



1 **Implementation of Yale Interactive terrestrial Biosphere model**
2 **version 1.0 into GEOS-Chem version 12.0.0: a tool for**
3 **biosphere-chemistry interactions**

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24 **Abstract:** The terrestrial biosphere and atmospheric chemistry interact through
25 multiple feedbacks, but the models of vegetation and chemistry are developed
26 separately. In this study, the Yale Interactive terrestrial Biosphere (YIBs) model, a
27 dynamic vegetation model with biogeochemical processes, is implemented into the
28 Chemical Transport Model GEOS-Chem version 12.0.0. Within the GC-YIBs
29 framework, leaf area index (LAI) and canopy stomatal conductance dynamically
30 predicted by YIBs are used for dry deposition calculation in GEOS-Chem. In turn, the
31 simulated surface ozone (O_3) by GEOS-Chem affect plant photosynthesis and
32 biophysics in YIBs. The updated stomatal conductance and LAI improve the
33 simulated daytime O_3 dry deposition velocity for major tree species. Compared with
34 the GEOS-Chem model, the model-to-observation correlation for dry deposition
35 velocities increases from 0.76 to 0.85 while the normalized mean error decreases from
36 35% to 27% using the GC-YIBs model. Furthermore, we quantify O_3 vegetation
37 damaging effects and find a global reduction of annual gross primary productivity by
38 2-5%, with regional extremes of 11–15% in the eastern U.S. and eastern China. The
39 online GC-YIBs model provides a useful tool for discerning the complex feedbacks
40 between atmospheric chemistry and terrestrial biosphere under global change.

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42 **Keywords:** GC-YIBs model, biosphere-chemistry interactions, dry deposition, ozone
43 vegetation damage

44



45 **1 Introduction**

46 The terrestrial biosphere interacts with atmospheric chemistry through the exchanges
47 of trace gases, water, and energy (Green et al., 2017; Hungate and Koch, 2015).
48 Emissions from terrestrial biosphere, such as biogenic volatile organic compounds
49 (BVOCs) and nitrogen oxides (NO_x) affect the formation of air pollutants and
50 chemical radicals in the atmosphere (Kleinman, 1994; Li et al., 2019). Globally,
51 terrestrial biosphere emits ~1100 Tg (1 Tg = 10¹² g) BVOC annually, which is
52 approximately ten times more than the total amount of VOC emitted worldwide from
53 anthropogenic sources including fossil fuel combustion and industrial activities
54 (Carslaw et al., 2010). Meanwhile, the biosphere acts as a major sink through dry
55 deposition of air pollutants, such as surface ozone (O₃) and aerosols (Fowler et al.,
56 2009; Park et al., 2014; Petroff, 2005). Dry deposition accounts for ~25% of the total
57 O₃ removed from the troposphere (Lelieveld and Dentener, 2000).

58

59 In turn, atmospheric chemistry can also affect the terrestrial biosphere (McGrath et al.,
60 2015; Schiferl and Heald, 2018; Yue and Unger, 2018). Surface O₃ has a negative
61 impact on plant photosynthesis and crop yields by reducing gas-exchange and
62 inducing phytotoxic damages on plant tissues (Van Dingenen et al., 2009; Wilkinson
63 et al., 2012; Yue and Unger, 2014). Unlike O₃, the increase of aerosols in the
64 atmosphere is beneficial to vegetation (Mahowald, 2011; Schiferl and Heald, 2018).
65 The aerosol-induced enhancement in diffuse light results in more radiation reaching
66 surface from all directions than solely from above. As a result, leaves in the shade or



67 at the bottom can receive more radiation and are able to assimilate more CO₂ through
68 photosynthesis, leading to an increase of canopy productivity (Mercado et al., 2009;
69 Yue and Unger, 2018).

70

71 Models are essential tools to understand and quantify the interactions between
72 terrestrial biosphere and atmospheric chemistry at the global and/or regional scales.

73 Many studies have performed multiple global simulations with
74 climate-chemistry-biosphere models to quantify the effects of air pollutants on

75 terrestrial biosphere (Mercado et al., 2009; Oliver et al., 2018; Schiferl and Heald,
76 2018; Yue and Unger, 2015). In contrast, very few studies have quantified the

77 O₃-induced biogeochemical and meteorological feedbacks to air pollution
78 concentrations (Sadiq et al., 2017; Zhou et al., 2018). Although considerable efforts

79 have been made, uncertainties in biosphere-chemistry interactions remain large
80 because their two-way coupling is not adequately represented in current generation of

81 terrestrial biosphere models or global chemistry models. Global terrestrial biosphere
82 models usually use prescribed O₃ and aerosol concentrations (Lombardozzi et al.,

83 2012; Mercado et al., 2009; Sitch et al., 2007), and global chemistry models often
84 apply fixed offline vegetation variables (Lamarque et al., 2013). For example,

85 stomatal conductance, which plays a crucial role in regulating water cycle and altering
86 pollution deposition, responds dynamically to vegetation biophysics and

87 environmental stressors at various spatiotemporal scales (Franks et al., 2017;
88 Hetherington and Woodward, 2003). However, these processes are either missing or



89 lack of temporal variations in most current chemical transport models (Verbeke et al.,
90 2015). The fully two-way coupling between biosphere and chemistry is necessary to
91 better quantify the responses of ecosystems and pollution to global changes.

92

93 In this study, we develop the GC-YIBs model by implementing the Yale Interactive
94 terrestrial Biosphere (YIBs) model version 1.0 (Yue and Unger, 2015) into the
95 chemical transport model (CTM) GEOS-Chem version 12.0.0
96 (http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem_12#12.0.0). The
97 GEOS-Chem (short as GC thereafter) model has been widely used in episode
98 prediction (Cui et al., 2016), source attribution (D'Andrea et al., 2016; Dunker et al.,
99 2017; Lu et al., 2019; Ni et al., 2018), future pollution projection (Ramnarine et al.,
100 2019; Yue et al., 2015), health risk assessment (Xie et al., 2019), and so on. The
101 standard GC model uses prescribed vegetation parameters and as a result cannot
102 depict the changes in chemical components due to biosphere-pollution interactions.
103 The updated GC-YIBs model links atmospheric chemistry with biosphere in a
104 two-way coupling such that changes in chemical components or vegetation will
105 simultaneously feed back to influence the other systems. Here, we evaluate the
106 dynamically simulated dry deposition and leaf area index (LAI) from GC-YIBs and
107 examine the consequent impacts on surface O₃. We also quantify the detrimental
108 effects of O₃ on gross primary productivity (GPP) using instant pollution
109 concentrations from the chemical module. The next section describes the GC-YIBs
110 model and the evaluation data. Section 3 compares simulated O₃ from GC-YIBs with



111 that from the original GC models and explores the causes of differences. Section 4
112 quantifies O₃ damaging effects to global GPP using the GC-YIBs model. The last
113 section summarizes progresses and discusses the next-step tasks to optimize the
114 GC-YIBs model.

115

116 **2 Methods and data**

117 **2.1 Descriptions of the YIBs model**

118 YIBs is a terrestrial vegetation model designed to simulate land carbon cycle with
119 dynamical prediction of LAI and tree height (Yue and Unger, 2015). The model
120 considers 9 plant functional types (PFTs), including evergreen needleleaf forest,
121 deciduous broadleaf forest, evergreen broadleaf forest, shrubland, tundra, C₃/C₄ grass,
122 and C₃/C₄ crops. The satellite-based land types and cover fraction are aggregated into
123 these 9 PFTs and used as input. The YIBs is driven with hourly 2-D meteorology and
124 3-D soil variables (6 layers) from the Modern-Era Retrospective analysis for Research
125 and Applications, version 2 (MERRA2).

126

127 The YIBs uses the model of Ball and Berry (Baldocchi et al., 1987) to compute leaf
128 stomatal conductance:

$$129 \quad g_s = \frac{1}{r_s} = m \frac{A_{net}}{c_s} RH + b \quad (1)$$

130 where r_s is the leaf stomatal resistance; m is the empirical slope of the Ball-Berry
131 stomatal conductance equation and is affected by water stress; c_s is the CO₂
132 concentration at the leaf surface; RH is the relative humidity of atmosphere; b



133 represents the minimum leaf stomatal conductance when net carbon assimilation
134 (A_{net}) is 0. For different PFTs, appropriate photosynthetic parameters are derived
135 from the Community Land Model (CLM) (Bonan et al., 2011).

136

137 The net carbon assimilation for C_3 and C_4 plants is computed based on
138 well-established Michaelis–Menten enzyme-kinetics scheme (Farquhar et al., 1980;
139 Voncaemmerer and Farquhar, 1981):

$$140 \quad A_{net} = \min(J_c, J_e, J_s) - R_d \quad (2)$$

141 Where J_c , J_e and J_s represent the Rubiso-limited photosynthesis, the RuBP-limited
142 photosynthesis, and the product-limited photosynthesis, respectively. They are all
143 parameterized as functions of the maximum carboxylation capacity (Collatz et al.,
144 1991) and meteorological variables (e.g., temperature, radiation, and CO_2
145 concentrations).

146

147 In addition, the YIBs model implements the scheme for O_3 damage on vegetation
148 proposed by Sitch et al. (2007). The scheme directly modifies photosynthesis using a
149 semi-mechanistic parameterization, which in turn affects stomatal conductance. The
150 O_3 damage factor is considered as the function of stomatal O_3 flux:

$$151 \quad F = \begin{cases} -a(F_{O_3} - T_{O_3}), & F_{O_3} > T_{O_3} \\ 0, & F_{O_3} \leq T_{O_3} \end{cases} \quad (3)$$

152 Where a represents the damaging sensitivity and T_{O_3} represents the O_3 flux
153 threshold. For a specific PFT, the coefficient a varies from low to high to represent
154 a range of uncertainties. T_{O_3} is a critical threshold for O_3 damage and varies with PFTs.



155 The F becomes negative only if F_{O_3} is higher than T_{O_3} . Stomatal O_3 flux F_{O_3} is
156 dependent on both stomatal resistance and ambient $[O_3]$:

157
$$F_{O_3} = \frac{[O_3]}{r_b + k \cdot r_s} \quad (4)$$

158 where $[O_3]$ represents O_3 concentration at top of the canopy, r_b represents the
159 boundary layer resistance, and r_s represents the stomatal resistance. The Sitch et al.
160 (2007) scheme within the YIBs framework has been well evaluated against hundreds
161 of observations globally (Yue and Unger, 2018) and regionally (Yuan et al., 2017; Yue
162 et al., 2016).

163

164 **2.2 Descriptions of the GEOS-Chem model**

165 GC is a global 3-D model of atmospheric compositions with fully coupled
166 O_3 - NO_x -hydrocarbon-aerosol chemical mechanisms (Gantt et al., 2015; Lee et al.,
167 2017; Ni et al., 2018). In this study, we use GC version 12.0.0 driven by assimilated
168 meteorology from MERRA2 with a horizontal resolution of 4° latitude by 5°
169 longitude and 47 vertical layers from surface to 0.01 hPa.

170

171 In GC, terrestrial vegetation modulates tropospheric O_3 mainly through LAI and
172 canopy stomatal conductance, which affect both the sources and sinks of tropospheric
173 O_3 through changes in BVOC emissions, soil NO_x emissions, and dry deposition
174 (Zhou et al., 2018). BVOC emissions are calculated based on a baseline emission
175 factor parameterized as the function of light, temperature, leaf age, soil moisture, LAI,
176 and CO_2 inhibition within the Model of Emissions of Gasses and Aerosols from



177 Nature (MEGAN v2.1) (Guenther et al., 2006). Soil NO_x emission is computed based
178 on the scheme of Hudman et al. (2012) and further modulated by a reduction factor to
179 account for within-canopy NO_x deposition (Rogers and Whitman, 1991). The dry
180 deposition velocity (V_d) for O₃ is computed based on a resistance-in-series model
181 within GC:

$$182 \quad V_d = \frac{1}{R_a + R_b + R_c} \quad (5)$$

183 where R_a is the aerodynamic resistance representing the ability of the airflow to
184 bring gases or particles close to the surface and is dependent mainly on the
185 atmospheric turbulence structure and the height considered. R_b is the boundary
186 resistance driven by the characteristics of surface (surface roughness) and gas/particle
187 (molecular diffusivity). R_a and R_b are calculated from the global climate models
188 (GCM) meteorological variables (Jacob et al., 1992). The surface resistance R_c is
189 determined by the affinity of surface for the chemical compound. For O₃ over
190 vegetated regions, V_d is mainly driven by R_c during daytime because the effects of
191 R_a and R_b are generally small. Surface resistances R_c are computed using the
192 Wesely (1989) canopy model with some improvements, including explicit dependence
193 of canopy stomatal resistances on LAI (Gao and Wesely, 1995) and direct/diffuse PAR
194 within the canopy (Baldocchi et al., 1987):

$$195 \quad \frac{1}{R_c} = \frac{1}{R_s + R_m} + \frac{1}{R_{lu}} + \frac{1}{R_{cl}} + \frac{1}{R_g} \quad (6)$$

196 where R_s is the stomatal resistance, R_m is the leaf mesophyll resistance ($R_m = 0$ s
197 cm⁻¹ for O₃), R_{lu} is the upper canopy or leaf cuticle resistance, R_{cl} is the lower



198 canopy resistance. R_s is calculated based on minimum stomatal resistance (r_s), solar
199 radiation (G), surface air temperature (T_s), and the molecular diffusivities (D_{H_2O} and
200 D_x) for a specific gas x :

$$201 \quad R_s = r_s \left\{ 1 + \frac{1}{[200(G+0.1)]^2} \right\} \left\{ \frac{400}{T_s(40-T_s)} \right\} \frac{D_{H_2O}}{D_x} \quad (7)$$

202 In GC, the above parameters related to R_c have prescribed values for 11 deposition
203 land types, including snow/ice, deciduous forest, coniferous forest, agricultural land,
204 shrub/grassland, amazon forest, tundra, desert, wetland, urban and water (Jacob et al.,
205 1992; Wesely, 1989).

206

207 The Olson 2001 land cover map used in GC version 12.0.0 has a native resolution of
208 $0.25^\circ \times 0.25^\circ$ and 74 land types (Olson et al., 2001). Each of the Olson land types is
209 associated with a corresponding deposition land type with prescribed parameters.
210 There are 74 Olson land types but only 11 deposition land types, suggesting that many
211 of the Olson land types share the same deposition parameters. At specific grids ($4^\circ \times 5^\circ$
212 or $2^\circ \times 2.5^\circ$), dry deposition velocity is calculated as the weighted sum of native
213 resolution ($0.25^\circ \times 0.25^\circ$).

214

215 **2.3 Implementation of YIBs into GEOS-Chem (GC-YIBs)**

216 In this study, GC model time steps are set to 30 min for transport and convection and
217 60 min for emissions and chemistry. In the online GC-YIBs configuration, GC
218 provides the hourly meteorology and surface $[O_3]$ to YIBs. Without YIBs
219 implementation, the GC model computes O_3 dry deposition velocity using prescribed



220 LAI and parameterized canopy stomatal resistance (R_s), and as a result ignore
221 feedbacks from ecosystems (details in 2.2). With YIBs embedded, daily LAI and
222 hourly stomatal conductance are dynamically predicted for the dry deposition scheme
223 within the GC model. The online-simulated surface $[O_3]$ affects carbon assimilation
224 and canopy stomatal conductance, in turn, the online-simulated vegetation variables
225 such as LAI and stomatal conductance affect both the sources and sinks of O_3 by
226 altering precursor emissions and dry deposition at the 1-hour integration time step.
227 The above processes are summarized in Fig. 1. To preserve the corresponding
228 relationship between vegetation parameters and land cover map in the GC-YIBs
229 model, we replace the Olson 2001 land cover map in GC with satellite-retrieved land
230 cover dataset used by YIBs (Defries et al., 2000; Hanninen and Kramer, 2007).
231 Stomatal resistance is first calculated for each of 9 PFTs at individual grid cells. The
232 dry deposition velocity is then computed based on the area-weighted sum of stomatal
233 resistance over all PFTs within the same grid.

234

235 **2.4 Model simulations**

236 We conduct six simulations to evaluate the performance of GC-YIBs and to quantify
237 global O_3 damage to vegetation (Table 1): (i) Offline, a control run using the offline
238 GC-YIBs model. The YIBs module shares the same meteorological forcing as the GC
239 module and predicts both GPP and LAI. However, predicted vegetation variables are
240 not fed into GC, which is instead driven by prescribed LAI from Moderate Resolution
241 Imaging Spectroradiometer (MODIS) product and parameterized canopy stomatal



242 conductance proposed by Gao and Wesely (1995). (ii) Online_LAI, a sensitive run
243 using online GC-YIBs with dynamically predicted daily LAI from YIBs but original
244 parameterizations of stomatal conductance. (iii) Online_GS, another sensitive run
245 using YIBs predicted stomatal conductance but prescribed MODIS LAI. (iv)
246 Online_ALL, in which both YIBs predicted LAI and stomatal conductance are used
247 for GC. (v) Online_ALL_HS, the same as Online_ALL except that predicted surface
248 O₃ damages plant photosynthesis with high sensitivities. (vi) Online_ALL_LS, the
249 same as Online_ALL_HS but with low O₃ damaging sensitivities. Each simulation is
250 run from 2006 to 2012 with the first 4 years for spin-up, and results from 2010 to
251 2012 are used to evaluate the online GC-YIBs model. The differences between
252 Online_ALL and Online_GS (Online_LAI) represent the effects of coupled LAI
253 (stomatal conductance) on simulated [O₃]. Differences between Offline and
254 Online_ALL then represent joint effects of coupled LAI and stomatal conductance.
255 The last three runs are used to quantify the global O₃ damage on ecosystem
256 productivity.

257

258 **2.5 Validation data**

259 We use observed LAI data for 2010–2012 from the MODIS product. Benchmark GPP
260 product of 2010–2012 is estimated by upscaling ground-based FLUXNET eddy
261 covariance data using a model tree ensemble approach (Jung et al., 2009).
262 Measurements of surface [O₃] over North America and Europe are provided by the
263 Global Gridded Surface Ozone Dataset (Sofen et al., 2016), and those over China are



264 interpolated from data at ~1500 sites operated by China's Ministry of Ecology and
265 Environment (<http://english.mee.gov.cn>). We perform literature research to collect
266 data of dry deposition velocity from 3 deciduous forest, 2 amazon forest, and 4
267 coniferous forest sites (Table 2).

268

269 **3 Results**

270 **3.1 Evaluation of offline GC-YIBs model**

271 The simulated GPP and LAI are compared with observations for the period of
272 2010-2012 (Fig. 2). Observed LAI and benchmark GPP both show high values in the
273 tropics and medium values in the northern mid-high latitudes. Compared to
274 observations, the GC-YIBs model forced with MERRA2 meteorology depicts similar
275 spatial distributions, with spatial correlation coefficients of 0.83 ($p < 0.01$) for GPP
276 and 0.86 ($p < 0.01$) for LAI. Although the model overestimates LAI in the tropics and
277 northern high latitudes by 1-2 $\text{m}^2 \text{m}^{-2}$, the simulated global area-weighted LAI (1.42
278 $\text{m}^2 \text{m}^{-2}$) is close to observations (1.33 $\text{m}^2 \text{m}^{-2}$) with a normalized mean bias (NMB) of
279 6.7%. Similar to LAI, the global NMB for GPP is only 7.1%, though there are
280 substantial regional biases especially in Amazon and central Africa. Such differences
281 are in part attributed to the underestimation of GPP for tropical rainforest in the
282 benchmark product, because the recent simulations at eight rainforest sites with the
283 YIBs model driven by a different meteorology dataset (Yue and Unger, 2015)
284 reproduced ground-based observations well (Yue and Unger, 2018)

285



286 We then evaluate simulated annual mean surface $[O_3]$ during 2010-2012 (Fig. 3). The
287 simulated high values are mainly located in the mid-latitudes of Northern Hemisphere
288 (NH, Fig. 3a). Compared to observations, simulations show reasonable spatial
289 distribution with a correlation coefficient of 0.63 ($p < 0.01$). Although offline
290 GC-YIBs model overestimates annual $[O_3]$ in southern China while predicts lower
291 values in western Europe and western U.S., the simulated area-weighted surface $[O_3]$
292 (45.4 ppbv) is only 6% higher than observations (42.8 ppbv). Predicted summertime
293 surface $[O_3]$ instead shows positive biases in eastern U.S. and Europe (Fig. S1),
294 consistent with previous evaluations using the GC model (Schiferl and Heald, 2018;
295 Travis et al., 2016; Yue and Unger, 2018).

296

297 **3.2 Changes of surface O_3 in online GC-YIBs model**

298 Surface O_3 is changed by the coupling of LAI and stomatal conductance (Fig. 4).
299 Global $[O_3]$ shows similar patterns between offline (Fig. 3a) and online (Fig. 4a)
300 simulations. However, the online GC-YIBs predicts larger $[O_3]$ of 0.5-2 ppbv in the
301 mid-high latitudes of NH, leading to an average enhancement of $[O_3]$ by 0.22 ppbv
302 compared to offline simulations (Fig. 4b). Regionally, some negative changes of 1-2
303 ppbv can be found at the tropical regions. With sensitivity experiments Online_LAI
304 and Online_GS (Table. 1), we separate the contributions of LAI and stomatal
305 conductance changes to $\Delta[O_3]$. It is found that $\Delta[O_3]$ between Online_ALL and
306 Online_LAI (Fig. 4c) resembles the total $\Delta[O_3]$ pattern (Fig. 4b), suggesting that
307 changes in stomatal conductance play the dominant role in regulating surface $[O_3]$. As



308 a comparison, $\Delta[\text{O}_3]$ values between Online_ALL and Online_GS show limited
309 changes globally (by 0.05 ppbv) and moderate changes in tropical regions (Fig. 4d),
310 mainly because the LAI predicted by YIBs is close to MODIS LAI used in GC (Fig.
311 2). It is noticed that the average $\Delta[\text{O}_3]$ in Fig. 4b is not equal to the sum of Fig. 4c and
312 Fig. 4d, because of the non-linear effects.

313

314 We further explore the possible causes of differences in simulated $[\text{O}_3]$ between online
315 and offline GC-YIBs models. Fig. 5 shows simulated annual O_3 dry deposition
316 velocity from online GC-YIBs model and its changes in different sensitivity
317 experiments. The global average velocity is 0.25 cm s^{-1} with regional maximum of
318 $0.5\text{-}0.7 \text{ cm s}^{-1}$ in tropical rainforest (Fig. 5a), especially over Amazon and central
319 Africa where high ecosystem productivity is observed (Fig. 2). With implementation
320 of YIBs into GC, simulated dry deposition velocity increases over tropical regions but
321 decreases in mid-high latitudes of NH (Fig. 5b). Larger dry deposition results in lower
322 $[\text{O}_3]$ in the tropics, while smaller dry deposition increases $[\text{O}_3]$ in boreal regions. Such
323 spatial patterns are broadly consistent with $\Delta[\text{O}_3]$ in online GC-YIBs (Fig. 4b),
324 suggesting that changes of dry deposition velocity are the dominant drivers of O_3
325 changes. Both the updated LAI and stomatal conductance influence dry deposition.
326 Sensitivity experiments further show that changes in dry deposition are mainly driven
327 by coupled canopy stomatal conductance (Fig. 5c) instead of LAI (Fig. 5d), though
328 the latter contributes to the enhanced dry deposition in the tropics.

329



330 The original GC dry deposition scheme applies fixed parameters for stomatal
331 conductance of a specific land type (Fig. 6). The updated GC-YIBs model instead
332 calculates stomatal conductance as a function of photosynthesis and environmental
333 forcings (Equation 1). As a result, predicted dry deposition exhibits discrepancies
334 among biomes (Fig. 7). For agricultural land and shrub/grassland, the simulated O₃
335 dry deposition velocity for online GC-YIBs model is close to GC model with NMBs
336 of 3%, -2% and correlation coefficients of 0.96, 0.97, respectively. However, the
337 simulated dry deposition velocity in online GC-YIBs is lower than GC by 18% for
338 deciduous forest and 14% coniferous forest, but larger by 17% for Amazon forest.
339 Such changes match the spatial pattern of dry deposition shown in Fig. 5b.

340

341 Since the changes of O₃ dry deposition velocity are mainly found in deciduous forest,
342 coniferous forest, and amazon forest, we collect data at 9 sites across these three
343 biomes to evaluate the online GC-YIBs model (Table. 2 and Fig. 6). For the 5 samples
344 at deciduous forest, the normalized mean error (NME) decreases from 50% in GC
345 model to 27% in GC-YIBs with lower relative errors in all sites (Fig. 8). Predictions
346 with the GC-YIBs also show large improvements over coniferous forest, where 6 out
347 of 9 samples showing lower (decreases from 48% in GC to 35% in GC-YIBs) errors.
348 For amazon forest, the GC-YIBs model significantly improves the prediction at one
349 site (117.9°E, 4.9°N) where the original error of -0.17 cm s⁻¹ is limited to only 0.03
350 cm s⁻¹. However, the new model does not improve the prediction at the other amazon
351 forest site. Overall, the simulated daytime O₃ dry deposition velocities in online



352 GC-YIBs model are closer to observations than those in GC model with smaller NME
353 (27% vs. 35%), root-mean-square errors (RMSE, 0.19 vs. 0.24) and higher correlation
354 coefficients (0.85 vs. 0.76). Such improvements consolidate our strategies in updating
355 GC model to the fully coupled GC-YIBs model.

356

357 **3.3 Assessment of global O₃ damages to vegetation**

358 An important feature of GC-YIBs is the inclusion of online vegetation damages by
359 surface O₃. Here, we quantify the global O₃ damages to GPP and LAI by conducting
360 Online_ALL_HS and Online_ALL_LS simulations (Fig. 9). Due to O₃ damaging,
361 annual GPP declines from -2% (low sensitivity) to -5% (high sensitivity) on the global
362 scale. Regionally, O₃ decreases GPP as high as 11% in the eastern U.S. and up to 15%
363 in eastern China at the high sensitivity (Figs. 9a, b). Such strong damages are related
364 to (i) high ambient [O₃] due to anthropogenic emissions and (ii) large stomatal
365 conductance due to active ecosystem productivity in monsoon areas. The O₃ effects
366 are moderate in tropical areas, where stomatal conductance is also high while [O₃] is
367 very low (Fig. 4a) due to limited anthropogenic emissions. Furthermore, O₃-induced
368 GPP reductions are also small in western U.S. and western Asia. Although [O₃] is high
369 over these semi-arid regions (Fig. 4a), the drought stress decreases stomatal
370 conductance and consequently constrains the O₃ uptake. The damages to LAI (Figs.
371 9c, d) generally follow the pattern of GPP reductions (Figs. 9a, b) but with lower
372 magnitude. These results are slightly different from our previous studies which used
373 prescribed LAI and/or surface [O₃] in the simulations (Yue and Unger, 2014, 2015).

374



375 **4 Conclusions and discussion**

376 The terrestrial biosphere and atmospheric chemistry interact through a series of
377 feedbacks (Green et al., 2017). Among biosphere-chemistry interactions, dry
378 deposition plays a key role in the exchange of compounds and acts as an important
379 sink for several air pollutants (Verbeke et al., 2015). However, dry deposition is
380 simply parameterized in most of current CTMs (Hardacre et al., 2015). For all
381 chemical species considered in GC model, stomatal resistance R_c is simply
382 calculated as the function of minimum stomatal resistance and meteorological
383 forcings. Such parameterization not only induces biases, but also ignores the
384 feedbacks from biosphere-chemistry interactions. For example, recent studies
385 revealed that O_3 -induced damages to vegetation could reduce stomatal conductance
386 and in turn alter ambient O_3 level (Sadiq et al., 2017; Zhou et al., 2018). In this study,
387 we implement YIBs into the GC model with fully interactive surface O_3 and terrestrial
388 biosphere. The dynamically predicted LAI and stomatal conductance from YIBs are
389 instantly provided to GC, meanwhile the prognostic O_3 simulated by GC is
390 simultaneously affecting vegetation biophysics in YIBs. With these updates, simulated
391 daytime O_3 dry deposition velocities in GC-YIBs are closer to observations than those
392 in original GC model.

393

394 An earlier study updated dry deposition scheme in the Community Earth System
395 Model (CESM) by implementing the leaf and stomatal resistances (Val Martin et al.,
396 2014). Compared to that work, the magnitudes of $\Delta[O_3]$ in our simulations are smaller



397 in northern America, eastern Europe, and southern China. This might be because the
398 original dry deposition scheme in the GC model (see validation in Fig. 7) is better
399 than that in CESM, leaving limited potentials for improvements. In GC, the leaf
400 cuticular resistance (R_{lu}) is dependent on LAI (Gao and Wesely, 1995), while the
401 original calculation of R_{lu} in CESM does not include LAI (Wesely, 1989). In
402 addition, differences in the canopy schemes for stomatal conductance between YIBs
403 and Community Land Model (CLM) may cause different responses in dry deposition,
404 which is changed by -0.12 to 0.16 cm s^{-1} in GC-YIBs but much larger by -0.15 to 0.25
405 cm s^{-1} in CESM (Val Martin et al., 2014). Moreover, the GC-YIBs is driven with
406 prescribed reanalysis while CESM dynamically predicts climatic variables.
407 Perturbations of meteorology in response to terrestrial properties may further magnify
408 the variations in atmospheric components in CESM.

409

410 Although we implement YIBs into GC with fully interactive surface O_3 and terrestrial
411 biosphere, it should be noted that considerable limits still exist and further
412 developments are required for GC-YIBs. (1) Atmospheric nitrogen alters plant growth
413 and further influences both the sources and sinks of surface O_3 through surface–
414 atmosphere exchange processes (Zhao et al., 2017). However, the YIBs model
415 currently utilizes a fixed nitrogen level and does not include an interactive nitrogen
416 cycle, which may induce uncertainties in simulating carbon fluxes. (2) The current
417 GC-YIBs is limited to a low resolution due to slow computational speed and high
418 computational costs for long-term integrations. The GC model, even at the $2^\circ \times 2.5^\circ$



419 resolution, takes days to simulate 1 model year due to comprehensive
420 parameterizations of physical and chemical processes. Such low speed constrains
421 long-term spin up required by dynamical vegetation models. (3) Validity of $\Delta[\text{O}_3]$,
422 especially those at high latitudes in NH, cannot be directly evaluated due to a lack of
423 measurements. Although changes of dry deposition show improvements in GC-YIBs,
424 the ultimate effects on surface $[\text{O}_3]$ remain unclear within the original GC framework.

425

426 Despite these deficits, the development of GC-YIBs provides a unique tool for
427 studying biosphere-chemistry interactions. In the future, we will extend our
428 applications in: (1) Air pollution impacts on biosphere, including both O_3 and aerosol
429 effects. The GC-YIBs model can predict atmospheric aerosols, which affect both
430 direct and diffuse radiation through the Rapid Radiative Transfer Model for GCMs
431 (RRTMG) in the GC module (Schiferl and Heald, 2018). The diffuse fertilization
432 effects in the YIBs model have been fully evaluated (Yue and Unger, 2018), and as a
433 result we can quantify the impacts of aerosols on terrestrial ecosystems. (2) Multiple
434 schemes for BVOC emissions. The YIBs model incorporates both MEGAN (Guenther
435 et al., 2006) and photosynthesis-dependent (Unger, 2013) isoprene emission schemes
436 (Yue and Unger, 2015). The two schemes within the GC-YIBs framework can be used
437 and compared for simulations of BVOC and consequent air pollution (e.g., O_3 ,
438 secondary organic aerosols). (3) Biosphere-chemistry feedbacks to air pollution. The
439 effects of air pollution on the biosphere include changes in stomatal conductance, LAI,
440 and BVOC emissions, which in turn modify the sources and sinks of atmospheric



441 components. Only a few studies have quantified these feedbacks for O₃-vegetation
442 interactions (Sadiq et al., 2017; Zhou et al., 2018). We can explore the full
443 biosphere-chemistry coupling for both O₃ and aerosols using the GC-YIBs model in
444 the future.

445

446 **Code availability**

447 The YIBs model was developed by Xu Yue and Nadine Unger with code sharing at
448 https://github.com/YIBS01/YIBS_site. The GEOS-Chem model was developed by the
449 Atmospheric Chemistry Modeling Group at Harvard University led by Prof. Daniel
450 Jacob and is publicly available at <http://acmg.seas.harvard.edu/geos/>. The source
451 codes for the GC-YIBs model is archived at <https://github.com/leiyd001/GC-YIBs>.

452

453 *Author contributions.* Xu Yue conceived the study. Yadong Lei and Xu Yue were
454 responsible for model coupling, simulations, results analysis and paper writing. All
455 co-authors improved and prepared the manuscript.

456

457 *Competing interests.* The authors declare that they have no conflict of interest.

458

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685 **Table 1** Summary of simulations using the GC-YIBs model

Name	Scheme	Ozone effects
Offline	Monthly prescribed MODIS LAI	No
	Original dry deposition scheme	
Online_LAI	Daily dynamically predicted LAI	No
	Original dry deposition scheme	
Online_GS	Monthly prescribed MODIS LAI	No
	Hourly predicted stomatal conductance	
Online_ALL	Daily dynamically predicted LAI	No
	Hourly predicted stomatal conductance	
Online_ALL_HS	Daily dynamically predicted LAI	High
	Hourly predicted stomatal conductance	
	Hourly predicted [O ₃] by GC model	
Online_ALL_LS	Daily dynamically predicted LAI	Low
	Hourly predicted stomatal conductance	
	Hourly predicted [O ₃] by GC model	

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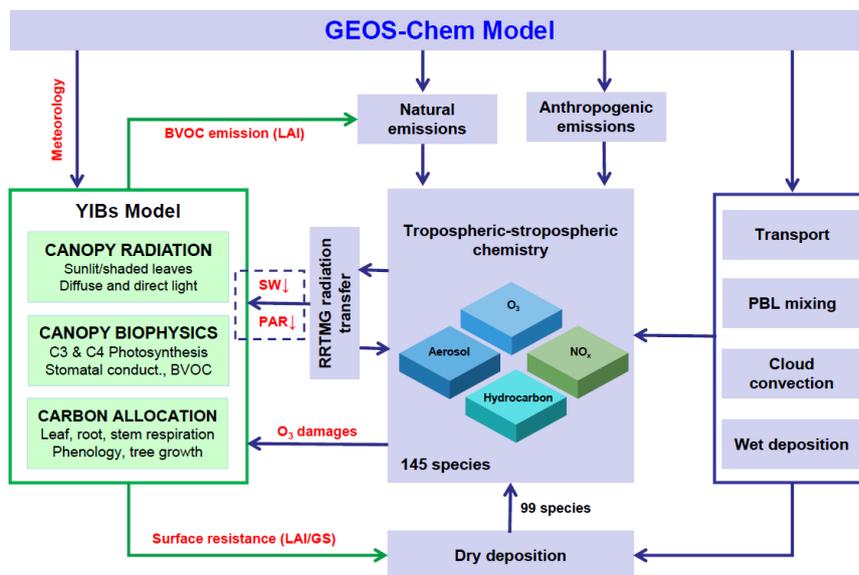


702 **Table.2** List of measurement sites used for dry deposition evaluation

Land type	Longitude	Latitude	Season	Vd (daytime, cm s ⁻¹)	Citation	
Deciduous forest	80.9°W	44.3°N	summer	0.92	(Padro et al., 1991)	
			winter	0.28		
	72.2°W	42.7°N	summer	0.61	(Munger et al., 1996)	
			winter	0.28		
Amazon forest	75.2°W	43.6°N	summer	0.82	(Rummel et al., 2007)	
			61.8°W	10.1°S		wet
	117.9°E	4.9°N	wet	1.0	(Fowler et al., 2011)	
			3.4°W	55.3°N	spring	0.58
Coniferous forest	66.7°W	54.8°N	summer	0.26	(Munger et al., 1996)	
			11.1°E	60.4°N		spring
	8.4°E	56.3°N	summer	0.48	(Hole et al., 2004)	
			autumn	0.2		
			winter	0.074		
			spring	0.68		
				summer	0.8	(Mikkelsen et al., 2004)
				autumn	0.83	

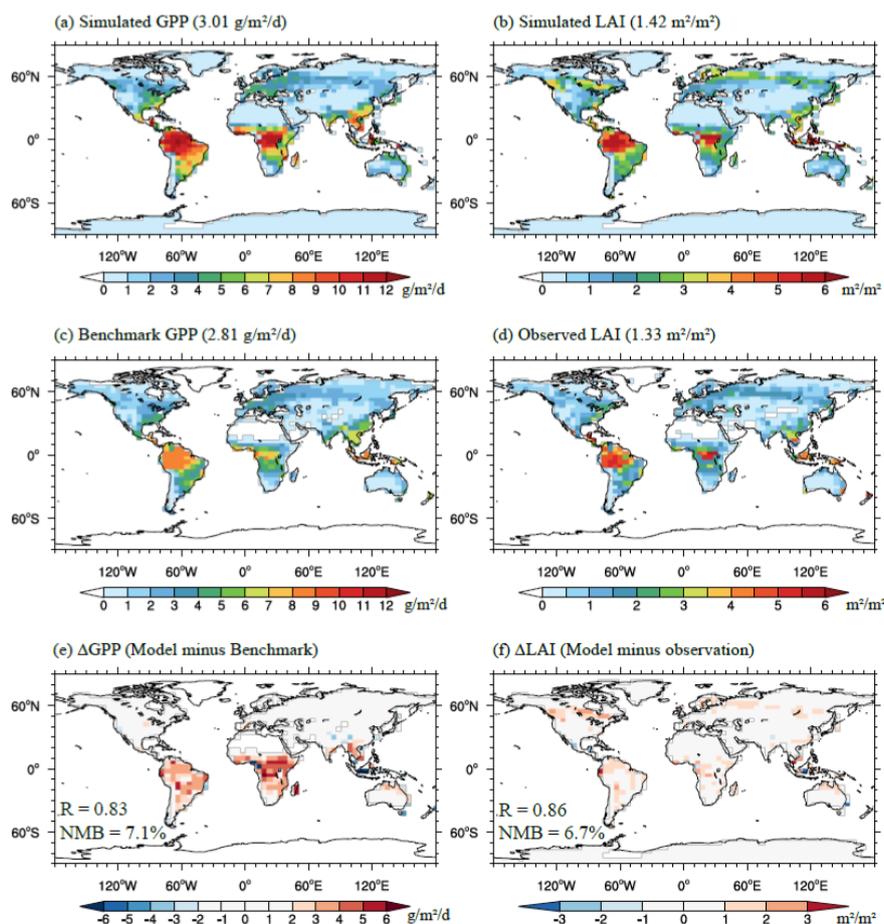
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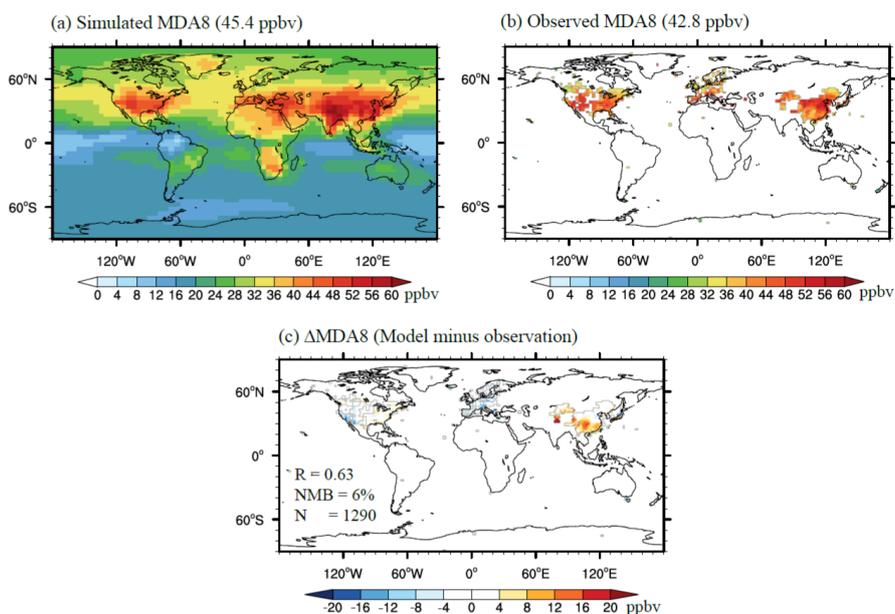
706 **Figure 1** Diagram of the GC-YIBs global carbon-chemistry model. Processes with
707 red fonts are implemented in this study. Processes with blue dashed box will be
708 developed in the future.



709

710 **Figure 2** Annual gross primary productivity (GPP) and leaf area index (LAI) from
711 simulations (a, b), observations (c, d), and their differences (e, f) averaged for period
712 of 2010-2012. Global area-weighted GPP and LAI are shown on the title brackets.
713 The correlation coefficients (R) and global normalized mean biases (NMB) are shown
714 in the bottom figures.

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716

717 **Figure 3** Annual surface O_3 concentrations ($[O_3]$) from simulations (a), observations

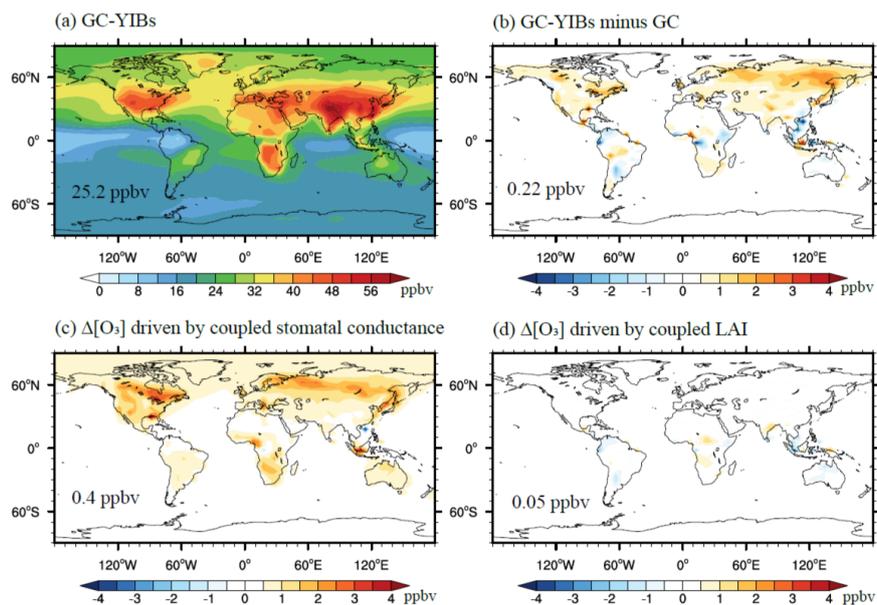
718 (b), and their differences (c) averaged for period of 2010-2012. Global area-weighted

719 surface $[O_3]$ over grids with available observations are shown on the title brackets.

720 The correlation coefficient (R) and global normalized mean biases (NMB) are shown

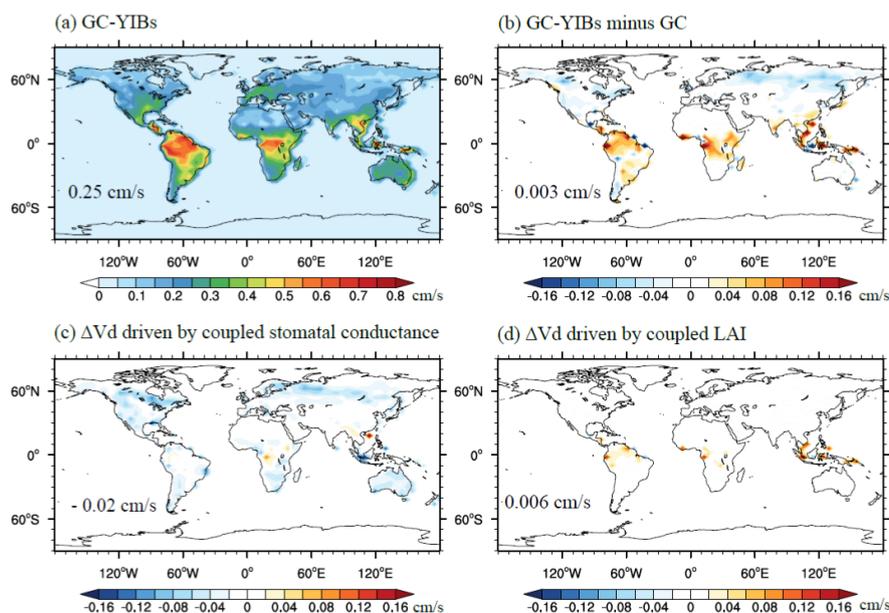
721 in the bottom figures with indication of grid numbers (N) used for statistics.

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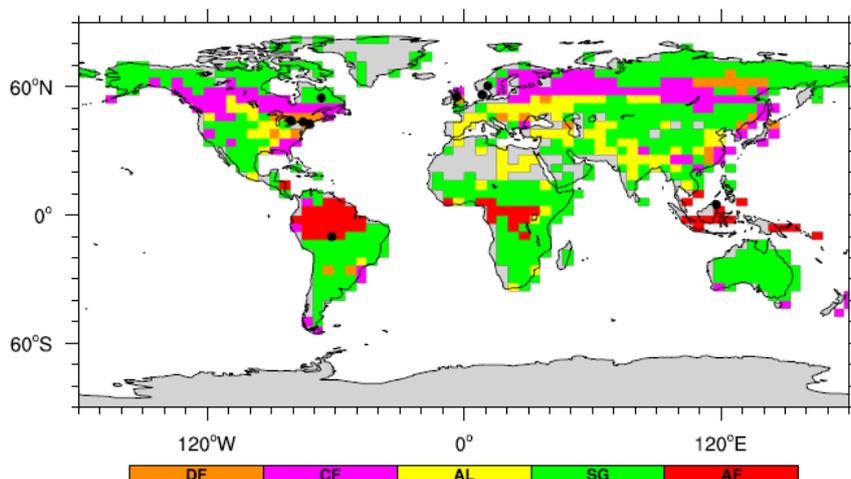
724 **Figure 4** Simulated annual surface $[O_3]$ from online GC-YIBs model (a) and its
725 changes (b-d) relative to offline simulations. Changes of $[O_3]$ are caused by (b) jointly
726 coupled LAI and stomatal conductance (Online_ALL – Offline), (c) coupled stomatal
727 conductance alone (Online_ALL – Online_LAI), and (d) coupled LAI alone
728 (Online_ALL – Online_GS). Global area-weighted $[O_3]$ or $\Delta[O_3]$ are shown in the
729 figures.



730

731 **Figure 5** Simulated annual O₃ dry deposition velocity from online GC-YIBs model (a)
732 and its changes caused by coupled LAI and stomatal conductance (b-d) averaged for
733 period of 2010-2012. The changes of dry deposition velocity are driven by (b)
734 coupled LAI and stomatal conductance (Online_ALL – Offline), (c) coupled stomatal
735 conductance alone (Online_ALL – Online_LAI), and (d) coupled LAI alone
736 (Online_ALL – Online_GS). Global area-weighted annual O₃ dry deposition velocity
737 and changes are shown in the figures.

738



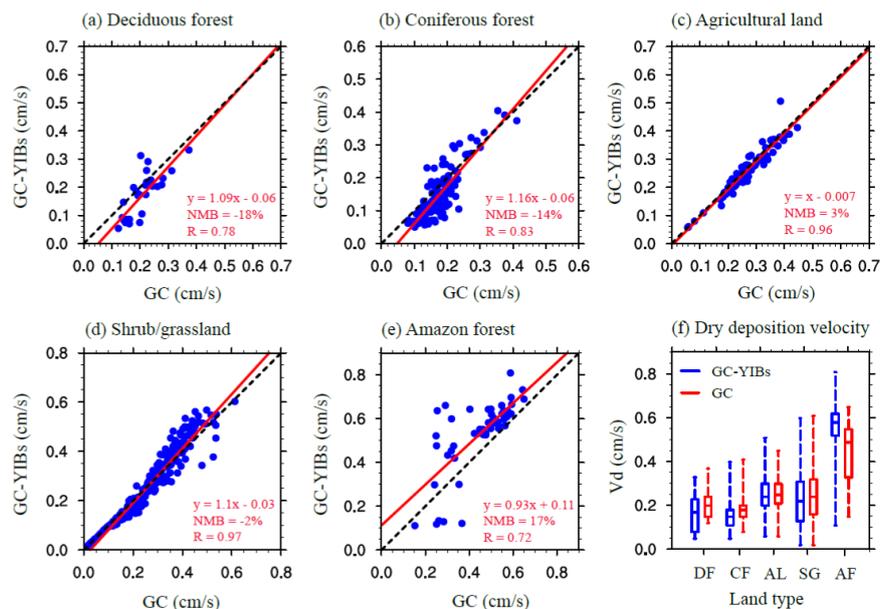
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740 **Figure 6** The major dry deposition type at each grid cell in GC model. Black dots
741 indicate the locations of measurement sites used in evaluation (Table 2). DF, CF, AL,
742 SG, AF represent deciduous forest, coniferous forest, agricultural land,
743 shrub/grassland, and amazon forest, respectively.

744

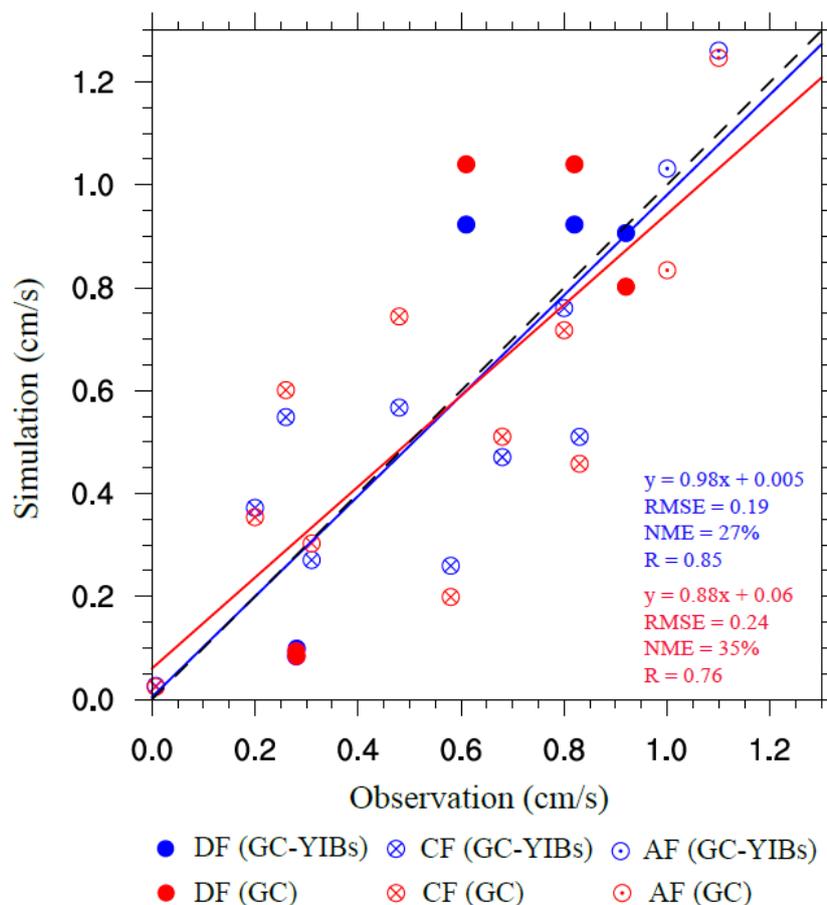
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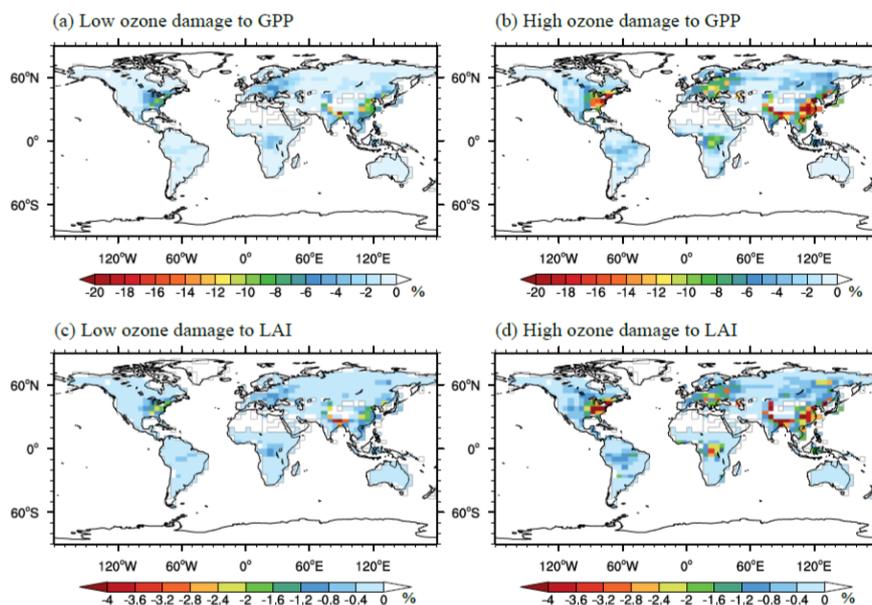
747

748 **Figure 7** Comparisons of annual O₃ dry deposition velocity between online GC-YIBs
749 and GC models for different land types, including (a) Deciduous forest, (b)
750 Coniferous forest, (c) Agricultural land, (d) Shrub/grassland, and (e) Amazon forest.
751 The box plots of dry deposition velocity simulated by online GC-YIBs (blue) and GC
752 models (red) for different land types are shown in (f). Each point in (a)-(e) represents
753 annual O₃ dry deposition velocity at one grid point averaged for period of 2010-2012.
754 The red lines indicate linear regressions between predictions from GC-YIBs and GC
755 models. The regression fit, correlation coefficient (R), and normalized mean biases
756 (NMB) are shown on each panel.



757

758 **Figure 8** Comparison between observed and simulated O₃ dry deposition velocity at
759 observational sites. The different marker types represent different land types. The blue
760 and red markers represent the simulation results from online GC-YIBs and GC
761 models, respectively. The blue and red lines indicate linear regressions between
762 simulations and observations. The regression fits, root-mean-square errors (RMSE),
763 normalized mean errors (NME) and correlation coefficients for GC-YIBs (blue) and
764 GC (red) models are also shown.



765

766 **Figure 9** Percentage changes in (a, b) GPP and (c, d) LAI caused by O₃ damaging

767 effects with (a, c) low and (b, d) high sensitivities. Both changes of GPP and LAI are

768 averaged for 2010–2012.

769

770