1 2	InundatEd-v1.0: A Large-scale Flood Risk Modeling System on a Big-data - Discrete Global Grid System Framework					
3	·					
4	Chiranjib Chaudhuri <sup>1</sup> , Annie Gray <sup>1</sup> , and Colin Robertson <sup>1</sup>					
5	<sup>1</sup> Wilfrid Laurier University, Department of Geography and Environmental Studies,					
6	Waterloo, Canada					
7	Email: chiranjibchaudhuri@gmail.com					
8						
9						
10						
11						
12						
13						
14						
45						
15						
16						
17						
18						
19						
20						
21						
22						
23						
24 25	Keywords: Flood modeling system, Height Above Nearest Drainage, Discrete Global Grid System, IDEAS, Web-GIS, R/Shiny, Manning's Equation, Regional Regression.					

## 26 Abstract

Despite the high historical losses attributed to flood events, Canadian flood mitigation efforts have 27 28 been hindered by a dearth of current, accessible flood extent/risk models and maps. Such resources 29 often entail large datasets and high computational requirements. This study presents a novel, computationally efficient flood inundation modelling framework ("InundatEd") using the height 30 31 above nearest drainage-based solution for Manning's equation, implemented in a big-data discrete global grid systems-based architecture with a web-GIS platform. Specifically, this study aimed to 32 33 develop, present, and validate InundatEd through binary classification comparisons to recently observed flood events. The framework is divided into multiple swappable modules including: GIS 34 pre-processing; regional regression; inundation model; and web-GIS visualization. Extent testing 35 36 and processing speed results indicate the value of a DGGS-based architecture alongside a simple 37 conceptual inundation model and a dynamic user interface.

#### 38 Introduction:

39 Globally from 1994 to 2013 flood events accounted for 43% of recorded natural disasters 40 (Centre for Research on the Epidemiology of Disasters, 2016). Flooding is responsible for one 41 third of natural disaster costs in Europe (Albano, Sole, Adamowski, Perrone, & Inam, 2018), while 42 in Canada mean annual losses of \$1-2 billion (CAD) are attributed to flood disasters (Oubennaceur et al., 2019). A 2013 flood in southern Alberta, costing over 1.7 billion dollars (CAD) in insured 43 44 property damages, is the most expensive natural disaster in Canadian history (Stevens & Hanschka, 45 2014). Rapid economic development and urbanization during the last few decades – particularly urban development in close proximity to Canadian waters following population expansions of the 46 47 1950s-1960s - have increased the amount of exposure and in-turn the economic damages of flood 48 events (Robert et al., 2003), making the availability of accurate, timely, and detailed flood 49 information a critical information need (Pal, 2002).

50 Mitigating the considerable economic impact of flood events; the design of effective 51 emergency response measures; the sustainable management of watersheds and water resources; 52 and flood risk management, including the process of public flood risk education, have long been 53 informed by the practice of flood modelling, which aims to understand, quantify, and represent the 54 characteristics and impacts of flood events across a range of spatial and temporal scales (Handmer, 55 1980; Stevens & Hanschka, 2014; Teng et al., 2017, 2019; Towe et al., 2020). Flood modelling 56 research has increased in response to such factors as predicted climate change impacts (Wilby & 57 Keenan, 2012) and advancements in computer, GIS (Geographic Information Systems), and 58 remote sensing technologies, among others (Kalyanapu, Shankar, Pardyjak, Judi, & Burian, 2011; Vojtek & Vojteková, 2016; Wang & Cheng, 2007). Flood inundation modelling approaches can 59 60 be broadly divided into three model classes: empirical; hydrodynamic; and simplified/conceptual. 61 Empirical methods entail direct observation through methods such as remote sensing, 62 measurements, and surveying, and have since evolved into statistical methods informed by fitting 63 relationships to empirical data. Hydrodynamic models, incorporating three subclasses (one-64 dimensional, two-dimensional, and three-dimensional), consider fluid motion in terms of physical laws to derive and solve equations. The third model class, simple conceptual, has become 65 66 increasingly well-known in the contexts of large study areas, data scarcity, and/or stochastic 67 modeling and encompasses the majority of recent developments in inundation modelling practices. 68 Relative to the typically complex hydrodynamic model class, simple conceptual models simplify

69 the physical processes and are characterized by much shorter processing times (Teng et al., 2017, 70 2019). A class of model which uses the output of a more complex model as a means of calibrating 71 a relatively simpler model is also gaining popularity (Oubennaceur et al., 2019). While each class 72 has contributed substantially to the advancement of flood risk mapping and forecasting practices, 73 a consistent barrier has been the trade-off between computer processing time and model 74 complexity (Neal, Dunne, Sampson, Smith, & Bates, 2018), especially with respect to two-75 dimensional and three-dimensional hydrodynamic models, which entail specialized expertise to 76 derive and apply physical and fluid motion laws, require adequate data to resolve equations, and 77 the computational resources to process the equations. Neal et al. (2018) summarized the proposed 78 solutions to such challenges as relating to 1) modifications to governing equations or 2) code 79 parallelization, with the latter informing the method proposed in Oubennaceur et al. (2019). With 80 respect to 2D/3D hydrodynamic model code parallelization, Vacondio et al. (2017) listed two 81 approaches: classical (Message Passing Interface) and Graphics Processing Units (GPUs). The 82 GPU-accelerated method has been shown to decrease execution times, while avoiding the use of supercomputers, for high-resolution, regional-scale flood simulations (e.g., Ferrari et al. (2020), 83 84 Vacondio et al. (2017), Wang & Yang (2020), and Xing et al. (2019)). However, the GPU-85 accelerated method is still limited in terms of the hardware requirement (specialized graphics 86 cards), the use of uniform and/or non-uniform grids (Vacondio et al. (2017)), and the need for 87 specific, specialized modelling programs to handle the input data required to solve complex 88 hydrodynamic equations. The ongoing development of simple conceptual inundation models 89 offers another avenue to handle limitations such as computation requirements and data scarcity, 90 allowing areas poorly served by standard hydrodynamic modeling, to be provided with up-to-date 91 flood extent maps and provided with platforms with which the public can view and interact with 92 the simulated floods (Tavares da Costa, 2019). One such simple conceptual inundation model is 93 the flood model based on Height Above Nearest Drainage (HAND) (Liu et. al 2018). Zheng et al. (2018) estimated the River Channel Geometry and Rating Curve Estimation Using HAND which 94 95 gained interest from the community, industry, and government agencies. Afshari et al. (2017) showed that, while HAND-based flood predictions can overestimate flood depth, this method 96 97 provides fast and computationally light flood simulations suitable for large scales and hyper-98 resolutions. Although simple conceptual models using such methods as linear binary classification 99 and Geomorphic Flood Index (Samela et al., 2017, 2018) have been, and continue to be, developed,

the combination of simple conceptual flood methods with big-data approaches remains largelyuninvestigated (Tavares da Costa, 2019).

102

Recent advances in big data architectures may hold potential to retain enough model complexity to be useful while providing computational speedups that support widespread and system agnostic model development and deployment. There is an increasing need for examination of the potential of decision-making through data-driven approaches in flood risk management and investigation of a suitable software architecture and associated cohort of methodologies (Towe et al., 2020).

Discrete global grid systems (DGGS) are emerging as a data model for a digital earth framework (Craglia et al. 2012; Craglia et al., 2008). One of the more promising aspects of DGGS data models to handle big spatial data is their ability to integrate heterogeneous spatial data into a common spatial fabric. This structure is suitable for rapid model developments where models can be split into unit processing regions. Furthermore, with the help of DGGS the model can be ported to a decentralized big-data processing system and many computations can be scaled for millions of unit regions.

116 The Integrated Discrete Environmental Analytics System (IDEAS) is a recently developed 117 DGGS-based data model and modelling environment which implements a multi-resolution 118 hexagon tiling data structure within a hybrid relational database environment (Robertson, 119 Chaudhuri, Hojati, & Roberts, 2020). Notably, and in contrast to previous systems, the only 120 special installation entailed by the DGGS-based data spatial model is a relational database. As 121 such, DGGS-based data model can be ported to any software-hardware architecture as long as it 122 supports a relational database system The system exploits the hardware capability of the database 123 itself which can potentially incorporate the following: GPU(s), distributed storage, and a cloud 124 database.

In this paper we employ the IDEAS framework for the efficient computation, simulation, analysis, and mapping of flood events for risk mitigation in a Canadian context. As such, the novelty of this study is twofold: 1) the contribution of the new DGGS-based big spatial data model to the field of flood modelling, and 2) the presentation of a web-interface which lets users compute the inundation on the fly based on input discharge for select Canadian regions where flood risk maps are either not publicly available or do not exist. Moreover, the properties and structure of the

5

131 DGGS-based spatial data model address a number of challenges and limitations faced by previous 132 flood modelling approaches in the literature. For instance, it is modular, making it easy to switch 133 between RFFA-based, HAND-based, or alternative models without sacrificing the consistency of 134 the framework. Likewise, the method by which Manning's n is calculated can be easily 135 interchanged. Another novel aspect of this framework is the incorporation of Land Use Land Cover 136 data in the estimation of the roughness coefficient Manning's n instead of a constant value or a 137 channel-specific value of Manning's n as is typically used (Afshari et al., 2017; Zheng et al., 2018). 138 In terms of the tradeoff between model complexity and computation power, the IDEAS framework 139 uses an integer-based addressing system which makes it orders of magnitude more efficient than 140 that of other, more traditional spatial data models. This, in turn, benefits any and all spatial 141 computations associated with flood modelling. Finally, whereas most major spatial computations 142 entail specialized software/code, in the DGGS-based method the spatial relationship is embedded 143 in the spatial-data model itself. Thus, the spatial relationships need not be considered beyond the 144 use of certain rules of the spatial-data model. The overall efficiency and versatility provided by a 145 DGGS framework can benefit the field of flood risk mapping, which uses the spatial distribution 146 of simulated floods to identify vulnerable locations.

147 Access to flood risk maps can build the capacity of individuals to make informed and 148 sustainable investment and residence decisions in an age of climate concern and environmental 149 change (Albano et al., 2018). The current state of public knowledge of flooding risks is 150 unsatisfactory, with an estimated 94% of 2300 Canadian respondents in highly flood-prone areas 151 lacking awareness of the flood-related risks to themselves and their property, per a 2016 national 152 survey (Calamai & Minano, 2017; Thistlethwaite, Henstra, Brown, & Scott, 2018; Thistlethwaite, 153 Henstra, Peddle, & Scott, 2017). Calls for better transparency and access to reliable flood risk 154 maps and data with which to improve public awareness and understanding of flood risks is in line 155 with a contemporary trend toward more open and reproducible environmental models 156 (Gebetsroither-Geringer, Stollnberger, & Peters-Anders, 2018). There is an opportunity to utilize 157 big data architectures and recent developments in flood inundation modelling and risk assessment 158 technologies to make flood risk information, based on best flood modelling practices, more 159 accessible.

160 The aim of this paper is threefold: 1) propose a simple conceptual inundation model 161 implemented in big-data architecture; 2) test the model and its results through comparison to 162 known extents of previous flood events; and 3) present the resultant flood maps via an open source,163 interactive web application.

164

## 165 **2. Methods**

166

#### 167 <u>2.1 Overview</u>

The modelling component of InundatEd incorporated four general stages: 1) GIS pre-processing;
2) flood frequency analysis and regional regression; 3) the application of the catchment integrated
Manning's Equation; 4) upscaling the model to a discrete global grid systems data model. Sections
2.2.1 to 2.2.4 describe stages 1-4 respectively.

172 The second component of InundatEd's development was the design of a Web-GIS 173 interface, described in Section 2.3, which liaises with and between the big data architecture, the 174 flood models' outputs as defined by user inputs, and FEMA's Hazus depth-damage functions 175 (Nastev & Todorov, 2013) (Section S1). Section 2.4 subsequently links the Web-GIS interface 176 conceptually to previous sections by providing a summary of InundatEd's system structure and its 177 operation. Finally, simulated flood extents using InundatEd's methodology were compared to the 178 extents of observed, historical flood extent polygons within the Grand River watershed and the 179 Ottawa River watershed, provided respectively by the Grand River Conservation Authority and 180 Environment Canada. The comparison and testing process is described in Section 2.5.

181 182

#### 183 <u>2.2. Modelling</u>

184

## 185 <u>2.2.1 – Stage 1: GIS Pre-processing</u>

186

The following GIS input data were obtained from Natural Resources Canada for the Grand River and Ottawa River watersheds and cropped to their respective drainage areas of 6,800 square kilometres (Li et al., 2016) and 146,000 square kilometers (Nix, 1987): Digital Elevation Models (Canada Centre for Mapping and Earth Observation, 2015); river network vector shapefiles (Strategic Policy and Innovation Centre, 2019); and Land Use Land Cover (LULC) (Canada Centre for Remote Sensing, 2019). Figure 1 shows the input Digital Elevation Model with elevation values given in metres, and the dams and gauging stations used in this study. The

194 resolution of the DEM and LULC data is 30m x 30m. The vertical accuracy of the DEM is 0.34 m 195  $\pm$  6.22 m, i.e., 10 m at the 90% confidence level. The vertical datum used is the Canadian Geodetic 196 Vertical Datum of 2013 (CGVD2013). The stations used for station-level discharge comparison 197 are labeled in Figure 1. The uncertainty in the vertical dimension affects the slopes of individual 198 pixels, the upslope contributing area, and can potentially affect the quality of extracted hydrologic 199 features (Lee et al., 1992, 1996; Liu, 1994; Ehlschlaeger and Shortridge, 1996). Hunter and 200 Goodchild (1997), while investigating the effect of simulated changes in elevation at different 201 levels of spatial autocorrelation on slope and aspect calculations, indicated the importance of a 202 stochastic understanding of DEMs. The Monte Carlo method (Fisher 1991) could potentially shed 203 some light on this kind of uncertainty. However, in our case it was beyond the focus of our study 204 and we considered the vertical uncertainty small enough to not affect our large-scale flood 205 modeling simulations. The remaining GIS input data is shown in Supplementary Figure S1. Very 206 small networks, independent of the higher-order channels, were deleted from both regions. ArcGIS 207 Desktop's Raster Calculator tool was used to burn the river network vector into the DEM to ensure 208 the consistency of the river network between the dem delineated and observed. TauDEM (Terrain 209 Analysis Using Digital Elevation Models) (Tarboton, 2005), an open-source tool for hydrological 210 terrain analysis, was then used to determine drainage directions and drainage accumulation 211 (Tarboton & Ames, 2004) within the watersheds of interest. Each watershed's drainage network 212 was then established in TauDEM by defining a minimum threshold of two square kilometres on 213 the contributory area of each pixel for the Grand River watershed and ten square kilometres for the Ottawa River watershed. Separately, a value of Manning's n was determined for each 30 x 30 214 215 metre pixel of the study areas based on land use/ land cover attributes (Comber & Wulder, 2019). 216 To this end, the input LULC classes (Canada Centre for Remote Sensing, 2019) within the study 217 watersheds were mapped to the nearest class of the similar land cover classes documented in Chow 218 (1959, Table 5-6) and Brunner (2016, Figure 3-19), from which the respective values of Manning's 219 n were used. Table 1 provides the utilized input LULC classes, their respective description 220 provided by NRCAN, and the employed n values. Height Above Nearest Drainage (HAND) 221 (Rahmati, Kornejady, Samadi, Nobre, & Melesse, 2018; Garousi-Nejad, Tarboton, Aboutalebi, & 222 Torres-Rua, 2019) was also calculated in TauDEM with reference to the DEM and derived 223 drainage network. Figure 2a provides a visual overview of this stage of the modelling component. 224

8

#### 225 <u>2.2.2. Stage 2: Regional Regression and Flood Frequency Analysis</u>

226 Perhaps one of the most popular methods of flood frequency analysis is the index flood 227 approach - a regional regression model based on annual maximum discharge data (Dalrymple, 228 1960; Hailegeorgis & Alfredsen 2017). A variant of the index flood approach, which entails flood 229 frequency analysis, has been employed to understand the characteristics of flood behavior at the 230 global level (Smith et. al., 2014). At regional scale Burn 1997 has discussed the catchment 231 procedure essential to undertake the flood frequency analysis. Faulkner et. al. (2016) devised the 232 procedure to estimate the design flood levels using the available station data. Regional 233 hydrological frequency analysis at ungauged sites is also studied by few researchers (Desai and 234 Ouarda 2021).

235 The index flood approach was used to derive the discharges by return period at sub-236 catchment outlets. The model includes two sections: a) a relationship between index flood and 237 contributory upstream area for each hydrometric station and each subcatchment outlet (regional 238 regression); and b) a flood frequency analysis to estimate the quantile values of the 239 departures, with a departure defined as discharge at given station divided by the index flood of 240 that same station). The index flood approach entails the following assumptions: a) the flood 241 quantiles at any hydrometric site can be segregated into two components – an index flood and 242 regional growth curve (RGC); b) the index flood at a given location relates to the (sub)catchment 243 characteristics via a power-scaling equation, either in a simpler case which considers only 244 upstream contributory area or in a more complex case which incorporates land use/ land cover, 245 soil, and climate information; and c) within a homogeneous region the departure/ratio between 246 the index flood and discharge at hydrometric sites yields a single regional growth curve which 247 can relate the discharge and return period (Hailegeorgis & Alfredsen, 2017).

Per assumption a) (the flood quantiles at any hydrometric site can be segregated into two 248 249 components – an index flood and regional growth curve (RGC)), the index flood at each hydrometric station is required. To this end, annual maximum discharge values (m<sup>3</sup>s<sup>-1</sup>) were 250 251 extracted within R (R Core Team, 2019) at hydrometric stations maintained by Environment 252 Canada within the Grand River and Ottawa River watersheds (HYDAT) (Hutchinson, 2016). 253 Only stations with a period of record  $\geq 10$  years of annual maximum discharge (England et al. 254 (2018); Faulkner, Warren, & Burn (2016)) were maintained (n = 32 and n = 54 respectively for the Grand River watershed and the Ottawa River watershed). The minimum, median, and 255

9

256 maximum periods of record for the Grand River watershed were 12, 50, and 86 years,

257 respectively. Periods of record for the Ottawa River watershed ranged from a minimum of 10

258 years to a maximum of 58 years with a median of 36 years. A median annual maximum

discharge value ( $\tilde{Q}$ ) was then calculated for each hydrometric station. As discussed in Hailegeorgis & Alfredsen (2017), although the index flood is generally the sample mean of a set of annual maximum discharge values, index floods have also been evaluated based on the sample median (eg. Wilson et al., 2011) at the suggestion of Robson & Reed (1999). Finally, the index flood values ( $\tilde{Q}$ ) were used to normalize the observed annual maximum discharge values (Q) at their respective station, resulting in a set of values designated as Qi, such that Qi = Q/ $\tilde{Q}$ .

265 With respect to regional regression and assumption b) of the index flood method, a generalized linear model was applied to relate  $\log_{10}$  transformed  $\tilde{Q}$  values to  $\log_{10}$  transformed 266 267 upstream area values at each hydrometric station. The generalized linear model assumed an 268 ordinary least squares error distribution. The results of the generalized linear model for each 269 watershed allowed for the calculation of previously unknown Q values for each subcatchment 270 outlet. In a more complex model (Fouad et. al. 2016), other catchment characteristics such as land 271 use/land cover, geology, etc. could be used. However, in the case of the proposed model the 272 correlations between the calculated and observed index floods, on the sole basis of discharge 273 records and a linear model relating upstream area, were high as discussed in the Results section. 274 Thus, the simpler method was used to estimate index floods and to relate index flood to 275 contributory area at hydrometric stations and subcatchment outlets. Thus, the regional regression model derived a relationship between index flood ( $\tilde{Q}$ ) and upstream contributory area for each 276 hydrometric station s or sub-catchment outlet. The relationship between index flood at station i or 277 at a subcatchment outlet  $(\widetilde{Q^s})$  (median of annual maximum discharge) and upstream contributory 278 279 area  $(A_s)$  is given by:

280

$$\tilde{Q}^s = a A_s^c$$
 (1)

where *a* is the index flood discharge response at a unit catchment outlet (or at a hydrometric station) and *c* is the scaling constant. We took the logarithm of Equation (1) on both sides - a procedure used in noted in Hailegeorgis & Alfredsen (2017) as used in Eaton, Church, & Ham (2002) - yielding a linear relationship which was solved using the Ordinary Least Squares approach (Haddad et al. (2011).

286 With respect to assumption c) of the index flood method, which assumes that a regional 287 growth curve can be applied to a homogenous area as outlined above, we attempted to fit a 288 distribution to the ratio of the annual maximum discharge values at each station to the 289 corresponding index flood. Hailegeorgis and Alfredsen (2017) discussed a regionalization 290 procedure which ensures the homogeneity of the station-level data over any region. However, due 291 to the limited availability of the discharge data we avoided such sub-sampling and carried out the 292 index flood method at the entire watershed scale (Faulkner, Warren, & Burn 2016). This, however, 293 has impacted the upper quantiles of the flood estimation when comparing to the station level data 294 (Section 3.1). The selection of a suitable probability distribution model -a common tool in 295 hydrologic modelling studies (Langat et al., 2019; Singh, 2015)-for use in a watershed where the 296 flow has been modified due to human impact – whether via development of built up areas, 297 agriculture, road building, resource extraction activities such as forestry and mining, or flow abstraction in terms of dams and weirs is a fundamental step of the analysis process and must 298 299 account for disturbance-related changes to the extreme value characteristics of the flow. 300 Sometimes, natural hydrologic peaks, such as the spring freshet, are exacerbated by antecedent 301 conditions such as large snowpacks and frozen soils, resulting in substantial flood events. While 302 solutions to this problem have been proposed in the literature, artificial abstraction fundamentally 303 changes the extreme value characteristics of the flow, thereby hindering the usability of most 304 distributional forms (Kamal et. al. 2017).

305 Many researchers have tried to address this problem by putting explicit assumptions on 306 types of non-stationarity affecting the river discharge and are able to devise a closed mathematical 307 formulation which enables the parametric distributions to handle such non-stationarity. However, 308 such methods typically entail knowledge of the specific design return periods of individual flood 309 prevention structures (Salas & Obeysekera, 2014), many of which are absent in our case. To 310 circumvent this problem, we used a non-parametric approach for the regional growth curve (RGC), 311 which requires no fundamental sample characteristics. Thus, modified flood records and limited 312 information notwithstanding, flood frequency estimation is possible using the index flood 313 approach. Per assumption c) of the index flood method, a log-spline non-parametric approach was 314 taken to model a RGC (Stone, Hansen, Kooperberg, & Truong, 1997) for each study watershed. Specifically, the index flood values  $(\tilde{Q})$  were used to normalize the observed annual maximum 315 discharge values (Q) at their respective station ( $Q_i = Q/\tilde{Q}$ ). The  $Q_i$  values (n= 1487 and n = 1248 316

for the Ottawa River watershed and the Grand River watershed, respectively) were then fitted to a
logspline distribution for their respective watershed. The discharge quantiles (Q<sub>r</sub>) were extracted
for the following return periods (T, years): 1.25, 1.5, 2.0, 2.33, 5, 10, 25, 50, 100, 200, and 500.
The return periods were first converted to a cumulative distribution function:

321 Finally, flood quantile estimations were calculated for each return period as shown below: 322  $Q_T^i = \widetilde{Q}^i q_T$  (2)

such that T is a specified return period in years;  $Q_T^i$  is a quantile estimate of discharge for the specified return period T (years) at a specified station i (or a subcatchment outlet);  $\widetilde{Q}^i$  is the "index flood" at the same station i (or at the same sub-catchment outlet); i = 1, 2, ..., N where N =32 for the Grand River watershed or N= 54 for the Ottawa River watershed; and  $q_T$  is the regional growth curve as described above. Figure 2b provides a visual accounting of the regional regression and flood frequency analysis methodology described in this section.

329 Some of the limitations of this framework include the long-term flow records and 330 homogenous stations required for the creation of regional regression models. A dearth of long-331 term data affects flood magnitude computations specifically for the upper quantiles (5T rule, 332 Section 3.1).

333

#### 334 <u>2.2.3 Stage 3: Catchment Integrated Manning's Equation</u>

Manning's formula (Song et. al., 2017) is widely used to calculate the velocity and subsequently
the discharge of any cross-section of an open channel. The Manning's equation is given in SI units
by:

338 
$$Q = \frac{1}{n} R_h^{\frac{2}{3}} A S^{\frac{1}{2}}$$
(3)

339 such that Q is discharge in cubic metres per second, A represents the cross-sectional area, n is a 340 roughness coefficient, R<sub>h</sub> is the hydraulic radius, and S represents slope (fall over run) along the 341 flow path. Despite its widespread use, robustness, and relative ease of use, Manning's Equation 342 has an inherent problem which comes from the uncertain orientation of cross-sections. To mitigate 343 this problem, we integrated Manning's Equation along the drainage lines within the catchment, 344 accounting for the slope of each grid cell to yield bed area and derived the stage-discharge 345 relationship. This strategy uses hydrological terrain analysis, discussed previously in Section 2.2.1, 346 to determine the Height Above Nearest Drainage (HAND) of each pixel (Rodda, 2005; Rennó et

347 al., 2008). The HAND method determines the height of every grid cell to the closest stream cell it 348 drains to. In other words, each grid cell's HAND estimation is the water height at which that cell 349 is immersed. The inundation extent of a given water level can be controlled by choosing all the 350 cells with a HAND less than or equal to the given level. The water depth at every cell can then be 351 calculated as the water level minus the HAND value of the corresponding cell. The relevance of 352 HAND to the field of flood modelling has been demonstrated in the literature (Rodda, 2005, Nobre 353 et al., 2016). Its documented use notwithstanding, HAND's potential applications to the depiction 354 of stream geometry information and to the investigation of stage-discharge connections have not 355 been well investigated. Hydraulic methods of discharge calculation typically entail hydraulic 356 parameters derived from the known geometry of a channel. The knowledge of a channel's cross 357 sectional design is a requirement for many one-dimensional flood routing models, for instance the 358 one-dimensional St. Venant equation (Brunner, 2016). The requirement of the cross-section being 359 perpendicular to the flow direction makes it an implicit problem and also dependent on the choice 360 of cross-section position as well as the distance at which the points are taken on the cross-section. 361 In the current practice of hand designing it makes it subjective and draws substantial uncertainty 362 in the inundation simulation. Alternatively, HAND-based models do not explicitly solve the 363 Manning's equation at individual cross-section, but rather solve for a catchment averaged version 364 of it, by considering a river as a summation of infinite cross-sections. As such, the inherent 365 uncertainty is avoided. However, the simplistic HAND-based model struggles to simulate proper 366 inundation extent in case of complex conditions such as meandering main channels and confluences (Afshari et. al. 2017). This model doesn't capture the dynamic flow characteristics 367 368 such as backwater effects created by flood mitigation structures. Therefore, users have to be 369 cautious in such cases.

The conceptual framework for implementing HAND to estimate the channel hydraulic properties and rating curve is as follows: for any reach at water level h, all the cells with a HAND value < h compose the inundated zone F(h), which is a subarea of the reach catchment. The water depth at any cell in the inundated zone F(h) is the difference between the reach-average water level h and the HAND of that cell, HAND<sub>c</sub>, which can be represented as: depth = HAND<sub>c</sub>-h. Since a uniform reach-average water level h is applied to check the inundation of any cell within the catchment, the inundated zone F(h) refers to that reach level. The water surface area of any inundated cell is equal to the area of the cell  $A_c$ . This case study uses 30 metre x 30 metre grid cells, thus in this case  $A_c = 900 \text{ m}^2$ . The channel bed area for each inundated cell is given by

379  $A_s = A_c \sqrt{(1 + slope^2)}$  (4)

380 where slope is the surface slope of the inundated pixel expressed as rise over run or inverse tangent 381 of the slope angle. This equation approximates the surface area of the grid cell as the area of the 382 planar surface with surface slope, which intersects with the horizontal projected area of the grid cell. The flood volume of each inundated pixel at a water depth of h can be calculated as  $V_c$  (h)=A<sub>c</sub> 383 384 (h-HAND<sub>c</sub>). If the reach length L is known, the reach-averaged cross section area for each pixel is 385 given by  $A_i = V_c/L$ . Similarly, the reach-averaged cross section wetted perimeter for each inundated 386 pixel  $P_i(h) = A_s/L$ . Therefore, the hydraulic radius for each inundated pixel is given by  $R_i = A_i/P_i$ . Therefore, we can estimate the reach-averaged cross-section area  $A = \sum_i A_i$ , perimeter P =387  $P_i$ , and hydraulic radius R = A/P for the entire flooded area. We compared the composite 388  $\sum_{i}$ 389 Manning's n (Chow, 1959; Flintham & Carling, 1992; Pillai, 1962; Tullis, 2012) from 7 different 390 methods: the Colebatch method; the Cox method; the Horton Method; the Krishnamurthy Method; 391 the Lotter method; the Pavlovskii Method; and the Yen Method (McAtee, 2012). More details 392 about these methods are in the supplementary Section S2 of this paper.

Thus the discharge Q(h) corresponding to inundation height can be computed by the Manning'sequation and given by:

395

$$Q(h) = \frac{1}{n} R^{\frac{2}{3}} A S^{\frac{1}{2}}$$
(6)

where S is the slope of the river and n is the composite Manning's roughness coefficient. Figure
2c displays the sequence of methods outlined for the Catchment Integrated Manning's Equation
method.

399

## 400 <u>2.2.4 Stage 4: Upscaling and Data Conversion</u>

The proposed InundatEd inundation model simulates the flood-depth distributions for each catchment independently. This makes this model suitable to be ported to a DGGS-based data model and processing system. Following the GIS preprocessing, done in TauDEM as discussed in Section 2.2.1, the required data was converted to a DGGS representation, as outlined in Robertson et al., (2020). Supplementary Figure S2 for raster input data (S2a), polygon (vector) input data (S2b), and network (directional polyline vector) input data (S2c). For raster data (S2a), the 407 bounding box is used to extract a set of DGGS cells, and then for each DGGS cell's centroid the 408 raster value is extracted. To convert polygon data to a DGGS data model, we sample from its 409 interior and its boundary separately using uniform sampling. Then each sample point is converted 410 into DGGS cells based on its coordinates and stored into IDEAS data model by aggregating both 411 sets of DGGS cells (Figure S2b). The same process for the border extraction is applied to the 412 polylines and networks, however with network data the order of the cells is also stored as a flag to 413 use in directional analysis (Figure S2c). Following conversion, the data was ported to a 40-node 414 IBM Netezza Database for subsequent calculations. General, systematic limitations of the 415 InundatEd IDEAS-based inundation model are discussed in Section 3.1.

416

## 417 <u>2.3 Web-GIS Interface</u>

418 The R/Shiny platform and the R-Studio development environment were used to design the user 419 interface and server components of an online web application, allowing users to query and interact 420 with the inundation model. Features of R specific to InundatEd's modelling workflow were its 421 support of the Hazus damage functions and its support for DGGS spatial data. Shown in Figure 422 3a, the InundatEd user interface offers widgets for the following user inputs: address (text); 423 discharge (slider); and return period (drop down), as well as tabs for viewing interactive graphs. 424 The InundatEd user interface also features an interactive map which leverages the Leafgl R 425 package (Appelhans & Fay, 2019) for seamless integration with the DGGS data model. Users may 426 click on the map to obtain point-specific depth information.

427

# 428 <u>2.4 InundatEd Flood Information System – System Structure Summary</u>

Figure 3b displays the overall system structure and linkages for the InundatEd flood information system. GIS input data, as discussed in Section 2.2, were staged, pre-processed, and ported to the database. Data querying was used to compute 'in-database' inundation (flood depth) and related damages (methods outlined in Section 2.1) in response to user interface inputs to the R/Shiny UI.

#### 434 2.5 Flood Data Comparison and Model Testing

435 <u>2.5.0 Study Areas</u>

436 As preliminary testing domains, we created flood inundation models for the Grand River Basin and Ottawa River Basin respectively, both located in Ontario, Canada. Each basin has experienced 437 438 historical flooding and have implemented varying measures of flood control. Table 2 shows 439 different salient characteristics of these catchments. For the purposes of graphing and discussion 440 of station-specific period of record (number of years with a recorded annual maximum discharge) 441 on theoretical vs estimated flood quantiles, two stations from each study watershed were selected, 442 one each for high period of record and low period of record. For the Grand River watershed, stations 02GA003 and 02GA047 were selected for high and low period of record, respectively. 443 444 For the Ottawa River watershed, stations 02KF006 and 02JE028 were selected, respectively. 445 "Theoretical quantiles" are here defined as the quantiles generated by our model based on the 446 logspline fit, which incorporates annual maximum discharge values from multiple stations across each study watershed (Section 2.2.2 and Figure 3). In contrast, "estimated quantiles" are here 447 448 defined as the flood quantiles calculated simply by extracting the quantiles for the desired return 449 periods from the raw annual maximum discharge values observed at the hydrometric station of 450 interest.

#### 451 <u>2.5.1. Ottawa River Watershed</u>

Four flood extent polygons (FEPs) provided by Natural Resources Canada (Natural Resources
Canada, 2018, 2020) from the May-June 2019 flood season were used as "observed" floods to test
the model outputs for the Ottawa River watershed. Each FEP represented a previously digitized
floodwater extent at a specified date/time.

A second criterion for selection was that the hydrometric station(s) intersected by the FEP provided discharge data for the FEP's respective datetime. Two hydrometric stations which met both criteria were selected: 02KF005 and 02KB001. The following procedure was followed for each FEP using the corresponding hydrometric station (02KF005 or 02KB001), the station level index flood ( $\tilde{Q}$ , previously calculated during Section 2.2.2), and the observed discharge ( $Q_{obs}$ ). In both cases, the logspline fit for the Ottawa River watershed, previously generated during Section 2.2.2, was also used.

463 The observed discharge  $(Q_{obs})$  was divided by the corresponding hydrometric station's 464 index flood  $(\tilde{Q})$   $(Q_i = Q_{obs} / \tilde{Q})$  The cumulative probability of  $Q_i$  was then converted to a return 465 period.

16

466

To generate each simulated flood for comparison to its observed counterpart, the methodology
outlined in Sections 2.2.2 and 2.2.3 was repeated with the four new return periods appended to
the original list of return periods in Section 2.2.2. Table 3 lists each FEP, the corresponding
intersected hydrometric station, the period of record used for each station to calculate Q, the
observed discharge, the resultant cumulative probability value, and the final return period used to
generate each simulated flood.

473

## 474 <u>2.5.2. Grand River Watershed</u>

475 Regulatory floodplain extent data (the greater of RP=100 or discharge from Hurricane Hazel, 476 "observed" flood extent) was obtained from the Grand River Conservation Authority (GRCA) 477 (Grand River Conservation Authority, 2019). However, analysis revealed that, at most hydrometric 478 stations in the Grand River watershed, the 100-year return period yielded higher discharge values 479 relative to the "Hurricane Hazel" storm. Thus, the 100-year return period could be used. The 480 estimated flood extent for RP=100 was generated per sections 2.2.1-2.2.3. Table S1 provides a 481 discharge comparison between the 100-year return period and the regulatory storm.

482

#### 483 <u>2.5.3. Flood Extent Comparisons</u>

484 For both the Grand River watershed and the Ottawa River watershed, only those subcatchments 485 in close proximity to the observed flood extent polygons were retained for visualization 486 purposes. To this end, a criterion was applied to subcatchments in the Grand River watershed 487 requiring an intersection with the observed flood polygon of  $\geq 20\%$  of the subcatchment's area. 488 For the Ottawa River watershed, due to the use of station-specific observed discharges, an 489 additional criterion was applied: that a given subcatchment intersects with a network line with 490 contributory upstream area  $\geq 80\%$  and contributory upstream area  $\leq 120\%$  of the observed 491 upstream area of the hydrometric station (02KF005 or 02KB001). Table S2 provides by-492 subcatchment areas of the observed flood extent polygons whose subcatchments were eliminated 493 based on the 20% intersection threshold. Per Table S2, one excluded subcatchment (10505) had 494 an intersection value  $\geq 20\%$ , attributable in part to the presence of a tributary along which it 495 was not expected that the return period would be properly scaled but which intersected the 496 subcatchment. Additionally, due to the pluvial nature of the flooding in that subcatchment, it was 497 once again expected that the return period as a function of the river discharge would not be498 properly scaled without the presence of a hydrometric station to provide discharge information.

499 Binary classification metrics have been used to compare between observed and simulated 500 floods in cases where the focus is on extent, not depth (eg Papaioannou et al., 2016; Wing et al., 501 2017; Chicco & Jurman, 2020). A binary classification (or 2x2 contingency) method was used to 502 compare the simulated flood extent rasters to the extents of their observed counterparts, whereby 503 a confusion matrix was generated for each subcatchment. Multiple accuracy measures were 504 calculated from the contingency tables to support the evaluation of the flood model, including: 505 True Positive Rate (TPR). True Negative Rate (TNR), Accuracy, Matthews Correlation 506 Coefficient (MCC) (Chicco & Jurman, 2020; Esfandiari et al., 2020; Rahmati et al., 2020), and 507 the Critical Success Index (CSI) (e.g., Papaioannou et al., 2016; Stephens & Bates, 2015). Both 508 the CSI and the MCC have been used in the context of flood model validation. The Critical 509 Success Index (CSI) is defined as:

510 
$$CSI = \frac{TP}{TP + FN + FP}(7)$$

511 The Matthews Correlation Coefficient (MCC) is defined as:

512 
$$MCC = \frac{TP \, x \, TN - FP \, x \, FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}}$$
(8)

such that TP = true positive, TN = true negative, FP = false positive, and FN = false negative.

514

### 515 **3. Results and Discussion**

#### 516 **3.1 Model Processes and DGGS**

517 Intermediate model outputs for the Grand River and Ottawa River watersheds - Height Above
518 Nearest Drainage, delineated river networks, and Manning's n- are displayed in Figure S3.
519 Figure 4 visualizes results for the Grand River watershed and for the Ottawa River watershed for
520 the following method components: calculation of hydrometric station upstream (contributory)
521 area; index flood regression as represented by the correlation of logged index discharge and
522 logged upstream area; and flood frequency as represented by discharge against a Gumbel
523 transformed return period (years), for the stations respectively representative of high and low

524 observations. Figures 4a and 4b plot the log of calculated upstream area against the log of 525 observed upstream area, yielding respective Pearson correlation coefficients of 0.99 and 0.63 for 526 the Grand River and Ottawa River watersheds. The relatively weak correlation of the Ottawa 527 River watershed arose primarily from the limited resolution (number of decimal places in lat-528 long) of the station location information; incorrect reporting of station locations and/or their 529 drainage area (Environment Canada reported the drainage area as 0 for multiple stations); and 530 sometimes wrongly snapping stations to the tributaries rather than to the main river, particularly 531 in cases involving a wide river channel or braided river. However, this does not affect the model 532 itself, as we have used the station-specific drainage areas reported by Environment Canada to 533 create the regional regression model. With respect to regional regression, Figure 4c visualizes the 534 relationship between predicted index flood discharge and contributory upstream area, at 535 individual hydrometric stations, for the Grand River and Ottawa River watersheds (R = 0.83 and 536 0.95, respectively). The regional growth curves for both the Grand River watershed and the 537 Ottawa River watershed are shown in Figure 4d. To compare the proposed approach of using 538 log-spline distribution against a traditional parametric distribution we fitted a Generalized 539 Extreme Value (GEV) distribution to the RGC (Supplementary Figure S4). With respect to the log-spline RGCs, AIC values of 1861.69 and 867.69 and (-2)(logliklihood) values of 1826.04 540 541 and 809.26 were reported for the Grand River watershed and Ottawa River watershed 542 respectively. The log-spline (-2)(logliklihood) values were lower than their GEV counterparts 543 (1837.56 and 880.12) for both watersheds. For the Ottawa River watershed, the log-spline AIC 544 value, 867.69, was also lower than that of its GEV counterpart (886.12). Furthermore, the use of 545 the log-spline distribution allows for a consistent method which can be applied readily across any 546 watershed without careful calibration of the distribution function. Thus, the log-spline 547 distribution was used for the regional growth curves. The lower values of the normalized 548 discharge shown in Figure 4d for higher return periods (2-3) for the Ottawa River watershed 549 suggest relatively more structural alterations within the watershed, for instance flood control and 550 dams, than the Grand River watershed (Ottawa Riverkeeper, 2020). The Grand River watershed 551 yielded relatively higher values of normalized discharge (>3) at higher return periods in Figure 552 4d. Figure 5 shows the comparison of estimated flood quantiles against theoretical flood 553 quantiles at an individual station from each study watershed. The stations - 02GA034 of the 554 Grand River watershed and 02KF001 of the Ottawa River watershed (Figure 1)- were selected

19

due to their long "discharge counts", referring to the number of years for which an annual
maximum discharge was recorded at each station. Specifically, station 02GA034 (5a) yielded a
discharge count of 101 and station 02KF001 (5b) yielded a discharge count of 84. Return periods
(T, years) have been converted in terms of the Gumbel reduced variable as follows:

$$Gumbel = -ln\left[ln\left(\frac{T}{T-1}\right)\right] (9)$$

560 The dotted lines on Figures 5a and 5b represent the 5T threshold - the return period limit beyond 561 which flood simulations can not be reasonably estimated. The 5T threshold requires that, for the 562 reasonable estimation of a quantile for a desired return period T, there be at least 5T years of data 563 (Hailegeorgis & Alfredsen, 2017; Jacob et al., 1999). As expected, the theoretical and estimated 564 return periods are comparable for low return periods. However, and as shown in Figure 5, the 565 theoretical and estimated quantiles deviate at lower RP values than the 5T threshold for both 566 stations. This disagreement between the theoretical and estimated quantiles recalls the assumption 567 of homogeneity for each watershed (Burn, 1997) - estimations of higher return periods, considering 568 the 5T rule, would require more observations. However, further sub-sampling the stations into 569 regional homogeneous groups would have reduced the data quantity substantially for each group.

570

559

#### 571 **3.2 Web-GIS Interface**

A pre-alpha version of the InundatEd app is available at <u>https://spatial.wlu.ca/inundated/</u>. Source
code for the most recent version of InundatEd will be publicly available on GitHub (Spatial Lab,
2020). The use of R/Shiny to develop InundatEd and its provision on GitHub encourages
transparency, ongoing development, and response to user feedback and preferences.

576

## 577 **3.3 Model Testing**

578

579 Of the binary comparison results for the 7 composite Manning's n methods listed in Section 2.2.3, 580 the Krishnamurthy method yielded the highest median CSI values (Table S3 for the Grand River 581 watershed and Table S4 for the Ottawa River watershed). As such, it was selected for further 582 visualization and discussion.

583 The following return periods (in years) were observed for FEPs intersecting hydrometric 584 station 02KF005 in the Ottawa River watershed: 26.5, 16.52, and 25.96. Additionally, a return 585 period of 42.69 years was observed for a FEP intersecting hydrometric station 02KB001 in the 586 Ottawa River watershed. The 100-year return period was tested for the Grand River watershed. 587 Binary classification results for the Grand River watershed are shown in Figure 6 for four 588 comparison metrics: Critical Success Index, Matthews Correlation Coefficient, True Positive Rate, 589 and True Negative Rate. Figure 7 presents Critical Success Index and Matthews Correlation 590 Coefficient results for the four Ottawa River watershed cases, with True Positive and True 591 Negative results presented in Supplementary Figure S5. Table 4 lists the number of subcatchments evaluated, the median CSI, and the median MCC for each of the 5 test return periods. The median 592 593 values of additional metrics are provided in Table S5.

594 The median CSI values ranged from 0.581 to 0.849 (Table 4), with both of those values coming from the Ottawa River watershed (return periods 42.69 and 26.5, respectively). The 595 596 median MCC values ranged from 0.743 (Ottawa RP 42.69) to 0.888 (Ottawa RP 26.5). The median 597 CSI and MCC values for the Grand River watershed were 0.741 and 0.844, respectively. The 598 results reported herein are comparable to, and in some cases exceed, previously published binary 599 classification results. For instance, Wing et al. (2017) achieved CSI values of 0.552 and 0.504 for 600 a 100-year return period flood model of the conterminous United States at a 30m resolution. With 601 respect to the MCC, an urban flood model produced by Rahmati et al. (2020) provided an MCC 602 value of 0.76 when compared to historical flood risk areas. Esfandiari et al. (2020) compared two 603 flood simulations: a HAND-based flood model and a model which combined HAND and machine 604 learning to observe flood extents, resulting in a range of MCC values from ~0.77 to ~0.85. It must 605 be noted that direct comparisons between the works listed here and this study must be viewed with 606 caution, due to differences in methodologies, assumptions, data sources, data availability, and return periods between the studies. 607

608 Additionally, the median F1 score (Chicco & Jurman, 2020) for the Grand River watershed was 609 0.85. The median  $F_1$  scores for Ottawa River watershed return periods 26.5, 16.52, 25.96, and 610 42.69 were 0.96, 0.95, 0.95, and 0.94 respectively. Such results are approximately in line with 611 Pinos & Timbe (2019), who achieved  $F_1$  values from 0.625 to 0.941 for 50-year RP floods using 612 a variety of 2D dynamic models. Afshari (2017) achieved F1 values from 0.48 - 0.64 for the 10-613 year, 100-year, and 500-year return periods when comparing a HAND-based simulation against a 614 HEC-RAS 2D control. Lim & Brandt (2019) determined that low-resolution DEMs are capable of 615 yielding relatively high comparison metrics (e.g.  $F_1$  values approximately >= 0.80) in situations

616 where Manning's n varies widely over space. The connection between high values of Manning's 617 n and flood overestimation (false discovery) was also discussed. The Grand River watershed 618 yielded a median False Discovery Rate (FDR) of 0.117, and the four Ottawa River watershed cases 619 yielded respective median FDRs of 0.019, 0.01, 0.006, and 0.44 for the evaluated subcatchments. 620 The moderately high FDR value of 0.44 for the 42.69-year return period and the observed 621 overestimation of flood extent (discussed below) may be a result of high local Manning's n values. 622 In addition, the influences of flat terrain (Lim & Brandt, 2019) and anabranch must be considered 623 as it can disrupt the assumption of a single drainage direction for each pixel during sub-catchment 624 delineation. Additional factors potentially influencing the overestimation are the problems 625 inherent to HAND-based modeling, as discussed in section 2.2.3. The topography of the area of 626 the Ottawa River watershed wherein the extent comparisons were made is relatively flat with 627 multiple anabranches and thus can lead to chaotic network delineation. Although attempts were 628 made in this model to counter this impact and avoid slope values of 0 (the burning of the polyline 629 network into the DEM, Section 2.2.1 and Figure 2a), the use of the Manning's equation was still 630 compromised in certain areas and likely had a negative impact on the resultant flood simulations. 631

As noted in Lim & Brandt (2019), the reliability of the observed flood extent polygons also merits comment. In this case study, the observed FEPs for the Ottawa River watershed were originally digitized from remotely sensed data and thus carry forward the errors and uncertainties from prior processing. The Grand River watershed's 100-year return period extent was also generated outside of this study and potentially carries multiple sources of error and uncertainty. However, evaluation of the exact extent to which errors present in the observed flood extent polygons could have impacted the binary classification results was not an objective of this study.

639 Figure 8 visualizes the 100-year return period simulated flood for the Grand River 640 watershed. Inset maps are provided which highlight one subcatchment with a high CSI (A, CSI= 641 0.77) and two subcatchments with low CSIs (B, CSI =0.17 and 0.22). The simulated flood shown 642 in Figure 8A compares very well to the extent of its observed counterpart, consistent with the 643 relatively high CSI value. Notably, three hydrometric stations are located within the Figure 8A 644 subcatchment: 02GA014, 02GA027, and 02GA016. Per the methods in Section 2.2.2, station 02GA014 yielded a period of record of 54, 02GA027 yielded an insufficient (<10) period of record, 645 646 and station 02GA016 yielded a period of record of 58. The presence of the two hydrometric

647 stations with considerable periods of record likely strengthened the regional regression of the area and contributed to the success of the simulated flood shown in Figure 8A. In contrast, within the 648 649 low-CSI (0.17 and 0.22) subcatchments shown in Figure 8B, the simulation considerably 650 overestimated the extent of the 100-year return period flood. The overestimation of the flood 651 extents observed in Figure 8B can likely be attributed, at least in part, to the following: a) multiple 652 upstream and downstream dams (Grand River Conservation Authority, 2000) and b) the channel 653 meanders - as discussed previously, the simple HAND-based model employed here is not robust 654 against channel complexities nor flow control structures such as dams. It must be recalled here that 655 the modular nature of the InundatEd model allows for the "swapping" of various flood modelling 656 methods, and thus could easily accommodate, for instance, shallow water equations. It is also 657 possible to include such operations in future versions of the model by either modifying the DEM 658 values to reflect flood control structures or by offsetting the discharge of the catchment based on 659 structure storage.

660

With respect to the Ottawa River watershed, Figure 9 highlights subcatchments whose comparison
between observed and simulated flood extents yielded low (A: CSI= 0.13), moderate (B: CSI =
0.66 and D: CSI = 0.65) and high (C: CSI = 0.87) CSI values.

664

665 Figure 9A shows the simulated and observed flood extents for return period 25.69. Two main 666 factors influencing the low CSI are readily apparent. The first is that the observed FEP appears 667 "cut off", not extending through most of the subcatchment. It is possible that the flood in the 668 remainder of the sub-catchment was simply not digitized during the observed FEP's generation, 669 especially given the subcatchment's position. However, of the area of the subcatchment intersected 670 by the observed FEP, the simulated flood has considerably underestimated the observed flood 671 extent. Figure 9B shows the extent comparison of the 42.69 -year return period in a subcatchment 672 of moderate CSI (0.66). Figure 9C illustrates a subcatchment of high CSI (0.87), characterized by 673 an overall underestimation in flood extent, barring a slight overestimation in one area. Figure 9D 674 (CSI = 0.65) shows a mixture of overestimation and underestimation.

Although the results for both the Grand River watershed and the Ottawa River watershed
suggest substantial agreement between the respective observed and simulated flood extents, a
number of considerations, including input data characteristics and metric bias, require that the

678 presented results be taken with caution and, in some cases, offer clear paths for improvement. With 679 respect to input data, the simulated floods presented within this case study are limited by the initial 680 use of a 30m x 30 DEM raster. As concluded by Papaioannou et al. (2016), floodplain modelling 681 is sensitive to both the resolution of the input DEM and to the choice of modelling approach. 682 Additionally, and as discussed in Section 2.2.3, there are some inherent limitations of the HAND-683 based modeling approach.

Overall, the results indicated that the current iteration of the InundatEd flood model was reasonably successful on the basis of moderate-high MCC values and direct comparisons. However, any weight assigned to this claim must, in addition to the previously discussed caveats, recall that only extent and not depth was compared between the observed and simulated floods. The use of the DGGS big-data architecture provides a promising foundation for further work, such as the incorporation of the impacts of flood control structures, on the InundatEd model.

690

## 691 **3.4 Model Performance**

692

693 Supplementary Figure S7 contrasts runtimes using the DGGS method against those using a 694 traditional, raster-based method for sub-catchments within the Grand River Watershed (n= 306 for 695 each method) during the generation of respective RP 100 flood maps. To account for the substantial 696 difference between the DGGS runtime range and that of its raster counterpart, we added 4 seconds 697 to DGGS runtime in Figure S7. The mean runtime using the DGGS method (0.23 seconds) was 698 significantly lower than the mean runtime using the raster-based method (3.98 seconds) at both 699 the 99% confidence intervals (p < 2.2e-16). Thus, the efficiency of the proposed inundation model 700 -coupled with a big-data Discrete Global Grids Systems architecture- is demonstrated with respect 701 to processing times with limited input data. As the IDEAS framework and the InundatEd flood 702 modelling method continue to develop, processing time benchmarks could be established to track 703 and evaluate the model's robustness against increasing complexity (e.g., the integration of 704 hydrological processing algorithms) and to facilitate comparisons with other inundation models. 705

706 **3.5 Conclusions** 

707

We have tested a novel flood modelling and mapping system, implemented within a DGGS-basedbig data platform. In many parts of the world, including Canada, the widespread deployment of

710 detailed hydrodynamic models has been hindered by complexities and expenses regarding input 711 data and computational resources, especially the dichotomy between processing time and model 712 complexity. This research proposes a novel solution to these challenges. First, we demonstrated 713 the development of a flood modelling framework in a Discrete Global Grid Systems (DGGS) data 714 model and the presentation of the models' outputs via an open-source R/Shiny interface robust 715 against algorithm modifications and improvements. The DGGS data model efficiently integrates 716 heterogeneous spatial data into a common framework, rapidly develops models, and can scale for 717 thousands of unit processing regions through easy parallelization. Second, the use of the 718 catchment-integrated Manning's equation avoids high-uncertainty river cross-sections and 719 produces physically justified flood inundation extents. Third, DGGS-powered analytics allow 720 users to quickly visualize flood extents and depths for regions of interest, with reasonable 721 alignment with observed flooding events. Finally, we believe our flood-inundation estimation 722 method can address situations where good quality data is scarce and/or there are insufficient 723 resources for a complex model. To apply the model in a real time environment we would need a discharge forecasting model or have real-time discharge data at the catchment outlet, which could 724 725 be used to compute the flood inundation using the pre-computed stage-discharge relationship and 726 inundation model.

- 727
- 728
- 729

#### 730 **4. References**

- 731
- Albano, R., Sole, A., Adamowski, J., Perrone, A., & Inam, A. (2018). Using FloodRisk GIS
  freeware for uncertainty analysis of direct economic flood damages in Italy. *International Journal of Applied Earth Observation and Geoinformation*, 73, 220–229.
- 735 https://doi.org/10.1016/j.jag.2018.06.019
- Appelhans, T., & Fay, C. (2019). leafgl: Bindings for Leaflet.glify. R package version 0.1.1.
- Attari, M., & Hosseini, S. M. (2019). A simple innovative method for calibration of Manning's
  roughness coefficient in rivers using a similarity concept. *Journal of Hydrology*, 575, 810–
  823. https://doi.org/10.1016/j.jhydrol.2019.05.083
- Brunner, G. W. (2016). *HEC-RAS River Analysis System 2D Modelling User's Manual Version* 5.0. (Report Number CPD-68A). US Army Corps of Engineers Hydrologic Engineering
   Center.
- 743 Burn, D. H. (1994). Hydrologic effects of climatic change in west-central Canada (1994).
- *Journal of Hydrology*, *160(1-4)*, 53-70. ISSN 0022-1694. <u>https://doi.org/10.1016/0022-</u>
   1694(94)90033-7.
  - 25

746 Burn, D. H. (1997). Catchment similarity for regional flood frequency analysis using 747 seasonality measures. Journal of Hydrology 202 (1997) 212–230. 748 749 Burrell, B., & Keefe, J. (1989). Flood risk mapping in new brunswick: A decade review. 750 Canadian Water Resources Journal, 14(1), 66–77. https://doi.org/10.4296/cwrj1401066 751 Calamai, L., & Minano, A. (2017). Emerging trends and future pathways: A commentary on the 752 present state and future of residential flood insurance in Canada. Canadian Water 753 Resources Journal, 42(4), 307-314. https://doi.org/10.1080/07011784.2017.1362358 754 Canada Centre for Mapping and Earth Observation (2015). Canadian Digital Elevation Model, 755 1945-2011 (Record ID 7f245e4d-76c2-4caa-951a-45d1d2051333). [Data set]. Natural 756 Resources Canada. Retrieved from https://open.canada.ca/data/en/dataset/7f245e4d-76c2-757 4caa-951a-45d1d2051333#wb-auto-6 758 Canada Centre for Remote Sensing (2019). 2015 Land Cover of Canada (Record ID 4e615eae-759 b90c-420b-adee-2ca35896caf6). [Data set]. Natural Resources Canada. Retrieved from 760 https://open.canada.ca/data/en/dataset/4e615eae-b90c-420b-adee-2ca35896caf6 761 Centre For Research On The Epidemiology Of Disasters - CRED (2015). "The human cost of natural disasters" – 2015: A global perspective. CRED: Brussels. Accessed from 762 https://www.cred.be/index.php?q=HCWRD. 763 764 Chicco, D., & Jurman, G. (2020). The advantages of the Matthews correlation coefficient (MCC) 765 over F1 score and accuracy in binary classification evaluation. BMC Genomics, 21,6. doi: 766 10.1186/s12864-019-6413-7. 767 Chow, V.T. (1959). Open-channel hydraulics. McGraw-Hill. 768 Comber, A., & Wulder, M. (2019). Considering spatiotemporal processes in big data analysis: 769 Insights from remote sensing of land cover and land use. Transactions in GIS, 23(5), 879-770 891. https://doi.org/10.1111/tgis.12559 771 Craglia, M., de Bie, K., Jackson, D., Pesaresi, M., Remetey-Fülöpp, C., Wang, C., et al. (2012). 772 Digital Earth 2020: Towards the vision for the next decade. Int. J. Digital Earth, 5(1),4-21. 773 10.1080/17538947.2011.638500 774 Craglia, M., Goodchild, M.F., Annoni, A., Câmara, G., Gould, M.D., Kuhn, W., et al. (2008). 775 Next-Generation Digital Earth (Editorial). Int. J. Spat. Data Infrastruct. Res., 3,146-167. 10.2902/1725-0463.2008.03.art9. 776 777 Dalrymple, T. (1960). Rep. No Water Supply Paper 1543-A. U.S. Geological Survey, Reston, 778 VA, U.S. 779 Eaton, B., Church, M., & Ham, D. (2002). Scaling and regionalization of flood flows in 780 British Columbia, Canada. Hydrol. Processes 16, 3245–3263, 781 Ehlschlaeger, C., and Shortridge, A., (1996) Modeling Elevation Uncertainty in Geographical 782 Analyses, , Proceedings of the International Symposium on Spatial Data Handling, Delft, 783 Netherlands, 9B.15-9B.25. 784 England, J.F., Jr., Cohn, T.A., Faber, B.A., Stedinger, J.R., Thomas, W.O., Jr., Veilleux, A.G., Kiang, J.E., & Mason, R.R., Jr. (2018). Guidelines for determining flood flow frequency -785 786 Bulletin 17C (ver. 1.1). U. S. Geological Survey Techniques and Methods, book 4, chap. 787 B5, 148 p.https://doi.org/10.3133/tm4B5. 788 789 http://dx.doi.org/10.1002/hyp.1100. 790 Environment and Climate Change Canada (2019). An Examination of Governance, Existing 791 Data, Potential Indicators and Values in the Ottawa River Watershed. ISBN: 978-0-660792 31053-4

- Esfandiari, M., Abdi, G., Jabari, S., McGrath, H., & Coleman, D. (2020). Flood hazard risk
  mapping using a pseudo supervised random forest. *Remote sensing*, *12(19)*, 1-23. DOI:
  10.3390/rs12193206
- Faulkner, D., Warren, S., & Burn, D. (2016). Design floods for all of Canada. *Canadian Water Resources Journal*, 41(3), 398-411. 10.1080/07011784.2016.1141665.
- Ferrari, A., Dazzi, S., Vacondio, R., & Mignosa, P. (2020). Enhancing the resilience to flooding
  induced by levee breaches in lowland areas: a methodology based on numerical modelling. *Nat. Hazards Earth Syst. Sci.*, 20, 59–72.
- Fisher, P. F. (1991). First experiments in viewshed uncertainty: the accuracy of the viewshed
  area, Photogramm. Eng. Rem. S., 57, 1321–1327.
- Flintham, T. P., and Carling, P. A. (1992), "Manning's n of Composite Roughness in Channels
  of Simple Cross Section." In Channel Flow Resistance: Centennial of Manning's formula,
  B. C. Yen, ed., Water Resource Publications, Highlands Ranch, CO (1992) pp. 328–341.
- Fouad, G., Skupin, A., & Tague, C. L. (2016). Regional regression models of percentile flows
  for the contiguous US: Expert versus data-driven independent variable selection. *Hydrology and Earth Systems Sciences Discussions*, 17, 1-33. 10.5194/hess-2016-639.
- Garousi-Nejad, I., Tarboton, D.G., Aboutalebi, M., & Torres-Rua, A. F. (2019). Terrain analysis
   enhancements to the Height Above Nearest Drainage flood inundation mapping
- 811 method. *Water Resources Research*, 55, 7983-8009.
- 812 https://doi.org/10.1029/2019WR024837
- B13 Gebetsroither-Geringer, E., Stollnberger, R., & Peters-Anders, J. (2018). Interactive Spatial
  B14 Web-Applications as New Means of Support for Urban Decision-Making Processes. In
- 815 ISPRS Annals of the Photogrammetry, Remote Sensing and Spatial Information Sciences
- 816 (Vol. 4, pp. 59–66). Delft, The Netherlands. https://doi.org/10.5194/isprs-annals-IV-4-W7 817 59-2018
- B18 Goteti, Gopi (2014). hazus: Damage functions from FEMA's HAZUS software for use in
   B19 modeling financial losses from natural disasters. R package version
- 820 0.1. Retrieved from https://CRAN.R-project.org/package=hazus
- 821 Grand River Conservation Authority (2019). *Regulatory Floodplain* [Data set]. Grand River
   822 Conservation Authority. <u>https://data.grandriver.ca/downloads-geospatial.html</u>
- B23 Grand River Conservation Authority (2014). Grand River Watershed Water Management Plan
   B24 Executive Summary March 2014. Cambridge, ON. Retrieved from
   B25 https://www.grandriver.ca.
- 826 Grand River Conservation Authority (2000). *Dams*. [Data set]. Grand River Conservation
   827 Authority. <u>https://data.grandriver.ca/downloads-geospatial.html.</u>
- Haddad, K., Rahman, A., & Kuczera, G. (2011). Comparison of Ordinary and Generalised Least
  Squares Regression Models in Regional Flood Frequency Analysis: A Case Study for New
  South Wales. *Australasian Journal of Water Resources*, *15(1)*, 59-70, doi:
  10.1080/13241583.2011.11465390
- Handmer, J. W. (1980). Flood hazard maps as public information: An assessment within the
  context of the Canadian flood damage reduction program. *Canadian Water Resources Journal*, 5(4), 82–110. https://doi.org/10.4296/cwrj0504082
- Hailegeorgis, T. T., & Alfredsen, K. (2017). Regional flood frequency analysis and prediction in
  ungauged basins including estimation of major uncertainties for mid-Norway. *Journal of Hydrology: Regional Studies*, 9, 104-126.

Hunter, G. and Goodchild, M., (1997), Modeling the Uncertainty of Slope and Aspect Estimates

- B39 Derived From Spatial Databases, Geographical Analysis, Vol. 29, No. 1, p. 35-49.
- 840
- 841
- Hutchinson, David. (2016). HYDAT: An interface to Canadian Hydrometric Data. R package
   version 1.0. [GitHub Repository]. Retrieved from
- 844 <u>https://github.com/CentreForHydrology/HYDAT.git</u>
- Jacob, D., Reed, D. W., & Robson, A. J. (1999). *Choosing a Pooling Group Flood Estimation Handbook.* Institute of Hydrology, Wallingford, U. K.
- Juraj M., Cunderlik, T., & Ouarda, B. M. J. (2009). Trends in the timing and magnitude of floods
  in Canada, Journal of Hydrology, Volume 375, Issues 3–4, 2009, Pages 471-480,ISSN
  0022-1694, https://doi.org/10.1016/j.jhydrol.2009.06.050.
- Kalyanapu, A. J., Shankar, S., Pardyjak, E. R., Judi, D. R., & Burian, S. J. (2011). Assessment of
  GPU computational enhancement to a 2D flood model. *Environmental Modelling and Software*, 26(8), 1009–1016. https://doi.org/10.1016/j.envsoft.2011.02.014
- Kamal, V., Mukherjee, S., Singh, P. *et al.* (2017). Flood frequency analysis of Ganga river at
  Haridwar and Garhmukteshwar. *Appl Water Sci* 7, 1979–1986.
  https://doi.org/10.1007/s13201-016-0378-3
- Kaur, B., Shrestha, N. K., Daggupati, P., Rudra, R. P., Goel, P. K., Shukla, R., Allataifeh, N.
  (2019). Water Security Assessment of the Grand River Watershed in Southwestern Ontario,
  Canada. *Sustainability*, *11*(7). doi: http://dx.doi.org/10.3390/su11071883.
- Langat, P.K., Kumar, L., & Koech, R. (2019). Identification of the Most Suitable Probability
  Distribution Models for Maximum, Minimum, and Mean Streamflow. *Water*, *11*, 734.
- Lim, N. J., & Brandt, S. A. (2019). Are Feature Agreement Statistics Alone Sufficient to
  Validate Modelled Flood Extent Quality? A Study on Three Swedish Rivers Using
  Different Digital Elevation Model Resolutions. *Mathematical Problems in Engineering*,
  2019, 9816098. https://doi.org/10.1155/2019/9816098.
- Liu, Y.Y., Maidment, D.R., Tarboton, D.G., Zheng, X., and Wang, S. (2018). A CyberGIS
  Integration and Computation Framework for High-Resolution Continental-Scale Flood
  Inundation Mapping. Journal of the American Water Resources Association 54(4): 770–
  784. <u>https://doi.org/10.1111/1752-1688.12660</u>.

- 871 10, p. 1461-1467.
- Lee, J., (1996), Digital Elevation Models: Issues of Data Accuracy and Applications, Proceedings
  of the Esri User Conference, 1996.
- Liu, R., (1994), The Effects of Spatial Data Errors on the Grid-Based Forest management
  Decisions, Ph.D. Dissertation, State University Of New York College Of Environmental Science
  and Forestry, Syracuse, NY, 209 pp.
- Li, Z., Huang, G., Wang, X., Han, J., Fan, Y. (2016). Impacts of future climate change on river
  discharge based on hydrological interference: a case study of the Grand River Watershed in
  Ontario, Canada. *Science of the Total Environment*, 548-549, 198-210.

<sup>Lee, J., Snyder, P., Fisher, P., (1992), Modeling the Effect of Data Errors on Feature Extraction
From Digital Elevation Models, Photogrammertic Engineering and Remote Sensing, Vol. 58, No.
10 p. 1461 1467</sup> 

880 https://doi.org/10.1016/j.scitotenv.2016.01.002. 881 McAtee, K. (2012). Introduction to Compound Channel Flow Analysis for Floodplains. 882 SunCam. https://s3.amazonaws.com/suncam/docs/162.pdf. 883 Nastev, M., & Todorov, N. (2013). Hazus: A standardized methodology for flood risk 884 assessment in Canada. Canadian Water Resources Journal, 38(3), 223-231. 885 https://doi.org/10.1080/07011784.2013.801599. 886 Natural Resources Canada (2018). Floods in Canada -Archive (Record ID 74144824-206e-4cea-887 9fb9-72925a128189). [Data set]. Natural Resources Canada. Retrieved from 888 https://open.canada.ca/data/en/dataset/74144824-206e-4cea-9fb9-72925a128189. 889 Natural Resources Canada (2020). Flood in Canada Product Specifications. Neal, J., Dunne, T., Sampson, C., Smith, A., & Bates, P. (2018). Optimisation of the two-890 891 dimensional hydraulic model LISFOOD-FP for CPU architecture. Environmental Modelling 892 and Software, 107(May), 148-157. https://doi.org/10.1016/j.envsoft.2018.05.011 893 Nix, G. A. (1987). Management of the Ottawa River Basin. Water International, 12(4), 183-188. 894 Nobre, A. D., Cuartas, L. A., Momo, M. R., Severo, D. L., Pinheiro, A., & Nobre, C. A. (2016). 895 HAND contour: A new proxy predictor of inundation extent. *Hydrological Processes 30(2)*: 896 320-333. doi: 10.1002/hyp.10581 897 Ottawa Riverkeeper. (2020). Dams. [Website] Accessed at 898 https://www.ottawariverkeeper.ca/home/explore-the-river/dams/. 899 Oubennaceur, K., Chokmani, K., Nastev, M., Lhissou, R., & El Alem, A. (2019). Flood risk 900 mapping for direct damage to residential buildings in Quebec, Canada. International 901 Journal of Disaster Risk Reduction, 33, 44–54. https://doi.org/10.1016/j.ijdrr.2018.09.007 902 Pal, K. (2002). Assessing Community Vulnerability to Flood Hazard in Southern Ontario. 903 Canadian Water Resources Journal, 27 (2), 155-173. 904 Papaioannou, G., Loukas, A., Vasiliades, L., & Aronica, G. T. (2016). Flood inundation mapping 905 sensitivity to riverine spatial resolution and modelling approach. Nat Hazards, 83, S117-906 S132. 10.1007/s11069-016-2382-1. 907 Pillai, C. R. S. (1962), "Composite Rugosity Coefficient in Open Channel Flow." Irrigation and 908 Power, J. Central Board of Irrigation and Power, New Delhi, India, Vol. 19, No. 3 (1962) 909 pp. 174–189 910 911 Pinos, J., & Timbe, L. (2019). Performance assessment of two-dimensional hydraulic methods 912 for generation of flood inundation maps in mountain river basins. Water Science and 913 Engineering, 12(1), 11-18.ISSN 1674-2370 914 915 Rahmati, O., Kornejady, A., Samadi, M., Nobre, A. D., & Melesse, A. M. (2018). Development 916 of an automated GIS tool for reproducing the HAND terrain model. Environmental 917 Modelling and Software, 102, 1–12. https://doi.org/10.1016/j.envsoft.2018.01.004 Rahmati, O., Darabi, H., Panahi, M., Kalantari, Z., Naghibi, S. A., Ferreira, C. S. S., et al. (2020). 918 Development of novel hybridized models for urban flood susceptibility mapping. Scientific 919 920 Reports, 10(1), 1-19. DOI: 10.1038/s41598-020-69703-7. 921 R Core Team (2019). R: A language and environment for statistical computing. R Foundation for 922 Statistical Computing, Vienna, Austria. https://www.R-project.org/. 923 Rennó, C.D., Nobre, A.D., Cuartas, L.A., Soares, J.V., Hodnett, M.G., Tomasella, J., & 924 Waterloo, M. (2008). HAND, a new terrain descriptor using SRTM-DEM; mapping terra-925 firme rainforest environments in Amazonia. Remote Sensing of Environment 112, 3469926 3481.

- 927 Robert, B., Forget, S., & Rousselle, J. (2003). The Effectiveness of Flood Damage Reduction 928 Measures in the Montreal Region. Natural Hazards, 28, 367-385. Retrieved from 929 https://journals.scholarsportal.info/pdf/0921030x/v28i2-3/367\_teofdrmitmr.xml
- Robertson, C., Chaudhuri, C., Hojati, M., & Roberts, S. (2020). An integrated environmental 930 931 analytics system (IDEAS) based on a DGGS. ISPRS Journal of Photogrammetry and 932 Remote Sensing, 162, 214-228.
- 933 Robson, A. J., & Reed, D. W. (1999). Flood Estimation Handbook, vol. 3 Statistical Procedures 934 for Flood Frequency Estimation. Institute of Hydrology, Wallingford, UK.
- 935 Rodda, Harvey J. (2005). The development and application of a flood risk model for the Czech 936 Republic. Natural hazards 36(1-2): 207-220. doi: 10.1007/s11069-004-4549-4
- 937 Salas, J. D., & Obeysekera J. (2014). Revisiting the Concepts of Return Period and Risk for 938 Nonstationary Hydrologic Extreme Events, J. Hydrol. Eng, 19, 554-568.
- 939 Samela, C., Albano, R., Sole, A., & Manfreda, S. (2018). A GIS tool for cost-effective 940 delineation of flood-prone areas. Computers, Environment, and Urban Systems, 70, 43-52. 941 https://doi.org/10.1016/j.compenvurbsys.2018.01.013
- 942 Samela, C., Troy, T. J., & Manfreda, S. (2017). Geomorphic classifiers for flood-prone areas 943 delineation for data-scarce environments. Advances in Water Resources, 102, 13-28. 944 http://dx.doi.org/10.1016/j.advwatres.2017.01.007
- 945 Shahab Afshari, Ahmad A. Tavakoly, Mohammad Adnan Rajib, Xing Zheng, Michael L. 946 Follum, Ehsan Omranian, Balázs M. Fekete (2018). Comparison of new generation low-947 complexity flood inundation mapping tools with a hydrodynamic model. Journal of 948 Hydrology, Volume 556, 2018, Pages 539-556, ISSN 0022-1694, 949 https://doi.org/10.1016/j.jhydrol.2017.11.036.
- 950 Shitanshu Desai, Taha B.M.J. Ouarda,
- 951 Regional hydrological frequency analysis at ungauged sites with random forest regression,
- 952 Journal of Hydrology, Volume 594, 2021, 125861, ISSN 0022-1694
- 953
- 954 Singh, Vijay P.(2015). Entropy Theory in Hydrologic Science and Engineering. McGraw-Hill 955 Education: New York, Chicago, San Francisco, Athens, London, Madrid, Mexico City, 956 Milan, New Delhi, Singapore, Sydney, Toronto. Accessed at:
- 957 https://www.accessengineeringlibrary.com/content/book/9780071835466
- 958 959 Smith, A., Sampson, C., and Bates, P. (2014), Regional flood frequency analysis at the global scale, 960 Water Resour. Res., 51, 539-553, doi:10.1002/2014WR015814.
- 961 Song, S., Schmalz, B., Zhang, J. X., Li, G., & Fohrer, N. (2017). Application of modified 962 Manning formula in the determination of vertical profile velocity in natural rivers. 963
  - Hydrology Research, 48(1), 133–146. doi: https://doi.org/10.2166/nh.2016.131
- 964 Spatial Lab (2020). InundatEd. [Github Repository]. Retrieved from 965 https://github.com/thespatiallabatLaurier/floodapp\_public
- 966 Stephens, E., & Bates, P. (2015). Assessing the reliability of probabilistic flood inundation 967 model predictions. Hydrol. Process. 29, 4264-4283. doi: 10.1002/hyp.10451.
- Stevens, M. R., & Hanschka, S. (2014). Municipal flood hazard mapping: the case of British 968 969 Columbia, Canada. Natural Hazards, 73, 907-932. https://doi.org/10.1007/s11069-014-970 1117-4
- 971 Stone, C. J., Hansen, M. H., Kooperberg, C., & Truong, Y. K. (1997). Polynomial Splines and 972 their Tensor Products in Extended Linear Modeling. The Annals of Statistics, 25(4), 1371-

- 973 1425.
- 974 Strategic Policy and Innovation Centre (2019). *Lakes, Rivers and Glaciers in Canada CanVec* 975 Series Hydrographic Features (Record ID 9d96e8c9-22fe-4ad2-b5e8-94a6991b744b).
- 976 [Data set]. Natural Resources Canada. https://open.canada.ca/data/en/dataset/9d96e8c9977 22fe-4ad2-b5e8-94a6991b744b
- 978 Tarboton, D. G. (2005). Terrain Analysis Using Digital Elevation Models Version 5 [Computer
   979 Software]. Utah State University, Logan. Retrieved from
   980 http://hydrology.usu.edu/taudem/taudem5/downloads.html
- Tarboton, D. G., & Ames, D. P. (2004). Advances in the mapping of flow networks from digital
  elevation data. *Bridging the Gap: Meeting the World's Water and Environmental Resources Challenges Proceedings of the World Water and Environmental Resources* Congress
  2001, 111(435), 1–10. https://doi.org/10.1061/40569(2001)166
- Tavares da Costa, R., Manfreda, S., Luzzi, V., Samela, C., Mazzoli, P., Castellarin, A., & Bagli,
  S. (2019). A web application for hydrogeomorphic flood hazard mapping. *Environmental Modelling & Software*, *118*, 172-186. https://doi.org/10.1016/j.envsoft.2019.04.010.
- Teng, J., Jakeman, A. J., Vaze, J., Croke, B. F. W., Dutta, D., & Kim, S. (2017). Flood
  inundation modelling: A review of methods, recent advances and uncertainty analysis. *Environmental Modelling and Software*, 90, 201–216.
  https://doi.org/10.1016/j.envsoft.2017.01.006
- Teng, J., Vaze, J., Kim, S., Dutta, D., Jakeman, A. J., & Croke, B. F. W. (2019). Enhancing the
  Capability of a Simple, Computationally Efficient, Conceptual Flood Inundation Model in
  Hydrologically Complex Terrain. *Water Resources Management*, *33*(2), 831–845.
  https://doi.org/10.1007/s11269-018-2146-7
- 996 Tharwat, A. (2018). Classification assessment methods. *Applied Computing and Informatics*.
   997 *https://doi.org/10.1016/j.aci.2018.08.003*.
- 998 Thistlethwaite, J., Henstra, D., Brown, C., & Scott, D. (2018). How Flood Experience and Risk
  999 Perception Influences Protective Actions and Behaviours among Canadian Homeowners.
  1000 Environmental Management, 61(2), 197–208. https://doi.org/10.1007/s00267-017-0969-2
- Thistlethwaite, J., Henstra, D., Peddle, S., & Scott, D. (2017). *Canadian Voices on Changing Flood Risk: Findings from a National Survey*. Waterloo. Retrieved from
   https://uwaterloo.ca/climate-centre/sites/ca.climate-
- 1004 centre/files/uploads/files/canadian\_voices\_on\_changing\_flood\_risk\_fnl.pdf
- Towe, R., Dean, G., Edwards, L., Nundloll, V., Blair, G., Lamb, R., Hankin, B., & Manson, S.
  (2020). Rethinking data-driven decision support in flood risk management for a big data
  age. *J Flood Risk Management*, *e12652*. https://doi.org/10.1111/jfr3.12652.
- 1008 Tullis, B. P. (2012). NCHRP Report 734 Hydraulic Loss Coefficients for Culverts.
- 1009 Transportation Research Board, Washington, D. C. Accessed at:
  1010 https://www.nap.edu/read/22673/chapter/1.
- 1011 Vacondio, R., Palù, A., Ferrari, A., Mignosa, P., Aureli, F., & Dazzi, S. (2017). A non-uniform
  1012 efficient grid type for GPU-parallel Shallow Water Equations models. *Environmental*1013 *Modelling & Software* 88, 119-137
- 1014 Veale, B., & Cooke, S. (2017). Implementing integrated watershed management: illustrations
  1015 from the Grand River watershed. *International Journal of Water Resources Development*,
  1016 33(3), 375-392
- 1017 Vojtek, M., & Vojteková, J. (2016). Flood hazard and flood risk assessment at the local spatial
  1018 scale: a case study. *Geomatics, Natural Hazards and Risk, 7*(6), 1973–1992.

- 1019 https://doi.org/10.1080/19475705.2016.1166874
- Wang, L., & Cheng, Q. (2007). Design and implementation of a web-based spatial decision
   support system for flood forecasting and flood risk mapping. *International Geoscience and Remote Sensing Symposium (IGARSS)*, 4588-4591.
- 1023 https://doi.org/10.1109/IGARSS.2007.4423879
- Wang, Y., & Yang, X. (2020). A Coupled Hydrologic–Hydraulic Model (XAJ–HiPIMS) for
  Flood Simulation. *Water*, *12*, 1288. https://doi.org/10.3390/w12051288.
- Werstuck, C., Coulibaly, P. (2017). Hydrometric network design using dual entropy multiobjective optimization in the Ottawa River Basin. *Hydrology Research*, 48(6), 1639-1651.
- Wilby, R. L., & Keenan, R. (2012). Adapting to flood risk under climate change. *Progress in Physical Geography*, *36*(3), 348–378.
- Wilson, D., Fleig, A. K., Lawrence, D., Hisdal, H., Pettersson, L. E., & Holmqvist. E. (2011). A
   *review of NVE's flood frequency estimation procedures*. Norwegian Water Resources and
   Energy Directorate Report no. 9.
- Wing, O. E. J., Bates, P. D., Sampson, C. C., Smith, A. M., Johnson, K. A., & Erickson, T. A.
  (2017). Validation of a 30 m resolution flood hazard model of the conterminous United
  States. *Water Resour. Res.*, 53,7968–7986, doi:10.1002/2017WR020917.
- 1036 Xing, Y., Liang, Q., Wang, G., Ming, X., & Xia, X. (2019). City-scale hydrodynamic modelling
   1037 of urban flash floods: the issues of scale and resolution. *Natural Hazards*, 96, 473 496.
   1038 https://doi.org/10.1007/s11069-018-3553-z
- 1039 Zheng, X., Tarboton, D.G., Maidment, D.R., Liu, Y.Y., and Passalacqua, P. (2018). River
- 1040 Channel Geometry and Rating Curve Estimation Using Height above the Nearest Drainage.
- 1041 Journal of the American Water Resources Association 54(4): 785–806.
- 1042 https://doi.org/10.1111/1752-1688.12661.

# 1064 List of tables:

# 1066 Table 1. Values of Manning's n

NRCAN LULC Value	NRCAN Description	Manning's n
1	Temperate or sub-polar needleleaf forest	0.16
2	Sub-polar taiga needleleaf forest	0.16
5	Temperate or sub-polar broadleaf deciduous forest	0.16
6	Mixed forest	0.16
8	Temperate or sub-polar shrubland	0.1
10	Temperate or sub-polar grassland	0.035
12	Sub-polar or polar grassland-lichen-moss	0.035
13	Sub-polar or polar barren-lichen-moss	0.03
14	Wetland	0.1
15	Cropland	0.035
16	Barren lands	0.025
17	Urban	0.08
18	Water	0.04

Characteristic	Grand River Watershed	Ottawa River Watershed
Drainage Area (km <sup>2</sup> )	6,800 (Li et al., 2016)	146,000 (Nix, 1987)
Elevation range (masl)	<ul><li>173-535 (Lake Erie Source</li><li>Protection Region Technical Team,</li><li>2008)</li></ul>	430 – 20 (Nix, 1987)
Geologic characteristics	Underlain by groundwater-rich, fractured, porous limestone bedrock; surface geology characterized by glacial till and moraine complexes (Liel et al., 2016)	Incorporates the geological subdivisions St. Lawrence Lowlands, Grenville Province, Superior Province, and Cobalt Plate within the region of the Canadian Shield (Environment and Climate Change Canada, 2019)
Approximate Population size	985,000 (Grand River Conservation Authority, 2014)	> 2,000,000 (Environment and Climate Change Canada, 2019)
Land Use / Land Cover	43% agriculture; 26.92% range- grass and pasture; 12% forests; 9.29 % urban areas; 1.8% wetlands (Veale & Cooke, 2017)	73% forested (Quebec); 85% mixed and deciduous forest, 15% boreal (middle-south and northern regions, respectively) (Environment and Climate Change Canada, 2019); 6% farmland; <2% developed (Werstuck & Coulibaly, 2017)
Average Annual Precipitation (mm)	800-900 (Kaur et al., 2019)	840 (Werstuck & Coulibaly, 2017)
Temperature	8-10 ° C average annual; moderate- to-cool temperate (Kaur et al., 2019)	2110 °C average daily (Werstuck & Coulibaly, 2017)

1097 Table 2. Study Watershed Characteristics

Observed Flood Extent Polygon	Observed Date and Time (UTC)	Intersected Hydrometric Station	Station Period of Record (years)	Index Flood (Q, m <sup>3</sup> s <sup>-1</sup> )	Observed Discharge (m <sup>3</sup> s <sup>-1</sup> )	Logspline fit observation count	Cumulative Probability Value	Return Period (years)
FloodExtentPolygon_QC_	2019/04/29	02KF005	38	3400	5790	1487	0.962	26.5
LowerOttawa_20190429_ 230713 shp	23:07:13							
FloodExtentPolygon_QC_ LowerOttawa_20190507_ 111329.shp	2019/05/07 11:13:29	02KF005	38	3400	5350	1487	0.939	16.52
FloodExtentPolygon_QC_ LowerOttawa_20190513_ 225800.shp	2019/05/13 22:58:00	02KF005	38	3400	5570	1487	0.961	25.96
FloodExtentPolygon_QC_ CentralOttawa_20190503_ 113004.shp	2019/05/03 11:30:04	02KB001	52	258	477	1487	0.977	42.69

|--|

Watershed	Return Period (years)	Number of evaluated subcatchments	Median CSI	Median MCC
Grand River	100	71	0.741	0.844
Ottawa River	26.5	17	0.849	0.888
Ottawa River	16.52	21	0.785	0.826
Ottawa River	25.96	22	0.803	0.852
Ottawa River	42.69	7	0.581	0.743

 Table 4. Binary Comparison Results

# **List of Figures**

Figure 1. GIS Input Data – Grand River Watershed (a) and Ottawa River Watershed (b) Topography. The maps are created in ArcGIS with the basemaps provided by © ESRI. The stations that are used later in Figure 5 comparison are labeled in the plot.



Figure 2. Flood model flowchart illustrating three sub-phases of overall modelling methodology: a) GIS Pre-processing; b) Flood frequency analysis and regional regression; and c) HANDbased solution of Manning's Equation



Figure 3. InundatEd User Interface (a) and System Diagram (b). The basemap is created in Leaflet using © OpenStreetMap contributors 2020. Distributed under a Creative Commons BY-SA License





a) InundatEd User Interface

b) InundatEd System Diagram



# Figure 4. Flood frequency and regional regression plots



# Figure 5. Theoretical Versus Estimated Flood Quantiles



# Figure 6. Binary Classification Results – Grand River Watershed



# Figure 7. Binary Classification Results - Ottawa River Watershed

Figure 8. Simulated Flood and Insets - Grand River Watershed 100-Year Return Period





Figure 9. Observed and Simulated Flood Extents- Ottawa River Watershed

# Acknowledgement:

Thank you, Majid Hojati and Amit Kumar, for assistance in GIS and software set up.

The flood extent products are derived from satellite images and ancillary data with a system developed and operated by the Strategic Policy and Innovation Sector of Natural Resources Canada © Department of Natural Resources Canada. All rights reserved.

Data credited to the Grand River Conservation Authority contains information made available under Grand River Conservation Authority's Open Data Licence v2.0.

# Funding

This work was funded by the Global Water Futures research programme under the Developing Big Data and Decision Support Systems theme.

# **Conflicts of interest/Competing interests**

The authors declare that there are no competing interests.

# Availability of data and material

Any data that support the findings of this study, not already publicly available, are available from the corresponding author, C. Chaudhuri, upon reasonable request.

# **Author Contribution**

The idea behind this research was conceived, implemented, and written equally by all the authors.

## **Code availability**

The current version of InnundatEd is available from the project GitHub website: https://github.com/thespatiallabatLaurier/floodapp\_public. The exact version of the model used to produce the results used in this paper is archived on Zenodo (10.5281/zenodo.4095618)