

# Applying a new integrated mass-flux adjustment filter in rapid update cycling of convective-scale data assimilation for the COSMO-model (v5.07)

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**Abstract.** A new integrated mass-flux adjustment filter is introduced, which uses the analyzed integrated mass-flux divergence field to correct the analyzed wind field. The filter has been examined by twin experiments with rapid update cycling, using an idealized setup for convective-scale radar data assimilation. It is found that the new filter slightly reduce the accuracy of background and analysis states, however, it preserves the main structure of cold pools and primary mesocyclone properties of supercells. More importantly, it considerably diminishes spurious mass-flux divergence as well as the high surface pressure tendency and thus results in more dynamically balanced analysis states. For the ensuing 3-h forecasts, the experiment that employs the filter becomes more skillful after one hour. These preliminary results show that the filter is a promising tool to alleviate the imbalance problem caused by the data assimilation, especially for convective-scale applications.

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## 10 1 Introduction

The performance of convective-scale data assimilation has been considerably enhanced in the last decades, greatly due to the usage of Doppler radar observations. Assimilation of radar data has been adopted in the operational mode in more and more meteorological centers (Gustafsson et al., 2018). Although weather radars provide observations in minutes, the typical update frequency at most operational centers is around one hour. Besides the high computational expense, another reason for this can be attributed to the unphysical imbalance arising from rapid update cycling. For instance, Lange and Craig (2014); Bick et al. (2016) investigated the impact of different update frequencies (5, 15, 30 and 60 min) and found that a higher update frequency results in model states which are closer to radar observations but less physically consistent (indicated by greater surface pressure tendency) and its forecast skills decay faster. In practice, a number of techniques prove to be effective to diminish the unphysical imbalance and noise produced by the data assimilation. The digital filter initialization (DFI, Lynch and Huang 1992) as well as the weak digital filter constraint (Gauthier and Thepaut, 2001) are widely used (e.g., at Meteo-France and Met Office) to damp high-frequency inertia-gravity and acoustic waves. Hydrostatic balancing of analysis increments (Rhodin et al., 2013)

is implemented in the Kilometre-scale ENsemble Data Assimilation (KENDA, Schraff et al. 2016) system for the model of COSMO (COntortium for Small-scale MOdelling, Baldauf et al. 2011) at the DWD to suppress the noise that is visible in the surface pressure tendency. These temporal filtering and spatial balancing methods are derived for synoptic-scale processes and work successfully for the synoptic-scale data assimilation. Nowadays, they have been also often applied in meso-scale and convective-scale data assimilation, but their transferability onto those scales is debatable because those balance constraints may break down at high resolutions (Vetra-Carvalho et al., 2012) and high-frequency waves or unbalanced flow may be associated with meso-scale fronts or convective events. Another popular approach is the incremental analysis update (IAU, Bloom et al. 1996; Lei and Whitaker 2016), which distributes the analysis increments over the assimilation window. The IAU has been used for the synoptic- and convective-scale data assimilation (He et al., 2020; Milan et al., 2020), however, its performance for very short assimilation window (i.e., several minutes) has not been investigated. Moreover, Zeng et al. (2017) developed an ensemble Kalman filter (EnKF) that imposes the enstrophy conservation by using a strong global constraint and tested it with a 2D shallow water model which mimics the northern hemisphere. It is shown that the enstrophy conservation reduces the noise and improves the forecast skills. For the convective scale, Ruckstuhl and Janjić (2018) tested the EnKF-based algorithm that conserves mass with the modified 1D shallow water model (Würsch and Craig, 2014) and found that the mass conservation is effective to suppress the spurious convection. But these methods with strong constraints are very costly in computational time and essential efforts are still required to make it suitable for numerical weather prediction (NWP) models.

The surface pressure tendency is commonly regarded as a metric for the dynamical imbalance. Typically, the surface pressure tendency in the analysis is several times greater than that in the background (e.g., Lange and Craig 2014; Bick et al. 2016; Lange et al. 2017). Hamrud et al. (2015) introduced the surface pressure tendency as a model diagnostic variable in a global model of the ECMWF (European Centre for Medium-Range Weather Forecasts), and the analyzed surface pressure tendency is used to adjust accordingly the analyzed horizontal wind field, which finally resulted in very small wind increments and more balanced model states. However, this approach is underdetermined because of using one variable (the surface pressure tendency) to correct two components of the horizontal wind. Therefore, some ad-hoc assumption must be made additionally. In this work, a similar approach is developed but the increment of horizontal wind field from the analyzed integrated mass-flux divergence and vorticity is calculated analytically. We name this new method "the integrated mass-flux adjustment filter" and examine it in a rapid update cycling of convective-scale data assimilation, using an idealized setup of the KENDA system for the COSMO model, with the data assimilation scheme of the Local Ensemble Transform Kalman Filter (LETKF, Hunt et al. 2007).

The paper is organized as follows. Section 2 describes the integrated mass-flux adjustment filter in detail. Section 3 provides a short introduction to the idealized setup of the COSMO-KENDA system and experimental design. Section 4 gives experimental results and the last section is devoted to the conclusion and outlook.

## 2 The integrated mass-flux adjustment filter

When observations are assimilated to correct the model state, shocks can occur, which produces very large surface pressure tendency and fast waves. This can be especially remarkable for the LETKF since it is a local method and does not respect  
 55 spatial derivatives.

The atmospheric surface pressure is dominated by the hydrostatic pressure:

$$p_s^h \approx \int_0^{Z_0} \rho(z) g dz, \quad (1)$$

where the superscript "h" is for "hydrostatic",  $g$  is the acceleration of gravity,  $\rho$  is the air density,  $z$  is the height variable and  $Z_0$  is the height of the model top.

60 The surface pressure tendency due to changes in the hydrostatic pressure, denoted by  $\frac{dp_s^h}{dt}$  can be computed using mass conservation:

$$\frac{dp_s^h}{dt} = g \int_0^{Z_0} \frac{\partial \rho(z)}{\partial t} dz = -g \int_0^{Z_0} \nabla_H (\rho(z) \vec{u}(z)) dz = -g \nabla_H \cdot \int_0^{Z_0} \rho(z) \vec{u}(z) dz = -g \nabla_H \vec{M}, \quad (2)$$

where  $\vec{u} := (u, v)$  is the horizontal wind,  $\vec{M} := \int_0^{Z_0} \rho(z) \vec{u}(z) dz$  is the integrated mass flux and the subscript "H" is for "horizontal". It is clear that surface pressure variations are determined by the divergence of the integrated mass-flux. In general, the  
 65 full surface pressure tendency has a second contribution that originates from the dynamic pressure. This dynamic contribution can be larger than the hydrostatic one when large accelerations are present, like in convective regions.

Eq. 2 shows that an assimilation method that artificially increases horizontal derivatives (e.g., the LETKF) is bound to produce large surface pressure tendencies and gravity waves that might degrade the forecast. In order to mitigate this problem, Hamrud et al. (2015) used analysis increments of the surface pressure tendency as proxy for analysis increments in integrated  
 70 mass-flux divergence to adjust the analysis increments for the horizontal wind.

The approach of Hamrud et al. (2015) effectively reduces the imbalance in the hydrostatic global model, but it might not be appropriate for convective-scale resolving models in which the tendencies arising from the dynamic pressure are large. Besides, this method is inaccurate in the sense that one variable (the surface pressure tendency) is used to correct two components of the horizontal wind, therefore, some ad-hoc assumption (e.g., proportionality in increments) must be made additionally. In this  
 75 work, a more advanced post-processing approach is proposed. In analogy to Hamrud et al. (2015), but instead of the surface pressure tendency, we use the fact that we can soundly estimate integrated mass-flux divergence via LETKF directly through cross correlations. The goal is to search for a horizontal wind field whose integrated mass-flux divergence is equal to analyzed integrated mass-flux divergence. In the following, this method is explained in detail.

For the sake of brevity, we use subscript "a" and "b" to symbolize the analysis and background states, "c" to symbolize the  
 80 correction term and the superscript "\*" to symbolize the final analysis state. Analyses of the integrated mass-flux divergence and vorticity are denoted by  $\nabla_H \vec{M}_a$  and  $\nabla_H \times \vec{M}_a$ , respectively. The integrated mass-flux divergence and vorticity resulting from  $u_a^*$  and  $v_a^*$  are denoted by  $\nabla_H \vec{M}_a^{u,v*}$  and  $\nabla_H \times \vec{M}_a^{u,v*}$ , respectively.

We introduce  $\nabla_H \vec{M}$  as a model state variable that is updated by the LETKF, in addition to wind and other standard state variables. We set

$$85 \quad \nabla_H \vec{M}_a^{u,v*} = \nabla_H \vec{M}_a, \quad (3)$$

Since Eq. 3 alone is not enough to compute  $\vec{M}_a^{u,v*}$ , we introduce  $\nabla_H \times \vec{M}$  also as a updated model state variable and set:

$$\nabla_H \times \vec{M}_a^{u,v*} = \nabla_H \times \vec{M}_a. \quad (4)$$

Using Eqs. 3 and 4,  $\vec{M}_a^{u,v*}$  can be then obtained via the Helmholtz-Hodge decomposition (see Appendix A). Now the aim is to correct the horizontal wind such that the final wind has the integrated mass flux  $\vec{M}_a^{u,v*}$ . Notice that the filter is based on the  
 90 integrated mass flux which is a 2D field, therefore, how to distribute the integrated adjustment in the vertical to correct the wind field is an underdetermined problem, for which we define a vertical profile function  $f(z)$ . Similar to Hamrud et al. (2015), we assume the corrections should be larger at places where the analysis increments of the wind field are larger, which means

$$f(z) = |u_a(z) - u_b(z)| + |v_a(z) - v_b(z)|. \quad (5)$$

Now we introduce a correction term  $\vec{u}_c$  and it holds:

$$95 \quad \vec{u}_a^*(z) = \vec{u}_a(z) + \vec{u}_c f(z), \quad (6)$$

integrating both sides of Eq. 6 with  $\rho_a$  leads to:

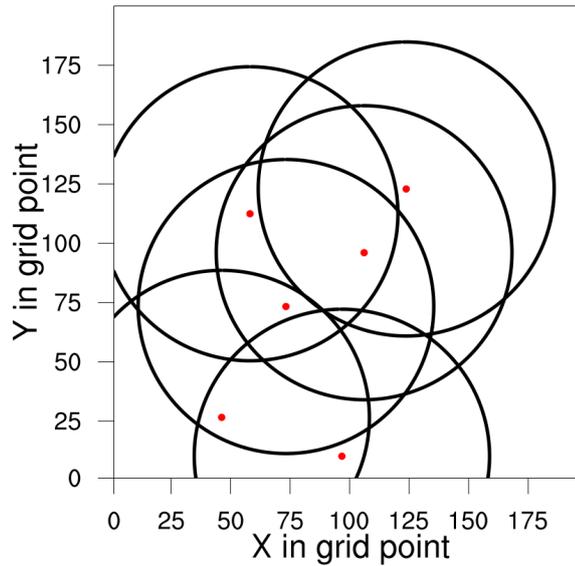
$$\vec{u}_c = \frac{\vec{M}_a^{u,v*} - \vec{M}_a}{\int_0^{Z_0} \rho_a(z) f(z) dz}, \quad (7)$$

so  $\vec{u}_c$  can be computed and finally  $\vec{u}_a^*$  is computed by Eq. 6.

### 3 Description of the idealized setup and experimental design

100 The NWP model used in this work is the COSMO model, coupled with an Efficient Modular VOLUME scanning RADAR Operator (EMVORADO, Zeng et al. 2014, 2016). With the one-moment bulk microphysical scheme (Lin et al., 1983; Reinhardt and Seifert, 2006), the COSMO model predicts wind ( $u, v, w$ ), temperature  $T$ , pressure  $p$  and mixing ratios of water vapor  $q_v$ , cloud water  $q_c$ , cloud ice  $q_i$ , rain  $q_r$ , snow  $q_s$  and graupel  $q_g$ . The deep convection is simulated explicitly while the shallow convection is parametrized through the Tiedtke scheme (Tiedtke, 1989). Periodic boundary conditions are used.

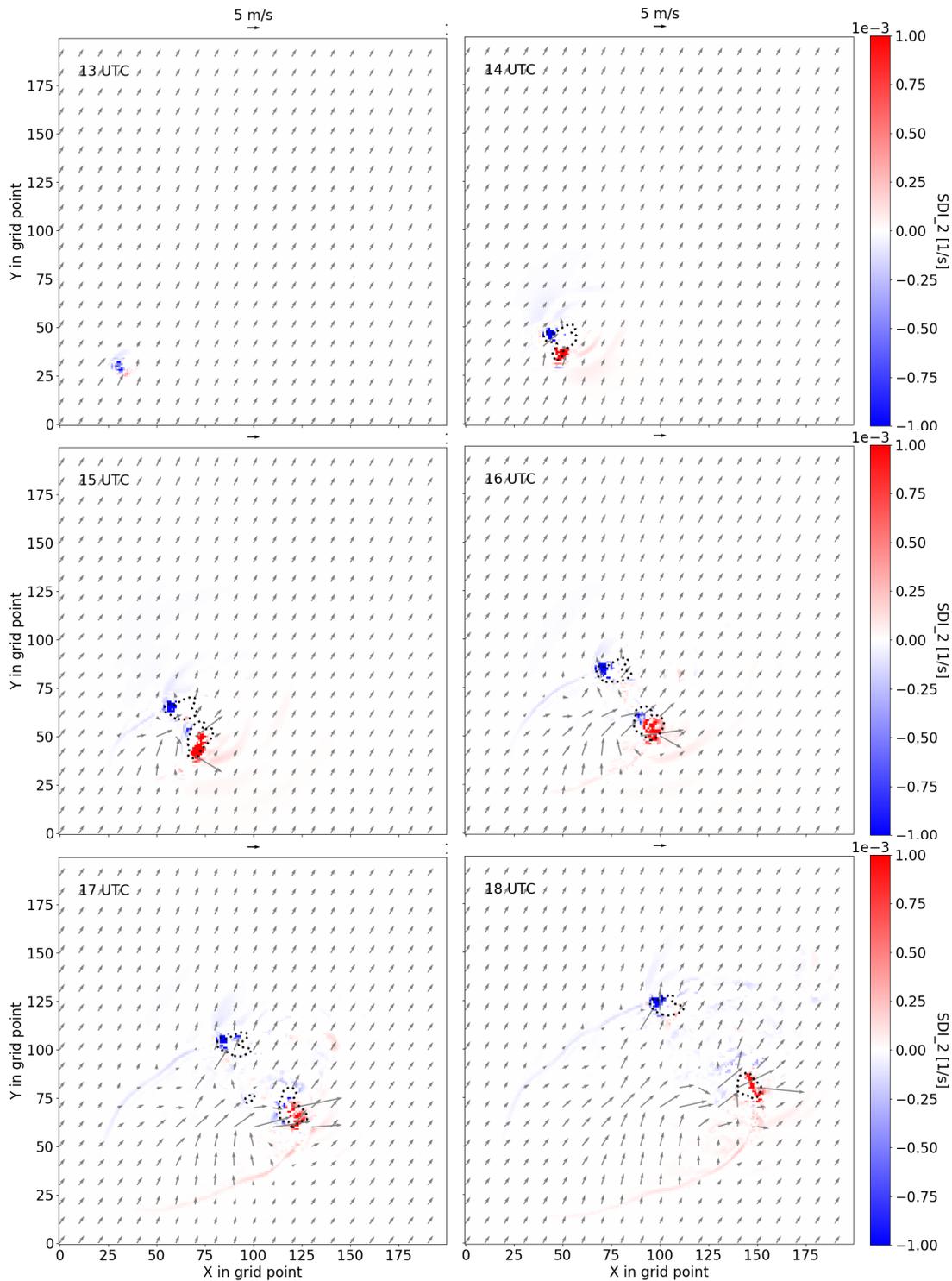
105 Configurations of the idealized setup are mostly inherited from those in Zeng et al. (2021), such as no orography and periodic boundary conditions. The grid size of the domain is now  $200 \times 200 \times 65$  with the horizontal resolution of 2 km. The convection is triggered by a warm bubble in an analytical profile. Following Weisman and Klemp (1982), the analytical profile is defined by two parameters  $u_\infty$  and  $q_{v,\max}$ .  $u_\infty$  is the upper wind in the troposphere, which determines the entire wind profile and scales the wind shear, and  $q_{v,\max}$  determines the humidity profile and a higher  $q_{v,\max}$  results in stronger instability of the atmosphere.



**Figure 1.** Distribution of radar network (six radars), expressed by the range of PPI (Plan Position Indicator) scan at the elevation  $0.5^\circ$

110 The nature run (starting at 12:00 UTC) simulates the evolution of a supercell that is described in Fig. 2 by means of the horizontal wind at the height of 1 km, the radar reflectivity composite at the elevation of  $0.5^\circ$  and the supercell detection index of the second type ( $SDI^2$ , Wicker et al. 2005; Zeng et al. 2021). The  $SDI^2$  identifies the mesocyclone of a supercell, while a positive (negative)  $SDI^2$  indicates a cyclonic (anticyclonic) updraft.  $|SDI^2| > 0.0003 \text{ 1/s}$  is a minimal threshold value for a supercell. A small convective system arises at 13:00 UTC and moves northeastwards. At 14:00 UTC, it splits into two cells,  
 115 while the left-moving one is anticyclonic and the right-moving one is cyclonic. At 15:00 UTC, both cells grow a bit and remain stable until 18:00 UTC.

A radar network of 6 stations covering the propagation path of storms within the study period (see Fig. 1), and the synthetic radar observations are created by adding Gaussian noise with standard deviation of 1.0 m/s and 5.0 dBZ to the true radial wind and reflectivity data, respectively. As in many studies (e.g., Aksoy et al. 2009; Zeng et al. 2018, 2019, 2020), to suppress  
 120 spurious convection, all reflectivities lower than 5 dBZ are set to 5 dBZ and treated as no reflectivity data. Both radial wind and reflectivity (including no reflectivity) data are assimilated. The radar observations are available every 5 min and spatially superobbed to the resolution of 5 km. Since the data assimilation is the LETKF, the localization is done in the observation space, i.e., the weights assigned to observations are scaled by the the 5-th order Gaspari-Cohn function (Gaspari and Cohn, 1999), which depends on the vertical and horizontal distances of observations to the analysis grid point. The vertical localization  
 125 radius varies with the height from 0.0075 to 0.5 in logarithm of pressure and the horizontal localization radius is set to be 8 km. A diagonal observation error covariance matrix is used.



**Figure 2.** Nature run: development of the supercell from 13:00 to 18:00 UTC, described by the horizontal wind (vectors, [m/s]) at the height of 1 km and the radar reflectivity composite of  $0.5^\circ$  (dotted contour lines for 30 dBZ) and the SDI<sup>2</sup> [1/s] (color shading)

All the prognostic model variables mentioned above are updated. 45 ensemble members are employed, whose initial states differ in the atmospheric profile (i.e.,  $u_\infty$  and  $q_{v,\max}$ ) as well as the time and location of warm bubble (more details can be found in Zeng et al. 2021). Additionally, one deterministic run is initialized by the mean state of the initial ensemble and the analysis of the deterministic run is computed by applying the Kalman gain for the ensemble mean to the innovation of the deterministic run (Schraff et al., 2016). To maintain sufficient ensemble spread, the relaxation-to-prior-perturbations method (RTPP, Zhang et al. 2004) is used with a relaxation factor of 0.75 as tuned for the KENDA system (Schraff et al., 2016). The data assimilation cycling period is from 13:00 to 15:00 UTC with the update frequency of six minutes. Ensemble and deterministic forecasts with 3-h lead time are run starting at 15:00 UTC.

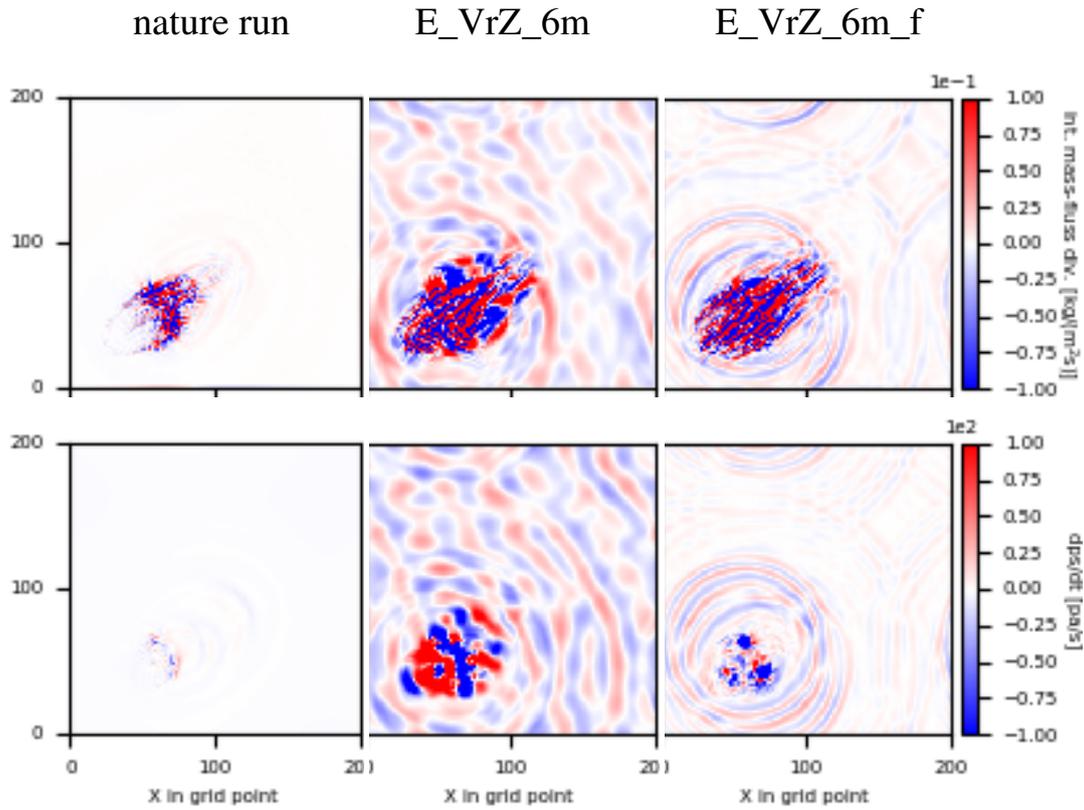
In this work, two twin experiments are conducted, denoted by E\_VrZ\_6m and E\_VrZ\_6m\_f. The former one is run without the integrated mass-flux adjustment filter (hereafter filter in short if not explicitly mentioned) and the latter one is run with the filter.

## 4 Experimental results

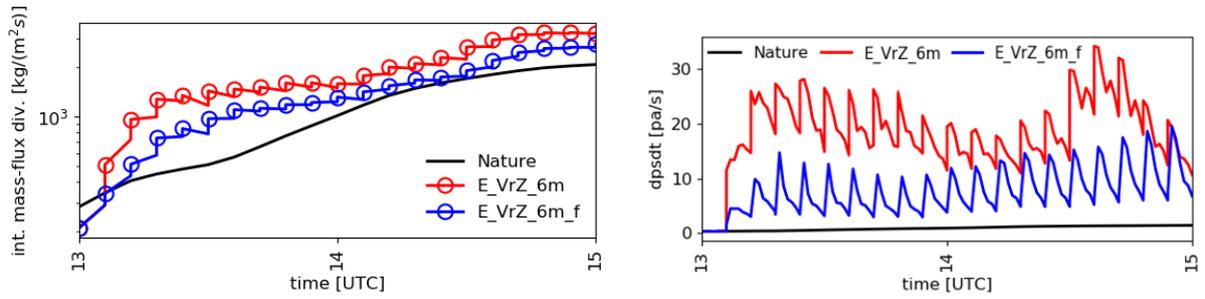
For the investigation of the performance during assimilation cycles, we mainly use the deterministic run instead of the ensemble mean since some small-scale features that we are interested in may be smoothed out in the mean. We calculate the root-mean-square errors (RMSEs) and spreads, integrated mass-flux divergence and surface pressure tendency of the background and analysis. Additionally, temperature and moisture deviations in the sub-cloud layer (calculated as deviations of vertical average over 2 km from the horizontal mean, denoted by  $\Delta T$  and  $\Delta q_v$ , respectively) are used to discuss the structure of cold pools (Seifert and Heus, 2013) and the  $SDI^2$  is used to compare the ability to reconstruct the mesocyclone of supercells in analysis, for which the ensemble probabilities from the number of members exceeding a threshold value are computed in a neighborhood manner (Yussouf et al., 2013). To evaluate the forecast skill, gridpoint-based ensemble probabilities for the reflectivity composite are provided and the fractions skill score (FSS, Robert and Lean 2008) is used. The FSS value varies between 0 and 1, with 1 being the perfect score.

### 4.1 Assimilation

Fig. 3 compares E\_VrZ\_6m and E\_VrZ\_6m\_f with the nature run in terms of integrated mass-flux divergence and surface pressure tendency fields of the deterministic analysis at 15:00 UTC. Both experiments produce stronger integrated mass-flux divergence fields than the nature run but E\_VrZ\_6m\_f is considerably better than E\_VrZ\_6m. The similar can be seen also for the surface pressure tendency field, the gravity waves are much weaker in E\_VrZ\_6m\_f. Apropos, it is noticed that the patterns of integrated mass-flux divergence and the surface pressure tendency are comparable in the non-convective regions (this is in line with Eq. 2), and the former one has much stronger signals within the convective regions. Using the same metrics, Fig. 4 further compares both experiments for analysis and background at each cycle. It is evident that both result in analyses and backgrounds with larger integrated mass-flux divergence than the nature run but E\_VrZ\_6m\_f is considerably closer. The surface pressure tendency significantly increases at the analysis step and rapidly decays in the model integration time but does

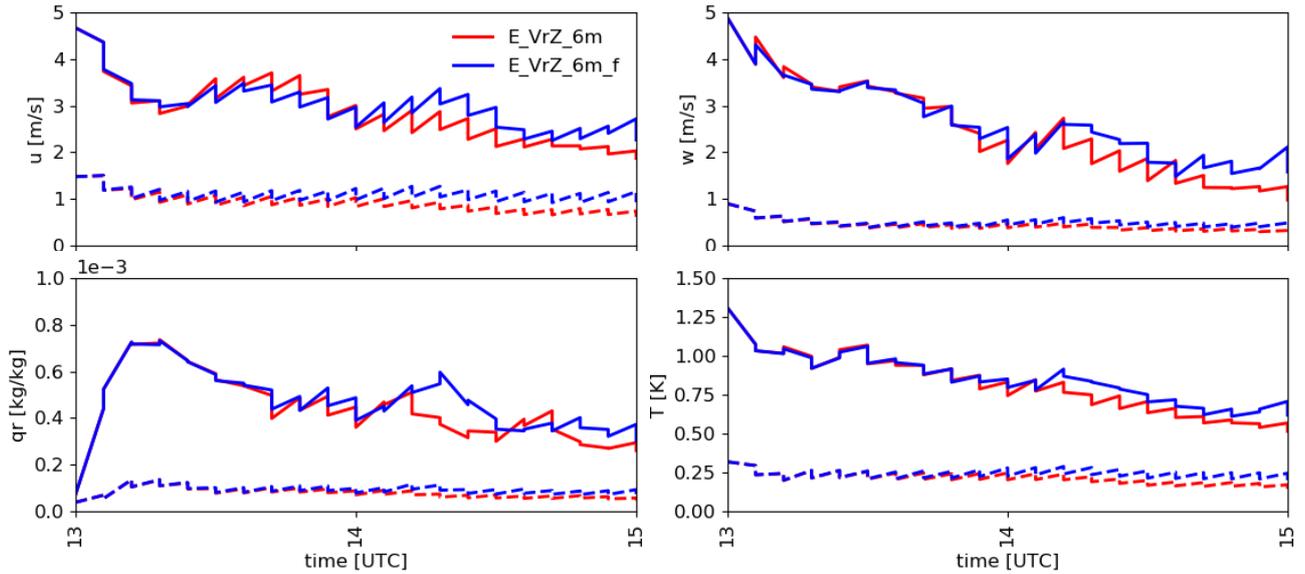


**Figure 3.** The upper row is for the integrated mass-flux divergence of nature run (left), analyses of E\_VrZ\_6m (middle) and E\_VrZ\_6m\_f (right) at 15:00 UTC; the second row is for the surface pressure tendency



**Figure 4.** Domain integrated mass-flux divergence of analysis (indicated by circle) and background at each cycle (left) and domain-averaged surface pressure tendency at the analysis time and at each minute of model integration during the assimilation window (right), for E\_VrZ\_6m and E\_VrZ\_6m\_f, compared with those of the nature run

not reach the level of the nature run. E\_VrZ\_6m\_f generates much lower surface pressure tendencies than E\_VrZ\_6m for both



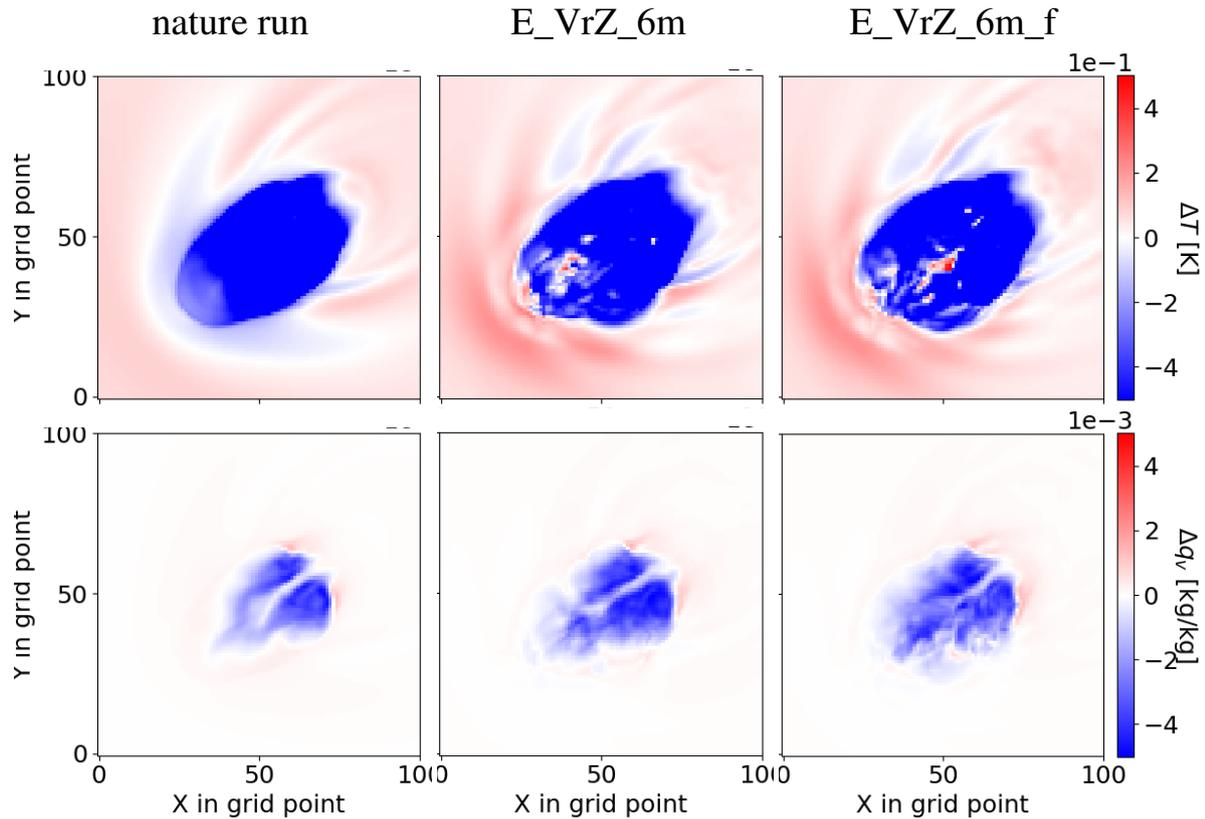
**Figure 5.** The RMSEs (solid line) and spreads (dashed line) of the deterministic background and analysis (sawtooth pattern) for  $u$ ,  $w$ ,  $q_r$  and  $T$  at each cycle in  $E\_VrZ\_6m$  and  $E\_VrZ\_6m\_f$ , averaged over points at which the reflectivity in the nature run is greater than 5 dBZ.

160 analysis and background. Overall, it is concluded that the filter effectively reduces the gravity wave noise caused by the data assimilation and thus improves the balance of model states.

Fig. 5 gives the RMSEs and spreads of the deterministic background and analysis for  $u$ ,  $w$ ,  $q_r$  and  $T$  at each cycle. Both  $E\_VrZ\_6m$  and  $E\_VrZ\_6m\_f$  exhibit the effectiveness of data assimilation based on decreasing RMSEs, but in general, the former one is associated with slightly smaller RMSEs and spreads for  $u$ . This holds also for the other variables. Therefore, 165 the application of the filter causes some loss of analysis accuracy. It is noted that this problem is also often seen by the other filtering methods (e.g., the DFI in Ancell 2012).

As known, the presence of cold pool (caused by evaporation of rain and downdrafts) could be important for the development of deep convection (Böing et al., 2012). Fig. 6 compares  $E\_VrZ\_6m$  and  $E\_VrZ\_6m\_f$  with the nature run in terms of sub-cloud layer deviations  $\Delta T$  and  $\Delta q_v$  at 15:00 UTC. The cold pool in  $E\_VrZ\_6m$  is fairly comparable to the truth, although 170 it is generally a bit drier and it is warmer to different extents in the rear and at some spots on the inside. The cold pool in  $E\_VrZ\_6m\_f$  is very similar to that in  $E\_VrZ\_6m$ . Therefore, the cooling/moistening of the sub-cloud layer is well reproduced in both experiments and the filter does not deteriorate the basic structure of the cold pool.

In Fig. 7, the  $SDI^2$  of the deterministic analysis at 15:00 UTC in  $E\_VrZ\_6m$  (left) and  $E\_VrZ\_6m\_f$  (right) is given. It is noticed that both experiments capture well the major events of mesocyclones although some spurious convection exists. Also 175 for the ensemble probabilities, good performance of both experiments are evident, e.g., very high  $SDI^2$  probabilities (till 100%) can be seen at locations where  $SDI^2 > 0.0003$  1/s is present in the nature run, which indicates that the filter preserves primary characteristics and structures of mesocyclones.

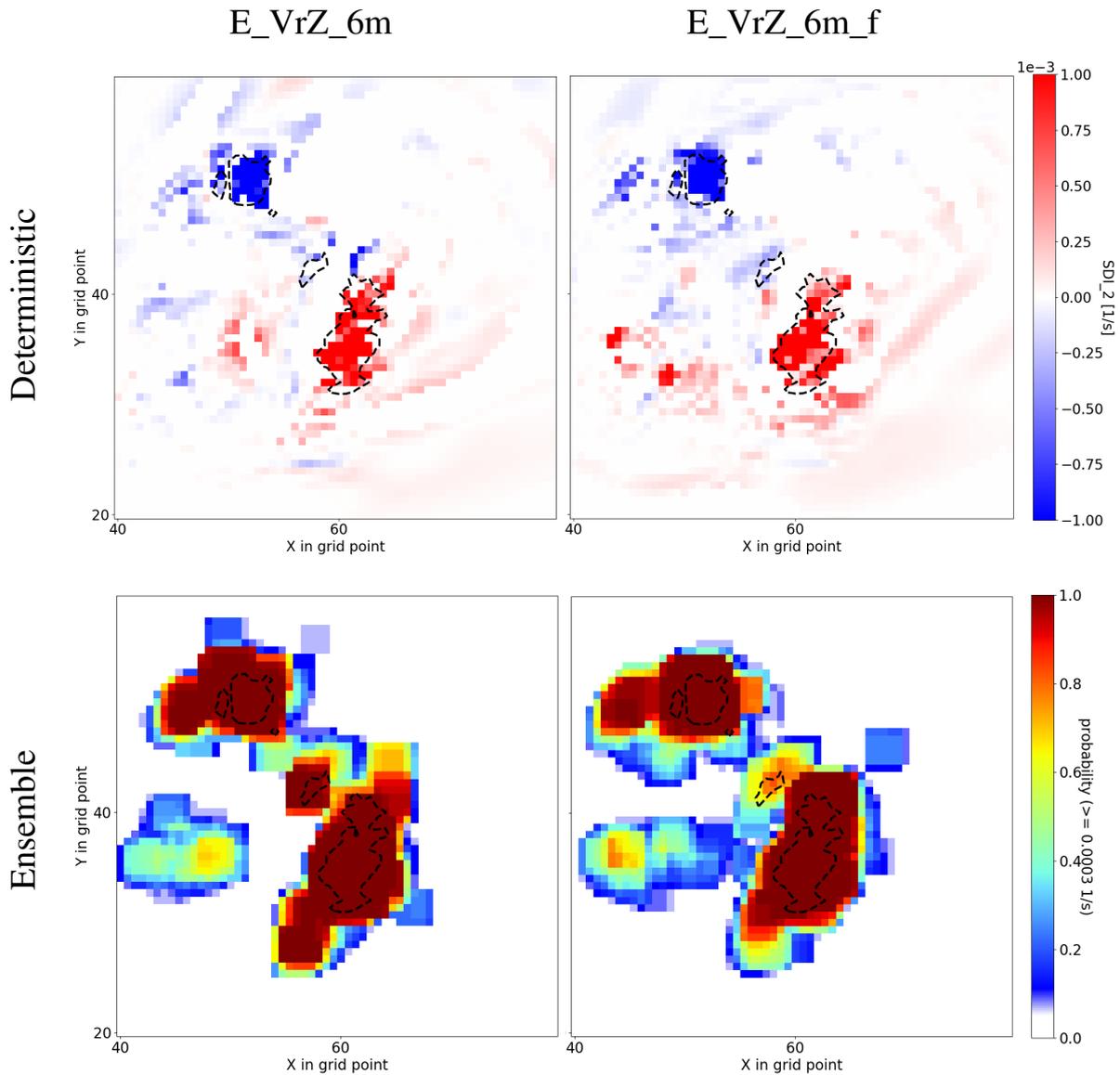


**Figure 6.** Temperature (upper) and moisture (lower) deviations in the sub-cloud layer for the nature run (left) and analyses of E\_VrZ\_6m (middle) and E\_VrZ\_6m\_f (right) at 15:00 UTC

## 4.2 Forecasting

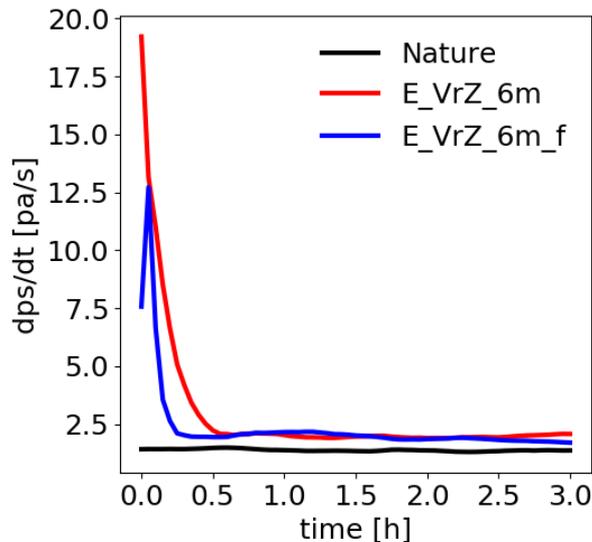
Fig. 8 provides the surface pressure tendency during the 3-h forecast of E\_VrZ\_6m and E\_VrZ\_6m\_f for the deterministic run. Compared to E\_VrZ\_6m, the surface pressure tendency of E\_VrZ\_6m\_f is much lower at the initial time but it increases somewhat in the first few minutes, indicating that the filter may introduce some unbalanced modes that are not the solutions of the governing equations of the model and some spin-up time are required, and then it decays rapidly to a steady level close to the nature run in 15 min. Comparatively, the surface pressure tendency of E\_VrZ\_6m approaches to the nature run a bit later in 30 min.

Fig. 9 presents deterministic and ensemble forecasts (statistically more robust than the deterministic forecast) by the reflectivity composite at the elevation  $0.5^\circ$ . For the deterministic forecast, both E\_VrZ\_6m and E\_VrZ\_6m\_f well represent the storms but with some spurious convection in the rear; For 1-h lead time, the right-moving cell is slightly better captured by E\_VrZ\_6m, but for 2-h and 3-h lead times, both cells especially the right-moving one is slightly better captured by E\_VrZ\_6m\_f. It is noticed that a considerable amount of spurious convection arise at 3-h lead time in both experiments. For the ensemble forecast as shown in Fig. 10, both experiments are comparable at the initial time and 1-h lead time, but for 2-h and



**Figure 7.**  $SDI^2$  of analysis of the deterministic run (upper) and ensemble (lower) for E\_VrZ\_6m (left) and E\_VrZ\_6m\_f (right) at 15:00 UTC.  $SDI^2 \geq 0.0003$  1/s in the nature run is denoted with contour dashed lines. For the ensemble, probabilities exceeding the threshold value 0.0003 1/s are calculated using neighborhood of boxlength 8 km.

3-h lead times, E\_VrZ\_6m\_f outperforms E\_VrZ\_6m as the former one produces less spurious convection. Those results are also confirmed by the FSS in Fig. 11. For the deterministic forecast, E\_VrZ\_6m is better than E\_VrZ\_6m\_f until 2-h lead time and then E\_VrZ\_6m\_f is better; For the ensemble forecast, E\_VrZ\_6m\_f becomes superior already at 1-h lead time. Results indicate that the application of the filter reduces the dynamical imbalance of analyses, which slows down the error growth of



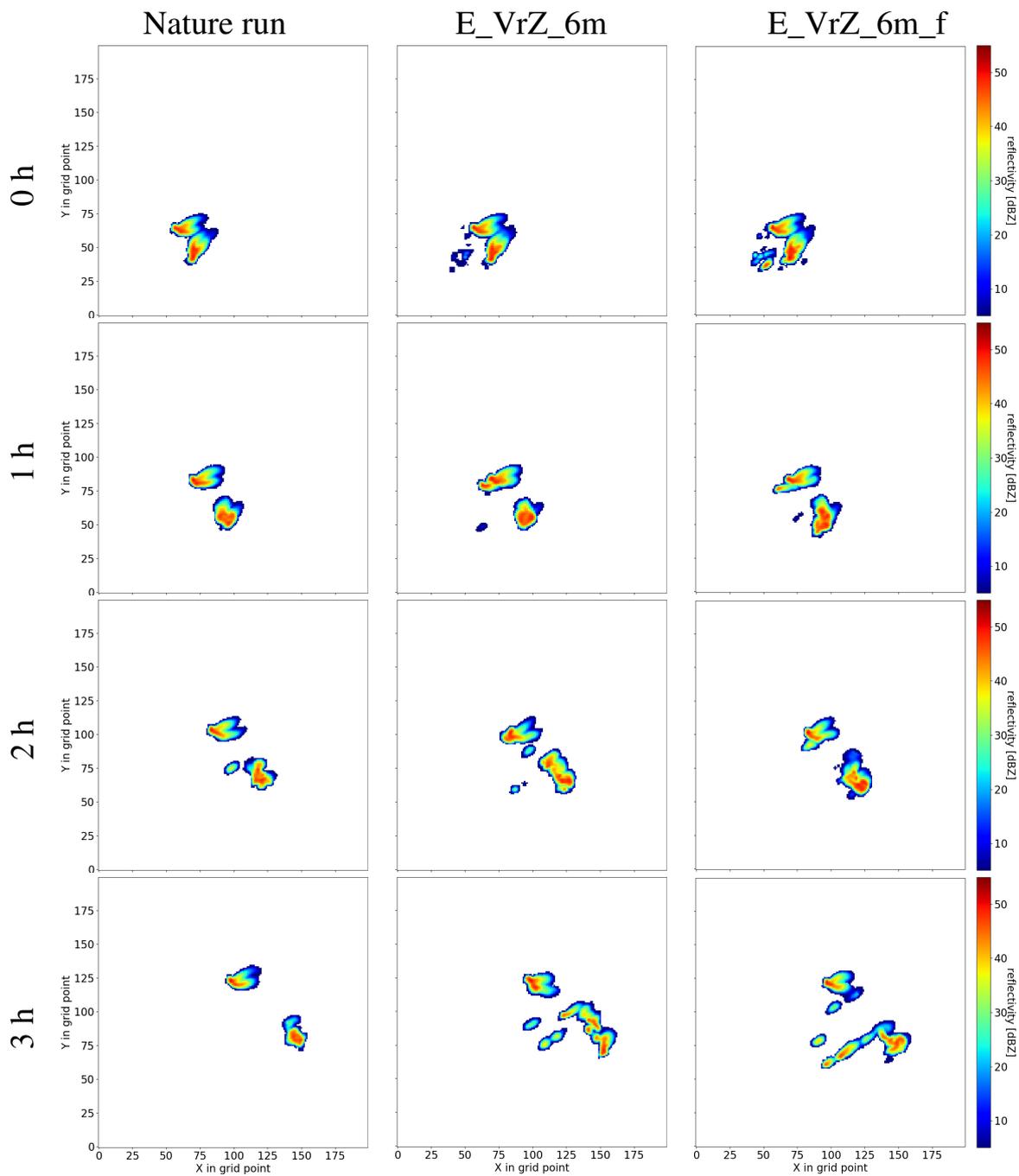
**Figure 8.** Domain-averaged surface pressure tendency at each minute during the 3-h deterministic forecast starting at 15:00 UTC for the nature run, E\_VrZ\_6m and E\_VrZ\_6m\_f

195 model states in free forecasts and thus improves the forecast skills. This is in line with Zeng and Janjić (2016); Zeng et al. (2017).

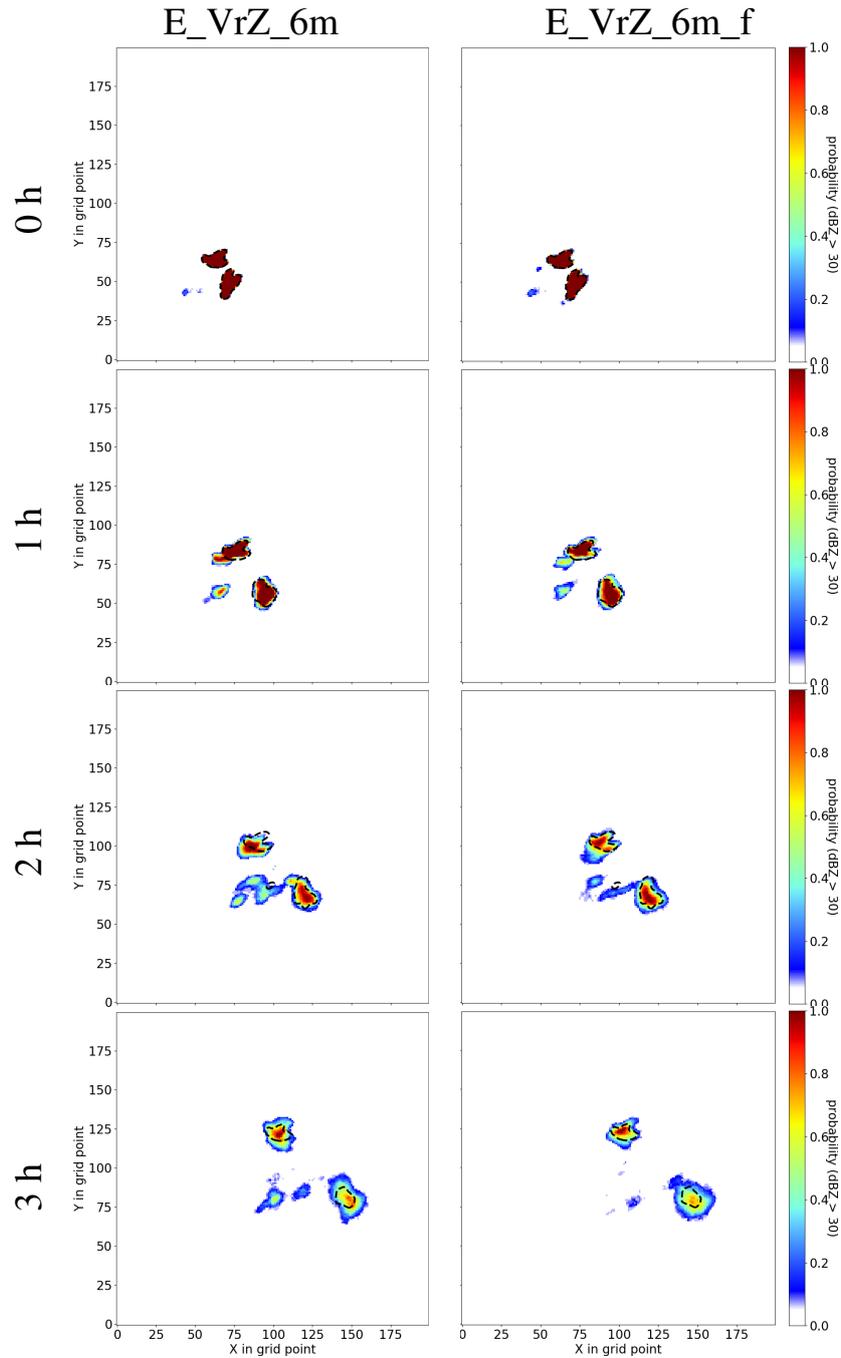
## 5 Summary and outlook

A new integrated mass-flux adjustment filter that uses the analyzed integrated mass-flux divergence field to correct the analyzed wind field has been introduced in this work. The filter has been examined by twin experiments with rapid update cycling (i.e., six minutes), using an idealized setup for convective-scale radar data assimilation. It is found that the new filter slightly reduces the accuracy of background and analysis states, however, it preserves the main structure of cold pools (described by temperature and moisture deviations in the sub-cloud layer) and primary mesocyclone properties of supercells (described the supercell section index). More importantly, it considerably diminishes spurious integrated mass-flux divergence and successfully suppresses the increase of the surface pressure tendency in analysis. For the ensuing 3-h forecasts, the one that employs the filter becomes more skillful after one hour. Overall, the filter is a promising tool to alleviate the imbalance problem usually caused by the data assimilation.

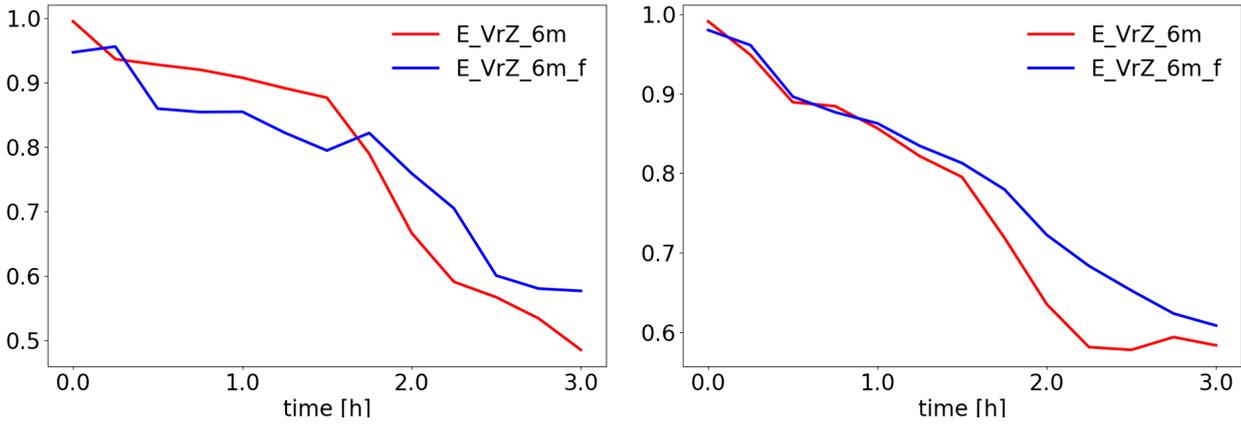
As seen, the model requires some spin-up time after the application of the filter, this can be attributed to the fact that the filter is currently based on the 2D integrated mass-flux field and the adjustments in the vertical are distributed by a predefined function. In the further development, it is reasonable to extend the filter to 3D to get rid of the distribution function, i.e., the wind is corrected by analyzed mass-flux at each level individually, which may result in even more balanced wind fields.



**Figure 9.** Deterministic forecasts (starting at 15:00 UTC) of E\_VrZ\_6m (middle) and E\_VrZ\_6m\_f (right), based on the reflectivity composite at the elevation  $0.5^\circ$ . The forecasts at the initial time, 1h, 2 h and 3 h are presented from top to bottom. The left column is the nature run.



**Figure 10.** Ensemble forecasts (starting at 15:00 UTC) of E\_VrZ\_6m (left) and E\_VrZ\_6m\_f (right), based on gridpoint-based probabilities of ensemble members with reflectivity composite at elevation  $0.5^\circ$  exceeding the threshold value 30 dBZ. Reflectivity  $\geq 30$  dBZ in the nature run is denoted with contour dashed lines. The forecasts at the initial time, 1h, 2 h and 3 h are presented from top to bottom.



**Figure 11.** The FSS values of the deterministic (left) and ensemble forecasts (right) starting at 15:00 UTC in E\_VrZ\_6m and E\_VrZ\_6m\_f, based on reflectivity composite at  $0.5^\circ$  with the threshold value 30 dBZ and boxlengths 16 km, as a function of the forecast lead time up to 3 hours (with 15 min outputs). The FSS values of ensemble forecasts are averaged over ensemble members.

Furthermore, the filter is a post-processing approach that does not consider the accuracy of model states while reducing the imbalance. An idea that takes those both aspects into account is to impose the filter as a weak constraint to the cost function of EnKF algorithms. Finally, although the filter has been tested here for convective-scale data assimilation with rapid cycling, it can be also useful for lower update frequencies and the synoptic-scale data assimilation. This is worth exploring in the future, as well as its applicability in the non-idealized data assimilation.

## Appendix A

The Helmholtz-Hodge decomposition says that any vector field tangent to the surface of the sphere can be written as the sum

$$\vec{f} = \nabla_H \phi + \nabla_H \times \psi, \quad (\text{A1})$$

where  $\phi$  and  $\psi$  are scalar potential functions. In this work,  $\vec{f} = \vec{M}_a^{u,v*}$ .

Because the divergence of a curl is zero, we obtain the Poisson equation:

$$\nabla_H \vec{M}_a^{u,v*} = \nabla_H \cdot \nabla_H \phi = \nabla_H^2 \phi. \quad (\text{A2})$$

Due to Eq. 3, it holds

$$\nabla_H^2 \phi = \nabla_H \vec{M}_a \quad (\text{A3})$$

Solving the Poisson equation A3, we obtain  $\phi$ .

Because the vorticity of a gradient field is zero, we obtain the Poisson equation:

$$\nabla_H^2 \psi = \nabla_H \times \vec{M}_a \quad (\text{A4})$$

in an analogous way. Solving Eq. A4, we obtain  $\psi$ .

Finally, substituting  $\phi$  and  $\psi$  in Eq. A1, we obtain  $\vec{M}_a^{u,v*}$ .

*Code and data availability.*

230 All the data upon which this research is based are available through personal communication with the authors. The code of the new filter is written in Python and it is available at the following link: <https://doi.org/10.5281/zenodo.4023987>. Access to the source code of the COSMO model is restricted to COSMO licenses. A free license can be obtained for research if following the procedure described at <http://www.cosmo-model.org/cotent/consortium/licencing.htm>.

*Author contributions.*

235 Y. Zeng conducted the experiments and wrote the first draft of the paper. A. de Lozar provided the idea of the integrated mass-flux filter and implemented it. T. Janjic and A. Seifert contributed to the conceptual design of the research project and analysis of the results. All authors contributed to the writing of the text and defining the structure of the paper.

*Competing interests.*

The authors declare that they have no conflict of interest.

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