

# Development of turbulent scheme in the FLEXPART-AROME

## v1.2.1 Lagrangian particle dispersion model

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**Abstract.** The FLEXible PARTicle dispersion model FLEXPART, first released in 1998, is a Lagrangian particle dispersion model developed to simulate atmospheric transport over large and ~~meso-scale~~ mesoscale distances. Due to FLEXPART's success and its open source nature, different limited area model versions of FLEXPART were released making it possible to run FLEXPART simulations by ingesting WRF (Weather Research Forecasting model), COSMO (Consortium for Small-scale Modeling) or MM5 (~~meso-scale~~ mesoscale community model maintained by Penn State university) meteorological fields on top of the ECMWF (European Centre for Medium-Range Weather Forecasts) and GFS (Global Forecast System) meteorological fields. Here, we present a new FLEXPART limited area model that is compatible with the AROME mesoscale meteorological forecast model (the Applications of Research to Operations at ~~Meso-scale~~ Mesoscale model)<sup>1</sup>. FLEXPART-AROME was originally developed to study ~~meso-scale~~ mesoscale transport around La Réunion, a small volcanic island in the South West Indian Ocean with a complex orographic structure which is not well represented in current global operational models. ~~The AROME vertical hybrid sigma grid is projected on the Cartesian terrain following FLEXPART grid.~~ We present new turbulent modes in FLEXPART-AROME. They differ from each other by: dimensionality, mixing length ~~parameterisation~~ parameterization, turbulent transport constraint interpretation and a novel time-step configuration. Performances of new turbulent modes are compared to the ones in FLEXPART-WRF by testing the conservation of well-mixedness by turbulence, the dispersion of a point release at the surface and the marine boundary layer evolution around Reunion island. ~~An adaptive time step for the vertical turbulent motions has been implemented to improve conservation of well-mixedness in the model!~~ The novel time-step configuration proved necessary to conserve the well-mixedness in the new turbulent modes. An adaptive vertical turbulence time step was implemented, allowing the model to adapt on a finer time scale when significant changes in the local turbulent state of the atmosphere occur.

20 *Copyright statement.*

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<sup>1</sup> Applications de la Recherche à l'Opérationnel à Méso-Echelle

## 1 Introduction

Atmospheric transport models are divided into Eulerian and Lagrangian transport models. Eulerian models represent the atmosphere in a grid with mass being exchanged between grid cells. They are especially useful to model chemical interactions in the atmosphere. However, Eulerian models ~~are unable to maintain~~ have difficulties maintaining the shape of narrow plumes due to numerical diffusion in their advection scheme. A number of techniques can be applied to dampen these diffusions but they generally come with great computational costs (Alam and Lin, 2008). The Lagrangian models on the other hand describe the evolution of air masses in pregenerated 3D wind-meteorological fields obtained from a numerical weather prediction (NWP) model ~~allowing precise,~~ allowing precise and fast modelling of atmospheric tracers released from point-sources. Uncertainties in Lagrangian models ~~are limited and originate from naive~~ originate from linear temporal and spatial interpolation from the 3D meteorological fields of the NWP model (Stohl et al., 1995). Lagrangian particle ~~diffusion-dispersion~~ models (LPDM) such as FLEXPART represent an air mass by a large amount of infinitesimally small air parcels, also called particles, ~~into the atmosphere~~. Each individual particle is advected along the resolved wind fields with a turbulent diffusion superimposed. (Zannetti, 1990)

LPDMs are used in a variety of atmospheric studies such as source apportionment of chemical compounds (Gentner et al., 2014; Warneke et al.), studying atmospheric water vapor transport (Bertò et al., 2004; D'Aulerio et al., 2005; James et al., 2008), characterising deep stratospheric intrusions (Brioude et al., 2007; Akritidis et al., 2012), as well as hazard preparedness exercises (Stohl, 2013). Regional inverse modelling studies are also an increasingly important field of applications of LPDMs (Lin et al., 2003; Manning et al., 2003; Stohl et al., 2009; Brioude et al., 2011).

Pisso et al. (2019) describe the FLEXPART offline transport model ~~-The latest release ingests meteorological data from the ECMWF and GFS global model-. Several limited area models have already been developed allowing-, including the available limited area model versions. The limited area versions of FLEXPART (FLEXPART-WRF (Brioude et al., 2013), FLEXPART-COSMO (Henne et al., 2016), FLEXPART-MM5) allow~~ particle transport in higher resolved grids ~~with the possibility~~ to better represent ~~the mesoscale phenomenon in the atmosphere~~ mesoscale phenomena.

The AROME mesoscale forecast model has been the operation weather forecasting model at Météo France since 2008. It is designed for fine-scale modelling with grid sizes ranging from 0.5 to 2.5 km. AROME is developed by combining efforts of the French Meso-NH research model community and the ALADIN consortium<sup>2</sup>. Since 2015, ~~the French metropolitan area~~ mainland France is covered by a 1.3 km horizontally resolved grid in a Lambert conformal projection which results not only in a more realistic representation of topologically induced physical phenomena but also allows for a fine scale variation in surface types impacting for instance the sensible heat flux at the surface (MétéoFrance). FLEXPART-AROME was developed by the LACy laboratory to model particle transport around La Réunion, a french overseas territory which is covered by an AROME grid in the South-West Indian Ocean (~~AROME-SWIO~~ SWIO) with 2.5x2.5 km<sup>2</sup> horizontal resolution in a Lambert Conformal projection. With its 90 vertical hybrid sigma levels it reaches an atmospheric altitude of about 24 km above sea

<sup>2</sup>The ALADIN consortium contains the Algerian, Austrian, Belgian, Bulgarian, Croatian, Czech Republic, French, Hungarian, Moroccan, Polish, Portuguese, Romanian, Slovakian, Slovenian, Tunisian and Turkish weather services.

level. A ~~provisionary~~ provisional version of FLEXPART-AROME was successfully used in the 2015 STRAP campaign to forecast transport of a volcanic plume on the Island (Tulet et al., 2017).

FLEXPART-AROME is based on the FLEXPART-WRF v3.1.3 code which is able to use the Lambert Conformal projections in the horizontal coordinate. The hybrid sigma levels are projected on Cartesian terrain-following vertical levels used by FLEXPART. To simulate turbulence induced by the complex orographic structure of the volcanic island of La Réunion and by shallow convection, we built on the turbulent modes implemented in FLEXPART-WRF by ingesting the 3D turbulent kinetic energy (TKE) field from the NWP in FLEXPART in order to harmonise turbulent motions between both.

## 2 ~~Turbulent inconsistency between NWP and LDPM~~

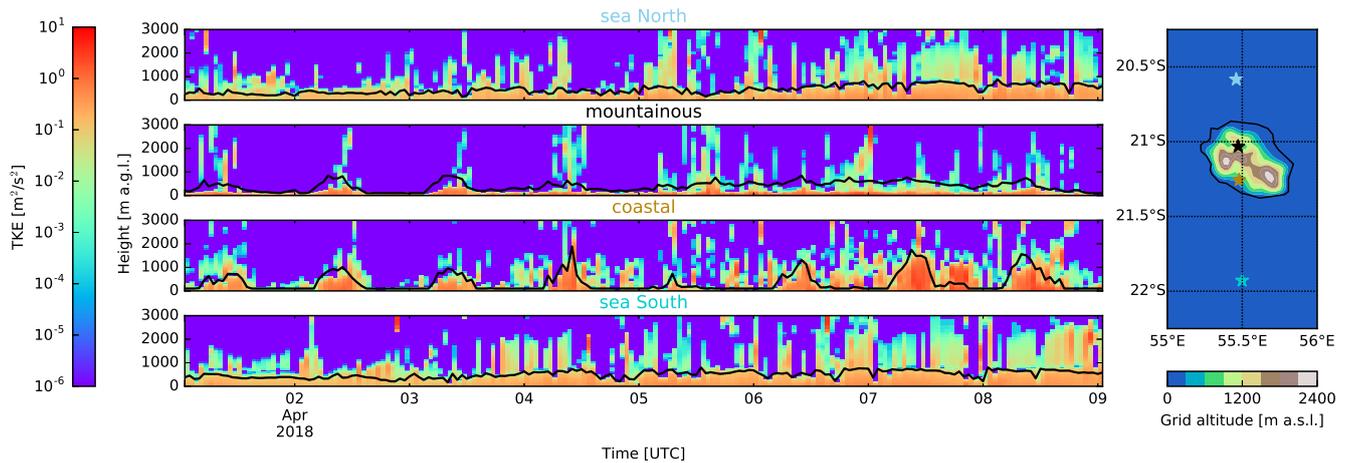
Incoherent turbulent representations may introduce unrealistic tracer transport features. For instance, if the planetary boundary layer (PBL) height (~~PBL~~) is overestimated in the transport model, tracers will be advected along stronger free tropospheric (FT) winds with a different direction. If the reverse is true and the PBL height is underestimated a passive tracer released at the surface will be well-mixed over a smaller vertical range, overestimating tracer concentrations in the boundary layer.

The FLEXPART Lagrangian particle dispersion model uses ~~a turbulent parameterisation independent of the NWP model. It was the turbulent parameterization~~ proposed by Hanna (1982) ~~-, developed and validated for meso-scale models. The PBL height is calculated by the method proposed by Vogelezang and Holtslag (1996) and computes the PBL top along the method of Vogelezang and Holtslag (1996). In the large-scale global grids, deep convection is a relevant sub-grid scale process. To describe this, Forster et al. (2007) adapted the convective parameterization by Emanuel and Živković Rothman (1999) in FLEXPART. Deep convection is assumed to be resolved in the mesoscale grids from AROME. The scheme was switched off by setting the LCONVECTION input parameter, introduced in FLEXPART-WRF, to zero. FLEXPART-WRF implemented~~ introduced two new turbulent modes using the 3D TKE fields ~~-. However, they were from the NWP model. They were, however,~~ reported to violate the well-mixedness condition, described by Thomson (1987), which states that ~~turbulent behaviour~~ turbulence cannot change an initially well-mixed ~~atmosphere~~ atmospheric tracer. To resolve this in the newly implemented turbulent modes in FLEXPART-AROME, we applied the method proposed by Thomson et al. (1997), successfully used in the Stochastic Time-Inverted Lagrangian Transport (STILT) model (Lin et al., 2003), to constrain particle transport ~~keeping with the well-mixed criterion. When comparing the PBL localisation in FLEXPART with robust estimates based on the temperature ( $\theta_v$ ) profile in AROME (fig 1). Above sea FLEXPART seems to systematically underestimate the PBL top location while in a mountainous region the reverse is true. When using TKE fields at discrete interfaces in the model.~~

In contrast to the Hanna turbulence in FLEXPART, AROME TKE fields include shallow convective transport, allowing novel turbulent modes in FLEXPART-AROME to mix boundary layer air with free tropospheric air masses.

Figure 1 illustrates the difference between the TKE fields from AROME and the calculated boundary layer top<sup>3</sup> from FLEXPART. We note that there is a large difference in ~~AROME to check the depth of the turbulent layer starting from the~~

<sup>3</sup>Subgrid-scale orography variations and enveloping PBL height considerations, that can be taken into account in FLEXPART, are not taken into account since they don't make sense at the current mesoscale resolutions.



**Figure 1.** PBL-top time-Temporal evolution according to FLEXPART (green) and inferred of TKE fields retrieved from AROME in four different types of area around Réunion Island overlaid with a black curve showing the  $\theta_v$ -profiles PBL top as calculated in AROME (red)FLEXPART. Blue represent The vertical evolution plots on the turbulent-layer-left correspond from top to bottom with the surface up-including turbulent-clouds-resolved-in-locations indicated on the tke-profile-map from AROME. Shaded background indicate local night time North to South respectively. Based on TKE-profiles, the turbulent region at Height of the surface-vertical profiles is deeper than expressed in meter above ground level, over the PBL top suggests. Differences between parameterisations cause over- or under-mixing of surface tracers inducing density-variations-between-independent-models mountainous and coastal areas this corresponds with and added 1.2 and 0.4 km above sea level respectively.

surface, the comparison is less straightforward. Since turbulent kinetic energy in AROME includes energy from turbulent motions in FLEXPART-WRF modes, where turbulence is only treated within the PBL, and the turbulent kinetic energy fields retrieved from AROME. The inclusion of shallow convection and convective clouds, clouds situated at the PBL top allow surface tracers to cross the PBL top into the free troposphere. This last is a major difference between the novel TKE formalism in FLEXPART-AROME and FLEXPART-turbulence. In FLEXPART, mass transport between PBL and the FT is only possible by resolved winds and particles reaching the PBL top by turbulent motions are reflected. In FLEXPART-AROME we do not define the PBL region and only look at turbulent versus non-turbulent regions. Convective clouds reaching and crossing the PBL top are simply treated as turbulent regions promoting mixing not explicitly represented in FLEXPART. This is clearly illustrated in the vertical profiles of  $\theta_v$  and TKE, shown in figure ??.

It is also clear in this figure that due to the arbitrary nature of the tke limit used in diagnosing turbulent layer depth in AROME, small variations in bridging the turbulence between PBL and clouds can cause what seems erratic behaviour in the diagnosed turbulent layer depth. On average, FLEXPART overestimates the PBL top over land by 133 m while over sea it is underestimated by 158 m (a difference in PBL depth of +25% and -61% respectively) over the 9 day period we randomly selected convective clouds in the TKE fields will allow particles at the surface to mix to higher altitudes in the atmosphere.

Vertical cross-sections for three subsequent hours above the sea South of Reunion Island. Red shows the  $\theta_v$  profile, blue shows the TKE profile. Horizontal lines correspond with PBL heights from FLEXPART and  $\theta_v$ , shown in green and red respectively. The blue horizontal line characterises the turbulent layer from the surface including convective clouds based on the TKE profile. The turbulent layer top is defined as the lower bound of the model layer where the TKE drops below  $1.e-4$   $m^2/s^2$ . A fast inclination of  $\theta_v$  indicates the beginning of the FT, we found that the altitude where  $\theta_v$  is 0.5K above its surface value robustly corresponds with the PBL top.

## 2 Turbulent scheme development

**Table 1.** Different turbulent options introduced in FLEXPART-AROME and their configuration.

TURB_OPTION		AVTTS		FVTTS	
		1D	3D	1D	3D
Step TKE	DELTA	10	15	20	25
	BL89	11	16	21	26
	DEARDORFF	12	17	22	27
SDA	DELTA	110	115	120	125
	BL89	111	116	121	126
	DEARDORFF	112	117	122	127

Turbulence in FLEXPART and FLEXPART-AROME is assumed Gaussian and parametrised using a Markov process to solve the Langevin equation. For a discrete time step implementation this results in:

$$10 \quad \left(\frac{w}{\sigma_w}\right)_{k+1} = r_w \left(\frac{w}{\sigma_w}\right)_k + \sqrt{1+r_w^2} \zeta + \frac{\partial \sigma_w}{\partial z} \tau_{L_w} (1-r_w) + \frac{\sigma_w}{\rho} \frac{\partial \rho}{\partial z} \tau_{L_w} (1-r_w), \quad (1)$$

where  $w$  is the vertical wind component of the turbulent motion,  $L_w$  the turbulent mixing length,  $\tau_{L_w}$  the Lagrangian time scale for the vertical autocorrelation,  $\sigma_w$  the vertical turbulent velocity distribution width,  $\rho$  the air density,  $z$  the altitude,  $r_w = \exp(-dt/\tau_{L_w})$  the autocorrelation of the vertical wind and  $\zeta$  a normally distributed random number with mean zero and unit standard deviation. The subscript  $k$  and  $k+1$  refer to subsequent times separated by  $dt$ . The first two terms on the right hand side represent the native autocorrelated turbulent velocity behaviour. The third and fourth terms represent drift and density corrections respectively. (Stohl et al., 2005)

To determine  $\tau_{L_w}$  and  $\sigma_w$ , FLEXPART-WRF has four modes defined by the TURB\_OPTION input parameter introduced by Brioude et al. (2013):

- TURB\_OPTION = 0: Turbulent velocities are set to zero.
- TURB\_OPTION = 1: Turbulence is computed using the standard FLEXPART configuration using the [parametrisation](#) [parameterization](#) proposed by Hanna (1982).

- TURB\_OPTION = 2: A hybrid configuration combining TKE fields from WRF and ~~FLEXPART-parametrisation~~FLEXPART parameterization. Surface-layer scaling and local stability with the Hanna scheme determine the 3D partitioning of the turbulent kinetic energy.
- TURB\_OPTION = 3: Turbulent motions are characterised directly by the TKE field from WRF and 3D partitioning is based on balancing production and dissipation of turbulent energy.

Brioude et al. (2013) reported spurious accumulation when using modes where TKE fields from the WRF are taken to characterise the turbulence.

In the FLEXPART-AROME code, the ~~density and~~ drift correction is set to zero and replaced by ~~using Thomson interfaces~~. ~~The turbulent configurations are also the numerical method discussed in section 2.2. Turbulent modes are~~ extended by 24 ~~modes summarised in table A1 configurations~~. We separated the new options according to the characteristics of each mode, these characteristics will be discussed in greater detail below. The user has a choice in ~~parametrisations for mixing length~~, the time-loop configuration ~~and the partitioning of TKE~~, ~~the computation of local TKE and parameterizations for mixing length~~. Turbulent motions can be restricted to the vertical axis (1D), as it is in ~~AROME-SWIO~~the AROME configuration over the SWIO, or partitioned in 3D using the diagnostic equations from Cuxart et al. (2000), implemented in the Meso-NH (Lac et al., 2018) mesoscale model. ~~The 3D modes are not explicitly evaluated here but are rather implemented to anticipate future AROME developments and use of the model in combination with Meso-NH simulations resolved on the fine-scale.~~

The different novel turbulent modes together with their input parameters are summarised in table A1 (Appendix A).

## 2.1 Particle time loop

FLEXPART discriminates between the particles below, and those above the PBL top. Above the PBL, particles are advanced in one user defined model synchronisation (LSYNC) time step. In the PBL, particle positions are updated along a leap-frog between turbulent transport and resolved wind fields. The  $\Delta t$  timestep, used by the leap-frog, is determined by the atmospheric stability and the user defined input parameter CTL. Vertical turbulent transport is handled in a second IFINE time loop with a time step  $dt = \frac{\Delta t}{\text{IFINE}}$ , where IFINE is a third user defined input parameter.

A major difference between the FLEXPART-AROME model and other FLEXPART versions is the treatment of turbulence at the PBL top. By direct use of TKE field from the NWP model, we don't characterise the PBL height explicitly. All particles are put through the time loops. In low turbulent regions,  $\sigma_w$  is small which naturally results in longer time steps:

$$\tau_w = \frac{L_w}{\sigma_w}, \quad \Delta t = \frac{\tau_w}{\text{CTL}}, \quad (2)$$

where  $L_w$  is the turbulent mixing length.

Traditionally,  $dt$  is fixed over a  $\Delta t$  period. However, in the new turbulent modes from FLEXPART-AROME, TKE can change abruptly, resulting in significant differences between adjacent  $dt$  time steps that are not represented. To resolve this, an

adaptive vertical turbulence time step (AVTTS) was implemented. The local time step is computed as:

$$dt' = \frac{\tau_w}{CTL \times IFINE}. \quad (3)$$

After IFINE displacements, the local  $dt'$  steps are accumulated in  $\Delta t = \sum_{i=1}^{IFINE} dt'_i$ , which is then used as the time step to displace the particle along the resolved winds.

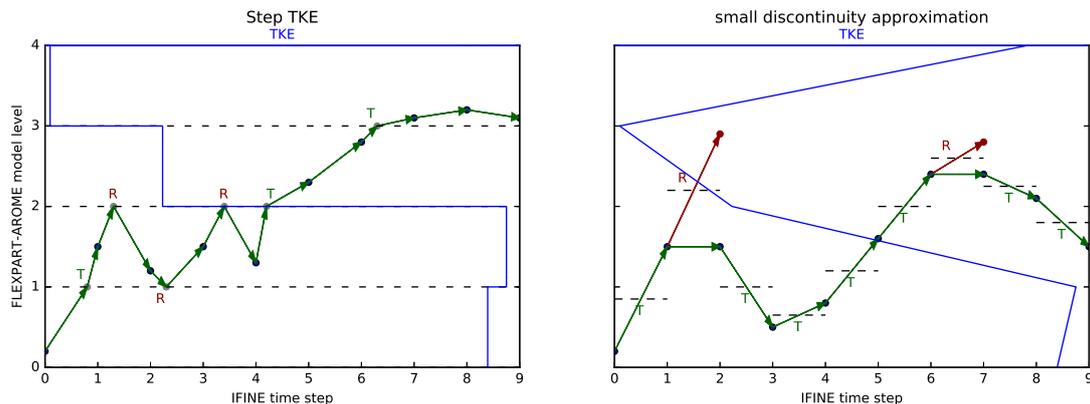
- 5 This new time loop configuration is significantly different to the traditional fixed vertical turbulence time step (FVTTS) configuration. As will be shown in section 3.1, the FVTTS is not compatible with new turbulent modes and users of FLEXPART-AROME should always use the AVTTS configuration.

## 2.2 Thomson's approach

Thomson et al. (1997) discussed the transport of particles through discrete interfaces in a random walk dispersion model. To  
10 conserve a well-mixed profile in a turbulent system with discrete TKE steps, particle transport is constrained between different TKE regions. By imposing a net zero mass-flux at TKE interfaces in a well-mixed system and assuming maximal mixing, particles attempting to cross an interface have a probability  $\alpha$  of reflection. This probability is proportional to the ratio of Gaussian turbulent velocity distribution widths. Lin et al. (2003) introduced a correction to this probability due to density variations. In FLEXPART-AROME, this correction was not implemented as it is taken into account when solving the Langevin  
15 equation (Stohl and Thomson, 1999).

In FLEXPART-AROME, two possible interpretations of ~~this principle~~ Thomson's approach have been implemented. The first considers each displacement a small discontinuity while the second arises from the grid definition of the FLEXPART-AROME model. In the small discontinuity approximation (SDA), turbulent kinetic energy is interpolated in time and space for both the initial, and the final position of a time step  $dt$ . The particle is supposed to cross an imaginary interface located at the middle  
20 of its trajectory. The probability of crossing is given by  $\alpha = \frac{\sigma_f}{\sigma_i}$ , where  $\sigma_i$  and  $\sigma_f$  represent the widths of the turbulent velocity distributions at the initial and final position respectively. ~~The difference between both interpretations is visualised in figure 2.~~ Alternatively, one can consider the FLEXPART grid as a stack of homogeneously turbulent cells. The ~~vertical~~ cell-boundaries are discrete TKE interfaces and particles attempting to cross into an neighbouring cell are reflected with a probability  $\alpha$ . In this mode (Step TKE), particles moving a distance  $dz$  are checked to see if they cross the cell boundary. If so, the time step is split  
25 up in the time it takes for the particle to get to the boundary ( $dt_1$ ), and the remaining time ( $dt_2 = dt - dt_1$ ). When a particle crosses the boundary, the turbulent velocity is recalculated at the boundary to be consistent with the new local turbulence. The difference between both interpretations is visualised in figure 2.

Both options have their merit. The SDA is recommended when users are interested in a more detailed vertical profile for the FLEXPART-AROME output. Once the SDA mode is selected, users should pay attention to the IFINE and CTL parameters. If



Illustrative difference between Step TKE configuration and SDA. Dashed lines represent TKE interfaces, in the Step TKE configuration they are fixed with homogeneous TKE regions inbetween, the SDA interpolates TKE to the particle position and initialises an imaginary temporary TKE interface halfway the particles trajectory each step. Every time the particle tries to cross an interface we evaluate the probability of crossing and the particle will be either transmitted (T), or reflected (R) at the interface. The Step TKE configuration updates particle positions to the boundary before computing the probability of crossing (grey points), when particles are transmitted, their turbulent velocity is adapted to the new model layer. The SDA configuration uses a virtual position which becomes reality upon transmission or which is never realised upon reflection (red points).

**Figure 2.** Illustrative difference between Step TKE and SDA configurations. Dashed lines represent TKE interfaces, in the Step TKE configuration they are fixed with homogeneous TKE regions inbetween, SDA interpolates TKE to the particle position and initialises an imaginary temporary TKE interface halfway the particles trajectory each step. Every time the particle tries to cross an interface we evaluate the probability of crossing and the particle will be either transmitted (T)through, or reflected (R) at the interface. The Step TKE configuration updates particle positions to the boundary before computing the probability of crossing (grey points), when particles are transmitted, their turbulent velocity is adapted to the new model layer. The SDA configuration uses a virtual position which becomes the new position upon transmission or which is never realized upon reflection (red points).

their values are low<sup>4</sup>, the small discontinuity hypothesis no longer stands. When users want to speed up their model run and are not interested in detailed vertical distributions near the surface we suggest the use of the Step TKE option.

### 2.3 Particle time loop

FLEXPART particles are categorised in below PBL and above PBL. Above the PBL, particles are advanced in one user-defined model synchronisation (LSYNC) time step. Below PBL the positions are updated along a leap-frog between turbulent transport and resolved wind fields. The  $\Delta t$  timestep is determined by the atmospheric stability and the user-defined input parameter CTL.

<sup>4</sup>In our experience, we found that values of IFINE and CTL of 5 were advisable from the different tests. Simulations with CTL values of 2 showed accumulation in all modes, even when combined with IFINE values of up to 10. When using the Step TKE mode modes, we suggest not going to values of IFINE and CTL below 5 and 3 respectively. Our recommendations for the SDA mode are to keep to a minimum of 5 for both parameters.

Vertical turbulent transport is handled in a second IFINE time loop with a time step  $dt = \frac{\Delta t}{IFINE}$ , where IFINE is a user defined input parameter.

A major difference between the FLEXPART-AROME and other FLEXPART versions is this discrimination at the PBL top. By direct use of TKE field from the NWP model we don't characterise the PBL height explicitly and all particles are put through the time loops. In very low turbulent regions,  $\sigma_w$  is low which naturally results in longer time steps:-

$$\Delta t = \frac{\tau_w}{CTL}, \quad \tau_w = \frac{L_w}{\sigma_w}.$$

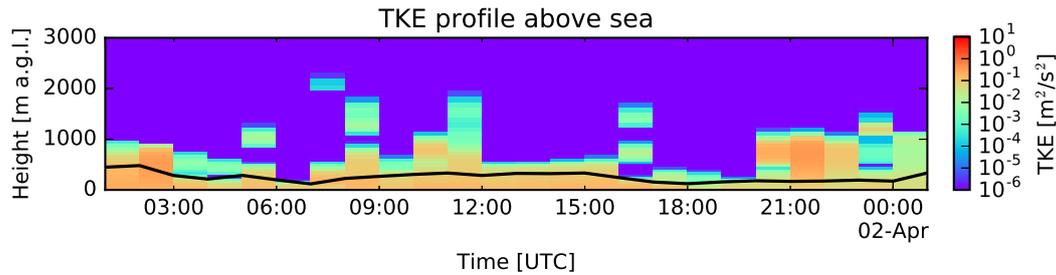
When large steps in TKE are made by a particle,  $\Delta t$  can change significantly. In the traditional FLEXPART code, this mismatch in time step carries through the remaining IFINE loops resulting in incorrect representation of the turbulent state in the new turbulent modes. In FLEXPART-AROME bottom-up time loop is implemented where  $\Delta t$  is accumulated during IFINE  $dt$  time steps where:-

$$dt = \frac{\tau_w}{CTL \times IFINE}.$$

In each IFINE loop, this  $dt$  is recalculated resulting in an adaptive time step in the bottom-up time loop configuration. Individual particles evaluate their local time step after each displacement and tell the algorithm how long it took them to finish IFINE steps. This in contrast to the top-down FLEXPART implementation where  $dt$  is constant throughout a precomputed  $\Delta t$  time step.

### 2.3 Turbulent mixing length

There are currently three ~~parametrisations~~ parameterizations for the turbulent mixing length available in FLEXPART-AROME. The first is based on the grid size (DELTA). It is commonly used as the characteristic length scale of sub-grid eddies and is justified when the grid size falls into the inertial subrange of the turbulent flow and is recommended when the NWP model has high resolution and a nearly isotropic grid (Cuxart et al., 2000). The second ~~parametrisation~~ parameterization is the Bougeault-Lacarrère mixing length (BL89), a non-local turbulent mixing length ~~parametrisation~~ parameterization proposed by Bougeault and Lacarrere (1989) that balances the TKE with buoyancy effects to determine the mixing length. This ~~parametrisation~~ parameterization is the default mixing length used in the ~~AROME-SWIO model~~ AROME model over the SWIO domain. The last ~~parametrisation~~ parameterization (DEARDORFF) is the analytical limit of BL89 in a stably stratified atmospheric limit which corresponds with the results of Deardorff (1980). It was implemented to study the model behaviour in numerical tests. The use of this last ~~parametrisation~~ parameterization is discouraged for realistic atmospheric transport. The implementation of these parameterizations is discussed in appendix B. Users of FLEXPART-AROME are encouraged to use the same mixing length parameterization as their AROME domain to get consistent results between the NWP and the LPDM.



**Figure 3.** The real TKE profile used in the validation tests above sea. The black curve corresponds to the PBL height computed by FLEXPART.

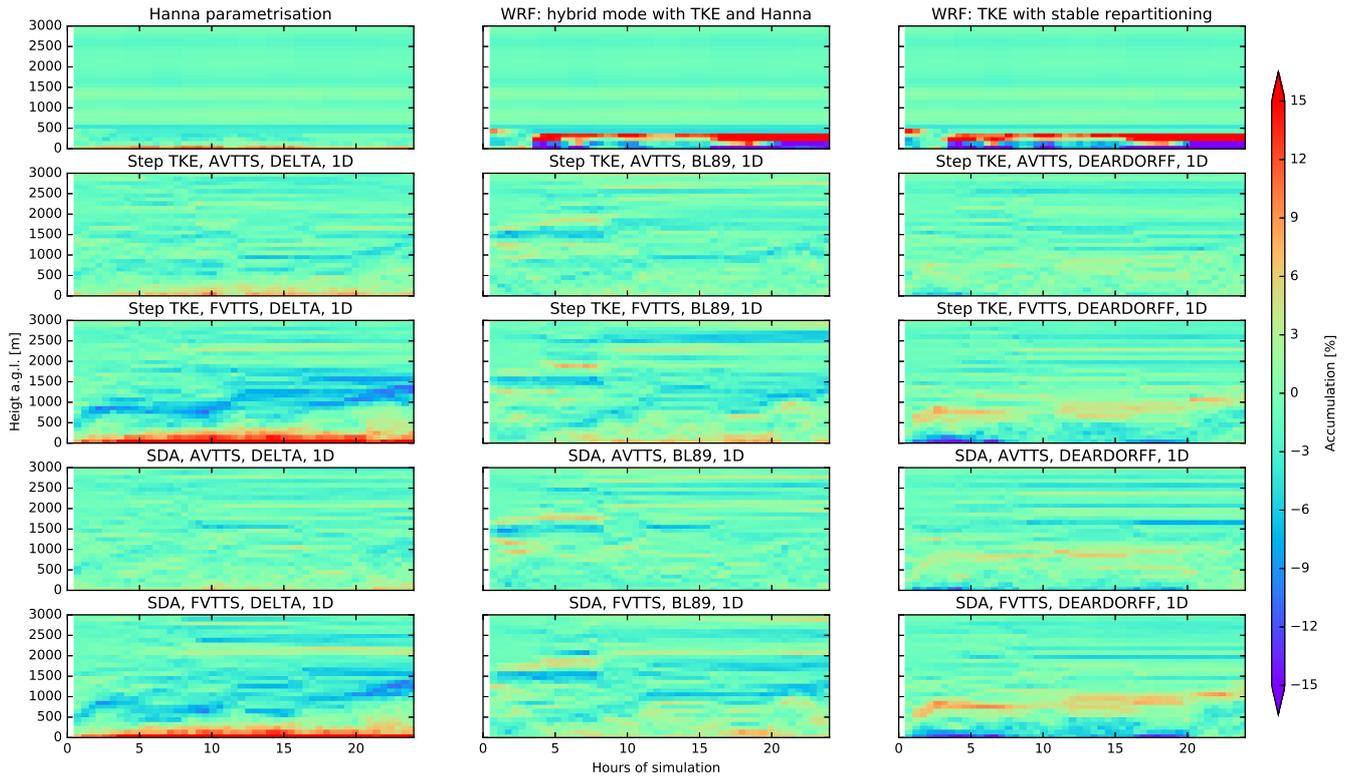
### 3 Validation

Validation tests were run using `CTLSYNC=5`, `IFINE300`, `CTL=5` and `LSYNCIFINE=300-5` with output each 30 minutes during a period of 24 hours. For each test 250000 particles are initialised. The particles are not advected along resolved winds to isolate vertical turbulent motions. The horizontal domain is constrained to one `AROME-SWIO-AROME` gridcell area over land or over sea. The output kernel of FLEXPART, spreading a fraction of particle mass over adjacent horizontal cells, was compensated by adding the output between adjacent cells of FLEXPART-AROME output. The grid cells over land and sea were randomly selected to perform our tests. The cell over land has coordinates `21.1241S 55.3791E`, corresponding to a forest area on Reunion island. The cell over sea ~~located at 22.4098S~~ is located at 22.409S `53.939E`, a cell 200 km South-West of the island. The vertical output grid goes up to 5km and is resolved by 100 m thick layers. Real TKE fields were used for the test which is why two types of area were explicitly tested. Simulations above sea are shown here, results over land were similar unless explicitly stated otherwise. The TKE profile and the diagnosed PBL height from FLEXPART in the cell above sea are shown in Figure 3

#### 3.1 Turbulent conservation of a well-mixed passive tracer

Initially well-mixed passive tracers in position and velocity space should remain unchanged in a turbulent flow. Isolating the vertical turbulence ~~, by setting 3D resolved winds to zero,~~ and using the `MDOMAINFILL` option to initialise a well-mixed passive tracer, all turbulent modes in FLEXPART-AROME were tested. Accumulation is normalised to the initial mean mixing ratio. By using the `MDOMAINFILL` option, numerical fluctuations lead to background accumulations and ~~dilution~~ dilutions of 3.5% and 4.0% respectively. Results above the sea are shown in ~~fig~~ Figure 4.

The Hanna ~~parametrisation~~ parameterization shows systematic accumulation at the surface (11.0%). ~~Modes implemented~~ Turbulent modes introduced in FLEXPART-WRF based on TKE violate consistently the well-mixed criterion ~~with turbulent options based on the TKE fields performing worse.~~ Dilution at the surface in `TURB_OPTION=2` ~~mode being the hybrid~~ FLEXPART-WRF mode is 46.4% ~~and~~ , accumulation at the PBL top 42.3%. The results in `TURB_OPTION=3` ~~the second~~



**Figure 4.** Accumulation—The vertical profile of accumulation in well-mixed test in from all the different turbulence configurations in FLEXPART-AROME is shown throughout the 24 hour simulation test. These tests were run in a single column over the ocean surface.

FLEXPART-WRF mode are slightly better with a maximum dilution of 43.3% near the surface and an accumulation of 31.5% at the PBL top.

The bottom-up AVTTS configurations perform consistently better than their top-down FVTTS counterparts. The top-down FVTTS result with DELTA mixing length has the largest surface accumulation of novel FLEXPART-AROME modes (surface accumulation up to 25.7%). The bottom-up AVTTS DEARDORFF mode in a step TKE configuration has the least accumulation and dilution of all models (4.3% and 7.4% respectively), however, use of DEARDORFF is not recommended since it is only valid in a stably stratified atmosphere. Modes TURB\_OPTION=11 and 111—Aside from the DEARDORFF configuration, modes combining AVTTS with BL89 best conserve the well-mixed state of the passive tracer. With the step TKE performing slightly better—The step TKE option performs slightly better than the SDA in this example (0.9% less dilution and 2% more accumulation) but in tests over land the. Tests over land however showed that SDA had better results. (Appendix C)

The remaining accumulation is due to gradients in mixing length. The DELTA mode has smaller  $L_w$  near the surface while DEARDORFF has larger mixing lengths at the surface compared to higher altitudes. We see that mass accumulates in these small mixing length regions.

### 3.2 Vertical dispersion of a passive surface tracer in the planetary boundary layer

The vertical dispersion of a passive surface tracer is an important test to assure efficient vertical turbulent mixing. The conservation of well-mixedness might be due to inefficient mixing and so, the surface tracer is a necessary supplementary test. We expect the tracer to be well-mixed throughout the turbulent regions within three hours after the initial release.

5 A point release at the surface at  $t=0$  in a FLEXPART-AROME simulation with isolated vertical turbulent motions for different turbulence modes is shown in ~~fig-5~~. Figure 5. The final mixing ratio profiles of are shown in appendix D.

~~Concentrations in FLEXPART-WRF turbulent options are larger compared to new modes. Due to shallow convective mixing in new turbulent modes, particles are allowed to breach the PBL top. The tracer is mixed over a larger vertical range causing further dilution not present in~~ In the Hanna mode and the FLEXPART-WRF modes, the tracer is mixed up to 500m above ground level within the first 3 hours. This corresponds to the maximum boundary layer top within this period. It is obvious however that the tracer is not well mixed in the FLEXPART-WRF ~~turbulent modes~~ configurations based on the turbulent kinetic energy.

10 Similar to the traditional configurations, the novel FLEXPART-AROME turbulent modes succeed in well-mixing the surface tracer within the first three hours. But rather than mixing up to the 500 m above ground level, where the boundary layer top is situated, the novel modes mix the tracer up to an altitude of 1000 m above ground level. This corresponds to the maximum height of the turbulent layer according to the TKE fields in the same period. There is also limited mixing between turbulent ~~en~~ and non-turbulent regions above the shallow convective zone present in the new modes. This in contrast to the sharp PBL in FLEXPART-WRF where all particles are reflected at the PBL top in the isolated turbulence configuration. Note that the use of dynamic TKE fields result in the shifting in time of the convective zone. Particles can be mixed higher up at certain times after

20 which they will no longer mix down but rather remain at the same position.

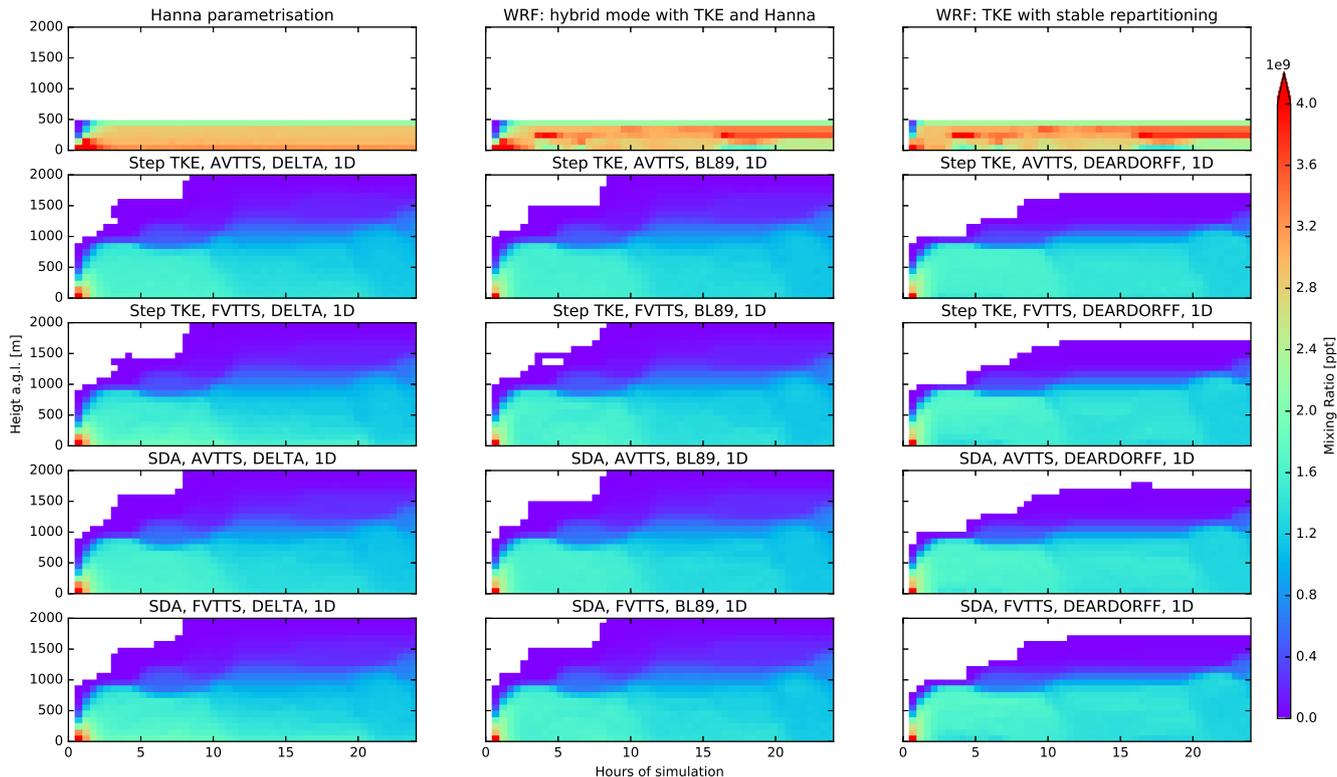
Due to the inclusion of shallow convective mixing in new turbulent modes, particles are allowed to breach the PBL top and near-surface concentrations in the traditional turbulent option is approximately three times larger compared to the new modes. The tracer is mixed over a larger vertical range causing a dilution not present in Hanna or FLEXPART-WRF turbulent modes. We highlight that, in this case, more than half of the total mass emitted at the surface is transported above the boundary layer

25 by the new turbulent modes. This enables transport along the stronger free tropospheric winds, creating further inconsistencies in dispersion between the traditional and novel turbulent methods.

## 4 Performance

### 4.1 Marine Boundary layer tracer

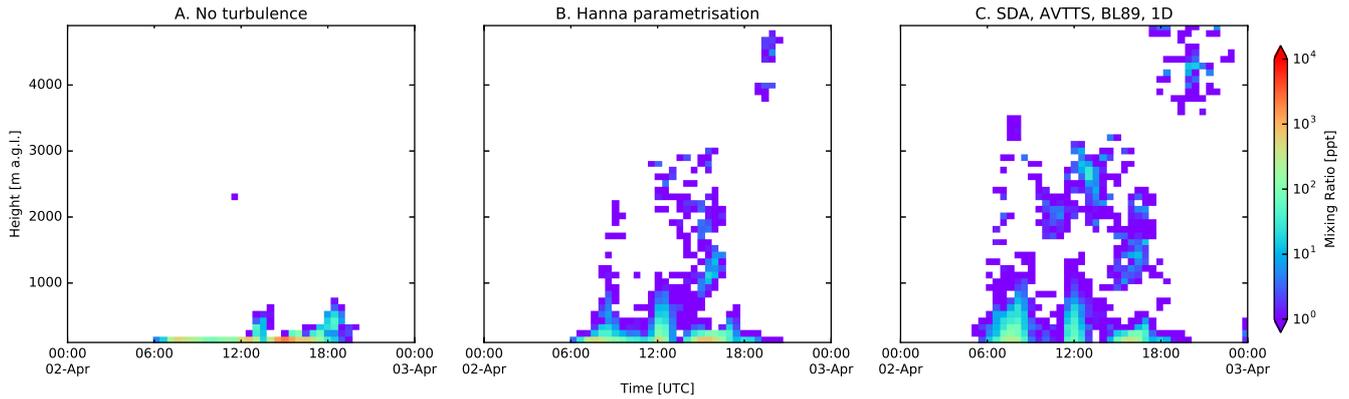
FLEXPART-AROME was built to simulate ~~particle-atmospheric~~ transport around Reunion Island to analyse measurements at the high altitude ~~Maïdo observatory~~ Maïdo observatory (Baray et al., 2013). To study the marine boundary layer (MBL) impact on measurements taken at the observatory, we continuously release a passive tracer between 0 and 5 meters above the sea with a lifetime of 24 hours. Results shown are after a spin-up time of 24 hours, LSYNC is set to 300, IFINE and CTL equal 5.



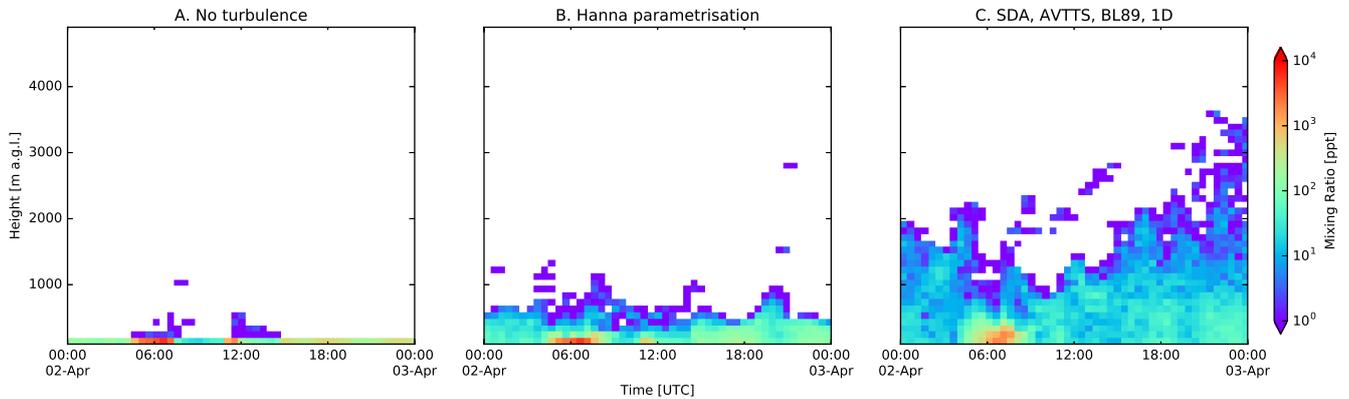
**Figure 5.** Vertical dispersion of point release at the surface are shown by the time evolution of the vertical mixing ratio profiles throughout the 24 hour simulation test for the different turbulent modes in FLEXPART-AROME. These tests were performed in a single column over the ocean surface.

Due to the strong coupling of the sea-breeze and up-slope mountainous transport the observatory is located in the MBL during the day while at night the reverse process flushes marine tracers with free-tropospheric air as found in isotopic analysis of water vapor at the Maido-Maido observatory by Guilpart et al. (2017). Figure 6 shows the MBL tracer at Maido-usingMaido using: i) no turbulent motions, ii) Hanna turbulence and iii) the selected new mode  $\tau$  (TURB\_OPTION=0, 1 and 111 respectively). Differences between modes with turbulence are limited in this example. The passive tracer arrives an hour earlier and has a larger vertical distribution when arriving at the observatory in the new mode compared to the performance of Hanna turbulence.

Figure 7 shows the marine boundary layer tracer above a random grid cell at sea. In this figure we clearly see the influence of clouds on the dispersion of passive marine tracer in the vertical. Tracers are convected through strong shallow convection in turbulent clouds that are not resolved in the traditional FLEXPART configuration. Surface mixing ratios in the Hanna mode are elevated compared to those obtained with the new turbulent mode as seen in the point release test.



**Figure 6.** Marine boundary layer tracer profile evolution at the Maïdo observatory. During the day we expect to observe the marine tracer due to efficient coupling of On the sea-breeze and up-slope transport while at night left a simulation with not turbulent motions taken into account. The middle panel shows the observatory is located in air masses of free tropospheric origin traditional FLEXPART turbulent mode. Although surface mixing ratios can differ between modes, all of On the configurations produces right hand side we show the desired diurnal cycle at results with the observatory on this particular date new turbulent mode.



**Figure 7.** Marine boundary layer tracer profile evolution above sea. We can see that On the new left a simulation with not turbulent mode mixes the passive tracer up toward higher altitudes due to motions taken into account. The middle panel shows the traditional FLEXPART turbulent coupling of shallow convection and clouds mode. This behaviour is not present in On the original parametrisation and we only have a shallow boundary layer in which right hand side we observe show the marine tracer results with the new turbulent mode.

**Table 2.** Computation time ratios relative to the original Hanna ~~parametrisation~~ parameterization computation time.

Turbulent configuration		TURB_OPTION	Well-mixed test	Point release test	Marine boundary layer run
No turbulent motion		0	0.96	2.30	1.89
Hanna parameterization		1	1.00	1.00	1.00
WRF: hybrid mode with TKE and Hanna		2	0.94	1.18	x
WRF: TKE with stable repartitioning		3	1.12	1.32	x
Step TKE	DELTA	10	4.95	1.06	x
	AVTTS BL89	11	4.89	1.06	x
	DEARDORFF	12	6.81	1.06	x
	DELTA	20	4.95	1.04	x
	FVTTS BL89	21	4.99	1.05	x
	DEARDORFF	22	6.44	1.02	x
SDA	DELTA	110	4.95	1.19	x
	AVTTS BL89	111	5.21	1.32	1.37
	DEARDORFF	112	9.05	1.24	x
	DELTA	120	5.20	1.17	x
	FVTTS BL89	121	5.58	1.31	x
	DEARDORFF	122	8.57	1.16	x

## 4.2 Computation time

We compared the total computation time between the different simulations ran for this work. Simulations were run on a workstation with a single CPU INTEL CORE I7-7700, 32 Gb of DDR4 SDRAM with a GNU compiler. The machine was dedicated to the FLEXPART-AROME simulations to minimise the impact of parallel processes on the computation times. A complete overview of runtimes in reference to the Hanna parameterization are shown in table 2.

Traditionally particles above the PBL are not considered to be turbulent and get advected in one single LSYNC time step. In the new turbulent modes particles above the PBL top are treated in the same way as those below it. This can imply vertical turbulent loops for particles above PBL if the LSYNC input parameter is large. In the well-mixed tests we use the MDO-MAINFILL option and initialise a large amount of particles above the PBL. Due to this the relevant novel modes (excluding DEARDORFF) has a mean runtime of 4.8 times that of Hanna. We exclude DEARDORFF in this comparison since: i) its mixing length has no lower limit except the implicit limit imposed by limiting the minimum time step. ~~These~~ and ii) it's use is discouraged since the mixing length is only valid in very specific cases. The DEARDORFF modes have a runtime of 7.5 times the Hanna runtime in testing the well-mixedness.

When running the point release the relevant new modes are 15% slower than the original mode. In the marine boundary layer, ~~TURB\_OPTION 111~~ the turbulent mode combining the SDA, AVTTS, and BL89 options in a 1D configuration ran 37% longer

than the Hanna ~~parametrisation~~parameterization. We also remark that no turbulent ~~parametrisation~~parameterization leads to longer run times in these two tests. This is due to the straightforward implementation of turbulent velocities being set to zero. Time steps in displacing the particle are conserved and since the vertical turbulent dispersion is not represented particles remain in regions with a very low time step. ~~A complete overview of runtimes in reference to the Hanna parametrisation are shown in~~  
5 ~~table 2.~~

## 5 Conclusions

We developed the new FLEXPART-AROME limited domain model version of FLEXPART based on FLEXPART-WRF v3.1.3. This configuration was ~~originally~~ build to model transport around Reunion Island in the Indian Ocean, a small volcanic island which has a complex orographic structure, but can be used with any AROME domain. To simulate turbulence ~~as close to~~  
10 consistently with the operational meteorological model in the region, we implemented new turbulent modes that ingest 3D TKE fields from the NWP. Due to shallow convection ~~energy~~ being taken into account in determining the 2D TKE fields in AROME, FLEXPART-AROME is able to represent ~~shallow convective behaviour in the atmosphere.~~ sub-grid scale shallow convective features. There are three important developments that users should consider when selecting the turbulent option that best suits their needs.

- 15 – To better represent the local turbulent state of a particle, an adaptive time step was implemented. This configuration is referred to as the adaptive vertical turbulence time step approach and performs consistently better in conserving the well-mixed state of the atmosphere compared to the traditional configuration.
- Turbulent drift in the model is numerically constrained by using the ~~Thomson interface formalism~~ formalism introduced by Thomson et al. (1997). It consists in reflecting or transmitting particles at discrete turbulent interfaces to conserve  
20 the well-mixed state of an initially well-mixed atmosphere. Two possible interpretations of ~~the this~~ formalism have been implemented. One approximates turbulence in the FLEXPART-AROME grid by considering every grid-cell to have uniform turbulence with transport being constrained at the ~~vertical~~ boundaries of the model grid and is referred to as the Step TKE option. The other uses ~~a so-called the~~ small discontinuity approximation where the turbulent profile is vertically interpolated and transport is constrained at each displacement. ~~To better represent the local turbulent state of a particle we also implemented an adaptive vertical time step in turbulent particle transport. This configuration~~ The latter  
25 is referred to as a bottom-up approach and performs consistently better in conserving the well-mixed state of the atmosphere compared to the SDA option. When users are interested in vertical output grids with high resolution, as in the traditional configuration. AROME grid, we advise to use the SDA option. If not, users can select the Step TKE option with lower values of the IFINE and CTL input parameters to speed up the model.
- 30 – Three different mixing length ~~parameterisations~~ parameterizations are implemented: DELTA, BL89 and DEARDORFF. Use of the last ~~parameterisation~~ parameterization is discouraged due to it only being valid in stably stratified atmospheres. Users are encouraged to adapt the choice of mixing length parameterization to be in accordance with the NWP.

New turbulent modes have a computation time that is about 5 times larger compared to the Hanna ~~parameterisation~~parameterization when a large fraction of the particles are above the PBL. However, simulation of tracers predominantly present in the PBL using a new mode in the ~~AROME-SWIO~~AROME SWIO domain only take 15% longer than the original configuration.

- 5 FLEXPART-AROME will be used to study the arrival of marine boundary layer tracers at ~~Maïdo~~Maïdo observatory on Reunion island, and the vertical distribution of marine aerosols above the ocean in comparison with measurements. Ingestion of meteorological fields coming from the Meso-NH mesoscale research model will also be introduced in the future to simulate transport at higher resolutions around La Réunion to help study air mass transport on a case study basis.

*Code availability.* The FLEXPART-AROME code is openly accesible on FLEXPART.eu

*Data availability.* Data used for the different tests is available upon request.

10 **Appendix A: ~~Conservations of well-mixedness over land~~Different turbulent modes and their respective input parameters**

Table A1 shows the different novel turbulent modes implemented in the FLEXPART-AROME code.

**Table A1.** Different turbulent options introduced in FLEXPART-AROME and their configuration.

<u>TURB_OPTION</u>		<u>AVTTS</u>		<u>FVTTS</u>	
		<u>1D</u>	<u>3D</u>	<u>1D</u>	<u>3D</u>
<u>Step TKE</u>	<u>DELTA</u>	<u>10</u>	<u>15</u>	<u>20</u>	<u>25</u>
	<u>BL89</u>	<u>11</u>	<u>16</u>	<u>21</u>	<u>26</u>
	<u>DEARDORFF</u>	<u>12</u>	<u>17</u>	<u>22</u>	<u>27</u>
<u>SDA</u>	<u>DELTA</u>	<u>110</u>	<u>115</u>	<u>120</u>	<u>125</u>
	<u>BL89</u>	<u>111</u>	<u>116</u>	<u>121</u>	<u>126</u>
	<u>DEARDORFF</u>	<u>112</u>	<u>117</u>	<u>122</u>	<u>127</u>

**Appendix B: Implementation of the turbulent mixing length parameterizations**

- 15 The importance of turbulent mixing length in the new modes is the closing of the turbulent parameterization. Without this value, we have no information on how far particles can mix and so we would have no information on the turbulent time scale.

There are three different implementation of turbulent mixing length  $L_w$ . The 1D DELTA  $L_w$  is computed as follows:

$$L_w(\text{DELTA},1\text{D}) = \min(0.4 * h(k), \Delta z(k)), \quad (\text{B1})$$

where  $h(k)$  and  $\Delta z(k)$  represent the height and the thickness of th k'th model layer respectively. When simulations are run in the 3D mode we use the following formula:

$$L_w(\text{DELTA},3\text{D}) = \min\left(0.4 * h(k), \sqrt[3]{\Delta x \Delta y \Delta z(k)}\right), \quad (\text{B2})$$

where  $\Delta x$  and  $\Delta y$  represent the horizontal resolutions.

The DEARDORFF parameterization is computed by:

$$L_w(\text{DEARDORFF}) = \begin{cases} \sqrt{\frac{2\text{TKE}\theta_{v,ref}}{g\partial\theta_v/\partial z}}, & \text{if } \partial\theta_v/\partial z > 0, \\ \Delta z(k), & \text{otherwise.} \end{cases} \quad (\text{B3})$$

Here, TKE is the local turbulent kinetic energy,  $\theta_{v,ref}$  is the virtual potential temperature of the reference state,  $\partial\theta_v/\partial z$  is the vertical gradient of the virtual potential temperature and  $g$  is earth's gravitational acceleration constant. In FLEXPART-AROME however, the virtual potential temperature is approximated by the potential temperature, neglecting the humidity effect on the air masses.

The BL89 parameterization computes the distance that an air parcel can travel upward and downwards by using the local turbulent kinetic energy and combines both to compute the turbulent mixing length:

$$TKE = \int_z^{z+l_{up}} \frac{g}{\theta_{v,ref}} (\theta(z') - \theta(z)) dz', \quad (\text{B4})$$

$$TKE = \int_{z-l_{down}}^z \frac{g}{\theta_{v,ref}} (\theta(z) - \theta(z')) dz', \quad (\text{B5})$$

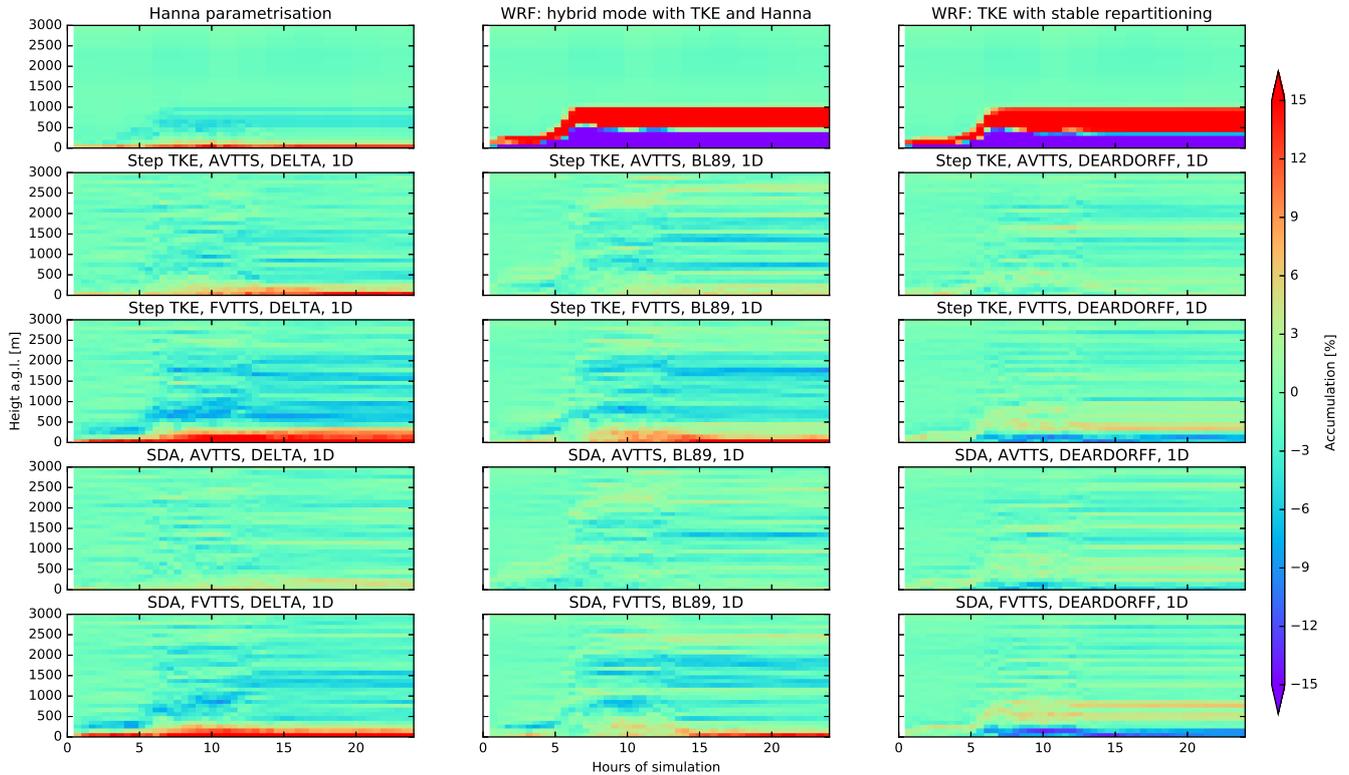
$$L_w(\text{BL89}) = \left( \frac{l_{up}^{-2/3} + l_{down}^{-2/3}}{2} \right)^{-3/2}. \quad (\text{B6})$$

These equations are solved on the discrete model layers. As a consequence, the minimal mixing length equals  $\Delta z$ . Similar as in the DEARDORFF parameterization, the virtual potential temperatures are approximated by the potential temperatures. The 1D and 3D parameterizations do not differ for both the DEARDORFF and the BL89 parameterizations.

It is important here to note that the DEARDORFF parameterization is the only parameterizations that does not have a lower limit based on the grid definition. It only falls back on the minima of the other implementations when its value becomes negative. The lower limit is rather a computational remnant which stems from the minimal time step. In equation 3 the  $dt'$  has a fixed minimum which means that the turbulent time scale is numerically forced to a specific value. When computing  $\tau_w$  in equation 2 the  $\sigma_w$  value is fixed by the input which means that when its value is forced by the algorithm, we artificially adapt the turbulent mixing length.

### Appendix C: Conservations of well-mixedness over land

Shown in figure-Figure C1 is the conservation of well-mixedness over land in the morning when the PBL is growing. We see that the DELTA modes all have some accumulation near the surface, the bottom-up-AVTTS SDA mode having the least accumulation, similar to the stable PBL over sea. A surface accumulation over land in Hanna in the bottom layer of maximum 14.5%. Comparing the best performing relevant TURB\_OPTION parameters 11 and 111 we see that the accumulation in the step TKE mode near the surface is 2.0% larger with the accumulation occurring at the surface from 10 hours simulation onward.

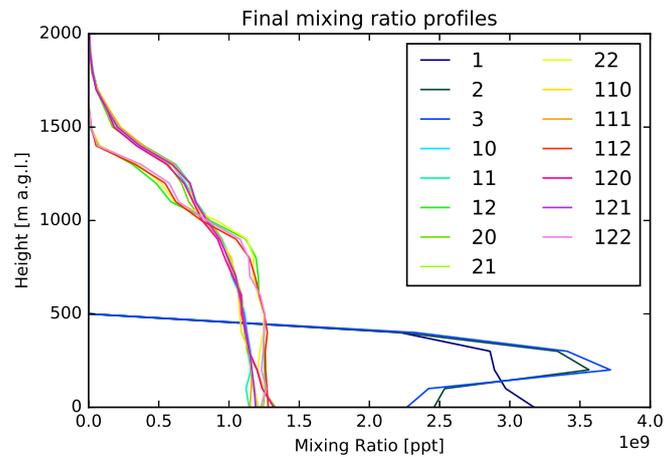


**Figure C1.** Accumulation in well-mixed test in all different turbulence configurations in FLEXPART-AROME. These tests were run in a column over the ocean surface.

## Appendix D: Conservations of well-mixedness over land

After the 24 hour simulation of a passive tracer released at the surface, final mixing ratio profiles for all tested turbulent modes are shown in Figure D1. Due to the shallow PBL in the traditional modes the mixing ratios of the FLEXPART-WRF configurations are a factor 2 to 3 larger. The new turbulent modes are all well mixed near the surface. Due to the shifting convective zone near the top there is no sharp difference between PBL and FT.

We can clearly see two different kinds of mixing between the DEARDORFF parameterizations on the one hand and the DELTA and BL89 modes on the other hand. While DEARDORFF is based on an analytical formula with no real lower limit except the one implicitly imposed by the minimal time step, vertical mixing above the more turbulent layer is slower. This results in a mixing ratio profiles which do not reach as high as the other modes who's lower limit on turbulent mixing length is based on the grid definition.



**Figure D1.** Final mixing ratio profiles in the surface tracers test released over sea. The legend shows the numerical value of the TURB\_OPTION parameter input.

*Author contributions.* Jérôme Brioude developed the provisional FLEXPART-AROME version and adapted FLEXPART-WRF code to ingest AROME data. He supervised and advised Bert Verreyken who was responsible for implementing and testing the Thomson methodology to use 3D TKE fields in the model. Stéphanie Evan was developer on the FLEXPART-WRF version used as a base and a sought after consultant on development of FLEXPART-AROME.

15 *Competing interests.* The authors declare that they have no conflict of interest.

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*Acknowledgements.* This study has been supported by the project OCTAVE of the "Belgian Research Action through Interdisciplinary Networks" (BRAIN-be) research programme (2017-2021) through the Belgian Science Policy Office (BELSPO) under the contract number BR/175/A2/OCTAVE. We thank Météo France for sharing the AROME output files from forecasts in the South-West Indian Ocean which made this work possible.

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