Dear Editor Remy and referees,

We are very grateful for your time and attentions on this work. Please find below our itemized responses to the referees' comments and a marked-up manuscript. We have addressed all the comments raised by both referees and incorporated

5 them in the revised manuscript.

Thank you for your consideration.

Sincerely,

10 Sunling Gong, Tianliang Zhao, Lei Zhang et al.

Referee #1

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In this manuscript the authors updated the CUACE model with heterogenous reactions and updated dry deposition scheme of particles, and coupled it to the WRF model. This study also evaluated the WRF/CUACE v1.0 model by simulating PM_{2.5}, O₃, and NO₂ concentrations in different seasons and different years. This article is clearly written and the methods are generally sound. I recommend the manuscript to be published unless the following comments are addressed:

 Line 234-235: The authors mentioned "The feedback of chemical species on meteorology in the current WRF/CUACE version is not realized". So in Figure 1, I suggest using dashed line to indicate the influence of chemical variables on WRF module.

Response: Thanks for pointing it out. It has been modified to dashed line in the revised manuscript.

25 2.Line 290-291: The simulations are relatively poor in the SCB, where the complex terrain poses great challenges to meteorological field simulations. Show the simulations results of the meteorological fields of the four regions in the supplementary, and compare the simulation results with in-situ observations.

Response: Sincere thanks for the suggestions. The the simulations results of the
meteorological fields of the four regions were added in the supplementary (as
shown in Table S1). It can be seen that the simulations of meteorological fields in
the SCB are relatively poor than the other three regions. For example, the *R*, MB
and RMSE values of T2 in the SCB are 0.88, 1.52 °C and 2.50 °C, respectively, while
the values in the other three regions vary from 0.91 to 0.93, 0.48 to 1.14 °C and 2.01
to 2.39 °C. The *R* value of WS10 in the SCB is 0.40, which is obviously worse than
that of the other three regions (ranging from 0.60 to 0.74), indicating the variation
of WS10 in the SCB was not well reproduced by the model. We have added the

Table S1 Statistical metrics for hourly temperature at 2 m (T2), hourly relative humidity at 2 m (RH2)and hourly wind speed at 10 m (WS10), respectively in the NCP, YRD, PRD and SCB regions.

		Obs	Sim	R	MB	ME	RMSE
	T2 (°C)	17.31	18.07	0.91	0.76	1.87	2.34
NCP	RH2 (%)	62.88	51.10	0.80	-11.78	14.47	17.91
	WS10 (m s ⁻¹)	2.05	2.99	0.64	0.95	1.29	1.60
	T2 (°C)	17.29	17.77	0.93	0.48	1.62	2.01
YRD	RH2 (%)	70.74	64.51	0.82	-6.22	11.28	13.95
	WS10 (m s ⁻¹)	2.42	3.29	0.74	0.87	1.20	1.47
	T2 (°C)	22.92	24.06	0.91	1.14	2.06	2.39
PRD	RH2 (%)	75.74	67.20	0.78	-8.54	12.73	14.88
	WS10 (m s ⁻¹)	2.23	3.23	0.60	1.01	1.32	1.61
	T2 (°C)	18.02	19.53	0.88	1.52	2.04	2.50
SCB	RH2 (%)	74.17	59.87	0.73	-14.30	15.98	18.77
	WS10 (m s ⁻¹)	1.35	2.05	0.40	0.70	0.99	1.24

* All *R* (correlation coefficient) values passed p < 0.001.

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* Obs and Sim represent the average observations and simulations, respectively.

3. In Section 5.3, the authors evaluated the model performance with and without heterogeneous chemical reactions during a haze event at the Langfang site. How about model improvements at the other sites in the YRD, PRD and SCB region?

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Sulfate (ug m⁻³)

Sulfate (ug m⁻³)

Response: Sincere thanks for the suggestions. We have tried our best to collect observations of inorganic secondary aerosols in the three regions. So far, the observations from 3 to 29 December 2013 in Nanjing (located in the YRD) and from 1 to 10 January 2017 in Chengdu (located in the SCB) are obtained for evaluation (Fig. R1). As shown in Fig. R1, simulations of sulfate and nitrate in the two sites are generally improved (change in bias from -95.3 % to -68.4 % in Nanjing and from -88.7 % to -80.1 % in Chengdu for sulfate; change in bias from 83.0 % to 54.6 % in Nanjing and from 67.6 % to 23.5 % in Chengdu for nitrate). The results were added in Section 5.3 in the revised manuscript. We will continue to collect data in the PRD for evaluation in future work.

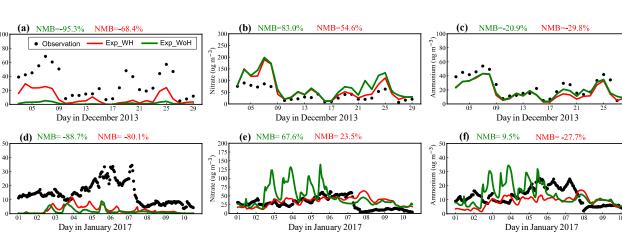


Figure R1. Observed and simulated hourly SIA concentrations from the Exp_WH and Exp_WoH experiments at the (a-c) Nanjing and (d-f) Chengdu site.

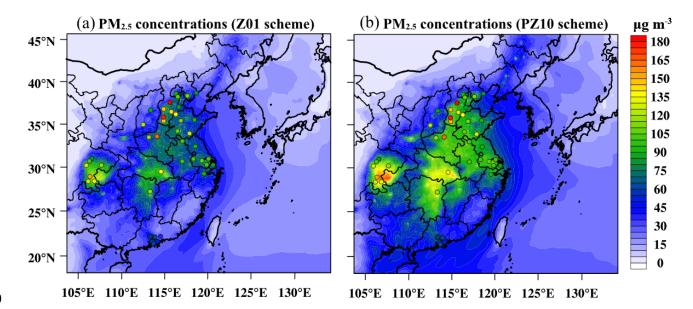
4. Line 90-91: This study also updated the dry deposition scheme of particles in CUACE.
Please also show the model improvements with and without the updated dry deposition scheme in the supplementary.

Response: Thanks very much for the suggestions. We performed simulations for a winter month (January in 2015) to show the model improvements with and without the updated dry deposition scheme. As shown in Fig. S3, the PM_{2.5} concentrations were commonly underestimated with the Z01 scheme (Fig. R2a), as it tends to overestimate the dry deposition velocity of fine particles (Petroff and Zhang, 2010). The underestimation was improved significantly when the Z01 scheme was

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updated to the PZ10 scheme (Fig. R2b). We have added the improvements in the supplementary.



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Figure S3. Observed and simulated $PM_{2.5}$ concentrations with (a) Z01 and (b) PZ10 particle dry deposition schemes.

Reference:

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Petroff, A. and Zhang, L.: Development and validation of a size-resolved particle dry deposition scheme for application in aerosol transport models, Geoscientific Model Development, 3, 753-769, 2010.

Referee #2

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This publication presents a new model called "WRF/CUACE" being the implementation of the chemistry model CUACE into the NWP model WRF version 3. This new model is similar in his implementation to WRF-chem. The authors also presents new developments on aerosol dry deposition scheme and heterogeneous chemistry. The model is evaluated over China on several selected month and deals with PM_{2.5}, ozone and NO₂. An other evaluation deals with the model ability to simulate secondary inorganic aerosols and shows the impact of heterogeneous chemistry freshly developed.

This publication is interesting as it presents a new model and proves the feasibility of an easy implementation of a chemistry module into WRF-Chem. But the description of the different compounds is not precise enough and some references are lacking. The available code is very hard to navigate and to understand what part is used, especially concerning the chemical scheme. I just navigate in the directories without trying to compile and run it.

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Response: We thank the reviewer for the valuable comments. The description of different compounds is modified to be more precise and the missing references are added. Please see our itemized responses below. To make it easier to navigate the code and to understand what part is used, a schematic showing the flow of information within WRF/CUACE is given in the supplementary (Figure S1).

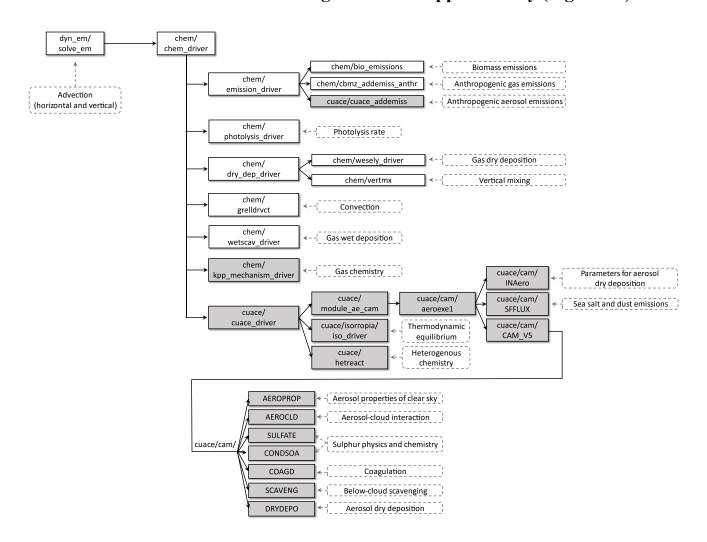


Figure S1. Schematic showing the flow of information within the WRF/CUACE v1.0 model. Gray

100 boxes indicate the newly added modules to the WRF/Chem framework to build the WRF/CUACE v1.0 model. Dashed boxes are descriptions of each module.

General comments:

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This new model aims at replace the actual operational coupled model CUACE with MM5/GRAPES, because the development of the MM5 model has been stopped in favour of WRF. There are no comparison between the actual model and the new WRF/CUACE model. Yet it might have been interesting to compare these two model in order to assess the viability of the newly developed model.

Response: Thanks for this suggestion. We agree with the reviewer on the need to compare the actual model and the new WRF/CUACE model. To this end, we performed a simulation using the MM5/CUACE for a winter month (January 2013), during which a long-lasting haze event occurred in central and eastern China. A new section (Section 5.4) is added in the revised manuscript:

<u>*5.4 Comparison between the MM5/CUACE model and the WRF/CUACE v1.0</u>
 <u>model</u>

It is necessary to compare the MM5/CUACE model with the new WRF/CUACE model for the purpose of assessing the viability of the newly developed model. To this end, a simulation was performed using the MM5/CUACE model for a winter month, i.e., January 2013, during which a long-lasting haze event occurred in central and eastern China. The domain setting, anthropogenic emission inventory, initial and boundary fields of meteorology and chemistry are as the same as those of the WRF/CUACE in section 5.1. It should be known that the gas-phase chemistry mechanism and particle dry deposition scheme in MM5/CUACE model is RADM2 and Z01, respectively, that updated to CBM-Z and PZ10 in the new WRF/CUACE model. Physical parameterization used in the

MM5/CUACE is shown in Table S3 in the supplement.

Figure 6 presents a comparison of the modelled and observed daily concentrations of PM_{2.5}, O₃, NO₂ and SO₂ in the four regions. It can be seen that the concentrations of PM_{2.5}, NO₂ and SO₂ simulated in WRF/CUACE are closer to the observations relative to those of MM5/CUACE model (change in bias from -23.0 % to -19.2 % for PM_{2.5}, from 14.7 % to -2.4 % for NO₂ and from -46.2 % to -37.5 % for SO₂). The daily variations of the three species are also relatively better captured by the WRF/CUACE model (reflected by the *R* values changing from 0.45 to 0.62 for PM_{2.5}, from 0.41 to 0.49 for NO₂ and from 0.19 to 0.32 for SO₂). For O₃, the differences of statistical metrics between the two models are not obvious. The MM5/CUACE model performed with a slightly smaller bias of -10.7 % but with a lower R value of 0.50, which are 14.3 % and 0.55, respectively in the WRF/CUACE simulation. In summary, the new WRF/CUACE model performed better than the MM5/CUACE model in simulating air pollutants."

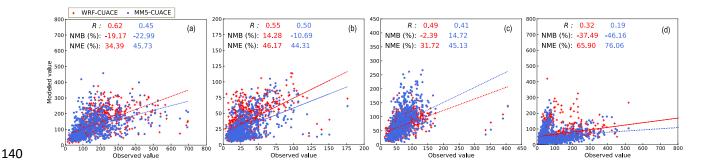


Figure 6. Scatter plots of simulated, with (blue) MM5/CUACE and (red) WRF/CUACE, and observed daily concentrations of (a) PM_{2.5}, (b) O₃, (c) NO₂ and (d) SO₂.

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It is not very clear how the different processes are treated by the different sub-model. For example at page 4 on line 108: "emissions, gaseous chemistry, and a sizesegregated multicomponent aerosol algorithm (Zhou et al., 2012), and has been designed as a unified chemistry module". But on line 130 the authors said CUACE also treat particle dry deposition. The authors need to clarify what processes is done by

which model. This includes the Figure 1 where it would be interesting to have a CUACE box that shows what in included in CUACE. Also on Figure 1 processes done by WRF 150 need to be in the WRF box (convection for example). Also consider to rewrite the section 4, as a reader does not necessarily know how the model WRF-Chem works.

Response: Sincere thanks for pointing this out. The CUACE is a unified chemistry module, in which most of the physical and chemical processes are treated (Fig. 1), except advection and convection processes that done by its host model. In the 155 manuscript, the sentence "The CUACE module is a unified atmospheric chemistry module incorporating three major functional modules: emissions, gaseous chemistry, and a size-segregated multicomponent aerosol algorithm (Zhou et al., 2012), and has been designed as a unified chemistry module" has been revised as "The CUACE is a unified chemistry module, which treats most of the physical and chemical 160 processes, except advection and convection processes that done by its host model. The main processes treated in CUACE module include emissions, gas chemistry, dry and wet deposition, vertical mixing, aerosol-cloud interaction, and clear-air (i.e., aerosol produced by chemical transformation of their precursors together with particle nucleation, condensation and coagulation) (An et al., 2016; Zhou et al., 2012; Gong et al., 2003).".

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We have revised the Figure 1 and added Figure S1 to clearly describe the different processes treated in the different sub-model following your suggestion, and state in Section 4: "The flow of the major process splitting in the coupled WRF/CUACE v1.0 model is illustrated in Fig. 1 with the structure of related subroutines given in Fig. S1 in the supplement. The WRF/CUACE v1.0 model uses several modules of the original WRF/Chem model, i.e., modules of advection, vertical mixing, convection, biomass emissions, anthropogenic gas emissions, photolysis and gas dry/wet deposition (Fig. S1). As described in Section 2.2, the CBM-Z mechanism is newly added with the KPP protocol (Damian et al., 2002) to replace the RADM2 mechanism in the original CUACE module. An interface procedure, cuace driver, is designed to integrate the core sections of the aerosol physical and chemical processes of the CUACE module with the WRF framework (Fig. S1)."

We have carefully revised the Section 4 for readers to more easily understand how the WRF-Chem model works. For example, we added more description of WRF-180 Chem: "WRF-Chem is a meteorology-chemistry coupled model. In the chemical module of the WRF-Chem, the processes are split to emissions, vertical mixing, dry deposition, convection, gas chemistry, cloud chemistry, aerosol chemistry and wet deposition, all of which are integrated in an interface procedure (chem driver). Advection process is treated in the WRF model. Information, such as rainfall rates, 185 vertical mixing coefficients and convective updraft properties, is provided by WRF to calculate the processes treated in the chemical module. WRF-Chem uses registry tools for automatic generation of application code. Physical and chemical variables, as well as options of parameterization schemes are coded in files (such as registry.chem) in the directory of WRFV3/Registry, which provides the 190 convenience for developers to add variables and options.".

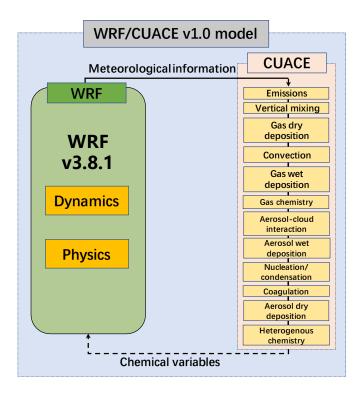


Figure 1. Schematic of modules in the WRF/CUACE v1.0 system.

In section 3.2, the authors describe the added heterogeneous chemistry added to the model. I wonder if "Aerosol" stands for all the aerosols in the model, treated the same way or if only a sample of all aerosols are considered in the reaction. Also, the way the reactions are written may let think that the aerosol used as a reactant disappear, or I guess it only acts as a support for the reaction.

Response: Sorry for the unclear descriptions. The "Aerosol" stands for all the aerosols in the model. Aerosol in the reactions only acts as a support. We state in the revised Section 3.2 "<u>Aerosol</u>" in the reactions stands for all the aerosols in the <u>model</u>", and rewrite the forms of heterogeneous chemical reactions from "... + Aerosol \rightarrow ..." to "... <u>Aerosol</u> ...".

The description of the model CUACE is not precise enough, essentially concerning the chemical scheme and the reference Zhou et al, (2012) does not either. You claim that RADM2 has 121 reactions, but there are more in Stockwell et al, (1990). Please add the reference for RADM2 and explain the differences between the original publication and your version of RADM2. In section 4, authors explain they added the possibility to use the chemical scheme CBM-Z using KPP. But they do not precise which chemical scheme is finally used. If it is RADM2, then this section should be in the conclusion as future work. If it is CBM-Z then it should be on section 2.2 about CUACE module and more developed: number of species, number of reactions, number of photochemical reactions, way the photochemical reactions are taken into account (especially above the 100hPa upper limit), etc.

215 Response: Thanks very much for pointing out it. We have confirmed with Zhou and checked the code of CUACE. There are totally 136 chemical reactions and 21 photochemical reactions in the RADM2 scheme in CUACE model. We have corrected the mistake in the revised Section 2.2.

Sorry for the unclear description of which chemical scheme is finally used. The

- RADM2 mechanism in the original CUACE module is discarded in the WRF/CUACE v1.0 model, so the CBM-Z mechanism is finally used for simulation. We state in the Section 2.2: <u>"As the gaseous chemistry (RADM2) in the CUACE module is not computationally economic and it is hard coded, which means that it is not conducive to adapting chemical reactions in the future, the CBM-Z
 photochemical mechanism (Zaveri and Peters, 1999) with a better computational
 </u>
 - efficiency is added with the KPP protocol (Damian et al., 2002) to replace the <u>RADM2 mechanism.".</u>

Following your suggestion, we have revised the section 2.2 to detail the chemical scheme CBM-Z: "CBM-Z mechanism (Zaveri and Peters, 1999) contains 55 species, 114 reactions and 20 photochemical reactions. It is based on the widely 230 used Carbon Bond Mechanism (CBM-IV) and uses the lumped structure approach for condensing organic species and reactions. CBM-Z extends the CBM-IV to include revised inorganic chemistry, explicit treatment of the lesser reactive paraffins, methane and ethane, revised treatments of reactive paraffin, olefin, and aromatic reactions, inclusion of alkyl and acyl peroxy radical interactions and their 235 reactions with NO₃, inclusion of organic nitrates and hydroperoxides, and revised isoprene chemistry. Currently, stratospheric chemistry is not included in the <u>CUACE module. Species (i.e, CH₄, CO, O₃, NO, NO₂, HNO₃, N₂O₅ and N₂O) above</u> a specified pressure level are fixed to climatological values. Between the specified pressure level and the tropopause level, the species was relaxed with a 10-day 240 relaxation factor."

The present paper deals with a new combination of a NWP and a chemistry model. But only a part of the chemistry is evaluated. It would have been interesting to evaluate the meteorological fields during the simulation made. Moreover the fact that the SCB region seems badly represented for $PM_{2.5}$ is due to the complex terrain could be illustrated.

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Response: Sincere thanks for the suggestions. The simulated temperature at 2 m

(T2), relative humidity at 2 m (RH2) and wind speed at 10 m (WS10) were selected for evaluation. Table S1 shows the observation mean, simulation mean, correlation coefficient (*R*), MB, ME and RMSE of the meteorological fields in the NCP, YRD, PRD and SCB, respectively.

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The MB and RMSE for T2 vary from 0.48 to 1.14 °C and from 2.01 to 2.50 °C, respectively, indicating surface temperatures are slightly overestimated in the four regions. The *R* value for T2, ranging from 0.88 to 0.93, indicates the variation trends are well captured by the model. The model underestimates RH2 in the four regions with the MB ranging from -6.22 to -14.30 % and the RMSE ranging from 13.95 to 18.77 %, which are comparable with previous studies in China (Wang et al., 2014; Gao et al., 2016). The RMSE for WS10 in the four regions vary from 1.47 to 1.61 m s⁻¹, fall within the "good" model performance criteria (little than 2 m s⁻¹) proposed by Emery et al. (2001). However, it should be noted that the *R* for WS10 in the SCB is relatively poor, indicating the variation trends were not well captured. Generally, the model performed best in the YRD, followed by the PRD and NCP, and performed worst in the SCB for meteorological fields. We have added the evaluation in Section 5.2.1 in the revised manuscript.

Table S1 Statistical metrics for hourly temperature at 2 m (T2), hourly relative humidity at 2 m (RH2) and hourly wind speed at 10 m (WS10), respectively in the NCP, YRD, PRD and SCB regions.

		Obs	Sim	R	MB	ME	RMSE
	T2 (°C)	17.31	18.07	0.91	0.76	1.87	2.34
NCP	RH2 (%)	62.88	51.10	0.80	-11.78	14.47	17.91
	WS10 (m s ⁻¹)	2.05	2.99	0.64	0.95	1.29	1.60
	T2 (°C)	17.29	17.77	0.93	0.48	1.62	2.01
YRD	RH2 (%)	70.74	64.51	0.82	-6.22	11.28	13.95
	WS10 (m s ⁻¹)	2.42	3.29	0.74	0.87	1.20	1.47
	T2 (°C)	22.92	24.06	0.91	1.14	2.06	2.39
PRD	RH2 (%)	75.74	67.20	0.78	-8.54	12.73	14.88
	WS10 (m s ⁻¹)	2.23	3.23	0.60	1.01	1.32	1.61
	T2 (°C)	18.02	19.53	0.88	1.52	2.04	2.50
SCB	RH2 (%)	74.17	59.87	0.73	-14.30	15.98	18.77
	WS10 (m s ⁻¹)	1.35	2.05	0.40	0.70	0.99	1.24

* All *R* (correlation coefficient) values passed p < 0.001.

* Obs and Sim represent the average observations and simulations, respectively.

Reference:

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Wang, Y., Zhang, Q., Jiang, J., Zhou, W., Wang, B., He, K., Duan, F., Zhang, Q., Philip, S., and Xie,

- Y.: Enhanced sulfate formation during China's severe winter haze episode in January 2013 missing from current models, J. Geophys. Res.-Atmos., 119, 10425–10440, doi:10.1002/2013JD021426, 2014.
 - Gao, M., Carmichael, G. R., Wang, Y., Ji, D., Liu, Z., and Wang, Z.: Improving simulations of sulfate aerosols during winter haze over Northern China: the impacts of heterogeneous oxidation by NO2, Frontiers of Environmental Science & Engineering, 10, 2016.
 - Emery, C., Tai, E., and Yarwood, G.: Enhanced meteorological modeling and performance evaluation for two Texas ozone episodes, in: Prepared for the Texas Natural Resource Conservation Commission, ENVIRON International Corporation, Novato, CA, USA, 2001.

In section 5.2, the authors talk about the negative bias in winter in NCP region by saying

280 that the model misses secondary aerosols. But in summer it seems to be a positive bias almost as dramatic as the negative bias in winter. Do the authors have an explanation for this bias?

Response: Thanks for pointing out it. According to our analysis, the positive bias in summer in NCP is mainly due to the uncertainty in anthropogenic emissions. It is known that PM_{2.5} concentration is mainly driven by primary emissions, meteorology and chemical reactions. Table S2 shows the statistical metrics for hourly meteorological fields in winter and summer in the NCP. It can be seen that bias of summer meteorological fields is reasonable, and is comparable to those in winter (Table S2) as well as to those in the YRD and PRD (Table S1), which indicate bias in meteorological fields is not the reason. Additionally, In the YRD and PRD, where the uncertainties of anthropogenic emissions are generally known as less than that of NCP, the bias of PM_{2.5} between winter and summer are comparable (Table 3), implying chemical formation of PM_{2.5} in summer is not overestimated by the WRF/CUACE v1.0 model. Therefore, it could be inferred that uncertainties in

295 the emission inventory in the NCP lead to the dramatic positive bias of PM_{2.5}. We have added the analysis in Section 5.2.2 in the revised manuscript.

Table S2 Statistical metrics for hourly temperature at 2 m (T2), hourly relative humidity at 2 m (RH2) and hourly wind speed at 10 m (WS10), respectively in winter and summer in the NCP.

NCP region		Obs	Sim	R	MB	ME	RMSE
	T2 (°C)	1.59	2.01	0.85	0.42	1.67	2.14
Winter	RH2 (%)	62.65	53.17	0.75	-9.48	14.18	18.18
	WS10 (m s ⁻¹)	1.82	2.64	0.62	0.82	1.15	1.46
	T2 (°C)	27.48	28.88	0.89	1.40	1.89	2.38
Summer	RH2 (%)	72.79	59.61	0.84	-13.18	13.98	16.42
	WS10 (m s-1)	1.93	2.42	0.54	0.49	1.00	1.27

300 Table 3 Statistical metrics for hourly PM_{2.5} in four haze contaminated areas (2013–2017), in which bold, normal, and italic font for MFB and MFE correspond to the "excellent", "good", and "average" levels in Morris et al. (2005), respectively.

	R	MB	ME	NMB	NME	MFB	MFE
		μg m ⁻³	μg m ⁻³	%	%	%	%
NCP	0.59	-5.0	44.5	-5.4	47.5	3.3	49.1
Winter	0.59	-45.0	67.7	-28.4	42.7	-22.5	47.0
Spring	0.57	-9.5	28.0	-14.0	41.1	-20.7	47.4
Summer	0.47	33.9	42.9	55.1	69.8	44.9	56.3
Autumn	0.63	-0.8	39.2	-0.9	45.4	9.0	45.9
YRD	0.71	12.9	26.9	21.8	45.3	21.1	42.9
Winter	0.75	6.0	30.6	6.4	32.5	8.5	34.1
Spring	0.49	14.2	26.3	25.4	47.1	19.1	40.0
Summer	0.56	16.4	23.3	47.8	67.9	26.7	49.4
Autumn	0.66	15.1	27.3	28.7	51.8	29.5	48.0
PRD	0.68	5.3	17.1	13.1	42.1	8.6	40.1
Winter	0.56	3.0	20.5	5.0	34.6	5.5	34.4
Spring	0.64	6.9	17.6	19.5	49.7	4.2	45.6
Summer	0.68	2.8	8.5	14.8	44.4	5.9	39.0
Autumn	0.54	8.6	21.8	17.7	45.2	18.3	41.9
SCB	0.59	7.6	31.3	12.2	50.3	20.7	51.4
Winter	0.41	-13.3	46.7	-11.5	40.4	-8.3	45.2
Spring	0.49	4.1	22.4	8.4	45.9	11.4	46.1
Summer	0.40	21.6	28.2	60.4	78.6	38.7	58.9
Autumn	0.58	15.9	28.2	31.4	55.7	37.2	54.3

The authors detailed the implementation of the new dry deposition scheme. Also in the conclusion, they wrote "it is difficult to evaluate the dry deposition process is improved", but they did not present any comparison between the two parametrization. A comparison over the already used observed concentrations for the evaluation might be a start for evaluating the improvement.

Response: Sincere thanks for the suggestions. We have added the comparison
between the two parametrization in the revised Section 3.1 "<u>The most significant</u> difference between the Z01 and PZ10 scheme is the treatment of *Rs*, which stands for the dry velocity contributed by surface resistance, including the effect of Brownian diffusion, turbulent impaction, interception and rebound. According to the study of Wu et al., (2018), dry deposition velocity of fine particles is strongly
affected by the Brownian diffusion and turbulent impaction. Thereby, it could be inferred that the Z01 scheme is prone to overestimate the effect of Brownian diffusion and turbulent impaction. In a recent study by Emerson et al. (2020), with observationally constrained approach, the Z01 scheme was revised to be with weaker effect of Brownian diffusion, and as a result, got better performance in simulating the dry deposition velocity of fine particles.".

Following the suggestions, we performed simulations for a winter month (January 2015) to show the model improvements with and without the updated dry deposition scheme. As shown in Fig. S3, the PM_{2.5} concentrations were commonly underestimated with the Z01 scheme (Fig. S3a), as it tends to overestimate the dry deposition velocity of fine particles (Petroff and Zhang, 2010). The underestimation was improved significantly when the Z01 scheme was updated to the PZ10 scheme (Fig. S3b). We have added the comparison in the supplementary, and state in the conclusions: "With the observed PM_{2.5} concentrations, model improvements with and without the updated dry deposition scheme are preliminary evaluated (as shown in Figure S3 in the supplement).".

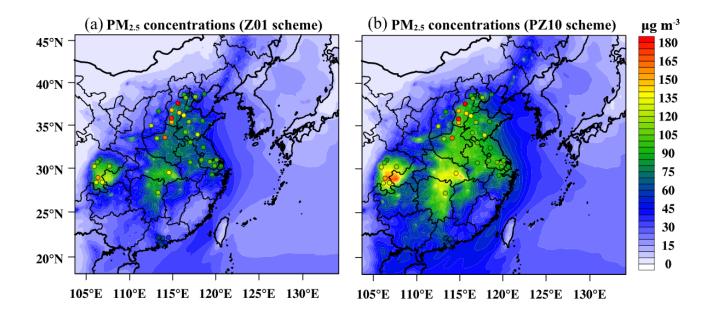


Figure S3. Observed and simulated $PM_{2.5}$ concentrations with (a) Z01 and (b) PZ10 particle dry deposition schemes.

Reference:

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- 335 Petroff, A. and Zhang, L.: Development and validation of a size-resolved particle dry deposition scheme for application in aerosol transport models, Geoscientific Model Development, 3, 753-769, 2010.
 - Emerson, E. W., Hodshire, A. L., DeBolt, H. M., Bilsback, K. R., Pierce, J. R., McMeeking, G. R., and Farmer, D. K.: Revisiting particle dry deposition and its role in radiative effect estimates, Proceedings of the National Academy of Sciences, doi: 10.1073/pnas.2014761117, 2020.

Specific comments:

202014761, 2020.

Page 3, line 70: A or several reference for WRF are missing here.

Response: Thanks for pointing out it. We have added the reference (Skamarock, 2008) in the revised manuscript.

Reference:

Skamarock, W. C., Klemp, J. B., Dudhia, J., Gill, D. O., Barker, D. M., Duda, M. G., Huang, X.-Y.,

Wang, W., and Powers, J. G.: A description of the Advanced Research WRF version 3, National Center for Atmospheric Research Tech. Note, NCAR/TN-475+STR, 113 pp., 2008.

Page 4, line 114: Please add 'primary' for organic carbon if it is the case. Otherwise add a sentence to explain how secondary organic aerosols are treated.

Response: The 'primary' was added.

Page 4, line 123: Please add the fact that Xi is the mixing ratio of the species i.

Response: It has been added.

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Page 4, line 124: I do not understand what the authors mean by clear-air tendency, please explain.

Response: Thanks for pointing out it. The clear-air tendency means aerosol mass produced by chemical transformation of their precursors together with particle nucleation, condensation and coagulation form the clear-air processes (Gong et al., 2003). We have added the explanation in the first paragraph in Section 2.2.

Reference:

Gong, S. L., Barrie, L. A., J.-P. Blanchet, Salzen, K. v., U. Lohmann, and Lesins, G.: Canadian Aerosol Module: A size-segregated simulation of atmospheric aerosol processes for climate and air quality models 1. Module development, Journal of Geophysical Research, 108, 2003.

Page 5/6: Generally speaking this part on deposition is not always easy to read because there are parenthesis missing for function [e.g. $tanh\eta \rightarrow tan(h\eta)$] or multiply sign also missing (e.g. LAIETh $\rightarrow LAI^*ET^*h$).

Response: Thanks for pointing out it. We have carefully checked page 5/6. The parenthesis and multiply sign missed in this part has been added.

Page 5, line 132: "that developed by Petroff and Zhang" \rightarrow "that developed by Petroff and Zhang" for example.

Response: It has been deleted.

Page 5, line 138: Please add a sentence saying that Vd is the dry deposition velocity.

Response: The sentence has been added following the suggestion.

Page 5, line 143: Vg and Vphor are not detailed. Please add a formula or a reference for both of them.

Response: Thanks for pointing out it. The reference (Wu et al., 2018) is added in the revised manuscript.

Reference:

- Wu, M., Liu, X., Zhang, L., Wu, C., Lu, Z., Ma, P.-L., Wang, H., Tilmes, S., Mahowald, N., Matsui, H., and Easter, R. C.: Impacts of Aerosol Dry Deposition on Black Carbon Spatial Distributions and Radiative Effects in the Community Atmosphere Model CAM5, Journal of Advances in Modeling Earth Systems, 10, 1150–1171, 2018.
- Page 5, line 153: It is not clear that Eg = Egb + Egt.

Response: Thanks for pointing out it. We have revised the description of Eg as Eg = Egb + Egt.

Page 5, line 159: tph+ is not detailed. Please add a reference or a formula.

Response: Thanks for pointing this out. The reference (Petroff et al., 2010) is added in the revised manuscript.

Reference:

390

- Petroff, A. and Zhang, L.: Development and validation of a size-resolved particle dry deposition scheme for application in aerosol transport models, Geoscientific Model Development, 3, 753-769, 2010.
- 395 Page 5, line 183: Rs is not defined.

Response: Thanks for pointing out it. Rs is the surface resistance, which is

generally expressed as the reciprocal of the surface deposition velocity (V_{ds}). It has been defined in the equation (13) in the revised manuscript.

Page 7, line 216: What is "chem_opt(122)"?

400 Response: The chem_opt is an option in WRF-Chem to choose which chemical scheme is used (e.g., 10 for CBMZ/MOSAIC). We add an option 122 for users to start the CUACE chemistry module. We have rewritten the description in the revised Section 4.

Page 8, line 223: A reference is missing for KPP.

405 Response: The reference (Damian et al., 2002) has been added following your suggestion.

Reference:

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- Damian V, Sandu A, Damian M, Potra F, Carmichael G R. The kinetic preprocessor KPP-a software environment for solving chemical kinetics. Computers & Chemistry, 2002, 26(11): 1567–1579.
- 410 Page 8, line 247: The authors does not specify whether WRF is used in hydrostatic or NH mode.

Response: Thanks for pointing out it. The WRF is used in NH mode. It has been specified in the revised Section 5.1.

Page 9, line 268: Is it possible to add a figure showing the extent of the MEIC inventory? Maybe it could be added on Figure 2.

Response: Thanks for the suggestion. We added a new figure (Fig. S2) in the supplement to show the extent of the MEIC inventory, and state in the revised Section 5.1: "Figure S2 in the supplement shows the MEIC emissions of $PM_{2.5}$, NO_x , SO₂ and CO in the three years, from which it can be seen that anthropogenic emissions of $PM_{2.5}$, SO₂ and CO in mainland China reduced remarkably from 2012

to 2016."

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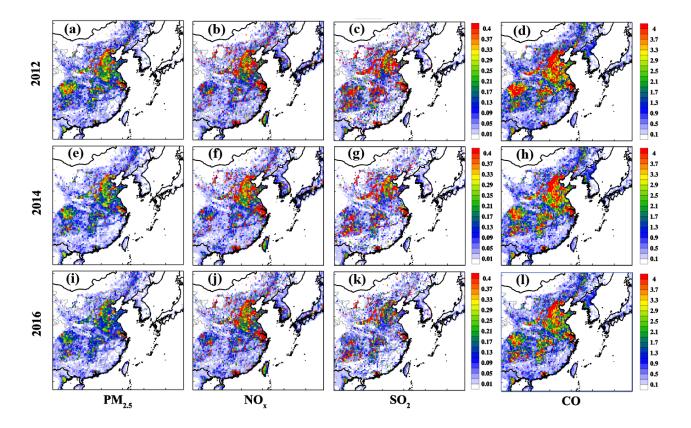


Figure S2. The MEIC emissions of $PM_{2.5}$ (a,e,i), NO_x (b,f,j), SO_2 (c,g,k), and CO (d,h,l) in the three years of (a-d) 2012, (e-h) 2014, and (i-l) 2016. Emissions outside mainland China is from the MIX emission inventory. The unit is $\mu g m^{-2} s^{-1}$ for PM_{2.5}, NO_x, SO₂, and is mg m⁻² s⁻¹ for CO.

Page 9, line 270: Why do the authors use anthropogenic emissions representative for 2012, 2014 and 2016 to represent the years 2013, 2015 and 2017? Moreover for which year(s) is the MIX inventory representative?

Response: Currently, only the MEIC inventory representative for 2012, 2014 and 2016 is open access for download. We use anthropogenic emissions representative for 2012, 2014 and 2016 to represent the years 2013, 2015 and 2017 in order to reflect the changes in anthropogenic emissions. The year of 2010 is the MIX inventory representative for. We have explained this in the revised Section 5.1.

Page 10, line 296: Please add the mention 'not shown' for the time series comparison.

435 **Response: It has been added.**

Page 10, line 303: Please add a reference for the aerosol composition.

Response: Following the suggestion, the reference (Huang et al., 2014) has been added.

Reference:

440 Huang, R.J., Zhang, Y., Bozzetti, C., Ho, K.F., Cao, J.J., Han, Y., Daellenbach, K. R., Slowik, J. G., Platt, S. M., and Canonaco, F.: High secondary aerosol contribution to particulate pollution during haze events in China, Nature, 514, 218–222, 2014.

Page 11, line 351: Please explain what is the index of agreement exactly.

Response: The index of agreement (IOA) is based on Willmott et al. (1980), which spans between 0 (indicating "complete disagreement") to 1 (indicating "complete agreement"). It is defined as equation (R1)

$$IOA = 1 - \frac{\sum_{i=1}^{n} (P_i - O_i)^2}{\sum_{i=1}^{n} (|P_i - O| + |O_i - O|)} , \qquad (R1)$$

where *P*, *O* and *i* represent simulation, observation and samples, respectively. The definition of IOA and the reference (Willmott et al., 1980) are added in the revised manuscript.

Reference:

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Willmott CJ, Wicks DE. An empirical method for the spatial interpolation of monthly precipitation within California. Physical Geography 1: 59–73, 1980.

Page 11, line 351: Why do the authors only evaluate the simulations against O_3 and NO_2 observations? Indeed SO_2 observations might be a good observation since it is the direct precursor for sulfate aerosols.

Response: Thanks very much for pointing out it. We have added the evaluation of SO₂ in Section 5.2.1 in the revised manuscript following the suggestion.

Figure 3: (a), (b), (c) and (d) are missing on the figure. The 3 of mg m-3 is not in exponent size.

Response: All are revised.

Table 1: What are the value of ylow and yhigh? What is the value of RHmax? There seems to be a problem at the end of the line with a lonely bracket for the uptake coefficient for N_xO_y and SO_2 .

Response: The γ_{low} and γ_{high} are the lower and upper limits of γ values. The *RH_{max}* is the *RH* value at which the γ reaches the upper limit. The values of γ_{low}, γ_{high} and *RH_{max}* are referred to the work of Zheng et al. (2015). That is, values of γ_{low} for N₂O₅, NO₂, NO₃ and SO₂ are 1E-3, 4.4E-5, 0.1 and 2E-5, respectively corresponding to the values of γ_{high} at 0.1, 2E-4, 0.23, 5E-5. The *RH_{max}* is 70 % for N_xO_y, and is
100 % for SO₂. Thanks for pointing this out. We have added the description γ_{low}, γ_{high} and *RH_{max}* in the revised Table.

Table 3: Please add "hourly" in the description of the table.

Response: It has been added.

Development of WRF/CUACE v1.0 model and its preliminary application in simulating air quality in China

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	Dongsheng Ji ³ , Jianjun He ¹ , Hongli Liu ¹ , Ke Gui ¹ , Xiaomei Guo ^{5,6} , Jinhui Gao ⁷ , Yunpeng Shan ⁸ ,	 Formatted	Superscript	
5	Hong Wang ¹ , Yaqiang Wang ¹ , Huizheng Che ¹ , Xiaoye Zhang ¹			
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1	⁴ CAS Key Laboratory of Regional Climate-Environment for Temperate East Asia (RCE-TEA), Institute of Atmospheric			
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15	China ⁶ Weather Modification Office of Sichuan Province, Chengdu, 610072, China			
	⁷ Department of Ocean Science and Engineering, Southern University of Science and Technology, ShenZhen, 518055,			
	China			
	⁸ Environment and Climate Sciences Department, Brookhaven National Lab, Upton, NY, USA			
	-			
20	Correspondence to: Sunling Gong (gongsl@cma.gov.cn) and Tianliang Zhao (tlzhao@nuist.edu.cn)			

Abstract. The development of chemical transport models with advanced physics and chemical schemes could improve air-quality forecasts. In this study, the China Meteorological Administration Unified Atmospheric Chemistry Environment (CUACE) model, a comprehensive chemistry module incorporating gaseous chemistry and a size-segregated multicomponent aerosol algorithm, was 25 coupled to the Weather Research and Forecasting (WRF)-Chem framework using an interface procedure to build the WRF/CUACE v1.0 model. The latest version of CUACE includes an updated aerosol dry deposition scheme and the introduction of heterogeneous chemical reactions on aerosol surfaces. We evaluated the WRF/CUACE v1.0 model by simulating PM2.5, O3, and NO2 and SO2 concentrations for January, April, July, and October (representing winter, spring, summer, and autumn, 30 respectively) in 2013, 2015, and 2017 and comparing them with ground-based observations. Secondary inorganic aerosol simulations for North China Plain (NCP), Yangtze River Delta (YRD), and Pearl River Delta (PRD) were also evaluated through a simulation of a heavy haze pollution event during 9-15 January 2019 in the North China Plain. The model well captured the variations of PM_{2.5}, O₃, and NO₂ concentrations in all seasons in eastern China. However, it is difficult to accurately reproduce the variations of air pollutants over Sichuan Basin (SCB), due to its deep basin terrain. The simulations of 35 SO₂ were generally reasonable in the NCP and YRD with the bias at -15.5 % and 24.55 %, respectively,

while poor in the PRD and SCB. The sulfate and nitrate simulations are were substantially improved by introducing heterogenous chemical reactions into the CUACE model (e.g., change in bias from -95.0% to 4.1% for sulfate and from 124.1% to 96.0% for nitrate in the NCP). Additionally, The Formatted: Subscript

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40 WRF/CUACE v1.0 model was revealed with better performance in simulating chemical species relative to the coupled Fifth-Generation Penn State/NCAR Mesoscale Model (MM5)-CUACE model. The development of the WRF/CUACE v1.0 model represents an important step towards improving air-quality modelling and forecasts in China.

1 Introduction

- 45 The atmosphere is an extremely complex reaction system in which a large number of chemical and 45 physical processes occur at every moment. Numerical modelling has become an effective means to 50 study atmospheric environmental changes and their mechanisms due to its capability at large spatial-51 temporal scales and with high resolution. Against the continuing rapid increase in fine particle 51 pollution in China, chemical transport models (CTMs) have been developed in recent years and new
- 50 physical and chemical atmospheric mechanisms have been presented, for instance, heterogenous chemical reactions, the production of secondary organic and inorganic aerosols, and dry deposition schemes. However, some of the mechanisms have yet to be well parameterized into CTMs for air-quality forecasts in China. Numerical modelling in combination with field observations and laboratory analyses is constantly improving our understanding of atmospheric physical and chemical
- 55 processes. There is an urgent need to develop and improve CTMs to provide more powerful tools for studying the atmospheric environment, in particular for the mitigation of fine particle pollution in China.

Meteorological conditions is accepted as one of the main factors affecting atmospheric chemical processes and the aerial transport of noxious materials, and, in turn, chemical species can impact 60 meteorological conditions by radiation feedback and cloud formation (Grell and Baklanov, 2011). Historically, CTMs were developed separately from meteorological models owing to the complexity of the atmosphere and the economics of computer calculations. Thus, CTMs were generally driven by meteorological datasets from a pre-run of the meteorological model. Information about the rapid meteorological processes, such as changes in wind direction and speed or the planetary boundary

layer, are barely recorded by the low-temporal-resolution meteorological outputs (typically once or twice per hour), which may impact the accuracy of the air-quality forecasts. Coupled systems that realize the synchronous integration and two-way interactions of meteorology and chemistry are an important development for the traditional CTM approach to air-quality forecasting and there have been many endeavors devoted to this (Jacobson et al., 1996; Lin et al., 2020; Lu et al., 2020; Zhang et al., 2010).

To tackle serious air pollution in China and East Asia, with a particular focus on haze pollution

forecasting, the China Meteorological Administration (CMA) has been developing the Chinese Unified Atmospheric Chemistry Environment (CUACE) model, a chemistry module that can be driven by meteorological models. The CUACE has been integrated into the Fifth-Generation Penn

- State/NCAR Mesoscale Model (MM5) and the mesoscale version of the Global/Regional 75 Assimilation and Prediction System (GRAPES, a meteorological model developed by CMA) to build a fog-haze forecasting system (An et al., 2016; Wang et al., 2015a; Zhou et al., 2012). Both of these coupled systems have been running operationally at national and provincial meteorological administrations since 2014, and have been used for air-quality assurance for many major events in
- China. However, active development of the MM5 model ended with version 3.7.2 in 2005, and it has 80 been largely superseded by the Weather Research and Forecasting (WRF) model (Skamarock, 2008). The WRF model has been shown to have a better performance relative to the MM5 model due to its better numerical dynamic core and greater number of physical parameterization schemes, and it is now used as a host model for coupling with different CTMs for scientific research and air-quality
- forecasting, such as the WRF-Chem and WRF-CMAQ models (Grell et al., 2005; Wong et al., 2012). 85 The WRF model has also been used to provide pre-run meteorological fields to drive models such as CAMx and FLEXPART, as well as to provide boundary and initial fields for local-scale models. Therefore, it is important to develop the CUACE module by coupling it with state-of-the-art meteorological models.
- The chemical reaction mechanisms in the CUACE module, as well as in current CTMs, are 90 proposed under clean conditions. In the context of composite air pollution in China, particularly during severe haze episodes with a rapid increase in fine particles (PM_{2.5}), their applicability needs to be improved. Heterogenous chemical reactions, mechanisms missing in current models, were revealed as a crucial factor to explain the dramatic increase of PM2.5 during hazy days (Zheng et al.,
- 2015), such as the heterogenous uptake of dinitrogen pentoxide at night (Wang et al., 2017), and the 95 heterogeneous oxidation of dissolved SO₂ by NO₂ (Gao et al., 2016; Seinfeld and Pandis, 2012). Another process focused on here is the dry deposition of particles, where the difference between model predictions and field measurements appears greatest for vegetated canopies and for the accumulation size range of airborne particles. Ongoing research is investigating the factors that give rise to this discrepancy and providing new approaches to predicting the deposition (Hicks et al., 100

2016). However, few studies have incorporated these mechanisms into 3D CTMs (Wu et al., 2018). The objectives of this study were to develop the CUACE module from three aspects: (1) introduce heterogenous reactions and update the dry deposition scheme of particles; (2) couple the CUACE to the WRF model to build the WRF/CUACE v1.0 system; and (3) evaluate the model against observations of surface air pollutants.

2 Model description

2.1 WRF model

The Advanced Research WRF version 3 (WRF-ARW) is used to simulate meteorological processes and advection of atmospheric components in the WRF/CUACE v1.0 model. The WRF-ARW is a state-of-the-science mesoscale meteorological model, making simulations that are based on actual atmospheric conditions or idealized conditions feasible (Langkamp and Böhner, 2011). The equation set for the WRF-ARW is fully compressible, Eulerian non-hydrostatic with a run-time hydrostatic option. It is conservative for scalar variables. The prognostic variables consist of velocity components *u* and *v* in Cartesian coordinates, vertical velocity *w*, perturbation potential temperature,

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perturbation geopotential, and perturbation surface pressure of dry air, as well as several optional prognostic variables depending on the model physical options (Skamarock et al., 2008; Wong et al., 2012).

2.2 CUACE module

- The CUACE is a unified chemistry module, which treats most of the physical and chemical processes, except advection and convection processes that done by its host model. The main processes treated in CUACE module include emissions, gas chemistry, dry and wet deposition, vertical mixing, aerosol-cloud interaction, and clear-air (i.e., aerosol produced by chemical transformation of their precursors together with particle nucleation, condensation and coagulation) (An et al., 2016; Zhou et al., 2012; Gong et al., 2003).
- 125 The CUACE module is a unified atmospheric chemistry module incorporating three major functional modules: emissions, gaseous chemistry, and a size segregated multicomponent aerosol algorithm (Zhou et al., 2012), and has been designed as a unified chemistry module that can be coupled to any atmospheric model at various temporal and spatial scales. The CUACE is typically configured with the second generation of the Regional Acid Deposition Model (RADM2) as its gaseous chemistry module, which represents 63 species through 21 photochemical reactions and 121-136 gas phase reactions. As the gaseous chemistry (RADM2) in the CUACE module is not.
- <u>computationally economic and it is hard coded, which means that it is not conducive to adapting</u>
 <u>chemical reactions in the future, the CBM-Z photochemical mechanism (Zaveri and Peters, 1999)</u>
 with a better computational efficiency is added with the KPP protocol (Damian et al., 2002) to
 <u>replace the RADM2 mechanism. CBM-Z mechanism contains 55 species, 114 reactions and 20</u>
- photochemical reactions. It is based on the widely used Carbon Bond Mechanism (CBM-IV) and

	uses the lumped structure approach for condensing organic species and reactions. CBM-Z extends
	the CBM-IV to include revised inorganic chemistry, explicit treatment of the lesser reactive paraffins,
	methane and ethane, revised treatments of reactive paraffin, olefin, and aromatic reactions, inclusion
140	of alkyl and acyl peroxy radical interactions and their reactions with NO ₃ , inclusion of organic
	nitrates and hydroperoxides, and revised isoprene chemistry. Currently, stratospheric chemistry is not
	included in the CUACE module. Species (i.e, CH ₄ , CO, O ₃ , NO, NO ₂ , HNO ₃ , N ₂ O ₅ and N ₂ O) above
	a specified pressure level are fixed to climatological values. Between the specified pressure level and
	the tropopause level, the species was relaxed with a 10-day relaxation factor.
145	The Canadian Aerosol Module (CAM) (Gong et al., 2003) is adopted as its aerosol module.
	There are totally seven types of aerosols treated in CAM, i.e. black carbon, primary organic carbon,
1	sulfates, nitrates, ammonium, soil dust, and sea salts. The sea salt emissions are calculated online
	using the parametrization scheme developed by Gong et al. (2003). Soil dust emissions are simulated
	using the Marticorena-Bergametti-Alfaro scheme (Alfaro and Gomes, 2001; Marticorena and
150	Bergametti, 1995). With the exception of ammonium, the aerosol size spectrum is divided into 12
	bins with fixed boundaries of 0.005–0.01, 0.01–0.02, 0.02–0.04, 0.04–0.08, 0.08–0.16, 0.16–0.32,
	0.32-0.64, 0.64-1.28, 1.28-2.56, 2.56-5.12, 5.12-10.24, and 10.24-20.48 μm. The detailed
	description of aerosol physical and chemical processes in the CAM module could be found in Gong
	et al. (2003). The multicomponent aerosols in each size bin are subject to the mass conservation -
155	equation as follows:
	$\frac{\partial X_{tp}}{\partial t} = \frac{\partial X_{tp}}{\partial t} \left _{TRANSPORT} + \frac{\partial X_{tp}}{\partial t} \right _{SOURCES} + \frac{\partial X_{tp}}{\partial t} \left _{CLEARAIR} + \frac{\partial X_{tp}}{\partial t} \right _{DRY} + \frac{\partial X_{tp}}{\partial t} \left _{IN-CLOUD} + \frac{\partial X_{tp}}{\partial t} \right _{BELOW-CLOUD},$
	where the change rate in the mixing ratio of dry particle mass constituent -p-within the size-
	range i has been divided into components (or tendencies) for transport, sources, clear air, dry-
	deposition, and in-cloud and below-cloud processes. The main aerosol processes considered in CAM-

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ind

3 Development of the CUACE module

3.1 Update with particle dry deposition scheme

and wet scavenging (An et al., 2016; Gong et al., 2003).

The CUACE module currently parameterizes particle dry deposition velocity according to the method of Zhang et al. (2001) (Z01), which tends to overestimate the dry deposition, especially for fine particles (Petroff and Zhang, 2010). In this study, we use the scheme that developed by Petroff and Zhang (2010) (PZ10) to replace the original scheme in the CUACE module. The most significant

collision.

aerosol

-cloud interaction,

dry deposition

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difference between the Z01 and PZ10 scheme is the treatment of *Rs*, which stands for the dry velocity contributed by surface resistance, consisting of Brownian diffusion, turbulent impaction,

interception and rebound. According to the study of Wu et al., (2018), dry deposition velocity of fine
 particles is strongly affected by the Brownian diffusion and turbulent impaction. Thereby, it could be
 inferred that the Z01 scheme is prone to overestimate the effect of Brownian diffusion and turbulent
 impaction. In a recent study by Emerson et al. (2020), with observationally constrained approach, the
 Z01 scheme was revised to be with weaker effect of Brownian diffusion, and as a result, got better
 performance in simulating the dry deposition velocity of fine particles.

Both <u>of the Z01 and PZ10</u> schemes use the "resistance" analogy, but with quite different formulas. The PZ10 scheme improved the surface resistance and collection efficiency of the Z01 scheme to overcome the problem of overestimating the dry deposition velocity of fine particles. The PZ10 scheme is detailed as follows:

$$V_d = V_{drift} + \frac{1}{R_a + R_s}$$

Here V_d is the dry deposition velocity: V_{drift} represents drift velocity, which is equal to the sum of gravitational settling and phoretic velocity and is expressed as

___(1)

 $V_{drift} = V_g + V_{phor} \tag{2}$

where V_g is the gravitational settling velocity and V_{phor} accounts for the phoretic effects that are related to differences in temperature, water vapor, or electricity between the collecting surfaces and the air (Wu et al., 2018).

The aerodynamic resistance (R_a) and surface resistance (R_s) are calculated differently for vegetated and unvegetated surfaces. For vegetated surfaces, R_a is parameterized as

$$R_{a} = \frac{1}{\kappa * u_{*}} \left[\ln \left(\frac{z_{R} - d}{\hbar - d} \right) - \Psi_{h} \left(\frac{z_{R} - d}{L_{O}} \right) + \Psi_{h} \left(\frac{\hbar - d}{L_{O}} \right) \right]$$
(3)

where κ is the von Karman constant (0.4), u_* is the friction velocity above canopy, z_R is the reference height, h is the canopy height, d is the displacement height of the canopy, L_0 is the Obhukov length, and Ψ_h is the integrated form of the stability function for heat.

Surface resistance (R_s) is generally expressed as the reciprocal of the surface deposition velocity (V_{ds}) , which is parameterized as

$$V_{ds} = u_* E_g \frac{1 + \left[\frac{Q}{Q_g} - \frac{\alpha}{2}\right]^{\frac{tan(\delta\eta)}{\eta}}}{1 + \left[\frac{Q}{Q_g} + \alpha\right]^{\frac{tan(\delta\eta)}{\eta}}}$$
(4)

where $E_g = E_{gb} + E_{gt}$ is the total collection efficiency on the ground below the vegetation-andconsists of two parts: (1) E_{gb} and E_{gt} represent Brownian diffusion- (E_{gb}) and (2)-turbulent impaction-<u>respectively</u> (E_{gt}) . E_{gb} is parameterized as

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$$E_{gb} = \frac{Sc^{-\frac{2}{3}}}{14.5} \left[\frac{1}{6} ln \frac{(1+F)^2}{1-F+F^2} + \frac{1}{\sqrt{3}} Arctan \left(\frac{2F-1}{\sqrt{3}} \right) \frac{2F-1}{\sqrt{3}} + \frac{\pi}{6\sqrt{3}} \right]^{-1}$$
(5)

where F is a function of the Schmidt number (S_c) and is parameterized as $F = Sc^{\frac{1}{3}}/2.9$. E_{gt} is expressed as

$$E_{gt} = 2.5 \times 10^{-3} C_{IT} * \tau_{ph}^{+2}, \tag{6}$$

where C_{IT} is a constant taken as 0.14 and $\tau_{p\lambda}^+$ is a function of non-dimensional relaxation time of the particle (Petroff et al., 2010).

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In equation (4), the non-dimensional timescale parameter, Q, represents the ratio of turbulent transport timescale to vegetation collection timescale, and Q_g is the analogy of Q used for the transfer to the ground. $Q \ll 1$ characterizes a situation where turbulent mixing is efficient and the transfer of particles is limited by the collection efficiency on leaves. Meanwhile, $Q \gg 1$ corresponds to a situation where particles are efficiently collected by leaves and transfer of turbulent mixing is limited. Q and Q_g are defined as:

$$Q = \frac{LAI * E_T * h}{l_{mp}(h)}$$

$$Q_g = \frac{E_g * h}{l_{mp}(h)}$$
(7)
(8)

where *LAI* is the two-sided leaf area index, E_T is the total collection efficiency by various physical processes, and l_{mp} is the mixing length for particles. E_T is expressed as:

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$$E_T = \frac{U_h}{u_*} (E_B + E_{IN} + E_{IM}) + E_{IT}$$
(9)

where U_h is the horizontal mean wind speed at canopy height h; and E_B , E_{IN} , E_{IM} , and E_{IT} are the collection efficiencies by Brownian diffusion, interception, inertial impaction, and turbulent impaction, respectively. The term η is taken as

$$\eta = \sqrt{\frac{\alpha^2}{4}} + Q \tag{10}$$

220 where α is the aerodynamic extinction coefficient, and is expressed as

 $Ra = \frac{1}{\kappa u^*} \left[\ln \left(\frac{z_R - d}{z_0} \right) - \Psi_h \left(\frac{z_R - d}{L_0} \right) + \Psi_h \left(\frac{z_0}{L_0} \right) \right]$

$$\alpha = \left(\frac{k_x * LAI}{12k^2 \left(1 - \frac{d}{h}\right)^2}\right)^{\frac{1}{3}} \phi_m^{\frac{2}{3}} \left(\frac{h - d}{L_0}\right) \tag{11}$$

where k_x is the inclination coefficient of the canopy elements and ϕ_m is the non-dimensional stability function for momentum.

(12)

For non-vegetated surfaces, the aerodynamic resistance_Ra Ra-is calculated as

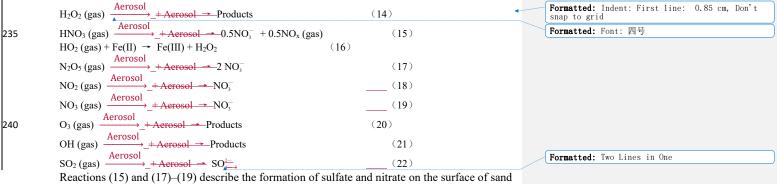
and the surface deposition velocity V_{ds} is expressed as

$$V_{ds} = u_*(E_{gb} + E_{IT})$$

3.2 Introduction of heterogeneous chemistry

The study of heterogeneous chemical reactions mostly focuses on the surface of dust aerosols, but the parameterization schemes of heterogeneous chemical reactions on different types of aerosol have not been well established (Zheng et al., 2015). The following are the heterogeneous chemical reactions on aerosol surfaces that added to the CUACE module in this study <u>("Aerosol" in the</u>

reactions stands for all the aerosols in the model):



(13)

dust, and the other four reactions describe mineral aerosols as sinks of gaseous substances. In this
study, these nine heterogeneous reactions were extended to all types of aerosol surface in the
CUACE, referring to the approach of Zheng et al. (2015) for the CMAQ model. The first-order
chemical kinetic equation for calculating the adsorption efficiency of a gas on an aerosol surface is:

$$\frac{dC_i}{dt} = -k_i C_i \tag{23}$$

where C_i represents the concentration of gas *i* and k_i is the pseudo-first-order rate constant and is supposed to be irreversible. The value of k_i is defined referring to Jacob (2000) as:

$$k_i = \left(\frac{a}{D_i} + \frac{4}{v_i \gamma_i}\right)^{-1} A$$

(24)

where *a* is the aerosol diameter, D_i is the diffusion coefficient for gas reactant *i*, v_i is the mean molecule speed of gas reactant *i*, γ_i is the uptake coefficient of the heterogeneous reaction for the gas reactant *i*, and *A* is the surface area of aerosols in unit volume air. The value of γ_i is obtained from previous laboratory studies (Table 1) and other parameters are calculated in the WRF/CUACE

v1.0 model.

4 Coupling of the CUACE module with the WRF model

	The coupling of the WRF/CUACE v1.0 model is based on the framework of WRF/Chem model
	and uses most of the its existing infrastructure in the WRF-Chem model. WRF-Chem is a
260	meteorology-chemistry coupled model. In the chemical module of the WRF-Chem, the processes are
	split to emissions, vertical mixing, dry deposition, convection, gas chemistry, cloud chemistry,
	aerosol chemistry and wet deposition, all of which are integrated in an interface procedure
	(chem_driver). Advection process is treated in the WRF model. Information, such as rainfall rates,
	vertical mixing coefficients and convective updraft properties, is provided by WRF to calculate the
265	processes treated in the chemical module. WRF-Chem uses registry tools for automatic generation of
	application code. Physical and chemical variables, as well as options of parameterization schemes are
	coded in files (such as registry.chem) in the directory of WRFV3/Registry, which provides the
	convenience for developers to add variables and options.
	Following the registry tools for automatic generation of application code in the WRF-Chem
270	model, a registry file (registry.cuace) is written to store the chemical variables and startup option of
	the CUACE module module, as well as a new parameter of chem_opt (122) for users to start the
	WRF/CUACE v1.0 model. An interface procedure, cuace_driver, was first designed to integrate the
	core sections of the aerosol physical and chemical processes in the CUACE module -
	(module_ae_cam.F) with the WRF framework. The flow of the major process splitting in the coupled
275	WRF/CUACE v1.0 model is illustrated in Fig. 1 with the structure of related subroutines given in
	Fig. S1 in the supplement. The WRF/CUACE v1.0 model uses several modules of the original
	WRF/Chem model, i.e., modules of advection, vertical mixing, convection, biomass emissions,
	anthropogenic gas emissions, photolysis and gas dry/wet deposition (Fig. S1). As described in
	Section 2.2, the CBM-Z mechanism is newly added with the KPP protocol (Damian et al., 2002) to
280	replace the RADM2 mechanism in the original CUACE module. An interface procedure,
	cuace_driver, is designed to integrate the core sections of the aerosol physical and chemical_
	processes of the CUACE module with the WRF framework (Fig. S1). The interface procedure is
	placed in the chemical interface of WRF-Chem (chem_driver). As the gas-phase chemistry-
	(RADM2) in the CUACE model is not computationally economic and it is hard coded, which means-
285	that it is not conducive to adapting chemical reactions in the future, the CBM-Z gas chemistry-
	mechanism with a better computational efficiency is added with the KPP (Kinetic PreProcessor)
	protocol as the gas chemistry mechanism of the CUACE module.
	The flow of the major process splitting in the coupled WRF/CUACE v1.0 model is illustrated in

Fig. 1. Process splitting in the WRF/CUACE v1.0 model is generally the same as in the WRF-Chem-9 290 model. The CUACE module is independent from the original chemical module of WRF-Chem, except that they share the same advective/convective transport scheme, anthropogenic emissions module, and the dry/wet deposition of gas species.

In the CUACE module, most of the aerosol physical and chemical processes, such as coring, collision, condensation, dry deposition, wet seavenging, and aerosol activation, are gathered to the
 CAM section (Fig. 1). Meteorological fields outputted from the WRF model and chemical species from the CUACE module can exchange directly through the interface procedure. No spatial interpolation of the meteorological and chemical data is required as both the CUACE and the WRF models can be configured to the same gird configurations and coordinate systems. The feedback of chemical species on meteorology in the current WRF/CUACE version is not realized, but is under development and will be released in a future paper.

5 Performance of WRF/CUACE v1.0 in air-quality simulation

5.1 Model configuration

At present, there are four major polluted areas in China, namely, the North China Plain (NCP), the Yangtze River Delta (YRD), the Pearl River Delta (PRD), and Sichuan Basin (SCB). To include 305 all these regions, the simulation area is configured as in Fig. 2. There are two domains in total. The boundary field of the inner domain is obtained by the interpolation of its outer domain. The outer region covers the whole of East Asia and its adjacent areas with a horizontal resolution of 54 km and a total of 120×110 grids centered at 30.46° N and 105.82° E. The inner region covers most of China on the east side of the Qinghai-Tibet Plateau with a horizontal resolution of 18 km and 193×175 grids. There are 32 vertical layers with the top pressure at about 100 hPa. The main physical and 310 chemical options in the model are shown in Table 2. With WRF used in non-hydrostatic mode, -Www performed two simulations. One for January, April, July, and October in three years, 2013, 2015, and 2017, to evaluate the model on a long timescale, and one for three periods during which SIA observations were conducted (i.e., -5-16 January 2019 in Langfang, 3-29 December 2013 in 315 Nanjing, and 1-10 January 2017 in Chengdu), to investigate improvements in simulating SIA with

- heterogenous chemistry.-during which intensive observations of secondary inorganic aerosols (SIA)were performed at Xianghe Site (39.798°N, 116.958°E; 15 m above sea level), which isapproximately 35 km northeast of Langfang eity (Fig. 2) in the NCP region, to investigateimprovements in simulating SIA with heterogenous chemistry.
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The model uses the FNL global reanalysis data of the NCEP (National Centers for

Environmental Prediction) to provide the meteorological initial and boundary fields with spatial and temporal resolution of 6 h and $1^{\circ}\times1^{\circ}$, respectively. The initial and boundary chemistry conditions are based on the vertical profiles of O₃, SO₂, NO₂, VOCs (volatile organic compounds), and other air pollutants from the NOAA Aeronomy Lab Regional Oxidant Model (NALROM) (Liu et al., 1996).

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Anthropogenic emissions are derived from the MIX emission inventory <u>representative for 2010</u> (http://www.meicmodel.org/dataset-mix.html) (Li et al., 2017), which is an Asian anthropogenic emissions inventory developed for the third phase of the East Asian Model Comparison Plan (MICS-Asia III) and the United Nations Hemispheric Atmospheric Pollution Transport Plan (HTAP). The inventory provides monthly grid emission data with 0.25° spatial resolution for five emission sectors

- 330 (electricity, industry, civil, transportation, and agriculture), including PM_{2.5}, PM₁₀, nitrogen oxides (NO_x), sulfur dioxide (SO₂), carbon monoxide (CO), NH₃, black carbon (BC), organic carbon (OC), and non-methane volatile organic compounds (NMVOCs). During the simulation span from 2013 to 2017, China carried out strict air pollution control measures, which had a considerable impact on anthropogenic emissions. To make the anthropogenic emissions more suitable for the real emissions
- 335 scenarios in the simulated years, the emissions in mainland China were replaced with the MEIC emissions inventory in-representative for 2012, 2014, and 2016 to represent the emissions scenarios of mainland China in 2013, 2015, and 2017, respectively. Figure S2 in the supplement shows the MEIC emissions of PM_{2.5}, NO_x, SO₂ and CO in the three years, from which it can be seen that anthropogenic emissions of PM_{2.5}, SO₂ and CO reduced remarkably from 2012 to 2016.

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For the vertical interpolation, we used the settings of Wang et al. (2010) and Zhou et al. (2017). The industrial emissions were allocated as 50, 30, and 20% in layers one to three of the model, respectively, and the power plant emission sources were allocated as 14, 46, 35, and 5% in model layers two to five, respectively. The emissions from transportation, residential, and agriculture were 95% and 5%, respectively, in the first and second layers of the model. Then, the inventory was distributed into hourly emissions using the monthly, weekly, and hourly profiles established by Tsinghua University (2006). VOCs released from vegetation was calculated online using the MEGAN model (Guenther, 2006).

5.2 Evaluation against ground-based observations

5.2.1 Meteorological evaluation

The simulated hourly temperature at 2 m (T2), hourly relative humidity at 2 m (RH2) and hourly wind speed at 10 m (WS10) were selected for evaluation. Table S1 in the supplement shows

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	the observation mean, simulation mean, correlation coefficient (R), MB, ME and RMSE of the	Formatted: Font: Italic
	meteorological fields in the NCP, YRD, PRD and SCB, respectively. The MB and RMSE for T2 vary	
	from 0.48 to 1.14 °C and from 2.01 to 2.50 °C, respectively, indicating surface temperatures are	
355	slightly overestimated in the four regions. The R value for T2, ranging from 0.88 to 0.93, indicates	Formatted: Font: Italic
	the variation trends are well captured by the model. The model underestimates RH2 in the four	
	regions with the MB ranging from -6.22 to -14.30 % and the RMSE ranging from 13.95 to 18.77 %,	
	which are comparable with previous studies in China (Wang et al., 2014; Gao et al., 2016). The	
	RMSE for WS10 in the four regions vary from 1.47 to 1.61 m s ⁻¹ , fall within the "good" model	Formatted: Superscript
360	performance criteria (little than 2 m s ⁻¹) proposed by Emery et al. (2001). However, it should be	
	noted that the <i>R</i> for WS10 in the SCB is relatively poor, indicating the variation trends were not well	Formatted: Font: Italic
	captured. The simulations of T2 and RH2 in the SCB are relatively poor than other regions as well.	
	For example, the R, MB and RMSE values of T2 in the SCB are 0.88, 1.52 °C and 2.50 °C,	Formatted: Font: Italic
	respectively, while the values in the other three regions vary from 0.91 to 0.93, 0.48 to 1.14 °C and	
365	2.01 to 2.39 °C. Generally, the model performed best in the YRD, followed by the PRD and NCP,	
	and performed worst in the SCB for meteorological fields.	

5.2.2 Chemical evaluation

In view of the spatial-temporal differences in the haze pollution that occur in the four different regions (i.e. NCP, YRD, PRD, and SCB), here we assessed surface PM2.5, O3, and NO2 and SO2_ simulated in the WRF/CUACE v1.0 model by region and season. Figure 3 presents a comparison of 370 the modelled and observed daily mean PM2.5 concentrations in spring, summer, autumn, and winter in the four regions. Overall, the WRF/CUACE v1.0 model well captured the variations in the PM2.5 concentration, but with different performance in different regions and seasons. The correlation coefficients (R) for the NCP, YRD, and PRD are mostly above 0.60 and passed the 99% significance 375 test. The R value between the YRD and PRD is the highest (generally higher than 0.65), followed by the NCP. The NCP, YRD, and SCB simulations in autumn and winter are generally better than that in spring and summer according to the R values, while that in the PRD is the opposite with a better performance during spring and summer seasons. The simulations are relatively poor in the SCB, where the complex terrain poses great challenges to meteorological field simulations (Table S1 in the supplement).

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It is noteworthy that the WRF/CUACE v1.0 model systematically underestimated the daily PM2.5 concentrations in the NCP when it exceeded about 200 µg m⁻³, which mostly happened during winter (Fig. 4a). By comparing the time series of observations and simulations (not shown), we

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found that the underestimation mainly occurred in the period of heavy haze pollution in some cities 385 (such as Shijiazhuang, Hengshui, Handan, etc.). Two factors might be responsible for this. One is the uncertainty of emission sources. The formulation of an accurate emissions source inventory is always a difficult problem, especially in China. In the NCP, the seasonal difference in emission sources is substantial. A large number of unorganized loose coal combustion emissions during the winter heating season cannot be promptly accounted for by the emissions source inventory system, which increases the uncertainty of the local emission sources. The other factor might be problems in the 390 chemical reaction mechanisms. The haze pollution study found that PM2.5 was mainly composed of secondary particulate matter, including sulfate, nitrate, ammonium salt, and SOA (Huang et al., 2014). During heavy haze episodes, the concentration of sulfate increased substantially, but its formation mechanism remains not well recognized. The main international atmospheric chemical 395 models (such as CMAQ, WRF-Chem, CAMx, etc.) are also found to be not ideal enough to simulate sulfate and SOA during heavy haze pollution in North China. Zheng et al. (2015) and Gao et al. (2016) initially added SO₂ heterogeneous processes in the CMAQ and WRF-Chem models, and the simulation results of sulfate improved. Although heterogeneous chemical reaction mechanisms are introduced in this study, the simulation effect of sulfate needs to be further evaluated, and the 400 simulation of SOA is more challenging, involving thousands of VOC species and determination of

- their saturation, atmospheric oxidation, free radicals, acidity, and basicity. The development of a volatility basis set (VBS) is a major breakthrough that treats the organic gas/particle partitioning with a spectrum of volatilities using a saturation vapor concentration as the surrogate of volatility (Ahmadov et al., 2012; Donahue et al., 2006; Wang et al., 2015b).
- 405 The WRF/CUACE v1.0 model was further evaluated using hourly PM_{2.5} concentrations and *R*, mean bias (MB), mean error (ME), normalized mean bias (NMB), normalized mean error (NME), mean fractional bias (MFB), and mean fractional error (MFE) (Table 3). As can be seen from Table 3, the correlation coefficients *R* for the NCP, YRD, PRD, and SCB are 0.59, 0.71, 0.68, and 0.59, respectively, all of which passed the 99% significance test. The YRD has the best correlation,
- followed by the PRD. MB values reflect that the performance of the model is reasonable in all regions, among which those in NCP and PRD are the best, with the MB values reaching -5.0 and 5.3 ugμg m⁻³, respectively. However, the MB values show that the simulated concentration of PM_{2.5} in NCP during winter is generally underestimated by 45 ugμg m⁻³ and overestimated by 33.9 μg m⁻³. The dramatic positive bias in summer in the NCP is mainly due to the uncertainty in anthropogenic emissions. It is known that PM_{2.5} concentration is mainly driven by primary emissions, meteorology
- and chemical reactions. Table S2 in the supplement shows the statistical metrics for hourly meteorological fields in winter and summer in the NCP. It can be seen that the bias of summer

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 meteorological fields is reasonable, and is comparable to those in winter (Table S2) as well as to those in the YRD and PRD (Table S1), which indicate bias in meteorological fields is not the reason.
 Additionally, In the YRD and PRD, where the uncertainties of anthropogenic emissions are generally known as less than that of NCP, the bias of PM_{2.5} between winter and summer are comparable (Table 3), implying chemical formation of PM_{2.5} in summer is not overestimated by the WRF/CUACE v1.0 model.--

From the point of view of relative deviation, the overall level of standard mean deviation NMB
in the NCP is slightly better than that in the YRD and PRD, but the seasonal difference is significant, and the NMB values of the latter two (especially in the PRD) are more uniform in different seasons, maintaining at about 20%, indicating that the simulation level of the model is relatively stable in the region. The NMB of SCB is 12.2%, which is similar to that of NCP with a significant seasonal difference (11.5% in winter and 60.4% in summer). The NMBs in the NCP, YRD and PRD are
basically the same, about 45%, slightly better than 50.3% in SCB.

Morris et al. (2005) provided a reference standard for MFB and MFE using hourly concentrations of simulated and observed $PM_{2.5}$. The simulation performance is identified to be excellent when MFB < 15% and MFE < 35%, identified to be good when MFB < 30% and MFE < 50%, and identified to be average when MFB < 60% and MFE < 75%, which are marked as bold,

- 435 normal, and italic font, respectively, in Table 3. It can be seen that simulations in the YRD and PRD fall within the good level with the MFB/MFE reaching 21.1/42.9% and 8.6/40.1%, respectively. Both reached excellent levels in winter, which are 8.5/34.1% and 5.5/34.4%. respectively, indicating that the WRF/CUACE v1.0 model accurately captures the hourly variations of PM_{2.5} in the two regions. In the NCP region, the model still maintains a good simulation level (3.3/49.1%) in the area, with
- 440 obvious overestimates in summer but still maintaining an average level (44.9/56.3%). The SCB region as a whole is at the average level (20.7/51.4%). The simulation of winter and spring is better than that of spring and summer. The reason why the simulation in SCB is relatively poor is that its topography is complex, which leads to inaccurate simulation of meteorological fields and further affects the simulation of chemical species. In addition, the uncertainty of emission sources over there is also a major factor (Zhang et al., 2019).

As a whole, the seven statistical error indicators *R*, MB, ME, NMB, NME, MFB, and MFE in the four regions reached 0.63 (99% significance test), 2.7 ugug m⁻³, 33.3 ugug m⁻³, 2.8 %, 46.8 %, 10.6 %, and 46.2%, respectively, which showed that the WRF/CUACE v1.0 model can reasonably reproduce the changes in PM_{2.5}.

450 Statistical metrics for O₃, <u>and NO₂ and SO₂</u>, including index of agreement (IOA, see its <u>definition in the supplement</u>) (Willmott et al., 1980), NMB, and *R*, are shown in Table 4, along with a

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benchmark derived from the EPA (2005, 2007). In general, the R values of O3 and NO2 in the four regions are about 0.6, which pass the 99% significance test. For O₃, NMBs indicate that the concentrations in the NCP, YRD, and PRD were well reproduced by simulations. The high consistency of the time series between the simulations and measurements was also reflected by the 455 high values of IOA (>0.8). It should be noted that the NMB indicates that the O₃ concentrations in SCB were overestimated, which is also reflected in the scatter plot (Fig. 4d), partially due to the relatively poor simulation of meteorological fields (Table S1). The complex topography and uncertainties in the emissions inventory might be responsible. As the precursor of O₃, simulation of NO₂ over the NCP, YRD, PRD, and SCB was acceptable, with the NMBs all falling within the 460 benchmark and IOAs greater than 0.70. In general, the statistical metrics for O₃ and NO₂ are comparable with other studies (Gao et al., 2018; Hu et al., 2016). The variations of SO₂ in NCP and YRD were generally reproduced by the model with bias at -15.5 % and 24.55 %, respectively. However, in the PRD and SCB, SO₂ concentrations were substantially overestimated (Table 4 and Fig. 4k-l). As previous studies revealed, emissions of SO₂ in eastern China were overestimated by 465 national emission inventories (Zhang et al., 2018; Zhou et al., 2019; Gao et al., 2016), which might

On the basis of the above analysis results, the simulation results are satisfactory, with the exception of SCB.

470 **5.3** Evaluation of SIA simulations with heterogeneous chemical reactions

partially contribute to the overestimation of SO2 in YRD and PRD.

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Heterogeneous chemical reactions have been shown to have important effects on the formation of SIAs, especially during severe haze events with high humidity (Li et al., 2011; Wang et al., 2006; Zhao et al., 2013). <u>The ground observations of SIA from 5 to 16 January 2019 in Langfang (NCP),</u> from 3 to 29 December 2013 in Nanjing (YRD), and from 1 to 10 January 2017 in Chengdu (SCB) were collected for the evaluation of SIA simulations. Following the model configurations in Section 4.2, we performed WRF/CUACE v1.0 simulations with (Exp_WH) and without (Exp_WoH) heterogenous chemistry <u>on the three periods</u>for a severe haze event that occurred on 9–15 January 2019.

Figure 5 illustrates the hourly variations of observed SIA concentration from the Exp_WH and
 Exp_WoH experiments. For Langfang site, Tthe simulation without heterogenous chemistry
 (Exp_WoH) barely capture the sulfate increase (Fig. 5a). This was substantially improved when heterogenous chemistry was included (Exp_WH), although some observed peak values are not well captured, such as those on 14 January. The overestimation of nitrate was also improved, with the

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NMBs changing from 124.1% to 96.0% (Fig. 5b). It should be noted that the responses of sulfate and nitrate to heterogenous chemistry are inverse, which might be attributed to the complex 485 thermodynamic processes of SIA formation (Zheng et al., 2015). Sulfate and nitrate will compete for ammonium, which is now the only cation currently in the CUACE model, resulting in less ammonium nitrate and more ammonium sulfate because of the more thermodynamically stable features of ammonium sulfate. As a result of the dramatical increase in sulfate in Exp WH, the 490 ammonium concentrations slightly increase relative to that in Exp WoH to achieve anion-cation balance, which leads to more overestimations in the Exp_WH experiment (Fig. 5c). For Nanjing and Chengdu site, the underestimation of sulfate (Fig. 5d and 5g) and overestimation of nitrate (Fig. 5e and 5h) were also improved to varying degrees, with bias of sulfate changing from -95.3 % to -68.4 % in Nanjing and from -88.7 % to -80.1 % in Chengdu and the bias of nitrate changing from 495 83.0 % to 54.6 % in Nanjing and from 67.6 % to 23.5 % in Chengdu. Nonetheless, deviations in SIA simulations are still too large to neglect in those regions.

5.4 Comparison between the MM5/CUACE model and the WRF/CUACE v1.0 model

It is necessary to compare the MM5/CUACE model with the new WRF/CUACE model for the 500 purpose of assessing the viability of the newly developed model. To this end, a simulation was performed using the MM5/CUACE model for a winter month, i.e., January 2013, during which a longlasting haze event occurred in central and eastern China. The domain setting, anthropogenic emission inventory, initial and boundary fields of meteorology and chemistry are as the same as those of the WRF/CUACE in section 5.1. It should be known that the gas-phase chemistry mechanism and particle 505 dry deposition scheme in MM5/CUACE model is RADM2 and Z01, respectively, that updated to CBM-Z and PZ10 in the new WRF/CUACE model. Physical parameterization used in the MM5/CUACE is shown in Table S3 in the supplement. Figure 6 presents a comparison of the modelled and observed daily concentrations of PM2.5, O3, NO2 and SO2 in the four regions. It can be seen that the concentrations of PM2.5, NO2 and SO2 simulated 510 in WRF/CUACE are closer to the observations relative to those of MM5/CUACE model (change in bias from -23.0 % to -19.2 % for PM_{2.5}, from 14.7 % to -2.4 % for NO_2 and from -46.2 % to -37.5 % for SO₂). The daily variations of the three species are also relatively better captured by the WRF/CUACE model (reflected by the R values changing from 0.45 to 0.62 for PM_{2.5}, from 0.41 to

0.49 for NO₂ and from 0.19 to 0.32 for SO₂). For O₃, the differences of statistical metrics between the
 two models are not obvious. The MM5/CUACE model performed with a slightly smaller bias of -10.7 %

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Formatted: Indent: First line: 2 ch, Space Before: 0 pt, After: 0 pt, Line spacing: 1.5 lines but with a lower R value of 0.50, which are 14.3 % and 0.55, respectively in the WRF/CUACE simulation. In summary, the new WRF/CUACE model performed better than the MM5/CUACE model in simulating air pollutants.

6 Summary and future work

- 520
- This study develops the chemical module CUACE by adding heterogenous chemical reactions and introducing a particle dry deposition scheme developed by Petroff and Zhang (2010). The CUACE module is then incorporated into the WRF-Chem model to build the WRF/CUACE v1.0 modelling system to take advantage of the better numerical dynamic core and the greater number of physical parameterization schemes of the WRF model compared with the MM5 model.
- 525 We perform a three-year (2013, 2015, and 2017) model simulation using the WRF/CUACE v1.0 model to evaluate its performance on reproducing surface concentration variations of PM_{2.5}, O₃, and NO₂, which are now the main pollutants in China. A heavy haze pollution event that occurred during 9–15 January 2019 in the NCP is also selected to evaluate the SIA simulations compared with intensive ground SIA observations. The results show that WRF/CUACE v1.0 can well capture the
- 530 daily and hourly variations of PM_{2.5}, especially in the YRD and PRD regions throughout the three years. For the NCP in winter, observed high concentrations larger than 200 μg m⁻³ are not well reproduced, which might be mainly due to uncertainties in the emissions inventory and the lack of some chemical reactions in the model. For NO₂ and O₃, the model shows small biases in the NCP, YRD, and PRD regions with correlation coefficients all larger than 0.60 and the NMBs all fall within
- 535 the EPA benchmark (2005, 2007). The model shows relatively notable biases in the SCB region compared with the NCP, YRD, and PRD regions for the three pollutants, which may be mainly due to the complex terrain in the SCB (Zhang et al., 2019) and insufficient meteorological data available for the region for assimilation in the NCEP-FNL reanalysis data. The Exp_WH experiment significantly-improves the hourly variations in the sulfate concentration, implying a notable contribution of
- 540 heterogenous chemistry to heavy haze pollution in the NCP region. Nitrate formation is restricted in the Exp_WH experiment due to the drastic increase in sulfate, which will compete for ammonium with the nitrate Simulations of SIA are generally improved, especially for sulfate in the NCP. However, large uncertainties remain in the mechanisms of the heterogenous chemical reactions in the model, such as the determination of the uptake coefficients, which is based on previous studies on
- 545 dust surfaces.

There are still several limitations in the current version of the WRF/CUACE v1.0 model that need to be addressed in future development. The feedback of particles, which can be divided into

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direct and indirect effects, is recognized to be crucial in online coupled models, especially during periods with high particle loading. Currently in the WRF-Chem model, the direct effects of aerosols are processed following the methodology described by Ghan et al. (2001). Our future work will first focus on implementing the direct effects of aerosols, i.e. radiation feedback, following the Mie

- calculation to realize the direct aerosol forcing. The second step is to implement the VBS scheme to add the missing processes of SOA, which has been implied to be a main cause in the underestimation of OA formation (Gao et al., 2017; Heald et al., 2005; Spracklen et al., 2011). Although the original particle dry deposition scheme is updated with that developed by Petroff and Zhang (2010), it is
- difficult to evaluate whether the dry deposition process is improved as the limited technology of dry deposition observations restricts direct observations of particle dry deposition. With the observed_
 <u>PM2.5 concentrations, model improvements with and without the updated dry deposition scheme are</u>
 <u>preliminary evaluated (Figure S3 in the supplement).</u> With regards to particle dry deposition, our aim
 is to implement several schemes in the CUACE module, such as the schemes developed by <u>Emerson</u>
 <u>et al. (2020)</u>, Zhang and He (2014), Zhang and Shao (2014), and Kouznetsov and Sofiev (2012), to
 evaluate uncertainties in the schemes on aerosol simulation, which might help the development of the

Code availability

particle dry deposition scheme.

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565 The WRF/CUACE v1.0 model is open-source and can be accessed at a DOI repository https://doi.org/10.5281/zenodo.3872620. All source code and data can also be accessed by contacting the corresponding authors Sunling Gong (gongsl@cma.gov.cn) and Tianliang Zhao (tlzhao@nuist.edu.cn).

Competing interests

The authors declare no competing interests.

570 Author Contributions

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Sunling Gong, Tianliang Zhao, Hong Wang, Huizheng Che and Xiaoye Zhang led the project. Lei Zhang, Sunling Gong, Chunhong Zhou and Hongli Liu developed the model code, with assistance from Jiawei Li, Jianjun He, Ke Gui and Yaqiang Wang. Lei Zhang performed the simulations and wrote the manuscript with suggestions from all authors. Yuesi Wang-and, –Dongsheng Ji and Xiaomei Guo provided the data of secondary inorganic aerosols. Jinhui Gao and Yunpeng Shan contribute to data processing. All authors contributed to the discussion and improvement of the manuscript.

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585 **References**

scheme.

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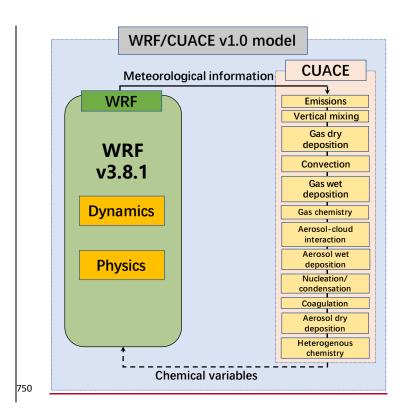
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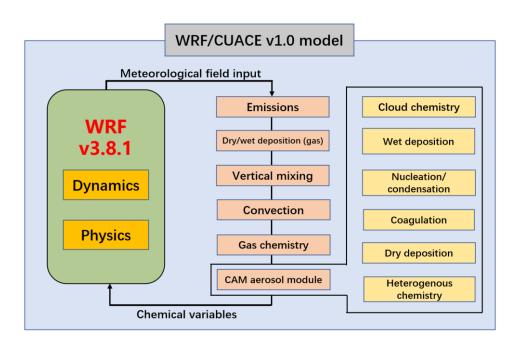


Figure 1. Schematic of modules in the WRF/CUACE v1.0 system.

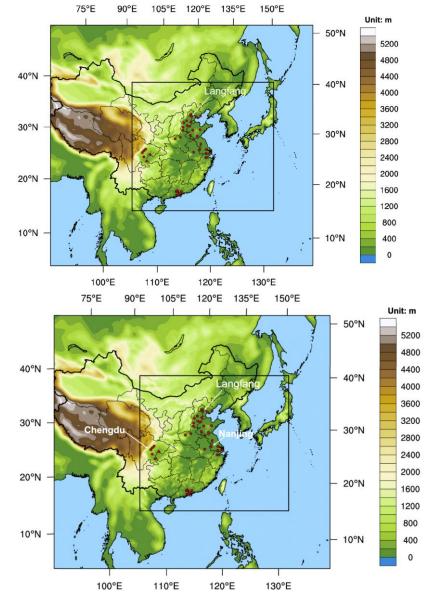
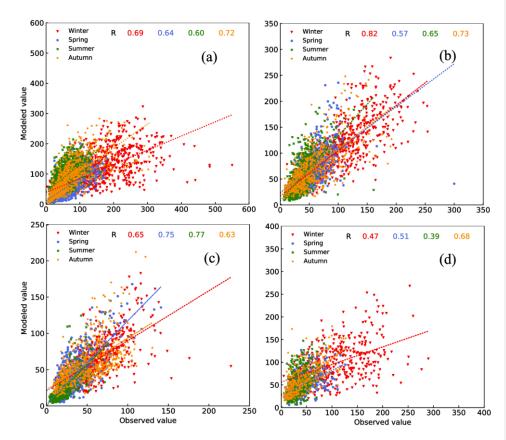


Figure 2. Model domains with the terrain distribution. Red circles indicate the cities where the surface observations of air pollutants are used for model evaluation. <u>The , and Langfang indicates that a nearby station (Xianghe site) conducted intensive SIA observation during January 2019</u>, <u>Langfang</u>, <u>Nanjing and Chengdu sites marked in this figure indicate where the SIA observations are collected for evaluation of SIA simulations</u>.



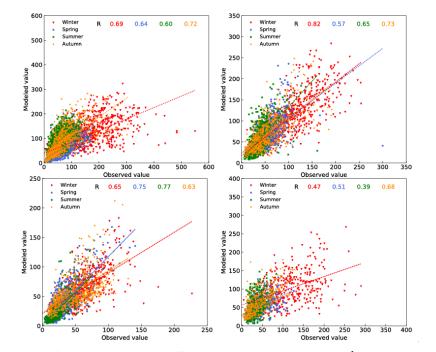
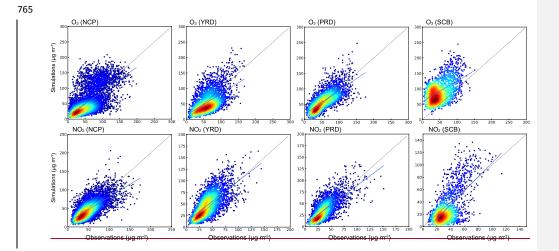


Figure 3. Scatter plots and correlation coefficients of daily PM_{2.5} concentrations (<u>Hug</u> m_a⁻³) between observed and simulated values in different seasons in the (a) NCP, (b) YRD, (c) PRD, and (d) SCB regions.



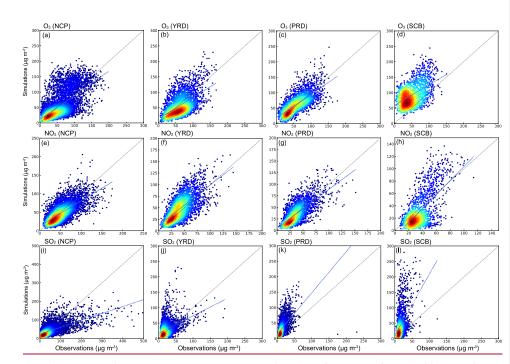


Figure 4. Scatter plots of modelled and observed hourly concentrations of <u>(a-d)</u> O₃<u>(e-h)</u> and NO₂ <u>and (i-l)</u> SO₂ in the NCP, YRD, PRD, and SCB regions.

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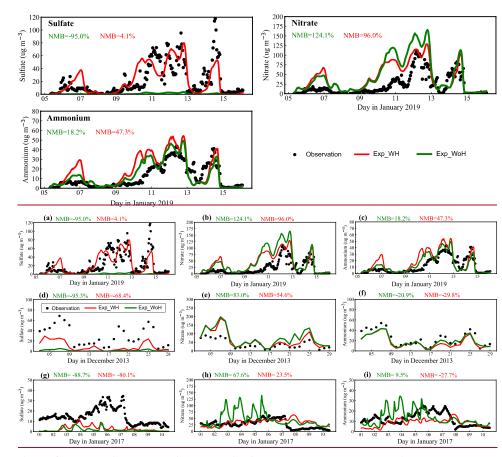


Figure 5. Observed and simulated hourly SIA concentrations from the Exp_WH and Exp_WoH experiments at the <u>(a-c)</u> Langfang<u>, (d-f) Nanjing and (g-i) Chengdu</u> site<u>s</u>.

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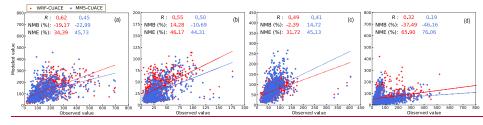


Figure 6. Scatter plots of simulated, with (blue) MM5/CUACE and (red) WRF/CUACE, and observed daily concentrations of (a) PM_{2.5}, (b) O₃, (c) NO₂ and (d) SO₂.

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780 Table 1 Uptake coefficients for reactions (14)-(22).

Gas species	Uptake coefficients	References
H_2O_2	$\gamma = 1.0 \times 10^{-4}$	Bian and Zender (2003)
HNO ₃	$\gamma = 1.0 \times 10^{-1}$	Seisel et al. (2004)
HO ₂	$\gamma = 1.0 \times 10^{-1}$	Phadnis and Carmichael (2000)
N ₂ O ₅	Ŷ	Wang et al. (2012)
NO ₂	$\gamma_{low}, RH \in [0, 50\%]$	Zheng et al. (2015)
NO ₃	$ = \begin{cases} \gamma_{low} + \frac{(\gamma_{hlgh} - \gamma_{low})}{(RH_{max} - 0.5)} * (RH - 0.5), RH \in (50\%, RH_{max}) \end{cases} $	() / man = }
SO ₂	$= \{ (RH_{max} - 0.5) \\ + (RH - 0.5), RH \in (50\%, RH_{max}) \}$	
	$\gamma_{plate} RH \in (RH_{max}, 100\%)$	
O ₃	$\gamma = 3.0 \times 10^{-5}$	Michel et al. (2003)
OH	$\gamma = 1.0 \times 10^{-4}$	Zhang and Carmichael (1999)
SO 2	$\begin{split} & \gamma_{tow}, RH \in [0, 50\%] \\ & \gamma = \int \frac{\gamma_{tow} + (\gamma_{HGR} - \gamma_{tow})/(RH_{max})}{\langle \times (RH - 0.5), RH \in (50\%, RH_{max})} \\ & \langle \gamma_{HGR}, RH \in (RH_{max}) \end{split}$	Zheng et al. (2015)
The γ_{low} and γ_{l}	_{ligh} are the lower and upper limits of γ values. The RI	H_{max} is the <i>RH</i> value at which the γ reaches the
pper limit. The	values of γ_{low} , γ_{high} and RH_{max} are referred to the wor	rk of Zheng et al. (2015) and Wang et al.,
2012). That is, v	values of γ_{low} for N ₂ O ₅ , NO ₂ , NO ₃ and SO ₂ are 1E-3,	4.4E-5, 0.1 and 2E-5, respectively
orresponding to	the values of γ_{high} at 0.1, 2E-4, 0.23, 5E-5. The RH_m	n_{ax} is 70 % for $N_x O_y$, and is 100 % for SO ₂ ,

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Table 2 Physical parameterization schemes used in the modelling study.

Parameterization	References
Lin	Lin et al. (1983)
Goddard	Chou and Suarez (1994)
RRTM	Mlawer et al. (1997)
Noah	Chen and Dudhia (2001)
MYJ	Janjić (1994)
Grell-3D	Grell (1993)
	Lin Goddard RRTM Noah MYJ

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790 Table 3 Statistical metrics for <u>hourly PM_{2.5}</u> in four haze contaminated areas (2013–2017), in which bold, normal, and italic font for MFB and MFE correspond to the "excellent", "good", and "average" levels in Morris et al. (2005), respectively.

	R	MB	ME	NMB	NME	MFB	MFE
		μg m ⁻³	μg m ⁻³	%	%	%	%
NCP	0.59	-5.0	44.5	-5.4	47.5	3.3	49.1
Winter	0.59	-45.0	67.7	-28.4	42.7	-22.5	47.0
Spring	0.57	-9.5	28.0	-14.0	41.1	-20.7	47.4
Summer	0.47	33.9	42.9	55.1	69.8	44.9	56.3
Autumn	0.63	-0.8	39.2	-0.9	45.4	9.0	45.9
YRD	0.71	12.9	26.9	21.8	45.3	21.1	42.9
Winter	0.75	6.0	30.6	6.4	32.5	8.5	34.1
Spring	0.49	14.2	26.3	25.4	47.1	19.1	40.0
Summer	0.56	16.4	23.3	47.8	67.9	26.7	49.4
Autumn	0.66	15.1	27.3	28.7	51.8	29.5	48.0
PRD	0.68	5.3	17.1	13.1	42.1	8.6	40.1
Winter	0.56	3.0	20.5	5.0	34.6	5.5	34.4
Spring	0.64	6.9	17.6	19.5	49.7	4.2	45.6
Summer	0.68	2.8	8.5	14.8	44.4	5.9	39.0
Autumn	0.54	8.6	21.8	17.7	45.2	18.3	41.9
SCB	0.59	7.6	31.3	12.2	50.3	20.7	51.4
Winter	0.41	-13.3	46.7	-11.5	40.4	-8.3	45.2
Spring	0.49	4.1	22.4	8.4	45.9	11.4	46.1
Summer	0.40	21.6	28.2	60.4	78.6	38.7	58.9
Autumn	0.58	15.9	28.2	31.4	55.7	37.2	54.3

Variables		NCP	YRD	PRD	SCB	Criteria
O ₃	R	0.64	0.66	0.77	0.60	
5	NMB (%)	-0.60	-8.21	7.24	77.61	$\leq \pm 15$
	IOA	0.80	0.80	0.87	0.67	
NO ₂	R	0.60	0.64	0.67	0.57	
	NMB (%)	-6.62	14.42	-2.45	-14.36	
	IOA	0.77	0.77	0.81	0.71	
SO ₂	<u>R</u>	0.65	0.41	0.57	<u>0.47</u>	
	<u>NMB (%)</u>	-15.48	24.55	125.74	159.44	
	IOA	0.72	0.60	0.49	0.32	

795 Table 4 Statistical metrics for O₃ and NO₂ concentrations. Criteria for O₃ are from the EPA (2005, 2007). The values that do not meet the criteria are in **bold**.