Response to Reviewers' comments

Dr. Qiang Wang Editor, *Geoscientific Model Development*

Dear Dr. Wang,

We are submitting the manuscript gmd-2019-377, titled "Taiwan Earth System Model version 1: Description and evaluation of mean state", for your consideration of publication in *Geoscientific Model Development*. We have provided the point-by-point responses to reviewers' comments in the following and modified the manuscript accordingly. Thank you very much.

Sincerely,

Wei-Liang Lee Assistant Research Fellow Research Center for Environmental Changes Academia Sinica Taipei, Taiwan

Anonymous Referee #1

Received and published: 25 February 2020

This is a description paper of the new Taiwan Earth System Model (TaiESM) Version 1, which is developed based on CESM1.2.2. Updated physics from CESM are described and the basic features in mean climates of TaiESM are shown. Model biases are also compared with CMIP5 models. As such, this paper should appear in GMD. Some minor addition and corrections would improve the quality of the paper. **Response:** We thank the reviewer for the positive comments. Below please see our point-by-point responses. The line numbers correspond to the change-tracked manuscript.

(1) Although the paper only deals with mean state, information of simulated ENSO would be desirable because ENSO is the largest important of tropical variability of the atmosphere-ocean system. This has been included in most of description papers. **Response:** Following the reviewer's suggestion, a subsection about the performance of ENSO simulation in TaiESM is added in Lines 452-469 with two figures.

(2) line 118-120: Mention that large bias still exists compared to TRMM3B42. TRMM shows 20-22 LT peak, while model shows 16-18 LT peak over Africa, India and Indochina peninsula.

Response: Following the reviewer's suggestion, the occurrence times of diurnal rainfall peaks in the observations are added. (Lines 122-123)

(3) Line 135: What is "solesoid"? Solenoid? **Response:** Yes, it is corrected. (Line 142)

(4) Line 221: Where is the model top. Add "model top at x.xx hPa" **Response:** We have added "model top at 2 hPa" in the text. (Line 254)

(5) Line 279, Table 1: Add estimated observed values. **Response:** The observation values of SST and SAT are added as a note in Table 1.

(6) Line 327-333: Figure 6 shows that there are positive bias near the coast and negative bias off the coast in low cloud fraction. Is there any explanation for this? **Response:** We believe it is caused by the coarse resolution (~2°) of the observation data.

(7) Line 413-415: This point should be described in Section 2 Model description.
Similarly, is river runoff treated as input in the ocean model? **Response:** Following the reviewer's suggestion, we have added descriptions of River Transport Model and the lack of a land ice model. (Lines 207-211)

(8) Line 418-434: Also mention whether TaiESM is better the CESM or comparable or other.

Response: Following the reviewer's suggestion, we have added two sentences about the comparison with CESM: "The performance of TaiESM is comparable to that of CESM1-CAM5, and they have similar strengths and weaknesses. Note that three variables below average in CESM1-CAM5 are all improved in TaiESM." (Lines 487-489)

(9) Line 331: Figure 6b should be Figure 6c. **Response:** Corrected. (Line 363)

(10) Line 333: Figure 6c should be Figure 6b. **Response:** Corrected. (Line 365)

(11) There are two Wang et al. (2015). Distinguish the two in the main text and references.

Response: Done.

(12) Some references use "and co-authors", while others write down all authors.Follow the journal requirement.Response: Done.

(13) A long list of incomplete referencing. Followings are in the main text, but not in the reference list. IPCC 5th Assessment Report 2013 Wang et al. 2018 > 2019? Shiu et al. 2018 Pan and Wu 1995 Han and Pan 2011 Tu et al. 2005: may be Tu and Tsuang 2005 Park and Bretherton 2009 Bretherton and Park 2009 Morrison and Gettelmen 2008 Zhang and McFarlane 1999 > 1995? Shamrock et al. 2008 > 2005? Gu et al. 2012 Liou et al. 2013 Hunke and Lipscomb 2008 Smith et al. 2010 Kay and Gettelman 2009 Gent et al. 2011 Following is in the reference list, but not in the main text. Guichard et al. 2004

Response: Thank you for this detailed list. We have made all necessary corrections accordingly.

Anonymous Referee #2

Received and published: 9 May 2020

The paper describes and evaluates the first version of the Taiwan Earth System Model. The model is derived from NCAR's Community Earth System Model version 1.2, with specific parts being replaced and modified in order to optimize its performance for the East and Southeast Asia region.

The main value of TaiESM is thus in regional application and to serve as an ESM infrastructure that facilitates integrating national climate research efforts. In addition, there can be a broader interest in using TaiESM and its output, as its closeness to the host system provides an opportunity for studying how specific changes in model representations affect regional and global biases. In the long term, innovations developed in TaiESM may also feed back to the host model.

The description part of the paper focuses on the modifications and new innovations, which are motivated from regional precipitation deficiencies of the CESM host system. The evaluation part provides a non-exhaustive but sufficient general evaluation (model stability, global climate sensitivity, spatial surface biases etc.) and some more specific evaluation such as the diurnal cycle of precipitation. The paper offers a measured balance between highlighting the strengths of TaiESM and documenting its weaknesses.

The paper is well written and I expected it to become a key reference for further model development of TaiESM as well as inform any studies using the model or its output. It is thus well within the scope of the journal and I recommend its publication. I have only some very minor specific comments that the authors may want to consider:

Response: We thank the reviewer for the comprehensive summary and encouraging comments. Below please see our point-by-point responses. The line numbers correspond to the change-tracked manuscript.

L73-75 language suggestion: "account" -> "accounts", "for application" -> "and designed for application" **Response:** Corrected. (Lines 73-35)

L107-108 "Wang et al. (2015) reported significant improvements": One could state here which model system (or at least which type of model system) Wang et al. used.

Response: CESM1.0.3 with CAM5.1 was used in their paper. This info is added to the text (Line 107).

L122-124 "where propagating convective organizations emitting from the coastline or topographical regions (Kikuchi and Wang, 2010), demonstrated as the gradual phase change in Figure 1": unclear formulation

Response: We have rewritten this and next paragraphs to make it clearer. (Lines 125-132)

L155 "grid" -> "grid box" L156 "by two PDFs" -> "by the two PDFs" L157 "The triangular PDF provide" -> "The triangular PDF provides" **Response:** These errors are corrected. (Lines 163-166)

L164 "adapted" or "adopted" ? Response: It is "adopted". (Line 172)

L223-224 "several microphysical properties of clouds are modified to minimize radiation imbalance": Was the TOA imbalance positive or negative before re-tuning? It could be interesting for readers to know which properties exactly were re-tuned and in which direction these were tuned.

Response: Following the reviewer's suggestion, we have added Section 2.4 to discuss more details about tuning TaiESM. (Lines 231-250)

L249 "the less imbalance" -> "the comparatively less imbalance" Response: Done. (Line 281)

L251 "0.0088 K century-1 in 500 years, which is significant": Maybe change to "is statistically significant"? The trend is very small and seems insignificant for most practical applications.

Response: Done. (Line 283)

L274-275 "In addition, the long-term mean of evaporation minus precipitation (E - P) is -1.16 mm day-1, and it may also contribute to the freshening of the ocean.": I would think this is the main reason of the ocean freshening. An E-P imbalance of -1 mm/day corresponds to quite a few meters per century and it could be an idea to caution the reader (either here or in the summary section) to account for this drift when using TaiESM output for sea level studies.

Response: We thank the reviewer to point out that the value of (E-P) is too large. We deleted this sentence because there is large uncertainty in estimating (E-P). TaiESM does not directly output the amount of evaporation, and we calculated it using the surface latent heat flux. However, the magnitude of uncertainty in this calculation is probably larger than the difference between evaporation and precipitation. Therefore, we decide not to discuss the issue related to the change in global salinity here.

L290-291 "greater contribution to addition": unclear formulation **Response:** "addition" is revised to "additional". (Line 322)

L293-294 "The relation between ... must be due to": Should this be "The different relation between... must be due to" ? **Response:** Done. (Line 324)

L297 "with the" -> "against" ? Response: Done. (Line 329)

L340-341 " SWCF is not as strong as that in the observational data. It indicates that polar cloud in TaiESM is too thin optically": Could sea ice/snow albedo bias potentially also contribute to weaker SWCF?

Response: We examined the bias of surface albedo over the Arctic Ocean and found that while the sea ice extent in TaiESM is lower than observation, albedo in TaiESM is larger. Therefore, it does contribute to the smaller SWCF over the Arctic Ocean in TaiESM. We have modified this sentence in Lines 372-375.

L413 "no land–sea model": What does that mean? No dynamic land ice model and/or ice shelf model?

Response: "land-sea model" should be "land ice model" and corrected. (Line 447)

L434 "." missing at end of sentence **Response:** This sentence is removed.

L458 "almost similar": Do you mean "mostly similar"? **Response:** Corrected. (Line 513)

Figure 1: The differences between CESM1.2.2 and TaiESM are hard to discern by eye when comparing (b) and (c). It therefore could be an idea to add another row of

panels that shows the TaiESM - CESM1.2.2 differences. Also, given that CESM1.2.2 and TaiESM use the same horizontal grid it is somewhat surprising that the regions with missing value in (b) don't match those in (c).

Response: We found that plotting the differences in precipitation peaks makes the figure quite noisy. Therefore, we have added several boxes in the figure to highlight the area with remarkable differences.

For the missing value, we masked out the areas with the amplitude of diurnal precipitation smaller than 0.8 mm day⁻¹ in the original figure. To make the figure clearer, we lower the threshold to 0.5 mm day⁻¹. (Line 117)

1	1 Taiwan Earth System Model Version 1: Description and Evaluation of Mean		
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15 Abstract.

The Taiwan Earth System Model (TaiESM) version 1 is developed based on Community Earth 16 System Model version 1.2.2 of National Center for Atmospheric Research. Several innovated 17 18 physical and chemical parameterizations, including trigger functions for deep convection, cloud macrophysics, aerosol, and three-dimensional radiation-topography interaction, as well as a one-19 dimensional mixed-layer model optional for the atmosphere component, are incorporated. The 20 precipitation variability, such as diurnal cycle and propagation of convection systems, is improved in 21 22 TaiESM. TaiESM demonstrates good model stability in the 500-year preindustrial simulation in 23 terms of the net flux at the top of the model, surface temperatures, and sea ice concentration. In the 24 historical simulation, although the warming before 1935 is weak, TaiESM well captures the 25 increasing trend of temperature after 1950. The current climatology of TaiESM during 1979-2005 is 26 evaluated by observational and reanalysis datasets. Cloud amounts are too large in TaiESM, but their cloud forcing is only slightly weaker than observational data. The mean bias of the sea surface 27 28 temperature is almost zero, whereas the surface air temperatures over land and sea ice regions exhibit 29 cold biases. The overall performance of TaiESM is above average among models in Coupled Model Intercomparison Project phase 5, particularly that the bias of precipitation is smallest. However, 30 several common discrepancies shared by most models still exist, such as the double Intertropical 31 Convergence Zone bias in precipitation and warm bias over the Southern Ocean. 32

34 **1. Introduction**

35 The Earth system model (ESM) is a state-of-the-art tool that can simulate the long-term evolution of the climate system including the atmosphere, ocean, land, and cryosphere and provide 36 37 future projections from the scientific aspect to study the impact of global climate change on the natural environment, ecosystem, and human society (IPCC 5th Assessment Report, 2013). Because 38 of the constraint of computing power, the spatial resolution of ESMs participated in the Coupled 39 Model Intercomparison Project Phase 5 (CMIP5; Taylor et al. 2012) is generally on the order of 40 approximately 100 km. However, this coarse resolution is unsuitable for climate studies in the 41 42 Taiwan area because this island is 400 km long and 150 km wide, which occupies only several grid boxes in these ESMs. For the Taiwanese scientific community, developing a global model to provide 43 44 climate data in various future scenarios with high temporal resolutions-daily or hourly-for 45 dynamical or statistical downscaling is desirable. Taiwan's National Science Council (now Ministry of Science and Technology) has accordingly launched a project to increase climate modeling 46 capability and capacity in Taiwan, the core component of which is Taiwan Earth System Model 47 48 (TaiESM) development.

49 In Taiwan, manpower and expertise for climate research are limited; thus, we could not create an 50 ESM from scratch. Therefore, TaiESM version 1 is developed on the basis of the Community Earth System Model version 1.2.2 (CESM1.2.2; Hurrell et al., 2013) from National Center for 51 52 Atmospheric Research (NCAR) sponsored by National Science Foundation and the Department of Energy of the United States. TaiESM consists of the Community Atmosphere Model version 5.3 53 (CAM5), Community Land Model version 4 (CLM4), Parallel Ocean Program version 2 (POP2), and 54 Community Ice Code version 4 (CICE4). We replace or modify existing parameterizations in CAM5, 55 56 including new trigger functions for the deep convection scheme (Wang et al., 2015b), new cloud 57 macrophysics scheme for cloud fraction calculation (Wang et al., 2018, Shiu et al., 202018), and a three-moment aerosol scheme (Chen et al., 2013). A novel parameterization for the impact of three-58

dimensional (3D) radiation-topography interactions (Lee et al., 2013) is added to CLM4. In addition,
a one-dimensional (1D) mixed-layer ocean model with a high vertical resolution (Tsuang et al., 2009)
is used for CAM5 with slab ocean simulation in TaiESM.

62 An object of TaiESM development is to improve the simulations of climate variability in various spatial and temporal scales for more reliable climate projections in Taiwan. Weather and climate in 63 Taiwan is deeply affected by capricious East Asia/western North Pacific monsoon and typhoons. In 64 addition, because of its small size and steep terrain, predicting the frequencies of severe weather and 65 heavy precipitation in Taiwan is highly difficult (Hsu et al., 2011). Therefore, the parameterizations 66 67 selected for TaiESM are for enhancing variability simulation. The trigger functions for the deep convection scheme in TaiESM, adopted from National Centers for Environmental Prediction (NCEP) 68 Global Forecast System (GFS) with Simplified Arakawa–Schubert scheme (SAS; Pan and Wu, 1995; 69 70 Han and Pan, 2011), aim to improve the timing of convective precipitation occurrence. As 71 demonstrated by Lee et al. (2008), by using GFS, these trigger functions are key to improved 72 simulations of the diurnal rainfall cycle over the Southern Great Plains (SGP) in the United States. 73 The parameterization for 3D radiation-topography interactions accounts for the effects of shadows and reflections from subgrid topographic variation on the surface solar flux (Lee et al., 2011) 74 designed for application to general circulation models (GCMs). The high-resolution 1D mixed-layer 75 model can resolve fast change in the skin temperature of the sea surface (Tu et al.and Tsuang, 2005). 76

The organization of this paper is as follows: Section 2 describes TaiESM, particularly the new and modified schemes different from CESM1.2.2. Section 3 presents the design of model experiments. Sections 4 and 5 provide the description of TaiESM performance in preindustrial and historical simulations, respectively. Summary and conclusions are given in Section 6.

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82 2. Model description

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The development of TaiESM is based on CESM1.2.2, in which the ocean, sea ice, and river

components, as well as the infrastructure of the model, remain unchanged. For the atmosphere, several physical and chemical parameterizations are modified, as two trigger functions are added to the default deep convection scheme, and cloud macrophysics and aerosol schemes are replaced. A parameterization of surface albedo adjustment is added to CLM4 to account for the topographic effect on surface solar radiation. In addition, a 1D mixed-layer ocean model is integrated to TaiESM for simulations of CAM5 coupled with a slab ocean.

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91 2.1. Atmosphere

92 The atmosphere model in TaiESM is based on CAM version 5.3 (Neale et al., 2010). The dynamic core is finite volume (Lin, 2004) in a hybrid sigma-pressure vertical coordinate. The Rapid 93 94 Radiative Transfer Model for GCMs (RRTMG; Iacono et al., 2008) with two-stream approximation, 95 correlated k-distribution, and Monte Carlo Independence Column Approximation (McICA; Pincus et 96 al., 2003) is employed to calculate radiative fluxes and heating rates in the atmosphere. The shallow 97 convection and moist turbulence schemes are based on those reported by Park and Bretherton (2009) 98 and Bretherton and Park (2009), respectively. A two-moment cloud microphysics scheme (Morrison and Gettelmen, 2008) is used to predict changes in the mass and number of cloud droplets and to 99 100 diagnose stratiform precipitation.

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102 **2.1.1. Trigger function for deep convection**

103 Convective trigger function is a critical part of the cumulus parameterization scheme to 104 determine the initiation of precipitating convection and thus has a critical role in rainfall variability 105 simulation. With the Zhang–McFarlane scheme framework (Zhang and McFarlane, 19991995; Neale 106 et al., 2008), TaiESM has adopted two convection triggers proposed by Wang et al. (2015<u>b</u>): 107 unrestricted launching level (ULL) and convective inhibition (CIN). <u>By modifying the deep</u> 108 <u>convection scheme in CESM1.0.3/CAM5.1,</u> Wang et al. (2015<u>b</u>) reported significant improvements in the diurnal rainfall peak at the Atmospheric Radiation Measurement (ARM) SGP site, mainly
because of the suppression of daytime spurious convection by the CIN trigger and initiation of
nighttime mid-level convection by ULL trigger. ULL may also aid in improving diurnal rainfall
phase in many other areas worldwide when implemented in the newly developed Energy Exascale
Earth System Model version 1 (E3SMv1) of the U.S. Department of Energy (Xie et al., 2019).

114 Similar to that in GFSE3SM, improvement in the diurnal rainfall cycle is found in TaiESM. Figure 1 displays local times (LTs) of the diurnal rainfall peak occurrence, referred to as the peak 115 116 phase from the 11-year (2001–2011) Tropical Rainfall Measuring Mission (TRMM) merged satellite 117 data (Huffman et al. 2007) and the historical model runs during 1979-2005. Areas with amplitude of diurnal rainfall cycle smaller than 0.5 mm day⁻¹ are masked to emphasize the regions with strong 118 119 diurnal rainfall signals. Two distinct changes in diurnal rainfall cycle are found in TaiESM compared 120 with those in CESM1.2.2. First, the simulated diurnal rainfall peak over the tropical lands is 121 improved. For example, such as the observed peaks in the Central Africa (Box A) and the Amazon 122 basin (Box B) occur around 20-22 LT and 18-20 LT, respectively., These peaks are delayed to 14-18 123 LT from the 12–14 LT peak phase of in CESM1.2.2 to 14-18 LT in TaiESM. A similar delay is also observed found in islands such as Borneo and Sumatra. SecondAs a result, nocturnal rainfall in 124 125 TaiESM is increased compared with that in CESM1.2.2, particularly in coastal and topographical 126 regions where propagating convective organizations emitting from the coastline or topographical regions (Kikuchi and Wang, 2010), demonstrated as the gradual phase change in Figure 1, such as 127 128 the eastern slope of the Rocky Mountains.

Second, the propagation of convective organizations is better simulated. Propagating convective systems originated from coastlines or topographical regions could be demonstrated by the gradual phase change in Figure 1, such as the eastern slope of the Rocky Mountains (Box C) and northern South America (north of Box B). More specifically, Figure 2 shows the Hovmöller diagram of longitude and local time for TaiESM, CESM1.2.2, and TRMM observations over SGP (35°N–40°N,

134 90°W-110°W) in Box C. Convection occurs at 104°W in the evening and propagates eastward in the observation (Carbone and Tuttle, 2008). In CESM1.2.2, convection occurs in the early afternoon and 135 136 peaks before midnight, but it is stationary at the same location. TaiESM successfully captures the 137 eastward propagation of the rainfall and a better occurrence time of convection in the late afternoon, as well as the more realistic rainfall intensity. This result is consistent with the single-column model 138 139 tests of Wang et al. (2015b), indicating that their proposed convective trigger may be the cause of these improvements. Furthermore, Wang and Hsu (2019) demonstrate that the improvement of 140 141 nocturnal rainfall over SGP is mainly from the superior response of the ULL + CIN convective 142 trigger to the low-level convergence between the branch of mountain-plain solesoid and low-level jet from Gulf of Mexico. With the horizontal resolution at an order of 100 km, this result 143 144 suggests that the convective trigger of TaiESM captures the large-scale preconditioning related to the 145 convective organization there (Dirmeyer et al., 2011), rather than only the convective systems itself.

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147 **2.1.2.** Cloud fraction

148 The cloud macrophysics scheme used in TaiESM is the GFS-TaiESM-Sundqvist (GTS) scheme (Shiu et al., 2020). Its prototype was first developed for the NCEP GFS model and has been further 149 used-modified for the TaiESM. Similar to that in many numerical weather prediction and global 150 151 climate models, the GTS scheme is based on the Sundqvist scheme (Sundqvist et al., 1989), which calculates changes in cloud condensates in a grid box on the basis of the budget equation for relative 152 153 humidity (RH) with large-scale advection. The CAM5 macrophysics (Park et al., 2014) follows this approach and assumes empirical values of critical RH (RH_c) as the threshold of condensation. The 154 key difference of the GTS scheme from the CAM5 macrophysics is the re-derivation of the equation 155 156 relating the change in the subgrid-scale cloud condensate using the distribution width of mixing ration of total water (q_t) to replace RH_c, as indicated in Tompkins (2005). The unnecessary use of 157 RH_c is consequently removed to allow an improved correlation among cloud fraction, RH, and 158

159 condensates.

160 Figure 3 illustrates cloud fraction as a function of RH of water vapor (q_v/q_s) and RH of condensates (q_l/q_s) for the CAM5 macrophysics and the GTS schemes with uniform and triangular 161 162 probability density functions (PDFs) of q_t in a grid box. Given the same RH of water vapor, the PDF-163 based calculation allows larger cloud fraction if more cloud condensates exist in the grid box than the 164 CAM5 macrophysics. The difference in cloud fraction produced by the two PDFs is small, implying that this scheme might not be very sensitive to the shape of the distribution. The triangular PDF 165 166 provides additionally rapid changes in cloud fraction when the RH of condensates and water vapor 167 changes, and it is used as the default PDF of the GTS scheme.

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169 **2.1.3. Aerosol**

170 The aerosol parameterization used in TaiESM is the Statistical-Numerical Aerosol Parameterization (SNAP; Chen et al., 2013). SNAP is a bulk parameterization, and the modal 171 172 approach (Seigneur et al., 1986; Whitby and McMurry, 1997) is adapted adopted to describe the 173 particle size distribution. In contrast to conventional aerosol parameterizations in most ESMs, changes in the zeroth moment (number), second moment (surface area), and third moment (mass) 174 due to physical processes are tracked in SNAP. The physical processes included in SNAP are 175 emission, nucleation, coagulation, condensation, mixing, as well as dry and wet deposition. SNAP 176 177 has been applied to the US EPA Models-3/Community Multi-scale Air Quality (CMAQ; Byun and 178 Schere, 2006) modeling system and been verified by observations (Chen et al., 2013; Tsai et al., 179 2015) with Weather Research and Forecasting Model (WRF; Skamarock et al., 20082005).

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181 **2.2. Land**

182 The land model in TaiESM is CLM4 (Oleson et al., 2010; Lawrence et al., 2011). The surface 183 albedo is primarily a function of vegetation, soil moisture, solar zenith angle, as well as snow reflectivity calculated by the Snow, Ice, and Aerosol Radiative Model (SNICAR; Flanner and Zender,
2006), which considers the aerosol deposition of black carbon and dust, effective size of snow grains,
and vertical profile of heating. As the albedo of a grid box is determined, it is then adjusted to
include the topographic effect on surface solar radiation.

The parameterization for 3D radiation-topography interactions is to evaluate the impact of 188 topography on surface solar radiation, including insolation on various slopes and aspects, shadow 189 cast by nearby mountains, and reflections between surfaces (Lee et al., 2013). It is developed on the 190 191 basis of numerous "exact" Monte Carlo calculation that simulates the scattering, reflection, and 192 absorption of photons within the 3D atmosphere and surface (Chen et al., 2006; Liou et al., 2007; Lee et al., 2011). The parameterization adjusts surface albedo so that the solar radiation absorbed by 193 194 the surface in the land model corresponds with the results of the Monte Carlo calculation. Several 195 geographic parameters are used for input, including the slope, aspect, sky view factor, terrain 196 configuration factor, standard deviation of elevation within a grid box, and solar zenith and azimuth 197 angles. Gu et al. (2012) and Liou et al. (2013) demonstrate that this topographic effect can increase 198 the amount of snowpack in the valley and enhance the snowmelt in mountains in the WRF simulations over the western United States. Lee et al. (2015, 2019) also demonstrate that 199 incorporating this parameterization to the Community Climate System Model version 4 (CCSM4) 200 201 can significantly improve the surface energy budget over the Rocky Mountains and the Tibetan 202 Plateau and thus reduce the systematic cold bias in the CMIP5 models.

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204 2.3. Ocean-and, sea ice, and river

The sea ice and dynamic ocean components of TaiESM are from the CICE4 (Hunke and Lipscomb, 2008) and POP2 (Smith et al., 2010) of Los Alamos National Laboratory, respectively. <u>The River Transport Model (RTM; Oleson et al., 2010) is designed to route liquid and ice runoff to</u> <u>the ocean as one of the freshwater input to POP2.</u> The <u>CICE4 and POP2</u> configurations <u>of CICE4</u>, <u>POP2, and RTM</u> in the fully coupled TaiESM simulations are identical to those in CESM1.2.2. <u>Note</u>
 <u>that there is no land ice model in TaiESM. Therefore, the formation of sea ice from the discharge of</u>
 ice sheet to the ocean is not simulated.

212 To save computational resources, a zero-dimensional slab ocean model without dynamical process is commonly used to simulate the thermodynamic interaction between the atmosphere and 213 214 ocean. In TaiESM, an efficient 1D mixed-layer model is coupled with the atmosphere component to reveal the impact of the fast evolution in upper ocean layers. The one-column ocean model Snow-215 216 Ice-Thermocline (SIT; Tu and Tsuang, 2005; Tsuang et al. 2009) is designed to simulate the sea 217 surface temperature (SST) and upper ocean temperature variations with a high vertical resolution, including cool skin, diurnal warm layer, and mixed-layer of the upper ocean. SIT calculates changes 218 219 in temperature, momentum, salinity, and turbulent kinetic energy driven by vertical fluxes 220 parameterized using the classical K approach. Cool skin is derived by considering merely molecular 221 transport for vertical diffusion of heat in the skin layer, where the skin layer thickness is calculated as described by Artale et al. (2002). Beneath the skin layer, eddy diffusivity is determined according to 222 223 a second-order turbulence closure approach (Gaspar et al., 1990), and the 1-m vertical discretization 224 is deployed down to a 10-m depth for resolving diurnal warm layer. Because of the lack of ocean circulation in the one-column ocean model, the calculated ocean temperatures are weakly nudged to 225 226 climatology for ocean below 10-m depth to avoid climate drift. SIT and AGCM exchange SST and fluxes at every time step in tropics (30°S-30°N), whereas climatological SST drives the AGCM 227 228 elsewhere. Note that SIT is not integrated with the dynamic ocean model (POP2); therefore, fully 229 coupled TaiESM simulations do not include SIT.

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231 <u>2.4. Model tuning</u>

232 <u>The preliminary version of TaiESM was very cold compared with CESM1.2.2 using the</u>
 233 preindustrial greenhouse gas concentrations and aerosol emissions. The most apparent change was

234 the significant increase in cloud cover, particularly low clouds, which was probably induced by GTS 235 cloud macrophysics and SNAP aerosol schemes. Therefore, several parameters associated with cloud 236 formation were adjusted to reduce shortwave cloud forcing. We first explored that aerosol-cloud 237 interactions were very strong in TaiESM with SNAP scheme. Therefore, the activation rate of aerosols to cloud condensation nuclei was reduced by 10% in the microphysics scheme to weaken 238 the aerosol indirect effect. The sizes of detrained liquid particles from shallow convection and solid 239 240 particles from deep convection were increased from 10 µm in CESM1.2.2 to 14 µm and from 15 to 241 25 µm, respectively. Larger detrained particles have smaller cloud optical depth and shorter 242 suspension time in the air when the detrained water content is the same. Both effects can reduce cloud albedo. Although RHc is removed from GTS scheme for grid boxes with the presence of 243 244 condensates, it is still required for the formation of clouds in a cloud-free grid box. The value of RH_c 245 was increased from 0.8 in the free atmosphere in CESM1.2.2 to 0.85 in TaiESM to make cloud formation less efficient. After these adjustments, the global mean surface temperature of TaiESM in 246 247 the preindustrial simulation is comparable to that of CESM1.2.2 while the radiation imbalance at the 248 top of the atmosphere (TOA) is minimized. Note that this model tuning is made only at the spatial 249 resolution of about 1°. Additional tuning would be required for stable simulations at higher or lower 250 resolutions.

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252 **3. Experiment design**

The horizontal resolution of the atmosphere and land in TaiESM is 0.9° latitude by 1.25° longitude, with 30 vertical layers <u>and a model top at 2 hPa</u> in the atmosphere. The ocean and sea ice components use the same horizontal resolution with 320 × 384 grid points (approximately 1°) and 60 vertical layers in the ocean. Currently, TaiESM is calibrated only to this set of resolutions, in which several microphysical properties of clouds are modified to minimize radiation imbalance at the top of the atmosphere (TOA). Additional model tuning would be required for stable simulations at higher

259 or lower resolutions. TaiESM is spun-up using CMIP5 preindustrial conditions, such as greenhouse 260 gas concentrations, surface aerosol emissions, solar constant, and land-use types. Because TaiESM is considerably similar to CESM1.2.2, we use the model restart files of CESM1.2.2 for the 1850 control 261 262 run as the initial condition to reduce the computational effort, particularly for the ocean component that may need more than a thousand years to reach a steady state. The spin-up integration continues 263 for 500 years, and the climate state at the end of year 500 is used as the initial condition for the 500-264 year preindustrial control (hereafter piControl) simulation. The historical simulation then starts at the 265 266 end of piControl (i.e., year 1000) with observationally based forcing, including changes in the solar 267 constant, greenhouse gas concentrations, surface aerosol emission, and volcanic eruptions, from 1850 to 2005. 268

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270 4. Model stability in piControl run

In this section, the global means of several climatological variables in piControl run of TaiESM are evaluated. The climate drift from CESM1.2.2 initial conditions to TaiESM equilibrium during the spin-up is also assessed to represent differences between the two models caused by the new or modified physical processes in TaiESM.

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4.1. Time series of climate states

Figure 4 illustrates the time series of several global mean variables in TaiESM piControl. The long-term global mean TOA net flux is 0.086 W m^{-2} , and it decreases by 0.0054 W m^{-2} in 500 years but insignificantly. Furthermore, the mean surface net flux is 0.081 W m^{-2} with an almost identical decreasing trend as TOA net flux. The imbalance at TOA causes heating of the whole model system, and the <u>comparatively</u> less imbalance at the surface indicates a smaller part of excessive energy remains in the atmosphere in piControl. Consequently, the long-term trend of surface air temperature (SAT) is $0.0088 \text{ K century}^{-1}$ in 500 years, which is <u>statistically</u> significant. By contrast, the trend of

SST is 0.0047 K century⁻¹, only about half of the SAT trend and insignificant. By breaking down the 284 surface net flux, we found that the energy exchange between the atmosphere and land is less than 285 10^{-5} W m⁻², whereas the net flux into the ocean is 0.114 W m⁻² (figures not shown). The excessive 286 energy enters the deep ocean and leads to a steady increase in global mean ocean temperature of 287 0.030 K century⁻¹. Therefore, even after a 1000 years' simulation, the system does not reach the 288 thermodynamic equilibrium. In addition, considering that the heat capacity of the entire ocean is 289 approximately 1000 times larger than the atmosphere, the heating rates of the atmosphere caused by 290 the residual net flux (0.005 W m^{-2}) is too small compared with the heating rate of the ocean. It 291 292 implies that an unknown energy leak may exist in the coupling between the atmosphere and ocean, which requires further investigation in programming to fix this problem. 293

294 The annual mean time series of sea ice area in the Northern Hemisphere (NH) and Southern 295 Hemisphere (SH) are exhibited in the bottom panels of Figure 4. The Arctic sea ice has a small but significant trend of -0.01×10^6 km² century⁻¹, corresponding to the slight warming of the entire 296 297 model fairly well. By contrast, the linear trend of the sea ice area in the Southern Ocean over the 298 500-year span is almost zero, even though the variation is much larger. The minimal change in the sea ice area indicates that the energy gain of the cryosphere could be negligible compared with other 299 model components. The global mean sea surface salinity (SSS) reduces significantly by -0.0036 g 300 kg^{-1} century⁻¹. However, it can be found that SSS is almost constant with a slope of about 10^{-4} g 301 kg^{-1} century⁻¹ after year 700. On the other hand, there is a small but significant decreasing trend of 302 the global mean ocean salinity of 1.3×10^{-4} g kg⁻¹ century⁻¹, which is very close to the trend of SSS 303 804 in the last 300 years. This reduction is probably related to the additional freshwater flux from the 805 decrease in Arctic sea ice area. In addition, the long-term mean of evaporation minus precipitation (E = P) is =1.16 mm dav⁼¹, and it may also contribute to the freshening of the ocean. 806

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308 4.2. Comparison with CESM

The long-term means of several variables in piControl runs performed by CESM1.2.2 and 309 TaiESM are listed in Table 1. The TOA net flux in TaiESM and CESM1.2.2 are both within 0.09 W 310 311 m^{-2} . The magnitude of imbalance is acceptable, but it could lead to warming of the entire Earth 312 system. The SAT and SST in TaiESM are higher than those in CESM1.2.2 by 0.42 and 0.23 K, respectively. Shortwave (SW) net flux at TOA in TaiESM is larger than CESM1.2.2 by 2.24 W m⁻². 313 which might be the primary cause of higher surface temperatures and consequently result in larger 314 longwave (LW) net flux at TOA of 2.23 W m⁻². The difference in the clear-sky net SW flux at TOA 315 is only 0.66 W m⁻², suggesting that the surface albedo difference is small, whereas the contribution 316 317 from the difference in cloud reflection is larger. Although the high and low cloud covers in TaiESM are larger than those in CESM1.2.2, the magnitude of SW cloud forcing (SWCF) is smaller in 318 319 TaiESM. It indicates that clouds in TaiESM are less reflective than that those in CESM1.2.2. By contrast, the differences in clear-sky net LW flux at TOA and LW cloud forcing (LWCF) are 1.67 320 and 0.59 W m⁻², respectively; therefore, the warmer surface and atmosphere have greater 321 822 contribution to additional outgoing longwave radiation (OLR) in TaiESM. However, the amount of 323 high cloud in TaiESM is substantially larger than that in CESM1.2.2. This implies that the high 824 clouds in TaiESM could be optically thinner. The different relation between cloud forcing and cloud cover in SW and LW in TaiESM is probably be due to the GTS scheme, which can produce larger 325 326 fraction but less dense clouds compared with the cloud macrophysics scheme in CAM5.

327

328 **5. Historical simulation**

In this section, we evaluate the performance of TaiESM historical simulation with against the observation or reanalysis data. The temporal evolution of global mean temperature from the preindustrial to present day is assessed. The mean states of the current climate, defined as the period of 1979–2005, in the historical simulation are used for comparison. The behavior of El-Niño– Southern Oscillation (ENSO) in TaiESM is also evaluated.

335 5.1. Global mean temperature evolution

Figure 5 illustrates changes in global mean near-surface temperature anomaly of TaiESM and 336 337 two observations, Berkeley Earth Surface Temperature (BEST; Rohde et al., 2013) and Goddard Institute for Space Studies Surface Temperature (GISTEMP; Lenssen et al., 2019), by using the 338 mean temperature of 1951–1980 as the benchmark. The warming trend of TaiESM is weaker than 339 the observation data during 1850-1935. The evolution of SAT in TaiESM exhibits fluctuation 340 similar to observations, particularly before 1900, but with smaller amplitudes. The magnitudes of 341 342 cooling induced by major volcanic eruptions, such as Krakatoa (1883), Santa Maria (1902), Agung (1963), and Pinatubo (1991), in TaiESM is close to those in the observational data, implying that the 343 344 radiative forcing due to stratospheric aerosols is in good agreement with the observations. After 1950, 345 the change in SAT of TaiESM follows the observations and captures the trend of global warming very well. The warming rate of TaiESM during 1950–2005 is 1.12 K century⁻¹, comparable with 346 1.16 and 1.27 K century⁻¹ of BEST and GISTEMP, respectively. 347

348

349 **5.2. Cloud and radiation**

Figure 6a demonstrates the comparison in the total cloud fraction between TaiESM and 350 351 Moderate Resolution Imaging Spectroradiometer (MODIS) Level 3 product during 2001–2012. 352 TaiESM overestimates the total cloud fraction by approximately 3% globally with a root mean square difference (RMSD) of 14.07. Almost all of the Arctic Ocean is overcast in TaiESM, which is 353 approximately 30% higher than observational data. Cloud fraction is also severely overestimated 354 over the Antarctic continent and the Southern Ocean. TaiESM produces too much cloud over the 355 southern branch of the Intertropical Convergence Zone (ITCZ) in the central and eastern Pacific, 356 implying the prevalence of double ITCZ, which will be discussed in a subsequent section. Excessive 357 amount of clouds is also noted in the maritime continent, western equatorial Indian Ocean, and most 358

of the land areas. By contrast, cloud fraction is remarkably underestimated in the Amazon basin and the subtropical ocean, particularly the stratocumulus near the western coasts of continents. Compared with the synergic CloudSat and Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) data during 2006–2010 (Kay and Gettelman, 2009), low clouds in TaiESM are systematically underestimated over the entire tropical and subtropical regions, as shown in Figure <u>6b6c</u>, whereas they are overestimated in high-latitude areas. The total cloud fraction in the tropics is high because of excessive high cloud in the model (Figure <u>6e6b</u>).

Clouds can substantially modulate the radiation field because of its high reflectivity in SW and 366 367 high absorptivity in LW. Figure 7a illustrates the comparison of SWCF in TaiESM with that in Clouds and the Earth's Radiant Energy System-Energy Balanced and Filled data (CERES-EBAF; 368 Kato et al., 2018) over 2000–2015. In terms of the global mean, SWCF in TaiESM is very close to 369 that of the observational data by 0.19 W m⁻² larger. Although there is excessive cloud over the polar 370 regions, such as the Southern Ocean near the Antarctic continent and almost all of the Arctic Ocean, 371 872 in TaiESM, SWCF is not as strong as that in the observational data. It indicates that polar cloud in 873 TaiESM is too thin optically, probably because of the GTS cloud macrophysics scheme. It could be 874 contributed from the optically thin polar clouds due to GTS cloud macrophysics scheme and from 875 the positive bias of sea ice albedo in the Arctic Ocean in TaiESM (not shown). In the subtropical and 376 tropical regions, SWCF generally follows the spatial pattern of total cloud fraction that a larger cloud 377 fraction produces stronger SWCF, such as the storm track in the North Pacific, southern branch of ITCZ, maritime continent, western tropical Indian Ocean, and south of the Sahara Desert. However, 378 SWCF is too strong over the Amazon basin in TaiESM, even though there is underestimated amount 379 of clouds. By contrast, because of underestimated total cloud fraction, SWCF in TaiESM is too weak 380 381 over the stratocumulus areas off the California and Peru coasts as well as over the subtropical Pacific, Atlantic, and Indian Oceans in the SH. 382

383 The global mean of LWCF in TaiESM is significantly weaker than that in CERES–EBAF by

4.31 W m⁻². As illustrated in Figure 7b, TaiESM underestimates LWCF worldwide, and the magnitude of LWCF bias generally follows the bias of high cloud. Positive LWCF bias only exists in some regions over the tropical ocean with too many high clouds in TaiESM. However, although more high clouds exist along the northern branch of ITCZ, LWCF is weaker in the model. The remarkable negative LWCF bias seems incompatible with the overestimated high clouds because more high clouds should be able to intercept more LW radiation from the surface. This inconsistency is probably due to the lower altitude of the high clouds or the less dense clouds in TaiESM.

391

392 5.3. Surface temperature

Figure 8a illustrates the comparison of SST between TaiESM and Hadley Centre Sea Ice and 393 394 Sea Surface Temperature dataset (HadISST; Rayner et al., 2003). The regions with a long-term mean 395 sea ice concentration larger than 15% are not used for calculations of the mean and RMSD. The global mean bias of SST in TaiESM is 0.01 K with an RMSD of 1.05 K. The overestimated SST 396 397 over the Southern Ocean and subtropical South Pacific is probably induced by additional downward 398 SW radiation because of the inaccurate microphysical properties of polar clouds (Kay et al., 2016) and the negative bias of cloud fraction as shown in Figure 6a. The warm bias in the major upwelling 399 regions off the western coasts of Americas and Africa is a common deficiency in many climate 400 401 models (Griffies et al., 2009), caused by insufficient spatial resolution of the atmosphere and ocean. 402 Warm bias can also be found in North Atlantic including the coast of North America, Labrador Sea, 403 and south of Greenland. Negative biases exist in most of the North Pacific and subtropical North Atlantic, probably because of overestimated wind stress in these regions. 404

Although the SST bias in TaiESM is very small, the global mean SAT in TaiESM is substantially colder than the observational data by 0.49 K with an RMSD of 1.68 K. This result indicates that the temperature over land and sea ice in TaiESM is severely underestimated (Figure 8b). Cold bias exists over most of the polar regions, the Tibetan Plateau, and tropical land areas (e.g., Amazonia, Central Africa, and Southeast Asia). It must be due to the excessive cloud that reflects
excessive sunlight. SAT bias over the ocean generally follows SST bias, except that the SAT bias in
the subtropical South Pacific is very small despite the warm SST bias.

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413 **5.4. Precipitation**

414 Figure 9 illustrates the mean precipitation over 1979–2005 in TaiESM and Global Precipitation Climatology Project (GPCP; Huffman et al., 2009) 1-Degree Daily (1-DD) data. TaiESM 415 overestimates the global precipitation by 0.38 mm day⁻¹ with an RMSD of 1.11 mm day⁻¹. The most 416 pronounced bias in TaiESM is the double ITCZ-a common issue in most contemporary GCMs (Lin, 417 418 2007, Hirota and Takayabu, 2013) and in CESM1 (Wang et al., 2015a). The precipitation rates of 419 both the northern and southern ITCZ branches are extremely strong. The overly intense convection 420 strengthens the subsidence and consequently produces too little rainfall along the equator. Precipitation is also overestimated in the maritime continent, while it is severely underestimated in 421 422 Borneo. In TaiESM, the land-sea contrast in precipitation is not as apparent as in the observation 423 over the warm pool region. The South Pacific convergence zone (SPCZ) is also too strong and too parallel to the ITCZ. The dipole bias in the tropical Indian Ocean, excessive rainfall in the western 424 part and scant rainfall in the eastern part, still exists as in NCAR models (Gent et al., 2011). There is 425 also a double ITCZ bias in the Atlantic Ocean that the southern branch is too strong and the northern 426 branch is too weak. In South America, precipitation over the Amazon basin is considerably 427 428 underestimated, whereas excessive orographic precipitation can be found along the Andes (Cook et al., 2012). 429

430

431 5.5. Sea ice

Figure 10 presents the annual mean of sea ice concentration in the Arctic Ocean and SouthernOcean in TaiESM, and the black lines indicate the 15% mean concentration from the National Snow

and Ice Data Center (NSIDC) Climate Data Record (CDR) of passive microwave sea ice concentration version 3 (Peng et al., 2013), during 1979–2005. In the NH, TaiESM severely overestimates sea ice concentration over the North Pacific, particularly in the Sea of Okhotsk. TaiESM also overestimates sea ice in the Barents Sea and near the east coast of Greenland but slightly underestimates sea ice in Labrador Sea. In the SH, sea ice in TaiESM is generally in agreement with the observation. Excessive sea ice is noted in the area south of New Zealand, but in the Indian Ocean region, sea ice is scant. This deviation follows the SST bias presented previously.

Figure 11 illustrates the temporal evolution of the annual sea ice concentration in TaiESM 441 442 compared with that in the CDR. The change in NH sea ice in TaiESM generally captures the trend in 443 the observation before 2002. However, there is an increase in TaiESM in the last 4 years, in contrast 444 to an accelerated reduction in observational data. This sea ice increase could be a fluctuation in a 445 climate simulation, and it requires longer integration for additional investigation. In SH, a decreasing 446 trend of the sea ice concentration can be found in TaiESM, whereas it remains almost unchanged in 447 observational data. Because there is no land-sea ice model in TaiESM, the discharge of the ice sheet 448 from Antarctic continent to Southern Ocean, the major source of SH sea ice, cannot be simulated accurately. Consequently, the sea ice concentration in the SH could be controlled primarily by 449 450 temperature in TaiESM, leading to an unrealistic temporal evolution.

451

452 <u>5.6. ENSO</u>

To evaluate the ENSO behavior during 1976-2005 in TaiESM, the HadISST sea surface temperature and MRE2 reanalysis data in the same period are used. The observed and simulated spectra of Nino 3.4 index presented in Figure 12 reveals the adequate ability of TaiESM in reproducing the periodicity of El Niño. The observed Nino 3.4 index exhibits three statistically significant peaks between 2–6 years. TaiESM is able to simulate three spectral peaks with slightly shorter periods, while the amplitudes of all three peaks are larger than observation.

459	The anomalies of surface temperature, sea level pressure, and near-surface wind in December-
460	February when the ENSO is at the mature stage are shown in Figure 13, which are the composites of
461	five and six El Niño events in observation and TaiESM simulation, respectively. The simulated SST
462	anomaly (SSTA) is evidently larger in both amplitude and spatial coverage than the observed and
463	with the maximum shifted westward to the central equatorial Pacific compared with the observation,
464	which is the common bias in many climate models. The horseshoe-like negative SSTA in the
465	northwest/southwest and west of the positive SSTA is stronger and covers much larger areas than the
466	observed one. This over-simulated SSTA structure leads to some marked biases in the simulated
467	atmospheric circulation and temperature, such as the cold bias in the western North Pacific and
468	maritime continent, warm bias in the western Indian Ocean and Bering Sea, and too strong
469	convergence in the eastern equatorial Pacific.

471 **5.6.** Comparison with CMIP5 models

The overall performance of TaiESM historical simulation during 1979-2005 is evaluated by 472 473 comparing with other CMIP5 models following the metrics introduced by Gleckler et al. (2008). 474 Figure 12-14 shows the normalized space-time root-mean-square-error (RMSE) of selected variables from TaiESM, several CMIP5 models, and multi-model ensemble (MME) against reanalysis and 475 476 observation datasets. The reference data of air temperatures (TA), zonal and meridional wind 477 velocities (UA and VA), and geopotential height (ZG) at various pressure levels, as well as the 478 surface air temperature (TAS), are from Collaborative Reanalysis Technical Environment (CREATE) 479 Multi-Reanalysis Ensemble version 2 (MRE2; Potter et al., 2018). The observational precipitation 480 (PR) data is from GPCP. Upward longwave radiation in the total sky (RLUT) and clear sky (RLUTCS) and upward shortwave radiation in the total sky (RSUT) and clear sky (RSUTCS) are 481 from CERES-EBAF. It is expected that the errors of CMIP5 MME are generally the smallest. 482 TaiESM has smallest bias in PR among all CMIP5 models, and its performance in RSUT and RLUT 483

is also very good. The relative poor performance in TAS is primarily due to the cold bias over land
and sea ice areas. The RMSEs of all variables in TaiESM are smaller than the median CMIP5 error,
indicating that the performance of TaiESM is above average among all CMIP5 models. In particular,
RMSEs of PR, RLST, and RLUT of TaiESM are among the smallest The performance of TaiESM is
comparable to that of CESM1-CAM5, and they have similar strengths and weaknesses. Note that
three variables with RMSE larger than median in CESM1-CAM5 are all improved in TaiESM.

490

491 **6.** Summary and conclusions

492 This paper documents the TaiESM version 1, developed on the basis of CESM1.2.2, with revised physical and chemical parameterizations, including 1) trigger functions for deep convection, 493 494 which can improve the variability simulation in convective rainfall; 2) GTS cloud macrophysics 495 scheme to avoid artificial RH threshold for cloud formation; 3) three-moment SNAP aerosol scheme; 496 4) 3D radiation-topography interactions to account for the impact of shading and reflection on 497 shortwave radiation in mountains. A 1D mixed-layer ocean model is incorporated to the atmosphere 498 component to simulate the thermodynamic air-sea interaction, but it is not used for fully coupled 499 simulations.

TaiESM stability is assessed using 500-year piControl. Although constant imbalance in the net flux at the TOA exists, the drifts of global mean SAT and SST are very small, with long-term trends of 0.0088 and 0.0047 K century⁻¹, respectively. The excessive energy enters the deep ocean and leads to continuous warming by 0.030 K century⁻¹. The drifts in the sea ice concentration in both NH and SH are both small because of the nearly zero net energy flux from the atmosphere to sea ice. However, the global mean SSS and total ocean salinity both demonstrate significantly decreasing trends.

507 For the historical evolution of SAT, the warming of TaiESM from 1850 to 1935 is too weak 508 compared with the observation. After 1950, TaiESM satisfactorily captures the trend of global

warming with a heating rate of 1.12 K century⁻¹ comparable to the observation of 1.16 K century⁻¹. 509 The current climatology of TaiESM during 1979-2005 is generally in agreement with the 510 observations. The overall performance of TaiESM is better than the median of CMIP5 models, 511 512 particularly that the RMSE of precipitation is smallest. There are too many clouds in TaiESM, 513 whereas the SWCF and LWCF are almost mostly similar to and weaker than the observation, respectively. This result implies that the new cloud macrophysics scheme produces larger amount but 514 optically thinner clouds. SST in TaiESM is very close to the observation, whereas SAT is 515 516 significantly colder, implying remarkably underestimated SAT over land and sea ice surfaces. 517 TaiESM produces excessive precipitation, and the biases of double ITCZ and dipole in the tropical Indian Ocean exist, whereas there is a severe dry bias in the Amazon basin. The trend of the NH sea 518 519 ice concentration in TaiESM follows the observation well, whereas it might not capture the 520 accelerating reduction in the 21st century. For the ENSO simulation, TaiESM is able to reproduce 521 three spectral peaks similar to observation with periods between 2-6 years while the variability of 522 SST, including magnitude of anomaly and spatial coverage, is too strong.

This paper focuses on the evaluation of long-term climatological state and evolution of global mean quantities in TaiESM in preindustrial and historical simulations. The other part of the characteristics of an ESM, climate variability, is also very critical to the performance of a model, and it requires additional in-depth research. Further investigation of climate variability in TaiESM, including the El-Niño and Southern Oscillation, intraseasonal oscillation, monsoon, and extreme precipitation, will be documented in the follow-up papers.

529

530 *Code and data availability.* The model code of TaiESM version 1 is available at 531 <u>https://doi.org/10.5281/zenodo.3626654</u>. Output data of TaiESM using CMIP5 forcing, including 532 preindustrial and historical simulations, are available at 533 <u>http://cclics.rcec.sinica.edu.tw/index.php/databases/data.html</u>.

535 Author contributions. HHH is the initiator and the primary investigator of the TaiESM project. WLL is the main model developer and writes the majority part of the paper. YCW is the developer and 536 537 writer of trigger functions for deep convection. CJS and YCW are the developer and writers of cloud macrophysics. ICT and JPC are the developers and writers of SNAP aerosol scheme. CYT and YYL 538 are developers of 1D mixed-layer model and CYT is the writer of this section. HLP helps develop 539 the theoretical basis of trigger functions for deep convection and cloud macrophysics. 540 541 542 *Competing interests.* The authors declare that they have no conflict of interest. 543 544 Acknowledgements. The contribution from WLL, YCW, CJS, ICT, CYT, YYL, and HHH to this 545 study is supported by Ministry of Science and Technology of Taiwan under contracts MOST 106-2111-M-001-002, MOST 106-2111-M-034-002, and MOST 106-2111-M-001-005. JPC is also 546 supported by MOST 107-2111-M-001-012. We thank the computational support from National 547 Center for High-performance Computing of Taiwan. This manuscript is edited by Wallace Academic 548 Editing. 549

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Variable	CESM1.2.2	TaiESM
SAT ^a (°C)	13.16	13.58
SST ^b (°C)	19.52	19.75
TOA net flux (W m ⁻²)	0.080	0.089
TOA net SW flux (W m ⁻²)	237.79	240.03
TOA net LW flux (W m ⁻²)	237.71	239.94
TOA clear-sky net SW flux (W m^{-2})	285.41	286.07
TOA clear-sky net LW flux (W m^{-2})	260.35	262.02
SWCF (W m ⁻²)	-47.62	-46.05
LWCF (W m^{-2})	22.67	22.08
High cloud cover (%)	37.81	45.61
Low cloud cover (%)	41.96	41.99

^a Estimated observation value of SAT is 13.63°C from BEST (Rohde et al., 2013)

^b Estimated observation value of SST is 19.27°C in 1854 from ERSST (Huang et al., 2017)

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Table 1. Long-term global means of selected climatological variables from CESM1.2.2 and TaiESM

775 Figure List

Figure 1. Peak phase of diurnal rainfall cycle over three major tropical regions: Central Africa,
Southeast Asia, and Amazonia in (a) TRMM3B42 (2001–2011), (b) CESM1.2.2 (1979–2005),
and (c) TaiESM (1979–2005). Areas with amplitude of diurnal precipitation smaller than 0.5 mm

779 <u>day-1 are masked out.</u>

- Figure 2. Time-longitude Hovmöller diagrams for diurnal rainfall cycle over the SGP observed by
 TRMM3B42 dataset (2001–2011, upper panel), and simulated by CESM1.2.2 (central panel) and
 TaiESM (lower panel), with the elevation of topography on the top.
- Figure 3. Theoretical calculations of cloud fraction as a function of RH for water vapor and
 condensates: (a) CAM5 macrophysics scheme, (b) GTS macrophysics with uniform PDF, and (c)
 GRS macrophysics with triangular PDF.
- Figure 4. A 500-year time series of annual mean climatological quantities in TaiESM piControl simulation (from top to bottom): SAT at 2-m height, SST, net flux at the TOA (FNT), net flux at the surface (FNS), SSS, volume-averaged ocean temperature, volume-averaged ocean salinity, and NH and SH sea ice areas. The horizontal lines in FNT and FNS indicate the zero value.

790 Figure 5. Historical global mean SAT anomalies relative to the period of 1951–1980 from TaiESM

historical simulation (red) and observational datasets of BEST (blue) and GISTEMP (black).

Figure 6. Vertically integrated cloud fractions for (a) total cloud, (b) high cloud, and (c) low cloud in

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- Figure 7. Cloud forcing for (a) shortwave and (b) longwave in the 1979–2005 TaiESM historical run
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- **Figure 8.** (a) SST and (b) SAT in the 1979–2005 TaiESM historical run (top panels), observations
- 798 (HadISST for SST and BEST for SAT, central panels), and biases (bottom panels).
- 799 Figure 9. Precipitation in the 1979–2005 TaiESM historical run (top panels), observations (GPCP,

- 800 central panels), and biases (bottom panels).
- **Figure 10.** Annual mean sea ice concentration in the 1979–2005 TaiESM historical run for both NH
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- Figure 11. Time series of annual mean total sea ice area for both NH and SH from TaiESMhistorical run and observation.
- Figure 12. Power spectra of Nino 3.4 index from TaiESM (thin black line) and HadISST (thick gray
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 (blue), and 90% (red).
- **Figure 13.** Composite anomalies of surface temperature (shading), sea level pressure (contour), and

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811 <u>Niño events during 1976-2005 in TaiESM simulation (top panel) and Observation (bottom panel),</u>
 812 respectively.

Figure 1214. The space-time RMSEs of upward longwave radiation at TOA in total sky and clear sky (RLUT and RLUTCS), upward shortwave radiation at TOA in total sky and clear sky (RSUT and RSUTCS), precipitation (PR), surface air temperature (TAS), geopotential height (ZG), meridional wind (VA), zonal wind (UA), and air temperature (TA) from TaiESM, CMIP5 models, and CMIP5 MME. The values of shading represent the magnitude of normalized error with respect to the median CMIP5 error. For example, a value of -0.2 indicates that the RMSE of a model is 20% smaller than the median error.





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Southern Great Plains (35-40N,90-110W)



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