



# Configuration and Evaluation of a Global Unstructured Mesh Model based on the Variable-Resolution Approach

- 3 Yihui Zhou<sup>1,2</sup>, Yi Zhang<sup>3</sup>, Jian Li<sup>3</sup>, Rucong Yu<sup>3</sup>, Zhuang Liu<sup>4</sup>
- 4 <sup>1</sup>State Key Laboratory of Numerical Modeling for Atmospheric Sciences and Geophysical Fluid Dynamics (LASG),
- 5 Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China
- 6 <sup>2</sup>University of Chinese Academy of Sciences, Beijing, China
- <sup>3</sup>State Key Laboratory of Severe Weather (LaSW), Chinese Academy of Meteorological Sciences, China
   Meteorological Administration, Beijing, China
- 9 <sup>4</sup>National Supercomputing Center in Wuxi, Jiangsu, China
- 10 Correspondence to: Yi Zhang (<u>yizhang@cma.cn</u>)

11 Abstract. Targeting a long-term effort towards a global weather and climate model with a local refinement function, 12 this study systematically configures and evaluates the performance of an unstructured model based on the variable-13 resolution (VR) approach. Aided by the idealized dry- and moist-atmosphere tests, the model performance is examined 14 in an intermediate degree of complexity. The dry baroclinic wave simulations suggest that the 3D VR-model can 15 reproduce comparable solutions in the refined regions as a fine-resolution quasi-uniform (QU) mesh model, although 16 the global errors increase. The variation of the mesh resolution in the transition zone does not adversely affect the wave 17 pattern. In the coarse-resolution area, the VR model simulates a similar wave distribution to the low-resolution QU 18 model. Two multi-region refinement approaches, including the hierarchical and polycentric refinement modes, further 19 testify the model performance under a more challenging environment. The moist idealized tropical cyclone test further 20 enables us to examine the model ability in terms of resolving fine-scale structures. It is found that the VR model can 21 have the tropical cyclone stably pass the transition zone in various configurations. A series of sensitivity tests examines 22 the model performance in a hierarchical refinement mode, and the solutions exhibit consistency even when the VR 23 mesh is slightly perturbed by one of the three parameters that control the density function. Moreover, only the finest 24 resolution has a dominant impact on the fine-scale structures in the refined region. The tropical cyclone, starting from 25 the 2nd-refinement region and passing through the inner transition zone, gets intensified and possesses a smaller area 26 coverage in the refined regions, as compared to the QU-mesh model that has the same number of grid points. Such 27 variations are consistent with the behavior that one may observe when uniformly refining the QU-mesh model. Besides 28 the horizontal resolution, the intensity of the tropical cyclone is also influenced by the Smagorinsky horizontal diffusion 29 coefficient. The VR model exhibits higher sensitivity in this regard, suggesting the importance of parameter tuning and 30 proper model configurations.

# 31 1 Introduction

32 Increasing resolution is generally regarded as an effective way to improve global weather and climate modeling 33 (e.g., Jung et al., 2012; Wehner et al., 2014; Zhang et al., 2014; Yu et al., 2019). As the model resolution continuously 34 increases, much more computational resources are required, constituting a major challenge for efficient model 35 development and applications. This has led to the pursuit of locally refined, variable-resolution (VR) modeling 36 approaches. Unlike nested regional modeling with prescribed lateral boundaries, the VR approach maintains the global 37 modeling configuration while permits increased resolution for certain regions of interest. Numerical modeling 38 experience has shown that such an approach can preserve the benefits of high-resolution modeling for the region of 39 interest at a lower computational cost, as the total number of the grid points can be largely reduced (e.g., Sabin et al.,





40 2013; Rauscher and Ringler, 2014; Sakaguchi et al., 2015; Gettelman et al., 2018).

41 Although the VR models can achieve improvements in the region of interest, they may potentially suffer from 42 some problems. The nonuniform mesh degrades the global accuracy (St-Cyr et al., 2008; Weller et al., 2009). Thus, it 43 is important to maximize the model performance for the refined region, while retains or minimally degrades the 44 performance for other non-refined regions. Previous studies have investigated the impacts of local grid refinements on 45 the solution error for global atmospheric models (e.g., Ringler et al., 2008; Park et al., 2013), mainly based on the 46 single-region refinement. A suite of 2D shallow-water VR-model tests demonstrates that the solution error is primarily 47 controlled by the coarsest region (Ringler et al., 2011). Model sensitivities to the width of the grid transition zone and 48 the densifying ratio are primary foci, and have been examined by utilizing various test cases. Based on the spherical 49 centroid Voronoi tessellation (SCVT), Ringler et al., (2011) suspected that the width of the transition zone may lead to 50 increased errors. Liu and Yang (2017) suggested that the width of the transition zone may cause less additional errors 51 as compared to the increase of the densifying ratio. Rapid resolution variations may also lead to wave distortion and 52 artificial wave reflection in the transition zone. Within a unified global model framework, these problems in the 53 transition zone and the low-resolution region may potentially deteriorate the performance in the refined region. Given 54 the cost of accuracy, a primary question arises, that is, whether a VR model can generate a comparable simulation in 55 the refined region compared to the high-resolution quasi-uniform (QU) model, especially in a more diverse VR 56 environment.

57 A primary motivation of increasing resolution is to resolve fine-scale atmospheric fluid structures. Tropical 58 cyclone is a useful testbed and has been frequently used to examine resolution sensitivity. High-resolution global models 59 show their improvement in tropical cyclone statistics as the resolution increases (e.g., Walsh et al., 2012; Strachan et 60 al., 2013; Bacmeister et al., 2014). The global VR approach has also been explored to simulate tropical cyclones for cost-effective climate simulations (e.g., Zarzycki and Jablonowski, 2014). Unlike the adverse influence caused by the 61 62 boundary forcing in a nested regional model, the VR model captures smoother cloud patterns and smoother mid-level 63 jet structures, producing enhanced tropical cyclone activities (Hashimoto et al., 2015). Based on the VR meshes, the Community Atmosphere Model well maintains the tropical cyclone crossing the transition zone without discernable 64 wave reflection or grid imprinting (Zarzycki et al., 2014). The simulations of such synoptic-scale system crossing the 65 66 transition zone are of vital importance to the model stability, especially under higher resolution conditions.

67 While previous studies (e.g., Rauscher et al., 2013; Harris et al., 2016; Huang et al., 2016) have reported benefits 68 of VR modeling, how to properly utilize this technique is still a challenging task and deserves ongoing exploration (e.g., 69 Guba et al., 2014; Düben and Korn, 2014; Hendricks et al., 2016). In this study, we systematically configure and 70 evaluate the Global-to-Regional Integrated forecast SysTem (GRIST) Atmosphere framework based on the VR 71 approach. GRIST is a global model built on an unstructured Voronoi-Delaunay mesh. In previous studies, the 72 component performance in shallow water environment (Zhang, 2018; Wang et al., 2019), 3D dry dynamics (Zhang et 73 al., 2019) and idealized moist-atmosphere environment (Zhang et al., 2020) have been assessed in detail, mainly based 74 on the QU-mesh simulations. Neither the dry dynamical core (dycore hereafter) nor the dycore-tracer-physics (DTP 75 hereafter) split-coupling mechanism were systematically exposed to the more challenging VR configuration. It remains 76 unknown whether the model is able to behave properly when the local mesh variation is considered. The idealized test 77 cases drive the model towards some well-expected behaviors, facilitating some basic understanding of VR modeling. 78 The major objects of this study are:

To validate the behavior of the dynamical model in its VR configuration, and to understand the strength and
 weakness under various refinement meshes;

(ii) To provide a general guidance of utilizing different-style VR refinements for more realistic modeling in future.
 To achieve these goals, we first evaluate the VR model performance in dry baroclinic wave test cases based on





three different initial point sets. We focus on the ability of the VR model to match the QU-mesh solutions in the refined regions, and the model stability in terms of waves crossing through the transition zones. To balance the accuracy and computational cost, we test the model in two multi-region refinement modes, which are more flexible for enlarging the effective coverage of the fine-resolution regions given a certain number of grid points. The performance under the moist-physics configuration is examined by using an idealized tropical cyclone test. A series of numerical tests was performed to examine the model reliability under more challenging conditions.

The remainder of this paper is organized as follows. Section 2 describes the model and mesh generation. Section 3 examines the performance of the VR model against the QU one based on dry-atmosphere simulations. The model performance under higher-resolution conditions with various mesh refinement modes is also investigated. Section 4 documents model sensitivity based on moist-atmosphere simulations. Section 5 presents a summary.

## 93 2 Model and mesh generation

### 94 2.1 Model and configurations

95 The model evaluated here is a developing version of the Global-to-Regional Integrated forecast SysTem (GRIST) 96 Atmosphere framework, the version is named after the last two numbers of the solar calendar year (i.e., GRIST-A20). 97 The code is able to exactly regress to the state reported in Zhang et al. (2020), although significant code refactoring is 98 continuously added. It uses an unstructured-mesh formulation, which permits the use of SCVT (Ringler et al., 2008; 99 Jacobsen et al., 2013) that enables VR modeling. The dycore framework is described and evaluated in Zhang et al. 100 (2019). The moist atmospheric model is equipped with a general physics-dynamics coupling workflow that has a DTP 101 split-coupling mechanism, and details were described in Zhang et al., 2020. The dry-mass coordinate allows a switch 102 between the hydrostatic and nonhydrostatic solvers, and exactly (to machine roundoff) conserves the dry air mass. The 103 3D tracer transport module has several horizontal and vertical options for various applications (cf., Zhang et al., 2020). 104 In this study, the Two-step Shape-Preserving Advection Scheme (TSPAS; Zhang et al., 2017; Yu, 1994) was used as the 105 horizontal one, and a Runge-Kutta based third-order upwind scheme (Wicker and Skamarock, 2002) is used as the 106 vertical one.

In this study, we employ both the nonhydrostatic and hydrostatic dynamical core. Results of the high-resolution QU-mesh model are used as a reference for evaluating the performance of the VR model. We use a physically-based Smagorinsky horizontal diffusion (Smagorinsky, 1963) with the second-order Laplacian operators. It is activated in all dry and moist experiments except for the dry test on the lowest-resolution QU mesh (i.e., G6 in this study). For the moist simulations, we couple the model with a simple-physics package that has important driving mechanisms for tropical cyclones (Reed and Jablonowski, 2012), which provides a higher degree of complexity than the dry simulations. A summary of the mesh resolution and timesteps for each experiment is given in the supplement file (Table S1, S2).

#### 114 **2.2 Generation of the variable-resolution mesh**

Technically, running an unstructured-mesh model in a VR configuration only requires altering the mesh file (when idealized test cases are considered). A detailed description of the properties and generation of the SCVT is provided in Ringler et al. (2011) and Ju et al. (2011). In this study, we focus on two key elements of the SCVT: generators and density function. A spherical Voronoi tessellation is a spatial subdivision of a sphere  $\Omega$  based on a set of distinct points on  $\Omega$ . For each point  $x_i$ , i = 1, ..., n, the corresponding Voronoi region  $V_i$ , i = 1, ..., n, is defined by:

120 
$$V_i = \{x \in \Omega \mid ||x - x_i|| < ||x - x_j|| \text{ for } j = 1, ..., n \text{ and } j \neq i\},$$
 (1)

121 where  $\|\cdot\|$  denotes the geodesic distance. Each point  $x_i$  is called a generator and its corresponding Voronoi region  $V_i$ 





is also called the Voronoi cell. A spherical Voronoi tessellation mesh becomes a SCVT mesh when the generators are also the centroids of the corresponding Voronoi regions, which are derived from a density function defined on  $\Omega$ . In this study, the SCVT mesh is constructed via an iterative process based on the Lloyd's algorithm (Du et al., 1999). In our implementation, the iteration continues until the circumcenter of each Delaunay triangle falls within the triangle. Instead of the time-consuming serial construction, we generate the VR tessellation based on a parallel algorithm (Jacobsen et al., 2013).

## 128 2.2.1 Generators

129 In this study, we use three different ways to construct the original generators.

- 130(i)Icosahedron bisection. The icosahedron-based SCVT meshes benefit the excellent uniform properties due to131bisections of a regular icosahedron. We use the vertexes of the polyhedron after icosahedral bisections as the132initial generators for constructing the SCVT. The mesh resolution is referred to as Grid-level/Gn, where n133denotes the number of bisections. After each bisection, the grid points of the meshes are approximately four134times more than the former one.
- 135 (ii) Icosahedron bisection with a final-step trisection. Given the requirement for less computational cost, less generators are desired to be used for the VR mesh than the uniform high-resolution mesh. If the VR mesh (one 136 137 based on refining the QU mesh) uses the same number of points as the one-level-lower QU mesh, the densifying ratio between the fine- and coarse-resolution regions has to be quite large to achieve fine enough 138 139 resolution in the refined region. Too large densifying ratio may lead to much larger simulation errors. Here, 140 we conduct a final-step trisection (B3) instead of the bisection to achieve an intermediate resolution between 141 two neighboring G-level resolutions. For instance, the resolution of the QU G5B3 mesh (~80 km) is three 142 times finer than G5 (~240 km), between G6 (~120 km) and G7 (~60 km). The number of the added primal 143 cells (mainly hexagons) from G5 to G5B3 is equal to four times the number of the dual cells (triangles) in G5. 144 (iii) Spherical uniform random (SUR) set of points. Initial points are created uniformly on the sphere  $\Omega$  by the 145 Monte Carlo method (Metropolis and Ulam, 1949). In this way, the set of generators can be obtained by using 146 an arbitrary number of initial points, not restricted to the fixed number of points as in the icosahedron bisection.
- 147 2.2.2 Density function

148 The advantage of the SCVT is their freedom to specify the density function. For any two Voronoi regions indexed 149 by *i* and *j*, the conjecture is:

150 
$$\frac{\mathrm{d}\mathbf{x}_{\mathrm{i}}}{\mathrm{d}\mathbf{x}_{\mathrm{j}}} \approx \left[\frac{\rho(\mathbf{x}_{\mathrm{j}})}{\rho(\mathbf{x}_{\mathrm{i}})}\right]^{1/4},\tag{2}$$

where  $\rho(x_i)$  is the density function evaluated at  $x_i$ , and  $dx_i$  measures the local mesh resolution (Ju, 2007). By specifying the density function, the SCVT meshes are able to precisely control the distribution of the local resolution, which provides a convenient way for various refinement modes.

A QU mesh forms when the density is constant on the sphere, and the Voronoi region is approximately equivalent
 to each other. The basic density function for the VR SCVT in this study is expressed as:

156 
$$\rho(x_i) = \frac{1}{2(1-\gamma)} \left[ \tanh\left(\frac{\beta - \|x_{rc} - x_i\|}{\alpha}\right) + 1 \right] + \gamma, \tag{3}$$

157 where  $||x_{rc} - x_i||$  denotes the geodesic distance between the location of the refinement center and each generator lying 158 on the surface of the sphere. Here,  $\alpha$  indicates the width of the transition zone between the fine-resolution and coarse-159 resolution regions;  $\beta$  defines the coverage radius of the fine-resolution region;  $\gamma$  measures the densifying ratio of the





- mesh resolution between the fine and coarse regions. The densifying ratio should better have an moderate value, e.g.,
  no greater than 1:4 (Liu and Yang, 2017), to constrain the solution error. To balance the demand for less grid points and
- 162 smaller errors, we fix  $\gamma = (1/4)^4$  in this study, marked as X4.
- 163 The basic density function is flexible to adjust the mesh because of its three-parameter space, but it can only be 164 used for a single-region refinement. Here, we adjust the basic density function for multi-region refinement. The multi-165 region refinement is divided into two different modes based on the refinement centers. In a hierarchical refinement 166 mode, we add a uniform intermediate-resolution region between the inner fine-resolution and the outer coarse-167 resolution regions. Eq. (3) can be generalized to a form that allows to control the resolution of the intermediate region:

168 
$$\rho(x_i) = \frac{1}{2(1-\gamma)} \left[ \frac{1-\lambda}{1-\gamma} \tanh\left(\frac{\beta_1 - \|x_{rc} - x_i\|}{\alpha_1}\right) + \frac{\lambda - \gamma}{1-\gamma} \tanh\left(\frac{\beta_2 - \|x_{rc} - x_i\|}{\alpha_2}\right) + 1 \right] + \gamma.$$
(4)

169  $\lambda$  is designed to control the resolution of the intermediate-resolution region, also referred to as the 2nd-refinement

170 region  $(dx_{r_2})$  that is located between the 1st-refinement  $(dx_{r_1})$  and the coarse-resolution regions  $(dx_c)$ :

$$171 \qquad \frac{dx_{r_1}}{dx_{r_2}} \approx \lambda^{1/4}.$$
(5)

172 The function of  $\gamma$  is similar to that in the previous single-region refinement, except that the fine-resolution region is 173 referred to as the 1st-refinement region here:

$$174 \qquad \frac{dx_{r_1}}{dx_c} \approx \gamma^{1/4}.\tag{6}$$

175 Corresponding to  $\gamma$ , we refer to the meshes that are generated based on  $\lambda$  values of (1)<sup>4</sup>, (1/2)<sup>4</sup>, and (1/3)<sup>4</sup> as 176 XL1, XL2, and XL3 meshes, since the resolutions of the 1st-refinement and the 2nd-refinement region vary according 177 to the inner densifying ratios of 1, 2, and 3, respectively. Generally, the value of  $\lambda$  is between  $\gamma$  and 1. Under such 178 circumstances, a hierarchical densifying mode mesh will be finally constructed via the iterative procedure (Fig. 1). As 179  $\gamma$  is fixed at X4, the hierarchical meshes in this study are called X4L1, X4L2, and X4L3 meshes for short. In a 180 polycentric refinement mode, by adding a different refinement center  $x_{rc2}$ , the density function of the polycentric 181 refinement mode is defined as:

182 
$$\rho(x_i) = \frac{1}{2(1-\gamma)} \left[ \tanh\left(\frac{\beta - \|x_{rc1} - x_i\|}{\alpha}\right) + \tanh\left(\frac{\beta - \|x_{rc2} - x_i\|}{\alpha}\right) + 2 \right] + \gamma.$$
(7)

183 The geodesic distance between the two refinement centers must satisfy  $||x_{rc1} - x_{rc2}|| > 2\beta$ .

# 184 3 Dry-atmosphere simulations

# 185 3.1 Single-region refinement

186 The dry-atmosphere test examines the pure numerical solution of the model. It does not include the nonlinear 187 interaction between dynamics, moisture transport and parameterization. To evaluate the performance of the VR model, 188 we first compare the model dynamics with that simulated by a QU configuration, based on the dry baroclinic wave test case of Jablonowski and Williamson (2006). The QU meshes used here include G6 (~120 km; 40,962 cells), G7 (~60 189 190 km; 163,842 cells), and G8 (~30 km; 655,362 cells). Three types of generators for constructing the VR meshes are 191 obtained based on: (i) icosahedral bisection (G6X4), (ii) final-step trisection (G5B3X4), and (iii) spherical uniform random points (SURX4). The minimum iteration number is 300,000 for G6X4, and 1,000,000 for both G5B3X4 and 192 193 SURX4. To assess the simulated baroclinic waves in the refined and transition regions,  $x_{rc}$  is located at [180° E, 35° 194 N], with  $\alpha = \pi/20$  and  $\beta = \pi/6$ . Figure 2 displays an example of the X4 meshes used for this test.





Figure 3 shows the global distribution of surface pressure at day 9 simulated by the nonhydrostatic core in the baroclinic wave test. The location and magnitude of the high- and low-value centers in the three VR simulations (Figs. 3d-3f) well match those in the QU-mesh solutions (Figs. 3a-3c). Due to the asymptotic density function used for the VR meshes, we find some grid imprinting patterns in G6X4. In the G5B3X4 case, the distribution of surface pressure in the equatorial region well coincides with that of G8. The grid imprinting pattern in the southern hemisphere still exists, but has been slightly alleviated as compared to G6X4. The distribution of surface pressure in SURX4 is similar to G8 except for the grid imprinting pattern in the coarse region.

To quantify the influence of the VR meshes on the solution accuracy, we calculated the global level 2  $(l_2)$  error norms for surface pressure. The error in each simulation is computed against the G8 solution. The evolution of the  $l_2$ error in the hydrostatic solver (Fig. 4a) is close to that in the nonhydrostatic one (Fig. 4b). During the first 10 days, the  $l_2$  errors in all VR simulations are close to that of G6. Among the three VR meshes, the  $l_2$  value of the G6X4 test is the largest, but its error in the nonhydrostatic test is slightly smaller than that in the hydrostatic test. In the nonhydrostatic model, the curve of G6X4 almost lies within the uncertainty regime that represents the maximum error norm within the hydrostatic and nonhydrostatic models. In general, the solution accuracy of two solvers is comparable.

As for the QU meshes, the  $l_2$  error in the G7 test is overall smaller than G6. An increase in the number of generators greatly eliminates the grid imprinting in the globe. As for the VR meshes, the difference in  $l_2$  errors between SURX4 and G5B3X4 reflects the influence of the distribution of the initial generators on the mesh quality. The SURX4 model possesses more grid points, but its solution error is slightly higher than G5B3X4 at all 15 days. This implies that the randomly generated initial points are more likely to be trapped into the local area during the iterative procedure of mesh generation, leading to more local mesh irregularities. Owing to the more well-distributed initial points, the error in the G5B3X4 simulation is mostly smaller than that in the QU G6 during the first 10 days.

216 Figure 5 illustrates the distribution of relative vorticity at the model level near 850 hPa after 10 simulation days. 217 Note that these values are displayed on the original unstructured triangular cell that defines vorticity, so the oscillation 218 at the smallest grid space (more conspicuous for the coarse resolution; e.g., Fig. 5a) reflects the grid shape rather than 219 grid-scale noise (see the QU results interpolated to the regular longitude-latitude grids in Fig. S1). As the resolution 220 increases, the QU model produces stronger vortices with an evident filament structure (Figs. 5a-5c). In the VR model, 221 the two vortices in the west fall within the fine-resolution regions. The structure of the westernmost vortex in the G6X4 222 simulation (Fig. 5d) is close to G8. The G5B3X4 test (Fig. 5e) captures the fine-scale structure of the two vorticity 223 centers. The magnitudes of the westernmost vortex in G5B3X4 are even closer to those in G8 than G7. When more 224 points are used, as shown in SURX4 (Fig. 5f), both the simulated structure and the magnitudes of vorticities are further 225 improved. The easternmost vortex falls within the transition zone across the fine and coarse resolution. The variation 226 of the mesh sizes there has little impact on the shape of the vortex. The structure and intensity of the vortex are better 227 simulated by G5B3X4 as compared to G6X4. Although the mesh resolutions in the transition zone are coarser than the 228 fine-resolution regions, the pattern of the vortices in G5B3X4 and SURX4 is quite close to that in G8.

The VR model generally simulates the smooth structure of the vortices in the refined region, as the high-resolution QU model. In the SURX4 test, however, a slight roughness is found on the tails of the vortices. This defect is largely caused by local mesh irregularities. Compared to the icosahedron-based SCVT meshes, the random initial generators degrade the mesh quality and the simulation performance. Nevertheless, the deterioration hardly does harm to the pattern and magnitude of the vorticity. Corresponding to grid imprinting in the surface pressure over the southern hemisphere, the relative vorticity distributions there in the VR simulations are not as uniform as that in the QU models (figure not shown).

To have a more quantitative evaluation of the momentum field, the horizontal kinetic energy spectra are investigated. The QU-mesh simulation data have been interpolated to T106 (G6), T213 (G7), and T426 (G8) Gaussian





238 grids for spherical harmonic analysis. The VR data have been interpolated to T106. The total kinetic energy spectra of 239 the nonhydrostatic and hydrostatic cores are quite close to each other (Figs. 6a, 6d). For the high wave numbers, the 240 curves of G5B3X4 and SURX4 are close to G8. This proves that the VR model is able to resolve fine-scale structure in 241 its refined region. Near the wave number of 100, the curve of G5B3X4 coincides well with G8 regarding both the 242 rotational and divergence component. At such high wave numbers, SURX4 is even closer to G8 in the rotational 243 component due to the increased number of grid points. Regarding the divergence component, SURX4 suffers from the 244 tiny fluctuations due to local irregular grids at high wave numbers. However, the entire curve of SURX4 overall matches 245 that of G8.

246 Previous VR modeling based on the shallow water tests has found that the error in the refined region can be 247 significantly reduced, and little spurious wave reflection were found in the transition zone, but the error outside of the 248 refined region is relatively large (Düben and Korn, 2014). To further inspect whether the VR model can achieve quality 249 simulations in the coarse regions, we conduct a comparison by adding a meridional symmetrical perturbation of the 250 zonal wind at the southern hemisphere, as in Gassmann (2013). The initial location and magnitude of perturbation are 251 consistent with that in the north. The values of the simulated relative vorticity in the southern hemisphere have been 252 finally substituted by their opposite numbers to facilitate examining the differences over two hemispheres. Figure 7 253 shows the relative vorticity field at day 10. The QU model (Fig. 7a) produces very similar wave train in each hemisphere, 254 although the mesh is not symmetric across the equator. In the two VR simulations (G6X4 and G5B3X4; Figs. 7b, 7c), 255 the model resolves finer scale structures of the northern vortices. In the southern hemisphere, the pattern in G6X4 is 256 similar to G6, though the intensity of the vortices is weaker due to its coarser resolutions. The G5B3X4 model 257 reproduces an equivalent structure and magnitude as G6. The consistence between the VR and the QU solutions 258 validates the reliability of the VR model for global simulations.

## 259 3.2 Multi-region refinement

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260 The density function used above has the advantage of alleviating additional damage caused by the transition zone 261 due to its gradual refinement. However, this formulation is restricted to a single-refinement region, which has limitation 262 if multiple regions of interest demand higher resolutions. Enlarging the single-refinement region to cover the multiple 263 interested areas can lead to high costs, especially when the areas are far from each other. Two multi-region refinement 264 modes are thus examined to obtain desired resolutions in multiple regions while fix the number of the total grid points. 265 The first one is the hierarchical refinement mode with one refinement center. This mode contains three consecutive uniform sub-regions outside the refinement center: the 1st-refinement region, the 2nd-refinement region and the coarse-266 267 resolution region. The 2nd-refinement region provides an intermediate resolution between the 1st-refinement and 268 coarse-resolution regions. To examine the model performance in the higher resolution simulations, we conduct the 269 symmetrical perturbation baroclinic wave test using G8X4 superposed by the hierarchical refinement mode XL2 (i.e., 270 G8X4L2). The refinement center is identical to the single-region refinement in Sect. 3.1. At day 10, the vortices in the 271 southern hemisphere move into the 2nd-refinement regions (Fig. 8a). The fine-scale structures of these waves can be 272 well simulated by the model, though the mesh resolution there is actually coarser than that in the north. To the east of

The hierarchical refinement mode is potentially useful to regional high-resolution modeling. However, some influencing systems far away from the refinement center may require equivalent or even finer resolution for accurate representation. Here, we adopt the second multi-region mode, the polycentric refinement mode with diverse refinement centers. We conduct the symmetrically perturbed baroclinic wave test based on a polycentric-refinement G7X4 mesh with two different refinement centers at [180° E, 35° N] and [180° E, 35° S], respectively. At day 10, the model well simulates the fine-scale structures over two refined regions (Fig. 8b). The vorticity pattern in the southern hemisphere

the 1st-refinement region, the vorticity in the inner transition zone is also well preserved.





well coincides with that in the north. Since the refinement mode is modified based on the gradual refinement type, the transition between the fine and coarse regions are smooth and stable. This refinement mode may provide an effective way to simultaneously improve the simulations at different regions.

#### 283 4 Moist-atmosphere simulations

284 We use the idealized tropical cyclone test case (Reed and Jablonowski, 2011, 2012) as a prototype for moist-285 atmosphere modeling, which includes the nonlinear interaction of dynamics, moisture transport and parameterization. This test is useful to examine the VR performance because the solution does not fully converge even at 10 km resolution 286 287 (e.g., Zhang et al., 2020). The simulated tropical cyclone is very sensitive to the mesh size, and higher-resolution will 288 produce more intense storms. Two groups of numerical tests have been conducted: one group based on the 289 nonhydrostatic solver with the DTP splitting function enabled; and one based on the hydrostatic solver with no DTP 290 splitting (i.e., dycore, tracer transport and physics use the same time step). Most experimental configurations follow 291 those in Zhang et al. (2020) except the time step. Results from these two groups are overall consistent. In the following 292 main text, only the results from the nonhydrostatic model will be shown, and the results from the hydrostatic model are 293 given in the supplement file (Figs. S2-5) for a reference.

294 We investigate the maintenance of the tropical cyclone when it goes across the transition zone in the hierarchical 295 refinement mode. Given the size-controllable formulation of the density function, we evaluate two ways to examine 296 the evolution of the tropical cyclone. The first case is to have more rapid resolution changes in the inner transition zone 297 based on the two parameters,  $\alpha_1$  and  $\lambda$ .  $\alpha_1$  controls the width of the inner transition zone, and  $\lambda$  represents the 298 densifying ratio between the 1st- and 2nd-refinement regions. Either narrowing the width of the transition zone or 299 enlarging the inner densifying ratio generates a more abrupt transition zone. The other case is to have the transition 300 zone affect the tropical cyclone in an earlier stage. The initial cyclone is placed closer to or even partly within the 301 transition zone by increasing  $\beta_1$ , a parameter that denotes the coverage radius of the 1st-refinement region. In the 302 following experiments, we use  $\alpha_1 = \pi/36$ ,  $\lambda = (1/2)^4$ , and  $\beta_1 = \pi/12$  to generate a G6X4L2 mesh as a reference. 303 The 1st-refinement region in this VR mesh is ~40 km resolution. All these meshes are generated with fixed  $\alpha_2 = \pi/36$ 304 and  $\beta_2 = \pi/4$ .

305 The tropical cyclone well preserves its shape at day 10, although the transition zone possesses rapid resolution 306 changes (Fig. 9a). The tropical cyclone is initialized at [180° E, 10° N] in the 2nd-refinement region, near the transition zone between the 1st-refinement and the 2nd-refinement regions. During its movement from the 2nd-refinement into 307 308 the 1st-refinement region, the change in the grid size leads to little distortion on the tropical cyclone in each experiment. 309 The wind speed distributions of the tropical cyclone across the abrupt transition zone in several tests using different 310 meshes (Figs. 9b-9d) are quite close to that in Fig. 9a. In the control run, a minor cyclonic disturbance appears in the 311 2nd-refinement region. When the inner transition zone becomes narrower, this disturbance gets stronger due to the 312 larger area of this QU region. The location of the minor cyclonic disturbance is affected by the mesh distribution around 313 the transition zone. In contrast, the major cyclone maintains its strength and shape regardless of the mesh variation.

To verify this result, we examine the model sensitivity by conducting a series of experiments based on the three parameters (Fig. 10). Only one of the three parameters is altered for each experiment, as shown in the legends. The minimum surface pressure (Figs. 10a-10c) and maximum wind speed at 850 hPa (Figs. 10d-10f) are used as two proxies to quantify the intensity of the tropical cyclone. The tropical cyclone rapidly strengthens in the first two days before it turns into a gently developing stage in each experiment. The evolution of the intensity is diverse when each of the three parameters changes. However, the final intensity of the cyclone in the experiments is overall comparable, suggesting that the VR solutions are consistent.





321 Ringler et al. (2011) suggested that the global solution error is controlled primarily by the coarsest-resolution 322 region in a shallow-water VR model. Our tests imply that for the VR model, the simulation quality of the fine-scale 323 fluid structures is largely determined by the finest resolution, and other configurations only have a minor role in this 324 regard. It should be also noted that the tropical clone is overall stronger in each VR simulation than in the QU case that 325 has the same number of points. The tropical cyclone is initialized in the 2nd-refinement region of the VR mesh. The 326 rapid deepening of tropical cyclone in its early development stage benefits from the higher resolution of the 2nd-327 refinement region than the QU mesh. The cyclone still develops faster than the uniform one when it moves across the 328 inner transition zone.

329 To further investigate the fine-scale resolving ability of the VR model under higher resolution, we conduct this 330 test case based on the G7X4L2 mesh. The mesh parameters are as same as G6X4L2. The 1st-refinement region in the 331 G7X4L2 mesh is at ~20 km resolution. Fig. 11 shows the horizontal (850 hPa; Figs. 11a-11d) and vertical distribution 332 (Figs. 11e-11h) of the horizontal wind speed in QU and VR simulations. The tropical cyclone strengthens after it moves 333 through the transition zone of the G6X4L2 mesh. Compared to the QU-mesh model, the wind speed distribution is more 334 symmetric in the VR model. The maximum wind band of the cyclone converges towards its center, almost within 1 335 degree from the center. Moving to G7, the VR model based on the G7X4L2 mesh produces stronger storms. The 336 meridional distribution of its wind speed is nearly symmetric. The tropical cyclone expands to higher vertical levels 337 and its intensity increases. The VR model well captures the intensity and structure of the tropical cyclone in its higher-338 resolution region. The hydrostatic model (Fig. S4) are overall consistent with the nonhydrostatic model, with a slightly 339 stronger tropical cyclone.

340 Previous studies have shown that in the QU-mesh models, the tropical cyclone reduces its area coverage while 341 strengthens its intensity as the resolution increases (e.g., Fig. 3 in Zarzycki et al., 2014; Zhang et al., 2020). Our results 342 present a similar feature that the tropical cyclone possesses a smaller area coverage and higher intensity in the refined 343 regions, as compared to the QU model that has the same number of grid points. Moreover, we have found that the 344 intensity of the tropical cyclone is also influenced by the Smagorinsky horizontal diffusion coefficient (Fig. 12). For 345 the QU G6 mesh (Figs. 12a, 12b), as the coefficient becomes smaller, the minimum surface pressure decreases and the 346 maximum wind speed at 850 hPa increases. Although G6X4L2 shows similar variations as the coefficient decreases 347 (Figs. 12e, 12f), it exhibits higher sensitivity to the coefficient. Such difference still exists when the grid points increases 348 up to G7 (Figs. 12c, 12d, 12g, 12h). This highlights that for a VR model, a proper model configuration with well-tuned 349 parameters is more important to achieve good performance.

### 350 5 Conclusions

In this study, a global unstructured-mesh model is systematically tested and evaluated based on its VR configuration. We propose various refinement approaches and evaluate the model behaviors with the aid of dry and moist idealized test cases. The major conclusion is summarized as follows.

354 (i) Regarding the single-region refinement mode, the VR model possesses comparable accuracy compared to the 355 QU one. The G5B3X4 VR-mesh, which has about half number of grid points in the QU mesh G7, can capture 356 the fine-scale structure of vorticity in the fine-resolution region, closer to G8 than G7. In the transition zone, 357 the vorticity is not adversely affected by the variation of the mesh resolution. In the coarse region, G5B3X4 358 reproduces an equivalent distribution of vorticity as the lower-resolution G6. The multi-region refinement 359 modes provide a more flexible way to achieve desired resolution of multiple regions of interest. In these modes, the model also simulates the general pattern well, with the ability to resolve fine-scale filament structures of 360 361 the vortices.





362 (ii) For moist-atmosphere modeling, the VR model exhibits stability and robustness. In the hierarchical refinement 363 mode, a series of sensitivity tests based on the three refinement parameters validates the maintenance of the 364 tropical cyclone across the transition zone under the diverse refinement environment. The simulation of the fine-scale structure is mainly controlled by the finest-resolution region. The tropical cyclone rapidly develops 365 366 in the vicinity of the inner mesh transition zone. Compared to the QU model with the same number of grid points, the VR model simulates a stronger cyclone, with its maximum wind band converging towards the 367 368 cyclone center. Such a variation is similar to resolution sensitivity supported by the QU-mesh models. The 369 difference between the VR and QU models lies in their sensitivity to the Smagorinsky horizontal diffusion 370 coefficient: a higher coefficient tends to weaken the storm, and the VR model exhibits higher sensitivity than 371 the QU-mesh model in this regard. 372 Code and data availability: GRIST is available at https://github.com/grist-dey, in private repositories. A way is

373 provided for the editor and reviewers to access the code, which does not compromise their anonymity (to our best

- 374 effort). A version of the model code, running and postprocessing scripts for supporting this paper are available at:
- 375 https://zenodo.org/record/3930643. The grid data used to enable the tests are located at

376 https://zenodo.org/record/3817060. The entire model code is in an active development stage subject to several

377 projects. Full access is available to a member or a collaborator. The authority request may be sent to

378 grist dev@163.com.

379 Supplement: vr\_supplement.pdf contains Table S1, S2; Figs. S1-S5.

380 Author contribution: Y. Zhou performed mesh generation, numerical experiments, data analysis, with inputs from Y.

381 Zhang, and wrote the initial manuscript. Y. Zhang developed the model, designed this study and revised the manuscript.

382 J. Li supervised the project of model development. R. Yu supervised the team member and provided impetus and

383 resources. Z. Liu is responsible for parallel computing, and customized the parallel mesh generation software for GRIST.

All the authors continuously discussed the model development and the results of the manuscript. 384

385 Competing interests. The authors declare that they have no conflict of interest.

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498 Figure 1: A schematic diagram of the hierarchical refinement mesh, illustrating the function of three parameters of the

499 density function.  $\alpha_1$  controls the width of the inner transition zone;  $\lambda$  represents the inner densifying ratio between the

500 1st-refinement and 2nd-refinement resolution regions;  $\beta_1$  denotes the coverage radius of the 1st-refinement region.







502

- 503 Figure 2: An illustration of the X4 variable-resolution mesh used for the baroclinic wave test with the refinement center  $x_{rc}$
- 504 at [180° E, 35° N],  $\alpha = \pi/20$ , and  $\beta = \pi/6$ . The mesh sizes are scaled up here for a clear vision of each grid cell based on
- 505 reduced generators.







Figure 3: Baroclinic wave test: surface pressure (unit: hPa) at day 9 simulated by the nonhydrostatic model with (a-c) quasi uniform and (d-f) variable-resolution meshes.

510







Figure 4: Baroclinic wave test: the  $l_2$  error norms of surface pressure as a function of time for (a) the hydrostatic and (b) the nonhydrostatic dynamical core. The error of the low-resolution quasi-uniform mesh (black) and the variable-resolution mesh (red) is computed against the high-resolution quasi-uniform G8 mesh. The gray area denotes the uncertainty in the reference solutions, which selects the maximum  $l_2$  error norms from four curves (the quasi-uniform G7 and G8 cases considering the hydrostatic and nonhydrostatic dynamical core as two models), following Jablonowski and Williamson (2006).





518



519 Figure 5: As in Fig. 3, but for relative vorticity (10-5 s<sup>-1</sup>) at the model level near 850 hPa after 10 simulation days. The contour

520 lines denote the mesh resolutions (in kilometer). The vorticity is defined and displayed on the raw triangular grid (also true

521 for Figs. 7 and 8). See Fig. S1 for the remapped QU-mesh solutions on the regular latitude longitude grid.







Figure 6: Baroclinic wave test: horizontal kinetic energy spectra at the model level near 850 hPa at day 10 in terms of (a) the total kinetic energy, (b) the rotational component, and (c) the divergence component, based on quasi-uniform and variable-resolution meshes. Results from the hydrostatic (left column) and nonhydrostatic (right column) dynamical core are shown. The thick gray lines denote the -3 and -5/3 slopes, respectively. Units: J kg<sup>-1</sup>.







528 Figure 7: Adding a symmetrical perturbation in the southern hemisphere for the baroclinic wave test: relative vorticity (10-

<sup>5</sup> s<sup>-1</sup>) at the model level near 850 hPa at day 10 simulated by the dry dynamical core with (a) quasi-uniform G6, (b) variable resolution G6X4, and (c) G5B3X4 meshes. The values in the southern hemisphere are substituted by their opposite numbers

531 for a clear comparison. Data are displayed on the raw triangular grid that defines vorticity.

532













538 Figure 9: Idealized tropical cyclone test: the horizontal wind speed (m s<sup>-1</sup>) at 850 hPa after 10 simulation days based on

539 hierarchical refinement meshes with (a) the control, (b) reduced  $\alpha_1$  and (c) higher  $\lambda$  for more rapid changes in the mesh

540 resolution of the transition zone, and (d) larger  $\beta_1$  to make the transition zone affect the tropical cyclone in an earlier stage.

541 The red dashed lines mark the initial location of the tropical cyclone. The black contour lines denote the mesh resolutions

542 (km).







Figure 10: Idealized tropical cyclone test: temporal evolution of minimum surface pressure and maximum 850-hPa horizontal wind speed based on the quasi-uniform G6 and hierarchical refinement meshes with (a, d)  $\alpha_1$ , (b, e)  $\lambda$ , and (c, f)  $\beta_1$  changed in the sensitivity tests. As one parameter is changed, the other two parameters are fixed according to the control run with  $\alpha_1 = \pi/36$ ,  $\lambda = (1/2)^4$  (i.e., XL2), and  $\beta_1 = \pi/12$ . The three mesh parameters denote the width of the inner transition zone, the inner densifying ratio, and the coverage radius of the 1st-refinement region, respectively.







549 550 Fig

Figure 11: Idealized tropical cyclone test: (a-d) the horizontal wind speed (m s<sup>-1</sup>) at 850 hPa after 10 simulation days based

on quasi-uniform and variable-resolution meshes and (e-h) the corresponding vertical cross section of the wind speed with a meridional range of ±5-degree apart from the center of the tropical cyclone. The vertical coordinate of the cross section denotes the height (m).







Figure 12: Idealized tropical cyclone test: temporal evolution of minimum surface pressure and maximum 850-hPa
 horizontal wind speed based on the quasi-uniform meshes (left column) and hierarchical refinement meshes (right column)
 with decreasing Smagorinsky horizontal diffusion coefficients marked by colors.