

**Implementation of Yale Interactive terrestrial Biosphere model v1.0
into GEOS-Chem v12.0.0: a tool for biosphere-chemistry interactions**

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Abstract: The terrestrial biosphere and atmospheric chemistry interact through multiple feedbacks, but the models of vegetation and chemistry are developed separately. In this study, the Yale Interactive terrestrial Biosphere (YIBs) model, a dynamic vegetation model with biogeochemical processes, is implemented into the Chemical Transport Model GEOS-Chem version 12.0.0. Within the GC-YIBs framework, leaf area index (LAI) and canopy stomatal conductance dynamically predicted by YIBs are used for dry deposition calculation in GEOS-Chem. In turn, the simulated surface ozone (O_3) by GEOS-Chem affect plant photosynthesis and biophysics in YIBs. The updated stomatal conductance and LAI improve the simulated O_3 dry deposition velocity and its temporal variability for major tree species. For daytime dry deposition velocities, the model-to-observation correlation increases from 0.69 to 0.76 while the normalized mean error (NME) decreases from 30.5% to 26.9% using the GC-YIBs model. For diurnal cycle, the NMEs decrease by 9.1% for Amazon forest, 6.8% for coniferous forest, and 7.9% for deciduous forest using the GC-YIBs model. Furthermore, we quantify O_3 vegetation damaging effects and find a global reduction of annual gross primary productivity by 1.5-3.6%, with regional extremes of 10.9–14.1% in the eastern U.S. and eastern China. The online GC-YIBs model provides a useful tool for discerning the complex feedbacks between atmospheric chemistry and the terrestrial biosphere under global change.

Keywords: GC-YIBs model, biosphere-chemistry interactions, dry deposition, ozone vegetation damage, evaluations

1 Introduction

The terrestrial biosphere interacts with atmospheric chemistry through the exchanges of trace gases, water, and energy (Hungate and Koch, 2015; Green et al., 2017). Emissions from the terrestrial biosphere, such as biogenic volatile organic compounds (BVOCs) and nitrogen oxides (NO_x) affect the formation of air pollutants and chemical radicals in the atmosphere (Kleinman, 1994; Li et al., 2019). Globally, the terrestrial biosphere emits $\sim 1100 \text{ Tg}$ ($1 \text{ Tg} = 10^{12} \text{ g}$) BVOC annually, which is approximately ten times more than the total amount of VOC emitted worldwide from anthropogenic sources including fossil fuel combustion and industrial activities (Carslaw et al., 2010). Meanwhile, the biosphere acts as a major sink through dry deposition of air pollutants, such as surface ozone (O_3) and aerosols (Petroff, 2005; Fowler et al., 2009; Park et al., 2014). Dry deposition accounts for $\sim 25\%$ of the total O_3 removed from the troposphere (Lelieveld and Dentener, 2000).

In turn, atmospheric chemistry can also affect the terrestrial biosphere (McGrath et al., 2015; Schiferl and Heald, 2018; Yue and Unger, 2018). Surface O_3 has a negative impact on plant photosynthesis and crop yields by reducing gas-exchange and inducing phytotoxic damages on plant tissues (Van Dingenen et al., 2009; Wilkinson et al., 2012; Yue and Unger, 2014). Unlike O_3 , the effect of aerosols on vegetation is dependent on the aerosol concentrations. Moderate increase of aerosols in the atmosphere is beneficial to vegetation (Mahowald, 2011; Schiferl and Heald, 2018). The aerosol-induced enhancement in diffuse light results in more radiation reaching surface from all directions than solely from above. As a result, leaves in the shade or

69 at the bottom can receive more radiation and are able to assimilate more CO₂ through
70 photosynthesis, leading to an increase of canopy productivity (Mercado et al., 2009;
71 Yue and Unger, 2018). However, excessive aerosol loadings reduce canopy
72 productivity because the total radiation is largely weakened (Alton, 2008; Yue and
73 Unger, 2017).

74

75 Models are essential tools to understand and quantify the interactions between the
76 terrestrial biosphere and atmospheric chemistry at the global and/or regional scales.

77 Many studies have performed multiple global simulations with
78 climate-chemistry-biosphere models to quantify the effects of air pollutants on the
79 terrestrial biosphere (Mercado et al., 2009; Yue and Unger, 2015; Oliver et al., 2018;
80 Schiferl and Heald, 2018). In contrast, very few studies have quantified the
81 O₃-induced biogeochemical and meteorological feedbacks to air pollution
82 concentrations (Sadiq et al., 2017; Zhou et al., 2018). Although considerable efforts
83 have been made, uncertainties in biosphere-chemistry interactions remain large
84 because their two-way coupling is not adequately represented in current generation of
85 terrestrial biosphere models or global chemistry models. Global terrestrial biosphere
86 models usually use prescribed O₃ and aerosol concentrations (Sitch et al., 2007;
87 Mercado et al., 2009; Lombardozzi et al., 2012), and global chemistry models often
88 apply fixed offline vegetation variables (Lamarque et al., 2013). For example,
89 stomatal conductance, which plays a crucial role in regulating water cycle and altering
90 pollution deposition, responds dynamically to vegetation biophysics and

environmental stressors at various spatiotemporal scales (Hetherington and Woodward, 2003; Franks et al., 2017). However, these processes are either missing or lack of temporal variations in most current chemical transport models (Verbeke et al., 2015). The fully two-way coupling between biosphere and chemistry is necessary to better quantify the responses of ecosystems and pollution to global changes.

In this study, we develop the GC-YIBs model by implementing the Yale Interactive terrestrial Biosphere (YIBs) model version 1.0 (Yue and Unger, 2015) into the chemical transport model (CTM) GEOS-Chem version 12.0.0 (http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem_12#12.0.0). The GEOS-Chem (short as GC thereafter) model has been widely used in episode prediction (Cui et al., 2016), source attribution (D'Andrea et al., 2016; Dunker et al., 2017; Ni et al., 2018; Lu et al., 2019), future pollution projection (Yue et al., 2015; Ramnarine et al., 2019), health risk assessment (Xie et al., 2019), and so on. The standard GC model uses prescribed vegetation parameters and as a result cannot depict the changes in chemical components due to biosphere-pollution interactions. The updated GC-YIBs model links atmospheric chemistry with biosphere in a two-way coupling such that changes in chemical components or vegetation will simultaneously feed back to influence the other systems. Here, we evaluate the dynamically simulated dry deposition and leaf area index (LAI) from GC-YIBs and examine the consequent impacts on surface O₃. We also quantify the detrimental effects of O₃ on gross primary productivity (GPP) using instant pollution

concentrations from the chemical module. For the first step, we focus on the coupling between O_3 and vegetation. The interactions between aerosols and vegetation will be developed and evaluated in the future. The next section describes the GC-YIBs model and the evaluation data. Section 3 compares simulated O_3 from GC-YIBs with that from the original GC models and explores the causes of differences. Section 4 quantifies O_3 damaging effects to global GPP using the GC-YIBs model. The last section summarizes progresses and discusses the next-step tasks to optimize the GC-YIBs model.

2 Methods and data

2.1 Descriptions of the YIBs model

YIBs is a terrestrial vegetation model designed to simulate land carbon cycle with dynamical prediction of LAI and tree height (Yue and Unger, 2015). The YIBs model applies Farquhar et al. (1980) scheme to calculate leaf level photosynthesis, which is further upscaled to canopy level by the separation of sunlit and shaded leaves (Spitters, 1986). The canopy is divided into an adaptive number of layers (typically 2-16) for light stratification. Sunlight is attenuated and becomes more diffusive when penetrating the canopy. The sunlit leaves can receive both direct and diffuse radiation, while the shading leaves receive only diffuse radiation. The leaf-level photosynthesis, calculated as the sum of sunlit and shading leaves, is then integrated over all canopy layers to derive the GPP of ecosystems.

The model considers 9 plant functional types (PFTs), including evergreen needleleaf forest, deciduous broadleaf forest, evergreen broadleaf forest, shrubland, tundra, C₃/C₄ grasses, and C₃/C₄ crops. The satellite-based land types and cover fraction are aggregated into these 9 PFTs and used as input (Fig. S1). The initial soil carbon pool and tree height used in YIBs are from the 140 years spin-up processes (Yue and Unger, 2015). The YIBs is driven with hourly 2-D meteorology and 3-D soil variables (6 layers) from the Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA2).

The YIBs uses the model of Ball and Berry (Baldocchi et al., 1987) to compute leaf stomatal conductance:

$$g_s = \frac{1}{r_s} = m \frac{A_{net}}{c_s} RH + b \quad (1)$$

where r_s is the leaf stomatal resistance ($s\ m^{-1}$); m is the empirical slope of the Ball-Berry stomatal conductance equation and is affected by water stress; c_s is the CO₂ concentration at the leaf surface ($\mu mol\ m^{-3}$); RH is the relative humidity of atmosphere; b ($m\ s^{-1}$) represents the minimum leaf stomatal conductance when net leaf photosynthesis ($A_{net}, \mu mol\ m^{-2}\ s^{-1}$) is 0. For different PFTs, appropriate photosynthetic parameters are derived from the Community Land Model (CLM) (Bonan et al., 2011).

The net leaf photosynthesis for C₃ and C₄ plants is computed based on well-established Michaelis–Menten enzyme-kinetics scheme (Farquhar et al., 1980;

Voncaemmerer and Farquhar, 1981):

$$A_{net} = \min(J_c, J_e, J_s) - R_d \quad (2)$$

Where J_c , J_e and J_s represent the Rubisco-limited photosynthesis, the RuBP-limited photosynthesis, and the product-limited photosynthesis, respectively. R_d is the rate of dark respiration. They are all parameterized as functions of the maximum carboxylation capacity (Collatz et al., 1991) and meteorological variables (e.g., temperature, radiation, and CO₂ concentrations).

The YIBs model applies the LAI and carbon allocation schemes from the TRIFFID model (Cox, 2001; Clark et al., 2011). On the daily scale, canopy LAI is calculated as follows:

$$LAI = f \times LAI_{max} \quad (3)$$

where f represents phenological factor controlled by meteorological variables (e.g., temperature, water availability, and photoperiod); LAI_{max} represents the available maximum LAI related to tree height, which is dependent on the vegetation carbon content (C_{veg}). The C_{veg} is calculated as follows:

$$C_{veg} = C_l + C_r + C_w \quad (4)$$

where C_l , C_r and C_w represent leaf, root, and stem carbon contents, respectively.

And all carbon components are parameterized as the function of LAI_{max} :

$$\begin{cases} C_l = \alpha \times LAI \\ C_r = \alpha \times LAI_{max} \\ C_w = \beta \times LAI_{max}^\gamma \end{cases} \quad (5)$$

where α represents the specific leaf carbon density; β and γ represent allometric parameters. The vegetation carbon content C_{veg} is updated every 10 days:

$$\frac{dC_{veg}}{dt} = (1 - \tau) \times NPP - \varphi \quad (6)$$

where τ and φ represent partitioning parameter and litter fall rate, respectively, and their calculation methods have been documented in Yue and Unger (2015). Net primary productivity (NPP) is calculated as the residue of subtracting autotrophic respiration (R_a) from GPP:

$$NPP = GPP - R_a \quad (7)$$

In addition, the YIBs model implements the scheme for O_3 damage on vegetation proposed by Sitch et al. (2007). The scheme directly modifies photosynthesis using a semi-mechanistic parameterization, which in turn affects stomatal conductance. The O_3 damage factor is considered as the function of stomatal O_3 flux:

$$F = \begin{cases} -a(F_{O_3} - T_{O_3}), & F_{O_3} > T_{O_3} \\ 0, & F_{O_3} \leq T_{O_3} \end{cases} \quad (8)$$

Where a represents the damaging sensitivity and T_{O_3} represents the O_3 flux threshold ($\mu mol m^{-2} s^{-1}$). For a specific PFT, the values of coefficient a vary from low to high to represent a range of uncertainties for ozone vegetation damaging (Table S1). T_{O_3} is a critical threshold for O_3 damage and varies with PFTs. The F becomes negative only if F_{O_3} is higher than T_{O_3} . Stomatal O_3 flux F_{O_3} ($\mu mol m^{-2} s^{-1}$) is calculated as follows:

$$F_{O_3} = \frac{[O_3]}{r_a + r_b + k \cdot r_s} \quad (9)$$

where $[O_3]$ represents O_3 concentrations at top of the canopy ($\mu mol m^{-3}$); r_a is aerodynamic resistance ($s m^{-1}$) and r_b is boundary layer resistance ($s m^{-1}$); r_s represents stomatal resistance ($s m^{-1}$). The Sitch et al. (2007) scheme within the YIBs

framework has been well evaluated against hundreds of observations globally (Yue and Unger, 2018) and regionally (Yue et al., 2016; Yue et al., 2017).

2.2 Descriptions of the GEOS-Chem model

GC is a global 3-D model of atmospheric compositions with fully coupled O₃-NO_x-hydrocarbon-aerosol chemical mechanisms (Gantt et al., 2015; Lee et al., 2017; Ni et al., 2018). In this study, we use GC version 12.0.0 driven by assimilated meteorology from MERRA2 with a horizontal resolution of 4° latitude by 5° longitude and 47 vertical layers from surface to 0.01 hPa.

In GC, terrestrial vegetation modulates tropospheric O₃ mainly through LAI and canopy stomatal conductance, which affect both the sources and sinks of tropospheric O₃ through changes in BVOC emissions, soil NO_x emissions, and dry deposition (Zhou et al., 2018). BVOC emissions are calculated based on a baseline emission factor parameterized as the function of light, temperature, leaf age, soil moisture, LAI, and CO₂ inhibition within the Model of Emissions of Gasses and Aerosols from Nature (MEGAN v2.1) (Guenther et al., 2006). Soil NO_x emission is computed based on the scheme of Hudman et al. (2012) and further modulated by a reduction factor to account for within-canopy NO_x deposition (Rogers and Whitman, 1991). The dry deposition velocity (V_d , $m\ s^{-1}$) for O₃ is computed based on a resistance-in-series model within GC:

$$V_d = \frac{1}{R_a + R_b + R_c} \quad (10)$$

223 where R_a ($m s^{-1}$) is the aerodynamic resistance representing the ability of the
 224 airflow to bring gases or particles close to the surface and is dependent mainly on the
 225 atmospheric turbulence structure and the height considered. R_b ($m s^{-1}$) is the
 226 boundary resistance driven by the characteristics of surface (surface roughness) and
 227 gas/particle (molecular diffusivity). R_a and R_b are calculated from the global
 228 climate models (GCM) meteorological variables (Jacob et al., 1992). The surface
 229 resistance R_c is determined by the affinity of surface for the chemical compound. For
 230 O_3 over vegetated regions, V_d is mainly driven by R_c ($m s^{-1}$) during daytime
 231 because the effects of R_a and R_b are generally small. Surface resistances R_c are
 232 computed using the Wesely (1989) canopy model with some improvements, including
 233 explicit dependence of canopy stomatal resistances on LAI (Gao and Wesely, 1995)
 234 and direct/diffuse PAR within the canopy (Baldocchi et al., 1987):

$$\frac{1}{R_c} = \frac{1}{R_s + R_m} + \frac{1}{R_{lu}} + \frac{1}{R_{cl}} + \frac{1}{R_g} \quad (11)$$

236 where R_s is the stomatal resistance ($s m^{-1}$), R_m is the leaf mesophyll resistance
 237 ($R_m = 0 s m^{-1}$ for O_3), R_{lu} is the upper canopy or leaf cuticle resistance, R_{cl} is the
 238 lower canopy resistance ($s m^{-1}$). R_s is calculated based on minimum stomatal
 239 resistance (r_s , $s m^{-1}$), solar radiation (G , $W m^{-2}$), surface air temperature (T_s , $^{\circ}C$),
 240 and the molecular diffusivities (D_{H_2O} and D_x) for a specific gas x :

$$R_s = r_s \left\{ 1 + \frac{1}{[200(G+0.1)]^2} \right\} \left\{ \frac{400}{T_s(40-T_s)} \right\} \frac{D_{H_2O}}{D_x} \quad (12)$$

242 In GC, the above parameters related to R_c have prescribed values for 11 deposition
 243 land types, including snow/ice, deciduous forest, coniferous forest, agricultural land,
 244 shrub/grassland, amazon forest, tundra, desert, wetland, urban and water (Wesely,

1989; Jacob et al., 1992).

Although the stomatal conductance scheme of Wesely (1989) has been widely used in chemical transport and climate models, considerable limits still exist because this scheme does not consider the response of stomatal conductance to phenology, CO₂ concentrations, and soil water availability (Rydsee et al., 2016; Lin et al., 2017). Previous studies have well evaluated the dry deposition scheme used in the GEOS-Chem model against observations globally and regionally (Hardacre et al., 2015; Silva and Heald, 2018; Lin et al., 2019; Wong et al., 2019). They found that GEOS-Chem can generally capture the diurnal and seasonal cycles except for the amplitude of O₃ dry deposition velocity (Silva and Heald, 2018).

2.3 Implementation of YIBs into GEOS-Chem (GC-YIBs)

In this study, GC model time steps are set to 30 min for transport and convection and 60 min for emissions and chemistry. In the online GC-YIBs configuration, GC provides the hourly meteorology, aerodynamic resistance, boundary layer resistance, and surface [O₃] to YIBs. Without YIBs implementation, the GC model computes O₃ dry deposition velocity using prescribed LAI and parameterized canopy stomatal resistance (R_s), and as a result ignore feedbacks from ecosystems (details in 2.2). With YIBs embedded, daily LAI and hourly stomatal conductance are dynamically predicted for the dry deposition scheme within the GC model. The online-simulated surface [O₃] affects carbon assimilation and canopy stomatal conductance, in turn, the

online-simulated vegetation variables such as LAI and stomatal conductance affect both the sources and sinks of O_3 by altering precursor emissions and dry deposition at the 1-hour integration time step. The above processes are summarized in Fig. 1.

To retain the corresponding relationship between vegetation parameters and land cover map in the GC-YIBs model, we replace the Olson 2001 land cover map in GC with satellite-retrieved land cover dataset used by YIBs (Defries et al., 2000; Hanninen and Kramer, 2007). The conversion relationships between YIBs land types and GC deposition land types are summarized in Table S2. The global spatial pattern of deposition land types converted from YIBs land types is shown in Fig. S2. The Olson 2001 land cover map used in GC version 12.0.0 has a native resolution of $0.25^\circ \times 0.25^\circ$ and 74 land types (Olson et al., 2001). Each of the Olson land types is associated with a corresponding deposition land type with prescribed parameters. There are 74 Olson land types but only 11 deposition land types, suggesting that many of the Olson land types share the same deposition parameters. At specific grids ($4^\circ \times 5^\circ$ or $2^\circ \times 2.5^\circ$), dry deposition velocity is calculated as the weighted sum of native resolution ($0.25^\circ \times 0.25^\circ$). Replacing of Olson with YIBs land types induces global mean difference of -0.59 ppbv on surface $[O_3]$ (Fig. S3). Large discrepancies are found in Africa and southern Amazon, where the local $[O_3]$ decreases by more than 2 ppbv with the new land types. However, limited differences are shown in mid-high latitudes of Northern Hemisphere (NH, Fig. S3).

2.4 Model simulations

We conduct six simulations to evaluate the performance of GC-YIBs and to quantify global O₃ damage to vegetation (Table 1): (i) Offline, a control run using the offline GC-YIBs model. The YIBs module shares the same meteorological forcing as the GC module and predicts both GPP and LAI. However, predicted vegetation variables are not fed into GC, which is instead driven by prescribed LAI from Moderate Resolution Imaging Spectroradiometer (MODIS) product and parameterized canopy stomatal conductance proposed by Gao and Wesely (1995). (ii) Online_LAI, a sensitive run using online GC-YIBs with dynamically predicted daily LAI from YIBs but prescribed stomatal conductance. (iii) Online_GS, another sensitive run using YIBs predicted stomatal conductance but prescribed MODIS LAI. (iv) Online_ALL, in which both YIBs predicted LAI and stomatal conductance are used for GC. (v) Online_ALL_HS, the same as Online_ALL except that predicted surface O₃ damages plant photosynthesis with high sensitivities. (vi) Online_ALL_LS, the same as Online_ALL_HS but with low O₃ damaging sensitivities. Each simulation is run from 2006 to 2012 with the first 4 years for spin-up, and results from 2010 to 2012 are used to evaluate the online GC-YIBs model. The differences between Online_ALL and Online_GS (Online_LAI) represent the effects of coupled LAI (stomatal conductance) on simulated [O₃]. Differences between Offline and Online_ALL then represent joint effects of coupled LAI and stomatal conductance. The last three runs are used to quantify the global O₃ damage on ecosystem productivity.

2.5 Evaluation data

We use observed LAI data for 2010–2012 from the MODIS product. Benchmark GPP product of 2010–2012 is estimated by upscaling ground-based FLUXNET eddy covariance data using a model tree ensemble approach, a type of machine learning technique (Jung et al., 2009). Although these products may have certain biases, they have been widely used to evaluate land surface models because direct observations of GPP and LAI are not available on the global scale (Yue and Unger, 2015; Slevin et al., 2017; Swart et al., 2019). Measurements of surface [O₃] over North America and Europe are provided by the Global Gridded Surface Ozone Dataset (Sofen et al., 2016), and those over China are interpolated from data at ~1500 sites operated by China's Ministry of Ecology and Environment (<http://english.mee.gov.cn>). We perform literature research to collect data of dry deposition velocity from 8 deciduous forest, 2 amazon forest, and 9 coniferous forest sites (Table 2).

3 Results

3.1 Evaluation of offline GC-YIBs model

With Offline simulation, the simulated GPP and LAI are compared with observed LAI and benchmark GPP for the period of 2010-2012 (Fig. 2). Observed LAI and benchmark GPP both show high values in the tropics and medium values in the northern mid-high latitudes. Compared to observations, the GC-YIBs model forced with MERRA2 meteorology depicts similar spatial distributions, with spatial correlation coefficients of 0.83 ($p < 0.01$) for GPP and 0.86 ($p < 0.01$) for LAI.

Although the model overestimates LAI in the tropics and northern high latitudes by 1-2 $\text{m}^2 \text{m}^{-2}$, the simulated global area-weighted LAI ($1.42 \text{ m}^2 \text{m}^{-2}$) is close to observations ($1.33 \text{ m}^2 \text{m}^{-2}$) with a normalized mean bias (NMB) of 6.7%. Similar to LAI, the global NMB for GPP is only 7.1%, though there are substantial regional biases especially in Amazon and central Africa. Such differences are in part attributed to the underestimation of GPP for tropical rainforest in the benchmark product, because the recent simulations at eight rainforest sites with YIBs model reproduced ground-based observations well (Yue and Unger, 2018).

We then evaluate simulated annual mean surface $[\text{O}_3]$ during 2010-2012 based on Offline simulation (Fig. 3). The simulated high values are mainly located in the mid-latitudes of NH (Fig. 3a). Compared to observations, simulations show reasonable spatial distribution with a correlation coefficient of 0.63 ($p < 0.01$). Although offline GC-YIBs model overestimates annual $[\text{O}_3]$ in southern China and predicts lower values in western Europe and western U.S., the simulated area-weighted surface $[\text{O}_3]$ (45.4 ppbv) is only 6% higher than observations (42.8 ppbv). Predicted summertime surface $[\text{O}_3]$ instead shows positive biases in eastern U.S. and Europe (Fig. S4), consistent with previous evaluations using the GC model (Travis et al., 2016; Schiferl and Heald, 2018; Yue and Unger, 2018).

3.2 Changes of surface O_3 in online GC-YIBs model

Surface O_3 is changed by the coupling of LAI and stomatal conductance (Fig. 4).

Global $[O_3]$ shows similar patterns between Offline (Fig. 3a) and Online_ALL (Fig. 4a) simulations. However, the online GC-YIBs predicts higher $[O_3]$ by 0.5-2 ppbv in the mid-high latitudes of NH, leading to an average enhancement of $[O_3]$ by 0.22 ppbv compared to Offline simulations (Fig. 4b). Regionally, some negative changes of 1-2 ppbv can be found at the tropical regions. With sensitivity experiments Online_LAI and Online_GS (Table. 1), we separate the contributions of LAI and stomatal conductance changes to $\Delta[O_3]$. It is found that $\Delta[O_3]$ between Online_ALL and Online_LAI (Fig. 4c) resembles the total $\Delta[O_3]$ pattern (Fig. 4b), suggesting that changes in stomatal conductance play the dominant role in regulating surface $[O_3]$. As a comparison, $\Delta[O_3]$ values between Online_ALL and Online_GS show limited changes globally (by 0.05 ppbv) and moderate changes in tropical regions (Fig. 4d), mainly because the LAI predicted by YIBs is close to MODIS LAI used in GC (Fig. 2). It is noticed that the average $\Delta[O_3]$ in Fig. 4b is not equal to the sum of Fig. 4c and Fig. 4d, because of the non-linear effects.

We further explore the possible causes of differences in simulated $[O_3]$ between online and offline GC-YIBs models. Fig. 5 shows simulated annual O_3 dry deposition velocity from online GC-YIBs model and its changes in different sensitivity experiments. The global average velocity is 0.25 cm s^{-1} with regional maximum of $0.5\text{-}0.7 \text{ cm s}^{-1}$ in tropical rainforest (Fig. 5a), especially over Amazon and central Africa where high ecosystem productivity is observed (Fig. 2). With implementation of YIBs into GC, simulated dry deposition velocity increases over tropical regions but

decreases in mid-high latitudes of NH (Fig. 5b). Larger dry deposition results in lower $[O_3]$ in the tropics, while smaller dry deposition increases $[O_3]$ in boreal regions. Such spatial patterns are broadly consistent with $\Delta[O_3]$ in online GC-YIBs (Fig. 4b). In a comparison, updated LAI induces limited changes in the isoprene and NO_x emissions (Fig. S5), suggesting that changes of dry deposition velocity are the dominant drivers of O_3 changes. Both the updated LAI and stomatal conductance influence dry deposition. Sensitivity experiments further show that changes in dry deposition are mainly driven by coupled canopy stomatal conductance (Fig. 5c) instead of LAI (Fig. 5d), though the latter contributes to the enhanced dry deposition in the tropics.

The original GC dry deposition scheme applies fixed parameters for stomatal conductance of a specific land type. The updated GC-YIBs model instead calculates stomatal conductance as a function of photosynthesis and environmental forcings (Equation 1). As a result, predicted dry deposition exhibits discrepancies among biomes. With Offline and Online_ALL simulations, we further evaluate the performance of online GC-YIBs in simulating O_3 dry deposition velocity for specific deposition land types (Fig. 6). For agricultural land and shrub/grassland, the simulated O_3 dry deposition velocity for online GC-YIBs model is close to GC model with NMBs of 3%, -2% and correlation coefficients of 0.96, 0.97, respectively. However, the simulated dry deposition velocity in online GC-YIBs is lower than GC by 18% for deciduous forest and 14% coniferous forest, but larger by 17% for Amazon forest. Such changes match the spatial pattern of dry deposition shown in Fig. 5b.

399

400 Since the changes of O₃ dry deposition velocity are mainly found in deciduous forest,
401 coniferous forest, and amazon forest, we collect 27 samples across these three biomes
402 to evaluate the online GC-YIBs model (Table. 2). For the 11 samples at deciduous
403 forest, the normalized mean error (NME) decreases from 29% in GC model to 24% in
404 GC-YIBs with lower relative errors in 8 sites (Fig. 7). Predictions with the GC-YIBs
405 also show large improvements over coniferous forest, where 8 out of 14 samples
406 showing lower (decreases from 27% in GC to 25% in GC-YIBs) errors. For amazon
407 forest, the GC-YIBs model significantly improves the prediction at one site (117.9°E,
408 4.9°N) where the original error of -0.17 cm s⁻¹ is limited to only 0.03 cm s⁻¹. However,
409 the new model does not improve the prediction at the other amazon forest site. Overall,
410 the simulated daytime O₃ dry deposition velocities in online GC-YIBs model are
411 closer to observations than those in GC model with smaller NME (26.9% vs. 30.5%),
412 root-mean-square errors (RMSE, 0.2 vs. 0.23) and higher correlation coefficients
413 (0.76 vs. 0.69). Such improvements consolidate our strategies in updating GC model
414 to the fully coupled GC-YIBs model.

415

416 We collect long-term measurements from 4 sites across northern America and western
417 Europe to evaluate the model performance in simulating seasonal cycle of O₃ dry
418 deposition velocity (Fig. 8). The GC model well captures the seasonal cycles of O₃
419 dry deposition velocity in all sites with the correlation coefficients of 0.95 in Harvard,
420 0.8 in Hyytiala, 0.68 in Ulborg, and 0.71 in Auchencorth. However, the magnitude of

O₃ dry deposition velocity is overestimated in Harvard and Hyytiala sites (NME of 60% and 42%, respectively) but underestimated in Ulborg and Auchencorth sites (NME of 48.7% and 58.9%, respectively) at growing seasons. Compared to the GC model, simulated O₃ dry deposition velocity with the GC-YIBs model shows large improvements over Harvard (Hyytiala) sites, where the model-to-observation NME decreases from 60% (42%) to 32% (28%).

Additionally, we investigate the diurnal cycle of O₃ dry deposition velocity at 15 sites (Fig. S6). Observed O₃ dry deposition velocities show single diurnal peak with the maximum from local 8 a.m. to 4 p.m. (Fig. 9). Compared to observations, the GC model has good performance in simulating the diurnal cycle with correlation coefficients of 0.94 for Amazon forest, 0.96 for coniferous forest, and 0.95 for deciduous forest. The GC model underestimates daytime O₃ dry deposition velocity at Amazon forest (NME of 29.8%) but overestimates it at coniferous and deciduous forests (NME of 21.9% and 22.9%, respectively). Compared to the GC model, the simulated daytime O₃ dry deposition velocities using the GC-YIBs model are closer to observations in all three biomes. The NMEs decrease by 9.1% for Amazon forest, 6.8% for coniferous forest, and 7.9% for deciduous forest.

3.3 Assessment of global O₃ damages to vegetation

An important feature of GC-YIBs is the inclusion of online vegetation damages by surface O₃. Here, we quantify the global O₃ damages to GPP and LAI by conducting Online_ALL_HS and Online_ALL_LS simulations (Fig. 10). Due to O₃ damaging,

annual GPP declines from -1.5% (low sensitivity) to -3.6% (high sensitivity) on the global scale. Regionally, O₃ decreases GPP as high as 10.9% in the eastern U.S. and up to 14.1% in eastern China at the high sensitivity (Figs. 10a, b). Such strong damages are related to (i) high ambient [O₃] due to anthropogenic emissions and (ii) large stomatal conductance due to active ecosystem productivity in monsoon areas. The O₃ effects are moderate in tropical areas, where stomatal conductance is also high while [O₃] is very low (Fig. 4a) due to limited anthropogenic emissions. Furthermore, O₃-induced GPP reductions are also small in western U.S. and western Asia. Although [O₃] is high over these semi-arid regions (Fig. 4a), the drought stress decreases stomatal conductance and consequently constrains the O₃ uptake. The damages to LAI (Figs. 10c, d) generally follow the pattern of GPP reductions (Figs. 10a, b) but with lower magnitude. The reductions of GPP are slightly higher than our previous estimates using prescribed LAI and/or surface [O₃] in the simulations (Yue and Unger, 2014, 2015), likely because GC-YIBs considers O₃-vegetation interactions. The feedback of such interaction to both chemistry and biosphere will be explored in future studies.

4 Conclusions and discussion

The terrestrial biosphere and atmospheric chemistry interact through a series of feedbacks (Green et al., 2017). Among biosphere-chemistry interactions, dry deposition plays a key role in the exchange of compounds and acts as an important sink for several air pollutants (Verbeke et al., 2015). However, dry deposition is

simply parameterized in most of current CTMs (Hardacre et al., 2015). For all
 chemical species considered in GC model, stomatal resistance R_c is simply
 calculated as the function of minimum stomatal resistance and meteorological
 forcings. Such parameterization not only induces biases, but also ignores the
 feedbacks from biosphere-chemistry interactions. For example, recent studies
 revealed that O_3 -induced damages to vegetation could reduce stomatal conductance
 and in turn alter ambient O_3 level (Sadiq et al., 2017; Zhou et al., 2018). In this study,
 we implement YIBs into the GC model with fully interactive surface O_3 and the
 terrestrial biosphere. The dynamically predicted LAI and stomatal conductance from
 YIBs are instantly provided to GC, meanwhile the prognostic O_3 simulated by GC is
 simultaneously affecting vegetation biophysics in YIBs. With these updates, simulated
 O_3 dry deposition velocities and its temporal variability (seasonal and diurnal cycles)
 in GC-YIBs are closer to observations than those in original GC model.

An earlier study updated dry deposition scheme in the Community Earth System
 Model (CESM) by implementing the leaf and stomatal resistances (Val Martin et al.,
 2014). Compared to that work, the magnitudes of $\Delta[O_3]$ in our simulations are smaller
 in northern America, eastern Europe, and southern China. This might be because the
 original dry deposition scheme in the GC model (see validation in Fig. 7) is better
 than that in CESM, leaving limited potentials for improvements. In GC, the leaf
 cuticular resistance (R_{lu}) is dependent on LAI (Gao and Wesely, 1995), while the
 original calculation of R_{lu} in CESM does not include LAI (Wesely, 1989). In

addition, differences in the canopy schemes for stomatal conductance between YIBs and Community Land Model (CLM) may cause different responses in dry deposition, which is changed by -0.12 to 0.16 cm s^{-1} in GC-YIBs but much larger by -0.15 to 0.25 cm s^{-1} in CESM (Val Martin et al., 2014). Moreover, the GC-YIBs is driven with prescribed reanalysis while CESM dynamically predicts climatic variables. Perturbations of meteorology in response to terrestrial properties may further magnify the variations in atmospheric components in CESM.

Although we implement YIBs into GC with fully interactive surface O_3 and the terrestrial biosphere, it should be noted that considerable limits still exist and further developments are required for GC-YIBs. (1) Atmospheric nitrogen alters plant growth and further influences both the sources and sinks of surface O_3 through surface-atmosphere exchange processes (Zhao et al., 2017). However, the YIBs model currently utilizes a fixed nitrogen level and does not include an interactive nitrogen cycle, which may induce uncertainties in simulating carbon fluxes. (2) Validity of $\Delta[\text{O}_3]$, especially those at high latitudes in NH, cannot be directly evaluated due to a lack of measurements. Although changes of dry deposition show improvements in GC-YIBs, the ultimate effects on surface $[\text{O}_3]$ remain unclear within the original GC framework. (3) $[\text{O}_3]$ at the lowest model level is used as an approximation of canopy $[\text{O}_3]$. The current model does not include a sub-grid parameterization of pollution transport within canopy, leading to biases in estimating O_3 vegetation damage and the consequent feedback. However, development of such parameterization is limited by

the availability of simultaneous measurements of microclimate and air pollutants. (4)

The current GC-YIBs is limited to a low resolution due to slow computational speed and high computational costs for long-term integrations. The GC model, even at the $2^{\circ} \times 2.5^{\circ}$ resolution, takes days to simulate 1 model year due to comprehensive parameterizations of physical and chemical processes. Such low speed constrains long-term spin up required by dynamical vegetation models. The low resolution will affect local emissions (e.g., NO_x and VOC) and transport, leading to changes in surface $[\text{O}_3]$ in GEOS-Chem. The comparison results of 2007 show that low resolution of $4^{\circ} \times 5^{\circ}$ induces a global mean bias of -0.24 ppbv on surface $[\text{O}_3]$ compared to the relatively high resolution at $2^{\circ} \times 2.5^{\circ}$ (Fig. S7). Compared with surface $[\text{O}_3]$, low resolution causes limited differences in vegetation variables (e.g., GPP and LAI, not shown).

Despite these deficits, the development of GC-YIBs provides a unique tool for studying biosphere-chemistry interactions. In the future, we will extend our applications in: (1) Air pollution impacts on biosphere, including both O_3 and aerosol effects. The GC-YIBs model can predict atmospheric aerosols, which affect both direct and diffuse radiation through the Rapid Radiative Transfer Model for GCMs (RRTMG) in the GC module (Schiferl and Heald, 2018). The diffuse fertilization effects in the YIBs model have been fully evaluated (Yue and Unger, 2018), and as a result we can quantify the impacts of aerosols on terrestrial ecosystems. (2) Multiple schemes for BVOC emissions. The YIBs model incorporates both MEGAN (Guenther

et al., 2006) and photosynthesis-dependent (Unger, 2013) isoprene emission schemes (Yue and Unger, 2015). The two schemes within the GC-YIBs framework can be used and compared for simulations of BVOC and consequent air pollution (e.g., O₃, secondary organic aerosols). (3) Biosphere-chemistry feedbacks to air pollution. The effects of air pollution on the biosphere include changes in stomatal conductance, LAI, and BVOC emissions, which in turn modify the sources and sinks of atmospheric components. Only a few studies have quantified these feedbacks for O₃-vegetation interactions (Sadiq et al., 2017; Zhou et al., 2018). We can explore the full biosphere-chemistry coupling for both O₃ and aerosols using the GC-YIBs model in the future.

Code availability

The YIBs model was developed by Xu Yue and Nadine Unger with code sharing at https://github.com/YIBS01/YIBS_site. The GEOS-Chem model was developed by the Atmospheric Chemistry Modeling Group at Harvard University led by Prof. Daniel Jacob and improved by a global community of atmospheric chemists. The source code for the GEOS-Chem model is publicly available at <http://acmg.seas.harvard.edu/geos/>. The source codes for the GC-YIBs model is archived at <https://doi.org/10.5281/zenodo.3659346>.

Author contributions. Xu Yue conceived the study. Yadong Lei and Xu Yue were responsible for model coupling, simulations, results analysis and paper writing. All

554 co-authors improved and prepared the manuscript.

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556 *Competing interests.* The authors declare that they have no conflict of interest.

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811 **Table 1** Summary of simulations using the GC-YIBs model.

| Name | Scheme | Ozone effects |
|---------------|------------------------------------------------|---------------|
| Offline | Monthly prescribed MODIS LAI | No |
| | Original dry deposition scheme | |
| Online_LAI | Daily dynamically predicted LAI | No |
| | Original dry deposition scheme | |
| Online_GS | Monthly prescribed MODIS LAI | No |
| | Hourly predicted stomatal conductance | |
| Online_ALL | Daily dynamically predicted LAI | No |
| | Hourly predicted stomatal conductance | |
| Online_ALL_HS | Daily dynamically predicted LAI | High |
| | Hourly predicted stomatal conductance | |
| | Hourly predicted [O ₃] by GC model | |
| Online_ALL_LS | Daily dynamically predicted LAI | Low |
| | Hourly predicted stomatal conductance | |
| | Hourly predicted [O ₃] by GC model | |

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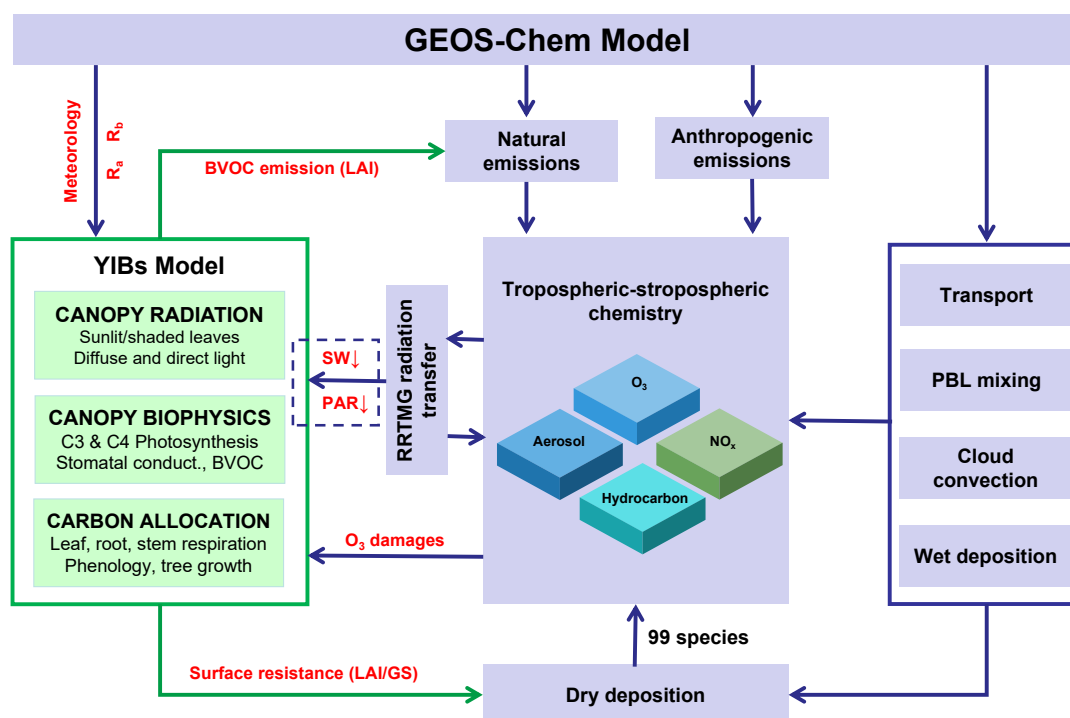
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828 **Table 2** List of measurement sites used for dry deposition evaluation.

| Land type | Longitude | Latitude | Season | Daytime V_d (cm s ⁻¹) | References |
|-------------------|-----------|----------|---------|----------------------------------------|---------------------------|
| Deciduous forest | 80.9°W | 44.3°N | summer | 0.92 | Padro et al. (1991) |
| | | | winter | 0.28 | |
| | 72.2°W | 42.7°N | summer | 0.61 | Munger et al. (1996) |
| | | | winter | 0.28 | |
| | 75.2°W | 43.6°N | summer | 0.82 | Finkelstein et al. (2000) |
| | 78.8°W | 41.6°N | summer | 0.83 | |
| | 99.7°E | 18.3°N | spring | 0.38 | Matsuda et al. (2005) |
| | | | summer | 0.65 | |
| | 0.84°W | 51.17°N | Jul-Aug | 0.85 | Fowler et al. (2009) |
| Amazon forest | 0.7°W | 44.2°N | Jun | 0.62 | Lamarque et al. (2013) |
| | 79.56°W | 44.19°N | summer | 0.91 | Wu et al. (2016) |
| Amazon forest | 61.8°W | 10.1°S | wet | 1.1 | Rummel et al. (2007) |
| | 117.9°E | 4.9°N | wet | 1.0 | Fowler et al. (2011) |
| Coniferous forest | 3.4°W | 55.3°N | spring | 0.58 | Coe et al. (1995) |
| | 66.7°W | 54.8°N | summer | 0.26 | Munger et al. (1996) |
| | 11.1°E | 60.4°N | spring | 0.31 | Hole et al. (2004) |
| | | | summer | 0.48 | |
| | | | autumn | 0.2 | |
| | | | winter | 0.074 | |
| | 8.4°E | 56.3°N | spring | 0.68 | Mikkelsen et al. (2004) |
| | | | summer | 0.8 | |
| | | | autumn | 0.83 | |
| | 18.53°E | 49.55°N | Jul-Aug | 0.5 | Zapletal et al. (2011) |
| | 79.1°W | 36°N | spring | 0.79 | Finkelstein et al. (2000) |
| | 120.6°W | 38.9°N | summer | 0.59 | Kurpius et al. (2002) |
| | 0.7°W | 44.2°N | summer | 0.48 | Lamaud et al. (1994) |
| | 105.5°E | 40°N | summer | 0.39 | Turnipseed et al. (2009) |



R_a : aerodynamic resistance; R_b : boundary layer resistance; GS: stomatal conductance; PAR: photosynthetically active radiation

Figure 1 Diagram of the GC-YIBs global carbon-chemistry model. Processes with red fonts are implemented in this study. Processes with blue dashed box will be developed in the future.

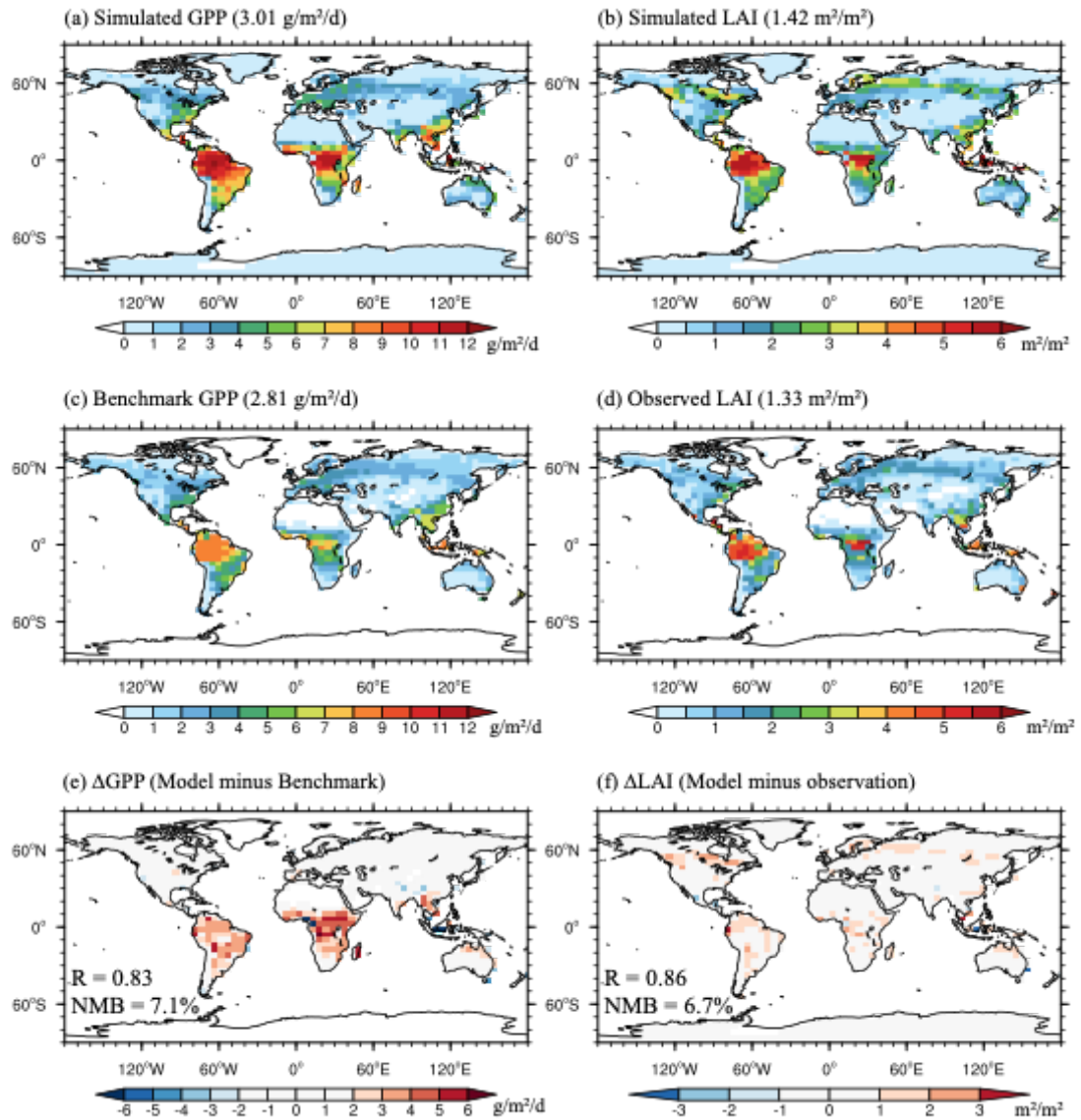
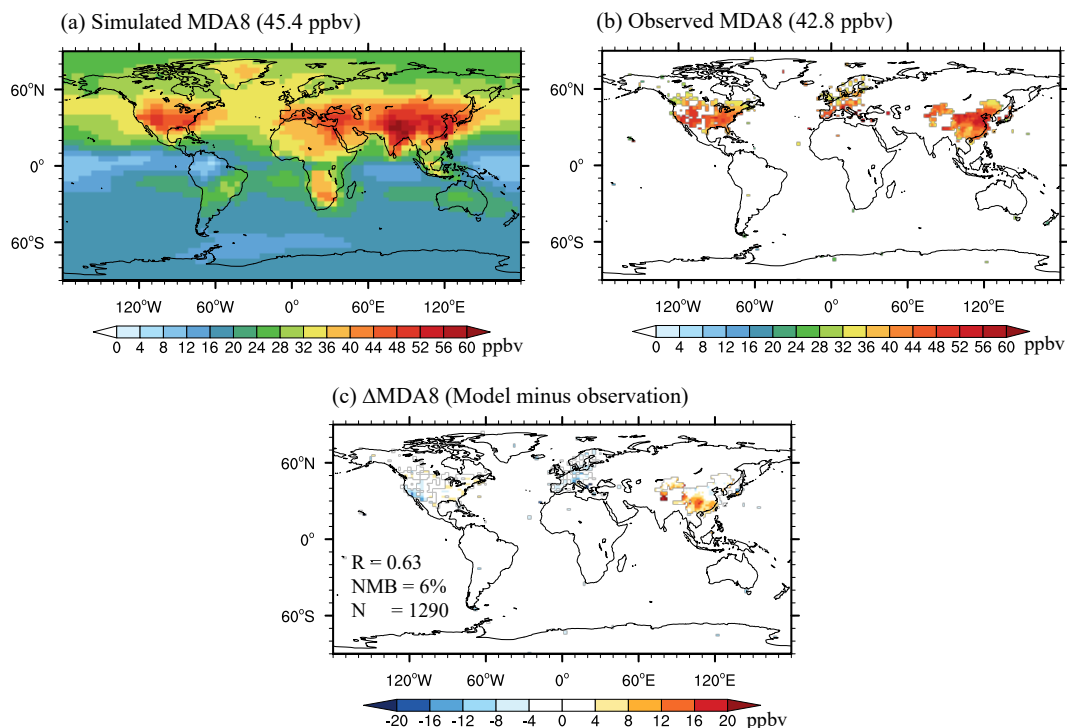


Figure 2 Annual gross primary productivity (GPP) and leaf area index (LAI) from Offline simulations (a, b), observations (c, d), and their differences (e, f) averaged for period of 2010-2012. Global area-weighted GPP and LAI are shown on the title brackets. The correlation coefficients (R) and global normalized mean biases (NMB) are shown in the bottom figures.

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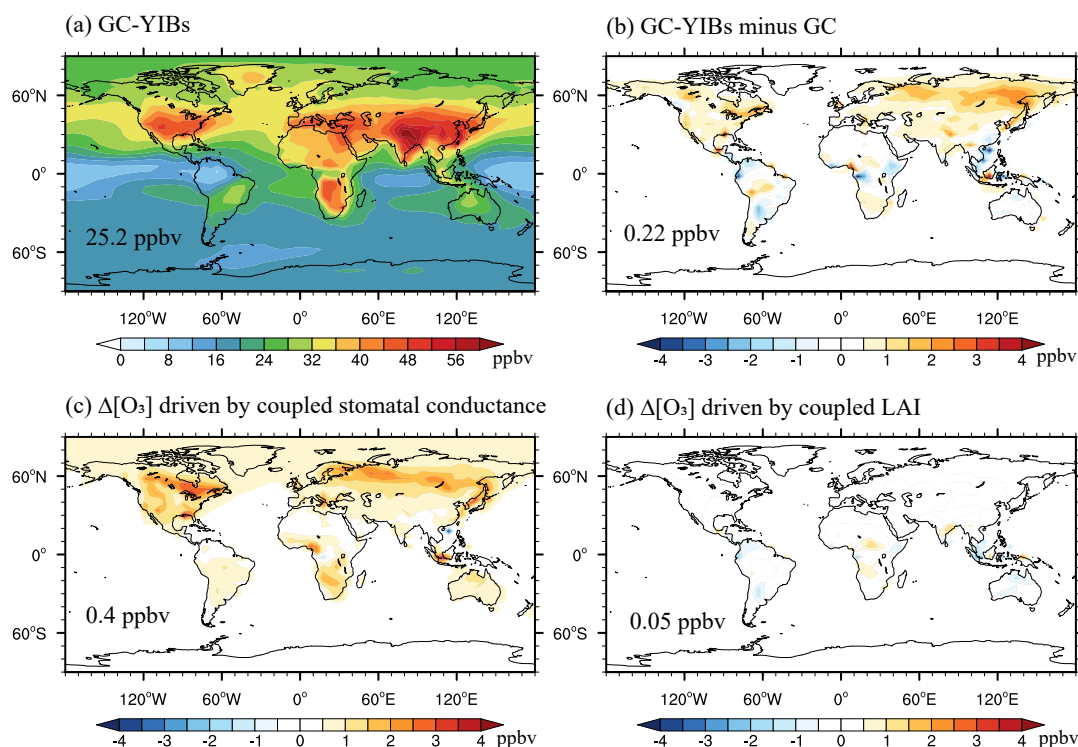


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845 **Figure 3** Annual surface O_3 concentrations ($[O_3]$) from Offline simulations (a),
 846 observations (b), and their differences (c) averaged for period of 2010-2012. Global
 847 area-weighted surface $[O_3]$ over grids with available observations are shown on the
 848 title brackets. The correlation coefficient (R) and global normalized mean biases
 849 (NMB) are shown in the bottom figures with indication of grid numbers (N) used for
 850 statistics.

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855 **Figure 4** Simulated annual surface $[O_3]$ from online GC-YIBs model (a) and its
 856 changes (b-d) relative to Offline simulations. Changes of $[O_3]$ are caused by (b)
 857 jointly coupled LAI and stomatal conductance (Online_ALL – Offline), (c) coupled
 858 stomatal conductance alone (Online_ALL – Online_LAI), and (d) coupled LAI alone
 859 (Online_ALL – Online_GS). Global area-weighted $[O_3]$ or $\Delta[O_3]$ are shown in the
 860 figures.

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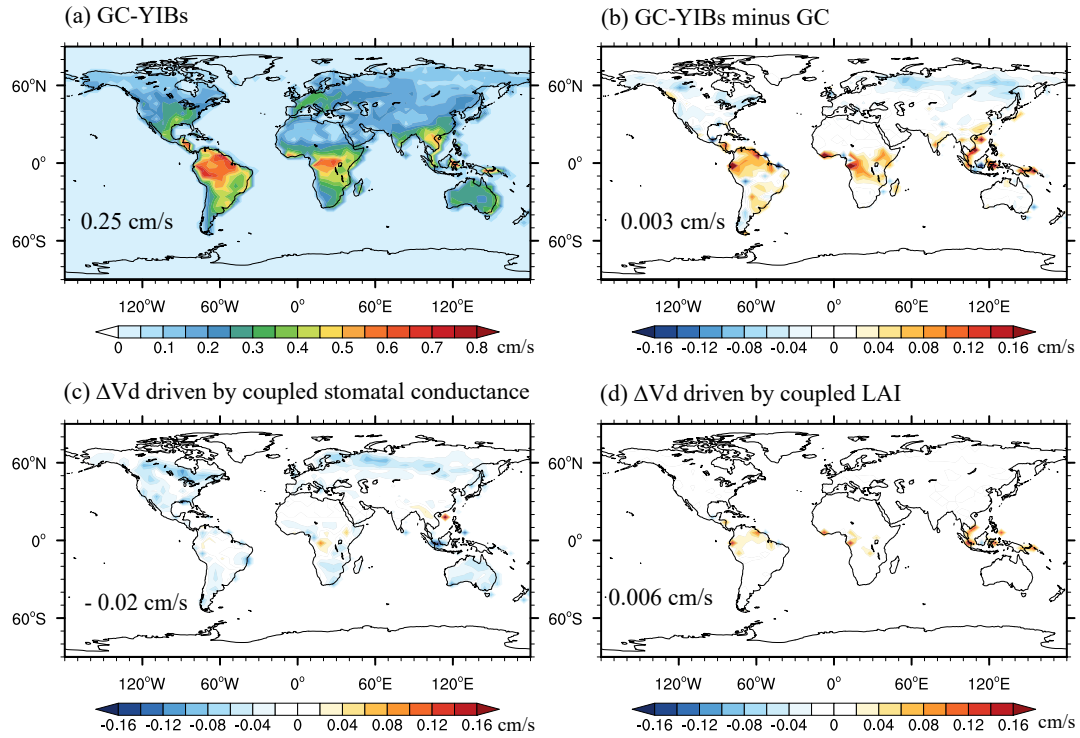


Figure 5 Simulated annual O₃ dry deposition velocity from online GC-YIBs model **(a)** and its changes caused by coupled LAI and stomatal conductance **(b-d)** averaged for period of 2010-2012. The changes of dry deposition velocity are driven by **(b)** coupled LAI and stomatal conductance (Online_ALL – Offline), **(c)** coupled stomatal conductance alone (Online_ALL – Online_LAI), and **(d)** coupled LAI alone (Online_ALL – Online_GS). Global area-weighted annual O₃ dry deposition velocity and changes are shown in the figures.

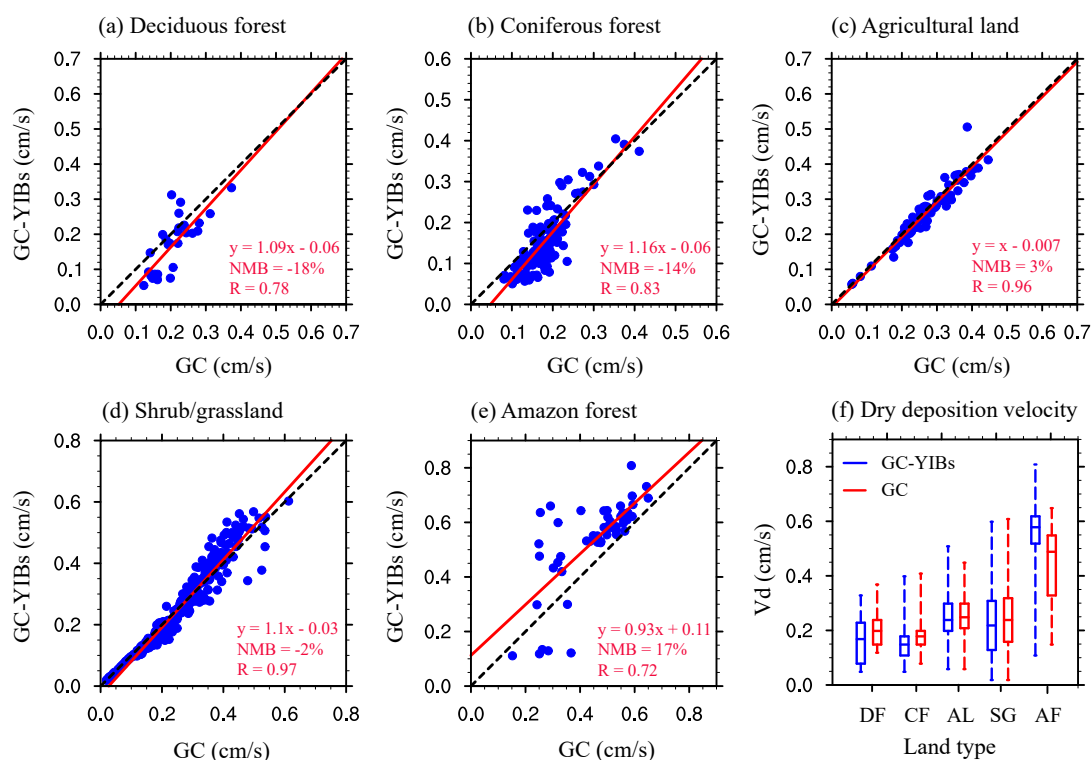


Figure 6 Comparisons of annual O_3 dry deposition velocity between online GC-YIBs (Online_ALL simulation) and GC (Offline simulation) models for different land types, including (a) Deciduous forest, (b) Coniferous forest, (c) Agricultural land, (d) Shrub/grassland, and (e) Amazon forest. The box plots of dry deposition velocity simulated by online GC-YIBs (blue) and GC models (red) for different land types are shown in (f). Each point in (a)-(e) represents annual O_3 dry deposition velocity at one grid point averaged for period of 2010-2012. The red lines indicate linear regressions between predictions from GC-YIBs and GC models. The regression fit, correlation coefficient (R), and normalized mean biases (NMB) are shown on each panel.

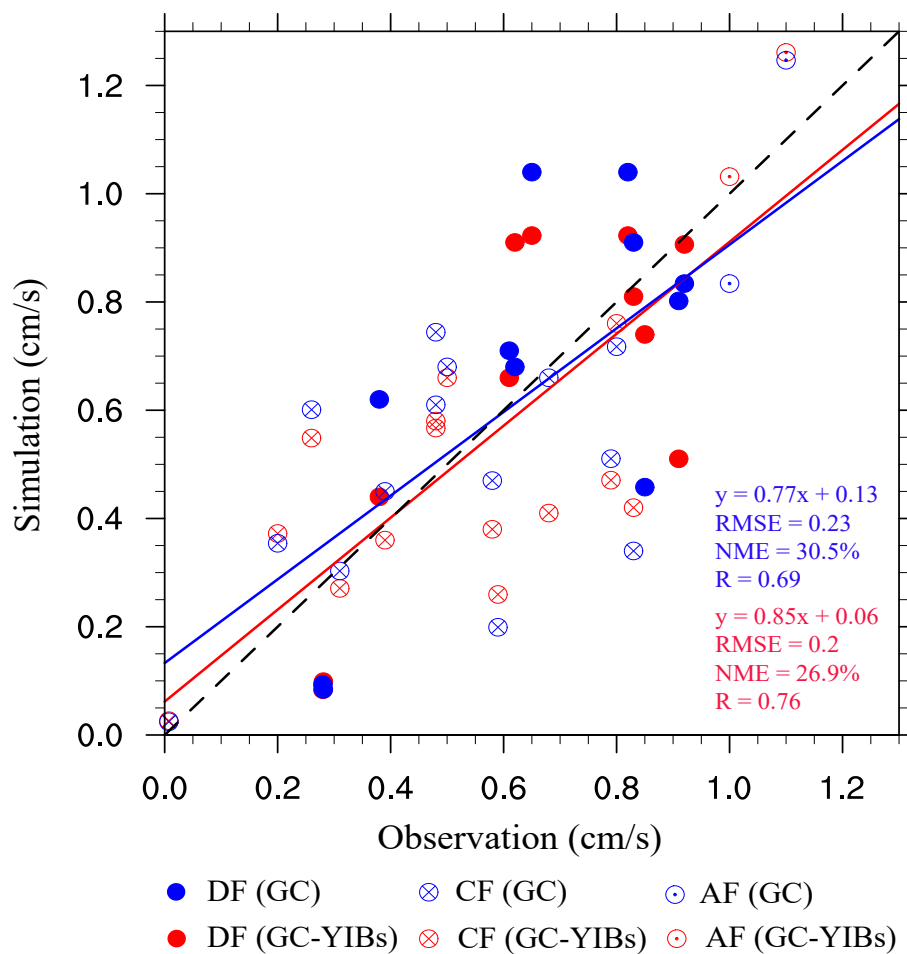


Figure 7 Comparison between observed and simulated O₃ dry deposition velocity at observational sites. The different marker types represent different land types. The blue and red markers represent the simulation results from online GC-YIBs (Online_ALL simulation) and GC (Offline simulation) models, respectively. The blue and red lines indicate linear regressions between simulations and observations. The regression fits, root-mean-square errors (RMSE), normalized mean errors (NME) and correlation coefficients (R) for GC-YIBs (blue) and GC (red) models are also shown.

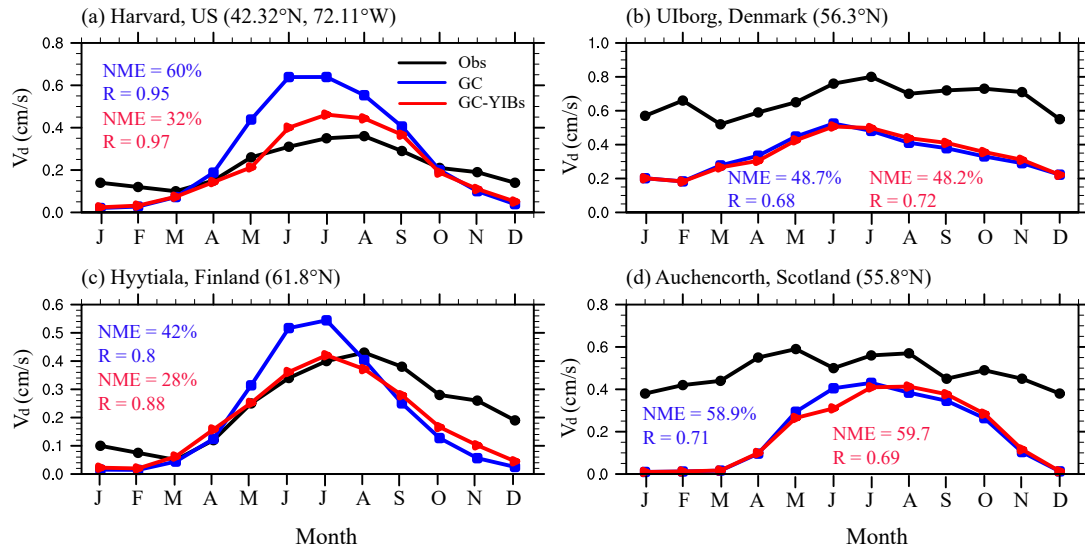


Figure 8 Comparison of monthly O₃ dry deposition velocity at Harvard (a), Ulborg (b), Hyytiala (c) and Auchencorth (d) sites. The black lines represent observed O₃ dry deposition velocity. The blue and red lines represent simulated O₃ dry deposition velocity from GC (Offline simulation) and online GC-YIBs (Online_ALL simulation) models, respectively.

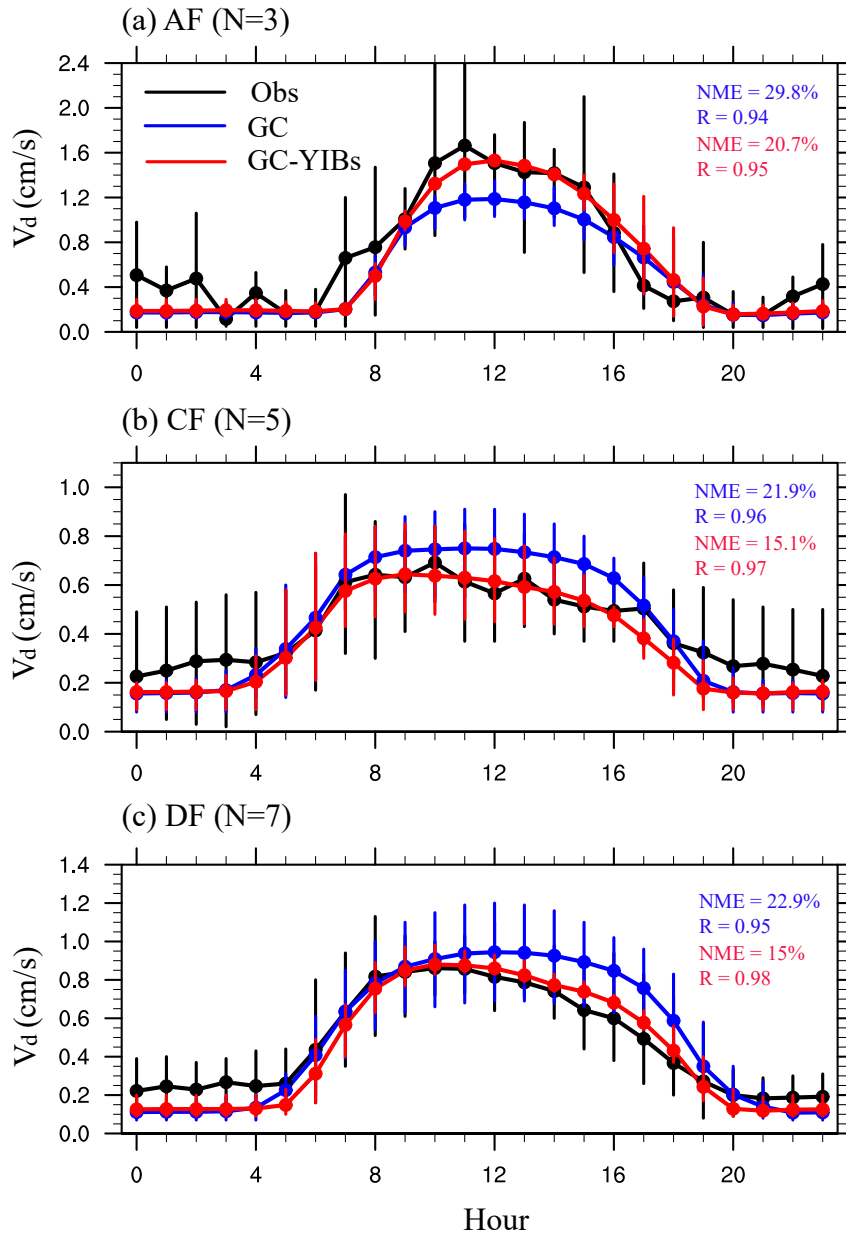


Figure 9 Comparison of multi-site mean diurnal cycle of O_3 dry deposition velocity at Amazon (a), coniferous (b) and deciduous (c) forests. Errorbars represent the range of values from different sites. Black lines represent observed O_3 dry deposition velocity. The blue and red lines represent simulated O_3 dry deposition velocity by GC (Offline simulation) and online GC-YIBs (Online_ALL simulation) models, respectively. The site number (N), R, and NME are shown for each panel.

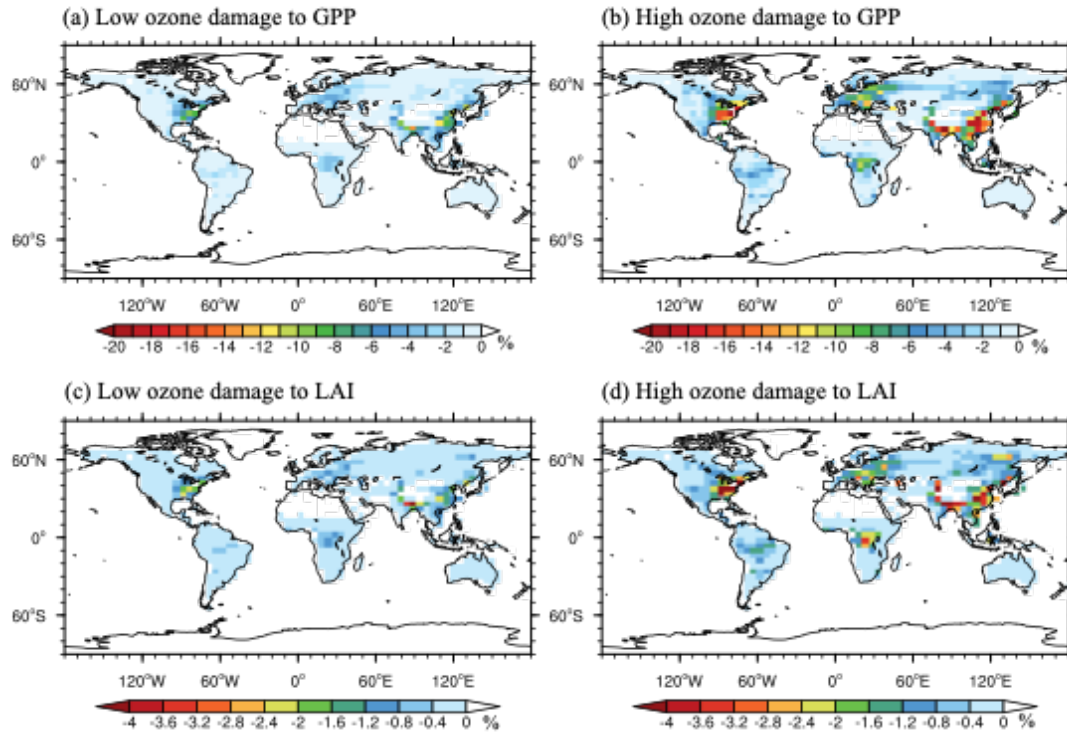


Figure 10 Percentage changes in **(a, b)** GPP and **(c, d)** LAI caused by O₃ damaging effects with **(a, c)** low (Online_ALL_LS simulation) and **(b, d)** high sensitivities (Online_ALL_HS simulation). Both changes of GPP and LAI are averaged for 2010–2012.