



1 PM_{2.5}/PM₁₀ Ratio Prediction Based on a Long Short-term Memory Neural
2 Network in Wuhan, China

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6 **Abstract**

7 Air pollution is a serious and urgent problem in China, and it has a great impact on the lives of residents and urban
8 development. The particulate matter (PM) value is usually used to indicate the degree of air pollution. In addition to
9 PM_{2.5} and PM₁₀, the use of the PM_{2.5}/PM₁₀ ratio as an indicator and assessor of air pollution has also become more
10 widespread. This ratio reflects the air pollution conditions and pollution sources. In this paper, a better composite
11 prediction system was proposed that aimed at improving the accuracy and spatio-temporal applicability of PM_{2.5}/PM₁₀.
12 First, the aerosol optical depth (AOD) in 2017 in Wuhan was obtained based on Moderate Resolution Imaging
13 Spectroradiometer images, with a 1 km spatial resolution, by using the Dense Dark Vegetation method. Second, the AOD
14 was corrected by calculating the planetary boundary layer height and relative humidity. Third, the coefficient of
15 determination of the optimal subset selection was used to select the factor with the highest correlation with PM_{2.5}/PM₁₀
16 from meteorological factors and gaseous pollutants. Then, PM_{2.5}/PM₁₀ predictions based on time, space, and random
17 patterns were obtained by using 9 factors (the corrected AOD, meteorological data and gaseous pollutant data) with the
18 long short-term memory (LSTM) neural network method, which is a dynamic model that remembers historical
19 information and applies it to the current output. Finally, the LSTM model prediction results were compared and analysed
20 with the results of other intelligent models. The results showed that the LSTM model had significant advantages in the
21 average, maximum and minimum accuracies and the stability of PM_{2.5}/PM₁₀ prediction.

22 **Keywords:** Air pollution · PM_{2.5}/PM₁₀ · MODIS · AOD · LSTM

23 **1. Introduction**



24 Aerosols are a general term for solid and gas particles suspended in air. Aerosols can have an important impact on
25 regional and global atmospheric environments, climates, and ecosystems and have long been an important issue in global
26 environmental change research (Crutzen and Andreae, 1990). Particulate matter (PM) is usually separated and
27 categorized based on its aerodynamic diameter, and the most widely monitored particles are PM₁₀ and PM_{2.5}. PM₁₀ is
28 primarily produced by natural processes, such as resuspending local soils, sandstorms, and roadside dust, and various
29 industrial processes. Particles with an aerodynamic particle size not exceeding 2.5 μm are called fine PM (PM_{2.5}), which
30 mainly derive from anthropogenic emissions. Anthropogenic combustion products come from transportation and energy
31 production and are particularly important for environmental policy and public health research (Pope and Dockery, 2006;
32 Xie et al., 2011). Infectious disease research shows that there is a significant consistency between the PM_{2.5}
33 environmental quality concentration and adverse effects on human health (Lelieveld et al., 2015). PM_{2.5} mainly causes
34 damage to the respiratory and cardiovascular systems, including coughing, difficulty breathing, lowered lung function,
35 and aggravated asthma, causing chronic bronchitis, arrhythmia, non-fatal heart disease, and premature death of patients
36 with cardiopulmonary disease (Wu et al., 2011; Jia et al., 2012). In addition, since the scattering extinction contribution
37 of PM_{2.5} particles accounts for 80% of the extinction of the atmosphere, the concentration of PM_{2.5} is a key factor in
38 determining the visibility of the atmosphere. In view of the importance of aerosols and near-surface atmospheric PM_{2.5}
39 to regional and global climates and environments, quantitative and accurate observations using a variety of observation
40 methods have become a hot research topic domestically and internationally (Dominici et al., 2006). Since fine and coarse
41 particles come from different sources, the PM_{2.5}-PM₁₀ scale model has different physicochemical properties, which can
42 not only distinguish the type of aerosol in the PM but also provide the mixing ratio of dust and artificial aerosols
43 (Sugimoto et al., 2015). For the research conducted in an urban area of northwestern China, PM₁₀ and PM_{2.5}
44 concentration data were collected to reveal the spatial-temporal behaviour of local PM and mineral dust fractions
45 (Qingyu et al., 2018).



46 The aerosol optical depth (AOD) is defined as the integral of the extinction coefficient of a medium in the vertical
47 direction, which describes the effect of aerosols on light reduction. A study conducted by Hidy in 2009 indicated that the
48 estimation of the PM_{2.5} concentration near the ground by satellite remote sensing AOD has great research potential
49 (Hidy, 2009). The advantage is that satellite remote sensing data are generally standardized data with high reliability and
50 a wide spatial coverage, providing wide-area, spatially continuous and real-time monitoring information for regional and
51 global PM_{2.5} air quality assessment. There are many ways to obtain the AOD from remote sensing data.

52 AOD products can be produced by many satellite sensors, such as the Geostationary Operational Environmental
53 Satellite (GOES) (Prados et al., 2007), Advanced Very High Resolution Radiometer (AVHRR) (Gao et al., 2016), and
54 Moderate Resolution Imaging Spectroradiometer (MODIS) (Levy et al., 2013). MODIS data are one of the most widely
55 used data sources for deriving ground PM_{2.5} concentrations with AOD (Hu et al., 2014). There are many ways to obtain
56 AOD through MODIS data. For example, Yang et al. used the data collected by Landsat 8 satellite images to retrieve the
57 AOD in Beijing by means of the Dark Target method and the visible near-infrared atmospheric correction method. The
58 accuracy was verified by the Aerosol Robotic Network (AERONET) observation data (Ou et al., 2017). The Dark Blue
59 AOD retrieval method was used to complement the Dark Target results by retrieving the AOD over bright arid land
60 surfaces, such as deserts (Sayer et al., 2013). In addition, a new method that considers bidirectional reflectance of the
61 surface was proposed, which is suitable for calculating the AOD in arid or semi-arid regions (Xinpeng et al., 2018).

62 Although the relationship between the AOD and PM has been proven by many scholars, since the PM concentration
63 level is usually measured at the surface, the correlation between them is affected by the planetary boundary layer height
64 (PBLH) and relative humidity (RH). When studying the seasonal PM₁₀-AOD correlation in northern Italy, Arvani et al.
65 found that the introduction of PBLH and RH correction can significantly improve the bin-averaged PM AOD correlation
66 (Arvani et al., 2016). After the vertical and RH correction methods were applied to the air quality station in Beijing, the
67 determination coefficient R^2 of the AOD and PM₁₀ increased by 0.13, and the correlation between the AOD and PM_{2.5}



68 increased from 0.48 to 0.62 (Wang et al., 2010). These calibration methods usually require the use of meteorological data
69 to perform the calculation, and the addition of meteorological data to the evaluation of PM concentration can give better
70 results. For instance, Jung et al. joined meteorological data to obtain an improved model of the surface PM_{2.5} from 2005
71 to 2015 to estimate the PM concentration for the entire main island of Taiwan (Jung et al., 2017).

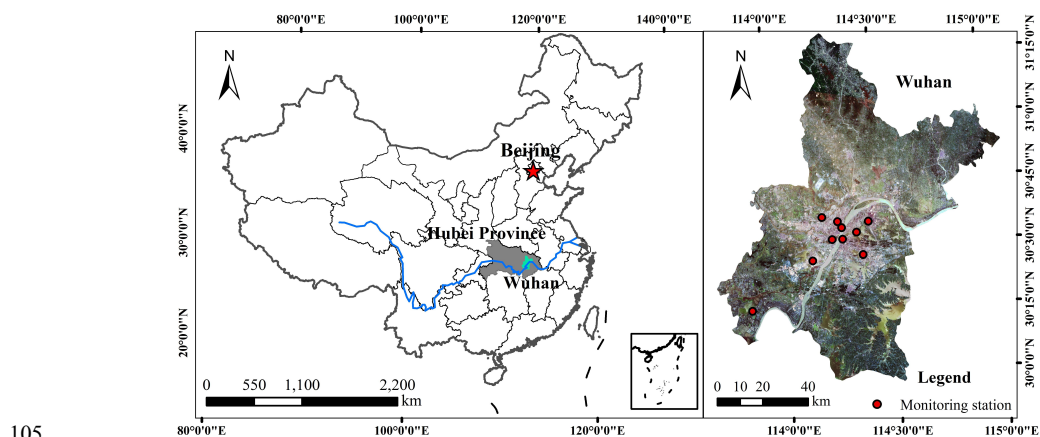
72 Many statistical models have been used for the ground PM estimation of AOD and other predictors, such as linear
73 regression models, random forest models, neural network models, and generalized additive models. However, with the
74 introduction of new intelligent models, the traditional regression model reflects the inability to balance time, space and
75 random precision. One way to overcome these limitations is the long short-term memory (LSTM) model. The LSTM
76 network is ideal for learning from experience so that time series can be classified, processed, and predicted with very
77 long unknown time lags between important events. In the study of PM_{2.5} monitoring and prediction in smart cities,
78 Chiou-Jye et al. proposed that the prediction accuracy of the convolutional neural network (CNN)-LSTM model is the
79 highest compared to the prediction accuracies of several other classic machine learning methods (Chiou-Jye and
80 Ping-Huan, 2018). Xiang et al. used the LSTM model to automatically extract inherent useful features from historical air
81 pollutant data to obtain a more efficient multi-scale prediction framework (Li et al., 2017).

82 This paper used a total of 59 AOD results for all of 2017 by the Dense Dark Vegetation (DDV) method using
83 MODIS level-2 data of Wuhan with a spatial resolution of 1 km. Since there were only 10 air quality stations in Wuhan,
84 to ensure accuracy, the AOD values were extracted at the air quality station site, and the integration of the AOD, air
85 pollutants, and meteorological data was also based on the station site. AOD* was obtained by correcting AOD using the
86 PBLH and RH. Then, the R²-based optimal subset selection method was used to select the most relevant factor for
87 PM_{2.5}/PM₁₀ from the meteorological factors and air pollutants. Finally, the space and time scales and random
88 PM_{2.5}/PM₁₀ predictions were determined and performed, respectively, via the LSTM model, and the prediction results
89 of the LSTM model and other classic models were compared and analysed.



90 2. Study area

91 Wuhan is the provincial capital of Hubei Province. The administrative extent is between 113.683°E-115.083°E and
92 29.967°N-31.367°N, and the total area is 8494.41 km² (Zhou and Chen, 2018). The largest distance is between the
93 eastern and western parts of Wuhan and is 134 km, and the maximum distance from north to south is 155 km. Wuhan is
94 the city with the largest population, is the largest provincial capital city, has the most complicated road traffic and has the
95 most developed economy in the central part of the country (Jiao et al., 2017). The Yangtze River flows through Wuhan,
96 and there are hundreds of lakes in Wuhan. The terrain of Wuhan is mainly plains, with low levels in the middle of the
97 region and low mountains, hills and ridges to the south and north. The climate type is a humid, north subtropical
98 monsoon climate with high temperatures in summer, low temperatures in winter, and an annual average temperature of
99 15.9 °C. Sunshine hours and total radiation are also at high levels, and the annual average precipitation is approximately
100 1300 mm. June and August receive the most precipitation in Wuhan, and summer precipitation accounts for
101 approximately 40% of the annual rainfall. In recent years, the air quality in Wuhan has been improved. In 2017, the
102 number of days in which the annual air quality level was acceptable was 255 days, and the acceptability rate was 69.9%.
103 At the same time, the number of days with light pollution, moderate pollution, heavy pollution, and severe pollution was
104 86 days, 17 days, 6 days, and 1 day, respectively.





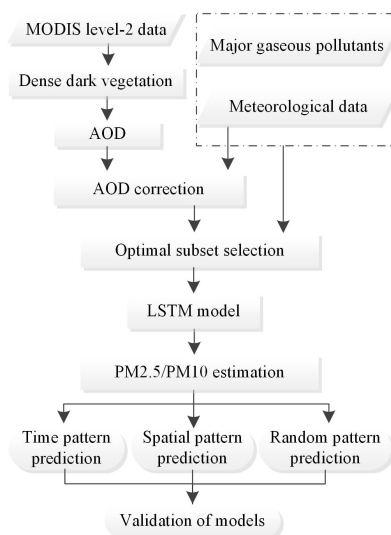
(A)

(B)

106 **Fig. 1** Location of the study area in China (A: map of China, B: map of Wuhan).

107 3. Methods

108 The data that our environmental monitoring station can monitor are only real-time data. If we want to predict the
109 state of the air afterwards, we can use other relevant factors for reference. The AOD is an important parameter in the
110 study of atmospheric aerosols, which have a great relationship with PM. Gaseous pollutants are also a key factor in air
111 quality. In addition, changes in meteorological conditions have an impact on PM. Therefore, we used the air quality data
112 from the ground monitoring station as the inspection standard and extracted the values of these correlation factors with
113 the data from the monitoring site for verification. After retrieving the AOD with the MODIS images, the AOD values at
114 the monitoring site were extracted, and the values of the meteorological data were also interpolated at the same point.
115 Then, the AOD was corrected to obtain the AOD*, and gaseous pollutant data at the monitoring site were added. The
116 best set that predicted air quality was selected, and machine learning techniques were used to obtain models that can
117 make space and time series predictions (Fig. 2).



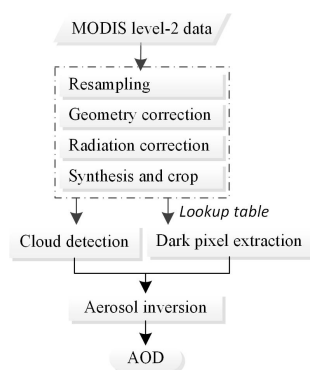
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119 **Fig. 2** A flow chart of the research process.

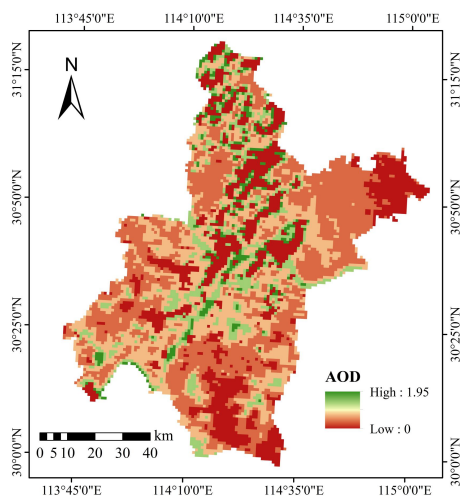
120 3.1 AOD retrieval

121 MODIS is an important sensor on the Terra and Aqua satellites. The Terra satellite is a morning star, passing from
122 north to south at approximately 10:30, and Aqua acts as an afternoon star, moving from south to north at 13:30. Wuhan is
123 located in the central and eastern parts of Hubei Province at the southeast corner of the h27v05 frame; therefore, we
124 chose to use the images collected by Terra because of its higher image quality. The MODIS data have 36 spectral bands,
125 ranging from 0.4 μm to 14.4 μm , of which 7 bands can be used to retrieve the AOD, while the best bands for over-land
126 aerosol retrieval are 0.47 μm , 0.66 μm , and 2.12 μm , especially in areas with dense vegetation. We downloaded the
127 MOD02_L1B data for the region in Wuhan in 2017 via the website (<https://ladsweb.modaps.eosdis.nasa.gov>) and
128 removed a number of days with a large amount of clouds, finally obtaining 59 images with a spatial resolution of 1 km.
129 According to the DDV method (Li et al., 2014), after radiation correction, geometric correction, angle data resampling,
130 and angle data geometric correction and synthesis, cloud detection processing was performed; then, a lookup table file
131 was generated according to the "6S" atmospheric radiation model, and the AOD was acquired (Fig. 3). After verifying
132 with the MOD04_L2 aerosol product data released by the National Aeronautics and Space Administration (NASA), the
133 results of the retrieval were considered valid and used later. Fig. 4 shows the results of the AOD retrieval on July 18th.



134

135 **Fig. 3** A flow chart of the AOD retrieval.



136

137 **Fig. 4** AOD retrieval on July 18th.

138 3.2 Ground-level air quality and gaseous pollutant data

139 The Ministry of Ecology and Environment of China has established 10 national environmental quality control
140 stations in Wuhan. The shortest distance between points exceeds 3 km, and the average distance exceeds 10 km. Each
141 station continuously collects hourly average concentration values of PM_{2.5}, PM₁₀, SO₂, NO₂, O₃, and CO and publishes
142 the daily average concentration values. The calculations in this paper were based on these daily averaged data. The
143 monthly average concentration data of PM_{2.5}, PM₁₀, and gaseous pollutants obtained from these data in 2017 are shown
144 in Table 1. During the year, the trends in PM_{2.5} and PM₁₀ were roughly the same. From February to April, the values
145 dropped rapidly. From April to May, both experienced a small increase, and there were decreases from May to July. The
146 concentration of PM_{2.5} continued to rise after July, and the growth rate became larger. The concentration of PM₁₀ also
147 increased after July but decreased between September and October. NO₂ is mainly derived from the high-temperature
148 combustion process of fossil fuels. The combustion of nitrogen-containing fuels (such as coal) and nitrogen-containing
149 chemicals can directly release NO₂. In general, motor vehicle emissions are one of the main sources of urban NO₂. SO₂ is
150 a ubiquitous pollutant in cities. The SO₂ in the air mainly comes from the industrial production of thermal power



151 generation and other industries, such as the combustion of fixed-source fuels; the production of non-ferrous metals; the
152 production of steel, chemical, and sulfur plants; and discharge from small heating boilers and civil coal furnaces. Natural
153 processes, such as volcanic activity, also emit a certain amount of SO₂. CO is a colourless, odourless, flammable, and
154 toxic gas that is a product of the incomplete combustion of carbonaceous fuels. The concentrations of SO₂, NO₂, and CO
155 showed regularity. The concentration in summer was the lowest, followed by spring and autumn, and the highest was in
156 winter. The lowest value was in June or July, and the highest was in December. O₃ is a representative pollutant for
157 photochemical smog, which is formed and enriched by nitrogen oxides and hydrocarbons in the air under intense sunlight
158 and through a series of complex atmospheric chemical reactions. Although O₃ in the upper stratosphere has important
159 anti-radiation protection for life on Earth's, O₃ at low altitudes in cities is a very harmful pollutant. The trend in the O₃
160 concentration was different, where the winter value was low and then increased in spring with time. In summer, the O₃
161 concentration fluctuated at a higher level and decreased in autumn.

162 **Table 1** Monthly average concentrations of PM_{2.5}, PM₁₀, and gaseous pollutants in 2017.

Month	PM _{2.5} ($\mu\text{g}/\text{m}^3$)	PM ₁₀ ($\mu\text{g}/\text{m}^3$)	SO ₂ ($\mu\text{g}/\text{m}^3$)	NO ₂ ($\mu\text{g}/\text{m}^3$)	O ₃ ($\mu\text{g}/\text{m}^3$)	CO (mg/m^3)
Jan	99.48	147.26	26.66	48.20	36.86	1.40
Feb	121.17	167.42	16.63	46.01	36.13	1.44
Mar	59.44	145.11	27.04	51.88	60.96	1.11
Apr	41.27	93.87	16.07	38.35	93.18	0.93
May	52.85	107.95	12.00	40.15	125.30	0.93
Jun	27.80	55.35	4.82	25.45	102.20	0.81
Jul	24.23	53.13	6.05	17.77	107.92	0.62
Aug	27.37	65.09	11.07	24.47	73.24	1.04
Sep	36.20	87.85	19.11	40.55	139.25	1.33
Oct	39.07	77.20	13.65	43.64	54.00	1.10
Nov	90.88	134.91	21.53	62.36	54.28	1.19
Dec	111.15	148.29	27.06	70.21	21.78	1.50

163 3.3 Meteorological data



164 The quality of air is closely related to meteorological conditions. The meteorological data obtained in this paper
165 derive from the National Meteorological Information Center of China's National Meteorological Information Network
166 (<http://data.cma.cn/site/index.html>) and includes average rainfall, evaporation capacity, RH, sunshine intensity, average
167 surface temperature, average wind velocity, average air pressure, and average temperature. The data obtained were daily
168 average data in 2017. A total of 5 meteorological stations exist near the Wuhan area. To obtain meteorological data near
169 the air quality monitoring stations, data from the meteorological stations needed to be interpolated. After comparing the
170 kriging, natural neighbour, spline, and inverse distance weighted methods, we found that the results acquired by setting
171 12 interpolation points and using the spherical model of the kriging method were more suitable for the study area. The
172 kriging method is a multi-step process that includes the exploratory statistical analysis of the data, the modelling of
173 variograms, the creation of surfaces, and the study of varying surfaces. The monthly averages of the meteorological data
174 at all of the calculated sites are shown in Table 2. The seasonal changes reflected by several meteorological data results
175 were more obvious. The average surface temperature and average temperature showed a higher trend in summer and a
176 lower trend in winter. The average air pressure had a completely opposite trend. The sunshine intensity and evaporation
177 capacity were lower in winter and fluctuated in the other three quarters. The rainfall was concentrated in summer and
178 autumn, while the average wind velocity and RH had no obvious seasonal characteristics.

179 **Table 2** Monthly averages of the meteorological data.

Month	Average rainfall (0.1 mm)	Evaporation capacity (0.1 mm)	Average surface temperature (0.1 °C)	Average air pressure (0.1 hPa)	Relative humidity (-1%)	Sunshine intensity (0.1 h)	Average temperature (0.1 °C)	Average wind velocity (0.1 m/s)
Jan	0.00	18.09	62.19	10230.27	63.91	58.06	47.78	16.51
Feb	38.84	19.55	108.27	10151.31	72.03	24.23	103.45	29.35
Mar	0.00	29.34	140.11	10166.74	64.14	94.10	115.67	14.52
Apr	0.00	35.81	211.98	10103.29	69.60	105.93	181.67	16.16
May	0.00	36.81	288.18	10062.96	66.83	103.69	240.91	10.72
Jun	30.49	37.48	289.44	10002.23	84.54	64.80	261.32	18.69



Jul	2.33	57.25	366.30	10011.06	70.70	112.87	317.36	22.14
Aug	24.15	37.88	318.01	10017.01	81.09	84.67	296.38	18.88
Sep	0.00	45.47	289.04	10093.00	69.64	106.04	242.16	19.61
Oct	20.54	19.50	199.33	10138.21	84.03	61.31	176.99	11.60
Nov	0.00	21.36	157.65	10180.33	75.21	85.71	131.89	13.28
Dec	0.00	15.80	59.94	10222.16	67.78	76.57	42.91	9.12

180 4. Methods

181 4.1 AOD correction

182 The PBLH refers to the thickness of the planetary boundary layer and is an important physical parameter for
 183 numerical atmospheric models and environmental evaluations (Su et al., 2018). The PBLH is calculated by a commonly
 184 used national standard method in China. The national standard method is performed according to the method specified in
 185 the Chinese national standard GB/T13201-91. This method assumes that the thermal conditions of the near-surface layer
 186 depend, to a large extent, on the degree of ground heating and cooling. This method takes into account the thermal and
 187 dynamic factors and quantifies the solar elevation angle, cloud volume, and wind speed. Then, according to the specified
 188 local parameters, the atmospheric stability is classified into A, B, C and D categories according to the Pasquill stability
 189 classification:

$$h = \frac{a_s U_{10}}{f} \quad (1)$$

190 When the atmospheric stability is E and F:

$$h = \frac{b_s \sqrt{U_{10}}}{f} \quad (2)$$

$$f = 2\Omega \sin \varphi \quad (3)$$

191 where h (m) is the thickness of the mixing layer; U_{10} ($\text{m} \cdot \text{s}^{-1}$) is the average wind velocity at a height of 10 m, which
 192 is $6 \text{ m} \cdot \text{s}^{-1}$; a_s and b_s are the mixing layer coefficients; f is the ground rotation parameter; Ω is the ground rotation angular
 193 velocity, with a value of $7.29 \times 10^{-5} \text{ rad} \cdot \text{s}^{-1}$; and φ ($^\circ$) is the geographic latitude.



194 The aerosol hygroscopic growth factor $f(RH)$, where RH is the relative humidity, describes the extent to which the
195 aerosol extinction cross section or scattering coefficient increases with increasing RH , depending on a variety of factors,
196 such as the temperature absorption properties of the aerosol (Cai et al., 2018). The common formula for calculating $f(RH)$
197 is:

$$f(RH) = 1 / (1 - RH / 100) \quad (4)$$

198 Since the parameters describing atmospheric physical conditions, such as air pressure, atmospheric temperature and
199 atmospheric humidity change, exist much more in the vertical than horizontal direction, it is often assumed that the
200 atmosphere has a structure in which the horizontal direction is uniform, and the vertical direction is layered. For the
201 single homogeneous distribution of spherical aerosol particles, the near-surface particle concentration can be obtained by
202 measuring a dry air sample. The expression is as follows:

$$PM = \frac{4}{3} \pi \rho \int r^3 n(r) dr \quad (5)$$

203 where ρ (g/m^3) is the average density of the particles and $n(r)$ is the particle spectral distribution function under
204 ambient humidity, which is related to the particle size.

205 Given the wavelength of the radiation, the aerosol optical thickness from the ground to a height of H can be
206 expressed as:

$$AOD = \pi \int_0^H \int_0^\infty Q_{ext,amb}(r) n_{amb}(r) r^2 dr dz \quad (6)$$

207 To convert $Q_{ext,amb}$ under ambient humidity to $Q_{ext,dry}$ under dry conditions, a hygroscopic growth factor $f(RH)$ is
208 required. This factor represents the ratio of normalized particle scattering efficiency under ambient RH and dry
209 conditions and is a function of humidity:



$$AOD = \pi f(RH) \int_0^{H_{\infty}} Q_{ext, dry}(r) n(r) r^2 dr dz \quad (7)$$

210 A normalized particle scattering efficiency Q_{ext} and a parameterized expression of the effective radius r_{eff} are
 211 introduced for replacement in the above formula:

$$Q_{ext} = \frac{\int r^2 Q_{ext}(r) n(r) dr}{\int r^2 n(r) dr} \quad (8)$$

$$r_{eff} = \frac{\int r^3 n(r) dr}{\int r^2 n(r) dr} \quad (9)$$

212 Finally, the relationship between the AOD and near-surface PM2.5 mass concentration is introduced:

$$AOD = PM2.5 H f(RH) \frac{3Q_{ext, dry}}{4\rho r_{eff}} = PM2.5 HS \quad (10)$$

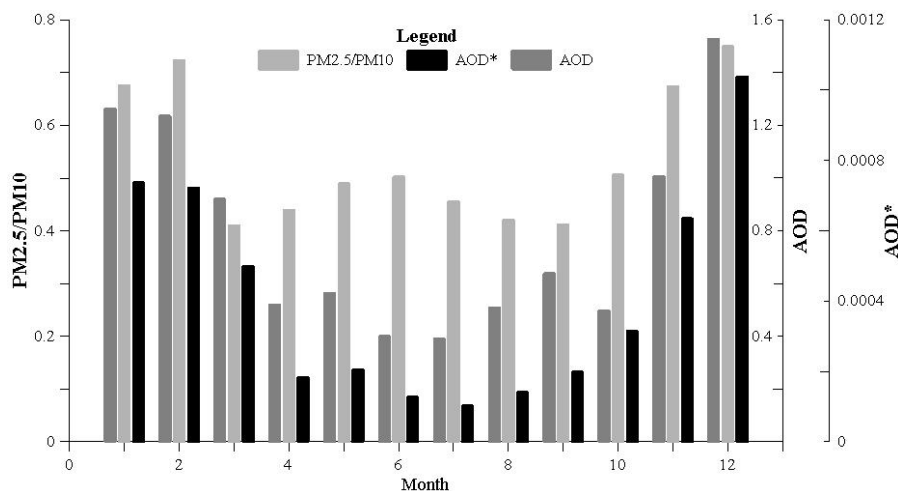
213 where S (m^2g^{-1}) represents the specific extinction efficiency of the aerosol under ambient humidity conditions. H
 214 stands for aerosol elevation. In practice, the PBLH approximation is often used instead of H . According to the above
 215 relationship between the AOD and PM2.5, it can be inferred that if the AOD is corrected by the factors PBLH and $f(RH)$,
 216 the corrected AOD*, that is, $AOD/(PBLH * f(RH))$, is expected to have better correlation with PM. Taking the monthly
 217 average value as an example, the parameters PBLH and $f(RH)$ used by the AOD correction algorithm and the corrected
 218 AOD* are shown in Table 3. The monthly average data of PM2.5/PM10, AOD and AOD* are shown in Fig. 5. In fact,
 219 after calculating the linear correlations of the AOD and AOD* with PM2.5/PM10, the correlation increased from 0.838
 220 to 0.873.

221 **Table 3** Monthly average AOD, PBLH, $f(RH)$, and AOD*.

Month	AOD ($\times 10^{-1}$)	PBLH	$f(RH)$	AOD* ($\times 10^{-4}$)
Jan	12.610	428	4.00	7.366
Feb	12.343	444	3.85	7.221
Mar	9.200	461	4.00	4.989



Apr	5.192	713	4.00	1.820
May	5.625	686	4.00	2.050
Jun	4.000	631	5.00	1.268
Jul	3.895	686	5.56	1.021
Aug	5.083	686	5.26	1.409
Sep	6.375	741	4.35	1.978
Oct	4.964	395	4.00	3.142
Nov	10.06	412	3.85	6.345
Dec	15.263	412	3.57	10.377



222

223 **Fig. 5** A bar chart of monthly average PM2.5/PM10, AOD and AOD*.

224 4.2 Selection factors

225 When choosing a subset, the choice of independent variables should be practical. How to choose the best subset of
 226 variables to establish a better regression equation has been a hot research topic. An optimal way to choose a regression
 227 equation is to combine all of the independent variables with the dependent variable to establish all possible equations and
 228 then select one of the best-performing subsets from all possible equations. This is called the optimal subset method. The
 229 optimal subset method can determine an optimal regression equation from all possible subsets via some criteria and has
 230 been widely used in weather and climate predictions. Using the correlation coefficient R^2 as the evaluation index and the



231 optimal subset of PM_{2.5}/PM₁₀ as the dependent variable, the highest R² is 0.461. The independent variables in the
 232 subset are AOD*; average rainfall; evaporation capacity; RH; sunshine intensity; average wind velocity; and SO₂, CO,
 233 and O₃ concentrations. The factors selected by the optimal subset method are shown in Table 4. The symbol “√” indicates
 234 that the factor is selected.

235 **Table 4** Factors selected by the optimal subset method.

Factors	R ²										
	0.461	0.460	0.460	0.457	0.455	0.455	0.454	0.453	0.452	0.452	
CO	√	√	√	√	√	√	√	√	√	√	√
Average rainfall	√	√	√	√	√		√	√	√	√	√
Evaporation capacity	√	√	√	√	√	√	√	√	√	√	√
Relative humidity	√	√	√		√		√	√	√	√	√
Sunshine intensity	√	√	√	√	√	√	√	√	√		√
Average wind velocity	√	√		√	√	√	√	√	√	√	√
AOD*	√	√	√	√	√	√	√	√	√	√	√
SO ₂	√	√	√	√	√	√	√	√	√	√	√
O ₃	√	√	√	√	√	√	√	√	√	√	√
Average air pressure		√		√	√	√					
Average surface temperature				√	√	√					√
Average temperature							√				
NO ₂								√			

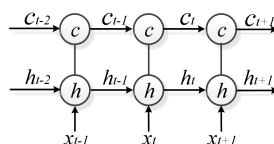
236 **4.3 RNNs and the LSTM model**

237 The recurrent neural network (RNN) is a powerful deep neural network that uses its internal memory to process
 238 input sequences with any timing. In the RNN model, compared with the common multi-layer neural network, the
 239 interconnection layer is added between the nodes of the hidden layer, and the directional loop is formed by the
 240 connection between the hidden layer neural units; then, the internal state of the network is created, and the dynamic time



241 series behaviour is presented (Bao and Zeng, 2013). The RNN can handle any sequence length in principle, but in an
242 actual situation, the standard RNN model cannot store sequence information about the past and lacks the ability to
243 establish remote structure connections. This kind of "forgetting" limitation cannot record long-term information. Thus,
244 these networks are more prone to instability when generating sequences, resulting in a time dependency problem. This
245 problem is not unique to RNNs but exists in almost all generation models. The LSTM model is a network that is used to
246 address long-term time-dependent dependencies. It is a time-RNN suitable for processing and predicting important
247 events with relatively long intervals and delays in time series (Weninger et al., 2014).

248 The key to distinguishing the LSTM model from the traditional RNN is that the traditional RNN has only one
249 hidden layer output value state h , and h changes with the convolution process and is insensitive to long-term or
250 long-distance events. The LSTM model adds a unit state c to store the long-term status. The calculation process after
251 adding c is shown in Fig. 6:



252
253 **Fig. 6** The calculation process of unit c in the LSTM model.

254 where x , h , and c are vectors. At time t , there are three inputs to the LSTM: the input value x_t of the current time
255 network, the output value h_{t-1} of the LSTM model at the previous time, and the unit state c_{t-1} of the previous time. The
256 two outputs of the LSTM are the current time LSTM output value h_t and the current state of the unit c_t .

257 The key point of the LSTM model is how to control the state c . The idea of the LSTM model is to use three control
258 switches to control it. The first switch control continues to store c , the second switch control inputs the current state to c ,
259 and the third switch controls whether c is the current output of the LSTM model. The switches implemented in the



260 algorithm are known as "gates", which are fully connected layers whose input is a vector, and the output is a real vector
 261 between 0 and 1 (Srivastava and Lessmann, 2018). Assuming W is the weight vector of a gate and b is the bias value,
 262 then the gate can be expressed as:

$$g(x) = s(Wx + b) \quad (11)$$

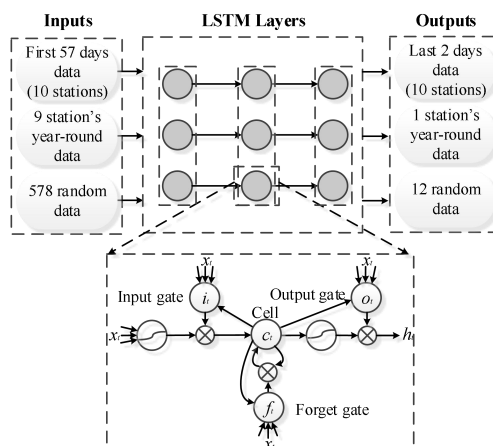
263 These three gates are defined as follows:

$$i_t = \sigma(W_i * [h_{t-1}, x_t] + b_i) \quad (12)$$

$$f_t = \sigma(W_f * [h_{t-1}, x_t] + b_f) \quad (13)$$

$$o_t = \sigma(W_o * [h_{t-1}, x_t] + b_o) \quad (14)$$

264 where $i_t, f_t,$ and o_t are the values of the input, forget, and output gates, respectively; σ is the activation function; and
 265 $b_i, b_f,$ and b_o are their respective bias values. The structure of the LSTM model is shown in Fig. 7. The inputs are in terms
 266 of time, space and randomness, and the outputs are their results.



267

268 Fig. 7 Architecture of the LSTM model.

269 **5. Results and discussion**



270 To determine the appropriate number of layers for the LSTM method, we divided the training data set into two parts:
271 80% of the data were used as the training sample for modelling, and 20% of the data were used as the verification sample.
272 We tried to use various layers for the comparison. After obtaining the results of various layers, we found that the results
273 obtained using the four-layer LSTM structure were the best, with the first three layers being the LSTM layer and the last
274 layer being the dense layer. Because the LSTM uses the activation function as the gate, the outputs of the gates must be
275 between 0 and 1, and the output ranges of both types of activation functions must be satisfied. We determined that the
276 activation function for setting the forget gate and the input gate was defined as a sigmoid function. The best activation
277 function for outputting the results was the tanh function.

278 5.1 Time pattern prediction

279 Using the input of the first 57 days in the 2017 data from 10 sites, there were 570 input samples, and the data used
280 to verify the model were from the last two days in 2017. These two days were December 25 and December 31. In winter,
281 with a high PM_{2.5}/PM₁₀ value, the ratios were more concentrated above 0.6. We compared the prediction results of the
282 LSTM model with the multi-layer perceptron (MLP), back propagation (BP) artificial neural network, support vector
283 machine (SVM), and chi-squared automatic interaction detector (CHAID) decision tree models. Then, we calculated the
284 error rate between the predicted value and the measured value (Table 5). Among the five algorithms, the average error of
285 the LSTM model was the smallest, 15.1704, and its minimum error was also the smallest, only 0.877, but its maximum
286 error value was larger than the BP and SVM maximum errors values. The MLP method had the worst predictions,
287 whether in terms of the average error, maximum error or minimum error. It seemed that the MLP method was not
288 suitable for predictions in terms of air quality time series. The BP network method and the SVM had similar prediction
289 results; the average error was not too large, and the maximum error value was smaller than that of the LSTM, while the
290 minimum error value was larger. Although the average error of the CHAID model was small, the minimum error and the
291 maximum error values were both bad. None of the five prediction methods could accurately predict the case where the



292 PM2.5/PM10 value was greater than 0.9. The maximum value that the LSTM was able to predict was 0.8848. The
 293 predicted maximum values of the MLP, BP, SVM, and CHAID were 0.7606, 0.8321, 0.8568, and 0.8206, respectively.

294 **Table 5** The results and relative error rates of the time pattern predictions.

Measured value	Predicted value					Relative error rate (%)				
	LSTM	MLP	BP	SVM	CHAID	LSTM	MLP	BP	SVM	CHAID
0.8212	0.7682	0.7329	0.7786	0.6698	0.4853	6.4540	10.7526	5.1875	18.4364	40.9036
0.7436	0.6910	0.6526	0.6961	0.7841	0.4853	7.0737	12.2378	6.3878	5.4465	34.7364
0.6629	0.5962	0.4624	0.7074	0.8353	0.6753	10.0618	30.2459	6.7129	26.0069	1.8706
0.6950	0.6297	0.5955	0.6850	0.5628	0.6753	9.3957	14.3165	1.4388	19.0216	2.8345
0.7816	0.6102	0.5134	0.6871	0.8092	0.5145	21.9294	34.3142	12.0906	3.5312	34.1735
0.6311	0.6795	0.6608	0.5864	0.7032	0.6487	7.6691	4.7061	7.0829	11.4245	2.7888
0.7959	0.4918	0.5211	0.6870	0.8568	0.6973	38.2083	34.5270	13.6826	7.6517	12.3885
0.8743	0.8487	0.7104	0.6474	0.7451	0.6973	2.9281	18.7464	25.9522	14.7775	20.2448
0.7204	0.4774	0.6087	0.8106	0.7446	0.8206	33.7313	15.5053	12.5208	3.3592	13.9089
0.9854	0.6031	0.7445	0.7154	0.6760	0.8206	38.7964	24.4469	27.4000	31.3984	16.7242
0.7079	0.7842	0.7606	0.8321	0.6089	0.7959	10.7784	7.4446	17.5449	13.9850	12.4311
0.9455	0.7127	0.7531	0.7064	0.7285	0.7959	24.6219	20.3490	25.2882	22.9508	15.8223
0.7200	0.4969	0.4701	0.6692	0.8172	0.6931	30.9861	34.7083	7.0556	13.5000	3.7361
0.8600	0.8848	0.5717	0.6192	0.6907	0.6931	2.8837	33.5233	28.0000	19.6860	19.4070
0.6571	0.6311	0.6055	0.7011	0.8522	0.5812	3.9568	7.8527	6.6961	29.6911	11.5508
0.9189	0.6849	0.6583	0.6195	0.7146	0.5812	25.4652	28.3600	32.5824	22.2331	36.7505
0.7640	0.7573	0.5281	0.6549	0.5406	0.7870	0.8770	30.8770	14.2801	29.2408	3.0105
0.9273	0.7777	0.5247	0.6354	0.7155	0.7870	16.1329	43.4164	31.4785	22.8405	15.1299
0.6277	0.6417	0.7458	0.7308	0.5392	0.6951	2.2304	18.8147	16.4250	14.0991	10.7376
0.8896	0.8075	0.6556	0.6685	0.6694	0.7534	9.2289	26.3040	24.8539	24.7527	15.3103
Mean:						15.1704	22.5724	16.1330	17.7017	16.2230
Maximum:						38.7964	43.4163	32.5824	31.3984	40.9036
Minimum:						0.8770	4.7061	1.4388	3.3592	1.8706

295 5.2 Spatial pattern prediction



296 One station was used as the output to be predicted; the other nine sites were inputs, and the prediction results of the
297 spatial pattern were obtained. The output site is located in the southwest corner of Wuhan, which is the farthest from the
298 other stations, and the distance from the nearest station is 34.7 km. The relative error rates of the predicted results of the
299 five models are shown in Table 6. The average error rate of the LSTM model was still the lowest, along with the
300 maximum error value, which was much smaller than that of the other models. The minimum error rate of the LSTM
301 model was 0.1545%, which was not the lowest but was much smaller than the results of the SVM and CHAID model. In
302 addition, we also conducted experiments using one station located in the central area of Wuhan as the output. The results
303 of the LSTM model showed that the prediction results at this point were much better than those at the southwest point,
304 and the average error rate was 25.1664%.

305 **Table 6** The results and relative error rates of the spatial pattern prediction.

306 Models	LSTM	MLP	ANN	SVM	CHAID
307 Mean:	32.1585	37.6755	34.1333	34.0207	33.7718
308 Maximum:	160.3270	216.3275	222.9295	204.7317	230.1367
309 Minimum:	0.1545	0.1451	0.1124	0.9026	0.2396

309 5.3 Random pattern prediction

310 The random pattern prediction randomly selected 12 data points as the outputs among all 590 data points. The
311 randomly selected measured data ranged from 0.2222 to 0.9843, covering the entire range of monitored values. After
312 calculating the prediction results and relative error rates of the five models, the average, maximum and minimum error
313 rates of the LSTM model were the smallest, and the results were significantly better than those of the other methods
314 (Table 7). The predictions for the maximum and minimum values were also relatively good. However, it could be found
315 that the prediction results obtained by these models were concentrated between 0.35 and 0.75, and the prediction results
316 of the minimum and maximum values were generally poor.



317 **Table 7** The results and relative error rates of the random pattern prediction.

Measured value	Predicted value					Relative error rate (%)				
	LSTM	MLP	BP	SVM	CHAID	LSTM	MLP	BP	SVM	CHAID
0.5870	0.5723	0.5443	0.5762	0.6091	0.4928	2.5043	7.2743	1.8399	3.7649	16.0477
0.6213	0.7449	0.6402	0.6561	0.6826	0.6795	19.8938	3.0420	5.6012	9.8664	9.3675
0.9843	0.6650	0.4874	0.6247	0.6185	0.7422	32.4393	50.4826	36.5336	37.1635	24.5962
0.8000	0.6238	0.4500	0.4772	0.5231	0.4928	22.0250	43.7500	40.3500	34.6125	38.4000
0.4638	0.4656	0.4773	0.4773	0.5136	0.4928	0.3881	2.9107	2.9107	10.7374	6.2527
0.7010	0.6913	0.5697	0.6811	0.6675	0.6795	1.3837	18.7304	2.8388	4.7789	3.0670
0.2222	0.3502	0.5598	0.4292	0.3971	0.3737	57.6058	151.9352	93.1593	78.7129	68.1818
0.5929	0.7606	0.6807	0.6543	0.6598	0.6795	28.2847	14.8086	10.3559	11.2835	14.6062
0.9571	0.5940	0.5346	0.6246	0.6698	0.6164	37.9375	44.1438	34.7404	30.0178	35.5971
0.7576	0.7611	0.6095	0.5959	0.6398	0.4928	0.4620	19.5486	21.3437	15.5491	34.9525
0.6277	0.6921	0.5654	0.6935	0.6802	0.6795	10.2597	9.9251	10.4827	8.3639	8.2523
0.8896	0.6743	0.5290	0.7551	0.7353	0.7422	24.2019	40.5351	15.1192	17.3449	16.5692
Mean:						19.7821	33.9239	22.9396	21.8496	22.9909
Maximum:						57.6058	151.9352	93.1593	78.7129	68.1818
Minimum:						0.3881	2.9107	1.8399	3.7649	3.0670

318 **6. Conclusions**

319 AOD inversion based on remote sensing technology is being increasingly used for air quality research and is
 320 important for monitoring and predicting air quality at a large scale. The proposed PM_{2.5}/PM₁₀ ratio reflects the air
 321 quality and impact of human activities, which is strongest in winter and summer and weakest in spring and autumn. In
 322 this paper, we used the DDV method to invert the 59 AOD data points in Wuhan in 2017 based on MODIS images. After
 323 the AOD was corrected by the PBLH and RH, the AOD*, which had a greater correlation with PM_{2.5}/PM₁₀, was
 324 obtained, which indicated that the method of correction with the PBLH and RH was effective. After combining gas



325 pollutants and meteorological data, the optimal subset method was used to find the set of factors that were most suitable
326 for the prediction of PM_{2.5}/PM₁₀. Since the LSTM model uses the gates as switches, better PM_{2.5}/PM₁₀ prediction
327 results can be obtained. We hope to obtain a model that can predict air pollution anytime and anywhere by means of
328 relative factors. Therefore, we set up three prediction patterns: time, space and random patterns. Among the five
329 intelligent models for comparison, the LSTM model was the most effective, followed by the SVM model, and the
330 CHAID decision tree model was the least effective. The relatively good results of the LSTM model were reflected not
331 only in a higher average prediction accuracy but also in the better prediction of maximum and minimum values.
332 Moreover, the accuracy of the LSTM model was more stable. However, the predictions for the maximum and minimum
333 values were always below average, which will be the next focus of improvement.

334 **Code availability** Code content can be accessed through the following website: <https://data.mendeley.com/datasets/zk9k53zw3z/1>

335 **Data availability** Experimental data can be accessed through the following website: <https://data.mendeley.com/datasets/zk9k53zw3z/2>

336 **Author contribution** All authors worked collectively. Xueling Wu contributed to the conception of the study; Ying Wang contributed
337 to analysis and manuscript writing; Siyuan He helped perform the analysis with constructive discussions; Zhongfang Wu performed
338 the data analyses.

339 **Competing interests** The authors declare that they have no conflict of interest.

340 **Acknowledgements** This study was jointly supported by the National Natural Science Foundation of China (41871355 and
341 41571438).

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