type of approach, probably with  $\Delta T$  imposed no  
655 more than twice with a well-designed scheme to avoid the excessive accumulation of heating/cooling.

For the E3SM and CESM2, which are mainly used in long-term climate research (e.g., century-long  
simulations), real time initialization for S2S prediction is not very closely related to the research objective the  
model centers intend to pursue. To conduct LS4P type research, the modeling groups have to develop an  
approach in nudging the reanalysis data for a real time initialization. Nudging is one of the simplest data

660 assimilation methods (Hoke and Anthes, 1976) and has been widely used in climate model evaluation and sensitivity studies (e.g., Xie et al., 2008; Sun et al., 2019; Tang et al., 2019) to constrain the simulations towards a predefined reference (the reanalysis data in this case) and hence to facilitate time-specific comparisons between model and observations. For the LS4P simulations, E3SM and CESM2 used 1-month worth of nudging of the horizontal wind components (U & V) with a 6-hour relaxation time scale before the  
665 land mask for the initial LST perturbation was applied. A study (Ma et al., 2015) has shown that nudging only horizontal winds produces better results compared with those with nudging of more variables, such as temperature, specific humidity, etc.

## 5. Discussion: Perspectives and Impact of LS4P

670 LS4P is the first international grass-roots effort focused on introducing spring LST/SUBT anomalies over high mountain areas as a factor to improve S2S precipitation prediction through the remote effects of land/atmosphere interactions. Although the original idea of starting LS4P was more limited and only aimed at evaluating whether the results from preliminary tests with one ESM and one RCM (Xue et al., 2016b, 2018) could be reproduced by more modeling groups, multi-model participation has quickly lead to the  
675 recognition that the Tibetan Plateau's spring LST/SUBT effect on the precipitation anomaly to the south and north of the Yangtze River was only a small part of broader aspects.

Figure 6 shows the observed May T-2m and June precipitation anomalies in 2003 and the corresponding ensemble mean biases from 13 LS4P ESMs for these two variables in 2003 over the eastern part of Asia. As discussed in Section 3.2 (1), the appropriate relationship between model biases and observed anomalies are crucial for the LS4P hypothesis and approach. Among the 13 ESMs, eleven ESMs had warm T-2m biases while the remaining two had cold biases, respectively. Because the May 2003 T-2m had a cold anomaly, the T-2m and precipitation biases for the models with positive T-2m bias were multiplied by -1 to produce the ensemble mean composites as shown in Figures 6c and d. Despite very different data sources (observed T-2m data were from CMA over China and CAMS for regions outside of China, observed  
685 precipitation data were from CMA over China and CRU in regions outside of China), and the fact that ESMs results were produced from models with different numerical approaches and physical parameterizations, the modeled bias relationships between May T-2m and June precipitation are very consistent with the observed anomaly relationship between observed May 2003 T-2m over Tibetan Plateau and June 2003 precipitation in many parts of eastern Asia, in addition to the Yangtze River basin. For instance, models with a cold bias  
690 in May T-2m in the Tibetan Plateau also have a dry bias in June precipitation over Northeast Asia, part of

southeast and South Asia, and Siberia, and a wet bias to the west of Siberia, consistent with the observed precipitation anomaly. The models with the opposite sign of T-2m bias produced the opposite precipitation response. The spatial correlations between observed June precipitation anomalies and the corresponding model biases over the figure domain are 0.62. Furthermore, the T-2m cold bias over the Tibetan Plateau is  
695 associated with a cold bias in the Iranian Highlands and a warm-cold-warm wave train over the Eurasian continent, which is also generally consistent with the observed T-2m anomalies. Moreover, the consistencies suggest a possibly much larger scale remote effect of the Tibetan Plateau LST/SUBT on summer precipitation over many parts of the world and support the LS4P's approach in its experimental design as discussed in Section 3.2. As a result, the diagnostic analyses from the tasks in Experiment 1 will cover the  
700 entire globe. Comprehensive analyses and discussion will be presented in subsequent papers after the LS4P groups have completed their experiments.

Although the T-2m anomaly covers large areas, our previous North American study has shown that only the LST/SUBT anomaly over high mountains (the Rocky) had a substantial impact on the subsequent drought over the South Great Plains (Xue et al., 2012). One of the LS4P groups, KIM, also tested the effect  
705 of the LST anomaly in other parts of East Asia, but found their effects are incompatible with the Tibetan Plateau LST/SUBT effect. In addition to year 2003, we also checked the May T-2m and June precipitation bias in the climatologies of the different models. The thirteen ESMs shown in Figure 6 have also provided their climatological data sets. Figure 7 shows the climatological biases for May T-2m and June precipitation from these ESMs. The patterns between the bias in the 2003 simulation and the bias in the model  
710 climatologies are generally consistent, which suggests that the findings from the 2003 case may have a broader implication.

In Phase I, through the LS4P RCM efforts in incorporating the TPE and TIPEX-III data, we also intend to adequately simulate water and energy cycle and atmospheric conditions in the Tibetan Plateau and their variability. These simulations will provide the data for better atmospheric and surface initialization,  
715 along with obtaining an improved understanding of the atmospheric circulation and water cycle in Tibetan Water Tower.

Thus far, our discussion has been focused on the modeling approach. A recent statistical study has shown that spring soil temperature in central Asia could be a predictor of summer heat waves over northwestern China (Yang et al., 2019). In addition, surface temperatures from five Northern European  
720 observing stations have been used as a predictor for long-range forecasting of southwest monsoon rainfall over southwestern India (Rajeevan, et al., 2007). Moreover, spring (April-May) precipitation and 2m air

temperature over northwestern India, Pakistan, Afghanistan, and Iran have been found to have a strong link with the first phase (June-July) of summer monsoon rainfall over India (Rai et al., 2015). We will extend the data analyses for different major mountains and different seasons and to identify hot spots over the globe  
725 where LST has significant impacts. Preliminary statistical forecasts will also be explored, using methods such as the Canonical-Correlation Analysis (CCA) and Joint Empirical Orthogonal Analysis (JEOF) (Smith et al., 2016). Based on the statistical analyses, a Tibetan Plateau Oscillation Index (TPO) and a Rocky Mountain Oscillation Index (RMO) will be proposed for predictions of the hydroclimatic extreme events, and a relationship between the TPO and the RMO indexes will also be investigated. As discussed in Section  
730 3, the Rocky Mountain LST/SUBT effect will be the focus of LS4P Phase II (LS4P-II).

The LS4P research has revealed some severe deficiencies in current land models in preserving the land memory. In many models, the force-restore method (Deardorff, 1978; Dickinson, 1988; Xue et al., 1996b) is used to represent subsurface heat transfer and soil thermal status. This simple method produces adequate diurnal and seasonal cycles of surface temperature  
735 and thus has been widely used by many land models for decades. However, its severe deficiency in keeping the soil memory is apparent in [the LS4P recent studies \(Liu et al., 2020, Li et al., 2021\)](#). We have found that excessively shallow soil depths along with simplified parameterizations of subsurface heat transfer are acting to limit the soil memory effect in many models, especially in cold regions. An innovative approach has been developed for the land model initialization that can  
740 help maintain the monthly LST/SUBT anomaly. The LS4P's finding on why ESMs have difficulty to maintain the LST anomaly, and its proposed approach to help solving the issue should be a significant contribution from the LS4P project to improve the S2S prediction. [We also hope to have more studies to explore the causes of this deficiency from different aspects further.](#)

One issue that hampers the application of the LST/SUBT approach for S2S prediction is  
745 data availability. The TPE has conducted comprehensive measurements over the high mountain Tibetan Plateau areas, which include a plateau-scale observation network plus intensive networks at more local scales: these data consist in boundary-layer observations, land surface and deep soil layer measurements. These measurements have provided invaluable information to support the establishment of the LS4P and to foster further development. Currently, such comprehensive measurements over high mountain areas are still lacking across the globe. GEWEX has been planning for more measurements that are related to land/atmosphere interactions (Wulfmeyer et al., 2020; Schneider and van Oevelen, 2020). We hope that the results from LS4P will demonstrate the

substantial role of high mountain surface conditions on global climate and atmospheric circulation, and therefore stimulate more initiatives to increase land/atmosphere interaction measurements over high  
755 mountain regions.

LS4P will complete the Phase I tasks at the end of 2020. A special issue in Climate Dynamics ~~had~~ been initiated in late 2020 to report various LS4P research results and other S2S prediction research results that should help increase the understanding and predictions of land-induced forcing and atmosphere interactions on droughts/floods and heatwaves. ~~A possible LS4P article in a high impact journal will also be prepared.~~ We plan to kick-off the LS4P-II in the summer or later of 2021 with a workshop at the Earth System Science Interdisciplinary Center (ESSIC), University of Maryland, College Park, USA. This workshop will summarize the phase I activity and design working tasks for the LS4P-II.  
760

Although land has lower heat capacity and less moisture compared to the oceans, the land surface has a much stronger response to changes in surface net radiation at diurnal, sub-seasonal, 765 and seasonal scales compared to oceans. This is particularly true in high elevation areas, which could provide a useful source for predictability at these scales. LS4P intends to improve the S2S precipitation prediction through a better representation of land surface processes in the current generation of ESMs and aims to make a fundamental contribution in advancing S2S prediction through proper initialization of LST/SUBT in high mountain regions. The LS4P approach proposes a new front 770 in S2S prediction to complement other existing approaches. We hope activities and results from LS4P-I can provide a prototype approach to raise further scientific questions and open a new gateway for more studies with various approaches to better understand the roles of different forcing and internal dynamics in S2S predictability along with identifying the relevant mechanisms.