# **Optimizing High-Resolution Community Earth System Model on a Heterogeneous Many-Core Supercomputing Platform**

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Abstract. With the semi-conductor technology gradually approaching its physical and heat limits, recent supercomputers have adopted major architectural changes to continue increasing the performance through more power-efficient heterogeneous many-core systems. Examples include Sunway TaihuLight that has four Management Processing Element (MPE) and 256

- 30 Computing Processing Element (CPE) inside one processor and Summit that has two central processing units (CPUs) and 6 graphics processing units (GPUs) inside one node. Meanwhile, current high-resolution Earth system models that desperately require more computing power, generally consist of millions of lines of legacy codes developed for traditional homogeneous multi-core processors and cannot automatically benefit from the advancement of supercomputer hardware. As a result, refactoring and optimizing the legacy models for new architectures become a key challenge along the road of taking advantage
- 35 of greener and faster supercomputers, providing better support for the global climate research community and contributing to the long-lasting society task of addressing long-term climate change. This article reports the efforts of a large group in the International Laboratory for High-Resolution Earth System Prediction (iHESP) established by the cooperation of Qingdao

Pilot National Laboratory for Marine Science and Technology (QNLM), Texas A & M University and the National Center for Atmospheric Research (NCAR), with the goal of enabling highly efficient simulations of the high-resolution (25-km

- 40 atmosphere and 10-km ocean) Community Earth System Model (CESM-HR) on Sunway TaihuLight. The refactoring and optimizing efforts have improved the simulation speed of CESM-HR from 1 SYPD (simulation years per day) to 3.4 SYPD (with output disabled) and supported several hundred years of pre-industrial control simulations. With further strategies on deeper refactoring and optimizing for remaining computing hot spots, as well as redesigning architecture-oriented algorithms, we expect an equivalent or even better efficiency gained on the new platform than traditional homogeneous CPU platforms.
- 45 The refactoring and optimizing processes detailed in this paper on the Sunway system should have implications to similar efforts on other heterogeneous many-core systems such as GPU-based high-performance computing (HPC) systems.

# **1** Introduction

The development of numerical simulations and the development of modern supercomputers have been like two entangled streams, with numerous interactions at different stages. The very first electronic computer, ENIAC (Lynch, 2008), produced

- 50 the simulation of the first numerical atmospheric model in the 1950s, with a spatial resolution of 500-800 km. In the 1960s, the first supercomputer (as compared to regular electronic computer), CDC 3300 and 6600, designed by Seymour Cray, was installed at the National Center for Atmosphere Research (NCAR) to provide large-scale computing to the U.S. national community engaging in atmospheric and related research. Since then, a constant increase in both the computing power of supercomputers and complexity of numerical models has been witnessed by the global community. Over the decades, the peak
- 55 performance of a supercomputer system has evolved from the scale of 1 Mflops (e.g., CDC 3300) to 100 Pflops (e.g., Sunway TaihuLight), which is an increase of 11 orders of magnitude. Meanwhile, the numerical weather model has also evolved into a highly-complex Earth system model, with increased resolution and better representation of physics and interpretation of decades of accumulated scientific knowledge.

One major development in supercomputing hardware in recent years is the architectural changes of the processors. Due to the

- 60 physics limitations, regular increases in frequency came to a stop roughly one decade ago. Since then, the increase in computing power is largely due to an increased density of computing units in the processors. As a result, for the leading-edge supercomputers that were developed after 2010, the majority of computing power is provided by many-core accelerators, such as NVIDIA GPUs (Vazhkudai et al., 2018), Intel Xeon Phi MICs (Liao et al., 2014). As a result, recent machines contain two major architectural changes. One change is from a homogeneous architecture to a heterogeneous architecture, for which one
- 65 now faces an environment with multiple types of computing devices and cores, instead of programming and scheduling a single kind of core in a system. The other change is the significant increase in the number of cores, which usually comes with a reduced complexity for each single core. The huge number of available parallel cores requires a complete rethinking of algorithms and data structures, which is a significant challenge when taking advantage of the new supercomputers.

Climate science advances and societal needs require Earth system modelling to resolve more details of geo-physical processes

- 70 in the atmosphere, ocean, sea-ice and land-soil components. However, the model resolution must be sufficiently fine to better resolve regional changes/variations as well as extreme events (Delworth et al., 2012; Small et al., 2014; Roberts et al., 2018). Scientifically, there are two important questions that need further understanding in current Earth climate sciences: 1) how global and large-scale changes/variations influence the local weather-climate anomalies, and 2) how local weather-climate perturbations feedback to large-scale background. To address these questions, Earth system models must be able to explicitly
- 75 resolve more and more local fine-scale physical processes with higher and higher resolutions. While such high-resolution modelling efficiently advances the understanding of the attribution and impact of large-scales such as global changes, it also provides an opportunity to link scientific advances with local severe weather-climate alerts, and promote societal services. Given that the model resolution is intractable with computing resources available, higher and higher resolution Earth modelling demands greener supercomputing platforms with more affordable energy consumption.
- 80 In the recent decade, with the power consumption of a single supercomputer surpassing the boundary of 10 MW (equivalent to the power consumption of three world-class universities like Tsinghua), the energy issue has become a major factor in both the design and the operation process. As a result, discussions are raised for the community of climate change studies. Taking CMIP (e.g. Meehl et al., 2000) as an example, while the goal is to reduce emission and to mitigate global warming effects, the huge computing cost and the related power consumption also generate a considerably large carbon footprint. Similar concerns
- are also expressed for recent artificial intelligence (AI) efforts that utilize enormous computing resources to train various deep neural networks (e.g. Schwartz et al., 2019), and ideas are proposed to report the financial cost or "price tag" for performing such research operations. Therefore, reducing the energy consumption is the other major factor that drives us to port and to refactor the model to new architectures.

While we have stated multiple benefits for redesigning the models for new supercomputer platforms, the challenge, especially

- 90 in the coding parts, is also many-fold. The first major challenge comes from the heavy legacy of the climate code (Fu et al., 2017). The second major challenge comes from the computation load pattern of the code. Unlike an earthquake model with large hot spots (You et al., 2013) in which several kernels consume over 90% of the computing cycles, in a climate model system, usually hundreds of hot spots consume a small portion of the computation cycles. The third challenge comes from the dramatic change in architecture.
- 95 With the GPU devices introduced as accelerators for general-purpose computing around 2007 (Owens et al., 2007), most early efforts related to climate or weather modelling only focused on certain parts of the models, mostly physical or chemical parameterization schemes that are structurally suitable for parallel architectures, such as the chemical kinetics modules (Linford et al., 2009) and the microphysics scheme (Mielikainen et al., 2013) in WRF (Weather Research and Forecasting model), the shortwave radiation parameterization of CAM5 (the 5<sup>th</sup> version of Community Atmospheric Model) (Kelly, 2010),
- 100 and the microphysics module of Global/Regional Assimilation and Prediction System (GRAPES) (Xiao et al., 2013). With both a high parallelism and a high arithmetic density, these modules demonstrate a high speedup (ranging from 10 to 70 or even to 140x) when migrating from CPU to GPU. In contrast, the dynamical core code, which involves both time-stepping

integration and communication among different modules is more challenging to port to heterogeneous accelerators. Examples include NIM (Govett et al., 2017), GRAPES (Wang et al., 2011), CAM-SE (Carpenter et al., 2013), HIRLAM (Vu et al.,

- 105 2013), and NICAM (Demeshko et al., 2012), with a speedup of 3 to 10x. For migrating an entire model, the efforts are even fewer in number. One early example is an GPU-based acceleration of ASUCA, which is the next-generation high resolution mesoscale atmospheric model developed by the Japan Meteorological Agency (JMA) (Shimokawabe et al., 2010), with a speedup of 80-fold with a GPU as compared to a single CPU core. In recent years, we see complete porting of several models from CPU to the GPU platforms, such as the GPU-based Princeton Ocean Model (POM) (Xu et al., 2014) and the GPU-based
- 110 COSMO regional weather model by MeteoSwiss (Fuhrer et al., 2018). For the porting of a model at such level, these three challenges mentioned above (heavy code legacy, hundreds of hot spots distributed through the code, and the mismatch between the existing code and the emerging hardware), have apparently combined to make more challenges. Facing the problem of tens of thousands of lines of code, the researchers and developers have to either perform an extensive rewriting of the code (Xu et al., 2014) or invest years of effort into redesign methodologies and tools (Gysi et al., 2015).
- 115 This article reports the efforts of a large group in International Laboratory for High-Resolution Earth System Prediction (iHESP) established by the cooperation of Qingdao Pilot National Laboratory for Marine Science and Technology (QNLM), Texas A & M University (TAMU), and the National Center for Atmospheric Research (NCAR), with the goal of enabling highly efficient simulation of the Community Earth System Model high-resolution version (25-km atmosphere and 10-km ocean) (CESM-HR) on Sunway TaihuLight. With the early-stage efforts focusing on the atmospheric component CAM5 (Fu,
- 120 Liao, Xue, et al., 2016; Fu et al., 2017), the work in this article, finally extends to the complete scope of CESM-HR by creating a redesigned software with millions lines of codes transformed to a heterogeneous many-core architecture and delivering substantial performance benefits. Compared with the existing work mentioned above (GPU-based ASUCA, POM, WRF, and COSMO), our work optimizes an Earth system model, which demonstrates a next-level of complexity in both components and numerical methods, and requires better accuracy and better conservation of matter and energy, so as to perform simulation of
- 125 hundreds of years instead of just hundreds of days, although, as the first step, not changing original algorithm design to minimize the uncertainties of codes and results. The refactoring and optimizing efforts have improved the simulation speed of CESM-HR from 1 SYPD (simulation year per day) to 3.4 SYPD, and supported several years of pre-industrial control simulations. Although our current work targets a single system with a specifically-designed Sunway processor and does not consider performance portability, our successful first experiences provide encouraging references for general scientific
- 130 modelling on heterogeneous many-core machines such as GPU-based high-performance computing (HPC) systems, and potentially open the door for further addressing performance-portability in the future. The rest of the paper is organized as follows. Section 2 describes the major features of the Sunway TaihuLight Supercomputer, including its architecture and energy consumption details, and the corresponding parallelization strategies etc. Next, Section 3 details our methods of refactoring and optimizing CESM-HR on Sunway TaihuLight. Section 4 demonstrates stable and sound
- 135 scientific results for the first few hundred years of CESM-HR simulations on the new architecture. Finally, the summary and discussions are given in Section 5.

## 2 A heterogenous many-core supercomputer: Sunway TaihuLight

## 2.1 The design philosophy of the Sunway machine

## 2.1.1 Hardware

- 140 1) The new processor architecture. Considered a major milestone in the HPC development history of China, Sunway TaihuLight (Fu, Liao, Yang, et al., 2016; Dongarra, 2016) is the first Chinese system to reach number one on the Top500 list that is built using Chinese homegrown processors. The heterogeneous many-core processor, SW26010 (as shown in the name, a 260-core CPU), provides all of the computing capabilities of the system. Each SW26010 processor, as shown in Fig. 1, can be divided into four (4) identical core groups (CGs), which are connected through the network on chip. Each CG includes one
- 145 management processing element (MPE), one computing processing element (CPE) cluster with 8x8 CPEs and one memory controller that shares and manages the memory bandwidth to 8GB DDR3 memory. Within the 8×8 mesh, the CPEs can transfer data along the rows or columns through the low-latency register communication features, which provide low-cost data sharing schemes within the cluster. The running frequency of each element is 1.45 GHz.



- 150 Figure 1: A schematic illustration of the general architecture of the Sunway SW26010 CPU. Each CPU consists of 4 Core Groups, and each Core Group includes a Memory Controller, a Master Core (i.e. MPE - management processing element) and 64 Slave Cores (i.e. CPEs - computing processing elements), each of which has a 64-KB scratchpad fast memory, called LDM (local data memory). 4 Core Groups are linked together by the Network on Chip, and the whole CPU is linked with other CPUs by the System Interface (SI) network.
- 155 2) A different memory hierarchy. Most current scientific computing models are constrained by the memory bandwidth rather than the computing speed. Climate models are typical examples of models that achieve less than 5% of the peak performance of current computers. As a result, a large part of our effort tries to achieve a suitable mapping of the CESM model to the unique memory hierarchy of Sunway TaihuLight. The outermost memory layer is the 32GB DDR3 memory equipped in each compute node, shared among the four CGs and 260 cores, which is quite similar to the DDR memory in traditional IBM or Intel CPU
- 160 machines. A major difference is that the same level of DDR3 memory bandwidth needs to be shared among a significantly larger number of cores (from dozens to hundreds). Inside the processor, the MPE, which is designed to provide similar functions to traditional CPUs, also adopts a similar cache hierarchy, with a 32KB L1 instruction cache, a 32KB L1 data cache, and a 256KB L2 cache for both instruction and data. The major changes are in the CPEs. To meet the hardware and power

constraints with a maximized level of computing density, inside each CPE, the cache is replaced by a 64KB user-controlled

- 165 scratchpad memory, called LDM (local data memory). Such a change in cache hierarchy requires a complete rethinking of both data and loop structures. Previous programmers could rely on the cache hierarchy to achieve a reasonable buffering of temporary variables when using OpenMP to start independent threads in different CPU cores. Migrating to Sunway, the programmers need to handle the memory part more elegantly to achieve any meaningful utilization of the system. The scratchpad fast buffer also becomes the last weapon for the programmers to address the proportionally reduced memory
- 170 bandwidth of the system. In many cases, the manually designed buffering scheme would improve data reuse and increase the computing performance. As a result, instead of directly reading from the DDR memory, most kernels would load the data into LDM manually, and start the computation from there.

**3)** Customized system integration. Using the SW26010 CPU as the most basic building block, the Sunway TaihuLight system is built by a fully-customized integration at different levels: (1) a computing node with one processor and 32GB

- 175 memory; (2) a supernode with 256 computing nodes that are tightly coupled using a fully-connected crossing switch; (3) a cabinet with 4 supernodes; (4) the entire computing system with 40 cabinets. Such an integration approach can provide high performance computing power in a high-density form. With 40 cabinets, the entire Sunway TaihuLight system provides in total  $40 \times 4 \times 256 = 40$ , 960 CPUs, and 40, 960  $\times 260 = 10$ , 649, 600 parallel cores. The TaihuLight computing nodes are connected via a 2-level InfiniBand network. A single-switch with full bisection bandwidth connects all 256 nodes within a
- 180 super-node, while a fat-tree with 1/4 of the full bisection bandwidth connects all super-nodes, with bisection communication bandwidth at different levels.

## 2.1.2 Software

- The original compiling tools. Targeting a completely new many-core processor and system with over 10 million cores, the compiler tools are probably the most important tools to support application development of the Sunway TaihuLight. The set of compilation tools includes the basic compiler components, such as the C/C++, and Fortran compilers. In addition to that, a parallel compilation tool supports the OpenACC 2.0 syntax and targets the CPE clusters. This customized Sunway OpenACC tool supports management of parallel tasks, extraction of heterogeneous code, and description of data transfers. Moreover,
- according to the specific features of the Sunway processor architecture, the Sunway OpenACC tool has also made several syntax extensions from the original OpenACC 2.0 standard, such as a fine control over buffering of multi-dimensional array,
  and packing of distributed variables for data transfer. In addition to Sunway OpenACC, the Sunway platform also provides an Athread interface for the programmers to write specific instructions for both the computing and the memory parts. As discussed

in the later sections, different approaches are taken for the redesign of different parts of the CESM model.

**2)** The compiling and profiling tools developed along this project. Earth system models are some of the most complex numerical models that scientists have ever built. Unfortunately, these models are also some of the very first software that were

195 ported to the new Sunway TaihuLight system and tested with the relatively new compiler system. As a result, to facilitate the development, a number of new compiling and profiling tools that have been developed along the way of porting and

redesigning the model are listed in **Table 1**, which describes the main function of each tool and the role it plays in this project. For example, the hot spots analysis tool called *swlu* is particularly useful as the Community Earth System Model high-resolution version (CESM-HR) is refactored and optimized on the Sunway machine. Once the points of *swlu\_prof\_start* and

200 swlu\_prof\_stop are set, an output file that contains the detailed information of computing consumption analysis of the targeted code block is produced at the end of the test experiment. This will be discussed in more detail in Section 3.3 when the CESM-HR is refactored and optimized on Sunway machine.

# Table 1: The list of diagnostic software tools in the Sunway system

Tool Name	Main Functions	Roles played in this project
swlu	An MPE debug and profiling tool. The tool	Diagnosing computing time of each hotspot.
	prints stack backtrace when getting a Linux	
	signal, and uses sampling and backtrace to	
	achieve a profiling result.	
lwpf	A profiling tool for the CPE. The tool uses	Telling the performance of CPEs on a piece
	performance counters to measure the	of codes.
	performance of CPEs.	
spc	A CPE debug tool. The tool prints error	Detecting CPE coding errors.
	messages when crashed unexpectedly.	
malloc_checker	A memory leak detection tool. The tool	Detecting the reason of memory leakage.
	wraps malloc function calls to check	
	memory allocations and memory leaks.	
sw5fcc	A compilation tool for reducing the	Helping enhance the efficiency of OpenACC.
	OpenACC compilation time. The original	
	swacc compiler takes a long time in JVM	
	startup. The tool uses regular expression to	
	exclude code files which are not dependent	
	on swacc, and to process these files using	
	mpicc directly.	
sw5c	A compilation tool for long jumps in the	Helping enhance the efficiency of CPE
	CPEs. To get callee address, the original	computation.
	method needs to access the gp-based address	
	which is not stored in LDM, and is slow to	
	read. Considering that all function calls are	
	static in CPEs, the tool uses constant splicing	
	to calculate the address instead of reading the	
	address stored in DDR memory.	
gptl	A tool for profiling the performance of	Helping optimize the performance of
	parallel and serial applications on Sunway	parallelization
	processors.	

# 205 2.1.3 The 2<sup>nd</sup> level parallelism between MPE and CPEs.

As shown in **Fig. 2**, after the domain-based task decomposition in the traditional Message-Passing-Interface (MPI) parallelism (we refer to this as the 1<sup>st</sup>-level parallelism) among the core groups, within each core-group, the Sunway machine requires a CPE-based task decomposition and communication between the MPE and CPEs (we refer to this as the 2<sup>nd</sup>-level parallelism). Since each CPE only has a 64KB scratchpad memory as the fast buffer, each piece of work in the CPE-based task must be

210 divided thus utilizing this fast buffer efficiently. Finding an optimal balance between the computational load on CPEs and the communication of MPE-CPEs is the core of CPE-parallelism and the major challenge to obtaining computational efficiency of a large model on the Sunway machine, which will be discussed in more detail in Section 3.3.



215 Figure 2: Illustration of the 2nd level parallelism of CPEs-based task decomposition required on the Sunway heterogeneous manycore machine, additional to the 1st level parallelism of domain-based task decomposition among core groups, the MPI parallelism as in the homogeneous multi-core system.

# 2.2 Major characteristics

# 2.2.1 Architectural pros and cons

- 220 In order to achieve extreme performance and power efficiency, the computing chip of Sunway-TaihuLight (SW26010 CPU) abandons the cache structure, so as to spare the on-chip resources for more computing occupancy. As a result, each CG of the SW26010 is able to deploy 64 slave cores, and the 64 slave cores can communicate with each other based on register communications. Therefore, a more fine-grained communication mechanism is provided to allow more sophisticated operations and optimizations on dealing with data.
- 225 The on-chip heterogeneity of the SW26010 processor enables a uniform memory space between MPE and CPEs to facilitate the data transfer. Such a behaviour is different from the conventional cases such as the CPU-GPU scenario where data transfer has to go between different processors and accelerators. On the other hand, the on-chip heterogeneity also leads to the uniform programming model between MPE and CPEs, and is promising for resolving problems such as the loop code. This can be thought of as an MPI-based intelligent programming model that knows when communications within a CPU task group or to
- an MPE are needed. In that sense, the Sunway architecture may be more plausible for scientific computation like Earth system modelling than a GPU system.

# 2.2.2 Power efficiency

**Table 2** lists the power efficiency of the Sunway TaihuLight system and some major systems around the world containing different processors such as CPU, GPU, and Xeon Phi, etc. It can be seen that the Sunway TaihuLight, due to the lower chip

- 235 frequency (1.45GHz per SW26010), as well as a sophisticated water-cooling system for the whole machine, in terms of GFlops/Watts, seems to be greener than some major systems widely used for doing climate simulations. However, considering that weather and climate applications do not maximise the FLOPs provided by the computer, those numbers do not demonstrate that the Sunway TaihuLight system has any real advantage in actual power efficiency. To conclude on this point, more precise calculations taking into account the real FLOPS of the applications and including the human labour cost of the porting and
- 240 optimisation effort (given that Sunway TaihuLight is a unique system) have to be realised.

System	Rmax (PFlops)	Power Efficiency GFlops/Watts
TaihuLight	93	6
Tianhe-2A	61	3
Titan	17.6	2.14
Cheyenne	1.3	0.86
Supercomputer		
K Computer	10	0.83

Table 2: Power Efficiency of Some Major Systems

#### 3 Enabling CESM-HR on Sunway TaihuLight

# 245 3.1 An Overview of the Community Earth System Model high-resolution version (CESM-HR)

The CESM version used in the present study is tagged as CESM1.3-beta17\_sehires38, an extension of the CESM1.3-beta17\_sehires20 described in Meehl et al. (2019). This latter model tag was developed specifically for supporting a high-resolution CESM version with 0.25° CAM5 (the 5<sup>th</sup> version of Community Atmospheric Model) atmosphere and 0.1° POP2 (the 2<sup>nd</sup> version of Parallel Ocean Program) ocean model. The details of this progression from CESM1.1 to CESM1.3-beta17

- 250 are provided in Meehl et al. (2019) where it is concluded that the most impactful developments were in the atmospheric model with changes to vertical advection, the gravity wave scheme, dust parameterization, and move from the Finite Volume (FV) dynamical core to the Spectral Element (SE) dynamical core with better scaling properties at high processor counts. The new atmospheric physics result in better positioning of the Southern Hemisphere jet and improved high and low cloud simulations, with general increases in low cloud, producing better agreement with observations. Notable developments from
- beta17\_sehires20 to the current beta17\_sehires38 include two modifications in ocean model. The first one is a new iterative

solver for the barotropic mode to reduce communication costs, especially good for high-resolution simulations on large processor counts (e.g., Hu et al., 2015; Huang at al., 2016) (indicating a contribution of the Chinese climate modeling community to the CESM development). The second one is a change of the ocean coupling frequency from one hour to 30 minutes to alleviate any potential coupling instabilities that may arise with longer coupling frequencies between the ocean and

- 260 sea-ice models. In addition, the shortwave calculation method is updated to delta-Eddington shortwave computation of Briegleb and Light (2007). There were also a few sea-ice namelist parameter changes as in Small et al. (2014) that affect the computation of shortwave radiation in the sea ice model for this high-resolution configuration. Finally, to improve sea-ice thickness and extent simulation, further adjustments have been done to the melting snow grain radius and the melt onset temperature to increase the sea-ice albedo with the effect of thickening the sea ice and increasing the extent. Note that although
- 265 these CESM 1.3 tags are informal, they are available to the community upon request via the CESM web pages. The Sunway version of the model is available via GitHub at <a href="https://github.com/ihesp/CESM\_SW">https://github.com/ihesp/CESM\_SW</a>. The structure of the CESM-HR model illustrates the challenge of the project: migrating a combination of several complex models onto a completely new architecture. The atmosphere, the ocean, the land, the sea-ice, and the coupler models can each be fairly complicated on their own with hundreds of thousands of lines of code.
- Using the analysis tool *swlu* mentioned in section 2.1.2 to produce output file *swlu\_prof.dot* and conducting a command such as "dot -Tpdf swlu\_prof.dot -o B1850CN\_ne120\_t12\_sw8000.pdf", we obtain the analysis flow-chart as Fig. 3. Figure 3 first shows that the main computing consumption is for the main body RUN\_LOOP in the five parts of the model driver, about 93.53%, and the next is the model initialization, 4.74%. Extending RUN\_LOOP, we can see that the major computing consumption is for the atmosphere ATM\_RUN (52.91%) and ocean OCN\_RUN (28.73%), and the next is for the sea-ice ICE\_RUN (6.96%).



Figure 3: An example of tracking computing hot spots in major components of the 10kmOcn+25kmAtm high-resolution CESM for the pre-industrial control experiment with the 1850 fixed-year radiative forcing using 8000 core-groups on the Sunway machine (B1850CN\_ne120\_t12\_sw8000) for one month integration: a) the total number of calls (in integer, 4464 calls of RUN\_LOOP's in this experiment, for instance), the total seconds of computing time (in real, 49089.512 seconds for the 4464 calls of RUN\_LOOP's, for instance) and projected percentage (93.528% for the 4464 calls of RUN\_LOOP's, for instance) in 5 items of the main driver; b) further partitions of the 93.528% RUN\_LOOP in 8 model components.

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- In the entire model, the atmosphere (CAM5) and the ocean (POP2) are still the dominant consumers of computing cycles. Therefore, in the process of migrating towards a new machine, CAM5 and POP2 are the main targets that require refactoring and redesign, while the other components require only porting efforts. However, even by only considering CAM5 and POP2, the complexity is at a level that requires tremendous efforts. **Figures 4** and **5** show the major hot spots (the functions that consume the most run time, i.e. conducting significant computation) of these two models respectively. Looking into the major functions in both CAM5 and POP2, it is clear that most major computing kernels only consume around 5% of the total run time. In CAM5, the only substantial component that takes more than 10% run time is the *bndry\_exchangev* function, which performs the MPI communication between different MPI processes, and takes a substantial part of the total run time when the parallel scale increases to over 20,000 MPI processes. In POP2, the only clear hot spot is the *kpp* function, which performs the computation of K-Profile vertical mixing parameterization (KPP) (Large et al., 1994). Note that, when going further down, these functions would be further decomposed into even more functions at a lower level. As a result, for both CAM5 and POP2,
- 295 over hundreds of functions were redesigned to achieve a reasonable performance improvement of the entire CESM-HR model



Figure 4: The major consumers of run time in the CAM5 model. The profiling is performed with a 25-km CAM5 run using 29,000 MPI processes.



300 Figure 5: The major consumers of run time in the POP2 model. The profiling is performed with a 10-km POP2 run using 18,300 MPI processes.

## **3.2 Porting of CESM-HR**

#### 3.2.1 Migrating the code from Intel to Sunway processors

The new CESM-HR is first run on the Intel multiple-core supercomputing platforms (Intel Xeon E5-2667 CPU) at TAMU and 305 QNLM. The run on Intel CPUs is used as a stable climate simulation with a good radiative balance at the top of atmosphere (TOA). Roughly, using 11,800 Intel CPU cores, the model can achieve a simulation speed of 1.5 SYPD with standard output frequency (monthly or daily 3D fields and 6-hr 2D fields). As we know, when a climate model with over a million lines of code such as CESM-HR is ported to a different supercomputing system, due to the differences in computing environment (compiler version, for example), numerical solutions cannot be guaranteed bitwise identical. The CESM-HR is first run and

- 310 tested on the TAMU Intel multi-core machine (the iHESP's first HPC system). To minimize the uncertainties of the model porting to the completely-new architecture Sunway TaihuLight, we first port the model from the TAMU machine to QNLM Intel multi-core machine (the iHESP's second HPC system installed at Qingdao later). We first establish the benchmark of the correct model on the Intel multi-core machines. We use the CESM ensemble consistency test (ECT) (e.g., Baker et al., 2015; Milroy et al., 2018) to evaluate the simulation results. In particular, we use the UF-CAM-ECT test (Milroy et al, 2018) tool
- 315 from CESM-ECT to determine whether three short test runs (of nine timesteps in length) on the TAMU and QLNM machines are statistically indistinguishable from a large "control" ensemble (1000 members) of simulations generated on NCAR's Cheyenne machine. The general ECT idea is that the large ensemble control ensemble, whose spread is created by round-offlevel perturbations to the initial temperature, represents the natural variability in the climate model system and thus can serve as a baseline against which modified simulations can be statistically compared using principal component (PC) analysis on
- 320 more than 100 physical variables including normal atmosphere state variables such as wind, temperature, moisture etc. and

other derived diagnostic fields. The code ports to the TAMU and QNLM Intel multi-core supercomputing platforms both passed the ECT tests (meaning that the simulations were statistically indistinguishable from the control).

The porting of the CESM-HR from the Intel homogeneous multi-core platform to the Sunway MPE-only system began on December 3rd, 2017. The major task of this stage was to resolve compatibility issues of the Sunway and standard Fortran

325 compilers. With support from both the Sunway compiler team and the maintenance team of the Wuxi National Supercomputing Center, the team spent roughly six months going through over one million lines of CESM code. Beginning on October 1st, 2018, the Sunway MPE-only version of CESM-HR was able to stably run on Sunway TaihuLight. The timing results are shown in **Table 3**. Without the utilization of CPEs, the speed is roughly 1 SYPD.

Model Component	Timing	Speeding Rate	
	(mons/wday)	t(MPE-only)/t(MPE+CPE)	
CAM	18.5	1 (no CPE)	
POP	13.5	1 (no CPE)	
CESM w/o IO	13	1 (no CPE)	
CESM with IO	12	1 (no CPE)	

Table 3: Timing of the CESM-HR on Sunway MPEs

## 3.2.2 Correctness verification

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Given that different supercomputing platforms with different architectures generally produce nonidentical arithmetic results (due to the differences from architectural, compiler, rounding and truncation etc.), it is challenging to ensure the correctness of the code porting process between different machines with different hardware architectures. We initially evaluate the Sunway MPE-only port by looking at the effect of a small  $O(10^{-15})$  perturbation in the initial sea surface temperature (SST). **Figs. 6ab** gives the systematic "error" growth in the SST that resulted from such a tiny initial error (mainly over the North Pacific and North Atlantic as well as Southern Ocean and North Indian Ocean) after a few months of model integration due to the model internal variability. **Fig. 6c** shows that the difference produced by the Sunway MPE-only and the homogeneous multi-core

- 340 Intel machines are within a similar range of differences produced by perturbation runs (either a round-off initial error or different Intel machines). Then, we again use CESM-ECT to evaluate the Sunway MPE-only version of CESM-HR, using three different compiling optimization options (-O1, -O2 and -O3) and show the results in **Table 4**. Note that by default, CESM-ECT evaluates three simulations for each test scenario and issues an overall fail (meaning the results are statistically distinguishable) if more than two of the PC scores are problematic in at least two of the test runs. We see that with -O1 and -
- 345 O3 compiling optimization options, the Sunway MPE-only version passes the ECT test, but it fails with -O2 optimization. This -O2 result is not concerning as the failure with three PCs (out of 50 total) is just outside the passing range and small

uncertainties are not uncommon (Milroy et al., 2016). More discussions on the uncertainties of the ECT metrics are given in section 3.6 when the correctness of the Sunway CESM-HR is evaluated.



a) Distribution of SST diff. (SW-QNLM\_Intel) after 1 day

350 Figure 6: The distribution of differences of sea surface temperatures produced by the Sunway machine and Intel machine at TAMU after a) 1-day, b) 1-yr integration, and c) the time series of root mean square of the differences between different machines and perturbation test.

 Table 4: Results of the ECT tests for the Sunway MPE-only CESM-HR version with different compiling optimization options

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Compiler	Number of PC scores	CESM ECT result
Complier	Number of TC scores	CESM-ECT result
optimization option	failing at least two runs	
-01	0	PASS
-02	3	FAIL
-03	1	PASS

# 3.3 Refactoring and redesign of CAM5 and POP2: major strategies

# 3.3.1 Transformation of independent loops

- 360 In a scientific simulation program, loops are generally the most computing-intensive parts. Especially for the time integration in climate models, a major part is to advance the time step in both dynamic and physics processes. In such processes, the major form is usually independent loops that iterate through all the different points in a 3D mesh grid. As the computation of each point is generally independent (or in some case dependent on a small region of neighbouring points), assigning different partitions of the loop to different MPI processes or threads is the most important approach of achieving parallelism.
- 365 Migrating from Intel CPU to Sunway CPU, the approach to parallelism is still the same, with different processes at the first level and different threads at the second level. The major change comes from the significantly increased parallelism inside each processor, and the architectural change of the cache part.

In Intel CPU machines, we have dozens of complex cores, each of which has its own cache and support for a complex set of instructions. In the SW26010 many-core processor, we have 256 CPEs, each of which only has 64KB LDM and a reduced set

370 of computational instructions. Therefore, the challenge becomes how to remap the loops to fit the increased number of cores and limited scratchpad fast buffer allocated to each core.

Figure 7 demonstrates some of the typical loop transformations that we perform for both the dynamic parts and physics parts, so as to produce the most suitable loop bodies that fit the number of parallel cores and the size of the fast buffer in each core of the SW26010 many-core processor. The first kind of transform is a pre-processing step. We aggregate all the loop bodies

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into the most inner loop, so that afterwards we can more easily interchange or partition different loops. A typical example is the *euler step* loop in the dynamic core of CAM. We perform such an aggregation at the very beginning, so that all the computation instructions reside in the most inner loop, and the dependencies are reduced to a minimum level. The second kind of transform, loop interchange, is one of the most frequently used transforms in our refactoring and redesign of CAM5 and POP. The loop interchange transform is often used to expose the maximum level of parallelism for the 256 parallel CPEs in 380 each Sunway processor. The third kind of transform merges two loops into one. The purpose of such a transform is similar to the second one. If none of the loops can provide enough parallelism for the many-core processor, we choose to merge some of

the loops to release enough parallel threads.



Figure 7: Typical loop transformations performed to achieve the most suitable loop bodies that fit the number of parallel cores and 385 the size of fast buffer in each core of the SW26010 many-core processor. (1) Aggregation of the loop body into the most inner loop. (2) Interchange of loop B and C. (3) Merge of loop A and C.

After transforming the loops, in most scenarios, we can achieve a suitable level of both parallelism and variable storage space for the CPE cluster architecture. Afterwards, we can apply the OpenACC directives to achieve automated multi-threading of 390 the loops and to allocate different iterations to different CPEs. However, in certain cases, either to remove certain constraints in the existing algorithms or to achieve better vectorization, we need to perform a more fine-grained Athread-based approach, detailed in the following section.

# 3.3.2 Register communication-based parallelization of dependent loops

In addition to independent loops that process different points in the mesh grid, scientific codes also include dependent loops that are not straightforward to parallelize on different cores. A typical case is the summation process. For example, in the

395 that are not straightforward to parallelize on different cores. A typical case is the summation process. For example, in *compute\_and\_apply\_rhs* kernel, we need to compute the geopotential height using the following loop:

for (i=1; i < 127; i++)  $p_i = p_{i-1} + a_i;$ 

where the initial value  $p_0$  (the value of  $p_{i-1}$  when i=1) is the initial geopotential height, and  $a_i$  is the pressure differences between two neighboring layers *i* and i-1. Such a loop is clearly data dependent, as the computation of  $p_i$  relies on the computation of

400  $p_{i-1}$ . Therefore, we cannot directly allocate different iterations to different cores, otherwise each core would wait for the result of the previous element and could not compute in parallel.

To achieve parallel computation in such a scenario, we compute the accumulative pressure difference for the corresponding layers within each CPE, and achieve efficient data transfer among different CPEs through register communication. As shown in **Fig. 8**, we compute the result in three stages. Assume that we parallelize the computation of 128 layers in 8 parallel CPEs, and each CPE would store 16  $p_i$  and  $a_i$  values.

Stage 1, Local Accumulation: Each CPE computes the local accumulation sum of its own layers.

**Stage2, Partial Sum Exchange:** Each CPE  $C_i$  which is not in the first waits for the  $p_{16\times i-1}$  sent from  $C_{i-1}$  through the register communication feature, and then computes  $p_{16\times(i+1)-1} = p_{16\times i-1} + \sum_{j=0}^{15} a_{i+j}$ . Afterwards, CPE  $C_i$  sends  $p_{16\times(i+1)-1}$  to the next

CPE  $C_{i+1}$ , if  $C_i$  is not in the last one in the row.

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410 Stage 3, Global Accumulation: Computes all the atmospheric pressures within each CPE.

In such a way, we can maximize the parallel computation happened in each CPE, and utilize the register communication feature to achieve fast data transfer among the different CPEs.

Such 3-stage optimizations can be efficiently used to solve a specific prefix summation calculation with register communication. In our case (for the subroutines *compute and apply rhs* and *vertical remap*, for instance), the optimization

415 rate gained is up to 27 times faster than original single loop computation. Note that this sort of strategy to parallelize dependentloops has general applicability to modelling on heterogeneous many-core HPC systems.



Figure 8: Register communication-based parallelization of dependent loops: an example of computing the geopotential height at 128 different layers, parallelized as 8 CPE threads.

## 420 3.3.3 Athread-based redesign of the code

Even after identifying the most suitable form of loops to be parallelized, the OpenACC approach which has good portability sometimes still provides disappointing performance. For example, in certain kernels in the *euler\_step* function, the 64 CPEs would only provide equivalent performance to 1.5 Intel cores. There are multiple reasons behind this poor performance improvement. The most essential factor is that the algorithm and the data structure were all designed for the previous multi-

- 425 core CPU architecture, and are inherently not suitable for the emerging many-core architecture. As a result, the OpenACC approach, which minimizes the code changes but also removes the possibility of an algorithmic redesign, leads to a program that would underperform on the new architecture. Therefore, for the cases that OpenACC performs poorly, we take a more aggressive fine-grained redesign approach and rewrite CAM5 using the Athread interface an explicit programming tool for CPE parallelization. In such an approach, we make careful algorithmic adjustments.
- 430 Taking the OpenACC-refactored version as a starting point, we first rewrite the OpenACC Fortran code to the Athread C version. The second step is to perform manual vectorization for certain code regions. We can specifically declare vectorized data types and write vectorized arithmetic operations, so as to improve the vectorization efficiency of the corresponding code regions. Compared to the OpenACC, the fine-grained Athread CPE parallelization has lower general portability, although its design has a general application to modelling on heterogeneous many-core HPC systems.

## 435 **3.3.4 Other tuning techniques at a glimpse**

(a). Data reversing for vectorization. SIMD vectorization (Eichenberger et al., 2004) is an important approach to further explore the parallelism within each core. To achieve a better vectorization performance, we need a careful modification of the code, to achieve rearrangement of either the execution order or the data layout. In certain cases, reversing the order of the data is necessary to have a more optimal pattern.

- (b). Optimizing of DMA. Directly calling the DMA functions will add additional overhead and lower the overall performance.
   For occasions when the DMA function call becomes annoying, we can try bypassing it using DMA intrinsic for instance.
   (c). Tiling of data. For certain operations such as stencil computation (Gan et al., 2014) that have complicated data accessing patterns, the computing and accessing can be done in a tiling pattern (Bandishti et al., 2012) so that the computation of different lines, planes, or cubes, can be piplined and overlapped.
- (d). Fast and LDMsaved math library. The original math library (e.g., xmath (Zhang et al., 2016)) for the Sunway system is not specifically designed for LDM saving, as data accommodation in LDM may not be released in time after the library is called. Therefore, we modified the library to use instant LDM space creation or releasing, saving more LDM for other usages. (e). Taking control logics out of loops. This is a common and popular idea to reduce the overhead/number of branches, and thus to increase the performance.
- 450 (f). Vertical layer partition. This is a specific idea for some kernels in the physics part with too many parameters or variables. We can vertically decompose these kernels into several sub-kernels, which are then assigned to several CPEs that are also divided into several groups.

(g). Grouping of CPEs. Instead of using all 64 CPEs to deal with one thing, we can separate them into several groups for different simultaneous things. (The vertical layer partition mentioned above is an example of using this method.)

455 *(h). Double buffer.* The DMA double buffer mechanism can help load data ahead of time while it has not yet been required. Such a strategy can therefore push data closer to the computation.

*(i). Function inline.* For some functions that have too much calling overhead, we can try to make them inline so that the function calling overhead can be reduced.

# 3.4 Refactoring and optimizing of the CAM5 computing hot spots

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Fig. 9 Scalability of a) CAM5, b) POP2 and c) CESM-HR optimization. The performance of the model is given in terms of Simulation Years Per Day (SYPD) and Number of Core Groups (CGs).

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Following the profiling procedure described in section 3.1, we identify the bottom modules/subroutines/functions which have significant computing consumption in the CAM5 dynamic core and physics modules. We list the examples of CAM5 physics in **Table 5** by the order of optimization rate shown in the last column. We can see that for most physics modules that are implemented as column-wise computations with no dependent data communications, our refactor and optimization efforts demonstrate a reasonable performance improvement, ranging from 3 to 9x. **Table 5** also demonstrates the major strategies and

tuning techniques (Section 3.3.4) used for each hot spot function. The scalability along the CAM5 optimization is shown in **Fig. 9a.** 

Table 5: List of refactoring and optimizing schemes for CAM5 physics modules with significant computing hot spots

Module Name	Functionality	CPE optimization schemes	Optimization rate
			of
			t(MPE)/t(CPE)
			with 18300
			processes
rrtmg_sw_spcvmc.f90	For shortwave radiation,	1) Transformation of independent	8.9
	compute the shortwave	loops;	
	radiative fluxes, using the	2) Data reversing for vectorization;	
	two-stream method of H.	3) Athread-based redesign of the	
		code.	
wetdep.F90	wet deposition routines for	1) Optimizing of DMA;	8.7
	both aerosols and gas phase	2) Tiling of data;	
	constituents	3) Fast and LDM-saved math	
		library.	
convect_deep.F90	CAM5 interface to several	1) Optimizing of DMA;	8.6
	deep convection interfaces	2) Tiling of data;	
	1	3) Fast and LDM-saved math	
		library;	
		4) Register communication for	
		reduce operation.	
cldwat2m_macro.F90	CAM5 Interface for Cloud	1) Optimizing of DMA;	8.6
	Macrophysics	2) Tiling of data;	
		3) Fast and LDM-saved math	
		library.	
modal_aero_waterupta	computing aerosol wet radius	1) Transformation of independent	6.7
Ke.F90		loops;	
		2) Taking control logics out of	
		loops;	
		3) Optimizing of DMA.	
		4) Fast and LDM-saved math	
		library.	
micro_mg1_0.F90	microphysics routine for each	1) Optimizing of DMA;	6.4
	timestep	2) Tiling of data;	
		3) Vertical layer partition;	
		4) Optimizing of DMA;	

		<ul><li>5) Fast and LDM-saved math library;</li><li>6) Athread-based redesign of the code.</li></ul>	
modal_aer_opt.F90	parameterizing aerosol coefficients using Chebychev	<ol> <li>Optimizing of DMA;</li> <li>Fast and LDM-saved math library</li> </ol>	6.4
rrtmg_lw_rad.F90	Computing long-wave radiation	<ol> <li>Transformation of independent loops;</li> <li>Optimizing of DMA.</li> </ol>	3.1

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# 3.5 Refactoring and optimizing of the POP2 computing hot spots

Extending the procedure of tracking the computing hot spots into OCN\_RUN, we locate the modules that have the modules consumption as listed in **Table 6**. The last column lists the optimization rate with the CPE-parallelism which is defined a

480 the MPE-computing time over CPE-parallelized computing time using 18,300 CGs. The optimization rate of the kpp ver module (vmix\_kpp.F90), which is one of the most time-consuming modules in POP, is up to 7.49, demonstrating an improv of the refactored and redesigned code. Table 6 also describes the tuning techniques (Section 3.3.4) applied to each hot sp The scalability plot for POP2 optimization is shown in Fig. 9b.

Module Name	Functionality	CPE optimization schemes	Optimization rate t(MPE)/t(CPE) 18300 processes
vmix_kpp.F90	Computing vertical mixing coefficients for the KPP	1) Transformation of independent loops;	7.5
	parameterization	<ol> <li>2) Taking logics out of loops;</li> <li>3) Optimizing of DMA;</li> <li>4) Tiling of data;</li> <li>5) Athread-based redesign of the code</li> </ol>	
hmix_del4.F90	Computing biharmonic	1) Transformation of independent	6.4

Table 6: List of refactoring and optimizing schemes for POP2 computing hotspot

	horizontal diffusion for	loops;	
	momentum and tracers	2) Grouping of CPEs;	
		3) Taking logics out of loops;	
		4) Tiling of data.	
advection.F90	Performing advection of	1) Transformation of independent	5.5
	momentum and tracer	loops;	
	quantities	2) Grouping of CPEs;	
	quantities	3) Taking logics out of loops;	
		4) Tiling of data.	
vertical_mix.F90	Computing vertical mixing	1) Transformation of independent	4.7
	tendencies, implicit vertical	loops;	
	mixing and convection	2) Partition of big loop blocks;	
		3) Grouping of CPEs;	
		4) Taking logics out of loops;	
		5) Double buffer;	
		6) Tiling of data;	
baroclinic.F90	Computing baroclinic	1) Transformation of independent	3.4
	velocities and tracer fields.	loops;	
		2) Grouping of CPEs;	
		3) Function inline.	
POP_SolversMod.F90	elliptic solver for surface pressure in	1) Transformation of independent	3.0
	the barotropic mode	loops;	
		2) Taking logics out of loops.	
step_mod.F90	Forwarding the model one	1) Transformation of independent	2.2
	timestep	loops;	
	_	2) Optimizing of DMA.	

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# 3.6 Evaluation of numerical differences after applying CPE parallelization of the code

Refactoring and optimizing the majority of CESM-HR resulted in a version that we refer to as SW-CPE CESM-HR, with scal in **Fig. 9c**. Although the coding changes in refactoring and optimizing described in sections 3.4 and 3.5 are not expected to chan results, as the arithmetic behaviours (e.g., truncation errors) of MPE and CPE in the Sunway processor are not complete

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depending on nonlinearity levels of computation, the CPE-parallelized code may produce slightly differences from the MPE-o deviation in the last few digits. **Table 7** shows a few examples of accuracy verification of subroutines in major modules of P see that for simple array addition and multiplication (in the barotropic solver module, for instance), the result of the CPE-para is identical to the result produced by the MPE-only code. However, for multi-level array multiplication or strongly-nonli

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computation (in advection and vertical mixing modules, for instance), the result of the CPE-parallelized code can deviate fr produced by the MPE-only in the last 5 digits in double precision. **Table 8** gives an example for which the deviated digits of the SST is moved toward the left as the model integration forwards in the CPE-parallelized version of POP2 compared to its MPE-**Table 7: List of refactoring and optimizing schemes for POP2 computing hot spots** 

Subroutine Module name	Computation	Complex function	Difference between
	properties	calls	MPE-only and
			<b>CPE-parallelization</b>
subroutine btropOperator (in POP_SolversMod.F90)	2-dimensional array multiplication and addition	No function calls involved and no complex computation	0 (bitwise identical)
subroutine step (in step_mod.F90)	2-dimensional array multiplication and addition	sqrt function called frequently	$10^{-16}$ (different last digit in double precision)
subroutine aduv (in advection.F90)	2-dimensional array multiplication with many times	multiple-level array multiplications involved	$10^{-16}$ for most of points but maximum up to $10^{-13}$ (different last 4 digits in double precision)
subroutine ddmix (in vmix_kpp.F90)	3- or higher- dimensional array multiplication and addition	exp function called frequently	$10^{-16}$ for most of points but maximum up to $10^{-12}$ (different last 5 digits in double precision)

500 Table 8: Movement of the difference of global mean SST in digits caused by CPE- parallelization by the forwarding of POP2 integration

Integration time	Global mean SST values	
1 days	MPE-only	18.148852140527389
	CPE-parallelization	18.148852141288383
2 days	MPE-only	18.126134619263823
	CPE-parallelization	18.126134512879307
3 days	MPE-only	18.115670343667720
	CPE-parallelization	18.115671056635556
4 days	MPE-only	18.111063495112525
	CPE-parallelization	18.111063420599363
5 days	MPE-only	18.112453155666980
	CPE-parallelization	18.112453439747853

We also created a four-member ensemble (only four due to the constraint of computing resources) of 1-year SW-CPE 505 CESM-HR simulations (that differ by around-off level perturbation to the initial temperature) and computed the root mean square (RMS) of their differences on global SST fields. We also compute the RMS of the differences between the SW-CPE and SW-MPE CESM-HR versions. These results are shown in **Fig. 10**. From **Fig. 10**, we found that the ensemble of the differences between the results of SW-CPE CESM-HR version and the SW-MPE CESM-HR version was overall undistinguishable from the ensemble of the differences between either Intel (QNLM) and Intel (TAMU)

510 machines or the SW-CPE perturbation runs, i.e., these simulations appear equivalent in terms of the SST.



Figure 10: Timeseries of the root mean squared (RMS) global SST differences between each of 3 perturbation and PI\_CTL simulations of the CESM-HR SW-CPE version and the CESM-HR SW-MPE simulation (4 colour-solid lines), and any two of 4 CESM-HR SW-CPE simulations (each pair of 2 simulations produces a black-dashed-dotted line so the total are 6 black-dashed-dotted lines). Timeseries of RMS global SST differences shown in Fig. 6 between the Intel(QNLM) and Intel(TAMU) (purple-dotted), and two perturbed SW-MPE simulations (green-dotted) as well as the SW-MPE and Intel(QNLM) (red-dotted) are also plotted as the reference.

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- 520 We then applied the CESM-ECT tool using the same procedure as for the SW-MPE-only version described in section 3.2.2. In particular, we used UF-CAM-ECT to compare three 9-timestep simulations with SW-CPE CESM-HR against the control ensemble from NCAR's Cheyenne machine. The overall ECT result for this comparison was a "fail", with nearly all of the 50 PCs tagged as failing. This result, while concerning, requires further investigation for several reasons. First, while the CESM-ECT tools have been very thoroughly tested at lower resolutions (e.g., 1 degree), this is their first
- 525 application to a high-resolution run, and perhaps an adjustment to the test procedure could be required for finer grids.

Second, it is possible for CESM-ECT to legitimately fail at 9 timesteps due to a statistical difference at that timestep then issue a pass for the same simulation when evaluating the 1-year annual average. This conflicting result means that difference is not significant over the longer term (an example of such a scenario is changing the type of random number generator, as detailed in Milroy et al., 2018). For the CESM-ECT tools, the 9-timestep test is always recommended as a

530 first step due to its minimal computational cost and general utility. Given its failing result in this case, creating a large ensemble of 1-year high resolution simulations is an important next step. Unfortunately, due to the computational cost required, this task remains future work. The CESM-ECT is a sensitive test, and it will be challenging to determine the reason for failure even with the current tools available (Milroy et al. 2019). However, in the meantime, the results of the multi-century control simulation (as discussed in Section 4) continue to look quite promising with no noticeable issues.

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## 3.7 The current CPE-parallelized version of CESM-HR

Following the refactoring and optimizing procedures for CAM5 and POP2, and other optimization strategies presented in Fig. 11, the optimization of CESM-HR on the Sunway machine resulted in a simulation rate of 3.4 SYPD at the end of June, 2019, as shown in Fig. 11. There are 3 important stages that are worth mentioning in this optimization process:
1) at the end of 2018, based on MPE-scaling and load balancing, using 48,000 CGs, after the CPE parallelization for CAM5 dynamic core and physics modules, the model had a 1.2 SYPD integration speed; 2) with the CPE-parallelization for POP2 hot spot subroutines and further optimization on CAM5 dynamic core communication and CAM5 load balancing, the model integration speed improved to 2.4 SYPD at the end of March, 2019; 3) after the optimization on malloc function and sea-ice initialization, as well as further scaling and load-balancing with 65,000 CGs, the model 545 reached the current integration speed of 3.4 SYPD (Table 9).



Figure 11: The schedule of CESM-HR optimization on the Sunway TaihuLight.

Table 9: The current CPE parallelism speeding rate of the HR CESM configured on 65000 CGs

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Module	second	second/mday	myears/wday
TOT	898.099	68.974	3.43
LND	73.963	5.680	41.67
ROF	0.717	0.055	4298.73
ICE	115.923	8.903	26.59
ATM	479.456	36.822	6.43
OCN	680.434	52.257	4.53
CPL	275.232	21.138	11.20
CPL COMM	420.185	32.270	6.34

Currently, the CPE-parallelized CESM-HR has finished the PI\_CTL simulation on the Sunway machine over 400 years. 555 While more diagnostics will be presented in the follow-up studies, the next section will give some fundamental pictures

of the Sunway machine integration results.

# 4 The PI\_CTL simulation of CESM-HR on the Sunway TaihuLight

The first important piece of production simulations with the CESM-HR is to complete a 500-yr pre-industrial control (PI\_CTL) integration. We continue the PI\_CTL simulation starting from the initial conditions of January 1, 0021, which

560 has been done on the Intel(TAMU) machine (for 20 years). Currently, another 400 years have been done and the model integration keeps continuing with a stable rate.

## 4.1 The feature of radiative balance at the top of atmosphere

As discussed in Section 3.3, once the model includes CPE-parallelization, the CPE loop computation carried out by the C-language and its compiler could introduce a very small perturbation into the model integration. A traditional model computed on a homogeneous multi-core Intel machine is a self-closed system in terms of machine precision (reproduceable 16 digits in double precision, for instance). The Sunway CESM-HR computed in a hybrid mode in which the MPE major task (in Fortran-90) manages the CPE sub-tasks (in C-language) appears a frequently-vibrating balance at the top of atmosphere (TOA) in such a frequently-perturbed system, having a little different behaviour from that of a self-closed system (see **Fig. 12**). In the self-closed model system on the multi-core Intel machine (TAMU's Fronterra in this case), from an imbalanced default initial state (1.2 Watts/m<sup>2</sup> of the difference between short-wave in and long-wave out) (green dot in **Fig. 12**), the model quickly reaches the nearly-balanced states (.07 Watts/m<sup>2</sup> of in-out mean difference) (red dot in **Fig. 12**) by roughly 10 years and then remain in oscillations with a small amplitude of +-0.1 Watts/m<sup>2</sup>. However, in the heterogeneous many-core Sunway system with a frequently-perturbed hybrid mode, the time by which the model integration reaches a quasi-equilibrium is much longer (about 60 years) and the oscillation amplitude is much

575 bigger (+-0.6 Watts/ $m^2$ ).



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Figure 12: Time series of annual mean global average radiation balance at the top of the atmosphere (difference of short-wave radiation in and long-wave radiation out). The red line marks the time average over yr-85 to yr 142 as a value of 0.05 Watts/m2. The green dot (1.2 Watts/m2) marks the initial value when the model starts from the default initial conditions while the red dot marks the last 5-yr mean value (.07 Watts/m2) after the model finishes 20-yr integration on the TAMU Intel machine.

# 4.2 Model bias

585 The SST bias with the last 100-yr data as well as the bias of the global mean ocean temperature and salinity against the climatological data are shown in **Figs. 13** and **14**. Compared to the simulation results of coarse-resolution coupled model (Delworth et al., 2006; Collins et al., 2006), the bias of ocean temperature and salinity simulated by CESM-HR is reduced by roughly 10%. As expected, the major model error regions by order are the north Atlantic, the north Pacific and the Southern Ocean Antarctic circumpolar current area.



Figure 13: The spatial distribution of time mean errors of a) SST and b) SSS simulated by the CPE-parallelized CESM-HR on the Sunway machine.



Figure 14: Time series of global averaged errors of ocean a) temperature and b) salinity simulated by the CPE-parallelized CESM-595 HR on the Sunway machine against the climatological data.

#### **5** Summary and discussions

Science advancement and societal needs require Earth system modelling with higher and higher resolution which demands tremendous computing power. However, as current semi-conductor technology gradually approaches its physical and heat limits, recent supercomputers have adopted major architectural changes to continue increasing the performance through more power-efficient (faster but greener) heterogeneous many-core systems. The Sunway TaihuLight supercomputing platform is an outstanding example of heterogeneous many-core systems, consisting of Management Processing Element (MPE) and Computing Processing Element (CPE) inside one processor. Adapting legacy programs and enabling the models onto such a new supercomputer is challenging but of great value for the global

605 community. The Community Earth System Model with a high resolution of 10 km ocean and 25 km atmosphere (CESM-HR) has been successfully ported to and optimized on the Sunway TaihuLight, with millions of lines of legacy codes being parallelized and redesigned on CPEs by a large group in iHESP (International Laboratory for High-Resolution Earth System Prediction) – a major international collaboration among QNLM (Qingdao Pilot National Laboratory for Marine Science and Technology), TAMU (Texas A & M University) and NCAR. The current CPE-parallelized version

610 has reached a simulation rate of 3.4 model years per wall clock day and has completed an unprecedented long highresolution simulation (400 years) of the pre-industrial climate. With further strategies on deeper refactoring and optimizing on computing hot spots, it is expected that the CPE-parallelized CESM-HR has an Intel-equivalent even Intelbeyond work efficiency.

The heterogeneous many-core systems have a new architecture and therefore require new programming strategies. Our

- 615 current efforts focus on adapting the legacy codes being mostly designed and developed for traditional homogeneous multi-core processors onto the new architecture machine without changing the significant algorithm design. Theoretically, the computational capacity of a Sunway core group (1 MPE+64 CPEs) with a dominant frequency of 1.45 GHz is 35.4 times of that of a traditional homogeneous core with a dominant frequency of 2.66 GHz. Due to the small CPE LDM (only 64KB in each CPE, plus needing to be managed by the users) and the cost of frequent communication
- 620 between MPE and CPEs, the current CPE optimization has constraints that relate to both the architecture and the existing software. In follow-up studies, we may significantly redesign the algorithms as new architecture-based numerical implementations fully consider the nature of CPE parallelization with a small user-controlled cache to minimize the communication cost. Moreover, since Earth system modelling with higher resolution is one of major demands for supercomputing capacity and has its own scientific algorithm characteristics, in the new machine design, considering
- Earth system modelling-oriented architecture or partial functions may advance the development of both supercomputer and Earth system modelling.

Although the focus of this paper is on the specific Sunway TaihuLight supercomputing system, we believe many of the refactoring and optimizing processes detailed in the paper can also be useful to the design of code porting and optimization strategies on other heterogeneous many-core systems such as GPU-based high-performance computing (HPC)

- 630 systems. As the first piece of modelling on heterogeneous many-core supercomputing systems, this work has not addressed general performance portability as a factor addressed. Given the increasing demand on heterogeneous HPC modelling, the issue of general performance-portability issue will be an important and interesting topic in the future studies. We also acknowledge that the verification of correctness of recoding on a heterogeneous many-core supercomputing platform is very challenging, and we plan follow-up studies on using CESM-ECT for high-resolution simulations in general and its
- 635 specific application to the current CPE optimization. Given the rapid development of heterogeneous many-core supercomputing platforms, evaluating high resolution code refactoring efforts is quite important to the community.

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