

Re-Review of JULES-CN: a coupled terrestrial Carbon-Nitrogen Scheme (JULES vn5.1) by Wilshire et al.

This is my second review of this manuscript. This manuscript is in much better form than it was before. It is easier to read, easier to follow, and the additional plots and figures that are included provide a much more coherent story than before. I am sure that the authors themselves would have found this exercise useful. I appreciate the effort put by the authors in revising their manuscript.

I went through the manuscript again in its entirety. However, I am sorry that there are still some issues that I feel need to be addressed. One of these issues appears serious and makes me wonder if the implementation of the model itself is correct. I have concerns with some equations as well. As last time, I am summarizing my major comments here but I am also attaching a scanned copy of an annotated version of your manuscript that you may refer to for several other comments. I have tried to keep my handwriting clean.

## Major comments

### 1. How does N demand vs uptake works?

I am still confused about how nitrogen limitation works including through the use of the term  $F_N$  in equation 39. On line 322, page 11, it reads

N demand for growth. If the N demand is less than that available ( $\Phi_{g,i} < (1-\lambda_i) N_{avail,i}$ ) growth is unlimited and the fluxes updated accordingly. Where N is limiting, growth N uptake is set equal

but I am confused about the role of  $N_{avail}$ . In the above sentence note that  $\Phi_{g,i}$  has to be a flux not a pool/reservoir/store. This is the N uptake rate in equation 14. Below equation 14, you have its units written as if it were a pool. This is not correct. Note the  $dt$  term in denominator on left hand side of equation 14.  $\Phi_{g,i}$  cannot have units of a pool. In contrast,  $N_{avail}$  appears to be a pool as indicated below.

In JULES-CN, on a PFT basis, the N available for plant uptake ( $N_{avail,i}$ ) is the inorganic soil N pool ( $N_{in}$ ) split equitably between the PFTs assuming there is no differential ability between PFTs to acquire N. The available N in JULES-CN<sub>layer</sub> is more complicated taking into account the soil profile and is discussed in Section 3.3.2.

How can a flux be compared to a pool? Every time step as the model photosynthesizes and the C flux comes in from the atmosphere a corresponding N flux is required from soil. This is the nitrogen demand. In my mind, and I think in reality, whether this N demand is met or not depends on the rate of nitrogen uptake which in turn depends on transpiration (for the passive N uptake) and the ability of fine roots to uptake additional N if passive uptake is not sufficient (active N uptake). The pool size of inorganic N is a big number. It is almost always bigger than the demand at any given time step. The fact that the inorganic N pool size is bigger than the flux does not imply that all that N is available to be taken up to meet the instantaneous demand. In my mind, this logic cannot be used to determine if N is limiting or not. Unless, there is something the manuscript does not convey and I am misinterpreting the whole thing.

Similarly in equation 40,  $N_{in}$  is the inorganic N pool size while all the other terms are fluxes. It does not make any sense to me how the fluxes and pool terms can be mixed together. It makes wonder if the model itself is implemented correctly or not.

## 2. Errors in equations

- a. I have already mentioned that units in equation (14) are inconsistent.
- b. Please see below your equation 50 that finds fraction of litter in each soil layer  $z$ .

$$f_{lit}(z) = \frac{\exp(-\tau_{lit}z)}{\int_0^{z_{max}} \exp(-\tau_{lit}z) dz}$$

Shouldn't this be written something like

$$f_{lit}^n = \frac{\int_{z_n}^{z_{n+1}} \exp(-\tau_{lit}z) dz}{\int_0^{z_{max}} \exp(-\tau_{lit}z) dz}$$

In equation (50) and elsewhere authors have used  $z$  both as layer index and a continuous variable on which integration is performed. This is very confusing. See my example above where, in my mind, it makes more sense to use  $n$  as a layer index and  $z$  as the continuous variable (soil depth from top in this case).  $z_n$  in my attempt thus represents the depth to the top of the  $n^{\text{th}}$  layer.

c. In equation (55)

$$\frac{dN_{in}(z)}{dt} = N_{dep} + \sum_i v_i B N F_i f_{R,i}(z) - \sum_i v_i \Phi_i f_{I,i}(z) + M_{net}(z) - N_{flux}(z) - N_{gasI}(z) \quad (55)$$

$N_{dep}$  should only be applicable to the first layer. Correct? If yes, this equation needs to be written slightly differently to reflect this. Note that  $z$  is used here as layer index.

## JULES-CN: a coupled terrestrial Carbon-Nitrogen Scheme (JULES vn5.1)

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**Abstract.** Understanding future changes in the terrestrial carbon cycle is important for reliable projections of climate change and impacts on ecosystems. It is <sup>well</sup> known that nitrogen could limit plants' response to increased atmospheric carbon dioxide and is therefore important to include <sup>a representation of N</sup> in Earth System Models. Here we present the implementation of the terrestrial nitrogen cycle in Joint UK  
5 Land Environment Simulator (JULES) - the land surface scheme of the UK Earth System Model (UKESM). Two configurations are discussed - the first one (JULES-CN) has a bulk soil biogeochemical model and the second one is a development configuration which resolves the soil biogeochemistry with depth (JULES-CN<sub>layer</sub>). In JULES the nitrogen (N) cycle is based on the existing carbon (C) cycle and represents all the key terrestrial N processes in a parsimonious way. Biological  
10 cal N fixation is dependent on <sup>gross primary/net primary/vegetation</sup> productivity, with N deposition as an external input. Nitrogen leaves the vegetation and soil system via leaching and a bulk gas loss <sup>is specified</sup> ~~parameterisation~~ <sup>term</sup>. Nutrient limitation reduces carbon-use efficiency (CUE - ratio of net to gross primary productivity) and can slow soil decomposition. We show that ecosystem level N limitation of net primary productivity (quantified in the model by the ratio of the potential amount of C that can be allocated to growth and spreading  
15 of the vegetation compared with the actual amount achieved in its natural state) falls at the lower end of the observational estimates in forests (approximately 1.0 in the model compared with 1.01 to 1.38 in the observations). The model shows more N limitation in <sup>the</sup> tropical savanna and tundra <sup>bimmes</sup> which falls within the range of the available observations. Simulated C and N pools and fluxes are comparable to the limited available observations and model derived estimates. The introduction of  
20 a N cycle improves the representation of interannual variability of global net ecosystem exchange which was much too pronounced in the C cycle only versions of JULES (JULES-C). It also reduces

Compared to what?

The abstract doesn't mention the effect of ~~more~~ layered soil biogeochemistry

unclear phrase "falls within" in the context mentioned

Perhaps it's mentioned later but if C:N of soil organic matter is fixed how does this work.



Is this less or more realistic? Isn't present day CUE more like 0.5?

the present-day CUE from a global mean value of 0.45 for JULES-C to 0.41 for JULES-CN and 0.40 for JULES-CN<sub>layer</sub>. The N cycle also alters the response of the C fluxes over the twentieth century and limits the CO<sub>2</sub>-fertilisation effect, such that the simulated current day land C sink is reduced by about 0.5 Pg C yr<sup>-1</sup>. The inclusion of a prognostic land N scheme marks a step forward in functionality and realism for the JULES and UKESM models.

compared to the version with no N limitation.

## 1 Introduction

Terrestrial ecosystems absorb around 25% of anthropogenic carbon emissions (Le Quéré et al., 2018; Friedlingstein et al., 2019), and changes in the future land carbon (C) sink will feedback to climate via the proportion of the emissions remaining in the atmosphere. Under projected climate change, the primary mechanism for increased terrestrial sequestration is an increase in plant productivity and biomass, which relies on sufficient availability of nitrogen (N) within the soil-plant system. Therefore the availability of N impacts the land C sink, both in the present and in a higher atmospheric carbon dioxide (CO<sub>2</sub>) future.

Nitrogen exists in the terrestrial system in organic and inorganic forms and is continually cycled. In a stable climate the external inputs to the coupled vegetation and soil system—biological N fixation and N deposition—are balanced by the losses from this system—N leaching and N gas loss. Depending on the nutrient status of the vegetation and soil, changes in the balance of the inputs and outputs of N can drive adjustments in vegetation biomass and soil organic matter. Within the system organic N is transferred from the vegetation to the soil through the production of litter and disturbance. The litter decomposes into soil organic matter and in turn is mineralised into inorganic N. Both inorganic and organic N may become available for plant uptake, although the amount of organic N uptake by plants is small and typically not included in models (Weintraub and Schimel, 2005).

associated primarily with denitrification processes.

In a changing climate, rising atmospheric CO<sub>2</sub> drives an increase in the land C uptake and hence an increase in the gross primary productivity (GPP). This results in an extra demand for N which could potentially limit the increase in future C stocks. For example, Zaehle (2013a) suggest that, in some areas, N could limit future C uptake by up to 70%. N cycling also tends to reduce the sensitivity of land C <sup>sink</sup> uptake to temperature. Warmer conditions lead to increased plant respiration and soil respiration, which tends to reduce the land C sink. However, the increased soil respiration also leads to accelerated N mineralisation and increased N availability to plants, which may provide a counter-acting increase in GPP. This latter effect is absent from models that do not include a N cycle. As a result of neglecting these important effects, land-surface models without an interactive N cycle tend to overestimate both CO<sub>2</sub> and temperature effects on the land C sink (Wenzel et al., 2016; Cox et al., 2013). In addition, climate projections assessed by IPCC using CMIP5 Earth System Models that

Read this for yourself. what if climate changes due to non-CO<sub>2</sub> GHG or aerosols. Reword!

lacked terrestrial carbon cycle Ciais et al. (2014) have been shown to exhibit a major and systematic bias in their future projection of land carbon sink Zaehle et al. (2015); Wieder et al. (2015b). An increasing number of land surface and climate models now include constraints on the land C sink  
60 caused by N limitation (Zaehle et al., 2014; Wania et al., 2012; Smith et al., 2014). In fact, recent simulations have generated a range of estimates for the sensitivity of the C cycle to N availability (Meyerholt et al., 2020a; Davies-Barnard et al., 2020; Arora et al., 2019). For example, Meyerholt et al. (2020a) used a perturbed model ensemble to show that N limitation reduces both the projected future increase in land C store due to CO<sub>2</sub> fertilisation and the projected loss in land C caused by  
65 climate-change. The inclusion of nitrogen cycle processes in many CMIP6 models has been a major advance Arora et al. (2019). Jones and Friedlingstein (2020) show how CMIP6 models have a much reduced spread in their simulation of airborne fraction than in CMIP5 and this is attributable to the inclusion of N-cycle in about half of these latest generation models. But process understanding and evaluation of these model is still in its infancy (Davies-Barnard et al., 2020).

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The purpose of this paper is to describe and evaluate the implementation of ~~the~~ coupled C and N cycle ~~within~~ the Joint UK Land-Environment Simulator (Best et al., 2011; Clark et al., 2011) (JULES at vn5.1 - [http://jules-lsm.github.io/vn5.1/release\\_notes/JULES5.1.html](http://jules-lsm.github.io/vn5.1/release_notes/JULES5.1.html)). JULES is the land surface component of the later generation of Hadley Centre climate models including the UK Earth System  
75 Model (UKESM) (Sellar et al., 2019). The addition of the N cycle to JULES described in this paper was carried out alongside other developments such as improved plant physiology and extended plant functional types (Harper et al., 2018), an enhanced representation of surface exchange and hydrology (Wiltshire et al., 2020) and a new module for land management (Robertson and Liddicoat, in prep.). These separate components ~~have been~~ combined to make the land surface component of UKESM  
80 and were used for the most recent Global Carbon Budget annual assessment (Friedlingstein et al., 2019).

The philosophy behind the developments described here is to produce a parsimonious model to capture the established first order emergent response of N addition on growth which translates into  
85 leaf area index (LAI) and biomass without the complex and uncertain impacts on leaf physiology. Our approach is therefore to simulate the large-scale role of N limitation on vegetation C use efficiency (CUE - ratio of net to gross primary productivity) and soil C turnover. This is achieved by extending the implicit representation of N in the existing dynamic vegetation and plant physiology modules to be fully interactive. At the core of surface exchange in JULES is a coupled stomatal con-  
90 ductance photosynthesis scheme parameterised in terms of the maximum rate of Rubisco carboxylation,  $V_{cmax}$  (mol CO<sub>2</sub>m<sup>-2</sup>s<sup>-1</sup>).  $V_{cmax}$  has a dependency on the leaf N concentration. Similarly, plant maintenance respiration has a dependency on leaf, root and stem N concentration (Cox et al., 1998, 1999; Cox, 2001; Clark et al., 2011). Implicit within JULES, even in simulations excluding

photosynthesis - stomatal conductance coupling in general is not tied to  $V_{cmax}$ . How photosynthesis is determined is related to  $(C_a - C_i)$  gradient not  $V_{cmax}$ .

Unclear what is meant here.



the N cycle is the parameterisation of plant tissue level N concentrations and associated allometry (Wiltshire et al., 2020). Simulations with an interactive C cycle therefore assume that enough N is available to meet vegetation growth and turnover. Here, we simply limit growth if not enough N is available. To do this requires a full representation of the N cycle in the land surface including a coupled soil C and N organic and soil inorganic N scheme.

*please say that this is explained later.*

100 At the ecosystem level, the C and N cycles are closely coupled with each exchange of C associated with a corresponding flux of organic N. In JULES nutrient limitation operates through two mechanisms; the available C for vegetation uptake is reduced, and the decomposition of litter C is slowed. This is achieved by explicitly representing the demand for N within the vegetation and soil modules and then reducing plant net C gain to match available nutrients. In the soil module an additional decomposition rate modifier is introduced that slows decomposition to match available nutrients. The current structure of the TRIFFID dynamic vegetation model (Cox, 2001), in particular the fixed allometry and C allocation, is largely unchanged. As the aim of this scheme is to capture the impact on terrestrial C stores, N loss terms are aggregated and not speciated. The model's reduced uptake of vegetation C due to N limitation will have only a minor impact on the GPP. Therefore the emergent impact of the N scheme will be to reduce NPP and hence the carbon use efficiency (CUE) of the vegetation. In reality the excess C ( $\Psi$ ) which cannot be used goes to non structural carbohydrates, root exudates and biogenic volatile organic compounds (Collalti and Prentice, 2019). However, to simplify the carbon balance in JULES-CN, it is added to the autotrophic respiration.

*unclear C for veg uptake is in the atmosphere*

*is*  
*need a bit more elaboration*  
*please clarify, make the connection to N*  
*what's the process involved.*

115 A key assumption in the JULES representation of vegetation, and common amongst complex DGVMs (Meyerholt and Zaehle, 2015), is of fixed plant stoichiometry (mass ratio of C to N atoms or C:N ratio). The implication is that leaf-level photosynthetic capacity does not vary with available N. This is consistent with field experiments enhancing N fertilisation that find increases in growth but no corresponding change in photosynthetic capacity (Brix and Ebell, 1969; Wang et al., 2012). However, more recent analyses do make the link between nutrient availability and leaf level N concentrations (e.g. Mao et al. (2020)). In general, models make different assumptions about the tightness of the coupling mechanism between the C and N cycles leading to substantial uncertainty in their projections (Zaehle and Dalmonech, 2011). Within the fully coupled Earth Systems Models used in the Coupled Climate Carbon Cycle Model Intercomparison Project (C4MIP) for quantifying C feedbacks only four models include a N cycle representation and only two include both N and dynamic vegetation of which JULES is one of them (Arora et al., 2020). The representation of the N cycle in the full complexity Earth System Models remains challenging and there is clearly a need for simple models capturing the first order responses. This is the first time a N cycle has been incorporated in JULES and it is expected to be improved and developed with time as the knowledge of

*define?*  
*is designed to be modelled by reducing*

*undefined. What is this?*

*Note that this is not a loss term. strictly*

*What about Field & Mooney 1986 Mcguire et al 1995 leaf level N is related to photosynthetic capacity*

*out of how many total*

130 how important processes can be represented in existing frameworks improves.

## 2 Introduction to JULES

JULES is the land surface component of the new UK community Earth System model, UKESM (Sellar et al., 2019). JULES can also be run offline forced by observed meteorology globally, regionally or at a single location. A full description of the main components of JULES is provided by Best et al. (2011) and Clark et al. (2011). In particular, JULES represents the surface energy balance, a dynamic snowpack model (one dimensional), vertical heat and water fluxes, soil freezing, large scale hydrology, and C fluxes and C storage in both vegetation and soil. Typically JULES represents four soil layers down to a total depth of 3m. Within JULES, C dynamics in soils and vegetation and dynamic vegetation are provided by Top-Down Representation of Interactive Foliage and Flora Including Dynamics (TRIFFID) (Cox, 2001). In this version of TRIFFID, five plant functional types (PFTs) are included: broadleaf tree, needleleaf tree, C<sub>3</sub> grasses, C<sub>4</sub> grasses and shrubs. The soil C model is based on the RothC model (Clark et al., 2011). Recently, Burke et al. (2017) and Chadburn et al. (2015) added a representation of permafrost soil processes to JULES, including a representation of the vertical distribution of soil organic C which we build upon here. JULES-C is the standard carbon cycle configuration (a configuration defines a specific set of switches and parameters) and was used in the Global Carbon Budget annual assessment in 2018 (Le Quéré et al., 2018).

What follows is a description of the extension of the C cycle already used by the JULES-C configuration to include an interactive N cycle. This results in two new model configurations: JULES-CN and JULES-CN<sub>layer</sub>. The soil biogeochemistry is represented by a single level in JULES-CN whereas it varies as a function of depth in JULES-CN<sub>layer</sub>. As standard JULES-C includes an implicit representation of N which has been extended to be fully interactive. The N cycle is included within the TRIFFID dynamic vegetation and RothC soil C models. For clarity we include a full description of the C and N cycle including the existing TRIFFID and RothC models and highlight where and how they have been extended.

## 3 JULES developments

### 3.1 Vegetation C and N

160 The TRIFFID dynamic vegetation model represents the core of the vegetation module (Cox, 2001). TRIFFID represents the vegetation cover at each location in terms of the fractional area covered, and the leaf area index (LAI) and canopy height of each plant functional type (PFT). In JULES the C

do you mean fluxes of C between soil & vegetation

processes modelled by

bulk layer

processes are

here

their processes modified.



of what -(photosynthesis - respiration) ?

fluxes are calculated at the model timestep (typically 0.5 - 1 hour) prior to any N limitation (if configured). These fluxes are then aggregated to the timestep required for running TRIFFID (once every 10 days in the current implementation) so that allocation of C can take place. TRIFFID employs fixed allometry such that the split between leaf, root and stem C are defined by a single state prognostic variable that defines the total biomass. Biomass density increases via growth and is reduced by litter production and competition. Biomass can also increase by spreading through an increase in covered area. N is implemented to limit growth and spreading such that the change in vegetation N cannot exceed the N available.

Not obvious how?

limitation reduces uptake rate

There can be lots of N available but it's the uptake rate that limits photosynthesis.

This section documents the vegetation model starting with the structure of the vegetation (Section 3.1.1) including the additional complexity of labile N (Section 3.1.2). The following subsection describes how growth and spreading is limited by N availability (Section 3.1.3). The final subsection describes how vegetation C and N is turned over by disturbance and competition and aggregated from PFTs to the grid<sup>cell</sup> level (Section 3.1.4). Biological N fixation is input directly into the soil inorganic N pool and is described later in Section 3.3.1.

### 3.1.1 Vegetation Structure

The mean canopy height per PFT  $i$  is converted via allometric equations into a maximum or balanced leaf area index for each PFT ( $\mathcal{L}_{b,i}$  in  $\text{m}^2\text{m}^{-2}$ ).  $\mathcal{L}_{b,i}$  is the prognostic variable used in JULES to describe the vegetation and is functionally the equivalent of the potential leaf area. Given  $\mathcal{L}_{b,i}$ , leaf, root and wood pools are diagnosed for each PFT as introduced in Cox (2001). The balanced leaf area index is updated interactively following the C balance and is coupled to the surface exchange via surface albedo, roughness and heat capacity. This section is included to fully document the new scheme, but the equations can also be found in Clark et al. (2011).

The vegetation C density per PFT ( $C_{v,i}$  in  $\text{kg [C] m}^{-2}$ ) can be separated into leaf ( $L_{c,i}$  in  $\text{kg [C] m}^{-2}$ ), fine root ( $R_{c,i}$  in  $\text{kg [C] m}^{-2}$ ) and total stem plus coarse root ( $W_{c,i}$  in  $\text{kg [C] m}^{-2}$ ) pools, each of which is related allometrically to the balanced leaf area ( $\mathcal{L}_{b,i}$ ). Each component is then related to  $\mathcal{L}_{b,i}$ . Root C is set equal to leaf C, which is itself a linear function of  $\mathcal{L}_{b,i}$ , and total stem C is related to  $\mathcal{L}_{b,i}$  by a power law (Enquist et al., 1998):

$$C_{v,i} = L_{c,i} + R_{c,i} + W_{c,i} \tag{1}$$

$$L_{c,i} = \sigma_{l,i} \mathcal{L}_{b,i} \tag{2}$$

$$R_{c,i} = L_{c,i} \tag{3}$$

$$W_{c,i} = a_{wl,i} (\mathcal{L}_{b,i})^{b_{wl,i}} \quad (4)$$

200 Where  $\sigma_{l,i}$  ( $\text{kg [C] m}^{-2}$ ),  $a_{wl,i}$  ( $\text{kg [C] m}^{-2}$ ) and  $b_{wl,i}$  (dimensionless) are PFT dependent allometric parameters defined in Table 1. By definition  $\mathcal{L}_{b,i}$  does not have an explicit seasonal cycle but responds to changes in the vegetation C on both short (seasonal) and long (centennial) timescales. A high  $\mathcal{L}_{b,i}$  is related to a high C density and tall canopies. It should be noted that leaf seasonality is represented by a separate phenology model and is not directly affected by N availability. TRIFFID

205 combines Equation 4 with a "pipe model" approach (Shinozaki et al., 1964a, b) to obtain the canopy height for PFT  $i$  ( $h_i$  in m):

$$h_i = \frac{W_{c,i}}{a_{wl,i} \eta_{sl,i}} \left( \frac{a_{wl,i}}{W_{c,i}} \right)^{1/b_{wl,i}} \quad (5)$$

*This is AWS in Cox (2011)  
Please define AWS ~~and AWS~~*

where  $\eta_{sl,i}$  ( $\text{kg [C] m}^{-2}$  per unit LAI) relates respiring stem to leaf C (Table 1). We can combine equations 4 and 5 to relate ( $\mathcal{L}_{b,i}$ ) to canopy height ( $h_i$ ) and these two variables can be used

210 interchangeably to describe the state of the vegetation. During a simulation the C pools are updated interactively and the canopy height and balanced leaf area diagnosed for each PFT. This representation allows changes in vegetation C to feedback to surface exchange.

The root and total stem N pools are defined using stoichiometric relationships as a function of the

215 C pools. These stoichiometric functions already exist in the model and are used in the calculation of plant maintenance respiration (Clark et al., 2011). We extend their use to explicitly define N pools as part of the new scheme:

$$R_{n,i} = \mu_{rl,i} n_{l0,i} R_{c,i} \quad (6)$$

220  $W_{n,i} = \mu_{sl,i} n_{l0,i} W_{c,i} \quad (7)$

where  $\mu_{rl,i}$  and  $\mu_{sl,i}$  are dimensionless stoichiometric parameters linking the top leaf N concentration ( $n_{l0,i}$  in  $\text{kg [N] kg [C]}^{-1}$ ) to the total stem and root N pools ( $W_{n,i}$  and  $R_{n,i}$  respectively in  $\text{kg [N] m}^{-2}$ ). The leaf N pool ( $L_{n,i}$  in  $\text{kg [N] m}^{-2}$ ) has an additional dependency on phenological state (Section 3.1.2) and assumed distribution of N in the canopy. Following Equation 1 the total

225 vegetation N store per PFT ( $N_{v,i}$  in  $\text{kg [N] m}^{-2}$ ) is given by:

$$N_{v,i} = L_{n,i} + R_{n,i} + W_{n,i} \quad (8)$$

The C:N ratio of the root and stem pools are fixed in time and leaf pool C:N ratio only varies with phenological state. However, the relative proportions of each pool vary with total biomass resulting in the whole plant C:N ratio increasing with total vegetation C for woody PFTs (Figure 1). This



**Table 1.** Default values of PFT-specific parameters for allometry, allocation and vegetation N and C stoichiometry in the JULES-CN and JULES-CN<sub>layer</sub> configurations. The subscript (*i*) is present to show that it is a PFT-specific value.  $n_{l0,i}$  is the N concentration at the top of the canopy but is shown here as  $1/n_{l0,i}$  so that it is comparable to expected C:N ratios from the literature.

Symbol (units)	Definition	Broadleaf tree	Needleleaf tree	C <sub>3</sub> grass	C <sub>4</sub> grass	Shrub
$\sigma_{l,i}$ (kg [C] m <sup>-2</sup> )	Specific density of leaf C	0.0375	0.1000	0.0250	0.0500	0.0500
$a_{wl,i}$ (kg [C] m <sup>-2</sup> )	Allometric coefficient	0.65	0.65	0.005	0.005	0.10
$a_{ws,i}$ (-)	Ratio total C to respiring stem C	10.0	10.0	1.0	1.0	10.0
$b_{wl,i}$ (-)	Allometric exponent	1.667	1.667	1.667	1.667	1.667
$\eta_{sl,i}$ (kg [C] m <sup>-2</sup> per unit LAI)	Live stemwood coefficient	0.01	0.01	0.01	0.01	0.01
$\mu_{rl,i}$ (-)	Ratio root N to top leaf N	1.0	1.0	1.0	1.0	1.0
$\mu_{sl,i}$ (-)	Ratio stem N to top leaf N	0.1	0.1	1.0	1.0	0.1
$1/n_{l0,i}$ ((kg [C])(kg [N]) <sup>-1</sup> )	C:N ratio at canopy top	21.7	30.3	13.7	16.67	16.67
$k_{n,i}$ (-)	N profile coefficient	0.78	0.78	0.78	0.78	0.78
$\lambda_{r,i}$ (-)	Root N retranslocation coef.	0.2	0.2	0.2	0.2	0.2
$\lambda_{l,i}$ (-)	Leaf N retranslocation coef.	0.5	0.5	0.5	0.5	0.5
$L_{min,i}$ (-)	Minimum balanced LAI	3.0	3.0	1.0	1.0	1.0
$L_{max,i}$ (-)	Maximum balanced LAI	9.0	9.0	4.0	4.0	4.0
$f_{DPM,i}$ (-)	Decomposable litter fraction	0.25	0.25	0.67	0.67	0.33

*Thanks this helps to understand!*

230 is due to the relatively greater proportion of stem C at higher biomass. Grasses show less variation with biomass due to their comparatively small amount of structural C relative to leaf area, which also results in woody PFTs having higher C:N ratios. The total vegetation N increases with canopy height and biomass (Figure 2).

*But I don't understand why.*

*I think, if you were to show Fig 2 for C (kg C/m<sup>2</sup>) it will become obvious. OR just add a sentence here.*

235 **3.1.2 Labile C and N: Phenology and Mobilisation**

The total leaf C pool per PFT ( $L_{c,i}$ , Equation 2) varies allometrically with the vegetation C state on both short (seasonal) and long (centennial) timescales but not with changes in phenological state. Implicit within TRIFFID is a labile leaf C pool that acts as a reserve of C during spring and a store during fall.  $L_{c,i}$  therefore includes a labile pool from which C can be mobilised during leaf out plus an allocated pool representing the actual LAI. The labile pool is zero at full leaf out and at the allometrically defined maximum during the no leaf period. As part of the N coupling we introduce the ability for plants to retranslocate some of the allocated N to the labile N pool according to the phenology. The new parameterisation of retranslocation and labile N is therefore dependent on the



leaf phenological state as well as the fixed stoichiometry. In JULES, leaf phenology is controlled by  
 245 a second state variable ( $p_i$ ) which relates the LAI ( $\mathcal{L}_i$ ) at any moment in time to the balanced leaf  
 area index ( $\mathcal{L}_{b,i}$ ).

$$\mathcal{L}_i = p\mathcal{L}_{b,i} \quad (9)$$

where  $p_i$  is a scalar between 0 and 1 that describes the phenological state of the system (Clark  
 et al., 2011). For evergreen plants  $p_i$  is a constant of 1. The two state variables  $\mathcal{L}_{b,i}$  and  $p_i$  combine  
 250 to define the vegetation state for each PFT  $i$ . Using the phenological state we extend the equivalent  
 approach to leaf C such that the leaf N pool ( $L_{n,i}$ ) has fixed allometry dependent on the phenological  
 state and the magnitude of leaf retranslocation. We introduce this simple parameterisation under the  
 assumption that higher leaf retranslocation during autumn implies a higher labile N store. The leaf  
 N pool therefore becomes:

$$255 L_{n,i} = p_i n_{lc,i} L_{c,i} + (1 - p_i) \left( \frac{1 + \lambda_{l,i}}{2} \right) n_{lc,i} L_{c,i} \quad (10)$$

where  $\lambda_{l,i}$  is the dimensionless leaf N retranslocation coefficient and  $n_{lc,i}$  is the mean canopy N  
 content (Equation 11). Here  $\lambda_{l,i}$  is set to 0.5 for all PFTs (Zaehle and Friend, 2010). The formulation  
 of the labile pool, in this configuration, means that around half of the N required for full leaf-out is  
 taken from retranslocation with a further quarter acquired during the dormant phase while the rest is  
 260 acquired during the active period.

*unclear* → from where to where leaf root/stem?

units??  
 Actually  $n_{lc}$   
 looks like  
 concentration  
 like  $n_{lo}$  with  
 units of  
 $gN/gC$

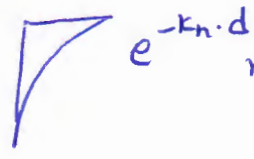
JULES assumes a process-based scaling-up of leaf level photosynthesis to the the canopy level. In  
 both the JULES-CN and JULES-CN<sub>layer</sub> configurations, to be consistent with the JULES-C model,  
 we assume a multi-level canopy with leaf N decreasing exponentially through the canopy (*CanRad-*  
 265 *Mod5*). The plant physiology routines uses this assumed distribution to calculate penetration through  
 the canopy and photosynthesis on individual layers before scaling back to the canopy (Clark et al.,  
 2011). In the application here, we use this distribution to be fully consistent with the physiology.  
 The vertical distribution of leaf N content in the canopy is described by (Mercado et al., 2007):

$$n_{lc,i}(d) = n_{l0,i} \exp(-k_{n,i}d) \quad (11)$$

270 where  $k_{n,i}$  is a constant representing the profile of N and  $d$  represents the fraction of canopy above  
 the layer. Based on observed N profiles in the Amazon basin (Carswell et al., 2000), a value of 0.78  
 for  $k_{n,i}$  was found (Mercado et al., 2007). Equation 11 is independent of leaf area and therefore  
 equates to a constant of proportionality relating PFT-specific top leaf N to the mean canopy N con-  
 centration. The mean canopy leaf C:N ratio is consequently ~44% higher than the top leaf ratio.

I got confused  
 since  $n_{lo}$  is  $gN/gC$   
 and C:N is  $gC/gN$ .  
 I get it now  
 but consider  
 rewording or explaining

275  
 $d=0$   
 $d=1$



means concentration decreases with canopy depth. ~~leaf C:N ratio~~

At  $d=0$ ,  $n_{lc} = n_{lo}$   
 $d=1$ ,  $n_{lc} = n_{lo} \cdot e^{-k_n}$

~~If leaf C:N ratio~~

Please clarify your terms - concentration  
content  
density

### 3.1.3 Vegetation Growth and Allocation

The previous section describe how the vegetation C ( $C_{v,i}$ , Equation 1) and vegetation N ( $N_{v,i}$ , Equation 8) for each PFT vary with vegetation size and phenological state. This section describes how growth and spreading are limited by available N. Growth is the increase in C density and spreading is the increase in vegetation cover from recruitment and reproduction.

Net Primary Productivity (NPP) in JULES-C is simply the difference between GPP and autotrophic respiration ( $R_a$ ). In JULES-CN the potential NPP or  $NPP_{pot}$  is defined in the same way as the NPP in JULES-C before the explicit N cycle was included, i.e. the potential amount of C that can be allocated to growth and spreading by TRIFFID. In JULES-CN and in order for the NPP to achieve its potential it needs to be able to uptake sufficient inorganic N. If not enough inorganic N is available, the system is N limited and an additional term is required in the C balance representing ~~excess~~ C which cannot be assimilated into the plant due to lack of available N ( $\Psi$  in  $\text{kg [C] m}^{-2}$ ). A positive  $\Psi$  results in a reduction of carbon use efficiency (CUE).

The C balance per PFT  $i$  is given by:

$$\frac{dC_{v,i}}{dt} = (1 - \lambda_i)\Pi_{c,i} - \Lambda_{lc,i} - \Psi_{g,i} \quad (12)$$

where  $\Pi_{c,i}$  is the potential NPP per unit area of PFT (prior to nutrient limitation) and  $\Lambda_{lc,i}$  ( $\text{kg [C] m}^{-2}$ ) is the PFT specific litterfall rate (Section 3.1.4). Any excess C from growth ( $\Psi_{g,i}$ ) is considered an additional plant respiration term and at the end of the TRIFFID timestep is used to reduce the potential NPP for each PFT to its actual value.  $\lambda_i$  is the coefficient for partitioning the NPP between growth and spreading.  $\lambda_i$  is utilised in increasing the fractional coverage of the vegetation and  $(1 - \lambda_i)$  increases the C content of the existing vegetated area.  $\lambda_i$  is a function of the vegetation C which itself is a function of the balanced LAI for PFT  $i$  ( $\mathcal{L}_{b,i}$ ):

$$\lambda_i = \begin{cases} 1 & \mathcal{L}_{b,i} > \mathcal{L}_{max,i} \\ \frac{\mathcal{L}_{b,i} - \mathcal{L}_{min,i}}{\mathcal{L}_{max,i} - \mathcal{L}_{min,i}} & \mathcal{L}_{min,i} < \mathcal{L}_{b,i} \leq \mathcal{L}_{max,i} \\ 0 & \mathcal{L}_{b,i} \leq \mathcal{L}_{min,i} \end{cases} \quad (13)$$

The equivalent N balance per PFT is given by:

$$\frac{dN_{v,i}}{dt} = (1 - \lambda_i)\Phi_i - \Lambda_{ln,i} \quad (14)$$

where  $\Phi_i$  ( $\text{kg [N] m}^{-2}$ ) is the PFT specific N uptake (see Equation 19) and  $(1 - \lambda_i)\Phi_i$  is equal to  $\Phi_{g,i}$ , the N uptake available for growth.  $\Lambda_{ln,i}$  is the PFT N litter flux after retranslocation of N from leaves and roots. The N available for spreading is a fraction  $\lambda_i$  of the total available N with a fraction  $(1 - \lambda_i)$  available for growth.

$\alpha$

What does  
Subscript g  
means  
C - carbon  
n - nitrogen

I think, it's incorrect/illogical to call this excess C. This is more like "mixed C" for lack of a better term.

note the time here

Why is this not a flux  
The units are for a pool.

taking into account the



Litter is produced by the turnover of the leaf, wood and root pools for each PFT, defined as

$$\Lambda_{lc,i} = \gamma_{l,i} L_{c,i} + \gamma_{r,i} R_{c,i} + \gamma_{w,i} W_{c,i}$$

310 and

$$\Lambda_{ln,i} = (1 - \lambda_{l,i}) \gamma_{l,i} L_{n,i} + (1 - \lambda_{r,i}) \gamma_{r,i} R_{n,i} + \gamma_{w,i} W_{n,i}$$

for litter C ( $\Lambda_{lc,i}$  in  $\text{kg [C] m}^{-2}$ ) and litter N ( $\Lambda_{ln,i}$  in  $\text{kg [N] m}^{-2}$ ) respectively.  $\gamma_{r,i}$  and  $\gamma_{w,i}$  are turnover rates in  $s^{-1}$  (Table 6 of Clark et al. (2011)). The leaf turnover rate ( $\gamma_{l,i}$ ) is a temperature dependent turnover rate consistent with the phenological state and defined in Clark et al. (2011). The equivalent term for N allows for retranslocation of N from leaves into the labile store and a reduced N cost of maintaining fine roots.  $\lambda_{l,i}$  and  $\lambda_{r,i}$  are the dimensionless coefficients for the retranslocation of leaf and root N shown in Table 1 (Zaehle and Friend, 2010).

315

*I'm getting hung of this. Perhaps say it somewhere earlier why you call it excess.*

Equations 12 and 14 have two unknowns for each PFT: the plant N uptake for growth ( $\Phi_{g,i}$ ) and the excess C from growth ( $\Psi_{g,i}$ ). The litter fluxes are functions of the total vegetation pool and therefore can be solved at the same time. Solving for the case where  $\Psi_{g,i} = 0.0$  gives the total vegetation N demand for growth. If the N demand is less than that available ( $\Phi_{g,i} < (1 - \lambda_i) N_{avail,i}$ ) growth is ~~not~~ limited and the fluxes ~~are~~ updated accordingly. Where N is limiting, growth N uptake is set equal to the available N ( $\Phi_{g,i} = (1 - \lambda_i) N_{avail,i}$ ) and the excess assimilate  $\Psi_{g,i}$  solved for. Following the solution of  $\frac{dN_{v,i}}{dt}$  the C store and balanced LAI ( $\mathcal{L}_{b,i}$ ) are updated and the leaf, root and wood pools for each PFT can be derived following the allometric equations (Equations 2-4).

320

*This is unrealistic. Are u saying if enough N is in soil there is no N limitation regardless of uptake rate.*

In JULES-CN, on a PFT basis, the N available for plant uptake ( $N_{avail,i}$ ) is the inorganic soil N pool ( $N_{in}$ ) split equitably between the PFTs assuming there is no differential ability between PFTs to acquire N. The available N in JULES-CN<sub>layer</sub> is more complicated taking into account the soil profile and is discussed in Section 3.3.2.

330

*Are fractional coverages of PFTs taken into account?*

The remaining proportion ( $\lambda_i$ ) of NPP and N is allocated to spreading. The N demand for spreading is equal to the C allocated to spreading scaled by the whole plant stoichiometry:

$$335 \quad \Phi_{s,i} = \frac{N_{v,i}}{C_{v,i}} \left( \Pi_{c,i} - \frac{dC_{v,i}}{dt} - \Psi_{s,i} \right) \quad (17)$$

where  $\Psi_{s,i}$  (or  $\lambda_i \Psi_i$ ) is the excess C term from spreading and  $\frac{N_{v,i}}{C_{v,i}}$  is the inverse of the the whole plant C:N ratio. As with growth limitation, equation 17 is first solved to find the N demand for spreading ( $\Psi_{s,i} = 0.0$ ). If the arising demand is less than the available N ( $\Phi_{s,i} < \lambda_i N_{avail,i}$ ) spreading is unlimited. If N demand is in excess of that available, the uptake is set equal to the available N ( $\Phi_{s,i} = \lambda_i N_{avail,i}$ ) and the excess ( $\Psi_{s,i}$ ) assimilate solved for.

340

*I suppose these are different from  $\lambda_i$  in eqn(13). Please use (15) a different symbol here. It's very confusing to use  $\lambda$  for two processes which are completely different.*

*What you are calling 'available' is in fact N uptake during a time step. Correct? There may be lots of N available in soil but the plant N uptake rate determines if enough N is there to match C uptake from the atmosphere.*



Total excess C per PFT is therefore the combination of that from growth plus spreading:

$$\Psi_i = \Psi_{s,i} + \Psi_{g,i} \quad (18)$$

where  $\Psi_i$  is -----

Similarly total N uptake per PFT is therefore the combination of N uptake from growth plus N uptake from spreading:

$$\Phi_i = \Phi_{s,i} + \Phi_{g,i} \quad (19)$$

The PFT level N uptake and excess C are weighted by the PFT fraction ( $v_i$ ) and summed to get the totals: *grid averaged values:*

$$\Phi = \sum_i v_i \Phi_i \quad (20)$$

$$\Psi = \sum_i v_i \Psi_i \quad (21)$$

This excess C ( $\Psi$ ) is considered an additional plant respiration term and at the end of the TRIFFID timestep is used to reduce the potential NPP to its actual value.

The C and N allocated to spreading allow the vegetation to expand onto bare ground. Where space is limiting the *PFTs* competes for space with some C and N being turned over as litter. The competition is handled in the Lotka-Volterra competition routines (see Clark et al. (2011) for full details). N only indirectly affects competition through the PFT specific allometric relationships. The competition code subsequently updates the *vegetation fractions ( $v_i$ )*.

### 3.1.4 Vegetation Turnover and Total Litter Production

The previous sections describe how N interacts to limit both growth and spreading of vegetation in the dynamic vegetation model. This final section describes the turnover of C and N through large-scale disturbance and competition.

Turnover is aggregated across PFTs to provide a litter flux term to the soil biogeochemistry which acts on an aggregated tile. Total litter C ( $\Lambda_c$ , kg [C] m<sup>-2</sup>) is made-up of the area-weighted sum of the litter C from each PFT ( $\Lambda_{lc,i}$ ), along with large-scale PFT-dependent disturbance rate, and a density dependent component from intra-PFT competition for space. Large-scale disturbance is implemented in TRIFFID as a constant disturbance rate per PFT and captures processes such as wind-throw and other mortality events. Density dependent litter production arises through competition for space with increased turnover when space is limiting and plants are competing for space and

How is this different from  $\Pi_{c,i}$  in eqn (12)?

light.

$$\Lambda_c = \sum_i v_i \left( \Lambda_{lc,i} + \gamma_{v,i} C_{v,i} + (\Pi_i - \Psi_i) \sum_j c_{i,j} v_j \right)$$

You used  $\Psi_{g,i}$  in eqn (12). Are they the same? OK. I see this in eqn 18.

where  $c_{i,j}$  are the competition coefficients describing the effect of PFT  $i$  on PFT  $j$ ,  $\gamma_{v,i}$  is a large scale disturbance term of PFT  $i$  and  $v_i$  is the vegetation fraction of PFT  $i$ . The effect of N limitation on the litter C flux is captured in the excess C term per PFT ( $\Psi_i$ ). Similarly to the total litter C, total litter N ( $\Lambda_n$ , kg [N] m<sup>-2</sup>) is given by:

$$\Lambda_n = \sum_i v_i \left( \Lambda_{ln,i} + \gamma_{v,i} N_{v,i} + \Phi_i \sum_j c_{i,j} v_j \right)$$

You mean leaves, stem, or fine roots? (23)

Both  $\Lambda_c$  and  $\Lambda_n$  vary according to the vegetation type and the pool being turned over. This means that the C:N ratio also varies in time and space.

### 3.2 Soil Biogeochemistry

The soil biogeochemistry in JULES-CN operates on aggregated tiles and follows the Roth-C soil C model (Jenkinson et al., 1990; Jenkinson and Coleman, 1999) used in JULES-C on the TRIFFID timestep, with the addition of a prognostic soil N model. The soil N model simulates immobilisation and mineralisation in the four pools and, if N is limiting, slows the decomposition rate of litter into soil organic matter (SOM).

Does this mean at the grid cell level?

Does this sentence mean both Jules-C and Jules-CN use the Roth-C model and at a 10-day time step. If yes (please reword your text).

fluxes for which 4 pools, please name them. Oh! They are mentioned here! Please reword your text.

The soil C model comprises four C pools ( $p$ ). Plant litter input is split between two C pools of decomposable ( $DPM$ ) and resistant ( $RPM$ ) plant material, with the fraction that goes to each depending on the overlying vegetation type and parameterised via  $f_{DPM,i}$ . Grasses provide a higher fraction of decomposable litter input and trees provide a higher fraction of resistant litter input. The other two C pools are microbial biomass ( $BIO$ ) and long-lived humified ( $HUM$ ) pools. The  $DPM$  and  $RPM$  pools can be characterised as representing litter and  $BIO$  and  $HUM$  as representing soil organic matter. C from decomposition of all of the pools is partly released to the atmosphere, and the remaining fraction ( $\beta_R$ ) enters the  $BIO$  and  $HUM$  pools. The C pools are updated according to:

$$\frac{dC_{DPM}}{dt} = \sum_i (v_i f_{DPM,i} \Lambda_{c,i}) - R_{DPM} \quad (24)$$

$$\frac{dC_{RPM}}{dt} = \sum_i (v_i (1 - f_{DPM,i}) \Lambda_{c,i}) - R_{RPM} \quad (25)$$

$$\frac{dC_{BIO}}{dt} = 0.46 \beta_R R_{tot} - R_{BIO} \quad (26)$$

$$\frac{dC_{HUM}}{dt} = 0.54\beta_R R_{tot} - R_{HUM} \quad (27)$$

where  $t$  is the time in s;  $C_p$  are the C pools in  $\text{kg [C] m}^{-2}$  (where  $p$  is one of  $DPM$ ,  $RPM$ ,  $BIO$ ,  $HUM$ );  $\Lambda_{c,i}$  is the litter input for PFT  $i$  in  $\text{kg [C] m}^{-2} \text{ s}^{-1}$  (term in brackets in Equation 22);  $f_{DPM,i}$  represents the fraction of litter from each PFT  $i$  that goes into  $DPM$  with the rest  
 405  $(1 - f_{DPM,i})$  going into the  $RPM$  pool (dependent on amount of woody vegetation); and  $R_{tot}$  is the total turnover in  $\text{kg [C] m}^{-2} \text{ s}^{-1}$ , where the  $R_p$  represent the turnovers of each C pool:

$$R_{tot} = R_{DPM} + R_{RPM} + R_{BIO} + R_{HUM} \quad (28)$$

The soil respiration to the atmosphere ( $r_h$ ) is given by:

$$r_h = (1 - \beta_R)R_{tot} \quad (29)$$

410 where  $\beta_R$  depends on soil clay content ( $clay$  in %) and ranges from 0.25 for a soil with no clay content to 0.15 for a clay soil:

$$\beta_R = \frac{1}{4.09 + 2.67e^{(-0.079clay)}} \quad (30)$$

415 The N pools follow a similar structure to the C pools:

$$\frac{dN_{DPM}}{dt} = \sum_i (v_i f_{DPM,i} \Lambda_{n,i}) - M_{DPM} \quad (31)$$

$$\frac{dN_{RPM}}{dt} = \sum_i (v_i (1 - f_{DPM,i}) \Lambda_{n,i}) - M_{RPM} \quad (32)$$

$$\frac{dN_{BIO}}{dt} = 0.46I_{tot} - M_{BIO} \quad (33)$$

$$\frac{dN_{HUM}}{dt} = 0.54I_{tot} - M_{HUM} \quad (34)$$

420 Inputs into the litter pools ( $DPM$ ,  $RPM$ ) are from the litter N flux ( $\Lambda_{n,i}$  in  $\text{kg [N] m}^{-2} \text{ s}^{-1}$ , Equation 23) and losses are determined by the pool specific mineralisation of organic N into inorganic N ( $M_p$  in  $\text{kg [N] m}^{-2} \text{ s}^{-1}$ ). Following the framework of the RothC model, input into both the  $BIO$  and  $HUM$  N pools is from the total immobilisation of inorganic N into organic N ( $I_{tot}$  in  $\text{kg [N] m}^{-2} \text{ s}^{-1}$ ):

$$425 \quad I_{tot} = I_{DPM} + I_{RPM} + I_{BIO} + I_{HUM} \quad (35)$$



For each soil C pool ( $p$ ), the potential turnover - i.e. the turnover rate when the N in the system is not limiting - is given by ( $R_{p,pot}$ ):

430 
$$R_{p,pot} = k_p C_p F_T(T_{soil}) F_\theta(\theta) F_v(v) \quad (36)$$

where the  $k_p$  are fixed constants in  $s^{-1}$  (Clark et al., 2011). The functions of temperature ( $F_T(T_{soil})$ ) and moisture ( $F_\theta(\theta)$ ) depend on the temperature ( $T_{soil}$ ) and moisture content ( $\theta$ ) near the soil surface. The function  $F_v(v)$  depends on the vegetation cover fraction ( $v$ ) (Clark et al., 2011). The potential mineralisation of organic N when the system is not N limited ( $M_{p,pot}$ ) is related to the potential turnover rates by the C to N ratio of each pool ( $CN_p$ ):

435 
$$M_{p,pot} = \frac{R_{p,pot}}{CN_p} \quad (37)$$

Similarly, the potential immobilisation of inorganic N into the organic N pools ( $I_{p,pot}$ ) is related to pool potential turnover ( $R_{p,pot}$ ), the retained fraction of respiration ( $\beta_R$ ), and the C to N ratio of the destination pool in the decomposition chain:

440 
$$I_{p,pot} = \beta_R \frac{R_{p,pot}}{CN_{soil}} \quad \text{Is there } I_p = F_N \beta_R \frac{R_p}{CN_{soil}} \quad (38)$$

Where  $CN_{soil}$  is a model parameter that fixes the C to N ratios of the two destination soil organic pools (*HUM* and *BIO*) and has a default value of 10. The C to N ratio of the *DPM* and *RPM* litter pools is a function of litter quality and varies temporally and spatially depending on the contributions of the different PFTs within the grid cell. Potential mineralisation ( $M_p$ ) and potential immobilisation ( $I_p$ ) fluxes are defined before any N limitation is applied and take values that maintain the constant C:N ratio for the *HUM* and *BIO* pools.

When N is limiting, the turnover of the two litter pools (*DPM* and *RPM*) into the soil organic matter pools is additionally limited by the availability of N:

450 
$$R_p = k_p C_p F_T(T_{soil}) F_\theta(\theta) F_v(v) F_N \quad (39)$$

where  $p$  is one of *RPM* or *DPM*.  $F_N$  is the litter decomposition rate modifier and is given by the ratio of the N available in the soil to the N required by decomposition (Equation 40).  $F_N$  is limited to a range of 0.0 to 1.0. When  $F_N$  is equal to 1, the decomposition, mineralisation and immobilisation take place at the potential rate and the system is not N limited. Where  $F_N$  is less than 1, the availability of N limits the decomposition of litter into soil organic matter. This limitation is because respiration is carried out by microbes who require sufficient N to convert the *RPM* and *DPM* pools into *BIO* and *HUM* pools.  $F_N$  is given by:

455 
$$F_N = \frac{(M_{BIO} + M_{HUM} - I_{BIO} - I_{HUM} + N_{in})}{(D_{DPM} + D_{RPM})} \quad (40)$$

↓ These are fluxes. ~~total~~  $N_{in}$  will always be much larger than the fluxes which tell me  $F_N$  will always be 1

But  $F_N$  is only shown here for turnover

Something doesn't add up

This is a pool as mentioned on next page (kg N/m<sup>2</sup>).

I am unable to follow the  $F_N$  logic properly.

where  $N_{in}$  is the total soil inorganic N pool in  $\text{kg [N] m}^{-2}$  (discussed in Section 3.3 and defined in Equation 51).  $D_{DPM}$  and  $D_{RPM}$  are the net demand associated with decomposition of each of the litter pools:

$$D_p = I_{p,pot} - M_{p,pot} \quad (41)$$

where  $p$  is one of  $RPM$  or  $DPM$ . This demand is always positive because the C to N ratio of soil is very much less than the C to N ratio of the  $DPM$  and  $RPM$  pools. When the net demand is in excess of the available inorganic N, the system is N limited and  $F_N < 1.0$ . This available N is mainly the net mineralised N from the turnover of  $BIO$  and  $HUM$  pools but also from the inorganic N pool. N limitation reduces the soil respiration, mineralisation and immobilisation of the two litter pools ( $RPM$  and  $DPM$ ). The C:N ratio of these two pools are variable in time and are represented as prognostic variables. The other two organic matter pools ( $BIO$  and  $HUM$ ) always respire and are mineralised and immobilised at the potential rate (so  $F_N$  is effectively 1.0).

I'm not sure. Yes  $C:N_{soil} < C:N_{litter}$  but the turnover of litter is  $>$  turnover of soil. So it depends on fluxes. Also if  $D_p$  is always  $-ve$  wouldn't that mean the soil organic N pool will keep on increasing over time.  
You haven't shown how.

If the net mineralisation is positive some of the N is emitted as gas, according to:

$$N_{gas} = f_{gas}(M_{tot} - I_{tot}) \quad (42)$$

where  $N_{gas}$  is one component of the gas emission in  $\text{kg [N] m}^{-2} \text{s}^{-1}$ ,  $f_{gas}$  is a parameter that sets the fraction of the N flux that is emitted as gas to the atmosphere. Following Thomas et al. (2013a), it is assumed that 1% of net mineralisation is emitted as gas ( $f_{gas}$  is set to 0.01).  $M_{tot}$  is the total mineralisation flux in  $\text{kg [N] m}^{-2} \text{s}^{-1}$ :

$$M_{tot} = M_{DPM} + M_{RPM} + M_{BIO} + M_{HUM} \quad (43)$$

If pool sizes become too small  $N_{gas}$  could become negative to ensure N is conserved.

### 3.2.1 Vertical discretisation

The vertical discretisation of the soil C and N follows Burke et al. (2017). There is a set of four soil C and N pools ( $DPM$ ,  $RPM$ ,  $BIO$ ,  $HUM$ ) in every soil model layer. As in Burke et al. (2017) the turnover rate is determined for each soil layer depending on the temperature, moisture conditions and N availability in that layer. An extra reduction of turnover with depth ( $z$ ) is included to account for factors that are currently missing in the model such as priming effects, anoxia, soil mineral surface and aggregate stabilisation. The potential turnover of each layer is given by:

$$R_{p,pot}(z) = k_p C_p(z) F_T(T_{soil}(z)) F_\theta(\theta(z)) F_v(v) \exp(-\tau_{resp} z) \quad (44)$$

$F_T(T_{soil}(z))$ ,  $F_\theta(\theta(z))$  and  $C_p(z)$  are now all dependent on depth.  $T_{soil}(z)$  and  $\theta(z)$  are the simulated layered soil temperature and soil moisture content and  $C_p(z)$  is the simulated soil C content for each layer and pool  $p$ . The additional reduction of turnover with depth is exponential, with  $\tau_{resp}$

$\tau$  is typically used for terms associated with time. Here you have the context of depth. Please consider using some other greek variable



an empirical parameter (in  $m^{-1}$ ) that controls the magnitude of the reduction (Burke et al., 2017). The larger the value of  $\tau_{resp}$ , the more inhibited the respiration is with increasing depth. Here  $\tau_{resp}$  was tuned to give a realistic estimate of soil C in a vertically resolved version of JULES-C as in Burke et al. (2017). When N is limiting, the respiration of the *DPM* and *RPM* pools are reduced  
 495 by a factor of  $F_N(z)$  which is also now a function of depth and dependent on the available N in the relevant layer.  $M_p$  and  $I_p$  are also calculated as a function of depth based on their relationship with respiration.

The vertical mixing of each soil N pool follows that of the soil C pools:

$$\frac{\partial N_{DPM}(z)}{\partial t} = \frac{\partial}{\partial z} \left( D(z) \frac{\partial N_{DPM}(z)}{\partial z} \right) + \sum_i (v_i f_{DPM,i} \Lambda_{n,i} f_{lit}(z)) - M_{DPM}(z) \quad (45)$$

500 
$$\frac{\partial N_{RPM}(z)}{\partial t} = \frac{\partial}{\partial z} \left( D(z) \frac{\partial N_{RPM}(z)}{\partial z} \right) + \sum_i (v_i (1 - f_{DPM,i}) \Lambda_{n,i} f_{lit}(z)) - M_{RPM}(z) \quad (46)$$

$$\frac{\partial N_{BIO}(z)}{\partial t} = \frac{\partial}{\partial z} \left( D(z) \frac{\partial N_{BIO}(z)}{\partial z} \right) + 0.46 I_{tot}(z) - M_{BIO}(z) \quad (47)$$

$$\frac{\partial N_{HUM}(z)}{\partial t} = \frac{\partial}{\partial z} \left( D(z) \frac{\partial N_{HUM}(z)}{\partial z} \right) + 0.54 I_{tot}(z) - M_{HUM}(z) \quad (48)$$

$I_{tot}(z)$  is the total immobilisation in  $kg [N] m^{-2} s^{-1}$  in each layer (following Equation 35).  $D(z)$  is the diffusivity in  $m^2 s^{-1}$  and varies both spatially and with depth (Burke et al., 2017):

505 
$$D(z) = \begin{cases} D_o & ; & z \leq 1m \\ \frac{D_o}{2}(3-z) & ; & 1m < z < 3m \\ 0.0 & ; & z \geq 3m \end{cases} \quad (49)$$

*not clear from text below how D(z) varies spatially.*

Without permafrost,  $D_o$  ( $m^2 s^{-1}$ ) is given by a bioturbation mixing rate equivalent to  $1 cm^2 year^{-1}$ . When permafrost is present, the mixing represents cryoturbation and  $D_o$  increases to a value equivalent to  $5 cm^2 year^{-1}$ . This parameterisation of  $D(z)$  means that the soil organic pools can transfer between the active layer and the permanently frozen soils in a steady state climate albeit at a relatively slow rate. The PFT dependent litter inputs ( $f_{lit}(z) \Lambda_{n,i}$ ) are distributed so that they decline  
 510 exponentially with depth, with an e-folding depth of 0.2 m. This profile is independent of the root distribution:

$$f_{lit,i}(z) = \frac{\exp(-\tau_{lit} z)}{\int_0^{z_{max}} \exp(-\tau_{lit} z) dz} \quad (50)$$

*Is this  $\tau_{lit}$ ?*

Where  $\tau_{lit}$  is a parameter to reduce the litter input with increasing depth. The mineralised gas emissions are now a function of depth ( $N_{gas}(z)$ ) and are calculated by repeating Equation 42) for each

515 *Each layer  $z_i$  has a thickness. So shouldn't eqn 50 be something like  $\int_{z_i}^{z_{i+1}} \exp(-\tau_{lit} z) / \int_0^{z_{max}} \exp(-\tau_{lit} z)$*   
*so that all  $f_{lit}(z)$  add to 1.*

soil layer. Similarly, the litter decomposition rate modifier ( $F_N$ ) is calculated by repeating a slightly modified version of Equation 40 for each soil layer. In the vertically resolved version of Equation 40, if the soil layer is frozen  $N_{in}$  is not available so effectively zero.

520 **3.3 Inorganic Nitrogen**

The inorganic N pool *changes in the* is the *result from* sum of deposition, fixation, immobilisation losses, mineralisation inputs, gridbox mean plant uptake and inorganic N losses through leaching and gaseous emission. For the bulk case (JULES-CN), these terms are simply added together:

$$\frac{dN_{in}}{dt} = N_{dep} + \sum_i v_i BNF_i - \sum_i v_i \Phi_i + M_{net} - N_{leach} - N_{gasI} \quad (51)$$

525 where  $N_{in}$  is the inorganic N in  $\text{kg [N] m}^{-2}$ ,  $N_{dep}$  is prescribed N deposition in  $\text{kg [N] m}^{-2} \text{ s}^{-1}$  and  $v_i$  the fractional cover of each PFT  $i$ . The biological N fixation ( $BNF_i$ ) for each PFT  $i$  is described in Section 3.3.1 below and plant uptake ( $\Phi_i$ ) for each PFT  $i$  is described in Section 3.1.3.  $M_{net}$  is the net mineralisation which is the difference between  $M_{tot}$  (Equation 43) and  $I_{tot}$  (Equation 35) reduced by  $N_{gas}$  (Equation 42). The loss of N from the system via the inorganic pool is the sum  
530 of leaching ( $N_{leach}$  in  $\text{kg [N] m}^{-2} \text{ s}^{-1}$ ) plus an additional gas loss to the atmosphere ( $N_{gasI}$  in  $\text{kg [N] m}^{-2} \text{ s}^{-1}$ ):

$$N_{gasI} = \gamma_n N_{in} \quad (52)$$

where  $\gamma_n$  is a tunable parameter (in  $\text{s}^{-1}$ ). The total N gas loss is the sum of  $N_{gasI}$  above and  $N_{gas}$  from Equation 42 with  $N_{gasI}$  representing approximately 90% of the total gas loss. This additional  
535 gas loss term ( $N_{gasI}$ ) represents missing processes relating to the gaseous loss of inorganic N and limits the effective mineral N pool size. Including  $N_{gasI}$  ensures that available N does not increase excessively, potentially due to excessive biological N fixation in regions where the NPP is very close or equal to the  $NPP_{pot}$ . In the current model configuration  $\gamma_n$  is set to  $0.0028 \text{ day}^{-1}$  such that the whole pool turns over once every model year.

540 The leaching of N ( $N_{leach}$  in  $\text{kg [N] m}^{-2} \text{ s}^{-1}$ ) through the profile is assumed to be a function of the net flux of moisture through the soil profile, the concentration of inorganic N, and a parameter ( $\alpha$ , dimensionless) representing the effective solubility of N.  $\alpha$  is assumed to have a value of 0.1 and in JULES-CN represents the combined sorption of all inorganic N species (Wania et al., 2012).

$$N_{leach} = \alpha(N_{in}/\theta_{1m})Q_{subs} \quad (53)$$

545 where  $\theta_{1m}$  is the soil water content in the top 1m of soil in  $\text{kg m}^{-2}$  (so the inorganic N is assumed to occupy the top 1m of soil), and  $Q_{subs}$  is the total subsurface runoff in  $\text{kg m}^{-2} \text{ s}^{-1}$ .



$i$  = PFT index

$Z$  = layer index, but this implies  $Z$  is the depth

580 (Equation 56);  $f_{I,i}(z)$  - the fraction of available inorganic N in each layer for PFT  $i$  (Equation 60) and  $N_{flux}(z)$  - the transport of inorganic N through the layer by the soil water fluxes (Equation 61).

As in Equation 51 the net mineralisation flux ( $M_{net}(z)$ ) is the difference between  $M_{tot}(z)$  and  $I_{tot}(z)$  reduced by  $N_{gas}(z)$  for each layer (see Section 3.2.1). N deposition ( $N_{dep}$ ) is only added to  
585 the uppermost soil layer. Inputs from biological N fixation from PFT  $i$  are distributed according to the root profile of the PFT under consideration ( $f_{R,i}(z)$ ):

$$f_{R,i}(z) = \frac{f_{root,i}(z)}{\int_0^{z_{max}} f_{root,i}(z) dz} \quad (56)$$

where  $f_{root,i}(z)$  is the volumetric root fraction of PFT  $i$  at a given soil level and  $z_{max}$  is the maximum depth of the soil in m. Gas loss from the inorganic N ( $N_{gas}(z)$ ) occurs in each layer, but with  
590 an additional exponential decay term which is a function of depth (similar to that used in Equation 44 for the soil decomposition). This term empirically represents the factors that reduce soil activity with depth. The additional gas loss term thus becomes:

$$N_{gas}(z) = \gamma_n N_{in}(z) \exp(-\tau_{resp} z) \quad (57)$$

This leaves two terms in Equation 55: the plant uptake term ( $\sum_i v_i \Phi_i f_{I,i}(z)$ ) which is PFT dependent and the  $N_{flux}(z)$  term, which replaces the leaching term from Equation 51. These have a more  
595 process-based representation in the layered case. When calculating the plant uptake term we assume that plants cannot access all the inorganic N. Firstly, if a soil layer is frozen then plants cannot uptake any of the N in that layer. Secondly, we assume that they only have direct access to a certain fraction of the soil, according to their root fraction,  $f_{root,i}$  (which reduces with depth). So for each PFT,  $i$ ,  
600 there is an 'available' inorganic N pool ( $N_{avail,i}(z)$ ), which at equilibrium is as follows:

$$N_{avail,i}(z) = f_{root,i}(z) N_{in}(z) T(z) \quad (58)$$

Assuming  $T(z) = 1$ , if I substitute  $N_{avail,i}(z)$

Where  $T(z)$  is zero when the soil temperature is  $0^\circ C$  or colder and 1 when it is above  $0^\circ C$ . However, the system is not necessarily in equilibrium - as N is taken up from the available pool around the  
605 roots, there will be a delay in this volume getting 're-filled'. We assume that the inorganic N is constantly diffusing back to the equilibrium state where the concentration is constant both horizontally and vertically within each layer, and thus after the extraction on a particular TRIFFID timestep we update the available N pool according to:

$$\frac{dN_{avail,i}(z)}{dt} = \gamma_{dif} (f_{root,i}(z) N_{in}(z) - N_{avail,i}(z)) = \gamma_{dif} (f_{root,i}(z) \cdot N_{in}(z) - f_{root,i}(z) \cdot N_{in}(z)) \quad (59)$$

where  $\gamma_{dif}$  is the rate of diffusion back to the equilibrium, set by default to  $0.28 \text{ day}^{-1}$  or approximately  $100 \text{ year}^{-1}$ .  $N_{avail,i}(z)$  is then multiplied by  $T(z)$  to incorporate the frozen soil effect. Any  
610 biological N fixation goes directly into the available pool. Plant uptake is extracted entirely from the

If you keep it discrete and not continuous  
 $f_{R,i}(z) = \frac{f_{root,i}(z)}{\sum_i f_{root,i}(z)}$   
then it makes more sense.

from here into eqn 59

I get

$= \gamma_{dif} \times \Phi$   
 $= \Phi$

what am I missing?

How can you write an expression for  $N_{avail,i}(z)$  and then it's rate change eqn. If you know rate of change of a pool, it's new value is its previous value plus the change. Something doesn't quite make sense here.

### 3.3.1 Biological Nitrogen Fixation (*BNF*)

Biological nitrogen fixation (*BNF*) is the largest natural supplier of N to the terrestrial ecosystem. Following the secondary model of Cleveland et al. (1999), N fixation is determined as a linear proportion of the net primary production before N limitation of each PFT *i* ( $NPP_{pot,i}$ ):

$$BNF_i = \zeta NPP_{pot,i} \quad (54)$$

$NPP_{pot,i}$  is defined in the same way as the net primary productivity in JULES before the explicit N cycle was included, i.e. before the excess carbon ( $\Psi$ ) is removed. *BNF* as a function of NPP is an established method used and assessed in other models (Meyerholt et al., 2016; Wieder et al., 2015a; Thomas et al., 2013b). While some models utilise more complex *BNF* representations (Fisher et al., 2010), a lightweight approach is preferred here while the benefits of extra computational expense on *BNF* are not yet established, and evidence is lacking that a different simple representation (e.g. evapotranspiration) would perform better (Davies-Barnard and Friedlingstein, 2020). However, changes in NPP may not accurately reflect changes in *BNF* with forcings such as elevated atmospheric carbon dioxide (Liang et al., 2016) or additional N (Thomas et al., 2013b; Ochoa-Hueso et al., 2013).

The rate of fixation ( $\zeta$ ) is set such that global present day net primary productivity of approximately  $60 \text{ Pg C yr}^{-1}$  results in approximately  $100 \text{ Tg N yr}^{-1}$  fixation ( $0.0016 \text{ kg [N] kg C}^{-1}$ ), within the range of recent global observation-based estimates of *BNF* (Davies-Barnard and Friedlingstein, 2020; Vitousek et al., 2013). The parameterisation based on NPP results in a latitudinal gradient with the highest rates of fixation in the tropics and lowest in boreal forests and arctic tundra which is consistent with some estimates of *BNF* (Houlton et al., 2008; Cleveland et al., 1999) though not recent observational meta-analyses (Davies-Barnard and Friedlingstein, 2020).

In JULES-CN which has a bulk soil biogeochemistry parameterisation the *BNF* is directly transferred into the single inorganic soil N pool and becomes available as inorganic N. However, in JULES-CN<sub>layer</sub> the *BNF* is distributed vertically in the soil depending on the fraction of roots in each layer. If a soil layer is frozen there is no *BNF* into that layer. If the whole soil is frozen, fixed N goes into the inorganic N pool in the top layer.

### 3.3.2 Vertical discretisation of inorganic nitrogen

In JULES-CN<sub>layer</sub> there is an inorganic N pool in each soil layer. The dynamics are very similar to Equation 51, but most of the components now vary with depth:

$$\frac{dN_{in}(z)}{dt} = N_{dep} + \sum_i v_i BNF_i f_{R,i}(z) - \sum_i v_i \Phi_i f_{I,i}(z) + M_{net}(z) - N_{flux}(z) - N_{gas}(z) \quad (55)$$

*This only contributes to top layer. Correct?*

*If yes, fix this eqn. please.*

The modifications to each term to ensure they vary appropriately with depth are discussed below. The additional parameters in Equation 55 are  $f_{R,i}(z)$  - the fraction of roots in each layer for PFT *i*



available N pool, and the dependence on depth is according to the same profile as the available N, i.e.

$$f_{I,i}(z) = \frac{N_{avail,i}(z)}{\int_0^{z_{max}} N_{avail,i}(z) dz} \quad \text{Similar to eqn (56) it makes sense to make it discrete.} \quad (60)$$

615 All of the other fluxes are simply added in such a manner so as to maintain the ratio of the available to total inorganic N pools that would be present if the available and total pools were in equilibrium. Therefore the only two processes which take the available and total pools out of equilibrium are biological N fixation and uptake.

Leaching is now done in a process-based manner, where the inorganic N is transported through the soil profile by the soil water fluxes. For any given soil layer of thickness  $\delta z$ , the inorganic N flux ( $N_{flux}$ ) is given by:

$$N_{flux}(z) = \alpha \delta z \frac{d}{dz} \left( W_{flux}(z) \frac{N_{in}(z)}{\theta(z)} \right) \quad (61)$$

where  $\theta(z)$  is the soil water content of the layer in  $\text{kg m}^{-2}$  and  $W_{flux}(z)$  is the flow rate of the water through the layer in  $\text{kg m}^{-2} \text{ s}^{-1}$ . Multiplying by  $\delta z$  gives the change in N content for each layer.

625 The total leaching is then the sum of all N that leaves the soil. *Please clarify if the final leaching flux is from the bottom most soil layer or all*

Table 3.3.2 summarises the extra parameters required for the soil biogeochemistry component of JULES-CN and JULES-CN<sub>layer</sub> alongside their values.

*Sum of layer fluxes in which case it seem this is a bit inconsistent with water fluxes.*

#### 630 4 Historical simulations

Global transient simulations were carried out following the protocol for the S2 experiments in TRENDY (Sitch et al., 2015). Forcing consisted of time-varying CO<sub>2</sub>, and climate from the CRU-NCEP data-set (v4, 1901-2012, Viovy N. 2011 CRU-NCEPv4, CRUNCEP dataset). The fraction of agriculture in each grid cell (Hurtt et al., 2011) was set to the pre-industrial value. N deposition was time-varying and was taken from a ACCMIP multi-model data set interpolated to annual fields (Lamarque et al., 2013). The model resolution was N96 (1.875° longitude x 1.25° latitude).

*You reader doesn't know what an S2 expt is. Please introduce and clearly say that only CO2 as climate varying over the historical period*

Results from three different JULES model configurations are presented here:

- JULES-CN includes the newly developed soil and vegetation coupled C and N cycle.
- JULES-C is shown for comparison purposes and represents the soil and vegetation C cycle as used in Le Quéré et al. (2018).
- JULES-CN<sub>layer</sub> is a version of JULES-CN which has identical above ground processes to JULES-CN but additionally includes vertically discretised soil biochemistry.

Variable	Value	Description	Equation
<b>Bulk soil nitrogen</b>			
$\zeta$	0.0016 kg [N] kg [C] <sup>-1</sup>	Rate of <i>BNF</i>	Equation 54
$CN_{soil}$	10 kg [C] kg [N] <sup>-1</sup>	CN ratio of <i>BIO</i> and <i>HUM</i> pools	Equation 41
$f_{gas}$	0.01 (proportion)	Fraction of net mineralisation emitted as gas to atmosphere	Equation 42
$\gamma_n$	3.215e-08 s <sup>-1</sup>	Imposed turnover coefficient to determine $N_{gas}$ release from $N_{in}$	Equation 52
$\alpha$	0.1 (proportion)	Effective solubility of nitrogen in water	Equation 53
<b>Vertically resolved soil carbon</b>			
$\tau_{resp}$	0.8 m <sup>-1</sup>	Parameter to control reduction of respiration with depth	Equation 44
$D_o$	bioturbation - 0.001 m <sup>2</sup> s <sup>-1</sup> cryoturbation - 0.005 m <sup>2</sup> s <sup>-1</sup>	Soil carbon and nitrogen mixing rate	Equation 49
$\tau_{lit}$	5 m <sup>-1</sup>	Parameter to control reduction of litter input with depth	Equation 50
<b>Vertically resolved soil carbon and nitrogen</b>			
$\gamma_{dif}$	100 per 360 days	Rate of diffusion transferring the inorganic nitrogen from $N_{in}$ to $N_{avail}$	Equation 59

**Table 2.** A summary of the extra parameters required for the soil biogeochemistry component of JULES-CN and JULES-CN<sub>layer</sub>.

In each case five PFTs were used: broadleaf trees, needleleaf trees, C3 and C4 grass and shrubs. Plant competition was allowed, with TRIFFID updating vegetation fractions on a 10 day time step.

645 These three configurations of JULES adopt the standard 4 layer soils with a maximum depth of 3 m. However it should be noted that Burke et al. (2017) and Chadburn et al. (2015) adopt a configuration which increases both the maximum soil depth and number of soil layers - a configuration which is recommended for detailed scientific study of northern high latitudes. The sole difference between JULES-C and JULES-CN is the inclusion of the N cycle. JULES-CN<sub>layer</sub> additionally has

650 vertically discretised soil biogeochemistry. There are no differences in any of the shared model parameters which were initially tuned for the JULES-C configuration. This enables a direct comparison between the different configurations.

The simulations were initialised using pre-industrial conditions. The models were spun up by

655 using the meteorological data for the period 1860-1879 repeatedly until the change in the carbon



stocks was less than 0.01 % decade<sup>-1</sup> globally. The soil C distribution in JULES-CN<sub>layer</sub> is particularly slow to reach equilibrium. Therefore the 'modified accelerated decomposition' technique (modified-AD) described by Koven et al. (2013) was used to spin the soil C in these versions up to an initial pre-industrial equilibrium distribution (Burke et al., 2017). Further spin up was then carried out for these layered models using repeated pre-industrial conditions until the change in soil C was again less than 0.01 % decade<sup>-1</sup> globally. It should be noted that neither transient land-use change or fertiliser were included in any of these simulations.

## 5 Results

This paper mainly focuses on the differences in JULES output when including the N cycle in the model configuration. When available, we additionally use any observational based estimates to evaluate the quality of the simulations. First a broad-brush comparison between JULES-CN, JULES-C and JULES-CN<sub>layer</sub> is made. This is followed by a more complete discussion of the impact of the N cycle on the carbon stocks and fluxes and their changes over time. Then we show more details of the N stocks and fluxes. Finally the extra processes <sup>modelled in</sup> supplied by JULES-CN<sub>layer</sub> are assessed. For completeness figures often include both JULES-CN and JULES-CN<sub>layer</sub> but JULES-CN<sub>layer</sub> is only discussed at the end of the results.

It should be noted that the addition of the N cycle in JULES is only one component of the recent developments. In future configurations of JULES the N cycle will be combined with a new competition scheme Harper et al. (2018) which will modify the global vegetation distribution. Therefore we are most interested in the changes in the vegetation distribution between the different versions which will be caused by the N cycle. Figure 3 shows the total area covered by each type of vegetation. The CCI observations Hartley et al. (2017) are added for completeness. As expected the configurations with the N cycle have more bare soil and less vegetation than JULES-C. This is mainly observed as a decrease in the shrub and grass regions in JULES-CN. As we shall see later (Figure 10) this is because the tropical forests dominate the tree region and their growth is not limited by N in the model. JULES-CN<sub>layer</sub> has a reduction in trees compared to JULES-CN, which is focused in the boreal region where it is more likely to simulate grass or shrubs.

### 5.1 Summary of C and N stocks and fluxes

Figure 4 provides an overview of the stocks and fluxes of C and N in JULES-CN and JULES-CN<sub>layer</sub> and compares them with JULES-C. As expected for a present-day simulation, the majority of C stocks and fluxes are very similar for JULES-C and JULES-CN. The main difference is the present-day NPP which is ~12% higher in JULES-C than in JULES-CN. There is also a small re-

*please be specific*

*Why more bare is expected with N cycle?*

*At least show zonally-averaged plots of tree, grass, and shrubs fractional cover so that more insight can be gained.*

*You need to comment on model's overall feature to underpredict grasses and overpredict shrubs.*

690 duction in the GPP of ~4% caused by some differences in the vegetation fractional cover distribution (Figure 3) and indirectly resulting from the N limitation.

Soil organic N and vegetation N are both consistent with the available observation-based estimates of stocks. The biological N fixation is tuned to be approximately 100 Tg N year<sup>-1</sup> in the present day and the N deposition is prescribed. The majority of N losses from the land surface occur via the gaseous pathway with total losses of 111 Tg N year<sup>-1</sup> for JULES-CN. Leaching is fairly low at 7 Tg N year<sup>-1</sup> compared to estimates of leaching, which are as high as ~25 - 55% of N inputs in European forests (Dise et al., 2009) and range between 59 and 118 Tg N year<sup>-1</sup> in the available observations (Boyer et al., 2006; Galloway et al., 2004). There is no N fertilizer applied in the model which might partially explain why the leaching is so low. In reality there is ~200 Tg N applied annually as either manure or fertilizer Potter et al. (2010), a proportion of this will be leached resulting in an increase of global leaching. N uptake and net N mineralisation are relatively high and are fairly comparable in magnitude implying a largely closed cycling of nutrients between vegetation and soil. These N stocks and fluxes are also consistent with results from other models such as: Xu-Ri and Prentice (2008), Smith et al. (2014), Zaehle (2013b) and von Bloh et al. (2018).

In Fig 4  
litterfall C & N  
flux from  
veg to  
soil C + litter pool  
is missing.

## 5.2 Comparing C stocks and fluxes

The zonal total soil and vegetation C stocks are shown in Figure 5. The vegetation C is very similar for both JULES-C and JULES-CN as expected from Figure 4 and is consistent with the available observations. There are some differences in the soil C in the northern high latitudes with JULES-CN having slightly less soil C than JULES-C. This is a consequence of the higher N limitation on JULES-CN leading to less litter fall and subsequently less soil C. The corresponding N limitation induced reduction in soil decomposition is not strong enough to offset the decrease in C input leading to a smaller pool size.

Please flip Fig 5  
sideways.

First show  
NPP & GPP, and  
then veg and soil C  
zonal distributions.

~~The amount of N limitation induced reduction in soil decomposition is not strong enough to offset the decrease in C input leading to a smaller pool size.~~  
OK

Carbon use efficiency (CUE) is defined as the ratio of net C gain to gross C assimilation during a given period (NPP/GPP). Plants with a higher CUE have a lower autotrophic respiration and allocate more C from photosynthesis to the terrestrial biomass and vice-versa. In JULES-CN there is less C available to be allocated because it is constrained by the amount of N present. This reduces the C use efficiency. Figure 6 shows the zonal total GPP and NPP for JULES-CN and JULES-C. As expected from Figure 4 the NPP and GPP have very similar latitudinal profiles for the two model configurations. Both JULES-C and JULES-CN have a higher GPP in the tropics than the observations but they are more comparable in the extra-tropical latitudes where the GPP tends to be smaller. The NPP in JULES-CN is less than JULES-C and generally closer to the MODIS observations particularly in the tropics. Figure 6 also shows the zonal mean CUE. JULES-CN has a lower CUE than JULES-C for



→ Is this an indication that reducing NPP and not GPP ~~may~~ may not be a good strategy in response to N limitation.

all latitudes. On average it is 0.44 for JULES-CN and 0.49 for JULES-C. JULES-CN is consistently low compared to the Kim et al. (2018) observation-based data set with a bias of  $\sim 0.09$ . This bias is relatively constant with latitude.

730 Figure 6 also shows the changes in these C fluxes for the period 1860-2007 with respect to the multi-annual mean period of 1860-1899. Changes over time are shown to enable the differences between the two different model configurations to be more easily compared. Apparently small differences between JULES-C and JULES-CN in the NPP and GPP become more noticeable in the CUE. The small differences between JULES-C and JULES-CN in GPP are mainly caused by small  
735 changes in the vegetation distribution and a slight increase in bare soil in JULES-CN. In the case of NPP - JULES-C increases quicker than JULES-CN because JULES-CN becomes progressively more N limited. The change in CUE shows the impact of the N cycle on the uptake of C by the vegetation in JULES-CN over the twentieth century. There is an increase in CUE in both configurations, mainly caused by CO<sub>2</sub> fertilisation, but this is limited by N in the JULES-CN configuration.

740

### 5.2.1 Net ecosystem exchange

A key measure of a land C cycle model is how well it simulates the temporal variation of the land C sink, which is the difference between Net Ecosystem Exchange (NEE) and the flux of C to the atmosphere from land-use change. The interannual variability in the sink is dominated by the variability  
745 of NEE, which is itself correlated with the magnitude of the temperature-carbon cycle feedback in the tropics (Cox et al., 2013). As a result, simulation of NEE variability is highly relevant to climate-carbon cycle projections (Wenzel et al., 2016).

Figure 7 compares global annual mean values of Net ecosystem exchange (NEE; defined as NPP - heterotrophic respiration) for JULES-C and JULES-CN to observation-based estimates from the  
750 Global Carbon Project. We specifically focus on the years from 1960 to 2009, which is the maximum overlap period between the model simulations and the GCP annual budget data (Friedlingstein et al., 2019). To avoid the circularity of using GCP estimates of NEE which are themselves derived from land-surface models, we instead calculate the GCP estimates of NEE as the residual of the best estimates of the total emissions from fossil fuel ( $FF$ ) plus land-use change ( $LU$ ), and the rate of  
755 increase of the carbon content of the atmosphere ( $F_a$ ) plus the ocean ( $F_o$ ):

$$NEE_{gcp} = FF + LU - F_a - F_o \quad (62)$$

The observations and both of the models show an upward trend in NEE but with very significant interannual variability (Figure 7). Due to N limitations on CO<sub>2</sub> fertilization, mean NEE in JULES-CN (1.66 Pg C year<sup>-1</sup>) is lower than in JULES-C (2.06 Pg C year<sup>-1</sup>), and also lower than the

	Mean (Pg C year <sup>-1</sup> )	Trend (Pg C year <sup>-1</sup> year <sup>-1</sup> )	IAV (Pg C year <sup>-1</sup> )	r
JULES-CN	1.66	0.025	0.86	0.71
JULES-C	2.06	0.034	1.31	0.63
JULES-CN <sub>layer</sub>	1.75	0.026	0.83	0.64
GCP(residual)	2.11	0.027	1.01	

**Table 3.** Statistics of NEE from JULES-CN, JULES-C, JULES-CN<sub>layer</sub>, and the GCP observation-based estimates (Friedlingstein et al. 2019), over the period from 1960 to 2009 inclusive. Columns 2-4 show respectively the mean, linear trend, and the interannual variability (standard deviation) around that trend. Column 5 shows the correlation coefficient between each model NEE timeseries and the GCP timeseries.

760 estimate from GCP (2.11 Pg C year<sup>-1</sup>). This absolute value will be sensitive to the vegetation cover which is much improved by including the height-based competition as has been done in UKESM1 Sellar et al. (2019). However, JULES-CN outperforms JULES-C on all of the other key metrics of the NEE variation. JULES-CN produces a smaller but much more realistic trend in NEE, and a smaller and more realistic interannual variability about that trend (see Table 5.2.1). The correlation  
765 coefficient for NEE between the JULES-CN and GCP estimates (r=0.71) is also improved compared to JULES-C (r=0.63). There remains a significant underestimate of NEE in the years following the Pinatubo volcanic eruption in 1991, most likely due to the neglect of diffuse-radiation fertilization in these versions of JULES (Mercado et al., 2009). However, it is especially notable that JULES-CN significantly reduces the systematic overestimate of NEE seen in JULES-C during extended La Nina  
770 periods, such as the years centred around 1974 and 2000 (Figure 7).

### 5.2.2 Residence times

In general, <sup>α</sup> carbon residence times of the soil and ecosystem are given by the stocks divided by the fluxes. These are emergent properties of the model and thus a valuable metric to evaluate. Figure 8 shows the ecosystem residence time and the soil C residence times for different biomes. Here, the  
775 land surface is split into biomes based on the 14 World Wildlife Fund terrestrial ecoregions (Olson et al., 2001) and characterised by Harper et al. (2018). The ecosystem residence time defined as the total ecosystem C divided by the GPP is shown in Figure 8(a). These residence times have been estimated from a multi-annual mean on a grid cell by grid cell basis and then aggregated to biomes. The observational values were derived in a similar way using spatial data from Carvalhais et al.  
780 (2014). In general the ecosystem residence times are slightly reduced in JULES-CN compared with JULES-C, both of which are ~~slightly~~ <sup>generally</sup> lower than in the observations. The largest difference between observed and modelled ecosystem residence time occurs in the tundra and boreal regions and the grasslands where the observed residence times are much longer than either JULES-C or JULES-CN. The soil carbon residence time is shorter than the observational-based measure in the tundra and the



785 boreal regions but longer in the grassland regions. Overall, this leads to the the global soil carbon residence time in the model being too short. When vertical discretisation, including additional permafrost processes, is added in JULES-CN<sub>layer</sub> the residence times in the boreal and tundra increase notably (see Section 3.2.1 for further discussion).

### 790 5.3 Impact of N limitation

IN JULES-CN and JULES-CN<sub>layer</sub> the N limitation mainly acts through reducing the NPP. This can be quantified using the response ratio which is defined as the ratio of the potential amount of C that can be allocated to growth and spreading of the vegetation ( $NPP_{pot}$ ) compared with the actual amount achieved in the natural state (NPP). Both of these diagnostics are output from the JULES simulations. Figure 9 shows the spatial distribution of the model simulated response ratio. Green areas are not very N limited with a response ratio close to 1.0 and yellow areas are more N limited with a larger response ratio. There are distinct regions of N limitation - in Australia and south Africa, the Sahel, western Europe and parts of Siberia. However much of the global land surface, particularly the forested regions has relatively weak N limitation. Figure 9(c) also shows the JULES-CN response ratio has obvious inter-annual variability superimposed on an increasing trend over the twentieth century, indicating increasing N limitation which will limit the increase in carbon use efficiency shown in Figure 6(f).

Figure 10 shows the biome-based response ratio of net primary productivity. All biomes have a response ratio of greater than 1 in both the model and observations which means that adding extra N to the system will enhance the NPP achieved. Globally the response ratio is lower than the observations but for the majority of the biomes including the tropical forests and the tundra the model response ratios fall within the range of uncertainties of the observations. However, LeBauer and Treseder (2008) suggests the tropical forest is somewhat N limited, whereas in JULES-CN tropical forest is not a N limited biome. Phosphorus has long been considered as the most limiting nutrient in tropical regions (Yang et al., 2014), therefore we expect JULES to simulate a larger response ratio in the future once a phosphorus cycle is added.

In the model the soil C decomposition can be limited when the N available in the soil is less than the N required by decomposition. This process does not play a major role in our simulations.

### 5.4 Nitrogen stocks and fluxes

The zonal profile of soil organic nitrogen (Figure 11) shows a similar distribution to the soil organic C (Figure 5) reflecting the relatively consistent C to N ratio of the soil within the model.  $CN_{soil}$  -

*The color bar colours in Fig 9 are very hard to follow.*

*Please consider using different colours scale.*

*In addition,  $NPP_{achieved}/NPP_{pot}$  seems more intuitive*

*Fig 9c implies no N limitation in 1860-1900.*

*Can you comment if this is realistic?*

820 the C to N ratio of the HUM and BIO pools - is a spatially constant parameter set to 10 in these simulations. The observed soil N content is slightly higher at all latitudes than simulated by JULES-CN particularly in the northern tundra region. This is likely caused by the turnover times of the soil being too fast (Figure 8) leading to not enough soil N. In addition the C to N ratios in JULES-CN are too small for the northern high latitudes (mean of ~14) whereas up to 25% of soils in tundra regions are peat with C to N ratios of around 30 (Hugelius et al., 2020). In contrast to the zonal distribution of soil organic nitrogen, the soil inorganic nitrogen in JULES-CN is larger in the tropics than in the northern high latitudes. Figure 12 shows the net soil N mineralisation fluxes are large in the tropics and smaller in the northern regions. This is reflected in the spatial distribution of the N uptake. As might be expected the spatial distribution of the N uptake as a fraction of N demand is similar to the N limitation shown in Figure 9. Biological N fixation and N gas losses are an order of magnitude smaller than the N uptake and net N mineralisation. However, again the spatial patterns are very comparable. N leaching is generally very small except in parts of south America and south-east Asia. Figure 13 shows a slight increase in the N demand and N uptake over the twentieth century associated with the increase in vegetation growth (Figure 6). Similarly there is an increase in the BNF which is parameterised such that it is proportional to the NPP.

← Doesn't this mean that the model has more N than obs per unit C? How can this be used as an argument for not enough N at northern high latitudes.

### 5.5 Impact of vertical discretisation of soil biochemistry

This section discusses the differences between JULES-CN and JULES-CN<sub>layer</sub>. In general over the tropics and southern latitudes, JULES-CN<sub>layer</sub> is very comparable to JULES-CN. The majority of the differences occur in the northern regions where there is soil freezing—either permafrost or seasonally frozen soils. The reduction in global mean tree covered area seen in Figure 3 is caused by a reduction in the boreal regions which have a larger proportion of shrubs and grasses in JULES-CN<sub>layer</sub>. In the higher latitudes the soil in JULES-CN<sub>layer</sub> also has more organic C (Figure 5). This increase in soil organic C represents a store of permafrost carbon more comparable to the carbon found by Batjes (2014) and Carvalhais et al. (2014). This build up of carbon in JULES-CN<sub>layer</sub> occurs because the decomposition deeper in the soil is reduced with the lower soil temperatures at depth - the soil C in JULES-CN only respond to the soil temperatures near the surface which are warmer. This also causes an increase in the residence time of the soil carbon shown in Figure 8(b). The modelled soil C residence time in JULES-CN<sub>layer</sub> is now much longer and more comparable to that observed.

The spatial distributions of N fluxes in JULES-CN<sub>layer</sub> (not shown) are very similar to those of JULES-CN. In addition, the time series of changes in N fluxes over the twentieth century are also comparable (Figure 13). The main differences are in the N gas loss which is larger in JULES-CN<sub>layer</sub> and the N leaching which is larger in JULES-CN. Figure 11 shows an increase in both



organic and inorganic N in JULES-CN<sub>layer</sub> over that in JULES-CN in the northern high latitude similar to that seen in the organic C. As is the case for soil organic C, in the colder regions the soil N builds up within the frozen soil because of the limitation of the decomposition rates by cold temperatures, therefore larger pools deeper in the soil are maintained in an equilibrium climate. The parameterisation of the vertically resolved soil biogeochemistry means that, once JULES-CN<sub>layer</sub> is spun-up there is inorganic N within the soil profile which cannot be taken up by the vegetation, either because the soil is frozen or because the roots cannot readily access it. This means that the extra inorganic N in JULES-CN<sub>layer</sub> (Figure 11) is mainly stored deeper in the soil profile and within the permafrost itself and is typically inaccessible in the current climate. This improved representation of the soil biogeochemistry will have implications for simulations of climate change feedbacks from the northern high latitudes.

## 6 Discussion

This study presents the first implementation of nutrient cycles into the UK land and earth system models. The scheme is parsimonious in that it captures the first order and large scale effects of interacting carbon and nitrogen on the land surface in the simplest way possible. One important assumption is that of fixed plant stoichiometry and that a plant strives to achieve stoichiometric homeostasis to maintain ecosystem structure, function and stability under change environments (Sterner and Elser, 2002). This assumption has some support in the literature (e.g Brix and Ebell (1969); Wang et al. (2012)) and is a common approach amongst complex DGVMs (Meyerholt and Zaehle, 2015). However, recent meta analyses of field observations show a distinct increase in foliar N to additional N availability (Mao et al., 2020) and a modelling study found that assuming fixed C : N ratios and/or scaling leaf N concentration changes to other tissues, as employed here, were not supported by available evaluation data (Meyerholt and Zaehle, 2015). Employing flexible stoichiometry has the potential to significantly affect the modelled biogeochemical feedbacks. For instance, nutrient limitation tends to limit the production of litter, the input to soil organic matter, leading to a reduction in soil carbon that the nutrient limitation in soil turnover is too weak to oppose. Allowing for flexible stoichiometry may lead to a lower litter quality but a similar total under limitation, where the reduction in litter quality will strengthen the soil turnover response possibly leading to an overall increase in soil organic matter. Plant stoichiometric relationships are therefore a key uncertainty in assessing the carbon cycle feedbacks to climate change. Future versions of this model will explore the use of plant trait information (Harper et al., 2016) to parameterise leaf, root and wood C:N ratios for individual PFTs, and further developments to allow for flexible stoichiometry.

890 While the total BNF in JULES-CN is in the range of Davies-Barnard et al. (2020) and Vitousek  
et al. (2013), the spatial distribution of BNF more heavily favours the tropics than recent obser-  
vations suggest (Sullivan et al., 2014; Davies-Barnard et al., 2020). The response of BNF to the  
multiple factors likely to occur in future varies between factor (e.g. warming, elevated atmospheric  
carbon dioxide, drought, N deposition, etc.), biome, and BNF type (nodulating, bryophyte, litter,  
895 etc.) (Zheng et al., 2020). Therefore how BNF will change is spatially variable and not controlled by  
a single factor. A move from an empirical to a process driven BNF function may provide better fit to  
present day BNF distribution and more robust future projections.

Further work is required to explore the impact of a spatially varying soil C to N ratio which can  
900 vary widely depending on the amount and decomposition of organic matter within the soil. For ex-  
ample, peat soils have relatively high C to N ratios up to 30-40 Hugelius et al. (2020). This type of  
soil is not yet included within JULES. In addition, N leaching is very low in the model, notwithstand-  
ing the lack of N fertiliser. One reason for this could be that too much mineral N is assumed to be  
sorped within the soil. This requires further evaluation and potential modifications to the scheme.

905

In this paper we have not explicitly separated the impact of CO<sub>2</sub> fertilization from climate change  
or from the impact of N deposition. However, this was explored by Davies-Barnard et al. (2020)  
who put the response of JULES in context by comparing it with the responses from 4 additional land  
surface models and a meta-analysis of site observations. Davies-Barnard et al. (2020) used a slightly  
910 different configuration of JULES (JULES-ES) which is the configuration used in UKESM1 with a  
bulk soil biogeochemistry (Sellar et al., 2019). They found that JULES-ES has a relatively small  
increase in NPP caused by the addition extra N in the form of deposition compared with both the  
meta-analyses and CLM / LPJ-GUESS. However, it is comparable to that found in JSBACH. This  
small response is, in part, caused by the smaller initial N limitation in JULES-ES. However, JULES'  
915 increase in NPP in response to CO<sub>2</sub> fertilisation is aligned with the majority of the models and the  
meta-analyses.

## 7 Conclusions

In this paper we have documented a model to quantify the impact of coupling the nitrogen cycle  
920 with the carbon cycle in a fully dynamic vegetation model. In the model, N limitation affects NPP  
and how much C is allocated but it only indirectly affects the photosynthesis via leaf area develop-  
ment. This enables the carbon use efficiency (ratio of net carbon gain to gross carbon assimilation)  
to respond to changing N availability. Since the CUE affects the ability of the land surface to uptake  
carbon in a changing climate, this will impact carbon budgets under future projections of climate



925 change. This scheme (based on JULES-CN) is only one of the new components of JULES that has  
been included within UKESM1 (Sellar et al., 2019). Relevant additions to the JULES-ES configu-  
ration used in UKESM1 includes more plant functional types with improved plant physiology and  
vegetation dynamics (Harper et al., 2016) plus a new land use module (Robertson and Liddicoat, in  
prep.).

930

Overall the N enabled configuration of JULES – JULES-CN – produces a more realistic trend  
in the net ecosystem exchange (NEE) and the interannual variability of NEE about that trend. It  
also produces an improved estimate of NPP in the northern high latitudes. For other regions and  
diagnostics the simulation of present-day state and behaviour is not substantially different between  
935 JULES-C and the N-enabled configuration, JULES-C. This is largely because JULES-C has been  
tuned to replicate observed carbon stores and fluxes and therefore implicitly includes a level of N  
availability. What JULES-C lacks is a mechanism for this to change substantially in time – either un-  
der more limiting conditions as elevated CO<sub>2</sub> outpaces demand for nutrients (e.g. Zaehle (2013b)),  
or under conditions of increased N availability due to anthropogenic deposition or accelerated soil  
940 decomposition caused by climate change leading to increased mineralisation rates (Meyerholt et al.,  
2020b; Zaehle and Dalmonech, 2011). The response of the N cycle in JULES under changes in  
climate and CO<sub>2</sub> conditions—which will be affected by nutrient limitations—will be quantified and  
assessed in subsequent work.

945 An extended version of the nitrogen-enabled model additionally includes the vertical discretisa-  
tion of the soil biogeochemistry model. This configuration improves the ecosystem residence times  
in the tundra and boreal regions. This more detailed representation of permafrost biogeochemistry  
in the northern high latitudes will be used to understand the impact of the coupled carbon and nitrogen  
cycle on the permafrost carbon feedback.

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#### Code Availability

The JULES code used in these simulations is available from the Met Office Science Repository  
Service (registration required) at <https://code.metoffice.gov.uk/trac/jules>. To access the code a freely  
available non-commercial research licence is required (<https://jules-lsm.github.io/>). The suites re-  
955 quired for running JULES are available here: <https://code.metoffice.gov.uk/trac/roses-u>. JULES-CN  
is u-ah896, JULES-C is u-ah932 and JULES-CN<sub>layer</sub> is u-ai571.

#### Competing Interests

The authors declare that they have no conflict of interest.