



1 Towards a model for structured mass movements: the

2 OpenLISEM Hazard model 2.0a

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10

11 Abstract

Mass movements such as debris flows and landslide differ in behavior due to their material properties and
 internal forces. Models employ generalized multi-phase flow equations to adaptively describe these complex

14 flow types. However, models commonly assume unstructured and fragmented flow after initiation of movement.

15 In this work, existing work on two-phase mass movement equations are extended to include a full stress-strain 16 relationship that allows for runout of (semi-) structured fluid-solid masses. The work provides both the three-

16 relationship that allows for runout of (semi-) structured fluid-solid masses. The work provides both the threedimensional equations and depth-averaged simplifications. The equations are implemented in a hybrid Material

Point Method (MPM) which allows for efficient simulation of stress-strain relationships on discrete smooth

19 particles. Using this framework, the developed model is compared to several flume experiments of clay blocks

20 impacting fixed obstacles. Here, both final deposit patterns and fractures compare well to simulations.

21 Additionally, numerical tests are performed to showcase the range of dynamical behavior produced by the

22 model. Important processes such as fracturing, fragmentation and fluid release are captured by the model. While

this provides an important step towards complete mass movement models, several new opportunities arise such

24 as ground-water flow descriptions and application to fragmenting mass movements and block-slides.

25





26 Introduction

27 The earths rock cycle involves sudden release and gravity-driven transport of sloping materials. These 28 mass movements have a significant global impact in financial damage and casualties (Nadim et al., 2006; 29 Kjekstad & Highland, 2009). Understanding the physical principles at work at their initiation and runout phase 30 allows for better mitigation and adaptation to the hazard they induce (Corominas et al., 2014). Many varieties of 31 gravitationally-driven mass movements have been categorized according to their material physical parameters 32 and type of movement. Examples are slides, flows and falls consisting of soil, rocks or debris (Varnes, 1987). 33 Major factors in determining the dynamics of mass movement runout are the composition of the moving material and the forces during initiation and runout. Physically-based models attempt to describe the internal and external 34 35 forces of all these mass movements in a generalized form (David & Richard, 2011; Pudasaini, 2012; Iverson & 36 George, 2014). This allows these models to be applied to a wide variety of cases, while improving predictive 37 range.

38 Dynamics of geophysical flows are complex and depend on a variety of forces due to their multi-phase 39 interactions (Hutter et al., 1996). Generally, understanding and prediction of geophysical flows takes place 40 through numerical modelling of the flow. A variety of both one, two and three- dimensional sets of equations 41 exist to describe the advection and forces that determine the dynamics of geophysical flows. Examples that 42 simulated a single mixed material (Rickenmann et al., 2006; O'Brien et al., 2007; Luna et al., 2012; van Asch et 43 al., 2014). Two phase models describe both solids, fluids and their interactions and provide additional detail and generalize in important ways (Sheridan et al., 2005; Pitman & Le, 2005; Pudasaini, 2012; George & Iverson, 44 45 2014; Mergili et al., 2017). Recently, a three-phase model has been developed that includes the interactions 46 between small and larger solid phases (Pudasaini & Mergili, 2019). Typically, implemented forces include 47 gravitational forces and, depending on the rheology of the equations, drag forces, viscous internal forces and a 48 plasticity-criterion.

49 A major assumption made for current models is the a fully mixed and fragmented nature of the material 50 (Iverson & Denlinger 2001; Pudasaini & Hutter, 2003). This assumption is invalid for any structured mass 51 movement. Observations of mass movement types indicate that mixing and fracturing is not a necessary process 52 (Varnes, 1987). Instead, block or slide movement can retain structure during their dynamic stage, as the material 53 is able to resists the internal deformation stresses. Some models do a non-Newtonian viscous yield stress based 54 on depth-averaged strain estimations (Boetticher et al., 2016; Fornes et al., 2017; Pudasaini & Mergili, 2019). 55 However, this approach lacks the process of fragmentation and internal failure. Thus, within current mass 56 movement models, there might be improvements available from assuming non-fragmented movement. This 57 would allow for description of structured mass movement dynamics.

58 The general importance of the initially structured nature of mass movement material is observed for a 59 variety of reasons. First, block slides are an important subset of mass movement types (Hayir, 2003; Beutner et 60 al., 2008; Tang et al., 2008). This type of mass movement features some cohesive structure to the dynamic 61 material in the movement phase. Secondly, during movement, the spatial gradients in local acceleration induce strain and stress that results in fracturing. This process, often called fragmentation in relation to structured mass 62 63 movements, can be of crucial importance for mass movement dynamics (Davies & McSaveney, 2009; Delaney 64 & Evans, 2014; Dufresne et al., 2018; Corominas et al. 2019). Lubricating effect from basal fragmentation can 65 enhance velocities and runout distance significantly (Davies et al., 2006; Tang et al., 2009). Otherwise, 66 fragmentation generally influences the rheology of the movement by altering grain-grain interactions (Zhou et 67 al., 2005). The importance of structured material dynamics is further indicated by engineering studies on rock 68 behavior and fracture models (Kaklauskas & Ghaboussi, 2001; Ngekpe et al., 2016; Dhanmeher, 2017)

In this paper, existing two-phase generalized debris flow equations are adapted to describe runout of a
 arbitrarily structured two-phase Mohr-Coulomb material. The second section of this work provides the
 derivation of the extensive set of equations that describe structured mass movements in a generalized manner.
 The third section validates the developed model by comparison with results from controlled flume runout
 experiments. Additionally, this section shows numerical simulation examples that highlight fragmentation
 behavior and its influence on runout dynamics. Finally, in section four, a discussion on the potential usage of the
 presented model is provided together with reflection on important opportunities of improvement.

76 1. A set of debris flow equations incorporating internal structure

1.1 Structured mass movements

77

Initiation of gravitational mass flows occurs when sloping material is released. The instability of such
 materials is generally understood to take place along a failure plane (Zhang et al., 2011, Stead & Wolter, 2015).
 Along this plane, forces exerted due to gravity and possible seismic accelerations can act as a driving force
 towards the downslope direction, while a normal-force on the terrain induces a resisting force (Xie et al., 2006).





When internal stress exceeds a specified criteria, commonly described using Mohr-Coulomb theory, fracturing
 occurs, and the material becomes dynamic. Observations indicate material can initially fracture predominantly at
 the failure plane (Tang et al., 2009 Davies et al., 2006). Full finite-element modelling of stability confirms no
 fragmentation occurs at initiation, and runout can start as a structured mass (Matsui & San, 1992; Griffiths &
 Lane, 1999).

87 Once movement is initiated, the material is accelerated. Due to spatially non-homogeneous acceleration, 88 either caused by a non-homogeneous terrain slope, or impact with obstacles, internal stress can build within the 89 moving mass. The stress state can reach a point outside the yield surface, after which some form of deformation 90 occurs (e.g. Plastic, Brittle, ductile) (Loehnert et al., 2008). In the case of rock or soil material, elastic/plastic 91 deformation is limited and fracturing occurs at relatively low strain values (Kaklauskas & Ghaboussi, 2001; 92 Dhanmeher., 2017). Rocks and soil additionally show predominantly brittle fracturing, where strain increments 93 at maximum stress are small (Bieniawaski, 1967; Price, 2016; Husek et al., 2016). For soil matrices, cohesive 94 bonds between grains originate from causes such as cementing, frictionl contacts and root networks (Cohen et 95 al., 2009). Thus, the material breaks along either the grain-grain bonds or on the molecular level. In practice, this 96 processes of fragmentation has been both observed and studied frequently. Cracking models for solids use stress-97 strain descriptions of continuum mechanics (Menin et al., 2009; Ngekpe et al., 2016). Fracture models frequently 98 use Smooth Particle Hydrodynamics (SPH) since a Lagrangian, meshfree solution benefits possible fracturing 99 behavior (Maurel & Combescure, 2008; Xu et al., 2010; Osorno & Steeb, 2017). Within the model developed 100 below, knowledge from fracture-simulating continuum mechanical models is combined with finite element fluid 101 dynamic models.

1.2 Model description

103 We define two phases, solids and fluids, within the flow, indicated by *s* and *f* respectively. A specified 104 fraction of solids within this mixture is at any point part of a structured matrix. This structured solid phase, 105 indicated by *sc* envelops and confines a fraction of the fluids in the mixture, indicates as *fc*. The solids and 106 fluids are defined in terms of the physical properties such as densities (ρ_f , ρ_s) and volume fractions ($\alpha_f =$ 107 $\frac{s}{f+s}$, $\alpha_s = \frac{f}{f+s}$). The confined fractions of their respective phases are indicated as f_{sc} and f_{fc} for the volume 108 fraction of confined solids and fluids respectively (Equations 1,2 and 3).

109 1. $\alpha_s + \alpha_f = 1$

102

110 2.
$$\alpha_s(f_{sc} + (1 - f_{sc})) + \alpha_f(f_{fc} + (1 - f_{fc})) = 1$$

111 3.
$$(f_{sc} + (1 - f_{sc})) = (f_{fc} + (1 - f_{fc})) = 1$$

112 For the solids, additionally internal friction angle (ϕ_s) and effective (volume-averaged) material size 113 (d_s) are defined. We additionally define $\alpha_c = \alpha_s + f_{fc}\alpha_f$ and $\alpha_u = (1 - f_{fc})\alpha_f$ to indicate the solids with 114 confined fluids and free fluid phases respectively. These phases have a volume-averaged density ρ_{sc} , ρ_f . We let 115 the velocities of the unconfined fluid phase $(\alpha_u == (1 - f_{fc})\alpha_f)$ be defined as $u_u = (u_u, v_u)$. We assume 116 velocities of the confined phases $(\alpha_c = \alpha_s + f_{fc}\alpha_f)$ can validly be assumed to be identical to the velocities of 117 the solid phase, $u_c = (u_c, v_c) = u_s = (u_s, v_s)$. A schematic depiction of the represented phases is shown in 118 Figure 1.







 $+ M_{DG} + M_{vm}$

119

Figure 1 A schematic depiction of the flow contents. Both structured and unstructured solids are
 present. Fluids can be either free, or confined by the structured solids.

A major assumption is made here concerning the velocities of both the confined and free solids (sc and
s), that have a shared averaged velocity (u_s). We deliberately limit the flow description to two phases, opposed
to the innovative work of Pudasaini & Mergili (2019) that develop a multi-mechanical three-phase model. This
choice is motivated by considerations of applicability (reducing the number of required parameters), the infancy
of three-phase flow descriptions and finally the general observations of the validity of this assumption (Ishii,
1975; Ishii & Zuber, 1979; Drew, 1983; Jakob et al, 2005; George & Iverson, 2016).

128 The movement of the flow is described initially by means of mass and momentum conservation129 (Equations 4 and 5).

130 4.
$$\frac{\partial \alpha_c}{\partial t} + \nabla \cdot (\alpha_c \boldsymbol{u}_c) = 0$$

131 5. $\frac{\partial \alpha_u}{\partial t} + \nabla \cdot (\alpha_u \boldsymbol{u}_u) = 0$

Here we add the individual forces based on the work of Pudasaini & Hutter (2003), Pitman & Le
(2005), Pudasaini (2012), Pudasaini & Fischer (2016) and Pudasaini & Mergili (2019) (Equations 6 and 7).

134 6.
$$\frac{\partial}{\partial t}(\alpha_c \rho_c \boldsymbol{u}_c) + \nabla \cdot (\alpha_c \rho_c \boldsymbol{u}_c \otimes \boldsymbol{u}_c) = \alpha_c \rho_c \boldsymbol{f} - \nabla \cdot \alpha_c \boldsymbol{T}_c + p_c \nabla \alpha_c + \boldsymbol{u}_c \otimes \boldsymbol{u}_c$$

135 7. $\frac{\partial}{\partial t}(\alpha_c \rho_c \boldsymbol{u}_c) + \nabla \cdot (\alpha_c \rho_c \boldsymbol{u}_c \otimes \boldsymbol{u}_c) = \alpha_c \rho_c \boldsymbol{f} - \nabla \cdot \alpha_c \boldsymbol{T}_c + p_c \nabla \alpha_c + \boldsymbol{u}_c \otimes \boldsymbol{u}_c$

7.
$$\frac{\partial}{\partial t} (u_u p_f u_u) + \sqrt{(u_u p_f u_u)} = u_u p_f J - \sqrt{(u_u u_u)} + p_f \sqrt{(u_u - m_{DG} - m_{vm})}$$

136 Where f is the body force (among which is gravity), M_{DG} is the drag force, M_{vm} is the virtual mass 137 force and $T_{c_1}T_{u}$ are the stress tensors for solids with confined fluids and unconfined phases respectively. The 138 virtual mass force described the additional work required by differential acceleration of the phases. The drag 139 force describes the drag along the interfacial boundary of fluids and solids. The body force describes external 140 forces such as gravitational acceleration and boundary forces. Finally, the stress tensors describe the internal 141 forces arising from strain and viscous processes. Both the confined and unconfined phases in the mixture are 142 subject to stress tensors $(T_c, \text{ and } T_u)$, for which the gradient acts as a momentum source. Additionally, we follow 143 Pudasaini (2012) and add a buoyancy force $(p_c \nabla \alpha_c \text{ and } p_f \nabla \alpha_u)$.

144 Stress Tensors, Describing internal structure

145Based on known two-phase mixture theory, the internal and external forces acting on the moving146material are now set up. This results in several unknowns such as the stress tensors (T_c and T_u , described by the147constitutive equation), the body force (f), the drag force (M_{DG}) and the virtual mass force (M_{VM}). This section148will first describe the derivation of the stress tensors. These describe the internal stress and viscous effects. To149describe structured movements, these require a full stress-strain relationship which is not present in earlier150generalized mass movements model. Afterwards, existing derivation of the body, drag and virtual mass force are151altered to conform the new constitutive equation.

Our first step in defining the momentum source terms in equations 6 and 7 is the definition of the fluid
 and solid stress tensors. Current models typically follow the assumptions made by Pitman & Le (2005), who
 indicate: "Proportionality and alignment of the tangential and normal forces are imposed as a basal boundary





condition is assumed to hold throughout the layer of flowing material ... following Rankine (1857) and Terzaghi
(1936), an earth pressure relation is assumed for diagonal stress components". Here, the earth pressure
relationship is a vertically-averaged analytical solution for lateral forces exerted by an earth wall. Thus,
unstructured columns of moving mixtures are assumed. Here, we aim to use the full Mohr-Coulomb relations.
Describing the internal tress of soil and rock matrices is commonly achieved be elastic-plastic simulations of the

materials stress-strain relationship. Since we aim to model a full stress description, the stress tensor is equal tothe elasto-plastic stress tensor (Equation 8).

162 8. $T_c = \sigma$

163 Where σ is the elasto-plastic stress tensor for solids. The stress can be divided into the deviatoric and 164 non-deviatoric contributions (Equation 9). The non-deviatoric part acts normal on any plane element (in the 165 manner in which a hydrostatic pressure acts equal in all directions). Note that we switch to tensor notation when 166 describing the stress-strain relationship. Thus, superscripts (α and β) represent the indices of basis vectors (x, y 167 or z axis in Euclidian space), and obtain tensor elements. Additionally, the Einstein convention is followed 168 (automatic summation of non-defined repeated indices in a single term).

169 9.
$$\sigma^{\alpha\beta} = s^{\alpha\beta} + \frac{1}{3}\sigma^{\gamma\gamma}\delta^{\alpha\beta}$$

170

Where *s* is the deviatoric stress tensor and $\delta^{\alpha\beta} = [\alpha = \beta]$ is the Kronecker delta.

171Here, we define the elasto-plastic stress (σ) based on a generalized Hooke-type law in tensor notation172(Equation 10 and 11) where plastic strain occurs when the stress state reaches the yield criterion (Spencer, 2004;173Necas & Hiavecek, 2007; Bui et al., 2008).

174 10.
$$\dot{\epsilon}_{elastic}^{\alpha\beta} = \frac{\delta^{\alpha\beta}}{2G} + \frac{1-2\nu}{E} \dot{\sigma}^m \delta^{\alpha\beta}$$

175 11. $\dot{\epsilon}_{plastic}^{\alpha\beta} = \lambda \frac{\partial g}{\partial \sigma^{\alpha\beta}}$

176 Where $\dot{\epsilon}_{elastic}$ is the elastic strain tensor, $\dot{\epsilon}_{plastic}$ is the plastic strain tensor, $\dot{\sigma}^m$ is the mean stress rate 177 tensor, ν is Poisson's ratio, *E* is the elastic Young's Modulus, *G* is the shear modulus, \dot{s} is the deviatoric shear 178 stress rate tensor, $\dot{\lambda}$ is the plastic multiplier rate and *g* is the plastic potential function. Additionally, the strain 179 rate is defined from velocity gradients as equation 12.

180 12.
$$\dot{\epsilon}_{total}^{\alpha\beta} = \dot{\epsilon}_{elastic}^{\alpha\beta} + \dot{\epsilon}_{plastic}^{\alpha\beta} = \frac{1}{2} \left(\frac{\partial u_c^{\alpha}}{\partial x^{\beta}} - \frac{\partial u_c^{\beta}}{\partial x^{\alpha}} \right)$$

181 By solving equations 9, 10 and 11 for $\dot{\sigma}$, a stress-strain relationship can be obtained (Equation 13) (Bui 182 et al., 2008).

183 13.
$$\dot{\sigma}^{\alpha\beta} = 2G\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} + K\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} - \dot{\lambda}\left[\left(K - \frac{2G}{3}\right)\frac{\partial g}{\partial\sigma^{mn}}\delta^{mn}\delta^{\alpha\beta} + 2G\frac{\partial g}{\partial\sigma^{\alpha\beta}}\right]$$

184 Where \dot{e} is the deviatoric strain rate $(\dot{e}^{\alpha\beta} = \dot{e}^{\gamma\gamma} - \frac{1}{3}\dot{e}^{\alpha\beta}\delta^{\alpha\beta})$, ψ is the dilatancy angle and K is the 185 elastic bulk modulus and the material parameters defined from from *E* and ν (Equation 14).

186 14.
$$K = \frac{E}{3(1-2\nu)}, \quad G = \frac{E}{2(1+\nu)}$$

187 Fracturing or failure occurs when the stress state reaches the yield surface, after which plastic 188 deformation occurs. The rate of change of the plastic multiplier specifies the magnitude of plastic loading and 189 must ensure a new stress state conforms to the conditions of the yield criterion. By means of substituting 190 equation 13 in the consistency condition $(\frac{\partial f}{\partial \sigma^{\alpha\beta}} d\sigma^{\alpha\beta} = 0)$, the plastic multiplier rate can be defined (Equation 15) (Bui et al., 2008).

192 15.
$$\dot{\lambda} = \frac{2G\epsilon^{\alpha\beta}\frac{\partial f}{\partial\sigma^{\alpha\beta}} + (K - \frac{2G}{3})\epsilon^{\gamma\gamma}\frac{\partial f}{\partial\sigma^{\alpha\beta}}\sigma^{\alpha\beta}\delta^{\alpha\beta}}{2G\frac{\partial f}{\partial\sigma^{mn}\partial\sigma^{mn}} + (K - \frac{2G}{3})\frac{\partial f}{\partial\sigma^{mn}}\delta^{mn}\frac{\partial g}{\partial\sigma^{mn}}\delta^{mn}}$$

193 The yield criteria specifies a surface in the stress-state space that the stress state can not pass, and at 194 which plastic deformation occurs. A variety of yield criteria exist, such as Mohr-Coulomb, Von Mises, Ducker-195 Prager and Tresca (Spencer, 2004). Here, we employ the Ducker-Prager model fitted to Mohr-Coulomb material 196 parameters for its accuracy in simulating rock and soil behavior, and numerical stability (Spencer, 2004; Bui et 197 al., 2008) (Equation 16 and 17).

198 16.
$$f(I_1, J_2) = \sqrt{J_2} + \alpha_{\phi} I_1 - k_c = 0$$

199 17.
$$g(I_1, J_2) = \sqrt{J_2} + \alpha_{\phi} I_1 \sin(\psi)$$





200 Where I_1 and J_2 are tensor invariants (Equation 18 and 19).

201 18.
$$I_1 = \sigma^{xx} + \sigma^{yy} + \sigma^{zz}$$

202 19. $J_2 = \frac{1}{2} s^{\alpha\beta} s^{\alpha\beta}$

202 19.
$$J_2 = \frac{1}{2} s^{\alpha\beta}$$

203 Where the Mohr-Coulomb material parameters are used to estimate the Ducker-Prager parameters 204 (Equation 20).

205 20.
$$\alpha_{\phi} = \frac{\tan(\phi)}{\sqrt{9+12\tan^2 \phi}}, \quad k_c = \frac{3c}{\sqrt{9+12\tan^2 \phi}}$$

206 Using the definitions of the yield surface and stress-strain relationship, combining equations 13, 15, 16 207 and 17, the relationship for the stress rate can be obtained (Equation 21 and 22).

208 21.
$$\dot{\sigma} = 2G\dot{e}^{\alpha\beta} + K\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} - \dot{\lambda}\left[9Ksin\psi\,\delta^{\alpha\beta} + \frac{G}{\sqrt{J_2}}s^{\alpha\beta}\right]$$

209 22. $\dot{\lambda} = \frac{3\alpha K\dot{e}^{\gamma\gamma} + \left(\frac{G}{\sqrt{J_2}}\right)s^{\alpha\beta}\dot{e}^{\alpha\beta}}{27\alpha\phi Ksin\psi+G}$

210 In order to allow for the description of large deformation, the Journann stress rate can be used, which is 211 a stress-rate that is independent from a frame of reference (Equation 23).

212 23.
$$\hat{\sigma} = \sigma^{\alpha\gamma}\dot{\omega}^{\beta\gamma} + \sigma^{\gamma\beta}\dot{\omega}^{\alpha\gamma} + 2G\dot{e}^{\alpha\beta} + K\dot{\epsilon}^{\gamma\gamma}\delta^{\alpha\beta} - \lambda \left[9Ksin\psi\,\delta^{\alpha\beta} + \frac{G}{\sqrt{J_2}}s^{\alpha\beta}\right]$$

Where $\dot{\omega}$ is the spin rate tensor, as defined by equation 24.

214 24.
$$\dot{\omega}^{\alpha\beta} = \frac{1}{2} \left(\frac{\partial v^{\alpha}}{\partial x^{\beta}} - \frac{\partial v^{\beta}}{\partial x^{\alpha}} \right)$$

213

215 Due to the strain within the confined material, the density of the confined solid phase (ρ_c) evolves 216 dynamically according to equation 25.

217 25.
$$\rho_c = f_{sc}\rho_s \frac{\epsilon_{v0}}{\epsilon_v} + (1 - f_{sc})\rho_s + f_{fc}\rho_f$$

218 Where ϵ_v is the total volume strain, $\dot{\epsilon_v} \approx \epsilon_1 + \epsilon_2 + \epsilon_3$, ϵ_i is one of the principal components of the strain tensor. Since we aim to simulate brittle materials, where volume strain remains relatively low, we assume 219 that changes in density are small compared to the original density of the material $\left(\frac{\partial \rho_c}{\partial t} \ll \rho_c\right)$. 220

221 Fragmentation

222 Brittle fracturing is a processes commonly understood to take place once a material internal stress has 223 reached the yield surface, and plastic deformation has been sufficient to pass the ultimate strength point (Maurel 224 & Cumescure, 2008; Husek et al., 2016). A variety of approaches to fracturing exist within the literature (Ma et 225 al., 2014; Osomo & Steeb, 2017). FEM models use strain-based approaches (Loehnert et al., 2008). For SPH 226 implementations, as will be presented in this work, distance-based approaches have provided good results 227 (Maurel & Cumbescure, 2008). Other works have used strain-based fracture criteria (Xu et al., 2010). 228 Additionally, dynamic degradation of strength parameters have been implemented (Grady & Kipp, 1980; Vuyst 229 & Vignjevic, 2013; Williams, 2019). Comparisons with observed fracture behavior has indicated the predictive 230 value of these schemes (Xu et al., 2010; Husek et al., 2016). We combine the various approaches to best fit the 231 dynamical multi-phase mass movement model that is developed. Following, Grady & Kipp (1980) and we 232 simulate a degradation of strength parameters. Our material consists of a soil and rock matrix. We assume 233 fracturing occurs along the inter-granular or inter-rock contacts and bonds (see also Cohen et al., 2009). Thus, 234 cohesive strength is lost for any fractured contacts. We simulate degradation of cohesive strength according to a 235 volume strain criteria. When the stress state lies on the yield surface (the set of critical stress states within the 6-236 dimensional stress-space), during plastic deformation, strain is assumed to attribute towards fracturing. A critical 237 volume strain is taken as material property, and the breaking of cohesive bonds occurs based on the relative 238 volume strain. Following Grady & Kipp (1980) and Vuyst & Vignjevic (2013), we assume that the degradation 239 behavior of the strength parameter is distributed according to a probability density distribution. Commonly, a 240 Weibull-distribution is used (Williams, 2019). Here, for simplicity, we use a uniform distribution of cohesive 241 strength between 0 and $2c_0$, although any other distribution can be substituted. Thus, the expression governing 242 cohesive strength becomes equation 26

243 26.
$$\frac{\partial c}{\partial t} = \begin{cases} -c_0 \frac{1}{2} \frac{\left(\frac{\epsilon_v}{\epsilon_{v0}}\right)}{\epsilon_c} & f(I_1, J_2) \ge 0, c > 0\\ 0 & otherwise \end{cases}$$





244 Where c_0 is the initial cohesive strength of the material, $\epsilon_{\nu 0}$ is the initial volume, $\left(\frac{\epsilon_{\nu}}{\epsilon_{\nu 0}}\right)$ is the fractional

volumetric strain rate, ϵ_c is the critical fractional volume strain for fracturing.

246 Water partitioning

247 During the movement of the mixed mass, the solids can thus be present as a structured matrix. Within 248 such a matrix, a fluid volume can be contained (e.g. as originating from a ground water content in the original 249 landslide material). These fluids are typically described as groundwater flow following Darcy's law, which poses 250 a linear relationship between pressure gradients and flow velocity through a soil matrix. In our case, we assumed 251 the relative velocity of water flow within the granular solid matrix as very small compared to both solid 252 velocities and the velocities of the free fluids. As an initial condition of the material, some fraction of the water 253 is contained within the soil matrix (f_{fc}). Additionally, for loss of cohesive structure within the solid phase, we 254 transfer the related fraction of fluids contained within that solid structure to the free fluids.

$$255 27. \frac{\partial f_{fc}}{\partial t} = -\frac{\partial (1-f_{fc})}{\partial t} = \begin{cases} -f_{fc} \frac{c_0}{c} \frac{\max(0.0, \epsilon'_v)}{\epsilon_f} & f(l_1, J_2) \ge 0, c > 0\\ 0 & otherwise \end{cases}$$

$$256 28. \frac{\partial f_{sc}}{\partial s} = -\frac{\partial (1-f_{sc})}{\delta s} = \begin{cases} -f_{sc} \frac{c_0}{c} \frac{\max(0.0, \epsilon'_v)}{\epsilon_f} & f(l_1, J_2) \ge 0, c > 0 \end{cases}$$

$$\frac{250}{\partial t} = \frac{250}{\partial t} = \frac{1}{\partial t} = \begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix}$$
 otherwise

257 Beyond changes in f_{fc} through fracturing of structured solid materials, no dynamics are simulated for 258 in- or outflux of fluids from the solid-matrix. The initial volume fraction of fluids in the solid matrix defined by 259 $(f_{fc} \text{ and } s_{fsc})$ remains constant throughout the simulation. The validity of this assumption can be based on the 260 slow typical fluid velocities in a solid matrix relative to fragmented mixed fluid-solid flow velocities (Kern, 261 1995; Saxton and Rawls, 2006). While the addition of evolving saturation would extend validity of the model, it 262 would require implementation of pretransfer-functions for evolving material properties, which is beyond the 263 scope of this work. An important note on the points made above is the manner in which fluids are re-partitioned 264 after fragmentation. All fluids in fragmented solids are released, but this does not equate to free movement of the 265 fluids or a disconnection from the solids that confined them. Instead, the equations continue to connect the solids 266 and fluids through drag, viscous and virtual mass forces. Finally, the density of the fragmented solids is assumed 267 to be the initially set solid density. Any strain-induced density changes are assumed small relative to the initial solid density $\left(\frac{\rho_c}{\rho_s} \ll 1\right)$. 268

269 Fluid Stresses

270 The fluid stress tensor is determined by the pressure and the viscous terms (Equations 29 and 30).
271 Confined solids are assumed to be saturated and constant during the flow.

272 29. $T_u = P_f I + \tau_f$

273

30. $\boldsymbol{\tau}_f = \eta_f [\nabla \boldsymbol{u}_u + (\nabla \boldsymbol{u}_c)^t] - \frac{\eta_f}{\alpha_u} \mathcal{A}(\alpha_u) (\nabla \alpha_c (\boldsymbol{u}_u - \boldsymbol{u}_c) + (\boldsymbol{u}_c - \boldsymbol{u}_u) \nabla \alpha_c)$

274 Where *I* is the identity tensor, τ_f is the viscous stress tensor for fluids , P_f is the fluid pressure, η_f is the 275 dynamic viscosity of the fluids and A is the mobility of the fluids at the interface with the solids that acts as a 276 phenomenological parameter (Pudasaini, 2012).

277 The fluid pressure acts only on the free fluids here, as the confined fluids are moved together with the 278 solids. In equation 30, the second term is related to the non-Newtonian viscous force induced by gradients in 279 solid concentration. The effect as described by Pudasaini (2012) is induced by a solid-concentration gradient. In 280 case of unconfined fluids and unstructured solids ($f_{sf} = 1, f_{sf} = 1$). Within our flow description, we see no 281 direct reason to eliminate or alter this force with a variation in the fraction of confined fluids or structured solids. 282 We do only consider the interface between solids and free fluids as an agent that induces this effect, and 283 therefore the gradient of the solids and confined fluids $(\nabla(\alpha_s + f_{fc}\alpha_f) = \nabla\alpha_c)$ is used instead of 284 the total solid phase ($\nabla \alpha_s$).

285 Drag force and Virtual Mass

286 Our description of the drag force follows the work of Pudasaini (2012) and Pudasaini (2018), where a 287 generalized two-phase drag model is introduced and enhanced. We split their work into a contribution from the 288 fraction of structured solids (f_{sc}) and unconfined fluids ($1 - f_{fc}$) (Equation 31).

289 31.
$$C_{DG} = \frac{f_{sc}\alpha_c\alpha_u(\rho_c-\rho_f)g}{U_{T,c}(\mathcal{G}(Re))+s_p} (\boldsymbol{u}_u - \boldsymbol{u}_c) |\boldsymbol{u}_u - \boldsymbol{u}_c|^{j-1} + \frac{(1-f_{sc})\alpha_c\alpha_u(\rho_s-\rho_f)g}{U_{T,uc}(\mathcal{PF}(Re_p)+(1-\mathcal{P})\mathcal{G}(Re))+s_p} (\boldsymbol{u}_u - \boldsymbol{u}_c) |\boldsymbol{u}_u - \boldsymbol{u}_c|^{j-1}$$





290 Where $U_{T,c}$ is the terminal or settling velocity of the structures solids, $U_{T,uc}$ is the terminal velocity of 291 the unconfined solids, \mathcal{P} is a factor that combines solid- and fluid like contributions to the drag force, G is the 292 solid-like drag contribution, \mathcal{F} is the fluid-like drag contribution and S_p is the smoothing function (Equation 32 293 and 34). The exponent *j* indicates the type of drag: linear (j = 0) or quadratic (j = 1).

294 Within the drag, the following functions are defined:

296

33. $S_p = (\frac{p}{\alpha_c} + \frac{1-p}{\alpha_u})\mathcal{K}$ 34. $\mathcal{K} = |\alpha_c \boldsymbol{u}_c + \alpha_u \boldsymbol{u}_u| \approx 10 \ ms^{-1}$ 297

298 Where M is a parameter that varies between 2.4 and 4.65 based on the Reynolds number (Pitman & Le, 299 2005). The factor $\mathcal P$ that combines solid-and fluid like contributions to the drag, is dependent on the volumetric solid content in the unconfined and unstructured materials $(\mathcal{P} = \left(\frac{\alpha_{S}(1-f_{SC})}{\alpha_{f}(1-f_{fC})}\right)^{m}$ with $m \approx 1$. Additionally we assume the factor \mathcal{P} , is zero for drag originating from the structured solids. As stated by Pudasaini & Mergili 300 301 (2019) "As limiting cases: P suitably models solid particles moving through a fluid". In our model, the drag 302 303 force acts on the unconfined fluid momentum ($u_{uc}\alpha_f(1-f_{fc})$). For interactions between unconfined fluids and 304 structured solids, larger blocks of solid structures are moving through fluids that contains solids of smaller size.

305 Virtual mass is similarly implemented based on the work of Pudasaini (2012) and Pudasaini & Mergili 306 (2019) (Equation 35). The adapted implementation considers the solids together with confined fluids to move 307 through a free fluid phase.

308 35.
$$C_{VMG} = \alpha_c \rho_u \left(\frac{1}{2} \left(\frac{1+2\alpha_c}{\alpha_u}\right)\right) \left(\left(\frac{\partial u_u}{\partial t} + u_u \cdot \nabla u_u\right) - \left(\frac{\partial u_c}{\partial t} + u_c \cdot \nabla u_c\right)\right)$$

309 Where
$$C_{DG} = \frac{1}{2} \left(\frac{1+2\alpha_c}{\alpha_u} \right)$$
 is the drag coefficient.

310 boundary conditions

311 Finally, following the work of Iverson & Denlinger (2001), Pitman & Le (2005) and Pudasaini (2012), a 312 boundary condition is applied to the surface elements that contact the flow (Equation 36).

313 36. $|\mathbf{S}| = Ntan(\phi)$

Where N is the normal pressure on the surface element and S is the shear stress.

315 1.3 Depth-Averaging

314

322

316 The majority of the depth-averaging in this works is analogous to the work of Pitman & Le (2005), 317 Pudasaini (2012) and Pudasini & Mergili (2019). Depth-averaging through integration over the vertical extent of the flow can be done based on several useful and often-used assumptions: $\frac{1}{h} \int_0^h x \, dh = \bar{x}$, for the velocities (u_u) 318 and u_c), solid, fluid and confined fractions (α_f , α_s , f_{fc} and f_{sc}) and material properties (ρ_u , ϕ and c). Besides 319 320 these similarities and an identical derivation of depth-averaged continuity equations, three major differences 321 arise.

i)Fluid pressure

Previous implementations of generalized two-phase debris flow equations have commonly assumed hydrostatic 323 pressure $(\frac{\partial p}{\partial z} = g^z)$ (Pitman & Le, 2005; Pudasaini, 2012; Abe & Konagai, 2016). Here we follow this 324 assumption for the fluid pressure at the base and solid pressure for unstructured material (Equations 37 and 38). 325

326 37.
$$P_{h_{s,y}} = -(1 - \gamma)\alpha_s g^z h$$

38. $P_{h_{u}} = -g^{z}h$ 327

328 Where
$$\gamma = \frac{\rho_f}{\rho_s}$$
 is the density ratio (not to be confused with a tensor index when used in superscript) (-).

329 However, larger blocks of structure material can have contact with the basal topography. Due to density 330 differences, larger blocks of solid structures are likely to move along the base (Pailhia & Pouliquen, 2009; 331 George & Iverson, 2014). If these blocks are saturated, water pressure propagates through the solid matrix and 332 hydrostatic pressure is retained. However, in cases of an unsaturated solid matrix that connects to the base, 333 hydrostatic pressure is not present there. We introduce a basal fluid pressure propagation factor $\mathcal{B}(\theta_{eff}, \overline{d_{sc}}, ...)$

334 which describes the fraction of fluid pressure propagated through a solid matrix (with θ_{eff} the effective





335 saturation, $\overline{d_{sc}}$ the average size of structured solid matrix blocks). This results in a basal pressure equal to 336 equation 39.

337 39.
$$P_{b_c} = -(1 - f_{sc})(1 - \gamma) \frac{(1 - f_{sc})\alpha_s}{(1 - f_{f_c})\alpha_f} g^z h - f_{sc}(1 - \gamma) \mathcal{B} \frac{(f_{sc})\alpha_s}{(f_{f_c})\alpha_f} g^z h$$

338 The basal pressure propagation factor (\mathcal{B}) should theoretically depend, similarly to the pedotransfer 339 function, mostly on saturation level, as a full saturation means perfect propagation of pressure through the 340 mixture, and low saturation equates to minimal pressure propagation (Saxton and Rawls., 2006). Additionally it 341 should depend on pedotransfer functions, and the size distribution of structured solid matrices within the 342 mixture. For low-saturation levels, it can be assumed no fluid pressure is retained. Combined with an assumed 343 soil matrix height identical to the total mixture height, this results in $\mathcal{B} = 0$. Assuming saturation of structures 344 solids results in a full propagation of pressures and $\mathcal{B} = 1$.

345 ii)Stress-Strain relationship

346 Depth-averaging the stress-strain relationship in equations 22 and 23 requires a vertical solution for the 347 internal stress. First, we assume any non-normal vertical terms are zero (Equation 40). Commonly, Rankines 348 earth pressure coefficients are used to express the lateral earth pressure by assuming vertical stress to be induced 349 by the basal solid pressure (Equation 41 and 42) (Pitman & Le, 2005; Pudasaini, 2012; Abe & Konagai, 2016).

350 40.
$$\sigma^{zx} = \sigma^{zy} = \sigma^{yz} = \sigma^{xz} = 0$$

351 41.
$$\overline{\sigma^{zz}} = \frac{1}{2} P_{b_s}, \sigma^{zz}|_b = P_{b_s}$$

352 42.
$$K_a = \frac{1 - \sin(\phi)}{1 + \sin(\phi)}, \quad K_p = \frac{1 - \sin(\phi)}{1 + \sin(\phi)}$$

353 Here we enhance this with Bell's extension for cohesive soils (Equation 45) (Richard et al., 2017). This 354 lateral normal-directed stress term is added to the full stress-strain solution.

355 43.
$$\overline{\sigma_{xx}} = K\sigma_{zz}|_b - 2c\sqrt{K} + \frac{1}{h}\int_0^h \sigma_{xx} dh$$

356 Finally, the gradient in pressure of the lateral interfaces between the mixture is added as a depth-357 averaged acceleration term (Equation 44).

358 44.
$$S_{x_c} = \alpha_c (\frac{1}{h} \left(\frac{\partial (h\sigma^{xx})}{\partial x} + \frac{\partial (h\sigma^{yx})}{\partial y} \right) + \cdots$$

iii)Depth-averaging other terms

360 While the majority of terms allow for depth-averaging as proposed by Pudasaini (2012), an exception 361 arises. Depth-averaging of the vertical viscosity terms is required. The non-Newtonian viscous terms for the fluid 362 phase were derived assuming a vertical profile in the volumetric solid phase content. Here, we alter the 363 derivation to use this assumption only for the non-structured solids, as opposed to the structured solids where $\frac{\partial \alpha_s}{\partial s} = 0.$ 364

$$\int_{\partial z} \int_{\partial z} \int_{\partial \alpha_{s}} \int_{\partial \alpha_{s}} \int_{\partial \alpha_{s}} \int_{\partial \alpha_{s}} \int_{\partial z} \int_{\partial$$

$$45. \quad \int_{b}^{s} \frac{\partial}{\partial z} \left(\frac{\partial \alpha_{s}}{\partial z} (u_{u} - u_{c}) \right) dz = \left[\frac{\partial \alpha_{s}}{\partial z} (u_{u} - u_{c}) \right]_{b}^{s} = \left(\overline{u_{u}} - \overline{u_{c}} \right) \left[\frac{\partial \alpha_{s}}{\partial z} \right]_{b}^{s} = \left(\overline{u_{u}} - \overline{u_{c}} \right) \left[\frac{\partial \alpha_{s}}{\partial z} \right]_{b}^{s} = 366$$

366

359

Where ζ is the shape factor for the vertical distribution of solids (Pudasaini, 2012). Additionally, the 367 368 momentum balance of Pudasaini (2012) ignores any deviatoric stress ($\tau_{xy} = 0$), following Savage and Hutter 369 (2007), and Pudasaini and Hutter (2007). Earlier this term was included by Iverson and Denlinger (2001), Pitman 370 and Le (2005) and Abe & Kanogai (2016). Here we include these terms since a full stress-strain relationship is 371 included.

372 **Basal frictions**

373 Additionally we add the Darcy-Weisbach friction, which is a Chezy-type friction law for the fluid phase 374 that provides drag (Delestre et al., 2014). This ensures that, without solid phase, a clear fluid does lose 375 momentum due to friction from basal shear. This was successfully done in Bout et al. (2018) and was similarly 376 assumed in Pudasaini and Fischer (2016) for fluid basal shear stress.

377 46.
$$S_f = \frac{g}{n^2} \frac{\mathbf{u}_{\mathbf{u}} |\mathbf{u}_{\mathbf{u}}|}{h^{\frac{4}{3}}}$$

378 Where n is Manning's surface roughness coefficient.

379 **Depth-averaged equations**









417 67.
$$\dot{\lambda} = \frac{3\alpha K \dot{\epsilon}^{\gamma \gamma} + \left(\frac{G}{\sqrt{J_2}}\right) s^{\alpha \beta} \dot{\epsilon}^{\alpha \beta}}{27}$$

418 68.
$$K = \frac{E}{E}$$
, $G = \frac{E}{E}$

419 69. $\sigma^{\alpha\beta} = s^{\alpha\beta} + \frac{1}{2}\sigma^{\gamma\gamma}\delta^{\alpha\beta}$

420 70.
$$\dot{\epsilon}^{\alpha\beta} = \frac{1}{2} \left(\frac{\partial v^{\alpha}}{\partial x^{\beta}} - \frac{\partial v^{\beta}}{\partial x^{\alpha}} \right) \qquad \dot{\omega}^{\alpha\beta} = \frac{1}{2} \left(\frac{\partial v^{\alpha}}{\partial x^{\beta}} - \frac{\partial v^{\beta}}{\partial x^{\alpha}} \right)$$

421 71.
$$\alpha_{\phi} = \frac{\tan(\phi)}{\sqrt{9+12\tan^2 \phi}}$$
 $k_c = \frac{3c}{\sqrt{9+12\tan^2 \phi}}$

422 Where X is the shape factor for vertical shearing of the fluid (X \approx 3 in Iverson & Denlinger, 2001), *R* is the 423 precipitation rate and *I* is the infiltration rate.

Closing the equations

426 Viscosity is estimated using the empirical expression from O'Brien and Julien (1985), which relates dynamic427 viscosity to the solid concentration of the fluid (Equation 72).

428 72.
$$\eta = \alpha e^{\beta \alpha_s}$$

Where α is the first viscosity parameter and β the second viscosity parameter.

430 Finally, the settling velocity of small ($d < 100 \ \mu m$) grains is estimated by Stokes equations for a 431 homogeneous sphere in water. For larger grains (> 1mm),the equation by Zanke (1977) is used (Equation 30).

432 73.
$$U_T = 10 \frac{\frac{\eta^2}{\rho_f}^2}{d} \left(\sqrt{1 + \frac{0.01 \left(\frac{(\rho_s - \rho_f)}{\rho_f} g d^3 \right)}{\frac{\eta}{\rho_f}}} - 1 \right)$$

433 In which U_T is the settling (or terminal) velocity of a solid grain, η is the dynamic viscosity of the fluid, 434 ρ_f is the density of the fluid, ρ_s is the density of the solids, d is the grain diameter (m)

435 436

424 425

429

1.4 Implementation in the Material Point Method numerical scheme

437 Implementing the presented set of equations into a numerical scheme requires considerations of that 438 schemes limitations and strengths (Stomakhin et al., 2013). Fluid dynamics are almost exclusively solved using 439 an Eulerian finite element solution (Delestre et al., 2014; Bout et al., 2018). The diffusive advection part of such scheme typically doesn't degrade the quality of modelling results. Solid material however is commonly 440 441 simulated with higher accuracy using an Lagrangian finite element method or discrete element method (Maurel 442 & Cumbescure, 2008; Stomakhin et al., 2013). Such schemes more easily allow for the material to maintain its 443 physical properties during movement. Additionally, advection in these schemes does not artificially diffuse the 444 material since the material itself is discretized, instead of the space (grid) on which the equations are solved. In 445 our case, the material point method (MPM) provides an appropriate tool to implement the set of presented 446 equations (Bui et al., 2008; Maurel & Cumbescure, 2008; Stomakhin et al., 2013). Numerous existing modelling 447 studies have implemented in this method (Pastor et al., 2007; Pastor et al., 2008; Abe & Kanogai, 2016). Here, 448 we use the MPM method to create a two-phase scheme. This allows the usage of finite elements aspects for the fluid dynamics, which are so successfully described by the that method (particularly for water in larger areas, see 449 450 Bout et al., 2018).

451 Mathematical Framework

The mathematic framework of smooth-particles solves differential equations using discretized volumes
of mass represented by kernel functions (Libersky & Petschek, 1991; Bui et al., 2008; Stomakhin et al., 2013).
Here, we use the cubic spline kernel as used by Monaghan (2000) (Equation 74).

455
$$74. W(r,h) = \begin{cases} \frac{10}{7\pi h^2} \left(1 - \frac{3}{2}q^2 + \frac{3}{4}q^3\right) & 0 \le |q| \ge 2\\ \frac{10}{28\pi h^2} (2 - q)^3 & 1 \le |q| < 2\\ 0 & |q| \ge 2 \mid q < 0 \end{cases}$$

456

Where r is the distance, h is the kernel size and q is the normalized distance $\left(q = \frac{r}{b}\right)$











Figure 2 Example of a kernel function used as integration domain for mathematical operations.

Using this function mathematical operators can be defined. The average is calculated using a weighted
sum of particle values (Equation 75) while the derivative depends on the function values and the derivative of
the kernel by means of the chain rule (Equation 76) (Libersky & Petschek, 1991; Bui et al., 2008).

462 75.
$$\langle f(x) \rangle = \sum_{j=1}^{N} \frac{m_j}{\rho_j} f(x_j) W(x - x_j, h)$$

463 76.
$$\left< \frac{\partial f(x)}{\partial x} \right> = \sum_{j=1}^{N} \frac{m_j}{\rho_j} f(x_j) \frac{\partial W_{ij}}{\partial x_i}$$

464 Where $W_{ij} = W(x_i - x_j, h)$ is the weight of particle j to particle I, $r = |x_i - x_j|$ is the distance 465 between two particles. The derivative of the weight function is defined by equation 77.

466 77.
$$\frac{\partial W_{ij}}{\partial x_i} = \frac{x_i - x_j}{r} \frac{\partial W_{ij}}{\partial r}$$

467 Using these tools, the momentum equations for the particles can be defined (Equations 78-84). Here, we
468 follow Monaghan (1999) and Bui et al. (2008) for the definition of artificial numerical forces related to stability.
469 Additionally, stress-based forces are calculated on the particle level, while other momentum source terms are
470 solved on a Eulerian grid with spacing *h* (identical to the kernel size).

$$471 78. \quad \frac{dv_i^{\alpha}}{dt} = \frac{1}{m_i} \left(F_g + F_{grid} \right) + \sum_{j=1}^N m_j \left(\frac{\sigma_i^{\alpha\beta}}{\rho_i^2} + \frac{\sigma_j^{\alpha\beta}}{\rho_j^2} + F_{ij}^n R_{ij}^{\alpha\beta} + \Pi_{ij} \delta^{\alpha\beta} \right) \frac{\partial W_{ij}}{\partial x_i^{\beta}}$$

472 79.
$$\epsilon^{\alpha\beta} = \frac{1}{2} \left(\sum_{j=1}^{N} \frac{m_j}{\rho_j} \left(v_j^{\alpha} - v_i^{\alpha} \right) \frac{\partial W_{ij}}{\partial x_i^{\beta}} + \sum_{j=1}^{N} \frac{m_j}{\rho_j} \left(v_j^{\beta} - v_i^{\beta} \right) \frac{\partial W_{ij}}{\partial x_i^{\alpha}} \right)$$

473 80.
$$\dot{\omega}^{\alpha\beta} = \frac{1}{2} \left(\sum_{j=1}^{N} \frac{m_j}{\rho_j} \left(v_j^{\alpha} - v_i^{\alpha} \right) \frac{\partial W_{ij}}{\partial x_i^{\beta}} - \sum_{j=1}^{N} \frac{m_j}{\rho_j} \left(v_j^{\beta} - v_i^{\beta} \right) \frac{\partial W_{ij}}{\partial x_i^{\alpha}} \right)$$

474 81.
$$\frac{d\sigma_{\alpha\beta}}{dt} = \sigma_i^{\alpha\gamma}\dot{\omega}_i^{\beta\gamma} + \sigma_i^{\gamma\beta}\dot{\omega}_i^{\alpha\gamma} + 2G_i\dot{e}_i^{\alpha\beta} + K_i\dot{e}^{\gamma\gamma}\delta_i^{\alpha\beta} - \dot{\lambda}_i\left[9K_isin\psi_i\,\delta^{\alpha\beta} + \frac{G_i}{\sqrt{J_{2_i}}}s_i^{\alpha\beta}\right]$$

475 82.
$$\lambda_{l} = \frac{3\alpha K \epsilon_{l}^{\gamma \gamma} + \left(\frac{G_{l}}{\sqrt{j_{2}}}\right) s_{l}^{\alpha \beta} \epsilon_{l}^{\alpha \beta}}{27 \alpha_{\phi} K_{l} sin \psi_{l} + G_{l}}$$

476 Where *i*, *j* are indices indicating the particle, Π_{ij} is an artificial viscous force as defined by equations 83 477 and 84 and $F_{ij}^n R_{ij}^{\alpha\beta}$ is an artificial stress term as defined by equations 85 and 86.

478 83.
$$\Pi_{ij} = \begin{cases} \frac{\alpha_{\Pi} u_{sound_{ij}} \phi_{ij} + \beta_{\Pi} \phi^2}{\rho_{ij}} & v_{ij} \cdot x_{ij} < 0\\ 0 & v_{ij} \cdot x_{ij} \ge 0 \end{cases}$$

479 84.
$$\phi_{ij} = \frac{h_{ij}v_{ij}x_{ij}}{|x_{ij}|^2 + 0.01h_{ij}^2}$$
, $x_{ij} = x_i - x_j$, $v_{ij} = v_i - v_j$, $h_{ij} = \frac{1}{2}(h_i + h_j)$

480 85.
$$F_{ij}^{n}R_{ij}^{\alpha\beta} = \left[\frac{W_{ij}}{W(d_0,h)}\right]^{n} (R_{i}^{\alpha\beta} + R_{j}^{\alpha\beta})$$

481 86. $\overline{R_{i}^{\gamma\gamma}} = -\frac{\epsilon_{0}\overline{a_{i}^{\gamma\gamma}}}{\rho_{i}^{2}}$

482 Where ϵ_0 is a small parameter ranging from 0 to 1, α_{Π} and β_{Π} are constants in the artificial viscous 483 force (often chosen close to 1), u_{sound} is the speed of sound in the material.





The conversion from particles to gridded values and reversed depends on a grid basis function that
weighs the influence of particle values for a grid center. Here, a function derived from dyadic products of onedimensional cubic B-splines is used as was done by Steffen et al. (2008) and Stomakhin et al. (2013) (Equation
84).

488 87.
$$N(x) = N(x^{x}) * N(x^{y}), \quad N(x) = \begin{cases} \frac{1}{2}|x|^{3} - x^{2} + \frac{2}{3} & 0 \le |x| \ge 2\\ -\frac{1}{6}|x|^{3} + x^{2} - 2|x| + \frac{4}{3} & 1 \le |x| < 2\\ 0 & |x| \ge 2 |x = 0 \end{cases}$$

489 Particle placement

490Particle placement is typically done in a constant pattern, as initial conditions have some constant491density. The simplest approach is a regular square or triangular network, with particles on the corners of the492network. Here, we use an approach that is more adaptable to spatially-varying initial flow height. The R_2 493sequence approaches, with a regular quasirandom sequence, a set of evenly distributed points within a square494(Roberts, 2020) (Equation 85).

495 88.
$$x_n = n\alpha \mod 1$$
, $\alpha = \left(\frac{1}{c_p}, \frac{1}{c_p^2}\right)$

496 Where x_n is the relative location of the nth particle within a gridcell, $c_p = \left(\frac{9+\sqrt{69}}{18}\right)^{\frac{1}{3}} + \left(\frac{9-\sqrt{69}}{18}\right)^{\frac{1}{3}} \approx$ 497 1.32471795572 is the plastic constant.

- 1	n = 4	n = 40	n = 400
n = 1			11 - 400

499 Figure 3 Example particle distributions using the R_2 sequence, note that, while not all particles are 500 equidistant, the method produces distributed particle patterns that adapt well to varying density.

501 The number of particles placed for a particular flow height depends on the particle volume V_I , which is 502 taken as a global constant during the simulation.

503 2 Flume Experiments

504 2.1 Flume Setup

498

In order to validate the presented model, several controlled experiments were performed and reproduced
using the developed equations. The flume setup consists of a steep incline, followed by a near-flat runout plane
(Figure 3). On the separation point of the two planes, a massive and attached obstacle is present that blocks the
path of two fifth of the moving material. For the exact dimensions of both the flume parts and the obstacle, see
figure 3.







510 511

Figure 4 The dimensions of the flume experiment setup used in this work.

512 Two tests were performed whereby a cohesive granular matrix was released at the upper part of the 513 flume setup. Both of these volumes had dimensions of 0.2x0.3x0.25 meter (height, length, width). For both of 514 these materials, a mixture high-organic content silty-clay soils where used. The materials strength parameters 515 were obtained using tri-axial testing (Cohesion, internal friction angle Youngs modulus and Poisson Ration. The first set of materials properties where c = 26.7 kPa and $\phi = 28^{\circ}$. The second set materials properties where c =516 517 18.3 kPa and $\phi = 27^{\circ}$. For both of the events, pre-and post release elevations models were made using 518 photogrammetry. The model was set up to replicate the situations using the measured input parameters. Numerical settings were chosen as $\{\alpha_s = 0.5, \alpha_f = 0.5, f_{sc} = 1.0, f_{fc} = 1.0, \rho_f = 1000, \rho_s = 2400, E = 12 \cdot 10^6 Pa, K = 23 \cdot 10^6 Pa, \psi = 0, \alpha_{\Pi} = 1, \beta_{\Pi} = 1, X, \zeta, j = 2, u_{sound} = 600, dx = 10, V_I = , h = 10, n = 10, V_I = 1$ 519 520 $0.1, \alpha = 1, \beta = 10, M = 2.4, B = 0, N_R = 15000, N_{RA} = 30$ }. Calibration was performed by means of input 521 522 variation. The solid fraction, and elastic and bulk modulus were varied between 20 and 200 percent of their 523 original values with increments of 10 percent. Accuracy was assessed based on the percentage accuracy of the 524 deposition (comparison of modelled vs observed presence of material).

525 2.2 Results

526 Both the mapped extent of the material after flume experiments, as the simulation results are shown in 527 figure 5. Calibrated values for the simulations are { $\alpha_s = 0.45$, $E = 21.6 \cdot 10^6 Pa$, $K = 13.8 \cdot 10^6 Pa$ }.







528

Figure 5 A comparison of the final deposits of the simulations and the mapped final deposits and cracks
 within the material. From left to right: Photogrammetry mosaic, comparison of simulation results to mapped
 flume experiment, strain, final strength fraction remaining.

532 As soon as the block of material impacts the obstacle, stress increases as the moving objects is 533 deformed. This stress quickly propagates through the object. Within the scenario with lower cohesive strength, 534 as soon as the stress reached beyond the yield strength, degradation of strength parameters took place. In the 535 results, a fracture line developed along the corner of the obstacle into the length direction of the moving mass. 536 Eventually, this fracture developed to half the length of the moving body and severe deformation resulted. As 537 was observed from the tests, the first material experienced a critical fracture while the second test resulted in 538 moderate deformation near the impact location. Generally, the results compare well with the observed patters, 539 although the exact shape of the fracture is not replicated. Several reasons might be the cause of the moderately 540 accurate fracture patterns. Other studies used a more controlled setup where uncertainties in applied stress and 541 material properties where reduced. Furthermore, the homogeneity of the material used in the tests can not 542 completely assumed. Realistically, minor alterations in compression used to create the clay blocks has left spatial 543 variation in density, cohesion and other strength parameters.

544 3. Numerical Tests

545 3.1 Numerical Setup

546 In order to further investigate some of the behaviors of the model, and highlight the novel types of mass
547 movement dynamics that the model implements, several numerical tests have been performed. The setup of these
548 tests is shown in figure 6.





549



550 551 Figure 6 The dimensions of the numerical experiment setups used in this work. Setup 1 (left) and Setup 2 (right)

552 Numerical settings were chosen for three different blocks with equal volume but distinct properties. 553 Cohesive strength and the bulk modulus were varied (see figure 6). Remaining parameters were chosen as $\{\alpha_s = 0.5, \alpha_f = 0.5, f_{sc} = 1.0, f_{fc} = 1.0, \rho_f = 1000 \ kgm^{-3}, \rho_s = 2400 \ kgm^{-3}, E = 1e12 \ Pa, \psi = 0, \alpha_{\Pi} = 1, \beta_{\Pi} = 1, X, \zeta, j = 2, u_{sound} = 600 \ ms^{-1}, dx = 10 \ m, V_I, h = 10 \ m, n = 0.1, \alpha = 1, \beta = 10, M = 2.4, B = 0, N_R = 15000, N_{RA} = 30\}.$ 554 555 556

- 557 3.1 Results
- 558 Several time-slices for the described numerical scenarios are shown in figure 7 and 8.
- 559

2A

2B

2C







3

Geoscientific 💡

Discussions

Model Development



561 Figure 7 Several time-slices for numerical scenarios 2(A/B/C). See figure 6 for the dimensions and

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15 20

Cohesive Fraction (-) 0.0000 - 0.1000

0.1000 - 0.2000

0.2000 - 0.3000

0.3000 - 0.4000

0.4000 - 0.5000

562 terrain setup.













Fractures develop in the mass movements based on acceleration differences and cohesive strength. For
 scenario 2A, the stress state does not reach beyond the yield surface, and all material is moved as a single block.
 Scenario 2B, which features lowered cohesive strength, fractures and the masses separate based on the
 acceleration caused by slopes.

Fracturing behavior can occur in MPM schemes due to numerical limitations inherent in the usage of a
limited integration domain. Here, validation of real physically-based fracturing is present in the remaining
cohesive fraction. This value only reduces in case of plastic yield, where increasing strain degrades strength
parameters according to our proposed criteria. Numerical fractures would thus have a cohesive fraction of 1. In
all simulated scenarios, such numerical issues were not observed.

574 Fragmentation occurs due to spatial variation in acceleration in the case of scenario 3A and 3B. For 575 scenario 3A, the yield surface is not reached and the original structure of the mass is maintained during 576 movement. For 3C, fragmentation is induced be lateral pressure and buoyancy forces alone. Scenario 3B 577 experiences slight fragmentation at the edges of the mass, but predominantly fragments when reaching the 578 valley, after which part of the material is accelerated to count to the velocity of the mass. For all the shown 579 simulations, fragmentation does not lead to significant phase separation since virtual mass and drag forces 580 converge the separate phase velocities to their mixture-averaged velocity. The strength of these forces partly 581 depends on the parameters, effects of more immediate phase-separation could by studied if other parameters are 582 used as input.

4. Discussion

583

584 A variety of existing landslide models simulate the behavior of lateral connected material through a 585 non-linear, non-Newtonian viscous relationship (Boetticher et al., 2016; Fornes et al., 2017; Pudasaini & 586 Mergili, 2019). These relationships include a yield stress and are usually regularized to prevent singularities from 587 occurring. While this approach is incredibly powerful, it is fundamentally different from the work proposed here. 588 These viscous approaches do not distinguish between elastic or plastic deformation, and typically ignore 589 deformations if stress is insufficient. Additionally, fracturing is not implemented in these models. The approach 590 taken in this work attempts to simulate a full stress-strain relationship with Mohr-Coulomb type yield surface. 591 This does provides new types of behavior and can be combined with non-Newtonian viscous approaches as 592 mentioned above. A major downside to the presented work is the steep increase in computational time required 593 to maintain an accurate and stable simulation. Commonly, an increase of near a 100 times has been observed 594 during the development of the presented model.

595 The presented model shows a good likeness to flume experiments and numerical tests highlight 596 behavior that is commonly observed for landslide movements. There are however, inherent scaling issues and the 597 material used in the flume experiments is unlikely to form larger landslide masses. The measured physical 598 strength parameters of the material used in the flume experiments would not allow for sustained structured 599 movement at larger scales. There is thus the need for more, real-scale, validation cases. The application of the 600 presented type of model is most directly noticeable for block-type landslide movements that have fragmented 601 either upon impact of some obstacle or during transition phase. Of importance here is that the moment of 602 fragmentation is often not reported in studies on fast-moving landslides, potentially due to the complexities in 603 knowing the details on this behavior from post-event evidence. Validation would therefore have to occur on 604 cases where deposits are not fully fragmented, indicating that this process was ongoing during the whole 605 movement duration. The spatial extent of initiation and deposition would then allow validation of the model. 606 Another major opportunity for validation of the novel aspects of the model is the full three-dimensional 607 application to landslides that were reported to have lubrication effects due to fragmentation of lower fraction of 608 flow due to shear.

609 An important point of consideration in the development of complex multi-process generalized models is 610 the applicability. As a detailed investigative research tool, these models provide a basic scenario of usage. 611 However, both for research and beyond this, in applicability in disaster risk reduction decision support, the 612 benefit drawn from these models depends on the practical requirement for parameterization and the computational demands for simulation. With an increasing complexity in the description of multi-process 613 614 mechanics comes the requirement of more measured or estimated physical parameters. Inspection of the 615 presented method shows that in principle, a minor amount of new parameters are introduced. The cohesive 616 strength, a major focus of the model, becomes highly important depending on the type of movement being 617 investigated. Additionally, the bulk and elastic modulus are required. These three parameters are common 618 simulation parameters in geotechnical research and can be obtained from common tests on sampled material 619 (Alsalman et al., 2015). Finally, the basal pressure propagation parameter (\mathcal{B}) is introduced. However, within 620 this work, the value of this parameter is chosen to have a constant value of one. As a results, the model does 621 require additional parameters, although these are relatively easy to obtain with accuracy.





622		There are a variety of aspects of the model that could be significantly improved. Here, we list several	
623	major o	pportunities of future research.	
624	1)	Groundwater mechanics	
625		The presented model allows for the a solid or granular matrix to be present within the flow. We have	
626		assumed the flows in and out of these matrices are sufficiently small to be ignored. In reality, there is a	
627		fluid flux in and out of structured solids. This could occur both due to pressure differences as due to	
628		stress and strain of the structured solids. Implementing this kind of mechanics requires a dynamic,	
629		solid-properties dependent, soil water retention curve (Van Looy et al., 2017). An example of MPM soil	
630		mechanics with dynamic groundwater implementation can be found in Bandera et al. (2016).	
631	2)	Implementing Entrainment and Deposition	
632	,	Current equations for entrainment (erosion with major grain-grain interactions) is limited to	
633		unstructured mixture flows (Iverson, 2012; Iverson & Ouyang, 2015; Pudasaini & Fischer, 2016).	
634		Extending these models to include a contribution from structured solids would be required to implement	
635		entrainment in the presented work.	
636	3)	1	
637	- /	A major assumption in the presented work is that the velocities of structured solids, free solids and	
638		confined fluids are all equal. In reality, there might be separation of structured and free solids phases.	
639		Additionally, we already discussed the possibility of in-and outflux of confined fluids from the solid	
640		matrix. Recent innovations on three-phase mixture flows might be used to extend the presented work to	
641		a three, four or five-phase model by separating free solids, confined fluids or adding a Bingham-viscous	
642		solid-fluid phase (Pudasaini & Mergili, 2019). However, while this would implement an additional	
643		process, it would significantly increase complexity of the equations (in an exponential manner with	
644		relation to the number of phases) and the numerical solutions which could hinder practical applicability.	
645	4)	Application to large, slow moving landslides.	
646		When confined fluids would act as a distinct phase, guided by the mechanics of water flow in granular	
647		matrix, ground water pressures and movement through the structured solids could be described. This	
648		might enable the model to do detailed deformation/groundwater simulation of large slow-moving	
649		landslides.	
650	5)	Numerical Improvements	
651		Numerical techniques for particle-based discretized methods (SPH, MPM) have been proposed in the	
652		literature. A common issue is numerical fracturing of materials when particle strain increases beyond	
653		the length of the kernel function. Then, the connection between particles is lost and fracturing occurs as	
654		an artifact of the numerical method. This issue is partly solved by the artificial stress term as is also	
655		used by Bui et al. (2008). Additionally, geometric subdivide, as used by Xu et al. (2012) and Li et al.	
656		(2015), could counter these artificial fractures. Implementing this technique does require additional	
657		work to maintain mass and momentum conservation.	
658	6)	Three-dimensional solutions	
659		In a variety of scenarios, the assumptions made in depth-averaged application of flow models are	
660		invalid. A common example is the impact of mass movements into lakes, or other large water bodies. In	
661		such cases, the vertical velocity and concentration variables are not well-described by their depth-	
662		averaged counterparts. Additionally, the lubrication effect of basal fragmentation of landslides due to	
663		shear can not be described without velocity-profiles and a vertical stress-solution. Full three-	
664		dimensional application would therefore have the potential to increase understanding on these important	
665		processes.	
666		5. Conclusions	
667		We have presented a novel generalized mass movement model that can describe both unstructured	
668	mixture	flows and Structured movements of Mohr-Coulomb type material. The presented equations are part of	
669	the continuous development of the OpenLISEM Hazard model, an open-source tool for physically-based multi-		
670	hazard	hazard simulations. The model builds on the works of Pudasaini (2012) and Bui et al. (2008) to develop a single	
671	holistic set of equations. The model was implemented in a GPU-based Material Point Method (MPM) Code. The		
672	equations were validated on flume experiments and numerical tests, that highlight the new movement dynamics		
673		with the presented model. The integration of cohesive structure and a full stress-strain relationship for	
671		atimed solids allows for movement of block type slides as a single whole. Interactions with tempin other	

the structured solids allows for movement of block-type slides as a single whole. Interactions with terrain, other
 flow masses or obstacles lead to elastic-plastic deformation and eventually fragmentation. This type of self-

alteration of flow properties is novel with mass movement models. Although the presented equations can provide

- additional detail for specific mass movement types, applicability of the model for real events need to be
- 678 investigated as computational costs are significantly increased.





679 The presented simulation both validate the basic behavior of the model, as well as highlight the types of 680 flow dynamics made possible by the presented equations. The models dependency of breaking to cohesive 681 strength and internal friction angle matches the flume experiments. The numerical examples show commonly-682 described behavior for landslide movements. Although the simulations compare well to the flume experiments, 683 validation is required for real-scale application to various types of mass movements. Additionally, the presented 684 equations still lack descriptions of processes that might become important. Separating the fluid and solid phases 685 such as done by Pudasaini & Mergili (2019), could improve flow dynamics and phase separation. With added 686 ground-water mechanics, such as done in Bandera et al. (2016), slow-moving landslide simulations might be 687 described.

688 6. Code and Data Availability

All code and data used within this work are made open-source as part of the continuous development of the OpenLISEM Hazard model under the GNU General Public Licence v3.0. The code and the data are hosted on Github (https://github.com/bastianvandenbout/OpenLISEM-Hazard-2.0-Pre-Release). Both binaries and a copy of the source code are also available on Sourceforge, where the manual and compilation guide can similarly be found (https://sourceforge.net/projects/lisem/). Finally, more information can be found at the blog (https://blog.utwente.nl/lisem/)

The software, and its user interface, are written for windows, but platform independent libraries areused and compilation might be performed on other platforms.

Hardware requirements for the usage of the model are a 64-bit Operating system that can compile all requiredexternal libraries (see the manual for a full list and description). A graphical processing unit conforming to at

least the OpenCL 1.2 standard and support for both OpenGL 4.2 and OpenGL/OpenCL interoperability.

Additionally, an approximate 500 mb of hard drive space and 750 mb of memory must be available.

701





- 702 Appendix A. List of Symbols
- *h* is the flow height
- *s* is the solid phase
- f is the fluid phase
- *sc* is the structured solid phase
- fc is the confined fluid phase
- ρ_f is the density of fluids
- ρ_s is the density of solids
- **710** α_f is the volumetric fluid phase fraction
- α_s is the volumetric solid phase fraction
- f_{sc} is the fraction of solids that is structured (confining)
- **713** f_{fc} is the fraction of fluids that is confined
- α_c is the volumetric fraction of solids, structured solids and confined fluids
- α_u is the volumetric fraction of free fluids (unconfined phase).
- ρ_{sc} is the volume-averaged density of the solids and confined fluids
- u_u is the velocity of the unconfined phase (free fluids)
- u_c is the velocity of the solids, confining solids and confined fluids
- u_s is the velocity of the solids
- *f* is the body force
- M_{DG} is the drag force
- M_{vm} is the virtual mass force
- T_c is the stress tensor for eh solids, confining solids and confined fluids
- T_u is the stress tensor for the free fluid phase
- σ is the stress tensor
- \dot{s} is the deviatoric shear stress rate tensor
- δ is the Kronecker delta
- $\dot{\epsilon}_{plastic}$ is the plastic strain rate
- $\dot{\epsilon}_{elastic}$ is the elastic strain rate
- λ is the plastic multiplier rate
- g is the plastic potential function
- $\dot{\epsilon}_{total}$ is the total strain rate
- *ė* is the deviatoric strain rate
- ν is Poisson's ratio
- *E* is the elastic Young's Modulus
- *G* is the shear modulus
- *K* is the Bulk elastic modulus
- $f(I_1, J_2)$ is the yield surface, or yield criterion
- $g(I_1, J_2)$ is the plastic potential function
- ψ is the dilatancy angle
- I_1 is the first stress invariant
- J_2 is the second stress invariant
- α_{ϕ} is the first Ducker-Prager material constant
- k_c is the second Ducker-Prager material constant
- $\dot{\omega}$ is the spin rate tensor
- ϵ_{v0} is the initial volumetric strain
- ϵ_v is the volumetric strain
- c_0 is the initial cohesion
- au_f is the fluid Gauchy stress tensor
- P_f is the fluid pressure
- η_f is the fluids dynamic viscosity
- $\hat{\mathcal{A}}$ is the mobility of the fluid at the interface
- C_{DG} is the drag coefficient
- $U_{T,c}$ is the settling velocity of the solids, structured solids and confined fluids
- $U_{T,uc}$ is the settling velocity of the unstructured solids
- **756** \mathcal{F} is the drag contribution from solid-like drag
- G is the drag contribution from fluid-like drag
- S_p is the smoothing function
- \mathcal{K} is the absolute total mass flux





- $M(Re_p)$ is an empirical function weakly dependent on the Reynolds number
- \mathcal{P} the partitioning parameter for the fluid and solid like contributions to drag
- m is an exponent for \mathcal{P}
- C_{VMG} is the virtual mass coefficient
- 764 |*S*| is the norm of the shear force
- N is the normal force on a plane element
- g is the gravitational acceleration
- $P_{b_{s,u}}$ is the basal pressure from
- P_{b_u} is the basal pressure from the free fluids
- P_{b_c} is the basal pressure from the solids, structured solids and confined fluids
- \mathcal{B} is the pressure propagation factor for structured solids
- K_a is the active lateral earth pressure coefficient
- K_p is the passive lateral earth pressure coefficient
- ζ is a shape factor for the vertical gradient in solid concentration
- *n* is Mannings surface roughness coefficient
- 775 X is the shape factor for the vertical fluid velocity profile
- Re_p is the particle Reynolds Number
- N_R is the Reynolds Number
- N_{RA} is the interfacial Reynolds Number
- *H* is the typical height of the flow
- **780** L is the typical length of the flow
- **781** α is the first viscosity parameter
- β the second viscosity parameter
- 783 d is the grain diameter
- *W* is the kernel weight function
- r is the distance
- h is the kernel width (not to be confused with the flow height)
- *q* is the normalized particle distance
- **788** Π_{ij} is an artificial viscosity term
- $F_{ij}^n R_{ij}^{\alpha\beta}$ is an artificial stress term
- ϵ_0 is a constant parameter for the artificial stress term
- α_{Π} and β_{Π} are constants in the artificial viscous force
- u_{sound} is the speed of sound in the material
- N(x) is the Grid-kernel function
- c_p is the plastic coefficient





803 Appendix B. Stress Remapping

804 If, either due to degradation of strength parameters, or building numerical errors, the state of the stress
 805 tensor lies beyond the yield surface, a correction must be applied. We implement the correction scheme used by
 806 Bui et al. (2008). This scheme considers two primary ways in which the stress can have an undesired state:
 807 Tension cracking, and imperfectly plastic stress.

808 Tension Cracking

809 In the case of tension cracking, the stress state has moved beyond the apex of the yield surface, as 810 described by Chen & Mizuno (1990). The employed solution in this case is to re-map the stress tensor along the 811 l_1 axis to be at this apex. The apex is provided by the yield function (Equation 89)

812 89. $-\alpha_{\phi}I_1 + k_c < 0$

813 To solve for this condition, the non-deviatoric stress state is increased (since $I_1 - \frac{k_c}{\alpha_{\phi}}$ is negative) to lie

814 perpendicular to the apex point on the I_1 axis (Equation).

815 90.
$$\tilde{\sigma^{\gamma\gamma}} = rs^{\gamma\gamma} - \frac{1}{3} \left(I_1 - \frac{k_c}{\alpha_{\phi}} \right)$$

816 Imperfect Plastic Stress

817 Imperfect plastic stress described the state where the stress tensor lies above the apex, but beyond the
 818 yield criterion, thus have more stress than supported by the failure criteria that is set. This criteria is simply the
 819 yield surface itself (Equation 91).

820 91.
$$-\alpha_{\phi}I_1 + k_c < \sqrt{J_2}$$

For this state, re-mapping is done by scaling of the J_2 value (Equations 92, 93 and 94).

822 92.
$$r = \frac{-\alpha_{\phi} l_1 + k_c}{\sqrt{J_2}}$$

823 93. $\widetilde{\sigma^{\gamma \gamma}} = r s^{\gamma \gamma} + \frac{1}{2} l_1$

- 824 94. $\widetilde{\sigma^{xy}} = rs^{xy}, \widetilde{\sigma^{xy}} = rs^{xz}, \widetilde{\sigma^{xy}} = rs^{yz}$
- 825

821

- 826
- 827





828 Appendix C. Software Implementation

829 The model presented in this article is part of the continued development of the OpenLISEM modelling
830 tools. The most recent set of equations of implemented in the open-source alpha version of OpenLISEM Hazard
831 2. Here, we describe the details of the implementation of the model into software.

832 Hybrid MPM

833 We utilize the MPM framework to be able to discretize part of the equations on a Eulerian regural grid, 834 and part of the equations on the Lagrangian particles. Our distinct take on this method is the representation of the 835 fluid phase completely as a finite element solution, while solids are simulated as discrete particle volumes. This 836 allows the model to use the major benefits that are present when depth-averaged fluid flow is simulated in a grid. 837 Both numerical efficiency, and high-accuracy coupling with hydrology are lacking in particle methods. For the 838 solid phase, non-dissapative advection, fracturing and stiffness is a major benefit of the MPM approach. Since 839 our model assumed confined fluids share their velocity with the solids, we advect the confined fluids as part of 840 the particles. Total fluid volume is then calculated from the free fluids in the finite element data, and the gridded

841 particle data. A flowchart of the software setup is provided in figure 6.



842
843 Figure 9 The sub-steps taken by the software to complete a single step of numerical integration.

844 Finite element solution

845 We use a regular cartesian grid to describe the modelling domain. Terrain and cell-boundary based 846 variables are re-produces using the MUSCL piecewise linear reconstruction (Delestre et al., 2014). For each cell-847 boundary, a left and right estimation of acceleration terms, velocity updates and new discharges is made. The left 848 estimates use left-reconstructed variables while the other uses right-reconstructed variables. The final average 849 flux through the boundary determines actual mass and momentum transfer. Local acceleration is averaged from 850 the right estimate of the left boundary and left estimate of the right boundary. An additional benefit of the used 851 scheme is the automatic estimation of continuous and discontinuous terrain. The piecewise linear reconstructions 852 do not guarantee smooth terrain, for sharp locally variable terrain, pressure terms from vertical walls arise that 853 block momentum. These terms allow for better estimation of momentum loss by barriers, but can be turned off if 854 required for the simulated scenario.







855

Figure 10 Piecewise linear reconstruction is used by the MUSCL scheme to estimate values of flow
 heights, velocities and terrain at cell-boundaries.

858 GPU acceleration using OpenCL/OpenGL

859 In order to create a more efficient setup, both the finite element and particle interactions are performed 860 on the GPU. We utilize the OpenCL API to compile kernels written in c-style language. These kernels are 861 compiled at the start of the simulation, and thereby allow for easy customization by users. While the usage of 862 OpenCL 1.1 forces the usage of single precision floating point numbers, it allows for a wider range of GPU types 863 to be supported. Finite element solutions on the GPU are straightforward, as maps are a basic data storage type for graphical processing units. Particles are stored as single-precision floating point arrays. Within the 864 865 framework of MPM, iteration of particles within a kernel is required for each timestep and particle. This 866 effectively means $O(n^2)$ operations are required. Significant efficiency improvements are obtained by pre-867 calculation sorting. Particles are sorted based on their location within the finite element grid. Based on the id of 868 the gridcell, a bitonic mergesort is performed. This sorting algorithm works seamlessly on parallel architecture 869 and operates as $O(nlog^2(n))$ (Batcher, 1968). The then, a raster is allocated to store the first indexed occurrence 870 within the sorted list of particles of that gridcell. Since the kernel used for the presented work extends at most to 871 a full width of two gridcells, we must iterate over all particles present in 9 neighboring grid cells.



872

Figure 11 By limiting the kernel with and sorting particles before calculation, only the distance of
 particles in neighboring cells need to be checked, significantly reducing computational load, particularly for
 larger datasets.

876 A final benefit to the usage of OpenCL is direct access to simulation variables for visualization in

877 OpenGL using the OpenGL/OpenCL interoperability functionality. The built-in viewing window of OpenLISEM

878 Hazard 2.0 alpha directly uses the data to draw both particles, shapefiles and grid data using customizable

879 shaders written in the openGL shader language.





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