

1 Adaptive lossy compression of climate model data based on 2 hierarchical tensor with Adaptive-HGFDR

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12 **Abstract.** Lossy compression has been applied to large-scale experimental model data compression due to its advantages of a
13 high compression ratio. However, few methods consider the uniform distribution of compression errors. Here we develop an
14 adaptive lossy compression method with uniform distribution of compression error for earth system model data based on
15 Blocked Hierarchical Geospatial Field Data Representation (Blocked-HGFDR). The original Blocked-HGFDR method is
16 improved from the following perspectives. Firstly, the original data are divided into a series of data blocks with more balanced
17 size. After that, from the mathematical model of the Blocked-HGFDR, the relationship between the compression parameter
18 and compression error in Blocked-HGFDR for each data block is conducted. Finally, our method, the Adaptive-HGFDR,
19 achieves the data compression with the uniform distribution of compression error through designing an optimal compression
20 parameter control mechanism and a fast search method. Experiments concerning model data compression are carried out based
21 on the Community Earth System Model (CESM) data. The results show that our method has higher compression ratio and
22 more uniform error distributions, compared with other commonly used lossy compression methods, and can be well applied
23 to multiple climate model variables.

24 1 Introduction

25 Earth System Model Data (ESMD), which comprehensively characterize the Earth system over space-time dimensions, are
26 presented as multidimensional arrays of floating-point numbers (Kuhn et al., 2016; Wulder et al., 2012). With the rapid
27 development of earth system models and growing multi-scenario earth system simulation experiments, ESMD has shown an
28 exponential increase in data volume and data complexity (Anon, 2011; Of and Acm, 2000). Lossless compression methods,
29 which could reduce the data volume without affecting the data quality, are commonly used in compressing ESMD data.
30 However, their compression ratios grow much slower than the increment of data volume (Kumar et al., 2008; Tao et al., 2017c;
31 Baker et al., 2016). Thus, the compression performance of the lossless compression will be relatively reduced. Lossy

32 compression methods, which focus more on saving space over preserving the data accuracy, are achieved more and more
33 attention in ESMD compression. However, the reduction of data accuracy may lead to the deviation in calculating the long-
34 term trend, change rate, inflection point and abrupt change, and further affect the data quality as well as the subsequent analysis
35 of ESMD, especially for the variables with strong spatial heterogeneity like temperature or fluxes (Zabala and Pons, 2011;
36 Feng et al., 2014; Baker et al., 2014; Berres et al., 2017). The heterogeneity of original data distribution could lead to the
37 uneven distribution of compression errors, and make it difficult to separate the compression error from the spatial-temporal
38 characteristics of original ESMD. Therefore, keeping the distribution of compression error as uniform as possible can improve
39 the data quality of ESMD lossy compression.

40 The main idea of ESMD lossy compressions is to eliminate unnecessary or redundant information in data to reduce the data
41 size. There are two different kinds of information that can be considered as unnecessary or redundant in ESMD: information
42 of data descriptions and information of data features. Therefore, there are two major different types of ESMD lossy
43 compression methods: the description-based lossy compression and feature-based lossy compression. The description-based
44 lossy compression attempts to transform or simplify data descriptions to reduce data size. One subcategory of the description-
45 based lossy compression is the file-based compression method, which compresses ESMD files using general file compression
46 methods, such as GRIB2 and NetCDF (Bing et al., 2014; Hübbe et al., 2013). Another subcategory is the error truncation-
47 based compression, which assumes the high-precision float point expression in ESMD is not necessary. Typical error
48 truncation-based compression, such as FPZIP (Lindstrom and Isenburg, 2006) and APAX (Hübbe et al., 2013), implements
49 the data compression through controlling the precision of a floating-point expression of the original data, and eliminating
50 redundant floating-point precision. Recently, statistical or sparse data coding are introduced to produce better compact data
51 descriptions (Papaioannou et al., 2011; Tao et al., 2017a; Akbudak et al., 2017). For example, vector quantization (VQ) (Vector
52 Quantization) (Guinness and Hammerling, 2016) organize the data as a simple one-dimensional array and use a dictionary (or
53 code table) to compose the common patterns of the data. Although the description-based lossy compression is typically easy
54 to implement, it is not flexible enough to control the distribution of compression error. For the file-based compression method,
55 it is difficult to arbitrarily adjust the compression parameter according to the given compression error. For the error truncation-
56 based compression, the distribution of floating-point precision of ESMD is not uniform, which could lead to the unevenly
57 distribution of compression errors. For VQ method, the use of a dictionary or code table enlarges the complexity of the data
58 structure, which requires the additional operations to control the compression error. For example, when the data volume is
59 large, the change of compression error control conditions could lead to significant time cost due to frequent compression and
60 decompression of data (Anon, 2013; Liu et al., 2014; Mummadisetty, 2015). To summarize, it is hard to achieve flexible
61 control of the compression ratio and errors for the description-based lossy compression methods.

62 As for the feature-based lossy compression, it assumes that the original data are a mixture of various features, and some features
63 of the data can be eliminated. Based on how to deal with the feature of the original data, the feature-based lossy compression
64 can be further classified into two subcategories: feature filtering-based compression and feature prediction-based compression.
65 The feature filtering-based compression defines certain rules to extract and filter features from the original data and remove

66 unnecessary features to reduce the data volume. One example is the image-based method, which slices ESMD from different
67 dimensions as separate images, and each image is then compressed by feature filtering with wavelet transformation or Discrete
68 Fourier Transform (Taubman and Marcellin, 2002). As the compression is applied to single image slice, the correlations
69 between different image slices are not always well utilized during different compression processes. Therefore, the compression
70 error control between different image slices is not uniform (Castruccio and Genton, 2016; Guinness and Hammerling, 2016).
71 For the feature prediction-based method, it use parametric functions to fit the data and predict the structure of data (Adhianto
72 et al., 2010; Cui et al., 2007). Then the function parameters are used to represent the original data in a compact form, reducing
73 the data volume. NUMARCK (Zheng et al., 2017), SSEM (Wilczyński, 2001), SPECK (Wang and Li, 2006), and ZFP
74 (Diffenderfer et al., 2019b) are typical methods that use the feature prediction to achieve lossy compression. It is worth
75 mentioning that the feature prediction-based compression method is highly dependent on the parametric function and the
76 prediction model. If the parametric function and the distribution of original data does not fit well, the performance of the
77 compression may poor. Furthermore, the parametric function and prediction model are typically data-adaptive, which means
78 it is difficult to adjust the compression parameters according to different constraints. To summarize, the performance of feature-
79 based lossy compression is heavily depended on the rules of feature extraction/filtering or feature prediction. However, we
80 have rare knowledge on how to define the rules of feature extraction/filtering or feature prediction to make the distribution of
81 compression error uniform, which is important for ESMD (Carmel, Y.,2004; Lyre, H. (2004). Linton, O.,2007).

82 Both description-based and feature-based lossy compression methods are mostly inherited from low dimensional data
83 compression methods (e.g. one-dimensional vector or two-dimensional images). None of these methods considers ESMD as
84 the high dimensional data with the heterogeneous correlation between different dimensions. For some ESMD variables like
85 temperature, solar and longwave flux, there are significant correlations between different dimensions, i.e., values in
86 neighboring ranges tend to be numerically close to each other. As the dimension of ESMD is commonly high (e.g. even an
87 ESMD with only one attribute and three spatial dimensions forms a four-dimensional data), the ignorance of the
88 multidimensional correlation structure results in low compression performance in terms of efficiency (Diffenderfer et al.,
89 2019a; Schoellhammer et al., 2004). Ignoring the control of such high dimensional correlation structure also makes it difficult
90 to keep the error distribution uniform in different dimensions. When there are the correlation structures in higher dimensions,
91 the uneven distribution of compression error enlarged and the impacts on the data quality becomes larger. So, it is urgent to
92 develop high dimensional lossy compression method to avoid uneven distribution in different dimensions (Tao et al., 2017b).

93

94 Tensors are well used to represent the multidimensional data. The corresponding tensor decomposition method eliminates
95 inconsistent, uncertain, and noisy data without destroying the intrinsic high dimensional correlation structures. With the small
96 sets of decomposed features from tensor decomposition, tensor reconstruction can approximate the original data as accurate
97 as possible (Li et al., 2018). Therefore, the tensor decomposition-based compression methods, with the only parameter “rank”
98 controlling the compression ratio and relative compression errors, has been gradually introduced into data compression in
99 recent years (Yuan et al., 2015). Among exiting tensor-based compression methods, the hierarchical tensor approximation,

100 could achieve higher quality at large compression ratios than traditional tensor methods through extracting data features level
101 by level (Linton and Xiao, 2001; Lyre, 2004). Yuan et al (2015) designed an improved hierarchical tensor method Hierarchical
102 Geospatial Field Data Representation (Blocked-HGFDR) to compress geospatial data in a hierarchical tree structure, showing
103 obvious advantages in compression ratio and error distribution than traditional methods like NetCDF-based data compression.
104 Nevertheless, Blocked-HGFDR only pays attention to global average error when assessing the compression quality. However,
105 it is possible the global average error is relatively small when the local error is large. Therefore, we need to work out how to
106 control the uniform distribution of local compression error of the Blocked-HGFDR method. In addition, Blocked-HGFDR is
107 only evaluated with eight climate variables, so research on the adaptivity and universality of Blocked-HGFDR to more
108 variables of ESMD is also required.

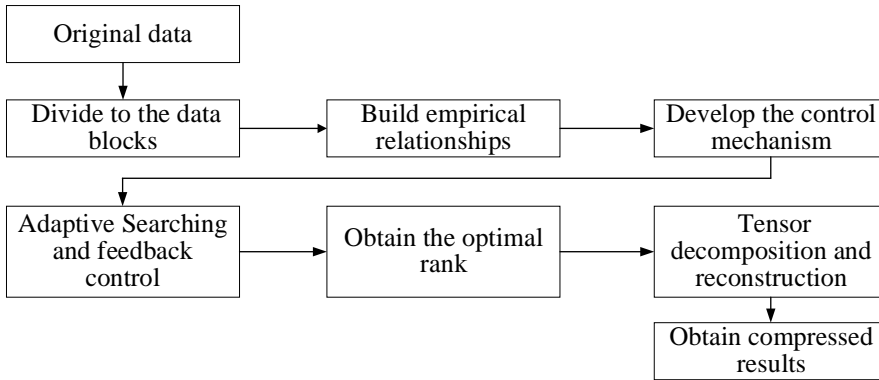
109 In this paper, we extend the study of Blocked-HGFDR by discussing the factors and constraints that affect the application of
110 Blocked-HGFDR in ESMD compression. We study the empirical quantitative relationship between compression errors and
111 compression parameters, and develop an Adaptive-HGFDR method based on an adaptive data block and rank adjustment
112 mechanism under accuracy constraints. Experiments on climate simulation dataset with 22 variables are carried out to evaluate
113 the performance and applicability of the methods in ESMD compression.

114 The remainder of this paper is organized as follows. Section 2 introduces the basic ideas about developing Adaptive-HGFDR.
115 Section 3 discusses the block mechanism, the relationship between the compression parameter and compression error, and the
116 fast search algorithm. Section 4 uses temperature data to verify that the method can obtain adaptive rank under accuracy
117 constraint. Section 5 discusses the effectiveness and computational efficiency of the method, as well as the results.

118 **2 Basic idea**

119 To adaptively control the compression error of Blocked-HGFDR, there are mainly three issues to consider. The first issue is
120 the dimensional imbalance of ESMD data. For example, the data in the temporal dimension is typically longer than that in the
121 spatial dimension for a spatio-temporal series with long observations. This dimensional imbalance not only enlarges the overall
122 fitting error during the data fitting process for tensor decompression, but also makes it difficult to achieve fine control of the
123 compression ratio and error distribution. Therefore, it is better to split the original data into small local data blocks, achieving
124 a more balanced dimension structure in each local data block. Then processing each local data block will make accurate
125 approximation and fine control of the compression error much easier. The second issue is the heterogeneity characteristics of
126 different variables or data blocks of ESMD. As the data distribution is different, the compression parameter of different
127 variables or data blocks should also be different. Therefore, conducting the relations between the compression error distribution
128 and the compression parameters with the consideration of data heterogeneity is in a high propriety. The third issue is that the
129 compression should meet different degrees of accuracy. Therefore, a feedback control mechanism which could modify the
130 compression parameter according to the accuracy demands should be developed.

131 Based on the above considerations, Our methods, Adaptive- HGFDR, is developed according to the following three procedures
 132 (Figure 1). Procedure 1: Splitting the original ESMD into small data blocks. In this procedure, the dimension to split the data
 133 and the optimized size of the data block is determined by conducting different combinations of data blocking in terms of the
 134 dimension and block counts. Procedure 2: Conducting the relationship between compression errors and compression
 135 parameters. In order to obtain a uniform distribution of the compression error for each data block, an empirical relationship
 136 between the compression error and the rank value is established, where the rank value for each data block can be adjusted at
 137 any given compression error. Procedure 3: Adaptive searching for the optimized compression parameters. A binary search
 138 method is used to search the optimal compression parameter, which is updated with a feedback control mechanism until the
 139 compression error meet the accuracy.



140

141 **Figure 1: . Overall framework of the basic idea..**

142 3 Method

143 3.1 Block hierarchical tensor compression

144 EMSD is a multidimensional array. It can be seen as a tensor with the spatio-temporal references and the associated attributes.
 145 Without loss of generality, a three-dimensional tensor can be defined as $Z \in \mathbb{R}^{I \times J \times K}$ (None, 1970; Suiker and Chang, 2000),
 146 where I , J , and K are values that represent the number of grids along the dimensions of longitude, latitude, and time (or
 147 height), respectively. These dimensions are always unbalanced due to the different spatial and temporal resolution. So the
 148 data block is introduced to reduce the impact of dimension unbalance on the data compression.

149

150 **Definition 1 Data block.** For the spatio-temporal data $Z \in \mathbb{R}^{I \times J \times K}$, it can be seen that it is composed of a series of local data
 151 with the same spatio-temporal reference. Here, each local data is defined as the data block as follow:

$$152 \text{part}(Z, n) = \{C_1, C_2, \dots, C_n\} \quad (1)$$

153 Here, $part(\cdot)$ is the function that partitions the original tensor \mathcal{Z} to a series of data block $\{C_i\}_{i=1}^m$, and each data block C_i
 154 includes local spatial and temporal information, and n is the number of data blocks. Compared with the original data, the
 155 dimensions of these data blocks are smaller that tend to be more balanced. For the divided data blocks, in order to construct a
 156 data compression with uniform error distribution, the key problem to be solved is how to compress each block data so that the
 157 error of each block data is close.

158

159 **Definition 2 Blocked-HGFDR.** Based on the divided data blocks, Yuan (Yuan et al., 2015) proposed the Blocked-HGFDR
 160 method based on the hierarchical tensor compression. In this method, the hierarchical tensor compression is applied to each
 161 block, then the hierarchical tensor compression of each data block is obtained by selecting the dominant feature component
 162 and filtering out the residual structure. This method utilizes the hierarchical structure of data features, greatly reducing data
 163 redundancy, and thereby achieving the efficient compression of the amount of spatiotemporal data (Yuan et al., 2015). The
 164 overall compression of the Blocked-HGFDR can be formulated as:

$$165 \begin{cases} H(A) = (U_R \otimes U_{R-1} \otimes \dots \otimes U_1) \tilde{B}_L \tilde{B}_{L-1} \dots \tilde{B}_1 B_{12\dots R} + res \\ \tilde{B}_j = B_{p_{Lj}} \otimes \dots \otimes B_{p_{Lj}} \quad j = \{1, 2, \dots, L\} \end{cases} \quad (2)$$

166 Similar to the prominent components obtained by SVD (Lathauwer et al., 2000; Springer, 2011) for two-dimensional data, the
 167 matrix U_R and the sparse transfer tensor B_R are considered to be the r -th component of a third-order tensor in each dimension,
 168 respectively, where R denotes the number of multi-domain features. The residual tensor, res , in Eq. (2) denotes information
 169 not captured by the decomposition model, and $(U_R \otimes U_{R-1} \otimes \dots \otimes U_1) \tilde{B}_L \tilde{B}_{L-1} \dots \tilde{B}_1 B_{12\dots R}$ in Eq. (2) is the reconstructed r -th core
 170 tensor and feature matrix (Matrices, 2006; Oseledets and Tyrtysnikov, 2009).

171 3.2 Adaptive parameter selection and solution

172 Since the feature structure of each divided data block is different (Hackbusch and Kühn, 2009), the key to control the stable
 173 distribution of compression error in Blocked-HGFDR is to adaptively select the compression parameter for each local data
 174 according to the given compression error. So the key step is to construct controlling mechanism based on the relationship
 175 between the compression error and compression parameter. Thus, the following terms are defined.

176

177 **Definition 3 The controlling mechanism.** Lars Grasedyck defines a hierarchal tensor SVD algorithm, and the approximate
 178 accuracy is determined by rank (Grasedyck, 2010). In Blocked-HGFDR, the relationship between the compression error and
 179 compression parameter ($Rank$) is given as $\varepsilon = \alpha Rank^{-\beta}$, thus the controlling mechanism to determine the compression
 180 parameter of each block data should be the rank value closest to the given error as follows:

$$181 \quad \varepsilon = \alpha Rank^{-\beta} \leq \varepsilon_{Given} \quad (3)$$

182 ε_{Given} is the given compression error that depends on different application scenarios; α, β are the coefficients depended on the
 183 structure and complexity of the data, which can be obtained by the simulation experiment for actual data.

184 In Blocked-HGFDR, the relationship between the compression ratio (φ) and compression parameter ($Rank$) are given as
 185 follows:

$$186 \quad \varphi = \frac{datasize}{aRank^3 + bRank^2 + cRank + d} \quad (4)$$

187 As shown in Eqs. (2), (3), and (4), in Blocked-HGFDR, with rank decreasing, the data compression ratio of Blocked-HGFDR
 188 increases, and the compression error also increases. In Blocked-HGFDR, the rank value of different blocks is fixed, it results
 189 in the fluctuation of the compression error in specific dimension. Since the structure of each block is different, to achieve a
 190 stable error distribution of compressed data for the given compression error, the key is to select the rank for each block of data
 191 separately. We can select the optimum parameter when the minimum $Rank$ is reached to make the compression error close to
 192 the given compression error.

193

194 **Definition 4 The fast search algorithm for the optimal rank.**

195 For Blocked-HGFDR, the rank value on each data block is the main parameter, which represents the number of the feature
 196 component in each block data. So the rank value can influence the data fitting performance as well as the compression ratio
 197 for each data block. Although we have empirical observation that large rank will lead to worse compression ratio and smaller
 198 compression error. The quantitative relations of different variables and data blocks are still unknown. With the empirical
 199 relationship between the compression error and the rank value, it is possible to calculate the optimal compression parameters
 200 for each data block at any given accuracy constraints to achieve uniform distribution of the compression errors. However, the
 201 heterogeneity of real data and the constraints of the compression require dynamic search and update of the optimized
 202 compression parameters.

203 To find the optimal parameter for data block C_i , with the above constructed controlling mechanism, the fast search algorithm
 204 based on dichotomy is constructed. That means before adjusting the rank each time, reduce the selection interval by half of the
 205 rank, the optimal rank coresponding to the given error is constantly approached in half. The algorithm is implemented as
 206 follows:

Algorithm: optimal parameter search algorithm based on dichotomy

Input: data block $C_i \in \mathbb{R}^{Q \times W \times E}$; given data error std_err ;

Output: the optimum rank R_Opt

Function Description: $EvalErr(C_i, r)$ is used to calculate the error of hierarchal tensor SVD of C_i at rank r based on Eqs.
 (4) and (6). $Round()$ is the rounding function; $Max()$ is the function which taking the maximum value

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1:  $R\_Max = \text{Max}(Q, W, E)$ ,  $R\_Min = 0$ 
2:  $R\_Mid = \text{Round}(\frac{R\_Max + R\_Min}{2})$ 
3:  $err = \text{EvalErr}(C_i, R\_Mid)$ 
4: While ( $err \neq \text{std\_err}$  &&  $R\_Max > R\_Min$ )
5:   If ( $err > \text{std\_err}$ )
6:      $R\_Min = R\_Mid + 1$ 
7:   Else
8:      $R\_Max = R\_Mid - 1$ 
9:   End If
10:   $R\_Mid = \text{Round}(\frac{R\_Max + R\_Min}{2})$ 
11:   $err = \text{EvalErr}(C_i, R\_Mid)$ 
12: End While
13: Return ( $R\_Opt = R\_Mid$ )

```

207 During the whole algorithm, the function $\text{EvalErr}(C_i, r)$ is the computing intensive function that could be the performance
208 bottleneck. If we consider a calculation of $\text{EvalErr}(C_i, r)$ as one meta calculation, the complexity of the traditional traversal
209 method is $O(n)$, when introducing the dichotomy optimization, the complexity can be reduced to $O(\log n)$
210 (Rouillier, F. et al. 2004).

211 4 Case study

212 4.1 Data description and experimental configuration

213 In this paper, data produced by Community Earth System Model are used as experimental data to evaluate the compression
214 performance of Adaptive-HGFDR, which can be obtained from Open Science Data Cloud in NetCDF (Network Common Data
215 Format)(<http://doi.org/10.5281/zenodo.3997216>). The data set includes air temperature data (T) stored as a
216 $1024 \times 512 \times 26$ (latitude \times longitude \times height) tensor and 22 other attributes stored as a $1024 \times 512 \times 221$ (latitude \times longitude
217 \times time) tensor from 1980/01 to 1998/05. When reading the NetCDF data, a total of 48GB memory will be occupied. Research
218 experiments were performed by the MATLAB R2017a environment on a Windows 10 Workstation (HP Compaq Elite 8380
219 MT) with Intel Core i7-3770 (3.4 GHz) processors and 8 GB of RAM.

220

221 The following experiments were performed: 1) simulations with different block counts were carried out to find the optimal
222 block counts ; 2) comparison of the compressed performance between the proposed method and the commonly used
223 compression methods was performed; 3) to show the applicability of the method, the compressions with the multiple variables
224 are performed. Two key indices are used to benchmark the performances: the relative compression error ratio and compression
225 ratio. The relative compression error ratio is calculated as:

$$\varepsilon = \frac{\|T_{\text{Original}} - T_{\text{Reconstruction}}\|_F}{\|T_{\text{Original}}\|_F^2} \quad (4)$$

228

229 Here, the $\|\cdot\|_F$ is the F norm. T_{Original} is the original tensor data, $T_{\text{Reconstruction}}$ is the compressed tensor data.

230 The compression ratio ϕ is calculated as:

$$\phi = \frac{D_{\text{original}}}{D_{\text{compression}}} \quad (5)$$

232 Here, D_{original} is the memory size of original data before compression, $D_{\text{compression}}$ is the memory size of Compressed
233 reconstructed data.

234 4.2 Optimal block count selection

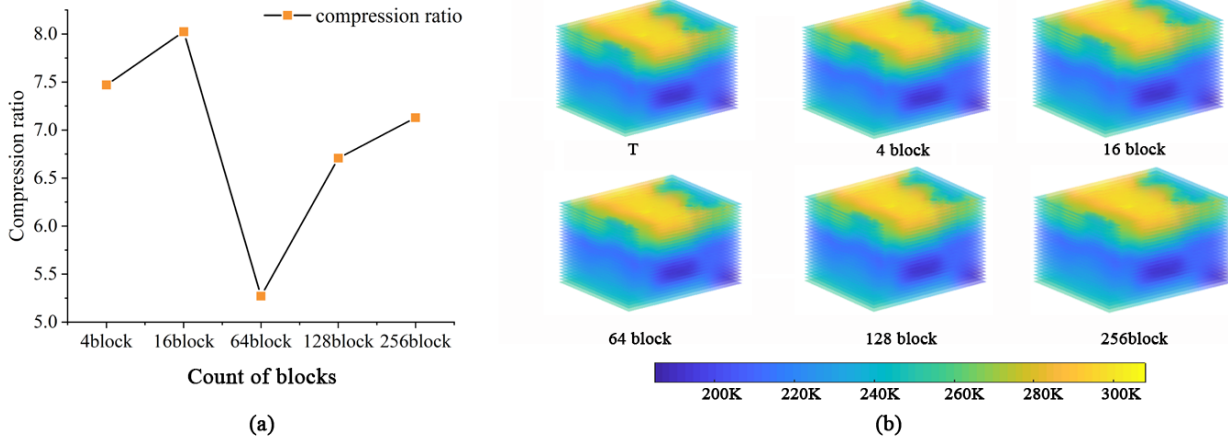
235 The block counts denotes the number of block data, which will affect the data fitting performance, the compression ratio and
236 the complexity of file system I/O (input/output). More blocks may achieve smaller rank for accurate fit of each block and finer
237 control of the error distribution of overall compression but may lead to more parameters and file system I/O. On the contrary,
238 if the block counts is small, the heterogeneity in one data block may be large, which will result in a low accuracy of data fitting
239 and may require higher rank for the compression. Therefore, selection an optimal block counts to meet the largest compression
240 ratio. Here, we randomly divide original data into a series of data blocks with different block counts. The data with different
241 block counts are then compressed to meet the demand of the given compression error. By comparing the compression ratios,
242 the optimal block count is determined at the largest compression ratio.

243 We demonstrate the selection of the optimal block count with the temperature data (T). Firstly, the data for each block is better
244 to have the same size. And the block counts with a power of 2 will be best to fit as the near balanced data blocking. Therefore,
245 a series of block counts of 4, 16, 64, and 128, 256 are generated as potential block counts. Secondly, the given compression
246 error may affect the optimal block count, we will setup an initial given compression error of 10^{-4} to conduct the experiments.

247 The effect of block counts (BC) on the compression ratio is shown in Figure 2(a). Clearly, the highest compression ratio is
248 reached when block counts equals to 16 (BC=16). Hence, the optimum block count is 16, and the corresponding block size is

249 $256 \times 128 \times 26$. Interesting things can be revealed that the overall compression ratio presents a downward trend with BC in
 250 the range 16 and 64. When BC is larger than 64, the data volume of each block becomes smaller, and the number of feature
 251 components required to achieve the same compression error significantly decrease, so the data volume of each block after
 252 compression significantly decreases. Although the number of blocks is increasing (BC=128 and BC=256), the significant
 253 reduction of local block data volume makes the overall compression ratio show an upward trend. Besides that, the relationship
 254 between the block counts and compression ratio is related to the structure and complexity of the data itself, which is different
 255 for the data with different distribution characteristics. For the temperature data (T), the compression ratio reaches a maximum
 256 when the block count is set to 16.

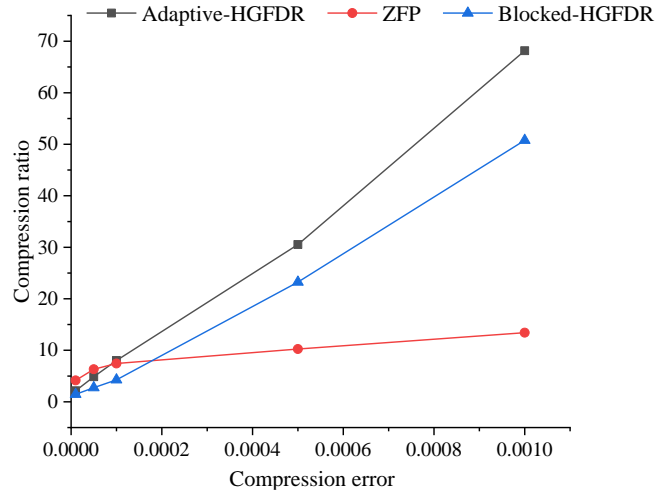
257 Figures 2(b) show the comparison between the original data and the compressed data with different block counts. It can be
 258 seen there's no significant difference. This may be because that the prominent feature components are gradually added to
 259 approximate the original data to affect the compression error, no matter how many blocks are, the proposed method can
 260 approach the given compression error by controlling the rank value to provide the accurate compression results.



261
 262 **Figure 2. Original data and compression with different block counts: (a) The compression ratio change with different number of**
 263 **blocks; (b) original and reconstruction data of temperature (T) with different blocks**

264 4.3 Comparison with traditional methods

265 To verify the advantage of the proposed compression method for ESMD, we compare Adaptive-HGFDR with the Blocked-
 266 HGFDR and the classical ZFP method. Here, the block counts in the proposed method and Blocked-HGFDR method are both
 267 set as 16, and the rank in Blocked-HGFDR is selected as the average of the adaptive rank in each divided block data. The
 268 compression error and compression ratio of the zfp algorithm are affected by the tolerance parameter, which is set to 0.5.
 269 Without loss of generality, the relative compression error ratios are set as 10^{-5} , 5×10^{-5} , 10^{-4} , 5×10^{-4} , 10^{-3} respectively. The
 270 compression ratios of different compression methods are shown in Figure 3.



271
 272 **Figure 3. Compression ratio versus compression error for different methods.**

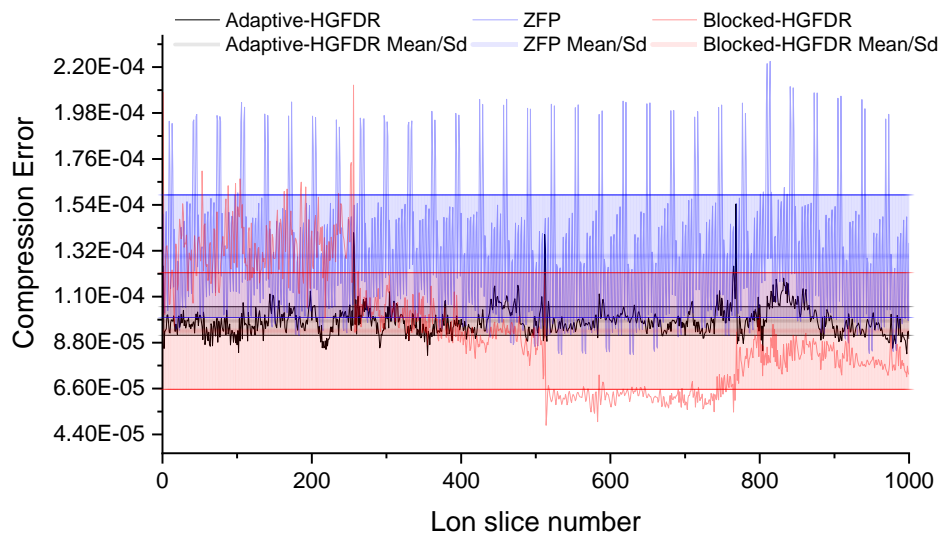
273 Figure 3 shows that as the relative compression error ratio grows, the compression ratio of all three methods becomes larger
 274 and larger. However, the growth rate of ZFP is much slower than that of Blocked-HGFDR and Adaptive-HGFDR. When the
 275 compression error is less than 0.0001, the compression ratio of ZFP is a little higher than that of Adaptive-HGFDR and
 276 Blocked-HGFDR. This may be because the fitting of original data with high accuracy may request higher rank, which limits the
 277 improvement of compression ratio. When the compression error is 0.001, which is also acceptable for most ESMD data
 278 application, the compression ratio of Adaptive-HGFDR increase to 68.16, which means the compressed data size is 68.16
 279 times smaller than that of original data. At the compression error of 0.001, the compression ratio of Adaptive-HGFDR, ZFP
 280 and Blocked-HGFDR are 68.16, 13.42 and 50.78, respectively. The compression ratio of Adaptive-HGFDR is 5.07 times and
 281 1.34 times larger than that of ZFP and Blocked-HGFDR. These may be because the Adaptive-HGFDR can adaptively adjust the
 282 compression parameter (rank value) according to the actual data complexity, and thus better capture data features to improve
 283 the compression ratio.

284 As the heterogeneity of compression error distribution is important for the data quality of lossy compression, we summarize
 285 the error distribution along the longitude dimension as well as the mean value and standard deviation of each method in Figure
 286 4. It is clearly seen that the error distribution of both Adaptive-HGFDR and ZFP are nearly uniform among different longitude
 287 dimensions. However, the Blocked-HGFDR shown significant four segments of abrupt changes at different longitude slices.
 288 The oscillation characteristic of the three methods are different. For Adaptive-HGFDR, the error distribution are more acted
 289 as low-frequency fluctuations while ZFP method are more as higher frequency fluctuations. The Blocked-HGFDR has very
 290 different fluctuations characteristics. For the first 0-230 longitude slices, the error distribution of Blocked-HGFDR is of high
 291 frequency fluctuations with relatively high frequency, which is similar to ZFP, while in the rest three segments, it has low
 292 amplitude, which has similar fluctuations as Adaptive-HGFDR. The error distribution of Adaptive-HGFDR is nearly
 293 symmetrical above and below the mean value, while the other two methods are not. To numerically comparison of the mean

294 value and standard deviation of the error distribution among the three methods. The Adaptive-HGFDR has much smaller
 295 standard deviation (6.89×10^{-6}), compared with ZFP (2.94×10^{-5}) and Blocked-HGFDR (2.80×10^{-5}). The Blocked-HGFDR has
 296 the smallest mean compression error (9.35×10^{-5}), which slightly lower than Adaptive-HGFDR (9.83×10^{-5}). While ZFP has the
 297 largest mean compression error (1.29×10^{-4}).

298 Both Blocked-HGFDR and Adaptive-HGFDR show the small difference between the adjacent slices and the big difference
 299 among the different local block data. Due to the spatio-temporal heterogeneity, the feature distributions of each local ESMD
 300 are significantly different, but the feature distributions of adjacent slices have a small difference because of the spatio-temporal
 301 similarity. Meanwhile, since the adjacent compressed slice data have similar characteristics, the error fluctuation of these slices
 302 is small. On the contrary, the structure difference of each compressed local block data is large, the error fluctuation is also
 303 large. In Blocked-HGFDR, the compression parameters of each block are fixed, and the characteristic difference of data of
 304 each block is ignored. This weakness is improved in Adaptive-HGFDR by making each block adjust the compression
 305 parameters adaptively according to the compression error to achieve the balanced distribution of error. Although the Blocked-
 306 HGFDR performs substantially better for several slice numbers, the adaptive HGFDR shows less variations.

307



308

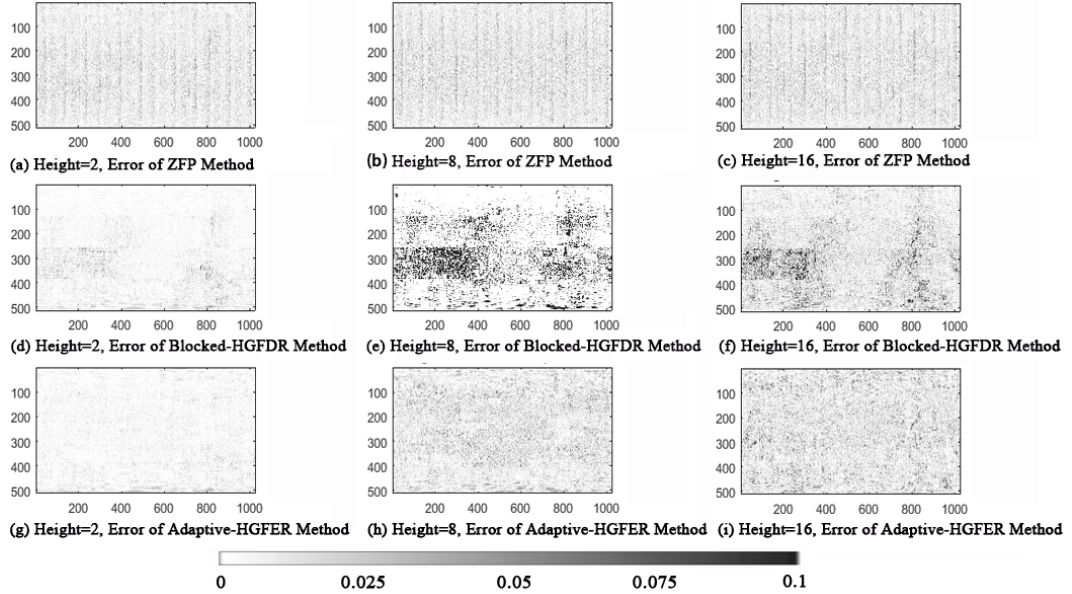
309

310 **Figure 4. Compression error distribution of three compression methods on longitudinal slices(the slice means the partial data that**
 311 **divided along specific dimensions)**

312

313 To better reveal the characteristics of the compression error distributions, three random spatial pieces (Height 2,8 and 16) of
 314 the detailed distributions of spatial error are depicted in Figure 5. From Figure 5, we can see that the spatial structure of the
 315 data is different at different height, there are both continues and abrupted structure changes at different levels. Specifically, the
 316 compression error in the Blocked-HGFDR method and the ZFP method fluctuates dramatically, forming multiple peaks and

317 valleys. The error distributions of ZFP suggest there are high frequency stripes. There are irregular spatial patterns for Blocked-
 318 HGFDR. The Adaptive-HGFDR method is more stable where the error distribution is nearly random. Additionally, for spatial
 319 structure of the data is different at different height, there are both continues and abrupted structure changes at different levels.



320
 321 **Figure 5. Spatial compression distribution of compression error for three compression methods.**

322 4.4 Evaluation with multiple variables

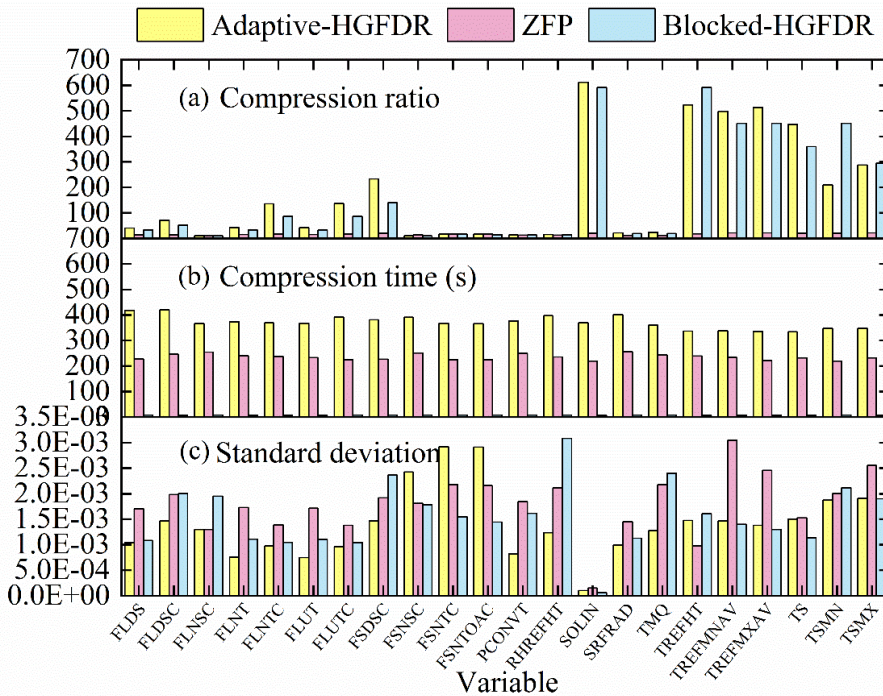
323 For a comprehensive comparison of the different methods, 22 monthly climate model data were used as experimental data.
 324 Here, we focus on the variables with flux information and fast changing. Among these variables, there are variables with weak
 325 spatio-temporal heterogeneity such as the temperature, and the variables with strong spatio-temporal heterogeneity, which will
 326 help to better demonstrate the applicability of the method. The dimension of experimental data is $1024 \times 512 \times 221$. Here,
 327 considering that the compression error and compression performance of each variable can be comparable, the compression
 328 error should be not too big or too small for all the 22 variables, the given error is 0.01, the block counts is $256 \times 128 \times 26$, and
 329 the block count is 144. A detailed description of the variables is shown in Table 1.

330 **Table 1: 22 Descriptions of climate model data variables.**

Variable name	Variable description	Variable name	Variable description
FLDS	Downwelling longwave flux at the surface	PCONVT	Convection top pressure
FLDSC	Clearsky downwelling longwave flux at surface	RHREFHT	Reference height relative humidity
FLNSC	Clearsky net longwave flux at surface	SOLIN	Solar insolation
FLNT	Net longwave flux at top of model	SRFRAD	Net radiative flux at surface
FLNTC	Clearsky net longwave flux at top of model	TMQ	Total (vertically integrated) precipitable water

FLUT	Upwelling longwave flux at top of model	TREFHT	Reference height temperature
FLUTC	Clearsky upwelling longwave flux at top of model	TREFMNAV	Average of TREFHT daily minimum
FSDSC	Clearsky downwelling solar flux at surface	TREFMXAV	Average of TREFHT daily maximum
FSNSC	Clearsky net solar flux at surface	TS	Surface temperature (radiative)
FSNTC	Clearsky net solar flux at top of model	TSMN	Minimum surface temperature over output period
FSNTOAC	Clearsky net solar flux at top of atmosphere	TSMX	Maximum surface temperature over output period

331 The Adaptive-HGFDR, Blocked-HGFDR, and ZFP method were applied to the 22 variables. The compression ratio, time, and
332 standard deviation of the slice error were calculated and shown in Figure 6. Form Figure 6(a), it can be seen that, compared
333 with the other two methods, the compression ratio of Adaptive-HGFDR is the largest. This may because Adaptive-HGFDR
334 considers the coupling relationship among the spatial-temporal dimensions and search for optimal compression parameters at
335 each data blocks. This not only makes the number of features required by each data block is small, but also makes the effect
336 of data heterogeneity on the compression ratio least. Adaptive-HGFDR captures the data features more accurate than the other
337 two methods. The adaptive adjustment of parameters makes Adaptive-HGFDR yield the uniform error distribution for the
338 multiple variables shown in Figure 6(c). In summary, Adaptive-HGFDR provides good adaptability for ESMD. Additionally,
339 the figure 6(a) also shows that the tensor-based compression methods (Adaptive-HGFDR, Blocked-HGFDR) have the high
340 compression ratios for some variables, it may because for tensor-based compression, the relationship between data volume and
341 dimensions is transformed from exponential growth to nearly linear growth by defining the tensor product of tensors, which is
342 essentially the displacement of space by calculating time, so the compression ratio is very high. Also, we can see that with the
343 given compression error, the compression rates of different variables are significant different. It may because different climate
344 model variables have different distribution features. Generally speaking, for the variables with weak spatio-temporal
345 heterogeneity, a small number of feature components can well achieve the accurate approximation that have the high
346 compression rate. While, the variables with strong spatio-temporal heterogeneity may need a large number of feature
347 components that have the low compression rate. Due to the continuous adjustment of compression parameters to search for the
348 optimal rank, Adaptive-HGFDR is the most time consuming [Figure 6 (b)]. Despite this, some optimization strategies, such as
349 the spatiotemporal indexes and the unbalanced block split, can help improving the efficiency of Adaptive-HGFDR.



350

351 **Figure 6. Comparison of the compression results of three compression methods for 22 variables: (a) Compression ratio comparison;**
 352 **(b) compression time comparison; (c) standard deviation comparison of slice error.**

353 **5 Conclusion**

354 In this paper, the Adaptive-HGFDR method is developed for ESMD compression. Adaptive-HGFDR achieves the uniform
 355 distribution of compression error through blocking data, conducting empirical relationship and searching optimal compression
 356 parameters. With the adaptive adjustment of the local compression parameters, our proposed method has better compression
 357 performance compared with the traditional methods. Specially, Adaptive-HGFDR can not only maintain a larger compression
 358 ratio under the same overall compression error, but can also make the compression error distribution more uniform in all
 359 dimensions of the data. Our method is also evaluated with multiple variables. The results show that Adaptive-HGFDR performs
 360 well for all 22 variables, indicating the method has large potential to be a universal compression method for high-dimensional
 361 data. Additionally, the tensor method can also suitable for in situ observation data, even the data is sparse (Li, D. et al. 2020a),
 362 and the data fusion and data synthesis (Wang, P. et al. 2019; Akl, A. et al. 2015). The proposed method can be also applied to
 363 sensor data time series. With any data that can be represented as a tensor, it can be compressed with this method. We have also
 364 tried to extend the method to be fit for the irregular data that has arbiter boundaries or sparse data (Li, D. et al. 2019). From
 365 the perspective of mathematical foundation, tensor can not only support the multidimensional structure but also detect the
 366 multidimensional coupling feature. Besides that, the tensor can support many kinds of unstructured multidimensional data with
 367 a strict mathematical theory (Li, D. et al. 2020). The current main problem is how to construct the concise and efficient

368 algorithm, and found, validation, and solve the core science and technology problem of tensor compression in the practical
369 application. we believe that tension-based spatiotemporal data compression must be an important research direction in the
370 future data management of earth system models.

371 **Code and data availability.** The Adaptive-HGFDR lossy compression algorithm proposed in this paper was conducted out in
372 MATLAB R2017a. The exact version of Adaptive-HGFDR and experimental data used in this paper is archived on Zenodo
373 (AndyWZJ, 2020; Zhengfang Zhang, 2020). Climate model data [Data set]. Zenodo. <http://doi.org/10.5281/zenodo.3997216>.
374 The experimental data are Large-scale Data Analysis and Visualization Symposium Data obtained from (OSDC) Open Science
375 Data Cloud. This data set consists of files from a series of global climate dynamics simulations run on the Titan supercomputer
376 at Oak Ridge National Laboratory in 2013 by postdoctoral researcher Abigail Gaddis, Ph.D. The simulations were performed
377 at approximately 1/3-degree spatial resolution, or a mesh size of 1024x512 for 2D. We downloaded this simulation data in the
378 common NetCDF (network Common Data Form) format in 2016 from <https://www.opensciencedatacloud.org/>.

379 **Author contribution.** Zhaoyuan Yu, Linwang Yuan and Wen Luo designed the paper's ideas and methods. Zhengfang Zhang
380 and Yuan Liu implemented the method of the paper with code. Zhaoyuan Yu, Zhengfang Zhang and Dongshuang Li wrote the
381 paper with considerable input from Linwang Yuan. Uzair Aslam Bhatti revised and checked the language of the paper.

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