In light of the two anonymous reviews, please find below our responses to the raised issues.

First, we would like to gratefully thank the reviewers for their work in reading and reviewing the manuscript. Please know that all the proposed changes have been made to the manuscript.

In response to anonymous referee #1.

We thank the reviewer for this time in reading the manuscript. We have rewritten a large part of the introduction to clarify the scope and the potential application of this work. Now, the phenomena is first described, using terminology more commonly used within the literature. Afterwards, a short description of existing modelling approaches and their shortcomings is provided. Finally, the introduction ends with the objective of the research: development of a new generalized semi-structured mass movement model.

In terms of the nature of the movements, we have clarified that the model implements structured movements (dynamics of a coherent mass), but similarly can (if required, or if the underlying physics indicates it) simulates fragmentation of the material.

We have addressed our usage of the term "debris-flow" in our work. Instead we use "mass movement", as it more accurately reflects the generalized nature of the equations. Similarly to the work of Pudasaini (2012) and George and Iverson (2014) and Aaron and Hungr (2016), generalized sets of equations which are sometimes referred to as "debris flow" equations allow for simulation of a much wider range of phenomena.

The applicability of the model to granular flow is, when cohesive strength is insignificant, at least as good as the generalized two-phase equations from Pudasaini (2012) which is the predominant underpinning of this work. The influence of the additional work on cohesive strength and fragmentation has been developed with general validity in mind. When fragmentation occurs in the model, further runout reduces to the two-phase equations of Pudasaini automatically. However, full validation of the model to runout of various types of cohesive matrices must be further investigated.

Finally, all specific comments have been addressed based on the reviewer suggestion.

In response to anonymous referee #2.

We thank the reviewer for this time in reading the manuscript. All the specific comments provided by the reviewer have been addressed in the manuscript. The sections have been re-labeled to be consistent and in line with the comments. Also, we have addressed our usage of the term debris-flow in this work. As with reviewer 1, we agree that mass movement (to be more generic) and specifically rock avalanches and landslide are more closely related to the applicability of this work.

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# Towards a model for structured mass movements: the

# 2 OpenLISEM Hazard model 2.0a

- Bastian van den Bout\*<sup>1</sup> Theo van Asch<sup>2</sup> Wei Hu<sup>2</sup> Chenxiao X. Tang<sup>3</sup> Olga Mavrouli<sup>1</sup> Victor G.Jetten<sup>1</sup> CeesJ.
   van Westen<sup>1</sup>
- 5 <sup>1</sup>University of Twente, Faculty of Geo-Information Science and Earth Observation
- <sup>6</sup> <sup>2</sup>Chengdu university of Technology, State key Laboratory of Geohazard Preventaion and GeoEnvironment
   <sup>7</sup> Protection
- 8 <sup>3</sup>Institute of Mountain Hazards and Environment, Chinese Academy of Sciences
- 9 Correspondence to: Bastian van den Bout (b.vandenbout@utwente.nl)
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# 11 Abstract

12Mass movements such as debris flows and landslides differ in behavior due to their material properties and<br/>internal forces. Models employ generalized multi-phase flow equations to adaptively describe these complex

14 flow types. Such models commonly assume unstructured and fragmented flow, where internal cohesive strength 15 is insignificant. However, models commonly assume unstructured and fragmented flow after initiation of

16 movement. In this work, existing work on two-phase mass movement equations are extended to include a full 17 stress-strain relationship that allows for runout of (semi-) structured fluid-solid masses. The work provides both 18 the three-dimensional equations and depth-averaged simplifications. The equations are implemented in a hybrid 19 Material Point Method (MPM) which allows for efficient simulation of stress-strain relationships on discrete 20 smooth particles. Using this framework, the developed model is compared to several flume experiments of clay 21 22 blocks impacting fixed obstacles. Here, both final deposit patterns and fractures compare well to simulations. Additionally, numerical tests are performed to showcase the range of dynamical behavior produced by the 23 model. Important processes such as fracturing, fragmentation and fluid release are captured by the model. While 24 this provides an important step towards complete mass movement models, several new opportunities arise such

as ground-water flow descriptions and application to fragmenting mass movements and block-slides and block-slides.
 slides.

### 1. Introduction

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29 The earths rock cycle involves sudden release and gravity-driven transport of sloping materials. These 30 mass movements have a significant global impact in financial damage and casualties (Nadim et al., 2006; 31 Kjekstad & Highland, 2009). Understanding the physical principles at work at their initiation and runout phase 32 allows for better mitigation and adaptation to the hazard they induce (Corominas et al., 2014). Many varieties of 33 gravitationally-driven mass movements have been categorized according to their material physical parameters 34 and type of movement. Examples are slides, flows and falls consisting of soil, rocks or debris (Varnes, 1987). 35 Major factors in determining the dynamics of mass movement runout are the composition of the moving material 36 and the internal and external forces during initiation and runout.

37 Within the cluster of existing mass movement processes, a distinction can be made based on the 38 cohesive of the mass during movement. Post-release, a sloping mass might be unstructured, such as mud flows, 39 where grain-grain cohesive strength is absent. Alternatively, the mass can be fragmentative, such as strongly-40 deforming landslides or fragmenting of rock avalanches upon particle impacts. Finally, there are 41 coherent/structured mass movements, such as can be the case in block-slides where internal cohesive strength 42 can resist deformation for some period (Varnes, 1987). The general importance of the initially structured nature 43 of mass movement material is observed for a variety of reasons. First, block slides are an important subset of 44 mass movement types (Hayir, 2003; Beutner et al., 2008; Tang et al., 2008). This type of mass movement 45 features some cohesive structure to the dynamic material in the movement phase. Secondly, during movement, 46 the spatial gradients in local acceleration induce strain and stress that results in fracturing. This process, often 47 called fragmentation in relation to structured mass movements, can be of crucial importance for mass movement 48 dynamics (Davies & McSaveney, 2009; Delaney & Evans, 2014; Dufresne et al., 2018; Corominas et al. 2019). 49 Lubricating effect from basal fragmentation can enhance velocities and runout distance significantly (Davies et 50 al., 2006; Tang et al., 2009). Otherwise, fragmentation generally influences the rheology of the movement by 51 altering grain-grain interactions (Zhou et al., 2005). The importance of structured material dynamics is further 52 indicated by engineering studies on rock behavior and fracture models (Kaklauskas & Ghaboussi, 2001; Ngekpe 53 et al., 2016; Dhanmeher, 2017).

54 Dynamics of geophysical flows are complex and depend on a variety of forces due to their multi-phase 55 interactions (Hutter et al., 1996). Physically-based models attempt to describe the internal and external forces of 56 all these mass movements in a generalized form (David & Richard, 2011; Pudasaini, 2012; Iverson & George, 57 2014). This allows these models to be applied to a wide variety of cases, while improving predictive range. 58 Generally, understanding and prediction of geophysical flows takes place through numerical modelling of the 59 flow. A variety of both one, two and three- dimensional sets of equations exist to describe the advection and 60 forces that determine the dynamics of geophysical flows. A major assumption made for current models is the a 61 fully mixed and fragmented nature of the material (Iverson & Denlinger 2001; Pudasaini & Hutter, 2003). 62 Physically-based models attempt to describe the internal and external forces of all these mass movements in a 63 generalized form (David & Richard, 2011; Pudasaini, 2012; Iverson & George, 2014). This allows these models 64 to be applied to a wide variety of cases, while improving predictive range.

66 For unstructured (fully fragmented) mass movements, a variety of models exist relating to mohr-67 coulomb mixture theory. Dynamics of geophysical flows are complex and depend on a variety of forces due to 68 their multi-phase interactions (Hutter et al., 1996). Generally, understanding and prediction of geophysical flows 69 takes place through numerical modelling of the flow. A variety of both one, two and three-dimensional sets of 70 equations exist to describe the advection and forces that determine the dynamics of geophysical flows. Examples 71 that simulated a single mixed material (Rickenmann et al., 2006; O'Brien et al., 2007; Luna et al., 2012; van 72 Asch et al., 2014). Two phase models describe both solids, fluids and their interactions and provide additional 73 detail and generalize in important ways (Sheridan et al., 2005; Pitman & Le, 2005; Pudasaini, 2012; George & 74 Iverson, 2014; Mergili et al., 2017). Recently, a three-phase model has been developed that includes the 75 interactions between small and larger solid phases (Pudasaini & Mergili, 2019). Typically, implemented forces 76 include gravitational forces and, depending on the rheology of the equations, drag forces, viscous internal forces 77 and a plasticity-criterion.

A major assumption made for current models is the a fully mixed and fragmented nature of the material
 (Iverson & Denlinger 2001; Pudasaini & Hutter, 2003).-Theis assumption of unstructured flow is invalid for any
 structured mass movement. Some models do implement a non-Newtonian viscous yield stress based on depth averaged strain estimations (Boetticher et al., 2016; Fornes et al., 2017; Pudasaini & Mergili, 2019). However,
 this approach lacks the process of fragmentation and internal failure.

For structured mass movements, with particle-particle cohesive strength, limited approaches are available. Aaron & Hungr developed a model for simulation of initially coherent rock avalanches (Aaron &

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85 Hungr, 2016) as part of DAN3D Flex. Within their approach, a rigid-block momentum analysis is used to 86 simulate initial movement of the block. After a specified time, the block is assumed to fragment, and a granular 87 flow model using a Voellmy-type rheology is used for further runout. Their approach thus lacks a physical basis 88 for the fragmenting behavior. Additionally, by dissecting the runout process in two stages (discrete block and 89 granular flow), benefits of holistic two-phase generalized runout models are lost. Finally, Greco et al. (2019) 90 presented a runout model for cohesive granular matrix. Their approach similarly lacks a description of the 91 fragmentation process. Observations of mass movement types indicate that mixing and fracturing is not a 92 necessary process (Varnes, 1987). Instead, block or slide movement can retain structure during their dynamic 93 stage, as the material is able to resists the internal deformation stresses. Some models do a non-Newtonian 94 viscous yield stress based on depth-averaged strain estimations (Boetticher et al., 2016; Fornes et al., 2017; 95 Pudasaini & Mergili, 2019). However, this approach lacks the process of fragmentation and internal failure. 96 Thus, within current mass movement models, there might be improvements available from assuming non-97 fragmented movement. This would allow for description of structured mass movement dynamics.

98 The general importance of the initially structured nature of mass movement material is observed for a 99 variety of reasons. First, block slides are an important subset of mass movement types (Hayir, 2003; Beutner et 100 al., 2008; Tang et al., 2008). This type of mass movement features some cohesive structure to the dynamic 101 material in the movement phase. Secondly, during movement, the spatial gradients in local acceleration induce 102 strain and stress that results in fracturing. This process, often called fragmentation in relation to structured mass 103 movements, can be of crucial importance for mass movement dynamics (Davies & McSaveney, 2009; Delaney 104 & Evans, 2014; Dufresne et al., 2018; Corominas et al. 2019). Lubricating effect from basal fragmentation can 105 enhance velocities and runout distance significantly (Davies et al., 2006;Tang et al., 2009). Otherwise, 106 fragmentation generally influences the rheology of the movement by altering grain grain interactions (Zhou et 107 al., 2005). The importance of structured material dynamics is further indicated by engineering studies on rock 108 behavior and fracture models (Kaklauskas & Ghaboussi, 2001; Ngekpe et al., 2016; Dhanmeher, 2017)

109 In this paper, a generalized mass movement model existing two phase generalized debris flow equations 110 111 are adapted is developed to describe runout of an arbitrarily structured two-phase Mohr-Coulomb material. The model extents on recent innovations in generalized models for mohr-coulomb mixture flow (Pudasaini, 2012; 112 Pudasaini & Mergili, 2019). The second section of this work provides the derivation of the extensive set of 113 equations that describe structured mass movements in a generalized manner. The third section validates the 114 developed model by comparison with results from controlled flume runout experiments. Additionally, this 115 section shows numerical simulation examples that highlight fragmentation behavior and its influence on runout 116 dynamics. Finally, in section four, a discussion on the potential usage of the presented model is provided 117 together with reflection on important opportunities of improvement.

# 1.2. A set of debris flowmass movement equations incorporating internal structure

### 2.11.1 Structured mass movements

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120 Initiation of gravitational mass flows occurs when sloping material is released. The instability of such 121 materials is generally understood to take place along a failure plane (Zhang et al., 2011, Stead & Wolter, 2015). 122 Along this plane, forces exerted due to gravity and possible seismic accelerations can act as a driving force 123 towards the downslope direction, while a normal-force on the terrain induces a resisting force (Xie et al., 2006). 124 When internal stress exceeds a specified criteria, commonly described using Mohr-Coulomb theory, fracturing 125 occurs, and the material becomes dynamic. Observations indicate material can initially fracture predominantly at 126 the failure plane (Tang et al., 2009 Davies et al., 2006). Full finite-element modelling of stability confirms no 127 fragmentation occurs at initiation, and runout can start as a structured mass (Matsui & San, 1992; Griffiths & 128 Lane, 1999).

129 Once movement is initiated, the material is accelerated. Due to spatially non-homogeneous acceleration, 130 either caused by a non-homogeneous terrain slope, or impact with obstacles, internal stress can build within the 131 moving mass. The stress state can reach a point outside the yield surface, after which some form of deformation 132 occurs (e.g. Plastic, Brittle, ductile) (Loehnert et al., 2008). In the case of rock or soil material, elastic/plastic 133 deformation is limited and fracturing occurs at relatively low strain values (Kaklauskas & Ghaboussi, 2001; Dhanmeher., 2017). Rocks and soil additionally show predominantly brittle fracturing, where strain increments 134 135 at maximum stress are small (Bieniawaski, 1967; Price, 2016; Husek et al., 2016). For soil matrices, cohesive 136 bonds between grains originate from causes such as cementing, frictionl contacts and root networks (Cohen et 137 al., 2009). Thus, the material breaks along either the grain-grain bonds or on the molecular level. In practice, this 138 processes of fragmentation has been both observed and studied frequently. Cracking models for solids use stress-139 strain descriptions of continuum mechanics (Menin et al., 2009; Ngekpe et al., 2016). Fracture models frequently 140 use Smooth Particle Hydrodynamics (SPH) since a Lagrangian, meshfree solution benefits possible fracturing 141 behavior (Maurel & Combescure, 2008; Xu et al., 2010; Osorno & Steeb, 2017). Within the model developed

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142 below, knowledge from fracture-simulating continuum mechanical models is combined with finite element fluid 143 dynamic models

144 145 146 147 148 149 The mohr-coulomb mixture models on which the developed model is based, can be found in Pitman & Le (2005), Pudasaini (2012), George & Iverson, 2014 and Pudasaini & Mergili (2019). While these are commonly names debris-flow models, their validity extends beyond this typical category of mass movement. This is both apparent from model applications (Mergili et al., 2018) and theoretical considerations (Pudasaini, 2012). A major cause for the usage of debris flow as a term here is the assumption of unstructured flow, which we are aiming to solve in this work.

### 21.2 Model description

151 152 We define two phases, solids and fluids, within the flow, indicated by s and f respectively. A specified fraction of solids within this mixture is at any point part of a structured matrix. This structured solid phase, 153 indicated by sc envelops and confines a fraction of the fluids in the mixture, indicates as fc. The solids and fluids are defined in terms of the physical properties such as densities ( $\rho_f$ ,  $\rho_s$ ) and volume fractions ( $\alpha_f$  = 154  $\frac{sf}{f+s}$ ,  $\alpha_s = \frac{fs}{f+s}$ ). The confined fractions of their respective phases are indicated as  $f_{sc}$  and  $f_{fc}$  for the volume fraction of confined solids and fluids respectively (Equations 1,2 and 3). 155 156

1.  $\alpha_s + \alpha_f = 1$ 157

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2.  $\alpha_s(f_{sc} + (1 - f_{sc})) + \alpha_f(f_{fc} + (1 - f_{fc})) = 1$ 3.  $(f_{sc} + (1 - f_{sc})) = (f_{fc} + (1 - f_{fc})) = 1$ 158

159 3. 
$$(f_{sc} + (1 - f_{sc})) = (f_{fc} + (1 - f_{fc})) =$$

For the solids, additionally internal friction angle ( $\phi_s$ ) and effective (volume-averaged) material size 160 161  $(d_s)$  are defined. We additionally define  $\alpha_c = \alpha_s + f_{fc}\alpha_f$  and  $\alpha_u = (1 - f_{fc})\alpha_f$  to indicate the solids with 162 confined fluids and free fluid phases respectively. These phases have a volume-averaged density  $\rho_{sc}$ ,  $\rho_f$ . We let 163 the velocities of the unconfined fluid phase  $(\alpha_u = (1 - f_{fc})\alpha_f)$  be defined as  $u_u = (u_u, v_u)$ . We assume 164 velocities of the confined phases ( $\alpha_c = \alpha_s + f_{fc}\alpha_f$ ) can validly be assumed to be identical to the velocities of the solid phase,  $u_c = (u_c, v_c) = u_s = (u_s, v_s)$ . A schematic depiction of the represented phases is shown in 165 166 Figure 1.



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Figure 1 A schematic depiction of the flow contents. Both structured and unstructured solids are 170 present. Fluids can be either free, or confined by the structured solids.

171 A major assumption is made here concerning the velocities of both the confined and free solids (sc and 172 s), that have a shared averaged velocity  $(u_s)$ . We deliberately limit the flow description to two phases, opposed 173 to the innovative work of Pudasaini & Mergili (2019) that develop a multi-mechanical three-phase model. This 174 choice is motivated by considerations of applicability (reducing the number of required parameters), the infancy 175 of three-phase flow descriptions and finally the general observations of the validity of this assumption (Ishii, 176 1975; Ishii & Zuber, 1979; Drew, 1983; Jakob et al, 2005; George & Iverson, 2016).

The movement of the flow is described initially by means of mass and momentum conservation (Equations 4 and 5).

4.  $\frac{\partial \alpha_c}{\partial t} + \nabla \cdot (\alpha_c \boldsymbol{u}_c) = 0$ 179

180 5. 
$$\frac{\partial \alpha_u}{\partial t} + \nabla \cdot (\alpha_u \boldsymbol{u}_u) = 0$$

181 Here we add the individual forces based on the work of Pudasaini & Hutter (2003), Pitman & Le 182 (2005), Pudasaini (2012), Pudasaini & Fischer (2016) and Pudasaini & Mergili (2019) (Equations 6 and 7).

183 6. 
$$\frac{\partial}{\partial t}(\alpha_c \rho_c \boldsymbol{u}_c) + \nabla \cdot (\alpha_c \rho_c \boldsymbol{u}_c \otimes \boldsymbol{u}_c) = \alpha_c \rho_c \boldsymbol{f} - \nabla \cdot \alpha_c \boldsymbol{T}_c + p_c \nabla \alpha_c + \boldsymbol{M}_{DG} + \boldsymbol{M}_{vm}$$

184 7. 
$$\frac{\partial}{\partial t} (\alpha_u \rho_f \boldsymbol{u}_u) + \nabla \cdot (\alpha_u \rho_f \boldsymbol{u}_u \otimes \boldsymbol{u}_u) = \alpha_u \rho_f \boldsymbol{f} - \nabla \cdot \alpha_u \boldsymbol{T}_u + p_f \nabla \alpha_u - \boldsymbol{M}_{DG} - \boldsymbol{M}_{vm}$$

Where f is the body force (among which is gravity),  $M_{DG}$  is the drag force,  $M_{vm}$  is the virtual mass force and  $T_c$ ,  $T_u$  are the stress tensors for solids with confined fluids and unconfined phases respectively. The 185 186 187 virtual mass force described the additional work required by differential acceleration of the phases. The drag

188 force describes the drag along the interfacial boundary of fluids and solids. The body force describes external 189 forces such as gravitational acceleration and boundary forces. Finally, the stress tensors describe the internal 190 forces arising from strain and viscous processes. Both the confined and unconfined phases in the mixture are 191 subject to stress tensors ( $T_c$ , and  $T_u$ ), for which the gradient acts as a momentum source. Additionally, we follow 192 Pudasaini (2012) and add a buoyancy force ( $p_c \nabla \alpha_c$  and  $p_f \nabla \alpha_u$ ).

### Stress Tensors, Describing internal structure

194 Based on known two-phase mixture theory, the internal and external forces acting on the moving 195 material are now set up. This results in several unknowns such as the stress tensors ( $T_c$  and  $T_u$ , described by the 196 constitutive equation), the body force (f), the drag force ( $M_{DG}$ ) and the virtual mass force ( $M_{VM}$ ). This section 197 will first describe the derivation of the stress tensors. These describe the internal stress and viscous effects. To 198 describe structured movements, these require a full stress-strain relationship which is not present in earlier 199 generalized mass movements model. Afterwards, existing derivation of the body, drag and virtual mass force are 190 altered to conform the new constitutive equation.

201 Our first step in defining the momentum source terms in equations 6 and 7 is the definition of the fluid and solid stress tensors. Current models typically follow the assumptions made by Pitman & Le (2005), who 202 203 indicate: "Proportionality and alignment of the tangential and normal forces are imposed as a basal boundary 204 condition is assumed to hold throughout the layer of flowing material ... following Rankine (1857) and Terzaghi 205 (1936), an earth pressure relation is assumed for diagonal stress components". Here, the earth pressure 206 relationship is a vertically-averaged analytical solution for lateral forces exerted by an earth wall. Thus, 207 unstructured columns of moving mixtures are assumed. Here, we aim to use the full Mohr-Coulomb relations. 208 Describing the internal stress of soil and rock matrices is commonly achieved be elastic-plastic simulations of 209 the materials stress-strain relationship. Since we aim to model a full stress description, the stress tensor is equal 210 to the elasto-plastic stress tensor (Equation 8).

8. 
$$T_c = \sigma$$

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212 Where  $\sigma$  is the elasto-plastic stress tensor for solids. The stress can be divided into the deviatoric and 213 non-deviatoric contributions (Equation 9). The non-deviatoric part acts normal on any plane element (in the 214 manner in which a hydrostatic pressure acts equal in all directions). Note that we switch to tensor notation when 215 describing the stress-strain relationship. Thus, superscripts ( $\alpha$  and  $\beta$ ) represent the indices of basis vectors (x, y 216 or z axis in Euclidian space), and obtain tensor elements. Additionally, the Einstein convention is followed 217 (automatic summation of non-defined repeated indices in a single term).

9. 
$$\sigma^{\alpha\beta} = s^{\alpha\beta} + \frac{1}{3}\sigma^{\gamma\gamma}\delta^{\alpha\beta}$$

# Where *s* is the deviatoric stress tensor and $\delta^{\alpha\beta} = [\alpha = \beta]$ is the Kronecker delta.

220Here, we define the elasto-plastic stress ( $\sigma$ ) based on a generalized Hooke-type law in tensor notation221(Equation 10 and 11) where plastic strain occurs when the stress state reaches the yield criterion (Spencer, 2004;222Necas & Hiavecek, 2007; Bui et al., 2008).

223 10. 
$$\hat{\epsilon}_{elastic}^{\alpha\beta} = \frac{\dot{s}^{\alpha\beta}}{2G} + \frac{1-2\nu}{E} \dot{\sigma}^m \delta^{\alpha\beta}$$
  
224 11.  $\hat{\epsilon}_{plastic}^{\alpha\beta} = \dot{\lambda} \frac{\partial g}{\partial x^{\alpha\beta}}$ 

225 Where  $\dot{\epsilon}_{elastic}$  is the elastic strain tensor,  $\dot{\epsilon}_{plastic}$  is the plastic strain tensor,  $\dot{\sigma}^m$  is the mean stress rate 226 tensor,  $\nu$  is Poisson's ratio, *E* is the elastic Young's Modulus, *G* is the shear modulus,  $\dot{s}$  is the deviatoric shear 227 stress rate tensor,  $\dot{\lambda}$  is the plastic multiplier rate and *g* is the plastic potential function. Additionally, the strain 228 rate is defined from velocity gradients as equation 12.

229 12. 
$$\dot{\epsilon}_{total}^{\alpha\beta} = \dot{\epsilon}_{elastic}^{\alpha\beta} + \dot{\epsilon}_{plastic}^{\alpha\beta} = \frac{1}{2} \left( \frac{\partial u_c^{\alpha}}{\partial x^{\beta}} - \frac{\partial u_c^{\beta}}{\partial x^{\alpha}} \right)$$

**230** By solving equations 9, 10 and 11 for  $\dot{\sigma}$ , a stress-strain relationship can be obtained (Equation 13) (Bui 231 et al., 2008).

232 13. 
$$\dot{\sigma}^{\alpha\beta} = 2G\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} + K\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} - \dot{\lambda}\left[\left(K - \frac{2G}{3}\right)\frac{\partial g}{\partial\sigma^{mn}}\delta^{mn}\delta^{\alpha\beta} + 2G\frac{\partial g}{\partial\sigma^{\alpha\beta}}\right]$$

233 Where  $\dot{e}$  is the deviatoric strain rate ( $\dot{e}^{\alpha\beta} = \dot{e}^{\gamma\gamma} - \frac{1}{3}\dot{e}^{\alpha\beta}\delta^{\alpha\beta}$ ),  $\psi$  is the dilatancy angle and K is the 234 elastic bulk modulus and the material parameters defined from from *E* and  $\nu$  (Equation 14).

235 14. 
$$K = \frac{E}{3(1-2\nu)}$$
,  $G = \frac{E}{2(1+\nu)}$ 

Fracturing or failure occurs when the stress state reaches the yield surface, after which plastic deformation occurs. The rate of change of the plastic multiplier specifies the magnitude of plastic loading and must ensure a new stress state conforms to the conditions of the yield criterion. By means of substituting equation 13 in the consistency condition  $(\frac{\partial f}{\partial \sigma^{\alpha\beta}} d\sigma^{\alpha\beta} = 0)$ , the plastic multiplier rate can be defined (Equation

240 15) (Bui et al., 2008).

241 15. 
$$\dot{\lambda} = \frac{26\epsilon^{\alpha\beta}\frac{\partial f}{\partial\sigma^{\alpha\beta}} + (K - \frac{2G}{3})\dot{\epsilon}^{\gamma\gamma}\frac{\partial f}{\partial\sigma^{\alpha\beta}}\sigma^{\alpha\beta}\delta^{\alpha\beta}}{2G\frac{\partial f}{\partial\sigma^{\alpha\alpha\beta}}\sigma^{\alpha\beta}(K - \frac{2G}{3})\frac{\partial f}{\partial\sigma^{\alpha\alpha\beta}}\delta^{\alpha\alpha}\frac{\partial g}{\partial\sigma^{\alpha\alpha\beta}}\delta^{\alpha\alpha}}$$

242 The yield criteria specifies a surface in the stress-state space that the stress state can not pass, and at 243 which plastic deformation occurs. A variety of yield criteria exist, such as Mohr-Coulomb, Von Mises, Ducker-244 Prager and Tresca (Spencer, 2004). Here, we employ the Ducker-Prager model fitted to Mohr-Coulomb material 245 parameters for its accuracy in simulating rock and soil behavior, and numerical stability (Spencer, 2004; Bui et 246 al., 2008) (Equation 16 and 17).

247 16. 
$$f(I_1, J_2) = \sqrt{J_2} + \alpha_{\phi} I_1 - k_c = 0$$
  
248 17.  $\alpha(I_1, I_2) = \sqrt{I_2} + \alpha_{\phi} I_1 - k_c = 0$ 

248 17. 
$$g(I_1, J_2) = \sqrt{J_2 + \alpha_{\phi} I_1 \sin(\psi)}$$

249 Where  $I_1$  and  $J_2$  are tensor invariants (Equation 18 and 19).

$$18. I_1 = \sigma^{xx} + \sigma^{yy} + \sigma^{zz}$$

$$19. J_2 = \frac{1}{2} s^{\alpha\beta} s^{\alpha\beta}$$

254

252 Where the Mohr-Coulomb material parameters are used to estimate the Ducker-Prager parameters253 (Equation 20).

20. 
$$\alpha_{\phi} = \frac{\tan(\phi)}{\sqrt{9+12}\tan^2 \phi}, \quad k_c = \frac{3c}{\sqrt{9+12}\tan^2 \phi}$$

Using the definitions of the yield surface and stress-strain relationship, combining equations 13, 15, 16 and 17, the relationship for the stress rate can be obtained (Equation 21 and 22).

257 21. 
$$\dot{\sigma} = 2G\dot{e}^{\alpha\beta} + K\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} - \dot{\lambda}\left[9Ksin\psi\,\delta^{\alpha\beta} + \frac{G}{\sqrt{J_2}}s^{\alpha\beta}\right]$$

258 22. 
$$\dot{\lambda} = \frac{3\alpha K \dot{\epsilon}^{\gamma\gamma} + \left(\frac{G}{\sqrt{J_2}}\right) s^{\alpha\beta} \dot{\epsilon}^{\alpha\beta}}{27 \alpha_{\phi} K sin\psi + G}$$

In order to allow for the description of large deformation, the Journann stress rate can be used, which isa stress-rate that is independent from a frame of reference (Equation 23).

261 23. 
$$\hat{\sigma} = \sigma^{\alpha\gamma}\dot{\omega}^{\beta\gamma} + \sigma^{\gamma\beta}\dot{\omega}^{\alpha\gamma} + 2G\dot{e}^{\alpha\beta} + K\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} - \dot{\lambda}\left[9Ksin\psi\,\delta^{\alpha\beta} + \frac{G}{\sqrt{J_2}}s^{\alpha\beta}\right]$$

262 Where  $\dot{\omega}$  is the spin rate tensor, as defined by equation 24.

263 24. 
$$\dot{\omega}^{\alpha\beta} = \frac{1}{2} \left( \frac{\partial v^{\alpha}}{\partial x^{\beta}} - \frac{\partial v^{\beta}}{\partial x^{\alpha}} \right)$$

264 Due to the strain within the confined material, the density of the confined solid phase ( $\rho_c$ ) evolves 265 dynamically according to equation 25.

266 25. 
$$\rho_c = f_{sc}\rho_s \frac{\epsilon_{v0}}{\epsilon_v} + (1 - f_{sc})\rho_s + f_{fc}\rho_f$$

267 Where  $\epsilon_{v}$  is the total volume strain,  $\epsilon_{v} \approx \epsilon_{1} + \epsilon_{2} + \epsilon_{3}$ ,  $\epsilon_{i}$  is one of the principal components of the 268 strain tensor. Since we aim to simulate brittle materials, where volume strain remains relatively low, we assume 269 that changes in density are small compared to the original density of the material  $(\frac{\partial \rho_{c}}{\partial t} \ll \rho_{c})$ .

# 270 Fragmentation

Brittle fracturing is a processes commonly understood to take place once a material internal stress has
reached the yield surface, and plastic deformation has been sufficient to pass the ultimate strength point (Maurel
& Cumescure, 2008; Husek et al., 2016). A variety of approaches to fracturing exist within the literature (Ma et
al., 2014; Osomo & Steeb, 2017). FEM models use strain-based approaches (Loehnert et al., 2008). For SPH

implementations, as will be presented in this work, distance-based approaches have provided good results

- (Maurel & Cumbescure, 2008). Other works have used strain-based fracture criteria (Xu et al., 2010).
- 277 Additionally, dynamic degradation of strength parameters have been implemented (Grady & Kipp, 1980; Vuyst

278 & Vignjevic, 2013; Williams, 2019). Comparisons with observed fracture behavior has indicated the predictive 279 value of these schemes (Xu et al., 2010; Husek et al., 2016). We combine the various approaches to best fit the 280 dynamical multi-phase mass movement model that is developed. Following, Grady & Kipp (1980) and we 281 simulate a degradation of strength parameters. Our material consists of a soil and rock matrix. We assume 282 fracturing occurs along the inter-granular or inter-rock contacts and bonds (see also Cohen et al., 2009). Thus, 283 cohesive strength is lost for any fractured contacts. We simulate degradation of cohesive strength according to a 284 volume strain criteria. When the stress state lies on the yield surface (the set of critical stress states within the 6-285 dimensional stress-space), during plastic deformation, strain is assumed to attribute towards fracturing. A critical 286 volume strain is taken as material property, and the breaking of cohesive bonds occurs based on the relative 287 volume strain. Following Grady & Kipp (1980) and Vuyst & Vignjevic (2013), we assume that the degradation 288 behavior of the strength parameter is distributed according to a probability density distribution. Commonly, a 289 Weibull-distribution is used (Williams, 2019). Here, for simplicity, we use a uniform distribution of cohesive 290 strength between 0 and  $2c_0$ , although any other distribution can be substituted. Thus, the expression governing 291 cohesive strength becomes equation 26

292 26. 
$$\frac{\partial c}{\partial t} = \begin{cases} -c_0 \frac{1}{2} \frac{\left(\frac{\delta c_0}{\delta c_0}\right)}{\epsilon_c} & f(I_1, J_2) \ge 0, c > 0\\ 0 & otherwise \end{cases}$$

293 Where  $c_0$  is the initial cohesive strength of the material,  $\epsilon_{v0}$  is the initial volume,  $\left(\frac{\epsilon_v}{\epsilon_{v0}}\right)$  is the fractional 294 volumetric strain rate,  $\epsilon_c$  is the critical fractional volume strain for fracturing.

### -Water partitioning

295

296 During the movement of the mixed mass, the solids can thus be present as a structured matrix. Within 297 such a matrix, a fluid volume can be contained (e.g. as originating from a ground water content in the original 298 landslide material). These fluids are typically described as groundwater flow following Darcy's law, which poses 299 a linear relationship between pressure gradients and flow velocity through a soil matrix. In our case, we assumed the relative velocity of water flow within the granular solid matrix as very small compared to both solid 300 301 velocities and the velocities of the free fluids. As an initial condition of the material, some fraction of the water 302 is contained within the soil matrix ( $f_{fc}$ ). Additionally, for loss of cohesive structure within the solid phase, we 303 transfer the related fraction of fluids contained within that solid structure to the free fluids.

304 
$$27. \ \frac{\partial f_{fc}}{\partial t} = -\frac{\partial (1-f_{fc})}{\partial t} = \begin{cases} -f_{fc} \frac{c_0}{c} \frac{\max(0.0, \varepsilon_v)}{\varepsilon_f} & f(I_1, J_2) \ge 0, c > 0\\ 0 & otherwise \end{cases}$$

305 28. 
$$\frac{\partial f_{sc}}{\partial t} = -\frac{\partial (1-f_{sc})}{\partial t} = \begin{cases} -f_{sc} \frac{c_0 \max(0.0, \epsilon_p)}{c} & f(l_1, J_2) \ge 0, c > 0, c >$$

306 Beyond changes in  $f_{fc}$  through fracturing of structured solid materials, no dynamics are simulated for 307 in- or outflux of fluids from the solid-matrix. The initial volume fraction of fluids in the solid matrix defined by 308  $(f_{fc} \text{ and } s_{fsc})$  remains constant throughout the simulation. The validity of this assumption can be based on the 309 slow typical fluid velocities in a solid matrix relative to fragmented mixed fluid-solid flow velocities (Kern, 1995; Saxton and Rawls, 2006). While the addition of evolving saturation would extend validity of the model, it 310 311 would require implementation of pretransfer-functions for evolving material properties, which is beyond the 312 scope of this work. An important note on the points made above is the manner in which fluids are re-partitioned 313 after fragmentation. All fluids in fragmented solids are released, but this does not equate to free movement of the 314 fluids or a disconnection from the solids that confined them. Instead, the equations continue to connect the solids 315 and fluids through drag, viscous and virtual mass forces. Finally, the density of the fragmented solids is assumed 316 to be the initially set solid density. Any strain-induced density changes are assumed small relative to the initial 317 solid density  $\left(\frac{\rho_c}{\rho_s}\ll 1\right)$ .

# 318 Fluid Stresses

The fluid stress tensor is determined by the pressure and the viscous terms (Equations 29 and 30).Confined solids are assumed to be saturated and constant during the flow.

 $321 29. T_u = P_f I + \tau_f$ 

322 30. 
$$\boldsymbol{\tau}_f = \eta_f [\nabla \boldsymbol{u}_u + (\nabla \boldsymbol{u}_c)^t] - \frac{\eta_f}{\alpha_u} \mathcal{A}(\alpha_u) (\nabla \alpha_c (\boldsymbol{u}_u - \boldsymbol{u}_c) + (\boldsymbol{u}_c - \boldsymbol{u}_u) \nabla \alpha_c)$$

323 Where *I* is the identity tensor,  $\tau_f$  is the viscous stress tensor for fluids ,  $P_f$  is the fluid pressure,  $\eta_f$  is the 324 dynamic viscosity of the fluids and  $\mathcal{A}$  is the mobility of the fluids at the interface with the solids that acts as a 325 phenomenological parameter (Pudasaini, 2012).

326 The fluid pressure acts only on the free fluids here, as the confined fluids are moved together with the 327 solids. In equation 30, the second term is related to the non-Newtonian viscous force induced by gradients in 328 solid concentration. The effect as described by Pudasaini (2012) is induced by a solid-concentration gradient. In 329 case of unconfined fluids and unstructured solids ( $f_{sf} = 1, f_{sf} = 1$ ). Within our flow description, we see no 330 direct reason to eliminate or alter this force with a variation in the fraction of confined fluids or structured solids. 331 We do only consider the interface between solids and free fluids as an agent that induces this effect, and 332 therefore the gradient of the gradient of the solids and confined fluids  $(\nabla(\alpha_s + f_{fc}\alpha_f) = \nabla\alpha_c)$  is used instead of 333 the total solid phase ( $\nabla \alpha_s$ ).

# Drag force and Virtual Mass

335 Our description of the drag force follows the work of Pudasaini (2012) and Pudasaini (2018), where a 336 generalized two-phase drag model is introduced and enhanced. We split their work into a contribution from the 337 fraction of structured solids ( $f_{sc}$ ) and unconfined fluids ( $1 - f_{fc}$ ) (Equation 31).

338 31. 
$$C_{DG} = \frac{f_{Sc}\alpha_c\alpha_u(\rho_c-\rho_f)g}{U_{T,c}(\mathcal{G}(\mathcal{R}e))+S_p} (\boldsymbol{u}_u - \boldsymbol{u}_c) |\boldsymbol{u}_u - \boldsymbol{u}_c|^{j-1} + \frac{(1-f_Sc)\alpha_c\alpha_u(\rho_S-\rho_f)g}{U_{T,uc}(\mathcal{PF}(\mathcal{R}e_p)+(1-\mathcal{P})\mathcal{G}(\mathcal{R}e))+S_p} (\boldsymbol{u}_u - \boldsymbol{u}_c) |\boldsymbol{u}_u - \boldsymbol{u}_c|^{j-1}$$

339 Where  $U_{T,c}$  is the terminal or settling velocity of the structures solids,  $U_{T,uc}$  is the terminal velocity of 340 the unconfined solids,  $\mathcal{P}$  is a factor that combines solid- and fluid like contributions to the drag force,  $\mathcal{G}$  is the 341 solid-like drag contribution,  $\mathcal{F}$  is the fluid-like drag contribution and  $S_p$  is the smoothing function (Equation 32 342 and 34). The exponent j indicates the type of drag: linear (j = 0) or quadratic (j = 1).

343 Within the drag, the following functions are defined:

344 32. 
$$F = \frac{\gamma}{180} \left(\frac{\alpha_f}{\alpha_s}\right)^3 Re_p, \ G = \alpha_f^{M(Re_p)-1}$$

345 33. 
$$S_p = (\frac{1}{\alpha_c} + \frac{1}{\alpha_u})\mathcal{K}$$
  
346 34.  $\mathcal{K} = |\alpha_c \mathbf{u}_c + \alpha_u \mathbf{u}_u| \approx 10 \text{ ms}^{-1}$ 

334

347 Where *M* is a parameter that varies between 2.4 and 4.65 based on the Reynolds number (Pitman & Le, 348 2005). The factor  $\mathcal{P}$  that combines solid-and fluid like contributions to the drag, is dependent on the volumetric 349 solid content in the unconfined and unstructured materials  $\left(\mathcal{P} = \left(\frac{\alpha_s(1-f_{sc})}{\alpha_f(1-f_{fc})}\right)^m$  with  $m \approx 1$ . Additionally we 350 assume the factor  $\mathcal{P}$ , is zero for drag originating from the structured solids. As stated by Pudasaini & Mergili 351 (2019) "As limiting cases:  $\mathcal{P}$  suitably models solid particles moving through a fluid". In our model, the drag 352 force acts on the unconfined fluid momentum  $(u_{uc}\alpha_f(1-f_{fc}))$ . For interactions between unconfined fluids and 353 structured solids, larger blocks of solid structures are moving through fluids that contains solids of smaller size.

Virtual mass is similarly implemented based on the work of Pudasaini (2012) and Pudasaini & Mergili
 (2019) (Equation 35). The adapted implementation considers the solids together with confined fluids to move
 through a free fluid phase.

357 35. 
$$C_{VMG} = \alpha_c \rho_u \left(\frac{1}{2} \left(\frac{1+2\alpha_c}{\alpha_u}\right)\right) \left(\left(\frac{\partial u_u}{\partial t} + u_u \cdot \nabla u_u\right) - \left(\frac{\partial u_c}{\partial t} + u_c\right)\right)$$

358 Where  $C_{DG} = \frac{1}{2} \left( \frac{1+2\alpha_c}{\alpha_u} \right)$  is the drag coefficient.

# 359 **bB**oundary conditions

Finally, following the work of Iverson & Denlinger (2001), Pitman & Le (2005) and Pudasaini (2012), a
 boundary condition is applied to the surface elements that contact the flow (Equation 36).

362 36.  $|S| = Ntan(\phi)$ 

363

Where N is the normal pressure on the surface element and S is the shear stress.

# 364 1.3 2.3 Depth-Averaging

The majority of the depth-averaging in this works is analogous to the work of Pitman & Le (2005),
 Pudasaini (2012) and Pudasini & Mergili (2019). Depth-averaging through integration over the vertical extent of

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 $\cdot \nabla u_c$ 

the flow can be done based on several useful and often-used assumptions:  $\frac{1}{h} \int_0^h x \, dh = \bar{x}$ , for the velocities ( $u_u$ ) 367 368 and  $u_c$ ), solid, fluid and confined fractions ( $\alpha_f$ ,  $\alpha_s$ ,  $f_{fc}$  and  $f_{sc}$ ) and material properties ( $\rho_u$ ,  $\phi$  and c). Besides 369 these similarities and an identical derivation of depth-averaged continuity equations, three major differences 370 arise.

### i)Fluid pressure

372 Previous implementations of generalized two-phase debris flow equations have commonly assumed hydrostatic pressure  $(\frac{\partial p}{\partial z} = g^z)$  (Pitman & Le, 2005; Pudasaini, 2012; Abe & Konagai, 2016). Here we follow this 373

374 assumption for the fluid pressure at the base and solid pressure for unstructured material (Equations 37 and 38).

375 37. 
$$P_{b_{s,u}} = -(1 - \gamma)\alpha_s g^z h$$
  
376 38.  $P_{b_{s,u}} = -g^z h$ 

376 38. 
$$P_{b_u} = -g$$

371

377

386

394

408

Where  $\gamma = \frac{\rho_f}{\rho_c}$  is the density ratio (not to be confused with a tensor index when used in superscript) (-).

378 However, larger blocks of structure material can have contact with the basal topography. Due to density 379 differences, larger blocks of solid structures are likely to move along the base (Pailhia & Pouliquen, 2009; 380 George & Iverson, 2014). If these blocks are saturated, water pressure propagates through the solid matrix and 381 hydrostatic pressure is retained. However, in cases of an unsaturated solid matrix that connects to the base, 382 hydrostatic pressure is not present there. We introduce a basal fluid pressure propagation factor  $\mathcal{B}(\theta_{eff}, \overline{d_{sc}}, ...)$ 383 which describes the fraction of fluid pressure propagated through a solid matrix (with  $\theta_{eff}$  the effective 384 saturation,  $\overline{d_{sc}}$  the average size of structured solid matrix blocks). This results in a basal pressure equal to 385 equation 39.

39. 
$$P_{b_c} = -(1 - f_{sc})(1 - \gamma) \frac{(1 - f_{sc})\alpha_s}{(1 - f_{f_c})\alpha_f} g^z h - f_{sc}(1 - \gamma) \mathcal{B} \frac{(f_{sc})\alpha_s}{(f_{f_c})\alpha_f} g^z h$$

387 The basal pressure propagation factor  $(\mathcal{B})$  should theoretically depend, similarly to the pedotransfer 388 function, mostly on saturation level, as a full saturation means perfect propagation of pressure through the 389 mixture, and low saturation equates to minimal pressure propagation (Saxton and Rawls., 2006). Additionally it 390 should depend on pedotransfer functions, and the size distribution of structured solid matrices within the 391 mixture. For low-saturation levels, it can be assumed no fluid pressure is retained. Combined with an assumed 392 soil matrix height identical to the total mixture height, this results in  $\mathcal{B} = 0$ . Assuming saturation of structures 393 solids results in a full propagation of pressures and  $\mathcal{B} = 1$ .

# ii)Stress-Strain relationship

395 Depth-averaging the stress-strain relationship in equations 22 and 23 requires a vertical solution for the 396 internal stress. First, we assume any non-normal vertical terms are zero (Equation 40). Commonly, Rankines 397 earth pressure coefficients are used to express the lateral earth pressure by assuming vertical stress to be induced 398 by the basal solid pressure (Equation 41 and 42) (Pitman & Le, 2005; Pudasaini, 2012; Abe & Konagai, 2016).

402 Here we enhance this with Bell's extension for cohesive soils (Equation 45) (Richard et al., 2017). This 403 lateral normal-directed stress term is added to the full stress-strain solution.

405 Finally, the gradient in pressure of the lateral interfaces between the mixture is added as a depth-406 averaged acceleration term (Equation 44).

# iii)Depth-averaging other terms

409 While the majority of terms allow for depth-averaging as proposed by Pudasaini (2012), an exception 410 arises. Depth-averaging of the vertical viscosity terms is required. The non-Newtonian viscous terms for the fluid 411 phase were derived assuming a vertical profile in the volumetric solid phase content. Here, we alter the

412 derivation to use this assumption only for the non-structured solids, as opposed to the structured solids where 413  $\frac{\partial \alpha_s}{\partial z} = 0.$ 

416 Where  $\zeta$  is the shape factor for the vertical distribution of solids (Pudasaini, 2012). Additionally, the 417 momentum balance of Pudasaini (2012) ignores any deviatoric stress ( $\tau_{xy} = 0$ ), following Savage and Hutter 418 (2007), and Pudasaini and Hutter (2007). Earlier this term was included by Iverson and Denlinger (2001), Pitman 419 and Le (2005) and Abe & Kanogai (2016). Here we include these terms since a full stress-strain relationship is 420 included.

# 421 Basal frictions

Additionally we add the Darcy-Weisbach friction, which is a Chezy-type friction law for the fluid phase
that provides drag (Delestre et al., 2014). This ensures that, without solid phase, a clear fluid does lose
momentum due to friction from basal shear. This was successfully done in Bout et al. (2018) and was similarly
assumed in Pudasaini and Fischer (2016) for fluid basal shear stress.

426 46. 
$$S_f = \frac{g}{n^2} \frac{\mathbf{u}_{\mathbf{u}} |\mathbf{u}_{\mathbf{u}}|}{h^{\frac{4}{3}}}$$

428

427 Where *n* is Manning's surface roughness coefficient.

# Depth-averaged equations

429 The following set of equations is thus finally achieved for depth-averaged flow over sloping terrain (Equations 430 47-71). 431 47.  $\frac{\partial h}{\partial r} + \frac{\partial}{\partial r} [h(\alpha_n u_n + \alpha_c u_c)] + \frac{\partial}{\partial r} [h(\alpha_n u_n + \alpha_c u_c)] = R - I$ 

$$\begin{array}{rcl}
431 & 47. & \frac{\partial}{\partial t} + \frac{\partial}{\partial x} [h(\alpha_u u_u + \alpha_c u_c)] + \frac{\partial}{\partial y} [h(\alpha_u u_u + \alpha_c u_c)] = R - I \\
432 & 48. & \frac{\partial a_c h}{\partial t} + \frac{\partial a_c h u_c}{\partial x} + \frac{\partial a_c h v_c}{\partial y} = 0 \\
433 & 49. & \frac{\partial a_u h}{\partial t} + \frac{\partial a_u h u_d}{\partial x} + \frac{\partial a_u h v_u}{\partial y} = R - I \\
434 & 50. & \frac{\partial}{\partial t} [a_c h(u_c - \gamma_c C_{VM}(u_u - u_c))] + \frac{\partial}{\partial x} [\alpha_c h(u_c^2 - \gamma_c C_{VM}(u_u^2 - u_c^2))] + \frac{\partial}{\partial y} [\alpha_c h(u_c v_c - \gamma_c C_{VM}(v_u - u_c v_c))] = hS_{x_c} \\
436 & 51. & \frac{\partial}{\partial t} [a_c h(v_c - \gamma_c C_{VM}(v_u - v_c))] + \frac{\partial}{\partial x} [\alpha_c h(u_s v_s - \gamma_c C_{VM}(u_u v_u - u_c v_c))] + \frac{\partial}{\partial y} [\alpha_c h(v_c^2 - \gamma_c C_{VM}(v_u^2 - v_c^2))] = hS_{y_c} \\
438 & 52. & \frac{\partial}{\partial t} [\alpha_u h \left( u_u - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u - u_c) \right) \right] + \frac{\partial}{\partial x} [\alpha_u h \left( u_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u^2 - u_c^2) + \frac{\beta_{x_u} h}{2} \right) ] + \frac{\partial}{\partial y} [\alpha_u h (u_u v_u - 429) \\
440 & 53. & \frac{\partial}{\partial t} [\alpha_u h \left( v_u - \frac{\alpha_c}{\alpha_u} C_{VM}(v_u - v_c) \right) \right] + \frac{\partial}{\partial x} [\alpha_u h \left( u_u v_u - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c) \right) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) \right] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(v_u - v_c v_c)) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c) ] ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(u_u v_u - u_c v_c) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{\alpha_c}{\alpha_u} C_{VM}(v_u v_u - v_c v_c) ] + \frac{\partial}{\partial y} [\alpha_u h (v_u^2 - \frac{$$

449 
$$\frac{\partial}{\partial y} \left( \frac{\partial \alpha_c}{\partial x} \left( v_u - v_c \right) + \frac{\partial \alpha_u}{\partial y} \left( u_u - u_c \right) \right) \right) - \frac{\mathcal{A} \eta_u \zeta \alpha_s (1 - f_{sc}) (u_u - u_c)}{\alpha_u h^2} - \frac{g}{n^2} \frac{u_u |\mathbf{u}_u|}{h^{\frac{3}{4}}} \right] - \frac{1}{\gamma_c} C_{DG} (u_u - u_c)$$
450 
$$u_c) |\overline{u_u} - \overline{u_c}|^{J-1}$$

$$451 \qquad 57. \quad S_{y_{u}} = \alpha_{u} \left[ g^{y} - \frac{\frac{1}{2}^{p} b_{u}h}{\alpha_{f}} \frac{\partial \alpha_{c}}{\partial y} + P_{b_{u}} \frac{\partial b}{\partial y} - \frac{A\eta_{u}}{\alpha_{u}} \left( 2 \frac{\partial^{2} u_{f}}{\partial y^{2}} + \frac{\partial^{2} u_{f}}{\partial x^{2}} - \frac{Xu_{f}}{\epsilon^{2}h^{2}} \right) + \frac{A\eta_{u}}{\alpha_{c}} \left( 2 \frac{\partial}{\partial y} \left( \frac{\partial}{\partial y} \left( v_{u} - v_{c} \right) \right) \right) + \frac{\partial}{\partial x} \left( \frac{\partial \alpha_{c}}{\partial y} \left( u_{u} - u_{c} \right) + \frac{\partial \alpha_{c}}{\partial x} \left( v_{u} - v_{c} \right) \right) \right) - \frac{A\eta_{u} \zeta a_{s} (1 - f_{sc}) (v_{u} - v_{c})}{\alpha_{u} h^{2}} - \frac{g}{n^{2}} \frac{v_{u} |u_{u}|}{h^{\frac{3}{2}}} \right] - \frac{1}{\gamma_{c}} C_{DG} (v_{u} - v_{c}) + \frac{\partial \alpha_{c}}{\partial x} \left( v_{u} - v_{c} \right) \right) = \frac{\partial \alpha_{u} \zeta a_{s} (1 - f_{sc}) (v_{u} - v_{c})}{\alpha_{u} h^{2}} - \frac{g}{n^{2}} \frac{v_{u} |u_{u}|}{h^{\frac{3}{2}}} - \frac{1}{\gamma_{c}} C_{DG} (v_{u} - v_{c}) + \frac{\partial \alpha_{c}}{\partial x} \left( v_{u} - v_{c} \right) \right) = \frac{\partial \alpha_{u} \zeta a_{s} (1 - f_{sc}) (v_{u} - v_{c})}{\alpha_{u} h^{2}} - \frac{g}{n^{2}} \frac{v_{u} |u_{u}|}{h^{\frac{3}{2}}} - \frac{1}{\gamma_{c}} C_{DG} (v_{u} - v_{c}) + \frac{\partial \alpha_{c}}{\partial x} \left( v_{u} - v_{c} \right) + \frac{\partial \alpha_{u} \zeta a_{s} (1 - f_{sc}) (v_{u} - v_{c})}{\alpha_{u} h^{2}} - \frac{g}{n^{2}} \frac{v_{u} |u_{u}|}{h^{\frac{3}{2}}} - \frac{1}{\gamma_{c}} C_{DG} (v_{u} - v_{c}) + \frac{\partial \alpha_{u} \zeta a_{s} (1 - f_{sc}) (v_{u} - v_{c})}{\alpha_{u} h^{2}} - \frac{g}{n^{2}} \frac{v_{u} |u_{u}|}{h^{\frac{3}{2}}} - \frac{1}{\gamma_{c}} C_{DG} (v_{u} - v_{c}) + \frac{\partial \alpha_{u} (1 - v_{c})}{\alpha_{u} h^{2}} - \frac{\partial \alpha_{u} (1 - v_{c})}{$$

455 58. 
$$P_{b_c} = -(1 - f_{sc})(1 - \gamma) \frac{(1 - f_{sc})\alpha_s}{(1 - f_{f_c})\alpha_f} g^z h - f_{sc}(1 - \gamma) \frac{(f_{sc})\alpha_s}{(f_{f_c})\alpha_f} g^z h$$
  
456

457 59. 
$$P_{b_u} = -g^z h$$

458  
459 60. 
$$v_c = \frac{\rho_u}{\rho_u}, v = \frac{\rho_f}{\rho_f}$$

460 61. 
$$C_{DG} = \frac{\rho_{S}}{U_{T,C}(g(Re)) + S_{p}} + \frac{(1 - f_{SC})\alpha_{C}\alpha_{u}(\rho_{S} - \rho_{f})g}{U_{T,uc}(\mathcal{PF}(Re_{p}) + (1 - \mathcal{P})g(Re)) + S_{p}}$$
  
461 62.  $S_{T} = (\frac{\mathcal{P}}{T} + \frac{1 - \mathcal{P}}{T})\mathcal{K}$ 

$$462 \qquad 63 \quad \mathcal{K} = \left[ \alpha \, \mu + \alpha \, \mu \right]$$

463 64. 
$$F = \frac{\gamma}{180} \left(\frac{\alpha_f}{\alpha_s}\right)^3 Re_p, \ G = \alpha_f^{M(Re_p)-1}, \ Re_p = \frac{\rho_f dU_t}{\eta_f}, \ N_R = \frac{\sqrt{gLH}\rho_f}{\alpha_f \eta_f}, \ N_{RA} = \frac{\sqrt{gLH}\rho_f}{A\eta_f}$$

464 65. 
$$C_{Vm} = \left(\frac{1}{2}\left(\frac{1+2\alpha_c}{\alpha_u}\right)\right)$$

$$465 \qquad 66. \ \hat{\sigma} = \sigma^{\alpha\gamma}\dot{\omega}^{\beta\gamma} + \sigma^{\gamma\beta}\dot{\omega}^{\alpha\gamma} + 2G\dot{e}^{\alpha\beta} + K\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} - \dot{\lambda} \Big[9Ksin\psi\,\delta^{\alpha\beta} + \frac{G}{\sqrt{J_2}}s^{\alpha\beta}\Big]$$

466 67. 
$$\dot{\lambda} = \frac{3\alpha K \dot{\epsilon}^{\gamma \gamma} + (\frac{z}{\sqrt{J_2}}) s^{\alpha \mu} \dot{\epsilon}^{\alpha \mu}}{27 \alpha_{\phi} K sin \psi + G}$$

467 68. 
$$K = \frac{E}{3(1-2\nu)}, G = \frac{E}{2(1+\nu)}$$
  
468 69.  $\sigma^{\alpha\beta} = s^{\alpha\beta} + \frac{1}{2}\sigma^{\gamma\gamma}\delta^{\alpha\beta}$ 

469 70. 
$$\dot{\epsilon}^{\alpha\beta} = \frac{1}{2} \left( \frac{\partial v^{\alpha}}{\partial x^{\beta}} - \frac{\partial v^{\beta}}{\partial x^{\alpha}} \right) \qquad \dot{\omega}^{\alpha\beta} = \frac{1}{2} \left( \frac{\partial v^{\alpha}}{\partial x^{\beta}} - \frac{\partial v^{\beta}}{\partial x^{\alpha}} \right)$$
  
470 71.  $\alpha_{\phi} = \frac{\tan(\phi)}{\sqrt{9+12\tan^{2}\phi}} \qquad k_{c} = \frac{3c}{\sqrt{9+12\tan^{2}\phi}}$ 

Where X is the shape factor for vertical shearing of the fluid (X  $\approx$  3 in Iverson & Denlinger, 2001), R is the precipitation rate and I is the infiltration rate. 

# Closing the equations

Viscosity is estimated using the empirical expression from O'Brien and Julien (1985), which relates dynamic viscosity to the solid concentration of the fluid (Equation 72). 

477 72. 
$$\eta = \alpha e^{\beta \alpha_s}$$

Where  $\alpha$  is the first viscosity parameter and  $\beta$  the second viscosity parameter.

479Finally, the settling velocity of small (
$$d < 100 \ \mu m$$
) grains is estimated by Stokes equations for a480homogeneous sphere in water. For larger grains (> 1 mm), the equation by Zanke (1977) is used (Equation 30).

481 73. 
$$U_T = 10 \frac{\frac{\eta^2}{\rho_f}}{d} \left( \sqrt{1 + \frac{0.01 \left( \frac{(\rho_s - \rho_f)}{\rho_f} g d^3 \right)}{\frac{\eta}{\rho_f}} - 1} \right)$$

In which  $U_T$  is the settling (or terminal) velocity of a solid grain,  $\eta$  is the dynamic viscosity of the fluid,  $\rho_{\rm f}$  is the density of the fluid,  $\rho_{\rm s}$  is the density of the solids, d is the grain diameter (m) 

# 21.4 Implementation in the Material Point Method numerical scheme

Implementing the presented set of equations into a numerical scheme requires considerations of that schemes limitations and strengths (Stomakhin et al., 2013). Fluid dynamics are almost exclusively solved using 

488 an Eulerian finite element solution (Delestre et al., 2014; Bout et al., 2018). The diffusive advection part of such 489 scheme typically doesn't degrade the quality of modelling results. Solid material however is commonly 490 simulated with higher accuracy using an Lagrangian finite element method or discrete element method (Maurel 491 & Cumbescure, 2008; Stomakhin et al., 2013). Such schemes more easily allow for the material to maintain its 492 physical properties during movement. Additionally, advection in these schemes does not artificially diffuse the 493 material since the material itself is discretized, instead of the space (grid) on which the equations are solved. In 494 our case, the material point method (MPM) provides an appropriate tool to implement the set of presented 495 equations (Bui et al., 2008; Maurel & Cumbescure, 2008; Stomakhin et al., 2013). Numerous existing modelling 496 studies have implemented in this method (Pastor et al., 2007; Pastor et al., 2008; Abe & Kanogai, 2016). Here, 497 we use the MPM method to create a two-phase scheme. This allows the usage of finite elements aspects for the 498 fluid dynamics, which are so successfully described by the that method (particularly for water in larger areas, see 499 Bout et al., 2018).

### Mathematical Framework

The mathematic framework of smooth-particles solves differential equations using discretized volumes
of mass represented by kernel functions (Libersky & Petschek, 1991; Bui et al., 2008; Stomakhin et al., 2013).
Here, we use the cubic spline kernel as used by Monaghan (2000) (Equation 74).

504 
$$74. W(r,h) = \begin{cases} \frac{10}{7\pi h^2} \left(1 - \frac{3}{2}q^2 + \frac{3}{4}q^3\right) & 0 \le |q| \ge 2\\ \frac{10}{28\pi h^2} (2 - q)^3 & 1 \le |q| < 2\\ 0 & |q| \ge 2 \mid q < 0 \end{cases}$$

505 Where r is the distance, h is the kernel size and q is the normalized distance  $(q = \frac{r}{b})$ 



# 506 507

500

Figure 2 Example of a kernel function used as integration domain for mathematical operations.

508 Using this function mathematical operators can be defined. The average is calculated using a weighted
509 sum of particle values (Equation 75) while the derivative depends on the function values and the derivative of
510 the kernel by means of the chain rule (Equation 76) (Libersky & Petschek, 1991; Bui et al., 2008).

511 75. 
$$\langle f(x) \rangle = \sum_{j=1}^{N} \frac{m_j}{m_j} f(x_j) W(x - x_j, h)$$
  
512 76.  $\langle \frac{\partial f(x)}{\partial x} \rangle = \sum_{j=1}^{N} \frac{m_j}{p_j} f(x_j) \frac{\partial W_{ij}}{\partial x_i}$ 

513 Where  $W_{ij} = W(x_i - x_j, h)$  is the weight of particle j to particle I,  $r = |x_i - x_j|$  is the distance 514 between two particles. The derivative of the weight function is defined by equation 77.

515 77. 
$$\frac{\partial W_{ij}}{\partial x_i} = \frac{x_i - x_j}{r} \frac{\partial W_{ij}}{\partial r}$$

516 Using these tools, the momentum equations for the particles can be defined (Equations 78-84). Here, we
517 follow Monaghan (1999) and Bui et al. (2008) for the definition of artificial numerical forces related to stability.
518 Additionally, stress-based forces are calculated on the particle level, while other momentum source terms are
519 solved on a Eulerian grid with spacing *h* (identical to the kernel size).

520 78. 
$$\frac{dv_i^{\alpha}}{dt} = \frac{1}{m_i} \left( F_g + F_{grid} \right) + \sum_{j=1}^N m_j \left( \frac{\sigma_i^{\alpha\beta}}{\rho_i^2} + \frac{\sigma_j^{\alpha\beta}}{\rho_j^2} + F_{ij}^n R_{ij}^{\alpha\beta} + \Pi_{ij} \delta^{\alpha\beta} \right) \frac{\partial w_{ij}}{\partial x_i^{\beta}}$$
521 79. 
$$\dot{\epsilon}^{\alpha\beta} = \frac{1}{2} \left( \sum_{j=1}^N \frac{m_j}{\rho_j} \left( v_j^{\alpha} - v_i^{\alpha} \right) \frac{\partial w_{ij}}{\partial x_i^{\beta}} + \sum_{j=1}^N \frac{m_j}{\rho_j} \left( v_j^{\beta} - v_i^{\beta} \right) \frac{\partial w_{ij}}{\partial x_i^{\alpha}} \right)$$

522 80. 
$$\dot{\omega}^{\alpha\beta} = \frac{1}{2} \left( \sum_{j=1}^{N} \frac{m_j}{\rho_j} \left( v_j^{\alpha} - v_i^{\alpha} \right) \frac{\partial W_{ij}}{\partial x_i^{\beta}} - \sum_{j=1}^{N} \frac{m_j}{\rho_j} \left( v_j^{\beta} - v_i^{\beta} \right) \frac{\partial W_{ij}}{\partial x_i^{\alpha}} \right)$$

523 81. 
$$\frac{d\sigma_{\alpha\beta}}{dt} = \sigma_i^{\alpha\gamma}\dot{\omega}_i^{\beta\gamma} + \sigma_i^{\gamma\beta}\dot{\omega}_i^{\alpha\gamma} + 2G_i\dot{e}_i^{\alpha\beta} + K_i\dot{e}^{\gamma\gamma}\delta_i^{\alpha\beta} - \dot{\lambda}_i \left[9K_i \sin\psi_i\,\delta^{\alpha\beta} + \frac{G_i}{\sqrt{J_{2_i}}}s_i^{\alpha\beta}\right]$$

524 82. 
$$\dot{\lambda}_{i} = \frac{3\alpha \kappa \epsilon_{i}^{\gamma \gamma} + \left(\frac{G_{i}}{|j_{2i}|}\right) s_{i}^{\alpha \beta} \epsilon_{i}^{\alpha \beta}}{27 \alpha_{\phi} \kappa_{i} \sin \psi_{i} + G_{i}}$$

525 Where *i*, *j* are indices indicating the particle,  $\Pi_{ij}$  is an artificial viscous force as defined by equations 83 and 84 and  $F_{ij}^n R_{ij}^{\alpha\beta}$  is an artificial stress term as defined by equations 85 and 86. 526

527 83. 
$$\Pi_{ij} = \begin{cases} \frac{\alpha_{\Pi} u_{sound_{ij}} \phi_{ij} + \beta_{\Pi} \phi^2}{\rho_{ij}} & v_{ij} \cdot x_{ij} < 0\\ 0 & v_{ij} \cdot x_{ij} \ge 0 \end{cases}$$

528 84. 
$$\phi_{ij} = \frac{h_{ij}v_{ij}x_{ij}}{|x_{ij}|^2 + 0.1h_{ij}^2}$$
,  $x_{ij} = x_i - x_j$ ,  $v_{ij} = v_i - v_j$ ,  $h_{ij} = \frac{1}{2}(h_i + h_j)$   
529 85.  $F_{ij}^n R_{ij}^{\alpha\beta} = \left[\frac{W_{ij}}{|W(d_0,h)}\right]^n (R_i^{\alpha\beta} + R_j^{\alpha\beta})$   
530 86.  $\overline{R^{YY}} = -\frac{\varepsilon_0 \sigma_i^{YY}}{\varepsilon_0}$ 

530 86. 
$$\overline{R_{l}^{\gamma\gamma}} = -\frac{\epsilon_{0}\sigma_{l}}{\rho_{l}^{2}}$$

531 Where  $\epsilon_0$  is a small parameter ranging from 0 to 1,  $\alpha_{\Pi}$  and  $\beta_{\Pi}$  are constants in the artificial viscous 532 force (often chosen close to 1),  $u_{sound}$  is the speed of sound in the material.

533 The conversion from particles to gridded values and reversed depends on a grid basis function that 534 weighs the influence of particle values for a grid center. Here, a function derived from dyadic products of one-535 dimensional cubic B-splines is used as was done by Steffen et al. (2008) and Stomakhin et al. (2013) (Equation 536 84).

537 87. 
$$N(\mathbf{x}) = N(x^{x}) * N(x^{y}), \quad N(x) = \begin{cases} \frac{1}{2}|x|^{3} - x^{2} + \frac{2}{3} & 0 \le |x| \ge 2\\ -\frac{1}{6}|x|^{3} + x^{2} - 2|x| + \frac{4}{3} & 1 \le |x| < 2\\ 0 & |x| \ge 2 |x = 0 \end{cases}$$

#### 538 Particle placement

539 Particle placement is typically done in a constant pattern, as initial conditions have some constant 540 density. The simplest approach is a regular square or triangular network, with particles on the corners of the 541 network. Here, we use an approach that is more adaptable to spatially-varying initial flow height. The  $R_2$ 542 sequence approaches, with a regular quasirandom sequence, a set of evenly distributed points within a square 543 (Roberts, 2020) (Equation 85).

544 88. 
$$x_n = n\alpha \mod 1$$
,  $\alpha = \left(\frac{1}{c_p}, \frac{1}{c_p^2}\right)$ 

Where  $x_n$  is the relative location of the n<sup>th</sup> particle within a gridcell,  $c_p = \left(\frac{9+\sqrt{69}}{18}\right)^{\frac{1}{3}} + \left(\frac{9-\sqrt{69}}{18}\right)^{\frac{1}{3}} \approx$ 545 546 1.32471795572 is the plastic constant.

n = 1	n = 4	n = 40	n = 400
	•	$e_{i,j} = e_{i,j} + e_{i$	

547

548 Figure 3 Example particle distributions using the R<sub>2</sub> sequence, note that, while not all particles are 549 equidistant, the method produces distributed particle patterns that adapt well to varying density.

550 The number of particles placed for a particular flow height depends on the particle volume  $V_I$ , which is 551 taken as a global constant during the simulation.

#### 552 23. -Flume Experiments 553 2.13.1 Flume Setup

- 554 In order to validate the presented model, several controlled experiments were performed and reproduced 555 using the developed equations. The flume setup consists of a steep incline, followed by a near-flat runout plane 556 557 (Figure 3). A massive obstacle is placed on the separation point of the two planes. ThisOn the separation point of the two planes, a massive and attached obstacle is present that blocks the path of two fifths of the width of the
- 558 moving material. For the exact dimensions of both the flume parts and the obstacle, see figure 3.



559 560

Figure 4 The dimensions of the flume experiment setup used in this work.

561 Two tests were performed whereby a cohesive granular matrix was released at the upper part of the 562 flume setup. Both of these volumes had dimensions of 0.2x0.3x0.25 meter (height,length,width). For both of 563 these materials, a mixture high-organic content silty-clay soils where used. The materials strength parameters 564 were obtained using tri-axial testing (Cohesion, internal friction angle Youngs modulus and Poisson Ration. The 565 first set of materials properties where c = 26.7 kPa and  $\phi = 28^\circ$ . The second set materials properties where c =566 18.3 kPa and  $\phi = 27^{\circ}$ . For both of the events, pre-and post release elevations models were made using 567 photogrammetry. The model was set up to replicate the situations using the measured input parameters. 568 Numerical settings were chosen as  $\{\alpha_s = 0.5, \alpha_f = 0.5, f_{sc} = 1.0, f_{fc} = 1.0, \rho_f = 1000, \rho_s = 2400, E = 12 \cdot 1000, \rho_s = 1200, E = 1200$  $10^{6} Pa, K = 23 \cdot 10^{6} Pa, \psi = 0, \alpha_{\Pi} = 1, \beta_{\Pi} = 1, X, \zeta, j = 2, u_{sound} = 600, dx = 10, V_{I} = , h = 10, n = 10, N_{I} = 1, K = 10, K =$ 569 570  $0.1, \alpha = 1, \beta = 10, M = 2.4, \mathcal{B} = 0, N_R = 15000, N_{RA} = 30$ . Calibration was performed by means of input 571 variation. The solid fraction, and elastic and bulk modulus were varied between 20 and 200 percent of their 572 original values with increments of 10 percent. Accuracy was assessed based on the percentage accuracy of the 573 deposition (comparison of modelled vs observed presence of material). 574

#### 2.23.2 Results

575 Both the mapped extent of the material after flume experiments, as the simulation results are shown in 576 figure 5. Calibrated values for the simulations are { $\alpha_s = 0.45$ ,  $E = 21.6 \cdot 10^6 Pa$ ,  $K = 13.8 \cdot 10^6 Pa$ }.

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# 577

594

578 Figure 5 A comparison of the final deposits of the simulations and the mapped final deposits and cracks
579 within the material. From left to right: Photogrammetry mosaic, comparison of simulation results to mapped
580 flume experiment, strain, final strength fraction remaining.

581 As soon as the block of material impacts the obstacle, stress increases as the moving objects is 582 deformed. This stress quickly propagates through the object. Within the scenario with lower cohesive strength, 583 as soon as the stress reached beyond the yield strength, degradation of strength parameters took place. In the 584 results, a fracture line developed along the corner of the obstacle into the length direction of the moving mass. 585 Eventually, this fracture developed to half the length of the moving body and severe deformation resulted. As 586 was observed from the tests, the first material experienced a critical fracture while the second test resulted in 587 moderate deformation near the impact location. Generally, the results compare well with the observed patters, 588 although the exact shape of the fracture is not replicated. Several reasons might be the cause of the moderately 589 accurate fracture patterns. Other studies used a more controlled setup where uncertainties in applied stress and 590 material properties where reduced. Furthermore, the homogeneity of the material used in the tests can not 591 completely assumed. Realistically, minor alterations in compression used to create the clay blocks has left spatial 592 variation in density, cohesion and other strength parameters.

# 593 <u>4.3.</u> Numerical Tests

# <u>34</u>.1 Numerical Setup

595 In order to further investigate some of the behaviors of the model, and highlight the novel types of mass
 596 movement dynamics that the model implements, several numerical tests have been performed. The setup of these
 597 tests is shown in figure 6.



Cohesive strength and the bulk modulus were varied (see figure 6). Remaining parameters were chosen as  $\{\alpha_s = 0.5, \alpha_f = 0.5, f_{sc} = 1.0, f_{fc} = 1.0, \rho_f = 1000 \ kgm^{-3}, \rho_s = 2400 \ kgm^{-3}, E = 1e12 \ Pa, \psi = 0, \alpha_{\Pi} = 1, \beta_{\Pi} = 1, X, \zeta, j = 2, u_{sound} = 600 \ ms^{-1}, dx = 10 \ m, V_I, h = 10 \ m, n = 0.1, \alpha = 1, \beta = 10, M = 2.4, \mathcal{B} = 0, N_{R} = 15000, N_{RA} = 30\}.$ 

605 0, 
$$N_R = 15000$$
,  $N_{RA} = 30$ 

#### 4.23.1 Results

Several time-slices for the described numerical scenarios are shown in figure 7 and 8.



Figure 7 Several time-slices for numerical scenarios 2(A/B/C). See figure 6 for the dimensions and
 terrain setup.



612
613 Figure 8 Several time-slices for numerical scenarios 3(A/B/C). See figure 6 for the dimensions and terrain setup.

Fractures develop in the mass movements based on acceleration differences and cohesive strength. For
 scenario 2A, the stress state does not reach beyond the yield surface, and all material is moved as a single block.
 Scenario 2B, which features lowered cohesive strength, fractures and the masses separate based on the
 acceleration caused by slopes.

618 Fracturing behavior can occur in MPM schemes due to numerical limitations inherent in the usage of a 619 limited integration domain. Here, validation of real physically-based fracturing is present in the remaining 620 cohesive fraction. This value only reduces in case of plastic yield, where increasing strain degrades strength 621 parameters according to our proposed criteria. Numerical fractures would thus have a cohesive fraction of 1. In 622 all simulated scenarios, such numerical issues were not observed.

623 Fragmentation occurs due to spatial variation in acceleration in the case of scenario 3A and 3B. For 624 scenario 3A, the yield surface is not reached and the original structure of the mass is maintained during 625 movement. For 3C, fragmentation is induced be lateral pressure and buoyancy forces alone. Scenario 3B 626 experiences slight fragmentation at the edges of the mass, but predominantly fragments when reaching the valley, after which part of the material is accelerated to count to the velocity of the mass. For all the shown 627 628 simulations, fragmentation does not lead to significant phase separation since virtual mass and drag forces 629 converge the separate phase velocities to their mixture-averaged velocity. The strength of these forces partly depends on the parameters, effects of more immediate phase-separation could by studied if other parameters are 630 used as input. 631

### 54. Discussion

632

633 A variety of existing landslide models simulate the behavior of lateral connected material through a 634 non-linear, non-Newtonian viscous relationship (Boetticher et al., 2016; Fornes et al., 2017; Pudasaini & 635 Mergili, 2019; Greco et al., 2019). These relationships include a yield stress and are usually regularized to 636 prevent singularities from occurring. While this approach is incredibly powerful, it is fundamentally different from the work proposed here. These viscous approaches do not distinguish between elastic or plastic 637 638 deformation, and typically ignore deformations if stress is insufficient. Additionally, fracturing is not 639 implemented in these models. The approach taken in this work attempts to simulate a full stress-strain 640 relationship with Mohr-Coulomb type yield surface. This does provides new types of behavior and can be 641 combined with non-Newtonian viscous approaches as mentioned above. A major downside to the presented 642 work is the steep increase in computational time required to maintain an accurate and stable simulation. 643 Commonly, an increase of near a 100 times has been observed during the development of the presented model.

644 The presented model shows a good likeness to flume experiments and numerical tests highlight 645 behavior that is commonly observed for landslide movements. There are however, inherent scaling issues and the 646 material used in the flume experiments is unlikely to form larger landslide masses. The measured physical 647 strength parameters of the material used in the flume experiments would not allow for sustained structured 648 movement at larger scales. There is thus the need for more, real-scale, validation cases. The application of the 649 presented type of model is most directly noticeable for block-type landslide movements that have fragmented 650 either upon impact of some obstacle or during transition phase. Of importance here is that the moment of 651 fragmentation is often not reported in studies on fast-moving landslides, potentially due to the complexities in 652 knowing the details on this behavior from post-event evidence. Validation would therefore have to occur on 653 cases where deposits are not fully fragmented, indicating that this process was ongoing during the whole 654 movement duration. The spatial extent of initiation and deposition would then allow validation of the model. 655 Another major opportunity for validation of the novel aspects of the model is the full three-dimensional 656 application to landslides that were reported to have lubrication effects due to fragmentation of lower fraction of 657 flow due to shear.

658 An important point of consideration in the development of complex multi-process generalized models is 659 the applicability. As a detailed investigative research tool, these models provide a basic scenario of usage. However, both for research and beyond this, in applicability in disaster risk reduction decision support, the 660 661 benefit drawn from these models depends on the practical requirement for parameterization and the computational demands for simulation. With an increasing complexity in the description of multi-process 662 663 mechanics comes the requirement of more measured or estimated physical parameters. Inspection of the 664 presented method shows that in principle, a minor amount of new parameters are introduced. The cohesive 665 strength, a major focus of the model, becomes highly important depending on the type of movement being investigated. Additionally, the bulk and elastic modulus are required. These three parameters are common 666 667 simulation parameters in geotechnical research and can be obtained from common tests on sampled material 668 (Alsalman et al., 2015). Finally, the basal pressure propagation parameter  $(\mathcal{B})$  is introduced. However, within this work, the value of this parameter is chosen to have a constant value of one. As a results, the model does 669 670 require additional parameters, although these are relatively easy to obtain with accuracy.

There are a variety of aspects of the model that could be significantly improved. Here, we list severalmajor opportunities of future research.

# 673 1) Groundwater mechanics

The presented model allows for the a solid or granular matrix to be present within the flow. We have assumed the flows in and out of these matrices are sufficiently small to be ignored. In reality, there is a fluid flux in and out of structured solids. This could occur both due to pressure differences as due to stress and strain of the structured solids. Implementing this kind of mechanics requires a dynamic, solid-properties dependent, soil water retention curve (Van Looy et al., 2017). An example of MPM soil mechanics with dynamic groundwater implementation can be found in Bandera et al. (2016).

# 2) Implementing Entrainment and Deposition

Current equations for entrainment (erosion with major grain-grain interactions) is limited to unstructured mixture flows (Iverson, 2012; Iverson & Ouyang, 2015; <u>Cuomo et al., 2016;</u> Pudasaini & Fischer, 2016). Extending these models to include a contribution from structured solids would be required to implement entrainment in the presented work.

### 3) Separation of phases

A major assumption in the presented work is that the velocities of structured solids, free solids and confined fluids are all equal. In reality, there might be separation of structured and free solids phases. Additionally, we already discussed the possibility of in-and outflux of confined fluids from the solid matrix. Recent innovations on three-phase mixture flows might be used to extend the presented work to a three, four or five-phase model by separating free solids, confined fluids or adding a Bingham-viscous solid-fluid phase (Pudasaini & Mergili, 2019). However, while this would implement an additional process, it would significantly increase complexity of the equations (in an exponential manner with relation to the number of phases) and the numerical solutions which could hinder practical applicability.

# 4) Application to large, slow moving landslides.

When confined fluids would act as a distinct phase, guided by the mechanics of water flow in granular matrix, ground water pressures and movement through the structured solids could be described. This might enable the model to do detailed deformation/groundwater simulation of large slow-moving landslides.

# 5) Numerical Improvements

Numerical techniques for particle-based discretized methods (SPH, MPM) have been proposed in the literature. A common issue is numerical fracturing of materials when particle strain increases beyond the length of the kernel function. Then, the connection between particles is lost and fracturing occurs as an artifact of the numerical method. This issue is partly solved by the artificial stress term as is also used by Bui et al. (2008). Additionally, geometric subdivide, as used by Xu et al. (2012) and Li et al. (2015), could counter these artificial fractures. Implementing this technique does require additional work to maintain mass and momentum conservation.

### 6) Three-dimensional solutions

In a variety of scenarios, the assumptions made in depth-averaged application of flow models are invalid. A common example is the impact of mass movements into lakes, or other large water bodies. In such cases, the vertical velocity and concentration variables are not well-described by their depthaveraged counterparts. Additionally, the lubrication effect of basal fragmentation of landslides due to shear can not be described without velocity-profiles and a vertical stress-solution. Full threedimensional application would therefore have the potential to increase understanding on these important processes.

# 5. Conclusions

We have presented a novel generalized mass movement model that can describe both unstructured mixture flows and Structured movements of Mohr-Coulomb type material. The presented equations are part of the continuous development of the OpenLISEM Hazard model, an open-source tool for physically-based multi-hazard simulations. The model builds on the works of Pudasaini (2012) and Bui et al. (2008) to develop a single holistic set of equations. The model was implemented in a GPU-based Material Point Method (MPM) Code. The equations were validated on flume experiments and numerical tests, that highlight the new movement dynamics possible with the presented model. The integration of cohesive structure and a full stress-strain relationship for the structured solids allows for movement of block-type slides as a single whole. Interactions with terrain, other flow masses or obstacles lead to elastic-plastic deformation and eventually fragmentation. This type of self-alteration of flow properties is novel with mass movement models. Although the presented equations can provide additional detail for specific mass movement types, applicability of the model for real events need to be investigated as computational costs are significantly increased.

728 The presented simulation both validate the basic behavior of the model, as well as highlight the types of 729 flow dynamics made possible by the presented equations. The models dependency of breaking to cohesive 730 strength and internal friction angle matches the flume experiments. The numerical examples show commonly-731 described behavior for landslide movements. Although the simulations compare well to the flume experiments, 732 validation is required for real-scale application to various types of mass movements. Additionally, the presented 733 equations still lack descriptions of processes that might become important. Separating the fluid and solid phases 734 such as done by Pudasaini & Mergili (2019), could improve flow dynamics and phase separation. With added ground-water mechanics, such as done in Bandera et al. (2016), slow-moving landslide simulations might be 735 736 described.

# 6. Code and Data Availability

All code and data used within this work are made open-source as part of the continuous development of
the OpenLISEM Hazard model under the GNU General Public Licence v3.0. The code and the data are hosted
on Github (https://github.com/bastianvandenbout/OpenLISEM-Hazard-2.0-Pre-Release). Both binaries
and a copy of the source code are also available on Sourceforge, where the manual and compilation guide can
similarly be found (https://sourceforge.net/projects/lisem/). Finally, more information can be found at the blog
(https://blog.utwente.nl/lisem/)

The software, and its user interface, are written for windows, but platform independent libraries are used and compilation might be performed on other platforms.

746 Hardware requirements for the usage of the model are a 64-bit Operating system that can compile all required

external libraries (see the manual for a full list and description). A graphical processing unit conforming to at
least the OpenCL 1.2 standard and support for both OpenGL 4.2 and OpenGL/OpenCL interoperability.

749 Additionally, an approximate 500 mb of hard drive space and 750 mb of memory must be available.

750

744

745

# Appendix A. List of Symbols

*h* is the flow height

753 s is the solid phase 754

751

752

- f is the fluid phase 755 sc is the structured solid phase
- 756 *fc* is the confined fluid phase
- $\rho_f$  is the density of fluids 757
- 758
- $\rho_s$  is the density of solids
- 759  $\alpha_f$  is the volumetric fluid phase fraction
- $\alpha_s$  is the volumetric solid phase fraction 760
- 761  $f_{sc}$  is the fraction of solids that is structured (confining)
- $f_{fc}$  is the fraction of fluids that is confined 762
- 763  $\alpha_c$  is the volumetric fraction of solids, structured solids and confined fluids
- $\alpha_u$  is the volumetric fraction of free fluids (unconfined phase). 764
- 765  $\rho_{sc}$  is the volume-averaged density of the solids and confined fluids
- 766  $u_u$  is the velocity of the unconfined phase (free fluids)
- 767  $u_c$  is the velocity of the solids, confining solids and confined fluids
- 768  $u_s$  is the velocity of the solids
- 769 **f** is the body force
- 770  $M_{DG}$  is the drag force
- 771  $M_{vm}$  is the virtual mass force
- $T_c$  is the stress tensor for eh solids, confining solids and confined fluids 772
- 773  $T_u$  is the stress tensor for the free fluid phase
- 774  $\sigma$  is the stress tensor
- 775 s is the deviatoric shear stress rate tensor
- 776  $\delta$  is the Kronecker delta
- 777  $\dot{\epsilon}_{plastic}$  is the plastic strain rate
- 778  $\dot{\epsilon}_{elastic}$  is the elastic strain rate
- 779  $\lambda$  is the plastic multiplier rate 780 g is the plastic potential function
- 781  $\dot{\epsilon}_{total}$  is the total strain rate
- 782 ė is the deviatoric strain rate
- 783  $\nu$  is Poisson's ratio
- 784 *E* is the elastic Young's Modulus
- 785 G is the shear modulus
- 786 K is the Bulk elastic modulus
- 787  $f(I_1, J_2)$  is the yield surface, or yield criterion
- 788  $g(I_1, J_2)$  is the plastic potential function
- 789  $\psi$  is the dilatancy angle
- $I_1$  is the first stress invariant 790
- 791  $J_2$  is the second stress invariant
- 792  $\alpha_{\phi}$  is the first Ducker-Prager material constant
- 793  $k_c$  is the second Ducker-Prager material constant
- 794  $\dot{\omega}$  is the spin rate tensor
- $\epsilon_{v0}$  is the initial volumetric strain 795
- 796  $\epsilon_v$  is the volumetric strain
- 797  $c_0$  is the initial cohesion
- 798  $\boldsymbol{\tau}_{f}$  is the fluid Gauchy stress tensor
- 799  $P_f$  is the fluid pressure
- 800  $\eta_f$  is the fluids dynamic viscosity
- 801  $\mathcal{A}$  is the mobility of the fluid at the interface
- $C_{DG}$  is the drag coefficient 802
- $U_{T,c}^{L,c}$  is the settling velocity of the solids, structured solids and confined fluids 803
- 804  $U_{T,uc}$  is the settling velocity of the unstructured solids
- 805  $\mathcal{F}$  is the drag contribution from solid-like drag
- 806  $\mathcal{G}$  is the drag contribution from fluid-like drag
- 807  $S_p$  is the smoothing function
- 808  $\hat{\mathcal{K}}$  is the absolute total mass flux

- $M(Re_p)$  is an empirical function weakly dependent on the Reynolds number
- $\mathcal{P}$  the partitioning parameter for the fluid and solid like contributions to drag
- *m* is an exponent for  $\mathcal{P}$
- $C_{VMG}$  is the virtual mass coefficient
- 813 |S| is the norm of the shear force
- *N* is the normal force on a plane element
- g is the gravitational acceleration
- $P_{b_{s,u}}$  is the basal pressure from
- $P_{b_u}$  is the basal pressure from the free fluids
- $P_{b_c}^{a}$  is the basal pressure from the solids, structured solids and confined fluids
- $\mathcal{B}$  is the pressure propagation factor for structured solids
- $K_a$  is the active lateral earth pressure coefficient
- $K_p$  is the passive lateral earth pressure coefficient
- $\zeta$  is a shape factor for the vertical gradient in solid concentration
- *n* is Mannings surface roughness coefficient
- 824 X is the shape factor for the vertical fluid velocity profile
- $Re_p$  is the particle Reynolds Number
- $N_R$  is the Reynolds Number
- $N_{RA}$  is the interfacial Reynolds Number
- *H* is the typical height of the flow
- *L* is the typical length of the flow
- $\alpha$  is the first viscosity parameter
- $\beta$  the second viscosity parameter
- 832 d is the grain diameter
- *W* is the kernel weight function
- r is the distance
- h is the kernel width (not to be confused with the flow height)
- *q* is the normalized particle distance
- $\Pi_{ij}$  is an artificial viscosity term
- $F_{ij}^{n} R_{ij}^{\alpha\beta}$  is an artificial stress term
- $\epsilon_0$  is a constant parameter for the artificial stress term
- $\alpha_{\Pi}$  and  $\beta_{\Pi}$  are constants in the artificial viscous force
- $u_{sound}$  is the speed of sound in the material
- N(x) is the Grid-kernel function
- $c_p$  is the plastic coefficient

#### 852 **Appendix B. Stress Remapping**

853 If, either due to degradation of strength parameters, or building numerical errors, the state of the stress 854 tensor lies beyond the yield surface, a correction must be applied. We implement the correction scheme used by 855 Bui et al. (2008). This scheme considers two primary ways in which the stress can have an undesired state: 856 Tension cracking, and imperfectly plastic stress.

# **Tension Cracking**

858 In the case of tension cracking, the stress state has moved beyond the apex of the yield surface, as 859 described by Chen & Mizuno (1990). The employed solution in this case is to re-map the stress tensor along the 860  $I_1$  axis to be at this apex. The apex is provided by the yield function (Equation 89)

89. 
$$-\alpha_{\phi}I_1 + k_c < 0$$

To solve for this condition, the non-deviatoric stress state is increased (since  $I_1 - \frac{k_c}{\alpha_{\phi}}$  is negative) to lie 862 perpendicular to the apex point on the  $I_1$  axis (Equation ). 863

864 90. 
$$\tilde{\sigma^{\gamma\gamma}} = rs^{\gamma\gamma} - \frac{1}{3} \left( I_1 - \frac{k_c}{\alpha_{\phi}} \right)$$

# Imperfect Plastic Stress

866 Imperfect plastic stress described the state where the stress tensor lies above the apex, but beyond the 867 yield criterion, thus have more stress than supported by the failure criteria that is set. This criteria is simply the 868 yield surface itself (Equation 91).

869 91. 
$$-\alpha_{\phi}I_1 + k_c < \sqrt{J_2}$$

For this state, re-mapping is done by scaling of the  $J_2$  value (Equations 92, 93 and 94).

871 92. 
$$r = \frac{-\alpha_{\phi}I_1 + i}{I_1}$$

872 93. 
$$\widetilde{\sigma^{\gamma\gamma}} = rs^{\gamma\gamma} + \frac{1}{2}$$

- 92.  $\begin{aligned} r &= \frac{-\alpha_{\phi I_1 + k_c}}{\sqrt{J_2}} \\ 93. \quad \widetilde{\sigma^{xy}} &= rs^{xy} + \frac{1}{3}I_1 \\ 94. \quad \widetilde{\sigma^{xy}} &= rs^{xy}, \\ \widetilde{\sigma^{xy}} &= rs^{xz}, \\ \widetilde{\sigma^{xy}} &= rs^{yz} \end{aligned}$ 873
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# 877 Appendix C. Software Implementation

878 The model presented in this article is part of the continued development of the OpenLISEM modelling
879 tools. The most recent set of equations of implemented in the open-source alpha version of OpenLISEM Hazard
880 2. Here, we describe the details of the implementation of the model into software.

### 881 Hybrid MPM

882 We utilize the MPM framework to be able to discretize part of the equations on a Eulerian regural grid, and part of the equations on the Lagrangian particles. Our distinct take on this method is the representation of the 883 884 fluid phase completely as a finite element solution, while solids are simulated as discrete particle volumes. This 885 allows the model to use the major benefits that are present when depth-averaged fluid flow is simulated in a grid. Both numerical efficiency, and high-accuracy coupling with hydrology are lacking in particle methods. For the 886 887 solid phase, non-dissapative advection, fracturing and stiffness is a major benefit of the MPM approach. Since 888 our model assumed confined fluids share their velocity with the solids, we advect the confined fluids as part of 889 the particles. Total fluid volume is then calculated from the free fluids in the finite element data, and the gridded 890 particle data. A flowchart of the software setup is provided in figure 6.



Figure 9 The sub-steps taken by the software to complete a single step of numerical integration.

### Finite element solution

893

894 We use a regular cartesian grid to describe the modelling domain. Terrain and cell-boundary based 895 variables are re-produces using the MUSCL piecewise linear reconstruction (Delestre et al., 2014). For each cell-896 boundary, a left and right estimation of acceleration terms, velocity updates and new discharges is made. The left 897 estimates use left-reconstructed variables while the other uses right-reconstructed variables. The final average 898 flux through the boundary determines actual mass and momentum transfer. Local acceleration is averaged from 899 the right estimate of the left boundary and left estimate of the right boundary. An additional benefit of the used 900 scheme is the automatic estimation of continuous and discontinuous terrain. The piecewise linear reconstructions 901 do not guarantee smooth terrain, for sharp locally variable terrain, pressure terms from vertical walls arise that 902 block momentum. These terms allow for better estimation of momentum loss by barriers, but can be turned off if 903 required for the simulated scenario.



905 Figure 10 Piecewise linear reconstruction is used by the MUSCL scheme to estimate values of flow
 906 heights, velocities and terrain at cell-boundaries.

# 907 GPU acceleration using OpenCL/OpenGL

908 In order to create a more efficient setup, both the finite element and particle interactions are performed 909 on the GPU. We utilize the OpenCL API to compile kernels written in c-style language. These kernels are 910 compiled at the start of the simulation, and thereby allow for easy customization by users. While the usage of 911 OpenCL 1.1 forces the usage of single precision floating point numbers, it allows for a wider range of GPU types 912 to be supported. Finite element solutions on the GPU are straightforward, as maps are a basic data storage type 913 for graphical processing units. Particles are stored as single-precision floating point arrays. Within the 914 framework of MPM, iteration of particles within a kernel is required for each timestep and particle. This 915 effectively means  $O(n^2)$  operations are required. Significant efficiency improvements are obtained by pre-916 calculation sorting. Particles are sorted based on their location within the finite element grid. Based on the id of 917 the gridcell, a bitonic mergesort is performed. This sorting algorithm works seamlessly on parallel architecture 918 and operates as  $O(nlog^2(n))$  (Batcher, 1968). The then, a raster is allocated to store the first indexed occurrence 919 within the sorted list of particles of that gridcell. Since the kernel used for the presented work extends at most to

920 a full width of two gridcells, we must iterate over all particles present in 9 neighboring grid cells.



921

- Figure 11 By limiting the kernel with and sorting particles before calculation, only the distance of
   particles in neighboring cells need to be checked, significantly reducing computational load, particularly for
   larger datasets.
- 925A final benefit to the usage of OpenCL is direct access to simulation variables for visualization in926OpenGL using the OpenGL/OpenCL interoperability functionality. The built-in viewing window of OpenLISEM927Hazard 2.0 alpha directly uses the data to draw both particles, shapefiles and grid data using customizable
- shaders written in the openGL shader language.

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