

We thank the reviewers for their time, precise summary, and positive evaluation of the manuscript. Reply to reviewer's comments, all reviewer comments are in blue and our replies are in black.

Replay to reviewer #1

1. 172-74: It is important to mention other initiatives that use OpenFOAM to solve flow and transport in porous media with Darcy-like solvers, e.g. Horgue et al. 2015 and Orgogozo et al. 2015

Yes, thanks for pointing that out - we have added the corresponding references to the manuscript.

2. 184: I think it is important here to mention that the PDEs are solved implicitly but sequentially.

We have rephrased the sentence to mention the implicit and sequential scheme. The line 84 is rephrased as "*All the partial differential equations are solved implicitly in the framework of OpenFOAM and in a sequential scheme, and the thermal-physical models are developed using a pure water EOS.*"

3. 196: strictly speaking, in fluid mechanics, in laminar flow regime, there is inertia. Darcy's law corresponds to the creeping flow regime when inertia is negligible compared with viscous forces.

Good point. We have clarified that and now refer to creeping flow in the main text.

4. Eq (2) : the right-hand side (=0) is missing

We have fixed the issue in Eq (2).

5. 1104: The compressibility of the rock is neglected as soon as the porosity is removed from the time derivative in Eq (1). Then, it is probably better to mention this hypothesis just after Eq (1).

Yes, that's, of course, correct. The model is for constant-in-time porosity, i.e. incompressible grains. We have clarified that right after Eqn. (1).

6. the heat conductivity is noted λ_r in Eqs (4)-(7) but k_r in the code.

We have modified the λ_r in Eqs (4)-(7) and table 1 to k_r which keeps consistent with the code.

7. I am not sure that the energy equation in Eq (4) (and then Eq (7)) is exact. It seems correct only if the continuity equation is a divergence-free equation which is not the case in the paper (this is what appears if you derive the equation from the conservation of the internal energy $\text{ddt}(e) + \text{div}(e U) = \text{div}(q) + S$ with $e(p,T)$). Moreover, it is not clear whether the two last terms of the right-hand side are necessary as they seem orders of magnitude lower than the other terms. Can you comment on that? Give an estimation of the weight of these terms and explain in which cases it is important to consider them?

As both reviewers had concerns about the assumptions and validity range of the energy equation, we have posted the full derivation as a separate author comment. We hope that that derivation is sufficiently clear to resolve questions about which terms are inside and outside the spatial and temporal derivatives.

That derivation is starting from the equation of change of internal energy, just as the reviewer pointed out. We have kept the pV and dissipation terms in the starting equation, both for completeness and because they actually matter for some submarine settings. But please see the full derivation for details.

Concerning the pV and dissipation terms (the last two terms in the energy equation): We did not find very many published studies but there is a nice pioneering paper by Garg and Pritchett ("On pressure-work, viscous dissipation and the energy balance relation for geothermal reservoirs", *Advances in Water Resources*, 1977). They show for geothermal systems that one either should keep both terms or none of them as they point to opposing directions. They also point out that for highly compressible cases (pure vapor, or supercritical fluid close to the critical point) they actually matter. We have made our own tests and find that also for large (say >5km) vertical extents (e.g. fault controlled systems at slow spreading ridges), these terms also matter.

That said, it is important to point out that the $-\left(\frac{\partial \ln \rho_f}{\partial \ln T}\right)_p \left(\varepsilon \frac{\partial p}{\partial t} + \mathbf{U} \cdot \nabla p\right)$ term is not exactly equal to pressure volume work but also contains the pressure dependence of enthalpy (see derivation). That terms again matters, for example, when simulating fluid flow close to the critical point. Benchmarks C,D, where a fluid of constant temperature is flowing along a pressure gradient, show a drop in temperature on the lhs of the domain, which is partly related to this effect. We have added a reference to the Garg and Pritchett paper in the main text.

8. Assuming that the equation is correct, the numerical treatment presented in Listing 10 can be improved by making the last term implicit with `fvm::Sp(alphaP*(porosity*fvc::ddt(p) + (U&fvc::div(phiU) + phiU))`, which should lead to better numerical stability.

Yes we agree. We have changed the last term of temperature equation to `fvm::Sp(alphaP*(porosity*fvc::ddt(p) + (U&fvc::div(phiU) + phiU))` in the source code and Listing 1 in the manuscript.

9. 1139: “adapt” instead of “adopt”

Corrected.

10. 1159-160: the sentence "since it is not straightforward to impose fluid velocities on boundaries" is not clear. Actually, here you just transport a velocity value into a boundary condition on the pressure gradient because your solver solves a pressure equation only.

The sentence has been revised to "A similar boundary condition called `hydrothermalMassFluxPressure` is defined for the pressure field to prescribe a mass flux into the modeling domain."

11. 1166: what is the difference between `submarinePressure` and OpenFOAM's `PrghPressure`?

`submarinePressure` is a kind of fixed value boundary condition for pressure p , and it is specially designed for seafloor boundary of submarine hydrothermal problem. It provides hydrostatic pressure according to bathymetry or coordinate of seafloor patch. If user want use `submarinePressure` to set seafloor pressure boundary condition, the y coordinate must be negative because assuming y of sea level is zero. However, `prghPressure` is designed for p_{rgh} . The `submarinePressure` is much more straightforward and easier to use for submarine hydrothermal modeling. The detail difference between them are shown below,

– *prghPressure*

This boundary condition provides static pressure condition for p_{rgh} , calculated as:

$$p_{rgh} = p - \rho g(h - hRef) \tag{1}$$

where

- p_{rgh} : Pseudo hydrostatic pressure [Pa]
- p : Static pressure [Pa]
- h : Height in the opposite direction to gravity
- $hRef$: Reference height in the opposite direction to gravity
- ρ : density
- g : acceleration due to gravity [m/s²]

Code snippet

```
this->operator==
(
    *this - rhoP*((g.value() & this->patch().Cf())
    - ghRef.value())
);
```

– *submarinePressure*

This boundary condition provides fixed hydrostatic pressure condition for p at **seafloor** boundary patch, which is derived from *fixedValueFvPatchScalarField* and calculated as:

$$p = p_a + \rho_{sw} * (\mathbf{g} \cdot \mathbf{C}_{patch}) \quad (2)$$

where

- p : pressure [Pa]
- p_a : pressure at sea level (or $y=0$ level), default is atmospheric pressure 10^5 [Pa].
- ρ_{sw} : seawater density, default is 1013 [kg/m³].
- \mathbf{g} : gravitational acceleration vector [m/s²]
- \mathbf{C}_{patch} : face centres (coordinate) of seafloor patch [m]. **Note** that y coordinate of seafloor surface must be negative when using *submarinePressure* boundary condition.

Code snippet

```
operator==
(
    p_Atmospheric + rhoValue_ * (g.value() & patch().Cf())
);
```

Example usage

```
seafloor
{
    type    submarinePressure;
    rhoValue 1013; //Optional
}
```

12. [1177: more details will be appreciated on the implementation of the thermo-physical model.](#)

The thermo-physical model is implemented following the general guidelines for a thermo-physical model in OpenFOAM, e.g., *heHydroThermo* is modified from `$FOAM_SRC/thermophysicalModels/basic/heThermo`. Then just call thermal dynamic property calculation function of *freesteam* library, e.g. *freesteam_rho*, in the implementation function of the thermo-physical model, e.g. *species/water/equationOfState/IAPWSEOSI.H*. More details can be found in the source code.

We would like to leave it at that level of detail as a more in-depth discussion would distract from the main points of the paper.

13. [1194-1999: the sentence about *constrainPressure* and *fixedFluxPressure* is very confusing. Why do you need *fixedFluxPressure* in your simulations? What is the link with the boundary conditions introduced in Section 2.4?](#)

Actually the *fixedFluxPressure* boundary condition and the *hydrothermalMassFluxPressure* (introduced in section 2.4) are similar, the first one is the OpenFOAM's internal BC which requires U , while the second one doesn't need U . Although *fixedFluxPressure* boundary condition is not used frequently in our simulation, in order to make the solver compatible with this boundary condition, the function of *constrainPressure* has to be added to update pressure boundary condition before solving p in the *pEqn.C* file. In addition, *constrainPressure* only works when pressure boundary condition is *fixedFluxPressure*, otherwise it doesn't do anything.

14. It is difficult for the potential user to know where is the code. It is scattered on too many platforms. The document mentions at least 3 different locations (Zenodo.org, DockerHub, GitLab). In particular, Zenodo.org and GitLab seem to provide the same code.

Thanks for these comment, indeed there are two many locations, we have add necessary explanations to each repositories in the code availability section.

- GitLab repository always contains the latest development version of the source code.
- Zenodo repository is selected only for the GMD publication because it provides a DOI number. It will be updated in the future if we have new release version of HydrothermalFOAM.
- Docker Hub repository contains all the runtime environment, e.g. OpenFOAM, Gmsh, python, HYDROTHERM, etc., required by HydrothermalFOAM and benchmark examples in the manuscript. This repository will not be updated in the future unless some new runtime environment is required by HydrothermalFOAM.

Therefore, the potential user should only follow the GitLab repository for the source code. The Docker Hub version is for the new users who are not familiar with OpenFOAM or doesn't have OpenFOAM.

We also post an author comment of How to update HydrothermalFoam to describe how fetch and use the latest source code.

15. 1354-355, 1364-365, 1374-375: “can be be”, “bechmarks”

We have corrected all these typo issues in the manuscript.

16. 1396 Zenodo.org

We have corrected this typo in the manuscript.

17. Section 5: I think it will be interested to have a comparison of the simulation time of HYDROTHERM and HydrothermalFoam. Such a comparison can highlight better the importance of using modern computational platforms.

We have compared the simulation time of HYDROTHERM and HydrothermalFOAM for 3D benchmark examples described in the manuscript (section 3.2). HydrothermalFOAM is ~ 24 times faster than HYDROTHERM in serial computing case. In addition, HydrothermalFOAM has parallel computing ability but HYDROTHERM seems not. Therefore, maybe it is not necessary to compare the simulation time of them. While the simulation time of these two solvers for the 3D benchmark examples are listed in table 1 to answer your question.

Table 1. Simulation time comparison of HYDROTHERM and HydrothermalFOAM for 3D benchmark models

Simulator	3D Homogeneous model		3D Heterogeneous model	
	Serial	Parallel (4 cores)	Serial	Parallel (4 cores)
HydrothermalFOAM (h)	1.2575	0.4872	0.8081	0.5386
HYDROTHERM (h)	25.0403	-	30.2556	-

We'd prefer to not enter that discussion in the main text because it is not an entirely fair comparison and because better performance with respect to HYDROTHERM was not our motivation to develop the Openfoam-based model.

Replay to reviewer #2

1. Table 1, definition of the time step: If possible, write times in lowercase, otherwise they can be confused with temperature.

Thanks, we have change ΔT to Δt in Table 1.

2. Eq. (2): This is not an equation. "= 0" is missing

Corrected.

3. Eq. (4): This equation is correct even in the compressible regime but only if the rock porosity, density and heat capacity are constant in time, and if the fluid heat capacity is constant both in space and time. These assumptions should be stated explicitly in the text. However, I am afraid that the assumptions on the fluid heat capacity are not fulfilled in this paper, because Cp_f is treated as a `VolScalarField`, and also because a detailed thermodynamics for water is used (Figure 1, L113 and Listing 4). In this Lagrangian formulation, to correctly consider the variability of the fluid heat capacity, a term proportional to its partial time derivative and another proportional to its gradient should be added.

Also the the co-reviewer Cyprien Soullaine had concerns about the energy equation. We have therefore posted a detailed derivation as an author comment, which we hope resolves these questions.

In short: yes, the solid matrix is incompressible and has constant properties. The fluid properties are determined from the EOS of pure water and vary with pressure and temperature. The reason why density and specific heat end up outside the derivatives is not because they are assumed constant, but because of the thermodynamic identities between enthalpy and temperature - and the use of the mass conservation equation. We hope that the derivation will clarify these questions!

4. Given a certain resolution, the typical time scale for which this approximation holds should be given. For example, using the data in Table 1, the given rock thermal diffusivity is $\lambda_r/(Cp_r*\rho_r) \sim 6e-7$ m²/s. This means that, roughly, the typical thermal equilibrium time for a resolution of 100 m is order of 500 years. Thus, for the approximation to hold, the typical time of the dynamics at this resolution should be larger than, roughly, 500 years. In other words, the equilibrium time is related to the natural time step used by the simulation. The regime where this equilibrium assumption holds should be given, to allow the user to use the code in its proper regime of approximations. For an example on this issue, see Remark 2.1 in: Cerminara, M., & Fasano, A. (2012). Modeling the dynamics of a geothermal reservoir fed by gravity driven flow through overstanding saturated rocks. *Journal of Volcanology and Geothermal Research*, 233–234, 37–54. <https://doi.org/10.1016/j.jvolgeores.2012.03.005>

Cool paper and elegant way of investigating the equilibration time scales! However, we think this issue is resolved by the derivation of the energy equation.

5. L123: The description is not following the terms order in Eq. (5). Please correct this.

The terms order of Eq. (5) has been revised to be consistent with the text.

6. Eq. (6): This reformulation iterates the problem with the spatial variations of the fluid heat capacity, see comment above on Eq. (4) and below on Eq. (7).

This all goes back to how the energy equation is written. The way we did it, and which we feel is correct, requires these mathematical reformulations in order to implement the equation in Finite Volumes, which are great for solving divergence terms but not that much for velocity times gradient terms.

It can be debated if a scheme that takes specific enthalpy or internal energy as a primary variable instead of temperature would have been a better choice. In an energy-based scheme, we could have kept the energy equation in clean conservative/divergence form, which is better for FV solutions. We chose temperature as primary variable because it is so intuitive for the user but the downside is that it requires some re-formulation of the energy equation.

7. Eq. (7): The term proportional to the divergence of (ρ_f*Cp_f*U) should not be present when the spatial dependence of the fluid heat capacity is correctly taken into account. A term for its temporal dependence is still missing.

Please see derivation as spelled out in the author comment.

8. L171: Here should be clearly stated that the EOS is used in the single phase regime, no phase transition is admitted in this formulation.

Yes, we should have made that clear - it's fixed now!

9. L119 (should be L219): Usually in OpenFOAM, spatial schemes are first or second order, not high order. Using the upwind interpolation makes the code first order in space. Some word on the time scheme used is also needed.

We have rephrased the sentence to "In the following benchmark tests (section 5), the advective discretization scheme is set to upwind to ensure consistency with HYDROTHERM. It should be noted that all of the basic numerical schemes of OpenFOAM are also valid for *HydrothermalFOAM* solver."

Annotation of changes

- Added: new added text
- Replace text: new text~~old text~~
- Replace math: $\alpha_{new}\beta_{old}$
- Delete: ~~deleted text~~
- Highlights of code changes

code changes

List of changes

Added: new added text	7
Replaced: new text	7
Replaced:	7
Deleted: deleted text	7
Added: RichardsFoam2(Orgogozo, 2015), porousMultiphaseFoam (Horgue e [. . .])	3
Added: in a sequential scheme, and	3
Replaced: t	4
Replaced: volScalarField	4
Replaced: k_r	4
Replaced: laminar	4
Added: = 0	5
Added: Note that we assume the matrix to be incompressible, so that the porosi [. . .]	5
Deleted: from	5
Added: by substituting	5
Deleted: and	5
Added: into	5
Deleted: by assuming that the compressibility of the rock is relative small and [. . .]	5
Added: and	5
Deleted: yields,	5
Added: :	5
Added: Again there is no rock compressibilty as we consider the incompressible [. . .]	5
Deleted:	5
Added: k	5
Deleted:	5
Added:	5
Added:	5
Deleted: λ_r	5
Added: k_r	5
Added: As the matrix is incompressible, ρ_r and C_{pr} are constant in time.	5
Added: Note that the third term on the righ-hand side of eqn. (6) describes [. . .]	5
Added:	5
Added:	5
Added: =	5
Deleted: +	5

Added: -	5
Deleted:	5
Deleted:	5
Deleted:	6
Added: k	6
Replaced:	6
Deleted: we adopt	7
Added: is defined	7
Replaced:	7
Deleted: , since it is not straightforward to impose fluid velocities on boundaries. [...]	7
Added: to	7
Added: in single phase regime	8
Added: (11
Added:)	11
Added: set to	11
Deleted: results of other software packages	11
Added: HYDROTHERM	11
Deleted: the generic implementation of	11
Deleted: HydrothermalFoam	11
Deleted: solver allows	11
Deleted: commonly used	11
Added: basic	11
Deleted: (Jasak, 1996) and using a high-order advection scheme like e. g. Van [...]	11
Added: are also valid for	11
Added: HydrothermalFoam	11
Added: solver.	11
Deleted: libPureWaterThermophysicalModels	11
Added: libHydroThermoPhysicalModels	11
Deleted: s and transport properties	16
Deleted: The transportProperties and thermophysicalProperties are two compuls [...]	17
Deleted (gzk):	17
Deleted: transportProperties file	17
Added: The thermophysicalProperties is a compulsory file in constant subdirect [...]	17
Deleted:	19
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Added: n	19
Added: n	19
Deleted: be	20
Added: n	20
Added: n	20
Deleted: be	21
Added: n	21
Added: n	21
Deleted: AL	22
Deleted: Four dots with different color in (B) represent temperature probes locat [...]	22
Deleted: be	23
Added: n	23
Added: n	23

Added: Source code repository on GitLab: https://gitlab.com/gmdpapers/hydro [...]	24
Deleted: Source code and docker image on Zenodo.org: https://doi.org/10.5281/10.5281/10.5281/10.5281 [...]	24
Deleted: Source code repository on GitLab: https://gitlab.com/gmdpapers/hydro [...]	25
Added: The docker image provides all the runtime environment of <i>Hydrotherm</i> [...]	25

***HydrothermalFoam* v1.0: a 3-D hydro-thermo-transport model for natural submarine hydrothermal systems**

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Abstract. Herein, we introduce *HydrothermalFoam*, a three dimensional hydro-thermo-transport model designed to resolve fluid flow within submarine hydrothermal circulation systems. *HydrothermalFoam* has been developed on the OpenFOAM platform, which is a Finite Volume based C++ toolbox for fluid-dynamic simulations and for developing customized numerical models that provides access to state-of-the-art parallelized solvers and to a wide range of pre- and post-processing tools. We have implemented a porous media Darcy-flow model with associated boundary conditions designed to facilitate numerical simulations of submarine hydrothermal systems. The current implementation is valid for single-phase fluid states and uses a pure water equation-of-state (IAPWS-97). We here present the model formulation, OpenFOAM implementation details, and a sequence of 1-D, 2-D and 3-D benchmark tests. The source code repository further includes a number of tutorials that can be used as starting points for building specialized hydrothermal flow models. The model is published under the GNU General Public License v3.0.

1 Introduction

High temperature hydrothermal circulation through the ocean floor plays a key role in the exchange of mass and energy between the solid earth and the global ocean (German and Seyfried, 2014; Elderfield and Schultz, 1996). It influences the thermal evolution of young oceanic plates (Stein and Stein, 1994; Theissen-Krah et al., 2016), modulates global ocean biogeochemical cycles (German et al., 2016; Tagliabue et al., 2010), and is associated with massive sulphide ore deposits that form around vent sites (Hannington et al., 2011). Hydrothermal convection occurs over large spatial and temporal scales. At fast spreading ridges, convection cells may either be confined to the upper extrusive crust above the axial melt lens (Faak et al., 2015; Coumou et al., 2008; Fontaine et al., 2009), or extend all the way down to the crust mantle boundary at approx. 6 km depth (Hasenclever et al., 2014; Dunn et al., 2000; Cathles, 1993). At slow spreading ridges, fluid circulation may extend much deeper (up to 35 km) into the ultramafic mantle (Schlindwein and Schmid, 2016), although the maximum extent of the brittle layer remains debated and may be confined to the upper 15 km (Grevemeyer et al., 2019). Such deep-reaching fluid flow can sometimes be channelized along deep detachments at fault-controlled systems such as TAG and Lonqi (Tao et al., 2020; deMartin et al., 2007) and/or may propagate to greater depths via thermal cracking (Olive and Crone, 2018; Lister, 1974). Temperatures can also vary over large ranges with high-temperature systems typically being driven by a magmatic heat source of 1000 °C or more and

25 porosity/permeability staying open up to 600 - 800 °C (Lister, 1974). Finally, hydrothermal systems can evolve over long times
scales of up to 50-100 kyrs (Jamieson et al., 2014) but also respond to shorter events like glacial sealevel changes (Middleton
et al., 2016) or magmatic as well as seismic events (Germanovich et al., 2000; Wilcock, 2004; Singh and Lowell, 2015) and
even tidal pressure changes (Crone et al., 2011; Barreyre et al., 2018). These spatial and temporal scales in combination with
30 the extreme pressure (P) and temperature (T) conditions (up to 300 MPa and 1000 °C) of submarine hydrothermal systems
make direct and long-term observations challenging and pose a problem for laboratory work (Ingebritsen et al., 2010). Hence,
numerical simulations have become indispensable tools for understanding and characterizing fluid flow and for relating seafloor
observations to physico-chemical processes at depth.

In the last decades, significant progresses have been made in hydrothermal flow modelling both theoretically and numerically
(Lowell, 1991; Ingebritsen et al., 2010). Due to the high complexity of the heterogeneous sub-seafloor, a continuum porous
35 medium approach based on Darcy's law is typically used, in which the conservation equations are written for control volumes
with effective properties such as Darcy velocity, permeability, and porosity. Such approaches have been successfully used
to make fundamental progresses in our understanding of the nature and mechanisms of hydrothermal transport, including
a thermodynamic explanation of black smoker temperatures (Jupp and Schultz, 2000), the three-dimensional structure of
hydrothermal circulation cells at mid-ocean ridges (Coumou et al., 2009; Hasenclever et al., 2014), and phase separation
40 phenomena as well as salinity variations of hydrothermal fluids (Lewis and Lowell, 2009a, b; Coumou et al., 2009; Weis et al.,
2014).

Current numerical simulators of hydrothermal flow can be divided into two families: 1) multi-phase codes that thrive towards
resolving saltwater convection and associated phase separation phenomena and 2) single-phase hydrothermal codes that focus
on sub-critical low-temperature fluid flow and/or super-critical high-temperature flow of pure water, i.e. codes that only "work"
45 within single-phase fluid states. Multi-phase saltwater codes are at the forefront of what is currently feasible in numerical
simulations as accounting for the complexity of the equation-of-state (EOS) of seawater (Driesner and Heinrich, 2007; Driesner,
2007) in combination with multi-phase transport is a challenge (Ingebritsen et al., 2010). Existing codes of this type include
CSMP++, which is capable of treating salt water up to magmatic temperatures on unstructured finite element-finite volume
(FEFV) meshes (Weis et al., 2014). The hydrothermal multi-phase version of CSMP++ is currently 2-D and it's a closed-source
50 project. FISHES is a 2-D open-source academic code, which uses the finite volume method to solve thermohaline convection on
structured meshes (Lewis and Lowell, 2009a, b) but has some restrictions on the phase states that can be resolved. Currently there
is no 3-D model that can resolve multi-phase saltwater convection but there are some developments efforts on the way. In addition,
there are a number of geothermal modeling codes that can handle two-phase behavior that have not (yet) been adapted to handle
the complex EOS of saltwater over sufficiently large pressure and temperature ranges. HYDROTHERM (Kipp et al., 2008),
55 FEHM (Zyvoloski et al., 1997), HT2_NR (Vehling et al., 2018), and TOUGH2 (Pruess et al., 1999) are examples of such codes.
The second type of code family refers to somewhat simpler models that circumvent the numerical challenges of multi-phase
phenomena by staying in P-T regions, where the simulated fluid is in single-phase. A popular approach is to use a pure water
instead of a saltwater EOS at pressures beyond the critical end-point (22 MPa). These models, despite making simplifying
assumptions, continue to be widely used in the submarine hydrothermal system community and have been successfully applied

60 to solve a wide range of problems. Examples include Jupp and Schultz (2000, 2004), who showed that hydrothermal systems operate close to optimal efficiency with their maximum vent temperatures set by the thermodynamic properties of water, studies that revealed the complex 3-D structure of recharge and discharge flow in mid-ocean ridge hydrothermal systems (Hasenclever et al., 2014; Coumou et al., 2008; Fontaine et al., 2014), dedicated case studies for individual vent systems (Tao et al., 2020; Andersen et al., 2015; Lowell et al., 2012), and models exploring tidal forcing of hydrothermal circulation (Crone and Wilcock, 65 2005; Barreyre et al., 2018). This list is nowhere near complete and there are many more examples. The bottom line is that single phase circulation models continue to be widely used and highly useful "workhorses" in the hydrothermal community. Somewhere in the hopefully not so far future, 2-D and 3-D multi-phase models will be the new standard but for now robust and tested single-phase codes continue to be useful tools for a variety of applications.

Interestingly, even single-phase models are not that easily accessible to the hydrothermal community. Many research groups 70 maintain 2-D research codes that resolve hydrothermal flow but single-phase 3-D models continue to be rare. To our knowledge there are basically three single-phase code families that are routinely used in 3-D studies (Coumou et al., 2008; Hasenclever et al., 2014; Fontaine et al., 2014) and none of them is open-source. There are some major open-source initiatives that provide 3-D porous flow simulators or libraries such as [RichardsFoam2\(Orgogozo, 2015\)](#), [porousMultiphaseFoam \(Horgue et al., 2015\)](#), Dumux (Flemisch et al., 2011), MRST (Lie, 2019), and OpenGeoSys (Kolditz et al., 2012) that can be used to simulate 75 hydrothermal flow but none of them has been adapted and documented for simulating submarine hydrothermal systems. In this paper, we present a toolbox, named *HydrothermalFoam*, to simulate 2-D and 3-D hydrothermal circulation in single-phase regime for seafloor hydrothermal systems. The toolbox is build upon the open-source platform OpenFOAM® (Jasak, 1996; Weller et al., 1998), which is not only a widely used simulator for solving Navier-Stokes-type problems but also a general toolbox for solving partial differential equations. OpenFOAM is based on the cell-centroid finite volume method (FVM) and 80 is written in C++. It provides high-level interfaces for field operations and includes a series of features such as support for flexible meshes (e.g. structured meshes, unstructured mesh, and mixed mesh), utilities of pre- and post-processing, and parallel computing in 2-D and 3-D (Moukalled et al., 2016). Based on this established framework, we present a toolbox to simulate flow in submarine hydrothermal systems. We solve the porous flow problem using a continuum porous medium approach in which the fluid velocity is expressed by Darcy's law and the pressure equation is constructed from Darcy's law and the mass 85 conservation equation. All the partial differential equations are solved implicitly in the framework of OpenFOAM and [in a sequential scheme, and](#) the thermal-physical models are developed using a pure water EOS. *HydrothermalFoam* inherits all kinds of basic features of OpenFOAM, including boundary conditions. In addition, we have also customized several special boundary conditions for seafloor hydrothermal system modeling. The purpose of this toolbox is to provide the hydrothermal community with a state-of-the-art yet easy-to-use and well-documented simulator for resolving hydrothermal flow in submarine 90 hydrothermal systems.

The paper is organized as follows. In section 2, we present the mathematical model, its implementation in OpenFOAM, information on initial and boundary conditions, and the thermal physical model selection. In section 3, we describe the different toolbox components, in section 4 the installation options and procedures, and in section 5 the toolbox is validated using several published benchmark tests.

Table 2. Definitions and values of variables used in this study

Symbol	Definition	Value	Unite	Variable name: OpenFOAM class
\mathbf{g}	Gravitational acceleration vector	9.81	m s^{-2}	g: uniformDimensionedVectorField
T	Temperature		K	T: volScalarField
p	Pressure		Pa	p: volScalarField
k	Permeability		m^2	permeability: volScalarField
\mathbf{U}	Darcy velocity		m s^{-1}	U: volVectorField
Δt	Time step		s	deltaT_: scalar
C_o	Courant number			CoNum: scalar
$C_{\Delta t}$	Coefficient for time-step change			maxDeltaTFact: scalar
q_h	Heat flux		W m^{-2}	q_: scalarField
ϕ_g	Gravity related flux		kg s^{-1}	phig: surfaceScalarField
ϕ_m	Mass flux		$\text{kg m}^{-2} \text{s}^{-1}$	phi: surfaceScalarField
\mathbf{n}	Normal vector of face			
<i>Thermal dynamic properties of fluid (IAPWS 97)</i>				thermo: hydroThermo
C_{pf}	Specific heat of fluid		$\text{m}^2 \text{s}^{-2} \text{K}^{-1}$	Cp: volScalarField
μ_f	Dynamic viscosity of fluid		Pa s	mu: volScalarField
ρ_f	Density of fluid		kg m^{-3}	rho: volScalarField
α_f	Thermal expansivity		K^{-1}	alphaP: volScalarField
β_f	Compressibility		Pa^{-1}	betaT: volScalarField
<i>Rock properties</i>				transportProperties:IOdictionary
ε	Porosity of rock	0.1		porosity: volScalarField scalarField
ρ_r	Density of rock	2750	kg m^{-3}	rho_rock: dimensionedScalar
C_{pr}	Specific heat of rock	880	$\text{J kg}^{-1} \text{K}^{-1}$	cp_rock: dimensionedScalar
k_r	Thermal conductivity of rock	1.5	$\text{W m}^{-1} \text{K}^{-1}$	kr: dimensionedScalar

95 2 Model development

2.1 Mathematical model of hydrothermal flow

We use a continuum porous media approach and describe [laminar creeping](#) flow in hydrothermal circulation systems using Darcy's law, where the Darcy velocity of the fluid is given by

$$\mathbf{U} = -\frac{k}{\mu_f}(\nabla p - \rho \mathbf{g}) \quad (3)$$

100 in which k denotes permeability, μ_f the fluid's dynamic viscosity, p total fluid pressure and \mathbf{g} gravitational acceleration. All variables and symbols are listed in Table 2. Considering a compressible fluid in a porous medium with given porosity structure,

the mass balance is expressed by

$$\varepsilon \frac{\partial \rho_f}{\partial t} + \nabla \cdot (\mathbf{U} \rho_f) = 0 \quad (4)$$

where ε is the porosity of the rock. Note that we assume the matrix to be incompressible, so that the porosity is outside the time derivative. The equation for pressure can be derived from by substituting Darcy's law (Equation 3) and into the continuity equation (4) by assuming that the compressibility of the rock is relative small and hence negligible. Substituting equation (3) into equation (4) and and treating the fluid's density as a function of temperature T and pressure p yields:

$$\varepsilon \rho_f \left(\beta_f \frac{\partial p}{\partial t} - \alpha_f \frac{\partial T}{\partial t} \right) = \nabla \cdot \left(\rho_f \frac{k}{\mu_f} (\nabla p - \rho_f \mathbf{g}) \right) \quad (5)$$

with α_f and β_f being the fluid's thermal expansivity and compressibility, respectively. Again there is no rock compressibility as we consider the incompressible matrix case. Energy conservation of a single-phase fluid can be expressed using a temperature formulation (Hasenclever et al., 2014),

$$(\varepsilon \rho_f C_{pf} + (1 - \varepsilon) \rho_r C_{pr}) \frac{\partial T}{\partial t} = \nabla \cdot (\lambda k_r \nabla T) - \rho_f C_{pf} \mathbf{U} \cdot \nabla T + \frac{\mu_f}{k} \|\mathbf{U}\|^2 - \left(\frac{\partial \ln \rho_f}{\partial \ln T} \right)_p \frac{Dp}{Dt} \left(\varepsilon \frac{\partial p}{\partial t} + \mathbf{U} \cdot \nabla p \right) \quad (6)$$

where C_p is heat capacity, λk_r is the bulk thermal conductivity of porous rock. Subscripts of r and f refer to rock and fluid, respectively. As the matrix is incompressible, ρ_r and C_{pr} are constant in time. Fluid and rock are assumed to be in local thermal equilibrium ($T = T_r = T_f$) so that the mixture appears on the left-hand side of equation (6). Changes in temperature depend on conductive heat transport, advective heat transport by fluid flow, heat generation by internal friction of the fluid, and pressure-volume work. Note that the third term on the right-hand side of eqn. (6) describes viscous dissipation, while the last describes pressure-volume work (and the pressure-dependence of enthalpy, which shows up in the temperature formulation of the energy equation). Whether these terms are important depends on the application (Garg and Pritchett, 1977); our tests have shown that they matter, for example, in the pure vapor state, when simulating large vertical extents, and in supercritical states close to the critical end-point of water. All fluid properties are functions of both pressure and temperature and are calculated using the IAPWS-IF97 formulation of water and steam properties as implemented in the freesteam project (Pye, 2010). Further details on the derivation of the governing equations can be found in the appendix of Hasenclever et al. (2014).

2.2 Implemented formulation

We solve for pressure (Equation 5), velocity (Equation 3) and temperature (Equation 6) separately. Based on the finite-volume method implemented in OpenFOAM, the primary variables (p and T) related equations are discretized on an cell-centroid computational grid. The transient temperature term in (Equation 5) is evaluated explicitly and is treated numerically as a source term resulting in a Poisson-type equation

$$\varepsilon \rho_f \beta_f \frac{\partial p}{\partial t} - \nabla \cdot \left(\rho_f \frac{k}{\mu_f} \nabla p \right) = \varepsilon \rho_f \alpha_f \frac{\partial T}{\partial t} - \nabla \cdot \left(\rho_f \frac{k}{\mu_f} \rho_f \mathbf{g} \right) = \nabla \cdot \left(\rho_f \frac{k}{\mu_f} \nabla p \right) \quad (7)$$

130 where the left-hand side terms are pressure transient term and Laplacian term (or diffusion term), respectively. The first term on the right-hand side is evaluated explicitly using a known temperature field, and the second term on the right-hand side is a divergence term of gravity related flux (ϕ_g), which is defined on each face of the computational grid.

To apply the finite-volume method, the advection term (the second term on the right-hand side) in the temperature equation (Equation 6) should be reformulated as a divergence term

$$135 \quad \rho_f C_{pf} \mathbf{U} \cdot \nabla T = \nabla \cdot (\rho_f C_{pf} \mathbf{U} T) - T \nabla \cdot (\rho_f C_{pf} \mathbf{U}) \quad (8)$$

Then substituting equation (8) in equation (6), the temperature equation can be rearranged as

$$(\varepsilon \rho_f C_{pf} + (1-\varepsilon) \rho_r C_{pr}) \frac{\partial T}{\partial t} + \nabla \cdot (\rho_f C_{pf} \mathbf{U} T) = \nabla \cdot (\lambda k_r \nabla T) + T \nabla \cdot (\rho_f C_{pf} \mathbf{U}) + \frac{\mu_f}{k} \|\mathbf{U}\|^2 + T \alpha_f \left(\varepsilon \frac{\partial p}{\partial t} + \mathbf{U} \cdot \nabla p \right) \frac{Dp}{Dt} \quad (9)$$

140 where on the left-hand side, the first term is temperature transient term and the second one is the advection term. On the right-hand side, the first two terms represent temperature diffusion and the source term resulting from the re-formulation given in eq. (8), respectively. The last two source terms are calculated explicitly.

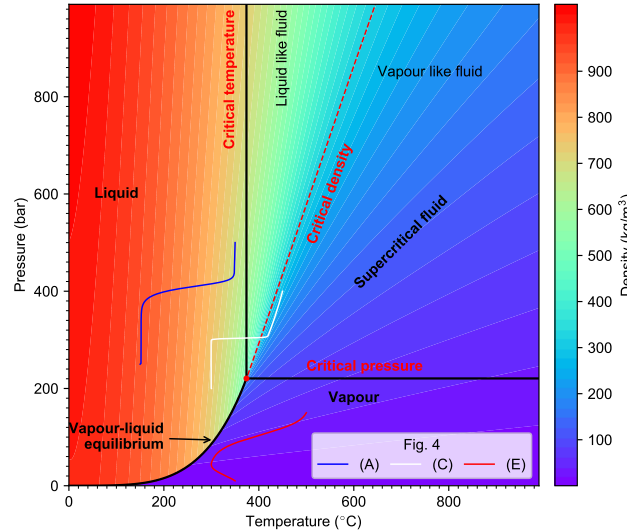


Figure 1. Phase diagram and density of pure water in temperature-pressure space. Red dot denotes supercritical point. The supercritical fluid region is outlined by critical pressure and critical temperature lines, and is divided by critical density isoline into liquid-like fluid and vapour-like fluid. Three solid curves in different colors represent pressure-temperature path of 1-D benchmark examples shown in figure 4 (A), (C) and (E), respectively.

2.3 Time-step limitations

To determine the time step, we adopt the limitation related to the Courant number Co , which is defined for compressible fluid as

$$Co = \max_{\forall cell} \left(0.5 \frac{\sum_{F=0}^m \phi_i}{V_{cell}} \right) \Delta t \quad (10)$$

with m being the number of neighbor faces F to a specific cell. Then the coefficient for time-step change is written as

$$145 \quad C_{\Delta t} = \frac{C_{o_{fixed}}}{C_o} \quad (11)$$

To avoid too large changes of the time-step which could lead to numerical instabilities, ~~we adopt~~ the time-step is defined as follows (Jasak, 1996)

$$\Delta t = \min(\min(C_{\Delta t}, 1 + 0.1C_{\Delta t}), 1.2)\Delta t_{last} \quad (12)$$

Implementation details can be found in the OpenFOAM documentation and the OpenFOAM source files included by the main
150 source code file HydrothermalSinglePhaseDarcyFoam.C.

2.4 Boundary conditions

To solve the pressure and temperature equations, we have to impose suitable boundary conditions for T and p . The "typical" boundary conditions, e.g. fixed value, fixed gradient and mixing of both, are directly inherited from the basic boundary conditions of OpenFOAM. In submarine hydrothermal system modeling, also some special adaptations of these basic boundary conditions
155 can be useful.

The hydrothermal heat flux (q_h) boundary condition is a fixed gradient boundary condition that is often used to approximate heat input from a crustal magma chamber and is commonly used for simulations of mid-ocean ridge hydrothermal systems (e.g. Coumou et al., 2009; Weis et al., 2014). This Neumann boundary condition is called *hydrothermalHeatFlux* in the toolbox and can be used for the temperature field. Using it, the imposed gradient of temperature can be expressed as

$$160 \quad \mathbf{n} \cdot \nabla T = -\mathbf{n} \cdot \frac{q_h}{k_r} \frac{q_h}{\lambda_r} \quad (13)$$

where \mathbf{n} denotes the normal vector of the face boundary. In addition, we implement two options for heat flux distribution. Using the keyword *shape* allows modifying the functional form of the heat flux boundary. The available options are *fixed*, *gaussian2d*, *gaussian3d*. The default option is *fixed*, and if *gaussian2d* or *gaussian3d* is specified, the Gaussian shape (Equation 14) related parameters (q_{min} , q_{max} , c , x_0 and/or z_0) have to be specified (see subsection 5.3).

$$165 \quad q_h(x, z) = q_{min} + (q_{max} - q_{min})e^{-\frac{(x-x_0)^2 + (z-z_0)^2}{2c^2}} \quad (14)$$

A similar boundary condition called *hydrothermalMassFluxPressure* is defined for the pressure field ~~,since it is not straightforward to impose fluid velocities on boundaries. This Neumann boundary condition for pressure can be used to~~ to prescribe a mass influx into the modeling domain ($\phi_m = \rho_f \mathbf{U}$). The corresponding gradient of the pressure field can be derived from Darcy's law (Equation 3)

$$170 \quad \mathbf{n} \cdot \nabla p = \mathbf{n} \cdot \left(\rho_f \mathbf{g} - \frac{\mu_f}{k} \mathbf{U} \right) = \frac{\mu_f}{\rho_f k} \mathbf{n} \cdot (\phi_g - \phi_m) \quad (15)$$

Where ϕ_m and ϕ_g denote mass flux and gravity related flux, respectively. Further, we define another Neumann boundary condition (named *noFlux*) of pressure field for impermeable boundaries, which is a special case of *HydrothermalMassFluxPressure* when $\phi_m = 0$. In addition, a Dirichlet boundary condition (named *submarinePressure*) for pressure field is defined to

175 describe hydrostatic pressure at the seafloor boundary due to bathymetric relief. Another commonly used boundary condition on hydrothermal venting boundary (e.g. seafloor) is OpenFOAM's *inletOutlet* boundary conditions, which allows to set a constant temperature for inflow and zero heat flux for outflowing nodes - this type of boundary conditions is often used to mimic free venting at the seafloor.

2.5 Fluid properties and equation-of-state

180 Numerical solutions of hydrothermal flow are known to strongly depend on the used thermodynamic properties of the simulated fluid. A series of studies using realistic thermodynamic properties of pure and salt water, rather than making a Boussinesq approximation or using linearized properties, have shown that realistic results depend critically on using a realistic EOS (Jupp and Schultz, 2000; Hasenclever et al., 2014; Driesner, 2010; Carpio and Braack, 2012). Note that we here do not address any issues related to using pure versus saltwater EOSs, as outlined in the introduction. We use an EOS for pure water based on the IAPWS-IF97 parameterization and have created a corresponding OpenFOAM thermo-physical model [in single phase regime](#).
 185 The phase diagram is shown in Figure 1.

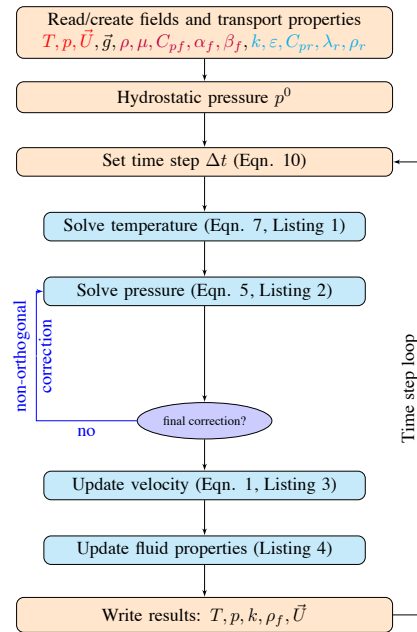


Figure 2. Schematic of the sequential algorithm.

2.6 Solution algorithm

The governing equations of pressure and temperature are solved in a sequential approach. The primary variables (pressure and temperature) and transport properties (such as permeability, porosity, etc.) have to be initialized before the time loop, and then

the initial Darcy velocity and thermodynamic properties of fluid can be updated according to the temperature and pressure fields.
 190 The main computational sequence for a single time step are described below and sketched in Figure 2.

1. The time step size Δt^{n+1} is calculated from the Courant number related condition (Equation 10).
2. Temperature field T^{n+1} is implicitly computed by solving the energy conservation equation (Equation 9). The syntax of a partial differential equation (PDE) in OpenFOAM is very closed to mathematical formulation and a code snippet of the temperature equation 9 implementation is shown in listing 1. All variable symbols, names and OpenFOAM types (classes)
 195 are shown in Table 2. The transient term $\partial T/\partial t$ can be implicitly discretized using the OpenFOAM operator `fvm::ddt(T)` with the discretization scheme (e.g. Euler scheme) being specified under the keyword `ddtSchemes` in the `system/fvSchemes` dictionary file (see Listing 9). The divergence term, Laplace term and source term are implicitly discretized using `fvm::div`, `fvm::laplacian` and `fvm::Sp` operators. The last term on the right-hand side is explicitly calculated using known field values from the current or previous time step; the corresponding time derivative and gradient can be programmed using
 200 `fvc::ddt` and `fvc::grad`, respectively.

Listing 1 Implementation of temperature equation 9 with OpenFOAM (in `EEqn.H`).

```
fvScalarMatrix TEqn(
    (porosity*rho*Cp+(1.0-porosity)*rho_rock*cp_rock)*fvm::ddt(T)
    +fvm::div(phi*fvc::interpolate(Cp),T)
    ==
    fvm::laplacian(kr,T) + fvm::Sp(fvc::div(phi*fvc::interpolate(Cp)),T)
    + mu/permeability*magSqr(U) + fvm::Sp(alphaP*(porosity*fvc::ddt(p)+(U & fvc::grad(p))),T)
);
TEqn.solve();
```

3. The pressure field p^{n+1} is implicitly computed by solving the pressure equation (Equation 7), the code snippet is shown in Listing 2. The temperature temporal term and divergence of ϕ_g on the right-hand side are evaluated explicitly by using `fvc::ddt(T)` and `fvc::div(phi_g)` (see line 7 in Listing 2). Although pressure boundary conditions are customized by flux directly (see subsection 2.4), in order to specify pressure boundary conditions through velocity boundary conditions, e.g.
 205 OpenFOAM's `fixedFluxPressure` boundary condition, the OpenFOAM's function of `constrainPressure` has to be called before solving pressure equation (see line 3 in Listing 2). For non-orthogonal mesh, a non-orthogonal correction algorithm (line 4 in Listing 2) is commonly adopted to improve accuracy for gradient computation. The number of non-orthogonal correction is specified by `nNonOrthogonalCorrectors` key in `PIMPLE` sub-dictionary in `system/fvSolution` file.

Listing 2 Implementation of pressure equation 7 with OpenFOAM (in pEqn.H).

```
surfaceScalarField rhorAUf("rhorAUf", fvc::interpolate(rho*permeability/mu));
surfaceScalarField phig("phig", (fvc::interpolate(rho)*rhorAUf * g) & mesh.Sf());
constrainPressure(p, rho, U, phig, rhorAUf);
while (pimple.correctNonOrthogonal()){
    fvScalarMatrix pEqn(
        porosity*rho*betaT*fvm::ddt(p) - fvm::laplacian(rhorAUf,p)
        -porosity*rho*alphaP*fvc::ddt(T) + fvc::div(phig)
    );
    pEqn.solve();
}
```

- 210 4. The velocity field is calculated explicitly using latest pressure field based on Darcy's law (Equation 3). Instead of calculating the velocity directly, we implement an indirect approach based on OpenFOAM's function `fvc::reconstruct` to reconstruct the velocity field from the computed mass flux (see Listing 3), which performs higher numerical stability and benefits from the flux conservation characteristics of the finite volume method. In addition, boundary conditions of velocity field have to be updated (line 3 in Listing 3) if OpenFOAM's `fixedFluxPressure` boundary condition is applied for pressure field.

Listing 3 Implementation of Darcy velocity calculation with OpenFOAM (in pEqn.H).

```
phi = phig + pEqn.flux();
U = permeability/mu*fvc::reconstruct(phi/rhorAUf);
U.correctBoundaryConditions();
```

- 215 5. Thermodynamic properties of fluid are updated by the thermo-physical model after solving temperature and pressure field. The implementation code snippet is shown in Listing 4, in which `thermo.correct()` is used to update temperature and pressure value for all the calculating nodes. Then the thermodynamic propertie of fluid, for example density (ρ), at each nodes are calculated based on IAPWS-IF97 (see line 2-6 in Listing 4).

Listing 4 Update fluid thermo dynamic properties (in updateProps.H).

```
thermo.correct();  
rho=thermo.rho();  
mu=thermo.mu();  
Cp=thermo.Cp();  
alphaP=thermo.alphaP();  
betaT=thermo.betaT();
```

2.7 Numerical schemes

220 Since the numerical evaluation of the divergence and gradient terms in the governing equations has great influence on heat and mass transfer, a suitable solution strategy regarding discretization and linear solver schemes need to be chosen to ensure accuracy, robustness and stability. In the presented solver *HydrothermalFoam*, the discretization and interpolation scheme of the primary fields (T, p) can be defined in the simulation configuration files. In the following benchmark tests (section 5), the advective discretization scheme is [set to](#) upwind to ensure consistency with [results of other software packages HYDROTHERM](#)

225 . It should be noted that ~~the generic implementation of *HydrothermalFoam* solver allows~~ all of the ~~commonly used basic~~ numerical schemes of OpenFOAM ([Jasak, 1996](#)) ~~and using a high-order advection scheme like e. g. Van Leer is often the better choice.~~ [are also valid for *HydrothermalFoam* solver.](#)

3 Description of toolbox components

The organization of the *HydrothermalFoam* toolbox is shown in Figure 3. The toolbox contains 5 parts: *HydrothermalFoam*

230 solver, thermophysical models, boundary conditions, cookbooks and manual.

- HydrothermalSinglePhaseDarcyFoam: this block compiles the solver (an executable file) that solves the seafloor hydrothermal convection equations described in subsection 2.1. It can be used to simulate single-phase hydrothermal circulation in an isotropic porous medium.
- ThermoModels: this block compiles the ~~libPureWaterThermophysicalModels~~ [libHydroThermoPhysicalModels](#) library
- 235 containing the EOS of pure water, which is used to formulate the used thermophysical model - see subsection 2.5.
- BoundaryConditions: this block compiles libHydrothermalBoundaryConditions library containing four customized boundary conditions explained in subsection 2.4. The example usage of each boundary conditions can be found in cookbooks and manual in GitLab repository (<https://gitlab.com/gmdpapers/hydrothermalfoam>).
- benchmarks: input files of all the benchmark tests (see section 5) presented in this paper.

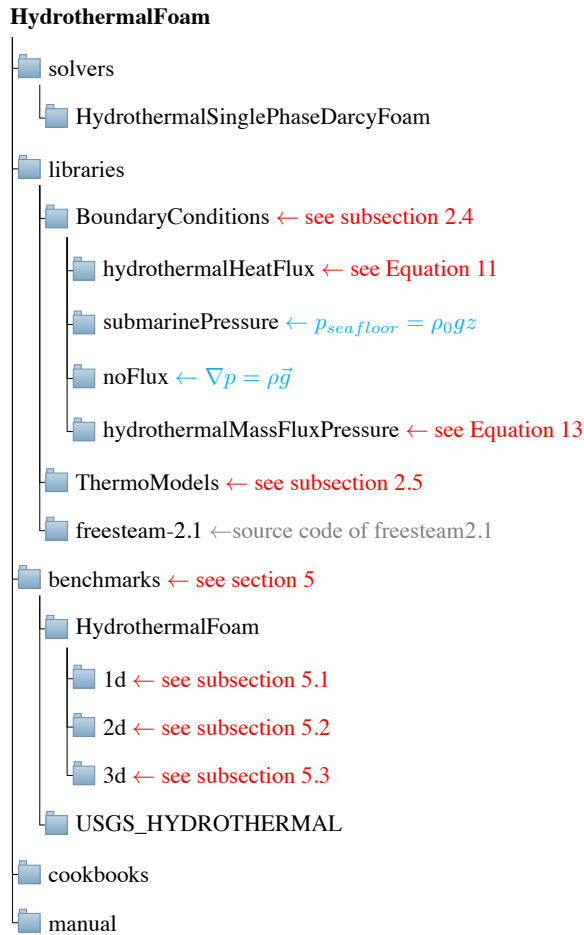


Figure 3. Structure and components of the *HydrothermalFoam* toolbox.

- 240
- cookbooks: this block contains some example cases of parallel computing, user defined boundary conditions, and post processing.
 - manual: the manual present detailed usage and all the numerical examples in cookbooks.

4 Installation

We provided two options for installation: one is building from source and the other is using a precompiled docker image.

245 **4.1 Building from source code**

4.1.1 OpenFOAM

The *HydrothermalFoam* v1.0 is developed based on OpenFOAM-7, which can be installed according to the installation instructions (<https://openfoam.org/download/>) given by the development team for Ubuntu Linux, Other Linux, macOS and Windows platform, respectively.

250 **4.1.2 HydrothermalFoam**

Once OpenFOAM is built successfully, the source code of *HydrothermalFoam* can be downloaded from Zenodo.org (Guo and Rüpke, 2020). The directory structure and components of *HydrothermalFoam* are shown in Figure 3 and the components can be built follow three steps below,

- 255 1. Build freesteam-2.1 library. The freesteam project is constructed by scons, which is a open source software construction tool dependent on python 2, and based on GSL (**G**NU **S**cientific **L**ibrary). Therefore python 2, scons and GSL have to be installed firstly, then change directory to freesteam-2.1 in *HydrothermalFoam* source code and type command of scons install to compile freesteam library.
2. Build libraries of customized boundary conditions and thermo-physical model. Change directory to libraries and type command of ./Allmake to compile the libraries.
- 260 3. Build solver of *HydrothermalSinglePhaseDarcyFoam*. Change directory to HydrothermalSinglePhaseDarcyFoam and type command of wmake to compile the solver.

All the library files and executable application (solver) file will be generated in directories defined by OpenFOAM's path variables of FOAM_USER_LIBBIN and FOAM_USER_APPBIN, respectively.

4.2 Precompiled docker image

265 In order to use all the tools directly without any compiling and development skills, we have published a precompiled Docker® image in DockerHub repository of zguo/hydrothermalfoam. The docker image can be used on any operation systems (e.g. Windows, Mac OS and Linux) to run *HydrothermalFoam* cases follow five steps below,

1. Install Docker, then open Docker and keep it running.
- 270 2. Pull the docker image by using command of docker pull zguo/hydrothermalfoam in shell terminal, e.g. bash shell in Mac OS, PowerShell in Windows system.
3. Install a container from the docker image by running shell script, e.g. Unix shell script, shown in Listing 5. The directory named HydrothermalFoam_runs is a shared folder between the container and host machine.

Listing 5 Script for installing a container from the *HydrothermalFoam* docker image.

```
dirInContainer="/home/openfoam/HydrothermalFoam_runs"
homeInHost="${1:-$HOME}"
dirInHost="${homeInHost}/HydrothermalFoam_runs"
imageName="zguo/hydrothermalfoam"
containerName="hydrothermalfoam"
docker run -it -d --name ${containerName} --workdir="/home/openfoam" -v=${dirInHost}:${dirInContainer}
↪ ${imageName}
```

4. Start the container by running command of `docker start hydrothermalfoam`.

275 5. Attach the container by running command of `docker attach hydrothermalfoam`. The user now in a Ubuntu linux environment with precompiled *HydrothermalFoam* tools which located at directory of `/HydrothermalFoam`. We recommend user run *HydrothermalFoam* cases in the directory of `HydrothermalFoam_runs` in the container, and then the results are synchronized in the shared directory in the host, and thus can be visualized by ParaView[®], Tecplot[®] or other software.

Following above five steps, one can run *HydrothermalFoam* tools in the current shell terminal. detailed instructions and five-minutes tutorial video can be found in the DockerHub repository.

280 4.3 Run the first case of *HydrothermalFoam*

The basic directory structure for a *HydrothermalFoam* case, that contains the mandatory files to run an application, is shown in Figure 4. There is a bash script file named `run.sh` in every *HydrothermalFoam* cases provided by this paper. In addition, we provide a five-minutes quick start tutorial video to run the first case of *HydrothermalFoam* in Docker. A *HydrothermalFoam* case can be run by executing `./run.sh`.

285 4.3.1 Mesh generation

The mesh information containing boundary patches definitions, cell face indices and connections is located in `polyMesh` subdirectory in constant directory in a specific case folder (Figure 4). All the OpenFOAM mesh generation approaches can be applied to *HydrothermalFoam* as well. For example, `blockMesh` generates a simple mesh defined by `blockMeshDict` dictionary file in system directory, and `gmshToFoam` transform a mesh file generated by Gmsh (Geuzaine and Remacle, 2009) to `polyMesh`.

290 4.3.2 Input fields data

Much of the input/output data in *HydrothermalFoam* are fields, e.g. temperature, pressure data, that are read from and written into the time directories. For example, the initial time directory is commonly named 0 (see Figure 4). *HydrothermalFoam* writes field data as dictionary files using keyword entries described in Table 3. The required input field data of *HydrothermalFoam* are

<Root directory of a case>

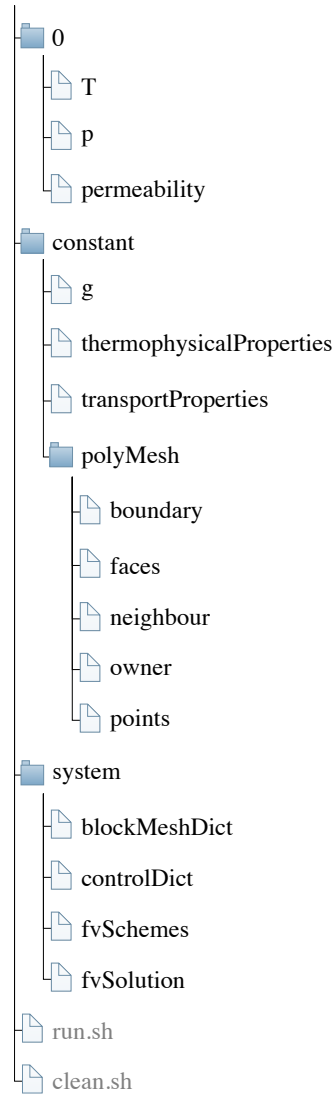


Figure 4. Case directory structure of the *HydrothermalFoam* toolbox.

295 temperature, pressure and permeability. Each input field data begins with an entry for its dimensions, which is expressed by a vector of seven basic SI units (International System of Units) in order of $[kg, m, s, K, mol, A, cd]$. The file names are the same as variable names shown in Table 2. Following that, is the `internalField`, described in one of the following ways.

1. **Uniform field.** A single value is assigned to all elements within the field, taking the form:
`internalField uniform <entry>;`

Table 3. Main keywords used in field dictionary files

Keyword	Description	Example
dimensions	Dimension of field	[0 0 0 1 0 0 0]
internalField	Value of internal field	uniform 278.15
boundaryField	Boundary field	see Listing 6

2. **Nonuniform field.** Each field element is assigned a unique value from a list, taking the form:

300 internalField nonuniform <List>;

The nonuniform list of internal field, e.g. permeability, can be specified in different mesh regions by using setFields transform setFieldsDict in system directory (see the Heterogeneous benchmark example described in section 5.3.2).

Listing 6 Example dictionary file for temperature field T

```
dimensions    [0 0 0 1 0 0 0];
internalField uniform 278.15;
boundaryField
{
    sides { type zeroGradient;}
    top { type inletOutlet; phi phi; inletValue uniform 278.15;}
    bottom { type fixedValue; value uniform 873.15;}
    frontAndBack { type empty;}
}
```

The boundaryField is a dictionary containing a set of entries whose names are listed in the polyMesh/boundary file for each boundary patches. The compulsory entry, type, describes the boundary condition specified for the field. Besides OpenFOAM 305 internal basic boundary condition type of fixedValue, zeroGradient, codedFixedValue, et al., the customized boundary condition types, e.g. noFlux for pressure and HydrothermalHeatFlux for temperature, are described in subsection 2.4. Because permeability field is not solved but the boundaryField key has to be set, therefore type of all the boundary patches for permeability is set to zeroGradient for any cases. An example set of field dictionary entries for temperature T are shown in Listing 6.

4.3.3 Thermophysical models and transport properties

310 The `transportProperties` and `thermophysicalProperties` are two compulsory files in `constant` subdirectory. The first one contains constant properties of rock, e.g. porosity, density, are shown in Listing 7, and the second one contains keywords of the new defined thermophysical model for water which is described in section 2.5. -

Listing 7 `transportProperties` file

```
porosity porosity [0 0 0 0 0 0] 0.1;
kr kr [1 1 -3 -1 0 0 0] 2;
cp_rock cp_rock [0 2 -2 -1 0 0 0] 880;
rho_rock rho_rock [1 -3 0 0 0 0 0] 2700;
```

The `thermophysicalProperties` is a compulsory file in `constant` subdirectory, which contains keywords (Listing 8) of the new defined thermophysical model for water which is described in section 2.5 and constant properties of rock, e.g. porosity, density.

315 It should be noted that porosity is implemented as `volScalarField` type just like permeability. It means that user can define porosity field in the modeling domain, if the `porosity` file doesn't exist in the start time folder, e.g. 0 folder, the solver will initialize the porosity field by the constant porosity value in `porousMedia` sub-dictionary in `constant/thermophysicalProperties` file.

[gzk 1]
delete
Listing
7

```
thermoType
{
    type          htHydroThermo;
    mixture       pureMixture;
    transport     IAPWS;
    thermo        IAPWS;
    equationOfState IAPWS;
    specie        specie;
    energy        temperature;
}
mixture
{
    specie{ molWeight    18; }
    porousMedia
    {
        porosity porosity [0 0 0 0 0 0] 0.1;
        kr kr [1 1 -3 -1 0 0] 2;
        cp_rock cp_rock [0 2 -2 -1 0 0] 880;
        rho_rock rho_rock [1 -3 0 0 0 0] 2700;
    }
}
```

4.3.4 Discretized schemes and solution control

- 320 The discretization schemes for primary variables in the PDEs (Partial Difference Equations) and solver for linear equations are specified in fvSchemes and fvSolution files in system directory, respectively. According to implementation of temperature equation (Listing 1) and pressure equation (Listing 2), we have to specify discretization schemes for the transient terms, Laplace terms, and gradient and divergence terms, which are shown in Listing 9. A example of solver, preconditioner and tolerance settings for linear equations of temperature and pressure fields are shown in Listing 10. We recommend to keep these two files
- 325 the same for different cases unless one attempts to try different options available in OpenFOAM.

Table 4. Model parameters of 1-D benchmark examples

Model index	Boundary conditions		Initial conditions	End time (year)	Orientation
	left/bottom	right/top			
A	300 °C , 50 MPa	150 °C , 25 MPa	150°C , 25 MPa	250	Horizontal
B	300 °C , 50 MPa	150 °C , 25 MPa	150°C , 25 MPa	250	Vertical
C	450 °C , 40 MPa	300 °C , 20 MPa	300°C , 20 MPa	250	Horizontal
D	450 °C , 40 MPa	300 °C , 20 MPa	300°C , 20 MPa	250	Vertical
E	500 °C , 15 MPa	350 °C , 1 MPa	350°C , 1 MPa	250	Horizontal
F	500 °C , 15 MPa	350 °C , 1 MPa	350°C , 1 MPa	250	Vertical

5 Benchmark tests

We have conducted a number of one-dimensional (1-D), two-dimensional (2-D), and three-dimensional benchmark tests and compared the results to other established software packages to validate *HydrothermalFoam* and to highlight some of its advantages. The reference software we used is version 3.1 of HYDROTHERM, a simulation tool developed and maintained by the US Geological Survey (USGS), which can be downloaded from the internet (<https://volcanoes.usgs.gov/software/hydrotherm/index.html>) for free. All the parameters used in the 1-D and 2-D examples are taken from Weis et al. (2014), who presented a sequence of well-defined and highly useful benchmarks designed to test code performance within different key thermodynamic fluid states. In those benchmarks the transport properties and rock properties are constant and uniform (values are also listed in table 2); an isotropic permeability of $k = 10^{-15} m^2$, a porosity of $\varepsilon = 0.1$, a heat capacity of $C_{pr} = 880 J/(kg \text{ } ^\circ C)$, a thermal conductivity of $\lambda_r, k_r = 2 W/(m \text{ } ^\circ C)$ and a rock density of $\rho_r = 2700 kg/m^3$ are used in all simulations below.

5.1 One-dimensional simulations

We conducted six 1-D simulations to test the code performance along the three $p - T$ paths in the phase diagram of pure water shown in Figure 1. These runs are designed with constant pressure and temperature conditions on both ends of a domain with 2 km length and 10 m grid spacing. The boundary conditions and initial conditions of each 1-D test are listed in table 2. For comparison, we use the same parameters for the HYDROTHERM simulations. The computational domain is oriented horizontally (model index are A, C, E) without gravity and vertically (model index are B, D, F) with gravity to evaluate gravitational effects on fluid flow. All input files can be found in the benchmarks/HydrothermalFoam/1d and benchmarks/USGS_HYDROTHERMAL/1d directories.

Simulation results of the six 1-D examples are shown in figure 5. The example runs A and B describe invasion of a hot fluid into an initially colder domain and the fluids stay in single-phase liquid state. The thermal front moves from the start point (left or bottom for the horizontal and vertical example, respectively) towards the end point. As the vertical flow is opposing

gravity, the flow is about three times slower. The fluids remain at pressures beyond the critical end point of pure water and therefore in the single-phase regime. Results calculated by HYDROTHERM and *HydrothermalFoam* are almost identical. In Examples A (horizontal) and B (vertical), the fluid remains in a liquid-like state, while in the examples C (horizontal) and D (vertical) the fluid is flowing along the pressure gradient from a cold liquid-like state to a hot vapor-like state. In C,D the fluids moves about two times faster than in examples A,B resulting in a sharper thermal front. The sharpness of this front is, unfortunately, often affected by the numerical scheme (e.g. mesh geometry, upwinding scheme, and advection scheme). In fact, OpenFOAM seems to cope a bit better with resolving the sharpness of the front despite also using an upwind advection scheme. Benchmarks E,F explore sub-critical vapor flow. The results of horizontal and vertical flow look very similar because density of single-phase vapor fluid is very low and thus gravitational effect are relatively small with respect to the liquid cases. The results of *HydrothermalFoam* have a good agreement with that of HYDROTHERM for single-phase vapor flow.

5.2 Two-dimensional simulations

The two-dimensional models are performed on a rectangular domain with a length of 9 km in the x-direction and 3 km in the y-direction (Figure 6), loosely representative of a vertical section through the upper oceanic crust with uniform permeability. The top boundary represents the seafloor and is kept at a constant pressure of 30 MPa, which is equivalent to about 3 km water depth and a constant temperature of 5°C. At the bottom, a constant heat flux of $Q_b = 0.05 \text{ W/m}^2$ is applied. Further, we assume a magmatic heat source with constant heat flux of $Q_m = 5 \text{ W/m}^2$ extending 1 km wide along x-direction located at the center of the bottom boundary (shown in red line in Figure 6).

The simulation results are shown in Figure 7. Fluid pressure and temperature calculated by *HydrothermalFoam* and HYDROTHERM agree very well. The evolution of the hydrothermal plume is summarized in figure (Figure 7A-C). A hot plume is forming at the base and rises upwards after 5 kyrs (Figure 7A) reaching the seafloor at 15 kyrs (Figure 7B). After 50 kyrs, a quasi-steady hydrothermal circulation cell is established (Figure 7C). The results of *HydrothermalFoam* and HYDROTHERM are again very similar. All input files can be found in the benchmarks/HydrothermalFoam/2d and benchmarks/USGS_HYDROTHERMAL/2d directories.

5.3 Three-dimensional simulation

5.3.1 Homogeneous model

Similar to the two-dimensional model in subsection 5.2, the three-dimensional model are performed on a cubic domain with a length of 9 km in both the x-direction and the z-direction and 3 km in y-direction (vertical direction). Note that the vertical coordinate in *HydrothermalFoam* or OpenFOAM is y rather than z . It can be imagined as representing a three-dimensional section of oceanic crust with uniform permeability. The top boundary is the seafloor and is kept at a constant pressure of 30 MPa and a constant temperature of 5 °C. At the bottom boundary, a zero mass flux is applied for pressure, and a constant Gaussian shaped heat flux (see Equation 14) is applied for temperature. The corresponding parameters in Equation 14 are

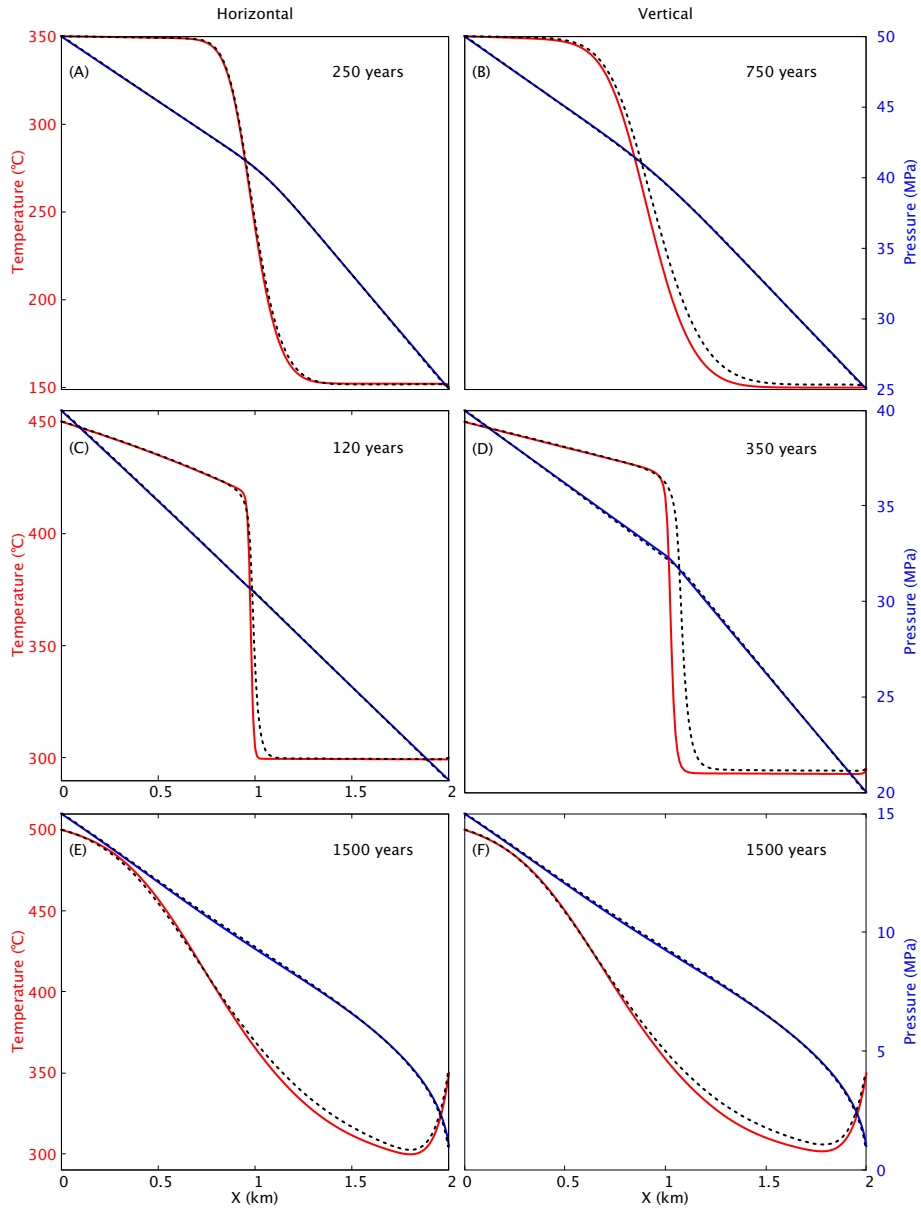


Figure 5. Snapshots of one-dimensional benchmark examples in horizontal (A, C, E) and vertical (B, D, F) orientation. Results (temperature in red and pressure in blue) of *HydrothermalFoam* and *HYDROTHERM* are plotted as solid lines and dashed lines, respectively.

$x_0 = 0, z_0 = 0, q_{max} = 5 \text{ W/m}^2, q_{min} = 0.05 \text{ W/m}^2, c = 500$. All input files can be [found](#) in the `3d/Homogeneous` directory in `benchmarks/HydrothermalFoam` and `benchmarks/USGS_HYDROTHERMAL` directories, respectively.

380 The simulation results at 50 kyr are shown in Figure 8. Vertical slices at $x=0 \text{ km}$ and $x=0.5 \text{ km}$, and horizontal slices at $z=0.5 \text{ km}$ and $z=2.5 \text{ km}$ are shown in Figure 8(A-D). Fluid pressure (blue contours) and the temperature field calculated by

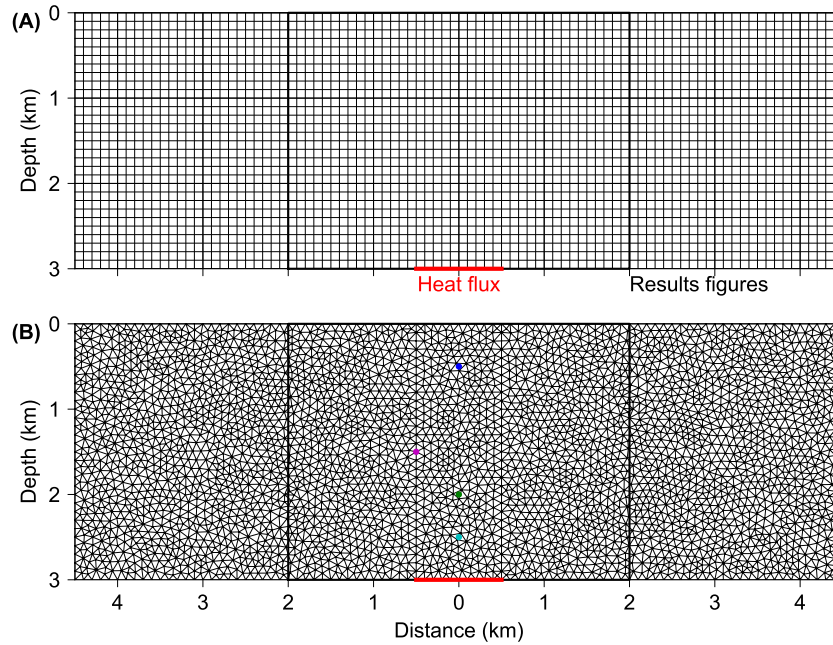


Figure 6. Mesh and model configuration of the two-dimensional examples. (A) The grid for *HYDROTHERMAL* has to be set to a uniform regular mesh with resolution of 100 m and with total number of 2700 elements. (B) *HydrothermalFoam* uses an unstructured mesh with 7074 triangular elements and the edge length in range of 60 ~ 142 m. **Four dots with different color in (B) represent temperature probes location and the black box shows result visualization domain.** Red lines on the bottom boundaries denotes heat flux boundary condition for temperature.

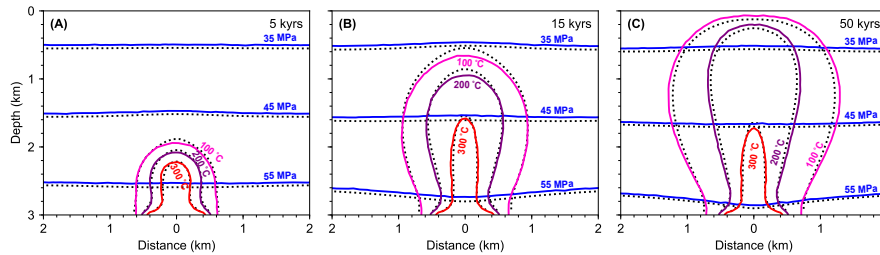


Figure 7. Snapshots of two-dimensional examples. Contour lines for fluid pressure (blue) and temperature (red) calculated from *HydrothermalFoam* are plotted as solid lines, and that from *HYDROTHERM* are plotted as dotted lines (black). The visualization window are shown by black box in Figure 6

HydrothermalFoam and *HYDROTHERM* (dashed contours) agree very well and results on central vertical slice are very close to two-dimensional model results shown in Figure 7C. Three-dimensional flow path and isothermal surfaces of 300 °C, 200 °C and 100 °C are shown in Figure 8(E).

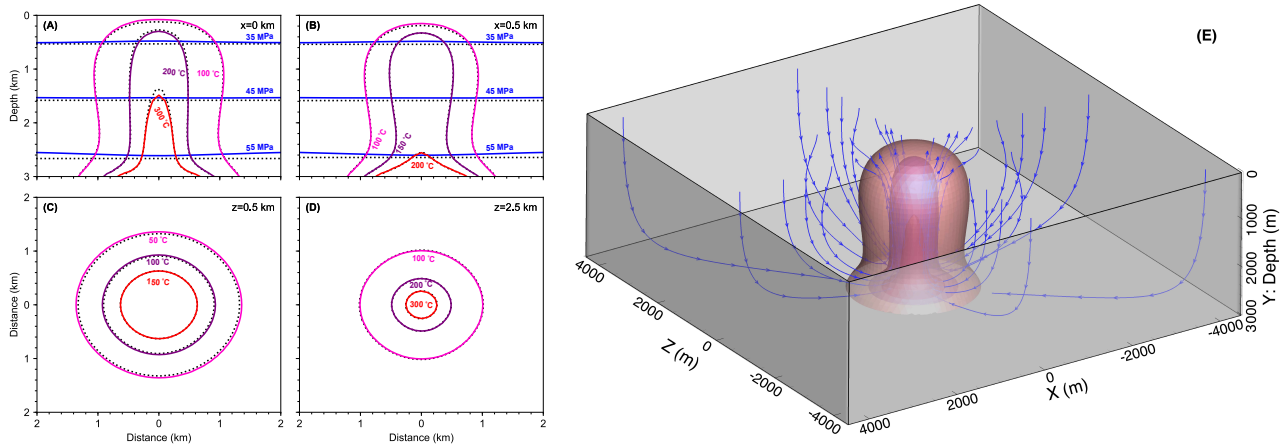


Figure 8. Snapshots of three-dimensional model results. Contour lines for fluid pressure (blue) and temperature calculated from *HydrothermalFoam* are plotted as solid lines, and that from *HYDROTHERM* are plotted as black dotted lines. (A) and (B) show pressure and temperature contours on vertical slices, (C) and (D) show temperature contours on horizontal slices. (E) show three-dimensional isothermal surfaces and stream lines.

385 5.3.2 Heterogeneous model

The heterogeneous model with two-layer permeability structure is modified from the homogeneous model described in subsection 5.3.1. Permeability of two layers are $k_1 = 10^{-14} \text{ m}^2$ and $k_2 = 10^{-15} \text{ m}^2$, respectively (see Figure 9 A). The thickness of first layer is 1.1 km and the other parameters are the same as the homogeneous model. All input files can be found in the 3d/Heterogeneous directory in benchmarks/HydrothermalFoam and benchmarks/USGS_HYDROTHERMAL directories, respectively. The simulation results at 50 kyrs are shown in Figure 9. Vertical slices at $x=0 \text{ km}$ and $x=0.5 \text{ km}$, and horizontal slices at $z=0.5 \text{ km}$ and $z=2.5 \text{ km}$ are shown in Figure 9(A-D). The higher permeability in the upper layer results in mixing with colder ambient fluids and focussing of the upflow zone. Three-dimensional isothermal surfaces of $300 \text{ }^\circ\text{C}$, $200 \text{ }^\circ\text{C}$ and $100 \text{ }^\circ\text{C}$ are shown in Figure 9(E).

5.4 Cookbooks

395 In addition to the presented benchmarks, we have added a number of cookbooks to the code repositories that can be used as starting points for more complex models. These include simple 2-D and 3-D box models, 2-D single-pass loop models, and time-dependent permeability models. They also include examples of how to use more complex meshed generated with e.g. the gmsh mesh generator. We intend to add additional cookbooks in the future and hope to receive contributions from users of *HydrothermalFoam*.

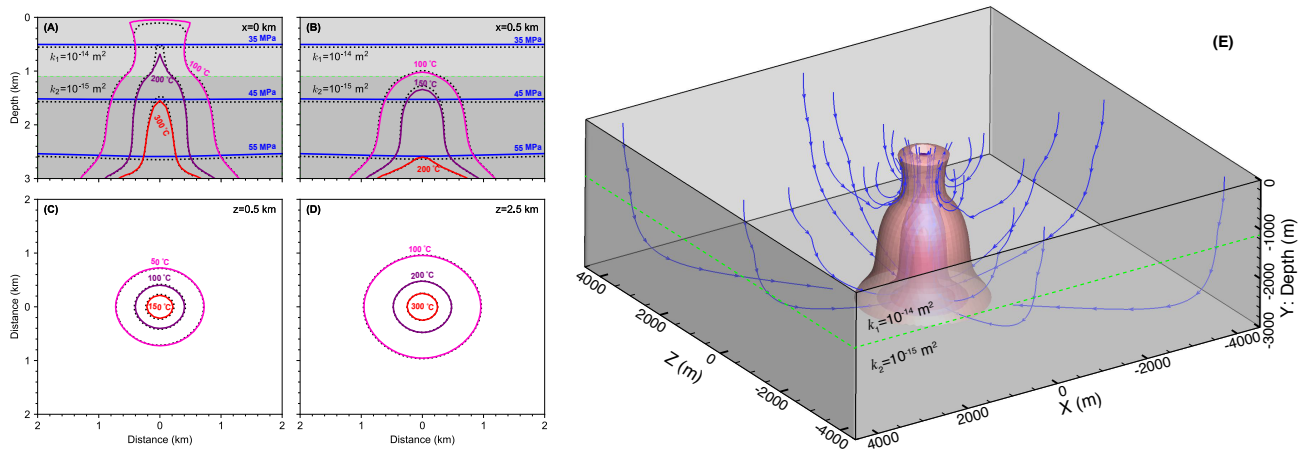


Figure 9. Results of three-dimensional model with two-layer permeability structure. Two layers are separated by the green dashed line. Contour lines for fluid pressure (blue) and temperature calculated from *HydrothermalFoam* are plotted as solid lines, and that from *HYDROTHERM* are plotted as black dotted lines. (A) and (B) show pressure and temperature contours on vertical slices, (C) and (D) show temperature contours on horizontal slices. (E) show three-dimensional isothermal surfaces and stream lines.

400 6 Conclusions

We have presented a toolbox for simulating flow in submarine hydrothermal circulation systems. Being based on the widely used fluid-dynamic simulation platform OpenFOAM, the toolbox provides the user with robust parallelized 3-D solvers and a whole suite of pre- and post-processing tools. The toolbox is meant to provide the interdisciplinary submarine hydrothermal systems community with an accessible and easy-to-use open-source platform for testing ideas on how hydrothermal systems 'work'.

405 The benchmark tests have shown that model matches previously published models and the cookbooks provide the user with starting points for building more sophisticated models. By following an open-source approach and by providing extensive code documentation, we hope that the presented model will facilitate integrative studies that combines models with data to better assess the role of submarine hydrothermalism in the Earth System.

Code availability.

- 410 – Program title: HydrothermalFoam
- [Source code repository on GitLab: https://gitlab.com/gmdpapers/hydrothermalfoam](https://gitlab.com/gmdpapers/hydrothermalfoam). The latest and develop versions are maintained on this repository.
 - [Source code and docker image on Zenodo.org: https://doi.org/10.5281/zenodo.3755647](https://doi.org/10.5281/zenodo.3755647)

- [Source code repository on GitLab: https://gitlab.com/gmdpapers/hydrothermalfoam](https://gitlab.com/gmdpapers/hydrothermalfoam)
- 415 – Precompiled Docker image on Docker Hub: [zguo/hydrothermalfoam](https://hub.docker.com/r/zguo/hydrothermalfoam). [The docker image provides all the runtime environment of *HydrothermalFoam*, e.g. OpenFOAM, Gmsh, HYDROTHERM and git, users can use the *getHydrothermal-Foam_latest.sh* script to fetch the latest version of *HydrothermalFoam* in the docker container.](#)
- Five-minutes quick start tutorial video: <https://youtu.be/6czcxC90gp0>
- Source code documentation: <https://www.hydrothermalfoam.info/doxygen>
- 420 – Online manual: <https://www.hydrothermalfoam.info/manual>
- Licensing provision: GNU General Public License 3.0
- Programming language: C++
- Nature of problem: Seafloor hydrothermal circulation
- Solution method: The numerical approach is based on the finite-volume method (FVM).

425 **Appendix A: Key code snippets**

Author contributions. ZG, LR and CT designed the project. ZG developed the source code, ran simulations and wrote this paper. LR provided suggestions for the benchmarks and co-wrote the paper and manual. CT provided further suggestions for the manuscript and the model. All authors discussed and contributed to the final paper.

Competing interests. The authors declare that they have no conflict of interest.

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Listing 9 Example of fvSchemes file

```
ddtSchemes
{
default      Euler;
}
gradSchemes
{
grad(p)      Gauss linear;
grad(T)      Gauss linear;
}
divSchemes
{
div(phi,T)   Gauss upwind;
div((phi*interpolate(Cp)),T) Gauss upwind;
}
laplacianSchemes
{
laplacian(kr,T) Gauss linear corrected;
laplacian(rhorAUf,p) Gauss linear corrected;
}
interpolationSchemes
{
default      linear;
}
snGradSchemes
{
default      corrected;
}
fluxRequired
{
default no;
}
P;
```

Listing 10 Example of fvSolution file

```
solvers
{
  p
  {
    solver      PCG;
    preconditioner DIC;
    tolerance    1e-12;
    relTol      0;
  }
  pFinal
  {
    $p;
    relTol      0;
  }
  T
  {
    solver      PBiCG;
    preconditioner DILU;
    tolerance    1e-06;
    relTol      0;
  }
  "(T)Final"
  {
    $T;
    relTol      0;
  }
}
PIMPLE
{
  nNonOrthogonalCorrectors 0;
}
```

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