



1	BCC-CSM2-HR: A High-Resolution Version of the Beijing Climate
2	Center Climate System Model
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28 Abstract

BCC-CSM2-HR is a high-resolution version of the Beijing Climate Center (BCC) 29 Climate System Model. Its development is on the basis of the medium-resolution 30 31 version BCC-CSM2-MR which is the baseline for BCC participation to the Coupled Model Intercomparison Project Phase 6 (CMIP6). This study documents the 32 high-resolution model, highlights major improvements in the representation of 33 atmospheric dynamic core and physical processes. BCC-CSM2-HR is evaluated for 34 35 present-day climate simulations from 1971 to 2000, which are performed under CMIP6-prescribed historical forcing, in comparison with its previous 36 medium-resolution version BCC-CSM2-MR. We focus on basic atmospheric mean 37 states over the globe and variabilities in the tropics including the tropic cyclones 38 (TCs), the El Ni ño-Southern Oscillation (ENSO), the Madden-Julian 39 Oscillation (MJO), and the quasi-biennial oscillation (QBO) in the stratosphere. It is 40 shown that BCC-CSM2-HR keeps well the global energy balance and can realistically 41 reproduce main patterns of atmosphere temperature and wind, precipitation, land 42 surface air temperature and sea surface temperature. It also improves in the spatial 43 patterns of sea ice and associated seasonal variations in both hemispheres. The bias of 44 double intertropical convergence zone (ITCZ), obvious in BCC-CSM2-MR, is almost 45 disappeared in BCC-CSM2-HR. TC activity in the tropics is increased with resolution 46 enhanced. The cycle of ENSO, the eastward propagative feature and convection 47 intensity of MJO, the downward propagation of QBO in BCC-CSM2-HR are all in a 48 better agreement with observation than their counterparts in BCC-CSM2-MR. We 49 also note some weakness in BCC-CSM2-HR, such as the excessive cloudiness in the 50 51 eastern basin of the tropical Pacific with cold Sea Surface Temperature (SST) biases 52 and the insufficient number of tropical cyclones in the North Atlantic. 53

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55 **1. Introduction.**

Accurately modeling climate and weather is a major challenge for the scientific 56 community and needs high spatial resolution. However, many climate models, such as 57 those involved in the Fifth Assessment Report on Climate Change (IPCC AR5), still 58 use a spatial resolution of hundreds of kilometers (Flato et al., 2013). This nominal 59 resolution is suitable for global-scale applications that run simulations for centuries 60 61 into the future, but fails to capture small-scale phenomena and features that influence local or regional weather and climate events. This resolution is fine enough to 62 simulate mid-latitude weather systems which evolve in thousands of kilometers, but 63 64 insufficient to describe convective cloud systems that rarely extend beyond a few tens of kilometers. The study of Strachan et al. (2013) showed that while the average 65 tropical cyclone number can be well simulated at a resolution of around 130 km, but 66 grids finer than 60 km are needed to properly simulate the inter-annual variability of 67 cyclone counts. Higher horizontal resolutions (i.e., 50 km) can further improve the 68 simulated climatology of tropical cyclones (e.g., Oouchi et al., 2006; Zhao et al., 2009; 69 70 Murakami et al., 2012; Manganello et al., 2012; Bacmeister et al., 2014; Wehner et al., 2015; Reed et al., 2015; Zarzycki et al., 2016). Growing evidence showed that 71 high-resolution models (50 km or finer in the atmosphere) can reproduce the observed 72 intensity of extreme precipitation (Wehner et al., 2010; Endo et al., 2012; Sakamoto et 73 al., 2012). Some phenomena are sensitive to increasing resolution such as ocean 74 mixing (Small et al., 2015), diurnal cycle of precipitation (Sato et al., 2009; Birch et 75 al., 2014; Vellinga et al., 2016), QBO (Hertwig et al., 2015), the MJO's representation 76 (Peatman et al., 2015), and monsoons (Sperber et al., 1994; Lal et al., 1997; Martin et 77 al., 1999). Some small-scale processes such as mid-latitude storms and tropical 78 cyclones, and ocean eddies also feedback on the simulated large-scale circulation, 79 climate variability and extremes (Smith et al., 2000; Masumoto et al., 2004; Mizuta et 80 al., 2006; Shaffrey et al., 2009; Masson et al., 2012; Doi et al., 2012; Rackow et al., 81 2016). Many studies (e.g. Ohfuchi et al., 2004; Zhao et al., 2009; Walsh et al., 2012; 82 83 Bell et al., 2013; Strachan et al., 2013; Kinter et al. 2013; Demory et al., 2014; Schiemann et al., 2014; Small et al. 2014; Shaevitz et al., 2014; Hertwig et al., 2015; 84





Murakami et al., 2015; Hertwig et al., 2015; Roberts et al. 2016; Hewitt et al. 2016;
Roberts C.D. et al, 2018; Roberts M.J. et al., 2019) show that enhanced horizontal
resolution in atmospheric and ocean models has many beneficial impacts on model
performance and helps to reduce model systematic biases.

High-resolution climate system modelling becomes a key activity within the 89 climate research community, although increasing model resolution needs considerable 90 computational resources. In 2004, the first high-resolution global climate model 91 produced its first simulations within the Japanese Earth Simulator (Ohfuchi et al., 92 2004; Masumoto et al., 2004). At present day, performing high-resolution climate 93 simulations for saying 50 km in the atmosphere and 0.25 ° in the ocean is still a very 94 costly effort and can be realized only at a few research centers (e.g. Shaffrey et al., 95 2009; Delworth et al., 2012; Mizielinski et al., 2014; Bacmeister et al., 2014; Satoh et 96 al., 2014; Roberts et al., 2018). A High Resolution Model Intercomparison Project 97 98 (HighResMIP, Haarsma et al., 2016) is proposed as the primary activity within Phase 99 6 of the Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016) to 100 investigate the impact of horizontal resolution on climate simulation fidelity and 101 systematic model biases.

As a main climate modelling center in China (Wu et al., 2010, 2013, 2014, 2019, 102 2020; Xin et al., 2013, 2019; Li et al., 2019; Lu et al., 2020a,b), Beijing Climate 103 Center (BCC), China Meteorological Administration, also put important efforts in 104 developing high-resolution fully-coupled Beijing Climate Center Climate System 105 Model (BCC-CSM-HR) (Yu et al., 2014). The currently released version 106 107 (BCC-CSM2-HR, Table 1) is one of the three BCC model versions (Wu et al., 2019) involved in CMIP6 to run HighResMIP experiment. It is now in its pre-operational 108 phase to become the next generation Beijing Climate Center Climate Prediction 109 System to produce forecasts at leading times of two weeks to 1 year. The purpose of 110 this paper is to evaluate its performance by comparing it with the previous version of 111 medium resolution (BCC-CSM2-MR, Wu et al., 2019). In particular, we evaluate 112 their performance to simulate large-scale mean climate and some important 113 phenomena such as the ITCZ, tropical cyclones (TCs), MJO, and QBO which are 114





115 expected to be improved with enhanced resolution. A relevant description of

116 BCC-CSM2-HR is shown in Section 2, and the experiment design is shown in Section

117 3. Main results of model performance are presented in Section 4.

118 2. Model description at high-resolution configuration

Due to the diversity of research and operational needs in BCC, a basic rule that 119 120 we imposed to ourselves in the development of BCC-CSMs (Wu et al., 2019) is the 121 construction of a traceable hierarchy of model versions running from a coarse grid (T42, approximately 280km), to a medium grid (T106, approximately 110×110 km), 122 123 and to fine grid (T266, around 45×45 km). Actually, we fulfilled our target with an achievement to all of these model versions. All of them are fully-coupled models with 124 125 four components, atmosphere, ocean, land surface and sea-ice, interacting with each other (Wu et al., 2013, 2019, 2020). They are physically coupled through fluxes of 126 momentum, energy, water at their interfaces. The ocean - atmosphere coupling 127 frequency is 30 minutes, which is sufficient to account for the diurnal cycle. As 128 129 shown in Table 1, the medium resolution of BCC-CSM2-MR is at T106 for the atmosphere and has 46 layers with its model lid at 1.459 hPa. The resolution of the 130 global ocean is of 1 $\text{Pat.} \times 1$ Pon. on average, but $1/3 \circ \text{Pat.} \times 1$ Pon. for the tropical oceans. 131 BCC-CSM2-MR was described in detail in Wu et al. (2019). The atmosphere 132 resolution of BCC-CSM2-HR is T266 on the globe and 56 layers with the top layer at 133 0.156 hPa (Figure 1) and model lid at 0.092 hPa (Table 1). The ocean and sea ice 134 resolution in BCC-CSM2-HR is 1/4 9at. ×1/4 9on. and 40 layers in depth. Compared to 135 BCC-CSM2-MR, BCC-CSM2-HR is updated for its dynamical core and model 136 physics in the atmospheric component (Table 1). The ocean and sea ice components 137 are also updated from MOM4 and SIS4 (in BCC-CSM2-MR) to MOM5 and SIS5, 138 139 respectively. The land component in the two versions of BCC-CSMs is BCC AVIM version 2 (Li et al., 2019). 140

141 **2.1 Atmosphere Model**

The atmospheric component of BCC-CSM2-MR is the medium resolution
BCC-AGCM3-MR, with details being described in Wu et al. (2019) and in a series of





144 relevant publications (Wu et al., 2008, 2010; Wu, 2012; Wu et al., 2013; Lu et al., 2013; Wu et al., 2019; Lu et al., 2020a; Wu et al., 2020). The dynamic core in 145 BCC-AGCM3-MR uses the spectral framework as described in Wu et al. (2008), in 146 147 which explicit time difference scheme is applied to vorticity equation, semi-implicit time difference scheme for divergence, temperature, and surface pressure equations, 148 and semi-Lagrangian tracer transport scheme is used for water vapor, liquid cloud 149 water and ice cloud water. The main model physics in BCC-AGCM3-MR was 150 described in Wu et al. (2019), which includes the modified scheme of deep 151 convection suggested by Wu (2012), a new diagnostic scheme of cloud amount (Wu 152 et al, 2019), shallow convection transport scheme (Hack, 1994), the stratiform cloud 153 microphysics followed the framework of non-convective cloud processes in NCAR 154 Community Atmosphere Model version 3 (CAM3, Collins et al., 2004) but a 155 noticeable treatment for indirect effects of aerosols through mechanisms of clouds and 156 157 precipitation, the radiative transfer parameterization that originally implemented in CAM3, a modified boundary layer turbulence parameterization based on the eddy 158 diffusivity approach (Holtslag and Boville, 1993), and treatments of gravity waves 159 160 that are generated by a variety of sources including orography and convection (Lu et al., 2020a). 161

The atmospheric component in BCC-CSM2-HR is the newly-developed version of high resolution BCC-AGCM3-HR. Main differences between BCC-AGCM3-HR and BCC-AGCM3-MR are listed in Table 1, and we will detail them in the following sections. They respectively used a spatially-variable divergence damping scheme, amelioration of Wu's deep convective scheme (Wu, 2012), and integrated consideration for shallow convection and boundary layer processes.

168 a. Spatially-variable divergence damping

The performance of a climate model is largely determined by complex motions at different spatial-temporal scales and interaction of these scales. Subgrid-scale motions are generally caused by high-frequency waves, and they can exert impacts on the computational stability especially for a high-resolution model. Horizontal





divergence damping is often used to control numerical noise in weather forecast
models and for numerical stability reasons (Dey, 1978; Bates et al., 1993; Whitehead
et al., 2011).
In BCC-AGCM, a second-order and a fourth-order horizontal Laplacians (∇²

and ∇^4) are used to realize the damping operation on the divergence field D:

178
$$\frac{\partial D}{\partial t} = \dots + k_2 \nabla^2 D, \tag{1}$$

179 and

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$$\frac{\partial D}{\partial t} = \dots - k_4 \nabla^4 D, \qquad (2)$$

where k_2 and k_4 express the damping coefficient for the second-order and fourth-order dissipation operators, respectively. They are generally set as constant parameters. The second-order damping is used for the top three layers and the fourth-order damping for other layers.

Whitehead et al. (2011) proposed a horizontal divergence damping scheme that works on a latitude–longitude grid by using a linear von Neumann analysis. Here, we extended their idea to the spectral dynamic core in our high-resolution model BCC-AGCM3-HR, and we use a second-order horizontal damping operator with spatially-variable damping coefficient. In order to express the spacing dependence of the dissipation, an additional term is introduced in Eqs. (1) and (2) as:

191
$$\frac{\partial D}{\partial t} = \dots + k_2 \nabla^2 D + k_\nu \nabla^2 D \tag{3}$$

192 and

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$$\frac{\partial D}{\partial t} = \dots - k_4 \nabla^4 D + k_v \nabla^2 D.$$
(4)

194 where

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$$k_{\nu} = C_{s} \frac{[A_{E}\Delta \phi] \cdot [A_{E}\Delta \lambda]}{\Delta t}.$$
 (5)

Here, k_v is dependent on the time-step Δt and grid spacing. A_E in Eq. (5) is the radius of the earth. $\Delta \emptyset$ and $\Delta \lambda$ stand for the latitudinal and longitudinal grid spacings, respectively. The parameter C_s is designed to depend on vertical position as,

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$$C_{s} = C_{s0} \max \langle 1, 8 \left\{ 1 + \tanh \left[\ln \left(\frac{p_{top}}{p_{k}} \right) \right] \right\} \rangle,$$





201 where C_{s0} is a constant and related to model resolution, p_{top} and p_k are the pressures at the top layer and the kth one of the model. This dependence is to 202 introduce a diffusive sponge layer near the model top to absorb rather than reflect 203 204 outgoing gravity waves (Whitehead et al., 2011). It means that the strength and 205 frequency of the polar instabilities increase near the model top due to this increased damping coefficient, requiring a stronger diffusive operator to remove them, perhaps 206 in addition to the polar Fourier filter. This spatially-variable damping scheme can 207 208 improve the atmospheric temperature simulation in the stratosphere at polar areas of both hemispheres. This is possibly much more damping the meridional wave, as 209 Whitehead et al. (2011) pointed out employing a damping coefficient that neglects the 210 latitudinal variation of the grid cell area will likely damp these meridional waves more 211 effectively. 212

b. Deep convection

In previous version of BCC-AGCM3-MR used in BCC-CSM2-MR, a modified scheme of deep cumulus convection developed by Wu (2012) is used (Wu et al., 2019). It is characterized as:

(1) Deep convection is initiated at the level of maximum moist static energy
above the boundary layer, and the convection is triggered only when the boundary
layer is unstable or there exists updraft velocity in the environment at the lifting level
of convective cloud, and simultaneously there is positive convective available
potential energy (CAPE).

(2) A bulk cloud model is used to calculate the convective updraft with
 consideration of budgets for mass, dry static energy, moisture, cloud liquid water, and
 momentum, and the entrainment/detrainment amount for the updraft cloud parcel is
 determined according to the increase/decrease of updraft parcel mass with altitude.

(3) The convective downdraft is assumed to be saturated and originated from the
level of minimum environmental saturated equivalent potential temperature within the
updraft cloud.

(4) The closure scheme determines the mass flux at the base of convective cloud,

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and depends on the decrease/increase of CAPE resulting from large-scale processes.

Along with increasing resolution in BCC-AGCM3-HR, the detrained cloud water can be transported to its adjacent grid boxes inside a model time step. Part of the horizontally-transported cloud water is assumed to be transferred downward to lower troposphere and the amount of downward transferred water vapor is determined by the convective cloud water change with time. These modifications of the deep convection scheme are found in favor for improving the simulation of eastward propagation of MJO in the tropics, and their details will be presented in another paper.

238 c. Boundary layer turbulence

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BCC-CSM2-HR employs the University of Washington Moist Turbulence (UWMT) scheme as proposed in Bretherton and Park (2009) to replace the dry turbulence scheme of Holtslag and Boville (1993). The latter was used in BCC-CSM2-MR. In UWMT, the first-order K diffusion is used to represent all turbulences, by which the turbulent fluxes of a variable χ are written as

$$\overline{w \chi} = -K_{\chi} \frac{\partial \chi}{\partial z}$$
(6)

The eddy diffusivity, $K\chi$, is calculated based on the turbulent kinetic energy (TKE, e)

and proportional to the stability-corrected length scale lS_{χ} , given by

 $K_{\chi} = lS_{\chi}\sqrt{e}$ (7)

In the case of an inversion layer at the top of convective BLs, the diffusivity isparameterized with

 $K_{\chi} = w_e \Delta z_e$ (8)

where w_e is the entrainment rate and Δz_e is the thickness of the entrainment layer. The UWMT scheme uses the Nicholls and Turton (1986) w* entrainment closure:

$$w_{e} = A \frac{w_{*}^{3}}{\left(g \Delta^{E} s_{vl} / s_{vl}\right) \left(z_{t} - z_{b}\right)}$$
(9)

Here, w* is the convective velocity, z_t and z_b are the top and bottom heights of the entrainment layer, Δ^E denotes a jump across the entrainment layer, and svl is the liquid virtual static energy. A is a nondimensional entrainment efficiency, which is





affected by evaporative cooling of mixtures of cloud-top and above-inversion air.

258 Compared to dry convective BLs over land which is mainly forced by the surface heating, the structure of marine stratocumulus-topped BLs depends strongly on 259 260 dominant turbulence generating mechanism resulting from both evaporative and radiative cooling at cloud top. The UWMT scheme aims to provide a more physical 261 and realistic treatment of marine stratocumulus-topped BLs and it has been 262 demonstrated that the observed patterns of low-cloud amount with maxima in the 263 subtropical stratocumulus decks can be well reproduced by UWMT in the Community 264 Atmosphere Model (Park and Bretherton, 2009). The implementation of the UWMT 265 scheme in BCC-CSM2-HR is aimed to improve the simulation of the low-level clouds 266 over subtropical eastern oceans and these improvements are found critical to reduce 267 the double-ITCZ bias of precipitation (Lu et al., 2020b). 268

269 d. Shallow convection

270 BCC-CSM2-HR basically inherits the shallow convection parameterization used in BCC-CSM2-MR, which is a stability-dependent mass-flux representation of moist 271 convective processes with the use of a simple bulk three-level cloud model, as in 272 Hack (1994). Specifically, in a vertically discrete model atmosphere where the level 273 index k decreases upward and considering the case where layers k and k+1 are moist 274 adiabatically unstable, the Hack scheme assumes the existence of a non-entraining 275 convective element with roots in level k+1, condensation and rain out processes in 276 277 level k, and limited detrainment in level k-1. By repeated application of this procedure 278 from the bottom of the model to the top, the thermodynamic structure is locally stabilized. 279

The Hack shallow cumulus scheme can be also active in moist turbulent mixing, such as stratocumulus entrainment, which has different physical characteristics than cumulus convection. Shallow cumulus is usually regarded as a decoupled BL regime in which the vertical mixing processes do not achieve a single well-mixed layer, while the stratocumulus regime represents a well-mixed BL up to cloud top. The decoupling criterion to distinguish between the two regimes is of great importance for simulating

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the stratocumulus-to-cumulus transition (Bretherton and Wyant, 1997; Wood and Bretherton, 2004). A number of these decoupling criteria have been explored, such as static stability (Klein and Hartmann, 1993) and buoyancy flux integral ratio (Turton and Nicholls, 1987). In the light of its robustness, the stability criterion with a threshold of 17.5 K is introduced into the Hack scheme. The lower tropospheric stability (LTS) is defined as

 $LTS = \theta_{700hPa} - \theta_{sfc} , \qquad (10)$

where θ_{700hPa} and θ_{sfc} are potential temperatures at 700 hPa and surface, respectively. In BCC-CSM2-HR, the modified Hack scheme is activated only in the decoupled BL regimes with *LTS* < 17.5 K to remove adiabatically moist instability. This modification to the triggering of shallow convection is found to improve the simulation of the ITCZ precipitation (Lu et al., 2020b).

298 2.2 Land surface model

The land surface component of BCC-CSM2-MR and BCC-CSM2-HR is the 299 Beijing Climate Center Atmosphere-Vegetation Interaction Model (BCC-AVIM). It is 300 301 a comprehensive land surface scheme developed and maintained in BCC. The version 1 (BCC-AVIM1.0) was used as the land component in BCC-CSM1.1m participating 302 303 in CMIP5 (Wu et al., 2013). The land component in BCC-CSM2-MR is BCC-AVIM 304 version 2.2 (Li et al., 2019). It includes major land surface biophysical and plant physiological processes (Ji, 1995; Ji et al., 2008), with 10 layers for soil and up to five 305 layers for snow. The details may refer to Li et al. (2019). The main difference between 306 307 BCC-AVIM2.2 and BCC-AVIM2.3 is in the sub-grid surface classification.

308 2.3 Ocean and Sea Ice Models

The ocean component of BCC-CSM2-HR is MOM5 (Modular Ocean Model, version 5.1) developed by the Geophysical Fluid Dynamics Laboratory (GFDL, Griffies, 2012). The model is based on the hydrostatic primitive equations and uses the Boussinesq approximation. The model uses Arakawa B-grid in the horizontal, with a globally uniform 0.25 resolution. The quasi-horizontal rescaled height





314 coordinate, namely, z* vertical coordinate is employed for enhancing flexibility of model applications and comforts of algorithms. There are 50 levels in the vertical, 315 with a resolution of 10 m in the upper ocean and 367 m at the ocean bottom. The 316 tracer advection scheme used in both the horizontal and vertical is the 317 multi-dimensional piecewise parabolic method (MDPPM), which is of higher order 318 and more accurate (less dissipative). MOM5 has a complete set of physical processes 319 with advanced parameterization schemes. Effect of mesoscale eddies is taken into 320 account through the neutral diffusion scheme of Griffies et al. (1998) with a constant 321 diffusivity of 800 m² s⁻¹ and the neutral slope tapering scheme of Danabasoglu and 322 McWilliams (1995) with the maximum slope of 1/200. The K-profile 323 parameterization (KPP) is used to parameterize ocean surface boundary layer 324 processes (Large et al., 1994). MOM5 uses the optical scheme of Manizza et al. (2005) 325 to define the light attenuation exponentials. SeaWiFS chlorophyll-a monthly 326 327 climatology is used in the calculation of the attenuation of shortwave radiation entering the ocean layers with a maximum depth set at 200m. The re-stratification 328 effects of sub-mesoscale eddies in the ocean surface mixed layer are parameterized 329 330 with the sub-mesoscale scheme of Fox-Kemper et al. (2008) and Fox-Kemper et al. (2011). The ocean component of BCC-CSM2-MR is MOM4-L40, also developed by 331 332 the GFDL (Griffies et al., 2005). It has a nominal resolution of 1 %1 ° with a tri-pole grid, and the actual resolution is from 1/3 °latitude between 10 °S and 10 °N to 1 °at 60 ° 333 latitude. There are 40 levels in the vertical. More details are referred to Wu et al. 334 (2019). The sea-ice component of BCC-CSM2-HR and BCC-CSM2-MR is SIS (Sea 335 336 Ice Simulator) developed by GFDL (Delworth et al., 2006). SIS employs Semtner's scheme for the vertical thermodynamics and contains full dynamics with internal ice 337 forces calculated using an elastic-viscous-plastic rheology. SIS has three vertical 338 layers, including one snow cover and two ice layers of equal thickness. The sea-ice 339 component operates on the same oceanic grid and has the same horizontal resolution. 340

3. Experimental design and simulations 341

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The principal simulation to be analyzed is the historical simulation (hereafter





343 historical) with prescribed forcings from 1971 to 2000 for both BCC-CSM2-MR and BCC-CSM2-HR. All historical forcings are from the CMIP6-recommended data 344 (https://esgf-node.llnl.gov/search/input4mips/)_including: (1) Greenhouse gases 345 concentrations such as CO2, N2O, CH4, CFC11 and CFC12 with zonal-mean values 346 and updated monthly; (2) Annual means of total solar irradiance derived from the 347 CMIP6 solar forcing; (3) Stratospheric aerosols from volcanoes; (4) 348 CMIP6-recommended tropospheric aerosol optical properties due to anthropogenic 349 emissions that are formulated in terms of nine spatial plumes associated with different 350 major anthropogenic source regions using version 2 of the Max Planck Institute 351 Aerosol Climatology Simple Plume model (MACv2-SP, Stevens et al., 2017); (5) 352 Time-varying gridded ozone concentrations; (6) Yearly global gridded land-use 353 forcing. In addition, aerosol masses based on CMIP5 (Taylor et al., 2012) are also 354 used for the on-line calculation of cloud droplet effective radius in our models. 355

356 The historical simulation of BCC-CSM2-MR follows the requirement of CMIP6. 357 The preindustrial initial state is obtained after a 500-year piControl simulation, and the historical simulation is then conducted from 1850 to 2014 (Wu et al., 2019). The 358 359 simulation of BCC-CSM2-HR covers the historical period from 1950 to 2014. Its initial state is the final state from a 50-year control simulation with fixed historical 360 forcing of the year 1950, following the HighResMIP protocol. The control run itself is 361 initiated from the states of individual components with their uncoupled mode. That is, 362 the state of atmosphere and land are obtained from a 10-year AMIP run forced with 363 monthly climatology of sea surface temperature (SST) and sea ice concentration, 364 365 while the states of ocean (MOM5) and sea ice (SISv2) are derived from a 1000-year forced run with a repeating annual cycle of monthly climatology of atmospheric state 366 from the Coordinated Ocean-Ice Reference Experiment (CORE) dataset version 2 367 (Danabasoglu et al., 2014). 368

369 **4. Results**

In order to fairly evaluate BCC-CSM2-MR and BCC-CSM2-HR against
 observation-based or reanalysis data, and to make a right inter-comparison among the





- three models, we choose a common period of 30 years from 1971 to 2000 from their
- 373 historical simulations in this work.

374 4.1 Global energy budget

375 Satellite observation is a direct monitoring of the net radiation at top-of-atmosphere (TOA, Wielicki et al, 1996), which is a primary indicator for the 376 Earth's energy balance. CERES-EBAF products are derived on the basis of satellite 377 observation data from CERES (Clouds and Earth's Radiant Energy System) project 378 379 and synthesized with EBAF (Energy Balanced and Filled) data, suitable for evaluation of climate models. The 2001-2014 monthly global gridded net radiations at 380 top-of-atmosphere (TOA) from CERES-EBAF products are used to evaluate the two 381 versions of BCC-CSM. As shown in Table 2, the globally-averaged TOA net energy 382 is 1.81±0.49 W m⁻² in BCC-CSM2-MR and 1.08±0.46 W m⁻² in BCC-CSM2-HR for 383 the period from 1971 to 2000. The energy equilibrium of the whole earth system in 384 BCC-CSM2-HR is slightly improved. The TOA shortwave and longwave components 385 in BCC-CSM2-HR are much closer to CERES-EBAF than BCC-CSM2-MR. It is to 386 be noted that only the period 2001–2014 is available for CERES-EBAF. We believe it 387 is still a good climatology to evaluate our models despite the lack of temporal 388 concomitance. 389

Clouds constitute a major modulator of the radiative transfer in the atmosphere, 390 and their radiative properties exert strong impacts on the equilibrium and variation of 391 392 the radiative budget at TOA. The globally-averaged shortwave cloud radiative forcing in BCC-CSM2-MR and BCC-CSM2-HR are slightly stronger than that in 393 CERE-EBAF (-47.16±0.24 W m⁻²) about 3 W m⁻² of cooling effect, and the 394 globally-averaged longwave cloud radiative forcing in the two models are also 395 stronger than the CERE-EBAF data (25.99±0.25 W m⁻²) near 2 W m⁻² of warming 396 397 effect. The obvious biases of model with contrast to CERE-EBAF are mainly located in the mid-latitudes and subtropics. Figure 2 shows annual and zonal mean of 398 shortwave, longwave and net cloud radiative forcing for the two model versions and 399 400 observations. The longwave and net cloud radiative forcing are overall consistent with





401 CERE-EBAF in most latitudes. In mid-latitudes of both the hemispheres, the shortwave cloud radiative forcing from BCC-CSM2-HR is much closer to 402 CERE-EBAF than that from BCC-CSM2-MR. But in low latitudes between 30 % and 403 404 30 N, BCC-CSM2-HR simulates excessive cloud shortwave radiative forcing which mainly comes from evident biases over the eastern tropical Pacific and tropical 405 Atlantic oceans (Figure 3). These biases are possibly attributable to the new scheme 406 of boundary layer processes in which abundant water vapor are confined in the lower 407 atmosphere in those regions. 408

409 4.2 Vertical structure of the atmosphere temperature and wind

Figure 4 presents zonally averaged vertical profiles of air temperature and zonal 410 wind for December-January-February (DJF) and June-July-August (JJA) as simulated 411 by BCC-CSM2-MR and BCC-CSM2-HR, with contrast to the ERA5 reanalysis below 412 the 1-hPa level (Hersbach and Dee 2016) and climatological values above the 1-hPa 413 level from the COSPAR (Committee on Space Research) International Reference 414 415 Atmosphere (CIRA86, Fleming et al., 1990), in which all data except CIRA86 are time averaged over the period from 1971 to 2000. The air temperature in DJF is 416 characterized as cool layers centralized near about 300 hPa in the Northern 417 Hemisphere and too warm layers near 1 hPa in the Southern Hemisphere. Those 418 different vertical structures in both hemispheres during DJF are almost reversed of 419 JJA. They are clear in BCC-CSM2-HR. The warmer layer over top of the stratosphere 420 near 1 hPa cannot be captured in BCC-CSM2-MR as its top is limited at 1.456 hPa. 421

Figure 5 shows biases of the zonally-averaged annual air temperature, relative to 422 ERA5. Here only model data from 5 hPa to 1000 hPa are evaluated as there are spare 423 station-based observations above 5 hPa and it is generally recognized that most of 424 stations don't their best-practice altitude 5 hPa 425 reach of (https://gcos.wmo.int/en/atmospheric-observation-panel-climate). Lower troposphere 426 temperature biases are relatively small. The two models BCC-CSM2-MR and 427 428 BCC-CSM2-HR have a negative air temperature bias that appears above the 250 hPa 429 pressure level (Fig. 5) in the subpolar and polar region, but a positive bias above 150





hPa in tropical regions. A prominent cold bias in the lower stratosphere and the upper
troposphere does not decrease in magnitude at higher horizontal resolution, and such a
negative bias in the troposphere has already been reported in many CMIP5 models
(see Charlton-Perez et al., 2013; Tian et al., 2013). In the upper stratosphere, all
model versions exhibit a warm bias that is maximal in the mid-latitudes and relatively
insensitive to changes in atmospheric resolution.

As shown in Figure 4, the basic pattern of vertical structures of westerly and 436 easterly zones and their changes in DJF and JJA are generally well simulated by 437 BCC-CSM2-MR and BCC-CSM2-HR. Both models have westerly wind biases of 438 annual means that are located in the upper troposphere and stratosphere near 60 S and 439 60 N (Figures 5b and 5d), and reflect the meridional structure of temperature biases 440 441 (Figures 5a and 5c) in accordance with the thermal–wind relationship. The largest biases in westerly winds near 100hPa in the tropics may be related to the QBO and its 442 443 downward propagation.

444 4.3 Surface Climate

Precipitation, land surface air temperature and sea surface temperature, sea-ice concentration are important variables, and there are rich ground- or satellite-based observations suitable for the assessment of model performance in terms of mean climate.

449 4.3.1 Precipitation

Observed monthly precipitation is taken from the Global Precipitation 450 451 Climatology Project (GPCP version 2.2; Adler et al., 2003) data set at 2.5 ° resolution for the period 1981–2010. Figure 6 shows the spatial distribution of DJF and JJA 452 mean precipitation for BCC-CSM2-MR and BCC-CSM2-HR, compared to GPCP. 453 The two versions of BCC-CSMs were both able to reproduce the global observed 454 precipitation patterns and there is an evident improvement in the high-resolution 455 model (BCC-CSM2-HR). Improvements are particularly clear in the Pacific, Indian, 456 and Atlantic Oceans. The double-ITCZ issue is one of the most significant biases that 457 persists in many climate models (e.g., Hwang and Frierson, 2013; Li and Xie, 2014). 458





459 It exists in BCC-CSM2-MR, with excessive precipitation in the South Pacific Convergence Zone (SPCZ). This bias almost disappears in BCC-CSM2-HR. A strong 460 negative bias of JJA precipitation over the Amazon region exists in the two models. 461 462 As shown in Figure 7, there is too much precipitation along the southern intertropical convergence zone (ITCZ) in BCC-CSM2-MR, which is mainly caused by excessive 463 precipitation in the southern intertropical zone in DJF. This systematic bias is 464 evidently improved in BCC-CSM2-HR. But the intensity of precipitation in the 465 northern intertropical convergence zone in BCC-CSM2-HR is stronger than that from 466 GPCP, which is partly attributed to the excessive precipitation in the tropical oceans, 467 especially in the eastern tropical North Pacific (Figure 6e). 468

The 2001-2019 quasi-global (60° N- 60° S) $0.1^{\circ} \times 0.1^{\circ}$ gridded half-hourly 469 precipitation estimates of Global Precipitation Measurement (GPM) Integrated 470 Multi-satellitE Retrievals for GPM (IMERG) products are used to evaluate the 471 472 precipitation intensity in BCC-CSMs. IMERG data are rainfall estimates combining data from all passive-microwave instruments in the GPM Constellation, together with 473 microwave-calibrated infrared satellite estimates, precipitation gauge analyses, and 474 475 potentially other precipitation estimators at fine time over the entire globe (Huffman et al., 2019). Figure 8 shows the probability density of hourly precipitation in function 476 of precipitation intensity with intervals of 1 mm/hour between 40 S and 40 N. The 477 frequency of events with precipitation rate smaller than 1 mm/hour in the two 478 versions of BCC-CSMs is both higher than in IMERG data, but lower for 479 precipitation rate exceeding 10 mm/hour. This is a common bias in global climate 480 481 models raising concerns for any studies on precipitation extremes. Compared to BCC-CSM2-MR, BCC-CSM2-HR with resolution increased shows obvious 482 improvement for its ability to capture the spectral distribution of precipitation, 483 especially the contrast between heavy and light rains. 484

485 4.3.2 Near-surface temperature

Global monthly mean sea surface temperature (SST) from 1971 to 2000 is taken
from the EN4 objective analysis (Good et al., 2013), and land surface air temperature





488 at 2 m is derived from the Climatic Research Unit (CRU) data set (Harris et al., 2013). Figure 9 shows a spatial-distribution map of the annual mean SST for EN4 and the 489 biases for BCC-CSM2-MR and BCC-CSM2-HR relative to EN4. BCC-CSM2-MR is 490 491 generally warmer, while BCC-CSM2-HR is colder than what observed. A warm SST bias in BCC-CSM2-MR spreads throughout most oceans, except the north Pacific and 492 north Atlantic. Such warm biases do not appear in BCC-CSM2-HR, and the cold SST 493 biases in the eastern subtropical south Pacific are possibly attributed to excessive 494 clouds there, also manifested by strong cloud shortwave radiative forcing. The warm 495 biases in the eastern tropical ocean basins in BCC-CSM2-MR are associated with a 496 deficit of stratiform low-level clouds, a common and systematic bias for many climate 497 models (Richter, 2015). The cold biases there in BCC-CSM2-HR, similarly, are 498 associated with too much low cloud, except over the tropical north Pacific. 499

Figure 10 shows the simulation biases of annual mean land-surface air 500 501 temperature from BCC-CSM2-MR and BCC-CSM2-HR. The near-surface air temperature over land in BCC-CSM2-MR is generally cooler than the CRU 502 observations, particularly exhibiting severe cool biases in North Europe. Increasing 503 504 atmospheric resolution in BCC-CSM2-HR does not seem to show amelioration, and the surface air temperatures in BCC-CSM2-HR exhibits rather similar patterns for 505 506 their biases in BCC-CSM2-MR and there are biases of -2 to 2 K in most land regions 507 between 50 N and 50 S with contrast to CRU data.

508 **4.3.3 Sea ice**

509 Figure 11 shows the annual mean sea ice concentration simulated by BCC-CSM2-MR and BCC-CSM2-HR over the period 1971-2000, compared to the 510 511 climatology (1971–2000) from Hadley Centre Sea Ice and Sea Surface Temperature data set (HadISST, Rayner et al., 2003). The simulated geographic distribution of sea 512 513 ice in the Arctic is overall realistic, except that the sea ice concentration in the Atlantic is slightly overestimated in both models. This overestimation of sea ice 514 possibly has a consequence for the severe cold biases of surface air temperature in 515 516 North Europe (Figure 10). In the Antarctic, sea ice concentration simulated by





BCC-CSM2-MR is smaller than HadISST data, especially from 60 W to 60 E in the
subpolar region where the simulated SST is warmer compared to EN4 (Figure 9b).
Those deficiencies in BCC-CSM2-MR are largely improved in BCC-CSM2-HR
(Figure 11f).

Figure 12 shows the monthly sea ice covers for the Arctic and Antarctic from 521 BCC-CSM2-MR and BCC-CSM2-HR. HadISST observations show that the Arctic 522 sea ice cover reaches a minimum extent of 6.9×10^6 km² in September and rises to a 523 maximum extent of 16.0×10^6 km² in March, and the Antarctic sea ice cover reaches a 524 minimum extent in February and a maximum extent in September. The seasonal cycle 525 amplitude and phase of sea ice area are well captured by the two models, and their 526 biases are almost smaller than 1×10^6 km² while compared to HadISST observations. 527 We note that the extents of the Arctic sea ice for each month in BCC-CSM2-MR are 528 slightly but systematically smaller than HadISST, and in the Antarctic are less in 529 February and March but larger in other months than HadISST. BCC-CSM2-HR 530 slightly overestimated sea ice concentration about 1×10^6 km² in both hemispheres 531 with reference to HadISST. 532

533 4.4 Tropical Climate

The tropical cyclone (TC), also known as typhoon or hurricane, is among the most destructive weather phenomena. The Madden-Julian Oscillation (MJO) is the dominant mode of sub-seasonal variability in the tropical troposphere (Madden and Julian, 1971), and the quasi-biennial oscillation (QBO) is a quasiperiodic oscillation of the equatorial zonal wind between easterlies and westerlies in the tropical stratosphere. TC, MJO and QBO are very important variabilities in the tropics, with consequences to global weather and climate.

541 4.4.1 Tropical Cyclones

Following previous studies (Murakami, 2014), we use multiple criteria to detect TCs in our simulations. (1) The maximum of daily relative vorticity of a TC-like vortex at 850 hPa exceeds 15×10^{-5} s⁻¹ for BCC-CSM2-HR and 1×10^{-5} s⁻¹ for BCC-CSM2-MR; (2) The warm-core above the TC-like vortex, which is presented as





the sum of the air temperature deviations at 300, 500 and 700 hPa over a $10^{\circ} \times 10^{\circ}$ grid box, exceeds 0.8 K; (3) The maximum wind speed at 850 hPa is higher than that at 300 hPa; (4) The maximum wind speed within the TC-like vortex center $3^{\circ} \times 3^{\circ}$ grid box is higher than 10 m s⁻¹; (5) The genesis position of the TC-like vortex is over the ocean; (6) The duration of the TC-like vortex satisfied above conditions exceeds 48 hours.

In Figure 13, we evaluate the average TC frequency over the twenty years 552 (1981-2000) from BCC-CSM2-MR and BCC-CSM2-HR, with contrast to the 553 climatology (1981-2000) of observations from International Best Track Archive for 554 Climate Stewardship (IBTrACS; Knapp et al., 2010). It is clear that TC activity is 555 increased with resolution enhanced. The averaged total global TC numbers per year 556 are 58.3 in BCC-CSM2-MR and 92.3 in BCC-CSM2-HR, and are slightly larger than 557 IBTrACS observation (89.7), although one of the above criteria for TC in 558 559 BCC-CSM2-MR is looser than that in BCC-CSM2-HR. Spatially, BCC-CSM2-HR generates excess TC activity in the eastern North Pacific, Northern Indian Ocean, and 560 Southern Hemisphere. But both models severely underestimate TC activity in the 561 562 North Atlantic and in the Caribbean Sea. The general overestimation of TC activity in the eastern North Pacific and over the opposite in the North Atlantic in 563 BCC-CSM2-HR may be related to the warmer SST in the eastern tropical North 564 Pacific and colder SST in the tropical Atlantic with contrast to EN4 data (Figure 9c), 565 but other factors such as the entrainment in the parameterization of convection may 566 also have an influence (Zhao et al., 2012). The biases of missing TC activity in the 567 568 North Atlantic also exist in other models (e.g., Bell et al., 2013; Strachan et al., 2013; Small et al., 2014), and still remain a challenge for the climate modelling community. 569 Figure 14 shows the maximum surface wind speed versus minimum sea level 570 pressure for the tropical cyclones that are derived from the 1981-2000 daily IBTrACS 571 observation (black dots and line), and from the 1981-2000 daily simulations of 572 BCC-CSM2-MR and BCC-CSM2-HR. Consistent with other similar studies (e.g., 573 Yamada et al., 2017), BCC-CSM2-MR and BCC-CSM2-HR cannot capture weak 574 storms whose maximum wind speeds are less than 10 m s⁻¹. The maximum wind 575





speed for TC in BCC-CSM2-MR only reaches to 30 m s⁻¹. BCC-CSM2-HR, as expected, can reproduce those strong TCs for which daily mean minimum pressure in TC centers may reach to 960 hPa and daily mean maximum wind speed may reach to 50 m s⁻¹. The fitting line of maximum wind speeds with minimum center pressures in BCC-CSM2-HR almost matches that from IBTrACS observation (Figure 14).

581 4.4.2 Madden–Julian Oscillation

MJO is characterized by eastward propagation of deep convective structures 582 moving along the Equator with an average phase speed of around 5 m s⁻¹ at the 583 intraseasonal time scale of 20-100 days (Wheeler and Kiladis, 1999). MJO generally 584 forms over the Indian Ocean, strengthens over the Pacific Ocean, and weakens due to 585 interaction with South America and cooler eastern Pacific SSTs (Madden and Julian, 586 1971). Figure 15 gives the lag-longitude evolution of 10 S-10 N-averaged 587 intraseasonal precipitation anomalies and lag-longitude evolution of 80 °-588 589 100 E-averaged intraseasonal precipitation anomalies correlated against the 590 precipitation over the equatorial eastern Indian Ocean. Both versions of BCC-CSMs reasonably reproduce the eastward propagating feature of convection from the Indian 591 592 Ocean across the Maritime Continent to the Pacific (Figs. 15b and 15c), as well as apparent poleward propagations from the equatorial Indian Ocean into the Northern 593 594 Hemisphere and the Southern Hemisphere (Figs. 15e and 15f). The northward 595 propagation is more skillfully depicted in simulations in BCC-CSM2-HR than in BCC-CSM2-MR. The average phase speed of eastward propagation of deep 596 convection in BCC-CSM2-HR is much closer to the GPCP data denoted by the 597 598 dashed line in Fig 15c. Figure 15b shows that the eastward propagation of deep convection in BCC-CSM2-MR is too fast, compared to GPCP data. 599

MJO activity can be generally featured by a life cycle of eight phases (Wheeler and Hendon, 2004). Intensity of outgoing longwave radiation (OLR) is often used for this purpose to represent the activity of convection. Figure 16 shows the MJO phase-latitude diagram of composited outgoing longwave radiation (OLR) and 850-hPa zonal wind anomalies averaged over 10 S–10 N. Here, on the basis of extracting the leading multivariate empirical orthogonal functions (EOFs) and





606 principal components (PCs) of intra-seasonal OLR, 850-hPa and 200-hPa zonal wind anomalies, eight MJO phases are defined by the inverse tangent of the ratio of PC2 to 607 PC1 as in Wheeler and Hendon (2004). In observation, MJO convection initiated from 608 609 Africa and the western Indian Ocean at phases 1–2, propagates eastward from the Indian Ocean across the Maritime Continent to the western Pacific at phases 3–6, and 610 finally disappears in the western hemisphere at phases 7-8. BCC-CSM2-MR 611 generally captures the evolution of convection with MJO phases, but shows faster 612 propagative speed and apparently underestimates the intensity compared to the 613 observation. In contrast, BCC-CSM2-HR shows an obviously improved MJO phase 614 transition and convection intensity. 615

616 4.4.3 The stratospheric quasi-biennial oscillation

617 The alternative oscillation between westerly and easterly winds in the tropical stratosphere constitutes the characteristic feature of the quasi-biennial oscillation 618 619 (OBO). The good simulation of OBO still remains nowadays a challenge for all 620 state-of-the-art climate models. In a recent work, Kim et al. (2020) showed that only half (15 out of 30) of the CMIP6 models can internally generate QBO 621 622 (BCC-CSM2-MR was in the good half). We should however recognize that there was a huge progress in CMIP6, since in CMIP5 only five models (about 10% of the total) 623 were able to simulate a realistic QBO (Schenzinger et al., 2017). 624

To evaluate model performance in simulating the QBO, the time-height cross 625 sections of the tropical zonal winds averaged from 5 °S to 5 °N for BCC-CSM2-MR 626 and BCC-CSM2-HR are compared with contrast to the ERA5 reanalysis. As shown in 627 Figure 17, ERA5 shows alternative westerlies and easterlies in the lower stratosphere 628 with a mean periodicity of about 28 months. The two BCC models are both able to 629 generate a reasonable QBO, and the observed asymmetry in amplitude with the 630 easterlies being stronger than the westerlies are also well reproduced. The general 631 performance of QBO in BCC-CSM2-MR was evaluated in Wu et al. (2019). A 632 detailed assessment of the underlying mechanism involving wave dynamics and the 633 associated forcing to drive QBO is presented in Lu et al. (2020a). The simulated QBO 634 has stronger amplitudes in BCC-CSM2-HR than in BCC-CSM2-MR. As the 635





horizontal resolution and physics package are changed from BCC-CSM2-MR to
BCC-CSM2-HR, the parameterized convective gravity wave forcing for QBO seems
enhanced in BCC-CSM2-HR. On the other hand, changes in the convective cumulus
parameterization can also affect the simulation of the resolved convectively coupled
equatorial waves (i.e., the Kelvin wave) driving the QBO, and lead to stronger QBO
amplitudes in BCC-CSM2-HR.

In the two BCC models, the downward propagation of QBO occurs in a regular manner, but does not sufficiently penetrate to low altitudes below 50 hPa. The vertical resolution is similar below ~10 hPa in both BCC-CSM2-MR and BCC-CSM2-HR (Figure 1). A further downward propagation to lower altitudes can be expected by increasing the vertical resolution finer than 500 m to adequately resolve the wave-mean flow interaction in the upper troposphere-lower stratosphere (Geller et al. 2016; Garcia and Richter 2019).

649 4.4.4 Niño3.4 SST variability

Figure 18 presents time series of the monthly Niño3.4 SST (5°N-5°S, 650 170°W-120°W) anomalies from BCC-CSM2-MR and BCC-CSM2-HR, with 651 652 reference to EN4 data from 1971 to 2000. The amplitude of interannual variation of the Nino3.4 index in BCC-CSM2-HR is weaker than in EN4 and in BCC-CSM2-MR. 653 The power spectrum analysis of the Ni ño3.4 index from the EN4 observations shows 654 significant peaks at 4-6 years and 2-3 years. The periodicity of the ENSO cycle in 655 BCC-CSM2-MR is mainly at 2-3 years. It is prolonged to 3-4 years in 656 BCC-CSM2-HR. In Figure 18e, the El Niño SST variability from EN4 data reaches 657 658 its maximum in the period from November to January. The phase locking simulated by BCC-CSM2-MR occurs in autumn. The simulated ENSO phase locking in 659 BCC-CSM2-HR is partly improved and the ENSO events tend to reach their 660 maximum toward winter, in spite of two months lag in the peak time. 661

Figure 19 presents the spatial patterns of correlation coefficients between the Niño3.4 index and global SST anomalies from 1971 to 2000 for the EN4 observation and the two BCC models. Both BCC-CSM2-HR and BCC-CSM2-MR simulate a positive correlation structure over the equatorial region of the central and eastern





666 Pacific, which is consistent with the analysis from EN4 despite of a too-westward extension into the western Pacific. The EN4 data show clearly that the zone of 667 positive correlation of SST with the Niño3.4 index in the equatorial eastern Pacific 668 669 expands to extra-tropics. There are also remarkable areas of positive correlation in the equatorial Indian Ocean and the eastern tropical Atlantic. Compared to 670 BCC-CSM2-MR, BCC-CSM2-HR improves the simulation in those regions. We also 671 note that areas of negative correlation of SST with the Niño3.4 index in the western 672 equatorial Pacific extend to the south and north Pacific in EN4, a phenomenon 673 however not clearly simulated in BCC-CSM2-HR, even deteriorated compared to 674 BCC-CSM2-MR. 675

676 **5.** Conclusions

This paper was devoted to the presentation of the high-resolution version BCC-CSM2-HR and to the description of its climate simulation performance. We focused on its updating and differential characteristics from its predecessor, the medium-resolution version BCC-CSM2-MR. BCC-CSM2-HR is our model version participating to the HighResMIP, while BCC-CSM2-MR is our basic model version for other CMIP6-Endorsed MIPs (Wu et al., 2019; Xin et al. 2019).

The atmosphere resolution is increased from T106L46 in BCC-CSM2-MR to 683 T266L56 in BCC-CSM2-HR, and the ocean resolution from $1 \,^{\circ}x1 \,^{\circ}$ in 684 BCC-CSM2-MR to 1/4 °x1/4 ° in BCC-CSM2-HR. A few novel developments were 685 implemented in BCC-CSM2-HR for both the dynamics core and model physics in the 686 atmospheric component. Firstly, a spatially-variable damping for the divergence field 687 688 was used to improve the atmospheric temperature simulation in the stratosphere at polar areas. It helps to control high-frequency noise in the stratosphere and above. 689 Secondly, the deep cumulus convection scheme originally described in Wu (2012) 690 was further ameliorated to allow detrained cloud water be transported to adjacent 691 grids and downward to lower troposphere. Thirdly, we modified the relevant schemes 692 for the boundary layer turbulence and shallow cumulus convection to improve the 693 simulation of ITCZ precipitation. Finally the UWMT scheme is used to improve the 694 simulation of the low-level clouds over eastern basins of subtropical oceans. The land 695





696 model configuration in BCC-CSM2-HR is the same as that in BCC-CSM2-MR. Major land surface biophysical and plant physiological processes of BCC-AVIM2 697 implemented in BCC-CSM2-MR and BCC-CSM2-HR keep the same, and main 698 differences are in the sub-grid surface classification. The ocean component of 699 BCC-CSM2-HR is upgraded from MOM4 in BCC-CSM2-MR to MOM5. The sea ice 700 701 component is also updated from SIS4 in BCC-CSM2-MR to SIS5 in BCC-CSM2-HR. For the sake of a rigorous comparison, two simulations of 30 years each were 702 realized under the same historical conditions from 1971 to 2000 with 703 BCC-CSM2-MR and BCC-CSM2-HR, respectively. We compared the basic climate 704 features in relation to atmospheric temperature, circulation, precipitation, surface 705 temperature, and sea ice between the two simulations and we evaluated them against 706 observation-based and reanalysis data. With contrast to the medium-resolution 707 BCC-CSM2-MR, the high-resolution BCC-CSM2-HR has a slightly improved energy 708 709 equilibrium for the whole earth system. The global mean TOA net energy balance is about 1.08 W m⁻² in BCC-CSM2-HR for the period from 1971 to 2000, showing an 710 evident improvement compared to 1.81 W m⁻² in BCC-CSM2-MR. The longwave and 711 712 net cloud radiative forcing are overall consistent with CERE-EBAF in most latitudes, but excessive cloud radiative forcing for shortwave radiation is found over the eastern 713 tropical Pacific and tropical Atlantic in BCC-CSM2-HR. Lower troposphere 714 715 temperature biases are relatively small. Both versions of BCC-CSMs have a cold air temperature bias that appears above 250 hPa in the subpolar and polar region, and a 716 warm bias in the upper stratosphere in the mid-latitudes, which caused westerly wind 717 718 biases in the upper troposphere and in the stratosphere.

Although those prominent systematic biases in temperature and wind do not change at higher horizontal and vertical resolution and seems relatively insensitive to changes in atmospheric resolution, the ability to capture the winter to summer seasonal change in the vertical structure of temperature and wind in the upper stratosphere is strengthened in BCC-CSM2-HR.

The two versions of BCC-CSMs were both able to reproduce the observed global precipitation patterns and there is a remarkable improvement in precipitation centers





726 over the Pacific, Indian, and Atlantic Ocean in the high-resolution model. The double-ITCZ biases in BCC-CSM2-MR are reduced in BCC-CSM2-HR and 727 excessive precipitation in the South Pacific Convergence Zone is also strongly 728 reduced in BCC-CSM2-HR. The climatological SST in BCC-CSM2-HR, relative to 729 the observation-based EN4 data, shows cold biases but reduced compared to 730 BCC-CSM2-MR. Such SST cold biases are partly attributable to different ocean 731 components, MOM4 in BCC-CSM2-MR and MOM5 in BCC-CSM2-HR. The 732 seasonal cycles of amplitude and phase of sea ice in both hemispheres are generally 733 well captured in BCC-CSM2-HR, but with a small excess all year round in the 734 Northern Hemisphere, especially in the Atlantic. 735

We also conducted an assessment on a few important phenomena of the tropical 736 climate, such as TC (tropical cyclone), MJO (Madden-Julian oscillation), QBO 737 (quasi-biennial oscillation), and ENSO (El Nino - southern oscillation). The averaged 738 739 total number of global TC in BCC-CSM2-HR is a bit larger than IBTrACS observation. BCC-CSM2-HR can simulate main TC activities in the eastern North 740 Pacific, Northern Indian, and in the Southern Hemisphere but misses the TC activities 741 742 in the North Atlantic. BCC-CSM2-HR is able to capture a realistic MJO signal including the eastward-propagating behavior of MJO and its phase speed. The 743 QBO-related alternative westerlies and easterlies in the tropical lower stratosphere 744 745 with a mean periodicity of about 28 months are well simulated. The weakness in downward propagation of the simulated QBO (insufficient penetration of the signal to 746 low altitudes) in BCC-CSM2-MR is slightly improved in BCC-CSM2-HR. Main 747 748 features of the ENSO cycle such as the periodicity and phase locking are captured by BCC-CSM2-HR although its main ENSO periodicity of 3-4 years is still shorter than 749 EN4 observations and the pick time of ENSO variability is about two months later 750 compared to EN4 data. 751

We finally note that there exist some systematic biases in our high-resolution model, such as excessive cloud radiative forcing for shortwave radiation over the eastern tropical Pacific, cold biases in the near surface temperature over North Europe, and over the tropical Atlantic, insufficient TC activities over the North Atlantic. These





are all important issues to improve in our future model development.

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758 Code and data availability

759 Source codes of BCC-CSM-HR model can be accessed at a DOI repository http://doi.org/10.5281/zenodo.4127457 (Wu et al., 2020b). Model output of BCC 760 models for CMIP6 simulations described in this paper is distributed through the Earth 761 System Grid Federation (ESGF) and freely accessible through the ESGF data portals 762 after registration (http://doi.org/10.22033/ESGF/CMIP6.2921, Jie et al., 2020). 763 Details about ESGF are presented on the CMIP Panel website at 764 http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip. All source code and 765 data can also be accessed by contacting the corresponding author Tongwen Wu 766 (twwu@cma.gov.cn). 767

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769 Author contributions

Tongwen Wu led the BCC-CSM development, and all other co-authors contributed to it. Tongwen Wu, Weihua Jie, Xiaoge Xin, and Jie Zhang designed the experiments and carried them out. Tongwen Wu, Laurent Li, Yixiong Lu, Junchen Yao, and Fanghua Wu wrote the final document with contributions from all other authors.

775

776 Competing interests

- 777 The authors declare that they have no conflict of interest.
- 778

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1230 Table 1. Constituents and configurations of BCC-CSM2-MR and BCC-CSM2-HR.

		BCC-CSM2-MR	BCC-CSM2-HR	
	Resolution	T106 (~110km), 46 layers with top layer at 1.979hPa and model lid at 1.459 hPa	T266 (~45km), 56 layers with top layer at 0.156 hPa and model lid at 0.092 hPa	
	Dynamic core	Spectral framework described in Wu et al. (2008)	Same as in BCC-CSM2-MR but including spatially variant divergence damping.	
	Deep convection	A modified Wu'2012 scheme described in Wu et al. (2019)	Revised Wu et al. (2019) scheme, including the effects of convective downdraft in neighboring grids.	
	Shallow/Middle Tropospheric Moist Convection	Hack (1994)	Modified Hack (1994) scheme described in Lu et al. (2020b), incorporating a trigger based on lower tropospheric stability.	
	Cloud macrophysics	Diagnosed cloud fraction described in Wu et al. (2019)	Revised Wu et al. (2019) scheme, excluding the special treatment for the marine stratocumulus.	
Atmosphere component (BCC-AGCM)	Cloud microphysics	Modified scheme of Rasch and Kristj'ansson (1998) by Zhang et al. (2003), but included the aerosol indirect effects in which liquid cloud droplet number concentration is diagnosed using the aerosols masses.	Same as in BCC-CSM2-MR.	
	Gravity wave drag	Gravity wave drag generated by both orography (Mcfarlane 1987) and convection (Beres et al., 2004).	Same as in BCC-CSM2-MR, but using tuned parameters related to model resolutions.	
	Surface orographic drag	No treatment.	The turbulent mountain stress scheme as in Richter et al. (2010).	
	Radiative transfer	Radiative transfer scheme used in CAM3 (Collins et al., 2004), but including the aerosol indirect effects, and the effective radius of the cloud droplet for liquid clouds is diagnosed using liquid cloud droplet number concentration.	Same as in BCC-CSM2-MR.	
	Boundary Layer	Parameterization of Holtslag and Boville (1993), but modified PBL height computation as in Zhang et al. (2014)	The University of Washington Moist Turbulence scheme (Bretherton and Park, 2009)	
	Resolution	Horizontal resolution same as in the atmosphere component. 10 layers for soil and up to five layers for snow.	Horizontal resolution same as in the atmosphere component. 10 layers for soil and up to five layers for snow.	
Land surface component	Biophysical process	CLM3	CLM3	
(BCC-AVIM)	Plant physiological and Soil carbon- nitrogen dynamical processes	BCC-AVIM2 (Li et al., 2019)	BCC-AVIM2 (Li, 2019)	
	Resolution	$1^\circ \times 1^\circ$ with a tri-pole grid, but $1/3^\circ$ latitude between 30°S and 30°N to 1.0° at 60° latitude, 40 layers in vertical	$1/4^{\circ} \times 1/4^{\circ}$ with a tri-pole grid at north to 60°N, 50 layers in vertical	
Ocean Component	Tracer advection scheme	MOM4 (Griffies, 2005), Sweby advection scheme (Sweby, 1984)	MOM5 (Griffies, 2012), multi-dimensional piecewise parabolic method	
(MOM)	Neutral diffusion scheme	Griffies et al. (1998) with a constant diffusivity of 600 m^2s^{-1}	None	
	Surface boundary layer processes	K-profile parameterization (KPP, Large et al., 1994)	Same as in MOM4	
	Submesoscale parameterization	None	Fox-Kemper et al. (2008)	





	scheme			
	shortwave penetration	Morel and Antoine (1994), with the maximum depth of 100m	Manizza et al. (2005), with the maximum depth of 300m	
Sea Ice	Resolution	Same as in the ocean component, 3 vertical layers including 1 snow cover and 2 ice layers of equal thickness	Same as in the ocean component, cover 3 vertical layers including 1 sno cover and 2 ice layers of equi thickness	
Component (SIS)	Model physics	SISv1, Elastic-viscous-plastic dynamic processes, Semtner's thermodynamic processes	Same as SISv2	
	Snow albedo	0.80	0.85	
	Ice albedo	0.5826	0.68	

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1234 Table 2. Energy balance and cloud radiative forcing at the top-of-atmosphere (TOA) in

1235 the models with contrast to CERES-EBAF observations. Units: $W m^{-2}$.

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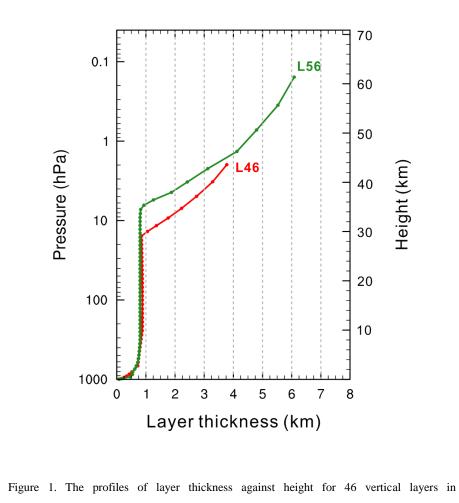
	BCC-CSM2-MR	BCC-CSM2-HR	CERES-EBAF
Net energy at TOA	1.81 ± 0.49	1.08 ± 0.46	0.84 ± 0.33
TOA outgoing longwave radiative flux	239.13 ±0.29	238.52 ±0.35	239.69 ±0.25
TOA net shortwave radiative flux	240.95 ±0.55	239.60 ± 0.45	240.53 ±0.19
TOA outgoing longwave radiative flux in clear sky	265.05 ± 0.41	266.12 ± 0.46	265.67 ±0.37
TOA net shortwave radiative flux in clear sky	290.52 ±0.85	289.77 ±0.70	287.68 ±0.14
TOA incoming shortwave radiation	340.38 ±0.09	340.38 ±0.09	340.14 ±0.09
Shortwave cloud radiative forcing	-49.58 ±0.49	-50.17 ± 0.58	-47.16 ±0.24
Longwave cloud radiative forcing	25.92 ±0.19	27.60 ±0.19	25.99 ±0.25

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Notes: Mean value and standard deviation are calculated from yearly global means of the
1971-2000 simulations for BCC-CSM2-MR, BCC-CSM2-HR, and the 2001-2014
CERES-EBAF Ed2.8 data set.





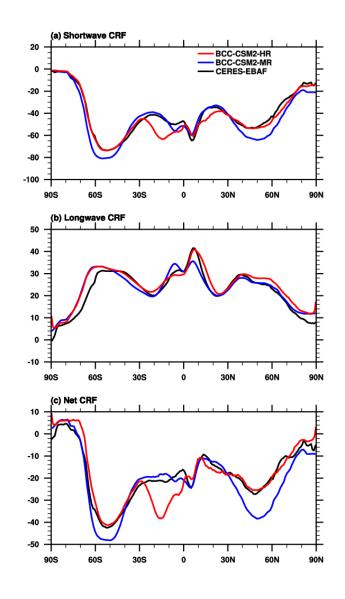


1247 BCC-CSM2-MR (red) and 56 vertical layers in BCC-CSM2-HR (green).





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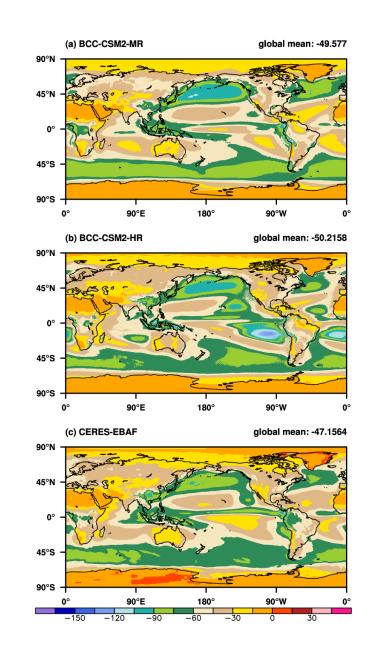
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Figure 2. Zonal averages of the cloud radiative forcing (CRF, in W m⁻²) for the historical simulations (1971-2000) of BCC-CSM2-MR and BCC-CSM2-HR, compared to the CERES-EBAF observations (2001-2014, a: shortwave effect; b: longwave effect; c: net effect).





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Figure 3. Annual-mean shortwave cloud radiative forcing for the historical simulations (1971
to 2000) of (a) BCC-CSM2-MR and (b) BCC-CSM2-HR, with comparison against (c) the
CERES-EBAF observations (2001-2014). Units: W m⁻².

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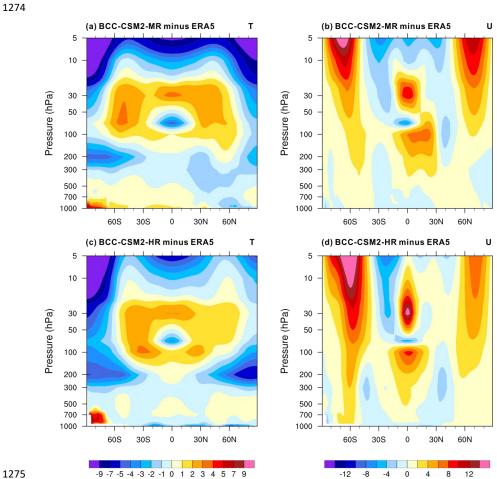


DJF JJA (a) ERA5/CIRA-86 (b) ERA5/CIRA-86 0.1 0. 60 0.3 0.3 0.5 0.5 50 Pressure (hPa) Pressure (hPa) 3 5 10 00 00 Height (km) 3 40 Height (km) 5 10 30 50 30 50 20 20 100 100 300 500 10 300 500 10 1000 1000 60S 30S 0 30N 60N 60S 30S 0 30N 60N (c) BCC-CSM2-MR (d) BCC-CSM2-MR 0.1 0. 60 60 0.3 0.5 0.3 0.5 50 50 1 1 Pressure (hPa) Pressure (hPa) 3 5 Height (km) 40 3 Height (km) 5 10 10 30 30 50 30 50 20 20 100 100 300 500 10 10 300 500 1000 1000 60S 30S 0 30N 60N 60S 30S 0 30N 60N (e) BCC-CSM2-HR (f) BCC-CSM2-HR 0.1 0.1 60 60 0.3 0.5 0.3 0.5 50 50 1 Pressure (hPa) Pressure (hPa) 3 5 3 5 40 Height (km) Height (km) 10 10 30 30 50 30 50 20 100 100 10 300 500 10 300 500 1000 1000 30S 30N 60N 30S 30N 60N 60S 0 60S 0 180 200 220 240 260 280

1267Figure 4. The zonal means of temperature (colors; K) and zonal wind (contours; m s⁻¹)1268averaged for December-January-February (left panel) and Jun-July-August (right panel) from12691971 to 2000 for (a,b) ERA5/CIRA86, (c,d) BCC-CSM2-MR, (e,f) BCC-CSM2-HR. Positive1270(negative) zonal winds are plotted with solid (dashed) lines with a contour interval of 10 m s⁻¹.1271Thick contour line denotes zero zonal wind speed. In (a) and (b), the values above 1 hPa from1272the COSPAR International Reference Atmosphere (CIRA86, Fleming et al., 1990) and below12731 hPa from the ERA5 reanalysis.

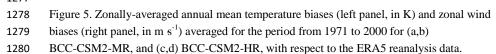






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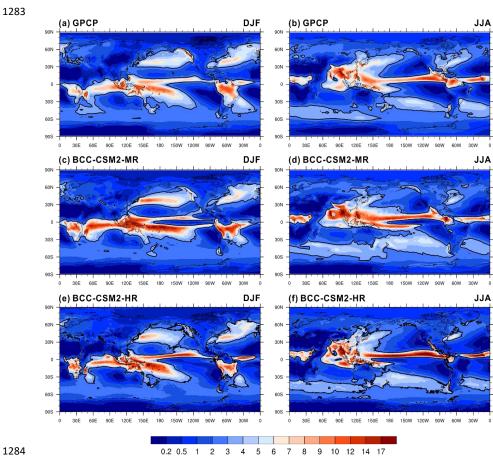


Figure 6. The mean precipitation rate of December-January-February (left panel) and
June-July-August (right panel) for (a,b) GPCP observations (1981–2010), (c,d)
BCC-CSM2-MR (1971–2000), and (e,f) BCC-CSM2-HR (1971–2000). Units: mm day⁻¹. The
3 mm day⁻¹ contour line is in bold as a reference to facilitate the visual inspection.





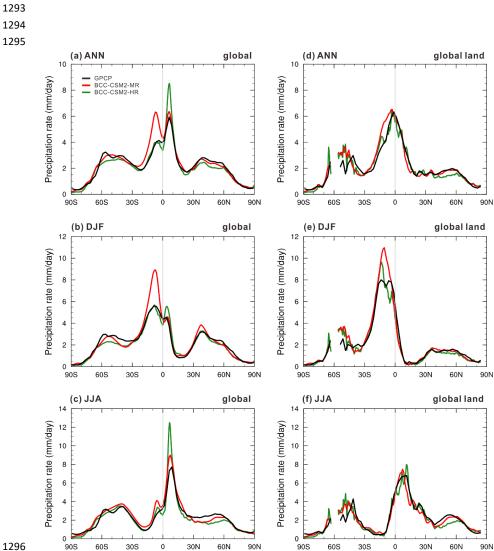




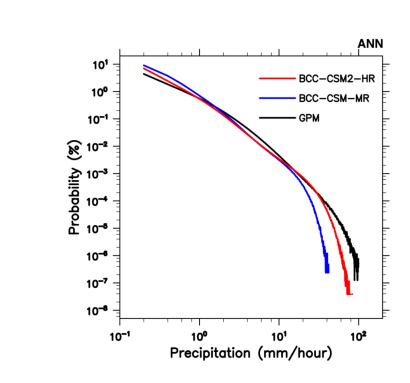
Figure 7. The zonally-averaged mean precipitation rate (mm day⁻¹) averaged for (a, d) the
annual mean, (b, e) December-February-February, and (c, f) June-July-August. The solid
black lines denote GPCP data (1981–2010), and the color lines show BCC-CSM2-MR
(1971–2000) and BCC-CSM2-HR (1971–2000) simulations. Units: mm day⁻¹.

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Figure 8. The probability density of hourly precipitation in function of precipitation intensity with intervals of 1 mm/hour between 40 °S and 40 °N derived from every 3 hours data for the Global Precipitation Measurement (GPM) from 2001 to 2019, and for BCC-CSM2-MR and BCC-CSM2-HR simulations from 1971 to 2000.

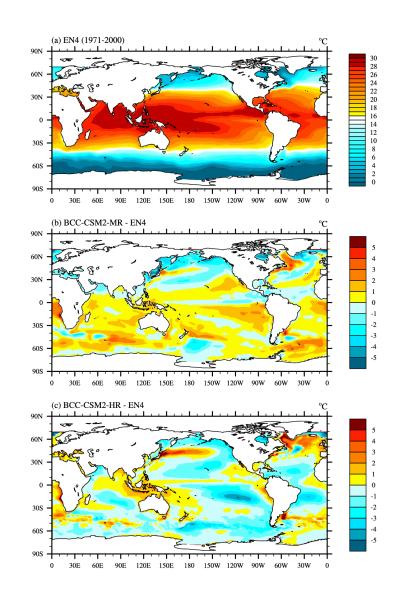
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Figure 9. The global distributions of the 1971-2000 annual mean sea surface
temperature for (a) the observations from Met Office Hadley Centre EN4 dataset, and
the simulation biases in (b) BCC-CSM2-MR and (c) BCC-CSM2-HR.





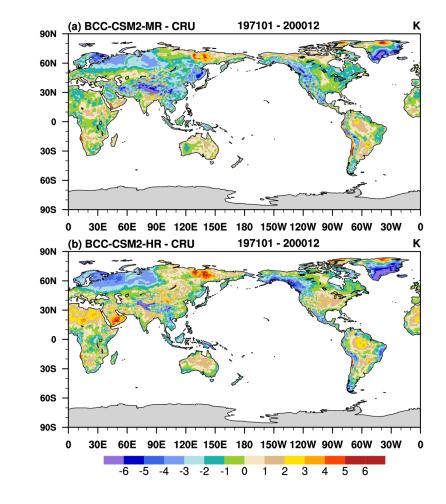


Figure 10. The simulation biases of annual mean land-surface air temperature in
BCC-CSM2-MR and BCC-CSM2-HR, with contrast to HadCRUT global
land-surface air temperature observations during the period from 1971 to 2000.





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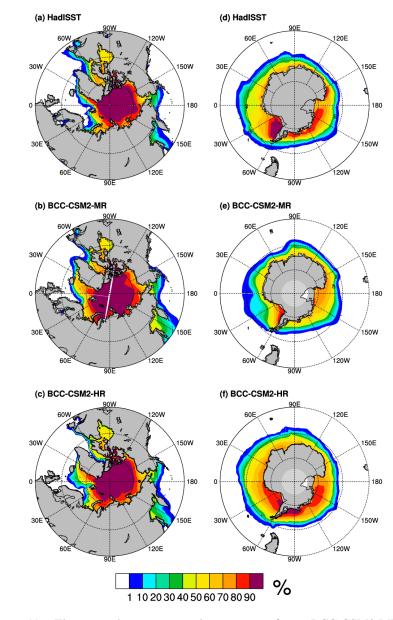


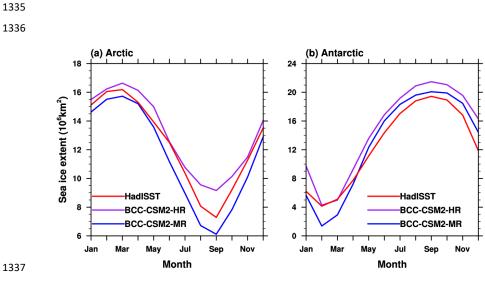
Figure 11. The annual mean sea ice extents from BCC-CSM2-MR and
BCC-CSM2-HR with contrast to the observations from the Hadley Centre Sea Ice
data set from 1971 to 2000.

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Figure 12. The mean (1971-1990) seasonal cycle of sea-ice extent (with a sea-ice concentration of at least 15 %) in (a) the Northern Hemisphere and (b) the Southern Hemisphere for the observations from the Hadley Centre Sea Ice and Sea Surface Temperature data set (red lines) and the simulations from BCC-CSM2-MR (blue lines), BCC-CSM2-HR (purple line).

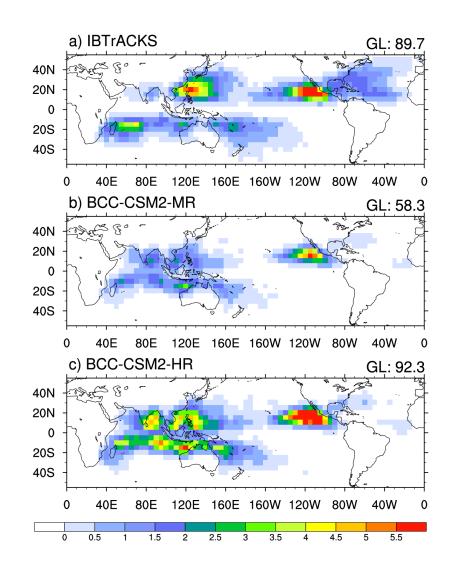
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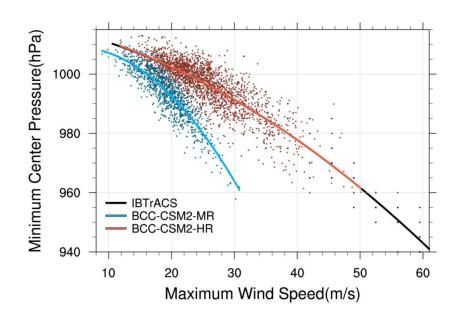
Figure 13. The global distribution of tropical cyclone (TC) densities (number per year) averaged for (a) the 1981-2000 IBTrACS_wmo observations and the 1981-2000 simulations from (b) BCC-CSM2-MR, and (c) BCC-CSM2-HR. The value on the upper-right corner denotes the total number of global TCs on 5 $^{\circ}$ grid box.

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Figure 14. Maximum surface wind speed (m s⁻¹) versus minimum sea level pressure (hPa) for tropical cyclones from the 1981-2000 daily IBTrACS observation (black dots and fitting line), and the 1981-2000 daily simulation from BCC-CSM2-HR (red dots and fitting line) and BCC-CSM2-MR (blue dots and fitting line). Here only plotted the tropical cyclones whose maximum surface wind speed exceeds 10 m s⁻¹.

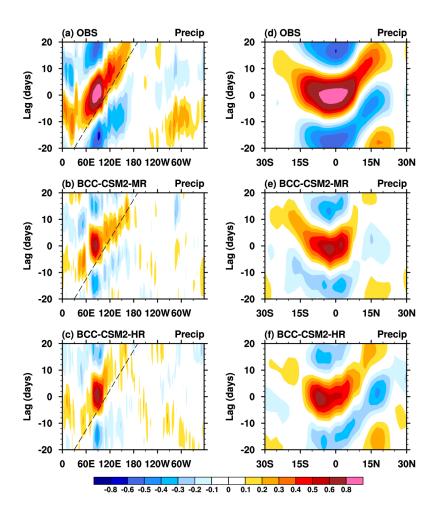
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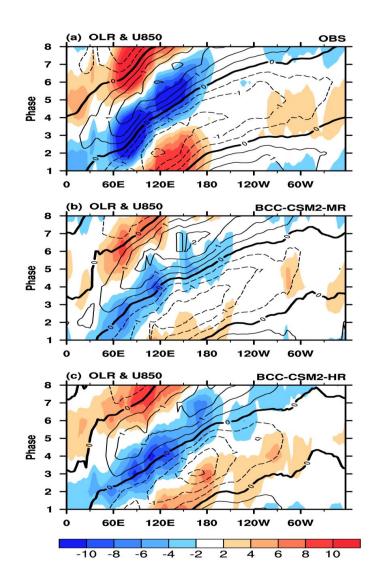
Figure 15. Left panels: longitude-time evolution of lagged correlation coefficient for 1370 1371 the 20-100-day band-pass-filtered precipitation anomaly (averaged over 10 S-10 N) against regional averaged precipitation over the equatorial eastern Indian Ocean (80 °-1372 $100 \times 10 \text{ S}-10 \text{ N}$). Right panels: same as the left panels, but for the latitude-time 1373 evolution of lagged correlation coefficient for filtered precipitation anomaly (averaged 1374 over 80 °-100 °E) against the regional averaged precipitation over the equatorial 1375 eastern Indian Ocean. Dashed lines in each panel denote the 5 m s⁻¹ eastward 1376 propagation speed. The observations in (a, b) are derived from GPCP data and the 1377 simulations are from (c,d) BCC-CSM2-MR, and (e,f) BCC-CSM2-HR for the period 1378 from 1971-2000. 1379

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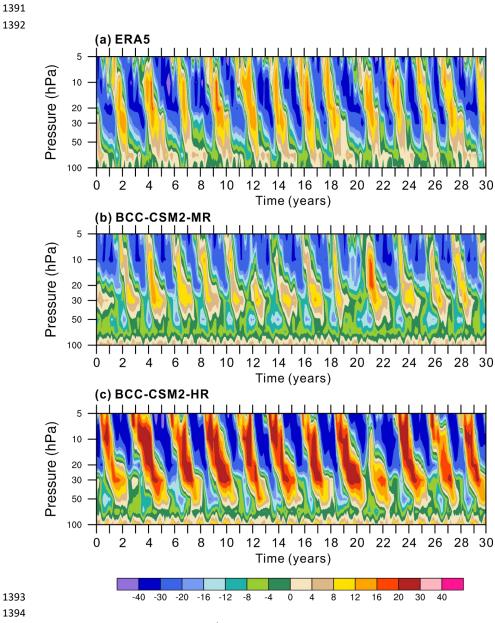
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Figure 16. Hovmöller diagrams of MJO phase-composited OLR (shaded) and 850-hPa zonal wind anomalies (contour lines) averaged between 10 S and 10 N. The MJO phase is defined by the two principal components corresponding to leading multivariate EOFs of OLR, 850-hPa and 200-hPa zonal wind anomalies as in Wheeler and Hendon (2004).







1395 Figure 17. Tropical zonal winds (m s⁻¹) between 5 m and 5 m in the lower stratosphere for (a)

- 1397 (1971–2000).
- 1398
- 1399

¹³⁹⁶ ERA5 reanalysis (1981–2010), (b) BCC-CSM2-MR (1971–2000) and (c) BCC-CSM2-HR





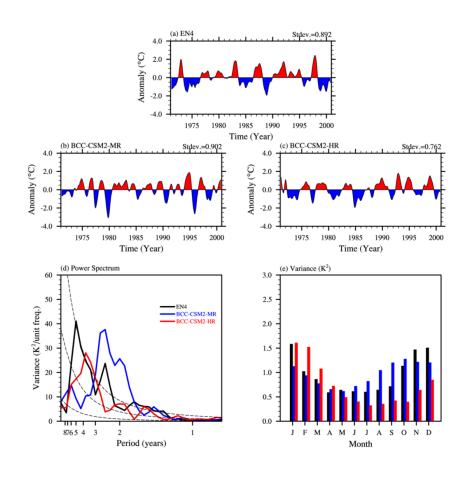
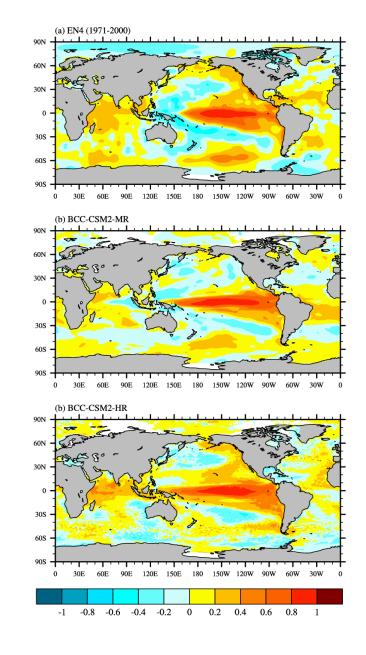


Figure 18. The time series of monthly Niño3.4 SST (5°N-5°S, 170°W-120°W)
anomalies for (a) EN4 observation, (b) BCC-CSM2-MR, and (c) BCC-CSM2-HR
during the period 1971-2000. (d) and (e) show their power spectrums and variances,
respectively. The black, blue, and red solid lines in (d) and (e) show the results from
EN4, BCC-CSM2-MR, and BCC-CSM2-HR.







1412 1413

Figure 19. Correlation coefficients between SST and the Nino3.4 index from 1971 to
2000 for (a) EN4 data, (b) BCC-CSM2-MR, and (c) BCC-CSM2-HR. Contour
intervals are 0.2.