## Interactive comment on "Impact of model improvements on 80-m wind speeds during the second Wind Forecast Improvement Project (WFIP2)" by Laura Bianco et al.

Anonymous Referee #1

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Review of the manuscript gmd-2019-80 Impact of model improvements on 80-m wind speeds during the second Wind Forecast Improvement Project (WFIP2) by Laura Bianco et al.

## Summary

Within the context of the WFIP2 experiment, the authors evaluate the HRRR model on the performance for the 80 m wind speed. In addition they test whether a set of newly implemented physics schemes and/or increased spatial resolution improve the model performance. The evaluation covers multiple seasons, multiple starting times (Z00 and Z12) and is performed against a multiplicity of observational systems. In general an increased resolution improves the model forecast, and the experimental physics suite is only beneficial in the HRRR, but not in the NEST version. Finally the authors unravel under which types of atmospheric phenomena the experiments result in a reduced or enlarged model bias. I find this study a very thorough evaluation that clearly illustrates the challenges the field faces when comparing and improving modelling systems, i.e. against different statistical metrics for different resolutions, under contrasting scale awareness of the model etc. However, I think the paper can be strengthened with limited amount of extra work in order to become more complete in terms of model variables and in terms of setting the future research agenda for model development. Recommendation: major revisions

We thank the Referee for the thoughtful comments. We hope we have addressed all of the Referee's concerns and we think that our manuscript did benefit from the constructive comments made by both Referees.

## Major Remarks:

1. My first concern relates to the fact that this manuscript does not describe the physical package of EXP. The authors refer to earlier papers that document these modifications. While I understand the argument of doing so, as a reader I find it usually very unattractive to first read one or two other papers to understand the current one. So I would encourage the authors to reserve some room to summarize the physical settings of EXP, so it becomes more clear to the reader what settings are underlying the bias reductions. I also think this helps the paper to generate more citations. We thank the Referee for the suggestion. We agree that having to read another paper to understand the current one is not appealing to any reader. In light of the comment from both Referees we decided to expand section 2.2 "NWP Models", including a list along with brief summaries of the complete set of model physical parameterizations and

relevant numerical methods targeted for development in WFIP2. We still refer to Olson et al. (2019a; 2019b), which in the meantime have been accepted for publication and are available (Olson et al. 2019a as early online releases), for details on the model configurations, but we hope this addition will give the reader all the needed tools for understanding the basic model settings used as part of this analysis.

- 2. Although I understand that the focus of WFIP2 is on wind energy, it would be interesting for the readership to learn to what extent the model improvements also hold for wind speeds at other heights above the surface (60 m, 100m, 120 m hub heights are rapidly increasing). One does not need to show all graphs for all heights, buts some guidance whether improved skill for the 80-m wind is also present at other levels is interesting for the readership of the paper.
- We certainly agree with the Referee on this matter. In fact, the dataset collected during WFIP2 is very rich and many other studies have been, or are being, performed to verify model improvements on other variables. While this paper was in revision other papers were accepted for publication, being submitted, or in preparation (for example a paper evaluating the models in the lowest 1 km of the atmosphere using data from scanning Doppler lidars at 3 sites is in preparation) on these other aspects and for this reason we don't think it is useful to repeat the analysis presented elsewhere in our paper. Nevertheless, in accordance with the Referee's comment, to give a wider view of the impact of the WFIP2 effort, we decided to expand Section 4 (adding subsection 4.6 "Impact of model improvements on other key meteorological variables") to summarize these other results.
- 3. In addition, it would be interesting to report whether improved statistics for wind also generate improved statistics for other variables as boundary-layer height, wind direction, 10-m wind speed, 2m-temperature (let's say the routine synoptic variables). Again, no additional graphs are needed, but some guidance to know whether improved80-m wind also improves or deteriorates the other variables is interesting to see the consistency of the improvements.
- For boundary-layer height we are working on a separate manuscript that will focus on that aspect in particular, therefore we believe it is beyond the scope of the current work. For the other key meteorological variables mentioned by the Referee, as 10-m wind speed and 2-m temperature we did summarize the results found in Olson et al (2019) in the new subsection (4.6), as already mentioned in the answer to the comment above.
- 4. P6, In 1: you suggest that the drag is too active in the revised physics. Is it possible to make this more concrete? E.g. one can discuss that this excess drag only occurs for grid cells where the modified drag scheme is active (since it switches on and off depending on the Froude number). Also if the PBL height in the model is too small, the drag has its divergence over a too shallow layer, making it too active in the atmosphere though the surface drag might be correct. In addition, it would be interesting to see whether one can distinguish whether the change in drag is due to local processes (surface drag) or modified synoptic settings induced indirectly by the drag.

  There are two new sources of drag: the small-scale gravity wave drag (SSGWD) and the wind farm parameterization (WFP). The SSGWD is only active in the HRRR (for dx

> 1 km), so it does not contribute to the low near-surface wind speed biases in the HRRRNEST (dx=750 m). The WFP is active in both the HRRR and HRRRNEST. Combined, these two new sources of drag contribute to the low wind speed bias in the HRRR during the night (SSGWD is not active during the day), while the WFP can help contribute to the low wind speed bias for both the HRRR and HRRRNEST during the day or night.

The SSGWD was originally designed to only parameterize small-amplitude gravity waves, excited by rolling hills or similar types of terrain characterized by standard deviations of subgrid-scale terrain of < about 150 m. However, the original form of the SSGWD allowed the stress to be keep increasing as the standard deviation exceeded 150 m, which is common in the NorthWest US. This has been modified since the model code freeze.

Yes, low PBL height biases in the stable regime can cause excessive drag due to exaggerating the divergence of the momentum stress. To limit this, within the SSGWD only, we assume that momentum stresses decrease to zero no lower than 300 m, so the SSGWD drag is always spread over a layer at least 300 m deep. We think this is reasonable, since small-scale gravity waves may propagate into the stable layer above the model-defined PBL height and may not break until they reach the more neutral residual layer immediately above the surface stable layer. This does result in some unwanted limits in the model code, but it helps to remove excessive drag that may be caused by poorly estimated PBL heights in the stable layer.

It may be interesting to better distinguish the drag due to local (surface frictional and/or form drag) vs the regional or synoptically modified flows indirectly caused by the drag, but since these new forms of drag typically only directly impact the lowest 300 m and typically only combine to provide a deceleration of the low-level winds between 0.1-0.5 m s-1, we suspect that the effect on the synoptic scale is very small for forecasts less than 24 hrs in length. Also, the investigation may be contaminated by the lateral boundary conditions needed in limited area modeling. Therefore, we think this extra exercise to distinguish the drag effects from local vs synoptic are best suited for medium range forecasts (5-10 days) within a global modeling framework.

5. I find the paper has a rather large amount of figures, while they are not always discussed in much depth. E.g. fig 14 can be removed, including the related text on P11, In 1-18.

According to the Referee's suggestion Fig. 14 was removed from the revised version of the manuscript. Some discussion on the behavior of the models due to the different characteristics of the cold pool events highlighted in Fig. 13 remains in the text nonetheless.

In addition to that I would encourage the authors to extend the discussion about which atmospheric conditions are responsible for the model improvement. E.g. can the bias reduction be plotted against the geowind or vs atmospheric stability? Unfortunately, we do not have observed geostrophic wind or atmospheric stability at all the sites used in this study. Some of the sites have co-located radar wind profilers (not all, though) but the maximum height reached by this instrument is well below the geostrophic wind level. Atmospheric stability could be derived at some of the sites,

where microwave radiometers are available, but these are only 3 out of the 22 used in our study, making that variable non-representative of the entire area of interest. In any case, since Fig. 1, 2, 5, 6, 7, and 8 present the statistics as a function of the time of the day, we believe that some insight about what atmospheric conditions are responsible for the model improvements could be derived by these. Therefore, according to the Referee's suggestion we pointed to the dependence on atmospheric stability in the revised version of the manuscript, specifically, where we discussed the abovementioned figures.

6. Although I appreciate the classification of the biases along different flow patterns, the exact definitions used to classify/categorize the flow patterns is missing in the paper. As such the reproducibility of the work is hampered.

We understand the Referee's comment on the lack of the exact definitions used to differentiate between the different flow patterns. At the beginning of the campaign several meetings were organized between the meteorologists that volunteered to participate in the weather discussions to the purpose of the creation of the Event Log. The classifications were based on the available observations, operational analysis products, HRRR forecasts, satellite images, and local radiosondes. Due to the fact that not all of these were available at all times, it was not possible to base classifications on specific thresholds and definitions. It was certainly a process that involved a certain level of subjectivity, as we already pointed out in the manuscript. Nevertheless, the process involved weekly meetings during the field study with meteorologists on the project team, many with operational forecasting experience in this geographic area, during which a consensus was reached by the team, making us confident that other meteorologists would agree with the classifications we used. Also, the Event Log is accessible to the public (available on the DAP, https://a2e.energy.gov/projects/wfip2), so the reproducibility of the work is not hampered in this sense. Some additional text was added to the revised version of the manuscript about this.

7. Methodological concern: section starting at P11, In 31: here the bias correction is applied and then it is concluded that the skills improves further. This is logical since you just removed the bias. A better way to do this is to split the data set in two parts and determine the bias correction on the first half and evaluate it independently on the second half of the data set. I could not understand from the paper whether this procedure was followed.8.

We thank the Referee for this suggestion. According to his comment we have modified the procedure used to apply the bias correction. We now split the dataset into two parts, determine the bias correction to apply from the first part and evaluate it independently on the second half of the data set.

Finally: although I appreciate the efforts to report the model improvements and its statistical evaluation, I think the paper can be strengthened by adding a section that summarizes the future research agenda concerning surface drag, the wind speed at hub heights. This is the journal of geoscientific model development, so in my opinion it should also prioritize the research efforts of the future.

Since the model code freeze, we have prioritized three research tasks related to better simulating the low-level wind speeds: (1) the inclusion of momentum transport in the new mass-flux component of the MYNN-EDMF (already completed), (2) modifying the SSGWD to only parameterize small-amplitude gravity waves associated with subgrid-scale terrain undulations < 100 m (also completed), and (3) investigating the addition of a vertically distributed form drag as opposed to represent form drag only through the surface roughness length, which is probably only valid for dx < 1 km, where the terrain is better resolved. The impact of (1) tends to increase the near-surface wind speed in the convective boundary layer, which helps to correct the low wind speed bias we measured in WFIP2. Tasks (2) and (3) are simply meant to revise the original representation of drag in the HRRR in order to make the parameterizations more physically meaningful. All of these model components need to be investigated at a variety of model resolutions spanning dx = 1 to 10 km to ensure the model parameterizations successfully adapt in behavior to only represent the physical processes that are truly not well-resolved within the model.

## Minor remarks:

P5, In 7: when reading this I was wondering whether the statistics for other metrics behaved the same. This is dealt with later on in the paper, but perhaps it is good to announce already here that RMSE scores will be discussed later on. Just for the expectation management.

We don't look at RMSE in this study, but mostly at MAE and biases and this is pointed out in the text.

P5, In 8: ... with SIGNIFICANTLY? smaller ... Done.

P5, In 11-15: this is a very long and unclear sentence.

We reworded the sentence as: "Figure 1 can be used to examine the dependence of MAE on initialization time and forecast horizon. In particular, the Z00 MAEs are smaller than the Z12 MAE values for times soon after the Z00 initialization (for the first part of the day O lines are below X lines). In contrast the Z12 MAEs tend to be smaller than Z00 values for times soon after the Z12 initialization (for the second part of the day X lines are below O lines, except for HRRRNEST EXP), meaning that the MAE increases with the forecast horizon."

P7, In 4-5: paragraph of 1 sentence, should be avoided. Done.

P7, In 14: cite in chronological order. Done.

P7, In 18: .... always positive for wind speed. Done.

P7, In 24: model instead of models Done.

P10, In 12: reword "negative blue bar"

Done. The sentence has been reworded from: "the negative blue bar in spring and summer, visible in Fig. 9..." to: "blue bar in spring and summer extending toward negative values, visible in Fig. 9..."

P10, In 18-22: these sentences read like a figure caption, so is quite redundant According to the Referee's suggestion we removed the sentence "HRRR CNT is shown in red, HRRR EXP is in blue, and observations are in black. In the lower panel, gap flow days are highlighted with the red shaded areas."

Figure 3: I would prefer to see this graph to be revised towards a column chart since the lines between the seasons do not say much. The statistics belong only to the season and are not connected.

According to the Referee's suggestion Fig.3 has been modified into a bar chart.

# Interactive comment on "Impact of model improvements on 80-m wind speeds during the second Wind Forecast Improvement Project (WFIP2)" by Laura Bianco et al.

Jeffrey Freedman (Referee) jfreedman@albany.edu Received and published: 3 August 2019

This paper describes the results of model improvements to the High Resolution Rapid Refresh (HRRR) model developed using observations and improved parameterization schemes developed during the second Wind Forecast Improvement Project (WFIP2). Overall, the paper is very well organized with results presented in a clear and concise manner. The breakdown of model performance (e.g., improvement) by regime is especially noteworthy. This was an enjoyable paper to review and will be of great value to the observational and modeling communities.

We thank Dr. Freedman for offering his opinion on our manuscript. We appreciate his thoughtful comments. We hope we have addressed all of the Referee's concerns and we think that our manuscript did benefit from the constructive comments made by both Referees.

## General comments:

The manuscript refers to papers that are not yet available (e.g., Olsen et al. 2019a; McCaffrey et al. 2019). That made it problematic in reviewing the specifics regarding the differences between the HRRR CTL and EXP configurations (although the narrative does include parenthetical examples of the parameterizations/schemes that were modified).

Based on the comments from both Referees we decided to expand section 2.2 "NWP Models" to include a list with brief summaries of the complete set of model physical parameterizations and relevant numerical methods targeted for development in WFIP2. We still refer to Olson et al. (2019a; 2019b), which in the meantime have been accepted for publication and are available (Olson et al. 2019a as early online releases), for accurate details on the improved model configurations, but we hope this addition will give the reader all the needed tools for understanding the basic settings of the models' runs.

Although the other WFIP2 papers include a map of the instrument deployment/HRRR nests, if space were not an issue that would be helpful (readers, at times, are sometimes limited to printed versions).

We thank the Referee for the suggestion. According to the Referee's comment a topographic map, with the location of the sites, has been inserted as a new panel in Fig. 4. We hope that this and other additions we incorporated into the manuscript (see answer to the comment above) will make the paper more self-consistent.

There are several examples of text in the narrative that are figure captions.

We modified the text in the revised version of the manuscript when this was pointed out by the Referee.

Some more speculation as to why (from a meteorological perspective) model performance categorized by regime differed by season (e.g. spring versus fall for gap flows and HRRR physics) would be of interest and value.

Gap flow events are of different nature over different seasons. From our analysis it seems that in summer, thermally forced gap flow are problematic and difficult to forecast, but in winter, synoptically forced gap flows show an improvement in the model forecast. Some text about this has been added in the revised version of the manuscript.

## Specific comments:

Page 1 (Abstract), line 25: use of the word "versus" perhaps should be consistent by just using "and." Page 1, line 34: "...also looking for the causes of model weaknesses" is a sentence fragment.

The word "versus" in the Abstract was changed to "and". Also, the sentence "...also looking for the causes of model weaknesses" was changed to "Causes of model weaknesses are identified"

Page 2, line 6: "hub-height" needs to be defined here (80 m given the other references).

Page 4, line 11: more specificity on the spin-up problems with the HRRRNEST? The 3-km HRRR is directly initialized off of the 13-km RAP grid, so there is a spin-up period associated with the model atmosphere adjusting to the higher resolution terrain, which typically has much higher mountain peaks and lower valleys in the HRRR relative to the RAP. This spin-up problem would be even more exaggerated if the HRRRNEST was directly initialized from the RAP model atmosphere, so to minimize this problem, we chose to allow the HRRR model atmosphere to spin-up for 3 hrs before we initialized the HRRRNEST from the HRRR 3-hr forecast. New text has been added to the revised manuscript to clarify this issue.

Page 4, line 24: how "close" was the model layer to 80 m?

The text has been modified to include this info as: "For our analysis, in order to compare to the observations, the 80-m wind field is obtained from model output horizontally bilinearly interpolating to the 22 site locations using the 4 closest grid points, and linearly vertically interpolating the two closest heights (approximately 36 and 83 m)."

Page5, lines 6 - 7: "Initialization times....theZ00 and Z12 values." This is a figure caption.

The text has been changed in the revised version of the manuscript to "Initialization times are represented with the O's (Z00 runs) and with the X's (Z12 runs), while the averages between these values are in solid, bold lines."

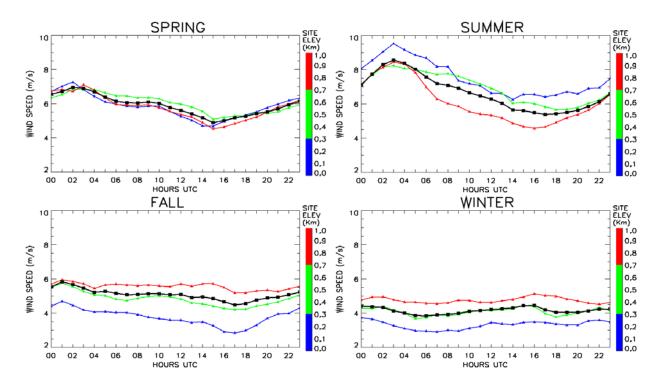
Page 6, lines 9 - 10: "Figure 3 displays . . . ." Figure caption.

The text has been changed in the revised version of the manuscript to hopefully read less as a figure caption ("MAEs of the 80-m wind speed, presented in the left panel of Fig. 3, show that the HRRR EXP (in blue) does better than the HRRR CNT (in red) in fall and in winter, but not in spring nor summer. MAEs of the HRRRNEST CNT (in yellow) are better than those of the HRRR CNT (in red), and the HRRRNEST EXP (in black) is now almost always better than the other models. Biases, presented on the right panel of Fig. 3, show values in the HRRR EXP (in blue) becoming way too negative (caused by the additional orographic drag employed in the HRRR EXP) compared to the HRRR CNT (in red) in the spring, summer and fall.").

Page 6, Figure 3: any difference (in relative magnitude) if %MAE was used? That is, larger errors during nocturnal period may have been due to higher wind speeds? We thank the Referee for making this good point. We think including the observed averaged diurnal 80-m wind speed cycle is important, but since adding a new figure was not an option due to the already large number of figures in the manuscript, we decided to include in the revised version of the manuscript an insert to panel a of Fig. 1, with the diurnal cycle of the averaged observed 80-m wind speeds for the four reforecast periods for reference. This new insertion shows how wind speeds are larger at nighttime, particularly in summer and to a lesser extent in spring, but less so in fall and winter. We also included some text regarding this in the revised version of the manuscript when discussing the magnitudes of the errors for the different periods (Sec 3.1: "For reference, the insert of panel a of Fig. 1 presents the diurnal cycle of the averaged observed 80-m wind speeds for the four reforecast periods, showing that 80-m wind speeds are higher at nighttime, particularly in summer and to a lesser extent in spring (contributing to MAE to be larger at nightime compared to daytime), but less so in fall and winter.").

To address the Referee's comments (both the current and the next comment) we made a plot (shown below but not included in the manuscript), for the four reforecast periods separately, with the averaged observed 80-m wind speeds at all sites (black line) and over an average at three elevation ranges:

- 0-300 m: AON3, AON7, BOR, RFS, ARL (in blue),
- 300-700 m: AON2, AON4, AON5, GDL, WCO, WWL, YKM, VCR (in green),
- > 700 m: AON1, AON6, AON8, AON9, CDN, DCR, PVE, RTK, GDR (in red).



From this figure we see similar diurnal patterns for wind speed for all three elevation ranges, but more interesting is to notice that, while the sites with lower elevation (blue and green lines) experience stronger 80-m wind speeds compared to those at higher elevation (red line) in summer, for fall and winter the opposite is true. This might be due to gap flow events happening more often in summer, and cold pool events, with lower wind speeds closer to the surface and higher wind speeds above, happening more often in fall and winter. Spring does not show much difference in the diurnal behavior of 80-m wind speeds for sites at different elevations.

Page 6, Figure 4: do higher elevations feature, on average, higher wind speeds? Perhaps a plot (or part of a plot) could show the diurnal average of the wind speeds for individual stations.

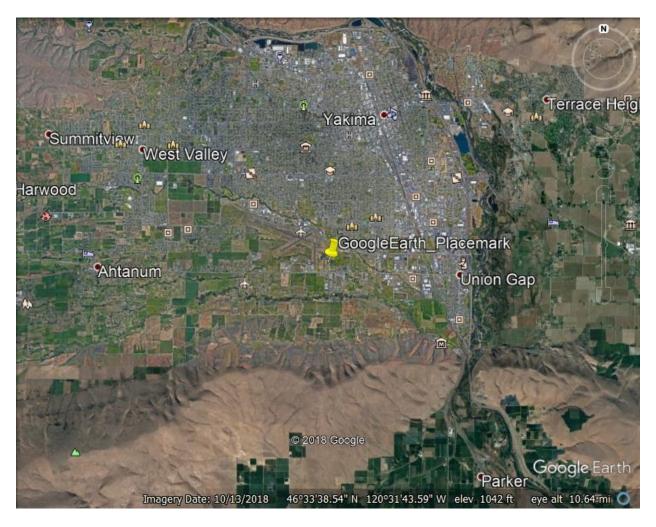
To address this Referee's question relative to Fig. 4, we added (as a dotted black line) the averaged 80-m wind speed at each site for the 4 reforecast periods (panels *a* to *d* of Fig. 4). To include these extra lines we incorporated right axes to each panel. These added lines can be used to answer the Referee's comment. Specifically there is some dependence of 80-m wind speed with site elevation in fall and winter, most likely caused by cold pool events with lower wind speeds confined to lower elevations. On the other side, and also according to the figure in the answer to the comment above, we see that sites at higher elevations do not show higher 80-m wind speeds compared to that of sites at lower elevations neither in summer nor in spring.

Page 6, Figure 4: one station (ykm at 330 m) seems to have an unusually high bias any explanation for this?

The Yakima site is the one to the farthest North in the study area, as visible form the new e panel of Fig. 4 (included in the revised version of the manuscript). Forecasts at

this site are particularly difficult due to the presence of the developed area, (on the North-East of the site), crops on its South-East, and a very steep ridge on its south. While the elevation of the site is at ~330m, the top of the ridge is at double this elevation. These features are visible in the map below.

This is a challenging location for models to get the details correct. Also, the ridge separates Yakima from the main study area, which could lead to different results.



Page 7, lines 7 - 8: "In this analysis..." This is interesting a "decoupling" (assuming a well-mixed PBL over the region not sure of this) of some sites at different times? We think the text the Referee is referring to our statement that "Terrain complexity is not as powerful of a predictor of model bias as site elevation. A similar analysis to that presented in Fig. 4 was performed but sorting the sites by the complexity of the surrounding terrain (see Table 1). In this analysis (not shown) the trend of 80-m wind speed MAE and bias was not clearly defined." The point we are attempting to make is that using the complexity of the terrain surrounding the sites to sort the elements on the x axes we do not see a well-defined trend in neither MAE nor bias. But we do not know what kind of decoupling we can make responsible for this; therefore, we did not change the text in the revised version of the manuscript.

Page 7, lines 21 - 26, sentence beginning "The upper panels display . . . . " Figure caption.

Text in the revised version of the manuscript has been modified to read less as a figure caption.

Page 7, lines 26 - 29: this is the only text describing Figure 5. Figure 5 is described in the entire section 4.1.

Page 8, bottom lines, Figure 8: caption appears to be incomplete. It does not mention this is for the combined impact.

Thanks to the Referee for catching this oversight. The label of Fig 8 has been modified to: "As in Fig. 6 but for HRRRNEST EXP (in black) vs HRRR CNT (in red) runs, showing the combined impact on 80-m wind speed MAE of the experimental physics and finer model horizontal grid spacing."

Page 10, line 9: "In truth, this figure does not tell the entire story." Literary flourish? We are trying to keep the reader's interest up at this point!....

Page 11, line 7: "...different atmospheric characteristics." In what way? On what scale. (At the bottom of this paragraph [lines 14 - 16] there is a mention of stability and wind profiles. Is this what is meant?)

Yes, we did use the time-height cross sections of microwave radiometer temperature, winds from the radar wind profiler, and radio acoustic sounding system virtual temperature to find that the cold pool at the beginning of January is brought in by sustained easterly winds and has weaker stable stratification compared to the cold pool event in the second half of January, which is characterized by very low wind speeds close to the surface and more strongly stable stratification. According to the suggestion of Referee #1 Fig. 14 (presenting these time-height cross sections) was removed from the revised version of the manuscript, some discussion on the behavior of the models due to the different atmospheric characteristics of the cold pool events highlighted in Fig. 13 is nonetheless still discussed in the text.

Note on Page 3, lines 14 - 17 contain a repetitive clause: ",...includes 3 449-MHz, 8915-MHz radar wind profilers with radio acoustic sounding system temperature profiles,19 sodars, 5 scanning lidars, 5 profiling lidars, 4 microwave radiometers, 10 microbarographs, a network of sonic anemometers, and many surface meteorological stations." We thank the Referee for catching the repetition, which has now been removed.

## Impact of model improvements on 80-m wind speeds during the second Wind Forecast Improvement Project (WFIP2)

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Abstract. During the second Wind Forecast Improvement Project (WFIP2; Oct 2015 – Mar 2017, held in the Columbia River Gorge and Basin area of eastern Washington and Oregon states) several improvements to the parameterizations applied used in the High Resolution Rapid Refresh (HRRR – 3 km horizontal grid spacing) and the High Resolution Rapid Refresh Nest (HRRRNEST - 750 m horizontal grid spacing) Numerical Weather Prediction (NWP) models were tested during four 6-week reforecast periods (one for each season). For these tests the models were run in control (CNT) and experimental (EXP) configurations, with the EXP configuration including all the improved parameterizations. The impacts of the experimental parameterizations on the forecast of 80-m wind speeds (wind turbine hub height) from the HRRR and HRRRNEST models are assessed, using observations collected by 19 sodars and 3 profiling lidars for verification. Improvements due to the experimental physics (EXP vs CNT runs) versus and those due to finer horizontal grid spacing (HRRRNEST vs HRRR), and the combination of the two are compared, using standard bulk statistics such as Mean Absolute Error (MAE) and Mean Bias Error (bias). On average, the HRRR 80-m wind speed MAE is reduced by 3-4% due to the experimental physics. The impact of the finer horizontal grid spacing in the CNT runs also shows a positive improvement of 5% on MAE, which is particularly large at nighttime and during the morning transition. Lastly, the combined impact of the experimental physics and finer horizontal grid spacing produces larger improvements in the 80-m wind speed MAE, up to 7-8%. The improvements are evaluated as a function of the model's initialization time, forecast horizon, time of the day, season of the year, site elevation, and meteorological phenomena. Causes of model weaknesses are identified. also looking for the causes of model weaknesses.

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Finally, bias correction methods are applied to the 80-m wind speed model outputs to measure their impact on the improvements due to the removal of the systematic component of the errors.

## 1 Introduction

The second Wind Forecast Improvement Project (WFIP2) took place in Oregon and Washington states from October 2015 through March 2018. This Department of Energy (DOE) and National Oceanic and Atmospheric Administration (NOAA) funded project was aimed at improving the parameterizations within the High Resolution Rapid Refresh (HRRR – 3 km horizontal grid spacing) and its nested version (HRRRNEST – 750 m horizontal grid spacing), with the goal of increasing the forecast skill of wind turbine hub-height (80-m) wind speeds. The study area is a region of complex terrain that included a large amount of wind power generation, with more than 4.6 GW of installed capacity associated with the Bonneville Power Administration (BPA) balancing authority.

WFIP2 (Olson et al., 2019a; Shaw et al., 2019; Wilczak et al., 2019a; Olson et al., 2019a), as well as the first WFIP (held in the U.S. Great Plains, in 2011-2012; Wilczak et al., 2015), represent efforts to improve forecasts for the renewable energy sector. While the first WFIP was in an area with relatively flat terrain, WFIP2 took place in an area characterized by pronounced topographic features. These include the Cascade Mountains and the Columbia River Basin to the east, with the Columbia River Gorge forming a gap in the mountain range owing toresulting in complex flow patterns in the region. Important background information regarding the project can be found in several publications: Shaw et al. (2019) presents a general overview of the project; Wilczak et al. (2019a) describes the instruments deployed for the 18-month long campaign and the meteorological forecast challenges of the region; and Olson et al. (2019a) discusses the parameterization improvements applied to the HRRR and HRRRNEST models resulting from a better understanding of local atmospheric processes achieved by the use of the observations.

Toward the end of the campaign, a model freeze was imposed and some case studies with interesting meteorological conditions were selected to focus model improvements around. Changes to the model physical parameterizations based on model known deficiencies and findings from this campaign were then tested over these case studies and those that showed improvements were selected to become a new experimental physics suite. Finally, and four 6-week periods (one for each season: "spring 2016" – 3/25-5/7/2016, "summer 2016" – 6/24-8/7/2016, "fall 2016" – 9/24-11/7/2016, and "winter 2017" – 12/25/2016-2/7/2017) were chosen to re-run the models in control (CNT) and experimental (EXP) configurations. The EXP configuration included all the modifications/improvements added to the models, while the CNT runs used the HRRR parameterization present in the NCEP operational version of the HRRR at the start of WFIP2. The four 6-week periods will be called "reforecast periods" throughout the rest of the manuscript, while the model re-runs (HRRR CNT, HRRR EXP, HRRRNEST CNT, and HRRRNEST EXP) will be called "reforecast runs".

Since the primary goal of WFIP2 is to advance the state of the art of wind energy forecasting in areas with complex terrain in general, and in the BPA region in particular, in this paper we use hub-height wind speed observations from sodars and profiling

lidars to assess the impacts of the experimental parameterizations and finer horizontal grid spacing on the performance of the models. These instruments were chosen because they accurately measure wind speed and direction from 20 m up to few hundred meters above ground level, which is the layer of the atmosphere most relevant for wind energy production. While in this paper improvements in bulk statistics (Mean Absolute Error – MAE, and bias) are evaluated, a companion research article (Djalalova et al., 2019) determines the improvements using the same set of measurements and the same model runs at forecasting wind power ramp events.

The paper is organized as follows: in Section 2 the observational and NWP model datasets are described; in Section 3 details of the bulk statistical results are presented for 80-m wind speed MAE and bias for individual models, in terms of time of the day, model initialization time, forecast horizon, season of the year, and site elevation; in Section 4 improvements in the statistical results are quantified due to the experimental physics, model finer horizontal grid spacing, and a combination of the two, again as a function of the time of the day, the season of the year, and the different meteorological phenomena predominant in the area, both with and without bias correcting the model output. Section 5 presents a summary and conclusions.

## 2 Dataset description

## 2.1 Observational dataset

Various in-situ, scanning, and profiling instruments were deployed and maintained by WFIP2 team partners who later provided quality controlled versions of the data. All data are available to the public from the DOE Data Archive and Portal (DAP; https://a2e.energy.gov/projects/wfip2). The list of instruments, deployed in nested arrays (with the outer scale of the order of 500\_km and the inner scale of the order of 2x2\_km, see Fig. 1a of Wilczak et al., 2019a), includes 3 449-MHz, 8 915-MHz radar wind profilers with radio acoustic sounding system temperature profiles, 19 sodars, 5 scanning lidars, 5 profiling lidars, 4 microwave radiometers, 10 microbarographs, a network of sonic anemometers, and many surface meteorological stations. 5 scanning lidars, 5 profiling lidars, 4 microwave radiometers, 10 microbarographs, a network of sonic anemometers, and many surface meteorological stations. An overview of the instrumentation capability and how the instruments were used for atmospheric process understanding and model verification and validation is presented in Wilczak et al. (2019a) and Olson et al. (2019a). Also, Pichugina et al. (2019) compared a full year of wind profiles from Doppler lidars at three WFIP2 sites to the operational (at the time of their study) HRRR-NCEP runs, showing how model errors varied from site to site, and highlighting several aspects on where HRRR-NCEP needed improvement.

In the current study, data collected at 22 remote sensing sites (19 sodars and 3 lidars) spanning the WFIP2 region are used, since their measurements cover the part of the atmosphere of most interest for wind energy. As measurements through the entire turbine-rotor layer were not always available, we decided to focus on the 80-m level when available, to avoid averaging the data over a variable depth layer of the atmosphere that could result, in some cases, to biasing the average toward values more representative of the lower part of the layer.

Some sites had a co-located sodar and lidar. In this situation the instrument with the highest data availability during the campaign was chosen. This choice led to the selection of the 19 sodars and 3 lidars listed in Table 1, where the latitude, longitude, elevation of the site, terrain complexity, percentage of data availability over the four reforecast periods, and the institution in charge of the instrument are also presented. The terrain complexity was computed as the standard deviation (in meters) relative to the average slope in a 6 by 6 km area (81 points) around the site using the HRRRNEST model topography. Although the focus of this study is on the 80-m wind speed statistics, we also examine the statistics of wind power generation, using a generic IEC Class 2 power curve to convert wind speed into power. Details for the conversion from wind speed into power are given in Wilczak et al. (2019b), while Wilczak et al. (2019a) and Djalalova et al. (2019) demonstrated that the equivalent wind power generation computed from these 22 remote sensors using the above mentioned above-mentioned curve is representative of the actual wind power generation over the entire BPA area. The geographical location of the 19 sodars and 3 lidars is provided in a map later in the manuscript Fig.1 of Djalalova et al. (2019), and a more comprehensive base map of all the instruments deployed for WFIP2 is presented in Wilczak et al. (2019a).

## 2.2 NWP Models

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The HRRR and the HRRRNEST are the models of interest in this study due to their small horizontal grid spacing, which is better suited for an area of complex terrain such as WFIP2. For the four reforecast periods (spring, summer, and fall 2016, and winter 2017), 24 hour forecasts were made with the HRRR and HRRRNEST, with output every 15 minutes, using initial conditions from the operational RAPid refresh model (RAP; Benjamin et al., 2016), with no additional data assimilation. The models were run twice a day, at 0000 UTC and 1200 UTC. For simplicity, we refer to the runs initialized at 0000 UTC as the Z00 runs, and at the runs initialized at 1200 UTC as the Z12 runs. The HRRRNEST output runs were delayed by 3 hours to avoid spin up problems, so that a gap in the HRRRNEST model output exists from forecast horizon 0000 to forecast horizon 0200 (from 0000 UTC — 0200 UTC for the Z00 initialized runs, and from 1200 UTC — 1400 UTC for the Z12 initialized runs). For this reason, in order to show meaningful comparisons between the models, we utilize only the forecast horizons 03–24 for the HRRR runs.

The reforecasts were run in both CTL and EXP configurations. Differences between the two include added parameterizations to the HRRR and HRRRNEST physics suite (i.e. representation of wind farms and of drag associated with subgrid-scale (SGS) topography in the HRRR), improvements to existing parameterizations (i.e. boundary layer and surface layer schemes, cloud-radiation interaction), and improvements to numerical methods (i.e. finite differencing of the horizontal diffusion).

WFIP2 model development/improvement focused on improving forecasts in complex terrain for wind energy applications. Improvements in operational NWP models usually target extreme weather events and near-surface weather in general, with little focus on the improvement of the forecast of wind speed at hub-height. Wind energy generation is especially abundant in regions of complex terrain where there are many forecasting challenges due to the complexity of the terrain-modulated flows and the feedback processes associated with them Thus, forecast errors in hub-height wind speeds can originate from various model components. For this reason, WFIP2 model development/improvement included a number of model components: the

boundary-layer and surface-layer schemes, the representation of drag associated with subgrid scale topography and wind farms, and the cloud–radiation interaction. Moreover, because of the complex terrain, special care had to be devoted to scale adaptive physical parameterizations.

While the reader is referred to Olson et al. (2019a; 2019b) for complete details on the improved model configurations, we provide a list with brief summaries of the set of model physical parameterizations and relevant numerical methods targeted for development in WFIP2:

1. Planetary boundary layer (PBL) local mixing: mixing length revision

The mixing length is the distance parcels are allowed to be displaced by turbulence processes, therefore depending on the size of the turbulent eddies. In the new formulation, the mixing length is independent of the height above ground and turbulent eddies are forced to be smaller than the depth of the model layer in strong stratification, thus improving maintenance of cold pools and stable boundary layers in general.

2. PBL non-local mixing: mass-flux scheme

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A mass-flux scheme was added to the original MYNN PBL scheme, making it an eddy-diffusivity mass-flux (EDMF) scheme and allowing for direct coupling of the sub cloud convective cores and the cloud layer above. This resulted in improved coverage of shallow-cumulus and improved profiles of temperature and humidity, while a smaller impact was found on low-level winds during the day.

3. Subgrid-scale (SGS) clouds and coupling to radiation

SGS clouds and coupling to radiation improves the downward shortwave forcing in shallow-cumulus and stratocumulus conditions. The primary impact is to improve the surface energy balance, which can then more accurately drive the turbulent mixing, while a small direct impact was found on low-level winds.

4. Drag due to SGS topography

The representation of drag due to SGS orography was added to the HRRR physics suite including surface drag due to gravity waves and form drag. While the SGS gravity wave drag acts in stable PBLs and the form drag acts for all stabilities, form drag has a smaller impact than the gravity wave drag at the high resolutions of the HRRR, and neither are active in the HRRRNEST. This addition improves the maintenance of cold pools by reducing the near-surface wind speeds (and wind speed bias), while also reducing the near surface vertical wind shear in stable conditions.

5. Surface layer scheme

In the Monin-Obukhov theory the flat-terrain approximation implies that all fluxes (momentum, heat and moisture) happen in the vertical, but this approximation becomes unrealistic in complex terrain. For this reason, the new surface layer scalar flux algorithm now includes horizontal fluxes.

6. 3D turbulence scheme

While typically horizontal turbulent mixing is calculated with no direct communication with the parameterized vertical mixing, the impact of horizontal fluxes can now be of similar magnitude as the vertical fluxes, improving the representation of fine-scale turbulence. The expected benefits are mostly found at sub-kilometric scales.

## 7. Horizontal finite differencing

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Horizontal diffusion is now performed in Cartesian space instead of terrain-following sigma coordinates. This option is a replacement to mixing along sigma coordinates, which can produce artificial vertical mixing in steep terrain. This change improves the maintenance of cold pools by no longer mixing vertically when model vertical coordinates follow steep terrain.

## 8. Wind-farm parameterization

A representation of wind-farm drag was introduced by adopting the Weather Research and Forecasting (WRF) wind farm parameterization (Fitch et al. 2012, 2013a, and 2013b). The inclusion of this parameterization improves a high wind speed bias within wind farms but can contribute to a slight low wind speed bias near wind farms.

The biggest improvements in the reforecasts were found from 1, 3, and 4the boundary layer, finite differencing, and the SGS drag, which improved the representation of turbulent mixing in stable boundary layers (Olson et al. 2019a; 2019b). The reader is referred to Olson et al. (2019a; 2019b) for more details on the differences between the CTL and EXP model configurations. Details of the simulations used in this analysis are as follows. For the four reforecast periods (spring, summer, and fall 2016, and winter 2017), 24-hour forecasts were made with the HRRR and HRRRNEST, initialized twice per day at 00 and 12 UTC, using initial conditions from the operational RAPid refresh model (RAP; Benjamin et al., 2016), with no additional data assimilation, and with output available every 15 minutes. For simplicity, we refer to the runs initialized at 0000 UTC as the Z00 runs, and the runs initialized at 1200 UTC as the Z12 runs. The reforecasts were run in both CTL and EXP configurations, with the EXP configuration including all the improved parameterizations. The 3-km HRRR is directly initialized off of the 13-km RAP grid, so there is a spin-up period associated with the model atmosphere adjusting to the higher resolution terrain, which typically has much higher mountain peaks and lower valleys in the HRRR relative to the RAP. This spin-up problem would be even more exaggerated if the HRRRNEST was directly initialized from the RAP model atmosphere, so to minimize this problem, we chose to allow the HRRR model atmosphere to spin-up for 3 hrs before we initialized the HRRRNEST from the HRRR 3-hr forecast. Therefore, the HRRRNEST output runs were delayed by 3 hours to ameliorate these spin-up problems., so that a gap in the HRRRNEST model output exists from forecast horizon 0000 to forecast horizon 0200 (from 0000 UTC - 0200 UTC for the Z00 initialized runs, and from 1200 UTC - 1400 UTC for the Z12 initialized runs). For this reason, in order to show meaningful comparisons between the models, we utilize only the forecast horizons 03-24 for the HRRR runs also.

For our analysis, in order to compare to the observations, the 80-m wind field is obtained from model output horizontally bilinearly interpolating to the 22 site locations using the 4 closest grid points, and linearly vertically interpolating the two closest heights (approximately 36 and 83 m). For our analysis, in order to compare to the observations, the 80 m wind field model

output is horizontally bi-linearly interpolated to the 22 site locations using the 4 closest grid points. The HRRR has relatively coarse vertical resolution, with only five full model layers below 200 m, but the middle of the third layer is very close to 80-m AGL, so a linear interpolation does not <u>have a significant</u> negatively impact <u>on</u> the accuracy <u>inof the</u> estimated in 80-m wind speeds.

The observations were also averaged and interpolated in time over the 15-minute model output times (most of the observations were already at a 15 min interval, but some were at a 10 min interval or less), and linearly interpolated to the 80-m level.

## 3 Bulk statistical results of 80-m wind speed forecasts

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In this section we examine the diurnal variation of 80-m wind speed MAE and bias at all sites and the seasonal variation of MAE and biases from the four reforecast periods to identify the dependence of the statistics on the time of the day, model initialization time, forecast horizon, and season. The dependence on the elevation of the site is also investigated.

## 3.1 Statistical results as a function of the time of the day, model initialization time, forecast horizon, and season of the year

The 80-m wind speed MAEs, averaged over the 19 sodars and 3 lidars, show a clear diurnal pattern (Fig. 1). Each of the four reforecast runs (HRRR CNT is in red, HRRR EXP in blue, HRRRNEST CNT in yellow, and HRRRNEST EXP in black) is averaged over the four reforecast periods in the upper panel (a), while the lower panels (b-e) show the four reforecast periods separately. Initialization times are represented with the O's (Z00 runs) and with the X's (Z12 runs), while the averages between these values are in solid, bold lines are the averages between the Z00 and Z12 values. The 80-m wind speed MAEs show a clear diurnal pattern, consistent among all model runs, with larger average MAEs during stable atmospheric conditions at nighttime (LST = UTC-8) falling mostly between 2 and 2.4 m s<sup>-1</sup>, with significantly smaller values during daytime (unstable atmospheric conditions), ranging between 1.6 and 1.8 m s<sup>-1</sup> (panel a). For reference, the insert of panel a of Fig. 1 presents the diurnal cycle of the averaged observed 80-m wind speeds for the four reforecast periods, showing that 80-m wind speeds are higher at nighttime, particularly in summer and to a lesser extent in spring (contributing to MAE to be larger at nighttime compared to daytime), but less so in fall and winter. In addition to the larger values of MAE found at nighttime, the reforecast runs also show larger differences between the models. In contrast, during daytime not only are the MAEs smaller, but the differences between the four models reforecast runs are also smaller. Figure 1 can be used to Eexamineing the dependence of MAE on initialization time and forecast horizon. In particular, the Z00 MAEs are smaller than the Z12 MAE values for UTC times soon after the Z00 initialization time (for the first part of the day O lines are below X lines). and In contrast the Z12 MAEs tend to be smaller than Z00 values for UTC times soon after the Z12 initialization time (for the second part of the day X lines are below O lines, except for HRRRNEST EXP), meaning that the MAE increases with the forecast horizon. Between forecast horizon and initialization time it is difficult to separate what has more importance in terms of contributing to MAE values. Certainly, for each of the model reforecast runs, the time of the day is more important at determining the MAE values than either the initialization time or the forecast horizon, as expected.

While on average the experimental physics and finer grid spacing lowers the MAEs over the four reforecast periods (Fig. 1, panel a: blue, yellow and black lines all show smaller MAEs compared to the red lines), the improvements are less consistent when looking at the four reforecast periods separately (panels b-e). In winter, the improvements are more robust, as explained in Olson et al. (2019a), due to better maintenance of cold pools which frequently happen in this area over the winter (Whiteman et al., 2001; McCaffrey et al., 2019; Whiteman et al., 2001), and which are investigated in detail in Section 4.4.

The biases of the 80-m wind speed also exhibit a diurnal cycle (Fig. 2). Again, the upper panel shows averages of the four reforecast periods and the lower panels display the four reforecast periods separately. The diurnal trend of the bias in the HRRR CNT is evident in the red curves, with positive biases at nighttime (stable atmospheric conditions), averaging 0.7 m s<sup>-1</sup>, and negative values during daytime (unstable atmospheric conditions), down to -0.4 m s<sup>-1</sup> (panel a). The diurnal trend for the HRRR CNT is also clear for the four reforecast periods separately (panels b-e). The HRRR EXP reforecast runs (blue curves) tend to eliminate the diurnal trend in all reforecast periods, because of the differences in the treatment of boundary-layer turbulence in unstable and stable conditions, but lowers the bias significantly, leading to a negative average value of ~-0.7-6 m s<sup>-1</sup> (panel a). A possible reason for such behaviour in the HRRR EXP runs can be found in the representation of drag due to SGS orography (Steeneveld et al., 2008; Tsiringakis et al., 2017) added to the HRRR physics suite. This new representation is only active in the HRRR, but not in the HRRRNEST due to its finer grid spacing (Olson et al., 2019a). While the expected benefit of such improved representation of the drag is to decrease the high wind speed bias in stable conditions often found in the HRRR, the detriment in this case seems to be a too large decrease in wind speed. The addition of wind turbine drag from the wind farm parameterization also contributed to the low wind speed bias, but to a lesser degree. Due to the results found in this study and in other WFIP2 related studies, ways to revisit the treatment of the drag due to sub-grid scale orography are under consideration. Finally, The diurnal trends in the MAE and biases are results is much smaller in the winter than in other seasons. This result could also be due to differences in the treatment of boundary-layer turbulence in unstable and stable conditions. Similar results were found by Berg et al. (2019) in their study of the sensitivity of winds simulated using the Mellor-Yamada-Nakanishi-Niino planetary boundary-layer parameterization in the Weather Research and Forecasting model.

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While the HRRRNEST reforecast runs (CNT in yellow and EXP in black) reduce the bias compared to their respective both HRRR simulations it is not clear yet if the HRRRNEST EXP is better than the HRRRNEST CTL or vice-versa. Similar to the MAEs, differences between the four reforecast runs are larger at nighttime and smaller at daytime (when the biases are consistently mostly negative).

Figure 3 displays the 80 m wind speed MAEs (on the left) and biases (on the right) averaged over all sites, and over all reforecast horizons from 03 to 24, for the four separate reforecast periods. For the MAEs of the 80-m wind speed, presented in the left panel of Fig. 3, show that the HRRR EXP (in blue) does better than the HRRR CNT (in red) in fall and in winter, but not in spring nor summer. MAEs of the HRRRNEST CNT (in yellow) are better than those of the HRRR CNT (in red), and the HRRRNEST EXP (in black) is now almost always better than the other models. Biases, presented on the right panel

of Fig. 3, show values in the HRRR EXP (in blue) have becominge way too more negative (caused by the additional orographic drag employed in the HRRR EXP) compared to the HRRR CNT (in red); but by too much in the spring, summer and fall. Future revisions of the orographic drag in the HRRR will address this issue. The HRRRNEST EXP (black) is better than the HRRRNEST CNT (in yellow) only in the fall and winter, and again it is not clear that one of these two models has a demonstrably better overall bias.

The results of this section indicate that the time of the day is of primary importance in terms of MAEs and biases, while the model initialization time and the forecast horizon are of secondary importance. Consequently, the remaining statistical analysis is carried out averaging the Z00 and Z12 runs.

### 3.2 Statistical results as a function of the site elevation

As evident from Table 1, the 22 sites used for this analysis have very different elevations (ranging from 63 m asl at Rufus – RFS, to 991 m asl at Prineville — PVE), as well as different surrounding topographic variability. In this section, we investigate the dependence of the model error statistics on the site elevation. In Fig. 4 (panels a, b, c, and d) the results for the 80-m wind speed normalized bias, averaged over the two model initialization times, and over all forecast horizons from 03 to 24, are presented for the four reforecast periods. Sites are sorted from low to high elevation (from Rufus on the left to Prineville on the right) and biases are normalized by the averaged (observed) 80-m wind speed at each site. On the right axes of panels a, b, c, and d of Fig. 4, we show (as dotted black lines) the averaged 80-m wind speed at each site for each reforecast period. These averages show some dependence on site elevation in fall and winter, most likely caused by cold pool events with lower wind speeds confined to the sites at lower elevation. We also note that sites at higher elevation do not have higher 80-m wind speeds than sites at lower elevation, neither in summer nor spring. The topography of the area with the location of the sites is in Fig. 20 4, panel e. The biases presented in Fig. 4 show that the diurnally and seasonally averaged biases are smaller (and often negative) at lower elevations, with a positive trend with increasing elevation. In particular, the HRRR CNT (red) has the largest positive bias at high elevations in winter which is likely due to the premature mix-out of cold pools occurring preferentially at higher elevations first, which can lead to longer periods of time with a positive wind speed bias, consistent with that described in Wilezak et al. (2019a) and Olson et al. (2019a). As in Fig. 2, HRRR EXP runs (in blue) always show the lowest bias, almost always negative, particularly at the lowest elevation sites. When not normalized by the averaged wind speed at the site (not shown) the trend was consistent with that shown in Fig.4, but even more accentuated. In contrast, a similar analysis but for MAE normalized by the averaged 80-m wind speed at each site (not shown) did show a mostly neutral dependence on site elevation (with a slight decrease with site elevation).

Although it is not clear at this point what is the physical reason for the models having a normalized bias dependent on site elevation (it may be due to the characteristics of the atmospheric phenomena predominant in this area, and challenging to forecast), it is important to know that in an area of complex terrain like that of WFIP2 this dependence exists. The dependence of the bias on the elevation indicates that a post-processing bias correction of the model should be done at each site independently.

In contrast, a similar analysis but for MAE normalized by the averaged 80-m wind speed at each site (not shown) did show a mostly neutral dependence on site elevation (with a slight decrease with site elevation).

Terrain complexity is not as powerful of a predictor of model bias as site elevation. A similar analysis to that presented in Fig. 4 was performed but sorting the sites by the complexity of the surrounding terrain (see Table 1). In this analysis (not shown) the trend of 80-m wind speed MAE and bias was not clearly defined.

## 4 Improvements to the statistics due to the experimental physics and finer horizontal grid spacing

In this section we examine the statistical significance and percentage improvement in the model forecast of 80-m wind speed and power. The improvements are analyzed in terms of the new physics (EXP vs CNT runs) as well as horizontal grid spacing of the models (HRRRNEST vs HRRR runs), first separately and then combining the impact of the two (HRRRNEST EXP vs HRRR CNT). Finally, we evaluate the dependence of the improvements on the dominant meteorological phenomena of the area (Shaw et al., 2019), including cold pools (McCaffrey et al., 2019; Whiteman et al., 2001; Zhong et al., 2001; McCaffrey et al., 2019), gap flows (Sharp and Mass 2002; 2004), easterly flows (Neiman et al., 2018), mountain waves (Durran 1990; 2003), topographic wakes, and convective outflows (Mueller and Carbone, 1987).

## 4.1 Impact of experimental physics (CNT vs EXP runs)

The impact of the experimental physics in the HRRR runs (HRRR EXP vs HRRR CNT) is almost always positive <u>for wind speed and power</u>. Percent improvement and statistical significance is shown in Fig. 5 for 80-m wind speed (left panels) and 80-m wind power (right panels). These results are obtained averaging all sites together, over the two model initialization times (forecast horizon from 03 to 24), and over the four reforecast periods. The <u>upper panels display the <u>vDiurnal variations</u> of <u>diurnal-MAE</u> (HRRR CNT in red and HRRR EXP in blue) are presented in the <u>upper panels of Fig.5</u>, while-<u>and</u> the middle panels show differences between MAEs of the HRRR CNT run and MAEs of the HRRR EXP run\_-E(error bars represent the ±1.96σ/\(\sigma\) in interval of this difference, (where the number of points, n, is reduced by the autocorrelation of the models runs), with a 95% confidence level chosen). Finally, the percentage MAE relative improvement of the HRRR EXP model over the HRRR CNT model (defined as 100 × (MAE HRRR CNT – MAE HRRR EXP) / MAE HRRR CNT) is shown in the lower panels of Fig. 5. show the percentage MAE relative improvement of the HRRR EXP model over the HRRR CNT model (defined as 100 × (MAE HRRR CNT – MAE HRRR CNT). From the bottom panels of Fig. 5 Almost always positive values we see that (improvements) are foundare almost always positive, up to a maximum of 8% in 80-m wind speed MAE and 10% in 80-m wind power MAE. The impact on 80-m wind power is larger because the power increases approximately as the cubic power of the wind speed in the range of speeds between 5-12 m s<sup>-1</sup> (International Electrotechnical Commission, 2007).</u>

## 4.2 Impact of model finer horizontal grid spacing (HRRRNEST vs HRRR)

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Improvements due to finer horizontal grid spacing are larger than those due to the experimental physics. The impact of the finer horizontal grid spacing in the control runs (HRRRNEST CNT vs HRRR CNT) is shown in Fig. 6 for 80-m wind speed (left panels) and 80-m wind power (right panels). MAE values in the upper panels are in red for the HRRR CNT runs and in yellow for the HRRRNEST CNT. In the bottom panels of Fig. 6 we see a large percentage improvement in MAE due to finer horizontal grid spacing, particularly at nighttime and during the morning transition (approximately between 0100 UTC and 1500 UTC). Improvements due to finer horizontal grid spacing are larger than those due to the experimental physics in Fig. 5, with values now up to 10% in 80-m wind speed MAE and up to 15% in 80-m wind power MAE. The percentage improvements are smaller during daytime, when the HRRR model with larger horizontal grid spacing had lower MAE compared to nighttime. In Fig. 7 we compare the improvements in 80-m wind speed MAE due to the experimental physics (left panels) from the HRRR (shown previously in Fig. 5) with those found in the HRRRNEST, and the improvements due to finer horizontal grid spacing (right panels) from the CNT simulations (shown previously in Fig. 6) with those found in the EXP simulations. The dark blue curve shows the impact of the experimental physics on the models with larger horizontal grid spacing (HRRR EXP vs HRRR CNT), while light blue shows the impact of the experimental physics on the models with finer horizontal grid spacing (HRRRNEST EXP vs HRRRNEST CNT). The red curve shows the impact of finer horizontal grid spacing on the CNT runs (HRRRNEST CNT vs HRRR CNT), while the impact of finer horizontal grid spacing on the EXP runs (HRRRNEST EXP vs HRRR EXP) is shown in orange. When averaged over the four reforecast periods, the impact of the experimental physics (left upper panel) is quite similar between the higher and finer horizontal grid spacing models, however when considering the four reforecast periods separately (lower left smaller panels) the impact varies considerably. For example, in summer the impact of the experimental physics on the HRRRNEST is mostly neutral (light blue curve), while in the HRRR it is actually producing a negative impact (dark blue curve). In contrast, while the impact of the experimental physics is positive for both horizontal grid spacings in winter, it is very positive for the HRRR (dark blue curve). This variation could be due to changes in the physics that are grid-spacing dependent, making the impact different for HRRR and HRRRNEST. Similar considerations can be made for the improvement due to finer horizontal grid spacing (right panels). When averaged over the four reforecast periods (right upper panel) the impact of the finer horizontal grid spacing is similar between the models with different physics. However, for the winter reforecast period (lower right panel) the impact of the finer horizontal grid spacing on the EXP runs is mostly neutral (orange curve), while for the CNT runs it is clearly positive (red curve).

## 4.3 Impact to the statistics due to the experimental physics and finer horizontal grid spacing (HRRRNEST EXP vs HRRR CNT)

As a final step of the analysis, the combined impact on 80-m wind speed MAE of the experimental physics and finer horizontal grid spacing, comparing the HRRRNEST EXP to HRRR CNT is shown in Fig. 8. Consistent with the results presented in the previous sections, we find that the combination of the experimental physics and finer horizontal grid spacing produces even larger improvements, always positive and up to a maximum of 14% in the 80-m wind speed MAE (lowest left panel) and up

to a maximum of 18% in 80-m wind power MAE (lowest right panel). Again, larger improvements are found during nighttime and during the morning transition, with smaller improvement found during daytime when the models had lower MAEs.

To condense the results presented in this section, a summary plot with the percentage improvements on MAE due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together is presented in Fig. 9 (left panel is for 80-m wind speed MAE and right panel is for 80-m wind power MAE results). For this plot the results are averaged over all sites, between the two initialization times, and over all reforecast horizons between 03 and 24. Averaged over the four reforecast periods (bars on the right side of each panel) we see positive improvements due to the experimental physics in the HRRR (in dark blue) and HRRRNEST (in light blue) reforecast runs, up to ~3% in terms of 80-m wind speed MAE and ~4% in terms of 80-m wind power MAE. Finer horizontal grid spacing in the CNT (in red) and EXP (in orange) reforecast runs produces improvements of up to ~5% for 80-m wind speed MAE and ~7% for 80-m wind power MAE. In grey is the improvement due to the combination of the experimental physics and finer horizontal grid spacing (HRRRNEST EXP vs HRRR CNT), approximately 7% for 80-m wind speed MAE and ~11-12% for 80-m wind power MAE. Considering the individual reforecast periods, in winter the improvements due to the experimental physics are very large for the HRRR, as are those due to the combination of the experimental physics and finer horizontal grid spacing (13% for 80-m wind speed MAE and 21% for 80-m wind power MAE). Negative impacts to the improvement due to the changes in the physics of the HRRR (dark blue bars) are found in spring and summer, down to ~-7% for 80-m wind speed MAE and ~-10% for 80-m wind power MAE. What causes the dark blue bar in summer 2016 to be so negative? To answer this question, in the next section we investigate the improvements as a function of the different meteorological phenomena characteristic of this area (cold pools, gap flows, easterly flows, mountain waves, topographic wakes, and convective outflows).

## 4.4 Statistical results as a function of the different meteorological phenomena

The improvements due to the experimental physics and finer horizontal grid spacing (and to the combination of the two) as a function of the different meteorological phenomena common to this area are presented in Fig. 10. For this analysis we take advantage of the WFIP2 Event Log, which was created and updated regularly during WFIP2 by several meteorologists documenting the meteorological conditions of relevance in the area and is available on the DAP (Shaw et al., 2019). The WFIP2 meteorologists based their classification of events on WFIP2 observations and other surface observations, real-time and global model forecasts, satellite images, and local radio-soundings. In the Event Log document, days and characteristics of the different meteorological phenomena were recorded, with the possibility that on some days multiple phenomena could occur at the same time. Although the categorization of the days into different meteorological phenomena involves a certain level of subjectivity, the final classification process involved weekly meetings during the field study with meteorologists on the project team, many with operational forecasting experience in this geographic area, during which a consensus was reached by the team, making us confident that other meteorologists would agree with the classifications we used. The Event Log is accessible to the public (available on the DAP, https://a2e.energy.gov/projects/wfip2).generally had the concurrence of several meteorologists, and so we believe the results are consistent and robust. For the plot in Fig. 10 the results are averaged over all

sites, between the two initialization times, over all reforecast horizons between 03 and 24 and over the four reforecast periods. The number of days over which each specific phenomenon takes place is in the parentheses on the x-axis label. On the far right are the improvements averaged (weighted by the number of cases) over all the different phenomena. Since on some days multiple phenomena might occur at the same time, same days can be counted multiple times in the average, which consequently is not exactly the same as that in Fig. 9. From this analysis there is no improvement in the 80-m wind speed MAE due to the modifications in the physics of the HRRR (in dark blue) for mountain waves and topographic wakes, while for the other meteorological phenomena the impact due to the experimental physics is positive. In truth, this figure does not tell the entire story.

As shown in Fig. 10, the number of days with gap flow events is very high (145), and if we plot the same figure separately for each of the four reforecast periods (Fig. 11), we see that the gap flow events are almost equally distributed over the four reforecast periods (34 in spring 2016, upper left panel; 41 in summer 2016, upper right panel; 38 in fall 2016, lower left panel; and 32 in winter 2017, lower right panel). For gap flow events, model performances can be different from season to season due to the fact that their nature differs from season to season (being thermally forced in summer and synoptically forced in fall and winter). Mountain wave (54 days in total) and topographic wave events (30 days in total) are also distributed over all reforecast periods. From Fig. 11 we can say that the impact of the experimental physics and finer horizontal grid spacing on 80-m wind speed MAE during gap flow, mountain waves and topographic wakes situations differs from season to season (negative in spring and summer and positive for fall and winter).

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Consequently, the negative blue bar in spring and summer extending toward negative values, visible in Fig. 9 is not only due to the negative impact of mountain wave and topographic wake days, but also to gap flow days in spring and summer (upper right and lower left panels of Fig. 11). From Fig. 11 we also note that easterly flow is a category with a more consistent impact, always being improved by the experimental HRRR physics. Cold pool events are also consistently improved by the experimental HRRR physics; this type of event happens mostly in fall and winter (only one event is found in spring, therefore its impact cannot be considered statistically significant).

To better understand the reasons for the lack of MAE improvement in the HRRR EXP vs HRRR CNT runs during diurnal gap flow days in summer, in Fig. 12 we present the aggregated time series of 80-m wind speed MAE (upper panel) and wind speed (lower panel) for the 22 sites for part of the summer reforecast period (all of the summer reforecast period shows a similar behaviour). HRRR CNT is shown in red, HRRR EXP is in blue, and observations are in black. In the lower panel, gap flow days are highlighted with the red shaded areas. From the time series in the upper panel of Fig. 12, we see that the 80-m wind speed MAE of the HRRR EXP (blue line) is often larger than that of the HRRR CNT (red line). For almost all of the gap flow days the HRRR EXP forecasts the down-ramp too early at the end of each daily gap flow event, compared to the observations and to the HRRR CNT. Similar results were found for the spring reforecast period (not shown).

Although from Fig. 11 we see the experimental physics generally improves the HRRR during cold pool events, we next examine details of the when and how this improvement occurs. Fig. 13 is similar to Fig. 12, but for part of the winter reforecast period. In the lower panel, days identified in the Event Log as experiencing cold pools are highlighted with the blue shaded

areas. In the time series shown in the upper panel of Fig. 13, a period when the 80-m wind speed MAE of the HRRR EXP (blue line) is larger than the HRRR CNT (red line) is highlighted with the red oval, while at a later time (inside the blue oval) the opposite is true. Differences between these cold pool events were examined using the WFIP2 real-time model observation evaluation website (http://wfip.esrl.noaa.gov/psd/programs/wfip2/). This website was used through the duration of the WFIP2 field campaign for daily monitoring of model forecasts and instrument health (Wilczak et al., 2019a).

Time-height cross sections (not shown, but available from the WFIP2 real-time model observation evaluation website) of microwave radiometer temperature (upper panels), and winds from the radar wind profiler superimposed on radio acoustic sounding system virtual temperature (lower panels) at Wasco, OR, for January 4, 2017, (left) and January 19, 2017, (right) are presented in Fig. 14. From the cross sections of both instruments we see revealed that the cold pool at the beginning of January (left panels) is brought in by sustained easterly winds and has weaker stable stratification compared to the cold pool event in the second half of January (right panels), which is characterized by very low wind speeds close to the surface and more strongly stable stratification. Thus, although these periods are both listed as cold pool events, they have different atmospheric characteristics. In the first case the experimental physics in the HRRR EXP run does not help the model to outperform the HRRR CNT, while in the second case it does. A large wind speed deficit in the HRRR EXP forecast on January, 4, 2017 (visible in the red oval in the lower panel of Fig. 13) might occur because the HRRR EXP model has too much drag due to the SGS and/or because of the wind farm parameterization, with wind farms just upwind, east of Wasco. In contrast, in January 18, 2017 a large wind speed excess in the HRRR CNT forecast (visible in the blue oval in the lower panel of Fig. 13) occurs because of 1) not enough drag in the HRRRR CNT to reduce the strong winds immediately above the cold pool, 2) too much mixing at the top of the cold pool, which may be due to too large mixing lengths, and 3) to "horizontal" mixing along sloped sigma coordinates, which contribute to vertical mixing. Given the very different wind and stability profiles characteristics of the two cold pool events, having routinely available observations of these profiles and assimilating them into the models would likely improve their short- term forecast skill. The need of a network of ground-based profiling instruments to improve numerical weather prediction and operational forecasting is also strongly advocated by the National Research Council (2009).

### 4.5 Bias correction impact on the improvements

Next, we evaluate whether the improvements measured in the previous sections are mainly due to reducing the biases of the models (the systematic component of the error) or if the model improvements also address the random component of error. To this aim the model 80-m wind speed output needs to be bias corrected before the bulk statistics and the relative improvements can be computed. Several methods have been investigated in the literature to remove the systematic component of the error from model outputs. For this study—we think that, due to the nature of the 80-m wind speed biases presented in Fig. 2, at least two possible bias correction methods have to-been considered. The first one would be to-removes the mean bias from each model, at each site, and for each reforecast period separately ("mean bias"). The second method would be to removes the mean bias from each model, at each site, for each of the reforecast periods and for each of the hour of the day separately ("diurnal bias"). Since, as it—is clear from Fig. 2, the nature of the bias differs among the models, we examined the impacts of both of

these simple bias correction methods. In Fig. 15-14 we present similar the same results to those presented in the left panel of Fig. 9, but after applying the "mean bias" correction (Fig. 14, upper panel) and the "diurnal bias" correction (Fig. 14, lower panel). In both cases, the methodology used to apply the bias correction was to split the dataset into two parts, determine the bias correction on the first half and evaluate it independently on the second half of the dataset.

The "mean bias" correction enhances the improvement due to the experimental physics in the HRRR and HRRRNEST models so that it is positive for all reforecast periods (blue and light blue bars, comparing Fig. 15-14 to Fig. 9). This improvement indicates that the experimental physics improves the random component of the model error, even if the experimental physics might degrade the systematic component: the right panel of Fig. 4 shows that the bias of the HRRR EXP model is larger than the bias of the HRRR CTL model. In comparison, applying the "diurnal bias" correction also increases the improvement due to the experimental physics (dark blue and light blue bars) over all reforecast periods and for their average, while the improvements due to finer horizontal grid spacing in the models (red and orange bars) actually decrease.

## 4.6 Impact of model improvements on other key meteorological variables

Although the scope of the study presented in this manuscript is to measure the impact of the improved model parameterizations on the forecast of 80-m wind speeds, it is important to assess what improvements, if any, were brought to other key variables in the boundary layer. Olson et al. (2019a) considered this matter when comparing HRRR (CNT and EXP) model outputs to eight 915-MHz radar wind profilers in the WFIP2 region. The 915-MHz radar wind profilers observe through the planetary boundary layer, where the MAE wind speeds were found to be reduced over all four reforecast periods, especially at night and in winter (stable atmospheric conditions), with MAE reduced by up to 0.5 m s<sup>-1</sup> in the lower 300 m above ground level (agl), through most of the diurnal cycle. Some degradation was found in summer, for daytime, in agreement with our finding. The improvements on MAE of wind speed in the HRRNEST runs were much smaller over the deeper layer of the atmosphere observed by the 915 MHz radar wind profilers, being mostly localized in the rotor layer.

Another important variable considered by Olson et al. (2019a) was temperature, comparing the model runs to Radio Acoustic Sounding System virtual temperature measurements. For this variable the largest improvements were found in winter, with MAE of temperatures reduced by more than 0.5 C up to 400 m agl for the HRRR, but half of that for the HRRNEST.

Other key meteorological variables over which model improvements were measured by Olson et al (2019a) were 2-m temperature and 10-m wind speed comparing the upgraded models to the previous version over the entire CONUS domain. For these variables RMSE and biases were improved over both the eastern and western CONUS domains, proving that model improvements in one variable were verified in other variables as well.

## 5 Summary and conclusions

Measurements collected by 19 sodars and 3 lidars during the second Wind Forecast Improvement Project (WFIP2), an 18month field campaign in the Columbia River Gorge and Basin area, were used to validate model runs by the High Resolution Rapid Refresh (HRRR) model (3 km horizontal grid spacing) and its nested version (HRRRNEST, 750 m horizontal grid spacing).

The models were run for four 6-week reforecast periods (one for each season) in control (CNT) and experimental (EXP) configurations, where the EXP runs included new parameterizations to the HRRR and HRRRNEST physics suites (i.e. representation of wind farms and of drag associated with subgrid-scale (SGS) topography in the HRRR), improvements to existing parameterizations (i.e. boundary-layer and surface-layer schemes, cloud-radiation interaction), and improvements to numerical methods (i.e. finite differencing of the horizontal diffusion). Results showed that:

- 80-m wind speed MAE and bias vary significantly through the diurnal cycle, with time of day being more important at determining the 80-m wind speed MAE and bias values than either the initialization time or the forecast horizon.
- The HRRR EXP reforecast run reduces the diurnal trend in the bias, but results in a near constant negative bias, possibly by exaggerating the drag due to sub-grid scale orography added to the HRRR physics suite (but not added to the HRRRNEST).

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- The 80-m wind speed biases have lower values (often negative) at lower elevations, but increase with the site
  elevation. Differences in the sub-grid scale terrain inhomogeneity did not help explain any of the bias or MAE in the
  results.
- The experimental physics in the HRRR reduces 80-m wind speed MAE by 3-4\_% and 80-m wind power MAE by 4-5%.
- Finer model horizontal grid spacing improves 80-m wind speed MAE in the control runs, particularly at nighttime and during the morning transition. Smaller improvements occur during daytime, when the larger horizontal grid spacing model had lower MAE than at nighttime. The finer horizontal grid spacing of the HRRRNEST produces average improves ment 80-m wind speed MAE values up to 5%, in 80 m wind speed MAE and 80-m wind power MAE.
- The combined impact on 80-m wind speed MAE of the experimental physics and finer horizontal grid spacing produces an even larger reduction in MAE, averaging 7-8% for 80-m wind speed and 11-12% for 80-m wind power.
- Improvements in MAE and bias due to the experimental physics and finer horizontal grid spacing depend on season but almost always are positive. However, in spring and summer, the experimental physics in the HRRR runs increases the 80-m wind speed MAE.
- The negative impact of the experimental physics on the HRRR MAE found in spring and summer results from degradation of the HRRR EXP on days experiencing gap flows, mountain waves and topographic wakes, and is probably due to the representation of drag in the HRRR EXP. In particular, for almost all of the summer gap flow days, the HRRR EXP predicts the down-ramps occurring at the end of the events too early.

- Although cold pool forecast skill improves due to the experimental physics in the models, different types of cold
  pools are predicted with varying skill. If routinely available observations of wind and stability profiles were
  assimilated into the models, short term forecast skill would likely improve.
- "Mean bias" and "diurnal bias" corrections of the 80-m wind speed model outputs demonstrated that the experimental physics improves both the systematic and the random component of the model errors. The impacts of the different bias corrections on the improvements due to finer horizontal grid spacing in the models are mixed.

The strength of WFIP2 came from many observational scientists and model developers working closely together, steering the observational-based process understanding to guide model improvements which were later transitioned into operations. The current analysis quantifies the skill added by improvements made to the models within four months towards the end of WFIP2. A model freeze was then imposed so that the models could be run in EXP and CNT configurations over the four chosen reforecast periods. Since the model code freeze, three research tasks related to better simulating the low-level wind speeds have been prioritized: first the inclusion of momentum transport in the new mass-flux component of the MYNN-EDMF, second modifying the small scale gravity wave drag to only parameterize small-amplitude gravity waves associated with subgrid-scale terrain undulations < 100 m, and third investigating the addition of a vertically distributed form drag as opposed to represent form drag only through the surface roughness length, which is probably only valid for horizontal grid spacing < 1 km, where the terrain is better resolved. The impact of the first tends to increase the near-surface wind speed in the convective boundary layer, which helps to correct the low wind speed bias we measured in WFIP2. The second and the third tasks are simply meant to revise the original representation of drag in the HRRR in order to make the parameterizations more physically meaningful. All of these model components need to be investigated at a variety of model resolutions to ensure the model parameterizations successfully adapt in behavior to only represent the physical processes that are truly not well-resolved within the model. Further improvements to the models, based on WFIP2 observations, continue to be made which will become part of the operational HRRR in the near future.

## **Authors' contribution**

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Laura Bianco, Irina V. Djalalova, and James M. Wilczak contributed with the data preparation, main analysis and organization of the results in the paper. Joseph B. Olson and Jaymes S. Kenyon worked at the improvements of the HRRR and HRRRNEST parameterizations, ran the models in CNT and EXP configurations, and contributed with useful discussion to improve the manuscript. Aditya Choukulkar contributed with the categorization of the atmospheric phenomena in the Event Log, with observational data, and with useful discussion to improve the manuscript. Larry K. Berg, Harindra J. S. Fernando, Eric P. Grimit, Raghavendra Krishnamurthy, Julie K. Lundquist, Paytsar Muradyan, Mikhail Pekour, Yelena Pichugina, Mark T. Stoelinga, and David D. Turner contributed with observational data and with useful discussion to improve the manuscript.

## Data and code availability

The operational HRRR model is not entirely open source (data assimilation/cycling scripts/etc), but updates to the model parameterizations used in the HRRR are deposited periodically to the official repository for the Advanced Research version of the Weather Research and Forecasting (WRF-ARW) model, maintained by the National Center for Atmospheric Research (NCAR), which is open source (<a href="https://github.com/wrf-model/WRF">https://github.com/wrf-model/WRF</a>). A branch from this repository was created for WFIP2 testing, based on WRF-ARWv3.9. This branch is currently stored at <a href="https://zenodo.org/record/3369984#.XVb6KpJKjUI">https://zenodo.3369984#.XVb6KpJKjUI</a> (doi:10.5281/zenodo.3369984)-<a href="https://github.com/joeolson42/WFIP2">https://github.com/joeolson42/WFIP2</a>. This branch is no longer under development and all improvements have been transferred to NCAR's official repository.

Details on the improvements applied to the HRRR and HRRRNEST parameterizations can be also found in Olson et al. (2019a).

All dataset used in this study are freely available to the public from the DOE Data Archive and Portal (DAP; https://a2e.energy.gov/projects/wfip2).

Please contact the corresponding author for additional details, if needed.

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Type of instr.	Site ident.	Lat (N)	Lon (W)	Alt (m asl)	Terrain complexity std (m)	Data availability (%)	Institution in charge
sodar	AON1	45.505	119.491	706	64	Spr 16: 96 Sum 16: 96 Fall 16: 91 Win 17: 33	Vaisala
sodar	AON2	45.554	120.156	356	13	Spr 16: 98 Sum 16: 98 Fall 16: 93 Win 17: 94	Vaisala
sodar	AON3	45.938	119.406	116	12	Spr 16: 97 Sum 16: 98 Fall 16: 92 Win 17: 84	Vaisala
sodar	AON4	45.637	120.680	432	34	Spr 16: 98 Sum 16: 97 Fall 16: 92 Win 17: 72	Vaisala
sodar	AON5	45.575	120.747	456	13	Spr 16: 99 Sum 16: 99 Fall 16: 93 Win 17: 95	Vaisala
sodar	AON6	45.516	120.781	731	81	Spr 16: 97 Sum 16: 84 Fall 16: 82 Win 17: 89	Vaisala
sodar	AON7	45.631	121.069	166	55	Spr 16: 97 Sum 16: 16 Fall 16: 0 Win 17: 86	Vaisala
sodar	AON8	45.602	121.589	703	98	Spr 16: 34 Sum 16: 0 Fall 16: 0 Win 17: 0	Vaisala
sodar	AON9	45.374	121.330	836	57	Spr 16: 0 Sum 16: 0 Fall 16: 0 Win 17: 51	Vaisala
sodar	BOR	45.816	119.812	112	6	Spr 16: 95	NOAA/ARL

						Sum 16: 96 Fall 16: 74 Win 17: 83	
sodar	CDN	45.245	120.169	891	25	Spr 16: 8 Sum 16: 37 Fall 16: 84 Win 17: 97	DOE/NREL
sodar	DCR	45.165	120.656	795	26	Spr 16: 96 Sum 16: 98 Fall 16: 97 Win 17: 92	DOE/NREL
sodar	GDL	45.805	120.849	501	16	Spr 16: 95 Sum 16: 98 Fall 16: 90 Win 17: 87	DOE/ANL
sodar	PVE	44.285	120.901	991	42	Spr 16: 96 Sum 16: 96 Fall 16: 92 Win 17: 57	NOAA/ARL
sodar	RFS	45.691	120.746	62	80	Spr 16: 48 Sum 16: 4 Fall 16: 11 Win 17: 23	UND
sodar	RTK	45.364	120.747	708	19	Spr 16: 94 Sum 16: 98 Fall 16: 89 Win 17: 41	DOE/PNNL
sodar	WCO	45.590	120.672	462	25	Spr 16: 81 Sum 16: 88 Fall 16: 69 Win 17: 71	NOAA/ARL
sodar	WWL	46.095	118.261	382	34	Spr 16: 91 Sum 16: 85 Fall 16: 83 Win 17: 97	DOE/ANL
sodar	YKM	46.572	120.551	330	19	Spr 16: 96 Sum 16: 73 Fall 16: 25 Win 17: 85	DOE/ANL
scanning	ARL	45.720	120.187	266	56	Spr 16: 100	NOAA/ESRL

lidar						Sum 16: 100 Fall 16: 28 Win 17: 95	
profiling lidar	GDR	45.516	120.780	725	81	Spr 16: 90 Sum 16: 90 Fall 16: 71 Win 17: 0	CU
profiling lidar	VCR	45.954	118.688	542	69	Spr 16: 93 Sum 16: 97 Fall 16: 78 Win 17: 45	LLNL

Table 1: List of the instruments used in this study with site identification name, latitude, longitude, elevation, terrain complexity, percentage of data availability, and institution in charge.

#### Figure captions

- Figure 1: Diurnally averaged 80-m wind speed MAEs for: HRRR CNT (red curves), HRRR EXP (blue curves), HRRRNEST CNT (yellow curves), and HRRRNEST EXP (black curves). Panel a) shows the MAEs averaged over the four reforecast periods, panel b) are MAEs for the spring 2016 reforecast period, c) for summer 2016, d) for fall 2016 and e) for winter 2017. Initialization times at 0000UTC (Z00) are represented with O's and at 1200UTC (Z12) with X's, while the solid bold lines are the averages between the Z00 and Z12 values. Red and blue arrows on the y-axes represent the sunrise and sunset times, respectively. Averaged observed 80-m wind speeds are presented in the insert of panel a) for the four reforecast periods for reference.
- Figure 2: As in Figure 1 but for the 80-m wind speed biases.
- Figure 3: 80-m wind speed MAEs (on the left) and biases (on the right) averaged over the four reforecast periods. Initialization times are represented with the O's (Z00 runs) and with the X's (Z12 runs), while the solid bold lines are the averages between the Z00 and Z12 values.
  - Figure 4: 80-m wind speed bias (model-observations) normalized by the averaged (observed, in dotted black lines) 80-m wind speed at each site for the four reforecast runs as a function of site elevation for the four reforecast periods separately: panel a) is for the spring 2016 reforecast period, b) for summer 2016, c) for fall 2016 and d) for winter 2017). Sites are sorted from low to high elevation (from Rufus at 62 m asl to Prineville at 991 m asl). Panel e): topography of the area and location of the sites.
  - Figure 5: Left panels: HRRR EXP vs HRRR CNT MAE for 80-m wind speed. Right panels: As on the left, but for 80-m wind power, showing the impact of the experimental physics. Upper panels are MAEs, middle panels are differences between MAEs of the HRRR CNT run and HRRR EXP run (error bars represent the  $\pm 1.96\sigma/\sqrt{-}$ n interval of the 95% confidence level), and lower panels are the percentage MAE relative improvement of the HRRR EXP model over the HRRR CNT model.
- 20 Figure 6: As in Fig. 5 but for HRRRNEST CNT (in yellow) vs HRRR CNT (in red) runs, showing the impact on 80-m wind speed MAE of finer model horizontal grid spacing.
  - Figure 7: Improvements in 80-m wind speed MAE due to the experimental physics (left panels) and finer horizontal grid spacing (right panels) for the four reforecast periods averaged together (upper panels) and for the four reforecast period separately (lower smaller panels) for all reforecast runs. In dark blue is HRRR EXP vs HRRR CNT, in light blue HRRRNEST EXP vs HRRRNEST CNT, in red is HRRRNEST CNT vs HRRR CNT, and in orange HRRRNEST EXP vs HRRR EXP. Red and blue arrows on the y-axes represent the sunrise and sunset times, respectively.
  - Figure 8: As in Fig. 6 but for HRRRNEST EXP (in black) vs HRRR CNT (in red) runs, showing the combined impact on 80-m wind speed MAE of the experimental physics and finer model horizontal grid spacing.
- Figure 9: Left panel: percentage improvements on 80-m wind speed MAE due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together. Right panel: Same as on the left, but for 80-m wind power MAE results.
  - Figure 10: Improvements due to the experimental physics (blue and light blue), finer horizontal grid spacing (red and orange), and to the combination of the two (gray) as a function of the different meteorological phenomena common to the WFIP2 area.
- Figure 11: Same as in Fig. 10, but for the four reforecast periods individually (spring, upper left panel; summer, upper right panel; fall, lower left panel; and winter, lower right panel).
  - Figure 12: Time series of 80-m wind speed MAE (upper panel) and 80-m wind speed (lower panel) for the summer reforecast period. HRRR CNT is in red, HRRR EXP is in blue, observations are in black. In the lower panel days identified in the Event Log as experiencing gap flows are highlighted with the red shaded areas.
  - Figure 13: As in Fig. 12, but for part of the winter 2017 reforecast period.
- 40 Figure 14: Time-height cross sections of microwave radiometer temperature (upper panels), and radio acoustic sounding system virtual temperature and winds from the radar wind profiler (lower panels) at Wasco, OR, for January 4, 2017 (left) and January 19, 2017 (right). Red and blue arrows on the y-axes represent the sunrise and sunset times, respectively.

Fig. <u>4514</u>. Percentage improvements on 80-m wind speed MAE (after bias correcting the model output) due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together. Upper panel: results using a "mean bias" correction; lower panel: results using a "diurnal bias" correction.

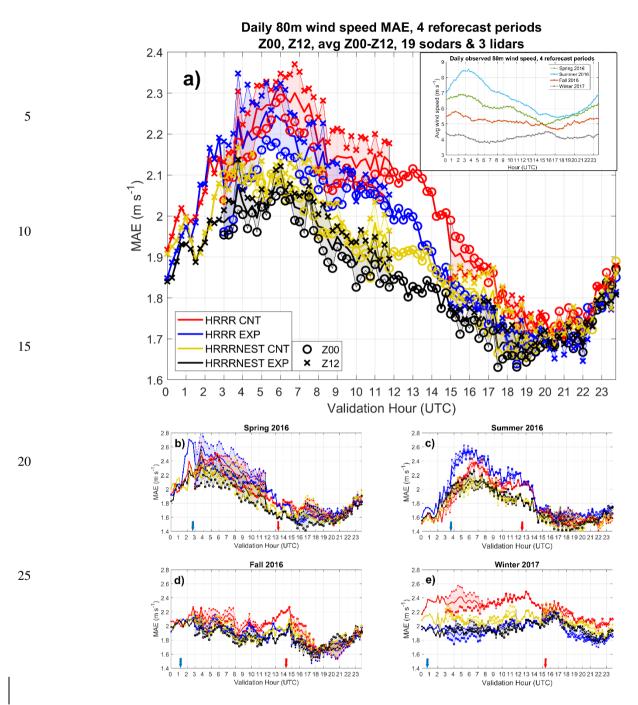


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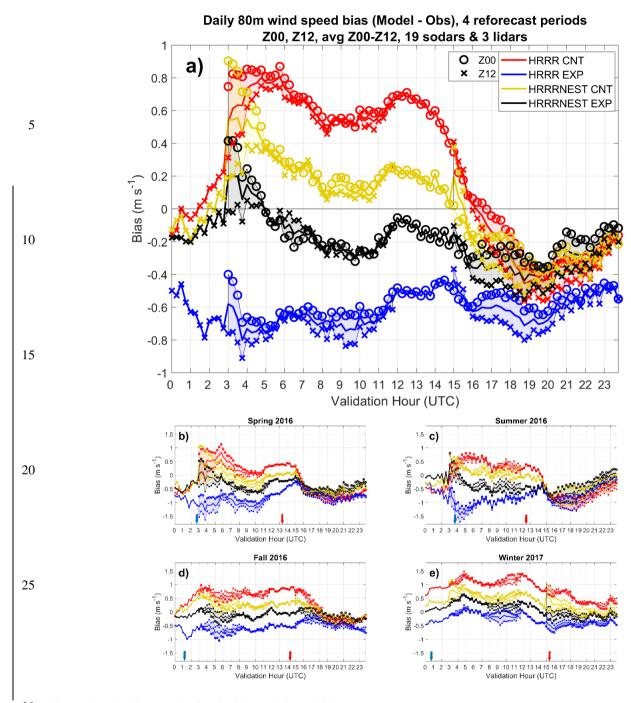


Figure 2: As in Figure 1 but for the 80-m wind speed biases.

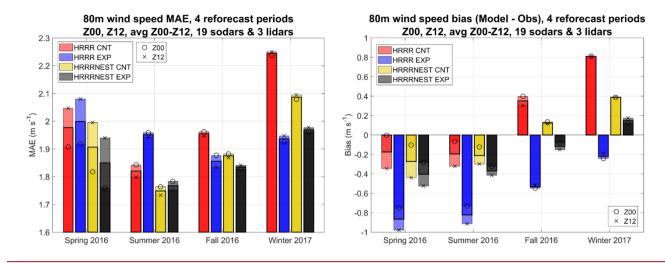


Figure 3: 80-m wind speed MAEs (on the left) and biases (on the right) averaged over the four reforecast periods. Initialization times are represented with the O's (Z00 runs) and with the X's (Z12 runs), while the solid bold lines are the averages between the Z00 and Z12 values.

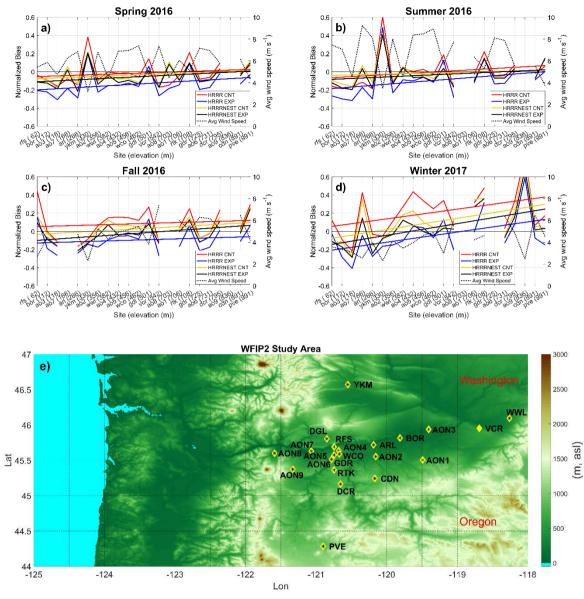


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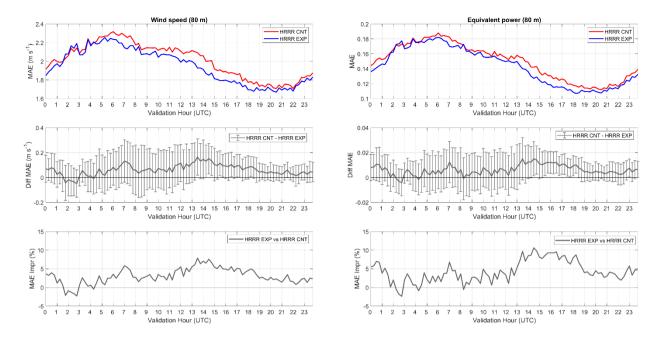


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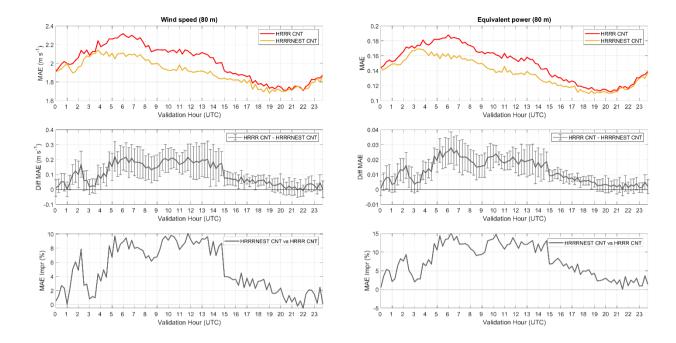


Figure 6: As in Fig. 5 but for HRRRNEST CNT (in yellow) vs HRRR CNT (in red) runs, showing the impact on 80-m wind speed MAE of finer model horizontal grid spacing.

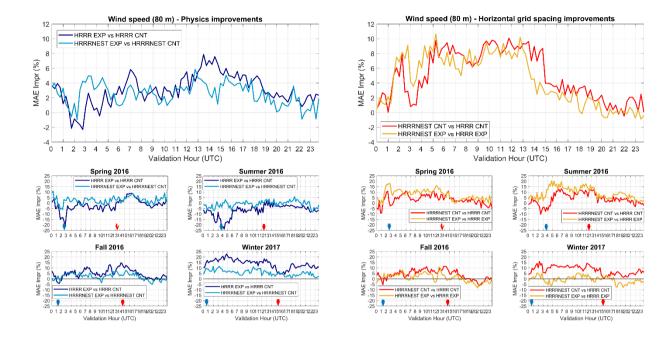


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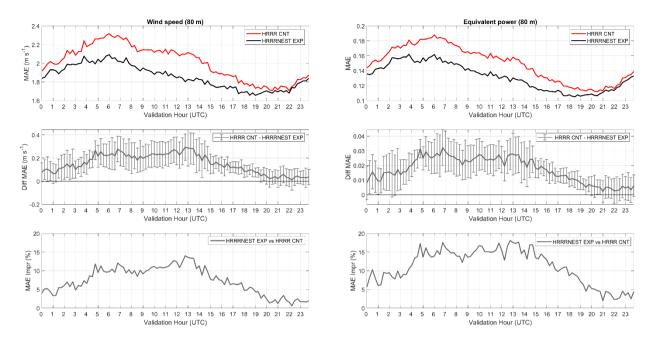


Figure 8: As in Fig. 6 but for HRRRNEST EXP (in black) vs HRRR CNT (in red) runs, showing the combined impact on 80-m wind speed MAE of the experimental physics and finer model horizontal grid spacing.

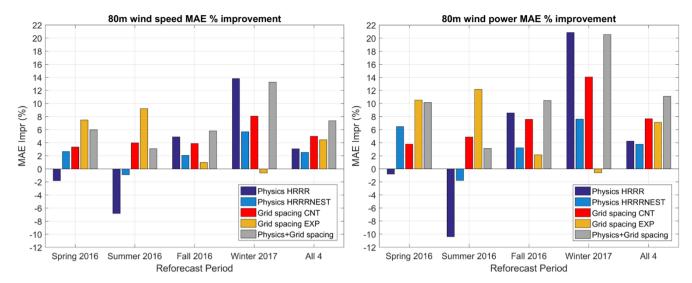


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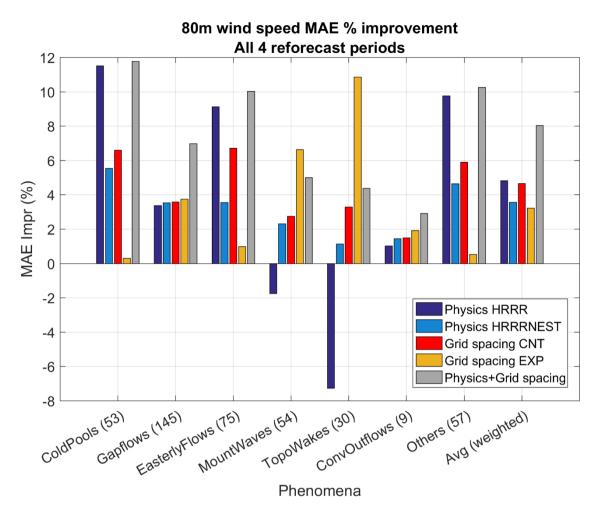


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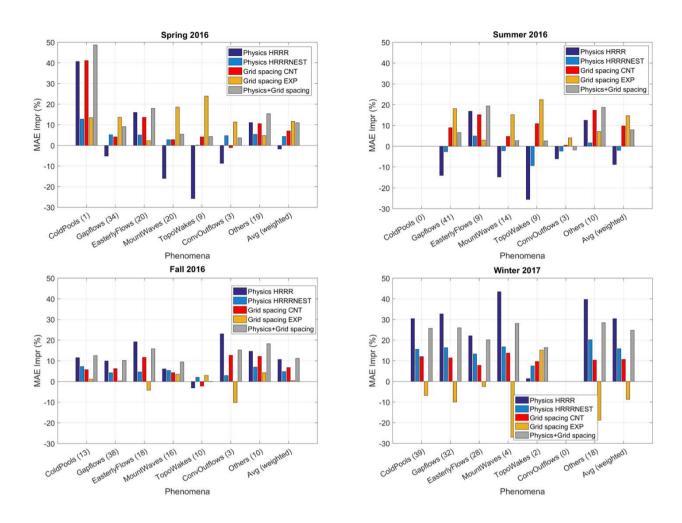


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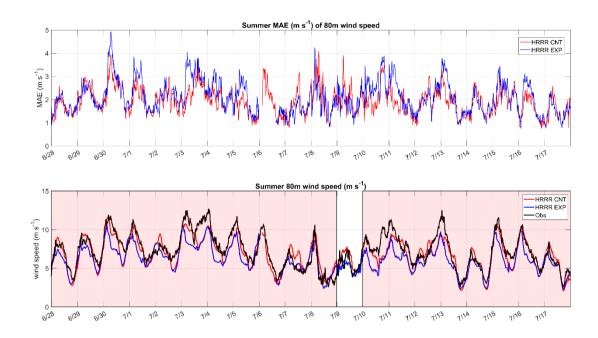


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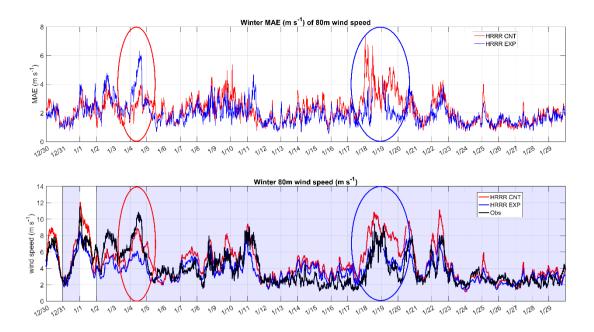
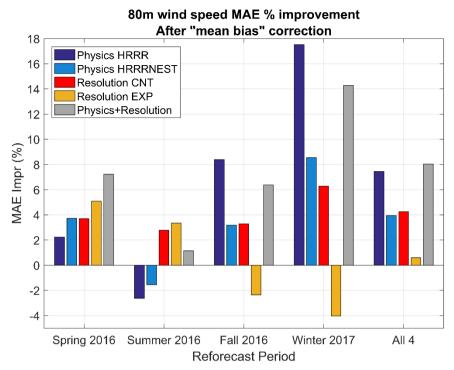


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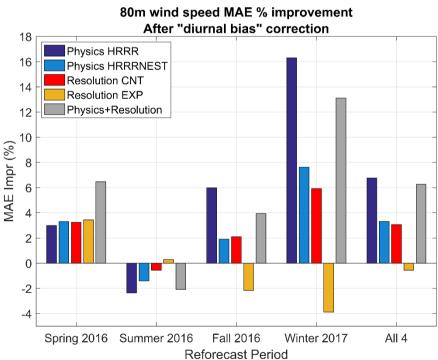


Fig. <u>1514</u>. Percentage improvements on 80-m wind speed MAE (after bias correcting the model output) due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together. Upper panel: results using a "mean bias" correction; lower panel: results using a "diurnal bias" correction.

# Impact of model improvements on 80-m wind speeds during the second Wind Forecast Improvement Project (WFIP2)

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Abstract. During the second Wind Forecast Improvement Project (WFIP2; Oct 2015 – Mar 2017, held in the Columbia River Gorge and Basin area of eastern Washington and Oregon states) several improvements to the parameterizations used in the High Resolution Rapid Refresh (HRRR – 3 km horizontal grid spacing) and the High Resolution Rapid Refresh Nest (HRRRNEST - 750 m horizontal grid spacing) Numerical Weather Prediction (NWP) models were tested during four 6-week reforecast periods (one for each season). For these tests the models were run in control (CNT) and experimental (EXP) configurations, with the EXP configuration including all the improved parameterizations. The impacts of the experimental parameterizations on the forecast of 80-m wind speeds (wind turbine hub height) from the HRRR and HRRRNEST models are assessed, using observations collected by 19 sodars and 3 profiling lidars for verification. Improvements due to the experimental physics (EXP vs CNT runs) and those due to finer horizontal grid spacing (HRRRNEST vs HRRR), and the combination of the two are compared, using standard bulk statistics such as Mean Absolute Error (MAE) and Mean Bias Error (bias). On average, the HRRR 80-m wind speed MAE is reduced by 3-4% due to the experimental physics. The impact of the finer horizontal grid spacing in the CNT runs also shows a positive improvement of 5% on MAE, which is particularly large at nighttime and during the morning transition. Lastly, the combined impact of the experimental physics and finer horizontal grid spacing produces larger improvements in the 80-m wind speed MAE, up to 7-8%. The improvements are evaluated as a function of the model's initialization time, forecast horizon, time of the day, season of the year, site elevation, and meteorological phenomena. Causes of model weaknesses are identified. Finally, bias correction methods are applied to the 80-

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m wind speed model outputs to measure their impact on the improvements due to the removal of the systematic component of the errors.

# 1 Introduction

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The second Wind Forecast Improvement Project (WFIP2) took place in Oregon and Washington states from October 2015 through March 2018. This Department of Energy (DOE) and National Oceanic and Atmospheric Administration (NOAA) funded project was aimed at improving the parameterizations within the High Resolution Rapid Refresh (HRRR – 3 km horizontal grid spacing) and its nested version (HRRRNEST – 750 m horizontal grid spacing), with the goal of increasing the forecast skill of wind turbine hub-height (80-m) wind speeds. The study area is a region of complex terrain that included a large amount of wind power generation, with more than 4.6 GW of installed capacity associated with the Bonneville Power Administration (BPA) balancing authority.

WFIP2 (Shaw et al., 2019; Wilczak et al., 2019a; Olson et al., 2019a), as well as the first WFIP (held in the U.S. Great Plains, in 2011-2012; Wilczak et al., 2015), represent efforts to improve forecasts for the renewable energy sector. While the first WFIP was in an area with relatively flat terrain, WFIP2 took place in an area characterized by pronounced topographic features. These include the Cascade Mountains and the Columbia River Basin to the east, with the Columbia River Gorge forming a gap in the mountain range resulting in complex flow patterns in the region. Important background information regarding the project can be found in several publications: Shaw et al. (2019) presents a general overview of the project; Wilczak et al. (2019a) describes the instruments deployed for the 18-month long campaign and the meteorological forecast challenges of the region; and Olson et al. (2019a) discusses the parameterization improvements applied to the HRRR and HRRRNEST models resulting from a better understanding of local atmospheric processes achieved by the use of the observations.

Toward the end of the campaign, a model freeze was imposed and some case studies with interesting meteorological conditions were selected to focus model improvements around. Changes to the model physical parameterizations based on model known deficiencies and findings from this campaign were then tested over these case studies and those that showed improvements were selected to become a new experimental physics suite. Finally, four 6-week periods (one for each season: "spring 2016" – 3/25-5/7/2016, "summer 2016" – 6/24-8/7/2016, "fall 2016" – 9/24-11/7/2016, and "winter 2017" – 12/25/2016-2/7/2017) were chosen to re-run the models in control (CNT) and experimental (EXP) configurations. The EXP configuration included all the modifications/improvements added to the models, while the CNT runs used the HRRR parameterization present in the NCEP operational version of the HRRR at the start of WFIP2. The four 6-week periods will be called "reforecast periods" throughout the rest of the manuscript, while the model re-runs (HRRR CNT, HRRR EXP, HRRRNEST CNT, and HRRRNEST EXP) will be called "reforecast runs".

Since the primary goal of WFIP2 is to advance the state of the art of wind energy forecasting in areas with complex terrain in general, and in the BPA region in particular, in this paper we use hub-height wind speed observations from sodars and profiling lidars to assess the impacts of the experimental parameterizations and finer horizontal grid spacing on the performance of the

models. These instruments were chosen because they accurately measure wind speed and direction from 20 m up to few hundred meters above ground level, which is the layer of the atmosphere most relevant for wind energy production. While in this paper improvements in bulk statistics (Mean Absolute Error – MAE, and bias) are evaluated, a companion research article (Djalalova et al., 2019) determines the improvements using the same set of measurements and the same model runs at forecasting wind power ramp events.

The paper is organized as follows: in Section 2 the observational and NWP model datasets are described; in Section 3 details of the bulk statistical results are presented for 80-m wind speed MAE and bias for individual models, in terms of time of the day, model initialization time, forecast horizon, season of the year, and site elevation; in Section 4 improvements in the statistical results are quantified due to the experimental physics, model finer horizontal grid spacing, and a combination of the two, again as a function of the time of the day, the season of the year, and the different meteorological phenomena predominant in the area, both with and without bias correcting the model output. Section 5 presents a summary and conclusions.

# 2 Dataset description

# 2.1 Observational dataset

Various in-situ, scanning, and profiling instruments were deployed and maintained by WFIP2 team partners who later provided quality controlled versions of the data. All data are available to the public from the DOE Data Archive and Portal (DAP; https://a2e.energy.gov/projects/wfip2). The list of instruments, deployed in nested arrays (with the outer scale of the order of 500 km and the inner scale of the order of 2x2 km, see Fig. 1a of Wilczak et al., 2019a), includes 3 449-MHz, 8 915-MHz radar wind profilers with radio acoustic sounding system temperature profiles, 19 sodars, 5 scanning lidars, 5 profiling lidars, 4 microwave radiometers, 10 microbarographs, a network of sonic anemometers, and many surface meteorological stations. An overview of the instrumentation capability and how the instruments were used for atmospheric process understanding and model verification and validation is presented in Wilczak et al. (2019a) and Olson et al. (2019a). Also, Pichugina et al. (2019) compared a full year of wind profiles from Doppler lidars at three WFIP2 sites to the operational (at the time of their study) HRRR-NCEP runs, showing how model errors varied from site to site, and highlighting several aspects on where HRRR-NCEP needed improvement.

In the current study, data collected at 22 remote sensing sites (19 sodars and 3 lidars) spanning the WFIP2 region are used, since their measurements cover the part of the atmosphere of most interest for wind energy. As measurements through the entire turbine-rotor layer were not always available, we decided to focus on the 80-m level when available, to avoid averaging the data over a variable depth layer of the atmosphere that could result, in some cases, to biasing the average toward values more representative of the lower part of the layer.

Some sites had a co-located sodar and lidar. In this situation the instrument with the highest data availability during the campaign was chosen. This choice led to the selection of the 19 sodars and 3 lidars listed in Table 1, where the latitude, longitude, elevation of the site, terrain complexity, percentage of data availability over the four reforecast periods, and the

institution in charge of the instrument are also presented. The terrain complexity was computed as the standard deviation (in meters) relative to the average slope in a 6 by 6 km area (81 points) around the site using the HRRRNEST model topography. Although the focus of this study is on the 80-m wind speed statistics, we also examine the statistics of wind power generation, using a generic IEC Class 2 power curve to convert wind speed into power. Details for the conversion from wind speed into power are given in Wilczak et al. (2019b), while Wilczak et al. (2019a) and Djalalova et al. (2019) demonstrated that the equivalent wind power generation computed from these 22 remote sensors using the above-mentioned curve is representative of the actual wind power generation over the entire BPA area. The geographical location of the 19 sodars and 3 lidars is provided in a map later in the manuscript, and a more comprehensive base map of all the instruments deployed for WFIP2 is presented in Wilczak et al. (2019a).

#### 2.2 NWP Models

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WFIP2 model development/improvement focused on improving forecasts in complex terrain for wind energy applications. Improvements in operational NWP models usually target extreme weather events and near-surface weather in general, with little focus on the improvement of the forecast of wind speed at hub-height. Wind energy generation is especially abundant in regions of complex terrain where there are many forecasting challenges due to the complexity of the terrain-modulated flows and the feedback processes associated with them Thus, forecast errors in hub-height wind speeds can originate from various model components. For this reason, WFIP2 model development/improvement included a number of model components: the boundary-layer and surface-layer schemes, the representation of drag associated with subgrid scale topography and wind farms, and the cloud–radiation interaction. Moreover, because of the complex terrain, special care had to be devoted to scale adaptive physical parameterizations.

While the reader is referred to Olson et al. (2019a; 2019b) for complete details on the improved model configurations, we provide a list with brief summaries of the set of model physical parameterizations and relevant numerical methods targeted for development in WFIP2:

- 1. Planetary boundary layer (PBL) local mixing: mixing length revision
  - The mixing length is the distance parcels are allowed to be displaced by turbulence processes, therefore depending on the size of the turbulent eddies. In the new formulation, the mixing length is independent of the height above ground and turbulent eddies are forced to be smaller than the depth of the model layer in strong stratification, thus improving maintenance of cold pools and stable boundary layers in general.
- 2. PBL non-local mixing: mass-flux scheme
  - A mass-flux scheme was added to the original MYNN PBL scheme, making it an eddy-diffusivity mass-flux (EDMF) scheme and allowing for direct coupling of the sub cloud convective cores and the cloud layer above. This resulted in improved coverage of shallow-cumulus and improved profiles of temperature and humidity, while a smaller impact was found on low-level winds during the day.

# 3. Subgrid-scale (SGS) clouds and coupling to radiation

SGS clouds and coupling to radiation improves the downward shortwave forcing in shallow-cumulus and stratocumulus conditions. The primary impact is to improve the surface energy balance, which can then more accurately drive the turbulent mixing, while a small direct impact was found on low-level winds.

#### 4. Drag due to SGS topography

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The representation of drag due to SGS orography was added to the HRRR physics suite including surface drag due to gravity waves and form drag. While the SGS gravity wave drag acts in stable PBLs and the form drag acts for all stabilities, form drag has a smaller impact than the gravity wave drag at the high resolutions of the HRRR, and neither are active in the HRRRNEST. This addition improves the maintenance of cold pools by reducing the near-surface wind speeds (and wind speed bias), while also reducing the near surface vertical wind shear in stable conditions.

#### 5. Surface layer scheme

In the Monin-Obukhov theory the flat-terrain approximation implies that all fluxes (momentum, heat and moisture) happen in the vertical, but this approximation becomes unrealistic in complex terrain. For this reason, the new surface layer scalar flux algorithm now includes horizontal fluxes.

# 6. 3D turbulence scheme

While typically horizontal turbulent mixing is calculated with no direct communication with the parameterized vertical mixing, the impact of horizontal fluxes can now be of similar magnitude as the vertical fluxes, improving the representation of fine-scale turbulence. The expected benefits are mostly found at sub-kilometric scales.

#### 7. Horizontal finite differencing

Horizontal diffusion is now performed in Cartesian space instead of terrain-following sigma coordinates. This option is a replacement to mixing along sigma coordinates, which can produce artificial vertical mixing in steep terrain. This change improves the maintenance of cold pools by no longer mixing vertically when model vertical coordinates follow steep terrain.

#### 8. Wind-farm parameterization

A representation of wind-farm drag was introduced by adopting the Weather Research and Forecasting (WRF) wind farm parameterization (Fitch et al. 2012, 2013a, and 2013b). The inclusion of this parameterization improves a high wind speed bias within wind farms but can contribute to a slight low wind speed bias near wind farms.

30 The biggest improvements in the reforecasts were found from 1, 3, and 4, which improved the representation of turbulent mixing in stable boundary layers (Olson et al. 2019a; 2019b).

Details of the simulations used in this analysis are as follows. For the four reforecast periods (spring, summer, and fall 2016, and winter 2017), 24-hour forecasts were made with the HRRR and HRRRNEST, initialized twice per day at 00 and 12 UTC, using initial conditions from the operational RAPid refresh model (RAP; Benjamin et al., 2016), with no additional data

assimilation, and with output available every 15 minutes. For simplicity, we refer to the runs initialized at 0000 UTC as the Z00 runs, and the runs initialized at 1200 UTC as the Z12 runs. The reforecasts were run in both CTL and EXP configurations, with the EXP configuration including all the improved parameterizations. The 3-km HRRR is directly initialized off of the 13-km RAP grid, so there is a spin-up period associated with the model atmosphere adjusting to the higher resolution terrain, which typically has much higher mountain peaks and lower valleys in the HRRR relative to the RAP. This spin-up problem would be even more exaggerated if the HRRRNEST was directly initialized from the RAP model atmosphere, so to minimize this problem, we chose to allow the HRRR model atmosphere to spin-up for 3 hrs before we initialized the HRRRNEST from the HRRR 3-hr forecast. Therefore, the HRRRNEST output runs were delayed by 3 hours to ameliorate these spin-up problems., so that a gap in the HRRRNEST model output exists from forecast horizon 0000 to forecast horizon 0200 (from 0000 UTC – 0200 UTC for the Z00 initialized runs, and from 1200 UTC – 1400 UTC for the Z12 initialized runs). For this reason, in order to show meaningful comparisons between the models, we utilize only the forecast horizons 03-24 for the HRRR runs also.

For our analysis, in order to compare to the observations, the 80-m wind field is obtained from model output horizontally bilinearly interpolating to the 22 site locations using the 4 closest grid points, and linearly vertically interpolating the two closest heights (approximately 36 and 83 m). The HRRR has relatively coarse vertical resolution, with only five full model layers below 200 m, but the middle of the third layer is very close to 80-m AGL, so a linear interpolation does not have a significant negative impact on the accuracy of the estimated 80-m wind speeds.

The observations were also averaged and interpolated in time over the 15-minute model output times (most of the observations were already at a 15 min interval, but some were at a 10 min interval or less), and linearly interpolated to the 80-m level.

#### 20 3 Bulk statistical results of 80-m wind speed forecasts

In this section we examine the diurnal variation of 80-m wind speed MAE and bias at all sites and the seasonal variation of MAE and biases from the four reforecast periods to identify the dependence of the statistics on the time of the day, model initialization time, forecast horizon, and season. The dependence on the elevation of the site is also investigated.

# 3.1 Statistical results as a function of the time of the day, model initialization time, forecast horizon, and season of the year

The 80-m wind speed MAEs, averaged over the 19 sodars and 3 lidars, show a clear diurnal pattern (Fig. 1). Each of the four reforecast runs (HRRR CNT is in red, HRRR EXP in blue, HRRRNEST CNT in yellow, and HRRRNEST EXP in black) is averaged over the four reforecast periods in the upper panel (a), while the lower panels (b-e) show the four reforecast periods separately. Initialization times are represented with the O's (Z00 runs) and with the X's (Z12 runs), while the averages between these values are in solid, bold lines. The 80-m wind speed MAEs show a clear diurnal pattern, consistent among all model runs, with larger average MAEs during stable atmospheric conditions at nighttime (LST = UTC-8) falling mostly between 2

and 2.4 m s<sup>-1</sup>, with significantly smaller values during daytime (unstable atmospheric conditions), ranging between 1.6 and 1.8 m s<sup>-1</sup> (panel a). For reference, the insert of panel a of Fig. 1 presents the diurnal cycle of the averaged observed 80-m wind speeds for the four reforecast periods, showing that 80-m wind speeds are higher at nighttime, particularly in summer and to a lesser extent in spring (contributing to MAE to be larger at nightime compared to daytime), but less so in fall and winter. In addition to the larger values of MAE found at nighttime, the reforecast runs also show larger differences between the models. In contrast, during daytime not only are the MAEs smaller, but the differences between the four models reforecast runs are also smaller. Figure 1 can be used to examine the dependence of MAE on initialization time and forecast horizon. In particular, the Z00 MAEs are smaller than the Z12 MAE values for times soon after the Z00 initialization (for the first part of the day O lines are below X lines). In contrast the Z12 MAEs tend to be smaller than Z00 values for times soon after the Z12 initialization (for the second part of the day X lines are below O lines, except for HRRRNEST EXP), meaning that the MAE increases with the forecast horizon. Certainly, for each of the model reforecast runs, the time of the day is more important at determining the MAE values than the initialization time, as expected.

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While on average the experimental physics and finer grid spacing lowers the MAEs over the four reforecast periods (Fig. 1, panel a: blue, yellow and black lines all show smaller MAEs compared to the red lines), the improvements are less consistent when looking at the four reforecast periods separately (panels b-e). In winter, the improvements are more robust, as explained in Olson et al. (2019a), due to better maintenance of cold pools which frequently happen in this area over the winter (Whiteman et al., 2001; McCaffrey et al., 2019), and which are investigated in detail in Section 4.4.

The biases of the 80-m wind speed also exhibit a diurnal cycle (Fig. 2). Again, the upper panel shows averages of the four reforecast periods and the lower panels display the four reforecast periods separately. The diurnal trend of the bias in the HRRR CNT is evident in the red curves, with positive biases at nighttime (stable atmospheric conditions), averaging 0.7 m s<sup>-1</sup>, and negative values during daytime (unstable atmospheric conditions), down to -0.4 m s<sup>-1</sup> (panel a). The diurnal trend for the HRRR CNT is also clear for the four reforecast periods separately (panels b-e). The HRRR EXP reforecast runs (blue curves) tend to eliminate the diurnal trend in all reforecast periods, because of the differences in the treatment of boundary-layer turbulence in unstable and stable conditions, but lowers the bias significantly, leading to a negative average value of ~-0.6 m s<sup>-1</sup> (panel a). A possible reason for such behaviour in the HRRR EXP runs can be found in the representation of drag due to SGS orography (Steeneveld et al., 2008; Tsiringakis et al., 2017) added to the HRRR physics suite. This new representation is only active in the HRRR, but not in the HRRRNEST due to its finer grid spacing (Olson et al., 2019a). While the expected benefit of such improved representation of the drag is to decrease the high wind speed bias in stable conditions often found in the HRRR, the detriment in this case seems to be a too large decrease in wind speed. The addition of wind turbine drag from the wind farm parameterization also contributed to the low wind speed bias, but to a lesser degree. Due to the results found in this study and in other WFIP2 related studies, ways to revisit the treatment of the drag due to sub-grid scale orography are under consideration. Finally, the diurnal trends in the MAE and biases are smaller in the winter than in other seasons. This result

could also be due to differences in the treatment of boundary-layer turbulence in unstable and stable conditions. Similar results

were found by Berg et al. (2019) in their study of the sensitivity of winds simulated using the Mellor-Yamada-Nakanishi-Niino planetary boundary-layer parameterization in the Weather Research and Forecasting model.

While the HRRRNEST reforecast runs (CNT in yellow and EXP in black) reduce the bias compared to their respective HRRR simulations it is not clear yet if the HRRRNEST EXP is better than the HRRRNEST CTL or vice-versa. Similar to the MAEs, differences between the four reforecast runs are larger at nighttime and smaller at daytime (when the biases are consistently mostly negative).

MAEs of the 80-m wind speed, presented in the left panel of Fig. 3, show that the HRRR EXP (in blue) does better than the HRRR CNT (in red) in fall and in winter, but not in spring or summer. MAEs of the HRRRNEST CNT (in yellow) are better than those of the HRRR CNT (in red), and the HRRRNEST EXP (in black) is now almost always better than the other models. Biases, presented on the right panel of Fig. 3, show values in the HRRR EXP (in blue) becoming way too negative (caused by the additional orographic drag employed in the HRRR EXP) compared to the HRRR CNT (in red) in the spring, summer and fall. Future revisions of the orographic drag in the HRRR will address this issue. The HRRRNEST EXP (black) is better than the HRRRNEST CNT (in yellow) only in the fall and winter, and again it is not clear that one of these two models has a demonstrably better overall bias.

The results of this section indicate that the time of the day is of primary importance in terms of MAEs and biases, while the model initialization time and the forecast horizon are of secondary importance. Consequently, the remaining statistical analysis is carried out averaging the Z00 and Z12 runs.

#### 3.2 Statistical results as a function of the site elevation

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As evident from Table 1, the 22 sites used for this analysis have very different elevations (ranging from 63 m asl at Rufus – RFS, to 991 m asl at Prineville – PVE), as well as different surrounding topographic variability. In this section, we investigate the dependence of the model error statistics on the site elevation. In Fig. 4 (panels a, b, c, and d) the results for the 80-m wind speed normalized bias, averaged over the two model initialization times, and over all forecast horizons from 03 to 24, are presented for the four reforecast periods. Sites are sorted from low to high elevation (from Rufus on the left to Prineville on the right) and biases are normalized by the averaged (observed) 80-m wind speed at each site. On the right axes of panels a, b, c, and d of Fig. 4, we show (as dotted black lines) the averaged 80-m wind speed at each site for each reforecast period. These averages show some dependence on site elevation in fall and winter, most likely caused by cold pool events with lower wind speeds confined to the sites at lower elevation. We also note that sites at higher elevation do not have higher 80-m wind speeds than sites at lower elevation, neither in summer nor spring. The topography of the area with the location of the sites is in Fig. 4, panel e. The biases presented in Fig. 4 show that the diurnally and seasonally averaged biases are smaller (and often negative) at lower elevations, with a positive trend with increasing elevation. In particular, the HRRR CNT (red) has the largest positive bias at high elevations in winter which is likely due to the premature mix-out of cold pools occurring preferentially at higher elevations first, which can lead to longer periods of time with a positive wind speed bias. As in Fig. 2, HRRR EXP runs (in blue) always show the lowest bias, almost always negative, particularly at the lowest elevation sites. When not normalized by

the averaged wind speed at the site (not shown) the trend was consistent with that shown in Fig.4, but even more accentuated. In contrast, a similar analysis but for MAE normalized by the averaged 80-m wind speed at each site (not shown) did show a mostly neutral dependence on site elevation (with a slight decrease with site elevation).

Although it is not clear at this point what is the physical reason for the models having a normalized bias dependent on site elevation (it may be due to the characteristics of the atmospheric phenomena predominant in this area, and challenging to forecast), it is important to know that in an area of complex terrain like that of WFIP2 this dependence exists. The dependence of the bias on the elevation indicates that a post-processing bias correction of the model should be done at each site independently.

Terrain complexity is not as powerful of a predictor of model bias as site elevation. A similar analysis to that presented in Fig. 4 was performed but sorting the sites by the complexity of the surrounding terrain (see Table 1). In this analysis (not shown) the trend of 80-m wind speed MAE and bias was not clearly defined.

### 4 Improvements to the statistics due to the experimental physics and finer horizontal grid spacing

In this section we examine the statistical significance and percentage improvement in the model forecast of 80-m wind speed and power. The improvements are analyzed in terms of the new physics (EXP vs CNT runs) as well as horizontal grid spacing of the models (HRRRNEST vs HRRR runs), first separately and then combining the impact of the two (HRRRNEST EXP vs HRRR CNT). Finally, we evaluate the dependence of the improvements on the dominant meteorological phenomena of the area (Shaw et al., 2019), including cold pools (Whiteman et al., 2001; Zhong et al., 2001; McCaffrey et al., 2019), gap flows (Sharp and Mass 2002; 2004), easterly flows (Neiman et al., 2018), mountain waves (Durran 1990; 2003), topographic wakes, and convective outflows (Mueller and Carbone, 1987).

#### 20 4.1 Impact of experimental physics (CNT vs EXP runs)

The impact of the experimental physics in the HRRR runs (HRRR EXP vs HRRR CNT) is almost always positive for wind speed and power. Percent improvement and statistical significance is shown in Fig. 5 for 80-m wind speed (left panels) and 80-m wind power (right panels). These results are obtained averaging all sites together, over the two model initialization times (forecast horizon from 03 to 24), and over the four reforecast periods. Diurnal variations of MAE (HRRR CNT in red and HRRR EXP in blue) are presented in the upper panels of Fig.5, while the middle panels show differences between MAEs of the HRRR CNT run and MAEs of the HRRR EXP run (error bars represent the  $\pm 1.96\sigma/\sqrt{-}$ n interval of this difference, where the number of points, n, is reduced by the autocorrelation of the model runs, with a 95% confidence level chosen). Finally, the percentage MAE relative improvement of the HRRR EXP model over the HRRR CNT model (defined as  $100 \times (MAE HRRR CNT - MAE HRRR EXP) / MAE HRRR CNT)$  is shown in the lower panels of Fig. 5. Almost always positive values (improvements) are found, up to a maximum of 8% in 80-m wind speed MAE and 10% in 80-m wind power MAE. The impact

on 80-m wind power is larger because the power increases approximately as the cubic power of the wind speed in the range of speeds between 5-12 m s<sup>-1</sup> (International Electrotechnical Commission, 2007).

# 4.2 Impact of model finer horizontal grid spacing (HRRRNEST vs HRRR)

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Improvements due to finer horizontal grid spacing are larger than those due to the experimental physics. The impact of the finer horizontal grid spacing in the control runs (HRRRNEST CNT vs HRRR CNT) is shown in Fig. 6 for 80-m wind speed (left panels) and 80-m wind power (right panels). MAE values in the upper panels are in red for the HRRR CNT runs and in yellow for the HRRRNEST CNT. In the bottom panels of Fig. 6 we see a large percentage improvement in MAE due to finer horizontal grid spacing, particularly at nighttime and during the morning transition (approximately between 0100 UTC and 1500 UTC). Improvements due to finer horizontal grid spacing are larger than those due to the experimental physics in Fig. 5, with values now up to 10% in 80-m wind speed MAE and up to 15% in 80-m wind power MAE. The percentage improvements are smaller during daytime, when the HRRR model with larger horizontal grid spacing had lower MAE compared to nighttime. In Fig. 7 we compare the improvements in 80-m wind speed MAE due to the experimental physics (left panels) from the HRRR (shown previously in Fig. 5) with those found in the HRRRNEST, and the improvements due to finer horizontal grid spacing (right panels) from the CNT simulations (shown previously in Fig. 6) with those found in the EXP simulations. The dark blue curve shows the impact of the experimental physics on the models with larger horizontal grid spacing (HRRR EXP vs HRRR CNT), while light blue shows the impact of the experimental physics on the models with finer horizontal grid spacing (HRRRNEST EXP vs HRRRNEST CNT). The red curve shows the impact of finer horizontal grid spacing on the CNT runs (HRRRNEST CNT vs HRRR CNT), while the impact of finer horizontal grid spacing on the EXP runs (HRRRNEST EXP vs HRRR EXP) is shown in orange. When averaged over the four reforecast periods, the impact of the experimental physics (left upper panel) is quite similar between the higher and finer horizontal grid spacing models, however when considering the four reforecast periods separately (lower left smaller panels) the impact varies considerably. For example, in summer the impact of the experimental physics on the HRRRNEST is mostly neutral (light blue curve), while in the HRRR it is actually producing a negative impact (dark blue curve). In contrast, while the impact of the experimental physics is positive for both horizontal grid spacings in winter, it is very positive for the HRRR (dark blue curve). This variation could be due to changes in the physics that are grid-spacing dependent, making the impact different for HRRR and HRRRNEST. Similar considerations can be made for the improvement due to finer horizontal grid spacing (right panels). When averaged over the four reforecast periods (right upper panel) the impact of the finer horizontal grid spacing is similar between the models with different physics. However, for the winter reforecast period (lower right panel) the impact of the finer horizontal grid spacing on the EXP runs is mostly neutral (orange curve), while for the CNT runs it is clearly positive (red curve).

# 4.3 Impact to the statistics due to the experimental physics and finer horizontal grid spacing (HRRRNEST EXP vs HRRR CNT)

As a final step of the analysis, the combined impact on 80-m wind speed MAE of the experimental physics and finer horizontal grid spacing, comparing the HRRRNEST EXP to HRRR CNT is shown in Fig. 8. Consistent with the results presented in the previous sections, we find that the combination of the experimental physics and finer horizontal grid spacing produces even larger improvements, always positive and up to a maximum of 14% in the 80-m wind speed MAE (lowest left panel) and up to a maximum of 18% in 80-m wind power MAE (lowest right panel). Again, larger improvements are found during nighttime and during the morning transition, with smaller improvement found during daytime when the models had lower MAEs.

To condense the results presented in this section, a summary plot with the percentage improvements on MAE due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together is presented in Fig. 9 (left panel is for 80-m wind speed MAE and right panel is for 80-m wind power MAE results). For this plot the results are averaged over all sites, between the two initialization times, and over all reforecast horizons between 03 and 24. Averaged over the four reforecast periods (bars on the right side of each panel) we see positive improvements due to the experimental physics in the HRRR (in dark blue) and HRRRNEST (in light blue) reforecast runs, up to ~3% in terms of 80-m wind speed MAE and ~4% in terms of 80-m wind power MAE. Finer horizontal grid spacing in the CNT (in red) and EXP (in orange) reforecast runs produces improvements of up to ~5% for 80-m wind speed MAE and ~7% for 80-m wind power MAE. In grey is the improvement due to the combination of the experimental physics and finer horizontal grid spacing (HRRRNEST EXP vs HRRR CNT), approximately 7% for 80-m wind speed MAE and ~11-12% for 80-m wind power MAE. Considering the individual reforecast periods, in winter the improvements due to the experimental physics are very large for the HRRR, as are those due to the combination of the experimental physics and finer horizontal grid spacing (13% for 80-m wind speed MAE and 21% for 80-m wind power MAE). Negative impacts to the improvement due to the changes in the physics of the HRRR (dark blue bars) are found in spring and summer, down to ~-7% for 80-m wind speed MAE and ~-10% for 80-m wind power MAE. What causes the dark blue bar in summer 2016 to be so negative? To answer this question, in the next section we investigate the improvements as a function of the different meteorological phenomena characteristic of this area (cold pools, gap flows, easterly flows, mountain waves, topographic wakes, and convective outflows).

#### 4.4 Statistical results as a function of the different meteorological phenomena

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The improvements due to the experimental physics and finer horizontal grid spacing (and to the combination of the two) as a function of the different meteorological phenomena common to this area are presented in Fig. 10. For this analysis we take advantage of the WFIP2 Event Log, which was created and updated regularly during WFIP2 by several meteorologists documenting the meteorological conditions of relevance in the area and is available on the DAP (Shaw et al., 2019). The WFIP2 meteorologists based their classification of events on WFIP2 observations and other surface observations, real-time and global model forecasts, satellite images, and local radio-soundings. In the Event Log document, days and characteristics of the different meteorological phenomena were recorded, with the possibility that on some days multiple phenomena could

occur at the same time. Although the categorization of the days into different meteorological phenomena involves a certain level of subjectivity, the final classification process involved weekly meetings during the field study with meteorologists on the project team, many with operational forecasting experience in this geographic area, during which a consensus was reached by the team, making us confident that other meteorologists would agree with the classifications we used. The Event Log is accessible to the public (available on the DAP, https://a2e.energy.gov/projects/wfip2). For the plot in Fig. 10 the results are averaged over all sites, between the two initialization times, over all reforecast horizons between 03 and 24 and over the four reforecast periods. The number of days over which each specific phenomenon takes place is in the parentheses on the x-axis label. On the far right are the improvements averaged (weighted by the number of cases) over all the different phenomena. Since on some days multiple phenomena might occur at the same time, same days can be counted multiple times in the average, which consequently is not exactly the same as that in Fig. 9. From this analysis there is no improvement in the 80-m wind speed MAE due to the modifications in the physics of the HRRR (in dark blue) for mountain waves and topographic wakes, while for the other meteorological phenomena the impact due to the experimental physics is positive. In truth, this figure does not tell the entire story.

As shown in Fig. 10, the number of days with gap flow events is very high (145), and if we plot the same figure separately for each of the four reforecast periods (Fig. 11), we see that the gap flow events are almost equally distributed over the four reforecast periods (34 in spring 2016, upper left panel; 41 in summer 2016, upper right panel; 38 in fall 2016, lower left panel; and 32 in winter 2017, lower right panel). For gap flow events, model performances can be different from season to season due to the fact that their nature differs from season to season (being thermally forced in summer and synoptically forced in fall and winter). Mountain wave (54 days in total) and topographic wave events (30 days in total) are also distributed over all reforecast periods. From Fig. 11 we can say that the impact of the experimental physics and finer horizontal grid spacing on 80-m wind speed MAE during gap flow, mountain waves and topographic wakes situations differs from season to season (negative in spring and summer and positive for fall and winter).

Consequently, the blue bar in spring and summer extending toward negative values, visible in Fig. 9 is not only due to the negative impact of mountain wave and topographic wake days, but also to gap flow days in spring and summer (upper right and lower left panels of Fig. 11). From Fig. 11 we also note that easterly flow is a category with a more consistent impact, always being improved by the experimental HRRR physics. Cold pool events are also consistently improved by the experimental HRRR physics; this type of event happens mostly in fall and winter (only one event is found in spring, therefore its impact cannot be considered statistically significant).

To better understand the reasons for the lack of MAE improvement in the HRRR EXP vs HRRR CNT runs during diurnal gap flow days in summer, in Fig. 12 we present the aggregated time series of 80-m wind speed MAE (upper panel) and wind speed (lower panel) for the 22 sites for part of the summer reforecast period (all of the summer reforecast period shows a similar behaviour). From the time series in the upper panel of Fig. 12, we see that the 80-m wind speed MAE of the HRRR EXP (blue line) is often larger than that of the HRRR CNT (red line). For almost all of the gap flow days the HRRR EXP forecasts the

down-ramp too early at the end of each daily gap flow event, compared to the observations and to the HRRR CNT. Similar results were found for the spring reforecast period (not shown).

Although from Fig. 11 we see the experimental physics generally improves the HRRR during cold pool events, we next examine details of the when and how this improvement occurs. Fig. 13 is similar to Fig. 12, but for part of the winter reforecast period. In the lower panel, days identified in the Event Log as experiencing cold pools are highlighted with the blue shaded areas. In the time series shown in the upper panel of Fig. 13, a period when the 80-m wind speed MAE of the HRRR EXP (blue line) is larger than the HRRR CNT (red line) is highlighted with the red oval, while at a later time (inside the blue oval) the opposite is true. Differences between these cold pool events were examined using the WFIP2 real-time model observation evaluation website (http://wfip.esrl.noaa.gov/psd/programs/wfip2/). This website was used through the duration of the WFIP2 field campaign for daily monitoring of model forecasts and instrument health (Wilczak et al., 2019a).

Time-height cross sections (not shown, but available from the WFIP2 real-time model observation evaluation website) of microwave radiometer temperature, and winds from the radar wind profiler superimposed on radio acoustic sounding system virtual temperature at Wasco, OR, for January 4, 2017, and January 19, 2017, revealed that the cold pool at the beginning of January is brought in by sustained easterly winds and has weaker stable stratification compared to the cold pool event in the second half of January, which is characterized by very low wind speeds close to the surface and more strongly stable stratification. Thus, although these periods are both listed as cold pool events, they have different atmospheric characteristics. In the first case the experimental physics in the HRRR EXP run does not help the model to outperform the HRRR CNT, while in the second case it does. A large wind speed deficit in the HRRR EXP forecast on January, 4, 2017 (visible in the red oval in the lower panel of Fig. 13) might occur because the HRRR EXP model has too much drag due to the SGS and/or because of the wind farm parameterization, with wind farms just upwind, east of Wasco. In contrast, in January 18, 2017 a large wind speed excess in the HRRR CNT forecast (visible in the blue oval in the lower panel of Fig. 13) occurs because of 1) not enough drag in the HRRRR CNT to reduce the strong winds immediately above the cold pool, 2) too much mixing at the top of the cold pool, which may be due to too large mixing lengths, and 3) to "horizontal" mixing along sloped sigma coordinates, which contribute to vertical mixing. Given the very different wind and stability profiles characteristics of the two cold pool events, having routinely available observations of these profiles and assimilating them into the models would likely improve their short- term forecast skill. The need of a network of ground-based profiling instruments to improve numerical weather prediction and operational forecasting is also strongly advocated by the National Research Council (2009).

#### 4.5 Bias correction impact on the improvements

Next, we evaluate whether the improvements measured in the previous sections are mainly due to reducing the biases of the models (the systematic component of the error) or if the model improvements also address the random component of error. To this aim the model 80-m wind speed output needs to be bias corrected before the bulk statistics and the relative improvements can be computed. Several methods have been investigated in the literature to remove the systematic component of the error from model outputs. For this study, due to the nature of the 80-m wind speed biases presented in Fig. 2, two possible bias

correction methods have been considered. The first one removes the mean bias from each model, at each site, and for each reforecast period separately ("mean bias"). The second method removes the mean bias from each model, at each site, for each of the reforecast periods and for each of the hour of the day separately ("diurnal bias"). Since, as is clear from Fig. 2, the nature of the bias differs among the models, we examined the impacts of both of these simple bias correction methods. In Fig. 14 we present similar results to those presented in the left panel of Fig. 9, but after applying the "mean bias" correction (Fig. 14, upper panel) and the "diurnal bias" correction (Fig. 14, lower panel). In both cases, the methodology used to apply the bias correction was to split the dataset into two parts, determine the bias correction on the first half and evaluate it independently on the second half of the dataset.

The "mean bias" correction enhances the improvement due to the experimental physics in the HRRR and HRRRNEST models (blue and light blue bars, comparing Fig. 14 to Fig. 9). This improvement indicates that the experimental physics improves the random component of the model error, even if the experimental physics might degrade the systematic component: the right panel of Fig. 4 shows that the bias of the HRRR EXP model is larger than the bias of the HRRR CTL model. In comparison, applying the "diurnal bias" correction also increases the improvement due to the experimental physics (dark blue and light blue bars) over all reforecast periods and for their average, while the improvements due to finer horizontal grid spacing in the models (red and orange bars) actually decrease.

# 4.6 Impact of model improvements on other key meteorological variables

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Although the scope of the study presented in this manuscript is to measure the impact of the improved model parameterizations on the forecast of 80-m wind speeds, it is important to assess what improvements, if any, were brought to other key variables in the boundary layer. Olson et al. (2019a) considered this matter when comparing HRRR (CNT and EXP) model outputs to eight 915-MHz radar wind profilers in the WFIP2 region. The 915-MHz radar wind profilers observe through the planetary boundary layer, where the MAE wind speeds were found to be reduced over all four reforecast periods, especially at night and in winter (stable atmospheric conditions), with MAE reduced by up to 0.5 m s<sup>-1</sup> in the lower 300 m above ground level (agl), through most of the diurnal cycle. Some degradation was found in summer, for daytime, in agreement with our finding. The improvements on MAE of wind speed in the HRRNEST runs were much smaller over the deeper layer of the atmosphere observed by the 915 MHz radar wind profilers, being mostly localized in the rotor layer.

Another important variable considered by Olson et al. (2019a) was temperature, comparing the model runs to Radio Acoustic Sounding System virtual temperature measurements. For this variable the largest improvements were found in winter, with MAE of temperatures reduced by more than 0.5 C up to 400 m agl for the HRRR, but half of that for the HRRNEST.

Other key meteorological variables over which model improvements were measured by Olson et al (2019a) were 2-m temperature and 10-m wind speed comparing the upgraded models to the previous version over the entire CONUS domain. For these variables RMSE and biases were improved over both the eastern and western CONUS domains, proving that model improvements in one variable were verified in other variables as well.

# 5 Summary and conclusions

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Measurements collected by 19 sodars and 3 lidars during the second Wind Forecast Improvement Project (WFIP2), an 18-month field campaign in the Columbia River Gorge and Basin area, were used to validate model runs by the High Resolution Rapid Refresh (HRRR) model (3 km horizontal grid spacing) and its nested version (HRRRNEST, 750 m horizontal grid spacing).

The models were run for four 6-week reforecast periods (one for each season) in control (CNT) and experimental (EXP) configurations, where the EXP runs included new parameterizations to the HRRR and HRRRNEST physics suites (i.e. representation of wind farms and of drag associated with subgrid-scale (SGS) topography in the HRRR), improvements to existing parameterizations (i.e. boundary-layer and surface-layer schemes, cloud-radiation interaction), and improvements to numerical methods (i.e. finite differencing of the horizontal diffusion). Results showed that:

- 80-m wind speed MAE and bias vary significantly through the diurnal cycle, with time of day being more important at determining the 80-m wind speed MAE and bias values than either the initialization time or the forecast horizon.
- The HRRR EXP reforecast run reduces the diurnal trend in the bias, but results in a near constant negative bias, possibly by exaggerating the drag due to sub-grid scale orography added to the HRRR physics suite (but not added to the HRRNEST).
- The 80-m wind speed biases have lower values (often negative) at lower elevations, but increase with the site
  elevation. Differences in the sub-grid scale terrain inhomogeneity did not help explain any of the bias or MAE in the
  results.
- The experimental physics in the HRRR reduces 80-m wind speed MAE by 3-4 % and 80-m wind power MAE by 4-5 %.
- Finer model horizontal grid spacing improves 80-m wind speed MAE in the control runs, particularly at nighttime and during the morning transition. Smaller improvements occur during daytime, when the larger horizontal grid spacing model had lower MAE than at nighttime. The finer horizontal grid spacing of the HRRRNEST improves 80-m wind speed MAE values up to 5%, and 80-m wind power MAE up to 7-8%.
- The combined impact on 80-m wind speed MAE of the experimental physics and finer horizontal grid spacing produces an even larger reduction in MAE, averaging 7-8% for 80-m wind speed and 11-12% for 80-m wind power.
- Improvements in MAE and bias due to the experimental physics and finer horizontal grid spacing depend on season but almost always are positive. However, in spring and summer, the experimental physics in the HRRR runs increases the 80-m wind speed MAE.
- The negative impact of the experimental physics on the HRRR MAE found in spring and summer results from degradation of the HRRR EXP on days experiencing gap flows, mountain waves and topographic wakes, and is probably due to the representation of drag in the HRRR EXP. In particular, for almost all of the summer gap flow days, the HRRR EXP predicts the down-ramps occurring at the end of the events too early.

- Although cold pool forecast skill improves due to the experimental physics in the models, different types of cold
  pools are predicted with varying skill. If routinely available observations of wind and stability profiles were
  assimilated into the models, short term forecast skill would likely improve.
- "Mean bias" and "diurnal bias" corrections of the 80-m wind speed model outputs demonstrated that the experimental physics improves both the systematic and the random component of the model errors. The impacts of the different bias corrections on the improvements due to finer horizontal grid spacing in the models are mixed.

The strength of WFIP2 came from many observational scientists and model developers working closely together, steering the observational-based process understanding to guide model improvements which were later transitioned into operations. The current analysis quantifies the skill added by improvements made to the models within four months towards the end of WFIP2. A model freeze was then imposed so that the models could be run in EXP and CNT configurations over the four chosen reforecast periods. Since the model code freeze, three research tasks related to better simulating the low-level wind speeds have been prioritized: first the inclusion of momentum transport in the new mass-flux component of the MYNN-EDMF, second modifying the small scale gravity wave drag to only parameterize small-amplitude gravity waves associated with subgrid-scale terrain undulations < 100 m, and third investigating the addition of a vertically distributed form drag as opposed to represent form drag only through the surface roughness length, which is probably only valid for horizontal grid spacing < 1 km, where the terrain is better resolved. The impact of the first tends to increase the near-surface wind speed in the convective boundary layer, which helps to correct the low wind speed bias we measured in WFIP2. The second and the third tasks are simply meant to revise the original representation of drag in the HRRR in order to make the parameterizations more physically meaningful. All of these model components need to be investigated at a variety of model resolutions to ensure the model parameterizations successfully adapt in behavior to only represent the physical processes that are truly not well-resolved within the model. Further improvements to the models, based on WFIP2 observations, will become part of the operational HRRR in the near future.

#### **Authors' contribution**

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Laura Bianco, Irina V. Djalalova, and James M. Wilczak contributed with the data preparation, main analysis and organization of the results in the paper. Joseph B. Olson and Jaymes S. Kenyon worked at the improvements of the HRRR and HRRRNEST parameterizations, ran the models in CNT and EXP configurations, and contributed with useful discussion to improve the manuscript. Aditya Choukulkar contributed with the categorization of the atmospheric phenomena in the Event Log, with observational data, and with useful discussion to improve the manuscript. Larry K. Berg, Harindra J. S. Fernando, Eric P. Grimit, Raghavendra Krishnamurthy, Julie K. Lundquist, Paytsar Muradyan, Mikhail Pekour, Yelena Pichugina, Mark T. Stoelinga, and David D. Turner contributed with observational data and with useful discussion to improve the manuscript.

# Data and code availability

The operational HRRR model is not entirely open source (data assimilation/cycling scripts/etc), but updates to the model parameterizations used in the HRRR are deposited periodically to the official repository for the Advanced Research version of the Weather Research and Forecasting (WRF-ARW) model, maintained by the National Center for Atmospheric Research (NCAR), which is open source (<a href="https://github.com/wrf-model/WRF">https://github.com/wrf-model/WRF</a>). A branch from this repository was created for WFIP2 testing, based on WRF-ARWv3.9. This branch is currently stored at <a href="https://zenodo.org/record/3369984#.XVb6KpJKjUI">https://zenodo.org/record/3369984#.XVb6KpJKjUI</a> (doi:10.5281/zenodo.3369984). This branch is no longer under development and all improvements have been transferred to NCAR's official repository.

Details on the improvements applied to the HRRR and HRRRNEST parameterizations can be also found in Olson et al. (2019a).

All dataset used in this study are freely available to the public from the DOE Data Archive and Portal (DAP; https://a2e.energy.gov/projects/wfip2).

Please contact the corresponding author for additional details, if needed.

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Type of instr.	Site ident.	Lat (N)	Lon (W)	Alt (m asl)	Terrain complexity std (m)	Data availability (%)	Institution in charge
sodar	AON1	45.505	119.491	706	64	Spr 16: 96 Sum 16: 96 Fall 16: 91 Win 17: 33	Vaisala
sodar	AON2	45.554	120.156	356	13	Spr 16: 98 Sum 16: 98 Fall 16: 93 Win 17: 94	Vaisala
sodar	AON3	45.938	119.406	116	12	Spr 16: 97 Sum 16: 98 Fall 16: 92 Win 17: 84	Vaisala
sodar	AON4	45.637	120.680	432	34	Spr 16: 98 Sum 16: 97 Fall 16: 92 Win 17: 72	Vaisala
sodar	AON5	45.575	120.747	456	13	Spr 16: 99 Sum 16: 99 Fall 16: 93 Win 17: 95	Vaisala
sodar	AON6	45.516	120.781	731	81	Spr 16: 97 Sum 16: 84 Fall 16: 82 Win 17: 89	Vaisala
sodar	AON7	45.631	121.069	166	55	Spr 16: 97 Sum 16: 16 Fall 16: 0 Win 17: 86	Vaisala
sodar	AON8	45.602	121.589	703	98	Spr 16: 34 Sum 16: 0 Fall 16: 0 Win 17: 0	Vaisala
sodar	AON9	45.374	121.330	836	57	Spr 16: 0 Sum 16: 0 Fall 16: 0 Win 17: 51	Vaisala
sodar	BOR	45.816	119.812	112	6	Spr 16: 95	NOAA/ARL

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						Sum 16: 96	
						Fall 16: 74	
						Win 17: 83	
						Spr 16: 8	
	CDM	15 245	120 160	001	25	Sum 16: 37	DOE/MDEL
sodar	CDN	45.245	120.169	891	25	Fall 16: 84	DOE/NREL
						Win 17: 97	
						Spr 16: 96	
						Sum 16: 98	
sodar	DCR	45.165	120.656	795	26	Fall 16: 97	DOE/NREL
						Win 17: 92	
						Spr 16: 95	
sodar	GDL	45.805	120.849	501	16	Sum 16: 98	DOE/ANL
						Fall 16: 90	
						Win 17: 87	
						Spr 16: 96	
sodar	PVE	44.285	120.901	991	42	Sum 16: 96	NOAA/ARL
Social	IVL	14.203	120.701	771	72	Fall 16: 92	TOTHUTTE
						Win 17: 57	
						Spr 16: 48	
	DEC	45 601	120.746	62	90	Sum 16: 4	LIMID
sodar	RFS	45.691	120.746	62	80	Fall 16: 11	UND
						Win 17: 23	
						Spr 16: 94	
			100 - 1-		4.0	Sum 16: 98	505/5104
sodar	RTK	45.364	120.747	708	19	Fall 16: 89	DOE/PNNL
						Win 17: 41	
						Spr 16: 81	
						Sum 16: 88	
sodar	WCO	45.590	120.672	462	25	Fall 16: 69	NOAA/ARL
						Win 17: 71	
						Spr 16: 91	
sodar	WWL	46.095	118.261	382	34	Sum 16: 85	DOE/ANL
						Fall 16: 83	
						Win 17: 97	
						Spr 16: 96	
sodar	YKM	46.572	120.551	330	19	Sum 16: 73	DOE/ANL
Sodai	1 171/1	10.572	120.331	330	17	Fall 16: 25	
						Win 17: 85	
scanning	ARL	45.720	120.187	266	56	Spr 16: 100	NOAA/ESRL

lidar						Sum 16: 100 Fall 16: 28 Win 17: 95	
profiling lidar	GDR	45.516	120.780	725	81	Spr 16: 90 Sum 16: 90 Fall 16: 71 Win 17: 0	CU
profiling lidar	VCR	45.954	118.688	542	69	Spr 16: 93 Sum 16: 97 Fall 16: 78 Win 17: 45	LLNL

Table 1: List of the instruments used in this study with site identification name, latitude, longitude, elevation, terrain complexity, percentage of data availability, and institution in charge.

## Figure captions

- Figure 1: Diurnally averaged 80-m wind speed MAEs for: HRRR CNT (red curves), HRRR EXP (blue curves), HRRRNEST CNT (yellow curves), and HRRRNEST EXP (black curves). Panel a) shows the MAEs averaged over the four reforecast periods, panel b) are MAEs for the spring 2016 reforecast period, c) for summer 2016, d) for fall 2016 and e) for winter 2017. Initialization times at 0000UTC (Z00) are represented with O's and at 1200UTC (Z12) with X's, while the solid bold lines are the averages between the Z00 and Z12 values. Red and blue arrows on the y-axes represent the sunrise and sunset times, respectively. Averaged observed 80-m wind speeds are presented in the insert of panel a) for the four reforecast periods for reference.
- Figure 2: As in Figure 1 but for the 80-m wind speed biases.
- Figure 3: 80-m wind speed MAEs (on the left) and biases (on the right) averaged over the four reforecast periods. Initialization times are represented with the O's (Z00 runs) and with the X's (Z12 runs), while the solid bold lines are the averages between the Z00 and Z12 values.
  - Figure 4: 80-m wind speed bias (model-observations) normalized by the averaged (observed, in dotted black lines) 80-m wind speed at each site for the four reforecast runs as a function of site elevation for the four reforecast periods separately: panel a) is for the spring 2016 reforecast period, b) for summer 2016, c) for fall 2016 and d) for winter 2017). Sites are sorted from low to high elevation (from Rufus at 62 m asl to Prineville at 991 m asl). Panel e): topography of the area and location of the sites.
  - Figure 5: Left panels: HRRR EXP vs HRRR CNT MAE for 80-m wind speed. Right panels: As on the left, but for 80-m wind power, showing the impact of the experimental physics. Upper panels are MAEs, middle panels are differences between MAEs of the HRRR CNT run and HRRR EXP run (error bars represent the  $\pm 1.96\sigma/\sqrt{-}$ n interval of the 95% confidence level), and lower panels are the percentage MAE relative improvement of the HRRR EXP model over the HRRR CNT model.
- Figure 6: As in Fig. 5 but for HRRRNEST CNT (in yellow) vs HRRR CNT (in red) runs, showing the impact on 80-m wind speed MAE of finer model horizontal grid spacing.
  - Figure 7: Improvements in 80-m wind speed MAE due to the experimental physics (left panels) and finer horizontal grid spacing (right panels) for the four reforecast periods averaged together (upper panels) and for the four reforecast period separately (lower smaller panels) for all reforecast runs. In dark blue is HRRR EXP vs HRRR CNT, in light blue HRRRNEST EXP vs HRRRNEST CNT, in red is HRRRNEST CNT vs HRRR CNT, and in orange HRRRNEST EXP vs HRRR EXP. Red and blue arrows on the y-axes represent the sunrise and sunset times, respectively.
  - Figure 8: As in Fig. 6 but for HRRRNEST EXP (in black) vs HRRR CNT (in red) runs, showing the combined impact on 80-m wind speed MAE of the experimental physics and finer model horizontal grid spacing.
- Figure 9: Left panel: percentage improvements on 80-m wind speed MAE due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together. Right panel: Same as on the left, but for 80-m wind power MAE results.
  - Figure 10: Improvements due to the experimental physics (blue and light blue), finer horizontal grid spacing (red and orange), and to the combination of the two (gray) as a function of the different meteorological phenomena common to the WFIP2 area.
- Figure 11: Same as in Fig. 10, but for the four reforecast periods individually (spring, upper left panel; summer, upper right panel; fall, lower left panel; and winter, lower right panel).
  - Figure 12: Time series of 80-m wind speed MAE (upper panel) and 80-m wind speed (lower panel) for the summer reforecast period. HRRR CNT is in red, HRRR EXP is in blue, observations are in black. In the lower panel days identified in the Event Log as experiencing gap flows are highlighted with the red shaded areas.
  - Figure 13: As in Fig. 12, but for part of the winter 2017 reforecast period.
- 40 Fig. 14. Percentage improvements on 80-m wind speed MAE (after bias correcting the model output) due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together. Upper panel: results using a "mean bias" correction; lower panel: results using a "diurnal bias" correction.

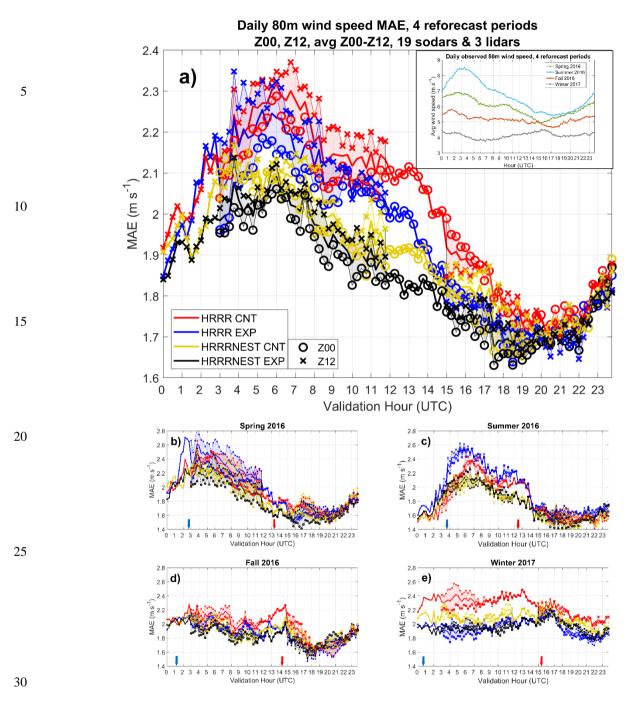


Figure 1: Diurnally averaged 80-m wind speed MAEs for: HRRR CNT (red curves), HRRR EXP (blue curves), HRRRNEST CNT (yellow curves), and HRRRNEST EXP (black curves). Panel a) shows the MAEs averaged over the four reforecast periods, panel b) are MAEs for the spring 2016 reforecast period, c) for summer 2016, d) for fall 2016 and e) for winter 2017. Initialization times at 0000UTC (Z00) are represented with O's and at 1200UTC (Z12) with X's, while the solid bold lines are the averages between the

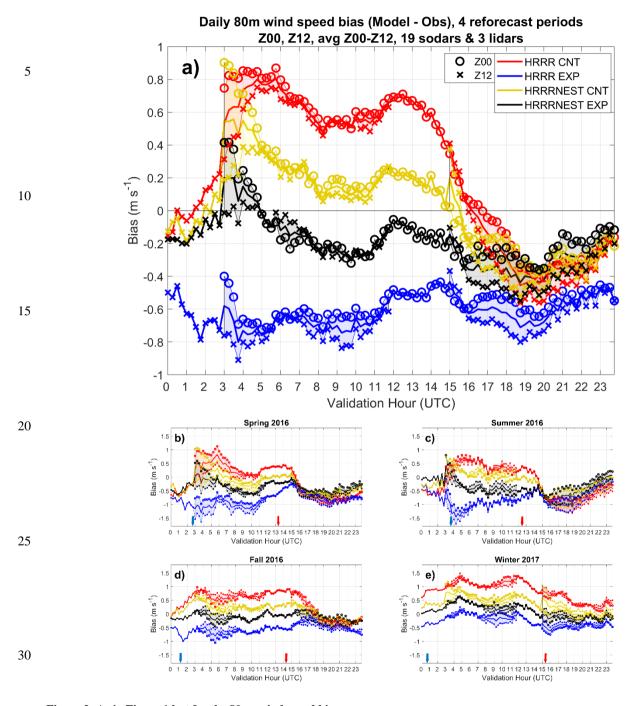


Figure 2: As in Figure 1 but for the 80-m wind speed biases.

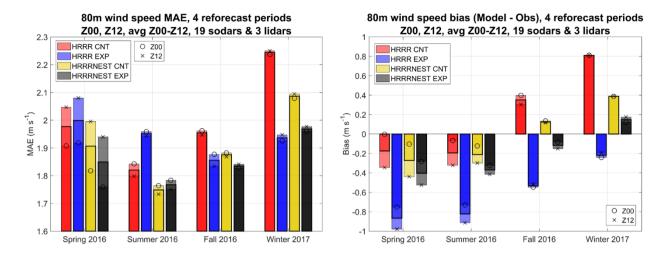


Figure 3: 80-m wind speed MAEs (on the left) and biases (on the right) averaged over the four reforecast periods. Initialization times are represented with the O's (Z00 runs) and with the X's (Z12 runs), while the solid bold lines are the averages between the Z00 and Z12 values.

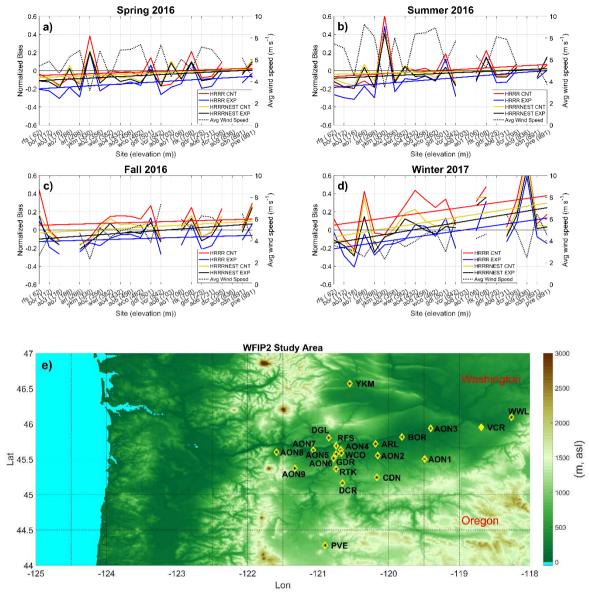


Figure 4: 80-m wind speed bias (model-observations) normalized by the averaged (observed, in dotted black lines) 80-m wind speed at each site for the four reforecast runs as a function of site elevation for the four reforecast periods separately: panel a) is for the spring 2016 reforecast period, b) for summer 2016, c) for fall 2016 and d) for winter 2017). Sites are sorted from low to high elevation (from Rufus at 62 m asl to Prineville at 991 m asl). Panel e): topography of the area and location of the sites.

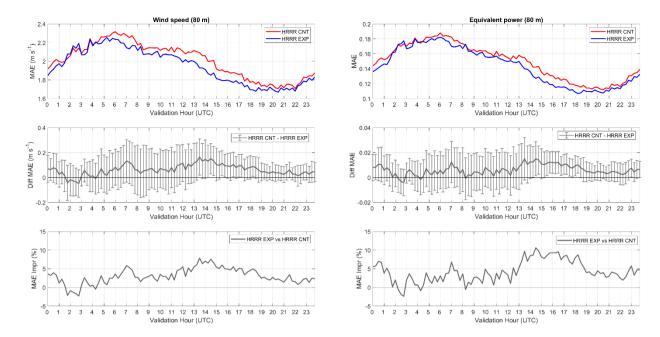


Figure 5: Left panels: HRRR EXP vs HRRR CNT MAE for 80-m wind speed. Right panels: As on the left, but for 80-m wind power, showing the impact of the experimental physics. Upper panels are MAEs, middle panels are differences between MAEs of the HRRR CNT run and HRRR EXP run (error bars represent the  $\pm 1.96\sigma/\sqrt{-}$ n interval of the 95% confidence level), and lower panels are the percentage MAE relative improvement of the HRRR EXP model over the HRRR CNT model.

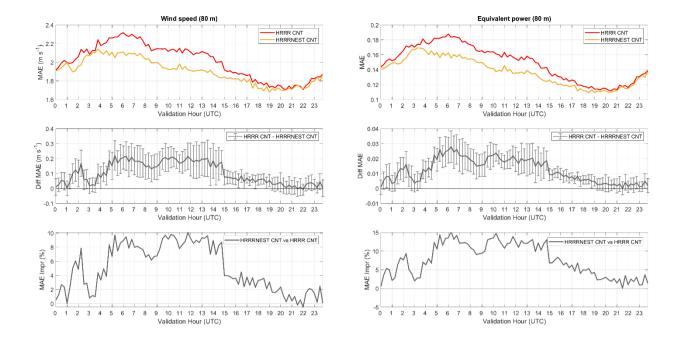


Figure 6: As in Fig. 5 but for HRRRNEST CNT (in yellow) vs HRRR CNT (in red) runs, showing the impact on 80-m wind speed MAE of finer model horizontal grid spacing.

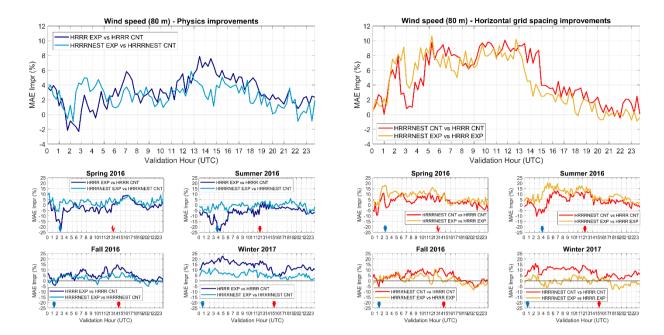


Figure 7: Improvements in 80-m wind speed MAE due to the experimental physics (left panels) and finer horizontal grid spacing (right panels) for the four reforecast periods averaged together (upper panels) and for the four reforecast period separately (lower smaller panels) for all reforecast runs. In dark blue is HRRR EXP vs HRRR CNT, in light blue HRRRNEST EXP vs HRRRNEST CNT, in red is HRRRNEST CNT vs HRRR CNT, and in orange HRRRNEST EXP vs HRRR EXP. Red and blue arrows on the y-axes represent the sunrise and sunset times, respectively.

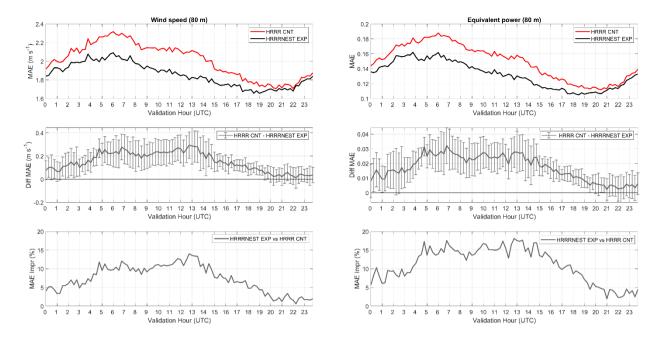


Figure 8: As in Fig. 6 but for HRRRNEST EXP (in black) vs HRRR CNT (in red) runs, showing the combined impact on 80-m wind speed MAE of the experimental physics and finer model horizontal grid spacing.

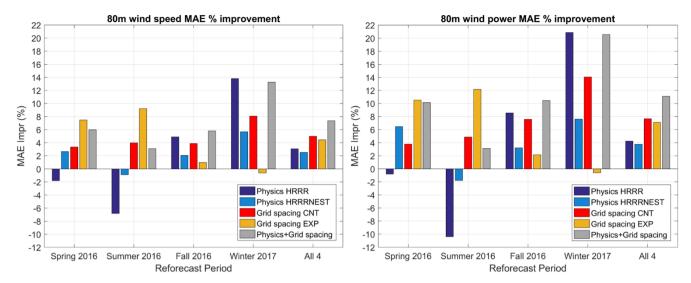


Figure 9: Left panel: percentage improvements on 80-m wind speed MAE due to the experimental physics, finer horizontal grid spacing, and the combination of the two, for the four reforecast periods separately and averaged together. Right panel: Same as on the left, but for 80-m wind power MAE results.

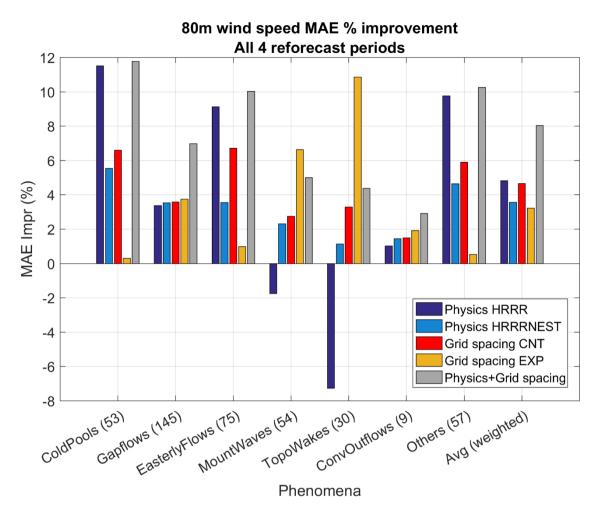


Figure 10: Improvements due to the experimental physics (blue and light blue), finer horizontal grid spacing (red and orange), and to the combination of the two (grey) as a function of the different meteorological phenomena common to the WFIP2 area.

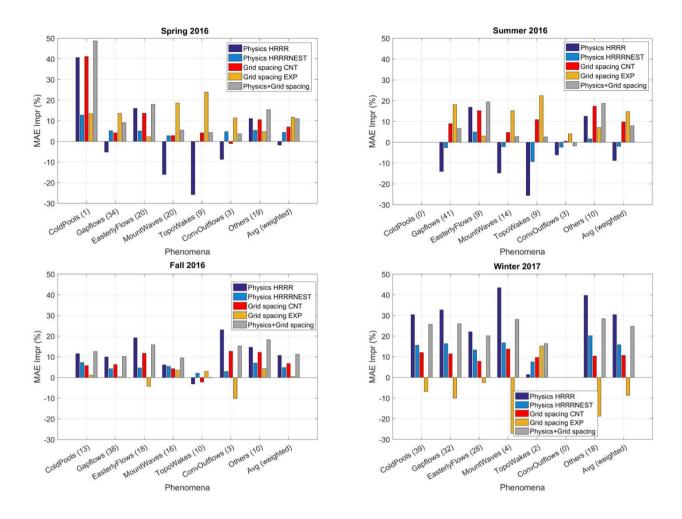


Figure 11: Same as in Fig. 10, but for the four reforecast periods individually (spring, upper left panel; summer, upper right panel; fall, lower left panel; and winter, lower right panel).

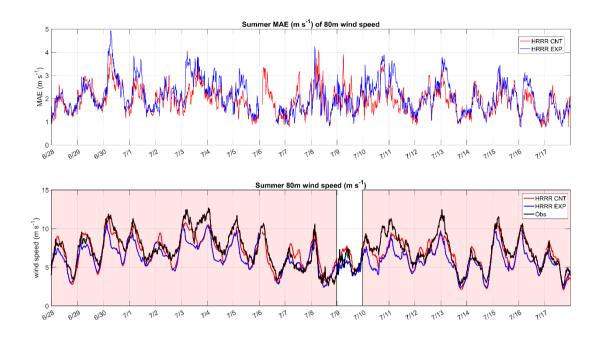


Figure 12: Time series of 80-m wind speed MAE (upper panel) and 80-m wind speed (lower panel) for the summer reforecast period. HRRR CNT is in red, HRRR EXP is in blue, observations are in black. In the lower panel days identified in the Event Log as experiencing gap flows are highlighted with the red shaded areas.

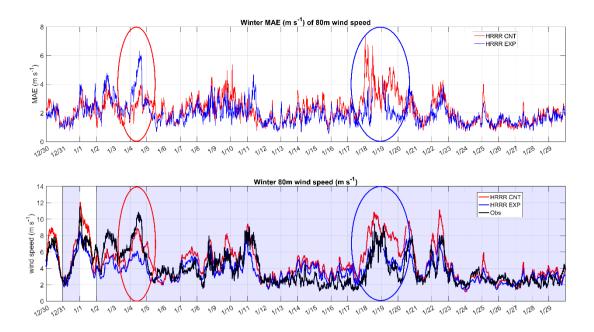
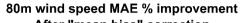
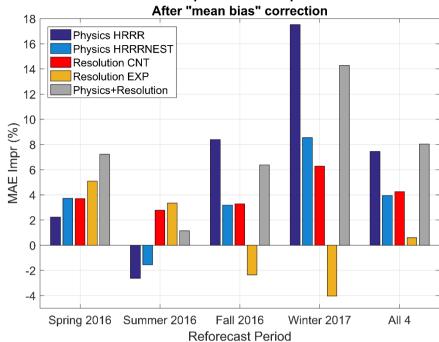


Figure 13: As in Fig. 12, but for part of the winter 2017 reforecast period.





## 80m wind speed MAE % improvement

