HighResMIP versions of EC-Earth: EC-Earth3P and EC-Earth3P-HR. Description, model computational performance and basic validation

- 5 Rein Haarsma¹, Mario Acosta⁵, Rena Bakhshi², Pierre-Antoine Bretonnière⁵, Louis-Philippe Caron⁵, Miguel Castrillo⁵, Susanna Corti⁴, Paolo Davini⁴, Eleftheria Exarchou⁵, Federico Fabiano⁴, Uwe Fladrich³, Ramon Fuentes Franco³, Javier García-Serrano^{6,5}, Jost von Hardenberg⁴, Torben Koenigk³, Xavier Levine⁵, Virna Loana Meccia⁴, Twan van Noije¹, Gijs van den Oord², Froila M. Palmeiro⁶, Mario Rodrigo⁶, Yohan Ruprich-Robert⁵, Philippe Le
- 10 Sager¹, Etienne Tourigny⁵, Shiyu Wang³, Michiel van Weele¹, Klaus Wyser³.
 - 1. Royal Netherlands Meteorological Institute (KNMI), De Bilt, Netherlands
 - 2. Netherlands eScience Center, Amsterdam, Netherlands
 - 3. Swedish Meteorological and Hydrological Institute (SMHI), Norrköping, Sweden
- Institute of Atmospheric Sciences and Climate, Consiglio Nazionale delle Ricerche (ISAC-CNR), Italy

5. Barcelona Supercomputing Center (BSC), Barcelona, Spain

6. Group of Meteorology, Universitat de Barcelona (UB), Barcelona, Spain

20 Correspondence to: Rein Haarsma (rein.haarsma@knmi.nl)

Abstract A new global high-resolution coupled climate model, EC-Earth3P-HR has been developed by the EC-

- Earth consortium, with a resolution of approximately 40 km for the atmosphere and 0.25 degree for the ocean, alongside with a standard resolution version of the model, EC-Earth3P (80 km atmosphere, 1.0 degree ocean). The model forcing and simulations follow the HighResMIP protocol. According to this protocol all simulations are made with both high and standard resolutions. The model has been optimized with respect to scalability, performance, data-storage and post-processing. In accordance with the HighResMIP protocol no specific tuning
- 30 for the high resolution version has been applied. Increasing horizontal resolution does not result in a general reduction of biases and overall improvement of the variability, and deteriorating impacts can be detected for specific regions and phenomena such as some Euro-Atlantic weather regimes, whereas others such as El Niño-Southern Oscillation show a clear improvement in their spatial structure. The omission of specific tuning might be responsible for this.
- 35 The shortness of the spin-up, as prescribed by the HighResMIP protocol, prevented the model to reach equilibrium. The trend in the control and historical simulations, however, appeared to be similar, resulting in a warming trend, obtained by subtracting the control from the historical simulation, close to the observational one.

1 Introduction

Recent studies with global high-resolution climate models have demonstrated the added value of enhanced
horizontal atmospheric and oceanic resolution compared to the output from models in the coupled model intercomparison project phase 3 and 5 (CMIP3 and CMIP5) archive. An overview and discussion of those studies has been given in Haarsma et al. (2016) and Roberts et al. (2018). Coordinated global high-resolution experiments were, however, lacking, which induced the launch of the CMIP6 endorsed High Resolution Model Intercomparison Project (HighResMIP). The protocol of HighResMIP is described in detail in Haarsma et al. (2016). Due to the large computational cost that high horizontal resolution implies, the time period for simulations in the HighResMIP protocol ranges from 1950 to 2050. The minimal required atmospheric and oceanic resolution

for HighResMIP is about 50 km and 0.25° respectively.

EC-Earth is a global coupled climate model (Hazeleger et al., 2010, 2012) that has been developed by a consortium
of European institutes consisting to this day of 27 research institutes. Simulations with EC-Earth2 contributed to the CMIP5 archive, and numerous studies performed with the EC-Earth model appeared in peer-reviewed literature and contributed to the fifth assessment report (AR5) of the IPCC (Intergovernmental Panel on Climate Change) (IPCC, 2013). EC-Earth is used in a wide range of studies from paleo-research to climate projections, including also seasonal (Bellprat et al. 2016; Prodhomme et al., 2016; Haarsma et al., 2019) and decadal forecasts
(Guemas et al., 2013, 2015; Doblas-Reyes et al., 2013; Caron et al., 2014, Solaraju Murali et al., 2019, Koenigk et al., 2013, Koenigk and Brodeau, 2014, Brodeau and Koenigk, 2016).

In preparation for CMIP6, a new version of EC-Earth, namely EC-Earth3, has been developed (Doescher et al., 2020). This has been used for the DECK (Diagnostic, Evaluation and Characterization of Klima) simulations (Eyring et al., 2016) and several CMIP6-endorsed MIPs. The standard resolution of EC-Earth3 is T255 (~80 km) for the atmosphere and 1.0° for the ocean, which is too coarse to contribute to HighResMIP. A higher resolution version of EC-Earth3, therefore, had to be developed. In addition, the HighResMIP protocol demands simplified aerosol and land schemes (Haarsma et al., 2016).

70 In section 2, we will describe the HighResMIP version of EC-Earth3 which has been developed within the European Horizon2020 project PRIMAVERA (Roberts et al., 2018). For a detailed description of the standard CMIP6 version of EC-Earth3 and its technical and scientific performances, we refer to Doescher et al. (2020). High-resolution modeling requires special efforts on scaling, optimization and model performance, which will be discussed in section 3. In section 3 we also discuss the huge amount of data that is produced by a high-resolution

- 75 climate model, that requires an efficient post-processing and storage workflow. A summary of the model results will be given in section 4. In that section we also discuss the issue that for a high resolution coupled simulation it is not possible to produce a completely spun up state that has reached equilibrium due to limited computer resources. As a result, the HighResMIP protocol prescribes that the simulations start from an observed initial state. The drift due to an imbalance of the initial state is then accounted for by performing a control run with constant
- 80 forcing alongside the transient run.

2 Model description

The model used for HighResMIP is part of the EC-Earth3 family. EC-Earth3 is the successor of EC-Earth2 that was developed for CMIP5 (Hazeleger et al., 2010, 2012; Sterl et al., 2012). Early versions of EC-Earth3 have been used by e.g. Batté et al. (2015), Davini et al. (2015) and Koenigk and Brodeau (2017). The versions developed for HighResMIP are EC-Earth3P (T255 (~100 km) atmosphere, 1 degree ocean) for standard resolution and EC-Earth3P-HR (T511 (~50 km) atmosphere, 0.25 degree ocean) for high resolution and will henceforth be referred to as EC-Earth3P(-HR), respectively. In addition, a very high resolution version EC-Earth3P-VHR
90 (T1279 (~15 km) atmosphere, 0.12 degree ocean) has been developed and simulations following the HighResMIP protocol are presently being performed, but not yet available. Compared to EC-Earth2, EC-Earth3P(-HR) include updated versions of its atmospheric and oceanic model components, as well as a higher horizontal and vertical resolution in the atmosphere.

- 95 The atmospheric component of EC-Earth is the Integrated Forecasting System (IFS) model of the European Centre for Medium-Range Weather Forecasts (ECMWF). Based on cycle 36r4 of IFS, it is used at T255 and T511 spectral resolution for EC-Earth3P and EC-Earth3P-HR, respectively. The spectral resolution refers to the highest retained wavenumber in linear triangular truncation. The spectral grid is combined with a reduced Gaussian grid where the nonlinear terms and the physics are computed, with a resolution of N128 for EC-Earth3P, N256 for EC-Earth3-HR and N640 for EC-Earth3P-VHR. Because of the reduced Gaussian grid the grid box distance is not continuous,
- with a mean value of 107 km for EC-Earth3P and 54.2 km for EC-Earth3P-HR (Klaver et al., 2020). The number of vertical levels is 91, vertically resolving the middle atmosphere up to 0.1 hPa. The H-TESSEL model is used for the land surface (Balsamo et al., 2009) and is an integral part of IFS: for more details see Hazeleger et al. (2012).

105

The ocean component is the Nucleus for European Modelling of the Ocean (NEMO; Madec, 2008). It uses a tripolar grid with poles over northern North America, Siberia and Antarctica and has 75 vertical levels (compared to 42 levels in the CMIP5 model version and standard EC-Earth3). The so-called ORCA1 configuration (with a horizontal resolution of about 1 degree) is used in EC-Earth3P whereas the ORCA025 (resolution of about 0.25 degree) is used in EC-Earth3P-HR. The ocean model version is based on NEMO version 3.6 and includes the Louvain-la-Neuve sea-ice model version 3 (LIM3; Vancoppenolle et al., 2012), which is a dynamic-thermodynamic sea-ice model with five ice thickness categories. The atmosphere/land and ocean/sea-ice components are coupled through the OASIS (Ocean, Atmosphere, Sea Ice, Soil) coupler (Valcke and Morel, 2006; Craig et al., 2017).

115

120

The NEMO configuration is based on a set-up developed by the ShaCoNEMO initiative lead by Institute Pierre Simon Laplace (IPSL) and adapted to the specific atmosphere coupling used in EC-Earth. The remapping of runoff from the atmospheric grid points to runoff areas on the ocean grid has been re-implemented to be independent of the grid resolution. This was done by introducing an auxiliary model component and relying on the interpolation routines provided by the OASIS coupler. In a similar manner, forcing data for atmosphere-only simulations are

passed through a separate model component, which allows to use the same SST and sea-ice forcing data set for different EC-Earth configurations.

IFS and NEMO have the same time steps: 45 min in EC-Earth3P and 15 min in EC-Earth3P-HR. The couplingbetween IFS and NEMO is 45 min in both configurations.

The CMIP6 protocol requests modeling groups to use specific forcing datasets that are common for all participating models. Table 1 lists the forcings that have been implemented in EC-Earth3P(-HR). Because of the HighResMIP protocol, EC-Earth3P(-HR) distinguish themselves in several aspects from the model configurations used for the CMIP6 experiments (Doescher et al., 2020):

- The stratospheric aerosol forcing in EC-Earth3P(-HR) is handled in a simplified way that neglects the details of the vertical distribution and only takes into account the total aerosol optical depth in the stratosphere which is then evenly distributed across the stratosphere. This approach follows the treatment of stratospheric aerosols as it was used by EC-Earth2 for the CMIP5 experiments yet with the stratospheric aerosol optical depth (AOD) at 500 nm updated to the CMIP6 data set.
- 2. A sea surface temperature (SST) and sea-ice forcing data set specially developed for HighResMIP is used for AMIP experiments (Kennedy et al., 2017). The major differences compared to the standard SST forcing data sets for CMIP6 are the higher spatial (0.25 deg vs. 1 deg) and temporal (daily vs. monthly) resolution. For the Tier 3 HighResMIP SST forced future AMIP simulations (see section 4.1) an artificially produced data set of SST and sea ice concentration (SIC) is used that combines observed statistics and modes of variability with an extrapolated trend (https://esgfnode.llnl.gov/search/input4mips/).
- 3. The HighResMIP protocol requires the simulations to start from an atmosphere and land initial state from the 1950 of the ECMWF ERA-20C (Poli et al., 2016) reanalysis data. Because the soil moisture requires at least 10 years to reach equilibrium with the model atmosphere, a spin-up of 20 years under 1950 forcing has been made before starting the Tier 1 simulations.
 - 4. In agreement with the HighResMIP protocol, the vegetation is prescribed as a present-day climatology that is constant in time.
- 150 5. The climatological present-day vegetation, based on ECMWF ERA-Interim (Dee et al., 2011), and specified as albedos and leaf area index (LAI) from the Moderate-resolution Imaging Spectroradiometer (MODIS) is used throughout all runs. In contrast, the model version for other CMIP6 experiments uses lookup tables to account for changes in land-use. In addition, that version is consistent with the CMIP6 forcing data set and not based on ERA-Interim.
- 6. Another difference is the version of the pre-industrial aerosols background derived from the TM5 model (Van Noije et al., 2014; Myriokefalitakis et al., 2020, and references therein): version 2 in PRIMAVERA, version 4 in other CMIP6 model configurations using prescribed anthropogenic aerosols. This affects mainly the sea-spray source, and in turn the tuning parameters.

160

130

135

3 Model performance and data handling

New developments in global climate models require special attention in terms of high-performance computing (HPC) due to the demand for increased model resolution, large numbers of experiments and increased complexity of Earth System Models (ESMs). EC-Earth3P-HR (and VHR) is a demanding example where an efficient use of the resources is mandatory.

The aim of the performance activities for EC-Earth3P-HR is to adapt the configuration to be more parallel, scalable and robust, and to optimize part of the execution when this high-resolution configuration is used. The performance activities are focused on three main challenges: (1) scaling of EC-Earth3P-HR to evaluate the ideal number of processes for this configuration, (2) analyses of the main bottlenecks of EC-Earth3P-HR and (3) new optimizations for EC-Earth3P-HR.

3.1 Scalability

175

165

170

The results of the scalability analyses of the atmosphere (IFS) and ocean (NEMO) components of EC-Earth3P-HR are shown in Fig. 1, and for the fully coupled model in Fig. 2. Acosta et al. (2016) showed that, while for coupled application the load balance between components has to be taken into account in the scalability process, the process needs to start with a scalability analysis of each individual component. Moreover, the user could experience that the speeding up of one component (e.g. the reduction of the execution time of IFS) does not reduce the execution time of the coupled application. This could be because there is one synchronization point at the end each coupled time-step, where both components exchange fields. If the other non-optimized components are slower, a load rebalance will be required. The final choice depends on the specific problem, where either time or energy can be minimized. In section 3.2, we describe how the optimal load balance between the two components, where NEMO is the slowest component, was achieved (Acosta et al., 2016).

3.2 Bottlenecks

For the performance analysis, the individual model components (IFS, NEMO and OASIS) are benchmarked and analyzed using a methodology based on extracting traces from real executions. These traces are displayed using the Paraver software and processed to discover possible bottlenecks (Acosta et al., 2016). Eliminating these bottlenecks not only involves an adjustment of the model configuration and a balance of the number of cores devoted to each one of its components, but also modifications of the code itself and work on the parallel programming model adopted in the different components.

195

The first step of a performance analysis consists in analyzing parallel programming model codes using targeted performance tools. Figure 3a illustrates an example of the performance tool's output from one single EC-Earth3P-HR model execution as provided by the Paraver tool, focusing only on its two main components: NEMO and IFS. This figure is very useful to determine the communications within the model and identify sources of bottlenecks,

200 especially those resulting from communication between components. It displays the communication pattern as a function of time. The vertical axis corresponds to the different processes executing the model, the top part for IFS

and the lower part for NEMO. The different colors correspond to different MPI communication functions, except the light blue, which corresponds to no communication. Red, yellow and purple colors are related to MPI communications. The green color represents the waiting time needed to synchronize the coupled model for the next time step, which means an unloaded balance in the execution. In summary, light blue areas are pure computation and should be maximized. On the other hand, yellow, red and purple are representing overhead from

- parallel computation and should be minimized if possible. Additionally, green areas are preferably to be also reduced, for example increasing the number of parallel resources of the slowest component, but no optimizations are needed. From this analysis, several things can be concluded related to the overhead from parallel computation:
- 210

205

1) Figure 3 shows the coupling cost from a computational point of view, including one regular time step of IFS and NEMO and one time step including the coupling process. In the top part of Fig. 3a, we notice that during the first half of the first time step, the IFS component model reserves most of its processors for execution (512 processes). To simplify, it can be said that the first half of the time step has less MPI communication, with more 215 computation-only regions, while the second half of the time step is primarily about broadcasting messages (yellow and white colour block), which corresponds to the coupling computation and to send/receive files from the atmospheric to the ocean model. These calculations impact the scalability of the code dramatically. This configuration increases the overhead when more and more processes are used and represents more than 50% of time execution when 1024 processes are used. The coupling process can be analyzed in detail in Fig. 3a (Coupling 220 zoom, top image), where the same pattern of communications is repeated four times. This occurs because the different fields from IFS to NEMO are sent in three different groups, followed by an additional group of fields sent from IFS to the runoff mapper component. The communication of three different groups of fields to the same component is not taking advantage of the bandwidth of the network, thus increasing the overhead produced by MPI communications. However, these three groups are using the same interpolation method and they could be 225 gathered into the same group.

2) From other parts of the application, (not shown in the figure) we also notice the expensive cost of the IFS output process for each time step. A master process gathers the data from all MPI sub-domains and prints the complete outputs at a regular time interval of three and six hours. During this process, the rest of processes are waiting for
this step to be completed. Due to the large data volumes, this sequential process is very costly, increasing the execution time of IFS by about 30% when outputs are required, compared to the regular time-step of IFS (without output).

- 3) The bottom part of Fig. 3a shows that the communication in NEMO is not very effective and that a large part
 of it is devoted to global communications, which appear in purple. Those communications belong to the horizontal diffusion routine, inside the ice model (LIM3) used in NEMO. The high frequency of communications in this routine prevented the model to scale. More information about MPI overhead of NEMO can be found in Tintó et al. (2019).
- 4) Due to the domain decomposition used by NEMO some of the MPI processes, which are used to run part of the ocean domain in parallel, were computing without use. This is because domain decomposition is done on a

regular grid and a mask is used to discriminate between land and sea points. The mask creates subdomains of land points whose calculations are not used. This is illustrated in Fig. 4 showing a particular case in which 12 % of the depicted subdomains do not contain any sea-point.

245

250 **3.3** New optimizations for the specific configuration

According to the profiling analysis done, different optimizations were implemented to improve the computational efficiency of the model:

255 1) The optimization ("opt") option of OASIS3-MCT was used. This activates an optimized global conservation transformation. Using this option, the coupling time from IFS to NEMO is reduced by 90% for EC-Earth3P-HR. This is because all-to-one/one-to-all MPI communications are replaced by global communications (gather/scatter and reduction) and the coupling calculations are done by all the IFS processes instead of only the IFS master process.

260

265

Another functionality of OASIS consists in gathering all fields sent from IFS to NEMO in a single group (Acosta et al., 2016). Coupling field gathering, an option offered by OASIS3-MCT, can be used to optimize coupling exchanges between components. The results show that gathering all the fields that use similar coupling transformations reduces the coupling overhead. This happens because OASIS3-MCT is able to communicate and interpolate all of the fields gathered at the same time. Fig. 3a (Coupling zoom, bottom image) proves that the collection of the first three groups reduces the communication patterns from four to two, where the execution time of this part is reduced significantly (40%).

Figure 3b shows the execution when "opt" and "gathering" options are used, with the 90% reduction in couplingtime clearly visible (large green section). In the case of the first time step in the trace, the coupling time is replaced by waiting time, since NEMO is finishing its time step and both components have to exchange fields at the end of the time step.

2) For the output problem, the integration of XIOS as the I/O server for all components of EC-Earth can increase
performance dramatically. XIOS is already used for the ocean component NEMO and the I/O server receiving also all the data from IFS processes and doing the output work in parallel and in an asynchronous way is the best solution to remove the sequential process when an IFS master process is required to do this work. This is being developed and will be included in the next version of EC-Earth.

280 3) Based on the performance analysis, the amount of MPI communications can be reduced (Tintó et al., 2019) achieving a significant improvement in the maximum model throughput. In the case of EC-Earth3P-HR, this translated into a reduction of 46% in the final execution time.

4). Using the tool ELPiN (Exclude Land Processes in NEMO) the optimal domain decomposition for NEMO has
been implemented (Tintó et al., 2017), with computation of only ocean subdomains and finding the most efficient number of MPI processes. This substantially improves both the throughput and the efficiency (in case of 2048 processor cores 41% faster using 25% less resources). The increase in throughput was due to less computations and related to that less communications. In addition, ELPiN allows for the optimal use of the available resources in the domain decomposition depending on the shape and overlap of the subdomains.

290

320

3.4 Post-processing and data output

At the T511L91 resolution, the HighResMIP data request translates into an unprecedented data volume for EC Earth. Because the atmosphere component IFS is originally a numerical weather prediction (NWP) model, it contains no built-in functionality for time-averaging the data stream during the simulation. The model was therefore configured to produce the requested three-dimensional fields (except radiative fluxes on model levels, which cannot be output by the IFS) on six-hourly basis and surface fields with three-hourly frequency. As a consequence, the final daily and monthly averages for instantaneous fields have been produced from sampling at these frequencies, whereas fluxes are accumulated in the IFS at every time step. Vertical interpolation to requested pressure or height levels is performed by the model itself.

For the ocean model, the post-processing is done within NEMO by the XIOS library which can launch multiple processes writing netCDF files in parallel, alleviating the I/O footprint during the model run. The XIOS configuration XML files were extended to produce as many of the ocean and sea ice variables as possible.

The combination of the large raw model output volume, the increased complexity of the requested data and the new format of the CMOR tables (Climate Model Output Rewriter, an output format in conformance with all the CMIP standards) required a major revision of the existing post-processing software. This has resulted in the development of the ece2cmor3 package. It is a python package that uses Climate Data Operators (CDO) [CDO, 2015] bindings for (i) selecting variables and vertical levels, (ii) time-averaging (or taking daily extrema), (iii) mapping the spectral and gridpoint atmospheric fields to a regular Gaussian grid and (iv) computing derived variables by some arithmetic combination of the original model fields. Finally, ece2cmor3 uses the PCMDI CMOR-library for the production of netCDF files with the appropriate format and metadata. The latter is the only supported step for the ocean output.

To speed up the atmosphere post-processing, the tool can run multiple CDO commands in parallel for various requested variables. Furthermore, we optimized the ordering of operations, performing the expensive spectral transforms on time-averaged fields wherever possible. We also point out that the entire procedure is driven by the data request, i.e. all post-processing operations are set up by parsing the CMOR tables and a single dictionary relating EC-Earth variables and CMOR variables. This should make the software easy to maintain with respect to

changes in the data request and hence useful for future CMIP6 experiments.

325 4 Results

4.1 Outline of HighResMIP protocol

The protocol of the HighResMIP simulations consists of Tiers 1, 2 and 3 experiments, that represent simulations
 of different priority (1 highest, 3 lowest), and a spin-up procedure. The protocol also excludes specific tuning for the high resolution version compared to the standard resolution version. Below we give a short summary of the protocol. The experiment names in the CMIP6 data base are given in italics.

• Tier 1: Forced-atmosphere simulations 1950-2014; highresSST-present

- 335 The Tier 1 experiments are atmosphere only simulations forced using observed sea surface temperature for the period 1950-2014.
 - Tier 2: Coupled simulations 1950-2050

The period of the coupled simulations is restricted to 100 years because of the computational burden brought
 about by the model resolution and the limited computer resources. The period 1950-2050 covers historical multi decadal variability and near-term climate change. The coupled simulations consist of a spin-up, control, historical and future simulation.

-Spin-up simulation; spinup-1950

- 345 Due to the large computer resources needed, a long spin-up to (near) complete equilibrium is not possible at high resolution. Therefore, as an alternative approach an analyzed ocean state representative of the 1950s is used as the initial condition for temperature and salinity (Good et al., 2013, EN4 data set). To reduce the large initial drift a spin-up of about 50 years is made using constant 1950s forcing. The forcing consists of greenhouse gases (GHG), including O₃ and aerosol loading for a 1950s (~10 year mean) climatology. Output from the initial 50 year spin-up is saved to enable analysis of multi-model drift and bias, something that was not possible in previous CMIP
- exercises, with the potential to better understand the processes causing drift in different models.

- Control simulation; control-1950

This is the HighResMIP equivalent of the pre-industrial control, but using fixed 1950s forcing. The length of the control simulation should be at least as long as the historical plus future transient simulations. The initial state is obtained from the spin-up simulation.

- Historical simulation; hist-1950

360

This is the coupled historical simulation for the period 1950-2014, using the same initial state from the spin-up as the control run.

- Future simulation; highres-future

This is the coupled scenario simulation 2015-2050, effectively a continuation of the *hist-1950* experiment into the future. For the future period the forcing fields are based on the CMIP6 SSP5-8.5 scenario.

• Tier 3: Forced-atmosphere 2015-2050 (2100); highresSST-future

The Tier 3 simulation is an extension of the Tier 1 atmosphere-only simulation to 2050, with an option to continue to 2100. To allow comparison with the coupled integrations, the same scenario forcing as for Tier 2 (SSP5-8.5) is used.

370

375

A schematic representation of the HighResMIP simulations is given in figure 5.

4.2 Main results of EC-Earth3P(-HR) HighResMIP simulations

For each of the HighResMIP tiers more than one simulation was produced. An overview of the simulations is given in Table 2.

The data is stored on the JASMIN server at CEDA (http://www.ceda.ac.uk/projects/jasmin/) and available from
ESGF. During the PRIMAVERA project the data was analyzed at the JASMIN server. For the *highresSST-present* and *highresSST-future* simulations the ensemble members were started from perturbed initial states. These were created by adding small random perturbations from a uniform distribution over [-5e-5, +5e-5] degree to the three-dimensional temperature field. For the *control-1950* and *hist-1950*, the end of the spin-up was taken as the initial condition of the first member. For the two extra members the initial conditions were generated by continuing the spin-up for 5 years after perturbing the fields that are exchanged between atmosphere and ocean. The *highres-future* members are the continuation of the *hist-1950* members.

The Atlantic Meridional Overturning Circulation (AMOC) in the *control-1950* of EC-Earth3P had unrealistically low values of less than 10 Sv. It was therefore decided to change the ocean mixing parameters, which improved the AMOC. The main difference compared to the first ensemble member of EC-Earth3P is that the parameterization of the penetration of turbulent kinetic energy (TKE) below the mixed layer due to internal and inertial waves is switched off (nn_etau=0; Madec et al. 2016). The mixing below the mixed layer is an ad-hoc parameterization into the TKE scheme (Rodgers et al. 2014,) and is meant to account for observed processes that affect the density structure of the ocean's boundary layer. In EC-Earth3P, this penetration of TKE below the mixed layer caused a too deep surface layer of warm summer water masses in the North Atlantic convection areas which lead to a breakdown of the Labrador Sea convection within a few years and a strongly underestimated AMOC in EC-Earth3P. An additional minor modification compared to ensemble member 1 is an increased tuning parameter rn_lc (=0.2) in the TKE turbulent closure scheme that directly relates to the vertical velocity profile of the

Langmuir Cell circulation. Consequently, the Langmuir Cell circulation is strengthened.

400

405

The new mixing scheme was also applied to EC-Earth3P-HR, to ensure the same set of parameters for both versions of EC-Earth3P(-HR). The simulations with the new ocean mixing are denoted with 'p2' for the coupled simulations in Table 2. The atmosphere is unchanged and therefore the atmosphere simulations are denoted as 'p1'. Because of the unrealistic low AMOC in EC-Earth3P in the 'p1' simulations we focus on 'p2' for the coupled simulations.

Below we will briefly discuss the mean climate and variability of the *highresSST-present*, *control-1950* and *hist-1950* simulations. The main differences between EC-Earth3P and EC-Earth3P-HR will be highlighted. In addition, the spin-up procedure for the coupled simulations, *spinup-1950*, will be outlined. A more extensive analysis of the HighResMIP simulations will be presented in forthcoming papers.

4.2.1 highresSST-present

The *highresSST-present* simulations will be compared with ERA5 (Hersbach et al., 2020) (1979-2014) except for
 precipitation where GPCP V2.3 (1979-2014) (Adler et al., 2003) data will be used. EC-Earth, GPCP and ERA5 data are regridded to a common grid (N128) before comparison. Seasonal means (Dec.-Feb. (DJF) and Jun.-Aug. (JJA)) will be analyzed. Ensemble mean fields will be displayed.

Due to the prescribed SST the largest surface air temperature (SAT) biases are over the continents (Fig. 6). The
most negative biases are over the Sahara for DJF and Greenland in JJA while the largest positive biases are located over Antarctica in JJA and northeastern Siberia in DJF. Over most areas EC-Earth3P-HR is slightly too cold. Over most of the tropics the mean sea level pressure (MSLP) is underestimated, whereas over Antarctica and surrounding regions of the Southern Ocean it has a strong positive bias (Fig. 7). Further noteworthy is the positive bias south of Greenland during DJF. The largest precipitation errors are seen in the tropics over the warm pool regions in the Pacific and the Atlantic with too much precipitation (Fig. 8). The planetary wave structure of the geopotential height at 500 hPa (Z500) during DJF is well represented with the exception of the region south of Greenland (Fig. 9), which is consistent with the MSLP bias (Fig. 7a). The physical causes of the aforementioned biases can include a wide range of deficiencies in the parameterizations of cloud physics, land-surface and snow to mention a few. In forth coming papers this will be investigated in further detail.

430

410

Doubling of the atmospheric horizontal resolution has only modest impact on the large-scale structures of the main meteorological variables, as illustrated by the global MSLP, SAT, and precipitation (Fig. 10). For SAT the differences are generally less than 1 K, for MSLP 1 hPa except for the polar regions. A remarkable result is the worsening of the bias over Antarctica during JJA. Because the dynamics of the polar vortex, which is sensitive to horizontal resolution, is strongest during austral winter we speculate that this enhanced bias is associated with it. The exact mechanism falls outside the scope of this basic validation and will be explored in forthcoming studies. For precipitation the difference can be larger than 1.5 mm/day in the tropics. It is possible to conclude that the increase of resolution does not have a clear positive impact on the climatology of any of those variables. For instance for precipitation it results in an increase of the wet bias over the warm pool (compare with Fig. 8). Also

440 measured by the root mean square error (RSME) (see figure captions for the numbers) the impact of resolution is small, in the order of 10% or less depending on the variable and the season. Enhancing resolution reduces the RMSE for SAT and MSLP, whereas it slightly enhances for precipitation.

4.2.2 spinup-1950

450 As discussed in the outline of the HighResMIP protocol, the spin-up was started from an initial state that is based on observations for 1950. For the ocean this is the EN4 ocean reanalysis (Good et al., 2013) averaged over the 1950-1954 period, with 3m sea-ice thickness in the Arctic and 1m in the Antarctic. The atmosphere-land system was initialized from ERA-20C for 1950 January 1st, and spun-up for 20 years to let the soil moisture reach equilibrium. For the ocean no data assimilation has been performed, which can result in imbalances between the 455 density and velocity fields giving rise to initial shocks and waves.

During the first years of the spin-up there is a strong drift in the model climate (not shown). For the fast components of the climate system like the atmosphere and the mixed layer of the ocean the adjustment is in the order of one year, whereas the slow components such as the deep ocean require thousand years or more to reach
equilibrium. For the land component this is on the order of a decade. As a consequence after a spin-up of 50 years the atmosphere, land and upper ocean are approximately in equilibrium while the deeper ocean is still drifting. The largest drift occurs in the layer 100-1000 m with a drift of 0.5 °C/100 year. This drift also has an impact on the fast components of the climate system, which therefore still might reveal trends.

465 4.2.3 control-1950

470

After the spin-up, the SAT each of the three members of EC-Earth3P-HR is in quasi-equilibrium and the global mean temperature oscillates around 13.9 °C (Fig. 11-left, black). The ocean is still warming as expressed by a negative net surface heat flux in the order of -1.5 Wm⁻² (positive is upward) (Fig. 11-right, black). This imbalance is reduced during the simulation, but without an indication that the model is getting close to its equilibrium state.

Contrary to EC-Earth3P-HR, the global annual mean SAT of EC-Earth3P displays a significant upward trend, with an indication of stabilizing after about 35 years (Fig. 11-left, red). This warming trend is caused by a large warming of the North Atlantic as revealed by Fig. 12 showing the difference between the first and last 10 years of the *control-1950* run. This warming is caused by the activation of the deep convection in the Labrador Sea (not shown), that started about 10 years after the beginning of the control simulation, which was absent in the spin-up run. Associated with that also the AMOC shows an upward trend (see Fig. 17 below). This switch to a warmer state does not strongly affect the slow warming of the deeper ocean, which is reflected in a similar behavior of the net surface heat flux as for EC-Earth3P-HR (Fig. 11-right). The reasons for the initial absence of deep convection in the Labrador Sea in EC-Earth3P and the difference with EC-Earth3P-HR are not clear and presently under investigation. Possible candidates are that the differences in ocean resolution affect the sea-ice dynamics and deep convection, but also changes in ocean temperature and salinity distribution may play a role.

The *control-1950* experiment is also analyzed to evaluate model performance of internally-generated variability
 in the coupled system; the targets are: El Niño-Southern Oscillation (ENSO), the North Atlantic Oscillation (NAO), sudden stratospheric warmings (SSWs) and the Atlantic Meridional Overturning Circulation (AMOC).

ENSO

Figure 13 depicts the seasonal cycle of the Niño3.4 index (SST anomalies averaged over 5°S-5°N/170°W-120°W).

- 490 As it was also shown for EC-Earth3.1 (Yang et al., 2019), both EC-Earth3P and EC-Earth3P-HR still have a systematic underestimation of the ENSO amplitude from late-autumn to mid-winter and yield the minimum in July, 1-2 months later than in observations. Increasing model resolution reduces the bias in early-summer (May-June) but worsens it in late-summer (July-August). Overall, EC-Earth3P-HR shows lower ENSO variability than EC-Earth3P, which following Yang et al.'s (2019) arguments suggests that the ocean-atmosphere coupling
- 495 strength over the tropical Pacific is weaker in the high-resolution version of the model. On the other hand, Fig. 14 displays the spatial distribution of winter SST variability and the canonical ENSO pattern, computed as linear regression onto the Niño3.4 index. Increasing model resolution leads to a reduction in the unrealistic zonal extension of the cold tongue towards the western tropical Pacific, which was also present in EC-Earth3.1 (Yang et al., 2019) and is a common bias in climate models (e.g. Guilyardi et al., 2009): EC-Earth3P reaches longitudes
- 500 of Papua New Guinea (Fig. 14a), while EC-Earth3P-HR improves its location (Figs. 14b), yet overestimated as compared to observations (Fig. 14c). Note that the reduction of this model bias is statistically significant (Fig. 14-bis). Consistently, the improvement in the cold tongue translates into a better representation of the ENSO pattern (Fig. 14-bottom). Nonetheless, the width of the cold tongue in EC-Earth3P-HR is still too narrow in the central tropical Pacific (see also Yang et al., 2019), which again is a common bias in climate models (e.g. Zhang and Jin, 2019).
- 505 2012). Both EC-Earth3P and EC-Earth3P-HR realistically simulate the wave-like structure of the ENSO teleconnection in the extratropics (Fig. 14-bottom).

On another matter, note that EC-Earth3P-HR (Fig. 14b) captures much better the small-scale features and meanderings along the western boundary currents, Kuroshio-Oyashio and Gulf Stream, and the sea-ice edge over
the Labrador Sea than EC-Earth3P (Fig. 14a). In these three areas there is a substantial increase in SST variability (Fig. 14bis), which following Haarsma et al. (2019) is likely due to increasing ocean resolution rather than atmosphere resolution.

NAO

- Figure 15 illustrates how EC-Earth3P(-HR) simulates the surface NAO and its hemispheric signature in the middle troposphere. The NAO (here measured as leading EOF of the DJF SLP anomalies over 20°N-90°N/90°W-40E) accounts for virtually the same fraction of SLP variance in both model versions, i.e. 42.70% in EC-Earth3P (Fig. 15d) and 42.74% in EC-Earth3P-HR (Fig. 15e), and still slightly underestimates the observed one (~50% in ERA-Interim, Fig. 15f); the same applied to EC-Earth2.2 when compared to ERA-40 (Hazeleger et al. 2012). EC-Earth rightly captures the circumglobal pattern associated with the NAO at upper levels (e.g. Branstator, 2002; García-Serrano and Haarsma, 2017), particularly the elongated lobe over the North Atlantic and the two centers of action over the North Pacific (Fig. 15-top). A close inspection to the barotropic structure of the NAO reveals that the meridional dipole is shifted westward in EC-Earth3P-HR (Fig. 15b,e) as compared to EC-Earth3P (Fig. 15a,d), which according to Haarsma et al. (2019) could be related to increasing ocean resolution and a stronger forcing
- 525 of the North Atlantic storm-track.

SSWs

- Also, the simulation of SSW occurrence is assessed (Fig. 16); the identification follows the criterion in Palmeiro et al. (2015). The decadal frequency of SSWs in EC-Earth is about 8 events per decade regardless model resolution, which is underestimated when compared to ERA-Interim (~11 events per decade) but in the range of observational uncertainty (e.g. Palmeiro et al., 2015; Ayarzagüena et al., 2019). The same underestimation was diagnosed in EC-Earth3.1 (Palmeiro et al., 2020a). The reduced amount of SSWs is probably associated with a too-strong bias at the core of the polar vortex, still present in EC-Earth3.3 (Palmeiro et al., 2020b). It is thus concluded that increasing horizontal resolution does not affect the model bias in the strength of the polar vortex. The seasonal cycle of SSWs in reanalysis is quite robust over the satellite period, showing one maximum in December-January and another one in February-March (Ayarzagüena et al., 2019), which was properly captured
- 540 Here in *control-1950*, EC-Earth does not reproduce such bimodal cycle, with EC-Earth3P-HR (blue) yielding a peak in January-February and EC-Earth3P (red) two relative maxima in January and March. Interestingly, the seasonal cycle of SSWs over the historical, pre-satellite period shows a different distribution with a prominent maximum in mid-winter and a secondary peak in late-winter, although it is less robust among reanalysis products (Ayarzagüena et al., 2019). The impact of the radiative forcing on SSW occurrence deserves further research.

by EC-Earth3.1 in control, coupled simulations with fixed radiative forcing at year 2000 (Palmeiro et al., 2020a).

545

AMOC

The AMOC index was computed as the maximum stream function at 26.5N and between 900 and 1200 m depth. The annual AMOC index of EC-Earth3P-HR for the *control-1950* runs (Fig. 17-left, black) is about 15 SV, which is lower than the values form the RAPID array (Smeed et al., 2019) that are measured since 2004 (Fig. 17, stars in middle panel). It reveals interannual and decadal variability, without an evident trend. As already discussed at

550 in middle panel). It reveals interannual and decadal variability, without an evident trend. As already discussed at the beginning of section 4.2.3, the AMOC of EC-Earth3P shows an upward trend (Fig. 17-left, red) associated with the activation of convection in the Labrador Sea.

555 **4.2.4** *hist-1950*

The *hist-1950* ensemble simulations differ from the *control-1950* simulations by the historical GHG and aerosol concentrations. The global mean annual temperature in EC-Earth3P-HR displays an increase similar to the ERA5 data set (Fig. 18-left). The warming seems to be slightly larger in the model. We remind, however, the enhanced observed warming after 2014, which might result in a similar trend in the model simulations compared to observations up to present day. The cooling due to the Pinatubo eruption in 1991 is clearly visible in all members and the ensemble mean. The amplitude and period compare well with ERA5. On its part, the AMOC in EC-Earth3P-HR reveals a clear downward trend in particular from the 1990s onward (Fig. 17-middle, black). This is consistent with a slowdown of the Atlantic overturning due to global warming in CMIP5 models (Cheng et al., 2013).

Similarly to *control-1950*, the *hist-1950* simulations with EC-Earth3P show an upward drift in SAT (Fig. 18-left, red) and AMOC (Fig. 17-middle, red) that are smaller (SAT) or absent (AMOC) in EC-Earth3P-HR. The HighResMIP protocol (Haarsma et al., 2016) of having a control and a historical simulation starting from the same

570 initial conditions was designed to minimize the consequences of such trends. Under the assumption that the model trend is similar for both simulations, it can be eliminated by subtracting the control from the historical simulation. Indeed the global annual mean SAT and the AMOC of *hist-1950* minus *control-1950* display a very similar behavior in EC-Earth3P and EC-Earth3P-HR (Fig. 18-right and Fig. 17-right) with an upward trend for SAT and a downward trend for the AMOC. For SAT the upward trend compares well with ERA5.

575

580

Weather regimes

Another way to test the representation of the mid-latitude atmospheric flow, with a focus on the low frequency variability (5 - 30 days), is to assess how well the models reproduce the winter (DJF) Euro-Atlantic weather regimes (Corti et al., 1999; Dawson et al., 2012).

The analysis has been applied here to the EC-Earth3P and EC-Earth3P-HR hist-1950 simulations. Following recent works (Dawson, 2015; Strommen, 2019), we computed the regimes via k-means clustering of daily geopotential height anomalies at 500 hPa over 80W-40E/30N-85N. As a reference, we considered the ECMWF 585 reanalysis data from ERA40 (1957-1978) and ERA-Interim (1979-2014). The clustering is performed in the space spanned by the first 4 Principal Components obtained from the reference dataset. More details on the technique used and, on the metrics discussed here can be found in Fabiano et al. (2020) and references therein. Each row in Fig. 19 shows the resulting mean patterns of the four standard regimes - NAO+, Scandinavian Blocking, Atlantic Ridge and NAO- - for ERA (top), EC-Earth3P (middle) and EC-Earth3P-HR (bottom). The regimes are quite well 590 represented in both configurations. However, the matching is better in the standard resolution version both in terms of RMS and pattern correlation averaged over all regimes (see Table 3). Only the Scandinavian (Sc) blocking pattern is improved in the HR, whereas the other patterns are degraded. The most significant degradation is seen for the NAO- pattern, which is shifted westward in the HR simulation. The result for EC-Earth3P(-HR) goes in the opposite direction of what has been observed in Fabiano et al. (2020), where most models showed a 595 tendency for improving the regime patterns with increased resolution. Concerning the regime frequencies, both model versions show a tendency to produce less NAO+ cases than the observations and more Atlantic Ridge cases

(Fig. 19).

Another quantity of interest is the persistence of the regimes, since models usually are not able to reach the observed persistence of the NAO+/- states (Fabiano et al., 2020). As stated in Table 3, this is also observed for the EC-Earth3P *hist-1950* simulations and the effect of the HR is to increase the persistence of NAO+, but decrease that of NAO-.

- Even if the HR is degrading the regime patterns, it produces a small but positive effect on the geometrical structure of the regimes. This is shown by the last two quantities in Table 3: the optimal ratio and the sharpness. The optimal ratio is the ratio between the mean inter-cluster squared distance and the mean intra-cluster variance: the larger the optimal ratio, the more clustered are the data. The sharpness is an indicator of the statistical significance of the regime structure in the dataset in comparison with a randomly sampled multinormal distribution (Straus et al., 2007). The closer the value is to 100, the more significant is the multimodality of the distribution. The sharpness
- 610 tends to saturate at 100 for very long simulations, so the values reported in Table 3 are obtained from a bootstrap

on 30 years randomly chosen. Both the optimal ratio and the sharpness are too low in the EC-Earth3P simulations, as is usually seen for all models. A significant increase with EC-Earth3P-HR is seen for the optimal ratio, and a smaller (non-significant) one is seen for the sharpness.

615 The increased resolution simulations have a stronger regime structure and are closer to the observations in this sense. However, the regime patterns are degraded in the HR version and this affects the resulting atmospheric flow. A similar result was obtained by Strommen et al. (2019), for a different version of EC-Earth and two other climate models.

620

5 Discussion and conclusions

As contribution of the EC-Earth consortium to HighResMIP, a new version of EC-Earth has been developed with two horizontal resolutions: the standard resolution EC-Earth3P (T255, ORCA1) and the high-resolution EC-625
Earth3P-HR (T511, ORCA0.25). Simulations following the HighResMIP protocol (Haarsma et al., 2016) for all three tiers have been made using both resolutions, with an ensemble size of three members. Only the spin-up consists of one member.

Performing 100-yr simulations for the high-resolution version (EC-Earth3P-HR) required specific developments
for the hard and soft ware to ensure efficient production, post-processing and storage of the data. In addition, the model must be able to run on different platforms with similar performance. Large efforts have been dedicated to scalability, reducing bottlenecks during performance, computational optimization and efficient post-processing and data output.

Enhancing resolution does not noticeably affect most model biases and there are even locations and variables where increasing the resolution has a deteriorating effect such as an increase of the wet bias over the warm pool seen in the *highresSST-present* simulations or the representation of Euro-Atlantic weather regimes found in the *hist-1950* experiments. Also, the variability reveals examples of improvement such as the zonal extension of the ENSO pattern or the representation of meandering along the western boundary currents, as revealed in the *control-1950* simulations. The lack of re-tuning the high-resolution version of the model compared to the standard-resolution version, in accordance with the HighResMIP protocol, might be responsible for this.

The short spin-up as prescribed by the HighResMIP protocol prevented the simulations to reach an equilibrium state. This happened in particular for the *control-1950* and *hist-1950* simulations of EC-Earth3P where a transition to a warmer state occurred due to enhanced convection in the Labrador Sea, with an accompanying increase of the AMOC. Because this transition occurred almost concurrently in the *control-1950* and *hist-1950* simulations the greenhouse-forced warming from 1950 onward in EC-Earth3P can be inferred by subtracting both simulations. The resulting warming pattern compares well with the observed one and is similar to the warming pattern simulated by EC-Earth3P-HR. Due to the transition, the *control-1950* does not provide a near-equilibrium state.

650 It was therefore decided to extend the *control-1950* run for another 100 year to allow process studies, that will be documented elsewhere.

Analysis of the kinetic energy spectrum indicates that the sub-synoptic scales are better resolved at higher resolution (Klaver et al., 2020) in EC-Earth. Despite the lack of a clear improvement with respect to biases and synoptic scale variability for the high resolution version of EC-Earth, the better representation of sub-synoptic scales results in better representation of phenomena and processes on these scales such as tropical cyclones (Roberts et al., 2020) and ocean-atmosphere interaction along western boundary currents (Tsartsali et al. in preparation).

660 Code/data availability,

Model codes developed at ECMWF, including the IFS and FVM, are intellectual property of ECMWF and its m ember states. Permission to access the EC-Earth source code can be requested via the EC-Earth website http://www.ec-earth.org (The EC-Earth Consortium, 2019) and may be granted if a corresponding software licence agreement is signed with ECMWF. The repo tags for the versions of IFS and EC-EARTH that are used

665 in this work are 3.0p (see section 4.2, "p1" version) and 3.1p ("p2" version), and are available through r7481 and r7482 on ECSF respectively. The model code evaluated in this manuscript has been provided for anonymous review by the topical editor and anonymous reviewers.

The doi of the data used in the analyses and available from ESGF are:

670 EC-Earth3P doi:10.22033/ESGF/CMIP6.2322 EC-Earth3P-HR doi:10.22033/ESGF/CMIP6.2323

Author contributions, RH, MA, PAB, LPC, MC, SC, PD, FB, JG-G, TK, VM, TvN, FMP, MR, PLS, MvW, KW contributed to the text and the analyses. All authors contributed to the design of the experiment, model development, simulations and post-processing of the data.

Competing interests, the authors declare that they have no competing interests.

Financial support,

680 The authors acknowledge funding received from the European Commission under Grant Agreement 641727 of the Horizon 2020 research programme.

The research leading to these results has received funding from the EU H2020 Framework Programme under grant agreement n° 748750.

685

675

This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement INADEC No 800154.

This project has received funding from the European Union's Horizon 2020 research and innovation programmeunder the Marie Skłodowska-Curie COFUND grant agreement No. 754433.

The EC-EARTH simulations from SMHI were performed on resources provided by the Swedish National Infrastructure for Computing (SNIC). The EC-EARTH simulations from BSC were performed on resources provided by ECMWF and the Partnership for Advanced Computing in Europe (PRACE; MareNostrum, Spain).

695

FMP and JG-S were partially supported by the Spanish GRAVITOCAST project (ERC2018-092835) and 'Ramón y Cajal' programme (RYC-2016-21181), respectively; whereas MR was supported by a 'Beca de col·laboració amb la Universitat de Barcelona' (2019.4.FFIS.1).

700 The EC-EARTH simulations from CNR were performed on resources provided by CINECA and ECMWF (special projects SPITDAVI and SPITMAVI).

The EC-EARTH simulations from KNMI were partly performed on resources provided by ECMWF (special project SPNLHAAR).

References

Acosta, M. C., Yepes-Arbós, X., Valcke, S., Maisonnave, E., Serradell, K., Mula-Valls, O. and Doblas-Reyes, F. J.: Performance analysis of EC-Earth 3.2: Coupling, BSC-CES Technical Memorandum 2016-006, 38 pp., 2016.

Adler, R. F., et al.: The version-2 global precipitation climatology project (gpcp) monthly precipitation analysis (1979-present), J. Hydrometeorol., 4(6), 1147-1167, 2003.

Ayarzagüena, B., Palmeiro, F. M., Barriopedro, D., Calvo, N., Langematz, U., and Shibata, K.: On the 715 representation of major stratospheric warmings in reanalyses. Atmospheric Chemistry and Physics, 19, 9469-9484, 2019.

Balsamo, G., Beljaars, A., Scipal, K., Viterbo, P., van den Hurk, B., Hirschi, M., and Betts, A. K.: A revised hydrology for the ECMWF model: Verification from field site to terrestrial waterstorage and impact in the Integrated Forecast System, J. Hydrom-eteorol., 10, 623-643, 2009.

720 Batté, L., and Doblas-Reyes, F. J.: Stochastic atmospheric perturbations in the EC-Earth3 global coupled model: Impact of SPPT on seasonal forecast quality. Climate dynamics, 45(11-12), 3419-3439, 2015.

Barcelona Supercomputing Center. BSC performance tools. https://tools.bsc.es/, 2016.

Bellprat, O., Massonnet, F., García-Serrano, J., Fučkar, N. S., Guemas, V., and Doblas-Reyes, F. J.: The role of Arctic sea ice and sea surface temperatures on the cold 2015 February over North America. In Explaining Extreme Events of 2015 from a Climate Perspective, Bulletin of American Meteorological Society, 97, S36-S41, doi:10.1175/BAMS-D-16-0159.1, 2016.

Branstator, G.: Circumglobal teleconnections, the jet stream waveguide, and the North Atlantic Oscillation. Journal of Climate, 15, 1893-1910, 2002.

730

725

Brodeau, L., and Koenigk, T.: Extinction of the northern oceanic deep convection in an ensemble of climate model simulations of the 20th and 21st centuries. Climate Dynamics, 46, 2863-2882, 2016.

Caron, L.-P., Jones, C. J., and Doblas-Reyes, F. J.: Multi-year prediction skill of Atlantic hurricane activity in 735 CMIP5 decadal hindcasts. Climate Dynamics, 42, 2675-2690. doi:10.1007/s00382-013-1773-1, 2014.

Cheng, W., Chiang, J. C., and Zhang, D.: Atlantic meridional overturning circulation (AMOC) in CMIP5 models: RCP and historical simulations. Journal of Climate, 26(18), 7187-7197, 2013.

740 Craig, A., Valcke, S., and Coquart, L.: Development and performance of a new version of the OASIS coupler, OASIS3-MCT_3. 0. Geoscientific Model Development, 10(9), 3297-3308, 2017.

Davini, P., von Hardenberg, J., and Corti, S.: Tropical origin for the impacts of the Atlantic Multidecadal Variability on the Euro-Atlantic climate. Environmental Research Letters, 10(9), 094010, 2015.

745

Dawson, A., Palmer, T. N., and Corti, S.: Simulating regime structures in weather and climate prediction models. Geophysical Research Letters, 39(21), 2012.

Dee, D. P., et al.: The era-interim reanalysis: Configuration and performance of the data assimilation system, Q.
J. R. Meteorol. Soc., 137(656), 553–597, doi:10.1002/qj.828, 2011.

Doblas-Reyes, F.J., Andreu-Burillo, I., Chikamoto, Y., García-Serrano, J., Guemas, V., Kimoto, M., Mochizuki, T., Rodrigues L. R. L., and G.J. van Oldenborgh, G. J.: Initialized near-term regional climate change prediction, Nature communications, 4(1), 1-9, 2013.

755

760

765

Doescher et al. EC-Earth3 (In preparation), 2020.

Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., and Taylor, K. E.: Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organization, Geosci. Model Dev., 9, 1937-1958, doi:10.5194/gmd-9-1937-2016, 2016.

Fabiano, F., Christensen, H.M., Strommen, K., Athanasiadis, P., Baker, A., Schiemann, R., and Corti, S.: Euro-Atlantic weather Regimes in the PRIMAVERA coupled climate simulations: impact of resolution and mean state biases on model performance. Climate Dynamics, 5031–5048, <u>https://doi.org/10.1007/s00382-020-05271-w</u>, 2020.

García-Serrano, J., and Haarsma, R. J.: Non-annular, hemispheric signature of the winter North Atlantic Oscillation. Climate Dynamics, 48, 3659-3670, 2017.

770 Guemas, V., Doblas-Reyes, F. J., Andreu-Burillo, I. and Asif, M.: Retrospective prediction of the global warming slowdown in the past decade. Nature Climate Change, 3, 649-653, doi:10.1038/nclimate1863, 2013.

Guemas, V, García-Serrano, J., Mariotti, A., Doblas-Reyes, F. J. and Caron, L.-P.: Prospects for decadal climate prediction in the Mediterranean region. Q.J.R. Meteorol. Soc., 687, 580-597, doi:10.1002./qj.2379, 2015.

775

Good, S. A., Martin, M. J., and Rayner, N. A.: EN4: Quality controlled ocean temperature and salinity profiles and monthly objective analyses with uncertainty estimates, J. Geophys. Res., 118, 6704–6716, doi:10.1002/2013JC009067, 2013.

780 Guilyardi, E., Wittenberg, A., Fedorov, A., Collins, M., Wang, C., Capotondi, A., van Oldenborgh, G. J., and Stockdale, T.: Understanding El Niño in ocean-atmosphere general circulation models. Bulletin of the American Meteorological Society, .90, 325-340, 2009. Haarsma, R. J., Roberts, M. J., Vidale, P. L., Senior, C. A., Bellucci, A., Bao, Q., ... & von Hardenberg, J. High
resolution model intercomparison project (HighResMIP v1. 0) for CMIP6. Geoscientific Model Development, 9(1), 4185-4208, 2016.

Haarsma, R. J., García-Serrano, J., Prodhomme, C., Bellprat, O., Davini, P., and Drijfhout, S.: Sensitivity of winter North Atlantic-European climate to resolved atmosphere and ocean dynamics. Scientific Reports, 9, 13358, 2019.

790

Hazeleger, W., Severijns, C., Semmler, T., Ștefănescu, S., Yang, S., Wang, X., ... and Bougeault, P.: EC-Earth: a seamless earth-system prediction approach in action. Bulletin of the American Meteorological Society, 91(10), 1357-1364, 2010.

795 Hazeleger, W., Wang, X., Severijns, C., Ştefănescu, S., Bintanja, R., Sterl, A., ... and Van Noije, T.: EC-Earth V2.2: description and validation of a new seamless earth system prediction model. Climate dynamics, 39(11), 2611-2629, 2012.

Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., ... & Simmons, A.: The
 ERA5 global reanalysis, Quarterly Journal of the Royal Meteorological Society <u>https://doi.org/10.1002/qj.3803</u>, 2020.

IPCC, 2013: Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change /Stocker, T.F., D. Qin, G.-K. Plattner, M.

805 Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P.M. Midgley (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, 1535 pp, doi:10.1017/CBO9781107415324, 2013.

Kennedy, J., Titchner, H., Rayner, N., Roberts, M.: Input4mips.MOHC.sstsandseaice.highresmip.MOHC-hadisst-2-2-0-0.Earth System Grid Federation. <u>https://doi.org/10.22033/ESGF/input4mips.1221, 2017.</u>

810

Klaver, R., Haarsma, R., Vidale, P. L., and Hazeleger, W.: Effective resolution in high resolution global atmospheric models for climate studies. Atmospheric Science Letters, 21(4), <u>https://doi.org/10.1002/asl.952</u> 2020.

Koenigk, T., Brodeau, L., Graversen, R. G., Karlsson, J., Svensson, G., Tjernström, M., Willén, U, and Wyser,
 K.: Arctic climate change in 21st century CMIP5 simulations with EC-Earth. Climate Dynamics, 40, 2719-2743, 2013.

Koenigk, T., and Brodeau, L.: Ocean heat transport into the Arctic in the twentieth and twenty-first century inEC-Earth. Climate Dynamics, 42, 3101-3120, 2014.

Koenigk, T., and Brodeau, L.: Arctic climate and its interaction with lower latitudes under different levels of anthropogenic warming in a global coupled climate model. Climate Dynamics, 49, 471-492., 2017.

825 Madec, G.: NEMO reference manual, ocean dynamic component: NEMO-OPA. Note du Pôle modélisation, Inst. Pierre Simon Laplace, Fr., 2008.

Madec and the NEMO team: NEMO ocean engine version 3.6 stable. Note du Pôle de modélisation de l'Institut Pierre-Simon Laplace No 27, ISSN No 1288-1619, 2016.

830

Myriokefalitakis, S., Daskalakis, N., Gkouvousis, A., Hilboll, A., van Noije, T., Williams, J. E., Le Sager, P., Huijnen, V., Houweling, S., Bergman, T., Nüß, J. R., Vrekoussis, M., Kanakidou, M., and Krol, M. C.: Description and evaluation of a detailed gas-phase chemistry scheme in the TM5-MP global chemistry transport model (r112), Geosci. Model Dev. Discuss., <u>https://doi.org/10.5194/gmd-2020-110</u>, in review, 2020.

835

Palmeiro, F. M., Barriopedro, D., García-Herrera, R., and Calvo, N.: Comparing sudden stratospheric warming definitions in reanalysis data. Journal of Climate, 28, 6823-6840, 2015.

Palmeiro, F. M., García-Serrano, J., Bellprat, O., Bretonnière, P. A., and Doblas-Reyes, F. J.: Boreal winter
stratospheric variability in EC-EARTH: high-top vs low-top. Climate Dynamics 54, 3135-3150, 2020a.

Palmeiro, F. M., García-Serrano, J., and Christiansen, B.: Boreal winter stratospheric climatology in EC-EARTH. Climate Dynamics (in preparation)., 2020b.

845 Poli, P., Hersbach, H., Dee, D. P., Berrisford, P., Simmons, A. J., Vitart, F., ... and Trémolet, Y.: ERA-20C: An atmospheric reanalysis of the twentieth century. Journal of Climate, 29(11), 4083-4097, 2016.

Prodhomme, C., Batté, L., Massonnet, F., Davini, P., Bellprat, O., Guemas, V., and Doblas-Reyes, F. J.: Benefits of increasing the model resolution for the seasonal forecast quality in EC-Earth. Journal of Climate, 29, 91419162., 2016.

Roberts, M. J., Vidale, P. L., Senior, C., Hewitt, H. T., Bates, C., Berthou, S., ... and Griffies, S. M.: The Benefits of Global High Resolution for Climate Simulation: Process Understanding and the Enabling of Stakeholder Decisions at the Regional Scale. Bulletin of the American Meteorological Society, 99(11), 2341-2359, 2018.

855

Roberts, M., Camp, J., Seddon, J., Vidale, P. L., Hodges, K., Vanniere. B., Mecking, J., Haarsma, R., Bellucci, A., Scoccimarro, E., Caron, L.-P., Chauvin, F., Terray, L., Valcke, S., Moine, M.-P., Putrasahan, D., Roberts., C., Senan, R., Zarzycki, C., and Ullrich, P.: Impact of model resolution on tropical cyclone simulation using the HighResMIP-PRIMAVERA multi-model ensemble. J. Climate, *33*(7), 2557-2583, 2020.

860

Rodgers, K. B., Aumont, O., Fletcher, S. M., Plancherel, Y., Bopp, L., de Boyer Montégut, C., Iudocone, D., Keeling, R. F., Madec, G., and Wanninkhof, R.: Strong sensitivity of southern ocean carbon uptake and nutrient cycling to wind stirring. Biogeosciences, 11 (15), 4077–4098, 2014. doi:10.

5194/bg-11-4077-2014, 2014.

865

870

Smeed, D., Moat, B., Rayner, D., Johns, W. E., Baringer, M. O., Volkov, D., Frajka-Williams E.: Atlantic meridional overturning circulation observed by the RAPID-MOCHA-WBTS (RAPID-Meridional Overturning Circulation and Heatflux Array-Western Boundary Time Series) array at 26N from 2004 to 2018. British Oceanographic Data Centre - Natural Environment Research Council, UK. doi: 10.5285/8cd7e7bb-9a20-05d8-e053-6c86abc012c2, 2019.

Solaraju Murali, B., Caron, L.-P., González-Reviriego, N., and Doblas-Reyes, F. J.: Multi-year prediction of European summer drought conditions for the agricultural sector. Environ. Res. Lett., 14, 124014 doi: https://doi.org/10.1088/1748-9326/ab5043., 2019.

875

Sterl, A., Bintanja, R., Brodeau, L., Gleeson, E., Koenigk, T., Schmith, T., ..., and Yang, S.: A look at the ocean in the EC-Earth climate model. Climate Dynamics, 39(11), 2631-2657, 2012.

Straus, D. M., Corti, S., and Molteni, F.: Circulation regimes: Chaotic variability versus SST forced predictability.J. Climate, 20, 2251-2272, 2007.

Strommen, K., Mavilia, I., Corti, S., Matsueda, M., Davini, P., von Hardenberg, J., Vidale, P.-L., and Mizuta, R.: The Sensitivity of Euro-Atlantic Regimes to Model Horizontal Resolution. Geophysical research letters, 46, 7810-7818, 2019.

885

Tintó Prims O., Castrillo, M., Acosta, M.C., Mula-Valls, O., Sanchez Lorente, A., Serradell, K., Cortés A., and Doblas-Reyes F. J.: Finding, analysing and solving MPI communication bottlenecks in Earth System models. Journal of Computational Sciences, doi:10.1016/j.jocs.2018.04.015, 2019.

890 Tintó, O., Acosta, M., Castrillo, M., Cortés, A., Sanchez, A., Serradell, K., and, Doblas-Reyes, F. J.: Optimizing domain decomposition in an ocean model: the case of NEMO. Procedia Computer Science, 108, 776-785, 2017.

Tsartsali, E., R., Haarsma, R. J., and de Vries, H.: Air-sea interaction in the Gulf stream region simulated by the HighResMIP-PRIMAVERA multi-model ensemble, in preparation, 2020.

895

Valcke, S., and Morel, T.: OASIS and PALM, the CERFACS couplers. Tech. rep., CERFACS, 2006.

Vancoppenolle, M., Bouillon, S., Fichefet, T., Goosse, H., Lecomte, O., Morales Maqueda, M. A., and Madec, G.: The Louvain-la-Neuve sea ice model. *Notes du* pole de modélisation, Institut Pierre-Simon Laplace (IPSL), Paris, France, (31), 2012.

van Noije, T.P.C., Le Sager, P., Segers, A. J., van Velthoven, P. F. J., Krol, M. C., Hazeleger, W., Williams, A. G., and Chambers, S. D.: Simulation of tropospheric chemistry and aerosols with the climate model EC-Earth, Geosci. Model Dev., 7, 2435–2475, https://doi.org/10.5194/gmd-7-2435-2014, 2014.

Yang, C., Christensen, H.M., Corti, S., von Hardenberg, J., and Davini, P.: The impact of stochastic physics on the El Niño Southern Oscillation in the EC-Earth coupled model. Climate Dynamics, 53, 2843-2859, 2019.

Zhang, W. and Jin, F.-F.: Improvements in the CMIP5 simulations of the ENSO-SSTA meridional width.Geophysical Research Letters, 39, L23704, doi:10.1029/2012GL053588, 2012.

Forcing	Dataset	Version
Solar	http://solarisheppa.geomar.de/solar isheppa/c mip6	3.1
Well-mixed GHG concentrations	CMIP6_histo_mole_fraction_of_X XX_in_air_input4MIPs_gr1- GMNHSH.nc from input4mips with XXX being carbon_dioxide, cfc11eq, cfc12, methane or nitrous_oxide	1.2.0
Tropospheric aerosols	Anthropogenic part: MACv2.0- SP_v1.nc Pre-industrial part: based on TM5	2.0
Stratospheric aerosols	Simplified approach. CMIP6 stratospheric AOD at 550 nm, vertically integrated	2.1.0
Ozone	vmro3_input4MIPs_ozone_CMIP 6_UReading-CCMI from input4mips	1.0
Vegetation	Present day climatology. Vegetation type and cover from ERA-Interim. Albedo and LAI derived from MODIS. Same procedure as used for ERA-20C	
AMIP SST + SIC	HadISST2 from input4mips	2.2.0.0.

 Table 1 CMIP6 forcing details.

	highresSST- present	highresSST- future	control-1950	hist-1950	highres-future
EC-	3 members:	3 members:	4 members:	4 members:	4 members:
Earth3P-	r1i1p1f1	r1i1p1f1	rli1p1f1	r1i1p1f1	r1i1p1f1
HR	r2i1p1f1	r2i1p1f1	r1i1p2f1	r1i1p2f1	r1i1p2f1
	r3i1p1f1	r3i1p1f1	r2i1p2f1	r2i1p2f1	r2i1p2f1
			r3i1p2f1	r3i1p2f1	r3i1p2f1
	3 members:	3 members:	4 members:	4 members:	4 members:
EC-Earth3P	r1i1p1f1	r1i1p1f1	r1i1p1f1	r1i1p1f1	r1i1p1f1
	r2i1p1f1	r2i1p1f1	r1i1p2f1	r1i1p2f1	r1i1p2f1
	r3i1p1f1	r3i1p1f1	r2i1p2f1	r2i1p2f1	r2i1p2f1
			r3i1p2f1	r3i1p2f1	r3i1p2f1

Table 2 Overview of the HighResMIP simulations of EC-Earth3P-HR and EC-Earth3P.

955

	ERA	EC-Earth-3P	EC-Earth3P-HR
RMS (mean)	/	18 +/- 8 m	22 +/- 8 m
Patt. corr. (mean)	/	0.90 +/- 0.08	0.86 +/- 0.11
Av. persistence (NAO +)	6.1 days	5.4 +/- 0.2 days	5.7 +/- 0.5 days
Av. persistence (NAO –)	7.0 days	6.0 +/- 0.2 days	5.5 +/- 0.3 days
Optimal ratio	0.841	0.759 +/- 0.010	0.771 +/- 0.007
Significance (30 yr)	95.6	80.2 +/- 6.0	82.3 +/- 0.4

960 Table 3 Some metrics to assess the overall performance in *hist-1950* of the EC-Earth3P and EC-Earth3P-HR simulations in terms of weather regimes. The table shows: the average RMS deviation from the observed patterns and the relative average pattern correlation among all regimes; the average persistence of the two NAO states in days; the optimal ratio and the sharpness. The errors refer to the spread between members (standard deviation).



Figure 1 NEMO (red) and IFS (blue) scalability in EC-Earth3P-HR. The throughput is expressed in simulated years per day (SYPD) of wall clock time. The tests have been performed on the MareNostrum4 computer at the Barcelona Computing Centre with full output and samples of five one-month runs for each processor combination, the average of which is shown in the figure. The horizontal axis corresponds to the number of cores used.



975 Figure 2 As Fig. 1 but now for the scalability of the fully coupled EC-Earth3P-HR. The blue diagonal indicates perfect scalability.



Figure 3 (a) Paraver view of the NEMO and IFS components in an EC-Earth3P-HR model execution for two time steps including the coupling process. The horizontal lines give the behaviour of the different processes (1 to 512 for IFS and 513 to 536 for NEMO) as a function of time. Each colour corresponds to a different MPI communication function. See text for explanation. (b) as (a), but now when optimization options "opt" and "gathering" for coupling are activated.



Figure 4 Domain decomposition of a tripolar grid of the ORCA family with a resolution of a of degree into 128 subdomains (16 x 8). Subdomains marked with a black dot do not contain any ocean grid point.



Figure 5 Schematic representation of the HighResMIP simulations.



1025

Figure 6 SAT: Bias [°C] of EC-Earth3P-HR with respect to ERA5 for the period 1979-2014. (a) DJF, (b) JJA. Global mean of SAT for EC-Earth3P-HR are 11.01 (DJF); 15.85 (JJA), and for ERA5 12.43 (DJF); 15.95 (JJA). RMSE of EC-Earth3P-HR with respect to ERA5 are 1.25 (DJF); 1.06 (JJA).

1040

1045



Figure 7 MSLP: Bias [hPa] of EC-Earth3P-HR with respect to ERA5 for the period 1979-2014. (a) DJF, (b) JJA.

Global mean of MSLP for EC-Earth3P-HR are 1011.3 (DJF); 1009.4 (JJA), and for ERA5 1011.53 (DJF); 1011.24 (JJA). RMSE of EC-Earth3P-HR with respect to ERA5 are 1.11 (DJF); 1.27 (JJA).



Figure 8 Precipitation and bias of EC-Earth3P-HR with respect to GPCP [mm/day] for the period 1979-2014. (a), (c) DJF, (b), (d) JJA.

1050 Global mean of precipitation for EC-Earth3P-HR are 2.91 (DJF); 3.25 (JJA), and for ERA5 2.70 (DJF); 2.71 (JJA).

RMSE of EC-Earth3P-HR with respect to ERA5 are 1.06 (DJF); 1.44 (JJA).

1055

Figure 9 (a) Stationary eddy component (departure from zonal mean) of EC-Earth3P-HR of the 500-hPa geopotential height (m) in boreal winter; (b) the difference with ERA5. Note the difference in color scale between the two panels.

1065

Figure 10 Differences between EC-Earth3P-HR and EC-Eart3P for SAT [°C] (upper row), MSLP [hPa] (middle row) and precipitation [mm day-1] (bottom row), for DJF (left panels) and JJA (right panels).

Figure 11 Left: Global mean averaged annual SAT [°C] in *control-1950* for the three members of EC-Earth3P
 (red colors) and EC-Earth3P-HR (grey colors). Right: Global mean averaged net surface heat flux [Wm-2] in *control-1950* of EC-Earth3P (red) and EC-Earth3P-HR (black), displayed only for one member (r1i1p2f1) of each model for clarity; other members display similar behavior.

Figure 12 Ensemble mean SAT [°C] of the averaged last 10 years (2040-2049) minus the averaged first 10 year (1950-1959) of the *control-1950* simulations of EC-Earth3P.

Figure 13 Monthly standard deviation of the Niño3.4 SST index: EC-Earth3P (red) EC-Earth3P-HR (blue) from *control-1950*, and detrended HadISST over 1900-2010 (black).

Figure 14 Top: Boreal winter SST standard deviation from *control-1950* in EC-Earth3P (a), EC-Earth3P-HR (b), and detrended HadISST (c); overplotted with contours are the corresponding climatology (c.i. 2°C). Bottom: Regression of SST anomalies onto the Niño3.4 index from *control-1950* in EC-Earth3P (d), EC-Earth3P-HR (e), and detrended HadISST (f), exempleted with contours are the corresponding climatology (c.i. 2°C).

Figure 14 *bis*: Difference in SST standard deviation between EC-Earth3P-HR (Fig. 14b) and EC-Earth3P (Fig. 14a).

1130 Figure 15

Bottom: Leading EOF of winter SLP anomalies over the North Atlantic-European region 20°N-90°N/90°W-40E from *control-1950* in EC-Earth3P (d), EC-Earth3P-HR (e), and detrended ERA-Interim (f); the corresponding fraction of explained variance is indicated in the title. Top: Regression of 500hPa geopotential height anomalies from *control-1950* in EC-Earth3P (a), EC-Earth3P-HR (b), and detrended ERA-Interim (c) onto the corresponding leading principal component, i.e. NAO index.

1140

Figure 16 Seasonal distribution of SSWs per decade in a [-10, 10]-day window around the SSW date for ERA-Interim (black), EC-Earth3P (red) and EC-Earth3P-HR (blue) from *control-1950*. Time-series are smoothed with a 11-day running-mean. The total decadal frequency of SSWs is indicated in brackets.

1145

Figure 17 Time series of the annual AMOC index for the *control-1950* (left) and *hist-1950* (middle) runs. Solid lines display the ensemble mean for the EC-Earth3P (red) and EC-EarthP-HR (black). Shaded areas represent the dispersion due to the ensemble members. Black stars in the middle panel displays values of RAPID data. Right: Mean ensemble difference between *hist-1950* and *control-1950* for Earth3P (red) and EC-Earth3P-HR (black).

Figure 18 Global mean averaged annual SAT [°C] in *hist-1950* (left) for the three members of EC-Earth3P (red colors) and EC-Earth3P-HR (grey colors). Right: Mean ensemble difference between *hist-1950* and *control-1950* for EC-Earth3P (red) and EC-Earth3P-HR (black). ERA5 is indicated by the green curves. For the right plot it is scaled so that the starting point fits with the EC-Earth curves.

Figure 19 Observed cluster patterns for ERA (top), simulated cluster patterns in hist-1950 for EC-Earth3P (middle) and EC-Earth3P-HR (bottom). The frequency of occurrence of each regime is shown above each subplot.