#### **1** Supplementary information

### 2 1. Description of ORCHIDEE in function of the aggregation model

### **3 1.1. Dependency of tree growth on climate**

For deciduous trees, when the phenological thresholds are exceeded in ORCHIDEE r5698,
leaves emerge using carbon from the reserve pool and as such an essential condition for carbon
assimilation is fulfilled. Carbon assimilation is calculated following the analytical solution of
the Farquhar and Ball and berry model, defined by Yin and Struik (Yin and Struik, 2009):

$$C_i = C_a - F_A \times (1/g_b + 1/g_s),$$
 (1)

$$C_c = C_i - F_A / g_m \,, \tag{2}$$

$$F_A = \frac{(C_c - \Gamma^*) \times x1}{(C_c + x2)} - F_{Rd} , \qquad (3)$$

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where  $C_i$ ,  $C_a$ , and  $C_c$  are intercellular, inside of the canopy, and chloroplast CO<sub>2</sub> partial 9 pressure, respectively (µbar),  $F_A$  is the rate of assimilation (µmol  $CO_2 \cdot m^{-2} \cdot s^{-1}$ ),  $g_b, g_s$ , 10 and  $g_m$  are the boundary layer, stomatal, and mesophyll diffusion conductance (mol  $\cdot m^{-2}$   $\cdot$ 11  $s^{-1} \cdot bar^{-1}$ ),  $\Gamma^*$  is the CO<sub>2</sub> compensation point ( $\mu bar$ ), x1 and x2 are the variables differ by 12 the limitation for the assimilation (Rubisco-limited or electron-transport-limited), and  $F_{Rd}$  is 13 the day respiration ( $\mu mol CO_2 \cdot m^{-2} \cdot s^{-1}$ ). The assimilation is co-limited by stomatal 14 conductance which accounts for plant hydraulic architecture (Sperry et al., 1994). Subsequently 15 the newly assimilated carbon is stored in the labile pool. After satisfying the carbon cost of 16 maintenance respiration (Amthor, 1984), the fraction of the labile pool that will be allocated to 17 18 total biomass production  $(\Delta M_{tot})$  and the associated growth respiration are calculated as a function of temperature. The temperature dependency of plant growth (Fatichi et al., 2014) 19 was accounted for as follows: 20

$$\Delta M_{labile_c} = M_{labile_c} + \Delta F_{gpp} \tag{4}$$

$$\Delta M_{tot\_c} = f_{gtemp} \times M_{labile\_c} \tag{5}$$

$$g_{temp} = f(T), \tag{6}$$

(n)

22

where  $M_{labile}$  is carbon mass of labile pool  $(gC \cdot m^{-2})$ , GPP is gross primary production  $(gC \cdot m^{-2} \cdot dt^{-1})$ ,  $M_{tot}$  is total allocatable carbon  $(gC \cdot m^{-2})$ ,  $f_{gtemp}$  is the turnover coefficient for the labile carbon pool (unitless), and *T* is the air temperature (*K*).  $f_{gtemp}$  increases with increasing long term annual mean temperature, but was set to never exceed 0.75.

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As such photosynthesis ( $\Delta F_{gpp}$ ) and biomass production ( $M_{tot_c}$ ) are no longer strictly coupled. This approach thus partly addresses the criticism that growth in most vegetation models is too strongly driven by photosynthesis (Fatichi et al., 2014). The dependency of tree-ring width on climate thus emerges primarily from the control of radiation, temperature, and soil humidity on gross primary production (GPP), autotropic respiration, and biomass production.

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# 34 1.2. Dependency of tree growth on tree age or size

The allocation scheme is based on the pipe model theory (Shinozaki et al., 1964) and its implementation by Sitch et al., (2003) and Magnani et al., (2000). The scheme allocates carbon to different biomass pools, e.g., leaves, fine roots, and sapwood, while respecting the differences in longevity and hydraulic conductivity between the pools (Naudts et al., 2015). According to the pipe model theory, each unit of branch and stem, a so-called pipe, supports a specific amount of leaves providing both mechanical and functional support. From this 41 assumption, leaf mass (M<sub>l\_c</sub>; gC · tree<sup>-1</sup>), sapwood mass (M<sub>s\_c</sub>; gC · tree<sup>-1</sup>) and height
42 (d<sub>h</sub>; m) relate as follows:

$${}^{M_{s_c}} / M_{l_c} = {}^{d_h} / f_{KF}.$$
<sup>(7)</sup>

43 Where  $f_{KF}(m)$  is defined as,

$$f_{KF} = k_{ls} / (k_{sla} \times k_{\rho s} \times k_{ff}), \tag{8}$$

 $\langle \mathbf{0} \rangle$ 

44 where  $k_{sla}$  is the specific leaf area $(m^2gC^{-1})$ ,  $k_{\rho s}$  is the sapwood density  $(gC \cdot m^{-3})$ ,  $k_{ff}$  is 45 the tree form factor indicating how the stem differs from a cylinder, and  $k_{ls}$  is the target leaf 46 area to sapwood area ratio, which is calculated as:

$$k_{ls} = k_{lsmin} + f_{P_{gap}} \times (k_{lsmax} - k_{lsmin}), \tag{9}$$

47 where  $k_{lsmin}$  is the parameter from minimum observed leaf area to sapwood area ratio,  $f_{P_{gap}}$ 48 is a light stress factor based on the transmitted light (Haverd et al., 2012), and  $k_{lsmax}$  is the 49 parameter from maximum observed leaf area to sapwood area ratio. Root mass and sapwood 50 mass are proportional to tree height to account for hydraulic constraints on, optimal growth 51 (Magnani et al., 2000):

$$\binom{M_{s\_c}}{M_{r\_c}} = k_{sar} \times d_h , \qquad (10)$$

52 where the variable  $k_{sar}$  ( $m^{-1}$ ) represents the carbon cost to connect a root pipe to a sapwood 53 pipe (Magnani et al., 2000):

$$k_{sar} = \sqrt{(k_{Cr}/k_{Cs}) \times (k_{\tau s}/k_{\tau r}) \times 2 \times k_{\rho s}/1000},$$
(11)

54 where  $k_{Cr}$  is the conductivity of root  $(m^3 \cdot kg^{-1} \cdot s^{-1} \cdot MPa^{-1})$ ,  $k_{Cs}$  is the conductivity of 55 sapwood  $(m^2 \cdot s^{-1} \cdot MPa^{-1})$ ,  $k_{\tau s}$  is the sapwood longevity (days), and  $k_{\tau r}$  is the root longevity (days). The multiplication by 2 converts carbon density into wood density and the division by 1000 converts g to kg. Following substitution of Eq. (10) in (7), a linear relationship of leaf mass and root mass is obtained:

$$M_{lc}/M_{rc} = f_{LF}$$
, (12)

59 where,

$$f_{LF} = k_{sar} \times k_{KF} \,. \tag{13}$$

Tree height is calculated using a relationship between tree height and basal area  $(d_{ba})$  (Pretzsch, 2009):

$$d_h = k_{pipe2} \times \left(\frac{4}{\pi} \times d_{ba}\right)^{\frac{k_{pipe3}}{2}},\tag{14}$$

where  $pipe_2(m^{-1})$  and  $pipe_3(unitless)$  are parameters relating tree height and basal area. At 62 the start of the allocation module, it is checked whether the current biomass pools satisfy the 63 allometric relationships. Biomass pools are expected to be out of balance after carbon losses 64 through leaf, sapwood and root-specific turnover have been accounted for. If compared to the 65 allometric relations there are imbalances in the biomass pools, newly assimilated carbon is 66 67 used for restoring the allometric relationships. If some of the carbon is left from restoring, it will be allocated for growth while accounting for intra-stand competition. Tree-ring growth 68 thus accounts for the pipe-model theory, allometric relationships and within-stand competition 69 70 between diameter classes (Deleuze et al., 2004):

$$\Delta d_{ba(i)} = f_{\gamma} \times \left( d_{cir(i)} - k_m \cdot f_{\sigma} + \left( \left( k_m \times f_{\sigma} + d_{cir(i)} \right)^2 - 4 \times \sigma \times d_{cir(i)} \right)^{1/f_{power}} \right) / 2 .$$
(15)

Where,  $f_{\gamma}$  is the variable that relates the  $d_{ba}$  increment of a tree to its  $d_{ba}$ . ORCHIDEE r5698 71 is not an individual-based model, and instead the model simulates stand structure by using a 72 prescribed number of size classes (*i* index in equation 15). Therefore,  $d_{cir(i)}$  denotes the 73 circumference of size class i, and  $\Delta d_{ba(i)}$  is a basal area increment of size class i which can be 74 75 converted in tree-ring width increment if the diameter of the tree is known. In ORCHIDEE 76 r5698, each diameter class represents trees with a different mean diameter and height and 77 therefore informs the user about the social position of trees within the canopy. The difference in social position within a stand is the basis of intra-stand competition, which accounts for the 78 79 fact that trees with a dominant position in the canopy are more likely to intercept light than 80 suppressed trees and therefore contribute more to the stand-level photosynthesis and biomass growth (Deleuze et al., 2004). In Eq. 15, m is a smoothing parameter,  $\sigma$  is a circumference 81 threshold for allocating carbon, and  $f_{power}$  is the denominator of power for deleuze-dhote 82 simulation. 83

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In the original equation,  $f_{power}$  is 2, which results in a linear increase in  $\Delta d_{ba(i)}$  with  $d_{cir(i)}$ (see Fig. 3 in Bellassen et al., (2010)). Following the observation that ecological properties such as crown length and tree height first increase but then saturate with an increasing diameter (Hemery et al., 2005; Peper et al., 2001), we introduced such a saturation point in the relationship between  $\Delta d_{ba}$  and  $d_{cir}$  by making  $f_{power}$  a function of the tree diameter:

$$f_{power} = 1.8 + k_{power} \times d_{dia}.$$
(16)

90

91 Where  $k_{power}$  is the slope for the  $f_{power}$  increment by  $d_{dia}$ . Following empirical testing, 92  $k_{power}$  was set such that  $f_{power}$  ranged between 2 and 3.5 as higher values further increase 93 the similarity between the diameter classes, making their use meaningless. According to Eq. 15, biomass is allocated to all size classes but more biomass will be allocated
to the larger than to smaller size classes (see Fig. 3 in Bellassen et al., (2010)). Furthermore,
the calculation of tree growth needs to conserve mass:

$$\Delta M_{tot_c} = \sum_i (\Delta M_{c(i)} \times d_{ind(i)}), \tag{17}$$

$$\Delta M_{s_c(i)} + \Delta M_{l_c(i)} + \Delta M_{r_c(i)} = \Delta M_{c(i)} .$$
<sup>(18)</sup>

98 Where  $\Delta M_{c(i)}$ ,  $d_{ind(i)}$ ,  $\Delta M_{s_c(i)}$ ,  $\Delta M_{l_c(i)}$ , and  $\Delta M_{r_c(i)}$  are respectively, the total allocated 99 carbon ( $gC \cdot tree^{-1}$ ), the number of trees per meter square , and the increase of sapwood mass, 100 leaf mass, root mass, in size class  $\Delta M_{s_c(i)}$ ,  $\Delta M_{l_c(i)}$ ,  $\Delta M_{r_c(i)}$ . The height increment can be 101 re-written using Eqs. (7), (10), and (14):

$$\binom{(M_{s_c(i)} + \Delta M_{s_c(i)})}{(M_{l_c(i)} + \Delta M_{l_c(i)})} = k_{KF} \times d_{h(i)} ,$$
(19)

$$\frac{(M_{s_c(i)} + \Delta M_{s_c(i)})}{(M_{r_c(i)} + \Delta M_{r_c(i)})} = k_{sar} \times d_{h(i)} ,$$
(20)

$$d_{h(i)} + \Delta d_{h(i)} = k_{pipe2} \times \left(\frac{4}{\pi} \times d_{ba(i)} + \Delta d_{ba(i)}\right)^{\frac{k_{pipe3}}{2}}.$$
(21)

Subsequently, Eqs. (17) to (21) need to be solved simultaneously to obtain a value for  $k_{\gamma}$  to 102 determine  $\Delta M_{s_c(i)}, \Delta M_{l_c(i)}, \Delta M_{r_c(i)}, \Delta d_{h(i)}$ , and  $\Delta d_{ba(i)}$ . In other words, a value for  $f_{\gamma}$ 103 needs to be found that both satisfies the allometric relationships and also conserves mass. Such 104 105 the system of equations cannot be solved analytically and would require an iterative scheme. 106 ORCHIDEE r5698, however, overcomes the need for iterations by assuming a locally linear 107 relationship of height and basal area (linearization of Eq. (14)). The fact that the calculation is 108 performed at daily time steps makes this a fair assumption because the height increment during 109 a single day is small:

$$\Delta d_{h(i)} = \Delta d_{ba(i)} / f_{s(i)} , \qquad (22)$$

110 where,  $f_{s(i)}$  is the slope of the linear relationship between a small increment in height and basal 111 area. Eq. (15) can therefore be re-rewritten as:

$$f_{s(i)} \tag{23}$$

$$=\frac{\Delta d_{ba(i)}}{k_{pipe2} \times \left(\frac{4}{\pi} \times \left(d_{ba(i)} + \Delta d_{ba(i)}\right)\right)^{k_{pipe3}/2} - k_{pipe2} \times \left(\frac{4}{\pi} \times d_{ba(i)}\right)^{k_{pipe3}/2}}$$

112 where  $\Delta d_{ba(i)}$  is a small increment of basal area of size class *i*.

# 113

114  $\Delta M_{s_c(i)}, \Delta M_{l_c(i)}, \text{ and } \Delta M_{r_c(i)}$  are then calculated by making use of  $f_s$ :

$$\Delta M_{s\_c(i)} = k_{ff} \times k_{\rho} \times \left( d_{ba(i)} + f_{I(i)} \times f_{\gamma} \right) \times \left( d_h + \frac{f_{I(i)}}{f_s} \times f_{\gamma} \right) - M_{s\_c(i)} -$$

$$M_{h\_c(i)},$$
(24)

$$\Delta M_{l_c(i)} = f_{KF} \times k_{ff} \times k_{\rho} \times \left( d_{ba(i)} + f_{I(i)} \times f_{\gamma} \right) - \left( f_{KF} \times M_{h_{c(i)}} \right) / (d_h + \frac{f_{I(i)}}{f_{s(i)}} \times f_{\gamma}) - M_{l_c(i)},$$

$$(25)$$

$$\Delta M_{r_{c}c(i)} = \frac{f_{KF}}{f_{LF}} \times k_{ff} \times k_{\rho} \times (d_{ba(i)} + f_{I(i)} \times f_{\gamma}) - (\frac{f_{KF}}{f_{LF}} \times M_{h_{c}c(i)})/$$

$$(d_{h(i)} + \frac{f_{I(i)}}{f_{s(i)}} \times f_{\gamma}) - M_{r_{c}c(i)},$$
(26)

115

116

117 Where  $k_{\rho}$  is wood density  $(gC \cdot m^{-3})$  and  $f_{I(i)}$  is part of Eq. 15

$$\left(d_{cir(i)} - k_m \cdot f_\sigma + \left(\left(k_m \times f_\sigma + d_{cir(i)}\right)^2 - 4 \times \sigma \times d_{cir(i)}\right)^{1/f_{power}}\right)/2.$$
(27)

 $k_{ff}$  was added to incorporate the form of the tree when relying on the relationship between tree 118 mass and dimension. When substituting Eq. (24), (25) and (26) in Eq. (17), a quadratic equation 119 for  $f_{\gamma}$  is obtained. Subsequently the increase in tree-ring width for each diameter class is 120 calculated by using the positive root of  $f_{\gamma}$  in Eq. (15). The size-related decrease in tree-ring 121 width, which could be as much as one order of magnitude, thus emerges from simulating wood 122 123 growth following allometric relationships under the assumption that a certain mass of sap wood is required to support root and leaves for mechanical and functional support (Magnani et al., 124 125 2000; Shinozaki et al., 1964).

126

# 127 1.3. Dependency of tree growth on endogenous disturbances

128 The endogenous disturbances that are accounted for correspond to self-thinning, recruitment and background mortality. Self-thinning takes place in an overcrowded stand due to resource 129 130 competition such as light, soil water, and nutrient. When the resource supply is insufficient, 131 the density of a stand starts to decrease, a process known as self-thinning. In ORCHIDEE we 132 thus use a pre-defined self-thinning relationship that reflects the maximum possible density of a stand at a given tree size. Tree size has been quantified by biomass, diameter, volume, and 133 134 height (Reineke, 1933; Zeide, 2010) as described in the previous section. In ORCHIDEE r5698, a relationship between number of individuals and the quadratic mean diameter is used to define 135 the self-thinning relationship: 136

$$d_{ind\_max} = \frac{d_{dia}}{k_{\alpha\_s}} \frac{1}{k_{\beta\_s}},$$
(28)

137 where  $d_{ind\_max}$  is the number of individual per hectare from the self-thinning relationship 138 (*trees* ·  $m^{-2}$ ),  $d_{dia}$  is the quadratic mean diameter (*m*) across all size classes,  $k_{\alpha\_s}$  is the 139 intercept for the self-thinning relationship, and  $k_{\beta_s}$  is the slope for the self-thinning 140 relationship. At the start of a simulation, the initial number of individuals is prescribed to 141 overcome numerical issues from the self-thinning relationship stemming from the fact that this 142 relationship is ill-defined for very small trees. The loss of trees by self-thinning starts when 143  $d_{ind\_max}$  calculated from the self-thinning relationship is smaller than the actual number of 144 individuals in the model ( $d_{ind}$ ). If this is the case  $d_{ind}$  is set to  $d_{ind\_max}$  and  $d_{ind} - d_{ind\_max}$ 145 trees are killed.

146

147 Recruitment occurs when resources are underused. In ORCHIDEE r5698, the number of
148 recruits is calculated as a function of the light availability at the forest floor (Rüger et al., 2009):

$$\log_{10} d_{ind\_new} = k_{\alpha\_r} + k_{\beta\_r} \times (\log_{10}(f_L + \epsilon) - k_{\bar{L}}),$$
<sup>(29)</sup>

Where  $d_{ind_new}$  is the number of recruits added per  $m^2$  area (trees  $\cdot m^{-2}$ ),  $k_{\alpha_r}$  is the 149 intercept,  $k_{\beta_r}$  is the slope for the relationship that links the number of recruits to the available 150 light,  $f_L$  is the seasonal average of the transmitted light that reaches to the floor,  $\epsilon$  is a small 151 offset added to avoid taking the log of zero (10E-8), and  $k_{\bar{L}}$  is an average of the log<sub>10</sub> light that 152 ensures that recruitment only occurs if the seasonal mean transmittance exceeds the average 153 transmittance. The calculation is done at the end of each year and only for PFTs where 154 155 recruitment is expected to be substantial and therefore accounted for. The calculated recruits are added to the smallest size class. 156

157

Background mortality represents the loss of individuals in stands in the absence of self-thinning
when the stand is young. It, thus, represents individual tree mortality that is unexplained from
the endogenous and exogenous disturbances accounted for in ORCHIDEE. Background

161 mortality is calculated using a concept of residence time for a tree and it is a constant-low rate162 of tree mortality that is applied to the living biomass.

$$k_{fdeath} = 1/k_{\tau\_res},\tag{30}$$

(20)

163 where  $k_{fdeath}$  is the mortality  $(day^{-1})$ , and  $k_{\tau\_res}$  is the residence time (days). If mortality 164 from self-thinning occurs, background mortality is not accounted for because self-thinning and 165 background mortality would not be independent when the stand is matured. This implies that 166 only the population dynamics of young stands are governed by background rather than self-167 thinning mortality. The within-stand population dynamics described above control the 168 contribution of endogenous disturbances to tree growth.

169

### 170 1.4. Dependency of tree growth on exogenous disturbances

The main exogenous disturbances in forests are fire, pests, droughts, windthrow (Seidl et al.,
2017), management (Pichler et al., 2010), N-deposition (Vitousek et al., 1997), and CO<sub>2</sub>
fertilization (Schimel, 1995). Except for pests, all is included in ORCHIDEE r5698, but only
the latter three were implemented such that they affect the simulated tree-ring width.

175

One of the biggest exogenous disturbances, affecting 98% of the European forest and over 50% of the global forests, is forest management (Pichler et al., 2010). ORCHIDEE r5698 simulates four management strategies: (1) unmanaged stands of which mortality is driven solely by selfthinning, (2) regular thinning and harvesting of wood, (3) coppicing, and (4) short rotation coppicing of willow and poplar for biomass production (Naudts et al., 2015). Under all three management systems a harvest is triggered when a PFT and management-specific maximum diameter or minimum stand densities is exceeded. Under thin and fell management thinning makes use of the concept of relative density index  $(f_{RDI})$  (Bellassen et al., 2010) and aims at reducing resource competition for the remaining individuals:

$$f_{RDI} = \frac{d_{ind}}{d_{ind\_max}},\tag{31}$$

185

186 where  $d_{ind\_max}$  is the maximum number of individuals from self-thinning relationship 187 (*trees* ·  $m^{-2}$ ).

188

The global nitrogen cycle has been strongly perturbed by anthropogenic activities (Vitousek et 189 190 al., 1997); biologically reactive nitrogen is emitted to the atmosphere (Durka et al., 1994) and 191 has caused an increase in the biological carbon sequestration following wet and dry deposition 192 especially in the context of increasing atmospheric CO2 concentration given that nitrogen availability may become a limiting factor (Bowman & Steltzer, 1998; Janssens et al., 2010; 193 194 Magnani et al., 2007). When the soil becomes nitrogen saturated negative effects on plant 195 growth, soil fertility, and water quality have been observed (Aber et al., 1998). ORCHIDEE 196 r5698 includes a dynamic nitrogen cycle and thus accounts for plant responses and tree ring 197 growth to nitrogen deposition and nitrogen limitation.

198

The nitrogen cycle in ORCHIDEE r5698 follows the approach implemented in an earlier 199 200 version of ORCHIDEE (Zaehle and Friend, 2010) and the enhancements proposed in 201 ORCHIDEE r4999 (Vuichard et al., 2019). At every time step, ORCHIDEE r5698 reads the 202 total nitrogen deposition from a nitrogen input file which prescribes four nitrogen sources: 203 deposition of ammonium, deposition of nitrate, fertilization and biological nitrogen fixation. Nitrogen losses through leaching resulting from drainage and gaseous emission resulting from 204 205 nitrification and denitrification are accounted for. Furthermore, the plant-available nitrogen 206 pool in the soil distinguishes an ammonium and nitrate pool which in turn depend on the

abovementioned nitrogen inputs and outputs, as well as on the decomposition of litter and nitrogen immobilization. Nitrogen uptake by the plant depends on the plant-available nitrogen in the soil along with fine root mass  $(M_r)$ , temperature and the actual plant nitrogen status. The nitrogen status of the plant is quantified through the dynamic C/N ratio of the leaves, roots, fruits, and the sapwood.

212

The nitrogen uptake is added to the labile pool before it is used in support of plant growth and
it is allocated to the different biomass pools. In ORCHIDEE, nitrogen allocation follows carbon
allocation:

$$\Delta M_{tot\_n} = f_{cost} \times f_{dnc} \times f_{nc\_l} \times f_{f\_R} \times \Delta M_{tot\_c},$$
(32)

where  $\Delta M_{tot_n}$   $(gN \cdot m^{-2})$  is nitrogen allocated to leaf, wood, root and fruits,  $f_{cost}$  is the 216 nitrogen cost per unit carbon allocation and accounts for the differences in carbon-nitrogen 217 218 ratio between leaves, wood, root, and fruit,  $f_{dnc}$  is an elasticity parameter (Eq. (21) in Text S1 from (Zaehle and Friend, 2010)),  $f_{nc_l}$  is nitrogen-carbon ratio of leaf, and  $f_{f_R}$  is the fraction 219 of the total allocatable carbon used for growth respiration.  $f_{cost}$  is calculated using the fraction 220 221 of carbon allocated to leaves, wood, roots, and fruits on the basis of the carbon-nitrogen ratio of each compartment (Eq. (20) in Text S1 from (Zaehle and Friend, 2010)).  $f_{nc_l}$  dynamically 222 223 dampens the nitrogen cost in accordance with the nitrogen available in the labile pool and the required nitrogen  $(\Delta M_{tot_n})$ . In extreme cases where the nitrogen in the labile pool is not 224 225 sufficient to sustain the growth in Eq. 32 and the maximum C/N ratio is reached, the nitrogen concentration of newly grown leaf is adjusted. The nitrogen concentrations in the leaves affect 226 carbon dynamics through nitrogen-dependencies of maximum carboxylation capacity, electron 227 transport capacity and maintenance respiration. Nitrogen availability will thus leave an imprint 228 229 on the simulated tree-ring width.

Increased atmospheric CO<sub>2</sub> concentrations may now be among the most dominant 231 anthropogenic disturbance. CO<sub>2</sub> fertilization stems from the fact that CO<sub>2</sub> may be a limiting 232 233 factor for growth and thus increasing it could enhance plant growth. The effect has been examined through experiments (Ainsworth and Long, 2004) and tree-ring width observations 234 (Cienciala et al., 2018; Koutavas, 2008), but the evidence from tree-ring records has been 235 236 questioned (Brienen et al., 2012; Groenendijk et al., 2015). Assessing the response of trees to 237 increasing atmospheric CO<sub>2</sub> concentrations is important for understanding changes in the 238 global carbon cycle, consequently, numerous modelling approaches have been developed to 239 simulate the CO<sub>2</sub> fertilization effect on net primary productions (Rathgeber et al., 2000; Su et 240 al., 2007) or tree-ring widths (Gaucherel et al., 2008; Li et al., 2014). ORCHIDEE-CN-CAN 241 prescribes atmospheric CO<sub>2</sub> concentrations based on reconstructions and observations. This 242 input enables the model to simulate responses of plant growth to increasing CO<sub>2</sub> concentrations following Eq. 1 to 3. Increased partial pressure of ambient CO<sub>2</sub> strengthens the cellular gradient 243 244 in CO<sub>2</sub> which in turn results in greater assimilation in the model.

245

Current ORCHIDEE r5698 simulates the growth response to windthrow and drought. Critical 246 wind speeds above which uprooting and stem breakage occurs are calculated as a function of 247 248 tree dimensions and stand characteristics (Chen et al., 2018; Hale et al., 2015). Following wind 249 damage, individual trees are killed. Following mortality from windthrow, ORCHIDEE r5698 grows new cohorts for the same PFT as before windthrow. This implies that ORCHIDEE does 250 251 not simulate resource competition with existing cohorts, and therefore only represents stand-252 replacing disturbances from windthrow. Windthrow of a few individual trees within a stand would stimulate recruitment and therefore impact tree-ring with in real forests, but this chain 253 254 of events is not simulated in ORCHIDEE r5698. In addition, windthrow which does not completely destroy a tree can impact tree ring growth; this process is also neglected in
ORCHIDEE. The effect of windthrow on tree ring widths is therefore not accounted for at the
moment.

258

Drought is defined by the 'absence of rainfall for a long enough period of time to result in 259 depletion of soil water and injury to plants' (Kramer and Boyer, 1995). In ORCHIDEE r5698, 260 based on aforementioned definition, drought is induced by the climate forcing. A hydraulic-261 262 based framework based on stomatal regulation (McDowell et al., 2008) has been implemented 263 (Naudts et al., 2015) to simulate survival and mortality of plants from droughts. Droughtinduced growth reduction is triggered by reduction in carbon assimilation and reflected in the 264 tree-ring widths in the model. Referring to the hydraulic-based framework, there are two causes 265 266 of mortality following a long and/or intense drought: carbon starvation which is caused by 267 carbon deficiency from stomata closure and hydraulic failure which comes from cavitation of xylem by the reduced water supply (Sevanto et al., 2014). ORCDHIEE r5698 can simulate 268 269 carbon starvation. Although ORCHIDEE r5698 simulates cavitation, it does not vet result in 270 plant mortality.

271

# 272 **2.** Tree-ring data and simulation set-up of the test case

We selected 10 sites from the International Tree Ring Data Bank (ITRDB) for comparison with
simulations, based on following criteria: (1) forest located in Europe; (2) forest composed of *Pinus sylvestris* L.; (3) forests between 100 to 150 years old; and (4) forest sites cover the entire
range of *P. sylvestris* within Europe. The location of the selected forests is detailed in Table
S2.

279 ORCHIDEE was run for 10 individual pixels, each containing one of the selected sites. The start year and the length of each simulation is set to match the site observations. The model run 280 was repeated four times for every site to obtain simulated tree ring widths for four different 281 282 model configurations. The first configuration, is the simplest configuration in this test (hence its label 'simple'): sapling recruitment is not accounted for, the nitrogen cycle is open and the 283 parameter quantifying resource competition within a stand  $(f_{power})$  was fixed at 2. The second 284 configuration was a copy of the first but the modified expression for resource competition (Eq. 285 286 16 in Text S1) was used (named 'power'). The third configuration was building on the second but also accounted for recruitment (named 'recru') by setting  $k_{\alpha_r}$  and  $k_{\beta_r}$  to -3 and 6, 287 respectively (Eq. 29 in Text S1). Finally, the fourth configuration uses a closed and dynamic 288 nitrogen cycle (Eq. 32 in Text S1), recruitment, and the modified within-stand competition 289 290 fpower (named 'Ndyn').

291

The configuration with an open nitrogen cycle prescribed the leaf carbon-to-nitrogen-ratios 292 with the average leaf carbon-to-nitrogen-ratio obtained from the 'Ndyn' simulation following 293 294 the method proposed by Vuichard et al. (2019). This ensured that the differences came from the C-N feedbacks rather than from differences in leaf nitrogen. For the years prior to 1901, 295 296 the simulations cycled through the climate forcing from 1901 to 1910. From 1901 onwards, climate forcing matching the simulation years were used. An observed time series of 297 298 atmospheric CO<sub>2</sub> concentrations was used (Keeling et al., 1996) and all forest were considered 299 to be unmanaged. Every simulation started from a 300 yearlong spinup that is needed to draw 300 an equilibrium of the slow carbon and nitrogen pools in the soil.

302 Supplementary tables

Name	Name in ORCHIDEE	Unit	Description
Ca	Са	μbar	Inside canopy CO <sub>2</sub> partial pressure
C <sub>c</sub>	Cc	μbar	Cholorplast CO2 partial pressure
C <sub>i</sub>	leaf_ci	μbar	Intercellular CO2 partial pressure
$d_{ba}$	ba	$m^2 \cdot tree^{-1}$	Plant basal area
d <sub>cir</sub>	circ_class_circ_eff	m	Effective circumference of individual
			trees
d <sub>dia</sub>	Dg	m	Quadratic mean of diameter
$d_h$	height	m	Plant height
d <sub>ind</sub>	circ_class_n	$trees \cdot m^{-2}$	Number of individuals in stand
d <sub>ind_new</sub>	new_ind	$trees \cdot m^{-2}$	number of recruitments added
d <sub>ind_max</sub>	Nmax	trees	Maximum number of trees according
		$\cdot$ hactare <sup>-1</sup>	to the self-thinning relationship
F <sub>A</sub>	assimtot	$\mu mol \cdot m^{-2}$	Carbon assimilation rate
		$\cdot s^{-1}$	
$F_{gpp}$	gpp	$gC \cdot m^{-2}$	Gross primary production
		$\cdot dt^{-1}$	
F <sub>Rd</sub>	Rd	$\mu mol \cdot m^{-2}$	Respiratory CO2 release other than
		$\cdot s^{-1}$	by photorespiration
f <sub>cost</sub>	costf	-	Nitrogen cost per unit gram carbon
f <sub>dnc</sub>	1/deltacn	-	

- **Table S1**. Description of the variables used in the description of the ORCHIDEE model
- 301

$f_{f_R}$	1 —	-	Fraction of growth respiration usage
	frac_growthresp_dyn		from gross primary production
$f_{gtemp}$	gtemp	-	Turnover coefficient of labile carbon
			pool
$f_{\gamma}$	gammas	-	Slope for size competition
$f_{KF}$	KF	m	Scaling factor to convert plant sap
			wood mass to plant leaf mass
$f_L$	lstress_fac	-	Fraction of light transmitted to the
			forest floor
f <sub>nc_l</sub>	1/cn_leaf	-	Nitrogen to carbon ration of leaf
$f_{LF}$	LF	-	Scaling factor to convert plant root
			mass to plant leaf mass
$f_{Pgap}$	Pgap	-	Transmission probability of light
			through to forest floor
f <sub>power</sub>	deleuze_power	-	
f <sub>rdi</sub>	rdi	-	Relative density index
f <sub>s</sub>	S	-	Slope of relationship between small
			increase of height and basal area
fσ	sigma	-	Size threshold of plants for carbon
			allocation in size competition
$g_b$	gb_co2	$\mu mol \cdot m^{-2}$	Boundary-layer conductance
		$\cdot s^{-1}$	
		$\cdot bar^{-1}$	

$g_m$	gm	$\mu mol \cdot m^{-2}$	Mesophyll diffusion conductance
		$\cdot s^{-1}$	
		$\cdot bar^{-1}$	
$g_s$	gs	$\mu mol \cdot m^{-2}$	Stomatal conductance to CO2
		$\cdot s^{-1}$	
$k_{\alpha_r}$	alpha_recruitment	-	Intercept for calculating new
			individuals from recruitments
$k_{\alpha\_s}$	alpha_selfthinning	-	Intercept for the self-thinning
			relationship
$k_{\beta_r}$	beta_recruitment	-	Slope for calculating new individuals
			from recruitments
$k_{\beta\_s}$	beta_selfthinning	-	Slope for the self-thinning
			relationship
k <sub>Cr</sub>	k_root	$m^3 \cdot kg^{-1}$	Root specific conductivity
		$\cdot s^{-1}$	
		$\cdot MPa^{-1}$	
k <sub>cs</sub>	k_sap	$m^2 \cdot s^{-1}$	Sapwood specific conductivity
		$\cdot MPa^{-1}$	
$k_{fdeath}$	mortality	year <sup>-1</sup>	Background mortality
k <sub>ff</sub>	tree_ff	-	Tree form factor
$k_{ar{L}}$	$\log_{10} 0.02$	-	Average log light
k <sub>ls</sub>	k_latosa	-	Leaf area to sapwood area ratio
k <sub>lsmax</sub>	k_latosa_max	-	Maximum leaf area to sapwood area
			ratio

k <sub>lsmin</sub>	k_latosa_min	-	Minimum leaf area to sapwood area
			ratio
k <sub>m</sub>	$m_{-}dv$	-	Smoothing parameter for
			competition-allocation scheme
$k_{pipe2}$	pipe_tune2	$m^{-1}$	Allometric parameter relating tree
			height and basal area
$k_{pipe3}$	pipe_tune3	m	Allometric parameter relating tree
			height and basal area
k <sub>power</sub>			
$k_{ ho}$	pipe_density	$gC \cdot m^{-3}$	Wood density
$k_{ ho s}$	sapwood_density	$mgC \cdot m^{-3}$	Sapwood density
k <sub>sar</sub>	c0_alloc	$m^{-1}$	Scaling factor to convert root mass to
			sapwood mass
k <sub>sla</sub>	sla	$m^2 \cdot gC^{-1}$	Specific leaf area
$k_{\tau r}$	tau_root	days	Root longevity
$k_{\tau s}$	tau_sap	days	Sapwood longevity
$k_{\tau\_res}$	residence_time	years	Residence time of plants
$M_{l_c}$	Cl	$gC \cdot tree^{-1}$	Plant leaf mass
$M_{labile\_c}$	labile_pool	$gC \cdot m^{-2}$	Carbon mass of labile pool
$M_{r_c}$	Cr	$gC \cdot tree^{-1}$	Plant root mass
M <sub>s_c</sub>	Cs	$gC \cdot tree^{-1}$	Plant sap wood mass
$\Delta M_{tot\_n}$	n_alloc_tot	$gN \cdot m^{-2}$	Nitrogen growth
M <sub>tot_c</sub>	circ_class_biomass	$gC \cdot tree^{-1}$	Plant total biomass
$T_{2m}$	t2m	K	Air temperature at 2m

	<i>x</i> 1	<i>x</i> 1	$\mu mol \cdot m^{-2}$	The variable to solve analytic
			$\cdot s^{-1}$	equation for assimilation.
	<i>x</i> 2	<i>x</i> 2	μbar	The variable to solve analytic
				equation for assimilation.
	ε	min _ <i>stomate</i>	-	Small value to avoid numerical
				problems
	$\Gamma^*$	gamma_star	μbar	CO2 compensation point
304				

Site name	Number in	Longitude	Latitude	Length of longest	Number of cores	Source
in ITRDB	Fig. 9			individual record		
				(years)		
birt019	1	52.23	5.92	134	24	https://www.ncdc.noaa.gov/paleo/study/4418
brit021	2	63.10	29.63	120	22	https://www.ncdc.noaa.gov/paleo/study/4399
finl039	3	61.85	28.90	130	55	https://www.ncdc.noaa.gov/paleo/study/3999
finl052	4	56.63	-3.35	149	33	https://www.ncdc.noaa.gov/paleo/study/3998
fran6	5	42.03	-2.70	136	51	https://www.ncdc.noaa.gov/paleo/study/5113
germ153	6	50.95	-1.68	134	13	https://www.ncdc.noaa.gov/paleo/study/16663
germ214	7	43.32	5.73	147	11	https://www.ncdc.noaa.gov/paleo/study/16747
neth034	8	46.30	7.57	142	304	https://www.ncdc.noaa.gov/paleo/study/3919
spai006	9	51.15	9.08	144	22	https://www.ncdc.noaa.gov/paleo/study/4405
swit188	10	50.78	7.57	116	48	https://www.ncdc.noaa.gov/paleo/study/6121

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