Explicit silicate cycling in the Kiel Marine Biogeochemistry Model, version 3 (KMBM3) embedded in the UVic ESCM version 2.9

Karin Kvale[1], David P. Keller[1], Wolfgang Koeve[1], Katrin J. Meissner[2,3], Chris Somes[1], Wanxuan Yao[1], and Andreas Oschlies[1]

[1]GEOMAR Helmholtz Centre for Ocean Research Kiel, Düsternbrooker Weg 20, D-24105 Kiel, Germany
[2]Climate Change Research Centre, Level 4 Mathews Bldg., UNSW, Sydney, NSW, AU
[3]ARC Centre of Excellence for Climate Extremes, Australia

Correspondence: K. Kvale (kkvale@geomar.de)

Abstract. We describe and test a new model of biological marine silicate cycling, implemented in the Kiel Marine Biogeo-chemical Model version 3 (KMBM3), embedded in the University of Victoria Earth System Climate Model (UVic ESCM) version 2.9. This new model adds diatoms, which are a key aspect of the biological carbon pump, to an existing ecosystem model. The new model performs well against important ocean biogeochemical indicators and captures the large-scale features

5   of the marine silica cycle. Furthermore it is computationally efficient, allowing both fully-coupled, long-timescale transient simulations, as well as "offline" transport matrix spinups. We assess the fully-coupled model against modern ocean observations, the historical record since 1960, and a business-as-usual atmospheric CO_2 forcing to the year 2300. The model simulates a global decline in net primary production (NPP) of 1.8% having occurred since the 1960s, with the strongest declines in the tropics, northern mid-latitudes, and Southern Ocean. The simulated global decline in NPP reverses after the year 2100 (forced

10 by the extended RCP 8.5 CO_2 concentration scenario), and NPP returns to 96% of the pre-industrial rate by 2300. This recovery is dominated by increasing primary production in the Southern Ocean, mostly by calcifying phytoplankton. Large increases in calcifying phytoplankton in the Southern Ocean offset a decline in the low latitudes, producing a global net calcite export in 2300 that varies only slightly from pre-industrial rates. Diatom distribution moves southward in our simulations, following the receding Antarctic ice front, but diatoms are out-competed by calcifiers across most of their pre-industrial Southern Ocean

15 habitat. Global opal export production thus drops to 50% of its pre-industrial value by 2300. Model nutrients phosphate, sili-cate, and nitrate build up along the Southern Ocean particle export pathway, but dissolved iron (for which ocean sources are held constant) increases in the upper ocean. This different behaviour of iron is attributed to a reduction of low-latitude NPP (and consequently, a reduction in both uptake and export and particle, including calcite, scavenging), an increase in seawater temperatures (raising the solubility of particle forms), and stratification that "traps" the iron near the surface. These results are

20 meant to serve as a baseline for sensitivity assessments to be undertaken with this model in the future.

1 Introduction

It has become apparent in recent decades that the representation of elemental cycles of nutritive elements (N, P, Si, Fe) is important in order to simulate critical biogeochemical feedbacks (Heinze et al., 2019). Here, we describe an updated representation of ocean biogeochemistry (the Kiel Marine Biogeochemical Model, version 3; KMBM3) embedded in an earth system model, the University of Victoria Earth System Climate Model version 2.9, second level updates (UVic ESCM; Weaver et al., 2001; Eby et al., 2009), to which we have added a silicate cycle.

Silicate (considered here as any anion that combines silicon, Si, and between 2 and 4 oxygen molecules) is important for simulating ocean biogeochemistry for several reasons. It regulates the growth of phytoplankton diatoms, which are responsible for 40% of the particulate carbon export in the modern ocean (Jin et al., 2006). Fluctuations in the relative abundance of diatoms might therefore affect air-sea CO_2 exchange, with a globally significant influence on atmospheric CO_2 concentrations over millennial and longer timescales (e.g. Matsumoto et al., 2002; Renaudie, 2016). High latitude diatoms with low N:P ratios have been demonstrated to exert global control on the nitrogen budget (Weber and Deutsch, 2012), and are speculated to exert seasonal control on carbonate chemistry through competition with calcifiers (Merico et al., 2006). Silicic acid ($Si(OH)_4$, and $SiO(OH)_3^-$) distributions are tightly controlled by diatom primary production (biogenic silica, or opal; SiO_2) and subsequent dissolution (e.g., only about 34% global average ocean silicic acid is preformed, as opposed to biologically regenerated; Holzer et al. 2014). First attempts to constrain the marine silicate cycle demonstrate representations of the silica cycle are well suited to parameter optimisation (Holzer et al., 2014; Pasquier and Holzer, 2017). Due to diatoms' important role in biological carbon export, evaluating simulated silicate and diatoms presents a unique opportunity to constrain ocean carbon cycling.

In the following sections we describe our new model of explicit diatoms and silicate cycling in the ocean. As far as we are aware, this model is unique among ecosystem models embedded within earth system models in that it combines established parameterisations of a diatom functional type (i.e. fast growth rates and inefficient nutrient utilisation, Tréguer et al. 2018) with a novel temperature-dependent opal dissolution parameterisation and bottom-up and top-down competition for resources with explicit, fully independent calcifying phytoplankton and nitrogen fixing diazotrophs. These assumptions bias KMBM3 diatoms towards large species in the well-mixed high latitudes, and early seasonal succession. Modelled silicate boundary conditions include hydrothermal silicate inputs and a benthic transfer function to approximate sediment sequestration. A ballast model applied to the calcifiers (Kvale et al., 2015b) further differentiates KMBM3, as does the ability to operate in an "offline" parameter optimisation framework (Kvale et al., 2017; Yao et al., 2019).

2 Model Description

2.1 Physics

The University of Victoria Earth System Climate Model (UVic ESCM) version 2.9 is a coarse-resolution ($1.8° \times 3.6° \times 19$ ocean depth layers) ocean-atmosphere-biosphere-cryosphere-geosphere model. Model structure and physics are described in

Weaver et al. (2001), Meissner et al. (2003) and Eby et al. (2009). We use the second level updates of version 2.9. Additionally, an ideal age tracer (Koeve et al., 2015) is merged into this version of the model to allow for explicit tracing of water mass age.

2.2 Biogeochemistry

Development of the Kiel Marine Biogeochemistry Model has progressed in recent years. Beginning with a base composition of nitrate, phosphate, DIC, and alkalinity as well as general phytoplankton, diazotrophs and one zooplankton functional type (Schmittner et al., 2005, 2008), Keller et al. (2012) updated zooplankton grazing and included a seasonally cycling iron mask (KMBM1). To this version prognostic iron tracers were implemented by Nickelsen et al. (2015) (KMBM2), and prognostic $CaCO_3$ and calcifying phytoplankton were added by Kvale et al. (2015b). Somes et al. (2013) included benthic denitrification and Muglia et al. (2017) included hydrothermal iron in MOBI, a separate branch of the UVic ESCM biogeochemistry. Kvale and Meissner (2017) examined the sensitivity of primary production in the model to light attenuation parameter value following a correction in the calculation of light availability (Partanen et al., 2016). To the Keller et al. (2012) version Kvale et al. (2017) added offline transport matrix method (TMM) capability, allowing for rapid matrix-based computation of model mean ocean tracer states and coupling to alternative physical models (Khatiwala et al., 2005; Khatiwala, 2007). This model description unites divergent code, assimilating the Nickelsen et al. (2015); Kvale et al. (2015b, 2017) models as well as the benthic denitrification code of Somes et al. (2013) and hydrothermal iron of Muglia et al. (2017), and expands the code to include explicit diatoms and a silicic acid tracer.

With up to 17 model tracers, KMBM3 biogeochemistry is now fairly complex. An abbreviated description is provided in the following sections, with only the relevant model developments described here. All simulations use the fully-coupled UVic ESCM framework.

We introduce a new phytoplankton functional type to which has been assigned key physiological attributes of fast growing phytoplankton diatoms. This new model version therefore contains phytoplankton types "slow growing low latitude phytoplankton (LP)", "nitrogen fixing diazotrophs (DZ)", "moderate growth phytoplankton, including calcifiers (CP)", and "fast growing diatoms (DT)". Zooplankton contribute to $CaCO_3$ production. Prognostic tracers include nitrate, phosphate, oxygen, DIC, alkalinity, iron, $CaCO_3$, silicic acid, and particle forms of iron and organic detritus. The new model schematic is shown in Figure 1 and state variables are given in Table 1. Diatoms compete with the other phytoplankton types for light and nutrients. The diatoms' growth is also limited by dissolved silicic acid availability; they implicitly produce opal that instantly remineralises back into dissolved silicic acid throughout the water column. To ensure absolute model conservation of silica, any opal that reaches the seafloor is replaced by external sources (prescribed atmospheric dust deposition, sediment release via a benthic transfer function, prescribed hydrothermal vent release, and river fluxes that can be set to compensate for any remainder; see below for details). The phytoplankton and detritus production and remineralisation are linked to nutrients through fixed Redfield stoichiometry using a base unit of mmol nitrogen m^{-3}.

In the following model description, notation will generally follow the symbols used in Kvale et al. (2015b), with the abbreviations LP, CP, DT, DZ representing the phytoplankton types, and "Z" representing zooplankton when a distinction is necessary. The most important biogeochemical model parameters are listed in Tables 2 to 5. The model description here covers only the

most relevant equations, and equations that have changed in this newest version; please see Kvale et al. (2015b); Nickelsen et al. (2015); Keller et al. (2012); Schmittner et al. (2005), and Schmittner et al. (2008) for original references and a complete description of the other equations.

2.2.1 Sources and Sinks

Tracer concentrations (C) vary according to:

$$\frac{\partial [C]}{\partial t} = T + S + B \tag{1}$$

with T including all transport terms (advection, diffusion, and convection), S representing all source and sink terms, and B representing air-sea interface boundary (including virtual evaporation-precipitation correction) fluxes.

2.2.2 Phytoplankton

Phytoplankton (X representing all types except diazotrophs) biomass source and sink terms are:

$$S(X) = J_X X - G_X - \mu_X^* X - m_X X \tag{2}$$

where growth (J), mortality (m), and fast recycling (μ^*) rates are described below, and losses to zooplankton grazing (G) are described in the zooplankton equations. The diazotroph equation is similar except that it does not include a linear mortality loss, only a loss to fast recycling.

As in Keller et al. (2012), the maximum possible growth rate of phytoplankton ($J_{\max}$) is a modified Eppley curve (Eppley, 1972), and is a function of seawater temperature (T) in Celsius, an e-folding temperature parameter T_b, and iron availability (u_{Fe}) modifying a growth parameter (a). This parameterisation assumes sufficient iron is required for the utilisation of other nutrients (Galbraith et al., 2010; Keller et al., 2012; Nickelsen et al., 2015).

$$J_{\max} = a \times \exp^{\frac{T}{T_b}} \times u_{\text{Fe}}. \tag{3}$$

As in earlier versions of the model, diazotroph growth rate is calculated following general phytoplankton (now LP) and then assigned an additional handicap.

Nickelsen et al. (2015) assigned a constant iron half saturation (k_{Fe}) to diazotrophs but in general phytoplankton this parameter varied as a function of biomass (X) to implictly represent different cell sizes in the model. Because KMBM3 contains multiple phytoplankton types we revert to a prescribed but unique k_{Fe} for all phytoplankton types and calculate iron availability as:

$$u_{\text{Fe}} = \frac{[\text{Fe}]}{k_{\text{Fe}} + [\text{Fe}]}. \tag{4}$$

The maximum potential growth rate is then multiplied by a nutrient availability (u) for nitrate, phosphate, and dissolved silicic acid (the latter for diatoms only) to calculate potential growth under nutrient limitation but replete light, where k_N, and k_P

are fixed half saturation constants unique to each phytoplankton type (and k_P is calculated from 16 P to 1 N Redfield stoichiometry). These equations are applied to obtain maximum possible growth rates as a function of temperature and nutrients:

$$u_{NO_3^-} = \frac{[NO_3^-]}{k_N + [NO_3^-]} \tag{5}$$

$$u_{PO_4^{3-}} = \frac{[PO_4^{3-}]}{k_P + [PO_4^{3-}]} \tag{6}$$

$$u_{Si} = \frac{[Si]}{k_{Si} + [Si]} \tag{7}$$

Silica uptake uses the empirical Aumont et al. (2003) scaling of k_{Si} in mol Si m^{-3}:

$$k_{Si} = 8e^{-4} + 7.2e^{-3} \times \frac{[Si]}{k_{Si}^* + [Si]} \tag{8}$$

with a k_{Si}^* value adopted from Aumont et al. (2003) of $3e^{-2}$ mol Si m^{-3}.

The potential growth rate under limited light availability (J_I) but replete nutrients is calculated as:

$$J_I = \frac{J_{max}\alpha^{chl}\theta I}{(J_{max}^2 + (\alpha^{chl}\theta I)^2)^{\frac{1}{2}}} \tag{9}$$

where α^{chl} is the initial slope of the photosynthesis versus irradiance (I) curve in chlorophyll units:

$$\alpha^{chl} = \alpha^{chl}_{min} + (\alpha^{chl}_{max} - \alpha^{chl}_{min})u_{Fe}, \tag{10}$$

and θ is a Chl:C ratio (noting, chlorophyll is not a prognostic tracer, Nickelsen et al., 2015):

$$\theta = \theta_{min} + (\theta_{max} - \theta_{min})u_{Fe}. \tag{11}$$

For simplicity, the same maximum and minimum values of α^{chl} and θ are used for all phytoplankton types.

Light attenuation by coccoliths is included in the calculation of available irradiance at each depth level:

$$I = I_{z=0} \, PAR \, e^{-k_w\tilde{z} - k_c\int_0^{\tilde{z}}(LP+CP+DZ+DT)dz - k_{CaCO_3}\int_0^{\tilde{z}}[CaCO_3]dz} \left(1 + a_i(e^{-k_i(h_i+h_s)} - 1)\right) \tag{12}$$

where PAR stands for the photosynthetically available radiation, k_w, k_c, k_{CaCO_3}, and k_i are the light attenuation coefficients for water, all phytoplankton, CaCO$_3$, and ice, $\tilde{z}$ is the effective vertical coordinate, a_i is the fractional sea ice cover, and h_i and h_s are calculated sea ice and snow cover thickness. Opal generated by diatoms is not explicitly traced and is therefore not included in the underwater light field.

The actual growth rate (J_X) of phytoplankton is taken to be the minimum of the growth functions described above:

$$J_{LP} = \min(J_I, J_{max}u_{NO_3^-}, J_{max}u_{PO_4^{3-}}), \tag{13}$$

$$J_{CP} = \min(J_I, J_{\max} u_{\text{NO}_3^-}, J_{\max} u_{\text{PO}_4^{3-}}), \tag{14}$$

$$J_{DT} = \min(J_I, J_{\max} u_{\text{NO}_3^-}, J_{\max} u_{\text{PO}_4^{3-}}, J_{\max} u_{\text{Si}}), \tag{15}$$

145

$$J_{DZ} = \min(J_I, J_{\max} u_{\text{PO}_4^{3-}}). \tag{16}$$

In Equation 2, two loss terms other than predation are considered. Non-grazing mortality is parameterised using a linear mortality rate (m). Temperature-dependent fast remineralisation is a loss term used to implicitly account for the microbial loop and dissolved organic matter cycling, and is parameterised using a temperature dependency multiplied by a constant (μ_0^*)

150 (Schmittner et al., 2008):

$$\mu^* = \mu_0^* \times \exp^{\frac{T}{T_b}}. \tag{17}$$

With this formulation, increasing seawater temperature increases respiration and the return of nutrients to the upper ocean.

2.2.3 Zooplankton

Changes in zooplankton population (Z) are calculated as the total available food (phytoplankton, zooplankton, and organic

155 detritus) scaled with a growth efficiency coefficient (ϖ) minus mortality. In addition to non-grazing mortality calculated with a quadratic mortality function ($m_Z Z^2$), zooplankton mortality also encompasses losses from higher trophic level predation (G_Z) (Keller et al., 2012).

$$S(Z) = \varpi \left(G_{LP} + G_{CP} + G_{DZ} + G_{DT} + G_{Detr_{\text{tot}}} + G_Z \right) - m_Z Z^2 - G_Z. \tag{18}$$

Zooplankton grazing (G) follows Keller et al. (2012). Relevant parameters are listed in Table 4. Grazing of each food source is

160 calculated using a Holling II function, where a calculated maximum zooplankton grazing rate ($\mu_Z^{\max}$) is reduced by a scaling that is weighted by a relative food preference (ψ_X, where "X" stands for any of the food sources and the sum of all preferences must equal 1), the total prey population and a half saturation constant for zooplankton ingestion (k_z):

$$G_X = \mu_Z^{\max} \times Z \times \frac{\psi_X X}{\psi_{LP} LP + \psi_{CP} CP + \psi_{DZ} DZ + \psi_{DT} DT + \psi_{Det} Detr_{\text{tot}} + \psi_Z Z + k_z}. \tag{19}$$

The calculated maximum potential grazing rate is a function of a maximum potential grazing rate at $0\,^\circ\text{C}$ (μ_Z^θ), temperature,

165 and oxygen, where grazing activity is capped when temperatures exceed $20\,^\circ\text{C}$ (Keller et al., 2012):

$$\mu_Z^{\max} = \mu_Z^\theta \times \max(0, r_{sox}^{\text{O}_2} \times b^{c \cdot \min(20, T)}). \tag{20}$$

Grazing is also reduced under suboxic conditions ($r_{sox}^{\text{O}_2}$):

$$r_{sox}^{\text{O}_2} = 0.5 \left(\tanh([\text{O}_2] - 8) + 1 \right) \tag{21}$$

where O_2 is dissolved oxygen in mmol m^{-3}.

2.2.4 Organic Detritus

As was introduced in Kvale et al. (2015b), organic carbon detritus sources and sinks are split into "free" ($Detr_{\text{free}}$) and "ballast" ($Detr_{\text{bal}}$) pools using a fixed ratio ($\text{R}_{\text{bal:tot}}$). Ballast detritus is formed of the CaCO$_3$-protected portion of calcifying phytoplankton (CP), and zooplankton. This protected portion does not interact with nutrient pools directly, and instead transfers from the "ballast" to the "free" detrital pool at the rate of CaCO$_3$ dissolution (λ_{CaCO_3}):

$$Detr_{\text{tot}} = Detr_{\text{bal}} + Detr_{\text{free}} \tag{22}$$

$$
\begin{aligned}
S(Detr_{\text{bal}}) \;=\;& (1-\gamma)\left(G_{Detr_{\text{bal}}} + (G_Z + G_{CP})\text{R}_{\text{bal:tot}}\right) + (m_Z Z + m_{CP} CP)\text{R}_{\text{bal:tot}} - G_{Detr_{\text{bal}}} \\
& - \frac{\text{R}_{\text{bal:tot}}\,\lambda_{\text{CaCO}_3}\,[\text{CaCO}_3]}{\text{R}_{\text{CaCO}_3\text{:POC}}\,\text{R}_{\text{C:N}}} - w_C \frac{\partial Detr_{\text{bal}}}{\partial z}
\end{aligned} \tag{23}
$$

where γ is the food assimilation efficiency, $\text{R}_{\text{CaCO}_3\text{:POC}}$ is a fixed production ratio of CaCO$_3$ to organic detritus, $\text{R}_{\text{C:N}}$ is a Redfield molar ratio, and w_C is the sinking speed of calcite. Free detritus is described as:

$$
\begin{aligned}
S(Detr_{\text{free}}) \;=\;& (1-\gamma)\left(G_Z + G_{DZ} + G_{DT} + G_{Detr_{\text{free}}} + G_Z(1-\text{R}_{\text{bal:tot}}) + G_{CP}(1-\text{R}_{\text{bal:tot}})\right) \\
& + m_Z Z^2(1-\text{R}_{\text{bal:tot}}) + m_{DZ} DZ + m_{DT} DT + m_{LP} LP \\
& + m_{CP} CP(1-\text{R}_{\text{bal:tot}}) - \mu_D Detr_{\text{free}} - G_{Detr_{\text{free}}} \\
& + \frac{\text{R}_{\text{bal:tot}}\,\lambda_{\text{CaCO}_3}\,[\text{CaCO}_3]}{\text{R}_{\text{CaCO}_3\text{:POC}}\,\text{R}_{\text{C:N}}} - w_D \frac{\partial Detr_{\text{free}}}{\partial z}
\end{aligned} \tag{24}
$$

where μ_D is the detrital remineralisation rate and w_D is the sinking speed of organic detritus.

2.2.5 Detrital Iron

Iron in detritus follows Nickelsen et al. (2015), but with the additional contribution of calcifiers and diatoms:

$$
\begin{aligned}
S(Detr_{\text{Fe}}) \;=\;& \text{R}_{\text{Fe:N}}\left((1-\gamma)(G_{LP} + G_{DZ} + G_{DT} + G_{Detr_{\text{tot}}} + G_Z + G_{CP})\right. \\
& + m_{LP} LP + m_{DZ} DZ + m_{DT} DT + m_Z Z^2 + m_{CP} CP - G_{Detr_{\text{tot}}}) \\
& + [\text{Fe}]_{\text{orgads}} + [\text{Fe}]_{\text{orgads}_{ca}} + [\text{Fe}]_{\text{col}} - \mu_D Detr_{\text{Fe}} - w_D \frac{\partial Detr_{\text{Fe}}}{\partial z}.
\end{aligned} \tag{25}
$$

$\text{R}_{\text{Fe:N}}$ is a fixed iron to nitrogen ratio that converts total organic carbon detritus sources into iron units. The remineralisation and sinking of detrital iron occurs at the same rates as free organic detritus. Two scavenging processes are considered: adsorption of dissolved iron onto organic detritus ($[\text{Fe}]_{\text{orgads}}$):

$$[\text{Fe}]_{\text{orgads}} = k\text{Fe}_{\text{org}} \times [\text{Fe}]_{\text{prime}} \tag{26}$$

and particulate CaCO$_3$ ($[\text{Fe}]_{\text{orgads}_{ca}}$):

$$[\text{Fe}]_{\text{orgads}_{ca}} = k\text{Fe}_{\text{ca}} \times [\text{Fe}]_{\text{prime}} \tag{27}$$

calculated as a function of free organic detritus and carbonate particles, respectively, a scavenging rate constant ($k\mathrm{Fe_{org}}$ and $k\mathrm{Fe_{ca}}$), iron available for scavenging ($\mathrm{[Fe]_{prime}}$), and inorganic precipitation of dissolved iron into colloidal material ($\mathrm{[Fe]_{col}}$), which is calculated as a linear function independent of organic particle concentration and unchanged from Nickelsen et al.

200 (2015). While calcite is known to be a powerful scavenger of iron and other trace metals (Olsson et al., 2014), the strength of plankton-derived calcite in scavenging dissolved iron is unquantified. A test of this sensitivity is planned at a later stage, so for now the calcite scavenging parameter value is set equal to that of organic detritus.

2.2.6 Calcite

As in Kvale et al. (2015b), the source and sink terms for $\mathrm{CaCO_3}$ include both phytoplankton calcifier and zooplankton sources

205 from grazing and mortality, and losses from dissolution and sinking:

$$S([\mathrm{CaCO_3}]) = ((1-\gamma)(G_{CP}+G_Z) + m_{CP}CP + m_Z Z)\,\mathrm{R_{CaCO_3:POC}}\,\mathrm{R_{C:N}} - \lambda_{\mathrm{CaCO_3}}[\mathrm{CaCO_3}] - w_C \frac{\partial[\mathrm{CaCO_3}]}{\partial z} \quad (28)$$

where w_C is the sinking speed of calcite, and the dissolution specific rate ($\lambda_{\mathrm{CaCO_3}}$) is calculated using a parameterisation from Aumont et al. (2003); Kvale et al. (2015b).

Calcite associated with living plankton is calculated separately (but not traced) as:

210 $S[\mathrm{CaCO_3}]_{\mathrm{liv}} = (S(CP) + S(Z))\,\mathrm{R_{CaCO_3:POC}}\,\mathrm{R_{C:N}}.$ \hfill (29)

2.2.7 Opal

The production of biogenic opal is calculated as a function of the diatom grazing and linear mortality loss terms:

$$\mathrm{Pr(Opal)} = ((1-\gamma)\,G_{DT} + m_{DT}DT)\,\mathrm{R_{Opal:POC}}\,\mathrm{R_{C:N}} \quad (30)$$

where $\mathrm{R_{Opal:POC}}$ is a production ratio that varies as:

215 $\mathrm{R_{Opal:POC}} = \mathrm{R_{Opal:POC,0}}\,(\min(\frac{[\mathrm{Si}]}{k_{\mathrm{Si}}},1)\,(4 - 3 \times \min(\frac{[\mathrm{Fe}]}{k_{\mathrm{Fe}DT}},1))).$ \hfill (31)

This parameterisation was introduced by Aumont et al. (2003) and yields an average surface opal:free detritus export value of around 1 across the Southern Ocean, using a fixed average ratio ($\mathrm{R_{Opal:POC,0}}$) of 0.5. Production of lithogenic opal occurs mostly on land (Tréguer and De La Rocha, 2013), so its contribution to marine silicate cycling is included simplistically via the dissolved silicate river flux calculation.

220 We present a novel opal dissolution parameterisation based on evidence that opal dissolution rates increase when organic coatings are stripped away by strong bacterial activity (Sarmiento and Gruber, 2006). We approximate an exponential flux function and apply our e-folding temperature parameterisation to represent microbially enhanced dissolution in mol Si m^{-3} units:

$$\mathrm{Di(Opal)} = \int \mathrm{Pr(Opal)}dz\,\frac{d}{dz}\,(\frac{\lambda_{\mathrm{Opal}}}{w_{\mathrm{Opal}}}\,\exp^{\frac{T}{T_b}}). \quad (32)$$

225 This parameterisation results in greater dissolution at warm temperatures and is similar to the instant-sinking-and-dissolution function applied to model calcite (Schmittner et al., 2008) (although, the function for calcite was replaced when a prognostic tracer was added by Kvale et al., 2015b). We find this parameterisation offers simplicity and consistency with other temperature-dependent rates in KMBM3, as well as adding mechanistic realism, and improving model fit to World Ocean Atlas silica distributions (relative to other parameterisations that we tested, e.g. the temperature-dependent parameterisation
230 of Gnanadesikan (1999) or the temperature and oxygen-dependent parameterisation of Enright et al. (2014)). The Gnanadesikan (1999) parameterstion yields lower dissolution rates at low temperatures than the Enright et al. (2014) parameterisation, which is similarly formulated but which includes an additional oxygen scaling. The Enright et al. (2014) oxygen scaling is not justified in their model description, but it has the effect of increasing Si dissolution rates in the deep ocean (exacerbating the overestimation of Si dissolution in this region by the Gnanadesikan (1999) scaling described in Ridgwell et al. (2002))
235 and decreasing Si dissolution rates (to a lesser extent) in the near-surface. Our temperature scaling has the effect of raising dissolution rates at the surface.

2.2.8 Particle Sinking

Detritus (Schmittner et al., 2005), calcite (Kvale et al., 2015b), and iron (Nickelsen et al., 2015) particles are exported from the surface with a sinking speed (w) that increases linearly (wdd, wdc) with depth (z; Berelson 2001) for calcite and ballasted
240 organic detritus:

$$w_C = w_{C,0} + wdc \times z \tag{33}$$

and free detritus and associated iron:

$$w_D = w_{D,0} + wdd \times z. \tag{34}$$

Alternative parameterisations exist and their effects on fluxes and model performance make for interesting comparisons (e.g.,
245 Cael and Bisson, 2018), but we do not explore them here. The initial surface sinking speeds of particles are assigned different values to represent the denser structure of $CaCO_3$ relative to that of organic detritus. Ballasted detritus sinks at the $CaCO_3$ speed, but once it enters the free pool it uses the organic detrital sinking speed and remineralisation rate. Any organic detritus reaching the sediments is dissolved back in to the water column to ensure conservation of carbon and phosphate in the model domain. Calcite particles that reach the seafloor enter the sediment model (Kvale et al., 2015b) if it is active, though we
250 do not use it here (in this case the particles dissolve). Iron detritus reaching the sediments is lost from the ocean, unless bottom water oxygen falls below $5 \, \mathrm{mmol \, m^{-3}}$, whereupon detrital iron reaching the bottom is dissolved back into the water column (Nickelsen et al., 2015). By definition, iron is not mass-conserving in the model, but is formulated with open boundary conditions (atmosphere and sediments) - hence it is not just the oxygen threshold that can cause a loss or gain of marine iron. In the model the oxygen threshold is only met along coastal boundaries in the north Pacific under modern conditions. A
255 sedimentary release of dissolved iron ($[\mathrm{Fe}]_{\mathrm{sed}}$) is prescribed as in Nickelsen et al. (2015):

$$[\mathrm{Fe}]_{\mathrm{sed}} = \mathrm{R_{Fe:P_{sed}}} \, \mathrm{F_{POP}} \, \exp^{\frac{T}{T_b}} \tag{35}$$

where $R_{Fe:P_{sed}}$ is a ratio of iron released from sediment in proportion to the flux of phosphorus in the organic detritus (F_{POP}, which includes free and ballast detritus) reaching the bottom.

Opal reaching the seafloor is either returned to the silicic acid tracer or considered lost to the sediments (bSi) according to criteria laid out in Sarmiento and Gruber (2006):

$$
\text{For F(Opal)} > 2 \text{ mmol m}^{-2}\text{d}^{-1} \quad \text{bSi} = 0.3 \times \text{F(Opal)}
$$
$$
\text{For F(Opal)} \leq 2 \text{ mmol m}^{-2}\text{d}^{-1} \quad \text{bSi} = 0.05 \times \text{F(Opal)}
$$
(36)

Opal flux, F(Opal), is calculated as depth-integrated opal production (Pr(Opal)) less the depth-integrated instantaneous dissolution term (Di(Opal)). The model conserves silica when river fluxes are allowed to compensate for the net change due to the ocean sedimentary sink, hydrothermal input, and atmospheric deposition.

The sub-grid bathymetric scaling that was introduced for the iron model in Nickelsen et al. (2015) is extended here to apply to all particle fluxes reaching the bottom ocean grid. This scaling feature calculates a sedimentary exchange factor based on the proportion of each grid cell that falls outside of the model grid's depth according to a high-resolution bathymetric dataset. This scaling is used to account for high-relief bathymetric features, such as ridges and troughs, in the sediment transfer functions. We do not use the sediment model in this manuscript.

2.2.9 Dissolved Inorganic Tracers

Ocean nutrient sources and sinks (in concentration/time units) follow:

$$
\begin{aligned}
S([\text{PO}_4^{3-}]) = & (\mu_D Detr_{\text{free}} + \mu^*_{LP}LP + \mu^*_{CP}CP + \mu^*_{DT}DT + (\gamma - \varpi)(G_{LP} + G_{CP} + G_{DT} + G_{DZ} \\
& + G_{Detr_{\text{free}}} + G_Z) - J_{LP}LP - J_{CP}CP - J_{DT}DT - J_{DZ}DZ) \, R_{P:N}
\end{aligned}
$$
(37)

$$
\begin{aligned}
S([\text{NO}_3^-]) = & (\mu_D Detr_{\text{free}} + \mu^*_{LP}LP + \mu^*_{CP}CP + \mu^*_{DT}DT + (\gamma - \varpi)(G_{LP} + G_{CP} + G_{DT} + G_{DZ} \\
& + G_{Detr_{\text{free}}} + G_Z) - J_{LP}LP - J_{CP}CP - J_{DT}DT - u_{\text{NO}_3}J_{DZ}DZ)(1 - 0.8 \, R_{O:N} \, r^{\text{NO}_3^-}_{sox})
\end{aligned}
$$

where $R_{P:N}$ and $R_{O:N}$ are Redfield molar ratios and u_{NO_3} is nitrate availability (diazotrophs use nitrate when available). In suboxic water (less than 5 mmol m^{-3}), oxygen consumption is replaced by denitrification ($r^{\text{NO}_3^-}_{sox}$):

$$
r^{\text{NO}_3^-}_{sox} = \max(0, 0.5(1 - \tanh([\text{O}_2] - 5))).
$$
(38)

There are no additions of phosphate, nitrate, or oxygen along the boundary (with the exception of air/sea gas exchange in the case of oxygen) (Keller et al., 2012).

Dissolved iron includes sources and sinks of particulate iron mentioned above, as well as prescribed dust deposition ($[\text{Fe}]_{\text{dust}}$) as in Nickelsen et al. (2015) and hydrothermal iron ($[\text{Fe}]_{\text{hydr}}$) (Muglia et al., 2017) boundary terms (also in concentration/time

$$
\begin{aligned}
S([\text{Fe}]) = {} & \text{R}_{\text{Fe:N}} \left(\mu_D Detr_{\text{tot}} + \mu_{LP}^* LP + \mu_{CP}^* CP + \mu_{DT}^* DT + (\gamma - \varpi)(G_{LP} + G_{CP} + G_{DT} + G_{DZ} \right. \\
& \left. + G_{Detr_{\text{tot}}} + G_Z) - J_{LP}LP - J_{CP}CP - J_{DT}DT - J_{DZ}DZ \right) \\
& - [\text{Fe}]_{\text{orgads}} - [\text{Fe}]_{\text{col}} + \mu_D Detr_{\text{Fe}}
\end{aligned} \tag{39}
$$

$$
B([\text{Fe}]) = [\text{Fe}]_{\text{sed}} + [\text{Fe}]_{\text{dust}} + [\text{Fe}]_{\text{hydr}} \tag{40}
$$

The Nickelsen et al. (2015) model applied the iron dust flux to the surface ocean after the biological routine and before the mixing routine. This resulted in low model sensitivity to iron dust inputs, because the dust was mixed away faster than the biological processes had a chance to access it. In this version, the iron dust flux is added prior to the biological routine (which operates on a shorter timestep than ocean mixing), and results in a greater biological sensitivity to iron dust flux.

DIC and alkalinity tracer sources and sinks are a function of sources and sinks of prognostic $CaCO_3$ (Kvale et al., 2015b).

$$
\begin{aligned}
S([\text{DIC}]) = {} & S([PO_4^{3-}]) \, \text{R}_{\text{C:P}} + \lambda_{CaCO_3}[CaCO_3] - S[CaCO_3]_{\text{liv}} \\
& - ((1-\gamma)(G_Z + G_{CP}) + m_Z Z + m_{CP} CP) \, \text{R}_{CaCO_3:\text{POC}} \, \text{R}_{\text{C:N}}
\end{aligned} \tag{41}
$$

$$
\begin{aligned}
S([\text{Alk}]) = {} & -S([PO_4^{3-}]) \, \text{R}_{\text{C:P}} + 2 \left(\lambda_{CaCO_3}[CaCO_3] - S[CaCO_3]_{\text{liv}} \right) \\
& - 2 \left((1-\gamma)(G_Z + G_{CP}) + m_Z Z + m_{CP} CP \right) \, \text{R}_{CaCO_3:\text{POC}} \, \text{R}_{\text{C:N}}
\end{aligned} \tag{42}
$$

With the exception of air/sea gas exchange of CO_2 there are no boundary additions of DIC or alkalinity when the sediment model is deactivated (as is presented here).

Dissolved silicic acid tracer sources, sinks, and boundary terms (all in concentration/time units) follow:

$$
S([\text{Si}]) = \text{Di}(\text{Opal}) - \text{Pr}(\text{Opal}) \tag{43}
$$

$$
B([\text{Si}]) = [\text{Si}]_{\text{riv}} + [\text{Si}]_{\text{dust}} - [\text{Si}]_{\text{sed}} + [\text{Si}]_{\text{hydr}} \tag{44}
$$

where discharge from rivers ($[\text{Si}]_{\text{riv}}$) is used as a budget balancing term to compensate for any remainder in the other external sources and sinks: windborne dust ($[\text{Si}]_{\text{dust}}$), hydrothermal silicate ($[\text{Si}]_{\text{hydr}}$), and loss to the sediments ($[\text{Si}]_{\text{sed}}$). Dust deposition from the atmosphere is prescribed using an interpolated monthly pre-industrial dust flux derived from the NCAR's Community Climate System Model (Mahowald et al., 2006). The silica content of the dust is derived from maps (Zhang et al., 2015), with a global average dust solubility of 3% assumed to produce annual bioavailable fluxes in agreement with estimates (Sarmiento and Gruber, 2006; Tréguer and De La Rocha, 2013). Observations demonstrate wide spatial variability in the solubility parameter (Tréguer and De La Rocha, 2013) so our single value represents a simplification. Silicate from hydrothermal sources are prescribed using a static mask scaled from the hydrothermal iron mask (Muglia et al., 2017) using a Fe:Si ratio to obtain the estimated annual total contribution from hydrothermal sources in Sarmiento and Gruber (2006).

3 Model Assessment in a Modern Climate

The model is spun up to equilibrium (greater than 15,000 years) at year 1765 boundary conditions, then forced with historical data for comparison to observational datasets. Forcing includes historical atmospheric CO_2 concentrations, agricultural land cover, volcanic radiative forcing, sulphate aerosol and CFC concentrations, changes in land ice and solar forcing (Machida et al., 1995; Battle et al., 1996; Etheridge et al., 1996, 1998; Flückiger et al., 1999, 2004; Ferretti et al., 2005; Meure et al., 2006). Solar insolation at the top of the atmosphere, wind stress, and wind fields vary seasonally (Kalnay et al., 1996), and wind fields are geostrophically adjusted to air temperature anomalies (Weaver et al., 2001).

Table 6 lists key biogeochemical properties diagnosed by the model for a modern climate, as well as corresponding properties diagnosed from the Keller et al. (2012) version. We compare model output at year 2004, although data sources reflect a range of collection and publication dates. Net primary production (NPP) is similar to previous model versions (52.3 Pg C y^{-1}, e.g. compared to 54.3 Pg C y^{-1} in Keller et al. 2012), and still within the literature range of 44–78 Pg C y^{-1} (e.g., Carr et al., 2006; Jin et al., 2006). Calcite production is similar to and nitrogen fixation rates are somewhat improved with respect to earlier model versions, though remain too low, as do deep particle fluxes of particulate organic carbon (POC) and shallow and deep calcite (also referred to as PIC, for particulate inorganic carbon). Diagnosed surface opal production and deep ocean (2 km depth) opal flux are about 50% less than the Tréguer and De La Rocha (2013) estimates. Accordingly, there is too little dissolution of opal throughout the water column (less than half of the observational estimate in Tréguer and De La Rocha, 2013). However, the calculated flux of silicate through the seafloor is nearly 3 times higher than estimated by Tréguer and De La Rocha (2013). An overestimation of seafloor flux is potentially corrected with an increase in open ocean opal dissolution rates or an increase in benthic return. However, a reduction of seafloor silicate flux would result in an even smaller river silicate input (which is already less than half the observational estimate). Another potential model correction could be the addition of a siliceous sponge sink in the model, which could reduce the seafloor flux without reducing the river flux. The strength of the sponge sink has been estimated at 3.7±3.6 Tmol Si y^{-1} (Tréguer and De La Rocha, 2013), but the authors prefer to delay implementation until these large error bars can be reduced. It should be noted that the global silica cycle may be unbalanced between sources and sinks at present and observations of global input and output rates are both affected by anthropogenic perturbations and have large uncertainties (Tréguer and De La Rocha, 2013). Atmospheric and hydrothermal silica inputs are fixed in these simulations, but each source and sink of silicate produces a unique spatial distribution in the water column. These terms are slated for automated calibration in the future (e.g., Yao et al., 2019; Kriest, 2017; Kriest et al., 2017), thus, our hand-tuned simulations are meant to serve as a baseline for future improvements to the model parameter values.

Total global phytoplankton biomass is on the low end, but within the range of, previous estimates (0.80 Pg C, increased from 0.53 in Keller et al. 2012, compared to 0.5-2.4 Pg C from Buitenhuis et al. 2013; Table 6). KMBM3 explicitly represents only a fraction of the ecological complexity found in the real ocean, which causes our biomass estimates for the phytoplankton types to look dissimilar to the Buitenhuis et al. (2013) biomass estimates (e.g., with low latitude mixed phytoplankton (a model-specific category, LP) having a biomass only 25% of the lowest picophytoplankton estimate and both diazotrophs (DZ) and calcifiers (CP) having a biomass 4 times greater than the upper observational estimate). These phytoplankton types proved

particularly difficult to tune by hand, with small variations in parameter values causing extinction. An over-estimate of calcifiers is compensated by the low PIC:POC production ratio (0.07), which is meant to represent 7% of the phytoplankton class having

350 a PIC:POC production ratio of 1 (compare to a ratio for *Emiliana huxleyi* of 0.51–2.30 from Paasche 2001). Overestimated diazotroph biomass results in an increase (0.21 Pg N y^{-1}) in nitrogen fixation compared to earlier model versions, which is still improved with respect to, but still lower than the observational independent estimate. In KMBM3, diazotrophs use preformed nitrate when available. Thus in our modelling context, this phytoplankton type can be considered "slow-growing phytoplankton capable of fixing nitrogen when necessary". Constraints on this phytoplankton type will be explored in the

355 future. Diatom biomass estimates are comparatively reasonable and are within the Buitenhuis et al. (2013) range.

Looking next at spatial distributions of biological rates, Figure 2 compares KMBM3 NPP at year 2014 to the Westberry et al. (2008) model applied to MODIS (NASA, 2018) climatology from 2012-2018. As is repeatedly found in the KMBM (see plot of the Keller et al. 2012 model NPP), open-ocean primary production rates are generally too high, particularly in the eastern equatorial Pacific and northern Indian Ocean. These very high-production zones compensate in the globally integrated

360 rate estimate for the diffuse and more widespread production calculated from satellites. The spatial pattern in NPP has changed very little from earlier model versions, with a continued under-estimate of NPP occurring within gyres and an over-estimate of NPP in the 40-60 degree N and S ranges.

Spatial biases in NPP are also held in surface calcite concentrations. Figure 3 compares KMBM3 CaCO$_3$ averaged between 2004-2014 with the 2002-2018 climatology data from MODIS (NASA, 2018). Model calcite is too high in the North Pacific and

365 Southern Ocean between 40 and 60 S, and too low south of 60 S. Upwelling zones and the Indian Ocean have an over-estimated role in calcite production, and coastal calcite production is either not resolved, or under-estimated.

Phytoplankton biomass is compared to the MAREDAT datasets (Leblanc et al., 2012; Luo et al., 2012; O'Brien, 2012) in Figure 4. Globally integrated diatom biomass is within observational estimates and the diatom geographical distributions agree roughly with the very sparse Leblanc et al. (2012) dataset (highest concentrations in the Southern Ocean, North Pacific, and

370 North Atlantic), though the model appears to over-estimate the North Atlantic biomass. Like the globally integrated biomass estimate, calcifiers (CP) are universally over-estimated compared to O'Brien (2012). As explained above, this phytoplankton type is meant to represent a variety of moderate growth, moderate nutrient affinity phytoplankton types, including those that calcify. Therefore it is not unexpected to have an over-estimate of the biomass. Diazotroph biomass is primarily concentrated in the tropics, which agrees spatially but not in magnitude with the limited data of Luo et al. (2012). Mixed low-latitude

375 phytoplankton (LP) is a model-specific category with no clear analogue in the MAREDAT dataset.

Interior ocean particle flux performance is encouraging. Figure 5 compares model POC, PIC, and opal fluxes at 2 km depth to the Honjo et al. (2008) data compilation. The model shows a general under-estimate with respect to the observations for all particle fluxes, particularly for intermediate rates. Root mean square error is improved beyond Kvale et al. (2015a) for PIC (103.1 compared to 147.1), and POC (96.4 versus 98.0 in Kvale et al. 2015a). Root mean square error for opal flux at 2

380 km depth is 221.0. However, the model captures large-scale features of high flux rates in the north west Pacific and Southern Ocean, as well as moderate flux rates in the Indian and North Atlantic basins.

Particle flux rates, alongside the model's ocean circulation, impact ocean nutrient distributions. Figure 6 shows carbon and nutrient profiles, globally and for each basin, compared to GLODAP (Key et al., 2015; Lauvset et al., 2016) and World Ocean Atlas (WOA) (Garcia et al., 2014a, b) data. Nutrients are generally too high at depth, and oxygen is too low, as a result of over-estimated POC flux rates in the Southern Ocean and North Atlantic. Global root mean squared error is listed in the figure for each model dissolved inorganic tracer. All tracers except phosphate and oxygen show improvement with respect to the Keller et al. (2012) model version. Alkalinity is primarily affected by PIC flux rates, and therefore shows relatively good agreement (global RMSE value of 0.332) with observations. Silicic acid has a global root mean squared error of 0.389, making it one of the better-performing dissolved tracers. However, two problematic ocean basins are apparent in profile; concentrations are too high in the Atlantic by as much as 50 mmol Si m^{-3} and too low in the Indian by as much as 50 mmol Si m^{-3}.

Greater basin and surface detail in carbon and nutrient concentrations is displayed in Figures 7-12. Interior ocean alkalinity is too high by as much as 50 μmol kg^{-1}, and surface alkalinity too low by as much as 50 μmol kg^{-1}, in the Atlantic, as it was with previous model versions (Eby et al., 2009; Keller et al., 2012; Kvale et al., 2015a). Alkalinity in the interior Pacific is now slightly too low, and the surface too high by as much as 100 μmol kg^{-1} along the Equator, whereas previously it was also over-estimated at depth and under-estimated at the surface (Kvale et al., 2015a). The physical circulation has not changed since previously published model versions, hence the new anomalies can be attributed to shifts in phytoplankon biogeography and calcification rates, and are consistent with a now lower calcite production rate in the model compared to Kvale et al. (2015a). The Indian Ocean interior alkalinity is similarly under-estimated, by as much as 50 μmol kg^{-1}. Deep ocean DIC is improved with respect to past model versions (Figure 8), though (at least compared to Kvale et al. (2015a)) this improvement might be at least partly attributed to the use of a transient year 2014 model output that includes an anthropogenic signal, instead of the pre-industrial spinup. The deep north Pacific shows a low bias of up to 50 μmol kg^{-1}, similar to previous versions. Surface DIC anomalies are also similar to previous model versions, with DIC being too low in the western Pacific (a consequence of too high export production in the model and physical biases), and too high in the surface Southern Ocean (possibly due to upstream production in the 40-60 S band being too high, which can increase regional surface concentrations). As with earlier model versions, deep ocean phosphate and nitrate concentrations (Figures 9 and 10) are also generally too high, particularly in the Southern Ocean-sourced deep and intermediate water masses in the Indian and Pacific sectors. Oxygen anomalies mirror nutrient biases (Figure 11), with oxygen being up to 50 mmol m^{-3} too low along the Southern Ocean particle conveyor belt, and up to 50 mmol m^{-3} too high in the sub-surface tropical ocean. The oxygen bias in the deep water masses can be addressed with better tuning of the biological production, export and flux parameters, but the tropical ocean deficiencies will require improvements to both the biogeochemistry as well as the physics (Oschlies et al., 2017).

Silicic acid distributions are reasonably well captured by the model (Figure 12). The model simulates the deep Southern Ocean maximum to within 40 mmol Si m^{-3}, with a high bias in the deep Atlantic, Pacific, and especially Indian sectors. Silicic acid distributions are almost entirely controlled by diatom biogeography in KMBM3 (with hydrothermal, dust, and riverine fluxes having little impact on overall patterns), so this spatial weighting to the Indian sector might represent the high bias in diatom biomass in this basin (also clearly seen in the opal flux plots). North Atlantic silicic acid gradients are well represented, while a low bias is apparent in the deep North Pacific of around 20 mmol Si m^{-3}. A low bias is also simulated in the surface

North Pacific, which possibly suggests deficiencies in the circulation within and between regional marginal seas (Nishioka et al., 2020). The North Pacific appears to perform well with respect to deep opal flux (Figure 5), but small biases in fluxes can compound with time in dissolved nutrient fields. It might also be that sedimentary processes that influence deep water silicic acid concentrations would be important to resolve in this region. Our low bias result in the deep North Pacific is interesting because the origins of the silicic acid-rich deep ocean plume in this region are still under debate (Tréguer and De La Rocha, 2013).

Seasonal succession in phytoplankton types follows the general progression of zonal maxima in diatoms preceeding calcifiers by a few weeks (albeit, in separate zonal ranges, Figure 13). This succession is due to the higher nutrient requirements, and faster growth rates, of the diatoms, which are able to take advantage of winter mixing early in the growing season. Once surface nutrient concentrations start to decline, the calcifying phytoplankton become relatively more successful. This pattern is most pronounced in the Southern Ocean. In the low latitudes, diazotroph biomass peaks earlier in the growing season than the low-latitude, non-calcifying phytoplankton (LP). Diazotrophs have a growth handicap with respect to LP, but their ability to fix nitrate gives them an advantage in more stratified summer conditions. This fixed nitrate is then used by the LP in the winter months.

4 Model Assessment Under Climate Change

In addition to the historical model forcings described earlier, from year 2005 to 2300 the simulations are forced using increasing CO_2 and non-CO_2 greenhouse gas concentrations, projected changes to the fraction of the land surface devoted to agricultural uses (calculated to year 2100 by Hurtt et al. (2011), and then held constant after), and changes in the direct effect of sulphate aerosols following "business-as-usual" RCP scenario 8.5 (RCP8.5, Riahi et al., 2007; Meinshausen et al., 2011). The wind fields continue to be geostrophically adjusted to air temperature anomalies.

4.1 Historical Changes (1964-2014)

We next explore model trends from the 1960s to the 2010s and compare to available data from this period. Significant changes are simulated to have already occurred in NPP and phytoplankton biomass, with most of the change over this period occurring since the 1980s (Figure 14). Global NPP is simulated to have declined 1.8% between 1964 and 2014, with the strongest declines in the tropics, northern mid-latitudes, and Southern Ocean due to increasing thermal stratification. That decline is dominated by the simulated loss of diatom biomass (a 8.2% decline) due to their high nutrient requirements, largely in the Southern Ocean (Figure 15). Diazotrophs are simulated to have experienced a 2.6% loss in biomass globally over this period. Calcifying phytoplankton and low latitude non-calcifying phytoplankton are simulated to have experienced a 2% and 1% gain, respectively, in net biomass. Increases in calcifying phytoplankton are simulated to have occurred in the Arctic and in the Southern Ocean and decreases are simulated to have occurred in the middle latitudes, where LP have increased their biomass. Warming, and a lengthening growing season (but increased stratification; see Arctic temperature trend in Figure 16) in the Arctic benefits calcifiers. Expansion of coccolithophores into the Arctic has been observed over recent decades (Neukermans

et al., 2018). In KMBM3 the Southern Ocean is also simulated to have experienced increasingly favourable conditions for
450 calcifiers, with diatom biomass declining as calcifiers increase.

KMBM3 simulates a slower global decline in productivity and biomass than the scarce, and controversial, satellite and in-situ chlorophyll record reconstructed by Boyce et al. (2010), who calculated a 1% per year decline in chlorophyll. KMBM3 also does not simulate a large decline prior to the 1950s (Figure 17). Wernand et al. (2013) found no historical trend in chlorophyll in their Forel-Ule ocean colour scale proxy from 1899 to 2000. However, both chlorophyll reconstructions (and
455 KMBM3) suggest strong regional variation in the historical trends. KMBM3 also simulates a smaller decline in global NPP than an earlier modelling effort, which obtained a 6.5% decline between 1960-2006 (Laufkötter et al., 2013). Their simulation similarly resulted in large declines in production in the low latitudes, which they attributed to warming and stratification over the historical period. KMBM3 simulates strong warming in the low latitudes (up to 0.5 degree warming in the zonal mean between 1964 and 2014; Figure 16). Zonal mean trends in natural radiocarbon (also Figure 16) are also positive in the upper
460 ocean, suggesting enhanced stratification and reduced vertical mixing, particularly in the tropical and subtropical Pacific and Indian Ocean basins (this is without inclusion of the Seuss effect from fossil fuel emissions). Laufkötter et al. (2013) also found strong changes in phytoplankton biogeography in the Southern Ocean and north Atlantic, which they attributed to increasing zonal wind stress and vertical mixing, and surface freshening inducing stratification, respectively. However, our models do not agree in the response of diatoms and high-latitude calcifiers, for which their model simulates high latitude increases in
465 diatoms. Our results are more similar to those of Rousseaux and Gregg (2015), who combined a model with satellite data to reconstruct ocean surface changes from 1998-2012. They simulated a global decline in diatoms over this period, which they attributed to an increase in nutrient limitation and photosynthetically available radiation, which favours other phytoplankton types. However, the Southern Ocean in their model showed no clear trend in phytoplankton community composition between 1998-2012 (Rousseaux and Gregg, 2015). The model we present here is fully competition-driven. Variable particle sinking and
470 remineralisation rates, and explicit $CaCO_3$ ballasting, further differentiate KMBM3. All of these factors may contribute to the different behaviour reported here.

Trends in biomass and NPP affect deep particle fluxes. Low latitude declines in primary production result in less deep ocean particle export in the western Pacific and Indian Ocean basins, while deep POC and PIC export is simulated to have slightly increased in the Indian and Pacific sectors of the Southern Ocean, and in the Arctic. Trends in deep ocean carbon particle export
475 in KMBM3 generally follow trends in calcifier (CP) biomass (Figure 15), as $CaCO_3$ (PIC) ballasting contributes significantly to deep export (Kvale et al., 2015b, a, 2019). Declining POC export in the North Atlantic is also simulated to have occurred, though in this region the trend is driven by an increase in diatoms, which are less efficient exporters of organic carbon. Deep ocean opal export is simulated to have declined almost globally, with the largest loss in the Southern Ocean. Opal dissolution is temperature-dependent, therefore regional warming is almost certainly contributing to the reduction of deep ocean opal flux,
480 but the loss of diatom biomass is the major driver of this trend in the Southern Ocean. Global losses of particle export across 2 km depth between 1964 and 2014 are calculated at 1.8% (POC), 1.0% (PIC), and 7.8% (opal).

Unfortunately there is no comparable historical reconstruction of deep particle fluxes over this time period. Just as with NPP, Laufkötter et al. (2013) simulated a larger decline (8%) in export production (POC) between 1960 and 2006, which they

calculated at 100 m depth. They also simulated the largest declines in the Indian Ocean and west-central Pacific basins, driven by declines in NPP. The models disagree with respect to trends in the north Atlantic, with KMBM3 producing a decline in POC and PIC deep export (due to a decrease in calcifiers), and only a small increase in opal (due to an increase in diatoms). Both Laufkötter et al. (2013) and KMBM3 simulate a historical increase in diatoms in this region; the difference in export trends can be explained by which phytoplankton type (calcifiers or diatoms) is more efficient at POC export, with calcifiers being the more efficient carbon exporter in our formulation. Likewise, KMBM3 simulates different POC export trends in the Southern Ocean as Laufkötter et al. (2013), due to differences in model structure. Increasing calcifiers in the Indian sector in KMBM3 increase POC and PIC export there, while in Laufkötter et al. (2013) diatoms regionally increase.

Changes in carbon and nutrient profiles between 1964 and 2014 (Figure 18) reflect a combination of physical-chemical uptake of anthropogenic CO_2 and the changes in ocean circulation on tracer accumulation (Figures 16 and 20). Anthropogenic carbon intrudes particularly quickly into the north Atlantic and Southern Ocean, where dissolved inorganic carbon (DIC) is simulated to have increased by more than 10 mmol C m^{-3} in zonal mean over this time period. Both regions, but particularly the Southern Ocean, are thought to be the primary regions for anthropogenic carbon uptake into the ocean interior (e.g. Khatiwala et al., 2009). The low latitudes are primary regions of carbon storage (Frölicher et al., 2015), and strong (greater than 25 mmol C m^{-3}) increases in DIC are also seen here, in all ocean basins, in the zonal mean profiles.

Declines in phosphate and nitrate are simulated in the upper ocean in all basins, with the largest zonal mean declines of up to 0.1 mmol P m^{-3} and 0.5 mmol N m^{-3}). Silicic acid increases by up to 20 mmol Si m^{-3} in the central North Pacific surface (Figure 19) due to a regional shift from DT to CP dominance. Dissolved iron shows an increasing trend in the tropical surface. Surface and subsurface (300 m depth) concentration trends (Figure 19) reveal spatial heterogeneity; with declining surface and increasing sub-surface phosphate occurring in the subarctic North Pacific (a result of increasing particle export), and increasing concentrations along the Humboldt Current (driven by a regional reduction in nitrate, not shown). These results compare favourably with surface declines in phosphate recorded in the North Pacific between 1961 and 2012 (Yasunaka et al., 2016). They also compare favourably with the recent data compilation of Stramma et al. (2020), which shows a decline in surface nutrients, and an increase in subsurface nutrients, in the subarctic Pacific. In KMBM3, this pattern is produced by the replacement of diatoms with calcifiers, who are more efficient exporters of nutrients. The UVic ESCM lacks a fully dynamic atmosphere model, and therefore does not simulate multi-decadal oscillations in climate, which have been implicated in recent Pacific interior nutrient trends (Stramma et al., 2020). This may be why KMBM3 does a poor job reproducing observations of nutrient trends observed in the central Pacific (Stramma et al., 2020). Also, increases in nitrate are found in the observed record, which KMBM3 does not simulate. This may be because KMBM3 does not include anthropogenic sources of nitrate (summarized by Stramma et al., 2020).

Significant increases in North Atlantic deep ocean concentrations (below 3000 m depth) occur in phosphate, nitrate, and silicic acid (Figure 18). A decline in maximum meriodional overturning (MOC) of about 1 Sv is apparent over this time, and the water has warmed more than 0.3 degrees (Figure 20). Warming of the Gulf Stream increases particle remineralisation rates, thereby raising nutrient concentrations along the North Atlantic Deep Water pathway.

Southern Ocean-sourced intermediate and deep water is simulated to have increased nutrient concentrations from 1964 to 2014, with a positive trend in phosphate and nitrate outcropping along the Antarctic ice margin, in qualitative agreement with the limited observations of an increasing trend in the Indian sector from 1965-2008 (Iida et al., 2013). The Southern Ocean is simulated to have experienced sub-surface increases in phosphate in the regions also experiencing increases in silicic acid and iron; this is due to the less efficient export of particles by diatoms (locally increasing over this time), relative to calcifiers.

Taken together, these results suggest declines in NPP and export production upstream of the Southern Ocean have introduced excess nutrients to the basin, raising the nutrient concentrations in Antarctic Bottom and Intermediate water masses despite declining Southern Ocean NPP. These production and export effects have been exacerbated by physical changes in the circulation; Figure 16 shows a decline in ideal age (greater than 5 years in the zonal mean) in Pacific Intermediate water and the Indian Ocean, which leads to less particle remineralisation (and hence, lower nutrient concentrations) there. Increasing water mass age in Southern Ocean-sourced intermediate and deep water masses (upwards of 30 years in the deep Pacific), likewise has the effect of producing more complete particle remineralisation, resulting in higher nutrient concentrations.

Oxygen is simulated to have declined in all ocean basins, with the exception of the sub-surface low latitudes. It has been previously estimated that the global ocean lost more than 2% of its oxygen since the 1960s (Schmidtko et al., 2017). KMBM3 simulates a 0.6% decline in total oxygen content from 1964-2014, which is an underestimate resulting from physical biases in our model (i.e., deficiencies in simulating low latitude ventilation), though biogeochemical deficiencies might also be contributing (Oschlies et al., 2017). In the deep ocean, the ageing of water masses (and associated more complete particle remineralisation) contributes to the simulated decline in oxygen. In the upper ocean, warming has reduced oxygen solubility, lowering near-surface concentrations.

4.2 Long-term Future Changes (2014-2294)

More significant changes in ocean biogeochemistry are still to come, if applied boundary forcing assumptions hold over the next centuries. Figures 21 to 24 extend the previous analysis to year 2294, with respect to year 2014 biogeochemistry. Critically, spatial patterns in NPP trends reverse, with strong increases, in places exceeding 80 gC m^{-2} y^{-1} in the zonal mean, in the Southern Ocean (Figure 21). This trend is dominated by the increase in calcifiers (CP), and to a lesser geographical extent, diatoms (DT) (Figure 15). Calcifiers also continue their historical expansion into the Arctic, while diazotrophs are simulated to significantly increase in the middle latitudes after year 2100. Low latitude phytoplankton (LP) also broadly increase in biomass between 20 S and 60 N, though the net NPP trend in the low latitudes remains negative.

This new ecosystem model responds differently to forcing than previous versions. Kvale et al. (2015a) compared the responses of the KMBM1 biogeochemistry (no calcifiers) to two versions of calcifier model, also integrated to 2300 using RCP8.5 forcing, and found the application of calcifiers eliminated the global reduction of NPP found in the Keller et al. (2012) version until around the year 2100. However, the introduction of diatoms and iron, and reorganisation of the phytoplankton community structure, produces an even larger decline in global NPP to 2100 (close to 5 Pg C y^{-1}) in KMBM3 than found in KMBM1 (less than 1 Pg C y^{-1}). The difference appears to be in the low-latitude response, where calcifiers with low nutrient requirements in the previous version maintained NPP despite stratification, partly by supporting diazotrophic nitrogen fixation

through efficient ballast removal of surface nitrate (Kvale et al., 2019). In the current model, calcifiers have a lower biomass in the low latitudes, and do not establish this symbiotic relationship with diazotrophs to the same extent. As a consequence, diazotrophs decline more strongly than previously. After 2100, NPP increases abruptly (Figure 17). Rising NPP over the long-term is a long-standing feature of the KMBM biogeochemical model formulation, and occurs because of the acceleration of nutrient recycling by the temperature-sensitive microbial loop in the low and middle latitudes (Kvale et al., 2015a). In this latest model version, this increase in NPP is much smaller (less than 6 Pg C y^{-1} by 2300, compared to 11-13 Pg C y^{-1} in previous model versions). Again, this reduced sensitivity in the low latitudes is due to the reduction of low-latitude ballast-forming calcifiers in the new version. The response of calcifiers outside of the low latitudes to ocean changes is also changed from previous versions, in that our model now simulates increases in biomass in the Southern Ocean as well as the Arctic. Whether these differences are competition effects, or due to other model changes, such as the correction of light attenuation, is difficult to assess, but it confirms the finding of Fu et al. (2016) of a strong dependence on phytoplankton community structure in model response to climate change.

Trends in phytoplankton biomass and productivity can be explained by the physical changes in the model over this time (Figures 20 and 22). Maximum meriodional overturning circulation (MOC) declines from 18 to 11 Sv over the period 1800 to 2200, before starting to increase after 2200. Slowing overturning helps to accelerate surface warming, and zonal mean temperatures in the upper north Atlantic rise more than 5 degrees by 2294. Globally increased radiocarbon in the upper ocean suggests widespread increased stratification, as well as a more complete separation between upper and lower water masses globally (as previously reported by Kvale et al., 2018, with only slightly different forcing conditions). Ideal age trends similarly show the lengthening Southern Ocean-sourced deep water pathway that extends to ventilate all ocean basins from the south, replacing the shoaled northern ventilation pathways (also described in detail in Kvale et al., 2018). Ideal ages increase more than 300 years in the deep southern Pacific and Atlantic basins, and in the deep north Atlantic, where North Atlantic Deep Water formation has declined. The net effect of these physical changes is an overall decline in low-latitude productivity (driven by increased nutrient limitation) but a strong increase in Southern Ocean productivity, with a faster biogeochemical connection between the surface ocean south of the Polar Front, and the abyssal basins (see the improved ventilation in the radiocarbon plots). At the poles, fast-growing, nutrient-demanding phytoplankton types (DT, CP) thrive, while in the lower latitudes it is the more efficient nutrient consumers (DZ and LP) who benefit.

Long-term particle export trends generally follow the historical trend, but with increasing magnitude (Figures 17 and 23). Globally integrated particle fluxes decline, and remain suppressed with respect to pre-industrial rates, for POC and opal. PIC surface export rates change very little and deep export rates increase with climate forcing as a response to increasing surface calcifier POC export fluxes (e.g., Kvale et al., 2015a). The production of both opal and PIC are scaled against their respective plankton types' POC production, so it is expected that PIC and opal fluxes follow the POC export production trend. Just as with NPP, the POC export production decline in KMBM3 is larger than in previous versions (about 2.0 Pg C y^{-1} by 2100, rather than 1.5 PgC y^{-1}).

POC and PIC fluxes increase where calcifying phytoplankton biomass also increases; south of 40 S, in the eastern equatorial Pacific upwelling zone, and along the Kuroshio Current into the North Pacific (Figure 23). Strong decreases in calcifiers, and

associated deep carbon export, occur in the Indian Ocean and North Atlantic. Opal export declines by more than 100 mmol Si m^{-2} y^{-1} both south of 40 S and north of 40 N (with only small changes in the Arctic). However, opal export (and diatoms) increase south of 60 S, where increased nutrients (Figure 24), particularly iron, and a short growing season favours diatoms over calcifiers.

The historical trend in carbon and nutrients is similarly extended, with continuing increases in DIC in the upper ocean (as atmospheric CO_2 continues to enter the ocean), declines in low latitude upper ocean nutrients phosphate, nitrate, and silicic acid (due to decreasing resupply from the deep ocean), increases in the deep ocean in the same nutrients, and widespread declines in oxygen (Figure 24). Oxygen declines along the Southern Ocean- abyssal global ocean pathway due to both warming and increasing particle remineralisation, which is also responsible for the increasing nutrient concentrations in the deep ocean. Decreasing phosphate and nitrate concentrations in the sub-surface tropical ocean basins are a product of declining particle remineralisation there, brought about by both warming, which shoals remineralisation and increases respiration rates, and a shift to less efficiently exporting phytoplankton (LP).

The striking trend in dissolved iron that emerges in these future projections of strongly increasing (more than 80 nmol m^{-3} in the zonal mean) concentrations in the upper ocean was previously described by Nickelsen et al. (2015). They attributed the increase to stratification "trapping" aerosol iron near the surface. However, the regions showing the greatest increases in dissolved iron are also the regions experiencing both strong declines in NPP (and hence, lower iron uptake) and strong declines in particle export (and hence, less particulate iron scavenging and removal). The loss of calcifiers in the Indian Ocean and central Pacific particularly increases iron concentrations there, because of the dual effect of reduced POC and PIC scavenging of iron.

Our future simulation results broadly agree with other long-term simulations in the sustained, and significant, increase in Southern Ocean primary production that couples with a reorganisation of deep ocean circulation to produce a long term "nutrient trapping" effect in Southern Ocean-sourced interior water masses (e.g., Moore et al., 2018; Kvale et al., 2019). Near-surface increases in iron, and decreases in nitrate, phosphate, and silicic acid, have also been observed to 2100 in a comparison of 9 other earth system models by Fu et al. (2016). These same models also simulate weak to strong increases in diatoms in the Southern Ocean to 2100, though in most, if not all, of them, diatoms are the most efficient exporters of carbon and nutrients (unlike in KMBM3). Phytoplankton community composition and export formulation was discussed by Fu et al. (2016) to be of critical importance in determining trends in NPP, nutrients, and particle export over the coming century, thus a diversity of model formulations benefits our understanding of how the global ocean ecosystem might change in the future.

5 Conclusions

Our manuscript describes a new model of the marine silicate cycle (KMBM3), evaluates its performance against previous KMBM versions as well as key biogeochemical data derived from observations of the ocean, and compares long-term ecosystem projections to similar models available in the literature. We find our new model shows general improvement in the repre-

sentation of nutrients and particle fluxes and is mechanistically more realistic, with the added complexity of iron, calcite, and silicate merged into a single model code.

Simulations using our new model suggest diatoms have been, and will continue to be, the losers as the earth system warms. Their high nutrient requirements prove a disadvantage as the upper ocean stratifies, and small gains in productivity provided by sea ice retreat cannot compensate for the fact that their southern bound is ultimately limited geographically. Calcifying phytoplankton with more moderate nutrient requirements are the big winners across the high latitudes, while in the tropics slow-growing, less nutrient-hungry phytoplankton are projected to thrive. From a deep ocean carbon sequestration perspective, the loss of diatom export production is of transient importance, as the calcifying phytoplankton increase their role in carbon export, efficiently sinking organic carbon as well as carbonate.

Our simulations also reveal the past may not accurately portray future trends, as evidenced by simulated historical declines in NPP in the Southern Ocean that reverse as conditions become more favourable for calcifiers. Significant and rapid increases in dissolved iron in the low latitude tropical ocean is another potential biogeochemical "surprise", still to come, if anthropogenic emissions of carbon follow the present trajectory.

Several novel aspects of KMBM3, including iron scavenging by calcite, silicate source and sink strengths, and different zooplankton grazing preferences are slated for further study. The impact of variable stoichiometry is another important potential aspect of biogeochemical modelling that is not explored here. More complete parameter assessment is planned in the context of offline parameter optimisation and model calibration experiments (e.g., Kriest, 2017; Kriest et al., 2017; Yao et al., 2019) in the future, as is merging this new biogeochemical model into the latest UVic ESCM version 2.10 (Mengis et al., 2020). We look forward to further refinements, and the many applications of this model to come.

Code and data availability. Data and model code used in the writing of the manuscript is available on the OPeNDAP GEOMAR server at https://dx.doi.org/20.500.12085/34412098-27f9-4cbb-992d-12d0d342aa45. The KMBM3 code released with our manuscript is only part of all model code required to use the UVic ESCM version 2.9, Updates 02. The UVic ESCM model code is found at http://terra.seos.uvic.ca/model/. The KMBM3 code is provided freely, but with the requirement that prospective users contact K Kvale with their research plans to avoid parallel projects emerging.

Instructions for model use

The KMBM3 code described, and released, with our manuscript is only part of all code needed to use the UVic ESCM version 2.9. UVic ESCM 2.9 Updates 02 must be downloaded from http://terra.seos.uvic.ca/model/. Please follow the instructions on this webpage for installation and use.

KMBM3 code released with our manuscript is available at https://dx.doi.org/20.500.12085/34412098-27f9-4cbb-992d-12d0d342aa45. The code should be called first in the "mk.in" control file, with subsequent calls to the base model code.

Author contributions. KK designed the model, and wrote the model code and paper. DPK, WK, CS, and WY contributed model code and bug fixes from previous model versions. KJM and AO contributed to the design of the model. All co-authors contributed to the analysis of the transient simulations and edited the manuscript.

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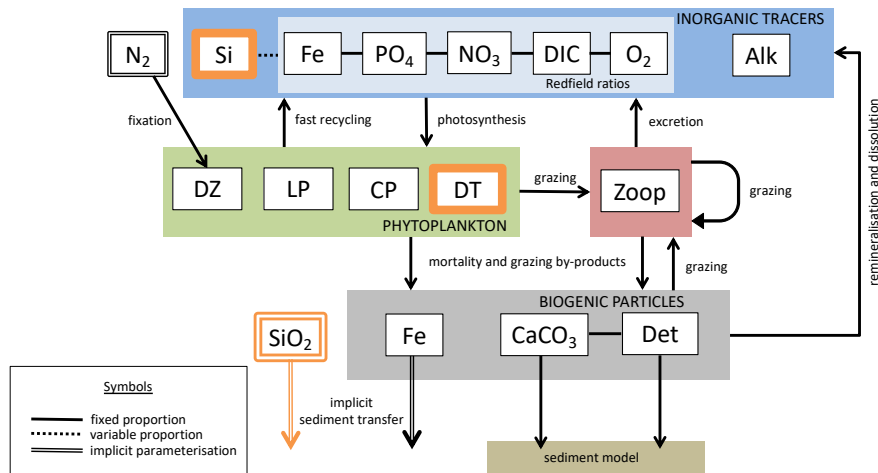
Figure 1. The latest biogeochemical model structure for the KMBM3. Previously unpublished features are shown in orange.

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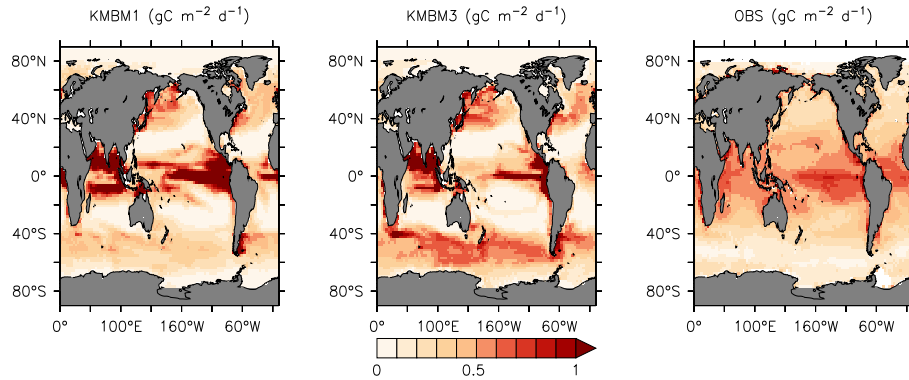
Figure 2. Comparison of model NPP output at 2014 (left panel; Keller et al. (2012), and middle panel; this model) and satellite-derived NPP climatology (NASA, 2018), 2012-2018 (right panel) in gC m^{-2} day^{-1}.
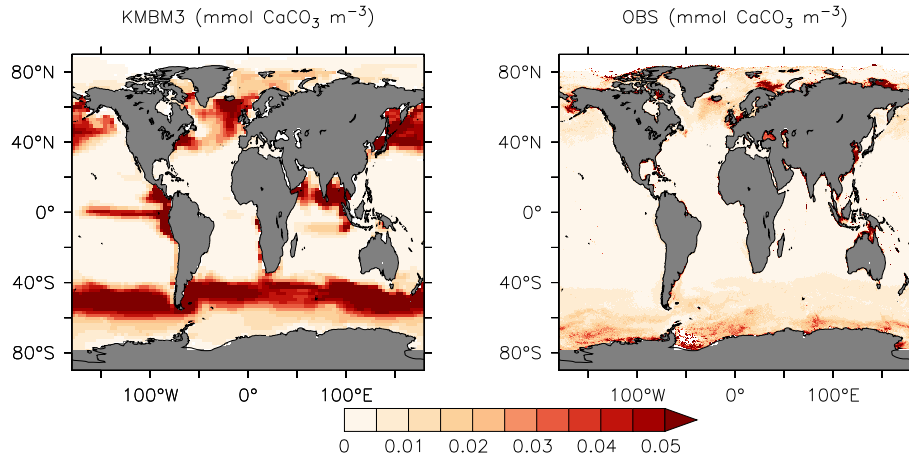
Figure 3. Comparison of model average 2004-2014 (left panel) and satellite CaCO$_3$ climatology, 2002-2018 (right panel) in mmol CaCO$_3$ m^{-3}. Data product is scaled by the model grid in the z direction and in both plots only the upper 20 meters are represented, where uniform coccolith concentration is assumed (Balch and Utgoff, 2009).
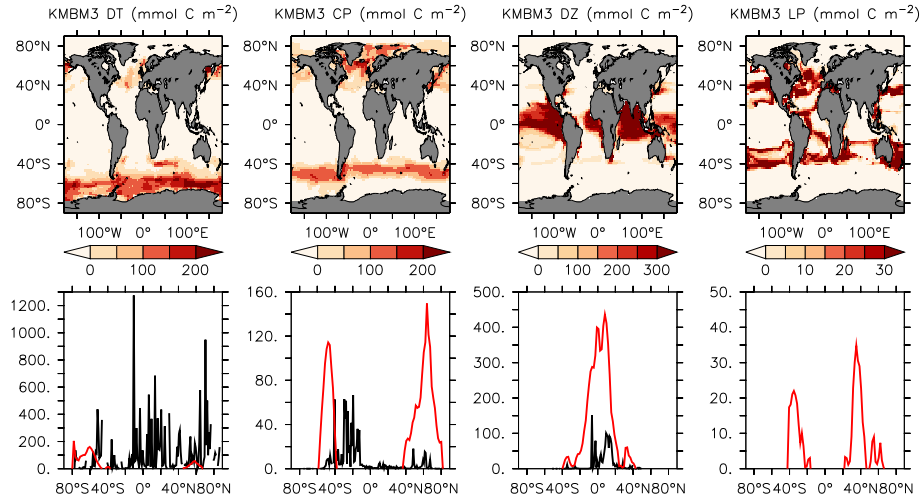
Figure 4. Annually-averaged and depth-integrated model biomass at year 2014 (top row). Zonally-averaged and depth-integrated model biomass plotted with the equivalent MAREDAT compilations (bottom row; Leblanc et al., 2012; O'Brien, 2012; Luo et al., 2012, no data compilation of "mixed" phytoplankton, a model-specific category). All units are mmol C m^{-2}. Black lines represent MAREDAT, red lines are the model output.
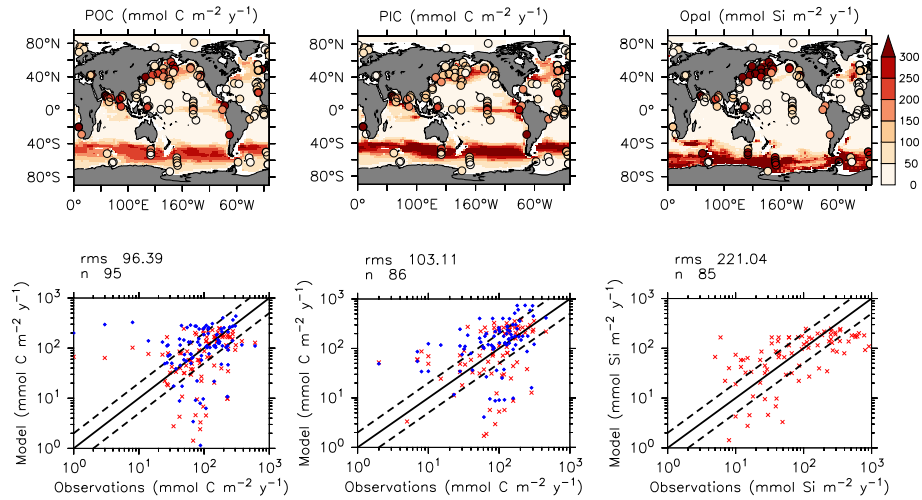
Figure 5. Comparison of model POC (left panels), CaCO$_3$ (middle panels), and opal (right panels) fluxes at year 2004 and 2 km depth with Honjo et al. (2008) data. Red points are this model (KMBM3), blue points are the Keller et al. (2012) model (KMBM1). Statistics are given for KMBM3 only.
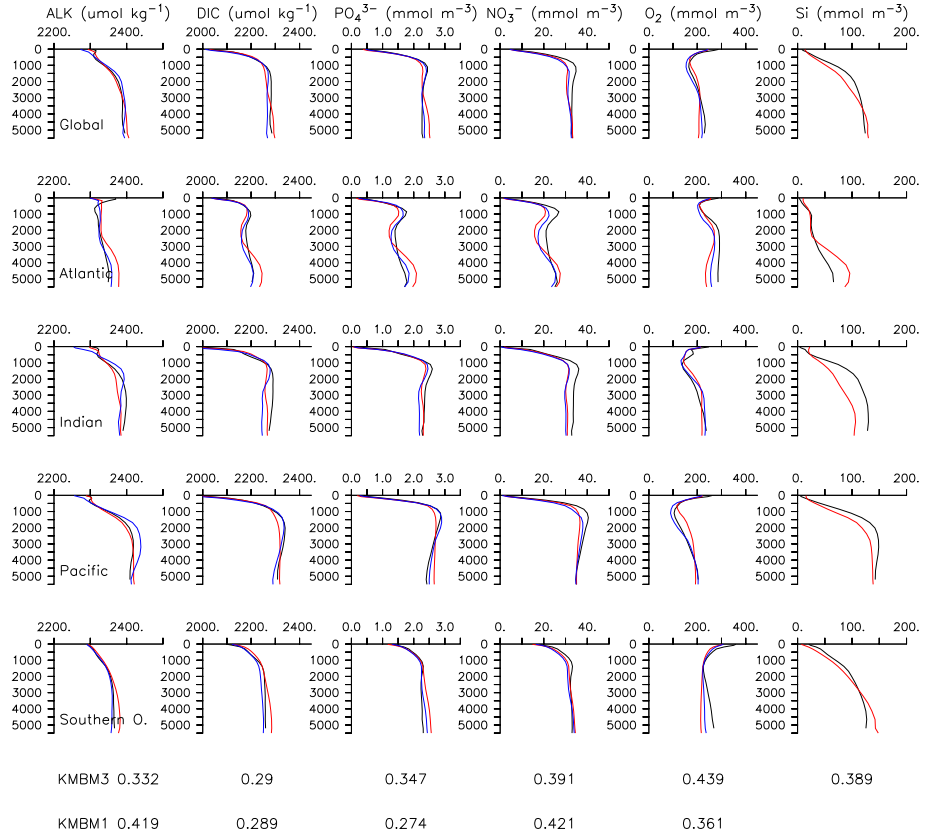
Figure 6. Model global and basin average nutrient and carbon depth profiles at year 2014 (KMBM3; red lines), compared to model output from the Keller et al. (2012) version (KMBM1; blue lines) and observational data (black lines; Garcia et al., 2014a, b; Key et al., 2015; Lauvset et al., 2016). Global root mean square error is given below each column.
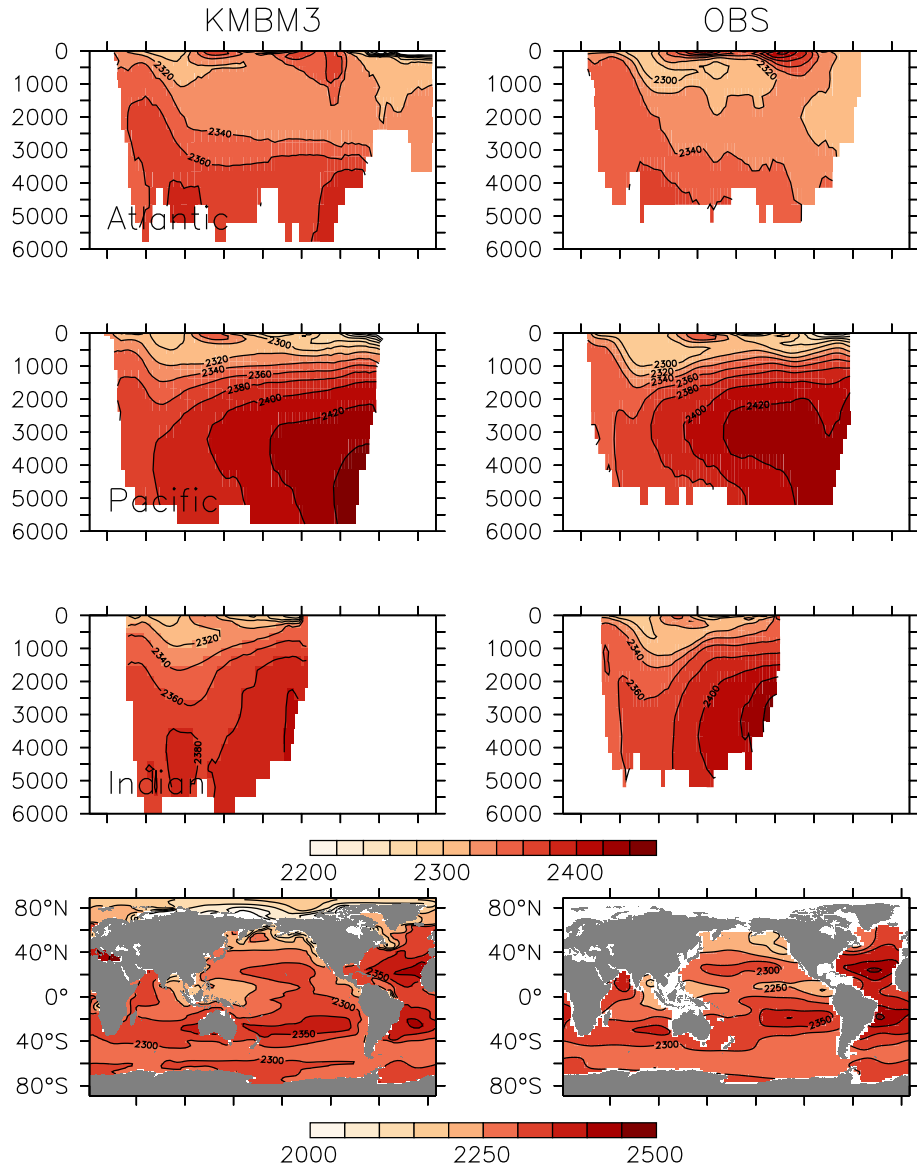
Figure 7. Model (left column) year 2014 alkalinity (μmol kg^{-1}) averaged by basin compared to GLODAP (right column; Key et al., 2015; Lauvset et al., 2016).
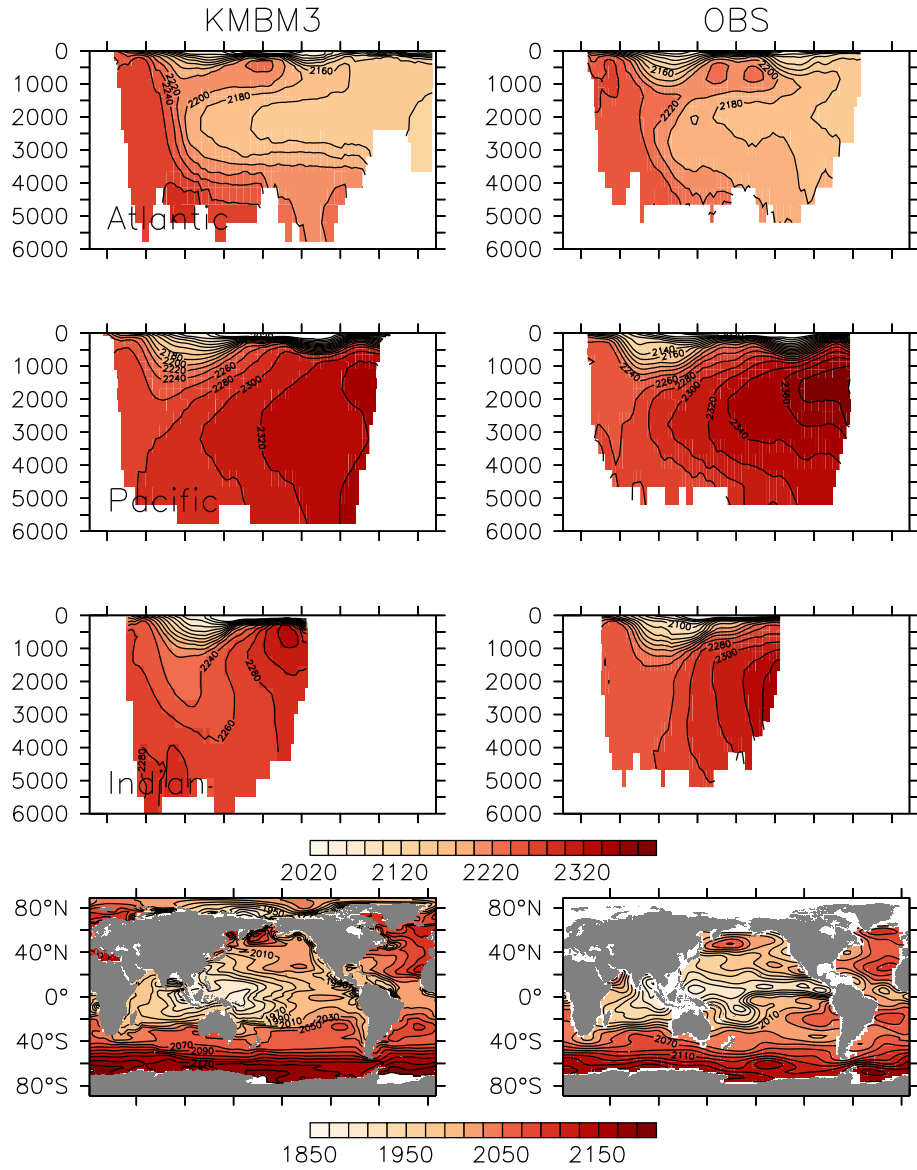
Figure 8. Model (left column) year 2014 DIC (μmol kg^{-1}) averaged by basin compared to GLODAP (right column; Key et al., 2015; Lauvset et al., 2016).
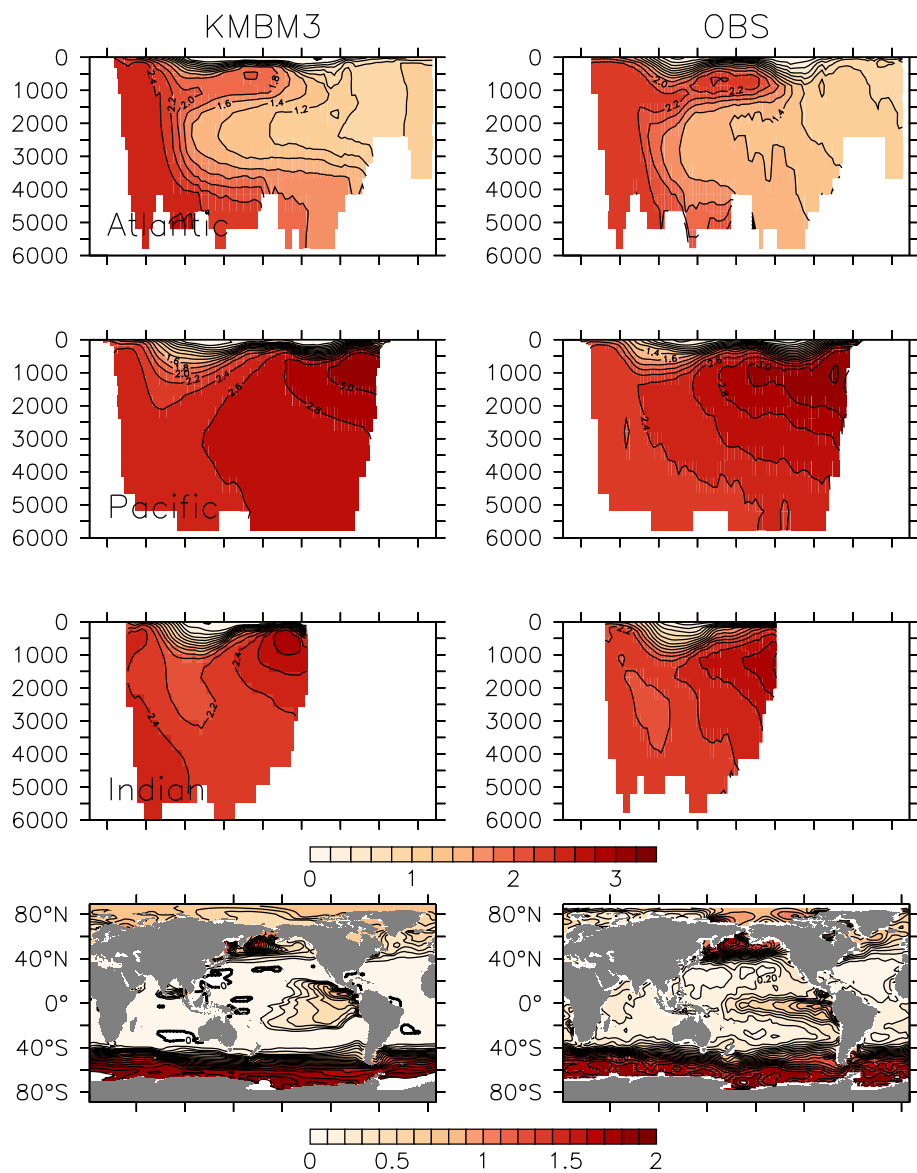
Figure 9. Model (left column) year 2014 PO$_4^{3-}$ (mmol m^{-3}) averaged by basin compared to WOA (right column; Garcia et al., 2014b).
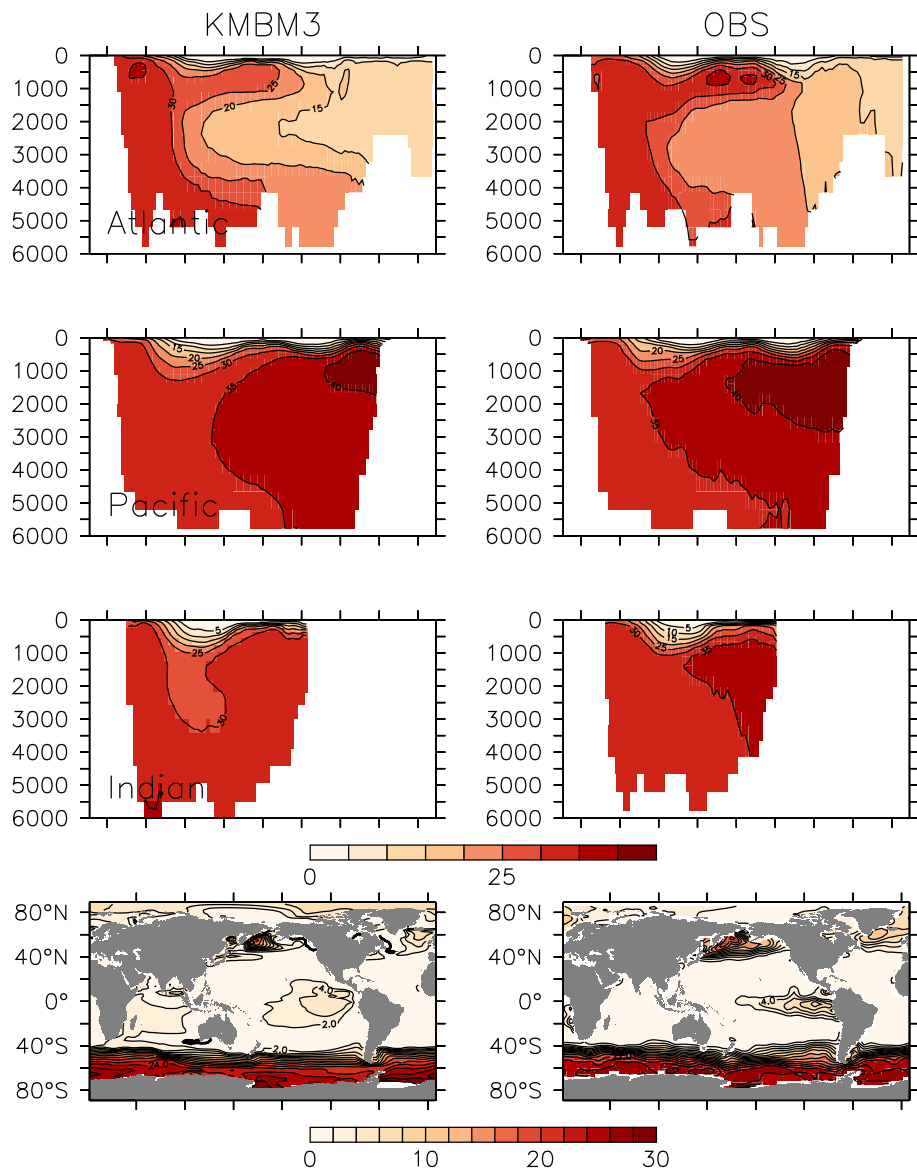
Figure 10. Model (left column) year 2014 NO$_3^-$ (mmol m^{-3}) averaged by basin compared to WOA (right column; Garcia et al., 2014b).
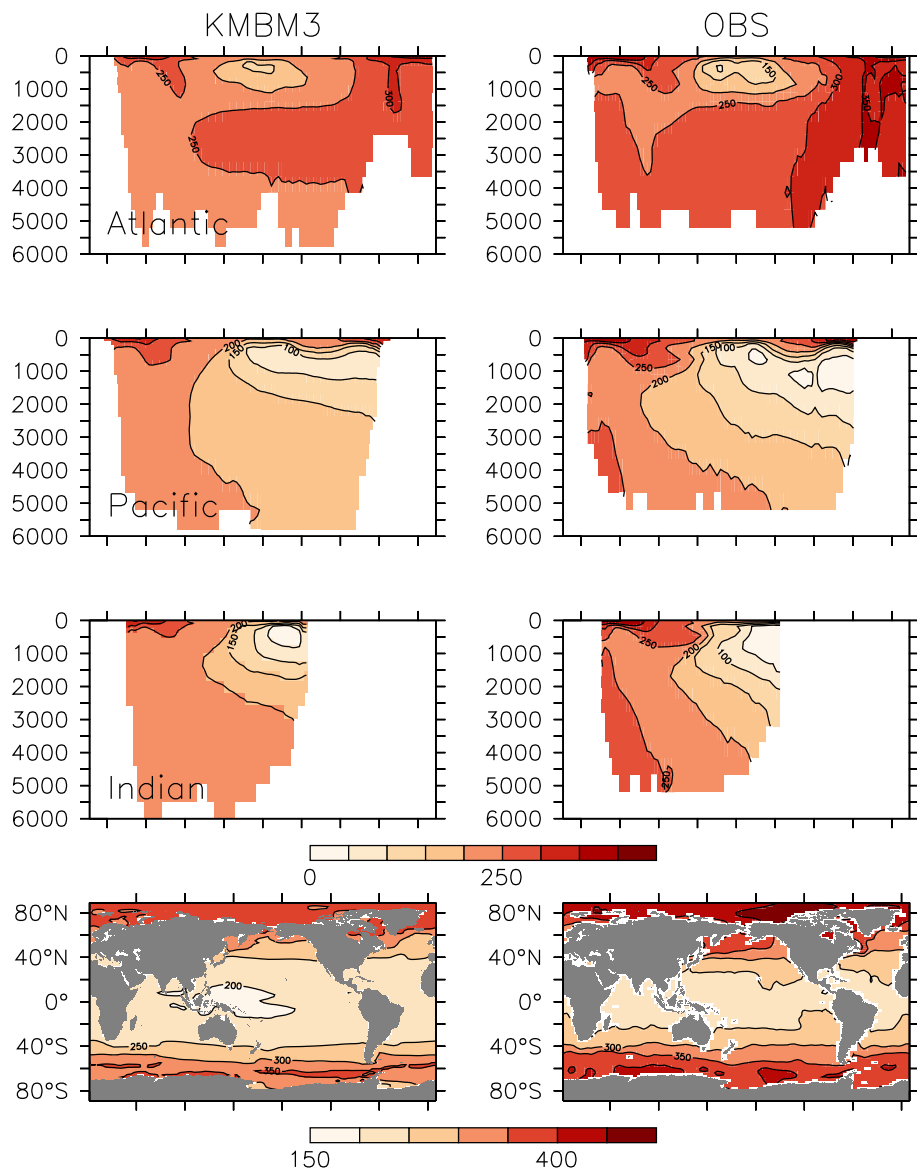
Figure 11. Model (left column) year 2014 O_2 (mmol m^{-3}) averaged by basin compared to WOA (right column; Garcia et al., 2014a).
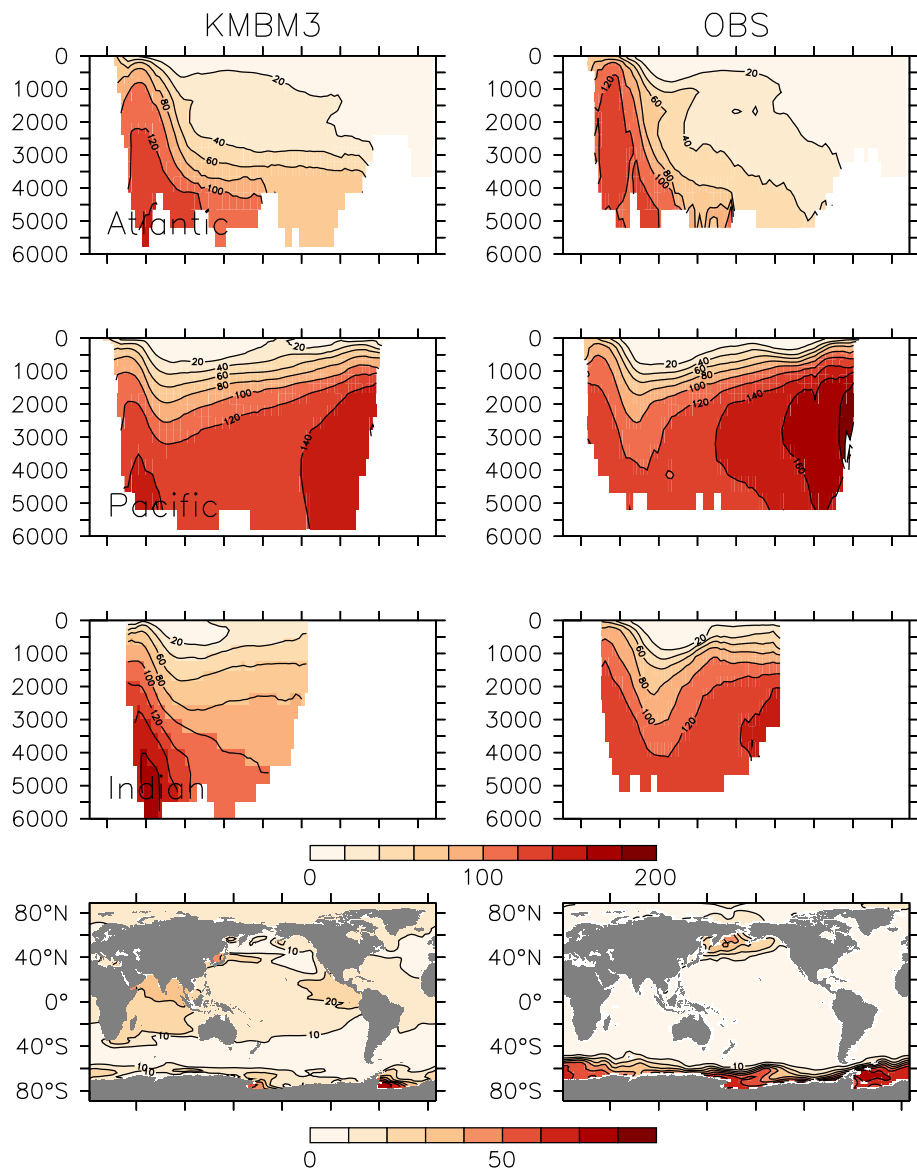
Figure 12. Model (left column) year 2014 Si (mmol m^{-3}) averaged by basin compared to WOA (right column; Garcia et al., 2014b).
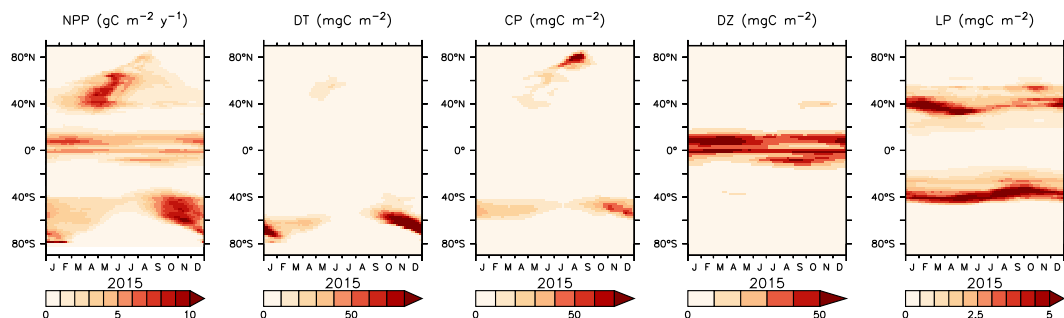
Figure 13. Model year 2015 zonally averaged surface NPP and phytoplankton biomass.
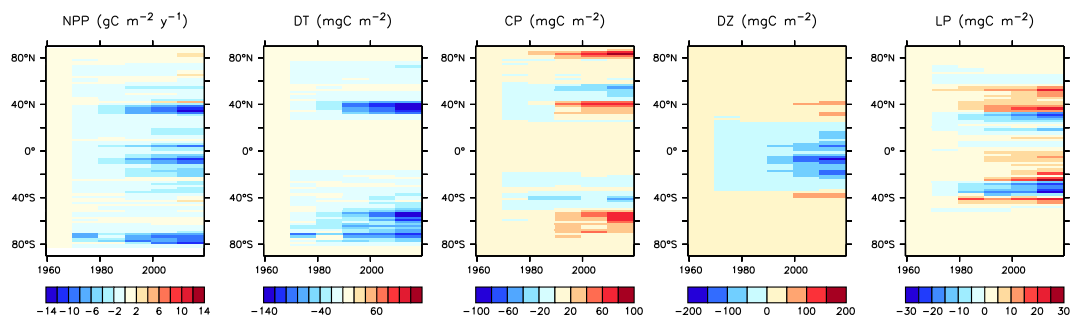
Figure 14. Modelled historical changes in zonally averaged and depth integrated NPP, and phytoplankton biomass, from 1964 to 2014.
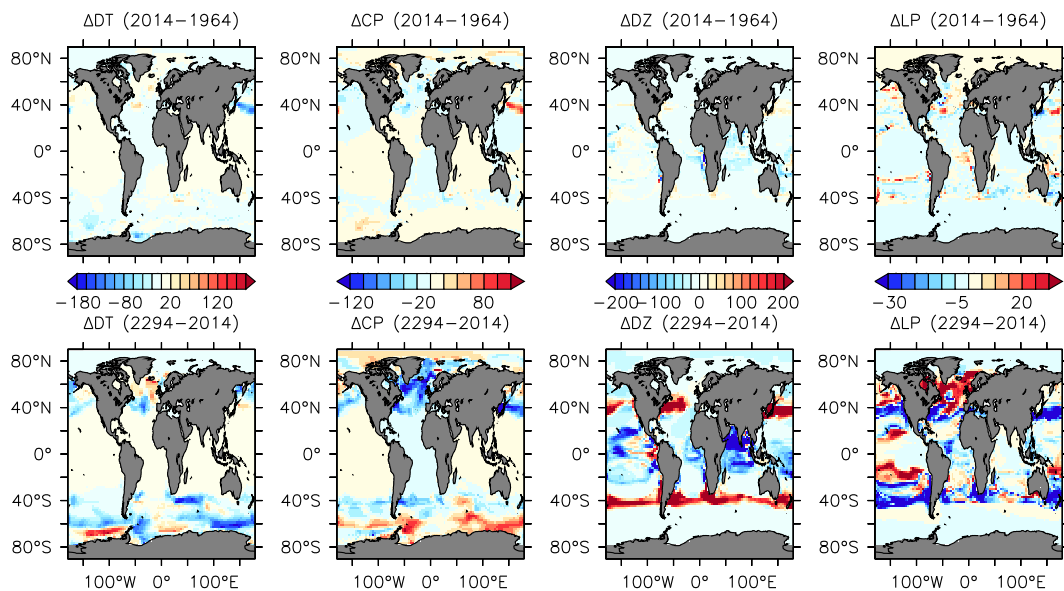
Figure 15. Modelled changes in phytoplankton biomass (mmol C m^{-3}), from 1964 to 2014 (top row), and from 2014 to 2294 (bottom row).
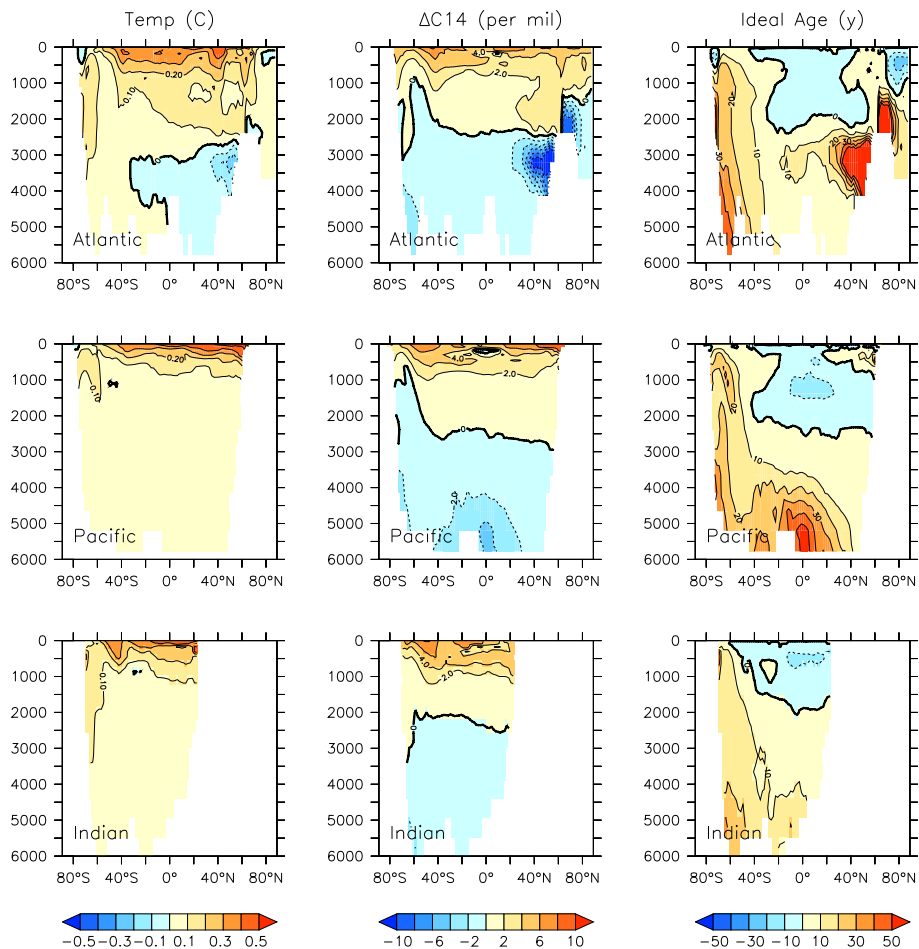
Figure 16. Modelled change in temperature, radiocarbon, and ideal age profiles by major ocean basin, from 1964 to 2014.
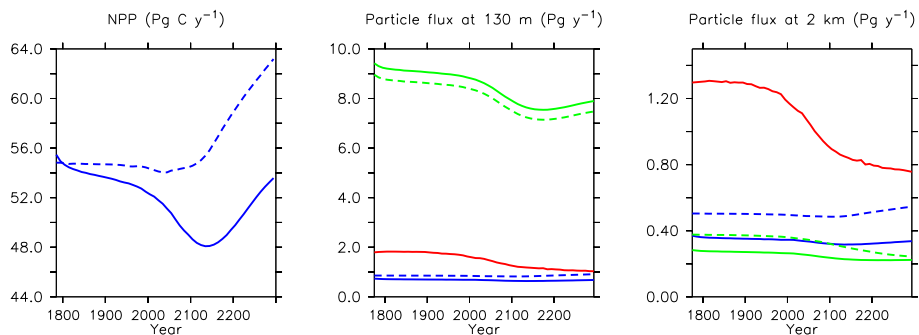
Figure 17. Major biogeochemical fluxes in the model, 1776 to 2300. Left panel is total net primary production, middle panel is total particle fluxes at 130 m depth, right panel is total particle fluxes at 2 km depth. Blue lines in the middle and right panels are PIC, green lines are POC, red lines are opal. Solid lines are from this model (KMBM3), dashed lines from the Keller et al. (2012) version (KMBM1).
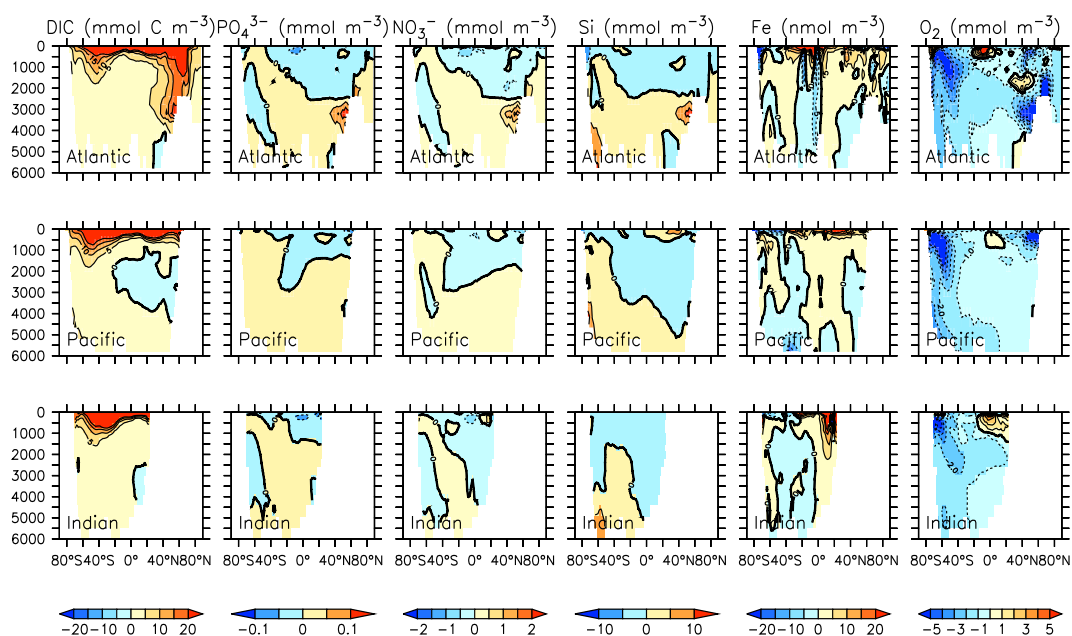
Figure 18. Modelled change in carbon and nutrient profiles by major ocean basin, from 1964 to 2014.
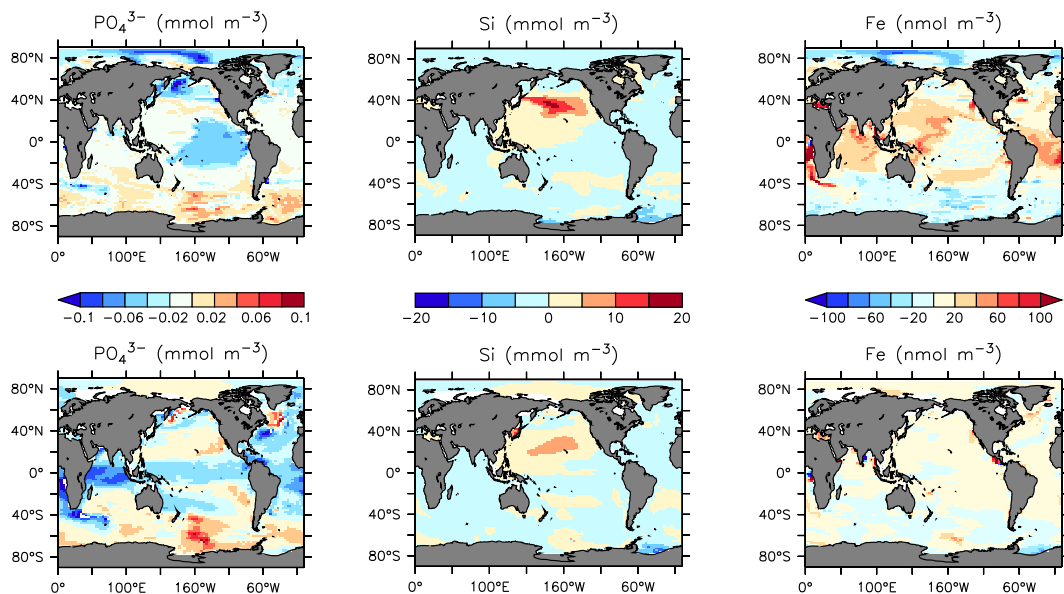
Figure 19. Modelled change in nutrients at the surface (top row) and 300 m depth (bottom row), from 1964 to 2014.
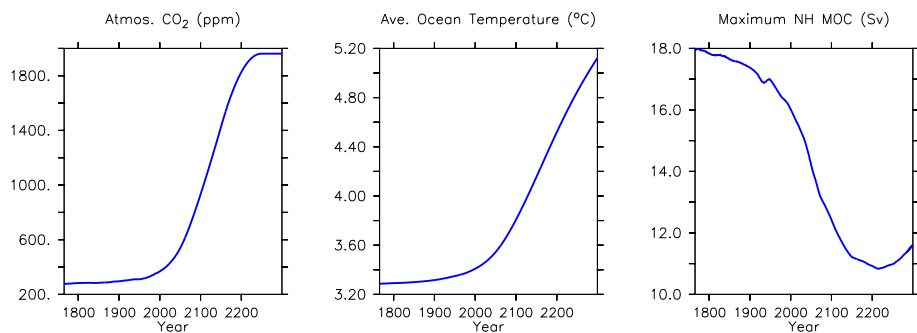
Figure 20. Major physical changes in the model, 1776 to 2300.
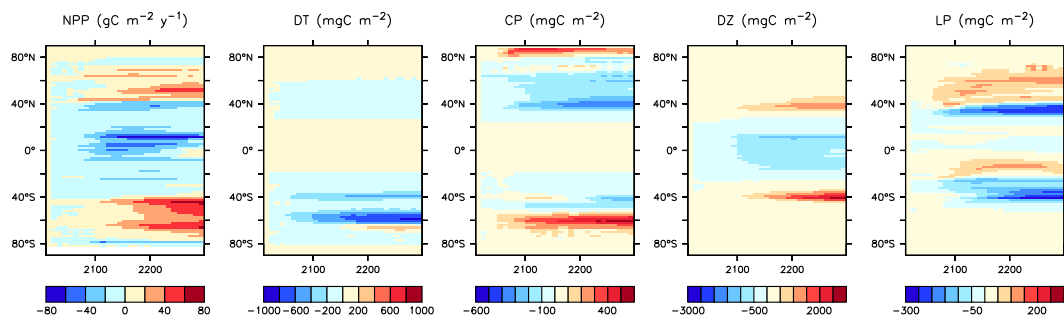
Figure 21. Modelled changes in zonally averaged and depth integrated NPP, and phytoplankton biomass, from 2014 to 2294.
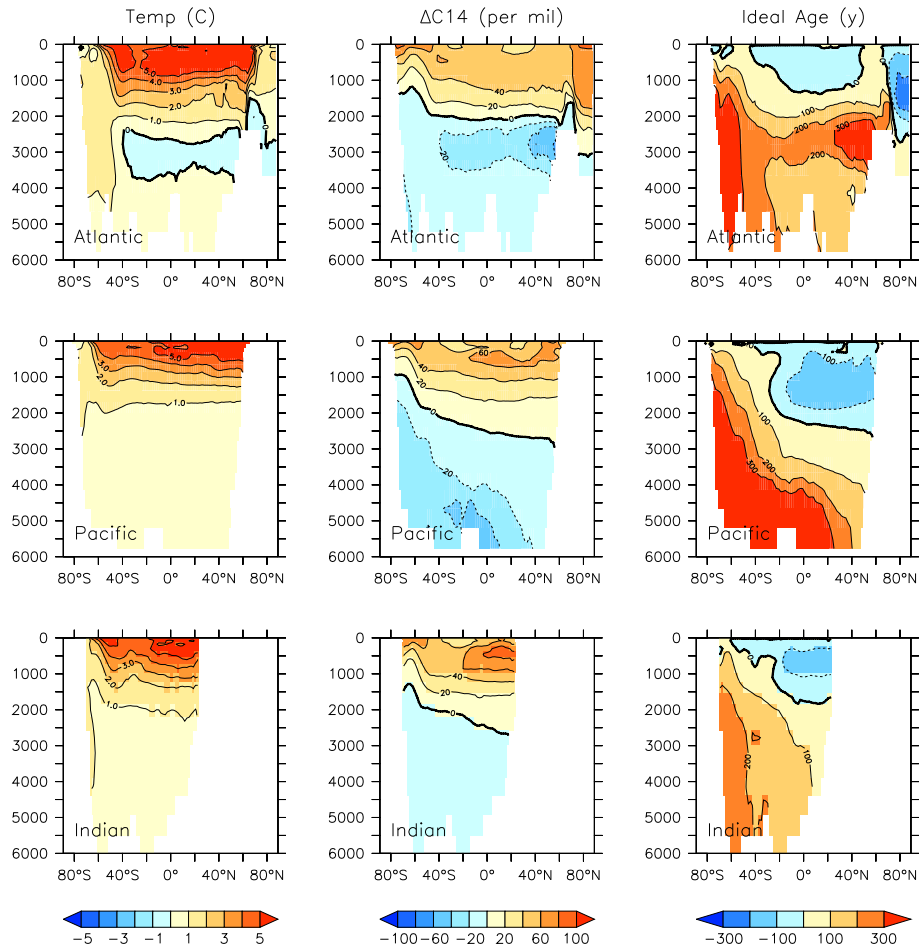
Figure 22. Modelled change in temperature, radiocarbon, and ideal age profiles by major ocean basin, from 2014 to 2296.
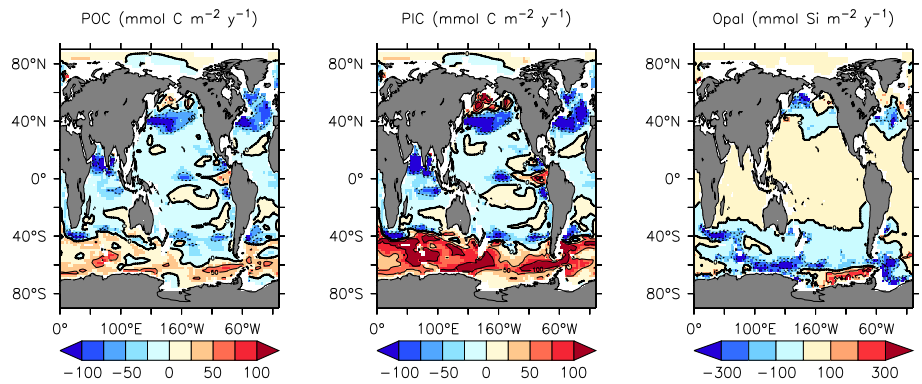
Figure 23. Modelled change in particle fluxes at 2 km depth, from 2014 to 2294.
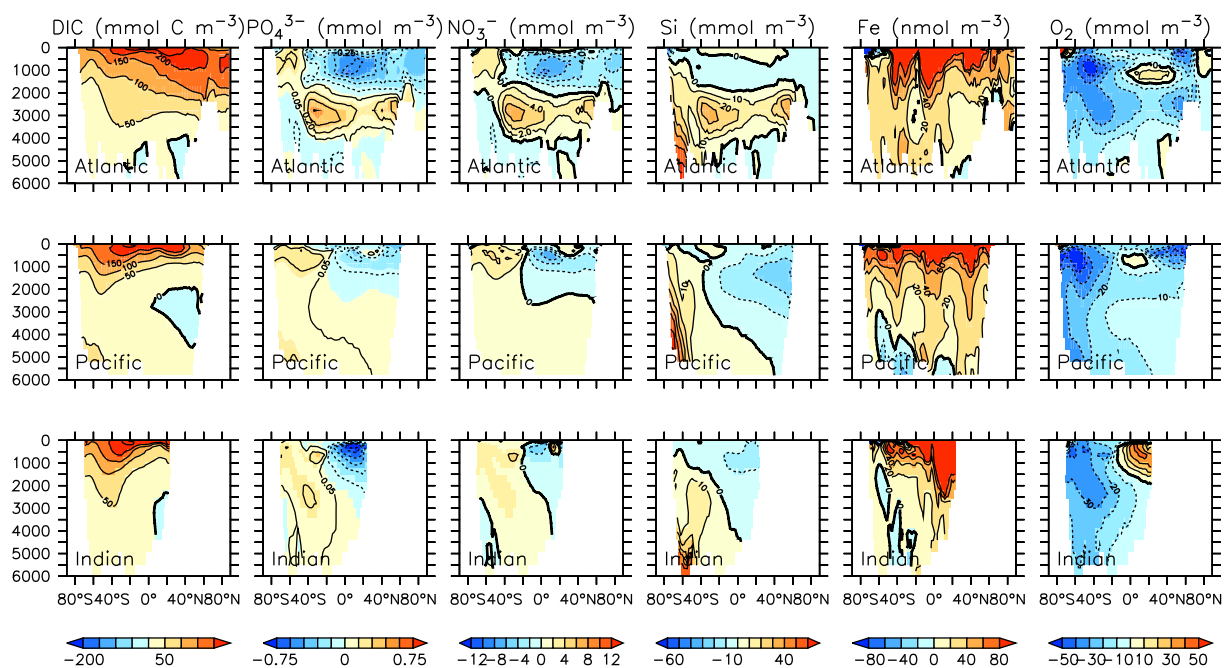
Figure 24. Modelled change in carbon and nutrient profiles by major ocean basin, from 2014 to 2294.

Table 1. KMBM3 state variables.

Variable	Subscript Symbol	Units
Silica	Si	mol Si m^{-3}
Iron	Fe	mol Fe m^{-3}
Phosphate	PO$_4^{3-}$	mol P m^{-3}
Nitrate	NO$_3^-$	mol N m^{-3}
Dissolved inorganic carbon	DIC	mol C m^{-3}
Calcite	CaCO$_3$	mol C m^{-3}
Living calcite	CaCO$_{3\text{liv}}$	mol C m^{-3}
Alkalinity	Alk	mol C m^{-3}
Oxygen	O$_2$	mol O m^{-3}
Diazotrophs	DZ	mol N m^{-3}
Diatoms	DT	mol N m^{-3}
Low latitude phytoplankton	LP	mol N m^{-3}
Calcifiers	CP	mol N m^{-3}
Zooplankton	Z	mol N m^{-3}
Free detritus	Detr$_{\text{free}}$	mol N m^{-3}
Ballasted detritus	Detr$_{\text{bal}}$	mol N m^{-3}
Detrital iron	Detr$_{\text{Fe}}$	mol Fe m^{-3}

Table 2. Miscellaneous KMBM3 parameters.

Parameter	Symbol	Units	Value
E-folding temperature	T_b	°C	15.65
Molar organic P:N ratio	R$_{\text{P:N}}$	unitless	0.0625
Molar organic C:N ratio	R$_{\text{C:N}}$	unitless	6.625
Molar organic O:N ratio	R$_{\text{O:N}}$	unitless	8.46
Molar sedimentary Fe:P ratio	R$_{\text{Fe:P}_{\text{sed}}}$	unitless	0.004
Molar organic Fe:N ratio	R$_{\text{Fe:N}}$	unitless	6.625E-6
Molar mass of carbon	M$_{\text{C}}$	g M^{-1}	12.011
Molar mass of carbonate	M$_{\text{CaCO}_3}$	g M^{-1}	60.01
Light attenuation by phytoplankton	k_c	(m mmol m^{-3})$^{-1}$	0.07
Light attenuation by CaCO$_3$	k_{CaCO_3}	(m mmol m^{-3})$^{-1}$	0.2
Light attenuation by ice	k_i	m^{-1}	5.0
Light attenuation by water	k_w	m^{-1}	0.04

Table 3. KMBM3 phytoplankton production and mortality parameters.

Parameter	Symbol	Units	Value
Growth rate	a_{LP}	day^{-1}	0.4
	a_{CP}		0.6
	a_{DT}		0.7
	a_{DZ}		$0.81 \times a_{LP}$
Half-saturation constant N	$k_{\mathrm{N}LP}$	mmol m^{-3}	0.1
	$k_{\mathrm{N}CP}$		0.2
	$k_{\mathrm{N}DT}$		0.5
Half-saturation constant P	$k_{\mathrm{P}DZ}$	mmol m^{-3}	$k_{\mathrm{N}LP}/16$
Half-saturation constant Fe	$k_{\mathrm{Fe}LP}$	nmol m^{-3}	0.08
	$k_{\mathrm{Fe}CP}$		0.34
	$k_{\mathrm{Fe}DT}$		0.78
	$k_{\mathrm{Fe}DZ}$		0.08
Half-saturation constant Si	k_{Si}	mmol m^{-3}	variable
Half-saturation Si scaling	k_{Si}^{*}	mol Si m^{-3}	0.03
Initial slope of P-I curve	$\alpha_{\min}^{\mathrm{chl}}$	(W m^{-2})$^{-1}$ d^{-1}	1.59
	$\alpha_{\max}^{\mathrm{chl}}$		6.36
Chl:C ratio	$\theta_{\min}$	unitless	0.01
	$\theta_{\max}$	unitless	0.04
Phytoplankton mortality rate	m_{LP}	day^{-1}	0.03
	m_{CP}		0.03
	m_{DT}		0.03
Microbial fast recycling	μ_{0LP}^{*}	day^{-1}	0.015
	μ_{0CP}^{*}		0.015
	μ_{0DT}^{*}		0.015
	μ_{0DZ}^{*}		0.015

Table 4. KMBM3 zooplankton parameters. Temperature-dependent parameter values are given for $0\,^\circ$C.

Parameter	Symbol	Units	Value
Maximum grazing rate	μ_Z^θ	day^{-1}	0.571
Maximum grazing rate parameters	b	unitless	1.066
	c	$^\circ$C^{-1}	1.0
Food preferences	ψ_{LP}	unitless	0.2
	ψ_{CP}		0.25
	ψ_Z		0.2
	ψ_{DZ}		0.05
	ψ_{DT}		0.25
	ψ_{Det}		0.05
Half saturation constant	k_z	mmol m^{-3}	0.15
Growth efficiency constant	ϖ	unitless	0.4
Food assimilation efficiency	γ	unitless	0.7
Mortality rate	m_z	day^{-1}	0.06

Table 5. KMBM3 particle export-production parameters.

Parameter	Symbol	Units	Value
Detrital remineralisation rate	$\mu_{D,0}$	day^{-1}	0.07
Detrital sinking speed at surface	$w_{D,0}$	m day^{-1}	12.28
Detrital increase in sinking speed	wdd	m day^{-1}	5.8
CaCO$_3$ ballast:total detrital production ratio	R$_{\mathrm{bal:tot}}$	unitless	0.05
CaCO$_3$:POC production ratio	R$_{\mathrm{CaCO_3:POC}}$	unitless	0.07
CaCO$_3$ sinking speed at surface	$w_{C,0}$	m day^{-1}	22.43
CaCO$_3$ increase in sinking speed	wdc	m day^{-1}	1.8
Base opal:POC production ratio	R$_{\mathrm{Opal:POC,0}}$	unitless	0.5
Opal dissolution rate constant	λ_{Opal}	day^{-1}	0.03
Opal sinking rate	w_{Opal}	m day^{-1}	75.0
Organic particle iron scavenging rate	$k\mathrm{Fe}_{\mathrm{org}}$	$(\mathrm{m^3(gC\,d)^{-1}})^{0.58}$	0.45
Calcite iron scavenging rate	$k\mathrm{Fe}_{\mathrm{ca}}$	$(\mathrm{m^3(gCaCO_3\,d)^{-1}})^{0.58}$	0.45

Table 6. Globally integrated diagnosed biogeochemical properties at year 2004. Corresponding values are also given using the model of Keller et al. (2012).

Property	KMBM3	KMBM1	Independent Estimate
Primary Production (Pg C y^{-1})	52.27	54.33	44–78[a]
Calcite Production (Pg C y^{-1})	0.84	0.86	1.08–1.60[b]
Opal Production (Pg Si y^{-1})	7.68	-	14.4[c]
Nitrogen Fixation (Pg N y^{-1})	0.37	0.16	0.71–1.54[d]
POC flux at 130 m (Pg C y^{-1})	8.80	7.04	5.73[e]
POC flux at 2 km (Pg C y^{-1})	0.26	0.36	0.43 ± 0.05
CaCO$_3$ flux at 130 m (Pg C y^{-1})	0.69	0.84	1.1 ± 0.3
CaCO$_3$ flux at 2 km (Pg C y^{-1})	0.35	0.49	0.41 ± 0.05
CaCO$_3$ dissolution (Pg C y^{-1})	0.37	-	0.5 ± 0.2[f]
Opal flux at 130 m (Pg Si y^{-1})	1.60	-	6.31[g]
Opal flux at 2 km (Pg Si y^{-1})	1.17	-	2.07 ± 0.16
Opal dissolution (Pg Si y^{-1})	3.87	-	9.68[h]
Net Silica seafloor flux (Pg Si y^{-1})	1.32	-	0.38
Silica river input (Pg Si y^{-1})	0.09	-	0.44
Total Phytoplankton (Pg C)	0.80	0.53	0.5–2.4[i]
Phytoplankton LP (Pg C)	0.07	0.51	0.28–0.64[j]
Phytoplankton CP (Pg C)	0.12	-	0.001–0.03
Phytoplankton DT (Pg C)	0.12	-	0.1–0.94
Phytoplankton DZ (Pg C)	0.49	0.02	0.008–0.12
Zooplankton (Pg C)	0.39	0.52	0.03–0.67[k]

[a]Carr et al. (2006),Jin et al. (2006)
[b]Balch (2018)
[c]Tréguer and De La Rocha (2013)
[d]Luo et al. (2014)
[e]all particle fluxes from Honjo et al. (2008) unless noted
[f]Luo et al. (2014)
[g]Tréguer and De La Rocha (2013)
[h]all subsequent silica fluxes from Tréguer and De La Rocha (2013)
[i]all biomass estimates from Buitenhuis et al. (2013)
[j]picophytoplankton
[k]pteropods