# Development of a sequential tool, LMDZ-NEMO-med-V1, to conduct global to regional past climate simulation for the Mediterranean basin: An Early Holocene case study

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# 12 Abstract

Recently, major progress has been made in the simulation of the ocean dynamics of the Mediterranean 13 using atmospheric and oceanic models with high spatial resolution. High resolution is essential to 14 accurately capture the synoptic variability required to initiate intermediate and deep-water formation, 15 the engine of the MTC (Mediterranean Thermohaline Circulation). In paleoclimate studies, one major 16 problem with the simulation of regional climate changes is that boundary conditions are not available 17 from observations or data reconstruction to drive high-resolution regional models. One consistent way 18 to advance paleoclimate modelling is to use a comprehensive global to regional approach. However, this 19 20 approach needs long-term integration to reach equilibrium (hundreds of years), implying enormous 21 computational resources. To tackle this issue, a sequential architecture of a global-regional modelling 22 platform has been developed for the first time and is described in detail in this paper. First of all, the 23 platform is validated for the historical period. It is then used to investigate the climate and in particular, the oceanic circulation, during the Early Holocene. This period was characterised by a large 24 25 reorganisation of the MTC that strongly affected oxygen supply to the intermediate and deep waters, 26 which ultimately led to an anoxic crisis (called sapropel). Beyond the case study shown here, this 27 platform may be applied to a large number of paleoclimate contexts from the Quaternary to the Pliocene, 28 as long as regional tectonics remain mostly unchanged. For example, the climate responses of the Mediterranean basin during the last interglacial (LIG), the last glacial maximum (LGM) and the Late 29 30 Pliocene, all present interesting scientific challenges which may be addressed using this numerical platform. 31

# 32 **1 Framework of the study**

# 33 **1.1. Introduction**

The Mediterranean basin is a key region for the global climate system. It is considered to be a climate "hotspot" (Giorgi, 2006), due to its high sensitivity to global warming. In the past, it has been the seat of important human civilisations, and it continues to play a very important role in international geopolitics with a dense population along its coasts. There is great diversity in the Mediterranean ecosystems, both marine and terrestrial. The Mediterranean region is also rich in paleoclimate records with a variety of proxies. Indeed, this area experienced major changes during the glacial-interglacial cycles (Jost et al., 2005; Ludwig et al., 2018; Ramstein et al., 2007). Another long-term cycle of changes due to high-frequency precession which drastically modified the hydrological patterns of this area (monsoon, sapropels) is also superimposed.

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44 Due to the peculiarities of both the atmospheric and oceanic circulation in the region, high-quality 45 climate modelling of the Mediterranean region needs to have high spatial resolution (Li et al., 2006). 46 Indeed, the presence of strong gusts of wind in winter are essential to trigger oceanic convection and these can only be correctly represented in high-resolution models. Limited area models (LAM), or 47 48 regional climate models (RCM), present some advantages in this regard, since they generally demand 49 less computing resources, allowing them to be run at high spatial resolution for a given region. However, 50 their usefulness for paleoclimate purposes is limited because of the lack of adequate lateral boundary conditions to drive the RCMs. The main reason why few comprehensive modelling exercises to explain 51 52 paleoclimate changes around the Mediterranean have been performed is that the level of computing 53 resources required for high resolution and long simulations is inaccessible. This is especially true in the case of the Mediterranean Thermohaline Circulation (MTC), which has significantly changed in the 54 55 past, at both centennial and millennial scales.

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Here we describe a modelling suite to define high-resolution atmospheric conditions over the 57 Mediterranean basin from global ESM (Earth System Model) paleoclimate simulations. This 58 59 atmospheric forcing can then be used to run a highly resolved ocean model (NEMOMED8 1/8°) to accurately simulate ocean dynamics. This tool allows us to achieve a high spatial resolution and 60 equilibrated simulations with a run time of 100 years. The objective of this study is to develop a 61 modelling platform sufficiently comprehensive to conduct paleoclimate studies of the Mediterranean 62 basin. The potential of this platform is illustrated by investigating climate situations from the present 63 64 period and from the Early Holocene that is supposed to generate sapropel events.

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The sapropel events provide excellent case studies on the impact of global changes on the Mediterranean basin. These periodic events are related to a long period of anoxia of the deep and bottom waters triggered by an enhancement of the African monsoon caused by periodicities of the orbital precession. However, the localisation of the forcing source caused by orbital variability is still a subject of debate. This is especially true for the last sapropel, denoted S1, which occurred during the early Holocene (between 10500 and 6800 ka BP) (De Lange et al., 2008). Reproducing past climate variations over the Mediterranean basin, including the sapropel events, is therefore a challenge for the modellingcommunity.

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75 The paper is organised as follows: In the first section, we briefly review the different approaches used

to simulate the Mediterranean climate and sea conditions, and we also present the concept of the

sequential procedure that we propose. Section 2 presents in detail the model architecture we developed.

Finally, we present applications with simulations of the historical period (1970-1999) in Section 3 and

the Early Holocene (around 9.5 ka) in Section 4.

#### 80 1.2. Overview of current Mediterranean Sea modelling

81 The Mediterranean Sea, due to its limited size and its semi-enclosed configuration, has a faster 82 equilibrium response ( $10^2$  years) than the global ocean ( $10^3$  years). Because of this semi-enclosed configuration, there are a few requirements that modelling of the Mediterranean Sea needs to satisfy so 83 84 that its evolution can be properly represented. High resolution in both the atmospheric forcing and the oceanic configuration is necessary to correctly simulate the convection areas and the associated 85 thermohaline circulation (Lebeaupin Brossier et al., 2011; Li et al., 2006). Depending on the mechanism 86 studied, the resolution of the ocean model used by the research community ranges from  $\frac{1}{4}^{\circ}$  (e.g. for 87 paleo-climatic simulation), to 1/75° (for hourly description of the mixed layer, tide-based investigation). 88 The results for oceanic convection are highly dependent on the flux of heat, flux of water, and the wind 89 stress at the air-sea interface especially the seasonal variability and intensity. There are many modelling 90 configurations in the scientific literature making it impossible to provide an exhaustive review of all of 91 them. We can summarise them by presenting the different approaches used to drive the Mediterranean 92 oceanic model, along with their advantages and drawbacks. We underline our new, coherent method, 93 94 which captures the changes in ocean dynamics in the Mediterranean basin derived from global 95 paleoclimate simulations.

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#### 97 *Observations and reanalysis*

98 The most common way to simulate the general circulation of the Mediterranean Sea is to run a regional 99 oceanic general circulation model forced by surface fluxes and wind stresses derived from observations 100 and reanalyses. In this way, an oceanic model can be driven by realistic fluxes. In most cases, this implies 101 an observation-based reconstruction of relevant variables with a spatial atmospheric resolution of less than 50 km and a daily temporal resolution, at a minimum, in order to simulate the formation of dense 102 water (Artale, 2002). This approach is adapted to simulate the present-day Mediterranean Sea and to 103 104 explore the complexity of its sub-basin circulation and water mass formation (Millot and Taupier-105 Letage, 2005). However, it is not well adapted to the study of past and future climate, partly due to the 106 excessive computing resources needed.

#### 108 Atmospheric model

A second method consists of forcing a regional oceanic model with simulations from an atmospheric model, AGCM (Atmospheric Global Climate Model) or ARCM (Atmospheric Regional Climate Model). Since the AGCM resolution (typically 100 to 300 km horizontally) is coarse, statistical and/or dynamical downscaling is usually needed, especially for wind-stress so that the ORCM (Ocean Regional Circulation Model) can be correctly forced (Béranger et al., 2010). Currently, dynamical downscaling with ARCM is the preferred option because it generally improves simulations of the climate in the Mediterranean region and especially of the hydrological cycle (Li et al., 2012).

- This configuration is broadly used to assess anthropogenic climate changes (Adloff et al., 2015; Macias et al., 2015; Somot et al., 2006). In these studies, the Mediterranean Sea simulations are generally driven by the outputs of an ARCM, which is, in turn, driven by the GCM or observation-based reanalysis. It should be noted that biases in oceanic variables can be reduced through constant flux correction (Somot et al., 2006). This configuration is suitable for high-resolution simulation of the past Mediterranean Sea
- 122 (Mikolajewicz, 2011 for the LGM; Adloff et al., 2011 for the Early Holocene among others).
- 123

#### 124 Regional coupled model

125 Although the majority of the Mediterranean Sea models are ocean-alone models, some of them use a 126 coupled configuration between the Mediterranean Sea and the atmosphere. Such a coupled configuration generally improves the simulation of the air-sea fluxes, including their annual cycle (de Zolt et al., 2003), 127 128 but may show climate drifts in key parameters such as the SST. Regional coupled models are now 129 emerging as a tool in Mediterranean climate modelling (Artale et al., 2010; Dell'Aquila et al., 2012; 130 Drobinski et al., 2012; Sevault et al., 2014; Somot et al., 2008). However, this full-coupling configuration is currently not possible for high-resolution paleoclimate issues requiring long simulation 131 132 for hundreds or thousands of years.

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# 134 Importance of boundary conditions

135 The boundary conditions applied to the Mediterranean Sea domain, in particular, the exchanges of water, 136 salt and heat with the Atlantic Ocean through the Strait of Gibraltar modulate significantly the Mediterranean circulation (Adloff et al., 2015). This is especially true at the millennial scale where 137 deglaciation episodes and fluctuations of the AMOC (Atlantic Meridional Overturning Circulation) and 138 139 the Mediterranean Sea affect each other (Swingedouw et al., 2019). The level of discharge from the main rivers is also crucial as is illustrated by the sapropel episodes, where an increase in freshwater 140 input drastically slowed down the MTC. Most of current models impose prescribed (observed when 141 possible) conditions in the near Atlantic zone, including temperature and salinity. The same 142 143 methodology can be used to prescribe river discharges. However, it must be acknowledged that determining inputs from rivers into the Mediterranean Sea, either of water or other materials, stillpresents serious challenges for modelling.

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## 6 **1.3. Concepts for a sequential procedure to perform global-to-regional modelling**

In this paper, a new architecture for high-resolution modelling of the climate of the Mediterranean basin
for past, present and future conditions is proposed. This architecture is based on a method that provides
as much compatibility as possible amongst the models used and high consistency with data.

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## 151 Step 1: Global climate

152 Our goal is to simulate different climate conditions for the Mediterranean basin. The first step of any 153 relevant procedure should be to simulate the global climate conditions from which the simulation of the regional climate is driven. These may be already available in simulations from previous PMIP exercises 154 for various periods (e.g. mid-Holocene, Last Glacial Maximum, Last Interglacial and mid-Pliocene) as 155 well as for different sapropel events and interglacials (e.g. MIS11, MIS13 and MIS19). However, this 156 is not always possible due to the large volume of high-frequency 3-D atmospheric circulation variables 157 involved. An alternative approach, used in some regional climate simulations (Chen et al., 2011; 158 159 Goubanova and Li, 2007; Krinner et al., 2014), consists of using an AGCM (either an independent one or the same one used for the global climate simulation) run with appropriate values for global Sea 160 Surface Temperature (SST) and Sea Ice cover (SIC), derived from PMIP global simulations. SST is 161 crucial to determine atmospheric features and responses, while SIC plays a key role in determining the 162 global albedo. Monthly SST and SIC are necessary and sufficient to drive an AGCM. They can be 163 acquired from global climate simulations or through a bias-correction procedure. 164

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#### 166 Step 2: Regional climate

167 After running an AGCM, regional climate can be now reproduced with an ARCM nested into the high-168 frequency outputs from the AGCM. Of course, the ARCM can be run in parallel to the AGCM, or with 169 a small time delay. Thus, we avoid a large accumulation of intermediate information between the AGCM 170 and the ARCM. In our study, we assume that there would be no feedback from the regional scale to the global scale, so only a "one-way" transfer of information (from global to regional) is considered. In our 171 172 case, the ARCM is a strongly zoomed-in version of the AGCM and is also driven by monthly SST and SIC values, as used for AGCM. The higher resolution of the ARCM allows the synoptic variability and 173 seasonality of the Mediterranean region to be depicted so that a realistic wind pattern and hydrological 174 cycle may be reproduced. This approach provides a general framework for use in many different 175 paleoclimate periods from the Pliocene to the Pleistocene, as long as the basin tectonics remain 176 177 unchanged.

#### 179 Step 3: Mediterranean Sea Circulation

Daily air-sea fluxes and wind stress provided by the ARCM are used as surface boundary conditions to 180 181 drive the ORCM to investigate the oceanic dynamics of the Mediterranean. It is reasonable to assume 182 that the boundary conditions of these air-sea fluxes represent the long-term trends of the oceanic dynamics. Rivers may be considered interactive or not depending on the investigative objectives: runoff 183 can be prescribed from climatology or obtained from the hydrological component of the surface model. 184 Again, we highlight that our architecture does not include any feedback, between either the regional 185 ocean and the regional atmosphere, or the regional ocean and the global ocean. This configuration means 186 187 that we can avoid dealing with certain issues, for example, the influence of the Mediterranean Outflow 188 Water on the North Atlantic Ocean but is well adapted to provide consistent river runoff associated with 189 changes in continental precipitation.

#### 1902Model architecture

An ensemble of modelling tools that includes two atmospheric models and a regional oceanic model is used. Figure 1 summarises the configuration and shows the experimental flowchart.

#### 193 **2.1. The atmospheric models (AGCM and ARCM)**

194 LMDZ4 (Hourdin et al., 2006; Li, 1999) is the atmospheric general circulation model developed and maintained by IPSL (Institut Pierre Simon Laplace). It has been widely used in previous phases of CMIP 195 and PMIP projects. The resolution of the model is variable. Its global version used here (referred to as 196 LMDZ4-global) is 3.75° in longitude and 2.5° in latitude with 19 layers in the vertical. It provides the 197 boundary conditions to drive LMDZ4-regional. LMDZ4-regional (Li et al., 2012) is a regionally-198 199 oriented version of LMDZ4 with the same physics and same vertical discretisation, dedicated to the Mediterranean region. The zoomed-in model covers an effective domain of 13°W to 43°E and 24°N to 200 56°N with a horizontal resolution of about 30 km inside the zoom. The rest of the globe outside this 201 202 domain is considered to be the buffer-zone for LMDZ4-regional where a relaxation operation is 203 performed to nudge the model with variables from the AGCM, at a 2-hour frequency. The resolution of 204 LMDZ4-regional decreases rapidly outside its effective domain. In both LMDZ4-global and LMDZ4-205 regional, land-surface processes, including the hydrological cycle, are taken into account through a full coupling with the surface model, ORCHIDEE (Krinner et al., 2005). 206

#### 207 2.2 The regional oceanic model (ORCM)

208 NEMOMED8 (Beuvier et al., 2010; Herrmann et al., 2010) is the regional Mediterranean configuration

209 of the NEMO oceanic modelling platform (Madec, 2008). The horizontal domain includes the

210 Mediterranean Sea and the nearby Atlantic Ocean which serves as a buffer zone (from  $11^{\circ}W$  to  $7.5^{\circ}W$ ).

The horizontal resolution is  $1/8^{\circ}$  in longitude and  $1/8^{\circ}\cos\varphi$  in latitude, i.e. 9km to 12km from the north 211 to the south. The model has 43 layers of inhomogeneous thickness (from 7 m at the surface to 200 m in 212 the depths) in the vertical. River discharges are accounted for as freshwater fluxes in the grids 213 214 corresponding to the river mouths. A dataset of climatological river discharges was proposed by default 215 to cover the entire Mediterranean draining basin with 33 river mouths. It is of course switched off when rivers are interactive in the platform. The interactive calculation of freshwater discharges from rivers by 216 217 the land-surface model, ORCHIDEE, includes 192 river mouths that cover the Mediterranean draining basin. The Black Sea, not included in NEMOMED8, counts as a river dumping freshwater into the 218 219 Aegean. The deposit rate is calculated based on total runoff into the Black Sea, plus the net budget of 220 precipitation (P) minus evaporation (E) over the Black Sea.

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When the oceanic model NEMO is used alone, with prescribed surface fluxes, it is indispensable to implement a restoring term with a constant coefficient of  $40 \text{ W.m}^{-2}$ .K<sup>-1</sup>(as defined in Barnier et al. 1995)

224 . This is a standard procedure for NEMO to prevent eventual run-away cases. In our modelling chain,

the target temperature for the restoration is the surface air temperature from the regional atmospheric

226 model LMDZ4-regional.

#### 227 **2.3 Modelling Sequence**

As shown in Fig. 1, the first step in our modelling chain is to obtain SST and SIC values from an Earth 228 System Model simulation able to reproduce global climate (for the past, present or future). We can 229 reasonably hypothesise that major global climate information can transit from global SST and SIC. This 230 231 hypothesis was deemed legitimate for climate downscaling purposes for Antarctic and Africa, in Krinner et al. (2014) and Hernández-Díaz et al. (2017) respectively. In the present work we use IPSL-CM5A 232 (Dufresne et al., 2013) to extract relevant SST and SIC values to drive the AGCM (LMDZ4-global) and 233 234 the ARCM (LMDZ4-regional). The next step is to run the two atmospheric models, LMDZ4-global and LMDZ4-regional, in the usual way as proposed by the AMIP community. This is the most expensive 235 step, as atmospheric models are the most demanding in terms of computing resources. Fortunately, it is 236 not necessary to run them for a long time as the atmosphere reaches equilibrium quickly. We applied 30 237 years of simulation to both models. We consider this duration to be long enough to depict climate 238 239 variability for the simulation of past events. The AGCM nudges the ARCM in the conventional way of one-way nesting for temperature, humidity, meridional and zonal wind every two hours. The nudging is 240 done using an exponential relaxation procedure with a timescale of half an hour outside the zoom and 241 242 10 days inside the zoom. Table S2 in the SOM summarises the forcings used, especially the orbital forcing and atmospheric CO<sub>2</sub>. 243

The necessary variables (surface air temperature, wind stress, P-E over the sea, heat fluxes) are provided by ARCM to NEMOMED8 (ORCM) at daily frequency. The salinity and temperature conditions are provided in three dimensions in the Atlantic buffer zone, near the Gibraltar Strait, and updated every
month. River runoff, updated every month, depends on the configuration used (prescribed climatological
rivers, or interactive rivers). Table S3 in SOM details these boundary conditions.

It is worthy to mention the work of Mikolajewicz (2011) who used a similar modelling chain (from a coarse-resolution earth system model to a high-resolution regional oceanic model) to simulate the Mediterranean Sea climate during the last glacial maximum. However, Mikolajewicz (2011) used only an AGCM (ECHAM5) as the intermediate step. In our case, we found that the use of ARCM was indispensable to produce high-quality forcing to correctly simulate the oceanic convection in NEMOMED8.

#### 255 2.4 Bias correction

The sequential modelling chain, despite the lack of interactivity and feedback at interfaces, allows for 256 error removal and bias correction at each step of the methodology. This adjustment is sometimes crucial, 257 especially when model outputs need to be of very high quality to be incorporated into impact studies. 258 This concept was further described in Krinner et al. (2019), as illustrated in Fig. 16 of their paper. 259 Therefore, to enhance our confidence in the realism of the simulation results, bias-correction may be 260 introduced when necessary. The correction method used in the present work generally follows the 261 conventional procedure, which is based on the difference between the model outputs for present day 262 simulations and actual observations. Biases corrected in this way, theoretically only valid for the 263 historical simulation (named HIST hereafter), are assumed to remain unchanged for past and future 264 simulation scenarios. However, the transferability between past and future periods is questionable. There 265 is no guarantee that the model error for one period is the same for other periods, even though the model 266 physics may be the same. In addition, paleodata are often rare and incomplete, and so, are unsuitable for 267 268 evaluation and correction of model errors. The most reliable basis is that established for the present day. 269 The reader can find a full description of the bias corrections and their eventual use in our applications 270 in the supplementary online material, "Text S2: Bias correction".

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Figure 1: Flowchart of the modelling chain including the four main components generally represented by ESM, AGCM, ARCM and ORCM, respectively, and actually implemented in our platform by IPSL-CM5A, LMDZ-global, LMDZ-regional and NEMOMED8. BC: boundary condition, u: zonal wind, v: meridional wind, q: specific humidity, T: temperature, S: salinity, SST: sea surface temperature, SIC: sea-ice concentration.

#### 280 **3** Validation of the modelling chain for present-day climate 1970-1999

In this section, the capacity of the model to reproduce the climate of the recent past is evaluated, in particular, its ability to simulate sea surface characteristics as well as the Mixed Layer Depth (MLD) and oceanic convection patterns as these are key elements to reproduce the evolution of the Mediterranean Sea in past climate conditions.

#### 285 3.1 Experimental design

For the HIST experiment, SST and SIC observations (ERA-Interim, Dee et al., 2011) are used to force 286 the AGCM. River runoff is from the climatology of Ludwig et al., (2009). Monthly mean climatological 287 288 sea temperatures and salinities (World Ocean Atlas database from Locarnini et al., 2013, Zweng et al., 2013) are used for the Atlantic boundary zone. HIST atmospheric simulations for both global and 289 regional simulations have a duration of 30 years. The length of the HIST oceanic simulation is also 30 290 years, but obtained after a 150-year spin-up. The forcings for each experiment are detailed in "Tables 291 292 S2 and S3" in the supplementary online material. Spin-up phases for each simulation are also shown 293 from "Figure S4" to "Figure S8" for the overturning stream function and the index of stratification.

#### 294 **3.2** Evolution of temperatures

Figure 2 depicts the temporal evolution, between 1970 and 1999, of annual mean surface air 295 296 temperatures at two metres in the atmospheric simulations (global and regional) compared to observations for the whole globe and over the Mediterranean region. The two models reproduce a range 297 of temperatures similar to the observations, with the Mediterranean temperatures warmer than the global 298 temperatures. The global simulation (continued red curve in Fig. 2), after SST bias correction, is very 299 close to the observation (continued black curve), with a tremendous improvement compared to 300 IPSLCM5A (green curve in Figure 2) The regional model reproduces the warming trend and aspects of 301 the interannual variability close to observations, but with a mean cold shift of about -0.6°C. 302

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308 (black, ref: Stickler et al., 2014) and IPSLCM5A (green) for global average (solid lines) and

# 309 Mediterranean-region (10°W- 35°E, 20°N-50°N) average (dashed lines).

# **310 3.3 Precipitation and freshwater budget**

Figure 3 a and b show the average annual precipitation for 1970-1999 in HIST over the Mediterranean 311 region and the differences with observations. The main features of the distribution of precipitation over 312 313 the Mediterranean region are simulated, in particular the distinct contrast between the very low precipitation in the southern region and higher precipitation in the north. The ARCM tends to generate 314 higher precipitation than the AGCM due to the resolution refinement. Compared to observation, AGCM 315 is closer to ERA20C (Stickler et al., 2014), whereas ARCM is closer to GPCP data (Adler et al., 2018). 316 However, the regional model still overestimates the amount of precipitation, especially at  $42^{\circ}$ N, from 317 45° to 50° N, at 8°E and 20°E. It corresponds to most of Europe, especially over the Alps, the Pyrenees, 318

the Balkans and other mountainous regions. The freshwater budget over the Mediterranean Sea from observations (a synthesis from Sanchez-Gomez et al., 2011 and from other sources) and in the various simulations conducted in this study are summed up in Table 1. The simulated continental precipitation is overestimated, but both the precipitation and evaporation over the Mediterranean Sea in HIST are very close to the observations. The two other simulations included in Table 1, PICTRL and EHOL, are those designed to investigates the Early Holocene climate (see Section 4).

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Figure 3: Annual mean precipitation, a) meridionally averaged (30 to 50°N), b) zonally averaged (-10 to 35°E), in the historical simulations with AGCM (LMDZ-global) and ARCM (LMDZregional). Observation comes from GPCP (Global Precipitation Climatology Project, 1979 to 1999, blue line, ref: Adler et al., 2018). and ERA20C (green line, ref: Stickler et al., 2014).

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Dataset or	E	Р	R	В	E - P - R - B
experiment					
OBS	1096-1136	256-595	102-142	73-121	238-705
HIST	1106	443	74	104	485
PICTRL	1031	451	98	104	378
EHOL	1094	460	225	104	305

Table 1: The Mediterranean Sea freshwater budget, expressed as mm.year<sup>-1</sup> for the whole water area (about 2.5 million of km<sup>2</sup>). E, evaporation, P, precipitation, R, river runoff, B, Black Sea

discharge into the Mediterranean Sea. OBS is a summary from Sanchez-Gomez et al., (2011) for

- P, E and P-E, from Ludwig et al., (2009) for R, from Lacombe and Tchernia, (1972), Stanev et al.,
- 337 (2000) and Kourafalou and Barbopoulos, (2003) for B. River discharges in HIST are from the
- climatology of Ludwig et al., (2009). PICTRL uses the Nile of its pre-industrial (pre-damming)
- 339 value, 2930 m<sup>3</sup>.s<sup>-1</sup>, annually (Rivdis database, Vorosmarty et al., 1998). River discharges in EHOL
- 340 are deduced from the difference between EHOL and PICTRL.
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## 342 3.4 Mediterranean Sea surface characteristics

Figure 4 displays the temperatures and salinities of the Mediterranean Sea simulated in HIST and the deviations from observations. The model is able to capture the main characteristics of the pronounced west-east gradient of SSS in the Mediterranean Sea (Figure 4 a). Values are within the range of observations (mean bias = 0.32 PSU, error = 0.37 PSU, table 2). In the simulation, the Aegean Sea is not salty enough (about -1.5 PSU) and the Adriatic/Ionian Sea is too salty (+1 PSU).

348 The model reproduced the northwest to southeast temperature gradient, as shown in Figure 4b. However,

the model shows a general cold bias (from -0.5 to -1.5 °C) over the entire Mediterranean (Figure 4e),

due to the cold bias already observed for the air temperature at 2m in the regional atmospheric forcing(cf Figure 2).

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Figure 4: Annual mean sea-surface salinity (left panels, SSS in PSU), sea-surface temperature (middle panels, SST in °C) and index of water column stratification (right panels, winter IS in  $m^2.s^{-2}$ ) simulated in HIST (top panels) and the HIST deviation (model – obs) from the observation-

- 358 based MEDATLAS data (averaged over the entire simulation).
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**Figure 5: a) Mixed layer depth simulated in HIST (panel a, in m) and as deviation (b) of HIST** 

from observations of Houpert et al., (2015) averaged over the entire simulation for JFM (January
 February March). Contour lines in the upper panel a) represents the maximum of MLD
 throughout the HIST simulation.

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	SST (°C)	SSS (PSU)	IS (m <sup>2</sup> .s <sup>-2</sup> )
Mean bias (model – obs)	-0.64	0.32	0.91
RMS error	0.45	0.37	0.29

Table 2: Mean biases of sea surface temperature (SST), sea surface salinity (SSS) and index of stratification (IS) in the HIST simulation, expressed as the deviation from observations (MEDATLAS-II), and associated root mean square errors.

#### 371 **3.4 Mediterranean Thermohaline circulation**

Here, the general characteristics of the simulated thermohaline circulation is evaluated in regions where deep and intermediate water formation occurs. Figure 4c displays the stratification index (IS<sup>1</sup>) for HIST. IS is a vertical integration of the Brunt-Vaisala frequency. A lower IS implies that convection is more likely. The range of IS biases (Figure 4f), is from -1 to  $1 \text{ m}^2.\text{s}^{-2}$  (mean bias = 0.91 m<sup>2</sup>.s<sup>-2</sup>, error = 0.29 m<sup>2</sup>.s<sup>-2</sup>). The model satisfactorily reproduces the convection in known intermediate and deep-water formation areas, namely the Gulf of Lions, the Adriatic Sea, the Ionian Sea, the Aegean Sea and the North Levantine.

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Comparison with observations of the mixed-layer depth (Houpert et al., 2015) confirms that the model reproduces realistic intermediate and deep-water formation patterns, with a thicker MLD in the eastern basin, due to salty condition (Figure 4a and e), and a shallower MLD in the Gulf of Lions (figure 5b).

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384 The simulated Mediterranean overturning circulation is analysed (figure 6). The Zonal Overturning 385 stream Function (ZOF<sup>2</sup>) in figure 6a depicts the surface and intermediate circulation and the intermediate/deep circulation. The surface current from the Strait of Gibraltar flows up to 30°E and back 386 to the Atlantic Ocean in the intermediate layers, through the Levantine Intermediate Water (LIW) 387 outflow. Figure 6 c, e, and g represents the Meridional Overturning stream Function (MOF<sup>3</sup>) in the Gulf 388 of Lions, the Adriatic Sea and the Aegean Sea, respectively. The surface cell in the longitude-depth plan 389 is comparable to previous studies done with the same regional oceanic model, but with different forcings 390 (Adloff et al., 2015; Somot et al., 2006): the mean strength of the surface cell ranges from 0.8 to 1.0 Sv, 391 and the longitudinal extension is from 5°W to 30°E. The simulated intermediate and deep cells are 392 393 recognized in existing studies as having different characteristics. Our simulated pattern is very close to a similar historical run in Adloff et al., (2015), but is weaker than a historical run in Somot et al., (2006), 394 395 and a second historical configuration (with refined air-sea flux) in Adloff et al., (2015). The ZOF in

 ${}^{1}IS(x, y, h) = \int_{0}^{h} N^{2}(x, y) z dz$ . N<sup>2</sup> is the Brunt-Väisälä frequency. IS is calculated at each model grid (x, y) for a given depth *h* (set as the bottom of the sea, or as 1000 m when the depth is greater than 1000 m).

 $^{2}ZOF(x,z) = \int_{h}^{z} \int_{ys}^{yn} u(x,y,z) dy dz$ . u is the zonal currents, h is the depth of the bottom, yn and ys are the north and south coordinates respectively.

<sup>3</sup>  $MOF(y,z) = \int_{h}^{z} \int_{xe}^{xw} v(x,y,z) dx dz$ . v is the meridional currents, h is the depth of the bottom, xw and xe are the west and east coordinates respectively.

HIST depicted in figure 6) is consistent with the reanalyses (1987-2013) of Pinardi et al. (2019) over
 the Western basin, but shows a weaker Eastern deep cell compared to the reconstruction.

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Figure 6: a, b, Zonal Overturning stream-Function (ZOF) integrated from north to south and shown as a longitude-depth section for the whole Mediterranean Sea, for HIST, and PICTRL simulations (from top to bottom), respectively. Other panels show Meridional Overturning stream-Function (MOF) shown as a latitude-depth section, integrated west/east for the Gulf of Lion (c and d, longitudinal extent:  $4.5^{\circ}$  to  $8^{\circ}$ E), the Adriatic/Ionian Sea (e and f,  $12^{\circ}$  to  $21^{\circ}$ E), and the Aegean Sea (g and h,  $24^{\circ}$  to  $28^{\circ}$ E) averaged over the entire simulation for HIST and over the last 30 years of simulation for PICTRL.

#### 407 **3.5 Summary of Validation**

Validation of our platform was based on the historical period, 1970 to 1999. The atmospheric simulation 408 is acceptable compared with observations for the air temperature at 2m at both global and regional scales. 409 The simulated precipitation from the atmospheric models produces a signal that has the same range of 410 variability as the observations, but there is significant overestimation of precipitation over the 411 412 mountainous area and over the land surrounding the Mediterranean Sea. However, the freshwater budget over the sea is close to observations for both evaporation and precipitation. The areas of intermediate 413 414 and deep convection produced by the model are realistic, and the simulation of the thermohaline circulation is well captured by the oceanic model and in the range of the state-of-the-art existing 415 416 Mediterranean regional models (compared to the simulations of Adloff et al., 2015 and Somot et al., 417 2006 for instance) and reanalysis as well (Pinardi et al., 2019). These features inspire confidence in our 418 modelling platform for the investigations of past climate.

## 419 **4** Application of the modelling chain to the Early Holocene

In this section, results obtained when our sequential modelling chain is applied in a paleoclimate context are presented, which was our initial motivation for developing this modelling tool. We chose to test the performance of our tool on the Early Holocene, a period marked by significant changes in climate and ocean dynamics over the Mediterranean basin, when the last sapropel event, S1, occurred in the Mediterranean Sea. Our experimental design relies on the comparison of two simulations: the Early Holocene (EHOL) with PICTRL based on pre-industrial conditions, the latter acting as a reference.

#### 426 4.1 Experimental design

As indicated in the general flowchart of our modelling platform, global SST and SIC are required to 427 initiate our sequential modelling. The basic assumption is that the climate change signal can be 428 reconstructed from global SST and SIC, an accepted practice within the climate modelling community. 429 In this study, two existing long-term coupled simulations from IPSL-CM5A is used, one covering the 430 pre-industrial period and the other covering the Early Holocene (around 9.5 ka). Taking the last 100 431 432 years of each simulation, a climatological SST and SIC is constructed. After conducting bias-correction, 433 these outputs from IPSL-CM5A are then used to drive the AGCM (LMDZ-global) and the ARCM (LMDZ-regional) in a further step. The duration of the PICTRL and EHOL atmospheric simulations is 434 30 years (both global and regional models). 435

436

437 Oceanic temperature and salinity in the Atlantic buffer-zone, as well as freshwater discharges from
438 Mediterranean rivers, are all bias-corrected for NEMOMED8, as described in the general methodology.
439 However, it needs to be pointed out that the reference point for the Nile river discharge is not modern

- 440 observations but is set at pre-industrial values (2930 m<sup>3</sup>.s<sup>-1</sup> for annual mean, Vorosmarty et al., 1998) 441 corresponding to a period before construction of the Aswan dam. The oceanic simulation is 90 years for
- 442 EHOL and 30 years for PICTRL, performed after a 200-year spin-up of PICTRL.

#### 443 4.2 Climate features depicted in LMDZ-global (AGCM)

444 Because Early Holocene simulations are mainly driven by insolation forcing, an important feature is the model response to seasonal temperatures. Figure 7 shows the difference between EHOL and PICTRL, 445 446 as reproduced in the AGCM, LMDZ-global, for the summer/winter temperature, JJAS precipitation and JAS surface runoff. The atmospheric model imprints a stronger seasonality due to the increased Early 447 448 Holocene summer insolation. Warmer summer temperatures over Europe and North Africa (+ 6 °C, 449 figure 7b) and lower winter temperatures over Africa (-2 °C, figure 7a) reflect this feature. Variations of the precession also trigger an enhancement of the African Monsoon (+ 10 mm.day<sup>-1</sup> over the Ethiopian 450 region, figure 7c). The main consequence of this increase in precipitation is an enhanced surface runoff 451 over the Ethiopian region. This hydrological state is similar to the African Humid Period caused by the 452 enhanced African Monsoon and the resultant increase in surface runoff, as shown in Rossignol-Strick et 453 al. (1982). 454

455

456 Our results are similar to those of previous modelling exercises for the Early- and Mid-Holocene (e.g. Adloff et al., 2011; Bosmans et al., 2012; Braconnot et al., 2007; Marzin and Braconnot, 2009). They 457 are also consistent with various reconstructions of mid-Holocene precipitation (Harrison et al., 2014). 458 A detailed comparison can be made with the Early Holocene simulation reported in Marzin and 459 Braconnot (2009) which used for their experiment the same orbital parameters and the same atmospheric 460 model as EHOL. However, their model was coupled to an oceanic model, while an atmospheric model 461 and prescribed SST and SIC as boundary conditions are used in this study. Generally speaking, our 462 results for both surface air temperature and precipitation are very similar to those of Marzin and 463 Braconnot (2009), attesting to the validity of our approach using a simple atmospheric model 464 constrained by boundary conditions. In the ensemble of PMIP simulations, available for the Early 465 Holocene and mid-Holocene, there are some robust outputs for the climate response to orbital forcing 466 467 but there are also some weaknesses common to most of the models (Braconnot et al., 2007; Kageyama et al., 2013). One of these weaknesses is the underestimation of the spread of the African monsoon 468 towards North Africa. However, the increased discharge from the Nile river, induced by the enhanced 469 monsoon is well supported by data (Adamson et al., 1980; Revel et al., 2014; Williams, 2000). 470



471 472

Figure 7: Temperature and precipitation deviations of EHOL from PICTRL in LMDZ-global, the
AGCM for a) winter surface air temperatures at 2 m, b) summer surface air temperatures at 2 m,
c) June to August precipitation, and d) July to September surface runoff (averaged over the entire
simulation).

# 477 **4.3** Mediterranean climate features with dynamical downscaling refinement

Figures 8, 9 and 10 show the results from the regional atmospheric model (LMDZ-regional), compared 478 479 to those from LMDZ-global for PICTRL and EHOL over the Mediterranean region. In both the global and regional simulations, an increased seasonality is depicted, with warmer summer (+2 to +6 °C) and 480 colder winter, especially over land (-3 to -1 °C, Figure 8). Downscaling with LMDZ-regional slightly 481 reduces the amplitude of the summer warming and shows a more homogenous signal in winter over 482 land. The general circulation of the surface wind in PICTRL is west to east (Figure 9b), in line with the 483 484 dominant winter regime of westerlies in the region. This important feature is almost missed in the global model (Figure 9a) which reproduces a lower intensity than the regional model. The winter precipitation 485 in EHOL, for ARCM (LMDZ-regional), increases over land in the Balkans and Italy and over the 486 487 Adriatic, Ionian and Aegean Seas (Figure 10b). These changes are also present in the AGCM (LMDZ-

global) that, furthermore, shows an increase in Spain and Portugal (Figure 10a). It is in summer that the two models show the largest differences. In ARCM (LMDZ-regional), the Mediterranean basin experiences drier conditions, except in Italy and the North of the Balkans. Over the sea, precipitations slightly increase in EHOL (Figure 10). However, the AGCM (LMDZ-global) shows drier conditions in the northern two thirds of the Mediterranean domain, with more humid conditions in the southern third (Figure 10c). Changes in precipitation lead to unavoidable modifications in the runoff and river discharge into the Mediterranean Sea.

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Although it is not straightforward to compare our "snapshot" simulations against environmental records 496 497 (often used to reconstruct a timeline), our results compare well with the available data for this area (see supplementary online material, "Text S3: Comparison of model simulation outputs and reconstructed 498 data for the Mediterranean basin"). Numerous proxies provide information on lake levels, paleo fires, 499 500 pollen, isotopic signals recovered from speleothems which together describe the Mediterranean climate 501 in the past. All of these proxies need to be brought together to provide a clear impression of the 502 Mediterranean climate for this period (Magny et al., 2013; Peyron et al., 2011). Magny et al. (2007), 503 based on records from Lake Acessa (Italy), suggested that aridification took place around 9200-7700 504 cal BP. Zanchetta et al. (2007), based on data recovered from speleothems in Italy, conclude that the 505 Western Mediterranean basin experienced enhanced rainfall during the S1 (10000-7000 cal BP). Jalut et al. (2009), using pollen data, suggest that the summers were short and dry and that there was abundant 506 507 rainfall in winter (autumn and spring as well) and remarked that these wetter conditions favoured broad-508 leaf tree vegetation. Different proxies seem to provide contradictory information and therefore, seasonality must be introduced to reconcile them. Peyron et al., (2011) mentioned wet winters and dry 509 summers during the 'Holocene optimum'. Magny et al., (2013) support the hypothesis of seasonal 510 511 contrast based on the analysis of multi-proxies.

512

Our EHOL simulation successfully depicts this temperature contrast between winter and summer. 513 514 Precipitation is enhanced in winter. In summer, the Mediterranean region is globally drier, except over Northern Italy and the northern Balkans. As explained above, there is no precipitation signal over 515 Northern Africa, although evidence of paleo-lakes has been found in Algeria (Callot and Fontugne, 516 1992; Petit-Maire et al., 1991), Tunisia (Fontes and Gasse, 1991) and Libya (Gaven et al., 1981; Lézine 517 and Casanova, 1991) during the Early Holocene indicating increased rainfall in this area. In the 518 supplementary material, a comparison between simulated continental precipitation outputs and pollen 519 reconstruction data is provided. This comparison shows that the winter precipitation anomalies are 520 consistent in both cases but that there is a distinct difference in summer values due to the more contrasted 521 522 summer in the EHOL simulation.

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Figure 8: Deviations (EHOL – PICTRL, averaged over the entire simulation) of surface air
temperature at 2 m for winter (upper panels) and summer (lower panels), respectively. AGCM
(LMDZ-global) is displayed on the left and ARCM (LMDZ-regional) on the right.







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537 Figure 10: Same as in Figure 8, but for precipitation rate (mm/day).

Hydrological changes

538 **4.4** 

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541 Figure 11 shows anomalies (EHOL – PICTRL) of river freshwater supplies into the Mediterranean basin 542 as simulated by the ARCM (LMDZ-regional). Bars are displayed for each calendar month to show the strong seasonal variation, and for the western and eastern basins separately. Due to their particular role 543 and their specific treatment in our current modelling practice, the Nile and the Black Sea are also shown 544 545 for the eastern basin, but not accounted in the sum. The North African rivers are not displayed since they don't show much changes for their catchment area. The Nile River shows important seasonal 546 variation, with increase in summer and autumn and decrease in winter and spring. The Albanian rivers 547 (Drini, Mat, Dures, Shkumbin and Vjosa) as well as the Vardar and the Buyukmenderes, produce 548 positive anomalies in EHOL in winter, due to enhanced winter land precipitation in this simulation 549 (Figure 10 b and d). The Black Sea net freshwater supply also changes in EHOL with important 550 551 decreases in January, February, March and July, but increase in April. In EHOL, the supplementary winter freshwater input is less pronounced for the western basin than for the eastern basin (Figure 11b), 552 but major rivers, such as Rhone and Ebro, do show a strong seasonal cycle. As a whole the western basin 553 sees an increase of river discharges from March to June. 554 555 In terms of areal means for the entire Mediterranean draining basin, the different components of the

freshwater budget are shown in Table 1 (bottom) for both PICTRL and EHOL, to be compared to the observation-based estimation OBS and the historical simulation HIST. From PICTRL to EHOL, the annual precipitation over the Mediterranean Sea itself does not change much, but the annual evaporation amount shows a slight increase (from 1031 to 1094 mm.year<sup>-1</sup>). However, the most remarkable feature

- is the increase of river discharges: 98 mm.year<sup>-1</sup> in PICTRL to 225 mm.year<sup>-1</sup> in EHOL. The total water
- deficit finally decreases from 378 to 305 mm.year<sup>-1</sup>.
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Figure 11: Monthly anomalies (EHOL – PICTRL, with seasonal variation) of fresh water discharges (m<sup>3</sup>.s<sup>-1</sup>) for major rivers flowing into the western basin (left panel) and the eastern basin (right panel). The sum of all rivers for each basin is also plotted. The Nile and the Black Sea are also shown as rivers of the eastern basin, but not accounted into the basin-scale sum.

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# 573 **4.5** Changes in water properties of the Mediterranean Sea

At the end of our modelling chain, changes in the properties of the Mediterranean seawater produced by 574 NEMOMED8 for PICTRL and EHOL are examined. It is important to mention at this stage, that for the 575 correction of the river runoff the reference is the pre-industrial state, and not the historical simulation 576 (as is the case for SST and SIC). Our aim was to keep river runoff anomalies free of anthropogenic 577 influence. In addition, the fact that the "pre-industrial" Nile river runoff (in other words before 578 579 damming) is well known influenced this choice. Figure 12 shows changes (EHOL minus PICTRL) for 580 sea surface salinities, index of stratification and MLD for the last 30 years of simulation. The EHOL 581 simulation reasonably reaches the steady state in terms of IS, ZOF and SSS, as shown in Figures S6 to 582 S8 of the supplementary material. The freshwater inputs from the Nile and the north-eastern margin

imply a lower salinity in the eastern basin. This decrease in salinity enhances stratification throughout the Mediterranean Sea (with the exception of the Sicily Sea) and affects the convection areas by decreasing the MLD, especially in the Gulf of Lions, in the Adriatic and Ionian Seas and in the Aegean. Such a situation is expected and consistent with the basic climatology of MLD, shown in Figure 5. This global stratification in EHOL is followed by a general reduction in the thermohaline circulation compared to PICTRL (ZOF and MOF, Figure 13).

589

Numerous studies have documented the sapropel event S1 and the state of the Mediterranean Sea that 590 591 caused it. Emeis et al. (2000) mentioned a decreased SSS during this period in both the eastern and 592 western basins (as did Kallel et al., 1997 in the Tyrrhenian basin). In the subsection "Sea Surface Temperatures" and "Sea Surface Salinity" of the section "Text S3" in the supplementary online material, 593 simulated SST and SSS to reconstructions are compared. Although simulated SST is in good agreement 594 with the reconstructed data, there is a gap between the simulated SSS and reconstructions. This 595 discrepancy is not surprising. Indeed, there are many explanations for the underestimation in our model 596 597 of the salinity. One of them is a common weakness in Early to Mid-Holocene simulations, namely, the underestimation of the northward spread of the African monsoon and therefore, the underestimation of 598 599 the freshwater flow from North Africa. Adloff (2011), already pointed to a shortfall in freshwater input to reconcile the simulated and observed SSS during the Early Holocene. Our oceanic simulation depicts 600 these behaviours well and is overall similar to previous modelling studies with lower resolution (Adloff 601 602 et al., 2011; Bosmans et al., 2015; Myers et al., 1998).

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Two other issues need to be discussed for the Early Holocene. The first one is sea level, which was 20 604 605 metres lower than the present day (Peltier et al., 2015). For the sake of simplicity, this difference of sea 606 level is not taken into account in the EHOL simulation. The second issue is the timing of the (re)connection between the Black Sea and the Aegean Sea. This topic is still being debated. Sperling et 607 al. (2003) suggested this reconnection occurred around 8.4 ka BP, while by the calculations of Soulet et 608 al. (2011) it happened around 9 ka BP. Other studies found that an overflow from the Black Sea likely 609 610 occurred before this reconnection due to Fennoscandian ice-sheet melting during the deglaciation (Chepalyga, 2007; Major et al., 2002; Soulet et al., 2011). For the purposes of this work, the Bosphorus 611 612 is maintened open in EHOL simulation, with the water exchange set at its modern value.

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Figure 12: Deviations between EHOL and PICTRL in a) sea surface salinity, b) index of
 stratification, c) mixed-layer depth, averaged over the last 30 years of simulation



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Figure 13: ZOF (a) and MOF (b, Gulf of Lion, c, Adriatic/Ionian Sea, d, Aegean Sea) for EHOL
experiment, averaged over the last 30 years of simulation. These overturning stream-functions
were calculated in the same way as in Fig. 6, providing a strict comparison with the experiments
HIST and PICTRL.

# 625 **5** Conclusion and perspectives for the modelling platform

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This study aimed to develop a modelling platform to simulate different climatic conditions of the 627 Mediterranean basin. We developed a useful regional climate investigation platform with high spatial 628 resolution over the Mediterranean region. This is particularly relevant for the study of impacts on the 629 circulation of the Mediterranean Sea. The model chain has been evaluated for the historical period. We 630 have presented Early Holocene simulations as an example of the potential of this platform to simulate 631 632 past climate. For the Early Holocene, our model reproduced satisfactorily the global and regional climate 633 features, compared to the observed data. Our platform allowed, for the first time, the generation of a 634 high-resolution freshwater budget for this period, with a particular focus on continental precipitation, a 635 key factor for the Mediterranean Sea in the assessment of its impact on circulation during the onset of the sapropel event, S1. An important limitation of our sequential approach is the fact that it does not 636 take account of feedback of ocean changes on atmospheric circulation. However, this architecture allows 637 eventual bias correction, conducted at different levels of the platform if needed. One way to overcome 638

this problem of interactive ocean would be to consider an "asynchronous mode", namely, to take account
 of feedback from the ocean component to the atmosphere at a yearly or decadal frequency.

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The modelling sequence, moving from global simulation at low resolution to high-resolution regional ocean modelling, avoids the problem of boundary conditions, and provides a fully consistent platform that may be used for many paleoclimate studies. We focused here on the Early Holocene period but this architecture could be used to study other periods investigated in MIPs, such as the Last Glacial Maximum or the deposition of older sapropels, from the Pliocene to the Quaternary, as long as the tectonics remain mainly unchanged (PMIP, PlioMIP).

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Code and data availability. The current version of LMDZ and NEMO are available from the project
 website: https://forge.ipsl.jussieu.fr/igcmg\_doc/wiki/DocImodelBlmdz and

653 <u>http://forge.ipsl.jussieu.fr/nemo/wiki/Users</u> under the terms of the CeCill license for LMDZ and

NEMO both. The exact version of the model used to produce the results used in this paper is archived

on Zenodo (Vadsaria et al., 2019), as are input data and scripts to run the model and produce the plotsfor all the simulations presented in this paper.

657

Author's contribution. This study was co-designed and approved by all co-authors. The simulation protocol was constructed by TV and LL from a modelling architecture provided by LL. TV conducted the numerical simulations and drafted the first version of the manuscript. All co-authors are largely involved in the writing and revision of the manuscript.

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