

1 **Improved representation of river runoff in Estimating the**  
2 **Circulation and Climate of the Ocean Version 4 (ECCOv4)**  
3 **simulations: implementation, evaluation, and impacts to**  
4 **coastal plume regions**  
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23 **Abstract**

24 In this study, we improve the representation of global river runoff in the Estimating the Circulation and  
25 Climate of the Ocean Version 4 (ECCOV4) framework, allowing for a more realistic treatment of  
26 coastal plume dynamics. We use a suite of experiments to explore the sensitivity of coastal plume  
27 regions to runoff forcing, model grid resolution, and grid type. The results show that simulated Sea  
28 Surface Salinity (SSS) is reduced as the model grid resolution increases. Compared to Soil Moisture  
29 Active Passive (SMAP) observations, simulated SSS is closest to SMAP when using Daily, Point-  
30 source Runoff (DPR) and the intermediate-resolution LLC270 grid. The Wilmott skill score, which  
31 quantifies agreement between models and SMAP, yields up to 0.92 for large rivers such as the Amazon  
32 River. There was no significant difference in SSS for tropical and temperate coastal rivers when the  
33 model grid type was changed from ECCO v4 latitude-longitude-polar cap grid to ECCO2 cube-sphere  
34 grid. We also found that using DPR forcing and increasing model resolution from the coarse-resolution  
35 LLC90 grid to the intermediate-resolution LLC270 grid elevated the river plume area and volume,  
36 stabilized upper-layer stratification, and shoaled the mixed layer depth (MLD). Additionally, we find  
37 that the impacts of increasing model resolution from intermediate-resolution LLC270 grid to high-  
38 resolution LLC540 grid are regionally dependent. The Mississippi River Plume is more sensitive than  
39 other regions, possibly because the broader and shallower Texas-Louisiana shelf drives a more  
40 substantial baroclinic effect, and relatively weak sub-grid scale vertical mixing and adjustment in this  
41 region. Since rivers deliver enormous amounts of freshwater and anthropogenic materials to coastal  
42 areas, we believed that improving the representation of river runoff in global, high-resolution ocean  
43 models will advance studies of coastal hypoxia, carbon cycling, and regional weather and climate, and  
44 ultimately help to predict land-ocean-atmospheric feedbacks seamlessly in the next generation of earth  
45 system models.

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50 **1 Introduction**

51 Coastal plume regions represent a small fraction of Earth’s surface but play an active role in the  
52 global cycling of carbon and nutrients (Bourgeois et al., 2016; Carroll et al., 2020; Fennel et al., 2019;  
53 Lacroix et al., 2020; Landschützer et al., 2020; Roobaert et al., 2019). Recent satellite-based observations  
54 with quasi-global coverage have been greatly improved to monitor **Sea Surface Salinity (SSS)**, a key  
55 tracer for tracking the river plumes. The European Space Agency (ESA) Soil Measure and Ocean Salinity  
56 (SMOS; Mecklenburg et al., 2012) with 33-km/10-day and National Aeronautics and Space  
57 Administration (NASA) Soil Moisture Active Passive (SMAP) missions with 40-km/8-day space/time  
58 gridding acquire SSS observations with sufficient resolution to track the plume pathways and to analyze  
59 the underlying dynamics (Fournier et al. 2016a, b; 2017a,b; 2019; Gierach et al. 2013; Liao et al., 2020).  
60 To date, however, coastal plume regions have not been explicitly resolved in most global Ocean General  
61 Circulation Models (OGCMs), Earth System Models (ESMs), and Global Ocean Data Assimilation  
62 System (GODAS) products (Ward et al., 2020). As a result, plume regions simulated by OGCMs, ESMs,  
63 and GODAS are not consistent with satellite observations. For example, Fournier et al. (2016a) found  
64 that the 1/12° global circulation Hybrid Coordinate Ocean Model (HYCOM) did not accurately capture  
65 SSS during extreme flood events in the northern Gulf of Mexico. Denamiel et al. (2013) found that the  
66 Congo River nearshore SSS in global HYCOM was underestimated compared to other regional  
67 simulations, even though the models had comparable horizontal grid resolution. Santini and Caporaso  
68 (2018) suggested that most CMIP5 models might lack skill in representing the Congo River Basin runoff  
69 and SSS in the vicinity of river mouths. Most OGCMs, ESMs, and GODAS products usually have large  
70 horizontal grid cells, and only a few cells may encompass the entire plume. As a result, freshwater  
71 delivered to these cells is thoroughly mixed and diluted, and therefore cannot represent the complex  
72 plume dynamics. Additionally, for the riverine freshwater input, the ocean is forced in the top model  
73 layer over a pre-determined surface area in the vicinity of river mouths, usually specified with a  
74 climatological signal. Thus, the system disturbances by extreme weather events, e.g., floods and droughts,  
75 cannot be explicitly resolved (Griffies et al. 2005; Tseng et al. 2016). Finally, virtual salt fluxes have  
76 been widely employed, where freshwater affects salinity without a change in mass or volume flux  
77 (Bentsen, 2013; Halliwell, 2004; Timmermann et al., 2009; Volodin et al., 2010). The above model  
78 configurations limit the representation of coastal plume region in global scale models.

79 Estimating the Circulation and Climate of the Ocean (ECCO) is a data assimilating model that uses  
80 observational data to make the best possible estimates of ocean circulation and its role in climate. The  
81 model uses the cube-sphere (ECCO2) and latitude-longitude-polar-cap (ECCOv4) grids for global  
82 application. Like most OGCMs, ESMs, and GODAS products, the current ECCO simulations route  
83 riverine freshwater from land directly to the ocean by using observed river runoff as a seasonal  
84 climatological mass flux over the top of several surface grid cells near the river mouths (Fekete et al.  
85 2002; Stammer et al.2004). Recent ECCO efforts have been extended to address the global-ocean  
86 estimates of pCO<sub>2</sub> and air-sea carbon exchange (Carroll et al. 2020). The model resolution has been  
87 promoted as fine as 1-km globally to investigate mesoscale-to-submesoscale dynamics in the open ocean  
88 (Su et al., 2018). However, the current ECCO lacks representation of coastal interfaces and related  
89 feedbacks, which limits their application to global climate change and further impeding our ability to  
90 make informed resource management decisions. In this study, we here improved the representation of  
91 river runoff in ECCO and systematically evaluate model performance in reproducing SSS within the  
92 vicinity of large tropical and temperate river mouths. We also investigated the impact of runoff forcing,  
93 model grid resolution, and grid type on coastal dynamics and key plume physical properties. This work  
94 aims provide a comprehensive sensitivity analysis of runoff forcing in multiple simulations, which will  
95 help develop global ECCO simulations that more robustly represent the Land-Ocean-Aquatic-Continuum  
96 (LOAC).

97 The paper is organized as follows. Section 2 briefly introduces ECCO and the various runoff forcing  
98 methods used in this study. Section 3 provides a comprehensive evaluation of model sensitivity to  
99 horizontal grid resolution and river forcing. Section 4 discusses the sensitivity of plume properties and  
100 coastal stratification. Results are summarized in Section 5.

## 101 **2 Methods**

### 102 **2.1 ECCO Simulations and Representation of River Runoff**

103 In this study, we employ the Massachusetts Institute of Technology general circulation model  
104 (MITgcm; Marshall et al., 1997) in several model configurations developed for the ECCO project  
105 (Menemenlis et al., 2005; Forget et al., 2015; Zhang et al., 2018). The ECCO MITgcm configurations  
106 that we use herein solve the hydrostatic, Boussinesq equations on either Cubed Sphere (CS; Adcroft et  
107 al., 2004) or Latitude-Longitude-polar-Cap (LLC; Forget et al., 2015) grids. The cubed-sphere  
108 configuration is the so-called CS510 grid, which was developed for the ECCO2 project (Menemenlis et

109 al., 2008), consisting of 6 faces with  $510 \times 510$  dimensions. It has a quasi-homogeneous horizontal grid  
 110 spacing of 20 km. We also consider three different LLC grid configurations: LLC90, LLC270, and  
 111 LLC540, which have  $1^\circ$ ,  $1/3^\circ$ , and  $1/6^\circ$  nominal horizontal grid spacing, respectively. The LLC grids are  
 112 aligned with lines of latitude and longitude between  $70^\circ$  S and  $57^\circ$  N with grid spacing varying with  
 113 latitude. We use LLC# horizontal grids for our experiments, where the # is the number of points along  
 114 one-quarter of the equator. Therefore, LLC90 means 360 grid points circle the equator. The model has  
 115 50 vertical z-levels; the vertical resolution is 10 m in the top 7 levels and telescopes to 450 m at depth.  
 116 **This setup was the same for all designed experiments.** We use a third-order, direct-space-time (DST-3)  
 117 advection scheme, while vertical advection uses an implicit third-order upwind scheme. Vertical mixing  
 118 is parameterized using the **Gaspar-Grégoris-Lefevre (GGL)** mixing-layer turbulence closure and  
 119 convective adjustment scheme **(Gaspar et al., 1990)**. Lateral eddy viscosity in ECCOv4 is harmonic, with  
 120 a coefficient of  $0.005L^2/\Delta t$ , where  $L$  is the grid spacing in meters and  $\Delta t = 3600s$ . Depending on  
 121 location, the resulting eddy viscosity varies from  $\sim 10^3$  to  $\sim 1.6 \times 10^4 m^2s^{-1}$ . Additional sources of  
 122 dissipation in ECCOv4 are from harmonic vertical viscosity and quadratic bottom drag, and contributions  
 123 from the vertical mixing parameterization. A detailed description of ECCOv4 is provided in Forget et al.  
 124 (2015).

125 ECCOv4 uses **natural boundary conditions for both the river discharge and E-P (evaporation minus**  
 126 **precipitation)** (Huang 1993; Roulet and Madec; 2000). The runoff is applied as a real freshwater flux  
 127 forcing, which allows for material exchanges through the free surface and more precise tracer  
 128 conservation compared to virtual salt flux boundary conditions (Campin et al., 2008). The model uses  
 129  $z^*$  rescaled height vertical coordinates (Adcroft and Campin, 2004) and the vector-invariant form of the  
 130 momentum equation (Adcroft et al., 2004). With  $z^*$  coordinates, variability in free surface height is  
 131 distributed vertically over all grid cells. For a water column that extends from the bottom at  $z = -H$  to  
 132 the free surface at  $z = \eta$ , the  $z^*$  vertical coordinate is defined as  $z = \eta + s^*z^*$ , where  $s^* = 1 + \eta/H$   
 133 is the rescaling factor. The Boussinesq, depth-dependent equations for conservation of volume and  
 134 salinity under the vector-invariant form of the momentum equations are:

$$135 \quad \frac{1}{H} \frac{\partial \eta}{\partial t} + \nabla_{z^*} \cdot (s^* v) + \frac{\partial w}{\partial z^*} = s^* F \quad (1)$$

$$136 \quad \frac{\partial (s^* S)}{\partial t} + \nabla_{z^*} \cdot (s^* S v_{res}) + \frac{\partial (S w_{res})}{\partial z^*} = s^* (D_{\sigma, S} + D_{v, S}) \quad (2)$$

137 where  $F$  is the surface freshwater flux (includes both precipitation minus evaporation and river runoff),  
 138  $\nabla_{z^*}$  is the gradient operator on  $z^*$  plane.  $S$  is the potential salinity,  $D_{v,S}$  and  $D_{\sigma,S}$  are subgrid-scale  
 139 processes parameterized as mixing diapycnal and along the isoneutral surface, which respect the highly  
 140 adiabatic process of the oceanic interior (Griffies et al. 1998). The  $v_{res}$  and  $w_{res}$  are the horizontal and  
 141 vertical residual mean velocity fields and hold the relationship  $(v_{res}, w_{res}) = (v, w) + (v_b, w_b)$ , where  
 142  $(v_b, w_b)$  is the bolus velocity parameterizing the effect of unresolved eddies (Gent and McWilliams,  
 143 1990). Our daily, point-source runoff (DPR) experiments add freshwater to a single model grid cell in  
 144 the first vertical model layer, while the diffuse climatological runoff experiments add it over multiple  
 145 horizontal grid cells in the top layer. The amount of freshwater added to each model grid cell decreases  
 146 exponentially as a function of distance from river outlets.

## 147 2.2 Sensitivity Experiments

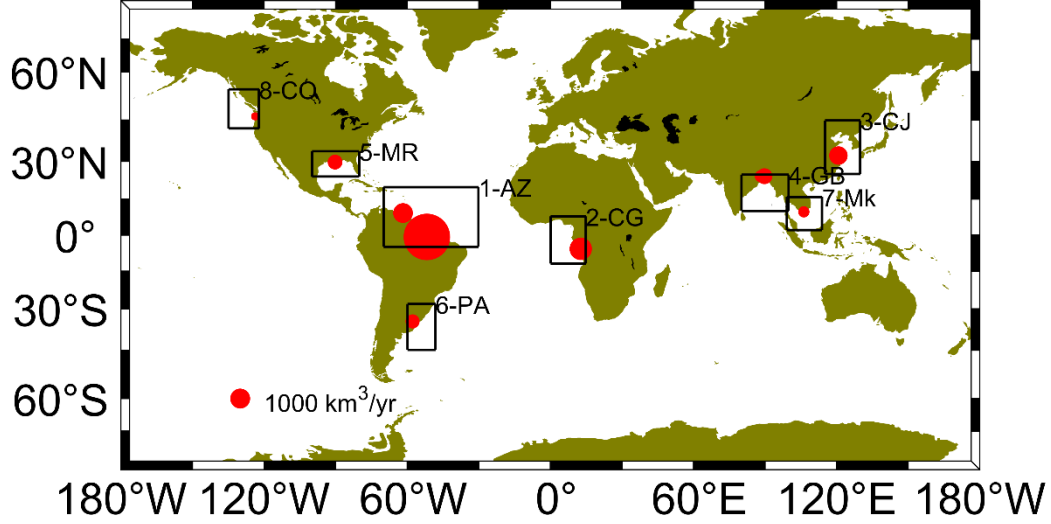
148 We first run seven experiments, derived from the ECCOv4 set-up, to test the sensitivity of SSS in  
 149 the vicinity of large river mouths to ECCOv4 model grid resolution and runoff forcing (Table 1). The  
 150 initial condition of ECCOv4 was from optimized adjustment of Mapping Ocean Observations in a  
 151 Dynamical Framework: A 2004-06 Ocean Atlas (OCAA) and surface forcing was from adjustment of  
 152 ECMWF Re-Analysis (ERA) interim. The LLC90, LLC270, and LLC540 corresponds to coarse ( $1^\circ /$   
 153  $\sim 100$  km), intermediate ( $1/3^\circ / \sim 40$  km), and high ( $1/6^\circ / \sim 20$  km) resolution from low- to mid-  
 154 latitudes, respectively. LLC90C and LLC270C are forced by monthly climatological runoff from Fekete  
 155 et al. (2002). The runoff has a spatial resolution of  $\sim 1^\circ$  and was linearly interpolated to each grid cell.  
 156 Therefore, runoff may be fluxed into a single grid cell in the coarse-resolution run and over several grid  
 157 cells in the high-resolution run. The twin experiments, LLC90R and LLC270R, the highest resolution  
 158 run LLC540R, use Japanese 55-year atmospheric reanalysis (JRA55-DO) river forcing dataset (Suzuki  
 159 et al., 2017; Tsujino et al., 2018). JRA55-DO includes daily river runoff is generated by running a global  
 160 hydrodynamic model forced by adjusted land-surface runoff. Comparing to the Fekete ECCOv4 runoff,  
 161 JRA55-DO runoff has daily output; therefore, it can resolve interannual variability and extreme floods  
 162 and drought events. We add JRA55-DO runoff as point source flux at a single grid cell adjacent to river  
 163 outlets for LLC90/270/540R. For the intermediate-resolution LLC270 run, we did two additional  
 164 experiments: LLC270R\_spread and LLC270R\_clim. The LLC270R\_spread used daily JRA55DO river  
 165 discharge, but forced over several grid cells, which allows the model to automatically interpolate the  
 166 runoff. The LLC270C\_clim used single grid cell point-source surface forcing, but with climatological

167 runoff derived from 2015–2017. The additional experiments were conducted because the widely-used  
168 climatological runoff by Fekete et al. (2002) in ECCOv4 differed from JRA55DO climatology. A  
169 comparison between LLC90C vs LLC270C, or LLC90R vs LLC270R vs LLC540R shows the resolution  
170 impacts. A comparison between LLC270R\_spread and LLC270R shows the pure difference of adding  
171 runoff to a single grid cell (point-source runoff) vs multiple grid cell (diffusive runoff). In addition to the  
172 LLC grid, two additional experiments are conducted on the widely-used cube-sphere ECCO2 grid to  
173 investigate model sensitivity to the choice of grid topology (Table 1). CS510C is an ECCO2 run with  
174 monthly climatological runoff from Stammer (2004). The Stammer runoff is spread over a pre-  
175 determined surface area in the vicinity of river mouths. The spreading radius decreases exponentially  
176 with a 1000-km e-folding distance. Spatial fields of runoff forcing for ECCOv4, ECCO2, and JRA55-  
177 DO are shown in Figure S1.

#	Experiment Name	Grid Type	Runoff Forcing	Grid spacing
1	LLC90C	Lat-Lon-Cap	ECCOv4 Climatology	55–110 km
2	LLC90R	Lat-Lon-Cap	JRA55-do	55–110 km
3	LLC270C	Lat-Lon-Cap	ECCOv4 Climatology	18–36 km
4	LLC270R	Lat-Lon-Cap	JRA55-do	18–36 km
5	LLC270R_spread	Lat-Lon-Cap	JRA55-do	18–36 km
6	LLC270R_clim	Lat-Lon-Cap	JRA55-do	18–36 km
7	LLC540R	Lat-Lon-Cap	JRA55-do	9–18 km
8	CS510C (Standard ECCO2)	Cube-sphere	ECCO2 Climatology	~19 km
9	CS510R	Cube-sphere	JRA55-do	~19 km

178  
179 **Table 1:** Summary of all experiments. The ECCOv4 and ECCO2 climatological runoff is derived from  
180 Fekete et al. 2002 and Stammer et al. 2004, respectively. A comparison of runoff forcing is shown in  
181 Figure S1.

182 Each sensitivity experiment is integrated for 26 years (1992–2017), and we analysed the final 3-  
183 year period (1 January 2015 to 31 December 2017). We begin our analysis in January 2015 because the  
184 high-resolution SMAP observations, which we use to evaluate model skill, are began on 1 April 2015.  
185 10 large rivers at 8 coastal regions spanning from low- to mid-latitudes are selected for detailed analysis;  
186 including the Amazon and Orinoco (AZ), Congo (CG), Changjiang (CJ), Ganges and Brahmaputra (GB),  
187 Mississippi (MR), Parana (PA), Mekong (MK), and Columbia (CO) rivers (**Figure 1**).



188

189 **Figure 1:** The 10 large rivers (red circles) at 8 coastal regions (black boxes) used in our analysis: Amazon  
 190 and Orinoco (South America, noted as region 1), Congo (Africa, region 2), Changjiang (Asia, region 3),  
 191 Ganges and Brahmaputra (Asia, region 4), Mississippi (North America, region 5), Parana (South America,  
 192 region 6), Mekong (Asia, region 7), Columbia (North America, region 8). Red circle size is scaled by the  
 193 climatological river discharge magnitude.

194

### 195 2.3 Target Diagram and Willmott Skill Score

196

The first part of our study compares the simulated salinity with the synchronized SMAP SSS  
 197 observations from 1 April 2015 to 31 December 2017 at the river mouth region (Section 3). The level 3  
 198 SMAP version-3 SSS was produced by the Jet Propulsion Laboratory (<ftp://podaac-ftp.jpl.nasa.gov> Yueh  
 199 et al., 2013, 2014). We also compare climatological SSS during this period with the World Ocean Atlas  
 200 2018 (<https://www.nodc.noaa.gov/OC5/woa18/>). We use the Willmott skill score (Willmott, 1981), a  
 201 widely-used metric for quantifying agreement between models and observations. The Willmott score is  
 202 calculated as:

203

$$W_{skill} = 1 - \frac{\sum_{i=1}^n (M_i - O_i)^2}{\sum_{i=1}^n (|M_i - \bar{O}| + |O_i - \bar{O}|)^2} \quad (3)$$

204

where  $M_i$  is the model estimate at  $t_i$ ,  $O_i$  is the observation at time  $t_i$ ,  $\bar{O}$  is the mean of the observations,  
 205 and  $n$  is the number of time records for comparison. Specifically,  $W_{skill} = 1$  indicates perfect agreement  
 206 between model and observations;  $W_{skill} = 0$  indicates that the model skill is equivalent to the  
 207 observational mean.

208

Furthermore, we conduct our skill assessment for model SSS on multiple experiments across several  
 209 regions. We also use Target diagrams (Jolliff et al. 2009) to visualize a suite of skill metrics efficiently.

210

Target diagrams are plotted in a Cartesian coordinate system with the x-axis representing the unbiased



211 root-mean-square-deviation (RMSD), the y-axis represents the bias, and the distance between the origin  
 212 and any point within the Cartesian space representing total RMSD.

$$213 \quad Bias = \frac{\sum_{i=1}^n (M_i - O_i)}{n} = \bar{M} - \bar{O} \quad (4)$$

$$214 \quad Unbiased \ RMSD = \sqrt{\frac{\sum_{i=1}^n [(M_i - \bar{M}) - (O_i - \bar{O})]^2}{n}} \quad (5)$$

$$215 \quad RMSD = \sqrt{\frac{\sum_{i=1}^n (M_i - O_i)^2}{n}} \quad (6)$$

216  $\bar{M}$  represents the mean of the model estimates. These three skill assessment statistics are  
 217 particularly useful, as bias reports of the size of the model-observation discrepancies. Bias values near  
 218 zero indicate a close match, though it can be misleading as negative and positive differences can cancel  
 219 each other. The unbiased RMSD removes the mean and is a pure measure of how model variability differs  
 220 from observational variability. The total RMSD provides an overall skill metric, as it includes  
 221 components for assessing both the mean (bias) and the variability (unbiased RMSD).

222 We normalize the bias, unbiased RMSD, and total RMSD by the observational standard deviation  
 223 ( $\sigma_o$ ) to allow for the display of multiple experiment and regional SSS observations on a single Target  
 224 Diagram. According to the definition of unbiased RMSD, the value should always be positive. However,  
 225 the  $X < 0$  region of the Cartesian coordinate space may be utilized if the unbiased RMSD is multiplied  
 226 by the sign of the standard deviation difference ( $\sigma_d$ ):

$$227 \quad \sigma_d = sign(\sigma_m - \sigma_o) \quad (7)$$

228 The resulting target diagram thus provides information about whether the model standard deviation is  
 229 larger ( $X > 0$ ) or smaller ( $X < 0$ ) than the observations' standard deviation, in addition to if the model  
 230 mean is larger ( $Y > 0$ ) or smaller ( $Y < 0$ ) than the observations' mean.

#### 231 **2.4 Definition of plume characteristics**

232 We investigate the role of grid resolution and runoff forcing through several key metrics: plume  
 233 area, volume, and freshwater thickness. The plume area is defined as regions with SSS below a given  
 234 salinity threshold  $S_A$  (See Sect. 4.2). The freshwater volume, relative to the reference salinity,  $S_0$ , is  
 235 defined as the integral of the freshwater fraction

$$236 \quad V_f(S_A) = \iiint_{S < S_A} \frac{S_0 - S}{S_0} dV \quad (8)$$

237 where the volume integral is bounded by the isohaline  $S_A$ . Here, we assume the maximum salinity in  
238 each selected region as the reference salinity  $S_0$ . The freshwater thickness  $\delta_{fw}$  represents the equivalent  
239 depth of freshwater and is computed as:

$$240 \quad \delta_{fw} = \int_{-h}^{\eta} \frac{S_0 - S}{S_0} dz \quad (9)$$

241  $S(z)$  is the depth-dependent diluted salinity due to the river discharge,  $\eta$  is the sea level, and  $h$  is the  
242 bottom depth.

### 243 **3. Comparison with SMAP and WOA18**

244 We first estimate how various ECCOv4 LLC simulations (Table 1) compare to observations in the  
245 vicinity of 10 large river mouths. The synchronized SMAP SSS (01 April 2015 to 31 December 2017,  
246 33-month) is used as the primary verification dataset (Yueh et al., 2013, 2014). SMAP SSS has been  
247 documented to exhibit bias compared to observed SSS in shallow waters near river mouths (Fournier et  
248 al., 2017). Therefore, as an indication of real SSS, we also compare the model simulations to the World  
249 Ocean Atlas 2018 (WOA18), which is an objective analysis of in-situ observations from a period of  
250 “climate normal” years (1981-2010) (Zweng et al., 2019). We note that there may be relatively few  
251 observations incorporated into the objectively-analysed WOA18 product near the coast, which may over-  
252 smooth salinity fronts. Additionally, WOA18 is a 55-year climatology from 1955–2010; therefore, we  
253 can only compare model climatology from 2015–2017. Overall, we use SMAP and WOA18 as  
254 “observational references”, where our model-observation comparisons provide useful information on  
255 how SSS changes between experiments rather than determine which experiment is closer to the real  
256 world.

257 The upper 10-m SSS biases relative to SMAP, averaged over the 33 months, for CS510C (standard  
258 ECCO2) and the LLC540R (highest resolution) are shown in Figure S2. Both SMAP and WOA18 have  
259  $1/4^\circ$  horizontal grid resolution, therefore, we interpolated all model fields to this grid. For both  
260 simulations, negative biases are found from low- to mid-latitudes, while positive biases occur at high  
261 latitudes. When focusing on large river mouth regions (e.g., AZ, PA, and CJ), the SSS bias is reduced in  
262 LLC540R. This demonstrates that the choice of runoff forcing impacts on SSS at predominantly local  
263 scales; however, background currents can transport the signal downstream or offshore to the open ocean  
264 (Liu et al., 2009; Molleri et al., 2010).

265 Next, we compute the mean model SSS near all selected river mouth regions, along with SMAP  
266 and WOA18 (Table 2). The corresponding Willmott Skill (WS) numbers are listed in Table 3. We use

267 the 1<sup>st</sup> Empirical Orthogonal Function (EOF) derived from WOA18 to determine river mouth regions,  
268 since WOA18 represents persistent low-salinity zones over the 30 years. We remove the mean SSS field  
269 before the EOF analysis. The 1<sup>st</sup> mode explains ~47–67% of the total variance. We then reconstruct the  
270 dominant SSS anomaly field by multiplying the 1<sup>st</sup> PC with the spatial pattern. Locations with salinity  
271 that is 1–2 PSU lower in the reconstructed SSS field is taken as the river mouth region, and all eight  
272 regions are shown in Figure S3. The SMAP SSS has been found lower than the WOA18 SSS for large  
273 rivers. The underestimation is more than 5 PSU for the Amazon region. The deviation between satellite  
274 products and in-situ observations is consistent with Fournier et al. (2016) and Fournier et al. (2017).  
275 Near the selected large river mouths, experiments with daily, point-source runoff forcing have lower SSS  
276 than experiments forced by climatological diffusive runoff (LLC90R vs. LLC90C; LLC270R vs.  
277 LLC270C; CS510R vs CS510C). Increasing model resolution generally results in regions becoming  
278 fresher (Table 2; LLC90C to LLC270C; LLC90R to LLC270R to LLC540R). With the diffusive surface  
279 forcing, LLC270R<sub>spread</sub> driven by daily JRA55DO has lower salinity than LLC270C driven by Fekete.  
280 This is not a surprise, since the model automatically interpolates the river forcing file to the model grids.  
281 The Fekete river discharge file spreads spatially more than JRA55DO before the model interpolation  
282 (Figure S1). This means less freshwater has been input to the top layer of the target river mouth region,  
283 resulting in a relatively high salinity. Moreover, with the daily JRA55DO forcing, the sea surface salinity  
284 with the point-source forcing (LLC270R) is lower than the diffusive forcing (LLC270R<sub>spread</sub>). We can  
285 think about adding river to single grid cell instead of multiple cells was equivalent to decreasing the inlet  
286 width in regional models, which results in an increase in the inflow velocity, thus more efficiently  
287 spreading riverine freshwater within our selected river mouth area (Table 2). Lastly, our new runs show  
288 that with point-source river forcing, the runoff using year-by-year JRA55DO and climatological  
289 JRA55DO produced inconsistent SSS changes for selected rivers. Specifically, in the experiments with  
290 climatological JRA55DO, CG, CJ and PA river plumes have higher SSS, while AZ, GB, MR, MK and  
291 CO river plumes have lower SSS.

292

River Mouth	Abb.	Discharge (m <sup>3</sup> /yr)	WOA	SMAP	LLC 90C	LLC 90R	LLC 270C	LLC 270R_spread	LLC 270R	LLC 270R_clim	LLC 540R	CS 510C	CS 510R
Amazon/Orinoco	AZ	6440	32.7	27.5	34.0	34.1	31.7	30.4	28.2	27.6	24.6	34.3	23.8
Congo	CG	1270	33.6	33.7	34.7	34.3	34.6	35.3	33.9	35.2	33.7	34.9	34.1
Changjiang	CJ	907	32.9	31.4	33.1	32.8	33.0	33.2	32.2	32.4	31.8	32.5	30.9
Ganges/Brahmaputra	GB	643	29.3	27.5	30.9	29.4	29.5	27.6	27.2	27.1	23.9	29.7	25.6
Mississippi	MR	552	33.5	34.8	35.8	34.7	35.8	34.4	34.1	33.3	33.8	35.3	34.1
Parana	PA	517	28.9	27.3	33.7	31.0	31.1	24.9	24.7	25.5	20.0	33.8	20.0
Mekong	MK	504	32.9	32.9	33.5	32.6	32.3	31.2	30.3	29.8	31.0	31.8	28.5
Columbia	CO	167	30.7	31.0	32.0	31.7	31.7	31.3	30.8	30.5	30.3	31.4	30.4

294 **Table 2:** The SSS near river mouth for WOA18, SMAP, and all experiments for the selected regions

295

296 A comparison with SMAP shows that  $W_{skill}$  scores become higher when model resolution  
 297 increases from 1° to 1/3° (LLC90C vs LLC270C, LLC90R vs LLC270R), but lower when further  
 298 increases to 1/6° (e.g., LLC270R vs LLC540R for Amazon, Ganges/Brahmaputra, Parana). The higher  
 299 or lower  $W_{skill}$  score is consistent with the SSS decrease. In the AZ region, SSS from LLC270R is  $\leq 1$   
 300 PSU lower than the SMAP average, while LLC540R is  $\sim 3$  PSU lower. Therefore, LLC270R receives a  
 301 skill score of 0.92, higher than LLC540R (0.74). Although the SSS reduction lowers the model score  
 302 overall, we should note that SMAP only has 1/4° resolution. This means it may not have the capability  
 303 to resolve the fine-scale dynamic features of the plume compared to high-resolution model simulations.  
 304 In addition, SMAP may underestimate SSS near the river mouth (Fournier et al. 2017). Therefore, a  
 305 larger discrepancy with SMAP in high resolution run does not indicate the simulation deviates from the  
 306 truth.

307 When taking SMAP as the reference, the  $W_{skill}$  scores show that LLC270R\_spread is better than  
 308 LLC270C for most rivers, such as AZ, GB, MR, PA, and CO. This is not surprising since the JRA55DO  
 309 runoff has better temporal resolution compared to Fekete runoff. As a comparison,  $W_{skill}$  scores of  
 310 LLC270R\_spread is worse than LLC270R. This is because diffusive surface runoff results in higher SSS  
 311 than point-source surface runoff (Figure S8).

312 When taking WOA as the reference, experiments forced with the climatological river forcing  
 313 (LLC270R\_clim and LLC270C) had better  $W_{skill}$  score than daily forcing runs (LLC270R\_spread and  
 314 LLC270R). This is can be expected since WOA is a climatological dataset. A comparison with SMAP  
 315 score shows that most ECCO SSS products had comparable skills with SMAP, indicating that they are  
 316 reliable to use as a climatological mean.

317 The higher or lower  $W_{skill}$  score is consistent with how much the model deviates from the  
 318 observational reference. At the AZ region, SSS from LLC270R is less than 1 PSU lower than the SMAP  
 319 average, while LLC540R is roughly 3 PSU lower. Therefore, LLC270R receives a skill score of 0.92,  
 320 higher than LLC540R (0.74). This also occurs with LLC270C and LLC270R when using WOA18 as the  
 321 reference.

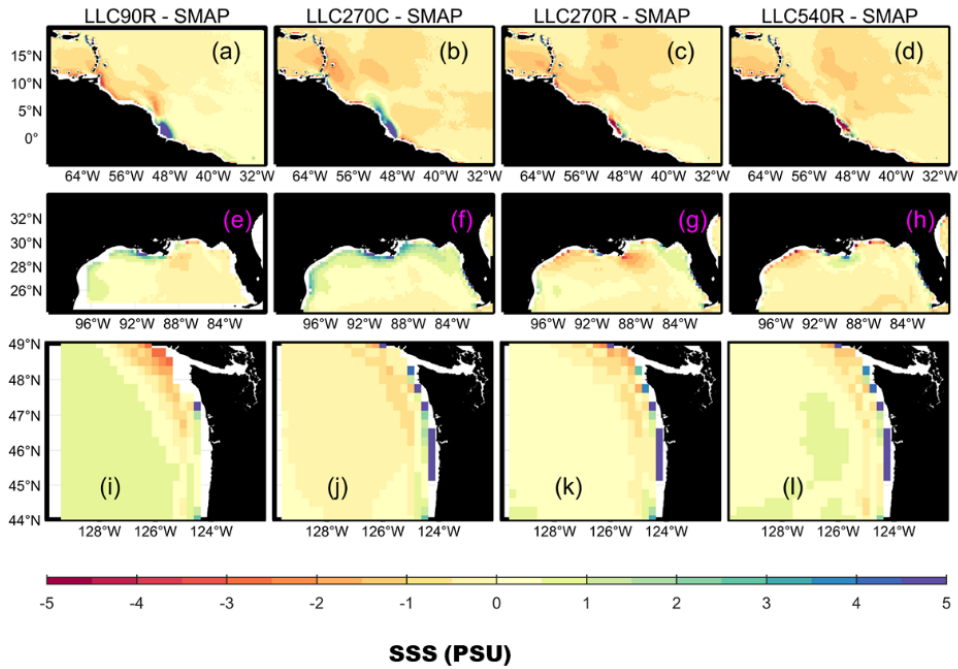
322 For rivers in tropical and temperate zones, the CS510 grid has a resolution comparable with the  
 323 LLC540 grid. Therefore, the SSS and skill scores are comparable between CS510R and LLC540R. Since  
 324 the model grid type has a negligible impact on SSS for low- to mid-latitude rivers, we will discuss model  
 325 sensitivity to runoff forcing and model grid resolutions only.

River Mouth	SMAP	LLC 90C	LLC 90R	LLC 270C	LLC270R spread	LLC 270R	LLC270R clim	LLC 540R	CS 510C	CS 510R
with SMAP										
Amazon / Orinoco	-	0.50	0.50	0.71	0.83	0.92	0.92	0.79	0.46	0.73
Congo	-	0.58	0.64	0.69	0.46	0.89	0.47	0.88	0.60	0.87
Changjiang	-	0.53	0.59	0.51	0.50	0.64	0.31	0.83	0.59	0.85
Ganges / Brahmaputra		0.61	0.71	0.69	0.83	0.85	0.84	0.69	0.57	0.70
Mississippi		0.55	0.79	0.53	0.75	0.77	0.69	0.75	0.49	0.72
Parana		0.37	0.51	0.45	0.60	0.62	0.56	0.40	0.37	0.40
Mekong		0.79	0.90	0.77	0.67	0.54	0.47	0.63	0.74	0.38
Columbia		0.46	0.60	0.49	0.68	0.73	0.70	0.74	0.27	0.61
with WOA										
Amazon / Orinoco	0.47	0.73	0.69	0.87	0.62	0.64	0.78	0.47	0.54	0.44
Congo	0.54	0.60	0.67	0.70	0.48	0.94	0.47	0.95	0.64	0.92
Changjiang	0.44	0.82	0.94	0.68	0.70	0.70	0.73	0.72	0.78	0.54
Ganges / Brahmaputra	0.73	0.72	0.90	0.92	0.77	0.78	0.82	0.51	0.73	0.59
Mississippi	0.69	0.46	0.59	0.46	0.76	0.68	0.60	0.73	0.49	0.66
Parana	0.87	0.40	0.45	0.45	0.42	0.42	0.42	0.29	0.40	0.29
Mekong	0.64	0.84	0.87	0.83	0.39	0.45	0.59	0.54	0.71	0.30
Columbia	0.47	0.51	0.62	0.63	0.85	0.87	0.82	0.84	0.51	0.90

326 **Table 3:** The Willmott skill score for each run as compared with WOA18 and SMAP. The river mouth  
 327 was recognized by the 1<sup>st</sup> EOF of WOA18 (See Figures 5 and S1). Note that WOA18 data are a 30- year  
 328 climatology (1981—2010) and not in the same period as SMAP and experiments.  
 329  
 330

331 To better compare the sensitivity of SSS to river forcing, we provide zoomed-in plots of the same  
 332 comparison shown in Figure 1 for AZ, MR, and CO Rivers for all LLC simulations, representing large,

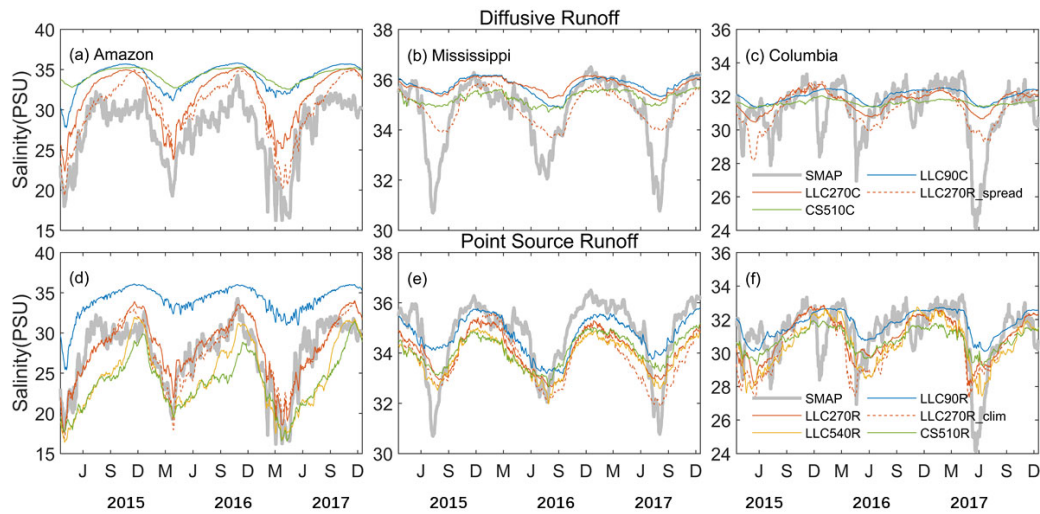
333 medium, and small rivers (Figure 2 and Figure S8). The positive bias is greatly reduced when applying  
 334 daily, point-source river forcing, and increasing the horizontal grid resolution.



335  
 336 **Figure 2:** Zoomed-in view of SSS difference between model experiments and SMAP observations for  
 337 large (Amazon, a–d), medium (Mississippi, e–h), and small (Columbia, i–l) rivers.  
 338

339 Timeseries for all LLC simulations and SMAP at these three river mouths, are shown in **Figure 3**.  
 340 As in Figure 2, the bias decreases when daily, point-source river forcing is used and as the horizontal  
 341 grid resolution increases. Additionally, the 2017 spring Amazon flood can be seen when forcing with  
 342 diffusive daily JRA55DO (LLC270R\_spread), but not by diffusive climatological Fekete case  
 343 (LLC270C). The Mississippi/Columbia River mouth region is different from the Amazon in that the  
 344 annual cycle of LLC270R\_spread is stronger than LLC270C in all three years. This is because the  
 345 seasonality of the Mississippi-Atchafalaya/Columbia River mouth has been oversmoothed in the  
 346 climatological Fekete. The LLC270R\_clim fluctuates in comparable with the LLC270R, except the  
 347 annual extreme low SSS are comparable for the three simulated years.

348 Interestingly, the intermediate-resolution LLC270 run preforms better than the high CS510  
 349 resolution run in the Amazon region. This is because the Stammer et al. (2004) runoff is spatially  
 350 smoother and lacks seasonal variability compared to the Fekete et al. (2002) runoff in this region (Figure  
 351 S7). When using DPR forcing, the SSS differences associated with the river discharge interannual  
 352 variability can be resolved as well. For example, the 2017 abnormally-low SSS near the Amazon river  
 353 mouth is associated with an extreme flooding event (Barichivich et al., 2018).



354

355 **Figure 3:** Area-averaged SSS in the Amazon, Mississippi, and Columbia river mouth regions (see Figure  
 356 S3) with **diffusive** and point-source runoff forcing for SMAP (thick grey line) and experiments (thin  
 357 coloured lines) with varying horizontal grid resolution. The method used to characterize the river mouth  
 358 region is described in Section 3.

359

360 **Next, we quantify the difference in mean and variance between the SSS time series of LLC**

361 **simulations and that of SMAP using daily JRA55DO runoff but with diffusive and point source method**

362 **for each (Figure 4). With the same daily JRA55DO, the normalized bias has been found lower in the**

363 **experiment with point sources than in the experiment with diffusive sources in general when forced with**

364 **daily JRA55DO. The change in normalized unbiased RMSD is largely negligible compared to the**

365 **changes in normalized bias for most rivers, except CG and MK. Most unbiased RMSD remains negative**

366 **when switching the runoff forcing from climatological to daily for most regions. This implies that the**

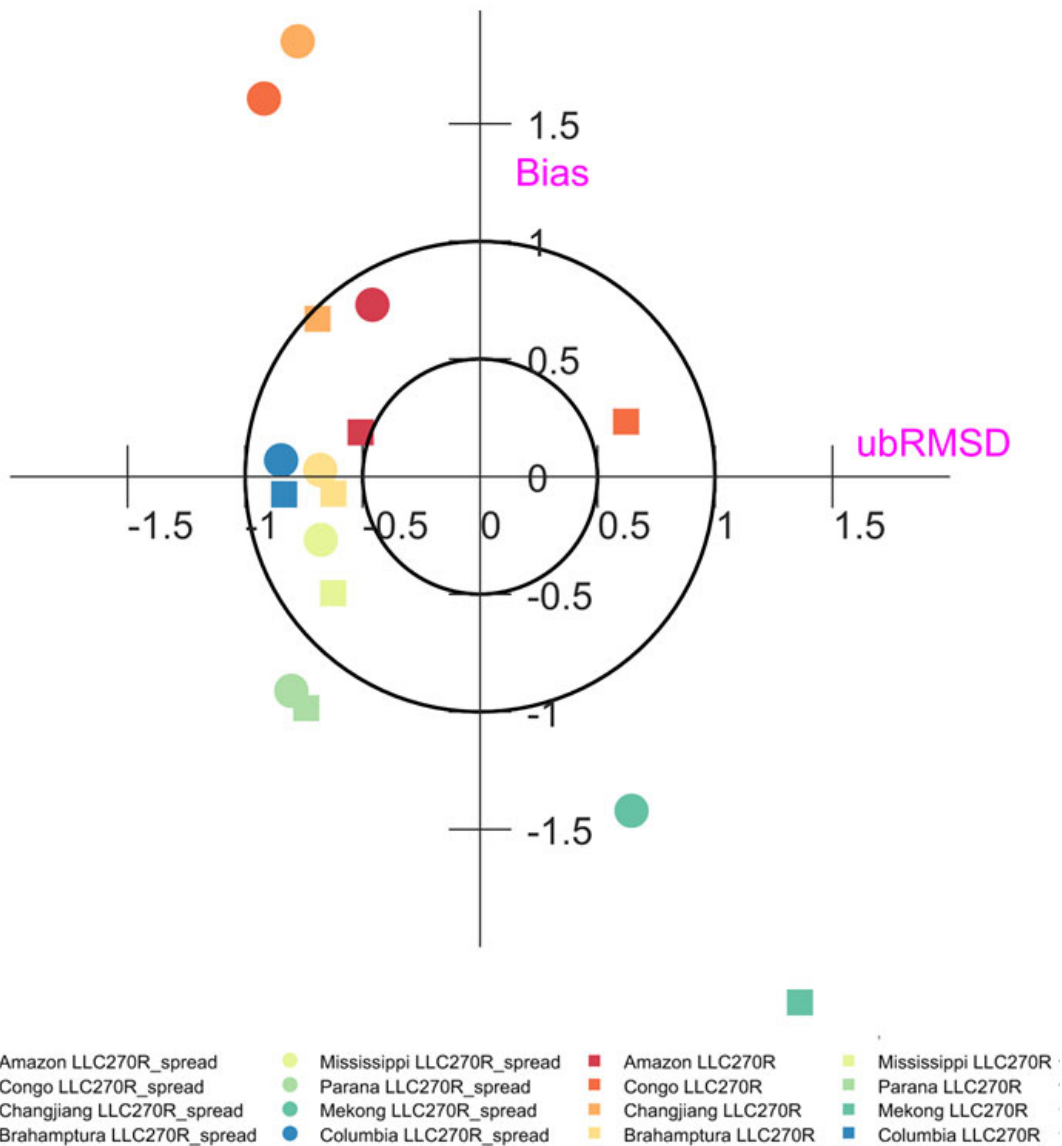
367 **variance of LLC simulations remains lower than SMAP observations despite of the runoff forcing**

368 **changes. The exception of the CG River is possibility because it is a near equator eastern boundary plume**

369 **where freshwater transport distinguished from others (Palma and Matano, 2017), and the abnormal MK**

370 **may reflect SMAP data are contaminated by the land signal near Vietnam coast, introducing lots of noise**

371 **to the SSS timeseries.**



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373  
374  
375  
376

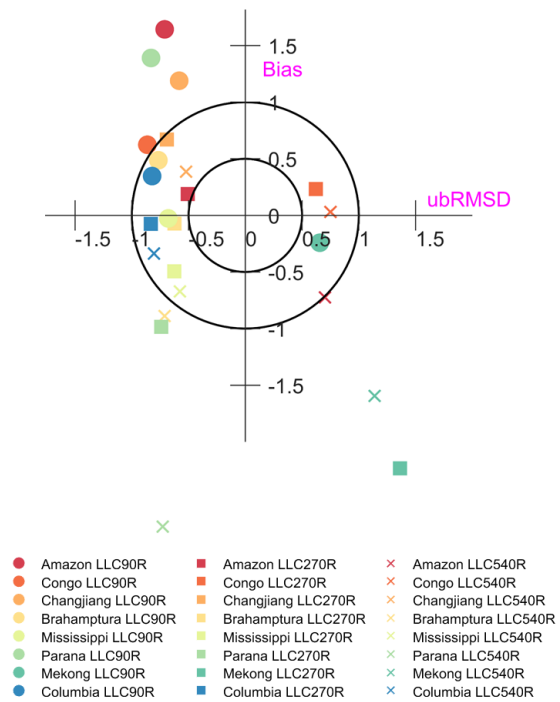
**Figure 4:** SSS target diagram near the selected river mouths (see Figure S3) for LLC270R\_spread and LLC270R simulations.

377 We also examine the bias and variance on the Target Diagram for experiments with varying  
378 grid resolution but similar daily runoff forcing (**Figure 5**). Our results show that the normalized bias  
379 decreases as the model resolution increases, which is consistent with the SSS reduction in Table 2 and  
380 relatively low  $W_{skill}$  in Table 3. The unbiased RMSD decreases slightly, with the sign remaining  
381 negative as the model resolution increases. This occurs everywhere, except for the two largest rivers (AZ  
382 and CG) where the sign becomes positive for LLC540R, indicating that the model variance exceeds the  
383 SMAP variance when using the high-resolution grid. In summary, the comparison with synchronized



384 SMAP shows that using daily runoff and finer horizontal grid resolution improves the representation of  
 385 SSS variability but at a cost of increased SSS bias.

386



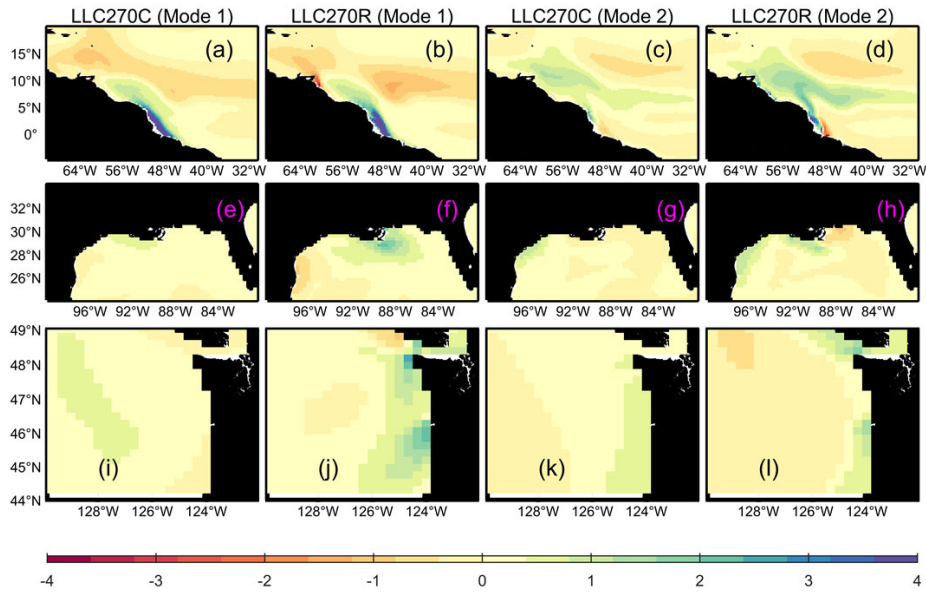
387

388 **Figure 5:** Same as Fig. 4, but for LLC90R, LLC270R, and LLC540R.

#### 389 4. Impact on River Plume Properties

##### 390 4.1 EOF analysis of SSS

391 We next investigate how model runoff improvements impact river plume properties such as plume  
 392 area, volume, and freshwater thickness. Here, we focus on how the widely-used ECCOv4 simulation  
 393 (forced by Fekete runoff) is different from the new DPR implementation. We limit our discussion to  
 394 LLC#C/R cases only (Exp. 1, 2, 3, 4 and 7). We first evaluate plume SSS signature and dynamics through  
 395 EOFs; the mean is removed before the EOF analysis. The first and second mode of AZ, MR, and CO  
 396 using the same grid resolution but with different runoff forcing is shown in Figures 6 and S4. The spatial  
 397 pattern reveals the salinity anomaly caused by the runoff, while the PC timeseries shows the timing. A  
 398 single value in the spatial pattern or PC timeseries doesn't have an exact physical meaning, but together  
 399 they reveal how much salinity deviates from the mean. The PC timeseries for experiments with DPR  
 400 forcing clearly show similar seasonal cycles, albeit with larger amplitudes and interannual variability.



401

402 **Figure 6:** 1<sup>st</sup> and 2<sup>nd</sup> EOF spatial patterns from the LLC270C and LLC270R simulations for the Amazon,  
 403 Mississippi, and Columbia rivers. The corresponding PC timeseries are normalized by the standard  
 404 deviation and multiplied with the spatial mode.

405 For the AZ region, the LLC270R and LLC270C spatial patterns are similar for the first and second  
 406 mode. The first mode accounts for 59% (72%) of the total variance in LLC270R (LLC270C). The spatial  
 407 pattern reveals a low-salinity tongue; which located in a narrow band along the north-eastern South  
 408 American coast from February–June, which is associated with the large river discharge and the  
 409 northward-flowing **North Brazil Current**. The second mode of LLC270R (LLC270C) accounts for 33%  
 410 (23%) of the total variance. The spatial pattern shows that the plume-like features extend northwestward  
 411 to the Caribbean Sea and Central Equatorial Atlantic Ocean from May–September. This pattern is driven  
 412 by Ekman currents associated with northeasterly wind stress and the transport to the Central Equator is  
 413 due to the North Equatorial Counter Current (NECC, Lentz 1995a, b).

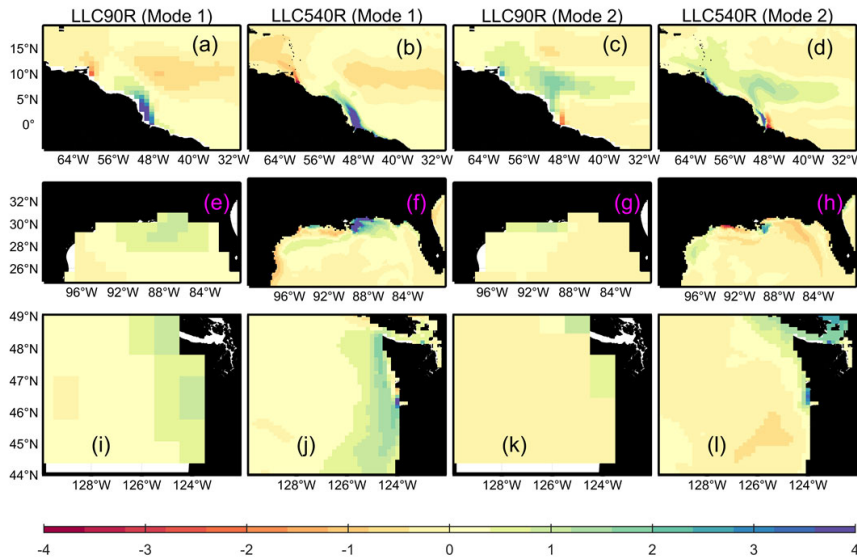
414 For the MR region, the first and second mode of LLC270R (LLC270C) explains 53% (66%) and  
 415 29% (18%) of the total variance, respectively. The spatial pattern of the first mode is generally similar.  
 416 There is a bulge-like plume feature that occupies a region near the MR mouth with a southeast extension  
 417 to the central Gulf of Mexico from May–October (Figure S4), while the freshwater signal in the vicinity

418 of the southeast MR mouth is stronger in LLC270R. The extension of low-salinity waters is due to the  
419 upwelling-favourable winds (southwesterly) from late-spring to summer, which transport the MR  
420 freshwater offshore (Walker, 1996). The spatial pattern of the second EOF mode represents the low  
421 salinity Mississippi River plume water transport downcoast from Louisiana towards Texas, which is  
422 carried by the reversed shelf circulation from September to May (Cochrane and Kelly, 1986).

423 The first and second mode explains 63% (56%) and 29% (33%) of the variability at the CO region  
424 in LLC270C (LLC270R), respectively. It has been previously recognized that the CO plume exhibits  
425 seasonal variability forced by wind and freshwater discharge (García Berdeal *et al.*, 2002). During winter,  
426 Ekman transport resulting from the northward winds constrains the plume against the Washington coast.  
427 Downwelling-favorable wind stress strengthen the anti-cyclonic rotation of the river plume, resulting in  
428 a coastally-attached winter plume. In contrast, prevailing southward wind stress results in offshore  
429 Ekman transport; this advects the plume offshore, where it is influenced by the California Current over  
430 long timescales and subsequently veers southward and offshore (Banas *et al.*, 2009). This seasonal  
431 pattern is shown in the first LLC270R mode and second LLC270C mode.

432 The first and second EOF modes for AZ, MR, and CO with daily runoff forcing in coarse (LLC90R)  
433 and fine (LLC540R) grid resolution are shown in Figures 7 and S5. The plume-like features and  
434 associated dynamics are similar to LLC270 in both runs. Additionally, the higher-resolution LLC540R  
435 resolves fine-scale plume structure for several major rivers, which was previously revealed by satellite  
436 observations, regional simulations, or neural network methods (e.g. meanders and rings of the AZ plume  
437 due to the North Brazilian Current (NBC) retroflexion, Molleri *et al.* 2010). In LLC540R, “horseshoe”  
438 patterns of the MR plume are associated with Texas floods (Fournier *et al.* 2016), and the bidirectional  
439 CO plume is seen during variable summer wind patterns (Liu *et al.* 2009). Overall, EOF SSS analysis

440 shows that general plume patterns and dynamics are grid independent; however, fine-scale plume  
 441 structures are only resolved by high-resolution simulations.

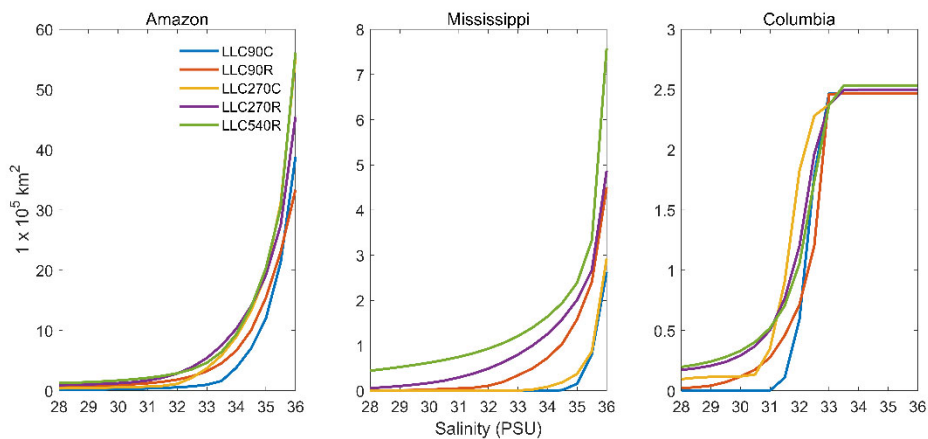


442 **Figure 7:** Same as Fig. 6 but for the LLC90R and LLC540R simulations. The corresponding PC  
 443 timeseries are normalized by the standard deviation and multiplied with the spatial mode shown in Figure  
 444 S5.  
 445

446 **4.2 Plume Area, Volume, and Freshwater Thickness**

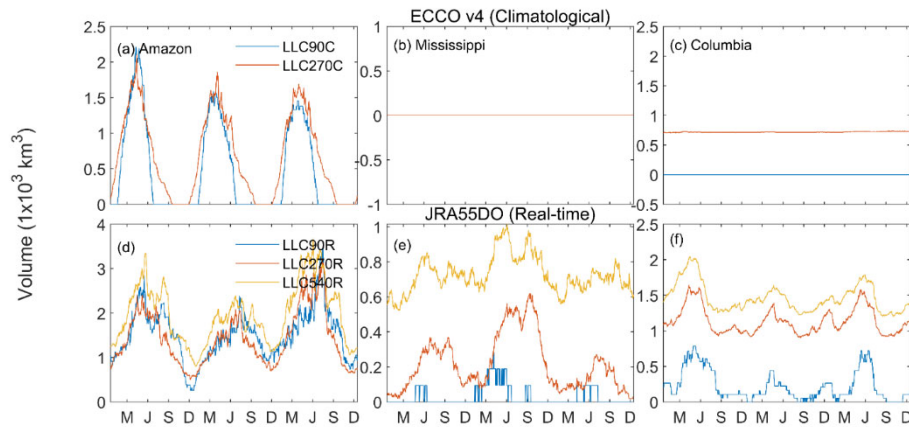
447 We first calculated the plume area using the salinity threshold from 28 to 36 PSU. The 3yr-average  
 448 plume area for AZ, MR, and CO river region for different LLC configurations is shown in Figure 8.  
 449 Under either the climatological diffusive or DPR river forcing, we could see the plume area increasing  
 450 when model resolution increase from  $1^\circ$  to  $1/3^\circ$  for all regions. While the plume area responds to the  
 451 model resolution change to  $1/6^\circ$ , this can only can be seen in the Mississippi River region. To highlight  
 452 the seasonal and interannual variability are at the given threshold, we also show the plume area and  
 453 volume within  $S_A = 30$  PSU using climatological and daily runoff forcing in the coarse-, intermediate-,  
 454 and high- resolution runs (Figures S6, 9). Figure 9 presents a time series of freshwater volume within the  
 455 given salinity during this period. There is a stronger interannual variability when using DPR, and larger  
 456 plume area and volume during flood years. The MR and CO plume area/volume cannot be explicitly  
 457 resolved at the  $S_A = 30$  threshold when using the climatological and runoff forcing, since river runoff

458 has been distributed over broad spatial grids and surface salinity decrease is small. For the AZ region,  
 459 the averaged plume area (volume) approaches  $6 \times 10^4 \text{ km}^2$  ( $7 \times 10^2 \text{ km}^3$ ) in LLC270C, whereas it is  
 460 only about  $3 \times 10^4 \text{ km}^2$  ( $5 \times 10^2 \text{ km}^3$ ) in LLC90C. In contrast, the MR and CO plume area (volume) is  
 461 easily recognized when using DPR forcing. The AZ plume increases as the grid resolution increases,  
 462 reaching  $10$ ,  $13$ , and  $17 \times 10^4 \text{ km}^2$  in LLC90R, LLC270R, and LLC540R, respectively. The freshwater  
 463 volume in coarse-, intermediate-, and high-resolution runs is comparable, with values of  $\sim 1.5 - 2 \times 10^2$   
 464  $\text{km}^3$ . The plume area and volume in the MR region is more sensitive to the model grid resolution than  
 465 AZ and CO. The LLC540R plume area is  $\sim 3-4$  times larger than LLC270R, while LLC270R is  $\sim 6-7$   
 466 times larger than LLC90R. When using DPR forcing, the CO region's plume area is similar between  
 467 intermediate- and fine-resolution experiments, with the area in LLC270R and LLC540R increasing to  $\sim 1$   
 468  $\times 10^5 \text{ km}^2$  during the 2015 flood year. In contrast, LLC540R maintains a larger plume volume than the  
 469 intermediate resolution run.



470  
 471 **Figure 8: 2015-2017 averaged plume area at salinity threshold  $S_a$  from 28 to 36 for the Amazon,**  
 472 **Mississippi, and Columbia River regions.**

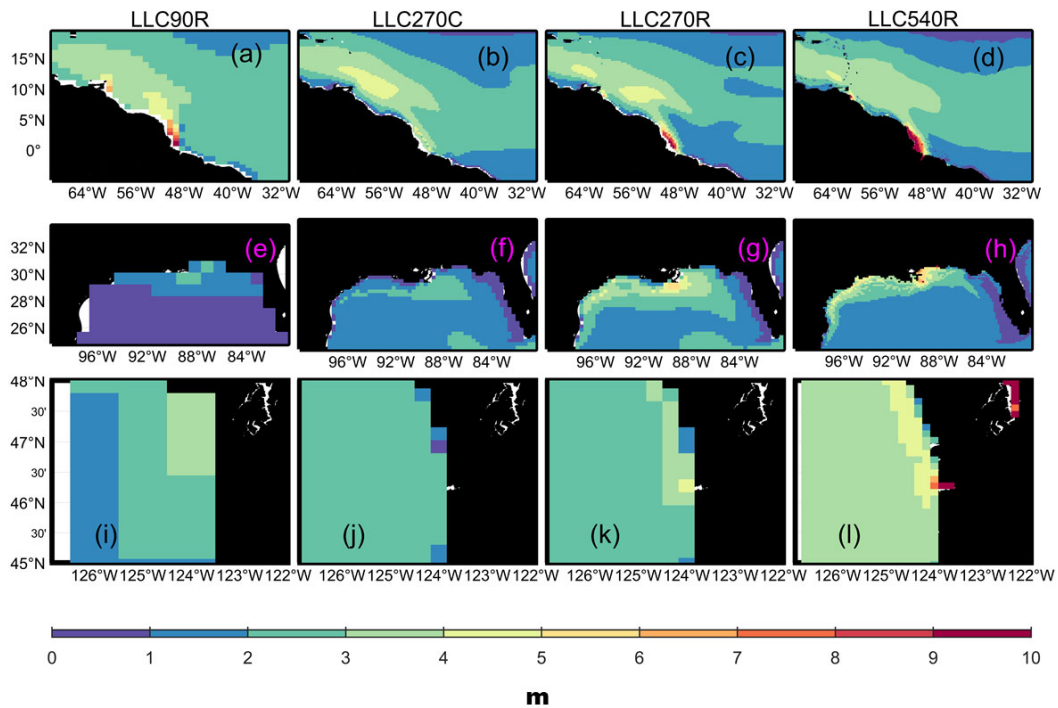
473



474  
 475 **Figure 9:** Freshwater volume within 30 PSU for the Amazon, Mississippi, and Columbia river regions  
 476 for various experiments; reference salinity is 36 PSU.

477 The sensitivity of plume area and volume to runoff forcing and grid resolution reflects the  
 478 **experiment's** ability to resolve horizontal advection and downward mixing of riverine freshwater. This  
 479 can be partially reflected in the freshwater thickness calculation, which is shown in Figure 10. For the  
 480 intermediate resolution experiments, the maximum freshwater thickness  $\delta_{fw}$  is over 10 m, 5 m, and 4 m  
 481 near the AZ, MR, and CO river mouths when using DPR, as opposed to 4 m, 2 m, and 2 m, when using  
 482 climatological runoff. Additionally, the freshwater thickness in experiments with DPR but different grid  
 483 resolutions (LLC270R and LLC540R) demonstrates that a coherent plume rotates **and responds** to  
 484 external wind and background flow; this coastal plume structure is largely absent in the coarser LLC90R.  
 485 The coarse-resolution experiment exhibits a more diffuse response, with lower salinity near MR and CO  
 486 river mouths. Note that the runoff forcing is identical between LLC90R, LLC270R, and LLC540R  
 487 experiments, and differences in plume area, volume, and freshwater thickness are due to model resolution  
 488 alone. The freshwater flux in the higher resolution experiments can result in larger inflow velocities, a  
 489 more robust baroclinic response, and a more vigorous coastal plume. The plume area and volume in MR  
 490 region are more sensitive to grid resolution — this possibly results from the representation of shelf  
 491 bathymetry. The Texas-Louisiana shelf is wider and shoals more gradually from the coastline than the

492 northern Brazilian shelf (AZ) and Washington shelf (CO). When adding the same amount of freshwater  
 493 in shallow water regions, high resolution experiments generate a larger pressure gradient force than the  
 494 intermediate resolution, which drive more substantial baroclinic effect and elevate coastal currents. The  
 495 alongshore currents can advect the MR Plume water downcoast, which enlarges the plume area.  
 496 Additionally, plume waters may be entrained downward by strong sub-grid vertical mixing and  
 497 adjustment, e.g., meso-scale eddies, when flowing offshore to the open ocean as the horizontal resolution  
 498 increases from intermediate to high. The eddies in high resolution run at AZ and CO may also break up  
 499 the plumes and reduce their area compared to low-to-intermediate resolution runs (Figure 8).



500

501 **Figure 10:** Freshwater thickness for the Amazon, Mississippi, and Columbia river regions in LLC90R,  
 502 LLC270C, LLC270R, and LLC540R experiments.

503 **4.3 Impact on Ocean Properties Associated with SSS**

504 This section examines the sensitivity of stratification and mixed layer depth (MLD) between  
 505 different experiments. Figure 11 shows 3-year averaged vertical profiles of salinity, temperature, vertical

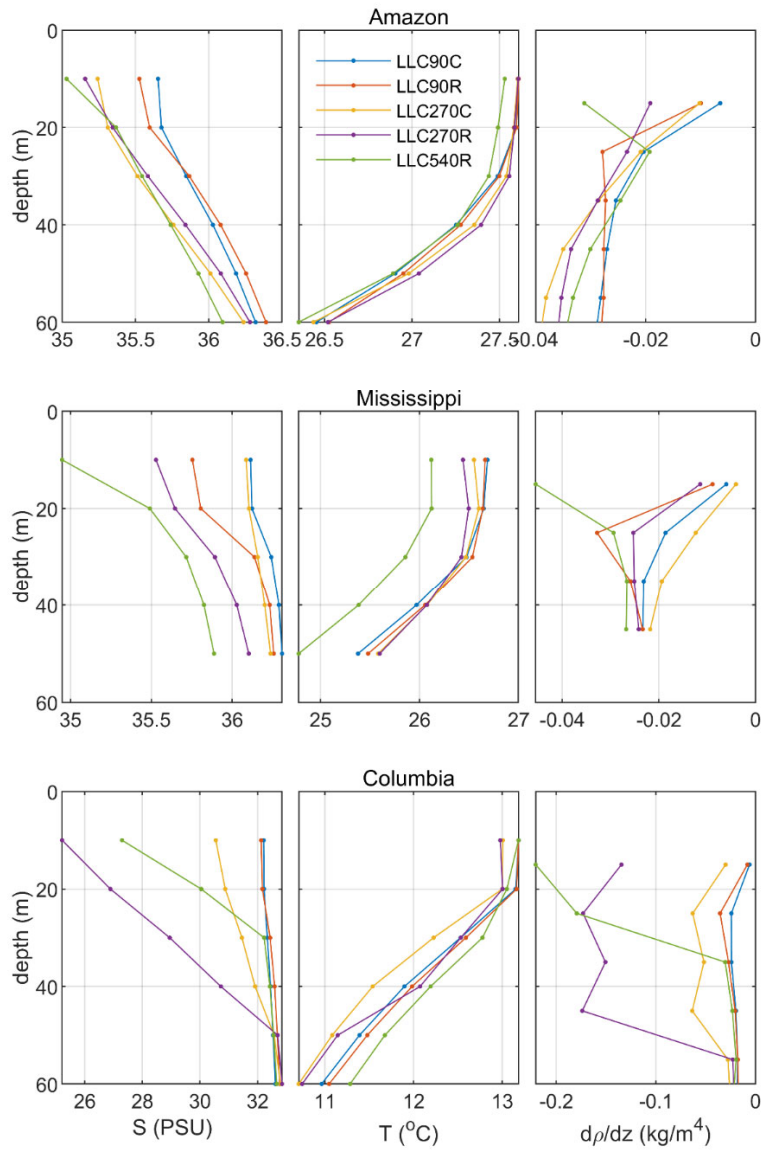
506 density gradient  $d\rho/dz$  ( $\rho$  is the potential density) near the AZ (top), MR (middle), and CO (bottom)  
507 river mouths, respectively. The profiles are averaged over the horizontal regions shown in Figure S3.  
508 The vertical density gradient is an important indicator of stratification strength. The salinity differences  
509 between climatological (LLC90/270C) and DPR forcing (LLC90/270R) are large near the surface and  
510 diminish with increasing depth. The temperature difference when using the two types of runoff forcing  
511 is insignificant, demonstrating that the stratification difference is primarily determined by salinity and  
512 the addition of freshwater. Additionally, DPR forcing greatly increases subsurface stratification, which  
513 implies a decrease in vertical mixing.

514 Figure 11 also shows the sensitivity of upper-ocean stratification to various model grid resolutions.  
515 The profiles show a significant decrease in salinity from the surface to 50-m depth as the resolution  
516 increases, impacting the stratification (rightmost panels). We note that the vertical density gradient has a  
517 subsurface maximum in the coarse- and intermediate-resolution run. In contrast, the high-resolution  
518 experiment has a surface maximum due to low-salinity water concentrated in the surface level. SST is  
519 highest in LL540R at AZ and MR, reflecting that heat is preserved at the surface due to the increase in  
520 subsurface stratification.

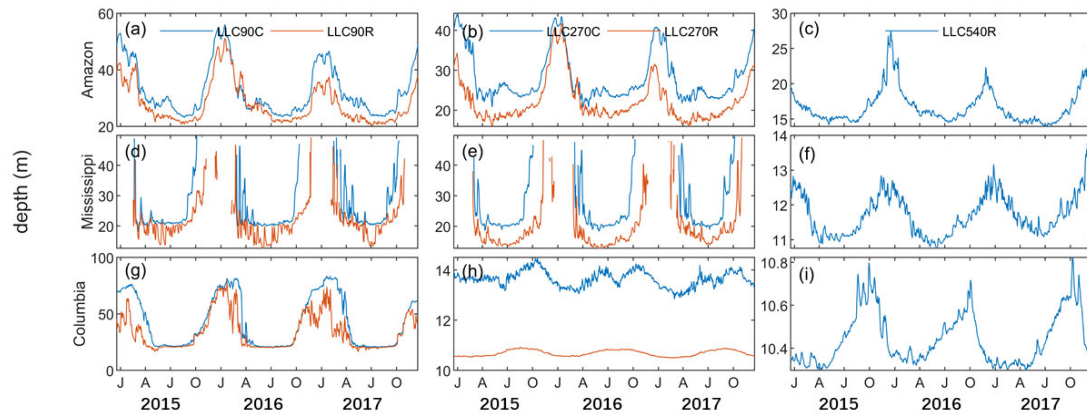
521 The sensitivity of stratification in the above analysis implies that the MLD can be altered by river  
522 forcing and grid resolution. We compare the MLD in the vicinity of AZ, MR, and CO during the  
523 simulation period in Figure 12. The MLD in our calculation uses the threshold method, in which deeper  
524 levels are examined until one is found with a density differing from the near surface by more than 0.03  
525  $\text{kg/m}^3$  (de Boyer Montégut et al., 2004). This reflects the maximum depth of the boundary layer that is  
526 sustained by riverine freshwater. Interestingly, all experiments simulate the annual cycle of MLD. There  
527 was relatively shallow MLD from April to December, which corresponds to periods of high river



528 discharge. The MLD in the DPR forcing and high-resolution scenario is shallower than the climatological,  
 529 low-resolution scenario, which is consistent with vertical salinity and stratification profiles shown in  
 530 Figure 11.



531  
 532 **Figure 11:** Mean 3-year (2015-2017) salinity, potential temperature, and vertical density  
 533 ( $d\rho/dz$ ) profiles.



534

535 **Figure 12.** 2015–2017 daily MLD, averaged in the vicinity of the Amazon River (upper panels),  
 536 Mississippi River (middle panels), and Columbia River (lower panels) mouth. MLD is computed as done  
 537 in Boyer Montegut et al. (2004).

538 **5. Discussion and conclusions**

539 This study investigates the model sensitivity of runoff forcing and grid resolution and type under the  
 540 ECCO framework. We find that DPR significantly improves model representation of global rivers, with  
 541 horizontal model resolution having a substantial control on SSS in the vicinity of river mouths. We  
 542 observe no significant changes in tropical and temperate river mouth SSS when using cube sphere grid  
 543 vs LLC grid types when using the same river forcing. A comparison with synchronized SMAP  
 544 observations shows that the use of DPR forcing and intermediate grid resolution can increase the model  
 545 performance in simulating SSS in the vicinity of river mouths. However, further increasing model grid  
 546 resolution from intermediate to high may result in an additional SSS bias towards fresher values.

547 Previous theoretical modelling studies have demonstrated that, in the absence of external forcing,  
 548 large river plumes influenced by rotational effects tend to veer anticyclonically and form a bulge region  
 549 near the river mouth as well as an along-shore downstream coastal current as Kelvin waves (Kourafalou  
 550 et al. 1996; Yankovsky and Chapman, 1997). Additionally, idealized numerical simulations have  
 551 revealed that river plume behaviour is greatly impacted by external forcing. Chao (1988a, b)  
 552 demonstrated that vertical mixing, bottom drag, and bottom slope greatly impact the spin-up,

553 maintenance, and dissipation of river plumes. Fong and Geyer (2001) revealed that a surface-trapped  
554 river plume would thin and be advected offshore by cross-shore Ekman transport. Additionally, Fong  
555 and Geyer (2002) suggested that the ambient current, which is in the same direction as the geostrophic  
556 coastal current, can augment plume transport. Our ECCO experiments examine the above river plume  
557 theoretical results globally with realistic topography and external atmospheric forcing. Our EOF analysis  
558 of SSS at the AZ, MR, and CO shelves shows that the general plume spatial and temporal patterns related  
559 to river discharge, wind, and currents are independent of the grid resolution and forcing formulations,  
560 which are consistent with previous theoretical studies. However, higher resolution and DPR forcing may  
561 be vital for resolving the small rivers' fine-scale plume dynamics. Using DPR forcing and increasing the  
562 model grid resolution from coarse to intermediate increases the river plume area and volume, while  
563 further increasing the model resolution from intermediate to high has mostly regional effects. Shallow  
564 and wide shelf regions, such as the Mississippi Delta, are more sensitive to the model resolution  
565 compared to AZ and CO. Recent increases in computational power have allowed GODAS products, such  
566 as ECCO, to provide model output at different resolutions, which supports regional scientific studies  
567 using offline methods (e.g. the Lagrangian method, Meng et al. 2020; Liang et al. 2019). Our results  
568 suggest that how high-resolution products should be used depends on the relevant spatio-temporal  
569 dynamics and geomorphologic characteristic of the studied region. We also found that using DPR forcing  
570 and increasing the model grid resolution can stabilize the water column at the subsurface and shoal the  
571 MLD. This may have significant implications for biogeochemical cycles and air-sea exchange in coastal  
572 zones. From the biogeochemistry perspective, riverine freshwater increases shelf stratification, which  
573 can prevent reoxygenation of bottom waters and thus can result in large hypoxic regions (Fennel, et al.  
574 2011; Feng et al. 2019). From the air-sea interaction perspective, on one hand, SST can trigger deep

575 atmospheric convection and intense rainfall. On the other hand, strong near-surface stratification may  
576 inhibit cooling and intensify tropical cyclones (Cione and Uhlhorn, 2003; Neetu et al., 2012; Rao and  
577 Sivakumar, 2003; Sengupta et al., 2008; Vialard & Allison et al., 2000; Vinaychandran et al., 2002). We  
578 envision that future work investigating river impacts on ocean-atmospheric and earth system dynamics  
579 could be accomplished by coupling our improved ECCO simulations with an atmospheric general  
580 circulation model (AGCM).

581 In the state-of-art OGCMs, ESMs, and most GODAS products, river runoff is incorporated on coarse  
582 resolution grids as augmented precipitation. Climatological runoff forcing is often used in conjunction  
583 with artificial spreading and a virtual salt flux scheme. Tseng et al. (2016) examined model sensitivity to  
584 the spreading radius, turbulent mixing parameterization, reference salinity, and vertical distribution of  
585 riverine freshwater on 1° resolution in Community Earth System Model (CESM). For all factors  
586 examined, they found that the model results are most sensitive to the spreading radius, which  
587 substantiates the importance of our finding that the associated plume properties, including the area hence  
588 the SSS near river mouths exhibit strong responses when switching the runoff flux from diffusive  
589 climatological to daily point-source.

590 The present state-of-the art regional scale estuarine models can simulate estuarine hydrodynamics  
591 and biogeochemical processes in a robust manner. The inlet approach, which defines a rectangular breach  
592 in coastal land cells with uniform density and discharge, is widely used (Herzfeld, 2015; Garvine, 2001).  
593 An additional barotropic pressure term may be added to account for pressure gradients induced by the  
594 freshwater plume (Schiller and Kourafalou, 2011). The inlet approach has also been used in global z-  
595 coordinate models by injecting freshwater in multiple vertical grid cells (Griffies et al. 2005). In our  
596 simulations, sea level changes are redistributed over-all vertical grid cells by the rescaled height vertical

597 coordinate. This is similar to the inlet approach in the regional models, which add a mass or volume flux  
598 of freshwater to a breach in coastal land cells (Garvine 1999). Herzfeld (2015) investigated the role of  
599 model resolution on plume response at the Great Barrier Reef (GBR) using the Regional Ocean Modeling  
600 System (ROMS). The study found that the plume veered left and followed a northward trajectory to Cape  
601 Bowling Green in a 1 km resolution model but not in a 4 km resolution model. Our findings are consistent  
602 with this result. The plume properties in our intermediate resolution simulations are more clearly detected  
603 than in the coarse resolution simulations. Our results also expand on their findings by showing that the  
604 sensitivity of plume properties in high resolution model is highly-dependent on shelf bathymetry. Schiller  
605 and Kourafalou (2010) investigated the dynamics of large-scale river plumes in idealized numerical  
606 experiments using HYCOM to address how the development and structure of a buoyant plume are  
607 affected by the vertical and horizontal redistribution of river inflow and bottom topography. Their  
608 experiments show that a narrow inlet, flat bottom facilitates a larger right-turning plume bulge region  
609 than a wide inlet, slope bottom (see their Figure 8 Riv2c-f; Riv2c-s). This is complementary to our  
610 findings that the MR plume, located on the wide and shallow LA shelf, has a larger horizontal plume  
611 area than the AZ and CO plume when increasing the horizontal resolution from intermediate to high.  
612 However, their discussion was limited to an idealized, rectangular model domain without external forcing,  
613 while our model simulations provide a practical application to natural river plume systems. The global  
614 application of the regional inlet representation of river forcing was also used by NOAA's Geophysical  
615 Fluid Dynamics Laboratory (GFDL) models (Griffies et al., 2005). An internal, pre-computed salinity  
616 source term was introduced into multiple vertical layers. However, river representation was done through  
617 **virtual salt flux** rather than through real mass or freshwater volume flux. Adding the real volume and  
618 mass of freshwater through multiple layers has been widely used in regional models like ROMS; it may

619 be useful to adapt this technology into future ECCO simulations and compare the results with the current  
620 surface injection methods.

621 For the global ocean, river runoff is much smaller than the precipitation and evaporation flux;  
622 therefore, it is parameterized for most OGCMs, ESMs, and GODAS products. One of the most significant  
623 expected signatures of global warming is an acceleration of the terrestrial hydrological cycle (IPCCs,  
624 2019; Piecuch and Wadehra, 2020). Both can significantly affect the magnitude, distribution, and timing  
625 of global runoff, leading to extremes in the frequency and magnitude of floods and droughts. When  
626 considering water resource management issues under climate and land use/land cover change, a key  
627 question such as “how will coastal oceans be impacted from flood and drought events?” is challenging  
628 to answer (Fournier et al., 2019). In the future, high-resolution global-ocean circulation models with DPR  
629 forcing may help identify the primary forcing mechanisms, such as those from climate-driven extreme  
630 events, which drive variability of large river plume systems just as skillfully as a regional model set-up.

631 Improved model representation of rivers may not be as important for global or basin- scale  
632 hydrological cycles as precipitation and evaporation (Du and Zhang, 2015), but may be critical for the  
633 global carbon cycle (Friedlingstein et al., 2019; Resplandy et al. 2018). River delivers large amounts of  
634 anthropogenic nutrients to the coastal zone (Seitzinger et al., 2005, 2010). The autochthonous production  
635 will transform inorganic nutrients to organic while sequestering atmospheric CO<sub>2</sub>. More importantly,  
636 rivers also deliver dissolved organic carbon (DOC) and particulate organic carbon (POC) to the coastal  
637 ocean, which can be remineralized and released as CO<sub>2</sub> to the atmosphere. Until recently, most global-  
638 ocean biogeochemistry models omitted or poorly represented riverine point sources of nutrients and  
639 carbon. Lacroix et al. (2020) added yearly-constant riverine loads to the ocean surface layer on a coarse  
640 resolution (1.5° ) model. They assessed that CO<sub>2</sub> outgassing from river loads accounted for ~10% of the

641 global ocean CO<sub>2</sub> sink. We anticipate that the implementation of DPR forcing and higher-resolution grids  
642 in ESMs and ECCO biogeochemical state estimates (ECCO-Darwin, Carroll et al., 2020) will help better  
643 resolve the global carbon budget (Friedlingstein et al., 2019).

644 LOAC development has historically had a low priority in OGCMs, ESMs, and GODAPs, and the  
645 exchange of freshwater between rivers/estuaries and the coastal ocean has been previously neglected.  
646 Our results demonstrate that the representation of runoff forcing in ECCO simulations is a major source  
647 of bias for coastal SSS. Our improvements and sensitivity analysis of river runoff in ECCO will directly  
648 contribute to: (i) the evaluation, understanding, and improvement of river-dominated coastal margins in  
649 global-ocean circulation models, (ii) investigation of mechanisms that drive seasonal and interannual  
650 variability in coastal plume processes, and (iii) bridge the gap between land-ocean interactions. These  
651 efforts will ultimately help to resolve land-ocean-atmosphere processes and feedbacks in next-generation  
652 earth system models better.

653

#### 654 **Code and Data Availability**

655 The MITgcm and user manual are available from the project website: <http://mitgcm.org/>. The ECCOv4  
656 setup can be found at [http://wwwcvs.mitgcm.org/viewvc/MITgcm/MITgcm\\_contrib/lle\\_hires/](http://wwwcvs.mitgcm.org/viewvc/MITgcm/MITgcm_contrib/lle_hires/). The  
657 exact version of MITgcm, ECCOv4 configuration, MATLAB routines to process the ECCOv4 output,  
658 generate the target model skill assessment diagram, and produce the paper figures are archived on Zenodo  
659 (doi:10.5281/zenodo.4106405). The SMAP observations can be downloaded from  
660 <http://apdrc.soest.hawaii.edu/las/v6/dataset?catitem=2928>. The model forcing and simulated salinity  
661 fields at different resolutions are archived on Zenodo (doi:10.5281/zenodo.4095613).

662

#### 663 **Author Contribution**

664 Dimitris Menemenlis designed the experiments and Hong Zhang carried them out. Dimitris Menemenlis,  
665 Hong Zhang, Dustin Carroll, and Yang Feng developed the model code. Yang Feng prepared the  
666 manuscript with contributions from Huijie Xue, Dustin Carrol, Yan Du, and Hui Wu.

667

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