

1 **Surface Urban Energy and Water Balance Scheme (v2020a) in vegetated areas:**
2 **parameter derivation and performance evaluation using FLUXNET2015 dataset**

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29

30 **Abstract**

31 To compare urban and rural areas, the fully vegetated areas (e.g. deciduous trees, evergreen trees and
32 grass) commonly found adjacent to cities need to be modelled. Here we provide a general workflow to
33 derive parameters for SUEWS (Surface Urban Energy and Water Balance Scheme), including those
34 associated with vegetation phenology (via leaf area index, LAI), heat storage and surface conductance. As
35 expected, attribution analysis of bias in SUEWS modelled Q_E finds the surface conductance (g_s) plays the
36 dominant role, hence there is need for more estimates of surface conductance parameters. The workflow
37 is applied at 38 FLUXNET sites. The derived parameters vary between sites with the same plant
38 functional type (PFT), demonstrating the challenge of using a single set of parameters for a PFT. SUEWS
39 skill at simulating monthly and hourly latent heat flux (Q_E) is examined using the site-specific derived
40 parameters, with the default NOAH surface conductance parameters (Chen et al. 1996). Overall
41 evaluation for two years has similar metrics for both configurations: median hit rate between 0.6 and 0.7,
42 median mean absolute error less than 25 W m^{-2} , and median mean bias error $\sim 5 \text{ W m}^{-2}$. Performance
43 differences are more evident at monthly and hourly scales, with larger mean bias error (monthly: $\sim 40 \text{ W}$
44 m^{-2} ; hourly $\sim 30 \text{ W m}^{-2}$) results using the NOAH-surface conductance parameters, suggesting that they
45 should be used with caution. Assessment of sites with contrasting Q_E performance demonstrates how
46 critical capturing the LAI dynamics is to the SUEWS prediction skills of g_s and Q_E . Generally g_s is
47 poorest in cooler periods (more pronounced at night, when underestimated by $\sim 3 \text{ mm s}^{-1}$). Given the
48 global LAI data availability and the workflow provided in this study, any site to be simulated should
49 benefit.

50 **Keywords:** SUEWS, FLUXNET, NOAH, LAI, surface conductance, Penman-Monteith equation

51 **1 Introduction**

52 The Surface Urban Energy and Water Balance Scheme (SUEWS, Grimmond et al., 1986, 1991,
53 Grimmond & Oke 1991, Järvi et al., 2011) is widely used to simulate urban surface energy and
54 hydrological fluxes, with heat and water released by anthropogenic activities accounted for (Grimmond et
55 al., 1986; Grimmond, 1992). SUEWS characterises the heterogeneity of urban surfaces allowing an
56 integrated mix of seven land covers within a grid cell (neighbourhood scale: $O(0.1\text{--}10 \text{ km})$) of impervious
57 (buildings, paved) and pervious (evergreen trees/shrubs, deciduous trees/shrubs, grass, soil, water) types.
58 Although SUEWS has been evaluated in cities around the globe (e.g. Karsisto et al., 2016, Ward et al.,
59 2016, Ao et al., 2018, Kokkonen et al., 2018, Harshan et al., 2018) with varying mixes of integrated

60 impervious-pervious land covers, its performance has not been comprehensively examined in fully
61 vegetated areas that commonly occur adjacent to cities.

62 One common and demanding application of urban climate models, including SUEWS, is to examine the
63 very well-known canopy layer urban heat island effects – parts of cities are often warmer than their
64 surroundings at night – and to understand the causes (Oke 1973, 1982). This requires both the “rural”
65 context – usually characterised by pervious land cover – to be simulated appropriately ideally using the
66 same modelling framework. As SUEWS v2020a (Tang et al. 2021) can diagnose near surface
67 meteorology in the roughness sub-layer and canopy layer (e.g., air temperature and humidity at 2 m agl
68 (above ground level), wind speed at 10 m agl), it is essential to ensure that any urban-rural comparison in
69 these diagnostics has the proper rural skill and parameters (i.e. coefficient values used in
70 parameterisations).

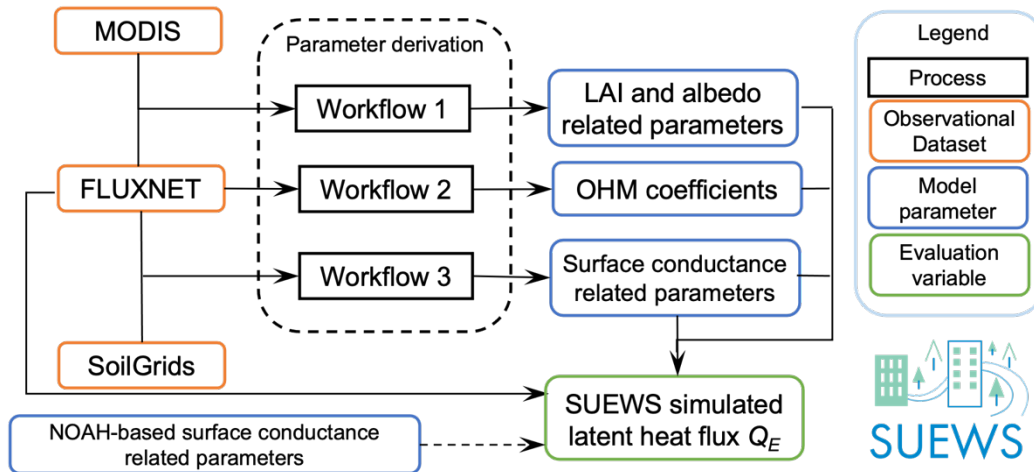
71 For meso-scale numerical weather prediction (NWP) of an urban region, both rural and urban areas need
72 to be simulated. With plans to couple SUEWS to a meso-scale model (e.g. Weather Research and
73 Forecasting (WRF), Skamarock and Klemp (2008)), most regions have extensive areas that have
74 completely pervious grid cells. As these need to be simulated using a consistent surface scheme, it is
75 essential to have appropriate parameters for these areas.

76 Central to the SUEWS biophysics, is the Penman-Monteith approach (Penman 1948; Monteith 1965) with
77 a Jarvis-type (Jarvis 1976) surface moisture conductance (Grimmond and Oke 1991). Despite various
78 parameters having been derived to account for different urban areas (e.g. land cover differences) and
79 regions (e.g. high/mid-latitude) to allow for changing phenology, conductance and storage heat flux
80 related parameters (e.g. Järvi et al. 2011, 2014; Ward et al. 2016), urban parameter estimates are lacking
81 partly because of limited observations and lack of a standard workflow for deriving parameters. Other
82 land surface schemes have parameters for a wide range of plant functional types (PFT) (e.g. NOAH
83 within WRF, Chen et al. 1996, Chen and Dudhia 2001) but are often derived from a small number of
84 observational sites and their widespread applicability is unexamined. For example, NOAH largely
85 adopted values from the HAPEX-MOBILHY observational program (Andre et al. 1986) following
86 Noilhan and Planton (1989).

87 FLUXNET (Baldocchi et al., 2001), a global network of research sites that monitor surface-atmosphere
88 exchanges of carbon, water, and energy using the eddy covariance technique, provide unprecedented
89 possibilities to advance the process-based land surface modelling with a comprehensive collection of
90 datasets for either development (e.g. Stöckli et al. 2008) or evaluation (e.g. Zhang et al. 2017). Extensive
91 analysis of FLUXNET datasets for the variety of terrestrial PFTs have considered various surface

92 atmosphere controls (e.g., albedo: Cescatti et al. 2012; latent heat flux: Ershadi et al. 2014; spatiotemporal
 93 representativeness: Chu et al. 2017, Villarreal and Vargas 2021; energy balance closure: Franssen et al.
 94 2010; landscape heterogeneity: Göckede et al. 2008, Stoy et al. 2013) to enhance understanding of land-
 95 atmosphere interactions. As such, this is an ideal data source for deriving widely applicable parameters
 96 and assessing performance of SUEWS over different land cover types.

97 In this work, we develop general workflows (Fig. 1) to derive vegetation related parameters associated
 98 with phenology, the storage heat flux and surface moisture conductance; and comprehensively examine
 99 model skill in modelling latent heat fluxes. We briefly review the key vegetation biophysics schemes in
 100 SUEWS (Sect. 2), describe the FLUXNET2015 (Pastorello et al. 2020) and auxiliary datasets used (Sect.
 101 3), and outline the workflows for deriving parameters (Sect. 4). To assess the quality of the derived
 102 parameters the SUEWS modelled latent heat flux is evaluated (Sect. 5). Model parameters related to
 103 surface conductance are derived for NOAH at the PFT level (Appendix A) as well as those related to
 104 surface roughness based on FLUXNET2015 dataset at the site level (Appendix B). Other model
 105 parameters derived following workflows (Sect. 4) are also provided (Appendix C). The source code, input
 106 data and model simulations analysed are provided in Sun et al. (2021).



107
 108 **Figure 1** Overview of workflows to derive parameters, to undertake and to evaluate simulations.
 109 Acronyms are defined in Sect. 2 and 3. More details are provided in Figures 3, 5, and 6. At the
 110 bottom right is the SUEWS logo introduced since v2020a.

111 2 SUEWS model

112 2.1 Overview of SUEWS physics for vegetated areas

113 Surface Urban Energy and Water Balance Scheme (SUEWS) is a local-scale land surface model for
 114 simulating the surface energy and hydrological fluxes (Grimmond and Oke 1986, 1991; Järvi et al. 2011,

115 2014; Offerle et al. 2003; Ward et al. 2016) without requiring specialised computing facilities. It has been
 116 extensively evaluated and applied in many cities (Lindberg et al.'s (2018) Table 3, Sun and Grimmond's
 117 (2019) Table 1). Other details of how SUEWS computes the surface energy, water and carbon fluxes are
 118 given in recent model description papers (Järvi et al., 2011; Ward et al., 2016; Järvi et al., 2019).

119 The surface energy and water balances are directly linked by the turbulent latent heat flux (Q_E) or its mass
 120 equivalent evaporation (E) (Grimmond and Oke 1986, 1991):

$$121 \quad Q^* + Q_F = Q_H + Q_E + \Delta Q_S \quad (1)$$

$$122 \quad P + I_e = E + R + \Delta S \quad (2)$$

123 where Q^* is the net all-wave radiation flux, Q_F is the anthropogenic heat flux, Q_H is the turbulent sensible
 124 heat flux, ΔQ_S the net storage heat flux, and P , I_e , ΔS and R are precipitation, external water use, net
 125 change in the water storage (e.g. canopy, soil moisture, water bodies) and runoff, respectively. The sites
 126 selected in this work are assumed to have no irrigation, so I_e is assumed to be 0 mm s^{-1} .

127 In SUEWS, a modified Penman-Monteith equation (Penman, 1948; Monteith, 1965) is used to compute
 128 Q_E with an expectation in cities that the anthropogenic heat flux (Q_F) is greater than zero (Grimmond and
 129 Oke, 1991):

$$130 \quad Q_E = \frac{s(Q^* + Q_F - \Delta Q_S) + \frac{\rho c_p VPD}{r_a}}{s + \gamma \left(1 + \frac{r_s}{r_a}\right)} \quad (3)$$

131 However, with our current focus on extensive (non-urban) pervious areas Q_F is assumed to be 0 W m^{-2} .

132 The atmospheric state is obtained from the slope of saturation water vapour pressure curve with respect to
 133 air temperature (s , units: Pa K^{-1}), density of air (ρ , kg m^{-3}), specific heat of air at constant pressure (c_p , J
 134 $\text{K}^{-1} \text{ kg}^{-1}$), vapour pressure deficit (VPD , Pa), psychrometric 'constant' (γ , Pa K^{-1}), and the aerodynamic
 135 resistance for water vapour (r_a , units: s m^{-1}).

136 Under given ambient meteorological conditions (e.g., incoming solar radiation K_{\downarrow} , air temperature T_a ,
 137 humidity) at an extensive vegetated site, Q_E from this method is sensitive to the estimation of available
 138 energy (i.e. $Q^* - \Delta Q_S$), aerodynamic resistance r_a and surface resistance r_s . Hence, the critical vegetation
 139 related parameters (Table 1) are addressed with these caveats and/or assumptions:

- 140 • surface emissivity ε_0 and canopy water storage capacity S_i are assumed to the same as reported in
 141 Ward et al. (2016)
- 142 • aerodynamic resistance r_a is highly dependent on aerodynamic parameters that vary with canopy
 143 height (H_c) and leaf area index (LAI) (Kent et al., 2017; Appendix B). The temporal varying H_c is
 144 obtained from FLUXNET2015 (Sect. 2.2.3). LAI varies with phenology (Sect. 2.2.1)

145 **Table 1** Parameters and the first process they are used in by SUEWS (i.e. most impact multiple
146 variables). Sources (S) include this study (*), Ward et al. (2016) (W16) and FLUXNET2015 (F15,
147 Pastorello et al. 2020) where values are given (Table: T#, Sect.: S#) or used in individual
148 equations (E). Two key phenology periods are related to growing and senescence degree days
149 (GDD, SDD).

Category	Symbol	Definition	Value	E	S
Leaf Area Index (LAI)	LAI_{min}	Minimum LAI	TC1	3	*
	LAI_{max}	Maximum LAI	TC1	3	*
	$T_{base,GDD}$	Base temperature for SDD	TC1	4	*
	$T_{base,SDD}$	Base temperature for GDD	TC1	4	*
	GDD_{full}	Ending GDD at LAI_{max}	TC1	5	*
	SDD_{full}	Ending SDD at LAI_{min}	TC1	5	*
	$\omega_{1(2),GDD}$ (SDD)	Curve factors used in the LAI model dependent on GDD (SDD)	TC1	3	*
Radiation	$\alpha_{LAI_{min}}$	Albedo at LAI_{min}	TC1	6	*
	$\alpha_{LAI_{max}}$	Albedo at LAI_{max}	TC1	6	*
	ϵ_0	Emissivity	EveTr DecTr Grass 0.98 0.98 0.93		W16
Storage heat flux	a_1, a_2, a_3	Objective Hysteresis Model (OHM) coefficients	TC2	7	*
Aerodynamic resistance	H_c	Vegetation height	F15 varies		F15
	z_{0m}	Roughness length for momentum	S2.2.3/B	9/B1	*
	z_d	Zero plane displacement	S2.2.3/B	9/B1	*
Surface resistance	g_{max}	Maximum surface conductance	TC3	14	*
	G_K	Solar radiation related parameter	TC3	15	*
	$G_{q,base}$	Specific humidity related parameters	TC3	16	*
	$G_{q,shape}$	for base value and curve shape			
	G_T	Air temperature related parameter	TC3	17	*
	T_H, T_L	Temperature limits for switching off evaporation	TC3	17	*
	G_θ	Soil moisture related parameter	TC3	18	*
$\Delta\theta_{WP}$	Soil moisture deficit at wilting point	TC3	18	*	
Water storage	S_i	Canopy water storage capacity (mm)	EveTr DecTr Grass 0.8 1.3 1.9	21	W16

150 2.2 Q_E related sub-schemes in SUEWS

151 2.2.1 Leaf Area Index (LAI) and Radiation

152 In SUEWS, leaf growth is triggered by reaching a critical growing degree days (GDD) threshold
153 ($T_{base,GDD,i}$), and similarly for leaf fall by senescence degree days (SDD, $T_{base,SDD,i}$) using daily (d) mean
154 air temperatures (T_d) based on the previous day ($d - 1$) for each vegetation type i (one of evergreen trees,
155 deciduous trees and grass). For forests and grass we use (Järvi et al., 2011):

$$156 LAI_{d,i} = \begin{cases} \min(LAI_{max,i}, LAI_{d-1,i}^{\omega_{1,GDD,i}} GDD_{d,i} \omega_{2,GDD,i} + LAI_{d-1,i}), & T_{base,SDD,i} < T_{d-1} < T_{base,GDD,i} \\ \max(LAI_{min,i}, LAI_{d-1,i} \omega_{1,SDD,i} (1 - SDD_{d,i}) \omega_{2,SDD,i} + LAI_{d-1,i}), & T_{base,GDD,i} < T_{d-1} < T_{base,SDD,i} \end{cases} \quad (4)$$

157 with $\omega_{1/2,GDD/SDD,i}$ curve factors needing to be derived. T_{d-1} is derived from the daily maximum
 158 (T_a^{\max}) and minimum (T_a^{\min}) air temperature of the previous day:

$$159 \quad T_{d-1} = \frac{T_a^{\max} + T_a^{\min}}{2} \quad (5)$$

160 For each site and vegetation type i , the maximum and minimum LAI values ($LAI_{max,i}$, $LAI_{min,i}$) and
 161 $T_{base,GDD}$ and $T_{base,SDD}$ are determined for each site (Sect. 4.1). For sites at higher latitude (e.g. $> 60^\circ$),
 162 other characteristics – such as day-length and photo period – are helpful to account for corresponding LAI
 163 controls (Bauerle et al., 2012; Gill et al., 2015).

164 LAI influences several processes in SUEWS – such as dynamics of surface conductance (later in Sect.
 165 2.2.4) and albedo – the latter varies with daily LAI between a minimum ($\alpha_{LAI_{min}}$) and maximum
 166 ($\alpha_{LAI_{max}}$) by vegetation type:

$$167 \quad \alpha_{d,i} = \alpha_{d-1,i} + (\alpha_{LAI_{max,i}} - \alpha_{LAI_{min,i}}) \frac{LAI_{d,i} - LAI_{d-1,i}}{LAI_{max,i} - LAI_{min,i}} \quad (6)$$

168 We note the SUEWS urban snow module (Järvi et al., 2014) is not used in this work, so focus on snow-
 169 free conditions. This may bias some modelled α and subsequent fluxes, but evaluating the snow module is
 170 a large task in its own right.

171 Within SUEWS the albedo is used with the observed incoming shortwave radiation and longwave
 172 radiation to obtain Q^* . In the current analyses, the observed incoming longwave (L_{\downarrow}) and modelled
 173 outgoing longwave radiation ($L_{\uparrow} = (1 - \varepsilon_0)L_{\downarrow} + \varepsilon_0\sigma T_s^4$ where ε_0 is the surface emissivity, σ is the
 174 Stefan Boltzmann constant ($W m^{-2} K^{-4}$), and T_s is the surface temperature (K)) are used. Table 1 gives
 175 the emissivity values used.

176 2.2.2 Storage heat flux

177 Storage heat flux ΔQ_S is simulated with the objective hysteresis model (OHM (Grimmond et al., 1991)):

$$178 \quad \Delta Q_S = \sum_i f_i \left[a_{1,i} Q^* + a_{2,i} \frac{\partial Q^*}{\partial t} + a_{3,i} \right] \quad (7)$$

179 where f_i is the plan area (or 3-dimensional fraction area, Grimmond et al. 1991, Grimmond and Oke 1999)
 180 fraction of surface i , a_{1-3} the OHM coefficients (Sect. 4.2), and t time.

181 2.2.3 Aerodynamic resistance

182 Aerodynamic resistance r_a is obtained from (Järvi et al., 2011; van Ulden and Holtslag, 1985):

$$183 \quad r_a = \frac{\left[\ln \left(\frac{z_m - z_d}{z_{0m}} - \psi_m(\zeta) \right) \right] \left[\ln \left(\frac{z_m - z_d}{z_{0v}} - \psi_v(\zeta) \right) \right]}{\kappa^2 u}, \quad (8)$$

184 where z_m is the measurement height for mean wind speed (u) and κ the von Kármán constant (0.4
 185 assumed); the aerodynamic parameters z_d (zero plane displacement height) and z_{0m} (roughness length for
 186 the momentum) are estimated as a function of canopy height H_c (Garratt 1990; Grimmond and Oke,
 187 1999):

$$188 \quad z_{0m} = f_0 H_c \quad (9)$$

$$189 \quad z_d = f_d H_c \quad (10)$$

190 with f_0 and f_d being vegetation-based coefficients (see Appendix B for derivation details). The stability
 191 parameter ζ ($= (z_m - z_d)/L$) depends on the Obukhov length L . The atmospheric stability functions of
 192 momentum (ψ_m) and water vapour (ψ_v) for unstable condition are (Campbell and Norman, 1998):

$$193 \quad \psi_v = 2 \ln \left[\frac{1 + (1 - 16\zeta)^{1/2}}{2} \right], \quad (11)$$

$$194 \quad \psi_m = 0.6\psi_v$$

and for stable condition (Campbell and Norman, 1998; Höglström, 1988):

$$195 \quad \psi_v = -4.5 \ln(1 + \zeta)$$

$$196 \quad \psi_m = -6 \ln(1 + \zeta) \quad (12)$$

196 **2.2.4 Surface resistance (r_s) or conductance (g_s)**

197 For completely wet surfaces, the surface resistance r_s is assumed to be 0 s m^{-1} (i.e. potential evaporation is
 198 calculated from Eq. 3). Otherwise r_s , or its inverse, surface conductance g_s , is parameterised with a Jarvis-
 199 type formulation (Jarvis 1976) in SUEWS (Grimmond and Oke 1991; Järvi et al. 2011; Ward et al. 2016):

$$200 \quad r_s^{-1} = g_s = \sum_i \left(g_{\max,i} \cdot f_i \cdot g(LAI_i) \right) g(K_{\downarrow}) g(\Delta q) g(T_a) g(\Delta\theta_{\text{soil}}). \quad (13)$$

201 where g_s is determined from the i -th land cover areally weighted maximum surface conductance ($g_{\max,i}$)
 202 (with $f_i=1$ for a “homogeneous” site) and environmental (x) rescaling functions ($g(x)$) ranging between
 203 $[0, 1]$, including:

- 204 • Leaf area index (LAI) (Ward et al. 2016):

$$205 \quad g(LAI_i) = \frac{LAI_i}{LAI_{\max,i}} \quad (14)$$

206 is relative to the maximum LAI of land cover i ($LAI_{\max,i}$). For bare soil surfaces (i.e. no vegetation),
 207 when LAI is irrelevant $g(LAI_i) = 1$.

- 208 • incoming shortwave radiation (K_{\downarrow}) (Stewart 1988):

$$209 \quad g(K_{\downarrow}) = \frac{\frac{K_{\downarrow}}{G_K + K_{\downarrow}}}{\frac{K_{\downarrow,\max}}{G_K + K_{\downarrow,\max}}} \quad (15)$$

210 where the G_K parameter modifies the K_{\downarrow} response, relative to $K_{\downarrow, \max}$ the maximum observed incoming
 211 shortwave radiation ($= 1200 \text{ W m}^{-2}$): when K_{\downarrow} approaches G_K , $g(K_{\downarrow})$ reaches 50% of

212 $g_{s, \max} \left(\frac{K_{\downarrow, \max}}{G_K + K_{\downarrow, \max}} \right)^{-1}$ (i.e., $g_{s, \max}$ normalised by $\frac{K_{\downarrow, \max}}{G_K + K_{\downarrow, \max}}$). At night $g(K_{\downarrow})$ goes to 1.

213 • specific humidity deficit (Δq) (Ogink-Hendriks 1995):

$$214 \quad g(\Delta q) = G_{q, \text{base}} + (1 - G_{q, \text{base}}) G_{q, \text{shape}}^{\Delta q} \quad (16)$$

215 where the specific humidity related parameters are for the ‘base’ $G_{q, \text{base}}$ and curve shape $G_{q, \text{shape}}$: the
 216 former indicates the limit of $g(\Delta q)$ when Δq approaches extremely large values, while the latter
 217 determines the curvature of the $g(\Delta q)$ (e.g., Fig. 9c)

218 • air temperature (T_a) (Stewart 1988):

$$219 \quad g(T_a) = \frac{(T_a - T_L)(T_H - T_a)^{T_c}}{(G_T - T_L)(T_H - G_T)^{T_c}} \quad (17)$$

220 where $T_c = \frac{T_H - G_T}{G_T - T_L}$ is a function of the lower (T_L) and upper (T_H) limits when the evaporation occurs, and
 221 G_T the optimal temperature for evaporation to reach its potential maximum.

222 • soil moisture deficit ($\Delta\theta_{\text{soil}}$, difference between soil water capacity and soil moisture content) (Ward
 223 et al. 2016):

$$224 \quad g(\Delta\theta_{\text{soil}}) = \frac{1 - \exp(G_{\theta}(\Delta\theta_{\text{soil}} - \Delta\theta_{\text{WP}}))}{1 - \exp(-G_{\theta}\Delta\theta_{\text{WP}})} \quad (18)$$

225 both the wilting point ($\Delta\theta_{\text{WP}}$) and a soil type dependent parameter (G_{θ}) vary with soil and plant type.
 226 Appendix A gives the equivalent form used in the NOAH model for Eqn. 13.

227 SUEWS has a running water balance that accounts for the multiple surface types. The amount of water on
 228 the canopy of each surface (C_i) (Grimmond & Oke 1991) is used to vary the surface resistance between
 229 dry and wet ($r_s = 0 \text{ s m}^{-1}$) by replacing r_s with r_{ss} (Shuttleworth, 1978):

$$230 \quad r_{ss} = \left[\frac{W}{r_b(s/\gamma + 1)} + \frac{(1 - W)}{r_s + r_b(s/\gamma + 1)} \right]^{-1} - r_b(s/\gamma + 1), \quad (19)$$

231 where W is a function of the relative amount of water present on each surface to its water storage capacity
 232 (S_i , Table 1):

$$233 \quad W = \begin{cases} 1 & C_i \geq S_i \\ \frac{K - 1}{K - S_i/C_i} & C_i < S_i \end{cases} \quad (20)$$

234 K depends on the aerodynamic and surface resistances:

$$235 \quad K = \frac{(r_s/r_a)/(r_a - r_b)}{r_s + r_b(s/\gamma + 1)}, \quad (21)$$

236 where r_b , the boundary layer resistance, is a function of friction velocity u_* (Shuttleworth, 1983):

237
$$r_b = 1.1u_*^{-1} + 5.6u_*^{\frac{1}{3}}. \quad (22)$$

238 Eqn. 19-22 ensure that the surface resistance r_{ss} has a smooth transition from 0 (a completely wet surface)
 239 to r_s (a dry surface).

240 **3 Global observational datasets used**

241 We use three global datasets FLUXNET2015 (Pastorello et al. 2020), MODIS (Myneni et al., 2015) and
 242 SoilGrids (Hengl et al. 2014) to derive the parameters. The FLUXNET2015 surface energy fluxes and
 243 other meteorology observations are used for three purposes: to derive parameter values, force simulations
 244 and evaluate simulations. The remotely sensed (MODIS) derived LAI products are used for the LAI
 245 related parameters. To derive soil moisture related parameters the SoilGrids data are used. Unlike the
 246 FLUXNET2015 data, the latter two datasets are spatially continuous.

247 **3.1 FLUXNET2015**

248 The FLUXNET2015 dataset (Pastorello et al. 2020) is the newest version of the FLUXNET data
 249 products. The gap-filled dataset includes 212 flux sites from around the world. Although the FLUXNET
 250 focus is on local scale ecosystem CO₂ eddy covariance (EC) fluxes, it also includes water and energy EC
 251 fluxes plus other meteorological and biological data. The biosphere-atmosphere exchange dataset contains
 252 more than 1500 site-years for the period to the end of 2014. The open source package ONEFlux (Open
 253 Network-Enabled Flux processing pipeline; <https://github.com/fluxnet/ONEFlux>) is used to produce
 254 FLUXNET2015 (Pastorello et al. 2020).

255 Half-hourly observations (Table 2) are used from 38 sites (Table 3) in three regions (Fig. 2). These sites
 256 are selected to meet the following criteria (number of remaining sites that met the criteria):

- 257 1) sites with CC-BY 4.0 license (206/212).
 258 2) data availability (56/206): require both MODIS LAI data (available from 2002, Sect. 3.2) and long-
 259 term continuity (defined here as ≥ 3 years for the multiple needs).
 260 3) model capacity (38/56): the SUEWS v2020a LAI scheme used is driven by air temperature but other
 261 meteorological variables (e.g., rainfall) may strongly influence some sites phenology (Appendix D).

262 Unfortunately, no datasets are left after selection based on the above criteria for some regions (Fig. 2),
 263 including Africa, Asia and South America.

264 As SUEWS allows any grid cell to have three vegetation or plant functional types (PFT), with the sub-
 265 type or properties varying between grids, we subdivide the 38 sites into three classes using IGBP (Table
 266 3) (code, number of sites):

- 267 a) Evergreen trees/shrubs (EveTr, 13): Evergreen Needleleaf Forests (ENF, 12), Evergreen Broadleaf
 268 Forests (EBF, 1)
 269 b) Deciduous trees/shrubs (DecTr, 11): Mixed Forests (MF, 2), Deciduous Broadleaf Forests (DBF, 5),
 270 Open Shrublands (OSH, 1), Woody Savannas (WSA, 1), Savannas (SAV, 2).
 271 c) Grass (14): Grasslands (GRA, 8), Croplands (CRO, 6).

272 The landscape heterogeneity of many FLUXNET EC flux measurements sites have been systematically
 273 examined by Stoy et al. (2013) using satellite imagery. Of the sites they examined, they found them to be
 274 located within homogeneous parts of the targeted PFT, but the larger landscape (~20 km) may have
 275 considerable variability. As a FLUXNET site is typically assigned to one PFT for land surface model
 276 development/evaluation (e.g. Stöckli et al. 2008, Zhang et al. 2017, Chu et al. 2021), we configure each as
 277 a homogeneous grid cell and assume $f_i = 1$.

278 **Table 2** FLUXNET2015 (Pastorello et al. 2020) variables used in this work to derive parameters (P),
 279 to force (F) model simulations and to evaluate (E) models.

Variable	Unit	Description	Usage
H_c	m (agl)	Canopy height	P
K_{\uparrow}	$W m^{-2}$	Outgoing solar radiation	P
K_{\downarrow}	$W m^{-2}$	Incoming solar radiation	P, F
L_{\uparrow}	$W m^{-2}$	Outgoing longwave radiation	P
L_{\downarrow}	$W m^{-2}$	Incoming longwave radiation	F
P	$mm h^{-1}$	Precipitation rate	P, F
PA	Pa	Station atmospheric pressure	P, F
Q^*	$W m^{-2}$	Net all-wave radiation	P
Q_E	$W m^{-2}$	Latent heat flux	P, E
Q_H	$W m^{-2}$	Sensible heat flux	P
RH	%	Relative humidity	P, F
T_a	$^{\circ}C$	Air temperature	P, F
u	$m s^{-1}$	Wind speed	P, F
u^*	$m s^{-1}$	Friction velocity	P
VPD	Pa	Vapour pressure deficit	P
θ	$m^3 m^{-3}$	Volumetric soil water content	P

280

281

282 **Table 3** Key information about the FLUXNET2015 sites (Pastorello et al. 2020), and their DOI reference) used in this work: site name
 283 (country-name) with altitude above sea level (asl) or anemometer sensor height above ground level (agl), More details about the
 284 simulation and analyses given in Sect. 5.1. The land cover type as defined based on IGBP (International Geosphere–Biosphere
 285 Programme) by the FLUXNET curators (<https://fluxnet.org/data/badm-data-templates/igbp-classification/>) with the crops (CRO) being: 1 -
 286 Rotation: cereal, potato, sugar beet (Moureaux et al., 2006), 2 - Rotation: winter barley, rapeseed, winter wheat, maize and spring barley
 287 at DE-Kli (Prescher et al., 2010), 3 - Continuous maize (<https://doi.org/10.18140/FLX/1440084>); 4 - Rotation: maize and soybean
 288 (<https://doi.org/10.18140/FLX/1440085>), 5- Rotation: maize and soybean (<https://doi.org/10.18140/FLX/1440086>). The SUEWS
 289 recommended vegetation/PFT class (informed by IGBP) data as used in this paper are given in Sun et al. (2021).

Site	Latitude (°)	Longitude (°)	Altitude (m asl)	Measurement height z_m (m agl)	Parameter derivation period	Evaluation period	Temporal resolution (min)	No. of valid entries	IGBP	SUEWS	DOI
AT-Neu	47.1167	11.3175	970	3.0	2005 – 2012	2002 – 2004	30	33582	GRA	Grass	10.18140/FLX/1440121
AU-ASM	-22.283	133.249	607	11.7	2013 – 2014	2010 – 2012	30	33710	SAV	DecTr	10.18140/FLX/1440194
AU-DaS	-14.1593	131.388	73	21.0	2011 – 2014	2008 – 2010	30	34022	SAV	DecTr	10.18140/FLX/1440122
AU-Gin	-31.3764	115.714	51	15.0	2011 – 2011	2011 – 2013	30	28766	WSA	DecTr	10.18140/FLX/1440199
AU-Wom	-37.4222	144.094	705	30.0	2013 – 2014	2010 – 2012	30	34434	EBF	EveTr	10.18140/FLX/1440207
BE-Lon	50.5516	4.74623	167	2.7	2007 – 2014	2004 – 2006	30	34486	CRO ¹	Grass	10.18140/FLX/1440129
CA-Gro	48.2167	-82.1556	340	43.3	2006 – 2014	2003 – 2005	30	32669	MF	DecTr	10.18140/FLX/1440034
CA-Oas	53.6289	-106.198	530	39.0	1995 – 2010	2002 – 2004	30	34885	DBF	DecTr	10.18140/FLX/1440043
CA-Qfo	49.6925	-74.3421	382	24.0	2006 – 2010	2003 – 2005	30	32980	ENF	EveTr	10.18140/FLX/1440045
CA-SF2	54.2539	-105.878	520	9.1	2005 – 2005	2002 – 2004	30	31701	ENF	EveTr	10.18140/FLX/1440047
CA-SF3	54.0916	-106.005	540	20.0	2005 – 2007	2002 – 2004	30	34618	OSH	DecTr	10.18140/FLX/1440048
CA-TP4	42.7102	-80.3574	184	28.0	2005 – 2014	2002 – 2004	30	34533	ENF	EveTr	10.18140/FLX/1440053
CH-Cha	47.2102	8.41044	393	2.4	2006 – 2014	2005 – 2007	30	34480	GRA	Grass	10.18140/FLX/1440131
CH-Dav	46.8153	9.85591	1639	35.0	2005 – 2014	2002 – 2004	30	24456	ENF	EveTr	10.18140/FLX/1440132
CH-Oe1	47.2858	7.73194	450	1.2	2005 – 2008	2002 – 2004	30	34768	GRA	Grass	10.18140/FLX/1440135
DE-Gri	50.95	13.5126	385	3.0	2007 – 2014	2004 – 2006	30	34659	GRA	Grass	10.18140/FLX/1440147
DE-Hai	51.0792	10.4522	430	42.0	2005 – 2012	2002 – 2004	30	35028	DBF	DecTr	10.18140/FLX/1440148
DE-Kli	50.8931	13.5224	478	3.5	2007 – 2014	2004 – 2006	30	33933	CRO ²	Grass	10.18140/FLX/1440149
DE-Lkb	49.0996	13.3047	1308	9.0	2012 – 2014	2009 – 2011	30	29726	ENF	EveTr	10.18140/FLX/1440214
DE-Obe	50.7867	13.7213	734	30.0	2011 – 2014	2008 – 2010	30	33872	ENF	EveTr	10.18140/FLX/1440151
FI-Hyy	61.8474	24.2948	181	24.0	2005 – 2014	2002 – 2004	30	30979	ENF	EveTr	10.18140/FLX/1440158
FR-LBr	44.7171	-0.7693	61	41.5	2005–2008	2002 – 2004	30	34364	ENF	EveTr	10.18140/FLX/1440163
IT-Col	41.8494	13.5881	1560	32.0	2005–2014	2002 – 2004	30	22918	DBF	DecTr	10.18140/FLX/1440167
IT-Sro	43.7279	10.2844	6	22.5	2005–2012	2002 – 2004	30	26961	ENF	EveTr	10.18140/FLX/1440176
IT-Tor	45.8444	7.57806	2160	2.7	2008 – 2014	2008 – 2010	30	33126	GRA	Grass	10.18140/FLX/1440237
NL-Loo	52.1666	5.74356	25	26.0	2005–2014	2002 – 2004	30	34098	ENF	EveTr	10.18140/FLX/1440178
US-AR1	36.4267	-99.42	611	2.8	2012 – 2012	2009 – 2011	30	35024	GRA	Grass	10.18140/FLX/1440103
US-CRT	41.6285	-83.3471	180	2.0	2014 – 2014	2011 – 2013	30	34895	CRO ³	Grass	10.18140/FLX/1440117
US-Goo	34.2547	-89.8735	87	4.0	2005 – 2007	2002 – 2004	30	30848	GRA	Grass	10.18140/FLX/1440070

US-IB2	41.8406	-88.241	226.5	3.8	2005 – 2011	2004 – 2006	30	34339	GRA	Grass	10.18140/FLX/1440072
US-Me6	44.3233	-121.608	998	12.0	2013 – 2015	2010 – 2012	30	32141	ENF	EveTr	10.18140/FLX/1440099
US-MMS	39.3232	-86.4131	275	46.0	2005 – 2014	2002 – 2004	60	17508	DBF	DecTr	10.18140/FLX/1440083
US-Ne1	41.1651	-96.4766	361	3.0	2005 – 2013	2002 – 2004	60	17450	CRO ³	Grass	10.18140/FLX/1440084
US-Ne2	41.1649	-96.4701	362	3.0	2005 – 2013	2002 – 2004	60	17407	CRO ⁴	Grass	10.18140/FLX/1440085
US-Ne3	41.1797	-96.4397	363	3.0	2005 – 2013	2002 – 2004	60	17351	CRO ⁵	Grass	10.18140/FLX/1440086
US-NR1	40.0329	-105.546	3050	21.5	2005 – 2014	2002 – 2004	30	35023	ENF	EveTr	10.18140/FLX/1440087
US-Oho	41.5545	-83.8438	230	32.0	2007 – 2013	2004 – 2006	30	31180	DBF	DecTr	10.18140/FLX/1440088
US-Syv	46.242	-89.3477	540	36.0	2005 – 2014	2002 – 2004	30	27276	MF	DecTr	10.18140/FLX/1440091

290



291
 292 **Figure 2** Location of FLUXNET sites (Table 3) coded by into three land cover types: deciduous trees
 293 (DecTr), evergreen trees (EveTr), and grass (Grass). Source of base map: OpenStreetMap
 294 (2017).

295 3.2 MODIS LAI

296 The NASA Moderate Resolution Imaging Spectroradiometer (MODIS, (Nishihama et al., 1997)) four-day
 297 composite product MCD15A3H (Myneni et al., 2015) with 500 m resolution is treated as ‘observed’ LAI.
 298 Product data are available from 2002. We use the Fixed Sites Subsetting and Visualization Tool (ORNL
 299 DAAC 2018) for FLUXNET dataset to extract the MCD15A3H time series. To obtain a daily timeseries
 300 we linearly interpolate between values, for parameter derivation (Sect. 4.1).

301 3.3 Soil information

302 The SoilGrids (Hengl et al. 2014) database provides soil properties (i.e., organic carbon, bulk density,
 303 Cation Exchange Capacity (CEC), pH, soil texture fractions and coarse fragments) at seven depths (0,
 304 0.05, 0.15, 0.30, 0.60, 1.00 and 2.00 m), as well as a bedrock depth prediction, World Reference Base
 305 (WRB) and USDA soil classes. We use the SoilGrids250m (Hengl et al. 2017) version, with its ~280
 306 raster layers, to obtain the parameters (Table 4) to derive soil moisture deficit at wilting point ($\Delta\theta_{WP}$ in
 307 mm) for soil moisture related calculations (e.g. Eqn. 18).

308 The difference in soil moisture between field capacity (θ_{FC} in mm) and wilting point (θ_{WP} in mm) are used
 309 with parameters defined as (Saxon and Rawls 2006):

$$310 \quad \Delta\theta_{WP} = \theta_{FC} - \theta_{WP} = (W_{FC} - W_{WP})(1 - f_{CF}) d_r \quad (23)$$

311 with

$$312 \quad W_{FC} = k_{FC} + (1.283 \cdot k_{FC}^2 - 0.374 \cdot k_{FC} - 0.015) \quad (24)$$

313 using the weight fractions of sand f_{sand} , clay f_{clay} and f_{OM} organic matter

$$314 \quad \begin{aligned} k_{FC} = & -0.251 \cdot f_{sand} + 0.195 \cdot f_{clay} + 0.011 \cdot f_{OM} \\ & + 0.006 \cdot (f_{sand} f_{OM}) \\ & - 0.027 \cdot (f_{clay} f_{OM}) \\ & + 0.452 \cdot (f_{sand} f_{clay}) + 0.299 \end{aligned} \quad (25)$$

315 and

$$316 \quad W_{WP} = k_{WP} + (0.14 \cdot k_{WP} - 0.02) \quad (26)$$

317 where

$$318 \quad \begin{aligned} k_{WP} = & -0.024 \cdot f_{sand} + 0.487 \cdot f_{clay} + 0.006 \cdot f_{OM} \\ & + 0.005 \cdot (f_{sand} f_{OM}) \\ & - 0.013 \cdot (f_{clay} f_{OM}) \\ & + 0.068 \cdot (f_{sand} f_{clay}) + 0.031 \end{aligned} \quad (27)$$

319 **Table 4** Soil related parameters obtained from the SoilGrids (Hengl et al. 2014) database for each
 320 site (Table 3) at 250 m resolution for seven depths (Sect. 3.3). Values for each site (Table 3) are
 321 given in Sun et al. (2021).

Parameter	Unit	Description
d_r	mm	Soil depth
f_{CF}	m m ⁻³	coarse fragment fraction
f_{clay}	kg kg ⁻¹	clay fraction
f_{sand}	kg kg ⁻¹	sand fraction
f_{silt}	kg kg ⁻¹	silt fraction
f_{OM}	kg kg ⁻¹	organic carbon fraction
ρ_{bulk}	kg m ⁻³	Bulk density

322 4 Parameter derivation for vegetated land covers: workflows and results

323 4.1 Leaf area index (LAI) and albedo related parameters

324 LAI is a key phenology parameter in SUEWS, it moderates albedo (α) and therefore surface radiative
 325 exchanges. LAI changes also modify both aerodynamic roughness parameters (roughness length z_0 , zero
 326 plane displacement height z_d) (e.g. Kent et al. 2017) impacting aerodynamic resistance (r_a) and surface
 327 resistance (r_s). LAI directly moderates Q_E and canopy interception capacity, which modifies when
 328 potential evaporation occurs and aspects of the water balance.

329 As the SUEWS LAI equation (Eqn. 4) makes global optimisation techniques numerically challenging to
 330 derive all the required parameters, we take a two-step approach (Fig. 3):

331 1) *Approximating growing stages using an asymmetric Tukey window function:*

332 Tukey or cosine-tapered window (TW) is used in signal processing applications when data needs to be
 333 processed in short segments. It is defined (Bloomfield 2000):

$$334 \quad TW(x, a) = \begin{cases} 1 & (0 < a < 1 \wedge a - 2x - 1 \leq 0 \wedge a + 2x - 1 \leq 0) \vee (a = 1 \wedge x = 0) \vee (a \leq 0 \wedge -\frac{1}{2} \leq x \leq \frac{1}{2}) \\ \frac{1}{2}(\cos(2\pi x) + 1) & a > 1 \wedge -\frac{1}{2} \leq x \leq \frac{1}{2} \\ \frac{1}{2} \left(\cos \left(\frac{2\pi \left(-\frac{a}{2} + x + \frac{1}{2} \right)}{a} \right) + 1 \right) & 0 < a \leq 1 \wedge x \geq -\frac{1}{2} \wedge a - 2x - 1 > 0 \\ \frac{1}{2} \left(\cos \left(\frac{2\pi \left(\frac{a}{2} + x - \frac{1}{2} \right)}{a} \right) + 1 \right) & 0 < a \leq 1 \wedge a + 2x - 1 > 0 \wedge x \leq \frac{1}{2} \\ 0 & |x| > \frac{1}{2} \end{cases} \quad (28)$$

335 where x is the independent variable and a the curve shape factor. We propose an asymmetric form of
 336 Tukey window (aTW) to approximate the intra-annual LAI dynamics:

$$337 \quad aTW(x, a, b, x_0, l) = \begin{cases} TW \left(\frac{x - x_0}{l}, a \right) & x < x_0 \\ TW \left(\frac{x - x_0}{l}, b \right) & x \geq x_0 \end{cases} \quad (28)$$

338 where b is a curve shape factor for different segments, x_0 the segment parameter, and l the rescaling
 339 factor.

340 To demonstrate this we use the US-MMS site (Table 3), to fit the intra-annual LAI dynamics using an
 341 aTW curve (blue line, Fig. 4) to determine different phenological stages (shading, Fig. 4) and
 342 subsequently derive several related parameters:

- 343 ▪ LAI_{\min} : 5th percentile of LAI values before the growth and after the senescence
- 344 ▪ LAI_{\max} : 75th percentile of LAI values after the growth and before the senescence

- 345 ▪ $T_{\text{base,GDD}}$: 99th percentile of air temperatures before the growth
- 346 ▪ $T_{\text{base,SDD}}$: 10th percentile of air temperature after the growth and before the senescence.
- 347 ▪ GDD_{full} : GDD at the end of growth based on $T_{\text{base,GDD}}$
- 348 ▪ SDD_{full} : SDD at the end of senescence based on $T_{\text{base,SDD}}$
- 349 ▪ $\alpha_{\text{min}} / \alpha_{\text{max}}$: 10th/90th percentile of daily albedo values after the growth and before the senescence. A
 350 daily albedo is calculated from 30/60 min FLUXNET observations of incoming and outgoing
 351 shortwave radiation for the period 10:00 to 14:00 (local standard time). To remove outliers a
 352 clustering method is applied (`ClusterClassify` of *Mathematica* v12.3.1 Wolfram Research 2020).
 353 For example, at some high-latitude sites (e.g. CA-Oas) snow occurs, the winter values are based on
 354 data from shortly after senescence to shortly before growth (next spring) and the clustering approach
 355 removes the snow period albedo values.

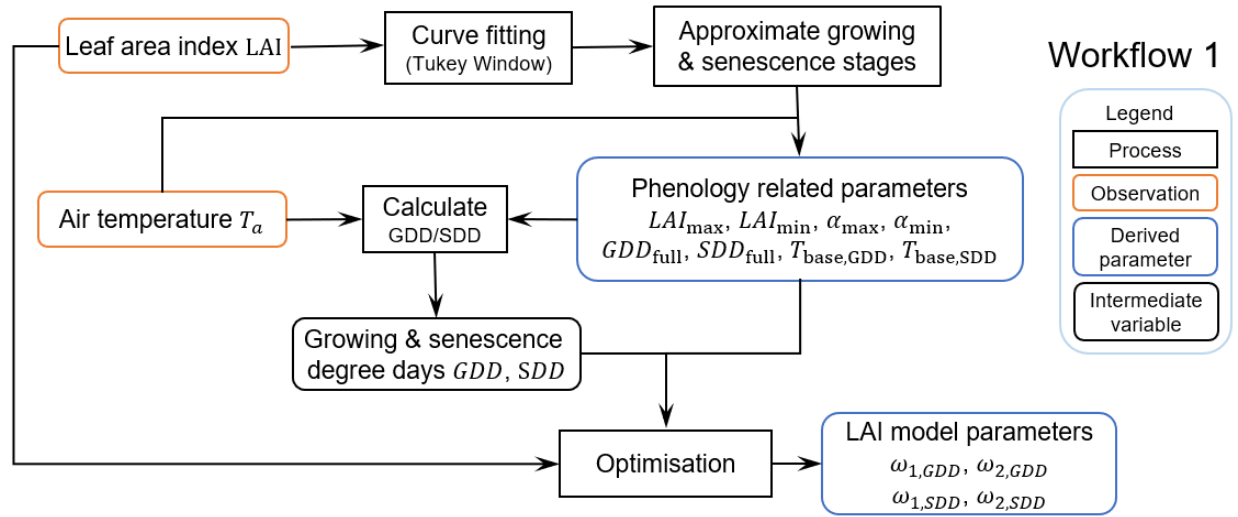
356 For evergreen and deciduous trees, $\alpha_{\text{LAI,min}}$ ($\alpha_{\text{LAI,max}}$) in Eqn. 6 typically corresponds to α_{min} (α_{max}), while
 357 for grassland a reverse relation holds (i.e. $\alpha_{\text{LAI,min}}$ corresponds to α_{max} and vice versa; see Cescatti et al.
 358 2012 for a detailed analysis of albedo dynamics at FLUXNET sites).

359 2) *Deriving curve factors used in SUEWS LAI scheme:*

360 With the parameters derived in step 1, we can determine the curve factors $\omega_{1/2,\text{GDD/SDD}}$ by minimising the
 361 bias between MODIS observed (open triangle, Fig. 4) and SUEWS simulated (red line, Fig. 4) LAI
 362 values.

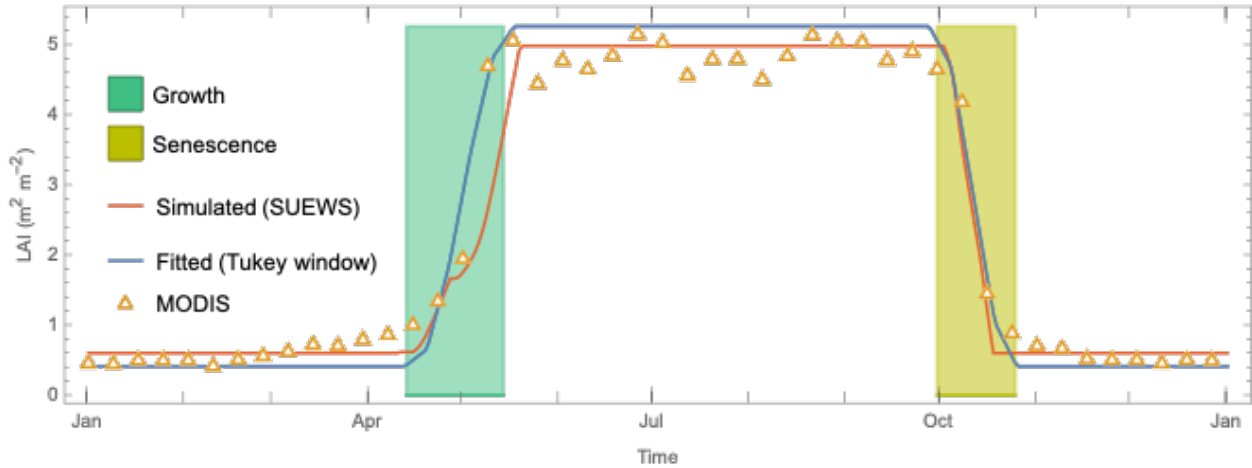
363 The derived LAI related parameters for the 38 FLUXNET sites vary between different land cover groups
 364 (Table 5, Fig. 5). The derived $\text{LAI}_{\text{max/min}}$ results are consistent with those reported in the literature (Asaadi
 365 et al. 2018). For EveTr sites, the large contrast between LAI_{max} and LAI_{min} in the ENF sites analysed here
 366 is consistent with MODIS derived LAI for ENF having larger seasonal variability than EBF (Heiskanen et
 367 al. 2012), but some of this is caused by a known issue of particularly low winter values (Garrigues et al.
 368 2008).

369 Given the global availability of MODIS LAI and reanalysis-based air temperature datasets, we suggest
 370 the LAI related parameters be derived following this workflow (Fig. 3) to set parameters for SUEWS
 371 simulations. This can be done independent of the availability of flux tower observations.



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Figure 3 Workflow 1 (Fig. 1) for deriving LAI and albedo related parameters. Related Jupyter notebooks are provided in Sun et al. (2021).



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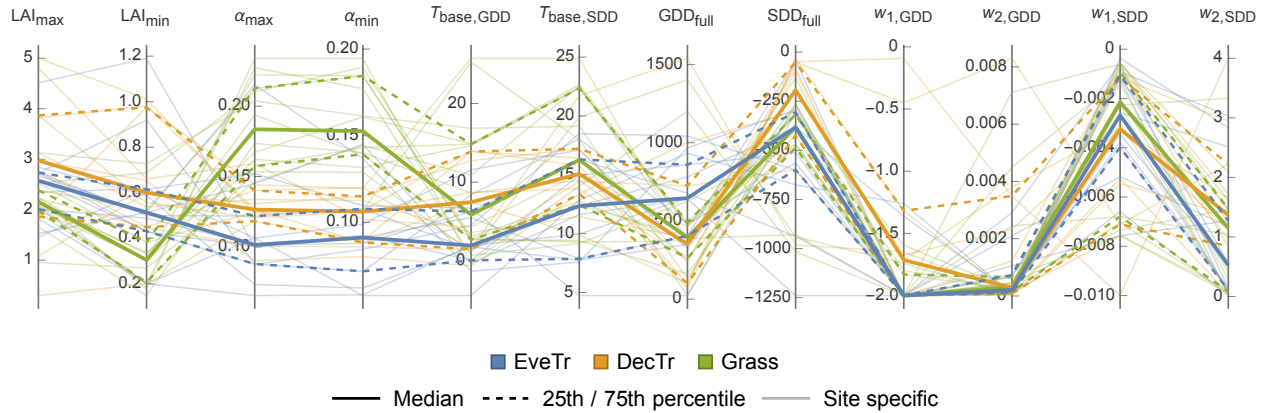
Figure 4 Intra-annual LAI dynamics at US-MMS multi-year (2002–2014) ensemble median derived from MODIS observations (open triangle, Sect. 3.2) and simulated by SUEWS temperature-based LAI scheme (Eqn. 4) (orange line) with Tukey window fit (blue line, Sect. 4.1) using to derive the leaf-on or growth period (green shading) and senescence (yellow shading) periods.

380
381
382
383

Table 5 Inter-site variability within the three vegetation classes of LAI and albedo related parameters (Eqn. 4, Sect. 4.1) shown by mean and standard deviation. For individual site and by PFT type parameters see Appendix C (for digital version see Sun et al. 2021). Median and interquartile range (IQR) see Fig. 5.

	α_{\min} [-]	α_{\max} [-]	LAI_{\max} [m ² m ⁻²]	LAI_{\min} [m ² m ⁻²]	GDD_{full} [°C day]	SDD_{full} [°C day]
EveTr	0.093±0.007	0.113±0.010	2.46±0.20	0.55±0.07	625±83	-501±87.
DecTr	0.102±0.004	0.125±0.006	2.9±0.4	0.66±0.07	475±137	-273±89.
Grass	0.156±0.007	0.185±0.009	2.15±0.13	0.35±0.06	484±102	-482±74.
	$T_{base,GDD}$ [°C]	$T_{base,SDD}$ [°C]	$\omega_{1,GDD}$ [-]	$\omega_{1,SDD}$ [-]	$\omega_{2,GDD}$ [-]	$\omega_{2,SDD}$ [-]
EveTr	2.8±1.2	12.5±1.2	-1.89±0.07	-0.0031±0.0006	0.00067±0.00023	0.96±0.31

DecTr	5.9±1.7	14.7±1.1	-1.54±0.20	-0.0043±0.0009	0.0018±0.0009	1.55±0.35
Grass	9.9±2.1	16.9±1.3	-1.93±0.04	-0.0031±0.0007	0.0012±0.0006	1.05±0.26



384
 385 **Figure 5** Variation in LAI related parameters (Sect. 2.2.1) within three land cover classes (colour)
 386 showing median (thick line), interquartile range (IQR, 25th and 75th percentiles, dashed lines),
 387 site-specific values (thin lines).

388 4.2 Storage heat flux coefficients

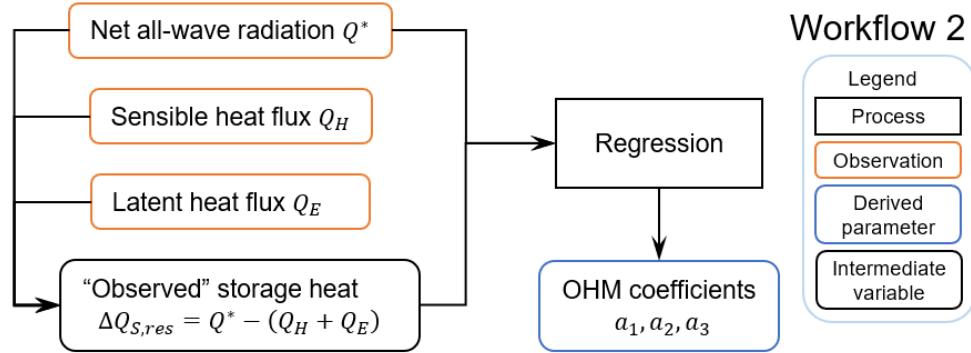
389 To calculate the storage heat flux ΔQ_S , the required OHM coefficients (Eqn. 7), can be determined from
 390 observed net all-wave radiation and observed storage heat flux, using ordinary linear regression. As the
 391 FLUXNET sites chosen are considered to be homogeneous, we derive coefficients for each site.

392 Ideally the storage heat flux measurements would include each of the components than are heating and
 393 cooling down on a daily basis, such as the soil, trunk, branches, leaves and air volume in a forest (e.g.
 394 McCaughey et al. 1985, Oliphant et al. 2004). However, these measurements are unavailable in the
 395 FLUXNET2015 dataset. Hence, we calculate a residual flux $\Delta Q_{S,res} = Q^* - (Q_H + Q_E)$ by assuming
 396 energy balance closure. This has the problem of accumulating the net measurement errors in this term
 397 (Grimmond et al. 1991, Grimmond and Oke 1999).

398 The derived OHM coefficients (Fig. 6) can be determined by season (Anandakumar 1999; Ward et al.,
 399 2016; Sun et al., 2017). We distinguish warm (“summer”) and cold (“winter”) seasons using months
 400 (summer: Northern Hemisphere JJA; Southern Hemisphere: DJF; winter: DJF (JJA), respectively). For
 401 simplicity, we omit periods when LAI may be changing rapidly. If the daily mean air temperature is
 402 warmer/cooler than the annual mean of daily median temperature, then summer/winter OHM coefficients
 403 are used in the simulations.

404 The OHM coefficients derived for the 38 FLUXNET sites (Table 6, Fig. 7) vary between land cover types
 405 and seasons. For each land cover type, a_1 and a_3 are notably larger in winter than in summer while the
 406 seasonal difference in a_2 is relatively small. Thus the overall fraction of heat stored does not vary much

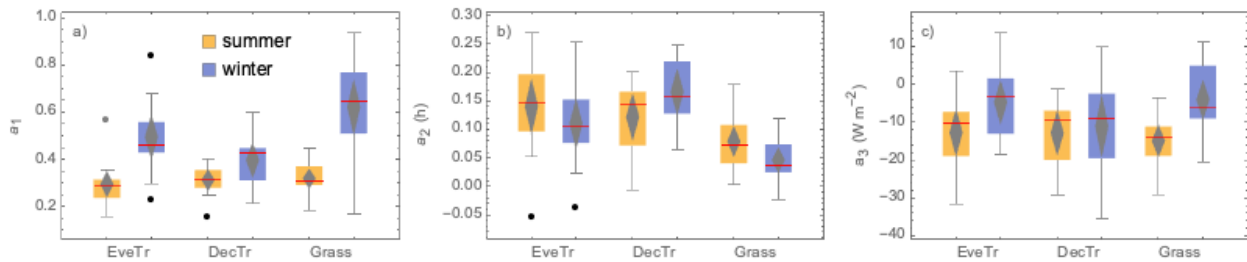
407 but diurnal hysteresis effect is weaker in winter. These results are consistent with previous analytical
 408 results (Sun et al., 2017). Within each PFT, there is larger variability in a_2 and a_3 (cf. a_1), notably for
 409 evergreen and deciduous trees, suggesting using the most appropriate site values (e.g. medians) may
 410 improve predictions of the storage heat flux. In addition to the values derived here, we note that more
 411 detailed ΔQ_S observations are available for vegetated sites to derive such OHM coefficients (e.g.
 412 McCaughey (1985), Oliphant et al. (2004)).



413 **Figure 6** Workflow 2 (Fig. 1) to derive OHM coefficients. Related notebooks are provided in Sun et
 414 al. (2021).
 415

416 **Table 6** As Table 5, but for OHM related parameters (Sect. 4.2). See Fig .7 for comparison and
 417 Table C2 for site specific values.

	a_1 [-]		a_2 [h]		a_3 [W m ⁻²]	
	summer	winter	summer	winter	summer	winter
EveTr	0.294±0.027	0.49±0.04	0.140±0.023	0.110±0.021	-12.7±3.0	-4.8±2.8
DecTr	0.312±0.021	0.396±0.035	0.122±0.019	0.166±0.019	-12.9±2.8	-11.0±4.0
Grass	0.318±0.020	0.62±0.06	0.079±0.013	0.046±0.011	-14.9±2.0	-4.1±2.5



418 **Figure 7** Boxplots showing variability of OHM coefficients between land covers (EveTr, DecTr and
 419 Grass) and seasons (summer and winter): a) a_1 b) a_2 and c) a_3 . Boxes (25th and 75th percentiles),
 420 whiskers (5th and 95th percentiles), with median (red line) and mean (middle grey diamond, with
 421 95% confidence level (top and bottom) values, and outliers (dots).
 422

423 4.3 Surface conductance related parameters

424 As the Jarvis-type formulation of stomatal/surface conductance is widely used for many land cover types,
 425 many parameter sets exist (e.g. Stewart 1988; Grimmond and Oke 1991; Ogink-Hendriks 1995; Wright et

426 al. 1995; Bosveld and Bouten 2001; Järvi et al. 2011). Hoshika et al.’s (2018) comprehensive meta-
427 analysis of published Jarvis-type stomatal conductance parameter values includes major woody and crop
428 plants broadly similar to PFTs examined here.

429 Conventionally, the surface conductance parameters are derived by minimising the bias between the
430 parameterised (Eqn. 14) and so-called “observed” values derived from an inverse form of Penman-
431 Monteith equation (Eqn. 3):

$$432 \quad \frac{1}{g_s} = r_s = \left[\frac{s}{\gamma} \frac{Q_H}{Q_E} - 1 \right] r_a + \frac{\rho c_p VPD}{\gamma Q_E}. \quad (29)$$

433 This requires the surface be dry (Section 2.2.4) which we define as being without recorded rainfall in 24
434 h.

435 However, as the optimisation may not return values because of the complexity in Eqn. 14 and the
436 challenge of interpreting the derived parameter values, we adopt Matsumoto et al.’s (2008) approach to
437 derive these parameters. Rather than using all the data combinations for g_s , the upper boundary of each
438 forcing variable component (e.g. $g(K_l)$) is considered as the response for unconstrained conditions.
439 Specifically, the workflow is (Fig. 8):

- 440 1) Calculate aerodynamic resistance r_a (Eqn. 8) with roughness length z_{0m} and displacement height z_d
441 derived from observed wind speed under neutral conditions (Appendix B).
- 442 2) Calculate “observed” surface conductance $g_{s,obs}$ (Eqn. 30).
- 443 3) Remove outliers from $g_{s,obs}$ data (step 2) iteratively (i.e. values larger than the 98th percentile until
444 difference between 98th and 99th percentiles is $< 1 \text{ mm s}^{-1}$). The remainder are used for deriving
445 parameters.
- 446 4) Determine the upper boundaries of g_s curves with each component variable. To demonstrate this we
447 use the US-MMS site (Fig. 9). First, original data are binned (sizes: 50 W m^{-2} for K_l , $2 \text{ }^\circ\text{C}$ for T_a , 2 kg
448 kg^{-1} for Δq and 10 mm for $\Delta\theta$), the 95th percentiles of these bins are sampled 100 times (bootstrapped)
449 to determine anchor points (red dots, Fig. 9). Second, the parameters are fit to the g_s related curves
450 (Eqn. 14–17) using the anchor points using `NonlinearModelFit` of *Mathematica* v12.3.1 (Wolfram
451 Research 2008).

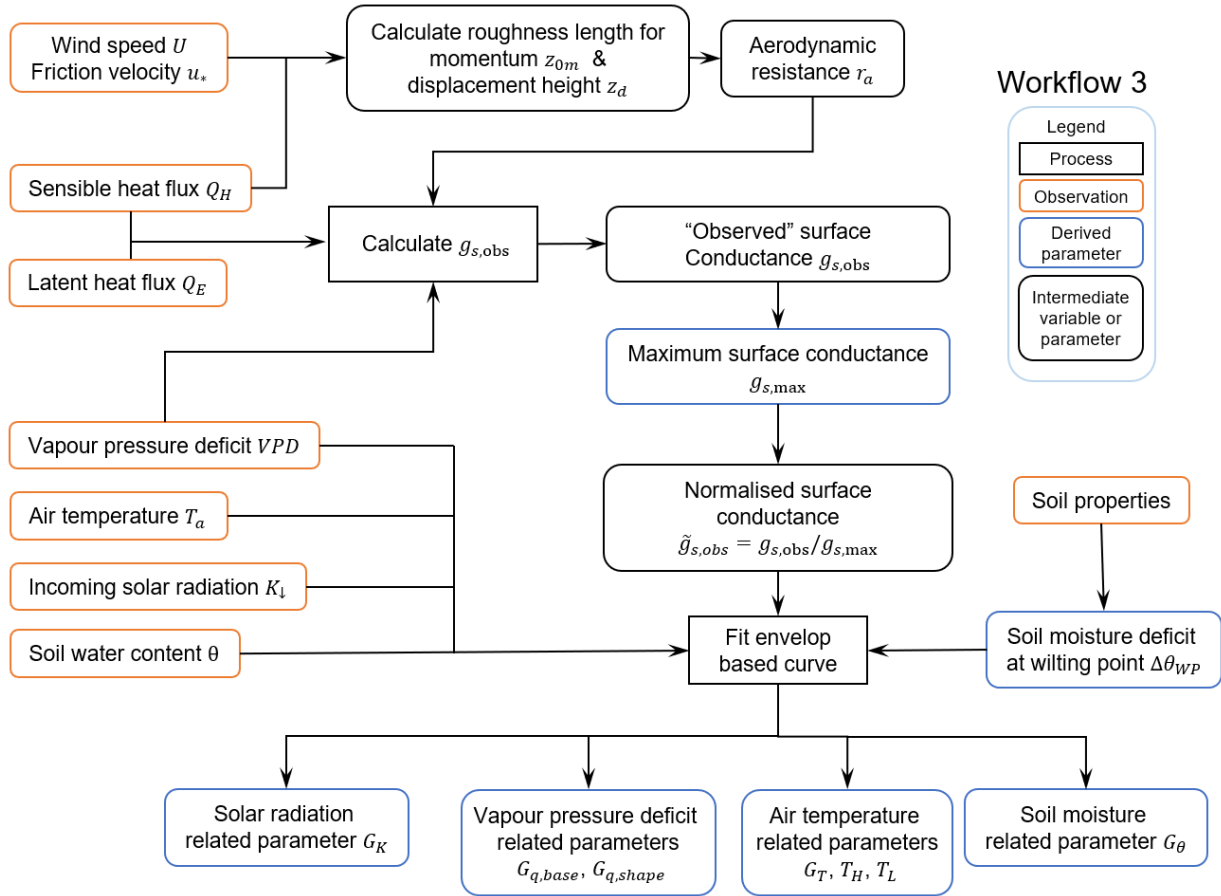
452 The derived surface conductance parameters for the 38 FLUXNET sites (Table 7 and C3) have different
453 intra-PFT variability based on the IQR (dotted lines, Fig. 10) and demonstrates the benefit of the
454 observations and of deriving site-values when possible. It may help in selecting appropriate PFT from
455 other sources (e.g. NOAA values in Appendix A). The g_{\max} results are consistent with Hoshika et al.
456 (2018) in terms of inter-PFT ordering (Grass > EveTr and DecTr). The grass and crop values are

457 comparable (Table C3) to Hoshika et al. (2018); however, our derived deciduous trees values are smaller
458 (22 cf. 31 mm s⁻¹) and evergreen trees values larger (20 cf. 12 mm s⁻¹).

459 A consistent $G_{q,shape}$ (eqn 16) value (0.9 units) is obtained for all sites, implying potential for
460 improvements to the $g(\Delta q)$ relation between g_s and Δq (e.g. other formulations $g_s(\Delta q)$ in Matsumoto et al.
461 (2008)) in future SUEWS development. This would be beneficial as there is a clear “plateau” observed
462 for low Δq (Fig. 9c). Similar issues are found in $g(\Delta\theta)$ for soil moisture related parameters. Although the
463 parameters derived here are the ‘best’ fit to the g_s forms in SUEWS v2020a, for each component variable
464 multiple g_s formulations exist with a range of variable fitting performance (e.g. Fig A1 in Ward et al.
465 2016). Here, we focus on *deriving the parameters* rather than *proposing new or more appropriate*
466 *formulations*.

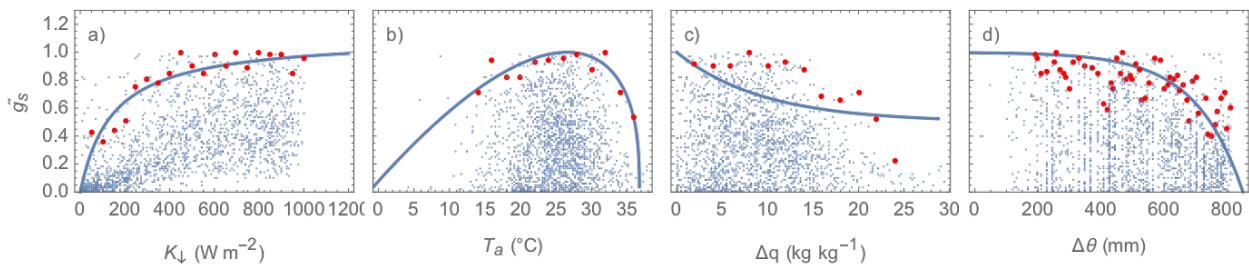
467 Solar radiation related G_K parameter is linked to the level of incoming solar radiation needed for
468 evapotranspiration to occur. Given incoming solar radiation intensity varies with latitude, we see G_K
469 generally decreases polewards (Fig. 11a), suggesting geographical location could be used as a proxy for
470 deriving G_K .

471 The air temperature related G_T parameter indicates the optimal temperature for evapotranspiration to
472 reach its probable maxima. G_T appears to have a negative relation with latitude, but the two other
473 temperature parameters (T_L and T_H) have a very weak (none) with latitude (Fig. 11b). This suggests a
474 universal temperature range between T_L and T_H might be applicable across different sites while G_T should
475 be determined on a site-by-site basis.



476

477 **Figure 8** Workflow 3 (Fig. 1) for deriving surface conductance related parameters. Related
 478 notebooks are provided in Sun et al. (2021).

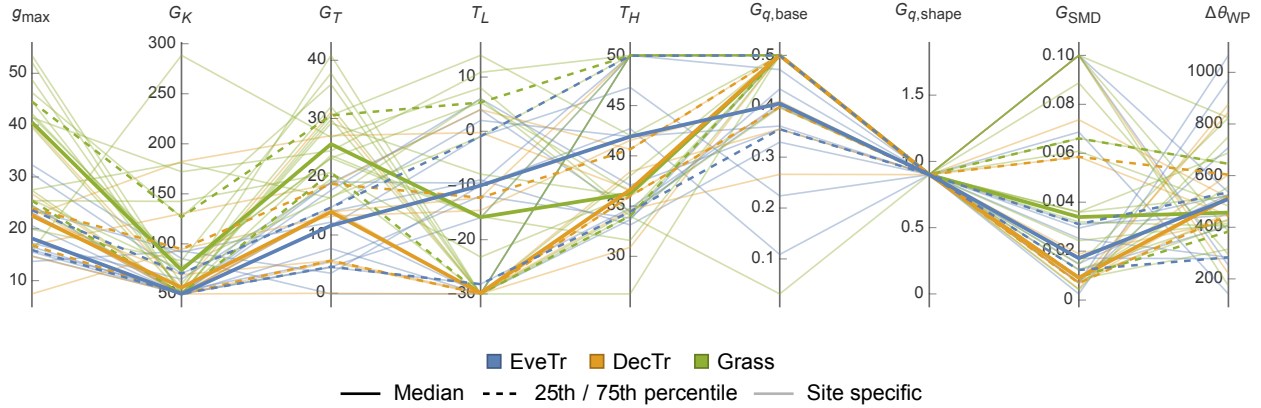


479

480 **Figure 9** Derived relations (blue lines) between normalised surface conductance \tilde{g}_s and (a) incoming
 481 solar radiation K_{\downarrow} , (b) air temperature T_a , (c) specific humidity deficit Δq , and (d) soil moisture
 482 deficit $\Delta\theta$ based on anchor data points (red dots) after bootstrapping of observations (blue dots)
 483 for an example site (US-MMS).

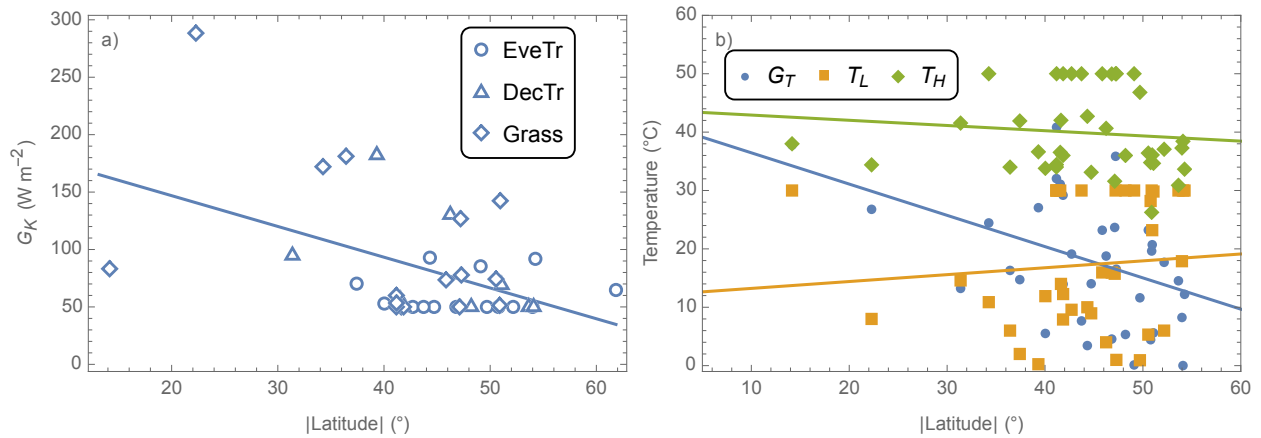
484 **Table 7** As Table 5, but for surface conductance related parameters (Sect. 4.3). See Fig. 10 and
 485 Appendix C.

	g_{max} [mm s ⁻¹]	G_K [W m ⁻²]	G_T [°C]	T_L [°C]	T_H [°C]	$G_{q,base}$ [-]	$G_{q,shape}$ [-]	G_{θ} [-]	$\Delta\theta_{WP}$ [mm]
EveTr	20.5±1.7	62±5	10.3±1.8	-13±4	41.4±2.0	0.391±0.033	0.9	0.033±0.009	511±75
DecTr	21.2±2.5	100±23	18.0±4.0	-18±5	38.0±1.5	0.439±0.024	0.9	0.029±0.010	521±58
Grass	38.6±2.8	87±13	26.1±1.9	-13±5	40.1±2.2	0.467±0.033	0.9	0.048±0.010	521±54



486
487

Figure 10 As Fig.5, but for surface conductance related parameters.



488
489
490
491

Figure 11 Relations between absolute latitude and derived parameters: (a) solar radiation related G_K by PFT (symbol) and (b) temperature related G_T , T_L and T_H . Lines are derived by ordinary linear regression. See text for notation definitions.

492 5 SUEWS performance in vegetated areas

493 5.1 SUEWS configuration and evaluation

494 SUEWS v2020a (Tang et al. 2021) is evaluated using its python wrapper SuPy v2021.3.18 (Sun and
495 Grimmond 2019) with parameters (Table 1) and gap-filled 30/60 min meteorological forcing data (Table
496 2) based on FLUXNET2015 dataset. Simulations are conducted, with forcing data interpolated to a 5 min
497 timestep (Ward et al. 2016), for three years (Table 3, *Evaluation period*) starting in mid winter. The first
498 year is discarded to allow for model spin-up. The two subsequent years are evaluated when observed
499 latent heat flux are available. In these model runs, the z_{0m} and z_{dm} values used are derived (Appendix B)
500 by LAI state using observations for each LAI season for each (approximately 9 values per site; see Sun et
501 al. (2021) for values). All other parameters (Table 1) are determined for the *parameter derivation period*

502 indicated in Table 3. At one site (AU-Gin), there are insufficient data for independent evaluation period
 503 from the parameter derivation period.

504 For the periods with 30(/60)-min Q_E EC observations (Y_{obs}) available, the 5 min simulated values (Y_{mod})
 505 are averaged to 30(/60)-min to evaluate the j cases between 1 and the number of data points (N). The
 506 following metrics are used:

507 1) hit rate (HR):

$$508 \quad \text{HR} = \frac{\sum_{j=1}^N H(\delta_{Y,j} - |Y_{\text{mod},j} - Y_{\text{obs},j}|)}{N} \quad (30)$$

509 with Heaviside step function H defined by

$$510 \quad H(x) = \begin{cases} 0, & x < 0 \\ 1, & x \geq 0 \end{cases} \quad (31)$$

511 and the threshold $\delta_{Y,j}$ being a value dependent on evaluation variable Y .

512 In particular, $\delta_{Y,j}$ for Q_E is determined as a function of net all-wave radiation Q^* following Hollinger and
 513 Richardson (2005) to be $\delta_{Y,j} = 0.1Q_j^* + 10$ (in W m^{-2}) based on measurement uncertainties.

514 2) mean absolute error (MAE):

$$515 \quad \text{MAE} = \frac{\sum_{j=1}^N |Y_{\text{mod},j} - Y_{\text{obs},j}|}{N} \quad (32)$$

516 3) mean bias error (MBE):

$$517 \quad \text{MBE} = \frac{\sum_{j=1}^N (Y_{\text{mod},j} - Y_{\text{obs},j})}{N} \quad (33)$$

518 Both the MAE and MBE would ideally be 0 (with units of parameter/variable assessed). Whereas if the
 519 $\text{HR}=1$ it indicates all model predictions fall within the acceptable threshold set, while $\text{HR}=0$ would suggest
 520 none are within the acceptance threshold.

521 A performance score PS as a function for each metric (x ; i.e. HR, MAE, |MBE|) is used to rank the sites:

$$526 \quad \text{PS} = \frac{1}{N} \sum_{k=1}^N (w_x \tilde{x})_k \quad (34)$$

522 where \tilde{x} is the rescaled ranking score a given metric after being ranked from poorest to best and w_x is a
 523 weight associated with the temporal analysis type k which varies from 1 to N (number of component
 524 periods; e.g., $N = 24$ for hourly results). Equal weights (1/3) are used in the PS calculations for HR, MAE
 525 and |MBE|.

527 5.2 Impacts of model parameters on model performance

528 Given the many parameters in SUEWS, first we assess the relative importance of the parameters. We
 529 assume in this analysis our derived parameters (Sect. 4) are “perfect”, so we can undertake a sensitivity
 530 analysis (McCuen 1974, Beven 1975) of the Penman-Monteith equation (i.e. Eqn. 3, denoted by PM
 531 hereafter):

$$536 \quad \Delta Q_E = PM(AE + \Delta AE, r_a + \Delta r_a, r_s + \Delta r_s) - Q_E \quad (35)$$

532 where $AE = Q^* - \Delta Q_S$ is the available energy, incorporating parameters influences related to LAI, albedo
 533 and OHM. Similarly, multiple parameters influence the resistance terms. In Eqn. 31 the prefix Δ indicates
 534 bias terms. For simplicity, we consider the direct impacts only (i.e., secondary impacts from parameters
 535 inter-dependence are ignored). Expanding Eqn. 31 in Taylor series, gives:

$$540 \quad \Delta Q_E \approx PM'(AE) \cdot \Delta AE + PM'(r_a) \cdot \Delta r_a + PM'(r_s) \cdot \Delta r_s \quad (36)$$

537 where $PM'(x)$, the first-order derivative of x , indicates the sensitivity of modelled Q_E . Note “ \approx ” implies
 538 the approximation of ΔQ_E as the sum of bias from the chosen parameters. To examine the influences of
 539 different parameters in model performance, we use two non-dimensional metrics derived from Eqn. 32:

541 1) *sensitivity coefficient* (SC) (McCuen 1974):

$$544 \quad SC = PM'(x) \cdot \frac{x}{Q_E} = \frac{\partial Q_E}{\partial x} \frac{x}{Q_E} \approx \frac{\Delta Q_E / Q_E}{\Delta x / x} \quad (37)$$

542 gives the fractional change in x causing a change in Q_E , indicating a relative sensitivity of PM to x .

543 For instance, $SC = 0.5$ suggests a 20% increase in x may increase Q_E by 10% ($=20\% \times 0.5$).

545 2) *attribution fraction* (AF): quantifies the fraction of model bias derived from a given parameter x :

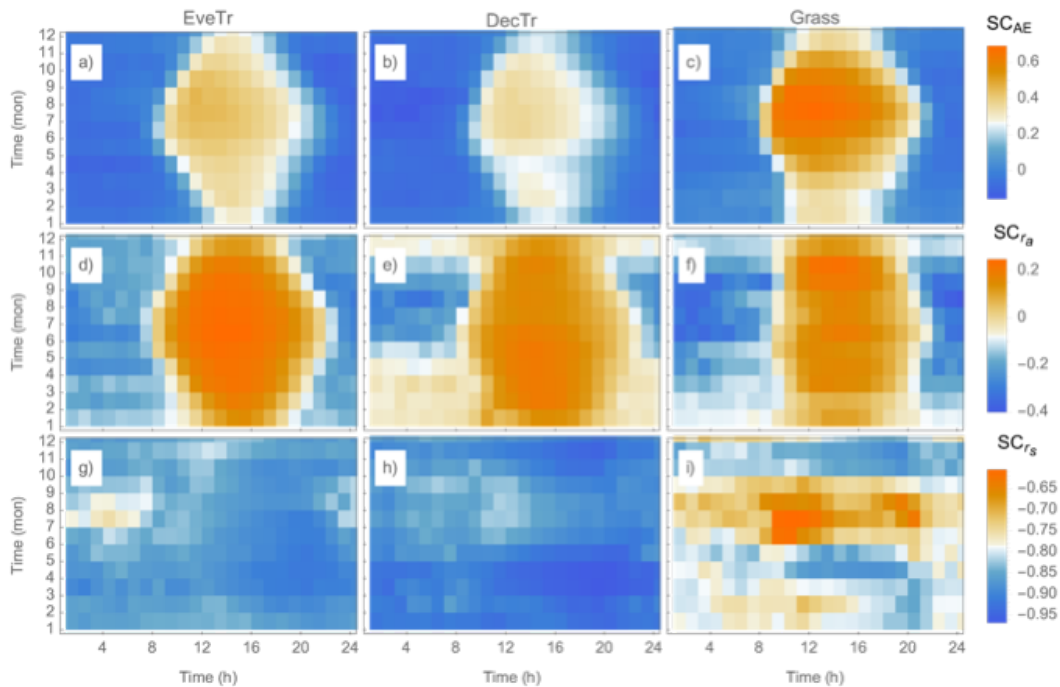
$$549 \quad AF = PM'(x) \cdot \frac{\Delta x}{\Delta Q_E} \quad (38)$$

546 Ideally, the sum of all AF contributors would equal one, but as we omit inter-dependence of impacts
 547 of parameters, this may not occur. However, comparing the different contributors is indicative of their
 548 relative importance in modelled Q_E .

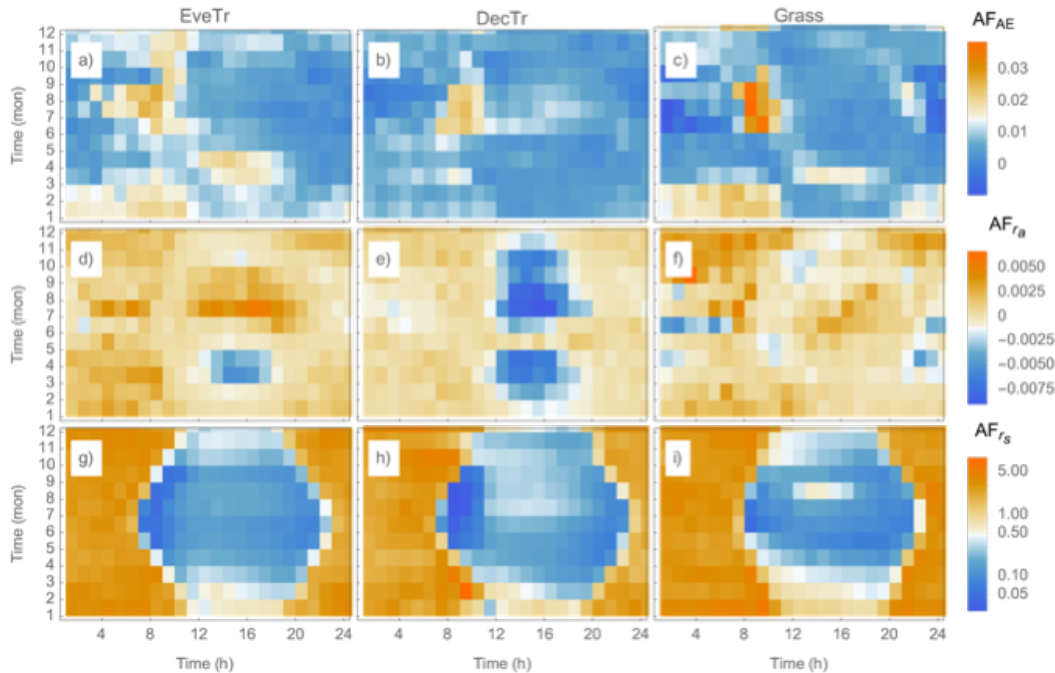
550 For both SC_{AE} and SC_{r_a} they are generally a similar type of patterns (Fig. 12a-f) with seasonal and diurnal
 551 variations for the three PFT. During warm periods (\sim summer and \sim noon time), with an increase in ΔAE
 552 and Δr_a it is found to lead to larger positive bias in modelled Q_E ; whereas in cooler periods (\sim winter and
 553 \sim night time) the ΔAE and Δr_a is found to increase the negative bias.

554 However, the temporal patterns in SC_{r_s} differ (Fig. 13g-i) from those in SC_{AE} (Fig. 13a-c) and SC_{r_a} (Fig.
 555 13d-f): the SC_{r_s} values are always negative, and consistently larger in magnitude (cf. SC_{AE} and SC_{r_a}),
 556 implying a particularly strong sensitivity of Q_E to r_s . This is consistent with Beven (1975), who found it to
 557 dominate the modelled summertime Q_E sensitivity in the PM framework.

558 The relative (cf. total) bias from the parameters is assessed in modelled Q_E at monthly and hourly
 559 temporal scales using the median AF (Fig. 13). AF_{r_s} is larger than both AF_{AE} and AF_{r_a} ; i.e., r_s imposes a
 560 dominant influence in modelled Q_E bias. There is more temporal variability in AF_{r_s} (cf. AF_{AE} and AF_{r_a})
 561 with cooler periods (morning and evening, whole winter) generally have values greater than one,
 562 indicating the bias in r_s dominates modelled Q_E . As AF_{r_s} is still generally larger than ~ 0.3 (except for
 563 transitional periods in summer, 8:00–9:00 in the morning, when $AF_{r_s} < \sim 0.3$), r_s remains an important
 564 control on modelled Q_E . These results together, indicate that it is critical to assign accurate r_s to obtain
 565 accurate estimates of Q_E .



566
 567 **Figure 12** Temporal variation in median (colour) sensitivity coefficient (SC, eq.38) of (a-c) available
 568 energy (AE, sect. 5.1), (d-f) aerodynamic resistance (r_a) and (g-i) surface resistance (r_s) for (a,d,g)
 569 evergreen trees (EveTr), (b,e,h) deciduous trees (DecTr), and (c,f,i) grassland and crops (Grass).

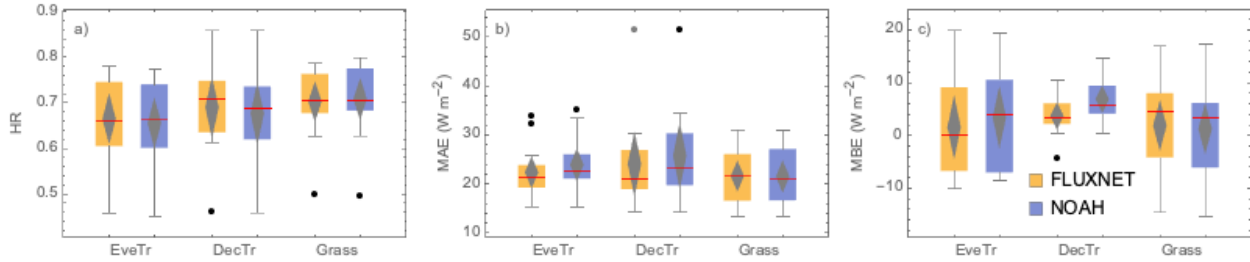


570
 571 **Figure 13** As Fig.12, but the attribution fraction (AF, Eq. 39). Note AF_{r_s} scale is logarithmic.

572 **5.3 Evaluation of SUEWS simulated Q_E with two different sources of g_s parameters**

573 Given the critical importance of surface resistance to model performance in Q_E (Sect. 5.2), we assess the
 574 impact two different sources of g_s parameters (keeping all other site parameters the same, with the values
 575 as indicated in section 5.1): (i) site-specific values derived from FLUXNET2015 data (Sect. 4); and (ii)
 576 PFT-specific NOAH values modified for SUEWS (Appendix A). Errors in the other derived parameters
 577 (e.g., LAI related parameters, storage heat flux coefficients via available energy) will impact both sets of
 578 results but they are assumed to be equal, allowing the impacts of using site- and PFT-specific g_s
 579 parameters on SUEWS simulated Q_E to be assessed. Given NOAH is extensively used in NWP systems
 580 (e.g., WRF, Skamarock and Klemp, 2008), the result also allows the applicability of NOAH-based g_s
 581 parameters at FLUXNET sites to be assessed.

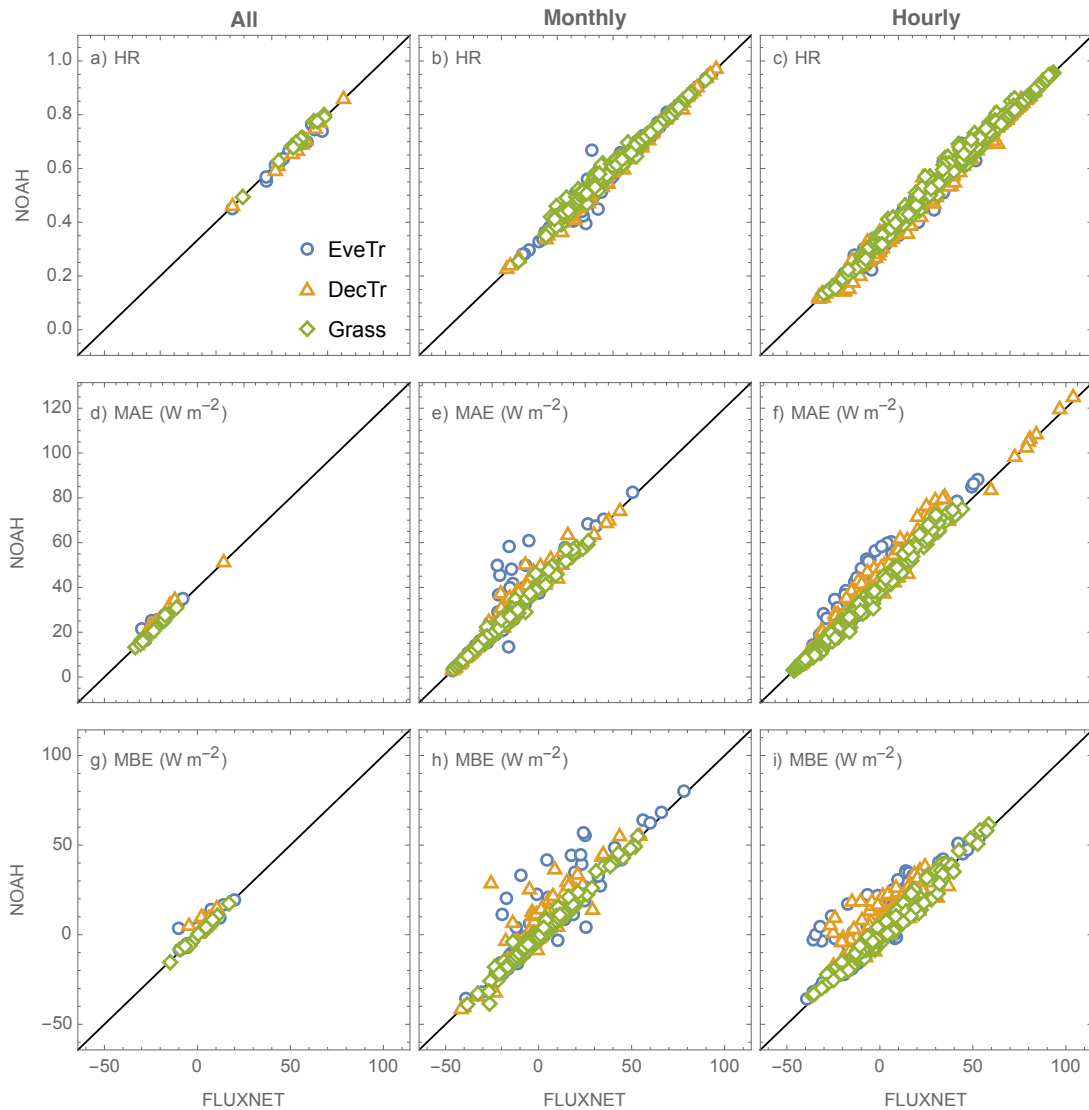
582 Analysis using two years of Q_E EC flux data (after 1 year spin up) uses three metrics (Sect. 5.1). The
 583 overall median results are similar between the two sets of parameters across the 38 sites split into the
 584 three PFTs (Fig. 14, red lines). The median HR are between 0.6 and 0.7, median MAE are less than 25
 585 W m^{-2} , and median MBE are $\sim 5 \text{ W m}^{-2}$. The Grass site, notably HR and MAE (Fig. 14a, b), performance is
 586 very similar suggesting the NOAH-based parameters could be used for these sites at annual scales as a
 587 first-order proxy.



588

589 **Figure 14** Simulated Q_E using two sets of parameters (colour, FLUXNET - Table C3, NOAH – Table
 590 A1 assigned based on Table 3 PFT class) at 38 sites (boxplots, as Fig. 9, subdivided into three
 591 land cover classes: EveTr, DecTr and Grass) evaluated for two years with observed 30/60-min
 592 fluxes using three metrics (Sect. 5.1): (a) HR, (b) MAE, and (c) MBE.

593 Evaluation using three different time periods (annual, monthly and hourly), shows differences in
 594 performance between using the FLUXNET2015 and NOAH-based parameters (Fig. 15). The HR is
 595 similar for all three temporal scales (Fig. 15a–c) for the three site types (colour). Both the MAE (Fig.
 596 15d–f) and MBE (Fig. 15g–i) indicate better model performance can be obtained using the
 597 FLUXNET2015-based parameters (i.e. not above the 1:1 line). When using the NOAH parameters (Fig.
 598 15h,i), some monthly MBE are $\sim 40 \text{ W m}^{-2}$ larger at EveTr sites for 8 of 156 cases and 5/312 for DecTr
 599 sites. Similarly, at the hourly scale the NOAH MBE are on occasions $\sim 30 \text{ W m}^{-2}$ larger (4/132 EveTr
 600 cases and 6/264 DecTr cases). However, the NOAH results have similar metrics at Grass sites. This
 601 suggests at the EveTr and DecTr sites, the NOAH-based g_s parameters may on occasion be less
 602 appropriate, suggesting that the individual sites values may be better.



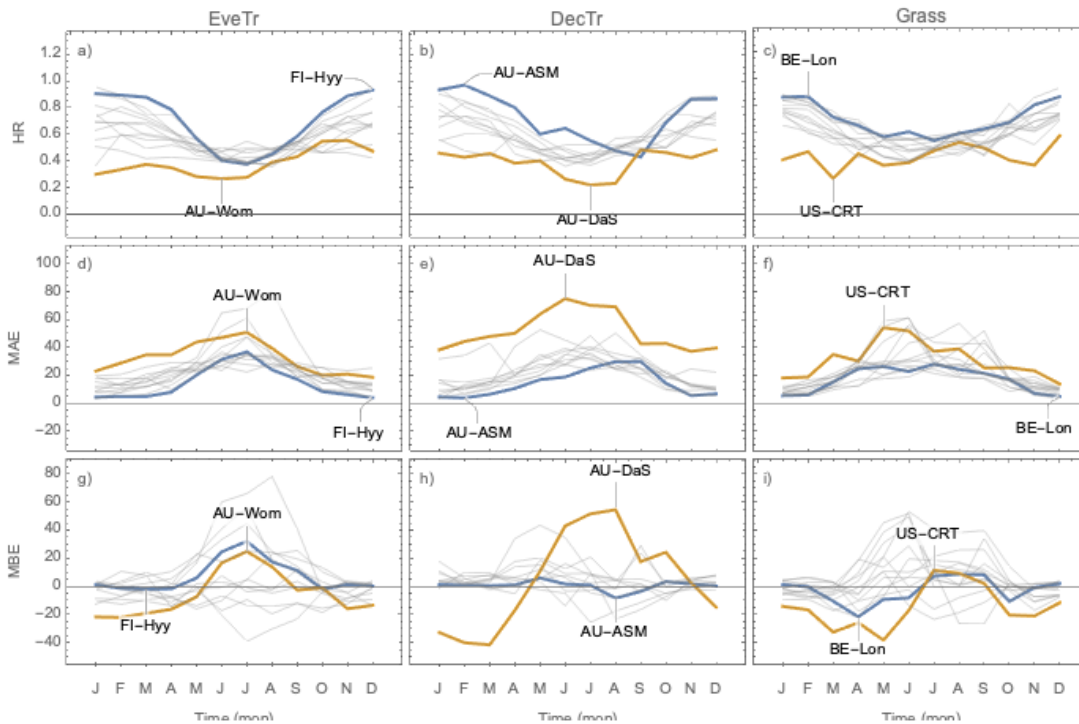
603
 604 **Figure 15** As Fig 14, but evaluation metrics (colour coded by land cover class) determined for three
 605 temporal scales (columns): (a,d,g) whole period ($n = 38$ sites but different number of samples per
 606 site, Table 3), (b,e,h) monthly ($n = 456 = 38 \text{ sites} \times 12 \text{ months}$) and (c,f,i) hourly ($n = 912 = 38 \text{ sites}$
 607 $\times 24 \text{ hours}$),

608 **5.4 Evaluation of SUEWS simulated Q_E and key parameters at sites with contrasting**
 609 **model performance**

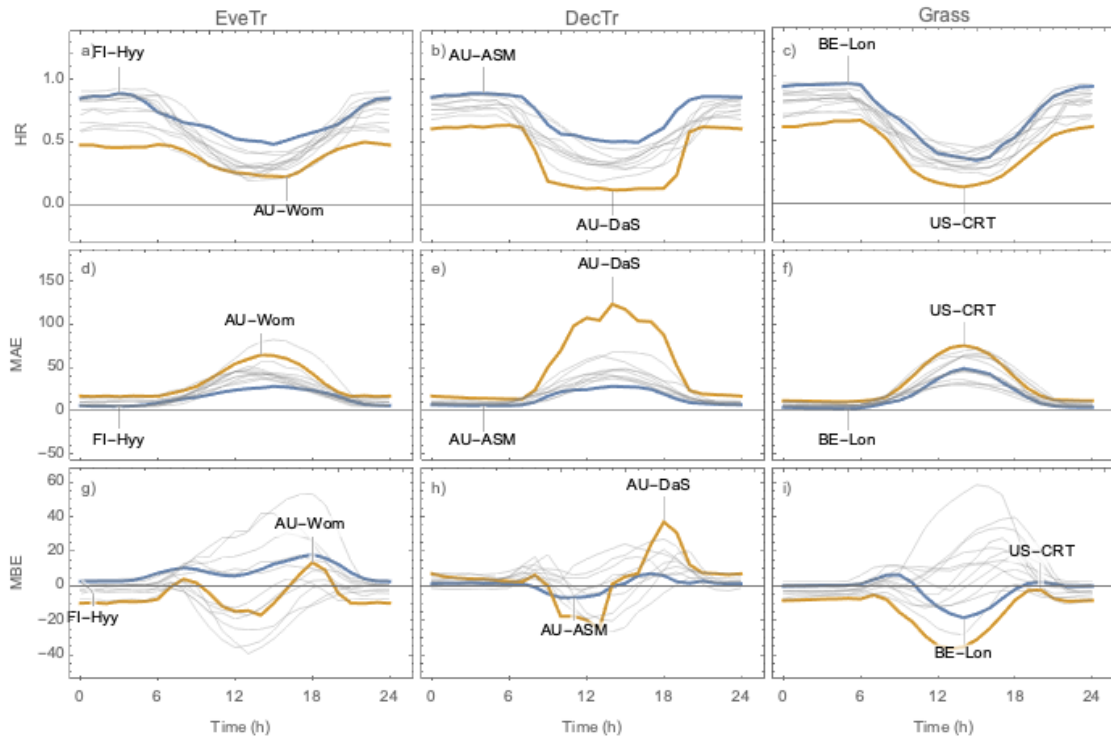
610 Given the results in Section 5.3, the performance of individual sites using the FLUXNET2015-derived
 611 parameters (Sect. 4) at monthly (Fig. 16) and hourly (Fig. 17) time scales are investigated.

612 As expected (Sect. 5.2), the HR values are consistently better at all sites during cooler (winter) than
 613 warmer (summer) seasons (Fig. 16a–c), and similarly for night rather midday time periods (Fig 17a–c).
 614 Given the consistency in MAE and HR (Fig. 16,17d-f) patterns, the sites identified to be simulated the
 615 ‘best’ (blue) and ‘poorest’ (orange) are the same (Section 5.1).

616 However, using the MBE different sites are selected. For example, monthly MBE at AU-ASM stays close
 617 to zero throughout the year while at AU-DaS it varies between -40 W m^{-2} and 60 W m^{-2} (Fig 16h). The
 618 largest intra-month MBE range for an EveTr site is 87.1 W m^{-2} , which occurs at FR-LBr. The equivalent
 619 range for DecTr sites is larger (96.1 W m^{-2} at AU-DaS) but smaller at Grass sites (69.6 W m^{-2} ; US-Ne3).
 620 The intra-hourly MBE ranges are smaller than intra-monthly values: with a DecTr and a Grass site having
 621 a large range than the largest EveTr site (AU-DaS (61.9 W m^{-2}), US-Goo (60.0 W m^{-2}), FR-LBr (53.1 W
 622 m^{-2}), respectively).

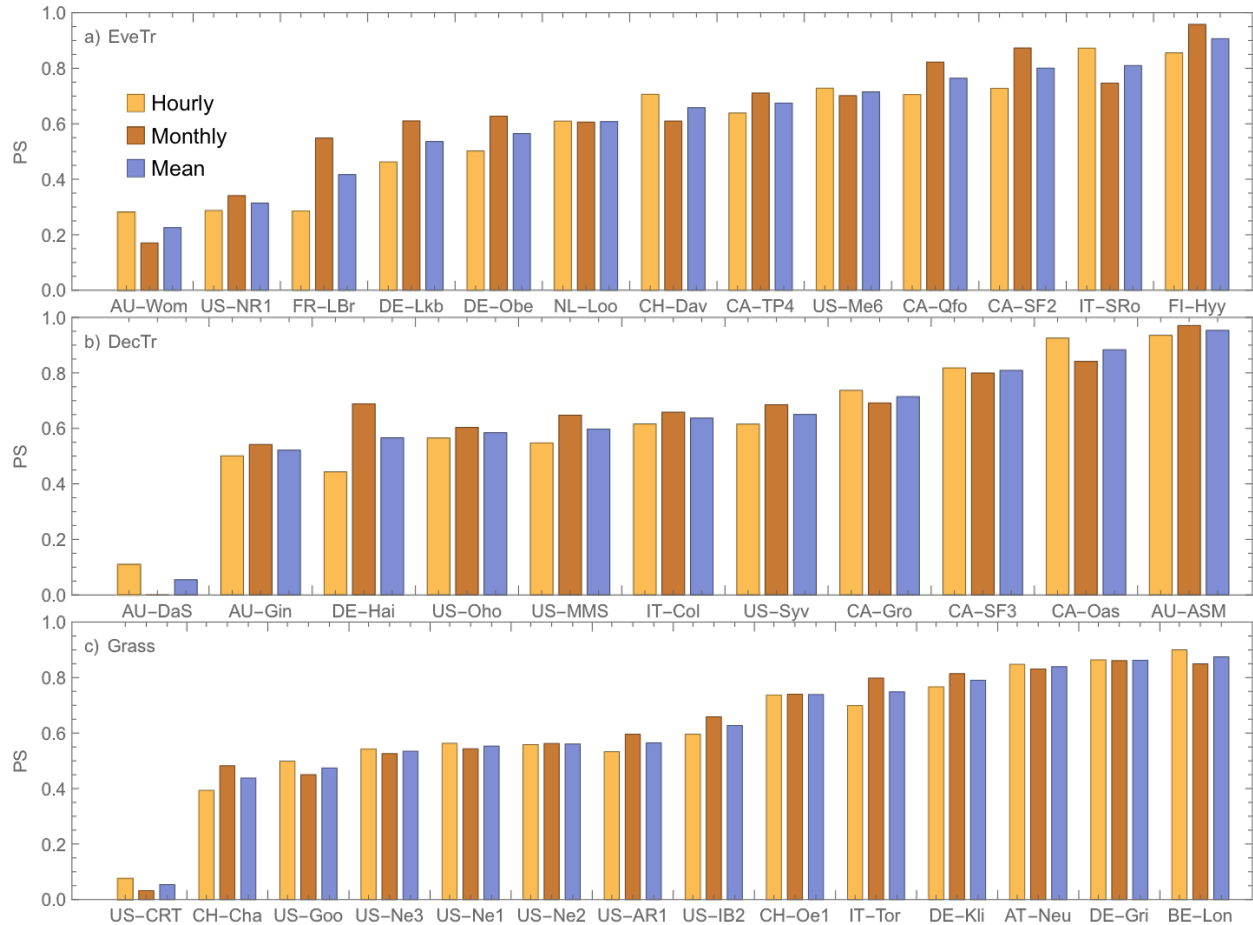


623
 624 **Figure 16** Variation in evaluation metrics (Section 5.2) based on 30/60-min Q_E data by month using
 625 the derived parameters based on FLUXNET2015 dataset (Tables C1–C3): (a–c) HR, (d–f) MAE,
 626 (g–i) MBE by sites grouped into three PFTs (a,d,g) EveTr, (b,e,h) DecTr and (c,f,i) Grass with
 627 sites of best (blue)/poorest (orange) performance highlighted while others in grey (indicated by
 628 PS: see text and Eqn. 35 for details). Note Southern Hemisphere sites are offset by 6 months
 629 (Sect. 5.1), so ‘general’ seasons are consistent across sites.



630
 631 **Figure 17** As Fig. 16, but for diurnal cycles using local standard time.

632
 633 To investigate Q_E performance relative to key parameters (LAI , r_a , and g_s) we select the sites with
 634 contrasting results from each PFT to understand the drivers. The hourly and monthly ranked performance
 635 (Eqn. 35) are broadly consistent within each PFT type (Fig. 18). Sites with higher hourly scores generally
 636 have better monthly scores, except for IT-SRo within the EveTr cohort. It has the highest hourly PS
 637 (0.86) but is ranked fourth based on $PS_{monthly}$ (0.64), whereas the highest $PS_{monthly}$ (0.91) is for FI-Hyy
 638 which also has the second rank PS_{hourly} (0.83). To select sites for further analysis we rank based on the
 639 mean of monthly and hourly PS results. The six sites chosen are the best and poorest sites for the three
 640 PFTs (i.e. extremes in Fig. 18, highlighted in Fig. 16 and 17).



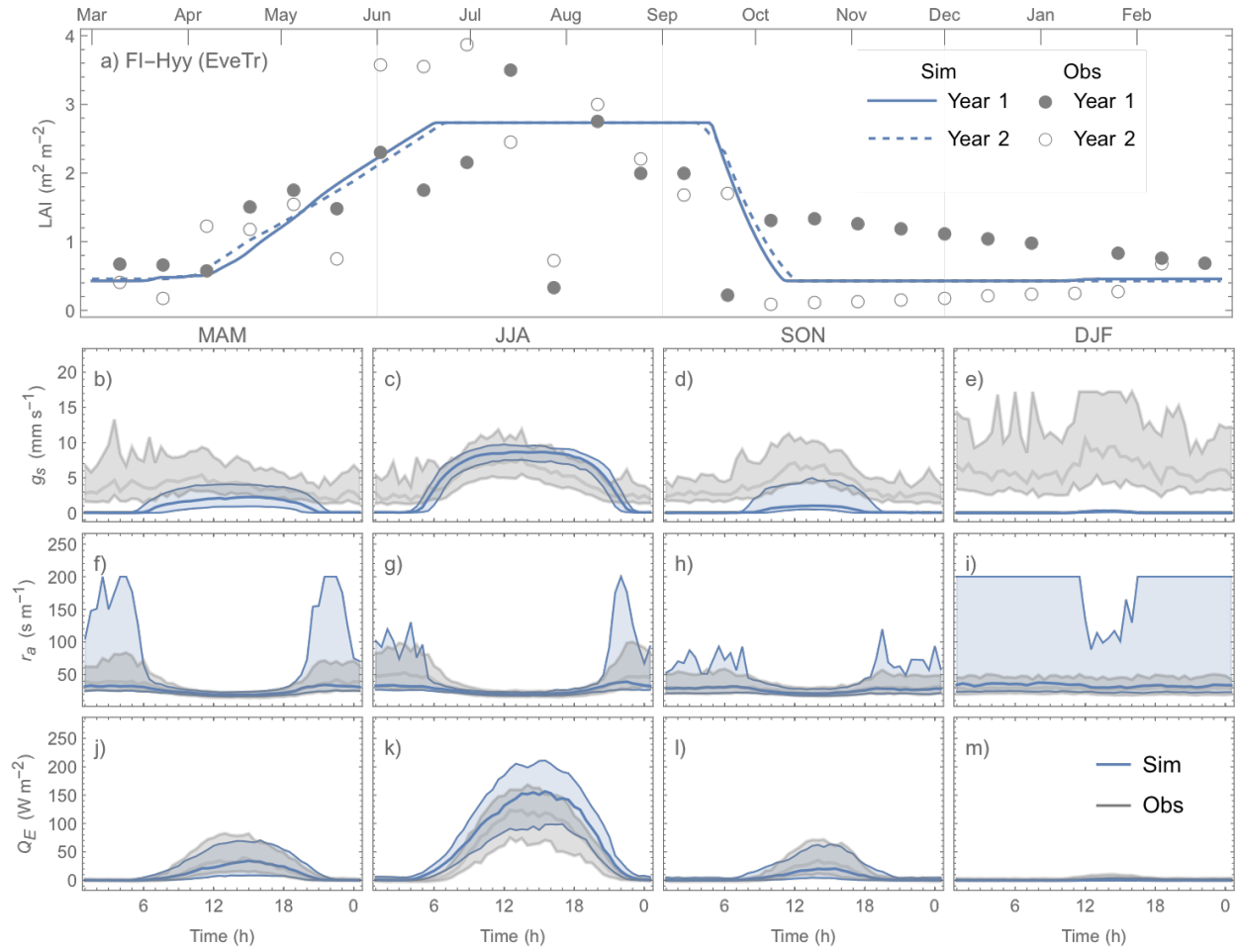
641

642 **Figure 18** Performance score (PS, Eqn. 35, higher value better) using FLUXNET2015 derived
 643 parameters for sites (Table 3) from three PFT: a) EveTr, b) DecTr and c) Grass.

644 Comparing the contrasting site Q_E performance (best cf. poorest) for the three PFTs (Fig. 19 cf. 20; 21 cf.
 645 22; 23 cf. 24), we identify the skill of capturing the annual LAI dynamics is crucial to seasonal model
 646 performance (Fig. 19–24a). At the “best” sites but BE-Lon (a Grass site, whose performance is more
 647 controlled by surface conductance g_s skill and shall be discussed later) the phenology generally has the
 648 correct timing while at the poorest onsets of some stages are missed (e.g. Fig 22a). Timing appears to be
 649 more critical than magnitude, as although the LAI magnitude at AU-ASM has a large bias in year 2 (0.5
 650 $\text{m}^2 \text{m}^{-2}$, Fig. 21a) the phenology timing is well captured. This result for a first rank site (i.e. best
 651 performance) implies the rescaling nature of LAI in parameterisation of albedo (Eqn. 6) and surface
 652 conductance (Eqn. 14) plays an important role. This indicates the importance of assigning appropriate
 653 LAI parameters, notably those influencing the timing (i.e. temperature thresholds $T_{base,GDD}$ and $T_{base,SDD}$ in
 654 Eqn. 4), in SUEWS modelling Q_E at vegetated sites.

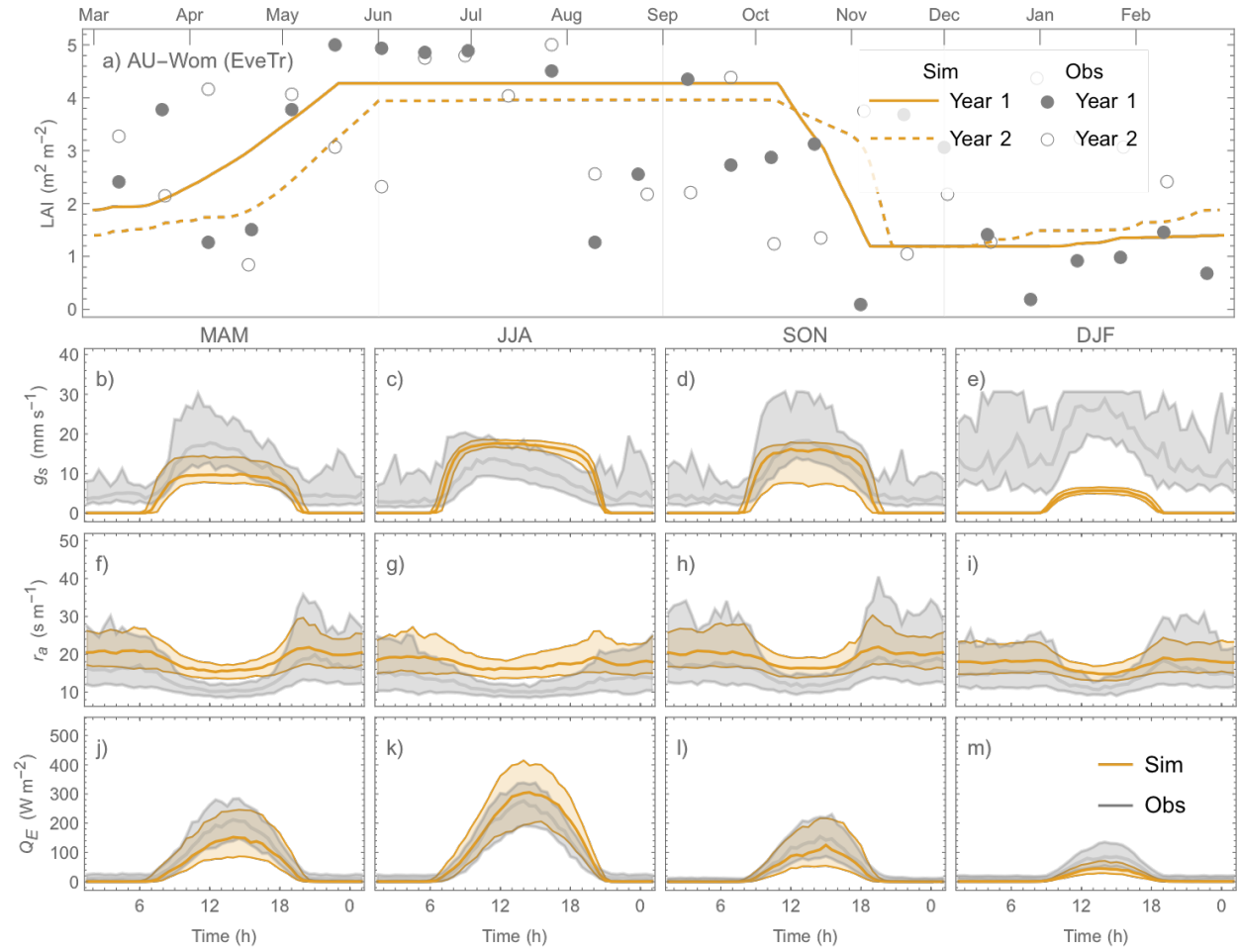
655 As expected (Sect 5.2), SUEWS performance is critically impacted by surface conductance g_s skill (Fig.
656 19–24b–e *cf.* j–m): sites and seasons with better model g_s skill (i.e. simulations and observations closer)
657 show overall better performance (e.g. for Grass sites, BE-Lon vs. US-CRT, *cf.* Fig. 23c and 24c). g_s is
658 better modelled in warmer (JJA and SON) than cooler seasons (MAM and DJF). At night, g_s is generally
659 underestimated, by a similarly order of magnitude as that in cooler seasons ($\sim 3 \text{ mm s}^{-1}$). These results,
660 consistent with SUEWS results for two UK urban sites (Ward et al 2016), suggest improvements are
661 needed in the Jarvis type g_s parameterisation during cooler periods. Given the method adopted here of
662 using summertime observations, as used by many other land surface models (e.g. NOAH - Chen and
663 Dudhia 2001; HTESSEL - Balsamo et al. 2009), it implies that the widely adopted Jarvis type g_s
664 parameterisations and/or related parameter values (*cf.* Sect. 4.3) maybe biased towards vegetation
665 canopies in warmer periods. The “cool” bias in modelled g_s found here, and in earlier SUEWS work (e.g.,
666 Ward et al. (2016)), should be considered a more common issue beyond the SUEWS model. Given the
667 needs in long-term climate modelling, systematic biases should be removed suggesting other land surface
668 models that adopt the Jarvis type g_s parameterisation might need revisions as well.

669 The aerodynamic resistance r_a is modelled well at all sites (Fig. 19–24f–i), with nocturnal biases larger
670 (e.g. underestimate of $\sim 50 \text{ s m}^{-1}$ at AT-Neu, Fig. 23f–i). This good performance may be largely attributed
671 to the use of local site - growth stage derived aerodynamic roughness parameters (Appendix B) rather
672 than estimated using a morphometric model (e.g. based on canopy height). To estimate z_{0m} and z_d using
673 Eqn. 9 and 10 the f_0 and f_d parameters can be derived using Sun et al. (2021) for different growing stages
674 with the FLUXNET2015 data when canopy heights are available. The largest intra-PFT variability,
675 occurs for ‘Grass’ sites (Fig. B1).



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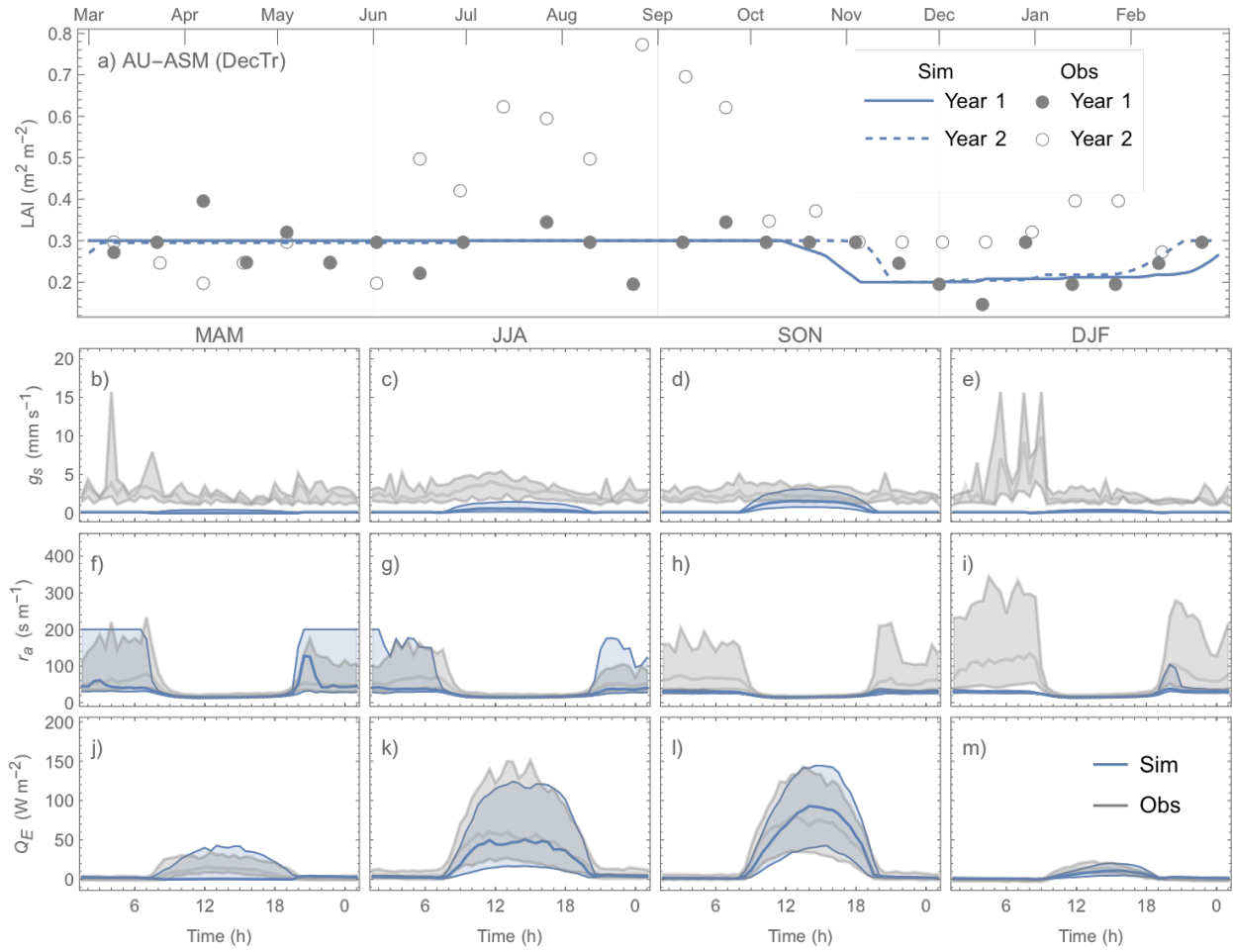
Figure 19 FI-Hyy (EveTr, PS=0.92) performance (a) annual LAI. (b–e) g_s (in seasonal ensemble of diurnal cycles: median values in bold lines, while interquartile ranges in shadings), f–i) r_a ; and j–m) Q_E . Note the same colouring of simulations for sites with best/poorest model performance and six-month offset in annual cycles are applied for consistency with Fig. 16 and 17.



683
 684 **Figure 20** As Fig. 19, but for AU-Wom (EveTr, PS=0.18).
 685

686

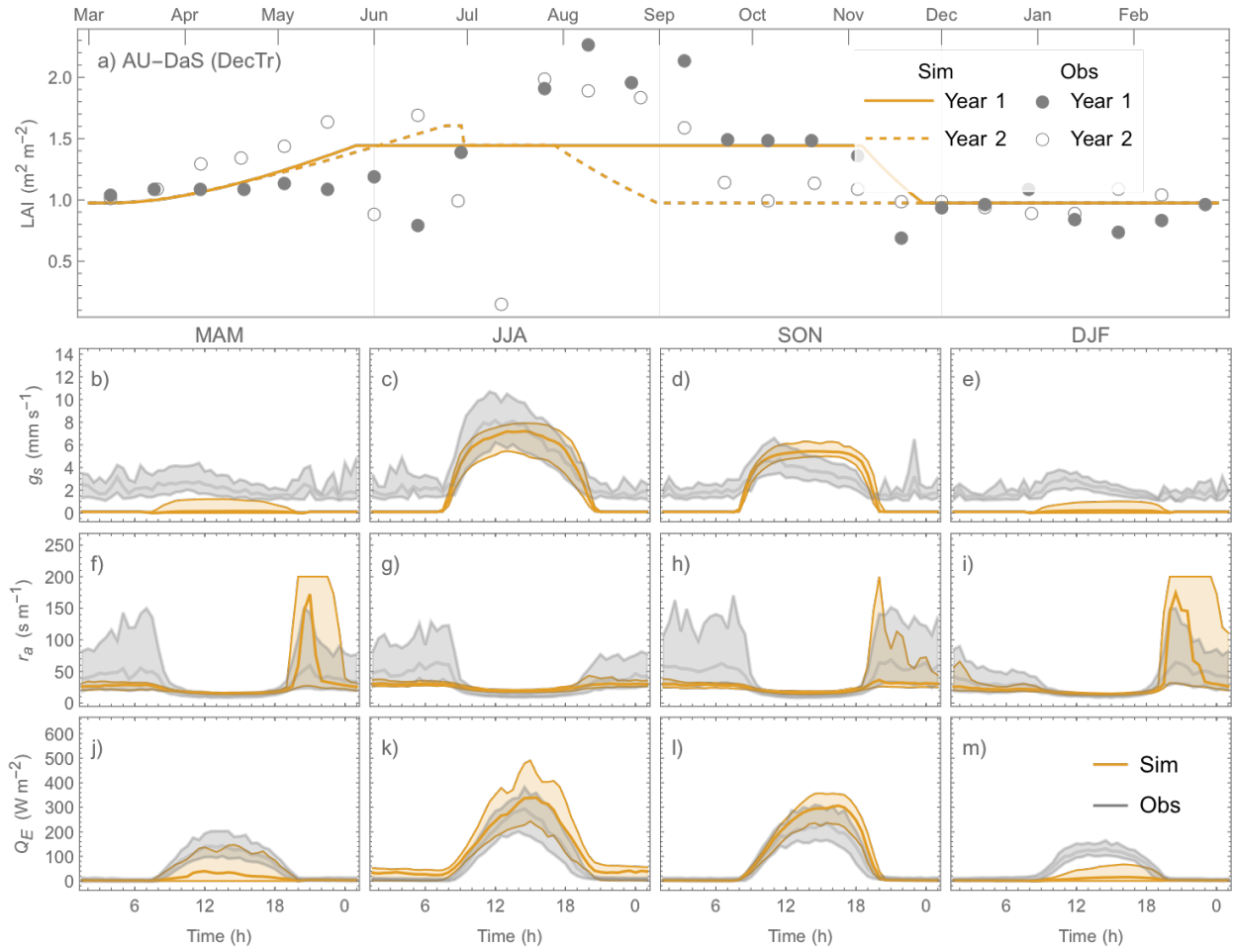
686



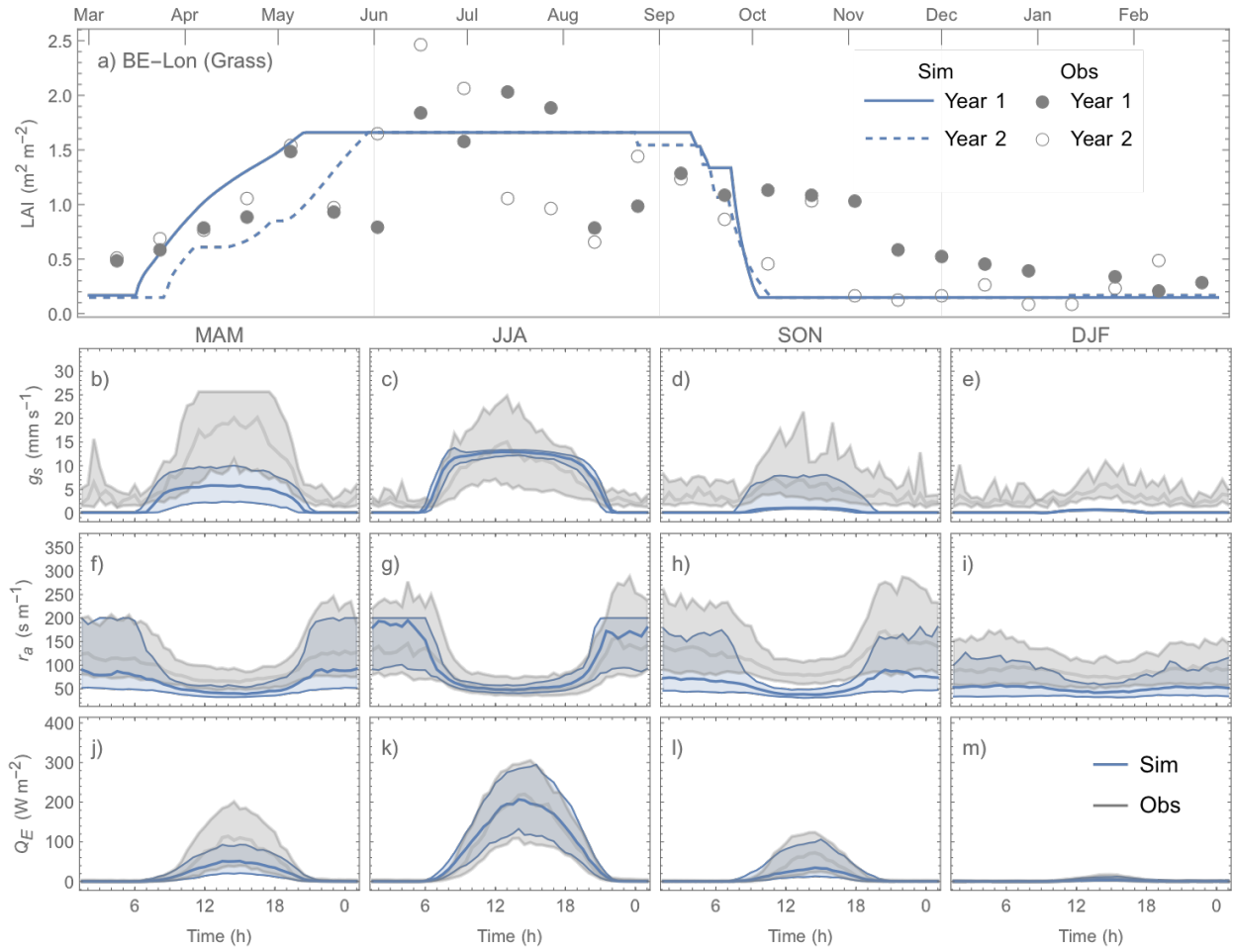
687

688 **Figure 21** As Fig. 19, but for AU-ASM (DecTr, PS=0.96).

689



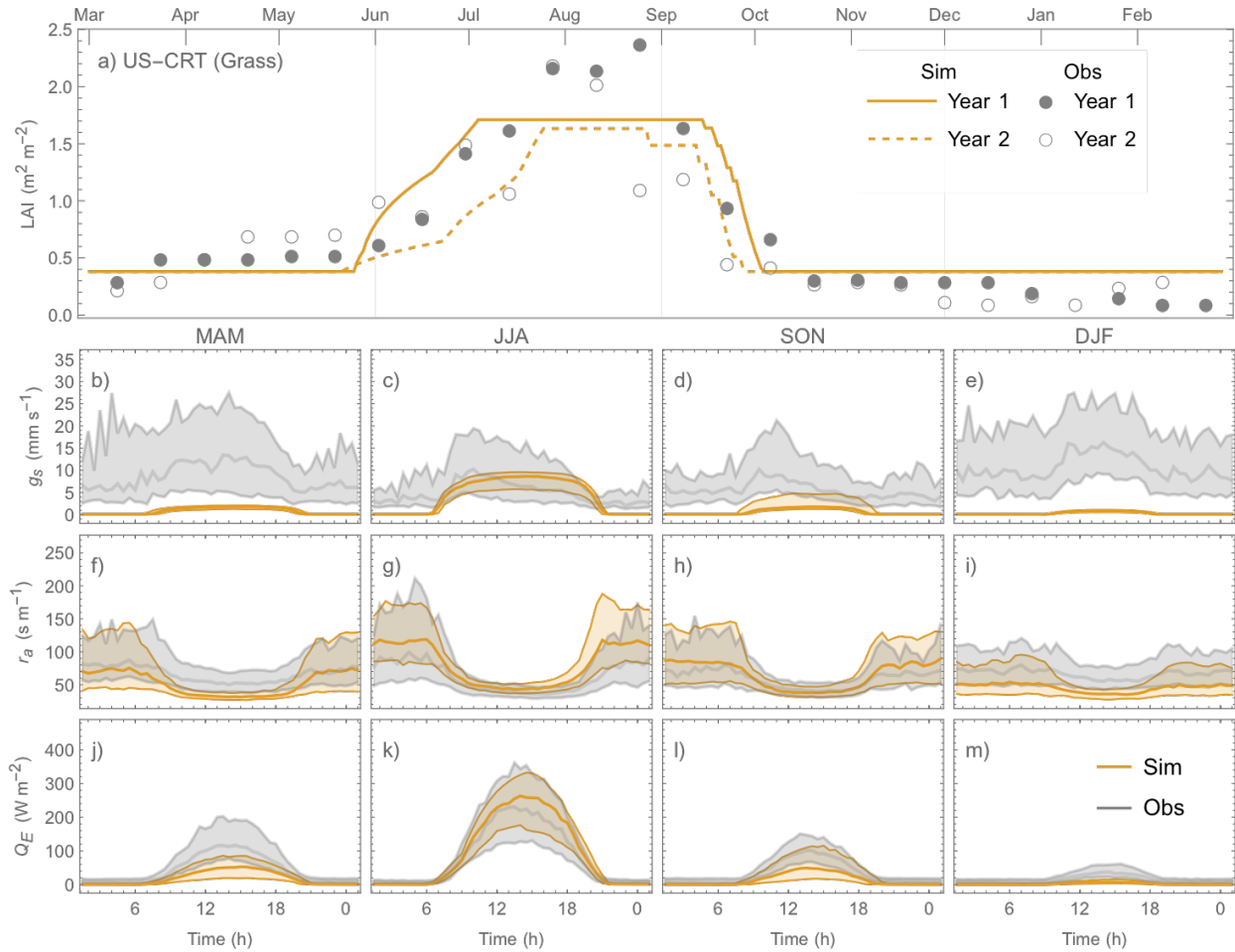
690
 691 **Figure 22** As Fig. 19, but for AU-DaS (DecTr, PS=0.04).
 692



693
 694 **Figure 23** As Fig. 19, but for BE-Lon (Grass, PS=0.88).
 695

696

696



697
698 **Figure 24** As Fig. 19, but for US-CRT (Grass, PS=0.04).

699 **6 Concluding remarks**

700 In this work, we derive parameters for SUEWS for fully vegetated land covers that are commonly found
 701 in background (‘rural’) contexts of cities, where SUEWS has been widely used to model urban climates.
 702 To facilitate derivation of SUEWS parameters we provide workflows in Jupyter notebooks (Sun et al.
 703 2021) for leaf area index (LAI), albedo, Objective Hysteresis Model (OHM) coefficients, aerodynamic
 704 roughness parameters and surface conductance (g_s). We use these to determine parameters at 38 vegetated
 705 FLUXNET sites in North America, Europe and Australia. Using the derived parameters, we assess
 706 performance of SUEWS in predicting latent heat flux (Q_E) at different temporal scales (monthly and
 707 hourly).

708 It is concluded that:

- 709 ▪ Where observations are available, we recommend determining local parameters, as derived
710 parameters vary within PFT (Appendix C). The tools provided here are designed to facilitate this
711 (Sect. 4).
- 712 ▪ Given the global availability of MODIS LAI and reanalysis-based air temperature datasets (e.g.,
713 ERA5), it is feasible to derive site by site LAI parameters for SUEWS (Sect. 4.1).
- 714 ▪ OHM coefficients for modelling storage heat flux derived here show clear seasonality: summertime
715 (i.e., days warmer than annual median air temperature) a_1 and a_3 are smaller than their wintertime
716 counterparts while the seasonal contrast in a_2 is smaller, suggesting seasonally varying values should
717 be used for long-term (i.e. > one year) simulations.
- 718 ▪ Surface conductance related parameters derived using summertime upper-boundary-based approach
719 (Matsumoto et al. 2008), produce parameter related to solar radiation (G_K) and optimal air
720 temperature (G_T) with some dependence on geographical locations, which could be used as a proxy to
721 derive these two parameters.
- 722 ▪ SUEWS modelled Q_E is particularly sensitive to surface conductance as informed by the attribution
723 analysis using an analytical framework by McCuen (1974) and consistent with results of Beven
724 (1975) that surface conductance plays a dominant role in moderating the bias in modelled Q_E .
- 725 ▪ SUEWS configured with NOAH-based parameters has comparable prediction skill in Q_E compared to
726 site-specific parameters when assessed by hit rate (HR) with medians being ~ 0.65 . However, site-
727 specific parameters improve SUEWS performance as shown by the mean absolute error (MAE) and
728 mean bias error (MBE) metrics, becoming increasingly evident at finer temporal scales (monthly and
729 hourly).
- 730 ▪ SUEWS with site-specific parameters outperforms in cooler periods (i.e., winter and night) compared
731 to warmer periods (i.e., summer and day): HR is consistently higher in the former periods than the
732 latter (0.71 cf. 0.52 in median) while MAE (cooler vs. warmer seasons median: ~ 12 cf. ~ 31 W m⁻²).
- 733 ▪ Correctly predicting LAI timing dynamics has a crucial influence on overall Q_E model performance,
734 followed by surface conductance g_s that is generally underestimated during cooler periods (more
735 pronounced at night by ~ 3 mm s⁻¹).

736 As the first comprehensive study of SUEWS at multiple vegetated sites, we also identify future
737 development and application needs:

- 738 ▪ None of the simple LAI schemes in SUEWS account for hydrological impacts on LAI. Vegetation
739 with shallow roots (e.g. US-SRG in Arizona, US, categorised as GRA according to IGBP, Fig. D2)
740 are not well modelled when air temperature is the only phenology forcing variable. Hydrological
741 feedback should be considered in future development of the LAI scheme in SUEWS.

- 742 ▪ The specific humidity deficit surface conductance parameter relation needs improvement as a plateau-
743 like trend is observed near the lower end (e.g., Fig. 9c).
- 744 ▪ A potential source of parameters values for PFT beyond those studied here (i.e. values provided
745 Appendix C, Sun et al. 2021) could be NOAH-based parameters (Appendix A) but these should be
746 used with caution, as demonstrated (Section 5).
- 747 ▪ More careful treatment of snow cover should be incorporated to enhance SUEWS capacity in high-
748 latitude regions

749 **Appendix A: NOAH-based equivalent values for surface conductance related**
750 **parameters for SUEWS**

751 The NOAH land surface scheme (Chen et al. 1996) uses a similar Jarvis-type parameterisation of surface
752 conductance g_s as in SUEWS (i.e. Eqn. 13) but with different formulation of g_s sub-components from
753 SUEWS (Eqn A1-A4 cf. Eqn. 15–18). The NOAH equations, using our notation are:

- 754 • Incoming solar radiation (K_{\downarrow}):

755
$$g_{NOAH}(K_{\downarrow}) = \frac{\frac{R_{cmin}}{5000} + f}{1 + f} \quad (A1)$$

756 where $f = 0.55 \frac{K_{\downarrow}}{R_{gl}} \frac{2}{LAI}$ with R_{gl} an adjustable parameter for K_{\downarrow} .

- 757 • Air specific humidity (q):

758
$$g_{NOAH}(q) = \frac{1}{1 + h_s(q_s - q)} \quad (A2)$$

759 where h_s is the adjustable parameter for specific humidity q and q_s the saturation specific humidity.

- 760 • Air temperature (T_a):

761
$$g_{NOAH}(T_a) = 1 - 0.0016(T_{ref} - T_a)^2 \quad (A3)$$

762 where T_{ref} is the adjustable parameter for air temperature T_a .

- 763 • Soil moisture (θ_{soil}):

764
$$g_{NOAH}(\theta_{soil}) = \frac{\theta_{soil} - \theta_{WP}}{\theta_{FC} - \theta_{WP}} \quad (A4)$$

765 where θ_{FC} and θ_{WP} are field capacity and wilting point (see Table A2 for values of different soil types).

766 To use the NOAH parameters (as given in Table 1 and 2 of Chen and Duhdia 2001), we convert the
767 NOAH parameters (Eqn. A1- A4) to the SUEWS required parameters (i.e. for Eqn. 15-18). The resulting
768 SUEWS parameters (Table A1) are used (Sect. 5) to produce results in Fig. 14 and 15 denoted by NOAH.

769 **Table A1** NOAH-derived (data: based on Table 1 and 2 of Chen and Duhdia 2001) surface
 770 conductance related parameters for SUEWS

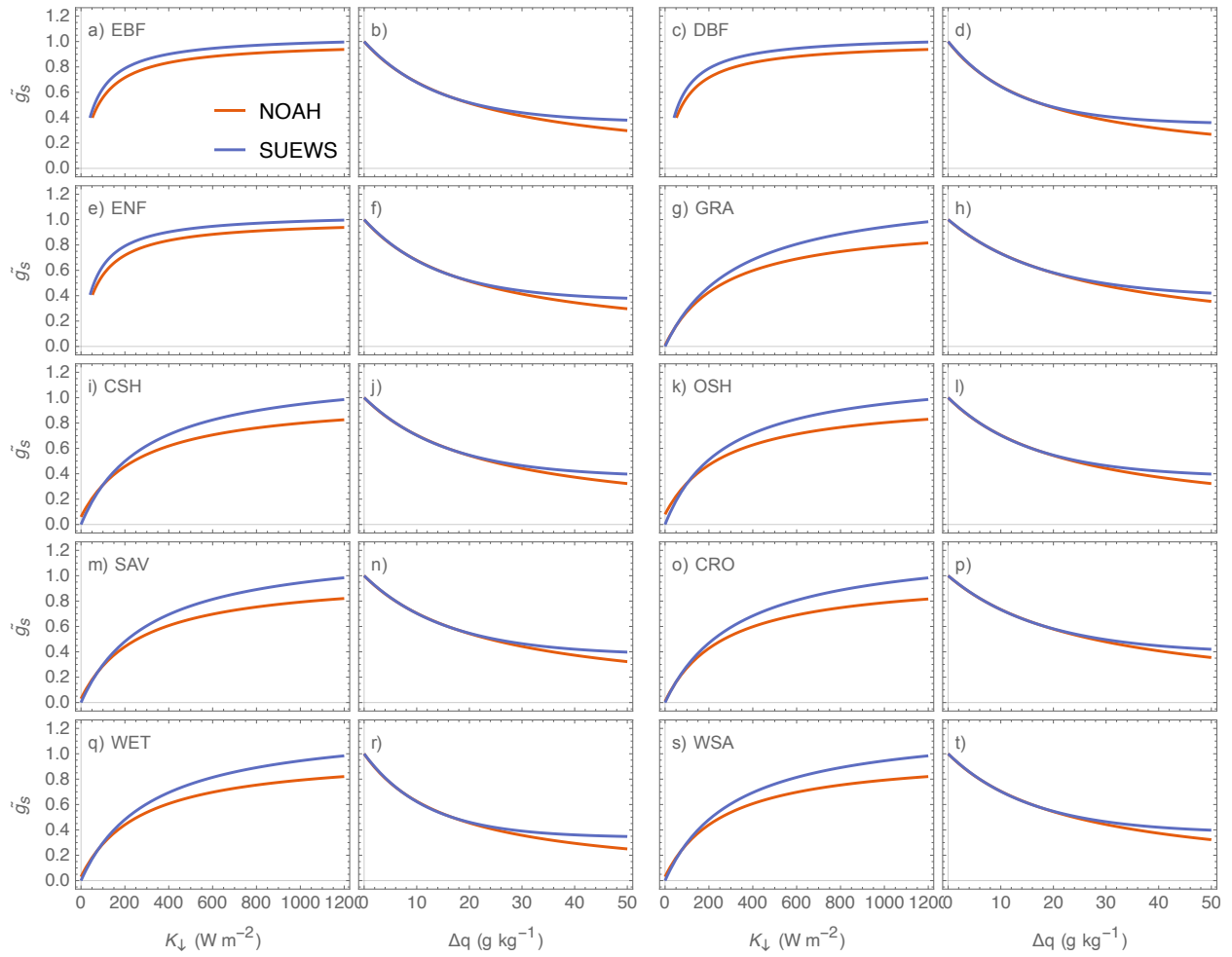
	g_{max} [mm s ⁻¹]	G_K [W m ⁻²]	G_T [°C]	T_L [°C]	T_H [°C]	$G_{q,base}$ [-]	$G_{q,shape}$ [-]	G_θ [-]
EBF	10.0	67	24.85	-0.15	49.85	0.361	0.932	0.002
DBF	10.0	67	24.85	-0.15	49.85	0.348	0.924	0.002
MF	10.0	66	24.85	-0.15	49.85	0.352	0.927	0.002
ENF	6.7	65	24.85	-0.15	49.85	0.361	0.932	0.002
GRA	25.0	336	24.85	-0.15	49.85	0.384	0.945	0.002
CSH	3.3	291	24.85	-0.15	49.85	0.372	0.938	0.002
OSH	2.5	275	24.85	-0.15	49.85	0.372	0.938	0.002
SAV	6.7	316	24.85	-0.15	49.85	0.372	0.938	0.002
CRO	25.0	336	24.85	-0.15	49.85	0.384	0.945	0.002
WET	6.7	316	24.85	-0.15	49.85	0.338	0.919	0.002
WSA	6.7	316	24.85	-0.15	49.85	0.372	0.938	0.002

771 **Table A2** Soil field capacity (θ_{FC}) and wilting point (θ_{WP}) used in NOAH (data: based on Table 2 of
 772 Chen and Duhdia 2001).

	θ_{FC} [m ³ m ⁻³]	θ_{WP} [m ³ m ⁻³]
Sand	0.236	0.01
Loamy sand	0.283	0.028
Sandy loam	0.312	0.047
Silt loam	0.36	0.084
Silt	0.36	0.084
Loam	0.329	0.066
Sandy clay loam	0.314	0.067
Silty clay loam	0.387	0.12
Clay loam	0.382	0.103
Sandy clay	0.338	0.1
Silty clay	0.404	0.126
Clay	0.412	0.138

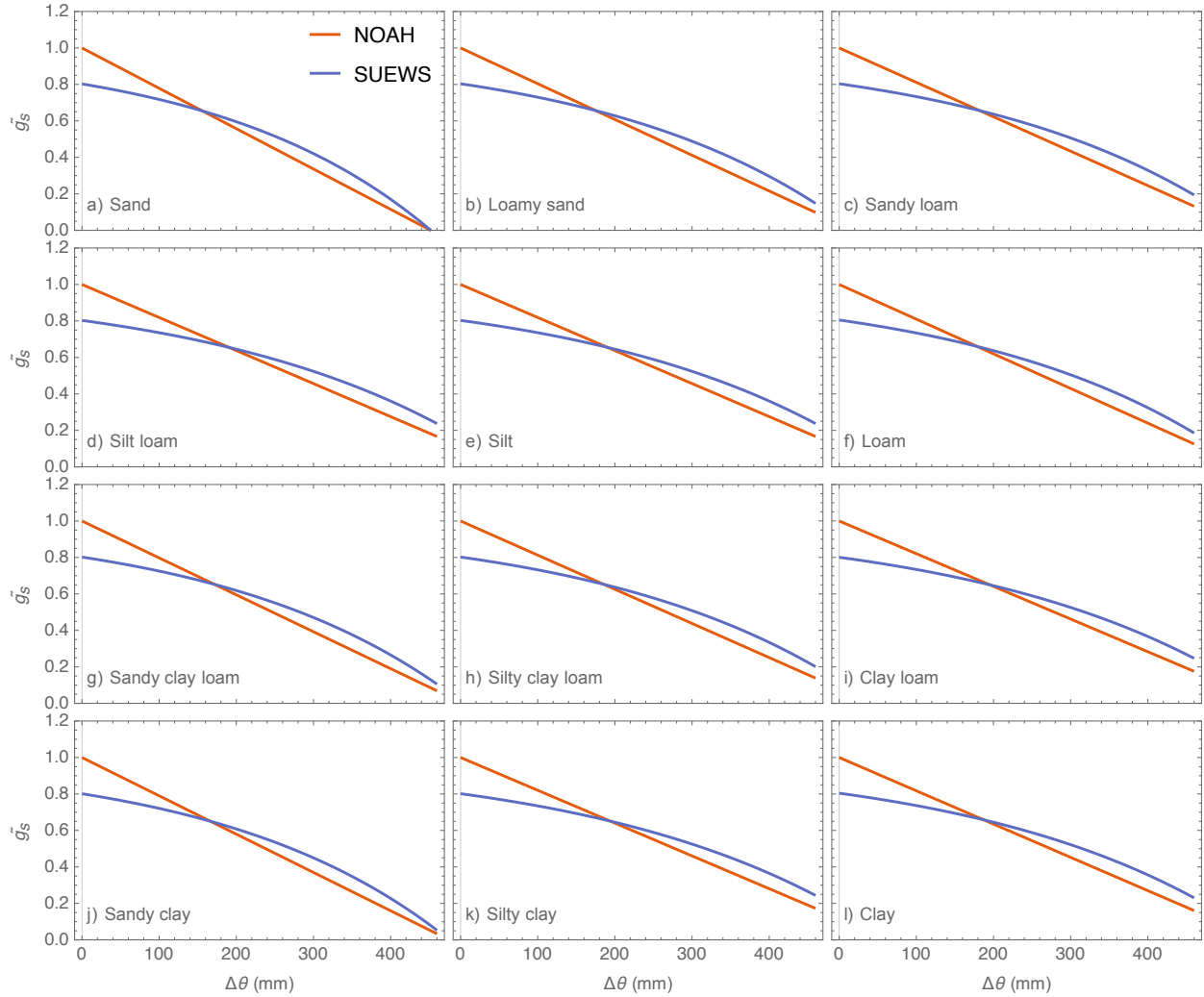
773 Except for the g_s function for air temperature – SUEWS and NOAH adopt the effectively same
 774 formulation – other g_s functions may produce different results even using the converted parameter values
 775 (Table A1). In particular, the shortwave radiation and specific humidity (Fig. A1), the SUEWS values
 776 (blue) are higher for all PFT types than NOAH (red). The role of soil type (Table A2) on the soil moisture
 777 deficit function (Fig. A2) results in larger differences at dry and mid-wet extremes.

778



779

780 **Figure A1** NOAH (red) and SUEWS (blue) surface conductance functions for incoming solar
 781 radiation (K_{\downarrow}) and specific humidity deficit (Δq) for different IGBP PFTs.



782

783 **Figure A2.** As Fig A1 but for soil moisture deficit ($\Delta\theta$) for different soil types with an assumed soil
 784 depth of 2000 mm. Soil hydraulic properties (field capacity θ_{FC} and wilting point θ_{WP}) are provided
 785 in Table A2.

786 **Appendix B: Derivation of roughness length and zero-plane displacement height**
 787 **for momentum**

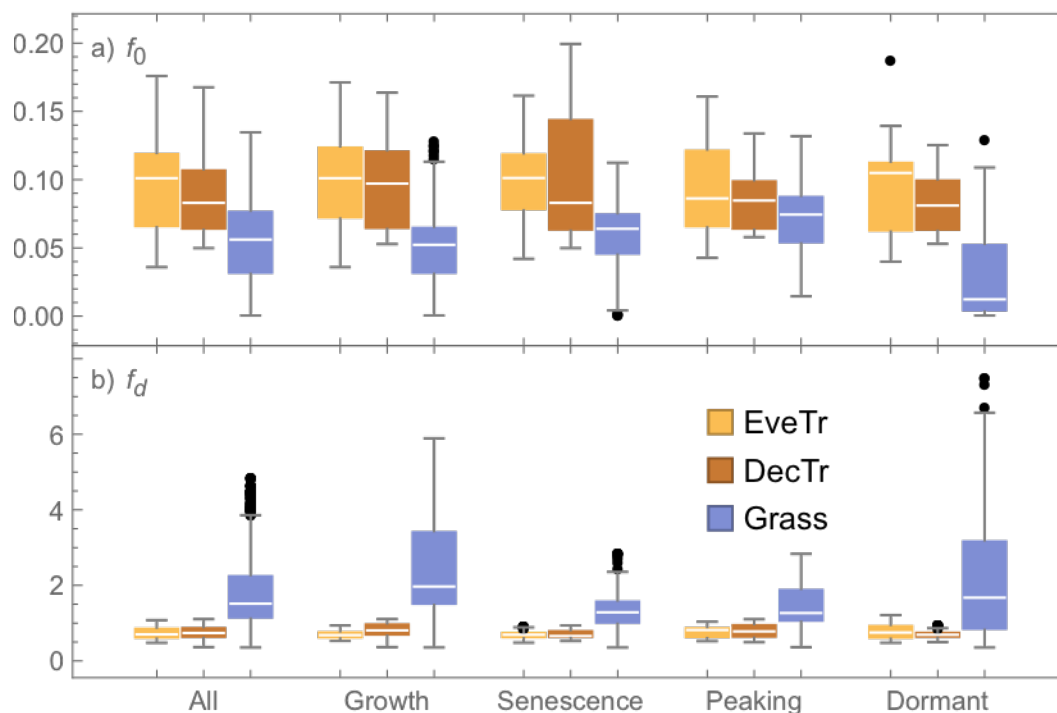
788 The aerodynamic roughness parameters for momentum (roughness length z_{0m} and zero-plane
 789 displacement height z_d) are derived using observed u_* and u under neutral conditions (i.e. $\left| \frac{z_m - z_d}{L} \right| < 0.01$
 790 with an initial estimate of $z_d = 0.7H_c$) of different vegetation stages based on LAI (see Sect. 4.1 for
 791 classification details) by the least-square method for the following relation (Monin and Obukhov, 1954):

792

$$u = \frac{u_*}{\kappa} \ln \left(\frac{z_m - z_d}{z_{0m}} \right) \quad (\text{B1})$$

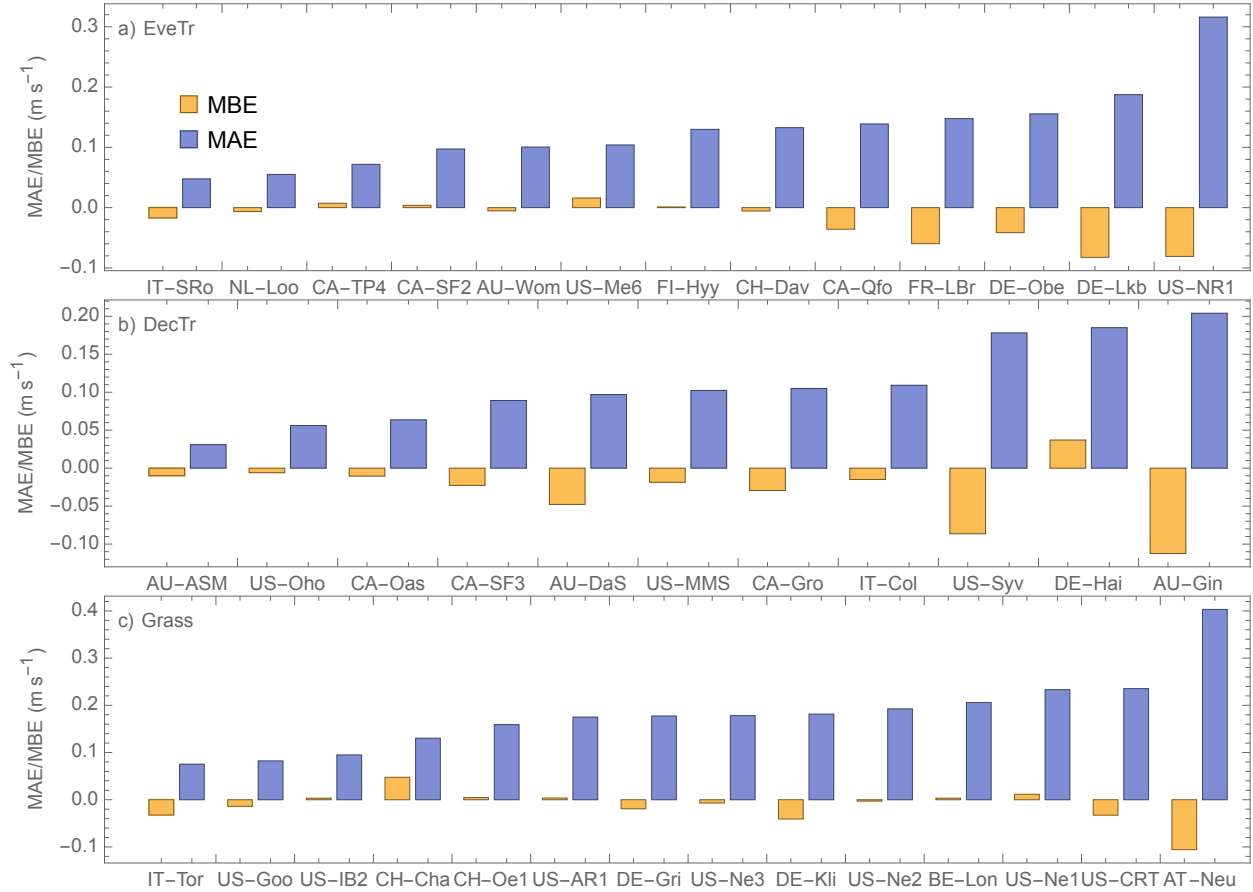
793 where κ is the von Kármán constant (0.4 is used here). In particular, for sites with varying canopy height
 794 H_c , z_{0m} and z_d are derived for each of the periods when H_c stayed unchanged and more than 20
 795 observational pairs of u_* and u are available.

796 Using the derived z_{0m} and z_d , f_0 and f_d parameters can be obtained (Eqn. 9 and 10). There is considerable
 797 intra-PFT variability of both f_0 and f_d (Fig. B1). There are also intra-site variations associated with varying
 798 H_c . Given the large variability in both f_0 and f_d , the rule-of-thumb approach would incur large bias in
 799 estimated aerodynamic and surface resistances and subsequently the modelled Q_E . To reduce such bias, in
 800 the evaluation of the other sub-models and parameter determinations in this paper, we use the derived z_{0m}
 801 and z_d determined for each vegetation stage and site.



802
 803 **Figure B1.** Relations between canopy height (H_c) and a) roughness length for momentum (z_{0m} , Eqn.
 804 B2) and b) displacement height (z_d , Eqn. B3) for different vegetation stages based on LAI (see
 805 Sect. 4.1 for classification details)

806 Modelled wind speed under neutral conditions matches well with observations at 38 study sites with
 807 MAE $< 0.3 \text{ m s}^{-1}$ and MBE close to zero (Fig. B2). Of the three SUEWS PFTs, ‘Grass’ sites have the
 808 poorer performance. This is probably because this PFT includes crops which will change frequently
 809 because of crop rotations: cereal, potato, sugar beet at BE-Lon (Moureaux et al., 2006); winter barley,
 810 rapeseed, winter wheat, maize and spring barley at DE-Kli (Prescher et al., 2010), maize and soybean at
 811 US-Ne2 and US-Ne3).



812
813
814

Figure B2 MAE (blue) and MBE (orange) for modelled wind speed under neutral conditions for three SUEWS PFTs: a) EveTr, b) DecTr and c) Grass.

815

Appendix C: SUEWS parameters derived at selected FLUXNET sites

816
817

Table C1 LAI and albedo related parameters at 38 sites (Table 3 gives site information) derived using FLUXNET2015 dataset (Sect. 4.1).

	α_{min}	α_{max}	LAI _{max}	LAI _{min}	GDD _{full}	SDD _{full}	$T_{base,GDD}$	$T_{base,SDD}$	$\omega_{1,GDD}$	$\omega_{1,SDD}$	$\omega_{2,GDD}$	$\omega_{2,SDD}$
	[-]	[-]	[m ² m ⁻²]	[m ² m ⁻²]	[°C day]	[°C day]	[°C]	[°C]	[-]	[-]	[-]	[-]
AT-Neu	0.23	0.19	2.24	0.18	1200.58	-1015.40	-0.20	14.02	-2.00	-3.02E-03	5.35E-05	0.09
AU-ASM	0.12	0.09	0.30	0.20	93.17	-31.48	13.90	16.42	-1.32	-2.21E-03	1.43E-05	0.97
AU-DaS	0.14	0.12	1.86	0.97	350.98	-78.63	25.74	24.90	-2.00	-5.45E-03	5.29E-05	1.37
AU-Gin	0.13	0.11	1.09	0.60	239.14	-96.09	12.73	17.21	-2.00	-1.16E-03	7.87E-05	2.95
AU-Wom	0.10	0.09	4.51	1.19	404.14	-43.42	5.50	7.86	-2.00	-5.17E-03	2.10E-03	1.17
BE-Lon	0.17	0.14	1.66	0.15	264.31	-467.14	8.63	14.53	-2.00	-2.27E-03	4.64E-04	3.33
CA-Gro	0.09	0.08	2.97	0.65	1000.69	-300.71	-0.62	13.37	-2.00	-5.50E-04	2.88E-04	1.72
CA-Oas	0.12	0.11	3.87	0.56	252.15	-193.36	8.69	13.81	-1.71	-3.22E-03	3.81E-03	1.65
CA-Qfo	0.11	0.06	1.82	0.20	932.53	-675.56	-4.41	12.84	-1.26	-1.05E-03	6.79E-05	0.57
CA-SF2	0.12	0.11	2.74	0.50	781.58	-382.78	1.43	12.35	-2.00	-9.12E-04	2.08E-04	3.03
CA-SF3	0.10	0.09	2.22	0.45	724.36	-598.25	1.40	14.45	-2.00	-7.55E-03	1.24E-04	0.10
CA-TP4	0.09	0.08	2.57	0.43	1042.85	-599.76	4.54	18.51	-2.00	-3.77E-03	1.32E-04	0.14
CH-Cha	0.21	0.18	2.39	0.52	161.91	-369.59	2.69	9.41	-2.00	-6.79E-03	2.45E-03	1.04
CH-Dav	0.07	0.06	2.04	0.20	645.94	-380.00	1.95	9.97	-1.36	-3.69E-04	1.14E-04	2.83
CH-Oe1	0.22	0.18	1.98	0.31	399.90	-486.85	2.78	12.45	-2.00	-1.39E-03	4.58E-04	0.29
DE-Gri	0.21	0.19	2.52	0.69	369.12	-383.65	3.10	12.72	-2.00	-7.57E-03	6.59E-04	0.05
DE-Hai	0.13	0.09	3.82	0.98	101.43	-49.58	7.46	9.89	-0.09	-1.00E-02	3.49E-03	4.00
DE-Kli	0.19	0.14	2.24	0.30	371.62	-129.27	2.81	9.29	-2.00	-7.34E-03	4.20E-04	1.46
DE-Lkb	0.22	0.14	2.68	0.51	967.50	-293.93	-1.32	7.59	-2.00	-3.45E-03	1.45E-04	0.52
DE-Obe	0.07	0.06	2.84	0.59	349.45	-306.51	1.77	7.74	-2.00	-7.57E-03	1.05E-03	1.27

FI-Hyy	0.10	0.09	2.73	0.43	859.30	-512.51	0.08	14.97	-2.00	-3.98E-03	3.35E-04	0.10
FR-LBr	0.11	0.10	2.35	0.62	322.30	-263.61	11.58	17.10	-2.00	-2.58E-03	7.28E-04	2.51
IT-Col	0.13	0.11	3.12	0.54	390.41	-424.85	3.60	11.45	-2.00	-7.40E-04	1.22E-03	0.85
IT-SRo	0.09	0.07	2.73	0.92	481.73	-564.19	8.39	18.06	-2.00	-2.68E-03	6.75E-04	0.07
IT-Tor	0.23	0.18	2.08	0.20	625.92	-467.45	0.70	9.16	-2.00	-9.33E-04	1.58E-04	1.45
NL-Loo	0.10	0.09	2.01	0.49	676.48	-937.96	6.27	16.31	-2.00	-6.60E-03	9.67E-05	0.02
US-AR1	0.15	0.14	0.96	0.27	387.82	-929.25	10.88	22.53	-2.00	-2.31E-03	3.71E-05	0.06
US-CRT	0.12	0.11	2.53	0.38	23.74	-264.69	25.24	17.99	-2.00	-1.21E-03	4.49E-03	1.25
US-Goo	0.20	0.16	3.13	0.73	131.20	-276.51	16.85	19.13	-2.00	-5.99E-04	7.11E-03	2.09
US-IB2	0.15	0.13	1.94	0.21	1403.13	-940.31	1.12	19.90	-1.74	-7.04E-03	4.08E-05	0.06
US-Me6	0.15	0.11	1.46	0.61	22.89	-309.48	6.70	4.74	-2.00	-5.80E-04	2.91E-03	0.15
US-MMS	0.12	0.11	5.00	1.01	68.61	-50.83	13.97	15.79	-0.45	-7.11E-03	8.30E-03	2.22
US-Ne1	0.16	0.14	2.13	0.34	475.09	-321.98	14.84	22.34	-1.80	-7.23E-04	2.07E-04	1.62
US-Ne2	0.16	0.13	2.08	0.30	469.13	-380.78	14.65	22.42	-1.83	-2.03E-03	2.13E-04	0.41
US-Ne3	0.17	0.16	2.18	0.33	491.72	-317.46	14.89	22.64	-1.60	-5.25E-04	2.15E-04	1.47
US-NR1	0.14	0.14	1.53	0.51	640.96	-1239.29	-0.32	11.62	-2.00	-1.20E-03	9.67E-05	0.04
US-Oho	0.14	0.12	2.78	0.45	1540.19	-981.83	1.50	21.71	-1.65	-5.24E-03	9.67E-05	0.05
US-Syv	0.16	0.10	4.81	0.66	461.96	-202.37	4.30	15.08	-1.67	-3.25E-03	2.33E-03	1.12

818 **Table C2** As Table C1 but for OHM related parameters (Sect. 4.2)

	a_1 [-]		a_2 [h]		a_3 [W m ⁻²]	
	summer	winter	summer	winter	summer	winter
AT-Neu	0.37	0.88	0.05	0.02	-12.12	11.15
AU-ASM	0.39	0.39	0.15	0.13	-26.44	-35.45
AU-DaS	0.31	0.30	0.05	0.06	-29.17	-21.82
AU-Gin	0.40	0.25	0.17	0.21	-11.35	-25.75
AU-Wom	0.29	0.29	0.10	0.08	3.54	-18.13
BE-Lon	0.32	0.82	0.18	0.04	-3.58	8.00
CA-Gro	0.31	0.51	0.15	0.19	-9.62	-8.94
CA-Oas	0.27	0.45	0.13	0.25	-6.84	-0.58
CA-Qfo	0.30	0.43	0.22	0.25	-10.70	-1.50
CA-SF2	0.16	0.46	0.15	0.09	-17.05	-3.39
CA-SF3	0.25	0.60	0.20	0.09	-22.22	-8.67
CA-TP4	0.28	0.42	0.17	0.13	-7.94	1.07
CH-Cha	0.25	0.67	0.05	0.00	-29.09	-8.37
CH-Dav	0.56	0.65	0.13	0.11	-29.23	-12.08
CH-0e1	0.34	0.67	0.08	0.12	-22.53	-6.21
DE-Gr1	0.44	0.76	0.04	0.02	-6.13	5.14
DE-Hai	0.15	0.21	-0.01	0.15	-1.31	9.81
DE-Kli	0.45	0.77	0.12	0.07	-11.62	4.91
DE-Lkb	0.23	0.84	0.09	-0.04	-31.59	-18.49
DE-0be	0.33	0.53	-0.06	0.02	-10.33	-8.95
FI-Hyy	0.23	0.68	0.17	0.09	-8.75	7.89
FR-LBr	0.27	0.43	0.19	0.17	-17.30	-8.04
IT-C01	0.36	0.43	0.14	0.22	-4.56	-10.00
IT-SRo	0.31	0.51	0.27	0.15	-5.40	13.57
IT-Tor	0.23	0.94	0.09	0.05	-18.92	4.31
NL-Loo	0.24	0.44	0.14	0.20	1.06	2.90
US-ARI	0.18	0.17	0.16	0.11	-26.72	-16.50
US-CRT	0.32	0.63	0.11	0.06	-11.14	-6.23
US-Goo	0.38	0.41	0.04	0.07	-16.06	-20.52
US-IB2	0.29	0.37	0.00	0.03	-4.97	-6.43
US-Me6	0.35	0.51	0.21	0.12	-24.00	-0.93
US-MMS	0.34	0.45	0.19	0.25	-7.46	-7.21
US-Ne1	0.30	0.56	0.09	0.03	-13.72	-9.05
US-Ne2	0.29	0.51	0.06	0.04	-14.54	-9.19
US-Ne3	0.29	0.55	0.04	-0.02	-17.87	-8.34
US-NRI	0.27	0.22	0.05	0.06	-7.97	-15.95
US-Oho	0.32	0.44	0.10	0.16	-13.31	-12.73
US-syv	0.33	0.33	0.06	0.13	-9.21	-0.93

819 **Table C3** As Table C1, but for surface conductance related parameters (Sect. 4.3)

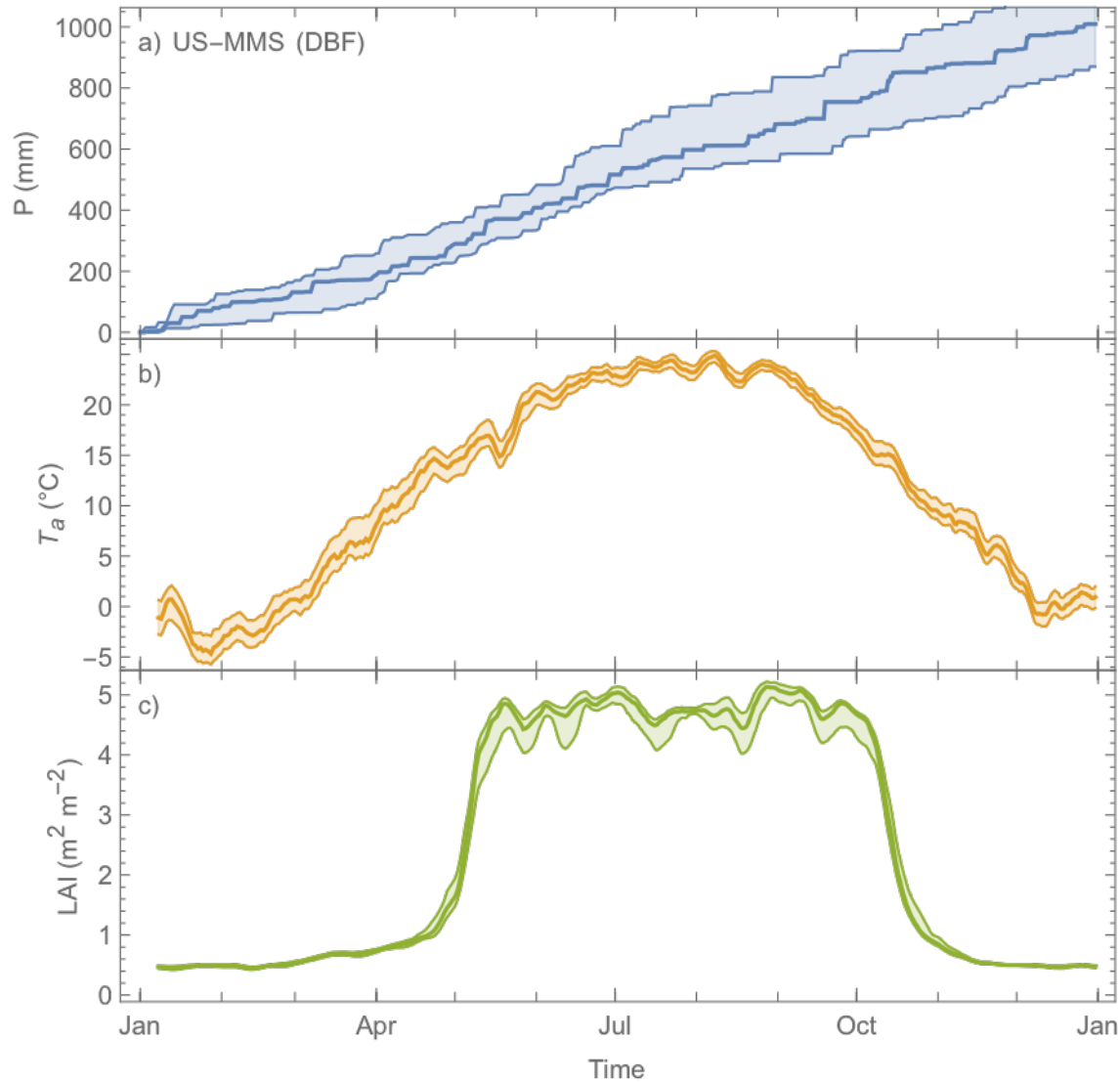
	g_{max} [mm s ⁻¹]	G_K [W m ⁻²]	G_T [°C]	T_L [°C]	T_H [°C]	$G_{q,base}$ [-]	$G_{q,shape}$ [-]	G_θ [-]	$\Delta\theta_{WP}$ [mm]
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AT-Neu	39.6193	50.00	23.67	-15.74	31.59	0.50	0.90	0.04	687.75
AU-ASM	15.73	288.38	26.77	8.00	34.39	0.40	0.90	0.01	409.50
AU-DaS	19.92	83.22	37.78	-30.00	38.00	0.50	0.90	0.02	414.75
AU-Gin	7.44	94.79	13.23	-14.59	41.54	0.41	0.90	0.06	446.25
AU-Wom	30.63	70.26	14.73	2.00	41.91	0.36	0.90	0.10	535.50
BE-Lon	25.64	74.21	23.22	5.31	36.41	0.50	0.90	0.01	430.50
CA-Gro	22.96	50.00	5.33	-30.00	36.00	0.40	0.90	0.01	876.75
CA-Oas	26.76	50.00	14.53	-30.00	30.89	0.50	0.90	0.01	603.75
CA-Qfo	15.56	50.00	11.62	-0.93	46.81	0.22	0.90	0.01	404.25
CA-SF2	23.59	91.88	12.21	-29.99	33.65	0.50	0.90	0.03	525.00
CA-SF3	23.95	50.00	-0.01	-30.00	38.43	0.36	0.90	0.07	514.50
CA-TP4	23.10	50.00	19.12	-9.57	50.00	0.50	0.90	0.03	446.25
CH-Cha	46.30	126.77	35.86	-30.00	50.00	0.50	0.90	0.10	173.25
CH-Dav	18.14	50.00	4.55	-15.93	49.96	0.50	0.90	0.07	141.75
CH-Oe1	41.74	77.78	16.52	-0.99	50.00	0.50	0.90	0.01	556.50
DE-Gri	25.43	142.40	20.71	-23.20	36.09	0.50	0.90	0.00	750.75
DE-Hai	22.45	69.16	5.61	-29.82	34.65	0.27	0.90	0.01	514.50
DE-Kli	24.50	51.37	19.63	-30.00	26.26	0.50	0.90	0.01	824.25
DE-Lkb	32.34	85.39	-0.12	-30.00	50.00	0.50	0.90	0.02	278.25
DE-Obe	14.76	50.01	4.44	-28.20	34.78	0.41	0.90	0.03	283.50
FI-Hyy	17.23	64.75	18.94	4.00	34.44	0.40	0.90	0.01	708.75
FR-LBr	24.48	50.00	14.03	-8.96	33.12	0.33	0.90	0.01	1065.75
IT-Col	14.66	50.00	13.96	-12.26	50.00	0.50	0.90	0.02	425.25
IT-SRo	20.57	50.00	7.66	-30.00	49.99	0.47	0.90	0.01	971.25
IT-Tor	42.23	73.54	23.20	-15.96	49.99	0.50	0.90	0.09	294.00
NL-Loo	16.53	50.00	17.70	6.00	37.06	0.35	0.90	0.10	252.00
US-AR1	27.51	181.15	16.31	6.00	34.00	0.03	0.90	0.01	315.00
US-CRT	27.43	50.00	30.54	-14.00	42.02	0.50	0.90	0.10	824.25
US-Goo	41.46	172.08	24.45	-10.87	50.00	0.50	0.90	0.03	404.25
US-IB2	48.78	50.00	29.19	-7.90	36.00	0.50	0.90	0.06	483.00
US-Me6	13.70	92.88	3.44	-10.00	42.71	0.11	0.90	0.01	525.00
US-MMS	22.54	182.23	27.06	-0.24	36.62	0.50	0.90	0.01	845.25
US-Ne1	52.14	50.00	29.84	-30.00	34.43	0.50	0.90	0.04	519.75
US-Ne2	53.45	59.97	32.04	-30.00	34.02	0.50	0.90	0.10	383.25
US-Ne3	44.55	53.41	30.84	-30.00	50.00	0.50	0.90	0.07	645.75
US-NRI	15.91	52.94	5.51	-11.90	33.80	0.43	0.90	0.02	509.25
US-Oho	40.01	56.33	31.08	-29.99	36.53	0.50	0.90	0.10	220.50
US-Syv	16.85	130.28	18.77	-4.00	40.65	0.50	0.90	0.01	456.75

821 **Appendix D: Typical Intra-annual LAI dynamics under contrasting meteorological**
822 **controls**

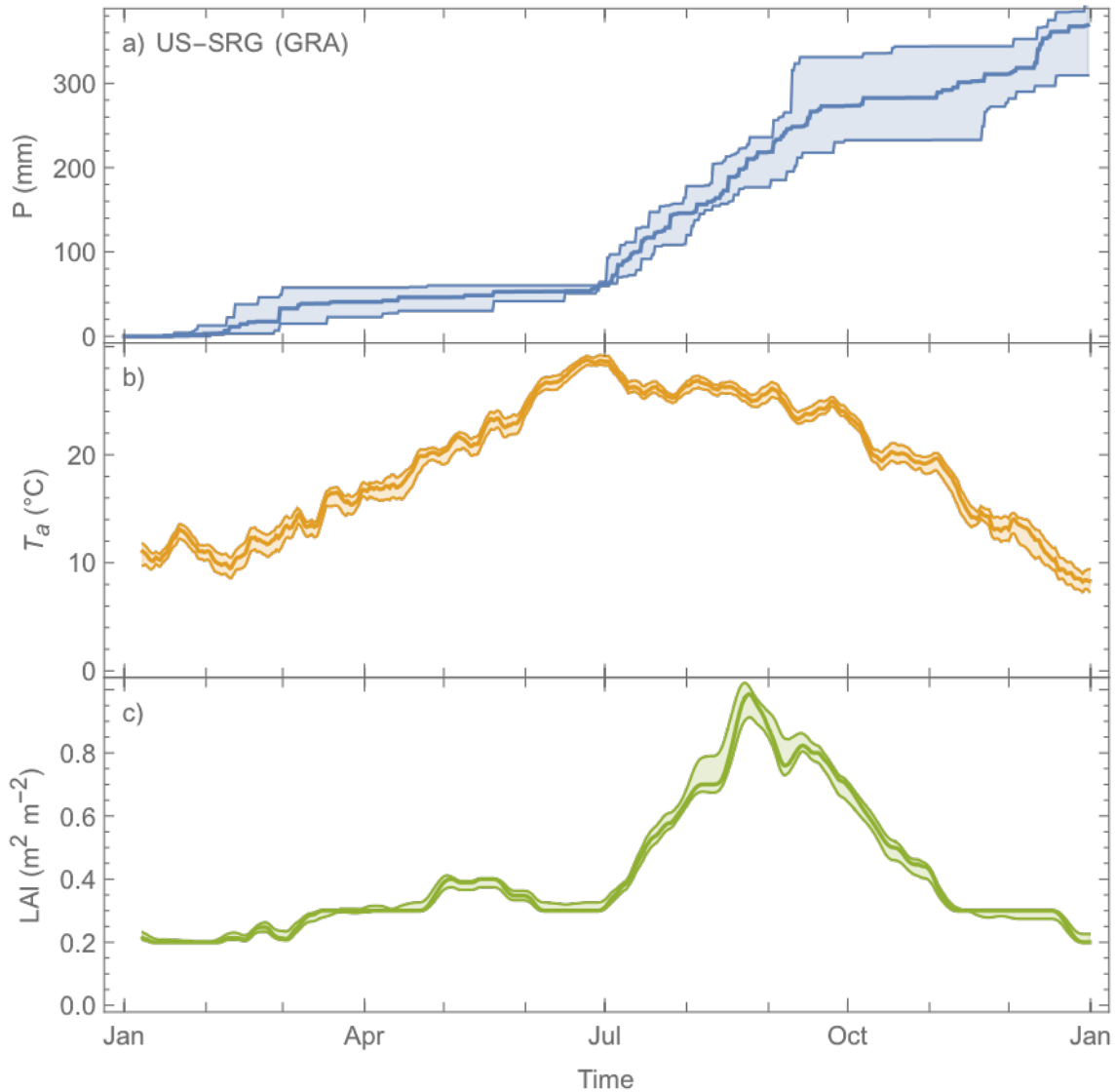
823 Given sufficient CO₂, vegetation phenology indicated by LAI dynamics, is predominantly controlled by
824 input energy and water (Fang et al. 2019). Two variables that capture this seasonal variability are air
825 temperature and precipitation. Different intra-annual LAI dynamics are evident between sites, with
826 contrasting meteorological controls:

827 a) Thermally dominant (US-MMS; Fig. D1): Intra-annual cumulative precipitation at US-MMS steadily
828 increases throughout the year (Fig. D1a), implying a fairly even distribution of water supply; while
829 air temperature gradually increases from the mid winter (beginning of a year), peaks in August and
830 decrease with the start of the next winter (Fig. D1b). The LAI pattern at US-MMS responds to the air
831 temperature, notably the growing degrees days (GDD) and then autumn senescence (SDD). This
832 inverse “U”-shape typifies sites with thermally-dominant LAI dynamics. These types of sites are well
833 parameterised by the current LAI scheme in SUEWS (Sect. 2.2.1).



834
 835 **Figure D1** Median (line) and interquartile range (shading) daily variation at US-MMS a DBF site
 836 during the period 2002–2015 of (a) precipitation (cumulative), (b) air temperature (7-day moving
 837 average) and (c) LAI (7-day moving average).

838 b) Rainfall and thermal controls (US-SRG; Fig. D2): at this grassland site in Arizona, US the intra-annual
 839 precipitation has clear dry and wet seasons. The monsoon wet season after the peak air temperature in
 840 July through September (Fig. D2a), which has warmest air temperatures, Unlike US-MMS (Fig. D2b),
 841 the peak air temperature is more distinct (for a shorter period). A clear relation between the onset of
 842 rainfall and LAI enhancement can be seen but the GDD and SDD relation differs from US-MMS and
 843 it not captured by the current models in SUEWS. The rainfall and enhanced LAI and Q_E are associated
 844 with cooler daily air temperatures. Sites where the LAI dynamics are not captured are not explored
 845 further in this paper.



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847
848

Figure D2 As Fig. D1, but for US-SRG (GRA according to IGBP; time span: 2008–2015; DOI: 10.18140/FLX/1440114)

849 **Code and data availability**

850 All source codes, input and output data are archived at Zenodo in Sun et al. (2021).

851 **Author contribution**

852 HO, TS and SG contributed to data preparation, parameter derivation, running simulations and writing the
853 paper. HO led the initial and TS the revised versions of this work. All other authors (DB, AB, JC, ZD, HI,

854 and JM) provided data for analysis of this work at different stages (some not used in this paper),
855 interpreted the results, and reviewed the manuscript.

856 **Competing interest**

857 The authors declare that they have no conflict of interest.

858 **Acknowledgments**

859 This work is funded by NERC-COSMA project (NE/S005889/1), Newton Fund Met Office CSSP-China
860 (SG), NERC Independent Research Fellowship (NE/P018637/1), and National Natural Science
861 Foundation of China (41875013; Zhiqiu Gao and Zexia Duan).

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