## Dear editor,

thank you for your efforts in improving the manuscript! Please find the answers to the specific comments in detail below.

## Comments related to Referee #1

1. You have added some description on the mean radiant temperature but this new text describes mainly the radiative models from where mrt can be calculated but not the details referee #1 was asking. Due to the importance of this variable, even though it is calculated in the radiation module, you should provide more detailed description from each voxel as the referee was requesting.

For a more detailed description of the mean radiant temperature calculations in PALM, please see the Maronga et al. 2019a (Overview of the PALM model system 6.0) section 3.6. If included in this paper would be repetition while is does not belong to the biometeorology module.

2. The comments on better description of meteorological parameters in the manuscript has not addressed. You only mention the height in the referee response but this is not enough to answer the referee #1 comment.

The meteorological parameters used for the calculations with the biometeorology module are provided by the PALM-output directly. The biometeorology module does only use, but not modify any of the input parameters (air temperature, relative humidity, wind speed and mean radiant temperature).

3. The wind speed calculation is not addressed in the manuscript itself and thus more information should be added.

Wind speed is provided by the PALM model core and only used for input to drive the module. For details please see the PALM model description papers (e.g. Maronga et al. 2015, Maronga et al. 2019a).

4. What happened to the description of iPT? This was left open and its not clear how the referee comment was answered

iPT was removed in the manuscript for the agent module is still not fully available. If desired, however, it can be added again at any time.

6. It would be possible to include some temporal plots in addition to the spatial "snapshots". You could add a temporal plot with e.g. four subplots on certain points (wall, floor,...) from PALM-4U and from Rayman. This would be important evaluation on showing how the biomet module behaves on temporal scale.

RayMan is a diagnostic model type that can not be compared that easily and appropriately to a prognostic model like PALM. A temporal comparison like proposed above would rather give an insight on the model core's precision providing the input data to the biometeorology module than the module itself.

A temporal comparison to RayMan would, furthermore, lead to the very same results as the biomet module for RayMan would need to be driven with the same input data to test the accuracy of the biometeorology module rather than the PALM model core.

7. Please, add the information also to the manuscript as this might be unclear for other readers also.

## Has been added to the manuscript (Section 3, Paragraph 1).

8. It still reads in the manuscript over the day which is not true as currently only two points are shown. I suggest you actually add temporal plot comparing Rayman and PALM 4U as suggested above in point 6.

## The sentence has been replaced (Section 3, Paragraph 1 and 2).

9. The meteorological conditions should be added to the methods under Test case also.

# A table showing the initial conditions has been added to the manuscript (Section 2.2, Table 4).

11 Discussion concerning uncertainty in the input parameters should be added to the manuscript as suggested by the referee

The uncertainties of the input parameters of course directly do affect the output of the biometeorology module. The accuracy of the input conditions can not be assessed by the authors of the biometeorology module. Please see Maronga et al. 2019a.

12 It would be good to include this information to the manuscript as requested by the referee

## Added to the manuscript (Section 4, Paragraph 2).

16 You have highlighted text in Section 3.2 but it is not clear what has changed. It would be good to add lines that have changed to the referee responses as now it is difficult to follow what changes exactly has been made and to what referee comments do the changed concern.

## This might be related to pdfdiff missed a page break. Please ignore.

17. Not clear what references have been removed and also no references to other studies as suggested by the referee have ben added.

The following references have been added to or removed from the manuscript since the initial submission.

## Added references:

- Brown, R. D. and Gillespie, T. J.: Estimating outdoor thermal comfort using a cylindrical radiation thermometer and an energy budget model, International Journal of Biometeorology, 30, 43–52, https://doi.org/10.1007/BF02192058, 1986.
- Fröhlich: Modified "test\_urban" dataset for thermal comfort in PALM, https://doi.org/10.5281/zenodo.3567814, https://doi.org/10.5281/zenodo.3567814, 2019.
- Maronga, B., Banzhaf, S., Burmeister, C., Esch, T., Forkel, R., Fröhlich, D., Fuka, V., Gehrke, K. F., Geletič, J., Giersch, S., Gronemeier, T., Groß, G., Heldens, W., Hellsten, A., Hoffmann, F., Inagaki, A., Kadasch, E., Kanani-Sühring, F., Ketelsen, K., Khan, B. A., Knigge, C., Knoop, H., Krč, P., Kurppa, M., Maamari, H., Matzarakis, A., Mauder, M., Pallasch, M., Pavlik, D., Pfafferott, J., Resler, J., Rissmann, S., Russo, E., Salim, M., Schrempf, M., Schwenkel, J., Seckmeyer, G., Schubert, S., Sühring, M., von Tils, R., Vollmer, L., Ward, S., 18Witha, B., Wurps, H., Zeidler, J., and Raasch, S.: Overview of the PALM model system 6.0, https://doi.org/10.5194/gmd-2019-103, https://www.geoscimodel-dev-discuss.net/gmd-2019-103/, 2019a.
- Mlawer, E., Taubman, S., Brown, P., Iacono, M., and Clough, S.: RRTM, a validated correlated-k model for the longwave, J. Geophys. Res., 16, 663–682, 1997.

- Pincus, R., Barker, H. W., and Morcrette, J.-J.: A fast, flexible, approximate technique for computing radiative transfer in inhomogeneous cloud fields: FAST, FLEXIBLE, APPROXIMATE RADIATIVE TRANSFER, Journal of Geophysical Research: Atmospheres, 108, https://doi.org/10.1029/2002JD003322, http://doi.wiley.com/10.1029/2002JD003322, 2003.
- Thorsson, S., Lindberg, F., Eliasson, I., and Holmer, B.: Different methods for estimating the mean radiant temperature in an outdoor urban setting, International Journal of Climatology, 27, 1983–1993, https://doi.org/10.1002/joc.1537, wOS:000251432100012, 2007.

#### Removed references:

- Herrmann, J. and Matzarakis, A.: Mean radiant temperature in idealized urban canyons Examples from Freiburg, Germany, International Journal of Biometeorology, pp. 199–203, 2012.
- Lin, T.-P., Tsai, K.-T., Liao, C.-C., and Huang, Y.-C.: Effects of thermal comfort and adaptation on park attendance regarding different shading levels and activity types, Building and Environment, 59, 599–611, https://doi.org/10.1016/j.buildenv.2012.10.005, http://www.sciencedirect.com/science/article/pii/S0360132312002703, 2013.
- Matzarakis, A. and Mayer, H.: Mapping of urban air paths for planning in Munich, In: Planning Applications of Urban and Building Climatology. Wissenschaftliche Berichte des Instituts für Meteorologie und Klimaforschung. Universität Karlsruhe, 16, 1992.
- Matzarakis, A., Mayer, H., and Iziomon, M. G.: Applications of a universal thermal index: physiological equivalent temperature, International Journal of Biometeorology, 43, 76–84, https://doi.org/10.1007/s004840050119, wOS:000083502400004, 1999.
- Matzarakis, A., Röckle, R., Richter, C.-J., Höfl, H.-C., Steinicke, W., Streifeneder, M., and Mayer, H.: Planungsrelevante Bewertung des Stadtklimas Am Beispiel von Freiburg im Breisgau, Gefahrstoffe Reinhaltung der Luft, pp. 334–340, 2008.
- Matzarakis, A., Muthers, S., and Koch, E.: Human-biometeorological evaluation of summer mortality in Vienna, Theoretical and Applied Climatology, pp. 1–10, 2011.

## Comments related to Referee #2

To me it seems that the agent model is highly relevant for iPT and thus more detailed description should be added to the current manuscript. This does not need to be long but more details as suggested by referee #2 should be added.

iPT was removed from the manuscript entirely for the agent model is still not fully available.

I do not see whether such plots (input spatial plots) were produced to attachments. If not the authors should provide them as suggested. These can be added to the main text of to the attachments.

A temporal comparison like proposed above would rather give an insight on the model core's precision providing the input data to the biometeorology module than the module itself. A temporal comparison to RayMan would, furthermore, lead to the very same results as the biomet module for RayMan would need to be driven with the same input data to test the accuracy of the biometeorology module rather than the PALM model core.

Please add line numbers and pages where the specific changes have been made as now it is difficult to read the changes.

Line numbers are changing along with any modification of the manuscript and can therefore be misleading. We therefore highlighted the changes to the last uploaded version as demanded by the authors instructions.

# Calculating human thermal comfort and thermal stress in the PALM model system 6.0

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Abstract. In the frame of the project "MOSAIK – Model-based city planning and application in climate change", a Germanwide research project within the call "Urban Climate Under Change" ([UC]<sup>2</sup>) funded by the German Federal Ministry of
Education and Research (BMBF), a biometeorology module was implemented into the PALM model system. The new biometeorology module comprises of methods for the calculation of uv-exposure quantities, a human-biometeorologically weighted
mean radiant temperature (T<sub>mrt</sub>), as well as for the estimation of human thermal comfort or stress. The latter is achieved
through the implementation of the three widely-used thermal indices Perceived Temperature (PT), Universal Thermal Climate
Index (UTCI), as well as Physiologically Equivalent Temperature (PET): Comparison calculations were performed for the indices PT, UTCI and PET based on the SkyHelios model and showing PALM calculates higher values in general. This is mostly
due to a higher radiational gain leading to higher values of mean radiant temperature. For a more direct comparison, the indices
PT, PET and UTCI were calculated by the biometeorology module, as well as the programs provided by the attachment to the
VDI guideline 3787, as well as by the RayMan model based on the very same input dataset. Results show deviations below the
relevant precision of 0.1 K for PET and UTCI and some deviations of up to 2.683 K for PT caused by repeated unvaovourable
rounding in very rare cases (0.027 %).

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#### 15 1 Introduction

Urban areas show slightly different diurnal variability in air temperature (T<sub>a</sub>) compared to their surroundings (e.g. Oke, 1995; Helbig et al., 1999). This is mostly due to modifications in the radiation budget caused by ground sealing, different surface materials and many vertical surfaces (Oke, 1995, p. 276ff). Additionally many of them have high heat storage capacities (Oke, 1995, p. 284) reducing night–time cooling. The two effects contribute to a phenomenon that is called the Urban Heat Island 20 (UHI, Oke, 1995, p. 288ff). Another increase in urban temperatures is caused by the local impact of global climate change. E.g. for Freiburg (south–west Germany), an increase of days with heat stress by up to 5 % is expected (Matzarakis and Endler, 2010).

Health and well-being of the growing urban population is already an important issue in present urban planning (e.g. Helbig

et al., 1999). A number of studies have been carried out in the last years that show strong correlation between health, as well as mortality on the one side and urban biometeorology on the other side. Especially heat stress during the summer months seems to lead to an increase in mortality (e.g. Koppe et al., 2004; Conti et al., 2005; Muthers et al., 2010; Nastos and Matzarakis, 2012; Muthers et al., 2017).

To allow for counteracting malicious effects through urban planning measures, e.g. by a modification in the building configuration (Lin et al., 2010a), surface materials (Lin et al., 2010b) or urban green (Shashua-Bar et al., 2011; Charalampopoulos et al., 2015) decision makers are dependent on spatially resolved thermal perception information that can be best provided through maps (Matzarakis, 2001; Nouri et al., 2018).

Thermal comfort can be assessed by calculating thermal indices, e.g. the Predicted Mean Vote (PMV Fanger, 1972), Physiologically Equivalent Temperature (PET, Höppe, 1993, 1999), the Perceived Temperature (PT, Staiger et al., 2012) or the Universal Thermal Climate Index (UTCI, Jendritzky et al., 2012) combining several aspects to approximate the thermal perception of a standardized sample human being taking into account many meteorological and physiological parameters (Fanger, 1972; Höppe, 1999; Staiger et al., 2012, 2019).

To facilitate the identification of hotspots and the assessment of potential for the reduction of thermal stress the program "Urban Climate Under Change" ([UC]<sup>2</sup>) is funded by the German Federal Ministry of Education and Research (BMBF). It "aims at the development, validation and application of an innovative urban climate model for entire cities" (Todo: UC2 homepage). Part of the [UC]<sup>2</sup> program is the German-wide research project "MOSAIK – Model-based city planning and application in climate change". In the course of MOSAIK the PALM (PArallelized Large-eddy simulation Model Raasch and Schröter, 2001; Maronga et al., 2015; Hellsten et al., 2018; Maronga et al., 2019b) is extended by several modules to extend it to become a comprehensive urban climate model (e.g. an urban surface module by Resler et al. (2017)). One of the new modules is the biometeorology module capable of calculating the static thermal indices PT, UTCI and PET (Maronga et al., 2019b).

#### 2 Methods

Humans are unable to directly sense individual meteorological quantities, e.g. T<sub>a</sub>. However, they do feel the thermal effect of their environment caused by several meteorological parameters integrally through the skin and the blood temperature in the thermoregulatory system of the hypothalamus (Tromp, 1980; Höppe, 1993). Thermal comfort therefore can not be described by individual parameters, but needs to be approximated through thermal comfort indices considering all relevant conditions. The more sophisticated indices are based on the approach of equivalent temperaturs and are relying on the evaluation of the human energy balance or heat flux models (e.g. Fanger, 1972; Gagge et al., 1986; Höppe, 1993; Błażejczyk et al., 2012). An important input parameter to all sophisticated thermal indices is the mean radiant temperature (T<sub>mrt</sub>), defined as the temperature of a perfectly black environment causing thermal radiation only, that leads to the same radiational gain or loss than

the actual environment (Fanger, 1972; Thorsson et al., 2007).

The estimation of T.... does require radiational input data, that is provided by one of the two radiation schemes available in

The estimation of T<sub>mrt</sub> does require radiational input data, that is provided by one of the two radiation schemes available in PALM, the simple clear-sky model (Maronga et al., 2019a), or the more complex RRTMG (Mlawer et al., 1997; Pincus et al., 2003; Maronga et al., 2019a).

#### 2.1 Perceived Temperature

The Perceived Temperature (PT) is a thermal comfort index for outdoor environments using the concept of an equivalent temperature. The thermal impact of the environment is evaluated through the "Klima-Michel-Model" (Jendritzky et al., 1990), an energy balance model for human beings (Staiger et al., 2012). PT is defined to be "the air temperature of a reference environment in which the thermal perception would be the same as in the actual environment" (Staiger et al., 2012).

PT is a steady-state model by design to keep run-time at a reasonable level. The target for PT is a standardized sample human (the "Klima-Michel", Jendritzky et al., 1990) with a height of 1,75 m, an age of 35 years, a weight of 75 kg, an internal heat production of 135 W/m<sup>2</sup> walking at a speed of 4 km/h (Staiger et al., 2012). This allows for a simplification of the human heat balance equation after ASHRAE (2001, p. 134):

$$M - Wo = (C + R + E_{sk}) + (C_{res} + E_{res}) + S_{sk} + S_{cr}$$
 (1)

The energy gain caused by metabolic processes within the body M reduced by the portion of mechanical work Wo (the fraction of the body's energy, that is not converted to heat, but to mechanical workforce) is compared to the combined latent and sensible heat fluxes from or to the environment. The components of the equation represent energy transfer by sensible heat C, radiation R, and latent heat E. Eq. 1 distinguishes between fluxes from or to the skin (sk), the core (cr) and through the respiratory system (res). The heat storage components (S) are considered to equal 0 W constantly assuming a steady-state. Unit of all parameters is W.

All of the physiological parameters are defined by the "Klima-Michel" model and the clothing model is self-adapting. PT can therefore be estimated exclusively based on the meteorological parameters air temperature (T<sub>a</sub>, °C), wind speed(v, m/s), vapor pressure (VP, hPa), and mean radiant temperature (T<sub>mrt</sub>, °C). All of the energy gained or lost by the "Klima-Michel" is compared to that of an "indoor" reference environment (compare to Figure 1). This is done based on a modified version of the basic thermal index "Predicted Mean Vote" (PMV) after Fanger (1972); Gagge et al. (1986). The reference environment is defined with parameters T<sub>mrt</sub> = T<sub>a</sub> (no radiational impact), v = 0.1 m/s (auto-convection only) and VP equal to VP of the actual environment. If the actual environment would lead to warm and humid conditions, VP is set to a value matching a relative humidity of 50 % (Staiger et al., 2012). The comparison is balanced by the air temperature of the "indoor" environment that is modified until the thermal stress in terms of PMV is the same as in the actual environment.

The index PMV does consider energy exchange based on a two-node body model (a skin and a core node). It allows for latent and sensible heat transfer from or to the skin (considering sweating) and by respiration (Fanger, 1972; Staiger et al., 2012).

PT comprises a clothing model, that is automatically selecting the most appropriate value for the clothing index (clo) according to the prevailing meteorological conditions (Staiger et al., 2012). It primarily attempts to maintain thermal comfort by adapting to hot or cold conditions. Only if this can not be achieved, thermal stress is computed (Fanger, 1972; Staiger et al., 2012). The clothing model is supported in reducing thermal strain by parametrizations of shivering in cold conditions (PMV < -0.11 at clo

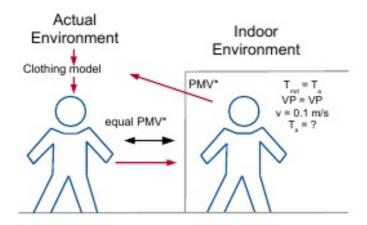


Figure 1. Schematic overview of the comparison of adjusted PMV between the actual prevailing environment and a virtual eindoor environment for the estimation of the perceived temperature. The sample human is standardized by the "Klima–Michel" model.

= 1.75) and sweating under hot conditions (PMV > 0.5 at clo = 0.5, Staiger et al., 2012).

Table 1. The thermo-physiological meaning of PT results for central Europe as defined by Staiger et al. (2012).

| PT (°C)   | Thermal Perception | Thermo-physiological stress |  |  |
|-----------|--------------------|-----------------------------|--|--|
| ≥ +38     | Very hot           | Extreme heat stress         |  |  |
| +32 - +38 | Hot                | Great heat stress           |  |  |
| +26 - +32 | Warm               | Moderate heat stress        |  |  |
| +20 - +26 | Slightly warm      | Slight heat stress          |  |  |
| 0 - +20   | Comfortable        | Comfort possible            |  |  |
| -13 - 0   | Slightly cool      | Slight cold stress          |  |  |
| -2613     | Cool               | Moderate cold stress        |  |  |
| -3926     | Cold               | Great cold stress           |  |  |
| < -39     | Very cold          | Extreme cold stress         |  |  |

To facilitate the interpretation of PT results in Central Europe Staiger et al. (2012) published a perception table translating the PT values into thermal perception or the extent of thermo-physiological stress (Table 1). It does consider the metabolic heat production (M), the mechanical workload (Wo), radiational heat flux (R), sensible heat flux (C), as well as latent heat (E). E is thereby separated in the components from or to the skin (sk), by sweating (sw) and by the respiratory system (res). The unit of all components of equation 2 is W. Heat storage (S) must permanently equal 0 W to maintain a steady state.

5 The energy gain or loss by the prevailing thermal environment is compared to that of an virtual "indoor" environment without radiational impact (T<sub>mrt</sub> = T<sub>a</sub>), calm air (v = 0.1 m/s), and static humidity in terms of VP = 12 hPa (Höppe, 1999). T<sub>a</sub> of the indoor environment is then modified until the indoor environment is causing the same thermal load than the actual environment. The T<sub>a</sub> of that indoor environment then is returned as PET (Höppe, 1999).

Table 3. Thermal sensation classes for human beings in Central Europe (with an internal heat production of 80 W and a heat transfer resistance of the clothing of 0.9 clo (clothing value)) modified after Matzarakis and Mayer (1996).

| PET (°C) | Thermal Perception | Grade of physical stress<br>Extreme heat stress |  |  |
|----------|--------------------|---|--|--|
| > 41     | Very hot           |   |  |  |
| 35 - 41  | Hot                | Strong heat stress                              |  |  |
| 29 - 35  | Warm               | Moderate heat stress                            |  |  |
| 23 - 29  | Slightly warm      | Slight heat stress                              |  |  |
| 18 - 23  | Comfortable        | No thermal stress                               |  |  |
| 13 - 18  | Slightly cool      | Slight cold stress                              |  |  |
| 8 - 13   | Cool               | Moderate cold stress                            |  |  |
| 4 - 8    | Cold               | Strong cold stress                              |  |  |
| $\leq 4$ | Very cold          | Extreme cold stress                             |  |  |

PET results can be interpreted using classification tables for the region in question. For Central Europe a classification with 10 nine classes of thermal perception (3) was introduced by Matzarakis and Mayer (1996).

## 2.2 Test case

The thermal comfort part of the biometeorology module was tested based on the generic urban crossroads test-case "test\_urban" located at Hannover (Germany) (Fröhlich, 2019, compare to Figure 3). It consists of a 19 x 19 x 60 grid domain with a grid spacing of 2.0 x 2.0 x 2.0 m. In the corners of the domain there are buildings with different heights of 10 m to 40 m. Two streets in between are forming a crossroads. In the North-East of the domain shading is provided by two deciduous trees.

Radiation data for the test case is generated by PALMs clear-sky schmeme providing minimal radiation input based on astronomic calculations assuming a perfectly clear sky without any clouds or obstructions. Please see Section 3.5.1 in Maronga et al. (2019a) for details.

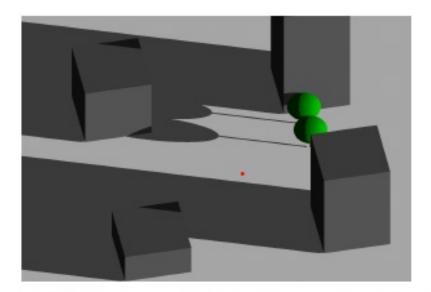


Figure 3. The site "test\_urban" with shading as shown by the SkyHelios model seen from South-SouthWest at 07:00 UTC (shortly after sunrise) on a 6<sup>th</sup> of March.

To run the test setup with the thermal comfort part of the biometeorology module, the input file "test\_urban\_p3d" was slightly modified (Fröhlich, 2019, please see "test\_urban\_v2.zip/INPUT/test\_urban\_p3d"). The date was set to the 6th of March to obtain less extreme conditions. The initial potential temperature was adjusted respectively to better meet typical conditions in March. It was set to 5.0 °C at the surface at startup. The meteorological initial conditions can be found in table ??.

Table 4. Overviewy over the meteorological initial conditions to run the test cases in PALM.

| Date                             | 6th of March     |
|----------------------------------|------------------|
| Time                             | 00:00:00 (UTC+1) |
| Air temperature                  | 5.0 °C           |
| Surface water vapor mixing ratio | 0.001 (kg/kg)    |
| Wind speed                       | 1.0 m/s          |
| Wind direction                   | 270°             |
| Cloud cover                      | 0/8              |

For the assessment of the quality of the results, comparison calculations were performed for 07:00 UTC and 13:00 UTC of a 6<sup>th</sup> of March using the well-known and frequently applied SkyHelios model (Fröhlich and Matzarakis, 2018; Fröhlich, 2017; Matzarakis and Matuschek, 2011). Therefore a similar test domain was created for the SkyHelios model (see Figure 3). To increase comparability, the test calculations were driven by the average air temperature calculated by PALM.

## 2.3 Meteorological Data

For a direct comparison based on the very same input, the thermal indices provided by the biometeorology module were calculated for a meteorological dataset recorded by a urban climate station on top of the chemistry highrise building of the University of Freiburg. The dataset does cover the timespan from 1999-09-01 00:00 LST to 2010-04-30 23:00 LST in 10 minutes resolution and provides the parameters T<sub>a</sub>, VP, v and global radiation, that was used to estimate T<sub>mrt</sub> by the RayMan model. The general statistics of the dataset is provided by Table 5. The output generated by the biometeorology module was then compared to the output by the programs in the attachment to the VDI guideline 3787, part II (VDI, 2008) and to the output by the RayMan model (Matzarakis et al., 2007, 2010).

Table 5. Statistical overview over the meteorological data applied in the comparison of the thermal indices implemented in the biometeorology module to the reference implementations provided by the VDI guideline 3787, part II (VDI, 2008).

|         | $T_{\rm a}$ | VP   | V     | $T_{\mathrm{mrt}}$ |
|---------|-------------|------|-------|--------------------|
| Min.    | -13.8       | -0.8 | 0.1   | -26.0              |
| 1st Qu. | 6.7         | 6.3  | 0.4   | 0.4                |
| Median  | 12.7        | 9.1  | 0.7   | 8.7                |
| Mean    | 12.6        | 9.7  | 1.0   | 11.9               |
| 3rd Qu. | 18.4        | 12.5 | 1.3   | 19.7               |
| Max.    | 40.1        | 43.6 | 6.2   | 64.8               |
| NA's    | 279         | 2034 | 15291 | 17073              |

#### 3 Results

For keeping the manuscript at a reasonable size only two exampleas are presented here. However, the entire dataset with input and output is published along with the manuscript and can be found (as stated in the manuscript) at https://zenodo.org/record/3433720.

Looking at the perceived temperature (Figure 4) the day starts quite warm with PT of 10.2 to 15.6 °C in the sun and 8.0 to 11.1 °C in shaded areas shortly after sunrise at 07:00 UTC. The differences within the shaded or sunny areas thereby are mostly caused by wind speed. The longwave emissions of the walls, even if they are exposed to direct radiation, what can nicely be seen on the eastern side of the building in the lower left of Figure 4, are weak for surface temperatures are lower than the air temperature. The warm conditions for early spring are caused by a relatively high air temperature of 10.3 - 12.2 °C, that also lead to quite high mean radiant temperature of 11.4 - 14.5 °C in shaded areas and 20.1 - 35.4 °C in the sun. Wind speed is rather low throughout the model domain ranging from less than 0.1 m/s to 0.5 m/s.

20 The thermo-physiological consequences for a sample human, passing through the model domain are indifferent. According to

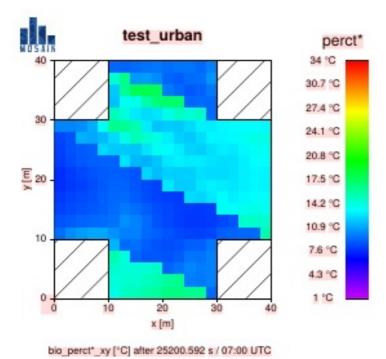


Figure 4. Perceived Temperature (PT, perct\* in palm) for the test case "test\_urban" at 07:00 UTC (shortly after sunrise) on a 6<sup>th</sup> of March. Incident wind is from 270° with 1.0 m/s.

the thermal perception table for Central Europe, Table 1, all readings are within the class 0 to 20 °C and, thus, can be perceived as comfortable if appropriate clothing is selected. This holds for both, shaded areas, as well as areas exposed to direct radiation.

The same scenario looks entirely different after midday at 13:00 UTC (see Figure 5). The model's "clear-sky" radiation scheme causes the air temperature to rise to values of 20.8 °C close to the northern wall of the lower right building to 24.3 °C at the western side of the lower right obstacle. Wind speed is little decreased compared to 07:00 and ranges from less than 0.1 m/s to 0.4 m/s at 13:00. Both leads to a quite high mean radiant temperature of 25.7 - 32.7 °C in shaded areas and a very high T<sub>mrt</sub> of 44 - 51.7 °C in areas exposed to direct radiation.

A sample human roaming within the model domain would experience wider range of thermal perception. While shaded areas are quite comfortable with PT of 20.0 - 23.4 °C, what translates to "slightly warm" perception according to Table 1, the high T<sub>mrt</sub> in unshaded areas also cause high values for PT of 24.4 - 30.9 °C. According to the thermo-physiological perception classification by Staiger et al. (2012, Table 1), the sample person would experience "slightly warm" to "warm" conditions causing slight to moderate heat stress.

The same scenario can also be analyzed targeting thermal stress using the thermal index UTCI (see Section 2.1.1). For 07:00 UTCI calculates quite similar values than PT (compare Figures 4 and 6). The absolute numbers for UTCI are way higher than those for PT with 11.5 - 14.4 °C (UTCI) in the shade and 15.7 - 19.2 °C (UTCI) in sunlit areas. This, however translates to

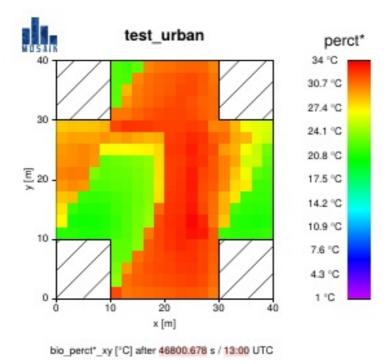


Figure 5. Perceived Temperature (PT, perct\* in palm) for the test case "test\_urban" at 13:00 UTC (close to midday) on a 6<sup>th</sup> of March. Incident wind is from 270° with 1.0 m/s.

comfortable conditions without thermal stress throughout the entire model domain (compare to Table 2) and therefore is in good agreement with the results for PT.

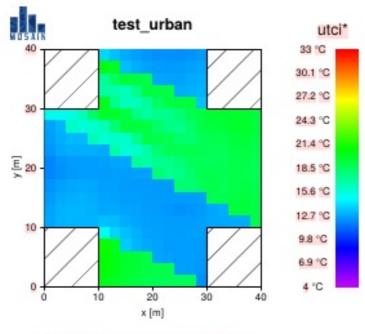
Taking a closer look at Figure 6 one can see, that the results for UTCI appear to be more homogeneous in some areas than those for PT (compare to 4). One of those areas can be found in between the buildings on the right with UTCI of 18.1 - 18.3 °C.

They are mostly caused by wind speed going below the valid range for wind speed to the UTCI regression equation (see Section 2.1.1).

### 3.1 Comparison with SkyHelios

A similar model domain was created for the SkyHelios model (Fröhlich and Matzarakis, 2018; Fröhlich, 2017; Matzarakis and Matuschek, 2011) and a run with similar input parameters was performed. Results for the Perceived Temperature (see Figure 7) show overall cooler conditions compared to the results by PALM (compare to Figures 4 and 5).

Comparing the results for 07:00 UTC on a 6<sup>th</sup> of March (Figures 4 and 7 (left)) the SkyHelios results generally are looking more homogeneous. This can be explained by air temperature and air humidity are considered static throughout the model domain in this comparison. Also the diagnostic wind model in SkyHelios generates more homogeneous wind fields in the absence of near-by obstacles. However, the results for PT are not only more homogeneous, but also significantly lower as



bio\_utci\*\_xy [°C] after 25200.592 s / 07:00 UTC

Figure 6. Universal Thermal Climate Index (UTCI, utci\* in palm) for the test case "test\_urban" at 07:00 UTC (shortly after sunrise) on a 6<sup>th</sup> of March.

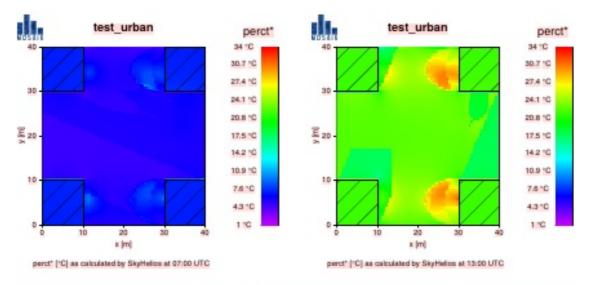


Figure 7. Perceived Temperature (PT, perct\* in palm) for the test case "test\_urban" at 07:00 UTC (left) and 13:00 UTC (right) on a 6<sup>th</sup> of March as calculated by the SkyHelios model.

calculated by SkyHelios than those by PALM. PT after SkyHelios ranges from 5.2 °C in the shade to a maximum of 11.4 °C in the sun in areas with very low wind speed (e.g. at the South-Western corner of the upper right building). This is way less than the PT calculated by PALM ranging from 10.2 to 15.6 °C in the sun and 8.0 to 11.1 °C in shaded areas. For the SkyHelios results, even the 3<sup>rd</sup> quantile of the PT results at 07:00 UTC of 7.9 °C is lower than the minimum value calculated by PALM.

A similar pattern can be found for the PT results at 13:00 UTC. Comparing Figures 4 and 7 (left) one can see once again that the SkyHelios results are more homogeneous for the reasons described above. However, the results calculated by SkyHelios are, again significantly lower than those by PALM. For the time of 13:00 UTC PT calculated by SkyHelios ranges from 16.6 °C in shaded areas to a maximum of 30.5 °C. The latter, however, is only reached in wind sheltered areas (West of the upper and lower right obstacle) that are exposed to direct radiation at the same time. Areas without the wind sheltering effect (e.g. in the central area of the domain) are significantly cooler (around 22.5 °C) even if they are exposed to direct radiation. PALM calculates way higher values of PT of 20.0 - 23.4 °C in the shade and 24.4 - 30.9 °C in the sun (see above).

Both, the differences at 07:00 as well as at 13:00 UTC can be explained by rather strong disagreement in the mean radiant temperature. While SkyHelios estimates mean radiant temperature of 4.7 °C in the shade to a maximum of 14.5 °C in the sun for the 07:00 UTC scenario, the same values are ranging from 11.4 °C to 35.4 °C in PALM. For the 13:00 UTC situation the disagreement is little lower: While SkyHelios does calculate T<sub>mrt</sub> of 25.0 °C to 47.5 °C, PALM results in the range of 25.7 °C up to 51.7 °C.

#### 3.2 Comparison to VDI versions and RayMan results

To get an insight on the precision of the results obtained from the biometeorology module, a direct comparison of results by the thermal index programs published in the VDI guideline 3787 (VDI, 2008), as well as by the RayMan model was performed based on the same input data (please refer to Section 2.3 for details). The result for each index calculated by the biometeorology module fore a set of data was substracted by the respective VDI and RayMan version. An overview over the deviations is provided by Table 6.

The comparison between the results for PT calculated by the biometeorology module and the VDI version reveils some deviation of up to 2.094 K in rare cases (deviation of 0.1 K or more in 0.027 % of all cases tested in this study). The average deviations are found to be very low (0.002 K).

For the index PET the deviation between the results by the biometeorology module and the VDI version of the index is slightly higher in average (0.004 K) but does never reach a relevant level of 0.1 K (maximum of 0.083 K). Small deviations are to be expected due to rounding errors in the iterative PET calculations.

For UTCI no deviation can be found between the results generated by the biometeorology module and the VDI version of the index at all. This can be explained through UTCI is determined by the regression equation in both cases an, thus, is the least complex index in the comparison (no iterations).

The deviations to the results of the RayMan model are slightly higher for all indices. For PT, the deviation is up to 2.683 K, for PET the maximum deviation is is 0.488 K while there is only a slight deviation of up to 0.07 K for the index UTCI. The higher deviations, however, can easily be explained by RayMan running on lower precision and rounding results to 0.1 K.

Table 6. Statistical overview over the comparison of the results generated by the module for the thermal indices PT, PET and UTCI to those by the respective versions published in VDI guideline 3787 (VDI, 2008) and by the RayMan model.

|         | VDI    |        | RayMan |        |        |        |
|---------|--------|--------|--------|--------|--------|--------|
|         | PT     | PET    | UTCI   | PT     | PET    | UTCI   |
| Min.    | -2.094 | -0.037 | 0.000  | -2.106 | -0.418 | -0.070 |
| 1st Qu. | -0.003 | -0.004 | 0.000  | -0.044 | 0.019  | -0.020 |
| Median  | -0.001 | 0.003  | 0.000  | -0.009 | 0.052  | 0.000  |
| Mean    | -0.002 | 0.004  | 0.000  | -0.058 | 0.054  | 0.000  |
| 3rd Qu. | 0.000  | 0.011  | 0.000  | 0.019  | 0.086  | 0.030  |
| Max.    | 1.356  | 0.083  | 0.000  | 2.683  | 0.488  | 0.070  |
| NA's    | 17073  | 17073  | 17073  | 17073  | 17073  | 211265 |

#### 4 Discussion and Conclusions

The implementation of the thermal indices PT, UTCI and PET as a part of the newly developed biometeorology module does allow for a quantitative assessment of thermal comfort and thermal stress (e.g. Staiger et al., 2019) using the model PALM-4U (Maronga et al., 2019b). Results show that the human thermal comfort part of the biometeorology module can generate reliable and plausible results for either of the indices in grid resolution for the vertical cell layer closest to 1.1 m above ground level. In the current version the most important indices for Germany are included. However, the module is open source and can easily be extended by the users favorite thermal index, e.g. the COMFA model (Brown and Gillespie, 1986).

The results presented in this study might seem quite high for the date of the case study, the 6<sup>th</sup> of March. However, with an air temperature ranging from 3.9 °C shortly after midnight to 23.9 °C in the afternoon the values are to be expected in this region. Another reason for the hot conditions is the large radiational gain generated by the "clear-sky" scheme, that causes the mean radiant temperature to rise from -0.1 °C prior to sunrise to a maximum of 52.0 °C in the early afternoon. Furthermore considering the overall low wind speed, hot conditions as presented here are to be expected (e.g. Fröhlich et al., 2019).

Comparing the results calculated by the biometeorology module to those calculated by the SkyHelios model, the ones by Sky-Helios appear to be significantly lower. This is, as described above, mostly due to differences in the mean radiant temperature. Also wind speed calculated by SkyHelios for an incident surface wind speed of 1.0 m/s from 270 ° is higher (around 0.1 m/s

to 0.9 m/s) than the wind speed calculated by PALM (less than 0.1 m/s to 0.5 m/s at 07:00 UTC).

Both issues might be arising from the grid resolution used in the test calculations. With a grid resolution of 2.0 m on 2.0 m on 2.0 m the grid used for the PALM run is rather coarse. While this is required to keep the computational effort in reasonable scale for a complex model like PALM (Maronga et al., 2015) it decreases precision of the results (Fröhlich and Matzarakis, 2018). This definitely holds for the radiation calculations where the rather coarse obstacles throw stair-like shadows (Fröhlich and Matzarakis, 2018), but also for wind speed in the target height of 1.1 m. As 1.1 m is within the lowest possible layer of cells

ground friction might be overestimated in the wind input to the biometeorology module. The SkyHelios model, in contrast, does perform radiation calculations in a vector-based model domain while the lower computational effort allows for higher target resolutions (Fröhlich and Matzarakis, 2018). To minimize the negative effects of the rasterized calculations in PALM, a high resolution of e.g. 1 m on 1 m horizontally, as well as even higher vertical resolutions (e.g. with telescoping and nesting as proposed by Hellsten et al., 2018) is recommended by the authors.

Considering the same input to the biometeorology module in terms of air temperature, moisture, wind velocity and mean radiant temperature, the output for PT, UTCI and PET does agree very well (considering the usual rounding effects) to reference calculations by the VDI version of the respective index as well as to results by the RayMan model (Matzarakis et al., 2007, 2010).

The new functionality implemented in the biometeorology module is intended to facilitate the consideration of several aspects of human thermal comfort and stress for various applications and user groups. This allows for the replacement of older and potentially less comprehensive models and methods not only in biometeorological research applications (e.g. Reis and Lopes, 2019; Nouri et al., 2018). It can be used by architects and municipalities to analyze the effect o their design on human thermal perception and health (e.g. Conti et al., 2005; Lin et al., 2010b; Fröhlich and Matzarakis, 2013) to improve their concepts e.g. fighting the local effect of global climate change or the urban heat island (Reis and Lopes, 2019).

Code availability. The specific version of PALM applied is provided in the folder SOURCE of Fröhlich (2019). In general, the PALM model system is free software. It can be redistributed and/or modified under the terms of the GNU General Public License (v3). We kindly request that you cite PALM in all your publications. It is available online as described in the PALM installation instructions: https://palm.muk.uni-hannover.de/trac/wiki/doc/install.

20 Data availability. The modified "test\_urban" input dataset along with the results and the respective model source is available online along with Fröhlich (2019). It is a modification of the generic PALM test-case "test\_urban" provided at https://palm.muk.uni-hannover.de/mosaik/wiki/internal/testing (last access on 2019-06-19).

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