

## **Referee #1**

*1. I liked the organization of this manuscript. The results were presented in a fashion that made both the model developments and findings easy to understand. On my second readthrough, I found that my mind was already primed to identify the issues with the default ISBA model that made modeling snow in forests troublesome. However, when I first read through this manuscript, I wanted to know more about the sources of model errors before getting into the model details. Only in lines 195 – 200, and the following sections describing MEB, did I start to understand what was being corrected. Specifics about the changes to the model framework and how that influenced the snowpack could be put earlier to prime the reader for what to expect. This need not be lengthy (only a few sentences) and could be included in an individual paragraph, headed by the sentence on lines 85 – 87.*

We have added a few lines after lines 85-87 to enhance the description of certain weaknesses of the ISBA composite scheme to model the snow pack and to improve the transition to the description of MEB. Indeed, we feel the transition is a bit more smooth now.

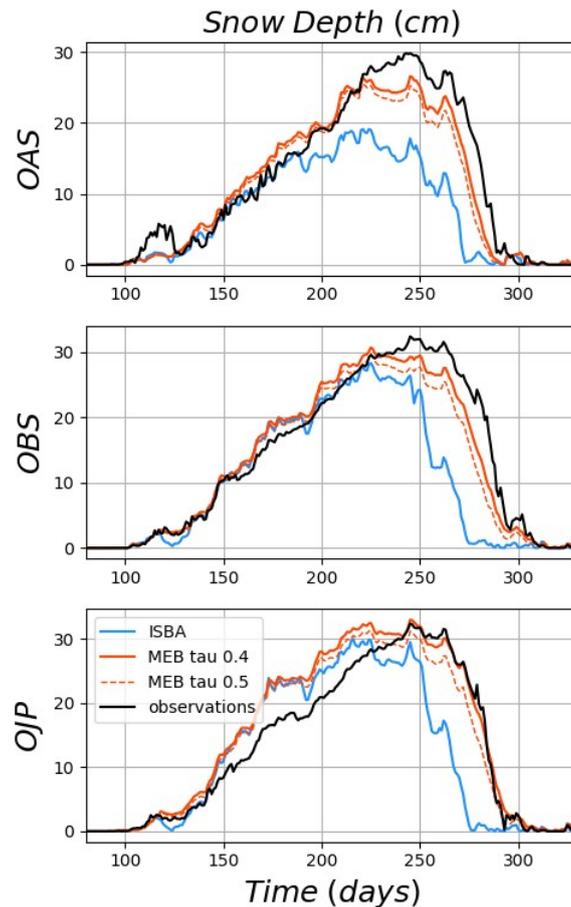
*2. I was confused about the simulation setups. For instance, it appeared like the simulations were performed and compared versus observations at a point, although 1) the model is often used for distributed simulations, and 2) the use of snow/vegetation fractions implied a gridcell or patch of much larger size.*

In the current study, the model is used at the local scale (1d simulation) which is assumed to have a length-scale in the order of about 10 to 100m, but of course this is somewhat arbitrary. But when the model is used at such small (“local”) scales, the sub-grid heterogeneity parameterizations (used at larger scales) collapse to correspond to a single land cover type and homogeneous hydrological fluxes (such as infiltration, runoff etc). For local case studies, the model input parameters (Tab.2) are defined to correspond as much as possible to the study sites which are supposed to be homogeneous over that scale. In addition, the observations (notably the turbulent fluxes) are assumed to be applicable to this scale. Finally, in spatially distributed applications, with length-scales (grid cells) generally ranging from  $10^2$  to  $10^5$  m (mesoscale meteorological and hydrological applications, to climate modeling), the model input parameters are aggregated (up-scaled) using the fine scale data from ECOCLIMAP to the chosen resolution thus accounting for sub-grid heterogeneity in a relatively simplified but economical manner (Noilhan and Lacarrere, 1996). A sentence is added at the beginning of paragraph 4, line 326.

*3. While the ISBA parameters (transmission coefficient, veg parameter, etc.) were left unchanged or defined by the datasets in Table 2, the MEB canopy longwave radiation transmission was tuned. Although the tuned radiation transmission (0.4) was close to the default transmission (0.5), the snow depth RMSE for the default transmission was larger (Figure 11) and approached the default ISBA simulations (Table 8). It would be nice to include the MEB simulation using all default parameters in a comparison (maybe similar to what was done for the simulation with no interception in Figure 6).*

There is a misunderstanding in the RMSE we calculated, thus we have attempted to make this more clear and consistent in accordance with this reviewer. The RMSE from Table 8 corresponds to a calculation made with the entire data set, meaning including snow-free periods. The RMSE from the sensitivity test figure corresponds to data for which snow cover is present (in the measurements). For this reason, the second RMSE values are higher. We modified the table for consistency using RMSE calculated with snow present on the ground. You can now see that RMSE from the table corresponds to the figure and that those calculated with the ISBA model are much higher than the one using both  $\tau=0.4$  or  $\tau=0.5$ , which are very close to each other.

For the reviewer, we have made an additional figure (but it has not been put in the paper...but could be if the reviewer or editor feel it is necessary). The figure RC1,1 shows the composite annual cycle of snow depth for the simulations using MEB performed with both the 0.4 and 0.5 value of the tau coefficient. It can be seen that this does not strongly influence the modeled snowpack, at least compared to the change between ISBA and MEB. Because this coefficient was at first set as a default value in Boone et al. 2017 without any evaluation, we chose here to change that value to 0.4



4. The model developments are valuable for not only offline land surface models. I was therefore curious to hear more about how the authors expect the ISBA MEB developments to influence coupled and distributed land-atmosphere simulations.

Indeed, as mentioned in the response to comment 2, the next step for model evaluation is currently underway. Now that the model has been shown to correct certain significant systematic biases (soil temperature, snow ablation timing....) at well-documented and rather representative Boreal forest sites for different tree species and characteristics, and also in preliminary offline global scale evaluations using standard reanalysis products over long time periods. Such simulations will be evaluated using observed permafrost depths and spatial distributions, snow cover fraction (satellite based), point soil temperature measurements over high latitude local scale sites in forested areas, and river discharge. Preliminary work was done over France by Napoly et al. (2017), and evaluations using the updated distributed hydrological system over France are to begin soon as mentioned in the perspectives of Le Moigne et al (2020), and work is beginning at the global scale in a similar manner as presented by Decharme et al. (2019), who also mentions in their perspectives that MEB will be used. Once those steps are finished, the next step will be testing in the fully-coupled CNRM-CM climate model. The model is also currently being tested within the context of operational NWP within the HIRLAM consortium. We have added test to Lines 588-599 which refer to these perspectives.

5. Also, how do you expect the model developments to perform or differ in landscapes (such as the United States Pacific Northwest Cascades) where elevation gradients are large, temperatures are warmer, snow depth is typically deeper, and the canopy intercepts much larger amounts of snow for longer portions of the snow season? A brief discussion about model transferability would be valuable.

Indeed this is a good point. The motivation for MEB development was initially mainly for snowy forested regions. But, the focus was on Siberian and high latitude forests since systematic near surface/surface cold biases were identified for those regions in both offline (see for example, Decharme et. al., 2019) and coupled (climate) runs. Also, there was a motivation to perform a detailed study using the Berms data owing to its quality, visibility (recently as a part of the ESM-SnowMIP model inter-comparison study) and the fact that these sites most readily addressed the problems mentioned previously. But indeed, there is work going on at CEN-Météo-France on MEB coupled to the detailed snow process model CROCUS (and the ES scheme, used herein, in parallel) for the new extension of the Col de Porte site to an adjoining forest. This is a relatively (compared to Boreal regions) warm and wet climate, rather typical of the Alps. And, work to evaluate MEB for other forested sites is also planned, but for the current study, the main motivation was to address the areas/type of climate/cover for which MEB brings in the most pressing and dramatic impact. We specified the choice of the Berms sites in the introduction (l.100-101). Also, we added a sentence at the end of the conclusion to mention this future (Col de Porte site) work, along with references.

*6. Finally, from a modeling perspective, how much (if any) do the MEB developments increase the computational cost*

When running SURFEX for a standard single point run (for the runs within the current study) using the default GNU gfortran compile options, the MEB option increases the run time by 5.8 %  
But note that in coupled runs, this additional cost becomes quite small as the surface is relatively inexpensive compared to the atmosphere (the surface is generally a few % of run time in our systems). Thus the cost of adding MEB should be relatively insignificant. We added a short discussion of this to line 222.

*7. Line 2 "...adopts a default configuration...": Does this mean the default ISBA model? Maybe consider simplifying this whole sentence to clearly state that the ISBA model uses a composite soil-vegetation energy budget that struggles with representing snow in forested regions.*

We simplified the sentence following your advice.

*8. Lines 11-12 "A consistent positive impact for soil temperatures...": With the statistics that follow (-6.2 to -0.1 K), I am not sure if "consistent positive impact" means that the soil temperature always increases (positively), or if simulated soil temperature improves.*

We rephrased the sentence to better explain that the improvement of soil temperatures is consistent with the improvement of the ground heat flux.

*9. Line 14 "...time of ablation...": Does this mean the date of first snow-absence (or melt-out), or the rate at which snow melts? You use "last day of snow" in the results. For consistency, I would pick one and stick with it.*

We decided to keep here the expression 'last day of snow' as it refers to the score that is later used in the manuscript.

*10. Line 16: "cause" should be "caused".*

corrected

*11. Lines 20 – 21 "...one third of which consists of boreal forest which corresponds to subarctic and cold climates.": Maybe revise for conciseness to "...one third of which consists of boreal forests in subarctic and cold climates".*

Thank you: we have done this (for simplification).

12. Line 52: "2009, Rutter et al." should be "Rutter et al., 2009".

corrected

13. Line 55 – 56 "...they determined that liquid water retention was a key process required for simulating the accurate timing and amount of snowmelt and thus discharge": Can you be more specific? After reading the Boone et al. (2004) paper, I am still not sure what you mean here. I am guessing that liquid water retention references the soil column and that soil columns with a larger holding capacity simulated daily discharge better. However, the composite ground representation seemed to be a first-order driver of whether snowmelt was even entering the soil column at the correct time. By "liquid water retention" do you mean delayed snowmelt by non-composite snow schemes?

We have restructured the text to be more clear (line 55). Indeed, an important result of Boone et al. (2004) was to show that the retention of liquid water (owing to a storage capacity in the snow and also possible refreezing of this liquid water) improved both the predicted peak discharge and phase/timing of this peak for the high altitude Durance basin, mainly owing to the increased SWE and the fact that melt events or even rainfall on the snowpack did not result in instantaneous runoff. Indeed, soil processes could also play a role, but the participant LSMs were requested to use the prescribed soil parameters and depths in Rhone-AGG and soils were relatively thin in mountain regions thus diminishing the residence time (so differences in soil processes could indeed contribute a bit, but through our experience over this basin and based on the similar behavior by LSMs with similar snow schemes, we came to this conclusion that it was the liquid water retention and refreezing processes which were the most critical). Only schemes with this process explicitly modeled were able to simulate a reasonable discharge for this basin (of course now, many LSMs include this, but at that time, there were relatively few).

14. Line 62 "...certain snow processes...": I would be explicit here (interception, solarshading, longwave enhancement, etc.). What processes require "explicit representation of the vegetation canopy"? Also, what does "explicit" mean (canopy height, canopy density, subgrid canopy coverage/placement, vegetation species, LAI, etc.)? The required information about the canopy vary across different models.

We modified the text by specifying the key physical processes which are difficult to take into account in composite soil-vegetation scheme such as the ISBA model (line 62). 'Explicit' means that the model considers distinct layers for the ground and the canopy. In the composite ISBA model, there is only one layer which has the characteristics (albedo, roughness, emissivity ..) of both the ground and the vegetation (these characteristics correspond to the weighted average of the characteristics of each surface type-soil and vegetation-based on a estimated vegetation fraction). A sentence is added to explain this more clearly (line 64).

15. Line 69: "GGMs" should be "GCMs".

corrected

16. Line 83: "computations" is misspelled.

corrected

17. Line 101 "...certain key features": Can you be explicit here (snow depth, SWE, etc.)?

We added examples in the text to be more explicit about two aspects that are investigated in the study.

18. Line 116: I would delete "for research studies which consists in" and put the colon after "...default ISBA configuration, where:"

The text is modified to follow this comment.

*19. Line 137: Some models partition snow layers based on SWE instead of snow depth. It is worth mentioning what ISBA does here.*

ISBA partitions the layers based on snow depth. At the start of each time step, new snowfall or canopy unloaded snow is incorporated into the snowpack. Then, the grid is recomputed based on the total snow depth following a set of rules to provide the best vertical resolution at both the snow-atmosphere and snow-soil interfaces (the reasoning is discussed in detail in Decharme et al., 2019). Snow properties between layers are then potentially blended to assure total snowpack enthalpy and mass conservation during the grid reset. We slightly adapted the description of ISBA-ES in section 2.1 to mention this more clearly.

*20. Section 2.1.4: I think the discussion at the end of the section highlights one of the most important model developments. This is alluded to briefly in the abstract, in the parenthetical from lines 147 – 148, and lines 165 – 166. Sections 2.1 and 2.2 demonstrate the differences between these two model developments (ISBA and MEB) well. However, the impact on heat/energy fluxes (Figure 3) is already demonstrated in Napoly et al. (2017). I think these results could be referenced early-on in this manuscript and used to elaborate how these changes are important for this snow-modeling investigation here. I also think that Figure 2 is a great conceptual that could be referenced earlier to demonstrate how the models differ in their subgrid representation of snowpack.*

Results of Napoly et al. (2017) are now referenced at lines 100-101. It is true that the impact of MEB and litter on fluxes are already demonstrated in Napoly et al. (2017) and that Fig. 3 might seem redundant, but we think that it is beneficial to the reader to start the analysis with a global view of the results. Following your comment, we cited Figure 2 earlier in the text (lines 123-125) and insisted that the snow fraction parametrization is a key aspect of the limitation of the ISBA model: MEB has permitted us to remove a highly empirical (and not very physical) parameterization compared to the composite scheme (the composite vegetation snow cover fraction).

*21. Lines 258 – 262: I have concerns about the assumption that intercepted snow has negligible effect on the canopy albedo. Although Pomeroy and Dion (1996) found canopy structure and solar angles to be the first-order drivers of radiation absorption by the canopy, multiple studies since have linked differences between observed and modeled albedo (and differences between models) to modeled canopy interception (e.g., Bartlett et al., 2006; Lorant et al., 2014; Roesch and Roeckner, 2006; Thackeray et al., 2014). What sort of impact do you anticipate if the canopy albedo were to vary with interception? Canopy typically intercepts much more snowfall in the United States Pacific Northwest and many other maritime snow climates. Therefore, how do you expect this assumption to influence simulations in other climates?*

Indeed it outwardly appears like it is a strong assumption to neglect the effect of intercepted snow on the albedo. However, we think that it is reasonable using the following arguments:

- in general, the canopy albedo during the winter season is not critical since solar radiation is relatively low so that the effective albedo considered by the model is less significant for the energy budget calculation.
- in spring, when solar radiation is higher, snow events tend to become more scarce and the unloading + melting parameterizations get rid of intercepted snow quite effectively/rapidly and prevents interception fractions to approach unity, at least for any extended time period.

However, in order to test the above assumptions, we implemented in the code this effect following Roesch and Roeckner (2006). Using their value of 0.2 for the so called “albedo of the snow covered part of the canopy”, we found no effect on the snow pack. As an extreme academic test, we made additional tests using 0.9 (which is probably too excessive/large): when using the 0.9 value we found differences of a few millimeters in SWE maximum for a given day for the 3 sites, which is quite small. The impact on ablation timing was negligible.

As a conclusion, on average over the snow season we think this assumption is valid. But indeed, for numerical weather prediction which focuses on the short term, it might however be interesting to consider this effect in the future, but more study will be required. In fact, interception and

*unloading etc. processes are the focus of the now cited work at Col de Porte (Helbig et al., 2020, line 596), for which MEB will be extensively tested. We have added lines 281-283.*

*22. Lines 270-271: At this point of the text, I want to know more about the canopy interception model and parameters that are used. I think it is first mentioned in Line 482 that you use the Hedstrom and Pomeroy (1998) method. I think the Hedstrom and Pomeroy parameterization is a good choice since it was developed for this particular region. However, it is worth noting that the Hedstrom and Pomeroy method varies dramatically from the Storck et al. (2002) method which does better for regions with warmer, and more cohesive snowpack. In fact, a number of snow interception parameterizations exist (e.g., Hedstrom and Pomeroy, 1998; Roesch et al., 2001; Storck et al., 2002), most of which are heavily-parameterized and are not very transferable between climates. I really like the discussion on snow interception sensitivity in Section 4.2.4. I think a simple 1-2 sentence acknowledgement about different interception routines and the impact of tuning interception parameters on modeled snowpack would be valuable.*

We added a sentence in section 4.2.4 (l.492-493) to mention another parameterization. The interception of the snow is indeed an important process that has now been added owing to MEB among several others (more realistic radiative transfer, within canopy turbulence), but we have found that the most significant impact obtained with MEB for snow covered forests is that we are able to eliminate a highly empirical and conceptual representation of snow interception (in the composite scheme) which also permits an explicit representation of snow on the forest floor. This has a significant impact on the ablation timing. But indeed, we plan to examine the interception parameterization in more detail, namely owing to work in progress now mentioned in the Perspectives at the Col de Porte site (again, the Helbig et al. 2020 reference has been added in this vein).

*23. Line 288: Delete "In order" or make "To" lower-case.*

Corrected

*24. Section 3: It would be nice to know what resolution these simulations were being performed at since measurements are at points.*

Simulations are 1d so that the notion of resolution does not really explicitly exist in such case. However, indeed, the observations are assumed to be valid over a certain spatial scale (notably the turbulent fluxes). To perform the simulations, we assume that the land cover where the observations were made is homogeneous over a large area (as discussed in our response to this reviewer's comment 2), so that the impact of any other different land cover around the observation point should be relatively small. The idea is that the land cover should be fairly homogeneous over the approximate footprint of the turbulence measurements also which can be time varying and rather complex in itself; Cuxart and Boone, 2020 (BLM) give a discussion on this aspect...eddy covariance observations and the corresponding footprint/scale: but of course many papers discuss this issue, the aforementioned reference lists many of those studies.

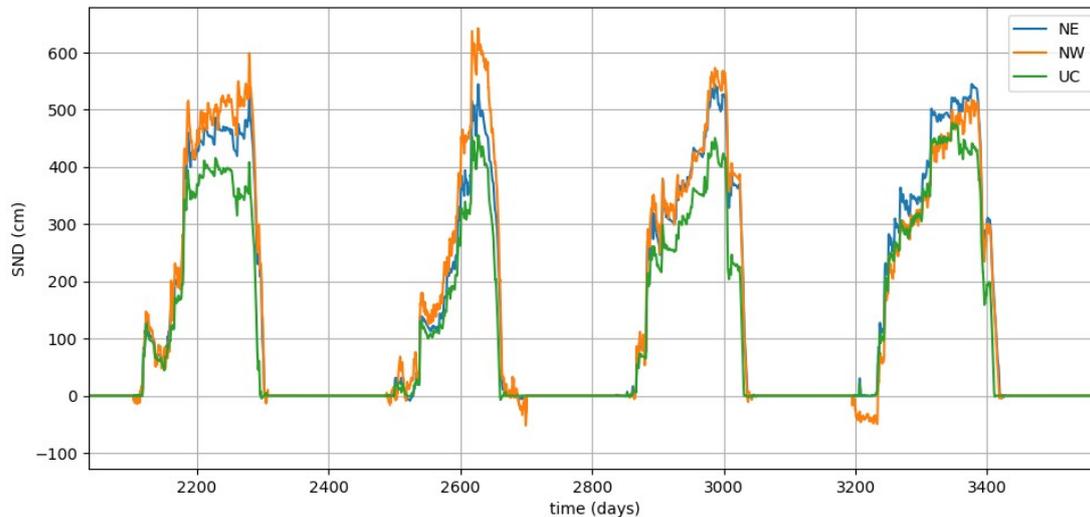
We modified the first sentence of section 4 to be more explicit on that point.

*25. How colocated in space are observations? Do you expect any variability from ground measurements like manual SWE measurements which likely did not come from the same spot each time? I especially find the SWE measurements in OBS and OJP, and the accuracy versus the simulations, interesting in water-year 2004 (Figure 5).*

Indeed this is right, there is variability on snow depth measurements. In the current study, we used the data called 'UC' for 'under canopy' as :

- it was the only available at one of the three sites. This was the case for the OBS site for which there were measurements at 3 locations at the site, but measurements were not available for the full period.
- we assume that UC is more relevant for model comparison with the model than other measurements such as : 'canopy gap' measurements.

For the OBS site, measurements called 'North West' (NW) and 'North East' (NE) were available in addition to the UC data (see Figure RC1,2). SND was higher at these locations than at the UC location. However, in our case of MEB evaluation considering the inter-site SWE variability does not change the conclusion for 2 reasons: we have computed the SND statistical metrics for ISBA and MEB using the other measurements (i.e. not UC) and the results degrade slightly with about the same magnitude for both models. But perhaps more importantly, as the improvement due to the MEB option would remain the same because the average ablation date for the 3 obs only differs by 5 days maximum among the 3 measurements, and MEB improves the ablation by 2-3 weeks compared to ISBA (so we obtain the same dramatic improvement in ablation timing regardless of which of the 3 measures of SND we use).



26. Line 355 – 357: Is the difference in sublimation averaged across all model domains?

We hope that the previous answer should now clarify/answer this question. As explained, there is no notion of domain or area here as simulations are 1d. The sublimation calculated by the model corresponds to a quantity per square meter over the scale of a so-called parcel/field.

27. I would expect the ratio between in-, and under-canopy sublimation to be different as site characteristics vary. In fact, in lines 362 – 363, it looks like it does vary across the sites.

Indeed, it varies across the sites from 0.42 to 0.51 as mentioned line 379.

28. What is the ratio between “sublimation of the snowpack and total sublimation of snow” (lines 362 – 363)? Is this the ratio between the snow sublimated from the groundlayer versus the total (sublimated from the ground and canopy)?

Yes

29. If so, the average ratio here (0.45) seems to align with your 12%:27% split presented in the topic sentence.

Yes exactly, we found it matches fairly well with values (we) found in the literature (0.45). This is why we used it here and calculated the corresponding value for each site (0.42, 0.45, 0.51).

30. Line 365: I think Figure 6 is referenced before Figure 5.

You are right, the names of the figures have been exchanged.

31. Line 367: For consistency, "Table 5" should be "Tab. 5".

Corrected.

32. Lines 380 – 385: Is RMSE calculated only for periods where snow exists in 1) the observation? 2) either the observation or simulation? or 3) for the full 3-year period including snow-absence? I think this may have been answered in lines 430 – 440. If so, please move this up earlier.

The RMSE from the table have been modified and are now calculated using the data which correspond to a presence of snow in the observations. The legend of Tab. 8 is modified to avoid any doubt, also the sentence line 380 is rephrased to reflect that the score refers to the whole period and not the 3 years as was stated in the first manuscript version.

33. Line 500: Change "has" to "was" or "has been".

Corrected

34. Figure 1: There is no caption for this Figure. The layout for this figure may also be difficult for typesetting. Could these figures go beside each other with labels specifying the ISBA and ISBA-MEB frameworks?

The legend was hidden, this is now corrected. Also, the figures are now beside each other to follow the comment.

35. Figure 5 and Figure 9: The horizontal time axis represents an explicit date (as compared to an annual composite or average). Can "Time (Year)" be changed to an explicit date (e.g., Jul 01 through Jul 04)?

The explicit dates have been added to follow your recommendation in Figures 5 (now 6) and 9.

36. There are no references to Table 6 or Table 7 in the text.

References have been added in section 4.1.3

37. Figures 7, 8, 10, and 11. Label subplots (a, b, c, etc.) in accordance to references in the text and figure captions.

We added the labels on Figure 9 and 7 as we refer to it in the text. We left the two others unchanged and adapted the text to be consistent.

## **Referee #2**

1. Fig.1 legend is missing

The legend was hidden, this is now corrected

2. Fig.2 Which snow density was assumed ? Snow cover fraction is a function of snowdepth ( $D$ , m) and not Snow Water equivalent. Please indicate which density is used, or plot snow fraction as function of snow depth.

This is correct: the legend has been adapted to clearly mention that we considered a density of 200 kg m<sup>-3</sup> for the figure.

3. Line 288: "In order To" : "In order to"

Corrected

4. Line 367: *Defining last day of snow when  $SND < 0.2m$  and below that for the following two weeks. The mean annual cycle of snow depth in Figure 6 shows that ISBA simulations on average never reach 20cm of snow depth in the OAS site. In years when  $SND$  is always  $< 0.2$  how does this identification of last day of snow works in a simulation ? A value of 0.1 seems more reasonable. Would changing from 20 cm to 10 cm change significantly the metrics in Table 5 ?*

There is a mistake here, the threshold value used is 2cm and not 20cm as we wrote in the text. We tested higher values (3, 4 and 5 cm) and the results didn't really change the metrics of table 5. We apologize for this confusion and thank the reviewer for spotting this typographical error.

5. Line 377; *“Also, Fig. 5 seems to indicate that the snow density is well modeled since underestimation or overestimation of  $SND$  and  $SWE$  are consistent for both models.” This is true for OAS and OJP, but the OBS results in year 2 and 3 (Fig 5) indicate a reasonable performance of snow depth but a large underestimation of snow mass in year 2 and over-estimation in year 3 (also OJP in year 3). Could this be related with snow density errors linked with different winter conditions between year 2 and 3?*

Indeed, this sentence is less relevant for years 2 and 3 at the OBS site, even if over-estimation and under-estimation between  $SND$  and  $SWE$  are consistent. We added a sentence to soften the remark. The main goal of this response is essentially to point out that the weakness of the ISBA model or the improvement of the MEB model cannot be linked to a density issue that would come from the snow model.

We added a sentence to specify that more data would be necessary to accurately validate the  $SWE$  or modeled snow density (line 397).

6. Fig 7. *Missing panel names (a,b,c) which are used in the text (e.g. line 399). The 3rd datetick seems wrong “03/29/2006” should be “03/28/2006” ?*

Corrected

7. Line 437: *Suggest to remove “somewhat”*

Corrected

# ISBA-MEB (SURFEX v8.1): model snow evaluation for local-scale forest sites

Adrien Napoly<sup>1</sup>, Aaron Boone<sup>1</sup>, and Theo Welfringer<sup>2</sup>

<sup>1</sup>CNRM-Université de Toulouse, Météo-France/CNRS, Toulouse, France

<sup>2</sup>Direction Départementale de l'Isère, Grenoble, France

**Correspondence:** Adrien Napoly (adr.napoly@gmail.com)

**Abstract.** An accurate modeling of the effect of snow cover on the surface energy and mass fluxes is required from land surface models. The Interactions between Soil–Biosphere–Atmosphere (ISBA) model ~~adopts a default configuration using a~~ uses a composite soil-vegetation energy budget approach which is shown to have certain limitations for approach that has limitations when representing snow and soil phase change processes in areas of high vegetation cover since it does not explicitly represent the snow pack lying on the ground below the canopy. In particular, previous studies using ISBA have pointed out that the snowpack ablation tends to occur too early in the season in forest regions in the northern hemisphere. The multi-energy balance (MEB) version of ISBA has been developed recently, to a large degree, to address this issue. A vegetation layer, which is distinct from the soil, has been added to ISBA and new processes are now explicitly represented such as snow interception and an under-story litter layer. To evaluate the behavior of this new scheme in a cold forested region, long-term offline simulations have been performed for the three Berms forest sites located in Saskatchewan, Canada. It is shown that the new scheme leads to an improved energy budget representation, especially in terms of the ground and sensible heat fluxes, with decreases in RMSE of 77 and 18 %, respectively. A ~~consistent~~ positive impact for soil temperatures, consistent with the improvement of the ground heat flux, is obtained, particularly in terms of bias which is reduced from -6.2 to -0.1 K at a 10 cm soil depth on average for the three sites and 12 studied years. The impact of using MEB on the snowpack simulation is in a better agreement with observations during the snow season, especially ~~in terms of the time of ablation~~ concerning the last day of snow in the season: errors are on the order of 1 day averaged over the 3 sites and all of the years using MEB, which represents a reduction in error of 20 days compared to the composite scheme. The analysis shows that this improvement is mostly ~~cause~~ caused by the ability of MEB to represent a snowpack that nearly completely covers the soil below the canopy decouples the soil from the atmosphere while keeping a close coupling between the vegetation and the atmosphere.

## 20 1 Introduction

Forests cover approximately one third of world's land surface area, ~~and~~ one third of which consists ~~in boreal forest which corresponds to~~ of boreal forests in subarctic and cold continental climates. In these regions, snowpack can last more than half of the year and can modify the surface roughness, thermal and radiative properties, thereby having a significant impact on the fluxes of momentum, heat and water mass between the surface and the atmosphere or the soil. Vegetation canopy

25 processes in forests modulate the behaviour (accumulation and melting) of the snowpack on the ground. Notably, snowfall can be intercepted by the canopy leaves and branches where it can be sublimated or melted before unloading to the forest floor (Pomeroy et al., 1998, Storck et al., 2002 Bartlett et al., 2006). In addition, downwelling shortwave radiative fluxes are attenuated by the sheltering effect of the canopy (Harding and Pomeroy, 1996) while the longwave radiation reaching the below-canopy snow surface is generally enhanced compared to its atmospheric component due to longwave radiation emission  
30 by the canopy and trunks (Gouttevin et al., 2015; Todt et al., 2018). The snowpack constitutes a very efficient thermal insulating material that decreases the cooling of the soil compared to a snow-free surface (Zhang, 2005; Grundstein et al., 2005) which in turn can have a significant impact on soil freezing and thawing and thus on the permafrost depth (Stieglitz et al., 2003; Paquin and Sushama, 2015)

Land surface models (LSMs) seek to provide realistic simulations of snow evolution, which implies that they have the  
35 ability to represent the previously mentioned first-order processes. An accurate representation of the impact of snow cover on the energy and water balances is required for land surface reanalysis products, particularly in cold regions (Carrera et al., 2015) and for operational regional scale hydrological modeling for which snow-melt is a key driver of discharge (e.g.s Habets et al. 2008; Snow et al., 2016). In addition, more physically-based multi-layer snow schemes have been developed for operational numerical weather prediction (Dutra et al., 2010) including explicit forest canopy formulations (Yang et al., 2011), and such  
40 schemes have also been developed for climate modeling (e.g.s Oleson et al., 2010; Decharme et al., 2016).

The consensus of GCM predictions for the current century is that high latitude regions will continue to warm at an accelerated rate compared to other regions of the globe in large part owing to the positive snow albedo feedback (Flanner et al., 2011; Qu and Hall, 2014). This mechanism is considered to be a driver of the observed Arctic amplification of the current global warming (e.g.s Bony et al., 2006; Chapin et al., 2005; Serreze and Barry, 2011). But it is known that the spread in surface albedo feedback  
45 among different CMIP5 GCMs is particularly large in the boreal forest zone (Qu and Hall, 2014), and this is in large part owing to the representation of snow masking by vegetation (Thackeray et al., 2015). Thackeray et al. (2018) state that the main reason for the spread in surface albedo is owing to structural aspects of the LSMs (the representation of snowpack, the vegetation canopy and their interactions) rather than the parameter values used by these schemes.

In the 1990s, a series of Model Inter-comparison Projects (MIPs) were initiated in order to inter-compare and evaluate the  
50 LSM state of the art representation of cold season processes with the goal of determining which aspects of the schemes were affecting performance and causing model spread, and also to provide guidance for future model developments. Multiple MIPs at the local scale, for which detailed measurements of snow processes exist, have been done over the past 20 years, such as the Programme for Intercomparison of Land-Surface Parameterization Schemes (PILPS) Phase 2d (Slater et al., 2001), SnowMIP Phase 1 Etchevers et al. (2004) and Phase 2 (Essery et al., 2009; 2009, ~~Rutter et al.~~[Rutter et al., 2009](#)). The PILPS-Phase 2e  
55 experiment (Bowling et al., 2003) looked at the combined effect of multiple cold season processes at the regional scale over a Scandinavian catchment. The Rhone-AGGregation MIP (Boone et al., 2004) evaluated the snow depth simulations of an ensemble of LSMs at numerous observation sites in the French ~~Alpes~~[Alps](#): they determined that liquid water retention ([owing to a storage capacity in the snow and also possible refreezing of this liquid water](#)) was a key processes required for simulating the accurate timing and amount of snowmelt and thus discharge in a high alpine catchment. All of the aforementioned MIPs

60 used observation-based forcing as boundary conditions to the LSMs in offline (decoupled from the atmosphere) mode. Most recently, the Earth System Model Snow Model Intercomparison Project (ESM-SnowMIP: Krinner et al., 2018) extended the inter-comparison to the global scale and also in fully coupled GCM-LSM models. Note that in particular, SnowMIP2 and ESM-snowMIP evaluations highlighted the difficulties LSMs have to model snow in forested sites compared to open sites for a large number of LSMs.

65 In order to represent certain snow processes in forested regions ~~-(e.g.s the shielding effect of the canopy, the downwelling longwave enhancement or the fractional coverage of snow lying on the ground)~~, many LSMs have adopted an explicit representation of the vegetation canopy ~~as opposed to the composite schemes which consider the ground and the canopy as a unique entity~~. In the late 1980s and early 1990s, the so-called two-source energy budget method began to be implemented into GCMs. In this approach, the surface (which can consist in soil or snow, or a blend thereof) is distinct from the overlying bulk  
70 vegetation canopy, each computing their own fluxes and having explicit parameters. The first and most simplified version was proposed by Deardorff (1978). Based on that approach, Sellers et al. (1986) proposed one of the first comprehensive schemes for use in a GCM which inspired and still resembles many of the two-source LSMs in use today. Over time, more variations of this type of approach have emerged, such as LSMs using simplified treatments for certain processes such as radiative transfer and a significantly reduced number of input parameters (more easily adapted for use in ~~GGMs~~GCMs) while still retaining the  
75 overall explicit canopy (Xue et al., 1991). Some LSMs have further split the canopy into two layers (Saux-Picart et al., 2009) representing the over-story and under-story vegetation layers, or by separating the trunk from the vegetation canopy with a focus on including important long-wave radiative impacts which can be critical for below canopy snowpack evolution (Gouttevin et al., 2012). More recently, models have been developed using a multi-layer vegetation canopy for GCM applications (Ryder et al., 2016) with improvements to the explicit treatment of turbulence (Bonan et al., 2018).

80 The Interaction Soil-Biosphere-Atmosphère (ISBA) LSM is part of the platform SURFEX (SURFace EXternalisée: Externalized Surface) software platform being developed at Météo-France in collaboration with multiple international partners (Masson et al., 2013). SURFEX is used in operational systems such as numerical weather prediction within the global atmospheric model ARPEGE (Action de Recherche Petite Echelle Grande Echelle) operational at Météo France or the limited area model AROME (Seity et al., 2011) or hydrological and land surface analysis systems (Habets et al., 2008). It is also used  
85 within the CNRM-CM6 climate model (Decharme et al., 2019) which is ~~partiepating~~ participating in the Coupled Model Intercomparison Project phase 6 (CMIP6) project (Eyring et al., 2015). Several key ~~updates and~~ improvements have recently been made to ISBA ~~-, notably for cold season processes, such as which impact~~ the representation of ~~soil ice~~ cold season processes; soil water phase changes are governed using the Gibbs-free energy concept, liquid water and temperature ~~over multiple soil layers~~ -, an improved explicit snowpack including more layers and improved thermal conductiivty and albedo computaions and  
90 the inclusion of soil computations now extend over over more soil layers (resulting in a higher vertical resolution in the upper layers along with the ability to represent soil temperature for very deep soil layers), the explicit snowpack includes more layers enabling high resolution at both the atmosphere and soil interfaces and the thermal conductivity and albedo parameterizations have been improved, and finally, the soil can include soil organics (Decharme et al., 2016). Despite these improvements, ISBA still has difficulties simulating the snowpack evolution and soil temperatures for forested sites as evidenced by SnowMIP2. ~~In~~

95 ~~addition~~Along the same lines, Decharme et al. (2019) identified a precocious snowmelt over boreal forest regions by a global simulation which lead to a springtime peak of river discharge over all Arctic basins which was too early. This issue is related to the conceptual aspect of the composite model: the snowpack cannot be represented between the upper ground layer and the canopy so that a compromise has to be found, notably for the snow fraction calculation which results in a partial snow coverage in forests at all times, thus enabling an excessive direct coupling between the atmosphere and both the below-canopy ground and snowpack. The main effect is to cool the snowpack and especially the ground too much below dense forests.

100 For these reasons, the Multi Energy Balance (MEB, Boone et al., 2017) option was recently implemented within ISBA to allow an explicit and distinct representation of the upper ground and vegetation layers. Radiative transfer models for short and long wave radiation are improved, interception of snow by the canopy layer is added and turbulent fluxes formulation are adapted to the new design. A parameterization has also been added to model the litter on the ground (Napoly et al., 2017) which reduces soil evaporation and heat exchanges with the soil. The MEB option has been evaluated on a large number of local forest sites (Napoly et al., 2017), but little attention has been paid specifically to its impact on the simulation of snow until now.

110 In the current study, the ability of ISBA-MEB to model the snowpack is evaluated using data from the Boreal Ecosystem Research Study (BERMS) which covers a twelve year (01/01/1999 - 31/12/2010) observational period for three distinct (aspen, jack pine and black spruce dominated) Canadian forest sites (Bartlett et al., 2006). ~~The~~The motivation behind the selection of these sites is to study areas for which MEB has the dramatic impact in terms of reducing model bias. The current operational ISBA (single composite soil-vegetation scheme) is used as a reference model, so that the aim of this study is to highlight the performance of the new MEB option for the modeling of the snowpack and the related variables. After a presentation of the main contrasting characteristics of each model and a description of the forest sites, the two options are evaluated and compared using the data from the Berms sites with a focus on the modeling of certain key features of the snowpack ~~-Sensitivity-(e.g.s~~the timing and length of the melting period, the snow energy budget, and the impact on soil temperatures). Several sensitivity tests are also summarized, focusing on the most uncertain parameters of the new MEB option.

## 2 Model

120 The ISBA model is developed within the SURFEX platform (SURface EXternalisée, Masson et al. 2013) and version 8.1 is used in this study. There are multiple parameterization options available, notably those which govern soil thermal and hydrological fluxes, snowpack physics and the new explicit vegetation canopy and forest litter options. Note that ISBA within SURFEX includes the notion of explicit sub-grid patches which represent different types of plant functional types or land classes explicitly, but in the current study we use a single-patch representation (thus throughout the text, we refer to patch or grid cell interchangeably). The options most pertinent to the current study are described below.

## 125 2.1 ISBA : Default configuration

ISBA uses a so-called composite approach which is defined herein as using a single energy balance for the combined soil-vegetation surface Fig. 1a. The properties of soil and vegetation are aggregated depending on the fraction that vegetation occupies (*veg*) in the considered grid-cell (Noilhan and Planton, 1989 ; Noilhan and Mahfouf, 1996). The snow fraction is also used in the aggregation of properties of the surface. Its formulation, which is detailed in section 2.1.4 and plotted on Fig. 2, results from a compromise between an atmospheric and soil point of view and represent one of the significant limitation of the model. Since the inception of ISBA, many developments have been made to improve the representation of physical processes as the knowledge of key processes, the quality and spatial and temporal coverage and resolution of input datasets and the computing speed have improved. In this paper, we use the default ISBA configuration ~~for research studies which consists~~ in which is defined for the current study as:

- 135 – the soil water and energy transfers are simulated using the diffusive approach option (DIF) (Boone et al., 2000; Decharme et al., 2011) that uses multiple (here 12) layers to solve the Fourier and Darcy laws throughout the soil. The soil parameters are derived from soil texture using pedotransfer functions based on Clapp and Hornberger (1978) classification. The impact of soil organic carbon (SOC) on thermal and hydraulic properties is also used (Decharme et al., 2016)
- 140 – the parameterization of the stomatal resistance used to calculate the forest transpiration models the functional coupling between the stomatal resistance and the net assimilation of CO<sub>2</sub> (Ag-s, Calvet et al., 1998). An option to simulate the evolution of the leaf area index (LAI) prognostically is not activated in the current study since estimated values are available and thus imposed.
- 145 – the snowpack is modeled using a multi-layer physically-based explicit snow option (ES) which ~~was first developed by Boone and Etchevers (2001)~~ partitions the layers based on snow depth (Boone and Etchevers, 2001). Since that time, multiple improvements over the ensuing 15 years have been implemented and are described by Decharme et al. (2016). The key physical processes are briefly summarized in Section 2.1.3.

In the following section, we describe the aspects related to snow representation in the model that differ between the default version of ISBA and the new MEB option.

### 2.1.1 Energy budget

150 The energy budget equations for the composite surface soil-vegetation (hereafter simply referred to as the composite layer) and upper snow layer, are expressed as follows:

$$C_s \frac{dT_s}{dt} = (R_{net\ s} - LE_s - H_s)(1 - p_{sn}) + G_{ns} p_{sn} - G_{s,1} + L_f \Phi_{s,1} \quad (1)$$

$$C_{n,1} \frac{\partial T_{n,1}}{\partial t} = R_{net\ n} - H_n - LE_n - \tau_{n,1} SW_{net\ n} + \xi_{n,1} - G_{n,1} + L_f \Phi_{n,1} \quad (2)$$

where  $T_s$  (K) is the temperature of the composite surface and  $T_{n,1}$  (K) represents the temperature of the uppermost layer of snow.  $C_s$  and  $C_{n,1}$  ( $J\ K^{-1}\ m^{-2}$ ) are the effective heat capacities of the composite and upper snow layers, respectively. Both

budgets use a relatively thin layer (for soil and snow: on the order of several cm maximum) in order to be able to properly model the surface temperature diurnal cycle.  $R_{net\ s}$  and  $R_{net\ n}$  ( $\text{W m}^{-2}$ ) correspond to the net radiative fluxes for the soil and the snowpack, respectively. In the same way,  $LE_s$ ,  $LE_n$ ,  $H_s$  and  $H_n$  ( $\text{W m}^{-2}$ ) are the latent and sensible heat fluxes, respectively.  $G_{s,1}$  and  $G_{n,1}$  ( $\text{W m}^{-2}$ ) are the conductive fluxes from the composite and snow surface layers to the corresponding sub-surface layers, respectively. The conductive heat flux between the base of the snowpack and the composite layer is represented by  $G_{ns}$ . The effective heating (or cooling) rate of a snowpack layer caused by exchanges in enthalpy between the surface and sub-surface model layers when the vertical grid is reset (the snow model grid-layer thicknesses vary in time) is represented by  $\xi_{n,1}$ . Note that the integral of  $\xi_n$  over the entire snowpack depth is zero at the end of each time step. The phase change terms (freezing less melting, expressed in  $\text{kg m}^{-2} \text{ s}^{-1}$ ) are represented by  $\Phi_s$  and  $\Phi_n$  respectively, and  $L_f$  represents the latent heat of fusion ( $\text{J kg}^{-1}$ ).

The fraction of the soil-vegetation surface covered by snow is  $p_{sn}$ , thus the surface soil layer is in contact simultaneously with both the base of the snowpack and the atmosphere when  $p_{sn} < 1$  (which represents a critical difference with MEB which will be discussed further in a subsequent section). Also note that the budget in Eq. 2 is snow-relative: in order to obtain the total energy budget and the net fluxes for a patch containing snow, all of the terms in the snow energy budget are multiplied by  $p_{sn}$  and then added to Eq. 1. Several of the terms most critical to cold season processes in Eq.s 1-2 are described in more detail in the following sections.

### 2.1.2 Radiative Transfer

The surface net radiation of the soil-vegetation and snowpack are given by

$$R_{net} = p_{sn} (SW_{net\ n} + LW_{net\ n}) + (1 - p_{sn}) (SW_{net\ s} + LW_{net\ s}) \quad (3)$$

where  $SW$  and  $LW$  represent the short-wave and long-wave radiative flux components, respectively. Part of the incoming shortwave radiation received by the snowpack is transmitted through the uppermost snow layer, and this energy loss is expressed as  $\tau_{n,1} SW_{net\ n}$ , where  $\tau$  is a dimensionless transmission coefficient, where the snow surface net shortwave radiation is

$$SW_{net\ n} = \alpha_n SW \downarrow \quad (4)$$

where  $SW \downarrow$  is the atmospheric downwelling shortwave radiation. The transmission function is described in detail in Decharme et al. (2016). The total surface net shortwave radiation is defined using a so-called composite albedo defined as

$$\alpha_s = veg\alpha_v + (1 - veg)\alpha_g \quad (5)$$

The total surface effective albedo of the snow soil-vegetation composite surface ( $\alpha_{eff}$ ) is then defined by weighting the contribution of each surface:

$$\alpha_{eff} = p_{sn} \alpha_n + (1 - p_{sn}) \alpha_s \quad (6)$$

with  $\alpha_n$ ,  $\alpha_v$  and  $\alpha_g$  the snow, vegetation and ground albedos, respectively. Note that no explicit shortwave transmission through the canopy is modeled.

The net longwave radiation for either surface is defined as

$$LW_{net X} = \epsilon_X (LW \downarrow - \sigma T_{X,1}^4) \quad (7)$$

where  $X$  represents either  $s$  or  $n$ , and  $\sigma$  is the Stefan-Boltzman constant,  $LW \downarrow$  is the downwelling atmospheric radiation and  $\epsilon$  represents the emissivity. The effective emissivity ( $\epsilon_{eff}$ ) of the surface is then defined in a fashion analogous to the effective albedo as

$$\epsilon_{eff} = p_{sn}\epsilon_n + (1 - p_{sn})[veg\epsilon_v + (1 - veg)\epsilon_g] \quad (8)$$

with  $\epsilon_n$ ,  $\epsilon_v$  and  $\epsilon_g$  representing the snow, vegetation and ground emissivity, respectively. This effective emissivity is then used to compute the effective surface radiative temperature (from the explicitly computed upwelling longwave fluxes from the snow and composite surfaces) which is required by the longwave radiative scheme when coupled to an atmospheric model.

### 2.1.3 Snow processes

The snowpack model (ISBA-ES) is a multi-layer snow model of intermediate complexity (Boone and Etchevers, 2001; Decharme et al., 2016). The model current uses a default of 12 layers to model the physical processes involved in the snowpack such as solar energy absorption, compaction, snowmelt, water percolation and refreezing of meltwater. The snow albedo is based on a snow historical variable and considers up to 3 spectral bands. Readers are referred to the aforementioned references for more details.

### 2.1.4 The snow fraction

In the ISBA composite method, the effective fraction of the grid cell covered by snow ( $p_{sn}$ ) is the average between the fraction of snow covering the vegetation and the one covering the ground. It is calculated as:

$$p_{sn} = veg p_{snv} + (1 - veg) p_{sng} \quad (9)$$

$$p_{snv} = \min\left(1.0, \frac{D}{D + 2z_0}\right) \quad (10)$$

$$p_{sng} = \min\left(1.0, \frac{D}{D_g}\right) \quad (11)$$

where the  $p_{snv}$  and  $p_{sng}$  values correspond to snow fraction over the vegetation and the ground, respectively, and  $D$  is the total snow depth (m). Note that several options for the parameterizations of  $p_{snv}$  and  $p_{sng}$  exist in SURFEX, however for the current study, Eq.s 10-11 represent the default used with the [mult-layer-multi-layer](#) soil and snow schemes. There is no explicit canopy snow reservoir, thus only a masking effect of the vegetation cover is modeled. In order to avoid excessive bare soil evaporation, the default value of the  $veg$  parameter is 0.95 for forests (used herein),  $z_0$  (m) corresponds to the surface roughness which is calculated as 0.13 times the vegetation height and  $D_g$  (m) is a snow depth threshold set to 0.01 m (the default value). As a result, for a forest patch, the maximum value of  $p_{sn}$  reaches a maximum of approximately 0.2 for a forest height of 11 m (corresponding to one of the sites in the current study) as shown in Fig. 2. This implies that part of the soil-vegetation composite

surface is always in direct contact with the atmosphere regardless of the snow depth, thus the insulating effect of the snowpack is reduced in a forest compared to a bare or low-vegetation covered surface using the composite option. The reasoning for a parameterization resulting in a low  $p_{snv}$  value over forests represents a compromise between insulating the soil surface while not burying a forest which would result in an unrealistic coupling with the overlying atmosphere, notably in terms of the total upwelling shortwave radiative flux.

## 2.2 ISBA-MEB : Explicit Vegetation Canopy

The ISBA-MEB option treats up to three fully coupled distinct surface energy budgets (Fig. 1.b) which are: the snow surface, the bulk vegetation canopy and the ground, which is characterized in the current study as a litter layer (Napoly et al., 2017). The reader is referred to Boone et al. (2017) for an extended description of the various assumptions of the MEB approach, its full set of governing equations and its numerical aspects. Compared to the classic ISBA approach, there are two additional prognostic heat storage variables, which are the vegetation temperature,  $T_v$  and the litter temperature  $T_L$ . There are also three new hydrological prognostic variables; the snow liquid water equivalent intercepted by the vegetation canopy,  $W_{rn}$  ( $\text{kg m}^{-2}$ ), and the liquid and liquid water equivalent ice stored in the litter layer,  $W_l$  ( $\text{kg m}^{-2}$ )  $W_{li}$  ( $\text{kg.m}^{-2}$ ), respectively. Also, note that the vegetation fraction parameter used to aggregate soil and vegetation properties in the composite method,  $veg$ , is not used in ISBA-MEB since the canopy and soil properties are modeled explicitly. [Running the model with the MEB option add an extra cost of 6 %.](#)

### 2.2.1 Energy budget

The MEB coupled energy budget equations includes an additional energy budget for the bulk vegetation canopy, and in the current study, the additional litter energy budget equation is also included, which results in a modified upper boundary condition for the uppermost soil temperature. The new and modified energy budget equations are:

$$C_v \frac{\partial T_v}{\partial t} = R_{netv} - H_v - LE_v + L_f \Phi_v \quad (12)$$

$$C_l \frac{\partial T_l}{\partial t} = (1 - p_{sng})(R_{netl} - H_l - LE_l) + p_{sng}(G_{nl} + \tau_{n,N_n} SW_{netn}) - G_l + L_f \Phi_l \quad (13)$$

where  $T_v$  and  $T_l$  are the temperatures (K) of the bulk-vegetation and litter layers, respectively, while  $C_v$  and  $C_l$  correspond to the effective heat capacities ( $\text{J K}^{-1} \text{m}^{-2}$ ).  $R_{netv}$ ,  $R_{netl}$ ,  $H_v$ ,  $H_l$ ,  $LE_v$  and  $LE_l$  ( $\text{W m}^{-2}$ ) represent the same quantities as in Eq.s 1-2 but for the bulk vegetation and litter layers. Note that  $C_v$  includes the heat capacities of intercepted solid and liquid water. Note that the snow surface energy budget equation (Eq. 2) is unchanged, however, the definition of the net radiation term has.  $G_{nl}$  ( $\text{W m}^{-2}$ ) is the conductive heat flux between the lowest snow and the litter layer, and  $G_l$  ( $\text{W m}^{-2}$ ) is the conductive flux between the litter and the uppermost ground layer. Thus, in contrast to ISBA, the uppermost soil temperature in MEB is only modulated by conductive heat flux divergence and phase changes as

$$C_{g,1} \frac{\partial T_{g,1}}{\partial t} = G_l - G_{g,1} + L_f \Phi_{g,1} \quad (14)$$

where  $C_{g,1}$  represents the surface soil heat capacity (i.e. with no vegetation effects included). As in the equation of Section 2.1.1, water phase change terms,  $\Phi_v$  and  $\Phi_l$ , are included for the vegetation and the litter respectively.

### 2.2.2 Radiative transfer through the canopy

MEB represents the explicit radiative transfer through the vegetation for short-wave and long-wave fluxes using classical approaches and it is fully described in section 2.4.2. of Boone et al. (2017). A few key aspects which are pertinent to the current study are described herein. The model uses the classic representation of the canopy as plane parallel surface with a canopy absorption defined as:

$$\sigma_{LW} = 1 - \exp(-\tau_{LW}LAI) \quad (15)$$

where  $LAI$  corresponds to the leaf area index ( $\text{m}^2 \text{m}^{-2}$ ) and  $\tau_{LW}$  is a coefficient which is set to 0.4 as a default. The model results can be impacted by this parameter, and some sensitivity tests are presented in Section 4.2.1. Note that compared to the composite scheme, MEB increases the downwelling longwave radiation (towards the soil and snowpack) and thus the below-canopy net longwave radiation by including an emission from the canopy (which can be significantly larger than the atmospheric component in cold or dry climates such as in the current study).

The shortwave radiative transfer scheme is described in Carrer et al. (2013). It uses an explicit multi-layer computation that accounts for different characteristics of the vegetation such as the leaf area index, the clumping index, direct and diffuse radiation components, the thickness of the leaves and the zenith angle. The main outputs are the bulk-canopy reflected, transmitted and absorbed radiation components, and the corresponding photo-synthetically active radiation (PAR) used within the photosynthesis scheme. Compared to the composite scheme, the main impacts are that the downwelling radiation at the surface ground and snowpack is attenuated mainly as a function of the  $LAI$ . In addition, because the snowpack is generally below the canopy (for the forest heights considered in the current study), the up-welling shortwave radiation is generally significantly reduced compared to the composite scheme since the forest can effectively mask the surface. In the current study, the reflected shortwave radiation is merely a diagnostic, but in a coupled atmospheric model, the shortwave exchange can be significantly modified (the total upwelling shortwave radiation can be significantly reduced in Boreal forest zones: but exploring this impact is beyond the scope of the current study).

### 2.2.3 New and modified snow processes

Both the composite and MEB options are coupled to the identical version of the ES snow scheme. The impact of using the MEB option on snow processes compared to the composite version can be briefly summarized as:

- Only the snow fraction over the ground is considered ( $veg = 0$  in MEB) so that the snow fraction is simply defined as  $p_{sn} = p_{sn,g}$ . This implies that  $p_{sn}$  is generally much closer to unity for MEB than for ISBA in forests (based on Eq.s 9-11 and the discussion in Section 2.1.4). This implies a greater coverage of the ground by snow in MEB, while the canopy is totally exposed to the overlying atmosphere in MEB. Note that when snow depth becomes comparable with the height

of the vegetation (for example, for shrubs or grasses), another parameter, described in Boone et al. (2017) is introduced. However, it is not relevant in the current study for the forest heights considered herein.

- 280
- ~~In MEB, it is~~ An explicit canopy snow reservoir is considered in MEB, which includes interception, unloading, and both freezing of intercepted liquid water and melting of snow. It is based on Hedstrom and Pomeroy (1998) and the implementation in MEB is described in detail in Boone et al. (2017).
  - It is currently assumed that the impact of intercepted snow on the total canopy albedo is negligible. This is based on the results of Pomeroy and Dion (1996). They indicated that the scattering and multiple reflections of light due to intercepted snow, combined with the high probability for the reflected light to reach the underside of an overlying branch and leaves, implies that trees actually behave as light traps. They concluded that intercepted snow has no significant impact on the canopy shortwave albedo or on the net radiative exchange. Some models, such as ECHAMS, (Roesch et al., 2001), use a simple parametrization to consider the impact of intercepted snow on the total effective albedo. It mainly consists in considering an effective canopy albedo affected by the amount of snow in snow leaf reservoir.
  - The fluxes from the snowpack are calculated using the specific humidity and temperature of the so called “canopy” air space (Fig. 1.b) instead of the forcing “air” layer when using ISBA (Fig. 1.a). This permits some feedback between the surface and the atmosphere on the fluxes.
  - ~~In MEB, the~~ The below canopy wind speed which impacts the ground-based snowpack is reduced owing to the attenuation of the wind speed due to vegetation which would tend to reduce sublimation, however, the fractional coverage is generally considerably larger thus generally snowpack sublimation is increased. In addition, sublimation can also occur from intercepted snow.
  - ~~An explicit canopy snow reservoir is considered in MEB, which includes interception, unloading, and both freezing of intercepted liquid water and melting of snow.~~
- 285
- 290
- 295

### 3 Data

The BERMS program (Boreal Ecosystem Research and Monitoring Sites) sites are used in this study. The studied period ranges from 01, January 1999 at 00:00 to 31, December 2010 at 23:30 UTC, corresponding to twelve years of measurements. The three sites are located in Saskatchewan, Canada and are described in detail in Bartlett et al. (2006). Their distinguishing characteristics are listed up in Tab. 1 can they be briefly summarized as:

300

- OAS : This site is dominated by 21 m average-height Old ASpen which naturally regenerated after a fire in 1919. A 2 m height under-story composed mainly of hazelnut is present. The ground is characterized by (from the surface downward) an 8-10 cm layer of forest litter, a peat layer, and finally a sandy clay loam soil.
  - OBS : The Old Black Spruce is the dominant tree species of this site. Trees have an average height of 12 m. The ~~understorey~~ understory is comprised of shrubs and herbs, mosses and lichens, situated on sandy loam and sandy soil.
- 305

– OJP : The Old Jack Pine site is approximately 14 m high and is composed of a very sparse ~~understorey~~understory (alder, bearberry, cranberry and lichens), over a coarse sandy soil.

310 The full set of meteorological observations needed to force an LSM (downwelling all-wavelength solar and atmospheric radiation fluxes, air temperature and humidity, pressure, liquid and solid precipitation, and wind speed above the forest canopy) is available at half hourly time steps over the full period, along with data which enable a detailed description of the vegetation characteristics (such as *LAI*, albedo, see Tab. 2).

In order to evaluate the model performance, measurements of turbulent fluxes, upwelling short and longwave radiation, soil  
315 temperature and volumetric water content profiles are also available at a 30 minute time step. Snow depth was measured every 30 minutes during the duration of the ground-based snowpack. In addition, manual measurements of snow water equivalent were made up to six times per year. The observed shortwave radiation being transmitted through the canopy (reaching the ground or snowpack surface:  $SW_g$ ) was derived from the Photosynthetically Active Radiation (*PAR*). The data were filtered to account for measurement error due to a direct flux on the sensor around midday, which caused very high peaks. The filter is  
320 based on the surrounding three points, using a threshold:

$$\delta = \text{abs}[SW_g[i] - 0.5(SW_g[i - 1] + SW_g[i + 1])] \quad (16)$$

so that we reject the observation at  $i$  if  $\delta \geq 100 \text{ W m}^2$ . Note that this threshold is somewhat arbitrary because the anomalous peaks are quite large relative to the surrounding values and generally last one time step. Due to the lack of a frost and snow cleaning system on the PAR sensor, we did not use measurements corresponding to these conditions in the evaluation.

325 The energy balance closure for these sites has been calculated as:

$$\text{closure} = \frac{\overline{H + LE}}{\overline{R_{net} - G - S}} \quad (17)$$

where the overbar corresponds to averages over the study period. The storage and ground heat fluxes are not considered here as they were not measured. In addition, it was assumed that they are, on average, negligible compared to the net radiation when averaged over such a long period. The energy balance closure was 84, 91 and 90% for the OBS, OJP and OAS sites,  
330 respectively. This closure is deemed to be satisfactory for the analysis in the current study, especially with respect to the study of Wilson et al. (2002) which found an average closure of 80% over the Fluxnet network sites.

## 4 Results

~~For all~~All of the simulations ~~, options are one-dimensional and we assume that the forests are fairly homogeneous around the observation sites.~~ Options for the explicit multi-layer vertical soil heat and water transfer (DIF) and ground-based snowpack  
335 (ES) are used, along with the Ag-s stomatal resistance formulation (described in section 2), so that only the impact of the MEB option is evaluated. In the following, we will refer to the different experiments as: ‘MEB’ for the experiment using the new Multi Energy Balance option and ‘ISBA’ for the default experiment. To evaluate the new option, a statistical analysis is performed which is based on simulated fluxes, soil variables and the snowpack characteristics. Then, the study focuses

on some specific periods where snow plays a key role governing the surface and sub-surface processes. Finally, a sensitivity  
340 analysis is performed to test several new MEB parameters that are the most likely to influence the snow processes. Model input  
parameters have been chosen which correspond to site measurements where possible. For the remaining parameters, we use  
the physiographic database developed for SURFEX (ECOCLIMAP, Champeaux et al., 2005) and the soil parameters from the  
HWSD data-set (harmonized world soil database, Nachtergaele and Batjes, 2012). The main parameters are given in Tab. 2.

## 4.1 Evaluation

### 345 4.1.1 Energy Fluxes

One of the most critical fluxes in coupled land-atmosphere simulations over cold regions is the upwelling shortwave radiation,  
 $SW \uparrow$ . The simulated flux is relatively close between the two experiments and to the observations as shown in Fig. 3b and  
Fig. 4d,e,f, with averaged RMSE over all sites and years of 7.7 and 7.1  $W m^{-2}$  for MEB and ISBA, respectively (Tab. 3).  
Improving the modeling of the reflected solar radiation would mostly consist in improving the quality of the input parameters,  
350 i.e. albedos (visible and near infrared values for the soil and the vegetation) and  $LAI$ . At the deciduous OAS site, in winter,  
the  $LAI$  is low (about 1.0  $m^2 m^{-2}$ ) and the  $SW \uparrow$  is overestimated, notably in MEB. We suspect that a stem area index ( $SAI$ )  
should be explicitly considered to lower the effect of snow below the canopy on the effective albedo, especially on such a forest  
of 22 m height, consistent with results from Napoly et al. (2017). The solar radiation that passes through the canopy is only  
modeled with the new MEB option. When data were available, the simulation of the radiation that is transmitted through the  
355 canopy is rather well modeled (Fig. 3,a). Unfortunately, the quality of the data was not sufficient enough when  $LAI$  was low  
at the OAS deciduous site to confirm the assumption of the importance of including a  $SAI$ . In winter, solar radiation remains  
relatively low at this site and barely affects the surface energy balance so that this issue is not addressed for the moment.

The impact of MEB for the OBS site is the opposite to that at the OAS site. At this site, the  $LAI$  is relatively large (3.5  $m^2$   
 $m^{-2}$ , see Table 1). Thus, in MEB, the total effective surface albedo is approximately equal to the canopy albedo. In ISBA, there  
360 is always a fraction of snow visible to the overlaying atmosphere. Even though it is relatively low (from 10-20% as shown in  
Fig. 2), it can result in an overestimation of the total reflected shortwave radiation, especially when the snow is fresh and the  
snow albedo is relatively large compared to that of the vegetation. This effect is seen in Fig. 4e. The ISBA bias arises mainly  
from an over-estimation of the effective surface albedo in February and March (not shown).

Sensible heat flux ( $H$ ) is well simulated over the three sites with MEB compared to ISBA as shown in Figs 3d and 4g,h,i,  
365 with an average RMSE of 48.4  $W m^{-2}$  (respectively 58.9  $W m^{-2}$ ) and average BIAS of 4.1  $W m^{-2}$  (respectively -1.0  $W m^{-2}$ ).  
This result is consistent with Napoly et al. (2017) who showed that the large overestimation of the ground heat flux diurnal  
amplitude from ISBA, confirmed in this study (Fig. 3,f), results in a lack of energy in turbulent fluxes and mostly in  $H$  as  $LE$   
is limited, notably by the evaporative demand. This overestimation is largely decreased with MEB due to the shielding effect  
of the canopy (thereby reducing the net solar radiation at the below canopy surface) and the insulating effect of the explicit  
370 litter layer reduces the heat exchanges with the below-surface soil layers. During periods with snow cover, this improvement  
is even more marked due to the presence of the snowpack and is investigated in the next section.

Simulations are also improved for the latent heat flux ( $LE$ ) with an average RMSE of  $37.1 \text{ W m}^{-2}$  for MEB and  $47.3 \text{ W m}^{-2}$  for ISBA and an average BIAS of  $6.6 \text{ W m}^{-2}$  for MEB and  $9.9 \text{ W m}^{-2}$  for ISBA. The main differences appear during spring when ISBA tends to overestimate the total evapotranspiration mainly owing to an excessive soil evaporation (despite the fact that only a 5% soil fraction is prescribed (RMSE and bias are shown in Tab. 3 and Tab. 4, respectively)). The default  $veg$  value of 0.95 for forests has been tuned to avoid excess bare-soil evaporation in ISBA. In MEB, no tuned parameter is required to limit baresoil evaporation and it is generally lower than in ISBA owing to a lower surface roughness length (since the surface roughness of the soil is fixed to a few cm at most in MEB, but can be several 10s of cm in ISBA since the soil and vegetation properties are aggregated) and diminished wind speeds owing to the frictional effects of the canopy. In addition, the total annual bare-soil evaporation is further reduced in MEB over the seasonal cycle since the ablation is later. Finally, the litter layer also has an impact on reducing the ground evaporation and further explanation will be given in the next section.

The sublimation of snow represents 27% (12% from the snowpack itself and 15% from the intercepted snow by the canopy) of the total snowfall using MEB whereas it is only 2% with ISBA. This change occurs for essentially two reasons: (i) the snow fraction parameterization gives a low value of snow cover for ISBA compared to MEB (Fig 2) which weights the fluxes, (ii) with MEB, the interception of the snow by the canopy is explicitly considered and allows more sublimation. Even if no observations can confirm these differences, studies have estimated that in forests, sublimation might represent several 10's of percents of the annual snowfall (Pomeroy and Dion, 1996) and may exceed 30% (Montesi et al., 2004). More recently, Molotch et al. (2007) measured a ratio between sublimation of the snowpack and total sublimation of snow of 0.45 for a forest in Colorado (at 3000 m), which is quite close to the values of 0.42, 0.45 and 0.51 found here for the OJP, OBS and OAS sites, respectively.

#### 4.1.2 Snow

The 12-year average annual cycle of the snowpack evolution is shown in Fig.5 for the ISBA (blue curve) and default MEB (red solid line) simulations. The statistical scores calculated on the *last day of snow* when comparing simulation to measurements are shown in [Table Tab. 5](#). The *last day of snow* was defined using the two following conditions: (i) the first time when  $SND < 0.02 \text{ m}$  was identified, and (ii) the average  $SND$  over the ensuing 2 weeks remained below this threshold value. This simple criteria was found to determine the timing of the melt of the snowpack quite accurately without being mistaken with a possible late snow event. Also, this result is not very sensitive to the chosen threshold value: the idea is simply to eliminate short term snow cover events occurring after the main ablation. The average and standard deviation of the BIAS between modeled and observed *last day of snow* are shown in Tab. 5. With the ISBA option, snow melts on average 24 days too early. Using MEB leads to an improvement in the simulation of the fluxes shown in the previous section as well as the snowpack depth with an average RMSE of  $5.1-7.7 \text{ cm}$  and BIAS of  $0.5-0.4 \text{ cm}$  (Tab. 8). The most important effect appears in springtime when MEB simulates ablation later with an average BIAS in *last day of snow* of only 1 day (too early) averaged over the 3 sites and full time period. [In order to better illustrate the ability of the model to represent the snowpack below the canopy, Fig. 6 shows the total snow depth \( \$SND\$ \) and  \$SWE\$  evolution over fairly a representative period consisting in three consecutive years \(early 2001 to early 2004\).](#) The relatively less frequently-observed values of the snow water equivalent  $SWE$  allow a confirmation

of the good representation of the timing of snow melt. Also, Fig. 6 seems to indicate that the snow density is well modeled since underestimation or overestimation of  $SND$  and  $SWE$  are consistent for both models.

~~In order to better illustrate the ability of the model to represent the snowpack below the canopy, Fig. 6 shows the total snow depth ( $SND$ ) and~~ However,  $SWE$  evolution over three fairly representative years of the studied period (early 2001 to early 2004). The ISBA average snowpack is simulated with a RMSE of 9.1 ~~measurements are not numerous enough to accurately confirm this good behavior. Over the whole period of study, ISBA has an RMSE of 13.8 cm and BIAS of -1.6-4.0 cm (Tab. 8) during this period: the errors~~. Errors mainly arise because the melt of the snowpack occurs too early in the spring season and this behavior is consistent for all years studied. Fig. 7 displays different parameters at the OJP site from 03/25/2004 to 03/31/2004 which correspond to a melting period. The significant overestimation of the ISBA surface soil temperature fluctuations is obvious (Fig. 7,c) as it almost perfectly follows the temperature measured at 5 meters above the soil. Thus, it leads a large conductive heat flux between the soil and the snowpack (Fig. 7,d) on the order of several hundred  $W m^{-2}$ , which is unrealistic compared to net radiation (Fig. 7,e). This is explained by the relatively low fraction (10%, see Eq. 9) occupied by the snowpack for a forest in the composite model ISBA. This fraction allows the model to simulate a rather good effective total albedo (Fig. 3,c), but as a result, approximately 90% of soil is not shielded by snow and is strongly coupled to the atmospheric forcing.

As spring begins, the atmospheric temperature gets closer to  $0^{\circ} C$  and solar radiation starts to increase. With ISBA, the ground temperature can easily rise to over  $0^{\circ} C$  as the heat capacity of that layer is low and part of the ground surface is directly exposed to the atmosphere (again, owing to a relatively low  $p_{sn}$  value compared to MEB). Once the ground temperature exceeds  $0^{\circ} C$ , the conductive flux between the snow and the ground (Fig. 7,d) is negative, indicating that the ground is warming up the snowpack from below. The early melt of the snowpack in ISBA is thus due, in large part, to that energy received from the combined ground-vegetation layer (Fig. 7,c). In MEB, the insulation of the soil from the snowpack is total as the horizontal coverage of the snow is more realistic. The flux coming from the ground is very close to  $0 W m^{-2}$  (Fig. 7,d). Thus, the melt of the snowpack comes almost entirely from above (as the snow becomes thin, some solar energy can warm the ground below the snowpack thereby melting the snow from below using MEB also, but this effect tends to be quite small compared to melting induced by surface flux of heat into the snowpack). The net radiation (Fig. 7,e) received by the first layer of the snowpack is higher in MEB than in ISBA due to the longwave enhancement effect, and this causes the snowpack to melt at a speed more comparable to the measurements (Fig. 7,a).

A period before the ablation of the snowpack is shown in Fig. 8. Certain fluxes from the ISBA energy budget ( $H$  and  $G$ ) are quite different compared to observations. Indeed, because the snowpack does not cover the full grid, the available energy is used to warm up or cool down the surface soil temperature which provokes strong amplitude of  $G$  instead of being released to the atmosphere through  $H$ . With MEB, two prognostic temperatures (Fig. 1;b) are used,  $T_l$  for the surface litter, which barely varies in time and  $T_v$  for the exchanges with the atmosphere which is related to an explicit heat capacity of the vegetation (lower than the composite heat capacity of ISBA). These two temperatures, which are totally uncorrelated due to the snowpack which occupies the full surface of the ground, lead to a much improved modeling of energy fluxes.

### 440 4.1.3 Soil Temperature and Water Content

The overestimation of the ground heat flux amplitude by ISBA not only impacts energy exchanges with the atmosphere through  $H$  but also the soil temperatures. With the direct contact of about 90% of the composite layer with the atmosphere, the soil temperature at a depth of 10 cm calculated from ISBA can drop to below  $-20^{\circ}\text{C}$  in winter months (Fig. 9) whereas observed temperatures at this depth are only slightly negative: this feature is common for the three sites and during the entire period (results are shown here for 3 years for ease of visual inspection). This leads to a significant temperature BIAS averaged over the three sites and full time period at 10 cm depth of  $-2.9$  K and an RMSE of  $6.8$  K (Tab. 6 and 7). Owing to the insulating effect of the snowpack, MEB is much closer to observations with an average BIAS of  $0.1$  K and RMSE of  $2.0$  K.

In ISBA, the increased exposure to cold atmospheric conditions leads to a cold bias which extends to at least 1 m depth throughout the season (the 12-year average seasonal cycle of soil temperature for the three sites is shown in Fig. 10;b), which is the maximum depth of the soil temperature observations. This leads to significantly more soil freezing with depth during early winter. In spring, even after the snowpack ablation, the frozen water component remains significant in the deep soil layers. This cold bias is mainly owing to the under-estimated impact of the insulating effect of snow (a low  $p_{sn}$  in ISBA) Finally, note that even if the MEB-simulated soil temperatures warm a bit more slowly than the observations (as evidenced by the small delay and slight tilt of the annual temperature wave compared to the observations indicating a bit more inertia in MEB verses the observations), MEB provides a much improved soil temperature simulation.

The near surface (7.5 cm depth) modeled soil liquid water content (Fig. 9) agrees reasonably well with the observations for both versions of the model except for the OJP site. The overestimated values at this site are likely to be due to the definition of the soil characteristics which are defined based on soil texture information. A noticeable difference between the two models is that the water content curves are generally more flat with the MEB option in months outside of summer than ISBA which is in better agreement observations. Indeed, ISBA occasionally melts the entire snowpack erroneously as shown in Fig. 6, leading to short periods of ice melting and unrealistic peaks of liquid water content. The impact of changes in soil freezing between MEB and ISBA on drainage and runoff are thus expected in regional or global studies and this could further have an impact on the hydrological cycle (notably river flow) in such regions. This issue using ISBA was identified by Decharme et al. (2019), who found a precocious springtime peak of river discharge over all Arctic basins. Thus, it is anticipated that MEB should at least improve this bias in future large-scale hydrological studies using SURFEX in both coupled and offline modes.

## 4.2 Sensitivity tests

Several sensitivity tests were performed and the results are summarized here. The analysis focuses on three parameters and one process for which the values are considered to be uncertain and for which the snowpack is potentially sensitive. For each parameter, values were tested for each site over a range (either based on the literature or physical reasoning) and compared to the default value defined in Boone et al. (2017). Statistical scores were calculated only when snow was observed on the ground.

### 4.2.1 Canopy longwave radiation transmission

The  $\tau_{LW}$  parameter is an absorption coefficient which is used to calculate the  $LW$  radiation transmitted through the canopy (Eq. 15), and it weights the canopy emission to the soil and the atmosphere. The original default value of  $\tau_{LW}$  is 0.5 (Boone et al., 2017) and values from 0.1 to 1.0 using increments of 0.1 are tested in the current study. This range covers values based on a literature survey as discussed in the aforementioned reference, although 1.0 is quite large and is tested simply for numerical reasons. As  $\tau_{LW}$  increases, the canopy transmission decreases and the canopy emission increases (increasing the longwave radiation received by the snowpack). Fig. 11 shows the RMSE calculated for each value of this parameter over the 12-year period for each site for the identified 4 most impacted state variables and flux. The sensitivity to this parameter is relatively high, notably for low values. For each variable, errors are lowest for  $\tau_{LW}$  values in the range 0.3-0.4. For further increases in this parameter, the RMSE stabilizes for  $LW \uparrow$  and  $H$ , and starts to increase for  $SND$  and  $T_G$ . This behavior is consistent for all three sites (as shown). Thus, the value of 0.4, quite close to the default one, and it has been selected to be the new default value (and it has been used for the results presented in previous sections).

### 4.2.2 Litter Thickness

The litter thickness has been identified as a key parameter of MEB (Napoly et al., 2017). Indeed, it affects both the thermal and hydrological fluxes and state variables in the model since it's thickness modules the litter surface energy budget and it's water storage capacity. Its value can be very specific for a particular site and can evolve in time, and, in addition, values are hard to determine at a large scales. Its variation is generally in the range from 0.01 m to 0.10 m based on a literature survey shown in Napoly et al. (2017). In addition, this range has been selected for essentially two additional reasons: the first is that model tests have shown that results degrade for thicknesses below 0.01 m since the assumption of the existence of a continuous litter layer becomes physically dubious and if it is too thin, not to mention numerical issues can arise since the surface energy budget is computed within this layer. Second, when the layer exceeds approximately 0.10 m, the diurnal cycle is highly damped (to levels which are unrealistic): a multi-layer litter model would be preferable within the MEB model structure in this case. These two issues are discussed in more detail in Napoly et al. (2017): based on the aforementioned study, the MEB default value for litter thickness is constant in time and set to 0.03 m. However, in the current study, tests showed no significant sensitivity to this parameter during snow periods (<10% variation of RMSE of the tested values compared to the default value) on most of the state variables and total surface-atmosphere fluxes. Only the soil temperatures were found to be significantly impacted, with optimized RMSE values of 1-2 K obtained with litter thickness values at or above 0.06 m, instead of 3-4 K with 0.01 m. Note that these differences are essentially due to the initial state of the ground when the snow season begins since the litter effect is active during the entire year.

### 500 4.2.3 Roughness length for heat and water vapor

The ratio of the vegetation roughness lengths of momentum to heat (and vapor),  $r_{z0} = z_{0,v}/z_{0,vh}$ , which is a SURFEX input parameter which is used to diagnose the roughness for heat and vapor fluxes from the prescribed momentum roughness length,

$z_{0,v}$ , is tested. The lower  $r_{z0}$  is, the higher the turbulent fluxes become: in ISBA, the default value is  $r_{z0} = 10$  while it is  $r_{z0} = \exp(1)$  in MEB (Napoly et al., 2017) following Lo (1995) and Yang and Friedl (2003) who propose values more adapted for forest covers. The uncertainty associated with this parameter motivated this sensitivity test, and values from 1 to 10 were tested. Note that for certain local scale studies with ISBA, values in excess of 10 have been used, however, for current applications in hydrology and atmospheric modeling in SURFEX, the default of 10 is used so this is the limit used herein. As it turns out, the sensitivity to this parameter during the snow period is very low for the three studied sites with a maximum variation of 3 W in the RMSE in  $H$  and  $LW \uparrow$  compared to the results obtained using the default values, therefore in conclusion no modification was made.

#### 4.2.4 Snow interception

The snow interception parameterization in MEB is based on Hedstrom and Pomeroy (1998) and the implementation in MEB is described in detail in Boone et al. (2017). ~~To~~ This scheme is widely used in LSMs, however note that some alternatives exist which are significantly different (e.g.s Roesch et al., 2001). Therefore in the current study, we investigate the sensitivity of the ~~interception of snow by the canopy on the snowpack, the simulations were~~ results to this physical process using a rather radical test for which the simulations are repeated using MEB with the maximum interception storage set to zero, thereby effectively turning "off" the snow interception and loading parameterization. It was found that for these particular sites, the process of snow interception by the canopy vegetation has only a mild impact on the snowpack below the canopy and a fairly small impact on the fluxes to the atmosphere. The impact of removing the snow interception on simulated 12-year average annual cycle of snow depth is shown in Fig.5 in which the MEB simulation without this process is represented by the red-dashed curve. The RMSE of the simulated snow depth varies by less than 10% between this test and the default MEB simulation averaged over the 3 sites. The maximum snow peak is increased in average by 10% (3 cm) and the total  $LE$  is decreased by approximately 4% on average for all three sites resulting in small compensating increases in  $H$ . Perhaps most significantly, there is virtually no impact on the *last day of snow* score defined in section 4.1.2 which corresponds to the main difference (and improvement) when comparing MEB to ISBA. We conclude that even if snow interception is a key physical process in land surface schemes such as MEB (Rutter et al., 2009), it does not have much of an impact for the Berms sites in terms of the improvement of the snowpack modeling, although it does have a relatively large impact on the sublimation, but no specific observations of this flux are available for the sites herein. Therefore, we leave the parameterization with its default parameter values pending the results of future studies.

## 530 5 Conclusions

The impact of snow conditions on the surface fluxes and state variables simulated using the multi energy balance (MEB) option, which has been recently implemented in the ISBA LSM on the SURFEX platform, is evaluated in this study. The default representation of the surface energy balance in ISBA consists of a single composite soil-vegetation layer for which physical parameters for the two surfaces are weighted by a fraction of surface covered by vegetation. The new option improves

535 the representation of forests through the addition of two explicit layers: a bulk vegetation canopy and a forest surface litter  
layer. A new energy budget is computed for the bulk canopy, while the below canopy energy budget is computed for the  
litter layer. The evaluation has been carried out using twelve years of observations available from the three Berms (Boreal  
Ecosystem Research Study) experimental sites which have been used in numerous studies (e.g. Bartlett et al., 2006) and the  
recent ESM-SnowMIP intercomparison study (Krinner et al., 2018; Menard et al., 2020) and can be considered as a benchmark  
540 for evaluating LSMs simulating cold season processes for forested areas.

During periods without snow-cover, comparable results and conclusions from a previous study (Napoly et al., 2017) which  
compared ISBA with ISBA-MEB were confirmed. They can be summarized as: due to the shading effect of the canopy layer  
and the low thermal diffusivity of the litter layer, the ground heat flux daily amplitude is significantly reduced as well as soil  
temperatures daily amplitudes. The result is that the ground heat flux was found to be in much better agreement with the  
545 measurements (RMSE of  $47.1 \text{ W m}^{-2}$  with ISBA versus  $10.9 \text{ W m}^{-2}$  with MEB) over the entire 12-year integration period.  
The reduced energy used for conductive heat flux (note that net radiation is barely impacted between the two versions) tends to  
be manifested as concomitant increases in the daily peak sensible heat flux (RMSE of  $58.9 \text{ W m}^{-2}$  with ISBA and  $48.4 \text{ W m}^{-2}$   
with MEB) as the latent heat flux is limited by the evaporative demand. In spring, the latent heat flux is also improved mainly  
owing to more a limited contribution of the ground evaporation due to the addition of a litter layer (main effect), a decreased  
550 surface roughness, and lower wind speeds compared to ISBA. Available measurements of short wave radiation below the  
canopy also show the ability of MEB to model the radiative transfer through the canopy.

During snow periods, MEB provides an improved realism of the decoupling between the atmosphere and the ground below  
the snowpack. Since MEB has eliminated the fractional burying of the ground-based snowpack by the vegetation layer (the  
 $p_{snv}$  parameterization), the below canopy surface relies on  $p_{sng}$  uniquely and therefore the ground can be completely covered  
555 and insulated for a relatively shallow total snow accumulation (the default value is 0.10m, which was used in the current study).  
With the default composite version of ISBA, this decoupling cannot be represented as an effective snow fraction is calculated  
in the range of 10 to 20 %. Consequently, a large fraction of the surface is directly connected with the atmosphere and ground  
heat flux becomes large (negative directed downward) when the atmospheric temperature decreases. This leads to a strong  
unrealistic cooling of the soil with an average bias for the all sites, depths and years of  $-5^\circ\text{C}$  compared to  $-0.1^\circ\text{C}$  with MEB. In  
560 addition to the insulating effect of the litter, this improved representation of the ground heat flux provides energy to turbulent  
fluxes (and, again, mostly the sensible heat flux) which were underestimated. The average RMSE for the sensible heat flux  
calculated for snow periods drops from 54.9 to  $45.0 \text{ W m}^{-2}$ .

The improved soil temperature simulation (reduced cold bias) was also found throughout the soil column, and was confirmed  
by the observations which extended to a 1 m soil depth. It should be noted that this significantly impacts soil phase changes  
565 in the model and thus, for example, the modeling of the permafrost in forest regions within large-scale coupled-atmospheric  
or hydrological simulations. This aspect is the subject of current research and is beyond the scope of this study, but here  
we note that ISBA coupled to a river routing scheme tends to simulate a river discharge peak owing to springtime thaw and  
snow melt in historical offline simulations north of  $50^\circ \text{ N}$  too early in comparison to observations and this is attributed to a  
precocious snow melt and soil thaw in Boreal forest regions Decharme et al. (2019).

570 The impact of the explicit vegetation canopy and litter layer on the snowpack simulation is significant. In general, the snow  
depth is improved with MEB. The average RMSE calculated for all sites and years is 5.1 cm for MEB while with ISBA it is  
9.1 cm. This is due to a general better agreement during the entire season but, in particular, from a better representation during  
the melting period. Indeed, the snow melts, on average, 24 days too early with ISBA, while the melt occurs only one day  
575 early with MEB. This mainly arises in ISBA due to the more direct coupling of the ground with the atmosphere. When the air  
temperature increases above freezing, the composite layer temperature also warms thereby heating the snowpack from below  
and provoking melt. In MEB, the snowpack occupies the whole fraction of ground so that it can only melt from its surface  
due to a positive energy balance excess. In addition, because of the lower fractional coverage of snow in ISBA, sublimation  
represents only 2 % of the total snowfall loss, while it represents approximately 27 % when using MEB. About half of this  
580 quantity corresponds to snow intercepted by the canopy, the other half is directly sublimated from the snowpack. While there  
are no direct estimations of sublimation available at the Berms sites, it is found that these values correspond well with the total  
sublimation and partitioning values quoted in the literature for forested sites.

Two hydrological impacts are to be expected with the MEB option. First, the soil does not freeze as deep or as long. With  
ISBA, the deep soil can be frozen to well below 1 m depth more than half of the year, while the depth of the 0 C isotherm  
and the soil water frozen fraction are both considerably less with MEB. At a soil depth of 1 m, on average over all sites and  
585 years, the daily temperature falls bellow 0° C 33 days in the observations, while it is simulated as 35 days per year with MEB  
compared to 188 days with ISBA. This effect tends to cause ISBA to have a later peak in total runoff. It will be studied in  
global runs coupled with a hydrological model in the near future.

It should be noted that when doing local scale uncoupled studies with ISBA, the default  $p_{snv}$  parameterization can be  
changed such that it rapidly reaches unity after a few cm of snow-cover has developed. The result is a simulation which is more  
590 consistent with MEB in terms of soil temperature and snowpack duration. However, such a configuration can not be used in  
coupled (with an atmospheric model) mode since there would be a huge positive bias in the upwelling shortwave radiation,  
notably in Spring, thereby potentially having an impact on the simulated high latitude radiative feedback (which is important  
to simulate correctly for climate change prediction). In contrast, if the standard  $p_{snv}$  parameterization is used in ISBA (similar  
to the coupled configuration), ISBA tends to produce the effects cited herein. There, MEB has removed this inconsistency.

595 For application of MEB in spatially distributed applications, such as for NWP or hydrological forecasting, such parameters  
are referred to as "primary parameters" are generally fixed or prescribed from look-up tables based upon land-use classification  
or plant functional types (set in the SURFEX physiographic database ECOCLIMAP): thus care must be taken to define values  
and explore model sensitivity. This is in contrast to so-called "secondary parameters" which are derived based upon input  
primary parameters or input physiographic data (such as *LAI*, soil texture, etc.). As it turns out, the model showed significant  
600 sensitivity to only one parameter among the 3 tested, which was the long-wave radiation transmission coefficient. In this study,  
a slightly lower value of 0.4 was finally chosen compared to the default value of 0.5 from (Boone et al., 2017). The default  
values of the other parameters from the aforementioned study were unchanged as a result of this study.

Work is currently underway to use MEB within ISBA for forest covers in many of the applications using SURFEX. For  
regional (covering France) high resolution (8 km grid) hydrological and surface state forecasting and analysis, Météo-France

605 uses the SURFEX-ISBA-MODCOU hydrometeorological model version 2 (SIM2: P. Le Moigne et al., 2020) and MEB is being tested for future implementation. There are also preparations underway to use MEB in the coupled SURFEX-CTRIIP system in both offline mode and coupled to CNRM-CM (Decharme et al., 2019). Work is also underway [at the recently instrumented Col de Porte forest site \(Lejeune et al., 2019, Helbig et al., 2020\)](#) to use MEB coupled to the detailed snow process model CROCUS (Vionnet et al., 2012), which is used for, among many applications and fundamental research, operational avalanche prediction  
610 for French mountain areas. [This is also a way to test the model in a relatively warm and wet climate which is typical of the French Alps and very different from the Berms sites.](#) There are longer term plans to use MEB in the operational regional and global meteorological prediction models AROME and ARPEGE, respectively. Thus, we will continue to evaluate MEB from the local scale (in order to study processes in detail), up to global scales in both offline and coupled land-atmosphere-hydrology model platforms.

615 *Code availability.* The MEB code is a part of the ISBA LSM and is available as open source via the surface modeling platform SURFEX, which can be downloaded at <http://www.cnrm-game-meteo.fr/surfex/>. The developments presented in this paper are available starting with SURFEX version 8.1.

*Author contributions.* AB and AN have contributed to the development and improvement of the MEB code. TW and AN performed the simulations and evaluations by comparing the model results with the experimental data. All authors contributed to writing the text.

620 *Competing interests.* No competing interests are present in this study

## References

- Bartlett, P., Mackay, M., and Verseghy, D.: Modified snow algorithms in the Canadian Land Surface Scheme: Model runs and sensitivity analysis at three boreal forest stands, *Atmosphere-Ocean*, 44, 207–222, 2006.
- Bonan, G. B., Patton, E. G., Harman, I. N., Oleson, K. W., Finnigan, J. J., Lu, Y., , and Burakowski, E. A.: Modeling canopy-induced turbulence in the Earth system: a unified parameterization of turbulent exchange within plant canopies and the roughness sublayer (CLM-ml v0), *Geosci. Model Dev.*, 11, 1467–1496, <https://doi.org/10.5194/gmd-11-1467-2018>, 2018.
- 625 Bony, S., Colman, R., Kattsov, V. M., Allan, R. P., Bretherton, C. S., Dufresne, J. L., Hall, A., Hallegatte, S., Holland, M. M., Ingram, W., Randall, D. A., Soden, B. J., Tselioudis, G., G., , and Webb, M. J.: How well do we understand and evaluate climate change feedback processes?, *J. Climate*, 19, 3445–3482, <https://doi.org/10.1175/JCLI3819.1>, 2006.
- 630 Boone, A., Habets, F., Noilhan, J., Clark, D., Dirmeyer, P., Fox, S., Gusev, Y., Haddeland, I., Koster, R., Lohmann, D., Mahanama, S., Mitchell, K., Nasonova, O., Niu, G.-Y., Pitman, A., Polcher, J., Shmakin, A. B., Tanaka, K., van den Hurk, B., Vérant, S., Verseghy, D., Viterbo, P., and Yang, Z.-L.: The Rhone-Aggregation Land Surface Scheme Intercomparison Project: An Overview, *J. Climate*, 17, 187–208, [https://doi.org/10.1175/1520-0442\(2004\)017<0187:TRLSSI>2.0.CO;2](https://doi.org/10.1175/1520-0442(2004)017<0187:TRLSSI>2.0.CO;2), 2004.
- Boone, A. and Etchevers, P.: An intercomparison of three snow schemes of varying complexity coupled to the same land-surface model: Local scale evaluation at an Alpine site, *J. Hydrometeor.*, 2, 374–394, 2001.
- 635 Boone, A., Masson, V., Meyers, T., and Noilhan, J.: he influence of the inclusion of soil freezing on simulations by a soil-vegetation-atmosphere transfer scheme, *J. Appl. Meteor.*, 9, 1544–1569, 2000.
- Boone, A., Samuelsson, P., Gollvik, S., Napoly, A., Jarlan, L., Brun, E., and Decharme, B.: The Interactions between Soil-Biosphere-Atmosphere (ISBA) land surface model with a multi-energy balance (MEB) option in SURFEXv8 - Part 1: Model description, *Geosci. Model Dev.*, 10, 1–30, <https://doi.org/10.5194/gmd-10-1-2017>, 2017.
- 640 Bowling, L. C., Lettenmaier, D. P., Nijssen, B., Graham, L. P., Clark, D. B., Maayar, M. E., Essery, R., Goers, S., Gusev, Y. M., Habets, F., Hurk, B. V. D., Jin, J., Kahan, D., Lohmann, D., Ma, X., Mahanama, S., Mocko, D., Nasonova, O., Niu, G. Y., Samuelsson, P., Shmakin, A. B., Takata, K., Verseghy, D., Viterbo, P., Xia, Y., Xue, Y., and Yang, Z. L.: Simulation of high-latitude hydrological processes in the Torne-Kalix basin: PILPS Phase 2(e) 1: Experiment description and summary intercomparisons, *Global Planet. Change*, 38, 1–30, [https://doi.org/10.1016/S0921-8181\(03\)00003-1](https://doi.org/10.1016/S0921-8181(03)00003-1), 2003.
- 645 Calvet, J.-C., Noilhan, J., Roujean, J.-L., Bessemoulin, P., Cabelguenne, M., Olioso, A., and Wigneron, J.-P.: An interactive vegetation SVAT model tested against data from six contrasting sites, *Agr. For. Meteorol.*, 92, 73–95, 1998.
- Carrer, D., Roujean, J.-L., Lafont, S., Calvet, J.-C., Boone, A., Decharme, B., Delire, C., and Gastellu-Etchegorry, J.-P.: A canopy radiative transfer scheme with explicit FAPAR for the interactive vegetation model ISBA-A-gs: Impact on carbon fluxes, *Journal of Geophysical Research*, 118, 888–903, 2013.
- 650 Carrera, M. L., Bélair, S., and Bilodeau, B.: The Canadian Land Data Assimilation System (CaLDAS): Description and Synthetic Evaluation Study, *J. Hydrometeor.*, 16, 1293–1314, <https://doi.org/10.1175/JHM-D-14-0089.1>, 2015.
- Champeaux, J., Masson, V., and Chauvin, F.: ECOCLIMAP: a global database of land surface parameters at 1 km resolution, *Meteorological Applications: A journal of forecasting, practical applications, training techniques and modelling*, 12, 29–32, 2005.
- 655 Chapin, F. S., Sturm, M., Serreze, M. C., Mcfadden, J. P., Key, J. R., Lloyd, A. H., McGuire, A. D., Rupp, T. S., S., T., , and Lynch, A. H.: Role of Land-Surface Changes in Arctic Summer Warming, *Science*, 310, 657–660, <https://doi.org/10.1126/science.1117368>, 2005.
- Clapp, R. and Hornberger, G.: Empirical equations for some soil hydraulic properties, *Water Resour. Res.*, 14, 601–604, 1978.

- Deardorff, J. W.: Efficient prediction of ground surface temperature and moisture, with inclusion of a layer of vegetation, *J. Geophys. Res.*, 83, 1889–1903, 1978.
- 660 Decharme, B., Boone, A., Delire, C., and Noilhan, J.: Local evaluation of the Interaction between Soil Biosphere Atmosphere soil multilayer diffusion scheme using four pedotransfer functions, *J. Geophys. Res.*, 116, <https://doi.org/10.1029/2011JD016002>, 2011.
- Decharme, B., Brun, E., Boone, A., Delire, C., Moigne, P. L., and Morin, S.: Impacts of snowpack properties and soil organic carbon content on characteristics and soil temperature profiles simulated by the ISBA land surface model, *Cryosphere*, 10, 853–877, <https://doi.org/10.5194/tc-10-853-2016>, 2016.
- 665 Decharme, B., Delire, C., Minvielle, M., Colin, J., Vergnes, J.-P., Alias, A., Saint-Martin, D., Séférian, R., Sénési, S., and Voldoire, A.: Recent Changes in the ISBA-CTRIP Land Surface System for Use in the CNRM-CM6 Climate Model and in Global Off-Line Hydrological Applications, *Journal of Advances in Modeling Earth Systems*, 2019.
- Dutra, E., Balsamo, G., Viterbo, P., Miranda, P. M. A., Beljaars, A., Schär, C., and Elder, K.: An improved snow scheme for the ecmwf land surface model: Description and offline validation, *J. Hydrometeorol.*, 11, 899–916, <https://doi.org/10.1175/2010JHM1249.1>, 2010.
- 670 Essery, R., Rutter, N., Pomeroy, J., Baxter, R., Stähli, M., Gustafsson, D., Barr, A., Bartlett, P., and Elder, K.: SNOWMIP2: An Evaluation of Forest Snow Process Simulations, *B. Am. Meteorol. Soc.*, 90, 1120–1135, <https://doi.org/10.1175/2009BAMS2629.1>, 2009.
- Etchevers, P., Martin, E., Brown, R., Fierz, C., Lejeune, Y., Bazile, E., Boone, E., Dai, Y.-J., Essery, R., Fernandez, A., et al.: Validation of the energy budget of an alpine snowpack simulated by several snow models (Snow MIP project), *Annals of Glaciology*, 38, 150–158, 2004.
- 675 Eyring, V., Bony, S., Meehl, G., Senior, C., Stevens, B., Stouffer, R. J., and Taylor, K. E.: Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation, *Geosci. Model Dev.*, 8, 10 539–10 583, <https://doi.org/10.5194/gmdd-8-10539-2015>, 2015.
- Flanner, M. G., Shell, K. M., Barlage, M., Perovich, D. K., and Tschudi, M.: Radiative forcing and albedo feedback from the Northern Hemisphere cryosphere between 1979 and 2008, *Nature Geoscience*, 4, 151, 2011.
- 680 Gouttevin, I., Menegoz, M., Dominé, F., Krinner, G., Koven, C., Ciais, P., Tarnocai, C., and Boike, J.: How the insulating properties of snow affect soil carbon distribution in the continental pan-Arctic area, *J. Geophys. Res.-Biogeo.*, 117, 1–11, <https://doi.org/10.1029/2011JG001916>, 2012.
- Gouttevin, I., Lehning, M., Jonas, T., Gustafsson, D., and Molder, M.: A two-layer canopy model with thermal inertia for an improved snowpack energy balance below needleleaf forest (model SNOWPACK, version 3.2. 1, revision 741), 2015.
- 685 Grundstein, A., Todhunter, P., and Mote, T.: Snowpack control over the thermal offset of air and soil temperatures in eastern North Dakota, *Geophys. Res. Lett.*, 32, <https://doi.org/10.1029/2005GL022532>, 2005.
- Habets, F., Boone, A., Champeaux, J., Etchevers, P., Franchisteguy, L., Leblois, E., Ledoux, E., Moigne, P. L., Martin, E., Morel, S., Noilhan, J., Segui, P. Q., Rousset-Regimbeau, F., and Viennot, P.: The SAFRAN-ISBA-MODCOU hydrometeorological model applied over France, *J. Geophys. Res.*, 113, D06 113, <https://doi.org/10.1029/2007JD008548>, 2008.
- 690 Harding, R. and Pomeroy, J.: The energy balance of the winter boreal landscape, *Journal of Climate*, 9, 2778–2787, 1996.
- Hedstrom, N. R. and Pomeroy, J. W.: Measurements and modelling of snow interception in the boreal forest, *Hydrol. Process.*, 12, 1611–1625, 1998.
- Helbig, N., Moeser, D., Teich, M., Vincent, L., Lejeune, Y., Sicart, J.-E., and Monnet, J.-M.: Snow processes in mountain forests: interception modeling for coarse-scale applications., *Hydrol. Earth Syst. Sci.*, 24, 2545–2560, <https://doi.org/10.5194/hess-24-2545-2020>, 2020.

- 695 Krinner, G., Derksen, C., Essery, R., Flanner, M., Hagemann, S., Clark, M., Hall, A., Rott, H., Brutel-Vuilmet, C., Kim, H., Ménard, C. B.,  
Mudryk, L., Thackeray, C., Wang, L., Arduini, G., Balsamo, G., Bartlett, P., Boike, J., Boone, A., Chéruy, F., Colin, J., Cuntz, M., Dai, Y.,  
Decharme, B., Derry, J., Ducharne, A., Dutra, E., Fang, X., Fierz, C., Ghattas, J., Gusev, Y., Haverd, V., Kontu, A., Lafaysse, M., Law, R.,  
Lawrence, D., Li, W., Marke, T., Marks, D., Ménégoz, M., Nasonova, O., Nitta, T., Niwano, M., Pomeroy, J., Raleigh, M. S., Schaedler,  
G., Semenov, V., Smirnova, T. G., Stacke, T., Strasser, U., Svenson, S., Turkov, D., Wang, T., Wever, N., Yuan, H., Zhou, W., and Zhu, D.:
- 700 ESM-SnowMIP: assessing snow models and quantifying snow-related climate feedbacks, *Geosci. Model Dev.*, 11, 5027–5049, 2018.
- Lejeune, Y., Dumont, M., Panel, J.-M., Lafaysse, M., Lapalus, P., Le Gac, E., Lesaffre, B., and Morin, S.: 57 years (1960–2017) of snow and  
meteorological observations from a mid-altitude mountain site (Col de Porte, France, 1325 m of altitude), *Earth System Science Data*, 11,  
71–88, <https://doi.org/10.5194/essd-11-71-2019>, 2019.
- Lo, A. K.-F.: Determination of zero-plane displacement and roughness length of a forest canopy using profiles of limited height, *Boundary-*  
705 *layer meteorology*, 75, 381–402, 1995.
- Masson, V., Moigne, P. L., Martin, E., Faroux, S., Alias, A., Alkama, R., Belamari, S., Barbu, A., Boone, A., Bouysse, F., Brousseau, P.,  
Brun, E., Calvet, J.-C., Carrer, D., Decharme, B., Delire, C., Donier, S., Khatib, R. E., Essaoui2, K., Gibelin, A.-L., Giordani, H., Habets,  
F., Jidane, M., Kerdraon, G., Kourzeneva, E., Lafont, S., Lebeau-pin, C., Lemonsu, A., Mahfouf, J.-F., Marguinaud, P., Muktari, M., Morin,  
S., Pigeon, G., Salgado, R., Seity, Y., Taillefer, F., Tanguy, G., Tulet, P., Vincendon, B., Vionnet, V., and Voldoire, A.: The SURFEXv7.2  
710 land and ocean surface platform for coupled or offline simulation of Earth surface variables and fluxes, *Geosci. Model Dev.*, 6, 929–960,  
<https://doi.org/10.5194/gmd-6-929-2013>, 2013.
- Menard, C., Essery, R., Arduini, G., Bartlett, P., Boone, A., Brutel-Vuilmet, C., Burke, E., Colin, J., Cuntz, M., Dai, Y., Decharme, B., Dutra,  
E., Fang, L., Fierz, C., Gusev, Y., Hagemann, S., Haverd, V., Kim, H., Krinner, G., Lafaysse, M., Marke, T., Nasonova, O., Nitta, T.,  
Niwano, M., Pomeroy, J., Schaedler, G., Semenov, V., Smirnova, T., Strasser, U., Swenson, S., Turkov, D., Wever, N., , and Yuan, H.:
- 715 Disentangling scientific from human errors in a snow model intercomparison, *Bull. Amer. Meteor. Soc.*, (submitted), 2020.
- Molotch, N. P., Blanken, P. D., Williams, M. W., Turnipseed, A. A., Monson, R. K., and Margulis, S. A.: Estimating sublimation of intercepted  
and sub-canopy snow using eddy covariance systems, *Hydrological Processes: An International Journal*, 21, 1567–1575, 2007.
- Montesi, J., Elder, K., Schmidt, R., and Davis, R. E.: Sublimation of intercepted snow within a subalpine forest canopy at two elevations,  
*Journal of Hydrometeorology*, 5, 763–773, 2004.
- 720 Nachtergaele, F. and Batjes, N.: Harmonized world soil database, FAO Rome, Italy, 2012.
- Napoly, A., Boone, A., Samuelsson, P., Gollvik, S., Martin, E., Seferian, R., Carrer, D., Decharme, B., and Jarlan, L.: The Interactions between  
Soil-Biosphere-Atmosphere (ISBA) land surface model Multi-Energy Balance (MEB) option in SURFEX - Part 2: Model evaluation for  
local scale forest sites, *Geosci. Model Dev.*, 10, 1621–1644, <https://doi.org/10.5194/gmd-10-1621-2017>, 2017.
- Noilhan, J. and Mahfouf, J.-F.: The ISBA land surface parameterisation scheme, *Global Planet. Change*, 13, 145–159, 1996.
- 725 Noilhan, J. and Planton, S.: A simple parameterization of land surface processes for meteorological models, *Mon. Wea. Rev.*, 117, 536–549,  
1989.
- Oleson, K. W., Lawrence, D. M., Bonan, G. B., Flanner, M. G., Kluzek, E., Lawrence, P. J., Levis, S., Swenson, S. C., P. E. Thornton,  
A. D. A., Decker, M., Dickinson, R., Feddema, J., C. L. Heald, F. H., Lamarque, J. F., Mahowald, N., Niu, G. Y., Qian, T., Randerson,  
J., Running, S., Sakaguchi, K., A. Slater, R. S., Wang, A., L., Z., and Zeng, X.: Technical Description of version 4.0 of the Com-  
730 munity Land Model (CLM), NCAR Technical Note TN-478+STR, NCAR, NCAR, P.O. Box 3000, Boulder, CO, USA, 80307-3000,  
<https://doi.org/10.5065/D6FB50WZ>, 2010.

- P. Le Moigne, P., Besson, F., Martin, E., Boé, J., Decharme, B., Etchevers, P., Faroux, S., Habets, F., Lafaysse, M., Leroux, D., Rousset-Regimbeau, F., and Boone, A.: The latest improvements with SURFEX v8.0 of the Safran-Isba-Modcou hydrometeorological model for France, *Geosci. Model Dev.*, 2020.
- 735 Paquin, J.-P. and Sushama, L.: On the Arctic near-surface permafrost and climate sensitivities to soil and snow model formulations in climate models, *Clim. Dynam.*, 44, 203–228, <https://doi.org/10.1007/s00382-014-2185-6>, 2015.
- Pomeroy, J., Parviainen, J., Hedstrom, N., and Gray, D.: Coupled modelling of forest snow interception and sublimation, *Hydrological processes*, 12, 2317–2337, 1998.
- Pomeroy, J. W. and Dion, K.: Winter radiation extinction and reflection in a boreal pine canopy: measurements and modelling, *Hydrol. Process.*, 10, 1591–1608, 1996.
- 740 Qu, X. and Hall, A.: On the persistent spread in snow-albedo feedback, *Clim. Dyn.*, 42, 69–81, <https://doi.org/10.1007/s00382-013-1774-0>, 2014.
- Roesch, A., Wild, M., Gilgen, H., and Ohmura, A.: A new snow cover fraction parametrization for the ECHAM4 GCM, *Climate Dynamics*, 17, 933–946, 2001.
- 745 Rutter, N., Essery, R., Pomeroy, J., Altimir, N., Andreadis, K., Baker, I., Barr, A., Bartlett, P., Boone, A., Deng, H., Douville, H., Dutra, E., Elder, K., Ellis, C., Feng, X., Gelfan, A., Goodbody, A., Gusev, Y., Gustafsson, D., Hellström, R., Hirabayashi, Y., Hirota, T., Jonas, T., Koren, V., Kuragina, A., Lettenmaier, D., Li, W.-P., Luce, C., Martin, E., Nasonova, O., Pumpanen, J., Pyles, R. D., Samuelsson, P., Sandells, M., Schädler, G., Shmakin, A., Smirnova, T. G., Stähli, M., Stöckli, R., Strasser, U., Su, H., Suzuki, K., Takata, K., Tanaka, K., Thompson, E., Vesala, T., Viterbo, P., Wiltshire, A., Xia, K., Xue, Y., and Yamazaki, T.: Evaluation of forest snow processes models (SnowMIP2), *J. Geophys. Res.*, 114, D06 111, <https://doi.org/10.1029/2008JD011063>, 2009.
- 750 Ryder, J., Polcher, J., Peylin, P., Otlé, C., Chen, Y., van Gorsel, E., Haverd, V., McGrath, M. J., Naudts, K., Otto, J., Valade, A., and Luysaert, S.: A multi-layer land surface energy budget model for implicit coupling with global atmospheric simulations, *Geosci. Model Dev.*, 9, 223–245, <https://doi.org/10.5194/gmd-9-223-2016>, 2016.
- Saux-Picart, S., Otlé, C., Perrier, A., Decharme, B., Coudert, B., Zribi, M., Boulain, N., Cappelaere, B., and Ramier, D.: SETHyS\_Savannah: A multiple source land surface model applied to Sahelian landscapes, *Agricultural and Forest Meteorology*, 149, 1421–1432, 2009.
- 755 Seity, Y., Brousseau, P., Malardel, S., Hello, G., Bénard, P., Bouttier, F., Lac, C., and Masson, V.: The AROME-France convective-scale operational model, *Monthly Weather Review*, 139, 976–991, 2011.
- Sellers, P. J., Mintz, Y., Sud, Y. C., and Dalcher, A.: A Simple Biosphere Model (SiB) for use within General Circulation Models, *J. Atmos. Sci.*, 43, 505–531, 1986.
- 760 Serreze, M. C. and Barry, R. G.: Processes and impacts of Arctic amplification: A research synthesis, *Global Planet. Change*, 77, 85–96, <https://doi.org/10.1016/j.gloplacha.2011.03.004>, 2011.
- Slater, A. G., Schlosser, C. A., Desborough, C. E., Pitman, A. J., Henderson-Sellers, A., Robock, A., Vinnikov, K. Y., Entin, J., Mitchell, K., Chen, F., Boone, A., Etchevers, P., Habets, F., Noilhan, J., Braden, H., Cox, P. M., de Rosnay, P., Dickinson, R. E., Yang, Z.-L., Dai, Y.-J., Zeng, Q., Duan, Q., Koren, V., Schaake, S., Gedney, M., Gusev, Y. M., Nasonova, O. N., Kim, J., Kowalczyk, E. A., Shmakin, A. B.,
- 765 Smirnova, T. G., Verseghy, D., Wetzell, P., and Xue, Y.: The Representation of Snow in Land Surface Schemes: Results from PILPS 2(d), *J. Hydrometeorol.*, 2, 7–25, [https://doi.org/10.1175/1525-7541\(2001\)002<0007:TROSIL>2.0.CO;2](https://doi.org/10.1175/1525-7541(2001)002<0007:TROSIL>2.0.CO;2), 2001.
- Snow, A. D., Christensen, S. D., Swain, N. R., Nelson, E. J., Ames, D. P., Jones, N. L., Ding, D., Noman, N. S., David, C. H., Pappenberger, F., and Zsoter, E.: A High-Resolution National-Scale Hydrologic Forecast System from a Global Ensemble Land Surface Model, *J. Am. Water Resour. As.*, 52, 950–964, <https://doi.org/10.1111/1752-1688.12434>, 2016.

- 770 Stieglitz, M., Déry, S., Romanovsky, V., and Osterkamp, T.: The role of snow cover in the warming of arctic permafrost, *Geophysical Research Letters*, 30, 2003.
- Storck, P., Lettenmaier, D. P., and Bolton, S. M.: Measurement of snow interception and canopy effects on snow accumulation and melt in a mountainous maritime climate, Oregon, United States, *Water Resources Research*, 38, 5–1, 2002.
- Thackeray, C. W., Fletcher, C. G., and Derksen, C.: Quantifying the skill of CMIP5 models in simulating seasonal albedo and snow cover evolution, *J. Geophys. Res.*, 120, 5831–5849, <https://doi.org/10.1002/2015JD023325>, 2015.
- 775 Thackeray, C. W., Qu, X., and Hall, A.: Why do models produce spread in snow albedo feedback, *Geophys. Res. Lett.*, 45, 6223–6231, <https://doi.org/10.1029/2018GL078493>, 2018.
- Todt, M., Rutter, N., Fletcher, C., Wake, L., Bartlett, P., Jonas, T., Kropp, H., Lorant, M., and Webster, C.: Simulation of longwave enhancement in boreal and montane forests, *Journal of Geophysical Research: Atmospheres*, 123, 13–731, 2018.
- 780 Twine, T. E., Kustas, W., Norman, J., Cook, D., Houser, P., Meyers, T., Prueger, J., Starks, P., and Wesely, M.: Correcting eddy-covariance flux underestimates over a grassland, *Agr. For. Meteorol.*, 103, 279–300, 2000.
- Vionnet, V., Brun, E., Morin, S., Boone, A., Faroux, S., Moigne, P. L., Martin, E., and Willemet, J.-M.: Crocus/SURFEX :Implementation of the detailed snowpack model Crocus in SURFEX v7, *Geosci. Model Dev.*, 5, 773–791, 2012.
- Wilson, K., Goldstein, A., Falge, E., Aubinet, M., Baldocchi, D., Berbigier, P., Bernhofer, C., Ceulemans, R., Dolman, H., Field, Chris Grelle, A., Ibrom, A., Law, B., Kowalski, A., Meyers, T., Moncrieff, J., Monson, R., Oechel, W., Tenhunen, J., Valentini, R., and Verma, S.: Energy balance closure at FLUXNET sites, *Agr. For. Meteorol.*, 113, 223–243, 2002.
- 785 Xue, Y., Sellers, P. J., Kinter, J. L., and Shukla, J.: A simplified Biosphere Model for Global Climate Studies, *J. Climate*, 4, 345–364, 1991.
- Yang, R. and Friedl, M. A.: Determination of roughness lengths for heat and momentum over Boreal forests, *Bound.-Lay. Meteorol.*, 107, 581–603, 2003.
- 790 Yang, Z.-L., Niu, G.-Y., Mitchell, K. E., Chen, F., Ek, M. B., Barlage, M., Longuevergne, L., Manning, K., Niyogi, D., Tewari, M., and Xia, Y.: The community Noah land surface model with multi-parameterization options (Noah-MP): 2. Evaluation over global river basins, *J. Geophys. Res.*, 116, <https://doi.org/10.1029/2010JD015140>, 2011.
- Zhang, T.: Influence of the seasonal snow cover on the ground thermal regime: An overview, *Reviews of Geophysics*, 43, 2005.

**Table 1.** Main physical characteristics of the sites.

Site	OBS	OJP	OAS
Forest type	Black Spruce	Jack Pine	Aspen
Location (°)	53.99N, 105.12W	53.92N, 104.69W	53.63N, 106.2W
Canopy height (m)	11	13	21
LAI (m <sup>2</sup> .m <sup>-2</sup> )	<del>3.5</del> 3.5-3.8	2.5-2.6	winter = 1 & summer = 3.7-5.2
Snow Free Albedo (-)	0.08	0.11	0.14

**Table 2.** Model characteristics prescribed for the three different sites.

Site	OBS	OJP	OAS	Source
vegtype type	5 : BNE	5 : BNE	16 : BBCDS	ECOCLIMAP
Vegetation fraction (ISBA only)	0.95	0.95	0.95	ECOCLIMAP
LAI (m <sup>2</sup> .m <sup>-2</sup> )	3.65	2.55	1.0-4.9	Measurements
Vegetation albedo, NIR (-)	0.12	0.18	0.26	Measurements
Vegetation albedo, VIS (-)	0.04	0.04	0.06	Measurements
Soil albedo, NIR (-)	0.17	0.17	0.17	ECOCLIMAP
Soil albedo, VIS (-)	0.07	0.07	0.07	ECOCLIMAP
Root depth (m)	1	1	1	ECOCLIMAP
Ground depth (m)	2	2	2	ECOCLIMAP
Elevation (m)	629	579	600	Measurements
Temperature / Humidity measurement height (m)	25	28	27	-
Wind measurement height (m)	26	29	38	-
Sand (%)	0.58	0.92	0.58	Measurements
Clay (%)	0.1	0.03	0.27	Measurements
Soil Organic Carbon TOP (%) (0 - 30 cm) (kg.m <sup>-2</sup> )	18.81	18.75	21.55	HSWD
Soil Organic Carbon SUB (%) (30 - 70 cm) (kg.m <sup>-2</sup> )	44.30	44.42	52.18	HSWD

**Table 3.** RMSE for the ISBA-MEB and ISBA experiments for fluxes SWUP, LWUP, H, LE and G calculated over half hourly data.

RMSE ( $W\ m^{-2}$ ) (MEB / ISBA)	OBS	OJP	OAS	Period
<i>SW</i> ↑	5.6 / 5.8	5.7 / 6.4	11.8 / 9.0	Full Period
	6.1 / 6.9	6.2 / 6.5	12.5 / 8.1	Snow Period
<i>LW</i> ↑	6.1 / 6.7	5.5 / 5.6	7.4 / 5.6	Full Period
	6.7 / 7.0	5.2 / 6.1	7.4 / 5.2	Snow Period
<i>H</i>	47.1 / 57.0	49.4 / 65.9	48.7 / 53.7	Full Period
	43.1 / 53.7	46.2 / 60.1	46.1 / 50.8	Snow Period
<i>LE</i>	35.9 / 48.8	37.5 / 48.4	37.8 / 44.7	Full Period
	25.9 / 34.2	24.5 / 30.1	33.0 / 38.7	Snow Period
<i>G</i>	No data	10.9 / 47.1	No data	Full Period
		5.9 / 50.1		Snow Period

**Table 4.** BIAS for the ISBA-MEB and ISBA experiments for fluxes SWUP, LWUP, H, LE and G calculated over half hourly data..

BIAS ( $\text{W m}^{-2}$ ) (MEB / ISBA)	OBS	OJP	OAS	Period
$SW \uparrow$	-2.1 / 0.1	-1.4 / 0.7	2.8 / 1.7	Full Period
	-2.3 / 0.4	-2.0 / 0.6	3.5 / 0.8	Snow Period
$LW \uparrow$	0.5 / 0.7	0.8 / 1.3	-2.1 / -0.4	Full Period
	0.2 / 1.3	1.0 / 2.6	-2.8 / 0.1	Snow Period
$H$	7.9 / 0.4	-1.6 / -6.0	6.0 / 2.6	Full Period
	6.8 / 4.6	1.9 / 4.5	5.0 / 5.1	Snow Period
$LE$	7.8 / 12.4	8.4 / 11.4	3.6 / 5.8	Full Period
	4.0 / 4.6	3.6 / 3.2	2.9 / 3.5	Snow Period
$G$	No data	0.2 / 1.1	No data	Full Period
		-0.2 / -3.6		Snow Period

**Table 5.** average and standard deviation of the BIAS between model and observations of the last day of snow expressed in number of days. The OAS site has only six years of snow observations compared to nine for both the OBS and OJP

	OBS	OJP	OAS
MEB	$-1.5 \pm 3.8$	$4.5 \pm 5.5$	$-6.2 \pm 11.4$
ISBA	$-25.0 \pm 12.1$	$-20.7 \pm 7.4$	$-26.7 \pm 4.0$

**Table 6.** RMSE for the ISBA-MEB and ISBA experiments for soil temperature at 5, 20 and 100 cm calculated over half hourly data.

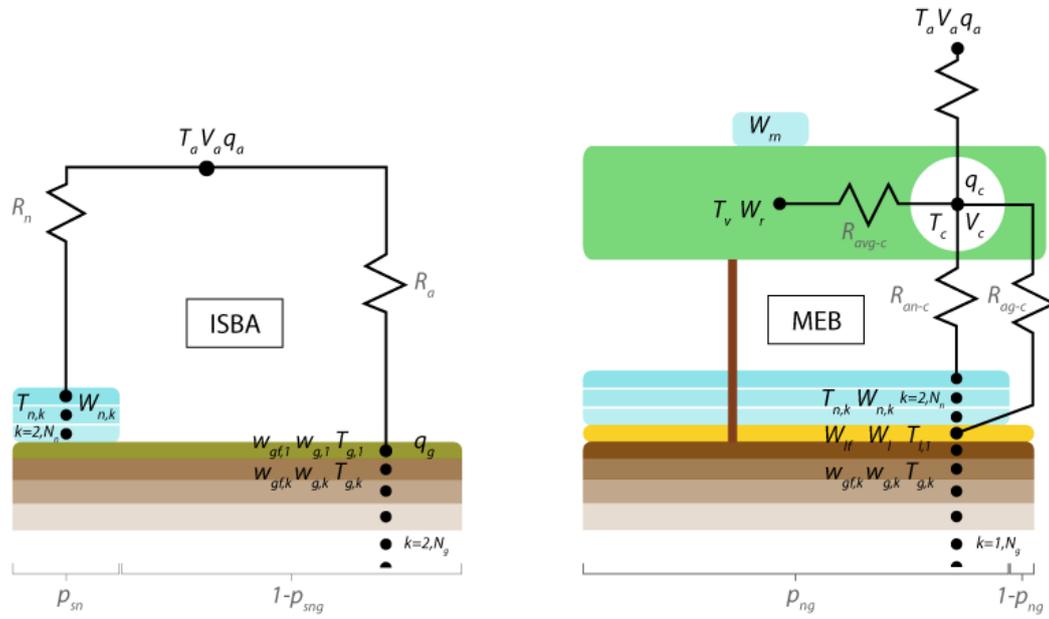
RMSE (K) (MEB / ISBA)	OBS	OJP	OAS	Period
5 cm	3.3 / 9.2	2.0 / 7.7	2.2 / 8.2	Full Period
	2.1 / 11.0	1.9 / 9.8	2.3 / 9.5	Snow Period
20 cm	3.3 / 8.1	1.5 / 6.3	1.8 / 7.0	Full Period
	2.2 / 10.1	1.7 / 8.3	1.9 / 8.2	Snow Period
100 cm	1.4 / 4.3	1.1 / 5.1	1.0 / 5.0	Full Period
	0.7 / 5.1	0.9 / 4.8	0.9 / 4.9	Snow Period

**Table 7.** BIAS for the ISBA-MEB and ISBA experiments for soil temperature at 5, 20 and 100 cm calculated over half hourly data.

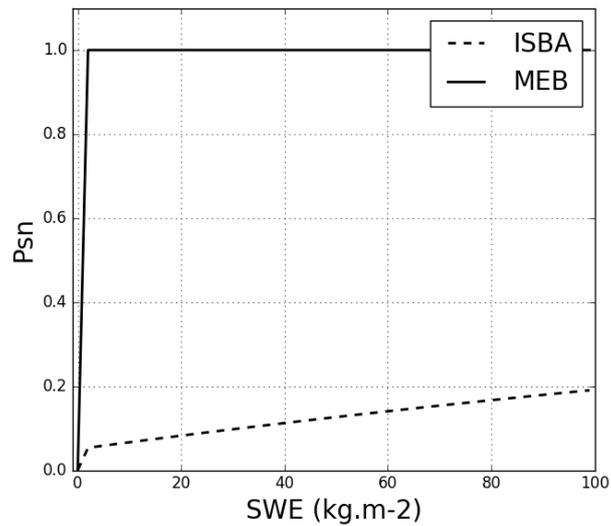
BIAS (K) (MEB / ISBA)	OBS	OJP	OAS	Period
5 cm	1.1 / -2.4	0.3 / -3.4	-0.5 / -3.8	Full Period
	-0.1 / -6.8	0.7 / -6.2	-0.9 / -5.5	Snow Period
20 cm	1.0 / -2.9	0.2 / -3.8	-0.6 / -4.1	Full Period
	-0.4 / -6.9	0.6 / -6.0	-0.9 / -5.5	Snow Period
100 cm	0.7 / 3.8	-0.2 / -4.6	-0.7 / -4.7	Full Period
	0.1 / -4.5	0.5 / -4.3	-0.7 / -4.6	Snow Period

**Table 8.** RMSE and BIAS for the ISBA-MEB and ISBA experiments for snow depth calculated over half hourly data. The RMSE and BIAS for the ISBA-MEB and ISBA experiments for snow depth calculated using half hourly values for which snow is present in the measurements.

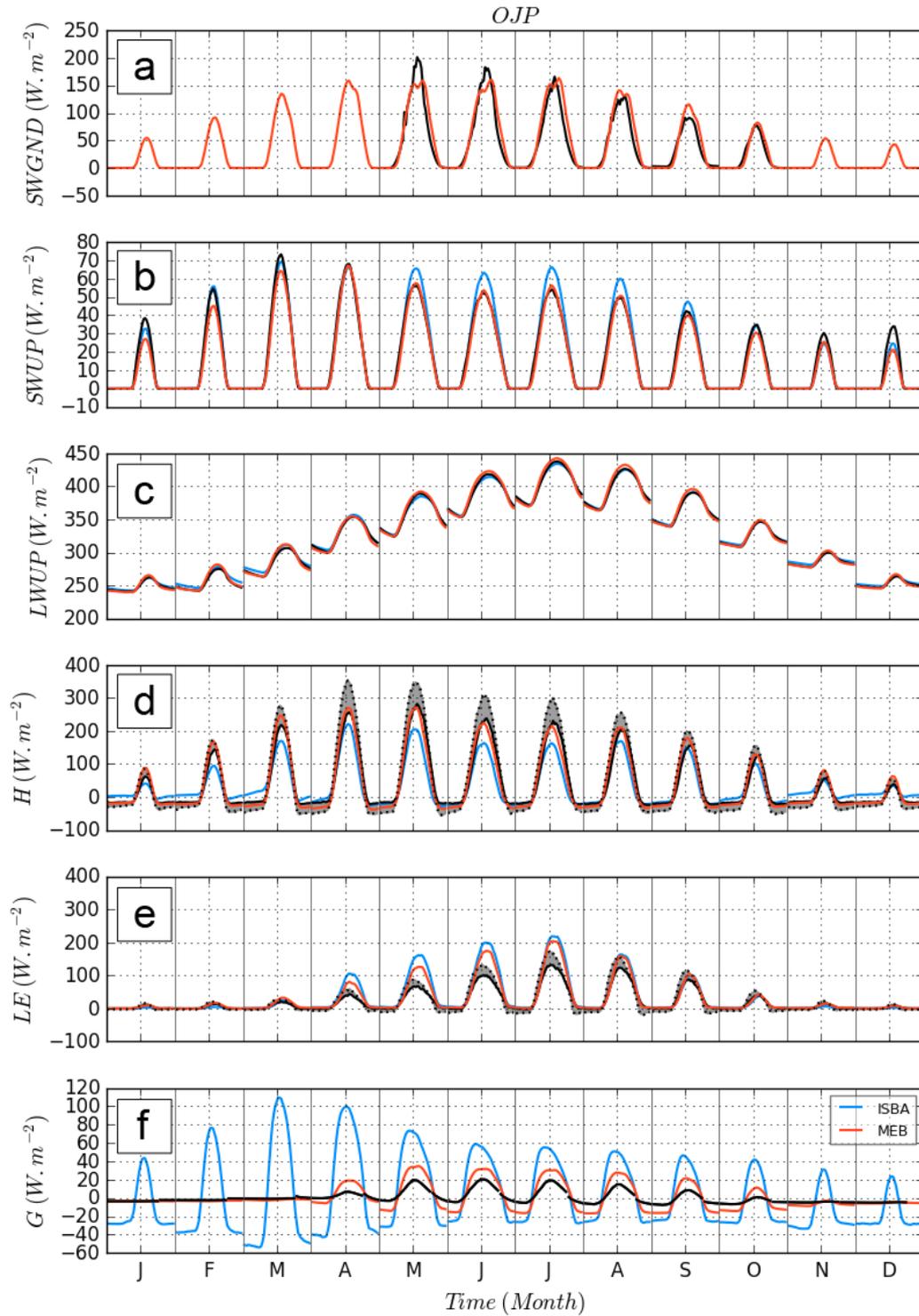
(MEB / ISBA)	OBS	OJP	OAS
RMSE (cm)	<u>3.7</u> <u>5.6</u> / <u>8.6</u> <u>13.0</u>	<u>4.5</u> <u>6.6</u> / <u>8.3</u> <u>12.7</u>	<u>7.2</u> <u>10.9</u> / <u>10.4</u> <u>15.7</u>
BIAS (cm)	<u>0.0</u> <u>-0.4</u> / <u>-2.0</u> <u>-4.7</u>	<u>1.5</u> <u>2.8</u> / <u>-0.9</u> <u>-2.1</u>	<u>0.1</u> <u>-1.2</u> / <u>-2.0</u> <u>-5.4</u>



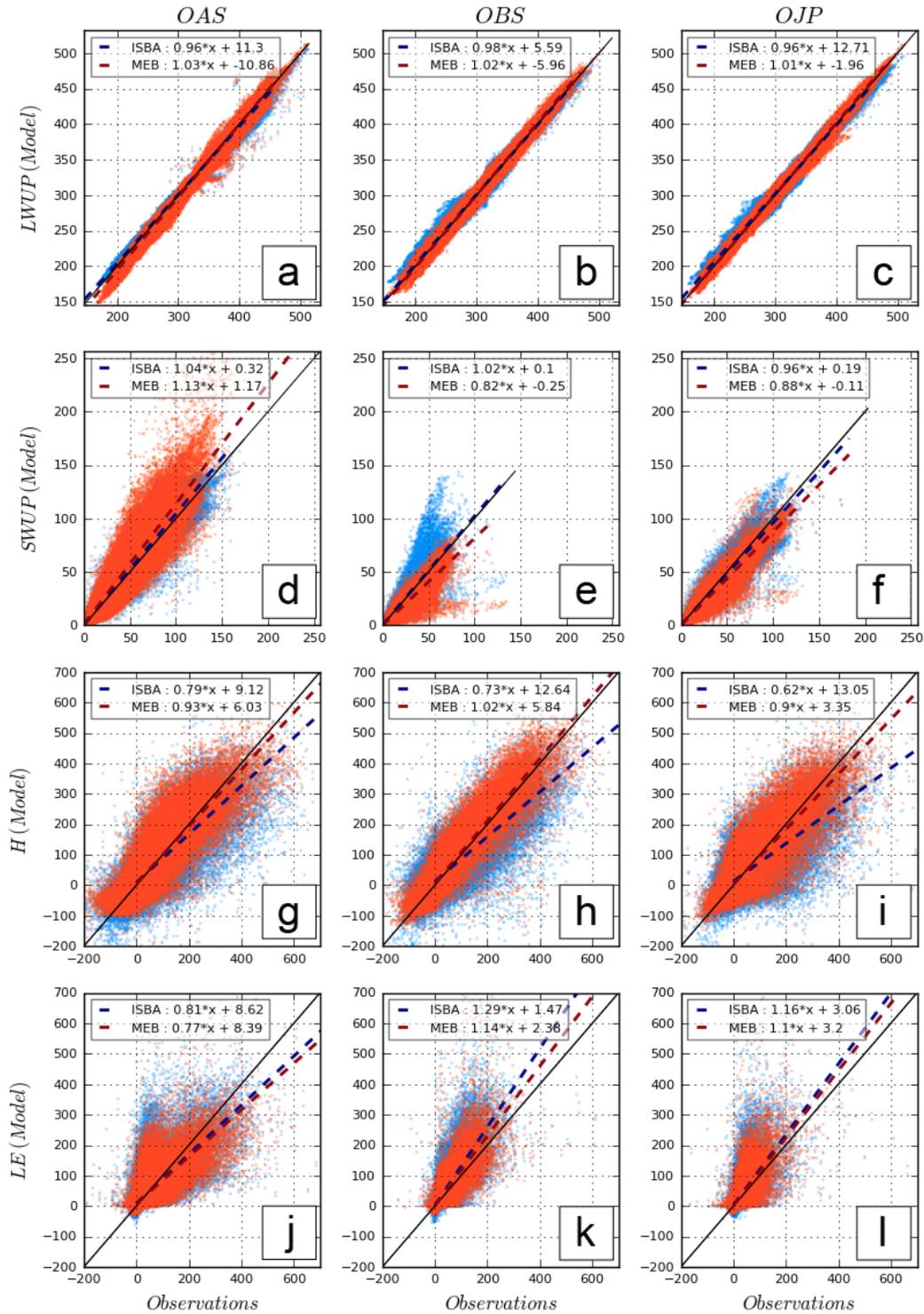
**Figure 1.** Schematics of ISBA (up) and MEB (down) during a snow period on a forest site.



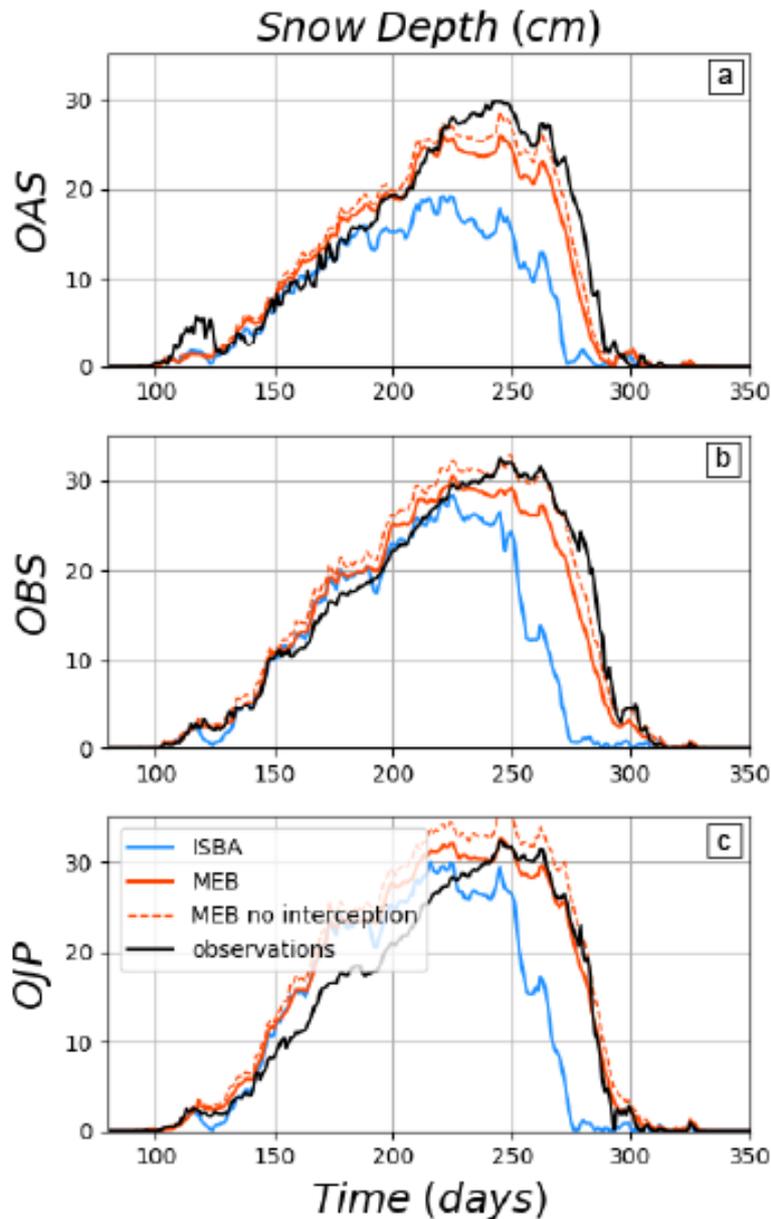
**Figure 2.** Snow fraction for different values of  $SWE$  for ISBA and MEB over a forest of 11 meters height and assuming a density of  $200 \text{ kg}\cdot\text{m}^{-3}$



**Figure 3.** Composite of monthly diurnal cycle at OJP site. MEB is in red, ISBA in blue, measurements are indicated by a solid line and adjusted measurements are represented using a dashed black line. Adjusted measurements respect the energy balance closure and are calculated following the method of Twine et al. (2000). As a visual aid, the area between the latter two is shaded and model outputs should fall within this area.



**Figure 4.** Scatter plots for the different fluxes and the three sites using only observations with snow on the ground. MEB is in red, ISBA in blue.

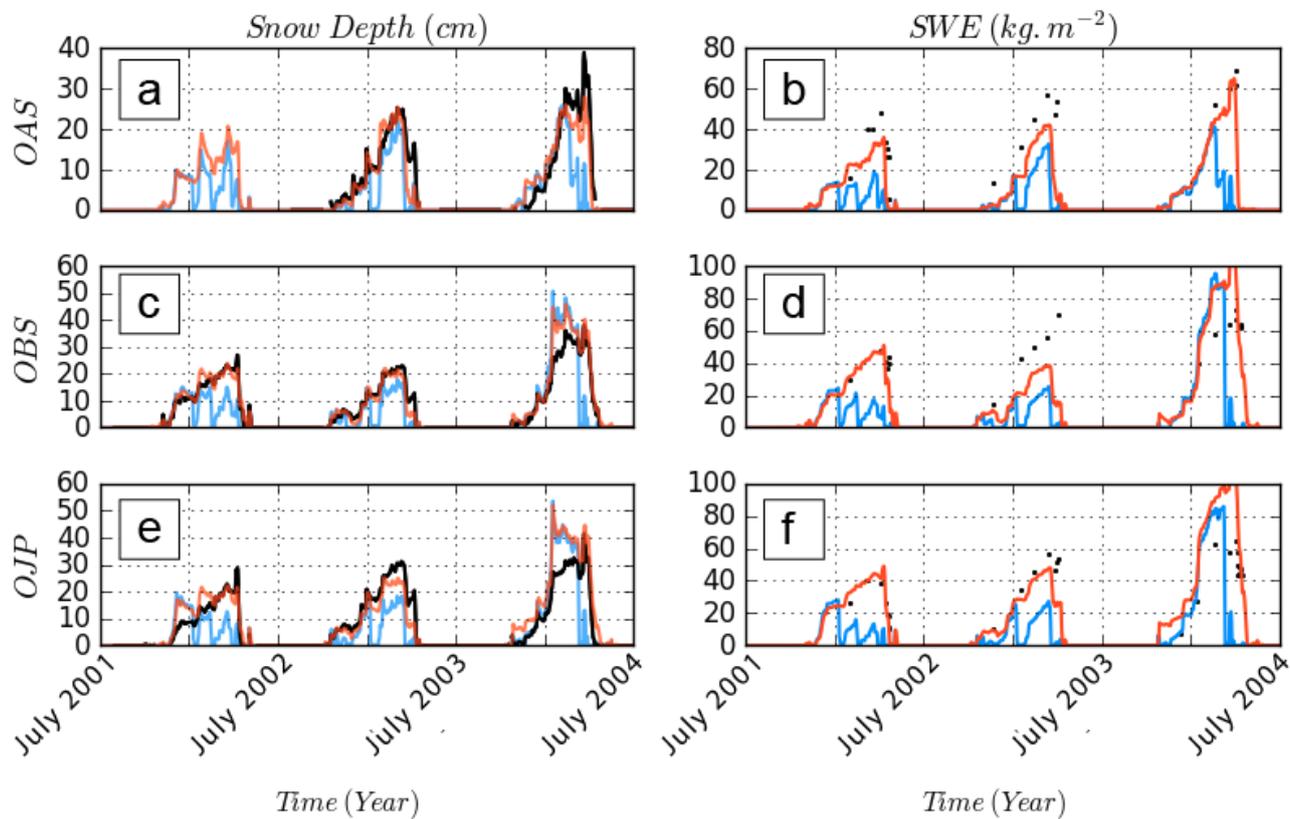


Composite of snow depth annual (July to June) cycles for the three sites from. MEB is in red, ISBA in blue and observations in black. The red dashed curve corresponds to a version of MEB with no snow interception by the canopy.

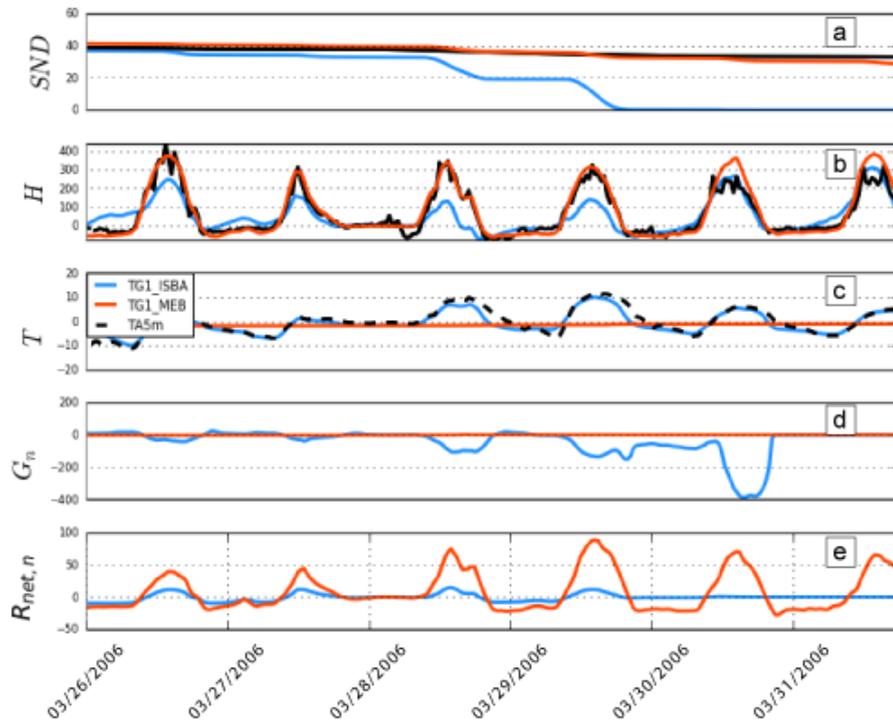
Composite of snow depth annual (July to June) cycles for the three sites from. MEB is in red, ISBA in blue and observations in black. The red dashed curve corresponds to a version of MEB with no snow interception by the canopy.

**Figure 5.** Snow depth (left column) and snow water equivalent (right column) for the three sites from 07/01/2001 to 07/01/2004. MEB is in red, ISBA in blue and observations in black.

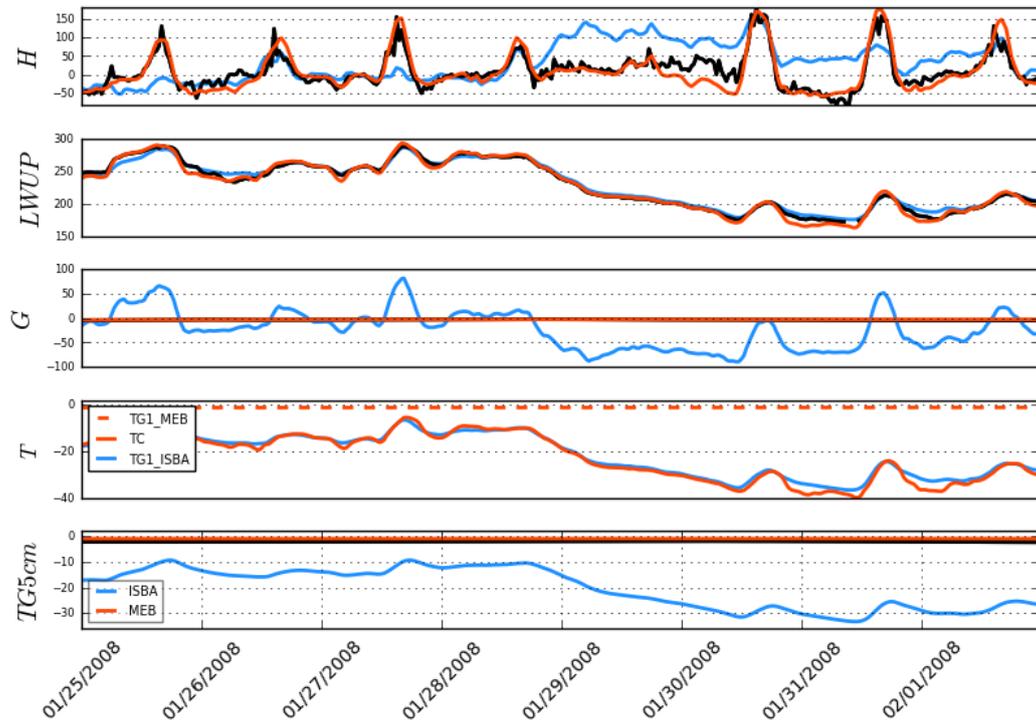
Composite of snow depth annual (July to June) cycles for the three sites from. MEB is in red, ISBA in blue and observations in black. The red dashed curve corresponds to a version of MEB with no snow interception by the canopy.



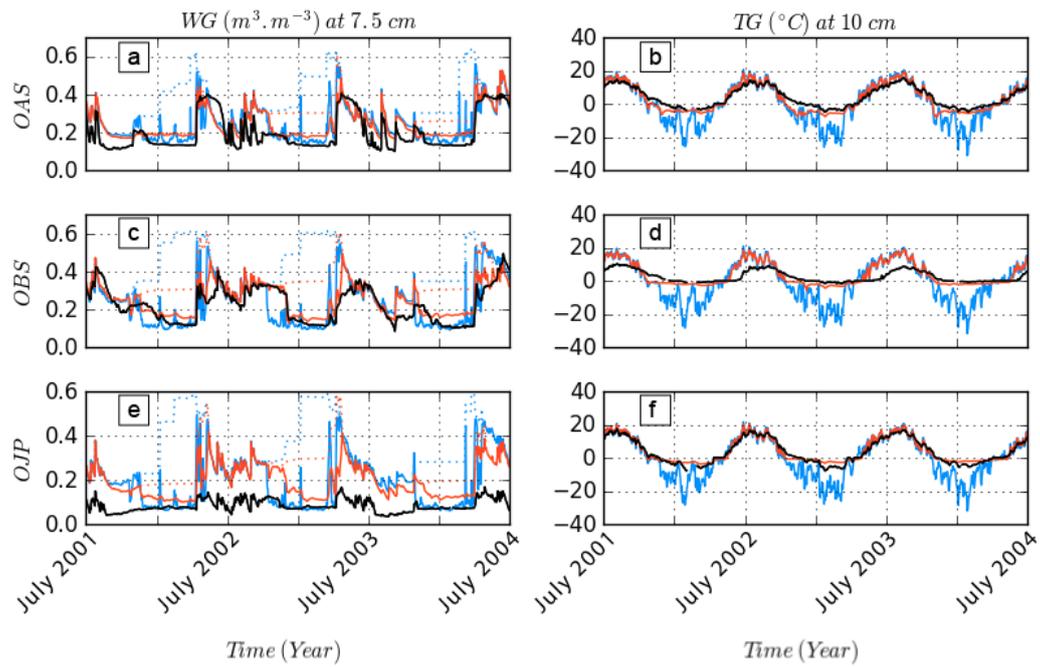
**Figure 6.** Snow depth (left column) and snow water equivalent (right column) for the three sites from 07/01/2001 to 07/01/2004. MEB is in red, ISBA in blue and observations in black.



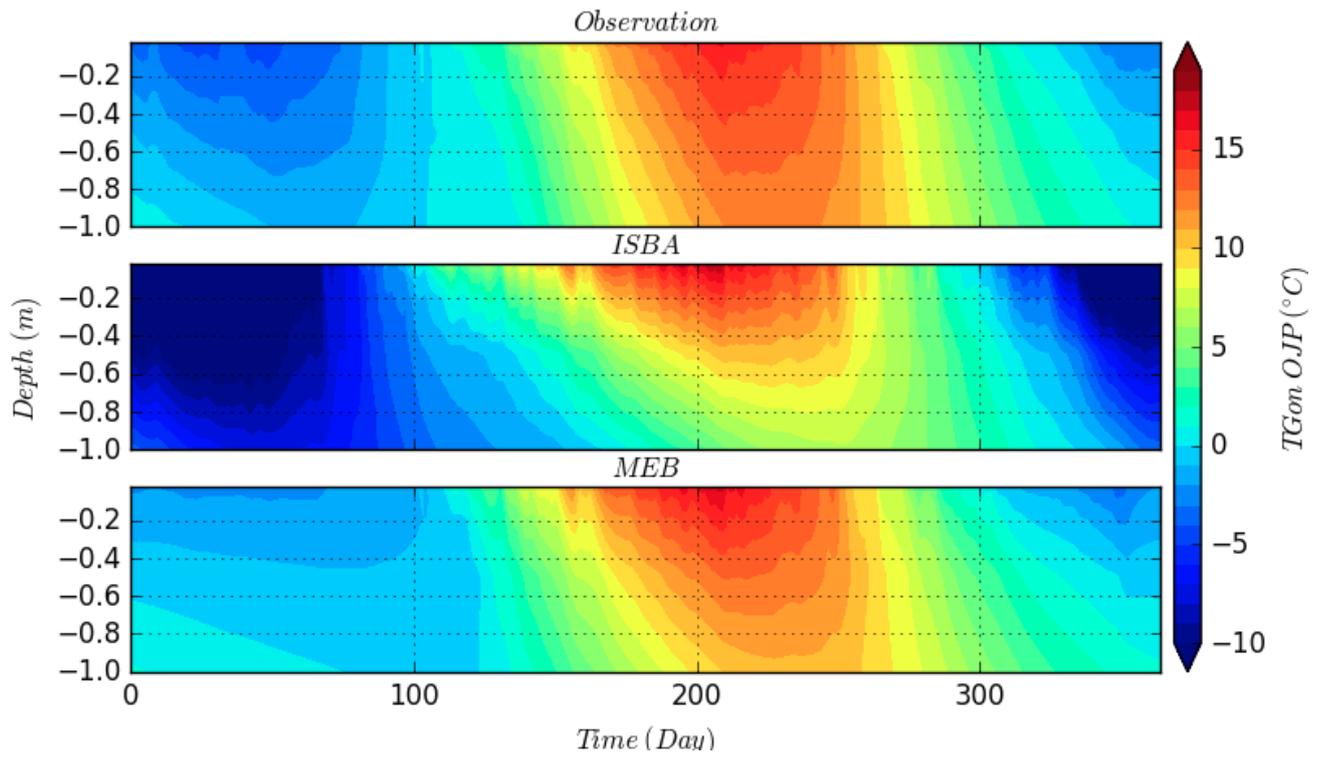
**Figure 7.** Multiple variables at the OJP site from 03/25/2004 to 03/31/2004 which corresponds to a melting period of the snowpack. MEB is in red, ISBA in blue and observations in black.  $T_{G1}$  is the temperature of the first layer of the surface (i.e. the composite for ISBA and the first layer of the ground for MEB).



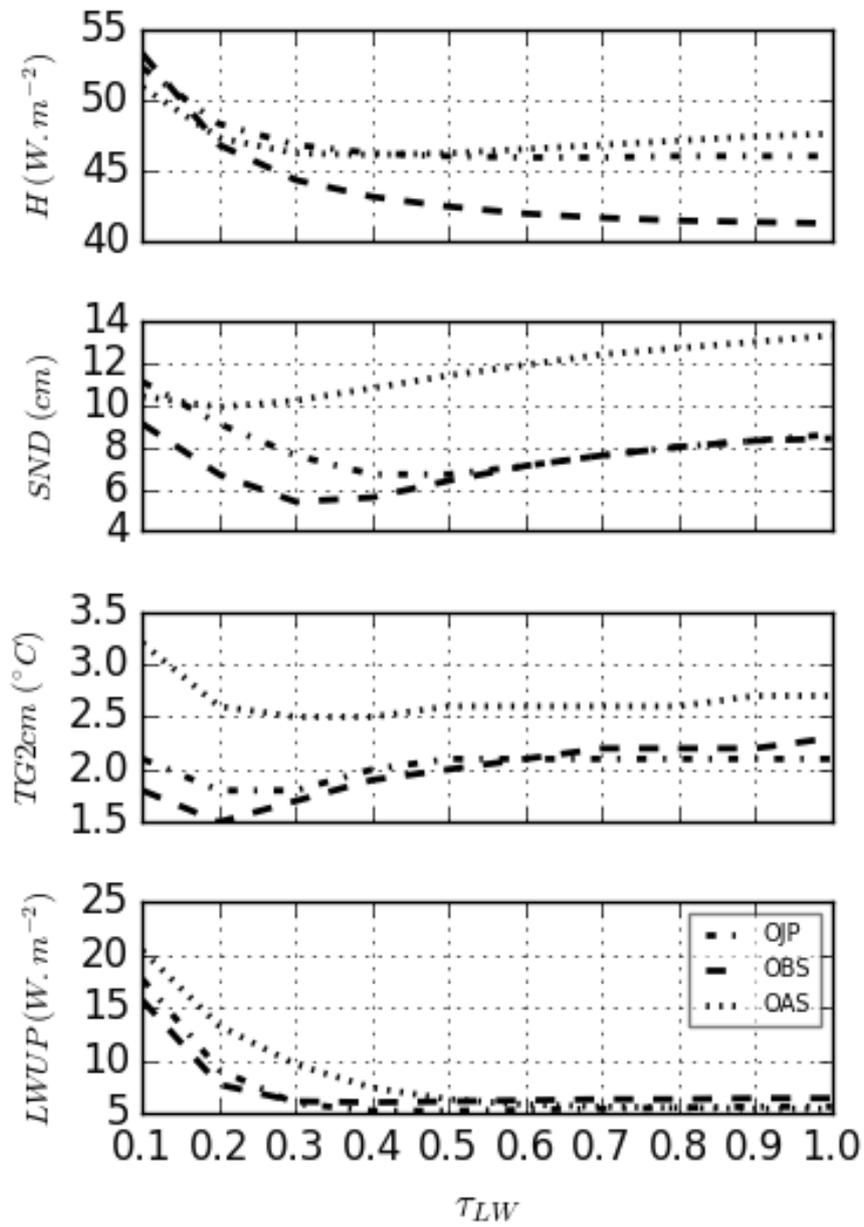
**Figure 8.** Multiple variables at the OJP site from 01/25/2008 to 02/01/2008. MEB is in red, ISBA in blue and observations in black. For  $T$ , the red curve is  $T_C$  (MEB), the dotted red curve is  $T_{G1}$  (MEB) and the blue curve is  $T_{G1}$  (ISBA)



**Figure 9.** Soil water content and temperature at 7.5 and 10 cm deep respectively for the three sites from 07/02/2001 to 07/02/2004. MEB is in red, ISBA in blue and observations in black. On the *WG* graphs, the dotted lines represent the liquid and solid water.



**Figure 10.** Average annual contours of soil temperature between surface and 100 cm deep at the OJP site.



**Figure 11.** RMSE calculated for different values of  $\tau_{LW}$  for each site during the snow period and for the sensible heat flux (a), snow depth (b), soil temperature at 2 cm (c) and  $LW \uparrow$  (d).