Anonymous Referee #1

Received and published: 15 March 2020

Review of Mengis et al. gmd-2019-373

This seems like a really straightforward behavior. The descriptions are excellent, and I'm quite impressed with the results of the model. I only have a few minor comments.

Thank you for this positive evaluation of our manuscript.

Abstract: Your abstract is pretty short and not all that specific. You have room to go into more details about some major developments or some details about how well the new version of the model performs.

Thank you. That is a good point. We added some sentences accordingly.

"The main additions to the base model are: i) an improved biogeochemistry module for the ocean, ii) a vertically resolved soil model including dynamic hydrology and soil carbon processes, and iii) a representation of permafrost carbon."

"For the moment, the main biases that remain are a vegetation carbon density that is too high in the tropics, a higher than observed change in the ocean heat content, and a oxygen utilization in the Southern Ocean that is too low. All of these biases will be addressed in the next updates to the model."

Page 1, Lines 5-6: I think it would be helpful to be more specific here. More specifically, it is part of CMIP6, but the EMIC intercomparison – please say that.

We added some more information:

"The new version 2.10 of the University of Victoria Earth System Climate Model (UVic ESCM) presented here, will be part of the 6th phase of the coupled model intercomparison project (CMIP6). More precisely it will be used in the intercomparison of Earth system models of intermediate complexity (EMICs), such as the C4MIP, the Carbon Dioxide Removal and Zero Emissions Commitment model intercomparison projects (CDR-MIP and ZECMIP, respectively)."

Page 4, line 33: Could you include a definition or short description of cryoturbation?

Sure, no problem:

"A representation of permafrost carbon has also been added to the model. Permafrost carbon is prognostically generated within the model using a diffusion-based scheme meant to approximate the process of cryoturbation (MacDougall & Knutti 2016). That is, a freeze-thaw generated mechanical mixing process that causes subduction of organic carbon rich soils from the surface into deeper soil layers in permafrost affected soils."

Page 5, line 16: Change citep to citet.

Thank you. We changed this.

Page 6, line 7: Please use proper scientific notation – this is a bit confusing as written.

Thank you. We changed this.

Page 6, line 15: Some grammar issues

Thank you for pointing this out. We changed it to:

"The resulting AOD caused a forcing that was too strong in the historical period. Therefore, an option was implemented into the UVic ESCM, which allows the user to scale the aerosol forcing from AOD data to fit it to current values."

Page 8, line 8: Can you go into a few more details as to why this might be problematic?

We added a more detailed discussion on this issue:

"An overestimation in the change in ocean heat content anomaly would for example result in a similar overestimation of thermosteric sea level change. Another possible impact of the overestimated ocean heat uptake can be the estimates of the Zero Emissions Commitment, which is directly linked to the state of thermal equilibration of the Earth system \citep{MacDougallEtAl2020}. So the fact that EMICs in general, but the UVic ESCM 2.10 in particular here overestimates the OHC anomaly trend has to be kept in mind if the model were used for experiments concerning this metric."

Page 8, lines 26-27: It might be outside the EMIC range but is well within the ESM range. Is that coincidental or because the code modifications have resulted in the ability to capture more complex behavior?

The code has been changed only with respect to the CO2 forcing formulation to accommodate the new findings from Etminan et al., 2016. Otherwise the model is still using its rather simplistic atmosphere representation. Note that the numbers have changed due to a correction of the sediment fluxes. They now are well within the range reported by Eby et al., 2013.

"For all transient and diagnostic simulations, the weathering flux was then set constant to the value at the end of the spin-up of 8703 kg C s^{-1}."

Anonymous Referee #2

Received and published: 24 March 2020

General comments

This paper provides an overview of the latest update to the long-used UVic Earth System Model of Intermediate Complexity (version 2.10). The UVic model represents an impressive effort on behalf of many scientists in the Canadian climate modelling community and beyond. The previous version 2.9 has been used for many years in carbon budget assessments and modelling long-term climate change, applications for which full-complexity Earth system models are too computationally expensive. Particularly welcome is the inclusion of a permafrost module.

This update provides a valuable addition and extension to the UVic model, and it should be used extensively in the forthcoming IPCC assessments. Moreover, with a global focus on carbon budgets and net zero emissions, ESMs and EMICs that represent carbon cycle processes are even more valuable than previously. It should be published following the detailed minor revisions.

We would like to thank the reviewer for this positive evaluation of our effort.

Specific comments

More should be done to convince the reader that in the era of increasing computing power, UVic is still a valuable model. Some indication of model runtime and the benefits of running UVic 2.10 versus a full-complexity ESM would be useful. What experiments can be done with UVic that ESMs would struggle with? Can you run perturbed parameter or perturbed physics ensembles (also leads into my next point)? On the other side of the coin, much emphasis is now being placed on simple climate models like MAGICC and FAIR, over which UVic has the advantage of fully representative physics, at least for the ocean and land surface.

Thank you for this suggestion. We added a paragraph on the advantages of using EMICs and the UVic in particular.

"As an Earth system model of intermediate complexity, the UVic ESCM has a comparably low computational cost (4.6-11.5hours per 100years on a simple desktop computer, depending on the computational power of the machine), while still providing a comprehensive carbon cycle model with a full represented ocean physics. It is therefore a well-suited tool to for example perform large perturbed parameter ensembles to constrain process level uncertainties (e.g., MacDougallKnutti, 2016; Mengis et al., 2018). Such experiments are not yet feasibly in a state-of-the-art Earth system model (ESM). Thanks to its representation of many important components of the carbon cycle and the physical climate and its ability to simulate dynamic interactions between them, the UVic ESCM is a more comprehensive tool for uncertainty assessment compared to the simple climate models such as MAGICC."

The paper, to an extent, describes the tuning process for CMIP6. It is unclear which components of the model are hardwired and which are able to be changed according to the user's wishes. For example, can the aerosol forcing efficiency of sulphate optical depth to forcing be altered by the user?

We tried to make this clearer throughout the text. For example we reformulated the aerosol option:

"Therefore, an option was implemented into the UVic ESCM, which allows the user to scale the aerosol forcing from AOD data to fit it to current values."

By default, the 1850-2018 aerosol ERF is around −1.43 W m−2 in UVic 2.10. This is in fact stronger than all 12 CMIP6 ESMs and GCMs which have evaluated their aerosol forcing under CMIP6 emissions (Smith et al., 2020). Previous versions of UVic showed that metrics such as committed warming and climate sensitivity depend very strongly on the present-day aerosol forcing (Matthews and Zickfeld, 2012).

That is correct, the default forcing is too strong, which is why we scaled it down. ⌊SEP⌋⌊SEP⌋"For transient simulations, the scaling factor was set to 0.7, which gives a globally average forcing of -1.04 Wm^{-2} in 2014, consistent with the IPCC AR5 range estimate of between -2.3 and 0.2 Wm^{-2} (Ciais and Sabine, 2013) and the newest updates of this forcing of -1.04 ± 0.23 Wm^{-2} from Smith et al. (2020)."

Now, this option allows the user to really easily apply sensitivity analysis of this forcing component, and its influence on e.g. the ZEC.

Related to this, on page 5, line 12: "All data used in the creation of this dataset can be accessed from Input4Mip on the Earth System Grid Federation (ESGF) unless otherwise specified." This slightly implies that we are constrained to use the CMIP6 tuning and/or forcing timeseries. Is this the case, or is this just for the tuning setup described in this paper?

Thank you for this comment. This section is merely meant to point to the data source for the CMIP6 forcing. The model as described here has been tuned to be spun-up and to perform well using CMIP6 data, spin-up protocol and forcing protocol. As there have been substantial updates especially concerning solar data this was a non-trivial task. If the model would be applied in another context, for example in a paleo study it is advised to evaluate the models behaviour to reproduce the respective data. But it does not mean it is limited to be used in the CMIP6 context only. We added a sentence on this at the end of this section:

"Even though the model has been fine tuned to reproduce the recent observational period while following the CMIP6 forcing data and protocols, the model is not limited to CMIP6 context applications."

Subheadings (i), (ii), (iii) in section 2.1 could be third level headings? (2.1.1, 2.1.2, 2.1.3)

We changed this.

Page 6, line 17: The AR5 aerosol effective radiative forcing range is −1.9 to −0.1 W m−2. The appropriate references are Boucher et al., 2013 and Myhre et al., 2013, not the cited Ciais and Sabine.

Thank you for providing the correct citations!

Page 8, line 8: "might still be problematic": in what way? Could this affect long-term projections of near-surface air temperature?

In the long term this is a possibility. A positive bias in the surface ocean heat capacity change points to the fact that the ocean takes up more heat in the simulations compared with what is observed. This in turn might then impact the thermal equilibration state at e.g. the point of zero emissions and thereby the estimate for the zero emissions commitment. We added a sentence here to discuss possible pitfalls.

"This seems to be a general feature of EMICs (Eby et al., 2013), but might still be problematic. An overestimation in the change in ocean heat content anomaly would for example result in a similar overestimation of thermosteric sea level change. Another possible impact of the overestimated ocean heat uptake can be the estimates of the Zero Emissions Commitment, which is directly linked to the state of

Page 8, line 14: Temperature performance is evaluated with respect to the Global Warming Index (GWI), which seeks to isolate the anthropogenic component of historical warming from the natural component/total observed warming. But isn't natural forcing included in UVic? Section 2.1 suggests it is, and we can see the signatures of Krakatoa, Agung and Pinatubo in the temperature timeseries of figure 1a. On closer inspection, the curve marked as GWI seems to have a lot of internal variability, when it should be smooth like the yellow curve at http://globalwarmingindex.org/. Is this definitely GWI?

More pressingly, GWI is a mean of four datasets which are near-surface air temperature over land blended with sea-surface temperatures, of which three of the four have coverage bias by excluding regions where there are no observations such as the rapidly warming Arctic. If you are comparing UVic global near-surface air temperature over land and sea with no coverage masking (as is typically done when analysing temperature change in climate models) against GWI or any observational dataset without adjustment, you are likely under-predicting the present-day warming. See Richardson et al. (2016).

Thank you for clarifying this! We have previously been using the average of all four datasets, which as you pointed out would likely introduce a coverage bias when compared to the GSAT as calculated in our model. What we show now is HadCRUT4-CW filled in dataset, in comparison with the global mean surface air temperature as simulated by our model.

Page 8, line 26: It could be worth remarking on the interesting non-linearity between 2× and 4×CO2 ECS and possible mechanisms. Are these true long-term equilibrium values — presumably the model can be run long enough to determine this — or from a 150-year abrupt forcing (Gregory) regression?

Thank you for this comment. The reviewer is right to assume that these are equilibrium values for simulations that were simulated for 1000 years. The previously found non-linearity has decreased substantially in our new simulations. It turned out that this was due to multiple reasons, one being the sea ice albedo feedback that the 2xCO2 simulation had experience, and another being the terrestrial weathering flux that had not been set correctly for all transient simulations. An error we have now corrected. Because of this, we did not comment further on it in this manuscript. We changed the sentence to:

"In the same way, there is a good agreement with the EMIC multi-model mean and the diagnosed values for the Equilibrium Climate Sensitivity for a 2x and 4x increase in atmospheric CO_2 concentrations, with temperature increases of 3.39 °C and 6.47 °C, respectively."

Figure 2: Would it be better to plot summer minus winter and compare both hemispheres on one scale? A third plot showing the differences between UVic and obs would be nice too.

We changed the plot accordingly and added the latitudinal means. We hope that this is sufficient for the comparison of the UVic and the observational data set.

Supplementary figure S5: I'm not completely sure it's appropriate to copy the diagram from Wild et al., 2013 in fig. S5 unless you have permission from the publisher, but maybe you have obtained this.

Technical corrections

line 9: "reproducing well changes" reads a little awkwardly, how about "reproduc-ing changes in historical temperature and carbon fluxes well,"?

Done.

page 3, line 3: Special Report on Global Warming of 1.5∘C

Done.

page 3, line 13: merge → merging

Done.

page 3, line 25: period after (Weaver et al., 2001) rather than comma would improve flow in my opinion

Done.

page 5, line 15: calculated → calculates

Done.

page 5, line 16: Etminan reference as author (year)

Done.

page 5, line 28: β is better described as a forcing efficiency. NOx (NO and NO2) are nitrogen oxides which are emitted in the gas rather than aerosol phase. As you correctly allude, NOx are implicated in the formation of nitrate aerosols, as well as being an ozone precursor.

We changed this formulation.

page 6, line 2: Input4Mip → input4MIPs (also a few other places)

Done.

page 6, eq. 4: Ci→Ci

Done.

page 6, line 7: E−5 and E−3 would be better written e.g. ×10−5.

Done.

page 7, line 2: the IMAGE model

Done.

page 7, line 8: close bracket has no corresponding open bracket

Done.

page 8, line 20: CO2 → CO2 (also a few other places)

We changed this. Note that we left the non-subscripted version anywhere where we refer to the 2xCO2 and 4xCO2 experiments.

page 8, line 21: remove "with"

Done.

page 9, lines 1-2: written a little awkwardly. Generally, it would be good to be consistent with units throughout the paper: use either K or ∘C consistently.

Done.

page 11, line 8: than in → compared to

Done.

page 12, table 3: solar down range should be 340 not 240?

Wow. Thank you for catching this one!

page 12, line 7: remove "with"

Done.

page13,line18: at→isat

Done.

page 13, line 20: simulates → simulated Done.

page 13, line 24: consistency with citing IPCC chapters

Done.

page 18, figure 9 caption: Taylor 2001 is not in the list of references.

Thank you!! We added this.

page 22, line 15: Capitalise Coupled Model Intercomparison Project, although will UVic actually be used in CMIP6? I would assume ZECMIP and C4MIP? If so I would also suggest highlighting this in the abstract too.

Thank you for the suggestion. We added this to the abstract.

"The new version 2.10 of the University of Victoria Earth System Climate Model (UVic ESCM) presented here, will be part of the 6th phase of the coupled model intercomparison project (CMIP6). More precisely it will be used in the intercomparison of Earth system models of intermediate complexity (EMICs), like the C4MIP, the Carbon Dioxide Removal and Zero Emissions Commitment model intercomparison projects (CDR-MIP and ZECMIP, respectively)."

page 23, line 10: "are slightly off": can this be written more scientifically?

Done.

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Evaluation of the University of Victoria Earth System Climate Model version 2.10 (UVic ESCM 2.10)

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Abstract. The University of Victoria Earth system climate model of intermediate complexity has been a useful tool in recent assessments of long-term climate changes, including both paleo-climate modelling and uncertainty assessments of future warming. Since the last official release of the UVic ESCM 2.9, and the two official updates during the last decade, considerable model development has taken place among multiple research groups. The new version 2.10 of the University of Victoria Earth System Climate Model (UVic ESCM) presented here, will be part of the 6th phase of the coupled model intercomparison project (CMIP6). More precisely it will be used in the intercomparison of Earth system models of intermediate complexity (EMICs), such as the C4MIP, the Carbon Dioxide Removal and Zero Emissions Commitment model intercomparison projects (CDR-MIP and ZECMIP, respectively). It now combines and brings together multiple model developments and new components that have taken place since the last official release of the model. The main additions to the base model are: i) an improved biogeochemistry module for the ocean, ii) a vertically resolved soil model including dynamic hydrology and soil carbon processes, and iii) a representation of permafrost carbon. To set the foundation of its use, we here describe the UVic ESCM 2.10 and evaluate results from transient historical simulations against observational data. We find that the UVic ESCM 2.10 is capable of reproducing changes in historical temperature and carbon fluxes well. The spatial distribution of many ocean tracers, including temperature, salinity, phosphate and nitrate also agree well with observed tracer profiles. The good performance in the ocean tracers is connected to an improved representation of ocean physical properties. For the moment, the main biases that remain are a vegetation carbon density that is too high in the tropics, a higher than observed change in the ocean heat content, and a oxygen utilization in the Southern Ocean that is too low. All of these biases will be addressed in the next updates to the model.

1. Introduction

The University of Victoria Earth System Climate Model (UVic ESCM) of intermediate complexity has been a useful tool in recent assessments of long term climate changes including paleo-climate modelling (e.g. Alexander et al., 2015; Bagniewski et al., 2017; Handiani et al., 2012; Meissner et al., 2003; Menviel et al., 2014), carbon cycle dynamics (e.g. Matthews et al., 2009b; Matthews and Caldeira, 2008; Montenegro et al., 2007; Schmittner et al., 2008; Tokarska and Zickfeld, 2015; Zickfeld et al., 2009, 2011, 2016) and climate change uncertainty assessments (e.g. Ehlert et al., 2018; Leduc et al., 2015; MacDougall et al., 2017; MacDougall and Friedlingstein, 2015; Matthews et al., 2009a; Mengis et al., 2018, 2019; Rennermalm et al., 2006; Taucher and Oschlies, 2011; Zickfeld et al., 2015). The UVic ESCM has been instrumental in establishing the irreversibility of CO_2-induced climate change after cessation of CO_2 emissions (Matthews et al., 2008; Eby et al., 2009) and the proportional relationship between global warming and cumulative CO_2 emissions (Matthews et al., 2009; Zickeld et al., 2009). As an Earth system model of intermediate complexity, the UVic ESCM has a comparably low computational cost (4.6-11.5 hours per 100 years on a simple desktop computer, depending on the computational power of the machine), while still providing a comprehensive carbon cycle model with a full represented ocean physics. It is therefore a well-suited tool to for example perform large perturbed parameter ensembles to constrain process level uncertainties (e.g.,

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MacDougall & Knutti, 2016; Mengis et al., 2018). Such experiments are still not yet feasibly in a state-of-the-art Earth system model (ESM). Thanks to its representation of many important components of the carbon cycle and the physical climate and its ability to simulate dynamic interactions between them, the UVic ESCM is a more comprehensive tool for uncertainty assessment compared to the simple climate models such as MAGICC.

Since the last official release of the UVic ESCM 2.9, and the two official updates during the last decade (Eby et al., 2009; Zickfeld et al., 2011), there are representations for a new marine ecosystem model (Keller et al., 2012), higher vertically resolved soil dynamics (Avis et al., 2011), and permafrost carbon (MacDougall et al., 2012; MacDougall and Knutti, 2016).

The marine ecosystems and biological processes play an important, but often less understood, role in global biogeochemical cycles. They affect the climate primarily through the "carbonate" and "soft tissue" pumps (i.e., the "biological" pump) (Longhurst and Harrison, 1989; Volk and Hoffert, 1985). The biological pump has been estimated to export between 5 and 20 Gt C yr^{-1} out of the surface layer (Henson et al., 2011; Honjo et al., 2008; Laws et al., 2000). However, as indicated by the large range of estimates there is great uncertainty in our understanding of the magnitude of carbon export (Henson et al., 2011), its sensitivity to environmental change (Löptien and Dietze, 2019) and thus its effect on the Earth's climate. Above that, marine ecosystems also play a large role in the cycling of nitrogen, phosphorus, and oxygen. In surface waters nitrogen and phosphorus constitute major nutrients that are consumed by, and drive, primary production (PP) and thus are linked back to the carbon cycle.

In the recent Special Report on Global Warming of 1.5 °C from the Intergovernmental Panel on Climate Change one of the key uncertainties for the assessment of the remaining global carbon budget was the impact from unrepresented Earth system feedbacks. On the decadal to centennial timescales this specifically refers to the permafrost carbon feedback (Lowe and Bernie, 2018). Quantifying the strength and timing of this permafrost carbon cycle feedback to climate change has been a goal of Earth system modelling in recent years (e.g., Burke et al., 2012; Koven et al., 2011, 2013; MacDougall et al., 2012; Schaefer et al., 2011; Schneider Von Deimling et al., 2012; Zhuang et al., 2006).

For version 2.10 of the University of Victoria Earth system climate model, we combined version 2.9 with the new marine ecosystem model component as published in Keller et al. (2012), and the soil dynamics and permafrost carbon component as published by Avis et al. (2011) and MacDougall & Knutti (2016). For the 6th Phase of the Coupled Model Intercomparison Project (CMIP6) simulations, the merging of these two components will allow a more comprehensive representation of the carbon cycle in the UVic ESCM, while incorporating the model developments that have been taken place in the context of the UVic ESCM. In addition to the structural changes, we also changed the spin-up protocol to follow CMIP6 protocols and applied the newly available CMIP6 forcing.

The objective of the new model development is to have a more realistic representation of carbon and heat fluxes in the UVic ESCM 2.10 in agreement with the available observational data and with current process understanding to be used within the context of the next round of model intercomparison projects for models of intermediate complexity. To set the foundation of its use, we will in the following describe the UVic ESCM 2.10 (Sect. 2.1.), the newly formatted historical CMIP6 forcing that has been and will be used (Sect. 2.2.), explicitly describe changes that have been implemented in the UVic ESCM

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with respect to the previously published versions (Sect. 2.3.), and then evaluate results from transient historical simulations against observational data (Sect. 3.).

2. Methods

2.1. Description of the University of Victoria Earth system climate model version 2.10

The UVic ESCM is a model of intermediate complexity (Weaver et al., 2001). All model components have a common horizontal resolution of 3.6° longitude and 1.8° latitude and the oceanic component has a vertical resolution of 19 levels, with vertical thickness varying between 50 m near the surface to 500 m in the deep ocean. The Modular Ocean Model Version 2 (MOM2) (Pacanowski, 1995) describes the ocean physics, it is coupled to a thermodynamic-dynamic sea-ice model (Bitz et al., 2001) with elastic visco-plastic rheology (Hunke and Dukowicz, 1997). The atmosphere is represented by a two-dimensional atmospheric energy moisture balance model (Fanning and Weaver, 1996). Wind velocities used to calculate advection of atmospheric heat and moisture as well as the air-sea-ice fluxes of surface momentum, heat and water fluxes, are prescribed as monthly climatological wind fields from NCAR/NCEP reanalysis data (Eby et al., 2013). In transient simulations wind anomalies, which are determined from surface pressure anomalies with respect to pre-industrial surface air temperature, are added to the prescribed wind fields (Weaver et al., 2001). In addition, the terrestrial component represents vegetation dynamics including five different plant functional types (Meissner et al., 2003). Sediment processes are represented using an oxic-only calcium-carbonate model (Archer, 1996). Terrestrial weathering is diagnosed from the net sediment flux during spin-up and held fixed at the equilibrium pre-industrial value for transient simulations (Meissner et al., 2012).

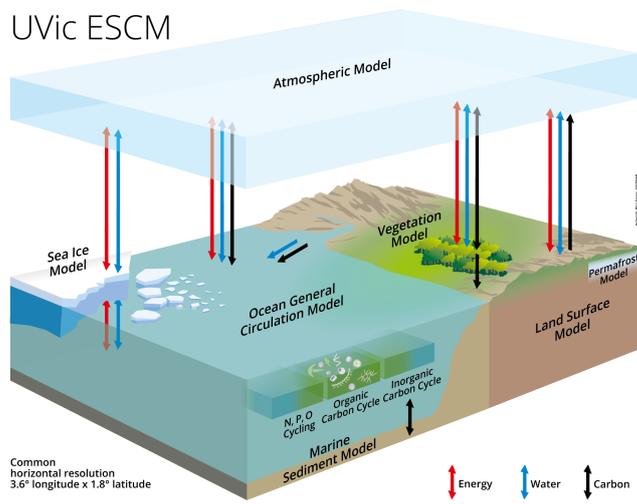**Deleted:**), all

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UVic ESCM

Figure 1: Schematic of the University of Victoria Earth System Climate Model version 2.10 (UVic ESCM 2.10).

The new version 2.10 of the University of Victoria Earth System Climate Model (UVic ESCM) presented here combines and brings together multiple model developments and new components that have taken place since the last official release of the model in the CMIP5 context. In the following the novel model components are described in detail.

2.1.1 Marine biogeochemical model

Novel compared to the 2009 version of the model, is the ocean biogeochemistry model as published by Keller et al. (2012). It now includes equations describing phytoplankton light limitation and zooplankton grazing, a more realistic zooplankton growth and grazing model, and formulations for an iron limitation scheme to constrain phytoplankton growth. In this context the ocean's mixing scheme was changed from a Bryan-Lewis profile to a scheme for the computation of tidally induced diapycnal mixing over rough topography (Simmons et al., 2004) (see ocean diffusivity profiles in Figure S3). In addition, the air to sea gas parameterization was updated following the ocean carbon-cycle model intercomparison project updates for these numbers (Wanninkhof, 2014), which impacts the carbon exchange between the atmospheric and marine components. Furthermore, we now apply the stoichiometry from Paulmier et al. (2009) to consistently account for the effects of denitrification and nitrogen fixation on alkalinity and oxygen.

2.1.2 Soil model

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The terrestrial component has also been updated relative to the latest official release of the UVic ESCM. It now includes a representation of soil freeze–thaw processes resolved in 14 subsurface layers of which the thicknesses exponentially increase with depth: the surface layer having a thickness of 0.1 m and the bottom layer a thickness of 104.4 m, the total thickness of the subsurface layers is 250 m. The top eight layers (to a depth of 10 m) are soil layers; below this are bedrock layers having the thermal characteristics of granitic rock. Moisture undergoes free drainage from the base of the soil layers and the bedrock layers are hydrologically inactive (Avis et al., 2011). In addition, the soil module includes a multi-layer representation of soil carbon (MacDougall et al., 2012). Organic carbon from the litter flux is allocated to soil layers as a decreasing function of depth, and is only added to soil layers with a temperature above 1ºC. If all layers are below this temperature threshold the litter flux is added to the top layer of soil. Soil respiration remains a function of temperature and moisture (Meissner et al., 2003) but is now implemented in each layer individually. Respiration ceases if the soil layer is below 0ºC. Soil carbon is present in the top six layers of the soil column down to a depth of 3.35 m.

2.1.3 Permafrost model

A representation of permafrost carbon has also been added to the model. Permafrost carbon is prognostically generated within the model using a diffusion-based scheme meant to approximate the process of cryoturbation (MacDougall & Knutti 2016). That is, a freeze-thaw generated mechanical mixing process that causes subduction of organic carbon rich soils from the surface into deeper soil layers in permafrost affected soils. In model grid-cells with perennially frozen soil layers soil carbon is diffused proportional to the effective carbon concentration of each soil layer. Effective carbon concentration is carbon concentration divided by porosity and a saturation factor (MacDougall & Knutti 2016). Carbon that is diffused into perennially frozen soil is reclassified as permafrost carbon and is given different properties from regular soil carbon. Permafrost carbon decays with its own constant decay rate and is subject to an `available fraction', which determines the fraction of permafrost carbon that is available to decay. The available fraction slowly increased if permafrost carbon becomes thawed and decreased if permafrost carbon decays. Using this scheme, the model can represent the large fraction of permafrost carbon that is in the passive soil carbon pool, while still allowing the passive pool to eventually decay (MacDougall & Knutti 2016).

2.2. Description of the CMIP6 forcing for the UVic ESCM

Anthropogenic forcing from greenhouse gases (GHGs), stratospheric and tropospheric ozone, aerosols and stratospheric water-vapor from methane oxidation are considered. Natural forcing includes solar and volcanic. All data used in the creation of this dataset can be accessed from input4MIP on the Earth System Grid Federation (ESGF) unless otherwise specified. In the following we will shortly describe how the input data for our simulations with the University of Victoria Earth system climate model (UVic ESCM) was created.

In the standard CMIP6 configuration, the UVic ESCM is forced with CO_2 concentration data (ppm) (Meinshausen et al., 2017) which then calculates the radiative forcing internally. These equations were updated to represent the newest findings from Etminan et al. (2016). In contrast to that, radiative forcing for non-CO_2 greenhouse gases (GHGs) was calculated

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externally and summed up to be used as an additional model input, using concentration data of 43 GHGs (Meinshausen et al., 2017). We use updated radiative forcing formulations for CO_2, CH_4 and N_2O following the findings of Etminan et al. (2016). Radiative forcing of other GHGs was calculated using the formulations in Table 8.A.1 from the IPCC AR5 (Shindell et al., 2013). Meinshausen et al. (2017) introduced three options for calculating radiative forcing from GHGs concentrations. For this study we chose to use the option in which one uses specific calculations for all available 43 GHGs, rather than treating some groups of GHGs in a similar manner.

The radiative forcing of stratospheric water-vapor from methane oxidation was calculated following the suggestion from Smith et al. (2018) by multiplying CH_4 effective radiative forcing by 12%.

To calculate radiative forcing of tropospheric ozone, F_{O3tr}, the equations from Smith et al. (2018) were used:

$$F_{O3tr} = \beta_{CH4}(C_{CH_4} - C_{CH_4,pi}) + \beta_{NO_x}(E_{NO_x} - E_{NO_x,pi}) + \beta_{CO}(E_{CO} - E_{CO,pi}) + \beta_{NMVOC}(E_{NMVOC} - E_{NMVOC,pi}) + f(T) \quad (1)$$

$$f(T) = min\{0, 0.032 * ext(-1.35 * T) - 0.032\} \quad (2)$$

where β are the forcing efficiencies, C_{CH4} are methane concentrations, E_X are emissions of the respective species (NO_x - nitrate aerosols, CO – carbon monoxide, $NMVOC$ - non-methane volatile organic compounds), $E_{X,pi}$ are the respective pre-industrial constants for the specific species, and T is temperature in Kelvin. Note that $f(T)$ was not included in our calculations because the forcing is not calculated dynamically. Concentrations and emissions data were obtained from input4MIPs on the Earth System Grid Federation. Pre-industrial values were taken from Table 4 from Smith et al. (2018).

Again following Smith et al. (2018), radiative forcing of stratospheric ozone, F_{O3st}, can be calculated from GHG concentration data using

$$F_{O3st} = a(bs)^c \quad (3)$$

with $s = r_{CFC11} \sum_{i \in ODS}(n_{Cl}(i)C_i \frac{r_i}{r_{CFC11}} + 45 n_{Br}(i)C_i \frac{r_i}{r_{CFC11}}) \quad (4)$

where $a = -1.46 * 10^{-5}$, $b = 2.05 * 10^{-3}$ and $c = 1.03$ are curve fitting parameters, and r_{CFC11} is the fractional release values for Trichlorofluoromethane. Equivalent stratospheric chlorine of all ozone depleting substances (ODS) is represented by Eq. (4) as a function of ODS concentrations. r_i are fractional release values for each ODS as defined by Daniel and Velders (2011). Halon 1202 data are not provided by input4MIPs and therefore was not included.

Three-dimensional aerosol optical depth (AOD) input for the UVic ESCM was created using a UVic ESCM grid and the scripts and data provided by Stevens et al. (2017), describing nine plumes globally which are scaled with time to produce monthly sulphate aerosol optical depth forcing for the years 1850-2018 (for comparison see Fig. S1). The resulting AOD caused a forcing that was too strong in the historical period. Therefore, an option was implemented into the UVic ESCM, which allows the user to scale the aerosol forcing from AOD data to fit it to current values. For transient simulations, the scaling factor was set to 0.7, which gives a globally average forcing of -1.04 Wm^{-2} in 2014, consistent with the IPCC AR5

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range estimate of between -1.9 and -0.1 Wm^{-2} (Boucher et al., 2013 and Myhre et al., 2013) and the newest updates of this forcing of -1.04 ± 0.23 Wm^{-2} from Smith et al. (2020).

Anthropogenic land-use changes (LUC) in the UVic ESCM are prescribed from standardized CMIP6 land use forcing (Ma et al., 2019) that has been re-gridded onto the UVic grid. These gridded land use data products (LUH2), which contain information on multiple types of crop and grazing lands, were adapted for use with UVic by aggregating the crop lands and grazing lands into a single "crop" type, which can represent any of 5 crop functional types, and a single "grazing" variable, which represents both pasture and rangelands. This forcing is used by the model to determine the fraction of each grid cell that is crop or grazing land with those fractions of each terrestrial grid cell then assigned to C3 and C4 grasses and excluded from the vegetation competition routine of the TRIFFID component. CO_2 emissions from LUC affect the model runs so that when forest or other vegetation is cleared for crop lands, range lands or pasture, 50% of the carbon stored in trees is released directly in to atmosphere and the remaining 50% is placed into the short-lived carbon pool.

Historical volcanic radiative forcing data is provided by Schmidt et al. (2018). Following CMIP6 spin-up forcing recommendations (Eyring et al., 2016) volcanic forcing is applied as an anomaly relative to the 1850 to 2014 period in the UVic ESCM.

Solar constant data for 1850 to 2300 was accessed from input4MIPs (Matthes et al., 2017). The available monthly data was annually averaged. Following CMIP6 spin-up forcing recommendations (Eyring et al., 2016) spin-up values were set to the mean of 1850-1873, equal to 1360.7471 Wm^{-2}.

A comparison of radiative forcing used for the UVic ESCM for the Coupled Model Intercomparison Projects 5 and 6 (CMIP5 and CMIP6, respectively) and the data for the historical period as given by the IMAGE model (Meinshausen et al., 2011) is shown in Fig. S2. Even though the model has been fine tuned to reproduce the recent observational period while following the CMIP6 forcing data and protocols, the model is not limited to CMIP6 context applications.

2.2. Description of the CMIP6 forcing for the UVic ESCM

The model was spun up with boundary conditions as described in the CMIP6 protocol by Eyring et al. (2016) for over 10,000 years, in which the weathering flux was dynamically simulated and diagnosed. For all transient and diagnostic simulations, the weathering flux was then set constant to the value at the end of the spin-up of 8703 kg C s^{-1}. To diagnose the transient climate response (TCR), equilibrium climate sensitivity (ECS), the ocean heat uptake efficiency (κ_4x), and the transient climate response to cumulative emissions (TCRE), as given in Table 1, we ran 1,000 year simulations starting with a 1% per year increase in CO_2 concentrations until a doubling (2xCO2) and quadrupling (4xCO2) was reached after which the concentration was kept constant. Before switching from CO_2 concentration driven simulations to CO_2 emissions driven simulations, a 1,500 years drift simulation was run. Finally, the historical simulation is forced with fossil CO_2 emissions, dynamically diagnosed land-use change emission, non-CO_2 GHG forcing, sulphate aerosol forcing, volcanic anomalies forcing and solar forcing.

2.3. Fine tuning of the UVic ESCM 2.10

We tested version 2.10 of the UVic ESCM, with the main incentive to improve its skill in simulating carbon fluxes, historical temperature trajectories and ocean tracers. While evaluating the model with available observational data, specific additional changes and updates were applied with respect to the UVic ESCM versions 2.9-02 (Eby et al., 2009) and 2.9-CE (Keller et al., 2014).

After merging the two model versions, the UVic ESCM's simulated historical cumulative land-use change emissions were close to zero, since its pre-industrial vegetation resembled closely the pattern of plant functional types of today. In order to get a good representation of deforested biomass, we updated the vegetation parameterization to ensure that diagnosed historical land-use change carbon emissions agree with observational estimates from LeQuéré et al. (2018). During this process there was a trade-off between getting the right amount of LUC emissions and a good representation of present-day broadleaf trees in tropical areas. In the end the representation of LUC emissions had the higher priority, to be able to simulate emission driven simulations. To slightly mitigate the high broadleaf tree density, we then decreased the terrestrial CO_2 fertilization by 30% following Mengis et al. (2018), by adjusting the atmospheric CO_2 concentration that is used by the terrestrial model component. This was done to reduce the overestimation of broadleaf tree vegetation especially in tropical areas which, in the real world are limited by phosphorus (Camenzind et al., 2018). The broadleaf tree representation and the terrestrial carbon flux were improved by the scaling of the CO_2 fertilization strength (see Sect. 3.1. and 3.2.); the terrestrial carbon fluxes are now in better agreement with the Global Carbon Budget 2018 by (LeQuéré et al., 2018).

The new model version equilibrated with a rather low oceanic overturning strength, we therefore increased the ocean background vertical diffusivity from previous value of 0.15 cm^2 s^{-1} in Keller et al. (2014) to 0.25 cm^2 s^{-1}, to increase ocean overturning (see Fig. S3 and S4). This caused ocean diffusivity to slightly increase in depths between 0 and 3500 m relative to the previous model version (Fig. S3), but to follow the tidal mixing profile very closely for higher depth. Global diffusivity increased by about 4 %. This change enabled us to reach a very similar ocean overturning as found for the UVic ESCM 2.9-02, which uses the Bryan-Lewis mixing scheme (Fig. S3 and S4). This stronger overturning then in turn also improved ocean physical properties (see Sect. 3.3. and supplementary material) and the global mean temperature and warming trends. However, it also causes the ocean heat content anomaly for the upper 700m to amount to 23.9 10^{22} J, which is an overestimation of the observed 700m OHC anomaly of 16.7 ± 1.6 10^{22} J (Levitus et al., 2012) (Table 1). This seems to be a general feature of EMICs (Eby et al., 2013), but might still be problematic. An overestimation in the change in ocean heat content anomaly would for example result in a similar overestimation of thermosteric sea level change. Another possible impact of the overestimated ocean heat uptake can be the estimates of the Zero Emissions Commitment, which is directly linked to the state of thermal equilibration of the Earth system (Ehlert and Zickfeld, 2017; MacDougall et al., 2020). So the fact that EMICs in general, but the UVic ESCM 2.10 in particular here overestimates the OHC anomaly trend has to be kept in mind if the model were used for experiments concerning this metric.

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3. Evaluation of model components

In this section we evaluate the performance of the different components of the UVic ESCM version 2.10 based on observations.

3.1. Global key metrics – Temperature, Carbon Cycle, Climate Sensitivity and Radiation Balance

The emission-driven, transient historical climate simulation of the UVic ESCM version 2.10 forced with CMIP6 data reproduces well the historical temperature trend in the 20th century of 0.75 ± 0.21 °C as derived from the Global Warming Index (Haustein et al., 2017) (Table 1, Fig. 2). Starting from the year 2000 the simulated global mean temperature increases at a higher rate than previously, but the total temperature change since preindustrial remains within the uncertainty range of the estimate in the latest IPCC special report on 1.5 °C (Fig. 1, light grey cross) (Rogelj et al., 2018). This steep temperature increase over the last twenty years of simulation amounts to a rate of temperature change of 0.26°C/decade, which is higher than the best estimate from the infilled HadCRUT4-CW dataset of 0.17°C/decade (uncertainty range of 0.13-0.33°C/decade) (Haustein et al., 2017).

The simulated transient climate response (TCR) for a doubling and quadrupling of atmospheric CO_2 concentration are 1.79 °C and 4.28 °C, respectively, and therefore well within the reported ranges from the EMIC comparison study by Eby et al. (2013). The main differences between model versions is the updated CO_2 forcing formulation that was adopted from Etminan et al. (2016). For an atmospheric CO_2 concentration of 1120 ppm (i.e. 4 times pre-industrial CO_2) the new formulation gives a forcing of 8.08 W m^{-2}, compared to the previous formulation implemented in the UVic ESCM that gave a forcing of 7.42 W m^{-2}. In the same way, there is a good agreement with the EMIC multi-model mean and the diagnosed values for the Equilibrium Climate Sensitivity for a 2x and 4x increase in atmospheric CO_2 concentrations, with temperature increases of 3.39 °C and 6.47 °C, respectively. Since the ocean heat uptake efficiency is assessed at year 140 of the TCR4x simulation, it is as the TCR4x with 1.05 W m^{-2} °C^{-1} on the higher end, but still within the EMIC range. In the same way, the transient climate response to cumulative emissions (TCRE) agrees well with previous model versions, with 1.70 °C (1000 PgC)$^{-1}$, and it remains within the likely range reported by the IPCC AR5 (Table 1).

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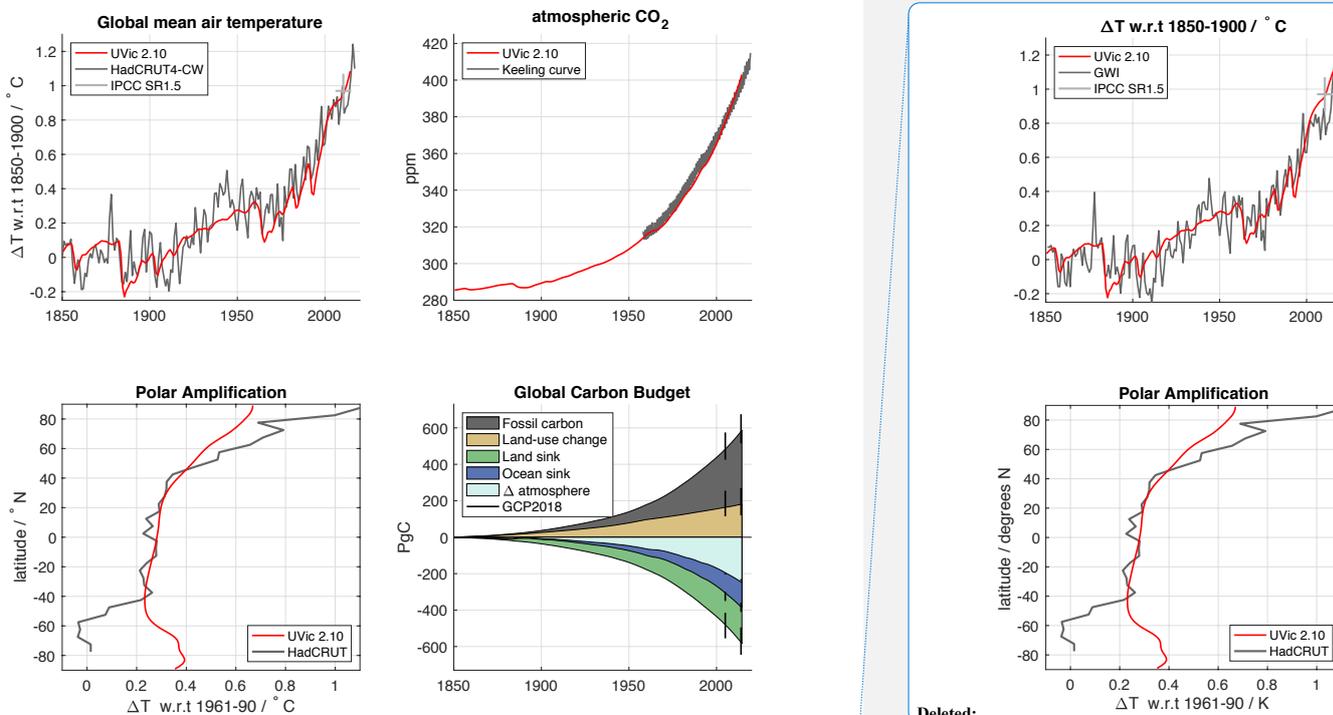
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Figure 2: (a) Global mean air temperature change for the UVic ESCM 2.10 relative to 1850-1900 (red line) in comparison with the average observed warming using the filled-in HadCRUT4-CW dataset from (Haustein et al., 2017) (grey line) and the IPCC's special report on 1.5C GSAT temperature change for 2006-2015 (light grey cross) (b) Atmospheric CO_2 concentrations in the UVic ESCM 2.10 (red line) in comparison with the Keeling curve from the Mauna Loa observatory (Keeling et al., 2005; grey line) (c) Zonal means of temperature change of the HadCRUT median near surface temperature anomaly (grey line) (Morice et al., 2012) in comparison to the UVic ESCM 2.10. All temperature changes are for a 30-years mean around 1995 with respect to the 1961-1990 period in K. (d) The global carbon budget for the UVic ESCM 2.10 partitioned into fossil fuel carbon and land-use carbon emissions and atmosphere, land and ocean sinks, compared to cumulative carbon fluxes between 1850 and 2005 and 1850 and 2015 from the Global Carbon Project 2018 (grey lines) (LeQuéré et al., 2018).

Table 1: Key global mean metrics of the UVic ESCM 2.10 compared to relevant observations or model intercomparison projects. dT_20th century is the change in surface air temperature over the 20th century from the historical "all" forcing experiment. TCR_2xCO2, TCR_4xCO2, and ECS_4xCO2 are the changes in global average model surface air temperature from the decades centered at years 70, 140, and 995 respectively, from the idealized 1 % increase to 4xCO2 experiment. The ocean heat uptake efficiency, κ_4x, is calculated from the global average heat flux divided by TCR_4xCO2 for the decade centered at year 140, from the same idealized experiment. Note that ECS_4xCO2 was calculated from the decade centered at year 995 from the idealized 1 % increase to 2xCO2 experiment.

	UVic ESCM 2.10	comparison data	
		values	citation
dT_20th century - global	0.74 °C	0.75 ± 0.21 °C	Haustein et al., 2017
- ocean	0.72 °C	0.78 [0.38 – 1.15] °C	EMIC range - Eby et al., 2013
- land	0.79 °C		
TCR_2xCO2	1.79 °C	1.8 [0.8 – 2.5] °C	EMIC range - Eby et al., 2013
		1.8 ± 0.6 °C	CMIP5 range - IPCC AR5 WG1
TCR_4xCO2	4.28 °C	4.0 [2.1 – 5.4] °C	EMIC range - Eby et al., 2013
ECS_2xCO2	3.39 °C	3.0 [1.9 – 4.0] °C	EMIC range - Eby et al., 2013
		3.2 ± 1.3 °C	CMIP5 range - IPCC AR5 WG1
ECS_4xCO2	6.47 °C	5.6 [3.5 – 8.0] °C	EMIC range - Eby et al., 2013
κ_4x	1.05 W m^{-2} °C^{-1}	0.8 [0.5 – 1.2] W m^{-2} °C^{-1}	EMIC range - Eby et al., 2013
TCRE	1.70 K (1000PgC)$^{-1}$	0.8 – 2.5 K (1000PgC)$^{-1}$	IPCC AR5 SPM
NH sea ice area (sept)	2005-15: 3.4 million km^2 -0.24 million km^2 decade^{-1}	5.5 [3 -10] million km^2 -1.07 to -0.73 million km^2 dec^{-1} (1979-2012)	CMIP5 - Stroeve et al., 2012 IPCC AR5, Chp. 4
SH sea ice area (feb)	2005-15: 1.3 million km^2 -0.25 million km^2 dec^{-1}	1979-2010: 3.1 million km^2 0.13 to 0.2 million km^2 dec^{-1}	IPCC AR5, Chp. 4, Parkinson and Cavalieri, 2012
Precipitation - global	1060 mm		
- ocean	1166 mm		
- land	814 mm	818 mm	Hulme et al., 1998
dPrecip. - global	-0.1 mm dec^{-1}		
- ocean	0.1 mm dec^{-1}		
- land	-0.57 mm dec^{-1}	[-4.2 – 1.2] mm dec^{-1}	CMIP5 - Kumar et al., 2013
	-1.1 mm dec^{-1}	[-7 – 2] mm dec^{-1}	obs - IPCC AR4
NH permafrost area	16.7 million km^2	18.7 million km^2	Brown et al., 1997; Tarnocai et al., 2009
overturning - AMOC	18.6 Sv	17.6 ± 3.1 Sv	Lumpkin and Speer, 2007
		18.7 ± 4.8 Sv	Rayner et al., 2011
- AABW	-9.0 Sv	-5.6 ± 3.0 Sv	
ocean surface pH	2005: 8.07	~8.1	IPCC AR5, Fig. 6.28
ocean heat content anomaly 0-700m	24.2 10^{22} J	16.7 ± 1.6 10^{22} J	Levitus et al., 2012
0-2000m	35.6 10^{22} J	24.0 ± 1.9 10^{22} J	

2 (TCR – Transient Climate Response, ECS – Equilibrium climate response, κ_4x – ocean heat uptake efficiency, TCRE – Transient Climate Response to Cumulative Carbon Emissions, NH – Northern hemisphere, SH – southern hemisphere, AMOC – Atlantic Meridional Overturning, AABW – Antarctic Bottom Water)

4 **Table 2: Global carbon cycle fluxes for year 2005 in PgC yr^{-1} (- flux) or cumulated fluxes between 1850 and 2005 in PgC (- cum)**
from the UVic ESCM 2.10 compared to data-based estimates from the Global Carbon Project 2018 and the IPCC AR5 Chp.6. Note
6 **the observational estimates of the carbon stocks are calculated from 1750 to 2005.**

	UVic 2.10	comparison data

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			values	citation
Fossil fuel	- cum	323	320 ± 15	LeQuéré et al., 2018
	- flux	8.0	7.8 ± 0.4	
Land-use change	- cum	165	185 ± 70	LeQuéré et al., 2018
	- flux	1.6	1.3 ± 0.7	
Change in atmos. C	- cum	198	200 ± 5	LeQuéré et al., 2018
	- flux	4.8	4.0 ± 0.02	
Land carbon sink	- cum	175	160 ±45	LeQuéré et al., 2018
	- flux	1.8	2.7 ± 0.7	
Land gross primary production		145 PgC yr^{-1}	123 ± 8 PgC yr^{-1}	Beer et al., 2010 Ciais et al., 2013
Ocean carbon sink	- cum	112	125 ± 20	LeQuéré et al., 2018
	- flux	2.6	2.1 ± 0.5	
Ocean net primary production		70 PgC yr^{-1}	44-67 PgC yr^{-1}	Behrenfeld et al., 2005; Westberry et al., 2008
NH permafrost carbon		497	~500	Hugelius et al., 2014
Permafrost affected soil carbon		1009	1035 ± 150	Hugelius et al., 2014

Overall, the global carbon-cycle fluxes of the UVic ESCM 2.10 are within the uncertainty ranges of the Global Carbon Project (LeQuéré et al., 2018, GCP18) (Table 2, Fig. 2). The CO_2 concentrations as simulated in the emissions driven simulation follow the Keeling curve closely, but there is a slightly higher increase between 1960 and 2010 in the simulation with an increase of 75 ppm compared to the observations with 73 ppm. The change in atmospheric carbon between 1850 and 2005 is however within the uncertainty estimate of the GCP18 (Table 2). The land-use change emissions which are generated dynamically in the model by changes in agriculturally used areas, reach a cumulative level of 165 PgC between 1850 and 2005 and are hence well within the uncertainty range of the GCP18 estimate of 185 ± 70 PgC (Table 2). Both the cumulative ocean sink with 112 PgC and the land sink with 175 PgC in the period between 1850 and 2005 are within the uncertainty range of the GCP18 (Table 2). While the land sink is slightly higher than the best estimates the ocean sink is at the lower end of the given range.

Table 3: Global radiation balance of the UVic ESCM 2.10 in comparison with Wild et al. (2013), shown are unitless albedo values and radiation fluxes in units of W m^{-2}.

	UVic 2.10	Wild et al., 2013	
	2000-2010	Observations 2001-2010	CMIP5 range 1985-2004

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TOA Solar down	341	340 (340,341)	(338.9,341.6)
TOA Solar up	104	100 (96,100)	(96.3, 107.8)
Planetary albedo	0.305	0.294	0.300
TOA Solar net	237	240	(233.8, 244.7)
TOA Thermal up	235	239 (236,242)	(232.4, 243.4)
solar absorbed atmos.	69	79 (74,91)	(69.7, 79.1)
Surface solar down	203	185 (179,189)	(181.9, 197.4)
Atmospheric albedo	0.227	0.250	0.255
Surface solar up	35	24 (22,26)	(20.9, 31.5)
Surface albedo	0.171	0.130	0.131
Surface solar net	168	161 (154,166)	(159.6, 170.1)
Surface thermal net	-51	-55	(-65.2, -49.4)
Surface Latent heat	76	85 (80,90)	(78.8, 92.9)
Surface Sensible heat	31	20 (15,25)	(14.5, 27.7)

(TOA – top of the atmosphere)

The simulated top of the atmosphere (TOA) short wave and long wave radiation of the UVic ESCM for the year 2005 lies well within the range of the CMIP5 models as reported by Wild et al. (2013) and agrees reasonably well with the observed estimates for both the solar and the thermal radiation fluxes (Table 3 or Fig. S5). The same is true for the simulated net surface thermal flux, which is -51 W m^{-2}, and therefore at the lower end of the CMIP5 range (Table 3). Now following the solar radiation through the energy moisture balance model, however, we find that the simulated atmospheric albedo with 0.227 is comparatively low to the observed value of 0.250. This causes a rather low simulated absorption of solar radiation by the atmosphere of 69 W m^{-2}, where the observed estimate from Wild et al. (2013) is 79 W m^{-2}. Thanks to a rather high simulated surface albedo (0.171 compared to 0.130 from observations) the resulting absorbed solar radiation at the surface is still high but in contrast to the atmospheric absorption within the CMIP5 range. This results in a global mean surface net radiation of 117 W m^{-2} which is rather high compared to the observed best estimate of 106 W m^{-2}. This is the radiative energy available at the surface to be redistributed amongst the non-radiative surface energy balance components. Accordingly, the simulated sensible heat flux in the UVic ESCM is with 31 W m^{-2} also too high compared to the CMIP5 range of (14.5, 27.7) W m^{-2}. Finally, the latent heat flux calculated from simulated evaporation is with 76 W m^{-2} on the very low end of observational and CMIP5 estimates, which is likely linked to the high transpiration sensitivity of plants in the UVic ESCM (Mengis et al., 2015).

3.2. Spatially resolved atmospheric and land-surface metrics

The simulated polar amplification of the UVic ESCM 2.10 compares well to the HadCRUT near surface temperature anomaly data for all latitudes, but the Southern Ocean south of 40°S (Fig. 2). Here the UVic ESCM 2.10 shows more of a warming trend than what is observed. Previous studies have shown that this warming is connected to the representation (or the lack thereof) of poleward intensified winds (Fyfe et al., 2007). This warming trend was already evident in previous versions of the UVic as well as in other Earth system model of intermediate complexity (EMICs) (see Fig. S6 and Fig. 4 in Eby et al., 2013). While the pattern of the seasonal cycle concerning surface air temperature agrees well with the CRU Global 1961-1990 Mean Monthly Surface Temperature Climatology (Fig. 3), the magnitude especially in the northern hemisphere land areas is substantially lower by up to 25 °C, which is also reflected in the latitudinal means.
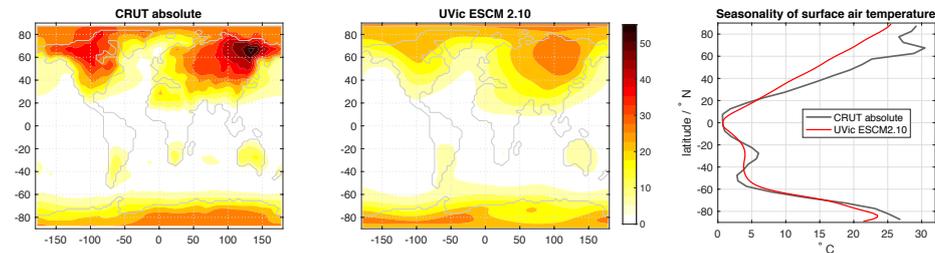
Figure 3: Seasonality of surface air temperature, as differences between December-January-February and June-July-August means for the CRU Global 1961-1990 Mean Monthly Surface Temperature Climatology (Jones et al., 1999), and the UVic ESCM for the period of 2000-2005.

The simulated northern hemisphere summer sea ice extent with 3.4 million km^{-2} is at the lower end of the CMIP5 estimates, and considerably smaller than the observed sea ice concentration (Table 1, and Fig. 4). This lower extent seems to be mainly due to a lack of simulated summer sea ice concentrations between 15 and 60 %, whereas higher concentrations show a good agreement with the observed pattern (Fig. 4). The southward extension of winter sea ice concentration in the UVic ESCM is considerably smaller than the observations from passive microwave satellite missions. Concerning NH summer sea ice trends, the UVic ESCM shows lower trends of -0.24 million km^{-2} dec^{-1} during the last 30 years, compared to what is observed (-1.07 to -0.73 million km^{-2} dec^{-1}) (IPCC AR5, Chp.4, Ciais and Sabine, 2013). The summer sea ice extent in the Southern Hemisphere is with 1.3 million km^{-2} also smaller than the observed 3.1 million km^{-2}, and in contrast to the observed increasing trends in sea ice shows a decline of -0.25 million km^2 dec^{-1} (Table 1). While this is consistent with the simulated warming trend in the Southern Hemisphere surface air temperature, this is still a bias in the model.

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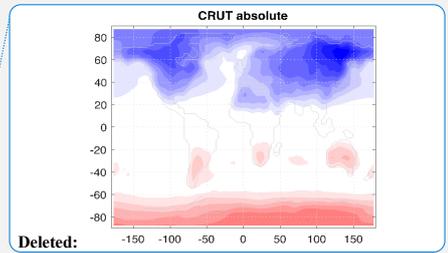
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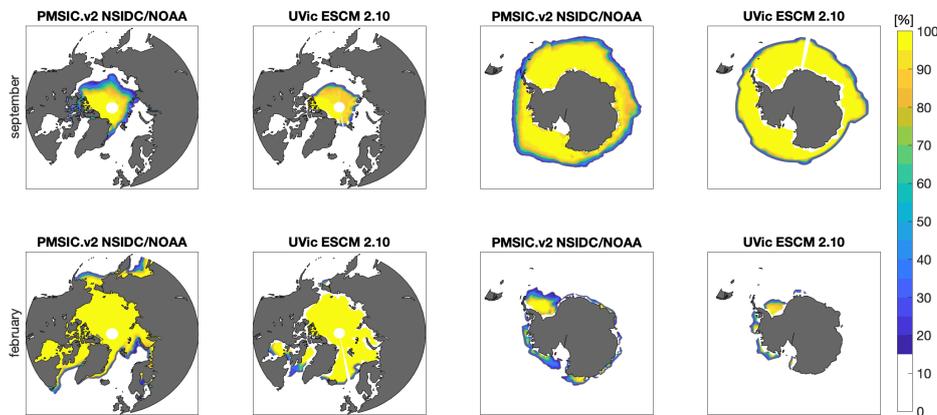
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Figure 4: September (top row) and February (bottom row) sea ice concentration from passive microwave observations (Meier et al., 2013) and the UVic ESCM 2.10 for the northern and southern hemisphere for the period of 2003-2013 in %.

Observed global mean terrestrial precipitation between 1961-1990 amounts to 818 mm (Hulme et al., 1998). The adjusted CO_2 fertilization strength in the UVic ESCM 2.10 results in global mean terrestrial precipitation of 814 mm for the same period (Table 1), bringing it close to the observed amount. Concerning terrestrial precipitation trends the UVic ESCM 2.10 shows a negative trend in terrestrial precipitation of -0.57 mm decade^{-1} for the period between 1930 to 2004 (Table 1). This is in agreement with the range of terrestrial precipitation trends of [-4.2 – 1.2] mm decade^{-1} given by Kumar et al. (2013). The terrestrial precipitation trend of -1.1 mm per decade also agrees well with the observed terrestrial precipitation changes for the recent historical period (1951 to 2005) of −7 to +2 mm per decade, with error bars ranging 3–5 mm per decade (IPCC AR4) (Table 1). The simulated pattern of annual mean precipitation flux for the last 30 years generally agrees well with the observed pattern (Fig. 5). Similar to the seasonal temperature maps, the UVic ESCM slightly underestimates the most extreme amplitudes of annual mean precipitation located in the tropical areas. The latitudinal mean values agree well in magnitude, but the tropical rain bands are extending too far north and south.
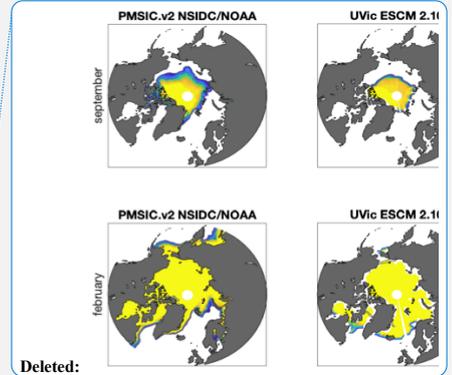
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Deleted: Total terrestrial precipitation with 814 mm agrees well with the observed 1961-1990 mean value of 818 mm (Hulme et al., 1998). The terrestrial precipitation trend of -1.2 mm per decade also agrees well with the observed terrestrial precipitation changes for the recent historical period (1951 to 2005) of −7 to +2 mm per decade, with error bars ranging 3–5 mm per decade (IPCC AR4) (Table 1).

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Figure 5: Mean precipitation flux for the period 1979-2013 in units of mm day⁻¹ from Obs4MIP (Adler et al., 2003) (left, and grey line) and the UVic ESCM 2.10 (middle, and red line), and zonally averaged values as a function of latitude (right).

The simulated air-sea carbon flux for 2000 to 2010 agrees with observations from Takahashi et al. (2009) (Fig. 6).

Oceanic carbon uptake takes place at high latitudes and carbon is mainly released in the tropical Pacific. In the Southern Ocean observations show slightly positive values (i.e. carbon being released to the atmosphere) which are not reproduced by the UVic ESCM 2.10. This is also evident in the latitudinal means, where the UVic ESCM 2.10 generally shows good agreement with the observations, but simulates ocean carbon uptake south of 50 °S, where the observations show low or slightly positive air to sea carbon fluxes.

Figure 6: Air - sea carbon flux for the year 2005 in units of mol C m⁻² yr⁻¹ from the revised dataset from Takahashi et al. (2009) (left, and grey line) and the UVic ESCM 2.10 (middle, and red line), and zonally averaged values as a function of latitude (right).

The UVic ESCM overestimates vegetation carbon density of in tropical rainforest regions, such as in South America, and Central Africa when compared to the revised estimates of Olson (1983,1985,2001) (Fig. 7). More recent biomass studies have challenged Olson's estimates for some regions of the world, but Olson (1983,1985) still provides the only globally-consistent estimate of global carbon stored in vegetation. This positive bias in the UVic ESCM 2.10 in the tropics is due to an overestimation of broadleaf trees, which is the plant functional type with the highest carbon density in the UVic ESCM (see Fig. S7). This overestimation of broadleaf trees leads to a small overestimation of global mean gross primary production in 2005 on land, 145 PgC yr⁻¹, compared to the observation-based estimate of 123 ± 8 PgC yr⁻¹ using eddy covariance flux data

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and various diagnostic models (Beer et al., 2010) (Table 2). In contrast, the simulated vegetation coverage of carbon densities of 2-5 kgC m^{-2} is lower than observations especially in Central Asia and at higher northern latitudes. This, however, does not imply that the dominant plant functional types, namely C3/C4 grasses, are underrepresented in this area. In the UVic ESCM 2.10 the representation of C3/C4 grasses, as well as needleleaf trees, in high northern latitudes improved compared to earlier versions (see Fig. S7) thanks to the more complex soil module and the corresponding vegetation tuning. In summary, the UVic ESCM overestimates broadleaf tree cover in the tropics, but improved the representation of the vegetation cover at latitudes north of 20 °N compared to previous model versions.
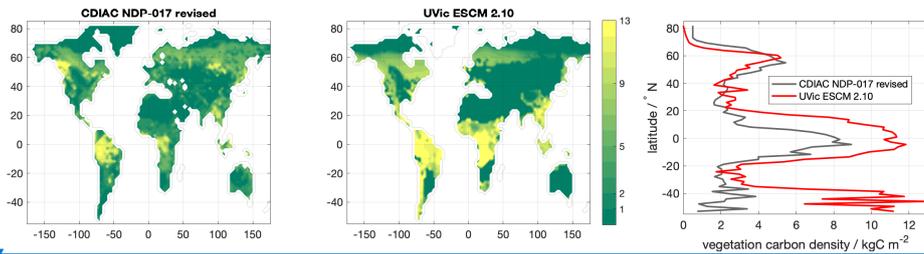
Figure 7: Vegetation carbon density for the 1960-2000 period in units of kg C m^{-2} from the revised CDIAC NDP-017 dataset (Olson et al., 2001) (left, and grey line) and the UVic ESCM 2.10 (middle, and red line), and zonally averaged values as a function of latitude (right).

Simulated soil carbon densities at high northern latitudes compare reasonably well with the map of permafrost soil carbon based on observations by Hugelius et al. (2014) (Fig. 8). While there are regional biases especially in Eastern Canada, the simulated carbon densities in the permafrost areas do have the correct order of magnitude. The total global permafrost carbon of 497 PgC and the total soil carbon in the permafrost region of 1009 PgC, agree well with the reported ~500 PgC and 1035 ± 150 PgC, respectively (Hugelius et al., 2014). The simulated permafrost area is limited to about 60 °N and does not extend as far south as what is observed.
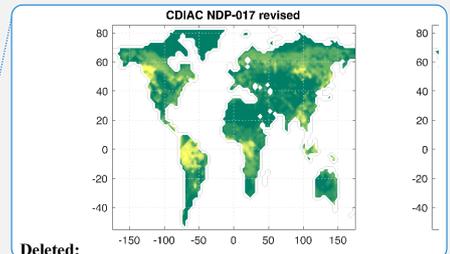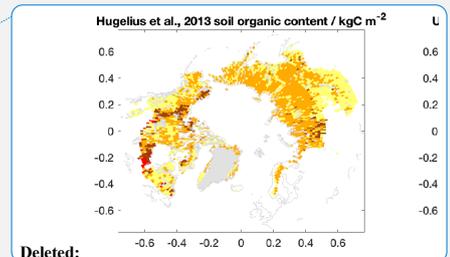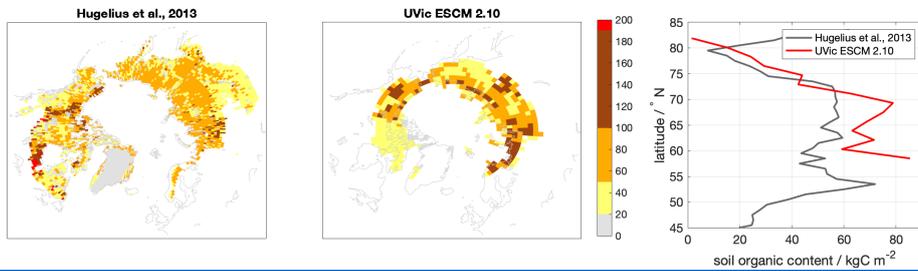
Figure 8: Soil organic carbon content in permafrost affected soils for the 1980-2000 period in the top 3m of soil in units of kg C m⁻² from the dataset by Hugelius et al. (2014) and for the UVic ESCM 2.10, and zonally averaged values as a function of latitude (right).

Smith and Burgess (2002) provide a dataset of permafrost depth observations for Canada based on temperature readings, which is a compilation of borehole data across Canada ranging in observation date from between 1966 to 1990. Each borehole is a single observed value, this compares the simulation to a snapshot in time, rather than a temporal average. Permafrost depth in the observational dataset was determined based on the bottom boundary identified by the temperature gradient to be below 0 °C. Permafrost depth distribution in North America simulated by the UVic ESCM broadly agrees with the observed distribution (Fig. 9). The UVic ESCM 2.10 simulates permafrost thicknesses of up to 250 m all around the Arctic circle. Recall that the depth of the UVic ESCM is limited to 250 m and that the vertical resolution is coarser at deeper soil layers. As already seen for the soil organic carbon content the simulated permafrost areas do not extent as far south as what is observed. However, for the purpose of this comparison, the scale for observed permafrost depths was limited to 250 m, but actually many observations show deeper PF thicknesses.
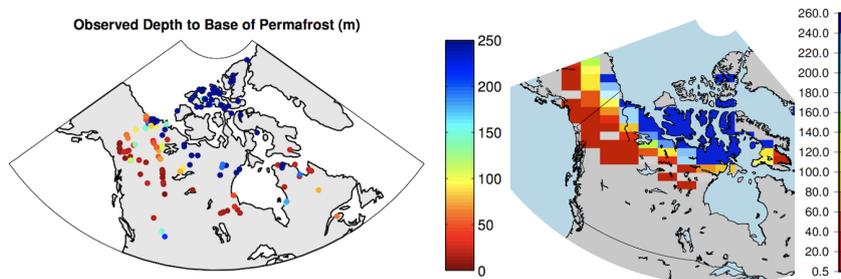
Figure 9: Observed depth of permafrost for the region of Northern Canada (left) (data source: Smith and Burgess, 2002, figure source: Avis (2012)), the colour bar has been restricted to 250 m depth to aid in comparison despite the fact many locations are deeper, (Avis, 2012); simulated mean permafrost depth for 1966-1990 of the UVic ESCM 2.10 (right).

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3.3. Ocean metrics – physical and biogeochemical

In the following section we will compare simulated ocean metrics with observations from the World Ocean Atlas 2018 (WOA18) (Locarnini et al., 2019; Zweng et al., 2019; Garcia et al., 2018a; Garcia et al., 2018b) and the Global Ocean Data Analysis Project (GLODAP) and the new mapped climatologies version 2 (Key et al., 2004; Lauvset et al., 2016) for the period of 1980 to 2010.

The Taylor diagram for eight different ocean metrics illustrates that the UVic ESCM 2.10 improves ocean **Δ**C14, and slightly improves in ocean temperature, salinity, nitrate and phosphate distributions (dots in Fig. 10), relative to the UVic ESCM 2.9 (crosses in Fig. 10), given the same forcing. In contrast, mainly ocean alkalinity, but also dissolved inorganic carbon and oxygen, show either a larger deviation or lower correlation compared to observations than the previous model version. Generally, the model demonstrates skill in simulating these ocean properties, with correlation coefficients higher than 0.9 for all but the salinity and alkalinity fields, and root mean square deviation (rmsd) of below 50% of the global standard deviation of the observations, again with the exception of salinity and alkalinity. In the following we will discuss these features in more detail.
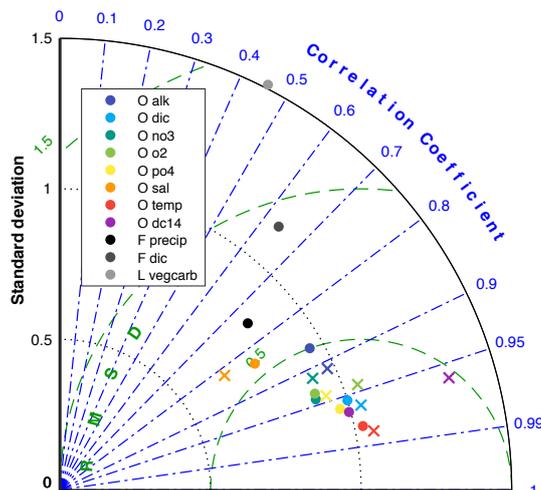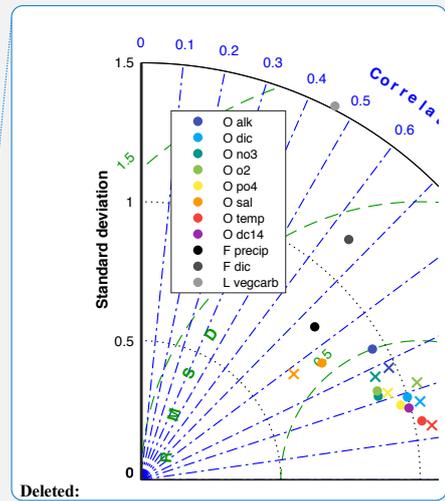
Figure 10: Taylor diagram (Taylor, 2001) of multiple global UVic ESCM 2.10 fields (dots) and the UVic ESCM 2.9 fields (x) with respect to re-gridded observations from the World Ocean Atlas 2018 (Locarnini et al., 2019; Zweng et al., 2019; Garcia et al., 2018a; Garcia et al., 2018b), GLODAP and GLODAP Mapped climatologies v2 2016b (Key et al., 2004; Lauvset et al., 2016), NASA-GSFC precipitation (Adler et al., 2003), air-sea gas fluxes from Takahashi et al. (2009) and vegetation carbon data from CDIAC NDP-017 dataset (Olson et al., 2001). All datasets are normalized by the standard deviation of the observations. A perfect model with zero rmsd, correlation coefficient of 1, and normalized standard deviation of 1 would plot at (1,0).

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The vertical profiles of simulated temperature (temp), phosphate (PO4), nitrate (NO3), **Δ**C14, dissolved inorganic carbon (dic) and alkalinity (alk) agree well in magnitude and shape with the observed profiles for all ocean basins and the global ocean (Fig. 11). The general good agreement for these ocean metrics is also seen in comparisons with vertical ocean section and ocean surface maps of these simulated fields with observations (see supplementary material). The only noteworthy biases are too low **Δ**C14 in the central Indian Ocean basin, indicating a too low overturning rate in this ocean basin (see Fig. 11 and 12), and small biases in simulated nitrate showing too high values in the Arctic Ocean compared to observation and too low values in the Indian Ocean (see Fig. 11, Fig. 13 and supplementary material).
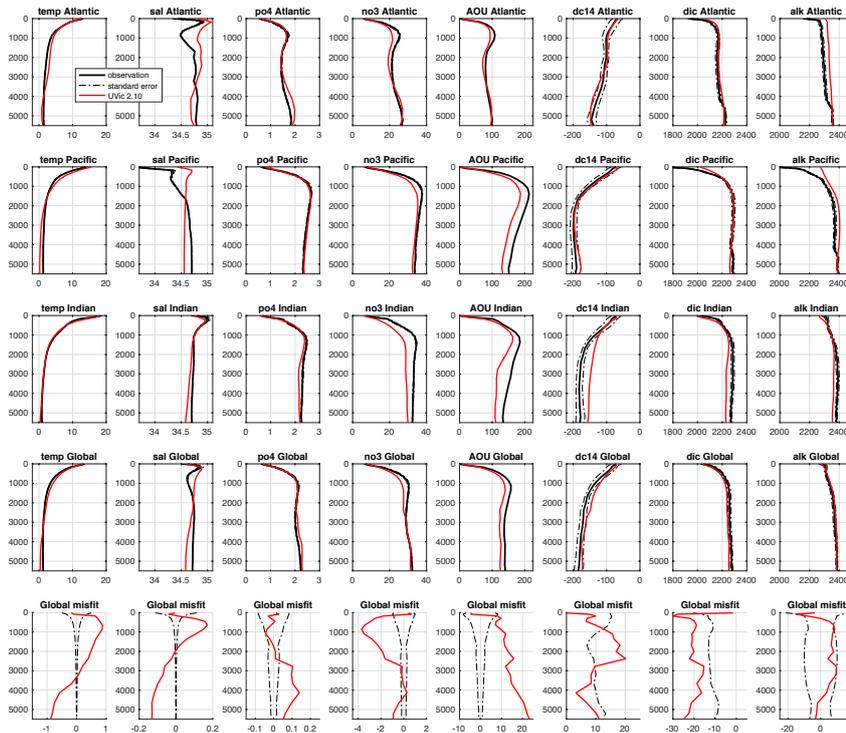
Figure 11: Global and basin-wide averaged vertical profiles of multiple UVic ESCM 2.10 metrics (red lines) compared to observations from the World Ocean Atlas 2018 (Locarnini et al., 2019; Zweng et al., 2019; Garcia et al., 2018a; Garcia et al., 2018b) and GLODAP and GLODAP Mapped climatologies v2 2016b (Key et al., 2004; Lauvset et al., 2016) including standard errors (black solid and dashed lines, respectively) and their respective global misfit (last row) for the period of 1980-2010. Note that for salinity we excluded the fresh water masses observed in the Arctic Ocean (i.e., all values north of 70 °N for both data sets).
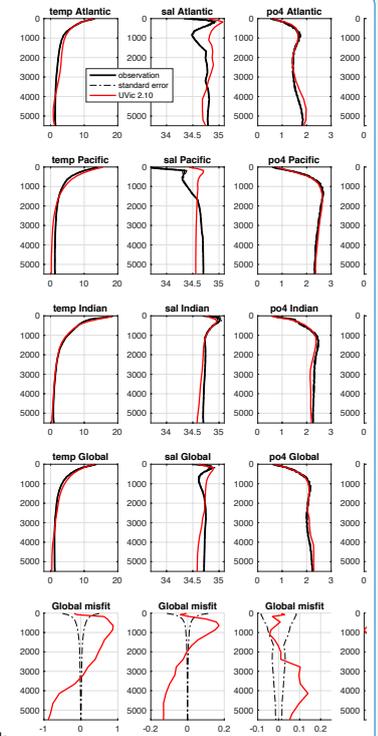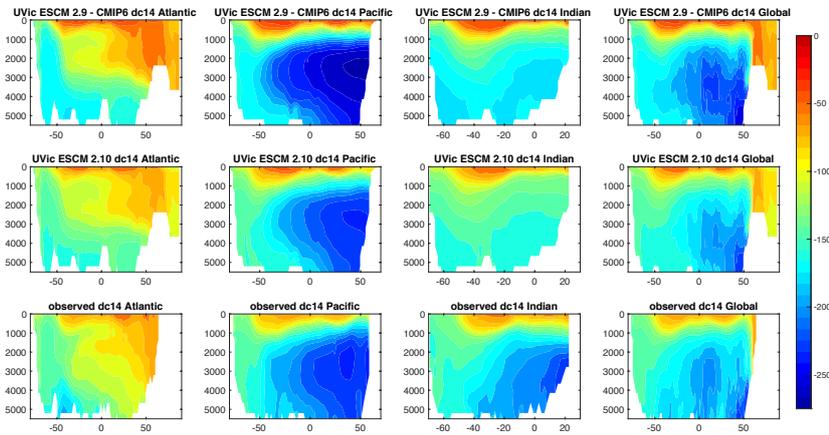
Figure 12: Ocean section of **Δ**C14 in units of permil for the Atlantic Ocean including the Arctic Ocean (left column), the Pacific Ocean (middle left column), the Indian Ocean (middle right column) and the global average (left column) compared to observations (Key et al., 2004). From top to bottom are shown the published UVic ESCM version 2.9 by Eby et al. (2013) spun-up and forced with CMIP6 forcing, the UVic ESCM version 2.10, both as a mean of the periods 1980-2010 and the observed ocean sections.
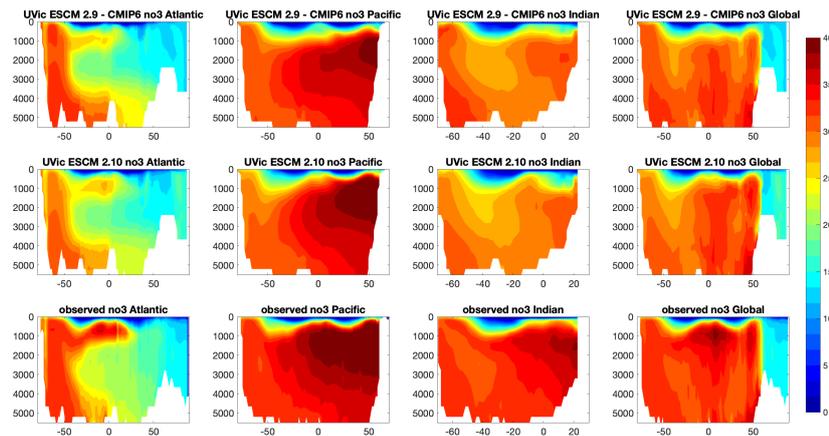
Figure 13: Ocean section of NO3 in units of μmol kg^{-1} for the Atlantic Ocean including the Arctic Ocean (left column), the Pacific Ocean (middle left column), the Indian Ocean (middle right column) and the global average (left column) compared to World Ocean Atlas 2018 (Garcia et al., 2019). From top to bottom are shown the published UVic ESCM version 2.9 by Eby et al. (2013) spun-up and forced with CMIP6 forcing, the UVic ESCM version 2.10, both as a mean of the periods 1980-2010 and the observed ocean sections.

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To compare ocean salinity profiles (second column Fig. 11) we removed values in the high northern latitudes, north of 70 °N for all regarded datasets. This substantially improved the comparison for the Atlantic Ocean (which in the partitioning includes the Arctic Ocean, see supplementary material), since the UVic ESCM 2.10 does not reproduce well the recent freshening trend associated with sea ice loss and seasonal melt in the Arctic Ocean. The maps of sea surface salinity clearly show this freshening trend (Fig. 14), which also extends to the Pacific Ocean and is hence evident in the vertical profile (Fig. 11). Furthermore, the UVic ESCM 2.10 does not reproduce the salinity properties of Antarctic Intermediate Water (AAIW) well, which can be seen by the lack or insufficient representation of the local minimum in about 900 m depth for the global and Atlantic profile (see supplementary material for latitudinal average sections). Note that, the global mean temperature misfit shows similar patterns (Fig. 11). The bias in AAIW salinity in the UVic ESCM 2.10 is caused by too salty surface waters extending southward into the Southern Ocean regions, in which the water is subducted.
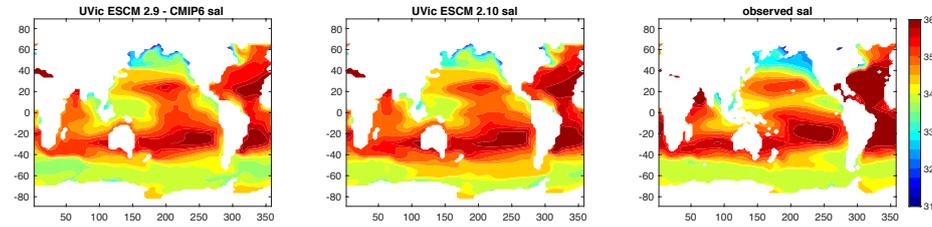
Figure 14: Maps of sea surface salinity in units of psi for the published UVic ESCM version 2.9 (Eby et al., 2013) spun-up and forced with CMIP6 forcing, for the UVic ESCM 2.10, and for the World Ocean Atlas 2018 (Zweng et al., 2019).

The apparent oxygen utilization (AOU) shows lower values (~15% lower) in the deep ocean, but otherwise reproduces the shape including the local maximum around 1000 m depth well (Fig. 11 and 15). The main bias in AOU is found in the Southern Ocean, where the UVic ESCM 2.9 simulated too high values the UVic ESCM 2.10 now simulated too low oxygen utilization. This is especially true for the Atlantic and Indian Oceans. These biases in AOU are probably linked to biases in the ocean biogeochemistry in the Southern Ocean. Comparing the simulated oxygen minimum zones (OMZ) of the UVic ESCM 2.9 and UVic ESCM 2.10 to observed OMZs, there is an improved representation of the asymmetry of the Pacific OMZ in the newer model version, as well as a reduced bias in the Indian Ocean (Fig. 16).
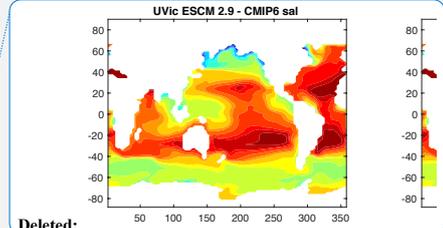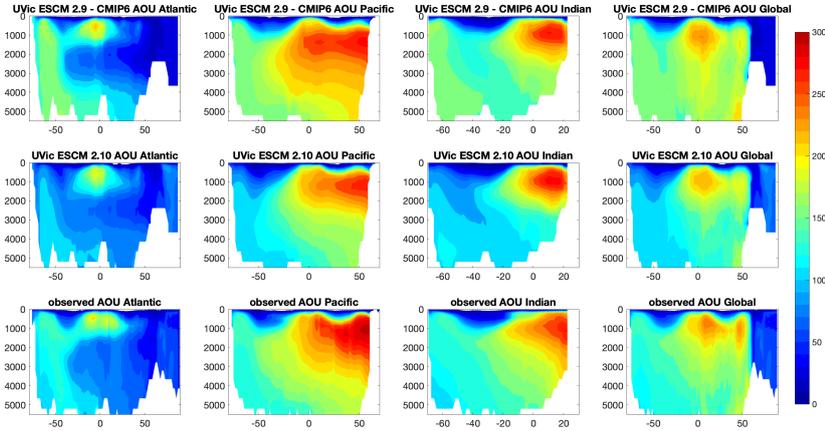
Figure 15: Ocean section of apparent oxygen utilization (AOU) in units of µmol kg⁻¹ for the Atlantic Ocean including the Arctic Ocean (left column), the Pacific Ocean (middle left column), the Indian Ocean (middle right column) and the global average (left column) compared to World Ocean Atlas 2018 (Garcia et al., 2019). From top to bottom are shown the published UVic ESCM version 2.9 by Eby et al. (2013) spun-up and forced with CMIP6 forcing, the UVic ESCM version 2.10, both as a mean of the periods 1980-2010 and the observed ocean sections.
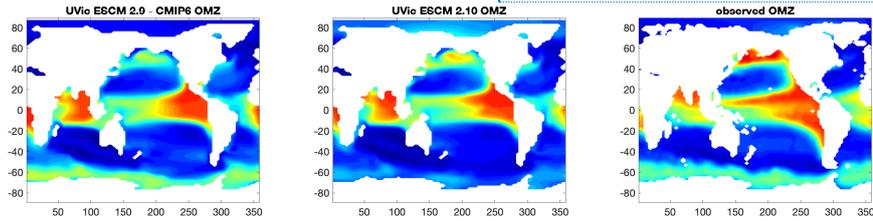
Figure 16: Maps of apparent oxygen utilization in approx. 300 m depth (i.e. the depth of oxygen minimum zones, OMZ) in units of µmol kg⁻¹ for the published UVic ESCM version 2.9-02 (Eby et al., 2013) spun-up and forced with CMIP6 forcing, for the UVic ESCM 2.10, and for the World Ocean Atlas 2018 (Garcia et al., 2019).

4. Summary, Conclusion and Outlook

In order to obtain a new version of the University of Victoria Earth System Climate Model (UVic ESCM) that is to be part of the comparison of Earth system models of intermediate complexity (EMICs) in the 6th phase of the coupled model intercomparison project (CMIP6), we have merged previous versions of the UVic ESCM to bring together the ongoing model development of the last decades. In this paper we evaluated the model's performance with regard to a realistic representation
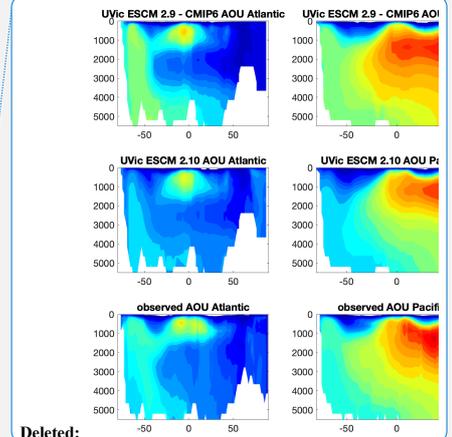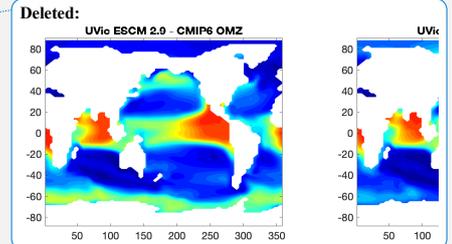
of carbon and heat fluxes as well as ocean tracers in the UVic ESCM 2.10 in agreement with the available observational data and with current process understanding.

We find that the UVic ESCM 2.10 is capable of reproducing changes in historical temperature and carbon fluxes. There is a higher warming trend in the southern hemisphere south of 40S compare to observations, which causes a bias in SH sea ice trends. The simulated seasonal cycle of global mean temperature agrees well with the observed pattern, but has a lower amplitude, especially in the high northern latitudes. The air to sea fluxes of the UVic ESCM agree well with the observed pattern. The newly applied CO_2 forcing formulation has increased the model's climate sensitivity. Land carbon stocks concerning permafrost and vegetation carbon are within observational estimates, even though the spatial distribution of permafrost affected soil carbon and vegetation carbon densities show regional biases. The top of the atmosphere radiation balance of the UVic ESCM is well within the observed ranges, but the internal heat fluxes show biases. The simulated precipitation pattern shows good agreement with observations, but are regionally too spread out especially in the tropics and as expected do not reach the most extreme values. Terrestrial total precipitation and precipitation trends agree well with observations. Many ocean properties and tracers show good agreement with observations. This is mainly caused by a good representation of the general circulation. Although problems remain, mainly the too low Southern Ocean oxygen utilization and the salinity bias in the AAIW.

These model data deviations, especially for the ocean tracers have not yet been fully addressed (note the misfits have been reduced relative to the previous model version forced with new forcing), since we are already planning for the next update of the UVic ESCM, which will incorporate more comprehensive biogeochemical modules that will require re-tuning of the oceans biogeochemical parameters as well. Model developments that have not been incorporated in the model version described here, like carbon-nitrogen feedbacks on land (Wania et al., 2012), explicit representation of calcifiers in the ocean (Kvale et al., 2015), dynamic phosphorus cycle in the ocean (Niemeyer et al., 2017), and others, will in the following be implemented and tested within this new model version.

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