1	BioRT-Flux-PIHM v1.0: a watershed biogeochemical reactive transport
2	model
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#### Abstract

13 Watersheds are the fundamental Earth surface functioning units that connect the land to aquatic systems. Many watershed-scale models represent hydrological processes but 14 lack the representation of multi-component reactive transport processes. This has limited 15 our capability to understand and predict solute export, water chemistry, and earth system 16 response to changing climate and anthropogenic conditions. Here we present a recently 17 developed BioRT-Flux-PIHM (BioRT hereafter) v1.0, a watershed-scale biogeochemical 18 reactive transport model. The model augments the previously developed RT-Flux-PIHM 19 that integrates land-surface interactions, surface hydrology, and abiotic geochemical 20 reactions. It enables the simulation of 1) shallow and deep water partitioning to represent 21 surface water, shallow soil water, and deeper groundwater; 2) biotic processes including 22 23 plant uptake, soil respiration, and microbially mediated reactions such as nutrient transformation. The reactive transport part of the code has been verified against the 24 widely used reactive transport code CrunchTope. BioRT-Flux-PIHM v1.0 has recently 25 been applied in multiple watersheds under diverse climate, vegetation, and geological 26 27 conditions. This paper briefly introduces the governing equations and model structure with a focus on new model developments. It also showcases one hydrology example that 28 simulates shallow and deep water interactions, and two biogeochemical examples 29 relevant to nitrate and dissolved organic carbon (DOC). These examples are illustrated 30 in two simulation modes of varying complexity. One is the spatially lumped mode (i.e., 31 32 two land cells connected by one river segment) that focuses on processes and average behavior of a watershed. Another is the spatially distributed mode (i.e., hundreds of cells) 33 that includes details of topography, land cover, and soil properties. Whereas the spatially 34 lumped mode represents more of averaged properties and processes and temporal 35 variations, the spatially distributed mode can be used to understand the impacts of spatial 36 structure and identify hot spots of biogeochemical reactions. 37

#### 38 **1. Introduction**

Watersheds are the fundamental Earth surface units that receive and process 39 water, mass, and energy (Li, 2019;Li et al., 2020;Hubbard et al., 2018). Watershed 40 41 processes include land surface interactions that regulate evapotranspiration and discharge, and water partitioning between shallow soil lateral flow going into streams 42 versus downward flow and recharge into the deeper subsurface (Figure 1). Complex 43 biogeochemical interactions occur among soil, water, roots, and microbes along water's 44 45 flow paths, regulating gas effluxes (e.g., CO<sub>2</sub>) and solute export (Fatichi et al., 2019;van der Velde et al., 2010;Grathwohl et al., 2013). 46

These hydrological and biogeochemical processes determine how Earth surface 47 systems respond to hydroclimatic forcing and human perturbations (van der Velde et al., 48 2014; Miller et al., 2020; Han et al., 2019). Understanding these processes remains 49 challenging due to the complex process interactions. An example is the concentration-50 discharge (C-Q) relationships of solutes at stream and river outlets. Similar C-Q 51 relationships have been observed for some solutes across watersheds under diverse 52 geological and climatic conditions (Godsey et al., 2009;Basu et al., 2010;Moatar et al., 53 2017;Zarnetske et al., 2018;Godsey et al., 2019), whereas different solutes have shown 54 contrasting patterns in the same watershed (Miller et al., 2017;Herndon et al., 55 2015; Musolff et al., 2015). A general theory that can explain contrasting C-Q observations 56 (e.g., flushing vs. dilution behaviors) under diverse watershed characteristics and external 57 conditions remains elusive. The lack of mechanistic understanding presents major 58 roadblocks to forecasting water quality and earth system dynamics in the future. 59

60 One of the outstanding challenges is the lack of modeling tools that mechanistically link hydrological and biogeochemical processes at the watershed scale. Model 61 62 development has advanced mostly within the disciplinary boundaries of hydrology and biogeochemistry (Li, 2019). Watershed hydrologic models focus on solving for water 63 storage and fluxes (Fatichi et al., 2016). Reactive transport models (RTMs) have 64 traditionally focused on transport and multi-component biogeochemical reactions mostly 65 in groundwater systems with limited interactions with climate and other surficial conditions 66 (Steefel et al., 2015;Li et al., 2017b). Some integration crossing disciplinary boundaries 67

did occur in recent years. For example, SWAT (Soil & Water Assessment Tool) has a 68 version that couples with the groundwater model MODFLOW and the surface water and 69 groundwater quality model in RT3D (Bailey et al., 2017; Ochoa et al., 2020). CATHY 70 (Catchment Hydrology) includes processes of pesticide decay (Gatel et al., 71 2019;Scudeler et al., 2016). Hydrologiska Byråns Vattenbalansavdelning (HBV) and the 72 Hydrological Predictions for the Environment (HYPE)have modules that simulate 73 processes relevant to nutrients and contaminants (Lindström et al., 2005;Lindström et al., 74 2010). While these models can simulate processes such leaching of nutrients from 75 agriculture lands (Lindström et al., 2005; Lindström et al., 2010; Bailey et al., 2017), they 76 do not explicitly solve the multi-component reactive transport equations. In other words, 77 reactions are often represented rudimentarily without honoring kinetics and 78 79 thermodynamics theories in soil biogeochemistry and geochemistry. For example, nutrient leaching is calculated based on empirical equations without explicitly solving 80 reactive transport equations. Reaction rates are represented using first-order decay 81 (Gatel et al., 2019), assuming constant reaction rates that do not change with 82 83 environmental conditions. Biogeochemical processes however are highly variable with seasonal dynamics and depend on local environments such as substrate availability, soil 84 85 temperature, and soil moisture (Li et al., 2017a;HARTLEY et al., 2007). These models therefore cannot capture the temporal variations in environmental factors that regulate 86 87 soil biogeochemical reactions and stream and water chemistry.

To fill this model capability need, we augmented the watershed model RT-Flux-88 PIHM (Bao et al., 2017) into BioRT-Flux-PIHM (BioRT hereafter). Compared to RT-Flux-89 PIHM, BioRT has two additions. One is the capability of simulating biotic processes 90 including plant uptake of nutrients, soil respiration, and other microbe-mediated redox 91 reactions. Examples include soil respiration that produces CO<sub>2</sub> and dissolved organic 92 carbon (DOC), and nutrient transformation reactions such as nitrification and 93 94 denitrification. The other is the addition of an optional deeper layer below shallow soil to enable the simulation of interacting deep water and shallow soil water flow (Figure 1). 95 Here the deep water is loosely defined as the water below the soil zone, typically in less 96 weathered, fractured subsurface that harbors relatively old and slow-moving groundwater 97 98 contributing to streams. It is a fundamental component of the hydrologic cycle and water

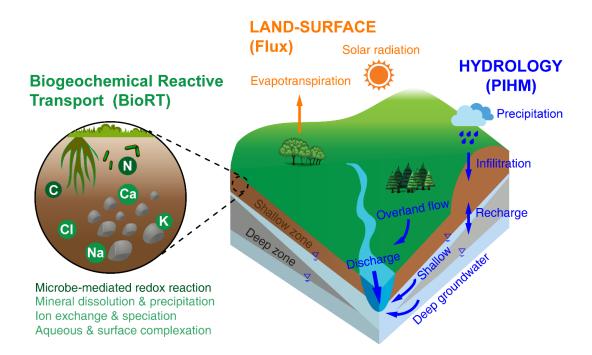
budget. The groundwater-surface water interactions also modulate land-atmospheric 99 energy exchanges and soil moisture dynamics (Keune et al., 2016). Evidence has been 100 101 mounting in recent years that deeper water below the shallow soil interacts with streams, introduces water with distinct chemistry, sustains base flow in dry times, and buffers 102 climate variability (Gurdak, 2017; Green, 2016; Taylor et al., 2013). Stream chemistry often 103 104 reflects distinct chemistry from shallow soil water and deeper groundwater at different time, i.e., the so called Shallow and Deep Hypothesis (Zhi et al., 2019;Zhi and Li, 105 2020;Botter et al., 2020). Including the deep water component thus is essential for 106 understanding mechanisms and predicting dynamics of water quality under changing 107 climate and human conditions. 108

This paper introduces the new developments in BioRT. The paper starts with a 109 110 brief overview of water and energy related processes. It then introduces governing equations and reaction kinetics used in BioRT, followed by three examples that illustrate 111 112 the new capabilities. The examples include the surface water and groundwater interactions, nitrate transformation and transport, and the production and export of DOC. 113 114 The model can be set up in both spatially lumped or spatially explicit modes. The source code and the examples shown here are archived on the Zenodo website 115 116 (https://doi.org/10.5281/zenodo.3936073) and the GitHub website (https://github.com/Li-Reactive-Water-Group/BioRT-Flux-PIHM). 117

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#### 119 2. Model overview

120 BioRT-Flux-PIHM integrates three modules (Figure 1). The Flux module is for landsurface processes including surface energy balance, solar radiation, and ET (Shi et al., 121 2013). The hydrology module PIHM simulates water processes including precipitation, 122 interception, infiltration, recharge, surface runoff, subsurface lateral flow, and deep water 123 flow (Qu and Duffy, 2007). The BioRT module simulates solute transport reactions. The 124 abiotic reactions included in RT-Flux-PIHM (Bao et al., 2017) are mineral dissolution and 125 precipitation, aqueous and surface complexation, and ion exchange reactions. The newly 126 added reactions include plant uptake of nutrients, soil respiration, microbe-mediated 127 redox reactions (e.g., carbon decomposition and nutrient transformation). 128



130 Figure 1. A conceptual diagram for processes at the watershed scale. Land surface interactions include processes such as energy balance, solar radiation, and evapotranspiration; hydrological 131 processes partition water between surface runoff, shallow soil water, and deeper water entering 132 133 the stream. Soil biogeochemical reactions include abiotic reactions such as weathering (e.g., 134 mineral dissolution and precipitation), ion exchange, surface complexations), and biotic processes such as plant uptake of nutrients, soil respiration, and other microbe-mediated 135 136 reactions. These processes are represented in three modules: The Flux module for land-surface interactions, the PIHM module for catchment hydrology, and the BioRT module for 137 biogeochemical reactions. Conceptually the shallow zone is the shallow soil and weathered zone 138 that are more conductive to water flow (e.g., soil lateral flow or interflow). The deep zone refers 139 140 to the less weathered zone that often harbors the old and slow flowing groundwater. Reactions 141 can occur in both shallow and deep zones.

142

143 The land surface and hydrology modules solve for soil temperature and water storage, from which water fluxes are calculated for surface runoff, shallow and deep water 144 fluxes. The BioRT module uses the calculated soil temperature, water storage, and water 145 simulate solute transport (advective and diffusive/dispersive) and fluxes to 146 147 biogeochemical reactions in both shallow and deep zones (see governing equations in later sections). The reactions include kinetically controlled (e.g., microbe-mediated redox 148 149 reaction, mineral dissolution and precipitation) or equilibrium-controlled (e.g., ion exchange, surface complexation (sorption), and aqueous complexation). Users can 150

define the types of reactions to be included and the form of reaction kinetics in input files.
The output of BioRT includes the spatial distribution and time series of aqueous and solid
concentrations, from which we can infer reaction rates.

154 The model can be set up running in either spatially lumped or spatially explicit modes. When running in spatially explicit mode, the simulation domain can be structured 155 as prismatic grids based on topography. Each grid is partitioned into surface and shallow 156 and deep subsurface layers. The surface layer calculates water flow above ground 157 158 (surface runoff). The shallow zone is loosely defined as the highly permeable subsurface 159 that contrasts the deep zone that is broadly defined as the lower permeability zone beyond the shallow zone. In many places, the shallow zone is the soil zone that is most 160 conductive to water flow (e.g., lateral flow) and is responsive to hydroclimatic forcing. The 161 deep subsurface zone is the less weathered layer that harbors the old ground water that 162 163 contributes to stream flow. Note that these definitions differ from those in the hydrology community, which often refers to the shallow soil lateral flow as groundwater, in a way 164 165 that distinguishes it from the surface runoff (Dingman, 2015). These source waters from different depths of the subsurface often have distinct solid and water chemistry, and are 166 dominant at different hydrological conditions in different time of the year, as have been 167 observed in many catchments and watersheds (Brantley et al., 2018;Zhi et al., 2019;Zhi 168 and Li, 2020; Sullivan et al., 2016). The model is flexible for taking inputs from online data 169 portals or local measurements and it can accommodate low data availability (see the 170 following section of 5 for data need and domain setup). 171

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### **3. Governing equations and processes**

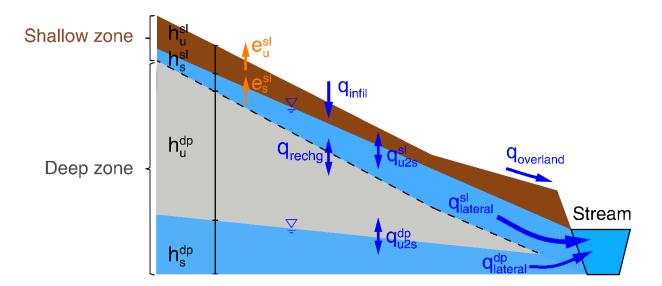


Figure 2. Hillslope view of the shallow and deep zones and relevant water flows. Streams 175 received water primarily from three water flows: the surface runoff (q<sub>overland</sub>), and lateral flow from 176 shallow zone (q<sup>sl</sup><sub>lateral</sub>), and the lateral flow that has been recharged and eventually come out from 177 deeper zone (q<sup>dp</sup><sub>lateral</sub>). The symbol of "h", "e", and "q" denotes water storage, evapotranspiration, 178 and water flow, respectively. The superscript letter "sl" and "dp" refer to shallow and deep zone, 179 respectively. The subscript letters "u" and "s" refer to unsaturated and saturated layers, 180 181 respectively. Detailed equations are in section 3.1 – 3.2. The terms "infil", "u2s", and "recharge" 182 refer to infiltration, unsaturated to saturated zones, and recharge.

183

### 184 **3.1 Water equations**

Flux-PIHM simulates surface runoff and a lumped subsurface flux into streams 185 without distinguishing shallow soil water and deeper groundwater flow paths. Mounting 186 evidence has shown that the shallow soil water and deeper groundwater have distinct 187 chemistry and are dominant at different times of the year (Xiao et al., 2021;Zhi and Li, 188 2020;Zhi et al., 2019). This means that a lumped subsurface flow cannot describe the 189 dynamics of stream chemistry. We therefore added deeper groundwater zone to simulate 190 deeper water flows that interact with streams. Each prismatic element now has three 191 zones in the vertical direction: surface (or above ground), shallow and deep zones in the 192 subsurface. 193

In each prismatic element *i*, the shallow zone includes unsaturated and saturated water storages. The unsaturated zone receives water from the surface via infiltration and flows vertically to the saturated zone. The saturated zone flows both vertically to the deep

zone (recharge) and laterally to neighboring grids *j* or the stream (lateral). The code
solves the following equations in the shallow zone:

199 
$$\theta_i^{sl} \frac{dh_{i,u}^{sl}}{dt} = q_{i,inf} - q_{i,u2s}^{sl} - e_{i,u}^{sl} \quad (1)$$

200 
$$\theta_{i}^{sl} \frac{dh_{i,s}^{sl}}{dt} = q_{i,u2s}^{sl} - q_{i,rechg} - e_{i,s}^{sl} + \sum_{1}^{N_{ij}} q_{ij}^{sl} \quad (2)$$

Where  $\theta_i^{sl}$  [m<sup>3</sup> pore space/m<sup>3</sup> total volume] is the shallow zone porosity in element *i*;  $h_{i,u}^{sl}$ 201 and  $h_{i,s}^{sl}$  [m] are the unsaturated and saturated water storage in the shallow zone, 202 respectively. The storages h here are the height of soil column with equivalent saturated 203 water, not the height of the pure water (100% volume) column. That is why porosity is in 204 the equation. For saturation zones, this height is needed to quantify the depths of water 205 tables and determines the direction of water flow between neighboring grids. The 206  $q_{i,inf}$  [m/s] is the infiltration rate from the surface to the shallow zone;  $q_{i,u2s}^{sl}$  [m/s] is the 207 vertical flow from the unsaturated layer to the saturated layer in the shallow zone;  $q_{i,rechg}$ 208 [m/s] is the recharge rate from the shallow zone to the deep zone;  $e_{i,u}^{sl}$  and  $e_{i,s}^{sl}$  [m/s] are 209 evapotranspiration (ET) from the unsaturated and saturated layer in the shallow zone, 210 respectively;  $q_{ij}^{sl}$  [m/s] are the lateral flows in the shallow saturated layer between the 211 element *i* and its neighbor element *j*;  $N_{ij}$  ( $\leq$  3) is the number of neighbor elements *j*. For 212 a prismatic element i, a boundary cell has one or two neighbors; a non-boundary cell has 213 three neighbors. ET is calculated by the Penman potential evaporation scheme (detailed 214 equations in Shi (2012)). A similar set of water equations for the deep zone are in the SI 215 216 (Eqn. S1 and S2).

Infiltration and vertical fluxes from the unsaturated to saturated layer in the shallow zone are based on the Richards equation, in which hydraulic water head H (i.e., the summation of water storage h and elevation head z) and hydraulic conductivity Kdetermine the fluxes:

221 
$$q_{i,inf} = K_{i,inf} \frac{H_{i,sur} - H_{i,u}^{sl}}{d_{i,inf}}$$
(3)

222 
$$q_{i,u2s}^{sl} = K_{i,V}^{sl} \frac{H_{i,u}^{sl} - H_{i,s}^{sl}}{0.5d_i^{sl}} \quad (4)$$

Where  $d_{i,inf}$  and  $d_i^{sl}$  [m] are the thickness of infiltration layer and shallow zone depth, 223 respectively;  $K_{i,inf}$  [m/s] is the hydraulic conductivity of the infiltration layer, the top 0.1 m 224 of the subsurface that has different conductivity from the rest of subsurface;  $K_{i,V}^{sl}$  [m/s] is 225 the hydraulic conductivity in the vertical direction (i.e., weighted average of macropore 226  $K_{i,macV}$  and soil matrix  $K_{i,satV}$ , Eqn. S7);  $H_{i,sur}$  [m] is the surface hydraulic water head (= 227  $h_{i,sur} + z_{i,sur}$ );  $H_{i,u}^{sl}$  and  $H_{i,s}^{sl}$  [m] are the shallow hydraulic water heads in the unsaturated 228 and saturated layer, respectively. The lateral flow in the shallow saturated layer is 229 calculated using Darcy's law: 230

231 
$$q_{ij}^{sl} = K_{ij}^{sl} \frac{H_{i,s}^{sl} - H_{j,s}^{sl}}{d_{ij}} \quad (5)$$

Where  $d_{ij}$  [m] is the distance between the centers of elements *i* and *j*;  $K_{ij}^{sl}$  [m/s] is the harmonic mean of shallow hydraulic conductivity in the horizontal direction between elements *i* ( $K_{i,H}^{sl}$ ) and *j* ( $K_{j,H}^{sl}$ ). The interaction between the shallow saturated zone and stream channel also follows Eqn. 5, except that the adjacent head is replaced by the level of stream water. Similar to the shallow zone, hydrological equations in the deep zone are detailed in the SI (Eqn. S1 – S8).

238

# 239 3.2 Reactive transport equations

The governing advection dispersion reaction (ADR) equation for an arbitrary solute *m* in an element *i* is as follows (Bao et al., 2017):

242 
$$V_{i}\frac{d(S_{w,i}\theta_{i}C_{m,i})}{dt} = \sum_{1}^{N_{ij}} \left(A_{ij}D_{ij}\frac{C_{m,j}-C_{m,i}}{d_{ij}} - q_{ij}A_{ij}C_{m,j}\right) + R_{m,i}, \quad m = 1, \dots, nm \quad (6)$$

Where  $V_i$  [m<sup>3</sup> total volume] is the total volume of element *i*;  $S_{w,i}$  [m<sup>3</sup> water/m<sup>3</sup> pore space] is soil water saturation;  $\theta_i$  [m<sup>3</sup> pore space/m<sup>3</sup> total volume] is the porosity;  $C_{m,i}$  [mol/m<sup>3</sup> water] is the aqueous concentration of species *m*;  $N_{ij}$  is the number of fluxes from

neighbor element j for element i,  $N_{ij}$  is 2 for the unsaturated zone (infiltration, recharge) 246 with only vertical flows and 5 for saturated zone with flux from (or to) the unsaturated 247 zone, from (or to) the deeper zone, and fluxes between *i* and three neighbor elements *j* 248 in lateral flow directions for non-boundary grids;  $A_{ij}$  [m<sup>2</sup>] is the grid area shared by *i* and 249 its neighbor grid j;  $D_{ij}$  [m<sup>2</sup>/s] is the hydrodynamic dispersion coefficient (i.e., sum of 250 mechanical dispersion and effective diffusion coefficient) normal to the shared surface 251  $A_{ij}$ ;  $d_{ij}$  [m] is the distance between the center of *i* and its neighbor elements *j*;  $q_{ij}$  [m/s] 252 253 is the flow rate across  $A_{ii}$ ;  $R_{m,i}$  [mol/s] is the total rate of kinetically controlled reactions in element *i* that involve species *m*; *nm* is the total number of independent primary species 254 to be solved for reactive transport equations. Equation (6) states that the change of solute 255 256 mass (the left term) is driven by dispersive transport, advective transport, and reactions (i.e., the 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> right-hand side terms, respectively). 257

258

# **3.3 Biogeochemical processes and reaction kinetics**

## 260 **3.3.1 Biogeochemical processes**

Here we discuss representative biogeochemical processes that involve plants and 261 262 microbes that can be included in BioRT. BioRT differs from general water quality models that primarily target a few contaminants (e.g., N, P, metals). The framework of BioRT is 263 flexible and the users can define reactions and solutes of interests in the input files. For 264 abiotic reactions such as mineral dissolution and surface complexation or ion exchange, 265 266 readers are referred to (Bao et al. (2017). Generally speaking, shallow soils contain more weathered materials and soil organic matters (SOM) including roots, leaves, and 267 268 microbes. SOM can be decomposed partially into organic molecules that dissolve in water, i.e., Dissolved Organic Carbon (DOC). It can also become oxidized completely 269 270 into CO<sub>2</sub>, which can emit back to the atmosphere in gas form (Davidson, 2006) or transport and enter streams in the form of dissolved inorganic carbon (DIC). With 271 coexisting cations (e.g., Ca, Mg), DIC can precipitate out and become carbonate minerals 272 (e.g., CaCO<sub>3</sub>). 273

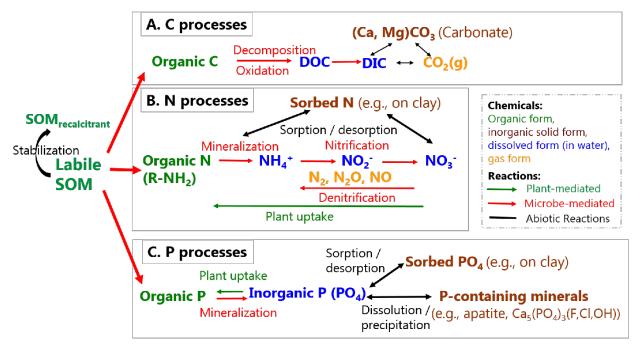




Figure 3. Biotic and abiotic reactions relevant to the transformation of soil organic matter (SOM).
SOM can become stabilized (recalcitrant) through sorption on clay and separation from reactants.
Labile OM can decompose into inorganic forms, releasing C, N, and P that further transform into
various forms (adopted from Li (2019), permission with Mineralogical Society of America).

OM decomposition releases organic nitrogen (R-NH<sub>2</sub>), which can further react to 280 become NH<sub>4</sub><sup>+</sup> and other nitrogen forms (N<sub>2</sub>, N<sub>2</sub>O, NO, NO<sub>2</sub><sup>-</sup>, NO<sub>2</sub>) (Figure 3). The gases 281 can emit back to the atmosphere. Denitrification requires anoxic conditions and occurs 282 less commonly in shallow soils owing to the pervasive presence of  $O_2$  (Sebestyen et al., 283 2019); denitrification can become important under wet conditions and in O<sub>2</sub>-depleted 284 groundwater aquifers. Phosphorous (P) can be in organic forms in organic matter, sorbed 285 on fine soil particles, dissolved in water, or in solid forms as P-containing minerals. 286 Transformation of nutrients occurs through various bio-mediated or abiotic reactions. A 287 representative P-containing mineral in the Earth's crust is apatite Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>(F, CI, OH). 288 Once liberated via rock dissolution, P is biologically assimilated and locked in organic 289 forms. These organic forms have very low solubility, allowing them to bind on and be 290 transported together with soil particles in the form of orthophosphate or pyro-diphosphate. 291

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# 293 3.3.2 Reaction kinetics in natural soils

**Rate dependence on temperature and soil moisture.** Reactions such as soil respiration and plant uptake typically depend on environmental conditions (temperature or soil moisture). For example, in shallow oxic soils where organic carbon and  $O_2$  are often abundant, the rate law for carbon decomposition can be simplified to the following form assuming microorganism concentrations are relatively constant.

299  $r = kAf(T)f(S_w)f(Z_w) \quad (7)$ 

300 Where the reaction rate r [mol/s] depends on rate constant k [mol/m<sup>2</sup>/s], the surface area A [m<sup>2</sup>] is a lumped parameter that quantitatively represents SOM content and biomass 301 abundance, f(T) and  $f(S_w)$  describe the temperature and soil moisture dependence, 302 303 respectively,  $f(Z_w)$  can be included to account for the depth distribution of SOM (Seibert et al., 2009), and  $Z_w$  [m] is the water table depth. An example for the depth distribution is 304  $f(Z_w) = \exp\left(-\frac{Z_w}{h_w}\right)$  (Weiler and McDonnell, 2006;Bai et al., 2016), with  $b_m$  as the depth 305 coefficient describing the gradient of SOM content over depth. Users can choose to 306 include either one or all of these dependencies in input or database files. 307

The temperature dependence follows a Q<sub>10</sub>-based form (Lloyd and Taylor, 1994;Friedlingstein et al., 2006;Hararuk et al., 2015) as follows:

310 
$$f(T) = Q_{10}^{(T-20)/10}$$
 (8)

where  $Q_{10}$  is the relative increase in reaction rates when temperature increases by 10 °C (Davidson and Janssens, 2006). Values of  $Q_{10}$  (Figure 4a) can vary from 1.0 to 3.0, depending on climatic conditions, substrate availability, and ecosystem type (e.g., grassland, forest) (Davidson et al., 2006;Liu et al., 2017). The mean values are in the range of 1.4 to 2.5 (Zhou et al., 2009;Bracho et al., 2016). The  $Q_{10}$  value can be specified in the input file.

The soil moisture dependence function  $f(S_w)$  is coded in the following form:

318 
$$\begin{cases} (\frac{S_{w}}{S_{w,c}})^{n}, S_{w} \leq S_{w,c} \\ (\frac{1-S_{w}}{1-S_{w,c}})^{n}, S_{w} > S_{w,c} \end{cases}$$
(9)

Here  $S_{w,c}$  [0 to 1] is the critical soil moisture at which rates are highest, and n is the 319 exponent reflecting the dependence of rates on soil moisture. A typical n value is 2 (Yan 320 et al., 2018) with a range between 1.2 and 3.0 (Hamamoto et al., 2010), depending on 321 soil structure and texture. As shown in Figure 4b, the form indicates an intermediate 322 critical soil moisture  $S_{w,c}$  at which  $f(S_w)$  reaches its maximum. When  $S_w \leq S_{w,c}$ ,  $f(S_w)$ 323 increases with  $S_w$ ; when  $S_w > S_{w,c}$ ,  $f(S_w)$  decreases with  $S_w$  (Figure 4b) (Yan et al., 324 2018). Under the extreme conditions of  $S_{w,c}$  equals to 0 or 1,  $f(S_w)$  monotonically 325 increase or decrease (Figure 4c). The two parameters,  $S_{w,c}$  and n, determines the shape 326 of the curve. They can be specified in input or database files. One can also choose not to 327 have temperature or soil moisture dependence by choosing parameters that would lead 328 to the value of exponent being zero. 329

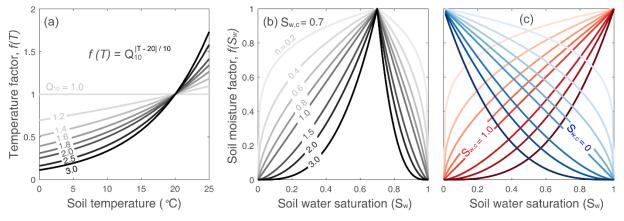




Figure 4. Reaction rate dependence. (a) Function form of soil temperature dependence and (b, c) soil moisture dependence for reaction rates. The f(T) takes  $Q_{10}$  form (Equation 8). The soil moisture factor  $f(S_w)$  depends on  $S_{w,c}$  and n and soil water saturation  $S_w$  (Equation 9). The soil moisture function can represent three types of behaviors: the threshold behavior (b,  $0 < S_{w,c} < 1$ ), increase behavior (red in (c),  $S_{w,c} = 1$ ), and decrease behavior (blue in (c),  $S_{w,c} = 0$ ). Values of n= 1 leads to a linear threshold dependence of  $S_w$  while n < 1 and n > 1 lead to concave and convex dependences, respectively.

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- 339

#### Rate dependence on substrates: Monod kinetics and biogeochemical redox

Iadder. Deeper groundwater aquifers often experience anoxic conditions that lead to processes such as denitrification or methanogenesis. This can also happen in wetlands or wet soils. Under such conditions, the rates of microbe-mediated redox reactions depend not only on temperature and soil moisture as discussed above, they also depend

on concentrations of electron donors and non-oxygen electron acceptors (e.g., nitrate, 344 iron oxides, sulfate) that are often limited under anoxic conditions (Bao et al., 2014:Li, 345 2019;Benettin et al., 2020). The order of redox reactions typically follows the 346 biogeochemical redox ladder, which is based on how much microbe can harvest energy 347 by reducing different types of electron acceptors. Monod reaction rate laws are often used 348 for quantifying rates of these redox conditions. These rate laws are detailed in the section 349 S2 of Supporting Information. Users can combine these Monod rate laws and the 350 temperature and soil moisture dependence described above, if needed. 351

352

# **353 3.4 Plant related processes: root uptake of nitrate as an example**

Nutrient uptake by plants is complex and remains poorly understood. A variety of 354 plant uptake models exists with varying degrees of complexity (Neitsch et al., 2011; Fisher 355 356 et al., 2010;Cai et al., 2016). These models are mostly based on plant growth module or supply and demand approach that often requires detailed phenological and plant 357 358 attributes including growth cycle, root age and biomass, nutrient availability, and carbon allocation, in addition to local temperature and soil moisture (Neitsch et al., 359 2011;Porporato et al., 2003;Dunbabin et al., 2002;Buysse et al., 1996;Fisher et al., 2010). 360 Without detailed mechanistic understanding, we assume a simple and operational 361 approach. In the Example 2 that we show later, for example, nitrate uptake was modelled 362 with dependence on NO<sub>3</sub><sup>-</sup> concentration, soil temperature and moisture, and rooting 363 density (McMurtrie et al., 2012; Yan et al., 2012; Buljovcic and Engels, 2001). 364

365

 $r_{uptake} = k_{uptake} C_{NO_3} f(T) f(S_w) f_{root}(d_w) \quad (13)$ 

366 
$$f_{root}(d_w) = \exp((-d_w + \delta) / \lambda) \quad (14)$$

Where  $k_{uptake}$  [L/s] is the nitrate uptake rate,  $f_{root}(d_w)$  is the normalized rooting density term in the range of 0 to 1 as a function of water depth to the groundwater  $(d_w)$ . The rooting term (Eqn. 14) was exponentially fitted ( $\delta = 0.013, \lambda = 0.20$ ) based on field measurements of root distribution along depth (Hasenmueller et al., 2017). It is common to observe root density decrease exponentially in forests (López et al., 2001). Other form of user-tailored plant uptake rate law can be added if needed.

#### **4. Numerical scheme and model verification**

The system of differential equations for water storages (e.g., Eqn. 1 and 2, and Eqn. 375 S1 and S2) are assembled into a global system of ordinary differential equations (ODEs). 376 It issolved in CVODE (short for C-language Variable-coefficients ODE solver, 377 https://computing.llnl.gov/projects/sundials/cvode), a numerical ODE solver in the SUite 378 of Nonlinear and Differential / ALgebraic equation Solvers (SUNDIALS) (Hindmarsh et 379 al., 2005). In BioRT, the transport step is first solved with water by the preconditioned 380 381 Krylov (iterative) method and the Generalized Minimal Residual Method (Saad and 382 Schultz, 1986). All primary species in in element I are then assembled in a local matrix solved iteratively using the Crank-Nicolson and Newton-Raphson methods in and 383 384 CVODE (Bao et al., 2017).

385 Model verification. The BioRT module had been verified against CrunchTope under different transport and reaction conditions (Figures S1 – S7 in SI). CrunchTope is 386 a widely used subsurface reactive transport model (Steefel and Lasaga, 1994;Steefel et 387 al., 2015), and is often used as a benchmark to verify other reactive transport models. 388 Verification was performed under simplified hydrological conditions with 1-D column and 389 390 constant flow rates such that it focuses on advection, diffusion, dispersion, and biogeochemical reactions. Specifically, three cases were verified. The phosphorus case 391 that involves kinetics-controlled apatite dissolution and thermodynamics-controlled 392 phosphorous speciation was first tested for solution accuracy of the bulk code that was 393 inherited from the original RT-Flux-PIHM. Soil carbon and nitrogen processes were 394 further verified for solution accuracy of the augmented BioRT module. Table S7 shows 395 an average percent bias and Nash Sutcliffe efficiency (NSE) of 1.1% and 0.98, indicating 396 a robust performance for a variety of solutes under different transport and reaction 397 conditions. Note NSE ranges from  $-\infty$  to 1, with NSE = 1 being the perfect fit (Moriasi et 398 al., 2007). 399

400

# 401 **5. Model structure, data needs, and domain setup**

402 **Model structure.** The model takes meteorological forcing time series as input and 403 solves for water storages and soil temperature, along with other hydrologic and land

surface states and fluxes (Figure 5). BioRT reads in the model output of water and temperature from Flux-PIHM, and solves the biogeochemical reactive transport equations. At the time scale of months to years that are typical for BioRT simulations, alterations in solid phase properties, including, porosity, permeability, and reactive surface area, are considered negligible such that hydrological parameters remain constant with time.

Data needs. The code sets up the model domain based on watershed 410 411 characteristics including topography, land cover, and shallow and deep zone properties 412 (Figure 5). When the model is used in a spatially distributed form, the model domain is set up using elevation, land cover, soil and geology maps supplied by the user. A useful 413 data portal is the Geospatial Data Gateway (https://datagateway.nrcs.usda.gov). Another 414 geospatial data source is the HydroTerre (http://www.hydroterre.psu.edu/), where users 415 416 can obtain data on elevation, land cover, geology, and soil (Leonard and Duffy, 2013). Meteorological forcing data can be downloaded from the North American Land Data 417 418 Assimilation Systems Phase 2 (NLDAS-2, https://ldas.gsfc.nasa.gov/nldas/v2/forcing). The vegetation forcing, i.e., Leaf Area Index (LAI), can be obtained from MODIS 419 (Moderate Resolution Imaging Spectroradiometer, https://modis.gsfc.nasa.gov/data). 420 421 Other vegetation properties (e.g., shading fraction, rooting depth) can be adopted from, 422 for example, the Noah vegetation parameter table embedded in the Weather Research 423 and Forecasting model (WRF; Skamarock and Klemp (2019)). Local measurements from meteorological stations and field campaigns (e.g., land cover, soil, geology) can be used 424 in the model. Initial water and solid phase chemistry can be based on measurements or 425 general knowledge of the simulated sites. The form of reaction rate laws can be defined 426 in the input files and calibrated to reproduce field data. Reaction thermodynamics, mostly 427 equilibrium constants, are from the geochemical database EQ3/6 by default (Wolery, 428 1992). These reaction parameters can be modified when necessary. The model outputs 429 include aqueous and solid concentrations of shallow and deep zone and stream water. 430

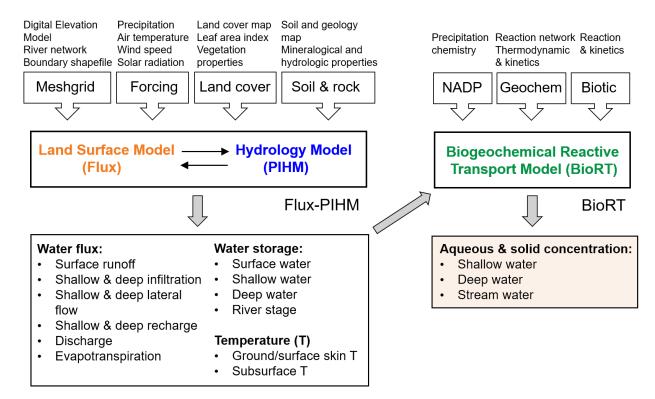


Figure 5. Model structure, input, and output of BioRT-Flux-PIHM. The Flux-PIHM takes in 432 watershed characteristics including topography (digital elevation model, DEM), land cover, 433 434 shallow and deep zone properties, and meteorological forcing time series and solves for water storage, and ground and soil temperature. BioRT takes in water- and temperature-related output 435 436 from Flux-PIHM and additional inputs such as precipitation chemistry and shallow and deep water 437 chemistry and biogeochemical kinetics parameters, and solve for aqueous and solid concentrations in the shallow and deep zone, and stream water. NADP stands for the National 438 439 Atmospheric Deposition Program.

440

441 Domain set up: from simple, spatially lumped to complex, spatially distributed **domains**. The domain can be set up at different spatial resolutions with different numbers 442 443 of grids. A simple domain can be set up with two land grids representing two sides of a watershed connected by one river cell. This setup uses averaged properties without 444 needs for larger spatial data. Alternatively, a complex domain can be set up to track "hot 445 spots" of biogeochemical reactions using many grids with explicit representation of spatial 446 details (e.g., topographic map, river network, land use map, soil and geology map, mineral 447 distribution). The model domain can be set using PIHM-GIS 448 up 449 (http://www.pihm.psu.edu/pihmgis home.html), a standalone GIS interface for watershed delineation, domain decomposition, and parameter assignment (Bhatt et al., 2014). The 450

same processes (e.g., hydrology, reaction network) can be setup in both types of spatial 451 configurations. Auto-calibration is not built into the model, but a global calibration 452 453 coefficient approach is used to reduce parameter dimension and facilitate manual calibration. A typical model application requires 20 to 30 hydrological parameters to be 454 calibrated. These parameters include land surface parameters (e.g., canopy resistance, 455 surface albedo), soil and geology parameters (e.g., hydraulic conductivity, porosity, Van 456 Genuchten, macropore properties) (Shi et al., 2013). Reaction-related parameters (e.g., 457 reaction rate constant, mineral surface area, Q<sub>10</sub>, S<sub>w,c</sub>, and n) are additionally needed for 458 calibration, the number of which depends on the numbers of reactions involved in a 459 particular system. 460

461

# 462 **6. Model applications**

463 The original RT-Flux-PIHM has been applied to understand processes related to the geogenic solutes of CI and Mg at the Shale Hills watershed and for Na in a watershed 464 465 on Volcán Chimborazo in the Ecuadorian Andes (Table 1). The new BioRT-Flux-PIHM has been demonstrated for understanding the dynamics of DOC and nitrate at Shale Hills 466 and Coal Creek. This section will present one hydrology and two biogeochemical 467 examples in the Susquehanna Shale Hills Critical Zone Observatory (SSHCZO), a small 468 headwater watershed in central Pennsylvania, USA. The mean annual precipitation is 469 approximately 1,070 mm and the mean annual temperature is 10°C (Brantley et al., 470 2018). Soil carbon storage and respiration and nitrogen budget and fluxes have been 471 studied in detail (Andrews et al., 2011;Hasenmueller et al., 2015;Weitzman and Kaye, 472 2018). Modeling work has been conducted to understand hydrological dynamics (Shi et 473 al., 2013;Xiao et al., 2019), transport of the non-reactive tracer Cl, and the weathering-474 derived solute Mg (Bao et al., 2017;Li et al., 2017a). 475

476

**Table 1.** Example Model applications of BioRT-Flux-PIHM

Watershed	Size	Model	Modeled	Reactions (rate laws: 1, TST;	Reference
(location)	(km²)	domain	solutes	2, Monod based; 3, plant	
				uptake)	

		Spatially distributed	CI, Mg	<ul> <li>Chlorite dissolution<sup>1</sup> Bao et al.,</li> <li>Illite dissolution<sup>1</sup> 2017; Li et</li> <li>Cation exchange al., 2017</li> </ul>
Shale Hills (PA, USA)	0.08	Spatially distributed	DOC	<ul> <li>SOC decomposition<sup>2</sup> Wen et al.,</li> <li>DOC sorption 2020</li> </ul>
		Spatially lumped	NO₃ <sup>-</sup>	<ul> <li>Soil N leaching<sup>2</sup> This work</li> <li>Denitrification<sup>2</sup></li> <li>Plant uptake<sup>3</sup></li> </ul>
Coal Creek (CO, USA)	53	Spatially lumped	DOC, Na	<ul> <li>SOC decomposition<sup>2</sup> Zhi et al.,</li> <li>DOC sorption 2019</li> <li>Albite dissolution<sup>1</sup></li> </ul>
Volcán Chimborazo (Ecuador)		Spatially distributed	Cl, Na, Ca, Mg, SiO <sub>2</sub>	<ul> <li>Albite dissolution<sup>1</sup> Saberi et al.</li> <li>Diopside dissolution<sup>1</sup> (2021)</li> </ul>

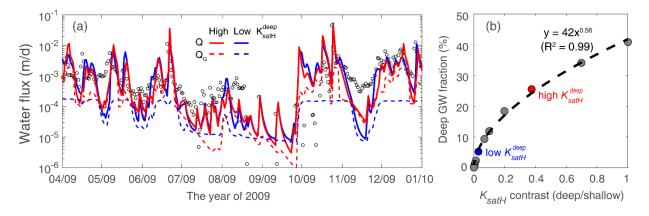
477 Note: Transition State Theory (TST) is a classic kinetic rate law for mineral dissolution and

478 precipitation (Brantley et al., 2008) (Eqn. S15); . SOC stands for soil organic carbon.

479

### 480 6.1 Example 1: Shallow and deep water interactions

The model was set up using the spatially lumped mode with two grids and one 481 river grid characterized by average land cover, soil and rock properties based on previous 482 work. The model assumed the dominant soil type (Weikert soil) at Shale Hills. The 483 porosity of the deep zone was set to a tenth of the shallow soil porosity based on 484 measurements of the groundwater aguifer (Brantley et al., 2018;Kuntz et al., 2011). In a 485 headwater catchment like Shale Hills where the deep groundwater is most likely sourced 486 from recharge, the deep groundwater contribution to the stream can be primarily 487 controlled by the hydraulic conductivity  $(K_{satH})$  contrast between the deep and shallow 488 zones (i.e.,  $K_{satH}^{dp} / K_{satH}^{sl}$ ). This is because the  $K_{satH}$  contrast determines the partitioning 489 of infiltrating water between the shallow lateral flow and the downward recharge to the 490 deep zone and then deep groundwater flow. Two cases of high (red) and low (blue)  $K_{satH}^{dp}$ 491 were set up to showcase the control of  $K_{satH}$  contrast on deep groundwater (Figure 6a). 492 By changing the deep zone  $K_{satH}^{dp}$  from 2.6 to 0.22 (m/d), the annual deep groundwater 493 (Q<sub>G</sub>) contribution to discharge (Q) decreased from 26% to 5.2%, although the total stream 494 discharge remains the same. This indicates that the changing  $K_{satH}^{dp}$  mostly changes the 495 flow partitioning between the shallow soil flow and deeper groundwater flow into streams. 496





**Figure 6.** (a) Hydraulic conductivity ( $K_{satH}$ ) contrast controls the proportion of deep groundwater (Q<sub>G</sub>). The cases of high ( $K_{satH}^{dp} = 2.6 m/d$ , red) and low conductivity ( $K_{satH}^{dp} = 0.22 m/d$ , blue) led to 26% and 5.2% of annual Q<sub>G</sub> contribution to discharge (Q), respectively. (b) Deep groundwater fraction as a function of  $K_{satH}$  contrast between the deep and shallow zone. The upper limit of the deep / shallow  $K_{satH}$  contrast was set to 1 as most watersheds have smaller  $K_{satH}$  in the deep zone than in the shallow zone. The two red and blue dots correspond to the two cases in left panel.

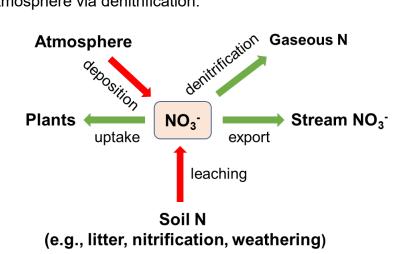
Several additional cases were further tested to examine the relationship between 506 deep groundwater fraction (%) of discharge and  $K_{satH}$  contrast. Figure 6b shows that the 507 deep groundwater fraction rapidly increases with the increasing ratio of  $K_{satH}^{dp}$  /  $K_{satH}^{sl}$ , 508 reaching a limit when  $K_{satH}$  contrast is sufficiently high. The deep groundwater 509 contribution to the stream reaches ~ 40% when  $K_{satH}^{dp}$  and  $K_{satH}^{sl}$  are equal. In natural 510 systems, we do see places, for example, karst formations, where groundwater contributes 511 to more than 40% (Hartmann et al., 2014; Husic, 2018). These places may have higher 512 deeper conductivity than shallow soils due to the development of highly conductive 513 conduits. 514

515

## **6.2 Example 2: Nitrate dynamics in a spatially implicit domain**

This example focuses on nitrate ( $NO_3^-$ ), a dominant dissolved N form in water (<u>https://criticalzone.org/shale-hills/data/datasets/</u>) (Weitzman and Kaye, 2018). The N processes at Shale Hills include atmospheric N deposition, soil N leaching, stream export, denitrification, and plant uptake (Figure 7). Based on field measurements, the atmospheric deposition at the site is the dominant N input; N export via discharge is only

- a small fraction (2.5%) of atmospheric N input. Most deposited N is tightly cycled by plants
- 523 or lost to the atmosphere via denitrification.



**Figure 7.** Modeled nitrogen processes in Example 2. Atmospheric N deposition is the major N input; denitrification and plant uptake are the major N loss and sink. Export via discharge only occupies a small fraction.

528

The soil N leaching process was represented using a lumped reaction that 529 generates NO<sub>3</sub><sup>-</sup>. Conceptually this could represent the total rates of reactions including 530 the decomposition of soil organic matter (SOM), nitrification, and rock weathering that 531 generates NO<sub>3</sub>-. Its rate was assumed to depend on soil temperature and moisture and 532 follows the equation  $r_{leach} = kAf(T)f(S_w)$ , where  $r_{leach}$  [mol/s] is the leaching rate, k =533  $10^{-9.7}$  [mol/m<sup>2</sup>/s] is the leaching rate constant (Regnier and Steefel, 1999), and A [m<sup>2</sup>] is 534 the surface area that represents the contact area between substrates and N transforming 535 microbe, and f(T) and  $f(S_w)$  are soil temperature (Eqn. 8) and soil moisture (Eqn. 9) 536 functions, respectively. The surface area was calculated based on SOM volume fraction 537 [m<sup>3</sup>/m<sup>3</sup>], specific surface area (SSA, [m<sup>2</sup>/g]), substrate density [g/cm<sup>3</sup>], and element 538 volume [m<sup>3</sup>]. 539

Denitrification converts NO<sub>3</sub><sup>-</sup> to N<sub>2</sub> gas under anaerobic conditions. Here this process was modeled by the Monod rate law with DOC as the electron donor (Di Capua et al., 2019), NO<sub>3</sub><sup>-</sup> as the electron acceptor, and with an inhibition term  $f(O_2)$  (Eqn. S13). The reaction rate:  $r_{denitrification} = kA \frac{C_{DOC}}{K_{m,DOC}+C_{DOC}} \frac{C_{NO_3}}{K_{m,NO_3}+C_{NO_3}} f(O_2)f(T)f(S_w)$ , where k =10<sup>-10</sup> [mol/m<sup>2</sup>/s] is the denitrification rate constant (Regnier and Steefel, 1999), half-

saturation constants  $K_{m,DOC} = 15 [uM]$  and  $K_{m,NO_3} = 45 [uM]$  (Regnier and Steefel, 545 1999). For soil N leaching and denitrification, the SSA were respectively tuned as 1.6 × 546  $10^{-6}$  and 7.5 ×  $10^{-5}$  [m<sup>2</sup>/g] to reproduce observed stream nitrate dynamics. The calibrated 547 values were orders of magnitude lower than the lab measured SSA of natural materials 548 549 (e.g., SOM, 0.6 ~ 2 m<sup>2</sup>/g) (Rutherford et al., 1992). Such discrepancies between calibrated effective reactive surface area (i.e., solid-water contact area) and lab measured 550 551 absolute surface area are consistent with other observations in literature (Li et al., 2014;Heidari et al., 2017;Wen and Li, 2017, 2018). The uptake rate constant was 552 calibrated by constraining the partitioning of N transformation flux between denitrification 553 and plant uptake by the ratio of 1:5, a value estimated from field measurements of 554 555 gaseous N outputs (3.53 kg-N/ha/yr) and plant N uptake (18.3 kg-N/ha/yr) (Weitzman and Kaye, 2018). The uptake rate constant in the deep zone (> 2 m in depth) was considered 556 557 negligible (Hasenmueller et al., 2017). Groundwater nitrate was initialized as 0.43 mg/L, the average of measured groundwater concentration during 2009 - 2010. 558

559

560 Temporal nitrate dynamics. Three cases were set up to understand and quantify the effects of different processes in determining nitrate dynamics (Figure 8a). The transport-561 only case (dashed line, tran) simulates nitrate input from precipitation (at 1.4 ± 0.96 mg/L, 562 based on the 2009 data of NADP PA42 site) and N transport but without any reactions. It 563 overestimated stream nitrate data (0.33 ± 0.39 mg/L) throughout the year. The transport 564 + N reactions case (gray line, tran + N react) has denitrification and soil N leaching 565 processes but not plant uptake. These two reactions lowered the nitrate concentration 566 slightly, as these two processes compensate each other in adding and removing nitrate 567 from water. The transport + N reactions + uptake case (thick black line, tran + N react + 568 upta) have all processes. It significantly lowered the nitrate concentration, especially in 569 570 April-May and October-December. Nitrate peaks from May to July, exhibiting comparable 571 levels of high nitrate concentration (Figure 8b). It is noticeable that the three cases almost overlapped at these overestimated short nitrate peaks, suggesting nitrate-rich 572 precipitation may not be routed into the subsurface where denitrification and plant uptake 573 could occur. 574

Although precipitation from April to August accounted for 70% of the total 575 simulation period, larger storm events in October contributed more to nitrate export. 576 Deeper groundwater had higher nitrate concentration than shallow water, because most 577 plant uptake occurred in the shallow zone. The nitrate fluxes into the deeper zone 578 however only contributed 26% of stream nitrate export at the annual scale, due to the 579 relatively small groundwater contribution (9.5%) to the stream. Denitrification and plant 580 uptake largely occurred during wet spring with leaves growing. Denitrification peaks often 581 appeared after major storm events because wet conditions facilitate denitrification. 582 Comparing the three outfluxes (Figure 8c), nitrate export via discharge (red) was 583 negligible compared to denitrification (blue) and plant uptake (green). At the annual scale, 584 stream export accounted for 9.5%, whereas denitrification and plant uptake took up 15% 585 and 75% of deposited NO<sub>3</sub><sup>-</sup>, respectively. In other words, as Nitrate enters this system via 586 precipitation, plant uptake can play a significant role in reducing nitrate level, indicating 587 588 precipitated nitrate is tightly cycled in the system.

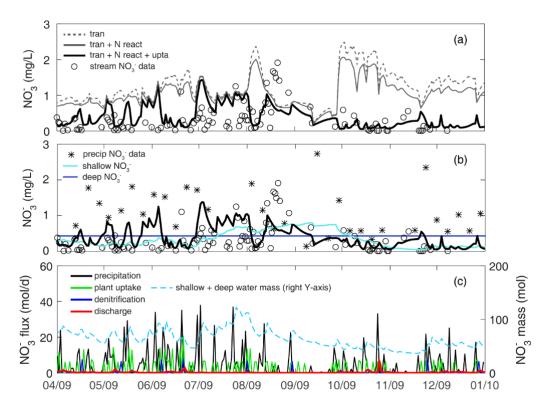


Figure 8. Stream nitrate dynamics and fluxes at Shale Hills in Example 2. (a) Three simulation
 scenarios with different processes are demonstrated here: *transport-only* (dashed line, *tran*),
 *transport + N reaction* (gray line, *tran + N react*), *transport + N reaction + plant uptake* (thick black

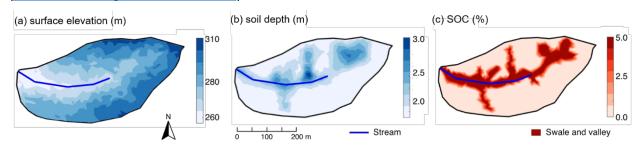
line, *tran* + *N react* + *upta*), where N reactions include both nitrate leaching and denitrification (see
Figure 7); (b) nitrate concentration in precipitation, shallow and deep water; (c) nitrate fluxes and
budget. Note that nitrate leaching was ignored in (b) due to its minimal flux as N deposition from
rainfall was the dominant input (Weitzman and Kaye, 2018).

597

# 598 6.3 Example 3: DOC production and export in a spatially distributed domain

This example showcases the application of BioRT-Flux-PIHM in a spatially 599 600 distributed mode. This work has been documented with full details in Wen et al. (2020). Here we only introduce some key features and capabilities in the spatially distributed 601 mode. The Shale Hills catchment was discretized into 535 prismatic land elements and 602 603 20 stream segments through PIHMgis based on the topography (Figure 9a). The heterogeneous distributions of soil depth and solid organic carbon within the domain 604 (Figure 9b-c) were interpolated through ordinary kriging based on field surveys (Andrews 605 et al., 2011;Lin, 2006). Other soil and mineralogy properties such as hydraulic 606 607 conductivity, van Genuchten parameters, and ion exchange capacity were spatially distributed following intensive field measurements (Jin and Brantley, 2011; Jin et al., 2010) 608

609 (criticalzone.org/shale-hills/data/).



### 610

Figure 9. Attributes of Shale Hills in the spatially distributed mode in Example 3: (a) surface 611 elevation, (b) soil depth, and (c) soil organic carbon (SOC). The surface elevation was generated 612 from lidar topographic data (criticalzone.org/shale-hills/data); Soil depths and SOC were 613 interpolated using ordinary kriging based on field surveys (Andrews et al., 2011;Lin, 2006). The 614 SOC distribution in (c) was further simplified using the high, uniform SOC (5% v/v) in swales and 615 616 valley soils based on field survey (Andrews et al., 2011). Swales and valley floor areas were 617 defined based on surface elevation via field survey and a 10 m resolution digital elevation model 618 (Lin, 2006).

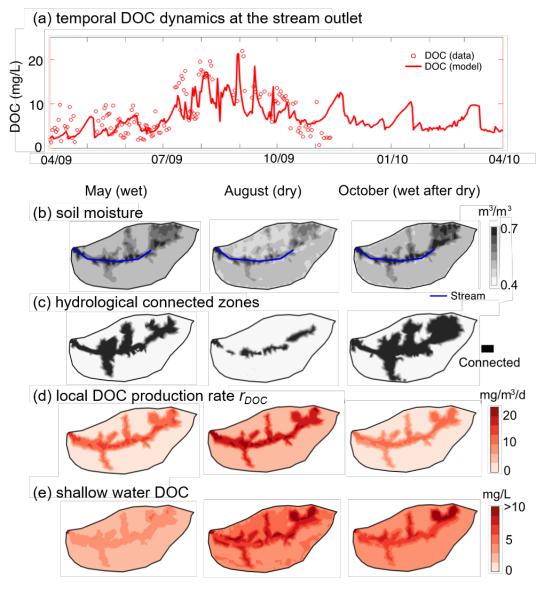
619

620 **Temporal and spatial patterns of DOC production and export.** The model outputs 621 followed the general trend of stream DOC measurements with the model evaluation index

NSE of 0.55 for monthly DOC concentration (Figure 10a). NSE values greater than 0.5 622 are considered good performance for monthly water guality model (Moriasi et al., 2015). 623 The model reproduced high DOC values (~15 mg/L) in the dry periods (July-September). 624 The model enabled the identification of reaction hot spots. In May when soil water is 625 relatively abundant, the valley and swales with deeper soils (Figure 10b) are generally 626 627 wetter compared to the hillslope and ridgetop, and are hydrologically connected to the stream (Figure 10b, c). The distribution of local DOC production rate  $r_{DOC}$  and DOC 628 concentration followed that of SOC (Figure 10c) and water content (Figure 10b). Low  $r_{DOC}$ 629 in relatively dry planar hillslopes and uplands resulted in low soil water DOC. The average 630 631 stream DOC (~5 mg/L) reflected soil water DOC in the valley and swales.

In August, the hydrologically-connected zones with high water content shrank to 632 the vicinity of the stream and river bed. With high temperature in summer,  $r_{DOC}$  increased 633 by 2-fold from May across the whole catchment while still exhibited the highest values in 634 the SOC-rich regions. Soil water DOC concentration increased by a factor of 2 because 635 the produced DOC was trapped in low soil moisture areas that were not hydrologically 636 connected to the stream. In the north side with low water content (Figure 10b), the soil 637 water DOC (~7 mg/L in average) accumulated more than the south side (~5 mg/L in 638 average). The high shallow water DOC ( $\sim 10 \text{ mg/L}$ ) in the stream vicinity dominated the 639 stream DOC in August. 640

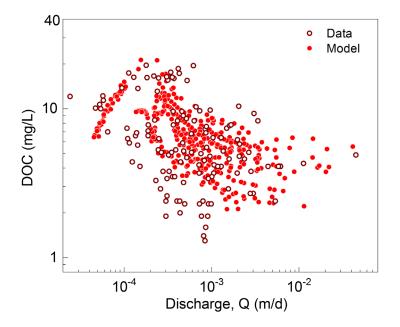
In October, precipitation wetted the catchment again. The hydrologically connected zones expanded beyond swales and the valley to the upland hillslopes (Figure 10c). The increase in hydrological connectivity zones favored the mixing of shallow water DOC sourced from upland hillslopes (low DOC), swales, and valley (high DOC) into stream rather than only from the stream vicinity with high DOC in the dry August, leading to a drop in stream DOC.



**Figure 10**. (a) Temporal dynamics of stream DOC concentration; spatial profiles of (b) shallow soil moisture, (c) hydrologically connected zones, (d) local DOC production rates  $r_{DOC}$  and (e) shallow water DOC concentration in May (wet), August (dry), and October (wet after dry) of 2009. The soil DOC and  $r_{DOC}$  were high in swales and valley with relatively high shallow water and SOC content. August had the highest shallow water DOC concentration compared to May and October, because most DOC accumulated in zones that are disconnected to the stream.

654

**C-Q patterns.** The DOC C-Q relationship showed a non-typical pattern with flushing first and transitioning into a dilution pattern, with an overall C-Q slope b = -0.23 (Figure 11). At low discharges (<  $1.8 \times 10^{-4}$  m/d) in the summer dry period, the stream DOC mainly came from the organic-rich swales and valley floor zones with high soil water DOC (Figure 10e). With discharge increasing in wetter period (i.e., spring and fall), the contribution
from planar hillslopes and uplands with lower DOC concentration increased (Figure 10e),
leading to the dilution of stream DOC.



662

**Figure 11**. Relationships between daily discharge (*Q*) and stream DOC concentration. With the increasing *Q*, the stream water first shifted from the dominance of groundwater with low DOC at very low discharge to the predominance of organic-rich soil water from swales and valley at intermediate discharge. As the discharge increases further, the stream water switches to the dominance of high flow with lower DOC water from planar hillslopes and uplands, resulting in a dilution C-Q pattern (modified from Wen et al., 2020).

669

# 670 7. Discussion

BioRT-Flux-PIHM brings the reactive transport modeling capabilities to the 671 672 watershed scale, enabling the simulation of subsurface shallow and deep flow paths and biogeochemical reactions influenced by hydroclimatic conditions and land-surface 673 interactions. The expanded model capability of simulating bio-mediated processes such 674 as plant uptake, soil respiration, and microbe-mediated redox reactions enables the 675 676 simulation of carbon and nutrient cycling in the shallow subsurface. The inclusion of the deep groundwater zone allows the exploration of the effects of subsurface structure on 677 hydrological partitioning between shallow soil lateral flow and deep groundwater, and their 678 relationships with stream discharge. Although not shown here, the model can also 679 680 simulate deeper groundwater coming from regional aquifers across the outer boundary.

This can be particularly useful for watersheds of higher stream orders, where a large proportion of deep water may come from nearby regional aquifers.

The advantage and disadvantages of simple versus complex models have long 683 been debated in the modeling community (Fatichi et al., 2016;Li et al., 2020;Wen et al., 684 2021). The computational cost of solving a spatially distributed, nonlinear, multi-685 component reactive transport model is high, posing challenges for the application of 686 ensemble-based analysis. With additional reactions and transport processes, the model 687 688 includes more functions (such as reaction kinetic rate laws) and parameters (e.g., reaction 689 rate constants, surface area) than hydrological models. The complexity brings in issues of equifinality, uncertainty, and data demands (Beven, 2001, 2006;Kirchner et al., 1996). 690 These issues will persist even though reactive transport models will be constrained by 691 additional chemical data. 692

It is in this spirit of balancing the cost and gain that we present both spatial 693 distributed and lumped modes for the BioRT model (Li et al., 2020). Compared to the 694 distributed version, the spatially implicit model requires less spatial data and is 695 696 computationally inexpensive. It can assess the average dynamics of water and solute 697 dynamics and focus on the interacting processes without resolving spatial details. The lumped approach can accommodate basins with low data availability, and it can be easier 698 for students to learn. In contrast, spatially explicit representations enable the exploration 699 of the "hot spots" (e.g., swales and riparian zones with high soil water DOC concentrations 700 701 in Figure 10e) and their contribution to stream chemistry at different times. Spatial heterogeneities in watershed properties (e.g., soil types and depth, lithology, vegetation, 702 biomass, and mineralogy) are ubiquitous in natural systems and are challenging to 703 resolve. A general understanding of the linkage between local catchment features and 704 catchment-scale dynamics (e.g., stream concentration dynamics and solute export 705 pattern) is often lacking. The spatially distributed model provides a tool to explore these 706 questions. Ultimately, the choice of the model complexity level depends on research 707 708 guestions that the model is set to answer and the available data. At the end, we all need to balance cost and gain when deciding to use a simple or complex model, striving to be 709 "simple but not simplistic" (Beven and Lane, 2019). 710

### 711 8. Summary and conclusion

This paper introduces the watershed-scale biogeochemical reactive transport code 712 713 BioRT (short for BioRT-Flux-PIHM). The code integrates processes of land-surface interactions, surface hydrology, and multi-component biogeochemical reactive transport. 714 The new development enables the simulation of 1) biotic reactions including plant uptake, 715 soil respiration, and microbe-mediated redox reactions, and 2) surface water interactions 716 with groundwater from deeper subsurface that still interacts with streams. BioRT has been 717 verified against the widely used reactive transport code CrunchTope for soil carbon, 718 nitrogen, and phosphorus processes. It has been applied to understand carbon, nitrogen, 719 and weathering processes at Shale Hills in Pennsylvania, Coal Creek in Colorado, and 720 Volcán Chimborazo watershed in Andes in Ecuador. Here we showcase the modeling 721 capability of surface-groundwater interactions and reactive transport processes relevant 722 to nitrate and DOC in Shale Hills in two simulation modes. One is in a spatially lumped 723 724 mode using averaged properties and another is in a spatially distributed mode with consideration of spatial heterogeneity. Results show that the deep groundwater flow that 725 726 interacts with the stream is primarily controlled by the hydraulic conductivity contrast between shallow and deep zone. biogeochemical reactions in shallow soil primarily 727 728 determine the stream water chemistry under high flow conditions. The spatially lumped method with two lumped grids can capture the temporal dynamics of average behavior 729 730 and mass balance; the spatially distributed running mode can be used to understand the spatial dynamics and to identify "hot spots" of reactions. The code can be used for 731 biogeochemical reactive transport simulations in watersheds under diverse climate, land 732 cover, and geology conditions. 733

734

Data availability. Field data (e.g., discharge, stream chemistry) is archived at Shale Hills
 data portal: <u>http://criticalzone.org/shale-hills/data/datasets/</u> or maintained at HydroShare:
 https://www.hydroshare.org/group/147.

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Code availability. The current model release (BioRT-Flux-PIHM v1.0) is archived at:
 <a href="https://doi.org/10.5281/zenodo.3936073">https://doi.org/10.5281/zenodo.3936073</a>. Documentation, source code, and examples
 are available at GitHub repository: <a href="https://github.com/Li-Reactive-Water-Group/BioRT-Flux-PIHM">https://github.com/Li-Reactive-Water-Group/BioRT-Flux-PIHM</a>.
 Flux-PIHM.

- 744 **Competing interests.** The authors declare that they have no conflict of interest.
- 745

Author contributions. LL conceived the model idea and oversaw the model development. WZ coded the BioRT module, verified the code against the benchmark reactive transport model CrunchTope, and applied and tested the model at Shale Hills watershed. YS developed the deep groundwater component and integrated the BioRT-Flux-PIHM v1.0 into the MM-PIHM family. WH, LS, KS, DK, BS, and GHCN tested the code during its development and contributed study cases.

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