BCC-CSM2-HR: A High-Resolution Version of the Beijing Climate
Center Climate System Model
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31 Abstract

BCC-CSM2-HR is a high-resolution version of the Beijing Climate Center (BCC) 32 Climate System Model (T266 in the atmosphere and 1/4 9at. ×1/4 9on. in the ocean). 33 Its development is on the basis of the medium-resolution version BCC-CSM2-MR 34 (T106 in the atmosphere and 1 9at. \times 1 9on. in the ocean) which is the baseline for BCC 35 participation into the Coupled Model Intercomparison Project Phase 6 (CMIP6). This 36 study documents the high-resolution model, highlights major improvements in the 37 representation of 38 atmospheric dynamical core and physical processes. BCC-CSM2-HR is evaluated for historical present-day climate simulations from 39 195071 to 201400, which are performed under CMIP6-prescribed historical forcing, 40 41 in comparison with its previous medium-resolution version BCC-CSM2-MR. 42 Observed global warming trend of surface air temperature from 1950 to 2014 are well captured by both BCC-CSM2-MR and BCC-CSM2-HR. We focus on Present-day 43 basic atmospheric mean states during the period from 1995 to 2014 are then evaluated 44 at global scale, followed by an assessment on climate over the globe and variabilities 45 in the tropics including the tropical cyclones (TCs), the El Niño-Southern 46 Oscillation (ENSO), the Madden-Julian Oscillation (MJO), and the quasi-biennial 47 oscillation (QBO) in the stratosphere. It is shown that BCC-CSM2-HR keeps well the 48 global energy balance and can realistically reproduce main patterns of atmosphere 49 temperature and wind, precipitation, land surface air temperature and sea surface 50 51 temperature (SST). It also improves in the spatial patterns of sea ice and associated seasonal variations in both hemispheres. The bias of double intertropical convergence 52 zone (ITCZ), obvious in BCC-CSM2-MR, is almost disappearsed in BCC-CSM2-HR. 53 54 TC activity in the tropics is increased with resolution enhanced. The cycle of ENSO, the eastward propagative feature and convection intensity of MJO, the downward 55 propagation of QBO in BCC-CSM2-HR are all in a better agreement with observation 56 than their counterparts in BCC-CSM2-MR. We also note sSome imperfections are 57 however noted weakness in BCC-CSM2-HR, such as the excessive cloudiness in the 58

- 59 eastern basin of the tropical Pacific with cold <u>Sea Surface Temperature (SST)</u> biases
- and the insufficient number of tropical cyclones in the North Atlantic.
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- 62

63 **1. Introduction.**

Accurately modeling climate and weather is a major challenge for the scientific 64 community and needs high spatial resolution. However, many climate models, such as 65 66 those involved in the Coupled Model Intercomparison Project Phase 5 (CMIP5, Taylor et al., 2012) and the more recent CMIP6 (Eyring et al., 2016)Fifth Assessment 67 Report on Climate Change (IPCC AR5), still use a spatial resolution of hundreds of 68 kilometers (Flato et al., 2013). This nominal resolution is suitable for global-scale 69 70 applications that run simulations for centuries into the future, but fails to capture small-scale phenomena and features that influence local or regional weather and 71 climate events. This resolution is fine enough to simulate mid-latitude weather 72 systems which evolve in thousands of kilometers, but insufficient to describe 73 convective cloud systems that rarely extend beyond a few tens of kilometers. The 74 study of Strachan et al. (2013) showed that while the average tropical cyclone number 75 76 can be well simulated at a resolution of around 130 km, but grids finer than 60 km are 77 needed to properly simulate the inter-annual variability of cyclone counts. Higher 78 horizontal resolutions (e.g.i.e., 50 km) can further improve the simulated climatology of tropical cyclones (e.g., Oouchi et al., 2006; Zhao et al., 2009; Murakami et al., 79 2012; Manganello et al., 2012; Bacmeister et al., 2014; Wehner et al., 2015; Reed et 80 al., 2015; Zarzycki et al., 2016). Growing evidence showed that high-resolution 81 82 models (50 km or finer in the atmosphere) can reproduce the observed intensity of extreme precipitation (Wehner et al., 2010; Endo et al., 2012; Sakamoto et al., 2012). 83 Some phenomena are sensitive to increasing resolution such as ocean mixing (Small 84 et al., 2015), diurnal cycle of precipitation (Sato et al., 2009; Birch et al., 2014; 85 86 Vellinga et al., 2016), the QBO (Hertwig et al., 2015), the MJO's representation (Peatman et al., 2015), and monsoons (Sperber et al., 1994; Lal et al., 1997; Martin et 87 88 al., 1999; Yao et al., 2017; Zhang et al. 2018). Some small-scale processes associated with such as mid-latitude storms and tropical cyclones, and ocean eddies also 89 feedback on the simulated large-scale circulation, climate variability and extremes 90 (Smith et al., 2000; Masumoto et al., 2004; Mizuta et al., 2006; Shaffrey et al., 2009; 91 Masson et al., 2012; Doi et al., 2012; Rackow et al., 2016). Many studies (e.g. 92

Ohfuchi et al., 2004; Zhao et al., 2009; Walsh et al., 2012; Bell et al., 2013; Strachan
et al., 2013; Kinter et al. 2013; Demory et al., 2014; Schiemann et al., 2014; Small et
al. 2014; Shaevitz et al., 2014; Hertwig et al., 2015; Murakami et al., 2015; Hertwig et
al., 2015; Roberts et al. 2016; Hewitt et al. 2016; Roberts C.D. et al, 2018; Roberts
M.J. et al., 2019) show that enhanced horizontal resolution in atmospheric and ocean
models has many beneficial impacts on model performance and helps to reduce model
systematic biases.

100 High-resolution climate system modelling becomes a key activity within the climate research community, although increasing model resolution needs considerable 101 computational resources. In 2004, the first high-resolution global climate model 102 produced its first simulations using within the Japanese Earth Simulator (Ohfuchi et al., 103 2004; Masumoto et al., 2004). At present day, performing high-resolution climate 104 simulations with model grid smaller than for saying 50 km in the atmosphere and 0.25 ° 105 in the ocean is still a very costly effort but a growing number of research centers can 106 exercise it and can be realized only at a few research centers (e.g. Shaffrey et al., 2009; 107 108 Delworth et al., 2012; Mizielinski et al., 2014; Bacmeister et al., 2014; Satoh et al., 2014; Roberts et al., 2018; Zhou et al., 2020). TheA High Resolution Model 109 Intercomparison Project (HighResMIP, Haarsma et al., 2016) is a CMIP6-endorsed 110 MIP (Model Intercomparison Project) proposed as the primary activity within Phase 6 111 of the Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016), which 112 aimed to investigate the impact of model horizontal resolution on climate simulation 113 114 fidelity and systematic model biases.

As a main climate modelling center in China (Wu et al., 2010, 2013, 2014, 2019, 115 2020; Xin et al., 2013, 2019; Li et al., 2019; Lu et al., 2020a,b), Beijing Climate 116 Center (BCC), China Meteorological Administration, also put important efforts in 117 developing high-resolution fully-coupled Beijing Climate Center Climate System 118 Model (BCC-CSM-HR) (Yu et al., 2014). The currently released version 119 (BCC-CSM2-HR, Table 1) is one of the three BCC model versions (Wu et al., 2019) 120 involved in CMIP6 to run HighResMIP experiment. It is now in its pre-operational 121 phase to become the next generation Beijing Climate Center Climate Prediction 122

System to produce forecasts at leading times of two weeks to one-1 year. The purpose 123 of this paper is to evaluate its performance by comparing it with the previous version 124 of medium resolution version (BCC-CSM2-MR, Wu et al., 2019). In particular, we 125 evaluate assess their performance to simulate large-scale mean climate and some 126 important phenomena such as the ITCZ, tropical cyclones (TCs), MJO, and QBO 127 which are expected to be improved with enhanced resolution. A relevant description 128 of BCC-CSM2-HR is shown in Section 2, and the experiment design is shown in 129 130 Section 3. Main results of model performance are presented in Section 4.

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2. Model description at high-resolution configuration

Due to the diversity of research and operational needs in BCC, a basic rule that 132 133 we imposed to ourselves in the development of BCC-CSMs (Wu et al., 2019) is the construction of a traceable hierarchy of model versions running from a coarse grid 134 (T42, approximately 280km), to a medium grid (T106, approximately 110×110 km), 135 and to a fine grid (T266, around 45×45 km). Actually, we fulfilled our target with an 136 137 achievement to deliver all of these model versions. All of them are fully-coupled models with four components $\Box_{\overline{1}}$ atmosphere, ocean, land surface and sea-ice $\Box_{\overline{1}}$ 138 interacting with each other (Wu et al., 2013, 2019, 2020). They are physically coupled 139 through fluxes of momentum, energy, water at their interfaces. The ocean -140 atmosphere coupling frequency is 30 minutes, which is sufficient to account for the 141 diurnal cycle. As shown in Table 1, the medium resolution of BCC-CSM2-MR is at 142 T106 for the atmosphere and has 46 layers with its model lid at 1.459 hPa. The 143 resolution of the global ocean is of 1 $at. \times 1$ 9on. on average, but $1/3 \circ lat. \times 1$ 9on. for 144 145 the tropical oceans. BCC-CSM2-MR was described in detail in Wu et al. (2019). The atmosphere resolution of BCC-CSM2-HR is T266 on the globe and 56 layers with the 146 top layer at 0.156 hPa (Figure 1) and model lid at 0.092 hPa (Table 1). The ocean and 147 sea ice resolution in BCC-CSM2-HR is 1/4 9at.×1/4 9on. and 40 layers in depth. 148 Compared to BCC-CSM2-MR, BCC-CSM2-HR is updated for its dynamical core and 149 model physics in the atmospheric component (Table 1). The ocean and sea ice 150 components are also updated from Modular Ocean Model version 4 (MOM4) and Sea 151

Ice Simulator version 4 (SIS4) (in BCC-CSM2-MR) to their version 5 (MOM5 and SIS5), respectively. The land component in the two versions of BCC-CSMs is-<u>the</u>
 Beijing Climate Center Atmosphere-Vegetation Interaction Model BCC AVIM
 version 2 (BCC-AVIM2,-(Li et al., 2019).

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2.1 Atmosphere Model

The atmospheric component of BCC-CSM2-MR is Beijing Climate Center 157 Atmospheric General Circulation Model version 3 (BCC-AGCM3) atthe medium 158 resolution- (BCC-AGCM3-MR), with details being described in Wu et al. (2019) and 159 in a series of relevant publications (Wu et al., 2008, 2010; Wu, 2012; Wu et al., 2013; 160 Lu et al., 2013; Wu et al., 2019; Lu et al., 2020a; Wu et al., 2020). The dynamical 161 core in BCC-AGCM3-MR uses the spectral framework as described in Wu et al. 162 (2008), in which explicit time difference scheme is applied to vorticity equation, 163 semi-implicit time difference scheme for divergence, temperature, and surface 164 pressure equations, and semi-Lagrangian tracer transport scheme is used for water 165 vapor, liquid cloud water and ice cloud water. The main model physics in 166 BCC-AGCM3-MR was described in Wu et al. (2019), which includes the modified 167 scheme of deep convection suggested by Wu (2012), a new diagnostic scheme of 168 cloud amount (Wu et al, 2019), the shallow convection transport scheme of (Hack (, 169 11994), the stratiform cloud microphysics followinged the framework of 170 non-convective cloud processes in NCAR Community Atmosphere Model version 3 171 (CAM3, Collins et al., 2004) but with a different noticeable-treatment for indirect 172 effects of aerosols affecting through mechanisms of clouds and precipitation, the 173 radiative transfer parameterization that was originally implemented in CAM3, a 174 175 modified boundary layer turbulence parameterization based on the eddy diffusivity approach (Holtslag and Boville, 1993), and a -treatments of gravity waves that are 176 generated by a variety of sources related toincluding orography and convection (Lu et 177 al., 2020a). 178

179The atmospheric component in BCC-CSM2-HR is the newly-developed version180of BCC-AGCM3 with high_resolution (BCC-AGCM3-HR). Main differences

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between BCC-AGCM3-HR and BCC-AGCM3-MR are listed in Table 1, and we will
detailed them in the following sub-sections. Actually, the high-resolution atmospheric
component has incorporated They respectively used a spatially-varyingiable
divergence damping scheme, amelioration of Wu's deep convective scheme (Wu,
2012), and an integrated consideration for shallow convection and boundary layer
processes.

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a. Spatially-var<u>ying</u>iable divergence damping

The performance of a climate model is largely determined by complex motions at different spatioal-temporal scales and interactions between them of these scales. Subgrid-scale motions are generally caused by high-frequency waves, and they can exert impacts on the computational stability especially for a high-resolution model. Horizontal divergence damping is often <u>needed used</u>-to control numerical noise in weather forecast models and for numerical stability reasons (Dey, 1978; Bates et al., 1993; Whitehead et al., 2011).

195 In BCC-AGCM<u>3-HR</u>, a second-order and a fourth-order horizontal Laplacians 196 $(\nabla^2 \text{ and } \nabla^4)$ are used to realize the damping operation on the divergence field D:

 $\frac{\partial D}{\partial t} = \dots + k_2 \nabla^2 D, \tag{1}$

198 and

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$$\frac{\partial D}{\partial t} = \dots - k_4 \nabla^4 D, \tag{2}$$

where k_2 and k_4 express the damping coefficients for the second-order and fourth-order dissipation operators, respectively. They are generally set as constant parameters. The second-order damping is used for the top three layers and the fourth-order damping for other layers.

Whitehead et al. (2011) proposed a horizontal divergence damping scheme that works on a latitude–longitude grid by using a linear von Neumann analysis. Here, we extended their idea to the spectral dynamical core in our high-resolution model BCC-AGCM3-HR, and we use a second-order horizontal damping operator with spatially-varyingiable damping coefficient. In order to express the grid_spacing dependence of the dissipation, an additional term is introduced in Eqs. (1) and (2) as:

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$$\frac{\partial D}{\partial t} = \dots + k_2 \nabla^2 D + k_v \nabla^2 D \tag{3}$$

211 and

$$\frac{\partial D}{\partial t} = \dots - k_4 \nabla^4 D + k_v \nabla^2 D.$$
(4)

213 where

$$k_{\nu} = C_s \frac{[A_E \Delta \emptyset] \cdot [A_E \Delta \lambda]}{\Delta t}$$
 (5)

215 Here, k_v is dependent on the time-step Δt and grid spacing. A_E in Eq. (5) is the 216 radius of the earth. $\Delta \emptyset$ and $\Delta \lambda$ stand for the latitudinal and longitudinal mesh 217 <u>sizesgrid spacings</u>, respectively. The parameter C_s is designed to depend on vertical 218 position as,

$$C_{s} = C_{s0} \max \langle 1, 8 \left\{ 1 + \tanh \left[\ln(\frac{p_{top}}{p_{k}}) \right] \right\} \rangle,$$
(6)

where C_{s0} is a constant and related to model resolution, p_{top} and p_k are the 220 221 pressures at the top layer and the kth layersone of the model, respectively. The expression (6) provides a rather flat vertical profile until the final two to three model 222 223 levels, where the damping coefficient is increased rapidly by up to a factor of 8 (Whitehead et al., 2011). This dependence is to introduces a diffusive sponge layer 224 near the model top to absorb rather than reflect outgoing gravity waves (Whitehead et 225 al., 2011). It means that the strength and frequency of the polar instabilities increase 226 227 near the model top due to this increased damping coefficient, requiring a stronger diffusive operator to remove them, perhaps in addition to the polar Fourier filter. The 228 expression (5) implies the damping coefficient increase with latitude for 229 BCC-AGCMs spectral grid. This spatially-varyingiable damping scheme can improve 230 231 the atmospheric temperature simulation in the stratosphere, especially at polar areas of both hemispheres, which. This is possibly due to the much mm ore efficient damping 232 of <u>the small-scale</u> meridional waves, as Whitehead et al. (2011) pointed out. 233 234 employing a damping coefficient that neglects the latitudinal variation of the grid cell area will likely damp these meridional waves more effectively. 235

236 **b. Deep convection**

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In previous version of BCC-AGCM3-MR, <u>as well as in</u>used in

BCC-<u>AGCM3-HRCSM2-MR</u>, a modified scheme of <u>the</u> deep cumulus convection
developed by Wu (2012) is used (Wu et al., 2019). It is characterized <u>by the following</u>
<u>pointsas</u>:

(1) Deep convection is initiated at the level of maximum moist static energy
above the boundary layer, and the convection is triggered only when the boundary
layer is unstable or there exists updraft velocity in the environment at the lifting level
of convective cloud, and simultaneously there is positive convective available
potential energy (CAPE).

(2) A bulk cloud model is used to calculate the convective updraft with
consideration of budgets for mass, dry static energy, moisture, cloud liquid water, and
momentum, and the entrainment/detrainment amount for the updraft cloud parcel is
determined according to the increase/decrease of updraft parcel mass with altitude.

(3) The convective downdraft is assumed to be saturated and originated from the
level of minimum environmental saturated equivalent potential temperature within the
updraft cloud.

(4) The closure scheme determines the mass flux at the base of convective cloud,and depends on the decrease/increase of CAPE resulting from large-scale processes.

Along with increasing resolution in BCC-AGCM3-HR, the detrained cloud water 255 can be transported to its adjacent grid boxes, which inside a model time step. is 256 accomplished in the dynamical core. Part of the horizontally-transported cloud water 257 is permitted assumed to be transferred downward to lower troposphere and the 258 259 amount of downward transferred water vapor is determined bv the 260 horizontally-transported convective cloud water increment change with time. These 261 modifications of the deep convection scheme only in BCC-CSM2-HR are found in favor offor improving the simulation of eastward propagation of MJO in the tropics, 262 and their details will be presented in another paper. 263

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c. Boundary layer turbulence

BCC-<u>AGCM3CSM2</u>-HR employs the University of Washington Moist
Turbulence (UWMT) scheme as proposed in Bretherton and Park (2009) to replace
the dry turbulence scheme of Holtslag and Boville (1993). The latter was used in

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268 BCC-<u>AGCM3CSM2</u>-MR. In UWMT, the first-order K diffusion is used to represent 269 all turbulences, by which the turbulent fluxes of a variable χ are written as

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 $\overline{w\,\chi} = -K_{\chi}\,\frac{\partial\chi}{\partial z} \tag{6}$

The eddy diffusivity, $K\chi$, is calculated based on the turbulent kinetic energy (TKE, *e*) and proportional to the stability-corrected length scale $L^{\frac{1}{\chi}}$, given by

$$K_{\chi} = L\sqrt{e} \frac{K_{\chi} = lS_{\chi}\sqrt{e}}{k_{\chi}}.$$
(7)

In the case of an <u>entrainmentinversion</u> layer at the top of convective <u>BLsboundary</u>
layers (BLs), the diffusivity is parameterized with

$$K_{\chi} = w_e \Delta z_e^{-K_{\chi}} = w_e \Delta z_e^{-K_{\chi}}, \qquad (8)$$

where $\frac{W_e}{W_e}$ is the entrainment rate and Δz_e is the thickness of the entrainment layer, and w_e is the entrainment rate which uses. The expression in UWMT scheme uses the Nicholls and Turton (1986) w* entrainment closure:

$$w_{e} = A \frac{w_{*}^{3}}{\left(g \Delta^{E} s_{vl} / s_{vl}\right) \left(z_{t} - z_{b}\right)}$$
(9)

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Here, $\mathbf{w}^* \ \mathbf{w}_*$ is the convective velocity, z_t and z_b are the top and bottom heights of the entrainment layer, Δ^E –denotes a jump across the entrainment layer, and $\frac{\mathbf{svls}_{vl}}{\mathbf{svl}}$ is the liquid virtual static energy. *A* is a nondimensional entrainment efficiency, which is affected by evaporative cooling of mixtures of cloud-top and above-inversion air.

Compared to dry convective BLs over land which is mainly forced by the surface 285 heating, the structure of marine stratocumulus-topped BLs depends strongly on 286 dominant turbulence generating mechanism resulting from both evaporative and 287 288 radiative cooling at cloud top. The UWMT scheme aims to provide a more physical and realistic treatment of marine stratocumulus-topped BLs and it has been 289 demonstrated that the observed patterns of low-cloud amount with maxima in the 290 subtropical stratocumulus decks can be well reproduced by UWMT in the Community 291 Atmosphere Model (Park and Bretherton, 2009). The implementation of the UWMT 292 293 scheme in BCC-AGCM3CSM2-HR is aimed to improve the simulation of the low-level clouds over subtropical eastern oceans and these improvements are found 294

critical to reduce the double-ITCZ bias of precipitation (Lu et al., 2020b).

296 d. Shallow convection

BCC-AGCMCSM23-HR shallow 297 basically inherits the convection parameterization used in BCC-AGCM3CSM2-MR, which is a stability-dependent 298 mass-flux representation of moist convective processes with the use of a simple bulk 299 three-level cloud model, as in Hack (1994). Specifically, in a vertically discrete model 300 atmosphere where the level index k decreases upward and considering the case where 301 layers k and k+1 are moist adiabatically unstable, the Hack scheme assumes the 302 existence of a non-entraining convective element with roots in level k+1, 303 condensation and rain out processes in level k, and limited detrainment in level k-1. 304 305 By repeated application of this procedure from the bottom of the model to the top, the thermodynamic structure is locally stabilized. 306

The Hack shallow cumulus scheme can also be also active in moist turbulent 307 mixing, such as stratocumulus entrainment, which has different physical 308 309 characteristics than cumulus convection. Shallow cumulus is usually regarded as a decoupled BL regime in which the vertical mixing processes do not achieve a single 310 well-mixed layer, while the stratocumulus regime represents a well-mixed BL up to 311 cloud top. The decoupling criterion to distinguish between the two regimes is of great 312 313 importance for simulating the stratocumulus-to-cumulus transition (Bretherton and Wyant, 1997; Wood and Bretherton, 2004). A number of these decoupling criteria 314 have been explored, such as static stability (Klein and Hartmann, 1993) and buoyancy 315 flux integral ratio (Turton and Nicholls, 1987). In the light of its robustness, the 316 stability criterion with a threshold of 17.5 K is introduced into the Hack scheme. The 317 lower tropospheric stability (LTS) is defined as 318

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$$LTS = \theta_{700hPa} - \theta_{sfc}, \tag{10}$$

where θ_{700hPa} and θ_{sfc} are potential temperatures at 700 hPa and <u>at</u> surface, respectively. In BCC-CSM2-HR, the modified Hack scheme is activated only in the decoupled BL regimes with *LTS* <17.5 K <u>below 700 hPa</u> to remove adiabatically moist instability, and— the original Hack scheme (Hack, 1993) is still retained above
700 hPa to remove any local instability as long as the two adjacent model layers are
moist adiabatically unstable. This modification to the triggering of shallow convection
is found very useful to improve the simulation of the ITCZ precipitation (Lu et al.,
2020b).

328 **2.2 Land surface model**

The land surface component of BCC-CSM2-MR and BCC-CSM2-HR is the 329 330 Beijing Climate Center Atmosphere Vegetation Interaction Model (BCC-AVIM). BCC-AVIM2It is a comprehensive land surface modelscheme developed and 331 maintained in BCC. Its previous The version 1-(BCC-AVIM1.0) was used as the land 332 component in BCC-CSM1.1m participating in CMIP5 (Wu et al., 2013), which-333 includes major land surface biophysical processes treated similarly as in the 334 Community Land Model version 3.0 (CLM3, Oleson et al., 2004) developed at the 335 National Center for Atmospheric Research (NCAR), and plant physiological 336 processes (Ji, 1995; Ji et al., 2008), with 10 layers for soil and up to five layers for 337 338 snow. The land component in BCC-CSM2-MR and BCC-CSM2-HR is BCC-AVIM version 2.2 (Li et al., 2019). Updates in BCC-AVIM2 from its precedent version 339 BCC-AVIM1 include a replacement of the water-only lake module by the common 340 land model lake module (CoLM-lake) with a more realistic snow-ice-water-soil 341 framework, a parameterization scheme for rice paddies added in the vegetation 342 module, renewed parameterizations of snow cover fraction and snow surface albedo 343 to accommodate the varied snow aging effect during different stages of a snow season, 344 a revised parameterization to calculate the threshold temperature to initiate freeze 345 346 (thaw) of soil water (ice) rather than being fixed at $0 \,^{\circ}$ C in BCC-AVIM1, a prognostic phenology scheme for vegetation growth instead of empirically prescribed dates for 347 leaf onset/fall, and a renewed scheme to depict solar radiation transfer through the 348 349 vegetation canopy. It includes major land surface biophysical and plant physiological processes (Ji, 1995; Ji et al., 2008), with 10 layers for soil and up to five layers for 350 snow. The dDetails of the updating are given inmay refer to Li et al. (2019). The main 351 differenceBCC-AVIM2 implemented between in BCC-CSM2-MR and is identical to 352

- what implemented in BCC-CSM2-HR, except BCC-AVIM2.2 and BCC-AVIM2.3 is
 horizontal resolution (same as in their atmosphere component) and the corresponding
 in the sub-grid surface classification.
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2.3 Ocean and Sea Ice Models

The ocean component of BCC-CSM2-MR is MOM4-L40, developed by the Geophysical Fluid Dynamics Laboratory (GFDL, Griffies et al., 2005). It has a nominal resolution of 1 %1 ° with a tri-pole grid, and the actual resolution is from 1/3 ° latitude between 10 % and 10 % to 1 ° at 60 ° latitude. There are 40 levels in the vertical. More details of its implementation can be found in Wu et al. (2019).

The ocean component of BCC-CSM2-HR is MOM5, also (Modular Ocean 362 363 Model, version 5.1) developed by the Geophysical Fluid Dynamics Laboratory (GFDL_(,-Griffies, 2012). The model is based on the hydrostatic primitive equations 364 and uses the Boussinesq approximation. The model uses Arakawa B-grid in the 365 horizontal, with a globally uniform 0.25 °_resolution. The quasi-horizontal rescaled 366 height-_coordinate, namely, z* vertical coordinate is employed tofor enhancinge 367 flexibility of model applications, which allows for the free surface to fluctuate to values 368 as large as the local ocean depth-and comforts of algorithms. There are 50 levels in the 369 vertical, with a resolution of 10 m in the upper ocean and 367 m at the ocean bottom. 370 The tracer advection scheme used in both the horizontal and vertical is the 371 372 multi-dimensional piecewise parabolic method (MDPPM, Marshall et al., 1997), which is of higher order and more accurate (less dissipative). 373

374 MOM5 has a complete set of physical processes with advanced parameterization schemes. Effect of mesoscale eddies through the neutral diffusion scheme of Griffies 375 et al. (1998) is not taken into accountincluded in this work-through the neutral 376 diffusion scheme of Griffies et al. (1998) with a constant diffusivity of 800 m² s⁻¹ and 377 the neutral slope tapering scheme of Danabasoglu and McWilliams (1995) with the 378 maximum slope of 1/200. The K-profile parameterization (KPP) is used to 379 parameterize ocean surface boundary layer processes (Large et al., 1994). MOM5 380 uses the optical scheme of Manizza et al. (2005) to define the light attenuation 381

382 exponentials. SeaWiFS chlorophyll-a monthly climatology is used in the calculation of the attenuation of shortwave radiation entering the ocean layers with a maximum 383 depth set at 200m. The re-stratification effects of sub-mesoscale eddies in the ocean 384 surface mixed layer are parameterized with the sub-mesoscale scheme of Fox-Kemper 385 et al. (2008) and Fox-Kemper et al. (2011). 386

387 The ocean component of BCC-CSM2-MR is MOM4-L40, also developed by the GFDL (Griffies et al., 2005). It has a nominal resolution of 1 %1 ° with a tri-pole grid, 388 389 and the actual resolution is from 1/3° latitude between 10 S and 10 N to 1° at 60° latitude. There are 40 levels in the vertical. More details are referred to Wu et al. 390 (2019). The sea-ice component of BCC-CSM2-HR and BCC-CSM2-MR is SIS4 391 (Winton, 2000) and SIS5 (Delworth et al., 2006) (Sea Ice Simulator) that developed 392 by GFDL-(Delworth et al., 2006), respectively. SIS employs Semtner's scheme for the 393 vertical thermodynamics and contains full dynamics with internal ice forces 394 calculated using an elastic-viscous-plastic rheology. Both SIS4 and SIS5 are the sea 395 ice component of MOM4 and MOM5, respectively, and haves three vertical layers, 396 397 including one snow cover and two ice layers of equal thickness. They . The sea-ice component-operates on the same oceanic grid of MOM4 in BCC-CSM2-MR and 398 MOM5 in BCC-CSM2-HR, respectivelyand has the same horizontal resolution. There 399 are up to five categories of sea ice on each model grid for SIS4 and SI5 according to 400 the thickness of sea ice, and the mutual transformation from one category to another 401 are taken into account under thermodynamic conditions. Both SIS4 and SIS5 employ the scheme of Semtner (1976) for the vertical thermodynamics and contains full dynamics with internal ice forces calculated using an elastic-viscous-plastic rheology.

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3. Experimental design and simulations data used

3.1 Historical simulation

The principal simulation to be analyzed is the <u>CMIP6</u> historical simulationrun 407 (hereafter referred to as historical) with prescribed forcings from 1897150 to 201400 408 for both-BCC-CSM2-MR and from 1950 to 2014 for BCC-CSM2-HR. All historical 409 forcings from the CMIP6-recommended data 410 are

(https://esgf-node.llnl.gov/search/input4mips/) including: (1) Greenhouse gases 411 concentrations such as CO₂, N₂O, CH₄, CFC11 and CFC12 with zonal-mean values 412 and updated monthly; (2) Annual means of total solar irradiance derived from the 413 (3) Stratospheric 414 CMIP6 solar forcing; aerosols from volcanoes: (4) CMIP6-recommended tropospheric aerosol optical properties due to anthropogenic 415 emissions that are formulated in terms of nine spatial plumes associated with different 416 major anthropogenic source regions using version 2 of the Max Planck Institute 417 418 Aerosol Climatology Simple Plume model (MACv2-SP, Stevens et al., 2017); (5) Time-varying gridded ozone concentrations; (6) Yearly global gridded land-use 419 forcing. In addition, aerosol masses based on CMIP5 (Taylor et al., 2012) are also 420 used for the on-line calculation of cloud droplet effective radius in our models. 421

422 The historical simulation of BCC-CSM2-MR follows the requirement of CMIP6, 423 with T_{the} preindustrial initial state is obtained after a 500-year piControl simulation. and the historical simulation is then conducted It covers the whole period from 1850 424 to 2014 (Wu et al., 2019). The simulation of BCC-CSM2-HR covers a shorter the 425 426 historical period from 1950 to 2014. Its initial state is the final state from a 50-year control simulation with fixed historical forcing of the year 1950, following the 427 HighResMIP protocol. The control run itself is initiated from the states of individual 428 components with their uncoupled mode. That is, the state of atmosphere and land are 429 obtained from a 10-year AMIP run forced with monthly climatology of sea surface 430 temperature (SST) and sea ice concentration, while the states of ocean (MOM5) and 431 sea ice (SIS $\sqrt{25}$) are derived from a 1000-year forced run with a repeating annual 432 cycle of monthly climatology of atmospheric state from the Coordinated Ocean-Ice 433 434 Reference Experiment (CORE) dataset version 2 (Danabasoglu et al., 2014).

435

3.2 Data used for evaluations

We choose the same period of 1950-2014 from both BCC-CSM2-MR and
BCC-CSM2-HR historical simulations to evaluate their performance against
observation-based or reanalysis data.

439 <u>The 1950-2014 monthly global 1 °×1 ° gridded surface temperature from the</u>
 440 <u>Hadley Centre–Climatic Research Unit (HadCRUT version 4.6.0.0, available at</u>

441	https://www.metoffice.gov.uk/hadobs/ hadcrut4/) is used to evaluate the global
442	warming trend from BCC-CSM2-MR and BCC-CSM2-HR. HadCRUT (Morice et al.,
443	2012) is a dataset combining land surface air temperature from the Climatic Research
444	Unit (CRUTEM) and Hadley Centre Sea Ice and Sea Surface Temperature (HadISST).
445	CRUTEM is derived from air temperatures near the land surface recorded at weather
446	stations across the globe (Harris et al., 2013). HadISST contains global 1 °×1 ° sea ice
447	concentration and SST, including in-situ measurements from ships and buoys (Rayner
448	<u>et al., 2003).</u>
449	For the evaluation of present-day mean climate over the globe and major climate
450	variabilities in the tropics, we choose the recent past 20 years of 1995-2014 as our
451	reference period which will be observed as close as possible for observation-based or
452	reanalysis data, described as follows.
453	(a) The 2001-2014 monthly global 1 %1 ° gridded net radiations at top-of-atmosphere
454	(TOA) from CERES-EBAF version 4.1 products (Loeb et al., 2018, available at
455	https://asdc.larc.nasa.gov/project/CERES/CERES_EBAF_Edition4.1) are used to
456	evaluate the global energy budget in models. CERES-EBAF data are derived on
457	the basis of satellite observation from CERES (Clouds and Earth's Radiant
458	Energy System) and synthesized with EBAF (Energy Balanced and Filled) data.
459	Satellite observation is a direct monitoring of the net radiation at TOA, and a
460	primary source of data for estimating Earth's energy balance (Wielicki et al,
461	<u>1996).</u>
462	(b) The 1995-2014 monthly global 0.25 °×0.25 ° gridded atmospheric temperature
463	and wind from the fifth generation of ECMWF (the European Centre for
464	Medium-Range Weather Forecasts) atmospheric reanalyses (ERA5, Hersbach
465	and Dee 2016) and the climatological data of global zonal mean temperature and
466	wind above the 1-hPa level to 0.1 hPa at 5° latitudes interval from the COSPAR
467	(Committee on Space Research) International Reference Atmosphere (CIRA86)
468	are used to evaluate the vertical structure of atmospheric temperature and wind.
469	The 1995-2014 monthly global gridded wind data from ERA5 are also used to
470	evaluate the quasi-biennial oscillation (QBO) of the equatorial zonal wind 17

471	between easterlies and westerlies in the tropical stratosphere. CIRA-86 (available
472	at https://catalogue.ceda.ac.uk/uuid/4996e5b2f53ce0b1f2072adadaeda262)
473	includes a global climatology of zonal atmospheric temperature and velocity
474	extending from pole to pole on a 5-degree latitude grid and 0-120 km
475	approximately at 2 km vertical resolution. It is derived from a combination of
476	satellite, radiosonde and ground-based measurements (Fleming et al., 1990).
477	(c) The 1995–2014 monthly global observed precipitation at 2.5 ° resolution is taken
478	from the Global Precipitation Climatology Project (GPCP version 2.2; Adler et
479	al., 2003) dataset and used to evaluate the global distribution of precipitation
480	climatology.
481	(d) The 2001-2014 quasi-global (60° N- 60° S) $0.1^{\circ} \times 0.1^{\circ}$ gridded half-hourly
482	precipitation estimates of Global Precipitation Measurement (GPM) Integrated
483	Multi-satellitE Retrievals for GPM (IMERG) products (available at
484	https://gpm1.gesdisc.eosdis.nasa.gov/data/GPM_L3/GPM_3IMERGHH.06/) are
485	used to derive 3-hourly data, and then to evaluate the spectrum of precipitation
486	intensity. IMERG uses inter-calibrated estimates from the international
487	constellation of precipitation-relevant satellites and other data sources, including
488	surface precipitation gauge analyses (Huffman et al., 2019).
489	(e) Two datasets (CRUTEM and HadISST) of the 1995-2014 monthly global $1 \times 1^{\circ}$
490	gridded surface temperature for the land (Jones et al., 2012) and ocean (Rayner
491	et al., 2003), and gridded sea ice concentration are used to evaluate the model
492	biases of land and ocean temperatures as well as sea ice cover. For the
493	assessment of the ENSO cycle variation, a longer period of 1950-2014 is used
494	from the global monthly HadISST dataset.
495	(f) The 1995 to 2014 daily global 0.25 °×0.25 ° wind from ERA5, daily global
496	2.5 °×2.5 ° outgoing longwave radiation (OLR) from NOAA (Liebmann and
497	Smith, 1996), and daily global 2.5 °×2.5 ° precipitation from GPCP (Adler et al.,
498	2003) are used to diagnose the Madden-Julian Oscillation (MJO), which is the
499	dominant mode of sub-seasonal variability in the tropical troposphere (Madden
500	and Julian, 1971). All the data firstly undergo the 20–100-day band-pass-filter.
<u>.</u>	18

501	An analysis of multivariate empirical orthogonal functions (EOFs) and principal
502	components (PCs) is then performed on intra-seasonal OLR, 850-hPa and
503	200-hPa zonal wind anomalies averaged over 10 S-10 N. Eight MJO phases
504	defined by the inverse tangent of the ratio of PC2 to PC1 as in Wheeler and
505	Hendon (2004) are also reconstructed.
506	(a)-The 1995–2014 6-hourly tropical cyclones observations from International Best
507	Track Archive for Climate Stewardship (IBTrACS; Knapp et al., 2010) provide
508	information of all tropical cyclones, including latitude-longitude position,
509	minimum central pressure, and maximum sustained winds (instantaneous values)
510	at a time frequency of every 6 hours. We use the multiple criteria reported by
511	Murakami (2014) to detect TCs with 6-hourly outputs from models
512	(instantaneous values from BCC-CSM2-HR, but accumulated values from
513	BCC-CSM2-MR). (1) The maximum of relative vorticity of a TC-like vortex at
514	850 hPa exceeds 15×10^{-5} s ⁻¹ (a threshold that can vary from 1×10^{-5} s ⁻¹ to 15×10^{-5}
515	10^{-5} s ⁻¹ in function of resolution (Murakami, 2014). (2) The warm-core above the
516	TC-like vortex, which is presented as the sum of the air temperature deviations
517	(subtracting the maximum temperature from the mean temperature within the
518	TC-like vortex center for an area of 10 °×10 °) at 300, 500 and 700 hPa, exceeds
519	0.8 K, a threshold falling in the range 0.6~1.0K that are recommended in
520	Murakami (2014); (3) The maximum wind speed at 850 hPa is higher than that at
521	300 hPa; (4) The maximum wind speed at 10 m within the TC-like vortex center
522	for an area of $3^{\circ} \times 3^{\circ}$ grid is higher than 10 m s ⁻¹ ; (5) The genesis position of the
523	TC-like vortex is over the ocean; (6) The duration of the TC-like vortex satisfied
524	above conditions exceeds 48 hours.
525	<u>(g)</u>

<u>4.</u>4.Results

527 Data analysis and visualization are generally on the original or native grid of
 528 observation and models. An exception is on the assessment of models' biases with
 529 contrast to observation. In this case, simulations are re-gridded onto the grid of

530 <u>corresponding observation.</u>

531

4.1 Global mean surface air temperature variations from 1950 to 2014

532 The historical simulation from 1950 to 2014 allows us to evaluate the ability of models to reproduce the global warming of near surface temperature. Figure 2 533 presents global-mean surface air temperature evolutions for HadCRUT4 data and the 534 two BCC models, in which the climatological mean is calculated for the reference 535 period 1961–1990 and removed from the time series to better reveal long-term trends. 536 The interannual variability of both simulations is qualitatively comparable to that 537 observed, and the correlation coefficients reach to 0.84 in both models. A remarkable 538 feature in Figure 2 is the presence of a global warming hiatus or pause for the period 539 540 from 1998 to 2013 when the observed global surface air temperature warming slowed down. It is interesting that both models reproduce a hiatus, from 2002 to 2010 in 541 BCC-CSM2-MR and from 2004 to 2012 in BCC-CSM2-HR. This warming hiatus is a 542 hot topic (e.g. Fyfe et al., 2016; Medhaug et al., 2017; Wu et al., 2019), largely 543 debated in the scientific research community. The reason why the BCC models 544 simulate the recent global warming hiatus is beyond the scope of this paper and will 545 be explored in other works. 546

547

In order to fairly evaluate BCC-CSM2-MR and BCC-CSM2-HR against
observation-based or reanalysis data, and to make a right inter-comparison
among the three models, we choose a common period of 30 years from 1971 to
2000 from their historical simulations in this work.

552 **4.1<u>4.2</u> –Global energy budget**

It is to be noted that only the period 2001–2014 is available for CERES-EBAF.
For the consistency of comparison, we also shortened data from models and keep the
same time interval as in CERES-EBAF. Satellite observation is a direct monitoring of
the net radiation at top-of-atmosphere (TOA, Wielicki et al, 1996), which is a primary
indicator for the Earth's energy balance. CERES-EBAF products are derived on the

basis of satellite observation data from CERES (Clouds and Earth's Radiant Energy 558 System) project and synthesized with EBAF (Energy Balanced and Filled) data, 559 suitable for evaluation of climate models. The 2001-2014 monthly global gridded net 560 radiations at top-of-atmosphere (TOA) from CERES-EBAF products are used to 561 evaluate the two versions of BCC-CSM. As shown in Table 2, the globally-averaged 562 TOA net energy is 2.121.81 ±0.409 W m⁻² in BCC-CSM2-MR and 1.5108 ±0.5746 563 W m⁻² in BCC-CSM2-HR for the <u>same</u> period from 20014971 to 201400. The energy 564 equilibrium of the whole earth system in BCC-CSM2-HR is slightly improved.-T The 565 TOA shortwave and longwave components for clear sky in BCC-CSM2-HR are also 566 much closer to CERES-EBAF than in BCC-CSM2-MR. We noted that the TOA 567 shortwave and longwave components for all sky in BCC-CSM2-HR gets lower than 568 CERES-EBAF data and are not improved from BCC-CSM2-MR. This is related to 569 cloud radiative forcing. It is to be noted that only the period 2001 2014 is available 570 for CERES-EBAF. We believe it is still a good climatology to evaluate our models 571 despite the lack of temporal concomitance. 572

573 Clouds constitute a major modulator of the radiative transfer in the atmosphere, and their radiative properties exert strong impacts on the equilibrium and variation of 574 the radiative budget at TOA. The globally-averaged shortwave cloud radiative forcing 575 in BCC-CSM2-MR and BCC-CSM2-HR isare slightly stronger than that in 576 CERES-EBAF (-47.16±0.24 W m⁻²) about 3 W m⁻² of cooling effect, and the 577 globally-averaged longwave cloud radiative forcing in the two models are 578 BCC-CSM2-HR is also stronger than the CERES-EBAF data (25.99±0.25 W m⁻²) 579 near 2 W m⁻² of warming effect (biases). The globally-averaged shortwave and 580 longwave cloud radiative forcing in BCC-CSM2-MR are much closer to 581 CERES-EBAF. 582

The obvious biases of model with contrast to CERE<u>S</u>-EBAF are mainly located in the mid-latitudes and subtropics. Figure <u>32</u> shows <u>the</u> annual and zonal mean of shortwave, longwave and net cloud radiative forcing for the two model versions and observations. The longwave and net cloud radiative forcing are overall consistent with CERE<u>S</u>-EBAF in most latitudes. In mid-latitudes of both the hemispheres, the shortwave cloud radiative forcing from BCC-CSM2-HR is much closer to
CERE<u>S</u>-EBAF than that from BCC-CSM2-MR. But in low latitudes between 30 S
and 30 N, BCC-CSM2-HR simulates excessive cloud shortwave radiative forcing
which mainly comes from evident biases over the eastern tropical Pacific and tropical
Atlantic oceans (Figure <u>43</u>). These biases are possibly attributable to <u>new treatments</u>
for the new scheme of boundary layer processes in which abundant water vapor are
confined in the lower atmosphere in those regions.

- 595 4.2 Present-day mean climate
- 596

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<u>4.3</u>

4.2.14.3.1 Vertical structure of the atmosphere temperature and wind

Figure 45 presents zonally averaged vertical profiles of air temperature and zonal 598 wind for December-January-February (DJF) and June-July-August (JJA) as simulated 599 by BCC-CSM2-MR and BCC-CSM2-HR, with contrast to the ERA5 reanalysis below 600 601 the 1-hPa level (Hersbach and Dee 2016) and climatological values above the 1-hPa 602 level from the COSPAR (Committee on Space Research) International Reference 603 Atmosphere (CIRA86 (, Fleming et al., 1990), in which all data except CIRA86 are time averaged over the period from 1971 to 2000. The observed vertical profile of 604 atmospheric temperature shows a clear structure of stratification, with an evident 605 seasonal transition. In DJF, it is characterized as cool layers over broader latitudes 606 spanning the transition from troposphere to stratosphere over the Northern 607 Hemisphere, and warm layers spanning from the top of the stratosphere to mesosphere 608 over the Southern Hemisphere. Those different vertical structures in both hemispheres 609 during DJF are almost reversed in JJA. BCC-CSM2-HR is capable of capturing the 610 structure of upper stratosphere and the transition to mesosphere while 611 BCC-CSM2-MR cannot. The air temperature in DJF is characterized as cool layers 612 centralized near about 300 hPa in the Northern Hemisphere and too warm layers near 613 1 hPa in the Southern Hemisphere. Those different vertical structures in both 614 hemispheres during DJF are almost reversed of JJA. They are clear in 615

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BCC-CSM2-HR. The warmer layer over top of the stratosphere near 1 hPa cannot be captured in BCC-CSM2-MR as its top is limited at 1.456 hPa.

618 Figure $\frac{56}{50}$ shows biases of the zonally-averaged annual air temperature, relative to ERA5. Here oOnly model data from 5 hPa to 1000 hPa are evaluated as there are 619 spare station-based observations above 5 hPa and it is generally recognized that most 620 621 of stations don't reach their best-practice altitude of 5 hPa (https://gcos.wmo.int/en/atmospheric-observation-panel-climate). Lower troposphere 622 623 Ttemperature biases in lower to middle troposphere are relatively small, about -2K to 2K in BCC-CSM2-MR and -1K to 1 K in BCC-CSM2-HR in most latitudes, except in 624 the southern polar region where temperature below 700 hPa are extrapolated values 625 for ERA5 observation and models. The two models BCC-CSM2-MR and 626 BCC-CSM2-HR have a <u>cold negative air temperature</u> bias <u>of air temperature</u> that 627 appears near the tropopause and extends to the stratosphere above the 250 hPa 628 pressure level (Fig. 5) in the subpolar and polar regions. , There is also but a thicker 629 layer of warm biases in the lower stratosphere over the tropics and mid-latitude.a 630 631 positive bias above 150 hPa in tropical regions. A prominent cold bias in the lower stratosphere and the upper troposphere does not decrease in magnitude at higher 632 horizontal resolution, and such a negative bias in the troposphere has already been 633 reported in many CMIP5 models (see Charlton Perez et al., 2013; Tian et al., 2013). 634 In the upper stratosphere, all model versions exhibit a warm bias that is maximal in 635 the mid-latitudes and relatively insensitive to changes in atmospheric resolution. 636 Those temperature biases are not really reduced in BCC-CSM2-HR with a higher 637 horizontal resolution. The cold bias in the troposphere was also reported in many 638 639 CMIP5 models (see Charlton-Perez et al., 2013; Tian et al., 2013),

As shown in Figure 4<u>5</u>, the basic pattern of vertical structures of westerly and easterly zones and their changes in DJF and JJA are generally well simulated by BCC-CSM2-MR and BCC-CSM2-HR. Both models have westerly wind biases of annual means that are located in the upper troposphere and stratosphere near 60 °S and 60 °N (Figures <u>56</u>b and <u>65</u>d), and reflect the meridional structure of temperature biases (Figures <u>65</u>a and <u>56</u>c) in accordance with the thermal–wind relationship. The largest 646

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biases in westerly winds near 100hPa in the tropics may be related to the OBO and its downward propagation.

Surface Climate 648

(a) Precipitation, land surface air temperature and sea surface temperature, 649 sea-ice concentration are important variables, and there are rich ground- or 650 satellite-based observations suitable for the assessment of model performance in terms 651 652 of mean climate.

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-4.3.2 Precipitation 4.2.2

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Observed monthly precipitation is taken from the Global Precipitation Climatology Project (GPCP version 2.2; Adler et al., 2003) data set at 2.5 ° resolution for the period 1981-2010. Figure 76 shows the spatial distribution of DJF and JJA 658 mean precipitation for BCC-CSM2-MR and BCC-CSM2-HR, compared to GPCP 659 data. The two versions of BCC-CSMs were both able to reproduce the global 660 observed precipitation patterns and there is an evident improvement in the 661 high-resolution model (BCC-CSM2-HR). Improvements are particularly clear in the 662 Pacific, Indian, and Atlantic Oceans. The double-ITCZ issue is one of the most 663 significant biases that persists in many climate models (e.g., Hwang and Frierson, 664 2013; Li and Xie, 2014). It exists in BCC-CSM2-MR, with excessive precipitation in 665 the South Pacific Convergence Zone (SPCZ). This bias almost disappears in 666 667 BCC-CSM2-HR. A strong negative bias of JJA precipitation over the Amazon region exists in the two models. As shown in Figure <u>87</u>, there is too much precipitation along 668 669 the southern intertropical convergence zone (ITCZ) in BCC-CSM2-MR, which is mainly caused by excessive precipitation in the southern intertropical zone in DJF. 670 This systematic bias is evidently reduced improved in BCC-CSM2-HR, especially 671 with weakened precipitation in the South Pacific Convergence Zone (SPCZ). - The 672 improvement of SPCZ precipitation in BCC-CSM2-HR might be attributed to the 673

implementation of the UWMT scheme which improved the simulation of low-level 674 clouds over the tropical eastern South Pacific (Lu et al., 2020b) and reduced warm 675 biases there (Fig. 10c). But the intensity of precipitation in the northern intertropical 676 convergence zone in BCC-CSM2-HR is stronger than that from GPCP, which is 677 partly attributed to the excessive precipitation in the tropical oceans, especially in the 678 eastern tropical North Pacific (Figure 76e). -A strong negative bias of JJA 679 precipitation over the Amazon region exists in the two models. In Figure 7f, we also 680 681 noted that the amount of JJA precipitation in east of the Philippines and near the Pacific warm pool is worsened, since it is smaller in BCC-CSM2-HR than in 682 BCC-CSM2-MR and GPCP data. This bias of lacking precipitation in 683 BCC-CSM2-HR may partly be caused by a cold-SST bias over the western Pacific 684 warm pool (Fig.10c). 685

The 2001-2019 quasi-global (60° N 60° S) $0.1^{\circ} \times 0.1^{\circ}$ gridded half-hourly 686 precipitation estimates of Global Precipitation Measurement (GPM) Integrated 687 Multi-satellitE Retrievals for GPM (IMERG) products are used to evaluate the 688 689 precipitation intensity in BCC-CSMs. IMERG data are rainfall estimates combining data from all passive microwave instruments in the GPM Constellation, together with 690 microwave-calibrated infrared satellite estimates, precipitation gauge analyses, and 691 potentially other precipitation estimators at fine time over the entire globe (Huffman 692 et al., 2019). Figure <u>98</u> shows the probability density of <u>3-hourly precipitation</u> 693 between 40 S and 40 N in function of precipitation intensity with intervals of 1 694 mm/hour-between 40 S and 40 N. The frequency of light rainfall events, with 695 precipitation rate smaller than 1 mm/hour, in the two versions of BCC-CSM2-MRs is 696 both higher than in IMERG. data, b But strong lower for precipitation events rate 697 exceeding 10 mm/hour, are clearly insufficient.- This is a common bias in many 698 global climate models raising concerns for any studies on precipitation extremes. 699 Compared to BCC-CSM2-MR, BCC-CSM2-HR with resolution increased shows 700 substantial improvements for its precipitation spectrum: reduced obvious 701 improvement for its ability to light rainfall and enhanced heavy rainfall events. The 702 spectral distribution of precipitation in BCC-CSM2-HR is much closer to 703

<u>IMERG.</u>capture the spectral distribution of precipitation, especially the contrast
 between heavy and light rains.

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4.3.3 <u>SSTNear-surface temperature</u>

707 Global monthly mean sea surface temperature (SST) from 1971 to 2000 is taken from the EN4 objective analysis (Good et al., 2013), and land surface air temperature 708 at 2 m is derived from the Climatic Research Unit (CRU) data set (Harris et al., 2013). 709 Figure 109 shows a spatial-distribution map of the 1995-2014 annual mean SST for 710 HadISSTEN4 and the biases for BCC-CSM2-MR and BCC-CSM2-HR relative to 711 HadISSTEN4. BCC-CSM2-MR is generally warmer, while BCC-CSM2-HR is colder 712 than what observed. A warm SST bias in BCC-CSM2-MR spreads throughout most 713 714 oceans, except the north Pacific and north Atlantic. Such warm biases do not appear in BCC-CSM2-HR, and the cold SST biases in the eastern subtropical south Pacific 715 are possibly attributed to excessive clouds there, also manifested by strong cloud 716 717 shortwave radiative forcing (Figure 4e). The warm biases in the eastern tropical ocean 718 basins in BCC-CSM2-MR are associated with a deficit of stratiform low-level clouds, a common and systematic bias for many climate models (Richter, 2015). The cold 719 biases there in BCC-CSM2-HR, similarly, are associated with too much low cloud, 720 721 except over the tropical north Pacific. We also noted that a belt of warm SST biases in the Kuroshio extension and in the North Atlantic in both models (Figures 10b and 722 723 10c), especially in the high-resolution model. This bias may be partly resulted from the coarse resolution of HadISST data used, as SST near the Kuroshio shows strong 724 temperature gradients with filamentous structures (Shi and Wang, 2020). 725

726 **<u>4.3.4 Land-surface air temperature</u>**

Figure $1\underline{10}$ shows the simulation biases of annual mean land-surface air temperature from BCC-CSM2-MR and BCC-CSM2-HR. The near-surface air temperature over land in BCC-CSM2-MR is generally coldolerer than the CRUTEM observations, particularly exhibiting severe coldol biases in North Europe. As there are no physical (but only resolution) changes in the land modeling component in the two models, the systematic biases of near-surface air temperature over land are very
similar to each other. Increasing atmospheric resolution in BCC-CSM2-HR does not
seem to show amelioration, and the surface air temperatures in BCC-CSM2-HR
exhibits rather similar patterns for their biases as in BCC-CSM2-MR with and there
are biases of -2 to 2 K in most land regions between 50 % and 50 % compared with
contrast to to CRU data.

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739 4.3.4<u>4.3.5</u> Sea ice—

4.3.3

Figure 121 shows the annual mean sea ice concentration simulated by 740 BCC-CSM2-MR and BCC-CSM2-HR over the period 199571-201400, compared to 741 the climatology (1971-2000) from HadISST observation data-Hadley Centre Sea Ice 742 and Sea Surface Temperature data set (HadISST, Rayner et al., 2003). The simulated 743 geographic distribution of sea ice in the Arctic is overall realistic, except that the sea 744 745 ice concentration in the Atlantic is slightly overestimated in both models. This 746 overestimation of sea ice possibly has a consequence for the severe cold biases of surface air temperature in North Europe (Figure 101). In the Antarctic, sea ice 747 concentration simulated by BCC-CSM2-MR is smaller than HadISST data, especially 748 from 60 W to 60 E in the subpolar region where the simulated SST is warmer 749 compared to HadISST dataEN4 (Figure 109b). Those deficiencies in BCC-CSM2-MR 750 751 (Figure 12e) are largely reduced improved in BCC-CSM2-HR (Figure 121f).

Figure 132 shows the monthly sea ice covers for the Arctic and Antarctic from 752 BCC-CSM2-MR and BCC-CSM2-HR. HadISST observations show that the Arctic 753 sea ice cover reaches a minimum extent of 6.9×10^6 km² in September and rises to a 754 maximum extent of 16.0×10^6 km² in March, and the Antarctic sea ice cover reaches a 755 756 minimum extent in February and a maximum extent in September. The seasonal cycle amplitude and phase of sea ice area are well captured by the two models, and their 757 biases are almost mostly smaller than 1×10^6 km² while compared to HadISST 758 observations. We note that the extents of the Arctic sea ice for each month in 759 BCC-CSM2-MR are slightly but systematically smaller than HadISST, and in the 760

Antarctic are <u>smaller</u>less in February and March but larger in other months than HadISST. BCC-CSM2-HR slightly overestimated sea ice concentration <u>by</u> about 1×10^6 km² in both hemispheres with reference to HadISST.

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5.34.4 Variabilities in the TTropicsal Climate

The tropical cyclone (TC), also known as typhoon or hurricane, is among the most
destructive weather phenomena. The Madden-Julian Oscillation (MJO) is the
dominant mode of sub-seasonal variability in the tropical troposphere (Madden and
Julian, 1971), and the quasi-biennial oscillation (QBO) is a quasiperiodic oscillation
of the equatorial zonal wind between easterlies and westerlies in the tropical
stratosphere. TC, MJO and QBO are very important variabilities in the tropics, with
consequences to global weather and climate.

- 4.4.1 The tropical cyclone (TC), also known as typhoon or hurricane, is among
 the most destructive weather phenomena. The Madden-Julian
- 775 Oscillation (MJO) is the dominant mode of sub-seasonal variability in the
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- 779 QBO are very important variabilities in the tropics, with consequences to global
 780 weather and climate.

781 **_Tropical Cyclones**

Following previous studies (Murakami, 2014), we use multiple criteria to detect TCs in our simulations. (1) The maximum of daily relative vorticity of a TC-like vortex at 850 hPa exceeds 15×10.5 s 1 for BCC-CSM2-HR and 1×10.5 s 1 for BCC-CSM2-MR; (2) The warm-core above the TC-like vortex, which is presented as the sum of the air temperature deviations at 300, 500 and 700 hPa over a $10^{\circ} \times 10^{\circ}$ grid box, exceeds 0.8 K; (3) The maximum wind speed at 850 hPa is higher than that at 300 hPa; (4) The maximum wind speed within the TC-like vortex center $3^{\circ} \times 3^{\circ}$ 790

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grid box is higher than 10 m s 1; (5) The genesis position of the TC like vortex is over the ocean; (6) The duration of the TC-like vortex satisfied above conditions exceeds 48 hours.

In Figure 134, we evaluate the average TC frequency over the-twenty years 792 (199581-201400) from BCC-CSM2-MR and BCC-CSM2-HR, with contrast to the 793 climatology (1981-2000) of 1995-2014 observations from International Best Track 794 Archive for Climate Stewardship (IBTrACS; Knapp et al., 2010). It is clear that TC 795 796 activity is increased with resolution enhanced. The averaged total global TC numbers per year are 49.658.3 in BCC-CSM2-MR and 94.42.3 in BCC-CSM2-HR, and the 797 global TC numbers in BCC-CSM2-HR is much closer to the IBTrACS observation 798 (90.2). The global TC number is slightly influenced by the threshold $(15 \times 10^{-5} \text{ s}^{-1} \text{ in})$ 799 Figure 14) of relative vorticity at 850 hPa used to detect TC. If this threshold gets 800 looser to 5×10^{-5} s⁻¹, the averaged total global TC numbers per year in 801 BCC-CSM2-MR and BCC-CSM2-HR would enhance to 55.9 and 101.5 (not shown), 802 respectively. The low TC number in BCC-CSM2-MR is furthermore explained by the 803 fact that its 6-hourly data used to detect TC are averaged values in the 6-hour interval, 804 while instantaneous values would be more appropriate as in IBTrACS and 805 BCC-CSM2-HR., and are slightly larger than IBTrACS observation (89.7), although 806 one of the above criteria for TC in BCC-CSM2-MR is looser than that in 807 BCC-CSM2-HR. Spatially, BCC-CSM2-HR generates excess TC activity in the 808 eastern North Pacific, Northern Indian Ocean, and Southern Hemisphere. But both 809 models severely underestimate TC activity in the North Atlantic and in the Caribbean 810 Sea. The general overestimation of TC activity in the eastern North Pacific and over 811 812 the opposite in the North Atlantic in BCC-CSM2-HR may be related to the warmer SST in the eastern tropical North Pacific and colder SST in the tropical Atlantic with 813 contrast to <u>HadISST EN4</u>-data (Figure <u>109</u>c), but other factors such as the entrainment 814 in the parameterization of convection (Zhao et al., 2012) and air-sea coupling (Li and 815 Sriver, 2018) may also have an influence (Zhao et al., 2012). The biases of missing 816 TC activity in the North Atlantic also exist in other models (e.g., Bell et al., 2013; 817 Strachan et al., 2013; Small et al., 2014), and still remain a challenge for the climate 818

modelling community. The study of Li and Sriver (2018) showed that ocean coupling
 influences simulated TC frequency, geographical distributions, and storm intensity,
 and TC tracks are relatively sparse in the coupled simulations than in un-coupled
 simulations.

Figure 145 shows the maximum surface wind speed versus minimum sea level 823 pressure for the tropical cyclones that are derived from the 199581-201400 daily 824 IBTrACS observation (black dots and line), and from the 1981-2000 daily simulations 825 826 of BCC-CSM2-MR and BCC-CSM2-HR. Here, the maximum surface wind speed (minimum sea level pressure) of a given TC was defined as the instantaneous 827 maximum (minimum) of the 6-hours interval in IBTrACS and BCC-CSM2-HR, but 828 averaged value in BCC-CSM2-MR for wind speed at 10m (sea level pressure). 829 Instantaneous values of wind speed and sea level pressure were not recorded as output 830 in BCC-CSM2-MR. Consistent with other similar studies (e.g., Yamada et al., 2017), 831 BCC-CSM2-MR and BCC-CSM2-HR cannot capture weak storms whose maximum 832 wind speeds are less than 10 m s⁻¹. Maximum wind speeds for TC lifetime in 833 834 BCC-CSM2-MR are consistently weaker than BCC-CSM2-HR and IBTrACS, which is understandable given the coarser resolution. BCC-CSM2-MR cannot capture strong 835 storms, The maximum wind speed for TC in BCC-CSM2-MR and maximum wind 836 speeds at 10m only reaches to 30 m s⁻¹-.__BCC-CSM2-HR, as expected, can 837 reproduce those strong TCs for which daily mean minimum pressure of TC lifetime in 838 TC centers may reach to 960 hPa and daily mean maximum wind speed at 10m may 839 reach to 50 m s⁻¹. The fitting line of maximum wind speeds with minimum center 840 pressures in BCC-CSM2-HR almost matches that from IBTrACS observation (Figure 841 154). The BCC-CSM2-HR simulations just as previous studies have shown (e.g., 842 Murakami et al., 2012; Sugi et al., 2017; Vecchi et al., 2019) demonstrate that the 843 maximum wind speed of TC simulated by a model with approximately 50 km 844 resolution can reach up to $50 \sim 60 \text{ m s}^{-1}$. 845

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4.4.2 Madden–Julian Oscillation

MJO is the dominant mode of sub-seasonal variability in the tropical troposphere
 (Madden and Julian, 1971), and MJO is characterized by eastward propagation of

deep convective structures moving along the Equator with an average phase speed of 849 around 5 m s⁻¹ at the intraseasonal time scale of 20–100 days (Wheeler and Kiladis, 850 1999). MJO generally forms over the Indian Ocean, strengthens over the Pacific 851 Ocean, and weakens due to interaction with South America and cooler eastern Pacific 852 SSTs (Madden and Julian, 1971). Figure 165 gives the <u>time</u> lag-longitude evolution of 853 10 S-10 N-averaged intraseasonal precipitation anomalies for the left panels and time 854 lag-longitude evolution of 80 °-100 °E-averaged intraseasonal precipitation anomalies 855 856 correlated against the precipitation over the equatorial eastern Indian Ocean for the right pancels. Both versions of BCC-CSMs reasonably reproduce the eastward 857 propagating feature of convection from the Indian Ocean across the Maritime 858 Continent to the Pacific (Figs. 165b and 165c), as well as the apparent poleward 859 propagations from the equatorial Indian Ocean into the Northern Hemisphere and the 860 Southern Hemisphere (Figs. 165 e and 165 f). The signal of northward propagation is 861 more evident skillfully depicted in simulations in BCC-CSM2-HR than in 862 BCC-CSM2-MR. The average phase speed of eastward propagation of deep 863 864 convection in BCC-CSM2-HR is much closer to the GPCP data denoted by the dashed line in Fig 156c. Figure 165b shows that the eastward propagation of deep 865 convection in BCC-CSM2-MR is too fast, compared to GPCP data. 866

MJO activity can be generally featured by a life cycle of eight phases (Wheeler 867 and Hendon, 2004). Intensity of outgoing longwave radiation (OLR) is often used for 868 this purpose to represent the activity of convection. Figure 167 shows the MJO 869 phase-latitude diagram of composited outgoing longwave radiation (OLR) and 870 850-hPa zonal wind anomalies averaged over 10 S-10 N. Here, on the basis of 871 extracting the leading multivariate empirical orthogonal functions (EOFs) and 872 principal components (PCs) of intra-seasonal OLR, 850-hPa and 200-hPa zonal wind 873 anomalies, eight MJO phases are defined by the inverse tangent of the ratio of PC2 to 874 PC1 as in Wheeler and Hendon (2004). In observation, MJO convection initiated from 875 Africa and the western Indian Ocean at phases 1-2, propagates eastward from the 876 Indian Ocean across the Maritime Continent to the western Pacific at phases 3-6, and 877 finally disappears in the western hemisphere at phases 7-8. BCC-CSM2-MR 878

generally captures the evolution of convection with MJO phases, but shows faster propagative speed and apparently underestimates the intensity compared to the observation. In contrast, BCC-CSM2-HR shows an obviously improved MJO phase transition and convection intensity.

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4.4.3 The stratospheric quasi-biennial oscillation

884 The alternative oscillation between westerly and easterly winds in the tropical stratosphere constitutes the characteristic feature of the quasi-biennial oscillation 885 886 (QBO). AThe good simulation of QBO still remains nowadays a challenge for all state-of-the-art climate models. In a recent work, Kim et al. (2020) showed that only 887 half (15 out of 30) of the CMIP6 models can internally generate QBO 888 (BCC-CSM2-MR was in the good half). We should however recognize that there was 889 a huge progress in CMIP6, since in CMIP5 only five models (about 10% of the total) 890 were able to simulate a realistic QBO (Schenzinger et al., 2017). 891

To evaluate model performance in simulating the QBO, the time-height cross 892 sections of the tropical zonal winds averaged from 5 % to 5 % for BCC-CSM2-MR 893 894 and BCC-CSM2-HR are compared with contrast to the ERA5 reanalysis. As shown in Figure 187, ERA5 shows alternative westerlies and easterlies in the lower stratosphere 895 with a mean periodicity of about 28 months. The two BCC models are both able to 896 generate a reasonable QBO, and the observed asymmetry in amplitude with the 897 easterlies being stronger than the westerlies are also well reproduced. The general 898 performance of QBO in BCC-CSM2-MR was evaluated in Wu et al. (2019). A 899 900 detailed assessment of the underlying mechanism involving wave dynamics and the 901 associated forcing to drive QBO is presented in Lu et al. (2020a). The simulated QBO has stronger amplitudes in BCC-CSM2-HR than in BCC-CSM2-MR. As the 902 903 horizontal resolution and physics package are changed from BCC-CSM2-MR to BCC-CSM2-HR, the parameterized convective gravity wave forcing for QBO seems 904 could be potentially enhanced in BCC-CSM2-HR. On the other hand, changes in the 905 convective cumulus parameterization can also affect the simulation of the resolved 906 convectively coupled equatorial waves (i.e., the Kelvin wave) driving the QBO, and 907 lead to stronger QBO amplitudes in BCC-CSM2-HR. 908

In the two BCC models, the downward propagation of QBO occurs in a regular manner, but does not sufficiently penetrate to low altitudes below 50 hPa. The vertical resolution is similar below ~10 hPa in both BCC-CSM2-MR and BCC-CSM2-HR (Figure 1). A further downward propagation to lower altitudes can be expected by increasing the vertical resolution finer than 500 m to adequately resolve the wave-mean flow interaction in the upper troposphere-lower stratosphere (Geller et al. 2016; Garcia and Richter 2019).

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4.4.4 Niño3.4 SST variability

Figure 1918 presents time series of the monthly Niño3.4 SST (5°N-5°S, 917 170°W-120°W) anomalies from BCC-CSM2-MR and BCC-CSM2-HR, with 918 reference to HadISSTEN4 data from 195071 to 201400. The amplitude of interannual 919 920 variation of the Nino3.4 index in BCC-CSM2-HR and BCC-CSM2-MR are both is stronger weaker than in HadISSTEN4 and in BCC-CSM2-MR. Those strong 921 amplitudes may partly come from the slight warming trends in both models. The 922 power spectrum analysis of the Niño3.4 index from the HadISST EN4-observations 923 924 shows significant peaks at 4-6 years and 2-3 years. The periodicity of the ENSO cycle in BCC-CSM2-MR is mainly at 2-3 years. It is prolonged to 3-64 years in 925 BCC-CSM2-HR. As in In Figure 19h18e, the Niño3.4El Niño SST variability from 926 HadISSTEN4 data reaches its maximum in the period from November to January. 927 The phase locking (i.e., the preferred timing in the year for the peak of ENSO) 928 simulated by BCC-CSM2-MR occurs in autumn. The simulated ENSO phase locking 929 930 in BCC-CSM2-HR is partly improved, since-and the ENSO events tend to reach their 931 maximum toward winter, in spite of two months lag in the peak time._

Recent studies of Hayashi et al. (2020) showed that the ability to simulate the
asymmetry between warm (El Niño) and cold (La Niña) phases as recorded in
observations is still very poor for most CMIP5 and CMIP6 models. This imperfection
also exists in both BCC-CSM2-HR and BCC-CSM2-MR. The asymmetry in SST
anomalies is often measured by the normalized third statistical moment, i.e., skewness
(Burgers and Stephenson, 1999). Figures 19d-f show spatial maps of the skewness of
monthly SST anomalies (SSTA) in the tropical Pacific that are calculated following

the methodology in Burgers and Stephenson (1999). In the eastern Pacific, the ENSO
signal from HadISST data is the strongest and the observed SSTA skewness is highly
positive (Fig. 19d) due to the presence of extreme El Niño events and absence of
extreme La Niña events. The skewness values of SSTA in both models (Figs. 19e and
19f) are underestimated with contrast to HadISST observation, and the area of
positive skewness in the eastern tropical Pacific from BCC-CSM2-HR simulations is
much closer to HadISST data.

946 Figure 20-19 presents the spatial patterns of correlation coefficients between the Niño3.4 index and the corresponding global SST anomalies from 195071 to 201400 947 for the HadISSTEN4 observation and the two BCC models. Both BCC-CSM2-HR 948 and BCC-CSM2-MR simulate a positive correlation structure over the equatorial 949 region of the central and eastern Pacific, which is consistent with the analysis from 950 HadISSTEN4 despite an over extension of a too-westward extension into the western 951 Pacific. The HadISSTEN4 data show clearly that the zone of positive correlation of 952 SST with the Niño3.4 index in the equatorial eastern Pacific expands to extra-tropics. 953 954 Especially along the eastern border of the Pacific, the areas of high values of positive correlations in BCC-CSM2-HR are larger than BCC-CSM2-MR, and much closer to 955 HadISST. There are also remarkable areas of positive correlation in the equatorial 956 Indian Ocean and the eastern tropical Atlantic. Compared to BCC-CSM2-MR, 957 BCC-CSM2-HR improves the simulation in the equatorial Indian Ocean and the 958 eastern tropical Atlantic where there are also remarkable areas of positive 959 correlationin those regions. We also note that areas of negative correlation of SST 960 with the Niño3.4 index in the western equatorial Pacific extend to the south and north 961 962 Pacific in HadISSTEN4, a phenomenon however not clearly simulated in which is clearer in BCC-CSM2-HR than in , even deteriorated compared to BCC-CSM2-MR. 963

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5. Conclusions and discussions

This paper was devoted to the presentation of the high-resolution version BCC-CSM2-HR and to the description of its climate simulation performance. We focused on its updating and differential characteristics from its predecessor, the 969 medium-resolution version BCC-CSM2-MR. BCC-CSM2-HR is our model version 970 participating into the HighResMIP, while BCC-CSM2-MR is our basic model version participating in for other CMIP6-eEndorsed MIPs (Wu et al., 2019; Xin et al. 2019). 971

The atmosphere resolution is increased from T106L46 in BCC-CSM2-MR to 972 T266L56 in BCC-CSM2-HR, and the ocean resolution from $1 \times 1^{\circ}$ in 973 BCC-CSM2-MR to 1/4 x1/4 ° in BCC-CSM2-HR. A few novel developments were 974 implemented in BCC-CSM2-HR for both the dynamicals core and model physics in 975 976 the atmospheric component:- Firstly, a spatially-varyingiable damping for the divergence field was used to improve the atmospheric temperature simulation in the 977 stratosphere at polar areas. It helps to control high-frequency noise in the stratosphere 978 979 and above;- Secondly, the deep cumulus convection scheme originally described in 980 Wu (2012) was further ameliorated to allow detrained cloud water be transported to adjacent grids and downward to lower troposphere: Thirdly, we modified the relevant 981 schemes for the boundary layer turbulence and shallow cumulus convection to 982 improve the simulation of ITCZ precipitation;- Fourth, inally the UWMT scheme is 983 984 used to improve the simulation of the low-level clouds over eastern basins of subtropical oceans. The land model configuration in BCC-CSM2-HR is the same as 985 that-in BCC-CSM2-MR. Major land surface biophysical and plant physiological 986 processes of BCC-AVIM2 implemented in BCC-CSM2-MR and BCC-CSM2-HR 987 988 keep the same, and <u>onlymain</u> differences are in the sub-grid surface classification. The ocean component of BCC-CSM2-HR is upgraded from MOM4 in BCC-CSM2-MR to 989 990 MOM5. The sea ice component is also updated from SIS4 in BCC-CSM2-MR to SIS5 in BCC-CSM2-HR. 991

992 For the sake of a rigorous comparison, historical simulations with fully coupled BCC-CSM2-MR and BCC-CSM2-HR are analyzed over a 65 year period from 1950 to 2014two simulations of 30 years each were realized under the same historical 994 conditions from 1971 to 2000 with BCC-CSM2-MR and BCC-CSM2-HR, respectively. The long-term trends of 1950-2014 globally-averaged annual-mean surface air temperature from both BCC-CSM2-MR and BCC-CSM2-HR are highly correlated to HadCRUT4 observation. The global warming in the latter half of the 20th

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999 1000 century is well simulated, and the observed global warming hiatus or slowdown in the period from 1998 to 2013 is generally captured by both model versions.

We compared the 1995-2014 basic climate features in relation to atmospheric 1001 temperature, circulation, precipitation, surface temperature, and sea ice between the 1002 1003 two simulations and we evaluated them against observation-based and reanalysis data. With contrast to the medium-resolution BCC-CSM2-MR, the high-resolution 1004 BCC-CSM2-HR has a slightly improved energy equilibrium for the whole earth 1005 system. The global mean TOA net energy balance is about 1.5108 W m⁻² in 1006 BCC-CSM2-HR for the period from 199571 to 201400, showing an evident 1007 improvement compared to 2.121.81 W m⁻² in BCC-CSM2-MR. The longwave and 1008 net cloud radiative forcing are overall consistent with CERES-EBAF in most latitudes, 1009 1010 but excessive cloud radiative forcing for shortwave radiation is found over the eastern tropical Pacific and tropical Atlantic in BCC-CSM2-HR. Lower troposphere 1011 Ttemperature biases in the low- to mid-troposphere below 300 hPa -- in 1012 BCC-CSM2-HR are relatively small, within the range of -1K to 1K. Both versions of 1013 1014 BCC-CSMs have a cold air temperature bias that appears above 250 hPa in the subpolar and polar region, and a warm bias in the upper stratosphere in the 1015 mid-latitudes, which caused westerly wind biases in the upper troposphere and in the 1016 1017 stratosphere.

1018 Although those prominent systematic biases in temperature and wind do not 1019 change at higher horizontal and vertical resolution and seems relatively insensitive to 1020 changes in atmospheric resolution, the ability to capture the winter to summer 1021 seasonal transition-change in the vertical structure of temperature and wind in the 1022 upper stratosphere is strengthened in BCC-CSM2-HR.

1023 The two versions of BCC-CSMs were both able to reproduce the observed global 1024 precipitation patterns and there is a remarkable improvement in precipitation centers 1025 over the Pacific, Indian, and Atlantic Oceans in the high-resolution model. The 1026 double-ITCZ biases in BCC-CSM2-MR are reduced in BCC-CSM2-HR and 1027 excessive precipitation in the South Pacific Convergence Zone is also strongly 1028 reduced in BCC-CSM2-HR. The climatological SST in BCC-CSM2-HR, relative to 1029 the observation-based <u>HadISSTEN4</u> data, shows cold biases but reduced compared to 1030 BCC-CSM2-MR. Such SST cold biases are partly attributable to different ocean 1031 components, MOM4 in BCC-CSM2-MR and MOM5 in BCC-CSM2-HR. The 1032 seasonal cycles of amplitude and phase of sea ice in both hemispheres are generally 1033 well captured in BCC-CSM2-HR, but with a small excess all year round in the 1034 Northern Hemisphere, especially in the Atlantic._

We also conducted an assessment on a few important phenomena of the tropical 1035 1036 climate, such as TC (tropical cyclone), MJO (Madden-Julian oscillation), QBO (quasi-biennial oscillation), and ENSO (El Nino – southern oscillation). The averaged 1037 1038 total number of global TC in BCC-CSM2-HR is a bit larger than in IBTrACS observation. BCC-CSM2-HR can simulate main TC activities in the eastern North 1039 1040 Pacific, Northern Indian, and in the Southern Hemisphere but misses the TC activities in the North Atlantic. BCC-CSM2-HR is able to capture a realistic MJO signal 1041 including the eastward-propagating behavior of MJO and its phase speed. The 1042 QBO-related alternative westerlies and easterlies in the tropical lower stratosphere 1043 1044 with a mean periodicity of about 28 months are well simulated. The weakness in downward propagation of the simulated QBO (insufficient penetration of the signal to 1045 low altitudes) in BCC-CSM2-MR is slightly improved in BCC-CSM2-HR. Main 1046 features of the ENSO cycle such as the periodicity and phase locking are captured by 1047 1048 BCC-CSM2-HR although its main ENSO periodicity of 3-64 years is still shorter compared tothan HadISSTEN4 observations and the pick time of ENSO variability is 1049 1050 about two months later compared to EN4 data.

Our work shows that enhancing resolution does not noticeably improve climate 1051 1052 mean state and deterioration is even possible. For example, the decrease of JJA precipitation over the warm pool in our high-resolution model is still an important 1053 issue which certainly deserves further investigations with multiple models and 1054 simulations. Actually, other studies also reported similar issues. Haarsma et al. (2020) 1055 shows that increasing resolution in the EC-Earth model deteriorated the wet bias over 1056 the western Pacific warm pool. Bacmeister et al. (2014) analysed the high-resolution 1057 climate simulations performed with the Community Atmosphere Model (CAM), and 1058

showed that dry bias over the same region with enhanced resolution. Over the western
 Pacific warm pool, the atmospheric circulation and precipitation undergoes not only
 the impact of tropical variations such as MJO and TC, but also strong regional air-sea
 coupling.

We finally should note that there exist some systematic biases in our 1063 high-resolution model, such as the excessive cloud radiative forcing for shortwave 1064 radiation over the eastern tropical Pacific, cold biases in the near surface temperature 1065 1066 over North Europe, and over the tropical Atlantic, insufficient TC activities over the North Atlantic and the Caribbean Sea. These are all important issues motivating us to 1067 develop and implement more physically-based parameterizations in our future work. 1068 For the lack of sufficient TC activities in the North Atlantic, it seems that this bias 1069 1070 also exists in other models (e.g., Bell et al., 2013; Strachan et al., 2013; Small et al., 2014) and still remains a challenging issue for the climate modelling community. A 1071 recent study reported by Davis (2018) showed that models with horizontal grid 1072 spacing of one fourth degree or coarser could not produce a realistic number of 1073 1074 category 4 and 5 storms in the tropical Atlantic. The spatial resolution even in our current high-resolution model seems too coarse. se are all important issues to improve 1075 in our future model development. 1076

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1079 Code and data availability

Source codes of BCC-CSM-HR model can be accessed at a DOI repository 1080 http://doi.org/10.5281/zenodo.4127457 (Wu et al., 2020b). Model output of BCC 1081 1082 models for CMIP6 simulations described in this paper is distributed through the Earth System Grid Federation (ESGF) and freely accessible through the ESGF data portals 1083 after registration (http://doi.org/10.22033/ESGF/CMIP6.2921, Jie et al., 2020). 1084 ESGF presented on the CMIP Panel 1085 Details about are website at http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip. All source code and 1086 data can also be accessed by contacting the corresponding author Tongwen Wu 1087 (twwu@cma.gov.cn). 1088

1090 Author contributions

1091Tongwen Wu led the BCC-CSM development, and all other co-authors1092contributed to it. Tongwen Wu, Weihua Jie, Xiaoge Xin, and Jie Zhang designed the1093reported experiments and carried them out. Tongwen Wu, Laurent Li, Yixiong Lu,1094Junchen Yao, and Fanghua Wu wrote the final document with contributions from all1095other authors.

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1097 **Competing interests**

1098 The authors declare that they have no conflict of interest.

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		BCC-CSM2-MR	BCC-CSM2-HR	
	Resolution	T106 (~110km), 46 layers with_ top layer at 1.979hPa and model lid at 1.459 hPa	T266 (~45km), 56 layers with top layer at 0.156 hPa and model lid at 0.092 hPa	
	Dynamic <mark>al</mark> core	Spectral framework described in Wu et al. (2008)	Same as in BCC-CSM2-MR but including spatially <u>-varying variant</u> divergence damping.	
	Deep convection	A modified Wu'2012 scheme (Wu, 2012) described in Wu et al. (2019)	Revised Wu et al. (2019) scheme, including the effects of convective downdraft in neighboring grids.	
	Shallow/Middle Tropospheric Moist Convection	Hack (1994)	Modified Hack (1994) scheme described in Lu et al. (2020b), incorporating a trigger based on lower tropospheric stability.	
	Cloud macrophysics	Diagnosed cloud fraction described in Wu et al. (2019)	Revised Wu et al. (2019) scheme, excluding the special treatment for the marine stratocumulus.	
Atmosphere component (BCC-AGCM <u>3</u>)	Cloud microphysics	Modified scheme of Rasch and Kristj´ansson (1998) by Zhang et al. (2003), but included the aerosol indirect effects in which liquid cloud droplet number concentration is diagnosed using the aerosols masses.	Same as in BCC-CSM2-MR.	
	Gravity wave drag	Gravity wave drag generated by both orography (Mcfarlane 1987) and convection (Beres et al., 2004).	Same as in BCC-CSM2-MR, but using tuned parameters related to mode resolutions.	
	Surface orographic drag	No treatment.	The turbulent mountain stress scheme as in Richter et al. (2010).	
	Radiative transfer	Radiative transfer scheme used in CAM3 (Collins et al., 2004), but including the aerosol indirect effects, and the effective radius of the cloud droplet for liquid clouds is diagnosed using liquid cloud droplet number concentration.	Same as in BCC-CSM2-MR.	
	Boundary Layer	Parameterization of Holtslag and Boville (1993), but modified PBL height computation as in Zhang et al. (2014)	The University of Washington Moist Turbulence scheme (Bretherton and Park, 2009)	
	Resolution	Horizontal resolution same as in the atmosphere component. 10 layers for soil and up to five layers for snow.	Horizontal resolution same as in the atmosphere component. 10 layers for soil and up to five layers for snow.	
Land surface component	Biophysical process	CLM3 <u>(Oleson et al., 2004)</u>	CLM3 <u>(Oleson et al., 2004)</u>	
(BCC-AVIM <u>2</u>)	Plant physiological and Soil carbon- nitrogen dynamical processes	BCC-AVIM2 (Li et al., 2019)	BCC-AVIM2 (Li <u>et al.</u> , 2019)	
	Resolution	1°×1° with a tri-pole grid, but 1/3° latitude between 30°S and 30°N to 1.0° at 60° latitude, 40 layers in vertical	1/4°×1/4° with a tri-pole grid at north to 60°N, 50 layers in vertical	
Ocean Component	Tracer advection scheme	MOM4 (Griffies, 2005), Sweby advection scheme (Sweby, 1984)	MOM5 (Griffies, 2012), multi-dimensional piecewise parabolic method	
(MOM)	Neutral diffusion scheme	Griffies et al. (1998) with a constant diffusivity of 600 $m^2 s^{-1}$	None	
	Surface boundary layer processes	K-profile parameterization (KPP, Large et al., 1994)	Same as in MOM4	
	Submesoscale parameterization	None	Fox-Kemper et al. (2008)	

	scheme		
	shortwave penetration	Morel and Antoine (1994), with the maximum depth of 100m	Manizza et al. (2005), with the maximum depth of 300m
	Resolution	Same as in the ocean component <u>MOM4</u> , 3 vertical layers including 1 snow cover and 2 ice layers of equal thickness	Same as in the ocean component. <u>MOM5</u> , 3 vertical layers including 1 snow cover and 2 ice layers of equal thickness
Sea Ice Component (SIS)	Model physics	SIS <mark>4 (Winton, 2000)+1, _</mark> – Elastic-viscous-plastic dynamic <u>al</u> processes, Semtner's thermodynamic processes_	SIS5 Same as SISv2(Delworth et al., 2006), Elastic-viscous-plastic dynamical processes, Semtner's thermodynamic processes
	Snow albedo	0.80	0.85
	Ice albedo	0.5826	0.68

1641 Table 2. Energy balance and cloud radiative forcing at the top-of-atmosphere (TOA) in

1642 the models with contrast to CERES-EBAF observations. Units: W m^{-2} .

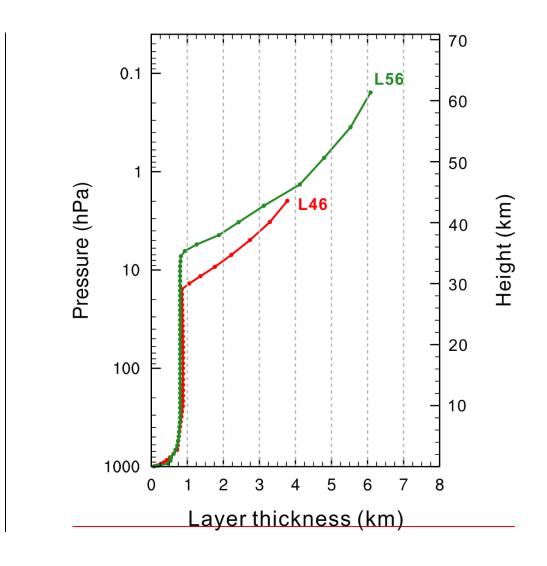
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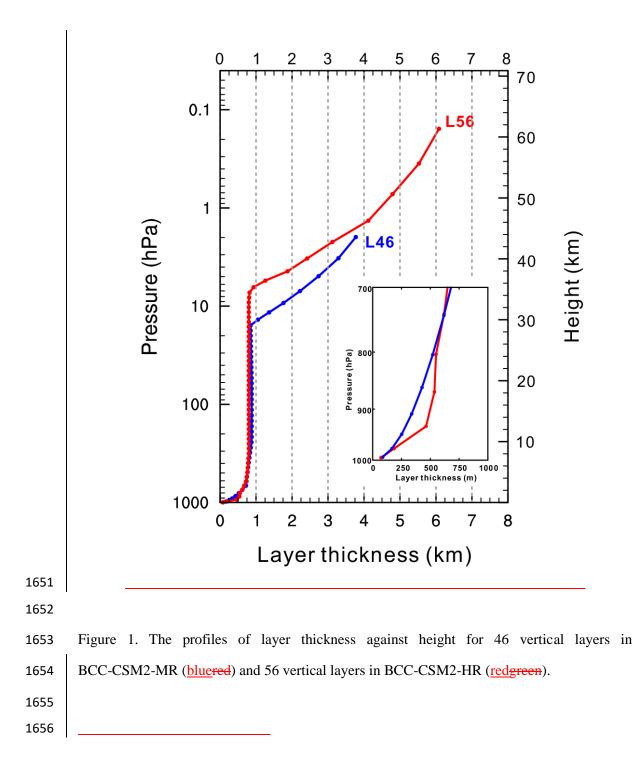
	BCC-CSM2-MR	BCC-CSM2-HR	CERES-EBAF
	<u>2.12±0.40</u> 1.81	<u>1.51±0.57</u> 1.0	0.84 ± 0.33
Net energy at TOA	±0.49	8 ±0.46	
TOA outgoing longwave radiative flux	<u>239.18±0.20</u> 23	<u>237.85±0.18</u> 2	239.69 ±0.25
	9.13 ±0.29	38.52 ±0.35	
TOA net shortwave radiative flux	<u>241.29±0.35</u> 24	<u>239.35±0.49</u> 2	240.53 ±0.19
	0.95 ± 0.55	$\frac{39.60 \pm 0.45}{2}$	
TOA outgoing longwave radiative flux in clear sky	<u>265.10±0.20</u> 26	<u>265.28±0.22</u> 2	265.67 ±0.37
	$\frac{5.05 \pm 0.41}{2}$	66.12 ±0.46	
TOA net shortwave radiative flux in clear sky	<u>291.13±0.25</u> 29	<u>290.06±0.15</u> 2	287.68 ±0.14
	0.52 ± 0.85	89.77 ±0.70	
TOA incoming shortwave radiation	<u>340.34±0.09</u> 34	<u>340.35±0.09</u> 3	340.14 ±0.09
	0.38 ±0.09	40.38 ± 0.09	
Shortwave cloud radiative forcing	<u>-49.83±0.27</u> -4	<u>-50.71±0.48</u> -5	-47.16 ±0.24
	9.58_±0.49	0.17 ±0.58	
Longwave cloud radiative forcing	<u>25.92±0.08</u> 25.	<u>27.43±0.11</u> 27	25.99 ±0.25
	92 ±0.19	.60 ±0.19	

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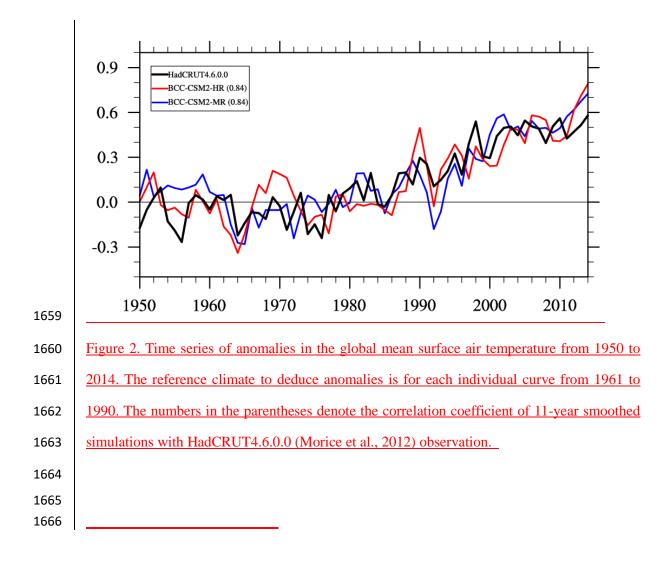
1645 Notes: Mean value and standard deviation are calculated from <u>2001-2014</u> yearly global means
1646 of the <u>1971-2000</u> simulations for BCC-CSM2-MR, BCC-CSM2-HR, and the <u>2001-2014</u>
1647 CERES-EBAF Ed<u>4.12.8</u> data set.

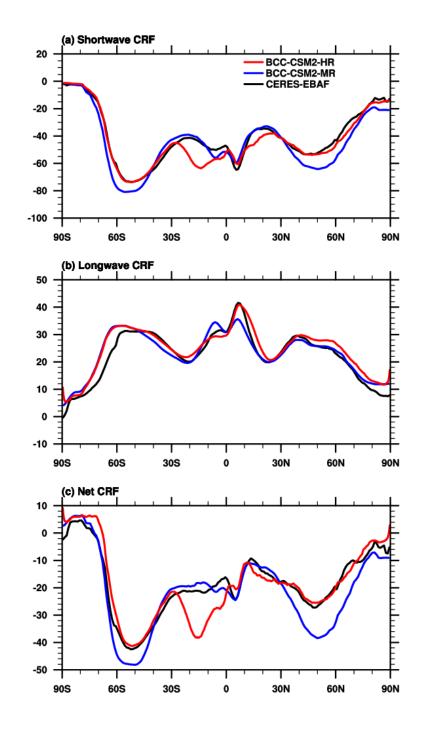


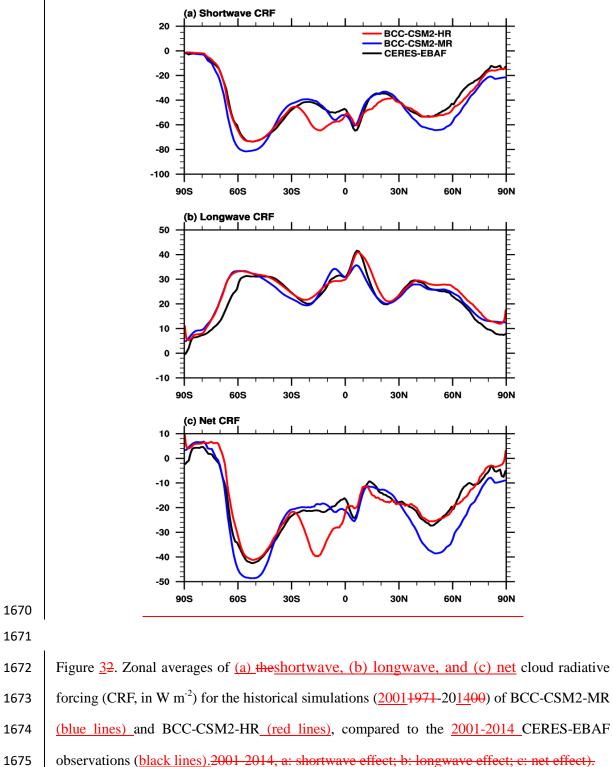




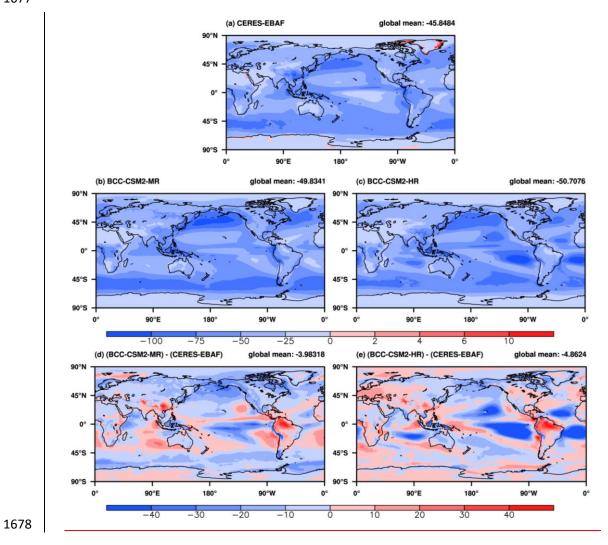
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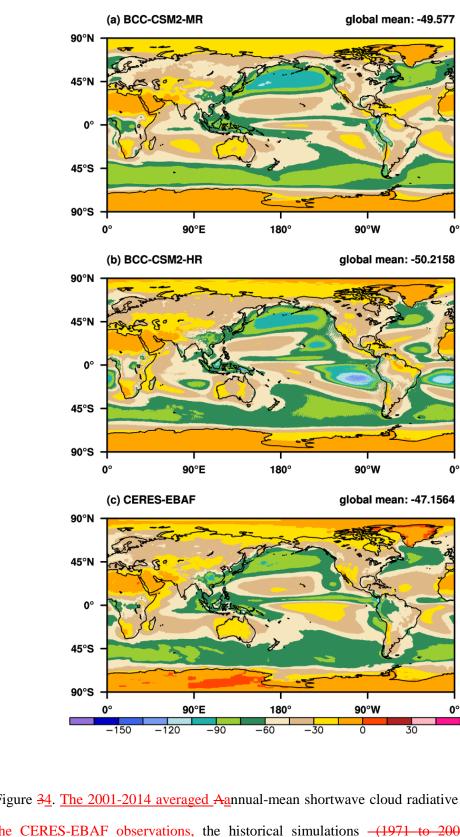
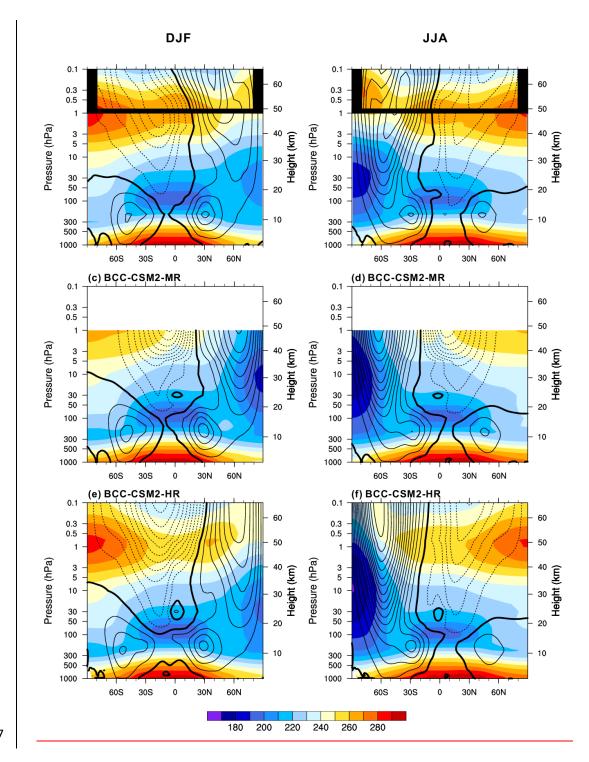


Figure <u>34</u>. <u>The 2001-2014 averaged Aa</u>nnual-mean shortwave cloud radiative forcing for (a)
the <u>CERES-EBAF</u> observations, the historical simulations_<u>(1971 to 2000) of rom</u> (ba)
BCC-CSM2-MR and (cb) BCC-CSM2-HR_, <u>and their biases (d and e)</u> with comparison
against <u>CERES-EBAF data(c) the CERES-EBAF observations (2001-2014)</u>. Units: W m⁻².



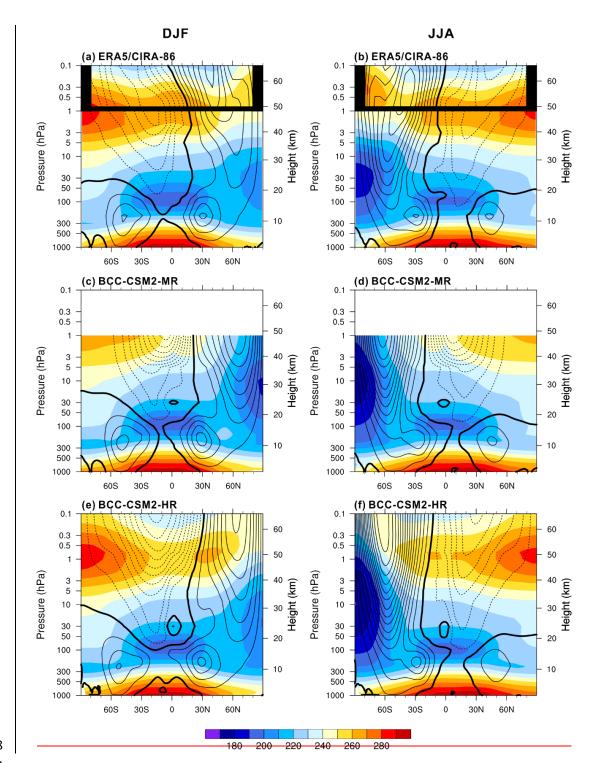
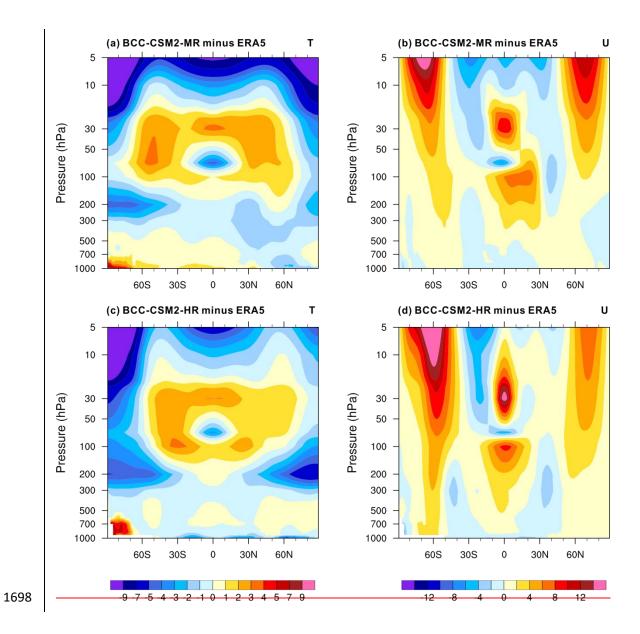


Figure 54. The zonal means of temperature (colors; K) and zonal wind (contours; $m s^{-1}$) averaged for December-January-February (left panel) and Jun-July-August (right panel) from 199571 to 201400 for (a,b) ERA5/CIRA86, (c,d) BCC-CSM2-MR, (e,f) BCC-CSM2-HR. Positive (negative) zonal winds are plotted with solid (dashed) lines with a contour interval of 10 m s⁻¹. Thick contour line denotes zero zonal wind speed. In (a) and (b), the values above 1 hPa from the COSPAR International Reference Atmosphere (CIRA86, Fleming et al., 1990) and below 1 hPa from the ERA5 reanalysis.



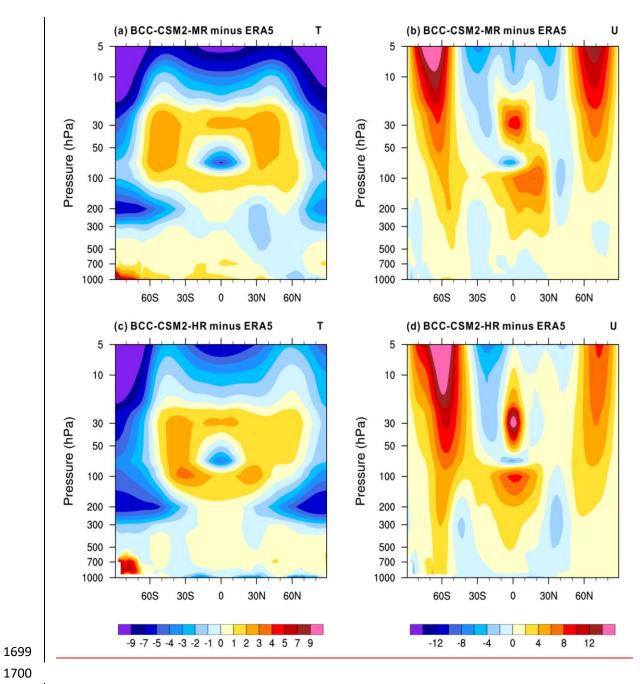
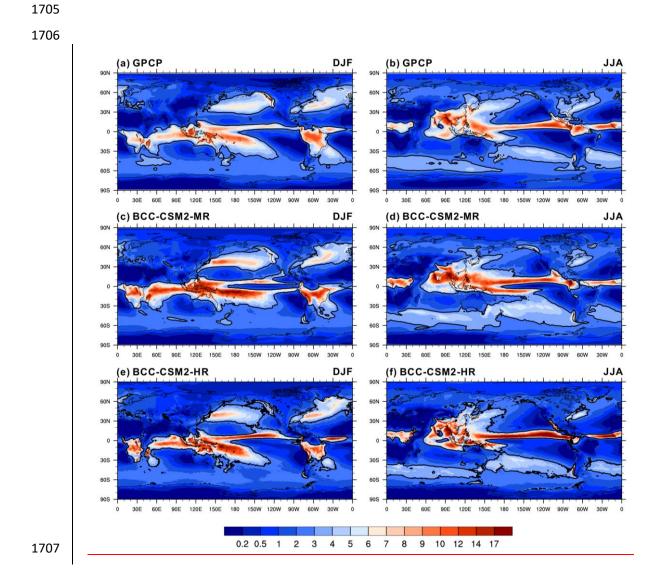




Figure <u>56</u>. Zonally-averaged annual mean temperature biases (left panel, in K) and zonal wind biases (right panel, in m s⁻¹) averaged for the period from 199571 to 201400 for (a,b) BCC-CSM2-MR, and (c,d) BCC-CSM2-HR, with respect to the ERA5 reanalysis data.



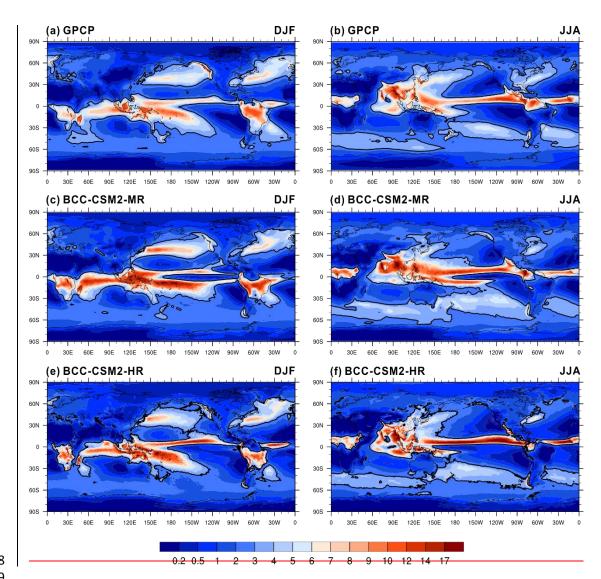
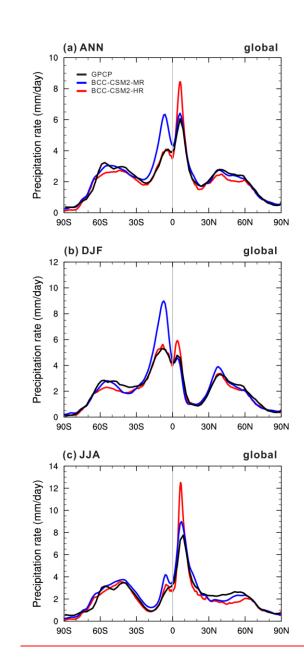


Figure <u>76</u>. The <u>1995-2014 averaged</u> mean precipitation rate of December-January-February
(left panel) and June-July-August (right panel) for (a,b) GPCP observations-(<u>1981-2010</u>), (c,d)
BCC-CSM2-MR-(<u>1971-2000</u>), and (e,f) BCC-CSM2-HR-(<u>1971-2000</u>). Units: mm day⁻¹. The
3 mm day⁻¹ contour line is in bold as a reference to facilitate the visual inspection.



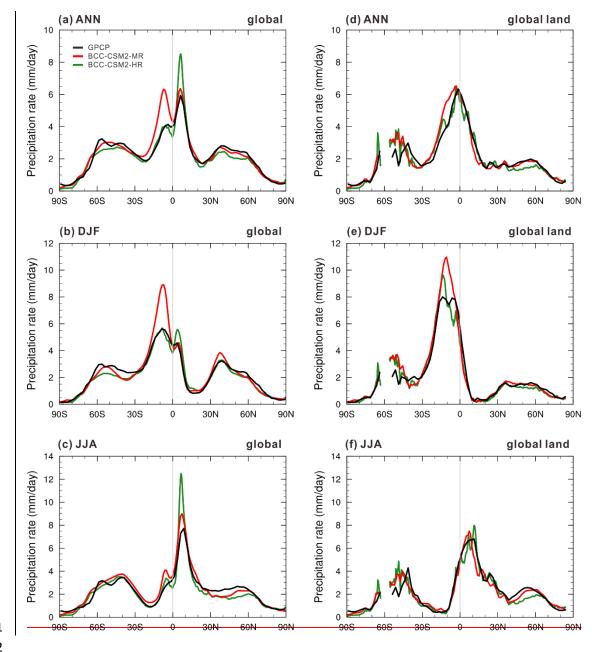


Figure <u>87</u>. The <u>1995-2014 averaged</u> zonally-averaged mean precipitation rate (mm day⁻¹) averaged for (a, d) the annual mean, (b, e) December-February-February, and (c, f) June-July-August. The solid black lines denote GPCP data-<u>(1981=2010)</u>, and the <u>coloreolor</u> lines show BCC-CSM2-MR (<u>blue)</u> (<u>1971=2000</u>) and BCC-CSM2-HR (<u>red1971=2000</u>) simulations. Units: mm day⁻¹.

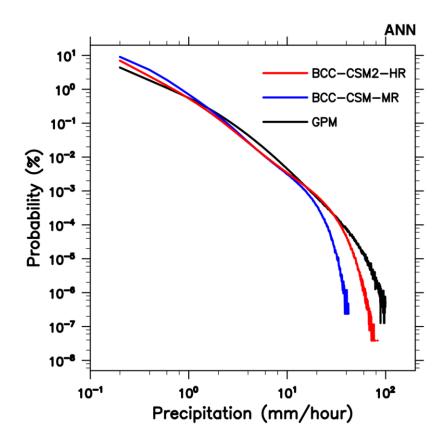
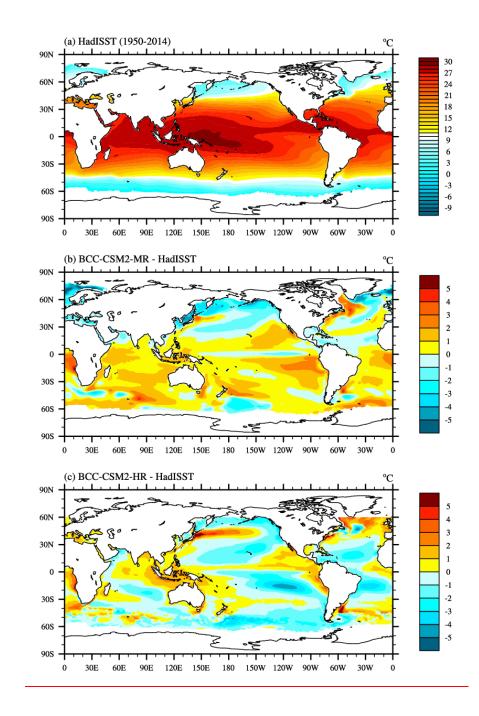


Figure <u>98</u>. The probability density of <u>3-hourlyly</u> precipitation <u>between 40 S and 40 N</u> and during the period from 2001 to 2014, in function of precipitation intensity with intervals of 1 mm/hour, for between 40 % and 40 % derived from every 3 hours data for the IMERG Global Precipitation Measurement (GPMblack line), from 2001 to 2019, and for BCC-CSM2-MR (blue line) and BCC-CSM2-HR (red line), respectively. simulations from 1971 to 2000. Two simulations were re-gridded to the grid of IMERG before processing.





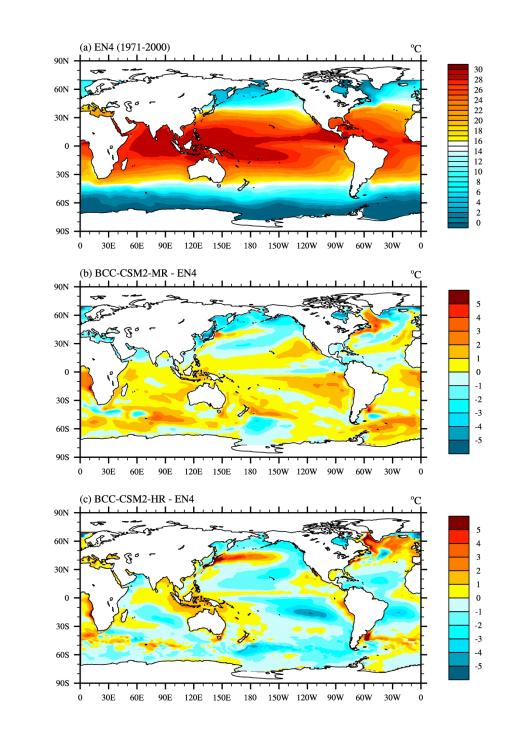
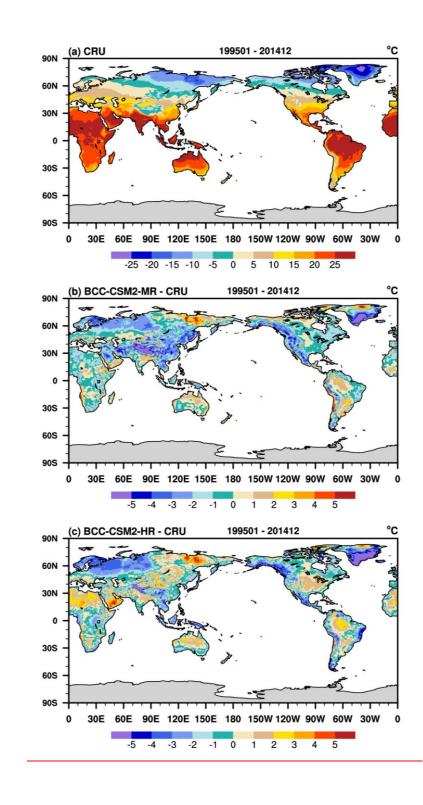
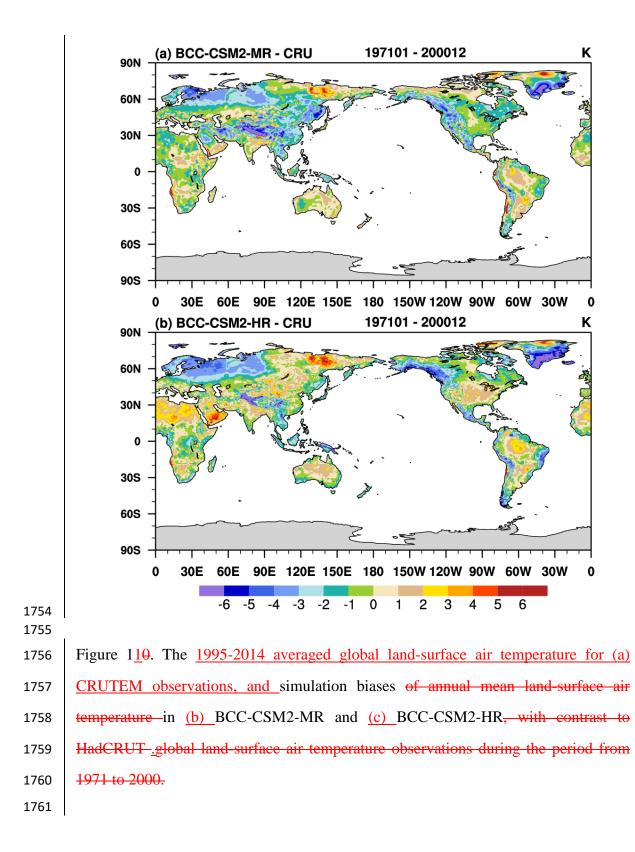
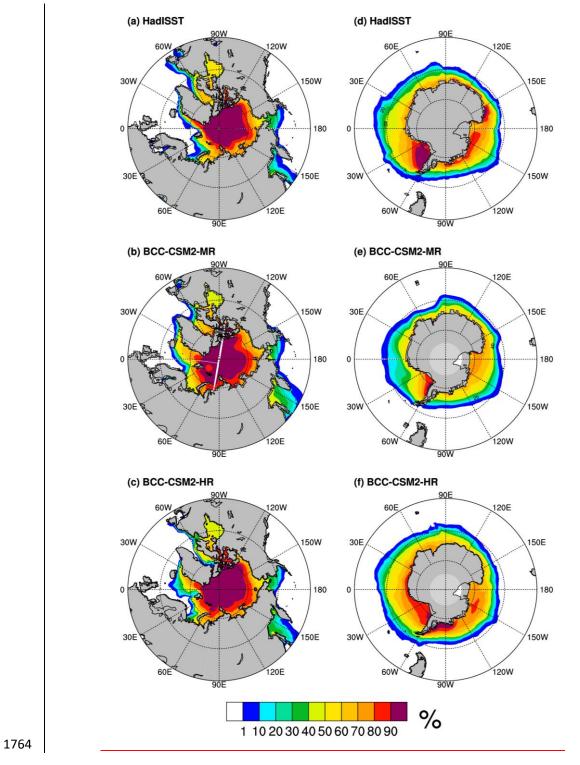


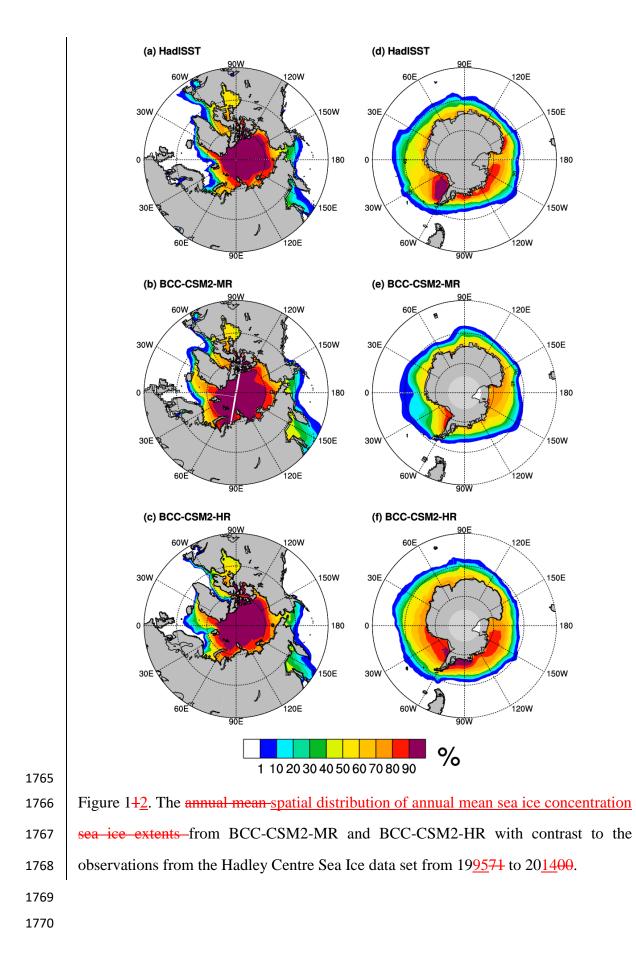
Figure <u>109</u>. The global distributions of the 19<u>9571</u>-20<u>1400</u> annual mean sea surface temperature for (a) the observations from <u>Met Office Hadley Centre HadISSTEN4</u> dataset, and the simulation biases in (b) BCC-CSM2-MR and (c) BCC-CSM2-HR.











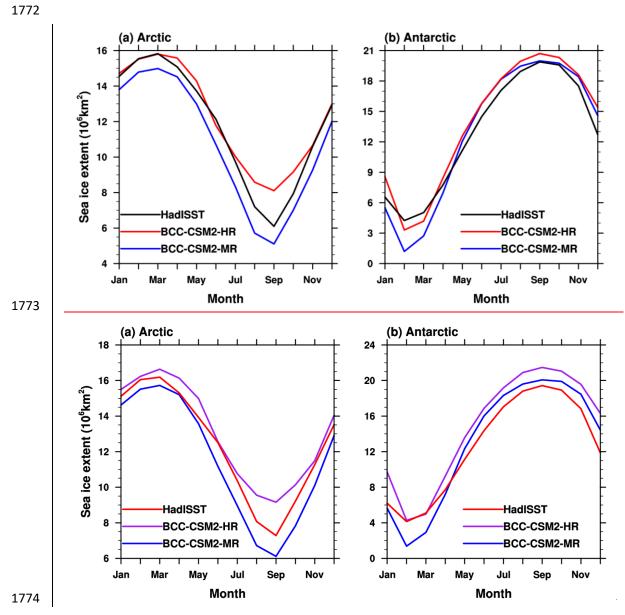
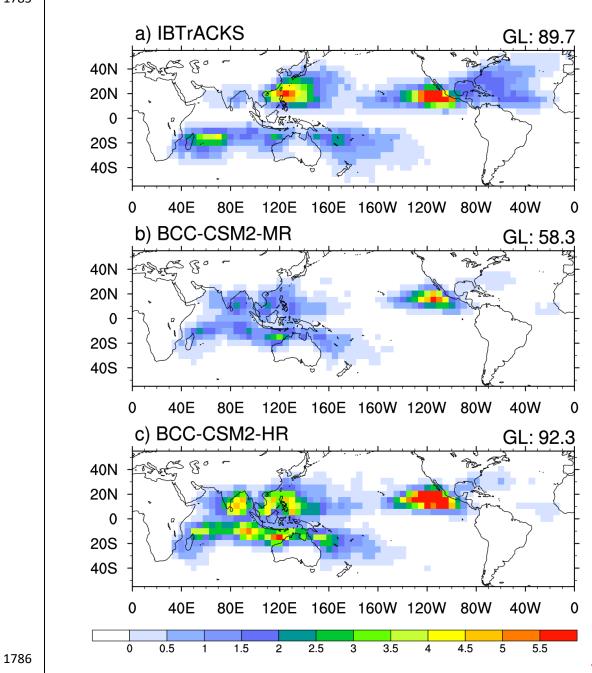


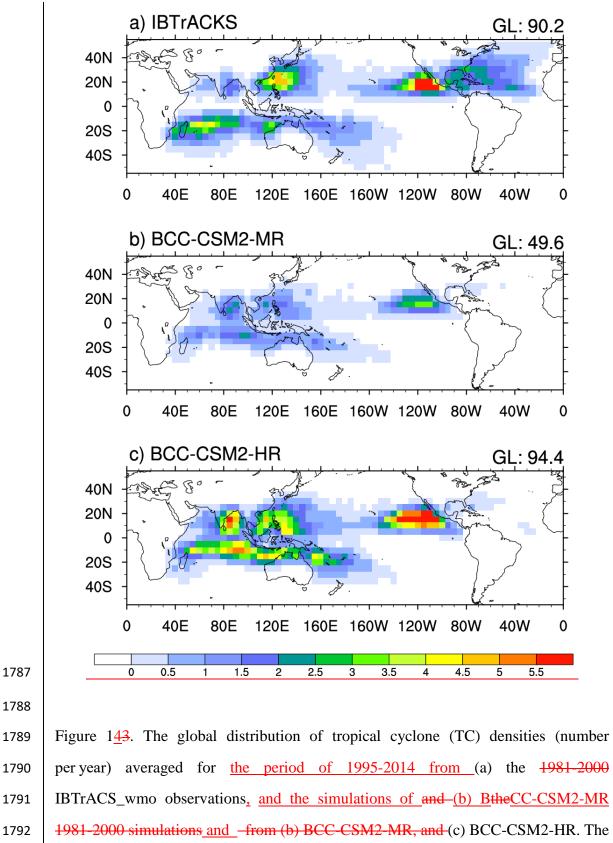


Figure 1<u>32</u>. The mean (199571-20141990) seasonal cycle of sea-ice extent (with a sea-ice concentration of at least 15 %) in (a) the Northern Hemisphere and (b) the Southern Hemisphere for the observations from the Hadley Centre Sea Ice and Sea
Surface Temperature data—set (blackred—_lines) and the simulations from BCC-CSM2-MR (blue lines), BCC-CSM2-HR (redpurple lines).



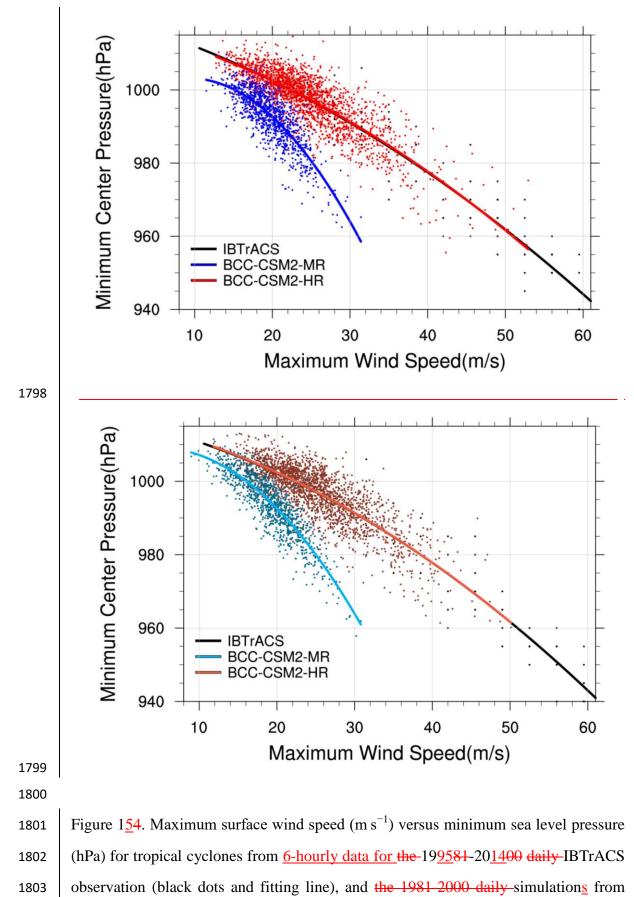






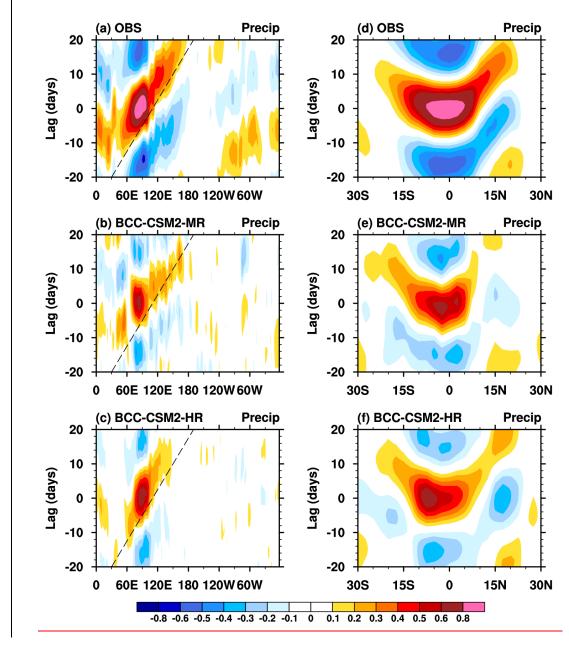
value on the upper-right corner denotes the total number of global TCs on $5 \times 5^{\circ}$ grid box.

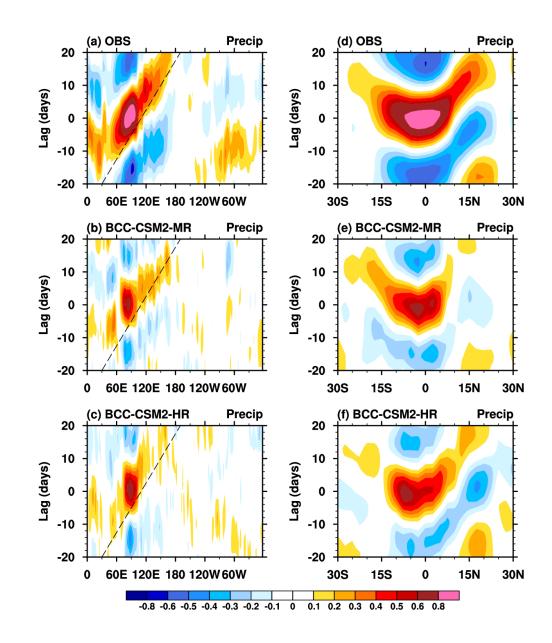




1804	BCC-CSM2-HR (red dots and fitting line) and BCC-CSM2-MR (blue dots and fitting
1805	line). Each dot denotes the maximum surface wind speed and its corresponding
1806	minimum sea level pressure for a tropical cyclone during its lifetime. Here only
1807	plotted the tropical cyclones whose maximum surface wind speed exceeds 10 m s ⁻¹ .
1808	
1809	



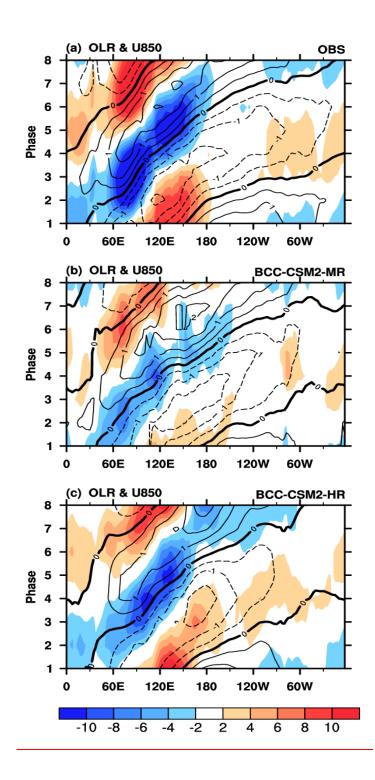




1814

Figure 156. Left panels: longitude-time evolution of lagged correlation coefficient for 1815 the 20–100-day band-pass-filtered precipitation anomaly (averaged over 10 S–10 N) 1816 against regional averaged precipitation over the equatorial eastern Indian Ocean (80 °-1817 100 °E, 10 °S-10 °N). Right panels: same as the left panels, but for the latitude-time 1818 evolution of lagged correlation coefficient for filtered precipitation anomaly (averaged 1819 over 80°-100°E) against the regional averaged precipitation over the equatorial 1820 eastern Indian Ocean. Dashed lines in each panel denote the 5 m s⁻¹ eastward 1821 propagation speed. The observations in (a, b) are derived from GPCP data and the 1822 simulations are from (c,d) BCC-CSM2-MR, and (e,f) BCC-CSM2-HR for the period 1823 from 199571 to -201400. 1824

1825



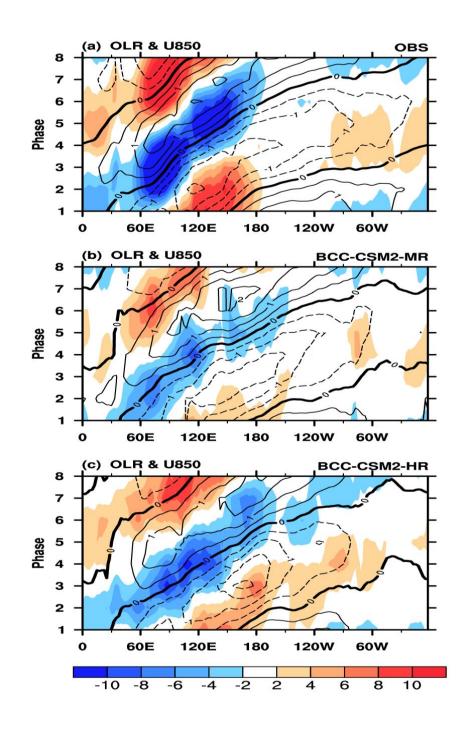
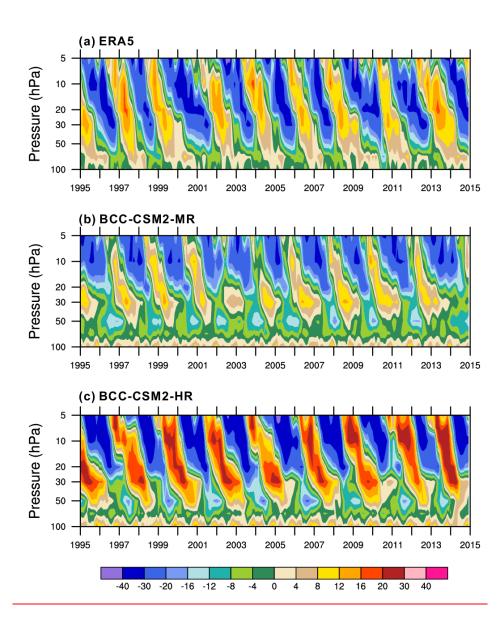


Figure 167. Hovmöller diagrams of MJO phase-composited OLR (shaded) and
850-hPa zonal wind anomalies (contour lines) averaged between 10 S and 10 N from
(a) ERA5 wind and NOAA OLR reanalyses, (b) BCC-CSM2-MR and (c)
BCC-CSM2-HR simulations for the period from 1995 to 2014. The MJO phase is
defined by the two principal components corresponding to leading multivariate EOFs
of OLR, 850-hPa and 200-hPa zonal wind anomalies as in Wheeler and Hendon
(2004).



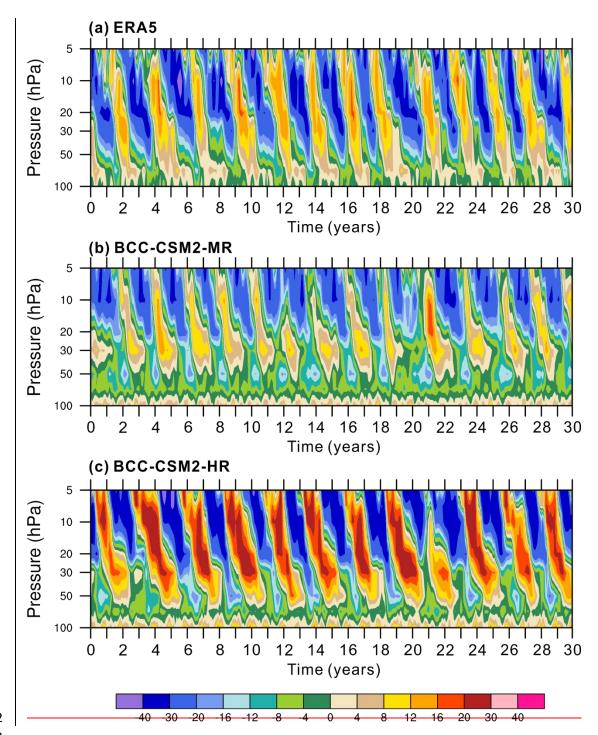
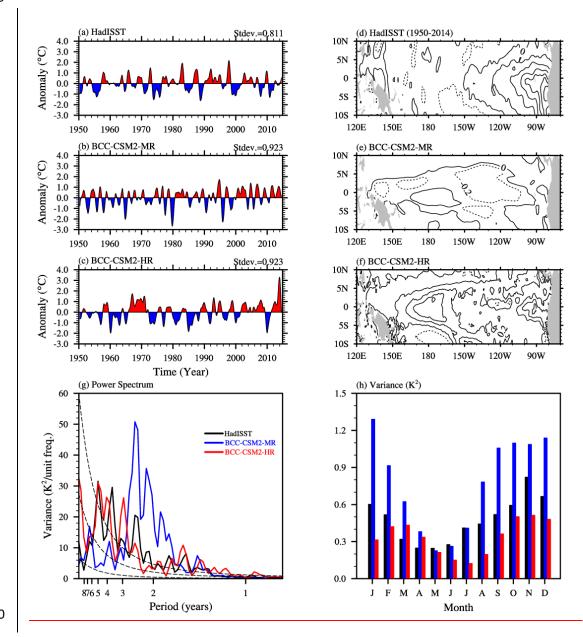


Figure 178. Tropical zonal winds (m s⁻¹) between 5 S and 5 N in the lower stratosphere for (a) ERA5 reanalysis (1981–2010), (b) BCC-CSM2-MR (1971–2000) and (c) BCC-CSM2-HR-(1971–2000) during the period from 1995 to 2014.-



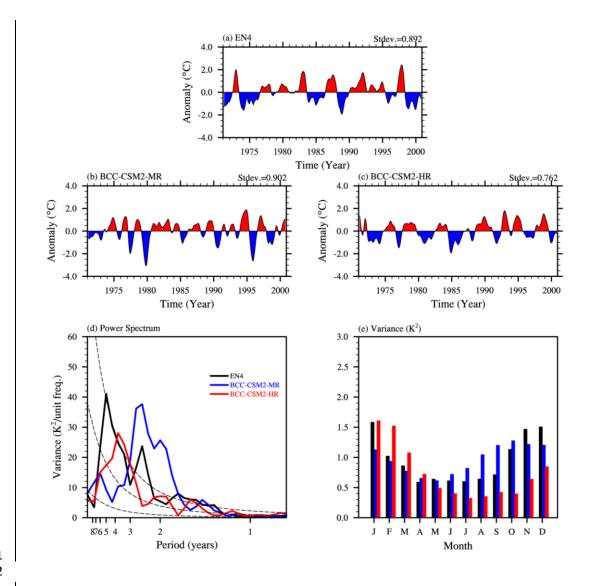
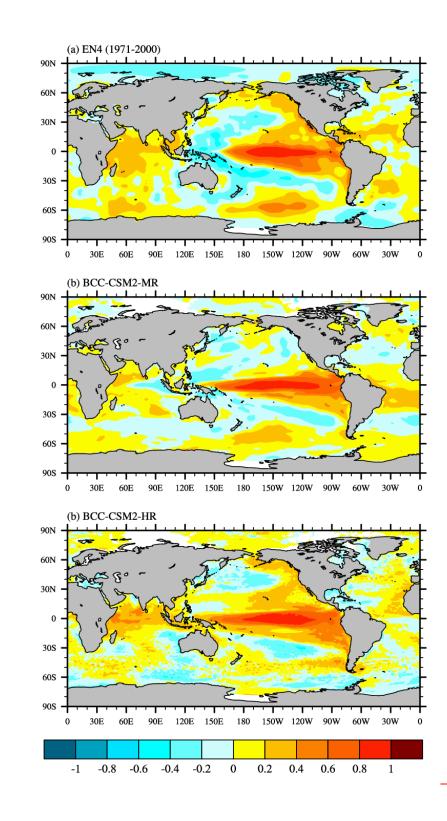




Figure <u>1918</u>. **T**<u>T</u>he time series of monthly Niño3.4 SST (5°N–5°S, 170°W–120°W)</u> anomalies <u>and spatial distribution of their skewness</u> for <u>(a, d) (a)–HadISSTEN4</u> observation, (b, <u>e</u>) BCC-CSM2-MR, and (c, <u>f</u>) BCC-CSM2-HR during the period <u>195071-201400.–_(gd)</u> and (<u>he</u>) <u>showshow</u> their power spectrums and variances, respectively, <u>and</u>.-**T**<u>t</u>he black, blue, and red solid lines <u>denotes in (d) and (e) show</u>-the results from <u>HadISSTEN4</u>, BCC-CSM2-MR, and BCC-CSM2-HR.



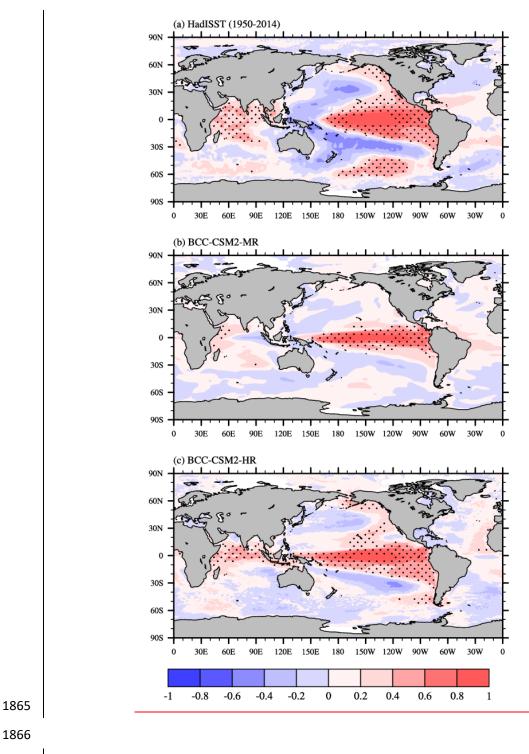


Figure 2019. Correlation coefficients between SST and the Nino3.4 index from 195071 to 201400 for (a) HadISSTEN4 data, (b) BCC-CSM2-MR, and (c) BCC-CSM2-HR. Contour intervals are 0.2. Values significant at the 99% level using a Student's t-test are stippled.