

Dear Dr. Müller,

Thank you, the reviewers Dr. Baker and Dr. Olin for the in-depth and constructive feedback on our first version of the manuscript “The biophysics, ecology, and biogeochemistry of functionally diverse, vertically- and horizontally-heterogeneous ecosystems: the Ecosystem Demography Model, version 2.2 — Part 1: Model description” (gmd-2019-45). We also thank Dr. Kim for the iterative comment and suggestion to include the differences between the ED-2 versions in this manuscript.

Below we include the detailed, point-by-point response to each comment and question raised by each referee. In our responses, line and page numbers correspond to the enclosed annotated manuscript and annotated supporting information. In the annotated files, **blue** text was added, and ~~crossed-text~~ was removed.

Sincerely,
Marcos Longo.

Responses to Dr. Ian Baker

IB Comment 01: Larger Impressions. This paper describes the code used in ED2.2. That’s pretty much it. There isn’t really any ‘new science’ here, and in fact any text not devoted to explaining equations is just showing that the model gives reasonable results and conserves energy (there are a few paragraphs showing differences in size/age distributions for two tropical sites with different disturbance regimes). It can be hard to get a paper like this through review, but the authors are lucky to have me for a reviewer. I know the value of papers like this (e.g. the BATS NCAR Technical Manual by Dickinson et al., the LSM Technical Note by Bonan, and the Sellers SiB papers from 1986 and 1996). However, the people reviewing the methods paper want to see results, and vice versa, and they want the paper to be short. But these ‘code papers’ have value for the people who use models, and I appreciate that because I am one of them.

> Response: We are glad that the Reviewer appreciates the value of this model description manuscript. We believe that the ED-2 model needs a detailed technical description. The GMD *model description paper* type expects: “detailed, complete, rigorous, and accessible” descriptions of the model, and to present examples of model output and model verification. We are glad that the Reviewer identified all these elements in this manuscript.

IB Comment 02: I understand there is no way to combine the Parts 1 and 2 of the ED2.2 paper. This weighty tome already comes in at over 100 pages (paper plus supplements for Part 1), yet it is critical to make the code information available for people who will use the model. Some might suggest a technical manual (like is done for CLM), and the authors have in fact done this; I took a quick look at the wiki, and I think it has very useful information for users, but it doesn’t really lay out the rationale for the code. Also, the authors want to get journal citations and credit for the work they’ve done, and I don’t blame them one bit.

> Response: We are glad that the Reviewer agrees in the value of a manuscript describing the model in detail. The online wiki explains how to run the ED-2.2 model

whereas, as the reviewer correctly pointed out, this manuscript describes the rationale and the parameterizations needed to solve the energy, water, and carbon cycles within the ED-2.2 framework.

IB Comment 03: I'm not going to download the code and study it line by line to see if the explanations make sense. There is no way I could do that and get a review back in under a year. Therefore, it is incumbent upon the authors to very carefully go over the manuscript and check for typos in the equations as they appear in the paper.

> Response: As per the Reviewer's suggestion, we are revising the paper and looked for typos. We identified some typos (Eq. 4, Eq. S5, Eq. 59, Eq. S87), and will continue to revise the equations to ensure they are correct.

IB Comment 04: Initially, I thought that perhaps I was the wrong person to review this paper. I have years of experience with SiB and CLM, but none with ED. But then I realized that makes me the perfect person to review; someone familiar with ED will already know much of the material. But if I can understand how ED2.2 works after reading the paper, then the authors have done their job. And I think they've succeeded. I feel fairly comfortable, for the most part, about the ED2.2 framework after reading the paper (multiple times). This paper will be useful for researchers learning or developing ED, and other models, in the future.

> Response: We thank the Reviewer for thinking that the paper was useful to understand the concepts of ED-2.2. We sought to make the manuscript accessible to a broad community that may or may not be familiar with the ED-2.2 model.

IB Comment 05: My formal recommendation is to accept the paper for publication, with minor revisions. I don't need to see it again.

> Response: We thank the Reviewer for the encouraging recommendation.

IB Comment 06: I really like the use of enthalpy; that is an innovative way to demonstrate conservation of energy, and I'm not sure it has been used before.

Response: We also prefer the equations to be based on energy (or enthalpy) as the response variable, as this simplifies the tracking of energy and helps to enforce energy/enthalpy conservation. We too are not aware of energy/enthalpy being used as the energy-related state variable, and thus represents a novel feature ED-2.2 model.

IB Comment 07: I'd like to see more emphasis on what is new in ED2.2 (final paragraph in the Introduction). A bullet list might draw the reader's eye to the new features in this version of the model.

> Response: This suggestion is also in line with Dr. John Kim's iterative comment. We modified the next-to-last paragraph of the introduction to briefly describe and itemize the main changes between ED-2.0 and ED-2.2 ([p. 5, l. 23–29 of the annotated manuscript](#)).

In addition, we included a new Supplement (S1) that will describe the timeline of changes between ED-2.0 and ED-2.2, based on our previous reply to John Kim (<https://doi.org/10.5194/gmd-2019-45-AC1>) ([p. S26–S28 of the annotated supplement](#)).

Specific Comments

(many of these are suggestions for grammar, and in some cases need not be implemented exactly as I suggest. They are just places where I noted typos and grammar issues. I also apologize for location indicators; in my copy there were new line numbers on each page, and after about page 26 I found a line numbered 5 at the bottom of the page sometimes.)

IB Comment 08: Abstract, line 11: “out and is presented”

> **Response:** We modified the text as suggested ([p. 1, l. 11 of the annotated manuscript](#)).

IB Comment 09: Page 2, lines 5-15: This description of generational advances in model development does not align exactly with Sellers et al. (1997). I think it would be helpful to acknowledge the Sellers paper and put the descriptions here in that context.

> **Response:** As suggested, we cited Sellers et al. (1997) and revised our narrative to be consistent with Sellers et al. (1997) ([p. 2, l. 13–23 of the annotated manuscript](#)).

IB Comment 10: Page 3, line 7: SiB does not have an explicitly layered canopy or sunlit/shaded leaves separately treated.

> **Response:** As suggested, we removed the reference to SiB ([p. 2, l. 17–18 of the annotated manuscript](#)).

IB Comment 11: Page 3, lines 12-13: I’m confused here. I thought models were transitioning from broadly-defined ‘biomes’ to a PFT-based mosaic structure. This sentence says the opposite.

> **Response:** We intended to say that the lack of functional diversity and the mechanistic representation of ecological processes such as competition cause models to predict ecosystems comprised of single homogeneous vegetation types. We rewrote the sentence to clarify this point ([p. 3, l. 23–24 of the annotated manuscript](#)).

IB Comment 12: Page 6: The full set of PFTs is not listed. In table S5 we’re shown parameter values for the tropical grasses and trees used here, but if this paper is going to be the ‘go to’ manual for ED2.2, all PFTs should be listed in a table somewhere. Don’t worry about the extra length-this paper is already incredibly long.

> **Response:** As suggested by the reviewer, we will include the parameter values for all the default PFTs. Specifically, we added a separate table for temperate PFTs ([New Table S6](#)), revised the Leaf phenology supplement ([New Supplement subsection S3.1.3](#)) to include descriptions of the cold-deciduous leaf phenology, and updated Supplement (Allometric equations) (formerly S16, now S18) to include non-tropical PFTs ([p. S69–S72 of the annotated supplement](#)).

IB Comment 13: Page 7, line 15: I’d like to see the index k introduced here. I had to wade through a bit of text in the supplements before I realized that k addressed cohorts (this might also have to do with the fact that I had a hard time seeing k in the lettering in Figure 1. It might be helpful to have a small table showing the indexes used to address sites, patches, and cohorts. By the time I had read the paper several times I think I had it figured out, but a more explicit explanation might be helpful.

> Response: As suggested, we included a new Table 1 with indices associated with patches, cohorts, disturbance types and PFTs (p. 8 of the annotated manuscript). The new Table 1 caption also refers to existing Table S1 that lists all the subscripts used in the manuscript. We also revised the text to describe the subscripts, and referred to the new Tables 1 and S1 (p. 6, l. 29; p. 7, l. 5; p. 8, l. 15; p.9, l. 1 of the annotated manuscript).

IB Comment 14: Page 9, lines 31-32: How do you specify CO₂ mole fraction on the timescale of the model? I'm not aware of CarbonTracker or GlobalView products that give that kind of resolution, and products with temporal averaging will cause issues with your carbon exchange during diurnal cycles (I think Jih-Wang Wang et al.,2007, talks about this). I don't see any mention of CO₂ drivers in the wiki either. We've always calculated atmosphere-CAS CO₂ exchange using a constant atmospheric value, and the flux can be easily scaled during a mesoscale- GCM- or transport-model application when a time- varying atmospheric CO₂ value is available in the lowest atmospheric level. This may be a recommendation more appropriate for the github wiki, but I think the authors need to explain to the user how to deal with it.

> Response: Above-canopy CO₂ is read-in as part of the meteorological drivers read routines. It therefore must be provided by the user, along with other meteorological variables. Further details on the initialization procedure can be found on ED2 site GitHub (<https://github.com/EDmodel/ED2/wiki/Drivers>). Ideally, atmospheric CO₂ should be provided at comparable temporal and spatial resolution to other meteorological variables, to avoid the issues raised by the reviewer. In the case of coupled ED2-BRAMS simulations (e.g. Knox et al. 2015; Swann et al. 2015), this happens automatically since CO₂ is explicitly tracked as an atmospheric state variable whose value at the lower boundary value is passed into ED2 thereby eliminating the need to scale the fluxes.

In offline simulations, CO₂ data are not generally available at comparable spatial scale or similar temporal resolution as other meteorological drivers. For these cases, ED-2.2 allows the user to provide time-varying CO₂ that is constant in space (this option is available to all meteorological drivers, as ED-2.2 can be driven by micrometeorological tower data). Alternatively, the user can set a constant CO₂ mixing ratio when no CO₂ data are available.

In line with the Reviewer's suggestion, we added text clarifying that ED-2.2 can read CO₂ data when available. We also explained that ED-2.2 can read data from a single site (eddy flux tower) and gridded drivers (reanalysis) (p. 11, l. 14–18 of the annotated manuscript).

IB Comment 15: Page 10, lines 12-13: "aboveground part each cohort" Huh? I think there is some re- wording needed here.

> Response: As suggested, we replaced this text with "leaves and branchwood portion of each cohort", to clarify the meaning of the sentence (p. 12, l. 3–4 of the annotated manuscript).

IB Comment 16: Page 11, line 11: "components on the right-hand side"

> Response: As suggested, we corrected this typographical error (p. 13, l. 1 of the annotated manuscript).

IB Comment 17: Page 15, line 1: I'm not sure I understand exactly what j -prime means. I think I know, as in there is no sub-surface runoff from any soil level above the bottom one, and no ground evaporation from layers below the surface, but this is not made explicit to the reader.

> **Response:** We used j' to refer to two different soil layers (g_j and $g_{j'}$). For example, in the third term on the right-hand side of Eq. (8) ($\delta_{g_j g_{j'}}$) is 0 for all layers except layer g_1 . We did not want to add yet another index because it could be even more confusing and therefore plan to keep the j' notation; however, in light of the Reviewer's suggestion, we modified the text to clarify the meaning of j' (p. 15, l. 21 of the annotated manuscript). We made a similar modification to the text after Eq. (11) (p. 17, l. 16 of the annotated manuscript).

IB Comment 18: Page 10, line 3: I'm not sure that holding energy, enthalpy, and water fluxes to zero is consistent with the explanation given on page 9, lines 24-26. If free drainage is allowed out of the bottom of the soil column, won't $W\text{-dot } g_{0,g1}$ be nonzero? This needs to be made more clear.

> **Response:** We believe the Reviewer was referring to Page 15, line 3. In the original submission, we had defined sub-surface runoff (drainage) as a separate term ($H\text{-dot }_{g1,o}$; $W\text{-dot }_{g1,o}$), and thus we defined $H\text{-dot }_{g0,g1}$ and $W\text{-dot }_{g0,g1}$ to be zero in section 3.2.1. However, we agree with the Reviewer that this is confusing and also is inconsistent with the text in section 4.1. To remove the ambiguity, we will refer to sub-surface runoff fluxes as $H\text{-dot }_{g0,g1}$ and $W\text{-dot }_{g1,g0}$, and use subscript o exclusively for surface runoff. Specifically, we made the following three changes:

- (i) Re-define the flux notation at the beginning of section 3.2 (p. 14, l. 11 – p. 15, l. 2 of the annotated manuscript).
- (ii) Replace the notation $g_{1,o}$ with $g_{1,g0}$ in Table 5 (formerly Table 4), and in section 4.1 (Hydrology sub-model and ground energy exchange) (p. 22, l. 22 of the annotated manuscript, Eq. 29 and Eq. 33).
- (iii) Remove the sub-surface runoff term from equations (6) and (7), and describe that the terms $H\text{-dot }_{g0,g1}$ and $W\text{-dot }_{g0,g1}$ are the (negative) sub-surface runoff fluxes (p. 16, l. 1 – p. 17, l. 3 of the annotated manuscript).

IB Comment 19: Page 16, lines 1-2: If layer N_{s+1} does not exist, why mention it at all? Does it exist in the code as a placeholder? If so, that should be stated.

> **Response:** We made the comment because the second term on the right-hand sides of Equations (9–11) for layer $s_j = s_{NS}$ implies the existence of such layers. We rewrote the sentence to clarify this (p. 17, l. 20–22 of the annotated manuscript).

IB Comment 20: Page 17, line 3 or so “that changes we obtain” could be “then we obtain”

> **Response:** As suggested, we replaced “that changes we obtain” with “then we obtain” (p. 19, l. 7–8 of the annotated manuscript).

IB Comment 21: Page 21, line 3: “because the enthalpy” could be “due to the enthalpy”

> Response: As suggested, we replaced “because the enthalpy” with “due to the enthalpy” (p. 23, l. 22 of the annotated manuscript).

IB Comment 22: Page 28, line 22: “surface x is at temperature T with a liquid”

> Response: As suggested, we replaced “and a liquid” with “with a liquid” (p. 32, l. 4 of the annotated manuscript).

IB Comment 23: Page 28, line 5 (bottom of page): “and Leuning” could be “and the Leuning”

> Response: As suggested, we inserted the missing word “the” before “Leuning” (p. 32, l. 13 of the annotated manuscript).

IB Comment 24: Page 31, lines 9-15: This temperature restriction is similar to what we’ve used in SiB for years. We also have a frost ‘delay’ term, where plants do not rebound immediately to photosynthesize during periods where temperatures may go below freezing (think spring in higher latitudes). I’d be happy to share it with you. Also, we have a humidity restriction term.

> Response: We thank the Reviewer for kindly offering to share the parameterization. We will consider implementing this parameterization in future versions of ED-2.

IB Comment 25: Page 33, water extraction by roots: OK, so plants can extract water from all layers “to which they have access” (which, in a 3-layer soil I imagine is all of them), but roots have a uniform mass distribution. I think I might know why this is done. In the real world, I would expect a shorter/younger cohort to be less deeply rooted than an older/taller cohort, and grasses to be shallower rooted still. I also imagine that when this was done in ED, the short/young/grass cohorts might have died due to lack of water because the old/tall trees took it all. This is fine, but you can’t have it both ways. In Section 6.2, “Heterogeneity of ecosystems” the authors claim ED 2.2 “...improves the characterization of heterogeneity...by the number of individuals, their height and rooting depth, and their traits and trade-offs that determine their ability to extract soil moisture...” which contradicts what is described in Section 4.6. These stories need to be made consistent.

> Response: We first must clarify that ED-2.2 does not necessarily have three soil layers: the user can specify how many layers to use and the thickness of each layer. This confusion may have arisen from Figure 2, which depicted three layers. In light of the Reviewer’s comment, we will modify the diagram to show a generic number of layers. Not all cohorts have access to all layers: access is determined by the root allometry. We agree that Sections 4.6 and 6.2 should be consistent and we believe that the ambiguity will be resolved by redrawing Figure 2, and rephrasing the text in the beginning of section 3.2.1 (p. 15, l. 8–9 of the annotated manuscript).

A few additional factors must be accounted for in the below-ground competition. (1) Even though the biomass of each cohort is uniformly distributed, the amount of water extracted by each cohort from each layer is proportional to the available water in each layer (i.e., if the shallower soils are drier, deep-rooted plants will remove most of the water from the wettest soil layers. This is described in Eq. 95–96. (2) Because ED-2.2 represents cohorts with different sizes in the same patch, when large and small trees coexist, the water demand of small cohorts is typically reduced, because these cohorts are light-limited. (3) Small cohorts have generally lower carbon demand because the

biomass of their living tissues, and consequently their maintenance costs, is smaller than the live biomass of large trees. Therefore, it is not necessarily true that the higher soil moisture stress of small cohorts will lead to their extinction. In ED-2.2, the success or failure of plants to survive droughts is governed by tradeoff between soil moisture stress, size-specific carbon and water demands, and maintenance costs under drought scenarios. These interactions have been previously explored in Longo et al. (2018). The results indicated increases in the abundance of small-sized plants under more frequent and extended droughts, as high-mortality of large, water-demanding trees improved light conditions in the understory.

IB Comment 26: Page 37: “nonexistent”

> Response: As suggested, we replaced “inexistent” with “nonexistent” (p. 41, l. 9 of the annotated manuscript).

IB Comment 27: Page 37: “stand-level” is not defined in the paper. Does this mean polygon, site, or something else? Also, I’m not sure the significance of the paragraph comparing stand variability to patch variability. What does it mean?

> Response: The notation was indeed confusing, and we will remove “stand” from the paragraph, and it replace with “polygon” to be consistent with the rest of the narrative. The goal of the analysis in this section was to compare and quantify the impact of structural variability of complex ecosystems such as forests with the climate variability. Climate variability is represented by most dynamic global vegetation models, but structural variability cannot be properly represented by big-leaf models. The goal here was to show that this variability is relatively important. We re-wrote the paragraph to clarify these points (p. 41, l. 12 – p. 42, l. 8 of the annotated manuscript).

IB Comment 28: Page 38: “density in the canopy air space”

> Response: As suggested, we replaced “density at the canopy air space” with “density in the canopy air space” (p. 44, l. 3 of the annotated manuscript).

IB Comment 29: Page 39: SiB has had a prognostic CAS since 2003 (Baker et al), based on Vidale and Stockli (2005). Just sayin’.

> Response: Thank you for the additional references, we rephrased the sentence to include SiB as another model that represents canopy air space (p. 44, l. 6 of the annotated manuscript).

IB Comment 30: Page 40, line 12: “access to and competition for”

> Response: We modified the text as suggested (p. 44, l. 21 of the annotated manuscript).

IB Comment 31: Page 40, lines 29-30: “is fundamental to explaining”

> Response: We modified the text as suggested (p. 45, l. 11 of the annotated manuscript).

IB Comment 32: Page 41, lines ?: “degradation is pervasive”

> Response: As suggested, we corrected the sentence to “degradation is pervasive” (p. 46, l. 18 of the annotated manuscript).

IB Comment 33: Page 42, line 29: “has excellent conservation”

> Response: We replaced this sentence with “has a high degree of conservation” (p. 47, l. 10–11 of the annotated manuscript).

On to the Supplements! (I do not have specific line numbers in my supplements file; I’ll just have to do my best with explaining where the comments address)

IB Comment 34: Table S2: might help to add bulk specific enthalpy, and Temporary Surface Water.

> Response: As suggested, we added bulk specific enthalpy and temporary surface water to Table S2.

IB Comment 35: S2: What is a “leaf elongation factor”, and how is it determined? There is a long equation to describe s_{lk} , but we aren’t told what it means.

> Response: We modified the first paragraph of the Leaf phenology supplement (formerly S2, now S3) to clarify the meaning of leaf elongation factor (p. S30, l. 13–17 of the annotated supplement). We also included the meaning of s_{lk} after the equation (p. S31, l. 1–2 of the annotated supplement).

IB Comment 36: S7: is the ‘b’ term the Clapp and Hornberger b? If not C+H, where does the value come from?

> Response: Yes, the Reviewer is correct. We included the citation to Clapp and Hornberger (1978) when b is first defined (p. S46, l. 17–18 of the annotated supplement). We also included the information that the parameterization of soil matric potential is derived from Clapp and Hornberger (1978) (p. S46, l. 20–21 of the annotated supplement).

IB Comment 37: S7, field capacity: I’ve seen several definitions for determining field capacity from things like moisture potential. Is there a reference for what is being used here?

> Response: We used the definition by Romano and Santini (2002) and references therein. We included the reference to Romano and Santini (2002) and the rationale in the revised manuscript (p. S47, l. 9–14 of the annotated supplement).

IB Comment 38: S9: “contains contributions from reflectance and transmittance”

> Response: As suggested, we replaced “contribution” with “contributions” (p. S50, l. 5 of the annotated supplement). We did not replace “contain” with “contains” as we are referring to “both the bulk diffuse backscattering (β_{mk}) and forward scattering ($1-\beta_{mk}$)” (p. S50, l. 5 of the annotated supplement).

IB Comment 39: S12.1: Are you really able to avoid the ‘material surface at the top of the CAS’ problem under stable conditions? This has been a problem for years, and may be worth a publication of its own. If you’ve already written it, advertise it here.

> **Response:** We did not develop any new parameterization that avoids the flux underestimation under stable conditions. Instead, we implemented the Beljaars and Holtslag's (1991) empirical formulation of the flux profile functions. Beljaars and Holtslag (1991) found that their empirical model resulted in more mixing under stable conditions, so this is their result, not ours. We rewrote the paragraph to clarify these points ([p. S59, l. 9–14 of the annotated supplement](#)).

IB Comment 40: S15: soil moisture limitation on photosynthesis. There's been a lot of work done on this with regard to the fact that individual plants maintain photosynthesis as soil dries down from wilt point, until suddenly closing stomates (Colello et al., 1998; Kim et al., 2010). This behavior, while well-known on the plant scale, is problematic when imposed on the ecosystem scale, as it frequently results in binary, or 'on-off' behavior. Many methods have been utilized to deal with it (e.g. Laio et al., 2001; Porporato et al., 2001, 2002; Rodriguez-Iturbe 2000; Baker et al., 2008, 2013; Wood et al., 1992, to name just a few). I'd like to see more explanation of what you're doing. A graph showing how stress is imposed, from field capacity to wilt point, would be helpful. Is stress imposed in a linear fashion, or does it behave like the btran function in CLM? Is this function based on previous research (which should be cited), or something incorporated specially for ED2.2? If so, why?

> **Response:** The formulation of the soil moisture stress is mostly based on previous versions of ED (Moorcroft et al., 2001). The only difference in ED-2.2 is that we re-defined the soil water term to be a function of soil matric potential, similar to CLM (Oleson et al., 2013), because the response of the wilting factor to drying the new formulation is slightly more gradual than the original formulation. We modified the text to include these citations ([p. S68, l. 15–20 of the annotated supplement](#)). We also include a new Supplemental Figure S9 that shows the response of the soil moisture stress using both the original ED formulation and ED-2.2, which highlights the less steep transition from no stress to extreme stress when soils are dry.

We should also clarify that the soil moisture stress is calculated for each cohort and each patch, and as explained before, plants with different sizes and PFT have different rooting depths, and may have different water use strategies, expressed through different stomatal conductance parameters. The predicted response to soil water depletion in ED-2.2 does not show the “on-off” response. For example, in Figure S14 of Longo et al. (2018), we found that the soil moisture stress factor ($1 - f_{wilk}$) would increase more quickly for small cohorts (DBH < 10cm) than larger cohorts (DBH > 35cm) under recurrent fire regimes. However, that did not cause stomatal conductance to decrease for the small cohorts because once large trees started to die, the small trees experienced more light that compensated the additional soil moisture stress.

The diversity of cohort responses to droughts are further enhanced when using TOPMODEL (one of our current developments, as explained in Section 6.3). This approach accounts for both edaphic heterogeneity and lateral moisture transfer, and can represent that mesic lowlands do not dry as quickly as ridges, for example. Finally, please note that a version of ED-2 has been developed that mechanistically solves the plant hydraulics (Xu et al., 2016). This is currently not integrated with the ED-2.2 described here; however, work is underway to include this new formulation into the main distribution of the model on GitHub.

Figures

IB Comment 41: Figure 1: White text was difficult for me to read. It might be worth sacrificing the pretty clouds/sky background for something more simple. Or maybe just use red lettering.

> Response: In light of the Reviewer's feedback, we increased the contrast between the background and the text, and replaced the cloud picture to avoid white letters on white background. We also increased the contrast in Figures 2 and 3. In addition, we changed the symbols in the regional maps (Figures 7 and S4), following a suggestion we received for Part 2.

IB Comment 42: Figure 2: caption should say "dashed yellow arrows"

> Response: We corrected the caption as suggested. We also noticed that the colors were incorrect in Figure 3 caption, and corrected these as well.

IB Comment 43: Figure 3: caption should say there are 3 cohorts shown.

> Response: In line with the changes described in our response to *IB Comment 25*, we updated Figure 3 to show a generic number (N_T) of cohorts.

IB Comment 44: Nice paper, people. Good work.

> Response: Thank you Ian for reviewing the manuscript and for your constructive thoughts and feedback.

Responses to Dr. Stefan Olin

SO Comment 1: The model description did not leave out any details, which is a very good thing and it is not very common for many of the existing model description papers. The downside of that is of course that the manuscript is rather long, too long in my opinion.

> Response: We are glad that the Reviewer thinks the model description is detailed. Our goal was indeed to describe how the processes are actually solved within the ED-2.2 framework; we believe that providing the complete description allows ED-2.2 users to understand the rationale behind each module, and researchers using other models to understand and reproduce our methods should they decide to implement our developments in other models. We tried to keep the main text as concise as possible, by keeping only the main description of the fluxes in the main text, and algorithms and details on other variables (specific heat, conductances, definition of state variables, among others) in the Supplements. However, because the model solves many processes at multiple time scales, the final manuscript is still very long.

SO Comment 2: One thing I miss from the very thorough walkthrough of vegetation models in the introduction are references to the DGVMs that are closer to ED such as LPJ-GUESS (for disclosure, I am an LPJ-GUESS developer).

> Response: Following the Reviewer's suggestion, we included a new paragraph in the introduction describing the emergence of cohort-based models and included references to some of them, including LPJ-GUESS (p. 4, l. 24 – p.5, l. 2 of the [annotated manuscript](#)).

SO Comment 3: The text is easy to read, and the references to equations, sections and tables are good. One comment regarding the referencing to equations is that it should be consistent, for example on line 14, page 7. In my opinion it should read: Eq. 2-3 cannot ...

> **Response:** We believe our equation references are consistent with the GMD style guidelines. We do not have a strong preference and we will change the notation if the editor considers the reference style suggested by the Reviewer more appropriate.

SO Comment 4: Another comment I have regarding the equations (or symbols) is the sometimes odd choice of symbols. Like Eq. 36-38, why choose the same symbol for a variable that you are using as an operator?, that is very confusing.

> **Response:** We sometimes resorted on less conventional symbols because we used all letters of the Latin and Greek alphabet (lower case, upper case, and calligraphy, see Table S2). However, we agree with the reviewer that the use of Π for plant area index in Eq. 36–37 is potentially confusing. To address this issue we replaced the plant area index symbol from Π to Φ (upper case), and plant area density to ϕ (lower case), and use the symbol ω , (originally used for plant area density) for oxygenase:carboxylase rate. We tried to restrict odd symbols to variables that were only used in specific equations, to avoid distractions.

SO Comment 5: The same goes for the use of exp instead of e, and on the note of the letter e, you are using it as pool (ej) and as a scaling factor (eH ot), I'd say that it is better to use the letter e as the mathematical constant it is, and then use some other symbol to denote your pools. And for your factors, use q or f.

> **Response:** Both “e” and “exp” standard mathematical notation to refer to an exponential function. Regarding the scaling factors, we believe the Reviewer was referring to Eq. 103 and 104. However, we agree that using “e” in this context is potentially confusing, and therefore we replaced it with “*f-hat*” (f_{Cold} and f_{Hot} are already used in Eq. 85, and q is used for specific heat). We also replaced e for the elongation factor (Supplements) with \hat{e} . We did not use f in this case because it would lead to too many levels of subscripts, and no other letter was available. Regarding the soil carbon pools, we think that the subscripts make them clearly distinct from actual variables, and we kept them the same, as all other Latin letters have already been used for something else.

SO Comment 6: On the same topic of mathematical operators as variables, in Eq. 76, maybe something went wrong, there is a definition character instead of an equal sign. And again, why use operators as super scripts, just adds confusion.

> **Response:** We agree that the choice of the equivalent sign to indicate phase equilibrium (also known as saturation) was confusing, and that the superscript was also confusing. In light of the Reviewer’s comment, we replaced the previous notation and now refer to phase-equilibrium partial pressure as p_{Sat} and to phase-equilibrium specific humidity as w_{Sat} (Eq. 70 and Eq. 78).

SO Comment 7: And likewise, in Eq. 56, is that an equal sign as a superscript or do you have an assignment within the equation? Or is it a pre-request? Either way, that equation is confusing.

> **Response:** In light of the Reviewer's suggestion, we split Eq. 56 into two equations (Eq. 56 and 57) to eliminate the ambiguity.

SO Comment 8: With such an explicit formulation of the exchange of heat and water I find it rather strange that the incoming water does not have an explicit energy level specified. If 15 deg. C water lands on a surface that is 25 deg. C, there would be a cooling taking place. Maybe this is of minor importance in the Amazon, but in colder places this would matter. Or did I totally misread what is written in the beginning of Sect. 4.2, if so, I suggest you clarify this.

> **Response:** Precipitation has an explicit energy level associated, and this energy input is defined in the paragraph that starts on Page 24, line 10 of the annotated manuscript, including equation 39. Most meteorological drivers (including eddy covariance towers) do not provide precipitation temperature. Therefore, we assumed it to be the same as the temperature of the air above canopy (T_a , Eq. 39), which is not the same as the leaf or ground temperature; therefore, the model does account for the cooling effect of precipitation. We rewrote the paragraph to make these important points more explicit (p. 24, l. 10–21 of the annotated manuscript).

In addition to the temperature difference, precipitation in the form of snow has varying density, which in turn affects the density of developing snowpack. The dynamics of the snowpack was missing in the original manuscript, and we think it is an important process for non-tropical simulations. To avoid extending the main manuscript, we included the description of the snowpack depth dynamics as a new supplement S7, and indicated this in the text (p. 18, l. 1–4 of the annotated manuscript).

SO Comment 9: In the first paragraph of the discussion you are writing that you have demonstrated a functional diverse canopy, from the supplements I get that you have three PFTs along one functional trait axis.

> **Response:** The reviewer is correct that there are three default tree PFTs for tropical South America, and for the purposes of this manuscript we only used these three tree PFTs. However, in ED-2.2 model users can specify additional PFTs, or modify the existing PFTs, using XML files that are read during the initialization, and several published ED2 studies have modified or added tropical PFTs according to the scientific questions (e.g. Xu et al. 2016, Trugman et al. 2016, Feng et al. 2018).

This information was missing in our manuscript, and we included a paragraph in Section 2.3 (Model inputs) (p. 11, l. 21–24 of the annotated manuscript).

We also updated the discussion (Section 6.2) and point readers to these previous studies that have used non-default PFTs in the tropics (p. 44, l. 23–28 of the annotated manuscript).

SO Comment 10: Results are not really discussed nor shown, but one result that there is much focus on is the closed energy budget. Is it really closed if there is a 0.01 deviation? Is there not a great risk of error propagation if the bar is set that low? In LPJ-GUESS we are concerned if the mass balance is off by 10^{-12} .

> **Response:** We agree with the reviewer that we should impose stricter tolerances by default, and we modified the default to be 10^{-5} and updated the code available in the

permanent repository information (p. 47, l. 24–25 of the annotated manuscript). We cannot impose a tolerance as strict as LPJ-GUESS: to reduce the size of the output files, variables in ED-2.2 are stored as single precision (truncation error of the order of 10^{-7}), even though the biophysics solver uses double precision. We tested the model with the new tolerance, and the model ran without problems. We revised the paragraph to include the updated information (p. 39, l. 6–17 of the annotated manuscript).

We had already included text in the discussion proposing areas of improvement of closure, but we inserted a sentence acknowledging that the closure should be improved before the suggestions for improvement (p. 43, l. 10 – p. 44, l. 1 of the annotated manuscript).

Regarding the absence of results, we only showed model verification and some examples of simulations in this paper, in line with the GMD guidelines for model description manuscript. The companion manuscript (Longo et al., 2019, <https://doi.org/10.5194/gmd-2019-71>), also in review for GMD, has extensive model evaluation for tropical South America.

SO Comment 11: Some specific comments in addition to those spotted by Ian Baker: Line 3, page 41: remove the 'a'.

> Response: As suggested, we removed the “a” (p. 46, l. 18 of the annotated manuscript).

SO Comment 12: Line 3, page 21: intercepted instead of intercept.

> Response: As suggested, we replaced “intercept” with “intercepted” (p. 23, l. 21 of the annotated manuscript).

SO Comment 13: Line 1, page 33: What is a decay rate due to respiration? Do you mean turnover?

> Response: The original sentence was incorrect. This section is describing metabolic fine-root respiration, not turnover. We replaced the sentence for clarification (p. 37, l. 24–25 of the annotated manuscript).

SO Comment 14: Page 34: GYF is not defined, comes later.

> Response: We rewrote the text to explain that GYF stands for Paracou, French Guiana (p. 39, l. 7 of the annotated manuscript).

Response to iterative comment by Dr. John Kim

JK Comment 1: Thank you for an interesting and helpful paper. In the introduction the authors briefly outline how ED-2.2 evolved from ED-2 (lines 25–35), and comparisons against results from ED-2.0.12 and ED-2.1 are made in several places in the Results section. But it's unclear exactly how ED-2.2 is different than those previous versions. What exactly changed between those versions? What new processes are simulated?

I think clearly identifying the differences would help the reader understand what motivates this particular model description paper and how it's new and different than the existing model description paper for ED-2 (Medvigy et al. 2009); as well as help the reader understand how those different results might arise between ED-2.0.12, ED-2.1 and ED-2.2.

> Response: We thank Dr. Kim for the iterative comment.

We would like to clarify that the developments presented in our manuscript reflect changes since ED-2.0, as the technical description of ED-2.0.12 and ED-2.1 were not published and they are part of a continuous model development effort. In addition, while ED-2.0 solved the energy and water cycles at sub-daily time scales, the paper by Medvigy et al. (2009) does not describe the implementation of these cycles in the ED framework. Our manuscript describes, for the first time, the fundamental equations that govern the energy, water, and CO₂ dynamics in ED-2 (Section 3), and how we obtain each flux that is accounted for in the fundamental equations (Section 4).

We agree that a more explicit explanation of these improvements was needed. We included additional text in the introduction ([p. 5, l. 23–29 of the annotated manuscript](#)), and a detailed description of the model improvements since Medvigy et al. (2009) as a New Supplement S1 ([p. S26–S28 of the annotated supplement](#)).

The biophysics, ecology, and biogeochemistry of functionally diverse, vertically- and horizontally-heterogeneous ecosystems: the Ecosystem Demography Model, version 2.2 — Part 1: Model description

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Abstract. Earth System Models (ESMs) have been developed to represent the role of terrestrial ecosystems on the energy, water, and carbon cycles. However, many ESMs still lack representation of within-ecosystem heterogeneity and diversity. In this manuscript, we present the Ecosystem Demography Model version 2.2 (ED-2.2). In ED-2.2, the biophysical and physiological cycles account for the horizontal and vertical heterogeneity of the ecosystem: the energy, water, and carbon cycles are solved separately for each group of individual trees of similar size and functional group (cohorts) living in a micro-environment with similar disturbance history (patches). We define the equations that describe the energy, water, and carbon cycles in terms of total energy, water, and carbon, which simplifies the ordinary differential equations and guarantees excellent conservation of these quantities in long-term simulation ($< 0.1\%$ error over 50 years). We also show examples of ED-2.2 simulation results at single sites and across tropical South America. These results demonstrate the model's ability to characterize the variability of ecosystem structure, composition and functioning both at stand- and continental-scales. In addition, a detailed model evaluation was carried out and is presented in a companion paper. Finally, we highlight some of the ongoing developments in ED-2.2 that aim at reducing the uncertainties identified in this study and the inclusion of processes hitherto not represented in the model.

1 Introduction

The dynamics of the terrestrial biosphere play an integral role in the earth's carbon, water and energy cycles (Betts and Silva Dias, 2010; Santanello Jr et al., 2018; Le Quéré et al., 2018), and consequently, how the earth's climate system is expected to change over the coming decades due to the increasing levels of atmospheric carbon dioxide arising from anthropogenic activities (IPCC, 2014; Le Quéré et al., 2018). Models for the dynamics of the terrestrial biosphere and its bi-directional interaction with the atmosphere have evolved considerably over the past decades (Levis, 2010; Fisher et al., 2014, 2018). Initially developed to provide global surface boundary conditions in climate model simulations, the first generation of terrestrial biosphere models consisted of relatively simple formulations describing the dynamics of radiation, roughness, and transpiration (e.g. NCAR/BATS, Dickinson et al., 1986) and photosynthesis (e.g. SiB, Sellers et al., 1986). However, increasing recognition of the role of vegetation in mediating the exchanges of carbon, water and energy between the land and the atmosphere led to terrestrial biosphere models being expanded to incorporate explicit representations of plant photosynthesis, and resulting dynamics of terrestrial carbon uptake, turnover and release within terrestrial ecosystems (e.g. LSM, (Bonan, 1995) and SiB2 (Sellers et al., 1996)). As described by Sellers et al. (1997), the first generation of land surface models (LSMs) were limited to provide boundary conditions to atmospheric models, and only solved a simplified energy and water budget, and accounted for the effects of surface on frictional effects on near-surface winds (e.g. Manabe et al., 1965; Somerville et al., 1974). These models, however, did not account for the active role of vegetation. The second generation of LSMs considered the active role of vegetation and represented the spectral properties of the canopy, the changes in roughness of vegetated surfaces, and the biophysical controls on evaporation and transpiration (Sellers et al., 1997); examples of these models include NCAR/BATS (Dickinson et al., 1986) and SiB (Sellers et al., 1986). The increasing recognition of the role of vegetation in mediating the exchanges of carbon, water and energy between the land and the atmosphere led to the third generation of LSMs, which incorporated explicit representations of plant photosynthesis, and resulting dynamics of terrestrial carbon uptake, turnover and release within terrestrial ecosystems (Sellers et al., 1997); examples of such models included LSM (Bonan, 1995) and SiB2 (Sellers et al., 1996). While the fluxes of carbon, water and energy predicted by these models would change in response to changes in their climate forcing, the biophysical and biogeochemical properties of the ecosystem within each climatological grid cell was prescribed, and thus did not change over time.

Subsequently, building upon previous work (Prentice et al., 1992; Neilson, 1995; Haxeltine and Prentice, 1996), Foley et al. (1996) adopted an approach to calculate the productivity of a series of plant functional types (PFTs), based on a leaf-level model of photosynthesis. The abundance of each PFT within each grid cell was dynamic, with the abundance changes being determined by the relative productivity of the PFTs. This allowed the fast-timescale exchanges of carbon, water, and energy within the plant canopy to be explicitly linked with the long-term dynamics of the ecosystem. This approach followed the concept of dynamic global vegetation model (DGVM), originally coined by Prentice et al. (1989) to describe this kind of terrestrial biosphere model in which changes in climate could drive changes in ecosystem composition, structure and functioning, and which when run coupled to atmospheric models would then feedback onto climate. The subsequent generation of terrestrial biosphere-based DGVMs (i.e. DGVMs incorporating couple carbon, water, and energy fluxes) such

as LPJ (Sitch et al., 2003), CLM-DGVM (Levis et al., 2004) and TRIFFID/JULES (Hughes et al., 2004; Clark et al., 2011; Mangeon et al., 2016) have included additional mechanisms such as disturbance through fires and multiple types of mortality.

Analyses have shown that most terrestrial biosphere models are capable of reproducing the current distribution of global biomes (e.g. Sitch et al., 2003; Blyth et al., 2011) and their carbon stocks and fluxes (Piao et al., 2013). However, they diverge markedly in their predictions of how terrestrial ecosystems will respond to future climate change (Friedlingstein et al., 2014). In fully-coupled Earth System Model simulations, some of these differing predictions arise from divergent predictions about the direction and magnitude of regional climate change. However, off-line analyses, in which the models are forced with prescribed climatological forcing, have shown that there is also substantial disagreement between the models about how terrestrial ecosystems will respond to any shift in climate (e.g. Sitch et al., 2008; Zhang et al., 2015). In addition, the transitions between biome types, for example, the transition that occurs between closed-canopy tropical forests and grass- and shrub-dominated savannahs in South America, are generally far more abrupt in typical DGVM results than in observations (Good et al., 2011; Levine et al., 2016).

One important limitation of most DGVMs is that they cannot represent within-ecosystem diversity and heterogeneity. The representation of plant functional diversity within terrestrial biosphere models is normally coarse, with broadly-defined PFTs defined from a combination of morphological and leaf physiological attributes (Purves and Pacala, 2008). In addition, there is limited variation in the resource conditions (light, water, nutrient levels) experienced by individual plants within the climatological grid cells of traditional DGVMs. Some models —e.g. SiB (Sellers et al., 1996) and CLM (Oleson et al., 2013)—such as CLM (Oleson et al., 2013) have vertical above-ground heterogeneity in the form of a multi-layer plant canopy that allows for sun and shade leaves, and/or differences in rooting depth between PFTs; however, resource conditions are assumed to be horizontally homogeneous, meaning that there is no horizontal spatial variation in resource conditions experienced by individuals. The lack of significant variability in resource conditions limits the range of environmental niches within the climatological grid cells of terrestrial biosphere, and makes the coexistence between PFTs difficult; consequently model ecosystems become comprised of single homogeneous vegetation types (Moorcroft, 2003, 2006). Consequently, models would often predict ecosystems comprised of single homogeneous vegetation types (Moorcroft, 2003, 2006).

Field- and laboratory-based studies conducted over the past thirty years indicate that plant functional diversity significantly affects ecosystem functioning (Loreau and Hector, 2001; Tilman et al., 2014, and references therein), and variations in trait expression are strongly driven by disturbances and local heterogeneity of abiotic factors such as soil characteristics (Bruehlheide et al., 2018; Both et al., 2019). In many cases, biodiversity increases ecosystem productivity and ecosystem stability (e.g., Tilman and Downing, 1994; Naeem and Li, 1997; Cardinale et al., 2007; García-Palacios et al., 2018), and biodiversity has also been shown to contribute to enhanced ecosystem functionality in highly stressed environments (e.g. Jucker and Coomes, 2012). Other studies have also established correlations between tropical forest diversity and carbon storage and primary productivity (Cavanaugh et al., 2014; Poorter et al., 2015; Liang et al., 2016; Huang et al., 2018).

In addition to the absence of within-ecosystem diversity in conventional terrestrial biosphere models, plants of each PFT are also assumed to be homogeneous in size while, in contrast, most terrestrial ecosystems, particularly forests and woodlands, exhibit marked size-structure of individuals within plant canopies (Hutchings, 1997). This size-related hetero-

geneity is important because plant size strongly affects the amount of light, water, and nutrients individual plants within the canopy can access, which, in turn, affects their performance, dynamics and responses to climatological stress. It also allows representing the dynamics of pervasive human-driven degradation of forest ecosystems (Lewis et al., 2015; Haddad et al., 2015), which affects carbon stocks, structure and composition of forests that cannot be easily represented in highly aggregated models (Longo and Keller, 2019).

An alternative approach to simulating the dynamics of terrestrial ecosystems has been individual based vegetation models (Friend et al., 1997; Bugmann, 2001; Sato et al., 2007; Fischer et al., 2016)(Friend et al., 1997; Bugmann, 2001; Sato et al., 2007; Fischer et al., 2016; Maréchaux and Chave, 2017). Also known as forest gap models, due to the importance of canopy gaps for the dynamics of closed canopy forests, these models simulate the birth, growth, and death of individual plants, thereby incorporating diversity and heterogeneity of the plant canopy mechanistically. In forest gap models, the ecosystem properties such as total carbon stocks, and net ecosystem productivity are emergent properties resulting from competition of limiting resources and the differential ability of plants to survive and be productive under a variety of micro-environments (e.g. gaps or the understory of a densely populated patch of old-growth forest). This approach has two main advantages. First, gap models represent the dynamic changes in the ecosystem structure caused by disturbances such as tree fall, selective logging, and fires. These disturbances create new micro-environments that are significantly different from old-growth vegetation areas, and allow plants with different life strategies (for example, shade-intolerants) to co-exist in the landscape. Second, because individual trees are represented in the model, the results can be directly compared with field measurements. Gap models have various degrees of complexity, with some models being able to represent the interactions between climate variability and gross primary productivity (Friend et al., 1997; Sato et al., 2007), as well as the impact of climate change in the ecosystem carbon balance (Fischer et al., 2016, and references therein). However, because the birth and death of individuals within a plant canopy are stochastic processes, multiple realizations of given model formulation are required to determine the long-term, large-scale dynamics of these models, which limits their applicability over large regions or global scales, and has precluded their use in Earth System Modeling studies.

The need to represent vegetation structure in terrestrial biosphere models, without the computational burden required to simulate every tree at regional and global scales, led to the development of cohort-based models (Fisher et al., 2018). In the cohort-based approach, individual trees are grouped according to their size (e.g. height or diameter at breast height); functional groups, which can be defined along trait axes (e.g. Reich et al., 1997; Wright et al., 2004; Fortunel et al., 2012), and microenvironment conditions (e.g. whether plants are living in a gap, recently burned fragment, or in a patch of old-growth forest). Over the past two decades, many cohort-based models have emerged, including the Ecosystem Demography Model (ED, Moorcroft et al., 2001; Hurtt et al., 2002; Albani et al., 2006; Medvigy et al., 2009); the Lund-Potsdam-Jena General Ecosystem Simulator (LPJ-GUESS, Smith et al., 2001; Ahlström et al., 2012; Lindeskog et al., 2013); and the Land Model version 3 with Perfect Plasticity Approximation (LM3-PPA, Weng et al., 2015), and the Functionally-Assembled Terrestrial Ecosystem Simulator (FATES, Fisher et al., 2015; Huang et al., 2019). Because these models also represent functional diversity and heterogeneity of micro-environments, the ecosystem's structure, diversity and functioning also emerge from

the interactions between plants with different life strategies under different resource availability, albeit at a lesser extent than individual-based models (Fisher et al., 2018).

The Ecosystem Demography Model (ED Moorcroft et al., 2001) ~~is a size and age structured approximation of an individual based vegetation model~~ is a cohort-based model. Through this approach, it addresses the need to incorporate heterogeneity into models of the long-term, large scale response of terrestrial ecosystems to changes in climate and other environmental forcings within a deterministic modeling framework. The size and age-structured partial differential equations that describe the plant community are derived from individual-level properties, but are properly scaled to account for the spatially-localized nature of interactions within plant canopies. The model was later extended by Hurtt et al. (2002) and Albani et al. (2006) to incorporate multiple forms of disturbance including land-clearing, land-abandonment, and forest harvesting. An important difference between ED and most DGVMs is that in ED, PFTs are defined not simply based on their biogeographic ranges, but also represent diversity in plant life-history strategies within any given ecosystem. These different PFTs represent a suite of physiological, morphological, and life-history traits that mechanistically represent the ways different kinds of plants utilize resources (Fisher et al., 2010). ~~Because these models also represent functional diversity and heterogeneity of micro environments, the ecosystem's structure, diversity and functioning also emerge from the interactions between plants with different life strategies under different resource availability, albeit at a lesser extent than individual based models (Fisher et al., 2018).~~

The original ED model formulation was an off-line ecosystem model describing the coupled carbon and water fluxes of a heterogeneous tropical forest ecosystem (Moorcroft et al., 2001). Subsequently Medvigy et al. (2009) applied a similar approach to develop the Ecosystem Demography model version 2 (ED-2) that describes coupled carbon, water and energy fluxes of the land surface ~~and is capable of being run both offline (e.g. Medvigy et al., 2009; Antonarakis et al., 2011; Zhang et al., 2015; Castanho et al., 2016; Levine et al., 2016), or interactively with a regional atmospheric model (e.g. Knox et al., 2015; Swann et al., 2015).~~ Since then, the ED-2 model has been continuously developed to improve several aspects of the model (see Supplement S1 for further information): (1) the conservation and thermodynamic representation of energy, water, and carbon cycles of the ecosystems; (2) the representation of several components of the energy, water, and carbon cycles, including the canopy radiative transfer, aerodynamic conductances and eddy fluxes, and leaf physiology (photosynthesis); (3) the structure of the code, including efficient data storage, code parallelization, and version control and code availability. ED-2 has been used in many studies including offline simulations (e.g. Medvigy et al., 2009; Antonarakis et al., 2011; Kim et al., 2012; Zhang et al., 2015; Castanho et al., 2016; Levine et al., 2016), or interactively with a regional atmospheric model (e.g. Knox et al., 2015; Swann et al., 2015).

In this paper, we describe in detail the biophysical, physiological, ecological and biogeochemical formulation of the most recent version of the ED-2 model (ED-2.2), focusing in particular on the model's formulation of the fast time-scale dynamics of the heterogeneous plant canopy that occur at sub-daily timescales. While many parameterizations and sub-models in ED-2.2 are based on approaches that are also used in other DGVMs, their implementation in ED-2.2 has some critical differences from other ecosystem models and also previous versions of ED: (1) In ED-2.2, the fundamental budget equations use energy and total mass as the main prognostic variables; because we use equations that directly track

the time changes of the properties we seek to conserve, we can assess the model conservation of such properties with fewer assumptions . (2) In ED-2.2, all thermodynamic properties are scalable with mass, and the model is constructed such that when individual biomass changes due to growth and turnover, the thermodynamic properties are also updated to reflect changes in heat and water holding capacity. (3) The water and energy budget equations for vegetation are solved at the individual level and the corresponding equations for environments shared by plants such as soils and canopy air space are solved for each micro-environment in the landscape, and thus ecosystem-scale fluxes are emerging properties of the plant community. This approach allows the model to represent both the horizontal and vertical heterogeneity of environments of the plant communities. It also links the individual's ability to access resources such as light and water and accumulate carbon under a variety of micro-environments, which ultimately drives the long-term dynamics of growth, reproduction, and survivorship.

2 Model overview

2.1 ~~The Representation of Ecosystem Heterogeneity in ED-2.2~~ The representation of ecosystem heterogeneity in ED-2.2

In ED-2.2 the terrestrial ecosystem within a given region of interest is represented through a hierarchy of structures to capture the physical and biological heterogeneity in the ecosystem's properties (Fig. 1).

Physical Heterogeneity: The domain of interest is geographically divided into *polygons*. Within each polygon, the time-varying meteorological forcing above the plant canopy is assumed to be uniform. For example, a single polygon may be used to simulate the dynamics of an ecosystem in the neighborhood of an eddy flux tower, or alternatively, a polygon may represent the lower boundary condition within one horizontal grid-cell in an atmospheric model. Each polygon is sub-divided into one or more *sites* that are designed to represent landscape-scale variation in other abiotic properties, such as soil texture, depth, elevation, slope, aspect, and topographic moisture index. Each site is defined as a fractional area within the polygon and represents all regions within the polygon that share similar time-invariant physical (abiotic) properties. Both polygons and sites are defined at the beginning of the simulation and are fixed in time, and no geographic information exists below the level of the polygon.

Biotic Heterogeneity: Within each site, horizontal, disturbance-related heterogeneity in the ecosystem at any given time t is characterized through a series of *patches* that are defined by the time elapsed since last disturbance (i.e. *age*, a) and the type of disturbance that generated them. Like sites, patches are not physically contiguous: each patch represents the collection of canopy gap-sized (~ 10 m) areas within the site that have a similar disturbance history, defined in terms of the type of disturbance experienced (represented by subscript q , $q \in 1, 2, \dots, N_Q$; [list of indices available at Tab. 1](#)) and time since the disturbance event occurred. The disturbance types accounted for in ED-2.2, and the possible transitions between different disturbance types, are shown in Fig. S1. The collection of gaps within each given site belonging to a polygon follows

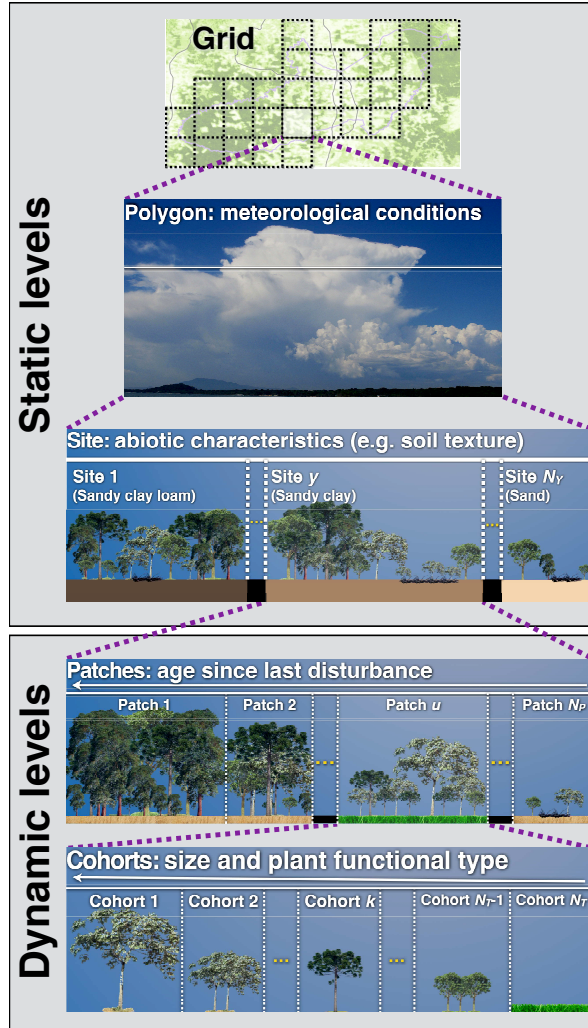


Figure 1. Schematic representation of the multiple hierarchical levels in ED-2.2, organized by increasing level of detail from top to bottom. Static levels (grid, polygons, and sites) are assigned during the model initialization and remain constant throughout the simulation. Dynamic levels (patches and cohorts) may change during the simulation according to the dynamics of the ecosystem.

a probability distribution function α , which can be also thought of as the relative area within a site, that satisfies:

$$\sum_{q=1}^{N_Q} \left[\int_0^{\infty} \alpha_q(a, t) da \right] = 1. \quad (1)$$

Similarly, the plant community population is characterized by the number of plants per unit area (hereafter number density, n), and is further classified according to their plant functional type (PFT), represented by subscript f ($f \in 1, 2, \dots, N_F$;

5 [Tab. 1](#)) and the type of gap (q). The number density distribution depends on the individuals' biomass characteristics (size,

Table 1. List of subscripts associated with ED-2.2 hierarchical levels. N_T is the total number of cohorts, N_G is the total number of soil (ground) layers, N_S is the total number of temporary surface water/snowpack layers, and N_C is the total number of canopy air space layers, currently only used to obtain properties related to canopy conductance. The complete list of subscripts is available at Tab. S1.

Subscript	Description
X_c	Canopy air space (single layer)
X_{c_j}	Canopy air space, layer j ($j \in \{1, 2, \dots, N_C\}$)
X_{e_j}	Necromass pools: e_1 , metabolic litter (fast); e_2 , structural debris (intermediate); e_3 , humified/dissolved (slow)
X_f	Plant functional type
X_{g_j}	Soil (ground), layer j ($j \in \{1, 2, \dots, N_G\}$)
X_q	Disturbance type
X_{s_j}	Temporary surface water/snowpack, layer j ($j \in \{1, 2, \dots, N_S\}$)
X_{t_k}	Cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_u	Patch u ($u \in \{1, 2, \dots, N_P\}$)
X_{y_k}	Property y of cohort k ($k \in \{1, 2, \dots, N_T\}$). Possible values of y : branch wood (b), structural tissue (heartwood) (h), leaves (l), non-structural carbon storage (starch, sugars) (n), roots (r), total living tissues (α), branch boundary layer (β), carbon balance (Δ), leaf boundary layer (λ), reproductive tissues (ϱ), sapwood (σ)

\mathbf{C}), the age since last disturbance (a) and the time (t), and is expressed as $n_{fq}(\mathbf{C}, a, t)$. Size is defined as a vector $\mathbf{C} = n_{fq}^{-1}(C_l; C_r; C_\sigma; C_h; C_n)$ (units: $\text{kg}_C \text{ plant}^{-1}$) corresponding to biomass of leaves, fine roots, sapwood, heartwood, and non-structural storage (starch and sugars), respectively.

Following Moorcroft et al. (2001), Albani et al. (2006), and Medvigy and Moorcroft (2012), the fundamental partial differential equations that describe the dynamics of demographic density and probability distribution within each site in the size-and-age structured model are defined as (dependencies omitted in the equations for clarity):

$$\underbrace{\frac{\partial n_{fq}}{\partial t}}_{\text{Change rate}} = \underbrace{-\frac{\partial n_{fq}}{\partial a}}_{\text{Aging}} - \underbrace{\nabla_{\mathbf{C}} \cdot (\mathbf{g}_f n_{fq})}_{\text{Growth}} - \underbrace{m_f n_{fq}}_{\text{Mortality}}, \quad (2)$$

$$\underbrace{\frac{\partial \alpha_q}{\partial t}}_{\text{Change rate}} = \underbrace{\frac{\partial \alpha_q}{\partial a}}_{\text{Aging}} - \underbrace{\sum_{q'=1}^{N_Q} (\lambda_{q'q} \alpha_q)}_{\text{Disturbance}}, \quad (3)$$

where m_f is mortality rate, which may depend on the PFT, size, and the individual carbon balance; \mathbf{g}_f is the vector of the net growth rates for each carbon pool, which also may depend on the PFT, size, and carbon balance; $\nabla_{\mathbf{C}} \cdot$ is the divergent operator for the size vector; and $\lambda_{q'q}$ is the transition matrix from gaps generated by previous disturbance q' affected by new disturbance of type q , which may depend on environmental conditions. Boundary conditions are shown in Supplement S2.

Equation (2) and Eq. (3) cannot be solved analytically except for the most trivial cases; therefore the age distribution is discretized into N_P patches of similar age and same disturbance type, and the population size structure living in any given patch is discretized into N_T cohorts of similar size and same PFT patches (subscript u , $u \in 1, 2, \dots, N_P$; Tab. 1) of similar age

and same disturbance type, and the population size structure living in any given patch u is discretized into cohorts (subscript k , $k \in 1, 2, \dots, N_T$; Tab. 1) of similar size and same PFT (Fig. 1). Unlike polygons and sites, patches and cohorts are dynamic levels: changes in distribution (fractional area) of patches are driven by aging and disturbance rates, whereas changes in the distribution of cohorts in each patch are driven by growth, mortality, and recruitment (Fig. S2).

The environment perceived by each plant (e.g. incident light, temperature, vapor pressure deficit) varies across large scales as a consequence of changes in climate (macro-environment), but also varies at small scales (within the landscape; micro-environment) because of the horizontal and vertical position of each individual relative to other individuals in the plant community (e.g. Bazzaz, 1979) and the position of the local community in landscapes with complex terrains. Both macro- and micro-environmental conditions drive the net primary productivity of each individual, and ultimately determine growth, mortality, and recruitment rates for each individual. Likewise, they can also affect the disturbance rates: for example, during drought conditions (macro-environment) open canopy patches (micro-environment) may experience faster ground desiccation and consequently increase local fire risk. To account for the variability in micro-environments within the landscape and within local plant communities, in ED-2.2 the energy, water, and carbon dioxide cycles are solved separately for each patch, and within each patch, fluxes and storage associated with individual plants are solved for each cohort.

The ED-2.2 model represents processes that have inherently different time scales, therefore the model also has a hierarchy of time steps, in order to attain maximum computational efficiency (Table 2). Processes associated with the short-term dynamics are presented in this manuscript. A summary of the phenological processes and those associated with longer term dynamics is presented in Supplement S3 (see also Moorcroft et al., 2001; Albani et al., 2006; Medvigy et al., 2009).

2.2 Software requirements and model architecture

Software requirements. The ED-2.2 source code is mainly written in Fortran 90, with a few file management routines written in C. Most input and output files use the Hierarchical Data Format 5 (HDF5) format and libraries (The HDF Group, 2016). In addition, the Message Passing Interface (MPI) is highly recommended for regional simulations and is required for simulations coupled with the *Brazilian Improvements on Regional Atmospheric Modeling System* (BRAMS) atmospheric model (Knox et al., 2015; Swann et al., 2015; Freitas et al., 2017). The source code can be also compiled with Shared Memory Processing (SMP) libraries, which enable parallel processing of thermodynamics and biophysics steps at the patch level, and thus allowing shorter simulation time.

Code design and parallel structure. ED-2.2 has been designed to be run in three different configurations; (1) As a stand-alone land-surface model over a small list of specified locations (sites), or (2) as a stand-alone land-surface model distributed over a regional grid, or (3) as coupled with the BRAMS atmospheric model as distributed over a regional grid (ED-BRAMS, Knox et al., 2015; Swann et al., 2015). For regional stand-alone grids, the model partitions the grid into spatially contiguous tiles of polygons, which access the initial and boundary conditions and are integrated independently of each other, but write the results to a unified output file using collective input/output functions from HDF5. In the case of simulations dynamically coupled with the BRAMS model, polygons are defined to match each atmospheric grid cell.

Table 2. Time steps associated with processes resolved by ED-2.2. The thermodynamic sub-step is dynamic and it depends on the error evaluation of the integrator, but it cannot be longer than the biophysics step, which is defined by the user. Other steps are fixed as of ED-2.2. Processes marked with \star are presented in the main paper. Other processes are briefly described in Supplements S3 and S4.

Time Step	Time scale	Processes
Thermodynamics (Δt_{Thermo})	1 s - Δt_{Bio}	\star Energy and water fluxes \star Eddy fluxes (including CO ₂ flux) \star Most thermodynamic state functions
Biophysics (Δt_{Bio})	2 – 15 min	\star Meteorological and CO ₂ Forcing \star Radiation model \star Photosynthesis model \star Respiration fluxes (autotrophic and heterotrophic) \star Evaluation of energy, water, and CO ₂ budgets
Phenology (Δt_{Phen})	1 day	Maintenance of active tissues Update of the storage pool Leaf phenology Plant carbon balance Integration of mortality rate due to cold Soil litter pools
Cohort dynamics (Δt_{CD})	1 month	Growth of structural tissues Mortality rate Reproduction – Cohort creation Integration of fire disturbance rate Cohort fusion, fission, and extinction
Patch dynamics (Δt_{PD})	1 yr	Annual disturbance rates and patch creation Patch fusion and termination

Memory allocation. The code uses dynamic allocation of variables and extensive use of pointers to efficiently reduce the amount of data transferred between routines. To reduce the output file size, polygon-, site-, patch-, and cohort-level variables are always written as long vectors, and auxiliary index vectors are used to map variables from higher hierarchical levels to lower hierarchical levels (for example, to which patch a cohort-level variable belongs).

2.3 Model inputs

Every ED-2.2 simulation requires an initial state for forest structure and composition (initial state), a description of soil characteristics (edaphic conditions), and a time-varying list of meteorological drivers (atmospheric conditions).

Initial state. To initialize a plant community from inventory data, one must have either the diameter at breast height of every individual or the stem density of different diameter size classes, along with plant functional type identification and location; in addition necromass from the litter layer, woody debris and soil organic carbon are needed. Alternatively, initial conditions can be obtained from airborne LiDAR measurements (Antonarakis et al., 2011, 2014) or a prescribed near bare

ground condition may be used for long-term spin up simulations. Previous simulations can be used as initial conditions as well.

Edaphic conditions. The user must also provide soil characteristics such as total soil depth, total number of soil layers, the thickness of each layer, as well as soil texture, color and the bottom soil boundary condition (bedrock, reduced drainage, free drainage, or permanent water table). This flexibility allows the user to easily adjust the soil characteristics according to their regions of interest. Soil texture can be read from standard data sets (e.g. Tempel et al., 1996; Hengl et al., 2017) or provided directly by the user. Soil layers, soil color and bottom boundary condition must be provided directly by the user as of ED-2.2. In addition, simulations with multiple sites per polygon also need to provide the fractional areas of each site and the mean soil texture class, slope, aspect, elevation, and topographic moisture index of each site.

Atmospheric conditions. Meteorological conditions needed to drive ED-2.2 include temperature, specific humidity, CO₂ molar fraction, pressure of the air above the canopy, precipitation rate, incoming solar (shortwave) irradiance (radiation flux) and incoming thermal (longwave) irradiance (Table 3), at a reference height that is at least a few meters above the canopy. Sub-daily measurements (0.5-6 hours) are highly recommended so the model can properly simulate the diurnal cycle and interdiurnal variability. Meteorological drivers can be either at a single location (e.g. eddy covariance towers), or gridded meteorological drivers such as reanalysis (e.g. Dee et al., 2011; Gelaro et al., 2017) or bias-corrected products based on reanalysis (e.g. Sheffield et al., 2006; Weedon et al., 2014). Whenever available, CO₂ must be provided at comparable temporal and spatial resolution as other meteorological drivers; otherwise, it is possible to provide spatially homogeneous, time-variant CO₂, or constant CO₂, although this may increase uncertainties in the model predictions (e.g. Wang et al., 2007). Alternatively, the meteorological forcing (including CO₂) may be provided directly by BRAMS (Knox et al., 2015; Swann et al., 2015).

Plant functional types. The user must specify which plant functional types (PFTs) are allowed to occur in any given simulation. ED-2.2 has a list of default PFTs, with parameters described in Tables S5-S6. Alternatively, the user can modify the parameters of existing PFTs or define new PFTs through an extensible markup language (XML) file, which is read during the model initialization.

3 Overview of enthalpy, water, and carbon dioxide cycles

Here we present the fundamental equations that describe the biogeophysical and biogeochemical cycles. Because the environmental conditions are a function of the local plant community and resources are shared by the individuals, these cycles must be described at the patch level, and the response of the plant community can be aggregated to the polygon level once the cycles are resolved for each patch. In ED-2.2, patches do not exchange enthalpy, water, and carbon dioxide with other patches; thus patches are treated as independent systems. Throughout this section, we will only refer to the patch- and cohort-levels, and indices associated with patches, sites and polygons will be omitted for clarity.

Table 3. Atmospheric boundary conditions driving the ED-2.2 model. Variable names and subscript follow a standard notation throughout the manuscript (Tables S1 and S2). Flux variables between two thermodynamic systems are defined by a dot and two indices separated by a comma, and they are positive when the net flux goes from the thermodynamic system represented by the first index to the one represented by the second index.

Variable	Description	Units
u_x	Zonal wind speed	m s^{-1}
u_y	Meridional wind speed	m s^{-1}
p_a	Free air pressure	Pa
T_a	Free air temperature	K
w_a	Free air specific humidity	$\text{kg}_W \text{ kg}^{-1}$
c_a	Free air CO_2 mixing ratio	$\mu\text{mol}_C \text{ mol}^{-1}$
z_a	Height of the reference point above canopy	m
$\dot{W}_{\infty,a}$	Precipitation mass rate	$\text{kg}_W \text{ m}^{-2} \text{ s}^{-1}$
$\dot{Q}_{\text{TIR}(\infty,a)}^{\downarrow}$	Downward thermal infrared irradiance	W m^{-2}
$\dot{Q}_{\text{PAR}(\infty,a)}^{\odot}$	Downward photosynthetically active irradiance, direct	W m^{-2}
$\dot{Q}_{\text{PAR}(\infty,a)}^{\downarrow}$	Downward photosynthetically active irradiance, diffuse	W m^{-2}
$\dot{Q}_{\text{NIR}(\infty,a)}^{\odot}$	Downward near infrared irradiance, direct	W m^{-2}
$\dot{Q}_{\text{NIR}(\infty,a)}^{\downarrow}$	Downward near infrared irradiance, diffuse	W m^{-2}

3.1 Definition of the thermodynamic state

Each patch is defined by a *thermodynamic envelope* (Fig. 2), comprised of multiple thermodynamic systems: each soil layer (total number of layers N_G), each temporary surface water or snow layer (total number of layers N_S), [aboveground part each cohort](#) (total number of cohorts N_T), and the canopy air space. For simplicity, roots are assumed to be in thermal equilibrium with the soil layers and have negligible heat capacity compared to the soil layers. Although patches do not exchange heat and mass with other patches, they are allowed to exchange heat and mass with the free air (i.e. the atmosphere above and outside of the air-space control-volume we deem as within canopy) and lose water and associated energy through surface and sub-surface runoff. We also assume that intensive variables such as pressure and temperature are uniform within each thermodynamic system. Note that free air is not considered a thermodynamic system in ED-2 because the thermodynamic state is determined directly from the boundary conditions, and thus external to the model.

The fundamental equations that describe the system thermodynamics are the first law of thermodynamics in terms of enthalpy H (J m^{-2}), and mass continuity for incompressible fluids for total water mass W ($\text{kg}_W \text{ m}^{-2}$):

$$\underbrace{\frac{dH}{dt}}_{\text{Change in enthalpy}} = \underbrace{\dot{Q}}_{\text{Net heat flux}} + \underbrace{\dot{H}}_{\text{Enthalpy flux due to mass flux}} + \underbrace{\nu \frac{dp}{dt}}_{\text{Pressure change}}, \quad (4)$$

$$\underbrace{\frac{dW}{dt}}_{\text{Change in water mass}} = \underbrace{\dot{W}}_{\text{Net water mass flux}}, \quad (5)$$

Table 4. List of state variables solved in ED-2.2. Unless otherwise noted, the reference equation is the ordinary differential equation that defines the rate of change of the thermodynamic state. The list of fluxes that describe the thermodynamic state is presented in Table 5. For a complete list of subscripts and variables used in this manuscript, refer to Tables S1-S2.

State variable	Description	Units	Budget equation
c_c	CO ₂ mixing ratio — canopy air space	$\mu\text{mol}_C \text{ mol}^{-1}$	(23)
h_c	Specific enthalpy — canopy air space	J kg^{-1}	(18)
\mathcal{H}_{g_j}	Volumetric enthalpy — soil layer j	J m^{-3}	(4) ^a
H_{s_j}	Enthalpy — temporary surface water layer j	J m^{-2}	(4)
H_{t_k}	Enthalpy — cohort k	J m^{-2}	(4)
p_c	Atmospheric pressure — canopy air space	Pa	(S81) ^b
w_c	Specific humidity — canopy air space	$\text{kg}_W \text{ kg}^{-1}$	(19)
W_{s_j}	Water mass — TSW layer j	$\text{kg}_W \text{ m}^{-2}$	(5)
W_{t_k}	Intercepted/dew/frost water mass — cohort k	$\text{kg}_W \text{ m}^{-2}$	(5)
z_c	Depth (specific volume) — canopy air space	m	(17) ^c
ϑ_{g_j}	Volumetric soil moisture — soil layer j	$\text{m}^3_W \text{ m}^{-3}$	(5) ^a

^a Budget fluxes are in units of area, and the state variable is updated following the conversion described in Section 3.2.1.
^b Canopy air space pressure is not solved using ordinary differential equations, but based on the atmospheric pressure from the meteorological forcing.
^c Canopy air space depth is determined from vegetation characteristics, not from an ordinary differential equation.

where \mathcal{V} is the volume of the thermodynamic system and p is the ambient pressure. The components ~~is~~on the right-hand side of Eq. (4) and Eq. (5) depend on the thermodynamic system, and will be presented in detail in the following sections. Net heat fluxes (\dot{Q}) represent changes in enthalpy that are not associated with mass exchange (radiative and sensible heat fluxes), whereas the remaining enthalpy fluxes (\dot{H}) correspond to changes in heat capacity due to addition or removal of mass from each thermodynamic system.

The merit of solving the changes in enthalpy over internal energy is that changes in enthalpy are equivalent to the net energy flux when pressure is constant (Eq. 4). Pressure is commonly included in atmospheric measurements, making it easy to track changes in enthalpy not related to energy fluxes. In reality, the only thermodynamic system where the distinction between internal energy and enthalpy matters is the canopy air space. Work associated with thermal expansion of solids and liquids is several orders of magnitude smaller than heat (Dufour and van Mieghem, 1975), and changes in pressure contribute significantly less to enthalpy because the specific volume of solids and liquids are comparatively small. Likewise, enthalpy fluxes that do not involve gas phase (e.g. canopy dripping and runoff) are nearly indistinguishable from internal energy flux, whereas differences between enthalpy and internal energy fluxes are significant when gas phase is involved (e.g. transpiration and eddy flux). For simplicity, from this point on we will use the term *enthalpy* whenever internal energy is indistinguishable from enthalpy. The complete list of state variables in ED-2.2 is shown in Table 4.

Variations in enthalpy are more important than their actual values, but they must be consistently defined relative to a pre-determined and known thermodynamic state, at which we define enthalpy to be zero. For any material other than water, enthalpy is defined as zero when the material temperature is 0K; for water, enthalpy is defined as zero when water is at 0K and completely frozen. The general definitions of enthalpy and internal energy states used in all thermodynamic systems in

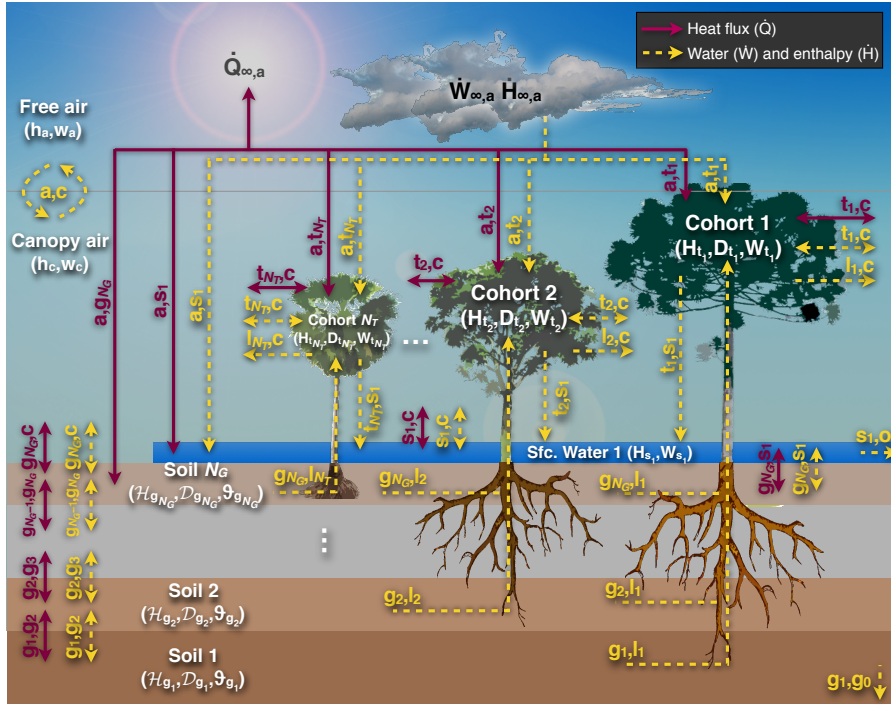


Figure 2. Schematic of the fluxes that are solved in ED-2.2 for a single patch (thermodynamic envelope). In this example, the patch has three cohorts, a single surface water layer, and three soil layers. In this example, the patch has N_T cohorts, N_G soil layers and $N_S = 1$ temporary surface water. Both N_G and the maximum N_S are specified by the user; N_T is dynamically defined by ED-2.2.. Letters near the arrows are the subscripts associated with fluxes, although the flux variable has been omitted here for clarity. Solid red arrows represent heat flux with no exchange of mass, and dashed blue/yellow arrows represent exchange of mass and associated enthalpy. Arrows that point to a single direction represent fluxes that can only go in one (non-negative) direction, and arrows pointing to both directions represent fluxes that can be positive, negative, or zero.

ED-2.2 are described in Supplement S5. In ED-2.2, enthalpy is used as the prognostic variable because these are directly and linearly related to the governing ordinary differential equation (Eq. 4). Temperature is diagnostically obtained based on the heat capacity of each thermodynamic system, and the heat capacities of different thermodynamic systems are defined in Supplement S6.

3.2 Heat (\dot{Q}), water (\dot{W}), and enthalpy (\dot{H}) fluxes

The enthalpy and water cycles for each patch in ED-2.2 are summarized in Fig. 2, and these cycles are solved every thermodynamic sub-step (Δt_{Thermo}), using a fourth-order Runge-Kutta integrator with dynamic time steps to maintain the error within prescribed tolerance. For all fluxes and variables, we follow the subscript notation described in Table S1, and denote flux variables with a dot and two indices separated by a comma, denoting the systems impacted by the flux. Fluxes are positive when they go from the system represented by the first subscript towards the second subscript; arrows in Fig. 2 represent allowed directions. For any variable X with that has flux between a system m and a system n , we assume that $\dot{X}_{m,n} > 0$ when

the net flux goes from system m to system n , and that $\dot{X}_{m,n} = -\dot{X}_{n,m}$. Arrows in Fig. 2 indicate the directions allowed in ED-2.2. The list of fluxes solved in ED-2.2 is provided in Table 5, and a complete list of variables is provided in Table S2. In addition, the values of global constants and global parameters are listed in Tables S3 and S4, respectively, and the default parameters specific for each tropical plant functional type are presented in ~~Table S5~~Tables S5-S6; similar parameters for temperate plant functional types are found in Medvigy et al. (2009).

3.2.1 Soil

~~In ED 2.2, the soil characteristics (depth, number of layers, texture and color) are defined during initialization and assumed fixed in time.~~In ED-2.2, the soil characteristics (number of soil layers, thickness of each soil layer and total soil depth, soil texture, soil color) are defined by the user, and assumed constant throughout the simulation. Within each patch, each soil layer (comprised by soil matrix and soil water in each layer) is considered a separate thermodynamic system, with the main size dimension being the layer thickness Δz_{g_j} , with $j = 1$ being the deepest soil layer, and $j = N_G$ being the topmost soil layer. Typically, the top layer thickness is set to $\Delta z_{g_{N_G}} = 0.02\text{m}$, which is a compromise between computational efficiency and ability to represent the stronger gradients near the surface, and layers with increasing thickness (Δz_{g_j}) are added for the entire rooting zone.

The thermodynamic state is defined in terms of the soil volume: the bulk specific enthalpy \mathcal{H}_{g_j} (J m^{-3}) and volumetric soil water content ϑ_{g_j} ($\text{m}^3_{\text{W}} \text{m}^{-3}$), which can be related to Eq. (4)-(5) by defining $H_{g_j} = \mathcal{H}_{g_j} \Delta z_{g_j}$ and $W_{g_j} = \rho_{\ell} \cdot \vartheta_{g_j} \cdot \Delta z_{g_j}$, where ρ_{ℓ} is the density of liquid water (Table S3). Soil net fluxes for any layer j are defined as:

$$\underbrace{\dot{Q}_{g_j}}_{\text{Net heat flux}} = \underbrace{\dot{Q}_{g_{j-1},g_j} - \dot{Q}_{g_j,g_{j+1}}}_{\substack{\text{Net sensible heat flux} \\ \text{between consecutive layers} \\ (4.1)}} + \underbrace{\delta_{g_j g_{N_G}} \dot{Q}_{a,g_{N_G}}}_{\substack{\text{Absorbed irradiance} \\ (4.3.2)}} - \underbrace{\delta_{g_j g_{N_G}} \dot{Q}_{g_{N_G},c}}_{\substack{\text{Ground-CAS sensible heat} \\ (4.5.2 \text{ and } 4.5.3)}}, \quad (6)$$

$$\underbrace{\dot{H}_{g_j}}_{\substack{\text{Net enthalpy flux} \\ \text{due to water flux}}} = \underbrace{\dot{H}_{g_{j-1},g_j} - \dot{H}_{g_j,g_{j+1}}}_{\substack{\text{Water percolation} \\ \text{between consecutive layers} \\ (4.1)}} + \underbrace{\delta_{g_j g_{N_G}} \dot{H}_{g_{N_G},c}}_{\substack{\text{Gnd. Evaporation} \\ (4.5.2 \text{ and } 4.5.3)}} - \underbrace{\sum_{k=1}^{N_T} \dot{H}_{g_j,l_k}}_{\substack{\text{Water uptake} \\ \text{by cohorts} \\ (4.6)}}, \quad (7)$$

$$\underbrace{\dot{W}_{g_j}}_{\text{Net water flux}} = \underbrace{\dot{W}_{g_{j-1},g_j} - \dot{W}_{g_j,g_{j+1}}}_{\substack{\text{Water percolation} \\ \text{between consecutive layers} \\ (4.1)}} + \underbrace{\delta_{g_j g_{N_G}} \dot{W}_{g_{N_G},c}}_{\substack{\text{Gnd. Evaporation} \\ (4.5.2 \text{ and } 4.5.3)}} - \underbrace{\sum_{k=1}^{N_T} \dot{W}_{g_j,l_k}}_{\substack{\text{water uptake} \\ \text{by cohorts} \\ (4.6)}}, \quad (8)$$

where $\delta_{g_j g_{j'}}$ is the Kronecker delta for comparing two soil layers g_j and $g_{j'}$ (1 if $j=j'$; 0 otherwise), CAS is the canopy air space, and subscript o denotes the loss through runoff. References in parentheses underneath the terms correspond to the sections in which each term is presented in detail. ~~The equations above assume $(\dot{Q}_{g_0,g_1}; \dot{H}_{g_0,g_1}; \dot{W}_{g_0,g_1})$ to be zero, and $(\dot{Q}_{g_{N_G},g_{N_G+1}}; \dot{H}_{g_{N_G},g_{N_G+1}}; \dot{W}_{g_{N_G},g_{N_G+1}})$ to be equivalent to $(\dot{Q}_{g_{N_G},s_1}; \dot{H}_{g_{N_G},s_1}; \dot{W}_{g_{N_G},s_1})$, which are the fluxes be-~~

Table 5. List of energy, water, and carbon dioxide fluxes that define the thermodynamic state in ED-2.2, along with sections and equation that define them. Fluxes are denoted by a dotted letter, and two subscripts separated with a comma: $\dot{X}_{m,n}$. Positive fluxes go from thermodynamic system m to thermodynamic system n ; negative fluxes go in the opposite direction. Acronyms in the description column: canopy air space (CAS); temporary surface water (TSW). The complete list of subscripts and variables used in this manuscript is available in Tables S1-S2, and the list of state variables is shown in Table 4.

Variable	Description	Section	Equation	Units
$\dot{C}_{a,c}$	CO ₂ flux from turbulent mixing	4.4	55	mol _C m ⁻² s ⁻¹
$\dot{C}_{e_j,c}$	Heterotrophic respiration flux (soil carbon pool e_j)	4.8	102	
$\dot{C}_{l_k,c}$	Net leaf (cohort k)–CAS CO ₂ flux ^a	4.6	93	
$\dot{C}_{n_k,c}$	Storage turnover (cohort k) respiration flux	4.7	100	
$\dot{C}_{r_k,c}$	Fine-root (cohort k) metabolic respiration flux	4.7	99	
$\dot{C}_{\Delta_k,c}$	Growth and maintenance (cohort k) respiration flux	4.7	101	
$\dot{Q}_{a,gNG}$	Net absorbed irradiance (topmost soil layer)	4.3.2	52	W m ⁻²
\dot{Q}_{a,s_j}	Net absorbed irradiance (TSW layer j)	4.3.2	51	
\dot{Q}_{a,t_k}	Net absorbed irradiance (cohort k)	4.3.1	49	
$\dot{Q}_{gNG,c}$	Ground–CAS net sensible heat flux	4.5.2	68	
\dot{Q}_{g_j-1,g_j}	Net sensible heat flux between two soil layers	4.1	26	
$\dot{Q}_{sNS,c}$	TSW–CAS net sensible heat flux	4.5.2	66	
\dot{Q}_{s_j-1,s_j}	Net sensible heat flux between two TSW layers	4.1	27	
$\dot{Q}_{t_k,c}$	Cohort k –CAS net sensible heat flux	4.5.1	60	
$\dot{H}_{a,c}$	Enthalpy flux from turbulent mixing at the top of CAS.	4.4	54	W m ⁻²
$\dot{H}_{a,sNS}$	Enthalpy flux to the top TSW layer associated with throughfall precipitation	4.2	42	
\dot{H}_{a,t_k}	Enthalpy flux associated with rainfall interception by cohort k	4.2	41	
$\dot{H}_{gNG,c}$	Enthalpy flux associated with ground–CAS evaporation ^b	4.5.3	75	
\dot{H}_{g_j-1,g_j}	Enthalpy flux associated with water percolation between two soil layers	4.1	35	
\dot{H}_{g_j,t_k}	Enthalpy flux associated with soil water extraction from soil layer j by cohort k	4.6	97	
$\dot{H}_{g_1,\sigma}$	Enthalpy flux associated with sub-surface runoff from the bottom soil layer	4.1	29	
\dot{H}_{g_1,g_0}	Enthalpy flux associated with sub-surface runoff from the bottom soil layer	4.1	29	
$\dot{H}_{l_k,c}$	Enthalpy flux associated with transpiration by cohort k	4.6	98	
$\dot{H}_{sNS,c}$	Enthalpy flux associated with TSW–CAS evaporation ^b	4.5.3	75	
$\dot{H}_{sNS,o}$	Enthalpy flux associated with surface runoff from the top TSW layer	4.1	34	
\dot{H}_{s_j-1,s_j}	Enthalpy flux associated with water percolation between two TSW layers	4.1	35	
$\dot{H}_{t_k,c}$	Enthalpy flux associated with evaporation ^b of intercepted water (cohort k)	4.5.3	75	
$\dot{H}_{t_k,sNS}$	Enthalpy flux associated with canopy dripping from cohort k to the top TSW layer	4.2	44	
$\dot{W}_{a,c}$	Water flux from turbulent mixing at the top of CAS.	4.4	53	kgw m ⁻² s ⁻¹
$\dot{W}_{a,sNS}$	Precipitation throughfall flux to the top TSW layer	4.2	37	
\dot{W}_{a,t_k}	Water flux from rainfall interception (cohort k)	4.2	36	
$\dot{W}_{gNG,c}$	Ground–CAS evaporation ^b flux	4.5.2	69	
\dot{W}_{g_j-1,g_j}	Water percolation flux between two soil layers	4.1	28	
\dot{W}_{g_j,t_k}	Water flux associated with soil water extraction by plants	4.6	96	
$\dot{W}_{g_1,\sigma}$	Water flux associated with sub-surface runoff from the bottom soil layer	4.1	33	
\dot{W}_{g_1,g_0}	Water flux associated with sub-surface runoff from the bottom soil layer	4.1	33	
$\dot{W}_{l_k,c}$	Transpiration flux (cohort k)	4.6	94	
\dot{W}_{s_j-1,s_j}	Water percolation flux between two TSW layers	4.1	30–31	
$\dot{W}_{sNS,o}$	Surface runoff water flux from the top TSW layer	4.1	34	
$\dot{W}_{sNS,c}$	TSW–CAS evaporation ^b flux	4.5.2	67	
$\dot{W}_{t_k,c}$	Evaporation ^b flux from intercepted water (cohort k)	4.5.1	61	
$\dot{W}_{t_k,sNS}$	Canopy dripping flux from cohort k to the top TSW layer	4.2	43	

^a Net flux between leaf respiration (positive) and gross primary productivity (negative).

^b When negative, this flux corresponds to dew or frost formation.

between topmost soil layer and bottommost temporary surface water layer (see also section 3.2.2). In the equations above, we assume \dot{Q}_{g_0,g_1} to be zero (bottom boundary condition in thermal equilibrium), and ($\dot{H}_{g_1,g_0} = -\dot{H}_{g_0,g_1}$; $\dot{W}_{g_1,g_0} = -\dot{W}_{g_0,g_1}$)

to be sub-surface runoff fluxes (see section 4.1). In addition, $(\dot{Q}_{g_{N_G}, g_{N_G+1}}; \dot{H}_{g_{N_G}, g_{N_G+1}}; \dot{W}_{g_{N_G}, g_{N_G+1}})$ are equivalent to $(\dot{Q}_{g_{N_G}, s_1}; \dot{H}_{g_{N_G}, s_1}; \dot{W}_{g_{N_G}, s_1})$, which are the fluxes between the topmost soil layer and the bottommost temporary surface water layer (see also section 3.2.2).

3.2.2 Temporary surface water (TSW)

Temporary surface water (TSW) exists whenever water falls to the ground, or dew or frost develops on the ground. The layer will be maintained only when the amount of water that reaches the ground exceeds the water holding capacity of the top soil layer (a function of the soil porosity), or when precipitation falls as snow. The maximum number of temporary surface water layers N_S^{\max} is defined by the user, but the actual number of layers N_S and the thickness of each layer depends on the total mass and the water phase, following Walko et al. (2000). When the layer is in liquid phase, only one layer ($N_S = 1$) is maintained. If a snowpack develops, the temporary surface water can be divided into several layers (subscript j , with $j = 1$ being the deepest soil layer, and $j = N_S$ being the topmost TSW layer) ~~and the thickness of each layer (Δz_{s_j}) is defined using the same algorithm as LEAF-2 (Walko et al., 2000)~~. Net TSW fluxes are defined as:

$$\underbrace{\dot{Q}_{s_j}}_{\text{Net heat flux}} = \underbrace{\dot{Q}_{s_{j-1}, s_j} - \dot{Q}_{s_j, s_{j+1}}}_{\substack{\text{Net sensible heat flux} \\ \text{between consecutive layers} \\ (4.1)}} + \underbrace{\dot{Q}_{a, s_j}}_{\substack{\text{Absorbed irradiance} \\ (4.3.2)}} - \underbrace{\delta_{s_j s_{N_S}} \dot{Q}_{s_{N_S}, c}}_{\substack{\text{Ground-CAS sensible heat} \\ (4.5.2 \text{ and } 4.5.3)}}, \quad (9)$$

$$\underbrace{\dot{H}_{s_j}}_{\substack{\text{Net enthalpy flux} \\ \text{due to water flux}}} = \underbrace{\dot{H}_{s_{j-1}, s_j} - \dot{H}_{s_j, s_{j+1}}}_{\substack{\text{Water percolation} \\ \text{between consecutive layers} \\ (4.1)}} + \underbrace{\delta_{s_j s_{N_S}} \dot{H}_{a, s_{N_S}}}_{\substack{\text{Throughfall} \\ \text{precipitation} \\ (4.2)}} + \underbrace{\delta_{s_j s_{N_S}} \left(\sum_{k=1}^{N_T} \dot{H}_{t_k, s_{N_S}} \right)}_{\substack{\text{Canopy dripping} \\ \text{from cohorts} \\ (4.2)}} - \underbrace{\delta_{s_j s_{N_S}} \dot{H}_{s_{N_S}, o}}_{\substack{\text{Surface runoff} \\ (4.1)}} - \underbrace{\delta_{s_j s_{N_S}} \dot{H}_{s_{N_S}, c}}_{\substack{\text{Surface water} \\ \text{evaporation} \\ (4.5.2 \text{ and } 4.5.3)}}, \quad (10)$$

$$\underbrace{\dot{W}_{s_j}}_{\text{Water flux}} = \underbrace{\dot{W}_{s_{j-1}, s_j} - \dot{W}_{s_j, s_{j+1}}}_{\substack{\text{Water percolation} \\ \text{between consecutive layers} \\ (4.1)}} + \underbrace{\delta_{s_j s_{N_S}} \dot{W}_{a, s_{N_S}}}_{\substack{\text{Throughfall} \\ \text{precipitation} \\ (4.2)}} + \underbrace{\delta_{s_j s_{N_S}} \left(\sum_{k=1}^{N_T} \dot{W}_{t_k, s_{N_S}} \right)}_{\substack{\text{Canopy dripping} \\ \text{from cohorts} \\ (4.2)}} - \underbrace{\delta_{s_j s_{N_S}} \dot{W}_{s_{N_S}, o}}_{\substack{\text{Surface runoff} \\ (4.1)}} - \underbrace{\delta_{s_j s_{N_S}} \dot{W}_{s_{N_S}, c}}_{\substack{\text{Surface water} \\ \text{evaporation} \\ (4.5.2 \text{ and } 4.5.3)}}, \quad (11)$$

where $\delta_{s_j s_{j'}}$ is the Kronecker delta for comparing two TSW layers s_j and $s_{j'}$ (1 if $j = j'$; 0 otherwise), CAS is the canopy air space, and subscript o denotes loss from the thermodynamic envelope through runoff. Terms are described in detail in the sections shown underneath each term. Similarly to the soil fluxes (Section 3.2.1), we assume that $(\dot{Q}_{s_0, s_1}; \dot{H}_{s_0, s_1}; \dot{W}_{s_0, s_1})$ is equivalent to $(\dot{Q}_{g_{N_G}, s_1}; \dot{H}_{g_{N_G}, s_1}; \dot{W}_{g_{N_G}, s_1})$, the fluxes between the topmost soil layer and the bottommost TSW layer, and that $(\dot{Q}_{s_{N_S}, s_{N_S+1}}; \dot{H}_{s_{N_S}, s_{N_S+1}}; \dot{W}_{s_{N_S}, s_{N_S+1}})$ are all zero, as layer $N_S + 1$ does not exist. When solving Eq. (9)-(11) for layer s_{N_S} , we assume the terms $\dot{Q}_{s_j, s_{j+1}}$, $\dot{H}_{s_j, s_{j+1}}$ and $\dot{W}_{s_j, s_{j+1}}$ to be all zero, as layer $N_S + 1$ does not exist.

In the case of liquid TSW, the layer thickness of the single layer is defined as $\Delta z_{s_1} = \rho_\ell^{-1} W_{s_1}$, where ρ_ℓ is the density of liquid water (Table S3). In the case of snowpack development, the snow density and the layer thickness of the TSW are solved as described in Supplement S7. The thickness of each layer of snow (Δz_{s_j}) is defined using the same algorithm as LEAF-2 (Walko et al., 2000) and described in Supplement S7.

5 3.2.3 Vegetation

In ED-2.2, vegetation is solved as an independent thermodynamic system only if the cohort is sufficiently large. The minimum size is an adjustable parameter and the typical minimum heat capacity solved by ED-2.2 is on the order of $10 \text{ J m}^{-2} \text{ K}^{-1}$ and total area index of $0.005 \text{ m}_{\text{leaf+wood}}^2 \text{ m}^{-2}$. Cohorts smaller than this are excluded from all energy and water cycle calculations and assumed to be in thermal equilibrium with canopy air space. The net fluxes of heat, enthalpy and water each cohort k

10 that can be resolved are:

$$\underbrace{\dot{Q}_{t_k}}_{\text{Net heat flux}} = \underbrace{\dot{Q}_{a,t_k}}_{\substack{\text{Cohort's net} \\ \text{absorbed irradiance} \\ (4.3.1)}} - \underbrace{\dot{Q}_{t_k,c}}_{\substack{\text{Cohort-CAS} \\ \text{sensible heat} \\ (4.5.1)}}, \quad (12)$$

$$\underbrace{\dot{H}_{t_k}}_{\substack{\text{Net enthalpy flux} \\ \text{due to water flux}}} = \underbrace{\dot{H}_{a,t_k}}_{\substack{\text{Rainfall} \\ \text{interception} \\ (4.2)}} - \underbrace{\dot{H}_{t_k,s_{NS}}}_{\substack{\text{Canopy dripping} \\ (4.2)}} + \underbrace{\left(\sum_{j=1}^{N_G} \dot{H}_{g_j,l_k} \right)}_{\substack{\text{Ground water} \\ \text{uptake (transpiration)} \\ (4.6)}} - \underbrace{\dot{H}_{l_k,c}}_{\substack{\text{Transpiration} \\ (4.6)}} - \underbrace{\dot{H}_{t_k,c}}_{\substack{\text{Evaporation of} \\ \text{intercepted water} \\ (4.5.3)}}, \quad (13)$$

$$\underbrace{\dot{W}_{t_k}}_{\text{Water flux}} = \underbrace{\dot{W}_{a,t_k}}_{\substack{\text{Rainfall} \\ \text{interception} \\ (4.2)}} - \underbrace{\dot{W}_{t_k,s_{NS}}}_{\substack{\text{Canopy dripping} \\ (4.2)}} + \underbrace{\left(\sum_{j=1}^{N_G} \dot{W}_{g_j,l_k} \right)}_{\substack{\text{Ground water} \\ \text{uptake (transpiration)} \\ (4.6)}} - \underbrace{\dot{W}_{l_k,c}}_{\substack{\text{Transpiration} \\ (4.6)}} - \underbrace{\dot{W}_{t_k,c}}_{\substack{\text{Evaporation of} \\ \text{intercepted water} \\ (4.5.3)}}. \quad (14)$$

Each term is described in detail in the sections shown underneath each term on the right-hand side of Eq. (12)-(14).

15 3.2.4 Canopy air space (CAS)

The canopy air space is a gas, therefore extensive properties akin to the other thermodynamic systems are not intuitive because total mass and total volume cannot be directly compared to observations. Therefore, all prognostic and diagnostic variables are solved in the intensive form. Total enthalpy H_c and total water mass W_c of the canopy air space can be written in terms of air density ρ_c and the equivalent depth of the canopy air space \bar{z}_c as:

$$20 \quad H_c = \rho_c \bar{z}_c h_c, \quad (15)$$

$$W_c = \rho_c \bar{z}_c w_c, \quad (16)$$

$$\bar{z}_c = \max \left(5.0, \frac{\sum_{k=1}^{N_{T(\text{canopy})}} n_{t_k} \text{BA}_{t_k} z_{t_k}}{\sum_{k=1}^{N_{T(\text{canopy})}} n_{t_k} \text{BA}_{t_k}} \right), \quad (17)$$

where BA_{t_k} (cm^2) and z_{t_k} (m) are the basal area and the height of cohort k , respectively; and $N_{T(\text{canopy})}$ is the number of cohorts that are in the canopy, and we assume that cohorts are ordered from tallest to shortest. In case the canopy is open, $N_{T(\text{canopy})}$ is the total number of cohorts, and a minimum value of 5m is imposed when vegetation is absent or too short, to prevent numerical instabilities. Because the equivalent canopy depth depends only on the cohort size, \bar{z}_c is updated at the cohort dynamics step (Δt_{CD} , Table 2). If we substitute Eq. (15) and Eq. (16) into Eq. (4) and Eq. (5), respectively, and assume that changes in density over short time steps are much smaller than changes in enthalpy or humidity, and ~~that changes~~ ~~we obtain~~ then we obtain the following equations for the canopy air space budget:

$$\frac{dh_c}{dt} = \frac{1}{\rho_c \bar{z}_c} \left(\dot{Q}_c + \dot{H}_c + \bar{z}_c \frac{dp_c}{dt} \right), \quad (18)$$

$$\frac{dw_c}{dt} = \frac{1}{\rho_c \bar{z}_c} \dot{W}_c, \quad (19)$$

where

$$\underbrace{\dot{Q}_c}_{\text{Net heat flux}} = \underbrace{\left(\sum_{k=1}^{N_T} \dot{Q}_{t_k,c} \right)}_{\substack{\text{Cohort-CAS} \\ \text{sensible heat (4.5.1 and 4.5.3)}}} + \underbrace{\dot{Q}_{sN_S,c}}_{\substack{\text{Surface water-CAS} \\ \text{sensible heat (4.5.2 and 4.5.3)}}} + \underbrace{\dot{Q}_{gN_G,c}}_{\substack{\text{Ground-CAS} \\ \text{sensible heat (4.5.2 and 4.5.3)}}}, \quad (20)$$

$$\underbrace{\dot{H}_c}_{\text{Net enthalpy flux}} = \underbrace{\dot{H}_{a,c}}_{\substack{\text{Enthalpy flux from} \\ \text{Turbulent mixing (4.4)}}} + \underbrace{\left(\sum_{k=1}^{N_T} \dot{H}_{t_k,c} \right)}_{\substack{\text{Evaporation of} \\ \text{intercepted water} \\ \text{(4.5.1 and 4.5.3)}}} + \underbrace{\left(\sum_{k=1}^{N_T} \dot{H}_{l_k,c} \right)}_{\substack{\text{Transpiration} \\ \text{(4.6)}}} + \underbrace{\dot{H}_{sN_S,c}}_{\substack{\text{Surface water} \\ \text{evaporation} \\ \text{(4.5.2 and 4.5.3)}}} + \underbrace{\dot{H}_{gN_G,c}}_{\substack{\text{Ground evaporation} \\ \text{(4.5.2 and 4.5.3)}}}, \quad (21)$$

$$\underbrace{\dot{W}_c}_{\text{Water flux}} = \underbrace{\dot{W}_{a,c}}_{\substack{\text{Water flux from} \\ \text{Turbulent mixing (4.4)}}} + \underbrace{\left(\sum_{k=1}^{N_T} \dot{W}_{t_k,c} \right)}_{\substack{\text{Evaporation of} \\ \text{intercepted water} \\ \text{(4.5.1 and 4.5.3)}}} + \underbrace{\left(\sum_{k=1}^{N_T} \dot{W}_{l_k,c} \right)}_{\substack{\text{Transpiration} \\ \text{(4.6)}}} + \underbrace{\dot{W}_{sN_S,c}}_{\substack{\text{Surface water} \\ \text{evaporation} \\ \text{(4.5.2 and 4.5.3)}}} + \underbrace{\dot{W}_{gN_G,c}}_{\substack{\text{Ground evaporation} \\ \text{(4.5.2 and 4.5.3)}}}. \quad (22)$$

Unlike in the other thermodynamic systems (soil, temporary surface water, and vegetation), the net enthalpy flux of the canopy air space is not exclusively due to associated water flux: the eddy flux between the free air and the canopy air space ($\dot{H}_{a,c}$) includes both water transport and flux associated with mixing of air with different temperatures, and thus enthalpy, between canopy air space and free air.

In addition, we must also track the canopy-air-space pressure p_c . In ED-2.2, CAS pressure is not solved through a differential equation: instead p_c is updated whenever the meteorological forcing is updated, using the ideal gas law and

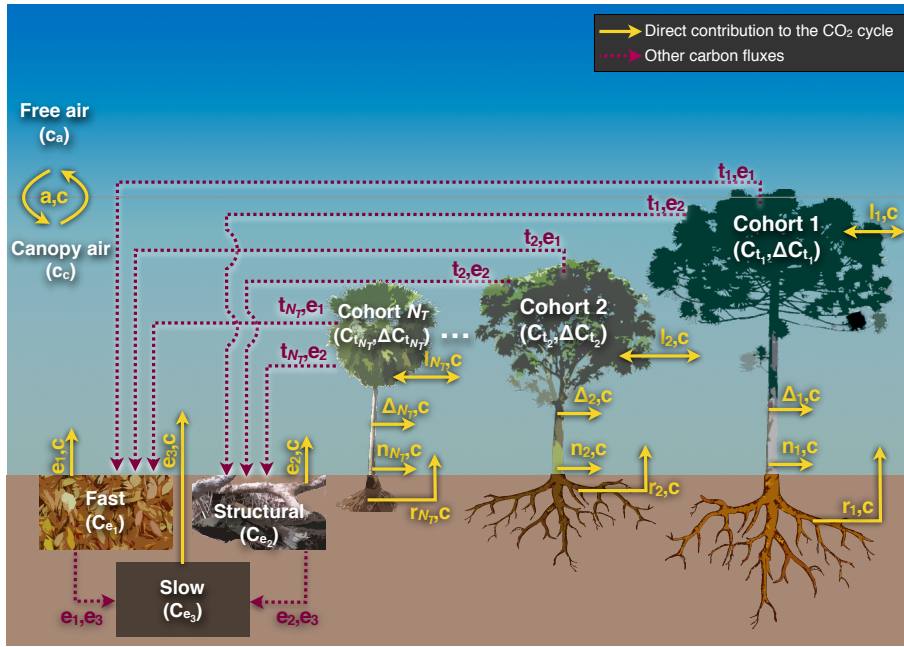


Figure 3. Schematic of the patch-level carbon cycle solved in ED-2.2 for a patch containing two cohorts. Like Figure 2, letters near the arrows are the subscripts associated with fluxes. Fluxes shown in **solid yellow** lines are part of the CO₂ cycle discussed in this manuscript, and **dashed red** lines are part of the carbon cycle but do not directly affect the CO₂ flux; these fluxes are summarized in Supplements S3 and S4.

hydrostatic equilibrium following the method described in Supplement S8. The rate of change of canopy air pressure is then applied in Eq. (18). Likewise, CAS density (ρ_c) is updated at the end of each thermodynamic step to ensure that the CAS conforms to the ideal gas law.

3.3 Carbon dioxide cycle

In ED-2.2, the carbon dioxide cycle is a subset of the full carbon cycle, which is shown in Fig. 3. The canopy air space is the only thermodynamic system with CO₂ storage that is solved by ED-2.2; nonetheless, we assume that the contribution of CO₂ to density and heat capacity of the canopy air space is negligible, hence only the molar CO₂ mixing ratio c_c (mol_C mol⁻¹) is traced.

The change in CO₂ storage in the canopy air space is determined by the following differential equation:

$$\frac{dc_c}{dt} = \frac{\mathcal{M}_d}{\mathcal{M}_C} \frac{1}{\rho_c \bar{z}_c} \dot{C}_c, \quad (23)$$

$$\underbrace{\dot{C}_c}_{\text{Net carbon flux}} = \underbrace{\dot{C}_{a,c}}_{\text{Carbon flux from Turbulent mixing (4.4)}} + \underbrace{\sum_{k=1}^{N_T} \dot{C}_{l_k,c}}_{\text{Net Leaf-CAS flux (Respiration-GPP) (4.6)}} + \underbrace{\sum_{k=1}^{N_T} \dot{C}_{r_k,c}}_{\text{Fine-root Respiration (4.7)}} + \underbrace{\sum_{k=1}^{N_T} \dot{C}_{n_k,c}}_{\text{Storage turnover Respiration (4.7)}} + \underbrace{\sum_{k=1}^{N_T} \dot{C}_{\Delta_k,c}}_{\text{Growth and maintenance Respiration (4.7)}} + \underbrace{\sum_{j=1}^3 \dot{C}_{e_j,c}}_{\text{Heterotrophic Respiration (4.8)}}, \quad (24)$$

where \mathcal{M}_d and \mathcal{M}_C are the molar masses of dry air and carbon, respectively, used to convert mass to molar fraction ($1 \text{ mol}_C = 1 \text{ mol}_{\text{CO}_2}$). The terms on the right-hand side of Eq. (24) are described in detail in the sections displayed underneath each term. The net leaf-CAS flux ($\dot{C}_{l_k,c}$) for any cohort k is positive when leaf respiration exceeds photosynthetic assimilation. The heterotrophic respiration is based on a simplified implementation of the CENTURY model (Bolker et al., 1998) that combines the decomposition rates from three soil carbon pools, defined by their characteristic life time: fast (metabolic litter and microbial; e_1), intermediate (structural debris; e_2), and slow (humified and passive soil carbon; e_3). Note that the soil carbon pools are not directly related to the soil layers used to describe the thermodynamic state (Section 3.2.1).

In addition to canopy air space, we also define a virtual cohort pool of carbon corresponding to the accumulated carbon balance (C_{Δ_k}). The accumulated carbon balance links short-term carbon cycle components such as photosynthesis and respiration with long-term dynamics that depend on carbon balance such as carbon allocation to growth and reproduction, and mortality (Long-term dynamics described in Supplement S3). The accumulated carbon balance is defined by the following equation:

$$\underbrace{\frac{dC_{\Delta_k}}{dt}}_{\text{Change in carbon balance}} = - \underbrace{\dot{C}_{l_k,c}}_{\text{Net Leaf-CAS flux (Respiration-GPP) (4.6)}} - \underbrace{\dot{C}_{r_k,c}}_{\text{Fine-root Respiration (4.7)}} - \underbrace{\dot{C}_{n_k,c}}_{\text{Storage turnover Respiration (4.7)}} - \underbrace{\dot{C}_{\Delta_k,c}}_{\text{Growth and maintenance Respiration (4.7)}} - \underbrace{\dot{C}_{t_k,e_1}}_{\text{Turnover of non-lignified litter (S4)}} - \underbrace{\dot{C}_{t_k,e_2}}_{\text{Turnover of lignified litter (S4)}}, \quad (25)$$

where \dot{C}_{t_k,e_1} and \dot{C}_{t_k,e_2} are the individual carbon losses caused by leaf shedding and turnover of living tissues that become part of the litter (\dot{C}_{t_k,e_1}) and structural debris (\dot{C}_{t_k,e_2}). The transfer of carbon from plants to the soil carbon pools and between the soil carbon pools do not directly impact the carbon dioxide budget, but contribute to the long-term ecosystem carbon stock distribution and carbon balance. These components have been discussed in previous ED and ED2 publications (Moorcroft et al., 2001; Albani et al., 2006; Medvigy, 2006; Medvigy et al., 2009) and are summarized in Supplement S4.

4 Sub-models and parameterizations of terms of the general equations

4.1 Hydrology sub-model and ground energy exchange

The ground model encompasses heat, enthalpy, and water fluxes between adjacent layers of soil and temporary surface water, as well as losses of water and enthalpy due to surface runoff and drainage. Fluxes between adjacent layers are positive when they are upwards, and runoff and drainage fluxes are positive or zero.

Sensible heat flux between two adjacent soil or temporary surface water layers $j - 1$ and j are determined based on thermal conductivity Υ_Q and temperature gradient (Bonan, 2008), with an additional term for temporary surface water to scale the flux when the temporary surface water covers only a fraction f_{TSW} of the ground:

$$\dot{Q}_{g_{j-1},g_j} = -\langle \Upsilon_Q \rangle_{g_{j-1},g_j} \left(\frac{\partial T_g}{\partial z} \right)_{g_{j-1},g_j}, \quad (26)$$

$$5 \quad \dot{Q}_{s_{j-1},s_j} = -f_{TSW} \langle \Upsilon_Q \rangle_{s_{j-1},s_j} \left(\frac{\partial T_s}{\partial z} \right)_{s_{j-1},s_j}, \quad (27)$$

where the operator $\langle \rangle$ is the log-linear interpolation from the mid-point height of layers $j - 1$ and j to the height at the interface. The bottom boundary condition of Eq. (26) is $\left(\frac{\partial T}{\partial z} \right)_{g_0,g_1} \equiv 0$. The interface between the top soil layer and the first temporary surface water ($\dot{Q}_{g_{N_G},s_1}$) is found by applying Eq. (27) with $(T_{s_0}; \Upsilon_{Q_{s_0}}; \Delta z_{s_0}) = (T_{g_{N_G}}; \Upsilon_{Q_{g_{N_G}}}; \Delta z_{g_{N_G}})$. Soil thermal conductivity depends on soil moisture and texture properties, and the parameterization is described in Supplement S9.

Both the fraction of ground covered by the temporary surface water and the thermal conductivity of the temporary surface water are described in Supplement S10.

Ground water exchange between layers occurs only if water is in liquid phase. The water flux between soil layers g_{j-1} and $g_j, j \in \{2, 3, \dots, N_G\}$ is determined from Darcy's law (Bonan, 2008):

$$\dot{W}_{g_{j-1},g_j} = -\rho_\ell \langle \Upsilon_\Psi \rangle_{g_{j-1},g_j} \left[\frac{\partial \Psi}{\partial z} + \frac{dz_g}{dz} \right]_{g_{j-1},g_j}, \quad (28)$$

where Ψ is the soil matric potential and Υ_Ψ is the hydraulic conductivity, both defined after Brooks and Corey (1964), with an additional correction term applied to hydraulic conductivity to reduce conductivity in case the soil is partially or completely frozen (Supplement S9). The bottom boundary condition for soil matric potential gradient is $\left(\frac{\partial \Psi}{\partial z} \right)_{g_0,g_1} \equiv 0$.

The term $\frac{dz_g}{dz}$ in Eq. (28) is the flux due to gravity, and it is 1 for all layers except the bottom boundary condition, which depends on the sub-surface drainage. Sub-surface drainage at the bottom boundary depends on the type of drainage, and is determined using a slight modification of Eq. (28). Let $\tilde{\theta}$ be an angle-like parameter that controls the drainage beneath the lowest level. Because we assume zero gradient in soil matric potential between the lowest layer and the boundary condition, the sub-surface drainage flux (\dot{W}_{g_1,g_0}) becomes:

$$\dot{W}_{g_1,g_0} = -\dot{W}_{g_0,g_1} = \rho_\ell \Upsilon_{\Psi_{g_1}} \sin \tilde{\theta}. \quad (29)$$

Special cases of Eq. (29) are the zero-flow conditions ($\tilde{\theta} = 0$) and free drainage ($\tilde{\theta} = \frac{\pi}{2}$).

For the temporary surface water, water flux between layers through percolation is calculated similarly to LEAF-2 (Walko et al., 2000). Liquid water in excess of 10% is in principle free to percolate to the layer below, although the maximum

percolation of the first surface water layer is limited by the amount of pore space available at the top ground layer:

$$\dot{W}_{s_1, g_{NG}} = -\dot{W}_{g_{NG}, s_1} = H \frac{1}{\Delta t_{\text{Thermo}}} \max \left[0, W_{s_1} \left(\frac{\ell_{s_1} - 0.1}{0.9} \right), \rho_\ell \left(\vartheta_{\text{Po}} - \vartheta_{g_{NG}} \right) \Delta z_{g_{NG}} \right], \quad (30)$$

$$\dot{W}_{s_j, s_{j-1}} = -\dot{W}_{s_{j-1}, s_j} = H \frac{1}{\Delta t_{\text{Thermo}}} \max \left(0, W_{s_j} \frac{\ell_{s_j} - 0.1}{0.9} \right), \text{ for } j > 1. \quad (31)$$

Surface runoff of liquid water is simulated using a simple extinction function, applied only at the top most temporary

5 surface water layer:

$$\dot{W}_{s_{NS}, o} = \ell_{s_{NS}} W_{s_{NS}} \exp \left(-\frac{\Delta t_{\text{Thermo}}}{t_{\text{Runoff}}} \right), \quad (32)$$

where t_{Runoff} is a user-defined e-folding decay time, usually on the order of a few minutes to a few hours (Table S4).

In addition to the water fluxes due to sub-surface drainage, surface runoff and the transport of water between layers, we must account for the associated enthalpy fluxes. Enthalpy fluxes due to sub-surface drainage and surface runoff are

10 defined based on the water flux and the temperature of the layers where water is lost, by applying the definition of enthalpy (Supplement S5):

$$\dot{H}_{g_1, g_0} = \dot{W}_{g_1, g_0} q_\ell (T_{g_1} - T_{\ell 0}), \quad (33)$$

$$\dot{H}_{s_{NS}, o} = \dot{W}_{s_{NS}, o} q_\ell (T_{s_{NS}} - T_{\ell 0}), \quad (34)$$

where q_ℓ is the specific heat of liquid water (Table S3), and $T_{\ell 0}$ is defined in Eq. (S53). The enthalpy flux between two
15 adjacent layers is solved similarly, but it must account for the sign of the flux in order to determine the water temperature of the donor layer:

$$\dot{H}_{x_{j-1}, x_j} = \begin{cases} \dot{W}_{x_{j-1}, x_j} q_\ell (T_{x_j} - T_{\ell 0}) & , \text{ if } \dot{W}_{x_{j-1}, x_j} < 0 \\ \dot{W}_{x_{j-1}, x_j} q_\ell (T_{x_{j-1}} - T_{\ell 0}) & , \text{ if } \dot{W}_{x_{j-1}, x_j} \geq 0 \end{cases}, \quad (35)$$

where the subscript x_j represents either soil (g_j) or temporary surface water (s_j).

4.2 Precipitation and vegetation dripping

20 In ED-2.2, precipitating water from rain and snow increases the water storage of the thermodynamic systems, as rainfall ~~can be intercepted~~ can be intercepted by the canopy, or reach the ground. This influx of water also affects the enthalpy storage ~~because the enthalpy due to the enthalpy~~ associated with precipitation, although no heat exchange is directly associated with precipitation.

To determine the partitioning of total incoming precipitation ($\dot{W}_{\infty, a}$) into interception by each cohort (\dot{W}_{a, t_k}) and
25 direct interception by the ground (throughfall, $\dot{W}_{a, s_{NS}}$), we use the fraction of open canopy (\mathcal{O}) and the total plant area index

of each cohort ($\Pi\Phi_{t_k}$):

$$\dot{W}_{a,t_k} = (1 - \mathcal{O}) \dot{W}_{\infty,a} \frac{\Pi\Phi_{t_k}}{\sum_{k'=1}^{N_T} \Pi\Phi_{t_{k'}}}, \quad (36)$$

$$\dot{W}_{a,s_{NS}} = \mathcal{O} \dot{W}_{\infty,a}, \quad (37)$$

$$\mathcal{O} = \prod_{k=1}^{N_T} (1 - X_{t_k}), \quad (38)$$

where $\Pi\Phi_{t_k} = \Lambda_{t_k} + \Omega_{t_k}$ is the total plant area index, Λ_{t_k} and Ω_{t_k} being the leaf and wood area indices, both defined from PFT-dependent allometric relations (Supplement S18); X_{t_k} is the crown area index of each cohort, also defined in Supplement S18. Throughfall precipitation is always placed on the topmost temporary surface water layer. In case no temporary surface water layer exists, a new layer is created, although it may be extinct in case all water is able to percolate down to the top soil layer.

Precipitation is a mass flux, but it also has an associated enthalpy flux ($\dot{H}_{\infty,a}$) that must be partitioned and incorporated to the cohorts and temporary surface water. ~~Similarly~~ Similar to the water exchange between soil layers, the enthalpy flux associated with rainfall uses the definition of enthalpy (Supplement S5). ~~Because precipitation can fall as rain, snow, or a mix of both, we parameterize the precipitation phase according to the air temperature.~~ Because precipitation temperature is seldom available in meteorological drivers (towers or gridded meteorological forcing data sets), we assume that precipitation temperature is closely associated with the free-air temperature (T_a), and we use T_a to determine whether the precipitation falls as rain, snow, or a mix of both. Importantly, the use of free-air temperature partly accounts for the thermal difference between precipitation temperature and the temperature of intercepted surfaces. Rain is only allowed when ~~the free air temperature~~ T_a is above the water triple point ($T_3 = 273.16\text{K}$); in this case, the rain temperature is always assumed to be at T_a . Pure snow occurs when the free-air temperature is below T_3 , and likewise snow temperature is assumed to be T_a . When free air temperature is only slightly above T_3 , a mix of rain and snow occurs, with the rain temperature assumed to be T_a and snow temperature assumed to be T_3 :

$$\dot{H}_{\infty,a} = \dot{W}_{\infty,a} [(1 - \ell_a) q_i \min(T_3, T_a) + \ell_a q_\ell (T_a - T_{\ell 0})], \quad (39)$$

where ($q_i; q_\ell$) are the specific heats of ice and liquid, respectively, and $T_{\ell 0}$ is temperature at which supercooled water would have enthalpy equal to zero (Eq. S53). The fraction of precipitation that falls as rain ℓ_a is based on the Jin et al. (1999)

parameterization, slightly modified to make the function continuous:

$$\ell_a = \begin{cases} 1.0 & , \text{ if } T_a > 275.66 \text{ K} \\ 0.4 + 1.2(T_a - T_3 - 2.0) & , \text{ if } 275.16 \text{ K} < T_a \leq 275.66 \text{ K} \\ 0.2(T_a - T_3) & , \text{ if } T_3 < T_a \leq 275.16 \text{ K} \\ 0.0 & , \text{ if } T_a \leq T_3 \end{cases} . \quad (40)$$

The enthalpy flux associated with precipitation is then partitioned into canopy interception (\dot{H}_{a,t_k}) and throughfall ($\dot{H}_{a,s_{N_S}}$) using the same scaling factor as in Eq. (37) and Eq. (36):

$$\dot{H}_{a,t_k} = (1 - \mathcal{O}) \dot{H}_{\infty,a} \frac{\Pi\Phi_{t_k}}{\sum_{k'=1}^{N_T} \Pi\Phi_{t_{k'}}}, \quad (41)$$

$$\dot{H}_{a,s_{N_S}} = \mathcal{O} \dot{H}_{\infty,a}. \quad (42)$$

Leaves and branches can accumulate only a finite amount of water on their surfaces, proportional to their total area. When incoming precipitation rates are too high (or more rarely when dew or frost formation is excessive), any water amount that exceeds the holding capacity is lost to the ground as canopy dripping. Similarly to incoming precipitation, the excess water lost through dripping also has an associated enthalpy that must be taken into account, although dripping has no associated heat flux. The canopy dripping fluxes of water ($\dot{W}_{t_k,s_{N_S}}$) and the associated enthalpy ($\dot{H}_{t_k,s_{N_S}}$) are defined such that the leaves and branches lose the excess water within one time step:

$$\dot{W}_{t_k,s_{N_S}} = -\frac{1}{\Delta t_{\text{Thermo}}} \max(0, W_{t_k} - \hat{w}_{\max} \Pi\Phi_{t_k}), \quad (43)$$

$$\dot{H}_{t_k,s_{N_S}} = \dot{W}_{t_k,s_{N_S}} [(1 - \ell_{t_k}) q_i T_{t_k} + \ell_{t_k} (T_{t_k} - T_{\ell 0})], \quad (44)$$

where ℓ_{t_k} is the liquid fraction of surface water on top of cohort k and \hat{w}_{\max} is the cohort holding capacity, which is an adjustable parameter (Table S4) but typically is of the order of $0.05 - 0.40 \text{ kg}_W \text{ m}_{\text{Leaf+Wood}}^{-2}$ (Wohlfahrt et al., 2006).

4.3 Radiation model

The radiation budget is solved using a multi-layer version of the two-stream model (Sellers, 1985; Liou, 2002; Medvigy, 2006) applied to three broad spectral bands: photosynthetically active radiation (PAR, wave lengths between 0.4 and 0.7 μm), near infrared radiation (NIR, wave lengths between 0.7 and 1.3 μm) and thermal infrared radiation (TIR, wave lengths between 5.0 and 15 μm).

4.3.1 Canopy radiation profile

For each spectral band m , the canopy radiation scheme assumes that each cohort corresponds to one layer of vegetation within the canopy, and within each layer the optical and thermal properties are assumed constant. For all bands, the top boundary condition for each band is provided by the meteorological forcing (Table 3). In the cases of PAR ($m = 1$) and NIR ($m = 2$), the downward irradiance is comprised of a beam (direct) and isotropic (diffuse) components, whereas TIR ($m = 3$) is assumed to be all diffuse. Direct irradiance that is intercepted by the cohorts can be either back-scattered or forward-scattered as diffuse radiation, and direct radiation reflected by the ground is assumed to be entirely diffuse.

Following Sellers (1985), the extinction of downward direct irradiance and the two-stream model for hemispheric diffuse irradiance for each of the spectral bands ($m = 1, 2, 3$) is given by:

$$\underbrace{\mu_k^\odot \frac{d\dot{Q}_{mk}^\odot}{d\tilde{I}\tilde{\Phi}}}_{\text{Downward direct profile}} = \underbrace{-\dot{Q}_{mk}^\odot}_{\text{Interception}}, \quad (45)$$

$$\underbrace{\bar{\mu}_k \frac{d\dot{Q}_{mk}^\downarrow}{d\tilde{I}\tilde{\Phi}}}_{\text{Downward diffuse profile}} = \underbrace{-\dot{Q}_{mk}^\downarrow}_{\text{Interception}} + \underbrace{(1 - \beta_{mk}) \varsigma_{mk} \dot{Q}_{mk}^\downarrow}_{\text{Forward scattering (downward diffuse)}} + \underbrace{\beta_{ik} \varsigma_{mk} \dot{Q}_{mk}^\uparrow}_{\text{Backscattering (upward diffuse)}} + \underbrace{\frac{\bar{\mu}_k}{\mu_k^\odot} \varsigma_{mk} (1 - \beta_{mk}^\odot) \dot{Q}_{mk}^\odot}_{\text{Forward scattering (downward direct)}} + \underbrace{(1 - \varsigma_{mk}) \dot{Q}_{mk}^\diamond}_{\text{Emission}}, \quad (46)$$

$$\underbrace{-\bar{\mu}_k \frac{d\dot{Q}_{mk}^\uparrow}{d\tilde{I}\tilde{\Phi}}}_{\text{Upward diffuse profile}} = - \underbrace{\dot{Q}_{mk}^\uparrow}_{\text{Interception}} + \underbrace{(1 - \beta_{mk}) \varsigma_{mk} \dot{Q}_{mk}^\uparrow}_{\text{Forward scattering (upward diffuse)}} + \underbrace{\beta_{mk} \varsigma_{mk} \dot{Q}_{mk}^\downarrow}_{\text{Backscattering (downward diffuse)}} + \underbrace{\frac{\bar{\mu}_k}{\mu_k^\odot} \varsigma_{mk} \beta_{mk}^\odot \dot{Q}_{mk}^\odot}_{\text{Backscattering (downward direct)}} + \underbrace{(1 - \varsigma_{mk}) \dot{Q}_{mk}^\diamond}_{\text{Emission}}, \quad (47)$$

where index $k \in \{1, 2, \dots, N_T\}$ corresponds to each cohort k or its lower interface (Fig. 4); interface $N_T + 1$ is immediately above the tallest cohort; \dot{Q}_{mk}^\odot is the downward direct irradiance incident at interface k ; (\dot{Q}_{mk}^\downarrow and \dot{Q}_{mk}^\uparrow) are the downward and upward (hemispheric) diffuse irradiances incident at interface k ; ς_{mk} is the scattering coefficient, and thus $(1 - \varsigma_{mk})$ is the absorptivity; β_{mk}^\odot and β_{mk} are the backscattered fraction of scattered direct and diffuse irradiances, respectively; $\tilde{I}\tilde{\Phi}$ is the effective cumulative plant area index, assumed zero at the top of each layer, and increasing downwards ($\tilde{I}\tilde{\Phi}_k$ is the total for layer k); μ_k^\odot and $\bar{\mu}_k$ are the inverse of the optical depth per unit of effective plant area index for direct and diffuse radiation, respectively; and \dot{Q}_{mk}^\diamond is the irradiance emitted by a black body at the same temperature as the cohort (T_{t_k}).

Equations (45)-(47) simplify for each spectral band. First, $\dot{Q}_{m=3k}^\odot \equiv 0$, because we assume that all incoming TIR irradiance is diffuse. Likewise, the black-body emission $\dot{Q}_{mk}^\diamond = \dot{Q}_{1k}^\diamond = 0$ for the PAR ($m = 1$) and NIR ($m = 2$) bands, because thermal emission is negligible at these wave lengths. The black-body emission for the TIR band is defined as

$$\dot{Q}_{m=3k}^\diamond = \sigma_{\text{SB}} T_{t_k}^4, \quad (48)$$

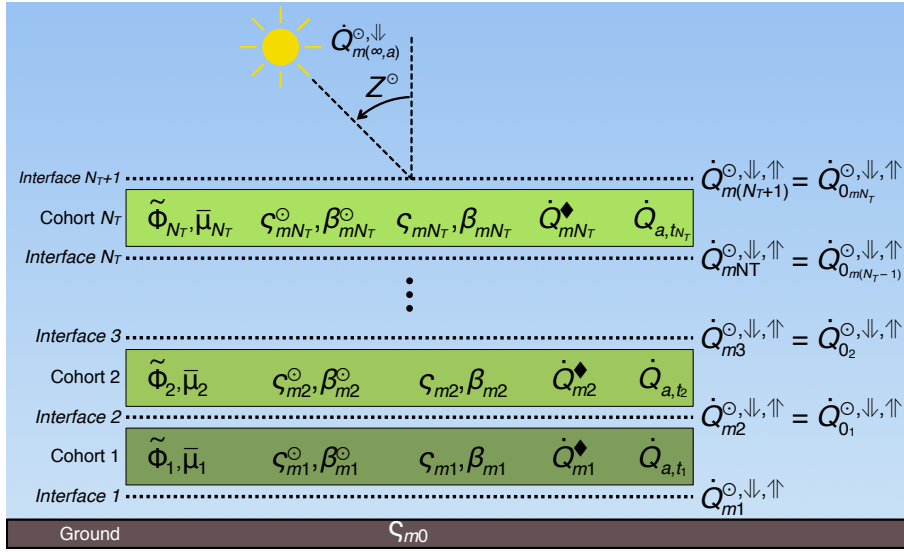


Figure 4. Schematic of the radiation module for a patch with N_T cohorts, showing the grid arrangement of the irradiance profiles relative to the cohort positions. Index k corresponds to each cohort of the interface beneath each cohort, m corresponds to each spectral band; $(\dot{Q}_{m(\infty,a)}^{\odot}, \dot{Q}_{m(\infty,a)}^{\odot})$ are the incoming direct and diffuse irradiance (Table 3); Z^{\odot} is the Sun's zenith angle; $(\dot{Q}_{mk}^{\odot}, \dot{Q}_{mk}^{\downarrow}, \dot{Q}_{mk}^{\uparrow})$ are the downward direct, downward diffuse, and upward diffuse irradiances (Eq. 45-47); $\tilde{\Phi}_k$ is the effective plant area index (Eq. S100); $(\varsigma_{mk}; \beta_{mk})$ are the scattering coefficients and the backscattering fraction for diffuse irradiance (Eq. S101, S102); $(\varsigma_{mk}^{\odot}; \beta_{mk}^{\odot})$ are the scattering coefficients and the backscattering fraction for direct irradiance (Eq. S104, S105); $\dot{Q}_{mk}^{\blacklozenge}$ is the black-body irradiance (Eq. 48); and \dot{Q}_{a,t_k}^{\odot} is the net absorbed irradiance (Eq. 49).

where σ_{SB} is the Stefan-Boltzmann constant (Table S3). Note that for emission of TIR radiation (45-47), we assume that emissivity is the same as absorptivity (Kirchhoff's law; Liou, 2002), hence the $1 - \varsigma$ term.

The effective plant area index $\tilde{\Phi}_k$ is the total area (leaves and branches) that is corrected to account for that leaves are not uniformly distributed in the layer. It is defined as $\tilde{\Phi}_k = \Omega_k + f_{\text{Clump}_k} \Lambda_k$, where f_{Clump_k} is the PFT-dependent clumping index (Chen and Black, 1992, default values in Table S5, Table S5-S6), Λ_{t_k} is the leaf area index and Ω_{t_k} is the wood area index. $\tilde{\Phi}$ is assumed zero at the top of each layer, increasing downwards.

The optical properties of the leaf layers — optical depth and scattering parameters for direct and diffuse radiation for each of the three spectral bands — are assumed constant within each layer. These properties are determined from PFT-dependent characteristics such as mean orientation factor, spectral band-dependent reflectivity, transmissivity, and emissivity (Supplement S11). Because the properties are constant within each layer, it is possible to analytically solve the full profile of both direct and diffuse radiation, using the solver described in Supplement S12.

Once the profiles of \dot{Q}_{mk}^{\odot} , $\dot{Q}_{mk}^{\downarrow}$ and \dot{Q}_{mk}^{\uparrow} are determined, we obtain the irradiance that is absorbed by each cohort \dot{Q}_{a,t_k} :

$$\dot{Q}_{a,t_k} = \sum_{m=1}^3 \left[\left(\dot{Q}_{m(k+1)}^{\odot} - \dot{Q}_{mk}^{\odot} \right) + \left(\dot{Q}_{m(k+1)}^{\downarrow} - \dot{Q}_{mk}^{\downarrow} \right) + \left(\dot{Q}_{mk}^{\uparrow} - \dot{Q}_{m(k+1)}^{\uparrow} \right) \right]. \quad (49)$$

This term is then used in the enthalpy budget of each cohort (Eq. 4 and Eq. 12).

4.3.2 Ground radiation

The ground radiation sub-model determines the irradiance emitted by the ground surface, and the profile of irradiance through the temporary surface water layers and top soil layer. Note that the ground radiation and the canopy radiation model are interdependent: the incoming radiation at the top ground layer is determined from the canopy radiation model, and the ground scattering coefficient (ς_{m0} , see Supplement S11) is needed for the canopy-radiation bottom boundary condition (Supplement S12). However, since the scattering coefficient does not depend on the total incoming radiation, the irradiance profile can be solved for a standardized amount of incoming radiation, and once the downward radiation at the bottom of the canopy has been calculated, the absorbed irradiance for each layer can be scaled appropriately.

Black-body emission from the ground (\dot{Q}_{m0}^\diamond) is calculated as an area-weighted average of the emissivities of exposed soil and temporary surface water:

$$\dot{Q}_{m0}^\diamond = \begin{cases} 0 & , \text{ if } m \in (1, 2) \\ \frac{(1 - f_{\text{TSW}}) (1 - \varsigma_{3g}) \left(\sigma_{\text{SB}} T_{g_{NG}}^4 \right) + f_{\text{TSW}} (1 - \varsigma_{3s}) \left(\sigma_{\text{SB}} T_{s_{NS}}^4 \right)}{(1 - f_{\text{TSW}}) (1 - \varsigma_{3g}) + f_{\text{TSW}} (1 - \varsigma_{3s})} & , \text{ if } m = 3 \end{cases} \quad (50)$$

where $(1 - \varsigma_{3g})$ and $(1 - \varsigma_{3s})$, are, respectively, the thermal-infrared emissivities of the top soil layer and the temporary surface water (Table S4), and f_{TSW} is the fraction of ground covered by temporary surface water. In ED-2.2, the soil and snow scattering coefficients for the TIR band are assumed constant, following Walko et al. (2000).

Once the irradiance profile for the canopy is determined from Eq. (45)-(47), the irradiance absorbed by each temporary surface water layer ($j \in \{1, 2, \dots, N_S\}$) is calculated by integrating the transmissivity profile for each layer, starting from the top layer:

$$\dot{Q}_{a,s_j} = \begin{cases} \sum_{m=1}^2 \left\{ f_{\text{TSW}} \left(\dot{Q}_{m1}^\downarrow + \dot{Q}_{m1}^\odot \right) \left[1 - \exp \left(-\frac{\Delta \bar{z}_s N_S}{\bar{\mu}_s} \right) \right] \right\} + f_{\text{TSW}} (1 - \varsigma_{3s}) \left(\dot{Q}_{m=3\ k=1}^\downarrow - \sigma_{\text{SB}} T_{s_{NS}}^4 \right) & , \text{ if } j = N_S \\ \sum_{m=1}^2 \left\{ f_{\text{TSW}} \left(\dot{Q}_{m1}^\downarrow + \dot{Q}_{m1}^\odot \right) \left[\exp \left(-\frac{\sum_{j'=j+1}^{N_S} \Delta \bar{z}_{s_{j'}}}{\bar{\mu}_s} \right) - \exp \left(-\frac{\sum_{j'=j}^{N_S} \Delta \bar{z}_{s_{j'}}}{\bar{\mu}_s} \right) \right] \right\} & , \text{ otherwise } \end{cases} \quad (51)$$

where $\bar{\mu}_s$ is the inverse of the optical depth of temporary surface water.

The irradiance absorbed by the ground is a combination of irradiance of exposed soil and irradiance that is transmitted through all temporary surface water layers, and the net absorption of longwave radiation:

$$\dot{Q}_{a,g_{NG}} = \sum_{m=1}^2 \left\{ \left[1 - f_{\text{TSW}} + f_{\text{TSW}} \exp \left(-\frac{\sum_{j'=1}^{N_S} \Delta \bar{z}_{s_{j'}}}{\bar{\mu}_s} \right) \right] \left(\dot{Q}_{m1}^\downarrow + \dot{Q}_{m1}^\odot \right) \right\} + (1 - f_{\text{TSW}}) (1 - \varsigma_{3g}) \left(\dot{Q}_{m=3\ k=1}^\downarrow - \sigma_{\text{SB}} T_{g_{NG}}^4 \right). \quad (52)$$

4.4 Surface Layer Model

The surface layer model determines the fluxes of enthalpy, water, and carbon dioxide between the canopy air space and the free air above. It is based on the Monin-Obukhov similarity theory (Monin and Obukhov, 1954; Foken, 2006), which has been widely used by biosphere-atmosphere models representing a variety of biomes (e.g. Walko et al., 2000; Best et al., 2011; Oleson et al., 2013), although this is often an extrapolation of the theory that was not originally developed for heterogeneous vegetation, or tall vegetation (Foken, 2006).

In order to obtain the fluxes, we assume that the eddy diffusivity of buoyancy is the same as the diffusivity of enthalpy, water vapor, and CO₂. This assumption allows us to define a single canopy conductance G_c for the three variables, following the algorithm described in Supplement S14.1. We then obtain the following equations for fluxes between canopy

air space and the free atmosphere:

$$\dot{W}_{a,c} = \rho_c G_c (w_a - w_c), \quad (53)$$

$$\dot{H}_{a,c} = \rho_c G_c (\tilde{h}_a - h_c), \quad (54)$$

$$\dot{C}_{a,c} = \frac{\mathcal{M}_C}{\mathcal{M}_d} \rho_c G_c (c_a - c_c), \quad (55)$$

where \tilde{h}_a is the equivalent enthalpy of air at reference height z_a when the air is adiabatically moved to the top of the canopy air space, using the definition of potential temperature:

$$\tilde{h}_a = h \left(\tilde{T}_a, w_a \right), \quad \text{from Eq.(S50),} \quad (56)$$

$$\tilde{T}_a = \theta_a \left(\frac{p_c}{p_0} \right)^{\frac{\mathcal{R}}{\mathcal{M}_d q_{pd}}}, \quad (57)$$

where p_0 is the reference pressure, \mathcal{R} is the universal gas constant, q_{pd} is the specific heat of dry air at constant pressure, and \mathcal{M}_d is the molar mass of dry air (Table S3).

Sensible heat flux between the free atmosphere and canopy air space ($\dot{Q}_{a,c}$) can be derived from the definition of enthalpy and enthalpy flux (Eq. S50 and Eq. 54), although it is not directly applied to the energy balance in the canopy air space ($\dot{H}_{a,c}$ is used instead).

$$\begin{aligned} \dot{H}_{a,c} &= \rho_c G_c \left[(1 - w_a) q_{pd} \tilde{T}_a + w_a q_{pv} (\tilde{T}_a - T_{v0}) - (1 - w_c) q_{pd} T_c + w_c q_{pv} (T_c - T_{v0}) \right] \\ &= \underbrace{\rho_c G_c (q_{pa} \tilde{T}_a - q_{pc} T_c)}_{\dot{Q}_{a,c}} - \rho_c G_c (w_a - w_c) q_{pv} T_{v0}, \end{aligned} \quad (58)$$

$$\dot{Q}_{a,c} = \dot{H}_{a,c} + \dot{W}_{a,c} T_{v0}. \quad (59)$$

4.5 Heat and water exchange between surfaces and canopy air space

4.5.1 Leaves and branches

Fluxes of sensible heat ($\dot{Q}_{t_k,c}$) and water vapor ($\dot{W}_{t_k,c}$) between the leaf surface and wood surface and the canopy air space follow the same principle of conductance and gradient that define the eddy fluxes between the free atmosphere and canopy air space (Eq. 53,54). Throughout this section, we use subscripts λ_k and β_k to denote leaf and wood boundary layers of cohort k , respectively; the different subscripts are needed to differentiate fluxes coming from the leaves' intercellular space (e.g. transpiration, see also Section 4.6). Let $G_{Q\lambda_k}$ (m s^{-1}) and $G_{W\lambda_k}$ (m s^{-1}) be the conductances of heat and water between the leaf boundary layer of cohort k and the canopy air space, and $G_{Q\beta_k}$ and $G_{W\beta_k}$ be the wood boundary layer counterparts. The surface sensible heat and surface water vapor fluxes are:

$$\dot{Q}_{t_k,c} = \dot{Q}_{\lambda_k,c} + \dot{Q}_{\beta_k,c} = 2 \Lambda_k \dot{q}_{\lambda_k,c} + \pi \Omega_k \dot{q}_{\beta_k,c}, \quad (60)$$

$$\dot{W}_{t_k,c} = \dot{W}_{\lambda_k,c} + \dot{W}_{\beta_k,c} = \Lambda_k \dot{w}_{\lambda_k,c} + \Omega_k \dot{w}_{\beta_k,c}, \quad (61)$$

$$\dot{q}_{\lambda_k,c} = G_{Q\lambda_k} \rho_c q_{p_c} (T_{l_k}^{\text{Sfc}} - T_c), \quad (62)$$

$$\dot{q}_{\beta_k,c} = G_{Q\beta_k} \rho_c q_{p_c} (T_{b_k}^{\text{Sfc}} - T_c), \quad (63)$$

$$\dot{w}_{\lambda_k,c} = G_{W\lambda_k} \rho_c (w_{l_k}^{\text{Sfc}} - w_c), \quad (64)$$

$$\dot{w}_{\beta_k,c} = G_{W\beta_k} \rho_c (w_{b_k}^{\text{Sfc}} - w_c), \quad (65)$$

where ($\dot{q}_{\lambda_k,c}; \dot{q}_{\beta_k,c}; \dot{w}_{\lambda_k,c}; \dot{w}_{\beta_k,c}$) are the leaf-surface and branch-surface heat and water fluxes by unit of leaf and branch area, respectively; the factors 2 and π in Eq. (60) means that sensible heat is exchanged on both sides of the leaves, and on the longitudinal area of the branches, which are assumed cylindrical. Intercepted water and dew and frost formation is allowed only on one side of the leaves, and an area equivalent to a one-sided flat plate for branches, and therefore only the leaf and wood area indices are used in Eq. (61). Canopy air space temperature, specific humidity, density, and specific heat, leaf temperature, and wood temperature are determined diagnostically. We also assume that surface temperature of leaves and branches to be the same as their internal temperatures (i.e. $T_{l_k}^{\text{Sfc}} \equiv T_{l_k}$ and $T_{b_k}^{\text{Sfc}} \equiv T_{b_k}$). Specific humidity at the leaf surface $w_{l_k}^{\text{Sfc}} = w_{\text{Sat}}^{\text{leaf}}(T_{l_k}^{\text{Sfc}}, p_c)$ and branch surface $w_{b_k}^{\text{Sfc}} = w_{\text{Sat}}^{\text{branch}}(T_{b_k}^{\text{Sfc}}, p_c)$ are assumed to be the saturation specific humidity $w_{\text{Sat}}^{\text{leaf}}$ (Supplement S15).

Heat conductance for leaves and branches are based on the convective heat transfer, as described in Supplement S14.2. Further description of the theory can be found in Monteith and Unsworth (2008, Section 10.1).

4.5.2 Temporary surface water and soil

Sensible heat and water fluxes between the temporary surface water and soil and the canopy air space are calculated similarly to leaves and branches. Surface conductance G_{Sfc} is assumed to be the same for both heat and water, and also the same for

soil and temporary surface water:

$$\dot{Q}_{s_{NS},c} = f_{TSW} G_{Sfc} \rho_c q_{p_c} (T_{s_{NS}} - T_c), \quad (66)$$

$$\dot{W}_{s_{NS},c} = f_{TSW} G_{Sfc} \rho_c (w_{s_{NS}} - w_c), \quad (67)$$

$$\dot{Q}_{g_{NG},c} = (1 - f_{TSW}) G_{Sfc} \rho_c q_{p_c} (T_{g_{NG}} - T_c), \quad (68)$$

$$5 \quad \dot{W}_{g_{NG},c} = (1 - f_{TSW}) G_{Sfc} \rho_c q_{p_c} (w_{g_{NG}} - w_c), \quad (69)$$

Specific humidity for temporary surface water is computed exactly as leaves and branches, $w_{s_{NS}} = w^{\# \text{Sat}}(T_{s_{NS}}, p_c)$ (Supplement S15). For soils the specific humidity also accounts for the soil moisture and the sign of the flux, using a method similar to Avissar and Mahrer (1988):

$$w_{g_{NG}} = \begin{cases} s_g \exp\left(\frac{\mathcal{M}_w g \Psi_{g_{NG}}}{\mathcal{R} T_{g_{NG}}}\right) w^{\# \text{Sat}}(T_{g_{NG}}, p_c) + (1 - s_g) w_c & , \text{ if } w^{\# \text{Sat}}(T_{g_{NG}}, p_c) > w_c \\ w^{\# \text{Sat}}(T_{g_{NG}}, p_c) & , \text{ if } w^{\# \text{Sat}}(T_{g_{NG}}, p_c) \leq w_c \end{cases}, \quad (70)$$

$$10 \quad s_g = \frac{1}{2} \left\{ 1.0 - \cos \left[\pi \frac{\min(\vartheta_{g_{NG}}, \vartheta_{Fc}) - \vartheta_{Re}}{\vartheta_{Fc} - \vartheta_{Re}} \right] \right\}, \quad (71)$$

where g is the gravity acceleration, \mathcal{M}_w is the water molar mass, and \mathcal{R} is the universal gas constant (Table S3); $T_{g_{NG}}$, $\vartheta_{g_{NG}}$ and $\Psi_{g_{NG}}$ are the temperature, soil moisture and soil matric potential of the topmost soil layer, respectively; and ϑ_{Fc} and ϑ_{Re} are the soil moisture at field capacity and the residual soil moisture, respectively. The exponential term in Eq. 70 corresponds to the soil pore relative humidity derived from the Kelvin equation (Philip, 1957), and s_g is the soil wetness function, which takes a similar functional form as the relative humidity term from Noilhan and Planton (1989) and the β term from Lee and Pielke (1992). The total resistance between the surface and the canopy air space is a combination of the resistance if the surface was bare, plus the resistance due to the vegetation, as described in Supplement S14.3.

4.5.3 Enthalpy flux due to evaporation and condensation

Dew and frost are formed when water in the canopy air space condenses or freezes on any surface (leaves, branches, or ground); likewise, water that evaporates and ice that sublimates from these surfaces immediately become part of the canopy air space. In terms of energy transfer, two processes occur, the phase change and the mass exchange, and both must be accounted for the enthalpy flux. Phase change depends on the specific latent heat of vaporization ($l_{\ell v}$) and sublimation (l_{iv}), which are linear functions of temperature, based on Eq. (S48) and Eq. (S49):

$$l_{\ell v}(T) = l_{\ell v3} + (q_{pv} - q_{\ell})(T - T_3), \quad (72)$$

$$25 \quad l_{iv}(T) = l_{iv3} + (q_{pv} - q_i)(T - T_3), \quad (73)$$

where l_{lv3} and l_{iv3} are the specific latent heats of vaporization and sublimation at the water triple point (T_3), q_{pv} is the specific heat of water vapor at constant pressure, and q_i and q_ℓ are the specific heats of ice and liquid water, respectively (Table S3). The temperature for phase change must be the surface temperature because this is where the phase change occurs. In the most generic case, if a surface x at temperature T_x ~~and with a~~ liquid water fraction ℓ_x , the total enthalpy flux between
5 the surface and canopy air space $\dot{H}_{x,c}$ associated with the water flux $\dot{W}_{x,c}$ is:

$$\dot{H}_{x,c} = \dot{W}_{x,c} \left\{ \underbrace{[(1 - \ell_x) q_i T_x + \ell_x q_\ell (T_x - T_{\ell 0})]}_{\text{Enthalpy flux due to mass exchange}} + \underbrace{[(1 - \ell_x) l_{iv} (T_x) + \ell_x l_{lv} (T_x)]}_{\text{Enthalpy flux due to phase change}} \right\}. \quad (74)$$

By using the definitions from Eq. (S54), Eq. (74) can be further simplified to:

$$\dot{H}_{x,c} = \dot{W}_{x,c} [q_{pv} (T_x - T_{v0})] = \dot{W}_{x,c} \underbrace{h(T_x, w_x = 1)}_{\text{Eq. (S50)}}, \quad (75)$$

which is consistent with the exchange of pure water vapor and enthalpy between the thermodynamic systems. Eq. (75) is
10 used to determine $\dot{H}_{g_{NG},c}$, $\dot{H}_{s_{NS},c}$, and $\dot{H}_{t_k,c}$, $k \in \{1, 2, \dots, N_T\}$.

4.6 Leaf physiology

In ED-2.2, leaf physiology is modeled following Farquhar et al. (1980) and Collatz et al. (1991) for C_3 plants; and Collatz et al. (1992) for C_4 plants, and [the](#) Leuning (1995) model for stomatal conductance. This sub-model ultimately determines the net leaf-level CO_2 uptake rate of each cohort k (\dot{A}_k , $\text{mol}_C \text{m}_{\text{Leaf}}^{-2} \text{s}^{-1}$), controlled exclusively by the leaf environment,
15 and the corresponding water loss through transpiration (\dot{E}_k , $\text{mol}_W \text{m}_{\text{Leaf}}^{-2} \text{s}^{-1}$).

The exchange of water and CO_2 between the leaf intercellular space and the canopy air space is mediated by the stomata and the leaf boundary layer, which imposes an additional resistance to fluxes of these substances. For simplicity, we assume that the leaf boundary layer air has low storage capacity, and thus the fluxes of any substance (water or CO_2) entering and exiting the boundary layer must be the same. Fluxes of water and carbon between the leaf intercellular space and the
20 canopy air space must overcome both the stomatal resistance and the boundary layer resistance, whereas sensible heat flux and water flux from leaf surface water must overcome the boundary layer resistance only (Fig. 5). The potential fluxes of CO_2 and water can be written as:

$$\dot{A}_k = \hat{G}_{C\lambda_k} (c_c - c_{\lambda_k}) = \hat{G}_{Cl_k} (c_{\lambda_k} - c_{l_k}) = \frac{\hat{G}_{C\lambda_k} \hat{G}_{Cl_k}}{\hat{G}_{C\lambda_k} + \hat{G}_{Cl_k}} (c_c - c_{l_k}), \quad (76)$$

$$\dot{E}_k = \hat{G}_{W\lambda_k} (w_c - w_{\lambda_k}) = \hat{G}_{Wl_k} (w_{\lambda_k} - w_{l_k}) = \frac{\hat{G}_{W\lambda_k} \hat{G}_{Wl_k}}{\hat{G}_{W\lambda_k} + \hat{G}_{Wl_k}} (w_c - w_{l_k}), \quad (77)$$

$$w_{l_k} = w^{\text{Sat}}_{\text{Sat}}(T_{t_k}, p_c) \quad (\text{Supplement S15}), \quad (78)$$

$$\hat{G}_{X\lambda_k} = \frac{\rho_c G_{X\lambda_k}}{\mathcal{M}_d}, \quad (79)$$

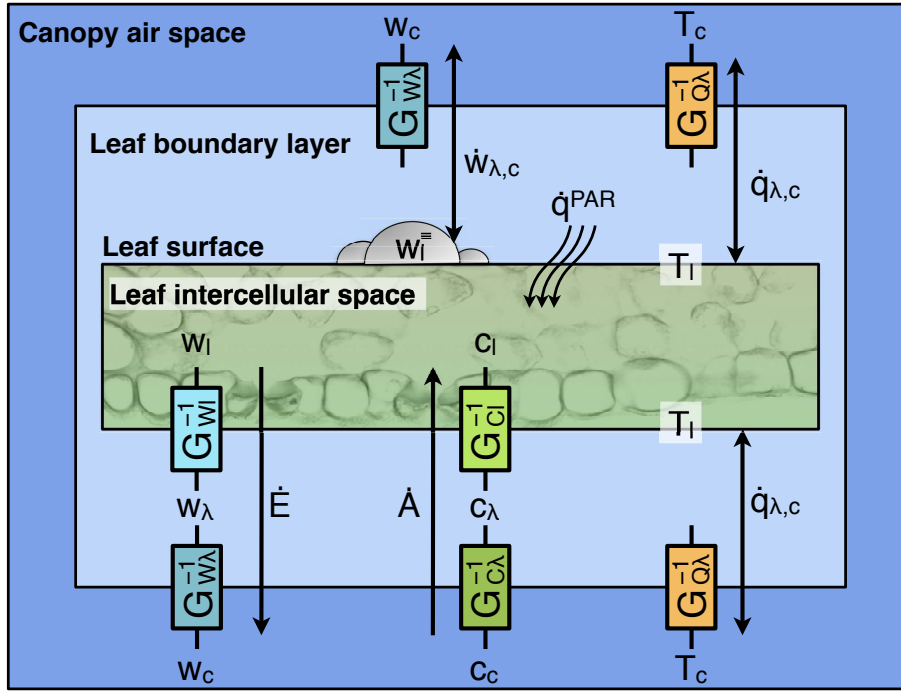


Figure 5. Schematic of fluxes between a leaf and the surrounding canopy air space for a hypostomatous plant during the photo period, as represented in ED-2.2. Conductances are represented by the resistances between the different environments (G^{-1}). Leaf-level sensible heat flux ($\dot{q}_{\lambda,c}$; Eq. 60) and leaf-level vapor flux between intercepted water and canopy air space ($\dot{w}_{\lambda,c}$; Eq. 61) are also shown for comparison. Cohort index k is omitted from the figure for clarity.

$$\hat{G}_{Xl_k} = \frac{\rho_c G_{Xl_k}}{\mathcal{M}_d}, \quad (80)$$

where $G_{X\lambda_k}$ and G_{Xl_k} (units m s^{-1}) are the leaf boundary layer and stomatal conductances for element X (either water W or carbon C), respectively; c_{λ_k} and w_{λ_k} are the CO_2 mixing ratio and the specific humidity of the leaf boundary layer, respectively; and c_{l_k} and w_{l_k} are the CO_2 and specific humidity of the leaf intercellular space, respectively. As stated in

5 Eq. (78), we assume the leaf intercellular space to be at water vapor saturation. The leaf boundary-layer conductances are obtained following the algorithm shown in Supplement S14.2. The net CO_2 assimilation flux and stomatal conductances are described below.

From Farquhar et al. (1980), the net CO_2 assimilation flux is defined as:

$$\dot{A}_k = \underbrace{\dot{V}_{C_k}}_{\text{Carboxylation}} - \underbrace{\frac{1}{2} \dot{V}_{O_k}}_{\substack{\text{Oxygenation} \\ \text{(Photorespiration)}}} - \underbrace{\dot{R}_k}_{\text{Day respiration}}. \quad (81)$$

Oxygenation releases $0.5 \text{ mol}_{\text{CO}_2}$ for every mol_{O_2} , hence the half multiplier, and it is related to carboxylation by means of the CO_2 compensation point Γ_k (Lambers et al., 2008):

$$\dot{V}_{O_k} = \frac{2\Gamma_k}{c_{l_k}} \dot{V}_{C_k}, \quad (82)$$

where c_{l_k} is the CO_2 mixing ratio in the leaf intercellular space. The CO_2 compensation point is determined after Collatz et al. (1991, 1992):

$$\Gamma_k = \begin{cases} \frac{o_{\oplus}}{2\theta} & , \text{ in case cohort } k \text{ is a } C_3 \text{ plant} \\ 0 & , \text{ in case cohort } k \text{ is a } C_4 \text{ plant} \end{cases}, \quad (83)$$

where o_{\oplus} is the reference O_2 mixing ratio (Table S3), and θ represents the ratio between the rates of carboxylase to oxygenase and is a function of temperature. The general form of the function describing the metabolic dependence upon temperature for any variable x (including θ) is:

$$\mathcal{T}(T, x) = x_{15} \times \mathcal{Q}_{10_x}^{\frac{T-T_{15}}{10}}, \quad (84)$$

where x_{15} is the value of variable x at temperature $T_{15} = 288.15\text{K}$, and \mathcal{Q}_{10_x} is the parameter which describes temperature dependence (Table S4).

Because C_4 plants have a mechanism to concentrate CO_2 near the CO_2 -fixing enzyme Rubisco (Ribulose-1,5-Biphosphate Carboxylase Oxygenase), photorespiration is nearly nonexistent in C_4 plants (Lambers et al., 2008), hence the assumption that Γ_k is zero. For C_4 plants, the carboxylation rate under Ribulose-1,5-Biphosphate (RuBP) saturated conditions becomes the maximum capacity of Rubisco to perform the carboxylase function ($\dot{V}_{C_k} = \dot{V}_{C_k}^{\max}$). For C_3 , this rate is unattainable even under RuBP-saturated conditions because carboxylation and oxygenation are mutually inhibitive reactions (Lambers et al., 2008). Therefore, the maximum attainable carboxylation ($\dot{V}_{C_k} = \dot{V}_{C_k}^{\text{RuBP}}$) is expressed by a modified Michaelis-Menten kinetics equation:

$$\dot{V}_{C_k}^{\text{RuBP}} = \begin{cases} \dot{V}_{C_k}^{\max} \frac{c_{l_k}}{c_{l_k} + \mathcal{K}_{\text{ME}_k}} & , \text{ if cohort } k \text{ is a } C_3 \text{ plant} \\ \dot{V}_{C_k}^{\max} & , \text{ if cohort } k \text{ is a } C_4 \text{ plant} \end{cases}, \quad (85)$$

where $\mathcal{K}_{\text{ME}_k} = \mathcal{K}_{C_k} (1 + o_{\oplus}/\mathcal{K}_{O_k})$ is the effective Michaelis constant, and \mathcal{K}_{C_k} and \mathcal{K}_{O_k} are the Michaelis constants for carboxylation and oxygenation, respectively. Both \mathcal{K}_{C_k} and \mathcal{K}_{O_k} are dependent on temperature, following Eq. (84) (default parameters in Table S4), whereas $\dot{V}_{C_k}^{\max}$ follows a modified temperature-dependent function to account for the fast decline of

both productivity and respiration at low and high temperatures (Sellers et al., 1996; Moorcroft et al., 2001):

$$\mathcal{T}'(T, x) = \frac{\mathcal{T}(T, x)}{\{1 + \exp[-f_{\text{Cold}}(T - T_{\text{Cold}})]\} \{1 + \exp[+f_{\text{Hot}}(T - T_{\text{Hot}})]\}}, \quad (86)$$

where f_{Cold} , f_{Hot} , T_{Cold} and T_{Hot} are PFT-dependent, phenomenological parameters to reduce the function value at low and high temperatures (Table S5-Tables S5-S6).

The original expression for the initial slope of the carboxylation rate under near-zero CO_2 ($\dot{V}_{C_k}^{\text{InSl}}$) for C_4 plants by Collatz et al. (1992) has been modified later (e.g. Foley et al., 1996) to explicitly include $\dot{V}_{C_k}^{\text{max}}$; this is the same expression used in ED-2.2:

$$\dot{V}_{C_k}^{\text{InSl}} = k_{\text{PEP}} \dot{V}_{C_k}^{\text{max}} c_{l_k}, \quad (87)$$

where k_{PEP} represents the initial slope of the response curve to increasing CO_2 ; the default value in ED-2.2 (Table S4) is the same value used by Collatz et al. (1992).

From the total photosynthetically active irradiance absorbed by the cohort $\dot{Q}_{\text{PAR}:a,t_k}$ (Eq. 49), we define the photon flux that is absorbed by the leaf (\dot{q}_k^{PAR} , $\text{mol m}_{\text{Leaf}}^{-2} \text{s}^{-1}$):

$$\dot{q}_k^{\text{PAR}} = \frac{1}{\text{Ein}} \frac{f_{\text{Clump}_k}}{\tilde{II}\Phi_k} \dot{Q}_{\text{PAR}:a,t_k}, \quad (88)$$

where Ein is the average photon-specific energy in the PAR band ($0.4 - 0.7 \mu\text{m}$; Table S3). Even though a high fraction ϵ_k^* of the absorbed irradiance is used to transport electrons needed by the light reactions of photosynthesis (Lambers et al., 2008), only a fraction of the irradiance absorbed by the leaf is absorbed by the chlorophyll; in addition, the number electrons needed by each carboxylation and oxygenation reaction poses an additional restriction to the total carboxylation rate. The product of these three factors is combined into a single scaling factor for total absorbed PAR, the quantum yield (ϵ_k), which is a PFT-dependent property in ED-2.2 (Table S5-Tables S5-S6). The maximum carboxylation rate under light limitation $\dot{V}_{C_k}^{\text{PAR}}$ is:

$$\dot{V}_{C_k}^{\text{PAR}} = \epsilon_k \dot{q}_k^{\text{PAR}} \frac{1}{1 + \frac{\dot{V}_{O_k}}{\dot{V}_{C_k}}} = \begin{cases} \epsilon_k \dot{q}_k^{\text{PAR}} \frac{c_{l_k}}{c_{l_k} + 2\Gamma_k} & , \text{ if cohort } k \text{ is a } \text{C}_3 \text{ plant} \\ \epsilon_k \dot{q}_k^{\text{PAR}} & , \text{ if cohort } k \text{ is a } \text{C}_4 \text{ plant} \end{cases}. \quad (89)$$

Carboxylation may also be limited by the export rate of starch and sucrose that is synthesized by triose phosphate, especially when CO_2 concentration is high combined with high irradiance, at low temperatures, or O_2 concentration is low (von Caemmerer, 2000; Lombardozzi et al., 2018). This limitation was not included in ED-2.2.

Day respiration comprises all leaf respiration terms that are not dependent on photosynthesis, and it is mostly due to mitochondrial respiration; it is currently represented as a function of the maximum carboxylation rate, following Foley et al.

(1996):

$$\dot{R}_k = f_R \dot{V}_{C_k}^{\max}, \quad (90)$$

where f_R is a PFT-dependent parameter (Table S5-Tables S5-S6).

Stomatal conductance is controlled by plants and is a result of a trade-off between the amount of carbon that leaves can uptake and the amount of water that plants may lose. Leuning (1995) proposed a semi-empirical stomatal conductance expression for water based on these trade-offs:

$$\hat{G}_{Wl_k} = \begin{cases} \hat{G}_{Wl_k}^{\varnothing} + \frac{M_k \dot{A}_k}{(c_{\lambda_k} - \Gamma_k) \left(1 + \frac{w_{l_k} - w_{\lambda_k}}{\Delta w_k} \right)}, & \text{if } \dot{A}_k > 0 \\ \hat{G}_{Wl_k}^{\varnothing}, & \text{if } \dot{A}_k \leq 0 \end{cases}, \quad (91)$$

where $\hat{G}_{Wl_k}^{\varnothing}$ is the residual conductance when stomata are closed, M_k is the slope of the stomatal conductance function, and Δw_k is an empirical coefficient controlling conductance under severe leaf-level water deficit; all of them are PFT-dependent parameters (Table S5-Tables S5-S6). From Cowan and Troughton (1971), stomatal conductance of CO_2 is estimated by the ratio f_{Gl} between the diffusivities of water and CO_2 in the air (Table S4):

$$\hat{G}_{Wl_k} = f_{Gl} \hat{G}_{Cl_k}. \quad (92)$$

Variables w_{l_k} , $\dot{V}_{C_k}^{\max}$, \dot{R}_k , \dot{w}_{l_k} , \mathcal{K}_{O_k} , \mathcal{K}_{C_k} , Γ_k , and \mathcal{K}_{ME_k} are functions of leaf temperature and canopy air space pressure, and thus can be determined directly. In contrast, nine variables are unknown for each limitation case as well as for the case when the stomata are closed: \dot{E}_k , \dot{A}_k , \dot{V}_{C_k} , \dot{V}_{O_k} , c_{l_k} , c_{λ_k} , w_{λ_k} , \hat{G}_{Wl_k} , and \hat{G}_{Cl_k} . The remaining unknown variables are determined numerically, following the algorithm described in Supplement S16.

The stomatal conductance model by Leuning (1995) (Eq. 91) is regulated by leaf vapor pressure deficit, however, Eq. (76) and Eq. (77) do not account for soil moisture limitation on photosynthesis. To represent this additional effect, we define a soil-moisture dependent scaling factor (f_{Wl_k} , Supplement S17) to reduce productivity and transpiration as soil available water decreases. Because stomatal conductance cannot be zero, the scaling factor f_{Wl_k} interpolates between the fully closed case and the solution without soil moisture limitation, yielding to the actual fluxes of CO_2 ($\dot{C}_{l_k,c}$, $\text{kg}_C \text{m}^{-2} \text{s}^{-1}$) and water ($\dot{W}_{l_k,c}$, $\text{kg}_W \text{m}^{-2} \text{s}^{-1}$):

$$\dot{C}_{l_k,c} = -p_k \mathcal{M}_C \Lambda_k \left[(1 - f_{Wl_k}) \dot{A}_k^{\varnothing} + f_{Wl_k} \dot{A}_k \right], \quad (93)$$

$$\dot{W}_{l_k,c} = p_k \mathcal{M}_w \Lambda_k \left[(1 - f_{Wl_k}) \dot{E}_k^{\varnothing} + f_{Wl_k} \dot{E}_k \right], \quad (94)$$

where b_k is 1 if the PFT is hypostomatous or 2 if the PFT is amphistomatous or needleleaf. Alternatively, Xu et al. (2016) implemented a process-based plant hydraulics scheme that solves the soil-stem-leaf water flow in ED-2.2; details of this implementation are available in the referred paper.

For simplicity, we assume that the water content in the leaf intercellular space and the plant vascular system are constant, therefore the amount of water lost by the intercellular space through transpiration always matches the amount of water absorbed by roots. Plants may extract water from all layers to which they have access, and the amount of water extracted from each layer is proportional to the available water in the layer relative to the total available water (W_{gj}^*):

$$\sum_{j=j_{0k}}^{N_G} \dot{W}_{gj,l_k} = \dot{W}_{l_k,c}, \quad (95)$$

$$\dot{W}_{gj,l_k} = \dot{W}_{l_k,c} \frac{W_{gj}^* - W_{gj+1}^*}{W_{gj0}^*}, \quad (96)$$

where W_{gj}^* is defined following Supplement S17 and $W_{g(N_G+1)}^* \equiv 0$. The net water flux in the leaf intercellular space due to transpiration is assumed to be zero, however the associated net energy flux cannot be zero. Water enters the leaf intercellular space as liquid water at the soil temperature, reaches thermal equilibrium with leaves, and is lost to the canopy air space as water vapor at the leaf temperature. Therefore, the enthalpy flux between the soil layers and the cohort is calculated similarly to Eq. (35), whereas the enthalpy flux between the leaf intercellular space and the canopy air space is solved similarly to Eq. (75):

$$\dot{H}_{gj,l_k} = \dot{W}_{gj,l_k} q_\ell (T_{gj} - T_{\ell 0}), \quad (97)$$

$$\dot{H}_{l_k,c} = \dot{W}_{l_k,c} q_{pv} (T_{t_k} - T_{v0}). \quad (98)$$

4.7 Non-leaf autotrophic respiration

Respiration from fine roots is defined using a phenomenological function of temperature that has the same functional form as leaf respiration (Moorcroft et al., 2001). Because roots are allowed in multiple layers, and in ED-2.2 roots have a uniform distribution of mass throughout the profile, the total respiration ($\dot{C}_{r_k,c}$: $\text{kgC m}^{-2} \text{s}^{-1}$) is the integral of the contribution from each soil layer, weighted by the layer thickness:

$$\dot{C}_{r_k,c} = C_{r_k} \frac{\sum_{j=j_{0k}}^{N_G} [\mathcal{T}'(T_{gj}, r_{r_k}) \Delta z_{gj}]}{\sum_{j=j_{0k}}^{N_G} \Delta z_{gj}}, \quad (99)$$

where r_{r_k} (s^{-1}) is the PFT-dependent decay rate due to root respiration factor that describes the relative metabolic activity of fine roots at the reference temperature (15°C (Table S5 Tables S5-S6), and \mathcal{T}' is the same temperature-dependent function from Eq. (86); default parameters are listed in Table S5 Tables S5-S6.

Total storage respiration is a combination of two terms: a phenomenological term that represents the long-term turnover rate of the accumulated storage pool (individual-based \dot{R}_{n_k} or flux-based $\dot{C}_{n_k,c}$), assumed constant (Medvigy et al., 2009), and a term related to the losses associated with the assimilated carbon for growth and maintenance of the living tissues (individual-based \dot{R}_{Δ_k} or flux-based $\dot{C}_{\Delta_k,c}$, Amthor, 1984). The latter is a strong function of the plant metabolic rate, which has strong daily variability hence is a function of the daily carbon balance:

$$\dot{C}_{n_k,c} = \tau_{n_k} C_{n_k}, \quad (100)$$

$$\dot{C}_{\Delta_k,c} = \tau_{\Delta_k} C_{\Delta_k}, \quad (101)$$

where $(\tau_{n_k}, \tau_{\Delta_k})$ are the PFT-dependent decay rates associated with storage turnover and consumption for growth, respectively (Table S5-Tables S5-S6); and C_{Δ_k} ($\text{kg}_C \text{ m}^{-2}$) is the total accumulated carbon from the previous day as defined in Eq. (25). The transport from non-structural storage and the accumulated carbon for maintenance, growth and, storage is summarized in Supplement S3.

4.8 Heterotrophic respiration

Heterotrophic respiration comes from the decomposition of carbon in the three soil/litter carbon pools. For each carbon pool $e_j; j \in (1, 2, 3)$, we determine the maximum carbon loss based on the characteristic decay rate, which corresponds to the typical half-life for metabolic litter (e_1); structural litter (e_2); and slow soil organic matter (e_3) determined from Bolker et al. (1998):

$$\dot{C}_{e_j,c} = C_{e_j} f_{he_j} B_{e_j} \mathcal{E}_T(\bar{T}_{g20}) \mathcal{E}_{\vartheta'}(\bar{\vartheta}'_{20}), \quad (102)$$

where f_{he} is the fraction of decay that is lost through respiration (Table S4), and by definition f_{he_3} must be always one (slow soil carbon can only be lost through heterotrophic respiration); B_e are the decay rates at optimal conditions, based on Bolker et al. (1998) (Table S4); \bar{T}_{g20} and $\bar{\vartheta}'_{20}$ are the average temperature and relative soil moisture of the top 0.2m of soil; the relative soil moisture for each layer is defined as:

$$\vartheta'_{g_j} = \frac{\vartheta_{g_j} - \vartheta_{\text{Re}}}{\vartheta_{\text{Po}} - \vartheta_{\text{Re}}}; \quad (103)$$

and $\mathcal{E}_T(\bar{T}_{g20})$ and $\mathcal{E}_{\vartheta'}(\bar{\vartheta}'_{20})$ are functions that reduces the decomposition rate due to temperature or soil moisture under extreme conditions:

$$\mathcal{E}_T(\bar{T}_{g20}) = \frac{1}{\left\{1 + \exp\left[-\hat{f}_{\text{Cold}}(\bar{T}_{g20} - T_{g\text{Cold}})\right]\right\} \left\{1 + \exp\left[-\hat{f}_{\text{Hot}}(\bar{T}_{g20} - T_{g\text{Hot}})\right]\right\}}, \quad (104)$$

$$\mathcal{E}_{\vartheta'}(\bar{\vartheta}'_{20}) = \frac{1}{\left\{1 + \exp\left[-\hat{f}_{\text{Dry}}(\bar{\vartheta}'_{20} - \vartheta'_{\text{Dry}})\right]\right\} \left\{1 + \exp\left[+\hat{f}_{\text{Wet}}(\bar{\vartheta}'_{20} - \vartheta'_{\text{Wet}})\right]\right\}}, \quad (105)$$

where $(\ell\hat{f}_{\text{Cold}}; T_{g\text{Cold}})$, $(\ell\hat{f}_{\text{Hot}}; T_{g\text{Hot}})$, $(\ell\hat{f}_{\text{Dry}}; \vartheta'_{\text{Dry}})$ and $(\ell\hat{f}_{\text{Wet}}; \vartheta'_{\text{Wet}})$ are phenomenological parameters to decrease decomposition rates at low and high temperatures, and dry and saturated soils, respectively (Table S4). The decay fraction from fast and structural soil carbon that is not lost through heterotrophic respiration is transported to the slow soil carbon (Supplement S4).

5 Results

5.1 Conservation of energy, water, and carbon dioxide

The ED-2.2 simulations show ~~an excellent conservation~~ a high degree of conservation of the total energy, water, and carbon (Fig. 6). In the example simulation for one patch at ~~GYF~~Paracou, French Guiana (GYF), a tropical forest site, the accumulated deviation from perfect closure (residual) of the energy budget over 50 years (2,629,800 time steps) was 0.1% of the total enthalpy storage — sum of enthalpy stored at the canopy air space, cohorts, temporary surface water and soil layers (Fig. 6a) ~~which is ten times less than the tolerance error accepted in the solver of the ordinary differential equations (ODEs) that describe the energy budget in ED2,~~ and 0.002% of the accumulated losses through eddy flux, the largest cumulative flux of enthalpy. Results for the water budget were even better, with maximum accumulated residuals of 0.04% of the total water stored in the ED-2.2 thermodynamic systems, or 0.0006% of the total water input by precipitation (Fig. 6b), and the accumulated residual of carbon was 0.008% of the total carbon storage or 0.017% the total accumulated loss through eddy flux. The average absolute residual errors by time step, relative to the total storage, ranged from $3.6 \cdot 10^{-11}$ (carbon) to $3.8 \cdot 10^{-10}$ (energy), and thus orders of magnitude less than the truncation error of single-precision numbers ($1.2 \cdot 10^{-7}$) and the model tolerance for each time step ($1.2 \cdot 10^{-5}$).

The conservation of energy and water of ED-2.2 also represents a substantial improvement from previous versions of the model. We carried out additional decadal-long simulations with ED-2.2 and two former versions of the model (ED-2.0.12 and ED-2.1) and the most similar configuration possible among versions, and found that cumulative residual of enthalpy relative to eddy flux loss decreased from 15.2% (ED-2.0.12) or 5.7% (ED-2.1) to $6.1 \cdot 10^{-5}\%$ (ED-2.2) (Fig. S3a-c). Similarly, the cumulative violation of perfect water budget closure, relative to total precipitation input, decreased from 3.4% (ED-2.0.12) or 1.1% (ED-2.1) to $1.2 \cdot 10^{-4}\%$ (ED-2.2) (Fig. S3d-f).

5.2 Simulated ecosystem heterogeneity

Because ED-2.2 accounts for the vertical distribution of the plant community and the local heterogeneity of ecosystems, it is possible to describe the structural variability of ecosystems using continuous metrics. To illustrate this, we show the results of a 5-century simulation (1500 – 2002) carried out for tropical South America, starting from near-bare ground conditions and driven by the Princeton Global Meteorological Forcing (Sheffield et al., 2006, ; 1969 – 2008), and with active fires (Supplement S3.4). For the last 100 years, we also prescribed land use changes derived from Hurtt et al. (2006) and Soares-Filho et al. (2006). The distribution of basal area binned by diameter at breast height (DBH) classes show high variability across the domain, and even within biome boundaries (Fig. 7). For example, larger trees ($\text{DBH} \geq 50 \text{ cm}$) are nearly absent

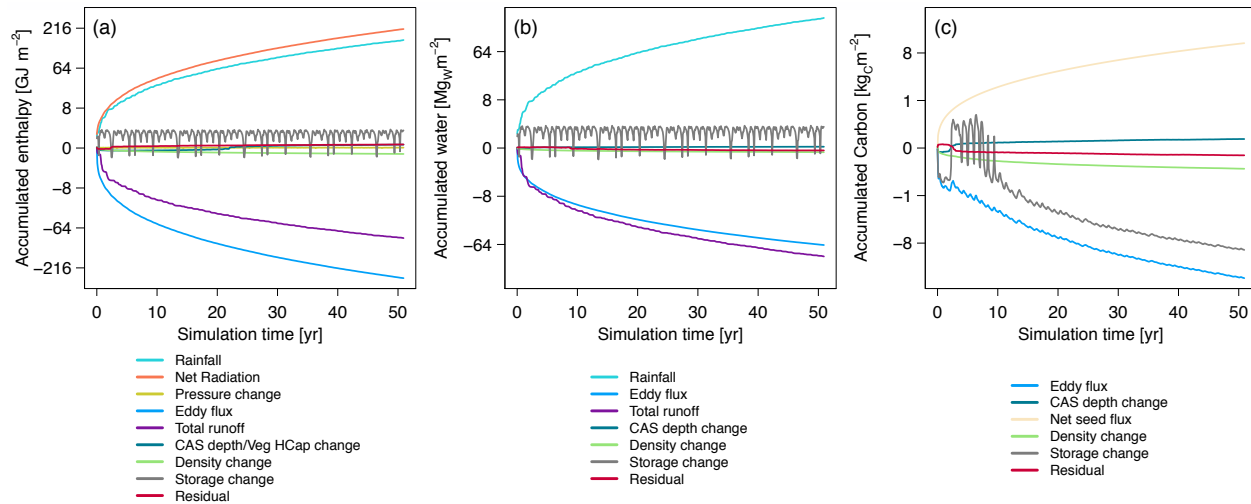


Figure 6. Example of (a) enthalpy, (b) water, and (c) carbon conservation assessment in ED-2.2, for a single-patch simulation at GYF for 50 years. Terms are presented as the cumulative contribution to the change storage. Total storage is the combination of canopy air space, cohorts, temporary surface water and soil layers in the case enthalpy and water, and canopy air space, cohorts, seed bank, and soil carbon pools in the case of carbon. Positive (negative) values mean accumulation (loss) by the combined storage pool over the time. Pressure change accounts for changes in enthalpy when pressure from the meteorological forcing is updated, and density change accounts for changes in mass to ensure the ideal gas law. Canopy air space (CAS) change and vegetation heat capacity (Veg Hcap) change reflect the addition/subtraction of carbon, water, and enthalpy due to the vegetation dynamics modifying the canopy air space depth and the total heat capacity of the vegetation due to biomass accumulation or loss. Storage change is the net gain or loss of total storage, and residual corresponds to the deviation from the perfect closure. Note that we present the y axis in cube root scale to improve visualization of the smallest terms.

outside the Amazon biome, with the exception of more humid regions such as the Atlantic Forest along the Brazilian coast, western Colombia, and Panama (Fig. 7d,e). In contrast, in seasonally dry areas as the Brazilian cerrado, intermediate-sized trees ($10 \leq \text{DBH} < 50 \text{ cm}$) contribute the most to the basal area (e.g. areas near site BSB, Fig. 7b,c). Even within the Amazon ecoregion, basal area shows variability in the contribution of trees with different sizes, including the areas outside the arc of deforestation along the southern and eastern edges of the biome (Fig. 7). Similarly, the abundance of different plant functional groups shows great variability across the region, with dominance of grasses and early-successional tropical trees in deforested regions and in drier areas in the Brazilian Cerrado, whereas late-successional tropical trees dominating the tropical forests, albeit with lower dominance in parts of Central Amazonia (Fig. S4).

The variability of forest structural and functional composition observed in regional simulations emerge from both the competition among cohorts in the local microenvironment and the environmental controls on the disturbance regime. In Fig. 8 we present the impact of different disturbance regimes modulating the predicted ecosystem structure and composition for two sites: Paracou (GYF), a tropical forest region in French Guiana, and Brasília (BSB), a woody savanna site in Central Brazil. Both sites were simulated for 500 years using a 40-year meteorological forcing developed from local meteorological observations, following the methodology described in Longo et al. (2018); we allowed fires to occur but for simplicity we did not prescribe land use change. After 500 years of simulation, the structure at the two sites are completely different, with

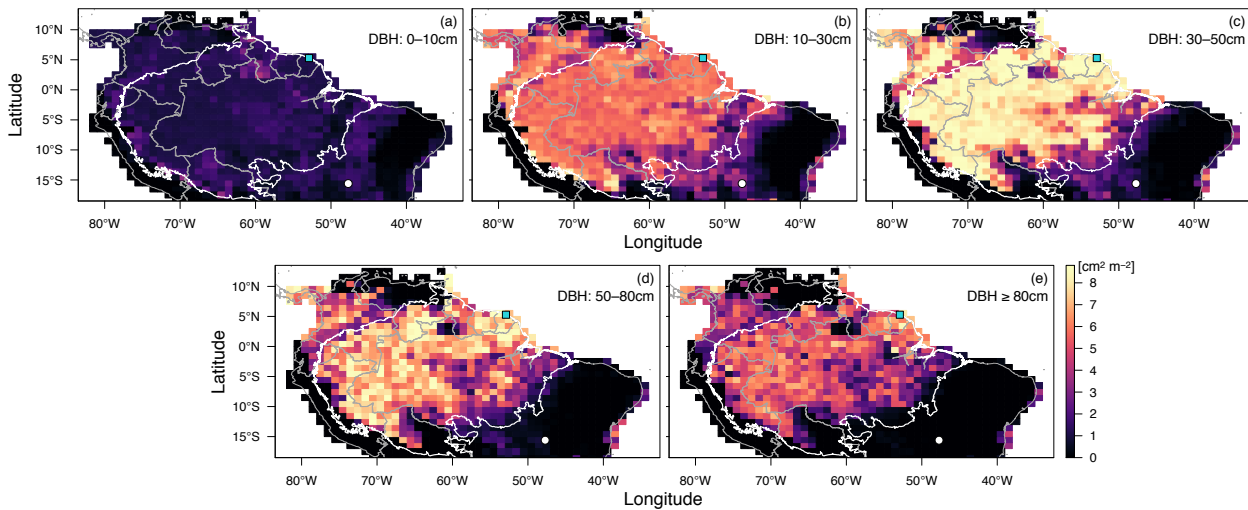


Figure 7. Simulated distribution of size-dependent basal area across tropical South America, aggregated for the following diameter at breast height (DBH) bins: (a) 0 – 10 cm; (b) 10 – 30 cm; (c) 30 – 50 cm; (d) 50 – 80 cm; (e) ≥ 80 cm. Maps were obtained from the final state of a 500-year simulation (1500–2000), initialized with near-bare ground conditions, active fires, and with prescribed land use changes between 1900 and 2000. Points indicate the location of the example sites (Fig. 8): (blue triangle) Paracou (GYF), a tropical forest site; (red circle) Brasília (BSB), a woody savanna site. White contour is the domain of the Amazon biome, and grey contours are the political borders.

large, late-successional trees dominating the canopy at GYF (Fig. 8a) and open areas with shorter, mostly early-successional trees dominating the landscape at BSB (Fig. 8b). For GYF, the structural and functional composition is achieved only after 200 years of simulation, whereas in BSB a dynamic steady state caused by the strong fire regime is achieved in about 100 years (Fig. S5). At both sites, early successional trees dominate the canopy at recently disturbed areas (Fig. 8c,d) with late-successional (GYF) or mid-successional trees (BSB) increasing in size only at the older patches (> 30 years, Fig. 8c,d), and the variation of basal area as a function of age since last disturbance show great similarity at both sites (Fig. 8e). However, the disturbance regimes are markedly different: at GYF, fires never occurred and disturbance was driven exclusively by tree fall (prescribed at $1.11\%, \text{yr}^{-1}$), whereas fires substantially increase the disturbance rates at BSB (average fire return interval of 19.3 years). Consequently, old-growth patches (older than 100 years) are ~~inexistent~~ nonexistent at BSB and abundant at GYF (Fig. S5f). In addition, the high disturbance regime at BSB meant that large trees and late-successional trees (slow growers) failed to establish, but succeeded and maintained a stable population at GYF (Fig. S5).

The impacts of simulating structurally and functionally diverse ecosystems are also observed in the fluxes of energy, water, carbon, and momentum. For example, in Fig. 9 we ~~present~~ show the monthly average fluxes from the last 40 years of simulation at GYF, along with the interannual variability of the fluxes aggregated to the ~~stand-level~~ polygon-level (hereafter ~~stand~~ polygon variability, error bars) and the interannual variability of the fluxes accounting for the patch probability (hereafter hereafter patch variability, colors in the background). ~~In all cases,~~ The polygon-level variability can be thought as the variability attributable exclusively to climate variability, whereas the patch variability also incorporates the impact of the structural heterogeneity in the variability. Most highly aggregated (“big-leaf”) models characterize the polygon-level

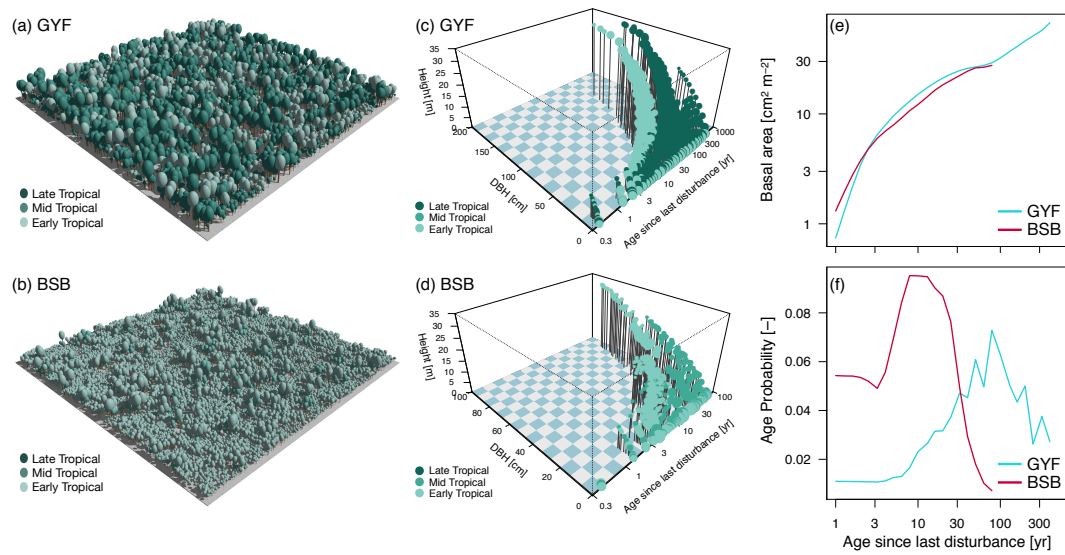


Figure 8. Example of size, age, and functional structure simulated by ED-2.2, after 500 years of simulation using local meteorological forcing and active fires. (a-b) Individual realization of simulated stands for sites (a) Paracou (GYF, tropical forest); (b) Brasília (BSB, woody savanna), using POV-Ray. The number of individuals shown is proportional to the simulated stem density, the distribution in local communities is proportional to the patch area, the crown size and stem height are proportional to the cohort size, and the crown color indicates the functional group. (c-d) Distribution of cohorts as a function of size (diameter at breast height (DBH) and height), as function of age since last disturbance (patch age) for sites (c) GYF and (d) BSB. Crown sizes are proportional to the logarithm of the stem density within each patch. (e,f) Patch-specific properties as a function of age since last disturbance (patch age) for sites GYF and BSB after 500 years of simulation: (e) basal area and (f) probability density function of age (patch area). See Fig. 7 for the location of both example sites.

variability, but not the patch variability. However, in all cases, the patch variability far exceeded the `standpolygon` variability, indicating that structural variability is as important as the interannual variability in complex ecosystems. In the case of sensible heat, `standpolygon` variable was between 39 and 64% of the patch variability (Fig. 9a). The `standpolygon`-to-patch variability ratio was similar for both friction velocity (19 – 39%) and water fluxes (17 – 44%) (Fig. 9b,c). In the case of gross primary productivity, the relevance of patch variability was even higher, with `standpolygon`-to-patch variability ratio ranging from 3.7% during the dry season to 17% during the wet season (Fig. 9d). Importantly, the broader range of fluxes across patches in the site can be entirely attributed to structural and functional diversity, because all patches were driven by the same meteorological forcing.

6 Discussion

6.1 Conservation of biophysical and biogeochemical properties

As demonstrated in Section 5.1, it is possible to represent the long-term, large-scale dynamics of heterogeneous and functionally diverse plant canopy while still accurately conserving the fluxes of carbon, water and energy fluxes that occur the ecosystem. ED-2.2 exhibits excellent conservation of energy, water, and carbon dioxide even in multi-decadal scales. After

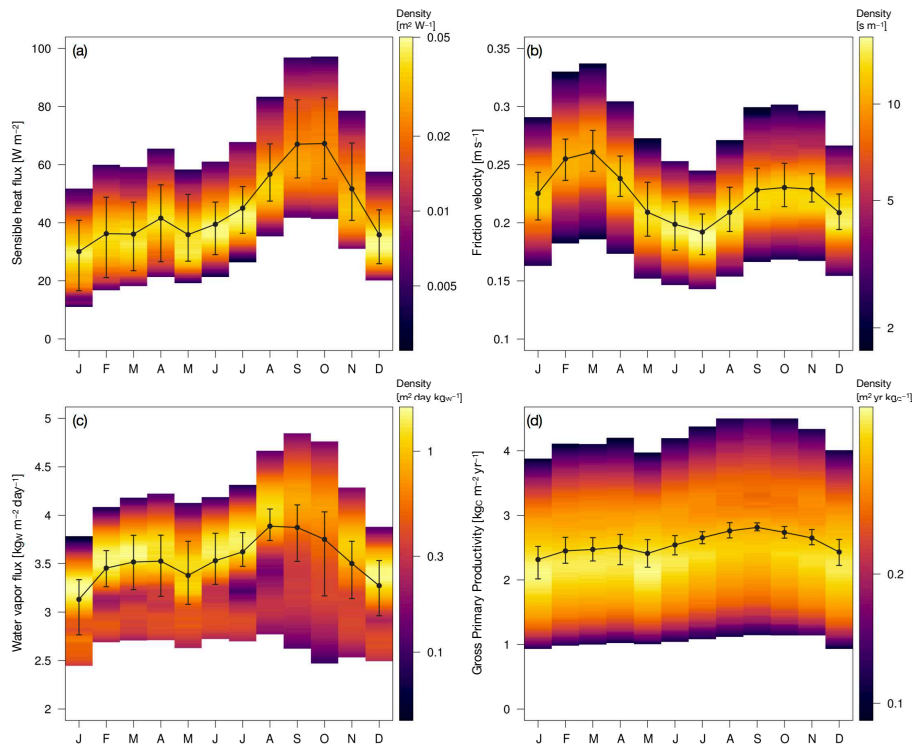


Figure 9. Monthly averages and variability of fluxes attributable to meteorological conditions and plant community heterogeneity combined with interannual variability. Results are shown for GYF, a tropical forest site for (a) sensible heat flux; (b) friction velocity (momentum flux); (c) water vapor flux; and (d) gross primary productivity. The variability was calculated for the last 40 years of a 500-year simulation starting from near-bare ground. Points correspond to the 40-year monthly averages for the entire [standpolygon](#), line bars correspond to the 2.5 – 97.5% quantile of monthly averages aggregated at the [standpolygon](#) level ([standpolygon](#) interannual variability), and background colors represent the 40-year probability density function of monthly means for each simulated patch, and scaled by the area of each patch (patch interannual variability). Density function colors outside the 2.5 – 97.5% quantile interval are not shown. Note that the density function scale is logarithmic. See Fig. 7 for the location of the example site.

50 years of simulation, the accumulated residuals from perfect closure never exceeded 0.1% of the total energy, water, and carbon stored in the pools resolved by the model (Fig. 6), which is significantly less than the error accepted in each time step (1%).

The model’s excellent conservation of these three key properties is possible because the ordinary differential equations are written directly in terms of the variables that we sought to conserve, thus reducing the effects of non-linearities. A key feature that facilitates the model’s high level of energy conservation is the use of enthalpy as the primary state variables within the model. This contrasts with most terrestrial biosphere models, which use temperature as their energy state variable (e.g. Best et al., 2011; Oleson et al., 2013). By using enthalpy, the model can seamlessly incorporate energy storage changes caused by rapid changes in water content and consequently heat capacity. It also reduces errors near phase changes (freezing or melting), when changes in energy may not correspond to changes in temperature. Nonetheless, the residual errors in ED-2.2 are larger than the error of each time steps after integrating the model over multiple decades (Fig. 6), which suggests

that the errors may have a systematic component that deserves further investigation. The main contribution to the remaining residual errors in carbon, water, and energy fluxes comes from the linearization of the prognostic equations due to changes in ~~density at the canopy air space~~ density in the canopy air space (Eq. 18-19;23). The magnitude of these residuals would likely be further reduced by using the bulk enthalpy, water content, and carbon dioxide content in the canopy air space as the state variables instead of the specific enthalpy, specific humidity and CO₂ mixing ratio.

Unlike most existing terrestrial biosphere models (but see SiB2, e.g. Baker et al., 2003; Vidale and Stöckli, 2005), in ED-2.2 we explicitly include the dynamic storage of energy, water, and carbon dioxide in the canopy air space. Canopy air space storage is particularly important in tall, dense tropical forests; accounting for this storage term, as well as the energy storage of vegetation allows a more realistic representation of the fluxes between the ecosystem and the air above (see also Haverd et al., 2007). In addition, the separation of the ecosystem fluxes in the model into eddy fluxes and change in canopy air space storage allows a thorough evaluation of the model's ability to represent both the total exchange and the ventilation of water, energy and carbon in and out of the ecosystem with eddy covariance towers, as shown in the companion paper (Longo et al., 2019).

6.2 Heterogeneity of ecosystems

It has been long advocated that terrestrial biosphere models must incorporate demographic processes and ecosystem heterogeneity to improve their predictive ability in a changing world (Moorcroft, 2006; Purves and Pacala, 2008; Evans, 2012; Fisher et al., 2018). In ED-2.2, we aggregate individuals and forest communities according to similar characteristics (Fig. 1). For example, individuals are only aggregated into cohorts if they are of similar size, same functional group, and live in comparable micro-environments. Likewise, local plant communities are aggregated only if their disturbance history and their vertical structure are similar. The level of aggregation of ED-2.2 still allows mechanistic representation of ecological processes such as how individuals' ~~access and competition for~~ access to and competition for resources vary depending on their size, adaptation, and presence of other individuals. This approach allows representing a broad range of structure and composition of ecosystems (Fig. 7,S4), as opposed to simplified biome classification. In this manuscript, we presented the functional diversity using only the default tropical plant functional types (PFTs), which describe the functional diversity along a single functional trait axis of broadleaf tropical trees. However, the ED-2.2 framework allows users to easily modify the traits and trade-offs of existing PFTs, or include new functional groups; previous studies using ED-2.2 have leveraged this feature of the code to define PFTs according to the research question both in the tropics (e.g. Xu et al., 2016; Trugman et al., 2018; Feng et al., 2018) and in the extra-tropics (e.g. Raczka et al., 2018; Bogan et al., 2019).

Previous analysis by Levine et al. (2016) has shown that the dynamic, fine-scale heterogeneity and functional diversity of the plant canopy in ED-2.2 is essential for capturing macro-scale patterns in tropical forest properties. Specifically, Levine et al. (2016) found that ED-2.1 was able to characterize the smoother observed transition in tropical forest biomass across a dry-season length gradient in the Amazon, whereas a highly aggregated (big-leaf like) version of ED-2.1 predicted abrupt shifts in biomass, which is commonly observed in many dynamic global vegetation models (e.g. Good et al., 2011). Results from two related studies have shown that the incorporation of sub-grid scale heterogeneity and diversity within ED-2

also improves its ability to correctly capture the responses of terrestrial ecosystems to environmental perturbation. First, in an assessment of the ability of four terrestrial biosphere models to capture the impact of rainfall changes on biomass in Amazon forests (Powell et al., 2013), ED-2.1 was the only model that captured the timing and average magnitude of above-ground biomass loss that was observed in two experimental drought treatments while all three big-leaf model formulations predicted minimal impacts of the drought experiment. Second, a recent analysis by Longo et al. (2018) on the impact of recurrent droughts in the Amazon found that drought-induced carbon losses in ED-2.2 arose mostly from the death of canopy trees, a characteristic that is consistent with field and remote sensing observations of drought impacts in the region (Phillips et al., 2010; Yang et al., 2018).

Importantly, since its inception, the ED model accounts for the disturbance-driven horizontal heterogeneity of ecosystems (Moorcroft et al., 2001). As demonstrated in Moorcroft et al. (2001), the continuous development of treefall gaps is ~~fundamental explaining~~ fundamental to explaining the long-term trajectory of biomass accumulation in tropical forests; for example, by representing both recently disturbed and old-growth fragments of forests, it is possible to simulate micro-environments where either shade-intolerant plants thrive or slow-growing, shade-tolerant individuals dominate the canopy (Fig. 8a,c). Moreover, ED-2.2 can also represent dynamic and diverse disturbance regimes, which ultimately mediate the regional variation of ecosystem properties. For example, tropical forests and woody savannas may share similarities in local communities with similar age since disturbance (Fig. 8e); however, because fire disturbances frequently affect large areas in the savannas, fragments of old-growth vegetation are nearly absent in these regions (Fig. 8f), which creates an environment dominated mostly by smaller trees (Fig. S5c).

Furthermore, the heterogeneity of ecosystems in ED-2.2 is integrated across all time scales, because we solve the biophysical and biogeochemical cycles for each cohort and each patch separately (Fig. 2-3). While solving the cycles at sub-grid scale adds complexity, it also improves the characterization of heterogeneity of available water and energy for plants of different sizes, even within the same ~~stand~~ polygon: for example, the light profile and soil water availability are not only determined by meteorological conditions, but also by the number of individuals, their height and their rooting depth, and their traits and trade-offs that determine their ability to extract soil moisture or assimilate carbon. As a result, the variability in ecosystem functioning represented by ED-2.2 is significantly increased relative to the variability that a highly aggregated model based on the average ecosystem structure would be able to capture (Fig. 9).

6.3 Current and Future Developments

In this manuscript, we focused on describing the biophysical and biogeochemical core of the ED-2.2 model, and appraising its ability to represent both short-term (intra-annual and interannual) and long-term (decades to century) processes. However, the ED-2.2 community is continuously developing and improving the model. In this section we summarize some of the recent and ongoing model developments being built on top of the ED-2.2 dynamic core.

Terrestrial biosphere models still show significant uncertainties in representing photosynthesis due to missing processes and inconsistencies in parameter estimations (Rogers et al., 2017). We are currently implementing the carboxylation limitation by the maximum electron transport rate and by the triose phosphate utilization (von Caemmerer, 2000; Lombar-

dozzi et al., 2018), and constrained by observations (Norby et al., 2017), and incorporating nitrogen and phosphorus limitation. In addition, the model has also been recently updated to mechanistically represent plant hydraulics, and first results indicate a significant improvement of the the model's prediction of water use efficiency and water stress in tropical forests in Central America (Xu et al., 2016). Also, to better represent the dynamics of soil carbon in ED-2.2, we are implementing and
5 optimizing a more detailed version of the CENTURY model (Bolker et al., 1998).

To improve the representation of surface and soil water dynamics, the model has been coupled with a hydrological routine model that accounts for lateral flux of water as a function of terrain characteristics and simulates river discharge (Pereira et al., 2017; Arias et al., 2018). Moreover, an integrated approach of hydraulic routing based on TOPMODEL (Walko et al., 2000; Beven and Freer, 2001), which allows exchange of water and internal energy exchange between different
10 sites as a function of topographic characteristics, is being implemented in ED-2.2.

The ED-2.2 model framework is designed to simulate functionally diverse ecosystems but trait values within each functional group are fixed. To account for the observed plasticity in many leaf traits, a new parameterization of leaf trait variation as function of the light level, based on the parameterization by Lloyd et al. (2010) and (Xu et al., 2017) is being implemented. In addition, the ED-2.2 model has also been recently updated to represent the light competition and parasite-
15 host relationships between lianas and trees (di Porcia e Brugnara et al., 2019), and it is currently being extended to incorporate plant functional types from different biogeographic regions, such as temperate semi-arid shrublands (Pandit et al., 2018), as well as boreal ecosystems, building on previous works using ED-1 (Ise et al., 2008).

Anthropogenic ~~forest degradation is a pervasive~~forest degradation is pervasive throughout the tropics (Lewis et al., 2015). To improve the model's ability to represent damage and recovery from degradation, we are implementing a selective
20 logging module that represents the direct impact of felling of marketable individuals, and accounts the damage associated with skid trails, roads and decks, which are modulated by logging intensity and logging techniques (Pereira Jr. et al., 2002; Feldpausch et al., 2005). In addition, the original fire model has been recently improved to account for size- and bark-thickness-dependent survivorship (Trugman et al., 2018), and is being developed to account for natural and anthropogenic drivers of ignition, fire intensity, fire spread and fire duration (Thonicke et al., 2010; Le Page et al., 2015).

The complexity and sophistication of ED-2.2 also creates important scientific challenges. For example, the multiple
25 processes for functionally diverse ecosystems represented by the model also requires a large number of parameters, with some of them being highly uncertain given the scarcity of data. To explore the effect of parameter uncertainty on model results and leverage the growing number of observations, the ED-2.2 model has been fully integrated with the Predictive Ecosystem Analyzer (LeBauer et al., 2013; Dietze et al., 2014), a hierarchical-Bayesian-based framework that constrains
30 model parameters based on available data and quantifies the uncertainties on model predictions due to parameter uncertainty.

Importantly, the need to incorporate terrestrial ecosystem heterogeneity in Earth System Models has been long advocated (e.g. Moorcroft, 2006; Purves et al., 2008; Evans, 2012), but only recently global models have been incorporating ecological mechanisms that allow representing functionally diverse and heterogeneous biomes at global scale without relying on artificial climate envelopes. One example is the Functionally Assembled Terrestrial Ecosystem Simulation (FATES; Fisher

et al., 2015), which incorporated the patch and cohort structure of ED-2.2 into the Community Land Model (CLM; Oleson et al., 2013) framework.

7 Conclusions

ED-2.2 represents a significant advance in how to integrate a variety of processes ranging across multiple time scales in heterogeneous landscapes: it retains all the detailed representation of the long-term dynamics of functionally diverse, spatially heterogeneous landscapes and long-term dynamics from the original ED ecosystem model (Moorcroft et al., 2001; Hurtt et al., 2002; Albani et al., 2006), but also solves for the associated energy, water, and CO₂ fluxes of plants living in horizontally and vertically stratified micro-environments within the plant canopy, which was initially implemented by Medvigy et al. (2009) (ED-2) by adapting the big-leaf land surface model LEAF-3 (Walko et al., 2000) to the cohort-based structure of ED-2.

The results presented in the model description demonstrated that ED-2.2 ~~has an excellent conservation~~ **has a high degree of conservation** of carbon, energy, and water, even over multi-decadal scales (Fig. 6). Importantly, the current formulation of the model allows us to represent functional and structural diversity both at local and regional scales (Fig. 7-8; S4-S5), and the effect of the heterogeneity on energy, water, carbon, and momentum fluxes (Fig. 9). In the companion paper, we use data from eddy covariance towers, forest inventory, bottom-up estimates of carbon cycles and remote sensing products to assess the strengths and limitations of the current model implementation (Longo et al., 2019).

This manuscript focused on the milestone updates in the energy, water, and carbon cycle within the ED-2.2 framework, but the model continues to be actively developed. Some of the further developments include implementing more mechanisms that influence photosynthesis and water cycle such as plant hydraulics, nutrient cycling, expanding the plant functional diversity including trait plasticity and lianas, as well as expanding the types of natural and anthropogenic disturbances. ED-2.2 is a collaborative, open-source model that is readily available from its repository, and the scientific community is encouraged to use the model and contribute with new model developments.

Code availability. The ED-2.2 software and further developments are publicly available. The most up-to-date source code, post-processing R scripts, and an open discussion forum are available on <https://github.com/EDmodel/ED2>. The code described in this manuscript, along with a wiki-based technical manual, is stored as a permanent release at <https://github.com/mpaiao/ED2/releases/tag/rev-86> and permanently stored at <https://dx.doi.org/10.5281/zenodo.3365659>.

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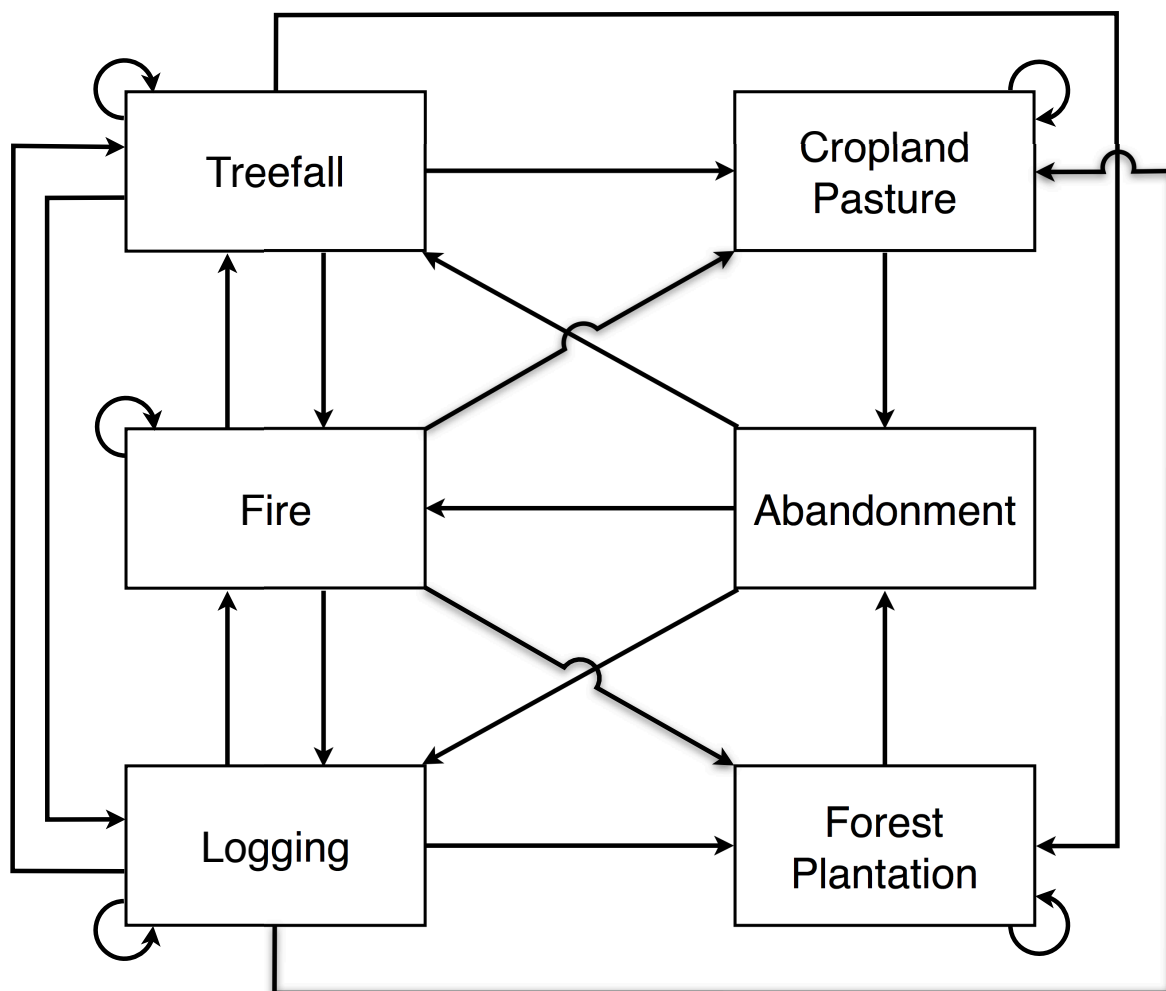


Figure S1: Schematics of disturbance types that generate new patches in ED-2.2. Patches are classified according to the last disturbance type (boxes), and new disturbances that create new patches are indicated by arrows (the arrow head points to the new disturbance type). The absence of arrows between some disturbance patches (e.g. from cropland to tree fall) indicate that such transition is not allowed. Arrows pointing to the same disturbance type indicate generation of new patches without change in the disturbance type.

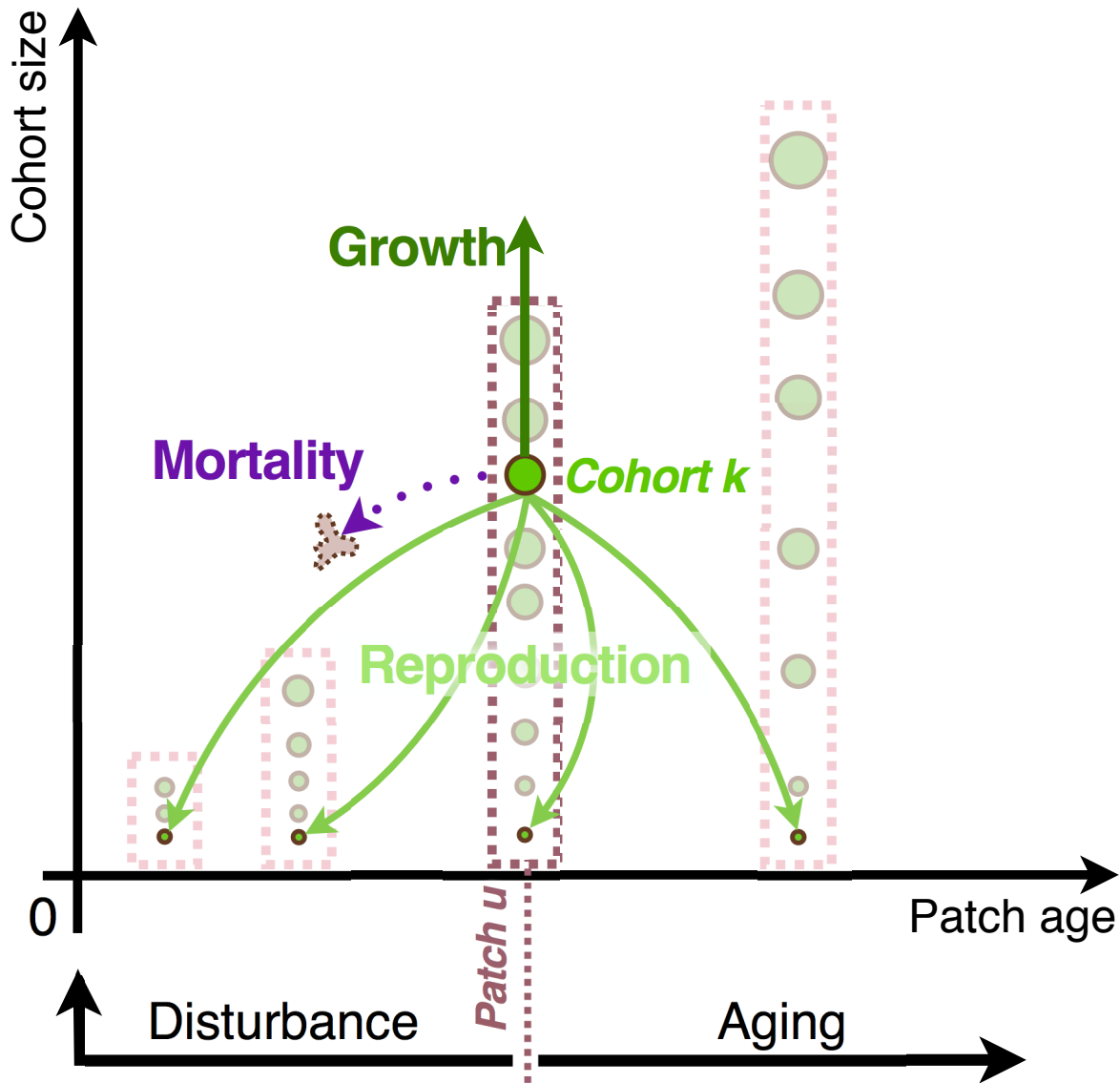


Figure S2: Schematics of ecosystem dynamics in ED-2.2, based on Fig. 5 of Moorcroft et al. (2001). The diagram shows a simplified case in which only one plant functional type and one disturbance type exist. Each dashed box corresponds to one patch, and each circle corresponds to one cohort. Changes in the ecosystem structure are represented by arrows: grey arrows are associated with cohort dynamics, and black arrows are associated with patch dynamics. Every cohort time step, cohorts can grow in size, some of the cohort population is lost through mortality, and new cohorts are generated from reproduction. Every patch time step, patch age is increased linearly due to age, and a fraction of each patch is lost through disturbance, which resets patch age.

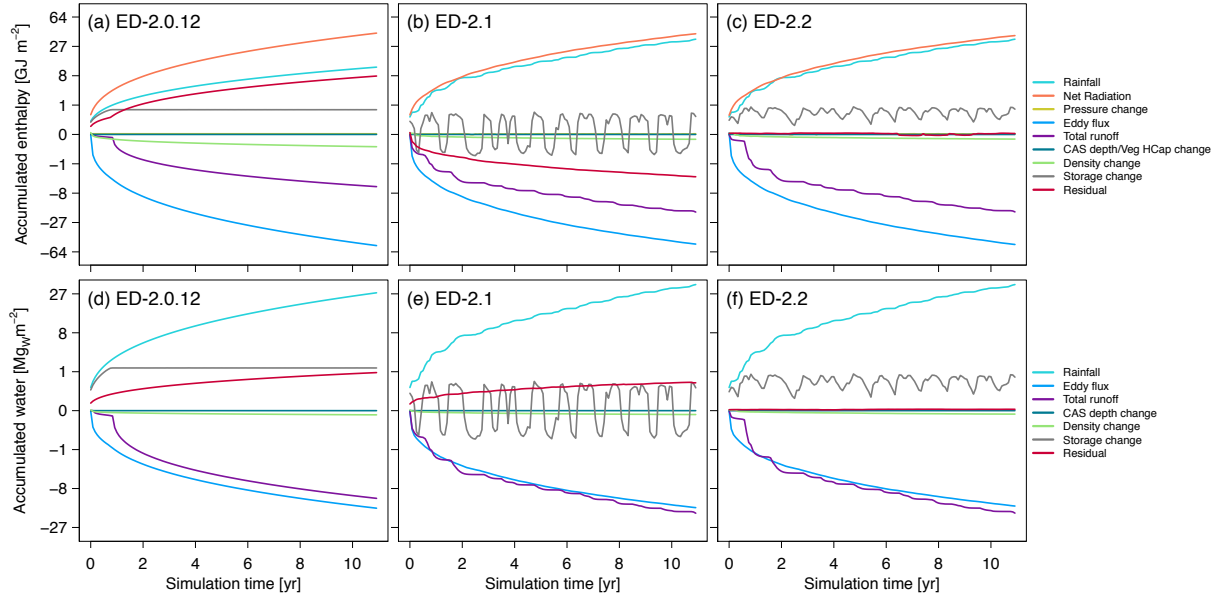


Figure S3: Comparison of budget closure for (a-c) enthalpy and (d-f) water between three different ED-2 versions: (a,d) ED-2.0.12 (<https://github.com/EDmodel/ED2/releases/tag/rev-12>), the first stable version of ED-2.0 (Medvigy et al., 2009) using the current model code structure; (b,e) ED-2.1 (<https://github.com/EDmodel/ED2/releases/tag/rev-64>); (c,f) ED-2.2. Simulations were carried out for a single-patch simulation at GYF for 11 years, without vegetation dynamics (earlier releases did not account for changes in energy and water when vegetation dynamics was active). Terms are presented as the cumulative contribution to the change storage. Total storage is the combination of canopy air space, cohorts, temporary surface water and soil layers. Positive (negative) values mean accumulation (loss) by the combined storage pool over the time. Pressure change accounts for changes in enthalpy when pressure from the meteorological forcing is updated, and density change accounts for changes in mass to ensure the ideal gas law. Canopy air space (CAS) change and vegetation heat capacity (Veg Hcap) change reflect the addition/subtraction of carbon, water, and enthalpy due to the vegetation dynamics modifying the canopy air space depth and the total heat capacity of the vegetation due to biomass accumulation or loss. Storage change is the net gain or loss of total storage, and residual corresponds to the deviation from the perfect closure. Note that we present the y axis in cube root scale to improve visualization of the smaller terms. Details on developments of ED-2.0.12, ED-2.1, and ED-2.2 are described in Supplement S1.

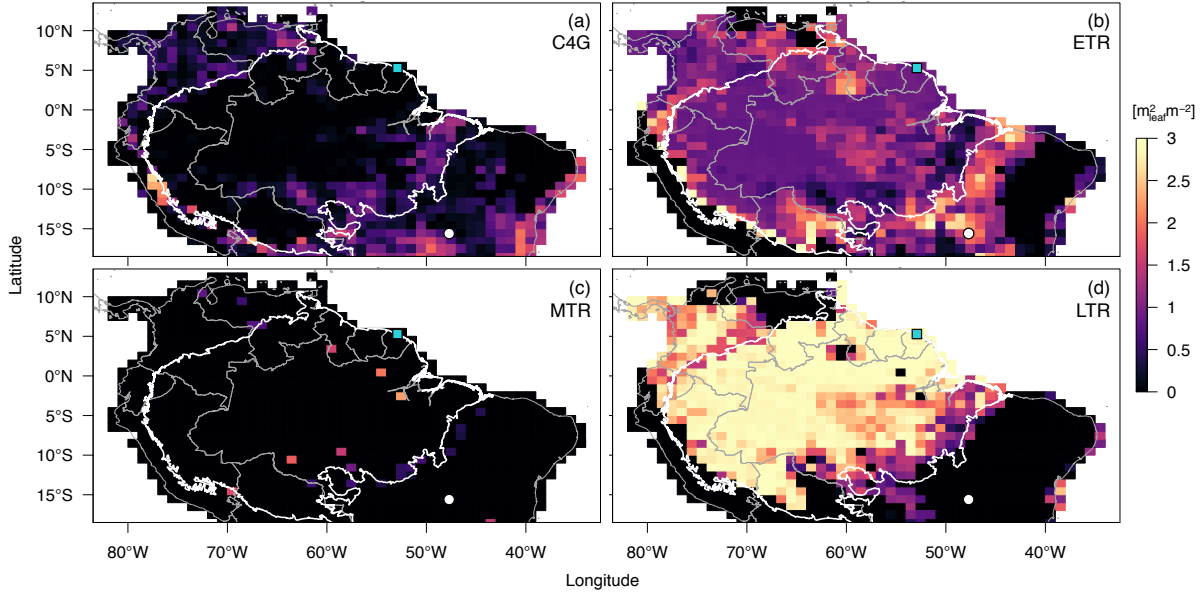


Figure S4: Simulated distribution of PFT-dependent leaf area index across tropical South America: (a) C₄ grasses (C4G); (b) Early-successional, tropical trees (ETR); (c) mid-successional, tropical trees (MTR); (d) late-successional, tropical trees (LTR). Maps were obtained from the final state of a 500-year simulation (1500–2000), initialized with near-bare ground conditions, active fires, and with prescribed land use changes between 1900 and 2000. Points indicate the location of the example sites (Fig. 8): (blue triangle) Paracou (GYF), a tropical forest site; (red circle) Brasília (BSB), a woody savanna site. White contour is the domain of the Amazon biome, and grey contours are the political borders.

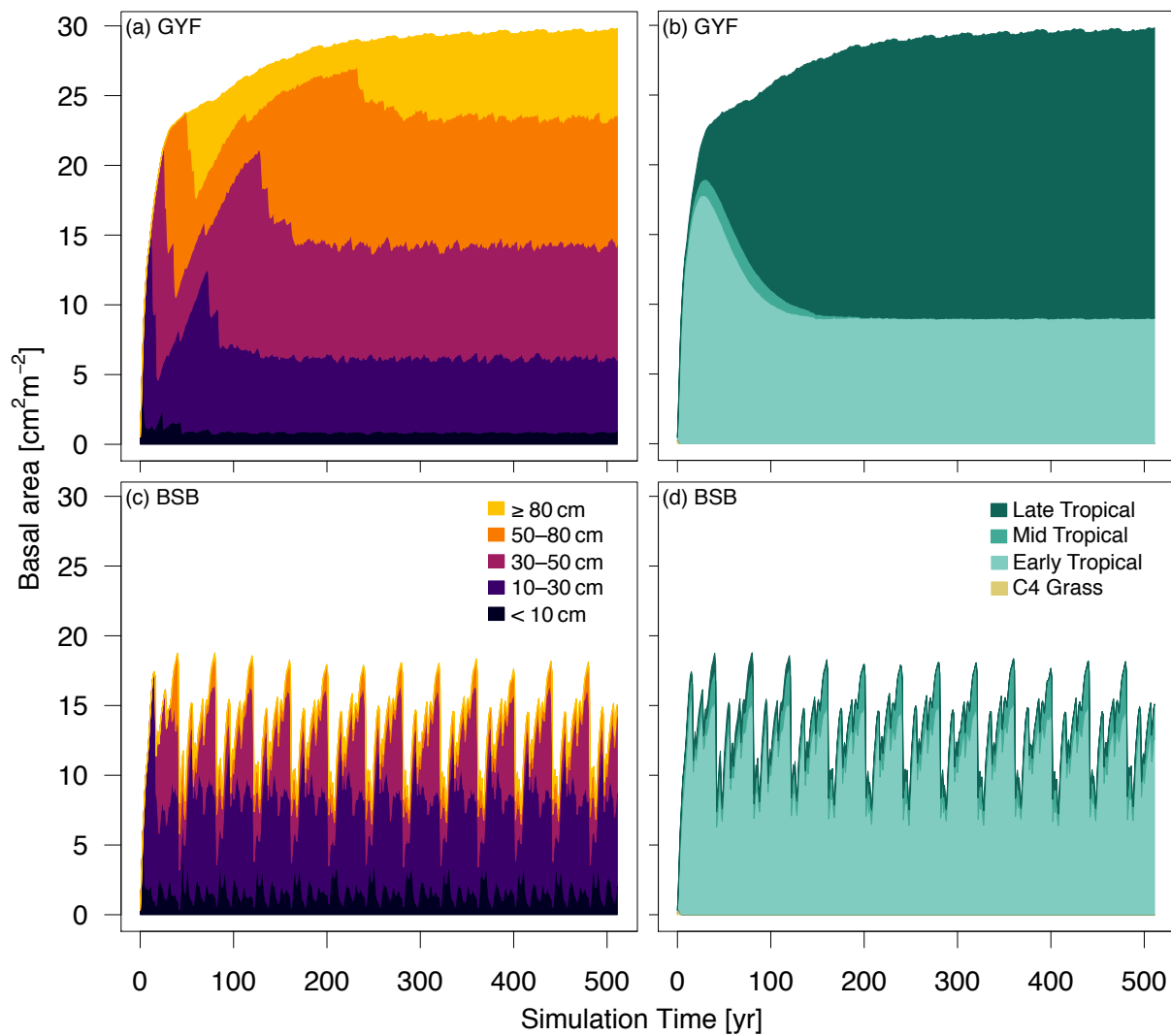


Figure S5: Simulated time series of basal area for near-bare ground simulations for (a,b) Paracou (GYF, tropical forest) and (c,d) Brasília (BSB, woody savanna), using local meteorological forcing and active fires, colored by the relative contribution of (a,c) plants of different sizes and (b,d) plants of different functional groups. See Fig. S4 for the location of both example sites.

Soil classes – ED.2.2

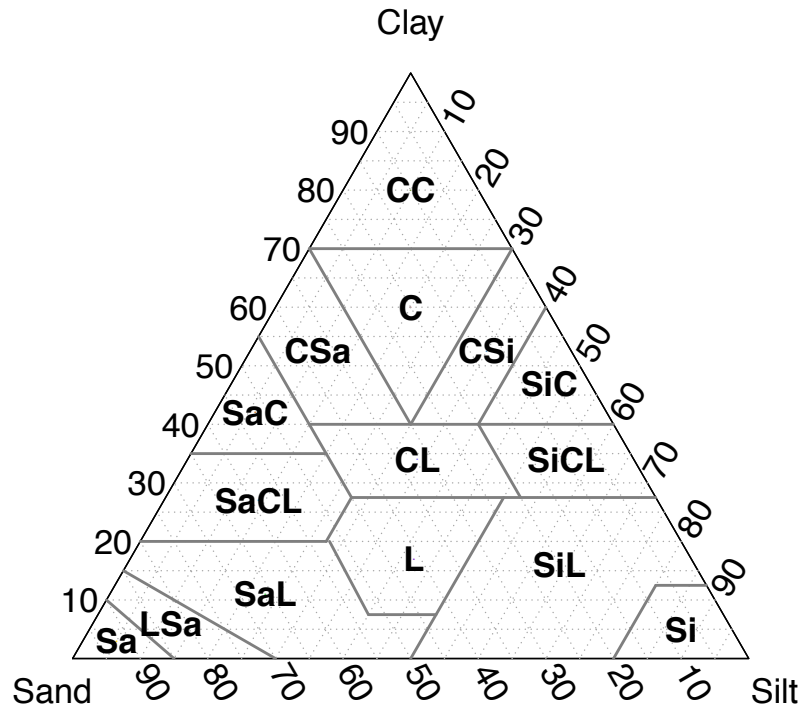


Figure S6: Barycentric diagram of volumetric percentage of soil particle sizes (sand, silt, and clay) along with the canonical soil texture classes in ED-2.2. Classes are: Sa – sand, LSa – loamy sand, SaL – sandy loam, SiL – silty loam, L – loam, SaCL – sandy clay loam, SiCL – silty clay loam, CL – clayey loam, SaC – sandy clay, SiC – silty clay, C – clay, Si – silt, CC – heavy clay, CSa – clayey sand, and CSi – clayey silt.

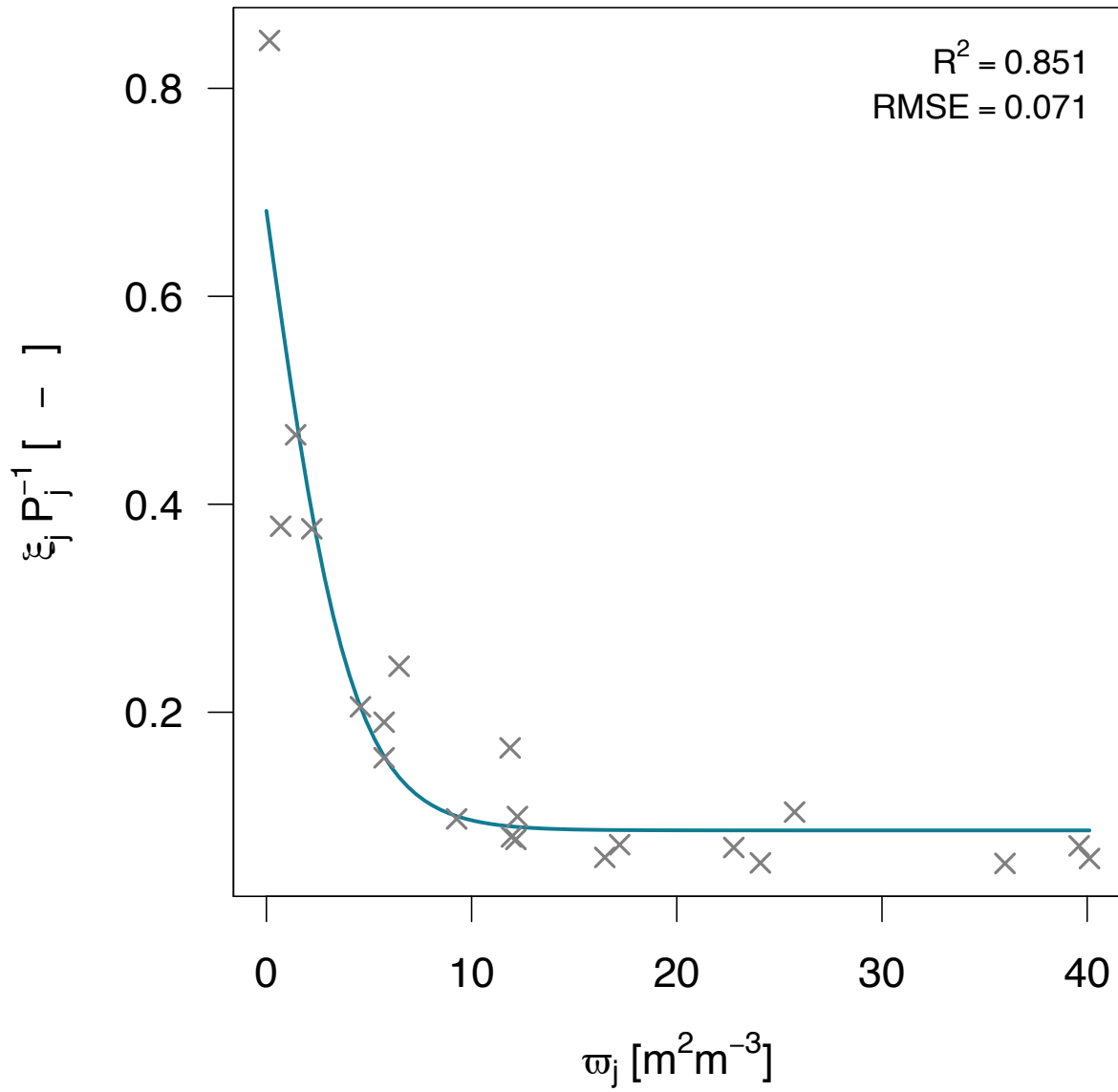


Figure S7: Fitted curve (Eq. S135) relating the effective drag coefficient (ξ_j/\mathcal{P}_j) with plant area density (ϕ_j). Data points for fitting were extracted from Figure 3a of Wohlfahrt and Cernusca (2002) using a digitizer tool. Adjusted R^2 and the root mean square error (RMSE) are shown in the top right.

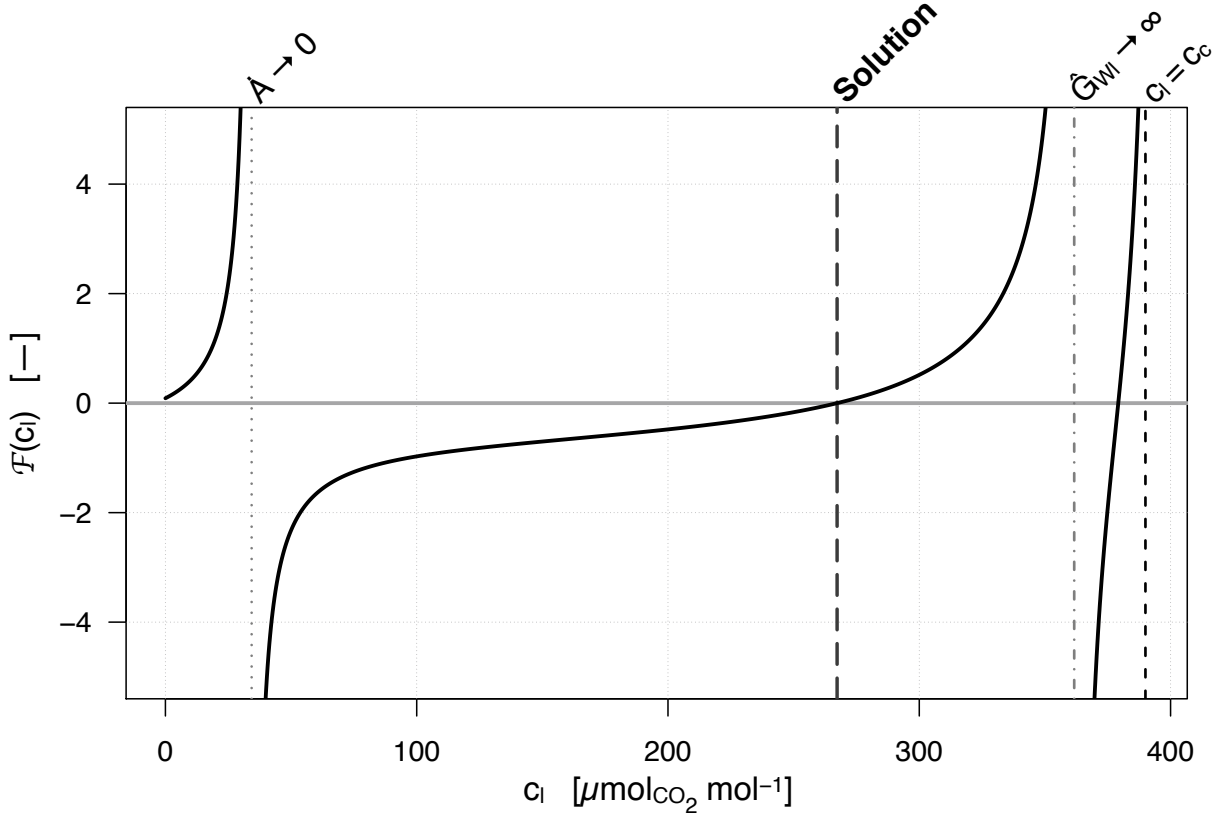


Figure S8: Example for the function $\mathcal{F}(c_{l_k})$ curve for the RuBP-saturated case for a mid-successional, tropical broadleaf tree when $\dot{Q}_{\text{PAR}:a,l_k} = 100 \text{ W m}^{-2}$, $T_{l_k} = T_c = 301.15 \text{ K}$, $w_c = 0.017 \text{ kg}_W \text{ kg}_{\text{Air}}^{-1}$, $u_{l_k} = 0.25 \text{ m s}^{-1}$, and $c_c = 390 \mu\text{mol}_C \text{ mol}_{\text{Air}}^{-1}$. Vertical lines shows the solution and the singularities within the plausible range.

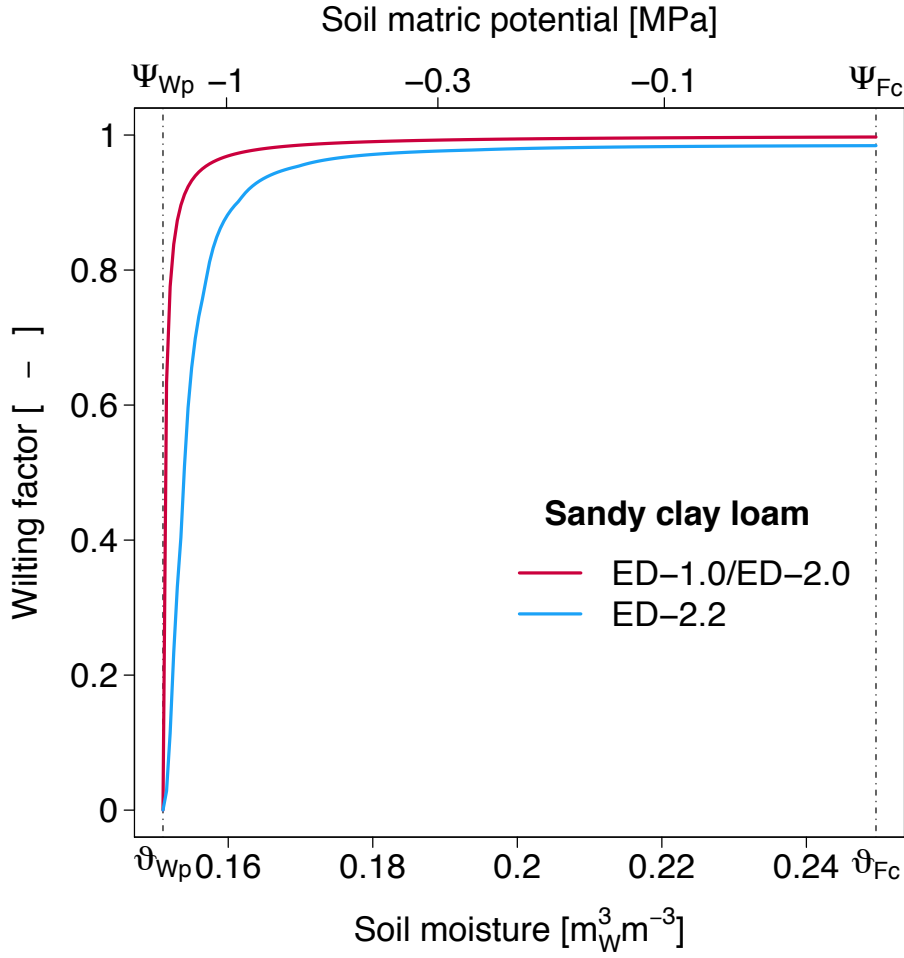


Figure S9: Example of the wilting factor (f_{Wl_k} , Eq. S201) response to soil moisture change for the original implementation in ED (ED-1.0 and ED-2.0, Moorcroft et al., 2001; Medvigy et al., 2009) and the ED-2.2 model approach. Results here are shown for the idealized case with constant soil moisture profile in a 3-m deep, sandy clay loam soil, for a mid-successional tropical cohort with default parameters (Table S5 with diameter at breast height of 30 cm and leaf area index of $1 \text{ m}^2_{\text{Leaf}} \text{ m}^{-2}$, non-limited leaf-level transpiration rate $\dot{E}_k = 9.0 \text{ kg}_W \text{ m}^{-2}_{\text{Leaf}} \text{ day}^{-1}$. Values are shown for soil moisture columns ranging from wilting point ($\vartheta_{Wp}; \Psi_{Wp}$) to field capacity ($\vartheta_{Fc}; \Psi_{Fc}$).

Table S1: List of subscripts used in the manuscript. Fluxes are denoted by a dotted letter, and two subscripts separated with a comma: $\dot{X}_{m,n}$. This means positive (negative) flux going from thermodynamic system m (n) to thermodynamic system n (m). N_T is the total number of cohorts, N_G is the total number of soil (ground) layers, N_S is the total number of temporary surface water/snowpack layers, and N_C is the total number of canopy air space layers, currently only used to obtain properties related to canopy conductance.

Subscript	Description
X_3	Property at the water's triple point ($T_3 = 273.16$ K)
X_a	Air above canopy, from the meteorological forcing
X_{bk}	Branch wood of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_C	Size vector (leaves, fine roots, sapwood, heartwood, and non-structural storage)
X_C	Carbon component
X_c	Canopy air space (single layer)
X_{c_j}	Canopy air space, layer j ($j \in \{1, 2, \dots, N_C\}$)
X_d	Non-water component of thermodynamic system
X_{e_j}	Necromass pools: e_1 , metabolic litter (fast); e_2 , structural debris (intermediate); e_3 , humified/dissolved (slow)
X_f	Plant functional type
X_{Fc}	Soil property at field capacity
X_{Fr}	Soil property at critical moisture for fire ignition
X_{g_j}	Soil (ground), layer j ($j \in \{1, 2, \dots, N_G\}$)
X_{h_k}	Structural (heartwood) of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_i	Ice
$X_{i\ell}$	Ice-liquid phase transition
X_{iv}	Ice-vapor phase transition
X_j	Soil layer j ($j \in \{1, 2, \dots, N_G\}$), for variables that are only defined for soils
X_k	Cohort k ($k \in \{1, 2, \dots, N_T\}$), for variables that are only defined for cohorts
X_ℓ	Liquid water
$X_{\ell v}$	Liquid-vapor phase transition
X_{l_k}	Leaves of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_{Ld}	Soil property at critical moisture for leaf shedding (drought-deciduous phenology)
X_m	Spectral band: $m = 1$, PAR; $m = 2$, NIR; $m = 3$, TIR
X_{n_k}	Non-structural carbon storage (starch, sugars) of cohort k
X_o	Runoff (drainage) Surface runoff
X_p	Property at constant pressure
X_{Po}	Soil property at soil porosity (water saturation)
X_q	Disturbance type
X_{r_k}	Roots of cohort k
X_{Re}	Soil property at residual soil moisture
X_{Sat}	Phase equilibrium (saturation)
X_{s_j}	Temporary surface water/snowpack, layer j ($j \in \{1, 2, \dots, N_S\}$)
X_{t_k}	Cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_U	Property associated with momentum (forced convection)
X_u	Patch u ($u \in \{1, 2, \dots, N_P\}$)
X_v	Water vapor

Table S1: (Continued)

Subscript	Description
X_w	Water component of thermodynamic system (any phase)
X_{wp}	Soil property at permanent wilting point
X_x	West-East direction
$X_{\mathbf{x}}$	Horizontal direction
X_y	South-north direction
X_z	Vertical direction
X_{α_k}	Total living tissues (leaves, fine roots, sapwood) of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_{β_k}	Branch boundary layer of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_{Δ_k}	Carbon balance of cohort k ($k \in \{1, 2, \dots, N_T\}$)Q
X_{Θ}	Property associated with buoyancy (free convection)
X_{κ}	Soil textural component: $\kappa = 0$, water; $\kappa = 1$, sand; $\kappa = 2$, silt; $\kappa = 3$, clay
X_{λ_k}	Leaf boundary layer of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_{ϱ_k}	Reproductive tissues (seeds, fruits, flowers, cones) of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_{σ_k}	Sapwood of cohort k ($k \in \{1, 2, \dots, N_T\}$)
X_{∞}	Fluxes that depend on air above layer a , such as radiation and rainfall
X_{\emptyset}	Bare ground equivalent
X_{*}	Frost
X_{\oplus}	Pure, fresh snow

Table S2: List of variables used in this manuscript. For variables used in various thermodynamic systems, the subscript is omitted (see Table [S1](#) for a comprehensive list of subscripts). Variable dimensions are shown in standard units for reference. Units with subscript are specific to a single substance: kg_W means kilograms of water, and kg_C means kilograms of carbon, and kg_D means kilogram of non-water material.

Variable	Description	Units
A	Site area	—
\dot{A}	Net leaf-level CO ₂ uptake rate	mol _C m _{Leaf} ⁻² s ⁻¹
\mathcal{A}	Mean leaf/branch inclination relative to horizontal plane	rad
a	Patch age since last disturbance	s
α	Aging factor for density-independent mortality (temperate PFTs)	yr
B	Soil carbon decay rates under optimal conditions	s ⁻¹
B_C	Carbon to oven-dry biomass ratio	kg _C kg _{Bio} ⁻¹
B_W	Water to oven-dry biomass ratio	kg _W kg _{Bio} ⁻¹
b	Slope of the logarithm of the water retention curve	—
BA	Basal area	cm ²
C	Carbon mass (area-based, extensive)	kg _C m ⁻²
\mathbf{C}	Size (carbon mass) vector	kg _C plant ⁻¹
\mathcal{C}	Empirical coefficients for determining biomass of individual tissues	—
C^\bullet	Expected carbon mass given size, PFT, and demographic density	kg _C m ⁻²
C^\odot	Carbon mass needed to bring tissue to allometry given size and PFT	kg _C m ⁻²
\dot{C}	Carbon flux	kg _C m ⁻² s ⁻¹
\dot{C}^\star	Carbon flux to necromass pools due to mortality	kg _C m ⁻² s ⁻¹
CHD	Chilling days	day
c	Carbon mixing ratio (intensive)	mol _C mol ⁻¹
D	“Dry material” mass (area-based, extensive)	kg _D m ⁻²
\mathcal{D}	“Dry material” mass (volume-based, extensive)	kg _D m ⁻³
d	Specific mass of “dry material” (intensive)	kg _D kg ⁻¹
DBH	Diameter at breast height	cm
\mathcal{D}	Auxiliary variable for solution of canopy radiation transfer	—
$\tilde{\theta}$	Sub-surface drainage impediment parameter	—
E	Average projection of leaves and branches onto the horizontal	—
\dot{E}	Leaf-level transpiration rate	mol _C m _{Leaf} ⁻² s ⁻¹
\mathcal{E}	Penalty reduction function for extreme temperatures and soil moistures	—
E_{in}	Average photon specific energy in the PAR band	J mol ⁻¹
$e_l \hat{e}_l$	Leaf elongation factor given environmental constraints constraints	—
\mathcal{F}	Dimensionless function of intercellular carbon dioxide	—
f_{AG}	Fraction of woody biomass that is above ground	—
f_{Clump}	Clumping factor	—
f_{GI}	Ratio between stomatal conductance of CO ₂ and water	—
$f_{G\lambda}$	Ratio between leaf boundary layer conductance of CO ₂ and water	—
f_h	Fraction of the decay of soil carbon pools that are respired	—
f_{LD}	Fraction of carbon reabsorption before leaf shedding	—
f_{lw}	Down-regulation factor for photosynthesis due to soil moisture limitation	—
f_R	Ratio between day respiration and maximum carboxylation	—
f_r	Ratio between fine root and leaf biomass on allometry given size and PFT	—

Table S2: (Continued)

Variable	Description	Units
f_{TSW}	Fraction of ground covered by water or snow	—
f_V	Volumetric fraction	—
f_δ	Fraction of reproduction that is randomly dispersed	—
f_σ	Scaling factor between height, sapwood, and leaf biomass on allometry	m^{-1}
G	Conductance (rate form)	m s^{-1}
\hat{G}	Conductance (flux form)	$\text{kg m}^{-2} \text{s}^{-1}$ or $\text{mol m}^{-2} \text{s}^{-1}$
g	Gravity acceleration	m s^{-2}
\mathbf{g}	Net growth rate	$\text{kg}_C \text{ plant}^{-1} \text{s}^{-1}$
GDD	Growing degree-days	K day
Gr	Grashof number	—
\mathcal{H}	Bulk specific enthalpy	J m^{-3}
H	Enthalpy (area-based, extensive)	J m^{-2}
\dot{H}	Enthalpy flux associated with mass flux	W m^{-2}
h	Specific enthalpy (intensive)	J kg^{-1}
\tilde{h}	Specific enthalpy at reference height	J kg^{-1}
\mathcal{I}	Fire intensity parameter	s^{-1}
i	Fraction of water in solid phase (ice)	—
K	Eddy diffusivity	$\text{m}^2 \text{s}^{-1}$
\mathcal{K}_C	Michaelis constant for carboxylation	$\text{mol}_C \text{ mol}^{-1}$
\mathcal{K}_{ME}	Effective Michaelis constant	$\text{mol}_C \text{ mol}^{-1}$
\mathcal{K}_O	Michaelis constant for oxygenation	$\text{mol}_{\text{O}_2} \text{ mol}^{-1}$
k_{PEP}	Slope of CO_2 -limited carboxylation rate	mol mol_C^{-1}
\mathcal{L}	Obukhov length scale	m
l	Specific latent heat	$\text{J kg}^{-1} \text{K}^{-1}$
$l_{i/3}$	Specific latent heat of fusion at triple point temperature	$\text{J kg}^{-1} \text{K}^{-1}$
l_{iv3}	Specific latent heat of sublimation at triple point temperature	$\text{J kg}^{-1} \text{K}^{-1}$
ℓ	Fraction of water in liquid phase	—
\mathcal{L}	Fraction of living tissues that are lignified	—
M	Slope of stomatal conductance function	—
\mathcal{M}	Molar mass	kg mol^{-1}
MCWD	Maximum cumulative water deficit	mm
m	Mortality rate	s^{-1}
n	Cohort demographic density	plant m^{-2}
N_C	Number of canopy air space layers	—
N_F	Number of plant functional types	—
N_G	Number of soil layers	—
N_P	Number of patches	—
N_Q	Number of disturbance types	—
N_S	Actual number of temporary surface water layers	—
N_S^{max}	Maximum number of temporary surface water layers	—
N_T	Number of cohorts	—
$N_{T(\text{canopy})}$	Number of canopy cohorts	—
Nu	Nusselt number	—
\mathcal{O}	Open canopy fraction	—
o	Oxygen mixing ratio	$\text{mol}_{\text{O}_2} \text{ mol}^{-1}$

Table S2: (Continued)

Variable	Description	Units
\mathcal{P}	Sheltering factor for momentum	—
p	Atmospheric pressure	Pa
p_{vi}^{\equiv}	Saturation pressure: vapor-ice	Pa
p_{vl}^{\equiv}	Saturation pressure: vapor-liquid	Pa
Pr	Prandtl number	—
\dot{Q}	Heat flux (no mass exchange involved)	W m^{-2}
\dot{Q}^{\odot}	Downward direct irradiance	W m^{-2}
\dot{Q}^{\downarrow}	Downward hemispheric diffuse irradiance	W m^{-2}
\dot{Q}^{\uparrow}	Upward hemispheric diffuse irradiance	W m^{-2}
\dot{Q}^{\blacklozenge}	Irradiance emitted by black body	W m^{-2}
\mathcal{Q}_{10}	Temperature coefficient for temperature-response function	—
q^{PAR}	Photon flux absorbed by leaves	$\text{W m}_{\text{Leaf}}^{-2}$
q	Specific heat (intensive)	J kg^{-1}
$q^{(\text{OD})}$	Specific heat of oven-dry tissue (intensive)	J kg^{-1}
q_p	Specific heat at constant pressure (intensive)	J kg^{-1}
\dot{R}	Leaf-level dark respiration rate	$\text{mol}_C \text{ m}_{\text{Leaf}}^{-2} \text{ s}^{-1}$
\mathcal{R}	Gas constant for typical air	$\text{J mol}^{-1} \text{ K}^{-1}$
r	Decay rate associated with root respiration	s^{-1}
Re	Reynolds number	—
Ri_B	Bulk Richardson number	—
S	Elements of the flux matrix for solving the canopy radiation transfer model	—
\mathbf{S}	Flux matrix for solving the canopy radiation transfer model	—
S	Above-canopy velocity variance to momentum flux ratio	—
SLA	Specific leaf area	$\text{m}_{\text{Leaf}}^2 \text{ kg}_C^{-1}$
s_g	Soil wetness function for ground evaporation	—
s_l	Soil wetness function for drought-deciduous phenology	—
β	Joint eddy mixing length scale (shear- and wake-driven turbulence)	—
TSW	Temporary surface water	—
T	Temperature	K
T_3	Temperature of water triple point	K
$T_{\ell 0}$	Zero-energy temperature of supercooled liquid water	K
T_{Phen}	Temperature threshold for cold-deciduous leaf phenology	K
T_{v0}	Zero-energy temperature of supercooled water vapor	K
T_v	Virtual temperature	K
\mathcal{T}	Temperature coefficient function (\mathcal{Q}_{10} function)	—
\mathcal{T}'	Penalty reduction function for extreme temperatures	—
\mathfrak{T}_{GS}	Extended growing season (for cold-deciduous leaf phenology)	—
\mathfrak{T}_{SS}	Extended senescing season (for cold-deciduous leaf phenology)	—
t	Time	s
t_{Runoff}	Runoff decay time	s
t_{\odot}	Daytime duration	min
TKE	(Specific) Turbulent kinetic energy	$\text{m}^2 \text{ s}^{-2}$
p	Auxiliary variable for solution of canopy radiation transfer	—
\bar{p}	Number of leaf sides with stomata	—
\dot{U}	Momentum flux	$\text{kg m}^{-1} \text{ s}^{-2}$
u_x	Horizontal wind speed	m s^{-1}

Table S2: (Continued)

Variable	Description	Units
u_z	Vertical wind velocity	m s^{-1}
u^*	Friction velocity	m s^{-1}
\dot{V}_C	Leaf-level carboxylation rates	$\text{mol}_C \text{m}_{\text{Leaf}}^{-2} \text{s}^{-1}$
\dot{V}_C^{RuBP}	RuBP-saturated carboxylation rates	$\text{mol}_C \text{m}_{\text{Leaf}}^{-2} \text{s}^{-1}$
$\dot{V}_C^{\text{CO}_2}$	CO ₂ -limited carboxylation rate	$\text{mol}_C \text{m}_{\text{Leaf}}^{-2} \text{s}^{-1}$
\dot{V}_C^{PAR}	Light-limited carboxylation rate	$\text{mol}_C \text{m}_{\text{Leaf}}^{-2} \text{s}^{-1}$
\dot{V}_O	Leaf-level oxygenation (photorespiration) rate	$\text{mol}_{\text{O}_2} \text{m}_{\text{Leaf}}^{-2} \text{s}^{-1}$
\mathcal{V}	Volume	m^3
v	Fraction of water in gas phase (water vapor)	—
W	Water mass (area-based, extensive)	$\text{kg}_W \text{m}^{-2}$
\dot{W}	Water flux	$\text{kg}_W \text{m}^{-2} \text{s}^{-1}$
\mathcal{W}	Water mass (volume-based, extensive)	$\text{kg}_W \text{m}^{-3}$
w	Specific humidity (intensive)	$\text{kg}_W \text{kg}^{-1}$
w^\equiv	Saturation specific humidity (intensive)	$\text{kg}_W \text{kg}^{-1}$
\hat{w}_{max}	Cohort water holding capacity of rainfall interception, dew and frost	$\text{kg}_W \text{m}_{\text{Leaf+Wood}}^{-2}$
X	Crown area index	$\text{m}_{\text{Crown}}^2 \text{m}^{-2}$
x^*	Characteristic dimension for boundary-layer generating obstacle	m
Y	Auxiliary functions, used only in the sections where they are described	—
\mathcal{Y}	Boolean variable controlling fire ignition	—
y	Auxiliary constants, used only in the sections where they are described	—
Z	Zenith distance	rad
\mathcal{Z}	Empirical coefficients to determine height	—
z	Height ($z > 0$) or depth ($z < 0$)	m
z^*	Height above displacement height	m
z^-	Height of crown base	m
z_0	Roughness length	m
z_d	Displacement height	m
α	Probability distribution of gap ages	—
β	Backscattering coefficient, diffuse irradiance	—
β^\odot	Backscattering coefficient, direct irradiance	—
Γ	CO ₂ compensation point	$\text{mol}_C \text{mol}^{-1}$
γ	Growth rate	s^{-1}
Δt	Time step	s
Δw	Stomatal conductance control on severe leaf-level water vapor deficit	$\text{kg}_W \text{kg}^{-1}$
Δz	Layer thickness	m
δ_{ij}	Kronecker delta (1 if $i = j$, 0 otherwise)	—
ϵ	Quantum yield	—
ε	Thermal dilatation coefficient	K^{-1}
F	Coefficients for generic function of CO ₂ uptake rate (Table S9)	—
ζ	Dimensionless Obukhov length	—
ζ_0	Dimensionless roughness length	—
η	Thermal diffusivity of air	$\text{m}^2 \text{s}^{-1}$
θ	Potential temperature	K
θ_v	Virtual potential temperature	K
θ_v^*	Characteristic scale: Virtual potential temperature	K
ϑ	Volumetric soil moisture	$\text{m}_W^3 \text{m}^{-3}$

Table S2: (Continued)

Variable	Description	Units
l_U	Turbulence intensity	—
κ	von Kármán constant	—
\varkappa	Auxiliary variable for solution of canopy radiation transfer	—
Λ	Leaf area index	$\text{m}_{\text{Leaf}}^2 \text{m}^{-2}$
λ	Disturbance rate	s^{-1}
μ	Inverse of optical depth per unit of plant area index	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
μ^{\odot}	Same as above, specific for direct radiation	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
$\bar{\mu}$	Same as above, specific for diffuse radiation	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
ν	Kinematic viscosity	$\text{m}^2 \text{s}^{-1}$
Ξ	Cumulative cohort drag area per unit ground area	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
ξ	Drag coefficient	—
$\tilde{\Pi}$	Total plant area index	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
$\tilde{\Pi}$	Clump-corrected, effective total plant area index	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
ϖ	Plant area density	$\text{m}_{\text{Plant}}^2 \text{m}^{-3}$
ϖ	Oxygenase:Carboxylase ratio	$\text{mol}_{\text{O}_2} \text{molC}^{-1}$
ρ	Density	kg m^{-3}
ϱ	Recruitment rate	s^{-1}
$\hat{\sigma}$	Survivorship fraction following disturbance	—
σ_{SB}	Stefan-Boltzmann constant	$\text{W m}^{-2} \text{K}^{-4}$
σ_u	Standard deviation of wind speed	m s^{-1}
ς	Scattering coefficient, diffuse irradiance	—
ς^{\odot}	Scattering coefficient, direct irradiance	—
ζ_R	Reflectance coefficient	—
ζ_T	Transmittance coefficient	—
τ	Turnover rate (active tissues or non-structural carbon)	s^{-1}
Υ_Q	Thermal conductivity	$\text{W m}^{-1} \text{K}^{-1}$
Υ_{Ψ}	Hydraulic conductivity	m s^{-1}
Φ	Total plant area index	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
$\tilde{\Phi}$	Clump-corrected, effective total plant area index	$\text{m}_{\text{Plant}}^2 \text{m}^{-2}$
ϕ	Plant area density	$\text{m}_{\text{Plant}}^2 \text{m}^{-3}$
ϕ	Oxygenase:Carboxylase ratio	$\text{mol}_{\text{O}_2} \text{molC}^{-1}$
φ_U	Dimensionless stability function of momentum (eddy flux)	—
φ_{Θ}	Dimensionless stability function of heat (eddy flux)	—
χ	Mean orientation factor	—
Ψ	Soil matric potential	m
ψ_U	Dimensionless flux profile function of momentum (eddy flux)	—
ψ_{Θ}	Dimensionless flux profile function of heat (eddy flux)	—
Ω	Branch wood area index	$\text{m}_{\text{Wood}}^2 \text{m}^{-2}$
ω	Leaf shedding rate	s^{-1}

Table S3: List of universal (physical) constants used in ED-2.2. For parameters that can be constrained and optimized, refer to Tables [S4](#) (global) and [S5](#) [S6](#) (PFT-dependent).

Symbol	Value	Description
E_{in}	$2.17 \cdot 10^{-5} \text{ J mol}^{-1}$	Average photon specific energy in the PAR band
g	9.807 m s^{-2}	Gravity acceleration
\mathcal{M}_C	$1.201 \cdot 10^{-2} \text{ kg mol}^{-1}$	Molar mass of carbon
\mathcal{M}_d	$2.897 \cdot 10^{-2} \text{ kg mol}^{-1}$	Molar mass of dry air
\mathcal{M}_w	$1.802 \cdot 10^{-2} \text{ kg mol}^{-1}$	Molar mass of water
$l_{i\ell 3}$	$3.34 \cdot 10^5 \text{ J kg}^{-1}$	Specific latent heat of melting at the water triple point
l_{iv3}	$l_{i\ell 3} + l_{\ell v3}$	Specific latent heat of sublimation at the water triple point
$l_{\ell v3}$	$2.50 \cdot 10^6 \text{ J kg}^{-1}$	Specific latent heat of vaporization at the water triple point
o_{\oplus}	$0.209 \text{ mol}_{\text{O}_2} \text{ mol}^{-1}$	Reference oxygen mixing ratio
p_0	10^5 Pa	Reference pressure for potential temperature
q_i	$2093 \text{ J kg}^{-1} \text{ K}^{-1}$	Specific heat of ice
q_{ℓ}	$4186 \text{ J kg}^{-1} \text{ K}^{-1}$	Specific heat of liquid water
q_{pd}	$1005 \text{ J kg}^{-1} \text{ K}^{-1}$	Specific heat of dry air at constant pressure
q_{pv}	$1859 \text{ J kg}^{-1} \text{ K}^{-1}$	Specific heat of water vapor at constant pressure
\mathcal{R}	$8.315 \text{ J mol}^{-1} \text{ K}^{-1}$	Ideal gas constant
T_0	273.15 K	Zero degrees Celsius
T_3	273.16 K	Water triple point
κ	0.40	von Kármán constant
ρ_{*}	200 kg m^{-3}	Density of frost
ρ_{ℓ}	1000 kg m^{-3}	Density of liquid water
ρ_{\oplus}	100 kg m^{-3}	Density of fresh snowReference density of fresh snow
σ_{SB}	$5.67 \cdot 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$	Stefan-Boltzmann constant
$\Upsilon_{Q_{\ell}}$	$0.57 \text{ W m}^{-1} \text{ K}^{-1}$	Thermal conductivity of liquid water

Table S4: List of default values for global parameters used in ED-2.2. Soil carbon parameters x_e are shown as vectors $(x_{e1}; x_{e2}; x_{e3})$ corresponding to the fast, intermediate, and slow pools, respectively. Optical parameters are shown as vectors $(x_{\text{PAR}}; x_{\text{NIR}}; x_{\text{TIR}})$ corresponding to the photosynthetically active (PAR), near infrared and thermal infrared bands, respectively. For default PFT-specific parameters, refer to [Table S5](#); [Tables S5-S6](#); physical constants are listed in [Table S3](#).

Symbol	Value	Description
B_e	$(11.0; 4.5; 0.2) \text{ yr}^{-1}$	Optimal decay rates of soil carbon pools
B_C	$0.5 \text{ kg}_C \text{ kg}_{\text{Bio}}^{-1}$	Carbon:oven-dry-biomass ratio
ℓf_{Cold}	0.24	Decay parameter for decomposition at cold temperatures
ℓf_{Dry}	0.60	Decay parameter for decomposition at dry conditions
ℓf_{Hot}	12.0	Decay parameter for decomposition at hot temperatures
ℓf_{Wet}	36.0	Decay parameter for decomposition at wet conditions
f_{Gl}	1.6	Water:CO ₂ diffusivity ratio
$f_{G\lambda}$	1.4	Water:CO ₂ leaf-boundary-layer conductance ratio
f_{he}	$(1.0; 0.3; 1.0)$	Fraction of decay due to heterotrophic respiration
f_{LD}	0.5	Fraction of carbon retained by plants when shedding leaves
\mathcal{I}	0.5 yr^{-1}	Fire intensity parameter
\mathcal{K}_{C15}	$214.2 \mu\text{mol}_{\text{CO}_2} \text{ mol}^{-1}$	Michaelis constant for carboxylation at 15 °C
\mathcal{K}_{O15}	$0.2725 \text{ mol}_{\text{O}_2} \text{ mol}^{-1}$	Michaelis constant for oxygenation at 15 °C
k_{PEP}	$17949 \text{ mol}_{\text{Air}} \text{ mol}_{\text{CO}_2}^{-1}$	Initial slope for the PEP carboxylase (C ₄ photosynthesis)
Pr	0.74	Prandtl number
$\mathcal{Q}_{10}(\mathcal{K}_C)$	2.1	Temperature factor for Michaelis constant (carboxylation)
$\mathcal{Q}_{10}(\mathcal{K}_O)$	1.2	Temperature factor for Michaelis constant (oxygenation)
$\mathcal{Q}_{10}(\phi/\omega)$	0.57	Temperature factor for carboxylase:oxygenase ratio
$T_{g\text{Cold}}$	291.15 K	Temperature threshold for decomposition at cold temperatures
$T_{g\text{Hot}}$	318.15 K	Temperature threshold for decomposition at hot temperatures
T_{Phen}	278.15 K	Temperature threshold for cold-deciduous leaf phenology
t_{Runoff}	3600 s	E-folding Decay time for surface runoff
\hat{w}_{max}	$0.11 \text{ kg}_W \text{ m}_{\text{Leaf+Wood}}^2$	Water holding capacity
$z_{0\varnothing}$	0.01 m	Roughness length of bare soil
z_{Fr}	-0.50 m	Soil depth used to evaluate fuel dryness
λ_{TF}	0.014 yr^{-1}	Tree fall disturbance rate
ϑ'_{Dry}	0.48	Relative moisture threshold for decomposition at dry conditions
ϑ'_{Wet}	0.98	Relative moisture threshold for decomposition at wet conditions
$\bar{\mu}_s$	0.05 m	Inverse of the optical depth of temporary surface water
ς_{3g}	0.02	Scattering coefficients (thermal infrared) for bare soil
$\varsigma_{R_s}^{\oplus}$	$(0.518; 0.435; 0.030)$	Reflectance coefficients (thermal infrared) for pure snow
ϕ/ω_{15}	4561	Carboxylase:oxygenase ratio at 15 °C
ψ_0	0.190	Flux profile function of momentum at roughness height

Table S5: List of default parameters that depend on plant functional type (PFT) used in ED-2.2 and described in the text. The default tropical and subtropical PFTs are C₄ tropical grass (C4G), C₃ tropical grass (C3G); early successional tropical tree (ETR); mid-successional tropical tree (MTR); late-successional tropical tree (LTR); subtropical conifers (ARC); additional PFTs can be specified by the user and provided directly to ED-2.2 through extensible markup language (XML). Spectral-dependent parameters x are provided as vectors ($x_{PAR}; x_{NIR}; x_{TIR}$), corresponding to the visible (photosynthetically active), nearinfrared, and thermal infrared, respectively. The default parameters for temperate PFTs are the same as Medlyn et al. (2000) are shown in Table S6. The values of constants and default global parameters are shown in Table S3.

Symbol	PFT-specific value					Units	Description
	C4G	C3G	ETR	MTR	LTR		
a	15.15	15.15	16.22	31.58	∞	yr	Aging factor for density-independent mortality
B_{wl}	0.70/1.85	0.70/1.85	0.70/1.85	0.70/1.85	0.70/1.85	—	Water:oven-dry-biomass ratio for leaves
B_{wb}	1.85/0.70	1.85/0.70	1.85/0.70	1.85/0.70	1.85/0.70	—	Water:oven-dry-biomass ratio for wood
C_{ol}	0.158	0.158	0.418	0.560	0.701	—	Scaling coefficient for leaf biomass allometry
C_{ll}	0.975	0.975	0.975	0.975	0.975	—	Exponent coefficient for leaf biomass allometry
C_{oh}	0.0627	0.0627	0.166	0.222	0.282	—	Scaling coefficient for heartwood biomass allometry (sub-canopy)
C_{lh}	2.432	2.432	2.432	2.432	2.432	—	Exponent coefficient for heartwood biomass allometry (sub-canopy)
C_{2h}	0.0647	0.0647	0.172	0.230	0.291	—	Scaling coefficient for heartwood biomass allometry (canopy)
C_{3h}	2.426	2.426	2.426	2.426	2.426	—	Exponent coefficient for heartwood biomass allometry (canopy)
f_{AG}	0.70	0.70	0.70	0.70	0.70	—	Fraction of above-ground biomass
f_{Cold}	0.40	0.40	0.40	0.40	0.40	—	Decay parameter to down-regulate metabolism at cold temperatures
f_{Clump}	1.00	1.00	0.80	0.80	0.80	—	Clumping index
f_{Hot}	0.40	0.40	0.40	0.40	0.40	—	Decay parameter to down-regulate metabolism at hot temperatures
f_h	0.00	0.00	0.10	0.10	0.10	—	Fraction of carbon storage retained in storage pool
f_r	1.00	1.00	1.00	1.00	1.00	—	Fine-root:leaf biomass ratio
f_R	0.035	0.015	0.015	0.015	0.015	—	Respiration:carboxylation ratio
f_θ	1.0	1.0	0.30	0.30	0.30	—	Fraction of carbon allocation to reproduction at maturity
f_σ	3900	3900	3900	3900	3900	$m^3 kg_C^{-1}$	Sapwood:leaf biomass scaling factor
G_{cl}^∞	0.01	0.01	0.01	0.01	0.01	$mol m^{-2} s^{-1}$	Residual conductance (closed stomata)
G_{rlw}	900	900	600	600	600	$m^2 kg_C^{-1} yr^{-1}$	Scaling factor for fine root conductance
\mathcal{E}_h	1.0	1.0	1.0	1.0	1.0	—	Fraction of lignified tissues (sapwood and hardwood)
\mathcal{E}_l	0.0	0.0	0.0	0.0	0.0	—	Fraction of lignified tissues (leaves and fine roots)
M	7.2	9.0	9.0	9.0	9.0	—	Slope factor for stomatal conductance
m_θ	0.95	0.95	0.95	0.95	0.95	mo^{-1}	Loss rate of reproductive tissues
$Q_{10}(\dot{V}_C)$	2.40	2.40	2.40	2.40	2.40	—	Temperature dependence factor for carboxylation rate

Table S5: (Continued)

Symbol	PFT-specific value					Units	Description
	C4G	C3G	ETR	MTR	LTR	ARC	
$Q_{10}(r_r)$	2.40	2.40	2.40	2.40	2.40	2.40	Temperature dependence factor for fine root respiration
$q_l^{(OD)}$	3218	3218	3218	3218	3218	3218	Specific heat of oven-dry leaf biomass
$q_b^{(OD)}$	1217	1217	1217	1217	1217	1217	Specific heat of oven-dry wood biomass
r_{15}	0.246	0.246	0.246	0.246	0.246	0.246	Fine-root respiration rate at 15 °C
SLA	22.70	22.70	16.02	11.65	9.66	6.32	Specific leaf area
T_{Cold}	288.15	283.15	283.15	283.15	283.15	277.86	Cold temperature threshold for metabolic activity
T_F	275.65	275.65	275.65	275.65	275.65	258.15	Temperature threshold for plant hardiness to frost
T_{Hot}	318.15	318.15	318.15	318.15	318.15	318.15	Hot temperature threshold for metabolic activity
p	1	1	1	1	1	2	Number of sides of leaf with stomata
V_{C15}^{max}	12.5	18.75	18.75	12.5	6.25	15.62	Maximum carboxylation rate at 15 °C
x_p^*	0.05	0.05	0.05	0.05	0.05	0.05	Typical obstacle size for branches and twigs
x_z^*	0.05	0.05	0.10	0.10	0.10	0.05	Typical leaf width
Z_0	0	0	0	0	0	0	Offset parameter for tree height allometry
Z_1	0.0352	0.0352	0.0352	0.0352	0.0352	0.0352	Slope coefficient for leaf biomass allometry
Z_2	0.694	0.694	0.694	0.694	0.694	0.694	Exponent coefficient for leaf biomass allometry
Z_{∞}	61.7	61.7	61.7	61.7	61.7	61.7	Asymptote height (relative to Z_0 at $\lim_{BH \rightarrow \infty}$)
Z_{max}^{Repro}	1.5	1.5	35.0	35.0	35.0	35.0	Maximum attainable height
z_t	1.5	1.5	18.0	18.0	18.0	18.0	Plant height at reproductive maturity
Δq_b^{Bond}	63.10	63.10	63.10	63.10	63.10	63.10	Specific heat associated with bonding between wood and water
Δw	0.016	0.016	0.016	0.016	0.016	0.016	Leaf water deficit down-regulation parameter (stomatal conductance)
ϵ	0.055	0.080	0.080	0.080	0.080	0.08	Quantum yield
ρ_l	0.20	0.20	0.53	0.71	0.90	0.52	Wood density (values for grasses needed for mortality)
ϕ^{FR}	0.0	0.0	0.0	0.0	0.0	0.00	Survivorship to fire disturbance
$\phi^{TF}(z_t < 10 \text{ m})$	0.25	0.25	0.10	0.10	0.10	0.10	Survivorship of small trees to tree fall disturbance
$\phi^{TF}(z_t \geq 10 \text{ m})$	0.0	0.0	0.0	0.0	0.0	0.0	Survivorship of large trees to tree fall disturbance
ζ_R^{Leaf}	(0.100;0.400,0.040)		(0.100;0.400;0.050)			(0.090;0.577;0.030)	Leaf reflectance
ζ_R^{Wood}	(0.160;0.250;0.040)		(0.110;0.250;0.100)			(0.110;0.250;0.100)	Wood reflectance
ζ_T^{Leaf}	(0.050;0.200;0.000)		(0.050;0.200;0.000)			(0.050;0.248;0.000)	Leaf transmittance
ζ_T^{Wood}	(0.028;0.248;0.000)		(0.001;0.001;0.000)			(0.001;0.001;0.000)	Wood transmittance
τ_l	2.0	2.0	1.0	0.50	0.33	0.042	Leaf turnover rate
τ_n	0.333	0.333	0.167	0.167	0.167	0.167	Storage turnover rate
τ_r	2.0	2.0	1.0	0.50	0.33	0.042	Fine-root turnover rate
τ_Δ	0.333	0.333	0.333	0.333	0.333	0.450	Growth respiration factor
χ	0.00	0.00	0.10	0.10	0.10	0.01	Mean orientation factor

Table S6: List of default parameters that depend on plant functional type (PFT) used in ED-2.2 for temperate regions, for default ED-2.2 PFTs. The PFTs are C₃ temperate grass (C3T); mid-latitude (“Northern”) pines (NPN); subtropical (“Southern”) pines (SPN); late-successional conifers (LCN), early-successional hardwood tree (EHW); mid-successional hardwood tree (MHW), late-successional tropical tree (LHW); additional PFTs can be specified by the user and provided directly to ED-2.2 through extensible markup language (XML). Spectral-dependent parameters x are provided as vectors ($x_{\text{PAR}}; x_{\text{NIR}}; x_{\text{TIR}}$), corresponding to the visible (photosynthetically active), nearinfrared, and thermal infrared, respectively. The default parameters for tropical and subtropical PFTs are shown in Table S5. The values of constants and default global parameters are shown in Table S3.

Symbol	PFT-specific value					Units		Description
	C3T	NPN	SPN	LCN	EHW	MHW	LHW	
α	15.15	294.74	232.56	424.30	162.76	262.61	233.64	Aging factor for density-independent mortality
B_{wl}	2.50	2.50	2.50	2.50	2.50	2.50	2.50	Water:oven-dry-biomass ratio for leaves
B_{wb}	0.7	0.7	0.7	0.7	0.7	0.7	0.7	Water:oven-dry-biomass ratio for wood
C_{ol}	0.0800	0.0240	0.0240	0.0454	0.0129	0.0480	0.0170	Scaling coefficient for leaf biomass allometry
C_{ll}	1.0000	1.8990	1.8990	1.6829	1.7477	1.4550	1.7310	Exponent coefficient for leaf biomass allometry
C_{oh}	1×10^{-5}	0.1470	0.1470	0.1617	0.0265	0.1617	0.2350	Scaling coefficient for heartwood biomass allometry (sub-canopy)
C_{lh}	1.0000	2.2380	2.2380	2.1536	2.9595	2.4572	2.2518	Exponent coefficient for heartwood biomass allometry (sub-canopy)
C_{2h}	1×10^{-5}	0.1470	0.1470	0.1617	0.0265	0.1617	0.2350	Scaling coefficient for heartwood biomass allometry (canopy)
C_{3h}	1.0000	2.2380	2.2380	2.1536	2.9595	2.4572	2.2518	Exponent coefficient for heartwood biomass allometry (canopy)
f_{AG}	0.70	0.70	0.70	0.70	0.70	0.70	0.70	Fraction of above-ground biomass
f_{Cold}	0.40	0.40	0.40	0.40	0.40	0.40	0.40	Decay parameter to down-regulate metabolism at cold temperatures
f_{Clump}	0.840	0.735	0.735	0.735	0.840	0.840	0.840	Clumping index
f_{Hot}	0.40	0.40	0.40	0.40	0.40	0.40	0.40	Decay parameter to down-regulate metabolism at hot temperatures
f_n	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Fraction of carbon storage retained in storage pool
f_r	1.0000	0.3463	0.3463	0.3463	1.1274	1.1274	1.1274	Fine-root:leaf biomass ratio
f_R	0.02	0.02	0.02	0.02	0.02	0.02	0.02	Respiration:carboxylation ratio
f_{θ}	1.0	1.0	0.30	0.30	0.30	0.30	0.30	Fraction of carbon allocation to reproduction at maturity
f_{σ}	3900	3900	3900	3900	3900	3900	3900	Sapwood:leaf biomass scaling factor
\hat{G}_{lw}^{ϕ}	0.020	0.001	0.001	0.001	0.020	0.020	0.020	Residual conductance (closed stomata)
\hat{G}_r	160	150	150	150	150	150	150	Scaling factor for fine root conductance
ϵ_h	1.00	0.79	0.79	0.79	0.79	0.79	0.79	Fraction of lignified tissues (sapwood and hardwood)
ϵ_l	0.0	0.0	0.0	0.0	0.0	0.0	0.0	Fraction of lignified tissues (leaves and fine roots)
M	8.0	6.4	6.4	6.4	6.4	6.4	6.4	Slope factor for stomatal conductance
m_{θ}	0.95	0.95	0.95	0.95	0.95	0.95	0.95	Loss rate of reproductive tissues
$\mathcal{Q}_{10}(\dot{V}_C)$	2.40	2.40	2.40	2.40	2.40	2.40	2.40	Temperature dependence factor for carboxylation rate
$\mathcal{Q}_{10}(r_r)$	2.40	2.40	2.40	2.40	2.40	2.40	2.40	Temperature dependence factor for fine root respiration
$q_l^{(OD)}$	3218	3218	3218	3218	3218	3218	3218	Specific heat of oven-dry leaf biomass
$q_b^{(OD)}$	1217	1217	1217	1217	1217	1217	1217	Specific heat of oven-dry wood biomass
r_{15}	0.246	0.246	0.246	0.246	0.246	0.246	0.246	Fine-root respiration rate at 15 °C

Table S6: (Continued)

Symbol	PFT-specific value						Units	Description
	C3T	NPN	SPN	LCN	EHW	MHW	LHW	
SLA	22.70	6.0	9.0	10.0	30.0	24.2	60.0	Specific leaf area
T_{Cold}	277.86	277.86	277.86	277.86	277.86	277.86	277.86	Cold temperature threshold for metabolic activity
T_F	193.15	193.15	263.15	213.15	193.15	253.15	253.15	Temperature threshold for plant hardness to frost
T_{Hot}	318.15	318.15	318.15	318.15	318.15	318.15	318.15	Hot temperature threshold for metabolic activity
p	1	2	2	2	1	1	1	Number of sides of leaf with stomata
$V_{C_{1,5}}^{max}$	18.30	11.35	11.35	4.54	20.39	17.45	6.98	Maximum carboxylation rate at 15 °C
x_{β}^*	0.05	0.05	0.05	0.05	0.05	0.05	0.05	Typical obstacle size for branches and twigs
x_{λ}^*	0.05	0.05	0.05	0.05	0.05	0.05	0.05	Typical leaf width
Z_0	0.0	1.3	1.3	1.3	1.3	1.3	1.3	Offset parameter for tree height allometry
Z_1	0.7500	0.0388	0.0388	0.0444	0.0653	0.0496	0.0540	Slope coefficient for leaf biomass allometry
Z_2	1	1	1	1	1	1	1	Exponent coefficient for leaf biomass allometry
Z_{∞}	0.478	27.140	27.140	22.790	22.680	25.180	23.387	Asymptote height (relative to Z_0 at $\lim_{DBH \rightarrow \infty}$)
z_{rmax}	0.454	27.113	27.113	22.767	22.657	25.155	23.364	Maximum attainable height
z_{rKpro}	0.45	18.0	18.0	18.0	18.0	18.0	18.0	Plant height at reproductive maturity
Δq_b^{Bond}	63.10	63.10	63.10	63.10	63.10	63.10	63.10	Specific heat associated with bonding between wood and water
Δw	0.016	0.016	0.016	0.016	0.016	0.016	0.016	Leaf water deficit down-regulation parameter (stomatal conductance)
ϵ	0.080	0.080	0.080	0.080	0.080	0.080	0.080	Quantum yield
ρ_l	—	—	—	—	—	—	—	Wood density (currently not used)
$\hat{\sigma}^{FR}$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	Survivorship to fire disturbance
$\hat{\sigma}^{TF} (z_l < 10 \text{ m})$	0.25	0.10	0.10	0.10	0.10	0.10	0.10	Survivorship of small trees to tree fall disturbance
$\hat{\sigma}^{TF} (z_l \geq 10 \text{ m})$	0.0	0.0	0.0	0.0	0.0	0.0	0.0	Survivorship of large trees to tree fall disturbance
ζ_R^{Leaf}	(0.110;0.577,0.040)	(0.110;0.577,0.030)	(0.110;0.577,0.030)	(0.110;0.577,0.050)	(0.110;0.577,0.050)	(0.110;0.577,0.050)	(0.110;0.577,0.050)	Leaf reflectance
ζ_R^{Wood}	(0.160;0.110,0.040)	(0.160;0.248;0.000)	(0.160;0.248;0.000)	(0.110;0.250;0.100)	(0.110;0.250;0.100)	(0.110;0.250;0.100)	(0.110;0.250;0.100)	Wood reflectance
ζ_T^{Leaf}	(0.028;0.248;0.000)	(0.028;0.248;0.000)	(0.028;0.248;0.000)	(0.001;0.001;0.000)	(0.001;0.001;0.000)	(0.001;0.001;0.000)	(0.001;0.001;0.000)	Leaf transmittance
ζ_T^{Wood}	2.0	0.333	0.333	0.333	—	—	—	Wood transmittance
τ_l	—	—	—	—	—	—	—	Leaf turnover rate (not applicable for hardwoods, which are deciduous)
τ_n	0.000	0.000	0.000	0.000	0.624	0.624	0.624	Storage turnover rate
τ_r	2.0	3.927	4.118	3.800	5.773	5.083	5.071	Fine-root turnover rate
τ_{Δ}	0.333	0.450	0.450	0.450	—	—	—	Growth respiration factor (not applicable for hardwoods, which are deciduous)
χ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Mean orientation factor

Table S7: List of soil component properties (air, sand, silt, and clay), used to derive most soil-texture dependent properties. Most parameters are based on [Monteith and Unsworth \(2008\)](#); values for silt were unavailable and assumed to be intermediate between sand and clay. The volumetric fractions of the default soil texture types in ED-2.2 are listed in Table [S8](#).

Symbol	Soil components				Units	Description
	Air	Sand	Silt	Clay		
q	1010	800	850	900	$\text{J kg}^{-1} \text{K}^{-1}$	Specific heat
ρ	1.200	2660	2655	2650	kg m^{-3}	Bulk density
Υ_Q	0.025	8.80	5.87	2.92	$\text{W m}^{-1} \text{K}^{-1}$	Thermal conductivity

Table S8: List of volumetric fractions of sand, silt, and clay (f_v) for the default soil texture types in ED-2.2 (Fig. S6). Component-specific properties of soils are listed in Table S7

Class	Description	Volumetric fractions		
		Sand	Silt	Clay
Sa	Sand	0.920	0.050	0.030
LSa	Loamy sand	0.825	0.115	0.060
SaL	Sandy loam	0.660	0.230	0.110
SiL	Silt loam	0.200	0.640	0.160
L	Loam	0.410	0.420	0.170
SaCL	Sandy clay loam	0.590	0.140	0.270
SiCL	Silty clay loam	0.100	0.560	0.340
CL	Clayey loam	0.320	0.340	0.340
SaC	Sandy clay	0.520	0.060	0.420
SiC	Silty clay	0.060	0.470	0.470
C	Clay	0.200	0.200	0.600
Si	Silt	0.075	0.875	0.050
CC	Heavy clay	0.100	0.100	0.800
CSa	Clayey sand	0.375	0.100	0.525
CSi	Clayey silt	0.125	0.350	0.525

Table S9: Coefficients used in Eq. (S191) for each limitation and photosynthetic path. The special case in which the stomata are closed is also shown for reference.

Case	C ₃ photosynthesis				C ₄ photosynthesis			
	F^A	F^B	F^C	F^D	F^A	F^B	F^C	F^D
Closed stomata	0	0	0	1	0	0	0	1
RuBP-saturated	$\dot{V}_{C_k}^{\max}$	$-\dot{V}_{C_k}^{\max} \Gamma_k$	1	$\mathcal{K}_{\text{ME}_k}$	0	$\dot{V}_{C_k}^{\max}$	0	1
CO ₂ -limited	$\dot{V}_{C_k}^{\max}$	$-\dot{V}_{C_k}^{\max} \Gamma_k$	1	$\mathcal{K}_{\text{ME}_k}$	$k_{\text{PEP}} \dot{V}_{C_k}^{\max}$	0	0	1
Light-limited	$\epsilon_k \dot{q}_k$	$-\epsilon_k \dot{q}_k \Gamma_k$	1	$2\Gamma_k$	0	$\epsilon_k \dot{q}_k$	0	1

S1 ED-2 developments since ED-2.0 and ED-2.2

In this Supplement, we list the main developments in the Ecosystem Demography Model version 2 (ED-2), with focus on mentioned in this manuscript (Fig. S3). The complete list of implementations, improvements, and code fixes are available on the GitHub website (<https://github.com/EDmodel/ED2>).

S1.1 Version 2.0 (ED-2.0)

This is the version described in Medvigy (2006); Medvigy et al. (2009), and it is the first version of the ED model that implements energy and water cycles at sub-daily scale. The biophysics core was adapted from the LEAF-2 land surface model (Walko et al., 2000), which is part of the Regional Atmospheric Model System (RAMS). The main differences in the ED-2.0 biophysics core include (1) solution of the energy and water cycle for each cohort and patch; (2) use of 4th order Runge-Kutta solver to improve numerical stability. In addition, this version allowed leaf phenology to be prescribed from external data (Supplement ??). The photosynthesis solver was largely the same as in ED-1.0 (Moorcroft et al., 2001).

S1.2 Version 2.0.12 (ED-2.0.12)

Most developments between ED-2.0 and ED-2.0.12 relate to code organization and structure. ED-2.0 was partly written in C (legacy from ED-1) and partly written in Fortran (legacy from LEAF-2). To simplify the code and ensure data were correctly transferred between subroutines, we rewrote most of the code in Fortran. The only exceptions were a few file handling functions that remained in C because we could not find equivalent functions in Fortran.

In addition, this version uses Hierarchical Data Format 5 (HDF5) format and libraries (The HDF Group, 2016) to generate model outputs. HDF5 allows a more efficient framework to output variables in the dynamic patch and cohort structures. It also introduced an XML model parameter input file, rather than relying solely on hard-coded defaults, which makes it easier to perform model calibration, sensitivity analyses, and ensemble error propagation. Importantly, this was the last version of ED-2 that used temperature as prognostic variable for leaves and canopy air space.

S1.3 Version 2.1 (ED-2.1)

Most ED-2.1 developments aimed at improving the energy cycle representation in ED-2.1. Importantly, leaf enthalpy and canopy air space enthalpy replaced temperature as the prognostic variables (Eq. 4; Sec. 3.2.3, 3.2.4). The main advantages of energy-related prognostic equations include:

(1) simplification the numeric integration, as total energy changes must be equivalent to net energy flux; (2) improved conservation of energy when water fluxes are large and cause rapid changes in heat capacity of the thermodynamic systems; (3) elimination of singularity at the water's fusion point (0 °C, when enthalpy changes due to freezing or melting, but the temperature remains the same.

To ensure the model was thermodynamically consistent, we also: (1) implemented a mechanistic representation of heat capacity for vegetation (leaves and branches, Supplement S6.2) that is scaled with leaf and branch biomass (e.g. Dufour and van Miegheem, 1975); (2) replaced the original LEAF-2-based surface layer model (that was based on Louis, 1979) with the parameterization by Beljaars and Holtslag (1991), as the latter parameterization improved numerical stability of eddy covariance fluxes under thermally stable conditions; (3) included an option to prescribe silt, clay, and sand fractions to define site-specific soil texture characteristics (Supplement S9) instead of the original ED-2.0 implementation that required soils to be assigned to one of the 12 fixed classes originally defined in LEAF-2 (Walko et al., 2000); (4) implemented the capability of saving the entire ecosystem and thermodynamic state of the model into HDF5 files, which can be used to stop and start simulations and yield the same results of uninterrupted simulations, a desirable feature for simulations with long runtimes.

S1.4 Version 2.2 (ED-2.2)

The ED-2.2 version implemented several improvements and fixed inconsistencies in the representation of the energy, water, and carbon dioxide cycles. First, we redefined enthalpy (S5), to ensure that it would be a true thermodynamic state variable (i.e. path independent, see Dufour and van Miegheem, 1975), by making latent heat of vaporization a linear function of temperature (Eq. 72-73). Moreover, we identified missing components of the energy cycle that precluded the conservation: (1) the transfer of internal energy from soils to leaves before transpiration (Eq. 97); (2) the enthalpy exchange associated with vaporization and condensation also accounts the mass transfer of water between the thermodynamic systems (e.g. Eq. 75, 98). Furthermore, to ensure results from ED-2.2 consistently conserve mass and energy, we implemented detailed conservation verification during the model execution, which now reports any violation of energy, water, and carbon conservation, generates detailed output of the violation, and interrupts the simulation. Finally, to improve computational efficiency of the energy, water, and carbon cycle

solvers at sub-daily time steps, we implemented a shared-memory parallelization of the most computationally-intensive subroutines. The parallelization was written to allow users to select any number of cores (depending on core availability), and to account for patch ages in order to balance the load among cores.

In addition, we rewrote the photosynthesis to allow temperature-dependent functions to be expressed as functions of Q_{10} . We retained the original Arrhenius-based functions as legacy options, but the new option increases the options for assimilating data into the model. The current Q_{10} -based parameters fix the low-temperature optimum in tropical plants previously noted by Rogers et al. (2017). Importantly, we rewrote the photosynthesis solver to ensure that it would always converge to a unique solution for net assimilation rate, stomatal conductance, and intercellular carbon dioxide concentration given the environmental conditions (Supplement S16).

The ED-2.2 version also includes improvements in the representation of conductances between different thermodynamic systems. First, the leaf boundary-layer conductance now accounts for differences in leaf and branch characteristics of each cohort, and to account for both free and forced convection under both laminar and turbulent flow (Supplement S14.2). Second, we implemented ground-to-canopy conductance formulations (Sellers et al., 1986; Massman, 1997; Massman and Weil, 1999) that account for the cumulative drag profile of vegetated areas obtained from the cohort structure, as well as the stability of the surface layer (Supplement S14.3).

Finally, in ED-2.2 we replaced the version control to GitHub, which makes the new code developments readily available to the scientific community and encourages users to post issues, code fixes and model improvements and developments to the main code repository in open and collaborative forums.

S2 Boundary conditions for the ecosystem dynamics equations

The boundary conditions for Eq. (2) and (3) are:

$$\underbrace{n_{fq}(\mathbf{C}_{f_0}, a, t)}_{\text{Recruit}} = \frac{1}{\mathbf{g}_{f_0} \cdot \mathbf{1}} \left\{ \underbrace{\int_{\mathbf{C}_{f_0}}^{\infty} (1 - f_{\delta_f}) \varrho_f n_{fq} d\mathbf{C}}_{\text{Local recruitment}} + \underbrace{\sum_{q'=1}^{N_Q} \left[\int_{\mathbf{C}_{f_0}}^{\infty} \int_0^{\infty} f_{\delta_f} \varrho_f n_{FQ'XY} \alpha_{fq'} da d\mathbf{C} \right]}_{\text{Non-local, random dispersal}} \right\}, \quad (\text{S1})$$

$$\underbrace{n_{fq}(\mathbf{C}_f, 0, t)}_{\text{Population at new gap}} = \underbrace{\sum_{q'=1}^{N_Q} \left[\int_0^{\infty} \hat{\sigma}_{fq'} n_{fq'} \alpha_{q'} da \right]}_{\text{Disturbance Survivors}}, \quad (\text{S2})$$

$$\underbrace{\alpha_q(0, t)}_{\text{Probability of new gap}} = \underbrace{\sum_{q'=1}^{N_Q} \lambda_{q'q} \alpha_{q'} da}_{\text{Disturbance rates}}, \quad (\text{S3})$$

where \mathbf{C}_{f_0} is the size of the smallest individual of PFT f ; \mathbf{g}_{f_0} is the growth rate for individuals of PFT f with size \mathbf{C}_{f_0} ; $\mathbf{1}$ is the unity vector for size; ϱ_f is the recruitment rate, which may depend on the PFT, size, and carbon balance; f_{δ_f} is the fraction of recruits of PFT f that are randomly dispersed instead of locally recruited; and $\hat{\sigma}_{fq}$ is size-dependent survivorship probability for a PFT f following a disturbance of type q (for a complete list of subscripts and variable meanings, refer to Tables [S1](#) and [S2](#)). Both \mathbf{g}_f and m_f are functions of the plant size and the individual's carbon balance. The individual's carbon balance depends on the environment perceived by each individual; in turn, the environment perceived by each individual is modulated by both the plant community living in the same gap and the general landscape environment. Likewise, the disturbance rates may be affected by the local plant community in the gap and the regional landscape environment.

S3 Long-term carbon dynamics and relation with carbon balance

S3.1 Leaf phenology

~~Leaf shedding rates (ω_{l_k}) depend on the cohort's life strategy (evergreen or deciduous). In case of deciduous trees, the rates are modulated by the difference between the fully flushed leaf biomass given size (C^* , Supplement S16) and the maximum leaf biomass given environmental constraints, expressed through the leaf elongation factor (e_{l_k}). For cold deciduous cohorts, e_{l_k} is determined either from a prognostic model (Botta et al., 2000; Albani et al., 2006) or prescribed from MODIS-based estimates or from ground observations (Medvigy et al., 2009). For drought deciduous cohorts, it is determined by the following parameterization:~~

$$e_{l_k} = \begin{cases} 1 & , \text{ if } s_{l_k} \geq 1 \\ s_{l_k} & , \text{ if } 0.05 \leq s_{l_k} < 1, \\ 0 & , \text{ if } s_{l_k} < 0.05 \end{cases}$$

$$s_{l_k} = \frac{1}{|z_{r_k}| \Delta t_{\text{El}}} \int_{t' - \Delta t_{\text{Phen}}}^{t'} \left(\sum_{j=j(z_{r_k})}^{N_G} \left\{ \frac{\max [0, \Psi_{g_j}(t') + \frac{1}{2} (z_{g_j} + z_{g_{j+1}}) - \Psi_{\text{Wp}}]}{\Psi_{\text{Ld}} - \Psi_{\text{Wp}}} \right\} \right) dt,$$

where z_{rk} is the rooting depth of cohort k (Supplement S16), Δt_{EI} is the time scale for changes in phenology (assumed 10 days), $j(z_{rk})$ is the soil layer containing the deepest roots of cohort k , Ψ_{gj} is the soil matric potential at soil layer j , Ψ_{Ld} is the soil matric potential below which plants start shedding leaves (assumed -1.2 MPa), Ψ_{wp} is the soil matric potential at the wilting point, and z_{gj} is the depth of soil layer j , ($z_{gN_G+1} = 0$). Leaf shedding occurs whenever soil is drier than the threshold defined by Ψ_{Ld} and drought conditions are deteriorating:

$$\omega_{l_k} = \frac{1}{\Delta t_{Phen}} \max \left[0, \frac{C_{l_k}}{C_{l_k}^*} - f_{EI} \right].$$

In addition to the cold deciduous and drought deciduous strategies, leaf phenology of tropical trees can also be represented by an empirical model that is driven by the seasonality of light availability (Kim et al., 2012); this approach, however, was not used in the model evaluation because the empirical model requires site specific parameters to describe the seasonality of leaf flushing and leaf shedding, and this approach has been tested in only one site so far.

The phenological strategy of the plant functional types, can be evergreen, drought-deciduous, or cold-deciduous. The plant's phenology strategy is defined by two functions: (i) the leaf elongation factor (\hat{l}_k), defined as the ratio between the environmentally-constrained leaf biomass and the potential (maximum) leaf biomass, and the rate of leaf shedding ($\omega_{l_k}(t)$) which either be prognosed, or prescribed from observations.

S3.1.1 Evergreen plants

For evergreen PFTs, the elongation factor is always 1, the rate of leaf shedding ($\omega_{l_k}(t)$) is zero, and their rate of leaf turnover is governed by the PFT-dependent leaf turnover parameter (τ_{l_k} , see Eq. S12, and Tables S5-S6). The leaf phenology of tropical trees can also be represented by an empirical model that is driven by the seasonality of light availability (see Kim et al., 2012).

S3.1.2 Drought-deciduous tropical phenology

The drought-deciduous phenology assumes that leaf flushing and leaf senescence are controlled by the water availability in the rooting zone. The elongation factor \hat{l}_k/\hat{e}_{l_k} is determined by the following parameterization:

$$\hat{e}_{l_k} = \begin{cases} 1 & , \text{ if } s_{l_k} \geq 1 \\ s_{l_k} & , \text{ if } 0.05 \leq s_{l_k} < 1 , \\ 0 & , \text{ if } s_{l_k} < 0.05 \end{cases} \quad (S4)$$

$$s_{l_k} = \frac{1}{|z_{r_k}| \Delta t_{\text{El}}} \int_{t' - \Delta t_{\text{El}}}^{t'} \left(\sum_{j=j(z_{r_k})}^{N_G} \left\{ \frac{\max [0, \Psi_{g_j}(t') + \frac{1}{2} (z_{g_j} + z_{g_{j+1}}) - \Psi_{\text{WP}}]}{\Psi_{\text{Ld}} - \Psi_{\text{WP}}} \right\} \right) dt, \quad (\text{S5})$$

where s_{l_k} is a 10-day running average of soil moisture accessed by cohort k (normalized by the difference between the water potential threshold and the wilting point), z_{r_k} is the rooting depth of cohort k (Supplement [S18](#)), Δt_{El} is the time scale for changes in phenology (assumed to be 10 days), $j(z_{r_k})$ is the soil layer containing the deepest roots of cohort k , Ψ_{g_j} is the soil matric potential at soil layer j , Ψ_{Ld} is the soil matric potential below which plants start shedding leaves (assumed -1.2 MPa), Ψ_{WP} is the soil matric potential at the wilting point, and z_{g_j} is the depth of soil layer j , ($z_{g_{N_G+1}} \equiv 0$). Leaf shedding occurs whenever soil is drier than the threshold defined by Ψ_{Ld} and drought conditions are increasing. Specifically:

$$\omega_{l_k} = \frac{1}{\Delta t_{\text{Phen}}} \max \left[0, \frac{C_{l_k}}{C_{l_k}^*} - f_{\text{El}} \right]. \quad (\text{S6})$$

S3.1.3 Cold-deciduous phenology

The prognostic cold-deciduous leaf phenology approach is a thermal sum and chilling sum-based model identical to that of [Albani et al. \(2006\)](#), which, in turn, is based on [Botta et al. \(2000\)](#). At each patch, growing degree-days (GDD) are accumulated during the extended growing season (\mathfrak{T}_{GS} , January–August for the Northern Hemisphere, and July–February for the Southern Hemisphere), and the chilling days (CHD) in the extended senescing season (\mathfrak{T}_{SS} , November–June for the Northern Hemisphere, and May–December for the Southern Hemisphere):

$$\text{GDD}(t) = \sum_{t'=t_{\text{GS}}(0)}^t \max(0, \bar{T}_c(t') - T_{\text{Phen}}), \quad (\text{S7})$$

$$\text{CHD}(t) = \begin{cases} 0 & , \text{ if } \bar{T}_c(t) \geq T_{\text{Phen}}, \text{ or } t \notin \mathfrak{T}_{\text{SS}} \\ \text{CHD}(t - \Delta t_{\text{Phen}}) + 1 & , \text{ otherwise} \end{cases}, \quad (\text{S8})$$

where \bar{T}_c is the daily average canopy air space temperature, $\Delta t_{\text{Phen}} = 1$ day is the phenology time step (Table [2](#)), $t_{\text{GS}}(0)$ is the beginning of the growing season, and $T_{\text{Phen}} = 278.15$ K (5°C) is the leaf phenology threshold ([Albani et al., 2006](#)). The valued elongation factor \hat{e}_{l_k} is then determined by the following series of conditions:

$$\hat{e}_{l_k}(t) = \begin{cases} 0 & , \text{ if } \bar{T}_s(t) < 275.15 \text{ K} \\ 0 & , \text{ if } \bar{T}_s(t) < 284.30 \text{ K and } t_{\odot} < 655 \text{ min} \\ 1 & , \text{ if } \text{GDD} \geq -68.0 + 638.0 \exp[-0.01 \text{CHD}(t)] \\ \hat{e}_{l_k}(t - \Delta t_{\text{Phen}}) & , \text{ otherwise} \end{cases} \quad (\text{S9})$$

where t_{\odot} is the daytime duration.

If desired, cold-deciduous phenology can be prescribed rather than prognosed, as described in Medvigy et al. (2009) and Viskari et al. (2015). The timing of leaf onset and leaf senescence are empirically determined from either field observations or from remote sensing (e.g. Zhang et al., 2003) by fitting the following curves, which are then used to determine \hat{e}_{l_k} in the model:

$$\hat{e}_{l_k} = \begin{cases} \frac{1}{1 + (y_0 t)^{y_1}} & , \text{ if } t \in \mathfrak{T}_{\text{GS}} \\ \frac{1}{1 + (y_2 t)^{y_3}} & , \text{ if } t \in \mathfrak{T}_{\text{SS}} \end{cases} \quad (\text{S10})$$

where y_0 , y_1 , y_2 , and y_3 are empirical parameters, determined from data prior to running the ED-2.2 model and provided to the model as inputs; t is the time, provided as day of year (i.e. 1 for January 1st, 365 for December 31 in non-leap years, and 366 for December 31 in leap years); \mathfrak{T}_{GS} is the extended growing season (e.g. January–July for the Northern Hemisphere, July–January for the Southern Hemisphere); and \mathfrak{T}_{SS} is the senescing season (e.g. August–December for the Northern Hemisphere, February–June for the Southern Hemisphere).

S3.2 Carbon allocation to living tissues and non-structural carbon

The accumulated carbon balance (C_{Δ_k} , Eq. 25) over the phenology time step Δt_{Phen} is used to update the non-structural carbon storage (C_{n_k}) as well as the changes in carbon stocks of living tissues (leaves: C_{l_k} ; fine roots C_{r_k} and sapwood C_{σ_k}) due to carbon allocation, turnover losses, and phenology. Changes in living tissues and non-structural carbon are interdependent and described by the following system of equations (see also Medvigy et al., 2009; Kim et al., 2012):

$$\frac{dC_{n_k}}{dt} = \frac{1}{\Delta t_{\text{Phen}}} \left[\int_{t-\Delta t_{\text{Phen}}}^t \frac{dC_{\Delta_k}}{dt} dt' \right] + (f_{\text{LD}} \omega_{l_k} - \gamma_k) C_{l_k} - \gamma_{r_k} C_{r_k} - \gamma_{\sigma_k} C_{\sigma_k} - \tau_{n_k} C_{n_k}, \quad (\text{S11})$$

$$\frac{dC_{l_k}}{dt} = (\gamma_k - \tau_{l_k} - \omega_{l_k}) C_{l_k}, \quad (\text{S12})$$

$$\frac{dC_{r_k}}{dt} = (\gamma_{r_k} - \tau_{r_k}) C_{r_k}, \quad (\text{S13})$$

$$\frac{dC_{\sigma_k}}{dt} = \gamma_{\sigma_k} C_{\sigma_k}, \quad (\text{S14})$$

where $\ell_k/\hat{\ell}_{l_k}$ is the elongation factor (Supplement S3.1); f_{LD} is the fraction of carbon retained from active leaf drop as storage, currently assumed to be 0.5; $(\gamma_k; \gamma_{r_k}; \gamma_{\sigma_k})$ are the growth rates of leaves, fine roots, and sapwood, respectively; $(\tau_{l_k}; \tau_{r_k}; \tau_{n_k})$ are the background turnover rates of leaves, fine roots, and non-structural carbon, and are typically assumed constant (Table S5, S6; but see Kim et al., 2012); and ω_{l_k} is the phenology-driven leaf shedding rate (Supplement S3.1).

The allocation to living tissues depends on whether the plant carbon balance and environmental conditions are favorable for growing, and it is proportional to the amount of carbon needed by each pool to reach the expected carbon stock given size and environmental constraints (Supplement S18). First, let $(C_{l_k}^{\odot}; C_{r_k}^{\odot}; C_{\sigma_k}^{\odot})$ be the biomass increment needed to bring leaves, fine roots, and sapwood, respectively to the expected carbon stock given the plant size and PFT $(C_{l_k}^{\bullet}; C_{r_k}^{\bullet}; C_{\sigma_k}^{\bullet})$:

$$C_{l_k}^{\odot} = \max \left[0, \ell_k/\hat{\ell}_{l_k} C_{l_k}^{\bullet} - C_{l_k} (1 - \tau_{l_k} \Delta t_{\text{Phen}}) \right], \quad (\text{S15})$$

$$C_{r_k}^{\odot} = \max \left[0, C_{r_k}^{\bullet} - C_{r_k} (1 - \tau_{r_k} \Delta t_{\text{Phen}}) \right], \quad (\text{S16})$$

$$C_{\sigma_k}^{\odot} = \max \left[0, C_{\sigma_k}^{\bullet} - C_{\sigma_k} \right], \quad (\text{S17})$$

$$C_{\alpha_k}^{\odot} = C_{l_k}^{\odot} + C_{r_k}^{\odot} + C_{\sigma_k}^{\odot}, \quad (\text{S18})$$

where $C_{\alpha_k}^{\odot}$ is the biomass increment needed to bring all living tissues to expected biomass given size and PFT, and Δt_{Phen} is the phenology time step (Table 2). Growth rates of leaves (γ_k), fine roots (γ_{r_k}) and sapwood (γ_{σ_k}) are proportional to the amount needed by each tissue to be brought back to the expected biomass given size and PFT, but also constrained by the amount of non-structural carbon (C_{n_k}) available:

$$\gamma_k = \max \left\{ 0, \frac{1}{\Delta t_{\text{Phen}}} \frac{\ell_k/\hat{\ell}_{l_k} C_{l_k}^{\odot}}{C_{\alpha_k}^{\odot}} \min [C_{\alpha_k}, C_{n_k} (1 - \tau_{n_k}) + C_{\Delta_k}] \right\}, \quad (\text{S19})$$

$$\gamma_{r_k} = \max \left\{ 0, \frac{1}{\Delta t_{\text{Phen}}} \frac{C_{r_k}^{\odot}}{C_{\alpha_k}^{\odot}} \min [C_{\alpha_k}, C_{n_k} (1 - \tau_{n_k}) + C_{\Delta_k}] \right\}, \quad (\text{S20})$$

$$\gamma_{\sigma_k} = \max \left\{ 0, \frac{1}{\Delta t_{\text{Phen}}} \frac{C_{\sigma_k}^{\odot}}{C_{\alpha_k}^{\odot}} \min [C_{\alpha_k}, C_{n_k} (1 - \tau_{n_k}) + C_{\Delta_k}] \right\}. \quad (\text{S21})$$

When the cohorts are actively shedding leaves due to phenology, $(\gamma_k; \gamma_{r_k}; \gamma_{\sigma_k})$ are assumed to be zero. In case carbon balance is sufficiently negative to consume the entire non-structural carbon pool, carbon stocks of living tissues will be depleted and mortality rates will increase (Supplement S3.4).

S3.3 Carbon allocation to structural tissues and reproduction

Growth of structural (C_{h_k}) and reproductive (C_{ρ_k}) tissues are calculated at the cohort dynamics time step (Δt_{CD} , Table 2), after the biomass of living tissues and phenology have been updated:

$$C_{h_k}(t) = C_{h_k}(t - \Delta t_{CD}) + \gamma_{h_k} C_{n_k}(t) \Delta t_{CD}, \quad (S22)$$

$$C_{\rho_k}(t) = \rho_{t_k} C_{n_k}(t), \quad (S23)$$

$$\gamma_{h_k} = \frac{1}{\Delta t_{CD}} - \rho_{t_k} - \gamma_{n_k}, \quad (S24)$$

$$\rho_{t_k} = \frac{1}{\Delta t_{CD}} \begin{cases} 0.0 & , \text{ if } z_{t_k} < z_{t_k}^{\text{Repro}} \text{ or } \omega_{l_k} > 0 \\ f_{\rho} & , \text{ otherwise} \end{cases}, \quad (S25)$$

$$\gamma_{n_k} = \frac{1}{\Delta t_{CD}} \begin{cases} 1.0 & , \text{ if } \omega_{l_k} > 0 \\ f_n & , \text{ otherwise} \end{cases}, \quad (S26)$$

where z_{t_k} is the cohort height (Supplement S18); $z_{t_k}^{\text{Repro}}$ is the minimum height for reproduction, currently defined as the maximum height for grasses and 18 m for tropical trees (based on Wright et al., 2005); f_{ρ} is the fraction of carbon storage allocated for reproduction when trees are above minimum reproductive height, currently defined as 1.0 for grasses and 0.3 for tropical trees (Moorcroft et al., 2001); f_n is the fraction of carbon storage that is kept as storage, currently assumed to be 0 for grasses and 0.1 for tropical trees; and ω_{l_k} is the phenology-driven leaf shedding rate (Supplement S3.1). The total reproduction biomass C_{ρ_k} is transferred either to the patches' seed bank or to the soil carbon pools. The fraction that is transferred to the soil carbon pools is defined in terms of a mortality factor (m_{ρ_k}), by default equivalent to 95% in a month, which accounts for both the allocation to reproductive accessories (fruits, flowers, or cones), which are eventually lost, and the seedling mortality rate; the remainder ($1 - m_{\rho_k}$) is transferred to the seed bank. Carbon storage C_{n_k} is updated after carbon allocation to structural carbon and reproduction.

S3.4 Mortality rates

Following Moorcroft et al. (2001) and Albani et al. (2006), the individual-based mortality rate (m_{t_k}) of any cohort k is the sum of four terms:

$$m_{t_k} = \underbrace{m_{t_k}^{\text{DI}}}_{\text{Aging (Density-Independent)}} + \underbrace{m_{t_k}^{\text{DD}}}_{\text{Carbon starvation (Density dependent)}} + \underbrace{m_{t_k}^{\text{CF}}}_{\text{Cold/Frost}} + \underbrace{m_{t_k}^{\text{FR}}}_{\text{Fire}}. \quad (S27)$$

As in Moorcroft et al. (2001), density-independent mortality is the component attributable to aging of the cohort, and it depends both on the typical tree fall disturbance rate λ_{TF} (Table S4) and the cohort wood density:

$$m_{t_k}^{DI} = \lambda_{TF} \left[\frac{\rho_{LTR}}{\rho_{t_k}} \right] + \frac{1}{\alpha}, \quad (S28)$$

$$(S29)$$

where ρ_{t_k} (g cm^{-3}) is the wood density of cohort k (Table S5), and ρ_{LTR} is the wood density for late-successional, tropical broadleaf trees (Table S5) where α is a PFT-specific term to account for the excess mortality in addition to the background mortality due to plant life span (Tables S5 S6). For tropical broadleaf trees, α is parameterized following Moorcroft et al. (2001):

$$\alpha = \frac{0.0933 \rho_{LTR}}{\lambda_{TF} (\rho_{LTR} - \rho_{t_k})}, \quad (S30)$$

where ρ_{t_k} (g cm^{-3}) is the wood density of tropical broadleaf cohort k (Table S5), and ρ_{LTR} is the wood density for late-successional, tropical broadleaf trees (Table S5).

Mortality due to cold or frost is also determined through a phenomenological parameterization that linearly increases mortality when the monthly mean canopy air space temperature \bar{T}_c falls below a temperature threshold (Albani et al., 2006):

$$m_{t_k}^{CF} = 3.0 \max \left[0, \min \left(1, 1 - \frac{\bar{T}_c - T_{Fk}}{5} \right) \right], \quad (S31)$$

where T_{Fk} is a cold temperature threshold that represents the plant hardiness to cold, currently assumed to be 275.65 K for all tropical plants.

Mortality due to fire in ED-2.2 follows the original implementation by Moorcroft et al. (2001), and assumes that while fire depends on local scale dryness, once it ignites, it can spread throughout the entire site. Unlike other mortality rates, here we take multiple patches into account (patches are denoted by subscript u). First, let λ_{u,u_0}^{FR} be the disturbance rate associated with fires affecting patch u (and creating patch u_0), defined as in Moorcroft et al. (2001):

$$\lambda_{u,u_0}^{FR} = \mathcal{I} \sum_{u=1}^{N_P} \sum_{k=1}^{N_{T_u}} \{ [C_{ul_k} + f_{AG_{uk}} (C_{u\sigma_k} + C_{uh_k})] \mathcal{Y}_u \alpha_u \}, \quad (S32)$$

where N_P is the number of patches, N_{T_u} is the number of cohorts in patch u , \mathcal{Y}_u is the binary

ignition function, α_u is the relative area of patch u , and $\mathcal{I} = 0.5 \text{ yr}^{-1}$ $\mathcal{I} = 0.5 \text{ m}^2 \text{ kgC}^{-1} \text{ yr}^{-1}$ is a phenomenological parameter that controls fire intensity, and $f_{AG_{uk}}$ is the fraction of the tissue that is above ground (Table S5 Tables S5-S6).

The ignition switch is defined in terms of the dryness of the environment, following the original formulation by Moorcroft et al. (2001), which uses soil moisture to estimate dryness:

$$\mathcal{Y}_u = \begin{cases} 1 & , \text{ if } \left(\frac{1}{|z_{Fr}|} \int_{z_{Fr}}^0 \vartheta_g dz \right) < \vartheta_{Fr} \\ 0 & , \text{ otherwise} \end{cases}, \quad (\text{S33})$$

where z_{Fr} is the maximum soil depth to consider when assessing dryness and ϑ_{Fr} is the average soil moisture below which ignition occurs. Both z_{Fr} and ϑ_{Fr} are adjustable parameters; default values are $z_{Fr} = -0.50 \text{ m}$ and $\vartheta_{Fr} = \vartheta(\Psi_{Fr})$ ($\Psi_{Fr} = -1.4 \text{ MPa}$). Once the fire disturbance rate is determined, mortality rate can be determined from the definition of disturbance rate (c.f.

Comment:
Original equation was technically correct, but not intuitive.

Moorcroft et al., 2001):

$$m_{ut_k}^{FR} = \ln \left[\frac{1}{\hat{\sigma}_{ut_k}^{FR} + (1 - \hat{\sigma}_{ut_k}^{FR}) \exp(-\lambda_{u,u_0}^{FR} \Delta t_{PD})} \right], \quad (\text{S34})$$

where $\hat{\sigma}_{ut_k}^{FR}$ is the survivorship fraction of cohort t_k of patch u following fire disturbance; this value is currently assumed to be zero for all plants in ED-2.2.

Density-dependent mortality rate ($m_{t_k}^{DD}$) is called so because it describes the limitations of carbon uptake due to competition with other trees to access shared resources such as light and water. Similarly to Moorcroft et al. (2001), the density-dependent mortality rate is parameterized with a logistic function:

$$m_{t_k}^{DD}(t) = \frac{y_1}{1 + \exp \left[y_2 \left(\frac{\bar{C}_{\Delta_k}}{\bar{C}_{\Delta_k}^*} - y_3 \right) \right]}, \quad (\text{S35})$$

where $(y_1; y_2; y_3) = (5.0, 20.0, 0.2)$ are the default (but adjustable) parameters for tropical plants; \bar{C}_{Δ_k} is the average carbon balance of cohort k over a 12-month period ending at time t , and $\bar{C}_{\Delta_k}^*$ is the average carbon balance the cohort would attain if it had no light or water limitation. The current implementation includes only light and moisture, although the idea can be extended to any limiting resource.

S4 Input fluxes for soil carbon pools

Soil carbon is represented by three pools characterized by their typical decay rates: the fast soil carbon (subscript e_1), is comprised by metabolic litter (non-lignified leaf and fine-root litter); the intermediate soil carbon (subscript e_2) represents the decaying structural tissues and lignified materials, and the slow soil carbon (e_3) represents the dissolved soil organic matter. Changes in soil carbon content of the three pools are described by the following ordinary differential equations:

$$\frac{d\dot{C}_{e_1}}{dt} = \dot{C}_{t_k, e_1} + \dot{C}_{t_k, e_1}^\star - \dot{C}_{e_1, c} - \dot{C}_{e_1, e_3}, \quad (\text{S36})$$

$$\frac{d\dot{C}_{e_2}}{dt} = \dot{C}_{t_k, e_2} + \dot{C}_{t_k, e_2}^\star - \dot{C}_{e_2, c} - \dot{C}_{e_2, e_3}, \quad (\text{S37})$$

$$\frac{d\dot{C}_{e_3}}{dt} = \dot{C}_{e_1, e_3} + \dot{C}_{e_2, e_3} - \dot{C}_{e_3, c}, \quad (\text{S38})$$

where $(\dot{C}_{t_k, e_1}; \dot{C}_{t_k, e_2})$ are the influxes from cohorts to fast and structural soil carbon that are due to maintenance and shedding of living tissues; $(\dot{C}_{t_k, e_1}^\star; \dot{C}_{t_k, e_2}^\star)$ are the influxes from cohorts to fast and structural soil carbon that are due to mortality; $(\dot{C}_{e_1, c}; \dot{C}_{e_2, c}; \dot{C}_{e_3, c})$ are the effluxes from all soil carbon pools through heterotrophic respiration; and $(\dot{C}_{e_1, e_3}; \dot{C}_{e_2, e_3})$ are the decay fluxes that are transported from fast and structural carbon pools to the soil organic matter pool.

Heterotrophic respiration terms are discussed in Section 4.8. The transport terms between cohorts and the fast and the structural carbon pools are defined as:

$$\dot{C}_{t_k, e_1} = (1 - \mathcal{L}_{l_k}) [(1 - f_{LD}) \omega_{l_k} C_{l_k} + \tau_{l_k} C_{l_k} + \tau_{r_k} C_{r_k}], \quad (\text{S39})$$

$$\dot{C}_{t_k, e_2} = \mathcal{L}_{l_k} (f_{LD} \omega_{l_k} C_{l_k} + \tau_{l_k} C_{l_k} + \tau_{r_k} C_{r_k}), \quad (\text{S40})$$

$$\dot{C}_{t_k, e_1}^\star = m_{t_k} [(1 - \mathcal{L}_{l_k}) (C_{l_k} + C_{r_k}) + (1 - \mathcal{L}_{h_k}) (C_{\sigma_k} + C_{h_k}) + C_{n_k}] + m_{\varrho_k} C_{\varrho_k}, \quad (\text{S41})$$

$$\dot{C}_{t_k, e_2}^\star = m_{t_k} [\mathcal{L}_{l_k} (C_{l_k} + C_{r_k}) + \mathcal{L}_{h_k} (C_{\sigma_k} + C_{h_k})], \quad (\text{S42})$$

where $(\mathcal{L}_{l_k}; \mathcal{L}_{h_k})$ are the fraction of soft — leaves and fine roots — and woody — sapwood and hardwood — tissues that are lignified, and $(\tau_{l_k}; \tau_{r_k})$ are the leaf and fine root turnover rates (Table S5, Tables S5-S6); f_{LD} is the fraction of carbon reabsorbed by cohorts when shedding leaves (Table S4); ω_{l_k} is the phenology-driven leaf shedding rate; m_{t_k} is the mortality rate (Supplement S3.4); and m_{ϱ_k} is the rate of loss associated with reproduction (reproductive accessories and seedling mortality; Supplement S3.3).

The decay rates that are transported from fast and structural pools to dissolved soil carbon pools are also determined from the complementary fraction of decay functions, i.e. the fraction

of decay that is not lost through heterotrophic respiration (see Section 4.8):

$$\dot{C}_{e_j, e_3} = \frac{1 - f_{he_j}}{f_{he_j}} \dot{C}_{e_j, c}, \quad (\text{S43})$$

where the subscript e_j corresponds to either the fast (e_1) or the structural (e_2) soil carbon; f_{he_j} is the fraction of decay that is lost through respiration (Table S4); and $\dot{C}_{e_j, c}$ is the heterotrophic respiration flux from these soil carbon pools.

5 S5 Definition of enthalpy as a state function

Enthalpy is an extensive thermodynamic variable, therefore the total enthalpy of any thermodynamic system consisting of two or more materials is the sum of enthalpies of each material. Likewise, enthalpy must increase linearly with mass, therefore the total enthalpy of any material (H_x) is defined as $H_x = X \cdot h_x$, where X is the mass of this material and h_x is the specific enthalpy of this material.

For any material other than water (hereafter, dry material), h_d is defined as zero when the dry material temperature is 0 K; for water, the zero level is also at 0 K, with the additional condition that water is completely frozen. The specific enthalpy for dry material (h_d), ice (h_i), liquid water (h_ℓ) and water vapor (h_v) are defined as:

$$h_d(T) = \underbrace{q_d \cdot T}_{\text{Heating}} \quad (\text{S44})$$

$$h_i(T) = \underbrace{q_i \cdot T}_{\text{Heating ice}} \quad (\text{S45})$$

$$h_\ell(T) = \underbrace{h_i(T_{i\ell})}_{\text{Ice enthalpy at melting point}} + \underbrace{l_{i\ell}(T_{i\ell})}_{\text{Melting ice}} + \underbrace{q_\ell(T - T_{i\ell})}_{\text{Heating liquid}} \quad (\text{S46})$$

$$h_v(T) = \underbrace{h_\ell(T_{\ell v})}_{\text{Liquid enthalpy at vaporization point}} + \underbrace{l_{\ell v}(T_{\ell v})}_{\text{Vaporization}} + \underbrace{q_{pv}(T - T_{\ell v})}_{\text{Heating vapor}} \quad (\text{S47})$$

where q_d , q_i and q_ℓ are the specific heats for dry material, ice and liquid water, respectively; q_{pv} is the specific heat at constant pressure for water vapor; $T_{i\ell}$ and $T_{\ell v}$ are the temperatures where ice melted and liquid water vaporized; and $l_{i\ell}$ and $l_{\ell v}$ are the latent heat of melting and vaporization, respectively. Equation (S47) is still valid even when ice sublimates, because $l_{iv}(T) = l_{i\ell}(T) + l_{\ell v}(T)$ for any temperature T . By definition (e.g. Dufour and van Mieghem, 1975), the latent heat associated with phase change is the difference in enthalpy between the two phases at the

temperature in which the phase change happens, therefore, we can determine the dependency of latent heat on temperature:

$$\left(\frac{\partial l_{\ell v}}{\partial T}\right)_p = \left(\frac{\partial h_v}{\partial T}\right)_p - \left(\frac{\partial h_\ell}{\partial T}\right)_p = q_{pv} - q_\ell, \quad (\text{S48})$$

$$\left(\frac{\partial l_{i\ell}}{\partial T}\right)_p = \left(\frac{\partial h_\ell}{\partial T}\right)_p - \left(\frac{\partial h_i}{\partial T}\right)_p = q_\ell - q_i. \quad (\text{S49})$$

If we further assume that the transition between ice and liquid phases can only occur at the water triple point (T_3), and that the latent heat of fusion $l_{i\ell 3} \equiv l_{i\ell}(T_3)$ and vaporization $l_{\ell v 3} \equiv l_{\ell v}(T_3)$ are known (Table S3), we can combine Eq. (S44)-(S47) to obtain a generic state function for specific enthalpy h :

$$h = \frac{H}{D+W} = d q_d T + w [i q_i T + \ell q_\ell (T - T_{\ell 0}) + v q_{pv} (T - T_{v0})], \quad (\text{S50})$$

$$d = \frac{D}{D+W}, \quad (\text{S51})$$

$$w = \frac{W}{D+W}, \quad (\text{S52})$$

$$T_{\ell 0} = T_3 - \frac{q_i T_3 + l_{i\ell 3}}{q_\ell}, \quad (\text{S53})$$

$$T_{v0} = T_3 - \frac{q_i T_3 + l_{i\ell 3} + l_{\ell v 3}}{q_{pv}}, \quad (\text{S54})$$

where d and w are the specific mass of other materials and water, respectively, and i , ℓ , and v are fraction of ice, liquid water, and vapor, respectively. Importantly, (S50) does not contain any information about the temperature at which the phase changes had occurred, which is necessary because enthalpy must be a state function (i.e. path-independent).

Temperature T and phase fractions ($i; \ell; v$) of any thermodynamic system are diagnosed from enthalpy. In the case of canopy air space, i , and ℓ are all assumed to be zero, and thus $v = 1$. The canopy air space temperature T_c is obtained by inverting Eq. (S50) and using that $d = 1 - w$:

$$T_c = \frac{h_c + w q_{pv} T_{v0}}{(1-w) q_{pd} + w q_{pv}}. \quad (\text{S55})$$

For other thermodynamic systems, v is assumed to be zero. To obtain the temperature and the liquid fraction, we eliminate i from Eq. (S50) by using that $i = 1 - \ell$, and define two critical values of specific enthalpy: h_{i3} , the enthalpy when the water is at the triple point temperature (T_3) but entirely frozen, and $h_{\ell 3}$, when water is entirely in liquid phase and still at triple point

temperature:

$$h_{i3} = d q_d T_3 + w q_i T_3, \quad (\text{S56})$$

$$h_{\ell 3} = h_{i3} + w l_{i\ell 3} = d q_d T_3 + w q_\ell (T_3 - T_{\ell 0}). \quad (\text{S57})$$

Liquid water and ice can coexist when $T = T_3$, and this only occurs when $h_{i3} < h < h_{\ell 3}$. Therefore, we obtain T and ℓ by comparing the specific enthalpy with h_{i3} and $h_{\ell 3}$:

$$T = \begin{cases} \frac{h}{d q_d + w q_i} & , \text{ if } h < h_{i3} \\ T_3 & , \text{ if } h_{i3} \leq h \leq h_{\ell 3} , \\ \frac{h + w q_\ell T_{\ell 0}}{d q_d + w q_\ell} & , \text{ if } h > h_{\ell 3} \end{cases} \quad (\text{S58})$$

$$\ell = \begin{cases} 0 & , \text{ if } h < h_{i3} \\ \frac{h - h_{i3}}{l_{i\ell 3} w} & , \text{ if } h_{i3} \leq h \leq h_{\ell 3} . \\ 1 & , \text{ if } h > h_{\ell 3} \end{cases} \quad (\text{S59})$$

Comment:
Equation
S59 had
a typo
($l_{i\ell 3}$ was
incorrectly
placed in the
numerator).

5 S6 Specific heat capacity of the thermodynamic systems

From Eq. (S50), we must know the mass and specific heats of each material for each thermodynamic system. For water, specific heat depends on the phase: q_i (ice); q_ℓ (liquid); q_{pv} (vapor at constant pressure); values are shown in Table S3. The specific heats of dry materials are defined below.

S6.1 Soil

10 Soil water of layer j is normally expressed in terms of liquid-equivalent volumetric fraction (ϑ_{g_j}), thus the bulk density of water in the layer is simply $\mathcal{W}_{g_j} = \rho_\ell \vartheta_{g_j}$. Dry soil is a combination of sand, silt, clay, and air filling any pore space not filled by water, and its bulk density \mathcal{D}_{g_j} for each layer is based on Monteith and Unsworth (2008, Section 15.3):

$$\mathcal{D}_{g_j} = \left[\sum_{\kappa=0}^3 \rho_\kappa \mathcal{V}_{0\kappa}(z_{g_j}) \right], \quad (\text{S60})$$

$$\mathcal{V}_{0\kappa}(z_{g_j}) = \begin{cases} \vartheta_{\text{Po}} - \vartheta_{g_j} \approx \frac{\vartheta_{\text{Re}} + \vartheta_{\text{Po}}}{2} & \kappa = 0 \\ f_{\mathcal{V}_\kappa} (1 - \vartheta_{\text{Po}}) & \kappa \neq 0 \end{cases}, \quad (\text{S61})$$

where κ indices 0, 1, 2, 3 correspond to air, sand, silt, and clay, respectively; ρ_κ (Table S7) and

$\mathcal{V}_{0\kappa}$ (Table S8) are the specific gravity and the reference volumetric fraction of each component, and z_{gj} is the depth of soil layer j . The volumetric soil content depends on the following texture-dependent variables: $f_{\mathcal{V}\kappa}$, the soil texture-dependent, volumetric fraction of each soil component excluding water and air; ϑ_{Po} , the total porosity or maximum soil moisture and ϑ_{Re} is the residual water content, defined in Supplement S9. In reality, the volumetric fraction of air is not constant and depends on soil moisture; nevertheless, the total air mass is three orders of magnitude less than the solid materials, thus the contribution of varying air in the pore space to changes in specific heat is negligible. To reduce the maximum error associated with this assumption, we use the volumetric fraction corresponding to halfway between the minimum and maximum soil moisture.

Specific heat of dry soil of layer j (q_{dgj}) is also determined following Monteith and Unsworth (2008), as the weighted average of the specific heats of the four components (Table S7):

$$q_{dgj} = \frac{\sum_{\kappa=0}^3 (\rho_{\kappa} \mathcal{V}_{0\kappa} q_{\kappa})}{\sum_{\kappa=0}^3 (\rho_{\kappa} \mathcal{V}_{0\kappa})}. \quad (\text{S62})$$

S6.2 Vegetation

In ED-2.2, vegetation biomass of the different tissues is usually expressed in $\text{kg}_C \text{m}^{-2}$; for the energy budget, however, we must account for the total internal mass (kg m^{-2}) because internal energy is also stored in non-carbon material, including the interstitial and intracellular water of leaves and above ground wood. Internal water is considered a plant functional trait that remains constant throughout the simulations, although it can be different for different plant functional types. The extensive mass of the vegetation tissue (D_{t_k}) for any cohort k is given by:

$$D_{t_k} = D_{l_k} + D_{b_k}, \quad (\text{S63})$$

$$D_{l_k} = \frac{1}{\mathcal{B}_C} C_{l_k} (1 + \mathcal{B}_{WI}), \text{ and} \quad (\text{S64})$$

$$D_{b_k} = \frac{1}{\mathcal{B}_C} f_{AG} C_{b_k} (1 + \mathcal{B}_{Wb}), \text{ and} \quad (\text{S65})$$

where $\mathcal{B}_C = 2.0$ is the conversion from carbon to oven dry biomass, following Baccini et al. (2012); n_{t_k} is the demographic density of cohort k (plant m^{-2}); C_{l_k} and C_{b_k} are the carbon biomass of leaves and wood for each cohort ($\text{kg}_C \text{m}^{-2}$), respectively; D_{l_k} and D_{b_k} are the extensive internal

mass leaves and wood, respectively; f_{AG} is the fraction of woody biomass that is above ground (assumed 0.7 for all tree PFTs); and $\mathcal{B}_{Wl} = 0.7$ (Forest Products Laboratory, 2010) and $\mathcal{B}_{Wb} = 1.85$ (Kursar et al., 2009) are the water to oven-dry mass ratios for leaves and wood.

The vegetation specific heat excluding intercepted water (q_{dt_k}) is based on the Gu et al. (2007) parameterization and determined by the weighted average of leaves and wood specific heats, which in turn are weighted averages of the specific heat of the oven-dry materials and water:

$$q_{dt_k} = \frac{1}{D_{t_k}} \left[D_{l_k} \frac{q_l^{(OD)} + \mathcal{B}_{Wl} q_\ell}{1 + \mathcal{B}_{Wl}} + D_{b_k} \left(\frac{q_b^{(OD)} + \mathcal{B}_{Wb} q_\ell}{1 + \mathcal{B}_{Wb}} + \Delta q_b^{\text{Bond}} \right) \right] \quad (\text{S66})$$

where $q_l^{(OD)}$ and $q_b^{(OD)}$ are the specific heats of oven-dry leaves and wood, respectively. The default values are taken from Forest Products Laboratory (2010) and Jones (2014) and assumed the same for all PFTs (Table S5, Tables S5, S6); and Δq_b^{Bond} is a term included by Gu et al. (2007) and Forest Products Laboratory (2010) to represent the additional heat capacity associated with the bonding between wood and water (Table S5, Tables S5, S6). Although $q_b^{(OD)}$ and Δq_b^{Bond} are both functions of temperature in Gu et al. (2007), we further simplified them to constants in ED-2.2, using their original equations at 15 °C (Table S5, Tables S5, S6). In addition, using q_ℓ as the specific heat for water is equivalent to assuming that internal water does not freeze.

S6.3 Canopy air space

The specific heat at constant pressure of the canopy air space (q_{pc}) is determined similarly to the vegetation and soils, as the weighted average between dry air and water vapor:

$$q_{pc} = (1 - w_c) q_{pd} + w_c q_{pv}, \quad (\text{S67})$$

where q_{pd} and q_{pv} are the specific heats of dry air and water vapor at constant pressure (Table S3).

S7 Snowpack depth dynamics

In addition to enthalpy and total water, we must also track the changes in snowpack depth of each layer (Δz_{s_j}) and density (ρ_{s_j}) over time. The ordinary differential equation that governs changes in depth over time is defined as:

$$\frac{d\Delta z_{s_j}}{dt} = \begin{cases} \underbrace{\rho_{wa} \dot{W}_{a,s_j}}_{\substack{\text{Throughfall} \\ \text{precipitation} \\ (4.2)}} + \underbrace{\left(\sum_{k=1}^{N_T} \rho_{wt_k} \dot{W}_{t_k,s_j} \right)}_{\substack{\text{Canopy dripping} \\ \text{from cohorts} \\ (4.2)}} - \underbrace{\rho_{ws_j} \dot{W}_{s_j,o}}_{\substack{\text{Surface runoff} \\ (4.1)}} - \underbrace{\rho_{wx} \dot{W}_{s_j,c}}_{\substack{\text{Surface water} \\ \text{evaporation} \\ (4.5.2 \text{ and } 4.5.3)}} - \underbrace{\delta_{s_1 s_j} \rho_{ws_j} \dot{W}_{s_1, g_{N_G}}}_{\substack{\text{Surface water} \\ \text{percolation} \\ (4.1)}}, & \text{if } s_j = s_{N_S} \\ 0 & , \text{ otherwise} \end{cases} \quad (S68)$$

$$\rho_{ws_j} = \frac{W_{s_j}}{\Delta z_{s_j}} \quad (S69)$$

$$\rho_{wx} = \begin{cases} \rho_{ws_{N_S}} & , \text{ if } \dot{W}_{s_{N_S},c} \geq 0 \\ \rho_{wc} & , \text{ if } \dot{W}_{s_{N_S},c} < 0 \end{cases} \quad (S70)$$

where $\delta_{s_j s_{j'}}$ is the Kronecker delta for comparing two TSW layers s_j and $s_{j'}$ (1 if $s_j = s_{j'}$, 0 otherwise), ρ_{wa} is the precipitation density, ρ_{wt_k} is the canopy interception density, ρ_{wc} is the density of condensing water vapor. Precipitation density is defined based on Jin et al. (1999), but slightly modified to make it continuous:

$$\rho_{wa} = \frac{\rho_{ia} \rho_\ell}{\ell_a \rho_{ia} + (1 - \ell_a) \rho_\ell}, \quad (S71)$$

$$\rho_{ia} = \begin{cases} 169.16 & , \text{ if } T_a > 275.16 \text{ K} \\ 50. + 1.7 (T_a - 258.16)^{1.5} & , \text{ if } 258.16 \text{ K} < T_a \leq 275.66 \text{ K} , \\ 50. & , \text{ if } T_a \leq 258.16 \text{ K} \end{cases} \quad (S72)$$

5 where ρ_ℓ is the density of liquid water (Table S3). For the canopy dripping flux, water density is similar to Eq. (S71), except that we assume the density of frozen water to be the same as frost density (ρ_* , Table S3). A similar assumption is done for water condensing from canopy air space, with the additional assumption that the liquid fraction of condensation is the same as the liquid fraction of the top TSW layer:

$$\rho_{wt_k} = \frac{\rho_* \rho_\ell}{\ell_{t_k} \rho_* + (1 - \ell_{t_k}) \rho_\ell}, \quad (S73)$$

$$\rho_{wc} = \frac{\rho_* \rho_\ell}{\ell_{s_{N_S}} \rho_* + (1 - \ell_{s_{N_S}}) \rho_\ell}. \quad (S74)$$

10 The maximum allowed number of snow layers is determined by the user, but the actual number of snow layers is dynamically determined, following the same algorithm as Walko et al. (2000). Multiple layers only exist when ice is present, otherwise a single layer ($N_S = 1$) is

enforced. When ice is present, the model selects N_S to be the maximum number of layers that satisfies $W_{s_j} \geq 5 \text{ kg}_W \text{ m}^{-2}$ for all layers $s_j, j \in 1, 2, \dots, N_S$, to ensure numerical stability. The layer thickness distribution (Δz_{s_j}) for any given N_S is defined as:

$$\Delta z_{s_j} = z_s \frac{2^{\min(j-1, N_S-j)}}{2^{\lfloor \frac{N_S+1}{2} \rfloor} + 2^{\lfloor \frac{N_S}{2} \rfloor} - 2}, \quad (\text{S75})$$

$$z_s = \sum_{j=1}^{N_S} \Delta z_{s_j}, \quad (\text{S76})$$

where z_s is the total depth of the snow, and $\lfloor x \rfloor$ is the floor function (i.e. the nearest integer value to x that is not greater than x). The layer distribution described by Eq. (S75) ensures that the layers near the ground and near the canopy air space are thinner than the intermediate layers, to improve the representation of exchanges between the snowpack and the canopy air space, soils, and incoming irradiance (Walko et al., 2000).

S8 Canopy-Air-Space Pressure

Canopy-air-space pressure p_c is assumed to remain constant throughout the integration time step (Δt_{Thermo}). At the end of the time step, the air pressure above canopy p_a is updated using the meteorological forcing, at which time p_c and h_c are also updated. To determine p_c , we combine three assumptions:

1. Both canopy air space and the air above are a mix of two perfect gases, dry air and water vapor (Dufour and van Mieghem, 1975):

$$p = \rho \mathcal{R} \left[\frac{1}{\mathcal{M}_d} (1-w) + \frac{1}{\mathcal{M}_w} w \right] T = \rho \frac{\mathcal{R}}{\mathcal{M}_d} T_V, \quad (\text{S77})$$

$$T_V = T \left[1 - \left(1 - \frac{\mathcal{M}_d}{\mathcal{M}_w} w \right) \right], \quad (\text{S78})$$

where \mathcal{R} is the universal gas constant, and \mathcal{M}_d and \mathcal{M}_w are the molar masses of dry air and water (Table S3); and T_V is the virtual temperature, which is the temperature that pure dry air would be at if pressure and density were the same as the observed air:

2. p_c instantaneously changes when p_a is updated, and this update does not involve any exchange of mass or energy. This is equivalent to assuming that potential temperature of the canopy air space θ_c and air aloft θ_a do not change when pressure is updated, even

if enthalpy and temperature change. Potential temperature, approximated to the potential temperature of dry air, is defined as:

$$\theta = T \left(\frac{p_0}{p} \right)^{\frac{\mathcal{R}}{\mathcal{M}_d q_{pd}}}, \quad (\text{S79})$$

where p_0 is the reference pressure level and q_{pd} is the specific heat of dry air at constant pressure (Table S3).

- 5 3. The layer between canopy air space depth \bar{z}_c and reference height of the air aloft z_a is in hydrostatic equilibrium:

$$\frac{\partial p}{\partial z} = -\rho g, \quad (\text{S80})$$

where g is the gravity acceleration (Table S3).

Combining these three assumptions defining $\theta_v \equiv \theta(T_v)$ yields:

$$p_c = \left[p_a^{\frac{\mathcal{R}}{\mathcal{M}_d q_{pd}}} + \frac{G (z_a - \bar{z}_c)}{q_{pd} \bar{\theta}_v} p_0^{\frac{\mathcal{R}}{\mathcal{M}_d q_{pd}}} \right]^{\frac{\mathcal{M}_d q_{pd}}{\mathcal{R}}}, \quad (\text{S81})$$

where $\bar{\theta}_v$ is the virtual potential temperature averaged between z_a and \bar{z}_c . Once pressure is
 10 updated at the biophysics time step, temperature and enthalpy are also updated using Eq. (S79)
 and Eq. (S50), respectively. Because canopy air pressure is known at all times, canopy air density
 ρ_c can be determined diagnostically using Eq. (S77).

S9 Soil thermal and hydraulic properties

Most of the soil hydraulic properties in ED-2.2 are derived from LEAF-3 (Walko et al., 2000) and
 15 use the soil classification based on the United States Department of Agriculture (e.g. Cosby et al.,
 1984). Soils in tropical forests often fall under the *Clay* class of the USDA classification, even
 though their sand, silt, and clay fractions often vary significantly from the average values of this
 class. To avoid large deviations from observations, we further split the original *Clay* class into
 four categories, named as *Clayey sand*, *Clayey silt*, *Clay*, and *Heavy Clay*, as shown in Fig. S6;
 20 the default fractions of each component for the default soil texture types in ED-2.2 are listed in

Table S8. In addition to the standard classes, the model can derive site-specific properties based on the actual clay, silt, and sand fractions, which can be provided directly by the user.

The main hydraulic properties follow the parameterization by Cosby et al. (1984), shown here for reference:

$$\vartheta_{Po} = 0.0505 - 0.0142 f_{\nu_{Sand}} - 0.0037 f_{\nu_{Clay}}, \quad (S82)$$

$$\Psi_{Po} = -0.01 \cdot 10^{2.17-1.58 f_{\nu_{Sand}}-0.63 f_{\nu_{Clay}}}, \quad (S83)$$

$$b = 3.10 - 0.3 \cdot f_{\nu_{Sand}} + 15.7 \cdot f_{\nu_{Clay}}, \quad (S84)$$

$$\Upsilon_{\Psi_{Po}} = 6.817 \times 10^{-6} \cdot 10^{-0.60+1.26 f_{\nu_{Sand}}-0.64 f_{\nu_{Clay}}}, \quad (S85)$$

where $f_{\nu_{Sand}}$ and $f_{\nu_{Clay}}$ are the volumetric fraction of sand and clay, respectively; ϑ_{Po} ($m^3_W m^{-3}$) is the volumetric soil porosity (maximum soil moisture possible), Ψ_{Po} (m) is the soil matric potential at porosity, b is the slope of the logarithmic water retention curve (Clapp and Hornberger, 1978), and $\Upsilon_{\Psi}^{(Po)}$ ($kg_W m^{-2} s^{-1}$) is the soil hydraulic conductivity at bubbling pressure, assumed to occur when soil moisture $\vartheta = \vartheta_{Po}$.

The equation that describes soil matric potential as a function of soil moisture is taken from Clapp and Hornberger (1978); soil hydraulic conductivity is defined after Brooks and Corey (1964), with an additional correction term applied to hydraulic conductivity to reduce conductivity in case the soil is partially or completely frozen:

$$\Psi = \Psi_{Po} \left(\frac{\vartheta_{Po}}{\vartheta} \right)^b, \quad (S86)$$

$$\Upsilon_{\Psi} = \left[10^{-7(1-\ell)} \right] \Upsilon_{\Psi_{Po}} \left(\frac{\vartheta}{\vartheta_{Po}} \right)^{2b+3}, \quad (S87)$$

where Ψ_{Po} and $\Upsilon_{\Psi_{Po}}$ are the soil-texture dependent, matric potential and hydraulic conductivity at bubbling pressure, assumed to be the same as porosity (ϑ_{Po}); and ℓ is the fraction of liquid water of soil moisture.

Additional reference points are determined using the above equations combined with Eq. (S86) and (S87). The permanent wilting point ϑ_{Wp} and residual soil moisture ϑ_{Re} are defined as the soil moisture when soil matric potential is equivalent to -1.5 and -3.1 MPa, respectively:

$$\vartheta_{Wp} = \vartheta_{Po} \cdot \left(-\frac{g \rho_{\ell} \Psi_{Po}}{1.5 \cdot 10^6} \right)^{\frac{1}{b}}, \quad (S88)$$

$$\vartheta_{Re} = \vartheta_{Po} \cdot \left(-\frac{g \rho_{\ell} \Psi_{Po}}{3.1 \cdot 10^6} \right)^{\frac{1}{b}}, \quad (S89)$$

where g is the gravity acceleration and ρ_ℓ is the density of liquid water (Table S3). The field capacity ϑ_{Fc} is defined as the soil moisture at which the soil hydraulic conductivity is $0.1 \text{ kg}_w \text{ m}^{-2} \text{ day}^{-1}$.

Field capacity (ϑ_{Fc}) is often defined from soil matric potential (e.g. Hodnett and Tomasella, 2002; Saxton and Rawls, 2006). However, this definition is based on field measurements and the definition of ϑ_{Fc} from soil matric potential can substantially across studies, with values ranging from -0.1 kPa to -0.5 kPa (Romano and Santini, 2002). In ED-2.2, we follow Romano and Santini (2002) and define field capacity in terms of hydraulic conductivity, and assume that the drainage flux of water becomes negligible at hydraulic conductivity of $0.1 \text{ kg}_w \text{ m}^{-2} \text{ day}^{-1}$:

$$\vartheta_{Fc} = \vartheta_{Po} \cdot \left(\frac{1.16 \cdot 10^{-9}}{\Upsilon_{\Psi_{Po}}} \right)^{\frac{1}{2b+3}}. \quad (\text{S90})$$

Soil thermal conductivity at soil layer j ($\Upsilon_{Q_{g_j}}$) is a function of the soil texture and soil moisture, and is determined using the *de Vries* weighted average of conductivities of each constituent of the soil (e.g. Parlange et al., 1998):

$$\Upsilon_{Q_{g_j}} = \frac{\sum_{\kappa=0}^3 \left[\left(\frac{3\Upsilon_{Q_\ell}}{2\Upsilon_{Q_\ell} + \Upsilon_{Q_\kappa}} \right) \nu_\kappa(z_{g_j}) \Upsilon_{Q_\kappa} \right] + \vartheta_{g_j} \Upsilon_{Q_\ell}}{\sum_{\kappa=0}^3 \left[\left(\frac{3\Upsilon_{Q_\ell}}{2\Upsilon_{Q_\ell} + \Upsilon_{Q_\kappa}} \right) \nu_\kappa(z_{g_j}) \right] + \vartheta_{g_j}}, \quad (\text{S91})$$

$$\nu_\kappa(z_{g_j}) = \begin{cases} \vartheta_{Po} - \vartheta_{g_j} & \kappa = 0 \\ \nu_\kappa^{\text{Dry}} (1 - \vartheta_{Po}) & \kappa \neq 0 \end{cases}, \quad (\text{S92})$$

where $\nu_\kappa(z_{g_j})$ is the volumetric fraction for soil components air, sand, silt, and clay ($\kappa = 0, 1, 2, 3$, respectively) at soil layer j ; Υ_{Q_κ} is the thermal conductivity for air, sand, silt, and clay (Table S7), respectively; Υ_{Q_ℓ} is the thermal conductivity of water (Table S3); ν_κ^{Dry} is the dry matter volumetric fraction; and ϑ_{Po} is the soil porosity. In Eq. (S91), the weights are the product between the volumetric fraction and a function that represents both the ratio of the thermal gradient of the soil constituents and the thermal gradient of water and the shape of each soil constituent (Camillo and Schmugge, 1981); in ED-2.2 we assume all particles to be spherical.

S10 Thermal and hydraulic properties of temporary surface water

The fraction of ground covered by the temporary surface water (f_{TSW}) is determined following Niu and Yang (2007), with the same coefficients used in the Community Land Model (NCAR-CLM Oleson et al., 2013):

$$f_{\text{TSW}} = \begin{cases} 0 & \text{if } N_S = 0 \\ \tanh \left[\frac{\sum_{j=1}^{N_S} z_{s_j}}{2.5 z_{0\emptyset}} \left(\frac{\bar{\rho}_s}{\rho_{\oplus}} \right)^{-1.0} \right] & \text{if } N_S > 0 \end{cases}, \quad (\text{S93})$$

$$\bar{\rho}_s = \frac{\sum_{j=1}^{N_S} W_{s_j}}{\sum_{j=1}^{N_S} z_{s_j}}, \quad (\text{S94})$$

where N_S is the number of temporary surface water layers, z_{s_j} (m) is the vertical position of the temporary surface water layer j ; W_{s_j} (kg m^{-2}) is the water mass of temporary surface water layer j , $z_{0\emptyset}$ is the bare soil roughness (Table S4); ρ_{\oplus} is the ~~fresh snow density~~reference density of fresh snow (Table S3).

The thermal conductivity of each temporary surface water layer ($\Upsilon_{Q_{s_j}}$) is a function of the layer temperature T_{s_j} ~~and bulk layer density~~and the bulk layer density ρ_{ws_j} (Eq. S69), and is found using the same parameterization as LEAF-2 (Walko et al., 2000):

$$\Upsilon_{Q_{s_j}} = y_0 \cdot \left[y_1 + y_2 \frac{W_{s_j}}{\Delta z_{s_j}} \rho_{ws_j} + y_3 \left(\frac{W_{s_j}}{\Delta z_{s_j}} \rho_{ws_j} \right)^2 + y_4 \left(\frac{W_{s_j}}{\Delta z_{s_j}} \rho_{ws_j} \right)^3 \right] \cdot \exp(y_5 T_{s_j}), \quad (\text{S95})$$

where $(y_0; y_1; y_2; y_3; y_4; y_5) = (1.093 \times 10^{-3}; 0.03; 3.03 \times 10^{-4}; -1.77 \times 10^{-7}; 2.25 \times 10^{-9}; 0.028)$ are empirical constants.

S11 Optical properties of vegetation, soil, and temporary surface water.

The inverse of the optical depth per unit of plant area index (μ) for a radiation beam coming from any given angle of incidence Z is determined from the same parameterization described by Sellers (1985) and Oleson et al. (2013):

$$\mu(Z, \chi_k) = \frac{\cos Z}{E(Z, \chi_k)}, \quad (\text{S96})$$

where $E(Z, \chi_k)$ is the average projection of all leaves and branches onto the horizontal, defined after Goudriaan (1977):

$$E(Z, \chi_k) = Y_{1_k} + Y_{2_k} \cos Z, \quad (\text{S97})$$

$$Y_{1_k} = 0.5 - 0.633 \chi_k - 0.33 \chi_k^2, \quad (\text{S98})$$

$$Y_{2_k} = 0.877 (1 - 2 Y_{1_k}), \quad (\text{S99})$$

where Z is 0 when the beam is coming from the zenith and π when coming from the nadir (Fig. 4 in the main text); and χ_k is the mean orientation of leaves and branches, a PFT-dependent parameter that ranges from -1 (vertical leaves) to +1 (horizontal leaves), with 0 corresponding to spherically distributed leaves (Table S5 Tables S5 S6). Equation (S97) is valid only when $-0.4 \leq \chi_k \leq 0.6$, which is the case for most plants in the wild (Goudriaan, 1977), and also all plant functional types in ED-2.2.

In the case of direct radiation, $\mu_k^\odot = \mu(Z^\odot, \chi_k)$, where Z^\odot is the solar zenith angle, whereas all angles between 0 and $\pi/2$ contribute equally to downward diffuse radiation. In the case of upward radiation, the actual angles are between $\pi/2$ and π ; in practice, the contribution of each angle is similar to the downward hemisphere except for the sign, hence the negative sign on the left-hand side of Eq. (47) in the main text. The contribution of all different zenith angles is represented by $\bar{\mu}_k$, which is the average across all possible angles (Sellers, 1985):

$$\bar{\mu}_k = \int_0^{\pi/2} \frac{\cos Z}{E(Z, \chi_k)} \sin Z dZ = \frac{1}{Y_{2_k}} \left[1 + \frac{Y_{1_k}}{Y_{2_k}} \ln \left(\frac{Y_{1_k}}{Y_{1_k} + Y_{2_k}} \right) \right]. \quad (\text{S100})$$

The scattering parameters ς_{mk} , β_{mk} and β_{mk}^\odot for each band m and cohort k are found using the same formulation as the Community Land Model (CLM, Oleson et al., 2013), which is mostly derived from Goudriaan (1977) and Sellers (1985). The scattering coefficient is defined as:

$$\varsigma_{mk} = \varsigma_{R_{mk}} + \varsigma_{T_{mk}}, \quad (\text{S101})$$

where $\varsigma_{R_{mk}}$ and $\varsigma_{T_{mk}}$ are the PFT- and spectral-band-dependent reflectance and transmittance, respectively (Table S5 Tables S5 S6). The cohort parameters are found by taking the weighted average of the PFT-dependent, leaf ($\varsigma_{R_{mk}}^{\text{Leaf}}$, $\varsigma_{T_{mk}}^{\text{Leaf}}$) and branchwood ($\varsigma_{R_{mk}}^{\text{Wood}}$, $\varsigma_{T_{mk}}^{\text{Wood}}$) properties, using $f_{\text{Clump}_k} \Lambda_k$ and Ω_k as weights, respectively.

Both the bulk diffuse backscattering β_{mk} and forwarding scattering $1 - \beta_{mk}$ contain contributions from reflectance and transmittance because leaves and branches are not perfectly horizontal; therefore the fraction depends on the mean leaf and branch inclination relative to the horizontal

plane (\mathcal{A}_k), which is related to the leaf orientation by the same approximation used by Oleson et al. (2013):

$$\beta_{mk} = \frac{1}{2\zeta_{mk}} [\zeta_{R_{mk}} + \zeta_{T_{mk}} + (\zeta_{R_{mk}} - \zeta_{T_{mk}}) \cos^2 \mathcal{A}_k], \quad (\text{S102})$$

$$\cos \mathcal{A}_k \approx \frac{1 + \chi_k}{2}. \quad (\text{S103})$$

For direct radiation, backscattering β_{mk}^\odot and single-scattering albedo ζ_{mk}^\odot are the same as Sellers (1985) and Oleson et al. (2013), and are determined by taking the limit $\zeta_{mk} \rightarrow 0$ of Eq. (46) and (47) in the main text, assuming isotropic scattering of leaves and branches, and the projected area from Eq. (S97):

$$\beta_{mk}^\odot = \frac{\bar{\mu}_k + \mu_k^\odot}{\bar{\mu}_k} \frac{\zeta_{mk}^\odot}{\zeta_{mk}}, \quad (\text{S104})$$

$$\begin{aligned} \frac{\zeta_{mk}^\odot}{\zeta_{mk}} &= \frac{1}{2} \int_0^{\pi/2} \frac{E(Z^\odot, \chi_k) \cos Z}{E(Z^\odot, \chi_k) \cos Z + E(Z, \chi_k) \cos Z^\odot} \sin Z dZ \\ &= \frac{1}{2(1 + Y_{2k} \mu_k^\odot)} \left\{ 1 - \frac{Y_{1k} \mu_k^\odot}{1 + Y_{2k} \mu_k^\odot} \ln \left[\frac{1 + (Y_{1k} + Y_{2k}) \mu_k^\odot}{Y_{1k} \mu_k^\odot} \right] \right\}. \end{aligned} \quad (\text{S105})$$

The effective ground scattering coefficient ζ_{m0} is the weighted average of the exposed soil scattering and the combined backscattering of temporary surface water and soil scattering of irradiance transmitted through the temporary surface water:

$$\zeta_{m0} = (1 - f_{\text{TSW}}) \zeta_{R_{mg}} + f_{\text{TSW}} \zeta_{R_{ms}} (1 + \zeta_{T_{ms}} \zeta_{R_{mg}}), \quad (\text{S106})$$

where f_{TSW} is the fraction of ground covered by temporary surface water, $\zeta_{R_{mg}}$ is the reflectance of the top soil layer; and $\zeta_{R_{ms}}$ and $\zeta_{T_{ms}}$ are the reflectance and transmittance of the temporary surface water, respectively. Soil reflectance is a function of the soil color and volumetric soil moisture at the topmost layer, determined from the same parameterization and soil color classes as in Oleson et al. (2013):

$$\zeta_{R_{mg}} = \min \left[\zeta_{R_m}^{\text{Po}} + 0.11 - 0.40 \vartheta_{g_{NG}}, \zeta_{R_m}^{\text{Re}} \right], \quad (\text{S107})$$

where $\zeta_{R_m}^{\text{Re}}$ and $\zeta_{R_m}^{\text{Po}}$ are the soil color-dependent reflectance for dry and saturated soils, respectively.

The temporary surface water reflectance $\zeta_{R_{ms}}$ depends on the liquid fraction, snow grain size and age, impurities, and the direction of incoming radiation, but here we simply assume a

linear interpolation of soil reflectance at saturation and pure snow reflectance ($\varsigma_{R_{ms}}^{\circledast}$; Table S4), assumed constant for each band:

$$\varsigma_{R_{ms}} = \varsigma_{R_{ms}}^{\circledast} + \ell_{s_{N_S}} (\varsigma_{R_m}^{\text{Po}} - \varsigma_{R_{ms}}^{\circledast}). \quad (\text{S108})$$

Following Versegny (1991) and Walko et al. (2000), the transmissivity of intercepted irradiance for PAR and NIR is solved following Beer's law, with a direction-independent extinction coefficient:

$$\varsigma_{T_{ms}} = \begin{cases} \exp\left(-\frac{\sum_{j=1}^{N_S} \Delta \bar{\epsilon}_{s_j}}{f_{\text{TSW}} \bar{\mu}_s}\right) & , \text{ if } m \in (1, 2) \\ 0 & , \text{ if } m = 3 \end{cases}, \quad (\text{S109})$$

where $\bar{\mu}_s = 0.05 \text{ m}$ is the inverse of the optical depth per unit of temporary surface water depth, defined here to be the same coefficient used by Versegny (1991) and Walko et al. (2000), and the additional f_{TSW}^{-1} term accounts for the clumping of the temporary surface water, when the water does not cover all ground. Temporary surface water is assumed to be opaque for the TIR band ($m = 3$), following Walko et al. (2000).

S12 Solving the two-stream linear system of canopy radiation in ED-2.2.

Because we assume that the optical properties are constant within each layer, it is possible to find an analytical solution for the full profile of direct and diffuse radiation. First, let $\dot{Q}_{mk}^{\circledast}$, $\dot{Q}_{mk}^{\downarrow}$, and \dot{Q}_{mk}^{\uparrow} be the solution for band m and interface k immediately beneath the cohort (i.e. at $\tilde{\Phi} = \tilde{\Phi}_k$), and $\dot{Q}_{0mk}^{\circledast}$, $\dot{Q}_{0mk}^{\downarrow}$, and \dot{Q}_{0mk}^{\uparrow} be the solution for band m and interface k immediately above the cohort (i.e. at $\tilde{\Phi} = 0$), as shown in Fig. 4. The direct radiation profile within each layer is simply given by:

$$\dot{Q}_{mk}^{\circledast} = \dot{Q}_{0mk}^{\circledast} \exp\left(-\frac{\tilde{\Phi}_k}{\mu_k^{\circledast}}\right), \quad (\text{S110})$$

$$\dot{Q}_{0mk}^{\circledast} = \dot{Q}_{m(k+1)}^{\circledast}, \quad (\text{S111})$$

$$\dot{Q}_{m(N_T+1)}^{\circledast} = \dot{Q}_{m(\infty,a)}^{\circledast}, \quad (\text{S112})$$

where $\dot{Q}_{m(\infty,a)}^{\circledast}$ is the above-canopy, incoming direct radiation for band m and serves as the top

boundary condition. Because the value at interface $N_T + 1$ is known, it is possible to determine all levels by integrating the layers from top to bottom.

For the diffuse components, an analytic solution can be found by defining two auxiliary variables $\dot{Q}_{mk}^+ \equiv \dot{Q}_{mk}^\downarrow + \dot{Q}_{mk}^\uparrow$ and $\dot{Q}_{mk}^- = \dot{Q}_{mk}^\downarrow - \dot{Q}_{mk}^\uparrow$. By subtracting (adding) Eq. (46) from (to) Eq. (47), and using Eq. (S110)-(S112) we obtain

$$\frac{d\dot{Q}_{mk}^+}{d\tilde{\Phi}} = -\frac{1 - (1 - 2\beta_{mk}) \varsigma_{mk}}{\bar{\mu}_k} \dot{Q}_{mk}^- + \frac{(1 - 2\beta_{mk}^\odot) \varsigma_{mk}}{\mu_{mk}^\odot} \dot{Q}_{m(k+1)}^\odot, \quad (\text{S113})$$

$$\frac{d\dot{Q}_{mk}^-}{d\tilde{\Phi}} = -\frac{1 - \varsigma_{mk}}{\bar{\mu}_k} \dot{Q}_{mk}^+ + \frac{\varsigma_{mk}}{\mu_k^\odot} \dot{Q}_{m(k+1)}^\odot + \frac{2(1 - \varsigma_{mk})}{\bar{\mu}_k} \dot{Q}_{mk}^\diamond. \quad (\text{S114})$$

By differentiating Eq. (S113) and Eq. (S114) and substituting the first derivatives by Eq. (S114) and Eq. (S113), we obtain two independent, second-order ordinary differential equations:

$$\frac{d^2\dot{Q}_{mk}^+}{d\tilde{\Phi}^2} = \varkappa_{mk}^2 \dot{Q}_{mk}^+ + \kappa_{mk}^+ \exp\left(-\frac{\tilde{\Phi}}{\mu_k^\odot}\right) - 2\varkappa_{mk}^2 \dot{Q}_{mk}^\diamond, \quad (\text{S115})$$

$$\frac{d^2\dot{Q}_{mk}^-}{d\tilde{\Phi}^2} = -\varkappa_{mk}^2 \dot{Q}_{mk}^- + \kappa_{mk}^- \exp\left(-\frac{\tilde{\Phi}}{\mu_k^\odot}\right), \quad (\text{S116})$$

where

$$\varkappa_{mk}^2 = \frac{[1 - (1 - 2\beta_{mk}) \varsigma_{mk}] (1 - \varsigma_{mk})}{\bar{\mu}_k^2}, \quad (\text{S117})$$

$$\kappa_{mk}^+ = -\left[\frac{1 - (1 - 2\beta_{mk}) \varsigma_{mk}}{\bar{\mu}_k} + \frac{1 - 2\beta_{mk}^\odot}{\mu_k^\odot}\right] \frac{\varsigma_{mk} \dot{Q}_{m(k+1)}^\odot}{\mu_k^\odot}, \quad (\text{S118})$$

$$\kappa_{mk}^- = -\left[\frac{(1 - \varsigma_{mk}) (1 - 2\beta_{mk}^\odot)}{\bar{\mu}_k} + \frac{1}{\mu_k^\odot}\right] \frac{\varsigma_{mk} \dot{Q}_{m(k+1)}^\odot}{\mu_k^\odot}. \quad (\text{S119})$$

The solution of Eq. (S115)-(S116) is the combination of the homogeneous and the particular solution, and can be determined analytically:

$$\dot{Q}_{mk}^+(\tilde{\Phi}) = x_{mk}^{+-} \exp(-\varkappa_{mk} \tilde{\Phi}) + x_{mk}^{++} \exp(+\varkappa_{mk} \tilde{\Phi}) + \frac{\kappa^+ \mu_k^{\odot 2}}{1 - \varkappa_{mk}^2 \mu_k^{\odot 2}} \exp\left(-\frac{\tilde{\Phi}}{\mu_k^\odot}\right) + 2\dot{Q}_{mk}^\diamond \quad (\text{S120})$$

$$\dot{Q}_{mk}^-(\tilde{\Phi}) = x_{mk}^{--} \exp(-\varkappa_{mk} \tilde{\Phi}) + x_{mk}^{-+} \exp(+\varkappa_{mk} \tilde{\Phi}) + \frac{\kappa^- \mu_k^{\odot 2}}{1 - \varkappa_{mk}^2 \mu_k^{\odot 2}} \exp\left(-\frac{\tilde{\Phi}}{\mu_k^\odot}\right) \quad (\text{S121})$$

where x_{mk}^{+-} , x_{mk}^{++} , x_{mk}^{--} , and x_{mk}^{-+} are coefficients to be determined. We can reduce the number of coefficients to two by differentiating Eq. (S120)-(S121) and comparing them to Eq. (S113)-(S114), and using the fact that they must be equal for any $\tilde{\Phi}$, μ_k^\odot , \varkappa_{mk} , and \dot{Q}_{mk}^\diamond . We call these parameters $x_{m(2k-1)}$ and $x_{m(2k)}$, $k \in \{1, 2, \dots, N_T\}$. By further recalling the definition of \dot{Q}_{mk}^+ and \dot{Q}_{mk}^- , we obtain the profile of downward and upward diffuse irradiances:

$$\dot{Q}_{mk}^\downarrow(\tilde{\Phi}) = x_{m(2k-1)} \mathcal{D}_{mk}^+ \exp(-\varkappa_{mk} \tilde{\Phi}) + x_{m(2k)} \mathcal{D}_{mk}^- \exp(+\varkappa_{mk} \tilde{\Phi}) + P_{mk}^+ \exp\left(-\frac{\tilde{\Phi}}{\mu_k^\odot}\right) + \dot{Q}_{mk}^\diamond, \quad (\text{S122})$$

$$\dot{Q}_{mk}^\uparrow(\tilde{\Phi}) = x_{m(2k-1)} \mathcal{D}_{mk}^- \exp(-\varkappa_{mk} \tilde{\Phi}) + x_{m(2k)} \mathcal{D}_{mk}^+ \exp(+\varkappa_{mk} \tilde{\Phi}) + P_{mk}^- \exp\left(-\frac{\tilde{\Phi}}{\mu_k^\odot}\right) + \dot{Q}_{mk}^\diamond, \quad (\text{S123})$$

where

$$\mathcal{D}_{mk}^\pm = \frac{1}{2} \left[1 \pm \sqrt{\frac{1 - \varsigma_{mk}}{1 - (1 - 2\beta_{mk}) \varsigma_{mk}}} \right], \quad (\text{S124})$$

$$P_{mk}^\pm = \frac{(\kappa_{mk}^+ \pm \kappa_{mk}^-) \mu_k^{\odot 2}}{2(1 - \varkappa_{mk}^2 \mu_k^{\odot 2})}. \quad (\text{S125})$$

To determine all vector elements $(x_{m(2k-1)}, x_{m(2k)}); k \in \{1, 2, \dots, N_T, N_T + 1\}$ we need three independent systems of $2N_T + 2$ equations (one system of equations for each spectral band). For $k \in \{1, 2, \dots, N_T\}$, the solution must meet the boundary conditions for all middle interfaces (Fig. 4), with one additional boundary condition for upward radiation coming out of the ground (Line 1), and another for incoming downward radiation from above the canopy (Line $2N_T + 2$):

$$\begin{aligned} \text{Line 1:} & \quad \dot{Q}_{m1}^\uparrow - \varsigma_{m0} \left(\dot{Q}_{mk}^\downarrow + \dot{Q}_{mk}^\odot \right) - (1 - \varsigma_{m0}) \dot{Q}_{m0}^\diamond = 0 \\ \text{Line } 2k: & \quad \dot{Q}_{0mk}^\downarrow - \dot{Q}_{m(k+1)}^\downarrow = 0, \quad k \in \{1, 2, \dots, K = N_T\} \\ \text{Line } 2k + 1: & \quad \dot{Q}_{0mk}^\uparrow - \dot{Q}_{m(k+1)}^\uparrow = 0, \quad k \in \{1, 2, \dots, K = N_T\} \\ \text{Line } 2N_T + 2: & \quad \dot{Q}_{0m(N_T+1)}^\downarrow - \dot{Q}_{m(\infty, a)}^\downarrow = 0 \end{aligned} \quad (\text{S126})$$

where ς_{i0} is the ground (soil and temporary surface water) scattering coefficient (Section S11), \dot{Q}_{m0}^\diamond is the ground black body emission, and $\dot{Q}_{m(\infty, a)}^\downarrow$ is the above-canopy, downward diffuse radiation for the band. For the top boundary condition, it is also assumed that $\tilde{\Phi}_{N_T+1} = 0$;

$\bar{\mu}_{N_T+1} = 1$; $\dot{Q}_{m(N_T+1)}^\diamond = 0$; $\varsigma_{m(N_T+1)} = 1$ (no absorption or emission); and $\beta_{m(N_T+1)} = \beta_{m(N_T+1)}^\odot = 0$ (all irradiance is transmitted). Because $\varsigma_{m(N_T+1)} = 1$ creates singularities for $\dot{D}_{m(N_T+1)}^\pm$, we use the limit $\varsigma_{m(N_T+1)} \rightarrow 0$, so that $\dot{D}_{m(N_T+1)}^+ = 1$ and $\dot{D}_{m(N_T+1)}^- = 0$. Substituting Eq. (S110)-(S112) and Eq. (S122)-(S123) into Eq. (S126) yields

$$\mathbf{S}_m \cdot \mathbf{x}_m = \mathbf{y}_m, \quad (\text{S127})$$

5 where $\mathbf{x}_m = (x_{m1}, x_{m2}, \dots, x_{m(2N_T+1)}, x_{m(2N_T+2)})$ are the constants from Eq. (S122) and Eq. (S123); \mathbf{S}_m is a $(2N_T + 2) \times (2N_T + 2)$ sparse matrix with following non-zero elements:

$$\begin{aligned} S_{m(1,1)} &= (\dot{D}_{m1}^- - \varsigma_{m0} \dot{D}_{m1}^+) \exp(-\varkappa_{m1} \tilde{\Phi}_1) \\ S_{m(1,2)} &= (\dot{D}_{m1}^+ - \varsigma_{m0} \dot{D}_{m1}^-) \exp(+\varkappa_{m1} \tilde{\Phi}_1) \\ S_{m(2k,2k-1)} &= \dot{D}_{mk}^+ & , k \in (1, 2, \dots, N_T + 1) \\ S_{m(2k,2k)} &= \dot{D}_{mk}^- & , k \in (1, 2, \dots, N_T + 1) \\ S_{m(2k,2k+1)} &= -\dot{D}_{m(k+1)}^+ \exp(-\varkappa_{m(k+1)} \tilde{\Phi}_{m(k+1)}) & , k \in (1, 2, \dots, N_T) \\ S_{m(2k,2k+2)} &= -\dot{D}_{m(k+1)}^- \exp(+\varkappa_{m(k+1)} \tilde{\Phi}_{m(k+1)}) & , k \in (1, 2, \dots, N_T) \\ S_{m(2k+1,2k-1)} &= \dot{D}_{mk}^- & , k \in (1, 2, \dots, N_T + 1) \\ S_{m(2k+1,2k)} &= \dot{D}_{mk}^+ & , k \in (1, 2, \dots, N_T + 1) \\ S_{m(2k+1,2k+1)} &= -\dot{D}_{m(k+1)}^- \exp(-\varkappa_{m(k+1)} \tilde{\Phi}_{m(k+1)}) & , k \in (1, 2, \dots, N_T) \\ S_{m(2k+2,2k+2)} &= -\dot{D}_{m(k+1)}^+ \exp(+\varkappa_{m(k+1)} \tilde{\Phi}_{m(k+1)}) & , k \in (1, 2, \dots, N_T) \end{aligned} \quad (\text{S128})$$

and $\mathbf{y}_m = (y_{m1}, y_{m2}, \dots, y_{m(2N_T+1)}, y_{m(2N_T+2)})$, where

$$\begin{aligned} y_{m1} &= \varsigma_{m0} \dot{Q}_{m1}^\odot + (1 - \varsigma_{m0}) \left(\dot{Q}_{m0}^\diamond - \dot{Q}_{m1}^\diamond \right) - (p_{m1}^- - \varsigma_{m0} p_{m1}^+) \exp\left(-\frac{\tilde{\Phi}_1}{\mu_1^\odot}\right) \\ y_{m(2k)} &= p_{m(k+1)}^+ \exp\left(-\frac{\tilde{\Phi}_{k+1}}{\mu_{k+1}^\odot}\right) - p_{mk}^+ + \dot{Q}_{m(k+1)}^\diamond - \dot{Q}_{mk}^\diamond & , k \in (1, 2, \dots, N_T) \\ y_{m(2k+1)} &= p_{m(k+1)}^- \exp\left(-\frac{\tilde{\Phi}_{k+1}}{\mu_{k+1}^\odot}\right) - p_{mk}^- + \dot{Q}_{m(k+1)}^\diamond - \dot{Q}_{mk}^\diamond & , k \in (1, 2, \dots, N_T) \\ y_{m(2N_T+2)} &= \dot{Q}_{m(\infty,a)}^\downarrow - p_{m(N_T+1)}^+ - \dot{Q}_{m(N_T+1)}^\diamond \end{aligned} \quad (\text{S129})$$

S13 Overview of the momentum transfer model

The momentum transfer model must first quantify two characteristic scales associated with the vertical structure of the vegetation, namely the displacement height (z_d) and the roughness length (z_0). The displacement height is defined according to Shaw and Pereira (1982) and represents

the effective height of the mean drag from all cohorts and soil surface. The roughness length is defined after Raupach (1994, 1995) and represents the limit above the displacement height below which the typical logarithmic-based, surface layer wind profile is no longer valid. When the patch contains cohorts, we determine z_d and z_0 by adapting the model proposed by Massman (1997). This model is convenient because it does not assume fixed vegetation structures, therefore it can be determined and updated based on the demography of each patch. In ED-2.2, we use the discrete form of the original formulation, assuming that cohorts are dispersed uniformly in their patch space, such that the leaf and branch area indices are homogeneous in the horizontal plane for any given patch. The canopy environment is split in a fixed vertical grid with N_C layers spanning from the ground to the maximum vegetation height.

In the original formulation by Massman (1997), the displacement height is normalized by the canopy height; in ED-2.2 we apply a correction to scale the height with the effective canopy depth (\bar{z}_c) while accounting for the contribution from all cohorts including the tallest cohort (z_{t_1}):

$$z_d = \bar{z}_c \left\{ 1 - \frac{1}{z_{t_1}} \sum_{j=1}^{N_C} \left[\exp \left(-2 \frac{\Xi_{N_C} - \Xi_j}{\xi_{\text{sfc}}} \right) \Delta z_{c_j} \right] \right\}, \quad (\text{S130})$$

$$z_0 = (\bar{z}_c - z_d) \exp \left(-\kappa \sqrt{\frac{2}{\xi_{\text{sfc}}}} + \tilde{\psi}_0 \right), \quad (\text{S131})$$

where κ is the von Kármán constant (Table S3); $\Delta z_{c_j} = z_{c_j} - z_{c_{j-1}}$ is the layer thickness ($z_{c_0} = 0$); ξ_{sfc} is the vegetated surface drag coefficient, which is related to the ratio of the wind speed at the top cohort and the surface (Albini, 1981); Ξ_j is the cumulative cohort drag area per unit of ground area at layer j ; and $\tilde{\psi}_0$ is the flux profile function of momentum at the roughness height (see Supplement S14.1), here approximated to 0.190 as in Raupach (1995).

Following Massman (1997), ξ_{sfc} , ξ_{c_j} and Ξ_{c_j} are defined as:

$$\xi_{\text{sfc}} = 2 \left[y_1 + y_2 \exp \left(y_3 \Xi_{c_{N_C}} \right) \right]^2, \quad (\text{S132})$$

$$\Xi_{c_j} = \sum_{j'=1}^j \frac{\xi_{c_{j'}} \phi_{c_{j'}}}{\mathcal{P}_{c_{j'}}} \Delta z_{c_{j'}}, \quad (\text{S133})$$

$$\phi_{c_j} = \sum_{k=1}^{N_T} \left(\begin{cases} 0 & , \text{ if } z_{t_k} < z_{c_{j-1}} \text{ or } z_{t_k}^- > z_{c_j} \\ \frac{\Phi_{t_k}}{\min(z_{c_j}, z_{t_k}) - \max(z_{t_k}^-, z_{c_{j-1}})} & , \text{ otherwise} \end{cases} \right), \quad (\text{S134})$$

where ξ_{c_j} is the leaf-level drag coefficient due to cohorts at layer j ; and $(y_1; y_2; y_3) = (0.320; 0.264; 15.1)$ are empirical constants (Massman, 1997). The sheltering factor for momentum (\mathcal{P}_j) accounts for

the effects of adjacent leaves interfering in the viscous flow of air. The plant (leaves and wood) area density function at layer j (ϕ_j) is calculated assuming that the leaf and branch-wood area indices of individual cohorts are evenly distributed between the height of the crown bottom $z_{t_k}^-$ and the cohort height z_{t_k} , as determined by the allometric equations (see Supplement S18).

Wohlfahrt and Cernusca (2002) pointed out that the drag coefficient ξ and the shelter factor \mathcal{P} are not completely separable, and provided a functional form of the combined ratio instead of describing ξ and \mathcal{P} independently. The function used in ED-2.2 is an adaptation of the original fit as a function of plant area density function (Wohlfahrt and Cernusca, 2002), using a logistic function to reduce the number of parameters (Fig. S7):

$$\frac{\xi_{c_j}}{\mathcal{P}_{c_j}} = y_4 + \frac{y_5}{1 + \exp(y_6 \phi_{c_j})}, \quad (\text{S135})$$

where $(y_4; y_5; y_6) = (0.086; 1.192; 0.480)$.

In case no above-ground vegetation exists (i.e. a patch with no cohorts), we assume that the roughness height $z_{0\emptyset}$ is the bare soil roughness z_{0g} plus any snow or water standing on top of the ground z_{0s} :

$$z_{0\emptyset} = z_{0g}(1 - f_{\text{TSW}}) + z_{0s}f_{\text{TSW}}; \quad (\text{S136})$$

the default values of z_{0g} and z_{0s} are available in Table S4.

S14 Derivation of conductances

S14.1 Canopy air space conductance

To obtain the conductance at the top of the canopy air space, we solve the surface layer model that is based on the Monin-Obukhov similarity theory (Monin and Obukhov, 1954; Foken, 2006). First, we define the momentum ($\dot{U}_{a,c}$) and buoyancy ($\dot{\Theta}_{a,c}$) fluxes between the free atmosphere and the canopy air space at the top of the canopy air space. Following (Monteith and Unsworth, 2008), these fluxes can be represented either by the gradient or the eddy flux form:

$$\dot{U}_{a,c} = \rho_c K_U \frac{\partial u}{\partial z} = \rho_c \overline{u'_z u'_x}, \quad (\text{S137})$$

$$\dot{\Theta}_{a,c} = -\rho_c K_\Theta q_{p_c} \frac{\partial \theta_\gamma}{\partial z} = -\rho_c q_{p_c} \overline{u'_z \theta'_\gamma}, \quad (\text{S138})$$

where K_U and K_Θ are the eddy diffusivities of momentum and buoyancy, respectively; u_x is the horizontal wind speed, u_z is the vertical velocity; θ_v is the virtual potential temperature; and q_{p_c} is the specific heat of the canopy air space (Supplement [S6.3](#)). The eddy diffusivities of enthalpy, moisture and CO_2 are assumed to be the same as the buoyancy, a common assumption based on observations (Stull, 1988).

The Monin-Obukhov similarity theory is based on the Buckingham's Π -theory (Stull, 1988), which requires as many fundamental scales as fundamental dimensions. The fundamental dimensions are the canopy air density (ρ_c) and three characteristic scales, namely the friction velocity (u^*), characteristic virtual temperature gradient (θ_v^*), and the diffusivity-corrected Obukhov length \mathcal{L} (Panofsky, 1963):

$$u^* = \sqrt{\frac{\dot{U}_{a,c}}{\rho}} = \sqrt{|u'_x u'_z|}, \quad (\text{S139})$$

$$\theta_v^* = -\frac{1}{\kappa u^*} \frac{\dot{\Theta}_{a,c}}{\rho q_{p_c}} = -\frac{\overline{u'_z \theta'_v}}{u^*}, \quad (\text{S140})$$

$$\mathcal{L} = \frac{1}{\text{Pr}} \frac{\dot{U}_{a,c}}{\dot{\Theta}_{a,c}} \frac{\theta_{v_0}}{g} \frac{u^*}{\kappa} \approx \frac{(\theta_{v_a} + \theta_{v_c}) u^{*2}}{2 \kappa g \theta_v^*}, \quad (\text{S141})$$

where κ is the von Kármán constant, g is the gravity acceleration, and $\text{Pr} \equiv K_U / K_\Theta$ is the turbulent Prandtl number (Table [S3-S4](#)). Another important dimensionless quantity is the bulk Richardson number Ri_B , defined as:

$$\text{Ri}_B = \frac{2g(z^* - z_0)(\theta_{v_a} - \theta_{v_c})}{(\theta_{v_a} + \theta_{v_c})u_a^2}, \quad (\text{S142})$$

where $z^* \equiv z_a - z_d$, z_a is the reference height, z_d is the displacement height, and z_0 is the roughness scale; both z_d and z_0 are determined by the momentum transfer model based on Massman (1997) (Supplement [S13](#)). The bulk Richardson number is informative on whether the layer between the canopy air space and the reference height z_a is unstable, neutral, or stable.

To determine the three remaining unknowns (u^* ; θ_v^* ; \mathcal{L}), we start from the general definition of dimensionless length scale ζ and two particular cases:

$$\zeta(z) = \frac{z - z_d}{\mathcal{L}}, \quad (\text{S143})$$

$$\zeta^* = \frac{z^*}{\mathcal{L}} = \zeta_0 + \kappa \text{Ri}_B \left(\frac{u_a}{u^*} \right)^2 \frac{\theta_v^*}{\theta_{v_a} - \theta_{v_c}}, \quad (\text{S144})$$

$$\zeta_0 = \frac{z_0}{\mathcal{L}} = \frac{z_0}{z^*} \zeta^*, \quad (\text{S145})$$

where $z^* = z_a - z_d$, where z_a (m) is the reference height above canopy, typically the height where the meteorological forcing measurements would be located in an eddy covariance tower; z_d is the displacement height (Eq. S130); z_0 (m) is the roughness length (Eq. S131); κ is the von Kármán constant (Table S3), Ri_B is the bulk Richardson number (Eq. S142); u_a is the wind speed at the reference height z_a ; and θ_{v_a} and θ_{v_c} are the virtual temperature at the reference height and the canopy air space, respectively.

By choosing an appropriate combination of factors, Monin and Obukhov (1954) have shown that the dimensionless gradients of wind and temperature (here based on virtual potential temperature and the accounting for the Prandtl number) can be written as a function of the characteristic scales and dimensionless stability functions for momentum (ϕ_U) and heat (ϕ_Θ), which can be thought as correction factors for the logarithmic wind profile under non-neutral conditions (Monteith and Unsworth, 2008):

$$\frac{\partial}{\partial \zeta} \left(\frac{u_x}{u^*} \right) = \frac{1}{\kappa \zeta} \phi_U(\zeta), \quad (S146)$$

$$\frac{\partial}{\partial \zeta} \left(\frac{\theta_v}{\theta_v^*} \right) = \frac{Pr}{\kappa \zeta} \phi_\Theta(\zeta). \quad (S147)$$

Following Panofsky (1963), if we define the flux profile functions for momentum (ψ_U) and heat (ψ_Θ):

$$\psi_U(\zeta) = \int_0^\zeta \frac{1 - \phi_U(\zeta')}{\zeta'} d\zeta', \quad (S148)$$

$$\psi_\Theta(\zeta) = \int_0^\zeta \frac{1 - \phi_\Theta(\zeta')}{\zeta'} d\zeta', \quad (S149)$$

and integrate Eq. (S146)-(S147) between ζ_0 , where wind is assumed to be zero, and any reference level ζ using the Leibniz integration rule, we obtain the horizontal wind and virtual potential temperature profile functions:

$$u_x(\zeta) = \frac{u^*}{\kappa} \left[\ln \left(\frac{\zeta}{\zeta_0} \right) - \psi_U(\zeta) + \psi_U(\zeta_0) \right], \quad (S150)$$

$$\theta_v(\zeta) = \theta_{v_c} + \frac{Pr \theta_v^*}{\kappa} \left[\ln \left(\frac{\zeta}{\zeta_0} \right) - \psi_\Theta(\zeta) + \psi_\Theta(\zeta_0) \right]. \quad (S151)$$

If we substitute Eq. (S150)-(S151) for the specific case when $\zeta = \zeta^*$ into Eq. (S144), we obtain an equation where the only unknown is ζ^* :

$$\zeta^* = \frac{\text{Ri}_B}{\text{Pr}} \left(\frac{z^*}{z^* - z_0} \right) \frac{\left[\ln \left(\frac{\zeta^*}{\zeta_0} \right) - \psi_U(\zeta^*) + \psi_U(\zeta_0) \right]^2}{\ln \left(\frac{\zeta^*}{\zeta_0} \right) - \psi_\Theta(\zeta^*) + \psi_\Theta(\zeta_0)}. \quad (\text{S152})$$

The flux profile functions used here are the same as described by Beljaars and Holtslag (1991). These functions are the Businger-Dyer flux profile equations for the unstable case (Businger et al. 1971), but they are modified for the stable case to avoid the underestimated flux between the canopy air space and the air above canopy under stable conditions. The ED-2.2 model uses the empirical parameterization of the originally developed by Beljaars and Holtslag (1991). For the unstable cases, Beljaars and Holtslag (1991) used the Businger-Dyer flux profile equations (Businger et al. 1971). For the stable cases, Beljaars and Holtslag (1991) implemented an empirical formulation that improved the vertical mixing between the canopy air space and the air above under stable conditions:

$$\psi_U(\zeta) = \begin{cases} 2 \ln \left[\frac{1+Y(\zeta)}{2} \right] + \ln \left[\frac{1+Y^2(\zeta)}{2} \right] - 2 \arctan[Y(\zeta)] + \frac{\pi}{2} & , \text{ if } \text{Ri}_B < 0 \\ y_1 \zeta + y_2 \left(\zeta - \frac{y_3}{y_4} \right) \exp(-y_4 \zeta) + \frac{y_2 y_3}{y_4} & , \text{ if } \text{Ri}_B \geq 0 \end{cases}, \quad (\text{S153})$$

$$\psi_\Theta(\zeta) = \begin{cases} 2 \ln \left[\frac{1+Y^2(\zeta)}{2} \right] & , \text{ if } \text{Ri}_B < 0 \\ 1 - \left(1 - \frac{y_1}{y_5} \zeta \right)^{y_5} + x_2 \left(\zeta - \frac{y_3}{y_4} \right) \exp(-y_4 \zeta) + \frac{y_2 y_3}{y_4} & , \text{ if } \text{Ri}_B \geq 0 \end{cases}, \quad (\text{S154})$$

$$Y(\zeta) = \sqrt[4]{1 - y_6 \zeta}, \quad (\text{S155})$$

where $\mathbf{y} = (-1; -\frac{2}{3}; 5; 0.35; \frac{3}{2}; 13)$ are empirical and adjustable parameters. Equation (S152) cannot be solved analytically, therefore ζ^* is calculated using a root-finding technique. Once ζ^* is determined, we can find u^* using Eq. (S150), and define the canopy conductance G_c (ms^{-1}) using Eq. (S151) as the starting point, similarly to Oleson et al. (2013):

$$G_c = \frac{u^* \theta_v^*}{\theta_{v_a} - \theta_{v_c}} = \frac{\kappa u^*}{\text{Pr} \left[\ln \left(\frac{\zeta^*}{\zeta_0} \right) - \psi_\Theta(\zeta^*) + \psi_\Theta(\zeta_0) \right]}. \quad (\text{S156})$$

S14.2 Derivation leaf and wood boundary layer conductances

Following Monteith and Unsworth (2008), convection can be of two types: forced convection, which depends on mechanic mixing associated with the fluid velocity; and free convection, which

is due to buoyancy of the boundary layer fluid. Although convection is often dominated by either forced or free convection, in ED-2.2 we always assume that the total conductance is a simple combination of forced and free convection conductances as if they were parallel:

$$G_{Q_{x_k}} = G_{Q_{x_k}}^{\text{Free}} + G_{Q_{x_k}}^{\text{Forced}}, \quad (\text{S157})$$

where x_k can be either the leaf (λ_k) or the branch wood (β_k) boundary layer. For each convective regime, we define the conductance in terms of the Nusselt number Nu, a dimensionless number that corresponds to the ratio between heat exchange through convection and conduction:

$$G_{Q_{x_k}} = \frac{\eta_c \text{Nu}}{x^*}. \quad (\text{S158})$$

where η_c is the thermal diffusivity of canopy air space and x^* is the characteristic size of the obstacle. For leaves, the characteristic size $x_{\lambda_k}^*$ is a PFT-dependent constant corresponding to the typical leaf width, whereas for branch wood the typical size $x_{\beta_k}^*$ is assumed to be the typical diameter of twigs (Table S5, Tables S5, S6).

Free convection is a result of the thermal gradient between the obstacle surface and the fluid, and this is normally expressed in terms of the Grashof number Gr, a dimensionless index that relates buoyancy and viscous forces. In ED-2.2 we use the same empirical functions as Monteith and Unsworth (2008), using flat plate geometry for leaves and horizontal cylinder geometry for branch wood:

$$\text{Nu}_{\lambda_k}^{(\text{Free})} = \max \left[\underbrace{0.50 \text{Gr}_{\lambda_k}^{\frac{1}{2}}}_{\text{Laminar}}, \underbrace{0.13 \text{Gr}_{\lambda_k}^{\frac{1}{3}}}_{\text{Turbulent}} \right], \quad (\text{S159})$$

$$\text{Nu}_{\beta_k}^{(\text{Free})} = \max \left[\underbrace{0.48 \text{Gr}_{\beta_k}^{\frac{1}{2}}}_{\text{Laminar}}, \underbrace{0.09 \text{Gr}_{\beta_k}^{\frac{1}{3}}}_{\text{Turbulent}} \right], \quad (\text{S160})$$

$$\text{Gr}_{x_k} = \frac{\epsilon_c g (x_{x_k}^*)^3}{\nu_c^2} |T_{x_k} - T_c|, \quad (\text{S161})$$

where ϵ_c is the thermal dilatation coefficient for the canopy air space and ν_c is the kinematic viscosity of the canopy air space; x_k represents either the leaf (λ_k) or wood (β_k) surface; and g is the gravity acceleration. Like in Monteith and Unsworth (2008), thermal diffusivity and dynamic viscosity (both in $\text{m}^2 \text{s}^{-1}$) are assumed to be linear functions of the canopy air space temperature:

$$\eta_c = 1.89 \cdot 10^{-5} [1 + 0.007 (T_c - T_0)], \quad (\text{S162})$$

$$\nu_c = 1.33 \cdot 10^{-5} [1 + 0.007 (T_c - T_0)], \quad (\text{S163})$$

where the first term on the right hand side are the reference values at temperature $T_0 = 273.15$ K. Under the assumption that canopy air space is a perfect gas, thermal dilatation is $\varepsilon_c = T_c^{-1}$ (Dufour and van Mieghem, 1975).

For forced convection the flow of air through the object at different temperature causes the heat exchange, therefore Nusselt number is written as a function of the Reynolds number Re , a dimensionless index that relates inertial and viscous forces. Like in the free convection case, we use the same empirical functions as Monteith and Unsworth (2008) and the same shapes as the free convection case:

$$\text{Nu}_{\lambda_k}^{(\text{Forced})} = \max \left[\underbrace{0.60 \text{Re}_{\lambda_k}^{0.5}}_{\text{Laminar}}, \underbrace{0.032 \text{Re}_{\lambda_k}^{0.8}}_{\text{Turbulent}} \right], \quad (\text{S164})$$

$$\text{Nu}_{\beta_k}^{(\text{Forced})} = \max \left[\underbrace{0.32 + 0.51 \text{Re}_{\beta_k}^{0.52}}_{\text{Laminar}}, \underbrace{0.24 \text{Re}_{\beta_k}^{0.60}}_{\text{Turbulent}} \right], \quad (\text{S165})$$

$$\text{Re}_{x_k} = \frac{u_{t_k} x_{x_k}^*}{\eta_c}, \quad (\text{S166})$$

where u_{t_k} is the wind speed experienced by the cohort k , and x_k represents either the leaf (λ_k) or wood (β_k) surface.

The wind profile within the canopy air space is determined in two steps. Above the tallest cohort, we assume that the wind can be determined from the similarity theory; from Eq. (S143) we define $\zeta_{c_j} = \zeta(z_{c_j})$, and use wind profile function from the similarity theory (Eq. S150) to determine the wind speed at the top of the vegetated layer $u_{c_{NC}} = u(\zeta_{c_{NC}})$. Within the canopy, we estimate the wind speed reduction using the wind profile as a function of cumulative drag (Ξ_j ; Albini, 1981; Massman, 1997); the wind speed experienced by the cohort is the average wind between the layers where the bottom (\hat{z}_{t_k}) and top (z_{t_k}) of the crown are located:

$$u_{c_j} = u_{c_{NC}} \exp \left(- \frac{\Xi_{c_{NC}} - \Xi_{c_j}}{\xi_{\text{sfc}}} \right) \quad (\text{S167})$$

$$u_{t_k} = \max \left[0.25 \text{ ms}^{-1}, \frac{u_{cNc}}{z_{c_j(k)} - z_{c_{\hat{j}(k)}}} \sum_{j'=\hat{j}(k)}^{j(k)} (u_{c_{j'}} \Delta z_{c_{j'}}) \right], \quad (\text{S168})$$

where $c_{\hat{j}(k)}$ and $c_j(k)$ are the canopy air space layers corresponding to the bottom and top of the cohort's crown. The minimum wind speed of 0.25 ms^{-1} is imposed to avoid conductance to become unrealistically low and to account for some mixing due to gusts when the mean wind is very weak. Once the heat conductance is determined, we use the same vapor to heat ratio as
 5 [Leuning et al. \(1995\)](#) to calculate the water vapor conductance:

$$G_{Wx_k} = 1.075 G_{Qx_k}, \quad (\text{S169})$$

where x_k represents either the leaf (λ_k) or wood (β_k) surface. Similarly, we define the CO_2 boundary layer conductance for leaves using the ratio of diffusivities and convection between water and CO_2 ($f_{G\lambda}$, Table [S4](#)), following [Cowan and Troughton \(1971\)](#):

$$\hat{G}_{W\lambda_k} = f_{G\lambda} \hat{G}_{C\lambda_k}. \quad (\text{S170})$$

S14.3 Derivation of surface conductance

10 The total resistance between the surface and the canopy air space is a combination of the air resistance if the surface were bare, and the resistance due to the presence of the vegetated canopy, assuming that these resistances are serial and thus additive (as mentioned by [Walko et al. 2000](#)); using that conductance is the inverse of resistance:

$$\frac{1}{G_{\text{Sfc}}} = \frac{1}{G_{\text{Bare}}} + \frac{1}{G_{\text{Veg}}}, \quad (\text{S171})$$

where G_{Sfc} is the total surface conductance, G_{Bare} is the bare-ground equivalent conductance,
 15 and G_{Veg} is the conductance associated with vegetation presence. The bare ground conductance G_{Bare} can be approximated to be G_c (Eq. [S156](#); see also [Sellers et al. 1996](#)). Two methods have been implemented conductance due to vegetation presence, one based on the Simple Biosphere Model (SiB-2, [Sellers et al. 1996](#)) ($G_{\text{Veg}}^{\text{SiB}}$), and one based on [Massman and Weil \(1999\)](#) ($G_{\text{Veg}}^{\text{MW99}}$), which incorporates the second-order closure method that accounts for the amount of shear in the
 20 sub-layer above the canopy and the geometric attributes that define the drag of air. Results in the main text used the SiB-2 based vegetation conductance.

S14.3.1 SiB-2 based vegetation conductance

In the SiB-2 based approach, we assume that the total resistance due to vegetation presence (inverse of conductance G_{Veg}) is equivalent to the total contribution of diffusivity from ground to the top of vegetated layer:

$$\frac{1}{G_{\text{Veg}}^{\text{SiB}}} = \int_{z_{0\varnothing}}^{z_{t_k}} \frac{1}{K_{\Theta}(z)} dz \approx \sum_{j=1}^{N_C} \frac{\text{Pr}}{K_{U_{c_j}}} \Delta z_{c_j}, \quad (\text{S172})$$

$$z_{0\varnothing} = z_{0s} f_{\text{TSW}} + z_{0g} (1 - f_{\text{TSW}}), \quad (\text{S173})$$

where $j = 1, 2, \dots, N_C$ are the discrete vertical layers used to describe the canopy air space, Δz_{c_j} is the thickness of canopy air space layer j , the index $z_{0\varnothing}$ is combined contribution to roughness from the temporary surface water (z_{0s}) and bare-ground (z_{0g}), f_{TSW} is the fraction of ground covered by temporary surface water, K_{Θ} is the eddy diffusivity for heat, $K_{U_{c_j}}$ is the eddy diffusivity for momentum of canopy air space layer j , $\text{Pr} = K_U K_{\Theta}^{-1}$ is the Prandtl number (Table S4; Businger et al., 1971). We further assume that $K_{U_{c_j}}$ is proportional to u_{c_j} , the horizontal wind speed at canopy air space layer j , and that Y_U is the scaling factor, i.e. $K_{U_{c_j}} \equiv Y_U u_{c_j}$ (Sellers et al., 1986), and that within the vegetated layer the winds are determined through Eq. (S167). Therefore, Eq. (S172) becomes

$$\frac{1}{G_{\text{Veg}}^{\text{SiB}}} = \sum_{j=1}^{N_C} \left[\frac{\text{Pr}}{Y_U u_{c_j}} \exp \left(\frac{\Xi_{c_{N_C}} - \Xi_{c_j}}{\xi_{\text{Sfc}}} \right) \right], \quad (\text{S174})$$

where ξ_{Sfc} is the drag coefficient of vegetated surfaces (Eq. S132) and Ξ_{c_j} is the cumulative cohort drag area per unit of ground area at layer j (Eq. S133). If we assume that Y_U is constant and the wind profile is continuous, and combine Eq. (S137), Eq. (S139), Eq. (S146), and Eq. (S148) at the dimensionless length scale $\zeta(z_{c_{N_C}}) = \zeta_{c_{N_C}}$ (Eq. S143), Y_U can be estimated as:

$$Y_U = \frac{\kappa u^*(z_{t_1} - z_d)}{u_{c_{N_C}}} \frac{1}{1 - \zeta_{c_{N_C}} \frac{\partial \psi_U}{\partial \zeta}(\zeta_{c_{N_C}})}. \quad (\text{S175})$$

S14.3.2 Second Order Closure of Turbulent Transport from the Surface to Canopy

The method of Massman and Weil (1999) is a second-order closure method that derives $G_{\text{Veg}}^{\text{MW99}}$ from the shear in the sub-layer above the canopy and the geometric attributes of the canopy that define the drag of fluid. Massman and Weil (1999) base their method on some key simplifications

to the the turbulent kinetic energy (TKE) budget equation: (1) no horizontal variability exists within any given patch (horizontal homogeneity); (2) the turbulent flow has proportional isotropy, i.e., the variance in each of the three wind directions is proportional to TKE.

$$\text{TKE} = \frac{1}{2} \left[\underbrace{\sigma_{u_x}^2 + \sigma_{u_y}^2}_{\sigma_{u_x}^2} + \sigma_{u_z}^2 \right], \quad (\text{S176})$$

$$\sigma_{u_x}^2 = \overline{u'_x u'_x}, \quad (\text{S177})$$

$$\sigma_{u_z}^2 = \overline{u'_z u'_z}, \quad (\text{S178})$$

where $u_x = \sqrt{u_x^2 + u_y^2}$ is the horizontal wind along the direction of the mean wind, and u'_γ is the departure from the mean wind in any of the wind directions. With the horizontal homogeneity and proportional isotropy assumptions, it is possible to derive an analytical solution to the TKE budget, and ultimately obtain an analytical solution for the vertical profile of standard deviation of wind speed (Eq. 10 of [Massman and Weil, 1999](#)):

$$\sigma_{u_k}(z_{c_j}) = \mathcal{S}_{u_k} y u^* \left\{ Y_1 \exp \left[-\frac{3 \left(\Xi_{c_{NC}} - \Xi_{c_j} \right)}{\xi_{\text{Sfc}}} \right] + Y_2 \exp \left[-\frac{\sqrt{3} y \left(\Xi_{c_{NC}} - \Xi_{c_j} \right)}{\beta} \right] \right\}^{\frac{1}{3}}, \quad (\text{S179})$$

$$y = (\mathcal{S}_x^2 + \mathcal{S}_y^2 + \mathcal{S}_z^2)^{-\frac{1}{2}}, \quad (\text{S180})$$

$$Y_1 = -\frac{3\beta (2\xi_{\text{Sfc}})^{\frac{1}{2}}}{3\beta^2 y - y^3 \xi_{\text{Sfc}}^2}, \quad (\text{S181})$$

$$Y_2 = \frac{1}{y^3} - Y_1, \quad (\text{S182})$$

where Ξ_c is the cumulative drag profile (Eq. [S133](#)); ξ_{Sfc} is the vegetated surface drag coefficient (Eq. [S132](#)); and $(\mathcal{S}_{u_x}; \mathcal{S}_{u_y}; \mathcal{S}_{u_z}) = (2.40; 1.90; 1.25)$ are adjustable parameters that represent the ratio between above-canopy velocity variance and the momentum flux, taken from [Raupach et al. \(1991\)](#) as in [Massman and Weil \(1999\)](#); and the u_k subscript represents one of the wind directions (u_x , u_y , or u_z). In addition, the empirical β represents a joint eddy mixing length scale for both shear- and wake-driven turbulence. A sensitivity study of β using the ED-2.2 model implementation found that this parameter should be between 0.01 and 0.03 ([Knox, 2012](#)) to ensure that the turbulence intensity ($l_U = \sigma_{u_z}/u_x$) is stable over the canopy depth as it approaches the soil surface. These values of β are also similar to the value of 0.05 found by [Massman and Weil \(1999\)](#). Depending on the the magnitude of ξ_{Sfc} and the choice of β , it is possible

that Eq. (S179) yields negative (non-physical) values of σ_{u_k} ; to avoid unrealistic solutions, β is dynamically set in ED-2.2. The model assigns an initial guess of $\beta = 0.03$ and, in case the solution is non-physical, it iteratively reduces the parameter until σ_{u_k} becomes positive.

Similar to the heat conductance between leaves, branches and the canopy air space (Section S14.2), the conductance between ground and canopy air space is related to the Nusselt number (Nu), following Eq. (S158). To account for the effects of both free (buoyant) convection and forced (mechanic) convection, the Nusselt number is parameterized as a function of the Reynolds (Re) and the Prandtl (Pr) numbers, with an additional modification to account for turbulence intensity (l_U) (Sauer and Norman, 1995; Massman and Weil, 1999). To ensure that the conductance encompasses the entire canopy air space, we use the average turbulence intensity ($\overline{l_U}$) between the soil surface and the canopy air space depth (z_c):

$$\overline{l_U} = \frac{1}{z_c} \sum_{j=1}^{N_c} \frac{\sigma_{u_z}(z_{c_j})}{u_x(z_{c_j})} \Delta z_{c_j}, \quad (\text{S183})$$

$$G_{\text{Veg}}^{\text{MW99}} = z_0^{1/2} (1 + 2\overline{l_U}) \frac{\eta_c}{x_{\text{Veg}}^*} \text{Re}^{b_1} \text{Pr}^{b_2} u_x(z_{t_1}) \sqrt{\frac{u_x(z_0)}{u_x(z_{t_1})}}. \quad (\text{S184})$$

where $(b_1; b_2) = (-1/2; -2/3)$ (Sauer and Norman, 1995); and x_{Veg}^* is the mixing length scale for vegetated surface, and z_0 is the roughness length scale (Eq. S131).

S15 Phase equilibrium (saturation) of water vapor

The partial pressure of water vapor at phase equilibrium ($p_{\text{Sat}}^\#$) is solely a function of temperature, following the Clapeyron equation (Dufour and van Mieghem, 1975; Murphy and Koop, 2005). Whether the phase equilibrium of water vapor refers to ice-vapor ($p_{vi}^\#$) or liquid-vapor ($p_{vl}^\#$) transitions also depends on the temperature, and in ED-2.2, we use the law of minimum:

$$p_{\text{Sat}}^\#(T) = \min \left[p_{vi}^\#(T), p_{vl}^\#(T) \right]. \quad (\text{S185})$$

Both $p_{vi}^\#$ and $p_{vl}^\#$ are defined after the parameterization by (Murphy and Koop, 2005), which have high degree of accuracy ($< 0.05\%$) between 123 K and 332 K, and thus includes all the range of near-surface temperatures solved by ED-2.2:

$$p_{vi}^\#(T) = \exp \left[9.550426 - \frac{5723.265}{T} + 3.53068 \ln(T) - 0.00728332 T \right], \quad (\text{S186})$$

$$p_{\text{v}\ell}^{\#}(T) = \exp \{Y_1(T) + Y_2(T) \tanh [0.0415 (T - 218.8)]\}, \quad (\text{S187})$$

$$Y_1(T) = 54.842763 - \frac{6763.22}{T} - 4.210 \ln(T) + 0.000367 T, \quad (\text{S188})$$

$$Y_2(T) = 53.878 - \frac{1331.22}{T} - 9.44523 \ln(T) + 0.014025 T. \quad (\text{S189})$$

Importantly, Eq. (S186) and Eq. (S187) yield the same value (within $4.1 \cdot 10^{-6}\%$ accuracy) at the water's triple point, which guarantees continuity of Eq. (S185).

The saturation specific humidity $w^{\#}$ is obtained using Eq. (S185) and the definition of specific humidity:

$$w_{\text{Sat}}^{\#}(T, p) = \frac{\mathcal{M}_w p^{\#}_{\text{Sat}}(T)}{\mathcal{M}_d [p - p^{\#}_{\text{Sat}}(T)] + \mathcal{M}_w p^{\#}_{\text{Sat}}(T)}, \quad (\text{S190})$$

where \mathcal{M}_d and \mathcal{M}_w are the molar masses of dry air and water, respectively (Tab S3).

S16 Solver for the CO₂ assimilation rates and transpiration

Variables w_{l_k} , $\dot{V}_{C_k}^{\max}$, \dot{R}_k , ω_k , \mathcal{K}_{O_k} , \mathcal{K}_{C_k} , Γ_k , and $\mathcal{K}_{\text{ME}_k}$ are functions of leaf temperature and canopy air space pressure, and thus can be determined directly. In contrast, nine variables are unknown for each limitation case as well as for the case when the stomata are closed: \dot{E}_k , \dot{A}_k , \dot{V}_{C_k} , \dot{V}_{O_k} , c_{l_k} , c_{λ_k} , w_{λ_k} , \hat{G}_{Wl_k} , and \hat{G}_{Cl_k} . To solve the remaining unknowns, we first substitute Eq. (82) and either Eq. (85), Eq. (87) or Eq. (89) into Eq. (81) and write a general functional form for \dot{A}_k , similarly to Medvigy (2006), that is a function of only one unknown, c_{l_k} :

$$\dot{A}_k(c_{l_k}) = \frac{F_k^A c_{l_k} + F_k^B}{F_k^C c_{l_k} + F_k^D} - \dot{R}_k, \quad (\text{S191})$$

where parameters F depend on the limitation and the photosynthetic pathway, as shown in Table S9.

We then combine Eq. (76) and Eq. (S170) to eliminate \hat{G}_{Cl_k} and c_{λ_k} , and write an alternative equation for \hat{G}_{Wl_k} :

$$\hat{G}_{Wl_k} = \frac{f_{Gl} \hat{G}_{W\lambda_k} \dot{A}_k}{\hat{G}_{W\lambda_k} (c_c - c_{l_k}) - f_{G\lambda} \dot{A}_k}. \quad (\text{S192})$$

To eliminate c_{λ_k} and w_{λ_k} from Eq. (91), we use Eq. (76) and Eq. (77). Then, we eliminate \hat{G}_{Wl_k} by replacing the left hand side of Eq. (91) by the alternative Eq. (S192), yielding to the following

function $\mathcal{F}(c_{l_k})$ for which we seek the solution $\mathcal{F}(c_{l_k}) = 0$:

$$\mathcal{F}(c_{l_k}) = \mathcal{F}_1(c_{l_k}) \mathcal{F}_2(c_{l_k}) \mathcal{F}_3(c_{l_k}) - 1, \quad (\text{S193})$$

$$\mathcal{F}_1(c_{l_k}) = \frac{\left(f_{Gl} - f_{G\lambda} \frac{\hat{G}_{Wl_k}^\circ}{\hat{G}_{W\lambda_k}} \right) \dot{A}_k - \hat{G}_{Wl_k}^\circ (c_c - c_{l_k})}{m_k \dot{A}_k}, \quad (\text{S194})$$

$$\mathcal{F}_2(c_{l_k}) = \frac{\hat{G}_{W\lambda_k} (c_c - \Gamma_k) - f_{G\lambda} \dot{A}_k}{\hat{G}_{W\lambda_k} (c_c - c_{l_k}) + (f_{Gl} - f_{G\lambda}) \dot{A}_k}, \quad (\text{S195})$$

$$\mathcal{F}_3(c_{l_k}) = 1 + \frac{w_c - w_{l_k}}{\Delta w_k} \frac{\hat{G}_{W\lambda_k} (c_c - c_{l_k}) - f_{G\lambda} \dot{A}_k}{\hat{G}_{W\lambda_k} (c_c - c_{l_k}) + (f_{Gl} - f_{G\lambda}) \dot{A}_k}. \quad (\text{S196})$$

For the limitation cases in which Eq. (S191) does not depend on c_{l_k} , Eq. (S193) is reduced to a quadratic equation. For the other cases, Eq. (S193) becomes a fifth-order polynomial, which cannot be solved algebraically. Nevertheless, Eq. (S193) is still convenient because it highlights the range of plausible solutions, corresponding to the singularities associated with \mathcal{F}_1 and \mathcal{F}_2 — the singularities associated with \mathcal{F}_3 requires c_{l_k} to exceed c_c , which could be only achieved with negative $\hat{G}_{l_k w}$ or $\dot{A}_k < -\dot{M}_k$, and none of them are meaningful. Function \mathcal{F}_1 is singular when $\dot{A}_k = 0$; from Eq. (S192), this would \hat{G}_{Wl_k} to be 0, unless $c_{l_k} = c_c$. Function \mathcal{F}_2 is singular when $\dot{A}_k = \hat{G}_{C\lambda_k} (c_c - c_{l_k})$; from Eq. (S192), this happens only when $c_{l_k} = c_c$ or at $\lim_{\hat{G}_{Wl_k} \rightarrow \infty}$. The singularities for when $c_c \neq c_{l_k}$ are obtained by substituting Eq. (S191) into Eq. (76), and by taking the $\lim_{\hat{G}_{Wl_k} \rightarrow 0} (\dot{A}_k)$ and $\lim_{\hat{G}_{Wl_k} \rightarrow \infty} (\dot{A}_k)$:

$$c_{l_k}^{\min} + \frac{F_k^D \dot{M}_k - F_k^B}{F_k^C \dot{M}_k - F_k^A} = 0, \quad (\text{S197})$$

$$\left(c_{l_k}^{\max} \right)^2 + \frac{\hat{G}_{C\lambda_k} F_k^D + F_k^B - F_k^C (\hat{G}_{C\lambda_k} c_c + \dot{M}_k)}{\hat{G}_{C\lambda_k} F_k^C} c_{l_k}^{\max} + \frac{F_k^B - F_k^D (\hat{G}_{C\lambda_k} c_c + \dot{M}_k)}{\hat{G}_{C\lambda_k} F_k^C} = 0. \quad (\text{S198})$$

From Eq. (S198) up to two roots are possible, but normally only one is plausible. In case both values are greater than c_c , we use c_c as the upper boundary, because c_c is also a singularity; otherwise the root between $c_{l_k}^{\min}$ and c_c is selected. If none of them are in this range, then there is no viable solution for this limitation, and we assume that the stomata must be closed. Once the boundaries are defined, we seek the solution in the $\left[c_{l_k}^{\min}; c_{l_k}^{\max} \right]$ interval, where there is only one possible solution, as illustrated in Fig. S8.

Once all cases are determined, the solution is determined by a law of minimum (Collatz et al., 1991, 1992; Moorcroft et al., 2001):

$$\dot{A}_k = \min \left(\dot{A}_k^{\text{RuBP}}, \dot{A}_k^{\text{InSL}}, \dot{A}_k^{\text{PAR}} \right), \quad (\text{S199})$$

$$\dot{E}_k = \dot{E}_k^{L^*}, \quad (\text{S200})$$

where L^* is the limiting case chosen in Eq. (S199). When available light or c_{l_k} is near or below their compensation point, it is possible that none of the limiting cases yields a viable solution. In this case, we assume that photosynthesis cannot occur and that stomata are closed.

S17 Soil moisture limitation on photosynthesis

The stomatal conductance equation by Leuning (1995) was developed using well-watered seedlings, therefore it does not consider soil moisture limitation, which can be important in seasonally dry ecosystems. To account for soil water stress, we define a phenomenological scaling function f_{wl_k} (wilting factor). The functional form of f_{wl_k} follows the previous versions of ED (Moorcroft et al., 2001; Medvigy et al., 2009). However, in ED-2.2 we define water availability ($W_{g_j}^*$) in terms of soil matric potential, similarly to CLM (Oleson et al., 2013), which produces a more gradual transition from no-stress conditions to completely closed stomata as soil moisture approaches the wilting point (Fig. S9).

In ED-2.2, the wilting factor f_{wl_k} is defined as:

$$f_{wl_k} = \frac{1}{1 + \frac{\text{Demand}}{\text{Supply}}} = \frac{1}{1 + \frac{\mathcal{M}_w \Lambda_k \dot{E}_k}{\hat{G}_{r_k} C_{r_k} W_{g_{j0}}^*}}, \quad (\text{S201})$$

$$W_{g_j}^* = \sum_{j'=j}^{N_G} \left[\rho_\ell (\vartheta_{\text{Fc}} - \vartheta_{\text{Wp}}) \Psi_{g_{j'}}^* \Delta z_{g_{j'}} \right], \quad (\text{S202})$$

$$\Psi_{g_j}^* = \ell_{g_j} \frac{\max \left[\min \left(\Psi_{g_j} + \frac{z_{g_j} + z_{g_{j+1}}}{2}, \Psi_{\text{Fc}} \right), \Psi_{\text{Wp}} \right] - \Psi_{\text{Wp}}}{\Psi_{\text{Fc}} - \Psi_{\text{Wp}}}, \quad (\text{S203})$$

where \hat{G}_{r_k} ($\text{m}^2 \text{kg}_\text{C}^{-1} \text{s}^{-1}$) is a PFT-dependent scaling parameter related to fine root conductance (Table S5 Tables S5-S6); n_k (plant m^{-2}) is the demographic density of cohort k ; \mathcal{M}_w is the molar mass of water (Table S3); \dot{E}_k ($\text{mol}_\text{w m}^{-2}_\text{leaf} \text{s}^{-1}$) is the leaf-level transpiration rate if soil moisture is not limiting; C_{r_k} ($\text{kg}_\text{C m}^{-2}$) is the fine root biomass per individual; Λ_k ($\text{m}^2 \text{m}^{-2}$) is the leaf area index of cohort k ; $W_{g_j}^*$ ($\text{kg}_\text{w m}^{-2}$) is the available water for photosynthesis integrated from soil layer j to surface; j_0 is the deepest soil layer that the cohort k can access water; z_{g_j} and Δz_{g_j} are the depth and thickness of soil layer j ; ρ_ℓ ($\text{kg}_\text{w m}^{-3}$) is the density of liquid water; ϑ_{Fc} and ϑ_{Wp}

($\text{m}^3 \text{m}^{-3}$) are the volumetric soil moistures at field capacity and at permanent wilting point, Ψ_{g_j} (m) is the matric potential of layer j , Ψ_{Fc} and Ψ_{Wp} (m) are the matric potentials at field capacity and wilting point, $\Psi_{g_j}^*$ (unitless) is a factor that represents the reduction of available water due to force needed to extract the water.

S18 Allometric equations

In ED-2.2, size is defined by a suite of dimensions, including tree height z_{t_k} and rooting depth z_{r_k} which directly affect the cohort access to light and water, and the carbon stocks in different tissues. Most allometric equations use the diameter at the breast height (DBH, cm) as the size-dependent explanatory variable. The only time DBH becomes the dependent variable is when the code calculates the growth of structural tissues (Δt_{CD}): structural carbon stocks are updated based on the cohort's net carbon balance, and DBH is calculated to be consistent with the updated structural carbon stocks. In this supplement, we present the allometric equations of ED-2.2 for tropical PFTs; the temperate counterparts have been previously described in Albani et al. (2006) and Medvigy et al. (2009).

~~The height of any cohort k (z_{t_k}) and the height at the bottom of the crown ($z_{t_k}^-$) are based on Poorter et al. (2006) allometric equation for moist forests in Bolivia. We included two modifications to the original equation: (1) a maximum height of $z_{t_{\text{max}}} = 35 \text{ m}$ (Moorcroft et al. 2001) is imposed to avoid excessive extrapolation of the allometric equations for carbon stocks; (2) we impose that the equivalent $z_{t_k}^-$ for grasses is fixed at 1% of the total height, to avoid numeric singularities while assuming that most of the grass vertical profile has leaves.~~

~~$$z_{t_k} = \begin{cases} \max(0.05, 0.01 z_{t_k}) & \text{if cohort } k \text{ is grass} \\ \max(0.05, z_{t_k} - 0.31 z_{t_k}^{1.098}) & \text{if cohort } k \text{ is tree} \end{cases}$$~~

The tree height of any cohort k (z_{t_k}) is determined through a modified Weibull function:

$$z_{t_k} = \min \left\{ z_{t_{\text{max}}}, Z_0 + Z_\infty \left[1 - \exp \left(-Z_1 \cdot \text{DBH}_k^{Z_2} \right) \right] \right\}, \quad (\text{S204})$$

where Z_0 , Z_1 , Z_2 , and Z_∞ are PFT-dependent coefficients; and $z_{t_{\text{max}}}$ is the maximum tree height, imposed to avoid excessive extrapolation of the allometric equations for carbon stocks. The coefficients are shown in Tables S5-S6; coefficients for tropical trees are provided by Poorter et al. (2006) allometric equation for moist forests in Bolivia; coefficients for temperate trees are from Albani et al. (2006).

The tree height at the bottom of the crown ($z_{t_k}^-$) is based on [Poorter et al. \(2006\)](#), and it is currently applied to tropical, subtropical, and temperate trees. For grasses, we fix the height to 1% of the total height, to avoid numeric singularities while assuming that most of the grass vertical profile has leaves:

$$z_{t_k}^- = \begin{cases} \max(0.05, 0.01 z_{t_k}) & , \text{ if cohort } k \text{ is grass} \\ \max(0.05, z_{t_k} - 0.31 z_{t_k}^{1.098}) & , \text{ if cohort } k \text{ is tree} \end{cases} \quad (\text{S205})$$

5 Maximum leaf biomass ($C_{l_k}^\bullet$, kg m^{-2}), corresponding to the state when leaves are fully flushed, is derived from the allometric equations presented by [Cole and Ewel \(2006\)](#) and [Calvo-Alvarado et al. \(2008\)](#) for several commercial species in Costa Rica:

$$C_{l_k}^\bullet = n_{t_k} C_{0l_k} \text{DBH}_k^{C_{1l_k}}, \quad (\text{S206})$$

where n_{t_k} (plant m^{-2}) is the plant demographic density, and C_{0l} and C_{1l} are the PFT-dependent coefficients ([Table S5](#) [Tables S5](#) [S6](#)).

10 Maximum root biomass ($C_{r_k}^\bullet$, kg m^{-2}) and maximum sapwood biomass ($C_{\sigma_k}^\bullet$, kg m^{-2}) are determined from $C_{l_k}^\bullet$ using the same functional form as [Moorcroft et al. \(2001\)](#), whose formulation of sapwood biomass was based on the pipe model by [Shinozaki et al. \(1964a,b\)](#):

$$C_{r_k}^\bullet = f_{r_k} C_{l_k}^\bullet, \quad (\text{S207})$$

$$C_{\sigma_k}^\bullet = \frac{\text{SLA}_k}{f_{\sigma_k}} z_{t_k} C_{l_k}^\bullet, \quad (\text{S208})$$

where f_{r_k} and f_{σ_k} are PFT-dependent parameters, currently assumed to be the same as in the original ED-1 ([Moorcroft et al. \(2001\)](#), [Table S5](#) [Tables S5](#) [S6](#)); SLA ([Table S5](#) [Tables S5](#) [S6](#)) is the specific leaf area, determined from [Kim et al. \(2012\)](#) fit of specific leaf area as a function of leaf turnover rate, using the GLOPNET leaf economics dataset ([Wright et al. \(2004\)](#)).

15 Total structural (heartwood) biomass (C_{h_k} , $\text{kg}_C \text{m}^{-2}$) is based on [Baker et al. \(2004\)](#) equation of above-ground biomass, which is in turn based on the allometric equation by [Chave et al. \(2001\)](#) for French Guiana. This allometric equation was used instead of the allometric equation based on [Chambers et al. \(2001\)](#) because in ED-2.2 the function relating C_{h_k} and DBH_k must be bijective (i.e. given n_{t_k} , each DBH_k is associated with a single value of C_{h_k} and vice versa), which cannot be attained with the polynomial fits of higher order. Structural biomass was assumed to be the difference between above-ground biomass and the biomass of leaves and 70% of the total sapwood, corresponding to the above-ground fraction. The estimate was fitted against

DBH, yielding to:

$$C_{h_k} = \begin{cases} n_{l_k} C_{0h_k} \text{DBH}^{C_{1h_k}} & , \text{ if } \text{DBH}_k \leq \text{DBH}_{\text{Crit}} \\ n_{l_k} C_{2h_k} \text{DBH}^{C_{3h_k}} & , \text{ if } \text{DBH}_k > \text{DBH}_{\text{Crit}} \end{cases}, \quad (\text{S209})$$

where DBH_{Crit} is the minimum DBH that results in $z_{l_k} = 35.0$ m, and the coefficients C_{0h} , C_{1h} , C_{2h} , C_{3h} are defined for each PFT (Table S5, Tables S5, S6).

The size-dependent rooting depth (z_{r_k}) is defined from an exponential function that allows tree depths to reach 5 m once trees reach canopy size ($z_{l_k} = 35$ m):

$$z_{r_k} = -1.114 \text{DBH}_k^{0.422}. \quad (\text{S210})$$

The maximum rooting depth is shallow compared to [Nepstad et al. \(1994\)](#) results, however it produces a rooting profile similar to other dynamic global vegetation models, and reflects that little variation in soil moisture exists at very deep layers ([Christoffersen, 2013](#)).

Leaf area index (Λ_k , $\text{m}_{\text{Leaf}}^2 \text{m}^{-2}$) is determined from leaf biomass and specific leaf area:

$$\Lambda_k = \text{SLA}_k C_{l_k}, \quad (\text{S211})$$

where n_k (plant m^{-2}) is the demographic density of cohort k .

No allometric equation was found for wood area index (Ω_k , $\text{m}_{\text{Wood}}^2 \text{m}^{-2}$) for evergreen forests. We assumed the same allometric equation for temperate zone by [Hörmann et al. \(2003\)](#) for trees, and imposed maximum area at DBH_{Crit} , similarly to C_{l_k} :

$$\Omega_k = \begin{cases} 0 & \text{if cohort } k \text{ is grass} \\ n_k 0.0096 \min(\text{DBH}, \text{DBH}_{\text{Crit}})^{2.0947} & \text{if cohort } k \text{ is broadleaf tree} \\ n_k 0.02765 \min(\text{DBH}, \text{DBH}_{\text{Crit}})^{1.9769} & \text{if cohort } k \text{ is conifer} \end{cases}. \quad (\text{S212})$$

Crown area index (X_k , $\text{m}_{\text{Crown}}^2 \text{m}^{-2}$) is also based on [Poorter et al. \(2006\)](#), but re-written so it is a function of DBH_k . Like in the previous cases, crown area was capped at DBH_{Crit} , and local crown area was not allowed to exceed 1.0 or to be less than the leaf area index:

$$X_k = \begin{cases} \min[1.0, \max(\Lambda_k, n_k 1.126 \text{DBH}^{1.052})] & \text{if cohort is tropical/subtropical} \\ \min[1.0, \max(\Lambda_k, n_k 2.490 \text{DBH}^{0.807})] & \text{if cohort is temperate} \end{cases}. \quad (\text{S213})$$

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