

## Authors Response to Reviews

We would like to thank both reviewers for their insightful and constructive feedback on the manuscript. We have addressed all comments below and in an updated version of the text.

### 5 **Response to referee #1**

*This paper describes the detailed uncertainties in atmospheric CO<sub>2</sub> estimates based on inversions from a particular ECMWF weather prediction model. This type of uncertainty analysis of model products is important and useful. The model experiment setup is well conceived and the results may help in the uncertainty analysis of CO<sub>2</sub> inversions from other models and meteorology. The main issue I have with the paper is in some of the results discussion that is too heavy on citing numbers and some figures that need to be made more clear and have more discussion of their specific features. Specific comments are listed below. In general, the topic of this paper is appropriate for Geoscientific Model Development and I recommend publication provided the results discussion and figures can be made more focused and easily readable.*

We would like to thank the reviewer for their comments, we acknowledge that the discussion numbers are at times too heavy and the explanation of the figures is sometimes lacking. We have addressed these issues within the text through our responses to each comment listed below.

*Lines 37 and 272: ‘typically’ instead of ‘typical’*

This has now been updated in the text.

*Lines 60-64: This paragraph (“Here, we investigate. . .”) seems like it should be in the abstract. I understood better and more quickly what you are doing in the paper after reading this paragraph compared to any part of the abstract. You might consider removing some of the specific numbers and results in the abstract and replacing it with this paragraph and perhaps also some of the sentences in lines 103-107.*

We agree this section would be useful in the abstract. We have updated the abstract using some text from the suggested parts of the main body.

*Section 4.1: This section is a bit hard to read. I got bogged down with all of the citing of error numbers, trying to find the quoted errors on the plots and looking for descriptions of some of the details of Figures 1 and 2. Maybe the monthly mean errors could be put in a table so they don’t have to be listed in the text? The acronyms aren’t always consistent between the labeling on the Figures and in the text. The eight different model experiments and acronyms are a lot to keep track of so consistency and repetition helps the reader.*

We agree that this section was messy, we have made the suggested edits by removing values from the text and placing them into a new table (now table 2). We have also ensured consistent labelling between the figures and text.

*Figure 1: I understand each of the colored lines represent individual ensemble members but I was initially trying to figure out if there was any information in the red lines vs. the blue or green lines since that’s generally the case in line plots with multiple colors. An alternative coloring scheme that would seem to emphasize the main point of the figure and connect it better to Fig. 2 is to color all of the ensemble members the same shade of gray or some other color, then add an average of the 50 ensembles*

35 *with a thicker line and darker color along with the standard error at each time as whiskers on the mean. The standard error whiskers would then correspond to the lines on Fig. 2 for each model case. It would be helpful to label the plots using the same acronyms as in Table 1 and Figures 2 and 3. What are the numbers at the bottom of each plot? I don't see any mention of them in the caption or text.*

We agree Figure 1 was inconsistent with the other figures and have updated the labelling, so that it fits better with both the text and other figures. We have also adopted an alternative colour scheme as suggest where the solid black line represents the mean and each member is a lighter grey line. We have now also explained the values given in the plots.

*Figure 2: It's hard to differentiate between the darker colors in the top row of plots. Does  $IME+PME=TME$ ?*

We have now adjusted the colours slightly so the dark purple and blue are both lighter to provide more contrast.  $IME + PME$  does equal  $TME$  for the purpose of the experimental setup, however the standard error when the two are combined is not necessarily equal to the sum of the two added.

*Figure 3 and lines 267-71: The maximum values mentioned in the text are much larger than the color scale on Figure 3. Maybe a logarithmic scale would be better?*

We agree that the scale was not appropriate and have updated the figure with a logarithmic scale as suggested.

## 50 **Response to referee #2**

*Overall the manuscript is very well written, with few technical corrections necessary. Some details are skipped over without thorough explanation, however. The figures are generally quite clear and well chosen, although some further detail needs to be provided for some of them. The methods and models used within the manuscript are appropriate for such a study, and the study overall provides some idea of the size of model transport errors relative to emission errors for the two periods studied.*

55 *The methods present a smart, if computationally-intensive, blueprint for other modellers to estimate model errors in a CCM setting.*

*I have few reservations regarding the publication of this manuscript in GMD, subject to some relatively minor changes, detailed below. Once these are fixed, I suggest that this paper is suitable for publication in this journal.*

We would like to thank the reviewer for their comments, we acknowledge that some details were lacking. We have addressed these issues within the text through our responses to each comment listed below.

*Page 6, paragraph beginning at line 160: I gather that descriptions of the emission uncertainty experiments EXP, PEM and PEA are given in a forthcoming manuscript, and briefly here in Table 1. However, an extra sentence or two to briefly describe them, and the general differences between annual and monthly error, would be useful here. I later understood these experiments through context.*

65 We agree the details are lacking within the manuscript and they will indeed be given in an upcoming manuscript submitted to ESSD. We agree that more information regarding the derivation of uncertainties is required and have updated the text to include more details.

*Page 8, line 199: To be clear, the two day spin-up is the 1st and 2nd of each month, included in some of the figures, and not the 30th and 31st of the previous month? Please make this clear in the text.*

70 We agree this is a point that should be clarified in the text and have updated the text to include the spin-up is for the 1<sup>st</sup> and 2<sup>nd</sup> of the respective month.

*Figure 1: What do the numbers in these panels represent? If they represent model spread, what times do they represent?*

We have updated the caption to include a description of the values as commented on by referee #1. Values are model spread for different times.

75 *Figure 2: Some comment on the fact that PEM is sometimes greater than EXP, and what this means, should be included in the main text.*

We thank the referee for their feedback on this and are unsure what is causing this. We have added in a couple of hypothesis within the text that the larger error seen for brief periods in PEM over Caltech may either be due to spurious noise from the small ensemble size or by compensating errors from other sources e.g transport/biogenic causing a reduction in the total error.

80 *Page 9, line 245: When discussing July, only one S/N ratio is provided. I assume this is the monthly version? This should be stated. This is also true in Figure S2.*

This is indeed the monthly version of the S/N ratio, we have updated the text to state this.

*Page 10, line 263: The 24-hour period is January 1st (which should be stated). My understanding is that this date is discarded as spin-up when deriving monthly uncertainties. Would it be better to show a later date here and in Figure 3?*

85 We agree that using the first 24 hours as depicted in Figure 3 is not suitable, we have updated the figure for a 24 hour period on day 5.

*Page 10, line 283: In this section, the global (and monthly?) average error is often provided. I'm not sure whether this is a useful diagnostic since the error is very heterogeneous for the flux error cases. More helpful would be to provide values for a few of the affected regions, as is done for the transport error case from line 296. This would allow the reader to compare the biogenic and transport errors over the Amazon, for example.*

90 We agree that the global average is not a very useful diagnostic that is why we now focus the results on specific sites, this is shown for both the three TCCON sites but also the emission hotspots from table 3 and 4. We have included the comment that the global values are heterogenous and emphasised hotspots are where the signal is most detectable.

*Page 11, line 305: This exact sentence is already included earlier and I assume that it has been moved?*

95 This has now been removed from the text.

*Page 13, line 324: What do you mean by 'as a first guess'?*

We agree this is an ambiguous statement with the intention that this is an early stage approach to investigating ensemble sizes and further studies may want to consider more sophisticated approaches. For clarity we have removed this phrase from the text.

100 *Page 14, lines 346-351: I find these lines a little confusing, and they could be more clearly rewritten. I think you're saying that non-spurious error correlations can be found at varying - and surprising large - distances from a particular grid cell, and*

*that using predefined localisation scales could remove error correlation information? It should be mentioned that this is difficult to account for in real inversions without thorough prior assessment of model output, isn't it? Also, how do you define whether correlations are 'part of the spatial extent of the plume'?*

105 We agree this section is somewhat confusing, we have re-written parts of the text to clarify the key points. We have also emphasised the difficulty of using full flow-dependent error structures in offline inversions and highlighted that simplification may be required. We have detailed the spatial extent as being a length along a direction from the location which has error correlations continuously above  $e^{-0.5}$ .

*Page 15, line 355: How do you assess what is 'downwind'? Through analysis of the model winds? Or inspection of the plume?*

110 *Is it instantaneous or averaged?*

We define downwind as being along the plume direction, we checked this with wind direction and it was found to be typically the same direction, therefore we have kept the term downwind to encompass both the plume and wind direction. The direction is instantaneous at the model output time, we have updated the text to clarify this.

*Abstract and line 431: You should clarify how your results can be used in future offline studies by the inversion community.*

115 *Are you suggesting that modellers use the diagnosed transport errors derived here to drive their own offline inversions? Is the intention to produce these fields for periods other than January and July 2015?*

We agree and an aim for the study is that the transport errors can be used by the wider inverse modelling community. We have updated the text to state global standard errors are available upon request for the total column mixing ratios at 3 hourly intervals for 2015 and both total column and surface errors at hourly intervals for January and July 2015. Although not expressly stated  
120 other errors reported in the study can be made available upon request.

In addition to this we have created a Zenodo online dataset for the data with a doi and added this information into the data availability section.

*Page 1, line 8: "prior flux, a forward model" rather than "prior flux, forward model".*

*Page 5, line 146: Remove comma after '(TME)'.*

125 *Page 6, line 173: comma after 'principle'.*

All the above are now corrected.

# Representing Model Uncertainty for Global Atmospheric CO<sub>2</sub> Flux Inversions Using ECMWF-IFS-46R1

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135 Atmospheric flux inversions use observations of atmospheric CO<sub>2</sub> to provide anthropogenic and biogenic CO<sub>2</sub> flux estimates at a range of spatiotemporal scales. Inversions require prior flux, a forward model and observation errors to estimate posterior fluxes and uncertainties. [Here, we investigate the forward transport error and the associated biogenic feedback in an Earth System Model \(ESM\) context. These errors can occur from uncertainty in the initial meteorology, the analysis fields used or in the advection schemes and physical parameterisation of the model. We also explore the spatiotemporal variability and flow-](#)  
140 [dependent error covariances. We use a numerical weather prediction model to diagnose the global forward model error associated with uncertainties in the initial meteorological state, physical parameterisations and in model biogenic response to meteorological uncertainty.](#) We then compare the error with the atmospheric response to uncertainty in the prior anthropogenic emissions. Although transport errors are variable, average total column CO<sub>2</sub> (XCO<sub>2</sub>) transport errors over anthropogenic emission hotspots (0.1-0.8 ppm) are comparable to, and often exceed prior monthly anthropogenic flux uncertainties projected  
145 onto the same space (0.1-1.4 ppm). Average near-surface transport errors at 3 sites (Paris, Caltech and Tsukuba) range from 1.7-7.2 ppm. The global average XCO<sub>2</sub> transport error standard deviation plateaus at ~0.1 ppm after 2-3 days, after which atmospheric mixing significantly dampens the concentration gradients. Error correlations are found to be highly flow-dependent, with XCO<sub>2</sub> spatiotemporal correlation length scales ranging from 0 km to 700 km and 0 to 260 minutes. Globally, the average model error caused by the biogenic response to atmospheric meteorological uncertainties is small (<0.01 ppm);  
150 however, this increases over high flux regions and is seasonally dependent (e.g Amazon January/July: 0.24±0.18 ppm/0.13±0.07 ppm). In general, flux hotspots are well correlated with model transport errors. Our model error estimates, combined with the atmospheric response to anthropogenic flux uncertainty, are validated against 3 TCCON XCO<sub>2</sub> sites. Results indicate our model and flux uncertainty accounts for 21-65% of the total uncertainty. The remaining uncertainty originates from additional sources, such as observation, numerical and representation errors, and structural errors in the  
155 biogenic model. An underrepresentation of transport and flux uncertainties could also contribute to the remaining uncertainty. Our quantification of CO<sub>2</sub> transport error can be used to help derive accurate posterior fluxes and error reductions in future

inversion systems. The model uncertainty diagnosed here can be used in varying degrees of complexity and with different modelling techniques by the inversion community.

## 1 Introduction

160 Since 1750 global atmospheric CO<sub>2</sub> concentrations have increased from 277 ppm (Joos and Spahni, 2008), to 2019 values of  
410 ppm (Dlugokencky and Tans, 2019). The initial growth in CO<sub>2</sub> was primarily caused by land-use change and then  
subsequently more by fossil fuel sources. The budget contribution from anthropogenic sources along with existing ocean and  
biogenic fluxes is difficult to disentangle, both at short (days) and long (decades) timescales. For example, Le Quéré et al.  
(2018) found a 2008-2017 budget imbalance of 0.5 GtC yr<sup>-1</sup> caused by uncertainties in the fossil fuel emissions, land-use  
165 change, and land/ocean sink.

Atmospheric inversions are often used to estimate both biogenic and anthropogenic CO<sub>2</sub> fluxes at a range of spatial and  
temporal scales (e.g. Gurney *et al.*, 2002; Peylin *et al.*, 2013; Lauvaux *et al.*, 2016). These inversions typically follow a  
Bayesian framework whereby prior information is used in an atmospheric transport model, those fluxes and uncertainties are  
then updated based on comparisons with atmospheric observations. Inversion intercomparison studies show that whilst model  
170 agreement is improving, large differences remain between different inversion systems (Peylin *et al.*, 2013; Le Quéré *et al.*,  
2018; Gaubert *et al.*, 2019). These are caused by a combination of differences in the prior information, transport model and  
observation networks used to constrain the fluxes.

Bayesian CO<sub>2</sub> inversions require a combined knowledge of the prior uncertainty, model transport uncertainty, measurement  
error and representation error to provide an accurate estimation of fluxes (e.g., Engelen et al., 2002). Neglecting these  
175 components of uncertainty imposes a hard constraint on the inversion resulting in unreasonable solutions.

Prior fluxes are typically derived from bottom-up process models and observations. The uncertainty can, in part, be estimated  
by sampling the prior inventory probability distribution function (PDF), perturbing the meteorological data used to force the  
process models, using ancillary information on uncertainty estimates (e.g. national energy statistics) or a combination of these.  
Spatial and temporal prior flux error correlation structures can also be considered (e.g. Wu *et al.*, 2013). The prior uncertainty  
180 is often only applied to the biogenic fluxes, with an assumed perfect knowledge of the anthropogenic flux, although joint  
inversions of both biogenic and anthropogenic fluxes require consideration of uncertainties from both.

The observation uncertainty is independent, relatively small and well-known for *in-situ* observations and the application of  
this uncertainty to an inverse system is straightforward. For satellite observations, spatially coherent biases might influence  
uncertainties (Basu *et al.*, 2018).

185 The representation error consists of two components. Firstly, the internal model component, which relates to the model inversion resolution being lower than that of the forward model (see Engelen *et al.*, 2002 for more details). Secondly, the error that arises from spatiotemporal differences between model and observations, for example a point measurement compared to a model grid box average. This error is expected to reduce as both forward and inverse model resolution increases, and to an extent can be quantified using multi-resolution models (see Agustí-Panareda *et al.*, 2019 for more details).

190 Here, we investigate the forward transport error and the associated biogenic feedback in an Earth System Model (ESM) context. Model transport error is usually larger than the observation error (Stephens *et al.*, 2007; Law *et al.*, 2008) and often consists of simplified assumptions. Depending on the configuration of the forward model, errors can occur from uncertainty in the initial meteorological conditions, the analysis fields used or in the advection schemes and physical parameterisation of the model.

195 Uncertainties in the physical parameterisation of land surface and planetary boundary layer schemes can cause errors in the mixed layer (ML) depth, which can lead to errors in the vertical mixing of CO<sub>2</sub> (Sarrat *et al.*, 2007; Díaz-Isaac *et al.*, 2018). For CO<sub>2</sub>, the biogenic flux exchange at the surface correlates with changes in the ML depth making the issue more complex (Denning *et al.*, 1995). When performing inversions using surface observations, an accurate representation and consideration of any uncertainties in vertical mixing is especially important to avoid biases in estimated fluxes (Yi *et al.*, 2004; Denning *et al.*, 2008; Ahmadov *et al.*, 2009). For aircraft and column observations the errors in the vertical mixing may become less important, for example, Verma *et al.* (2017) found inverse flux estimates from aircraft profiles are not sensitive to errors in the ML depth. Similarly, satellite-based inversions, which retrieve total column CO<sub>2</sub> (XCO<sub>2</sub>), are expected to be less sensitive to vertical mixing errors. However, the issue of sensitivity becomes more complex in this case because the XCO<sub>2</sub> signal is smaller than the ML signal (Basu *et al.*, 2018). In addition to vertical mixing, advection errors associated with horizontal wind can  
200 result in errors up to 6 ppm (Lin and Gerbig 2005).  
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CO<sub>2</sub> inversions are performed using either an online model, with a full physics scheme used to compute the meteorology, or offline, using analysis transport fields. Online inversions are computationally expensive, require access to a numerical weather prediction (NWP) system and, without the benefit of analysed transport fields, are limited by the accuracy of the physical forecast model. There is the added logistical challenge of reconciling the relatively short NWP assimilation window length  
210 (hours to days) with the typically longer CO<sub>2</sub> window length (weeks to years). Typically, online systems have a higher temporal frequency than offline systems, which are limited by the output frequency of the archived analysis fields used. Vertical transport and other sub-grid scale processes, which are missing from the analysis, are computed by offline systems using schemes that are likely to be inconsistent with the original analysis, resulting in further errors (Engelen *et al.*, 2002). Within an online ESM context, biogenic fluxes and surface parameter estimation can be integrated within the inversion system at a  
215 high temporal resolution. The advantages of an online inversion system for the attribution of model uncertainty are investigated here.

Ensembles of transport models are often used to quantify transport uncertainty (e.g. Gurney *et al.*, 2002; Baker *et al.*, 2006; Peylin *et al.*, 2013; Basu *et al.*, 2018). Whilst this represents the variability between models, systematic errors inherent within those models remain unaccounted for. For example, several models within an ensemble may use the same planetary boundary layer scheme, resulting in an unrealistic assumption of transport uncertainty. Ensembles using multiple schemes or resolutions may yield different inverse results (Gaubert *et al.*, 2019), but this does not necessarily mean they provide an accurate representation of transport uncertainty. Alternatively, multi-physics ensembles with perturbed parametrisations provide a representation of transport uncertainty caused by parametric uncertainty during the simulation period (Kretschmer *et al.*, 2012; Lauvaux and Davis, 2014; Díaz-Isaac *et al.*, 2019). The stochastic representation of model uncertainty required for reliable ensemble forecasts has been thoroughly researched within the NWP community (e.g. Leutbecher *et al.*, 2017). The ensemble approach may also consist of models which use forcing data taken from the same analysis product, leading to an underestimate in the uncertainty associated with the initial conditions and meteorological fields. A representation of uncertainties in initial meteorological conditions, boundary conditions (for regional models), forcing data and model physics is required to accurately evaluate transport uncertainty. Numerical uncertainty in models including errors relating to interpolation, diffusion and advection also contribute to transport uncertainty, although these are not investigated in this study. A complementary approach to quantify transport uncertainty is to perform direct comparisons with modelled and observed meteorological variables, as described by Lin and Gerbig (2005).

Here we use an NWP ensemble forecast system, initialised from an ensemble data assimilation (EDA) system, to investigate transport model uncertainty relating to both the uncertainty in the initial meteorological conditions and in the model physics. Furthermore, we explore the spatiotemporal variability and flow-dependent error covariances. We perform preliminary investigations into the biogenic fluxes associated with the meteorological uncertainty, resulting in a more complete model uncertainty. The biogenic feedbacks here do not account for parametrisation and mapping uncertainties. Finally, we investigate the signal-to-noise ratio for a prospective CO<sub>2</sub> flux inversion system by comparing model uncertainties to the atmospheric response to anthropogenic emission uncertainties. The combined XCO<sub>2</sub> error from model uncertainty and anthropogenic flux uncertainty is validated against Total Carbon Observing Network (TCCON) observations. If the model uncertainty is comparable to the model-observation error, as given by a control experiment, then it can be reasoned that the estimated model uncertainty is a relatively accurate estimation of the true model uncertainty. Other errors not accounted for, for example the representation error, would further increase this error towards the true model uncertainty.

## 2. Model Setup

We have used version 46R1 of the Integrated Forecasting System (IFS), operated and licenced by the European Centre for Medium-Range Weather Forecasts (ECMWF). A detailed scientific and technical description of the IFS can be found at <https://www.ecmwf.int/en/forecasts/documentation/evolution-ifs/cycles/summary-cycle-46r1> (last access: 22 September



2019). The IFS primary use is in NWP, although extensions exist for atmospheric CO<sub>2</sub> modelling. We used the Ensemble Prediction System (EPS) component of the Integrated Forecasting System (IFS), detailed in Leutbecher and Palmer (2008), to simulate 3-D atmospheric CO<sub>2</sub> concentrations, given a combination of prescribed and modelled surface fluxes. The EPS is configured to represent both the uncertainty in initial meteorological conditions and in model formulation. The uncertainty in initial conditions were inherited from an operational EDA, where input observations were perturbed with stochastic noise based on a given observation error (Isaksen *et al.*, 2010). In addition to this, both the EPS and EDA use a Stochastically Perturbed Parameterisation Tendencies (SPPT) scheme to represent errors caused by uncertainty in physical parameterisations, including subgrid-scale processes (Buizza *et al.*, 1999; Leutbecher *et al.*, 2017). Different from the operational configuration of the EPS we start the ensemble members directly from the EDA members instead of adding perturbations to the deterministic analysis. Furthermore, we do not apply singular vector perturbations to the initial conditions.

All simulations were performed globally for January and July 2015 with 137 vertical levels and at ~25km horizontal resolution (TCO399). Instantaneous 3-D model CO<sub>2</sub> fields and biogenic fluxes calculated online by CTESSEL, the land surface component of the IFS (Boussetta *et al.*, 2013; Agustí-Panareda *et al.*, 2014; Agustí-Panareda *et al.*, 2016), were output at hourly frequency. The uncertainty in each simulation is represented by the standard error of a 50-member ensemble, the sampling error resulting from the ensemble size is discussed in the following sections. The 3-D CO<sub>2</sub> fields for all ensemble members were initialised using the ECMWF operational product, which is provided under the Copernicus Atmosphere Monitoring Service (Agustí-Panareda *et al.*, 2019). Each month-long ensemble member is comprised of 24-hour forecasts reinitialised from the operational EDA, with the 3-D CO<sub>2</sub> field cycled from the last timestep of the previous forecast. As a result, on the first day of the month the ensemble does not include a representation of the initial atmospheric 3-D CO<sub>2</sub> uncertainty; however, the error in initial CO<sub>2</sub> concentrations for each forecast is established within the ensemble after a few days. To account for this the first 2 days are discarded from all monthly values provided.

Multiple experiments were performed to identify specific contributions to the total ESM uncertainty. Perturbing the initial conditions, model physics and the meteorologically dependent biogenic flux, provides a representation of model uncertainty, hereafter, this simulation is referred to as FME. Individually, the uncertainties associated with the initial conditions (IME), the model physics (PME) and the biogenic response to uncertainty in meteorological forcing (BME), were investigated by performing ensemble simulations where only the target component was perturbed. It is important to note that the biogenic uncertainty shown here only represents the biogenic feedback to uncertainties in meteorology and not mapping or process uncertainty inherent within the model. A simulation, where both the initial meteorological conditions and model physics were perturbed (TME), represents the transport model uncertainty by using offline biogenic emissions from a control experiment. Hereafter, transport model uncertainty is defined as the uncertainty associated with the initial conditions and model physics during the integration, which is typically simplified in inverse modelling studies and model uncertainty includes uncertainty

in biogenic fluxes associated with meteorological uncertainty. The biogenic response to errors in the forcing is estimated using  
280 the member specific biogenic fluxes from TME as offline fluxes in BME.

Offline biogenic emissions were broadly consistent with online biogenic emissions in that they were generated using CTESSEL, the only difference is in the frequency. The online biogenic emissions were applied at model timestep frequency (20 minutes), whereas the offline biogenic emissions were input at 3-hour intervals and interpolated across each timestep. Unless otherwise stated offline biogenic emissions were generated using a control forecast. Offline monthly anthropogenic  
285 emissions were generated using EDGAR v4.3.2 (Janssens-Maenhout *et al.*, 2019), extended to 2015 with monthly scaling factors derived from 2010. These were regridded to the model grid from a native  $0.1^\circ \times 0.1^\circ$  resolution. Daily mean fire emissions were also regridded from  $0.1^\circ \times 0.1^\circ$  resolution, taken from GFAS (Kaiser *et al.*, 2012). Monthly mean ocean fluxes were taken from Jena CarboScope v1.6 based on the SOCAT data set of  $p\text{CO}_2$  observations (Rödenbeck *et al.*, 2013). The uncertainties in fire and ocean fluxes are not considered here.

290 The forward model component of an ensemble-based  $\text{CO}_2$  flux inversion provides an estimated PDF of atmospheric  $\text{CO}_2$  based on a signal (prior emission uncertainty) and noise (model uncertainty). To investigate the signal-to-noise ratio relevant for anthropogenic  $\text{CO}_2$  inversions additional simulations were performed using estimated anthropogenic emission uncertainties and are described alongside all other experiment configurations in table 1 (EXP, PEM and PEA). These estimates are calculated per sector and per country following the error propagation method outlined by the IPCC guidelines (IPCC, 2006) and are based upon uncertainties in emission factors and activity data. The statistical infrastructure development level of the country is also considered, defining all countries as either statistically well or less developed. The most commonly used fuel type is considered for uncertainty calculations when multiple types are used. Uncertainties are assumed to be uncorrelated in time and between sectors and countries. ~~and~~ Further details will be discussed in detail in a follow-up paper (Choulga *et al.*, in preparation). Anthropogenic emissions were grouped into six sectors, large powerplants, the remaining energy sector, manufacturing,  
300 transport, settlements and other. National uncertainties for annual and monthly emissions are strongly sector and country dependent, ranging from annual transport uncertainties of ~4% for numerous developed nations to monthly other sector uncertainties of ~330% for The Democratic Republic of the Congo. Aviation emission were used as 3-D profiles but remained unperturbed in these simulations.

The uncertainties used here are thought to be relatively modest considering the timescales being investigated. Data availability  
305 of several aspects of anthropogenic uncertainties currently limit our ability to diagnose a reasonable atmospheric  $\text{XCO}_2$  response signal at short timescales. For example, daily uncertainties, which would be required for high temporal frequency flux inversions, are expected to be considerably larger than monthly uncertainties. This would provide, in principle, a larger signal. Additionally, a lack of prior information prevented the consideration of uncertainty correlations in prior fluxes. Finally, the diurnal variability in emissions, which is likely to influence the modelled atmospheric response to anthropogenic emissions,

310 is not considered. The missing information in prior uncertainties of anthropogenic fluxes leads to an underestimation of the flux signal, and as a result the signal-to-noise ratio.

Name	Initial Conditions	Physics	Biogenic Emissions	Anthropogenic Emissions	Error Information
IME	EDA	SPPT off	Offline	Fixed	Initial meteorological
PME	Control	SPPT on	Offline	Fixed	Model physics
TME	EDA	SPPT on	Offline	Fixed	Transport
BME	Control	SPPT off	Offline-FME	Fixed	Biogenic feedback
FME	EDA	SPPT on	Online	Fixed	Model (noise)
PEA	Control	SPPT off	Online	Perturbed Annual Error	Anthropogenic emission (signal)
PEM	Control	SPPT off	Online	Perturbed Monthly Error	Anthropogenic emission (signal)
EXP	EDA	SPPT on	Online	Perturbed Monthly Error	Full PDF (signal and noise)

**Table 1. Configuration of model experiments used for attribution of model uncertainty and the signal-to-noise ratio for atmospheric CO<sub>2</sub> inversions. The control denotes the control member of the EDA.**

### 3.Observations

315 We used atmospheric XCO<sub>2</sub> measurements from the Total Carbon Column Observing Network (TCCON) (Wunch *et al.*, 2011) to evaluate the combined forward model error and the atmospheric response to anthropogenic flux uncertainties. Assuming the 50-member ensemble accurately represents the atmospheric CO<sub>2</sub> PDF accounting for all uncertainties, the standard error in EXP should be comparable to the model-observation error. However, the total error is expected to under-represent the model-observation error because some uncertainties were either missing or underestimated by the ensemble. For example, the representation error is not present in our ensemble and the prior anthropogenic flux uncertainty is based on monthly estimates and not weekly or daily values.

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Here, we focus on model uncertainty relative to prior anthropogenic flux uncertainty. Therefore, 3 TCCON sites with nearby anthropogenic sources and with available data for 2015 were selected for evaluation, Paris (Té *et al.*, 2017), Caltech (Wennberg *et al.*, 2017) located near Los Angeles and Tsukuba (Morino *et al.*, 2017) near Tokyo. Sounding-specific TCCON averaging kernels were applied to interpolated model output for direct model-observation comparisons.

## 4. Results

### 4.1 TCCON site specific error representation

All results shown are taken from the January 2015 simulations; results from the July simulations, although discussed here, are shown in the supplementary material. The relative contribution to total XCO<sub>2</sub> variability from the uncertainties in initial meteorological conditions, model physics and biogenic feedback, as well as the atmospheric response to prior anthropogenic uncertainty is shown to be location and time dependent (Figure 1 and S1; for illustration purposes only the first 5 days are shown). After 2-3 days the total error stabilizes, caused by the impact of atmospheric diffusion (Figure 2 and S2). All monthly averages are calculated after an initial 2-day spin-up, omitting the 1<sup>st</sup> and 2<sup>nd</sup> of the month.

Over Paris the initial meteorology (IME) and model physics (PME) errors are the largest individual components of the total XCO<sub>2</sub> variability for January (Table 2), with averages of  $0.12 \pm 0.07$  ppm and  $0.09 \pm 0.05$  ppm respectively. The combined average transport error (TME) increases further to  $0.15 \pm 0.08$  ppm, with a January maximum of 0.61 ppm. The biogenic feedback (BME) errors are small ( $0.01 \pm 0.01$  ppm). The average atmospheric XCO<sub>2</sub> variation associated with annual anthropogenic flux uncertainties (PEA) is relatively small ( $0.05 \pm 0.04$  ppm std dev); however, using monthly uncertainties (PEM) this variability increases slightly, but this is still below the derived transport error ( $0.06 \pm 0.05$  ppm std dev).

Average initial meteorological error ( $0.16 \pm 0.10$  ppm) and model physics error ( $0.19 \pm 0.15$  ppm) also dominate the total error over Tsukuba in January, with a combined average transport error of  $0.24 \pm 0.16$  ppm (reaching a maximum of 1.01 ppm). The biogenic feedback errors are again smaller in comparison ( $< 0.01 \pm 0.00$  ppm). Monthly and annual anthropogenic emission uncertainties over Tsukuba consistently produce smaller errors than the total transport error,  $0.09 \pm 0.09$  and  $0.03 \pm 0.02$ , respectively.

Over Caltech the January average variability in the atmospheric response to annual anthropogenic emission uncertainties ( $0.13 \pm 0.13$  ppm) is lower than that from the initial meteorological error ( $0.41 \pm 0.41$  ppm), the model physics error ( $0.29 \pm 0.27$  ppm) and the combined transport error ( $0.50 \pm 0.45$  ppm—maximum value of 2.55 ppm). Conversely, the monthly anthropogenic uncertainties produce the largest average error in atmospheric XCO<sub>2</sub> ( $0.61 \pm 0.47$  ppm). The average biogenic feedback error is once again small ( $0.01 \pm 0.01$  ppm). For small periods the PEM standard error exceeds the EXP standard error over Caltech, this is thought to either be due to spurious noise generated by the small ensemble size or a compensating reduction in total error caused by other sources of errors (transport/biogenic).

Variability between the three sites is a result of multiple factors including nearby fluxes, regional atmospheric transport and orography. The minor impact of the biogenic feedback, caused by meteorological uncertainty, results in the FME and TME errors being almost identical at all 3 sites.

<u>Average January XCO<sub>2</sub> Standard Error (ppm) Across 50 Ensemble Members</u>							
<u>Site</u>	<u>IME</u>	<u>PME</u>	<u>TME (Transport Error)</u>	<u>BME</u>	<u>PEA</u>	<u>PEM</u>	<u>EXP (Total Error)</u>
<u>Paris</u>	<u>0.12±0.07</u>	<u>0.09±0.05</u>	<u>0.15±0.08</u>	<u>0.01±&lt;0.01</u>	<u>0.05±0.04</u>	<u>0.06±0.05</u>	<u>0.16±0.06</u>
<u>Tsukuba</u>	<u>0.16±0.10</u>	<u>0.19±0.15</u>	<u>0.24±0.16</u>	<u>&lt;0.01±&lt;0.00</u>	<u>0.03±0.02</u>	<u>0.09±0.09</u>	<u>0.27±0.19</u>
<u>Caltech</u>	<u>0.41±0.41</u>	<u>0.29±0.27</u>	<u>0.50±0.45</u>	<u>0.01±0.01</u>	<u>0.13±0.13</u>	<u>0.61±0.47</u>	<u>0.69±0.52</u>

355 **Table 2. The average IFS model XCO<sub>2</sub> (ppm) standard error across 50-member ensemble over three TCCON sites for 7 different model configurations for January 2015.**

July simulations show comparable model transport errors to January over Paris (0.15±0.06), decreases over Caltech (0.23±0.10 ppm) and increases over Tsukuba (0.38±0.23 ppm); showing site specific seasonal variability (S1 and S2). The biogenic feedback error increased over all three sites in July (Paris: 0.02±0.01 ppm, Caltech: 0.02±0.01 ppm and Tsukuba: 0.04±0.03 ppm) due to northern hemisphere summer. This remains smaller than the transport and anthropogenic uncertainty response but is no longer negligible. The July spread in the atmospheric response to monthly anthropogenic flux uncertainties is increased over Paris (0.08±0.05 ppm) and Tsukuba (0.38±0.2 ppm), when compared to January. Over Caltech (0.47±0.19 ppm) the same error is reduced for July.

365 There is no clear diurnal cycle in the column transport error at any of the 3 stations, January midnight averages at Paris (0.15±0.08 ppm), Caltech (0.48±0.47 ppm) and Tsukuba (0.29±0.18 ppm) are all comparable to midday averages (0.15±0.07 ppm, 0.46±0.32 ppm and 0.25±0.18 ppm, respectively). For July, only Caltech exhibits a slight diurnal cycle, with midday averages of 0.29±0.13 ppm and midnight averages of 0.18±0.05 ppm. Over Caltech in July, a diurnal cycle is found in the atmospheric XCO<sub>2</sub> error as a response to both the biogenic feedback uncertainty and anthropogenic flux uncertainty, with midday averages of 0.02±0.01 ppm and 0.73±0.30 ppm, respectively, and midnight averages of 0.01±0.01 ppm and 0.43±0.18 ppm. Without a diurnal cycle in anthropogenic fluxes, this would suggest the diurnal meteorological variability causes the observed difference in model error as the magnitude in prior flux and error remains the same for both night and day. Summertime diurnal variability over Caltech has previously been attributed to the sea-mountain breeze, where CO<sub>2</sub> enhanced air masses peak in the afternoon before reducing again in the evening (Agustí-Panareda *et al.*, 2019). These enhancements cause an increase in atmospheric CO<sub>2</sub> gradients, resulting in an increased transport error. Diurnal variability in emissions is

expected to increase the diurnal signal in the atmospheric transport error, with typically lower night-time emissions resulting in lower transport model errors; however, we have not tested this hypothesis here.

Flux inversions, more specifically posterior error reductions, depend on the signal-to-noise ratio, where the atmospheric response to prior flux uncertainty is the signal and the remaining errors represent the noise. As previously mentioned, we underestimate the noise here by only accounting for some model uncertainty. Using annual anthropogenic uncertainties to perturb January fluxes generates an average signal-to-noise ratio, after a 2-day spin-up, of  $0.38\pm 0.37$ ,  $0.27\pm 0.16$  and  $0.20\pm 0.17$  at Paris, Caltech and Tsukuba, respectively (Figure 2). Over Caltech and Tsukuba, the ratio does not exceed 1 for the whole of January, and only exceeds 1 for 8% of the month over Paris. Using monthly anthropogenic uncertainties, the signal-to-noise ratio over Paris and Tsukuba after a 2-day spin-up increases to an average of  $0.54\pm 0.37$  and  $0.36\pm 0.21$ , exceeding 1 for 9% and 1% of the month, respectively. Over Caltech this increases to a ratio of  $1.02\pm 0.68$ , exceeding 1 for 44% of the month. The average signal-to-noise ratio, when using monthly uncertainties, increases at all 3 sites in July to  $0.61\pm 0.42$  ppm over Paris,  $2.48\pm 0.93$  ppm over Caltech and  $0.94\pm 0.48$  ppm over Tsukuba (S2). The ratio exceeds 1 for 11% of the month over Paris, >99% over Caltech and 38% over Tsukuba. It is reasonable to assume that the uncertainties, and therefore the signal-to-noise ratio, will increase by a similar order of magnitude from monthly to daily uncertainties as the increase seen here from annual to monthly uncertainties; however, no data are currently available for daily anthropogenic flux uncertainties.

To evaluate the accuracy of the total error in XCO<sub>2</sub> (model uncertainty and atmospheric response to anthropogenic flux uncertainty) the standard error across ensemble members is compared to the control model-observation error from TCCON (Figure 1 and S1). For January, the mean centred model-observation errors are found to be 1.41 ppm at Caltech and 0.54 ppm at Tsukuba, compared to EXP total model uncertainties (transport, biogenic feedback and monthly anthropogenic emission uncertainty) of  $0.69\pm 0.52$  ppm and  $0.27\pm 0.19$  ppm, respectively. There are no available TCCON data available over Paris for January 2015, the EXP uncertainty over Paris is  $0.16\pm 0.06$  ppm. For July, the model-observation errors are 0.92 ppm, 0.90 ppm and 1.84 ppm for Paris, Caltech and Tsukuba, respectively, compared to EXP uncertainties of  $0.19\pm 0.07$  ppm,  $0.60\pm 0.23$  ppm and  $0.56\pm 0.31$  ppm. This would suggest that depending on the time and location, the uncertainties explored here account for 21-65% of the total model uncertainty. As previously mentioned, the monthly uncertainty estimates used here are an underestimation of the uncertainties at the short timescales being investigated here (hourly or daily). It should also be noted that additional sources of model-observation variability, such as observation errors, the representation error, numerical errors and biogenic flux errors relating to both processes and mapping are not considered in these values. Our results show these additional uncertainties are not negligible and need to be accounted for in addition to the uncertainties derived here.

The vertical error structure for each ensemble configuration at the 3 TCCON sites over a 24-hour period shows column variability (Figure 3). For all 3 sites individual errors are typically largest near the surface, where the CO<sub>2</sub> gradients are the largest. Over Paris bB Both components of the transport error are noticeable in the mid-troposphere with some model levels exceeding 1 ppm errors for both initial meteorological and model physics errors individually at all sites. On average the near-

surface (~100m) transport error over Paris is  $1.7\pm 2.7$  ppm, with a maximum of 17.6 ppm. Over Caltech noticeable transport errors are typically found in the lower troposphere. The average near-surface error is  $7.2\pm 6.2$  ppm, with a maximum of 21.8 ppm. Over Tsukuba the initial meteorological condition error is detectable not only near the surface but also in the mid-to-upper troposphere (~300hPa), with averages of  $0.41\pm 0.21$  ppm. Near-surface average transport errors are  $2.2\pm 2.8$  ppm, with a maximum of 16.6 ppm.

The near-surface, which is typically used for *in-situ* based inversions, average signal-to-noise ratios for monthly anthropogenic uncertainties are  $1.4\pm 0.5$ ,  $0.8\pm 0.7$  and  $0.4\pm 0.2$  over Paris, Caltech and Tsukuba, respectively. The ratio exceeds 1 for 78% of the time over Paris but less frequently over Caltech (36%) and Tsukuba (0%).

All 3 sites do not exhibit a diurnal cycle in the near-surface transport error. For each site the difference in error between day and night is less than 10%. This assumes the EDA and SPPT accurately represent transport error by perturbing the boundary layer physics. These results underestimate the diurnal cycle in the transport error by not accounting for diurnal variability in emissions.

## 4.2 Global and regional model uncertainty

The global XCO<sub>2</sub> uncertainty resulting from uncertainties in emissions, biogenic feedback and transport, which includes both initial conditions and physics, is found to be spatially and temporally varying (e.g. January 2015 shown by Figure 4). As expected, the atmospheric XCO<sub>2</sub> signal from monthly anthropogenic emission uncertainties is largest over emission hotspots in Eastern China, with smaller signals over North America, Europe and the Middle-East (Table 32 and 34). The global average error for both January and July 2015 is relatively small,  $0.01\pm 0.00$  ppm, although the error values are heterogeneous with maximum local instantaneous XCO<sub>2</sub> errors reaching 9.2 ppm. The error is expected to increase further with uncertainties applied at the hourly or daily timescale, as these currently unavailable values would be larger than both monthly and annual uncertainties.

The XCO<sub>2</sub> biogenic feedback error from atmospheric model uncertainty is largest over regions with a high net ecosystem exchange, e.g. The Amazon (January:  $0.16\pm 0.08$  ppm and July:  $0.06\pm 0.06$  ppm) and Southern Africa (January:  $0.13\pm 0.07$  ppm and July  $0.05\pm 0.07$  ppm). These are also areas with large atmospheric gradients. The high values in southern hemisphere summer suggest a seasonal cycle in the biogenic feedback error. Globally the average biogenic feedback error is smaller ( $<0.01$  ppm) in January and increases slightly in July ( $0.02\pm 0.00$  ppm), following the seasonal dependence of biogenic fluxes.

The error in atmospheric XCO<sub>2</sub> caused by transport model uncertainties correlates with the error caused by both the anthropogenic uncertainties and biogenic feedback uncertainties, as these are the regions with the largest fluxes and as a result, the largest gradients. The globally averaged XCO<sub>2</sub> error resulting from the initial model error, model physics error and combined transport error is  $0.06\pm 0.00$  ppm,  $0.09\pm 0.00$  ppm and  $0.10\pm 0.01$  ppm respectively. Over regions with a high biogenic

flux the average transport error further increases, e.g. The Amazon (January:  $0.24\pm 0.18$  ppm and July:  $0.20\pm 0.15$  ppm) and Southern Africa (January:  $0.30\pm 0.26$  ppm and July:  $0.18\pm 0.21$  ppm). The transport error in these regions exhibits a similar seasonal cycle to the biogenic feedback error, most likely caused by the increased flux in southern hemisphere summer. The increase in transport error is also evident over regions with a high anthropogenic flux (Table 23 and 34). The average transport model error over these hotspots is similar in July ( $0.32\pm 0.17$  ppm) as in January ( $0.32\pm 0.22$  ppm). Considering most of the sites are in the northern hemisphere this would suggest there is little or no seasonal variability in the average transport error over anthropogenic hotspots, although certain hotspots show some seasonal variability (e.g. Los Angeles). The maximum transport error for all times and locations is 9.2 ppm, although globally for individual grid cells and times the error only exceeds 0.5 ppm for ~1% of the time.

~~Flux inversions, more specifically posterior error reduction, depend on the signal to noise ratio, where the atmospheric response to prior flux uncertainty is the signal and the remaining errors represent the noise~~

The signal-to-noise ratio using monthly and annual anthropogenic uncertainties is location and time dependent, shown in figure 5 and for various emission hotspots in table 23 and 34. After the initial 2-3 days this ratio is typically below 1 when using prior annual anthropogenic uncertainties, with exceptions over Eastern Asia and the Middle East. For prior monthly uncertainties, large parts of North America, Europe, Asia and some southern hemisphere hotspots consistently exceed 1. Further work is required to investigate more robust daily, or even hourly, uncertainty estimates for each sector, which is relevant for posterior error reductions at high temporal frequencies. The increased uncertainty in fluxes at higher temporal resolution will result in a more accurate total error, increasing the signal-to-noise ratio, resulting in increased posterior error reductions.

<b>Location</b>	<b>Transport Error (ppm)</b>	<b>Transport Error (min-max, ppm)</b>	<b>Emission Signal (ppm)</b>	<b>Emission Signal (min-max, ppm)</b>	<b>Signal-to-Noise Ratio</b>
Johannesburg	$0.24\pm 0.08$	0.10-0.62	$0.19\pm 0.07$	0.10-0.40	$0.79\pm 0.34$
London	$0.12\pm 0.03$	0.05-0.22	$0.05\pm 0.02$	0.02-0.15	$0.39\pm 0.17$
Los Angeles	$0.55\pm 0.43$	0.06-2.23	$0.91\pm 0.43$	0.26-1.97	$1.66\pm 1.16$
Moscow	$0.19\pm 0.11$	0.05-0.71	$0.23\pm 0.09$	0.12-0.65	$1.23\pm 0.76$
New York	$0.15\pm 0.08$	0.05-0.48	$0.19\pm 0.09$	0.06-0.47	$1.29\pm 0.72$



Riyadh	0.14±0.10	0.06-0.81	0.28±0.13	0.11-0.75	2.07±0.77
Seoul	0.19±0.13	0.05-0.86	0.21±0.15	0.03-0.79	1.09±0.49
Shanghai	0.65±0.57	0.15-3.75	1.44±0.63	0.60-4.29	2.20±0.97
Singapore	0.22±0.07	0.12-0.56	0.09±0.03	0.04-0.18	0.39±0.14
Tokyo	0.79±0.95	0.09-5.50	0.28±0.27	0.04-1.38	0.36±0.24
Kendal* (RSA)	0.33±0.15	0.08-0.88	0.15±0.05	0.07-0.29	0.44±0.20
Waigaoqiao* (CHN)	0.42±0.28	0.14-1.27	0.74±0.63	0.15-2.57	1.77±0.81
Neurath* (DEU)	0.14±0.07	0.06-0.59	0.06±0.03	0.02-0.18	0.41±0.22

**Table 23.** Average, minimum and maximum total column model CO<sub>2</sub> error statistics for the transport model error and the atmospheric response to monthly emission uncertainties (signal), and the signal-to-noise ratio for various emission hotspots for January 2015. Results are calculated from the 50-member IFS ensemble. \* Denotes large power stations.

Location	Transport Error (ppm)	Transport Error (min-max, ppm)	Emission Signal (ppm)	Emission Signal (min-max, ppm)	Signal-to-Noise Ratio
Johannesburg	0.18±0.11	0.05-0.69	0.26±0.18	0.06-0.87	1.64±0.91
London	0.16±0.06	0.05-0.36	0.05±0.02	0.02-0.11	0.34±0.17
Los Angeles	0.18±0.06	0.05-0.37	0.49±0.29	0.11-1.48	2.78±1.23
Moscow	0.25±0.14	0.08-0.70	0.23±0.12	0.10-0.76	1.01±0.45
New York	0.36±0.13	0.16-0.78	0.38±0.20	0.06-1.11	1.06±0.43
Riyadh	0.14±0.10	0.04-0.59	0.11±0.07	0.04-0.40	0.87±0.33
Seoul	0.39±0.17	0.14-0.85	0.43±0.20	0.07-0.85	1.16±0.40

Shanghai	0.67±0.11	0.05-3.29	1.16±0.18	0.06-3.14	2.32±0.59
Singapore	0.24±0.09	0.11-0.53	0.21±0.06	0.09-0.37	0.96±0.29
Tokyo	0.61±0.38	0.16-2.60	0.48±0.30	0.11-1.49	0.93±0.58
Kendal* (RSA)	0.32±0.32	0.06-1.72	0.16±0.09	0.05-0.44	0.74±0.44
Waigaoqiao* (CHN)	0.42±0.33	0.09-1.88	0.52±0.50	0.07-2.40	1.19±0.66
Neurath* (DEU)	0.23±0.15	0.06-0.98	0.09±0.06	0.02-0.29	0.39±0.18

460 **Table 34.** Average, minimum and maximum total column model CO<sub>2</sub> error statistics for the transport model error and the atmospheric response to monthly emission uncertainties (signal), and the signal-to-noise ratio for various emission hotspots for July 2015. Results are calculated from the 50-member IFS ensemble. \* Denotes large power stations.

### 4.3 Impact of ensemble size

After 2-3 days the global average transport model error reaches a steady-state, where the model error growth balances with the atmospheric mixing caused by CO<sub>2</sub> gradients (Figure 6). After which, the global transport model error remains approximately  
465 0.1 ppm for all ensemble sizes. Globally, as ensemble size tends toward 50, the error across all ensemble members converges.

Here, *as a first guess*, we investigated the required ensemble size to adequately represent the prior XCO<sub>2</sub> PDF, using multiple sizes available. The model error is within 5% of the 50-member ensemble error for ensemble sizes over 40, 39 and 43 for Paris, Caltech and Tsukuba, respectively (Figure 6). Ensemble sizes <40 provide model error approximations that may not be suitable for use in inversions. Computational cost currently limits the use of larger ensemble sizes, and optimum ensemble size  
470 investigations indicated the 50-member may provide an adequate sample for meteorological errors (Leutbecher et al., 2017), although CO<sub>2</sub> poses more specific challenges and requirements.

To investigate the suitability representing the transport error with a gaussian PDF, ensemble members were binned into 0.05 ppm bins and a non-linear least-square fit was applied to provide an estimated gaussian fit for a PDF with 3 terms; A<sub>0</sub>, A<sub>1</sub> and A<sub>3</sub>.

$$475 \quad f(x) = A_0 e^{-\frac{(x-A_1)^2}{A_2}} \quad (1)$$

Assuming the prior PDF is gaussian, results show that ensemble sizes ≤50 can fail to represent a suitable distribution and contain spurious noise. Over Paris and Caltech, a gaussian distribution is relatively well captured by a 50-member ensemble; however, for Tsukuba either more ensemble members are required or the PDF is not gaussian.

#### 4.4 Error correlation

480 The noise generated by small ensemble sizes creates spurious spatial and temporal error correlations in the XCO<sub>2</sub> transport error (Figure 7). This localisation problem is typically addressed by limiting the distance of correlations considered within the inversion (e.g. Miyazaki *et al.*, 2011) or by applying a decay function (e.g. Chatterjee *et al.*, 2012). Here we propose that temporal filtering, as shown by artificially creating a 150-member ensemble using neighbouring times from a 50-member ensemble, could be used to reduce spurious error correlations. This is only applicable with suitably high frequency model data.

485 By filtering a small ensemble (10-member) using time smoothing and finding the best fit to a 50-member ensemble, it is typically found that a 2 hour smoothing is optimum with our model setup ( $T_{-1}, T_0, T_{+1}$ ). The optimum filter length is however, location and time dependent.

For a given location we assume non-spurious correlations are represented by surrounding XCO<sub>2</sub> error correlation values, which are both part of the spatial extent of the plume and greater than the derived e-folding correlation length scale ( $R > e^{-0.5}$ );

490 represent a non-spurious correlation. Here we consider the plume as correlation values that continuously remain above  $e^{-0.5}$  extending out from the given location. The maximum distance of these correlations from the artificially generated TME 150-member ensemble can range between maximum distances of 30 km to 520 km over Paris (Figure 7). Over Caltech and Tsukuba these range from 0 km to 230 km and 30 km to 700 km, respectively. The flow-dependency suggests that a predefined distance for the correlation filter might limit the available useful information within the inversion system, even when the filter is

495 spatially varying. The application of the flow-dependent structure to inverse systems can be computationally expensive, as a result, offline systems should adopt a simplified approach to represent the errors derived here.

For a given time and location, assuming a gaussian error correlation structure may cause an underestimation or overestimation of the correlation length scale, depending on direction (Figure 8 and S3). For most situations, regardless of location, the shortest correlation length scale is close to the average correlation length in all directions, however the downwind correlation length

500 scale is typically around twice as far, where downwind is defined as the plume direction at model output time.

For January, the time and direction averaged error correlation length scale, assuming a gaussian distribution, varies across all 3 sites (Paris  $67 \pm 24$  km, Caltech  $17 \pm 16$  km and Tsukuba  $59 \pm 26$  km). In July, over Paris and Tsukuba, the average correlation length scale is reduced to  $61 \pm 22$  km and  $35 \pm 16$  km, respectively, whereas there is a slight increase over Caltech to  $26 \pm 14$  km. The large decrease in correlation length scale detected over Tsukuba in summer may be a result of dominant mesoscale

505 biogenic fluxes in the region during summer months masking the plume from anthropogenic hotspots. The variability in average correlation length scale is reduced at all three sites during northern hemisphere summer, which is also likely to be the result of a more active background biogenic flux limiting the maximum spatial extent of the signal from anthropogenic hotspots. Seasonal variability in local meteorological systems is also likely to cause observed changes in the correlation length

scales derived. At all 3 locations the average error correlation length scale in all directions varies considerably with time, suggesting flow-dependent information is required and no single length scale should be used (Figure 8 and S3).

The average error correlation in both time and space simultaneously is also considered, again using a simplistic gaussian assumption (Figure 8 and S3). This shows the time component of the average error correlation varies with location, with an average time correlation length scale decreasing with distance.

For January Paris (80 minutes) and Tsukuba (150 minutes) both show a relatively short average time correlation length scale but a long spatial length scale, whereas the Caltech (260 minutes) has a longer time correlation length scale and shorter spatial length scale. For July the correlation length scale increases over both Paris (120 minutes) and Tsukuba (170 minutes), with decreases over Caltech (160 minutes). Differences between locations and seasons are caused by changes in fluxes, meteorology and orography. For instance, over Caltech, shorter spatial correlations and longer time correlations results from the impact of the Los Angeles basin, which reinforces air stagnation during winter. This effect is less pronounced during the summer due to the presence of stronger sea breezes.

## 5. Discussion

We have performed multiple ensemble simulations of CO<sub>2</sub> using an online NWP model to quantify sources of atmospheric model uncertainty. We have individually diagnosed the relative contribution of uncertainties from the initial meteorological state and model physics to the total transport error. This work can be used to inform future atmospheric flux inversion studies on the spatiotemporal variability of model transport error, which is typically lacking. By utilising the online capability of the ESM, we have also diagnosed the biogenic flux feedback error associated with uncertainties in atmospheric meteorology. We have performed ensemble simulations using perturbed anthropogenic emissions to investigate the signal-to-noise ratio, which provides a first assessment of the posterior error reductions in an anthropogenic inversion system. Finally, we have diagnosed error correlations and correlation length scales at selected sites. To evaluate the diagnosed error, the results were validated at 3 TCCON sites. The ensemble derived uncertainties found here will be used to model transport errors in a proposed future operational global CO<sub>2</sub> monitoring system being developed as part of the CO<sub>2</sub> Human Emissions project.

The transport error is shown to be spatiotemporally varying and is largest near biogenic and anthropogenic flux hotspots. Transport errors over anthropogenic flux hotspots are on average 0.1-0.8 ppm and 0.1-0.7 ppm for January and July, respectively. This transport error is comparable to uncertainties in the prior monthly anthropogenic emissions projected onto the observation (XCO<sub>2</sub>) space over the same regions (January: 0.1-1.4 ppm and July: 0.1-1.2 ppm). However, since the proposed future monitoring system will be based on prior flux uncertainties associated with higher temporal resolutions than those used here (daily/hourly), a significant increase in the signal-to-noise ratio is expected. The estimation of high-frequency transport error covariance structures is essential to ensuring reliability of the future inversion system. With potential future

improvements to bottom-up flux estimations the signal-to-noise ratio may further decrease in the future, decreasing the  
540 posterior error reduction values that could be expected from such a system. The spatial and temporal variability of errors and  
resulting signal-to-noise ratios are influenced by neighbouring hotspots, local orography and meteorological variability. Our  
findings, on a global scale, agree well with the regional study of Chen *et al.* (2019).

Atmospheric CO<sub>2</sub> transport error initially grows and then plateaus after 2-3 days, depending on the location. After this time  
the error growth from uncertainties in transport balance out with the atmospheric CO<sub>2</sub> mixing, resulting in a globally averaged  
545 transport error of ~0.1 ppm.

A noticeable transport error is identified in both the near-surface model levels and in the total column CO<sub>2</sub>. As a result, it is  
likely to impact both satellite and surface based atmospheric inversions. These results highlight the importance of including  
detailed transport error within atmospheric CO<sub>2</sub> inversions, as most previous studies either ignore or use a simplistic  
representation of model transport error, leading to over-confidence in results. The near-surface errors found here at three sites  
550 (1.7-7.2 ppm) are comparable to the 3-4 ppm errors found by Díaz-Isaac *et al.* (2018).

The atmospheric CO<sub>2</sub> error caused by the biogenic feedback error as a response to uncertainty in meteorology is found to be  
small, however, in regions of high net ecosystem exchange this value increases to an average of 0.16 ppm and requires  
consideration for high precision atmospheric inversions in those regions. Both the atmospheric response to prior anthropogenic  
emission uncertainties and the biogenic feedback errors are found to be seasonally dependent for some locations caused by  
555 seasonal changes in flux and meteorology. This also results in seasonal variability in the model transport error over regions of  
high net ecosystem exchange. The error associated with biogenic fluxes shown here does not account for uncertainties in the  
biogenic model or ancillary information (e.g. mapping or plant functional type).

Validation performed with TCCON observations suggests the uncertainty derived in model XCO<sub>2</sub> from transport uncertainty,  
anthropogenic flux uncertainty and biogenic feedback to meteorological uncertainties accounts for 21-65% of the total model  
560 uncertainty, depending on time and location. An underrepresentation of anthropogenic flux uncertainty, by using monthly and  
not higher temporal resolution uncertainties, and other factors including observation errors, numerical errors, the representation  
error, missing biogenic processes and biogenic mapping errors make up the remaining model uncertainty. These remaining  
uncertainties are not negligible, for example a previous study showed over the same Caltech site as used in this study, the  
model representation error is typically 2 ppm for January (Agustí-Panareda *et al.*, 2019). Future studies should aim to quantify  
565 these additional aspects of model uncertainty.

The 50-member ensemble used here is shown to provide a reasonable estimate of the prior PDF; however, for some regions,  
ensemble sizes larger than 50 members may be required. The computational cost of sufficiently large ensemble sizes to describe

the spatial error structures could potentially be overcome by appropriate filtering techniques of smaller ensemble sizes (e.g. Lauvaux *et al.*, 2019).

570 Spurious noise is evident in the transport error correlation structure of a 50-member ensemble, to address this issue and prevent further computational costs we apply a simple time filtering to artificially increase the member size to 150 members. Error correlation structures are shown to be strongly flow-dependent. Using a simplified gaussian assumption the average correlation length scale values are found to be between 0 and 700 km in distance and 0 and 260 minutes in time, with a seasonal dependence based on changes in flux and meteorology.

575 The transport uncertainty diagnosed here highlights the importance of accounting for all sources of model error when performing inversions. Our results are derived using an online NWP system; however, our findings can be used in various levels of complexity to inform future CO<sub>2</sub> offline inversions at both the regional and global scale. It should be noted that whilst these uncertainties can be used in an offline system, several additional errors would also need to be considered, including interpolation errors and inconsistencies between transport parameterisations. The model error PDF, although reasonably well  
580 represented by the 50-member ensemble, requires either additional ensemble members or suitable selection techniques (e.g. Díaz-Isaac *et al.*, 2019), which requires further investigation. [For the wider inverse modelling community gridded total errors are available for the total column CO<sub>2</sub> mixing ratios at 3 hourly intervals for all of 2015 and hourly gridded transport errors are available for both the total column and surface for January and July 2015 at http://doi.org/10.5281/zenodo.3703136.](http://doi.org/10.5281/zenodo.3703136)

*Code availability.*

585 The IFS source code is available subject to a licence agreement with the ECMWF; see also Leutbecher *et al.* (2017) for details on the ensemble model description and specific details of the code relevant to this study, including use of the EDA and SPPT. ECMWF member-state weather services and their approved partners will be granted access. Components of the IFS code relevant to this study (e.g. SPPT), without modules for data assimilation, are also available for educational and academic purposes as part of the OpenIFS project (<https://software.ecmwf.int/wiki/display/OIFS/OpenIFS+Home>, last access: 09  
590 December 2019). Technical developments specifically related to work detailed here are available upon request, please contact [joe.mcnorton@ecmwf.int](mailto:joe.mcnorton@ecmwf.int). The specific code relevant to this study for emissions perturbations based on given log-normal uncertainties is available at [https://bitbucket.org/joemcnorton/mcnorton\\_gmd/](https://bitbucket.org/joemcnorton/mcnorton_gmd/) (last access: 09 December 2019).

*Data availability.* Model data are available online through the ECMWF Meteorological Archive and Retrieval System (MARS) catalogue, but access may be limited. Model output data are available upon request to [joe.mcnorton@ecmwf.int](mailto:joe.mcnorton@ecmwf.int). [Ensemble-based error calculations for 2015 are available at http://doi.org/10.5281/zenodo.3703136.](http://doi.org/10.5281/zenodo.3703136)  
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*Author contributions.* The simulations were performed by JM with coding developments from AAP, AD, ZK and SL. The experimental design was devised by JM, NB, AAP, GP, RE and SL. Emission inventories were compiled by JM and AAP,

with uncertainties and budgets calculated by JM and MC. The manuscript was prepared by JM with analysis interpretation from NB and, input and feedback from AAP, GP, NB, RE and SL.

600 *Competing interests.* The authors declare that they have no conflict of interest.

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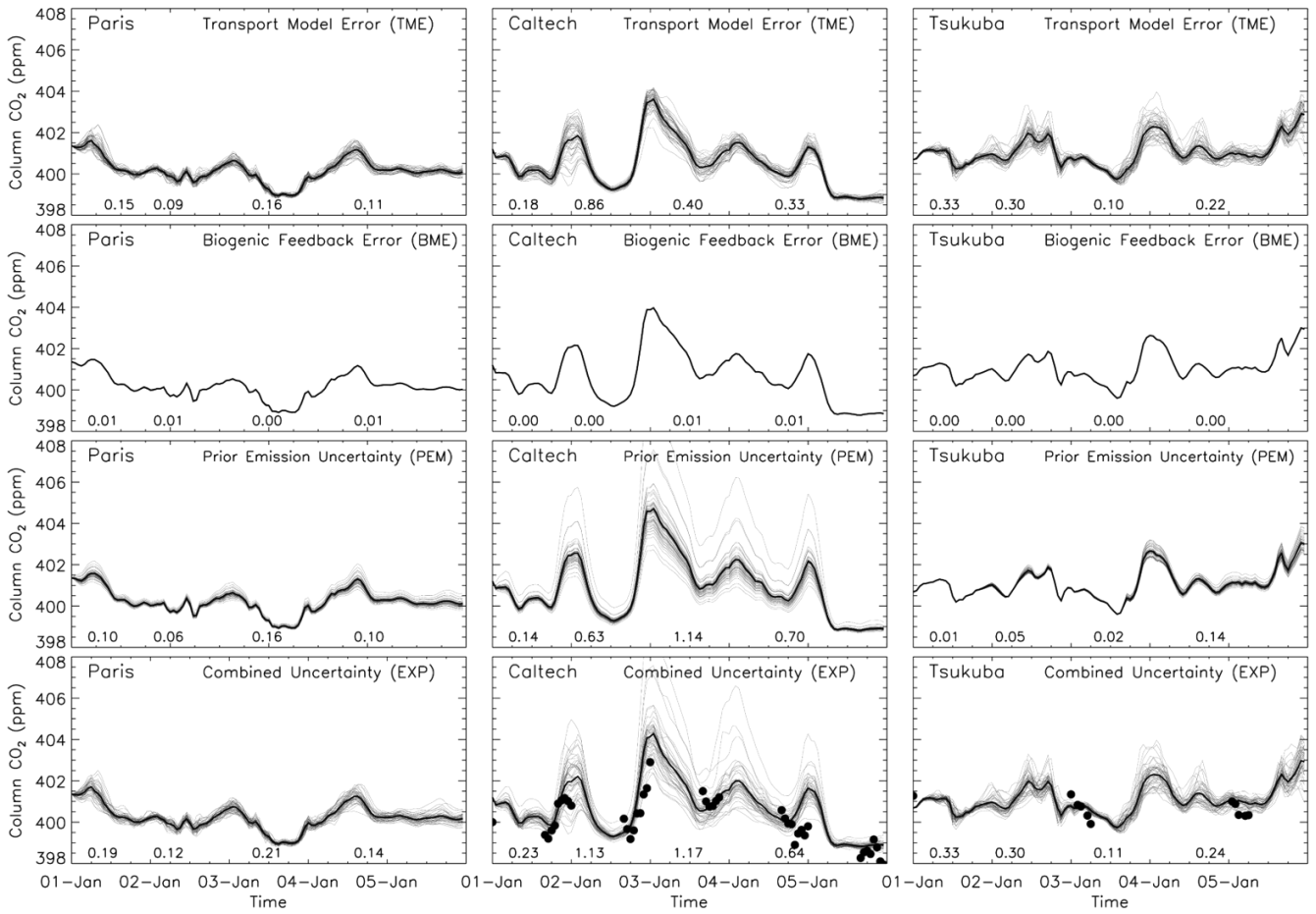
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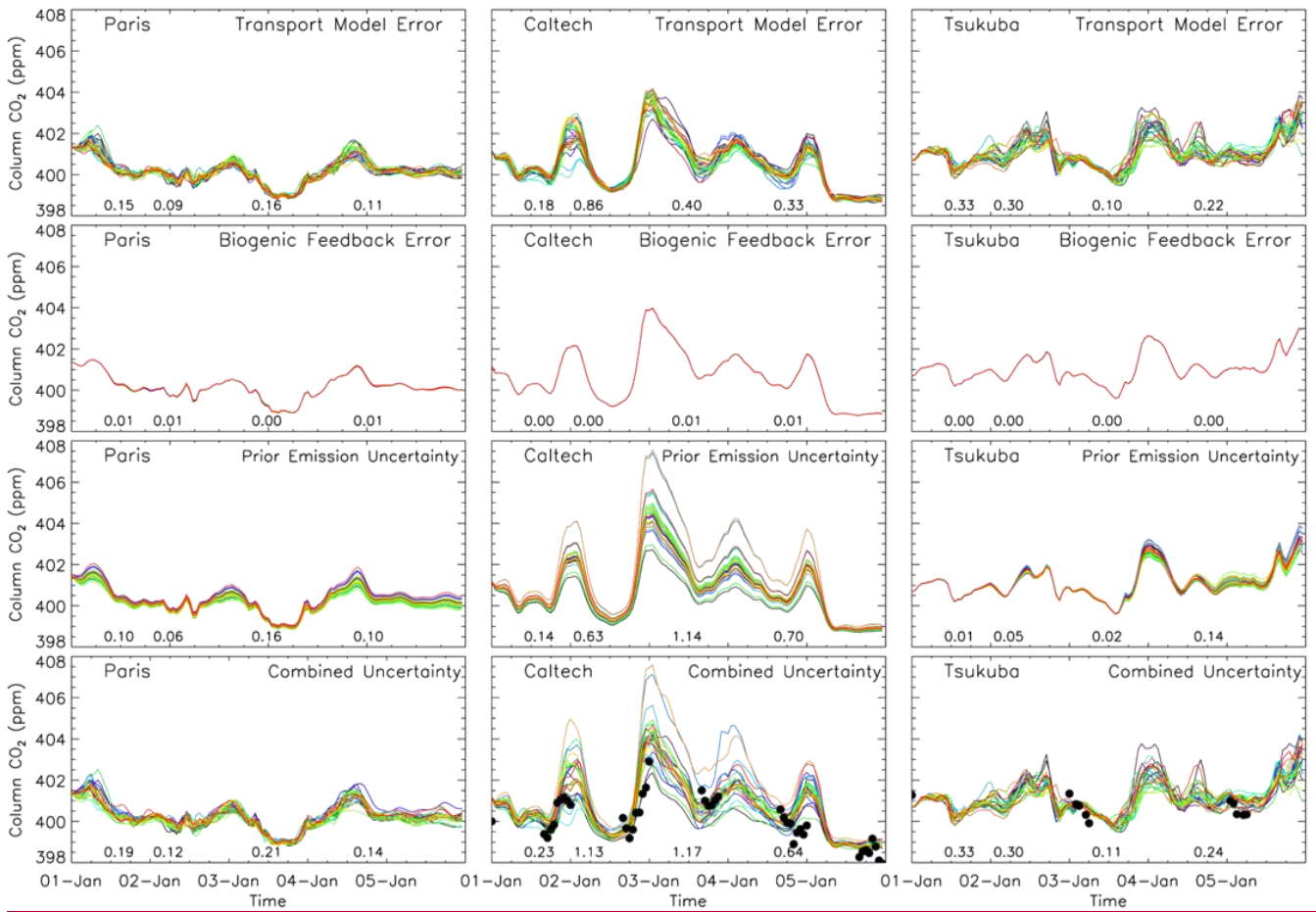


Figure 1. IFS model XCO<sub>2</sub> (ppm) variability over three TCCON sites for 50-member ensemble for 1-5<sup>th</sup> January 2015 from uncertainties in model transport (first row), biogenic feedback from meteorological uncertainty (second row), monthly uncertainties in anthropogenic emissions (third row) and a combination of all uncertainties (fourth row). **Individual ensemble members are shown with grey lines and the ensemble mean is the black line.** TCCON observations, when available, are shown for the 5 days (black circles). **Values denote standard error after 12, 24, 48 and 96 hours.**

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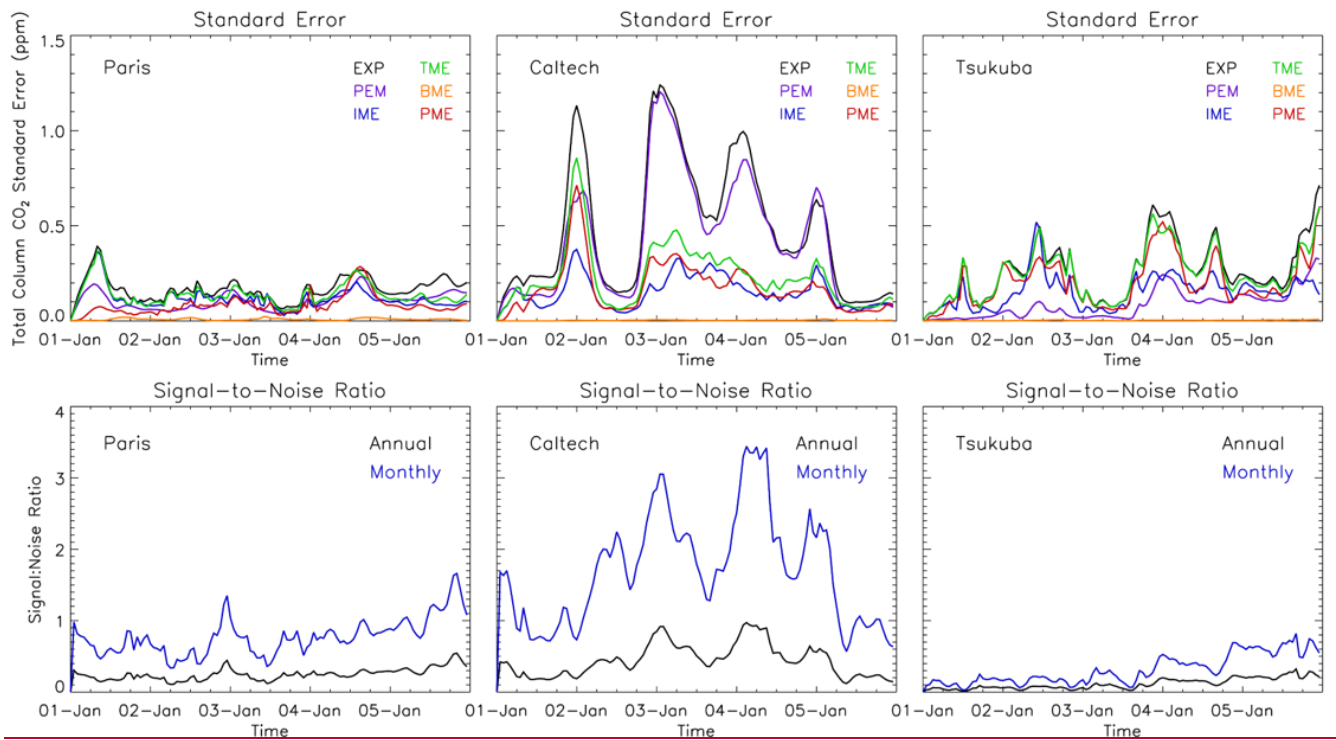
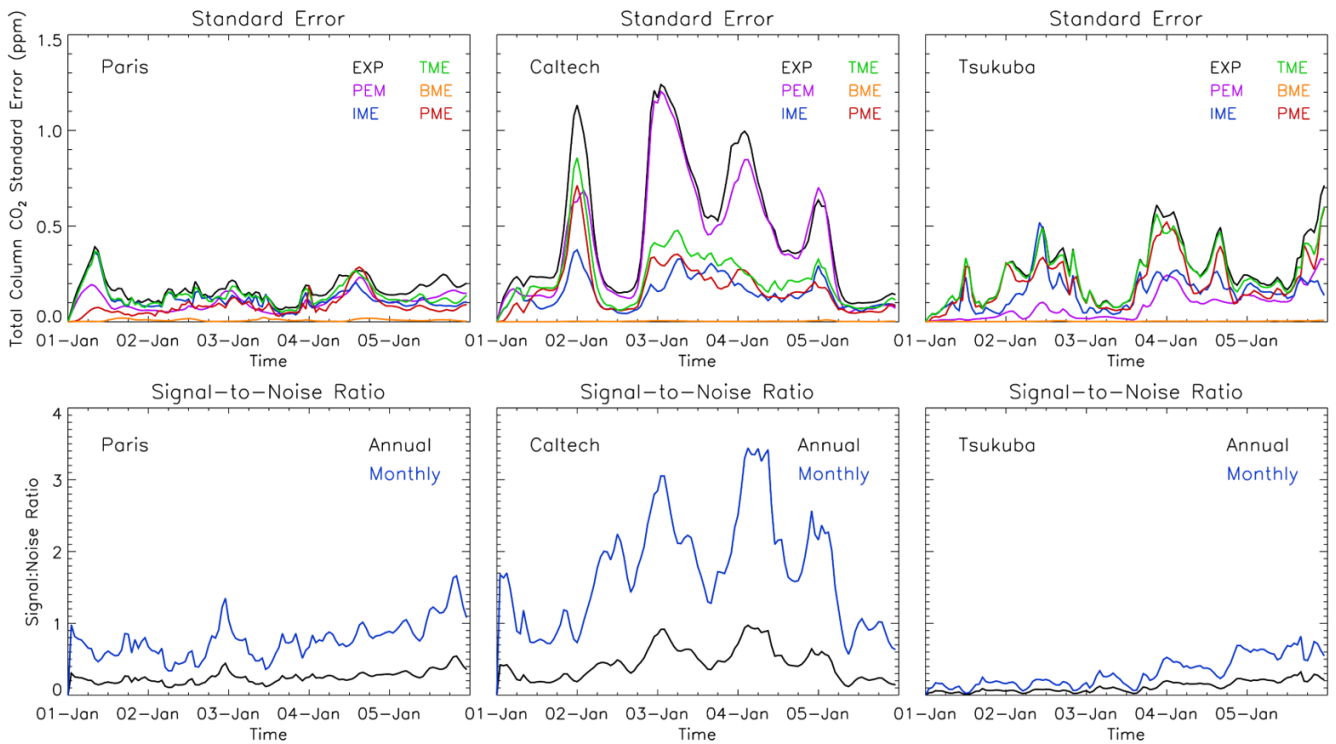
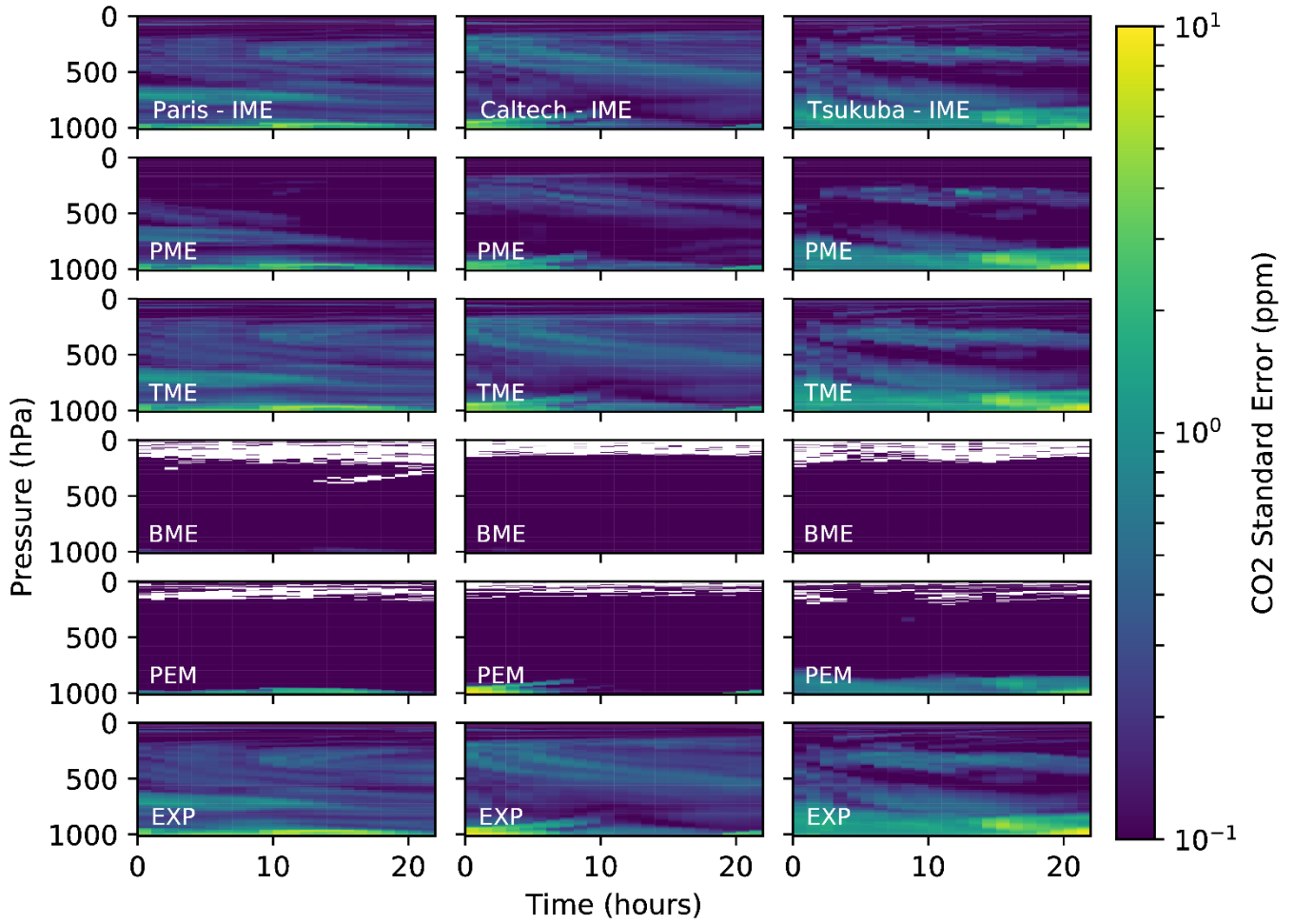
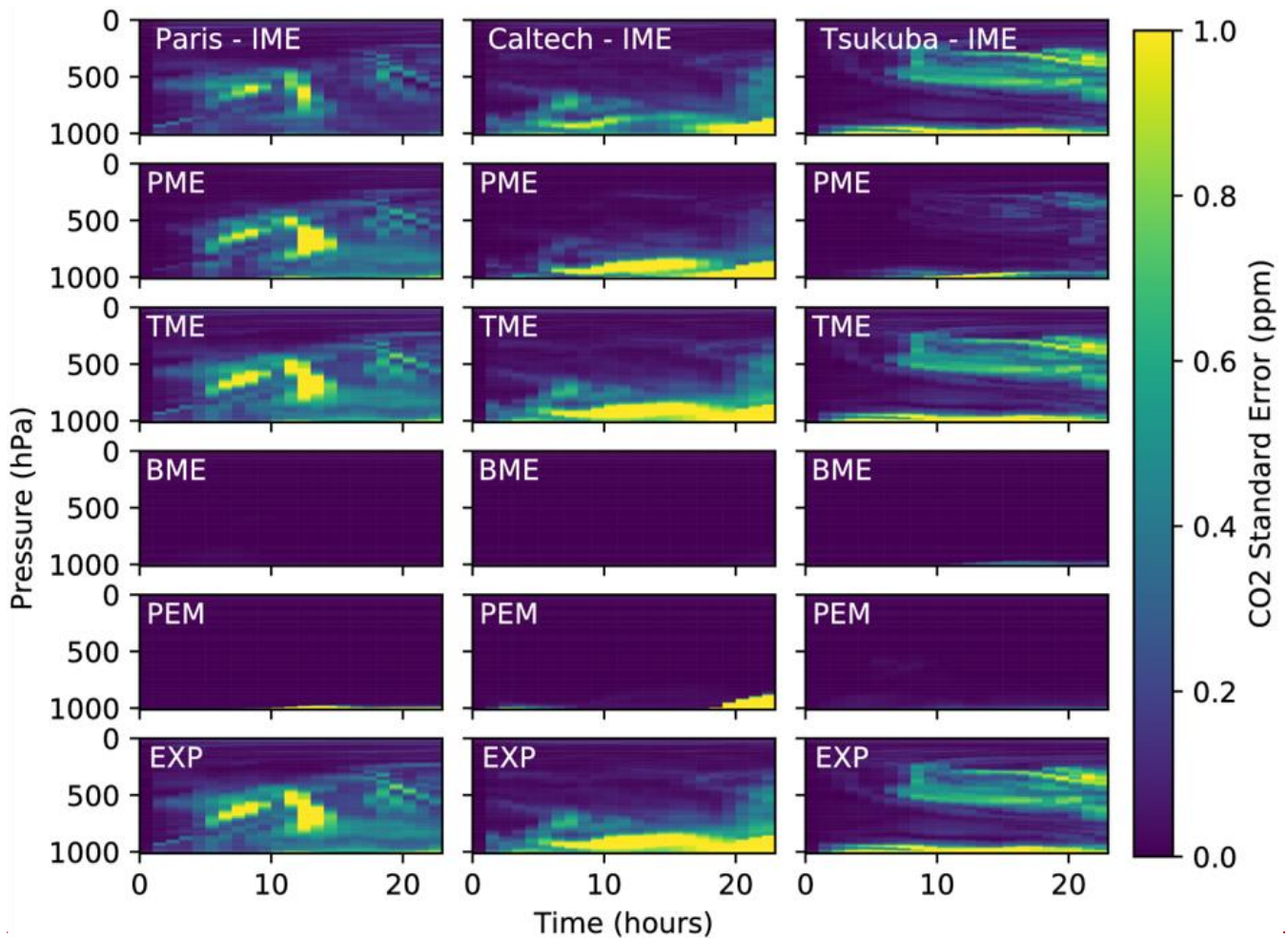


Figure 2. IFS model XCO<sub>2</sub> (ppm) standard error across 50-member ensemble over three TCCON sites for 7 different model configurations (top row). The XCO<sub>2</sub> signal generated by uncertainties in anthropogenic emissions divided by the noise from remaining model error over the same TCCON sites (bottom row). [For acronym definitions see table 1.](#)

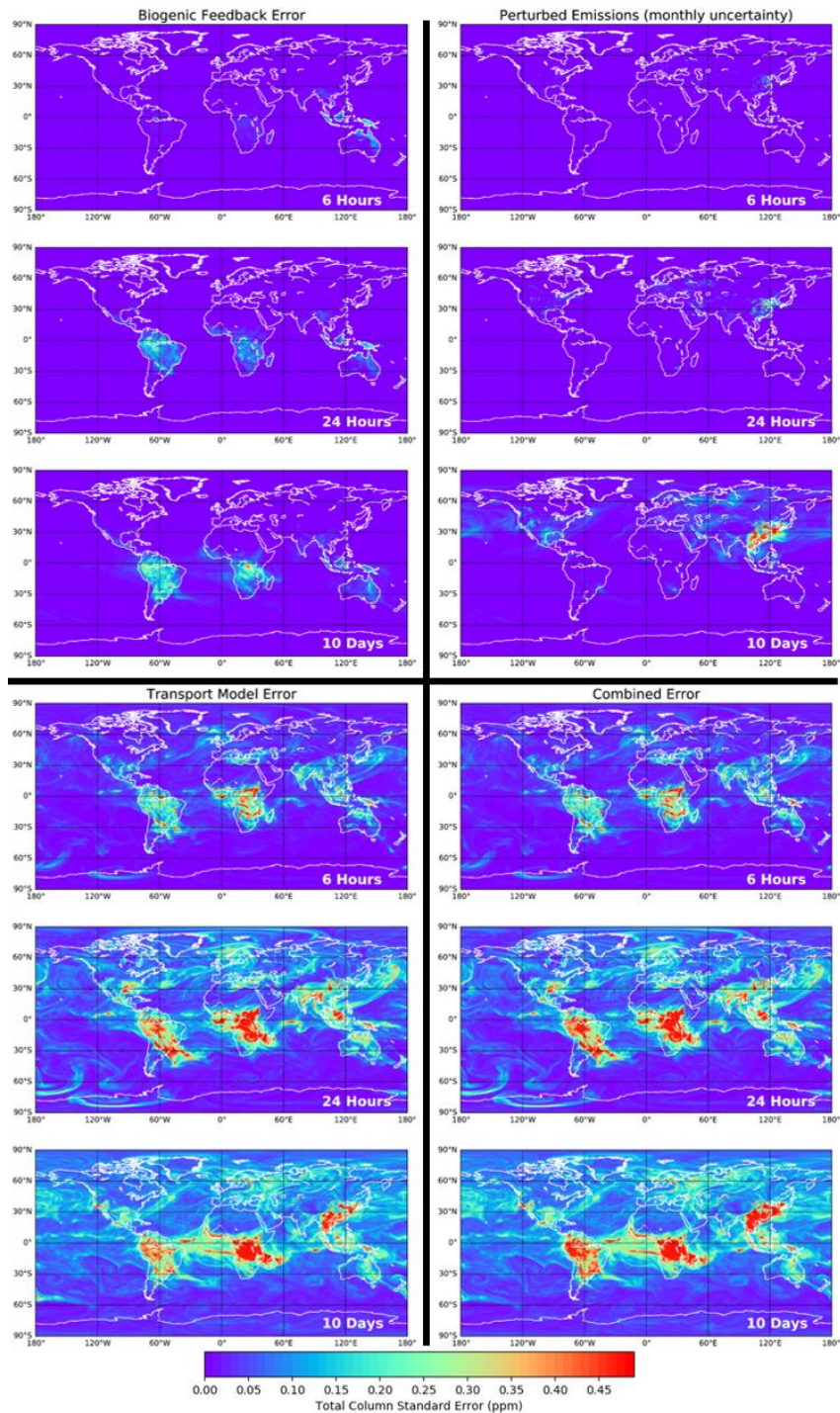




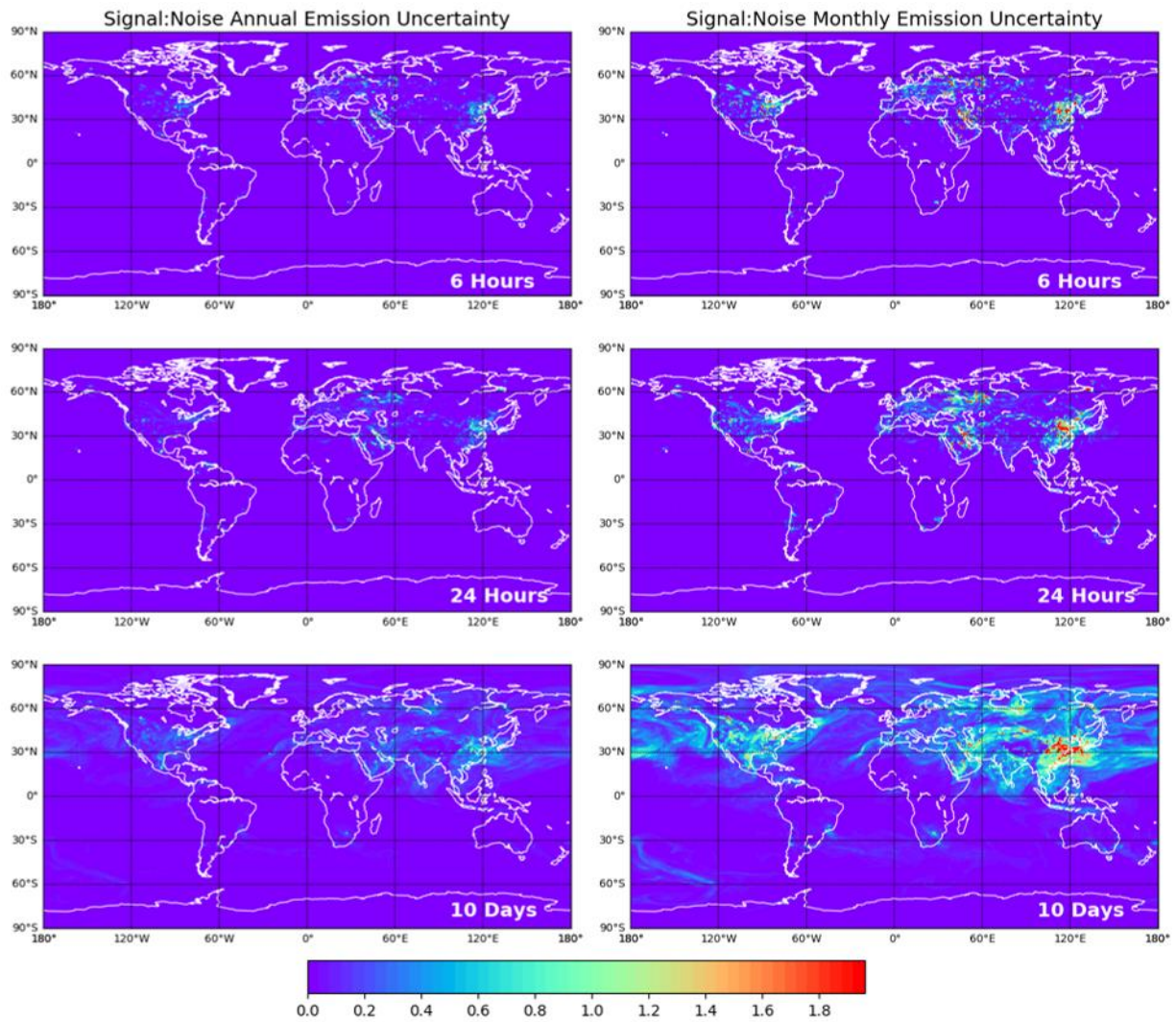


730 Figure 3. Standard error of IFS model CO<sub>2</sub> profiles (ppm) across 50-member ensemble for 5th January 2015 over three TCCON sites. Ensemble configurations consist of perturbed initial meteorological conditions (top row), perturbed model physics (second row), both perturbed initial conditions and physics (third row), perturbed biogenic emission caused by transport uncertainty (fourth row), perturbed emissions using monthly anthropogenic uncertainties per sector and country (fifth row), perturbations of the combined transport, biogenic feedback and anthropogenic emission uncertainties (bottom row). Note that the colour scale is logarithmic.

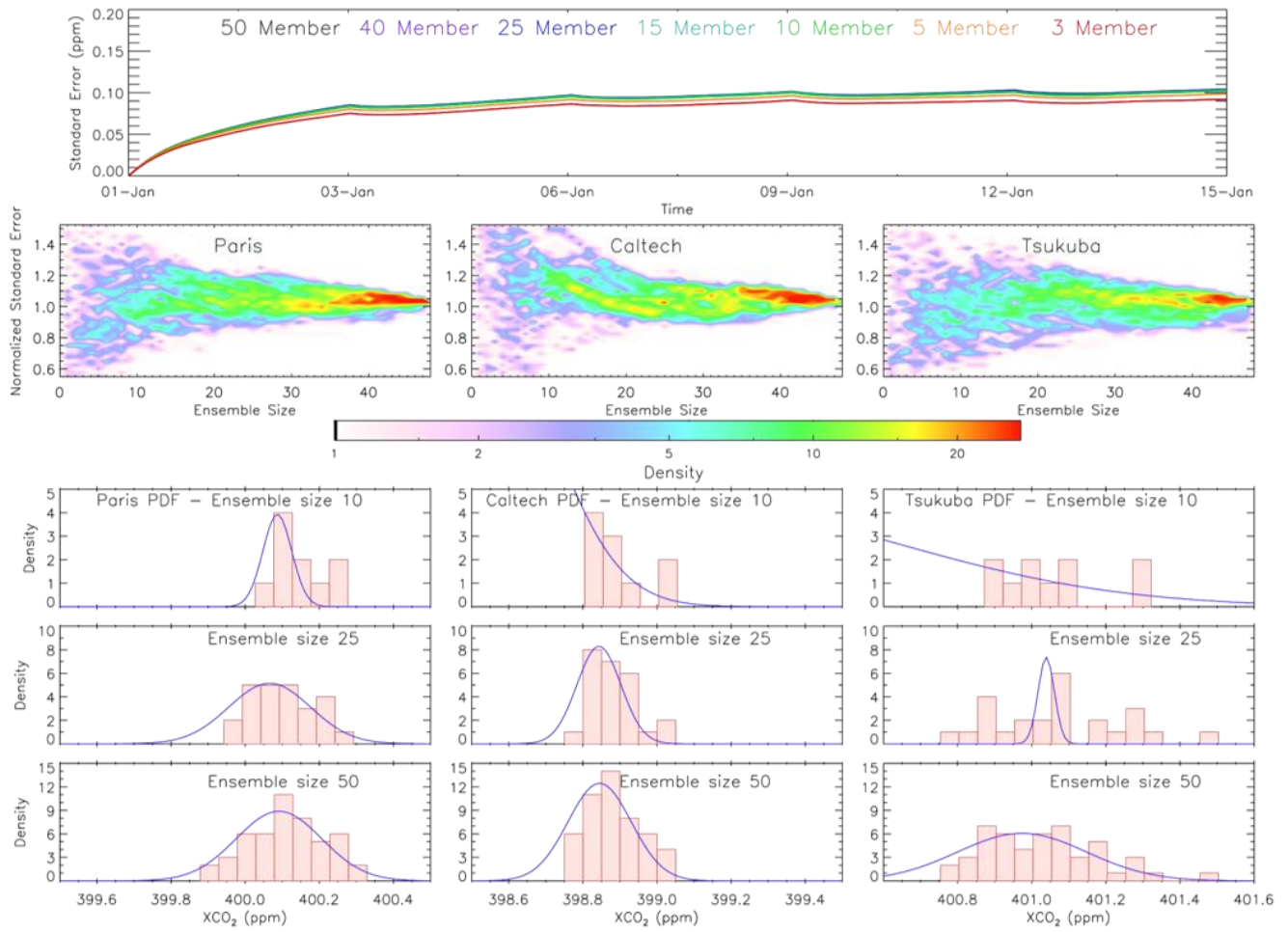
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**Figure 4. Global standard error of IFS model XCO<sub>2</sub> (ppm) across 50-member ensemble after 6 hours, 24 hours and 10 days. Errors shown are from uncertainties in biogenic emissions caused by meteorological uncertainty (top left), monthly anthropogenic emission uncertainties per sector and country (top right), model transport uncertainty (bottom left) and a combination of all uncertainties (bottom right).**



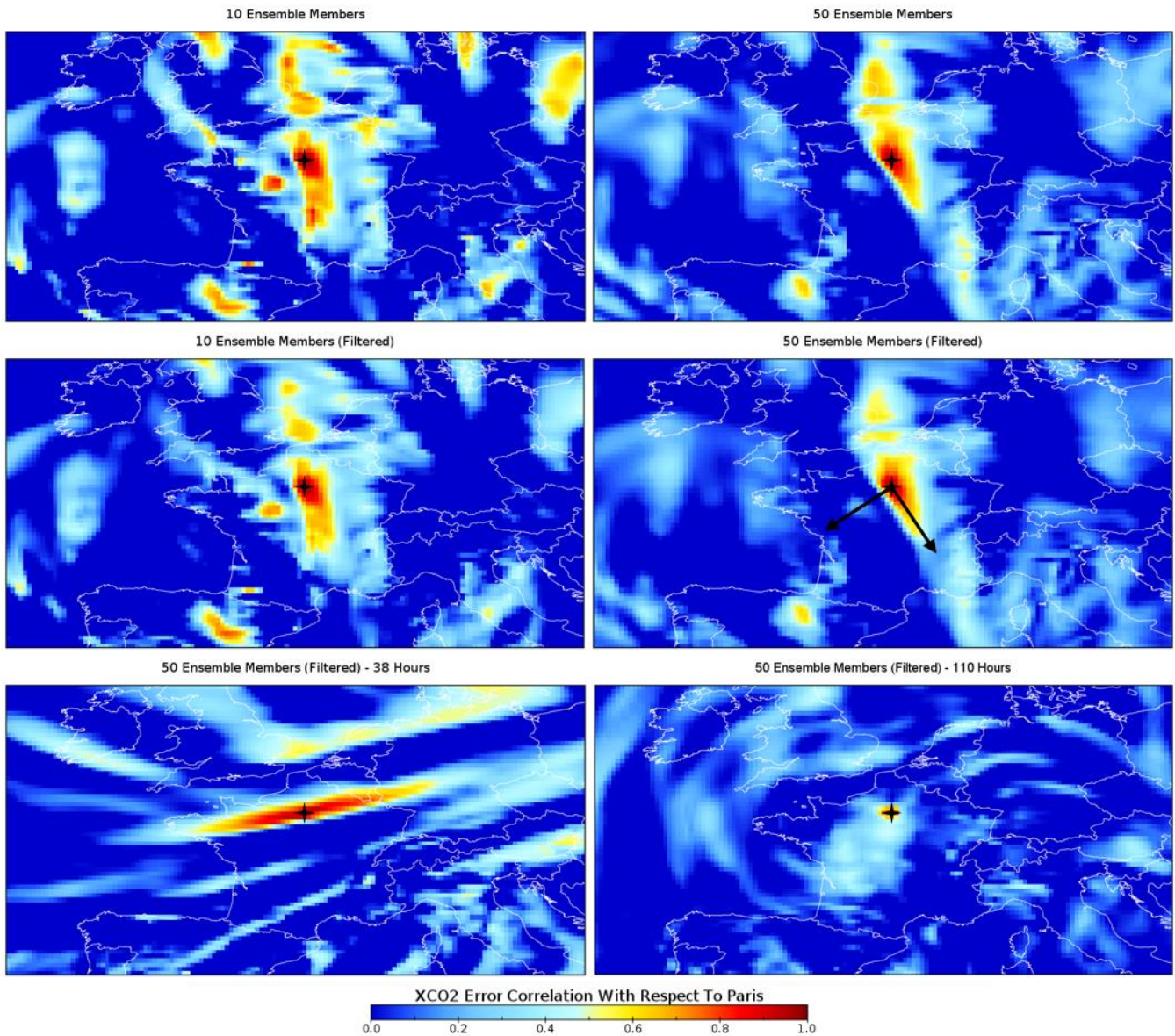
**Figure 5.** Global signal-to-noise ratio of IFS model XCO<sub>2</sub> across 50-member ensemble after 6 hours (top), 24 hours (middle) and 10 days (bottom), where the signal is the atmospheric response to annual (left) and monthly (right) anthropogenic emission uncertainty and the noise is the transport and biogenic feedback error.



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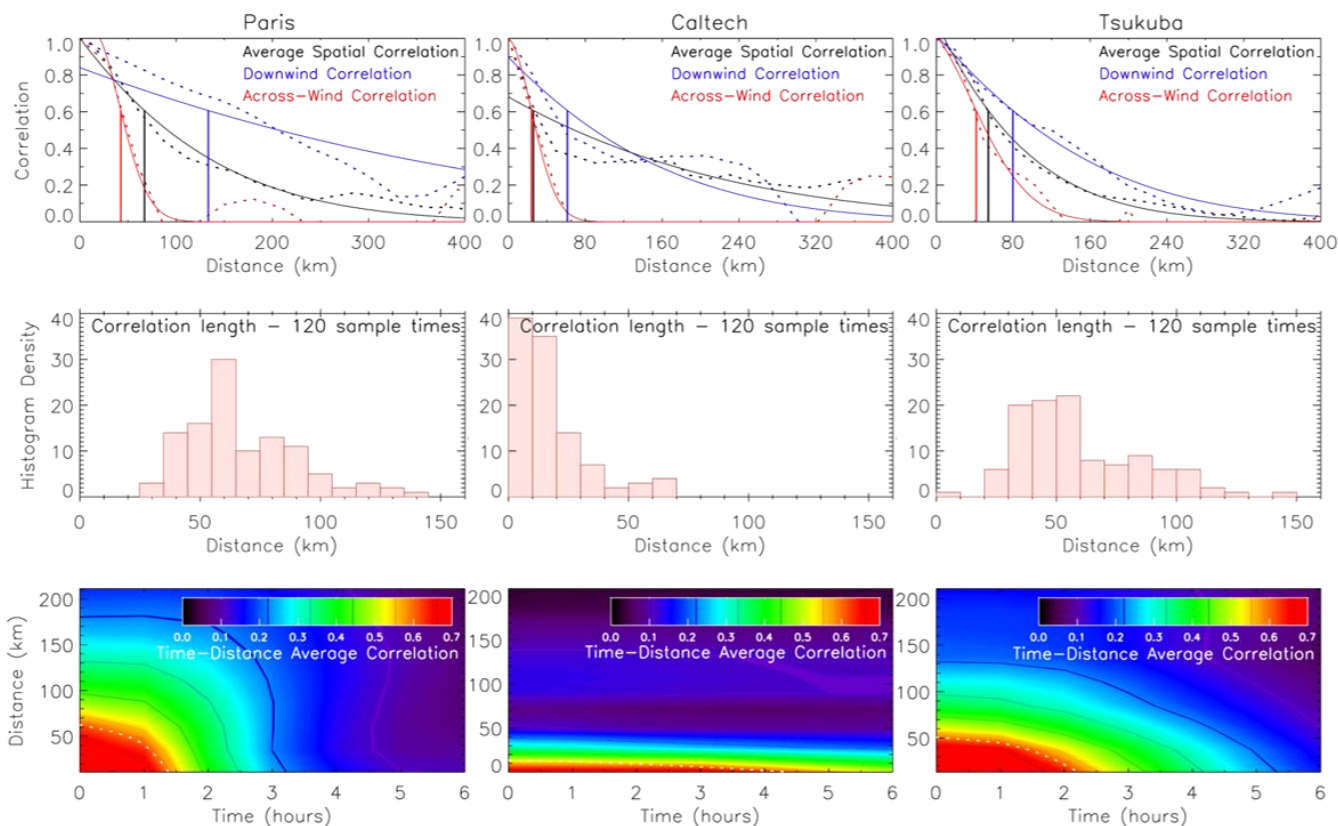
**Figure 6. Global average XCO<sub>2</sub> (ppm) standard error from IFS model over 15 days for a 3 (red), 5 (orange), 10 (green), 15 (turquoise), 25 (blue), 40 (purple) and 50 (black) member ensemble (top). A binned density plot of the change in normalised error, relative to the 50-member ensemble, with respect to ensemble size (second row). The normalised error is computed for each ensemble size for 120 different times (January 2015) before being binned. Histogram showing IFS model XCO<sub>2</sub> from a 10, 25 and 50 member ensemble after 5 days. Note that all ensembles shown consist of initial meteorological uncertainty and perturbed model physics (TME).**

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755 Figure 7. A snapshot of regional XCO<sub>2</sub> error correlation structure with respect to Paris XCO<sub>2</sub> from 10 (top left) and 50 (top right) member IFS model ensemble after 4 days, where the ensemble consists of perturbed initial meteorology and model physics (transport error). The middle-row shows the same as the top-row but includes the preceding and subsequent model time steps ( $\pm 1$  hour), artificially increasing the correlation sample to 30 and 150 members. The bottom row shows the same correlation calculations as the middle-right panel (150-member consisting of  $\pm 1$  hour) but for two different times; highlighting the flow-dependence in error correlation structure. The star denotes the column over Paris and the black arrows denote the down- and across-wind directions used to calculate the further and shortest correlation lengths for a given time (see Figure 8).

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765 **Figure 8.** A snapshot of XCO<sub>2</sub> error correlation with respect to Paris (left), Caltech (middle) and Tsukuba (right) as a function of distance for a 50-member IFS model ensemble after 4 days (top row). These panels show the directionally averaged (black dashed line), downwind (blue dashed line) and across-wind (red dashed line) correlation values are shown with a gaussian fit (solid lines) in addition to the derived correlation length where  $R = e^{-0.5}$  (vertical solid lines). The directionally averaged derived correlation lengths for 120 sample times for January 2015 are placed in 10km bins for all three sites (middle row). The directionally and time averaged error correlation values for the same 120 sample sizes as a function of both time and distance (bottom row).