

Concentration Trajectory Route of Air pollution with an Integrated Lagrangian Model (C-TRAIL Model v1.0) Derived from the Community Multiscale Air Quality Model (CMAQ Model v5.2)

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5

6 **Abstract.** This paper introduces a novel Lagrangian model (Concentration Trajectory Route of Air pollution with an Integrated
7 Lagrangian model, C-TRAIL version 1.0) output from a Eulerian air quality model for validating the source-receptor direct
8 link by following polluted air masses. To investigate the concentrations and trajectories of air masses simultaneously, we
9 implement the trajectory-grid (TG) Lagrangian advection scheme in the CMAQ (Community Multiscale Air Quality) Eulerian
10 model version 5.2. The TG algorithm follows the concentrations of representative air "packets" of species along trajectories
11 determined by the wind field. The diagnostic output from C-TRAIL accurately identifies the origins of pollutants. For
12 validation, we analyze the results of C-TRAIL during the KORUS-AQ campaign over South Korea. Initially, we implement
13 C-TRAIL in a simulation of CO concentrations with an emphasis on the long- and short-range transport effects. The output
14 from C-TRAIL reveals that local trajectories were responsible for CO concentrations over Seoul during the stagnant period
15 (May 17-22, 2016) and during the extreme pollution period (May 25-28, 2016), highly polluted air masses from China were
16 distinguished as sources of CO transported to the Seoul Metropolitan Area (SMA). We conclude that during the study period,
17 long-range transport played a crucial role in high CO concentrations over the receptor area. Furthermore, for May 2016, we
18 find that the potential sources of CO over the SMA were the result of either local transport or long-range transport from the
19 Shandong Peninsula and, in some cases, from regions north of the SMA. By identifying the trajectories of CO concentrations,
20 one can use the results from C-TRAIL to directly link strong potential sources of pollutants to a receptor in specific regions
21 during various time frames.

22

23 **Keywords:** C-TRAIL, Trajectory analysis, CMAQ, East Asia, KORUS-AQ campaign

24 1 Introduction

25 Determining the long-range transport (LRT) of pollutants has been a challenge for air quality researchers. As the chemical
26 composition of outflow over a region or continent can significantly affect air quality downwind, information about LRT must
27 be reliable. Several studies have applied a number of methods to examine the role that LRT plays in the concentrations of
28 particulate matter (PM), ozone, trace gases, and biomass burning tracers over target regions (Stohl, 2002). For instance, in an
29 attempt to identify possible sources of PM in East Asia, several studies (Choi et al., 2014; Lee et al., 2019; Oh et al., 2015; Pu
30 et al., 2015) have applied the NOAA Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler,
31 1998) and back-trajectory analysis. A number of researchers have incorporated the widely used HYSPLIT model into other
32 chemical-transport models (CTMs) to measure the LRT of ozone, carbon monoxide (CO), and aerosols to establish the source-
33 receptor relationship of air masses over the United States (Bertschi and Jaffe, 2005; Carroll et al., 2008; Gratz et al., 2015;
34 Price et al., 2004; Sadeghi et al., 2020; Weiss-Penzias et al., 2004). Several studies have used another model, the FLEXTRA
35 trajectory model (Stohl, 1996; Stohl and Seibert, 1998), to capture the background source regions of high-PM over East Asia
36 and quantify the contributions from these regions (Lee et al., 2011, 2013). Furthermore, this model has also been applied to
37 some European regions to explain the potential advected contribution of aerosols (Cristofanelli et al., 2007; Petetin et al., 2014;
38 Salvador et al., 2008). Several studies have recently attempted to develop new trajectory models that overcome truncation
39 errors that originate from schemes for numerically integrating trajectory equations (Döös et al., 2017; Rößler et al., 2018) and
40 to link trajectories to specific trace species (Kruse et al., 2018; Stenke et al., 2009). Another widely used tool for studying the
41 distribution of CO, ozone, PM, and other aerosols for both air quality forecasting and emission scenario analysis is the EPA
42 Community Multiscale Air Quality (CMAQ) model (Byun and Schere, 2006). CMAQ, supported by meteorological inputs
43 from the Weather Research and Forecasting (WRF) model, or advanced machine learning-based methods (Eslami et al., 2019;
44 Lops et al., 2019; Sayeed et al., 2020), assists policy-makers with solving pollution-related issues by legislating regulations.
45 Spatial concentration patterns of pollutants incorporated with other models (i.e., back-trajectory models) or satellite data
46 enhance our understanding of the impact of LRT and other related processes such as the formation of aerosols, emissions, and
47 dry deposition in various regions (Chen et al., 2014; Chuang et al., 2008, 2018; Souri et al., 2016; Wang et al., 2010; Xu et al.,
48 2019; Zhang et al., 2019).

49
50 The conventional way of estimating potential source regions of air-mass transport is to use back-trajectory modeling.
51 Frequently used for source-receptor linkage, such models combine their output with measurements of pollutant concentrations.
52 As this source-receptor linkage approach uses meteorology-based models for back trajectories, it is not fully accepted because
53 it is unable to directly determine whether an originated air mass is polluted or non-polluted (Lee et al., 2019). Thus, back-
54 trajectory modeling sometimes provides unreliable information from which to assess the variation of pollutants at a receptor
55 point, raising concern about its use for interpreting the contribution of the effect of LRT on concentrations of a target pollutant.
56 In addition, other factors such as emissions and the local production of air pollutants contribute to variation in a target pollutant.

57 Although aircraft campaigns in several regions have applied a Lagrangian approach for interpreting variations in
58 concentrations, they have not effectively addressed the above concern. After all, such campaigns are neither frequent nor
59 continuous.

60

61 In this study, we implement a Lagrangian advection scheme that we refer to as the trajectory grid (TG) (Chock et al., 1996),
62 into the Eulerian CMAQ v5.2 model. We introduce a new type of output from the Concentration Trajectory Route of Air
63 pollution with the Integrated Lagrangian (C-TRAIL v1.0) stand-alone model in addition to CMAQ v5.2 output to
64 simultaneously accomplish two objectives: (1) to provide a direct link between polluted air masses from sources and a receptor
65 and (2) to provide the spatial concentration distribution of several pollutants that explains relevant physical processes. Chock
66 et al. (2005) incorporated the TG into an air quality model to study the accuracy of this Lagrangian advection method over the
67 Bott advection scheme applied in the Eulerian domain. One significant outcome of the TG model applied to CTMs is its ability
68 to account for the concentrations of pollutants in air masses in its investigation of trajectories. This outcome addresses the
69 unreliability of meteorology-based Lagrangian models when the pollutedness or cleanliness of an originated air mass becomes
70 an issue. For this study, we have selected CO as our trace gas target. As this pollutant has an oxidation lifetime of approximately
71 two months, it is an ideal tracer with which we can study its impact on LRT without having stable background levels such as
72 CO₂ (Heald et al., 2003; Liu et al., 2010; Vay et al., 2011). Furthermore, as CO is produced mainly by the incomplete
73 combustion of carbon-containing fuels (Halliday et al., 2019), it is an ideal proxy with which we can relate concentrations of
74 receptors to sources of traffic or power-plant emissions. We begin by introducing the methodology behind TG and the
75 implementation of TG into CMAQ. Then, we present a simple case and our interpretation of the C-TRAIL output. Finally, we
76 present a case study of C-TRAIL for Korea and the United States Air Quality (KORUS-AQ) campaign over South Korea.

77 **2 Methodology**

78 **2.1 Description of the TG approach**

79 To solve the transport equation, Chock et al. (1996) presented the TG approach in air quality modeling. This approach, which
80 entails transporting points on a concentration profile along their trajectories in a Lagrangian manner, uses the Eulerian approach
81 for diffusive transport. From now on, we will refer to these points as “air packets” for two reasons: (1) Their nature is similar
82 to that of air parcels, but they are massless, and (2) they behave much like particles, but they carry information about several
83 species. The TG method rewrites the advection equation for concentration as follows:

84

$$\frac{dC}{dt} = \frac{\partial C}{\partial t} + \mathbf{v} \cdot \nabla C = -(\nabla \cdot \mathbf{v})C, \quad (1)$$

85

86 where C is the concentration of species in velocity field v . The Lagrangian approach divides the total derivative of the
87 concentration into a full derivative of concentration with respect to time, $\frac{dC}{dt}$, and a remaining term containing velocity
88 divergence, $-(\nabla \cdot v)C$. Following this approach, the TG automatically and accurately conserves the mass, sign, and shape of
89 the concentration profile. As interpreted from the equation, the concentration profile of the species along trajectories can be
90 described. Otherwise stated, after determining the location of a packet and the concentration inside the domain, we are able to
91 assess the concentration profile along its trajectory. Since all species represented in one packet and all of the packets move in
92 the flow field according to the wind velocity, differentiating among advection equations for each species (as is done in Eulerian
93 advection schemes) is no longer necessary; thus, this approach removes the associated numerical errors with the discretization
94 of the advection equation. The concentration of each packet along its trajectory can be determined by the following equation:
95

$$C(t) = C(t_0) \exp\left(-\int_{t_0}^t (\nabla \cdot v) dt\right) \approx C(t_0) \exp[-(\nabla \cdot v)(t - t_0)], \quad (2)$$

96
97 where $C(t)$ is the concentration of species at the location of a packet as it moves along its trajectory. Since we can use the TG
98 method to calculate the concentration from an ordinary differential equation, it is mass conserving, monotonic, and accurate.
99 Although interpolation errors occur during the diffusion step, they are typically considerably smaller than Eulerian advection
100 errors (Chock et al., 2005). In addition, the trajectory will be three-dimensional and as accurate as the input for wind velocity
101 and direction. In particular, for large-scale vertical winds, in which CTMs typically modify the scheme to address the mass-
102 conservation issue, TG removes numerical diffusion from upwind vertical advection schemes and generates more physical
103 vertical winds (Hu and Talat Odman, 2008). It is worth mentioning that units for the concentration of species are referred to
104 as ppbv or $\mu\text{g m}^{-3}$, depending on the species type, and the unit conversion is taken into account in the process of solving
105 equations.

106 2.2 Implementation of TG in CMAQ v5.2

107 In this section, we briefly describe the key features of TG implementation in the CMAQ v5.2 model, a Eulerian model
108 consisting of several modules (i.e., advection, diffusion, cloud, and aqueous-phase). The C-TRAIL v1.0 model requires the
109 same meteorology, initial conditions (ICs), boundary conditions (BCs), and emissions as CMAQ. All CMAQ modules and
110 parameters are associated with cells of the Eulerian grid in the model domain. Since TG is based on CMAQ in this study and
111 some of the CMAQ processes cannot be satisfactorily carried out by Lagrangian models (e.g., eddy diffusion) at this time, grid
112 cells are the primary structure for initiating and listing packets. By grouping the packets into grid cells, keeping track of which
113 packets are close to each other is easier. While the grid cells of Eulerian models represent Eulerian-type outputs, tracking the
114 packets of Lagrangian advection provides both their trajectories and their concentrations (Figure 1).

115

116 The process of advection for packets follows the ordinary differential equation:

$$\frac{dy(t)}{dt} = \mathbf{V}(\mathbf{y}(t), t), \quad (3)$$

117 where \mathbf{V} [ms^{-1}] is the three-dimensional wind velocity and $\mathbf{y}(t)$ [m] is the position vector of packets at time t [s]. The equation
 118 is solved using the following simple predictor-corrector scheme:

$$\mathbf{y}^i(t + \Delta t) = \mathbf{y}(t) + \mathbf{V}(\mathbf{y}(t), t)\Delta t \quad (4)$$

$$\mathbf{y}^f(t + \Delta t) = \mathbf{y}(t) + 0.5[\mathbf{V}(\mathbf{y}(t), t) + \mathbf{V}(\mathbf{y}^i(t + \Delta t), t + \Delta t)]\Delta t, \quad (5)$$

119 where \mathbf{y}^i is the initial estimate of the new position from the predictor step and \mathbf{y}^f is the final position calculated by the
 120 corrector step. When the initiated packets in the domain follow the Lagrangian equation, they land in different grid cells after
 121 each time step. To balance the density of packets in grid cells, we apply a simple packet management technique that includes
 122 spawning (filling) and pruning (emptying) processes. In the spawning process, every step entails the creation of a group of
 123 new packets in each cell with insufficient packets. The initial composition of a spawned packet is estimated from nearby
 124 packets. The pruning process entails the removal of extra packets from cells that have become overpopulated. During this
 125 process, the packets closest to the cell center are retained. Such packet management with favorable options contributes to
 126 reducing the computational costs of the C-TRAIL model. The limitation of this packet management approach, however, is that
 127 it violates mass conservation. These errors are caused by sub-grid interpolations of packets in the spawning or pruning process.
 128 The underlying algorithms for both vertical and horizontal diffusion, emissions, and other processes are the same as those in
 129 standard CMAQ (Byun and Schere, 2006) with some minor modifications. The coupling of Eulerian diffusion and TG
 130 advection at each time step is accomplished by first taking the average of concentrations from all packets in each cell as the
 131 cell average. Then, by considering each packet as a cell and cell average representative of neighboring cells, we use a predictor-
 132 corrector method to determine the concentration of each packet. In addition, the C-TRAIL model considers convective
 133 transport only for resolved clouds (when clouds cover an entire grid). The WRF model implements the cloud model to obtain
 134 cloud properties on a sub-grid scale and addresses vertical transport on a resolved scale (Kain, 2004). Outputs from the WRF
 135 model are used in the CMAQ's cloud model to account for convective transport in two separate modules: sub-grid scale clouds
 136 and resolved clouds (Byun and Schere, 2006). In this version of C-TRAIL for convection, we only use vertical winds
 137 determined from resolved clouds (see Table S1). Figure 2 summarizes the process of C-TRAIL from initialization to output
 138 generation. By combining the locations of the packets from each time step during the 24 hours, we generate the 24-hour
 139 trajectory of each packet.

140 **3 Setup and Validation of the Model**

141 In this study, we implement TG in the CMAQ model version 5.2. Shown in Figure 3, the model domain, with a horizontal grid
 142 resolution of 27-km over East Asia, covers the eastern parts of China, the Korean Peninsula, and Japan. We use the 2010 MIX
 143 emission inventory (Li et al., 2017) at a 0.25-degree spatial resolution. The emission inventory contains monthly averaged
 144 carbon bond version 5 (Sarwar et al., 2012) emission information, which includes ten chemical species, including CO, in five
 145 different sectors. We also use the 2011 Clean Air Policy Support System emission high-resolution (1-km) inventory from the

146 National Institute of Environmental Research for Korea, which contains the area and the line and point sources of a variety of
147 species, including CO. We provide WRF model v3.8 output as the meteorological input in our CMAQ model. We validate
148 the wind predictions from our WRF model with surface measurements and radiosonde measurements from the KORUS-AQ
149 period (see Table S2-S3 and Figure S1-S4). Jung et al. (2019) validated the air quality model set up by comparing simulated
150 and observed aerosol optical depths; they showed a correlation of 0.64 for the entire KORUS-AQ campaign period. Their
151 comparison of various gaseous and particulate species also showed close agreement with observations.

152

153 We run C-TRAIL simulations for May 2016 during the KORUS-AQ campaign. Studies pertaining to this campaign (Al-Saadi
154 et al., 2016; Choi et al., 2019; Miyazaki et al., 2019) have separated the time frame into three periods (Table 1) based on
155 meteorological conditions: 1) the dynamic weather period (DWP), a rapid cycle of clear and rainy days in the Korean Peninsula
156 (May 10-16); 2) the stagnant period (SP), in which the area was under the influence of a high-pressure system (May 17-22)
157 and which showed the influence of local emissions; and 3) the extreme pollution period (EPP) with high peaks of pollutants
158 that showed strong direct transport from China (May 25-28).

159

160 The overall accuracy of the CMAQ CO simulation compared to aircraft measurements during all periods is presented in Figure
161 4(a). The correlation between the modeled CO concentrations and observations at various altitudes for the entire month of
162 May 2016 was 0.71, indicating that the performance of the model is sufficiently reliable for a study of the sources of CO
163 concentration (Table 1). Figure 4 illustrates the under-prediction of the model during the DWP and SP. However, the model
164 shows a high correlation during the EPP compared to higher CO observations over the Korean Peninsula. We also provide a
165 CMAQ CO comparison with surface station measurements in the supplementary document (see Table S4 and Figure S5). The
166 results of this comparison also show the under-prediction of the model, caused by uncertain emission inventories over East
167 Asia. The C-TRAIL outputs of the mentioned periods will be discussed in Section 3.3.

168

169 The Eulerian output from CMAQ, including CO concentrations and surface wind fields, is displayed in Figure 5. High peaks
170 of CO concentrations appeared in south-eastern China, including the Shanghai region and the Shandong Peninsula, because of
171 high anthropogenic emissions in these areas. The impact on pollution from LRT was greater in this region because the dominant
172 wind over East Asia in May was westerly, which explains our observations of high CO concentrations over the Yellow Sea.
173 We also observed a shallow anticyclone (a common phenomenon that affects the regional transport of pollution in this region)
174 over the Yellow Sea during the study period. From a thorough investigation of CO concentrations and wind patterns during
175 various meteorological periods, we present the following major findings: 1) During the DWP, a mixed response from the LRT
176 of CO and local emissions occurred. Also, considering the impact of convection, the concentrations of CO over Korea could
177 have been increased or decreased because of vertical wind transport and cloud updrafts and downdrafts. Owing to the dynamic
178 nature of this period (i.e., cloudy, rainy, or clear), the interpretation of the LRT effect by conventional methods poses a
179 challenge. 2) During the SP, a high-pressure system settled over the Korean Peninsula, which explains the extremely low wind

180 speed and the stagnant air, the latter of which eliminated the impact of LRT. Even though one might assume that the model
181 would produce more accurate simulations with less convection-related transport, CO concentrations were significantly
182 underestimated by the model (Jeon et al., 2016) because of uncertainties in the chemistry modeling and the faulty emission
183 inventories over East Asia. 3) During EPP, as shown in Figure 5, the anticyclone over the Yellow Sea contributed to the
184 transport of more CO from China to the Korean Peninsula. We also observed high concentrations of CO in regions throughout
185 China were. Thus, the combination of these two effects produced model predictions of higher concentrations over Korea.

186

187 The raw hypothesis from Eulerian outputs is that a high CO concentration at a receptor during a specific period is due to LRT
188 from a source because the direction of the wind is typically toward the receptor during the period of simulation. This hypothesis
189 is based on the average wind speed and direction and the average CO concentration, which do not constitute a reliable source
190 of this assumption. We will briefly explain why we require merged output with simultaneous changes in trajectories and
191 concentrations. To determine the source of LRT, researchers should include one major parameter in their investigations: the
192 trajectory of the air mass. Once the location of the source and the trajectory of the air mass are known, the air mass is assumed
193 to be polluted. If the air mass is not polluted, then that source is not responsible for high concentrations in the receptor location.
194 Therefore, linking the source to the receptor based on only mean wind patterns and concentrations is not a reliable approach.
195 The following section will discuss how we combine concentrations and trajectories into one set of outputs to explain the
196 trajectories more clearly.

197 **4 Analysis of C-TRAIL**

198 Because C-TRAIL is a diagnostic tool derived from CMAQ, both a Lagrangian output and CMAQ standard Eulerian output
199 are available after each run. C-TRAIL helps us identify the source-receptor linkage, save the full trajectory of packets, and
200 display the path of selected packets. Therefore, not only do C-TRAIL simulations provide all spatial concentration changes,
201 but they also display the trajectories of each packet, owing to the Lagrangian approach of TG. In addition, we are able to
202 determine changes in concentrations along this trajectory. The difference between this model and other meteorological-based
203 models is that they enable us to study changes in the concentrations of selected species along different paths, investigate
204 evidence for the amount of pollution in originated air masses, study the reason behind the oscillation of concentrations, and
205 examine the linkage of oscillations to both sources and sinks along the path.

206

207 This section provides an example of how we use C-TRAIL to study the sources of different packets from different altitudes
208 (from below 1 km to almost 10 km) over the Seoul Metropolitan Area (SMA); later sections will focus on the entire month of
209 May 2016 C-TRAIL over the SMA and provide more comprehensive illustrations of the concentrations and altitudes of
210 trajectories. Figure 6 displays the C-TRAIL output for June 4, 2016. We gathered all of the packets over the city of Seoul and
211 analyzed the trajectory of each packet. Figure 6(a) shows the path of all the packets, represented by various colors, from their

212 sources. We observed that some of the packets came from south-eastern South Korea, and one originated in south-eastern
213 China, traveled over the Yellow Sea, and landed in Seoul. Some of the packets also originated from northwest of South Korea
214 and northern China. Most of the packets, however, were locally initiated, generally from regions around the SMA. Using the
215 HYSPLIT back-trajectory model, we found relatively similar trajectories (Figure S6).

216
217 Figure 6(b) depicts how the CO concentrations of the four most aged packets changed as they traveled on their path toward
218 Seoul. This type of output is a new feature that has not been studied before. With meteorological-based back-trajectory models,
219 the path of air parcels and their back trajectories can be delineated; we are the first, however, to use a CMAQ-based Lagrangian
220 integrated model to study the concentrations of species (in this case, CO) via the paths of air packets. The four most aged
221 packets came from 24, 21, 20, and 17 time-steps back (Figure 6(b)). We find these packets interesting because they follow a
222 long path, changes in their concentrations fluctuate, and they are easy to comprehend. From studying these packets and their
223 C-TRAILS, we generally understand that the concentration of each packet increases as it approaches the SMA. The
224 concentrations of near-surface packets tend to fluctuate more than those of high-altitude packets (Figure S7). Also, larger
225 oscillations in the concentrations occur over land rather than over the ocean, which, however, becomes more vivid when a
226 near-surface packet reaches land from the ocean and suddenly peaks in concentration. The sudden peaks in the concentrations
227 of near-surface packets are due to their movement over either a city or some source of emissions. Over the SMA and other
228 cities, two peaks, mainly caused by on-road traffic emissions, occur during local morning and evening times.

229 **5 Case Study for the C-TRAIL Analysis: The May 2016 KORUS-AQ Period**

230 Using a conventional method with model data gathered over the course of a month or a year to incorporate concentrations into
231 a trajectory analysis produces a tremendous amount of outputs that are difficult to interpret simultaneously. For our case study,
232 covering May 2016, we selected Seoul, South Korea, over East Asia as the receptor. We plotted C-TRAIL outputs according
233 to variations in the packet concentrations and their distances from the receptor. Figure 7(a) presents the general path of all
234 packet trajectories reaching the Seoul area at various altitudes at 9:00 AM local time throughout May 2016. The color bar
235 represents the altitude at which the packets were traveling. Generally, packets at low altitudes traveled from local areas to
236 Seoul, and those at high altitudes traveled from more distant regions. One exception was packets that originated in the
237 Shandong Peninsula; Some traveled at high altitudes and some at low altitudes. Figure 7(b) displays a C-TRAIL that
238 represented a unique type of packet that followed the concentrations of trajectories. In this case, each packet at each location
239 (or hour of the trajectory) had a specific CO concentration that depended on its altitude (high altitude/surface), its location
240 (land/sea/urban/forest), and the hour of the day (traffic hours/non-traffic hours). To more clearly explain the location of packets
241 and the variability in their trajectory paths before reaching Seoul, we created a boxplot of packet distances in kilometers from
242 the receptor at each hour before the packets reached Seoul, shown in Figure 7(c). When the packets reached Seoul at 9:00 AM
243 local time, the distance became zero. Furthermore, the boxplot of trajectory heights for all periods is presented in Figure S8.

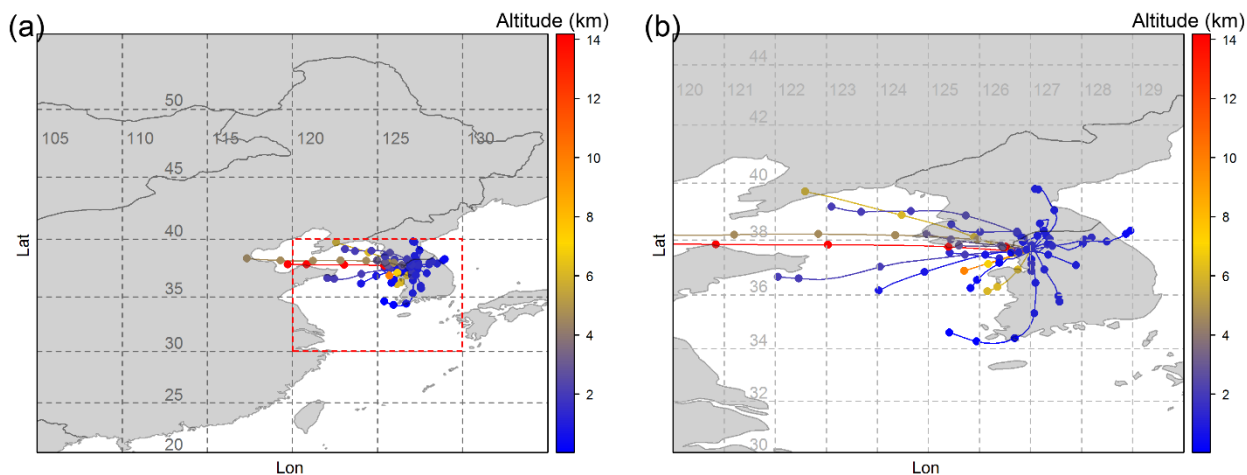
244 In a study of C-TRAIL outputs, it is better to account for trajectories, concentrations, and distances simultaneously. As a result,
245 the concentrations and distances of packets in early hours (10:00 AM to 2:00 PM of local time) in Figure 7 show high variability
246 in concentrations with a median of around 150 ppbv and a maximum as high as 500 ppbv. Most of these packets originated far
247 from the receptor (i.e., eastern, northern, and south-eastern China). The median concentration, shown in the boxplot, rose
248 slightly between 6:00 PM and 10:00 PM. Distances also showed more variation during this time, which could be explained
249 by the different paths of the trajectories (i.e., local trajectories with shorter distances and LRT trajectories with longer
250 distances). As the packets approached Seoul (6:00 AM to 9:00 AM), the upper whisker of concentration values increased to
251 as high as 400 ppbv, and the distances approached zero, indicating higher concentrations of CO of local trajectories resulting
252 from surface on-road emissions and other emission sources.

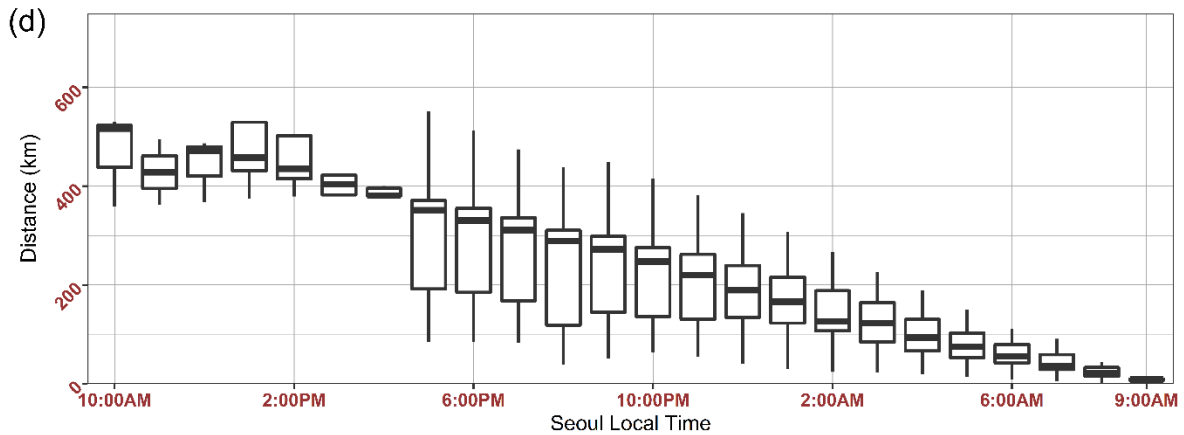
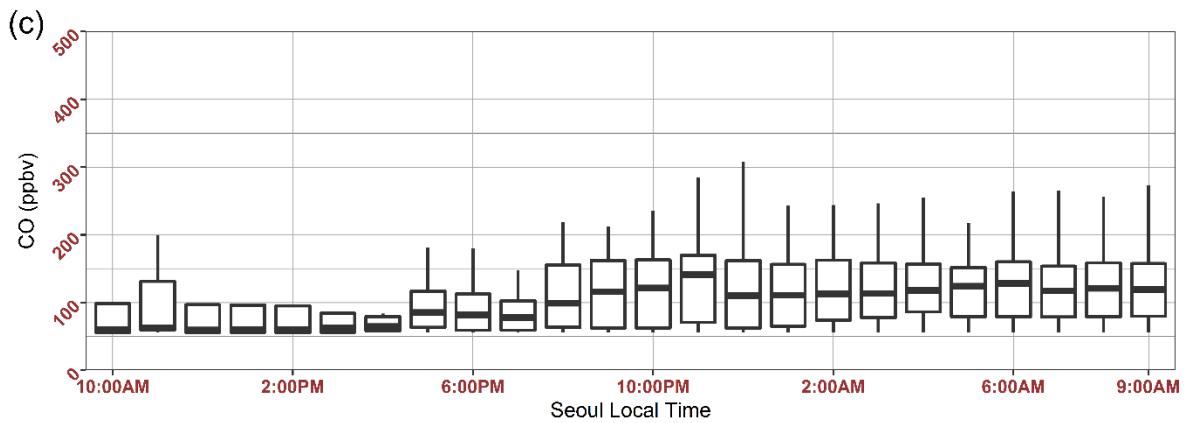
253

254 Because of variable weather and wind (i.e., cloudy, rainy, or clear) during the DWP, C-TRAIL showed a mixed response of
255 trajectories from both local and long-range transport, shown in Figure 8(a). A wide interquartile range and a median of close
256 to the 25th percentile at 11:00 AM and 12:00 PM indicate that a few packets contained high concentrations of CO (close to
257 300 ppbv), but the majority consisted of low concentrations (around 100 ppbv). The distance output of low-concentration
258 packets showed distances as long as 500 km (over the Shandong Peninsula). As the packets approached Seoul, the median
259 concentration values were as high as 150 ppbv. Thus, from Figure 8, we conclude that most of the long trajectories followed
260 a path at high altitudes (higher than 7 km), and the polluted trajectories, which originated in the Shandong Peninsula,
261 were from the near-surface, shown in Figure 8(a).

262

263 Unlike the DWP, the SP showed a more vivid display of trajectories, nearly all of which could be considered local trajectories.
264 Long-range trajectories could not be considered responsible for the CO concentration values of Seoul. After all, from 10:00
265 AM to 4:00 PM (

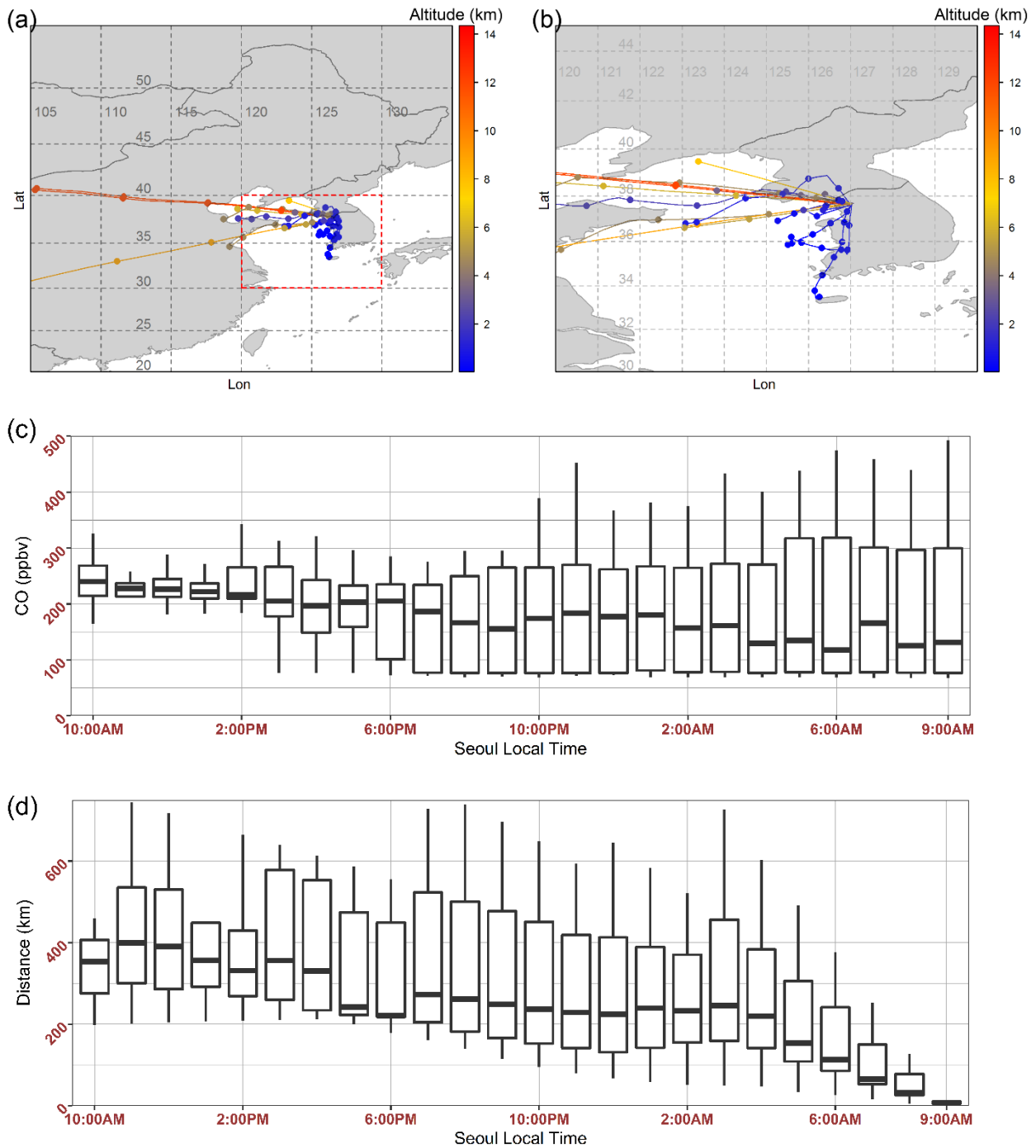




266 Figure 9(a) and (b)), nearly all of the long-distance packets had concentrations of less than 100 ppbv. The local origination of
 267 highly polluted trajectories can be explained by a high-pressure system over the Korean Peninsula during this time period,
 268 which was responsible for very low wind speeds. The poor emission inventory over East Asia, however, provided extreme
 269 under-predictions of high concentration values during this time period. Therefore, when studying model outputs, we should
 270 account for various aspects of the model (e.g., the transport, diffusion, formation, deposition, and convention), in which
 271 diffusion, in this case, played a significant role in CO concentration values at the receptor location.

272

273 During the EPP, several high concentrations of CO appeared at the early points of trajectories. These high concentrations,
 274 combined with high distance values, indicate that the LRT of polluted air masses was responsible for high concentrations of
 275 CO during this time period (



276 Figure 10). Furthermore, the variability of CO concentrations from 10:00 PM to 9:00 AM at the receptor location stemmed
 277 from both the various paths of the trajectories and the distances. High concentration trajectories close to the surface, which
 278 originated in the Shandong Peninsula, passed over the Yellow Sea and landed in Seoul at 9:00 AM. When the surface packets

279 reached urban areas, they presented maximum CO concentrations, depending on the time of day and the rush-hour traffic. An
280 assumption made by studies that used Eulerian model outputs or meteorological-based Lagrangian models for this time period
281 was that transport played an important role (Lee et al., 2019). The outputs from C-TRAIL also indicate that highly polluted
282 air masses originated in China (the source) and landed in Seoul (the receptor). That is, the findings of this study regarding the
283 trajectories and the origin of polluted air masses are similar to those of previous studies.

284
285 We further analyzed the diverse aspects of C-TRAIL results using the Open-air package in R (Carslaw and Ropkins, 2012)
286 and determined the frequency of trajectories passing through every one-degree by one-degree gridded area, illustrated in Figure
287 11(a). Central China, northern China, and North Korea were not common areas for packet movement because the packets most
288 likely passed only once through the grids of these regions (at a frequency of about one percent). For the Yellow Sea and the
289 Shandong Peninsula region, however, trajectories more likely passed at a frequency of about ten percent. The figure also
290 shows that most of the trajectories (25 to 100 percent) passed over the west side of the SMA, a two-degree by two-degree area
291 (the dark red section in Figure 11 (a)). We can classify trajectories into separate segments according to their concentrations.
292 Figure 11(b) shows this type of classification and the link between the average concentration of all trajectories to their paths.
293 While higher concentrations were most likely the result of local transport, lower concentrations were most likely from LRT.
294 For the May 2016 case, while most of the high concentration values corresponded to packets that originated in South Korea or
295 close to the SMA, most of the low concentration values corresponded to packets originating in China. Their impact, however,
296 is still evident.

297
298 By clustering the outputs of C-TRAIL, we are better able to locate the dominant paths for the May 2016 trajectories. According
299 to Figure 12(a), based on the Euclidean distance function, about 37.8% of trajectories originated in local areas east, south, and
300 north of the SMA. About 16.1% of trajectories originated in northern China and followed paths over the Yellow Sea to the
301 SMA; about 10.5% of the trajectories came from south-western South Korea and traveled over the Yellow Sea to reach the
302 SMA; about 21.3% of trajectories came from the Shandong Peninsula, and the remaining trajectories (5.3%) originated in
303 central China and were transported over China and the Yellow Sea to the SMA. Angle clustering in Figure 12(b), however,
304 tells a different story about the trajectories. Clustering by the angle distance function shows a similarity among the angles from
305 the starting points of the back trajectories. Generally, nearly all of the packets originated from west of the SMA, 32.2% farther
306 west, 34.5% southwest, 12.7% south/southwest, 14.9% northwest only 5.7% originated from east/southeast of the SMA. This
307 clustering is consistent with strong westerly winds during the spring in East Asia.

308
309 By quantifying clusters based on their trajectories, cluster analysis shows the relative importance of regional sources.
310 Nevertheless, they are not completely accurate at determining the relative contribution of potential source regions because they
311 do not consider concentrations together with trajectories. One method of calculating the probability of potential sources is the
312 potential source contribution function (PSCF), which finds the probability that a source is located at a specific latitude and

313 longitude (Pekney et al., 2006). Figure 12(c) shows that the probability of packets with high concentrations (i.e., those with
314 concentrations at or above 90 percentile) passing over the Yellow Sea and reaching the SMA from the southwest was higher
315 than 0.3. Two areas through which one packet containing a high concentration of pollutants passed showed high probabilities
316 of 0.6 and 0.5. One was southwest of the SMA over the Yellow Sea and the other between North Korea and the coast of
317 northern China over the Yellow Sea.

318
319 One important limitation of the PSCF is its complexity distinguishing between moderate and strong sources. To overcome this
320 problem, we can apply the concentration-weighted trajectory (CWT) method to compute concentration fields for identifying
321 strong source areas of pollutants. The CWT method, based on concentration values over each trajectory, estimates the trajectory
322 weighted concentration in each grid cell by averaging the sample pollutant concentrations of trajectories crossing each grid
323 cell (one degree by one degree). The results of CWT show close agreement with those of the PSCF. Figure 12(d) shows the
324 distribution of weighted trajectory concentrations of CO surrounding the SMA in May 2016. The CWT results show that not
325 only were the Yellow Sea and the Shandong Peninsula potential sources of high concentration over the SMA, but other local
326 sources may also have been strong sources. For example, the Pyongyang area in North Korea had a high concentration,
327 weighted over 250 ppb, indicating a strong potential source of CO in this month. Furthermore, local regions such as those at
328 west, east, and south of the SMA showed a strong potential source of high CO concentrations in Seoul. Among the long-
329 distance sources, only the Shandong Peninsula and some parts of northern China had CO concentrations of around 100 ppmV
330 according to the CWT analysis. As other long-distance sources were not strong sources because of the scarcity of trajectories
331 in these areas, we consider them rare sources. For instance, although the LRT explained the high CO concentrations over the
332 SMA during the extreme pollution period (May 25-28), during longer periods (e.g., one month or one year), with a similar
333 contribution, distant regions from the SMA may not have been strong sources.

334

335 **6 Conclusion**

336 In this study, we introduced the C-TRAIL Lagrangian output, extracted from the Eulerian CMAQ model. The comprehensive
337 output of C-TRAIL directly linked the trajectories of pollution from the source to the receptor. We used concentration and
338 trajectory values of C-TRAIL outputs to investigate the pollution status of originated air masses by classifying the outputs
339 from May 2016 over East Asia into separate categories. Unlike the conventional Eulerian CO concentration plots for separate
340 periods, which did not exhibit a clear relationship between the source and the receptor, the C-TRAIL outputs, which combined
341 trajectories and concentrations, more vividly determined the impact of LRT on pollution during the EPP. Furthermore, during
342 the dynamic weather period, C-TRAIL outputs showed that polluted packets from the Shandong Peninsula were responsible
343 for high CO concentrations. The outputs for the SP revealed CO concentrations of less than 100 ppbv for distant packets,
344 strong evidence supporting the link between local trajectories and CO concentrations over the SMA during this period.

345

346 More comprehensive investigations on C-TRAIL outputs found that the Shandong Peninsula, local regions near the SMA, and
347 the Pyongyang area were potentially strong sources of CO pollutants during the entire month of May 2016. Overall, by
348 analyzing the trajectory paths of packets that reached specific locations, we were able to generalize that C-TRAIL represents
349 a practical tool for ascertaining the impact of long-range transport on species concentrations over a receptor by simultaneously
350 providing concentrations and trajectories. C-TRAIL can be applied to LRT-impacted regions such as East Asia, North
351 America, and India. Owing to uncertainties inherent in emission inventories and immature diffusion modeling methods,
352 however, C-TRAIL outputs may have limitations that we will address in future work. The objective of this study is to suggest
353 an effective tool for establishing a link between real sources of pollution to a receptor via trajectory analysis. The results of
354 this study over East Asia showed the reliability and various advantages of C-TRAIL output. Therefore, because of its capability
355 to determine the trajectories of masses of CO concentrations, C-TRAIL output could prove to be a highly useful tool for those
356 who model air quality over a specific region and investigate sources of polluted air masses.

357

358 **Code and Data Availability.** The C-TRAIL version 1.0 is derived from the CMAQ v5.2 model. The CMAQ model is an
359 open-source model that can be accessed via Zenodo (<https://doi.org/10.5281/zenodo.1167892>). The documentation and
360 tutorials on CMAQ are available on the US EPA modeling website <https://www.cmascenter.org/> (last access: June 2020). The
361 C-TRAIL v1.0 model source code and pre/post-processing scripts are available via Zenodo
362 (<https://doi.org/10.5281/zenodo.3885782>). The flight observations used for evaluation of the model were downloaded from
363 the KORUS-AQ website <https://www-air.larc.nasa.gov/missions/korus-aq/> (last access: June 2020), DC8 Aircraft observations
364 for CO comparison and Ozonsonde data for wind speed/direction evaluation. For surface concentration evaluations, we used
365 surface observational data from the Air Quality Monitoring Station (AQMS) network operated by the National Institute of
366 Environmental Research (NIER), which is available for the public on <https://www.airkorea.or.kr/web> (last access: June 2020).

367

368 **Supplement.** A supplementary document related to this article follows.

369

370 **Author Contribution.** A. P., Y. C. and B. S. contributed to the design and implementation of the research. J. J. prepared the
371 CMAQ model and inputs. A. P. prepared the C-TRAIL model, analyzed the results, and took the lead in writing the manuscript.
372 Y. C. and C. H. S. supervised the project. All authors discussed the results and commented on the manuscript and contributed
373 to the final version of the manuscript.

374

375 **Competing interest.** The authors declare no competing financial and/or non-financial interests in relation to the work
376 described.

377

378 **Acknowledgments.** We wish to acknowledge Dr. Peter Percell for his technical support in the development of CMAQ-TG in
379 this research. This study was supported by the National Strategic Project-Fine particle of the National Research Foundation of
380 Korea (NRF) funded by the Ministry of Science and ICT (MSIT), the Ministry of Environment (ME), and the Ministry of
381 Health and Welfare (MOHW) (NRF-2017M3D8A1092022).

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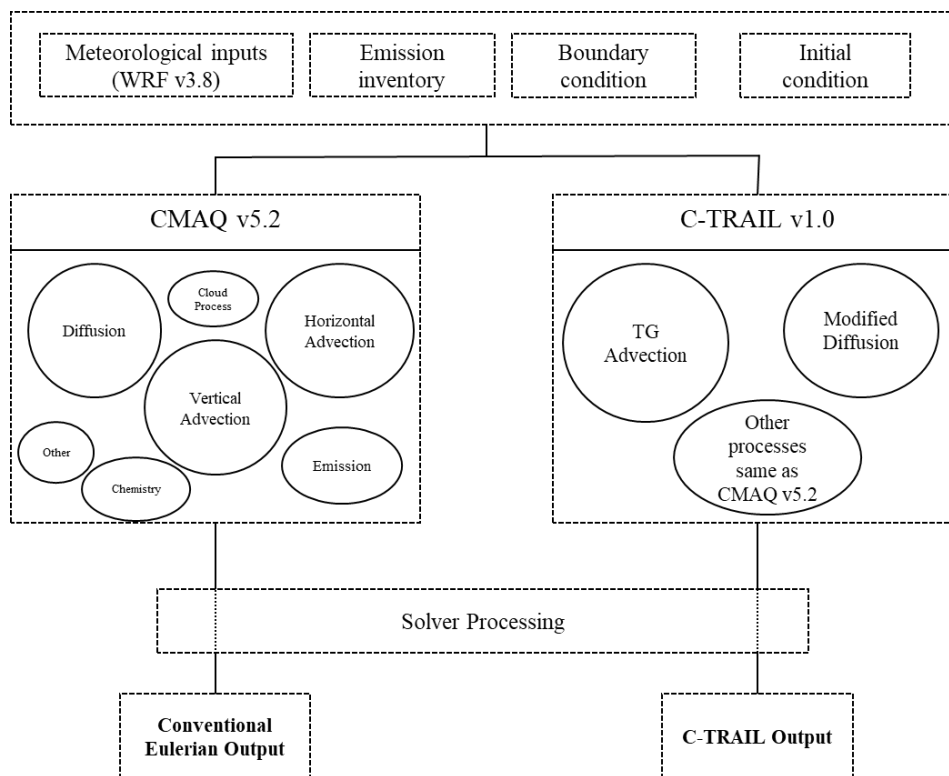
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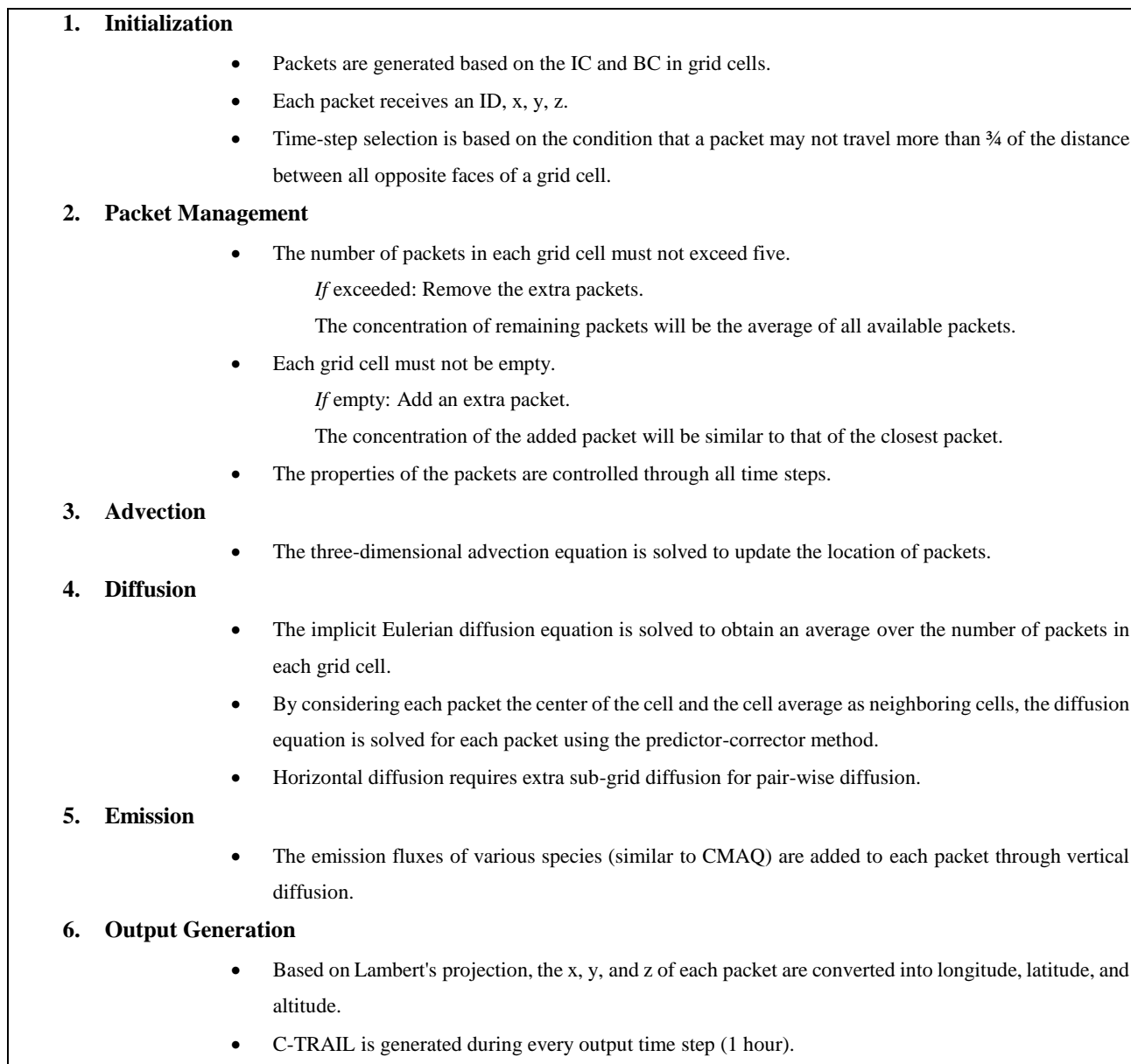
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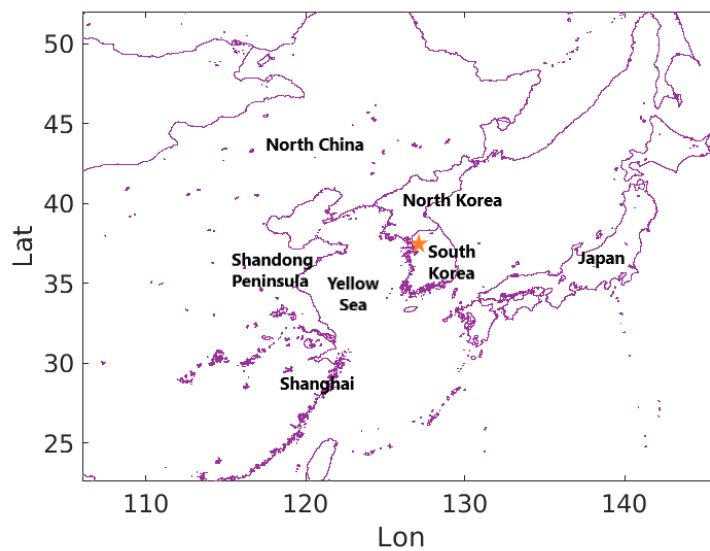


523

524 **Figure 1: Schematic of conventional CMAQ versus C-TRAIL**

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527 **Figure 2: Algorithm of the C-TRAIL model**



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531 **Figure 3: Domain of the study; the orange star indicates the Seoul Metropolitan Area (SMA)**

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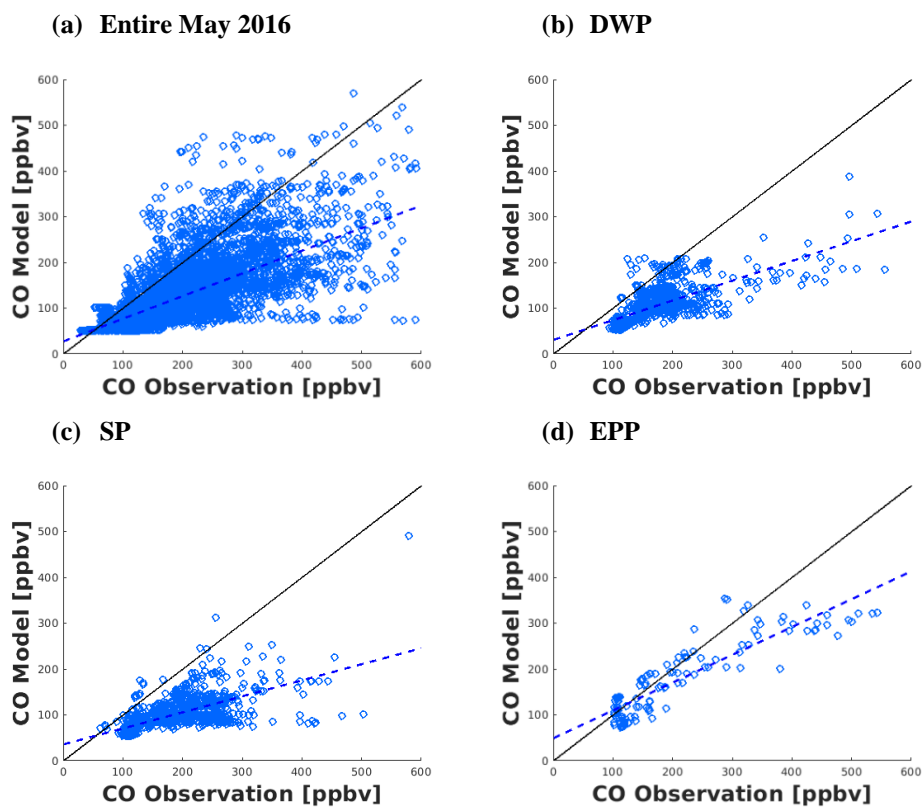
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Table 1: Comparison of the statistical parameters of CMAQ CO concentrations to aircraft measurements (COR: correlation, IOA: index of agreement, RMSE: root mean square error, MAE: mean absolute error)

	Abbreviation	COR	IOA	RMSE	MAE	
a)	Entire month of May 2016	0.71	0.72	91.3	66.7	
b)	Dynamic Weather Period	DWP	0.72	0.62	81.5	66.2
c)	Stagnant Period	SP	0.65	0.58	98.4	83.3
d)	Extreme Pollution Period	EPP	0.89	0.88	68.7	47.7

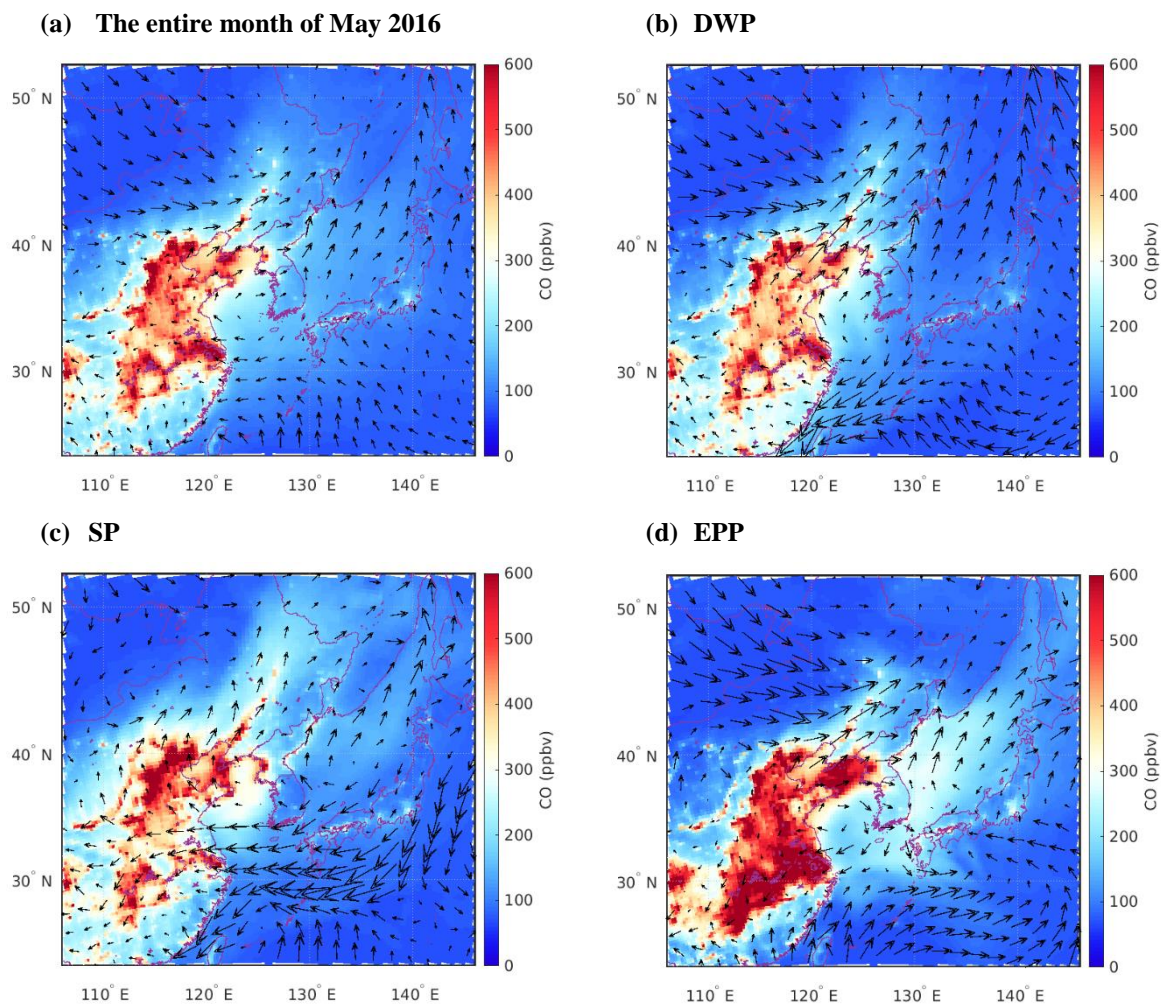
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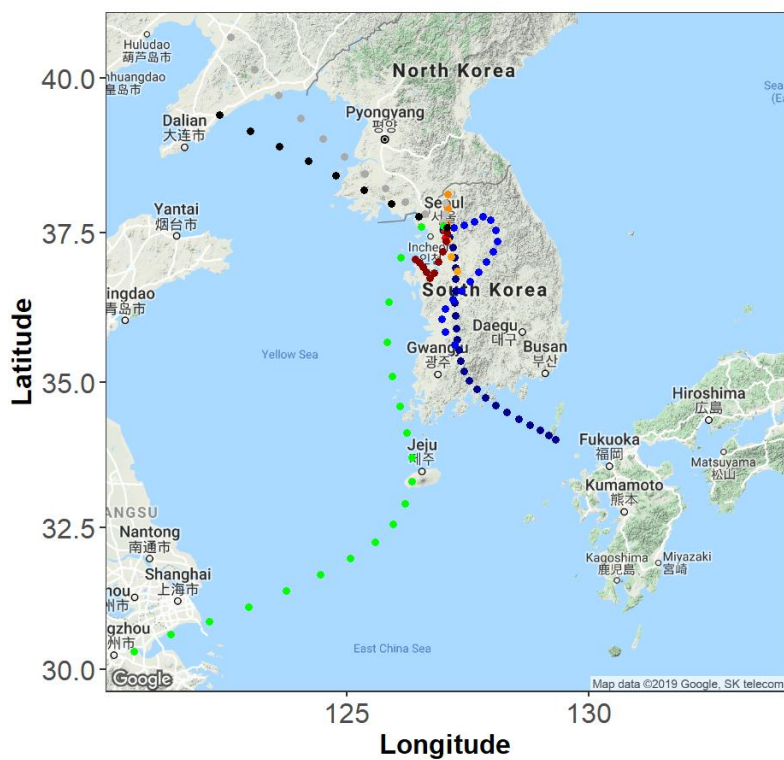
539 **Figure 4: CMAQ model results versus aircraft CO measurements for (a) the entire month of May 2016 (n=6865),**
 540 **(b) the DWP (n=1750), (c) the SP (n=1548), and (d) the EPP (n=264)**

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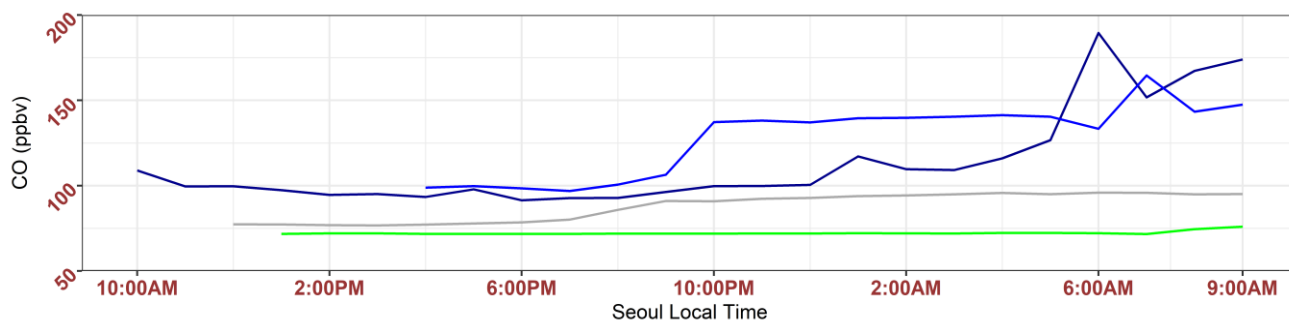


543 **Figure 5: Model CO concentrations and wind patterns over the surface during (a) the entire month of May 2016,**
 544 **(b) the DWP, (c) the SP, and (d) the EPP**

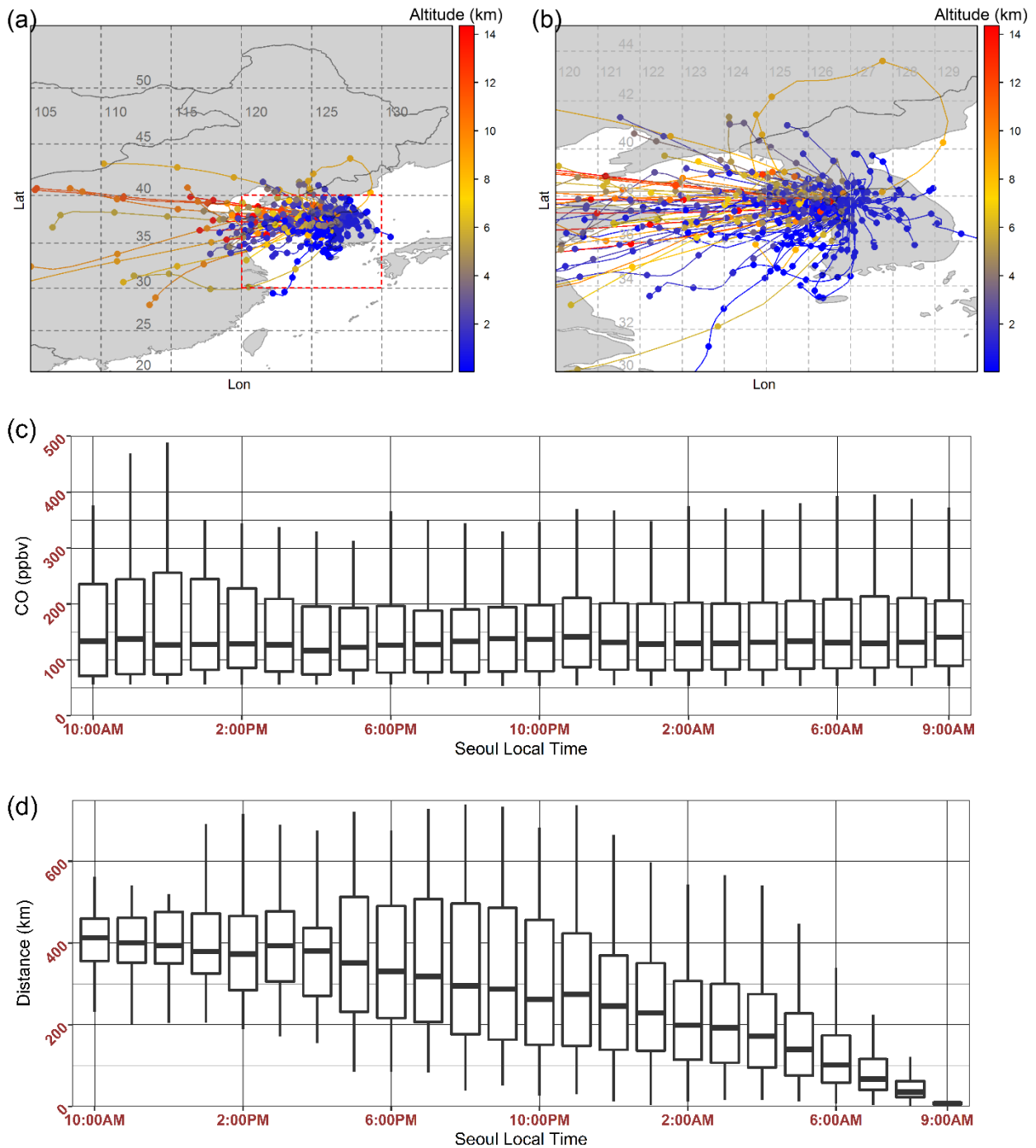
(a)



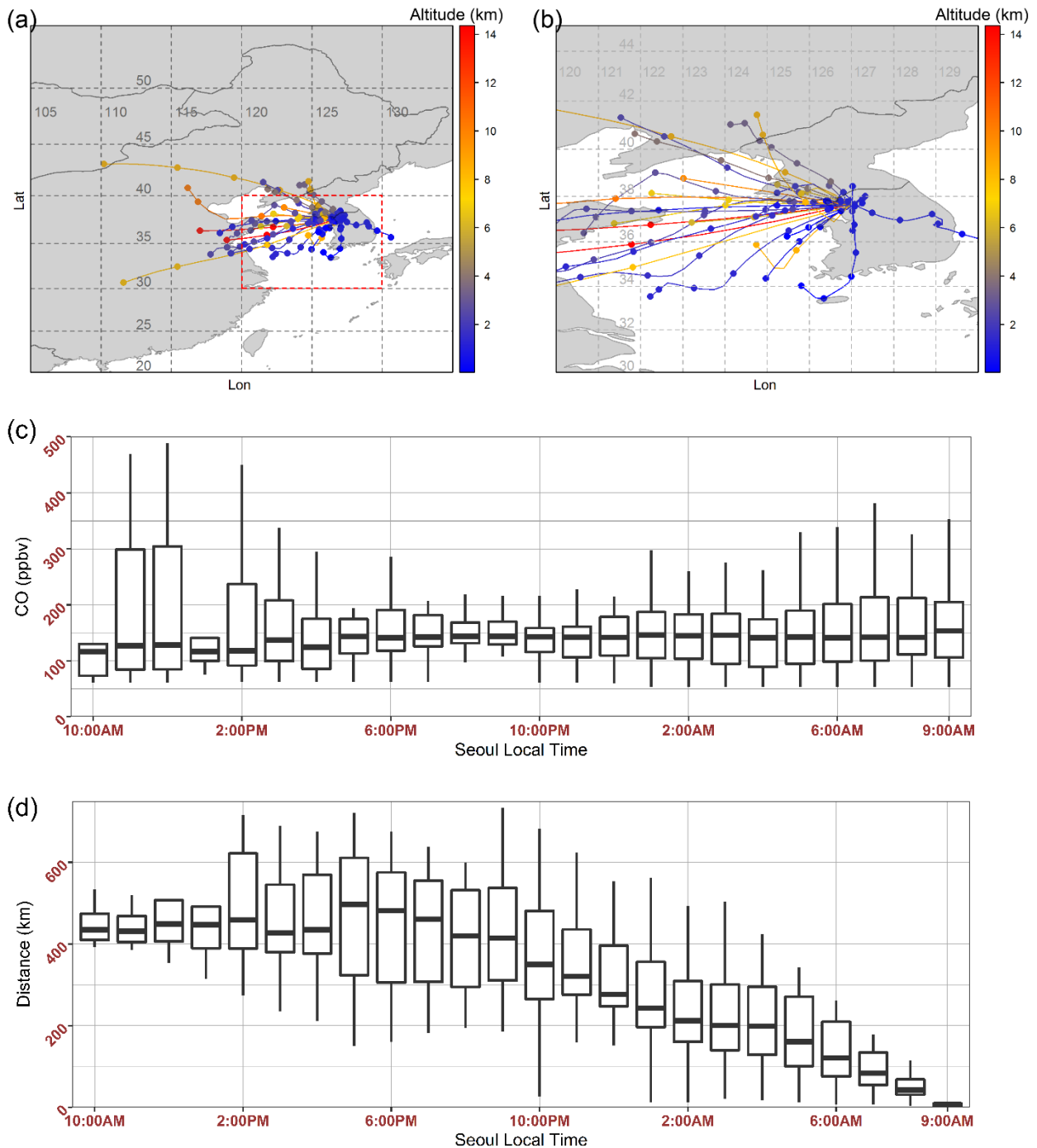
(b)



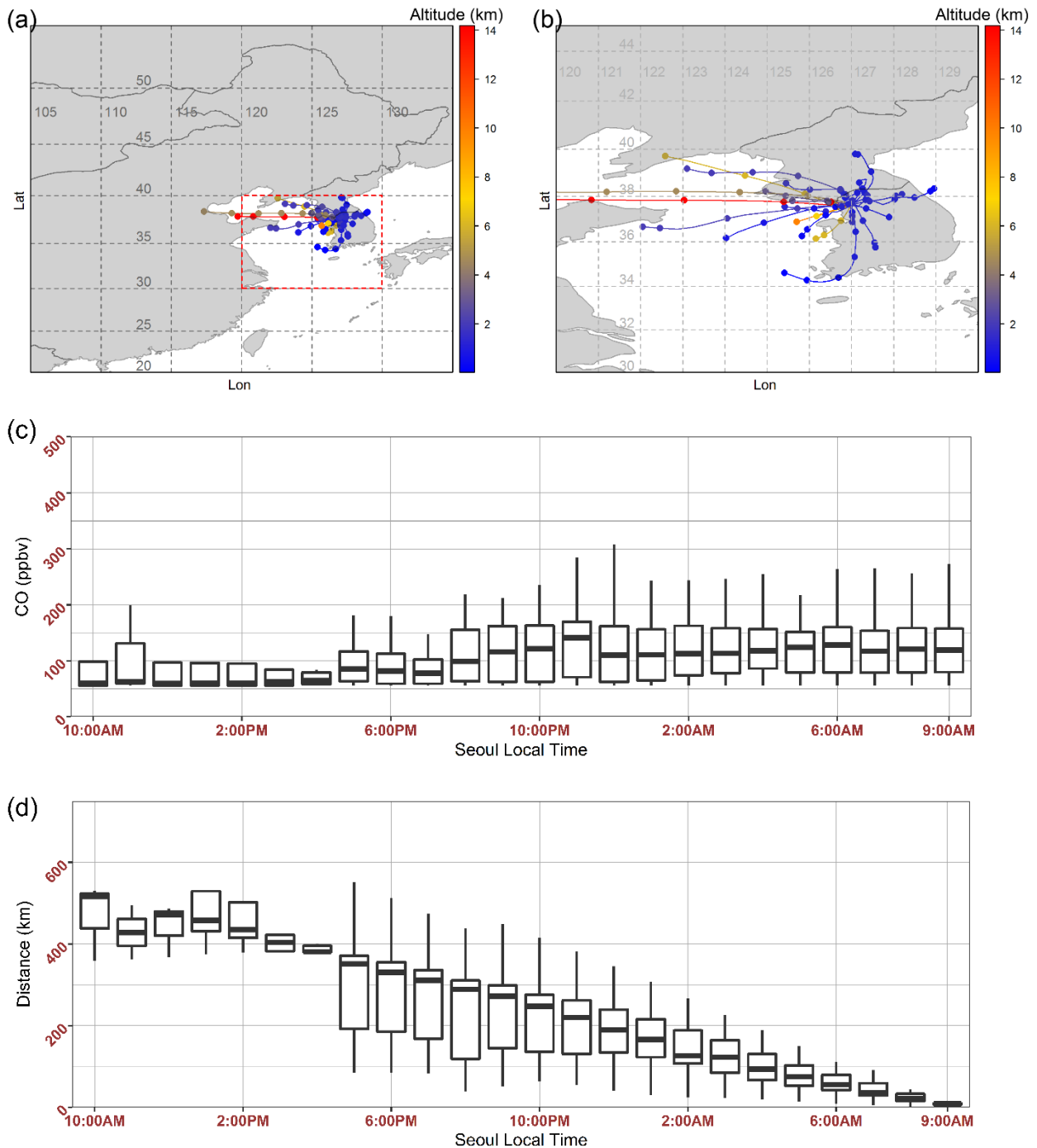
547 **Figure 6: C-TRAIL output for June 4, 2016: (a) the trajectory of packets reaching Seoul at 9:00 AM local time**
 548 **(b) changes in the CO concentrations of four aged packets moving toward Seoul from source points**



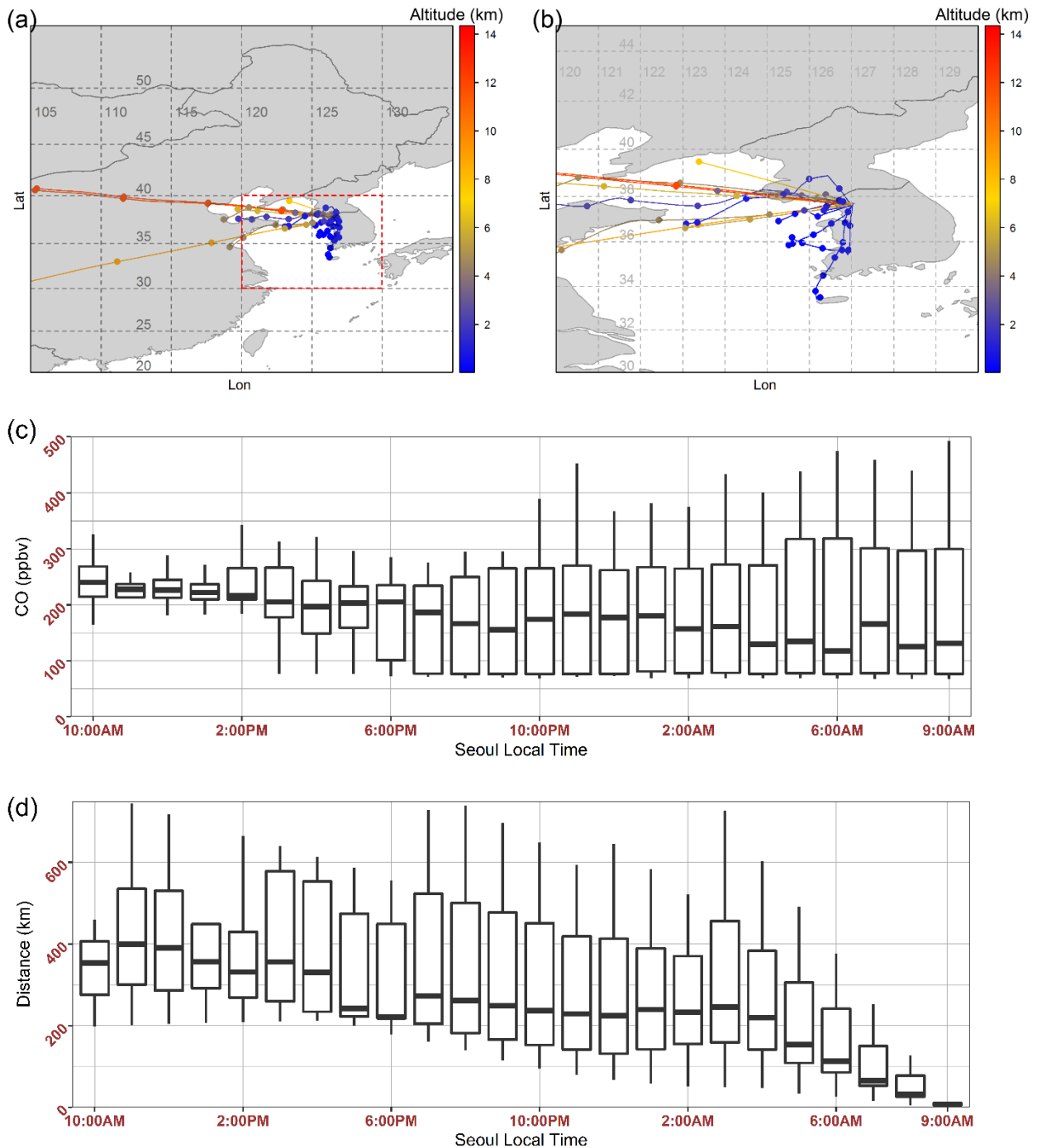
550 **Figure 7: C-TRAIL output for the entire month of May 2016 for Seoul as the receptor: (a) 24-hour trajectories of packets for the**
 551 **entire domain, (b) 24-hour trajectories of packets for the zoomed area in South Korea, (c) the boxplot of the CO concentrations of**
 552 **all packets at each hour before they reached Seoul, and (d) the boxplot of packet distances from Seoul at each hour before the**
 553 **packets reached Seoul**



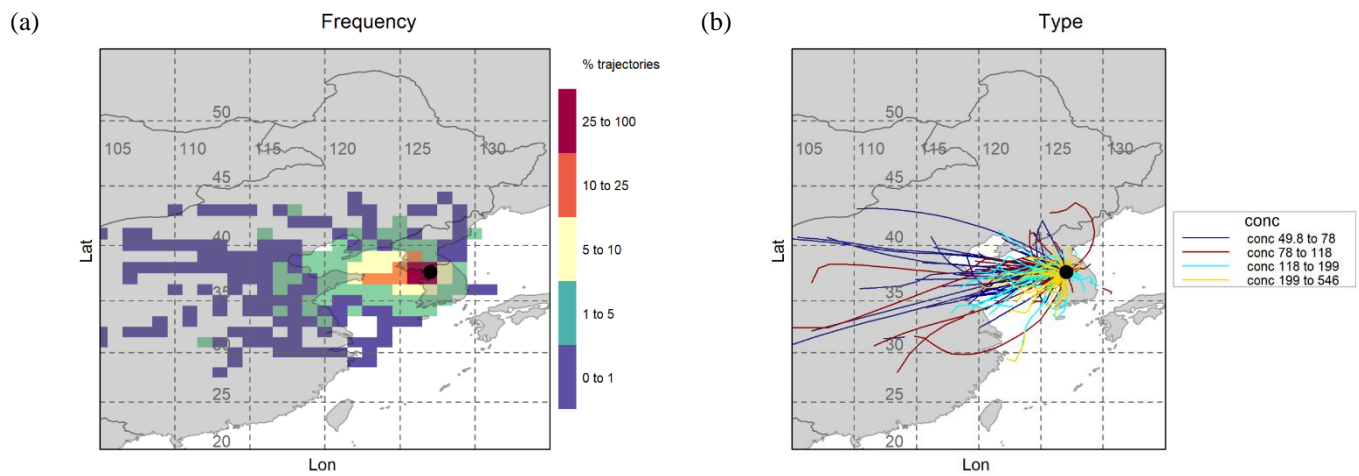
554 **Figure 8: C-TRAIL output for the dynamic weather period (DWP) for Seoul as the receptor: (a) 24-hour trajectories of packets**
 555 **for the entire domain, (b) 24-hour trajectories of packets for the zoomed area in South Korea, (c) the boxplot of the CO**
 556 **concentrations of all packets at each hour before they reached Seoul, and (d) the boxplot of packet distances from Seoul at each**
 557 **hour before the packets reached Seoul**



558 **Figure 9: C-TRAIL output for the stagnant period (SP) for Seoul as the receptor: (a) 24-hour trajectories of packets for the entire**
 559 **domain, (b) 24-hour trajectories of packets for the zoomed area in South Korea, (c) the boxplot of the CO concentrations of all**
 560 **packets at each hour before they reached Seoul, and (d) the boxplot of packet distances from Seoul at each hour before the packets**
 561 **reached Seoul**

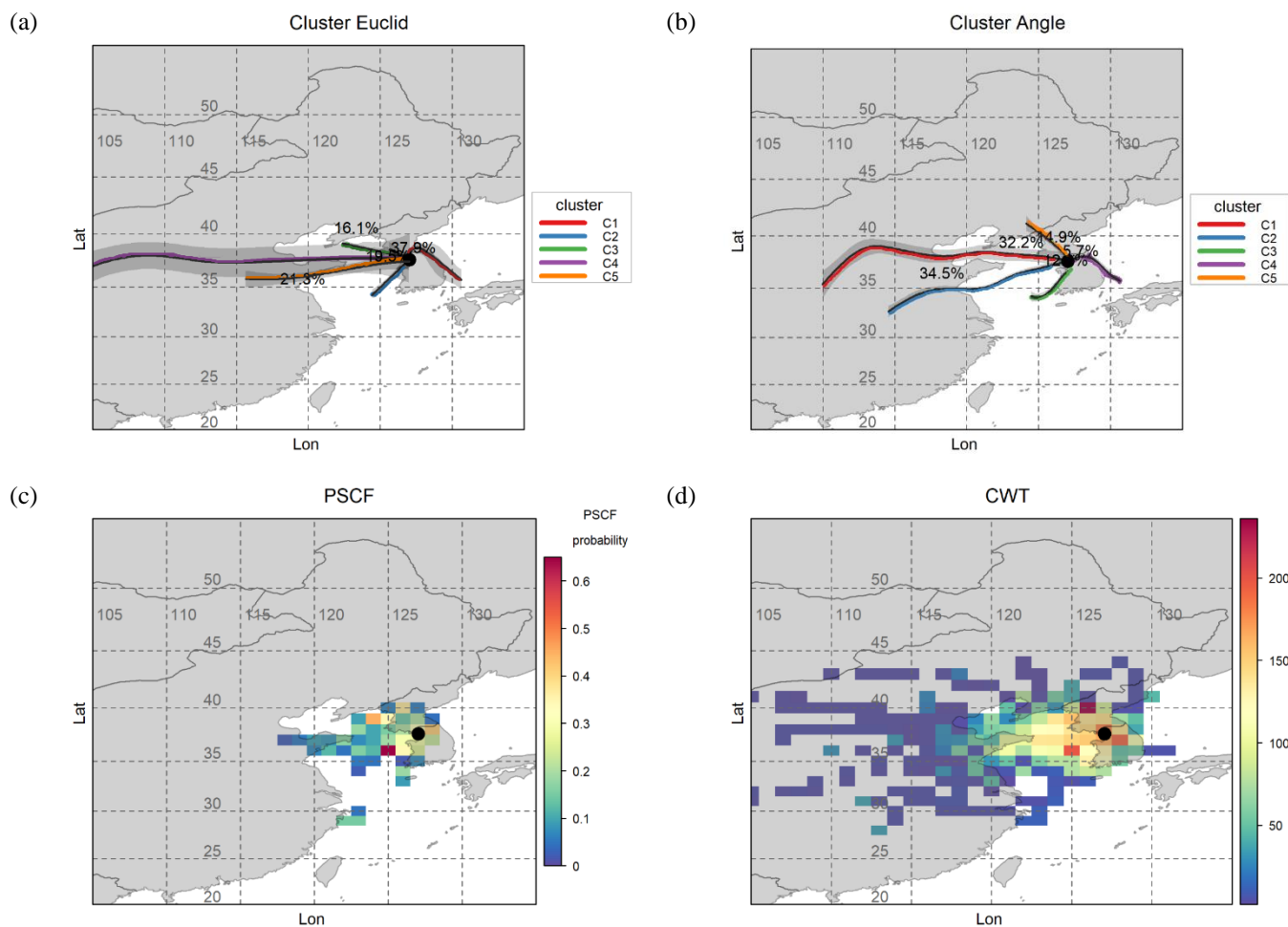


562 **Figure 10: C-TRAIL output for the extreme pollution period (EPP) for Seoul as the receptor: (a) 24-hour trajectories of packets**
 563 **for the entire domain, (b) 24-hour trajectories of packets for the zoomed area in South Korea, (c) the boxplot of the CO**
 564 **concentrations of all packets at each hour before they reached Seoul, and (d) the boxplot of packet distances from Seoul at each**
 565 **hour before the packets reached Seoul**



566 **Figure 11: (a) Plot of the frequency of trajectories and (b) the trajectories, classified by their concentration values**

567



569 **Figure 12: (a) Trajectories clustered by the Euclidian distance function, (b) trajectories clustered by the angle distance function,**
 570 **(c) the potential source contribution factor plot, and (d) the concentration-weighted trajectory plot**

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572