

## **Geospatial input data for the PALM model system 6.0: model requirements, data sources, and processing**

Wieke Heldens, Cornelia Burmeister, Farah Kanani-Sühring, Björn Maronga, Dirk Pavlik, Matthias Sühring, Julian Zeidler, and Thomas Esch

### **Final response by the authors**

First of all, we want to thank the two anonymous reviewers for their valuable comments.

Summarizing, the reviewers had the following main recommendations:

- 1) Include a more complete description of the PALM model including the use of the model to make the paper easier to read independent of the PALM overview paper by Maronga et al. (2020).
- 2) Increase the scientific value of the manuscript by discussing the content of the manuscript towards other approaches in literature, e.g. regarding input data and model evaluation/validation.
- 3) The paragraph on the different Levels of Detail (LOD) of PALM is difficult to understand.

In order to address these we changed the following to the manuscript:

- 1) We added a section in the introduction giving more background information on the PALM model.
- 2) To increase the scientific value of the paper, we included a section (Sect. 6) showing a PALM simulation using the static driver created for this paper. The simulation result is followed by a discussion of the experiences on the input data by related studies which focus on evaluating PALM (partly with the data presented in this paper). We included also a discussion towards other data frameworks for urban climate analysis (LZC, WUDAPT, MApUCE) in section 4.
- 3) To illustrate the concept of LOD in PALM, we added an illustration using LAI as an example for a city quarter in Berlin, Germany and show the difference between LOD0, LOD1 and LOD2 for this vegetation\_par.

In addition to these general recommendations, which resulted in the largest changes of the manuscript, the reviewers also provided numerous specific and technical comments. They resulted in smaller changes in the manuscript, but helped to improve the article a lot, for which we want to cordially thank the reviewers. Detailed answers to these comments are provided below.

**A marked-up manuscript showing all changes from the previously submitted version to the revised version (generated by latexdiff) can be found at the end of this pdf.**

## Anonymous Referee #1 – Author Response

### General Summary and Comments

This manuscript describes a semi-automated system for preparing a set of environmental input data for the PALM model system. The PALM model is designed to simulate micro- and mesoscale flow dynamics in urban environments. Examples of the system for deriving parameter sets for three German cities are provided. The input parameters (building, soil, water, road, and vegetation properties) appear to be thoroughly defined, extensive, and complete. Overall, the manuscript is well-written, clearly presented, and recognizes previous work in this area. Although, please see the Technical Comments below. The main aspects of the manuscript that require improvement are related to the need to provide a more complete description of the PALM model to provide context for the rest of the paper, to include some examples of input parameters in individual sections, and the lack of any discussion regarding whether the model has been run/evaluated/validated with these parameter sets (see Specific Comments below for more details).

**A.** Thank you for these valuable comments. We answered them in detail after the according specific comments below.

### Specific Comments

**1.** Section 1, Lines 7-15: I think this paragraph should be expanded to provide more information on what PALM is and how it is structured and operates (for instance, what spatial resolution does the model typically operate at?), including some background on how it has been applied in past studies, with references. In addition, what capabilities does the model have (i.e., later in the paper there is reference to “embedded chemistry model” and “embedded multi-agent system for urban residents” and “nested simulation setup” and “compute the energy demand of single buildings”. This information only slowly becomes apparent as one reads through the paper. Maybe there is an existing graphic from a previous paper that could help the reader visualize the environment that the model is attempting to represent (e.g., what types of surfaces are represented?). This would be valuable to provide some context for the rest of the paper describing the input data. This may be particularly important since the Maronga et al. 2019 paper that apparently describes PALM is listed as submitted?

**A1.** We included an additional section in the introduction, so that it is more clear which capabilities the model has and for what kind of studies it can be used. Also, since March 2020, the paper of Maronga et al. describing PALM6.0 is officially published. The citation is updated accordingly.

**2.** Section 2: It would be useful and clarifying to provide one or two concrete examples for each of the subsections describing the input requirements, so that the reader doesn't necessarily have to go to the tables to understand each section (particularly since these tables are in the appendix). For instance, what are some of the water body types and parameters described in section 2.6.3, what are some of the soil types and parameters described in section 2.7, etc.

**A2:** We added examples of the classes/types for clarification and a reference to the according table in the Appendix for faster identification.

**3.** I didn't see any indication that the model has actually been run with this set of data for the three example cities? Was it run and the output verified/validated? What were the problems encountered and what were the overall results?

**A3.** We added an additional section (section 6) where we show the static driver (which is provided in the supplement) that was generated with the data presented in the paper and show simulation results generated with this data. Additionally, we discussed experiences of other studies with this input data and discuss what the most important aspects are to generate sound simulation results with PALM.

### **Technical Comments**

**1.** Abstract: Is PALM an acronym? I don't see it defined in the abstract.

**A1.** PALM used to be an abbreviation for Parallelized Large-eddy Simulation Model but is now an independent name (see Maronga et al., 2020).

**2.** Abstract, Line 5: Suggest rewording or removing "standardized, hereafter called PALM input data standard". Maybe "...follows a standardized format, hereafter called the PALM input data standard". On the other hand it may not be necessary to call this out in the abstract. Later in the paper this is referred to as "the so-called PALM input data standard".

**A2.** We changed the sentence to "...and follows a standardized format."

**3.** Page 2, Line 4: Suggest changing "inevitable" to "necessary".

**A3.** Wording changed as suggested.

**4.** Page 2, Line 18: Suggest changing "fitting to the" to "fit the".

**A4.** Wording changed as suggested.

**5.** Page 2, Line 30: Suggest changing "is therefore also conform with" with "and therefore also conforms to the"

**A5.** Wording changed as suggested.

**6.** Page 3, Line 7: There is a reference here to Table 12 (not Table 1). Is this typical table numbering for GMD, i.e., to consecutively number tables starting with the ones in the Appendix and then continue with tables referred to in the main paper?

**A6.** The numbering by accident went wrong in Latex as the tables were placed at the end of the manuscript. The tables are now included directly in the text and start with #1.

**7.** Page 3, Line 10: Suggest changing “extent” to “extend”.

**A7.** Wording changed as suggested.

**8.** Page 4, Line 12: Are root fractions vertically resolved?

**A8.** The root fractions are distributed over the individual vertical soil layers and must sum up to 1. We added a respective note.

**9.** Page 4, Line 12: Only much later in the paper is it apparent that BAD is not used in the current implementation. It would be useful to note that here.

**A9.** We added a note.

**10.** Page 4, Line 24: Do you mean  $z_t = 0$ ?

**A10.** Yes, this should be  $z_t$ . We corrected it accordingly.

**11.** Page 5, Line 30: I don’t understand “in case the energy balance for building surface should be solved”. Shouldn’t it always be solved for?

**A11.** Yes. The sentence was imprecise. We rephrased it to: “To solve the energy balance at building surfaces (Resler et al., 2017; Maronga et al., 2020), information on the type of the buildings must be provided. To solve the energy balance at building surfaces appropriately, various wall material and surface properties must be known, e.g.....”

**12.** Page 6, Line 3: Are these building types appropriate only for a specific region (e.g., country), or are they generally applicable globally?

**A12.** The provided wall and surface parameters are assumed to be valid for Germany, but still have to be checked and likely changed for other building styles. We added a note accordingly. As from other studies (e.g. Belda et al. 2020) the importance of albedo and thermal conductivity of building walls on the simulation results is emphasized as well, we included this topic also in the discussion in Section 6 and the conclusion.

**13.** Page 6, Line 4: Suggest changing “build” to “built”.

**A13.** Wording changed as suggested.

**14.** Page 6, Line 17: In general, if vegetation is not present, is bare soil parameterized?

**A14.** No, a vegetation type (including bare soil), water type, building (incl. bridges) or a pavement type has to be assigned. In our processing chain, we therefore checked for such pixels and filled them according to a ranking of available data (see section 4.2), which mostly resulted in either vegetation or pavement. If after these filling iterations there is still a gap, a default value is inserted, which currently is bare soil indeed. We added a comment in Section 4.2 to clarify this.

**15.** Page 6, Line 18: Not resolved by the vertical numerical grid?

**A15.** With this it is meant that the vegetation height is smaller than the vertical grid spacing. We added a note.

**16.** Page 7, Line 10: What types of water surface and parameters are available? Is there a table to be referenced here?

**A16.** Table A5 in the appendix contains this information. We included the cross reference to this table and extended also the text with information on the available water types and parameters.

**17.** Page 10, Line 6: Are the pre-processing scripts referred to here publically available? Or are these simply the same thing as the palm csd? Are these scripts general enough such that they could be applied to other cites, at least in Germany?

**A17:** The scripts and programs used to process the input data are currently not publicly available as they use some commercial and or internal functionality. However we are currently in the process of maturing them and plan to make them publicly available with the PALM Model in the future. We are currently in the process of (trying to) generalize them so they can also be applied to other cities. However this strongly depends on the stability of input data sources. So e.g. the scripts based on OSM are easily transferable (the user might have to add mappings for pavement types unknown in Germany), but especially building 3D data is very heterogeneous. We added a note in the manuscript to inform all readers.

**18.** Page 11, Line 15: “7, 8”?

**A18.** A separating comma was inserted between the footnotes.

**19.** Page 13: Is there any additional data that is available, now or in the future, that could alleviate this problem (no information on restoration and heat insulation actions)?

**A19.** Currently there is no additional data available. However, there are some ongoing initiatives which might help in the future. We changed the text in Section 4.3.2. to give some information on these initiatives: "In Germany there is no cadastral information on restoration and heat insulation actions for individual buildings, so that the age of building construction used for building classification is often a rather poor proxy for the thermodynamic properties of buildings. Theoretically, energetic information on buildings exists in Germany, described in the energy performance certificate of each residential building. However, this information is not generally accessible. Currently, a research activity funded by the German Federal Ministry of Economic Affairs and Energy is developing a data base on energetic characteristics of non-residential buildings (ENOB DataNWG (<https://datanwg.de/home/aktuelles/>)). Thus, for small areas where high quality simulations are desired, field surveys or drone surveys still are required. For the large area applications in this study however, this was not possible. Instead, we aimed at identifying the classes defined in Tab. B2. "

**20.** Page 14: What threshold of NDVI was used? Was it proven to be accurate? Please spell out this first use of the term NDVI (normalized difference vegetation index) (as noted in the next section).

**A20.** The term NDVI is explained in Section 4.4.1. now (and section 4.4.2 is changed accordingly). The thresholds used are explained in the text now. They are based on the extensive and intensive vegetation classes, where extensive vegetation is assumed to be short grass (class 3) and intensive vegetation is assumed to be deciduous broadleaf (class 7). For the small areas where this data set is used for (to fill gaps in the pavement layer) the accuracy has proven to be sufficient.

**21.** Page 15: Please define here what you mean by intensive and extensive green roofs. Only later on does it become apparent that extensive means trees growing over the roofs.

**A21.** Green roofs are categorized into intensive (with trees and park-like vegetation) and extensive (most common type, with succulents, mosses etc.) green roofs. We added an explanatory sentence.

**22.** Page 17, Line 4: Units for LAI? m<sup>2</sup> leaf area per m<sup>2</sup> of ground area? Does LAI then vary with time in the model? If so, at what temporal resolution? What part of the model handles this?

**A22.** Yes, the unit of LAI is m<sup>2</sup> leaf area per m<sup>2</sup> of ground area and therefore LAI is dimensionless. LAI does not vary with time in PALM, but it is meaning-full to use the LAI that represents the vegetation state at the simulated day. For that reason, we prepared different LAI data sets to select from. We added 2 sentences to explain this in Section 4.4.5.: "LAI is defined as m<sup>2</sup> leaf area per m<sup>2</sup> of ground area and is therefore dimensionless. The LAI varies largely over the phenological cycle. Therefore it is important to approximate the state of the vegetation of the day to be simulated in PALM as best as possible in the input data."

**23.** Page 17, Line 9: What is an “image granule”?

**A23.** Sentinel 2 images are divided into smaller, manageable pieces which are called "image granules" or tiles ("For Level-1C and Level-2A, the granules, also called tiles, are 100x100 km<sup>2</sup> ortho-images in UTM/WGS84 projection." <https://eos.com/sentinel-2/>) The sentence was rephrased to "Depending on the study area up to three Sentinel image tiles (so called image granules)..." to make this understandable for people outside the field of remote sensing.

**24.** Page 17, Line 16: Please spell out IDL. Interactive Data Language? Reference?

**A24.** Yes, it stands for Interactive Data language. We spelled it out and referred to the software company.

**25.** Page 19, Line 1: It seem like pavement thickness would be required to accurately model heat transfer. Is this considered/available?

**A25.** In fact, the model assumes by default that the pavement covers the 6 uppermost soil layers. We added a note in Section 2.7 ("Soil classification"): "By default the soil layers have widths of (from top to bottom) of 0.01, 0.02, 0.04, 0.06, 0.14, 0.26, 0.54, and 1.86 m, where pavement layers are by default assumed to cover the six uppermost layers (see Tab. A4). Note that the vertical composition of materials used in road construction varies a lot and is usually unknown. The current implementation thus should be considered to be a first guess."

**26.** Page 20: Line 1: How are street type and street crossings used in the model? What is the difference between pavement type and street type?

**A26.** At the moment, input data for `street_type` and `street_crossing` is used by PALM's embedded chemistry model (Maronga et al. 2020, Khan et al. submitted) to parametrize emissions of chemical compounds during the diurnal cycle. This information was also added to the manuscript. The pavement type describes the material of the surface (e.g. asphalt), whereas the street type describes the use of the road (e.g. high way) and thus gives an indication on the amount of emission traffic on the street produces.

**27.** Page 21, Line 3: Change “respctive” to “respective”.

**A27.** Corrected.

**28.** Page 21, Line 14: Change “in into” to “into”.

**A28.** Corrected.

**29.** Page 21, Line 27: Change “his” to “their”.

**A29.** Corrected.

**30.** Tables A1, A3, A5, A6 captions: Please describe each of the symbols given here. In Table A1, what is IFS? In Table A3, what are the units for these parameters?

**A30.** Missing information was added to the table captions.

**31.** Table A4: Are there values for different depths in the soil? What are the depths?

**A31.** The index number indicates the respective layer within the soil. We added the soil layer widths to the caption. See also comment above.

**32.** Table A7: By “longwave” and “shortwave” do you mean near-infrared and visible, respectively?

**A32.** Yes. We added a note to the caption.

**33.** Table B2: Is “255” a missing or fill value or something else?

**A33.** "255" is indeed a fill value, as these OSM classes should not be assigned to any vegetation class. We included a note in the caption.

**34.** Table B3: Is an English translation useful or appropriate here?

**A34.** Although the classes are very specific and likely only used in Germany only, we attempted a translation for the interested user.

**35.** Table B5: Is buffer width the same as street width? I don't see any cases where “the number of lanes is indicated”. Are these in meters?

**A35.** No, this is not the same. "Street width" and "number of lanes" are both attributes that can be set in OSM (but are not always available for all objects). However, the caption is imprecise. We corrected it to: "The buffer width in this table is only used to convert the line objects into areas if no value is indicated for street width in the OSM data. If the number of lanes is indicated, the street width listed in Tab.B6 is applied." The buffer width is in meters. We added this to the table.

**36.** Table B6: Are these in meters?

**A36.** Yes. We added this to the table.



**37.** Table B8: Is an English translation useful or appropriate here?

**A37.** As this classification is always only available in German for German areas, a detailed translation seems not especially relevant. However, for interested readers, we grouped the classes and made summarized translations.

**38.** Table B9: Is "255 a missing or fill value or something else?

**A38.** "255" is indeed a fill value. We added a note.

**39.** Table B11: What is "Biotope"? Change "abbriviation" to "abbreviation". Is an English translation useful or appropriate here?

**A39.** A biotope type is comparable to a habitat type. Both words exist in English (according to leo.org.) We choose the word biotope because it is close to the German word "Biotop", which is the name of this kind of map in Germany (Biotope Maps = Biotopkartierung). Similar to Table B8, a detailed translation is relevant, as the classes are very detailed. However, we added here a summarized translation as well.

**40.** Table A3: This table refers to tables in the supplements, but the only supplement file appears to be the netcdf file that represents the input for the static driver. For example, what is "Tab.S10 in the Supplements"? Please provide more information for readers.

**A40.** This was simply a mistake, meant was Table A4.

## **Anonymous Referee #2 – Author response**

### **General comments**

**1.** This paper presents how to describe the local environment for the PALM model system 6.0. The different modules, standards and possible data-sources are in this sense clearly and exhaustively presented. Three German cities are used to illustrate the different steps in the data collection and that allows to appreciate a certain spatial and intra-parameter variability. An important set of good quality figures and tables describing the input parameters accompany the text. Although I am sure of the interest of such an article for PALM users, I think it would gain interest for the whole community of modelers if the work is discussed more in depth regarding the state of the art. Even if authors recognize previous work in this area no analysis or discussion is made regarding it. In its current state the article is closer to a technical report than to a scientific paper. My main comment would be regarding this point.

**A1:** Thank you for these valuable comments. To increase the scientific value of the paper, we included a section (Sect. 6) showing and reflecting a simulation using the static driver created for this paper. We included also a discussion towards other data frameworks for urban climate analysis (LZC, WUDAPT, MApUCE) in section 4.

### **Specific comments**

**2.** The content of the paper is well written but there are numerous section crossings and this should be avoided to minimize repetition.

**A2.** We went through the paper and tried to remove repetitions where possible. However, the structure of the input data cannot avoid repetitions all together. We briefly considered another organization of the paper, in which for each parameter in section 2 follows directly the input data processing (currently section 3) of this parameter. However, many decisions on data selection and processing are the result of the way the static driver is created as a whole (including on how parameters are treated in combination). Therefore we decided to describe first all requirements in detail and then, with this knowledge in the back of the readers head, describe the input data processing.

**3.** I agree with reviewer 1, even if this paper is published in a special issue accompanied by an article on the PALM model it must be self-sufficient. Some contextual information about the model and the eventual numerical simulations where these data were used, are missed in the current version.

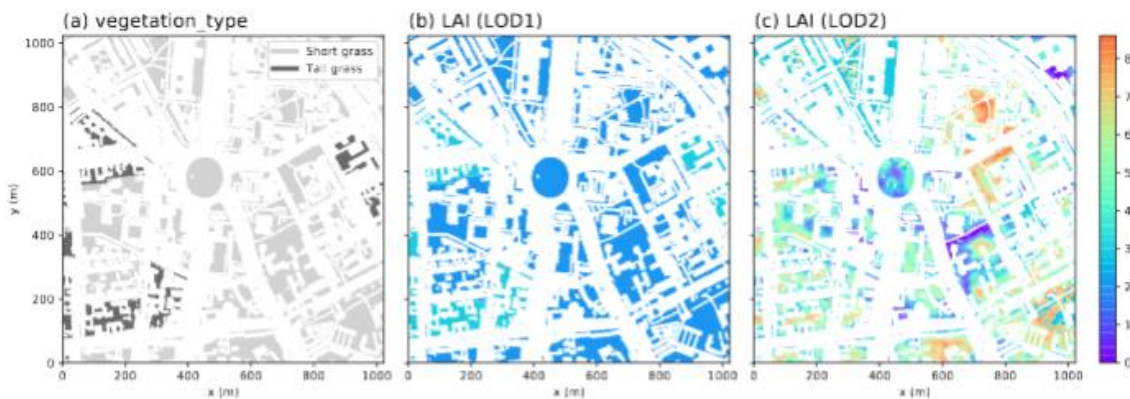
**A3:** We added a section to the introduction describing PALM in more detail, so the paper is easier to read on its own.

4. Some subsections are very concise (for example 2.6.3 for water bodies), please complete them or combine them with others sections.

**A4.** We completed the short sections. However, they still remain less detailed than others, mainly because the parameters (such as water bodies) are straight forward to map and data is readily available.

5. The paragraph in Pg3. L30, is difficult to understand. An extra figure or workflow could be useful to guide the reader.

**A5.** We added an illustration using LAI for a city quarter in Berlin, Germany. Here the LOD1 LAI field is determined from the `vegetation_type` classification and then overwritten by LOD2 data via `vegetation_pars`: "Figure 1 shows this hierarchy exemplary for the LAI based on available data for Berlin, Germany."



**Figure 1.** Illustration of LOD1 and LOD2 hierarchy for the LAI around Berlin Ernst-Reuter-Platz. Panel (a) shows the determined `vegetation_type` classification, which involves automatic setting of an LAI for all pixels classified as vegetation (LOD1), shown in panel (b). Panel (c) shows LOD2 information of the LAI distribution transferred by the field `vegetation_pars`.

6. As a general rule, please integrate the figures after their citation.

**A6.** We made sure that in our Latex file, the figures are inserted directly in after the passage where they are first mentioned. LaTeX then places the figures on the pages.

### Technical corrections

7. Pg2. L1-2, "as well" is used twice

**A7.** We removed the first instance.

8. Pg7. L7, there is a "-" after the word vegetation.

**A8.** We removed the "-"

**9.** Pg9. L8, please update the sentence "Nevertheless, a Germanwide coverage is planned for the end of 2019"

**A9.** The section was updated to "Since 2019, LOD2 building data exists for all German states (Arbeitsgemeinschaft der Vermessungsverwaltungen der Länder der Bundesrepublik Deutschland,2019a, b). However, accessibility and cost vary for each state."

**10.** Pg10. Sec4. Here you can perhaps cite and discuss your work regarding the paper Bocher, E.; Petit, G.; Bernard, J. & Palominos, S. A geoprocessing framework to compute urban indicators: The MApUCE tools chain Urban Climate, 2018, 24, 153 - 174

**A10:** We included a discussion of LCZ, WUDAPT and MApUCE at the beginning of section 4.

**11.** Pg10. Sec 4.1, it is not clear the horizontal resolution of the airborne lidar. Is it 1m?

**A11.** Yes, the horizontal resolution of the DTM created based on the airborne LiDAR data is 1 m. We clarified the text.

**12.** Pg 12. L20, a dot is missed after "pavement)".

**A12.** Added.

**13.** Pg 14. L3, "As an area wide approach satellite..." This sentence it's not clear to me, perhaps a grammar revision is needed.

**A13.** We rephrased the sentence to: "As an area wide approach satellite or airborne imagery provides accurate information on the location of the vegetation. Such remote sensing data can also provide estimates for some vegetation characteristics, but not all required by PALM."

**14.** Pg14. L9, I think a coma is needed after "For the vegetation type layer municipal".

**A14.** Comma added.

**15.** Pg15. L28, a ")" is missed.

**A15.** Added.

**16.** Fig1. It's difficult to compare the three terrains as the legend is not homogeneous.

**A16.** True. However, the legends were chosen to show maximum topography within each city. With identical legends, the range will be too large as Hamburg has terrain heights around 0, and Stuttgart around 600 and no relief within the cities will be visible. Therefore we choose to use different legends for each city and we prefer to keep them that way.

**17.** Fig3. Please include buildings types directly in the legend.

**A17.** We added the building types into the legend.

**18.** Fig5. It seems that the method identifies vegetation over the building path. Please explain and discuss the limits.

**A18.** Yes, the vegetation layers available from the municipalities are often quite coarse and do not always have holes for buildings/paths. Therefore, as stated in part 4.2 *Surface classification* there is a ranking of "spatial reliability" between the core layers, so that e.g. a building pixel is preferred over a vegetation pixel.

## References

Arbeitsgemeinschaft der Vermessungsverwaltungen der Länder der Bundesrepublik Deutschland 3D-Gebäudemodelle LoD1: Produktblatt, 2019

Arbeitsgemeinschaft der Vermessungsverwaltungen der Länder der Bundesrepublik Deutschland 3D-Gebäudemodelle LoD2: Produktblatt 2019

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Maronga, B.; Banzhaf, S.; Burmeister, C.; Esch, T.; Forkel, R.; Fröhlich, D.; Fuka, V.; Gehrke, K. F.; Geletič, J.; Giersch, S.; Gronemeier, T.; Groß, G.; Heldens, W.; Hellsten, A.; Hoffmann, F.; Inagaki, A.; Kadasch, E.; Kanani-Sühring, F.; Ketelsen, K.; Khan, B. A.; Knigge, C.; Knoop, H.; Krč, P.; Kurppa, M.; Maamari, H.; Matzarakis, A.; Mauder, M.; Pallasch, M.; Pavlik, D.; Pfafferott, J.; Resler, J.; Rissmann, S.; Russo, E.; Salim, M.; Schrempf, M.; Schwenkel, J.; Seckmeyer, G.; Schubert, S.; Sühring, M.; von Tils, R.; Vollmer, L.; Ward, S.; Witha, B.; Wurps, H.; Zeidler, J. & Raasch, S. Overview of the PALM model system 6.0 *Geoscientific Model Development*, 2020, 13, 1335-1372

Resler, J.; Krč, P.; Belda, M.; Juruš, P.; Benešová, N.; Lopata, J.; Vlček, O.; Damašková, D.; Eben, K.; Derbek, P.; Maronga, B. & Kanani-Sühring, F. PALM-USM v1.0: A new urban surface model integrated into the PALM large-eddy simulation model *Geoscientific Model Development*, 2017, 10, 3635-3659

# Geospatial input data for the PALM model system 6.0: model requirements, data sources, and processing

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**Abstract.** The PALM model system 6.0 is designed to simulate micro- and mesoscale flow dynamics in realistic urban environments. The simulation results can be very valuable for various urban applications, for example to develop and improve mitigation strategies related to heat stress or air pollution. For the accurate modelling of urban environments, realistic boundary conditions need to be considered for the atmosphere, the local environment, and the soil. The local environment with its geospatial components is described in the static driver of the model and follows a standardized ~~hereafter called PALM input data standard~~ format. The main input parameters describe surface type, buildings and vegetation. Depending on the desired simulation scenario and the available data, the local environment can be described at different levels of detail. To compile a complete static driver describing a whole city, various data sources are used, including remote sensing, municipal data collections and open data such as OpenStreetMap. This manuscript shows how input data sets for three German cities ~~can be~~ were derived. Based on these data sets, the static driver for PALM can be generated. As the collection and preparation of input data sets is tedious, prospective research aims at the development of a semi-automated processing chain to support users in formatting their geospatial data.

*Copyright statement.* TEXT

## 1 Introduction

15 Nowadays, computational fluid dynamic models are increasingly used to simulate the atmospheric flow within urban environments, e.g., to develop and improve mitigation strategies for heat stress (e.g. Sharma et al., 2018) or air pollution scenarios (e.g. Kurppa et al., 2018). In order to draw a realistic picture of the thermodynamic and dynamic conditions within urban environments, it is required to consider and sufficiently represent all the relevant physics on the micro- and meso-scale, as well as realistic boundary conditions to reflect the real-world conditions. Besides realistic initial and boundary conditions for the

atmosphere and the soil, it is crucial to have detailed information on the local environment ~~as well~~, i.e., terrain height, building and street canyon geometries and surface properties, as well as the type and the current state of the plant canopy, in order to accurately represent the real-world conditions within the urban canopy layer. For instance, detailed information on the geometry of the surrounding buildings and the nearby street canyons is ~~inevitable~~ necessary to study ventilation and air pollution in street canyons (Lo and Ngan, 2017) or within courtyard cavities (Gronemeier and Sühling, 2019), or to assess the nighttime fresh-air supply by cold-air drainage flows within residential areas.

The PALM model system 6.0, a large-eddy simulation-based code including several components for urban micro-scale simulation, allows the simulation of urban micro climate of realistic urban environments, as it is capable of handling detailed information on the real-world environment ~~(?)~~. (Maronga et al., 2020). PALM is discretized in space using finite-differences, while terrain or buildings are considered via a Cartesian topography. PALM offers several embedded models to simulate physical processes within the urban environment. Namely, this embraces a land-surface model (Gehrke et al., 2020) to consider the surface-atmosphere exchange of heat and moisture at low vegetation-covered, pavement, as well as water surfaces; a building surface model (Resler et al., 2017) to consider the surface-atmosphere exchange of heat and moisture at building walls, windows and intensive as well as extensive green building surfaces; a plant-canopy model to include explicit momentum drag at grid-resolved vegetation as well as evapotranspiration and heating within the canopy; a radiative-transfer model (Krč et al., 2020) to include complex three-dimensional mutual radiative interactions between surfaces and plants; an indoor and building-energy demand model which simulates the amount of released anthropogenic heat by air-conditioning or waste heat; an aerosol model (Kurppa et al., 2019) as well as an air-chemistry model; a biometeorology model (Fröhlich and Matzarakis, 2020) to estimate thermal comfort and UV-exposure; and last but not least a Lagrangian-based multi-agent model to emulate human's behavior and pathways within the complex urban-environment while sampling several air-quality and biometeorological measures.

Using such a complex model, however, ~~implies~~ entails that the amount and requirements of input data drastically increases compared to simplified scenario studies. For example, to deduce mitigation strategies for heat-wave scenarios for realistic urban environments, the turbulent flow as well as the surface energy balance on the microscale need to be simulated sufficiently accurate. However, radiative transfer processes as well as the partitioning of the available heat into ground as well as surface sensible and latent heat fluxes depend strongly on the type of the local surfaces. Therefore, spatial information about the location of pavements, water bodies, trees, building heights and geometries, etc. is crucial for the simulation of 'real-world' scenarios. The main objective of this paper is therefore to describe the extensive model requirements, data sources and processing of the geospatial input data for PALM 6.0. This paper focuses on the geospatial input data only, which is required to create suitable input files for PALM and that describe the (static) information, representing the local environment and surface boundary conditions for the model. This is a key aspect in the numerical simulation of urban flows since they determine the atmosphere-surface exchange of momentum, heat and moisture.

The paper starts with a description of the input data requirements of PALM (Sect. 2). Data availability, including possibilities and limitations of a wide range of suitable data sources to satisfy these needs are described in Sect. 3. Each of these data sources ~~require~~ requires its individual pre-processing to make the data ~~fitting~~ fit to the model input requirements. ~~In~~ Sect. 4 ~~it is~~

~~demonstrated~~demonstrates exemplary how this pre-processing can be realized for three German cities. In Sect. 5 it is described how the input data is prepared for PALM. Sect. 6 provides an example application of PALM using the input data and static driver described in the paper. The paper concludes with a discussion of the input data and existing challenges that are related to collecting and preparing the input data according to the PALM input data standard.

## 5 2 Input data requirements by PALM

The geospatial input data for PALM is organised hierarchically, with a set of minimum requirements and further optional input data, depending on the objective of the simulation and available input data. This section gives a description of the input data requirements of PALM in the different situations as well as a short description of the required parameters.

### 2.1 Requirements and hierarchy

10 All geospatial input data for the model is provided by the user in a netCDF driver file (hereafter referred to as static driver) that comprises all static (i.e., time-invariant) spatial information as well as metadata according to the so-called PALM input data standard (PIDS, see Appendix A). The PIDS inherits most of the netCDF Climate and Forecast Metadata Conventions Version 1.7 (CF-1.7)<sup>1</sup> and ~~is therefore also conform with~~ therefore also conforms to the conventions of the Cooperative Ocean/Atmosphere Research Data Service (COARDS)<sup>2</sup>. Depending on the setup (e.g., only dynamic flow or fully thermodynamic simulation with interactive surfaces) there is a minimum set of mandatory variables and several optional ones that need  
15 to be included wgbi182wgbi182 in the static driver.

The initialization in PALM follows a multi-step approach, depending on the given level of detail (LOD) of each variable as provided in the static input file. In absence of a static driver, i.e., the lowest level of detail, ~~LOD-0~~LOD0, a horizontally homogeneous surface is initialized based on settings using Fortran NAMELIST parameters, e.g., homogeneously vegetated  
20 surfaces and surface properties in the land-surface model (~~see ?~~). ~~In LOD-1~~(see Maronga et al., 2020). In LOD1, surface information is passed to PALM via two-dimensional fields in the static driver. Table 1 gives an overview of all LOD1 fields that can be read by PALM. For simulations without thermodynamics, i.e., when no interactive surface schemes are used, only the fields `zt` (terrain height) and `buildings_2d` (building height) are used for initialization and at least one of these fields must be provided. Additionally, the field for the building identifier (ID) `building_id` must be set when `zt` is used in order  
25 to guarantee a correct mapping of buildings on the terrain (see Sect. 5.2 for details). As the static driver contains rastered data, information about objects that ~~extent~~extend over several grid volumes is lost. By using an ID field this information can be retained. Note that `building_id` is thus also needed when the building-based indoor model of PALM is switched on  
~~(see ?)~~(see Maronga et al., 2020). For cases with interactive surfaces, each surface element is classified according to its treatment, i.e., default- (i.e., non-interactive), land surface- or urban-type (i.e., building). In setups without interactive surfaces,  
30 all surface elements are classified as default-type. In setups with interactive surfaces, a surface classification using the fields

<sup>1</sup>[cfconventions.org/Data/cf-conventions/cf-conventions-1.7/cf-conventions.html](https://cfconventions.org/Data/cf-conventions/cf-conventions-1.7/cf-conventions.html)

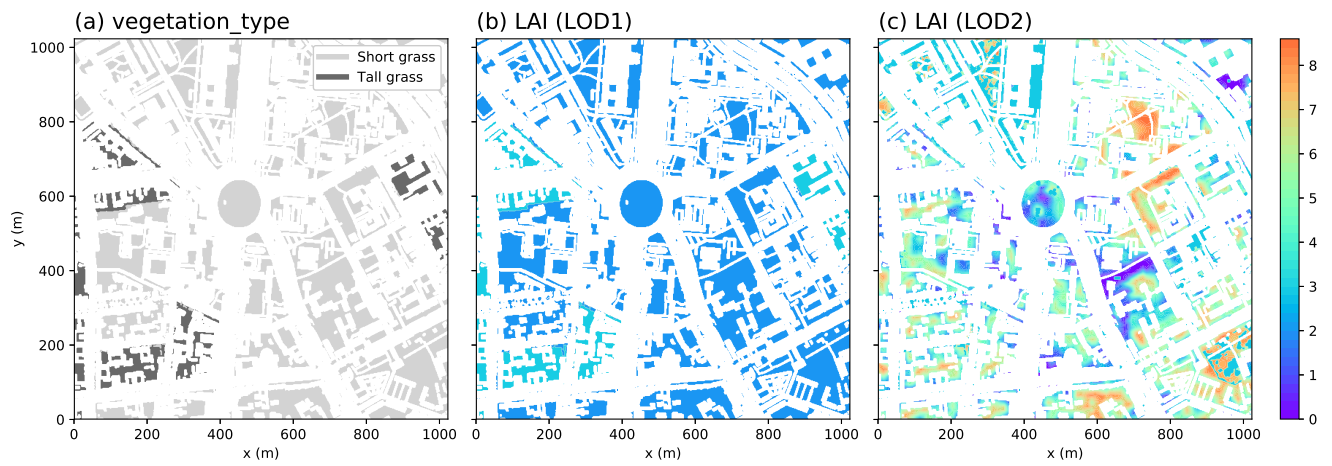
<sup>2</sup>[see ferret.pmml.noaa.gov/Ferret/documentation/coards-netcdf-conventions](https://ferret.pmml.noaa.gov/Ferret/documentation/coards-netcdf-conventions)



vegetation\_type, water\_type, pavement\_type, and building\_type is utilised (see Sect. 2.6). Currently, each surface pixel ( $y, x$ ) must be assigned to one of the aforementioned types. In the future, PALM will also allow a tile approach so that multiple types can be present in one grid box, which will be particularly useful when using coarser grid spacings ( $> 10$  m), where neglecting sub-pixel heterogeneity is no longer adequate. The tile approach will be realized by specifying the individual portions via the field `surface_fraction`, which is already recognized by PALM.

By setting the surface types, all required parameters for the surface treatment are automatically set to default values. Note that pavement- and land vegetation-type surface require the setting of `soil_type` at the respective pixels. When using the surface classification, a default albedo type is automatically set for each pixel depending on the chosen surface classification. This can, however, be overwritten using the optional field `albedo_type`. Tables A1-A7 in the Appendix give an overview of the classifications used and the parameters automatically set when using LOD1.

Based on the LOD1 classification of each surface pixel, the static driver allows to overwrite all or selected parameters that were automatically set by the LOD1 input data (~~for example roughness lengths, e.g., leaf area index (LAI)~~, surface emissivity, etc., see Tables A1-A7 in the Appendix). For each `*_type` field in LOD1 there is thus a respective `*_pars` field, representing LOD2 data (see Table 2). Note that LOD2 can only be used when simultaneously having specified LOD1 data. The `*_pars` fields then can contain fill values except for those locations where the data should be overwritten by LOD2 input data. [Figure 1 shows this hierarchy exemplary for the LAI based on available data for Berlin, Germany.](#) Additionally, LOD2 offers the NC\_BYTE field `buildings_3d`, which can be used to specify three-dimensional building structures including overhanging structures, thoroughfares, and bridges (see Sect. 2.5). Unlike for the other `*_pars` fields, the LOD1 data (i.e., `buildings_2d`) is not used if LOD2 data (i.e., `buildings_3d`) is present in the data. Furthermore, the field `root_fraction` can be set in order to specify a different vertical root distribution in the soil model of the parameterized vegetation in the land surface model.



**Figure 1.** Illustration of LOD1 and LOD2 hierarchy for the LAI around Berlin Ernst-Reuter-Platz. Panel (a) shows the determined `vegetation_type` classification, which involves automatic setting of an LAI for all pixels classified as vegetation (LOD1), shown in panel (b). Panel (c) shows LOD2 information of the LAI distribution transferred by the field `vegetation_pars`.

**Table 1.** List of LOD1 variables that can be specified in the static driver file.

<u>Variable name</u>	<u>Dimensions</u>	<u>NetCDF data type</u>	<u>Values/Units</u>	<u>Description</u>
<u>albedo_type</u>	<u>(y,x)</u>	<u>NC_BYTE</u>	<u>0 – 18</u>	<u>Optional classification of albedo. Default values are set by building_type, pavement_type, pavement_type, vegetation_type, and water_type but will be overwritten in case albedo_type is defined</u>
<u>buildings_2d</u>	<u>(y,x)</u>	<u>NC_FLOAT</u>	<u>m</u>	<u>Building height relative to underlying terrain. Requires setting of building id</u>
<u>buildings_id</u>	<u>(y,x)</u>	<u>NC_INT</u>	<u>-</u>	<u>Building id, used to identify single building envelopes for mapping of buildings on complex terrain</u>
<u>building_type</u>	<u>(y,x)</u>	<u>NC_BYTE</u>	<u>0 – 6</u>	<u>Bulk classification of building types</u>
<u>pavement_type</u>	<u>(y,x)</u>	<u>NC_BYTE</u>	<u>0 – 16</u>	<u>Bulk classification of pavements on soil. Requires setting of a soil type</u>
<u>surface_fraction</u>	<u>(n,y,x)</u>	<u>NC_FLOAT</u>	<u>0 – 1</u>	<u>Relative fraction of the respective surface type given via vegetation_type (n=0), pavement_type (n=1) and water_type (n=2). The sum over all relative fractions must be equal to one for each location. Note that more than one surface type per pixel are currently not supported by PALM</u>
<u>vegetation_type</u>	<u>(y,x)</u>	<u>NC_BYTE</u>	<u>0 – 18</u>	<u>Bulk classification of non-resolved vegetation surfaces at natural land surface types. Requires setting of a soil type</u>
<u>water_type</u>	<u>(y,x)</u>	<u>NC_BYTE</u>	<u>0 – 18</u>	<u>Bulk classification of water bodies</u>
<u>zt</u>	<u>(y,x)</u>	<u>NC_FLOAT</u>	<u>m</u>	<u>Terrain height above mean sea level</u>

**Table 2.** List of LOD2 variables that can be specified in the static driver file.

<u>Variable name</u>	<u>Dimensions</u>	<u>NetCDF data type</u>	<u><math>n</math></u>	<u>Description</u>
<u>albedo_pars</u>	<u><math>(n, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>0-7</math></u>	<u>Optional classification of individual albedo values for broadband, longwave, and shortwave radiation for each pixel <math>(y, x)</math>.</u>
<u>buildings_3d</u>	<u><math>(z, y, x)</math></u>	<u>NC_BYTE</u>	<u><math>0-1</math></u>	<u>Three-dimensional building topology relative to underlying terrain in which setting of 1 refers to building and 0 refers to no building. The <math>z</math>-dimension only needs to be large enough to embrace the building topology</u>
<u>building_pars</u>	<u><math>(n, z_{wall}, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>0-46</math></u>	<u>Optional setting of building material parameters for each wall layer <math>z_{wall}</math> and pixel <math>(y, x)</math>.</u>
<u>building_surface_pars</u>	<u><math>(n, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>0-46</math></u>	<u>Optional setting of building surface parameters for each pixel <math>(y, x)</math>.</u>
<u>pavement_pars</u>	<u><math>(n, z_{soil}, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>n = 0-1</math></u>	<u>Optional setting of pavement thermal parameters for each soil layer <math>z_{soil}</math> and pixel <math>(y, x)</math>.</u>
<u>pavement_surface_pars</u>	<u><math>(n, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>n = 0-3</math></u>	<u>Optional setting of pavement surface parameters for each pixel <math>(y, x)</math>.</u>
<u>root_fraction</u>	<u><math>(z_{soil}, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>n = 0-1</math></u>	<u>Root fraction within the individual soil layers <math>z_{soil}</math></u>
<u>soil_pars</u>	<u><math>(n, z_{soil}, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>n = 0-8</math></u>	<u>Optional setting of soil parameters for each soil layer <math>z_{soil}</math> and pixel <math>(y, x)</math>.</u>
<u>vegetation_pars</u>	<u><math>(n, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>n = 0-11</math></u>	<u>Optional setting of vegetation parameters for each pixel <math>(y, x)</math>.</u>
<u>water_pars</u>	<u><math>(n, y, x)</math></u>	<u>NC_FLOAT</u>	<u><math>n = 0-6</math></u>	<u>Optional setting of water body parameters for each pixel <math>(y, x)</math>.</u>

**Table 3.** List of LOD3 variables that can be specified in the static driver file.

<u>Variable name</u>	<u>Dimensions</u>	<u>NetCDF data type</u>	<u><math>n</math></u>	<u>Description</u>
<u>building_surface_pars</u>	<u><math>(n, z, y, x)</math></u>	<u>NC_FLOAT</u>	<u>0 – 46</u>	<u>Optional setting of building surface parameters for each surface height <math>z</math> and each surface pixel location <math>(y, x)</math>.</u>

**Table 4.** [List of LOD4 variables that can be specified in the static driver file.](#)

<a href="#">Variable name</a>	<a href="#">Dimensions</a>	<a href="#">NetCDF data type</a>	<a href="#">n</a>	<a href="#">Description</a>
<a href="#">building_pars</a>	<a href="#">(n, zwall, s)</a>	<a href="#">NC_FLOAT</a>	<a href="#">0 – 46</a>	<a href="#">Optional setting of building material parameters for each wall layer <i>zwall</i> and each surface element <i>s</i>.</a>
<a href="#">building_surface_pars</a>	<a href="#">(n, s)</a>	<a href="#">NC_FLOAT</a>	<a href="#">0 – 46</a>	<a href="#">Optional setting of building surface parameters for each surface element <i>s</i>.</a>

While LOD2 is limited to a localized setting of individual surface or material properties based on location  $(y, x)$  only, LOD3 and LOD4 settings (see Tables. 3 and 4) allow an even more detailed specification of building parameters. Note that in LOD4, the input data no longer depends on the rastered PALM grid, but is arranged in a one-dimensional array of size  $ns$ , where  $ns$  is the number of surface elements on the model domain. For each surface element, the user then has to specify the position of the surface element in the PALM domain space, i.e.,  $(z(1 : ns), y(1 : ns), x(1 : ns))$  as well as the orientation of surface elements in terms of azimuth and zenith angles ( $azimuth(1 : ns)$  and  $zenith(1 : ns)$ , respectively) in one-dimensional fields.

Additionally, three-dimensional fields of leaf-area density, LAD (`lad`), and basal-area density, BAD (`bad`, [implementation under development](#)), as well as [vertically distributed](#) root fractions (`root_fraction_resolved`) and a tree ID (`tree_id`) can be used to set-up resolved-scale plant canopies (see Sect. 5.3).

## 10 2.2 Geo-referencing

Various model components such as the radiation parametrization, the representation of the Coriolis force or geo-referencing of model output require information about the geo-location of the grid cells of PALM. Therefore, the static input file must contain information about the longitude and latitude, as well as the Easting and Northing UTM coordinates of the lower-left corner of the model domain. Furthermore, reference height of the lowest model grid point as well as the rotation angle of the model domain must be provided, which is especially important to setup virtual measurement positions and trajectories within the model according to 'real-world' measurements ([Maronga et al., 2020](#)). The required coordinate information must be given as global attributes in the NetCDF file.

## 2.3 Terrain height

To consider effects of elevation changes on the flow, the terrain height  $z_t$  can be provided for each discrete  $(y, x)$ -location in the model. Data gaps leading to fill values are forbidden. In case  $z_t$  is not provided, the land surface is set-up at  $z = 0\text{m}$ ,  $z_t = 0\text{m}$ .

The  $z_t$  can be provided in absolute values, i.e., in meters above sea level, or in relative heights where, e.g., its minimum value is already subtracted. If absolute values are used, PALM will subtract the minimum value within the domain itself to save computational grid points (no computations are needed within the soil). At this point we note that the original terrain height might be further processed and slightly modified by PALM to fulfill certain requirements, which is described in detail in Sect.

5 5.2.

## 2.4 Surface classification

In order to parameterize atmosphere-surface-interactions, PALM needs to solve the energy balance at physical surfaces. ~~For~~ doing To do this, several physical surface parameters such as ~~the~~ heat capacity, roughness, albedo, emissivity, information about vegetation, etc., must be known. To allow for proper LOD1 initialization of material parameters and surface properties via pre-defined lists, PALM classifies all horizontal and vertical surfaces in the model according to their general type, e.g., whether it is a building or a vegetation surface. PALM considers four different types of surfaces: building, vegetation, pavement and water surfaces, while the surfaces are classified in a two-step approach. In a first step, grid points are flagged as atmosphere, building or terrain grid point. Surfaces which belong to a building grid point are automatically flagged as building surfaces, while surfaces which belong to a terrain grid point are flagged as land surfaces. In a second step, surfaces are further specified according to their respective type, which enables proper ~~LOD-1~~ LOD-1 initialization with pre-defined lists for material and surface properties. For this reason, input of `building_type`, `vegetation_type`, `pavement_type` and `water_type` is required. At each  $(y, x)$ -location at least one of these types must have a non-missing value, so that each surface element can be classified appropriately, either as a pavement, vegetation, or water. It is also required that the given `*_type` matches with the general classification into building- and land-surface, i.e., at locations where buildings (see Sect. 2.5) are defined also `building_type` must be defined, while at land-surfaces at least one one of `vegetation_type`, `pavement_type` or `water_type` must be defined.

## 2.5 Buildings

Information on the location and height of buildings can be provided as two-dimensional buildings heights (`buildings_2d`, ~~LOD-1~~ LOD-1) or as a three-dimensional integer array (`buildings_3d`, ~~LOD-2~~ LOD-2), where each building (non-building) grid point is masked by 1 (0). At locations where no buildings are located, `buildings_2d` may contain fill values, while `buildings_3d` must not contain any fill values. In the ~~LOD-1~~ LOD-1 case, buildings are always mounted on the Earth's surface and overhanging structures such as tunnels or bridges are not allowed, while in the ~~LOD-2~~ LOD-2 case also overhanging obstacles are allowed. In both cases, building information is given relative to the terrain height and buildings are mapped onto the top of the terrain during model initialization, which is described in detail in Sect. 5.2. At this point we note that PALM can also consider bridges, which can be input as three-dimensional building structures but require a special treatment as further discussed in Sect. 5.2.

To distinguish between single buildings, e.g., in order to map them accordingly onto the underlying terrain (please see Sect. 5.2), or to compute the energy demand of single buildings ~~(?)~~ (Maronga et al., 2020), each building has an unique identifica-

tion number (`building_id`) that must be given in the static input file at each  $(y,x)$ -location where `buildings_2d` or `buildings_3d` is defined.

~~Further, in case To solve the energy balance for building surfaces should be solved (??) at building surfaces (Resler et al., 2017; Maronga et al., 2018), information on the type of the buildings must be provided. To solve the energy balance at building surfaces appropriately,~~

5 This includes information on various wall material and surface properties must be known, e.g., wall thicknesses, heat capacities and conductivities, window and wall fractions, albedo, etc. which depend on the individual construction parameters and the current state of building restoration. As many of these information are quite often unknown, buildings are classified into characteristic types in order to use default parameters. For this, buildings are classified according to their year of construction and its general usage (residential or office building). PALM provides lists of wall material and surface parameters for six  
10 building types (see also Tab. A2): 1 - residential buildings ~~build-built~~ before 1950, 2 - residential buildings ~~build-built~~ between 1951 and 2000, 3 - residential buildings ~~build-built~~ after 2001, 4 - office buildings ~~build-built~~ before 1950, 5 - office buildings ~~build-built~~ between 1951 and 2000, and 6 - office buildings ~~build-built~~ after 2001, and 7 - bridges. At this point we note that `building_type = 7` is exclusively used to identify bridges and to distinguish them from other three-dimension building structures. The respective `building_type` must be provided for each discrete  $(y,x)$ -location where `building_2d` or  
15 `building_3d` is defined. ~~Even~~The provided wall and surface parameters are assumed to be valid for Germany, but still have to be checked and likely changed for other building styles. Therefore, even though building parameters are difficult to aggregate in practice, PALM ~~nevertheless~~ allows to prescribe different types defined among a single building, e.g., to consider building extensions with different physical properties or different usage in case such information is available. In addition, to modify wall material and surface parameters at different  $(y,x)$ -location or even at single surface elements, `building_pars`  
20 or `building_surface_pars`, respectively, can be optionally provided.

## 2.6 Land surfaces

Beside the general classification via pre-defined parameter lists for each land-surface type, physical surface parameters can be further specified with `vegetation_pars`, `water_pars` or `pavement_pars`, which can be optionally provided, as explained in Sect. 2.1.

### 25 2.6.1 Vegetation

PALM distinguishes between parameterized vegetation that is not resolved by the numerical grid (vegetation height smaller than the vertical grid spacing) and thus considered flat (e.g., short grass), and tall vegetation that can be partially resolved by the numerical grid, depending on the grid spacing used (e.g., shrubs or trees). Parameterized vegetation is considered within the energy balance solver for land surfaces, where the given `vegetation_type` defines the physical properties at  
30 the respective surface element-, e.g. LAI, typical vegetation coverage of the soil, roughness, heat conductivity between the soil and the skin layer, emissivity, etc. Currently 19 vegetation classes are defined in PALM, such as crops, shrubs, forests, etc. (see Tab.A1). These can optionally be specified in more detail by `vegetation_pars`, which may contain missing values for single parameters and locations, and the respective properties are only updated and customized where they contain non-



missing values, i.e., it is allowed to provide parameters only at locations where these are available. At parameterized vegetation surfaces, additional information concerning the root-area-density distribution (`root_area_dens_s`) within the soil can be optionally provided. If it is not provided it is taken from bulk parameter lists defined by the given `vegetation_type`.

In contrast to parameterized vegetation, resolved vegetation directly accounts for a sink term in the momentum equations (e.g. Kanani-Sühring and Raasch, 2015) and directly affects its surroundings via shading and three-dimensional reflections (Resler et al., 2017). To consider these effects in the model, information about the leaf-area density (LAD) within the respective grid volumes is required and can be input via `LAD`, which is mapped on top of the underlying terrain. The leaf-area density in the model is initialized at every location where `LAD` has non-missing and positive values, elsewhere it is set to zero.

## 2.6.2 Pavements

Pavement surfaces can be specified via `pavement_type` which defines the surface and subsurface material properties via pre-defined parameter lists, which currently include 15 pavement types such as asphalt, concrete or cobblestone (see also Tab. A3). Pavement parameters are for example heat conductivities and capacities at different pavement layers, surface roughness lengths and emissivity, etc.. To further specify pavement parameters, `pavement_pars` and `pavement_subsurface_pars` can be optionally provided for defining surface and sub-surface parameters, respectively.

Also, it is possible to provide additional input for street types and street crossings with `street_type` and `street_crossing`, respectively. The street-type classification (e.g. highway, local road, pedestrian road etc.) can be used by PALM to parameterize traffic emissions within the embedded chemistry model, while both the street network and crossings may in the future be employed by the embedded multi-agent system for urban residents (Maronga et al., 2020). The crossings parameter therefore indicates the area where an agent is allowed to cross the streets.

## 2.6.3 Water bodies

Water surfaces can be specified via `water_type` which defines the surface properties via pre-defined parameter lists (see Table A5 in the appendix). Water types included are ocean, lake, river, pond and fountain. To further specify water surface parameters, `water_pars` can be optionally provided. Here the water temperature can be specified, as well as  $z_0$  and  $z_0/h$ . Emissivity of all water types is 0.99 and they belong to albedo\_type 1, which is also specified in `water_pars`.

## 2.7 Soil classification

To consider the interaction of the land surface with the underlying soil at ~~vegetation-vegetation~~ and pavement surfaces, a `soil_type` must be given at grid cells that are classified as vegetation or pavement surfaces, defining a list of default physical parameters for pre-defined soil types, based on the granularity of the soil (e.g. coarse, medium, fine, or organic soil). `soil_type` can be given for different level of detail. For LOD1, `soil_type` must be provided for each  $(y, x)$ -location where vegetation or pavement is defined, assuming that soil properties are vertically homogeneous, while for LOD2 `soil_type` is given for each  $(z_{\text{soil}}, y, x)$ -location, with  $z_{\text{soil}}$  being the depths of the soil layers, in order to consider variations

of soil properties also in the vertical direction. Respective soil properties are e.g. the Van Genuchten parameter, hydraulic conductivity, volumetric soil moisture at saturation, field capacity at wilting point or the residual soil moisture. By default the soil layers have a depth of (from top to bottom) of 0.01, 0.02, 0.04, 0.06, 0.14, 0.26, 0.54, and 1.86 m, where pavement layers are by default assumed to cover the six uppermost layers (see also Tab. A4). Note that the vertical composition of materials used in road construction varies a lot and is usually unknown. The current implementation thus should be considered to be a first guess. To further customize physical soil parameters, `soil_pars` can be optionally provided either as ~~LOD-3~~LOD3 to provide vertically homogeneous parameters, or as ~~LOD-4~~LOD4 to provide vertically heterogeneous parameters, i.e., each soil layer at each relevant surface element can be given individual physical properties. `soil_pars` may contain missing values and is only used to update the physical soil parameters at locations and for parameters that are non-missing, i.e., it is allowed to provide only single parameters at locations where this information is available.

## 2.8 Surface albedo

Information concerning the albedo for the different surfaces is already ~~given~~provided within the pre-defined parameter lists for building and land-surfaces. However, more detailed information concerning the `albedo_type` (predefined list of broadband and spectral albedos for direct and diffuse radiation) or broadband / spectral surface albedos at each  $(y, x)$ -location (`albedo_pars`) can ~~be provided optionally~~optionally be provided.

## 3 Data sources

Masson et al. (2020) review various data sources for urban climate models at meso- and micro-scale. The requirement of a spatial resolution of 1 - 10 m for building-resolving simulations, being a key focus of PALM, reduces the available sources of data significantly. The most important sources are remote sensing, governmental/municipal data and open data, as they allow area-wide and automated pre-processing, while field surveys and manual mapping are only a practicable option for small areas of interest, e.g. of one building block in a city. Often, a combination of different sources is required to achieve a consistent coverage with detailed information for the entire area of interest.

The observation of the characteristics and dynamics of the Earth's surface by means of remote sensing has become increasingly important in recent years. In general, remote sensing approaches take advantage of the fact that material- or object-specific interactions occur between the surface and land cover type on the one hand, and the electromagnetic radiation interacting with them on the other hand. This specific spectral signature or back-scattering pattern can then effectively be used to identify and discriminate different surface and material types. Active imaging systems such as radar or laser scanners carry their individual radiation sources (Baghdadi and Zribi, 2016). The intensity and pattern of the backscattering then allows mapping the position, type and, in case of laser scanners, height of surfaces and objects. This can be used to create digital surface models, e.g., based on the radar satellite TerraSAR-X at a global scale (Rizzoli et al., 2017) or at local scale using airborne LiDAR ~~ssystems~~systems (Yan et al., 2015). Optical remote sensing makes use of the reflected radiation of the sun. There is a broad range of systems available, mounted on satellite platforms as well as airborne and UAV-mounted sensors. The selection of

the sensor used depends on spectral characteristics, spatial resolution, availability for the area of interest and costs. Typical mapping tasks carried out with optical remote sensing are land cover mapping (e.g. Khatami et al., 2016; Wulder et al., 2018) and vegetation characterization (e.g. Verrelst et al., 2015). As the PALM model requires high spatial resolution for performing building-resolving simulations, the free and open Sentinel-2 satellite data is of interest as well as the data of commercial satellite constellations like Rapid Eye or World View. Additionally, false color airborne imagery, with its very high spatial resolution, would be preferable if available for the right time of year.

Especially in developed countries, public authorities and agencies routinely collect a vast amount of geo-spatial data sets. The following focuses on the situation in Germany, because the selected study areas for the model development are located here. In Germany, available official data is hosted at different levels of agencies and departments (municipal, federal state, state, cadastral office, etc.). The accessibility of the data differs between the federal states and municipalities. In some federal states, such as Berlin, Hamburg, Thuringa or North-Rhine-Westfalia the data is easily accessible and downloadable and available through [the an](#) Open Data Licence. These data sets are also regularly updated. The possibility to use additional official data depends on the purpose and costs. Municipalities interested in the resulting micro-climate simulations usually provide their data on request for the purpose of a scientific study. The various German official data sets which are useful and available for most municipalities are ~~shortly~~-addressed below. The cadastral data of ATKIS and/or ALKIS (Working Committee of the Surveying Authorities of the States of the Federal Republic of Germany, 2015) are used ~~for the estimation of the~~ [to estimate the](#) building age when no detailed information ~~on indivial buildings is~~ [for individual buildings are](#) available. Additionally, the data [set](#) can be used for the localization of streets, public open spaces and water bodies. ATKIS and ALKIS are regularly updated every one to three years (depending on the land use category). The municipal parks and open spaces departments host the data of the public green spaces and tree register. The latter is usually only available for trees on public [groundland](#). Information about trees and green spaces on private property have to be derived from additional data sources. If a tree register is available it provides comprehensive information on tree species, age, height, and sometimes also crown and stem ~~diameter~~[diameters](#). Building data is provided in form of 3D building models in level of detail LOD1 (block buildings without exact roofs) or LOD2 (more detailed with roof parts). ~~Whereas LOD1 data sets are available for entire Germany, LOD 2 data is currently only available for some federal states . Nevertheless, a German-wide coverage is planned for the end of 2019. Since 2019, LOD2 building data exists for all German states~~ (Arbeitsgemeinschaft der Vermessungsverwaltungen der Länder der Bundesrepublik Deutschland, 2019a, b). [However, accessibility and cost vary.](#) A standardized data format for 3D city models is City Geography Markup Language (CityGML, Open Geospatial Consortium (2012)), an XML based data format that can be used to describe the city in 3D at different levels of detail. Digital terrain, surface models or LiDAR data as well as aerial images are available at the departments of geo-information or land survey administration at the federal state level in general. Aerial images are updated in a 2 to 5 years period to monitor the green volume development. For this purpose the images have to include the near infrared band. The acquisition dates differ - dependent on their primary purpose- from early spring to summer and thus have a minimal or dense broad leaf cover. Only the summer images present the phenological state needed to detect the tree canopy and with that the ~~leaf area index (LAI)~~ [LAI](#) and leaf area density (LAD). Soil data is available at the municipal level or at the

state level in different scales from 1:10,000 to 1:100,000 to 1:200,000. The 200,000. Generally the municipal data cannot provide the full information required for a model parameterization, so that additional data acquisitions and/or data fusion is needed.

Surprisingly, municipalities – at least in Germany – usually don't systematically collect spatially detailed information on the road network and pavement types. This gap can be closed using Volunteered Geographical Information from the Open-StreetMap (OSM) project. One caveat of such crowd driven data collection is that anybody can add any features and tags they think relevant, so no homogeneous data quality, completeness and adherence to a single standard can be guaranteed (Quinn and Bull, 2019). However Haklay (2010) and Graser et al. (2015) show that at least in western Europe OSM has a data quality en par with governmental sources. OSM can be utilized to add missing information to the government data e.g., about road type, pavement type, bridges, pedestrian crossing points, and water bodies.

Geodata can be stored in raster format, with a value for each raster cell or pixel. GeoTIFF is a common format supported by all geo-processing software, but also the NetCDF supports geo-spatial information. Spatial data in vector format uses the locations of point, lines and polygons with optionally attached attribute tables containing additional information on each spatial object. A commonly used vector format is the ESRI Shapefile. Governmental data are often in vector format, as there are many attributes that describe an object. Remote sensing data are mainly raster data, as such data is recorded by the sensor in a regular grid.

#### 4 Pre-processing of input data

Existing approaches to generating surface parameters for urban climate analysis, such as local climate zones (LCZ) (Stewart and Oke, 2012) (e.g. as implemented in the WUDAPT project (Ching et al., 2018)) or the MAPUCE tool (Bocher et al., 2018) focus on a coarser scale than PALM, as most urban climate studies do as well. The LCZ concept was considered not detailed enough to use it as basis for the generation of input data, as it provides indicator values per neighbourhood of 100 - 500 m, with no or little information on the configuration within the area. WUDAPT also recognises that this is sub-optimal for grid based modelling applications and extends their research to mapping urban morphology parameters at finer resolution (30 - 100 m) using Landsat satellite data and the LCZ concept (Zonato et al., 2020). The simulation results of a numerical weather prediction model (NWP), in this case the WRF (Weather Research and Forecast) model, is according to Zonato et al. (2020) similar to Lidar derived data at the scale of 0.5 km and coarser. This is a very promising result, but as the spatial resolution of PALM is within the range of several meters, more detailed solutions have to be implemented for PALM. The project MAPUCE (Bocher et al., 2018) provides a framework for generating urban indicators in a standardized way, in this case for all French municipalities at different levels of scale, from building to district. Although standardized mapping of indicators would be very helpful also for PALM, this system would need to be adapted thoroughly to allow for sub-building indicators that correspond to the grid cells of PALM instead of buildings or building blocks.

This section introduces an exemplary a strategy and workflow for the selection and pre-processing of the input data sources for PALM 6.0. This is demonstrated for three cities in Germany with varying availability of input data: Berlin, Stuttgart and Hamburg. Despite the variety of data sources, it was aimed to automate the pre-processing for each layer as much as possible

to ensure replicability and to handle the vast volume of data (0.5 – 1 TB per city, depending on target resolution and city area). This resulted in a collection of pre-processing scripts to which adaptations have been made for each of the three cities.

The municipal data of Berlin including the aerial imagery and 3D [city-building](#) model was retrieved from the Berlin Geoportal FIS Broker<sup>3</sup>. The municipal data including the aerial imagery and 3D city model Hamburg was retrieved from the  
5 Transparenzportal of the governmental offices in Hamburg<sup>4</sup>. The municipal data including the aerial imagery and 3D [city  
building](#) model of Stuttgart was provided by the Landeshauptstadt Stuttgart for use in the [UC]<sup>2</sup> project (Scherer et al., 2019). Other sources of data are indicated directly in the text.

The scripts and programs used to process the input data are currently not publicly available as they use some commercial and/or internal functionality. However we are currently in the process of maturing them and plan to make them publicly  
10 available with the PALM Model in the future.

#### 4.1 Terrain height

Active remote sensing systems are valuable sources to generate digital surface models. For the layers of Berlin, Stuttgart and Hamburg, products of two different active sensors are combined. Within the municipality boundaries, the terrain height is directly retrieved from the [3D-city-model's LOD0-digital terrain model \(DTM\)](#) data derived from airborne LiDAR data as  
15 provided by each of the municipalities [in 1 m horizontal resolution](#)<sup>5 6</sup>. As this data set ends [exactly](#) at the municipal boundaries, a satellite based, yet coarser data set is added to provide terrain height for the surrounding areas, as PALM always requires a rectangular [areamodel region](#). In this case, the 30 m SRTM digital elevation model (DEM) was used. It is derived from the Shuttle Radar Topography Mission (SRTM) (Farr et al., 2007). For Stuttgart and Hamburg, the SRTM data set first had to be  
20 transformed from the global lat/lon geoid to the local German geoid. Subsequently, the SRTM DEM was clipped and resampled to the study areas with 1 m spatial resolution. Finally, the local terrain model and the SRTM terrain model were merged, with the local terrain model as primary source. A feathering distance of 100 pixels was assigned for borders of the local terrain model to smooth any abrupt changes in height between the two data sets. The final terrain height data set for each of the three cities is shown in Fig. 2.

#### 4.2 Surface classification

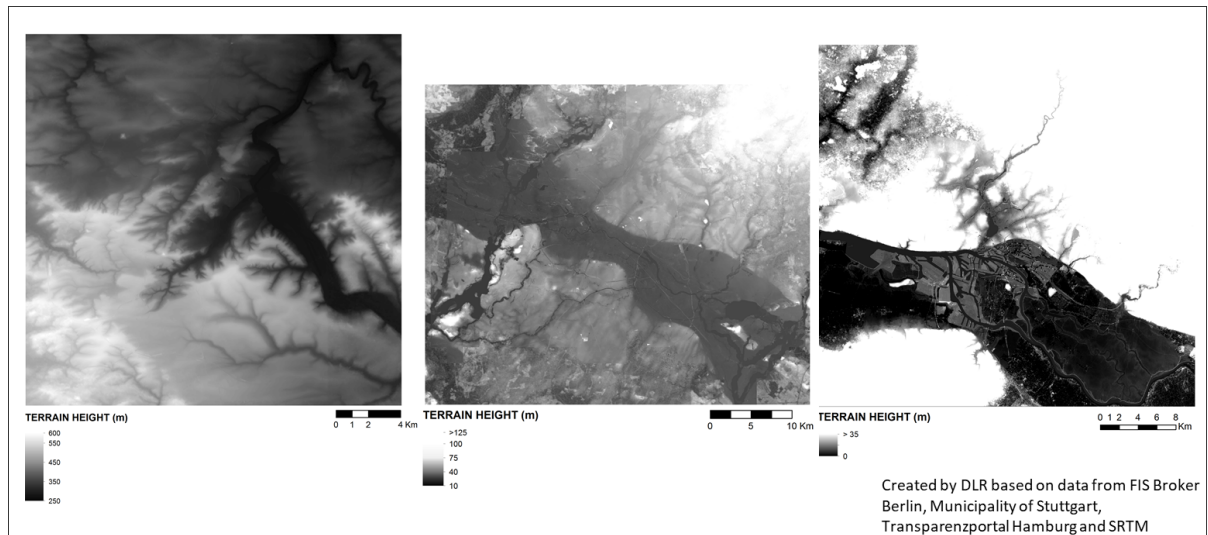
25 PALM differentiates between building and land surface grid cells, where the land surface grid cells must consist of vegetation, pavements or water bodies (see Sect. 2.4). The first task within the surface classification is to map these four classes (buildings, vegetation, pavements and water bodies). ~~In the sections below it is described~~ [The sections below describe](#) how the according maps are prepared so that they can be written out into the static driver file. As soon as [they-the four class rasters](#) are available, possible gaps, which usually result from combining data sets ~~of from~~ different sources or ~~as result an artefact~~ of rasterization,  
30 need to be filled, in at least one of the core classes (see Sect. 2.1). To achieve this, the layers were ranked according to their

<sup>3</sup><https://fbinter.stadt-berlin.de/fb/index.jsp>

<sup>4</sup><http://transparenz.hamburg.de/das-transparenzportal/>

<sup>5</sup>Berlin: [https://fbinter.stadt-berlin.de/fb/feed/senstadt/a\\_dgm](https://fbinter.stadt-berlin.de/fb/feed/senstadt/a_dgm)

<sup>6</sup>Hamburg: <http://suche.transparenz.hamburg.de/dataset/digitales-hohenmodell-hamburg-dgm-16>



**Figure 2.** Terrain height for the study areas in m above ground. From left to right: Stuttgart, Berlin and Hamburg.

spatial reliability, e.g, the building layer was preferred over the – often courser – vegetation layer. Secondly, extra secondary buffered input layers were generated where possible and used to fill in their primary layer for pixels were none of the primary layers or prior filled layers had valid data. For example this was necessary for roads, where exact information on roadside parking was not available and thus the actual paved surface is wider than what would be expected from the road width. If there were still holes after all the filling iterations they were filled with a prevalent reasonable value like bare soil–, [which is internally considered as a vegetation type and parameterized accordingly \(see Tab. A1\).](#)

After the general surface classification is done, unique IDs for each of the buildings and bridges are generated to mark which pixels belong to the same object to support processing in PALM (see Sect. 5.2).

### 4.3 Buildings

For all the cities the building height, building type and building IDs were derived, [which is described in the following sections.](#)

#### 4.3.1 Building height

For realistic simulation results of both the flow and the thermodynamic interaction with the urban canopy, it is essential to have the spatially resolved and correct building height. In Germany municipalities have 3D building outlines in LOD1 (block model) or LOD2, which contains differentiated roof structures and therefore allows spatially explicit height calculation for each pixel. For Hamburg and Berlin LOD2 data was available as CityGML (Open Geospatial Consortium, 2012) data<sup>7, 8</sup>, while in Stuttgart LOD2 building height data was provided as 3D triangulated irregular network (TIN). The developed approach to

<sup>7</sup>Berlin: [https://fbinter.stadt-berlin.de/fb/feed/senstadt/a\\_lod2](https://fbinter.stadt-berlin.de/fb/feed/senstadt/a_lod2)

<sup>8</sup>Hamburg: <http://suche.transparenz.hamburg.de/dataset/3d-stadtmodell-lod2-de-hamburg4?forceWeb=true>

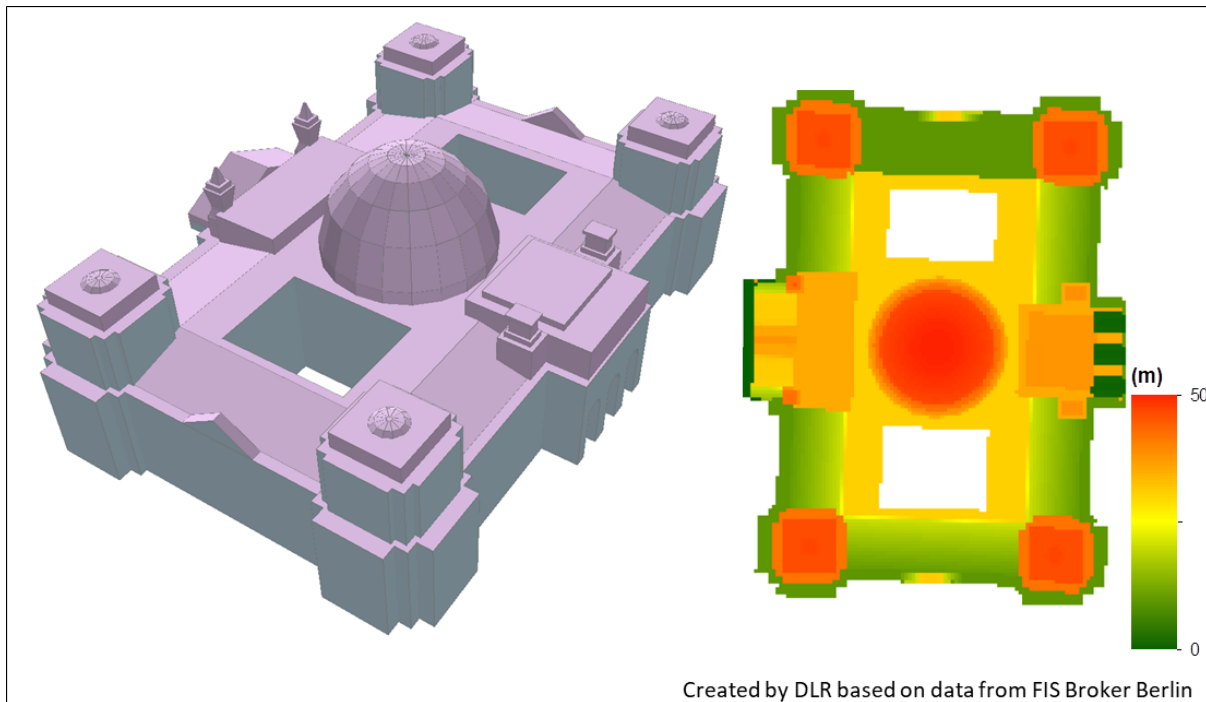
calculate the building height is a two step approach. In a first step the 2D-coordinates of all pixel centroids inside a single polygon as well as the 3D-bounding box of the polygon is calculated using the algorithm from the GDAL Library GDAL/OGR contributors (2019), which can cope with complex building geometries including inner courtyards etc. If the polygon is of single height, which is (nearly) always the case for floor polygons, this single height is used for all pixels. For each single centroid-coordinate the 3D intersection between its vertical line and the plane of the polygon is calculated to get the height of the building at this position. The special cases that the vertical line is inside or parallel to the plane (building walls directly passing through pixel centroids) are filtered. The calculated height values are capped to the  $z$ -range of the bounding box. This is necessary to compensate for rounding errors in nearly vertical planes, which could lead to single intersects wrongly being near infinite. The minimal and maximal height intersection is stored for each pixel. This approach works on the assumption that all the single polygons are planar polygons as defined in the cityGML standard (Open Geospatial Consortium, 2012), where all points of a polygon are in the same plane. However, for some single buildings in Berlin this assumption proved wrong as roof planes included single points from the walls of floors. These polygon errors were corrected where possible and otherwise removed from further calculations. Once all polygons have been processed the building height is calculated as the difference between max and min intersection. In a second iteration the same approach is repeated for all pixels that intersect the boundary of the polygons, but where the centroid is outside the polygon. However, these height values are only used for pixels where no building height was calculated in the first iteration. This two step approach guarantees that the extrapolation (with capping at the  $z$ -range of the polygon) to coordinates outside the building footprint is only performed if no other polygons contains the centroid of this pixel. Therefore a higher building which slightly intersect the corner of a pixel will not interfere with lower buildings that cover the pixel, while still removing a lot of single pixel holes with the other base layers (water, vegetation, pavement). This approach worked well for the city of Hamburg and Berlin, where some broken polygons had to be fixed beforehand. However in Stuttgart not all buildings had a closed floor polygon. Therefore in this case the terrain height was used as the lower boundary of the buildings. An example of the building height from the CityGML data of Berlin is given in Fig. 3 for the Reichstag building.

### 4.3.2 Building type

The building type [describing the energetic properties of the buildings](#) used in PALM is defined through a combination of building use and [age \(see Tab.A2\)](#). [In Germany no cadastral information on restoration, facade changes and heat insulation actions for individual buildings are available, so that the age of the building \(see A2\)-building construction used for building classification is often a rather poor proxy for the thermodynamic properties of buildings. Theoretically, energetic information on buildings exists in Germany, described in the energy performance certificate of each residential building. However, this information is not generally accessible. Currently, a research activity funded by the German Federal Ministry of Economic Affairs and Energy is developing a data base on energetic characteristics of non-residential buildings \(ENOB DataNWG<sup>9</sup>\). Thus, for small areas where high quality simulations are desired, field surveys or drone surveys are still the best choice. For the large area applications in this study however, this was not possible. Instead, we aimed at identifying the classes defined in](#)

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<sup>9</sup><https://datanwg.de/home/aktuelles/> (in German)



**Figure 3.** 3D visualisation of the CityGML data of the Reichstag building in Berlin (left) and the derived building height (right).

[Tab. A2](#). In Germany, the municipalities often maintain a building use data base, e.g., in the ALKIS data sets. Usually this data is provided at building block level and therefore often contains mixed uses. For Stuttgart and Hamburg this data set was used to distinguish between residential and other building use<sup>10</sup>. The lookup table is given in Table B8. The cities of Hamburg and Stuttgart additionally maintain a data base documenting the age of the building. This allowed ~~to assign~~ the building type [to be assigned](#) with quite high reliability. For Berlin, a combined data set is available, where building blocks are categorised by use and building construction period at the same time<sup>11</sup>. The look-up table to translate this information to building types is listed in Table B7. The building type maps for Stuttgart, Berlin and Hamburg are presented in Fig. 4. ~~Note, however, that in Germany there is no cadastral information on restoration and heat insulation actions for individual buildings, so that the age of building construction used for building classification is often a rather poor proxy for the thermodynamic properties of buildings.~~

#### 10 4.4 Vegetation

PALM can handle very detailed information on the vegetation, as outlined in Sect. 2.6.1. In this study, the vegetation type was determined as well as vegetation on roofs and several characteristics of trees. As an area wide approach satellite or airborne imagery provides accurate information on the location of the vegetation ~~as well as~~. [Such remote sensing data can also provide](#)

<sup>10</sup>Hamburg: <http://suche.transparenz.hamburg.de/dataset/alkis-ausgewahlte-daten-hamburg6?forceWeb=true>

<sup>11</sup>[https://fbinter.stadt-berlin.de/fb/wfs/geometry/senstadt/re\\_isu5](https://fbinter.stadt-berlin.de/fb/wfs/geometry/senstadt/re_isu5)





**Figure 4.** Building type maps of Stuttgart, Berlin and Hamburg (from left to right).

estimates for some vegetation characteristics, but not all required by PALM. Luckily, in Germany there is a huge amount of information available from municipal data that cannot be retrieved with remote sensing data alone. Also, open data such as OSM and other citizen science projects can provide valuable information on the urban vegetation. Therefore these sources are all combined to derive as complete input data for PALM as possible.

#### 5 4.4.1 Vegetation type

For the vegetation type layer, municipal data was used in the three demo-cities, including ALKIS (Berlin) and the Biotope Cadastre (Hamburg). For Stuttgart, such municipal data was not available, thus OSM was used as a the main source here. Subsequently, gaps were filled with data from Corine Land Cover (CLC, European Union (2017)), which was especially the case for the areas outside the municipal borders. Missing data and gaps in the layer between vegetation and other features are

10 filled up using aerial color and infrared images (CIR images), using a threshold on the NDVI Normalized Difference Vegetation Index (Rouse et al., 1974) (NDVI) to differentiate between grass and trees. The NDVI can be calculated by Eq. 1:

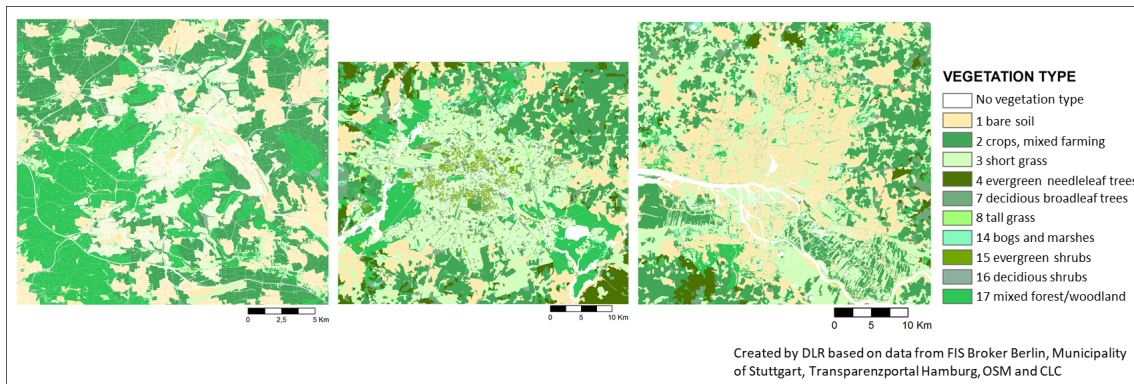
$$NDVI = \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + \rho_{red}} \quad (1)$$

15 where  $\rho_{nir}$  is the reflection in near infrared part of the spectrum and  $\rho_{red}$  the reflection in the red part of the spectrum. Pixels with a NDVI between 0.2 and 0.42 were assigned to vegetation class 3 (short grass), pixels with NDVI values above 0.42 are assigned to class 7 (deciduous broadleaf). The thresholds have been selected empirically based on the available air born imagery. Depending on sensor, date, time of day, weather at time of the acquisition or pre-processing options, optimal thresholds might differ for other cities.

For the different data sources lookup tables have been created to map the classes of OSM<sup>12</sup> (Table B2), CLC (Table B2) and the biotope maps of Hamburg<sup>13</sup> (Table B3) to the vegetation types of PALM. The main classes of ALKIS already directly

<sup>12</sup><https://planet.openstreetmap.org/>

<sup>13</sup><http://suche.transparenz.hamburg.de/dataset/biotopkataster-hamburg1?forceWeb=true>



**Figure 5.** Vegetation type. From left to right: Stuttgart, Berlin and Hamburg.

match the PALM vegetation types. The vegetation type layer was created together with other layers as described in Sect. 4.2. As a result, the vegetation type layer is empty in locations of streets and water bodies. The result is shown in Fig. 5.

#### 4.4.2 Vegetation on roofs

Intensive and extensive green roofs are detected using municipal 10 to 20 cm Ortho near infrared (CIR) images in combination with the building footprints for the cities of Berlin and Stuttgart. The majority of green roofs are extensive green roofs which mostly have a shallow substrate of 100mm to 250mm and are usually planted with low maintenance water stress tolerant mosses, succulents, herbaceous plants and grasses. Intensive green roofs are rarer and mostly belong in the category of recreational roof top parks. They consist of gardened landscape of grass, shrubs and even small trees (FLL, 2002). The percentage of green roof vegetation is aggregated for each building roof Pixelpixel. The mostly very extensive green vegetation on roofs is detected by analysing the **Normalized Difference Vegetation Index (Rouse et al., 1974)**:

$$NDVI = \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + \rho_{red}}$$

$\rho_{nir}$  is the reflection in near infrared part of the spectrum and  $\rho_{red}$  is the reflection in the red part of the spectrum. \* 0 NDVI (Eq.1). The

To resolve tall vegetation (i.e., trees), a range of additional parameters have to be specified that support the generation of leaf density (see Sect. 5.3). In this study, we aimed at deriving tree height, crown diameter, trunk diameter, tree type and tree species, as well as leaf area density, the leaf area index, which is described in Sect. 4.4.2. While tree height and crown diameter could be derived from LiDAR data (Fassnacht et al., 2017), the other parameters are very difficult to acquire without extensive field surveys. Luckily, many German cities have so-called tree cadastres, where they store exactly these characteristics to support the maintenance of public trees. Such data sets were available for Berlin, Hamburg and Stuttgart, although the Stuttgart data set only included tree species. Please note that in these municipal data sets only public trees (e.g., along public roads or in parks) are included. Private trees, e.g., in gardens and public parks are missing. If no additional sources are available (e.g., as described in Sect. 4.4.4), this means that the uncertainty increases of representing real-world conditions correctly in PALM.



**Figure 7.** Tree species (left) and tree age (right) of municipal trees in Berlin

—To prepare the data for PALM, look-up tables for tree type and species were created, which contain all species and types of trees recorded in the three cities. A class number was assigned to each type and species and then joined to the attribute table of the tree cadastre Shapefile. Varying spellings for the same type or species were taken into account and assigned the same value. For the attributes age, height, trunk diameter and crown size all numbers were checked for plausibility and corrected if obviously wrong (typos, wrong unit, shifted columns). The resulting Shapefiles point Shape files were converted to raster (geoTIFF) and then NetCDF. Fig. 7 shows exemplary tree type and age maps for a subset of Berlin.

#### 4.4.4—Vegetation patch

Instead of providing tree properties of single trees, it is also possible to provide the information on the high vegetation in an area wide manner, as vegetation patches. This is practical, as the tree data sets that were available only cover the public trees.

- 10 Information on all other trees need to come from another source. Suitable sources are LiDAR data or to some extent also (governmental) forestry data. Not all cities in Germany have provide access to LiDAR data sets, but for Berlin we could use a LiDAR based data set as well as forestry data. The city of Berlin provided a vegetation height map

The LAI is an important parameter for the generation of the LAD, which is described in Sect. 5.3. As the LAI LAI is defined as  $m^2$  leaf area per  $m^2$  of ground area and is therefore dimensionless. The LAI varies largely over the phenological cycle, remote

- 15 . Therefore it is important to approximate the state of the vegetation of the day to be simulated in PALM as best as possible in the input data. Remote sensing is the most suitable data source for representing this temporal variation. Field measurements on the ground only sample single trees, but with area wide remote sensing data an estimation of the LAI of larger area is possible. Typical approaches for LAI estimation make use of vegetation indices in combination with empirical relationships between a vegetation index and LAI. As only multispectral remote sensing imagery was available for this study, an NDVI based method



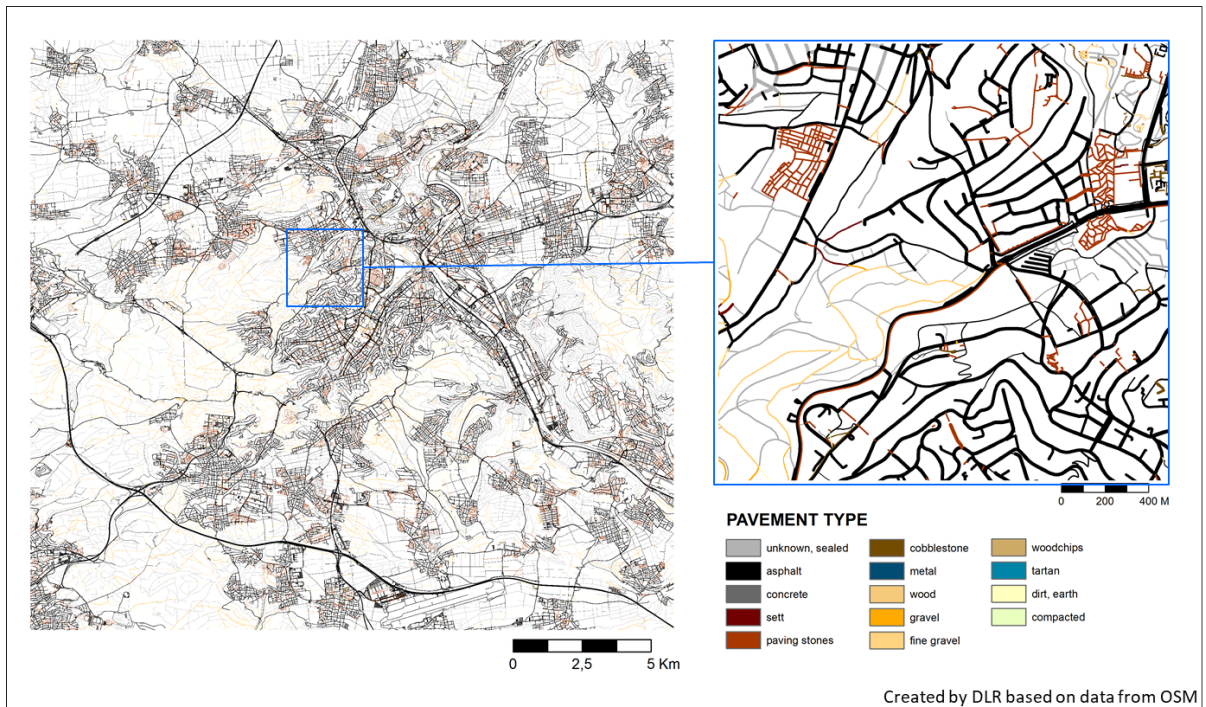
**Figure 9.** LAI for a subset of Hamburg in winter (2-14-2017, left), spring (4-20-2017, center), and summer (8-23-2017, right)

was selected, making use of Sentinel 2 optical satellite data. Depending on the study area up to three [image granules](#) [Sentinel 2 image tiles \(so called image granules\)](#) had to be combined to create a complete coverage of the city area. This is only possible if cloud free [image granules](#) of the same or close dates are available. The aim was to create cloud free coverages for each season. Using data of the year 2017, cloud free images of Berlin, Stuttgart and Hamburg could be created for spring and summer, as well as an additional winter image for Hamburg and an autumn image for Stuttgart.

Using the Timescan processing chain (Esch et al., 2018) the NDVI was derived for all Sentinel 2 scenes. For each date range, an NDVI mosaic image of the study area was created using GDAL tools (GDAL/OGR contributors, 2019). Then the LAI is calculated using an IDL [algorithm-ENVI algorithm \(Interactive Data Language and Exelis Visual Information Solutions, Boulder, Colorado\)](#) for each study area and date. For this, an empirical relationship between NDVI and LAI is used as documented by Wang et al. (2005) for deciduous forest. All non-vegetation pixels are set to 0 (vegetation mask). As the spatial resolution of Sentinel 2 is 10 m, the required resolution of 1 m is reached by resampling the LAI map using a bilinear resampling method. For a subset of Hamburg the estimated LAI is presented in Fig.9 for [three seasons](#) [spring, summer and winter](#).

#### 4.5 Pavements

As a source for the pavement layer airborne hyperspectral would be very [gooduseful](#). Such spatially and spectrally detailed data would allow a differentiated classification of urban surface materials (van der Linden et al., 2019; Roessner et al., 2001). However, due to its experimental nature, hyperspectral data is rarely available for whole cities. Therefore, OSM data was used instead. OSM contributors not only mapped road features, but often also indicated the surface materials. As the contributors do not apply homogeneous labels, a lookup table was created to map all the materials listed in OSM for the test cities to the PALM pavement types. If no surface material is indicated, default materials are assumed for each road type (Table B5). Using another look-up table, the materials were matched to the pavement types listed in the PIDS. As the roads in OSM are line features, each road is buffered with the width or, if not available, a default width for that type of road (Table B5 and B6). After rasterization, the data set is checked for gaps between pavement type, vegetation type, buildings and water. Gaps are filled with the road



**Figure 10.** Pavement type of Stuttgart

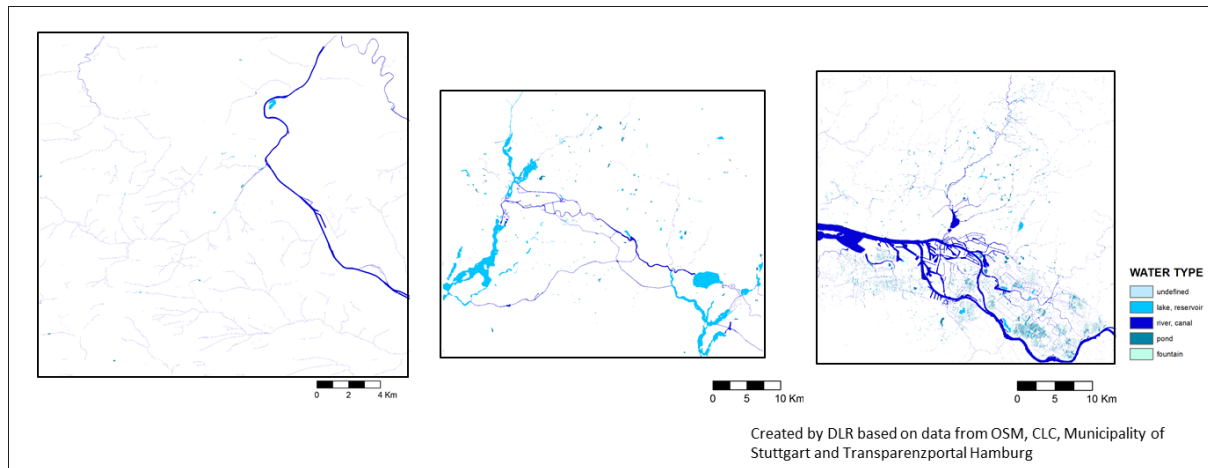
pavement type by applying a larger buffer (3 x the listed diameter) on the road lines. An example of the resulting pavement type raster map is shown in Fig. 10.

#### 4.5.1 Street type and street crossings

For the street types and street crossings data from OSM is used. Street types directly use the classes specified in OSM and are assigned to the road grid cells. If multiple road types cover a pixel, the highest class is assigned. Thus a motorway would have precedence over a primary road ect. A street crossing flag is assigned to all parts of the streets that are marked in OSM as street crossing. As this label is a point feature, all grid cells in a buffer of 15 m around each crossing point are flagged as crossing. [At the moment, input data for street\\_type and street\\_crossing is used by PALM's embedded chemistry model \(see ?\) to parametrize emissions of chemical compounds during the diurnal cycle.](#)

#### 10 4.6 Water bodies

Multispectral remote sensing is a suitable tool to map water bodies (Ma et al., 2019), but at the high spatial resolution required for building-resolving simulations, the spatial resolution of most satellite data is not sufficient. Also aerial images usually do not provide enough (and calibrated) spectral bands, to distinguish smaller water bodies like fountains or rivulets. Therefore, also in this case OSM was used as primary source for the demo-cities. Unfortunately, it turns out OSM is incomplete regarding water



**Figure 11.** Water type of Stuttgart, Berlin and Hamburg (from left to right)

bodies. Therefore the data sets were merged with CLC data for Stuttgart and ALKIS and the Biotope Cataster in Hamburg. Look-up tables were created to assign a PALM water class to each water feature in the different data sets (see Table B9 and B11). ALKIS polygons are sorted into water types that match the PALM water types (Working Committee of the Surveying Authorities of the States of the Federal Republic of Germany, 2015). Also CLC contains classes that map directly to the PALM water types (European Union, 2017). After the data sets were merged, for Stuttgart and Berlin several important water bodies had to be added manually. The final water type maps for the cities of Stuttgart, Berlin and Hamburg are presented in Fig. 11.

#### 4.7 Soils

As soil data is difficult to acquire, especially at resolutions less than 10 m, a horizontally and vertically homogeneous soil type distribution (with soil\_type = 1, coarse soil texture) is assumed in this study, i.e. the physical properties of the soil are identical all over the model domain. Further information on the initial state of the soil moisture and temperature at each pixel can be given as LOD0 via Fortran Namelist input, or as LOD1 input given in the dynamic input file (?). ~~The respective~~ (Maronga et al., 2020). ~~The respective~~ soil information can be e.g. take from mesoscale models such as COSMO or WRF, which ~~will be is~~ described in a separate follow-up paper (Kadasch et al., 2020).

### 5 Preparation of input data for PALM

15 In this section we discuss PALM static driver generator, the generation of three-dimensional vegetation data in terms of LAD and basal area density (BAD) fields from two-dimensional information as part of the static driver, as well as the internal topography processing which is required to ensure all PALM requirements on the terrain data are met. Note that the netCDF interface routine in PALM has undergone several improvements since the official release of PALM 6.0. In the following, we will thus describe the status quo for PALM 6.0 in revision 4311.

## 5.1 Using the PALM static driver generator

In order to enable the user to create static drivers for complex scenarios, the Python 3.0-based pre-processing tool `palm_csd` (short for: PALM create static driver) is shipped with PALM. The tool comes with a comprehensive library with netCDF functions and utility routines that can also easily be plugged ~~in~~ into user-specific Python codes, and which take care of the correct formatting of static driver files that comply with PALM's netCDF interface. `palm_csd` itself, however is a wrapping and compiling tool, which compiles static drivers based on already processed and ~~rastered~~rasterized geospatial data in netCDF format, but it cannot process other geospatial file formats (e.g., GeoTIFF or Shapefile). At the moment, it is thus up to the user to process such data manually and provide `palm_csd` with PIDS conform NetCDFs. Currently, input data for `palm_csd` is available for the cities of Berlin, Hamburg, and Stuttgart in Germany, for which input data was processed based on the data sources outlined in Sect. 4, but the user is free to provide his own data to be processed by `palm_csd`. Note that while data for Berlin and Hamburg is freely available for the general public, data of Stuttgart is restricted to be used within the [UC]<sup>2</sup> project. During the pre-processing of the data for Berlin, Hamburg and Stuttgart it was aimed to automate the pre-processing steps as much as possible by implementing the geo-processing in scripts and reduce manual processing in GIS software. In the next phase of [UC]<sup>2</sup> it is planned to develop a pre-processing tool that will support users to generate the input data in PALM conform formats.

`palm_csd` is steered via a configuration file in which input files, basic settings, and default values are defined. Once this configuration file is set-up, the user can generate ~~his~~their own static driver files that include correct metadata and possibly geo-referencing (depending on suitable input data) for PALM and that will also be written to PALM's output data for post-processing and visualization.

We plan to extent `palm_csd` for generic and academic setups as well as with a graphical user interface in near future. Moreover, we plan to implement a comprehensive checking routine so ensure compatibility with PALM, which is currently done within PALM itself.

## 5.2 Internal topography processing

During the initialization of PALM, the provided topography data, encompassing terrain height and buildings, is further processed and could ~~be possibly~~possibly be slightly modified, e.g., to fulfill numerical requirements or to reduce the use of computational resources.

The model surface in PALM is internally defined at  $z = 0$  m. Therefore, in a first step, PALM internally computes the relative terrain height  $z'_t = z_t - z_{t,\min}$ , where  $z_{t,\min}$  is the minimum terrain height occurring within the model domain. Thus, the minimum  $z'_t$  coincides with the model surface at  $z = 0$  m and the first vertical grid level has at least one grid point that lies within the atmosphere. For instance, if  $z_t$  is given in meters above sea level and we would use this without any further processing, many grid points may lie below the Earth surface, being a waste of computational resources without providing any additional value. In case of a nested simulation setup with a root domain and various child domains,  $z_{t,\min}$  is calculated

as the minimum terrain height over all domains, in order to have the same reference height for all model domains and avoid artificially induced elevation changes at the domain borders between the parent and the child models.

In the following,  $z'_t(y, x)$  is projected onto the discrete grid, while all grid points are flagged as terrain that are located below  $z'_t(y, x)$ , as illustrated in Fig. 12 by the dashed black line.

5 In a second step buildings are mapped on top of the discrete terrain, which is illustrated schematically in Fig. 12. Especially when the underlying terrain is not flat but elevation changes occur below a building, roof shapes should be maintained, so that buildings can't be simply mapped on top of  $z'_t$ . Hence, the underlying terrain below a single building (which is identified by its `building_id`) is padded up to the level of the highest  $z'_t(y, x)$  within the building-covered area with respective `building_id`:  $z'_t(y, x) = \max(z'_t(y, x))_{ID}$ , i.e., the terrain below the building is flattened (please see the hashed areas in  
10 Fig. 12). This guarantees that building and roof shapes are maintained even at steep slopes. However, an exception is made for bridges (identified by `building_type = 7`) where `buildings_3d` is directly mapped on top of  $z'_t$ . Flattening the terrain below the bridge to the highest terrain height (often the top of the levee) would otherwise introduce barrier-like topography structures.

While buildings are mapped onto the terrain, grid points that lie within buildings and below terrain are internally flagged, in order to classify building- or land-surfaces during the surface initialization (see Sect. 2.4). The padded grid points below  
15 buildings will be not flagged as building but as land-surfaces, while these artificially introduced vertical land-surfaces will be initialized using the given `vegetation_type` or `pavement_type` at the adjacent grid cell.

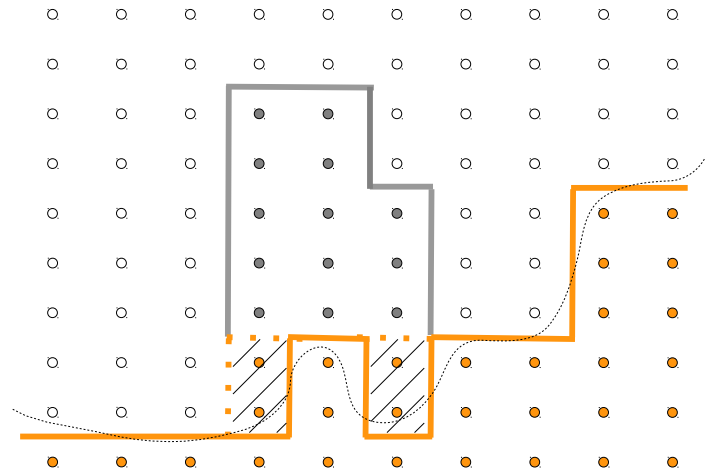
After the topography is ~~finally~~ projected onto the discrete grid, it may contain single pixel cavities or chimney-like holes that are only resolved by one grid point. Due to numerical issues, such one-grid-point cavities must be filtered. In many cases  
20 these filtered cavities are building courtyards that are resolved by only one grid point. In this case, the courtyard grid point, which might be originally given, e.g., a `vegetation_type`, is internally flagged and re-set to a building grid point while it obtains `building_type`, `building_id` and, if available, `building_pars` from the nearby building grid point. Hence, we filter such one-grid point cavities during the model initialization, meaning that small differences might occur between the final building and terrain geometry in the model and the provided one in the static driver.

~~25 Schematic illustration on how buildings are mapped onto the underlying terrain. The thin dashed black line indicates the original relative terrain height  $z'_t$ . Solid orange lines indicate the original discrete terrain surface, while dashed orange lines indicate the resulting discrete terrain surface after the terrain is flattened below buildings, as indicated by the hashed areas. Grey solid lines indicate building surfaces. Orange and grey coloured points indicate terrain and building grid points, respectively, while non-filled points indicate atmospheric grid points.~~

### 30 5.3 Generation of three-dimensional leaf area density and basal area density fields

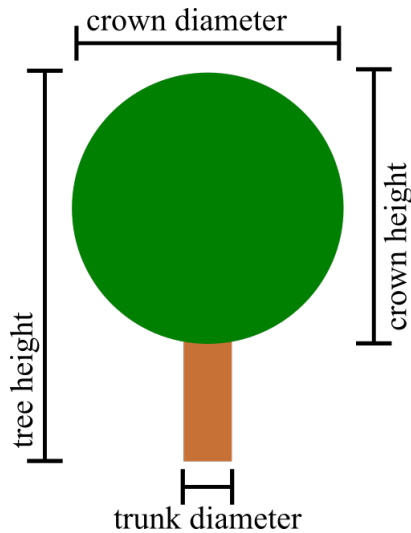
When using PALM at very high resolution in the order of 1 m, vegetation like tall shrubs or trees can not be represented by common parameterizations that assume the vegetation canopy to be flat and represented, e.g., by a roughness length. Under such conditions, PALM employs a plant canopy model in which high vegetation can be represented in terms of three-dimensional LAD fields. As geospatial data usually does not yield any exact three-dimensional information, three-dimensional LAD and





**Figure 12.** Schematic illustration on how buildings are mapped onto the underlying terrain. The thin dashed black line indicates the original relative terrain height  $z'_t$ . Solid orange lines indicate the original discrete terrain surface, while dashed orange lines indicate the resulting discrete terrain surface after the terrain is flattened below buildings, as indicated by the hashed areas. Grey solid lines indicate building surfaces. Orange and grey coloured points indicate terrain and building grid points, respectively, while non-filled points indicate atmospheric grid points.

BAD fields must be estimated from two-dimensional data and other data sources. In order to allow for a pseudo-automated generation of LAD and BAD fields, `palm_csd` comes with two different routines for creating vegetation canopies: A routine for single trees as often found in urban environments, and a routine for creating vegetation canopies like forests and parks.



**Figure 13.** Schematic view of the parameters for a spherical tree shape through which the three-dimensional structure of trees are constructed in the single-tree canopy generator.

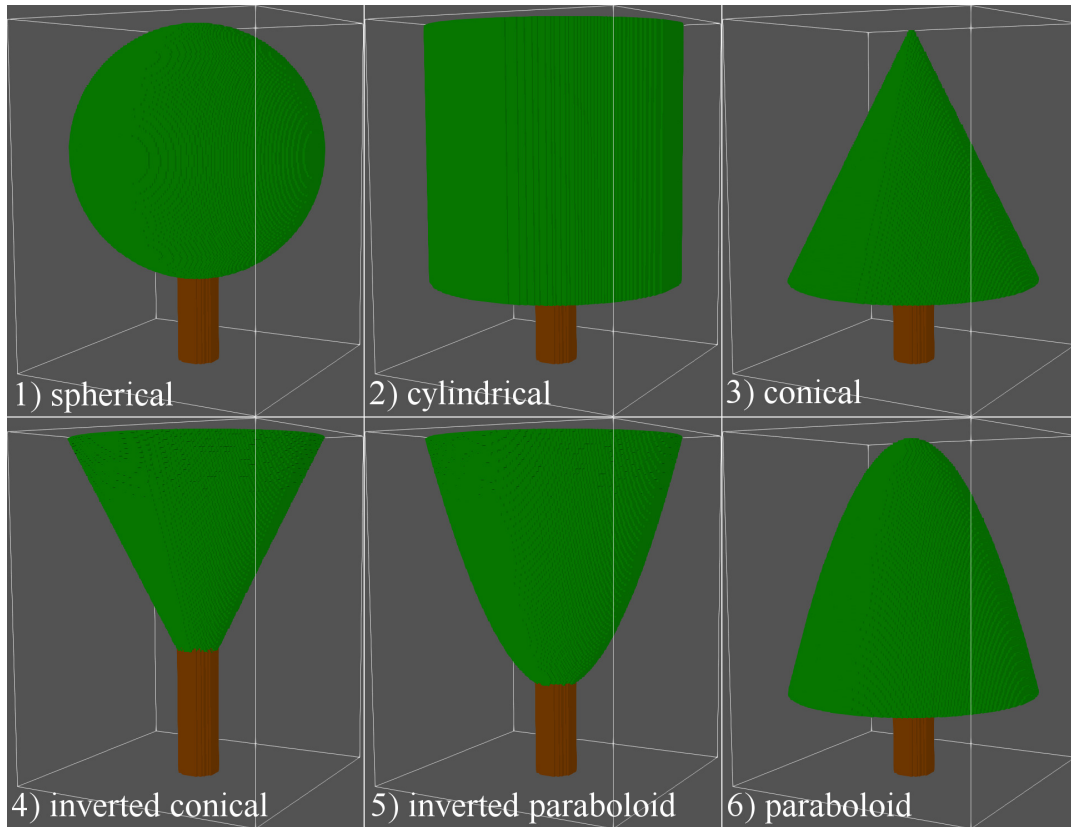
In the following we will outline the basics of both routines. Note, however, that both routines are still in experimental stage and will be further developed and evaluated in the near future. In the following we will thus describe the status quo of these routines.

### 5.3.1 Generation of leaf area density and basal area density fields for single trees

- 5 Single trees, whose growth [are is](#) seldom affected by other trees or obstacles can be characterized in terms of three-dimensional LAD and BAD fields by a limited number of parameters. In `palm_csd` these are the maximum tree height, crown diameter, crown shape, trunk diameter, height of the maximum LAD value, and the aspect ratio of tree crown diameter to tree crown height (see Figs. 13 and 14). In German cities, several of these parameters are available from tree cadastral register data. For example, for Berlin more than 400 000 municipal trees are collected in a publicly available database including information
- 10 about tree species, tree height, crown diameter, stand age, and trunk diameter.

The single-tree canopy generator in `palm_csd` is called for each individual tree and the following information is passed to the generator: location  $(y, x)$  of the tree centre, tree type (i.e., genus), tree height, LAI, crown diameter, and trunk diameter at breast height. If one or more of these parameters is not provided, a default value from a look-up table (see Table 5) is used, which was generated based on averaging each tree parameter for each tree type in the Berlin tree database. This look-up table

15 also includes default values for the tree shape, the ratio between crown height to crown width, LAI values for summer and winter time, and the height of the LAD maximum. Note for some of the latter parameters only dummy values are currently available (crown height to width ratio, LAI, height of LAD maximum) and more effort will be needed to fill this table with reasonable data. The tree generator allows for six different tree shapes which are shown in Fig. 14 and which cover most



**Figure 14.** Overview of tree shapes available in the single-tree canopy generator. Green surfaces represent the foliage while brown surfaces represent the tree trunk. The shown sketches were created for a raster size of 0.05 m using a tree height of 8 m, a crown width of 6 m, a crown height to width ratio of 1, and a trunk diameter of 1 m.

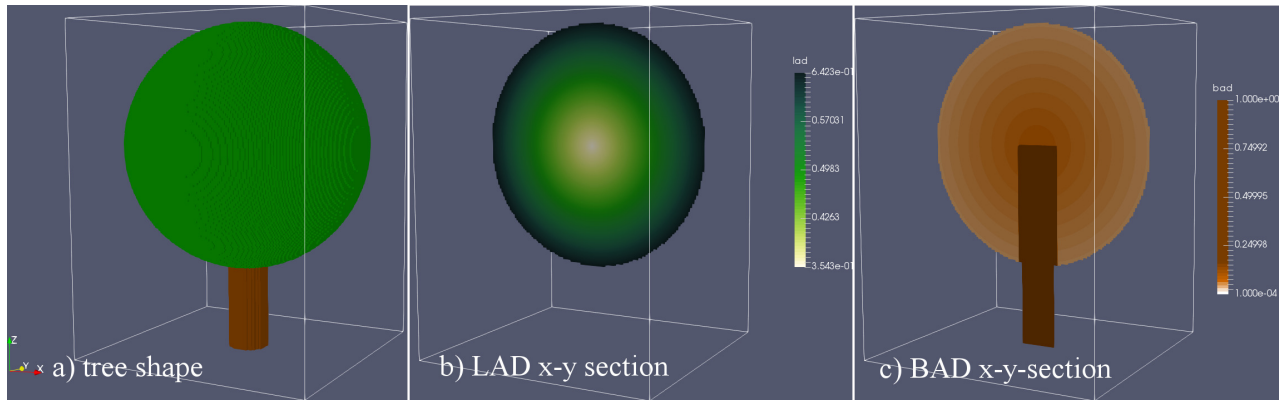
of the commonly observed shapes for single trees. The generation of a three-dimensional LAD volume then consists of two steps. First, the volume covered with leaves is determined based on the shape, crown diameter, tree height, and the ratio of crown height to width. Second, the three-dimensional LAD field is created using an exponentially increasing LAD towards the outer shell of the foliage. This approach is based on the empirical finding that sun light is absorbed when entering the foliage resulting in decreasing production of leaves.

Calculation of three-dimensional BAD fields is available using an interim solution, where the BAD field is calculated from the given trunk diameter, which is taken as constant up to the center of the tree crown. At the moment, the canopy generator only allows to treat each grid volume as either (impermeable) stem or no stem. The representation of grid volumes partially covered by trunks is thus not possible at the moment. BAD values within the crown canopy is calculated as

$$10 \quad BAD = 0.1 \cdot (1 - LAD), \quad (2)$$

**Table 5.** List and average properties of the most common street trees in Berlin.

<u>Genus</u>	<u>Quantity</u>	<u>Tree height</u>	<u>Crown diameter</u>	<u>Trunk diameter (DBH)</u>	<u>Age</u>
<u>Unit</u>		<u>m</u>	<u>m</u>	<u>m</u>	<u>yr</u>
<u>Acer</u>	<u>119863</u>	<u>12.1</u>	<u>7.1</u>	<u>1.0</u>	<u>41.8</u>
<u>Aesculus</u>	<u>24601</u>	<u>12.2</u>	<u>7.0</u>	<u>1.3</u>	<u>51.3</u>
<u>Ailanthus</u>	<u>1833</u>	<u>14.2</u>	<u>8.5</u>	<u>1.3</u>	<u>46.4</u>
<u>Alnus</u>	<u>3764</u>	<u>16.3</u>	<u>5.9</u>	<u>1.3</u>	<u>47.9</u>
<u>Betula</u>	<u>25580</u>	<u>14.0</u>	<u>6.0</u>	<u>1.0</u>	<u>39.7</u>
<u>Carpinus</u>	<u>15862</u>	<u>10.2</u>	<u>6.0</u>	<u>0.8</u>	<u>33.9</u>
<u>Corylus</u>	<u>7789</u>	<u>8.8</u>	<u>4.9</u>	<u>0.7</u>	<u>29.6</u>
<u>Crataegus</u>	<u>8570</u>	<u>5.9</u>	<u>3.5</u>	<u>0.5</u>	<u>26.2</u>
<u>Fagus</u>	<u>9923</u>	<u>17.5</u>	<u>9.9</u>	<u>1.7</u>	<u>91.3</u>
<u>Fraxinus</u>	<u>14217</u>	<u>10.7</u>	<u>5.6</u>	<u>0.9</u>	<u>35.1</u>
<u>Ginkgo</u>	<u>1289</u>	<u>8.4</u>	<u>4.0</u>	<u>0.6</u>	<u>24.8</u>
<u>Gleditsia</u>	<u>2746</u>	<u>10.6</u>	<u>6.3</u>	<u>0.8</u>	<u>29.3</u>
<u>Juglans</u>	<u>1124</u>	<u>9.5</u>	<u>6.8</u>	<u>0.9</u>	<u>37.2</u>
<u>Larix</u>	<u>1218</u>	<u>16.7</u>	<u>7.1</u>	<u>1.2</u>	<u>51.3</u>
<u>Malus</u>	<u>3146</u>	<u>4.8</u>	<u>3.5</u>	<u>0.5</u>	<u>21.5</u>
<u>Picea</u>	<u>3122</u>	<u>13.6</u>	<u>3.6</u>	<u>0.9</u>	<u>37.5</u>
<u>Pinus</u>	<u>13985</u>	<u>15.7</u>	<u>6.3</u>	<u>1.2</u>	<u>49.2</u>
<u>Platanus</u>	<u>25548</u>	<u>14.7</u>	<u>9.9</u>	<u>1.4</u>	<u>50.6</u>
<u>Populus</u>	<u>16750</u>	<u>20.6</u>	<u>8.8</u>	<u>1.7</u>	<u>54.9</u>
<u>Prunus</u>	<u>14594</u>	<u>7.0</u>	<u>4.9</u>	<u>0.7</u>	<u>27.8</u>
<u>Pseudotsuga</u>	<u>2652</u>	<u>17.6</u>	<u>5.8</u>	<u>1.2</u>	<u>42.8</u>
<u>Pyrus</u>	<u>2779</u>	<u>6.0</u>	<u>2.6</u>	<u>0.4</u>	<u>19.9</u>
<u>Quercus</u>	<u>58416</u>	<u>14.2</u>	<u>8.0</u>	<u>1.3</u>	<u>55.6</u>
<u>Robinia</u>	<u>20341</u>	<u>13.9</u>	<u>6.7</u>	<u>1.1</u>	<u>42.4</u>
<u>Salix</u>	<u>4891</u>	<u>13.8</u>	<u>7.9</u>	<u>1.6</u>	<u>44.5</u>
<u>Sophora</u>	<u>1852</u>	<u>10.2</u>	<u>7.7</u>	<u>1.0</u>	<u>36.9</u>
<u>Sorbus</u>	<u>8251</u>	<u>6.7</u>	<u>4.0</u>	<u>0.6</u>	<u>28.2</u>
<u>Taxus</u>	<u>2767</u>	<u>7.6</u>	<u>5.2</u>	<u>0.8</u>	<u>43.3</u>
<u>Tilia</u>	<u>156496</u>	<u>12.6</u>	<u>7.2</u>	<u>1.1</u>	<u>45.7</u>
<u>Ulmus</u>	<u>8729</u>	<u>13.8</u>	<u>7.4</u>	<u>1.2</u>	<u>42.2</u>



**Figure 15.** Exemplary distribution of LAD and BAD fields for a spherical-shaped tree. Shown are (a) the three-dimensional tree surface and  $x - y$ -sections of (b) LAD and (c) BAD through the center of the tree.

which reflects increasing BAD towards the center of the crown. An example of both lad and bad fields for an idealized spherical-shaped tree is shown in Fig. 15. Note, however, that PALM currently only supports LAD so that only the foliage is read from the static driver. The import of BAD data will be realized in near future.

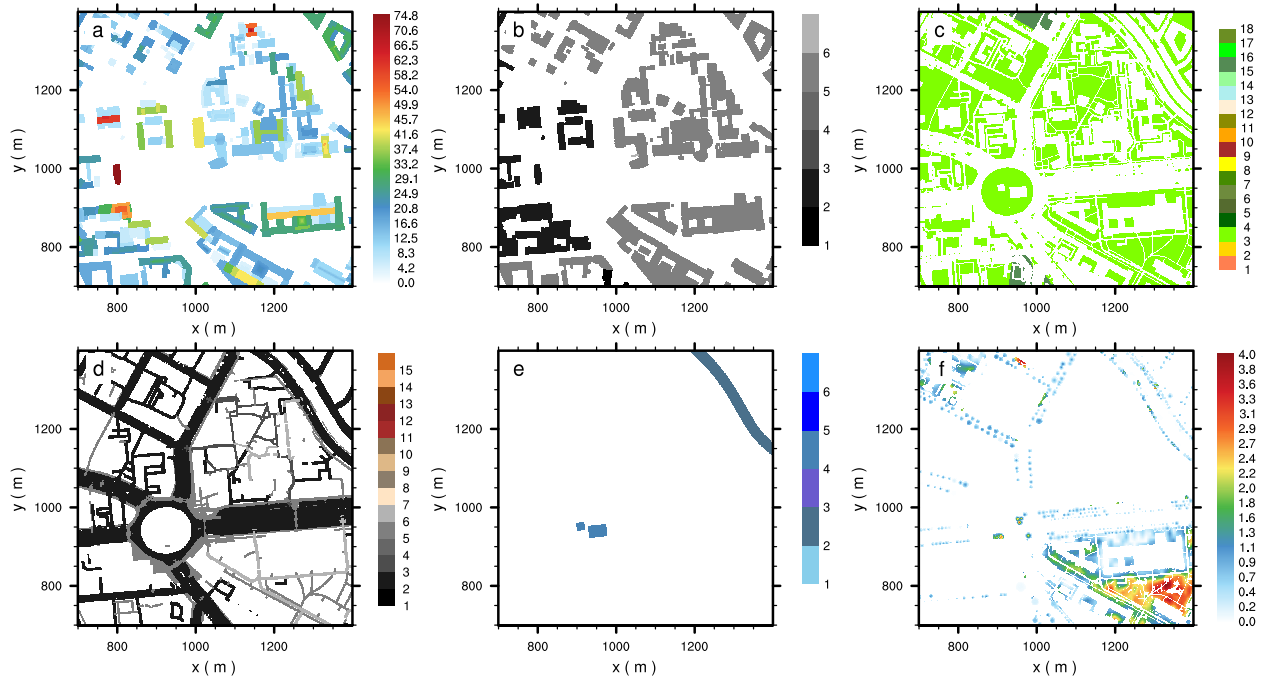
### 5.3.2 Generation of leaf area density fields for tree stands

- 5 In many cases, information on individual trees is not available or tree stands (e.g., forests) have to be represented as a three-dimensional canopy. This is commonly realized by treating each column  $(y, x)$  separately and using normalized LAD profiles that are representative for homogeneous canopies. In `palm_csd` the method of Markkanen et al. (2003) based on a vertical LAD distribution that is derived from a given LAI field as well as two parameters  $\alpha$  and  $\beta$ , which can be varied by the user to represent different types of tree stands. Additionally, a two-dimensional vegetation height field can be prescribed (if available)
- 10 in order to take into account varying tree heights within the canopy stand. If information on LAI and vegetation height is not available, the user has to provide default values instead. Using this method it is possible to generate idealized vegetation canopies in terms of LAD fields, but it provides no means to derive BAD information. In the future we plan to use a similar method as described in Bohrer et al. (2007) to create BAD fields by synthetically localizing tree trunks.

## 6 Example for a real-world application

- 15 To demonstrate the suitability of the input data prepared as described above, the following shows an example static driver and simulation of a part of Berlin. The static driver of this example can be found in the supplement to this paper.

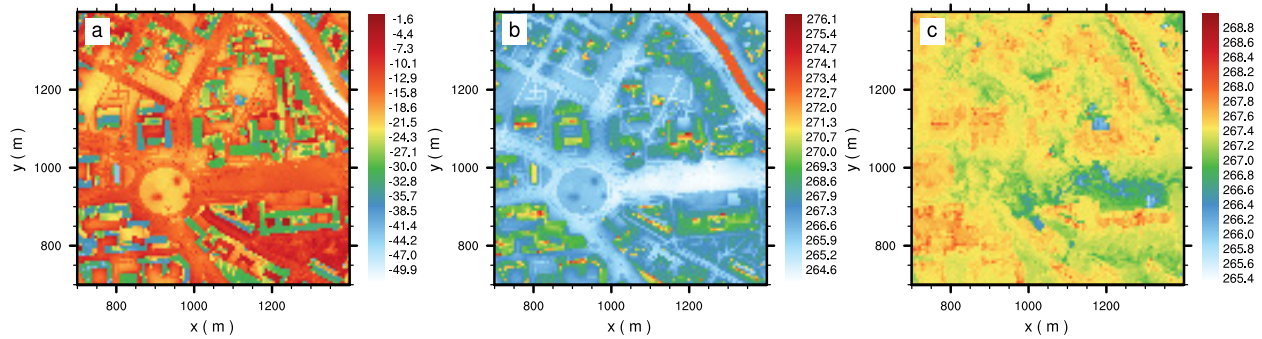
Figure 16 shows static input data for a nested simulation with 1-m grid resolution. The simulation setup contains several residential and office buildings with different heights around the Ernst-Reuter Platz, Berlin. The streets indicate different type of pavement, with e.g. asphalt, concrete and cobblestones. Further, grass areas and evergreen shrubs are present within the



**Figure 16.**  $x - y$ -cross-section of static input data for a nested simulation with 1-m grid resolution for a winter scenario for an area around Ernst-Reuter Platz in Berlin, Germany: (a) building height, (b) building type, (c) vegetation type, (d) pavement type, (e) water type, (f) leaf-area index of resolved vegetation (trees). For the sake of illustration (f) displays the leaf-area index instead of the leaf area density, with the leaf-area index being the vertically integrated leaf-area density. Please note, in (b)–(e) the label bar contains all possible \*\_type variables as indicated in Tab. A1, A2, A3, and A5, though only a few types are defined within the displayed area. (b) displays building\_type 1 (R1), 2 (R2) and 5 (O2). (c) displays vegetation\_type 3 (short grass) and 15 (evergreen shrubs). (d) displays pavement\_type 2 (asphalt), 3 (concrete), 4 (sett), 5 (paving stone) and 6 (cobblestone). (e) displays water\_type 2 (river) and 4 (pond).

simulation domain, as well as water ponds and the Spree river. The LAI indicates areas with resolved-scale trees, with single trees planted along pedestrian walks (e.g. in the northwest area of the displayed domain) but also more continuous areas with trees within the Tiergarten park area (see southeast area of the displayed domain).

Figure 17 shows the corresponding horizontal cross-sections of surface net radiation, surface temperature, as well as 2-m potential temperature for an area around Ernst-Reuter Platz in Berlin, Germany. The surface net radiation and surface temperature show a clear dependence on the underlying surface, e.g. the building roofs indicate a larger negative surface net radiation but a higher surface temperature compared to other surfaces. Further, pavement surfaces indicates a only small negative surface net radiation but relatively low surface temperatures. The river and the small ponds show a comparably high surface temperature resulting in a larger negative surface net radiation. The 2-m potential temperature correlates with the underlying surface as well, with e.g. lower values within wide street canyons and larger values in densely built-up areas.



**Figure 17.**  $x - y$ -cross-section of (a) surface net radiation ( $\text{W m}^{-2}$ ), (b) surface temperature (K), and (c) 2-m potential temperature (K) for a nested simulation with 1-m grid resolution for a winter scenario at 18.01.2017, 00:00 UTC, for an area around Ernst-Reuter Platz in Berlin, Germany. The displayed area is the same as in 16.

The input data described in this manuscript is also already used in several other studies. A validation of the dynamic core of PALM against wind-tunnel data for a city quarter in Hamburg, Germany, is presented by Gronemeier et al. (2020), where the input data of Hamburg as described in this paper was used. A subset of 6000 m by 2880 m around the HafenCity has been selected and rotated counter-clockwise by 200 degrees to match the prevailing wind direction. Comparison between the PALM simulation result and the wind tunnel experiment show mainly similar wind directions, but lower wind speeds. As this difference in wind speed decreases at lower spatial resolutions, Gronemeier et al. (2020) assume that this is caused by an overestimated  $z_0$  of the building walls in the PALM simulation. The roughness of walls is further increased when building walls are not aligned to the grid and 'stair case'- like walls occur. This issue cannot be avoided all together but is minimised when using small grid cells. Furthermore, Gronemeier et al. (2020) emphasised that it is of utmost importance to cautiously check the input data. Especially in large data sets, erroneous or false buildings are hard to spot, but can have large influences on the wind pattern, especially if they are located in an up-wind part of the study area.

Salim et al. (2020) assessed the importance of radiative transfer processes in urban climate models, in this case PALM6.0. They analysed both a simple urban configuration as well as a real world configuration of the area around the Ernst-Reuter-Platz in Berlin using the same data as used in the example above. They found comparable results regarding the radiative transfer processes for the simple urban area as for the real world area, although the results for the real world area were much more heterogenous, as to be expected.

The sensitivity of the simulation results with respect to variations in the input data is further discussed in Belda et al. (2020) (using a different set of input data). In this study, the sensitivity of air and surface temperature, MRT, PET and PM10 within the PALM6.0 as a response to modification of basic surface material parameters as well as to common urbanistic strategies was evaluated. It was found, that for this kind of simulations, the albedo and emissivity as well as thermal conductivity of walls and volumetric heat capacity of the materials play an important role (Belda et al., 2020).

These experiences with the input data indicate, that on the one hand the correct identification of urban objects and heights is important, but on the other hand also the characterization of these objects as currently defined in the building and vegetation parameters at LOD1 and higher.

5 Furthermore, a thorough validation of PALM in a real urban environment against in-situ measurements is presented in Resler et al. (2020). They especially focus on the representation of surface temperatures in PALM at different type of urban surfaces and also emphasize the need of accurate input data to be in agreement with in-situ measurements. A dedicated evaluation of the PALM 6.0 model system for the city of Berlin and Stuttgart is currently on its way within the project framework of [UC]<sup>2</sup> (Scherer et al., 2019).

## 7 Conclusions

10 In the previous sections, the input data requirements of PALM are described, it is demonstrated how this data can be prepared and what steps are carried out in `palm_csd` to set-up the static driver to have all input data ready for PALM. PALM comes with a framework that enables micro climate simulations for a real-world urban environment. Different levels of detail can be provided to PALM. If the model is run with interactive building- and land surfaces, a minimum of seven spatial parameters is required: soil type, building height, building id, building type, vegetation type, pavement type and water type. Each of these  
15 parameters can optionally be specified in more detail, based on available data. ~~As it becomes clear from~~ Sect. 3 and 4 ~~illustrate that~~ a vast amount of data ~~existexists~~, but rarely exactly in the format required by urban micro climate models. Exemplary for this is the building type. The combination of building use and building age yields the PALM building types. However, it needs to be further analysed if this is the most accurate representation of the energetic properties of the building, as the building age often doesn't include any information on renovation and modernisation of the building which can have a huge effect on the  
20 energetic properties of a building. Selecting and acquiring suitable data sets is a major task, that should be weighted against the available resources to pre-process the input data and the desired detail of the PALM simulations. Additionally, the varying quality of different data sources result in different uncertainties of the input parameters. There are uncertainties resulting from the spatial resolution (e.g., the ability to distinguish small objects), but there can also be mapping or labeling errors and omissions. How these uncertainties propagate into the simulation results needs to be investigated in more detail. To support  
25 users in the decision, which parameter are worth the effort of acquiring and preparing more detailed information, sensitivity analyses of the input ~~datasets-data sets~~ are planned. Also additional evaluation of LOD1 parameters (such as albedo or thermal conductivity) need to be carried out, as some of them have a large influence on the simulation result. For albedo, it could also be considered to derive such values separately for each grid cell, e.g. using remote sensing.

As the pre-processing of the input data is tedious, it is aimed to develop a processing chain that support users in format-  
30 ting their GIS data (e.g., Shapefiles, geoTIFF, WFS ect.) into a NetCDF file following the requirements of PALM. Existing standardized workflows such as those of WUDAPT (Ching et al., 2018) or MApUCE (Bocher et al., 2018) are interesting examples that should be considered following. To support model users in their data acquisition, a data base with freely available geospatial data for the mandatory set of parameters of PALM is aimed at. This will provide users a starting point for running



PALM simulations. The primary target will be Germany but also Europe wide or global data can be included, as far as the data sources allow this.

## **8 Code availability**

The PALM model system is distributed under the GNU General Public License v3 (<http://www.gnu.org/copyleft/gpl.html>).

- 5 The model source, documentation, user manual, and online tutorial are freely-available and can be downloaded from <http://palm-model.org>. The pre-processing tool `palm_csd` to prepare and create a PALM Static driver is shipped with PALM and is available under <https://doi.org/10.25835/0041607>.

## **9 Data availability**

- 10 In the supplements, a sample static driver is available for a small area in Berlin near Ernst-Reuter Platz, Germany, with 1 m spatial resolution. The static driver is prepared for a winter scenario with leafless deciduous trees. The model domain is  $256 \times 256 \text{ m}^2$  in the horizontal directions.

## Appendix A: Palm Input Data Standard (PIDS) tables

**Table A1.** Land use classification parameters according to `vegetation_type` based on the Integrated Forecasting System (IFS~~model~~) classification. Note that the land use class 13 (ice caps and glaciers) has not been implemented yet.  $r_{c,min}$  is the minimum canopy resistance,  $LAI$  is leaf area index,  $c_{veg}$  is vegetation coverage,  $g_D$  is a canopy resistance coefficient,  $z_0$  and  $z_{0,h}$  are the roughness lengths for momentum and heat, respectively,  $\Lambda_s$  and  $\Lambda_u$  are the bulk heat conductivities between skin and soil layer for stable and unstable stratification, respectively,  $f_{sw,in}$  is the fraction of absorbed shortwave radiation by the vegetation canopy, and  $\epsilon$  is the surface emissivity.

Description	Class	$r_{c,min}$	$LAI$	$c_{veg}$	$g_D$	$z_0$	$z_{0,h}$	$\Lambda_s$	$\Lambda_u$	$f_{sw,in}$	<code>albedo_type</code>	
		$s\ m^{-1}$	$m^2$		$hPa^{-1}$	$m$	$m$	$W\ m^{-2}\ K^{-1}$	$W\ m^{-2}\ K^{-1}$		<code>type</code>	
			$m^{-2}$									
bare soil	1	0	0.0	0.0	0.0	0.005	$0.5 \cdot 10^{-4}$	0.0	0.0	0.0	17	0.94
crops, mixed farming	2	180	3.0	1.0	0.0	0.1	$1.0 \cdot 10^{-4}$	10.0	10.0	0.05	2	0.95
short grass	3	110	2.0	1.0	0.0	0.03	$0.3 \cdot 10^{-4}$	10.0	10.0	0.05	2	0.95
evergreen needleleaf trees	4	500	5.0	1.0	0.03	2.0	2.0	20.0	15.0	0.03	5	0.97
deciduous needleleaf trees	5	500	5.0	1.0	0.03	2.0	2.0	20.0	15.0	0.03	6	0.97
evergreen broadleaf trees	6	175	5.0	1.0	0.03	2.0	2.0	20.0	15.0	0.03	8	0.97
deciduous broadleaf trees	7	240	6.0	0.99	0.13	2.0	2.0	20.0	15.0	0.03	9	0.97
tall grass	8	100	2.0	0.7	0.0	0.47	$0.47 \cdot 10^{-2}$	10.0	10.0	0.05	8	0.97
desert	9	250	0.05	0.0	0.0	0.013	$0.013 \cdot 10^{-2}$	15.0	15.0	0.0	3	0.94
tundra	10	80	1.0	0.5	0.0	0.034	$0.034 \cdot 10^{-2}$	10.0	10.0	0.05	11	0.97
irrigated crops	11	180	3.0	1.0	0.0	0.5	$0.5 \cdot 10^{-2}$	10.0	10.0	0.05	13	0.97
semidesert	12	150	0.5	0.1	0.0	0.17	$0.17 \cdot 10^{-2}$	10.0	10.0	0.05	2	0.97
ice caps and glaciers (*)	13	0	0.0	0.0	0.0	1.3E-3	$1.3E \cdot 10^{-4}$	58.0	58.0	0.00	14	0.97
bogs and marshes	14	240	4.0	0.6	0.0	0.83	$1.3 \cdot 10^{-4}$	10.0	10.0	0.05	4	0.97
evergreen shrubs	15	225	3.0	0.5	0.0	0.10	$0.1 \cdot 10^{-2}$	10.0	10.0	0.05	4	0.97
deciduous shrubs	16	225	1.5	0.5	0.0	0.25	$0.25 \cdot 10^{-2}$	10.0	10.0	0.05	4	0.97
mixed forest/woodland	17	250	5.0	1.0	0.03	2.0	2.0	20.0	15.0	0.03	7	0.97
interrupted forest	18	175	2.5	1.0	0.03	1.1	1.1	20.0	15.0	0.03	8	0.97

**Table A2.** Building classification parameters according to `building_type` based on building age and usage.

Description	Class	Year of construction	Use
R1	1	< 1951	residential
R2	2	1951 – 2000	residential
R3	3	> 2000	residential
O1	4	< 1951	office
O2	5	1951 – 2000	office
O3	6	> 2000	office

**Table A3.** Pavement classification parameters according to `pavement_type` based on OpenStreetMaps. Thermal conductivity and heat capacity settings of the sub-surface pavement layers are given in [table S4](#) [Tab. A4](#). Underlined values are preliminary.  $z_0$  and  $z_{0,h}$  are the roughness lengths for momentum and heat, respectively, and  $\epsilon$  is the surface emissivity.

Description	Class	<u><math>z_0</math></u> <u>m</u>	<u><math>z_{0,h}</math></u> <u>m</u>	$\epsilon$	albedo_type
asphalt/concrete mix	1	$5.0 \cdot 10^{-2}$	$5.0 \cdot 10^{-4}$	0.97	18
asphalt (asphalt concrete)	2	$5.0 \cdot 10^{-2}$	$5.0 \cdot 10^{-4}$	0.94	19
concrete (Portland concrete)	3	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.98	20
sett	4	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.93	21
paving stones	5	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.97	22
cobblestone	6	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.97	23
metal	7	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.97	24
wood	8	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.94	25
gravel	9	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.98	26
fine gravel	10	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.93	27
pebblestone	11	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.97	28
woodchips	12	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.97	29
tartan (sports)	13	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.97	30
artificial turf (sports)	14	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.94	31
clay (sports)	15	<u><math>1.0 \cdot 10^{-2}</math></u>	<u><math>1.0 \cdot 10^{-4}</math></u>	0.98	32

**Table A4.** Thermal conductivity  $\lambda_{T,i}$  (in  $\text{W m}^{-1} \text{K}^{-1}$ ) and heat capacity  $(\rho C)_i$  (in  $\text{J K}^{-1}$ ) of sub-surface layer  $i$  according to pavement\_type classification. The underlined values are preliminary. The subscript indicates the respective pavement layer. The pavement layers are defined between depth:  $0 - 0.01 \text{ m}$ ,  $0.01 - 0.03 \text{ m}$ ,  $0.03 - 0.07 \text{ m}$ ,  $0.07 - 0.15 \text{ m}$ ,  $0.15 - 0.3 \text{ m}$ , and  $0.3 - 0.5 \text{ m}$ .

Class	$\lambda_{T,1}$	$\lambda_{T,2}$	$\lambda_{T,3}$	$\lambda_{T,4}$	$\lambda_{T,5}$	$\lambda_{T,6}$	$(\rho C)_1$	$(\rho C)_2$	$(\rho C)_3$	$(\rho C)_4$	$(\rho C)_5$	$(\rho C)_6$
1	0.75	0.75	0.75	0.75	0.75	0.75	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
2	0.75	0.75	0.75	0.75	0.75	0.75	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
3	0.89	0.89	0.89	0.89	0.89	0.89	<u>1.76</u> ·10 <sup>6</sup>	<u>1.76</u> ·10 <sup>6</sup>	<u>1.76</u> ·10 <sup>6</sup>	<u>1.76</u> ·10 <sup>6</sup>	<u>1.76</u> ·10 <sup>6</sup>	<u>1.76</u> ·10 <sup>6</sup>
4	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
5	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
6	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
7	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
8	0.7	0.7	0.7	0.7	0.7	0.7	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
9	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
10	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
11	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
12	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
13	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
14	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>
15	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>	<u>1.94</u> ·10 <sup>6</sup>

The layers 1-6 have widths of 0.01, 0.02, 0.04, 0.06, 0.14, and 0.26 m, respectively.

**Table A5.** Water classification parameters according to `water_type`. Underlined values are preliminary.  $z_0$  and  $z_{0,h}$  are the roughness lengths for momentum and heat, respectively, and  $\epsilon$  is the surface emissivity.

Description	Class	Water temperature	$z_0$	$z_{0,h}$	$\epsilon$	albedo_type
			K	m		
lake	1	283	<u>0.01</u>	<u>0.001</u>	0.99	1
river	2	283	<u>0.01</u>	<u>0.001</u>	0.99	1
ocean	3	283	<u>0.01</u>	<u>0.001</u>	0.99	1
pond	4	283	<u>0.01</u>	<u>0.001</u>	0.99	1
fountain	5	283	<u>0.01</u>	<u>0.001</u>	0.99	1

**Table A6.** Soil classification parameters according to `soil_type`.  $\alpha_{vG}$ ,  $l_{vG}$ ,  $n_{vG}$  are Van Genuchten parameters,  $\gamma_{w,sat}$  is the hydraulic conductivity at saturation,  $m_{sat}$ ,  $m_{fc}$ ,  $m_{wilt}$ , and  $m_{res}$  are the volumetric soil moistures at saturation, at field capacity, at wilting point, and the residual soil moisture, respectively.

Soil texture	Class	$\alpha_{vG}$	$l_{vG}$	$n_{vG}$	$\gamma_{w,sat}$	$m_{sat}$	$m_{fc}$	$m_{wilt}$	$m_{res}$
					$m\ s^{-1}$	$m^3\ m^{-3}$	$m^3\ m^{-3}$	$m^3\ m^{-3}$	$m^3\ m^{-3}$
coarse	1	3.83	1.150	1.38	$6.94 \cdot 10^{-6}$	0.403	0.244	0.059	0.025
medium	2	3.14	-2.342	1.28	$1.16 \cdot 10^{-6}$	0.439	0.347	0.151	0.010
medium-fine	3	0.83	-0.588	1.25	$0.26 \cdot 10^{-6}$	0.430	0.383	0.133	0.010
fine	4	3.67	-1.977	1.10	$2.87 \cdot 10^{-6}$	0.520	0.448	0.279	0.010
very fine	5	2.65	2.500	1.10	$1.74 \cdot 10^{-6}$	0.614	0.541	0.335	0.010
organic	6	1.30	0.400	1.20	$1.20 \cdot 10^{-6}$	0.766	0.663	0.267	0.010

**Table A7.** Surface albedo classification parameters according to `albedo_type`. Underlined values are preliminary

Class	Description	broadband	longwave ( <u>near-infrared</u> )	shortwave ( <u>visible</u> )
ocean	1	0.06	0.06	0.06
mixed farming, tall grassland	2	0.19	0.28	0.09
tall/medium grassland	3	0.23	0.33	0.11
evergreen shrubland	4	0.23	0.33	0.11
short grassland/meadow/shrubland	5	0.25	0.34	0.14
evergreen needleleaf forest	6	0.14	0.22	0.06
mixed deciduous forest	7	0.17	0.27	0.06
deciduous forest	8	0.19	0.31	0.06
tropical evergreen broadleaved forest	9	0.14	0.22	0.06
medium/tall grassland/woodland	10	0.18	0.28	0.06
desert, sandy	11	0.43	0.51	0.35
desert, rocky	12	0.32	0.40	0.24
tundra	13	0.19	0.27	0.10
land ice	14	0.77	0.65	0.90
sea ice	15	0.77	0.65	0.90
snow	16	0.82	0.70	0.95
bare soil	17	0.08	0.08	0.08
asphalt/concrete mix	18	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
asphalt (asphalt concrete)	19	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
concrete (Portland concrete)	20	<u>0.30</u>	<u>0.30</u>	<u>0.30</u>
sett	21	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
paving stones	22	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
cobblestone	23	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
metal	24	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
wood	25	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
gravel	26	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
fine gravel	27	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
pebblestone	28	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
woodchips	29	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
tartan (sports)	30	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
artificial turf (sports)	31	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
clay (sports)	32	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>
building	33	<u>0.17</u>	<u>0.17</u>	<u>0.17</u>

## Appendix B: Look-up tables for various input data sources



**Table B1.** CLC classes to PALM vegetation type.

CLC class number	description	PALM vegetation type ID
111	Continuous urban fabric	1
112	Discontinuous urban fabric	1
121	Industrial or commercial units	1
122	Road and rail networks and associated land	1
123	Port areas	1
124	Airports	3
131	Mineral extraction sites	1
132	Dump sites	1
133	Construction sites	1
141	Green urban areas	3
142	Sport and leisure facilities	3
211	Non-irrigated arable land	2
221	Vineyards	2
222	Fruit tree and berry plantations	2
231	Pasture, meadows and other permanent grasslands under agricultural use	3
242	Complex cultivation patterns	2
243	Land principally occupied by agriculture, with significant areas of natural vegetation	2
311	Broad-leaved forest	7
312	Coniferous forest	4
313	Mixed forest	17
321	Natural grassland	8
322	Moors and heathland	14
324	Transitional woodland/shrub	16
331	Beaches, dunes and sand plains	1
332	Bare rock	1
333	Sparsely vegetated areas	1
334	Burnt areas	1
335	Glaciers and perpetual snow	13
411	Inland marshes	14
412	Peatbogs	14
421	Coastal salt marshes	14
423	Intertidal flats	14

**Table B2.** OSM land use classes to PALM vegetation type. "255" is a fill value, assigned to non-vegetation pixels.

OSM label	PALM vegetation type ID
allotments	2
cemetery	3
commercial	255
farm	2
forest	17
grass	3
heath	3
industrial	255
meadow	3
military	1
orchard	3
park	3
quarry	1
recreation ground	3
residential	255
retail	255
scrub	16
vineyard	2

**Table B3.** Biotope type groups of the biotope map Hamburg to PALM vegetation type

Biotope group	Description (German)	<u>approx. translation (English)</u>	PALM vegetation type ID
A	Ruderales und halbruderales Krautflur	<u>(partly) bare soil</u>	3
B	Biotopkomplexe der Siedlungsflächen	<u>biotopes of build up areas</u>	1
E	Biotopkomplexe der Freizeit-, Erholungs-, Grünanlagen	<u>biotopes of recreational areas</u>	3
G	Grünland - (§) (FFH 6510)	<u>lowland hay meadows</u>	3
H	Gebüsche und Kleingehölze - (§) (FFH 91E0*)	<u>alluvial forests</u>	16
K	Küstenbiotope - (§) (FFH 1140)	<u>mudflats and sandflats not covered by seawater at low tide</u>	3
L	Biotope landwirtschaftlich genutzter Flächen	<u>biotopes of agricultural areas</u>	2
M	Hoch- und Übergangsmoore - (§) (FFH 7110*)	<u>active raised bogs</u>	14
N	Biotope der Sümpfe und Niedermoore (gehölzfrei) - (§) (FFH 6431)	<u>biotopes of marshes and bogs</u>	14
O	Offenbodenbiotope	<u>biotopes of bare soil</u>	1
T	Heiden, Borstgrasrasen, Magerrasen - (§) (FFH 4030)	<u>dry heaths</u>	3
V	Biotopkomplexe der Verkehrsflächen	<u>biotopes of traffic areas</u>	3
W	Wald	<u>forest</u>	17
Y	Biotope vegetationsarmer Flächen im Siedlungsbereich mit Spontanvegetation	<u>biotopes of barely vegetated build up areas with spontaneous vegetation</u>	1
Z	Vegetationsbestimmte Habitatstrukturen besiedelter Bereiche	<u>vegetation defined habitat structures of build up areas</u>	1

**Table B4.** OSM pavement labels and according PALM pavement types

OSM pavement label	PALM pavement type	OSM pavement label	PALM pavement type
artificial turf	14	metal	7
asphalt	2	metal grid	7
asphalt:lanes	2	mud	15
asphalt;sett	2	paved	1
clay	15	paving stones	5
cobblestone	6	paving stones:30	5
cobblestone:flattened	6	pebblestone	11
cobblestone:asphalt	6	sand	10
compacted	16	sealed	1
concrete	3	sett	4
concrete:lanes	3	sett;paving stones	4
concrete:plates	3	stone	6
dirt	15	stone:plates	6
earth	15	tartan	13
fine gravel	10	undefined	1
grass	1	unknown pavement	1
grass paver	1	unpaved	10
gravel	9	wood	8
gravel:tracks	9	woodchips	12
ground	15		

**Table B5.** OSM road types and corresponding PALM pavement type. The street-buffer width in this table is only used to convert the line objects into areas if no value is indicated for street width in the OSM data. If the number of lanes is indicated, the road-street width listed in Tab.B6 is applied.

OSM road type	buffer width (m)	PALM pavement type
cycleway	2.5	asphalt
footway	2.5	paving stones
living street	5.5	paving stones
path	1.5	undefined
primary	7.5	asphalt
primary link	7.5	asphalt
residential	5.5	asphalt
secondary	6.5	asphalt
secondary link	6.5	asphalt
service	5.5	asphalt
tertiary	5.5	asphalt
tertiary link	5.5	asphalt
track	2.6	undefined
trunk	8	asphalt
trunk link	8	asphalt
unclassified	5.5	asphalt
motorway	0	asphalt
motorway link	0	asphalt

**Table B6.** Assumed road width when in OSM the number of lanes is indicated

Nr of lanes	buffer width (m)
1	4
2	9
3	12
4	16
5	20
6	24

Table B7: Berlin ISU5 (Informationssystem Stadt und Umwelt, eng.: Informationssystem City and Surroundings) land use descriptions to PALM building type. The building function can be R = residential, O = other, X = no building. The age classes refer to the building period before 1951 (1), between 1951 and 2000 (2) and after 2000 (3). The combination of the function and the building age according to Tab. A2 results in the PALM building type.

ISU5 land use description	Function	Age	Building type
Allotment garden	R	2	2
Fallow area	X	0	0
Commercial and industrial area, large-scale retail, sparse development	O	2	5
Commercial and industrial area, large-scale retail, dense development	O	2	5
Security and order	O	2	5
Body of water	X	0	0
Heterogeneous inner-city mixed development, post-war gap closure	R	2	2
De-cored block development, post-war gap closure	R	2	2
New school (built after 1945)	O	2	5
Culture	O	2	5
Closed block development, rear courtyard (1870s - 1918), 5-storey	R	1	1
Dense block development, closed rear courtyard (1870s- 1918), 5 - 6-storey	R	1	1
City square / promenade	X	0	0
Block-edge development with large quadrangles (1920s - 1940s), 2 - 5-storey	R	1	1
Park / green space	X	0	0
Railway station and railway ground, without track area	X	0	0
Free row development, landscaped residential greenery (1950s - 1970s), 2 - 6-storey	R	2	2
Old school (built before 1945)	O	1	4
Parking area	X	0	0
Church	O	1	4
Track area	X	0	0

<u>ISU5 land use description</u>	<u>Function</u>	<u>Age</u>	<u>Building type</u>
Children's day care centre	O	2	5
Core area	R	2	2
University and research	O	2	5
Cemetery	X	0	0
Administrative	O	2	5
Non-residential mixed use area, dense development	O	2	5
Utility area	O	2	5
Other and miscellaneous public facility / special use area	O	2	5
Sport facility, uncovered	X	0	0
Rental-flat buildings of the 1990s and later	R	2	2
Non-residential mixed use area, sparse development	O	2	5
Forest	X	0	0
Densification in single-family home area, mixed development with yard and semi-private greening (1870s to present)	R	1	4
Other youth facility	O	2	5
Detached single-family homes with yards	R	2	2
Large estate with tower high-rise buildings (1960s - 1990s), 4 - 11-storey and more	R	2	2
Row houses and duplex with yards	R	2	2
Sport facility, covered	O	2	5
Villas and town villas with park-like gardens (mostly 1870s- 1945)	R	1	1
Other traffic area	X	0	0
Hospital	O	2	5
Tree nursery / horticulture	X	0	0
Parallel row buildings with architectural green strips (1920s - 1930s), 2 - 5 storey	R	1	1
Weekend cottage and allotment-garden-type area	R	2	2



<u>ISU5 land use description</u>	<u>Function</u>	<u>Age</u>	<u>Building type</u>
Closed and semi-open block development, decorative and garden courtyard (1870s - 1918), 4-storey	R	1	1
Construction site	X	0	0
Mixed development, semi-open and open shed courtyard, 2 - 4-storey	O	2	5
Village-like mixed development	O	2	5
Agriculture	X	0	0
Airport	O	2	5
Camping ground	X	0	0

Table B8: ALKIS land use descriptions to PALM building type. The building function can be R = residential, O = other, X = no building. The combination of the function and the building age according to Tab. A2 results in the PALM building type.

<u>Summarized description</u>	ALKIS ID	land use description (German)	Function
Residential buildings	1000	Wohngebäude	R
	1010	Wohnhaus	R
	1020	Wohnheim	R
	1022	Seniorenheim	R
	1024	Studenten-, Schülerwohnheim	R
	1025	Schullandheim	R
Mixed use buildings (incl. residential)	1100	Gemischt genutztes Gebäude mit Wohnen	R
	1110	Wohngebäude mit Gemeinbedarf	R
	1120	Wohngebäude mit Handel und Dienstleistungen	R
	1121	Wohn- und Verwaltungsgebäude	R
	1122	Wohn- und Bürogebäude	R
	1123	Wohn- und Geschäftsgebäude	R
	1130	Wohngebäude mit Gewerbe und Industrie	R
Mixed used agricultural buildings	1131	Wohn- und Betriebsgebäude	R
	1210	Land- und forstwirtschaftliches Wohngebäude	R
	1220	Land- und forstwirtschaftliches Wohn- und Betriebsgebäude	R
	1222	Wohn- und Wirtschaftsgebäude	R

<u>Summarized description</u>	<u>ALKIS ID</u>	<u>land use description (German)</u>	<u>Function</u>
	1223	Forsthaus	O
Recreational buildings	1310	Gebäude zur Freizeitgestaltung	O
	1311	Ferienhaus	R
	1312	Wochenendhaus	R
	1313	Gartenhaus	R
	2000	Gebäude für Wirtschaft oder Gewerbe	O
Commercial buildings (incl. shops, malls)	2010	Gebäude für Handel und Dienstleistungen	O
	2020	Bürogebäude	O
	2030	Kreditinstitut	O
	2040	Versicherung	O
	2050	Geschäftsgebäude	O
	2051	Kaufhaus	O
	2052	Einkaufszentrum	O
	2053	Markthalle	O
	2054	Laden	O
	2055	Kiosk	O
2060	Messehalle	O	
Hotel, Restaurant etc.	2071	Hotel, Motel, Pension	O
	2072	Jugendherberge	O
	2074	Campingplatzgebäude	O
	2080	Gebäude für Bewirtung	O
	2081	Gaststätte, Restaurant	O
	2083	Kantine	O
Cinema, Casino, ...	2090	Freizeit- und Vergnügungsstätte	O
	2092	Kino	O
	2094	Spielkasino	O
Commercial and industrial buildings, incl. factories, warehouses, garages	2100	Gebäude für Gewerbe und Industrie	O
	2110	Produktionsgebäude	O
	2111	Fabrik	O
	2112	Betriebsgebäude	O
	2120	Werkstatt	O
	2130	Tankstelle	O
	2131	Waschstraße, Waschanlage, Waschhalle	O

<u>Summarized description</u>	<u>ALKIS ID</u>	<u>land use description (German)</u>	<u>Function</u>
	2140	Gebäude für Vorratshaltung	O
	2141	Kühlhaus	O
	2142	Speichergebäude	O
	2143	Lagerhalle, Lagerschuppen, Lagerhaus	O
	2150	Speditonsgebäude	O
	2160	Gebäude für Forschungszwecke	O
	2180	Gebäude für betriebliche Sozialeinrichtung	O
	2200	Sonstiges Gebäude für Gewerbe und Industrie	O
	2213	Schöpfwerk	O
	2310	Gebäude für Handel und Dienstleistung mit Wohnen	O
	2320	Gebäude für Gewerbe und Industrie mit Wohnen	O
	2400	Betriebsgebäude zu Verkehrsanlagen (allgemein)	O
	2410	Betriebsgebäude für Straßenverkehr	O
	2411	Straßenmeisterei	O
	2412	Wartehalle	O
	2420	Betriebsgebäude für Schienenverkehr	O
	2422	Lokschuppen, Wagenhalle	O
	2430	Betriebsgebäude für Flugverkehr	O
	2431	Flugzeughalle	O
	2440	Betriebsgebäude für Schiffsverkehr	O
	2441	Werft (Halle)	O
	2443	Betriebsgebäude zur Schleuse	O
	2444	Bootshaus	O
	2460	Gebäude zum Parken	O
	2461	Parkhaus	O
	2462	Parkdeck	O
	2463	Garage	O
	2464	Fahrzeughalle	O
	2465	Tiefgarage	X
<u>Utility buildings</u>	2500	Gebäude zur Versorgung	O
<u>Energy utility buildings</u>	2501	Gebäude zur Energieversorgung	O

<u>Summarized description</u>	<u>ALKIS ID</u>	<u>land use description (German)</u>	<u>Function</u>
Water utility buildings	2510	Gebäude zur Wasserversorgung	O
	2512	Pumpstation	O
	2513	Wasserbehälter	X
	2520	Gebäude zur Elektrizitätsversorgung	O
	2521	Elektrizitätswerk	O
	2522	Umspannwerk	O
	2523	Umformer	X
	2528	Turbinenhaus	O
	2529	Kesselhaus	O
Heating, gas and waste water utility buildings	2540	Gebäude für Fernmeldewesen	O
	2560	Gebäude an unterirdischen Leitungen	O
	2570	Gebäude zur Gasversorgung	O
	2580	Heizwerk	O
	2590	Gebäude zur Versorgungsanlage	O
	2600	Gebäude zur Entsorgung	O
	2611	Gebäude der Kläranlage	O
Waste buildings	2612	Toilette	O
	2620	Gebäude zur Abfallbehandlung	O
	2621	Müllbunker	O
Buildings for agriculture and forestry	2622	Gebäude zur Müllverbrennung	O
	2700	Gebäude für Land- und Forstwirtschaft	O
	2720	Land- und forstwirtschaftliches Betriebsgebäude	O
	2721	Scheune	O
	2723	Schuppen	O
	2724	Stall	O
	2726	Scheune und Stall	O
	2728	Reithalle	O
	2729	Wirtschaftsgebäude	O
	2740	Treibhaus, Gewächshaus	O
2741	Treibhaus	O	
2742	Gewächshaus, verschiebbar	O	

<u>Summarized description</u>	<u>ALKIS ID</u>	<u>land use description (German)</u>	<u>Function</u>
Public buildings, schools and cultural buildings	3000	Gebäude für öffentliche Zwecke	0
	3010	Verwaltungsgebäude	0
	3012	Rathaus	0
	3013	Post	0
	3014	Zollamt	0
	3015	Gericht	0
	3016	Botschaft, Konsulat	0
	3019	Finanzamt	0
	3020	Gebäude für Bildung und Forschung	0
	3021	Allgemein bildende Schule	0
	3022	Berufsbildende Schule	0
	3023	Hochschulgebäude (Fachhochschule, Universität)	0
	3024	Forschungsinstitut	0
	3030	Gebäude für kulturelle Zwecke	0
	3031	Schloss	0
	3032	Theater, Oper	0
	3033	Konzertgebäude	0
	3034	Museum	0
	3035	Rundfunk, Fernsehen	0
3036	Veranstaltungsgebäude	0	
3037	Bibliothek, Bücherei	0	
Religious buildings	3040	Gebäude für religiöse Zwecke	0
	3041	Kirche	0
	3042	Synagoge	0
	3043	Kapelle	0
	3044	Gemeindehaus	0
	3045	Gotteshaus	0
	3046	Moschee	0
3048	Kloster	0	
Hospitals and simlilar buildings	3050	Gebäude für Gesundheitswesen	0
	3051	Krankenhaus	0
	3052	Heilanstalt, Pflegeanstalt, Pflegestation	0
	3053	Ärztehaus, Poliklinik	0

<u>Summarized description</u>	<u>ALKIS ID</u>	<u>land use description (German)</u>	<u>Function</u>
Buildings for social use, day care, also buildings of emergency services and cemeteries	3060	Gebäude für soziale Zwecke	O
	3061	Jugendfreizeitheim	O
	3062	Freizeit-, Vereinsheim, Dorfgemeinschafts-, Bürgerhaus	O
	3065	Kinderkrippe, Kindergarten, Kindertagesstätte	O
	3070	Gebäude für Sicherheit und Ordnung	O
	3071	Polizei	O
	3072	Feuerwehr	O
	3073	Kaserne	O
	3074	Schutzbunker	O
	3075	Justizvollzugsanstalt	O
	3080	Friedhofsgebäude	O
	3081	Trauerhalle	O
3082	Krematorium	O	
3090	Empfangsgebäude	O	
Trafic related buildings (e.g. train station, airport)	3091	Bahnhofsgebäude	O
	3092	Flughafengebäude	O
	3097	Gebäude zum Busbahnhof	O
	3098	Empfangsgebäude Schifffahrt	O
<u>Public buildings with residential use</u>	3100	Gebäude für öffentliche Zwecke mit Wohnen	O
<u>Recreational buildings</u>	3200	Gebäude für Erholungszwecke	O
Sport related buildings (Stadiums, Swimming pools etc. )	3210	Gebäude für Sportzwecke	O
	3211	Sport-, Turnhalle	O
	3212	Gebäude zum Sportplatz	O
	3220	Badegebäude	O
	3221	Hallenbad	O
	3222	Gebäude im Freibad	O
	3230	Gebäude im Stadion	O
	3240	Gebäude für Kurbetrieb	O
Touristic buildings	3260	Gebäude im Zoo	O
	3270	Gebäude im botanischen Garten	O
	3281	Schutzhütte	O
	3290	Touristisches Informationszentrum	O

<u>Summarized description</u>	<u>ALKIS ID</u>	<u>land use description (German)</u>	<u>Function</u>
<u>Unknown</u>	9998	unbekannt	U
Club homes	11161	Jugendhaus	O
	11162	Waldheim	R
	11491	Vereinsheim	O
<u>Elevator</u>	12359	Aufzug	X
<u>Retention basin</u>	12619	Rückhaltebecken	X
Towers and chimneys	12629	Kamin	X
	19702	Wasserturm	X
	19703	Kirchturm, Glockenturm	O
	19704	Aussichtsturm	O
	19705	Sende-, Funk-, Fernmeldeturm	X
	19706	Stadt-, Torturm	X
<u>Canopy</u>	19901	Überdachung	X
<u>Basement</u>	19902	Unterkellerung	X

**Table B9.** OSM values to PALM water type. "255" is a fill value, assigned to non-water pixels.

OSM label	PALM water type ID
canal	2
ditch	2
drain	255
fountain	5
lake	1
pond	4
reflection-pool	4
reservoir	1
river	2
riverbank	2
stream	2
(null)	255
Brack	4
Teich	4
See	1
Weiher	4
Moor	255



**Table B10.** CLC classes to PALM water type

CLC class number	description	PALM water type ID
511	Water courses	2
512	Water bodies	1
521	Coastal lagoons	3
522	Estuaries	3
523	Sea and ocean	3

Table B11: Biotope types of Hamburg to PALM water type

<u>Biotop</u> <del>—</del> <u>abkürzung</u>	<u>Biotop</u> <u>abkürzung</u>	Description (German)	PALM water type ID
Streams	FBA	Bach, ausgebaut	2
	FBM	Bach, naturnah mit Beeinträchtigungen/Verbauungen - (§) (FFH 3260)	2
	FBR	Bach, weitgehend naturnah	2
	FBS	Aufgestauter Bachabschnitt	2
	FBT	Bach-Altarm	2
Rivers	FFA	Fluss, ausgebaut	2
	FFF	Flachwasserbereiche der Elbe	2
	FFM	Fluss, naturnah mit Beeinträchtigungen/Verbauungen	2
	FFR	Fluss, weitgehend naturnah	2
	FFS	Aufgestauter Flussabschnitt	2
	FFT	Fluss-Altarm	2
Ditch	FG	Graben mit Stillgewässercharakter	4
	FGA	Nährstoffarmer Graben mit Stillgewässercharakter -	4
	FGM	Graben mittlerer Nährstoffgehalte mit Stillgewässercharakter - (§)	4
	FGR	Nährstoffreicher Graben mit Stillgewässercharakter	4
	FGV	Nährstoffreicher Graben mit Stillgewässercharakter	4
	FGX	Abwassergraben	2
<u>Inner harbour</u>	FH	Hafenbecken	1
Channel	FK	Kanal	2
	FLH	Wettern, Hauptgraben	2
	FLM	Graben mittlerer Nährstoffgehalte mit Fließgewässercharakter	2
	FLR	Nährstoffreicher Graben mit Fließgewässercharakter	2
Source areas	FQ	Quellbereich -	4
	FQG	Tümpelquelle - §	4
	FQS	Tümpelquelle - §	4
River strand, river bank	FSO	Flussstrand, gestört - (§)	255
	FSV	Flussstrand, naturnah -	255
	FSW	Strandwall am Elbufer -	255

<u>Summarized description</u>	<u>Biotope abbreviation</u>	<u>Description (German)</u>	<u>PALM water type ID</u>
(Mud)flats	FWB	Flusswatt mit Pioniervegetation -	2
	FWO	Flusswatt, ohne Bewuchs	2
	FWP	Priel	2
	FWV	Tideröhricht	2
	FWX	Verbautes Elbufer mit naturnahen Vegetations-elementen	255
	FWZ	Sonstige naturnahe Flächen im Wasserwechsel-bereich der tide beein- flussten Flussunterläufe	255
Small water basins, eutrophic and near-natural	SEA	Abbaugewässer, klein, naturnah, nährstoffreich	4
	SEB	Brack, naturnah, nährstoffreich	4
	SED	Bombentrichter, naturnah, nährstoffreich	4
	SEF	Altwasser, klein, naturnah	4
	SEG	Angelegte Kleingewässer, klein, naturnah, nährstoffreich - § FFH 3150 18	4
	SEN	Natürliches, nährstoffreiches Kleingewässer	4
	SEO	Nährstoffreiche Kleingewässer ohne Bewuchs	4
	SER	Naturnahes, nährstoffreiches Regenrückhalte-becken	4
	SES	Nährstoffreiche Kleingewässer mit artenarmem Bewuchs	4
	SET	Teich, nährstoffreich, naturnah	4
	SEW	Weidekuhle, nährstoffreich, naturnah	4
SEY	Beregnungsbecken mit naturnahen Elementen	4	
SEZ	Sonstiges, naturnahes, nährstoffreiches Kleingewässer	4	
Artificial lakes	SGA	Abbaugewässer, Baggersee, groß	1
	SGF	Altwasser, groß	1
	SGT	Staugewässer, groß	1
	SGZ	Sonstiges Stillgewässer, groß	1
Small water bodies, near-natural and nutrient-poor	SOA	Abbaugewässer, naturnah, nährstoffarm	4
	SOG	Angelegtes Kleingewässer, naturnah, nährstoffarm	4
	SOM	Moorgewässer, naturnah, nährstoffarm	4
	SON	Kleingewässer natürlicher Entstehung, naturnah, nährstoffarm	4
	SOT	Teich, nährstoffarm, naturnah	4
Ponds	STA	Ackertümpel	4
	STG	Wiesen- oder Weidetümpel	4

<u>Summarized description</u>	<u>Biotope abbreviation</u>	<u>Description (German)</u>	<u>PALM water type ID</u>
	STR	Rohbodentümpel	4
	STW	Waldtümpel	4
	STZ	Sonstiger Tümpel	4
	SX	Naturfernes Stillgewässer	4
	SXA	Naturfernes Abbaugewässer	4
	SXB	Sonstiges Brack	4
	SXG	Naturfernes Ziergewässer	4
	SXK	Klärteich, Absetzbecken	4
Artificial water bodies	SXL	Löschwasserbecken, naturfern	4
	SXP	Fischteich, naturfern	4
	SXR	Rückhaltebecken, naturfern	4
	SXT	Teich, naturfern	4
	SXY	Beregnungsbecken, naturfern	4
	SXZ	Sonstiges, naturfernes Wasserbecken	4

*Author contributions.* BM, MS and FKS designed the input data requirements for PALM. MS implemented the data input and the PALM-internal data processing. BM developed the `palm_csd` code and created the static driver in the supplements based on the input data of Berlin provided by WH and JZ. WH and JZ generated the input data for Berlin, Stuttgart and Hamburg shown in the manuscript. WH and BM prepared the manuscript with contributions of MS, JZ, DP, CB and TE

5 *Competing interests.* The authors declare that they have no conflict of interest.

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15 2020.

**List of LOD1 variables that can be specified in the static driver file. Variable name Dimensions NetCDF data type Values/Units Description**

~~albedo\_type (y,x) NC\_BYTE 0–18 Optional classification of albedo. Default values are set by building\_type, pavement\_type, vegetation\_type, and water\_type but will be overwritten in case albedo\_type is defined~~

~~buildings\_2d (y,x) NC\_FLOAT m Building height relative to underlying terrain. Requires setting of building id~~

~~buildings\_id (y,x) NC\_INT - Building id, used to identify single building envelopes for mapping of buildings on complex terrain~~

~~building\_type (y,x) NC\_BYTE 0–6 Bulk classification of building types~~

~~pavement\_type (y,x) NC\_BYTE 0–16 Bulk classification of pavements on soil. Requires setting of a soil type~~

~~surface\_fraction (n,y,x) NC\_FLOAT 0–1 Relative fraction of the respective surface type given via vegetation\_type (n=0), pavement\_type (n=1) and water\_type (n=2). The sum over all relative fractions must be equal to one for each location. Note that more than one surface type per pixel are currently not supported by PALM~~

~~vegetation\_type (y,x) NC\_BYTE 0–18 Bulk classification of non-resolved vegetation surfaces at natural land surface types. Requires setting of a soil type~~

~~water\_type (y,x) NC\_BYTE 0–18 Bulk classification of water bodies~~

~~z\_t (y,x) NC\_FLOAT m Terrain height above mean sea level~~

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**List of LOD2 variables that can be specified in the static driver file. Variable name Dimensions NetCDF data type n Description**

~~albedo\_pars (n,y,x) NC\_FLOAT 0–7 Optional classification of individual albedo values for broadband, longwave, and shortwave radiation for each pixel (y,x). See Tab. S8 in the Supplements~~

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~~buildings\_3d (z,y,x) NC\_BYTE 0-1 Three-dimensional building topology relative to underlying terrain in which setting of 1 refers to building and 0 refers to no building. The z— dimension only needs to be large enough to embrace the building topology~~

~~building\_pars (n,zwall,y,x) NC\_FLOAT 0–46 Optional setting of building material parameters for each wall layer zwall and pixel (y,x). See Tab. S9 in the Supplements~~

~~building\_surface\_pars (n,y,x) NC\_FLOAT 0–46 Optional setting of building surface parameters for each pixel (y,x). See Tab. S10 in the Supplements~~

~~pavement\_pars (n,zsoil,y,x) NC\_FLOAT n=0–1 Optional setting of pavement thermal parameters for each soil layer zsoil and pixel (y,x). See Tab. S11 in the~~

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- Supplements `pavement_surface_pars (n,y,x) NC_FLOAT n=0—3` Optional setting of pavement surface parameters for each pixel  $(y,x)$ . See Tab. S12 in the Supplements `root_fraction (zsoil,y,x) NC_FLOAT n=0—1` Root fraction within the individual soil layers `zsoil_soil_pars (n,zsoil,y,x) NC_FLOAT n=0—8` Optional setting of soil parameters for each soil layer `zsoil` and pixel  $(y,x)$ . See Tab. S13 in the Supplements `vegetation_pars (n,y,x) NC_FLOAT n=0—11` Optional setting of vegetation parameters for each pixel  $(y,x)$ . See Tab. S14 in the Supplements `water_pars (n,y,x) NC_FLOAT n=0—6` Optional setting of water body parameters for each pixel  $(y,x)$ . See Tab. S15 in the Supplements
- 5 List of LOD3 variables that can be specified in the static driver file. Variable name Dimensions NetCDF data type  $n$  Description `building_surface_pars (n,z,y,x) NC_FLOAT 0—46` Optional setting of building surface parameters for each surface height  $z$  and each surface pixel location  $(y,x)$ . See Tab. S10 in the Supplements
- 10 List of LOD4 variables that can be specified in the static driver file. Variable name Dimensions NetCDF data type  $n$  Description `building_pars (n,zwall,s) NC_FLOAT 0—46` Optional setting of building material parameters for each wall layer  $zwall$  and each surface element  $s$ . See Tab. S9 in the Supplements `building_surface_pars (n,s) NC_FLOAT 0—46` Optional setting of building surface parameters for each surface element  $s$ . See Tab. S10 in the Supplements
- 15 List and average properties of the most common street trees in Berlin. Genus Quantity Tree height Crown diameter Trunk diameter (DBH) Age Unit m m m yr Acer 119863 12.1 7.1 1.0 41.8 Aesculus 24601 12.2 7.0 1.3 51.3 Ailanthus 1833 14.2 8.5 1.3 46.4 Alhambra 3764 16.3 5.9 1.3 47.9 Betula 25580 14.0 6.0 1.0 39.7 Carpinus 15862 10.2 6.0 0.8 33.9 Corylus 7789 8.8 4.9 0.7 29.6 Crataegus 8570 5.9 3.5 0.5 26.2 Fagus 9923 17.5 9.9 1.7 91.3 Fraxinus 14217 10.7 5.6 0.9 35.1 Ginkgo 1289 8.4 4.0 0.6 24.8 Gleditsia 2746 10.6 6.3 0.8 29.3 Juglans 1124 9.5 6.8 0.9 37.2 Larix 1218 16.7 7.1 1.2 51.3 Malus 3146 4.8 3.5 0.5 21.5 Picea 3122 13.6 3.6 0.9 37.5 Pinus 13985 15.7 6.3 1.2 49.2 Platanus 25548 14.7 9.9 1.4 50.6 Populus 16750 20.6 8.8 1.7 54.9 Prunus 14594 7.0 4.9 0.7 27.8 Pseudotsuga 2652 17.6 5.8 1.2 42.8 Pyrus 2779 6.0 2.6 0.4 19.9 Quercus 58416 14.2 8.0 1.3 55.6 Robinia 20341 13.9 6.7 1.1 42.4 Salix 4891 13.8 7.9 1.6 44.5 Sophora 1852 10.2 7.7 1.0 36.9 Sorbus 8251 6.7 4.0 0.6 28.2 Taxus 2767 7.6 5.2 0.8 43.3 Tilia 156496 12.6 7.2 1.1 45.7 Ulmus 8729 13.8 7.4 1.2 42.2
- 20