

1 **Improved methodologies for Earth system modelling of atmospheric soluble iron and**
2 **observation comparisons using the Mechanism of Intermediate complexity for Modelling**
3 **Iron (MIMI v.1.0).**

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15

16 **Abstract**

17 Herein, we present the description of the Mechanism of Intermediate complexity for Modelling
18 Iron (MIMI v1.0). This iron processing module was developed for use within Earth system models
19 and has been updated within a modal aerosol framework from the original implementation in a
20 bulk aerosol model. MIMI simulates the emission and atmospheric processing of two main
21 sources of iron in aerosol prior to deposition: mineral dust and combustion processes.
22 Atmospheric dissolution of insoluble to soluble iron is parametrized by an acidic interstitial aerosol
23 reaction and a separate in-cloud aerosol reaction scheme based on observations of enhanced
24 aerosol iron solubility in the presence of oxalate. Updates include a more comprehensive
25 treatment of combustion iron emissions, improvements to the iron dissolution scheme, and an
26 improved physical dust mobilization scheme. An extensive dataset consisting predominantly of
27 cruise-based observations was compiled to compare to the model. The annual mean modelled
28 concentration of surface-level total iron compared well with observations, but less so in the soluble
29 fraction (iron solubility) where observations are much more variable in space and time. Comparing
30 model and observational data is sensitive to the definition of the average and the temporal and
31 spatial range over which it is calculated. Through statistical analysis and examples, we show that
32 a median or log-normal distribution is preferred when comparing with soluble iron observations.
33 Comparison of iron solubility calculated at each model time step versus that calculated based on
34 a ratio of the monthly mean values, which is routinely presented in aerosol studies and used in
35 ocean biogeochemistry models, are on average globally one-third (34%) higher. We redefined
36 ocean deposition regions based on dominant iron emission sources and found that the daily
37 variability in soluble iron simulated by MIMI was larger than that of previous model simulations.

38 MIMI simulated a general increase in soluble iron deposition to Southern Hemisphere oceans by
39 a factor of two to four compared with the previous version, which has implications for our
40 understanding of the ocean biogeochemistry of these predominantly iron limited ocean regions.

41

42

43 **1 Introduction**

44 Iron is an essential micronutrient for ocean primary productivity (Martin et al., 1991; Martin, 1990).
45 Iron deficiency in oceans leads to high-nutrient low-chlorophyll (HNLC) conditions under which
46 the photosynthetic productivity of phytoplankton is iron limited (Boyd et al., 2007; Jickells et al.,
47 2005), and in other regions iron may be an important nutrient for nitrogen fixation by diazotrophs
48 (Capone et al., 1997; Moore et al., 2013, 2006). Atmospheric deposition of bioavailable iron (i.e.,
49 the fraction of the total iron deposited that is readily available for ocean biota uptake) contained
50 in aerosol is an important source of new iron for the remote open ocean (Duce and Tindale, 1991;
51 Fung et al., 2000); therefore, iron impacts the ability of oceans to act as a sink of atmospheric
52 carbon dioxide (Jickells et al., 2014; Moore et al., 2013).

53 Several definitions for bioavailable iron have been proposed. The solubility of iron is considered
54 to be a key factor modulating its bioavailability (Baker et al., 2006a, 2006b); therefore, we consider
55 bioavailable iron to be the dissolved (labile) iron in either a (II) or (III) oxidation state, and we
56 define this as the soluble iron concentration throughout the manuscript. However, since most
57 aerosol iron is insoluble at emission the processing of insoluble iron to a soluble form must occur
58 during atmospheric transport. The acidic processing of iron contained in aerosol is one pathway
59 under which soluble iron can be liberated from an insoluble form with decreasing pH (Duce and
60 Tindale, 1991; Solmon et al., 2009; Zhu et al., 1997). Organic ligands, in particular oxalate, also
61 increase iron solubility by weakening or cleaving the Fe–O bonds found in iron oxide minerals via
62 complexation (Li et al., 2018; Panias et al., 1996), and in nature this reaction proceeds most
63 rapidly in a slightly acidic aqueous medium, such as cloud droplets (Cornell and Schindler, 1987;
64 Paris et al., 2011; Xu and Gao, 2008). Organic ligand processing has been estimated to increase
65 soluble iron concentrations by up to 75% more than is achievable with acid processing alone (Ito,
66 2015; Johnson and Meskhidze, 2013; Myriokefalitakis et al., 2015; Scanza et al., 2018). However,
67 there is no single mechanism that describes the observed inverse relationship of higher iron
68 solubilities with decreasing iron concentrations (Sholkovitz et al., 2012). Rather, Mahowald et al.
69 (2018) used a 1–D plume model to demonstrate that the observed trend can be explained by
70 either the differences in iron solubility at emission or the atmospheric dissolution of insoluble iron.

71 Thus, there is no observational constraint to indicate which is more likely, unless spatial
72 distribution is also considered.

73 The recent increase in efforts to model iron solubility (Ito, 2015; Ito and Xu, 2014; Johnson and
74 Meskhidze, 2013; Luo et al., 2008; Meskhidze et al., 2005; Myriokefalitakis et al., 2015; Scanza
75 et al., 2018) reflects its importance for understanding biogeochemical cycles (Andreae and
76 Crutzen, 1997; Arimoto, 2001; Jickells et al., 2005; Mahowald, 2011) and how human activity may
77 be perturbing them (Mahowald et al., 2009, 2017). However, the multi-faceted nature of how iron
78 interacts within the Earth system results in many uncertainties regarding how best to represent
79 the atmospheric iron cycle within models, which are themselves of varying complexity
80 (Myriokefalitakis et al., 2018). To incorporate the processes currently thought to be the most
81 significant (Journet et al., 2008; Meskhidze et al., 2005; Paris et al., 2011; Shi et al., 2012) and
82 improve model-to-observation comparisons of the soluble iron fraction, particularly in remote
83 ocean regions (Baker et al., 2006b; Ito, 2015; Mahowald et al., 2018; Matsui et al., 2018;
84 Sholkovitz et al., 2012), model development has been focused on refining the atmospheric iron
85 emission sources and subsequent atmospheric processing (Ito, 2015; Ito and Xu, 2014; Johnson
86 and Meskhidze, 2013; Luo et al., 2008; Meskhidze et al., 2005; Myriokefalitakis et al., 2015;
87 Scanza et al., 2018).

88 A recent multi-model evaluation of four global atmospheric iron cycle models (Myriokefalitakis et
89 al., 2018) showed that total iron deposition is over-represented close to major dust source regions
90 and under-represented in remote regions compared with observations from all four models. This
91 is consistent with previous model inter-comparison studies that demonstrated the difficulty in
92 simultaneously simulating both atmospheric concentrations and deposition fluxes of desert dust
93 (Huneeus et al., 2011). Importantly, none of the atmospheric iron processing models can capture
94 the high (>10%) solubilities measured over the Southern Ocean; this is potentially owing to the
95 model processes associated with transport and aging of aerosol iron requiring further
96 development (Ito et al., 2019). Conclusions from Myriokefalitakis et al. suggest that future model
97 improvements should focus on a more realistic aerosol size distribution and the representation of
98 mineral-to-combustion sources of iron. Most of the development of the Mechanism of Intermediate
99 Complexity for Modelling iron (MIMI), as described herein, focused on these points. First, we
100 transitioned from a bulk aerosol scheme to a two-moment modal aerosol scheme (Liu et al., 2012),
101 and second, we re-evaluated pyrogenic iron emissions from anthropogenic combustion and fires.
102 The modal aerosol scheme was used to calculate both aerosol mass and number at each time
103 step within an updated global aerosol microphysics model, and both the fire and anthropogenic

104 combustion emissions from Luo et al. (2008), which are likely to be underestimated (Conway et
105 al., 2019; Ito et al., 2019; Matsui et al., 2018), were improved upon.

106
107 Ocean observations of iron, and its soluble fraction, are limited both spatially and temporally owing
108 to the significant costs and logistical constraints associated with accumulating data from scientific
109 cruises. Thus, there is an inherent disparity in attempting to compare climatological means
110 calculated from temporally-chronological model results with observational means calculated from
111 temporally-limited and sporadic observations (e.g., Mahowald et al., 2008, 2009). This is
112 important because natural aerosol emissions are variable on seasonal, annual, and decadal
113 timescales, both in terms of primary natural iron emission sources (mineral dust and wildfires)
114 and the source of aerosol acidity. For example, sulphuric acid from the oxidation of dimethyl
115 sulphide and fire SO₂ (Bates et al., 1992; Chin and Jacob, 1996) have been observed to aid iron
116 dissolution when far from anthropogenic acid sources (Zhuang et al., 1992). Limitations
117 associated with the collection of continuous annual or inter-annual ship-based data across
118 multiple remote ocean regions are immutable at present, which hinders the required derivation of
119 basic statistical properties of such highly-variable data (Smith et al., 2017). Attention could
120 therefore be given to the methodologies under which such model-observation comparisons are
121 undertaken instead.

122 The present manuscript is presented in four parts. The first part (section 2) introduces updates
123 made to the Bulk Aerosol Module (BAM) iron scheme of Scanza et al. (2018) and its
124 implementation within the Modal Aerosol Module (MAM), with four modes (MAM4), within the
125 Community Earth System Model (CESM). In the second part (section 3), we compare iron
126 concentrations and the fractional solubility of iron with the observational data. Then the third part
127 (section 4) compares our updated version of the model with its predecessor. Finally, we suggest
128 further developments for atmospheric iron modelling and for comparing model results with
129 sporadic observations (section 5).

130

131

132 **2 Aerosol model**

133 The present study improves upon the previous atmospheric iron cycle module developed for the
134 Community Atmosphere Model (CAM) version 4 (CAM4) embedded in the CESM; we will refer to
135 this version as BAM-Fe (Scanza et al., 2015, 2018) therein. We incorporated the iron module
136 within the MAM framework (Liu et al., 2012, 2016) currently in the Department of Energy's Energy

137 Exascale Earth System Model (E3SM; Golaz et al., 2019) and the CAM versions 5 and 6 (CESM-
138 CAM5/6; (Neale et al., 2010)); we refer to this new version of the iron model by its name (MIMI)
139 therein . Table 1 serves as a reference and summarizes the modifications made for MIMI, which
140 are discussed throughout the manuscript.

141 We use MAM4 with four simulated log-normal aerosol size modes: three modes (Aitken,
142 accumulation, and coarse) containing iron and a fourth primary carbonaceous mode. Table 2
143 details the new pyrogenic iron (i.e., from fires and anthropogenic combustion) modal aerosol
144 properties, while those of mineral dust iron follow existing dust aerosol properties (Liu et al., 2012).
145 Generally, the modelled density of iron is similar to size-resolved ambient aerosol densities
146 measured in Eastern China (Hu et al., 2012), which has significant dust and combustion aerosol
147 sources. MIMI was initially implemented and tested within a development branch of CAM 5.3, as
148 per Wu et al. (2017) and Wu et al. (2018), using Cheyenne (Computational and Information
149 Systems Laboratory, 2017) and closely resembles CESM version 1.2.2. We used a $2.5^\circ \times 1.9^\circ$
150 horizontal (longitude by latitude) resolution and 56 vertical layers up to 2 hPa. Stratiform
151 microphysics followed a two-moment cloud microphysics scheme (Gettelman et al., 2010;
152 Morrison and Gettelman, 2008). The other major aerosol species black carbon (BC), organic
153 carbon, sea salt and sulphate (SO_4) were also simulated but are not explicitly examined here
154 because we are focused on iron aerosol modelling. However, atmospheric iron processing in MIMI
155 requires both sulphate and (secondary) organic aerosols to be simulated as they act as proxies
156 for the reactant species of $[\text{H}^+]$ and oxalate, respectively. In CAM5 sulphate aerosol is present in
157 all three aerosol modes while secondary organic aerosol is only present in the fine Aitken and
158 accumulation modes (Liu et al., 2012, 2016). Aerosol microphysics was applied in the same way
159 to the new iron aerosol tracers as the base aerosol species (Liu et al., 2012, 2016). Fire emissions
160 were vertically distributed between six injection height ranges: 0–0.1, 0.1–0.5, 0.5–1.0, 1.0–2.0,
161 2.0–3.0, and 3.0–6.0 km, as per AeroCom recommendations (Dentener et al., 2006). Fire
162 emissions were uniformly distributed in model levels between height limits. Unless otherwise
163 stated, aerosol and precursor gas mass emissions were from the Climate Model Intercomparison
164 Program (CMIP5) inventory (Lamarque et al., 2010). Major gas-phase oxidants (O_3 , OH, NO_3 and
165 HO_2) were supplied offline and were also from Lamarque et al. (2010). Meteorology (U , V , and T)
166 was nudged to Modern-Era Retrospective analysis for Research and Applications (MERRA) data
167 for 2006–2011. Unless otherwise stated, the last five years were used for analysis.

168

169

170 **Table 1.** Short summary of major differences between BAM-Fe and MIMI.

BAM-Fe (CAM4) Externally mixed bulk aerosol tracers with 4 size bins (0.1-1.0, 1.0-2.5, 2.5-5.0, 5.0-10.0 μm)	MIMI (CAM5) Internally mixed 2-moment aerosol tracers with 3 aerosol iron size modes (Aitken, accumulation, coarse)
Static soil erodibility from offline maps: DEAD (Zender et al., 2003) scheme	Time-varying soil erodibility calculated online: Kok et al. (2014a) scheme
8 dust minerals, 5 of which are iron bearing	No change
Static Luo et al. combustion iron emissions	Static Luo et al. combustion iron emissions x5
Static Luo et al. fire iron emissions	Time-varying Fe:BC fire iron emission ratio
Surface fire iron emissions	Vertically distributed fire iron emissions
Static aerosol pH across aerosol size bins	Aerosol pH size dependent
Assumed oxalate concentration based on primary organic carbon	Assumed oxalate concentration based on secondary organic carbon
In-cloud aerosol concentrations based on simulated cloud fraction	Separate in-cloud and interstitial aerosol tracers

171

172

173 The model used in this study performed well when compared to observations from a variety of
174 different environments, and produced aerosol concentrations that were close to those of the multi-
175 model mean of similarly complex aerosol models (Fanourgakis et al., 2019).

176

177 **Table 2.** Combustion iron aerosol size and number properties.

Mode	Number mode diameter, D_{gn} (μm)	Geometric standard deviation (σ)	Volume mean particle diameter, D_{emit} (μm) ¹	Density, ρ (kg/m^3)
Aitken	0.03 ^a	1.8 ^a	0.0504	1500 ^c
Accumulation	0.08 ^a	1.8 ^a	0.134	1500 ^c
Coarse	1.00 ^b	2.0 ^b	2.06	2600 ^c

178 1. $D_{emit} = D_{gn} \times \exp(1.5 \times (\ln(\sigma))^2)$

179 a. Liu et al. (2012)

180 b. Dentener et al. (2006) and Liu et al. (2012)

181 c. Wang et al. (2015)

182

183

184 **2.1 Dust aerosol modelling**

185 Mineral dust aerosol was modelled via the Dust Entrainment And Deposition model (DEAD;
186 Zender et al., 2003), which was previously updated to include the brittle fragmentation theory of
187 vertical dust flux (Kok, 2011) on mineral size fractions (Albani et al., 2014; Scanza et al., 2015).
188 We further improved the emissions of dust in MAM to follow a physically-based vertical flux theory
189 (Kok et al., 2014a), which has been shown to significantly improve dust emissions (Kok et al.,
190 2014b). Notice that this method allowed for the removal of the soil erodibility map approach
191 previously employed by the DEAD scheme (Table 1), and still provided more accurate simulations
192 of regional dust emissions and concentration (Kok et al., 2014b). Dust aerosol optical depth (AOD)
193 was calculated using mineralogy-based radiation interactions as described by Scanza et al.
194 (2015). Dust emissions were tuned such that a global annual mean dust AOD of ~ 0.03 was
195 attained, as recommended by Ridley et al. (2016) and matching values in Scanza et al. (2015) for
196 a similar model configuration.

197 Dust mineralogy in MIMI is designed to be comprised of eight separate transported tracers: illite,
198 kaolinite, montmorillonite, hematite, quartz, calcite, feldspar and gypsum (Scanza et al., 2015).
199 Mineral soil distributions were supplied offline (Claquin et al., 1999) with the emission of each dust
200 mineral species further refined following the brittle fragmentation theory (Scanza et al., 2015).

201

202 **2.2 Iron aerosol modelling**

203 The simulated lifecycle of iron can be grouped into three main stages: (1) iron emission to
204 atmosphere, (2) physical-chemical iron processing during transport and (3) final iron deposition
205 and, thus, loss from the atmosphere. In the following sections, we describe the emissions and
206 subsequent atmospheric dissolution of iron (stages 1 and 2), while the effects of this on the
207 magnitude of oceanic soluble iron deposition (stage 3) in MIMI are examined and compared to
208 BAM-Fe in section 4.

209 Iron optical properties are currently considered to reflect those of hematite because this mineral
210 contains 97% of the iron aerosol mass fraction (see section 2.3.1).

211

212

213

214 **2.3 Iron aerosol emissions**

215 MIMI contains three major iron emission sources: mineral dust, fires (defined here as the sum of
216 wildfires and human-mediated biomass burning) and anthropogenic combustion (defined here as
217 the sum of industrial and domestic biofuel burning). In the BAM-Fe version of the model, fire and
218 anthropogenic combustion emissions were combined into a single static monthly mean value. In
219 MIMI, fire emissions of iron were updated to be distinct from other pyrogenic iron sources and
220 were parametrized to track the BC emissions from fires using an Fe:BC ratio. Fire BC emissions
221 were simulated to be time varying on a monthly scale, resulting in a much more pronounced
222 seasonality to fire emissions (e.g., Giglio et al., 2013) compared to BAM-Fe where seasonality
223 was not imposed.

224 For all iron species in each mode, the aerosol number emissions ($Fe_{emit,num}$) were calculated from
225 the mass emissions within the same mode ($Fe_{emit,mass}$) using the properties in Table 2 and
226 following Liu et al. (2012),

$$Fe_{emit,num} = \frac{Fe_{emit,mass}}{\left(\frac{\pi}{6}\right) \times \rho \times D_{emit}^3} \quad \text{Equation 1}$$

227

228 **2.3.1 Iron emissions within mineral dust aerosol**

229 Based on previous research by Journet et al. (2008) and Ito and Xu (2014), the iron fraction in
230 each mineral species was prescribed at emission as follows: 57.5% in hematite, 11% in smectite,
231 4% in illite, 0.24% in kaolinite, 0.34% in feldspar, and 0% in the remaining three mineral species
232 (Table 3); which has been shown to improve the accuracy of the modelled total iron fraction
233 estimated from mineral dust (Scanza et al., 2018; Zhang et al., 2015). The mass of each of the
234 eight mineral dust species advected at each model time step was the residual mineral mass (i.e.,
235 after the removal of the iron mass), such that the sum of all eight minerals and the total iron from
236 mineral dust equalled unity, and hence, the original total singular dust mass emitted from the land
237 surface.

238 Iron emissions from the five iron-bearing mineral dust species (three dust minerals contain no
239 iron) were then partitioned into the four advected mineral-dust-bearing iron aerosol tracers (Table
240 3); iron tracers were defined as being (in)soluble and by the speed of the atmospheric reaction
241 rate acting on them: slow or medium (Scanza et al., 2018). Note that, slow- and med-soluble iron
242 are only produced by non-reversible atmospheric processing within the model; therefore,

243 computational costs can be reduced by not creating a separate iron tracer representing the
 244 fraction which is already soluble at emission (i.e., ‘fast’ reacting), but instead add an initial med-
 245 soluble iron processed emission burden which is equivalent to the assumed fast reacting iron
 246 fraction.

247

248 **Table 3.** Mass fraction of iron in each simulated iron bearing dust mineral species and allocation
 249 to each mineral iron tracer at emission. At emission med-soluble iron is equivalent to the fast-
 250 soluble iron fraction (i.e., the fraction which is already assumed to be soluble at emission).
 251 Residual mineral dust mass is then advected as its respective tracer.

Mineral dust mass percent allocated to each dust iron tracer at emission					
Mineral	Med-soluble	Med-insoluble	Slow-soluble	Slow-insoluble	Total
Hematite	0.0%	0.0%	0.0%	57.5%	57.5%
Smectite	0.55%	10.45%	0.0%	0.0%	11.0%
Illite	0.11%	3.89%	0.0%	0.0%	4.0%
Kaolinite	0.01%	0.0%	0.0%	0.23%	0.24%
Feldspar	0.01%	0.0%	0.0%	0.33%	0.34%

252

253

254 2.3.2 Iron aerosol emissions from fires

255 Following Luo et al. (2008), we used observed Fe:BC mass ratios to estimate fine and coarse
 256 mode iron emissions from fires. An additional difference between BAM (CAM4) and MAM (CAM5)
 257 models is the emission dataset used to estimate global fire emissions of aerosol and trace gases.
 258 The BAM model uses adjusted AeroCom fire emissions (Dentener et al., 2006; Scanza et al.,
 259 2018), while MAM uses CMIP5 fire emissions (Lamarque et al., 2010). Base fire BC emissions
 260 within the CMIP5 database are 2.55 Tg a⁻¹ BC; however, the scaling of emissions from fires has
 261 been shown to be necessary to improve model to observed (aerosol optical depth and particulate
 262 matter) BC ratios (Reddington et al., 2016; Ward et al., 2012). Therefore, we globally scaled the
 263 fire iron emissions by a uniform factor of two, which is comparable with the overall lower scaling
 264 factor from a review of the literature by Reddington et al. (2016: Table 2). Fine mode iron
 265 emissions from fires were then segregated to assign 10% of the fine sized mass to the Aitken
 266 mode, with the remaining 90% assigned to the accumulation mode.

267

268 **Table 4.** Measured iron (Fe) and black carbon (BC) values (various units; as only the Fe:BC ratio
269 is required they are not included) and the Fe/BC ratio. Calculated with three decimal places, ratio
270 reported to one significant figure to reflect high uncertainty. Modelled fire emission ratio for Fe:BC
271 then calculated from observed ratios.

272

Biome	Reference	Fe	BC	Fe/BC
Cerrado	Yamasoe et al. (2000)	0.08	12.6	0.006
	Yamasoe et al. (2000)	0.05	6.5	0.008
	Ward et al. (1991)	0.9	3.3	0.273
Mean Fe:BC ratio = 0.1				
Temperate	Ward et al. (1991)	0.1	5.0	0.020
	Mean Fe:BC ratio = 0.02			
Tropical	Luo et al. (2008)	-	-	0.020
	Artaxo et al. (2013)	179	2801	0.639
	Artaxo et al. (2013)	27	405	0.067
	Artaxo et al. (2013)	20	98	0.204
	Artaxo et al. (2013)	12	235	0.051
	Ward et al. (1991)	0.9	10	0.090
	Yamasoe et al. (2000)	0.03	7.3	0.004
	Yamasoe et al. (2000)	0.05	3.9	0.013
Mean Fe:BC ratio = 0.06				
Global	Mean Fe:BC ratio = 0.06			

273

274

275 Luo et al. (2008) used a single Amazonian observational dataset in their study to determine the
276 flux of iron aerosol from fires (Fe:BC). We extended this to incorporate other Amazonian fire
277 (Fe:BC) data and, importantly, non-Amazonian biome fire (Fe:BC) data, which are likely to have
278 different combustion properties, and hence iron emissions (e.g., Akagi et al., 2011). From Table
279 4, we suggest that after adding 11 more data inventory values, Luo et al. likely under-represented
280 the global fine mode Fe:BC ratio at 0.02. We instead used the global mean Fe:BC ratio from the
281 additional data of 0.06. Conversely, Luo et al. likely over-represented the coarse mode Fe/BC
282 ratio at 1.4. By including additional observational information from Artaxo et al. (2013) we reduced

283 this to 1.0. Using size-segregated wet season (i.e., representing a locally-transported emission
284 source) observation data from Artaxo et al. (2013), we estimated that the amount of BC mass in
285 the coarse mode was 37% of fine mode mass. Overall this doubles the fractional contribution of
286 fine mode (BAM: 0.1–1 μ m size bin, MAM: sum of Aitken and accumulation modes) iron emissions
287 from fires (BAM-Fe: fine = 7% of total mass, MIMI: fine = 14% of total mass).

288 Using the soluble Fe:BC ratio of 0.02 reported in Luo et al. (2008) resulted in 33% solubility of
289 fine mode iron from fires at emission, which is lower than the 46% reported in Oakes et al. (2012)
290 and higher than the 12% reported in Ito (2013). As few data exist in the literature pertaining to
291 coarse mode BC, or more importantly its ratio to iron, we retained the 4% solubility of iron in the
292 coarse mode at emission, as suggested by Luo et al.

293 Total iron emissions from fires in MIMI were 2.2 Tg Fe a⁻¹ (Aitken: 0.02 Tg a⁻¹, accumulation: 0.28
294 Tg a⁻¹, coarse: 1.9 Tg a⁻¹), representing an approximate increase in iron emissions from fires of
295 around 25% compared with those from BAM-Fe, with most of the mass (86%) still in the coarse
296 mode. The lower 25% increase between BAM-Fe and MIMI iron emissions, as compared to the
297 doubling of the fire iron emissions themselves within MIMI, is due to different underlying fire
298 emission inventories used in each model. Aerosol number concentrations were then calculated
299 using Equation 1 and the physical properties listed in Table 2. We adopted the methodology of
300 Wang et al. (2015) by assuming that the density of iron aerosol from fires (and anthropogenic
301 combustion) in the Aitken and accumulation modes matches that of BC, while in the coarse mode
302 matches that of mineral dust. The vertical distribution of iron emissions from fires were also
303 updated in MIMI (BAM-Fe emitted all iron from fires at the surface) to account for pyro-convection,
304 which lofts aerosol to higher altitudes at the point of emission within the model (Rémy et al., 2017;
305 Sofiev et al., 2012; Wagner et al., 2018).

306

307 **2.3.3 Iron emissions from anthropogenic combustion sources**

308 Separate lines of evidence (Conway et al., 2019; Ito et al., 2019; Matsui et al., 2018) have shown
309 that anthropogenic industrial iron emissions are highly likely to be larger than previously estimated
310 (e.g., Ito, 2015; Luo et al., 2008; Myriokefalitakis et al., 2018a). Therefore, anthropogenic
311 combustion emissions of iron in MIMI were the same as those in BAM-Fe, as first reported by Luo
312 et al. (2008), uniformly multiplied by a factor of five to bring into closer agreement with
313 observations of industrial magnetite emissions in line with Matsui et al. (2018). Resulting fine
314 mode anthropogenic combustion emissions were 0.50 Tg Fe a⁻¹ and coarse mode emissions were

315 2.8 Tg Fe a⁻¹. Similar to fire emissions, 10% of fine size emissions were partitioned into the Aiken
316 mode at emission, the remainder 90% of fine size emissions were emitted into the accumulation
317 mode, and 100% of coarse size emissions were emitted to the coarse mode. We retain the Luo
318 et al. (2008) estimate of 4% combustion iron solubility at emission (Chuang et al., 2005).
319 Calculations of aerosol number concentrations of combustion iron followed the same procedure
320 as described for fire emissions in the previous Section 2.3.2.

321

322 **2.4 Atmospheric iron aerosol processing**

323 **2.4.1 Acid and organic ligand processing**

324 Once airborne, iron undergoes a series of physical and chemical processing steps within the
325 atmosphere, each working to alter the soluble iron fraction (i.e., its solubility). The MIMI
326 atmospheric iron dissolution scheme is presented in Table 5, with a full description reported
327 previously by Scanza et al. (2018). Within each of the three iron-bearing aerosol size modes, six
328 tracers of iron were advected within the model: medium-insoluble and medium-soluble mineral
329 dust iron (containing both readily-released and medium-reactive mineral dust iron (Scanza et al.,
330 2018)), slow-insoluble and slow-soluble mineral dust iron, and insoluble and soluble pyrogenic
331 (sum of fires and anthropogenic combustion) iron which was assumed to be medium-reactive
332 (Scanza et al., 2018). Both proton and organic ligand promoted iron dissolution mechanisms were
333 modelled. The proton promoted dissolution scheme was dependent upon an estimated [H⁺],
334 calculated from the ratio of sulphate to calcite, and the simulated temperature. Organic ligand
335 dissolution was dependent upon the simulated organic carbon concentration as oxalate (the main
336 reactant) itself was not modelled. Both the sulphate and secondary organic carbon aerosol (Fig.
337 S1), upon which the iron processing requires, are fundamental components of aerosol models
338 (e.g., Kanakidou et al., 2005; Mann et al., 2014). In CAM sulphate is mainly formed via oxidation
339 of SO_{2(aq)} with a smaller contribution from H₂SO₄ condensation on aerosol while secondary organic
340 aerosol is formed via the partitioning of semi-volatile organic gases (Liu et al., 2012). Neither gas-
341 to-particle production processes are structurally modified from the description of CAM5 by Lui et
342 al. (2012, 2016) by the incorporation of MIMI. A structural model improvement was that MAM
343 (CAM5) advected separate tracers for the interstitial and cloud-borne aerosol phases, and so the
344 proton and organic ligand promoted dissolution reactions were applied to each aerosol phase,
345 respectively.

346 Dust aerosol moving through areas containing acidic gases, with a pH 1–2, increases the solubility
347 of the iron contained within it (Ingall et al., 2018; Longo et al., 2016; Meskhidze et al., 2003;
348 Solmon et al., 2009); with mineralogy being a key factor determining the rate of dissolution at a
349 given pH (Journet et al., 2008; Scanza et al., 2018). Modelled aerosol pH in MIMI was
350 parametrised to depend only on the ratio of the calcium to sulphate aerosol concentration (Scanza
351 et al., 2018). At each time step, if $[\text{SO}_4] > [\text{Calcite}]$, then the aerosol was assumed to be acidic
352 with a low pH, while if $[\text{SO}_4] < [\text{Calcite}]$, then aerosol was assumed to be well buffered (Böke et
353 al., 1999) and the pH = 7.5. In MIMI, we updated the pH calculation from BAM-Fe two-fold: (1) In
354 BAM-Fe, pH was calculated as the mean across all four size bins (0.1–10 μm), while in MIMI, pH
355 was calculated separately for each interstitial aerosol size mode. (2) Aerosol measurements of
356 pH have shown that interstitial aerosol is likely to be more acidic than was assumed in BAM-Fe
357 (Longo et al., 2016; Weber et al., 2016), even when taking into account declining sulphate levels
358 (Weber et al., 2016); therefore, we have lowered the aerosol pH to 1 (from 2) in both the Aitken
359 and accumulation modes where sulphate aerosol dominates. However, in the coarse mode,
360 where dust dominates, we retained the lower pH boundary of 2. Furthermore, MAM aerosol was
361 simulated as an internally mixed aerosol; therefore, the $\text{SO}_4:\text{Ca}$ ratio included the mixing of these
362 aerosol components within each mode. See Section 4.2 for comparison of acid processing in
363 MIMI with literature and previous model (BAM-Fe).

364 All aerosol species in the host CAM5 framework are carried in either an interstitial (i.e., not
365 associated with water) or cloud-borne (i.e., associated with water) phase. The organic-ligand
366 reaction only proceeds within MIMI if the condition that cloud is present in the grid-cell is first met.
367 If cloud is present then only the iron aerosol which is associated with water undergoes organic
368 ligand processing (i.e., the interstitial aerosol component remains unchanged). Any future
369 development of MIMI within an aerosol model which does not advect a separate tracer for the
370 cloud-borne phase of aerosol would therefore need to adjust the reaction to take account of this.
371 An assumed oxalate concentration in MIMI was estimated based on the modelled organic carbon
372 concentration and could not exceed a maximum concentration threshold of 15 $\mu\text{mol/L}$ (Scanza et
373 al., 2018). In BAM-Fe, oxalate was derived from the sum of both the primary and secondary
374 organic carbon aerosol concentrations, while in MIMI this was updated to be dependent only upon
375 the secondary organic carbon source because oxalate is itself a product of the oxidation of volatile
376 organic carbon gases (Myriokefalitakis et al., 2011). An additional term was added to the reaction
377 mechanism to account for the small amount of organic ligand processing proceeding by species
378 other than oxalate (Scanza et al., 2018). See Section 4.2 for comparison of in-cloud organic
379 dissolution in MIMI with literature and previous model (BAM-Fe).

380 **Table 5.** Summary of atmospheric processing reaction equations from Scanza et al. (2018). Here
 381 / represents either medium or slow reacting iron aerosol (combustion iron is modelled as medium).
 382 The pH calculation is updated to be calculated within each mode and oxalate ($C_2O_4^{2-}$)
 383 concentrations are calculated based only on the secondary organic aerosol (SOA) concentrations.

	Reaction equation	Reaction rate constituents
		$RFe_{l,acid} = K_l(T) \times a(H^+)^{m_l} \times f(\nabla G_r) \times A_l \times MW_l$
		<p>$K_l(T)$ is the temperature dependent rate coefficient (moles $m^{-2} s^{-1}$)</p> $K_{med}(T) = 1.3 \times 10^{-11} \times e^{6.7 \times 10^3 \times (\frac{1.0}{298.0} - \frac{1.0}{temp(K)})}$ $K_{slow}(T) = 1.8 \times 10^{-11} \times e^{9.2 \times 10^3 \times (\frac{1.0}{298.0} - \frac{1.0}{temp(K)})}$
Acid processing of aerosol	Equation 2: $\frac{d}{dt}[Fe_{soluble}] = RFe_{i,acid} \times [Fe_{insoluble}]$	<p>$a(H^+)$ is the proton concentration, with an empirical reaction order m_l $m_{med} = 0.39$; $m_{slow} = 0.50$</p>
	Equation 3: $\frac{d}{dt}[Fe_{insoluble}] = -\left(\frac{d}{dt}[Fe_{soluble}]\right)$	<p>If $[SO_4] > [Calcite]$ then $pH = 1$ in Aitken and accumulation modes or 2 in coarse. Else $pH = 7.5$.</p>
		<p>$f(\nabla G_r)$ accounts for dissolution rate change with variation from equilibrium (equals 1 for simplicity (Luo et al., 2008))</p>
		<p>A_l is the specific surface area ($m^2 g^{-1}$) MW_l is the molecular weight ($g mol^{-1}$) $A_{med} = 90.0 m^2 g^{-1}$; $A_{slow} = 100.0 m^2 g^{-1}$</p>
Organic ligand processing	Equation 4: $\frac{d}{dt}[Fe_{soluble}] = RFe_{i,oxal} \times [Fe_{insoluble}]$	$RFe_{l,oxal} = a_l \times [C_2O_4^{2-}] + b_l$ <p>If $l = \text{medium (or combustion) iron}$: $a = 2.3 \times 10^{-7} \mu M^{-1} s^{-1}$; $b = 4.8 \times 10^{-7} s^{-1}$</p>
	Equation 5: $\frac{d}{dt}[Fe_{insoluble}] = -\left(\frac{d}{dt}[Fe_{soluble}]\right)$	<p>If $l = \text{slow iron}$: $a = 9.5 \times 10^{-9} \mu M^{-1} s^{-1}$; $b = 3.0 \times 10^{-8} s^{-1}$</p>
		<p>For longitude(i), latitude(j) and level(k):</p> $[C_2O_4^{2-}]_{i,j,k} = 150 \times \frac{[SOA_{i,j,k}]}{\max[SOA]}$

384 **2.4.2 Computational costs**

385 Earth System models are generally characterized by having a heavy computational burden in
 386 simulating atmospheric processes. The inclusion of MIMI requires eight dust mineral tracers (a
 387 net addition of seven) and six iron tracers. The total addition of aerosol tracers new is 39 (13 in
 388 each of the three aerosol modes) if dust minerology is not already present, or 18 new aerosol
 389 tracers if it is (e.g., NASA GISS model (Perlwitz et al., 2015a, 2015b)). The additional
 390 computational cost of MIMI within CESM-CAM5 is approximately a doubling of the required core-
 391 hours; around half of that is associated with dust minerology speciation and the other half with
 392 iron speciation and processing (Table 6). Note that additional computational tuning, or changes
 393 in configuration, could modify these computational change estimates. For example, with dust
 394 minerology (MAM4DU8) there is an approximate 3-fold increase in required core-hours due to
 395 model structural differences when transitioning from CAM5 to CAM6.

396

397 Table 6: Simulation time (in seconds per simulated year) for the CESM-MAM4 model. The CAM5
 398 base model, with the addition of dust minerology, and with the addition of dust minerology and
 399 iron processing (i.e., MIMI v1.0) shown in black text. Cost of running the new higher resolution
 400 CAM6 model with dust minerology also shown for comparison in blue text. All CAM5 simulations
 401 executed on 10 nodes, with 36 cores per node, for two years (2006-2007) with consistent output
 402 fields.

403

	CAM5			CAM6
	MAM4 (Base model)	MAM4DU8 (dust minerology)	MAM4DU8FE6 (MIMIV1.0)	MAM4DU8 (dust minerology)
Number advected aerosol species	24	45	63	46
Gridcell resolution (#lon x #lat)	144x96	144x96	144x96	288x192
Wall clock s a ⁻¹ (simulation)	3954	5856	7836	20167
Core-hours	396	586	784	2017

404

405

406 **2.5 Observation and model iron calculations**

407 **2.5.1 Spatially aggregating limited observations**

408 The observations of total iron concentrations and the fractional solubility of iron used in this study
409 are the joint totals (1524 records) of those reported in Mahowald et al. (2009) and Myriokefalitakis
410 et al. (2018). However, many of these observations represent averages of only one or a few days
411 of iron and soluble iron measurements, and thus can be difficult to compare against annual, or
412 longer, mean time periods calculated within the model. Furthermore, building empirical
413 distributions of iron properties from observations requires a larger sample size than currently
414 available in many regions. We therefore tested how aggregating the observations spatially,
415 sometimes termed ‘super-obbing’, altered our model evaluation. Our objective was to capture the
416 small regional scale properties of iron, and not those at a point source; therefore, we assume that
417 the benefits gained by aggregating in this way, to help produce a statistically useful amount of
418 observations, outweighs any potential biases.

419 **2.5.2 Variations in model temporal averaging**

420 The model was run at a 30-minute time resolution. At each 30-minute time step, soluble iron, total
421 iron, and the ratio of soluble to total iron (iron solubility) were computed. The model output was
422 S_i , (daily mean soluble iron concentration on day i), T_i (daily mean total iron concentration on day
423 i), and R_i (daily mean iron solubility on day i). Note that R_i is the daily mean of the calculated 30-
424 minute solubilities and hence is not equal to S_i / T_i . We define online solubility as the average-of-
425 ratios and was calculated as follows:

$$\left(\sum_{i=1}^n R_i \right) / n \quad \text{Equation 6}$$

426

427 where n represents the total number of records over which the average was calculated. Online
428 solubility is reported throughout this study. In Section 3.4, we then compare the average-of-ratios
429 to the ratio-of-averages (defined as offline solubility), calculated as follows:

430

$$\frac{(\sum_{i=1}^n S_i) / n}{(\sum_{i=1}^n T_i) / n} = \frac{\bar{S}}{\bar{T}} \quad \text{Equation 7}$$

431

432 where \bar{S} and \bar{T} are the grid cell averages of soluble and total iron concentrations, respectively,
 433 over the total time period considered in this study (2007 to 2011). While Equation 7 is common
 434 within the literature, this methodology can produce larger variability in iron solubility across grid
 435 cells because it is based on both soluble and total iron annual mean concentrations. In the online
 436 method, variability is reduced as extreme values in soluble and total iron concentrations generally
 437 do not occur at the same time. We can define the occurrence of extreme values, with respect to
 438 the time frame considered, by analysing a relative Z-score metric, calculated as follows:

$$Z_{Fe,t} = \frac{(Fe_t - \overline{Fe_t})}{\sigma Fe_t} \quad \text{or} \quad Z_{Fe,s} = \frac{(Fe_s - \overline{Fe_s})}{\sigma Fe_s} \quad \text{Equation 8}$$

440
 441 where Fe is either total (Fe_t) or soluble (Fe_s) iron. The relative normalized Z-score can then be
 442 calculated as follows:

$$\sum_{i=1}^n (z_{t,i} - z_{s,i}) / z_{t,i} \quad \text{Equation 9}$$

443
 444 where $Z_{t,i}$ and $Z_{s,i}$ are the Z-scores of total and soluble iron concentrations, respectively, at each
 445 grid cell for each time step i . The Z-score metric provides a relative direction and distance of an
 446 instantaneous value with respect to its mean. The Z-score is reported in multiples of the standard
 447 deviation (Equation 8); therefore, a Z-score of zero indicates that the data point value is identical
 448 to the mean value. To assess the relative difference in the variability, at a given time, between
 449 the modelled total and soluble iron concentration and its mean we calculated the difference in Z-
 450 scores between total and soluble iron concentrations and normalized it using the Z-score of total
 451 iron concentration (Equation 9). Note that the Z-score of the soluble iron concentration could also
 452 be used to normalise the difference. This method allows for the examination of how the
 453 occurrence of extreme concentration values in total and soluble iron influences the method of
 454 solubility calculation (Equation 6 vs. Equation 7).

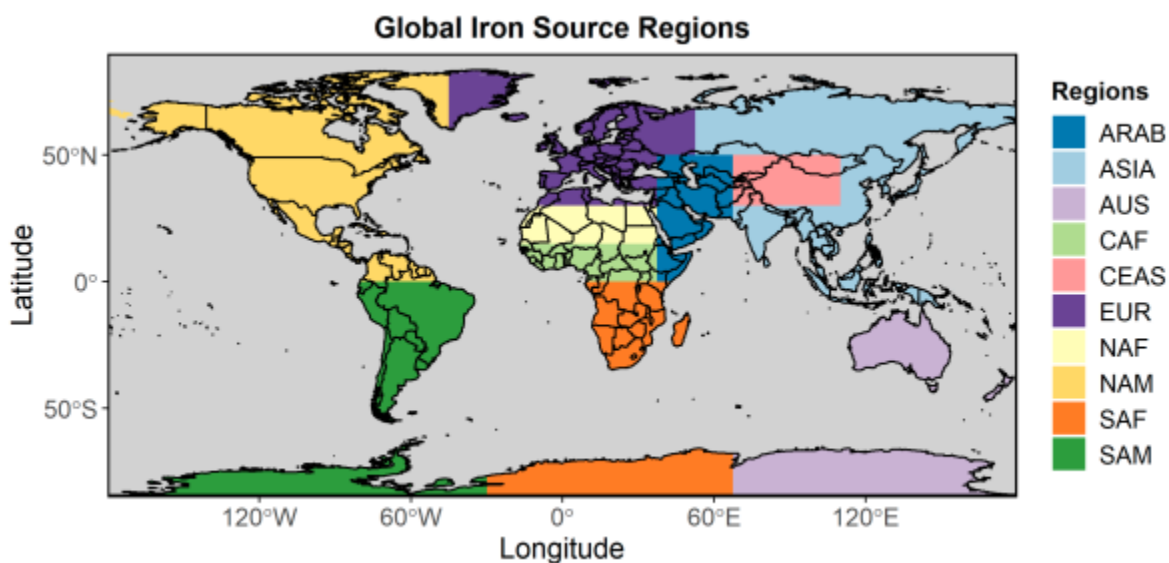
455
 456 **2.6 Iron ocean deposition source apportionment**

457 An ocean deposition source apportionment sub-study was designed to classify ocean deposition
 458 regions according to the dominant atmospheric soluble iron source, rather than ocean basins

459 defined from a more traditional physical oceanographic viewpoint (e.g., Gregg et al., 2003). By
460 incorporating recent model estimates for dust and the importance of pyrogenic iron emissions
461 (Luo et al., 2008; Matsui et al., 2018) the seven large-scale source regions defined in Mahowald
462 et al. (2008) were modified slightly to separate the major dust iron source regions from fire and
463 anthropogenic combustion iron source regions. This resulted in a total of 10 iron emission source
464 regions (Fig. 1; see also Table S1 for details).

465 Simulations in the source apportionment study used BAM-Fe, as described in Scanza et al. (2018)
466 with slight modification. Briefly, anthropogenic combustion iron emissions were increased by a
467 uniform factor of five, and iron from fires followed the updated Fe:BC ratio (Table 4) and seasonal
468 variability in the fire BC emissions; all as per MIMI. Aerosols were externally mixed in BAM, and
469 therefore altering the regional aerosol loading did not affect aerosol transport or deposition in the
470 more significant way it could in MAM, in which aerosols are internally mixed. This information was
471 then used in Section 4.3.1 to compare the differences in daily mean deposition of soluble iron
472 between the BAM-Fe and MIMI models within each defined ocean region.

473



474

475 **Figure 1.** Major iron aerosol emission source regions.

476

477 **3 Modelled dust and iron aerosol concentrations compared to observations**

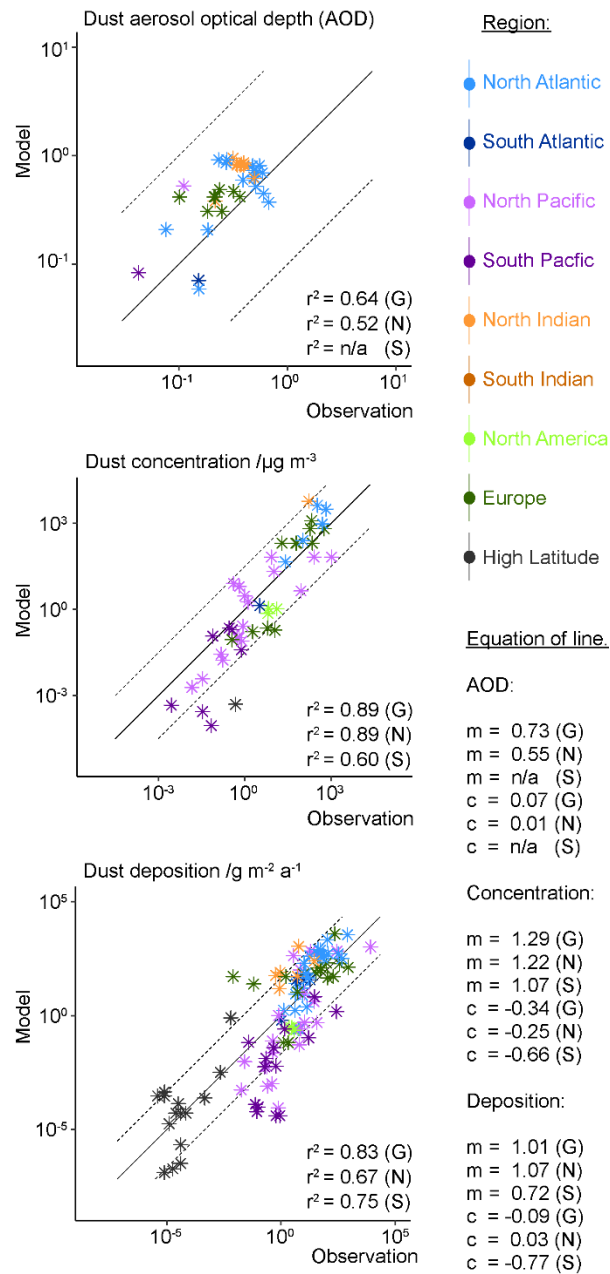
478 In terms of Earth system modelling, and the biogeochemistry that connects the land–atmosphere–
479 ocean components, we are ultimately motivated here to improve the magnitude of the atmosphere
480 to ocean iron deposition flux and its fractional solubility (from which the soluble iron flux can be

481 derived). We compare the model results with a series of observations, and herein, highlight some
482 of the problems discovered when directly comparing with a sporadic (in both space and time)
483 observation dataset, as is currently common practice (Myriokefalitakis et al., 2018).

484

485 **3.1 Global dust comparisons**

486 Comparison of dust AOD with regional dust AOD observations (Fig. 2) from the AERONET
487 observational datasets (Holben et al., 2000), as subsampled in Albani et al. (2014), shows good
488 agreement globally (correlation: $r^2 = 0.64$). This results in MAM annual global mean emissions of
489 $3250 \pm 77 \text{ Tg dust a}^{-1}$ (Aiken = 16 Tg a^{-1} , accumulation = 36 Tg a^{-1} , coarse = 3198 Tg a^{-1}), which
490 is at the higher end of literature estimates of $\sim 500\text{--}4000 \text{ Tg dust a}^{-1}$ (Bullard et al., 2016; Huneus
491 et al., 2011; Kok et al., 2017). Dust emissions in MAM are $84 \pm 4\%$ higher than our previous mean
492 of $1768 \text{ Tg dust a}^{-1}$ in BAM (Scanza et al., 2018), because dust lifetime has proportionally
493 decreased (Table S2) which affects coarse mode dust aerosol (where 98 – 99% of total dust mass
494 is emitted) more than fine mode dust aerosol. Globally, both dust concentrations (correlation: $r^2 =$
495 0.89) and deposition (correlation: $r^2 = 0.83$) are simulated well compared to observation within
496 MIMI. A higher correlation of modelled dust concentrations with observations is calculated in the
497 Northern Hemisphere (NH; $r^2 = 0.89$) compared to the Southern Hemisphere (SH; $r^2 = 0.67$), but
498 with gradient of line of best fit is further from 1:1 (NH: 1.22 vs. SH: 1.07). Conversely, for dust
499 deposition a lower correlation with observations is simulated in NH ($r^2 = 0.75$) compared to the
500 SH ($r^2 = 0.60$) but with a gradient of the line of best fit closer to 1:1 (NH: 1.07 vs. SH: 0.72). Overall,
501 results presented in this study suggest an improvement on previous dust modelling complications
502 related to underestimating dust deposition when tuned to dust concentration (Huneus et al.,
503 2011).



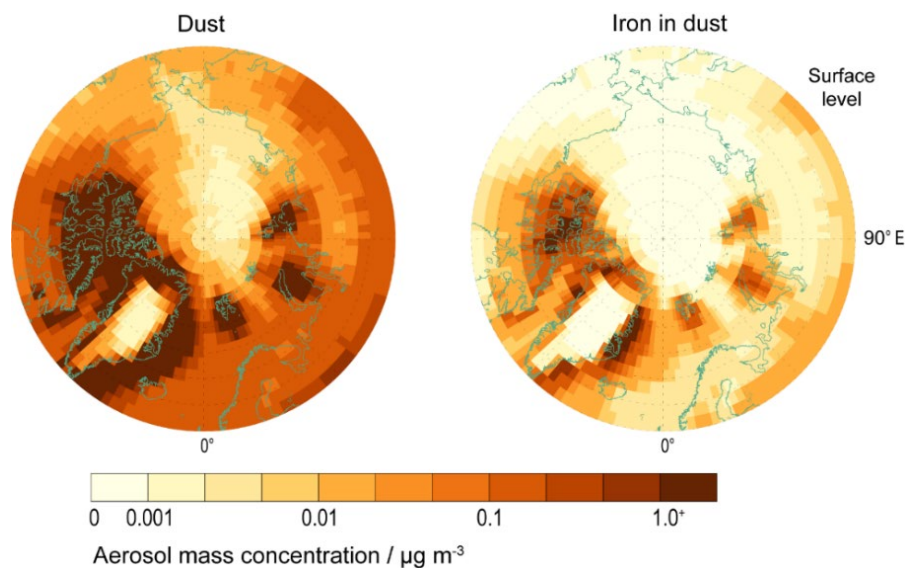
504

505 **Figure 2.** Dust aerosol optical depth, surface concentrations and deposition in modal aerosol
 506 model and observations (Albani et al., 2014; Holben et al., 2000). Correlation (r^2), gradient (m)
 507 and intercept (c) shown for global (G), Northern Hemisphere (N) and Southern Hemisphere (S)
 508 regions.

509

510 **3.2 High latitude dust and iron aerosol**

511



512

513

514 **Figure 3.** High latitude (>60°N) dust (sum of eight mineral species and four dust-iron species)
515 and iron (sum of four dust-iron species) mass concentrations ($\mu\text{g m}^{-3}$) at the surface model level.

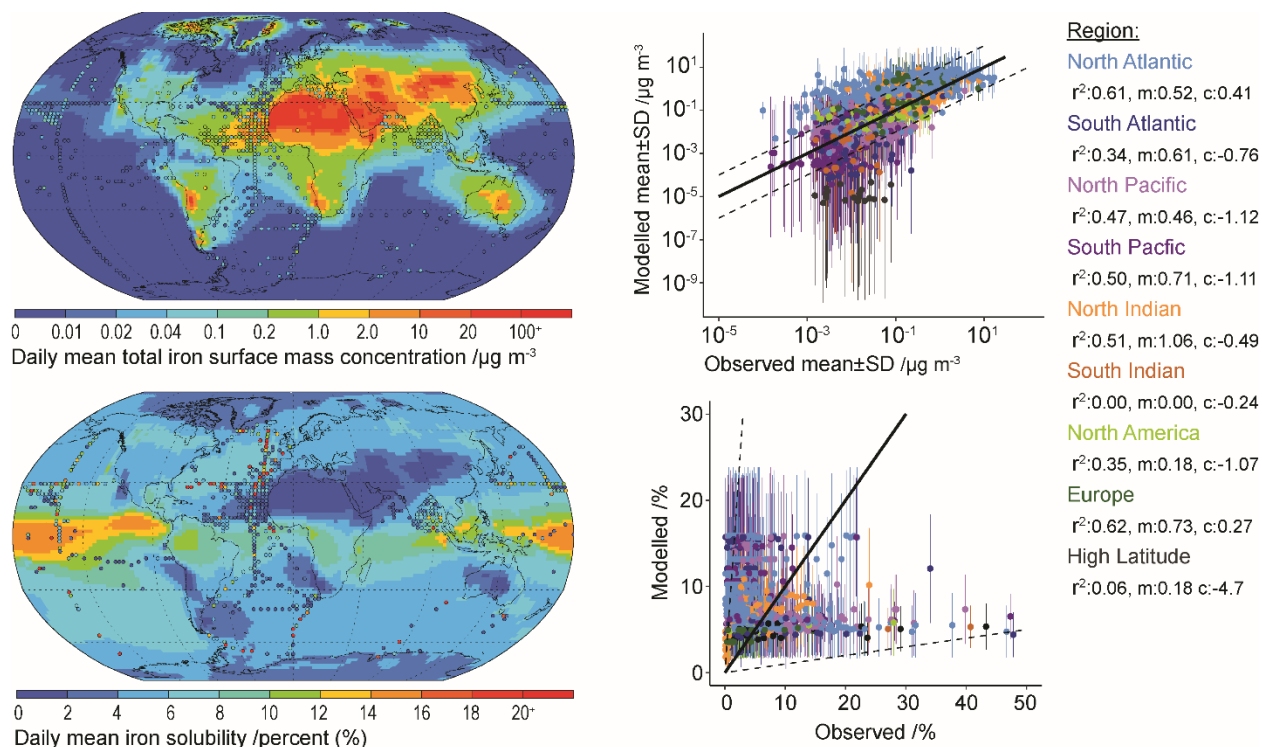
516

517 Including the parametrization of Kok et al. (2014a) removes the requirement of a soil erodibility
518 map (Table 1). In addition, in previous versions of the model, the high latitude dust sources were
519 zeroed, because there were no observations at that time for high latitude sources of dust (Albani
520 et al., 2014). However, more recent observations have suggested high latitude dust sources do
521 exist (Bullard et al., 2016; Crusius et al., 2011; Tobo et al., 2019), often related glacial processes
522 (Bullard, 2017) with a higher fraction of bioavailable iron relative to lower latitude dust sources
523 (Shoenfelt et al., 2017). Thus, for the new version of the model we have allowed for the inclusion
524 of high latitude dust sources (Fig. 3). In general, aerosol dust and iron concentrations peak closest
525 towards the coast lines and during summer. Emissions of dust from >50°N are $\sim 1.3 \pm 0.2\%$ of the
526 global dust total, which is half of the estimates derived from field and satellite data at 2–3% of the
527 global total (Bullard, 2017; Bullard et al., 2016). However, the resulting magnitude and seasonality
528 of dust concentrations has been shown in a recent study to be consistent with observed
529 measurements from Svalbard (Tobo et al., 2019).

530

531 **3.3 Global iron aerosol concentration and fractional solubility**

532



533

534

535 **Figure 4.** Daily mean model total iron concentration and solubility from 2007 to 2011.
 536 Observations (circles) overlaid (at resolution of the model grid) as a mean from 1524 individual
 537 records in Mahowald et al. (2009) and in Myriokefalitakis et al. (2018). Also shown are scatter
 538 plots of the model mean and standard deviation compared to each available observation and
 539 identified by oceanic region. Correlation (r^2), gradient (m) and intercept (c) for total iron with
 540 observations shown for each region.

541

542

543 There are several propositions explaining the sources of soluble iron, and the inverse relationship
 544 between total iron amount and iron solubility (Sholkovitz et al., 2012). While total iron mass
 545 concentrations are dominated by desert dust sources, soluble iron can be a product of mineral
 546 dust processed in the atmosphere or emitted from pyrogenic sources (Chuang et al., 2005; Guieu
 547 et al., 2005; Ito et al., 2019; Luo et al., 2008; Meskhidze et al., 2003; Schroth et al., 2009). Previous

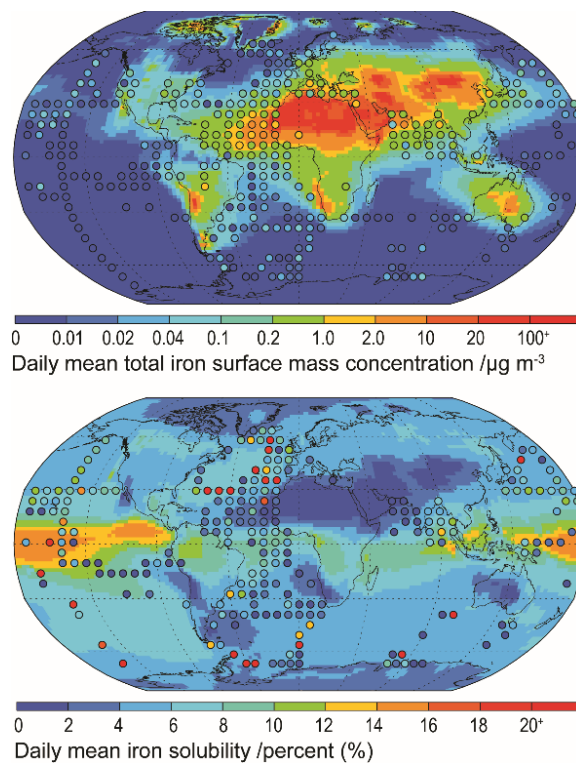
548 studies have shown that either of these can explain the inverse relationship, and that the spatial
549 distribution of data is required to provide more information (Mahowald et al., 2018). Therefore, we
550 explored how to best use the spatial data to compare with the model results. The five-year (2007
551 to 2011) mean iron concentration from MIMI is compared to an extensive dataset of observations
552 of total iron and its fractional solubility (Fig. 4). The model captures the global mean observational
553 total iron concentration well; however, relatively low regional correlations ($r^2 < 0.4$) occur in the
554 South Indian ($r^2 = 0.0$), South Atlantic ($r^2 = 0.34$), North America ($r^2 = 0.35$) and high latitude ($r^2 =$
555 0.06) ocean regions, suggesting future model improvements can be focused here.

556 In the absence of iron atmospheric process modelling, ocean biogeochemistry models with an
557 iron component (e.g., Aumont et al., 2015; Moore et al., 2004) have estimated iron solubility from
558 offline dust modelling by means of an assumption that it contains 3.5% iron by weight, of which
559 2% is soluble. Iron solubility is highly temporally and spatially variable however, and in the
560 absence of spatial atmospheric emission information, pyrogenic iron sources, and atmospheric
561 processing of iron an estimate of 2% solubility leads to underestimates of observed iron solubility
562 in nearly all HNLC ocean regions (Fig. 4).

563 Aggregating observations onto a lower resolution grid (sometimes termed 'super-obbing')
564 compared with the model can help reduce the representation error when comparing with such
565 limited observations (Schutgens et al., 2017). Fig. 5 uses an observational resolution one-third
566 that of the model and the model-to-observation comparison of the mean state is thus improved.
567 Persistent observation-based features of the local environment become more obvious while,
568 conversely, less frequent ones diminish. At this observational resolution, the low total iron
569 concentrations in the North Atlantic $\sim 30^\circ\text{N}$, as seen in Fig. 4, are perhaps not a common feature,
570 and the model much more precisely represents the climatological state here than Fig. 4 might
571 suggest. However, examining the North Pacific reveals that the model imprecisely represents the
572 mean state here. Potential missing iron sources in remote regions, such as the North Pacific,
573 include: (1) shipping emissions (Ito, 2013), which have a high soluble iron content from oil
574 combustion (Schroth et al., 2009); (2) volcanic emissions, which provide a localized "fertilizer" to
575 the surface ocean owing to the macronutrients and trace metal nutrients contained within them
576 (Achterberg et al., 2013; Langmann et al., 2010; Rogan et al., 2016); and (3) low Asian and South
577 American aerosol concentrations, either through underrepresenting combustion emission sources
578 (Matsui et al., 2018) or in the transport and deposition of aerosol within these regions (Wu et al.,
579 2018). These are discussed in more detail in the discussion Sections 5.1 and 5.2.

580

581



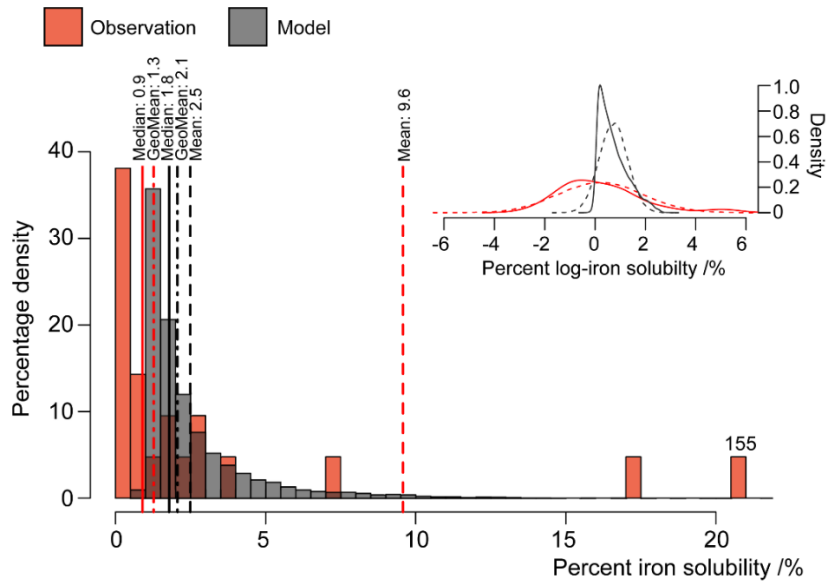
582

583

584 **Figure 5.** Daily mean model total iron concentration and solubility from 2007 to 2011.
585 Observations (circles) overlaid (at resolution one-third of the model grid) as a mean from 1524
586 individual records in Mahowald et al. (2009) and in Myriokefalitakis et al. (2018).

587

588



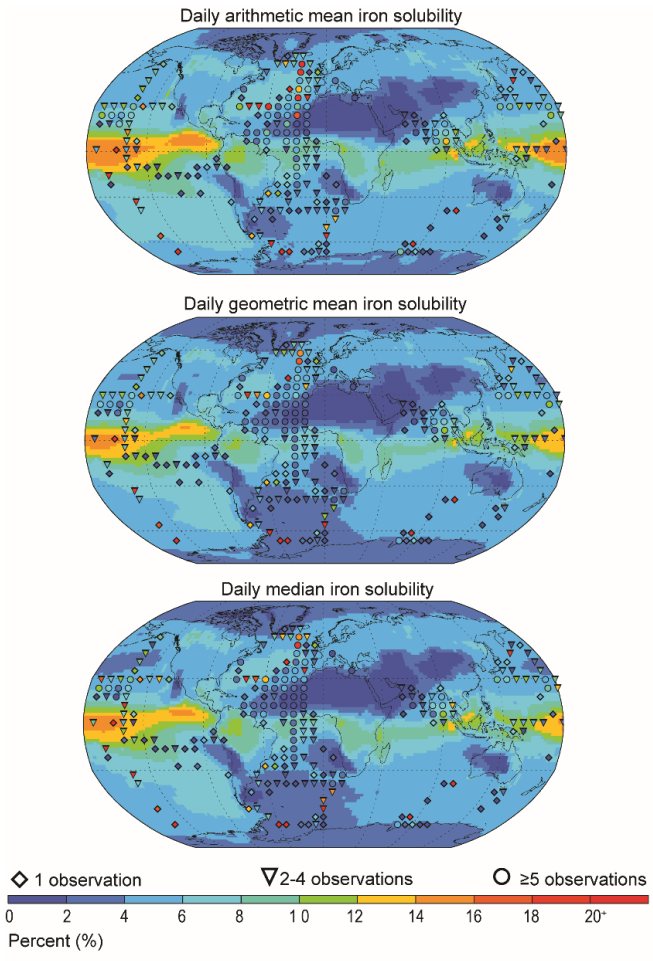
589

590 **Figure 6.** Histogram of observations (n = 21) and daily model results (2007 to 2011) of iron
 591 solubility between 16 to 20°N and 27 to 32°W (one observation point and nine co-located model
 592 grid cells in Fig. 4). Mean (dashed lines), geometric mean (dot-dash lines) and median (full line)
 593 values shown above respective dataset colour line. Note that the single observation value of
 594 155% is off the scale and placed as such with value given above. **Insert.** Log-plot for the same
 595 data (solid lines) with projected log-normal distribution from mean and standard deviation of data
 596 (dashed lines).

597

598 In terms of iron solubility (soluble iron concentration / total iron concentration), the model is not
 599 capturing the observational mean state in many regions (Fig. 5). A detailed examination of the
 600 observation point at 18°N and 330°E (anomalous green point surrounded by blue points in the
 601 North African outflow plume in Fig. 4) and the nine model grid cells co-located with it in Fig. 6
 602 shows how a single high observation (155% percent solubility) is causing a representation issue
 603 (see also section 4.3.1 regarding soluble iron deposition). Both model and observation histogram
 604 distributions are similar, as are the median (model: 1.8, observation: 0.9) and geometric mean
 605 (model: 2.1, observation: 1.3) values. However, the arithmetic means are not similar (model: 2.5,
 606 observation: 9.6) and while a high observation value of 155% is likely to be an outlier, and should
 607 be at most 100%, it still informs us about what is possible and simply discounting it (even at an
 608 adjusted 100%) would require strong justification. It is therefore advisable to instead alter the
 609 estimator of the average. Comparing model to observation differences calculated using the
 610 median or geometric mean reveals that they are similar in magnitude, as one would expect for

611 log-normally distributed data (Fig. 6 insert). Although the median is robust with respect to outliers,
 612 the model results may not exhibit a uniform Gaussian distribution (Fig. 6 insert; solid compared
 613 to dashed lines) and often the amount of available observations is also low (Fig. 7) suggesting
 614 that its use also requires careful consideration. An equivalent methodology to the geometric mean
 615 in Fig. 7 would be to first log transform the data before calculating the arithmetic mean. Arguments
 616 pertaining to the appropriate methodology for comparing model results to temporally limited
 617 observations extend beyond the iron aerosol examination in this study to all aerosol comparisons
 618 with limited observations.



619

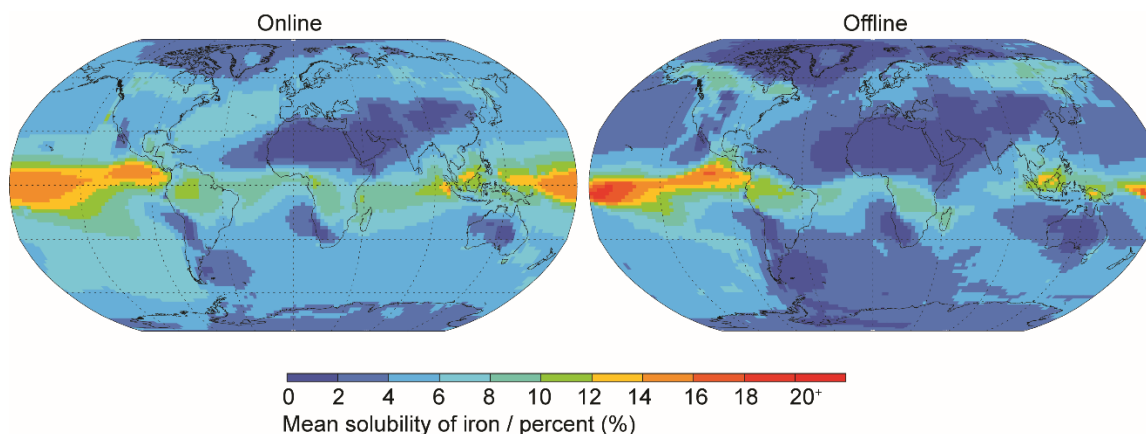
620 **Figure 7.** Daily arithmetic mean, geometric mean, and median model solubility (2007 to 2011).
 621 Observations overlaid (at resolution one-third of the model grid) as either the arithmetic mean,
 622 geometric mean or median, respective to the model averaging. Number of observations denoted
 623 by symbol: lowest confidence (one observation, diamond); intermediate confidence (two to four
 624 observations, triangle); highest confidence (five or more observations, circle).

625 **3.4 Calculating iron solubility**

626 It is interesting to note the effect that the order of operations (taking the average-of-ratios
627 compared to the ratio-of-averages) has when calculating iron solubility (Fig. 8). Throughout this
628 study, percent iron solubility was calculated at each model time step (30 minutes) and then the
629 daily mean output analysed (online; Equation 6) at an annual or 5 year mean time resolution. It is
630 also acceptable to use the simulated soluble and total iron concentrations to generate the annual
631 or 5 year mean iron solubility in a postprocessing step (offline; Equation 7). The resulting
632 differences between methods are not insignificant however, with the offline method creating a
633 distribution in which low iron solubility is generally lower and the highest (>18%) iron solubilities
634 are generally higher. Overall, global annual mean iron solubility calculated online is one-third
635 (34%; NH=40%, SH=29%) higher than when calculated offline.

636

637



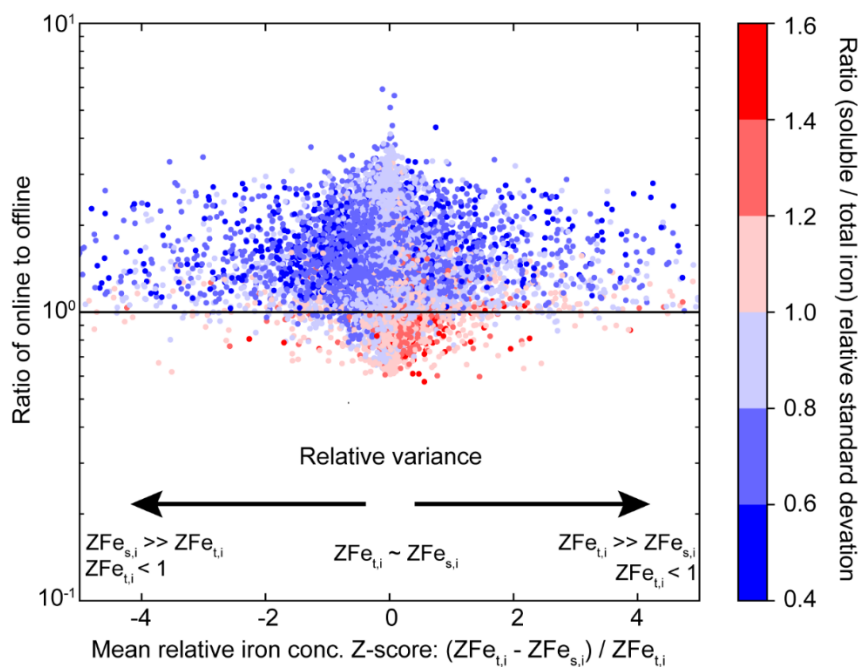
639 **Figure 8.** Mean solubility of iron when solubility is calculated at each 30 min model time step
640 ('online') and when it is calculated post processing from the daily mean soluble and total iron
641 concentration ('offline').

642

643 The average relative Z-score (Equations 8 and 9) is around zero for most model grid cells (Fig.
644 9) indicating that they mostly followed similar temporal and relative magnitude trends. However,
645 even if the average relative Z-scores are around zero and the ratio of relative standard deviations
646 is around one, the ratio of online:offline calculated iron solubility is most likely >1. Temporal
647 differences in the soluble and total iron concentration might therefore be controlling the overall

648 solubility at each model grid cell. We also find that the ratio of online and offline solubility is >1 for
 649 most of the cases when the ratio of relative standard deviations of soluble and total iron is <1 (Fig.
 650 S2), indicating that the differences in both methods of iron solubility calculation are sensitive to
 651 the differences in relative size of the tails of the distribution. That is, if soluble iron has narrower
 652 tails compared to total iron at any grid cell, it is highly likely that a higher solubility will be obtained
 653 in the online method compared to the offline.. The extreme ratio of the tails of soluble and total
 654 iron are only found in specific regions with highest temporal variability in emissions and modelled
 655 solubilization of insoluble iron (Fig S2).

656



657

658 **Figure 9.** Relationship of online to offline derived iron solubility to the relative Z-score for total
 659 (ZFe_t) and soluble (ZFe_s) iron and the relative standard deviation ($\sigma Fe / \overline{Fe}$) at each grid cell for
 660 the year 2007.

661

662 Field measurements have generally suggested an inverse relationship between total and soluble
 663 iron concentrations (Myriokefalitakis et al., 2018). This means that high total iron concentrations
 664 are generally accompanied by low soluble iron concentrations and vice versa. By assuming that
 665 the field measurements faithfully represented the actual average values of soluble and total iron

666 concentration at those locations, we implicitly assume that all the measurements have a Z-score
667 of zero. In Fig. 9 we show that this is not the case with the modelled results, and the two variables
668 can be relatively farther from their respective means even when averaged over the modelled time
669 period.

670 Sensitivity of a result to the order of operations extends beyond iron solubility to any variable that
671 is calculated in a similar manner, and current multi-model inter-comparison project (MIP) protocols
672 do not explicitly account for this. However, the effects of outliers, in both online and offline
673 methods, can be reduced by employing the geometric mean and has been used in some MIP's
674 (e.g., Mann et al., 2014). It will be also be important to consider differences in the solubility of iron
675 induced by the choice of the order of operations as ocean biogeochemical models move away
676 from using offline results from global climate or chemistry transport models to online results within
677 Earth system models, which are designed to couple the two components at each time step. For
678 short term interactions between deposited iron and ocean biota shorter term averaging may be
679 more important (e.g., Guieu et al., 2014), but for long term period accumulation of iron that is
680 (re-)cycling in the oceans, the longer term average may be more appropriate (Moore et al., 2013).
681 One should be aware, however, that iron is readily removed from the ocean mixed layer, and
682 thus, the lifetime of iron may well be short enough for the 'online' calculation to be more
683 appropriate much of the time (Guieu et al., 2014).

684

685

686 **4.0 MIMI vs. BAM-Fe**

687 In this section, we discuss how the new modal aerosol mode version of MIMI compares to its
688 predecessor bulk aerosol model version (BAM-Fe) throughout all three stages of the atmospheric
689 iron life-cycle.

690

691

692 **4.1 Iron emission comparison**

693 Globally averaged emissions of dust (3200 Tg a^{-1}) and its iron component (126 Tg a^{-1}) are within
694 the current multi-model range (Table 7). The simulated annual mean iron in dust percentage is
695 4.1%, with the highest percent occurring in the coarse mode at 6.5% and lowest percent occurring

696 in the Aiken mode at 1.1%. Accounting for dust mineralogy therefore increases the global mean
 697 iron percent by weight above the currently well-used global mean estimate of 3.5% (e.g., Jickells
 698 et al., 2005; Shi et al., 2012).

699
 700 **Table 7.** Dust, fire, and combustion emissions of iron and relevant co-emitted aerosol emissions
 701 (to two significant figures). Multi-model emission range from the four global atmospheric iron
 702 models (including BAM-Fe) reported in Myriokefalitakis et al. (2018). Fine (sum of Aiken and
 703 accumulation modes) and coarse (coarse mode) size mass emissions also given for dust, fire iron
 704 and combustion iron.

705

	Annual mean emissions /Tg a ⁻¹			
	BAM-Fe	MIMI	Luo et al. (2008)	Multi model
Dust	1800	3200	1600	1200–5100
Fine, Coarse	20,1700	50, 3200		
Dust iron	57	130	55	38–130
Pyrogenic iron (Fire&Comb.)	1.9	5.5	1.7	1.8–2.7
Fire BC	4.1	2.6	3.6	
Total fire iron	1.2	2.2	1.1	
Fine, Coarse	0.08, 1.1	0.30, 1.90	0.07, 1.00	
Combustion BC	4.6	5.0	5.0	
Total comb. iron	0.66	3.3	0.66	
Fine, Coarse	0.10, 0.56	0.50, 2.80	0.10, 0.56	

706
 707 Compared to BAM-Fe, MIMI dust emissions are ~80% higher and the iron it contains is ~120%
 708 higher(Table 7). Although both the BAM-Fe and MIMI models are globally tuned to a similar dust
 709 AOD (~0.03), and based within the same host model (CESM), changing from a bulk aerosol
 710 scheme (e.g., Albani et al., 2014; Scanza et al., 2015) to a modal aerosol scheme reduces the
 711 aerosol lifetime significantly (Liu et al., 2012 and Table S2). The spatial distribution of dust

712 emissions is also different following the move to the Kok et al. (2014a, 2014b) parameterization
713 (Table 1), resulting in the spatial distribution of dust AOD also altering (Fig. S3). Total pyrogenic
714 iron emissions (sum of fires and anthropogenic combustion activity) in MIMI are higher than
715 previous estimates by a factor of between two and three (Table 7), reflecting the recently growing
716 evidence indicating that they have been previously underestimated (Conway et al., 2019; Ito et
717 al., 2019; Matsui et al., 2018).

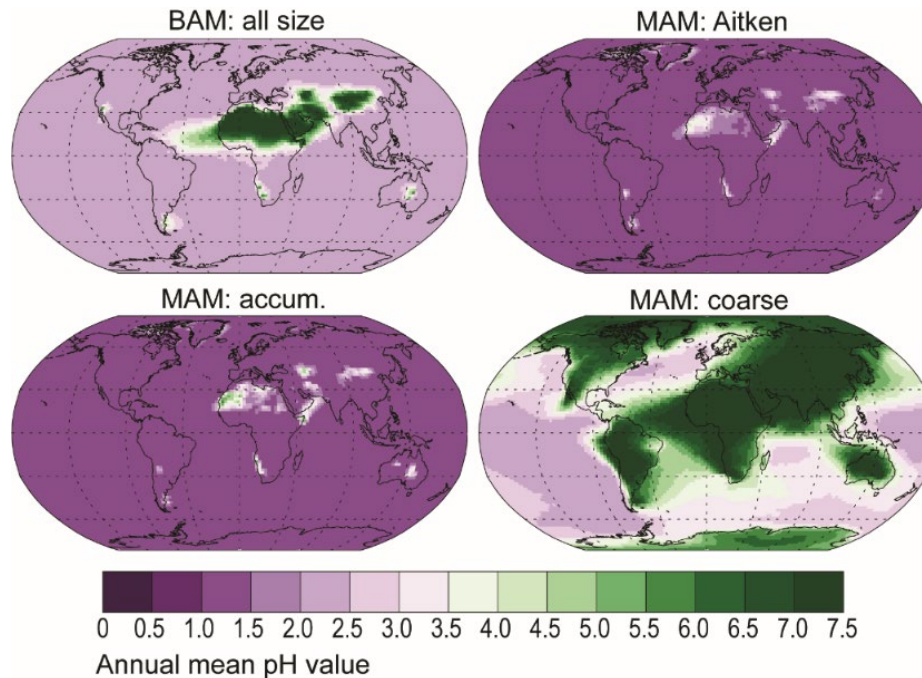
718

719 **4.2 Iron atmospheric processing comparison**

720 There is a much lower aerosol pH in the fine aerosol modes (Aitken and accumulation) in MIMI
721 compared to that in BAM-Fe (Fig. 10). This is due to a combination of resolving pH in each aerosol
722 size mode in MIMI and the subsequent lowering of the pH value (1) being applied in the two fine
723 aerosol modes (Aitken and accumulation). Conversely, dust dominating the coarse aerosol mode
724 provides more of an opportunity for $[\text{Calcite}] > [\text{SO}_4]$ in this aerosol size fraction, resulting in most
725 continental areas having a high coarse mode aerosol pH in MIMI compared with the higher pH
726 being much more localized to the major desert regions in BAM-Fe. Acidic processing of iron in
727 MIMI therefore proceeds faster globally in the fine sized aerosol modes (Aitken and accumulation)
728 compared to the BAM-Fe fine size bin (0.1-1 μm), but generally slower over continental regions in
729 the coarse mode than in BAM-Fe coarse size bins (1-10 μm).

730 Comparison of Fig.10 to modelled pH estimates by Myriokefalitakis et al. (2015) shows generally
731 good agreement in the NH, but in the SH MIMI simulates less acidic coarse mode aerosol over
732 continental regions and more acidic aerosol over marine regions. As iron models are unable to
733 capture the high observed iron solubility (>10%) over SH marine regions (Myriokefalitakis et al.,
734 2018), and in the absence of remote pH aerosol observations, we suggest that our basic
735 parameterization captures an aerosol pH which is suitable for use in Earth system models

736

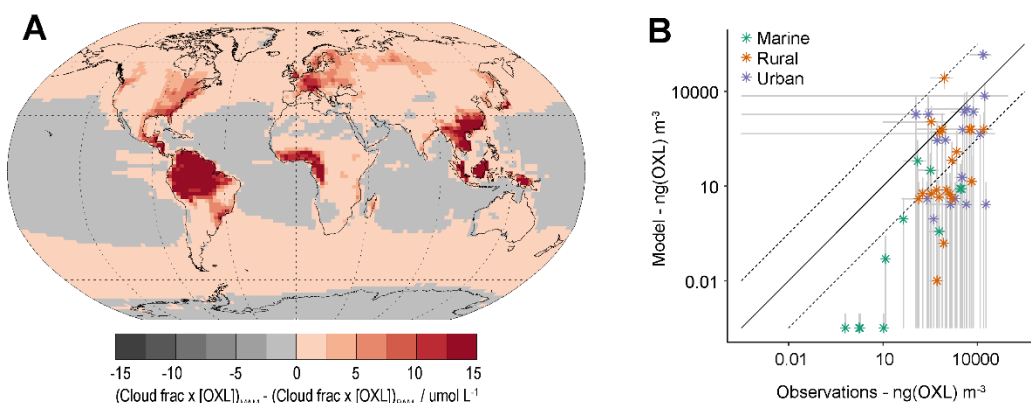


737

738 **Figure 10.** Surface level annual mean interstitial aerosol pH. If $[SO_4] > [Calcite]$ then $pH = 1$ in
 739 Aitken and accumulation modes or 2 in coarse, else $pH = 7.5$ (Table 5).

740

741 Model physics, and hence simulated cloud cover, is significantly different between CAM4 and
 742 CAM5. Fig. 11a shows the relative model difference in the oxalate distribution between MIMI,
 743 which also includes an increase in the tuning factor by an order of magnitude (from 15 to 150;
 744 Table 5), and BAM-Fe by normalising by the simulated cloud fraction in each model respectively.
 745 The effect of oxalate on iron dissolution is therefore larger in MIMI over extra-tropical ocean
 746 regions, where iron models underrepresent solubility (Myriokefalitakis et al., 2018), and land
 747 regions which are dense in tropical vegetation or industry (both centres of large aerosol precursor
 748 gas emissions). Compared to observations (Myriokefalitakis et al., 2011; Table S3) modelled
 749 oxalate concentrations are well represented at high observed concentrations but are biased low
 750 when observed concentrations are low (Fig. 11b). The low model bias is stronger within remote
 751 observational regions (marine vs. urban observation sites), suggesting that the removal of
 752 secondary organic aerosol may be too strong within the model and/or that there is a missing
 753 marine aerosol pre-cursor gas emissions source (Facchini et al., 2008; O'Dowd and de Leeuw,
 754 2007) in this model which significantly lowers simulated secondary organic aerosol, and thus
 755 oxalate, concentrations.

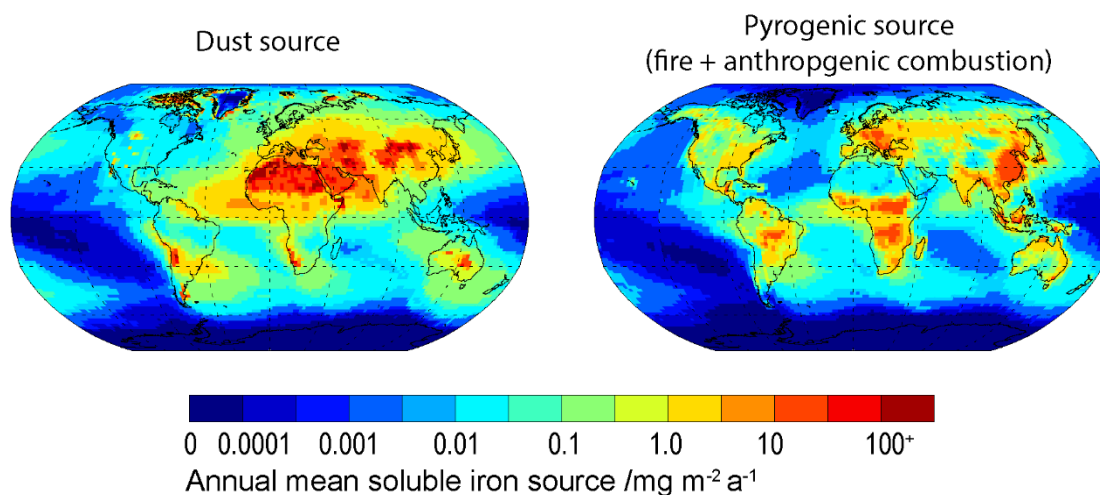


756

757

758 **Figure 11. A:** Relative difference in organic ligand reaction on in-cloud iron aerosol dissolution
 759 between MIMI and BAM-Fe. Due to significant differences in simulated cloud cover between
 760 CAM4 and CAM5 oxalate concentrations [OXL] are multiplied by the model simulated cloud
 761 fraction in this figure. **B:** Surface level oxalate (OXL) concentration in the model and observations.
 762 Model values are annual mean (2007-2011) and monthly standard deviation. Observation values
 763 are from Table S3 in Myriokefalitakis et al. (2011) and reported with uncertainty where given.

764 Comparison of mineral dust and pyrogenic sources of modelled soluble iron (sum of emissions
 765 and atmospheric dissolution; Fig. 12) with the four iron models (including BAM-Fe) reported by
 766 Myriokefalitakis et al. (2018) shows that the spatial distribution in MIMI is broadly similar for most
 767 regions of the world. A notable difference exists in the North Pacific region where the soluble iron
 768 source in MIMI is lower than all other iron models, and similarly with total iron concentrations when
 769 compared to observations (Figs. 4 and 5). Future development of MIMI should thus be focused
 770 on the North Pacific, including the addition of shipping soluble iron emissions which are relatively
 771 concentrated in this region (Ito, 2013). An improvement for MIMI can be seen over the Atlantic
 772 region directly downwind of Saharan soluble iron sources. In general, iron models are over
 773 representing iron solubility close to dust sources compared to observations (Myriokefalitakis et
 774 al., 2018) and in order for BAM-Fe to reach better agreement with observed iron solubility in this
 775 region dust emissions of soluble iron had to be scaled downwards (Conway et al., 2019). We
 776 suggest this improvement is linked to the improved modal representation of aerosol pH in MIMI
 777 (Fig. 10).



779

780 **Figure 12.** Annual mean dust and pyrogenic (sum of fires and anthropogenic combustion)
 781 soluble iron source (i.e., sum of emissions and atmospheric processing).

782

783 4.3 Iron ocean deposition flux comparison

784 Similar to the previous study by Scanza et al. (2018), we report the amount of total and soluble
 785 iron deposited in each of the major ocean basins (Table 8) as defined by Gregg et al. (2003). We
 786 find that, in MIMI the amount of total iron deposited to all ocean basins is approximately double
 787 that estimated in BAM-Fe (26 vs. 12 Tg Fe a⁻¹, respectively), while soluble iron deposition is similar
 788 (~0.5 Tg Fe a⁻¹ in both models). The larger mineral dust emission flux in MIMI (3200 Tg dust a⁻¹
 789 compared to BAM-Fe dust emission of 1800 Tg dust a⁻¹) is driving most of the increases to total
 790 iron deposition because it is the primary iron source (Table 7). In general, the magnitude of soluble
 791 iron deposition to the oceans is more evenly distributed across hemispheres in MIMI owing to a
 792 major reduction (approximately one half) in the equatorial North Central Atlantic basin deposition
 793 flux and increases to SH ocean deposition fluxes of a factor of two to four. In MAM4 dust is treated
 794 as internally mixed aerosol with sea salt, leading to higher rates of wet deposition than when dust
 795 is externally mixed aerosol (Liu et al., 2012) as it is in CAM4. The internally mixed treatment of
 796 dust aerosol in MAM4 is thus an important factor leading to the lower simulated dust lifetime when
 797 compared to BAM-Fe (Table S2). Over the North Central Atlantic region, the combination of a
 798 lower soluble iron source (Fig. 12 compared to Fig S4b by Myriokefalitakis et al. (2018)), dust
 799 atmospheric lifetime (Table S2), lower aerosol pH (Fig. 10), and lower relative organic ligand
 800 processing (Fig. 11) will all work towards reducing the magnitude of atmospheric soluble iron

801 deposition flux in MAM4 compared to BAM-Fe. There are significant increases in anthropogenic
 802 combustion iron deposition in all equatorial and NH ocean basins, driven by the 5-fold increase in
 803 combustion emissions implemented in MIMI. The percent contribution from pyrogenic iron to total
 804 iron deposition between MIMI and BAM-Fe is however more similar for all northern and equatorial
 805 oceanic regions than southern oceanic regions. Beyond the correction to anthropogenic
 806 combustion emissions, which are NH dominated, this could be due to differences in the emissions
 807 of both dust and fire aerosol, structural differences between models relating to the aerosol size
 808 and composition which alters aerosol deposition rates, or a lower soluble iron source (Fig. 12); it
 809 is most likely to be a combination of all three.

810

811 **Table 8.** Global and regional ocean basin deposition ($Gg\ a^{-1}$) of total and soluble iron in BAM-Fe
 812 (Scanza et al., 2018) and MIMI (this study). Deposition was multiplied by the ocean fraction of
 813 model grid cell and is reported at two significant figures. Percent contribution from pyrogenic (sum
 814 of fires and anthropogenic combustion) iron sources to deposition also given. Ocean basins are
 815 those defined by Gregg et al. (2003) and previously used by Scanza et al. (2018).

816

	Dust and comb. deposition / $Gg\ a^{-1}$				Percent iron from pyrogenic sources /%			
	Total iron		Soluble iron		Total iron		Soluble iron	
	BAM-Fe	MIMI	BAM-Fe	MIMI	BAM-Fe	MIMI	BAM-Fe	MIMI
Global	12000	26000	500	530	3.3	5.0	7.6	23
N. Atlantic	1800	5300	46	86	1.9	2.9	4.8	11
N. Pacific	730	1200	35	36	10	19	15	43
NC. Atlantic	2900	5700	92	89	0.30	0.52	0.9	3.7
NC. Pacific	230	300	16	12	7.9	24	10	56
N. Indian	2700	7000	62	101	1.2	2.1	3.9	10
Eq. Atlantic	2600	2600	190	95	2.8	9.9	5.5	34
Eq. Pacific	59	91	6.2	6.7	21	37	25	68
Eq. Indian	830	1200	35	39	5.9	12	11	38
S. Atlantic	65	790	4.1	16	30	4.8	50	25
S. Pacific	21	250	1.4	6.4	41	7.8	50	30
S. Indian	42	200	3.0	6.9	51	16	58	46
Antarctic	270	1300	12	37	20	12	48	44

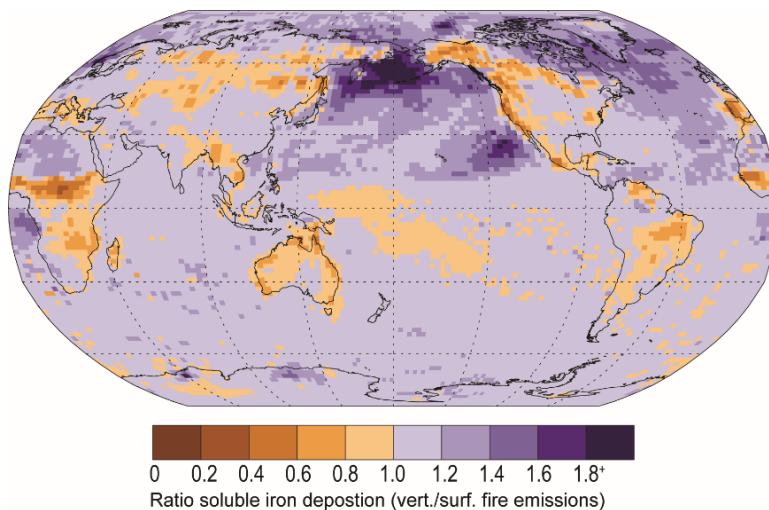
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818

819 The fraction of fire aerosol which is injected above the boundary layer is crucial for determining
820 its capacity for long range transport (e.g., Turquety et al., 2007). Vertically distributing fire iron
821 emissions in MIMI, as compared to emitting all iron from fires at the surface as in BAM-Fe,
822 increases the long-range transport of iron aerosol to remote ocean regions (Fig. 13). In general,
823 vertically distributing fire emissions results in small increases in soluble iron deposition (between
824 0 and 20%) in SH ocean regions and a larger increase (between 20 and 40%) to NH oceans, with
825 converse lower land deposition close to the major regions of fire activity. The exception being in
826 the sub-Arctic North Pacific, a HNLC region, where iron deposition from fires significantly
827 increased until more than doubling that when surface fire emissions are used.

828 The dry deposition flux is sensitive to the aerosol properties, surface roughness and modelled
829 turbulence. Although increasing the vertical resolution has been shown to increase surface PM₁₀
830 concentration (Menut et al., 2013) and better simulate the dust vertical profile (Teixeira et al.,
831 2016), it is not as yet clear if this would correspondingly increase the dry deposition flux.

832



835 **Figure 13.** The ratio of soluble iron deposition from fires when emissions are emitted with a
836 vertical distribution to fires compared with when emission are only at the surface (i.e.,
837 vertical/surface). Single year (2007) comparison only.

838

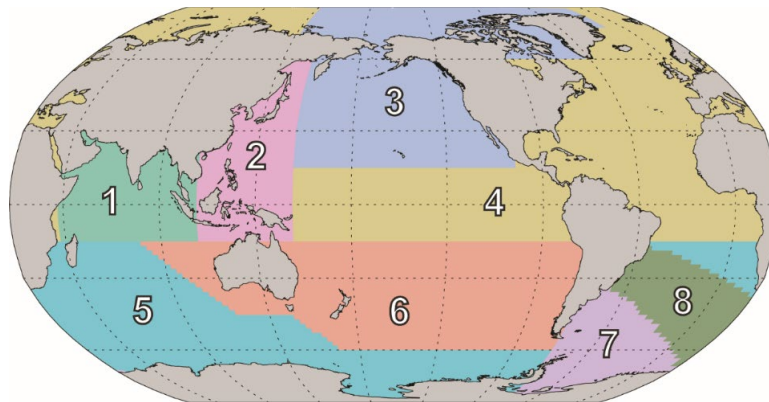
839 4.3.1 Source region comparison

840 The eight regions in Fig. 14 are chosen based on 10 (one for each region in Fig. 1) simulations
841 undertaken using the modified version of BAM-Fe described in the methods Section 2.6. The
842 emission region (Fig. 1) with the highest fractional contribution to the total soluble deposition flux
843 in each grid cell was examined and from this the boundaries of each region in Fig. 14 delineated.
844 The resulting eight ocean iron deposition regions are split equally into four in the NH and four in
845 the SH. Note, however, that the NH–SH divide sits at 15° S, and not the equator, which is due to
846 transport differences in each hemisphere and the position of the Inter Tropical Convergence Zone.
847 Of the four regions that can be defined as being major dust deposition receptors (Fig. 14; bottom
848 panel bar chart) the North Indian Ocean (#1), North Atlantic and Central Pacific (#4), and South
849 America dust (#7) regions have a single dominant source each, while the North Pacific (#3) region
850 is more variable. These dust-dominated iron deposition regions are similarly reproduced by other
851 global iron models (Ito et al., 2019; Myriokefalitakis et al., 2018). The regions of the Southern
852 Hemisphere Oceans (#5) and Australian and South Pacific (#6) receive similar amounts of mineral
853 dust and pyrogenic iron, suggesting that the iron sources are spatially closer and, thus, share
854 much more similar transport pathways than the South East Asian Ocean (#2) and South America
855 Pyrogenic (#8) regions, which have a much more distinct pyrogenic iron source signal. Deposition
856 regions are more clearly defined when using this methodology compared to those from a more
857 traditional classification of ocean basins based on physio-geographical oceanography (Fig. S4).
858 This information can be used to assess which ocean regions are most likely to be affected by
859 anthropogenic perturbations to the magnitude of iron sources within different regions, whether
860 through land use land cover change or industrialization.

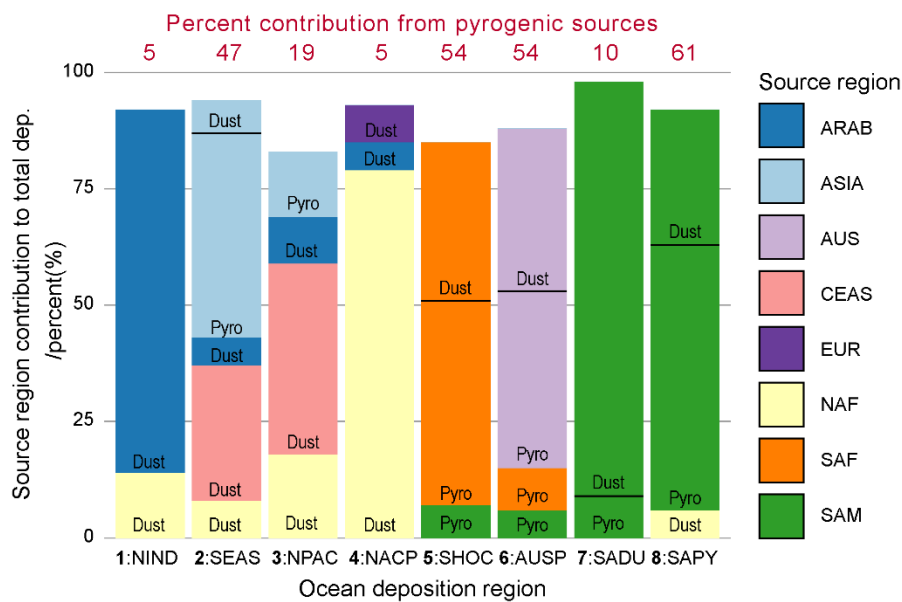
861
862 The variability in the daily soluble iron deposition flux to each of the eight ocean regions, as seen
863 in Fig. 14, is much larger in MIMI than it is in BAM-Fe (Fig. 15), reaching over 10 orders of
864 magnitude between the minimum and maximum flux in many regions. This is due in part to the
865 increased variability in fire emissions, which was improved in MIMI to track the BC emitted from
866 fires, and switching from the offline soil erodibility map used in BAM-Fe to the Kok et al. (2014a)
867 physical based emission parametrization used in MIMI. Anthropogenic combustion emissions are
868 temporally static in both model frameworks, and therefore do not affect the variability in this study
869 as much as fires and mineral dust but will in future if this is changed to represent a seasonal
870 emission cycle. We can see that each of the dust and fire updates in MIMI are having a large
871 impact by comparing the Patagonian dust dominated South America Dust (SADU) region and the
872 fire dominated South America Pyrogenic (SAPY) region. Most of the dust deposited (30 to 90%)
873 in the ocean occurs during large dust events that are on just 5% of the days (Mahowald et al.,

874 2009) resulting in large differences between median and mean deposition amounts in all regions,
875 as seen in Fig. 15. It is important to note that the mean is always above the inter-quartile range,
876 further supporting our previous arguments pertaining to the modelled mean not being an ideal
877 estimate of the average as it does not represent the log normal distribution of aerosol. Comparing
878 the mean:median ratio suggests that extreme dust events are also more pronounced in MIMI
879 (CAM5) than those in BAM-Fe (CAM4).

880



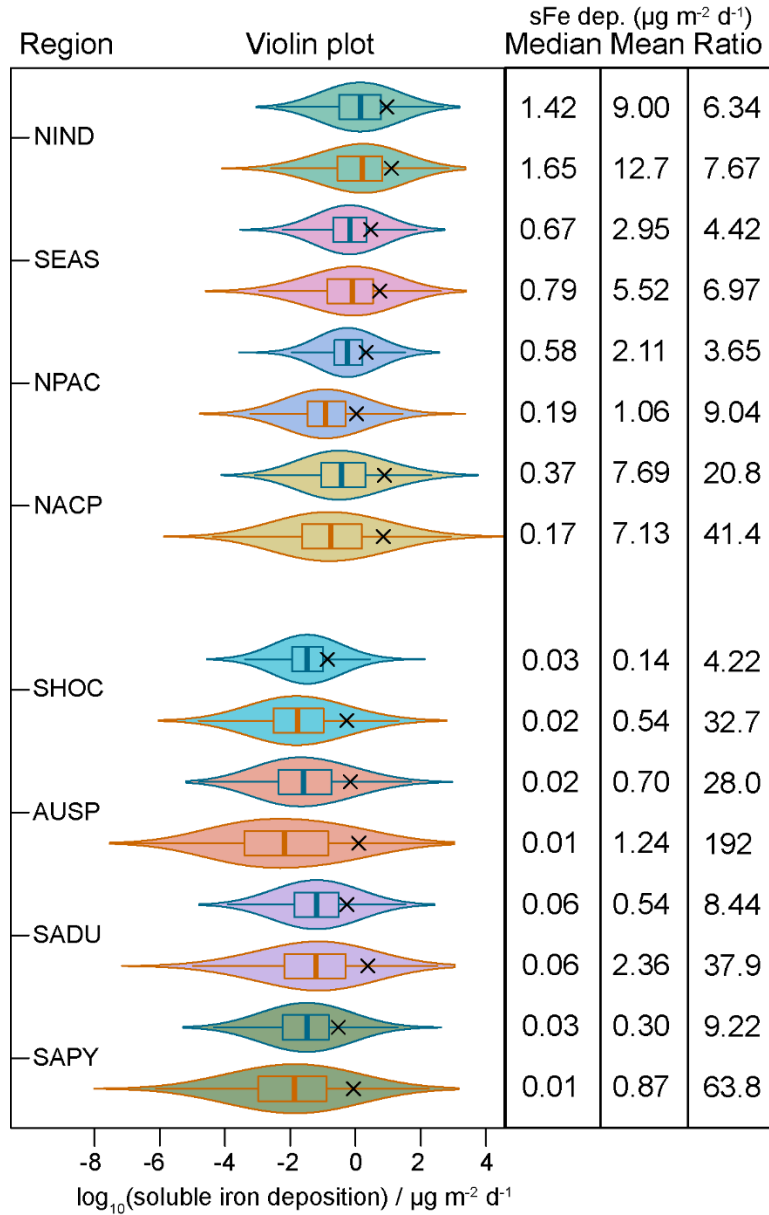
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882

883 **Figure 14. Top.** Eight ocean soluble iron deposition regions defined by dominant source region
 884 apportionment. Region 1: North Indian Ocean (NIND). Region 2: South East Asian Ocean
 885 (SEAS). Region 3: North Pacific (NPAC). Region 4: North Atlantic and Central Pacific (NACP).
 886 Region 5: Southern Hemisphere Oceans (SHOC). 6: Australian and Southern Pacific (AUSP). 7:
 887 South America Dust (SADU). 8: South America Pyrogenic (SAPY). **Bottom.** Contribution of each
 888 emission source region (Fig. 1) to the total iron deposition across the region. Contribution of dust
 889 and pyrogenic (sum of fires and anthropogenic combustion) iron from source region also shown.
 890 Regions contributing <5% filtered out.

891



892

893 **Figure 15.** Violin plots of five years of \log_{10} daily soluble iron deposition ($\mu\text{g m}^{-2} \text{d}^{-1}$) within each
 894 grid cell for the eight ocean regions defined in Fig. 14. Only grid cells where ocean fraction >0.5
 895 are included in analysis. Violin colour matches Fig. 1 region colour: North Indian Ocean (NIND);
 896 South East Asian Ocean (SEAS); North Pacific (NPAC); North Atlantic and Central Pacific
 897 (NACP); Southern Hemisphere Oceans (SHOC); Australian and Southern Pacific (AUSP);
 898 Southern American Dust (SADU); Southern American Combustion (SAPY). Violin outline colours:
 899 blue lines = BAM results while orange lines = MAM results. Black cross = \log_{10} mean daily soluble
 900 iron deposition. Median, mean and ratio (mean/median) values for all five years of daily deposition
 901 amounts across each basin also given.

902 **5 Future directions**

903 The purpose of model to observation comparisons is to identify situations (regions, times, model
904 settings, or combinations thereof) in which the model output is inconsistent with observed realities;
905 with the goal being to further refine the model in the future. Each individual observation represents
906 a snapshot of the atmospheric state at a specific point in space and time and when an observation
907 falls outside of the distribution of model output values, from the same location and time, we can
908 view this as evidence of a model misspecification. For the example of iron modelling, constraining
909 current model-observation discrepancies would benefit from further exploring the model
910 sensitivity of simulated iron and its solubility to uncertainties in five major parameter sets: dust
911 iron emissions, pyrogenic iron emissions, atmospheric iron dissolution chemistry, dry deposition
912 rates and wet deposition rates. In general, improving the modelled representation of secondary
913 organic aerosol (including oxalate) and aerosol pH, particularly for remote regions, is an important
914 task for aerosol modelling and one which would have co-benefits for iron aerosol modelling.
915 Comparisons of soluble fraction of other aerosol species with observations could also be used to
916 guide model development.

917 Here we discuss some of the model parameters which are likely important for improving modelled
918 iron emissions and deposition in MIMI, and ergo iron process models in general, in the future.

919

920 **5.1 Improving iron aerosol emissions**

921 Downwind of significant mineral dust sources iron models generally overestimate the observed
922 amount of total iron (Myriokefalitakis et al., 2018) and soluble iron comparisons are highly
923 sensitive to the assumed initial solubility of mineral dust iron at emissions (Conway et al., 2019).
924 Conversely in remote ocean regions, improving the representation of combustion emissions has
925 been shown to be a necessary step towards more accurate representations of observed high iron
926 solubilities at low iron concentrations (Ito et al., 2019).

927

928 **5.1.1 Mineral dust iron aerosol emissions**

929 In Fig. 4 the high model estimates of total iron, compared to observations, downwind of North
930 African mineral dust sources could be due to uncertainties in the magnitude of hematite emissions
931 within the model. Hematite contains by far the largest fraction of iron of any mineral in MIMI
932 (Table 3) with a major source in the Sahel (Fig. S5). The Sahel is a borderline dust source and

933 emissions from this region have been shown to be sensitive to different model dynamics, even
934 when forced with reanalysis winds, for example between CAM4 and CAM5 (Scanza et al., 2015).
935 Other studies have shown a large sensitivity of dust generation to the details of the soil erodibility
936 map (e.g., Cakmur et al., 2006). For CAM5 with the DEAD emissions scheme Scanza et al. (2015)
937 showed that improvements in estimating the direct radiative forcing of mineral dust could be
938 achieved by assuming that hematite is only emitted from clay minerals and not silt, an effective
939 reduction of ~30% from the coarse mode emission of hematite. Although MIMI has employed an
940 updated dust emission scheme (Table 1; Kok et al., 2014a) the model is still sensitive to
941 assumptions within the offline minerology maps and applications of the brittle fragmentation
942 theory therein. For instance, the single scattering albedo, which is a critical parameter in
943 estimating the direct radiative forcing (e.g., Di Biagio et al., 2009), becomes more comparable to
944 observations (Kim et al., 2011) if the same assumption as Scanza et al. (2015) is applied (Fig.
945 S6). Quantifying the uncertainty on the climate response to different assumptions in minerology
946 and dust emissions, and any reanalysis meteorology driving them, is therefore an important task.

947

948 **5.1.2 Pyrogenic iron aerosol emissions**

949 Matsui et al. (2018) recently showed that combustion iron emissions have been underestimated
950 in current models. One possible reason for this underestimate is that anthropogenic combustion
951 iron emissions from Luo et al. (2008) are for 1996. Taking steelmaking and coal consumption
952 (which are also linked to iron emissions) as a proxy for economic development (Ghosh, 2006; Lee
953 and Chang, 2008) shows that growth in these sectors boomed exponentially post 2000,
954 particularly in Asia and India (Ghosh, 2006; Lee and Chang, 2008). Therefore 1996 emissions
955 are not capturing recent industrial developments and updating the anthropogenic combustion iron
956 emission inventory for use in the 21st Century is a critical next step.

957 During a fire, the iron contained in leaves and wood (Price, 1968) will be released to the
958 atmosphere along with iron contained in the surrounding soil, whether entrained from the ground
959 due to pyro-convective updrafts (Wagner et al., 2018) or a remobilization of terrigenous particles
960 which have previously been deposited onto vegetation (Gaudichet et al., 1995; Paris et al., 2010).
961 All sources are subsequently internally mixed within the smoke plume before any downwind
962 observation occurs. Differentiating the iron contribution from the biomass which is burnt to that
963 from the entrained dust was not considered in any of the studies in Table 4 but would be required
964 to define the correct minerology and solubility of iron from fires. If we assume that biomass

965 contains low concentrations of iron relative to the surrounding soils then we could expect a
966 difference in observed Fe:BC ratios between a cerrado (savannah) environment, where
967 surrounding soils are dry and dust is easily mobilized, compared to a tropical environment, where
968 soils are wet, and dust is not as easily mobilized. But we do not see this in Table 4, and both
969 regions have a similar range which spans around two orders of magnitude from low to high.
970 However, no concrete conclusions can be drawn from such a limited dataset and so more
971 observations are needed to distinguish which source (biomass or dust) is contributing most to the
972 iron measured downwind of fires.

973 The physical, chemical, and biological properties of the underlying soil are also impacted by fires
974 (Certini, 2005) and it can be years after the fire has occurred before returning to a pre-fire state
975 is achieved. For example, the removal of vegetation and the surface crust by fires from dune
976 regions will create a new opportunity for dust mobilization (Strong et al., 2010) and higher intensity
977 fires can also increase the erodibility of soils and availability of fine particles through breaking
978 down the soil structure (Levin et al., 2012). Furthermore, under high temperatures the fire can
979 transform the underlying soil mineralogy, with decreases to iron in clay minerals and increases in
980 magnetic iron oxide minerals (Crockford and Willett, 2001; Ketterings et al., 2000; Ulery and
981 Graham, 1993). The amount of dust emitted from post-fire landscapes is potentially very
982 significant with Wagenbrenner et al. (2017) estimating an extra 12-352 Tg of dust as PM₁₀ (40%
983 of which was estimated to be PM_{2.5}) was emitted to the atmosphere in 2012 from post-fire
984 landscapes in the western U.S. alone. The impact of fires on total and soluble iron emissions in
985 dust from within post-burn regions is also likely to be different but requires further study, although
986 likely depends on the fire regime and the time since the fire occurred.

987 The most advanced iron processing models currently consider industrial, domestic, wildfires and
988 shipping pyrogenic emissions (Myriokefalitakis et al., 2018). An emerging discussion is the
989 importance of volcanic ash, and the iron it contains, on ocean biogeochemistry (Langmann, 2013).
990 Figs. 4 through 7 showed that MIMI underrepresents both total iron and its solubility in the remote
991 extra-tropical Pacific where volcanic emissions may be an important missing iron source. Future
992 understanding in volcanic iron sources are potentially important as once deposited to the ocean,
993 particularly in those regions that are iron limited or seasonally iron limited, volcanic inputs have
994 been shown to alter satellite chlorophyll (Hamme et al., 2010; Rogan et al., 2016) and the
995 drawdown of macronutrients (Lindenthal et al., 2013). The volume of metals released by a volcano
996 is subject to many uncertainties, including both the nature of the volcano and its eruption type and
997 strength; leading to estimates which can vary by many orders of magnitude (Mather et al., 2006,

998 2012). To date most studies have focused on ocean inputs from shorter term explosive eruptions,
999 rather than continuous inputs from quiescent passive degassing volcanoes which are likely to be
1000 most important only for the central Pacific region downwind of volcanoes located within the “ring
1001 of fire” (Olgun et al., 2011).

1002

1003 **5.2 Aerosol deposition**

1004 Examination of aerosol dry deposition in CAM5 by Wu et al (2018) showed that the deposition
1005 velocity for Aitken and accumulation sized BC particles is potentially an order of magnitude too
1006 high. It is highly likely that this will also be the case for dust. As the largest discrepancies between
1007 model and observations are in remote ocean regions improving the models long-range transport
1008 of iron by investigating deposition rates is an important constraint to be applied to the model.

1009

1010 **6. Conclusion**

1011 It is important to accurately model the atmospheric iron cycle because of the impacts of iron on
1012 human health, ocean biogeochemistry and climate. Atmospheric iron process modelling suitable
1013 for use in global climate and Earth system modelling is a new model development area, and as
1014 such currently undergoing rapid development. Here we have detailed the development of the
1015 Mechanism of Intermediate complexity for Modelling Iron (MIMI v1.0), such that it now represents
1016 iron emissions, atmospheric processing and deposition within a global modal aerosol
1017 microphysics framework.

1018 The solubility of iron depends on the underlying aerosol iron properties, such as dust mineralogy
1019 and combustion fuel type, and the degree to which dissolution from an insoluble to soluble iron
1020 form has occurred in the atmosphere. Which of these is the dominant factor for describing the
1021 observed inverse relationship between the solubility of iron to the total iron mass is currently
1022 unknown (Mahowald et al., 2018). Updating the mineral dust emission scheme to a physical
1023 based parametrisation however has improved model performance by increasing total iron close
1024 to mineral dust sources, where solubility is observed to be low (Figs. 4 through 7). Updating
1025 pyrogenic iron emissions from fires increases the long range transport of soluble iron to remote
1026 ocean regions, where observed solubility is higher (Figs. 4 through 7), while increasing
1027 anthropogenic combustion iron emissions by a factor of five brings the total in line with more
1028 recent evaluations of their magnitude (Conway et al., 2019; Matsui et al., 2018). Emission updates

1029 have also increased the variability in soluble iron deposition (Fig. 15). Improvements to the
1030 atmospheric iron processing scheme in MIMI also increase iron dissolution in more remote
1031 regions relative to mineral dust sources, again in line with observations.

1032 Comparison with observations (Figs. 4 through 7) show that in general MIMI simulates total iron
1033 concentrations well. However, comparison of modelled iron solubility to observation reveals that
1034 while the model captures many regional features, some are missed. It is unclear, however,
1035 whether this problem arises from the model or observational representation of the system owing
1036 to the insufficient numbers of observations available to build a robust observational result for such
1037 a highly variable quantity in the Earth system, even when aggregating over small regional scales.
1038 There are significant differences in calculating iron solubility based on the order of the averaging
1039 operation. When calculating at each model time step global annual mean iron solubility is one-
1040 third (34%; NH=40%, SH=29%) higher than when calculated from monthly mean values. Earth-
1041 system models are designed to integrate land-atmosphere-ocean-ice components at each time-
1042 step and thus could yield different results based on the coupling time-step length employed.
1043 Furthermore, the mean is shown to not be an accurate representation of the average atmospheric
1044 state, due to the non-Gaussian distribution of aerosol concentrations. In many regions however
1045 there are just a few (less than five) observations, and often only one, and so while the use of the
1046 median is robust with respect to extreme values, a limited observational dataset cannot truly
1047 discriminate if extreme values are outliers or, rather, the norm. Use of the mean also significantly
1048 overestimates the average atmospheric soluble iron deposition to the ocean and is always larger
1049 than the upper quartile of the distribution in daily deposition. However, this bias may be tempered
1050 due to ocean biogeochemistry processes likely being relevant over timescales which are longer
1051 than those in the atmosphere. Future work will need to consider how best to compare model to
1052 sporadic observations, potentially making use of distributions rather than a more limited absolute
1053 average.

1054 The main sources of soluble iron deposition vary both between and within ocean basin. The
1055 redefinition of ocean basins based on the dominant iron deposition source, rather than a
1056 traditional physio-geographical ocean basin, can therefore aid in determining where continental
1057 anthropogenic activity will have the greatest impact on ocean biogeochemistry and which source
1058 region is linked to where model-observation comparisons are poor. For example, modelling of
1059 total iron and its solubility in the South Atlantic could be improved by further improving our
1060 understanding of industrial combustion and fires within South America. Furthermore, soluble iron
1061 deposition to Southern Hemisphere oceans in MIMI, where combustion and fire emissions have

1062 a significant impact, is between a factor of two to four higher compared to BAM-Fe, itself the
1063 model simulating the largest atmospheric fluxes to the ocean of the comparable models studied
1064 in Myriokefalitakis et al. (2018). As integrated Earth system models develop in the future taking a
1065 holistic view to understanding how dust and fires are coupled, in terms of feedbacks on iron
1066 emissions, is an important step for predicting how future changes in climate will alter the climate
1067 and Earth system response to human perturbations of the natural system.

1068

1069 **Code and data availability**

1070 Model code (emissions and atmospheric processing for MIMI v1.0) and data is available at:
1071 <http://www.geo.cornell.edu/eas/PeoplePlaces/Faculty/mahowald/dust/Hamiltonetal2019/>

1072 . Observational iron data is available from Mahowald et al. (2009) and Myriokefalitakis et al.
1073 (2018). Observational oxalate data is available from Myriokefalitakis et al. (2011).

1074

1075 **Author contributions**

1076 D.S.H. developed MIMI which incorporates model code previously developed by R.A.S., Y.F.,
1077 J.F.K., X.L., and M.W. D.S.H. undertook all model simulations and wrote the manuscript with
1078 support from N.M.M., J.G., and S.D.R.. D.S.H. prepared all Figures and Tables apart from Fig. 1
1079 and Table S1 (J.S.W.), Figs. S3 and S6 (L.L.), and Fig. 9 and S2 (S.D.R.). All authors edited
1080 manuscript text.

1081

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